

1 **Realised ecological forecast through interactive Ecological Platform for Assimilating Data**
2 **into model (EcoPAD v1.0)**

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26 **Abstract.** Predicting future changes in ecosystem services is not only highly desirable but also
27 becomes feasible as several forces (e.g., available big data, developed data assimilation (DA)
28 techniques, and advanced cyberinfrastructure) are converging to transform ecological research to
29 quantitative forecasting. To realize ecological forecasting, we have developed an Ecological
30 Platform for Assimilating Data (EcoPAD) into models. EcoPAD (v1.0) is a web-based software
31 system that automates data transfer and processing from sensor networks to ecological
32 forecasting through data management, model simulation, data assimilation, forecasting and
33 visualization. It facilitates interactive data-model integration from which model is recursively
34 improved through updated data while data is systematically refined under the guidance of model.
35 EcoPAD (v1.0) relies on data from observations, process-oriented models, DA techniques, and
36 the web-based workflow.

37 We applied EcoPAD (v1.0) to the Spruce and Peatland Responses Under Climatic and
38 Environmental change (SPRUCE) experiment at North Minnesota. The EcoPAD-SPRUCE
39 realizes fully automated data transfer, feeds meteorological data to drive model simulations,
40 assimilates both manually measured and automated sensor data into Terrestrial ECOsystem
41 (TECO) model, and recursively forecast responses of various biophysical and biogeochemical
42 processes to five temperature and two CO₂ treatments in near real-time (weekly). Forecasting
43 with EcoPAD-SPRUCE has revealed that mismatches in forecasting carbon pool dynamics are
44 more related to model (e.g., model structure, parameter, and initial value) than forcing variables,
45 opposite to forecasting flux variables. EcoPAD-SPRUCE quantified acclimations of methane
46 production in response to warming treatments through shifted posterior distributions of the
47 CH₄:CO₂ ratio and temperature sensitivity (Q₁₀) of methane production towards lower values.
48 Different case studies indicated that realistic forecasting of carbon dynamics relies on

49 appropriate model structure, correct parameterization and accurate external forcing. Moreover,
50 EcoPAD-SPRUCE stimulated active feedbacks between experimenters and modellers to identify
51 model components to be improved and additional measurements to be made. It becomes the
52 interactive model-experiment (ModEx) system and opens a novel avenue for interactive dialogue
53 between modellers and experimenters. Altogether, EcoPAD (v1.0) acts to integrate multiple
54 sources of information and knowledge to best inform ecological forecasting.

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56

57 **Key words:**

58 Data assimilation, SPRUCE, carbon, global change, real time, acclimation, forecast

59

60 **1. Introduction**

61 One ambitious goal of ecology as a science discipline is to forecast states and services of
62 ecological systems. Forecasting in ecology is not only desirable for scientific advances in this
63 discipline but also has practical values to guide resource management and decision-making
64 toward a sustainable planet earth. The practical need for ecological forecasting is particularly
65 urgent in this rapidly changing world, which is experiencing unprecedented natural resource
66 depletion, increasing food demand, serious biodiversity crisis, accelerated climate changes, and
67 widespread pollutions in the air, waters, and soils [Clark *et al.*, 2001; Mouquet *et al.*, 2015]. As a
68 result, a growing number of studies have been reported in the last several decades on forecasting
69 of, e.g., phenology [Diez *et al.*, 2012], carbon dynamics [Gao *et al.*, 2011; Luo *et al.*, 2016;
70 Thomas *et al.*, 2017], species dynamics [Clark *et al.*, 2003; Kearney *et al.*, 2010], pollinator
71 performance [Corbet *et al.*, 1995], epidemics [Ong *et al.*, 2010], fishery [Hare *et al.*, 2010], algal
72 bloom [Stumpf *et al.*, 2009], crop yield [Bastiaanssen and Ali, 2003], biodiversity [Botkin *et al.*,
73 2007], plant extinction risk [Fordham *et al.*, 2012], and ecosystem service [Craft *et al.*, 2009].
74 Despite its broad applications, ecological forecasting is still sporadically practiced and lags far
75 behind demand due to the lack of infrastructure that enables timely integration of models with
76 data. This paper introduces the fully interactive infrastructure, the Ecological Platform for
77 Assimilating Data (EcoPAD) into models, to inform near-time ecological forecasting with
78 iterative data-model integration.

79 Ecological forecasting relies on both models and data. However, currently the ecology
80 research community has not yet adequately integrated observations with models to inform best
81 forecast. Forecasts generated from scenario approaches are qualitative and scenarios are often
82 not based on ecological knowledge [Coreau *et al.*, 2009; Coreau *et al.*, 2010]. Data-driven

83 forecasts using statistical methods are generally limited for extrapolation and sometimes
84 contaminated by confounding factors [Schindler and Hilborn, 2015]. Recent emergent
85 mechanism-free non-parametric approach, which depends on the statistical pattern extracted
86 from data, is reported to be promising for short-term forecast [Sugihara et al., 2012; Perretti et
87 al., 2013; Ward et al., 2014], but has limited capability in long-term prediction due to the lack of
88 relevant ecological mechanisms. Process-based models provide the capacity in long-term
89 prediction and the flexibility in capturing short-term dynamics on the basis of mechanistic
90 understanding [Coreau et al., 2009; Purves et al., 2013]. Wide applications of process-based
91 models are limited by their often complicated numerical structure and sometimes unrealistic
92 parameterization [Moorcroft, 2006]. The complex and uncertain nature of ecology precludes
93 practice of incorporating as many processes as possible into mechanistic models. Our current
94 incomplete knowledge about ecological systems or unrepresented processes under novel
95 conditions is partly reflected in model parameters which are associated with large uncertainties.
96 Good forecasting therefore requires effective communication between process-based models and
97 data to estimate realistic model parameters and capture context-dependent ecological
98 phenomena.

99 Data-model fusion, or data-model integration, is an important step to combine models
100 with data. But previous data-model integration activities have mostly been done in an *ad hoc*
101 manner instead of being interactive. For example, data from a network of eddy covariance flux
102 tower sites across United States and Canada was compared with gross primary productivity
103 (GPP) estimated from different models [Schaefer et al., 2012]. Luo and Reynolds [1999] used a
104 model to examine ecosystem responses to gradual as in the real world vs. step increases in CO₂
105 concentration as in elevated CO₂ experiments. Parton et al. [2007] parameterized CO₂ impacts in

106 an ecosystem model with data from a CO₂ experiment in Colorado. Such model-experiment
107 interactions encounter a few issues: 1) Models are not always calibrated for individual sites and,
108 therefore, not accurate; 2) It is not very effective because it is usually one-time practice without
109 many iterative processes between experimenters and modellers [*Dietze et al.*, 2013; *Lebauer et*
110 *al.*, 2013]; 3) It is usually unidirectional as data is normally used to train models while the
111 guidance of model for efficient data collection is limited; and 4) It is not streamlined and could
112 not be disseminated with common practices among the research community [*Dietze et al.*, 2013;
113 *Lebauer et al.*, 2013; *Walker et al.*, 2014].

114 A few research groups have developed data assimilation systems to facilitate data-model
115 integration in a systematic way. For example, data-model integration systems, such as the Data
116 Assimilation Research Testbed - DART [*Anderson et al.*, 2009] and the Carbon Cycle Data
117 Assimilation Systems - CCDAS [*Scholze et al.*, 2007; *Peylin et al.*, 2016], combine various data
118 streams (e.g., FLUXNET data, satellite data and inventory data) with process-based models
119 through data assimilation algorithms such as the Kalman filter [*Anderson et al.*, 2009] and
120 variational methods [*Peylin et al.*, 2016]. These data assimilation systems automate model
121 parameterization and provided an avenue to systematically improve models through combining
122 as much data as possible. Data-informed model improvements normally happen after the ending
123 of a field experiment and the interactive data-model integration is limited as feedbacks from
124 models to ongoing experimental studies are not adequately realised. In addition, wide
125 applications of these data assimilation systems in ecological forecasting are constrained by
126 limited user interactions with its steep learning curve to understand these systems, especially for
127 experimenters who have limited training in modelling.

128 The web-based technology facilitates interactions. Web-based modelling, which provides
129 user-friendly interfaces to run models in the background, is usually supported by the scientific
130 workflow, the sequence of processes through which a piece of work passes from initiation to
131 completion. For example, TreeWatch.Net has recently been developed to make use of high
132 precision individual tree monitoring data to parameterize process-based tree models in real-time
133 and to assess instant tree hydraulics and carbon status with online result visualization [*Steppe et*
134 *al.*, 2016]. Although the web portal of TreeWatch.Net is currently limited to the purpose of
135 visualization, it largely broadens the application of data-model integration and strengthens the
136 interaction between modelling researches and the general public. The Predictive Ecosystem
137 Analyzer (PEcAn) is a scientific workflow that wraps around different ecosystem models and
138 manages the flows of information coming in and out of the model [*Lebauer et al.*, 2013]. PEcAn
139 enables web-based model simulations. Such a workflow has advantages, for example, making
140 ecological modelling and analysis convenient, transparent, reproducible and adaptable to new
141 questions [*Lebauer et al.*, 2013], and encouraging user-model interactions. PEcAn uses the
142 Bayesian meta-analysis to synthesize plant trait data to estimate model parameters and associated
143 uncertainties, i.e., the prior information for process-based models. Parameter uncertainties are
144 propagated to model uncertainties and displayed as outputs. It is still not fully interactive in the
145 way that states are not updated iteratively according to observations and the web-based data
146 assimilation and then ecological forecasting have not yet been fully realised.

147 The iterative model-data integration provides an approach to constantly improve
148 ecological forecasting and is an important step especially for realizing near real-time ecological
149 forecasting. Instead of projecting into future through assimilating observations only once, the
150 iterative forecasting constantly updates forecasting along with ongoing new data streams or/and

151 improved models. Forecasting is likely to be improved unidirectionally in which either only
152 models are updated through observations, or only data collections/field experimentations are
153 improved according to theoretical/model information, but not both. Ecological forecasting can
154 also be bidirectionally improved so that both models and field experimentations are optimized
155 hand in hand over time. Although the bidirectional case is rare in ecological forecasting, the
156 unidirectional iterative forecasting has been reported. One excellent example of forecasting
157 through dynamically and repeatedly integrating data with models is from infectious disease
158 studies [Ong *et al.*, 2010; Niu *et al.*, 2014]. Dynamics of infectious diseases are traditionally
159 captured by Susceptible-Infected-Removed (SIR) models. In the forecasting of the Singapore
160 H1N1-2009 infections, SIR model parameters and the number of individuals in each state were
161 updated daily, combining data renewed from local clinical reports. The evolving of the epidemic
162 related parameters and states were captured through iteratively assimilating observations to
163 inform forecasting. As a result, the model correctly forecasted the timing of the peak and
164 declining of the infection ahead of time. Iterative forecasting dynamically integrates data with
165 model and makes best use of both data and theoretical understandings of ecological processes.

