

1 **Authors' response associated with first resubmission of 'Using Arctic ice mass**  
2 **balance buoys for evaluation of modelled ice energy fluxes**

3 This response is in three parts:

- 4 a) An updated point-by-point response to the reviewers. This is in the main very similar  
5 to the original response, but in some cases the actual changes made differ from the  
6 changes originally suggested.  
7 b) A summary of the changes to the manuscript.  
8 c) A tracked changes version of the manuscript.

9  
10 **a) Point-by-point response to reviewers**

11  
12  
13 Once again, we thank the reviewers for their effort in producing a helpful, constructive set of  
14 suggestions for improving the manuscript. We apologise for the length of time it has taken to  
15 produce a revision. This is mainly associated with an overhaul of the code (intended to allow  
16 the data associated with the publication to be made more widely available), problems  
17 encountered during this process, as described in the summary of changes below, and the  
18 need for an internal review.

19  
20  
21  
22 **1. Response to reviewer 1**

23 Original reviewer comments are shown in italic font, our response in normal font.

24  
25  
26 **General comments:** *The authors present a thorough analysis of the well known dataset of*  
27 *ice mass buoys deployed covering the Central Arctic and Beaufort Gyre regions since 1993*  
28 *to provide climatology seasonal estimates of the top and bottom ice conductive and melt and*  
29 *ocean fluxes over and under sea ice. The novelty of the method lies in the fine analysis of*  
30 *the data and in the physical processing applied to retrieve meaningful fluxes that can then be*  
31 *used to evaluate climate models such as the HadGEM2-ES Met Office model. I am*  
32 *supportive of this paper being accepted in this general as this dataset and methodology*  
33 *offers a useful tool to the modelling but also remote sensing and in-situ communities.*  
34 *Nevertheless one of the main strength of this paper is the (clean) dataset produced as well*  
35 *as the algorithm developed to analyse these dataset and I strongly encourage the authors to*  
36 *make these data available to the community. In addition, in this case, the nature of the*  
37 *product calls for more transparency and sharing the code and data will warrant easier*  
38 *reproducibility for the scientific community. Finally, a significant effort is needed to clarify the*  
39 *sensitivity of the analysis to the various constants and approximations made. I provide some*  
40 *detailed comments below on how this could be achieved.*

41  
42 We thank the reviewer for their kind remarks. Although it was not possible to publish the  
43 code in time for the publication of the discussion paper, due to internal procedures, we are  
44 publishing a new version of the code with this revision of the paper.

45  
46 As noted before, we strongly agreed with the reviewer's suggestion that, in addition, a  
47 version of the processed data should be made available to the community. Following this  
48 suggestion, we overhauled the code to allow the data to be produced in netCDF4 format. In  
49 addition, we divided the code into two stages, each with an associated output dataset. In the  
50 first stage, IMB data is read, quality controlled, and mapped to a consistent set of data series

1 at consistent time points. In the second stage, this processed data is used to calculate the  
2 datasets of monthly mean variables.

3  
4 Because of this division into stages, there are two code repositories published with this  
5 paper (on GitHub) and two datasets (on Zenodo), one associated with each stage.

6  
7  
8  
9  
10 **Specific comments:**

11 **Abstract**

12 **Introduction**

13  
14  
15  
16 *P1L23: add reference to Kwok, 2018*

17  
18 This has been included in a rewritten Introduction.

19  
20 **Calculating monthly-mean energy fluxes from the IMBs**

21  
22 *P3L26: would a more advanced optimal interpolation scheme improve the results?*

23  
24 Yes, probably – such a scheme could make use of information about other variables, for  
25 example. However we decided early on to use a very simple scheme for the present study to  
26 ease the processing of data. The results of the estimation scheme used were, individually,  
27 sensible. The estimation scheme is contained in a single function in the code, which could  
28 easily be replaced by a more advanced scheme in a future study. We have justified this  
29 choice with a sentence in our revision.

30  
31 *P3L33: define z\_srf and explain a little more (maybe in appendix or with figure 4) how zsurf  
32 and zint are sufficient to estimate both changes of surface and bottom sea ice.*

33  
34 This point was not explained very clearly. z\_sfc is now defined (surface elevation) and we  
35 have tried to improve the clarity of this paragraph.

36  
37 *P4L2: King et al, 2018 and Mercouriadi et al, 2018 have shown that such snow ice formation  
38 is prevalent in some regions of the Arctic. Discuss.*

39  
40 We have added a more detailed discussion of the snow-ice formation issue, noting the  
41 observations you mention (we have chosen to cite Rösel et al, 2018).

42  
43  
44 *P4L13: couldn't you ask the data providers?*

45  
46 We asked the data providers, and now cite their response.

47  
48 *P4L19: a link to the code would be very valuable here.*

49  
50 The code is now linked.

51  
52 *P4L26: you can cite Alexandrov et al, 2010 for values of snow and ice density. Snow evolves  
53 throughout the season with values typically from ~200 to 350 (i.e. Tilling et al, 2017)*

54

1 We have cited the paper the reviewer suggests. The new revision includes a more  
2 comprehensive uncertainty analysis, and as part of this we examine fluxes produced with  
3 snow densities of 274 – 374 kgm<sup>-3</sup>, and ice densities of 917 – 944 kg m<sup>-3</sup>; these seemed to  
4 us realistic boundary values given the conditions under which IMBs are deployed.

5  
6 *P4L34: not clear where this formula comes from and if it applies to the real snow on sea ice.  
7 At what depth?*

8  
9 As suggested in our initial response, we have rewritten this paragraph and provided a figure,  
10 and hope that the result is clearer.

11  
12 *P5L9: this fixed thickness (say L) is a parameter of your analysis. Discuss how sensitive  
13 your results are to this choice.*

14  
15 We have included this in our expanded uncertainty analysis.

16  
17 *P5L29: similarly how does the uncertainty on these constants impact your results? Discuss.*

18  
19 We have evaluated the impact of using a different scheme for estimating sea ice  
20 conductivity, that of Pringle et al (2006). As discussed above we also now evaluate  
21 uncertainty due to snow and ice density.

22  
23 *P6L1: These values come from where? Recent work Nandan et al 2018 show salinity at the  
24 snow ice interface to be larger than 1. There are more references buy Turner et al 2015  
25 (model work on CICE) but also Notz etc...*

26  
27 We thank the reviewer for providing these references. Our view is that the subject of sea ice  
28 salinity is sufficiently complex that the only way of properly accounting for this in the present  
29 study, without seriously detracting from its main purpose, is to use uncertainty ranges that  
30 encompass all realistic salinity values. Hence in the revised paper, we use expanded  
31 uncertainty ranges in the next paper revision, allowing values up to 10 at both the top and  
32 lower surfaces of the ice.

33  
34  
35 *P6L5: equation is no readable*

36  
37 This has been corrected.

38  
39 *P6L17-21 where is that shown. Perform proper sensitivity analysis to all these parameters  
40 in your plots, discussion etc...*

41  
42 The reviewer has correctly identified that there are indeed additional sources of uncertainty  
43 not accounted for here, and we have tried to incorporate these into our expanded uncertainty  
44 evaluation section.

45  
46 *P7L1: interesting. How would you inform the S value. Is it measured? Explain. What problem  
47 are you referring to here.*

48  
49 Salinity is not measured, but the temperature and elevation data act to constrain the salinity  
50 ranges. For example, if the ice surface is at -0.1m, and the temperature at -0.2m is -0.1 deg  
51 C, this implies the melting temperature of the ice at -0.2m is greater than -0.1 deg C. Hence  
52 the salinity is lower than 1.9. The 'problem' as described in this paragraph is that  
53 occasionally the temperatures are in this way inconsistent with the assumed salinity ranges.

54

1 *P7L5: Tsamados et al, 2015 has implemented the three equation boundary conditions and*  
2 *discussed false bottom impact on sea ice - ocean bottom fluxes*

3  
4 Instead of our stating that false bottom formation renders the computation of ocean heat flux  
5 impossible, it is probably more accurate to say that it greatly complicates its calculation –  
6 and given the number of data points affected, may be outside the scope of this study. We  
7 decided not to add a reference to Tsamados et al because it seemed to us that this study  
8 concerned the indirect impact of false bottoms on the ice energy budget, rather than the  
9 direct impact.

10  
11 *P7L13: Interesting. Can you see synoptic signal related to snow forcing (i.e. storms?). At*  
12 *what timescale are you solving these? Monthly? Should you pre-process such erroneous*  
13 *signals before monthly averaging? Explain -> share code!*

14  
15 In the overhauled code, we have switched to removing 'cold' top melt data prior to monthly  
16 averaging.

#### 20 **Deriving monthly-mean flux distributions from the IMBs**

21  
22 *P7L27: why not two regions in the table*

23  
24 We did not want to include too much information in a single table, to improve ease of  
25 reading. In the revision, we have provided two additional tables, giving the fluxes by region.

26  
27 *P7L28: why don't you discuss changes between decades i.e. 90s vs 00s vs 10s?*

28  
29 We decided that analysis of interannual variability in the IMB fluxes would be a valuable  
30 addition to the paper; this is in a new subsection (3.2). However, this is difficult to perform  
31 satisfactorily because of a lack of data points. Only 7 buoys are available for the 1990s; 6 of  
32 these were from the SHEBA campaign (i.e. in the same year, 1997-1998, at the same  
33 location in the Beaufort Sea), and the remaining buoy, deployed in 1993, was also located in  
34 the Beaufort Sea. Hence there is not enough data from this decade to properly sample  
35 spatial or interannual variability in the Beaufort Sea region, and none at all in the North Pole  
36 region. We chose instead to use a series of irregular periods to compare, with roughly  
37 comparable numbers of data points. However, there is still not enough data, or variation, to  
38 unequivocally detect interannual variability, and we state this.

39  
40  
41 *P9L1: explain a bit more how these errors on the individual monthly scatter points are*  
42 *obtained. Are you performing an error propagation or are these simply a standard deviation?*

43  
44 This point is superseded by the new uncertainty analysis in subsection 3.3. We do not use  
45 scatter points any more in the figure in question, instead demonstrating the IMB dataset with  
46 a series of boxplots.

#### 49 **4 Evaluating modelled sea ice using the. IMB-derived fluxes**

50  
51 *P9L21: not clear if you estimate the fluxes at the same location in time and space as the*  
52 *IMBs or average over the whole region for the whole month. You should both to test impact*  
53 *of IMB sampling on your results.*

54

1 We average over the whole region. Model internal variability is such that we do not expect  
2 the model to exactly capture the conditions at each point in space and time, and therefore  
3 did not see any particular value in sampling the model only at identical points to the IMBs. As  
4 discussed in the appendix, we suspect that the largest impact of IMB sampling is through the  
5 ice thickness – this would not be solved by evaluating the model at the same points in space  
6 and time, as we would still be evaluating fluxes over the entire grid cell. It was not clear to  
7 us, therefore, that the impact of IMB sampling would be revealed by the methods that the  
8 reviewer suggests. We have included a paragraph to this effect in our revision.  
9

10 *P9L27: why didn't you perform your analysis on a more advanced model with more Ice*  
11 *thickness categories?*  
12

13 We chose to evaluate HadGEM2-ES because its sea ice simulation was already fairly well-  
14 understood. Confidence in the IMB-based evaluation could therefore be informed by how  
15 consistent this was with the sea ice and surface radiation evaluation. We are now evaluating  
16 the new UK CMIP6 models (HadGEM3-GC3.1 and UKESM1.0) in the same way, but this  
17 evaluation appears to be outside the scope of this study, which is intended only to  
18 demonstrate the new method. Note that although the new models are more advanced  
19 (multilayer thermodynamics and explicit meltponds), the number of thickness categories is  
20 the same.  
21

22 *P9L35: is it West2018 or 2019.*  
23

24 2019. This has been corrected.  
25

26 *P10L4: again not clear if you perform comparison like for line (i.e. for same days and grid*  
27 *cells) or not.*  
28

29 No – the model distribution is calculated over the whole region, for reasons described above.  
30 Model internal variability means that we do not expect fluxes at the exact same pathways, at  
31 the same times, to better represent the conditions than the fluxes over the whole region and  
32 time period.  
33

34 *P10: here you list various fluxes but don't explain why you find these results. A bit too*  
35 *descriptive.*  
36

37 We have added some discussion of the results in this section.  
38

39 *P11L5: West 2018 or 2019?*  
40

41 2019 – again this has been corrected.  
42

43 *P11: discuss role of melt ponds (summer) and snow cover (winter)*  
44

45 The role of snow cover in winter in the conductive flux biases can be investigated directly by  
46 comparing IMB-measured snow depths to those modelled by HadGEM2-ES. We have  
47 carried out such a comparison alongside a comparison of conductive flux and ice thickness  
48 which was requested by Reviewer 2 (see below), in a new subsection 4.3.  
49

50 The role of melt ponds in summer in the top melt biases (which is what we assume the  
51 reviewer means) is more difficult to evaluate directly using the IMBs. However, the meltpond  
52 parameterization of HadGEM2-ES was strongly implicated in causing the net surface flux  
53 biases found by West et al (2019), and is hence probably implicated in the top melt biases  
54 found by this study. We have noted this.  
55

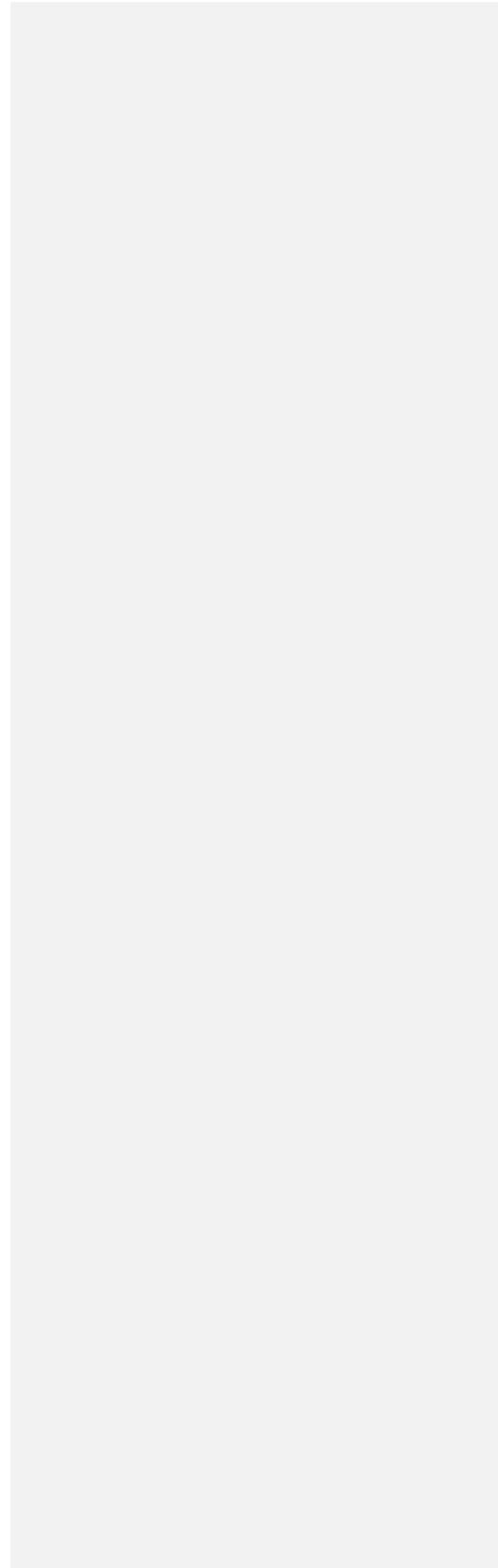
1

2

3 *P12: I think a lot of your analysis is missing the link to melt pond coverage*

4

5 We apologise for omitting to respond to this point in our earlier response.. We have  
6 discussed the role of melt ponds in the HadGEM2-ES top melt bias, as indicated above. If  
7 Reviewer 1 has additional suggestions to improving this aspect of our analysis we will be  
8 very happy to consider how to implement them.



1 **2. Response to reviewer 2**

2  
3 *The authors use ice mass balance buoys (IMB) to estimate fluxes through the top and*  
4 *bottom of sea ice. The authors present this new method and then compare the observed*  
5 *fluxes in the North Pole and Beaufort Sea regions. The authors then compare the observed*  
6 *fluxes with modeled fluxes from the HadGEM2-ES climate model. The main findings are that*  
7 *there are biases in these fluxes in the model, which are likely due to the biased mean state*  
8 *of the model. Additionally, there are differences in the fluxes in the Beaufort Sea and North*  
9 *Pole regions. I have a few minor and moderate concerns about the way the model and*  
10 *observations are compared and these need to be addressed before I can recommend*  
11 *publication.*

12  
13 **Major concerns**

14  
15 *1) Internal Climate Variability*

16 *The elephant in the room for a comparison between a climate model and observational data*  
17 *is the issue of internal climate variability (see references below), which you never mention,*  
18 *and leads me to have major concerns with your method. This is also relevant for when the*  
19 *Arctic will become ice free, which you mention in the introduction. Since HadGEM2-ES is a*  
20 *fully-coupled, freely-evolving climate model a single model experiment should not be*  
21 *expected to match the observed sea ice conditions. You do not mention using ensembles*  
22 *and where/how the observations fit in an ensemble spread.*

23  
24 We have expanded the analysis to include the full ensemble (albeit only 4 members) to  
25 estimate the internal variability in the fluxes, and compare these to the model biases. The  
26 differences are described below, in the summary of changes (briefly, no changes to the  
27 model means, but some difference to standard deviation in the top melt flux).

28  
29 As before we note that the main purpose of the study is to demonstrate the value of the IMB-  
30 based evaluation, rather than to draw conclusions from the model biases demonstrated. To  
31 demonstrate the value of the evaluation method, it is in our view sufficient that a) the IMB-  
32 measured model biases are consistent with biases in the sea ice and surface radiation  
33 simulations, and b) they are larger in many months than the observational uncertainty in the  
34 IMB fluxes. Whether or not the biases result from internal variability, or another cause, is in  
35 our view of only secondary importance for this study. This would not be the case if we were  
36 trying to draw conclusions from the model biases, in which case the internal variability  
37 context would be vital (hence the many studies of internal variability in sea ice extent trends,  
38 some of which the reviewer quotes).

39  
40 We have rewritten the abstract and introduction to try and clarify this purpose.

41  
42 *Indeed, it appears you are comparing the mean climate model state with the mean*  
43 *observations (Fig. 8). This is not a particularly useful comparison –we know the model is*  
44 *biased from your previous work and therefore we expect to see biases in these mean fluxes*  
45 *as a result!*

46  
47 But we would argue that to find biases in the mean fluxes that are physically consistent with  
48 biases in the sea ice simulation is in itself a significant result – because we are  
49 demonstrating an entirely new method of model evaluation. We have stated this more  
50 explicitly.

51  
52 *Instead, a more useful analysis would be to evaluate situations when the model does have*  
53 *similar thicknesses to those observed, do the fluxes match the observations? That would tell*  
54 *us more about the processes going on in the model and how well they compare to those*

1 *observed. You could do this by plotting the distribution of the conductive fluxes by thickness*  
2 *for the model and observations for a particular month or throughout the year.*

3  
4 A comparison of conductive fluxes to ice thickness, for both the model and IMBs, has been  
5 added in a new subsection 4.3. In addition we compare conductive fluxes to snow depth, as  
6 suggested by Reviewer 1.

7  
8 *Two additional comments on the model: a) It would be useful to quantify other relevant*  
9 *model biases to the sea ice mass budget like SST or ocean heat transport.*

10  
11 Ocean heat transport has been quantified in our revision, in a paragraph following the  
12 evaluation of modelled ocean heat flux. We quantify OHC over the Arctic Ocean as a whole,  
13 and also over the North Pole and Beaufort Sea regions, and discuss the likely nature of the  
14 link between this and ocean-to-ice heat flux.

15  
16 *b) You compare different years from the model (1980-1999) and observations (1997-2016). I*  
17 *know you did analysis about how the periods are different (Pg.12, lines 13-24) but why not*  
18 *just use the same years that presumably have comparable radiative forcing?*

19  
20 We chose the period of 1980-1999 for consistency with the earlier study of HadGEM2-ES  
21 sea ice and surface radiation. The historical ensembles of HadGEM2-ES actually end in  
22 2005, so it would be necessary to use a scenario experiment to get a comparable time  
23 period.

#### 24 25 *2) Additional sources of uncertainty*

26  
27 *You mention uncertainty in salinity as one of the big uncertainties in the IMB flux*  
28 *calculations. I think you need to mention that there are also large ranges in the observed*  
29 *snow and ice densities (see refs below) that could cause uncertainty in the retrievals. The*  
30 *values you use are reasonable, but you need to at least acknowledge this and do some*  
31 *basic calculations about how big a difference these values make. Franz et al. 2019 (doi:*  
32 *10.5194/tc-13-775-2019) Webster et al. 2018 (doi: 10.1038/s41558-018-0286-7)*

33  
34 We have included an analysis of uncertainty due to ice and snow densities in our revision, as  
35 part of an expanded uncertainty analysis (new subsection 3.3). We chose ranges of 274-374  
36  $\text{kgm}^{-3}$  (snow) and 917-944  $\text{kgm}^{-3}$  (ice).

#### 37 38 *3) Significance*

39  
40 *You spend much of section 3 describing differences in observations in two regions and*  
41 *sections 4.1/4.2 describing differences in the mean state of the model and observations. No*  
42 *significance tests were discussed to indicate whether the means are really significantly*  
43 *different in Figs. 7/8. A simple t-test should suffice, but without this I have a hard time*  
44 *believing some of the conclusions (e.g. that September fluxes differ in the IMB between*  
45 *regions).*

46  
47 This appeared an excellent suggestion, and significance tests have been incorporated  
48 throughout sections 3 and 4. A Welch t-test appeared to us to be appropriate, as in most  
49 cases the sample sizes will not be the same and the variances of the distributions cannot be  
50 assumed to be equal. We have

#### 51 52 **Moderate concerns**

##### 53 54 *1) Model thermodynamics*

1 I am surprised that HadGEM2-ES, a CMIP class climate model, uses the very simple zero-  
2 layer thermodynamics and I think that this should be addressed. The Bitz and Lipscomb  
3 thermodynamics is more realistic than zero-layer, and even this has been superseded by the  
4 mushy layer thermodynamics of Turner and Hunke (see below). I realize you can't change  
5 the model at this point and this shouldn't prevent publication, but your own results show that  
6 the assumptions of the zero-layer scheme for conductive fluxes are bad (Fig. 7 and Table 1).  
7 Maybe one of the conclusions should be that the zero-layer cannot represent the observed  
8 processes so HadGEM2-ES might want to stop using it?  
9

10 Bitz and Lipscomb 1999 (doi: 10.1029/1999JC900100) Turner and Hunke 2015 (doi:  
11 10.1002/2014JC010358)  
12

13 The new UK CMIP6 models, HadGEM3-GC3.1 and UKESM1.0, use the multilayer  
14 thermodynamics formulation of Bitz and Lipscomb (1999); a further paper is planned to  
15 compare these to HadGEM2-ES. But our view was that they are not relevant for the present  
16 study, which is intended to demonstrate a new method of evaluation rather than to compare  
17 models.  
18

## 19 2) Figures

20 Individually these comments are fairly minor, but the sum of them is moderate.  
21

22 • Table 1 – Please add the # values per month and per flux to this table rather than just listing  
23 them in the text. Also, adding the units below the flux names (not just in the table  
24 description) would be helpful. I expect top melt to usually be in cm/day (or kg m<sup>-2</sup>s<sup>-1</sup>), not  
25 W/m<sup>2</sup>.  
26

27 Adding number of samples and units are both good suggestions to aid clarity, and have been  
28 carried out. For the reasons given in our original response however we have left the units  
29 unchanged.  
30

31 Fig. 1 – It would be helpful to add the sign convention for fluxes here at the interfaces (show  
32 the flux direction for positive!). Either label or remove the red/yellow arrows.  
33

34 The red and yellow arrows have been removed as it was considered they did not add useful  
35 information for a diagram that was purely for illustration of processes involved. We were not  
36 sure that a sign convention was necessary here for similar reasons.  
37

38 Fig.3 and Fig.4 – you don't have units on the y-axis or in the labels.  
39

40 Apologies – these have been added (now figures 4 and 5).  
41

42 Fig. 4 – Please define surface\_r and interface\_r. Is snow depth the difference between these  
43 two lines? Please clarify on the figure and in text.  
44

45 We have improved the labelling on this figure (now figure 5).  
46

47 Fig. 5 – I think a diagram of an IMB would be helpful for modelers, which I think this one is,  
48 but it's poorly labeled. What do the dots represent (thermistors?) Is this why they go above  
49 the snow interface? What does the L/R position of the dots mean (temperature?)? Why is  
50 there a green line over part of the dots?  
51

52 We have added a diagram of an IMB (figure 2) and incorporated most of the information on  
53 the original figure 5 onto here.  
54  
55

1 *Fig. 6 –the circle colors on this figure are very hard to make distinguish. Perhaps different*  
2 *shaped symbols in black would be better? Again, define surface\_r, interface\_r, and bottom\_r.*  
3 *Are points where there is blue (aka surface\_r) mean that the blue and green circles are*  
4 *overlapping? Adding arrows to indicate the transitions for the false bottom would also be*  
5 *helpful? This figure also makes me question why you don't linearly interpolate between the*  
6 *"correct" depth—it looks possible so why lose that data?*  
7

8 We have carried out the corrections to the figure the reviewer suggests, but the figure has  
9 been moved to supplementary material to make room for other figures.

