The Brazilian Earth System Model version 2.5: Evaluation of

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its CMIP5 historical simulation

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5	Sandro F. Veiga ¹ , Paulo Nobre ² , Emanuel Giarolla ³ , Vinicius Capistrano ⁴ , Manoel
6	Baptista Jr. ² , André L. Marquez ² , Silvio Nilo Figueroa ² , José Paulo Bonatti ² , Paulo
7	Kubota ² , Carlos A. Nobre ⁵
8	
9	¹ Earth System Science Center-CCST, National Institute for Space Research (INPE), São
10	José dos Campos 12227-010, São Paulo, Brazil
11	² Center for Weather Forecasting and Climate Studies-CPTEC, National Institute for
12	Space Research (INPE), Cachoeira Paulista 12630-000, São Paulo, Brazil
13	³ Center for Weather Forecasting and Climate Studies-CPTEC, National Institute for
14	Space Research (INPE), São José dos Campos 12227-010, São Paulo, Brazil
15	⁴ Amazonas State University (UEA), Manaus 69005-010, Amazonas, Brazil
16	⁵ CN Research, São José dos Campos 12544-590, São Paulo, Brazil
17	
18	Correspondence to: Sandro F. Veiga (sandro.veiga@inpe.br)
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Abstract

The performance of the coupled ocean-atmosphere component of the Brazilian Earth System Model version 2.5 (BESM-OA2.5) simulating the historical period 1850-2005 is evaluated. Following climate model validation procedure, in which the atmospheric and oceanic main variabilities are evaluated against observation and Reanalysis datasets, the evaluation particularly focuses the mean climate state and the most important large-scale climate variability patterns simulated in the historical run, which is forced by observed greenhouse gas concentration. The most significant upgrades in the model's components are also presented briefly. BESM-OA2.5 is able to reproduce the most important large-scale variabilities, particularly over the Atlantic (e.g. the North Atlantic Oscillation, the Atlantic Meridional Mode and the Atlantic Meridional Overturning Circulation) and the extratropical modes that occur in both hemispheres. The model's ability in simulating large-scale variabilities indicates its usefulness for seasonal climate prediction and climate change studies.

1. Introduction

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Climate Models and their recent extension to become Earth System Models, by the inclusion of biogeochemical cycles, are key tools to investigate climate phenomena which greatly influence human societies (e.g. von Storch, 2010; Flato, 2011). Since 2008 the Brazilian climate community has been engaged in setting up the Brazilian Earth System Model (BESM; Nobre et al., 2013; Giarolla et al., 2015); a major scientific task which has been carried out by Brazilian scientific institutions invoking the critical need to address reliable future climate projections and their potential impacts, particularly over South America. The primary objective encompassed in this effort is to build up the scientific expertise capable to develop and maintain a state-ofthe-art Earth System Model. Such an achievement would represent a significant step forward in establishing a scientific tool which can be used in different arrays of research activities. The importance of such undertaking lies in the understanding of the physics of the Earth system to produce and confer credibility to studies of impacts of climate change in different areas of great importance; such as food and water security, tropical ecosystems, natural disasters, and so on. One of the primordial aims of the BESM project is to participate in the Coupled Model Intercomparison Project's sixth phase (CMIP6; Meehl et al., 2014).

The Brazilian Earth System Model (BESM) has been set up at the Brazilian National Institute for Space Research (INPE). At present, it consists of a land-ocean-atmosphere coupled model, in which the coupling is done through the Flexible Modeling System (FMS) coupler, developed at the Geophysical Fluid Dynamics Laboratory (GFDL) of the National Oceanic and Atmospheric Administration (NOAA).

- 1 The inclusion of aerosols (as read-in fields) and atmospheric chemistry components are
- 2 in the phase of implementation and tests. Currently, work has been done on activate the
- 3 biogeochemical model (TOPAZ) within the MOM5 in order to simulate biogeochemical
- 4 cycles in future simulations.

The previous version of BESM (BESM-OA2.3) was firstly evaluated in Nobre et al. (2013). This version showed a significant bias on precipitation in the tropical region, with a deficient representation of precipitation in the Amazon region. In order to improve these aspects, studies were conducted to ameliorate cloud parameterizations over the tropics, which improved the precipitation over the same region and the representation of Convergence Zones over both the Atlantic and Pacific Ocean basins (Bottino and Nobre, 2018). Main changes of the current version relate to BESM's atmospheric model, with modifications in the surface wind field and its parameterizations, described in Capistrano et al. (2018). The updated version presented in this manuscript is BESM-OA2.5.

From the operational point of view, BESM-OA2.3 is already being used for extended weather forecast (10-30 days) to seasonal climate prediction (three months), as well as for producing global climate change scenarios (Nobre et al., 2013) and to provide atmospheric and oceanic boundary conditions to regional climate models for dynamical downscaling of climate change scenarios (Chou et al., 2014).

This overview paper describes the most important developments and improvements in the model components, presenting the simulation of recent past mean climate conditions and major large-scale climate phenomena. In section 2 the BESM-OA2.5 components and experimental design are briefly described; section 3 presents the

- 1 methodology and the observed data used to evaluate the model; section 4 presents the
- evaluation of the historical simulation, in which are evaluated the most important
- 3 atmospheric and oceanic variables regarding to their climatological fields and the
- 4 prominent large-scale phenomena of the climate system; finally, section 5 presents the
- 5 summary.

6 2 Model Description and Simulation Experiment Design

2.1 BESM-OA2.5

The atmospheric component of BESM-OA2.5 is the Brazilian Global Atmospheric Model (BAM; Figueroa et al., 2016) developed at Center for Weather Forecasting and Climate Studies (CPTEC/INPE). It is a primitive equation model with spectral representation with triangular truncation at the wave number 62, corresponding to a grid resolution of approximately 1.875° × 1.875°, and 28 sigma levels in the vertical, with uneven increment between the levels, i.e. T62L28 resolution. As mentioned before, it is in the atmospheric component which resides the main differences between BESM-OA2.5 and BESM-OA2.3 (Nobre et al., 2013). The new version shows a key improvement in the energy balance at the top of the atmosphere, by reducing the mean global bias from -20 W m⁻² in version BESM-OA2.3 to -4 W m⁻² in the current version (Capistrano et al. 2018). Version 2.5 of BESM incorporates the formulation presented in Jiménez et al. (2012) for the representation of the wind, humidity and temperature in the surface layer. The model runs without flux correction or adjustment. The physics parameterizations for the continental processes are based on the Simplified Simple Biosphere Model (SSiB) land surface model (Xue et al., 1991), in

shortwave radiation Clirad scheme (Tarasova et al. 2007; Chou and Suarez 1999), in longwave radiation Harshvardhan scheme (Harshvardhan et al., 1987), in Cloud microphysics Ferrier scheme (Ferrier et al. 2002), in the turbulence level 2 module (Mellor and Yamada, 1982), in the gravity wave module (Anthes, 1977), in the deep convection module (Arakawa and Schubert, 1974; Grell and Dévényi, 2002), and in the shallow convection module (Tiedtke, 1983). More details can be found in Figueroa et al. (2016) and in Capistrano et al. (2018).

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The oceanic component of BESM-OA2.5 is the Modular Ocean Model version 4p1 (MOM4p1; Griffies, 2009) developed at GFDL, which includes the Sea Ice Simulator (SIS) built-in ice model (Winton, 2000). There are no changes in the physics parameterizations from those used in BESM-OA2.3. The horizontal grid resolution in the zonal direction is 1° and in the meridional direction it varies uniformly from 1/4° between 10° S and 10° N to 1° of resolution at 45° and to 2° of resolution at 90°, in both hemispheres. The vertical resolution has 50 levels with approximately 10 m resolution in the upper 220 m, increasing gradually to about 370 m resolution at deeper levels. The oceanic model spin-up was done in a manner similar to that of Nobre et al. (2013) and Giarolla et al. (2015), in which is begin the spin-up run from rest, and the T-S structure of the oceans of Levitus (1982). The initial stage of the ocean model spin-up was done over a 13 years period, forced by climatological atmospheric fields (winds, solar radiation, air temperature and humidity, and precipitation). It was then integrated by an additional 58 years period, forced by interannually varying atmospheric fields from Large and Yeager (2009), while the river discharges and the sea ice variables were kept at their respective monthly mean climatological values. The forced ocean model run

- 1 was used to save the oceanic dynamical and thermodynamical structures in order to be
- 2 used in the initialization of future coupled model experiments.
- The atmospheric and oceanic models are coupled via the Flexible Modeling 3 4 System (FMS) coupler, which was also developed at GFDL and incorporated in MOM4p1. The atmospheric model receives SST and ocean albedo from the ocean and 5 sea ice models at hourly time steps. On the other hand, the oceanic model receives 6 information about freshwater (liquid and solid precipitation), momentum fluxes (winds 7 8 at 10 m), specific humidity, heat, vertical diffusion of velocity components and surface pressure, all also at hourly time steps. Wind stress fields are computed within MOM4p1 9 10 using Monin-Obukhov scheme (Obukhov, 1971). In coupled simulations, the ocean 11 temperature and salinity restoration options are turned off.

2.2 Experiments design

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- A set of numerical experiments were carried out with the coupled oceanatmosphere version of BESM-OA2.5, following the CMIP5 experiment design protocol (Taylor et al., 2012), and shown schematically in Figure 1. Out of those experiments listed below, only the Historical simulation is evaluated in this paper:
- Historical: the simulation runs over the period 1850–2005 (156 years), forced by
 atmospheric equivalent CO₂ observed historical concentration (greenhouse gas
 only) over this period, based on CMIP5 protocol.
- piControl: it runs for 1140 years, forced by invariant pre-industrial atmospheric
 CO₂ concentration level (280 ppmv).
 - Abrupt 4×CO2: it runs for 1000 years, consisting of an abrupt quadruplication of

- the atmospheric CO_2 concentration level from the piControl simulation.
- RCP4.5: it runs over the period 2006–2105 (100 years), forced by the time series of greenhouse gases level projected by the Representative Concentration Pathways 4.5 (RCP4.5), based on CMIP5 protocol. This simulation continues the historical simulation throughout the 21th century, reaching the radiative atmospheric forcing of 4.5 W m⁻² in 2100.
 - RCP8.5: same as the RCP4.5 simulation, but forced by the time series of greenhouse gases level projected by the Representative Concentration Pathways
 8.5 (RCP8.5), based on CMIP5 protocol; i.e., reaching the radiative atmospheric forcing of 8.5 W m⁻² in 2100.

The ocean stand-alone runs for 71 years (13 years period of ocean model spin-up forced by climatological atmospheric fields plus 58 years period forced by interannually varying atmospheric fields). Then a spin-up of the fully coupled model is done for 100 years. The ocean and atmosphere states at the end of this 100 years long integration are used as the initial condition for the piControl simulation. The piControl simulation shows stable conditions after a fast adjustment over the first 13 years of simulation (figure not shown). The analysis of the piControl and 4×CO2 simulations are described in Capistrano el al. (2018) and Nobre et al. (2018, in preparation). Capistrano et al. (2018) estimates that BESM-OA2.5 has an equilibrium climate sensitivity of 2.96 °C for the abrupt 4×CO2 experiment. This value is within the range from 2.07 to 4.74 °C that has been computed for 25 CMIP5 models and close to the ensemble averaged value (3.30 °C).

1 3. Methods and Data

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2	To evaluate the outputs of the BESM-OA2.5 historical simulation, comparisons
3	are done against observed datasets and Reanalysis products. The atmospheric fields are
4	from the Twentieth-Century Reanalysis dataset version 2 (20CRv2; Compo et al., 2011)
5	with a global horizontal resolution of 2° \times 2° and 24 vertical levels
6	(https://www.esrl.noaa.gov/psd/data/gridded/data.20thC_ReanV2.html); the
7	precipitation dataset is obtained from Global Precipitation Climatology Project version
8	2.2 Combined Precipitation Dataset (GPCP; Adler et al., 2003; Huffman et al., 2009)
9	with global horizontal resolution of 2.5° \times 2.5°
10	(http://rda.ucar.edu/datasets/ds728.2/#!description) and from the CPC Merged Analysis
11	of Precipitation (CMAP; Xie and Arkin, 1997) with global horizontal resolution of 2.5°
12	$\times~2.5^{\circ}$ (https://www.esrl.noaa.gov/psd/data/gridded/data.cmap.html); for comparison of
13	the global average air surface temperature, it is used the Hadley Centre-Climate
14	Research Unit Temperature Anomalies version 4 (HadCRUT4, Morice et al., 2012),
15	globally averaged air temperature anomaly at 2 meters time series
16	(https://crudata.uea.ac.uk/cru/data/temperature/); the cloud cover is compared to data
17	from The International Satellite Cloud Climatology Project (ISCCP D2; Rossow and
18	Schiffer, 1999) with global horizontal resolution of 2.5° \times 2.5°
19	(https://isccp.giss.nasa.gov/products/onlineData.html); finally, for Sea Surface
20	Temperature (SST) comparisons it is used the Extended Reconstructed Sea Surface
21	Temperature version 4 (ERSSTv4, Huang et al., 2015) available on a $2^{\circ} \times 2^{\circ}$ grids
22	resolution (https://www.esrl.noaa.gov/psd/data/gridded/data.noaa.ersst.v4.html).