166 The aim of this paper is to present a fully interactive platform, a web-based Ecological
167 Platform for Assimilating Data into models (EcoPAD, v1.0), to best inform ecological
168 forecasting. The interactive feature of EcoPAD (v1.0) is reflected in the iterative model updating
169 and forecasting through dynamically integrating models with new observations, bidirectional
170 feedbacks between experimenters and modellers, and flexible user-model communication
171 through web-based simulation, data assimilation and forecasting. Such an interactive platform
172 provides the infrastructure to effectively integrate available resources, from both models and
173 data, modellers and experimenters, scientists and the general public, to improve scientific

174 understanding of ecological processes, to boost ecological forecasting practice and transform
175 ecology towards quantitative forecasting.

176 In the following sections, we first describe the system design, major components and
177 functionality of EcoPAD (v1.0). We then use the Spruce and Peatland Responses Under Climatic
178 and Environmental change (SPRUCE) experiment [*Hanson et al.*, 2017] as a testbed to elaborate
179 new opportunities brought by the platform. We finally discuss implications of EcoPAD (v1.0)
180 for better ecological forecasting.

181

182 **2 EcoPAD: system design, components, and functionality**

183 **2.1 General description: web-based data assimilation and forecast**

184 EcoPAD (v1.0, https://ecolab.nau.edu/ecopad_portal/) focuses on linking ecological
185 experiments/data with models and allows easily accessible and reproducible data-model
186 integration with interactive web-based simulation, data assimilation and forecast capabilities.
187 Specially, EcoPAD (v1.0) enables the automated near time ecological forecasting which works
188 hand-in-hand between modellers and experimenters and updates periodically in a manner similar
189 to the weather forecasting. The system is designed to streamline web request-response, data
190 management, modelling, prediction and visualization to boost the overall throughput of
191 observational data, promote data-model communication, inform ecological forecasting and
192 improve scientific understanding of ecological processes.

193 To realize such data-informed ecological forecasting, the essential components of
194 EcoPAD (v1.0) include experiments/data, process-based models, data assimilation techniques
195 and the scientific workflow (Figures 1-3). The scientific workflow of EcoPAD (v1.0) that wraps
196 around ecological models and data assimilation algorithms acts to move datasets in and out of

197 structured and catalogued data collections (metadata catalog) while leaving the logic of the
198 ecological models and data assimilation algorithms untouched (Figures 1, 3). Once a user makes
199 a request through the web browser or command line utilities, the scientific workflow takes
200 charge of triggering and executing corresponding tasks, be it pulling data from a remote server,
201 running a particular ecological model, automating forecasting or making the result easily
202 understandable to users (Figures 1, 3). With the workflow, the system is agnostic to operation
203 system, environment and programming language and is built to horizontally scale to meet the
204 demands of the model and the end user community.

205

206 **2.2 Components**

207 **2.2.1 Data**

208 Data is an important component of EcoPAD (v1.0) and EcoPAD (v1.0) offers systematic data
209 management to digest diverse data streams. The ‘big data’ ecology generates a large volume of
210 very different datasets across various scales [*Hampton et al.*, 2013; *Mouquet et al.*, 2015]. These
211 datasets might have high temporal resolutions, such as those from real time ecological sensors, or
212 the display of spatial information from remote sensing sources and data stored in the geographic
213 information system (GIS). These datasets may also include, but are not limited to, inventory data,
214 laboratory measurements, FLUXNET databases or from long-term ecological networks
215 [*Baldocchi et al.*, 2001; *Johnson et al.*, 2010; *Robertson et al.*, 2012] . Such data contain
216 information related to environmental forcing (e.g., precipitation, temperature and radiative
217 forcing), site characteristics (e.g., soil texture and species composition) and biogeochemical
218 information. Datasets in EcoPAD (v1.0) are derived from other research projects in comma
219 separated value files or other loosely structured data formats. These datasets are first described

220 and stored with appropriate metadata via either manual operation or scheduled automation from
221 sensors. Each project has a separate folder where data are stored. Data are generally separated
222 into two categories. One is used as boundary conditions for modelling and the other category is
223 related to observations that are used for data assimilation. Scheduled sensor data are appended to
224 existing data files with prescribed frequency. Attention is then spent on how the particular
225 dataset varies over space (x, y) and time (t). When the spatiotemporal variability is understood, it
226 is then placed in metadata records that allow for query through its scientific workflow.

227 **2.2.2 Ecological models**

228 Process-based ecological model is another essential component of EcoPAD (Figure 1). In
229 this paper, the Terrestrial ECOsystem (TECO) model is applied as a general ecological model for
230 demonstration purposes since the workflow and data assimilation system of EcoPAD (v1.0) are
231 relatively independent on the specific ecological model. Linkages among the workflow, data
232 assimilation system and ecological model are based on messaging. For example, the data
233 assimilation system generates parameters that are passed to ecological models. The state
234 variables simulated from ecological models are passed back to the data assimilation system.
235 Models may have different formulations. As long as they take in the same parameters and
236 generate the same state variables, they are functionally identical from the “eye” of the data
237 assimilation system.

238 TECO simulates ecosystem carbon, nitrogen, water and energy dynamics [*Weng and Luo,*
239 *2008; Shi et al., 2016*]. The original TECO model has 4 major submodules (canopy, soil water,
240 vegetation dynamics and soil carbon/nitrogen) and is further extended to incorporate methane
241 biogeochemistry and snow dynamics [*Huang et al., 2017; Ma et al., 2017*]. As in the global land
242 surface model CABLE [*Wang and Leuning, 1998; Wang et al., 2010*], canopy photosynthesis

243 that couples surface energy, water and carbon fluxes is based on a two-big-leaf model [*Wang and*
244 *Leuning, 1998*]. Leaf photosynthesis and stomatal conductance are based on the common scheme
245 from *Farquhar et al. [1980]* and *Ball et al. [1987]* respectively. Transpiration and associated
246 latent heat losses are controlled by stomatal conductance, soil water content and the rooting
247 profile. Evaporation losses of water are balanced between the soil water supply and the
248 atmospheric demand which is based on the difference between saturation vapor pressure at the
249 temperature of the soil and the actual atmospheric vapor pressure. Soil moisture in different soil
250 layers is regulated by water influxes (e.g., precipitation and percolation) and effluxes (e.g.,
251 transpiration and runoff). Vegetation dynamic tracks processes such as growth, allocation and
252 phenology. Soil carbon/nitrogen module tracks carbon and nitrogen through processes such as
253 litterfall, soil organic matter (SOM) decomposition and mineralization. SOM decomposition
254 modelling follows the general form of the Century model [*Parton et al., 1988*] as in most Earth
255 system models. SOM is divided into pools with different turnover times (the inverse of
256 decomposition rates) which are modified by environmental factors such as the soil temperature
257 and moisture.

258 **2.2.3 Data assimilation**

259 Data assimilation is a cutting-edge statistical approach that integrates data with model in
260 a systematic way (Figure 2). Data assimilation is growing in importance as the process-based
261 ecological models, despite largely simplifying the real systems, are in great need to be complex
262 enough to address sophisticate ecological issues. These ecological issues are composed of an
263 enormous number of biotic and abiotic factors interacting with each other. Data assimilation
264 techniques provide a framework to combine models with data to estimate model parameters [*Shi*
265 *et al., 2016*], test alternative ecological hypotheses through different model structures [*Liang et*

266 *al.*, 2015], assess information content of datasets [Weng and Luo, 2011], quantify uncertainties
267 [Weng *et al.*, 2011; Keenan *et al.*, 2012; Zhou *et al.*, 2012], derive emergent ecological
268 relationships [Bloom *et al.*, 2016], identify model errors and improve ecological predictions [Luo
269 *et al.*, 2011b]. Under the Bayesian paradigm, data assimilation techniques treat the model
270 structure, initial and parameter values as priors that represent our current understanding of the
271 system. As new information from observations or data becomes available, model parameters and
272 state variables can be updated accordingly. The posterior distributions of estimated parameters or
273 state variables are imprinted with information from both the model and the observation/data as
274 the chosen parameters act to reduce mismatches between observations and model simulations.
275 Future predictions benefit from such constrained posterior distributions through forward
276 modelling (Figure A1). As a result, the probability density function of predicted future states
277 through data assimilation normally has a narrower spread than that without data assimilation
278 when everything else is equal [Luo *et al.*, 2011b; Weng and Luo, 2011; Niu *et al.*, 2014].

279 EcoPAD (v1.0) is open to different data assimilation techniques depending on the
280 ecological questions under study since the scientific workflow of EcoPAD (v1.0) is relatively
281 independent on the specific data assimilation algorithm. For demonstration, the Markov chain
282 Monte Carlo (MCMC) [Xu *et al.*, 2006] is described in this study.

283 MCMC is a class of sampling algorithms to draw samples from a probability distribution
284 obtained through constructed Markov Chain to approximate the equilibrium distribution. The
285 Bayesian based MCMC method takes into account various uncertainty sources which are crucial
286 in interpreting and delivering forecasting results [Clark *et al.*, 2001]. In the application of
287 MCMC, the posterior distribution of parameters for given observations is proportional to the
288 prior distribution of parameters and the likelihood function which is linked to the fit/match (or

289 cost function) between model simulations and observations. EcoPAD (v1.0) currently adopts a
290 batch mode, that is, the cost function is treated as a single function to be minimized and different
291 observations are standardized by their corresponding standard deviations [Xu *et al.*, 2006]. For
292 simplicity, we assume uniform distributions in priors, and Gaussian or multivariate Gaussian
293 distributions in observational errors, which can be operationally expanded to other specific
294 distribution forms depending on the available information. Detailed description is available in Xu
295 *et al.* [2006].

296 **2.2.4 Scientific workflow**

297 EcoPAD (v1.0) relies on its scientific workflow to interface ecological models and data
298 assimilation algorithms, managing diverse data streams, automates iterative ecological
299 forecasting in response to various user requests. Workflow is a relatively new concept in the
300 ecology literature but essential to realize real or near-real time forecasting. Thus, we describe it
301 in detail below. The essential components of a scientific workflow of EcoPAD (v1.0) include the
302 metadata catalog, web application-programming interface (API), the asynchronous task/job
303 queue (Celery) and the container-based virtualization platform (Docker). The workflow system
304 of EcoPAD (v1.0) also provides structured result access and visualization.

305 **2.2.4.1 Metadata catalog and data management**

306 Datasets can be placed and queried in EcoPAD (v1.0) via a common metadata catalog
307 which allows for effective management of diverse data streams. Calls are common for good
308 management of current large and heterogeneous ecological datasets [Ellison, 2010; Michener
309 and Jones, 2012; Vitolo *et al.*, 2015]. Kepler [Ludascher *et al.*, 2006] and the Analytic Web
310 [Osterweil *et al.*, 2010] are two example systems that endeavour to provide efficient data
311 management through storage of metadata including clear documentation of data provenance.

