

1 **Beijing Climate Center Earth System Model version 1 (BCC-ESM1):**

2 **Model Description and Evaluation of Aerosol Simulations**

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26 **Abstract.** BCC-ESM1 is the first version of a fully-coupled Earth System Model with
27 interactive atmospheric chemistry and aerosols developed by the Beijing Climate Center,
28 China Meteorological Administration. Major aerosol species (including sulfate, organic
29 carbon, black carbon, dust and sea salt) and greenhouse gases are interactively simulated with
30 a whole panoply of processes controlling emission, transport, gas-phase chemical reactions,
31 secondary aerosol formation, gravitational settling, dry deposition, and wet scavenging by
32 clouds and precipitation. Effects of aerosols on radiation, cloud, and precipitation are fully
33 treated. The performance of BCC-ESM1 in simulating aerosols and their optical properties is
34 comprehensively evaluated as required by the Aerosol Chemistry Model Intercomparison
35 Project (AerChemMIP), covering the preindustrial mean state and time evolution from 1850
36 to 2014. The simulated aerosols from BCC-ESM1 are quite coherent with
37 CMIP5-recommended data, in-situ measurements from surface networks (such as IMPROVE
38 in the U.S. and EMEP in Europe), and aircraft observations. A comparison of modeled
39 aerosol optical depth (AOD) at 550 nm with satellite observations retrieved from Moderate
40 Resolution Imaging Spectroradiometer (MODIS) and Multi-angle Imaging
41 SpectroRadiometer (MISR) and surface AOD observations from AErosol RObotic NETwork
42 (AERONET) shows reasonable agreements between simulated and observed AOD. However,
43 BCC-ESM1 shows weaker upward transport of aerosols from the surface to the middle and
44 upper troposphere, likely reflecting the deficiency of representing deep convective transport
45 of chemical species in BCC-ESM1. With an overall good agreement between BCC-ESM1
46 simulated and observed aerosol properties, it demonstrates a success of the implementation of
47 interactive aerosol and atmospheric chemistry in BCC-ESM1.

48

49 **1. Introduction**

50 Atmosphere is a thin gaseous layer around the Earth, consisting of nitrogen, oxygen and
51 a large number of trace gases including important greenhouse gases (GHG) such as water
52 vapor, tropospheric ozone (O_3), carbon dioxide (CO_2), methane (CH_4), nitrous oxide (N_2O),
53 and chloro-fluoro-carbons (CFCs). Besides gaseous components, atmosphere also contains
54 various aerosols, which are important for cloud formation and radiative transfer. Atmospheric
55 trace gases and aerosols are actually interactive components of the climate system. Their
56 inclusion in global climate models (GCMs) is a significant enhancement for most
57 state-of-the-art climate models (Lamarque et al., 2013; Collins et al., 2017). Early attempts in
58 coupling global climate dynamics with atmospheric chemistry can be traced back to late
59 1970s, when 3D transport of ozone and simple stratospheric chemistry were firstly
60 incorporated into a GCM to simulate global O_3 production and transport (e.g., Cunnold et al.
61 1975; Schlesinger and Mintz 1979). Since mid-1980s, a large number of on-line global
62 climate/chemistry models have been developed to address issues of the Antarctic stratospheric
63 O_3 depletion (e.g., Cariolle et al. 1990; Austin et al. 1992; Solomon, 1999), tropospheric O_3
64 and sulfur cycle (e.g., Feichter et al. 1996; Barth et al. 2000), tropospheric aerosol and its
65 interactions with cloud (e.g., Chuang et al. 1997; Lohmann et al. 2000; Ghan and Easter, 2006;
66 Jacobson 2012). Aerosols and chemically reactive gases in the atmosphere exert important
67 influences on global and regional air quality and climate (Collins et al., 2017).

68 Since 2013, the Beijing Climate Center (BCC), China Meteorological Administration,
69 has continuously developed and updated its fully-coupled GCM, the Beijing Climate Center
70 Climate System Model (BCC-CSM) (Wu et al., 2013; Wu et al., 2014; Wu et al., 2019).
71 BCC-CSM version 1.1 was one of the comprehensive carbon-climate models participating in
72 the phase five of the Coupled Model Intercomparison Project (CMIP5, Taylor et al. 2012).
73 When forced by prescribed historical emissions of CO_2 from combustion of fossil fuels and
74 land use change, BCC-CSM1.1 successfully reproduced the trends of observed atmospheric
75 CO_2 concentration and global surface air temperature from 1850 to 2005 (Wu et al., 2013).
76 During recent years, BCC-CSM1.1 has been used in numerous investigations on soil organic
77 carbon changes (e.g. Todd-Brown et al., 2014), ocean biogeochemistry changes (e.g. Mora et
78 al., 2013), and carbon-climate feedbacks (e.g. Arora et al., 2013; Hoffman et al., 2014).

79 BCC-CSM includes main climate-carbon cycle processes (Wu et al., 2013) and the global
80 mean atmospheric CO₂ concentration is calculated from a prognostic equation of CO₂ budget
81 taking into account global anthropogenic CO₂ emissions and interactive land-atmosphere and
82 ocean-atmosphere CO₂ exchanges.

83 In recent years, BCC has put large efforts in developing a global
84 climate-chemistry-aerosol fully-coupled Earth System Model (BCC-ESM1) on the basis of
85 BCC-CSM2 (Wu et al., 2019). The objective is to interactively simulate global aerosols (e.g.
86 sulfate, black carbon, etc.) and main greenhouse gases (e.g. O₃, CH₄, NO₂ and CO₂) in the
87 atmosphere and to investigate feedbacks between climate and atmospheric chemistry.
88 BCC-ESM1 is at the point to be publicly released, and it is actively used by BCC for several
89 CMIP6-endorsed research initiatives (Eyring et al. 2016), including the Aerosol Chemistry
90 Model Intercomparison Project (AerChemMIP, Collins et al., 2017) and the Coupled
91 Climate–Carbon Cycle Model Intercomparison Project (C4MIP, Jones et al. 2016).

92 The purpose of this paper is to evaluate the performance of BCC-ESM1 in simulating
93 aerosols and their optical properties in the 20th century. The description of BCC-ESM1 is
94 presented in Section 2. The experimental protocol is given in Section 3. Section 4 presents the
95 evaluations of aerosol simulations with comparisons to CMIP5-recommended data (Lamarque
96 et al., 2010) and data obtained from both global surface networks and satellite observations.
97 The regional and global characteristics compared to observations and estimates from other
98 studies are analyzed. Simulations of aerosol optical properties in the 20th century are also
99 analyzed in Section 4. Conclusions and discussions are summarized in Section 5. Information
100 about code and data availability is given in Section 6.

101 **2. Model description**

102 BCC-ESM1 is an Earth System Model with interactive chemistry and aerosol
103 components, in which the atmospheric component is BCC Atmospheric General Model
104 version 3 (Wu et al., 2019) with interactive atmospheric chemistry (hereafter
105 BCC-AGCM3-Chem), land component BCC Atmosphere and Vegetation Interaction Model
106 version 2.0 (hereafter BCC-AVIM2.0), ocean component Modular Ocean Model version 4
107 (MOM4)-L40, and sea ice component [sea ice simulator (SIS)]. Different components of
108 BCC-ESM1 are fully coupled and interact with each other through fluxes of momentum,

109 energy, water, carbon and other tracers at their interfaces. The coupling between the
110 atmosphere and the ocean is done every hour.

111 The atmospheric component BCC-AGCM3-Chem is able to simulate global atmospheric
112 composition and aerosols from anthropogenic emissions as forcing agents. Its resolution is T42
113 (approximately 2.8125°x2.8125° transformed spectral grid). The model has 26 levels in a hybrid
114 sigma/pressure vertical coordinate system with the top level at 2.914 hPa. Details of the model
115 physics are described in Wu et al. (2019). The BCC-AGCM3-Chem combines 66 gas-phase
116 chemical species and 13 bulk aerosol compounds as listed in Table 1. Apart from 3 gas-phase
117 species of dimethyl sulfide (DMS), SO₂ and NH₃, the other 63 gas-phase species are the same
118 as those in the “standard version” of MOZART2 (Model for Ozone and Related chemical
119 Tracers, version 2), a global chemical transport model for the troposphere developed by the
120 National Center for Atmospheric Research (NCAR) driven by meteorological fields from
121 either climate models or assimilations of meteorological observations (Horowitz et al., 2003).
122 Advection of all tracers in BCC-AGCM3-Chem is performed through a semi-Lagrangian
123 scheme (Williamson and Rasch, 1989), and vertical diffusion within the boundary layer
124 follows the parameterization of Holtslag and Boville (1993). The gas-phase chemistry of the
125 63 MOZART2 gas-phase species as listed in Table 1 is treated in the same way as that in the
126 “standard version” of MOZART2 (Horowitz et al., 2003), and there are 33 photolytic
127 reactions and 135 chemical reactions involving 30 dry deposited chemical species and 25
128 soluble gas-phase species. Dry deposition velocities for the 15 trace gases including O₃, CO,
129 CH₄, CH₂O, CH₃OOH, H₂O₂, NO₂, HNO₃, PAN, CH₃COCH₃, CH₃COOOH, CH₃CHO,
130 CH₃COCHO, NO, and HNO₄ are not computed interactively and directly interpolated from
131 MOZART2 climatological monthly mean deposition velocities
132 ([https://en.wikipedia.org/wiki/MOZART\(model\)](https://en.wikipedia.org/wiki/MOZART(model))) which are calculated offline (Bey et al., 2001;
133 Shindell et al., 2008) using a resistance-in-series scheme originally described in Wesely
134 (1989). The dry deposition velocities for the other 15 species including MPAN, ONIT, ONITR,
135 C₂H₅OH, POOH, C₂H₅OOH, C₃H₇OOH, ROOH, GLYALD, HYAC, CH₃OH, MACROOH,
136 ISOPOOH, XOOH, HYDRALD, and H₂ are calculated using prescribed deposition velocities
137 of O₃, CO, CH₃CHO, or land surface type and surface temperature following the MOZART2
138 (Horowitz et al., 2003). Wet removals by in-cloud scavenging for 25 soluble gas-phase species

139 in the “standard version” of MOZART2 use the parameterization of Giorgi and Chameides
140 (1985) based on their temperature dependent effective Henry’s law constants. In-cloud
141 scavenging is proportional to the amount of cloud condensate converted to precipitation, and
142 the loss rate depends on the amount of cloud water, the rate of precipitation formation, and the
143 rate of tracer uptake by the liquid phase water. Other highly soluble species such as HNO₃,
144 H₂O₂, ONIT, ISOPOOH, MACROOH, XOOH, and Pb-210 are also removed by below-cloud
145 washout as calculated using the formulation of Brasseur et al. (1998). Below-cloud
146 scavenging is proportional to the precipitation flux in each model layer and the loss rate
147 depends on the precipitation rate. Vertical transport of gas tracers and aerosols due to deep
148 convection is not yet included in the present version of BCC-AGCM3-Chem, which process
149 is considered as a part of the deep convection and occurs generally in a small spatial region on
150 a GCM-box with low-resolution (2.8°lat.×2.8°lon.). Another consideration is that a large
151 uncertainty exists to treat transport of those water-soluble tracers by deep convection. But this
152 effect will be involved in the next version of BCC model.

153 The BCC-AVIM2.0 is the land model with terrestrial carbon cycle. It is described in
154 details in Li et al. (2019) and includes biophysical, physiological, and soil carbon-nitrogen
155 dynamical processes. The terrestrial carbon cycle operates through a series of biochemical
156 and physiological processes on photosynthesis and respiration of vegetation. Biogenic
157 emissions from vegetation are computed online in BCC-AVIM2.0 following the algorithm of
158 the Model of Emissions of Gases and Aerosols from Nature version 2.1 (MEGAN2.1,
159 Guenther et al., 2012).

160 The oceanic component of BCC-ESM1 is the Modular Ocean Model version 4 with 40
161 levels (hereafter MOM4-L40), and the sea ice component Sea Ice Simulator (SIS).
162 MOM4-L40 uses a tripolar grid of horizontal resolution with 1° longitude by 1/3° latitude
163 between 30°S and 30°N ranged to 1° longitude by 1° latitude from 60°S and 60°N poleward
164 and 40 z-levels in the vertical. Carbon exchange between the atmosphere and the ocean are
165 calculated online in MOM4-L40 using a biogeochemistry module that is based on the
166 protocols from the Ocean Carbon Cycle Model Intercomparison Project–Phase 2 (OCMIP2,
167 <http://www.ipsl.jussieu.fr/OCMIP/phase2/>). SIS has the same horizontal resolution as
168 MOM4-L40 and three layers in the vertical, including one layer of snow cover and two layers

169 of equally sized sea ice. Details of oceanic component MOM4-L40 and sea-ice component
170 SIS that are used in BCC-ESM1 may be found in Wu et al. (2013) and Wu et al. (2019).

171 In the following sub-sections, we will describe the treatments in BCC-ESM1 for 3
172 gas-phase species of DMS, SO₂ and NH₃, 13 prognostic aerosol species including sulfate
173 (SO₄²⁻), 2 types of organic carbon (hydrophobic OC1, hydrophilic OC2), 2 types of black
174 carbon (hydrophobic BC1, hydrophilic BC2), 4 categories of soil dust (DST01, DST02,
175 DST03, DST04), and 4 categories of sea salt (SSLT01, SSLT02, SSLT03, SSLT04).
176 Concentrations of all aerosols in BCC-ESM1 are mainly determined by advective transport,
177 emission, dry deposition, gravitational settling, and wet scavenging by clouds and
178 precipitation, except for SO₄²⁻ which gas-phase and aqueous phase conversion from SO₂ are
179 also considered. The present version of aerosol scheme belongs to a bulk aerosol model and
180 mainly refers to the scheme of CAM-Chem (Lamarque et al., 2012), but the nucleation and
181 coagulation of aerosols are still ignored.

182 **2.1 SO₂, DMS, NH₃, and Sulfate**

183 SO₂ is a main sulfuric acid precursor to form aerosol sulfate SO₄²⁻. Conversions of SO₂
184 to SO₄²⁻ occur by gas phase reactions (Table 2) and by aqueous phase reactions in cloud
185 droplets. The dry deposition velocity of SO₂ follows the resistance-in-series approach of
186 Wesely (1989) using the formula, $W_{SO_2} = 1/(r_a + r_b + r_c)$, in which r_a , r_b , and r_c are the
187 aerodynamic resistance, the quasi-laminar boundary layer resistance, and the surface
188 resistance, respectively and they are interactively computed in each model time step. The loss
189 rate of SO₂ due to wet deposition is computed following the scheme in the global Community
190 Atmosphere Model (CAM) version 4, the atmospheric component of the Community Earth
191 System Model (Lamarque et al., 2012).

192 The sources of SO₂ mainly come from fuel combustion, industrial activities, and
193 volcanoes. SO₂ can also be formed from the oxidation of DMS as listed in Table 2 in which
194 their reaction rates follow CAM-Chem (Lamarque et al. 2012). The main source of DMS is
195 from oceanic emissions via biogenic processes. It is prescribed with the climatological
196 monthly data that are extracted from MOZART2 package
197 (<https://www2.acom.ucar.edu/gcm/mozart-4>). SO₄²⁻ is one of the prognostic aerosols in
198 BCC-AGCM3-Chem. Its treatment follows CAM4-Chem (Lamarque et al., 2012). It is

199 produced primarily by the gas-phase oxidation of SO₂ (in Table 2) and by aqueous phase
200 oxidation of SO₂ in cloud droplets. The gas phase reactions, rate constants, and gas-aqueous
201 equilibrium constants are given by Tie et al. (2001). The heterogeneous reactions of SO₄²⁻
202 occur on all aerosol surfaces. Their treatment follows a Bulk Aerosol Model (BAM) used in
203 CAM4 (Neale et al., 2010). The heterogeneous reactions depend strongly on pH values in
204 clouds which are calculated from the concentrations of SO₂, HNO₃, H₂O₂, NH₃, O₃, HO₂, and
205 SO₄²⁻. NH₃ is a gas tracer apart from MOZART2 (Table 1). Its sources include aircraft and
206 surface emissions due to anthropogenic activity, biomass burning, and biogenic emissions
207 from land soil and ocean surfaces (Table 4). SO₄²⁻ is assumed to be all in aqueous phase due
208 to water uptake, although Wang et al. (2008a) showed that ~34% of sulfate particles are in
209 solid phase globally due to the hysteresis effect of ammonium sulfate phase transition.
210 However, in terms of radiative forcing, consideration of solid sulfate formation process
211 lowers the sulfate forcing by ~8% as compared to consideration of all sulfate particles in
212 aqueous phase (Wang et al., 2008b). Future model development may consider the life cycle of
213 NH₃. The sulfate in- and below-cloud scavenging follows Neu and Prather (2011). Washout
214 of SO₄²⁻ is set to 20% of the washout rate of HNO₃ following Tie et al. (2005) and Horowitz
215 (2006). Dry deposition velocity of SO₄²⁻ is also calculated by the resistance-in-series
216 approach.