10  
11 Interpolating over the false bottom would probably work in this case. Such a step would need  
12 to be carried out at an earlier part of the analysis. However, interpolation might fail in other  
13 cases where

- 14 • false bottom formation was more gradual in onset
- 15 • the false bottom lasted for a longer time, concealing additional ice formation at the  
16 true ice base. In this case, analysis of the ice temperature might help, but it remains  
17 the case that false bottom formation greatly complicates the analysis.

18  
19 *Fig. 7 – It might be clearer to show the total spread in values with shading and then just a*  
20 *central dot for the mean since the individual points with their spread get hard to distinguish.*  
21 *Also, in text you list the means but they need to be shown to be significantly different.*  
22

23 We have replaced the scatter points with boxplots, and have added a subsection in which  
24 uncertainty due to various parameters is evaluated in detail.

25  
26 *Fig. 8 – What purpose does this figure provide other than the model bias, which we already*  
27 *expected from your previous work. Again, if you do show it, significance tests are important. I*  
28 *think a PDF of the flux by thickness would be more helpful to supplement this figure.*  
29

30 We agreed that significance tests, and an examination of the relationship between  
31 conductive flux and ice thickness, would both be valuable enhancements of the study, and  
32 have added these.

33  
34 It is true that the figure 'only' shows the model bias – but that is the whole point of a model  
35 evaluation, and the purpose of this paper is to demonstrate the value of this method of  
36 evaluation. Showing that the method is able to demonstrate model biases despite  
37 observational uncertainty, and that these biases are consistent with previous information, is  
38 vital to this.

### 39 40 41 3) Code availability

42  
43 *The effort put in here by the authors to make the data available is lackluster. I understand*  
44 *the model code itself may not be available. However, the authors should make more effort to*  
45 *list how to get the buoy data (raw and processed) as well as the model data (if the code isn't*  
46 *available) since these should be public if it's part of the CMIP archive. See the guidance on*  
47 *the website: [https://www.geoscientific-model-](https://www.geoscientific-model-development.net/for_authors/code_and_data_policy.html)*  
48 *development.net/for\_authors/code\_and\_data\_policy.html*  
49

50 The code, and associated data, is published alongside this revision. We have overhauled the  
51 code to enable production of data in netCDF4, and have divided the code into two stages,  
52 each with associated output data. In the first stage, IMB data is read, quality controlled, and  
53 processed into a consistent set of data series on consistent time points. In the second stage,

1 monthly mean energy fluxes are calculated from the resulting data. Each stage has an  
2 associated code repository on GitHub and an associated dataset repository on Zenodo.

3  
4

5 **Minor concerns**

6  
7

8 *Shu et al. 2015 isn't in your references.*

9  
10

11 This has been added.

12  
13

14 *Pg.4, line 6-8 – do you mean the ice temperature or air temperature? What's going on to  
15 cause the non-physical temperatures?*

16  
17

18 We contacted the data providers to confirm this, and have added their response.

19  
20

21 *Pg.4 line 14 -How long do buoys last? Give a range here.*

22  
23

24 We have provided this.

25  
26

27 *On page 7 you state the convention of positive fluxes indicate downwards. It would be very  
28 helpful if you mention that much earlier on Page 4 line 21. And put this on a diagram (Fig.1  
29 and/or Fig.5) too.*

30  
31

32 We have stated this in the places you suggest (for the reasons above we thought Figure 5  
33 might be preferable to Figure 1 – this information may not be appropriate for an introductory  
34 schematic).

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37 *Pg.7 line 20 –how thin is “quite thin”? Be specific!*

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39

40 In fact we have removed this paragraph, and associated quality control check, as on  
41 reflection we did not think it was important.

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44 *Pg.7 line 26-28 –These means are over all available buoys and all years, right? It would be  
45 good to be explicit.*

46  
47

48 Yes, they are over all buoys and all years.

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51 *Pg.9 line 15 –change “7 to 143” W/m2 because negative fluxes are possible and the current  
52 wording is unclear.*

53  
54

55 This has been changed.

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58 *Pg.8 line 25 AND Pg.10 line 1, mention that those regions are defined in Fig.2.*

59  
60

61 This has been mentioned.

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64 *Pg.12, line 5 –what is the model grid(it hasn't been mentioned)?Why the huge range in grid  
65 cell size?*

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68 The model grid is a regular latitude-longitude grid (the 'HadGOM grid'), with width one  
69 degree latitude and longitude throughout the world except in the tropics, where the latitudinal  
70 resolution is somewhat increased. Hence the range in grid widths in km in the Arctic is quite  
71 large, falling from ~40km near 70N to ~2km near the North Pole. The grid height, meanwhile,  
72 is one degree throughout (~110km). This information has been provided in the model  
73 description.

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## Summary of changes to manuscript

### 1. Brief summary of changes

The most substantial change to the manuscript arises from the overhaul of the code used to produce the IMB fluxes, to enable production of output data in netCDF format (the code itself is also now published). In the course of this, some bugs and problems were identified and corrected that have resulted in changes to the IMB dataset, that are in the main small. There are also three substantial new areas of analysis: interannual variability in the IMB fluxes, more comprehensive evaluation of uncertainty in the IMB fluxes, and an investigation of the relationship between conductive fluxes, ice thickness and snow depth. In addition, the analysis of internal model variability is improved by including all 4 ensemble members of HadGEM2-ES.

These five major modifications are described in more detail in subsection 2 below. In subsection 3, a complete line-by-line description of all changes to the manuscript is presented.

### 2. Description of major changes to the study

#### 2.1 Overhauled code, and resulting changes to the IMB dataset

Strong desires were expressed by both reviewers that code and data should be published with any revision of the study. While permission was obtained to publish the code soon after the initial submission, no consideration had previously been made of publishing the associated data, which had not been produced in a particularly commonly-used format. Hence it was decided to overhaul the code to enable production of the IMB data in netCDF4 format. In the course of this, the code was divided into two repositories, each representing different stages of the analysis. In the first stage, the IMB data is read, quality controlled, interpolated or averaged to temperature measurement points, and processed to a standard set of data series, before being written to netCDF4. In the second stage, the processed data is used to produce a set of monthly mean energy fluxes, using the processes described in section 2. The code of each stage is published in separate GitHub repositories, and the two resulting netCDF4 datasets are published in separate linked Zenodo repositories.

There are a number of small differences in the new IMB dataset relative to the old, resulting from a mixture of bugfixes and necessary changes. Specifically:

- All fluxes in the new dataset are produced from timeseries regularised to temperature measurement points. Before, some series were produced from timeseries regularised to daily time points (usually midnight GMT). In the case of the conductive fluxes, this produced a bias when a diurnal cycle was present in the temperature data. Use of the temperature measurement points, which tend to be bi-hourly, removes this bias. This causes large differences in particular in the top conductive flux, especially during the spring.
- The use of different time points also causes missing data points to be treated slightly differently, such that months which may have reported valid data in the old dataset

1 no longer do so (because temperature was not measured correctly for a significant  
2 portion of that month).

- 3 • It was found that salinity had not been properly taken into account when calculating  
4 latent heat of freezing of the basal surface of the ice; this has been remedied in the  
5 new dataset, and generally results in slightly higher ocean-to-ice heat fluxes.
- 6 • At the base of the ice, the salinity uncertainty range now takes ice temperature into  
7 account. While over most of the dataset, a salinity range of 0-10 is used, salinities  
8 which imply a melting temperature below the actual temperature of the ice are ruled  
9 out. This avoids the occurrence of singularities which previously had to be manually  
10 identified after processing and removed from the dataset.
- 11 • As suggested by Reviewer 1, removal of erroneous top melting data is now  
12 performed before monthly averaging, instead of afterwards. Instances of falling  
13 surface elevation are now judged due to top melting only when surface temperature  
14 exceeds a fixed threshold ( $-2^{\circ}\text{C}$ ), being otherwise assigned to a separate data series.

15 The cumulative effect of these changes does not change the qualitative properties of the  
16 IMB dataset discussed in Section 3, but it does lead to small changes in the numbers shown  
17 in Table 1. There is also no effect on the qualitative statements about model biases in  
18 Section 4, with the exception of the (small) ocean heat flux bias in the Beaufort Sea region  
19 which is eliminated by the change to latent heat calculation. This bias was only incidental to  
20 the paper's conclusions as it was small compared to the biases in top melting and  
21 conductive fluxes.

## 22

### 23 **2.2 Interannual variability**

24 As indicated in the original response to the reviews, there are not enough data points to  
25 permit a year-by-year comparison of the IMB data. Instead, the dataset was divided into  
26 three periods of roughly equal data points and distributions from each period compared. To  
27 accommodate this analysis, section 3 was divided into subsections. The previous evaluation  
28 of seasonal and spatial variability in the IMB fluxes is now in section 3.1, and the interannual  
29 variability analysis is in a new section 3.2.

### 30

### 31 **2.3 Improved analysis of uncertainty**

32 In the original version of the paper, we analysed uncertainty in the IMB fluxes due only to  
33 salinity, where salinity was assumed to be  $1 \pm 1$  near the upper surface of the ice, and  $4 \pm 4$  at  
34 the base. The reviewers made a number of suggestions as to how this could be improved.  
35 Based on these suggestions, in the revision we have also examined the impacts of

- 36 • Using another widely-used scheme to estimate ice conductivity, based on Pringle  
37 (2007)
- 38 • Using different reference layers to calculate conductive fluxes and ocean heat flux
- 39 • Using different values for ice and snow density, based on Alexandrov et al (2010)  
40 and its sources Cox and Weeks (1982) and Romanov (1995)
- 41 • Using larger ranges for ice salinity (from 0 – 10).

42 The evaluation performed in sections 3.1 and 3.2 is that for the 'standard configuration':  
43 Maykut and Untersteiner conductivity scheme, a reference layer of .4m-.7m above the ice  
44 base to calculate conductive fluxes, and the original values for snow and ice density (330  
45 and 917 kgm<sup>-3</sup>), but with error bars shown over all possible values of salinity (as before). In

1 a new subsection, 3.3, we then explore the impact on these results of altering in turn the  
2 parameters above.

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#### 5 **2.4 The relationship between conductive flux, sea ice thickness and snow depth**

6 Both reviewers suggested that an analysis of the link between conductive flux and sea ice  
7 thickness would be of value, to judge whether the conductive flux biases of HadGEM2-ES  
8 were entirely caused by ice thickness biases. In addition, Reviewer 1 requested an analysis  
9 of the role of snow depth. Both are examined in a new subsection 4.3. IMB and model data  
10 points are now sorted into distributions based on intervals of (in turn) ice thickness and snow  
11 depth, and variation in conductive flux distributions with these variables is examined.

12

#### 13 **2.5 Internal variability**

14 To more fully assess the impact of internal variability on the results (as requested by  
15 Reviewer 2), we evaluated the full HadGEM2-ES historical ensemble (4 members); in the  
16 original manuscript, only the first member was evaluated. The addition of the three other  
17 members did not significantly alter mean fluxes in any instance, (so the model biases were  
18 qualitatively unchanged). However, standard deviation of top melting flux did rise  
19 significantly in July and August in the North Pole region, with standard deviation twice as  
20 high in July (44.0 compared to 20.1 Wm<sup>-2</sup>) and nearly five times as high in August (53.1  
21 compared to 11.2). No comparable effect is visible in any other variable and region, and  
22 given the positive-definite nature of the top melt flux it is likely that this effect is produced by  
23 a handful of model years (confined to ensemble members 2-4) with exceptionally high top  
24 melting in the North Pole region, thus producing a highly skewed overall distribution of  
25 fluxes.

26

-----	First ensemble member only		Whole ensemble	
Topmelt (Wm <sup>-2</sup> )	Mean	Std.	Mean	Std.
1	0.0	0.0	0.0	0.2
2	0.0	0.1	0.0	0.1
3	0.0	0.0	0.0	0.1
4	0.1	0.3	0.1	0.4
5	3.0	3.5	3.5	3.7
6	56.6	14	55.8	14.3
7	57.2	20.1	56.6	44.0
8	11.7	11.2	11.9	53.1
9	0.3	5.5	0.4	4.0
10	0.1	0.4	0.1	0.4
11	0.0	0.0	0.0	0.8
12	0.0	0.1	0.0	0.2

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**3. Complete description of changes to manuscript**

In the following description, line and page numbers refer to the non-tracked changes version.

**Abstract**

P1, L8: The abstract has been rewritten, to make the purpose of the study clearer: to demonstrate a new method of sea ice model evaluation.

**Introduction**

P1, L25: The Introduction has been rewritten for similar reasons, with the citation suggested by Reviewer 1 (Kwok, 2018) added at P1, L33.

**Calculating monthly mean energy fluxes from the IMBs**

P3, L5: A new figure, with a diagram of the main components of an IMB, is referenced (Reviewer 2 suggestion).

P3, L12: The range of buoy lifetimes is described.

P3, L25: Justification of interpolation scheme added.

P3, L30: This paragraph (description of the process of estimating interface from surface) has been reworded for clarity, and zsfc is now defined in the text.

P4, L5: Reasoning for rarity of snow-ice formation in the IMB dataset is discussed in more detail.

P4, L15: Possible reasons for failure of temperature sensors are discussed, following communication with the data providers, as suggested by Reviewer 1.

P4, L20: We note here the sign convention, as suggested by Reviewer 2.

P4, L20: The paragraph discussing assumptions about the elevation of the top temperature measurement point has been deleted, as all such elevations are now known following communication with the data providers.

P4, L24: Incidence of surface elevation decrease accompanied by cold temperatures is now removed from the top melt dataset prior to monthly averaging, and that is made clear here.

P4, L26: The values of ice and snow density used have been moved from here to the thermodynamic parameters section, for consistency.

P4, L29: The top conductive flux section has been rewritten for clarity, with the additional of a new figure (Figure 6).

P5, L18: When the reference layer used for the basal conductive flux is defined, it is noted that we examine the sensitivity to this reference layer elsewhere in the study.

P5, L25: a reference to the new Figure 2 (IMB diagram) is added.

P6, L3: The new approach to evaluating uncertainty is outlined: the use of a standard set of parameters to calculate the main dataset, and calculation of the dataset with altered values of parameters.

P7, L5: Sources of uncertainty examined later in the study are summarised.

1 P7, L14: This paragraph (temperatures too warm for the assumed salinity) has been  
2 reworded for clarity. In addition, a slightly different approach is used in the revised code:  
3 when the data used to calculate a monthly flux contains temperatures too high for the  
4 assumed salinity, the highest salinity consistent with the observed temperatures is used  
5 instead.

6 P7, L21: figure reference changed to supplementary material

7 P7, L26: Sentence added discussing the possibility of interpolating over false bottoms.

8

9

## 10 **Description of monthly mean fluxes from the IMBs**

11 P7, L31: Title changed for greater contrast with the title of Section 2.

12 P7, L32: This section has been split into subsections, to allow for separate analysis of  
13 interannual variability, and a more comprehensive analysis of uncertainty due to parameters  
14 of the analysis.

15 P7, L36: In the dataset description section, there are many changes to the numbers (mostly  
16 small), related to the code changes discussed above. These are not individually listed here.

17 P8, L3: A reference to the strong positive skew in the top melting dataset is added.

18 P8, L33: New tables have been added (Tables 2 and 3) to describe the dataset in the North  
19 Pole and Beaufort Sea regions separately (Reviewer 1 suggestion).

20 P8, L33: The distributions are now visualised using boxplots, rather than a large number of  
21 individual points.

22 P8, L35: Throughout this subsection, references to the significance of differences between  
23 distributions, evaluated using Welch t-tests, are added.

24 P9, L36: New subsection added discussing interannual variability in the dataset.

25 P10, L33: New subsection added, describing the sensitivity of the derived monthly fluxes to  
26 parameters of the analysis: salinity, snow density, ice density, conductivity and reference  
27 layer chosen.

28

## 29 **Evaluating modelled sea ice using the IMB-derived fluxes**

30 P13, L5: Reference to the grid used by HadGEM2-ES added.

31 P13, L8: West et al (2018) changed to (2019), here and below.

32 P13, L17: Reference to significance test now used to compare modelled and observed  
33 distributions added.

34 P13, L21: The approach of using model cells from the entire region in the comparison is  
35 justified.

36 P13, L29: Discussion of significance of differences is added throughout this section.

37 P13, L37: Here, and in many other places, more discussion of the results is added, in  
38 recognition of Reviewer 1's point that it was previously too descriptive. In particular, the likely

1 role of the HadGEM2-ES meltpond scheme in the top melting biases is discussed, following  
2 the suggestion by Reviewer 1 that the role of meltponds should be examined in more detail.

3 P14, L34: After the evaluation of ocean heat flux, a paragraph examining the role of oceanic  
4 heat convergence is added (Reviewer 2 suggestion).

5 P15, L15: The model biases are compared to the dataset uncertainties evaluated in section  
6 3.3.

7 P15, L33: Additional reference to the role of the HadGEM2-ES meltpond scheme inserted.

8 P16, L19: New subsection added examining the relationship between conductive flux, ice  
9 thickness and snow depth in model and IMB observations.

## 10 **Representativity of the IMB fluxes**

### 11 **Conclusions**

12 P18, L25: This section has been rewritten to include additional conclusions resulting from

- 13 a) Interannual variability analysis
- 14 b) More comprehensive evaluation of uncertainty in the IMB dataset
- 15 c) The comparison of conductive flux, ice thickness and snow depth

## 16 **Appendix A**

### 17 **Code availability**

18 P22, L29: References to the two GitHub repositories where the IMB analysis code is now  
19 stored have been added. However, code used in producing figures and tables for the paper  
20 is still provided as a .tar supplement (except Figures 1 and 2 which were produced in  
21 Powerpoint).

### 22 **Data availability**

23 P22, L37: References to the Zenodo repositories where the processed IMB data is now  
24 stored have been added. A clarification is made regarding the model data: the code can be  
25 made available upon request to the author.

## 26 **References**

27 New references in this revision include:

- 28 • For constants and equations used in the IMB flux calculation, particularly in the  
29 evaluation of uncertainty: Alexandrov et al 2010, Cox and Weeks 1983, Pringle et al  
30 2006
- 31 • For links between oceanic heat convergence and ocean-to-ice heat flux, Bitz et al  
32 2008, Keen and Blockley 2018, Schauer et al 2004, Steele et al 2010
- 33 • For recent observations of snow-ice formation, Rösel et al 2018
- 34 • For additional analysis of CMIP5 models, Shu et al 2015

## 35 **Tables**

36 Table 1 has been updated to include number of observations of each flux, as suggested by  
37 Reviewer 2. As noted above, most values have changed slightly due to the issues discussed  
38 in the code changes section.

1 Tables 2 and 3, detailing fluxes in the North Pole and Beaufort Sea region, are new in this  
2 revision.

### 3 **Figures**

4 Arrows have been removed from Figure 1, in line with the suggestion of Reviewer 2; we  
5 agree that these added no useful information.

6 Figure 2, a diagram of an IMB, has been added (Reviewer 1 suggestion). The information  
7 included in the previous Figure 5, indicating reference layers, has been added to this figure  
8 instead and is referenced from later in the study.

9 Figure 4 (previously Figure 3): units added

10 Figure 5 (previously Figure 4): units added, and line labelling improved.

11 A new figure 6 has been added, demonstrating the process of calculating top conductive flux  
12 in the event of the reference layer lying partially within snow and partially within ice.

13 The previous Figure 6 has been moved to supplementary material to help allow for 3 new  
14 figures. The edits suggested by Reviewer 2 have been implemented.

15 Figure 7: instead of plotting individual points, we are now showing boxplots.

16 Figure 8 is new for this revision, and shows interannual variability in the IMB fluxes (Section  
17 3.2).

18 Figure 9 (previously Figure 8): For consistency with Figure 7, and because of high skew in  
19 some of the fluxes, boxplots are now used instead of mean and standard deviation bars.

20 Figure 10 is new for this revision, and shows the relationship between conductive flux, ice  
21 thickness and snow depth in IMBs and model.

22 The previous Figure A1 has also been moved to supplementary material.

23

24

25 **c) Tracked changes version of manuscript**

26

# Using Arctic ice mass balance buoys for evaluation of modelled ice energy fluxes

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**Abstract.** A new method of sea ice model evaluation is demonstrated. Data from the network of Arctic Ice Mass Balance buoys (IMBs) is used to estimate distributions of vertical energy fluxes over sea ice in two densely sampled regions - (the North Pole and Beaufort Sea). The resulting dataset captures well-seasonal variability in sea ice energy fluxes well, and captures spatial variability to a lesser extent, well. The dataset is used to evaluate a coupled climate model, HadGEM2-ES, in the North Pole and Beaufort Sea regions in the two regions. The evaluation shows HadGEM2-ES to simulate model too much top melting in summer and too much basal conduction in winter. These results are consistent with a previous study of sea ice state and surface radiation in this model, increasing confidence in the IMB-based evaluation. In addition, the IMB-based evaluation suggests an additional important cause for excessive winter ice growth in HadGEM2-ES, (lack of sea ice heat capacity, ) which was not detectable in the earlier study.

Uncertainty in the IMB fluxes caused by imperfect knowledge of ice salinity, snow density, and other physical constants is quantified (as is inaccuracy due to imperfect sampling of ice thickness) and in most cases is found to be small relative to the model biases discussed. Hence the IMB-based evaluation is shown to be a valuable tool with which to analyse sea ice models, and by extension better understand the large spread in coupled model simulations of present-day ice state. Reducing this spread is a key task both in understanding the current rapid decline in Arctic sea ice, and in constraining projections of future Arctic sea ice change.

