



## Short-term forecasting of regional biospheric CO<sub>2</sub> fluxes in Europe using a light-use-efficiency model

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**Abstract.** Forecasting atmospheric CO<sub>2</sub> concentrations on synoptic time scales (~days) can benefit the  
10 planning of field campaigns by better predicting the location of important gradients. One aspect of this, accurately predicting the day-to-day variation in biospheric fluxes poses a major challenge. This research aims to investigate the feasibility of using a diagnostic light-use-efficiency model, the Vegetation Photosynthesis Respiration Model (VPRM), to forecast biospheric CO<sub>2</sub> fluxes on the time scale of a few days. As input the VPRM model requires downward shortwave radiation, 2 m  
15 temperature, and Enhanced Vegetation Index (EVI) and Land Surface Water Index (LSWI), both of which are calculated from MODIS reflectance measurements. Flux forecasts were performed by extrapolating the model input into the future, i.e. using downward shortwave radiation and temperature from a numerical weather prediction (NWP) model, as well as extrapolating the MODIS indices to calculate future biospheric CO<sub>2</sub> fluxes with VPRM. A hindcast for biospheric CO<sub>2</sub> fluxes in Europe in  
20 2014 has been done and compared to eddy covariance flux measurements to assess the uncertainty from different aspects of the forecasting system. In total the range-normalized mean absolute error (normalized) of the 5 day flux forecast at daily timescales is 7.1%, while the error for the model itself is 15.9%. The largest forecast error source comes from the meteorological data, which fail to accurately predict cloud cover, leading to overestimated shortwave radiation in the model. The error contribution  
25 from all error sources is similar at each flux observation site, and is not significantly dependent on vegetation type.

### 1 Introduction

Human activities have significantly influenced the carbon cycle of the earth system since  
30 industrialization, with the accumulation of greenhouse gases in the atmosphere leading to radiative forcing and climate change (IPCC, 2014). The carbon exchange between the surface and the atmospheric still remains largely uncertain due to the complexity of processes and a lack of observations (Le Quere et al., 2009). Therefore more measurements are needed, especially over emission hotspots and regions lacking observations. Field campaigns to measure greenhouse gases, such as research flights and measurements in remote areas, can fill the observation gap in the  
35 troposphere and over regions not covered by existing networks, but they are often time-limited. To make the best use of these limited measurements, field campaigns require careful planning. An



atmospheric CO<sub>2</sub> forecast on synoptic time scales (~days) can be helpful in such cases, for it provides an estimate of what signals are expected during the experiment and a physical explanation of the observations.

40 The research campaign CoMet (Carbon dioxide and Methane Mission), organized by the Deutsches Zentrum für Luft- und Raumfahrt (DLR), made a series of airborne and ground-based measurements of greenhouse gases in Europe. The campaign took place from May 15th to June 12th 2018, during which three aircraft participated, including the High Altitude and Long Range Research Aircraft (HALO) and three light aircraft. During the campaign the HALO was equipped with an Integrated Path Differential

45 Absorption (IPDA) Lidar (CHARM-F) (Amediek et al., 2017), and carried out nine flights with a total of 65 flight hours. Continuous online in situ CO<sub>2</sub>, CO, CH<sub>4</sub> and water vapor measurements were also made onboard with the Jena Instrument for Greenhouse gas measurements (JIG) and air samples were collected with the Jena Air Sampler (JAS). The campaign performed measurements over different surfaces from northern Europe to North Africa to assess and validate the new remote sensing

50 instrument CHARM-F. Special attention was paid to two areas: Berlin (and nearby power plants) and the Upper Silesian basin, which are significant European point sources of CO<sub>2</sub> and CH<sub>4</sub> respectively. Ground-based and light aircraft measurements were also made in the two regions with the remote sensing instrument Methane Airborne Mapper (MAMAP) (Gerilowski et al., 2011) and portable ground-based Fourier Transform Infrared Spectrometers (FTIR) (Butz et al., 2017).

55 During the planning of the campaign, a CO<sub>2</sub> and CH<sub>4</sub> forecasting system was developed to support the mission; this paper focuses on the biogenic fluxes for the CO<sub>2</sub> component. The forecast provided 5 day CO<sub>2</sub> forecast fields at a fine spatial resolution (2 km x 2 km) within the observing area, and a coarser resolution over the European domain (10 km x 10 km). The forecast product is not only helpful in terms of planning observations, offering meteorology and GHG fields to capture CO<sub>2</sub>/CH<sub>4</sub> plumes, but

60 can also provide a priori vertical information for the retrieval of remote sensing observations. There are several existing models that can simulate atmospheric CO<sub>2</sub> on an appropriate scale, including Eulerian mesoscale models such as WRF-GHG (Beck et al., 2011; Pillai et al., 2016) and CHIMERE (Aulagnier et al., 2010). These models consist of an atmospheric tracer transport model coupled to fluxes representing the source and sink processes of CO<sub>2</sub>. By providing meteorological forecast fields

65 and future fluxes of CO<sub>2</sub> to the model, the forecast CO<sub>2</sub> concentration fields can be obtained. The challenge of CO<sub>2</sub> forecasting comes with the provision of accurate CO<sub>2</sub> flux variations on sub-daily time scales. A global atmospheric CO<sub>2</sub> forecast system has been developed as part of the Monitoring of Atmospheric Composition and Climate – Interim Implementation (MACC-II) service (Agusti-Panareda et al., 2014; Agusti-Panareda et al., 2016). These studies have shown that although transport plays a

70 first order role in synoptic CO<sub>2</sub> variability, the day-to-day variability of NEE also plays an important role. Therefore it is crucial for CO<sub>2</sub> forecasts to capture the day-to-day NEE variability in real-time, instead of using climatological values.

There are many models that can simulate biospheric CO<sub>2</sub> NEE on hourly time scales (Boussetta et al., 2013; Mahadevan et al., 2008). These models can be briefly grouped into two types: process-based

75 models and light use efficiency (LUE) models. Process-based models use meteorological data as input and simulate the physiological processes of vegetation, for example BIOME-BGC (Running and Hunt



Jr, 1993), TEM (Zhuang et al., 2003) or the Carbon Exchange in the Vegetation-Soil-Atmosphere model (CEVSA) (Woodward et al., 1995). Such models usually need a number of parameters to describe the complex vegetation processes responding to meteorological drivers. The second type, LUE  
80 models, regard ecosystem gross primary production (GPP) as the product of photosynthetically active radiation (PAR), the fraction of photosynthetically active radiation absorbed by the photosynthetically active portion of the vegetation ( $FAPAR_{PAV}$ ), and the radiation use efficiency ( $\epsilon$ ). Such models include the Vegetation Photosynthesis and Respiration Model (VPRM) (Xiao et al., 2004; Mahadevan et al., 2008), the MODIS Daily Photosynthesis Model (Running et al., 2000) and the Carnegie-Ames-Stanford Approach (CASA) (Potter et al., 1993).  
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The CO<sub>2</sub> forecast in MACC-II uses the process-based model CTESSEL to compute biospheric CO<sub>2</sub> fluxes and evapotranspiration online (Boussetta et al., 2013; Agusti-Panareda et al., 2016), which makes the two variables consistent in the forecast system. However the challenge of providing accurate CO<sub>2</sub> fluxes is due to the complexity of vegetation processes and the lack of near-real-time (NRT)  
90 observations on vegetation state. Therefore, using a LUE model for CO<sub>2</sub> flux forecasting, which is a data-driven approach having less parameters compared to process-based models, is a possible way to improve the quality of CO<sub>2</sub> fluxes in forecasting. It should be noted that unlike the Copernicus Atmosphere Monitoring Service (CAMS) CO<sub>2</sub> forecasting which is operational and global, we target to build a regional CO<sub>2</sub> forecast system and only operate the forecast within a shorter period (e.g. several  
95 months). Therefore the issue of CO<sub>2</sub> budget conservation is less important comparing to an operational global forecast model. In our case, we predict CO<sub>2</sub> fluxes based on the LUE model VPRM, which is driven by the Enhanced Vegetation Index (EVI) and the Land Surface Water Index (LSWI) as well as the meteorological variables 2 m air temperature and downward shortwave radiation. The EVI and LSWI are derived from Moderate Resolution Imaging Spectroradiometer (MODIS) reflectance data, in  
100 which the MOD09A1N product provides NRT surface reflectance data, thus the NRT observations on vegetation state can be used in flux forecasting. VPRM has a strong predictive ability for NEE while maintaining simplicity in having only four parameters for each of the seven vegetation types, which makes it suitable for our case. The flux forecast is then made by predicting the input of VPRM, for which different prediction methods were tested. Although the uncertainties in VPRM have been well  
105 assessed by previous research (Lin et al., 2011), it is still unknown how does such LUE model perform regarding of flux forecasting in synoptic time scale.