217 **2.2 Aerosols of organic carbon and black carbon**

218 BCC-AGCM3-Chem treats two types of organic carbon (OC), i.e. water-insoluble tracer
219 OC1 and water-soluble tracer OC2, and two types of black carbon (BC), i.e. water-insoluble
220 tracer BC1 and water-soluble tracer BC2. As shown in Table 2, hydrophobic BC1 and OC1
221 can be converted to hydrophilic BC2 and OC2 with a constant rate of $7.1 \times 10^{-6} \text{ s}^{-1}$ (Cooke and
222 Wilson, 1996). The 4 tracers of organic carbon and black carbon are mainly from emissions
223 including both fossil fuel and biomass burning, and are from the CMIP6 data package
224 (<https://esgf-node.llnl.gov/search/input4mips/>, Hoesly et al., 2018). Beside anthropogenic and
225 biomass burning emissions, hydrophilic organic carbon OC2 can also come from natural
226 biogenic volatile organic compound (VOC) emissions. Dry deposition velocities for all the 4
227 OC and BC tracers are set to 0.001 m.s^{-1} . OC2 and BC2 are soluble aerosols, and their sinks
228 are primarily governed by wet deposition. Their in- and below-cloud scavenging follows the

229 scheme of Neu and Prather (2011).

230 **2.3 Sea salt aerosols**

231 As shown in Table 3, sea salt aerosols in the model are classified into four size bins (0.2–
232 1.0, 1.0–3.0, 3.0–10, and 10–20 μm) in diameter. They originate from oceans and are
233 calculated online by BCC-ESM1. The upward flux $F_{sea-salt}$ of sea salt productions for four
234 bins is proportional to the 3.41 power of the wind speed u_{10m} at 10 m height near the sea
235 surface (Mahowald et al., 2006) and is expressed as

$$236 \quad F_{sea-salt} = S \cdot (u_{10m})^{3.41}, \quad (1)$$

237 where S is a scaling factor and set to 4.05×10^{-15} , 4.52×10^{-14} , 1.15×10^{-13} , 1.20×10^{-13} for four
238 size bins of sea salt aerosols in BCC-ESM1, respectively.

239 Dry deposition of sea salts depends on the turbulent deposition velocity in the lowest
240 atmospheric layer using aerodynamic resistance and the friction velocity, and the settling
241 velocity through the whole atmospheric column for each bin of sea salts. The turbulent
242 deposition velocity and settling velocity depend on particle diameter and density (listed in
243 Table 3). In addition, the fact that the size of sea salts changes with humidity is also
244 considered. The wet deposition of sea salts follows the scheme for soluble aerosols used in
245 CAM4, and depends on prescribed solubility and size-independent scavenging coefficients.

246 **2.4 Dust aerosols**

247 Dust aerosols behave in a similar way as sea salts. Their variations involve three major
248 processes: emission, advective transport, and wet/dry depositions. The dust emission is based
249 on a saltation-sandblasting process, and depends on wind friction velocity, soil moisture, and
250 vegetation/snow cover (Zender et al., 2003). The vertical flux of dust emission is corrected by
251 a surface erodible factor at each model grid cell which has been downloaded from NCAR
252 website (<https://svn-ccsm-inputdata.cgd.ucar.edu/trunk/inputdata/atm/cam/dst/>). Soil
253 erodibility is prescribed by a physically-based geomorphic index that is proportional to the
254 runoff area upstream of each source region (Albani et al., 2014). Like sea salts, dry deposition
255 of dust aerosols includes gravitational and turbulent deposition processes, while wet
256 deposition results from both convective and large scale precipitation and is dependent on
257 prescribed size-independent scavenging coefficients.

258 **2.5 Effects of aerosols on radiation, clouds, and precipitation**

259 The mass mixing ratios of bulk aerosols are prognostic variables in BCC-ESM1 and
 260 directly affect the radiative transfer in the atmosphere with their treatments following the
 261 NCAR Community Atmosphere Model (CAM3, Collins et al., 2004). Indirect effects of
 262 aerosols are taken into account in the present version of BCC-AGCM3-Chem (Wu et al.,
 263 2019). Aerosol particles act as cloud condensation nuclei and exert influence on cloud
 264 properties and precipitation, and ultimately impact the hydrological cycle.

265 Prognostic aerosol masses are used to estimate the liquid cloud droplet number
 266 concentration N_{cdnc} (cm^{-3}) in BCC-AGCM3-Chem. N_{cdnc} is explicitly calculated using the
 267 empirical function suggested by Boucher and Lohmann (1995) and Quaas et al. (2006):

$$268 \quad N_{cdnc} = \exp[5.1 + 0.41 \ln(m_{aero})] \quad (2)$$

269 where m_{aero} ($\mu\text{g}\cdot\text{m}^{-3}$) is the total mass of all hydrophilic aerosols,

$$270 \quad m_{aero} = m_{SS} + m_{OC} + m_{SO_4} + m_{NH_4NO_2}, \quad (3)$$

271 i.e. the first bin of sea salt (m_{SS}), hydrophilic organic carbon (m_{OC}), sulphate (m_{SO_4}), and
 272 NH_4NO_2 . A dataset of NH_4NO_2 from NCAR CAM-Chem (Lamarque et al., 2012) is used in
 273 our model.

274 N_{cdnc} is an important factor in determining the effective radius of cloud droplets for
 275 radiative calculation. The effective radius of cloud droplets r_{el} is estimated as

$$276 \quad r_{el} = \beta \cdot r_{l,vol}, \quad (4)$$

277 where β is a parameter dependent on the droplets spectral shape and follows the calculation
 278 proposed by Peng and Lohmann (2003),

$$279 \quad \beta = 0.00084 N_{cdnc} + 1.22. \quad (5)$$

280 $r_{l,vol}$ is the volume-weighted mean cloud droplet radius,

$$281 \quad r_{l,vol} = [(3LWC)/(4\pi\rho_w N_{cdnc})]^{1/3}, \quad (6)$$

282 where ρ_w is the liquid water density and LWC the cloud liquid water content (g cm^{-3}).

283 Aerosols also exert impacts on precipitation efficiency (Albrecht, 1989), which is taken
 284 into account in the parameterization of non-convective cloud processes. There are five
 285 processes that convert condensate to precipitate: auto-conversion of liquid water to rain,
 286 collection of cloud water by rain, auto-conversion of ice to snow, collection of ice by snow,

287 and collection of liquid by snow. The auto-conversion of cloud liquid water to rain (*PWAUT*)
 288 is dependent on the cloud droplet number concentration and follows a formula that was
 289 originally suggested by Chen and Cotton (1987),

$$290 \quad PWAUT = C_{l,aut} \hat{q}_l^2 \rho_a / \rho_w \left(\frac{\hat{q}_l \rho_a}{\rho_w N_{ncdc}} \right)^{1/3} H(r_{l,vol} - r_{lc,vol}) \quad (7)$$

291 Where \hat{q}_l is in-cloud liquid water mixing ratio, ρ_a and ρ_w are the local densities of air and
 292 water respectively, and $C_{l,aut}$ is a constant. $H(x)$ is the Heaviside step function with the
 293 definition,

$$294 \quad H(x) = \begin{cases} 0, & x < 0 \\ 1, & x \geq 0 \end{cases}. \quad (8)$$

295 $r_{lc,vol}$ is the critical value of mean volume radius of liquid cloud droplets $r_{l,vol}$, and set to 15
 296 μ m.

297

298 **3. Experiment design for the 20th century climate simulation**

299 There is an Aerosol Chemistry Model Intercomparison Project (AerChemMIP, Collins et
 300 al., 2017) endorsed by the Coupled-Model Intercomparison Project 6 (CMIP6) for
 301 documenting and understanding past and future changes in the chemical composition of the
 302 atmosphere, and estimating the global-to-regional climate response from these changes.
 303 Modelling groups with full chemistry and aerosol models are encouraged to perform all
 304 AerChemMIP simulations (Collins et al., 2017). To assess the ability of our model to simulate
 305 aerosols (mean and variability), we have followed the historical simulation designed by
 306 CMIP6 (Eyring et al., 2016) which is named as “historical” experiment in the Earth System
 307 Grid Federation (ESGF). The protocol details of the historical experiment forced with
 308 emissions evolving from 1850 to 2014 refer to Collins et al. (2017). O_3 in the historical
 309 simulation is an interactive prognostic variable and feedbacks on radiation, and the
 310 concentrations of other WGMHG, e.g. CH_4 , N_2O , CO_2 , CFC11, and CFC12 are prescribed
 311 using CMIP6 historical forcing data as suggestion in AerChemMIP protocol. Although CH_4
 312 and N_2O are prognostic variables in the chemistry scheme (Table 1), their prognostic values at
 313 each model step in the historical experiment are replaced by CMIP6 data. The rest of
 314 historical forcing data include: (1) yearly global gridded land-use forcing data sets, and (2)

315 solar forcing. All these datasets were downloaded from
316 <https://esgf-node.llnl.gov/search/input4mips/>. Climate feedback processes that involve changes
317 to the atmospheric composition of reactive gases and aerosols may affect the temperature
318 response to a given WMGHG concentration level (Collins et al., 2017). Three members of
319 historical experiments are conducted and the first member is analyzed in this work.

320 **3.1 Surface emissions**

321 Surface emissions of chemical species from different sources are summarized in Table
322 4. They include anthropogenic emissions from fossil fuel burning and other industrial
323 activities, biomass burning (including vegetation fires, fuel wood and agricultural burning),
324 biogenic emissions from vegetation and soils, and oceanic emissions. Most historical
325 emissions from anthropogenic source (surface, aircraft plus ship) and biomass burning from
326 1850 to 2014 are CMIP6-recommended data (Hoesly et al., 2018; available at
327 <https://esgf-node.llnl.gov/search/input4mips/>). Anthropogenic or biomass burning sources of
328 some tracers which are not included in the CMIP6 dataset (see Table 4), anthropogenic
329 emission of H₂ and N₂O are from monthly climatological dataset provided by the MOZART-2
330 standard package. N₂O is a prognostic variable in BCC-ESM1 but it is replaced by CMIP6
331 prescribed concentration in the historical run. Other emissions including biomass burning
332 (CH₃COCH₃) and anthropogenic emission (CH₃CHO, CH₃OH, and CH₃COCH₃) are from the
333 IPCC ACCMIP emission inventory (<http://accent.aero.jussieu.fr/ACCMIP.php>) covering the
334 period from 1850 to 2010 with 10-year intervals (see Table 4). Monthly lumped emissions of
335 black carbon and organic carbon aerosols from 1850 to 2014 are downloaded from
336 CMIP6-recommended data, but we used 80% (for BC) and 50% (for OC) of them in their
337 hydrophobic forms (BC1 and OC1) and the rest in their hydrophilic forms (BC2 and OC2),
338 following the work of Chin et al. (2002).

339 Five tracers of ISOP, ACET (CH₃COCH₃), C₂H₄, C₃H₈, and Monoterpenes (C₁₀H₁₆) in
340 Table 1 belong to biogenic volatile organic carbons (VOCs). As shown in Table 4, those
341 VOCs emissions are online calculated in BCC-ESM1 following the modeling framework of
342 the Model of Emissions of Gases and Aerosols from Nature version 2.1 (MEGAN2.1,
343 Guenther et al., 2012) using simple mechanistic algorithms to account for major known
344 processes controlling biogenic emissions. The MEGAN2.1 can provide a flexible scheme for

345 estimating 16 tracers of biogenic emissions from terrestrial ecosystems including five VOCs
346 emissions used in BCC-ESM1 (Table 4). All the VOCs emissions depend on current and past
347 surface air temperature, solar flux, and the landscape types. Their calculation requires global
348 maps of plant functional type (PFT) and leaf area index (LAI) which is a prognostic variable
349 from the land model BCC-AVIM2. The effect of atmospheric CO₂ concentration on isoprene
350 emissions is included. 10% of the biogenic monoterpenes emissions as calculated online with
351 the MEGAN2.1 algorithm in BCC-AVIM2 are converted to hydrophilic organic carbon (OC₂)
352 to account for formation of secondary organic aerosols following Chin et al. (2002) in this
353 version of BCC-ESM1.

354 **3.2 Volcanic eruption, lightning and aircraft emissions**

355 As there is no stratospheric aerosol scheme in BCC-ESM1, concentrations of sulfate
356 aerosol at heights from 5 to 39.5 km, which volcanic origin, are directly prescribed using the
357 CMIP6-recommended data (Thomasson et al., 2018) from 1850 to 2014. The effects of
358 surface SO₂ emissions from volcanic eruption on the variation of SO₂ in the atmosphere and
359 then on the variation of tropospheric SO₄²⁻ concentration are considered, and the SO₂
360 emissions from 1850 to 2014 are downloaded from the IPCC ACCMIP emission inventory
361 (<http://accent.aero.jussieu.fr/ACCMIP.php>). Aircraft emissions are provided for NO₂, CO,
362 CH₄, NH₃, NO, SO₂, and aerosols of OC and BC (Table 1). The emissions of NO from
363 lightning are online calculated in BCC-AGCM3-Chem following the parameterization in
364 MOZART2, and the globally-averaged mean during the period of 1850 to 2014 is 5.19
365 Tg(N)·yr⁻¹, which is in agreement with observations within the range of 3 to 6 Tg(N)·yr⁻¹
366 (Martin et al., 2002). The lightning frequency depends strongly on the convective cloud top
367 height, and the ratio of cloud-to-cloud versus cloud-to-ground lightning depends on the cold
368 cloud thickness from the level of 0°C to the cloud top (Price and Rind, 1992).

369 **3.3 Upper boundary of the atmosphere**

370 As no stratospheric chemistry is included in the present version of BCC-AGCM3-Chem,
371 it is necessary to ensure a proper distribution of chemically-active stratospheric species.
372 Concentrations of different tracers (O₃, CH₄, N₂O, NO, NO₂, HNO₃, CO, and N₂O₅) at the top
373 two layers of the model are set to prescribed monthly climatological values, and
374 concentrations from below the top two layers to the tropopause are relaxed at a relaxation

375 time of 10-days towards the climatology. Climatological values of NO, NO₂, HNO₃, CO and
376 N₂O₅ at the top two layers are extracted from MOZART2 data package available at the
377 Website (<https://www2.aom.ucar.edu/gcm/mozart-4>), originated from the Study of Transport
378 and Chemical Reactions in the Stratosphere (STARS, Brasseur et al., 1997). Concentrations
379 for the other tracers (O₃, CH₄, and N₂O) at the top two model layers are the zonally-averaged
380 and monthly values from 1850 to 2014 derived from the CMIP6 data package.

381 **3.4 The preindustrial model states**

382 The preindustrial state of BCC-ESM1 is obtained from a piControl simulation of over 600
383 years in which all forcings including emissions data are fixed at 1850 conditions. The initial
384 state of the piControl simulation itself is obtained through individual spin-up runs of each
385 component of BCC-ESM1 in order for the piControl simulation to run stably and fast to reach
386 its equilibrium. Figures 1(a-c) show the time series of global yearly means of the net energy
387 budget at top of the atmosphere (TOA), near-surface air temperature (TAS), and sea surface
388 temperature (SST) from the piControl simulation for the last 450 years. It shows that the
389 surface climate in BCC-ESM1 nearly reaches its equilibrium after 600 years piControl
390 simulation. The whole system in BCC-ESM1 fluctuates around +0.7 Wm⁻² net energy flux at
391 TOA without obvious trend in 450 years (Fig. 1a). This level of TOA energy imbalance is
392 close to the average imbalance (1.0 Wm⁻²) among CMIP5 models (Wild et al., 2013), and do
393 not cause remarkable climate drift in BCC-ESM1. The global mean TAS and SST keep
394 around 288.1 K (Fig. 1b) and 295.05 K (Fig. 1c), respectively. During the last 450 years, there
395 are ($\pm 0.2^\circ\text{K}$ amplitude of TAS and SST) oscillations of centennial scale for the whole globe
396 (Figs. 1b and 1c), which are certainly caused by internal variation of the system.

397 Figures 2a-2c show the time series of global annual total burdens of SO₂, DMS, and OH
398 in the troposphere (integrated from the surface to 100 hPa) in the last 450 years of the
399 piControl simulation. Without any anthropogenic source, the SO₂ amount in the troposphere
400 nearly keeps the level of 0.0868 Tg in the 450 years of the piControl simulation. Tropospheric
401 DMS varies around the value of 0.116 Tg. Tropospheric OH, as an important gas species
402 oxidizing SO₂ to form SO₄²⁻ (Table 2), keeps at a stable level in the atmosphere. SO₄²⁻ also
403 remains at a stable level of 0.556 Tg in the atmosphere in the whole period of the piControl
404 simulation (Figure 2d). The amounts of BC and OC in the troposphere vary around 0.0395 Tg

405 and 0.275 Tg (Figures 2e-2f), respectively. Dust and sea salt aerosols are at the level of 22 Tg
406 and 11.7 Tg (Figures 2g-2h), respectively. All those data are close to the global mean
407 concentrations of 0.604 Tg SO_4^{2-} , 0.046 Tg BC, 0.30 Tg OC, 22.18 Tg dust, and 11.73 Tg sea
408 salts in 1850 which are estimated based on the CMIP5 prescribed data in 1850 (Lamarque et
409 al., 2010).