To identify the main modes of climate variability, all analyses presented in the

- paper are done using detrended data sets anomalies. Detrended data sets are obtained by
- 2 removing the linear trend based on a least squares regression. Analysis using monthly
- data sets, the annual cycle was removed by subtracting climatological monthly means
- 4 from the respective individual month. Prior to performing the analysis, the model's data
- 5 sets were interpolated to the grid resolution of the respective observation or Reanalysis
- 6 data sets used for comparison.

The Empirical Orthogonal Function analysis (EOF; Hannachi et al., 2007) is used to analyze the capacity of the model in simulating major modes of climate variability and compare them with observations. Prior to performing the EOF calculations, the data were weighted by the square root of the cosine of latitude. The results of the EOF maps are shown as the original data anomalies regressed onto the normalized Principal Component (PC) time series, i.e. by the standard deviation.

In this paper, in order to evaluate the periodicity of the phenomena, it is applied the power spectrum technique based on Fourier Analysis on the normalized time series, in which the normalization is done by their long-term monthly standard deviation.

To have a better insight of BESM-OA2.5 performance of the global average near-surface air temperature and on the average SST along both equatorial Pacific and Atlantic, a comparison with 11 CMIP5 models is carried out. Since BESM-OA2.5 historical simulation is forced only by observed CO₂ equivalent concentration, for the comparison it is chosen the historical simulation forced only by greenhouse gas (historical GHG) shown in Table 1.

1 4. Results

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4.24.1 Mean Climate State

In this section, the most important atmospheric and oceanic variables are evaluated regarding their climatological fields, either globally or over regions in which their representation are key elements of the climate system.

4.1.1 Mean Surface Air Temperature

The evolution of global surface air temperature throughout the industrial era is a key element to analyze the long-term model behavior while being forced by the observed conditions. The HadCRUT4 observation and BESM-OA2.5 time series of the globally averaged air temperature anomaly at 2 meters are shown in Figure 2. The time series are annual mean anomalies relative to the period 1850–1879. BESM-OA2.5 simulation of the global average surface air temperature evolution follows closely the observed time series. However, since BESM-OA2.5 does not have the representation of aerosols and consequently its cooling effects, the rate surface air warming should be higher similarly to the remaining models (the grey shadow in Figure 2). In order to compare BESM-OA2.5 with the selected CMIP5 models, the grey shadow represents the spread of the minimum and the maximum values of anomalies at each year among the 11 models (Table 1). In this comparison, it is used the historical GHG simulation, in which the models are only forced by well-mixed greenhouse gases (mainly carbon dioxide, methane, and nitrous oxides), without the cooling resulting from the direct and indirect effects of aerosols, volcanos and effects of the land use change. Thus, the CMIP5 models show a warmer tendency compared with the observations (see Jones et al., 2013 for more details). Although BESM-OA2.5 has the same forcing conditions it does not show the warming tendency of remaining models. With exception of GFDL-ESM2M (1861–2005) and HadGEM2-ES (1860–2005), all the remaining CMIP5 models span their simulations throughout the period 1850–2005 and their respective anomalies are from the period 1850–1879. For GFDL-ESM2M and HadGEM2-ES, the anomalies are computed relative to the periods 1861–1890 and 1860–1889, respectively.

The net radiation at the top of atmosphere (TOA) has a negative bias and net of the ocean/atmosphere heat flux has a positive bias (Fig. 3). The net radiation at TOA has a mean value of -4.20 W m⁻² and the net ocean/atmosphere heat flux has a mean value of 1.16 W m⁻² in the first 50 years. Throughout the simulation the net radiation at TOA becomes less negative due to the increasing CO₂ on the atmosphere and consequential increasing atmospheric heat content. Part of this heat is transferred into the ocean as positive net of the ocean/atmosphere heat flux increasing indicates. The negative net radiation at TOA and the positive ocean/atmosphere heat flux are likely the reason for the weak warming observed in the Historical simulation (Fig. 2), since the atmosphere is losing heat to the outer space and into ocean during the simulation.

4.1.2 Mean Precipitation

One of the key points in evaluating a Climate Model is to gauge its ability to simulate the hydrological cycle due to its importance to the energy balance of the climate system. Figure 4 shows the spatial distribution of annual mean precipitation for (a) BESM-OA2.5, (b) GPCP dataset, and the spatial distribution of annual mean precipitation bias (c) for BESM-OA2.5 relative to the GPCP dataset and (d) for BESM-

OA2.5 relative to the CMAP dataset. The spatial annual mean precipitation are averaged 1 values over the periods 1971-2000 and 1979-2008, for BESM-OA2.5, and GPCP and 2 CMAP datasets, respectively. The global model's mean biases are similar for GPCP 3 (0.3 mm day⁻¹) and CMAP (0.4 mm day⁻¹). In the case of the global model's rmse 4 biases, they are also similar for GPCP (1.4 mm day⁻¹) and CMAP (1.5 mm day⁻¹). 5 BESM-OA2.5 is able to reproduce global observed patterns of precipitation and 6 indicates a slight improvement in the global mean precipitation simulation compared 7 8 with the previous version (BESM-OA2.3). The spatial average biases are 0.3 mm day⁻¹ and 0.5 mm day⁻¹, and the rmse are 1.4 mm day⁻¹ and 1.7 mm day⁻¹ for BESM-OA2.5 9 and BESM-OA2.3, respectively. The improvements are particularly seen in the Pacific 10 and Atlantic Ocean areas, where BESM-OA2.5 reduces the positive bias that extends to 11 12 subtropical southeast Pacific and both north and south Atlantic subtropics observed in 13 BESM-OA2.3 (see Fig. 6a of Nobre et al., 2013). Despite these improvements, BESM-OA2.5 still generates a strong negative bias over the Amazon region. This is a particular 14 15 concern since an important aim is related to the model for future climate projections in the region. Based on the progress observed from BESM-OA2.3 to BESM-OA2.5, work 16 on cloud parametrizations that can improve the precipitation over the Amazon is still 17 18 carried out. Nevertheless, some state-of-the-art models show deficiencies in generating precipitation over the Amazon region. This is the case of the IITM-ESM (Fig. 5; 19 Swapna et al., 2018), although the bias is more confined to the north of the Amazon and 20 NESM that has a more distributed bias over the region (Fig. 9; Cao et al., 2018). The 21 22 Indian subcontinent region also has a significant negative bias and strong positive bias appears over the Indian Ocean and in the South Pacific Convergence Zone (SPCZ). 23 24 Such strong positive bias over the Indian ocean (near the African coast) is also

identified in different versions of CCSM model (Fig. 5; Gent et al., 2011).

In order to draw an associated global atmospheric circulation associated with the deficient precipitation over both the Amazon and Indian regions, it is computed the global anomalies of the velocity potential and the divergence of the wind at 200 hPa pressure level, and shown in Figure 5. The velocity potential and divergent wind anomalies are averaged over the period 1971–2000 for BESM-OA2.5 outputs (Fig. 5a), Reanalysis (Fig. 5b) and the difference BESM-OA2.5 minus Reanalysis (Fig. 5c, 5d and 5e). Figure 5c shows anomalous convergence over the Amazonian and Indian regions, resulting of the model's deficient capacity for creating convection and consequently in generating precipitation. Figures 5d and 5e show the velocity potential and wind divergence separated by seasons. For the Amazonian rainfall season, which occurs during MAM, it is possible to observe anomalous convergence at high levels of the atmosphere (Fig 5d). The equivalent result is observed for the Indian region for the JJA season (Fig. 5e).

Figure 6 shows zonally averaged precipitation during the four seasons. For this comparison, results of BESM-OA2.3 used in Nobre et al. (2013) are also shown. Both versions are able to reproduce the maximum peaks of precipitation in both tropical and subtropical regions. BESM-OA2.5 shows a negative bias from around 40° latitude poleward in both hemispheres. In the seasons DJF, JJA and SON, BESM-OA2.5 has a positive bias on the peak of maximum precipitation corresponding to the ITCZ. In MAM season the model still fails to perform the interhemispheric transition of the ITCZ. However, the JJA season shows that BESM-OA2.5 is able to do the transition completely, whilst BESM-OA2.3 shows a double ITCZ in JJA and SON seasons. The

double ITCZ problem is one of the most significant biases that persist in climate models (e.g. Hwang and Frierson, 2013; Li and Xie, 2014; Tian, 2015). With the exception of the MAM season, BESM-OA2.5 shows identical zonal precipitation to the observations, although with a generally positive bias. It should be noted that BESM-OA2.5 has a rapid precipitation decline at high latitudes. The model shows peaks of precipitation at the mid-latitudes related to the storm tracks and less precipitation at the subtropics compared to the GPCP dataset.

Figure 7 shows the general characteristics of cloudiness over the globe simulated by the model. In particular, Figure 7a shows that the model underestimates cloudiness in most part of the globe, with significant exceptions of the high latitudes in the boreal hemisphere and in the southern subequatorial regions of the Pacific and Atlantic oceans when compared to observations. Globally, BESM-OA2.5 has a cloudiness negative bias of –13.9 % with a root-mean-square-error of 19.9 %. The periods used are 1971–2000 and 1984–2009 for BESM-OA2.5 and ISCCP, respectively. The model fails to generate clouds in the high latitudes of the austral hemisphere, as can be observed in Figure 7b, where the percentage of cloud cover is negligible. The reason for such lack of simulated cloudiness in this region is not clear yet. However, through the Figure 7b it is possible to see the meridional variation of cloud cover simulated by the model is similar to the observation.

4.1.3 Zonal Atmospheric Mean State

Figures 8 and 9 present the analysis of the zonally averaged vertical profiles of air temperature and zonal wind for all seasons simulated by BESM-OA2.5 and the respective bias relative to the 20CRv2 Reanalysis dataset, in which all data are time

averaged over the period 1971–2000. BESM-OA2.5 has a large positive air temperature 1 2 bias that appears above 250 hPa height (Fig. 8) in subpolar and polar regions in all seasons. This result indicates that the model warms abnormally in the tropopause and 3 the lower stratosphere in polar regions. The warm bias is stronger in DJF and MAM 4 seasons over the northern polar region, reaching a maximum bias of 20 °C in the DJF 5 season. Such a bias is a matter of concern since other models, despite present strong 6 bias in the Polar Regions, does not show such a strong bias. BNU-ESM presents 7 8 positive biases up to 10 °C in the austral hemisphere during the season JJA (Fig. 3a; Ji et al., 2014) and NorESM1-M presents negative biases (~ -10 °C) during the seasons DJF 9 10 and JJA (Fig. 9; Bentsen et al., 2013). In the lower and middle troposphere, the model shows a negative temperature bias, which is stronger in the lower troposphere over the 11 polar region in the respective winter-spring seasons in both hemispheres, i.e. DJF and 12 MAM over the North Pole, and JJA and SON over the South Pole. This negative bias 13 reaches its maximum of -10 °C over the South Pole in SON. This negative bias over the 15 troposphere has already been reported to occur in many CMIP5 models (see Charlton-Perez et al., 2013; Tian et al., 2013). 16

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Concerning to the zonal wind, BESM-OA2.5 simulates a much weaker wind speed at the tropopause and stratosphere over the boreal hemisphere, mainly in the DJF season, which has a maximum negative bias of -26 m s⁻¹ at 50-30 hPa (Fig. 9a). This bias is out of the range (-10 m s⁻¹ to 10 m s⁻¹) that some models presents, as NorESM1-M (Fig. 10; Bentsen et al., 2013) or NESMv3 (Fig. 10d; Cao et al., 2018). The tropospheric jets and their seasonal migration are reasonably well simulated, although the eastward wind is stronger at subtropics with the maximum positive bias of 12 m s⁻¹

1 occurring at 300–100 hPa in the MAM season.