This study describes the development and assessment of a biospheric CO<sub>2</sub> flux forecast based on the LUE model VPRM, with the goal of providing accurate hourly 5 day flux forecasts. By using a hindcast and comparing to flux tower sites across Europe the error in the prediction is evaluated, and  
110 the predictive ability of the CO<sub>2</sub> flux forecasts is assessed.

## 2 Methodology

The CO<sub>2</sub> flux forecast consists of two steps as shown in Figure 1. Model inputs are first predicted 5 days into the future, then NEE is estimated based on the standard VPRM model, using parameters optimized in previous studies (Kountouris et al., 2018). Each input which must be forecast results in



115 corresponding errors. We systematically evaluate the flux forecasting error associated with each of these predictands.

This section describes the framework of the VPRM forecasting model for biospheric CO<sub>2</sub> fluxes, as well as the method used to evaluate the error introduced by each element of the forecast.

For the meteorological input data, we use hourly ECMWF 5 day forecasts of temperature and short wave radiation. The EVI and LSWI indices are derived from MODIS surface reflectance data. These  
120 provide the indices for an average of the past eight days, and we forecast these indices for the next five days based on linear extrapolation or persistence. We then use these predicted input data to generate NEE using VPRM.

## 2.1 VPRM data processing

### 125 2.1.1 Standard processing for past periods

The flux estimation is based on VPRM, a light use efficiency (LUE) model that calculates GPP with remote sensing data and meteorological data as inputs. The equation of GPP estimation is as follow:

$$GPP = \varepsilon \times FAPAR_{PAV} \times \frac{1}{1 + PAR/PAR_0} \times PAR \quad (1)$$

The light use efficiency  $\varepsilon$  can be decomposed as:

$$130 \quad \varepsilon = \lambda \times T_{scalar} \times W_{scalar} \times P_{scalar} \quad (2)$$

Where  $T_{scalar}$ ,  $W_{scalar}$  and  $P_{scalar}$  represent the temperature sensitivity of photosynthesis, the water stress effect, and the effects of leaf age on canopy photosynthesis, respectively, while  $\lambda$  is an adjustable parameter in the model. Among them,  $T_{scalar}$  is estimated from air temperature, and  $W_{scalar}$  and  $P_{scalar}$  are estimated from LSWI. See details in Mahadevan et al. 2008.

135 The  $FAPAR_{PAV}$  in the model is estimated as a linear function of EVI, and PAR is closely correlated with downward shortwave radiation. Therefore the complete expression for GPP in VPRM is:

$$GPP = (\lambda \times T_{scalar} \times W_{scalar} \times P_{scalar}) \times EVI \times \frac{1}{1 + \frac{PAR}{PAR_0}} \times PAR \quad (3)$$

While the vegetation respiration is estimated by a simple linear model:

$$R = \alpha \times T_{air} + \beta \quad (4)$$

140 Where  $T_{air}$  is the air temperature and  $\alpha$  and  $\beta$  are vegetation-class-specific parameters.

The input of VPRM can be categorized into two groups: remote sensing data and meteorological data.

The remote sensing data consist of EVI and LSWI at 10 km spatial resolution (same resolution with atmospheric transport model), where the EVI and LSWI are aggregated from MODIS surface reflectance 8 day L3 Global 500m (MOD09A1) version 6 data. It should be noted that in the  
145 forecasting model, the MODIS NRT surface reflectance data (MOD09A1N) would be used. A locally weighted least squares (LOESS) filter ( $\alpha=0.17$ ) is then applied to reduce the noise. The vegetation classification map (SYNMAP) (Jung et al., 2006) is also a product derived from remote sensing. The meteorological data include air temperature at 2m and downward shortwave radiation at the surface, which are obtained from a numerical weather prediction (NWP) model product, in our case the

150 operational forecast archive from the European Centre for Medium-Range Weather Forecasts (ECMWF). In VPRM, there are four parameters ( $\lambda$ ,  $PAR_0$ ,  $\alpha$ ,  $\beta$ ) for each vegetation type. Model



calibration for these parameters has been done using flux measurements in Europe in 2007(Kountouris et al., 2018).

### 2.1.2 Processing for flux prediction

155 To use this diagnostic model in a predictive mode, we need to forecast all VPRM input variables five days into the future. Remote sensing data and meteorological data are predicted in different ways.

For the meteorological data, forecasts from a numerical weather prediction (NWP) model are needed. In this study, in order to assess the errors brought in by the meteorological forecasting, 5 day forecasts of 2 m temperature and downward shortwave radiation at the surface for each day of the year were  
160 used. The meteorological forecast is from the ECMWF operational forecast archive, with class “od” and type “fc”.

As for the remote sensing data, three sources of error had to be considered: the error induced by using the NRT version of the MODIS reflectances rather than the final product, the error of estimating the value of the indices into the future, and the effect of the LOESS filter on the end value of the dataset.

165 We begin by describing the LOESS filter. This filter is usually applied to a full year of data, and when smoothing a truncated dataset there is an edge effect, meaning that when new data are added to the time series the smoothing is repeated, the output at the former edge point will change slightly. In the following section we define the error caused by such an edge effect as “error due to data truncation”.

Following the filtering, the smoothed data are extrapolated five days into the future, either by linear  
170 extrapolation or by assuming persistence. The optimal extrapolation method was selected after testing the error contribution of each method.

The last error source comes from the difference between MODIS NRT and the standard product. The standard product is processed with the best available ancillary, calibration, and geolocation information while changes have been made in the NRT processing to expedite the data availability (See  
175 <https://earthdata.nasa.gov/earth-observation-data/near-real-time/near-real-time-versus-standard-products>).

### 2.2 Uncertainty analysis

The potential error sources of this flux forecasting system are as follows: (1) the VPRM model itself, (2) using analysis rather than site-level meteorological data, (3) using ECMWF forecast meteorology,  
180 (4) using NRT MODIS data, (5) using LOESS filtering to smooth the MODIS data, and (6) the prediction of MODIS data. The error (6) contains two parts: (6a) EVI prediction and (6b) LSWI prediction. In the following discussion we use the numbering (1) to (6) to denote these error sources. We define (1) as the “model error”, and (2) to (6) as the “forecast errors”. The model error has been well described in previous research, and in general VPRM shows a good predictive ability (Mahadevan  
185 et al., 2008). In this study, we aim to quantify the forecast error, and the error contribution from each of the error sources.

In order to evaluate both the model error and the forecast error, a hindcast using the CO<sub>2</sub> flux forecast model has been done for the year 2014 for Europe. The evaluation and comparison was done at two spatial levels: at the flux observation site level, and at the European domain level (1/8° longitude ×



190 1/12° latitude). The comparison at site level aims to evaluate both the model error and the forecast error  
at locations with different vegetation types, while over the European domain, the aim is to investigate  
the spatial pattern of each forecast error term.