410 Figure 3 shows the global spatial distributions of annual mean sulfate, organic carbon,
411 black carbon, dust, and sea salt aerosols in the whole atmospheric column averaged for the
412 last 100 years of the piControl simulation of BCC-ESM. We can compare them with CMIP5
413 recommended concentrations in year 1850, considered as the reference state in the
414 pre-industrial stage. At that time, there are fewer anthropogenic/biomass SO_2 emissions, the
415 SO_4^{2-} over land are evidently smaller than those over oceans especially over the tropical
416 Pacific and Atlantic Oceans, where DMS can be oxidized to SO_2 and then form SO_4^{2-} . There
417 are several centers of high values of black carbon and organic carbon in East and South Asia,
418 Europe, Southeast America, and in the tropical rain forests in Africa and South America.
419 They mainly result from biomass burning including vegetation fires, fuel wood and
420 agricultural burning. Dust aerosols are mainly distributed in North Africa, Central Asia, North
421 China, and Australia, where arid and semi-arid areas locate. Dust emitted from Sahara Desert
422 can be transported to the tropical Atlantic by easterly wind. The sea salt aerosols are mainly
423 distributed over the mid-latitude Southern Oceans, the tropical southern Indian Ocean, and the
424 tropical northern Pacific Ocean, where wind speeds near the sea surface are strong. As shown
425 in Fig. 3, all the spatial distribution patterns of CMIP5-derived sulfate, black carbon, organic
426 carbon, dust, and sea salt aerosols (Lamarque et al., 2010) are well simulated in BCC-ESM1.
427 There are high spatial correlation coefficients, 0.76 for sulfate, 0.77 for black carbon, 0.77 for
428 organic carbon, 0.94 for dust, and 0.94 for sea salts, between CMIP5 data and BCC-ESM1
429 simulations. Relative lower relations for sulfate, black carbon and organic carbon are possibly
430 caused as different anthropogenic emission sources are used in BCC-ESM1 and to create
431 CMIP5 data. Dust and sea salts belong to natural aerosols and depend on the land and sea
432 surface conditions, so their spatial distributions are easy to be captured and have relatively
433 higher correlations between CMIP5 data and BCC-ESM1 simulations.

434

435 **4. Evaluation of O₃ and aerosol simulations in the 20th century**

436 The rate of sulfate formation is dependent on the levels of oxidants in the troposphere.
437 O₃ is an important oxidant. So, the evaluation of simulated tropospheric O₃ is helpful to
438 understand the aerosols simulations. BCC-ESM1 is driven by most of the
439 CMIP6-recommended emission data. As shown in Figure 4, the zonal distributions of the total
440 amounts of tropospheric O₃ below 300 hPa to the ground and their changes with time from
441 1850 to 2014 from the CMIP6-recommend dataset (Table 4) are well simulated by
442 BCC-ESM1. Evident increasing trends since 1850 almost exist in every latitudes, especially
443 in the Northern Hemisphere where the contents of tropospheric O₃ are higher than those in the
444 Southern Hemisphere.

445 Figure 5 shows the vertical profiles of O₃ simulations with comparison to global
446 ozonesonde observations averaged for the monthly data over 2010-2014 from the World
447 Ozone and Ultraviolet Radiation Data Centre (WOUDC; <http://woudc.org/data.php>, last
448 access: 24 September 2019) in nine regions which are averaged from 41 global WOUDC sites.
449 The details of WOUDC data may refer to Lu et al. (2019). As shown in Figure 5, BCC-ESM1
450 well captures the observed ozone vertical structure at all regions. At the lower and middle
451 troposphere (i.e. below 6 km), the model typically shows positive bias within 5 ppbv for the
452 Southern Hemisphere and 10 ppbv for the Northern mid-latitudes, similar to those simulated
453 from many other global atmospheric chemical models (Young et al., 2013, 2018). The model
454 has larger ozone overestimation in the upper troposphere and stratosphere at most regions, at
455 least partly due to the use of prescribed stratospheric ozone as upper boundary conditions
456 and/or errors in modeling ozone exchange between the stratosphere and the troposphere.
457 Global tropospheric ozone burden derived from our simulation is 335 Tg averaged over
458 2010-2014, in consistent with recent assessment from multi chemistry models (Young et al.,
459 2018).

460 **4.1 Global aerosols trends**

461 Figure 6(a)-(c) show the time series of global total emissions of SO₂, OC, and BC to the
462 atmosphere from natural and anthropogenic sources. Emissions of SO₂ are largely due to
463 industrial production. From 1850 to 1915, SO₂ emissions increased year by year as the
464 Industrial Revolution intensified and expanded. But from 1915 to 1945, the increase trend of

465 SO₂ emissions became slower as broke out the First and the Second World Wars. After that
466 period, with growing industrial productions, SO₂ emissions increased again and reached a
467 maximum around the end of 1970s. During the 1980s and 2000s, with a substantial decrease
468 of SO₂ emissions in Europe and the United States, the global SO₂ emissions has been
469 decreasing since the 1980s despite the rapid increase of SO₂ emissions in South and East Asia
470 as well as in developing countries in the Southern Hemisphere in recent years (Liu et al.,
471 2009). The OC and BC emissions substantially increased since 1950s just after the Second
472 World War. The global total OC emission in 2010 was nearly twice as much as that in
473 pre-industrial (year 1850) and increased by 18 Tg • yr⁻¹. Anthropogenic black carbon
474 emissions increased from 1 Tg•yr⁻¹ in 1850 to nearly 8 Tg•yr⁻¹ in 2010.

475 Anthropogenic SO₂, OC and BC emissions strongly affect the variations of atmospheric
476 concentrations of sulfate, OC, and BC. The global 0.5°x0.5° gridded data of
477 CMIP5-recommended aerosols masses with 10-years interval from 1850 to 2000 (Lamarque
478 et al., 2010) provides an important reference to evaluate the aerosol simulations in
479 BCC-ESM1. As shown in Figure 7b-7f, the annual total aerosol burdens of SO₄²⁻, OC, and BC
480 in the whole atmosphere column as simulated by the BCC-ESM1 20th century historical
481 simulation are generally consistent with the values derived from CMIP5-recommended
482 aerosols concentrations. Due to increasing SO₂ emissions from 1850 to present day (Fig. 6),
483 the global SO₂ burden in the atmosphere increased from 100 Tg in 1850s to 200 Tg in 1980s
484 (Fig. 7a), and has a high correlation coefficient of 0.996 with the anthropogenic emissions
485 (Fig. 6a), as the lifetime of SO₂ is short. The burden directly followed the emission. DMS in
486 the atmosphere is oxidized by OH and NO₃ to form SO₂ (Table 2). Its natural emissions from
487 oceans from 1850 to 2010 in the model are the climatological monthly means (Dentener et al.,
488 2006) from MOZART2 data package. As shown in Fig 7a, the global amount of DMS in the
489 whole atmosphere was about 0.12 Tg during 1850-1900 and decreased to 0.055 Tg in 2010.
490 This decrease trend maybe partly results from the speeded rate of DMS oxidation with global
491 warming, and the loss of DMS gradually exceeds the source of ocean DMS emission to cause
492 a net loss of DMS in the atmosphere since 1910s. Largely driven by SO₂ anthropogenic
493 emissions, the sulfate burden shows three different stages from 1850 to present. In the first
494 period from 1850s to 1900s, the sulfate burden had a weak linear increase. It increased

495 significantly in the second stage from 1910's to 1940's, and then exploded since 1950's, until
496 the middle 1970s and early 1980s. The sulfate burden then remained nearly stable and even
497 showed slightly decreases as seen from the CMIP5 data. As for global BC and OC burdens,
498 BCC-ESM1 results show continuous increases since 1850s, especially from 1950 to present.
499 From 1910's to 1940's, the CMIP5 data show a slight decrease of BC and OC burdens in the
500 atmosphere.

501 The dust and sea salt aerosols in the atmosphere are largely determined by the
502 atmospheric circulations and states of the land and ocean surface. We can see that the global
503 dust burden in the atmosphere showed evident increase from 1980 to 2000, which could be
504 partly caused by evident global warming since 1980 and increasing soil dryness resulting in
505 more surface dust to be released in the atmosphere. Their details will be explored in the other
506 paper.

507 **4.2 Global aerosols budgets**

508 We further evaluate global aerosols budgets by comparing a 10-year average of
509 BCC-ESM results from 1990 to 2000 with various studies for sulfate, BC, OC, sea salt, and
510 dust. Their annual total emissions, average atmospheric mass loading, and mean lifetimes are
511 listed in Tables 5 and 6. It is worth emphasizing that the global mean total source and sink for
512 each type of aerosols in BCC-ESM1 are almost balanced.

513 The global DMS emission from the ocean is $27.4 \text{ Tg(S)} \cdot \text{yr}^{-1}$ in BCC-ESM. This
514 emission in BCC-ESM is nearly balanced by the gas-phase oxidation of DMS to form SO_2 .
515 The DMS burden is 0.12 Tg with a lifetime of 0.78 days, which is within the range of other
516 models reported in the literature. As shown in Table 5, the total SO_2 production averaged for
517 the period of 1991 to 2000 is $76.93 \text{ Tg(S)} \cdot \text{yr}^{-1}$. A rate of $13.2 \text{ Tg(S)} \cdot \text{yr}^{-1}$ (about 17%) SO_2 is
518 produced from the DMS oxidation, only $0.1 \text{ Tg(S)} \cdot \text{yr}^{-1}$ SO_2 from airplane emissions to the
519 atmosphere, and the rest ($63.63 \text{ Tg(S)} \cdot \text{yr}^{-1}$, near 82.7%) from anthropogenic activities and
520 volcanic eruption at surface. The amount of SO_2 produced from the DMS oxidation is in the
521 range of other works (10.0 to $24.7 \text{ Tg(S)} \cdot \text{yr}^{-1}$) reported in Liu et al (2005). All the SO_2
522 production is balanced by SO_2 losses by dry and wet deposition, and by gas- and
523 aqueous-phase oxidation. Half of its loss ($38.74 \text{ Tg(S)} \cdot \text{yr}^{-1}$) occurs via its aqueous-phase
524 oxidation to form sulfate. Other losses through dry and wet depositions and gas-phase

525 oxidation to form SO_4^{2-} are also important (Table 2). All the sinks are in the range from the
526 literature (Liu et al., 2005). The global burden of SO_2 in the atmosphere is 0.48 Tg with a
527 lifetime of 1.12 days, consistent with values in literature (Liu et al., 2005).

528 Sulfate aerosol is mainly produced from aqueous-phase SO_2 oxidation ($38.73 \text{ Tg(S)} \cdot \text{yr}^{-1}$)
529 and partly from gaseous phase oxidation of SO_2 ($10.32 \text{ Tg(S)} \cdot \text{yr}^{-1}$), and is largely lost by wet
530 scavenging ($49.06 \text{ Tg(S)} \cdot \text{yr}^{-1}$). The total SO_4^{2-} production in BCC-ESM is at the lower range
531 of values in other models reported in Textor et al. (2006). Its global burden is 1.89 Tg and the
532 lifetime is 4.69 days, which are within the range of 1.71 to 2.43 Tg and 3.3 to 5.4 days in the
533 literatures (Textor et al., 2006; Liu et al., 2012; Liu et al., 2016; Matsui and Mahowald, 2017;
534 Tegen et al., 2019; the value derived from CMIP5 data).

535 Sources of BC and OC are mainly from anthropogenic emissions. Based on the CMIP6
536 data, there are, on average, $7.22 \text{ Tg} \cdot \text{yr}^{-1}$ BC and $13.91 \text{ Tg} \cdot \text{yr}^{-1}$ OC from fossil and bio-fuel
537 emissions and $18.38 \text{ Tg} \cdot \text{yr}^{-1}$ OC from natural emission during the period of 1991 to 2000.
538 Most of them are scavenged through convective and large-scale rainfall processes. The rest
539 returns to the surface by dry deposition. The simulated global BC and OC burdens are 0.13
540 and 0.62 Tg, respectively (Table 6), all close to values of 0.114 Tg BC and 0.69 Tg OC
541 derived from the CMIP5 data, and within the range of 0.11-0.26 Tg BC (Textor et al., 2006;
542 Matsui and Mahowald, 2017; Tegen et al., 2019) and less than the values of 1.25-2.2 Tg OC
543 in other literatures (Textor et al., 2006; Tegen et al., 2019). The simulated BC and OC
544 lifetimes are 6.6 and 5.0 days respectively, and are close to the recent values of 5.0-7.5 days
545 BC and 5.4-6.6 days OC in literatures (Matsui and Mahowald, 2017; Tegen et al., 2019).

546 The emissions of dust and sea salt are mainly determined by winds near the surface. The
547 annual total dust emission in BCC-ESM1 is 2592 Tg yr^{-1} , higher than AeroCom multi-model
548 mean (1840 Tg yr^{-1} , Textor et al., 2006), but comparable to other studies (Chin et al., 2002;
549 Liu et al., 2012; Matsui and Mahowald, 2017). The average dust loading is 22.93 Tg, lower
550 than the value of 35.9 Tg in Ginoux et al. (2001) but slightly higher than the value of 20.41
551 Tg derived from CMIP5 data. The average lifetime for dust particles is 3.23 days that is
552 shorter than the AeroCom mean (4.14 days) and the value of 3.9 days in recent study (Matsui
553 and Mahowald, 2017). The simulated sea salt emission is $4667.2 \text{ Tg yr}^{-1}$, slightly lower than
554 the simulated value in Liu et al. (2012), and substantially lower than the AeroCom mean

555 (16600 Tg yr⁻¹, Textor et al., 2006). The simulated sea salt burdens are 11.89 Tg and close to
556 the CMIP5 data. Their averaged lifetimes are 0.93 days and close to the value in the recent of
557 Matsui and Mahowald (2017) but longer than the AeroCom mean (0.41days, Textor et al.,
558 2006).

559 **4.3 Global aerosol distributions at present day**

560 Figures 8-12 show December-January-February (DJF) and June-July-August (JJA) mean
561 column mass concentrations of sulfate (SO₄²⁻), OC, BC, Dust, and Sea Salt aerosols averaged
562 for the period of 1991-2000, respectively. Here, BCC-ESM1 simulated results are compared
563 with the CMIP5-recommended data for the same period. Unlike the pre-industrial level of
564 sulfate shown in Fig. 2, sulfate concentrations at present day (Fig. 8) are strongly influenced
565 by anthropogenic emissions, and have maximum concentrations in the industrial regions (e.g.,
566 East Asia, Europe, and North America). Their seasonal variations are distinct and are
567 characterized by high concentrations in boreal summer and low concentrations in boreal
568 winter. These spatial distributions simulated by BCC-ESM1 are well consistent with the
569 CMIP5 data, with spatial correlation coefficients in DJF and JJA reaching 0.92 and 0.83
570 (Figure 13), respectively. The deviation of the spatial pattern in BCC-ESM1 is less from the
571 CMIP5 data in DJF but larger in JJA (Figure 13).

572 Unlike sulfate whose maximum concentrations are mainly distributed between 60°N
573 and the equator, peaking concentrations of BC and OC as shown in Figs. 9 and 10 are located
574 near the tropics in the biomass burning regions (e.g., the maritime continent, Central Africa,
575 South America), and their seasonal variations from DJF to JJA are evidently weaker than
576 those of sulfate except in South America. In boreal summer, there are centers of high values
577 in the industrial regions in the Northern Hemisphere mid-latitudes (i.e., East Asia, South Asia,
578 Europe, and North America). These main features of spatial and seasonal variations in CMIP5
579 data are well captured by BCC-ESM1, and the BCC-ESM1 vs. CMIP5 spatial correlation
580 coefficients (Figure 13) are 0.90 (OC in DJF), 0.91 (BC in DJF), 0.91 (OC in JJA) and 0.92
581 (BC in JJA). There are less deviations of spatial pattern for OC in DJF and JJA, but larger
582 deviation for BC from CMIP5 data (Figure 13).

583 As show in Figure 11, dust concentrations in the atmosphere show largest values over
584 strong source regions such as Northern Africa, Southwest and Central Asia, and Australia,

585 and over their outflow regions such as the Atlantic and the western Pacific. In DJF, the
586 CMIP5 data shows centers of high concentrations over East Asia and Central North America,
587 but both centers are missing in BCC-ESM1. However, these two high-value centers in the
588 CMIP5 data may not be true, since frozen soils in these areas in winter lead to unfavorable
589 conditions for soil erosion by winds. The spatial correlation coefficients between CMIP5 and
590 BCC-ESM1 remain high: 0.95 in JJA and 0.88 in DJF (Figure 13). Small deviations of spatial
591 pattern for dust simulations in BCC-ESM1 show less magnitude of dust maximums against
592 with CMIP5 data (Figure 13).

593 As shown in Figure 12, high sea salt concentrations are generally over the storm track
594 regions over the oceans, e.g., mid-latitudes in the Northern Oceans in DJF and the Southern
595 Ocean in JJA where wind speeds and thus sea salt emissions are higher. In addition, there is a
596 belt of high sea salt concentrations in the subtropics of both hemispheres where precipitation
597 scavenging is weak. Their spatial distributions in BCC-ESM1 are consistent with the CMIP5
598 data with correlation coefficients of 0.92 in JJA and 0.90 in DJF (Figure 13). The spatial
599 deviations of sea salt are much closer to CMIP5 data than those of sulfate, OC, BC, and dust
600 distributions (Figure 13).

601 Figure 14 shows vertical distributions of zonally-averaged annual mean concentrations
602 of sulfate, organic carbon, black carbon, dust, and sea salt aerosols in the period of 1991-2000.
603 Both BCC-ESM1 and CMIP5 results show that strong sulfur, OC, and BC emissions in the
604 industrial regions of the Northern Hemisphere mid-latitudes can rise upward and be
605 transported towards the North Pole in the mid- to upper troposphere. Most of OC, BC, and
606 dust aerosols are confined below 500 hPa, while sulfate can be transported to higher altitudes.
607 Sea salt aerosols are mostly confined below 700 hPa, as the particles are large in size and
608 favorable for wet removal and gravitational settling towards the surface. It can be seen that
609 BCC-ESM1 tends to simulate less upward transport of aerosols than the CMIP5 data, likely
610 reflecting the omission of deep convection transport of tracers in BCC-ESM1.