312 Similarly to these systems, EcoPAD (v1.0) takes advantage of modern information technology,
313 especially the metadata catalog, to manage diverse data streams. The EcoPAD (v1.0) metadata
314 schema includes description of the data product, security, access pattern, and timestamp of last
315 metadata update *etc.* We use MongoDB (<https://www.mongodb.com/>), a NoSQL database
316 technology, to manage heterogeneous datasets to make the documentation, query and storage fast
317 and convenient. Through MongoDB, measured datasets can be easily fed into ecological models
318 for various purposes such as to initialize the model, calibrate model parameters, evaluate model
319 structure and drive model forecast. For datasets from real time ecological sensors that are
320 constantly updating, EcoPAD (v1.0) is set to automatically fetch new data streams with
321 adjustable frequency depending on research needs.

322 **2.2.4.2 Web API, asynchronous task queue and docker**

323 The RESTful application-programming interface (API) which can deliver data to a wide
324 variety of applications is the gateway of EcoPAD (v1.0) and enables a wide array of user-
325 interfaces and data-dissemination activities. Once a user makes a request, such as through
326 clicking on relevant buttons from a web browser, the request is passed through the
327 Representational State Transfer (i.e., RESTful) API to trigger specific tasks. The RESTful API
328 bridges the talk between the client (e.g., a web browser or command line terminal) and the server
329 (Figure 3). The API exploits the full functionality and flexibility of the HyperText Transfer
330 Protocol (HTTP), such that data can be retrieved and ingested from the EcoPAD (v1.0) through
331 the use of simple HTTP headers and verbs (e.g., GET, PUT, POST, *etc.*). Hence, a user can
332 incorporate summary data from EcoPAD (v1.0) into a website with a single line of html code.
333 Users will also be able to access data directly through programming environments like R, Python

334 and Matlab. Simplicity, ease of use and interoperability are among the main advantages of this
335 API which enables web-based modelling.

336 Celery (<https://github.com/celery/celery>) is an asynchronous task/job queue that runs in
337 the background (Figure 3). The task queue (i.e., Celery) is a mechanism used to distribute work
338 across work units such as threads or machines. Celery communicates through messages, and
339 EcoPAD (v1.0) takes advantage of the RabbitMQ (<https://www.rabbitmq.com/>) to manage
340 messaging. After the user submits a command, the request or message is passed to Celery via the
341 RESTful API. These messages may trigger different tasks, which include, but not limited to, pull
342 data from a remote server where original measurements are located, access data through
343 metadata catalog, run model simulation with user specified parameters, conduct data assimilation
344 which recursively updates model parameters, forecast future ecosystem status and post-process
345 of model results for visualization. The broker inside Celery receives task messages and handles
346 out tasks to available Celery workers which perform the actual tasks (Figure 3). Celery workers
347 are in charge of receiving messages from the broker, executing tasks and returning task results.
348 The worker can be a local or remote computation resource (e.g., the cloud) that has connectivity
349 to the metadata catalog. Workers can be distributed into different information technology (IT)
350 infrastructures, which makes EcoPAD (v1.0) workflow expandable. Each worker can perform
351 different tasks depending on tools installed in each worker. And one task can also be distributed
352 into different workers. In such a way, EcoPAD (v1.0) workflow enables parallelization and
353 distributed computation of actual modelling tasks across various IT infrastructures, and is
354 flexible in implementing additional computational resources by connecting additional workers.

355 Another key feature that makes EcoPAD (v1.0) easily portable and scalable among
356 different operation systems is the utilization of the container-based virtualization platform, the

357 docker (<https://www.docker.com/>). Docker can run many applications which rely on different
358 libraries and environments on a single kernel with its lightweight containerization. Tasks that
359 execute TECO in different ways are wrapped inside different docker containers that can “talk”
360 with each other. Each docker container embeds the ecosystem model into a complete filesystem
361 that contains everything needed to run an ecosystem model: the source code, model input, run
362 time, system tools and libraries. Docker containers are both hardware-agnostic and platform-
363 agnostic, and they are not confined to a particular language, framework or packaging system.
364 Docker containers can be run from a laptop, workstation, virtual machine, or any cloud compute
365 instance. This is done to support the widely varied number of ecological models running in
366 various languages (e.g., Matlab, Python, Fortran, C and C++) and environments. In addition to
367 wrap the ecosystem model into a docker container, software applied in the workflow, such as the
368 Celery, Rabbitmq and MongoDB, are all lightweight and portable encapsulations through docker
369 containers. Therefore, the entire EcoPAD (v1.0) is readily portable and applicable in different
370 environments.

371 **2.2.4.3 Structured result access and visualization**

372 EcoPAD (v1.0) enables structured result storage, access and visualization to track and
373 analyse data-model fusion practice. Upon the completion of the model task, the model wrapper
374 code calls a post processing call-back function. This call-back function allows for model specific
375 data requirements to be added to the model result repository. Each task is associated with a
376 unique task ID and model results are stored within the local repository that can be queried by the
377 unique task ID. The store and query of model results are realised via the MongoDB and RESTful
378 API (Figure 3). Researchers are authorized to review and download model results and parameters
379 submitted for each model run through a web accessible URL (link). EcoPAD (v1.0) webpage

380 also displays a list of historical tasks (with URL) performed by each user. All current and
381 historical model inputs and outputs are available to download, including the aggregated results
382 produced for the graphical web applications. In addition, EcoPAD (v1.0) also provides a task
383 report that contains all-inclusive recap of parameters submitted, task status, and model outputs
384 with links to all data and graphical results for each task. Such structured result storage and access
385 make sharing, tracking and referring to modelling studies instant and clear.

386 **2.3 Scientific functionality**

387 Scientific functionality of EcoPAD (v1.0) includes web-based model simulation,
388 estimating model parameters or state variables, quantifying uncertainty of estimated parameters
389 and projected states of ecosystems, evaluating model structures, assessing sampling strategies
390 and conducting ecological forecasting. These functions can be organized to answer various
391 scientific questions. In addition to the general description in this section, the scientific
392 functionality of EcoPAD (v1.0) is also illustrated through a few case studies in the following
393 sections.

394 EcoPAD (v1.0) is designed to perform web-based model simulation, which greatly
395 reduces the workload of traditional model simulation through manual code compilation and
396 execution. This functionality opens various new opportunities for modellers, experimenters and
397 the general public. Model simulation and result analysis are automatically triggered after a click
398 on the web-embedded button (Appendices Figures A2, A3 A6). Users are freed from repeatedly
399 compiling code, running code and writing programs to analyse and display model results. Such
400 ease of use has great potential to popularize complex modelling studies that are difficult or
401 inaccessible for experimenters and the general public. As illustrated through the outreach
402 activities from the TreeWatch.Net [*Steppe et al.*, 2016], the potential functionality of such web-

403 based model simulation goes beyond its scientific value as its societal and educational impacts
404 are critical in solving ecological issues. The web-based model simulation also frees users from
405 model running environment, platform and software. Users can conduct model simulation and do
406 analysis as long as they have internet access. For example, ecologists can conduct model
407 simulation and diagnose the underlying reasons for a sudden increase in methane fluxes while
408 they are making measurements in the field. Non-ecologists, such as youngsters, can study
409 ecological dynamics through their phones or tablets while they are waiting for the bus. Resource
410 managers can make timely assessment of different resource utilization strategies on spot of a
411 meeting.

412 EcoPAD (v1.0) is backed up by data assimilation techniques, which facilitate inference of
413 model parameters and states based on observations. Ecology have witnessed a growing number
414 of studies focusing on parameter estimation using inverse modelling or data assimilation as large
415 volumes of ecological measurements become available. To satisfy the growing need of model
416 parameterization through observations, EcoPAD (v1.0) streamlines parameter estimations and
417 updates. Researchers can review and download files that record parameter values from EcoPAD
418 (v1.0) result repository. Since these parameters may have different biological, physical or
419 chemical meanings, the functionality of EcoPAD (v1.0) related to parameter estimations can
420 potentially embrace diverse subareas in ecology. For example, soil scientists can study the
421 acclimation of soil respiration to manipulative warming through shifts in the distribution of the
422 decomposition rate parameter from EcoPAD (v1.0). The threshold parameter beyond which
423 further harvesting of fish might cause a crash of fish stocks can be extracted through fish stock
424 assessment models and observations if mounted to EcoPAD (v1.0).

425 EcoPAD (v1.0) promotes uncertainty analysis, model structure evaluation and error
426 identification. One of the advantages of the Bayesian statistics is its capacity in uncertainty
427 analysis compared to other optimization techniques [Xu *et al.*, 2006; Wang *et al.*, 2009; Zhou *et*
428 *al.*, 2012]. Bayesian data assimilation (e.g., MCMC) takes into account observation uncertainties
429 (errors), generates distributions of model parameters and enables tracking of prediction
430 uncertainties from different sources [Ellison, 2004; Bloom *et al.*, 2016; Jiang *et al.*, 2018].
431 Uncertainty analysis through data assimilation applied to areas such as ecosystem phenology,
432 fish life cycle and species migration [Clark *et al.*, 2003; Cook *et al.*, 2005; Crozier *et al.*, 2008;
433 Luo *et al.*, 2011b], can potentially take advantage of EcoPAD (v1.0) platform to provide critical
434 information for well informed decisions in face of pressing global change challenges. In
435 addition, the archive capacity of EcoPAD (v1.0) facilitates future inter-comparisons among
436 different models or different versions of the same model to evaluate model structures and to
437 disentangle structure uncertainties and errors.

438 The realization of both the near-time and long-term ecological forecast is one of the key
439 innovations of EcoPAD (v1.0). Forecasting capability of EcoPAD (v1.0) is supported by
440 process-based ecological models, multiple observational or experimental data, inverse parameter
441 estimation and uncertainty quantification through data assimilation, and forward simulation
442 under future external conditions. The systematically constrained forecast from EcoPAD (v1.0) is
443 accompanied by uncertainty/confidence estimates to quantify the amount of information that can
444 actually be utilized from a study. The automated near time forecast, which is constantly adjusted
445 once new observational data streams are available, provides experimenters advanced and timely
446 information to assess and adjust experimental plans. For example, with forecasted and displayed
447 biophysical and biochemical variables, experimenters could know in advance what the most

448 likely biophysical conditions are. Knowing if the water table may suddenly go aboveground in
449 response to a high rainfall forecast in the coming week, could allow researcher to emphasize
450 measurements associated with methane flux. In such a way, experimenters can not only rely on
451 historical ecosystem dynamics, but also refer to future predictions. Experimenters will benefit
452 especially from variables that are difficult to track in field due to situations such as harsh
453 environment, shortage in man power or on instrument limitation.