The surface CO<sub>2</sub> flux observation data comes from eddy covariance tower measurements from the  
FLUXNET2015 tier one (open data) dataset (Baldocchi et al., 2001). Thirty-three European  
195 observation sites for which both MODIS data and flux measurements for 2014 are available were  
selected for data-model comparison. The selected sites' ID, location, vegetation type and their data  
DOI are listed in table 1.

To test the error contribution of the model and the 5 day flux forecast, the following experiments using  
the VPRM forecast model were carried out to evaluate the error contribution from different sources  
200 separately. Although the CO<sub>2</sub> flux forecast targets hourly flux prediction for the next 5 days, model  
error and forecast error were analyzed on a daily time scale, as this scale is more relevant for synoptic  
CO<sub>2</sub> variability in the atmosphere.

The control simulation uses standard VPRM as a reference model with “perfect” input, meaning the  
MODIS EVI and LSWI standard products as well as shortwave radiation and temperature observed at  
205 the flux site. By comparing the modeled NEE to flux measurements, we can estimate the VPRM model  
error (1).

The experimental simulations a to f then included the error sources (2) to (6) in the VPRM model input  
data separately, and these are compared to the reference simulation in order to isolate these individual  
error contributions. The experiments aim to estimate the upper limit of forecast error, therefore in  
210 simulations b and f, 96 h to 120 h meteorological forecasts, i.e. the last day (5th) of a 5 day forecast,  
were used for each day of the year. For simulations d and f, since the MODIS EVI and LSWI products  
has an 8 day period, MODIS data were first linearly interpolated to a daily scale. Then for each day of  
the year MODIS data on the n<sup>th</sup> day were predicted from data on the n-5<sup>th</sup> day.

There is a challenge in simulation e in that there are no achieved NRT data for 2014, thus it is  
215 impossible to have a comparison on the same basis with other the simulations. Instead we look into the  
model's sensitivity of NEE to EVI and LSWI bias, and also compare the NRT EVI and LSWI, which  
we archived from February to June in 2018 for 120 days, to the standard MODIS product over the save  
period. In this way we were able to estimate the magnitude of the NRT indices' error and its impact on  
the model's output NEE.

220 In order to make the 33 different site results comparable, the simulation output NEE was first  
aggregated to daily averages, and then normalized by the range (i.e. the difference between maximum  
and minimum) of annual NEE at each site. The bias<sub>NEE</sub>, which is defined as the output NEE from the  
experimental simulation minus the same variable from the reference model, was then calculated and  
normalized by the same scalar at each site. By applying such a normalization, positive and negative  
225 NEE keep their sign, and the normalized bias<sub>NEE</sub> represents a fractional bias compared to the range of  
annual variation. (For example a normalized bias<sub>NEE</sub> of 0.1 means that the magnitude of the bias equals  
10% of the annual variation.) The mean of the absolute bias<sub>NEE</sub> will be the mean absolute error (MAE),  
which is also used as a measure for error in this research. An example of such normalization is shown  
for the station BE-Bra in Figure 2.



## 230 3 Results and Discussion

### 3.1 Error attribution on site level

By comparing the NEE output from each experimental simulation, the impact of each error source on flux forecasting can be isolated and evaluated. The normalized mean absolute error (MAE) of NEE at all 33 sites is presented in Table 3. The MAE of the total forecast error is 0.071, which is smaller than  
235 the VPRM model error of 0.159. This indicates that the forecast model is reasonably capable of predicting fluxes on diurnal time scales.

#### 3.1.1 Meteorological error

Among all forecast errors, the meteorological error accounts for the largest contribution. The meteorological error can be decomposed into (2) analysis error and (3) meteorological forecast error.  
240 The former corresponds to using meteorological analysis rather than observational data, while the latter comes from the numerical meteorological forecasting, and can be estimated by comparing simulations b and a. The analysis error and meteorological forecast error are of the same order of magnitude, namely 0.046 and 0.065 respectively.

The meteorological error is then analyzed further by dividing it into the photosynthetic part ( $bias_{GPP}$ ) and the non-photosynthetic respiration part ( $bias_R$ ). The bias (defined in 2.2) distributions of  $33 \times 365$  data points are shown in Figure 3.

In figure 3, panels (a), (b) and (c) share the same x-axis, and the bias in the y-axes can be combined as  $bias_{NEE} = bias_{GPP} + bias_R$ . Because a positive GPP bias will lead to a negative NEE bias, -GPP is used here to show its contribution to NEE.  $bias_{GPP}$  has a larger vertical spread towards negative values,  
250 which means a systematic bias in GPP. In contrast  $bias_R$  is basically symmetric about zero, which implies that the errors in temperature are random.

This indicates that  $bias_{NEE}$  is dominated by the photosynthetic part  $bias_{GPP}$ . Knowing that  $bias_{NEE}$  is the result of biases in two meteorological variables used in the simulation, air temperature and downward shortwave radiation (SW), we can conclude that it is the errors in shortwave radiation that mainly  
255 contribute to the meteorological error. From the bias distribution in figure 3(b) we can also see that the GPP bias is concentrated in negative values, meaning a stronger  $CO_2$  uptake than the reference case.

This pattern can also be seen at site level, as shown in figure 4 for the station BE-Bra. Figure 4(a) shows that during summer, there are several episodes when the forecast fails to correctly predict the low SW (indicating more cloud cover) in the observations. In figure 4(b) negative  $bias_{GPP}$  and  $bias_{NEE}$   
260 signals match well with these episode. It confirms the conclusion that the meteorological error is dominated by errors in SW, and it is due to incorrect prediction of clouds during summer.

#### 3.1.2 MODIS error

The MODIS error consists of three parts: using NRT products, using extrapolation of indices, and using truncated time series, which are represented in simulations c, d and e respectively. In general, the  
265 MODIS error is less important compared to the meteorological error, and the errors due to data truncation, EVI extrapolation and LSWI extrapolation result in errors on the same order of magnitude:



0.015, 0.013 and 0.010 respectively.

As described in section 2.1.1, the MODIS input data first need to be smoothed by a LOESS filter to reduce the noise. LOESS performs a local regression on the time series. Because the point at the end of  
270 the time series lacks a constraint from future data, it results in an error when the data are truncated. This error source is evaluated in simulation c, where for each 8 day value, only data before this time are filtered. Thus the only difference between simulation c and the reference simulation is whether each MODIS-derived index is constrained by all local data or only constrained by preceding data. Comparing simulation c and the reference simulation finds that the error due to lack of constraint from  
275 future MODIS data introduces an MAE of 0.015.

For MODIS data extrapolation, different methods were tested in an attempt to minimize forecast error. Climatological values of EVI and LSWI were considered, but they lack the advantage of a data-driven approach for realistic estimation. After testing various alternatives, two simple methods were considered: linear extrapolation based on the last three data points and persistence (assuming the  
280 indices stay the same for the next 5 days). Figure 5 shows the NEE bias distribution by using linear extrapolation or persistence to predict EVI and LSWI. For both indices, using the assumption of persistence results in a smaller error. The biases for the two extrapolation methods have similar distributions, but there are more outliers for linear extrapolation. This is due to the fact that linear extrapolation results in larger errors when the data are fluctuating.

285 Finally, the difference between using MODIS NRT data and standard data has to be considered. This includes the effect of using different attitude and ephemeris data in processing, as well as using different ancillary data products for the Level 2 processing. For L2 Land Surface Reflectance data, National Oceanic and Atmospheric Administration Global Forecast System (GFS) ancillary product are used instead of Global Data Assimilation System (GDAS) used in the standard processing (This is  
290 described at NASA's Land, Atmosphere Near real-time Capability for EOS (LANCE) website <https://earthdata.nasa.gov/earth-observation-data/near-real-time/near-real-time-versus-standard-products>).