**Abstract.** Arctic sea ice has declined rapidly over recent decades. Models predict that the Arctic will be nearly ice-free by mid-century, but the spread in predictions of sea ice extent is currently large. The reasons for this spread are poorly understood, partly due to a lack of observations with which the processes by which Arctic atmospheric and oceanic forcing affect sea ice state can be examined. In this study, a method of estimating fluxes of top melt, top conduction, basal conduction and ocean heat flux from Arctic ice mass balance buoy elevation and temperature data is presented. The derived fluxes are used to evaluate modelled fluxes from the coupled climate model HadGEM2-ES in two densely sampled regions of the Arctic, the North Pole and Beaufort Sea. The evaluation shows the model to overestimate the magnitude of summer top melting fluxes, and winter conductive fluxes, results which are physically consistent with an independent sea ice and surface energy evaluation of the same model.

1 **1. Introduction**

2 Evaluation of sea ice simulations using metrics based on sea ice extent (e.g. Stroeve et al, 2012; Wang and  
3 Overland, 2012) is known to be an imperfect method of assessing models (Notz, 2015). This is partly because of  
4 the very high interannual variability of sea ice extent (Swart et al, 2015), but also because it does not address the  
5 accuracy of the many variables influencing sea ice extent, in which compensating errors may be present. Sea ice  
6 volume, evaluated infor CMIP5 by Stroeve et al, (2014) and Shu et al, (2015), is less sensitive to internal  
7 variability (Olonscheck and Notz 2018) but is also driven by multiple complex processes and so is equally  
8 susceptible to compensating errors. These issues hinder understanding of the very large spread in modelled  
9 present-day sea ice simulation. In turn, this increases the uncertainty in future projections of Arctic sea ice, which  
10 has declined rapidly over the past 30 years both in extent and volume (Lindsay and Schweiger, 2015; Kwok,  
11 2018). In this study, we present a new, complementary method of evaluating sea ice simulation, motivated by the  
12 following reasoning.

13 The -proximate driver of sea ice volume is sea ice mass balance. In turn, sea ice mass balance is driven by energy  
14 balance at the upper and lower surfaces of the snow-ice column. Energy balance is driven partly by external factors  
15 in the atmosphere (radiative fluxes, upper air temperature) and ocean (ocean heat flux) but also by sea ice  
16 thermodynamics (temperature, albedo and conduction). Finally, the sea ice thermodynamics, in turn, are partially  
17 driven by the sea ice state itself (area and thickness), closing the two causal chains known as the thickness-growth  
18 feedback and the surface albedo feedback (Figure 1). Ideally, for any model, the entire sea ice causal chain would  
19 be evaluated, along with the external drivers, greatly increasing understanding of why a particular sea ice state  
20 was modelled.

21 Large-scale evaluation of ice mass balance, ice thermodynamics, and energy balance at the lower surface of the  
22 ice, has not to date been performed for any model. This is largely because these quantities cannot yet be measured  
23 remotely, but must instead be measured in-situ using systems of instruments frozen into the ice. In particular, a  
24 device called an Ice Mass Balance buoy (IMB) measures mass balance at the upper and lower surfaces of the  
25 snow-ice column, and temperature profiles within the ice, at simultaneous locations (Perovich and Richter-Menge,  
26 2006). Data from individual IMBs has been used to estimate sea ice energy fluxes such as conduction and ocean  
27 heat flux in the past (e.g. Perovich et al, 2002; Lei et al, 2014; Lei et al, 2018). However, IMB data has not yet  
28 been used to directly evaluate a climate model, due to the large disparity in the relevant spatial and temporal  
29 scales.

30 In this study, we use data from the whole IMB network to perform a large-scale evaluation of sea ice mass balance  
31 and thermodynamics in a coupled climate model. Monthly mean fluxes of top melt, top conduction, basal  
32 conduction and ocean heat flux are calculated from temperature and elevation data obtained from 104 IMBs  
33 released between 1993 and 2015: the resulting observational dataset, and the code used in its production, are  
34 published alongside this study, with references given in the code availability and data availability sections below.  
35 This dataset is then used to evaluate the sea ice in a coupled climate model (HadGEM2-ES, part of the CMIP5  
36 ensemble) in two densely- sampled regions of the Arctic, the North Pole and the Beaufort Sea. Modelled and  
37 IMB-measured fluxes are restricted to each region in turn: distributions of fluxes in each month are compared,  
38 and likely model biases identified. The results of the IMB-based evaluation are compared with a previous

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1 evaluation of the sea ice state and surface radiation in the same model (West et al., 2019); the results are found  
2 both to be consistent with the results of this study, and to enhance understanding of the first evaluation.

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3 The manuscript is structured as follows. In section 2, the IMB data, and the process by which vertical energy  
4 fluxes are calculated from the data, are described. In section 3, the IMB flux dataset is described: seasonal, spatial  
5 and interannual variability is discussed, and uncertainty in the IMB fluxes due to various parameters used in the  
6 analysis is examined. In section 4, the data are used to evaluate HadGEM2-ES, and the results are interpreted in  
7 the context of West et al. (2019). In section 5, the representativity of the IMB fluxes is discussed. In section 6,  
8 conclusions are presented.

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9 The climate of the Arctic Ocean region is characterised by the presence of a semi-permanent sea ice cover that  
10 acts to reflect solar energy in summer and insulate ocean heat in winter. Arctic sea ice has changed rapidly over  
11 the past 30 years, with a decline in extent of  $0.84 \times 10^6 \text{ km}^2$  per decade observed during the month of September  
12 according to the HadISST1.2 dataset (Rayner et al., 2003), and evidence of concurrently declining ice volume  
13 (e.g. Rothrock et al., 2008, Lindsay and Schweiger, 2015). Model projections from CMIP5 suggest that the Arctic  
14 Ocean is likely to become nearly ice free in September by mid-century (Massonnet et al., 2012), an event that  
15 would have serious implications for the climate (through decreased surface albedo and decreased insulation  
16 between the ocean and atmosphere) and for geopolitics. However, these model projections vary greatly in their  
17 simulation of the mean state of Arctic sea ice in the present day, as well as the speed of sea ice decline.

18 Evaluation of model simulations using metrics based on sea ice extent (e.g. Stroeve et al., 2012; Wang and  
19 Overland, 2012) is known to be an imperfect method of assessing projections (Notz, 2015). This is partly because  
20 of the very high inter-annual variability of sea ice extent (Swart et al., 2015), but also because it does not address  
21 the accuracy of the many variables influencing sea ice extent, in which compensating errors may be present. A  
22 more fundamental measure of the sea ice state is the sea ice volume, evaluated in CMIP5 by Stroeve et al., 2014  
23 and Shu et al., 2015. However, there remain many variables influencing sea ice volume, and hence this metric is  
24 equally susceptible to the problem of compensating errors (although the effect of internal variability on sea ice  
25 volume is now quite well understood, e.g. Olonscheck and Notz, 2018). Clearly, a logical next step would be to  
26 evaluate the primary driver of sea ice volume, i.e. sea ice mass balance. However, although detailed studies of  
27 processes driving Arctic sea ice volume have been undertaken (e.g. Lei et al., 2018), in general these are on too  
28 small a scale to directly evaluate model processes.

29 Many of the processes driving sea ice volume are, to first order, well understood; sea ice volume is the area  
30 integral of sea ice thickness, which is driven by the surface and basal mass budget, and hence energy budget. The  
31 surface energy budget is driven partly by variables external to the sea ice, such as fluxes of downwelling shortwave  
32 (SW) and longwave (LW) radiation, and air temperature. However it is also influenced by properties of the sea  
33 ice state, such as surface temperature, albedo and the top conductive flux. Similarly, the basal energy budget is  
34 driven partly by one particular external variable (the ocean heat flux), but also by a property of the sea ice (the  
35 basal conductive flux). In turn, these properties of the ice surface and base are determined in the main by ice  
36 thermodynamics, which is strongly influenced by ice thickness; the surface albedo is also affected by the ice  
37 thickness directly. This closed causal chain (Figure 1) gives rise to the thickness growth feedback, whereby

1 thinner ice grows more quickly in winter, and the surface albedo feedback, whereby thinner ice melts more quickly  
2 in summer.

3 Sea ice state is determined by the response of the feedback loops to the external variables (downwelling radiation,  
4 air temperature, ocean heat flux), which are in turn influenced by the sea ice state over longer timescales. It is  
5 difficult to evaluate the simulation of these processes in coupled models due to a lack of large-scale observational  
6 datasets. CMIP5 surface radiative fluxes were evaluated by Boeke and Taylor (2018), but the evaluation was  
7 unable to separate out flux variability directly caused by sea ice variability. West et al (2019, in discussion)  
8 proposed a framework by which external drivers of sea ice mass balance could be separated from feedbacks of  
9 the sea ice state given available observations, but the applicability of this framework is limited by observational  
10 uncertainty. Hence there remain significant shortcomings in ability to evaluate modelled sea ice processes. In this  
11 study, a method is presented of evaluating sea ice mass balance, and sea ice thermodynamics, using data from  
12 Arctic ice mass balance buoys, devices that measure ice surface and base elevation and internal ice temperatures.

13 An observational dataset of sea ice vertical energy fluxes is created by estimating monthly mean fluxes of top  
14 melt, top conduction, basal conduction and ocean heat flux for the entire IMB network. The extent to which this  
15 dataset is representative of wider regions is investigated by comparing the ice thickness distribution sampled by  
16 the buoys to that measured by submarine sonar. Evaluation of the coupled model HadGEM2-ES (part of the  
17 CMIP5 ensemble), is then carried out using this dataset. This is done by restricting model data to the densely  
18 sampled regions of the North Pole and Beaufort Sea, transforming modelled fluxes to be over ice only, and  
19 comparing the distribution of model fluxes obtained for each region and month to that measured from the IMB  
20 network. By combining this evaluation with a previous evaluation of the sea ice state and surface radiation in the  
21 same model (West et al., 2019, in discussion), a detailed picture of the sea ice simulation emerges, with drivers of  
22 model biases easily identifiable.

23 The study is structured as follows. In section 2, the IMB data, and the process by which vertical energy fluxes are  
24 calculated from the data, are described. In section 3, the resulting dataset is presented, and seasonal and spatial  
25 variability is discussed. In section 4, the data is used to evaluate HadGEM2-ES, and the results are interpreted in  
26 the context of West et al (2019). In section 5, the representiveness of the IMB fluxes is discussed. In section 6,  
27 conclusions are presented.

## 29 **2. Calculating monthly-mean energy fluxes from the IMBs**

30  
31 The ice mass balance buoy (IMB; Perovich and Richter-Menge, 2006) is a system of instruments frozen into a sea  
32 ice floe, allowing the simultaneous measurement of surface and base elevation, internal ice temperature (usually  
33 at 10cm resolution), and position; many also measure surface air pressure and temperature. [A diagram of an IMB  
34 is shown in Figure 2.](#) An IMB provides, by design, measurements of sea ice thickness, and of surface and basal  
35 mass balance, via the measurements of surface and base elevation. Fluxes of conduction can also be estimated  
36 from the ice temperature data (e.g. Perovich and Elder, 2002), although uncertainty is considerable due to lack of

1 knowledge of ice salinity. In particular, the thermodynamics and basal elevation measurements can be combined  
2 to estimate ocean heat flux (Lei et al., 2014).

3 Data from the 104 IMBs deployed by the Cold Regions Research and Engineering Laboratory (CRREL) are stored  
4 in a series of comma-delimited CSV files at <http://imb.erc.dren.mil/buoysum.htm>. The buoys were deployed  
5 between 1993-2017; spatial coverage is mainly in the North Pole and Beaufort Sea regions (Figure 32). The buoys  
6 are identified by the year of deployment followed by a letter, for example '2012L'. Buoy lifetimes range from 4  
7 days (2015C) to 20 months (2006C) with an interquartile range of 4-11 months. The buoys are identified by the  
8 year of deployment followed by a letter, for example '2012L'. All buoys report time series of ice base elevation,  
9 snow/ice surface elevation, latitude, longitude, as well as a collection of ice temperature time series taken at a  
10 number of vertical positions above, within and below the ice. In general, temperature profiles are reported at very  
11 high temporal resolution, hourly or bi-hourly, and tend to be noisy, with much high-frequency variability. From  
12 2006 onwards, elevation data are reported at similarly high resolution, but before 2006 are reported much less  
13 frequently, with intervals of a week or more between measurements.

14 As most analysis of the data depends on the ability to perform arithmetic operations on different series, it was  
15 necessary to produce data series at consistent points in time for each buoy. To this end, modified elevation data  
16 series were produced at times coincident with the temperature measurements, using either interpolation (where  
17 there were fewer than 3 measurements in the 2-day period centred on the time in question) or a binomially-  
18 weighted mean (where there were 3 or more measurements in this period). This regularisation process is illustrated  
19 in Figure 43. Although a more advanced optimal interpolation scheme would likely produce more accurate time  
20 series, inspection of individual data series showed that the current scheme produces data that is sufficiently  
21 realistic for the purposes of this study. For example, linear interpolation produces unrealistic sharp changes in the  
22 time derivative of elevation, but the effect of these on monthly mean elevation change, the derived variable used  
23 in this study, is likely to be very small.

24 The set of elevation measurements provided also varies between buoys, necessitating some processing before full  
25 regular time series of surface elevation, snow thickness, interface elevation, ice thickness and base elevation can  
26 be obtained. Some later buoys do not report surface elevation directly, but report snow-ice interface elevation and  
27 snow depth, which must be summed to obtain the surface elevation. A more difficult problem is presented by the  
28 earlier buoys, which tend to produce data of surface and base elevation only. Snow-ice interface elevation must  
29 therefore be deduced from surface and base elevation, by a process illustrated in Figure 5. From these, it is usually  
30 possible to deduce snow-ice interface elevation, as by design the snow-ice interface is always at position  $z=0$  at  
31 the time of deployment. The process is illustrated in Figure 4: Iterating through the times of observation  $t_1, \dots, t_n$   
32 , the interface elevation  $z_{\text{int}}(t_1) = 0m$  by construction, as the thermistor string is always referenced to the snow-  
33 ice interface at the time of deployment. At time  $t_i$ , if  $z_{\text{int}}(t_{i-1}) \leq z_{\text{sfc}}(t_i)$ , where  $z_{\text{sfc}}(t_i)$  represents surface  
34 elevation of the snow-ice column, we set  $z_{\text{int}}(t_i) = z_{\text{int}}(t_{i-1})$ ; but if  $z_{\text{int}}(t_{i-1}) > z_{\text{sfc}}(t_i)$  we set  
35  $z_{\text{int}}(t_i) = z_{\text{sfc}}(t_i)$ . In this way, the interface elevation changes only when top melting of ice is detected, i.e. when  
36 the surface elevation is judged to fall below the interface elevation estimated for the previous time of observation.

1 This method would fail in the presence of ice flooding and snow-ice formation (e.g. as documented by Provost et  
2 al, 2017). However, while snow-ice formation is known to occur in some areas sampled by the IMBs (particularly  
3 in the North Pole region, e.g. Rösel et al, 2018), it is almost certainly a rare event in the IMB dataset. This is  
4 because the snow layer is almost always sufficiently thin relative to the ice layer that snow-ice formation is  
5 unlikely from hydrostatic principles. There are four instances when snow depth becomes sufficiently large that  
6 snow-ice formation is a possibility, but these are always associated with failure of other sensors, such that the  
7 associated data does not reach the final dataset produced in this study.

8 but there are no clear signs of such events taking place in any of the IMB records examined in this study. In  
9 addition some later buoys do not report surface elevation directly, but report snow-ice interface elevation and  
10 snow depth, which must be summed to obtain the surface elevation. Hence full regular time series of surface  
11 elevation, snow thickness, interface elevation, ice thickness and base elevation can be obtained for most buoys.

12 Processing the temperature data is also necessary. Instances of air, ice or ocean temperature data that are obviously  
13 wrong occur very frequently, usually characterised by sudden step changes in the temperature measurements at  
14 single, or multiple layers, that are inconsistent with simultaneous measurements in other layers, often to physically  
15 unrealistic values. The incorrect values can be caused by failure of the sensors or the datalogger, or by an inability  
16 to communicate data to the receiving satellite (Donald K. Perovich, personal communication). In most cases,  
17 wrong values occurred in large groups that were difficult to identify with automatic data processing, and therefore  
18 had to be identified by inspection and removed.

19 For the early buoys, the depths at which temperature measurements are taken are clearly labelled, tending to begin  
20 at 60cm or 70cm above the surface, occurring at resolutions of 5cm above the surface and 10cm below. For later  
21 buoys, however, the measurement depths are not labelled. It was inferred that for all such buoys, the measurements  
22 began at 60cm above the ice surface, descending at a resolution of 10cm. A curious effect occurs with the long-  
23 lived buoy 2006C, in which the position of the thermistor string appears to materially change a year after  
24 deployment, as the elevation data becomes abruptly dislocated from the temperature contours normally associated  
25 with the presence of sea ice and snow. The change occurred soon after the buoy had reported an exceptionally  
26 high basal melting flux during the summer of 2007, as reported by Perovich et al (2008). In this case, the instance  
27 of the change was estimated, and all temperature data after this point translated downwards by 70cm.

28 WithFrom the processed temperature and elevation data, monthly mean fluxes of top melt, top conduction, basal  
29 conduction and ocean heat flux were produced in the following way. Throughout this study, the sign convention  
30 is that a positive value denotes a downwards flux, and vice versa.

31 *Top melting of ice and/or snow.* This flux, commonly reported by models, represents the total energy gain by sea  
32 ice (snow) in a grid cell over the course of a month associated with melting of ice (snow) at the upper surface. It  
33 is estimated from the IMBs using the surface elevation series. A change between two adjacent daily data points  
34 in surface elevation is judged due to top melting if and only if the change is negative, and the surface temperature  
35 is above a threshold value (-2°C). The energy gain associated with the melting is calculated- by multiplying the  
36 elevation change by ice or snow density, depending on whether the snow depth is nonzero, and by specific latent

1 heat of fusion of ice (all parameters are defined below) using ice density of  $917 \text{ kgm}^{-3}$ , snow density of  $330 \text{ kgm}^{-3}$   
 2 and latent heat of melting of  $3.34 \times 10^5 \text{ Jkg}^{-1}$ , the standard values used by the sea ice model CICE (Hunke et al,  
 3 2013). The daily top melt estimates are then averaged to obtain monthly mean top melt.

4 *Top conductive flux.* This flux is defined as the conduction from the snow/ice surface into the ice interior. In this  
 5 study it is calculated using temperatures in the top 50cm of the snow-ice column. Where this layer lies entirely  
 6 within snow (ice) the conductive flux is calculated as the temperature gradient across the layer, determined by a  
 7 linear fit, by snow (ice) conductivity: values of snow and ice conductivity used are defined below.

8 In many cases, however, the top 50cm is located partly within snow and partly within ice. Because snow  
 9 conductivity tends to be much lower than ice conductivity, the snow-ice interface is usually associated with a  
 10 sharp change in gradient that renders a linear fit meaningless. In these cases, the top conductive flux was  
 11 determined by a linear fit through the same layer, using an 'adjusted' temperature profile: Its calculation from the  
 12 IMB data is made difficult by large step changes in temperature gradient associated with the snow-ice interface,  
 13 which is usually located within 50cm of the upper surface. To calculate top conductive flux, adjusted temperature  
 14 profiles were calculated for each point in time, in which for each point  $z$  above the snow-ice interface, the adjusted  
 15 temperature can be written as

$$16 \quad T_{adj} = \mu T + (1 - \mu) T_{int}$$

$$17 \quad T_{adj}(z) = \begin{cases} \mu T(z) + (1 - \mu) T_{int-ref} & z > z_{int} \\ T(z) & z \leq z_{int} \end{cases}$$

18 Where  $z_{int}$  is the elevation of the snow-ice interface,  $T_{int-ref}$  is temperature 5cm below the snow-  
 19 ice interface temperature, and  $\mu = k_{ice}/k_{snow}$  where  $k_{ice}$  and  $k_{snow}$  are ice and snow conductivity respectively;  
 20 their calculation is described below. Physically,  $T_{adj}$  represents the temperature profile that the snow-ice column  
 21 would have, if the snow was converted to ice,  $T_{int-ref}$  remained the same, and the vertical conductive fluxes  
 22 remained the same. The effect of the adjustment is to 'straighten' the profile by rotating the profile section located  
 23 in the snow about  $T_{int-ref}$  by a factor determined by the ratio of conductivities  $\mu$ . A linear fit was then taken  
 24 through a layer 0-50cm below the snow surface, and multiplied by  $k_{ice}$  to produce estimates of instantaneous top  
 25 conductive flux. These were then averaged to obtain monthly means. The process is illustrated in Figure 6.

26 *Basal conductive flux.* This flux is defined as the conduction from the ice base into the ice interior. As an important  
 27 it is a vital component of the energy balance at the ice base it has frequently been estimated from individual buoys  
 28 in ocean heat flux calculations. Typically, temperature gradients at the ice base are small due to higher salinities  
 29 here (e.g. Schwarzscher, 1959), with correspondingly higher heat capacities and lower conductivities; hence  
 30 previous studies have commonly used a reference layer of a fixed thickness above which the basal conduction is  
 31 estimated. In this study we use the approach of Lei et al. (2014), and calculate the basal conduction by taking  
 32 temperature gradients across a layer 40cm-70cm above the ice base, illustrated in Figure 2. In section 3.3 we

1 [examine the sensitivity of the derived fluxes to changes in the elevation of this reference layer, amongst other](#)  
2 [parameters](#). As above, the instantaneous values were averaged to a monthly mean.

3 *Ocean heat flux*. This flux is defined as the diffusive heat flux arriving at the ice base from the ocean beneath. In  
4 theory, it can be calculated as the residual of the basal conductive flux and the latent heat of melting/freezing at  
5 the ice base. However, using the basal conductive flux as defined above it is necessary also to take into account  
6 the sensible heat uptake of the intervening layer (the ‘buffer zone’), 0-40cm above the ice base, illustrated in  
7 Figure 25. The ocean heat flux can then be written as

$$8 \quad F_{ocn} = F_{condbot} - F_{sens} - F_{lat}$$

9 as in Lei et al (2014).

10 The basal conductive flux  $F_{condbot}$  is defined as above. Monthly mean  $F_{sens}$ , the sensible heat flux in the 0-40cm  
11 layer, is calculated as the average of daily heat uptake rates obtained by taking linear fits through all temperature  
12 points within 1 day of a given time instant for all vertical points in this layer, summing these (weighted according  
13 to layer thickness), and multiplying by ice density and heat capacity, defined below. Finally, monthly mean latent  
14 heat of melting at the ice base,  $F_{lat}$ , is calculated from the base elevation time series, by multiplying daily  
15 differences in elevation by specific latent heat of fusion.

