

Author's Response to Editor's Comments on “*GlobSim (v1.0): Deriving meteorological time series for point locations from multiple global reanalyses*”

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The authors would like to thank the two reviewer for constructive feedback, and the thorough assessment of the manuscript. This final response combines both previous individual responses (reviewer comments are given in black, responses are given in blue) and includes the changes now made to the manuscript resubmitted.

Response to Anonymous Referee #1

General Comments

This paper proposed by Cao et al. presents a new tool, GlobSim, to derive meteorological variables from multiple reanalyses (ERA-Interim, ERA5, JRA-55, MERRA-2) for ensemble simulation. The motivation and novelty of the paper—as stated by the authors—lie in the technical challenges which limit the ease of reanalysis data can be applied to models at site scale. As far as I know, a tool like GlobSim does not exist so far. The authors show the suitability of GlobSim via applying it in a large number (156 sites) of soil temperature simulation in permafrost-affected regions. I am very impressed by the strength of GlobSim, combined with GEOTop, in capturing fine-scale temperature variability due to local scales, such as snow and vegetation cover, soil moisture, and a peat layer. In general, the paper and tool are well written and described, this is an interesting study. Although it lacks additional scaling methods and is limited to site scale, GlobSim would be a useful tool in modeling a number of land surface processes. I have two concerns.

(i) Long-term simulation

The authors showed the changes of permafrost temperature at different depths (0.1, 10, and 20 m) since 1980. However, only the upmost 12 m soil profile is shown in Figure 7. How did the author conduct a simulation at the depth of 20 m. Please clarify. I can understand this is provided as a demonstration of the utility of GlobSim for supporting long-term simulation. However, permafrost temperature change at a long-term scale is normally complex. This is because it is driven by both climate conditions (air temperature, precipitation as already considered by the authors) and related factors, such as such as soil moisture and vegetation. Unfortunately, the authors have not mentioned these at all. Given the description in Table 3, I assume the simulations present here is heavily simplified by ignoring such important processes. Please clarify. I suggest the authors, at least, discuss the potential influences on the temperature influences.

Response: The long-term simulation is conducted based on 60 soil layers with a total depth of 50 m. We added detailed introduction (see below) of long-term simulation in section 4.5. Additionally, we added “*In the long-term simulation, the layer of bedrock is extended to 50 m.*” in the caption of Figure 7 to clarify. Regarding the potential influences of soil moisture and vegetation, we discussed the uncertainties.

“Reanalysis produces multi-decadal meteorological variables (Table 1), and this makes simulating long-term changes of land-surface processes possible. To demonstrate the utility of GlobSim for supporting long-term simulation, we conducted an additional deep ground temperature simulation from 1980 to 2017 for a single terrain type. The soil profile increased to 60 layers with a total depth of 50 m via expending the bedrock layer. The model was spun up by repeating the reanalysis of 01/1980–12/1984 100 times (500 years). To improve simulation efficiency, we simplified GEOTop simulation by assuming the vegetation and soil moisture are constant over time although uncertainties are excepted. This is warranted as we aim to simply demonstrate the potential for long-term application.”

(ii) Spatial interpolation

A number of meteorological variables from three fields are derived and processed (interpolation and scaling)

in GlobSim. As authors mentioned, the pressure level is also interpolated or derived via 3D interpolation. However, some of the variables, such as air temperature, wind speed and direction, are available for both the surface analysis and pressure level analysis. In this context, what's the field (surface analysis or pressure level analysis) used here in permafrost simulation? More general, what is the selection strategy for such variables? This is important because different treating ways, 2D interpolation for surface analysis and 3D interpolation for pressure level analysis, would lead to different values for the same variable. This has been demonstrated by authors' another paper (Cao et al, 2017).

Response: Yes, both 2D (for single level) and 3D (for multiple levels or pressure level) are available in GlobSim. Given the relatively flat topography of the study area, here, we used only the single-level surface analysis or 2D interpolation. However, for mountains, 3D interpolation is preferred in order to capture the strong spatial variability of lapse rate caused by topography. This combined with suitable scaling methods (e.g., Fiddes J. and Gruber, S., 2014; Sen, A. and Tarbonton, G., 2016; Cao et al., 2017) will make GlobSim also suitable in mountains in the future. In the section 4.5 model setting and parameters, we revised to

"Given the gentle topography and small test area, the time-series were derived from single level analysis for the geographic centre of measurement sites, only (Figure 4)". to clarify.

Additionally, in section 3.3.2 Spatial interpolation, we added the suggestion of selection strategy

"Although, the 3D interpolation combining surface and pressure level information are not demonstrated here due to the relatively flat study area, it will be useful for further development of GlobSim by integrating additional scaling methods."

Specific comments

- P7, L8: Should it be term "scaling"?
Response: Corrected.
- P9, L31: change ...types in in the area to ...types in the area...
Response: The repeat of "in" was deleted.
- P10, Figure 3: Relative humidity of MERRA-2 is missing. Also consider adding label for each subplot as you've done for the other figures since you have eight figures here.
Response: Figure 3 is revised as below.
- P11, Figure 4: what is the background, DEM or hillshade, please clarify. What does the blue part in the upper right subplot? Seems the legend of Mine is not used, suggest delete it.
Response: The background is an elevation tinted hillshade. Elevation is represented by different hues and the topography is accentuated using darker pixel values. In this region, the elevation does not change significantly so the topographic variation from the hillshade is most prominent. The blue shaded region represents the Northwest Territories – a territory of Canada. The mine icon is visible at the leftmost edge of the map below the latitude marking and corresponds to the Ekati diamond mine main camp, a major landmark in the region. The caption has been changed to clarify:

"Figure 4 Study area and approximate location of measurement sites. Many point locations overlap at the scale of the map, so the positions of their markers have been dispersed to better illustrate the number and type of sites. The centroid of all measurement sites (red star) was used as the location for which GlobSim reanalysis data were derived. The top right inset map shows the location of the study area (red square) within the Northwest Territories (blue region) of Canada. The elevation tinted hillshade basemap is provided by the Natural Resources Canada Federal Geospatial Platform Elevation Data Web Mapping Service and is derived from the Canadian Digital Elevation Model (CDEM). Waterbody outlines were obtained from the ArcGIS online data library."
- P13, Table 2: Seems the minus symbol (-) for the units of thermal capacity (should be 106 J m⁻³ K⁻¹) and thermal conductivity (should be W m⁻¹ K⁻¹) is missing
- P2, L25-29: P14, Table 3: Similar with Table 3, the minus symbol in many units are missing, please double-check.
Response: These are the responses of two above comments. The P13 and P14 of previous manuscript were not in correct format, and many of the symbols in Table 2 and 3 are missing "-". They were fixed in the revised manuscript.
- P14, Table 3: simulation depth is not sufficient for the long-term simulation, which exceeds 20 m, please clarify.
Response: A detailed introduction of long-term simulation is added. Please see our responses to your major comment 1. In Table 3, the column is revised to "12/50", and a caption was added: *"The simulation depth of 12 and 50 m was used for GST and long-term simulation, respectively."*

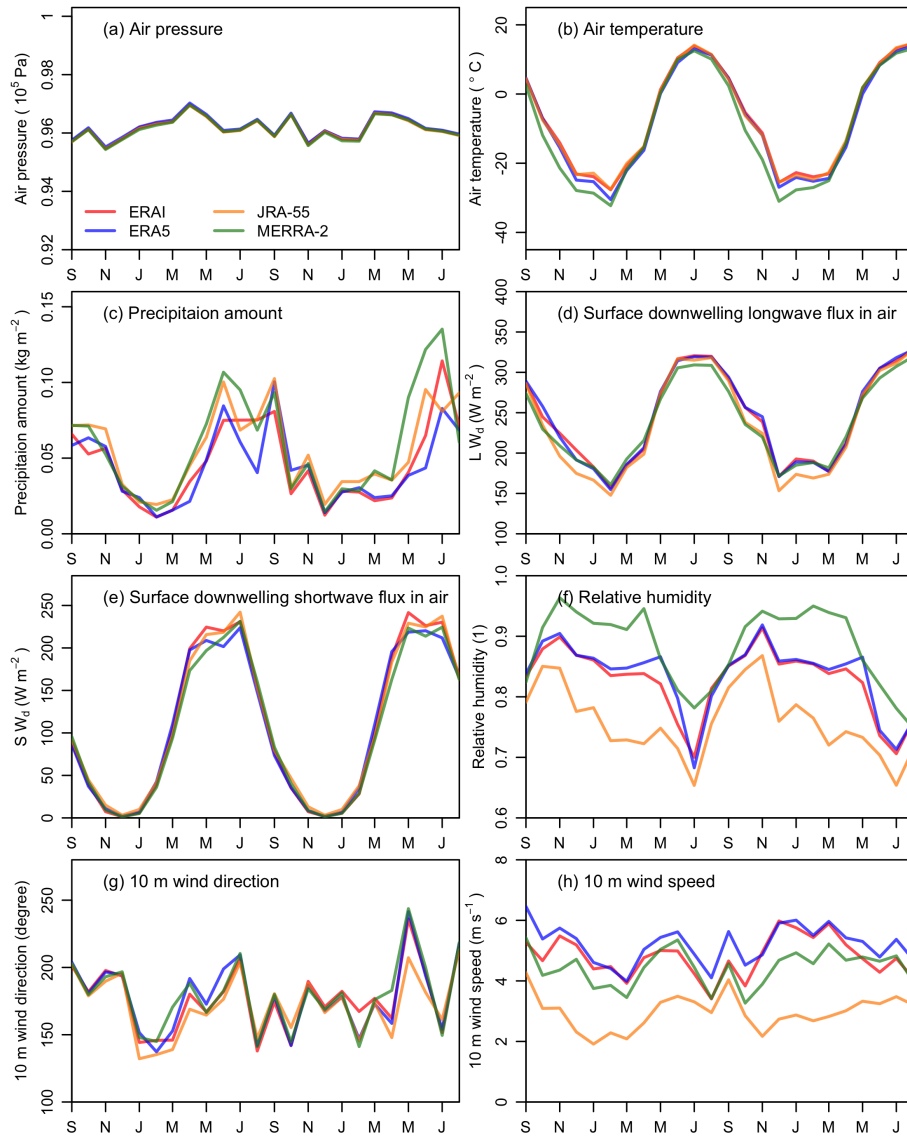


Figure 3. Inter-comparisons of monthly variables derived from GlobSim which are used in GEOtop during the period 09/2015–08/2017. SW_d and LW_d is the surface downwelling shortwave flux in air and downwelling longwave flux in air, respectively.

- P16, L10–12: The last sentence of this paragraph is very unclear. Please clarify.