This presented a challenge, as no MODIS NRT data were archived for the test year 2014. Thus it was impossible to carry out a similar error evaluation as was done for other error sources. Therefore we first  
295 use NRT EVI and LSWI that we archived for 120 days from February to June 2018 to calculate the MAE of the two indices to standard products at all flux sites. The MAE of NRT EVI and LSWI for all sites are 0.018 and 0.026 respectively. Considering the mean EVI and LSWI, which are 0.21 and 0.11 during this period, the magnitude of NRT EVI error is less than 10% of EVI's magnitude while the number is 24% for the magnitude of NRT LSWI error.

300 The impact of these NRT indices errors on the model is determined by the model's sensitivity to EVI and LSWI. To investigate this sensitivity, we use the result from simulation d and the reference simulation, and look into the difference in input EVI and LSWI, and the corresponding difference in output NEE. The model's sensitivity is different during the growing and the non-growing seasons, as in the non-growing season there would be no vegetation production anyway from a slight change of EVI  
305 and LSWI.



Therefore the model sensitivity is analyzed for each season separately, as shown in table 4. Difference in indices and the corresponding difference in daily NEE are applied with linear regression, and the rate of the linear function is regarded as model sensitivity. The maximum sensitivity for both EVI and LSWI both is in summer, with  $-9.11$  [ $\mu\text{mole m}^{-2} \text{s}^{-1} \text{EVI}^{-1}$ ] and  $-6.29$  [ $\mu\text{mole m}^{-2} \text{s}^{-1} \text{LSWI}^{-1}$ ] respectively. By assuming that the 120 days of archived NRT data is representative for MODIS NRT error, we can estimate the upper limit of forecasting error (4), as it is shown in Figure 6. The normalized NEE error in figure 6 is calculated by using MODIS NRT error times the model sensitivity, and then normalized by the same scalar in previous analysis at each site. Therefore the error here is comparable to the MAE in table 3 if we assume the MODIS NRT data in the year 2014 and 2018 have similar error structure. The NEE error for all sites due to NRT-EVI and NRT-LSWI are 0.024 and 0.025 respectively, which is still less important comparing to the meteorology error in table 3.

### 3.1.3 VPRM model error

Unlike the forecast error discussed above, the  $\text{bias}_{\text{NEE}}$  of (1) model error (reference model minus observation) distribution of the VPRM model error is asymmetric, as shown in Figure 7. The model bias shows a negative correlation, which means a weaker uptake during the growing season and a weaker respiration during the non-growing season. Data with negative normalized NEE also correspond to a larger bias, which refers to larger model uncertainty during the growing season. The MAE of the model error is 0.166.

### 3.1.4 Errors at each flux observation site

The MAE is also calculated at each flux measurement site and clustered according to vegetation types, shown in figure 8. Generally the VPRM model error (grey) is larger or similar to the forecast error (blue), consistent with Table 3. Moreover the forecast error does not differ significantly over different vegetation types. Figure 9 shows the error contribution from each source, the meteorological error (error (2) in dark blue and error (3) in light blue) at each site is also the dominant contributor, and has a similar contribution for different vegetation types. The data truncation error (4) has a stronger influence on some grass sites, because EVI at these sites is highly variable, possibly due to mowing and re-growing during the growing season. Overall, except the data truncation error, all forecast error sources have a similar impact on each flux observation site. This shows that the forecast ability does not vary over different vegetation types.

## 3.2 Spatial pattern of forecast error

The forecast errors are also tested on the European domain from March to June (the season over which the CoMet campaign took place) in 2014, to analyze its spatial patterns. Three experiments have been done to represent the meteorological error (includes analysis error and met forecast error), the MODIS error (including extrapolation error and data truncation error) and the total forecast error (a combination of meteorological error and MODIS error). Figure 10 shows the mean VPRM NEE during the period and the corresponding spatial distribution of each error (in MAE).



By comparing Figures 10(a) and 10(b), it can be seen that the MAE of the total forecast error has a strong spatial relationship with the mean NEE, which indicates that the forecast error has a similar impact in all places. On a spatial level, the meteorological component still dominates compared to the  
345 MODIS error.

In the context of atmospheric CO<sub>2</sub> forecasting, the forecast CO<sub>2</sub> concentrations that are influenced by fluxes from larger MAE areas (northern France, Germany and the Balkans) may have a larger bias due to poorer flux prediction in these areas.

The flux budget over the European domain was also calculated and is shown in Figure 11. The carbon  
350 budget of the flux forecast model (in dark blue) is close to the original VPRM model (in grey), thus we are able to confidently use this flux forecast model in the atmospheric GHG concentration forecasting system and predict reasonable CO<sub>2</sub> concentrations on synoptic time scales.

As mentioned in the introduction, we are aiming for not only a flux forecast, but finally an atmospheric GHG concentration forecasting system. While this study has quantified how each error source affects  
355 the predicted biospheric fluxes, the next step is to use such flux prediction in an atmospheric transport model run in forecast mode, and to assess the prediction error from each source in concentration space.

#### 4 Conclusions

Based on the VPRM model, we developed a forecasting model that can predict biospheric NEE for the next five days, and assess the error contribution from each aspect of forecasting. This CO<sub>2</sub> flux forecast  
360 model is a crucial component in an atmospheric CO<sub>2</sub> forecasting system, in which hourly to day-to-day CO<sub>2</sub> flux variability plays an important role. The forecast model inputs are MODIS near-real-time EVI and LSWI, as well as shortwave radiation and temperature from a meteorological forecast model. The error attribution shows that the dominant error is related to the meteorological data, due to poor prediction of clouds and thus an overestimation of shortwave radiation in the meteorological model.  
365 Error from MODIS inputs are less important, and using a persistence assumption to predict MODIS indices resulted in smaller errors than a linear extrapolation. Overall the forecasting system error has a MAE of 0.071, which makes the model capable of forecasting CO<sub>2</sub> fluxes on the target time scale. The error contribution is insensitive to vegetation type and consistent over the whole EU domain. The error of the forecasting system is less than the VPRM model error, which means that the system performs  
370 sufficiently well for its predictive task. From the spatial distribution of the error, the absolute flux errors are larger in northern France, Germany and the Balkans, which will lead to larger bias in atmospheric CO<sub>2</sub> forecasting system. The assessment of these (and other) errors in concentration space, using measurements from the CoMet mission as reference data, is foreseen as a follow-up study.

375 *Code and data availability.* The code for forecast VPRM model and the model outputs are available from <http://dx.doi.org/10.17617/3.2d>. The code used for model assessment and figure plotting in this paper is also included in the same repository. The flux measurement data can be acquired from FLUXNET2015 database (see DOI in table 1). The MODIS indices data can be acquired from NASA's Earth Science Data Systems (<https://earthdata.nasa.gov/>). The ECMWF meteorology data can be



380 retrieved using ECMWF's Meteorological Archival and Retrieval System (MARS,  
<https://confluence.ecmwf.int/display/UDOC/MARS+user+documentation>).

*Author contribution.* The experiments were planned by C. Gerbig, J. Marshall, K.U. Totsche and J. Chen. C. Gerbig prepared the standard VPRM model. J. Chen made the forecast model and performed  
385 the model simulation and assessment. J. Marshall extensively commented and revised the manuscript. J. Chen prepared the manuscript with contribution from all co-authors.