454 Equally important, EcoPAD (v1.0) creates new avenues to answer classic and novel
455 ecological questions, for example, the frequently reported acclimation phenomena in ecology.
456 While growing evidence points to altered ecological functions as organisms adjust to the rapidly
457 changing world [*Medlyn et al.*, 1999; *Luo et al.*, 2001; *Wallenstein and Hall*, 2012], traditional
458 ecological models treat ecological processes less dynamical, as the governing biological
459 parameters or mechanisms fails to explain such biological shifts. EcoPAD (v1.0) facilitates the
460 shift of research paradigm from a fixed process representation to a more dynamic description of
461 ecological mechanisms with constantly updated and archived parameters constrained by
462 observations under different conditions. Specifically to acclimation, EcoPAD (v1.0) promotes
463 quantitatively evaluations while previous studies remain mostly qualitative [*Wallenstein and*
464 *Hall*, 2012; *Shi et al.*, 2015]. We will further illustrate how EcoPAD (v1.0) can be used to
465 address different ecological questions in the case studies of the SPRUCE project.

466

467 **3 EcoPAD performance at testbed - SPRUCE**

468 **3.1 SPRUCE project overview**

469 EcoPAD (v1.0) is being applied to the Spruce and Peatland Responses Under Climatic
470 and Environmental change (SPRUCE) experiment located at the USDA Forest Service Marcell

471 Experimental Forest (MEF, 47°30.476' N, 93°27.162' W) in northern Minnesota [Kolka *et al.*,
472 2011]. SPRUCE is an ongoing project focuses on long-term responses of northern peatland to
473 climate warming and increased atmospheric CO₂ concentration [Hanson *et al.*, 2017]. At
474 SPRUCE, ecologists measure various aspects of responses of organisms (from microbes to trees)
475 and ecological functions (carbon, nutrient and water cycles) to a warming climate. One of the
476 key features of the SPRUCE experiments is the manipulative deep soil/peat heating (0-3 m) and
477 whole ecosystem warming treatments (peat + air warmings) which include tall trees (> 4 m)
478 [Hanson *et al.*, 2017]. Together with elevated atmospheric CO₂ treatments, SPRUCE provides a
479 platform for exploring mechanisms controlling the vulnerability of organisms, biogeochemical
480 processes and ecosystems in response to future novel climatic conditions. The SPRUCE peatland
481 is especially sensitive to future climate change and also plays an important role in feeding back
482 to future climate change through greenhouse gas emissions as it stores a large amount of soil
483 organic carbon. Vegetation in the SPRUCE site is dominated by *Picea mariana* (black spruce)
484 and *Sphagnum spp* (peat moss). The studied peatland also has an understory which include
485 ericaceous and woody shrubs. There are also a limited number of herbaceous species. The whole
486 ecosystem warming treatments include a large range of both aboveground and belowground
487 temperature manipulations (ambient, control plots of + 0 °C, +2.25 °C, +4.5 °C, +6.75 °C and +9
488 °C) in large 115 m² open-topped enclosures with elevated CO₂ manipulations (+0 or +500 ppm).
489 The difference between ambient and +0 treatment plots is the open-topped and controlled-
490 environment enclosure.

491 The SPRUCE project generates a large variety of observational datasets that reflect
492 ecosystem dynamics from different scales and are available from the project webpage
493 (<https://mnspruce.ornl.gov/>) and FTP site (<ftp://sprucedata.ornl.gov/>). These datasets come from

494 multiple sources: half hourly automated sensor records, species surveys, laboratory
495 measurements, laser scanning images *etc.* Involvements of both modelling and experimental
496 studies in the SPRUCE project create the opportunity for data-model communication. Datasets
497 are pulled from SPRUCE archives and stored in the EcoPAD (v1.0) metadata catalog for running
498 the TECO model, conducting data-model fusion or forecasting. The TECO model has been
499 applied to simulate and forecast carbon dynamics with productions of CO₂ and CH₄ from
500 different carbon pools, soil temperature response, snow depth and freeze-thaw cycles at the
501 SPRUCE site [*Huang et al.*, 2017; *Ma et al.*, 2017; *Jiang et al.*, 2018].

502

503 **3.2 EcoPAD-SPRUCE web portal**

504 We assimilate multiple streams of data from the SPRUCE experiment to the TECO
505 model using the MCMC algorithm, and forecast ecosystem dynamics in both near time and for
506 the next 10 years. Our forecasting system for SPRUCE is available at
507 https://ecolab.nau.edu/ecopad_portal/. From the web portal, users can check our current near-
508 and long-term forecasting results, conduct model simulation, data assimilation and forecasting
509 runs, and analyse/visualize model results. Detailed information about the interactive web portal
510 is provided in the Appendices.

511 **3.3 Near time ecosystem forecasting and feedback to experimenters**

512 As part of the forecasting functionality, EcoPAD-SPRUCE automates the near time
513 (weekly) forecasting with continuously updated observations from SPRUCE experiments (Figure
514 4). We set up the system to automatically pull new data streams every Sunday from the SPRUCE
515 FTP site that holds observational data and update the forecasting results based on new data
516 streams. Updated forecasting results for the next week are customized for the SPRUCE

517 experiments with different manipulative treatments and displayed in the EcoPAD-SPRUCE
518 portal. At the same time, these results are sent back to SPRUCE communities and displayed
519 together with near-term observations for experimenter's reference.

520 **3.4 New approaches to ecological studies towards better forecasting**

521 **3.4.1 Case 1: Interactive communications among modellers and experimenters**

522 EcoPAD-SPRUCE provides a platform to stimulate interactive communications between
523 modellers and experimenters. Models require experimental data to constrain initial conditions
524 and parameters, and to verify model performance. A reasonable model is built upon correct
525 interpretation of information served by experimenters. Model simulations on the other hand can
526 expand hypothesis testing, and provide thorough or advanced information to improve field
527 experiments. Through recursively exchanging information between modellers and experimenters,
528 both models and field experiments can be improved. As illustrated in Figure 4, through extensive
529 communication between modellers and experimenters, modellers generate model predictions.
530 Model predictions provide experimenters advanced information, help experimenters think,
531 question and understand their experiments. Questions raised by experimenters stimulate further
532 discussion and communication. Through communication, models or/and measurements are
533 adjusted. With new measurements or/and strengthened models, a second round of prediction is
534 highly likely to be improved. As the loop of prediction-question-discussion-adjustment-
535 prediction goes on, forecasting is informed with best understandings from both data and model.

536 We illustrate how the prediction-question-discussion-adjustment-prediction cycle and
537 stimulation of modeller-experimenter communication improves ecological predictions through
538 one episode during the study of the relative contribution of different pathways to methane
539 emissions. An initial methane model was built upon information (e.g., site characteristics and

540 environmental conditions) provided by SPRUCE field scientists, taking into account important
541 processes in methane dynamics, such as production, oxidation and emissions through three
542 pathways (i.e., diffusion, ebullition and plant-mediated transportation). The model was used to
543 predict relative contributions of different pathways to overall methane emissions under different
544 warming treatments after being constrained by measured surface methane fluxes. Initial
545 forecasting results which indicated a strong contribution from ebullition under high warming
546 treatments were sent back to the SPRUCE group. Experimenters doubted about such a high
547 contribution from the ebullition pathway and a discussion was stimulated. It is difficult to
548 accurately distinguish the three pathways from field measurements. Field experimenters
549 provided potential avenues to extract measurement information related to these pathways, while
550 modellers examined model structure and parameters that may not be well constrained by
551 available field information. Detailed discussion is provided in Table 1. After extensive
552 discussion, several adjustments were adopted as a first step to move forward. For example, the
553 three-porosity model that was used to simulate the diffusion process was replaced by the
554 Millington-Quirk model to more realistically represent methane diffusions in peat soil; the
555 measured static chamber methane fluxes were also questioned and scrutinized more carefully to
556 clarify that they did not capture the episodic ebullition events. Measurements such as these
557 related to pore water gas data may provide additional inference related to ebullition. The updated
558 forecasting is more reasonable than the initial results although more studies are in need to
559 ultimately quantify methane fluxes from different pathways.

560 **3.4.2 Case 2: Acclimation of ecosystem carbon cycling to experimental manipulations**

561 As a first step, CH₄ static chamber flux measurements were assimilated into TECO to
562 assess potential acclimation phenomena during methane production under 5 warming treatments

563 (+0, +2.25, +4.5, +6.75, +9 °C). Initial results indicated a reduction in both the CH₄:CO₂ ratio
564 and the temperature sensitivity of methane production based on their posterior distributions
565 (Figure 5). The mean CH₄:CO₂ ratio decreased from 0.675 (+0 °C treatment) to 0.505 (+9 °C),
566 while the temperature sensitivity (Q₁₀) for CH₄ production decreased from 3.33 (+0 °C) to 1.22
567 (+9 °C treatment). Such shifts quantify potential acclimation of methane production to warming
568 and future climate warming is likely to have a smaller impact on emission than most of current
569 predictions that do not take into account of acclimation.

570 Despite these results are preliminary as more relevant datasets are under collection with
571 current ongoing warming manipulation and measurements, assimilating observations through
572 EcoPAD (v1.0) provides a quantitative approach to timely assess acclimation through time.
573 *Melillo et al.* [2017] revealed that the thermal acclimation of the soil respiration in the Harvard
574 Forest is likely to be phase (time) dependent during their 26-year soil warming experiment.
575 EcoPAD (v1.0) provides the possibility in tracing the temporal path of acclimation with its
576 streamlined structure and archive capacity. *Shi et al.* [2015] assimilated carbon related
577 measurements in a tallgrass prairie into the TECO model to study acclimation after 9-years
578 warming treatments. They revealed a reduction in the allocation of GPP to shoot, the turnover
579 rates of the shoot and root carbon pools, and an increase in litter and fast carbon turnovers in
580 response to warming treatments. Similarly, as time goes on, the SPRUCE experiment will
581 generate more carbon cycling related datasets under different warming and CO₂ treatments,
582 which can be mounted to EcoPAD (v1.0) to systematically quantify acclimations in carbon
583 cycling through time in the future.

584 **3.4.3 Case 3: Partitioning of uncertainty sources**

585 Uncertainties in ecological studies can come from observations (include forcing that
586 drives the model), different model structures to represent the real world and the specified model
587 parameters [*Luo et al.*, 2016]. Previous studies tended to focus on one aspect of the uncertainty
588 sources instead of disentangling the contribution from different sources. For example, the model
589 intercomparison projects (MIPs), such as TRENDY, focus on uncertainty caused by different
590 model structures with prescribed external forcing [*Sitch et al.*, 2008]. *Keenan et al.* [2012] used
591 data assimilation to constrain parameter uncertainties in projecting Harvard forest carbon
592 dynamics. *Ahlstrom et al.* [2012] forced one particular vegetation model by 18 sets of forcings
593 from climate models of the Coupled Model Intercomparison Project Phase 5 (CMIP5), while the
594 parameter or model structure uncertainty is not taken into account.