Response: The sentence is changed to *"The ensemble mean, which had a daily RMSE of 1.87°C, outperformed all individual reanalyses during the measured period and reduced RMSE by 0.07–1.78°C (Figure 8)"*

- P3, L6: Should it be at a site scale or at the site level?

Response: It is changed to *"at a site scale"*

Finally, I look forward to further development of GlobSim.

Response to Anonymous Referee #2

Reviews for "GlobSim (v1.0): Deriving meteorological time series for point locations from multiple global reanalyses" by Cao et al.

This study develops, describes and validates the GlobSim, which aims to downscale the gridded reanalysis atmosphere data to a single point scale, in order to drive models for single simulation research. Single simulation is generally important, e.g., for development of model and research on mechanisms. But usually forcing data is scarce, particularly in high mountain or high latitude regions, resulting in that many simulation experiments can not be carried out in this regions. This study attempts to better use the reanalysis data to solve this issue. The topic is important. The study also

contains large amount of work, well writing and clear organization. Generally, it has a potential for publication. I have several comments that is considered to improve the paper

- 1 My main concern is the validation. The paper develops the GlobSim that aims to output the better atmosphere forcing data. So, first, the output results (atmosphere data) should be validated to see whether the better atmosphere forcing data are produced. Then they can be used to forcing model and some validations are further performed to see whether simulated results are better, which in turn also have a strong demonstration of the better forcing data. Now, the study directly validated the simulated results. As we known, simulation performances are determined by both forcing data and models. In this case, better simulation performance may be caused by model rather than forcing data, and so not reaching the main target that forcing data are actually needed to be validated.

Response: The basic assumption of GlobSim is that, as we stated in section 4, "*Reanalysis products are carefully designed and tested before release. In addition, many studies have evaluated their performance by inter-comparison, by comparison with observations (e.g., Jiang et al., 2015) and by applying them to model simulation (e.g., Albergel et al., 2018; Beck et al., 2019).*"

Fiddes J. and Gruber, S. (2014); SenGupta and Tarbonton (2016) have done the validation with meteorological data and, for individual reanalysis. This kind of study demonstrated that using surface and pressure level information can help to provide better data at fine scale with relatively simple methods and requiring a full atmospheric model. These were done at a place that is particularly useful to make this point: mountains because they have strong fine-scale variability, and the great in-situ data available could support such detailed evaluation. GlobSim now enables the application of such methods more broadly. We demonstrate its utility (also having multiple reanalyses) at a location where topography does not dominate microclimatology. Otherwise, we would be re-doing Fiddes J. and Gruber, S. (2014); Sen, A. and Tarbonton, G., (2016)'s work in some way and thus distract the reader from what is really new here. What remains is the need for a tool that allows to generate time series and, where required, interpolate spatially. We use interpolation in this manuscript because it allows us to compare reanalyses on differing grids for the same point location.

For these reasons, we inter-compared the GlobSim-derived meteorological variables (Fig. 3) in order to appreciate differences or detect blunders in conversion rather than directly comparing them with observations, although a detailed air temperature evaluation is present (Figure 8a, Table 5).

- 2 I also suggest that this study used the direct atmospheric forcing data in sitematched grid's reanalysis data (i.e., value in a simulation grid) to carry out a reference experiment, and then to compare with GlobSim results (single point) forced results. This comparison can really demonstrate the advantage of GlobSim.

Response: As we simplify ground locations that span an area wider than a typical reanalysis cell into a common center point, the comparison of this point with grid results will have little meaning. This study is the first step (v.1.0), GlobSim has not yet implemented other (e.g., Fiddes J. and Gruber, S., 2014; Sen, A. and Tarbonton, G., 2016; Cao et al., 2017), although the upper-air information has already been included. We hope significant improvements between grid and GlobSim would be achieved via coupling a number of scaling methods in the future.

- 3 Page4, Line2, Page4, Line2, a newer literature (Estimates of global surface hydrology and heat fluxes from the Community Land Model (CLM4.5) with four atmospheric forcing datasets. Journal of Hydrometeorology. 2016, 17, 2493-2510) is suitable for this discussion.

Response: Thanks, the manuscript of Wang et al. (2016) is added in the revised manuscript.

- 4 Table 2, the resolution of JRA-55 should be 1.125 rather than 0.56

Response: Yes, the resolution of reanalysis is 1.25° or about 150 km Kobayashi et al., 2016.

- 5 Page15, L11, remove the ""

Response: It is deleted in the revised manuscript.

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GlobSim (v1.0): Deriving meteorological time series for point locations from multiple global reanalyses

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Abstract. Simulations of land-surface processes and phenomena often require driving time series of meteorological variables. Corresponding observations, however, are unavailable in most locations, even more so, when considering the duration, continuity and data quality required. Atmospheric reanalyses provide global coverage of relevant meteorological variables, but their use is largely restricted to grid-based studies. This is because technical challenges limit the ease with which reanalysis data can be applied to models at the site scale. We present the software toolkit GlobSim, which automates the downloading, interpolation and scaling of different reanalyses—currently ERA-5, ERA-Interim, JRA-55 and MERRA-2—to produce meteorological time series for user-defined point locations. The resulting data have consistent structure and units to efficiently support ensemble simulation. The utility of GlobSim is demonstrated using an application in permafrost research. We perform ensemble simulations of ground-surface temperature for ten terrain types in a remote tundra area in northern Canada and compare the results with observations. Simulation results reproduced seasonal cycles and variation between terrain types well, demonstrating that GlobSim can support efficient land-surface simulations. Ensemble means often yielded better accuracy than individual simulation and ensemble ranges additionally provide indications of uncertainty arising from uncertain input. By improving the usability of reanalyses for research requiring time-series of climate variables for point locations, GlobSim can enable a wide range of simulation studies and model evaluations that previously were impeded by technical hurdles in obtaining suitable data.

1 Introduction

Models that represent the interactions between the land surface and the atmosphere are often used to investigate biogeochemical, cryospheric and hydrologic phenomena. Because they require meteorological forcing—with daily or finer temporal resolution, for extended periods and without gaps—site-specific applications such as process studies or model testing are limited to few locations where high quality ground observations are available. In this context, global atmospheric reanalyses can substitute for lacking observations or supplement incomplete records. They assimilate a broad range of observations into numerical weather-prediction models, usually have coarse grid spacing (10–100 km) and are often used for large-area studies in atmospheric and hydrological modelling (e.g. Žagar et al., 2018; Albergel et al., 2018). Their application to point locations

(e.g., Ekici et al., 2015; Westermann et al., 2016), however, is currently limited, likely because accessing data is technically involved. The software GlobSim, which is presented here, aims to contribute to overcoming this obstacle.

The suitability of reanalysis data for individual projects depends on the environment studied, the skill of the reanalysis in representing it and characteristics of the intended application. Several global reanalysis products are available to drive simulations or ensembles of simulations. Their relative suitability for specific simulation studies and locations is likely to vary because they rely on different assumptions, parameterizations or assimilated input data, and these differences result in biases that are spatially heterogeneous and specific to one or several meteorological variables (Decker et al., 2012; Zhang et al., 2016). The use of model ensembles is established to evaluate uncertainty or improve predictive accuracy in simulation studies (e.g., Tebaldi and Knutti, 2007). Ensembles consist of multiple simulations for a given location and time that differ in one or more ways. For instance, they can be generated by varying the forcing data (e.g. Guo et al., 2018), the models themselves (e.g. Westermann et al., 2015b) or the structure and parameters of a model (e.g. Gubler, 2013). Because meteorological time series that drive models are a major source of uncertainty, having multiple reanalysis products available for ensemble simulations is an important step in estimating and reducing overall simulation uncertainty.

Four main challenges impede the use of reanalysis data for simulating point locations. (i) Technical delivery: Available data is well documented but its structure largely reflects the needs and conventions common in atmospheric simulation and, as a consequence, individuals used to working with data from meteorological stations may find their handling difficult. For example, ERA-Interim (ERA-I) provides certain variables as accumulations over time intervals and these must be disaggregated if instantaneous values are desired. (ii) Differences between reanalyses: Reanalyses differ in their spatial and temporal grids as well as the conventions and units used for their variables and files (cf. Arsenault et al., 2018). (iii) Spatial scale: The coarse-grid reanalysis data requires spatial interpolation to the locations of interest and heterogeneous environments such as mountains or coasts may require additional, subsequent scaling procedures (e.g., Fiddes and Gruber, 2014; Sen Gupta and Tarboton, 2016; Cao et al., 2017). (iv) Differences between reanalysis and model: Reanalysis data usually require unit conversion, computation of derived variables (e.g., wind direction from northward and eastward wind speeds) and temporal interpolation in order to be suitable for driving specific models. Although addressing these four main challenges is not conceptually difficult, it does represent a technical hurdle that must be overcome in order to more fully materialize the benefits of reanalysis data for driving models at point locations.

This contribution describes the software GlobSim, named as a portmanteau of "Global Simulator", which produces time series from multiple reanalyses for driving model simulation at point locations. As a demonstration, we apply GlobSim to ground-surface temperature (GST) simulation in a densely instrumented and well described location in a tundra environment near Lac de Gras, Northwest Territories, Canada. This demonstrator is relevant for investigating permafrost and its changes, one example of a research field where the lack of data to drive simulations is particularly severe. The objectives of this study are (1) to describe the software GlobSim and test its results for blunders, and (2) to quantify the performance of simulations supported by GlobSim in a demonstrator application. For this, we compare ensemble members and means to statistical summaries of observations for different terrain types. Because reanalyses are imperfect and their performance can vary regionally and temporally (Decker et al., 2012; Fiddes and Gruber, 2014), the demonstrator can inspire, but not quantitatively under-

pin, applications in other areas, at other times and using other models. The study area has been chosen to test whether the combination of coarse-scale reanalysis data with fine-scale information on surface and subsurface characteristics (the terrain types) can reproduce the seasonal temperature cycles observed and the resulting fine-scale differentiation of ground temperature. This is relevant to potential users of atmospheric simulation data and also, for the development of atmospheric models as it can inform decisions related to the trade-off between increased resolution in the atmosphere or increased tiling (sub-grid) resolution of their land-surface components. Finally, improved simulation of land-surface processes and phenomena will become increasingly important for supporting decision making under climate change because it can help to estimate likely future environmental conditions. In this context, flexible model evaluation and application globally is an important first step.