16 The calculation of thermodynamic parameters is now described. [In this study, we take the approach of using a](#)  
17 [‘standard’ set of thermodynamic parameters to calculate the main dataset of energy fluxes, demonstrated in](#)  
18 [sections 3.1 and 3.2 below, and subsequently evaluate sensitivity to the values of these parameters in section 3.3.](#)  
19 [Ice density  \$\rho\_{ice}\$ , snow density  \$\rho\_{snow}\$  and latent heat of melting  \$q\_{fus}\$  are set to 917  \$\text{kg}\cdot\text{m}^{-3}\$ , 330  \$\text{kg}\cdot\text{m}^{-3}\$  and](#)  
20 [3.34 x 10<sup>5</sup>  \$\text{J}\cdot\text{kg}^{-1}\$  respectively, the standard values used by the sea ice model CICE \(Hunke et al, 2013\).](#)

21 Ice conductivity is defined after Maykut and Untersteiner (1971) as

$$22 \quad k_{ice} = k_{fresh} + \frac{\beta S}{T}$$

23 where  $S$  and  $T$  are ice salinity and temperature respectively,  $k_{fresh} = 2.03\text{W}\cdot\text{m}^{-1}\cdot\text{K}^{-1}$ , the conductivity of fresh  
24 ice, and  $\beta = 0.13\text{W}\cdot\text{m}^{-1}$  is an empirically determined constant representing the effect of brine pockets on  
25 conductivity. For the calculation of the top conductive flux, a practical salinity of 1.0 is used, while the temperature  
26 used is that of the snow-ice interface. For the calculation of the basal conductive flux, a practical salinity of 4.0 is  
27 used, multiplied by the mean value of  $1/T$ , where the average is taken over the time period in question and the  
28 layer 40-70cm above the ice base.

29 Specific heat capacity is defined after Ono (1967) as

$$c_{ice} = c_{fresh} + \frac{q_{fresh} \mu S}{T^2}$$

3 where  $c_{fresh} = 2106 \text{ J kg}^{-1} \text{ K}^{-1}$  is the specific heat capacity of fresh ice,  $q_{fresh} = 3.34 \times 10^5 \text{ J kg}^{-1}$  the  
 4 specific latent heat of fusion of fresh ice, and  $\mu = 0.054 \text{ K}$  the ratio between water salinity and freezing  
 5 temperature. In calculating sensible heat uptake at the ice base, again a practical salinity of 4.0 is used, multiplied  
 6 by the mean value of  $1/T^2$ , where the average is taken over the time period in question and the layer 0-40cm  
 7 above the ice base.

8 Ice salinity must also be taken into account when calculating latent heat of freezing and melting. The energy  
 9 required to melt a given volume of sea ice at temperature  $T$ , from Bitz and Lipscomb (1999) is

$$10 \quad q(S, T) = \rho c_0 (T_m - T) + \rho q_{fresh} \left( 1 + \frac{\mu S}{T} \right).$$

11 At the lower surface of the ice,  $q$  is calculated by setting  $T = -1.8^\circ \text{C}$  and  $S = 4.0$  as above. At the upper  
 12 surface of the ice,  $T$  is usually extremely close to  $0^\circ \text{C}$  when melting is taking place, meaning that a choice of  $S$   
 13 that is both consistent and physically realistic in all cases is difficult to make. Instead, it is assumed that the ice at  
 14 the upper surface is fresh, and  $q = q_{fresh}$  is used.

15 The monthly heat fluxes calculated above are subject to several sources of uncertainty. These are evaluated in  
 16 detail in Section 3.3 below, but the issues are briefly summarised here. Firstly, there is significant uncertainty due  
 17 to lack of knowledge of ice salinity, which affects the fluxes through the ice conductivity and heat capacity two  
 18 principal sources of uncertainty: measurement uncertainty, and uncertainty in the value of  $S$ . Secondly, the manner  
 19 of dependence of ice conductivity on salinity is also subject to uncertainty, with an alternative formulation to  
 20 Maykut and Untersteiner being proposed by Pringle (2006). Thirdly, both snow and ice density are subject to  
 21 uncertainty, affecting the diagnosis of melting and freezing fluxes at the top and basal surfaces of the ice from  
 22 elevation changes (as well as sensible heat uptake in the lowest layer of the ice). Finally, the reference layers  
 23 chosen to evaluate conductive and heat uptake fluxes are themselves a parameter of the analysis, and as such  
 24 represent an additional source of uncertainty.

25 To estimate the former, we use elevation and temperature measurement uncertainties as described by Perovich  
 26 and Elder (2002). To estimate the latter, we use an uncertainty of  $\pm 1.0$  in calculating top conductive fluxes, and  
 27 an uncertainty of  $\pm 4.0$  in calculating basal conductive flux, and sensible and latent heat uptake at the ice base. The  
 28 two uncertainties are added together and combined with the flux central estimates, as defined above, to produce a  
 29 range of possible monthly mean fluxes for each data point.

30 Examination of the monthly mean energy fluxes reveals several ways in which unrealistic estimates might be  
 31 produced. Firstly, in a small minority of months top or basal ice temperature is warmer than the melting point

1 associated with the assumed salinity (1 at the top of the ice and 4 at the base) resulting in the conduction or sensible  
2 heat uptake being very large or undefined. For these months, the salinity ~~was~~ set instead to the highest physically  
3 allowable value, given the maximum temperature attained. ~~a small minority of cases, the temperature at the top~~  
4 ~~(bottom) ice surface is inconsistent with the assumption of a salinity range of  $\pm 1$  ( $\pm 4$ ), resulting in the observed~~  
5 ~~temperature being above the assumed melting point, and the measured flux being unrealistically large, or in a few~~  
6 ~~cases undefined. Clearly, a more comprehensive analysis would allow the selection of varying salinity ranges~~  
7 ~~depending on the information available from each buoy. As this is outside the scope of this study, the fluxes~~  
8 ~~affected by this problem were removed from the dataset.~~

9 A second problem relates to the formation of false bottoms under sea ice, as documented by Notz (2003), in which  
10 meltwater refreezes upon meeting cold seawater at a temperature below its own melting point. This process visibly  
11 occurs during the period of operation of some buoys (for example 2015A, demonstrated in Figure S16), associated  
12 with sudden step changes in base elevation. These result in very large negative monthly mean ocean heat fluxes  
13 being calculated during the month of formation, and correspondingly large positive fluxes during the month of  
14 dissipation. These fluxes are physically unrealistic, as the large changes in elevation usually represent the freezing  
15 and melting of only a very thin layer of ice, with liquid seawater remaining in between this layer and the main  
16 body of the ice column. ~~In some cases, it may be possible to estimate true ocean-to-ice heat flux simply by~~  
17 ~~interpolating base elevation between the apparent times of formation and dissipation, but this approach is likely~~  
18 ~~to be inaccurate for long-lived false bottoms. For the purposes of this study all affected ocean heat fluxes were~~  
19 ~~simply removed from the dataset, as they were relatively few in number. Hence it was necessary to inspect each~~  
20 ~~buoy individually for possible false bottom formation, and remove all affected ocean heat fluxes from the dataset.~~

21 ~~Unrealistic positive values of top melting occur quite frequently during the winter months, due to decrease of~~  
22 ~~surface elevation likely associated with wind drifting of snow, although these are usually an order of magnitude~~  
23 ~~lower than those observed during the summer months. As a simple way of removing these fluxes, top melt fluxes~~  
24 ~~for all months during which monthly mean surface temperature was measured at  $-5^{\circ}\text{C}$  or lower were set to zero.~~  
25 ~~It is noted that during the late spring, this could result in valid top melt fluxes being ignored in the case of rapid~~  
26 ~~rises in temperature during the latter stages of a month.~~

27 ~~Unrealistically high negative values of basal conductive flux are also derived during months when the ice was~~  
28 ~~quite thin, and the layer 40cm-70cm above the ice base was correspondingly close to the top surface of the ice. In~~  
29 ~~order to account for this situation, basal conductive fluxes (and oceanic heat fluxes) for all months during which~~  
30 ~~monthly mean ice thickness was 1m or lower were removed from the dataset.~~

### 3. Description of monthly mean ~~Deriving monthly mean~~ flux distributions from the IMBs

#### • 3.1 Seasonal and spatial variability

32 Throughout the description of the IMB-estimated fluxes here, and the model evaluation below, the convention  
33 used is that positive numbers denote downwards fluxes, and vice versa. The distributions of monthly mean fluxes  
34 of top melting, top conduction, basal conduction and ocean heat flux are summarised in Table 1. The IMBs provide  
35  
36

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1 46390 monthly mean values of top melt in total, ranging from 31 values in March and August to 537 in May. The  
2 seasonal cycle reaches its maximum in July, when top melting of  $29.98.6 \pm 17.86.7 \text{ Wm}^{-2}$  is observed. Strong top  
3 melting is also evident in June ( $16.81 \pm 11.00.1 \text{ Wm}^{-2}$ ), but top melting tends to be considerably lower in August  
4 ( $8.17.8 \pm 6.74 \text{ Wm}^{-2}$ ). In all three summer months, the distribution is positively skewed, with a small number of  
5 very high values (for example, the highest top melt value recorded is  $79.9 \text{ Wm}^{-2}$ , for the buoy 1993A in July  
6 1993). Values for the rest of the year are zero or near-zero. Throughout the year, standard deviation of the  
7 distributions is of a similar order of magnitude to the mean, showing a high degree of spatial and inter-annual  
8 variability.

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9 Top conductive flux, a component of the surface energy balance, is the means by which the ice loses energy to  
10 the atmosphere in the presence of atmospheric cooling during the Arctic winter. It depends strongly upon  
11 atmospheric conditions, but also upon ice and snow thickness, as thinner ice and snow can support stronger  
12 temperature gradients and conduct energy upwards more quickly. For the top conductive fluxes, the IMBs provide  
13 ~~414501~~ estimates in total, ranging from ~~2430~~ in August to ~~519~~ in May. Mean top conductive fluxes are strongly  
14 negative from October-March, reaching a minimum value of ~~-17.622.3~~  $\pm$  ~~6.813.2~~  $\text{Wm}^{-2}$  in ~~December~~December.  
15 However, values are weakly positive in June and July, reflecting warming of the ice interior.

16 The basal conductive flux acts to remove energy from the ice base in winter, allowing ice growth, and to a lesser  
17 extent during late spring and early summer while the ice is warming, attenuating ice melt. For the basal conductive  
18 fluxes the IMBs provide ~~4673~~ estimates, ranging from ~~279~~ in August to ~~542~~ in May. The basal conductive flux  
19 displays a seasonal cycle less amplified than, and displaced slightly later relative to, that of the top conductive  
20 flux, with lowest values occurring from November-April and a minimum of ~~-14.03.6~~  $\pm$  ~~5.76.4~~  $\text{Wm}^{-2}$  occurring in  
21 ~~Januar~~February. The damped response relative to the top conductive flux occurs due to the thermal inertia of sea  
22 ice, and the principal thermodynamic forcing occurring at the top surface.

23 Lastly, for the ocean heat fluxes the IMBs provide ~~41436~~ estimates, ranging from ~~256~~ in August to ~~489~~ in May.  
24 The highest values are seen in July and August, with a mean and spread of ~~18.125.1~~  $\pm$  ~~15.328.7~~  $\text{Wm}^{-2}$ , and  $19.2 \pm$   
25  $23.9 \text{ Wm}^{-2}$  respectively. The distributions in these months are, like the top melting flux, is also strongly positively  
26 skewed in this month, with a small number of exceptionally high values. Notably,  $11943 \text{ Wm}^{-2}$  is being estimated  
27 in August 2007 for the buoy 2006C in the Beaufort Sea, as part of a summer of extreme ice melt documented by  
28 Perovich et al. (2008). In the winter, mean values of ocean heat flux are near-zero. There is frequent occurrence  
29 of small negative estimates in the distributions in the winter. These are likely to be spurious and reflect errors in  
30 assumptions made about the salinity and density at the base of the ice, as for most such values, the uncertainty  
31 interval resulting from varying the salinity from 0 to 10s encompasses ~~0~~  $\text{Wm}^{-2}$ .

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32 Two regions of the Arctic are relatively densely sampled by the IMBs: the Beaufort Sea and the North Pole (Figure  
33 ~~32~~). In order to demonstrate that the IMBs are able to capture some regional variability, and especially to aid with  
34 model evaluation in Section 4 below, monthly mean fluxes derived from buoy tracks entirely within these regions  
35 were sorted into separate datasets, characteristics of which are now described separately. Mean and standard  
36 deviations of the distributions in the North Pole and Beaufort Sea regions are summarised in Tables 2 and 3

1 respectively; boxplots are presented in Figure 7. Significance of differences between distributions is measured  
2 using a Welch t-test, with a 5% p-value threshold.

3 Top melting fluxes are shown in Figure 7a separately for the Beaufort Sea and the North Pole regions. In June,  
4 the top melting fluxes measured in the North Pole region range from 1 to -372 Wm<sup>-2</sup>, with a mean of 124 ± 87  
5 Wm<sup>-2</sup>, while those measured in the Beaufort Sea range from 104 to 5248 Wm<sup>-2</sup> with a mean of 262 ± 140 Wm<sup>-2</sup>.  
6 The lower distribution in the North Pole region is consistent with the observed later onset of surface melting here  
7 (Markus et al., 2009) associated with the higher latitude. In July, measured fluxes range from 22 to -5548 Wm<sup>-2</sup>  
8 in the North Pole region, with a mean of 232 ± 142 Wm<sup>-2</sup>, and 11 to -870 Wm<sup>-2</sup> in the Beaufort Sea region, with  
9 a mean of 410 ± 176 Wm<sup>-2</sup>. In both June and July, distributions of top melt fluxes are significantly different in the  
10 two regions. Measured fluxes of top melting are much lower in August in both regions.

11 For the top conductive flux (Figure 7b), winter fluxes tend to be slightly higher in magnitude in the North Pole  
12 than in the Beaufort Sea region, although in no winter months are the distributions significantly different at the  
13 5% level winter variability is somewhat higher over the Beaufort Sea region than in the North Pole region. In  
14 January, for example December, North Pole fluxes range from -3245 to -109 Wm<sup>-2</sup> with a mean of -1820 ± 740  
15 Wm<sup>-2</sup>, while those in the Beaufort Sea region range from -2057 to -78 Wm<sup>-2</sup> with a mean of -1528 ± 714 Wm<sup>-2</sup>.  
16 Some notable differences between the distributions occur in the 'shoulder seasons', particularly in May and August  
17 (when the distributions are significantly different) September, with higher values, (indicating ice warming),  
18 occurring in the Beaufort Sea region. For example, in May, values in the North Pole region range from -68 to 35  
19 Wm<sup>-2</sup> with a mean of -12 ± 23 Wm<sup>-2</sup>, while values in the Beaufort Sea region range from -2 to 415 Wm<sup>-2</sup> with a  
20 mean of 12 ± 24 Wm<sup>-2</sup>. These differences indicate earlier onset of warming in the Beaufort Sea and earlier onset  
21 of cooling in the North Pole region, consistent with an earlier onset of surface melt in the Beaufort Sea.

22 Less spatial variability is evident for the mean basal conductive flux (Figure 7c). For example, in December,  
23 North Pole fluxes range from -20 to -7 Wm<sup>-2</sup> with a mean of -13 ± 3 Wm<sup>-2</sup>, while Beaufort Sea fluxes range from  
24 -32 to -1 Wm<sup>-2</sup> with a mean of -14 ± 7 Wm<sup>-2</sup>. Hence the thermal inertia of ice appears to have some damping  
25 effect on the larger variability of thermal forcing evident in the Beaufort Sea region from the top conductive flux.  
26 Winter variability tends to be higher in the Beaufort Sea than the North Pole, but this is largely caused by a small  
27 number of exceptionally low fluxes early in the winter associated with end-of-summer ice thicknesses of 50cm or  
28 lower, notably a value of -61.7 Wm<sup>-2</sup> recorded in October 2007 for the buoy 2006C. For example, in December,  
29 North Pole fluxes range from -20 to 0 Wm<sup>-2</sup> with a mean of -12 ± 5 Wm<sup>-2</sup>, while Beaufort Sea fluxes range from  
30 -22 to -2 Wm<sup>-2</sup> with a mean of -12 ± 6 Wm<sup>-2</sup>. Hence the thermal inertia of ice appears to have some damping  
31 effect on the larger variability of thermal forcing evident in the Beaufort Sea region from the top conductive flux.  
32 The faster warming and slower cooling of ice evident in the shoulder seasons in the Beaufort Sea region for the  
33 top conductive flux is also not evident for the basal conductive flux. In the month of May, for example, basal  
34 conductive flux values range from -13 to 0 Wm<sup>-2</sup> in the North Pole region with a mean of -7 ± 3 Wm<sup>-2</sup>, compared  
35 to a range of -8 to -32 Wm<sup>-2</sup> and a mean of -5 ± 1 Wm<sup>-2</sup> in the Beaufort Sea region.

36 For the ocean heat flux (Figure 7d), in the summer very high values tend to be more common in the Beaufort  
37 Sea region than in the North Pole region. For example, in August North Pole region values range from 23 to 3864

1  $\text{Wm}^{-2}$  with a mean of  $193 \pm 106 \text{ Wm}^{-2}$ , while the Beaufort Sea region values range from 7 to  $11943 \text{ Wm}^{-2}$  with a  
2 mean of  $3341 \pm 3548 \text{ Wm}^{-2}$ . It is likely that these are related to the lower ice fractions, and greater solar heating  
3 of the mixed layer, in the Beaufort Sea region.

4

### 5 3.2 Interannual variability

6 Having examined spatial and seasonal variability in the estimated fluxes, it is natural to consider whether the  
7 dataset also gives useful information about interannual variability. Restricting fluxes to individual years does not  
8 give enough data points (per month) to permit analysis, particularly early in the period where very often there was  
9 only one or two buoys in operation in any particular year (and in some cases none). Instead, the period of the IMB  
10 operations is divided into three sections with very roughly equal numbers of data points for each flux and month:  
11 1993-2006, 2007-2012 and 2013-2015. The middle period is chosen to contain the two years with the lowest  
12 September extents (2007 and 2012, according to HadISST1.2) in the entire analysis period, to maximise the chance  
13 that interannual variability can be detected. For each flux, and month of year, we compare the distribution of  
14 values estimated for each period (Figure 8) and use a Welch t-test to judge whether any distributions are  
15 significantly different at the 5% level.

16 For the top melting flux, in no months are the distributions significantly different, and in July and August means  
17 and standard deviations are very similar. In May, however, the mean top melting flux is higher in the later period  
18 2013-2015 ( $2.7 \pm 5.8 \text{ Wm}^{-2}$ ) than in the middle ( $0.5 \pm 1. \text{ Wm}^{-2}$ ) and early ( $0.5 \pm 0.9 \text{ Wm}^{-2}$ ) periods. By contrast,  
19 in June the mean top melting flux is lower in the later period ( $13.3 \pm 9.6 \text{ Wm}^{-2}$ ) than in the middle ( $19.0 \pm 8.8$   
20  $\text{Wm}^{-2}$ ) and early ( $13.7 \text{ Wm}^{-2}$ ) periods. The differences could conceivably reflect the observed trend towards  
21 earlier onset of melt (e.g. Bliss et al. 2019), but the lack of significance makes drawing conclusions difficult.  
22  $\text{Wm}^{-2}$

23 Early in the winter, the top conductive flux becomes higher (less negative) as time passes: for example, in October  
24 the distribution means are  $-17.9 \pm 12.5$ ,  $-13.2 \pm 5.1$  and  $-11.9 \pm 5.6 \text{ Wm}^{-2}$  for the periods 1993-2006, 2007-2012  
25 and 2013-2015 respectively. Late in the winter, the trend reverses, with top conductive flux becoming lower (more  
26 negative) as time passes: for example, in March the distribution means are  $-10.8 \pm 4.1$ ,  $-14.2 \pm 5.9$ ,  $-14.6 \pm 4.2$   
27  $\text{Wm}^{-2}$  for the three periods respectively. However, as with the top melting fluxes the distributions are not  
28 significantly different. Difference in basal conductive flux are still less marked, with distributions similar in all  
29 months except October, where the middle period displays a much higher (more negative) mean and greater spread  
30 due to the presence of a small number of extreme values (including that from the buoy 2006C, noted above).  $-\text{Wm}^{-2}$   
31  $\text{Wm}^{-2}$

32 For the ocean heat flux, an upward trend is apparent in the month of July, with means of  $10.1 \pm 4.4$ ,  $20.0 \pm 17.0$   
33 and  $23.6 \pm 17.1 \text{ Wm}^{-2}$  for the three periods respectively: for the early and late periods, the distributions are barely  
34 significantly different. In August, the mean in the middle period ( $32.5 \pm 37.0 \text{ Wm}^{-2}$ ) is much higher than that of  
35 the early ( $14.0 \pm 15.1 \text{ Wm}^{-2}$ ) and late ( $14.1 \pm 9.5 \text{ Wm}^{-2}$ ) periods, but the differences are not significant. The paucity

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1 of significant differences between distributions, coupled with the deliberate choice of periods to maximise  
2 interannual variability, suggests that it is difficult to detect robust interannual trends in the IMB dataset in its  
3 current state.

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### 5 **3.3 Uncertainty associated with assumptions of the analysis**

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6 We assess uncertainty due to ice salinity, snow and ice density, ice conductivity and to the layers used to calculate  
7 conductive flux and ocean heat flux. Guided by estimates produced in the modelling studies of Turner et al. (2015)  
8 and Vancoppenolle et al. (2008), we use a practical salinity range of 0 – 10 to evaluate uncertainty due to salinity  
9 at both upper and basal surfaces of the ice. In fact, the ice salinity causes by far the greatest uncertainty in all  
10 measured fluxes, and the effect is most marked when considering the top melting flux. For example, the top  
11 melting flux estimated from the buoy 1997D in the month of July 1998 is 31.0 Wm<sup>2</sup> when a salinity of 0 is  
12 assumed; but 0.4 Wm<sup>2</sup> with a salinity of 10. This is due to the much lower latent heat of fusion of ice at higher  
13 salinities. Over the distribution of a whole, average July top melting flux is 29.9 Wm<sup>2</sup> with a salinity of 0 but 1.6  
14 Wm<sup>2</sup> with a salinity of 10.

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15 At first sight, the large uncertainties would render evaluation of the top melting flux in a sea ice model using IMB  
16 data extremely difficult. However, the physical meaning of this uncertainty must be correctly understood. The  
17 specific latent heat of high salinity ice is higher because a significant fraction of the ice will already have  
18 undergone melting. The energy used in melting this ice is accounted for in sensible heating of the top layer of ice,  
19 as high salinity ice has a higher heat capacity for this reason. In a sense, top melting of ice, and sensible heating  
20 of the top layer, are part of the same process. Undertaking a meaningful evaluation of modelled top melting using  
21 the IMB fluxes therefore requires consideration of the thermodynamic treatment of ice in that model. For example,  
22 in a model such as HadGEM2-ES, it is appropriate to compare modelled top melting to energy used in melting  
23 the entire top layer of ice – equivalent to assuming an ice salinity of 0 in the IMB dataset. This is because  
24 HadGEM2-ES does not model ice salinity or heat capacity (as described in more detail in Section 4 below).

25 The salinity has a much smaller, though still noticeable, effect on the conductive flux. In February 2014, for  
26 example, a salinity of 0 is associated with a top conductive flux of -12.5 Wm<sup>2</sup>, while a salinity of 10 is associated  
27 with a flux of -11.8 Wm<sup>2</sup>. Over the whole dataset, the average February top conductive flux is -17.0 (-16.6) Wm<sup>2</sup>  
28 when a salinity of 0 (10) is assumed. Sensitivity is higher in the summer, as conductivity is more sensitive to  
29 salinity at higher temperatures: over the dataset, the average July top conductive flux is 3.1 (-0.1) Wm<sup>2</sup> when a  
30 salinity of 0 (10) is used. The basal conductive flux displays highest sensitivity to salinity from February – April:  
31 for example, the average March basal conductive flux is -13.3 (-11.7) Wm<sup>2</sup> when a salinity of 0 (10) is assumed.