595 EcoPAD (v1.0) is designed to provide a thorough picture of uncertainties from multiple
596 sources especially in carbon cycling studies. Through focusing on multiple instead of one source
597 of uncertainty, ecologists can allocate resources to areas that cause relative high uncertainty.
598 Attribution of uncertainties in EcoPAD (v1.0) will rely on an ensemble of ecosystem models, the
599 data assimilation system and climate forcing with quantified uncertainty. *Jiang et al.* [2018]
600 focused specifically on the relative contribution of parameter uncertainty vs. climate forcing
601 uncertainty in forecasting carbon dynamics at the SPRUCE site. Through assimilating the pre-
602 treatment measurements (2011-2014) from the SPRUCE experiment, *Jiang et al.* [2018]
603 estimated uncertainties of key parameters that regulate the peatland carbon dynamics. Combined
604 with the stochastically generated climate forcing (e.g., precipitation and temperature), *Jiang et al.*
605 [2018] found external forcing resulted in higher uncertainty than parameters in forecasting
606 carbon fluxes, but caused lower uncertainty than parameters in forecasting carbon pools.
607 Therefore, more efforts are required to improve forcing measurements for studies that focus on

608 carbon fluxes (e.g., GPP), while reductions in parameter uncertainties are more important for
609 studies in carbon pool dynamics. Despite *Jiang et al.* [2018] does not quantify model structure
610 uncertainty, the project of incorporating multiple models inside EcoPAD (v1.0) is in progress,
611 and future uncertainty assessment will benefit from EcoPAD (v1.0) with its systematically
612 archived model simulation, data assimilation and forecasting.

613 **3.4.4 Case 4: Improving biophysical estimation for better ecological prediction**

614 Carbon cycling studies can also benefit from EcoPAD (v1.0) through improvements in
615 biophysical estimation. Soil environmental condition is an important regulator of belowground
616 biological activities and also feeds back to aboveground vegetation growth. Biophysical
617 variables such as soil temperature, soil moisture, ice content and snow depth, are key predictors
618 of ecosystem dynamics. After constraining the biophysical module by detailed monitoring data
619 from the SPRUCE experiment through the data assimilation component of EcoPAD (v1.0),
620 *Huang et al.* [2017] forecasted the soil thermal dynamics under future conditions and studied the
621 responses of soil temperature to hypothetical air warming. This study emphasized the importance
622 of accurate climate forcing in providing robust thermal forecast. In addition, *Huang et al.* [2017]
623 revealed non-uniform responses of soil temperature to air warming. Soil temperature responded
624 stronger to air warming during summer compared to winter. And soil temperature increased
625 more in shallow soil layers compared to deep soils in summer in response to air warming.
626 Therefore, extrapolating of manipulative experiments based on air warming alone may not
627 reflect the real temperature sensitivity of SOM if soil temperature is not monitored. As robust
628 quantification of environmental conditions is known to be a first step towards better
629 understanding of ecological process, improvement in soil thermal predictions through EcoPAD
630 (v1.0) data assimilation system is helpful in telling apart biogeochemical responses from

631 environmental uncertainties and also in providing field ecologists beforehand key environmental
632 conditions.

633 **3.4.5 Case 5: How do updated model and data contribute to reliable forecasting?**

634 Through constantly adjusted model and external forcing according to observations and
635 weekly archived model parameter, model structure, external forcing and forecasting results, the
636 contribution of model and data updates can therefore be tracked through comparing forecasted vs.
637 realised simulations. For example, Figure 6 illustrates how updated external forcing (compared
638 to stochastically generated forcing) and shifts in ecosystem state variables shape ecological
639 predictions. Similarly as in other EcoPAD-SPURCE case studies, TECO is trained through data
640 assimilation with observations from 2011-2014 and is used to forecast GPP and total soil organic
641 carbon content at the beginning of 2015. For demonstrating purpose, Figure 6 only shows 3
642 series of forecasting results instead of updates from every week. Series 1 (S1) records forecasted
643 GPP and soil carbon with stochastically generated weather forcing from January 2015-December
644 2024 (Figure 6a,b cyan). Series 2 (S2) records simulated GPP and soil carbon with observed
645 climate forcing from January 2015 to July 2016 and forecasted GPP and soil carbon with
646 stochastically generated forcing from August 2016 - December 2024 (Figure 6a,b red). Similarly,
647 the stochastically generated forcing in Series 3 (S3) starts from January 2017 (Figure 6a,b blue).
648 For each series, predictions were conducted with randomly sampled parameters from the
649 posterior distributions and stochastically generated forcing. We displayed 100 mean values
650 (across an ensemble of forecasts with different parameters) corresponding to 100 forecasts with
651 stochastically generated forcing.

652 GPP is highly sensitive to climate forcing. The differences between the updated (S2, 3)
653 and initial forecasts (S1) reach almost $800 \text{ gC m}^{-2} \text{ year}^{-1}$ (Figure 6c). The discrepancy is strongly

654 dampened in the following 1-2 years. The impact of updated forecasts is close to 0 after
655 approximately 5 years. However, soil carbon pool shows a different pattern. Soil carbon pool is
656 increased by less than 150 gC m⁻², which is relative small compared to the carbon pool size of *ca.*
657 62000 gC m⁻². The impact of updated forecasts grows with time and reaches the highest at the
658 end of the simulation year 2024. GPP is sensitive to the immediate change in climate forcing
659 while the updated ecosystem status (or initial value) has minimum impact in the long-term
660 forecast of GPP. The impact of updated climate forcing is relatively small for soil carbon
661 forecasts during our study period. Soil carbon is less sensitive to the immediate change of
662 climate compared to GPP. However, the alteration of system status affects soil carbon forecast
663 especially in a longer time scale.

664 Since we are archiving updated forecasts every week, we can track the relative
665 contribution of ecosystem status, forcing uncertainty and parameter distributions to the overall
666 forecasting patterns of different ecological variables and how these patterns evolve in time. In
667 addition, as growing observations of ecological variables (e.g., carbon fluxes and pool sizes)
668 become available, it is feasible to diagnose key factors that promote robust ecological forecasting
669 through comparing the archived forecasts vs. observation and analysing archives of model
670 parameters, initial values and climate forcing *etc.*

671

672 **4 Discussion**

673 **4.1 The necessity of interactive infrastructure to realize ecological forecasting**

674 Substantial increases in data availability from observational and experimental networks,
675 surges in computational capability, advancements in ecological models and sophisticated
676 statistical methodologies and pressing societal need for best management of natural resources

677 have shifted ecology to emphasis more on quantitative forecasts. However, quantitative
678 ecological forecast is still young and our knowledge about ecological forecasting is relatively
679 sparse, inconsistent and disconnected [*Luo et al.*, 2011b; *Petchey et al.*, 2015]. Therefore, both
680 optimistic and pessimistic viewpoints exist on the predictability of ecology [*Clark et al.*, 2001;
681 *Beckage et al.*, 2011; *Purves et al.*, 2013; *Petchey et al.*, 2015; *Schindler and Hilborn*, 2015].
682 Ecological forecasting is complex and advantages in one single direction, for example,
683 observations alone or statistical methodology alone, is less likely to lead to successful forecasting
684 compared to approaches that effectively integrate improvements from multiple sectors.
685 Unfortunately, realised ecological forecasting that integrates available resources is relative rare
686 due to lack of relevant infrastructures.

687 EcoPAD (v1.0) provides such effective infrastructure with its interactive platform that
688 rigorously integrates merits from models, observations, statistical advance, information
689 technology and human resources from experimenters and modellers to best inform ecological
690 forecasting, boost forecasting practice and delivery of forecasting results. Interactions enable
691 exchanging and extending of information so as to benefit from collective knowledge. For
692 example, manipulative studies will have a much broader impact if the implications of their
693 results can be extended from the regression between environmental variable and ecosystem
694 response, such as be integrated into an ecosystem model through model-data communication.
695 Such an approach will allow gaining information about the processes responsible for ecosystem's
696 response, constraining models, and making more reliable predictions. Going beyond common
697 practice of model-data assimilation from which model updating lags far behind observations,
698 EcoPAD (v1.0) enables iterative model updating and forecasting through dynamically
699 integrating models with new observations in near real-time. This near real-time interactive

700 capacity relies on its scientific workflow that automates data management, model simulation,
701 data simulation and result visualization. The system design encourages thorough interactions
702 between experimenters and modellers. Forecasting results from SPRUCE were timely shared
703 among research groups with different background through the web interface. Expertise from
704 different research groups was integrated to improve a second round of forecasting. Again, thanks
705 to the workflow, new information or adjustment is incorporated into forecasting efficiently,
706 making the forecasting system fully interactive and dynamical.

707 We also benefit from the interactive EcoPAD (v1.0) platform to broaden user-model
708 interactions and to broadcast forecasting results. Learning about the ecosystem models and data-
709 model fusion techniques may lag one's productivity and even discourage learning the modelling
710 techniques because of their complexity and long learning curve. Because EcoPAD (v1.0) can be
711 accessed from a web browser and does not require any coding from the user's side, the time lag
712 between learning the model structure and obtaining model-based results for one's study is
713 minimal, which opens the door for non-modeller groups to "talk" with models. The online
714 storage of one's results lowers the risk of data loss. The results of each model run can be easily
715 tracked and shared with its unique ID and web address. In addition, the web-based workflow also
716 saves time for experts with automated model running, data assimilation, forecasting, structured
717 result access and instantaneous graphic outputs, bringing the possibility for thorough exploration
718 of more essence part of the system. The simplicity in use of EcoPAD (v1.0) at the same time
719 may limit their access to the code and lowers the flexibility. Flexibility for users with higher
720 demands, for example, those who wanted to test alternative data assimilation methods, use a
721 different carbon cycle model, change the number of calibrated parameters, include the
722 observations for other variables, is provided through the GitHub repository

723 (<https://github.com/ou-ecolab>). This GitHub repository contains code and instruction for
724 installing, configuring and controlling the whole system, users can easily adapt the workflow to
725 wrap their own model based on his or her needs.

726 **4.2 Implications for better ecological forecasting**

727 Specifically to reliable forecasting of carbon dynamics, our initial exploration from
728 EcoPAD-SPRUCE indicates that realistic model structure, correct parameterization and accurate
729 external environmental conditions are essential. Model structure captures important known
730 mechanisms that regulate ecosystem carbon dynamics. Adjustment in model structure is critical
731 in our improvement in methane forecasting. Model parameters may vary between observation
732 sites, change with time or environmental conditions [Medlyn *et al.*, 1999; Luo *et al.*, 2001]. A
733 static or wrong parameterization misses important mechanisms (e.g., acclimation and adaptation)
734 that regulate future carbon dynamics. Not well constrained parameters, for example, caused by
735 lack of information from observational data, contribute to high forecasting uncertainty and low
736 reliability of forecasting results. Correct parameterization is especially important for long-term
737 carbon pool predictions as parameter uncertainty resulted in high forecasting uncertainty in our
738 case study [Jiang *et al.*, 2018]. Parameter values derived under the ambient condition was not
739 applicable to the warming treatment in our methane case due to acclimation. External
740 environmental condition is another important factor in carbon predictions. External
741 environmental condition includes both the external climatic forcing that is used to drive
742 ecosystem models and also the environmental condition that is simulated by ecosystem models.
743 As we showed that air warming may not proportionally transfer to soil warming, realistic soil
744 environmental information needs to be appropriately represented to predict soil carbon dynamics
745 [Huang *et al.*, 2017]. The impact of external forcing is especially obvious in short term carbon

746 flux predictions. Forcing uncertainty resulted in higher forecasting uncertainty in carbon flux
747 compared to that from parameter uncertainty [*Jiang et al.*, 2018]. Mismatches in forecasted vs.
748 realised forcing greatly increased simulated GPP and the discrepancy diminished in the long run.
749 Reliable external environmental condition, to some extent, reduces the complexity in diagnosing
750 modelled carbon dynamics.