2 Background

10 2.1 Downscaling of reanalyses

Most global atmospheric models produce output at coarse spatial scales (10–200 km) and for many applications, these data need to be downscaled, a process that has been described as making the link between the state of variables representing a large space and the state of variables representing a much smaller space (Benestad et al., 2008). For this, two main approaches exist: dynamical downscaling (e.g., Bieniek et al., 2016), which relies on nested atmospheric models with increasingly fine resolution and decreasing spatial extent, and empirical-statistical downscaling (e.g., Daly et al., 2008), which employs observations to derive mapping functions for linking coarse and fine scales. As GlobSim is intended to operate in areas without observations, this section emphasizes empirical-statistical downscaling methods that are tolerant to application far away from the observation with which they were derived.

Several empirical-statistical methods exist to downscale gridded meteorological data or to spatialize observations in heterogeneous environments. These applications are different but, especially when aiming to perform downscaling in areas without observations, show significant overlap. For example, Hungerford et al. (1989); Liston and Elder (2006) and Thornton et al. (2012) produced spatial data by interpolating observations based on topo-climatic variables (e.g., elevation, slope angle and slope aspect) and vegetation, and Daly et al. (2008) produced grids of mean monthly precipitation and temperature with a method that is frequently used in application studies (e.g., Jafarov et al., 2012). More recently, lapse rates for adjusting surface air temperature to fine-scale topography were derived from reanalysis pressure-level data (Gruber, 2012; Fiddes and Gruber, 2014). This allows lapse rates to vary temporally and physically consistent with the atmospheric conditions. Cao et al. (2017) further developed the surface air temperature downscaling by parameterizing fine-scale inversions such as cold air pooling. Downscaling methods for other variables such as shortwave and longwave radiation, precipitation and wind speed suitable for mountains exist (Fiddes and Gruber, 2014; Sen Gupta and Tarboton, 2016) and can inform application also in gently sloping terrain and the potential of these scaling methods has been demonstrated in simulation studies (e.g., Fiddes et al., 2015; Westermann et al., 2015a).

2.2 Ensemble simulation

Model uncertainty arises from input data and the models themselves (Gupta et al., 2005; Gubler et al., 2013) but the quantitative evaluation of this uncertainty is difficult when models are complex (Murphy et al., 2004)(Murphy et al., 2004; Wang et al., 2016). This is also true when simulations of land-surface processes or phenomena are forced by reanalyses because their uncertainty—
5 arising from e.g., the observational data, assumptions, model structure, initialization and parameters used—propagate into the final results. Here, ensemble simulation based on multiple reanalyses allows exploring the relative contribution that reanalysis quality has on the overall uncertainty of final results obtained for variables related to land-surface processes or phenomena. Additionally, the average of ensemble members has been shown to improve predictive accuracy relative to individual simulations (e.g., Tebaldi and Knutti, 2007; McGuire et al., 2016). One of the most widely-known ensemble simulation examples is
10 the Coupled Model Intercomparison Project (CMIP), which aims to contribute to the understanding of past, present, and future climate changes, in a multi-model context.

3 GlobSim

3.1 Structure and approach

GlobSim is a Python (version 3.7) software package designed to download and process important global atmospheric reanalyses
15 and to derive time series with consistent variables, units and time intervals for specific locations (Figure 1). It comprises three parts. (i) Downloading for retrieving original data. (ii) Interpolation of original, gridded variables to point locations as time-series. (iii) Scaling of site-level time-series to common units and temporal resolution, and possibly, the application of additional, empirical downscaling function. GlobSim is designed to be controlled via simple parameter files containing keyword-value pairs (e.g. download area, date range, output locations, variables). As downscaling methods can be easily added, it provides a
20 basis for broader application and development of existing methods Fiddes and Gruber (2012, 2014); Sen Gupta and Tarboton (2016); Cao et al. (2017).

3.2 Reanalyses

The four reanalyses currently implemented in GlobSim (Table 1) are ERAI, ERA5, the Japanese 55-year Reanalysis JRA-55 and the Modern-Era Retrospective analysis for Research and Applications (version 2) MERRA-2. Earlier reanalyses, such as
25 CFSR, ERA-40, JRA-25, and MERRA, were not implemented in GlobSim because they have either been superseded by newer products or have ended. During the writing of this contribution, the discontinuation of ERAI in 2019 has been announced.

ERAI has 60 levels in the vertical dimension with the highest at 1 mb, and the data is interpolated to 37 pressure levels (Dee et al., 2011). A reduced Gaussian grid with approximately uniform 79 km (T255) spacing for surface and other grid-point fields is used. ERAI covers the period from 1 January 1979 to 2019. The data contains analyses (at 00:00, 06:00, 12:00 and 18:00
30 UTC) for surface and pressure levels as well as forecasts of instantaneous (e.g. 2-m surface air temperature, air temperature,

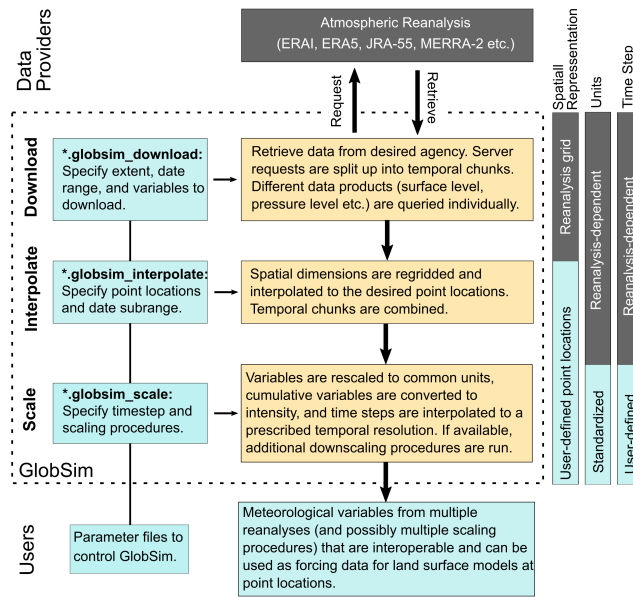


Figure 1. GlobSim schematic: Reanalysis data is processed in three main steps, based on user-specified parameter files. The colour of each box identifies user input and output (light blue), GlobSim processing (orange) and raw reanalysis data (grey).

Table 1. Characteristics of the four reanalyses currently supported by GlobSim.

Reanalysis	ERA1	ERA5	JRA-55	MERRA-2
Temporal coverage	1979–2019	1979–present	1958–present	1980–present
Horizontal resolution (latitude × longitude)	0.75° × 0.75° ~79 km	0.25° × 0.25° ~31 km	0.561.25° × 0.561.25° ~60-150 km	0.5° × 0.625° ~50 km × 65 km
Vertical levels	37	37	27/37	42
Temporal resolution	SA: 6-hourly SF: 3/6-hourly PL: 6-hourly	1-hourly	6-hourly	SA: 1-hourly SF: 1-hourly PL: 6-hourly
Assimilation method	4D-VAR	4D-VAR	4D-VAR	3D-VAR
Sponsoring agencies	ECMWF	ECMWF	JMA	NASA
Reference	Dee et al. (2011)	Hersbach and Dee (2016)	Kobayashi et al. (2015)	Gelaro et al. (2017)

Complete ERA5 data from 1950 to present is expected to be available in late 2019. ERA1 = ERA-Interim, SA = surface analysis, SF = surface forecast, and PL = pressure level. ECMWF = European Center for Medium-range Weather Forecast, JMA = Japan Meteorological Agency, and NASA = National Aeronautics and Space Administration. In ERA1, the temporal resolution of surface forecasts is 6-hourly for instantaneous variables (e.g. temperature and air pressure) and 3-hourly for the accumulated variables (e.g. precipitation, short- and long-wave radiation.)

and relative humidity) and accumulated (e.g. total precipitation and radiation components; from 00:00 and 12:00, in 3, 6, 9, and 12-hour steps) variables.

ERA5 is the fifth-generation atmospheric reanalysis produced by ECMWF to replace ERAI. Data is currently available from 1979 onward and later in 2019 is expected to be available starting in 1950. ERA5 is produced with a horizontal resolution of 31 km, a temporal resolution of 1 hour, and 137 vertical model levels, although the output pressure levels are identical to ERAI (Hersbach and Dee, 2016). ERA5 assimilates improved input data that better reflects observed changes in climate forcing, as well as many new or reprocessed observations that were not available during the production of ERAI. It also provides an estimate of uncertainty based on a ten-member ensemble with a temporal resolution of 3 hours and spatial resolution of 62 km (Albergel et al., 2018).

JRA-55 is produced using a 4-dimensional data assimilation system that uses many types of satellite data (Kobayashi et al., 2015). It is the second Japanese atmospheric reanalysis and covers the period from 1958 to near real-time. JRA-55 has a spatial resolution of 1.25° for assimilation (TL319) and 37 vertical pressure levels (1000–1 mb) for most variables in upper air, except dew-point depression, specific humidity, relative humidity, cloud cover, cloud water, cloud liquid water, and cloud ice, which are produced for 27 levels from 1000 to 100 mb, only. The temporal resolution is 6 hours for all levels and data (Kobayashi et al., 2015).

MERRA-2 replaces the original MERRA reanalysis (Gelaro et al., 2017) and uses the Goddard Earth Observing System-5 (GEOS-5) general circulation model (GCM) (Molod et al., 2015). It uses the cubed sphere grid of Putman and Lin (2007) and has a spatial resolution of $0.5^\circ \times 0.625^\circ$ (latitude \times longitude, ~ 50 km). MERRA-2 has 42 consistent pressure levels from the surface up to 0.1 mb (Gelaro et al., 2017). It has a temporal resolution of 6 hours for the pressure level data and 1 hour for surface and forecast analysis.

3.3 Operation

3.3.1 Download

GlobSim downloads reanalysis data from each sponsoring agency (Table 1). Downloads are mediated through application program interfaces (APIs) which allow programmatic access to data servers through Python. Server requests are split into temporal chunks in order to efficiently download large datasets. Different types of data (surface analysis, surface forecasts, and pressure level analysis) are queried individually. The outputs are stored as netCDF4 files for each temporal chunk and reanalysis field, retaining the structure and conventions used by the sponsoring agencies.

3.3.2 ~~Spatial interpolation~~Interpolation

GlobSim spatially interpolates gridded variables to the latitude and longitude of point locations using bilinear interpolation through the Python interface (ESMPy) of the Earth System Modeling Framework (ESMF) toolkit (O’Kuinghttons et al., 2016). Pressure-level variables are also interpolated spatially on each pressure level and in a subsequent step, vertical interpolation is performed at that location. First, geopotential height is normalized to obtain pressure-level elevation (E) [m] for each time step

$$E = \frac{\phi}{g_0}, \quad (1)$$

where ϕ is the geopotential height [$\text{m}^2 \text{s}^{-2}$] and g_0 is the acceleration due to gravity of 9.807 m s^{-2} . Then, pressure-level variables are vertically interpolated to the desired elevation. Geopotential height and other pressure-level variables are linearly extrapolated to locations where the pressure is greater than that of the lowest level with reanalysis data based on the values of the two lowest available pressure levels (Yessad, 2018). Although, the 3D interpolation combining surface and pressure-level information is not demonstrated here due to the relatively flat study area, it will be useful for further development of GlobSim by integrating additional scaling methods.