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395 covariance data acquired and shared by the FLUXNET community, including these networks: AmeriFlux, AfriFlux, AsiaFlux, CarboAfrica, CarboEuropeIP, CarboItaly, CarboMont, ChinaFlux, Fluxnet-Canada, GreenGrass, ICOS, KoFlux, LBA, NECC, OzFlux-TERN, TCOS-Siberia, and USCCC. The ERA-Interim reanalysis data are provided by ECMWF and processed by LSCE. The FLUXNET eddy covariance data processing and harmonization was carried out by the European  
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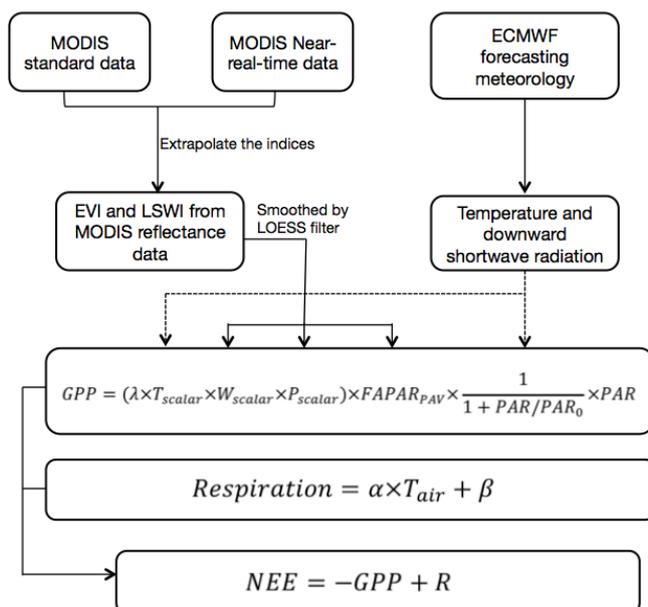


Figure 1: Diagram of the VPRM forecasting system. The top two levels show the drivers which are predicted into the future, while the bottom three boxes are based on the standard VPRM model (Mahadevan et al., 2008).

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| Site ID | Latitude | Longitude | Vegetation types in VPRM | Data DOI  | Reference                      |
|---------|----------|-----------|--------------------------|---|--------------------------------|
| BE-Bra  | 51,3092  | 4,5206    | Mixfrst                  | <a href="https://doi.org/10.18140/FLX/1440128">10.18140/FLX/1440128</a> | (Janssens et al.)              |
| BE-Lon  | 50,5516  | 4,7461    | Crop                     | <a href="https://doi.org/10.18140/FLX/1440129">10.18140/FLX/1440129</a> | (Moureaux et al., 2006)        |
| BE-Vie  | 50,3051  | 5,9981    | Mixfrst                  | <a href="https://doi.org/10.18140/FLX/1440130">10.18140/FLX/1440130</a> | (Aubinet et al., 2001)         |
| CH-Cha  | 47,2102  | 8,4104    | Grass                    | <a href="https://doi.org/10.18140/FLX/1440131">10.18140/FLX/1440131</a> | (Merbold et al., 2014)         |
| CH-Dav  | 46,8153  | 9,8559    | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440132">10.18140/FLX/1440132</a> | (Zielis et al., 2014)          |
| CH-Fru  | 47,1158  | 8,5378    | Grass                    | <a href="https://doi.org/10.18140/FLX/1440133">10.18140/FLX/1440133</a> | (Imer et al., 2013)            |
| CH-Lae  | 47,4781  | 8,365     | Mixfrst                  | <a href="https://doi.org/10.18140/FLX/1440134">10.18140/FLX/1440134</a> | (Etzold et al., 2011)          |
| CH-Oe2  | 47,2863  | 7,7343    | Crop                     | <a href="https://doi.org/10.18140/FLX/1440136">10.18140/FLX/1440136</a> | (Dietiker et al., 2010)        |
| CZ-wet  | 49,0247  | 14,7704   | Grass                    | <a href="https://doi.org/10.18140/FLX/1440145">10.18140/FLX/1440145</a> | (Dušek et al., 2012)           |
| DE-Akm  | 53,8662  | 13,6834   | Grass                    | <a href="https://doi.org/10.18140/FLX/1440213">10.18140/FLX/1440213</a> | (Bernhofer et al.)             |
| DE-Geb  | 51,1001  | 10,9143   | Crop                     | <a href="https://doi.org/10.18140/FLX/1440146">10.18140/FLX/1440146</a> | (Anthoni et al., 2004)         |
| DE-Gri  | 50,9495  | 13,5125   | Grass                    | <a href="https://doi.org/10.18140/FLX/1440147">10.18140/FLX/1440147</a> | (Prescher et al., 2010)        |
| DE-Kli  | 50,8929  | 13,5225   | Crop                     | <a href="https://doi.org/10.18140/FLX/1440149">10.18140/FLX/1440149</a> | (Prescher et al., 2010)        |
| DE-Obe  | 50,7836  | 13,7196   | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440151">10.18140/FLX/1440151</a> | (Bernhofer et al.)             |
| DE-RuR  | 50,6219  | 6,3041    | Grass                    | <a href="https://doi.org/10.18140/FLX/1440215">10.18140/FLX/1440215</a> | (Post et al., 2015)            |
| DE-RuS  | 50,8659  | 6,4472    | Crop                     | <a href="https://doi.org/10.18140/FLX/1440216">10.18140/FLX/1440216</a> | (Mauder et al., 2013)          |
| DE-SfN  | 47,8064  | 11,3275   | Grass                    | <a href="https://doi.org/10.18140/FLX/1440219">10.18140/FLX/1440219</a> | (Hommeltenberg et al., 2014)   |
| DE-Spw  | 51,8923  | 14,0337   | Grass                    | <a href="https://doi.org/10.18140/FLX/1440220">10.18140/FLX/1440220</a> | (Bernhofer et al.)             |
| DE-Tha  | 50,9636  | 13,5669   | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440152">10.18140/FLX/1440152</a> | (GrüNwald and Bernhofer, 2007) |
| DK-Sor  | 55,4859  | 11,6446   | Decid                    | <a href="https://doi.org/10.18140/FLX/1440155">10.18140/FLX/1440155</a> | (Pilegaard et al., 2011)       |
| FI-Hyy  | 61,8475  | 24,295    | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440158">10.18140/FLX/1440158</a> | (Suni et al., 2003)            |
| FI-Sod  | 67,3619  | 26,6378   | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440160">10.18140/FLX/1440160</a> | (Thum et al., 2007)            |
| FR-Fon  | 48,4764  | 2,7801    | Decid                    | <a href="https://doi.org/10.18140/FLX/1440161">10.18140/FLX/1440161</a> | (Delpierre et al., 2016)       |
| FR-Pue  | 43,7414  | 3,5958    | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440164">10.18140/FLX/1440164</a> | (Rambal et al., 2004)          |
| IT-BCi  | 40,5238  | 14,9574   | Crop                     | <a href="https://doi.org/10.18140/FLX/1440166">10.18140/FLX/1440166</a> | (Vitale et al., 2016)          |
| IT-CA1  | 42,3804  | 12,0266   | Decid                    | <a href="https://doi.org/10.18140/FLX/1440230">10.18140/FLX/1440230</a> | (Sabbatini et al., 2016)       |
| IT-CA2  | 42,3772  | 12,026    | Crop                     | <a href="https://doi.org/10.18140/FLX/1440231">10.18140/FLX/1440231</a> | (Sabbatini et al., 2016)       |
| IT-CA3  | 42,38    | 12,0222   | Decid                    | <a href="https://doi.org/10.18140/FLX/1440232">10.18140/FLX/1440232</a> | (Sabbatini et al., 2016)       |
| IT-Col  | 41,8494  | 13,5881   | Decid                    | <a href="https://doi.org/10.18140/FLX/1440167">10.18140/FLX/1440167</a> | (Valentini et al., 1996)       |
| IT-Cp2  | 41,7043  | 12,3573   | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440233">10.18140/FLX/1440233</a> | (Fares et al., 2014)           |
| IT-Isp  | 45,8126  | 8,6336    | Decid                    | <a href="https://doi.org/10.18140/FLX/1440234">10.18140/FLX/1440234</a> | (Ferréa et al., 2012)          |
| IT-Lav  | 45,9562  | 11,2813   | Evergreen                | <a href="https://doi.org/10.18140/FLX/1440169">10.18140/FLX/1440169</a> | (Marcolla et al., 2003)        |
| IT-Tor  | 45,8444  | 7,5781    | Grass                    | <a href="https://doi.org/10.18140/FLX/1440237">10.18140/FLX/1440237</a> | (Galvagno et al., 2013)        |