4.1.4 Ocean Mean State

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The global distribution and the range values of the sea surface temperature 3 (SST) are important characteristics of the mean climate state. Figure 10 shows the 4 spatial map of the annual mean SST for (a) BESM-OA2.5, (b) ERSSTv4 and (c) the 5 bias for BESM-OA2.5 relative to the ERSSTv4 dataset. BESM-OA2.5 has a warm SST 6 7 bias which spreads throughout all oceans, contrasting with the negative biases which most of the CMIP5 models show over the North Pacific and North Atlantic oceans (see 8 9 Wang et al., 2014). However, the extreme values found in the south of Greenland and in the North Pacific, where it reaches ~6 °C, is well within the range of biases reported by 10 other models, as NorESM1-M (Fig. 12b; Bentsen et al., 2013) or IITM-ESM (Fig. 3; 11 12 Swapna et al., 2018). Such warm bias does not appear in the tropical and subtropical regions in the BESM-OA2.3 simulation (Fig. 5a of Nobre et al., 2013), where there are 13 cold SST biases. The spatial average biases are 1.5 °C and 0.9 °C, and the rmse are 1.9 14 °C and 2.1 °C for BESM-OA2.5 and BESM-OA2.3, respectively. A notable feature of 15 BESM-OA2.5 is its strong warm SST bias in the North Pacific and in the Californian 16 coast, and south of Greenland. The model still overestimates SSTs in the major eastern 17 coastal upwelling regions. Such a feature is a systematic error observed in different 18 state-of-the-art models, in which the causes can be related to a simulation of a weaker 19 20 than observed alongshore winds which leads to an underrepresentation of upwelling and alongshore currents (e.g. Humboldt, California and Benguela Currents), and/or the 21 under predicted effects of shortwave radiation due to deficient simulation of 22 23 stratocumulus clouds over cold waters (see Richter, 2015). Nevertheless, the bias is

negligible over the north equatorial Pacific and in large parts of tropical western

Atlantic.

Figure 11a shows the mean SST along equatorial Pacific for BESM-OA2.5 and 3 ERSSTv4, averaged over the period 1971–2000. The equatorial region is defined over 4 the region between the latitudes 2° S and 2° N. The model simulates a warmer mean 5 SST over the western and extreme eastern parts of the equatorial Pacific Ocean. This 6 7 positive bias is most notable in the western part, where it is about 1.5-2 °C warmer than observations and is warmer than the CMIP5 models (shown by the shaded grey area in 8 Figure 11a). But for the extreme eastern part of the basin, the model has a lower bias 9 compared with the CMIP5 models. For most of the central Pacific Ocean, the model has 10 a very good representation of the SST, with a RMSE of 0.14 °C between 160 °E and 11 12 120 °W. The annual cycle of the equatorial Pacific SST anomalies for BESM-OA2.5 and ERSSTv4 are shown in figure 11b and c, respectively. BESM-OA2.5 simulates 13 reasonably well the marked annual cycle which occurs on the eastern Pacific, although 14 15 the negative SST anomalies between July and December are up to 1 °C colder than 16 observations. The propagation of the SST anomaly patterns from the eastern to the western part of the Pacific Ocean that occurs throughout the year is not well captured by 17 the model. BESM-OA2.5 shows an annual cycle in the western part of the Pacific 18 Ocean, where observations show a semiannual pattern of SST anomalies. The same 19 methodology is used for the tropical Atlantic. Figure 12a shows that in the Atlantic 20 basin there is a significant bias of ~3 °C in the eastern part of the basin. This bias starts 21 in the central Atlantic and it is higher than the CMIP5 models (shown by the shaded 22 23 grey area in Figure 12a). However, it should be noted that the CMIP5 models also have a warm bias in the eastern part of the tropical Atlantic, which is a problem discussed in 24

previous studies (e.g. Richter et al., 2014 and references therein). Although this warm bias, the tropical Atlantic seasonal SST variation is well simulated by BESM-OA2.5 in particular on the eastern side of the basin, as it can be seen in Figures 12b and c.

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To evaluate how the global ocean profile evolves throughout the simulation, it is computed the depth-time Hovmöller diagrams of global mean ocean temperature and salinity departures from their respective initial conditions (Fig. 13). Here initial conditional means the value of the first year of simulation, in this case, the year 1850. The prominent warming occurs from the surface up to 400 m depth (Fig. 13a). This warming is more significant at the end of the simulation (~0.6 °C comparing with initial conditions) and is likely to be related to the global warming of the planet and consequential increasing heat flux from the atmosphere into the ocean. In deeper waters, from 1500 m up to the ocean floor, there is a weaker warming, indicating that the ocean is gaining heat mainly in the upper layers. Between 500-1500 m depth, it is observed a cooling tendency respective to initial conditions. The ocean salinity slightly increases below 1000 m depth and from 1935 the increase reaches 0.04 PSU between 1500 and 3000 m depth compared with the initial values (Fig. 13b). Above 1000 m depth there is a significant freshening of the ocean waters, with the surface waters salinity decreasing up to 0.18 PSU at the end of the simulation. Such tendency can mean that the ocean is still drifting from its initial conditions in the Historical simulation.

The meridional overturning circulation (MOC) plays an important role in transporting heat from the tropics to higher latitudes of both hemispheres. This is particularly important in the North Atlantic, where the Atlantic Meridional Overturning Circulation (AMOC) has a profound impact on the climate of the surrounding

continents (see Buckley and Marshall, 2015). The AMOC in the BESM-OA2.5 1 historical experiment has the typical structure described in Lumpkin and Speer (2007), 2 with the main layers well depicted in the appropriated depths (Figure 14a). The annual 3 mean maximum AMOC strength simulated by BESM-OA2.5 is about 15 Sv (1 Sv $\equiv 10^6$ 4 m³ s⁻¹) between 25° N and 30° N at about 850 m depth (see Figure 14a). This maximum 5 value is within the 17.2 ± 4.6 Sv mean strength (with a 10 day filtered root mean square 6 variability of 4.6 Sv) observed by the project RAPID at 26.5° N (McCarthy et al., 7 8 2015). It is also in the range of maximum volume transport strength simulated by the state-of-the-art models of the CMIP5 (Weaver et al., 2012; Cheng et al., 2013). Figure 9 14b shows the maximum annual mean AMOC strength time series for the historical 10 period at the 30° N. For comparison, Figure 14c plots the AMOC maximum volume 11 transport strength measured by the Rapid project over the period April/2004 to 12 13 October/2015 (http://www.rapid.ac.uk/rapidmoc/rapid_data/datadl.php).

Averaging the maximum AMOC strength over the first and the last 30 years of the time series, i.e. over the periods 1850–1879 and 1976–2005 respectively, the result shows a decrease of 11.2 %, from 16.9 Sv to 15.1 Sv in each period, respectively. Modeling results indicate that the AMOC has a multidecadal cycle, however the power spectrum of its strength time series do not show a multidecadal oscillation (not shown). The standard deviation of the detrended maximum AMOC strength time series is 1.4 Sv.

4.2 Climate Variability

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In this section, we evaluate the most prominent global climate variability patterns. This allows us to infer the ability of the model in simulating atmospheric

1 internal and ocean-atmosphere coupled variabilities in the climate system correctly.

2 **4.2.1 Tropical Variability**

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4.2.1.1 El Niño-Southern Oscillation

The El Niño-Southern Oscillation (ENSO) in the equatorial Pacific Ocean is one 4 of the most prominent climate variability phenomena at interannual time scales 5 6 (Dijkstra, 2006), with strong impacts on regions surrounding the Indian and Pacific 7 Oceans and regions that are influenced by its teleconnections (see McPhaden et al., 2006 and references therein). There are many methods to evaluate the ENSO variability. 8 9 In the present study, it is applied the EOF to detrended monthly SST anomalies over the tropical Pacific ocean (30° S-30° N; 240°-70° W) for the period 1950-2005 for both 10 BESM-OA2.5 simulations and ERSSTv4 data. Figures 15a and b show the leading EOF 11 patterns associated with the El Niño/La Niña variability. The model simulates the El 12 Niño/La Niña variability deficiently, with lower amplitude of SST variability and the 13 14 center of maxima variability confined to the eastward part of the basin. The model's 15 leading EOF explains 17.9 % of the total variance, substantially less than the 45 % explained by observations. The lower amplitude of the simulated El Niño/La Niña can 16 17 be verified in the power spectrum of the leading Principal Component (PC) shown in Figure 15. Even though the simulation shows two significant peaks between 2–4 years 18 cycle (Fig. 15c), which is within the range of the period cycle given by the leading PC 19 of observations (3–7 years cycle; figure 15d), the amplitude of the simulated variance is 20 lower than that of observations. 21

Figure 16 shows the spatial correlation between detrended monthly anomalies of

the Niño-3 index (defined inside the black rectangle area, bounded by 5° S-5° N, 90°-150° W) and detrended monthly anomalies of global SST computed for BESM-OA2.5 and ERSSTv4 over the period 1900-2005. The model has not a strong correlation at grid points inside the Niño-3 area, which is a signal that the El Niño/La Niña spatial pattern is weakly simulated. The horseshoe pattern of negative correlation observed in the Pacific ocean is also weakly simulated by the model, particularly in the westward equatorial part. The positive correlation of observed SST over the Indian ocean and Niño-3 index is absent in the model's simulation. It is worth mentioning that the model simulates the observed correlation pattern of SST anomalies over the Atlantic Ocean with Ninõ-3 index, although it is not so robust (Figure 16).

4.2.1.2 Atlantic Meridional Mode

The leading modes of coupled ocean-atmosphere variability over the Tropical Atlantic ocean are the zonal mode, also referred as equatorial mode (Zebiak, 1993; Lutz et al., 2015), and the meridional mode, also referred as the interhemispheric mode (Nobre and Shukla, 1996). The first is an ENSO-like phenomenon that emerges in the Gulf of Guinea mainly in the boreal summer and has a strong impact on West African precipitation (Zebiak, 1993; Lutz et al., 2015). The second is characterized by a crossequatorial SST gradient associated with a meridional wind stress toward the warmer SST anomalies. The maxima amplitude of the meridional mode occurs during the boreal spring, influencing the precipitation in Northeast Brazil and West Africa (Nobre and Shukla, 1996; Chang et al., 1997; Chiang and Vimont, 2004). The Atlantic Meridional Mode (AMM) has an interannual and decadal temporal scale of variability and is a result of a thermodynamic coupling between the wind speed, the sea surface

evaporation induced by the wind stress, and the SST, mechanism known as Wind-1 Evaporation-SST feedback (WES feedback, Xie and Philander, 1994; Chang et al., 2 1997; Xie, 1999). To evaluate the AMM simulations, a joint EOF of SST and wind 3 stress (Taux and Tauy) fields analysis is computed, as such a variability is the response 4 of a coupled ocean-atmospheric system. Figure 17 shows the AMM simulated by 5 BESM-OA2.5, and obtained by observed data. The AMM pattern simulated by the 6 7 model is similar to obtained from observations, regardless of the weaker gradient pole at 8 the South Atlantic. Nevertheless, the explained variance by the model is very close to the observed one, being respectively, 10.7 % and 11.8 %. The patterns shown in Figure 9 17 are defined as a positive phase of the AMM, with the inter-hemisphere cross-10 equatorial wind from south to north, and with corresponding negative SST anomalies 11 over the southern pole and positive SST anomalies over the northern pole (the negative 12 phase of AMM is the reverse pattern). Over the second half of the 20th century, the 13 AMM shows a predominant decadal periodicity of 11–13 years. Figures 17c and d show 14 15 the power spectrum of the PC of the AMM patterns simulated by the model and from 16 the observation, respectively. It is possible to see that the pattern simulated by BESM-OA2.5 shows, similarly to the observed one, a predominant periodicity at decadal 17 18 timescales.