611 The CMIP5 data used here are mainly from model simulations. We will further evaluate
612 the BCC-ESM1 model results with ground observations. Annual mean SO_4^{2-} , BC and OC
613 aerosol observations from the Interagency Monitoring of Protected Visual Environments
614 (IMPROVE) sites over 1990-2005 in the United States

615 (<http://vista.cira.colostate.edu/IMPROVE/>) and from the European Monitoring and Evaluation
616 Programme (EMEP) (<http://www.emep.int>) sites over 1995-2005 are used. As shown in
617 Figure 15a and 15b, the BCC-ESM simulated sulfate concentrations are in general
618 comparable to the EMEP observations in Europe, but are systematically by about $1 \mu\text{g m}^{-3}$
619 higher than the U.S. IMPROVE observations. As for BC, there are large model biases at both
620 European and U.S. sites (Figs. 15c and 15d), especially BCC-ESM overestimates BC
621 concentrations at the IMPROVE sites. The observed OC concentrations are slightly
622 overestimated for IMPROVE sites but systematically underestimated for EMEP sites. Some
623 statistical features for simulated concentrations versus EMEP and IMPROVE observations are
624 listed in Table 7. These comparisons are overall fairly reasonable considering the
625 uncertainties in emissions and the coarse model resolution.

626 We then evaluate the simulated BC concentrations from BCC-ESM1 with the HIAPER
627 (High-Performance Instrumented Airborne Platform for Environmental Research)
628 Pole-to-Pole Observations (HIPPO) (Wofsy et al., 2011). The HIPPO campaign provided
629 observations of black carbon concentration profiles over Pacific Ocean and North America
630 between 2009 and 2011. Following Tilmes et al. (2016), model results here are sampled along
631 the HIPPO flight tracks and then averaged to different latitude and altitude bands for
632 comparison. As shown in Figure 16, BCC-ESM1 and HIPPO aircraft observations shows
633 reasonable agreement in terms of the spatial distributions and seasonal variations of BC levels.
634 BCC-ESM1 generally reproduces the observed hemispheric gradients of BC, i.e. the larger
635 burden in the NH compared to the SH, in consistent with Figures 10 and 14. The model shows
636 large overestimations of BC observations over the tropics, which is also found in the
637 CAM4-chem global chemical model (Tilmes et al., 2016).

638 **4.4 Aerosol Optical Properties**

639 Aerosol optical depth (AOD) is an indicator of the reduction in incoming solar
640 radiation (at a particular wavelength) due to scattering and absorption of sunlight by aerosols.
641 In this study, we calculate the AOD at 550 nm for all aerosols including sulfate, BC, organic
642 carbon, sea salt and dust as the product of aerosol dry mass concentrations, aerosol water
643 content, and their specific extinction coefficients. The total AOD is calculated by summing

644 the AOD in each model layer for each aerosol species using the assumption that they are
645 externally mixed. The AOD observations retrieved from MODIS and MISR over the period of
646 1997-2003, and from AERONET over the period of 1998–2005 (<http://aeronet.gsfc.nasa.gov>)
647 are used to evaluate the averaged AOD at 550 nm in BCC-ESM. Figure 17 shows averages of
648 MISR and MODIS AOD with corresponding averages from BCC-ESM. The BCC-ESM1
649 simulated AOD generally captures the spatial distribution of MISR and MODIS retrievals.
650 The model overestimates AOD over East China. It also systematically underestimates the
651 MODIS observations in the Southern Hemisphere, but is closer to MISR observations. Figure
652 18 shows multi-years annual means of BCC-ESM1 simulated AOD values versus
653 observations from AERONET over the period of 1998–2005. The basic pattern of modeled
654 global AOD is similar to that of observations and their spatial correlation reaches 0.56. Large
655 values of AOD are mainly distributed in land continents such as North African, South Asia,
656 East Asia, Europe, and eastern part of North America. Figures 19a-19d present scatter plots of
657 observed versus simulated multi-year monthly mean AOD at those sites of AERONET in
658 Europe, North America, East Asia, and South Asia over the period of 1998-2005, respectively.
659 Model simulated monthly AOD generally agrees with observations within a factor of 2 for
660 most sites. BCC-ESM slightly overestimates the AOD in European and North American sites.
661 In those regions, BCC-ESM also slightly overestimates MODIS and MISR AOD observations
662 (Fig. 17).

663 **5. Summary and discussions**

664 This paper presents a primary evaluation of aerosols simulated in version 1 of the Beijing
665 Climate Center Earth System Model (BCC-ESM1) with the implementation of the interactive
666 atmospheric chemistry and aerosol based on the newly developed BCC-CSM2. Global
667 aerosols (including sulfate, organic carbon, black carbon, dust and sea salt) and major
668 greenhouse gases (e.g., O₃, CH₄, N₂O) in the atmosphere can be interactively simulated when
669 anthropogenic emissions are provided to the model. Concentrations of all aerosols in
670 BCC-ESM1 are determined by the processes of advective transport, emission, gas-phase
671 chemical reactions, dry deposition, gravitational settling, and wet scavenging by clouds and
672 precipitation. The nucleation and coagulation of aerosols are ignored in the present version of
673 BCC-ESM1. Effects of aerosols on radiation, cloud, and precipitation are fully included.

674 We evaluate the performance of BCC-ESM1 in simulating aerosols and their optical
675 properties in the 20th century following CMIP6 historical simulation according to the
676 requirement of the AerChemMIP. It is forced with anthropogenic emissions evolving from
677 1850 to 2014 but some WMGHGs such as CH₄, N₂O, CO₂, CFC11 and CFC12 are prescribed
678 using CMIP6 prescribed concentrations (to replace prognostic values of CH₄ and N₂O from
679 the chemistry scheme). Both direct and indirect effects of aerosols are considered in
680 BCC-ESM1. Initial conditions of the CMIP6 historical simulation are obtained from a
681 600-year piControl simulation in the absence of anthropogenic emissions, which well captures
682 the pre-industrial concentrations of SO₄²⁻, organic carbon (OC), black carbon (BC), dust, and
683 sea salt aerosols and are consistent with the CMIP5 recommended concentrations for the year
684 1850. With the CMIP6 anthropogenic emissions of SO₂, OC, and BC from 1850 to 2014 and
685 their natural emissions implemented in BCC-ESM1, the model simulated SO₄²⁻, BC, and OC
686 aerosols in the atmosphere are highly correlated with the CMIP5-recommended data. The
687 long-term trends of CMIP5 aerosols from 1850 to 2000 are also well simulated by
688 BCC-ESM1. Global budgets of aerosols were evaluated through comparisons of BCC-ESM1
689 results for 1990-2000 with reports in various literatures for sulfate, BC, OC, sea salt, and dust.
690 Their annual total emissions, atmospheric mass loading, and mean lifetimes are all within the
691 range of values reported in relevant literature. Evaluations of the spatial and vertical
692 distributions of BCC-ESM1 simulated present-day SO₄²⁻, OC, BC, Dust, and sea salt aerosol
693 concentrations against the CMIP5 datasets and in-situ measurements of surface networks
694 (IMPROVE in the U.S. and EMEP in Europe), and HIPPO aircraft observations indicate good
695 agreement among them. The BCC-ESM1 simulates weaker upward transport of aerosols from
696 the surface to the middle and upper troposphere (with reference to CMIP5-recommended
697 data), likely reflecting a lack of deep convection transport of chemical species in the present
698 version of BCC-ESM1. The AOD at 550 nm for all aerosols including sulfate, BC, OC, sea
699 salt, and dust aerosols was further compared with the satellite AOD observations retrieved
700 from MODIS and MISR and surface AOD observations from AERONET. The BCC-ESM1
701 model results are overall in good agreement with these observations within a factor of 2. All
702 these comparisons demonstrate the success of the implementation of interactive aerosol and
703 atmospheric chemistry in BCC-ESM1.

704 This work has only evaluated the ability of BCC-ESM1 to simulate aerosols. The
705 variations of aerosols especially for sulfate are related to other gaseous tracers such as OH
706 and NO₃ (Table 2), which are determined by the MOZART2 gaseous chemical scheme as
707 implemented in BCC-ESM1, and require further evaluation. As limited length of the text, the
708 other optical feature of aerosols such as extinction coefficients, single scattering albedo and
709 asymmetry parameters, and even their feedbacks on radiation and global temperature change
710 will be explored in the other paper. O₃ is evaluated in this work. Other GHGs such as CH₄ and
711 N₂O concentrations can be simulated when forced with emissions and their simulations also
712 need to be evaluated in future.

713 **6. Code and data availability**

714 Source codes of BCC-ESM1 model are freely available upon request addressed to
715 Tongwen Wu (twwu@cma.gov.cn). Model output of BCC CMIP6 AerChemMIP simulations
716 described in this paper is distributed through ESGF and freely accessible through the ESGF
717 data portals after registration. Details about ESGF are presented on the CMIP Panel website at
718 <http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip>.

719

720 **Author contributions**

721 Tongwen Wu led the BCC-ESM1 development. All other co-authors have contributions
722 to it. Fang Zhang and Jie Zhang designed the experiments and carried them out. Tongwen Wu,
723 Laurent Li, Lin Zhang, Xiaohong Liu, Aixue Hu, and Jun Wang wrote the final document
724 with contributions from all other authors.

725

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730

731 **References**

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1015

1016 Table 1. Chemical species considered in BCC-AGCM3-Chem. Species marked with star (*)
 1017 denote those added in BCC-ESM1 apart from the 63 species used in MOZART2. In the
 1018 column of surface emission, interactive surface emissions are considered for sea salt and dust.
 1019

Species	Dry deposition	Wet deposition	Surface emission	Aircraft emission	Volcanic emission
O ₃	✓				
N ₂ O			✓		
N					
NO	✓		✓	✓	
NO ₂	✓				
NO ₃					
HNO ₃	✓	✓			
HO ₂ NO ₂	✓	✓			
N ₂ O ₅					
CH ₄	✓		✓	✓	
CH ₃ O ₂					
CH ₃ OOH	✓	✓			
CH ₂ O	✓	✓	✓		
CO	✓		✓	✓	
OH					
HO ₂					
H ₂ O ₂	✓	✓			
C ₃ H ₆			✓		
ISOP			✓		
Gas tracers					
PO ₂					
CH ₃ CHO	✓	✓	✓		
POOH	✓	✓			
CH ₃ CO ₃					
CH ₃ COOOH	✓	✓			
PAN	✓				
ONIT	✓	✓			
C ₂ H ₆			✓		
C ₂ H ₄			✓		
C ₄ H ₁₀			✓		
MPAN	✓				
ISOPO ₂					
MVK		✓			
MACR		✓			
MACRO ₂					
MACROOH	✓	✓			
MCO ₃					
C ₂ H ₅ O ₂					
C ₂ H ₅ OOH	✓	✓			
C ₁₀ H ₁₆			✓		

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1021

Species name	Dry deposition	Wet deposition	Surface emission	Aircraft emission	Volcanic emission
C ₃ H ₈			✓		
C ₃ H ₇ O ₂					
C ₃ H ₇ OOH	✓	✓			
CH ₃ COCH ₃	✓		✓		
ROOH		✓			
CH ₃ OH	✓	✓	✓		
C ₂ H ₅ OH	✓	✓	✓		
GLYALD	✓	✓			
HYAC	✓	✓			
EO ₂					
EO					
HYDRALD	✓	✓			
RO ₂					
CH ₃ COCHO	✓	✓	✓		
Rn-222					
Pb-210	✓	✓			
ISOPNO ₃		✓			
ONITR	✓	✓			
XO ₂					
XOOH	✓	✓			
ISOPOOH	✓	✓			
H ₂	✓		✓		
Stratospheric O ₃	✓				
Inert O ₃	✓				
SO ₂ *	✓	✓	✓	✓	✓
DMS*			✓		
NH ₃ *			✓	✓	
SO ₄ ²⁻ *	✓	✓			
OC1*	✓	✓	✓	✓	
OC2*	✓	✓	✓	✓	
BC1*	✓	✓	✓	✓	
BC2*	✓	✓	✓	✓	
SSLT01*	✓	✓			
SSLT02*	✓	✓			
SSLT03*	✓	✓			
SSLT04*	✓	✓			
DST01*	✓	✓			
DST02*	✓	✓			
DST03*	✓	✓			
DST04*	✓	✓			

1025 Table 2. Gas-phase chemical reactions for NH₃ and bulk aerosols precursors following
 1026 CAM-Chem (Lamarque et al., 2012). The reaction rates (s⁻¹) refer to Tie et al. (2001) and
 1027 Sander et al. (2003), and Cooke and Wilson (1996). Temperature (T) is expressed in K, air
 1028 density (M) in molecule cm⁻³, ki and ko in cm³ molecule⁻¹ s⁻¹.
 1029

Chemical reactions	Rate
NH ₃ + OH → H ₂ O	1.70E-12*exp(-710/T)
SO ₂ + OH → SO ₄ ²⁻	ko/(1.+ko*M/ki)*f**(1./(1.+log10(ko*M/ki))), in which ko=3.0E-31*(300/T)**3.3; ki=1.E-12; f=0.6
DMS + OH → SO ₂	9.60E-12*exp(-234./T)
DMS + OH → .5*SO ₂ + .5*HO ₂	1.7E-42*exp(7810/T)*M*0.21/(1+5.5E-31*exp(7460/T)* M* 0.21)
DMS + NO ₃ → SO ₂ + HNO ₃	1.90E-13*exp(520/T)
BC1 → BC2	7.10E-06
OC1 → OC2	7.10E-06

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Table 3. Size and density parameters of bulk aerosols.

Aerosols	Species Name	Mean radius (μm) / bin size (μm)	Geometric standard deviation (μm)	Density (g cm^{-3})
SO ₄ ²⁻	Sulfate	0.05	2.03	1.77
BC1	hydrophobic black carbon	0.02	2.00	1.0
BC2	hydrophilic black carbon	0.02	2.00	1.0
OC1	hydrophobic organic carbon	0.03	2.24	1.8
OC2	hydrophilic organic carbon	0.03	2.24	1.8
DST01	Dust	0.55 / bin: 0.1-1.0	2.00	2.5
DST02	Dust	1.75 / bin: 1.0-2.5	2.00	2.5
DST03	Dust	3.75 / bin: 2.5-5.0	2.00	2.5
DST04	Dust	7.50 / bin: 5.0-10.	2.00	2.5
SSLT01	Sea salt	0.52 / bin: 0.2-1.0	2.00	2.2
SSLT02	Sea salt	2.38 / bin: 1.0-3.0	2.00	2.2
SSLT03	Sea salt	4.86 / bin: 3.0-10.	2.00	2.2
SSLT04	Sea salt	15.14 / bin: 10.-20.	2.00	2.2

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Table 4. Source of emission data. MOZART2 data denote the standard tropospheric chemistry package for MOZART contains surface emissions from the EDGAR 2.0 data base (Olivier et al., 1996). ACCMIP data are downloaded from the IPCC ACCMIP emission inventory (<http://accent.aero.jussieu.fr/ACCMIP.php>) and they vary from 1850 to 2000, in 10-year steps (Lamarque et al., 2010). CMIP6 data are from <https://esgf-node.llnl.gov/search/input4mips/>. Anthropogenic emission includes Industrial and fossil fuel use, agriculture, ships, and etc. Biomass burning includes vegetation fires incl. fuel wood and agricultural burning.

Species	Anthropogenic emission	Biomass burning	Biogenic emissions from vegetation	Biogenic emissions from soil	Oceanic emissions	Airplane emission	Volcanic emission
C ₂ H ₄	CMIP6	CMIP6	On-line computation		MOZART2		
C ₂ H ₅ OH	CMIP6	CMIP6					
C ₂ H ₆	CMIP6	CMIP6	ACCMIP		MOZART2		
C ₃ H ₆	CMIP6	CMIP6	On-line computation		MOZART2		
C ₃ H ₈	CMIP6	CMIP6	ACCMIP		MOZART2		
C ₄ H ₁₀	CMIP6	CMIP5	MOZART2		MOZART2		
CH ₂ O	CMIP6	CMIP6					
CH ₃ CHO	ACCMIP	CMIP6					
CH ₃ COCHO		CMIP6					
CH ₃ OH	ACCMIP	CMIP6	ACCMIP				
CH ₃ COCH ₃	ACCMIP	ACCMIP	On-line computation		MOZART2		
ISOP		CMIP5	On-line computation				
C ₁₀ H ₁₆		CMIP6	On-line computation				
CH ₄	CMIP6	CMIP6	MOZART2		MOZART2	CMIP6	
CO	CMIP6	CMIP6	ACCMIP	MOZART2	ACCMIP	CMIP6	
H ₂	MOZART2	CMIP6		MOZART2	MOZART2		
N ₂ O	MOZART2	CMIP6		MOZART2	MOZART2		
NH ₃	CMIP6	CMIP6		ACCMIP	ACCMIP	CMIP6	
NO	CMIP6	CMIP6		ACCMIP		CMIP6	
SO ₂	CMIP6	CMIP6				CMIP6	ACCMIP
DMS					ACCMIP		
OC1	CMIP6	CMIP6				CMIP6	
OC2	CMIP6	CMIP6	On-line computation			CMIP6	
BC1	CMIP6	CMIP6				CMIP6	
BC2	CMIP6	CMIP6				CMIP6	

Table 5. Global budgets for DMS, SO₂, and sulfate in the period of 1991 to 2000. Units are sources and sinks, Tg(S) yr⁻¹; burden, Tg; lifetime, days.