3.3.3 Scaling

We use the term **scaling**—“scaling” to describe the conversion of variables and units from their reanalysis-specific origins to a common standard as well as the possible application of additional temporal interpolation and downscaling procedures to produce data at fine spatial and temporal scales. For example, 3-hourly short-wave radiation from reanalyses can be interpolated to hourly resolution and used at mountain locations. When additionally, fine-scale terrain-sun geometry and horizon shading are taken into account the resulting data is likely to be more accurate (Fiddes and Gruber, 2014).

The output variables of GlobSim are named following CF conventions (v1.6) with standard_name attributes that are consistent with UDUnits. The units of meteorological variables are first converted to the standard ones and then interpolated to achieve the required temporal resolution. Some of the forecast variables are not directly obtained from the reanalyses but instead are derived based on calculations involving other available variables (e.g. wind speed may be calculated from its northward and eastward components).

All analysed fields in ERAI (e.g., surface analysis and pressure levels) and many forecast fields (e.g. temperature) are instantaneous. Some forecast variables (e.g., precipitation amount, surface downwelling longwave flux in air) however, are provided as accumulations in the reanalyses (Figure 2). This means that each value is described as a change relative to another time in the forecast cycle instead of as an instantaneous flux. In contrast to the other reanalyses, the forecasts in ERAI are accumulated from the beginning of the respective forecast cycle (i.e. from 00:00 or 12:00, the solid blue lines in Figure 2) rather than from the last step of the previous forecast cycle.

In the scaling procedure, ERAI forecasts are first disaggregated to total amounts starting from the end of each previous step (the dashed blue lines in Figure 2) for respective forecast cycle (00:00 and 12:00), and can be expressed as

$$S_s^d = \begin{cases} I_s, & s = 3 \\ I_s - I_{s-1}, & s = 6, 9, 12, \end{cases} \quad (2)$$

where I denotes values spatially interpolated from the reanalysis grid (see Section 3.3.2) and, S is the scaled results for that point location. The subscript s denotes the step and d the disaggregated value. The accumulated variables in forecasts are then

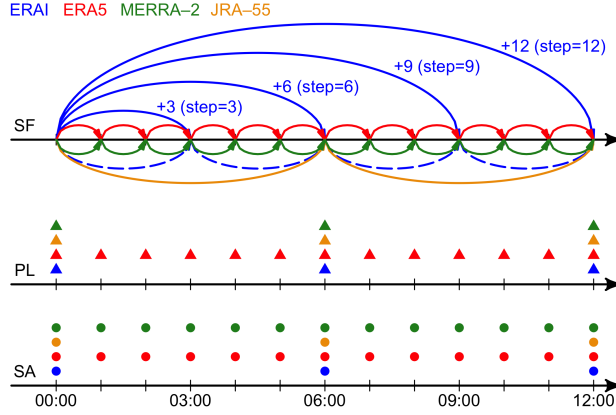


Figure 2. The representation of surface analysis (SA), surface forecasts (SF), and pressure levels (PL) data in the four reanalyses supported by GlobSim. The circles and triangles represent instantaneous variables which are reported at specific temporal resolutions. The lines represent accumulated variables in the forecasts which are reported as the integral of a flux over the time interval spanned by each arrow. Because the surface forecasts in ERAI are accumulated from the beginning of the respective forecast cycle (the solid blue lines) rather than from the end of the previous step, they must be disaggregated (the dash blue lines) using Eq. 2 to prevent overlap in the integration periods.

converted to averages at the prescribed time resolution by dividing the length of the time step

$$S = \frac{S_s^d}{t_n}, \quad (3)$$

where the temporal scale factor (t_n) could be given as

$$t_n = \frac{t_{res}}{t_{out}}, \quad (4)$$

- 5 where t_{res} and t_{out} is the raw temporal resolution of reanalysis and required temporal resolution of outputs with the unit of hour [h]. For ERAI, the disaggregated downwelling shortwave flux in air was found to be slightly negative ($> -0.05 \text{ W m}^{-2}$) at some time steps. These values were interpreted to be artifacts and set to zero.

The surface downwelling longwave flux in air (LW_d) is not directly available from MERRA-2, and is instead calculated as the sum of the longwave flux emitted from surface (LW_e) and the surface net downward longwave flux (LW_n),

$$10 \quad LW_d = LW_e + LW_n. \quad (5)$$

In ERAI, relative humidity (RH) [%] is derived by following Lawrence (2005)

$$RH = 100 - 5 \times (T_a - T_d) \quad (6)$$

where T_a [K] is the near-surface air temperature and T_d [K] is the dewpoint temperature. Wind speed and direction are calculated from the eastward (U) and northward (V) components

$$15 \quad W_s = \sqrt{U^2 + V^2} \quad (7)$$

and

$$W_d = \text{atan2}(V, U) \times \frac{180}{\pi} + 180 \quad (8)$$

where W_s is the wind speed in m s^{-1} , W_d is wind direction in degrees and atan2 is the two-argument arctangent used to compute an unambiguous angle when converting from Cartesian to polar coordinates.

5 4 Demonstrator application

Reanalysis products are carefully designed and tested before release. In addition, many studies have evaluated their performance by inter-comparison, by comparison with observations (e.g., Jiang et al., 2015) and by applying them to model simulation (e.g. Albergel et al., 2018; Beck et al., 2019). For this reason, we focus on the application of GlobSim for demonstration rather than the direct testing of reanalysis variables or their interpolated products. An inter-comparison of GlobSim-derived meteorological variables for the test area (Fig. 3) helps to appreciate differences or detect blunders in conversion. Below, we use GlobSim to drive ground-surface temperature (GST) simulation using a permafrost/land-surface model for a remote location in Northern Canada underlain by permafrost. We then analyze ensemble results and their deviance from observations.

4.1 Study area

The research area is centered at $64^{\circ}42' \text{ N}$, $110^{\circ}36' \text{ W}$, near the north shore of Lac de Gras in the Northwest Territories, Canada (Figure 4). The area is located within the zone of continuous permafrost, the mean annual air temperature (MAAT) is -9.0° C and the total annual precipitation is 284 mm during 09/1998–08/2007 (Ekati A, Environment Canada, 2019), with about 50% occurring as snow (Jones et al., 2003). Typically, snow cover lasts for about seven months and because of strong winds (Hu et al., 2003), snow depth shows strong spatial variability; it is shallowest in much of the higher-elevation, convex terrain (e.g. tops of eskers) and deepest in low-lying areas with taller shrub vegetation or in the lee of larger terrain features (Holubec et al., 2003).

The area has undulating to moderately rugged topography dominated by glacial features (Kerr et al., 1997; Dredge et al., 1999), mostly on the order of 10–20 m in relief (Dredge et al., 1999) and composed of glacial till (Haiblen et al., 2018). Till deposits are described according to their thicknesses, as veneers ($< 2 \text{ m}$), blankets (2–10 m) or hummocky (5–30 m). Eskers are the prevalent glaciofluvial deposits, reaching heights of 35 m and occasionally containing massive ice on the order of 2–5 m thick (Haiblen et al., 2018; Wolfe et al., 1997). The poorly drained low-lying areas have peat deposits and are generally associated with ice-wedge polygons. The region is within the Southern Arctic Ecozone (Wiken et al., 1993) described by continuous shrub tundra (Wiken et al., 1996). The most common shrubs are dwarf birch (*Betula pumila*) and Labrador tea (*Ledum decubens*). Uplands are well-drained with lichen and mosses whereas wetlands are typically colonized with sedges and mosses.

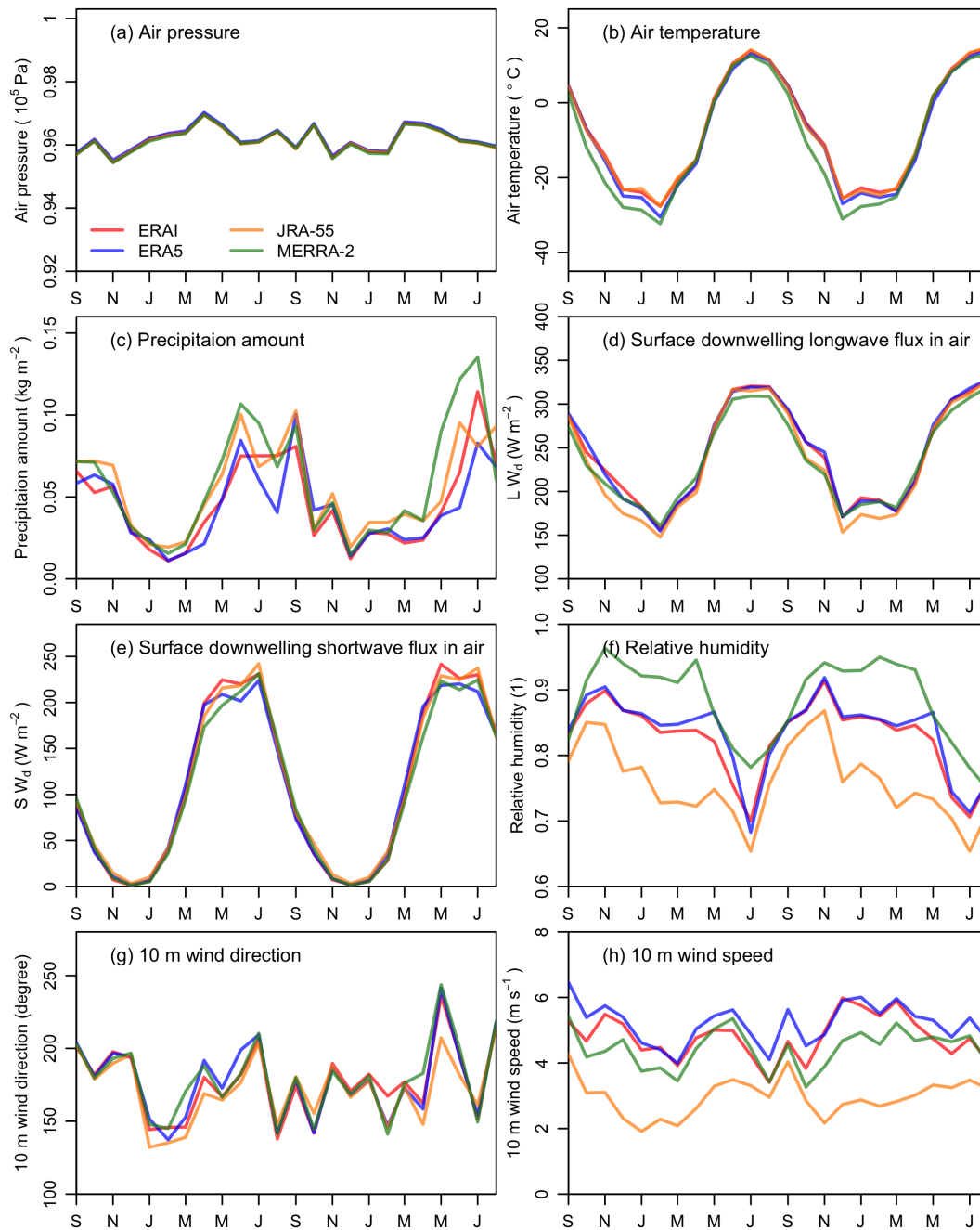


Figure 3. Inter-comparisons of monthly variables derived from GlobSim which are used in GEOtop during the period 09/2015–08/2017. SW_d and LW_d is the surface downwelling shortwave flux in air and downwelling longwave flux in air, respectively.