4.2.1.3 South Atlantic Convergence Zone

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The South Atlantic Convergence Zone (SACZ) is characterized by an intense NW-SE oriented cloud band that extends from the Amazon Basin to the South Atlantic subtropics, mainly during austral summer (Nogués-Paegle and Mo, 1997; Carvalho et al., 2004; de Oliveira Vieira et al., 2013). The formation of the SACZ has a strong

influence on the precipitation over southeast South America and is considered, together 1 2 with the convection activity over the Amazon Basin, the main component of the South American Monsoon System (Jones and Carvalho, 2002). The southern part of the SACZ 3 usually lies over cooler SST (Grimm, 2003; Robertson and Mechoso, 2000). Chaves 4 and Nobre (2004) suggests that the formation of SACZ over the ocean tend to block the 5 solar radiation by clouds, cooling the SST beneath. AGCM are not able to simulate the 6 precipitation over cooler SST caused by SACZ (Marengo et al., 2003; Nobre et al., 7 8 2006; Nobre et al., 2012), since such models tend to increase the precipitation over warmer SST, as an hydrostatic response. Nobre et al. (2012) has shown that coupled 9 10 AOGCMs are able to simulate the SACZ formation over colder SST anomalies, as this class of models englobes the atmosphere-ocean surface thermodynamic coupling. 11 Following Nobre et al. (2012), a correlation between seasonal precipitation and SST 12 anomalies for the austral summer (DJF) over the tropical South Atlantic (40° S-10° N; 13 70° W-20° E) over the period 1979-2010 for observations and for the period 14 15 1971-2002 for the model, so 32 years are used. Figure 18 shows the rainfall-SST 16 anomaly correlation maps for both BESM-OA2.5 and observations. BESM-OA2.5 are able to simulate an inverse correlation between precipitation and SST in the southeast of 17 Brazil (near 20° S), suggesting the capacity of simulating precipitation over cooler SST, 18 a feature related to the formation of SACZ (that tends to cooler the SST). Its noteworthy 19 in Figure 16 that BESM-OA2.5 is capable to generate both positive and negative SSTA-20 rainfall correlations over the equatorial Atlantic (positive, thermally direct driven 21 22 circulation over the equatorial region and negative, thermally indirect driven atmospheric circulation over the SW tropical Atlantic, Figure 18a), a feature also 23 24 present in the observation correlation map of Figure 18b.

4.2.1.4 Madden-Julian Oscillation

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2 The Madden-Julian Oscillation (MJO) is the prominent intraseasonal variability (30-90 days) over the eastern Indian and western Pacific tropical regions and consists on 3 4 events of deep convection coupled to atmospheric circulation that packed propagate together through the equatorial region eastward (Madden and Julian, 1971, Madden and 5 Julian, 1972; Zhang, 2005). The influence of MJO events with large-scale phenomena 6 has been reported, as in the case of the evolution of ENSO (e.g. Takayabu et al., 1999), 7 formation of tropical cyclones (e.g. Liebmann et al., 1994) or in the North Atlantic 8 Oscillation (e.g. Lin et al., 2009). To evaluate the MJO simulated by the model it is 9 10 performed the wavenumber-frequency power spectrum analysis for tropical (10 °S-10 11 °N) averaged daily outgoing long-wave radiation (OLR) and daily zonal wind component at 850 hPa pressure level (U850), for the boreal winter (Nov-Apr) over the 12 period 1971–2000. To compute and plot the wavenumber-frequency power spectrum it 13 is used the MJO Simulation Diagnostic package (details in Waliser et al., 2009). 14 15 Fig. 19a and Fig. 19b show the wavenumber-frequency power spectrum for OLR for BESM-OA2.5 and 20CRv2, respectively. Although BESM-OA2.5 presents an 16 eastward propagating disturbance with wavenumber 1, it is characterized by lower 17 frequency (> 80 days) compared to the maxima peak within 30–80 days frequency band 18 shown by the 20CRv2, despite it spreads over lower frequencies than 80 days. This 19 observed peak has more energy for wavenumber 2. A westward propagating disturbance 20 21 (negative frequencies) with weaker energy than the eastward propagating counterpart appears in 20CRv2, with a peak for wavenumber 2. Similarly, BESM-OA2.5 also 22 shows a westward propagating disturbance with weaker energy for wavenumber 1–3. 23

The wavenumber-frequency power spectrum for U850 in 20CRv2 shows an eastward propagating disturbance which peaks at the 30-80 days frequency band with wavenumber 1 (Fig. 19d). In the case of BESM-OA2.5 there is an eastward propagation with a periodicity slightly higher than 80 days for wavenumber 1 but this disturbance spreads over different frequencies out of the 30-80 days frequency band (Fig. 19c). It also presents a westward propagating disturbance that is absent in the Reanalysis. BESM-OA2.5 poorly simulates the MJO and underestimates its amplitude. However, MJO has been highlighted as a phenomenon that climate models struggle to simulate in a proper way, especially by underestimate OLR and representing a coherent eastward propagation (Kim et al., 2009; Ahn et al., 2017).

4.2.2 Extratropical Variability

4.2.2.1 North Atlantic Oscillation

The North Atlantic Oscillation (NAO) is a major atmospheric variability pattern occurring in the North Atlantic, which is characterized by the oscillation of the difference on the sea level pressure (SLP) between Iceland and Portugal (Wanner et al., 2001; Hurrel et al., 2003). NAO has a great impact in the Euro-Atlantic region (Hurrell et al., 2003; Hurrell and Deser, 2009), with the notable work of Namias (1972) relating droughts over the Northeast Brazil to NAO variations. Recent studies also show its teleconnections to the East Asia (e.g. Yu and Zhou, 2004; Wu et al., 2012). The NAO's influence on a rapid climate change in the Northern Hemisphere has been highlighted in (Delworth et al., 2016), which increases the importance of its correct simulation. Since NAO's largest amplitude of variation occurs mainly during the boreal winter, the analysis here is centered on this season. The period used to perform the analyses is

1950–2005. The leading EOF of the SLP averaged for boreal winter season (DJF) in the Euro-Atlantic region shows that the NAO is well simulated by BESM-OA2.5 (Fig. 20a), simulating the NAO dipole centers and their amplitudes very similar to the observed pattern (Fig. 20b). The variances explained by the leading EOF are also similar, 50.2 % and 44 % for BESM-OA2.5 and Reanalysis, respectively. The spectral analysis of the leading PCs shows that BESM-OA2.5 captures the ~2.5 years cycle on the time variability but fails to capture the ~8 years cycle (Fig. 20c and d). It is interesting to note that BESM-OA2.5 simulates a NAO spatial pattern, without capturing its lowfrequency variability. By analyzing the NAO variability, we consider that it is not necessary to analyze the Northern Annular Mode (NAM), since both are manifestation of same mode of variability (Hurrell and Deser, 2009).

4.2.1.2 Pacific-North America Pattern

Jointly, the NAO and the Pacific-North American pattern (PNA) are the dominant atmospheric internal modes over the boreal hemisphere. The PNA is characterized by four centers of high pressure anomalies in the North Pacific and North America, respectively; over Hawaii, to the south of the Aleutian Islands, in the intermountain region of North America, and in the Gulf Coast region of the U.S.A., representing the centers of action of a stationary wave train extending from the tropical Pacific into North America (Wallace and Gutzler, 1981). It exerts a significant influence on surface temperature and precipitation over North America (Leathers et al., 1991). Some studies have shown that, although the PNA is an internal atmospheric variability phenomena, it is influenced by other climate variabilities, as the ENSO and the Pacific Decadal Oscillation (PDO) (see Straus and Shukla, 2002; Yu and Zwiers, 2007).

Similar to NAO, the PNA has its largest variation of amplitude during the boreal 1 2 winter; therefore, the present analysis is performed for this season. Following Wallace and Gutzler (1981), we construct one-point correlation maps for BESM-OA2.5 and 3 4 20CRv2 Reanalysis in order to evaluate the capacity of the model to reproduce the PNA pattern. The one-point correlation maps correlate 500 hPa geopotential height at the 5 reference point (45° N, 165° W) with all the other grid points of the map domain (0°-80° 6 N; 240°-70° W). The time series used to perform the correlations are averaged boreal 7 winter seasonal (DJF) dataset over the period 1950-2005. The time series are departed 8 from their long-term mean and normalized at each grid point prior the correlation 9 computation. Figure 21 shows the one-point correlation maps for BESM-OA2.5 (Fig. 10 21a) and 20CRv2 (Fig. 21b). In this figure, it is possible to check the four centers of 11 12 action simulated by the model, which shows a stronger correlation between the four 13 high pressure centers when compared with reanalysis correlation maps in Figure 21b.

4.2.1.2 Pacific-South America Patterns

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The second and third EOF of 500 hPa geopotential height over the Southern 15 Hemisphere (20°-90° S) present a notable resemblance to the Pacific-South America 16 (PSA) teleconnection pattern (Mo and Peagle, 2001). PSA patterns are stationary 17 Rossby wave trains extending from central Pacific to Argentina, in which the PSA1 18 19 (EOF2) is a response to ENSO and the PSA2 (EOF3) is associated to the quasi-biennial 20 component of ENSO (Karoly, 1989; Mo and Peagle, 2001). These patterns have a significant impact on rainfall anomalies over South America (Mo and Peagle, 2001). 21 Figure 22 shows the PSA patterns both simulated by BESM-OA2.5 and from 22 23 Reanalysis. As the explained variance of EOF2 and EOF3 are close, the EOFs seem to

degenerate for both Reanalysis and model simulation. In order to relax the orthogonality constraint, it is performed a rotated EOF (REOF) retaining the first 10 modes. The REOF2 and REOF3 resemble the EOF2 and EOF3 respectively, implying that they are independent modes. The PSA pattern is well simulated by BESM-OA2.5, although the model changes the order of the EOF patterns. BESM-OA2.5 shows an anomaly south of South Africa (Fig. 22c) that does not appear in the Reanalysis (Fig. 22b). PSA patterns have significant interannual and decadal variabilities (Zhang et al., 2016). PSA patterns simulated by BESM-OA2.5 have only significant variability in the interannual scale, with absent decadal variability (figure not shown).

4.2.1.4 Southern Annular Mode

The Southern Annular Mode (SAM) is the dominant atmospheric variability in the Southern Hemisphere, occurring in the extra-tropics and in the high latitudes (Kidson,1988). It is also referred to as Antarctic Oscillation (AAO; Gong and Wang, 1999). SAM variability is characterized by anomalies variation in the polar low-pressure and in the surrounded zonally high-pressure belt. It can be captured through the first EOF applied to different atmospheric variables, as the sea level pressure, different geopotential height levels or the surface air temperature (Kidson, 1988; Rogers and van Loon, 1982; Thompson and Wallace, 2000). To evaluate the capacity of BESM-OA2.5 to simulate this atmospheric mode of variability, EOF analysis is applied to the monthly mean 500 hPa geopotential height field from 20° S to 90° S, over the period 1950–2005, for both model and Reanalysis. The SAM pattern simulated by BESM-OA2.5 resembles very well the observed pattern, with the mid-latitude 500 hPa geopotential height variation centers depicted in the same longitudes as observations, but with differences in

- the amplitude values (Fig. 23). However, the explained variance is higher compared
- 2 with observation. The explained variances of BESM-OA2.5 and 20CRv2 are 34.1 %
- and 21.0 %, respectively. The SAM is a quasi-decadal mode of variability (see Yuan
- 4 and Yonekura, 2011), however the BESM-OA2.5 power spectrum reveals a SAM with
- a markedly interannual variability, without the peak between 8 and 16 years as obtained
- 6 in the Reanalysis (figure not shown).