		BCC-ESM (1991-2000 mean)	Other studies and CMIP5 data
DMS	Sources	27.4	
	Emission	27.4	10.7-23.7 ^a
	Sinks	28.0	
	Gas-phase oxidation	28.0	
	Burden	0.12	0.04-0.29 ^a
	Lifetime	0.78	0.5-3.0 ^a
SO ₂	Sources	76.93	
	Emission at surface	63.63	
	Emission from airplane	0.10	
	DMS oxidation	13.20	10.0-24.7 ^a
	Sinks	76.96	
	Dry deposition	18.53	16.0-55.0 ^a
	Wet deposition	9.36	0.0-19.9 ^a
	Gas-phase oxidation	10.33	6.1-16.8 ^a
	Aqueous-phase oxidation	38.74	24.5-57.8 ^a
	Burden	0.48	0.40-1.22 ^a
Lifetime	1.12	0.6-2.6 ^a	
SO ₄ ²⁻	Sources	49.05	59.67 ± 13.13 ^b
	Emission	0.00	
	SO ₂ aqueous-phase oxidation	38.73	
	SO ₂ gas-phase oxidation	10.32	
	Sinks	49.06	
	Dry deposition	2.20	4.96-5.51 ^d
	Wet deposition	46.86	39.34-40.20 ^d
	Burden	1.89	1.98 ± 0.48 ^b , 1.71 ^c , 1.2 ^g , 2.22-2.43 ^h
	Lifetime	4.69	4.12 ± 0.74 ^b , 3.72-3.77 ^d 3.3 ^g , 3.7-4.0 ^h

Notes: References denote a for Liu et al. (2005), b for Textor et al. (2006), c for the values derived from CMIP5 prescribed aerosol masses averaged from 1991 to 2000, d for Liu et al. (2012), g for Matsui and Mahowald (2017), and h for Tegen et al. (2019). Values of DMS, SO₂, and sulfate burdens in the literature d are transferred from TgS to Tg (species) for units consistence.

Table 6. Same as Table 5, but for global budgets for black carbon, organic carbon, dust, and sea salts. Units are sources and sinks, Tg yr⁻¹; burden, Tg; lifetime, days.

		BCC-ESM (1991-2000 mean)	Other studies and CMIP5 data
BC	Sources	7.22	
	Emission	7.22	11.9±2.7 ^b , 7.8 ^g
	Sinks	7.24	7.75 ^d , 7.8 ^g
	Dry deposition	0.90	0.27 ^g , 1.30-1.64 ^c
	Wet deposition	6.34	7.5 ^g , 6.10-6.45 ^e
	Burden	0.13	0.114 ^c , 0.24±0.1 ^b , 0.11 ^g , 0.14-0.26 ^h , 0.084-0.123 ^e
	Lifetime	6.60	7.12±2.35 ^b , 3.95-4.80 ^e , 5.0 ^g , 6.3-7.5 ^h
OC	Sources	32.29	
	Fossil and biofuel emission	13.91	
	Natural emission	18.38	
	Sinks	32.30	
	Dry deposition	2.44	
	Wet deposition	29.86	
	Burden	0.62	0.69 ^c , 1.7±0.45 ^b , 1.0-2.2 ^h
Lifetime	5.00	6.54±1.76 ^b , 4.56-4.90 ^d , 6.4 ^g , 5.4-6.6 ^h	
Dust	Sources	2592.0	1840 ^b , 2943.5-3121.9 ^d , 2677 ^g
	Sinks	2592.0	
	Dry deposition	1630.8	1444 ^g
	Wet deposition	961.2	1245 ^g
	Burden	22.93	20.41 ^c , 22.424.7 ^d , 35.9 ^f , 19.2±7.68 ^b , 28.5 ^g , 16.5-17.9 ^h
Lifetime	3.23	4.14±1.78 ^b , 2.61-3.07 ^d , 3.9 ^g , 5.3-5.7 ^h	
Sea Salt	Sources	4667.2	4965.5-5004.1 ^d , 5039 ^g
	Sinks	4667.4	
	Dry deposition	2978.5	2158 ^g
	Wet deposition	1688.9	2918 ^g
	Burden	11.89	7.58-10.37 ^a , 6.4±3.4 ^b , 11.84 ^c , 13.6 ^g , 3.9 ^h
Lifetime	0.93	0.41±0.24 ^b , 0.55-0.76 ^d , 0.98 ^g , 1.2-1.3 ^h	

Notes: References denote a for Liu et al. (2005), b for Textor et al. (2006), c derived from CMIP5 prescribed aerosol masses averaged from 1991 to 2000, d for Liu et al. (2012), e for Liu et al. (2016), f for Ginoux (2001), g for Matsui and Mahowald (2017), and h for Tegen et al. (2019).

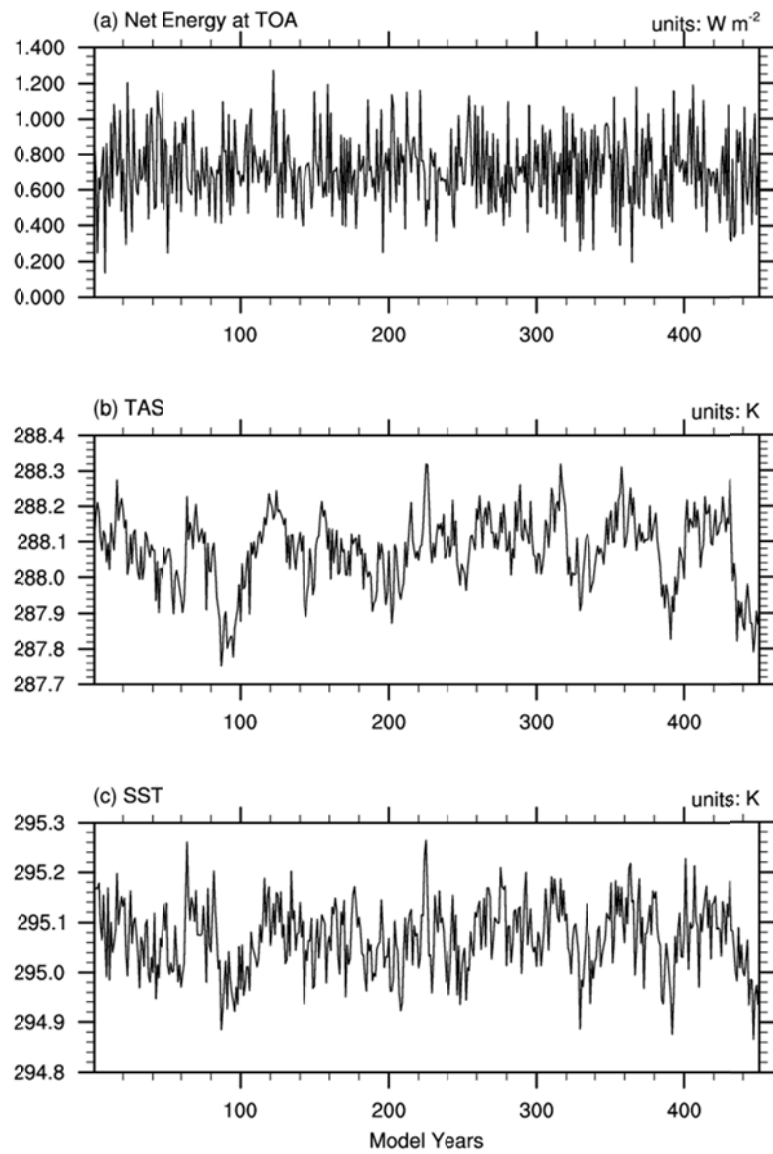


Figure 1. The time series of global and annual mean of (a) net energy budget at top of atmosphere ($\text{W}\cdot\text{m}^{-2}$), (b) near-surface air temperature (K), and (c) sea surface temperature (K) in the last 450 years of the piControl simulation.

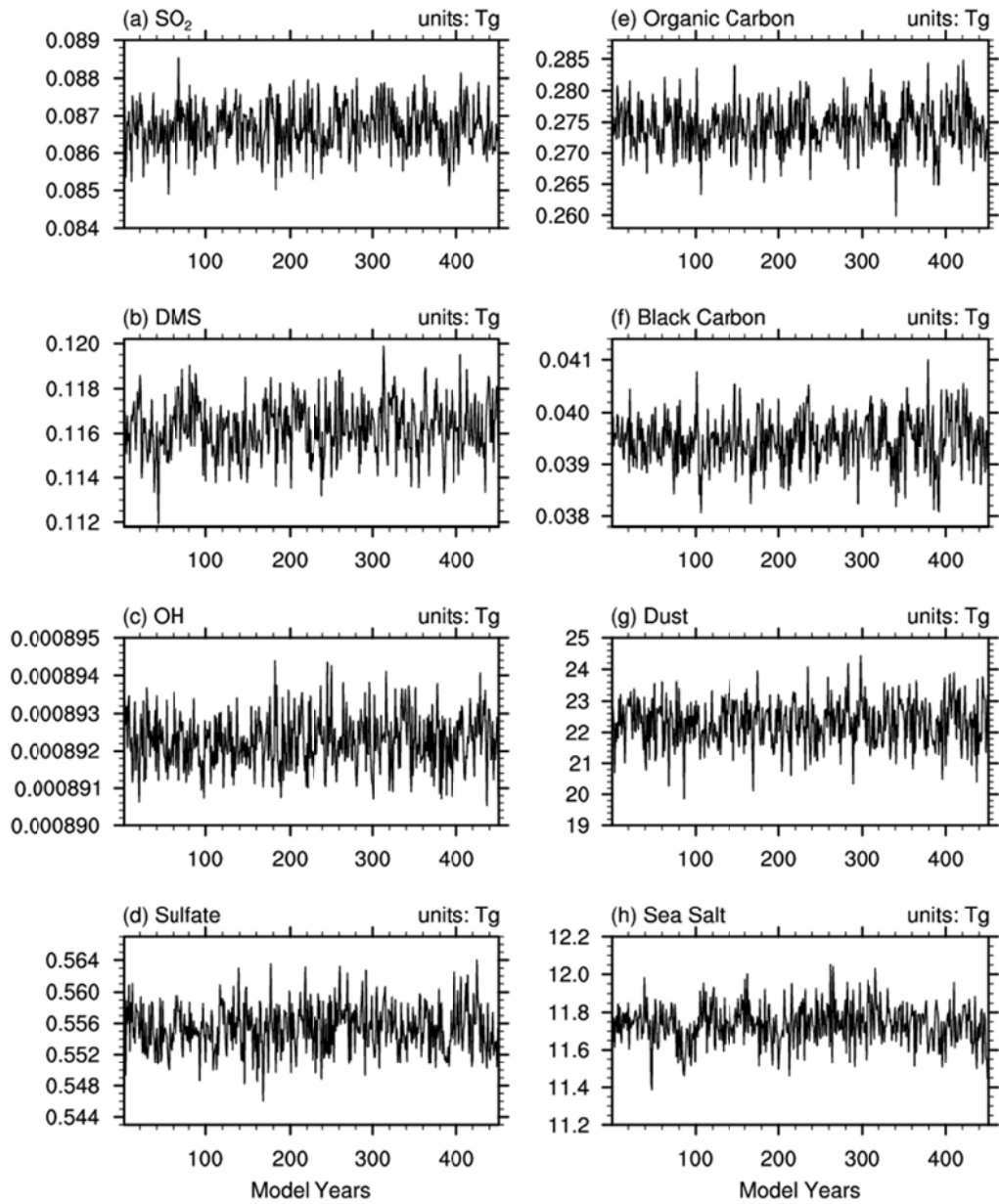


Figure 2. Same as in Figure 1, but for the global burdens of (a) SO₂, (b) DMS, (c) OH, and (d-h) different aerosols in the troposphere (below 100 hPa). Units are Tg.

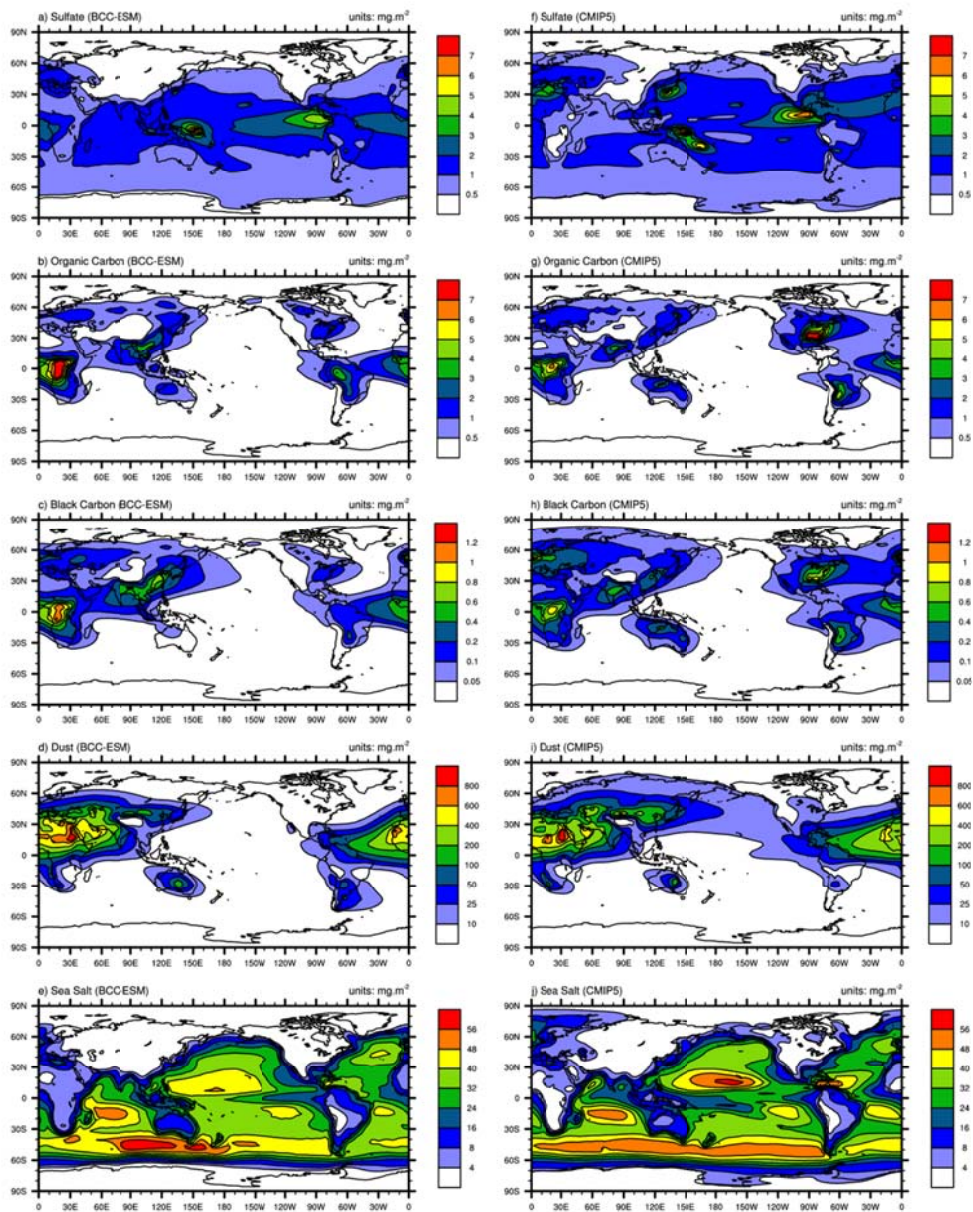


Figure 3. Global distributions of annual mean mass burdens of sulfate (SO_4^{2-} ; first row), organic carbon (OC; second row), black carbon (BC; third row), dust (fourth row), and sea salt (fifth row) aerosols in the whole atmospheric column. The left panels show the mean averaged for the last 100 years of BCC-ESM pre-industrial piControl simulations, and the right panels show the CMIP5 recommended aerosol concentrations in year 1850 (the website at IIASA <http://tntcat.iiasa.ac.at/RcpDb/>). Units: $\text{mg}\cdot\text{m}^{-2}$.