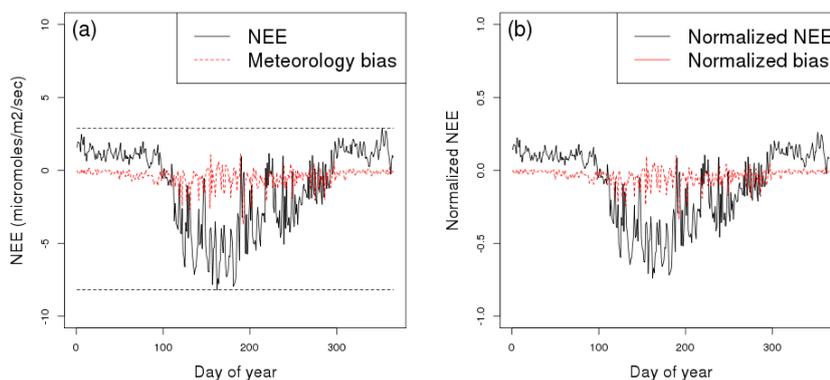
**Table 1: The selected FLUXNET2015 sites used for data-model comparison in this research.**



|                      | MODIS indices                                 | Meteorology data          | Error sources       |
|----------------------|---|---------------------------|---------------------|
| Reference simulation | Standard MODIS products                       | Flux site observation     | (1)                 |
| Simulation a         | Standard MODIS products                       | ECMWF 12h forecasting     | (1)+(2)             |
| Simulation b         | Standard MODIS products                       | ECMWF 5th day forecasting | (1)+(2)+(3)         |
| Simulation c         | Truncated MODIS indices                       | Flux site observation     | (1)+(5)             |
| Simulation d         | MODIS prediction based on fully filtered data | Flux site observation     | (1)+(6)             |
| Simulation e         | NRT MODIS indices                             | Flux site observation     | (1)+(4)             |
| Simulation f         | MODIS prediction based on truncated data      | ECMWF 5th day forecasting | (1)+(2)+(3)+(5)+(6) |

595 **Table 2: The experiment setup and the error sources addressed in each simulation. The numbering in the last column corresponds to the error from (1) the VPRM model, (2) the meteorological analysis, (3) the meteorological forecast, (4) the MODIS NRT data, (5) data truncation and (6) the prediction of MODIS indices.**

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**Figure 2: Example of the data normalization at station BE-Bra: (a) NEE output from simulation a, and the corresponding biasNEE. The dashed black lines show the range of annual NEE. (b) NEE and bias after normalization by the range, conserving the physical meaning (release and uptake) of the sign.**

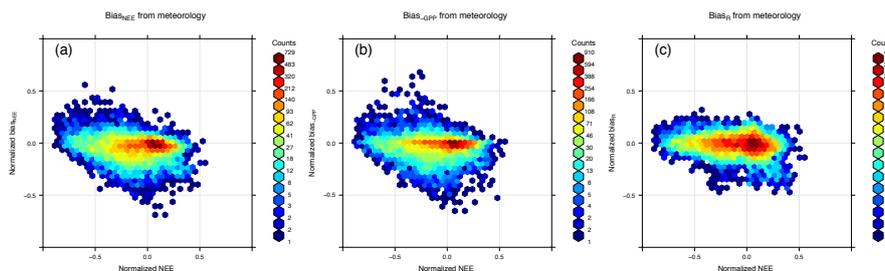
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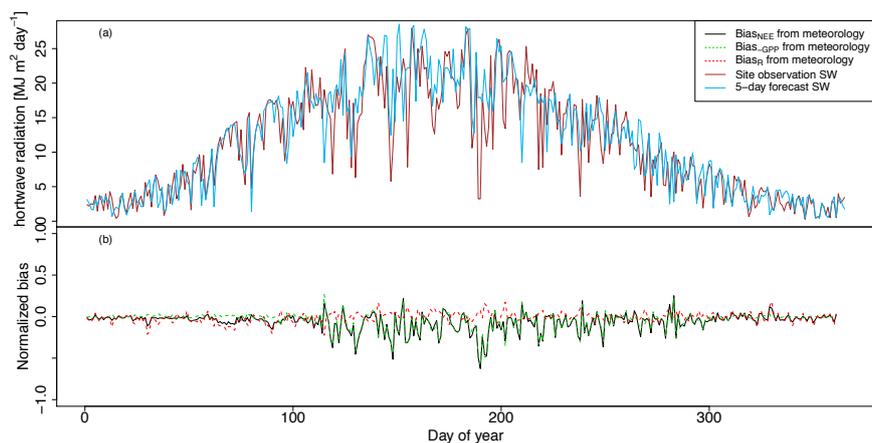


| Normalized Mean Absolute Error (MAE) for each error source |  |       |
|--|--|-------|
| Compared objects   | Error sources                              | MAE   |
| a-ref.   | (2) Meteorological analysis                | 0.046 |
| b-a  | (3) Meteorological forecast                | 0.040 |
| b-ref.   | (2)+(3) Meteorological error               | 0.065 |
| c-ref.   | (5) Data truncation                        | 0.015 |
| d-ref.   | (6a-i) Linear EVI                          | 0.016 |
| d-ref.   | (6a-ii) Persistence EVI                    | 0.013 |
| d-ref.   | (6b-i) Linear LSWI                         | 0.012 |
| d-ref.   | (6b-ii) Persistence LSWI                   | 0.010 |
| f-ref.   | (2)+(3)+(5)+(6a-ii)+(6b-ii) Forecast error | 0.071 |
| ref.-obs.  | (1) Model error                            | 0.159 |

615 **Table 3: Normalized Mean Absolute error (MAE) for each error source. The compared objects are simulation a to f, the reference simulation (ref.) and FLUXNET observation (obs.). Error sources (1) to (6) described in 2.2 can be isolated by calculating the MAE between different simulations.**