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4.2.1.5 Pacific Decadal Oscillation

Observed SST anomalies over the North Pacific have shown an oscillatory 8 9 pattern in the central and western parts in relation to the tropical part and along the North American west coast. This oscillatory shift of SST anomalies with interdecadal 10 periodicity was termed Pacific Decadal Oscillation (PDO) and it is defined as the 11 leading EOF of the monthly SST anomalies over North Pacific (Mantua et al., 1997). 12 13 The positive phase of PDO is defined when negative SST anomalies predominate over the central and western parts of North Pacific, and positive SST anomalies predominate 14 over the Tropical Pacific and along the North American west coast; being the negative 15 phase the reverse pattern. Many studies have connected the PDO with variations on 16 precipitation regimes in different regions around the world, as South China monsoon 17 (e.g. Wu and Mao, 2016), Indian monsoon (e.g. Krishnamurthy and Krishnamurthy, 18 19 2016) and together with ENSO in the precipitation regime in North America (see Hu 20 and Huang, 2009). There are different mechanisms that modulate PDO, in which one of 21 them is the response of the Northern Pacific SST to the ENSO variability via the "atmospheric bridge" (for a detailed review, see Newman et al., 2016). 22

Following the definition (Mantua et al., 1997), the spatial pattern of PDO is

obtained by regressing the SST anomalies onto the leading normalized PC time series, 1 shown in Figure 24 which in this case is showing the positive phase of the PDO. The 2 EOF is applied to monthly SST anomalies over North Pacific (20°-60° N; 240°-110° 3 W) over the period 1900–2005. BESM-OA2.5 is not capable of reproducing this pattern 4 by the leading EOF. The PDO pattern only appears on the second EOF (Fig. 24a), with 5 the explained variance of 14.0 % against 20.5 % of observations. Although the EOF2 6 resembles the PDO mode, the tropical part has a weaker variation than the observation. 7 8 The reason of incapacity of the model in reproducing the PDO as the leading mode of variability is probably due to the model's simulation of weaker ENSO variability, both 9 10 in spatial and temporal scales. These deficiencies may impact the mechanisms that reproduce the PDO, mainly via the "atmospheric bridge" as referred earlier. Figures 25a 11 and b show the normalized PC2 and PC1 time series of BESM-OA2.5 and ERSSTv4, 12 respectively. It is possible to note that both time series present a multidecadal 13 periodicity, but in different time scales as it is confirmed by the power spectrum (Fig. 14 15 25c and d). The power spectrum shows that both time series present interannual 16 periodicity (~5-6 years), with BESM-OA2.5 multidecadal variability strongest spectrum around 15 years, a higher frequency compared with observation (~22 and ~40-45 years). 17

5. Summary

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The capacity of Earth System Models to project a future climate under the conditions given by future scenarios of atmospheric greenhouse gas concentrations can be assessed by how accurate these models are able to reproduce observed climate features. Therefore, the evaluation of how these models perform for the historical period when there are observations to compare with model's calculations represents a key part

- of the Earth System modelling. In this study, BESM-OA2.5 historical simulation is
- evaluated for the period 1850–2005 following the CMIP5 protocol (Taylor et al., 2012)
- 3 with focus on simulations of its mean climate and key large-scale modes of climate
- 4 variability.
- 5 BESM-OA2.5 is an updated version of BESM-OA2.3 (Nobre et al. 2013;
- 6 Giarolla et al. 2015) regarding the atmospheric model, which consists in the new
- 7 Brazilian Global Atmospheric Model (BAM; Figueroa et al., 2016). This new version
- 8 allowed to alleviate a mean global bias of energy balance at the top of the atmosphere of
- 9 -20 W m⁻² to -4 W m⁻². Moreover, systematic errors were reduced in wind, humidity and
- temperature in the surface layer over oceanic regions by the inclusion formulations
- presented by Jiménez et al. (2012).
- The analysis of the mean climate shows that the model is able to simulate the
- 13 general mean climate state. Nevertheless, some significant biases appear at the
- simulation, as a double ITCZ over the Pacific and Atlantic Oceans, some notable
- regional biases in the precipitation field (e.g., over the Amazon and Indian regions) and
- in the SST field (e.g., south of Greenland). Yet, the model has shown an improvement
- in simulating the ITCZ and a reduction in the global precipitation RMSE compared with
- 18 BESM-OA version 2.3. BESM-OA2.5 shows an almost globally positive SST bias,
- which did not occur in version 2.3, however the SST RMSE was slightly reduced in the
- 20 newer version of the model.
- The most relevant climate patterns on interannual to decadal time scales
- simulated by BESM-OA2.5 are compared with the ones obtained from observations and
- 23 Reanalysis. Over the Pacific, the ENSO is simulated with lower amplitude of variability

than the observations and such weak ENSO seems to impact other Pacific variability 1 2 patterns such as the PDO. Conversely, the major phenomena on the Atlantic basin are well represented in BESM-OA2.5 simulations. This is the case for the Tropical Atlantic 3 mode of interhemispheric variability (AMM) that is very well simulated by the model in 4 term of the spatial pattern and temporal variability. It is worth to note that this mode is 5 considered poorly simulated by the models used in the Intergovernmental Panel on 6 Climate Change (IPCC) fifth assessment report (AR5) (Flato et al., 2013). It is also 7 relevant to highlight BESM-OA2.5 ability to represent the enhanced rainfall over cooler 8 waters over the SW Tropical Atlantic, associated with the South Atlantic Convergence 9 10 Zone (SACZ). The capacity of the model in simulating the AMM and SACZ is an important result since one of the main aims is the representation of modes that directly 11 impacts the precipitation over South America. The AMOC reproduced by BESM-12 13 OA2.5 has the meridional overturning structure comparable with the ensemble AMOC simulated by the CMIP5's models. BESM's maximum AMOC strength average value is 14 15 slighter lower than the average value that has been observed by the project RAPID, but well within the range of mean square root variability that is observed. Although the 16 averaged maximum strength AMOC simulated by the CMIP5 models is within the 17 18 mean range square root variability that is observed, most models tend to simulate strong AMOC, with a maximum strength above 20 Sv, and out of the range (Zhang and Wang, 19 2013). The NAO atmospheric variability, which is well simulated by the CMIP5 models 20 (Ning and Bradley, 2016) is also very well simulated by BESM-OA2.5. In the extra-21 22 tropics, BESM-OA2.5 is capable to reproduce fairly well majors variabilities in both Hemispheres, as the PNA, PSA, and the SAM teleconnections patterns, comparable to 23 24 CMIP5 models that reproduce the PNA (Ning and Bradley, 2016) and the SAM (Zheng et al. 2013).

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2 Similarly to Nobre et al. (2013), this study aims to evaluate the BESM-OA2.5 by comparing the most important features of the climate system simulated by the model 3 4 with observations and Reanalysis. The next version of the model (BESM-OA2.8) is already under development. In this new version, the MOM4p1 ocean model has been 5 replaced by the MOM5. Regarding the atmospheric model, new developments have 6 been carried out to improve BAM's capacity, being the most important the inclusion of 7 a scheme of humidity in the planetary boundary layer, a new dynamic core and new 8 cloud cover scheme (Figueroa et al., 2016). This new version of BESM carries the 9 10 challenges of improving the simulation of the precipitation, in particular to alleviate the 11 deficit over the Amazon. The ENSO is the large-scale phenomenon that will receive a scrutiny in order to understand the reasons for a weak variability. The other feature of 12 the model is the weaker warming under the CO₂ equivalent only forcing, relative to 13 other CMIP5 that do not consider the direct and indirect effects of atmospheric aerosols. 14 15 Assuming that BESM-OA2.5 should respond consistently with CMIP5 models, it would underestimate the warming observed in the last decades. However, models can respond 16 in different ways to external forcing, therefore, in the near future, the aim is to carry out 17 a numerical experiment in which the model is forced with observed estimate of aerosol 18 concentration (as read-in field) in order to address to what extension BESM is impacted. 19 In the future, a study comparing the versions 2.5 and 2.8 of the BESM-OA is aimed in 20 21 order to fully report the advances of the modeling work developed in the last couple years. Such a study will give a broader perspective of the technical challenges overcome 22

- throughout this project and assess the improvements achieved in each version of the
- 2 model in simulating the climate system.

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4 Code and data availability

- 5 The BESM-OA2.5 source code is freely available after signature of a license agreement.
- 6 Please contact Paulo Nobre to obtain the source code and data of BESM-OA2.5.

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8 Competing interests

9 There are no competing interests of which the authors are aware.

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11 Acknowledgements

- This research was partially funded by FAPESP (2009/50528-6), FAPESP (2008/57719-
- 9) and by the National Institute of S&T for Climate Change (CNPq (573797/2008-0).
- 14 SFV is supported by a Ph.D. grant funded by CAPES. The authors would like to
- acknowledge Rede CLIMA, FAPESP and INPE for the use of its supercomputer
- facility, which made this work possible. Twentieth Century Reanalysis Project data sets
- 17 (20CRv2) are provided by the U.S. Department of Energy, Office of Science Innovative
- and Novel Computational Impact on Theory and Experiment (DOE INCITE) program,
- 19 and Office of Biological and Environmental Research (BER), and by the National

Oceanic and Atmospheric Administration Climate Program Office. The GPCP 1 combined precipitation data sets were developed and computed by the NASA/Goddard 2 Space Flight Center's Mesoscale Atmospheric Processes Laboratory. The HadCRUT4 3 data sets are provided by the Met Office Hadley Centre and the University of East 4 Anglia/Climatic Research Unit. The ISCCP D2 data sets are provided through the 5 International Satellite Cloud Climatology Project, maintained by the ISCCP research 6 group at the NASA/Goddard Institute for Space Studies. The Extended Reconstructed 7 8 Sea Surface Temperature (ERSSTv4) is provided by the NOAA/OAR/ESRL/PSD. Data from the RAPID-WATCH MOC monitoring project are funded by the Natural 9 10 Environment Research Council. The authors acknowledge the World Climate Research Programme's Working Group on Coupled Modelling, which is responsible for CMIP, 11 and we thank the climate modeling groups (listed in Table 1 of this paper) for producing 12 13 and making available their model output. For CMIP the U.S. Department of Energy's Program for Climate Model Diagnosis and Intercomparison provides coordinating 14 15 support and led development of software infrastructure in partnership with the Global 16 Organization for Earth System Science Portals. This work is part of the Ph.D. thesis of SFV under the guidance of CN and PN. 17

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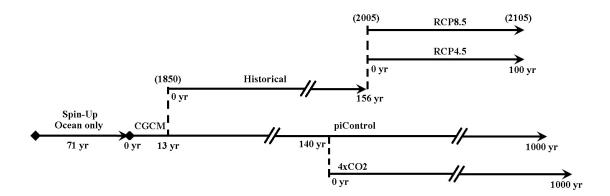
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1 List of Figures

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- 4 Figure 1 The scheme of principal simulations carried out by BESM-OA2.5 using
- 5 different forcing conditions according to CMIP5 protocols. The date for the Historical
- 6 and RCPs simulations are from actual calendar years.

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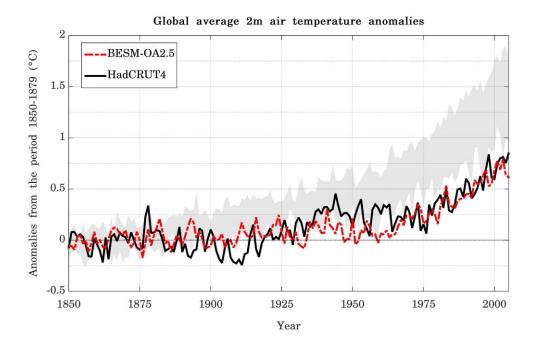


Figure 2 – Global averaged 2-m annual mean air temperature anomalies relative to the period 1850–1879 for BESM-OA2.5 (dashed red line) and observation (solid black line). The grey shadow represents the spread of 11 CMIP5 models (historical GHG simulations). The CMIP5 models anomalies are also computed relative to the period 1850–1879, with exception of GFDL-ESM2M and HadGEM2-ES which anomalies are computed relative to the periods 1861–1890 and 1860–1889, respectively. Units are in °C.

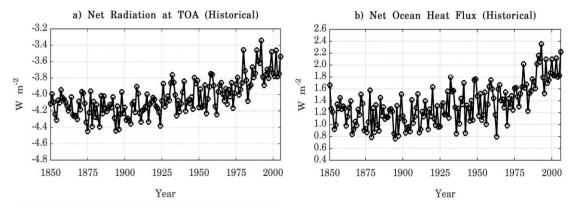
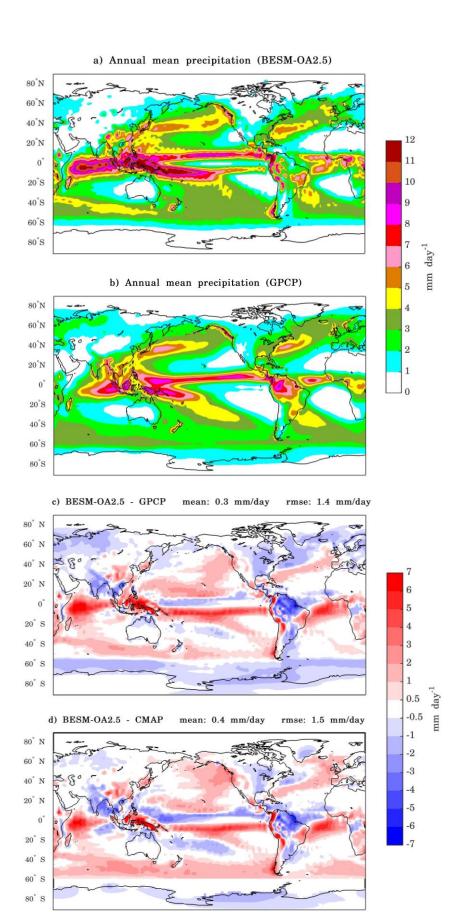


Figure 3 – Annual average time series for the global average (a) net of the radiation at TOA (positive values indicates that the atmosphere is warming) and (b) net of the ocean/atmosphere heat flux (positive values indicates that the ocean is warming), simulated by the Historical run over the period 1850-2005 (156 years).