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32 Ocean heat fluxes tend to display higher sensitivity to salinity than do the conductive fluxes, but lower than does  
33 the top melting flux. This is mainly because temperatures tend to be lower at the basal surface of the ice than at  
34 the top during the summer (when top melting and ocean heat fluxes tend to be greatest in magnitude), reducing  
35 sensitivity of the latent heat of fusion of ice to salinity. For example, in August 2003 the buoy 2003D displays an

1 ocean heat flux of 24.3 (16.6 Wm<sup>-2</sup>) when salinity of 0 (10) is assumed. For the distribution as a whole sensitivity  
2 is highest in the month of August when the mean ocean heat flux is 23.0 (13.5) Wm<sup>-2</sup> when salinity of 0 (10) is  
3 assumed.

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4 To examine sensitivity to snow density, we use the range 274-374 kkgm<sup>-3</sup>, after Alexandrov et al (2010). Snow  
5 density only affects the top melting flux: the highest sensitivity is seen in the month of June, where the average  
6 top melting flux is 15.4 (17.9) when snow density of 274 (374) kkgm<sup>-3</sup> is used. We also examine sensitivity to  
7 ice density, using the range 917-944 kkgm<sup>-3</sup>, after Cox and Weeks (1982): for the top melting flux, the highest  
8 sensitivity is in July, when the average top melting flux is 29.9 (30.7) Wm<sup>-2</sup> when ice density is 917 (944) kkgm<sup>-3</sup>.  
9 The ocean heat flux also depends on ice density, and the largest difference occurs in the month of August, when  
10 the average flux is 19.9 (20.5) Wm<sup>-2</sup> when ice density is 917 (944) kkgm<sup>-3</sup>.

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11 Ice conductivity is also subject to considerable uncertainty. An alternative formulation to the Maykut and  
12 Untersteiner method used in this study was proposed by Pringle (2006) following laboratory tests of land-fast sea  
13 ice, in which sea ice conductivity  $k_I$  is calculated from ice temperature  $T$  and salinity  $S$  as

$$14 \quad k_I = 2.11 - 0.011T + 0.09 \frac{S}{T}$$

15 Sensitivity of the IMB-measured fluxes to the conductivity formulation was tested by recalculating conductive  
16 and ocean heat fluxes using this alternative method (there is no difference in the top melting fluxes by design).  
17 Large difference in the winter top conductive fluxes are apparent, due to the Pringle formulation tending to  
18 produce much higher conductivities at low temperatures. For example, for the buoy 1993A in January 1994 a top  
19 conductive flux of -18.3 Wm<sup>-2</sup> is estimated using the Pringle formulation, but only -15.8 Wm<sup>-2</sup> using the Maykut  
20 and Untersteiner formulation. For the dataset as a whole, a mean January top conductive flux of -21.0(-14.2)  
21 Wm<sup>-2</sup> is estimated with the Pringle formulation and -17.74(-2) Wm<sup>-2</sup> with the (Maykut and Untersteiner)  
22 formulations).

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23 Finally, sensitivity of the IMB basal conductive and ocean heat fluxes to the depth and thickness of the reference  
24 layers used was tested. The fluxes were recalculated with the lowest 20cm of the ice used to calculate sensible  
25 heat uptake, and the layer 20-40cm above the ice base to calculate basal conductive fluxes. The largest change in  
26 mean basal conductive flux occurs in October, with a mean value of -0.7 Wm<sup>-2</sup> as opposed to -4.1 Wm<sup>-2</sup> in the  
27 standard configuration. This is associated with temperature gradients being smaller closer to the ice base. The  
28 difference decreases through the winter, with -11.7 Wm<sup>-2</sup> in February, as opposed to -13.7 Wm<sup>-2</sup> in the standard  
29 configuration. The largest difference in ocean heat flux also occurs in October, with a mean value of 2.8 Wm<sup>-2</sup> as  
30 opposed to 5.4 Wm<sup>-2</sup> in the standard configuration.

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31 In summary, varying parameters of the analysis results in measurable changes to the IMB fluxes. In most cases  
32 however, the sensitivity of the fluxes to the parameters is an order of magnitude lower than the absolute values,  
33 in the months of the year when the absolute values tend to be at their peak (winter for the conductive fluxes,  
34 summer for the top melting and ocean heat fluxes). The main exception is the effect of salinity on the top melting

1 fluxes in summer, but as noted above care is needed when interpreting this uncertainty in the context of a model  
2 evaluation.

### 3 4 **3.4. Evaluating modelled sea ice using the IMB-derived fluxes**

#### 5 **4.1 Evaluating vertical energy fluxes in HadGEM2-ES with the IMBs**

6 In this section, the distributions of energy fluxes estimated from the IMB data are compared to equivalent fluxes  
7 simulated by the coupled climate model HadGEM2-ES. This model, developed from the earlier model HadGEM1,  
8 is based on the HadGEM2-AO coupled atmosphere-ocean system, but employs additional components to simulate  
9 terrestrial and oceanic ecosystems, and tropospheric chemistry (Collins et al., 2011). The sea ice component is  
10 very similar to that used by HadGEM1 (McLaren et al., 2006), employing a sub-grid-scale thickness distribution  
11 with 5 categories (Thorndike et al., 1975), elastic-viscous-plastic rheology (Hunke and Dukowicz, 1997), and a  
12 zero-layer thermodynamics scheme, described in the appendix to Semtner (1979), in which sea ice has no heat  
13 capacity and conduction does not vary with height within the ice. The atmosphere and ocean components contain  
14 a number of improvements relative to HadGEM1 (Martin et al., 2011). The sea ice in HadGEM2-ES is modelled  
15 on a regular latitude-longitude grid, with a resolution of 1 degree throughout the Arctic.

16 The Arctic sea ice and surface radiation simulation of the historical ensemble of HadGEM2-ES was evaluated by  
17 West et al (20198). A number of likely model biases were identified; a low bias in September sea ice extent, a  
18 low bias in annual mean ice thickness and a high bias in ice thickness seasonal cycle amplitude, and a tendency  
19 to model overly high surface net downwelling shortwave (SW) flux in summer and overly low surface net  
20 downwelling longwave (LW) flux in winter. To perform the evaluation of the ice energy budget in the present  
21 study, the model period 1980-1999 is used, chosen to match the period used in West et al (20198).

22 For each month, grid cells lying inside the North Pole and Beaufort Sea regions (Figure 3) are separately identified,  
23 and monthly mean top melt flux, top conductive flux, basal conductive flux and ocean heat flux are collected into  
24 distributions. Means and standard deviations of these distributions are then compared to those of the IMB fluxes,  
25 with model fluxes weighted by grid cell area when calculating these statistics. Before aggregation the fluxes,  
26 produced by the model as grid-box means, are divided by ice area to produce means over ice only, for greater  
27 consistency with the IMB fluxes. As above, to compare modelled and observed distributions in a systematic  
28 manner, a Welch t-test is used, and the 5% level is chosen as a threshold for significance of difference of  
29 distributions.

30 Owing to the zero-layer thermodynamics scheme, sea ice conduction is constant with depth, and hence top and  
31 basal conductive fluxes are always equal in the model. The approach of calculating model distributions over whole  
32 regions and time periods, rather than comparing only grid cells lying under IMB tracks during the respective  
33 month, is chosen for two reasons. Firstly, internal variability is such that no climate model would be expected to  
34 capture the exact atmospheric conditions over a specific IMB track; whether the model could capture the average  
35 conditions over a larger-scale region and time period is a more useful question for evaluation purposes. Secondly,  
36 even a model grid-cell implicitly models sea ice of many different thicknesses, due to the ice thickness

1 distribution, and therefore the comparison with IMB tracks cannot be made more like-for-like by restricting to a  
2 single grid cell.

3 For both regions, top melt fluxes simulated by HadGEM2-ES tend to be much higher than those measured by the  
4 IMBs (Figure 98a,b): modelled and observed distributions are significantly different throughout the melting  
5 season. For example, the modelled mean top melt flux of  $72.5 \pm 8.2 \text{ Wm}^{-2}$  in the Beaufort Sea region in June is  
6 much higher than the IMB mean of  $26.04.5 \pm 10.29.4 \text{ Wm}^{-2}$ ; in the North Pole region in June, the modelled mean  
7 top melt flux of  $56.6 \pm 14.0 \text{ Wm}^{-2}$  is much higher than the IMB mean of  $12.41.2 \pm 8.36.9 \text{ Wm}^{-2}$ . A Welch t-test  
8 shows the modelled and observed distributions of top melt fluxes to be significantly different at the 5% level  
9 throughout the summer in both regions. The phase of the annual cycle in top melt is shifted slightly earlier, with  
10 the effect that, in both regions, the modelled June and July means are very similar while the IMB estimates show  
11 a distinct maximum in July. However, the greater top melt in the Beaufort Sea region relative to the North Pole  
12 region is captured by the model. The bias towards excessive top melting displayed by HadGEM2-ES is consistent  
13 with the finding by West et al (2019) that summer net SW fluxes are overestimated in HadGEM2-ES. It was  
14 shown in this study that this was likely associated with an early onset of surface melting in the model. In turn, this  
15 triggers the meltpond parameterisation of HadGEM2-ES, lowering surface albedo at an earlier time of year than  
16 meltponds would have formed in reality.

17 The annual cycle of top conductive flux is broadly captured by HadGEM2-ES (Figure 98c,d), with strongly  
18 negative values modelled in the autumn, winter and spring and weakly positive values in the summer. However,  
19 from September-May modelled means are more strongly negative than observed means: for example, in the North  
20 Pole region in December a modelled mean of  $-31.0 \pm 7.6 \text{ Wm}^{-2}$  is higher in magnitude than the IMB mean of -  
21  $18.120.4 \pm 12.740.3 \text{ Wm}^{-2}$ . Ice thickness in HadGEM2-ES is known to be biased low in early winter (West et al,  
22 2019), and in section 4.2 below the extent to which this bias is responsible for the conductive flux bias is  
23 investigated. The higher magnitude of conductive fluxes in winter in the Beaufort Sea region relative to the North  
24 Pole region is captured by the model; however, the higher values of fluxes in May and September in the Beaufort  
25 Sea region relative to the North Pole region are not captured. Modelled and observed flux distributions are  
26 significantly different in all months except February, March and November (North Pole region) and June,  
27 September and October (Beaufort Sea region).

28 Modelled values of basal conductive flux at each model grid-box, are as noted above, are identical to those of top  
29 conductive flux, due to the HadGEM2-ES zero-layer thermodynamics scheme. Consequently HadGEM2-ES  
30 overestimates the magnitude of basal conductive flux in autumn and winter more severely than it does top  
31 conductive flux (Figure 98e,f). The overestimation is most severe during the autumn; in the Beaufort Sea region  
32 in October a mean modelled flux of  $-28.1 \pm 11.1 \text{ Wm}^{-2}$  is much higher in magnitude than the mean observed flux  
33 of  $-7.93.2 \pm 16.85.2 \text{ Wm}^{-2}$ . As the basal conductive flux in the freezing season is the principal driver of ice growth,  
34 this suggests that HadGEM2-ES is likely to model substantiallignificantly stronger ice growth during these months  
35 than was measured at the IMB sites. Modelled and observed fluxes are significantly different in all months and  
36 regions except, barely, in the North Pole region in August.

1 For the ocean heat flux, in the Beaufort Sea region the model produces a similar seasonal cycle to that estimated  
2 from the IMB data, with very small values in the winter and a wide range of positive values in the summer (Figure  
3 9g,h); only in April and May are the distributions significantly different. For the North Pole region however, two  
4 differences are apparent. Firstly, spread in winter ocean heat fluxes is much higher in the model, with a small  
5 number of very high fluxes; these occur near the southern boundary of the region, where the ice cover meets  
6 warmer water moving north through the Fram Strait. Secondly, modelled ocean heat fluxes in summer tend to be  
7 higher than those measured by the IMBs. For example, in July a modelled mean of  $27.2 \pm 13.9 \text{ Wm}^{-2}$  compares  
8 to an observed mean of  $12.1 \pm 7.4 \text{ Wm}^{-2}$ . In the North Pole region, modelled and observed distributions are  
9 significantly different in all months except September. the distribution means are very similar in August but the  
10 observed spread far wider, with a modelled mean of  $23.5 \pm 10.0 \text{ Wm}^{-2}$  comparing to an observed mean of  $18.8 \pm$   
11  $16.0 \text{ Wm}^{-2}$ ; however, modelled values are larger than observed earlier in the summer, with evidence of a phase  
12 offset between model and observations. The larger spread in the IMB estimates is consistent with a comparison  
13 of observed point values to modelled means over grid cells. For the Beaufort Sea region, the modelled mean of  
14  $26.6 \pm 12.0 \text{ Wm}^{-2}$  is much lower than the IMB estimated value of  $42.4 \pm 44.1 \text{ Wm}^{-2}$ . It is possible that the  
15 discrepancy here is caused by the observed rapid retreat of summer sea ice in the Beaufort Sea in the past 15 years,  
16 with accompanying direct solar heating of the ocean mixed layer, and the increased occurrence of exceptionally  
17 high values of ocean heat flux. These effects are not represented in the HadGEM2-ES simulation in the 1980-  
18 1999 period.

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19 The HadGEM2-ES ocean heat flux distributions are in fact similar between the North Pole and Beaufort Sea  
20 regions, but evaluate differently because the IMB ocean heat fluxes in the North Pole region are much lower. In  
21 other words, the spatial variability in summer ocean heat fluxes suggested by the IMB data is not captured by the  
22 model. This may be related to late summer ice concentration biases in HadGEM2-ES: ice concentration is biased  
23 low in the North Pole region, but not in the Beaufort Sea region. This would tend to cause a high bias in net SW  
24 absorption by the ocean mixed layer, and hence a positive bias in ocean heat flux.

25 It is instructive also to examine how modelled oceanic heat convergence (OHC) compares to real-world estimates.  
26 Arctic Ocean heat convergence in HadGEM2-ES from 1980-1999 is  $4.9 \text{ Wm}^{-2}$ , roughly consistent with estimates  
27 of heat transport through the Fram Strait, the dominant pathway by which the Arctic Ocean gains heat (e.g.  
28 Schauer et al. 2004). However, oceanic heat convergence in the North Pole region (as defined in Figure 3) is  
29 higher at  $9.1 \text{ Wm}^{-2}$ , while oceanic heat convergence in the Beaufort Sea region is lower at  $1.6 \text{ Wm}^{-2}$ . These patterns  
30 are consistent with most of the Arctic Ocean heat convergence being released relatively close to the Fram Strait  
31 and Barents Sea, the principal points of ingress of relatively warm Atlantic water. It is noteworthy that the large  
32 difference in OHC between the two regions is not mirrored in the ocean-to-ice heat flux. This is consistent with  
33 the finding by Keen et al. (2018) that much of the ocean-to-ice heat flux in HadGEM2-ES derives from direct  
34 solar heating, rather than OHC. Studies by Bitz et al. (2008), Perovich et al. (2008) and Steele et al. (2010) show  
35 this is likely to be true in the real world also. Hence differences in OHC are unlikely to contribute to differences  
36 in ocean-ice heat flux.

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37 The model biases in summer top melt and winter conductive fluxes are larger than most of the uncertainties  
38 measured in section 3.3. The model bias in top melt is of a similar magnitude to the uncertainty in top melting due

1 [to salinity, albeit in the opposite direction \(higher salinities imply an even greater model bias\). However, for the](#)  
2 [reasons stated in section 3.3, the most meaningful comparison is obtained by considering the energy used in](#)  
3 [melting the whole of the top layer of ice, including the melting of brine pockets during sensible heating –](#)  
4 [equivalent to using a salinity of 0 in calculating top melting. Hence the model biases stated above – for the](#)  
5 [‘standard configuration’ – are likely to present the most accurate picture.](#)

#### 7 **4.2 Links to sea ice and surface radiation simulation of HadGEM2-ES**

8 A top melting bias of  $\sim 40 \text{ Wm}^{-2}$  is estimated for the month of June in both the North Pole and Beaufort Sea  
9 regions. This is consistent with the finding of West et al (20198) that June surface net shortwave (SW) radiation  
10 in the model was biased high relative to a variety of satellite and reanalysis datasets, by around  $20 \text{ Wm}^{-2}$  over the  
11 Arctic Ocean on average. Relative to CERES-EBAF measurements from 2000-2013 (Loeb et al., 2009),  
12 HadGEM2-ES overestimates June net SW in the North Pole and Beaufort Sea regions by 30 and  $9 \text{ Wm}^{-2}$   
13 respectively. Owing to the recent trend to earlier onset of surface melt over the past 30 years (e.g. Markus et al.,  
14 2009), and attendant likely decrease in surface albedo, these biases are likely to be underestimated. Hence it is  
15 likely that a major part of the model bias in top melting can be explained by a model bias in net SW. In West et  
16 al (20198) it was shown that a tendency for surface melt onset to occur too early in HadGEM2-ES, reducing the  
17 surface albedo [in a parameterisation of the effect of melt-ponds](#), was likely to be principally responsible for this.

18 The severe overestimation in magnitude of basal conductive fluxes during the early part of the melt season can be  
19 partly explained by the zero-layer thermodynamics scheme of HadGEM2-ES; the thermal inertia effect seen in  
20 the IMBs, whereby the basal conductive flux drops much more slowly in autumn than the top conductive flux,  
21 does not occur in the model. However, as the top conductive fluxes also tend to be considerably higher in the  
22 model than in the IMB estimates, the thermal inertia effect is likely to be only partially responsible. In West et al  
23 (2018) two other model biases were identified as being likely to lead to a high bias in winter ice growth (analogous  
24 to the basal conductive flux bias): a negative bias in ice thickness during early winter, and a negative bias in  
25 downwelling longwave (LW) radiation throughout the season. It was estimated that these biases were likely to  
26 lead to surface flux biases of order  $\sim 10 \text{ Wm}^{-2}$  throughout the freezing season. Hence these are also likely to explain  
27 a portion of the basal conductive flux bias noted above.

28 The excessive modelled top melting and basal conductive fluxes identified would be likely to lead to too strong  
29 ice growth, and ice melting, in winter and summer respectively, and an associated amplification of the ice  
30 thickness seasonal cycle. Such an amplification was identified in HadGEM2-ES by comparing modelled ice  
31 thickness to the forced ice-ocean model PIOMAS, as well as to estimates from satellites and submarines. Hence  
32 there is a high level of consistency between the model biases inferred from the IMB estimates, and those inferred  
33 from the sea ice state and surface radiation evaluations in West et al (2018). The IMB evaluation, however,  
34 provides additional insight to the picture, by providing consistent evaluation of previously unknown processes  
35 such as top melting. In addition the IMB evaluation clearly identifies a role for the zero-layer thermodynamics  
36 scheme in driving a bias towards excess ice growth during the early winter in HadGEM2-ES.

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### 4.3 The relationship between conductive flux, ice thickness and snow depth

Both top and basal conductive fluxes are strongly related to ice thickness, as thicker ice tends to have weaker temperature gradients than thinner ice under similar atmospheric conditions. Conductive fluxes are also related to snow depth for similar reasons. To examine the extent to which the HadGEM2-ES conductive flux biases are influenced by biases in ice thickness, conductive fluxes from November – March were aggregated into ice thickness bins, 20cm wide and ranging from 0-4m, in both IMBs and model. HadGEM2-ES ice thicknesses are much lower than ice thicknesses sampled by the IMBs from November – March in both the North Pole and Beaufort Sea regions, with most of the ‘overlap’ occurring in the range 1-2m (Figure 10).

For the North Pole region, IMB-measured top conductive flux is similar in magnitude to HadGEM2-ES conductive flux for the overlapping range of thicknesses, but IMB-measured basal conductive flux tends to be lower. For example, in the 1.4-1.6m range the IMB-measured top and basal conductive flux range from -33.0 to -11.9  $Wm^{-2}$  and -24.0 to -10.8  $Wm^{-2}$  respectively, while the HadGEM2-ES conductive flux range from -15.6 to -26.6  $Wm^{-2}$ . As above, this suggests that the uniform conductive flux assumption of HadGEM2-ES is important in driving excessive ice growth in this model. However, in the Beaufort Sea region both top and basal conductive fluxes from the IMBs are much lower than HadGEM2-ES even in the region of overlapping thicknesses. For example, in the 1.4-1.6m range the IMB-measured top and basal conductive flux range from -18.8 to -7.8  $Wm^{-2}$  and -18.4 to -8.3  $Wm^{-2}$  respectively, while the HadGEM2-ES conductive flux ranges from -30.0 to -21.4  $Wm^{-2}$ . This indicates that in the Beaufort Sea at least, ice thickness biases and the uniform conductive flux assumption are not the only factors driving excessive ice growth: biases in atmospheric thermal forcing are also at work.

The HadGEM2-ES conductive fluxes display an inverse relationship with ice thickness. Cells with thinner ice tend to have higher conductive flux (Figure 10a-b): in the Beaufort Sea region for example, the correlation coefficient between conductive flux and the logarithm of ice thickness is 0.66. Curiously, there is no sign of a similar relationship in the IMBs in either region: in the Beaufort Sea region the correlation coefficient between the log of ice thickness and top (basal) conductive flux is small and not significant at 0.25 (-0.16). In particular, both regions exhibit large numbers of IMB measurements with high ice thicknesses and high top conductive fluxes. In most cases these points are associated with strong ice cooling and a very low basal conductive flux.

When conductive flux is compared to snow depth, it can be seen that similar snow depths are associated with much greater conductive fluxes in HadGEM2-ES than in the IMB data (Figure 10c-d), indicating that snow depth biases are unlikely to be a major contributor to the conductive flux biases. A similar inverse relationship between conductive flux and snow depth is seen in HadGEM2-ES, stronger in the Beaufort Sea than at the North Pole. Unlike with the ice thickness, there is the suggestion of a similar relationship in the IMB data, with the 10-15cm (5-10cm) category in the North Pole (Beaufort Sea) displaying much higher conductive fluxes than are present in the other categories. No data points with high snow depths display high conductive fluxes. As a result, the correlation between top (basal) conductive flux and the log of ice thickness in the IMB estimates is 0.47 (0.48).

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#### 2 **4.5. Representativeness of the IMB-estimated fluxes**

3 The comparison of HadGEM2-ES modelled fluxes to those inferred from the IMB measurements reveals several  
4 potential model biases, notably the overestimation of top melt flux in June and July, and overestimation of the  
5 magnitude of basal conductive flux in the early freezing season. In this section the accuracy of this method of  
6 model bias estimation is discussed.

7 The model flux distributions evaluated represent area-weighted means over the ice-covered fractions of grid cells,  
8 each of order 10-100 km in width, chosen to cover the North Pole and Beaufort Sea regions as defined in Section  
9 2. The 'true model bias' would represent the difference between this and the average flux over ice covered regions  
10 for each month in the 1980-1999 period. By contrast, the IMB-measured means are derived from a relatively small  
11 number of single point measurements from these regions (points that tend to move position during an individual  
12 month, with the general ice flow), most from a period somewhat later than 1980-1999. To assess the accuracy of  
13 the model biases inferred, a method of estimating the order of magnitude of the likely error in the IMB estimated  
14 mean fluxes is required.