751 Pool-based vs. flux-based predictions are regulated differently by external forcing and
752 initial states, which indicates that differentiated efforts are required to improve short vs. long-
753 term predictions. External forcing, which has not been well emphasized in previous carbon
754 studies, has strong impact on short term forecasting. The large response of GPP to forecasted vs.
755 realised forcing as well the stronger forcing-caused uncertainty in GPP predictions indicate
756 correct forcing information is a key step in short term flux predictions. In this study, we
757 stochastically generated the climate forcing based on local climatic conditions (1961-2014),
758 which is not sufficient in capturing local short-term climate variability. As a result, updated GPP
759 went outside our ensemble forecasting. On the other hand, parameters and historical information
760 about pool status are more important in long-term pool predictions. Therefore, improvement in
761 long-term pool size predictions cannot be reached by accurate climatic information alone.
762 Instead, it requires accumulation in knowledge related to site history and processes that regulate
763 pool dynamics.

764 Furthermore, reliable forecasting needs understanding of uncertainty sources in addition
765 to the future mean states. Uncertainty and complexity are major reasons that lead to the belief in
766 “computationally irreducible” and low intrinsic predictability of ecological systems [*Coreau et*
767 *al.*, 2010; *Beckage et al.*, 2011; *Schindler and Hilborn*, 2015]. Recent advance in computational
768 statistical methods offers a way to formally accounting for various uncertainty sources in

769 ecology [Clark *et al.*, 2001; Cressie *et al.*, 2009]. And the Bayesian approach embedded in
770 EcoPAD (v1.0) brings the opportunity to understand and communicate forecasting uncertainty.
771 Our case study revealed that forcing uncertainty is more important in flux-based predictions
772 while parameter uncertainty is more critical in pool-based predictions. Actually, how forecasting
773 uncertainty changes with time, what are the dominate contributor of forecasting uncertainty (e.g.,
774 parameter, initial condition, model structure, observation errors, forcing *etc.*), how uncertainty
775 sources interact among different components, or to what extent unconstrained parameters affect
776 forecasting uncertainty are all valuable questions that can be explored through EcoPAD (v1.0).

777 **4.3 Applications of EcoPAD to manipulative experiments and observation sites**

778 Broadly speaking, data-model integration stands to increase the overall precision and
779 accuracy of model-based experimentation [Luo *et al.*, 2011b; Niu *et al.*, 2014]. Systems for
780 which data have been collected in the field and which are well represented by ecological models
781 therefore have the capacity to receive the highest benefits from EcoPAD (v1.0) to improve
782 forecasts. In a global change context, experimental manipulations including ecosystem responses
783 to changes in precipitation regimes, carbon dioxide concentrations, temperatures, season lengths,
784 and species compositional shifts can now be assimilated into ecosystem models [Xu *et al.*, 2006;
785 Gao *et al.*, 2011; Lebauer *et al.*, 2013; Shi *et al.*, 2016]. Impacts of these global change factors
786 on carbon cycling and ecosystem functioning can now be measured in a scientifically transparent
787 and verifiable manner. This leads to ecosystem modelling of systems and processes that can
788 obtain levels of confidence that lend credibility with the public to the science's forward progress
789 toward forecasting and predicting [Clark *et al.*, 2001]. These are the strengths of a widely-
790 available interface devoted to data-model integration towards better forecasting.

791 The data-model integration framework of EcoPAD (v1.0) creates a smart interactive
792 model-experiment (ModEx) system. ModEx has the capacity to form a feedback loop in which
793 field experiment guides modelling and modelling influences experimental focus [*Luo et al.*,
794 2011a]. We demonstrated how EcoPAD (v1.0) works hand-in-hand between modellers and
795 experimenters in the life-cycle of the SPRUCE project. Field experiment from SPRUCE
796 community provides basic data to set up the ecosystem model and update model parameters
797 recursively, while the forecasting from ecosystem modelling informs experimenters the potential
798 key mechanisms that regulate ecosystem dynamics and help experimenters to question and
799 understand their measurements. The EcoPAD-SPRUCE system operates while experimenters are
800 making measurements or planning for future researches. Information is constantly fed back
801 between modellers and experimenters, and simultaneous efforts from both parties illustrate how
802 communications between model and data advance and shape our understanding towards better
803 forecasts during the lifecycle of a scientific project. ModEx can be extended to other
804 experimental systems to: 1, predict what might be an ecosystem's response to treatments once
805 experimenter selected a site and decided the experimental plan; 2, assimilate data experimenters
806 are collecting along the experiment to constrain model predictions; 3, project what an
807 ecosystem's responses may likely be in the rest of the experiment; 4, tell experimenters what are
808 those important datasets experimenters may want to collect in order to understand the system; 5,
809 periodically updates the projections; and 6, improve the models, the data assimilation system,
810 and field experiments during the process.

811 In addition to the manipulative experimental, the data assimilation system of EcoPAD
812 (v1.0) can be used for automated model calibration for FLUXNET sites or other observation
813 networks, such as the NEON and LTER [*Johnson et al.*, 2010; *Robertson et al.*, 2012]. The

814 application of EcoPAD (v1.0) at FLUXNET, NEON or LTER sites includes three steps in
815 general. First, build the climate forcing in the suitable formats of EcoPAD (v1.0) from the
816 database of each site; Second, collect the prior information (include observations of state
817 variables) in the data assimilation system from FLUXNET, NEON or LTER sites; Third,
818 incorporate the forcing and prior information into EcoPAD (v1.0), and then run the EcoPAD
819 (v1.0) with the dynamic data assimilation system. Furthermore, facing the proposed continental
820 scale ecology study [Schimel, 2011], EcoPAD (v1.0) once properly applied could also help
821 evaluate and optimize field deployment of environmental sensors and supporting
822 cyberinfrastructure, that will be necessary for larger, more complex environmental observing
823 systems being planned in the US and across different continents. Altogether, with its milestone
824 concept, EcoPAD (v1.0) benefits from observation and modelling and at the same time advances
825 both observation and modelling of ecological studies.

826 **4.4 Future developments**

827 As we indicated, EcoPAD (v1.0) will expand as time goes on. The system is designed to
828 incorporate multiple process-based models, diverse data assimilation techniques and various
829 ecological state variables for different ecosystems. Case studies presented in earlier sections are
830 based primarily on one model. A multiple (or ensemble) model approach is helpful in tracking
831 uncertainty sources from our process understanding. With rapid evolving ecological knowledge,
832 emerging models with different hypotheses, such as the microbial-enzyme model [Wieder *et al.*,
833 2013], enhance our capacity in ecological prediction but can also benefit from rapid tests against
834 data if incorporated into EcoPAD (v1.0). In addition to MCMC [Braswell *et al.*, 2005; Xu *et al.*,
835 2006], a variety of data assimilation techniques have been recently applied to improve models
836 for ecological forecasting, such as the EnKF [Gao *et al.*, 2011], Genetic Algorithm [Zhou and

837 *Luo*, 2008] and 4-d variational assimilation [*Peylin et al.*, 2016]. Future development will
838 incorporate different optimization techniques to offer users the option to search for the best
839 model parameters by selecting and comparing the possibly best method for their specific studies.
840 We focus mostly on carbon related state variables in the SPRUCE example, and the data
841 assimilation system in EcoPAD (v1.0) needs to include more observed variables for constraining
842 model parameters. For example, the NEON sites not only provide measured ecosystem CO₂
843 fluxes and soil carbon stocks, but also resources (e.g., GPP/Transpiration for water and
844 GPP/intercepted PAR for light) use efficiency [*Johnson et al.*, 2010].

845 With these improvements, one goal of EcoPAD (v1.0) is to enable the research
846 community to understand and reduce forecasting uncertainties from different sources and
847 forecast various aspects of future biogeochemical and ecological changes as data become
848 available. The example of *Jiang et al.* [2018] partitioned forecasting uncertainty from forcings
849 and parameters. An exhaustive understanding of forecasting uncertainty in ecology need to also
850 consider model structures, data assimilation schemes as well as different ecological state
851 variables. Researchers interested in creating their own multiple model and/or multiple
852 assimilation scheme version of EcoPAD (v1.0) can start from the GitHub repository
853 (<https://github.com/ou-ecolab>) where the source code of the EcoPAD (v1.0) workflow is
854 archived. To add a new variable that is not forecasted in the EcoPAD-SPRUCE example, it
855 requires modellers and experimenters to work together to understand their process-based model,
856 their observations and how messaging works in the workflow of EcoPAD (v1.0) following the
857 example of EcoPAD-SPRUCE. To add a new model or a new data assimilation scheme for
858 variables that are forecasted in EcoPAD-SPRUCE, researchers need to create additional dockers

859 and mount them to the existing workflow with the knowledge of how information are passed
860 within the workflow.

861 The power of EcoPAD (v1.0) not only lies in its scientific values, but also in the potential
862 service it can bring to the society. Forecasting with carefully quantified uncertainty is helpful in
863 providing support for natural resource manager and policy maker [Clark *et al.*, 2001]. It is
864 always difficult to bring the complex mathematical ecosystem models to the general public,
865 which creates a gap between current scientific advance and public awareness. The web-based
866 interface from EcoPAD (v1.0) makes modelling as easy as possible without losing the
867 connection to the mathematics behind the models. It will greatly transform environmental
868 education and encourage citizen science [Miller-Rushing *et al.*, 2012; Kobori *et al.*, 2016] in
869 ecology and climate change with future outreach activities to broadcast the EcoPAD (v1.0)
870 platform.

871 **5 Conclusion**

872 The fully interactive web-based Ecological Platform for Assimilating Data (EcoPAD)
873 into models aims to promote data-model integration towards predictive ecology through bringing
874 the complex ecosystem model and data assimilation techniques accessible to different audience.
875 It is supported by meta-databases of biogeochemical variables, libraries of modules of process
876 models, toolbox of inversion techniques and the scalable scientific workflow. Through these
877 components, it automates data management, model simulation, data assimilation, ecological
878 forecasting, and result visualization, providing an open, convenient, transparent, flexible,
879 scalable, traceable and readily portable platform to systematically conduct data-model
880 integration towards better ecological forecasting.