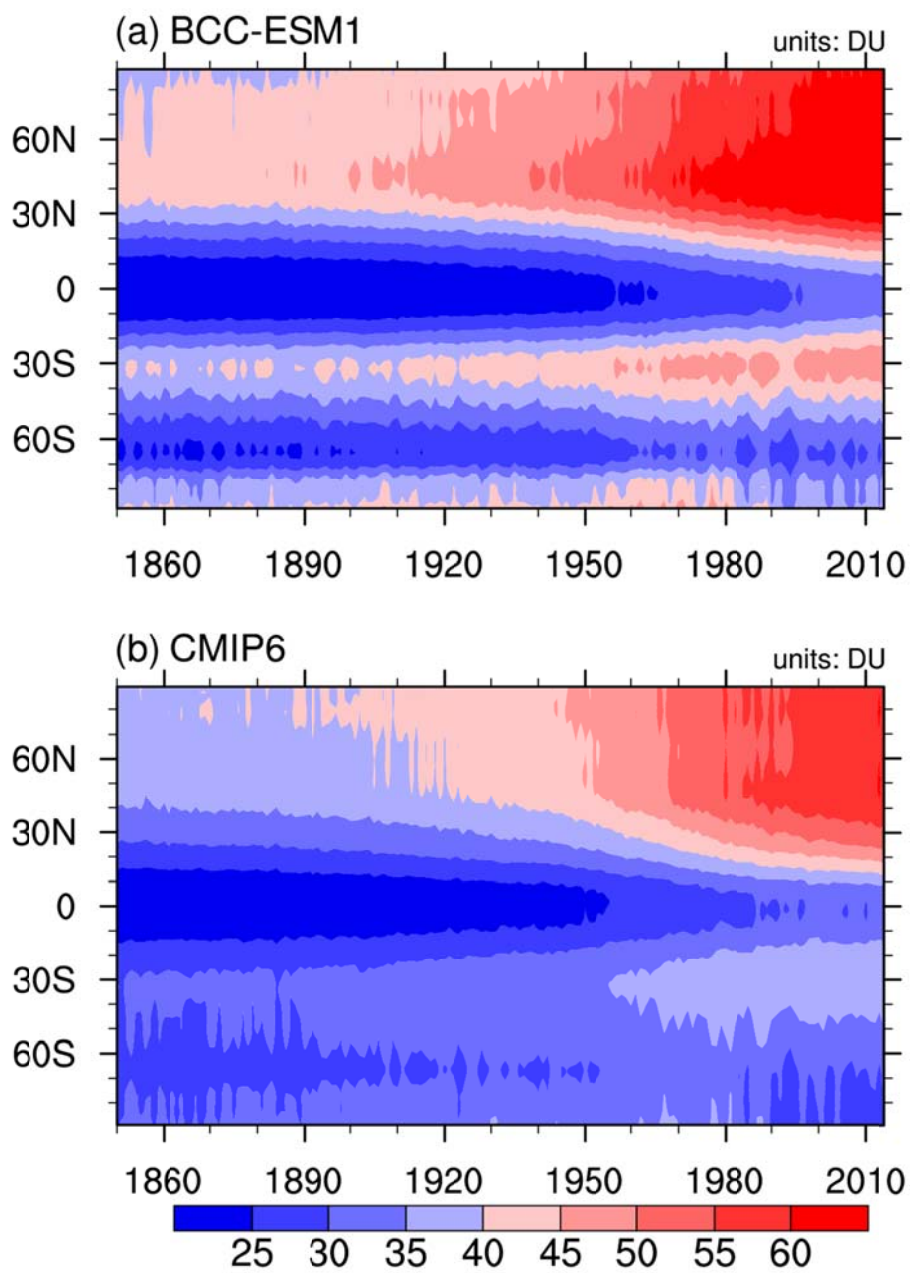


Figure 4. Zonal mean of yearly mean concentration of ozone column in the troposphere below 300 hPa to the ground from 1871 to 1999 for (a) BCC-ESM1 and (b) CMIP6 data. Unit: DU.

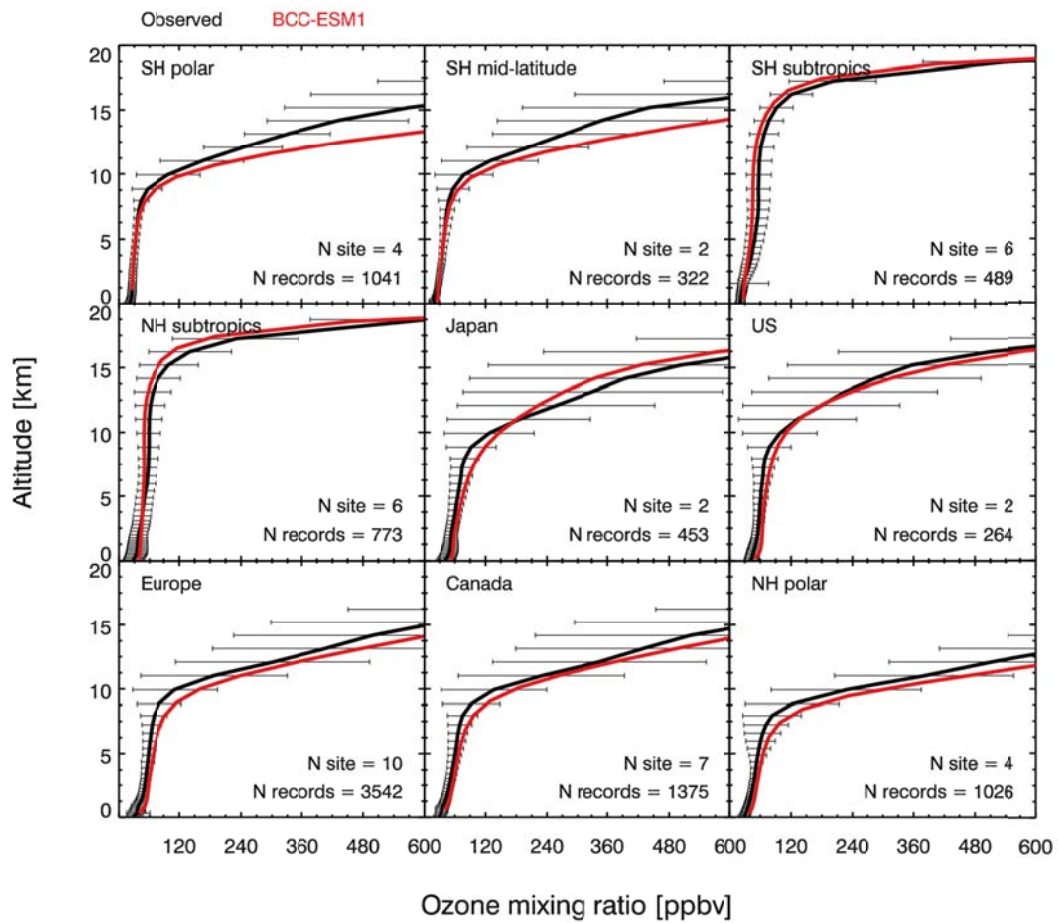


Figure 5. Vertical profiles of annual mean ozone concentrations from observations averaged for 2010-2014 in nine regions (black) and from the BCC-ESM1 simulations (red). The observations are derived from 41 global WOUDC sites.

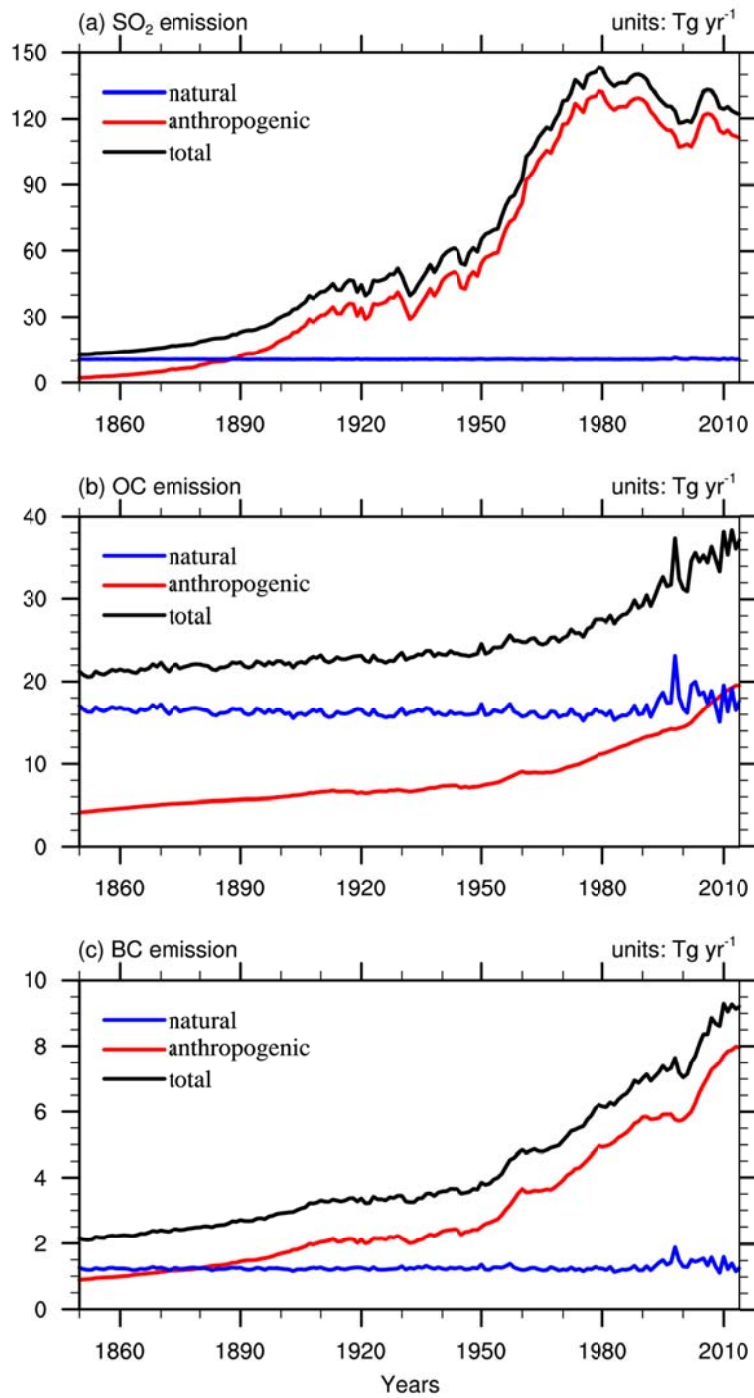


Figure 6. Global annual anthropogenic, natural, and total emissions of SO_2 , organic carbon (OC), and black carbon (BC) in the BCC-ESM1 historical simulation. All the biomass burning emissions are included in natural emissions in (a)-(c). Units: Tg yr^{-1} .

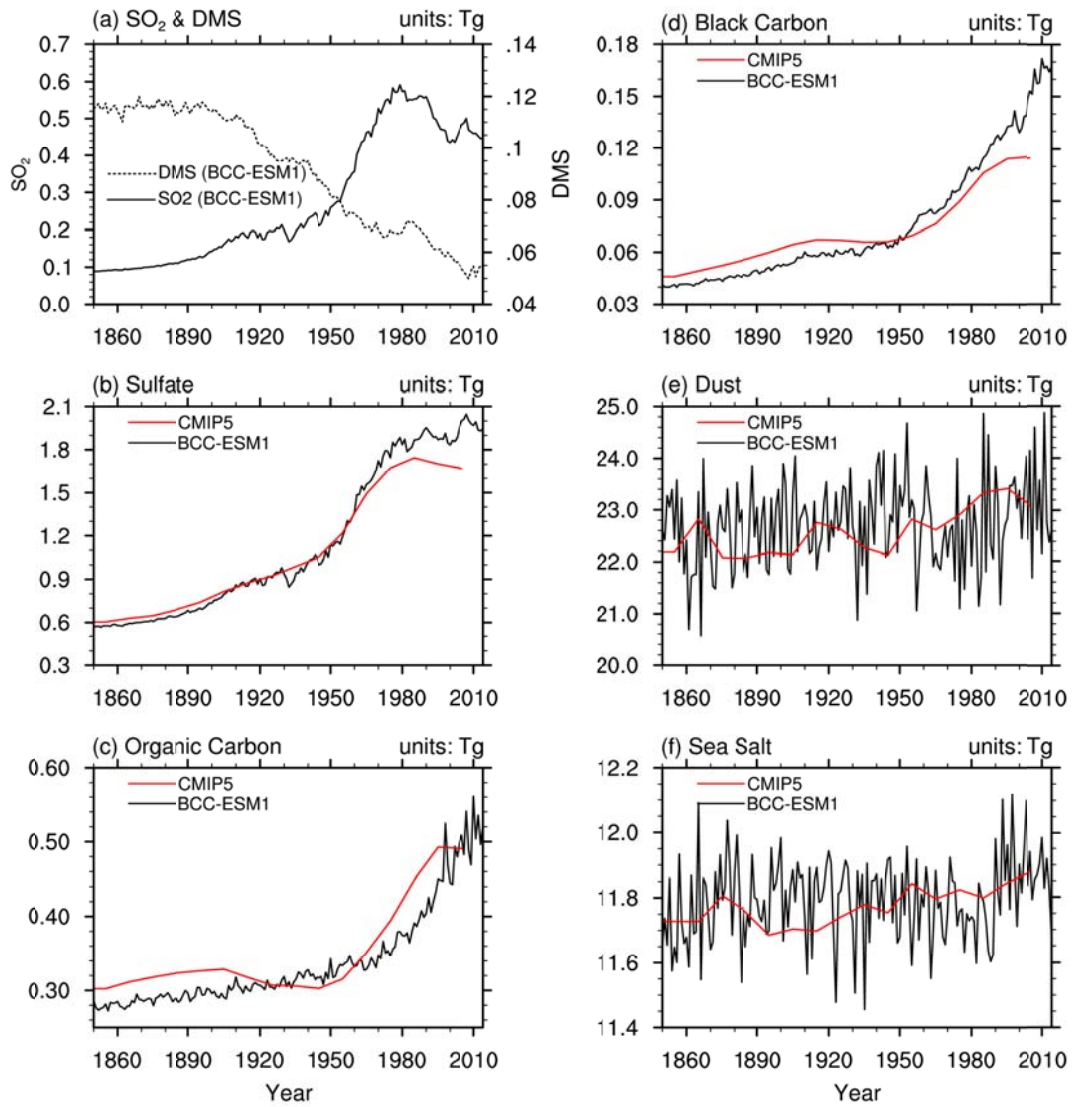


Figure 7. The time series of global yearly amounts of (a) SO_2 and DMS and (b-f) aerosols in the whole atmosphere column from the CMIP6 historical simulations of BCC-ESM1 (black lines) and the CMIP5-recommended aerosols masses (red lines). The yearly CMIP5 data are interpolated from the time series in 10-year interval. Units: Tg.

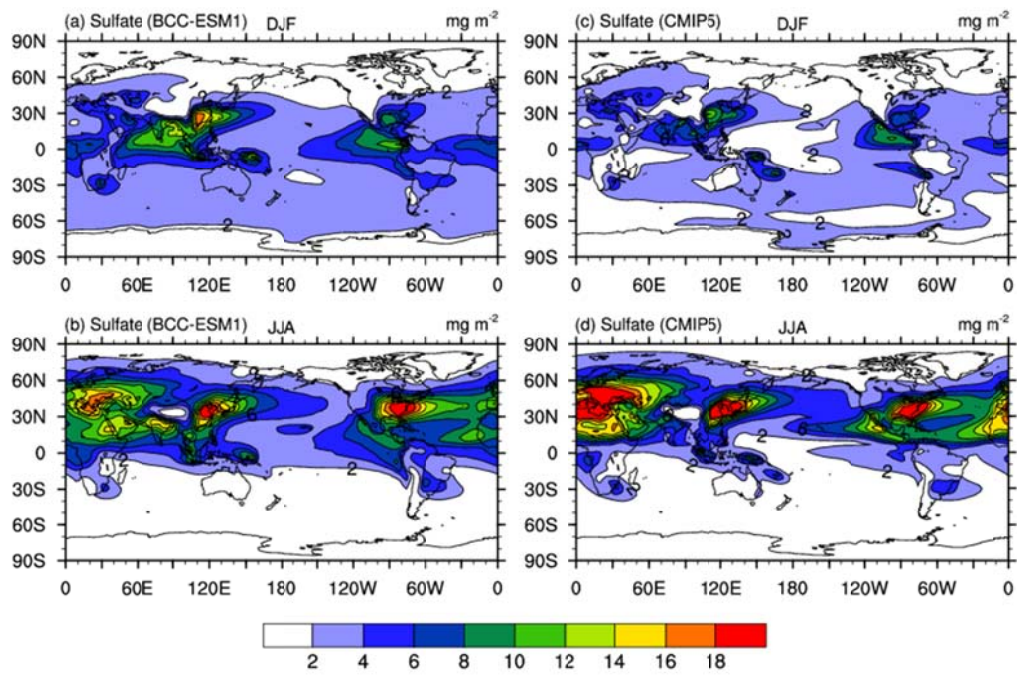


Figure 8. December-January-February (DJF; top panels) and June-July-August (JJA; bottom panels) mean sulfate (SO_4^{2-}) aerosol column mass concentrations averaged for the period of 1971-2000. Left panels show the historical simulations of BCC-ESM1, and right panels the CMIP5-recommended data. Units: mg.m^{-2} .

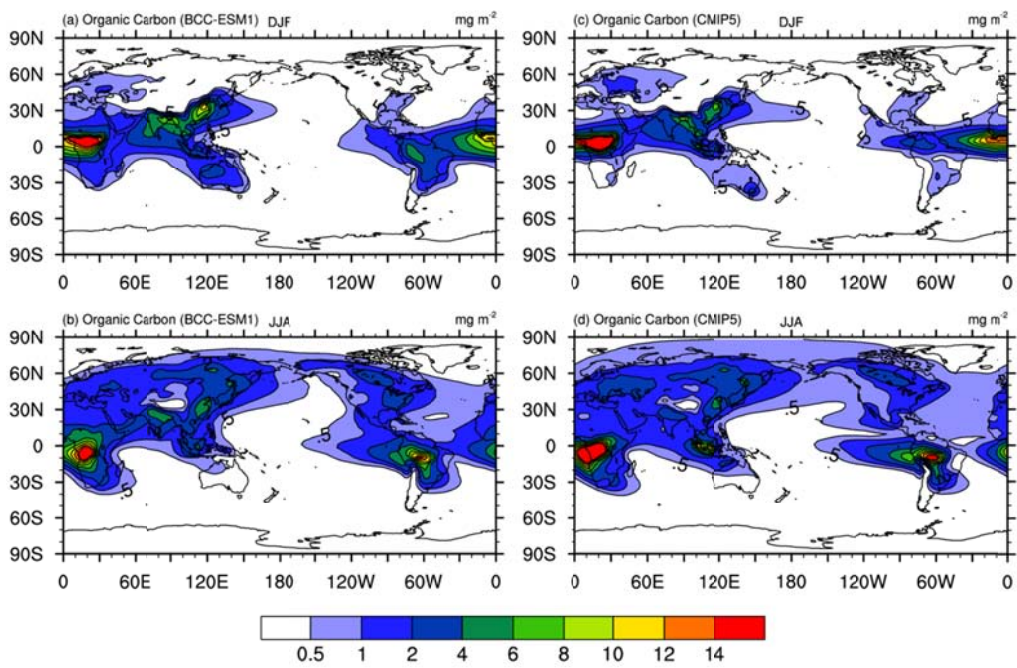


Figure 9. The same as in Figure 8, but for organic carbon (OC) aerosol column mass concentrations. Units: mg m^{-2} .