15 One source of error derives from the temporal offset in the IMB measurements relative to the model. To assess  
16 the impact of this, we compare the HadGEM2-ES vertical ice fluxes from the period 1980-1999 to those from the  
17 period 2000-2015, during which most of the IMB measurements were taken (as the historical experiments end in  
18 2005, the RCP8.5 experiment was used from 2006 onwards). Flux anomalies in the later period relative to the  
19 earlier period are mostly small (below  $2 \text{ Wm}^{-2}$  in magnitude) in both regions. However, there is a significant  
20 negative anomaly in July top melting,  $-6 \text{ Wm}^{-2}$  and  $-9 \text{ Wm}^{-2}$  in the North Pole and Beaufort Sea regions  
21 respectively. There is also a positive anomaly in September basal conductive flux ( $3 \text{ Wm}^{-2}$  in both regions), and  
22 in the Beaufort Sea moderate basal conductive flux anomalies continue into the winter, being 5, 3, -4 and  $-2 \text{ Wm}^{-2}$   
23 from October-January respectively. These anomalies are likely to reflect earlier melting and later freezing of ice  
24 in this region. They are small in size compared to the HadGEM2-ES model biases, but suggest that the temporal  
25 bias may cause model top melting bias in July, and Beaufort Sea basal conductive flux in autumn, to be slightly  
26 overstated.

27 A potentially more serious source of error is sampling: bias would be introduced if the IMB measurements were  
28 systematically over- or under-sampling locations with higher than average flux in a particular month. The Arctic  
29 sea ice cover is highly heterogeneous, with ice conditions varying substantially over all scales. For most variables  
30 (for example snow thickness, ice salinity or ice albedo), it is difficult to assess whether the variability of the ice  
31 pack is sufficiently sampled by the IMB measurements, due either to a lack of reference datasets or to an inability  
32 to estimate these variables at the IMB locations. However, the degree to which the ice thickness distribution  
33 (which affects conductive fluxes in particular) is correctly sampled by the IMBs can be assessed. The effect of  
34 errors in the ice thickness distribution on the IMB-measured fluxes is estimated in Appendix A, and is shown to  
35 be small compared to the model biases identified. We note that the ice thickness distribution sampling bias is  
36 likely to be particularly strong (relative to other variables) due to the deliberate placing of IMBs in level multiyear  
37 ice, and due to the Lagrangian movement of the IMBs combined with the generally short lifetime of thin ice floes,

1 which tend to grow quickly in winter and melt quickly in summer. It is proposed that given the effect of this bias  
2 is weak, it is likely that the effect of other, not deliberately introduced, biases is weaker still, and that the model  
3 biases identified in Section 4 are likely to be robust features.

4

#### 5 **5.6. Conclusions**

6 Around 500 estimates of monthly mean top melt, top conduction, basal conduction and ocean heat flux have been  
7 estimated from data measured by the Arctic IMB network, with the number of estimates available for each month  
8 ranging from 26 to 59. The distributions capture seasonal and spatial variability in the vertical fluxes analysed,  
9 ~~but do not contain sufficient data points to capture interannual variability and provide a valuable source of~~  
10 ~~information on the internal energy balance of Arctic sea ice.~~ Comparison of modelled fluxes to observed fluxes  
11 in the two densely sampled regions in the North Pole and Beaufort Sea reveals substantial model biases, notably  
12 to high top melt fluxes in summer and to high (negative) basal conductive fluxes in autumn and early winter.  
13 ~~Uncertainty in the IMB fluxes due to parameters of the analysis, and biases due to inadequate sampling of thin~~  
14 ~~and very thick ice types, are likely to be small relative to the model biases identified.~~ ~~Biases in the IMB flux~~  
15 ~~estimates due to inadequate sampling of thin and very thick ice types are likely to be small relative to the model~~  
16 ~~biases identified.~~

17 The flux biases are consistent with an evaluation of the sea ice simulation of HadGEM2-ES that identified an  
18 over-amplified seasonal cycle in ice thickness, with model ice growth and melt biased high in winter and summer  
19 respectively, as well as a high model bias in net SW radiation in June, a low bias in net LW radiation throughout  
20 the winter, and a low model bias in ice thickness in autumn and early winter. The IMB analysis confirms that the  
21 net SW bias is likely to cause overly strong ice thinning during summer via anomalously strong top melting of  
22 ice. ~~The IMB analysis also allows the effect of biases in ice thickness, snow depth, and atmospheric conditions,~~  
23 ~~as well as the effect of the uniform conductive flux assumption, on the conductive fluxes to be separately~~  
24 ~~examined. In this way it is confirmed that both low ice thickness, and that the cold atmospheric conditions~~  
25 ~~and low ice thickness of HadGEM2-ES are likely to be driving anomalously strong winter ice growth via the basal~~  
26 ~~conductive flux, both conclusions already suggested by West et al (2019).~~ However, the IMB analysis also  
27 suggests that the zero-layer thermodynamic scheme of HadGEM2-ES plays a role in promoting this anomalously  
28 strong ice growth. ~~The IMB analysis also provides evidence that snow depth biases are not important in driving~~  
29 ~~the ice growth biases of HadGEM2-ES.~~

30 The calculated IMB fluxes ~~hence~~ offer a ~~potentially~~ valuable tool for increasing understanding of sea ice  
31 simulations in coupled models, as they allow detailed examination of the links between atmospheric forcing of  
32 sea ice and the resulting sea ice state. This is particularly the case for the upcoming Phase 6 of the Coupled Model  
33 Intercomparison Project (CMIP6), for which diagnostics of ice energy and mass fluxes, such as top melting and  
34 conduction, have been requested for all sea ice models participating in this experiment (Notz et al., 2016).  
35 Understanding of the processes leading to biases in a given sea ice state enables better understanding of modelled  
36 sea ice spread in the present day and in the future, and may therefore also allow better understanding of future  
37 projections in sea ice state.

1 ~~By far the~~The greatest source of uncertainty in estimating the IMB fluxes derives from lack of knowledge of ice  
2 salinity at the measurement sites, and therefore the thermodynamic properties of conductivity and heat capacity.  
3 A method of measuring salinity at the IMB sites would greatly reduce the uncertainty in the IMB estimates,  
4 particularly for ocean heat flux, enhancing the usefulness of this dataset as a tool for model evaluation.

5

## 6 **Appendix A: The ice thickness sampling bias and its effect on flux distributions**

7 An analysis of the distribution of monthly mean ice thicknesses sampled by the IMBs finds that most lie in the  
8 range 1.4 – 3.6 m. However, analysis of submarine measurements of ice thickness in the Central and Western  
9 Arctic from 1981-2000, as collated by Rothrock et al. (2008), shows a substantial proportion of ice to be of  
10 thickness outside these bounds. In order to estimate the effect of this sampling bias, we use the following simple  
11 model. The thickness distribution is discretised, in a similar manner to HadGEM2-ES, by separating ice into five  
12 thickness categories, with minimum thickness bounds at 0 m, 0.6 m, 1.4 m, 2.4 m and 3.6 m. Given a mean flux  
13  $F_{IMB}^{m,r}$  for month  $m$  and region  $r$  that is estimated from the IMBs by averaging all fluxes for that month and region,  
14 the total observational error can be characterised as

$$15 \quad F_{err} = F_{IMB}^{m,r} - F_{actual}^{m,r} \quad (A1)$$

16 where  $F_{actual}^{m,r}$  is the actual value of that flux, averaged over the region and month in question, for the period 1993-  
17 2015. The mean flux can be further split into thickness categories by setting

$$18 \quad F_{IMB}^{m,r} = \sum_i F_{IMB-cat}^{m,r,i} \cdot \left( N_i^{m,r} / N_{total}^{m,r} \right) \quad (A3)$$

19 where the  $F_{IMB-cat}^{m,r,i}$  are the average IMB flux over month  $m$ , region  $r$  and category  $i$ ,  $N_i^{m,r}$  is the total number of  
20 IMB fluxes in month  $m$ , region  $r$  and category  $i$ , and  $N_{total}^{m,r}$  is the total number of IMB fluxes in month  $m$  and  
21 region  $r$ .

22 Similarly the actual flux values can be written as

$$23 \quad F_{actual}^{m,r} = \sum_i F_{actual-cat}^{m,r,i} \cdot a_i^{m,r} \quad (A4)$$

24 where  $a_i^{m,r}$  is the average fraction of ice in month  $m$  and region  $r$  that is in category  $i$  (expressed as a proportion  
25 of average fraction of ice in the region).

1 It can be seen that  $N_i^{m,r} / N_{total}^{m,r}$  acts as an IMB-based estimate of  $a_i^{m,r}$ , and that the error in  $F_{IMB}^{m,r}$  due to the  
 2 ice thickness sampling bias is exactly that due to the error in this estimate. Hence we can characterise the sampling  
 3 error by expressing  $F_{err}$  in terms of systematic and sampling errors in the following way:

$$\begin{aligned}
 F_{err}^{m,r} &= \sum_i \left( F_{IMB-cat}^{m,r,i} \bullet \left( N_i^{m,r} / N_{total}^{m,r} \right) - F_{actual-cat}^{m,r,i} \bullet a_i^{m,r} \right) \\
 &= F_{err\_systematic} + F_{err\_sample} \\
 4 \quad &= \frac{1}{2} \sum_i \left( F_{IMB-cat}^{m,r,i} - F_{actual-cat}^{m,r,i} \right) \left( N_i^{m,r} / N_{total}^{m,r} + a_i^{m,r} \right) \quad (A5) \\
 &\quad + \frac{1}{2} \sum_i \left( N_i^{m,r} / N_{total}^{m,r} - a_i^{m,r} \right) \left( F_{IMB-cat}^{m,r,i} + F_{actual-cat}^{m,r,i} \right)
 \end{aligned}$$

5 where  $F_{err\_sample}$  captures the flux error due to ice thickness sampling;  $F_{err\_systematic}$  describes the error due to  
 6 inaccuracy in the IMBs estimating  $F$  for each particular category, effectively capturing the remaining  
 7 observational error that is beyond the scope of the current analysis.

8 To estimate  $F_{err\_sample}$ , we first need estimates of  $a_i^{m,r}$ , the real-world proportion of ice in a given thickness  
 9 category for each region and month, for which as discussed above we use submarine measurements collated by  
 10 Rothrock (2008) that capture small-scale variation in sea ice thickness. Ice thickness measurements in each  
 11 category are collected for each month and region, and used to generate an estimate of  $a_i^{m,r}$ . In practice,  
 12 measurements are abundant during spring and autumn, but sparse during summer and non-existent during winter.  
 13 To alleviate this problem, we interpolate  $a_i^{m,r}$  using a 5-month binomial mean, weighted by number of  
 14 measurements, in order to produce a smooth seasonal cycle, motivated by the observation that maximum and  
 15 minimum ice thickness values often occur during spring and autumn respectively. The resulting seasonal cycles  
 16 of  $a_i^{m,r}$  are shown in Figure S2A4.

17 Secondly, estimates of  $\frac{1}{2} \left( F_{IMB-cat}^{m,r,i} + F_{actual-cat}^{m,r,i} \right)$ , representative average fluxes for each thickness category, are  
 18 required. To calculate representative fluxes of conduction, we combine a simple model of the relationship between  
 19 conductive fluxes and ice and snow thickness with the IMB measurements of conduction. The surface flux  
 20  $F_{sfc} = F_{atmos} + BT_{sfc}$  is approximated by linearising the dependence on surface temperature  $T_{sfc}$ , referenced to  
 21 0°C. The surface flux is set equal to the top conductive flux  $F_{condtop}$ , and a constant rate of change of conductive  
 22 flux from the top to the basal surface of the ice is assumed, such that  $\frac{1}{2} \left( F_{condtop} + F_{condbot} \right) = \frac{(T_{sfc} - T_{bot})}{R_{ice}}$ ,  
 23 where  $F_{condbot}$  represents basal conductive flux,  $T_{bot}$  ice base temperature, and  $R_{ice} = h_{ice} / k_{ice} + h_{snow} / k_{snow}$

1 is the thermal insulance of the ice-snow column,  $h_{ice}$  and  $h_{snow}$  being ice and snow thickness, and  $k_{ice}$  and  $k_{snow}$   
 2 ice and snow conductivity respectively. Eliminating  $T_{sfc}$  from the above equations gives

$$3 \quad F_{condtop} = \frac{F_{atmos} + BT_{bot}}{1 - BR_{ice}^{HS-top}} \quad (A6)$$

$$4 \quad \text{where } R_{ice}^{HS-top} = R_{ice} / (1 + \alpha_{heat}) \text{ and } \alpha_{heat} = \frac{F_{condtop} - F_{condbot}}{F_{condtop} + F_{condbot}}.$$

5 Equation (A6) can be combined with the IMB estimates of conductive fluxes, and snow and ice thickness to  
 6 produce, for each monthly mean IMB measurement, an associated value of  $F_{atmos}$ , a measure of the atmospheric  
 7 forcing on the ice that is independent of small-scale variations in ice thickness. Hence for each month and region  
 8 a distribution in  $F_{atmos}$  can be produced; mean values of this can be fed back into the simple model to produce  
 9 an average conductive flux that would be expected for each ice thickness category. The average conductive flux  
 10 is then multiplied by  $(N_i^{m,r} / N_{total}^{m,r}) - a_i^{m,r}$ , as estimated above, and summed over categories to produce the flux  
 11 error  $F_{err}^{m,r}$ .

12 The resulting flux bias is below 1 Wm<sup>-2</sup> in magnitude year-round in the North Pole region. It is slightly larger in  
 13 the Beaufort Sea in winter time, achieving values of -1.5 Wm<sup>-2</sup> to -1 Wm<sup>-2</sup> from November-February. The values  
 14 are small compared to the model-observation differences identified in Section 4, and so we conclude that the ice  
 15 thickness sampling bias does not seriously affect ability to evaluate modelled conductive fluxes.

16 A less strong, but still discernible, relationship exists between top melt flux and ice thickness, due to ice albedo  
 17 tending to be lower for thinner ice. However, this effect is likely to be associated with a significant difference in  
 18 albedo only for the thinnest category of ice, and then only in the absence of snow (e.g. Ebert et al., 1995). To  
 19 estimate this effect, we use an average albedo of 0.55 for bare ice in the top four thickness categories, and 0.35 in  
 20 the lowest category, based on observed values reviewed and collated by Pirazzini (2008). We assume that ice is  
 21 snow-covered 80% of the time from October-May (with a corresponding albedo of 0.85), 50% of the time in June  
 22 and September, and 20% of the time in July and August. Finally, we calculate mean values of downwelling SW  
 23 radiation for the North Pole and Beaufort Sea region from CERES-EBAF from 2000-2013, and multiply these by  
 24 the albedo differences implied by the anomaly of ice fraction in category 1. With this method, a maximum flux  
 25 bias of -3 Wm<sup>-2</sup> is estimated for the North Pole region, in July, and of -2 Wm<sup>-2</sup> for the Beaufort Sea region, also  
 26 in July. Again, this anomaly is small compared to the model-observation differences seen in Section 4, and it is  
 27 concluded that the sampling bias similarly does not affect ability to evaluate modelled top melting.

28 An estimate of the influence of the sampling bias on ocean heat flux estimates is more difficult, due to a less clear  
 29 relationship between ice thickness and the ocean heat flux, and the frequent presence of rapid changes in ice  
 30 thickness during the months in which ocean heat flux is highest (July and August). It is likely that very small ice

1 thicknesses are associated with elevated ocean heat flux in the summer months due to greater solar penetration  
2 through ice. However, the ice thickness sampling bias is at its least severe during the summer months, as thinner  
3 ice is sampled by the IMBs simply through the melting of originally thicker floes on which the IMBs were placed.  
4 In addition, thinning ice which induces a particularly high ocean heat flux is likely to melt out quickly, and  
5 contribute a correspondingly small fraction to the ice thickness distribution.

6 We examined the sensitivity of the average ocean heat fluxes to this issue by assuming ocean heat fluxes in  
7 category 1 to be systematically larger than those in the remaining categories (as diagnosed from the IMBs) by a  
8 factor  $\lambda$ . With  $\lambda=3$ , August ocean heat fluxes in the Beaufort Sea region are on average  $80\text{Wm}^{-2}$  greater in  
9 category 1 than in thicker ice categories; it is unlikely that the solar penetration effect could be associated with  
10 larger flux differences than this. The largest average flux bias associated with this effect is then  $-6.3\text{Wm}^{-2}$ , also  
11 seen in August in the Beaufort Sea region. Hence this could be taken as a reasonable upper bound for the effect  
12 of the sampling bias on ocean heat fluxes. It is smaller in magnitude than the model ocean heat flux biases  
13 diagnosed, although the difference is less than those for the top melt and conductive fluxes.

14

#### 15 **Code availability**

16 The code used to analyse the IMB data is published in two repositories, corresponding to two stages of the  
17 analysis. The code used to read, quality control, and process the data into consistent quantities on consistent  
18 time points, can be downloaded from [https://github.com/sammiebuzzard/IMBS\\_MO](https://github.com/sammiebuzzard/IMBS_MO). The code used to produce  
19 datasets of monthly mean energy fluxes from this processed data can be downloaded from  
20 [https://github.com/alex-west-met-office/IMBS\\_Stage2\\_modelevel\\_MO](https://github.com/alex-west-met-office/IMBS_Stage2_modelevel_MO). In addition, Code used in this study,  
21 and associated documentation, is provided as a supplement in .tar format. The code used to read and analyse the  
22 IMB data is provided located in subdirectory 'IMB\_code'; documentation of many key aspects of this code is  
23 located in subdirectory 'Documentation'. The code with which Figures 2, 3, 4, 7, 8 and B1-3-10 were produced  
24 is provided as a supplement; many of these routines make extensive use of the open source Iris and Cartopy  
25 libraries, also provided, in subdirectory 'Figures'.  
26  
27

#### 28 **Data availability**

29 The raw IMB data are publicly available, and can be downloaded from <http://imb.erd.c.dren.mil/buoysum.htm>.  
30 The processed IMB data, and the derived dataset of monthly mean fluxes, are published with this revision at  
31 <https://zenodo.org/record/3773811> and <https://zenodo.org/record/3773997> respectively. However, the  
32 diagnostics from HadGEM2-ES used in this study are not publicly available. However, they can be made  
33 available upon request to the author.  
34

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1

2 **Author contribution**

3 The IMB-derived fluxes were calculated, and the subsequent model evaluation performed, by Alex West. The  
4 analysis of sampling error in the Appendix was designed and carried out by Alex West. The paper was written  
5 in its final form by Alex West with assistance from Mat Collins and Ed Blockley.

6

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<u>Whole Arctic</u>	Top melt flux (Wm <sup>-2</sup> )		Top conductive flux (Wm <sup>-2</sup> )		Basal conductive flux (Wm <sup>-2</sup> )		Ocean heat flux (Wm <sup>-2</sup> )	
<u>Number of observations</u>	463		414		463		414	
	Mean	Std. dev.	Mean	Std. dev.	Mean	Std. dev.	Mean	Std. dev.
January	0.0	0.0	-16.29	6.14	-134.02	5.75	1.4-0.6	95.0-0.0
February	0.0	0.0	-16.92	10.86	-13.71	6.47	0.6-2.2	4.28-1.9
March	0.0	0.0	-14.55	7.35	-12.71	4.44	1.5-0.9	5.68-7.6
April	0.0	0.0	-7.55	3.14	-9.79	3.33	2.3-0.0	4.92-7.3
May	1.13	3.23	-0.50	2.34	-6.26	2.22	23.4-2	4.04-8.3
June	16.48	110.0	43.88	1.83	-2.20	1.66	12.31	16.51
July	29.92	17.86	1.04	2.81	0.50	1.31	18.12	24.01
August	8.17	6.47	-1.31	4.93	1.00	1.10	19.22	23.92
September	0.61	1.26	-6.38	7.94	0.74	1.92	9.41	11.41
October	0.0	0.00	-14.47	11.68	-4.01	4.11	5.43	13.08
November	0.0	0.0	-17.32	12.47	-9.28	10.39	4.63	7.11

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December	0.0	0.0	-17.6223	13.26	-12.5124	8.06	1.3-1.0	5.27-8
			.8			6		

1 **Table 1. Mean and standard deviations of fluxes measured from the IMB data in  $Wm^{-2}$  in each month of**  
2 **the year. For each flux, the convention is that downwards=positive.**

3

4

<u>North Pole region</u>	<u>Top melt flux</u> (Wm <sup>-2</sup> )		<u>Top conductive flux</u> (Wm <sup>-2</sup> )		<u>Basal conductive flux</u> (Wm <sup>-2</sup> )		<u>Ocean heat flux</u> (Wm <sup>-2</sup> )	
	<u>Mean</u>	<u>Std. dev.</u>	<u>Mean</u>	<u>Std. dev.</u>	<u>Mean</u>	<u>Std. dev.</u>	<u>Mean</u>	<u>Std. dev.</u>
<u>Number of observations</u>								
	<u>196</u>		<u>170</u>		<u>193</u>		<u>165</u>	
<u>January</u>	<u>0.0</u>	<u>0.0</u>	<u>-17.7</u>	<u>7.4</u>	<u>-14.3</u>	<u>4.5</u>	<u>0.2</u>	<u>2.9</u>
<u>February</u>	<u>0.0</u>	<u>0.0</u>	<u>-18.9</u>	<u>5.7</u>	<u>-12.2</u>	<u>6.8</u>	<u>-0.2</u>	<u>6.1</u>
<u>March</u>	<u>0.0</u>	<u>0.0</u>	<u>-17.2</u>	<u>4.6</u>	<u>-11.5</u>	<u>6.3</u>	<u>1.3</u>	<u>3.6</u>
<u>April</u>	<u>0.0</u>	<u>0.0</u>	<u>-8.3</u>	<u>3.0</u>	<u>-8.5</u>	<u>4.8</u>	<u>1.4</u>	<u>2.4</u>
<u>May</u>	<u>0.1</u>	<u>0.1</u>	<u>-1.4</u>	<u>1.7</u>	<u>-7.0</u>	<u>2.6</u>	<u>2.5</u>	<u>4.9</u>
<u>June</u>	<u>12.4</u>	<u>8.3</u>	<u>4.4</u>	<u>1.7</u>	<u>-2.7</u>	<u>1.3</u>	<u>7.6</u>	<u>9.7</u>
<u>July</u>	<u>23.4</u>	<u>13.9</u>	<u>0.9</u>	<u>0.9</u>	<u>0.3</u>	<u>1.3</u>	<u>12.5</u>	<u>8.1</u>
<u>August</u>	<u>8.0</u>	<u>6.0</u>	<u>-1.4</u>	<u>3.4</u>	<u>1.0</u>	<u>0.8</u>	<u>13.1</u>	<u>10.2</u>
<u>September</u>	<u>0.2</u>	<u>0.3</u>	<u>-7.7</u>	<u>5.4</u>	<u>0.8</u>	<u>2.1</u>	<u>5.4</u>	<u>6.8</u>
<u>October</u>	<u>0.0</u>	<u>0.1</u>	<u>-18.1</u>	<u>12.7</u>	<u>0.3</u>	<u>2.3</u>	<u>0.3</u>	<u>3.2</u>
<u>November</u>	<u>0.0</u>	<u>0.0</u>	<u>-21.4</u>	<u>11.4</u>	<u>-6.4</u>	<u>4.4</u>	<u>1.4</u>	<u>3.2</u>
<u>December</u>	<u>0.0</u>	<u>0.1</u>	<u>-17.7</u>	<u>5.9</u>	<u>-12.7</u>	<u>3.4</u>	<u>0.5</u>	<u>3.0</u>