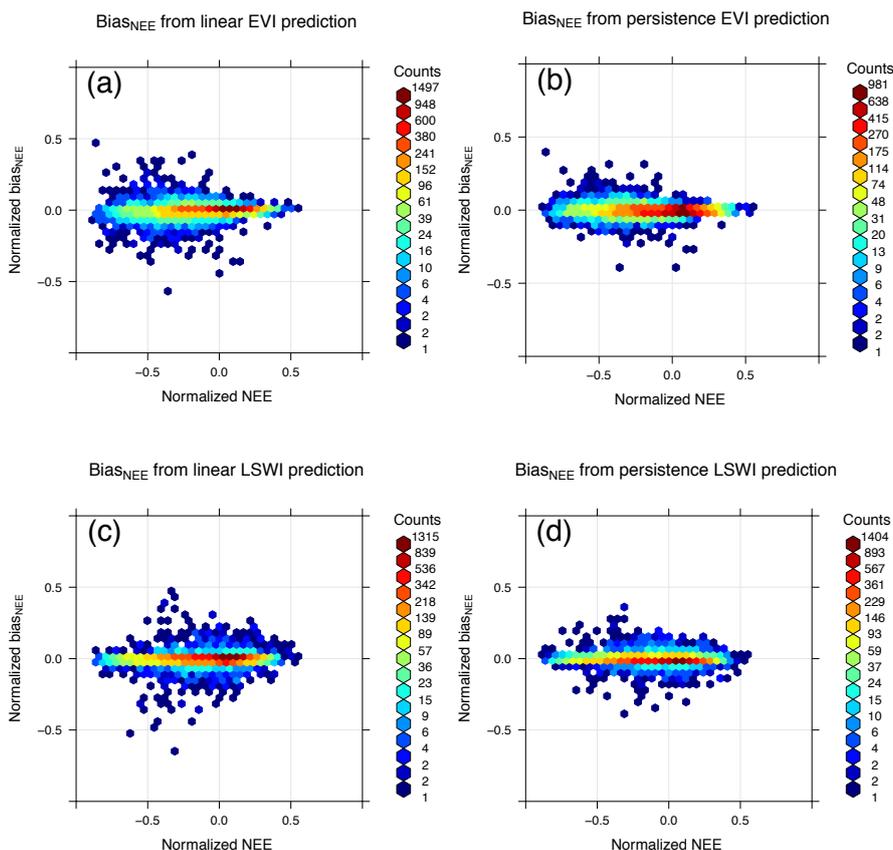


620 **Figure 3: (a) Distribution of normalized bias<sub>NEE</sub> due to meteorological error. The x-axis refers to the normalized NEE, and the y-axis refers to the corresponding bias<sub>NEE</sub> defined in section 2.2. Panels (b) and (c) share the same x-axis with (a), but have bias<sub>GPP</sub> and bias<sub>R</sub> in y-axis instead. The three biases combine as bias<sub>NEE</sub>= bias<sub>GPP</sub> + bias<sub>R</sub>, indicating that bias<sub>NEE</sub> is dominated by bias<sub>GPP</sub>, which is controlled by the radiation parameter rather than temperature.**



625 **Figure 4: (a) Downward shortwave radiation from site-level measurement and from 5-day forecasts at**  
**station BE-Bra. (b) bias<sub>NEE</sub>, bias-GPP and bias<sub>R</sub> at station BE-Bra. As the biases are combined as**  
**bias<sub>NEE</sub>= bias-GPP + bias<sub>R</sub>, this figure confirms that the large negative bias<sub>NEE</sub> is due to bias-GPP, and**  
**the reason is that NWP overestimate SW for cloudy days in summer.**

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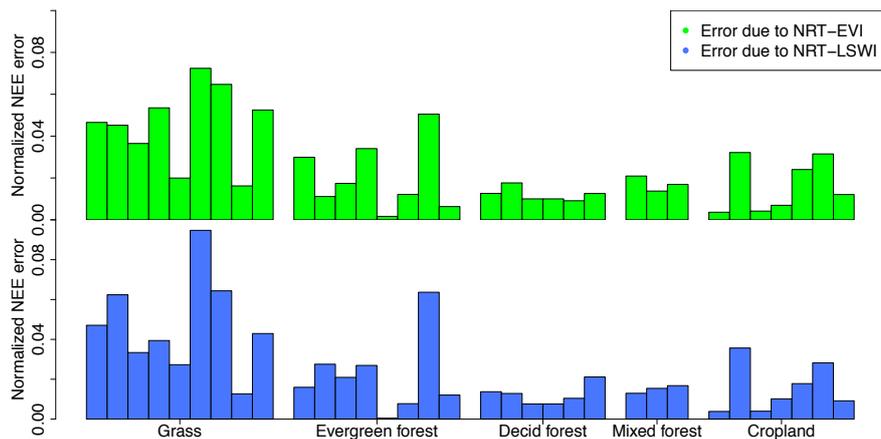


**Figure 5:** bias<sub>NEE</sub> distribution of using linear extrapolation or persistence to predict EVI and LSWI. The persistence prediction introduces less bias than linear extrapolation for both EVI and LSWI. Therefore persistence is used in the final forecast.

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| NEE sensitivity to EVI |  |                | NEE sensitivity to LSWI |   |                |
|------------------------|--|----------------|-------------------------|---|----------------|
| Seasons                | Sensitivity<br>[ $\mu\text{mole m}^{-2} \text{s}^{-1} \text{EVI}^{-1}$ ] | R <sup>2</sup> | Seasons                 | Sensitivity<br>[ $\mu\text{mole m}^{-2} \text{s}^{-1} \text{LSWI}^{-1}$ ] | R <sup>2</sup> |
| Dec - Feb              | -0.90  | 0.27           | Dec - Feb               | -0.57   | 0.28           |
| Mar - May              | -7.96  | 0.64           | Mar - May               | -3.41   | 0.51           |
| Jun - Aug              | -9.11  | 0.74           | Jun - Aug               | -6.29   | 0.58           |
| Sep - Jan              | -2.70  | 0.35           | Sep - Jan               | -1.16   | 0.29           |

**Table 4:** The model's sensitivity of NEE to EVI/LSWI for four seasons. The result of simulation d is used in the sensitivity calculation. Linear regression is applied to the change in EVI and the change in corresponding NEE, the maximum sensitivity appears in summer, with a slope of -10.73 [ $\mu\text{mole m}^{-2} \text{s}^{-1} \text{EVI}^{-1}$ ] for EVI and -6.29 [ $\mu\text{mole m}^{-2} \text{s}^{-1} \text{LSWI}^{-1}$ ] for LSWI respectively.



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**Figure 6:** The normalized error of NEE as a result of MODIS NRT error at 33 sites. 120 days from February to June in the year 2018 of MODIS NRT data are used to first calculate the EVI/LSWI differences, then times the sensitivities in table 4 and normalized by the same scalar in the previous research. The flux sites in x-axis are sorted by vegetation type and FLUXNET site-ID (from left to right: CH-Cha, CH-Fru, CZ-wet, DE-Akm, DE-Gri, DE-RuR, DE-SIN, DE-Spw, IT-Tor, CH-Dav, DE-Obe, DE-Tha, FI-Hyy, FI-Sod, FR-Pue, IT-Cp2, IT-Lav, DK-Sor, FR-Fon, IT-CA1, IT-CA3, IT-Col, IT-Isp, BE-Bra, BE-Vie, CH-Lae, BE-Lon, CH-Oe2, DE-Geb, DE-Kli, DE-Rus, IT-BCi, IT-CA2).