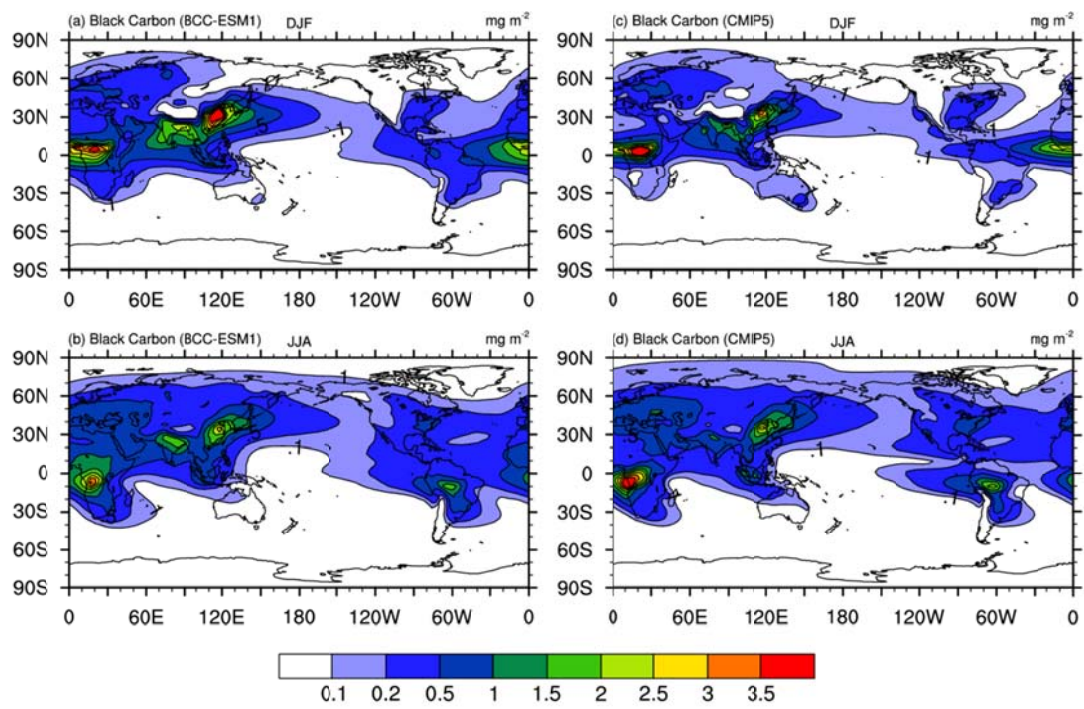


Figure 10. The same as in Figure 8, but for black carbon (BC) aerosol. Units: mg.m^{-2} .

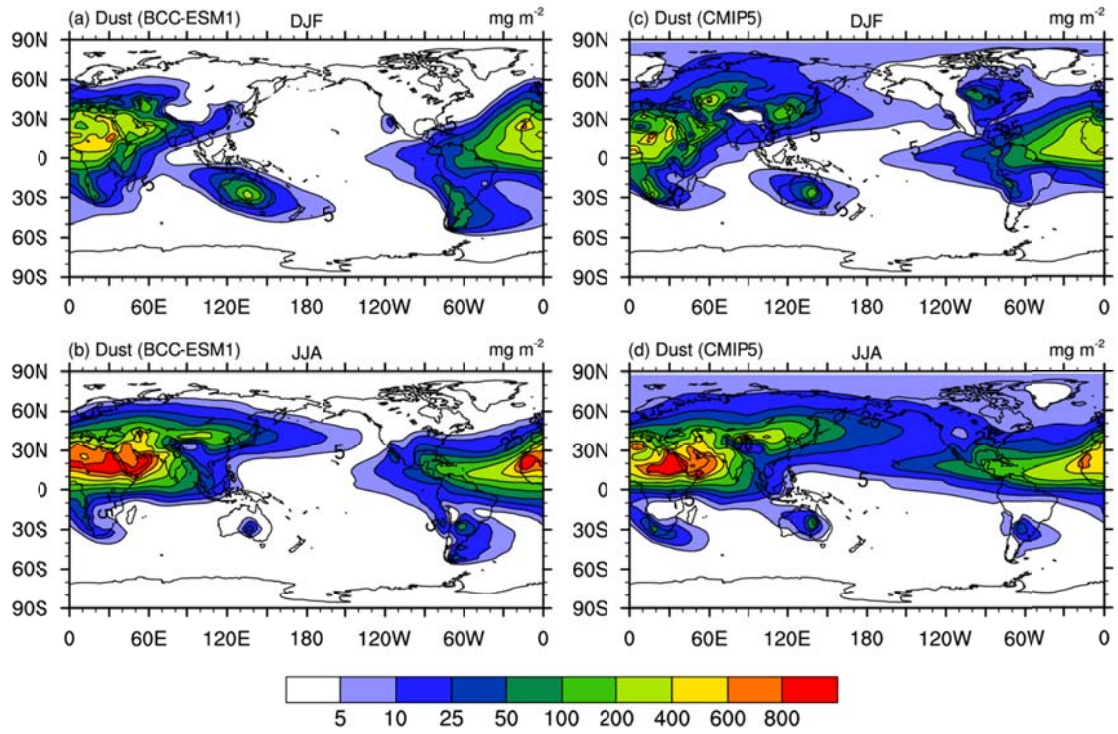


Figure 11. The same as in Figure 8, but for dust aerosol. Units: mg.m^{-2} .

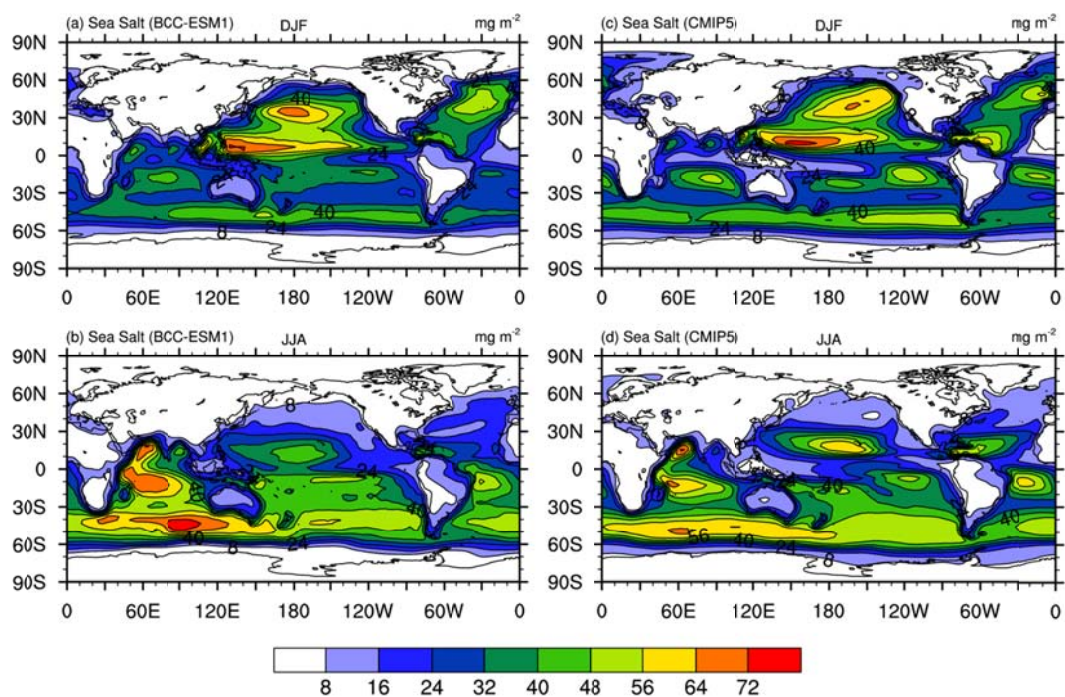


Figure 12. The same as in Figure 8, but for sea salt (SSLT) aerosol. Units: mg.m^{-2} .

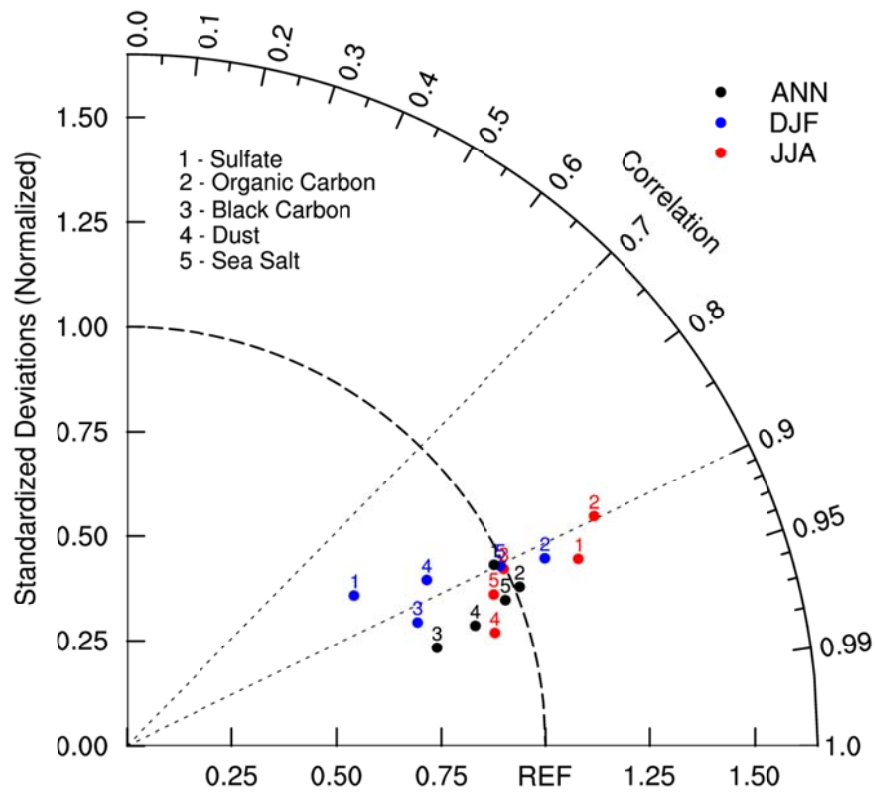


Figure 13. Taylor diagram for the global aerosols climatology (1971–2000) of sulfate, organic carbon, black carbon, dust, and sea salt averaged for December-January-February (DJF), June-July-August (JJA), and annual respectively. The radial coordinate shows the standard deviation of the spatial pattern, normalized by the observed standard deviation. The azimuthal variable shows the correlation of the modelled spatial pattern with the observed spatial pattern. Analysis is for the whole globe. The reference dataset is CMIP5-prescribed dataset.

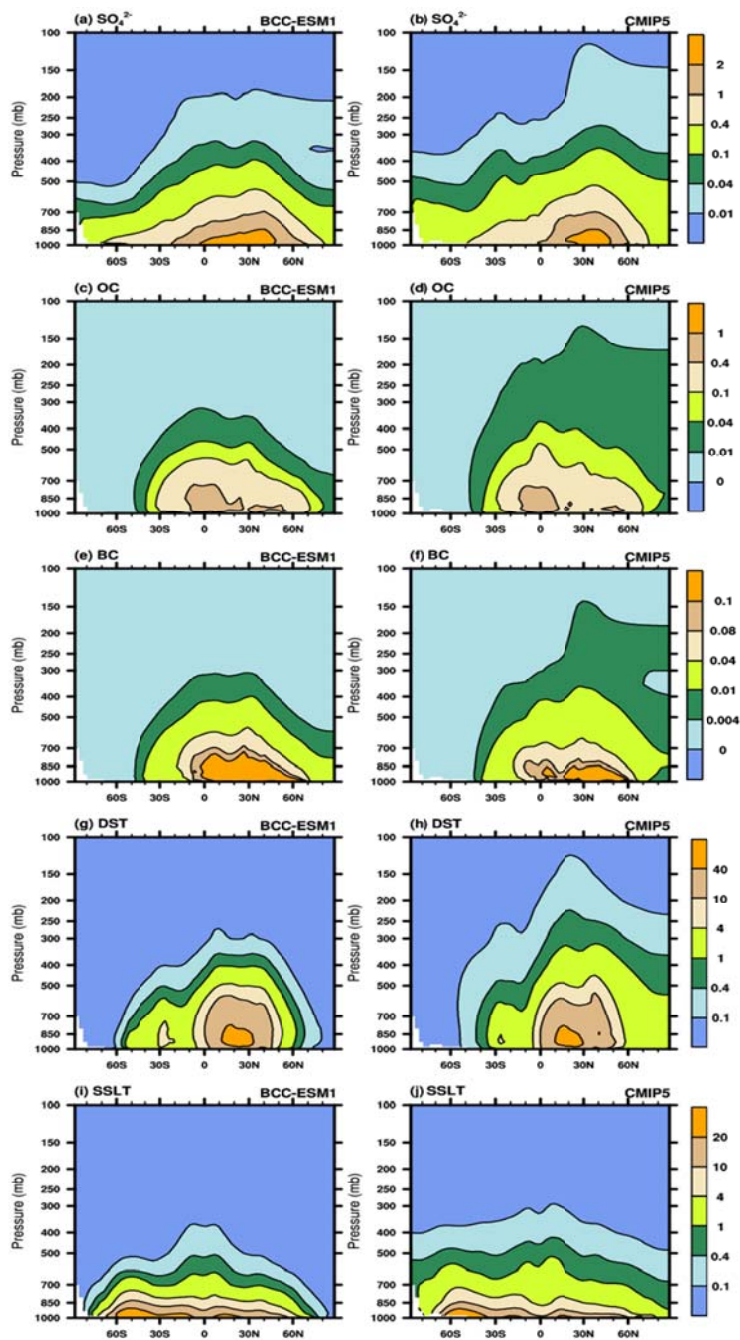


Figure 14. Latitude-pressure distributions of zonally-averaged annual mean sulfate, organic carbon, black carbon, dust, and sea salt aerosol concentrations for the period of 1971-2000. Left panels show the CMIP6 historical simulation of BCC-ESM1, and right panels the CMIP5 recommendation data. Units: $\mu\text{g m}^{-3}$.

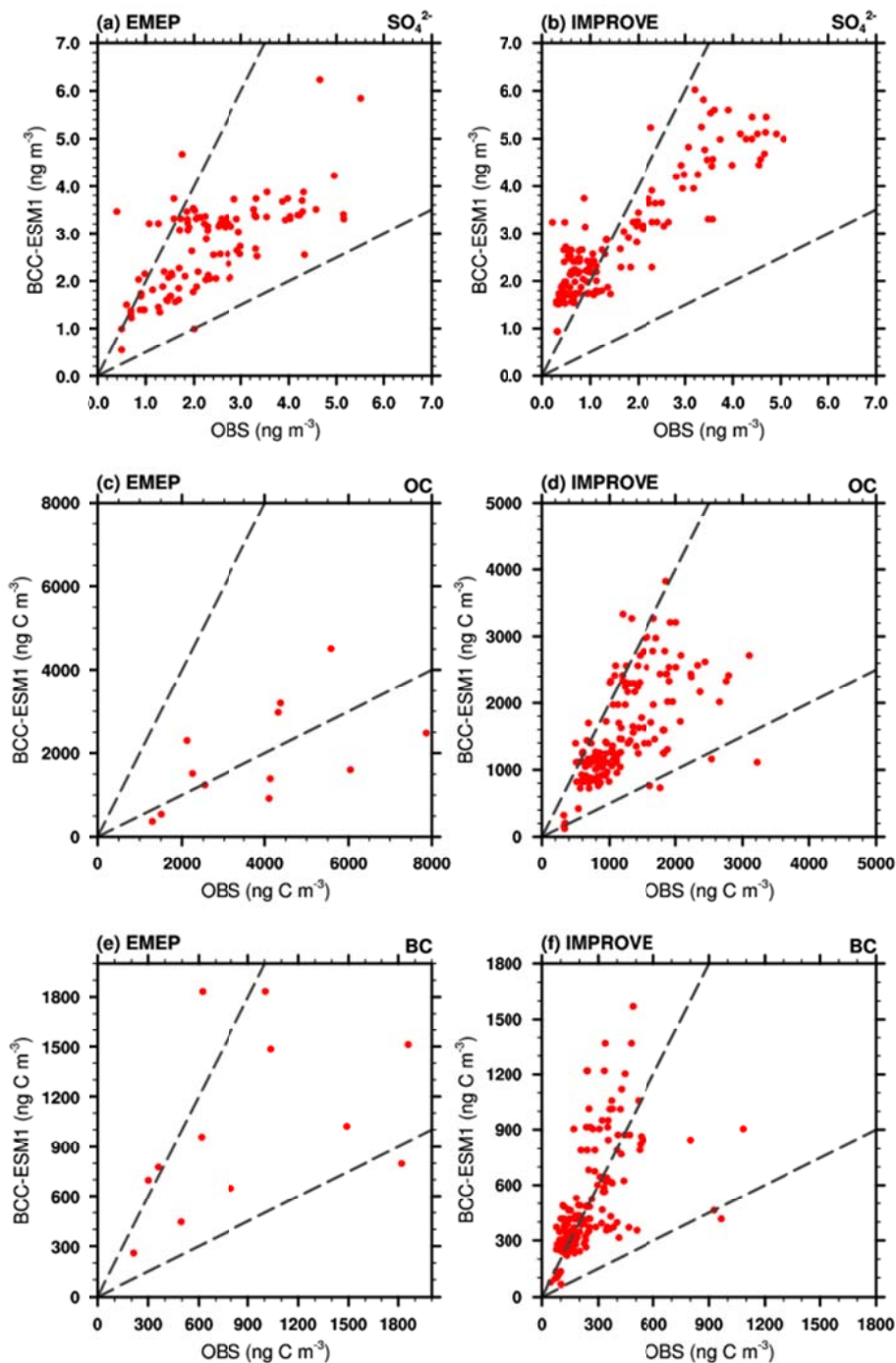


Figure 15. Scatter plots showing observed versus simulated multi-years averaged annual mean sulfate (SO_4^{2-}), organic carbon (OC), black carbon (BC) mixing ratios at IMPROVE and EMEP network sites. Observations are averages over the available years 1990–2005 for IMPROVE sites, and 1995–2005 for EMEP sites. Simulated values are those at the lowest layer of BCC-ESM1.