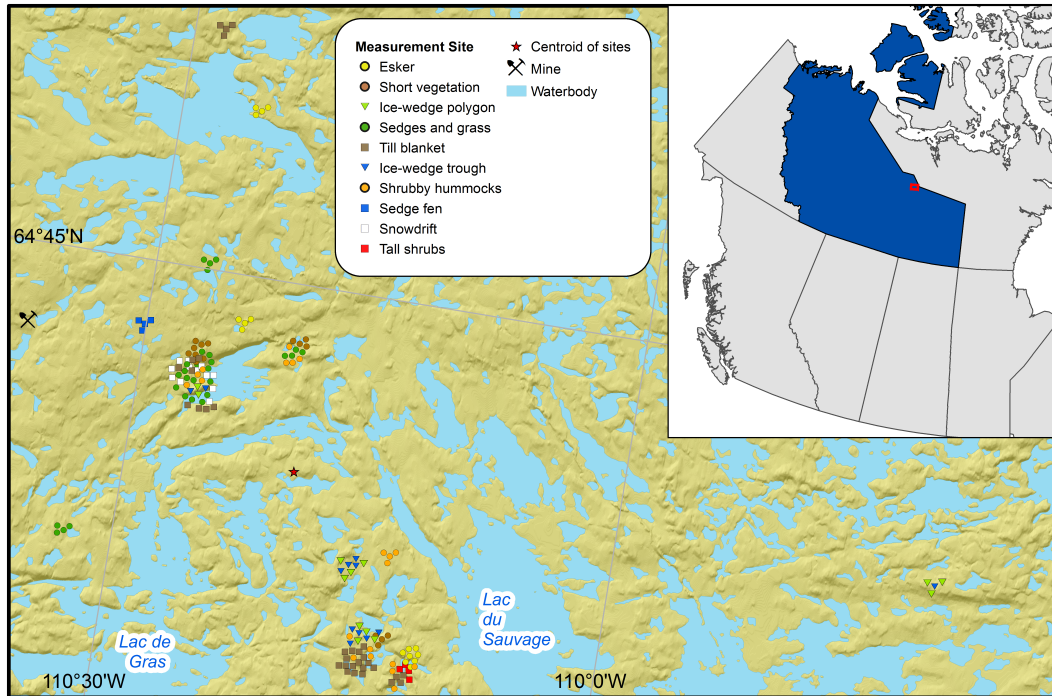


Figure 4. Figure 4 Study area and approximate location of measurement sites. Many point locations overlap at the scale of the map, so the positions of their markers have been dispersed to better illustrate the number and type of sites. The centroid of all measurement sites (red star) was used as the location for which GlobSim reanalysis data were derived. The top right inset map shows the location of the study area (red square) in western within the Northwest Territories (blue region) of Canada. The elevation tinted hillshade basemap is provided by the Natural Resources Canada Federal Geospatial Platform Elevation Data Web Mapping Service and is derived from the Canadian Digital Elevation Model (CDEM) provided by the Natural Resources Canada Federal Geospatial Platform. Waterbody outlines were obtained from the ArcGIS online data library.

4.2 Observations and quality control

GST was measured at 156 locations in order to capture the fine-scale spatial variability and to test the performance of GlobSim in supporting GST simulation beneath different terrain classes. Study plots measuring 15 m × 15 m were established that reflect the different terrain types in the area (Gruber et al., 2018). Each plot was instrumented with three to four temperature loggers approximately 0.1 m below the ground surface. Site characteristics including surficial geology, topography and snow deposition tendency were recorded for each study plot, and sub-plot characteristics were collected for each logger at the 1 m × 1 m scale including drainage tendency, vegetation height and leaf area index (LAI). Surface air temperature is measured at six locations, equally distributed between topographic high and low points. Sensors are mounted in passively-ventilated radiation shields (Young, model 41003) 2–3 m above the ground surface.

This study uses temperature measurements over two years (09/2015–08/2017) and annual mean values described here refer to measurements beginning in September and ending in August of the following year. GST data loggers (GeoPrecision, model M-Log5W-SIMPLE) and surface air temperature loggers (GeoPrecision, model M-Log5W with a Rotronic Hygroclip sensor) have a resolution of 0.01 °C and an accuracy of ± 0.1 °C. All measurement have intervals of 20 minutes.

5 4.3 Distinguishing terrain types

The variability of GST regimes near Lac de Gras is controlled by many factors, the most significant of which are surficial geology, vegetation type and height and topography (cf. Hu et al., 2003). The 156 sites are hence grouped into ten classes based on surface and subsurface characteristics as described at a scale of 15 m \times 15 m (Fig. 5). This classification is subjective and was developed to identify common terrain types that could be easily recognized in the field and that explain a significant part of the observed spatial variation of GST. Surface offset is defined is used here to quantify local ground temperature variations (Smith and Riseborough, 2002). It is defined as $SO = MAGST - MAAT$, where MAGST is the mean annual ground-surface temperature and MAAT is the mean annual air temperature.

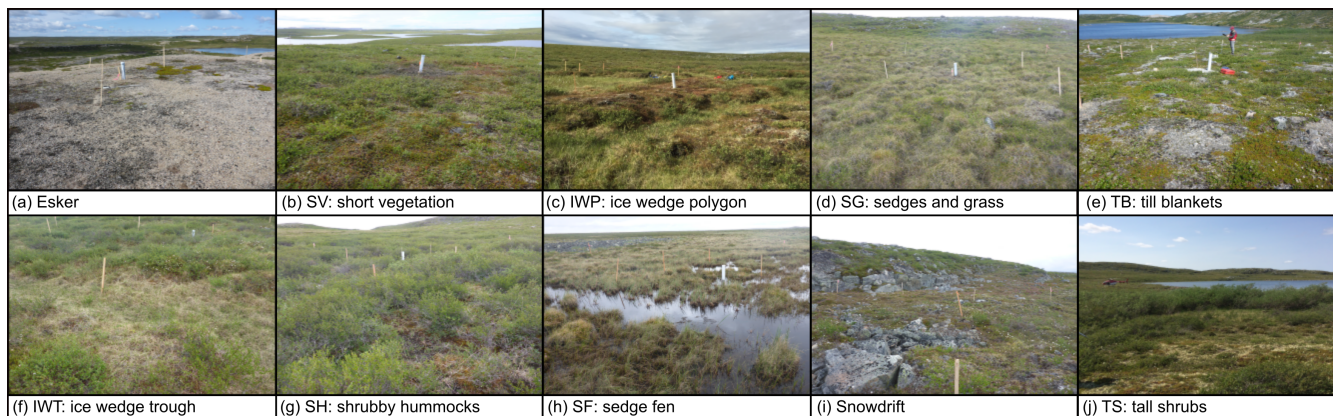


Figure 5. The ten terrain types used to partition the landscape and observations.

4.4 Process-based numerical model

GEOtop (version 2.0), a physically-based numerical model, is used for this demonstrator application because it describes the complex abiotic processes in permafrost environments well (e.g. Endrizzi and Marsh, 2010; Gubler et al., 2013; Endrizzi et al., 2014; Pan et al., 2016). It represents the heat and water transfer in soil as well as the energy transfer between the soil and the atmosphere. Additionally, it solves equations describing the interactions of water and energy during soil freezing and thawing (Dall'Amico et al., 2011; Endrizzi and Gruber, 2012) and simulates the water and energy transfer in the snow cover. GEOtop, driven by ERA-Interim forcing data, was found to be suitable for reproducing ground temperature observations (Mugford et al., 2012; Fiddes et al., 2015).

Table 2. GEOtop soil parameters used to simulate different stratigraphic units.

Stratigraphy	Glaciofluvial	Sandy till	Silty till	Peat	Ice-wedge	Bedrock
Saturated water content [%]	37.5	50	39.7	85	95	5
Residual water content [%]	4.9	4.9	5.3	20	0	1
Van Genuchten α [m^{-1}]	2.6	2.1	0.8	30	30	1
Van Genuchten n [-]	1.79	1.57	1.51	1.80	1.80	1.20
Thermal capacity [$10^6 \text{ J m}^{-3} \text{ K}^{-1}$]	2	2	2	1.8	1.8	2
Thermal conductivity [$\text{W m}^{-1} \text{ K}^{-1}$]	4	2	2	0.22	0.22	2

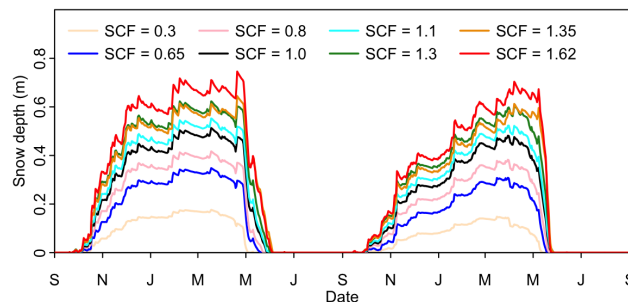


Figure 6. Simulated ensemble mean daily snow depth using different snow correction factors (SCFs) to reproduce the snow melt-out date for terrain types during the period 09/2015–08/2017. SV = short vegetation, TB = till blankets, IWP = ice-wedge polygons, SG = sedges and grass, IWT = ice-wedge troughs, SH = shrubby hummocks, SF = sedge fen and TS = tall shrubs. Max is the maximum snow depth during the measured period in meter.