881 We illustrated several of its functionalities through the Spruce and Peatland Responses
882 Under Climatic and Environmental change (SPRUCE) experiment. The iterative forecasting
883 approach from EcoPAD-SPRUCE through the prediction-question-discussion-adjustment-
884 prediction cycle and extensive communication between model and data creates a new paradigm
885 to best inform forecasting. In addition to forecasting, EcoPAD enables interactive web-based
886 approach to conduct model simulation, estimate model parameters or state variables, quantify
887 uncertainty of estimated parameters and projected states of ecosystems, evaluate model
888 structures, and assess sampling strategies. Altogether, EcoPAD-SPRUCE creates a smart
889 interactive model-experiment (ModEx) system from which experimenters can know what an
890 ecosystem's response might be at the beginning of their experiments, constrain models through
891 collected measurements, predict ecosystem's response in the rest of the experiments, adjust
892 measurements to better understand their system, periodically update projections and improve
893 models, the data assimilation system, and field experiments.

894 Specifically to forecasting carbon dynamics, EcoPAD-SPRUCE revealed that better
895 forecasting relies on improvements in model structure, parameterization and accurate external
896 forcing. Accurate external forcing is critical for short-term flux-based carbon predictions while
897 right process understanding, parameterization and historical information are essential for long-
898 term pool-based predictions. In addition, EcoPAD provides an avenue to disentangle different
899 sources of uncertainties in carbon cycling studies and to provide reliable forecasts with
900 accountable uncertainties.

901

902 **Code availability:**

903 EcoPAD portal is available at https://ecolab.nau.edu/ecopad_portal/ and code is provided at the
904 GitHub repository (<https://github.com/ou-ecolab>).

905 **Data availability:**

906 Relevant data for this manuscript is available at the SPRUCE project webpage
907 (<https://mnspruce.ornl.gov/>) and the EcoPAD web portal (https://ecolab.nau.edu/ecopad_portal/
908). Additional data can be requested from the corresponding author.

909 **Competing interests:**

910 The authors declare that they have no conflict of interest.

911 **Acknowledgement:**

912 SPRUCE components of this work (PJH, DMR) are based upon work supported by the U.S.
913 Department of Energy, Office of Science, Office of Biological and Environmental Research. Oak
914 Ridge National Laboratory is managed by UT-Battelle, LLC, for the U.S. Department of Energy
915 under contract DE-AC05-00OR22725.

916

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1223 **Tables**

1224 Table 1. Discussion stimulated by EcoPAD-SPRUCE forecasting among modellers and
1225 experimenters on how to improve predictions of the relative contribution of different pathways
1226 of methane emissions

	Discussion
1	No strong bubbles are noted at field and a non-observation constrained modelling study at a similar site from another project concluded minor ebullition contribution, which are at odds with TECO result.
2	CH ₄ :CO ₂ ratio might explain the discrepancy. The other modelling study assumed that decomposed C is mainly turned into CO ₂ and a smaller fraction is turned into CH ₄ . The large CH ₄ :CO ₂ ratio at this site may result in higher CH ₄ flux. It seems that the most “flexible” term is ebullition because any "excess" (above saturation) CH ₄ is immediately released to ebullition, while the plant transport term is constrained by vegetation data.
3	Experimental researches on the relative contribution to methane emission from three different pathways are rare.
4	Current available observations include net surface flux of methane from the large collars, incubation data that should represent methane sources within the profile, and gas/DOC profile data that can indicate active zones within the peat profile. What are additional data needed to constrain relative contribution of different pathways?
5	I had always thought that peatlands don't bubble much, but the super-sensitive GPS measurements found movements of the surface of the GLAP peatlands consistent with degassing events, and subsurface radar images did show layers that were interpreted as bubble-layers.
6	Pore water gas data, perhaps N ₂ or Ar may shed some light on the relative importance of ebullition.
7	It is really hard to accurately distinguish the three pathways. It has to rely on multiple approaches. Particularly for the SPRUCE site, the vegetation cover varies, vegetation species varies. How many channels each species has affect the transport? Meanwhile, the presence of plant (even not vascular plant) will lead to more gas transport, but as bubbles, rather than plant-mediated transport.
8	It depends on model structure and algorithm to simulate diffusion, vascular, and ebullition. Most models assume a threshold to allow ebullition. Diffusion is treated in similar ways as ebullition in some models (most one layer or two layers models). For the multiple layers models, the diffusion occurs from bottom to top mm by mm, layer by layer, therefore, the gas diffusion from top layer to atmosphere is considered the diffusion flux. If that is the case, the time step and wind speed and pressure matter (most models do not consider wind and pressure impacts). Plant transport is really dependent on the parameter for plant species, aerenchyma, etc. The gas transportability of plant is associated with biomass, NPP, or root biomass, seasonality of plant growth, etc. in models. All these differences might cause biases in the final flux.
9	With only the CH ₄ emission data cannot constrain the relative contribution of three pathways. Concentration data in different soil layers may help constrain.
10	Diffusion coefficient calculation in TECO adopts the "three-porosity-model" which is ideal for mineral soil, but may not fit the organic soil. "Millington-Quirk model" for should be a better choice for peat soil.
11	The boundary condition should be taken care of, but it brings in more uncertainties including the wind speed and piston velocity, etc.,
12	CH ₄ emissions captured in static chambers does not include the episodic ebullition events. So (1) the static chambers underestimate the total methane emission and (2) might need to exclude the ebullition pathway when using the observation data to constrain the CH ₄ emission. But this point seems haven't been paid attention to in other models.

1227

1228 **Figure Legends**

1229 **Figure 1** Schema of approaches to forecast future ecological responses from common practice
1230 (the upper panel) and the Ecological Platform for Assimilation of Data (EcoPAD) (bottom
1231 panel). The common practice makes use of observations to develop or calibrate models to make
1232 predictions while the EcoPAD approach advances the common practice through its fully
1233 interactive platform. EcoPAD consists of four major components: experiment/data, model, data
1234 assimilation and the scientific workflow (green arrows or lines). Data and model are iteratively
1235 integrated through its data assimilation systems to improve forecasting. And its near-real time
1236 forecasting results are shared among research groups through its web interface to guide new data
1237 collections. The scientific workflow enables web-based data transfer from sensors, model
1238 simulation, data assimilation, forecasting, result analysis, visualization and reporting,
1239 encouraging broad user-model interactions especially for the experimenters and the general
1240 public with limited background in modelling. Images from the SPRUCE field experiments
1241 (<https://mnspruce.ornl.gov/>) are used to represent data collection and the flowchart of TECO
1242 model is used to delegate ecological models.

1243 **Figure 2** The data assimilation system inside the Ecological Platform for Assimilation of Data
1244 (EcoPAD) towards better forecasting of terrestrial carbon dynamics

1245 **Figure 3** The scientific workflow of EcoPAD. The workflow wraps ecological models and data
1246 assimilation algorithms with the docker containerization platform. Users trigger different tasks
1247 through the Representational State Transfer (i.e., RESTful) application-programming interface
1248 (API). Tasks are managed through the asynchronous task queue, Celery. Tasks can be executed
1249 concurrently on a single or more worker servers across different scalable IT infrastructures.

1250 MongoDB is a database software that takes charge of data management in EcoPAD and
1251 RabbitMQ is a message broker.

1252

1253 **Figure 4.** Schema of interactive communication between modellers and experimenters through
1254 the prediction-question-discussion-adjustment-prediction cycle to improve ecological
1255 forecasting. The schema is inspired by an episode of experimenter-modeller communication
1256 stimulated by the EcoPAD-SPRUCE platform. The initial methane model constrained by static
1257 chamber methane measurements was used to predict relative contributions of three methane
1258 emission pathways (i.e., ebullition, plant mediated transportation (PMT) and diffusion) to the
1259 overall methane fluxes under different warming treatments (+ 0 °C, +2.25 °C, +4.5 °C, +6.75 °C
1260 and +9 °C). The initial results indicated a dominant contribution from ebullition especially under
1261 +9 °C which was doubted by experimenters. The discrepancy stimulated communications
1262 between modellers and experimenters with detailed information listed in Table 1. After extensive
1263 discussion, the model structure was adjusted and field observations were re-evaluated. And a
1264 second round of forecasting yielded more reliable predictions.

1265 **Figure 5.** Posterior distribution of the ratio of CH₄:CO₂ (panel a) and the temperature sensitivity
1266 of methane production (Q₁₀_{CH₄}, panel b) under 5 warming treatments.

1267 **Figure 6.** Updated vs. un-updated forecasting of gross primary production (GPP, panels a,c) and
1268 soil organic C content (SoilC, panels b,d). The upper panels show 3 series of forecasting with
1269 updated vs. stochastically generated weather forcing. Cyan indicates forecasting with 100
1270 stochastically generated weather forcing from January 2015 to December 2024 (S1); red
1271 corresponds to updated forecasting with two stages, that is, updating with measured weather
1272 forcing from January 2015 to July 2016 followed by forecasting with 100 stochastically

1273 generated weather forcing from August 2016 to December 2024 (S2); and blue shows updated
1274 forecasting with measured weather forcing from January 2015 to December 2016 followed by
1275 forecasting with 100 stochastically generated weather forcing from January 2017 to December
1276 2024 (S3). The bottom panels display mismatches between updated forecasting (S2,3) and the
1277 original un-updated forecasting (S1). Red displays the difference between S2 and S1 ($S2-S1$) and
1278 blue shows discrepancy between S3 and S1 ($S3-S1$). Dashed green lines indicate the start of
1279 forecasting with stochastically generated weather forcing. Note that the left 2 panels are plotted
1280 on yearly time-scale and the right 2 panels show results on monthly time-scale.