 $30^{\circ}\mathrm{E}$

 $90^{\circ}\mathrm{E}$

 $150^{\circ}\mathrm{E}$

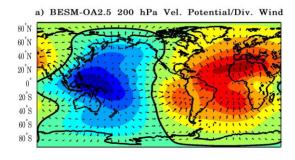
 $150\,{\rm ^{\circ}W}$

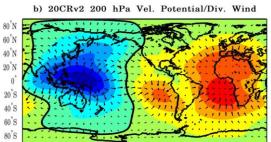
 $90°\mathrm{W}$

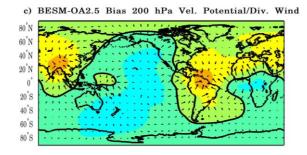
 $30^{\circ} W$

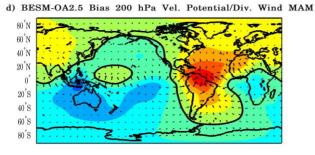
 $30^{\circ} E$

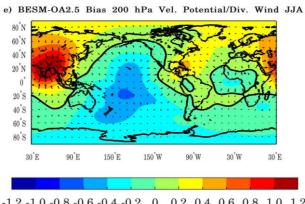
- 1 Figure 4 Spatial map of annual mean precipitation for (a) BESM-OA2.5, for (b)
- 2 GPCP, (c) the bias of BESM-OA2.5 relative to GPCP and (d) the bias of BESM-OA2.5
- 3 relative to CMAP. The averages values are computed over the periods 1971-2000 (for
- 4 BESM-OA2.5) and 1979–2008 (for GPCP and CMAP). Units are in mm day⁻¹.











-1.2 -1.0 -0.8 -0.6 -0.4 -0.2 0 0.2 0.4 0.6 0.8 1.0 1.2 $${\rm m}^2\ {\rm s}^{-1}$$

- 2 Figure 5 Spatial maps with averaged global anomalies of velocity potential and wind
- 3 divergence at 200 hPa pressure level for (a) BESM-OA2.5 and (b) Reanalysis. (c) The
- 4 bias of the model relative to the Reanalysis, (d) and (e) are the bias for MAM and JJA
- 5 seasons, respectively. The averages are computed over the period 1950–2005. Units are
- 6 in m s^{-1} .

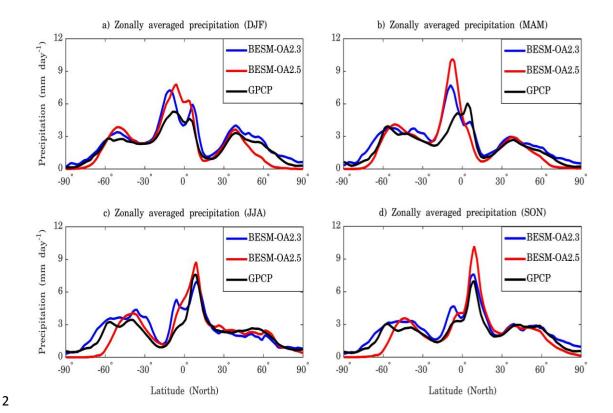
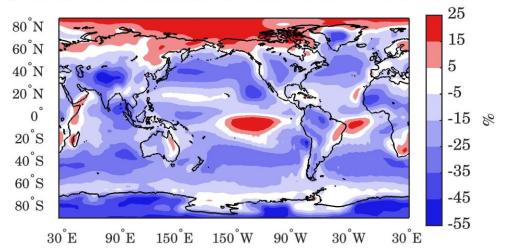
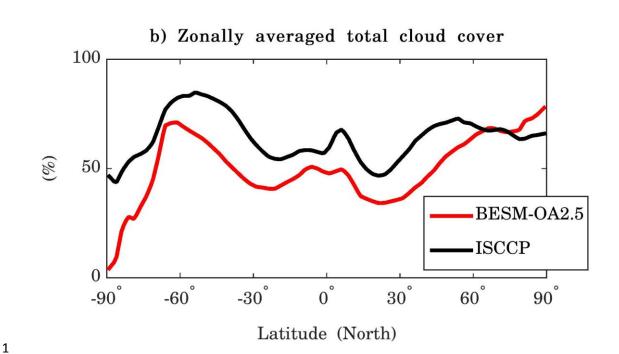


Figure 6 – Zonally averaged annual mean precipitation for BESM-OA2.5, BESM-OA2.3 and GPCP dataset relative to the seasons DJF, MAM, JJA and SON. The zonally averages values are computed over the periods 1971–2000 and 1979–2008, for BESM-OA2.5 and GPCP, respectively. Units are in mm day⁻¹.

a) Total cloud fraction (BESM-OA2.5 - ISCCP)





2 Figure 7 – (a) Spatial map of annual mean total cloud fraction bias of BESM-OA2.5

3 relative to ISCCP. (b) Zonally averaged total cloud cover for BESM-OA2.5 and ISCCP

dataset. The periods used are 1971–2000 and 1984–2009 for BESM-OA2.5 and ISCCP,

5 respectively. Units are in percentage.

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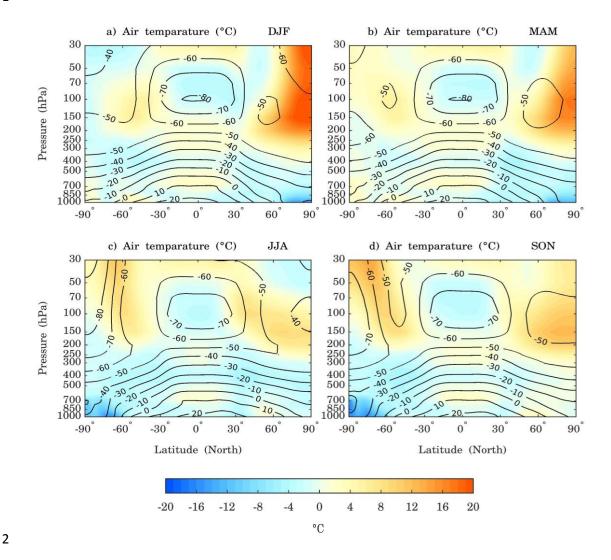


Figure 8 – Contour lines are the zonally averaged vertical air temperature for BESM-OA2.5 and in shaded are the difference BESM-OA2.5 - 20CRv2 data set. Both are averaged over the period 1971–2000. The units are in °C and the contour interval is 10 °C.



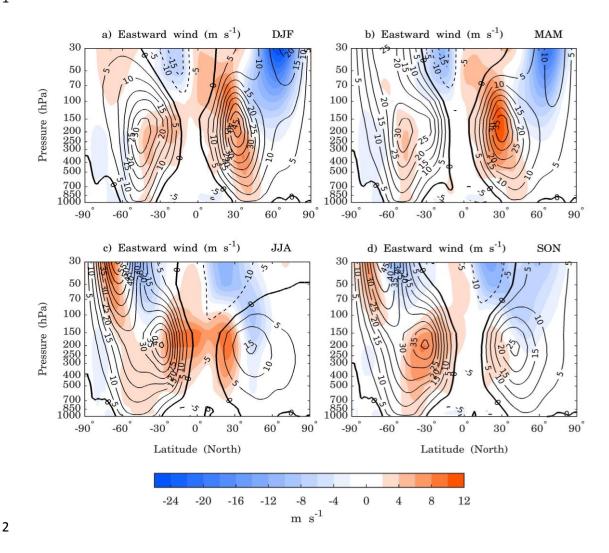
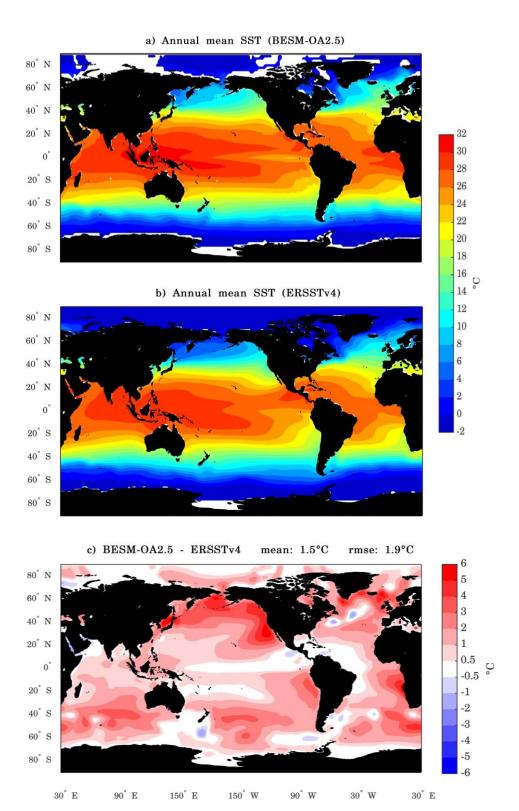
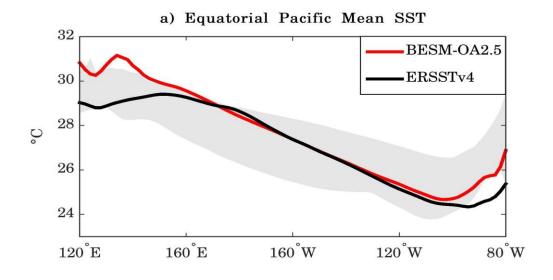
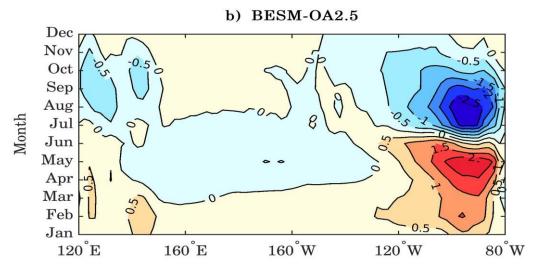


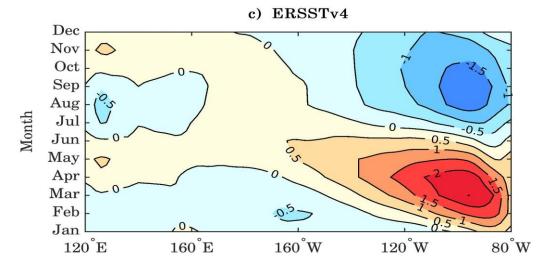
Figure 9 – Contour lines are the zonally averaged zonal wind for BESM-OA2.5 and in shaded are the difference BESM-OA2.5 - 20CRv2 data set. Both are averaged over the period 1971–2000. The solid contour lines represent eastward zonal wind and the dashed contour lines represents westward zonal wind. The units are in meters per second and the contour interval is 5 m s⁻¹, with the contour line zero highlighted.



- 1 Figure 10 Spatial map of annual mean sea surface temperature for (a) BESM-OA2.5,
- 2 (b) ERSSTv4 and (c) the bias of BESM-OA2.5 relative to ERSSTv4. The averages are
- 3 computed over the period 1971–2000. Units are in °C.