4.5 Model settings and parameters

GEOtop was forced by the four reanalyses obtained via GlobSim, providing hourly data for precipitation, wind velocity, wind direction, short- and long-wave radiation, relative humidity, and near-surface air temperature. Given the gentle topography and small test area, the time-series were derived for the geographic centre of measurement sites, only (Figure 4). Model parameters and initial conditions were specified for each terrain type based on field observations. For example, the uppermost soil type was derived from drill logs and soil pit measurements (Table 2). Vegetation height was measured within each $1 \text{ m} \times 1 \text{ m}$ sub-plot around the datalogger (Table 3). Some parameters, which are challenging to measure directly, were then subsequently refined, within the range of plausible values (cf. Gubler et al., 2013), through experimentation with the model. The snow correction factor, which scales the simulated amount of snow via precipitation amount, is used to capture the differences among the ten terrain classes. It was determined by fitting the simulated melt-out date of the snow (Schmid et al., 2012) to observations (Fig. 6). Snow blowing is parameterized as wind compaction in 1D (Pomeroy et al., 1993) for all the terrain types except the tall shrubs site.

Table 3. GEOTop parameters for different terrain types.

Terrain	Esker	SV	IWP	SG	TB	IWT	SH	SF	Snowdrift	TS
Soil parameters										
Stratigraphy	I	II	III	III	II	IV	V	III	II	II
Soil water content [%]	12	45	85	77.5	40	95	36	85	45	45
	15	50	39.7	39.7	50	39.7	39.7	39.7	50	50
	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05	0.05
Simulation depth [m]	12/50									
Initial ground temperature [°C]	-2									
Snow parameters										
Snow correction factor [-]	0.3	0.65	1	1.1	0.8	1.1	1.3	1.3	1.62	1.35
Wind compaction [-]	yes	yes	yes	yes	yes	yes	yes	yes	yes	no
Vegetation parameters										
Vegetation height [m]	0.15	0.20	0.20	0.15	0.30	0.20	0.30	0.35	0.15	2.00
LSAI [m ² m ⁻²]	1.5	2.3	4.1	3.7	2.7	2.1	3.5	2.1	3.0	3.8
Canopy fraction [-]	0.4	1.0	0.8	0.8	0.7	0.8	0.8	0.6	0.8	1.0
DecayCoeffCanopy [-]	2.5	2.7	2.7	2.5	3	2.7	2.7	3.2	2.5	3.5
Vegetation root depth [m]	0.10	0.10	0.15	0.10	0.20	0.15	0.20	0.10	0.10	0.7
Vegetation reflectivity in the visible [-]	0.11									
Vegetation transmissivity in the visible [-]	0.55									
Vegetation reflectivity in the near infrared [-]	0.45	0.50	0.45	0.40	0.45	0.40	0.50	0.40	0.45	0.45
Vegetation transmissivity in the near infrared [-]	0.25	0.25	0.30	0.34	0.25	0.30	0.25	0.34	0.25	0.25
Surface density of canopy [kg m ⁻²]	0.4	1.6	3.6	3.5	1.7	1.6	4.1	2.8	3.5	4.0
VegSnowBurying [-]	2.0	2.0	1.5	1.0	2.0	1.5	2.0	1.0	2.0	2

SV = short vegetation, IWP = ice-wedge polygons, SG = sedges and grass, TB = till blankets, IWT = ice-wedge troughs, SH = shrubby hummocks, SF = sedge fen, TS = tall shrubs. The soil water content is specified for each soil layers present in Figure 7. DecayCoeffCanopy = Decay coefficient of the eddy diffusivity profile in the canopy, and VegSnowBurying = Coefficient of the exponential snow burying of vegetation. The different stratigraphic profiles are listed in Figure 7. [The simulation depth of 12 and 50 m was used for GST and long-term simulation, respectively.](#)

Soil parameters were estimated based on soil textural data collected at each plot (Subedi, 2016). More specifically, the soil particle thermophysical properties (e.g. thermal conductivity and heat capacity) were estimated based on typical values for common material types in each unit. The soil freezing characteristic curve parameters (van Genuchten α , van Genuchten n , saturated and residual water content) for rock and organic material were taken from Gubler (2013). For mineral soil, values were obtained by averaging the soil textural data within each class and applying the ROSETTA model v3.0 (Zhang and Schaap,

2017). For the ice-wedge trough, the uppermost layer was assigned a the water/ice content of 95% to simulate the ice-wedge itself. Leaf and stem area index (LSAI) and surface density of canopy were estimated based on measured LAI and moss thickness. Canopy fraction was determined based on plot photos (Gruber et al., 2018). Although the same vegetation reflectivity (0.11) and transmissivity (0.15) was used for each terrain type, overall reflectivity and transmissivity are in fact dependent on

5 A lower boundary condition of zero heat flux was used for these shallow simulation. Although borehole analysis revealed a heat flow of 0.046 W m^{-2} based on temperature measurements in two deep boreholes near Lac de Gras (Mareschal and Jaupart, 2004), it is not appropriate to apply these measurements to the shallow profile simulations because of the transient changes in the temperature profile near the surface during recent decades. We use 30 soil layers with a total depth of 12 m

10 (Figure 7) and an initial soil temperature of $-2 \text{ }^\circ\text{C}$. Reanalyses data for 07/2000–06/2010 was used to spin up the model by running it ten times (100 years) before simulations are conducted from 07/2010 onward.

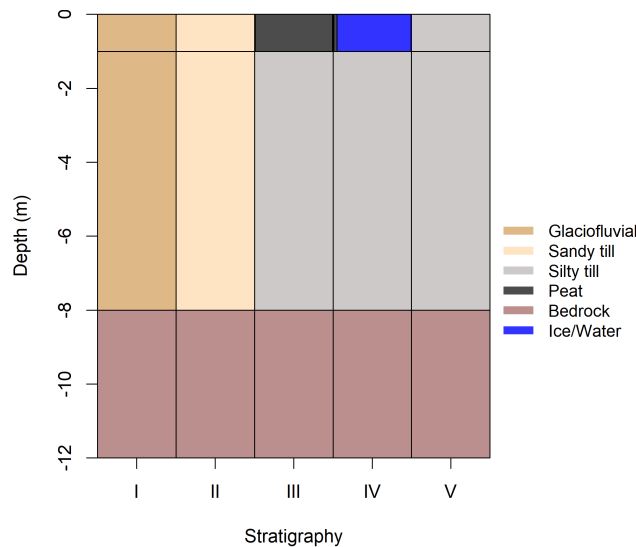


Figure 7. Soil profiles for the different terrain types used to partition surface temperature observations. Parameters for each of the subsurface materials are provided in Table 2. The stratigraphic unit (I–V) associated with each of the terrain types is listed in Table 3. [In the long-term simulation, the layer of bedrock is extended to 50 m.](#)

Reanalysis produces multi-decadal meteorological variables (Table 1), and this makes simulating long-term changes of land-surface processes possible. To demonstrate the utility of GlobSim for supporting long-term simulation, we conducted an additional deep ground temperature simulation from 1980 to 2017 for a single terrain type ~~and the~~. [The soil profile increased to 60 layers with a total depth of 50 m via expanding the bedrock layer.](#) The model was spun up by repeating the reanalysis of 01/1980–12/1984 100 times (500 years). [To improve simulation efficiency, we simplified GEOTop simulation by assuming the vegetation and soil moisture are constant over time. This is warranted as we aim to simply demonstrate the potential for long-term application.](#)

4.6 Comparison of observation and simulation-

To compare simulations with observations, the mean bias (BIAS) and root mean squared error (RMSE) were computed for each time series as

$$BIAS = \frac{1}{N} \sum_{t=1}^N (T_{mod} - T_{obs}) \text{ and} \quad (9)$$

$$RMSE = \sqrt{\frac{\sum_{t=1}^N (T_{mod} - T_{obs})^2}{N}}, \quad (10)$$

where T_{mod} is the modeled temperature, T_{obs} is the observed temperature, and N is the total number of measurements.

5 Results

5.1 Observed temperature

During the two years measured, the MAGST (SO) at individual sites span a large range of 11.43 °C, from -8.03 (0.46) °C on an esker with low vegetation, soil moisture, and snow cover (Figure 6), to 3.40 (11.89)°C in the tall shrubs, which retain snow (Figure 5, Table 4). Daily GST shows seasonal patterns that are similar for each terrain type, MAGST ranges within terrain types are reduced to 1.19–6.50°C and mean surface offsets differ clearly.

Table 4. Summary of temperatures in the ten terrain types.

Terrain		All	Esker	SV	IWP	SG	TB	IWT	SH	SF	Snowdrift	TS
Number of sites		156	15	16	13	28	32	12	20	4	12	4
MAAT (°C)		-8.49 (2016); -8.15 (2017)										
MAGST (°C)	max	3.40	-3.85	-2.10	-0.33	0.76	1.41	1.31	2.20	1.13	2.04	3.40
	min	-8.03	-8.03	-4.43	-4.48	-4.98	-5.06	-2.58	-1.70	-0.06	-1.84	-1.50
	mean	-1.77	-5.43	-3.46	-2.94	-2.37	-2.16	-0.65	0.48	0.50	0.76	1.37
	sd	2.21	1.01	0.73	1.08	1.34	1.72	1.18	1.01	0.47	0.93	2.09
SO (°C)	max	11.89	4.64	6.39	8.16	9.25	9.90	9.80	10.69	9.62	10.53	11.89
	min	0.46	0.46	3.72	3.67	3.51	3.09	5.57	6.45	8.09	6.31	6.65
	mean	6.55	2.89	4.85	5.38	5.95	6.16	7.66	8.80	8.82	9.07	9.69
	sd	2.24	1.02	0.87	1.17	1.39	1.75	1.29	1.12	0.64	1.03	2.13

SV = short vegetation, IWP = ice-wedge polygons, SG = sedeges and grass, TB = till blankets, IWT = ice-wedge troughs, SH = shrubby hummocks, SF = sedge fen and TS = tall shrubs. MAAT = mean annual air temperature, MAGST = mean annual ground surface temperature, and SO = surface offset.

5.2 Simulated temperature

The surface air temperature is best approximated by ERA5 which has the lowest daily RMSE of 1.94°C and bias of 0.15°C, while MERRA-2 was the worst with an RMSE of 3.65°C and a bias of -1.62°C (Table 5). During 2015–2017, the mean MAAT derived from the four reanalyses was $-8.11 \pm 1.25^\circ\text{C}$ which is in good agreement with the observed MAAT of -8.32°C . The ensemble mean, which had a daily RMSE of 1.87°C, outperformed all individual reanalyses, during the measured period and reduced RMSE by 0.07–1.78°C (Figure 8).

Figures 8c–l compare the daily ensemble means of simulated GST to observation means for the ten terrain types. The performance varies significantly among terrain types and reanalyses, with the RMSE ranging from 1.09°C to 3.00°C (Table 5). Even within the same terrain class, the mean RMSE difference for the four reanalyses was $0.60 \pm 0.22^\circ\text{C}$ and was up to 0.89°C for the sedge fen terrain type. The overall RMSE for the four reanalyses was 1.96°C for daily means and 0.92°C for annual means.