1 **Table 2. Mean and standard deviations of fluxes measured from the IMB data in the North Pole region, in**  
2 **Wm<sup>-2</sup> in each month of the year. For each flux, the convention is that downwards=positive.**

3

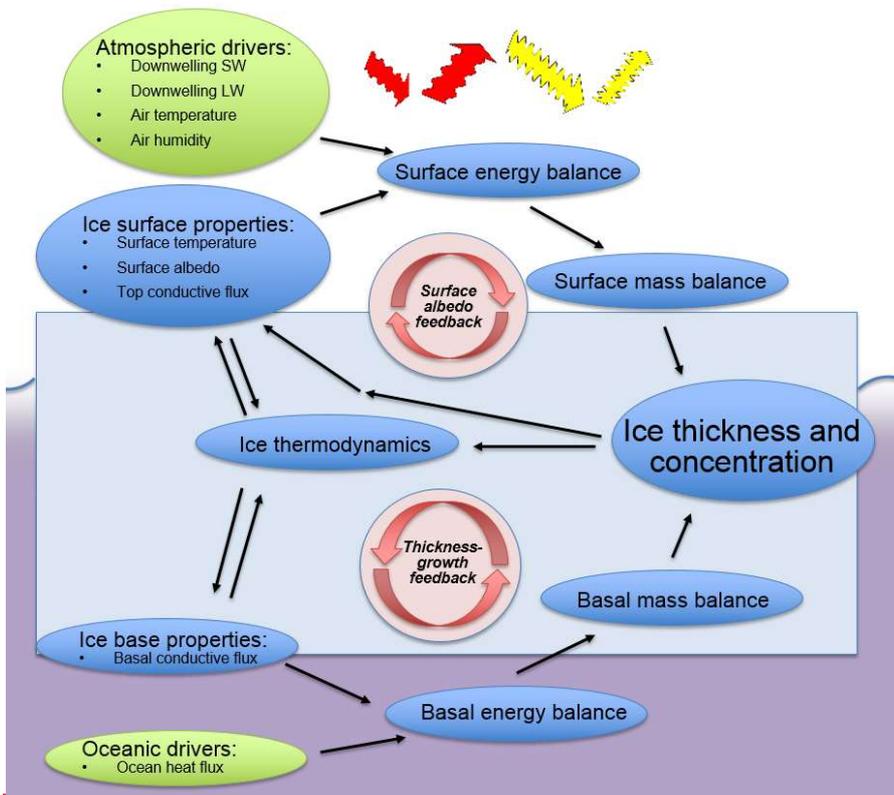
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<b>Beaufort Sea region</b>	<b>Top melt flux (Wm<sup>-2</sup>)</b>		<b>Top conductive flux (Wm<sup>-2</sup>)</b>		<b>Basal conductive flux (Wm<sup>-2</sup>)</b>		<b>Ocean heat flux (Wm<sup>-2</sup>)</b>	
<b>Number of observations</b>	<b>189</b>		<b>173</b>		<b>202</b>		<b>190</b>	
	<b>Mean</b>	<b>Std. dev.</b>	<b>Mean</b>	<b>Std. dev.</b>	<b>Mean</b>	<b>Std. dev.</b>	<b>Mean</b>	<b>Std. dev.</b>
<b>January</b>	<b>0.0</b>	<b>0.0</b>	<b>-15.5</b>	<b>3.3</b>	<b>-14.9</b>	<b>4.6</b>	<b>2.1</b>	<b>5.0</b>
<b>February</b>	<b>0.0</b>	<b>0.0</b>	<b>-15.3</b>	<b>4.9</b>	<b>-13.7</b>	<b>3.5</b>	<b>1.2</b>	<b>2.9</b>
<b>March</b>	<b>0.0</b>	<b>0.0</b>	<b>-11.7</b>	<b>3.8</b>	<b>-12.5</b>	<b>2.3</b>	<b>1.9</b>	<b>6.6</b>
<b>April</b>	<b>0.0</b>	<b>0.0</b>	<b>-6.8</b>	<b>2.5</b>	<b>-9.7</b>	<b>2.1</b>	<b>2.4</b>	<b>2.6</b>
<b>May</b>	<b>1.8</b>	<b>1.6</b>	<b>0.8</b>	<b>1.7</b>	<b>-5.2</b>	<b>1.4</b>	<b>4.7</b>	<b>1.9</b>
<b>June</b>	<b>26.0</b>	<b>10.2</b>	<b>2.9</b>	<b>1.3</b>	<b>-1.6</b>	<b>1.6</b>	<b>18.0</b>	<b>21.1</b>
<b>July</b>	<b>41.1</b>	<b>17.3</b>	<b>1.3</b>	<b>0.9</b>	<b>0.4</b>	<b>0.7</b>	<b>30.6</b>	<b>19.6</b>
<b>August</b>	<b>8.7</b>	<b>8.3</b>	<b>1.6</b>	<b>0.7</b>	<b>0.7</b>	<b>0.9</b>	<b>33.1</b>	<b>34.9</b>
<b>September</b>	<b>0.3</b>	<b>0.3</b>	<b>-4.8</b>	<b>2.8</b>	<b>0.4</b>	<b>1.9</b>	<b>13.3</b>	<b>13.0</b>
<b>October</b>	<b>0.0</b>	<b>0.0</b>	<b>-12.3</b>	<b>3.2</b>	<b>-7.9</b>	<b>16.8</b>	<b>10.4</b>	<b>18.9</b>
<b>November</b>	<b>0.0</b>	<b>0.0</b>	<b>-16.1</b>	<b>3.4</b>	<b>-11.4</b>	<b>12.2</b>	<b>6.3</b>	<b>8.8</b>
<b>December</b>	<b>0.0</b>	<b>0.0</b>	<b>-19.0</b>	<b>5.4</b>	<b>-13.6</b>	<b>7.4</b>	<b>1.7</b>	<b>6.8</b>

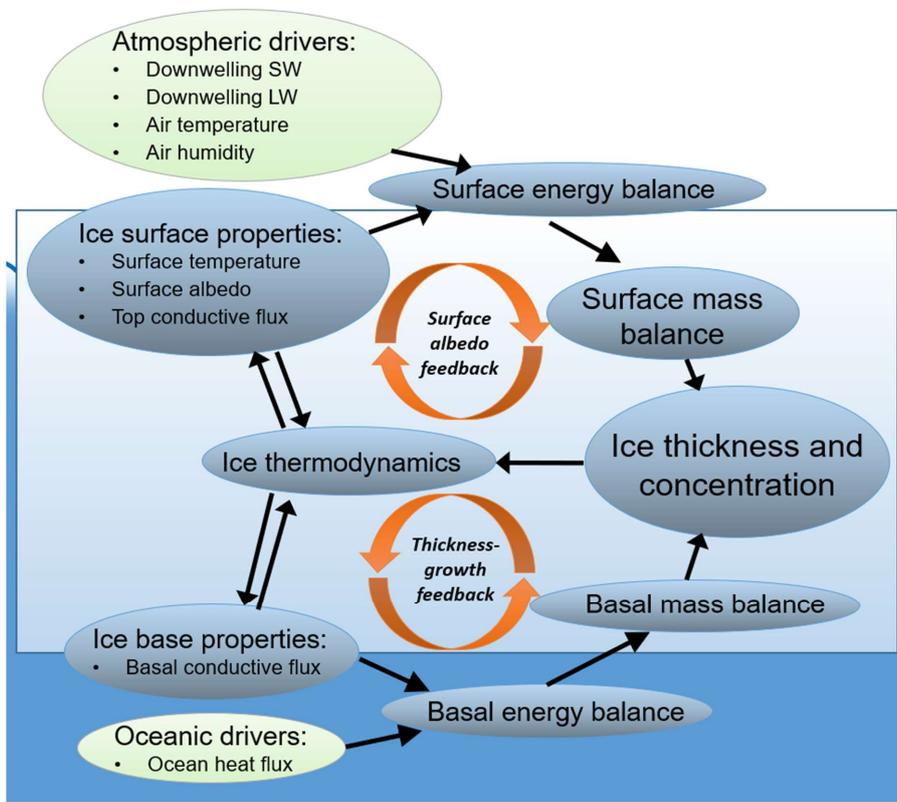
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1 **Table 3. Mean and standard deviations of fluxes measured from the IMB data in the Beaufort Sea region,**  
2 **in Wm<sup>-2</sup> in each month of the year. For each flux, the convention is that downwards=positive.**

3



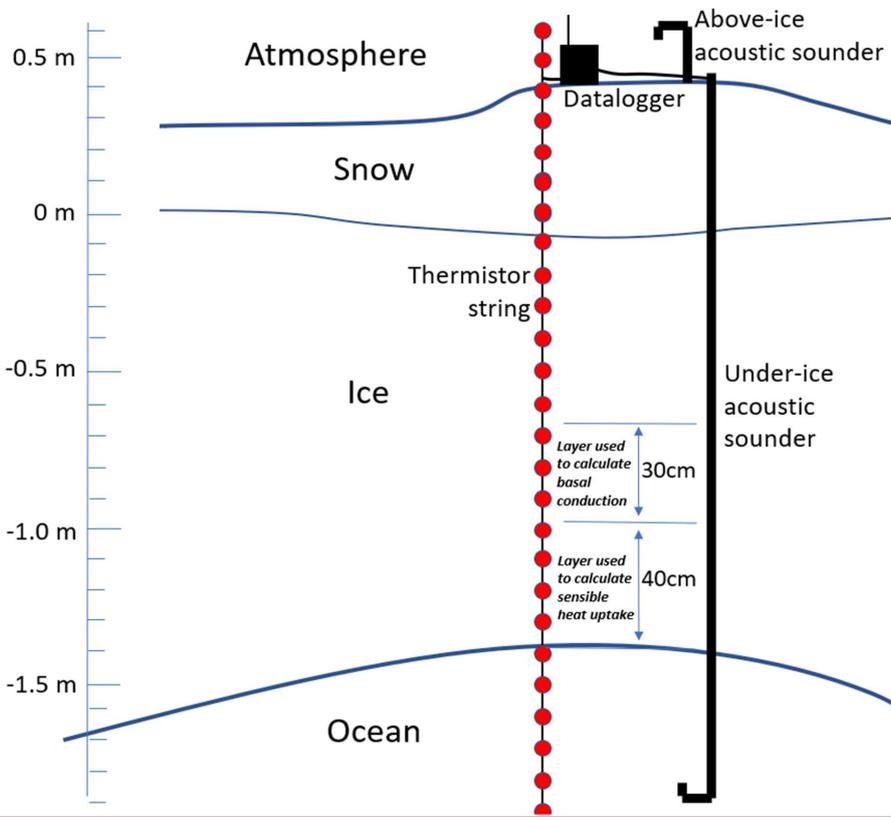
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2 **Figure 1. Schematic demonstrating the causal links between ice thickness and extent, and energy and**  
 3 **mass balance at the top and basal surfaces of the sea ice.**

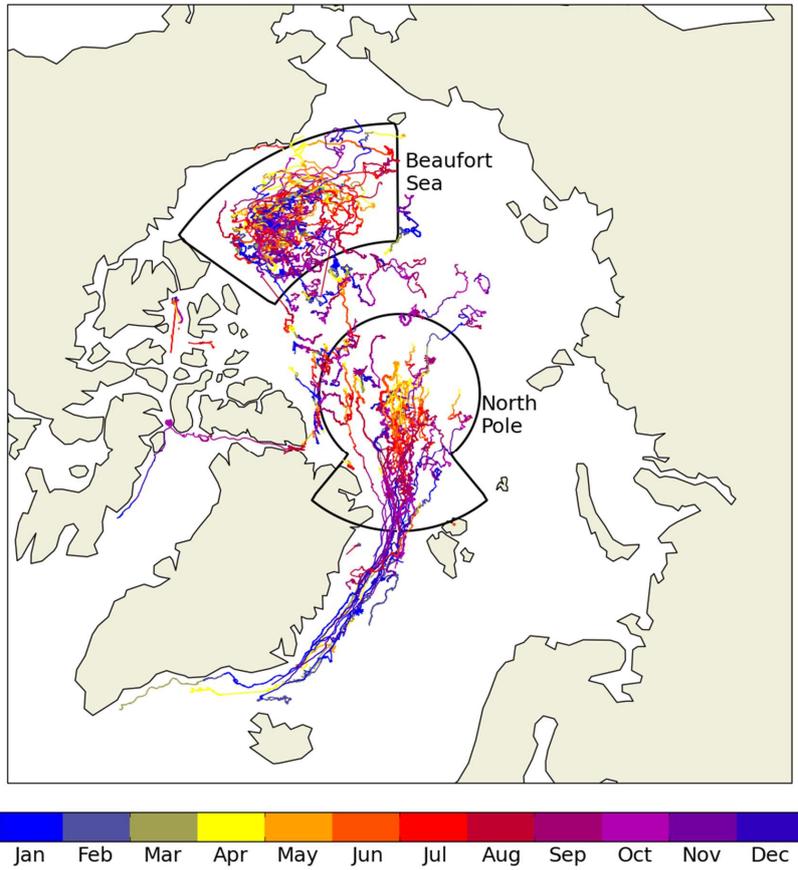
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1  
2 **Figure 2. Diagram of the main components of an IMB, with layers used in this study for calculation of**  
3 **fluxes at the base of the ice indicated. Adapted from a diagram by the data providers at [dartmouth.org/imb/](http://imb-crrel-</a></b><br/>4 <b><a href=)**

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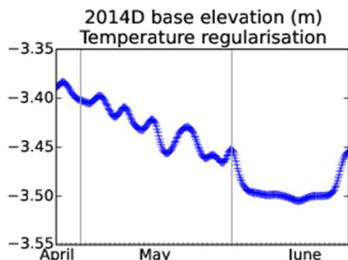
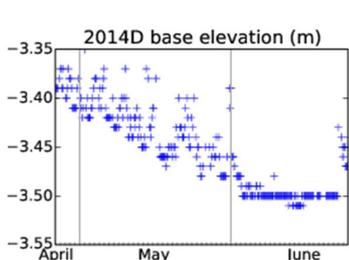
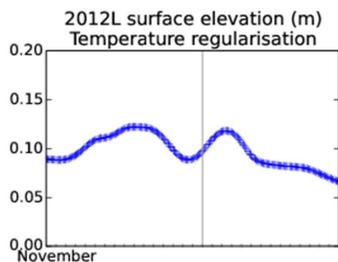
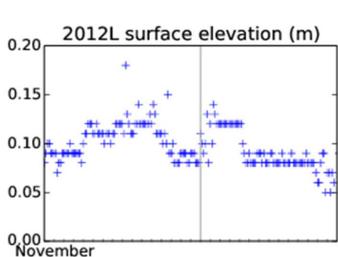
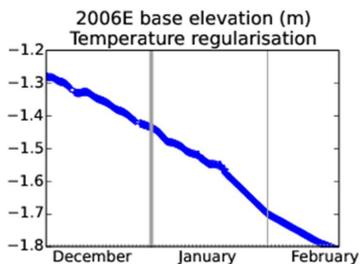
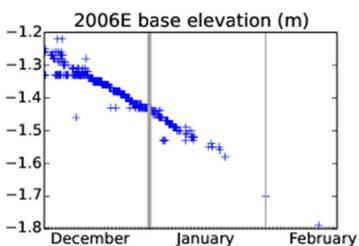
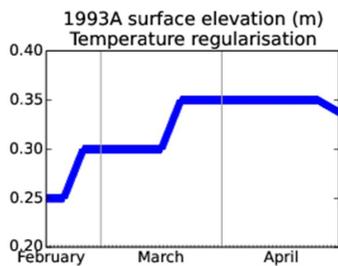
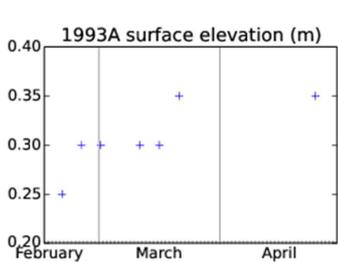


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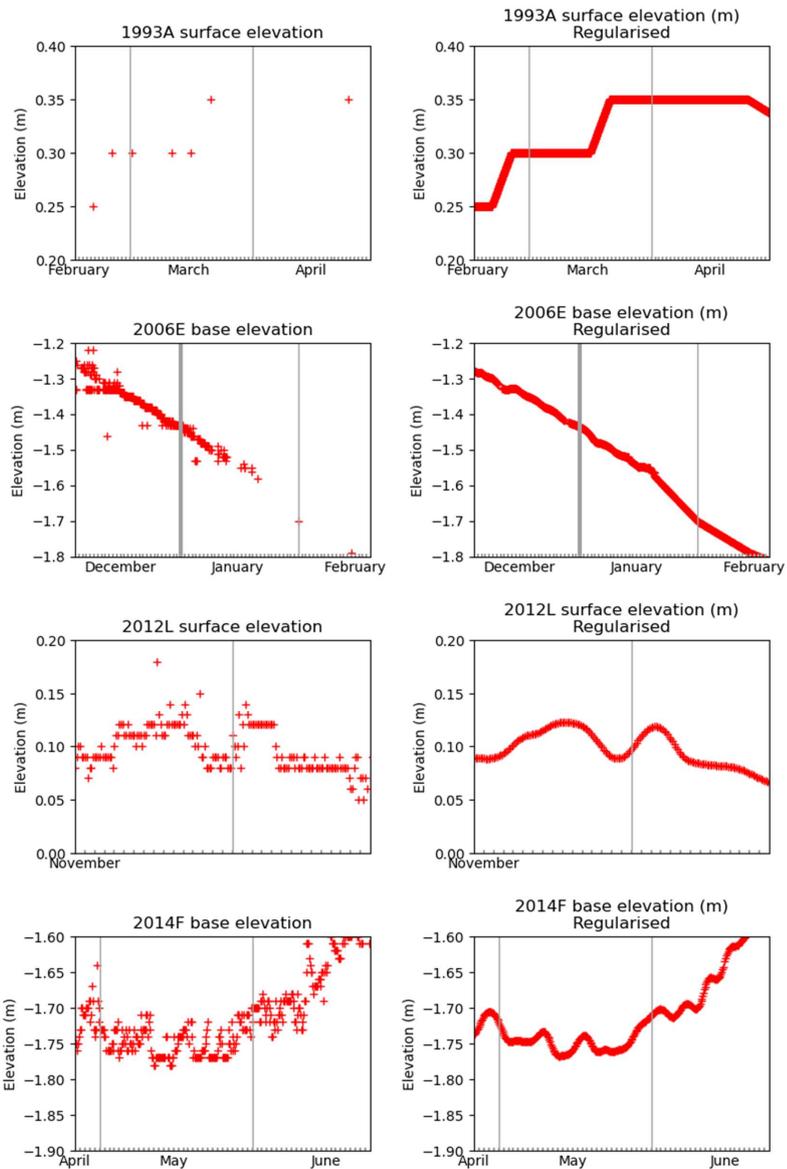
2 **Figure 32.** The tracks of Arctic ice mass balance buoys from 1993-2015, with months of coverage indicated  
 3 by the coloured shading. The North Pole and Beaufort Sea regions used in the analysis are shown by the  
 4 thin black lines.

5

6



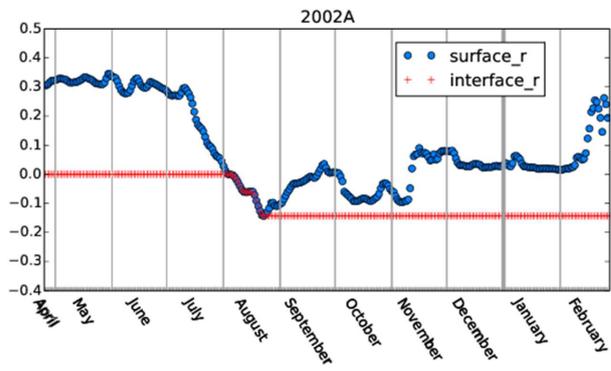
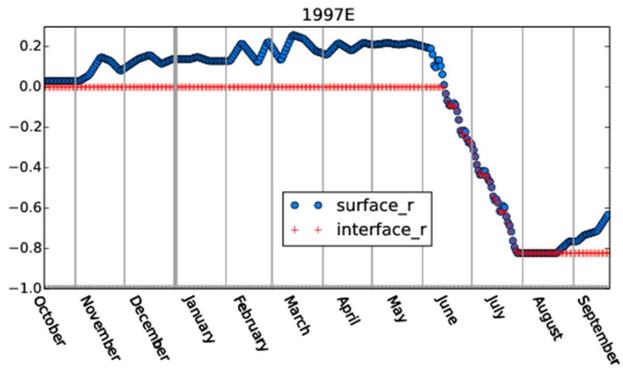
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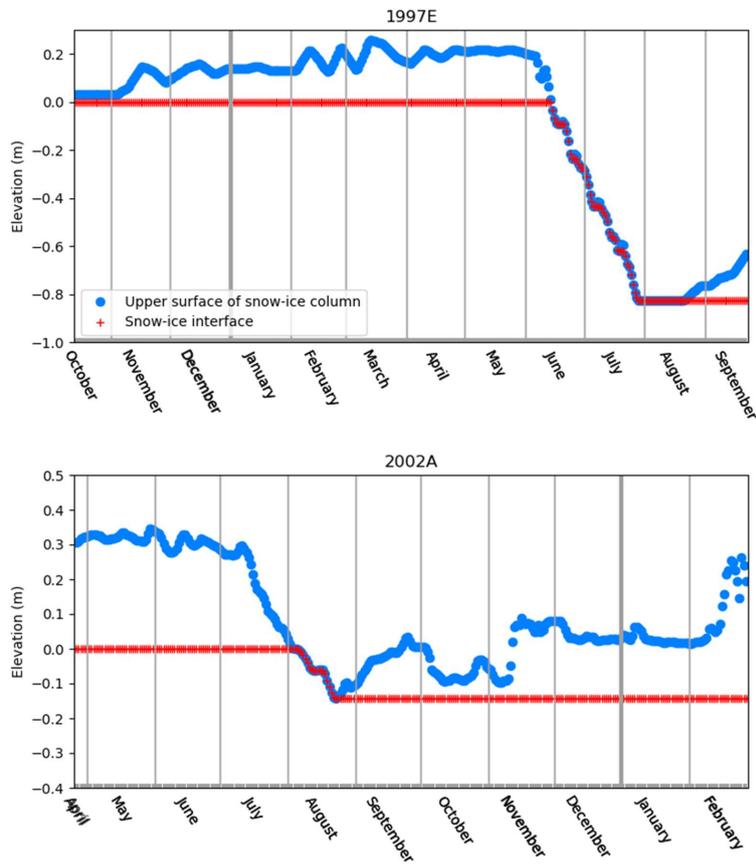
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2 **Figure 43.** Illustration of the regularisation process using four selected IMB data series. (Left) raw data;  
 3 (right) time series regularised to temperature measurement pointstemperature regularised time series.