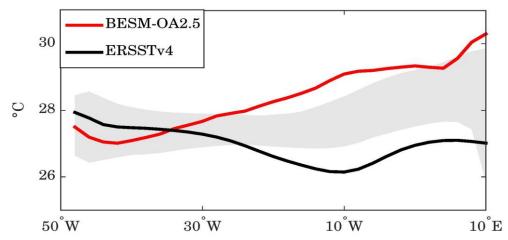


- 2 Figure 11 (a) Mean SST along the equator in the Pacific Ocean and annual cycle of
- 3 the equatorial Pacific SST anomalies for (b) BESM-OA2.5 and (c) ERSSTv4.
- 4 Equatorial region is defined by averaging over 2° S–2° N. BESM-OA2.5 and ERSSTv4
- 5 are averaged over the period 1971–2000. In (a) the grey shadow represents the spread of
- 6 11 CMIP5 models, which are also averaged over the period 1971–2000. Units are in °C.

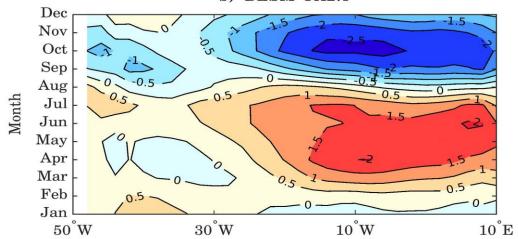
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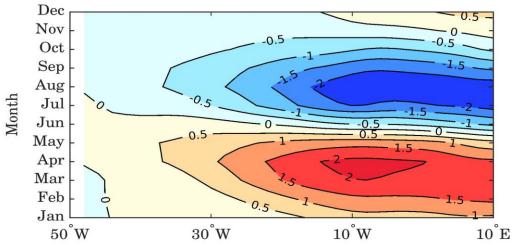
a) Equatorial Atlantic Mean SST



b) BESM-OA2.5



c) ERSSTv4



1 Figure 12 – As Fig. 11 but for the Atlantic Ocean.

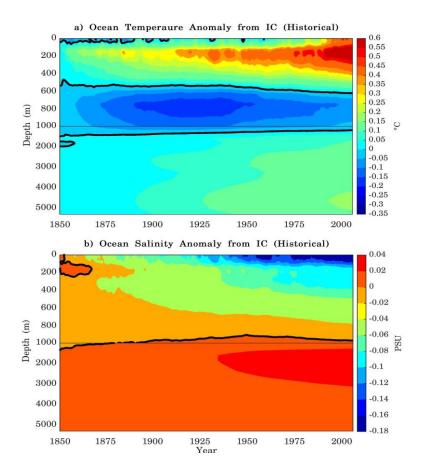
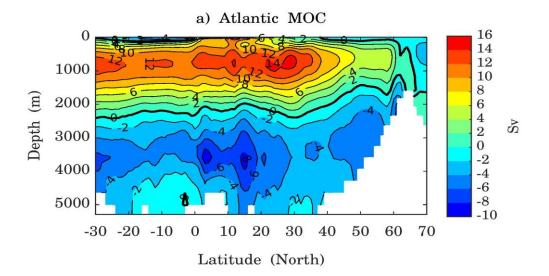
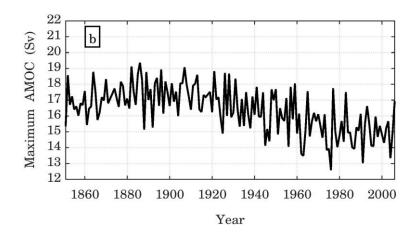
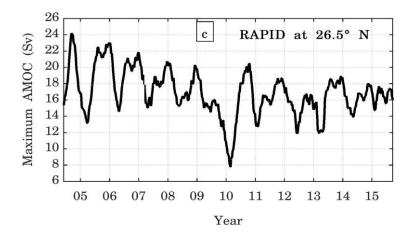


Figure 13 - Depth-time Hovmöller diagrams of global average ocean temperature and salinity anomalies from the respective initial conditions (IC). Here the initial conditions are taken from the 1th year. The diagrams are based on annual average time series simulated by the Historical simulation over the period 1850-2005 (156 years). The thick black line represents the zero contours. Note that the vertical scales are different above and below 1000 m.

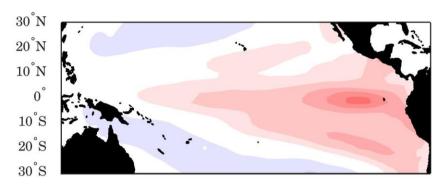




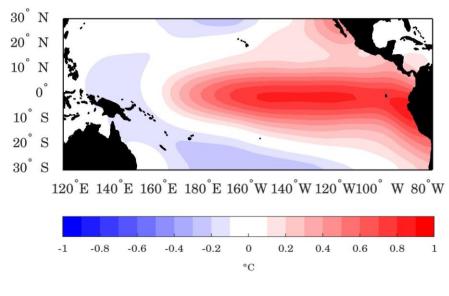


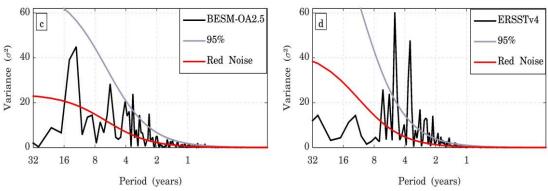
- 1 Figure 14 (a) Atlantic Meridional Overturning Circulation averaged for the period
- 2 1971–2000 and (b) annual mean maximum AMOC strength time series at the latitude
- 3 30° N simulated by BESM-OA2.5 for historical simulation over the period 1850–2005.
- 4 The smaller graph shows the AMOC time series measured by the project RAPID at
- 5 26.5° N over the period April/2004 to October/2015. The RAPID time series is
- 6 smoothed by a 3-month running average. Units are in Sverdrup.

a) Pacific SST EOF1 (17.9%) BESM-OA2.5



b) Pacific SST EOF1 (45.0%) ERSSTv4





3 Figure 15 – The leading EOF modes of the detrended monthly SST anomalies over the

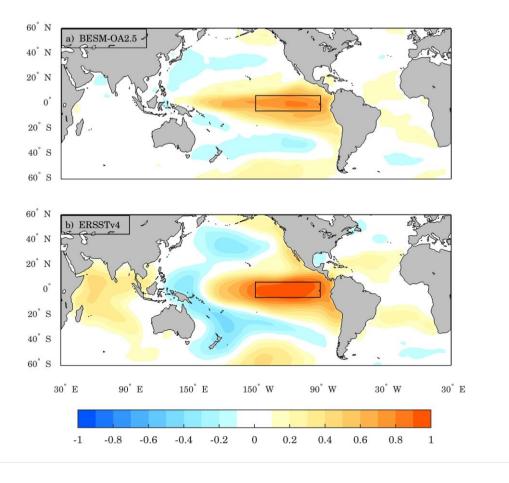
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4 Tropical Pacific region (30° S-30° N; 240°-70° W) for (a) BESM-OA2.5 and (b)

ERSSTv4. The results are shown as the SST anomalies regressed onto the corresponding normalized PC time series (°C per standard deviation) over the period 1950–2005. The percentage of the variance explained by each EOF is indicated in the title of the figure. The contour interval is 0.1 °C. Figures (c) and (d) are the power spectrum of the leading joint PC time series of the pattern for BESM-OA2.5 and ERSSTv4, respectively. The solid red line represents the theoretical red noise spectrum

and the gray line represents the 95 % confidence level.

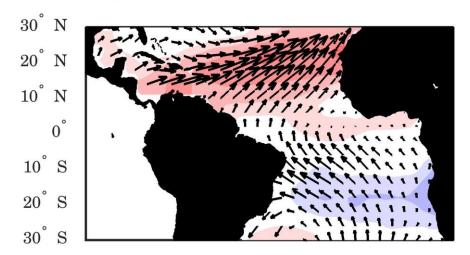


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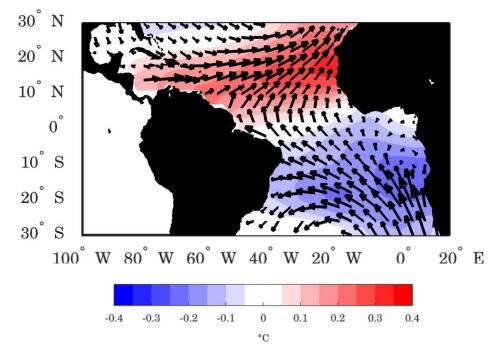
4 Figure 16 – Spatial maps with the monthly correlation between Niño-3 index and global

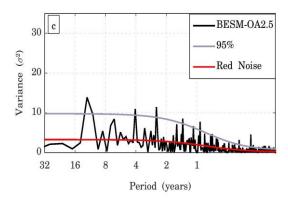
- 5 SST anomalies computed for (a) BESM-OA2.5 and (b) ERSSTv4 over the period 1900–
- 6 2005. The anomalies are obtained by subtracting the monthly means for the whole
- 7 detrended time series at each grid point. Black rectangles show the Niño-3 index region.
- 8 Shaded areas are statistically significant at the 95 % confidence level (through two
- 9 tailed t-student test).

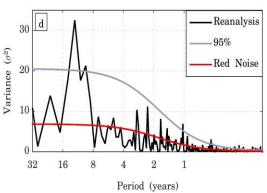
a) AMM jEOF1 (10.7%) BESM-OA2.5



b) AMM jEOF1 (11.8%) ERSSTv4 (SST), 20CRv2 (Taux, Tauy)







1 Figure 17 – The leading joint EOF modes of the detrended monthly SST and wind stress

2 (Taux and Tauy) anomalies for the Tropical Atlantic region (30° S-30° N; 100° W-20°

3 E) for (a) BESM-OA2.5 and (b) for observation (ERSSTv4 and 20CRv2 Reanalysis).

4 The results are shown as the SST anomalies regressed onto the corresponding

normalized PC time series (°C per standard deviation) and wind stress anomalies

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regressed onto the corresponding normalized PC time series (ms⁻¹ per standard

deviation) over the period 1950–2005. The percentage of the variance explained by each

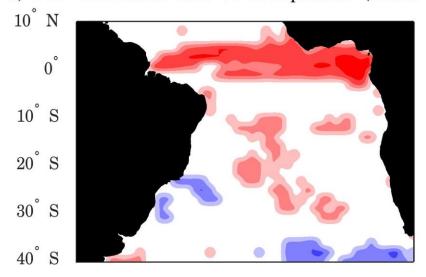
EOF is indicated in the title of the figure. The contour interval is 0.05 °C. Figures (c)

and (d) are the power spectrum of the leading joint PC time series of the AMM pattern

for BESM-OA2.5 and observation, respectively. The solid red line represents the

theoretical red noise spectrum and the gray line represents the 95 % confidence level.

a) DJF Correlation SST vs Precipitation (BESM-OA2.5)



b) DJF Correlation SST (ERSSTv4) vs Precipitation (GPCP)

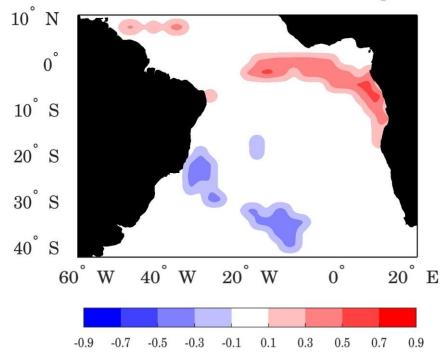


Figure 18 – Spatial maps with the correlation between SST and precipitation (seasonal

- average DJF) over the South Ocean (40° S-10° N; 70° W-20° E) computed for (a)
- BESM-OA2.5 over the period 1971-2002 and (b) observations over the period
- 5 1979–2010. Shaded areas are statistically significant at the 95 % confidence level
- 6 (through two tailed t-student test).