Most (81%) of the simulated daily GST are within the observation range, although the spread in simulated values is generally smaller than in observations (Figure 8). The spread of model results is generally greatest sometime between January and May of each year, with the exact timing depending on the terrain type. The variability of observations also depends on the terrain type, with the lowest variability at sedge fen sites and the greatest at till blanket sites. The ensemble mean shows good agreement with the observation mean with RMSE ranging from 1.02°C to 2.45°C depending on the terrain type.

The GST ensemble mean usually performed better compared to the individual ensemble members based on single reanalyses, as was the case with surface air temperature. For six of ten terrain types, the simulated GST ensemble mean achieved better results based on the RMSE. Moreover, the ensemble mean had the smallest RMSE for the daily GST when averaged over all sites. Consequently, the RMSE was reduced by 0.01–0.31°C for daily GST, and by 0.00–1.01°C for SO as a whole (Figure 9). Overall, simulations forced by MERRA-2 achieved the best performance for MAGST with the BIAS and RMSE of -0.03 and 0.75°C, respectively. Compared to other individual reanalyses, the simulations forced by ERA5 often yield the second best RMSE (8/14) if not the best (2/14) (Table 5).

The long-term simulation shows warming trends for MAAT and for MAGT at all depths. The modeled warming rate of the ensemble mean was 0.42 °C per decade for MAAT and 0.42, 0.21, and 0.13 °C per decade for the annual mean ground temperature at depths of 0.1 m, 10 m, and 20 m, respectively (Fig. 10).

6 Interpretation

The observed spatial variability of GST, with MAGST difference up to 11.5°C over 30 km, highlights the need for spatially-differentiated simulation in order to represent the different thermal regimes among locations or terrain types as well as their different transient responses to climate forcing. The reduced standard deviation and range of observed MAGST within terrain types indicates that the classification method for terrain type used here is reasonable. Furthermore, it shows that conceptualizing and simulating GST via terrain types can be expected to explain a significant part of the variation observed.

Table 5. Deviance of simulations from the mean of observations within each terrain type. The smallest BIAS and RMSE values for each row are indicated in bold font.

Source	Classes	ERA-I		ERA-5		JRA-55		MERRA-2		Ensemble	
		BIAS	RMSE	BIAS	RMSE	BIAS	RMSE	BIAS	RMSE	BIAS	RMSE
SAT		1.18	2.19	0.15	1.94	1.11	2.30	-1.62	3.65	0.20	1.87
	Esker	1.57	2.78	0.95	2.27	0.71	2.49	-0.03	3.00	0.80	2.45
	SV	0.91	2.75	0.59	2.41	1.29	2.45	0.00	2.72	0.70	2.39
	IWP	0.80	2.19	1.03	1.92	1.50	2.22	0.58	1.95	0.98	1.87
	SG	0.82	1.77	1.26	1.76	1.60	2.31	0.82	1.80	1.12	1.72
	TB	0.98	2.07	1.04	1.72	1.49	2.42	0.68	2.03	1.05	1.90
GST	IWT	-0.11	1.34	0.50	1.42	1.08	2.22	0.31	1.55	0.44	1.27
	SH	-0.24	2.06	-0.07	1.69	0.22	1.66	-0.73	1.93	-0.21	1.61
	SF	-0.41	1.15	0.02	1.48	0.51	2.04	-0.11	1.70	0.00	1.36
	Snowdrift	-0.32	1.52	-0.13	1.09	0.51	1.18	-0.33	1.57	-0.07	1.02
	TS	-1.48	2.06	-1.29	1.81	-0.50	1.41	-1.53	2.18	-1.20	1.66
	Overall	0.25	2.04	0.39	1.79	0.84	2.09	-0.03	2.09	0.36	1.78
MAGST		0.39	0.91	0.25	0.91	0.84	1.08	-0.03	0.75	0.36	0.82
SO		0.24	0.80	-0.93	1.27	-0.27	0.74	1.59	1.75	0.16	0.74

SV = short vegetation, IWP = ice-wedge polygons, SG = sedges and grass, TB = till blankets, IWT = ice-wedge troughs, SH = shrubby hummocks, SF = sedge fen, and TS = tall shrubs. SAT = daily surface air temperature, GST = daily ground-surface temperature, MAGST = mean annual ground surface temperature, and SO = surface offset.

The variables in GlobSim output are similar between reanalyses at one co-located point, indicating that major blunders are unlikely to exist in the GlobSim code. The data approximate surface air temperature near Lac de Gras well and GST simulation results from GEOTop agree well with observations both in terms of summary statistics (Table 5) and the reproduction of the underlying seasonal ground thermal regime (Fig. 8). While quality requirements and simulation quality will differ with application and geographic area, our demonstrator shows the suitability of reanalyses and GlobSim for driving process-based numerical simulation at the site scale. It shows that the combination of driving meteorological data, site conditions and a suitable model are able to reproduce spatial differences as well as the temporal evolution of ground temperature. The availability of multi-decadal reanalysis time series opens the door for better investigating transient processes and phenomena at and below the land surface. Ground temperature is one of many possible variables to investigate and, in this study, was chosen as an exemplar because it reflects the motivation and expertise of the authors.

The greatest model spread occurs between January and May when the thickness of the insulating snowpack accumulates differences in meteorological variables over the winter period and plays an important role in controlling ground temperature. This increase in model spread is also conspicuously absent in the esker terrain type, for which the effect of snow is minimal.

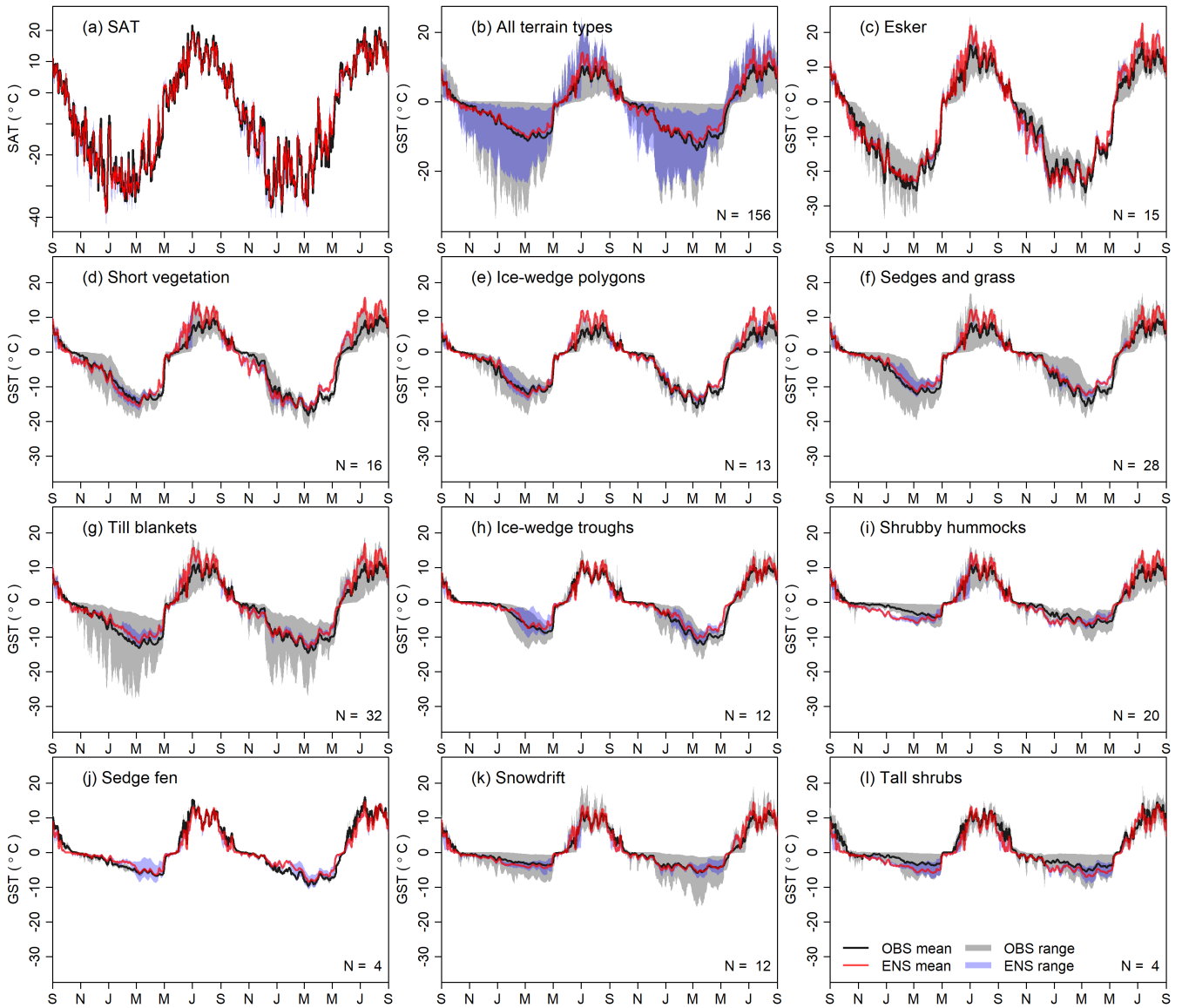


Figure 8. Comparison of ensemble (ENS) simulation results with observations (OBS) for (a) daily near-surface air temperature (SAT); (b) daily ground surface temperature (GST) for all the sites and; (c–l) daily GST for individual terrain classes. The date range for all figures is September 2015 to September 2017.

The low variability of observed GST for the sedge fen terrain type may be due in part to the small number of measurement sites in this terrain type ($n=4$). However, the tall shrubs class has the same number of measurements and exhibits variability similar to other terrain types with a higher number of measurements (e.g. shrubby hummocks, $n=20$). This suggests that the observed spatial variation of GST in each terrain type is a good first-order indicator of its full range of variability.

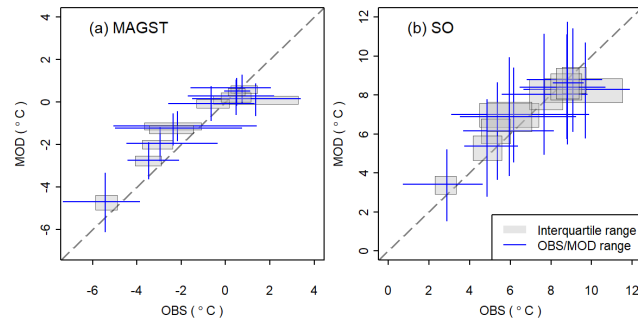


Figure 9. Comparison of ensemble results and observations for (a) mean annual ground surface temperature (MAGST) and (b) surface offset (SO) for the ten terrain types.