Table 7. Observed versus simulated concentrations of sulfate (SO_4^{2-}), organic carbon (OC), black carbon (BC) for the regional mean and spatial standard deviation, minimum and maximum values at IMPROVE and EMEP network sites, and the spatial correlation between observed and simulated multi-years averaged annual means. Simulated values are selected for the same locations and same valid observation time. The data used same as those in Figure 12.

	EMEP			IMPROVE		
	SO_4^{2-} (Obs/Model)	OC (OBS/Model)	BC (OBS/Model)	SO_4^{2-} (OBS/Model)	OC (OBS/Model)	BC (OBS/Model)
Mean Values	2.37/2.74	3844/1919	884/1022	1.53/2.79	1215/1565	249/504
Std Deviation	1.16/0.93	1997/1215	572/526	1.30/1.20	572/745	164/296
Min Values	0.40/0.55	1296/369	214/259	0.22/0.94	322/123	45/66
Max Values	5.50/6.24	7867/4510	1859/1834	5.07/6.02	3219/3827	1084/1570
Correlation (Obs and Model)	0.67	0.56	0.40	0.90	0.63	0.55

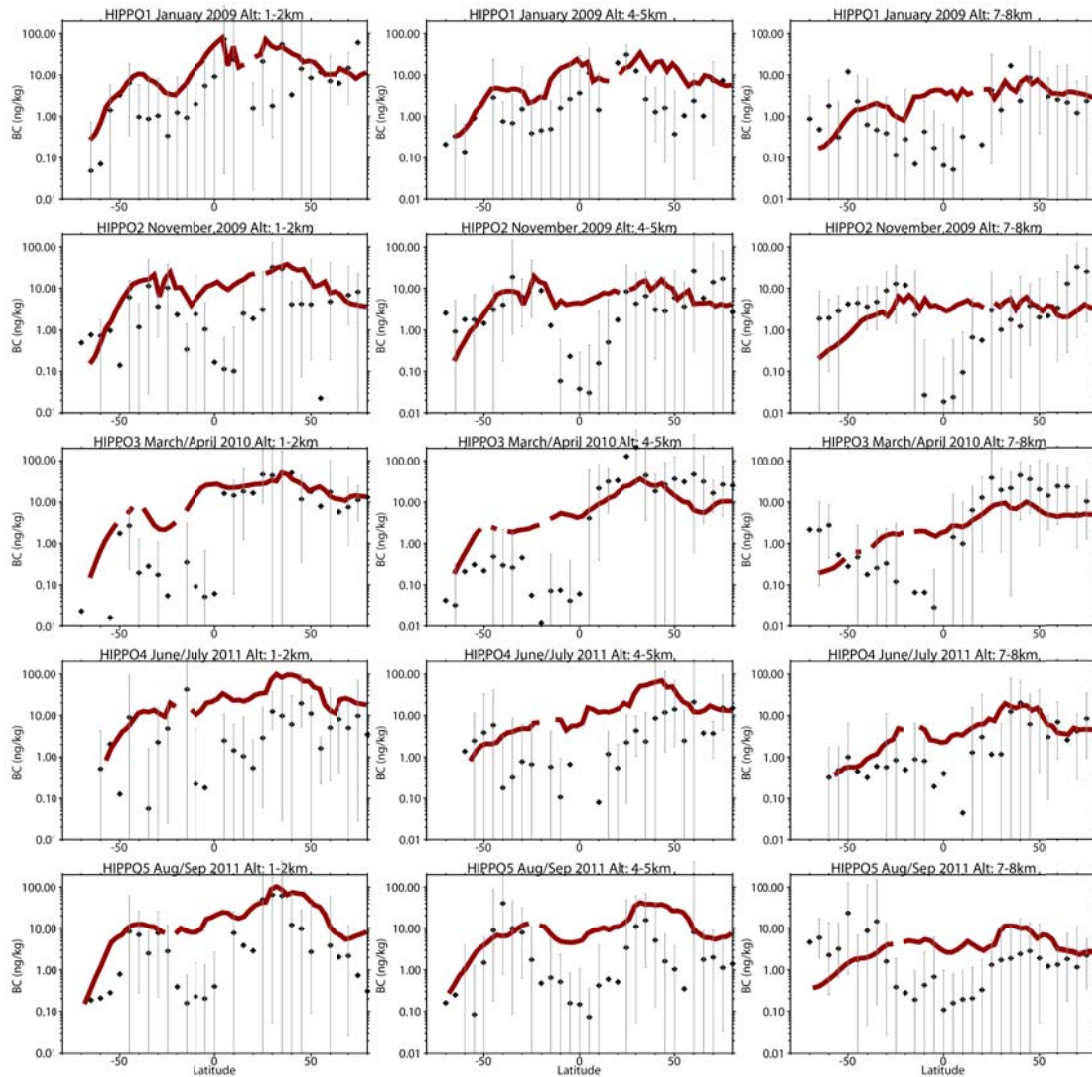


Figure 16. Comparison of modelled black carbon (BC) aerosol (red lines) with observations from HIPPO aircraft campaigns over the Pacific Ocean (black symbols, bars represent the full data range). Observations from different HIPPO campaigns were averaged over 5° latitude bins and three different altitude bands (left column: 1-2 km, middle column: 4-5 km, and right column: 7-8 km) along the flight track over the Pacific Ocean. Model results were sampled along the flight track and then averaged over the abovementioned regions for comparison.

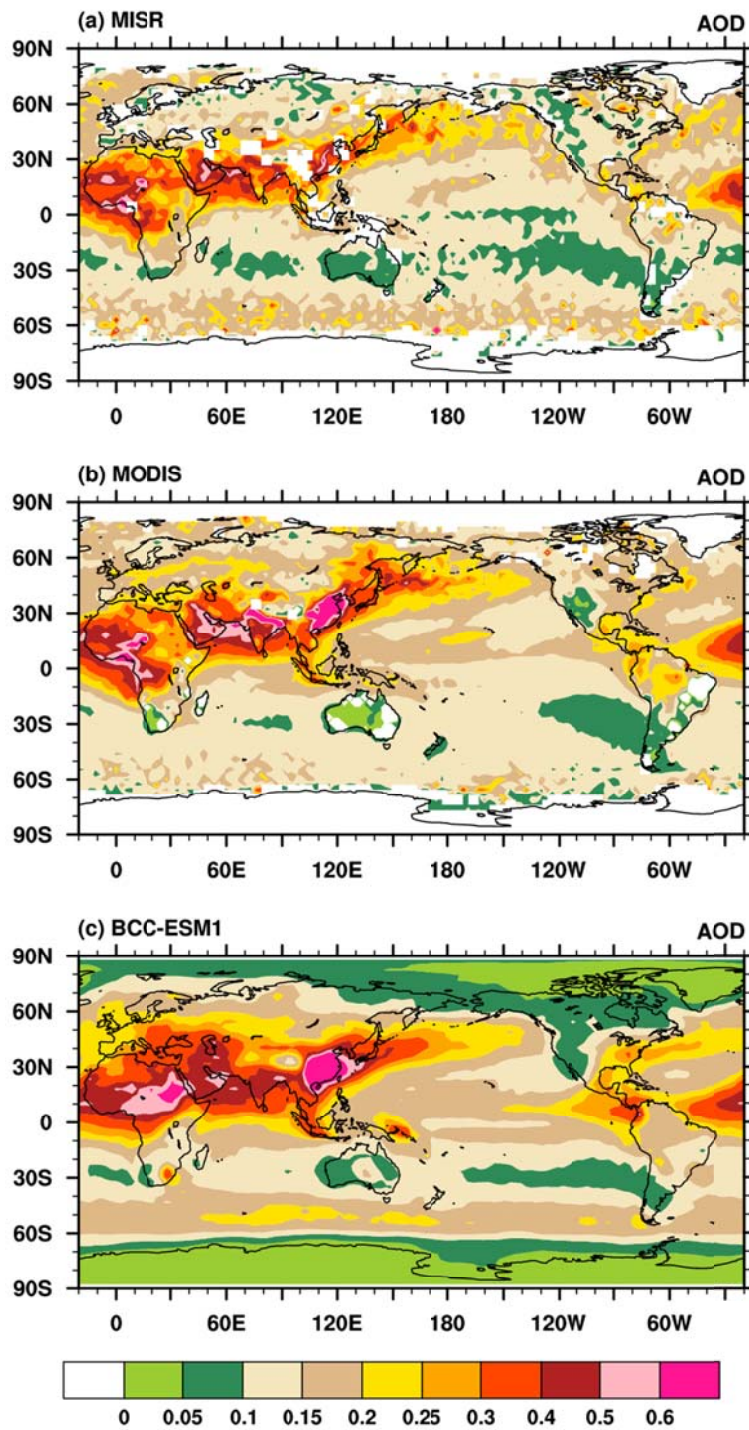


Figure 17. Global distribution of annual mean AOD simulated in BCC-ESM1 compared with the MISR and MODIS data for the year 2008.

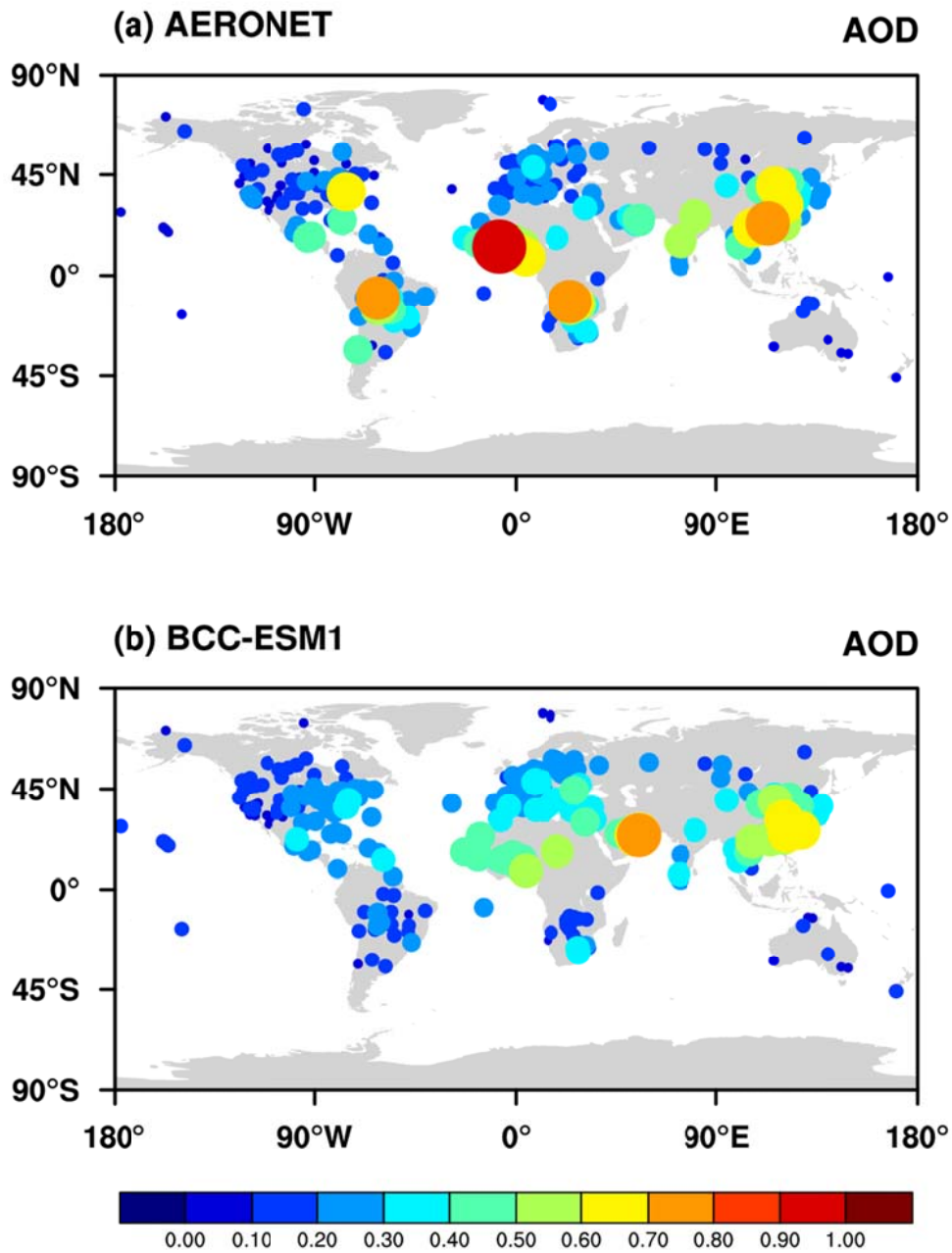


Figure 18. Observed versus simulated annual means of AOD at AERONET sites. Each data point represents the mean averaged for available monthly values of AOD. The dot sizes denote the magnitudes of AOD at sites. The spatial correlation is 0.56.

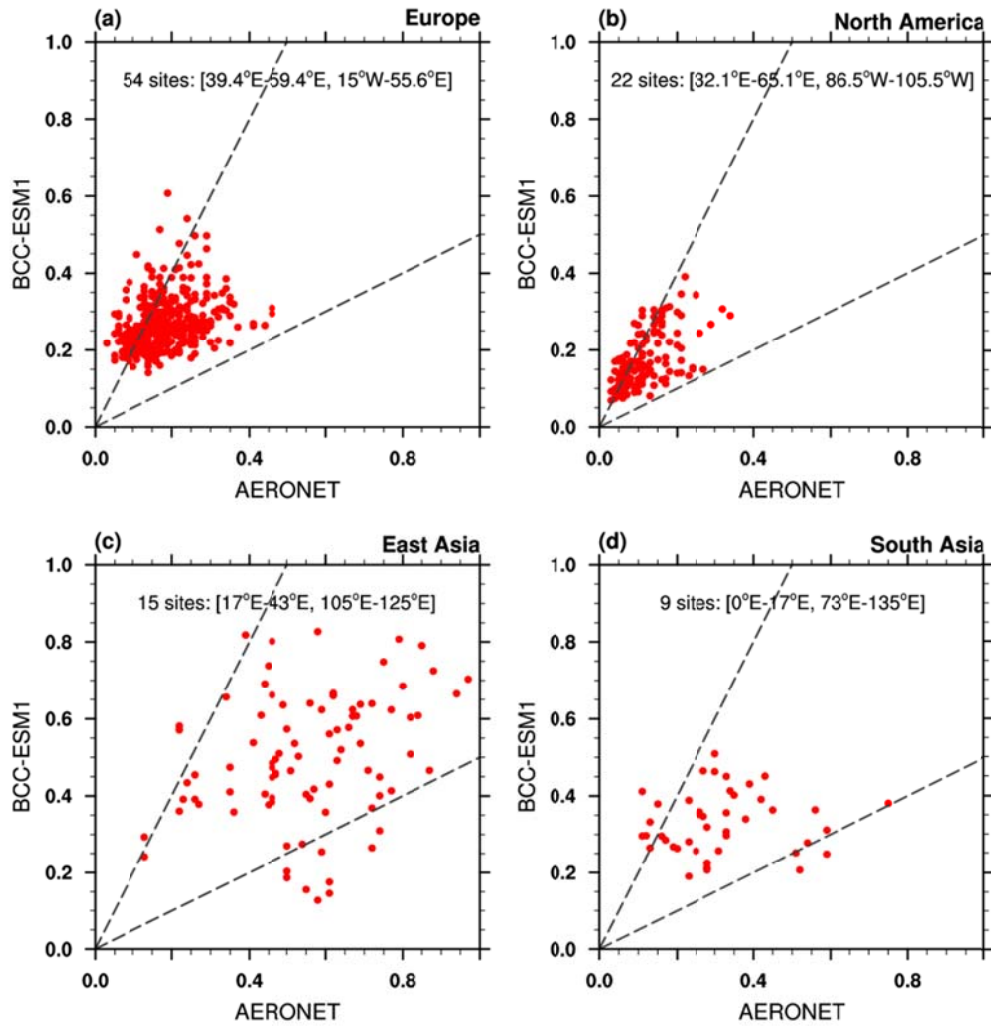


Figure 19. Scatter plots of observed versus simulated monthly mean AOD at AERONET sites in Europe, North America, East Asia, and South Asia over the period of 1998-2005.