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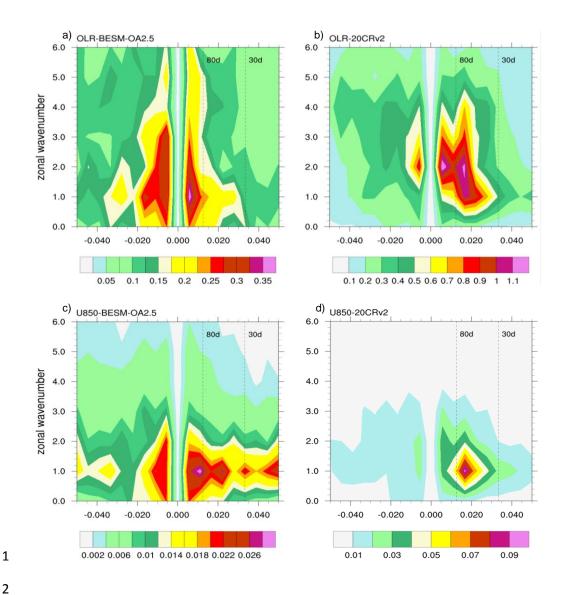
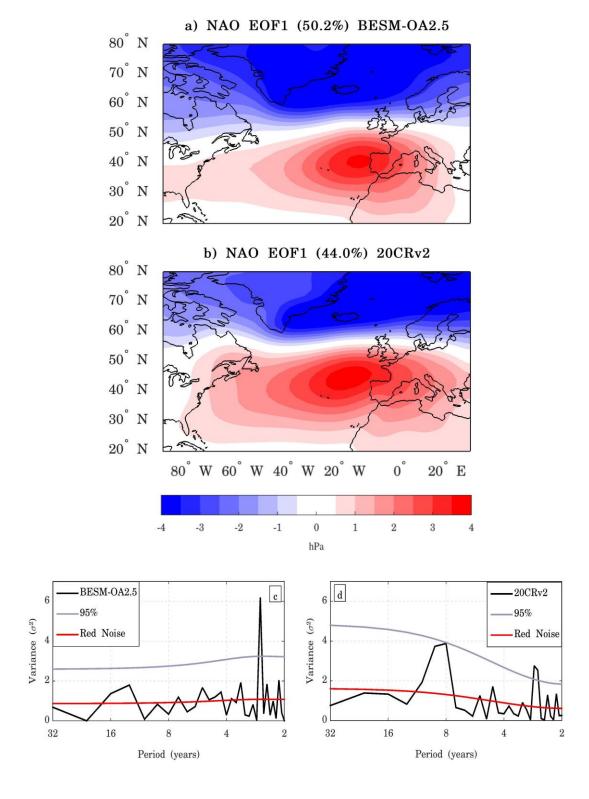


Figure 19 – Wavenumber-frequency power spectrum of tropical (10 °S–10 °N) averaged daily outgoing long-wave radiation (OLR) for (a) BESM-OA2.5 and (b) 20CRv2, respectively, and averaged daily zonal wind component at 850 hPa pressure level (U850) for (c) BESM-OA2.5 and (d) 20CRv2, respectively. Data used are daily anomalies for the boreal winter (Nov-Apr) over the period 1971–2000. Daily anomalies are obtained by subtracting the climatological daily mean calculated over the period 1971–2000. Individual spectra were calculated for each boreal winter and then averaged

- over the time period used. Units for the zonal wind (OLR) are m^{-2} s⁻² (W m^2 s⁻¹) per
- 2 frequency interval per wavenumber interval.



2 Figure 20 – The leading EOF modes of the boreal winter (DJF) seasonal averaged SLP

- anomalies for the Euro-Atlantic region (20°-80° N; 100° W-30° E) for (a) BESM-
- 4 OA2.5 and (b) 20CRv2. The results are shown as the SLP anomalies regressed onto the

- 1 corresponding normalized PC time series (hPa per standard deviation) for the period
- 2 1950–2005. The percentage of the variance explained by each EOF is indicated at the
- 3 title of the figure. The contour interval is 0.5 hPa. Figures (c) and (d) are the power
- 4 spectrum of the leading PC time series of the NAO pattern for BESM-OA2.5 and
- 5 20CRv2, respectively. The solid red line represents the theoretical red noise spectrum
- and the gray line represents the 95 % confidence level.

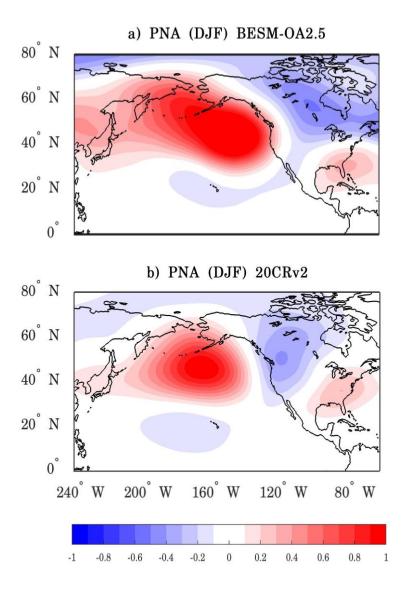


Figure 21 – One-point correlation map for (a) BESM-OA2.5 and (b) 20CRv2
Reanalysis showing the correlation coefficient of 500 hPa geopotential level based at
45° N, 165° W and the other grid points. The time series used are boreal winter seasonal
(DJF) averaged dataset for the period 1950–2005.

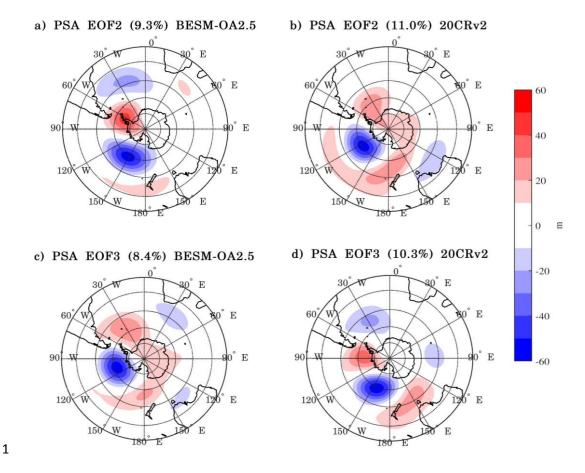


Figure 22 – (a) The second and third EOF modes of the monthly mean 500 hPa geopotential height field for the Southern Hemisphere (20°–90° S) for BESM-OA2.5 (b) and for 20CRv2 Reanalysis. The results are shown as the 500 hPa geopotential height regressed onto the corresponding normalized PC time series (meters per standard deviation) over the period 1950–2005. The percentage of the variance explained by each EOF is indicated at the title of the figure. The contour interval is 10 m.

a) SAM EOF1 (34.1%) BESM-OA2.5

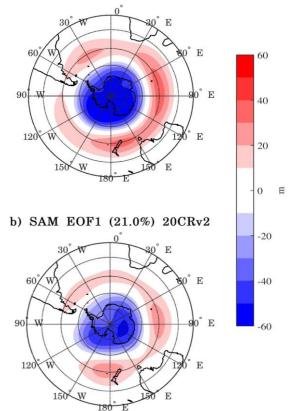
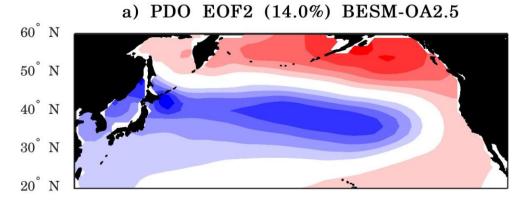


Figure 23 – The leading EOF modes of the monthly mean 500 hPa geopotential height field for the Southern Hemisphere (20°–90° S) for (a) BESM-OA2.5 and (b) for 20CRv2 Reanalysis. The results are shown as the 500 hPa geopotential height regressed onto the corresponding normalized PC time series (meters per standard deviation) over the period 1950–2005. The percentage of the variance explained by each EOF is indicated at the title of the figure. The contour interval is 10 m.



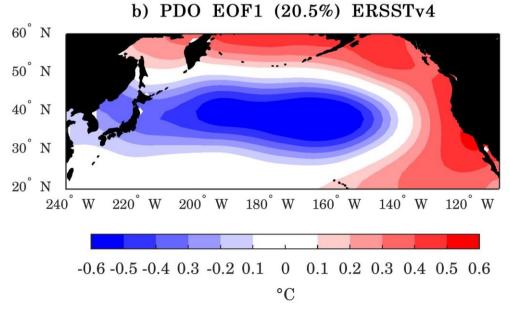


Figure 24 – (a) The second EOF mode of monthly SST anomalies of BESM-OA2.5 and (b) the leading EOF mode of monthly SST anomalies of ERSSTv4, both over North Pacific Ocean (20°–60° N; 240°–110° W). The results are shown as the monthly SST anomalies regressed onto the corresponding normalized PC time series (°C per standard deviation) over the period 1900–2005. The percentage of the variance explained by each EOF is indicated at the title of the figure. The contour interval is 0.1 °C.

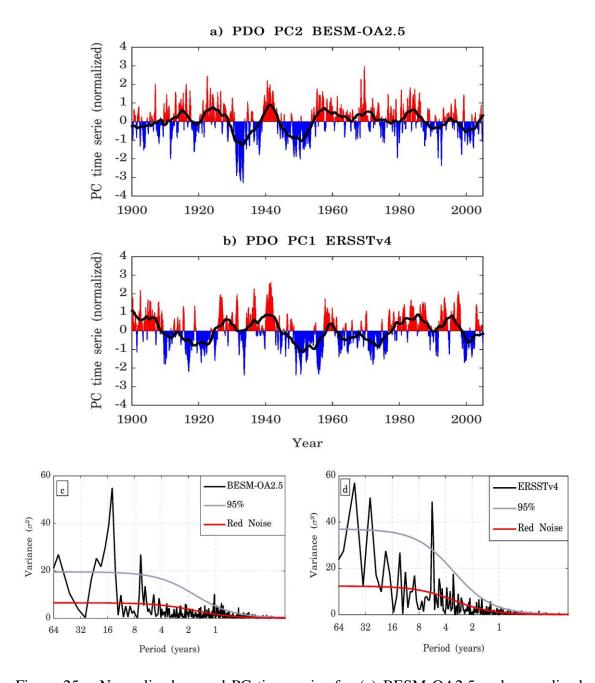


Figure 25 – Normalized second PC time series for (a) BESM-OA2.5 and normalized leading PC time series for (b) ERSSTv4 over the period 1900–2005. The solid black lines are the 5-year running average. Figures (c) and (d) are the power spectrum of the second PC time series for BESM-OA2.5 and for the leading PC time series for 20CRv2, respectively. The solid red line represents the theoretical red noise spectrum and the gray line represents the 95 % confidence level.

Institute	Model	Simulation	horizontal resolution (lat×lon)	
		•	Atmosphere	Ocean
Commonwealth Scientific and Industrial Research Organisation/Bureau of Meteorology (Australia)	ACCESS1.3	Historical GHG r3i1p1	1.25°×1.875°	300×360 (tripolar)
Canadian Centre for Climate Modelling and Analysis (Canada)	CanESM2	Historical GHG r1i1p1	2.7906°×2.8125°	0.9303°, 1.1407°×1.40625
National Center for Atmospheric Research (USA)	CCSM4	Historical GHG rli1p1	0.9424°×1.25°	384×320 (tripolar)
Centre National de Recherches Météorologiques/Centre Européen de Recherche et de Formation Avancée en Calcul Scientifique (France)	CNRM-CM5	Historical GHG r1i1p1	1.4008°×1.40625°	292×362 (tripolar)
Geophysical Fluid Dynamics Laboratory (USA)	GFDL-ESM2M	Historical GHG r3i1p1	2.0225°×2.5°	0.3344°, 1°×1°
Goddard Institute for Space Studies (USA)	GISS-E2-H	Historical GHG r1i1p1	2°×2.5°	1°×1°
Met Office Hadley Centre (UK)	HadGEM2-ES	Historical GHG r1i1p1	1.25°×1.875°	0.3396°, 1°×1°
L'Institut Pierre-Simon Laplace (France)	IPSL-CM5A- MR	Historical GHG r1i1p2	1.2676°×2.5°	149×182 (tripolar)
Japan Agency for Marine-Earth Science and Technology, Atmosphere and Ocean Research Institute (The University of Tokyo), and National Institute for Environmental Studies (Japan)	MIROC-ESM	Historical GHG r1i1p1	2.7906°×2.8125°	0.5582°, 1.7111°×1.40625 °
Meteorological Research Institute (Japan)	MRI-CGCM3	Historical GHG r1i1p1	1.12148°×1.125°	0.5°, 0.5°×1°
Bjerknes Centre for Climate Research and Norwegian Meteorological Institute (Norway)	NorESM1-M	Historical GHG r1i1p1	1.8947°×2.5°	384×320 (tripolar)

² Table 1 - List of models from CMIP5 with historical GHG simulations used to compare

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with BESM-OA2.5. Models with higher resolution in the tropical region and a

- decreasing resolution towards the poles have two values for latitude in their respective
- 2 oceanic resolution column. Models with oceanic tripolar grid, the number of grid points
- 3 in each coordinate are presented.