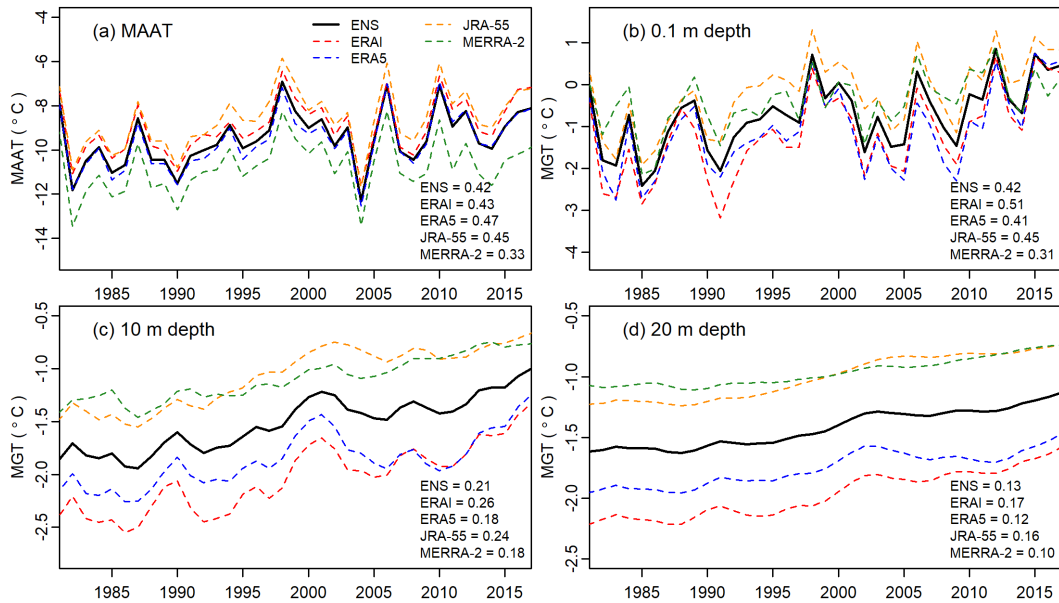


Figure 10. Changes of (a) mean annual air temperature (MAAT) derived from reanalyses, modeled annual mean ground (MGT) temperature at the depth of (b) 0.1 m, (c) 10 m, and (d) 20 m for shrubby hummocks (SH) terrain type of between 1980–2017. The numbers in the lower right of each figure correspond to the magnitude of the warming trend for each reanalysis and their ensemble mean with the unit of °C per decade. ENS corresponds to ensemble mean. The trend lines were calculated using a linear regression model, and all the slopes were statistically significant with $p < 0.05$.

The relatively small range of GST in the model ensemble when compared to the observations is likely due to the small number of simulations, in which only differing re-analyses-reanalyses are used but not perturbed physics or differing land-surface models. The large difference of RMSE for simulated ground surface temperature based on the four forcing reanalyses indicates the potential uncertainty caused by forcing datasets. Ensemble means often achieve better performance compared

to the individual ensemble members, indicating the potential of this approach to improve simulation results. Both findings underscore the value of using more than one reanalysis for driving simulation studies.

MERRA-2 underestimated surface air temperature near Lac de Gras, while simulation overestimated the ground temperature. As a result, the BIAS of MAGST forced by MERRA-2 is very close to 0 and the RMSE is smallest due to opposing biases canceling out. This is also demonstrated by the highest RMSE of surface offset for MERRA-2.

7 Discussion

7.1 Observed and simulated temperature

Our results highlighted the magnitude of spatial heterogeneity in ground temperature (see also Smith, 1975; Gubler et al., 2011; Schmid et al., 2012; Morse et al., 2012; Gisnås et al., 2014) over distances that usually are within single grid cells (30–100 km) of a reanalysis or climate model. When comparing grid-scale simulation results with point observations, this heterogeneity and scale mismatch usually confound model validation.

The ensemble ranges of modelled and observed GST (Fig. 8) reflect two distinct sources of variability. The former stems from differences in the forcing data, and the latter is due to terrain characteristics. However, both ranges inform how well a simulation would represent a particular type of location within the study area. And, while a direct comparison of the two ranges may not be valid, the observed variability helps contextualize the uncertainty introduced by the forcing data relative to changes in GST over as little as a few metres. From this study, we see that the relative importance of reanalysis uncertainty varies seasonally and spatially.

While we do not aim to evaluate the quality of reanalyses themselves, the calculated air temperature bias indicates how accurate reanalyses and GlobSim are for our study area. It is therefore worthwhile to contextualize these results with those of studies dedicated to a formal evaluation of the reanalyses. For example, in Greenland (Reeves Eyre and Zeng, 2017) found average monthly SAT biases averaged over all stations of 0.81°C (MERRA-2), 1.76°C (ERA-Interim) and 1.95°C (JRA-55). Wang and Zeng (2012) found a daily average bias for ERA-Interim over 63 stations on the Tibet Plateau of 3.21°C while an analysis of individual stations within North America found 6-hourly ERA-Interim bias values to mostly fall between -1.5°C and 4.5°C but were as large as 7.5°C (Decker et al., 2012). The SAT bias calculated at our sites ranges from -1.62°C (MERRA-2) to 1.18°C (ERA-Interim) (Table 5). The numbers of this and previous studies remind us that the application of reanalyses for simulating surface phenomena is bound to be imperfect and that the success of application in one area cannot be transferred to other areas uncritically.

7.2 Advantages and limitations of GlobSim

Previous work has investigated parameter uncertainty with ensemble simulation (Harp et al., 2016; Gubler et al., 2013) but not investigated the effect of uncertainty in the forcing data. Other studies have used multiple forcing datasets to drive ensembles at the grid-scale. Jafarov et al. (2012) used a five-member GCM composite product for driving a permafrost model on a 2 km × 2 km grid using mean monthly air temperature and precipitation. Here, the coarse temporal resolution and the GCM-

specific realization of weather events preclude some types of detailed investigation with observations. Guo et al. (2017) used three different reanalyses to evaluate the effect of forcing data on permafrost model uncertainty on a $0.5^\circ \times 0.5^\circ$ grid, excluding fine-scale variation. For simulation at finer scales, dynamic and/or statistical downscaling of GCM and RCM outputs have been used (Salzmann et al., 2007; Marmy et al., 2016). The downscaling and debiasing, however, are often limited to areas with
5 detailed observations.

Although the demonstrator presented here is relatively simple, it addresses a critical gap in permafrost research and likely for other modelling communities as well. It provides a basis upon which to implement improved downscaling methods and to work towards debiasing GCM results with ~~re-analyses~~ reanalyses (Cannon, 2016) at the point scale. Specifically, GlobSim helps to:
10 (1) *fill gaps in meteorological observations caused by instrument failure*. (2) *evaluate models* at locations where observations to compare with model results (ground temperature in this demonstrator) are available, but meteorological observations to drive models are lacking, and (23) *predict environmental phenomena* that are driven by atmospheric conditions (permafrost in this demonstrator) at locations for which no observational data exist. Such predictions could also support the analysis and interpretation of field manipulation experiments or long-term monitoring data. In keeping with the permafrost example, these experiments could investigate the effects of vegetation change or different snow management practices (O'Neill and
15 Burn, 2017). In remote locations long-term meteorological observations are sparse, which limits the application of models that require detailed inputs. The recent publication of two such datasets illustrates the importance—and the general lack—of complete records which can be used to both force and evaluate models (Boike et al., 2019, 2017). While the methods contained in GlobSim are simple, it nevertheless provides an important simplification of the application of reanalysis data toward simulation studies outside atmospheric science. As GlobSim outputs use the CF conventions (Hassell et al., 2017) it
20 contributes to the ease of using multiple data sources.

Our results have demonstrated the performance of GlobSim for site-level simulation in one particular field area with gentle topography. Simulation accuracy for other areas and application will differ, especially in mountains and near coasts where topography and other heterogeneity presents additional challenges for downscaling. Additional scaling rules such as TopoScale (Fiddes and Gruber, 2014), REDCAPP (Cao et al., 2017) or those implemented in MSDH (Sen Gupta and Tarboton, 2016)
25 may be added in the future, making GlobSim more suitable in mountains.

7.3 ERA5 ensemble

ERA5 provides uncertainty estimates for all parameters at 3-hour intervals and at a horizontal resolution of 62 km. This is achieved using an ensemble of 10 members that differ in their assimilated observations, initial conditions and model structure. In other words, ERA5 itself is an ensemble simulation. In GlobSim, only the ensemble mean of ERA5 is currently used. In a
30 next step, efforts will be devoted to compare ensembles of ERA5 and fully incorporate them into GlobSim.

8 Conclusions

We describe and test the software GlobSim, which has been designed to support ensemble simulations at a the site level. Specially, GlobSim is designed to easily retrieve, interpolate, and scale reanalyses in order to produce time series of meteorological variables with common structure, temporal resolution and units. It currently supports four reanalyses: ERAI, ERA5, 5 JRA-55 and MERRA-2. We demonstrate the utility of GlobSim by driving a model of ground-surface temperature in a tundra environment with permafrost, and comparing its output to observations. Our results support three conclusions:

- 1 GlobSim improves the usability of reanalyses for land-surface simulations by deriving time-series of climate variables in uniform format from multiple reanalyses for point locations.
- 2 GlobSim enables efficient ensemble simulations at single or multiple points.
- 10 3 Compared to simulations forced by individual reanalyses, ensemble means often yielded better performance with reduced RMSE in addition to providing information about predictive uncertainty.

Code availability. GlobSim is developed as a Python package available at <https://doi.org/10.5281/zenodo.3237258> as a GPL-3.0 project in the version published here and with documentation at <http://github.com/geocryology/globsim>. A docker container containing the required libraries is also available at <https://hub.docker.com/r/geocryology/globsim>.

15 *Data availability.* Observations are available from the Nordicana-D data repository (Gruber et al., 2018).

Author contributions. BC carried out this study by analyzing data, developing part of the GlobSim code and performing the simulations. XQ contributed to the development and design of GlobSim. NB contributed to the development and testing of GlobSim, supported the operation of GEOTop on the virtual machines, tested the initial GlobSim parameters and conducted the field measurements. ESJ contributed to the collection of field measurements, developed the terrain-type classifications and assisted with the selection of model parameters. SG conceived 20 and guided the project, and designed the initial code of GlobSim. All authors contributed to the writing and editing of the manuscript.

Disclaimer. The authors declare that they have no competing interests.

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