4



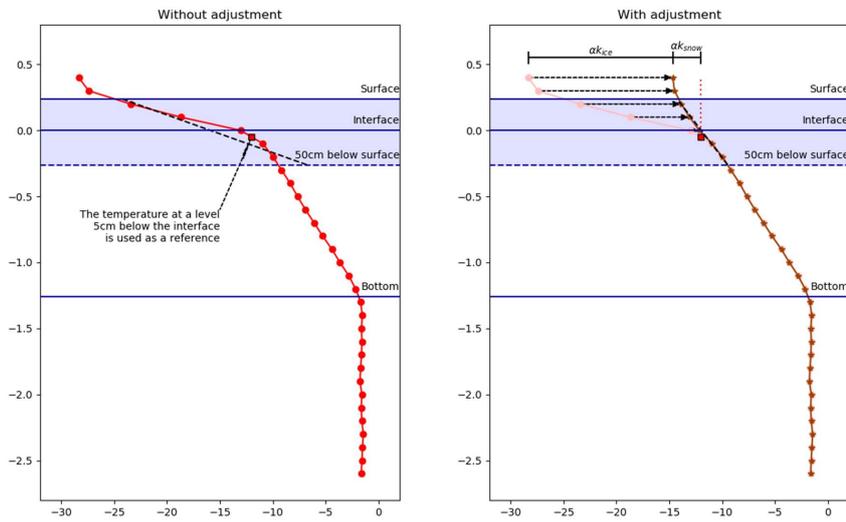
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1  
 2 **Figure 54.** Two examples of estimating snow-ice interface from a regularised snow surface data series. The  
 3 interface remains at a constant level unless the surface falls below this level, in which case the interface falls  
 4 with the surface.

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Taking linear fits for top conductive flux:



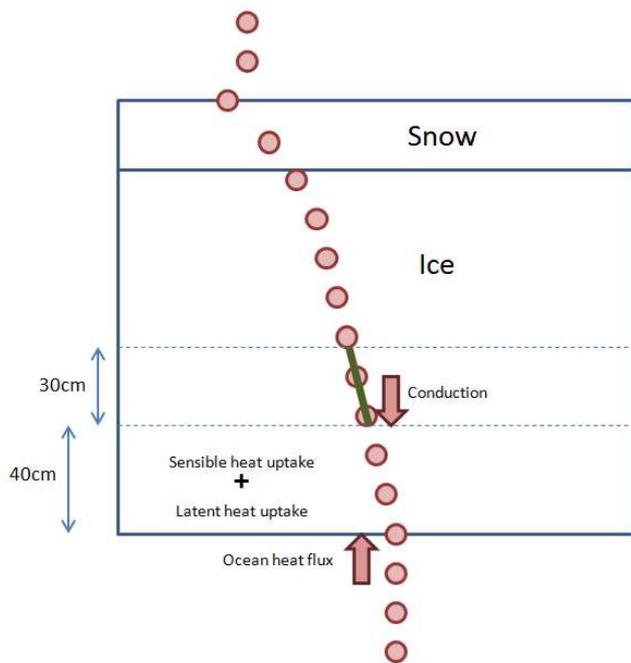
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2 **Figure 6. Illustrating the process of estimating conductive flux across the top 50cm of the snow-ice column,**  
3 **in the case that the snow-ice interface lies within this layer. The left panel shows the raw temperature**  
4 **profile: taking a linear fit through these points does not produce a meaningful result because of the sharp**  
5 **'corner' associated with the change in medium. The right panel shows the adjusted temperature profile:**  
6 **the temperatures that would be expected if the snow layer were ice, temperature below the interface and**  
7 **conductive fluxes remaining the same. The adjusted profile eliminates the corner, and a linear fit can be**  
8 **taken.**

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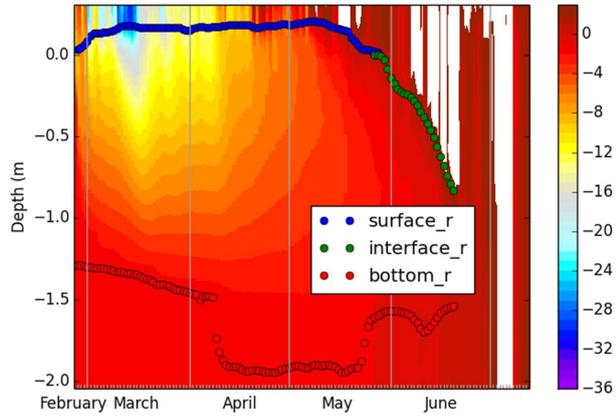
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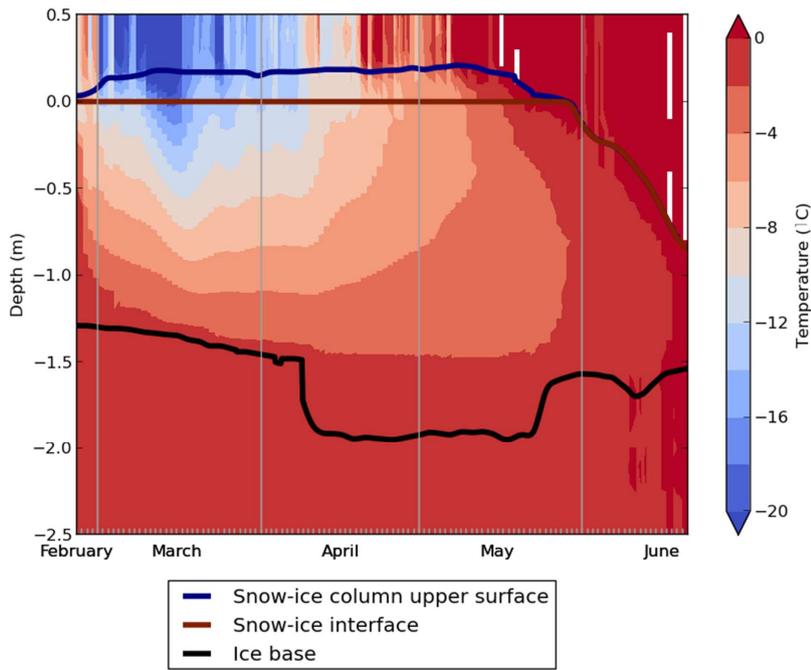


1 .  
 2 **Figure 5. Illustrating the calculation of basal conductive flux and ocean heat flux as described in Section**  
 3 **2, after Lei et al (2014), with the use of a ‘buffer zone’ in the lowest 40cm of the ice above which the**  
 4 **conduction is measured.**

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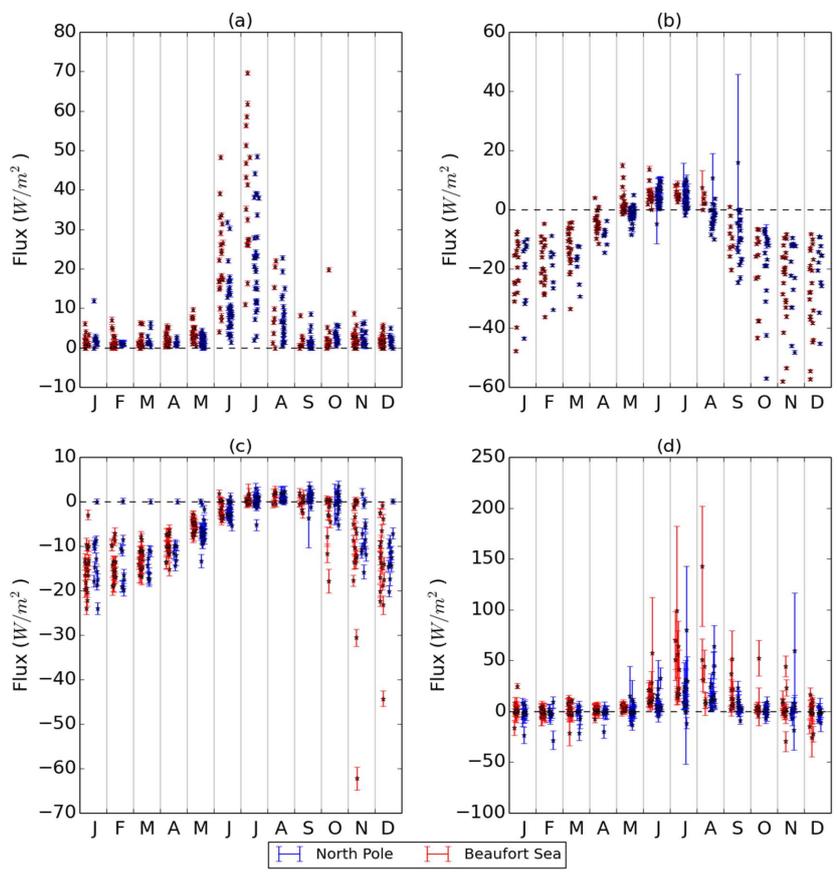
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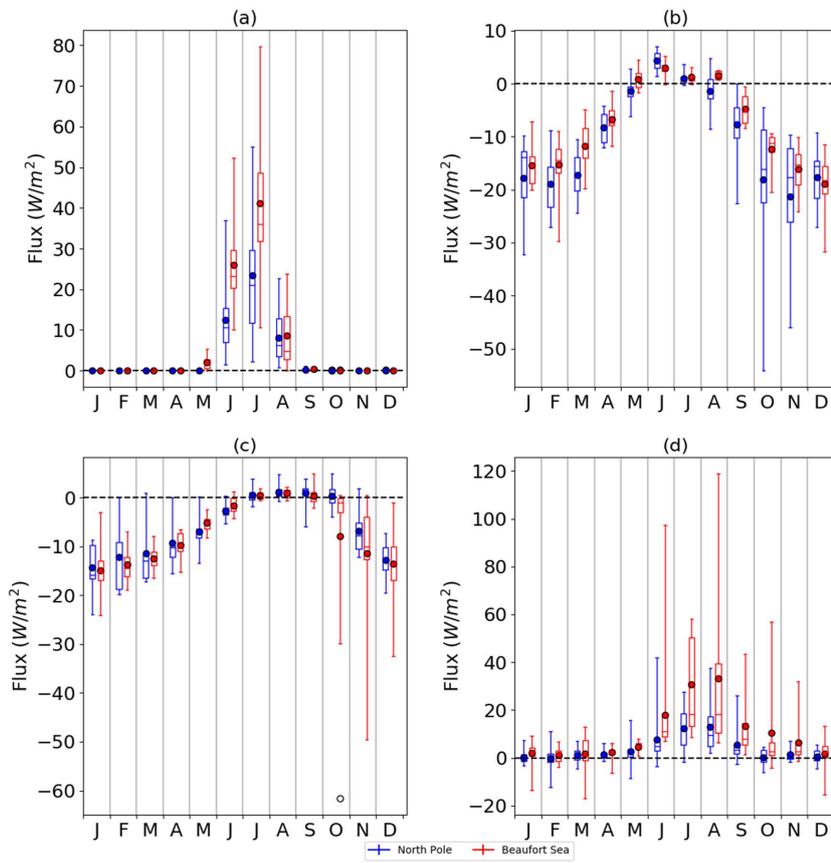
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4 **Figure 76.** Measurements from the buoy 2015A, with a likely 'false bottom' being measured from early  
5 April to late May, characterised by sudden step changes in estimated base elevation.

6

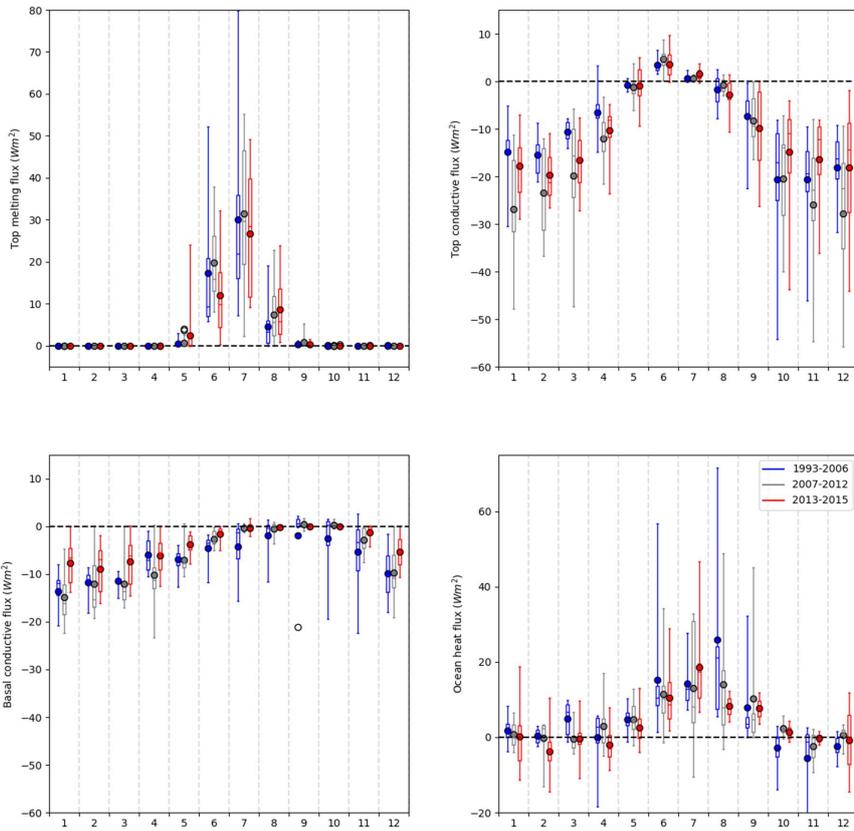


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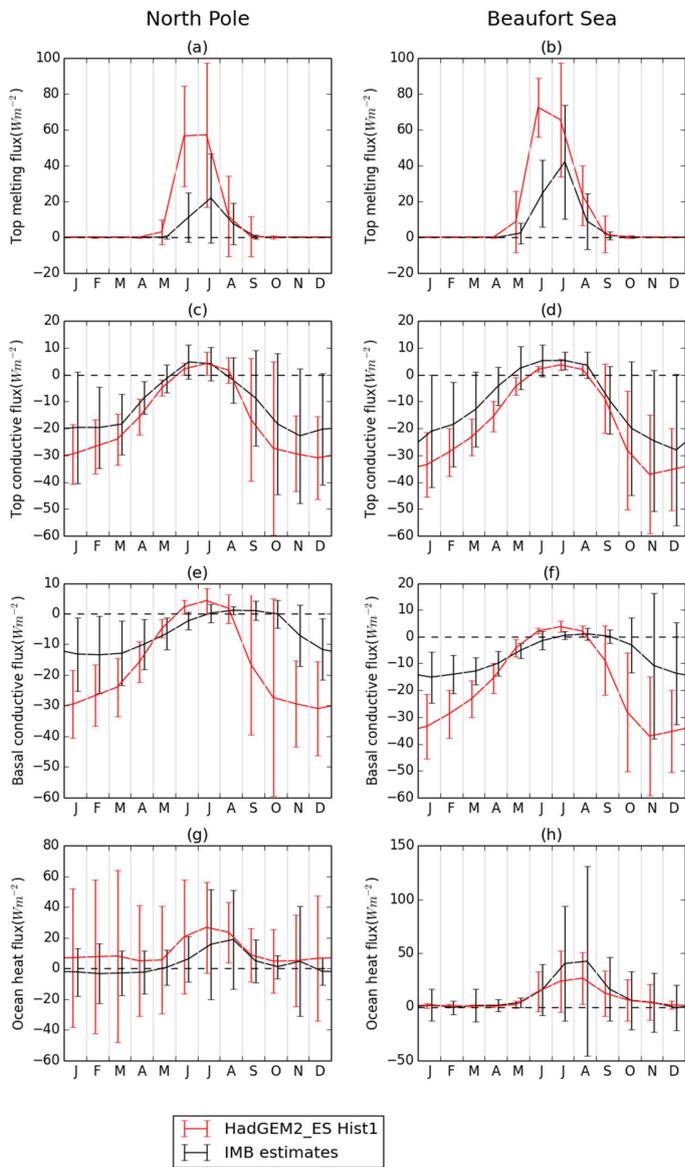
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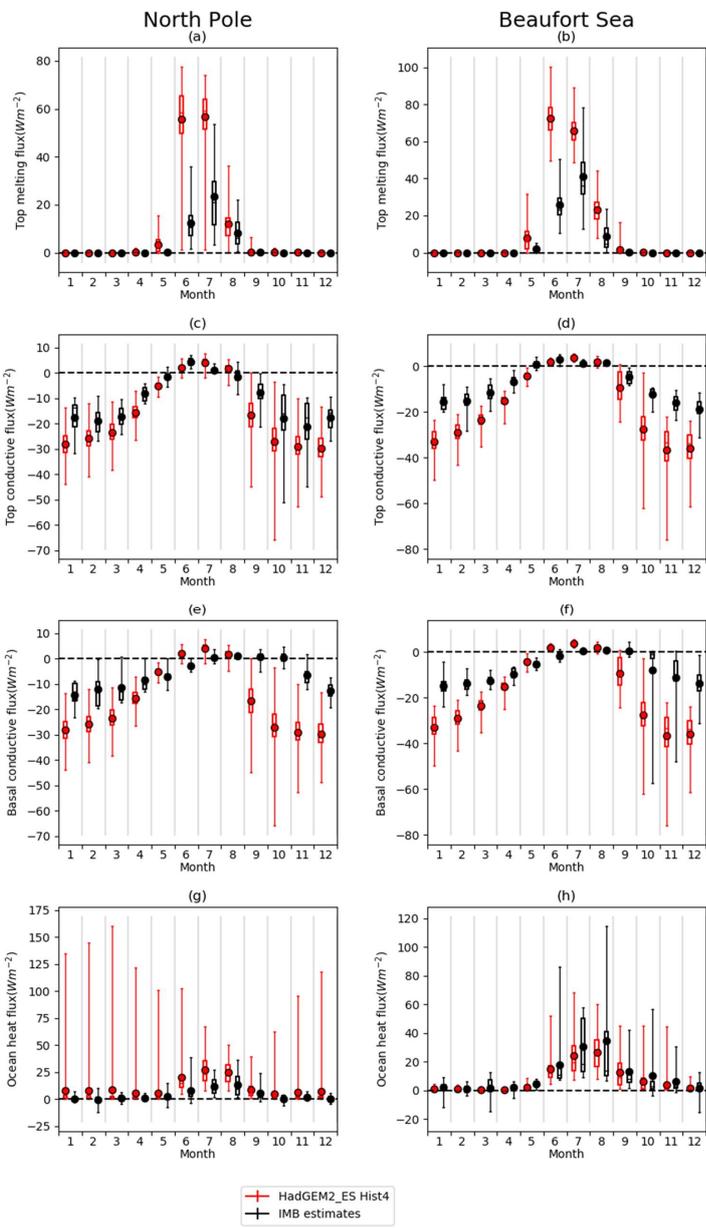
2 **Figure 77.** Fluxes of (a) top melting, (b) top conductive flux, (c) basal conductive flux and (d) ocean heat  
3 **flux, estimated from the IMB data, shown for North Pole (blue) and Beaufort Sea (red) regions. For each**  
4 **month, flux and region, the distribution is indicated by a boxplot showing range, interquartile range,**  
5 **median (horizontal lines) and mean (filled circles). Mean and uncertainty are indicated for each data point.**  
6 **For all fluxes, the convention is that downwards=positive.**



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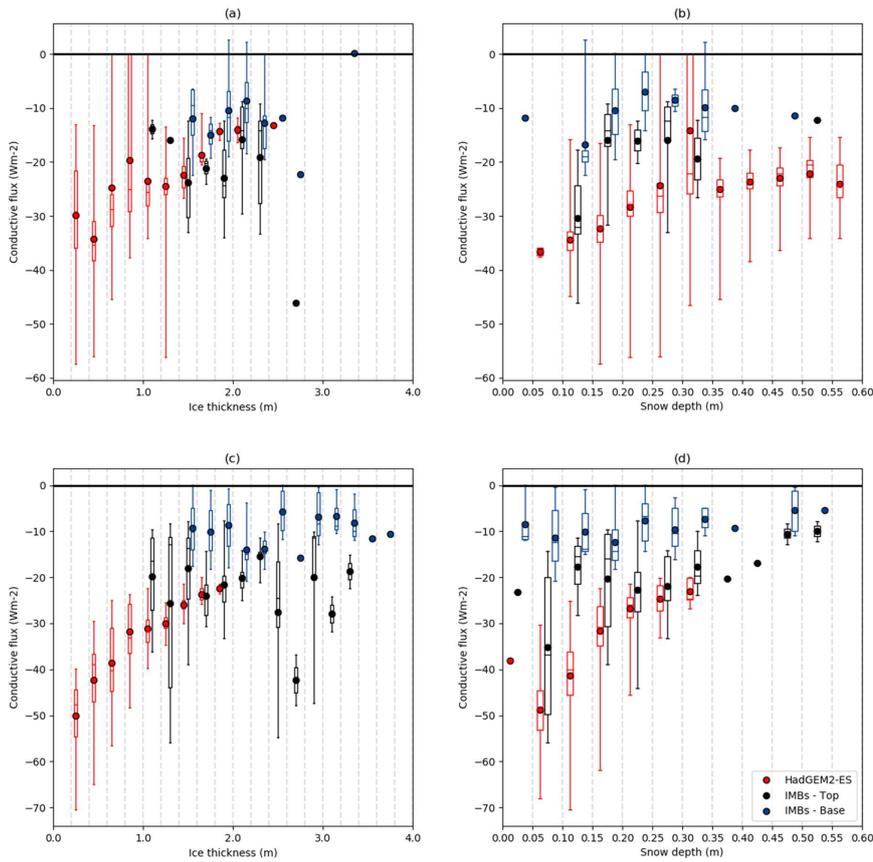
2 **Figure 8. IMB-measured distributions of (a) top melting; (b) top conductive flux; (c) basal conductive flux**  
 3 **and (d) ocean heat flux, divided into the three periods 1993-2006, 2007-2012 and 2013-2015. Each**  
 4 **distribution is illustrated with a boxplot showing range, interquartile range, median (horizontal lines) and**  
 5 **mean (filled circles).**





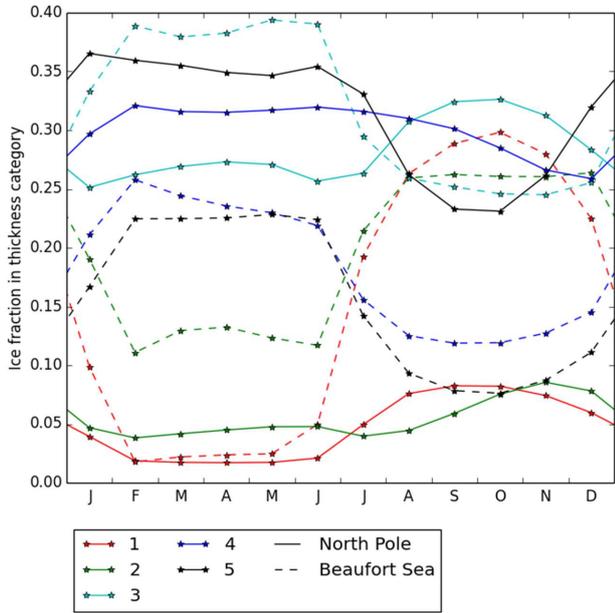
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- 1 **Figure 98.** Comparing distributions of (a,b) top melt, (c,d) top conductive flux, (e,f) basal conductive flux and (g,h) ocean heat flux from HadGEM2-ES (red) to those estimated from the IMB data (black), for the
- 2 **North Pole (left) and Beaufort Sea (right) regions.**
- 3



- 4
- 5 **Figure 10. Conductive fluxes plotted according to ice thickness (left column) and snow depth (right**
- 6 **column) for the North Pole (top) and Beaufort Sea (bottom) regions.**

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2 **Figure A1. Ice fraction in the 5 thickness categories defined in Appendix A, estimated from submarine data**  
 3 **compiled by Rothrock et al. (2008) for the North Pole (solid lines) and Beaufort Sea (broken lines) regions.**

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