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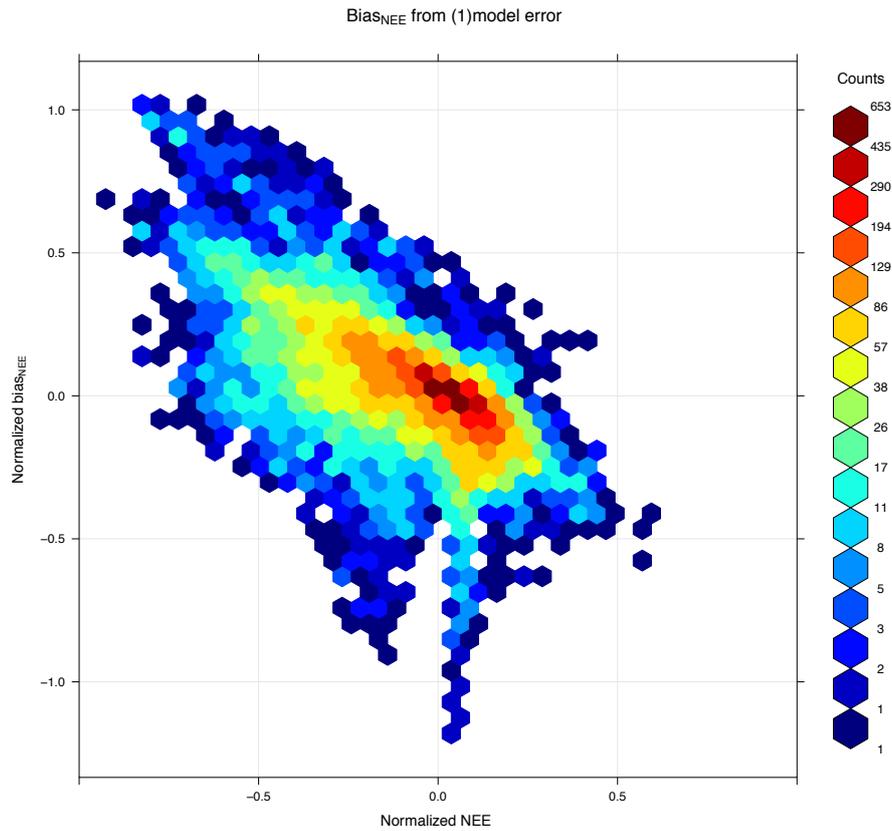
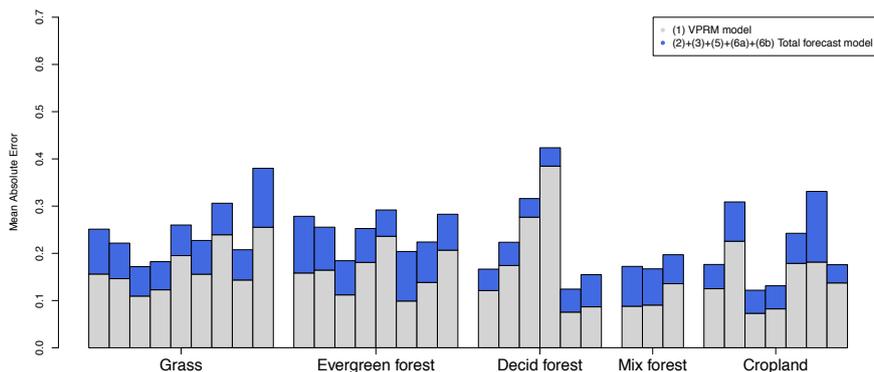
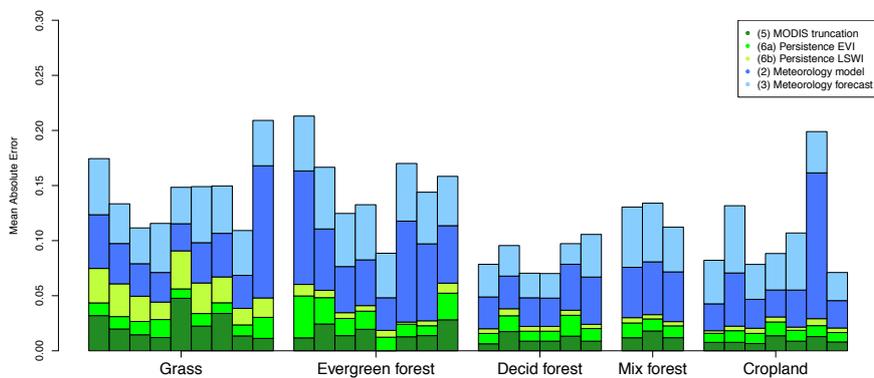


Figure 7: The bias<sub>NEE</sub> distribution of the VPRM model error.

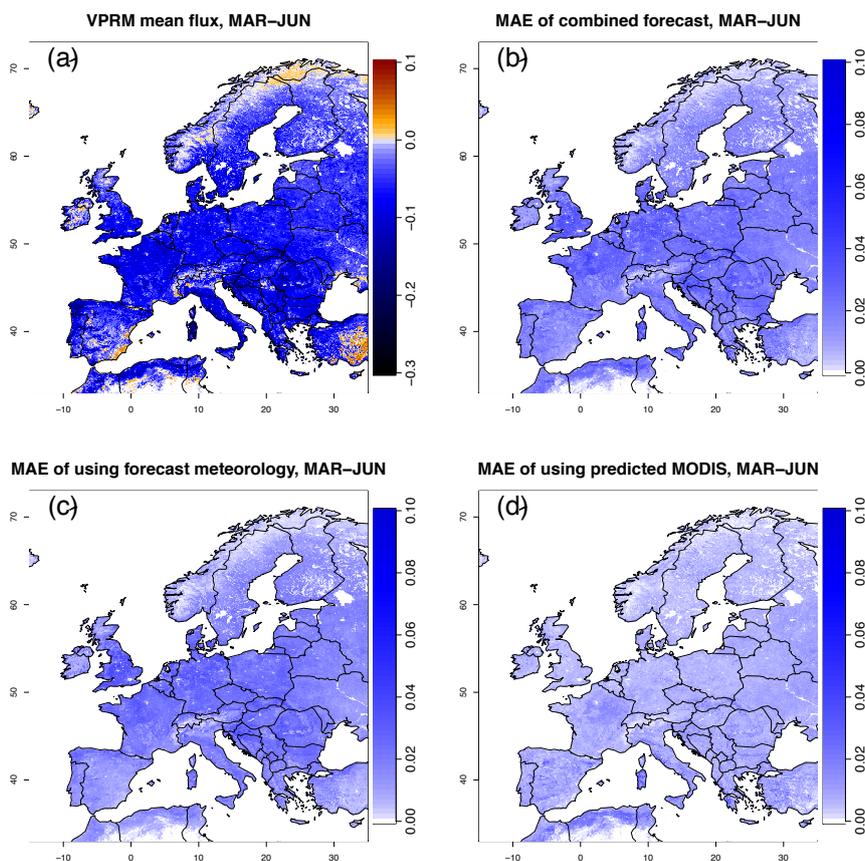
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655 **Figure 8:** Mean absolute error of the forecast error compared to the VPRM model error at each flux observation site. The model error (1) is generally larger than the total forecast error (2) to (6), and the forecast error does not differ significantly across vegetation types. The order of the flux site is the same as in figure 6.



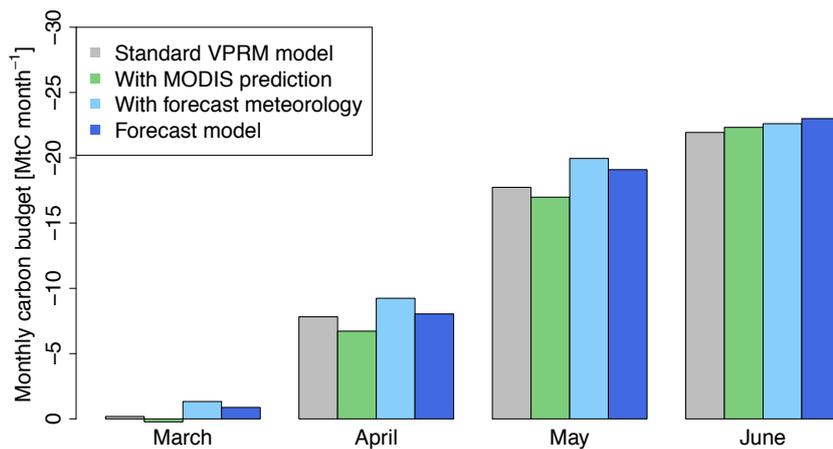
660 **Figure 9:** Mean absolute error for different error sources at each flux observation site. The meteorological error ((2)meteorological model + (3)meteorological forecast) is the dominant contributor at each site, and has a similar contribution for different vegetation types. The data truncation error (4) has a stronger influence on some grass sites, likely due to the highly EVI variability resulting from mowing and regrowth during the growing season. The order of the flux site is the same as in figure 6.



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Figure 10: (a) Mean VPRM NEE, during March to June 2014; (b) Spatial distribution of MAE for forecast error; (c) spatial distribution of MAE for meteorological error; (d) spatial distribution of MAE for MODIS error. The MAE of total forecast error in (b) has strong spatial relationship with the VPRM mean flux in (a), which indicates that the forecast error has a similar impact in all places. Panels (c) and (d) are consistent with table 3, in that the forecast error is larger than the error from MODIS prediction.

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**Figure 11: Monthly carbon budget from March to June for original and forecast model for the European domain. The overall forecast flux budget is close to the original model, indicating the forecast flux model is appropriate for use in the GHG concentration forecasting system.**

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