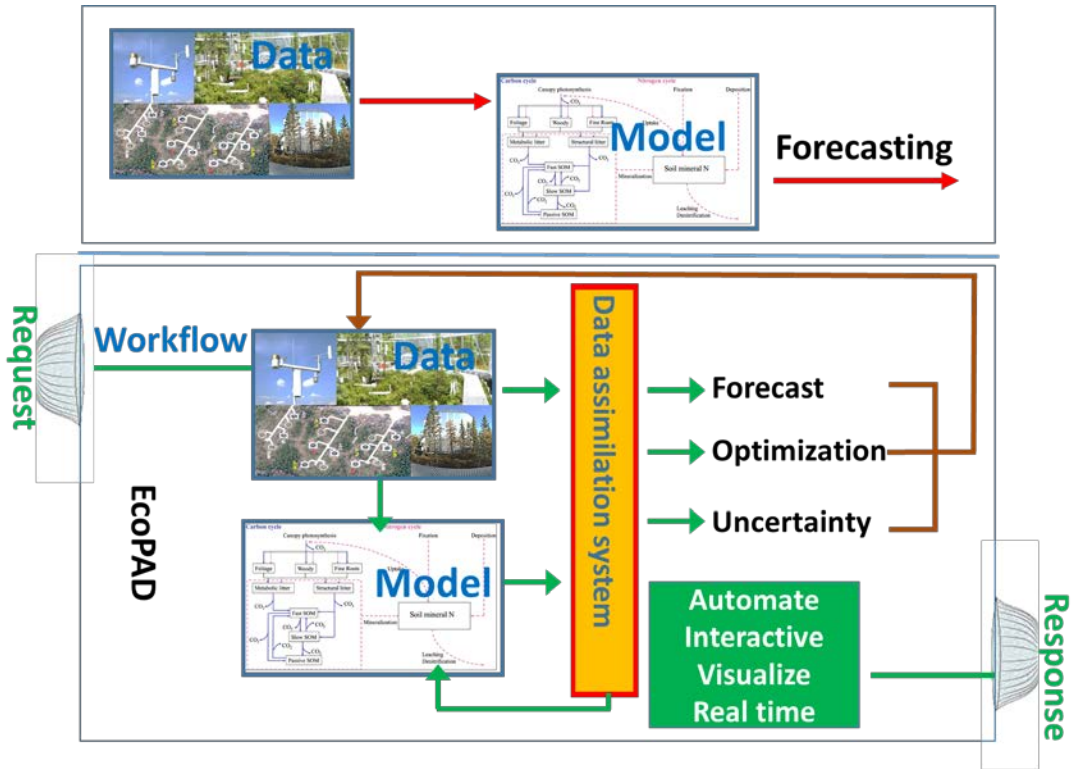
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1284 **Figure 1**

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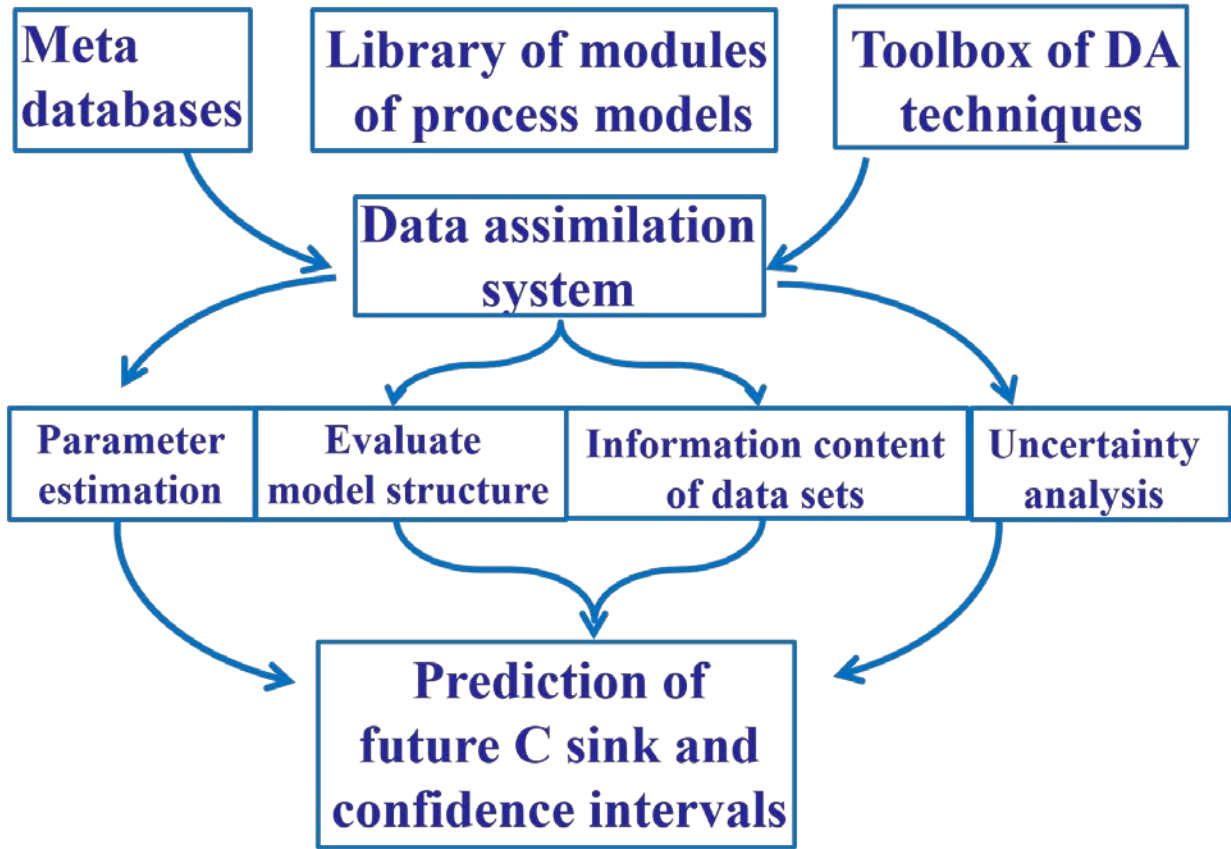


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1288 **Figure 2**

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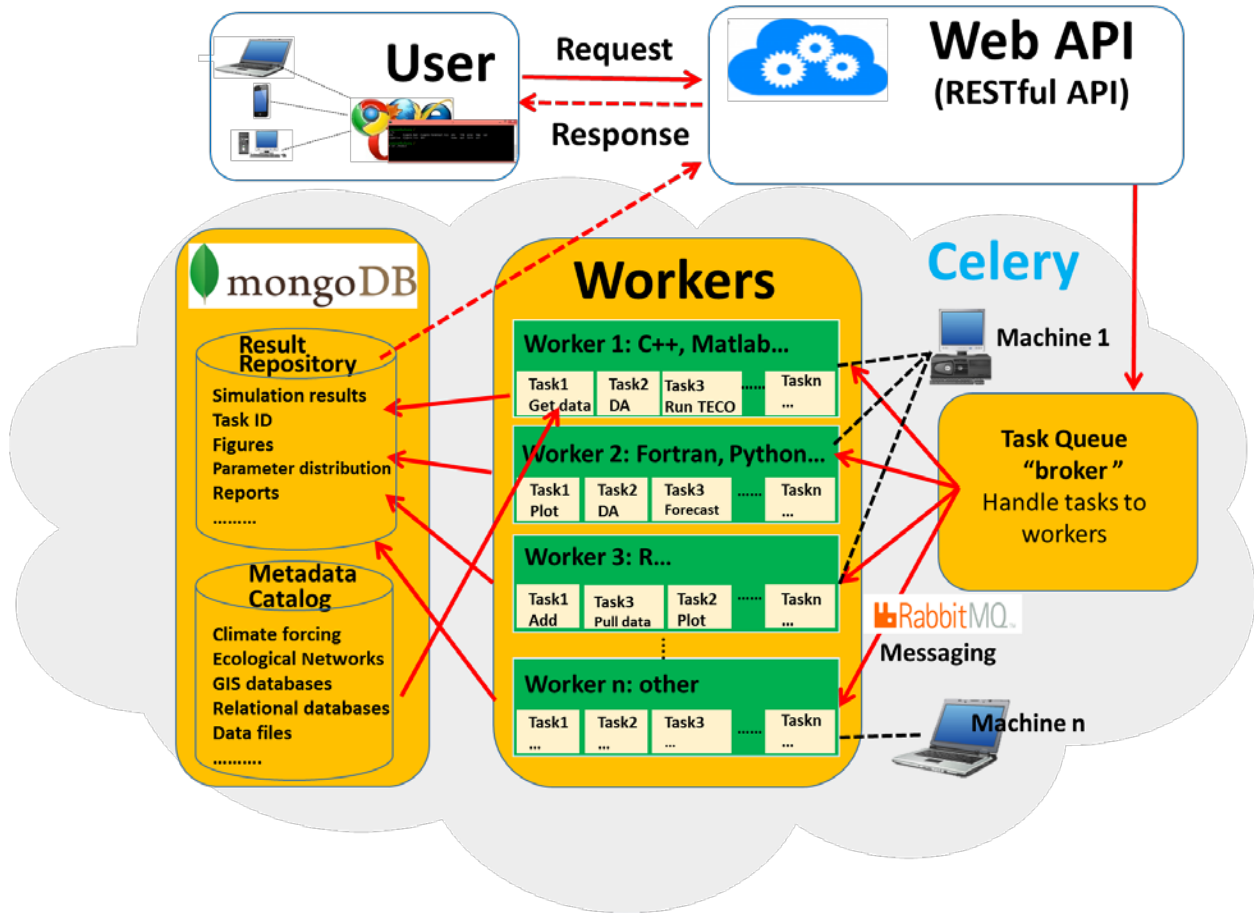
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1295 **Figure 3**

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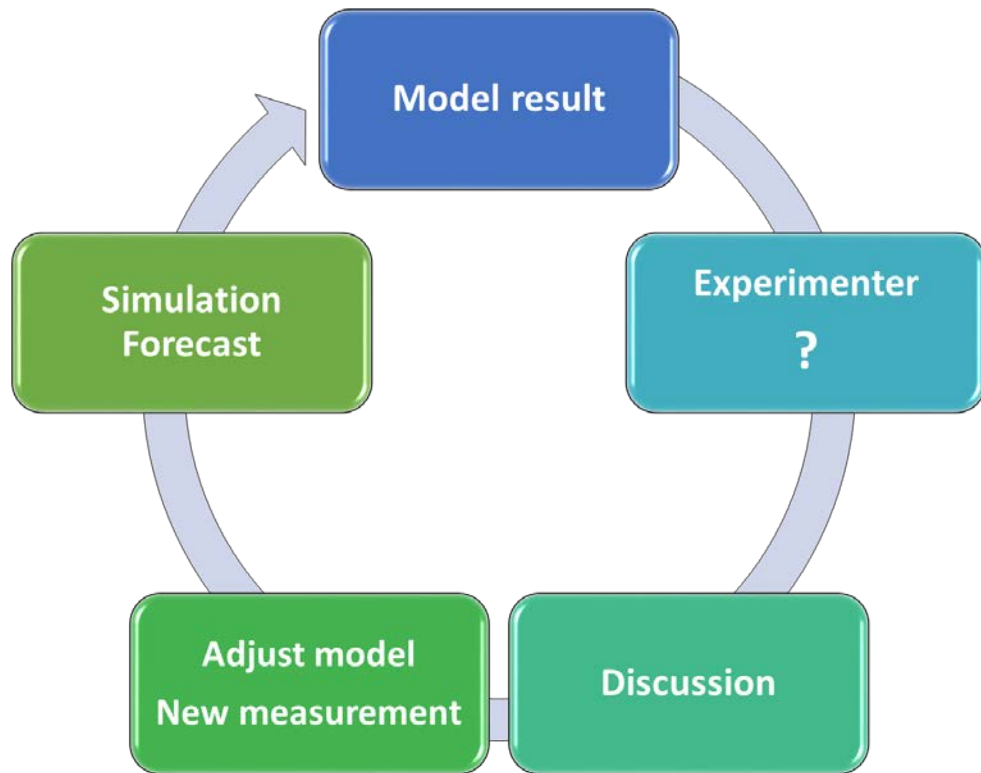
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1300 **Figure 4**

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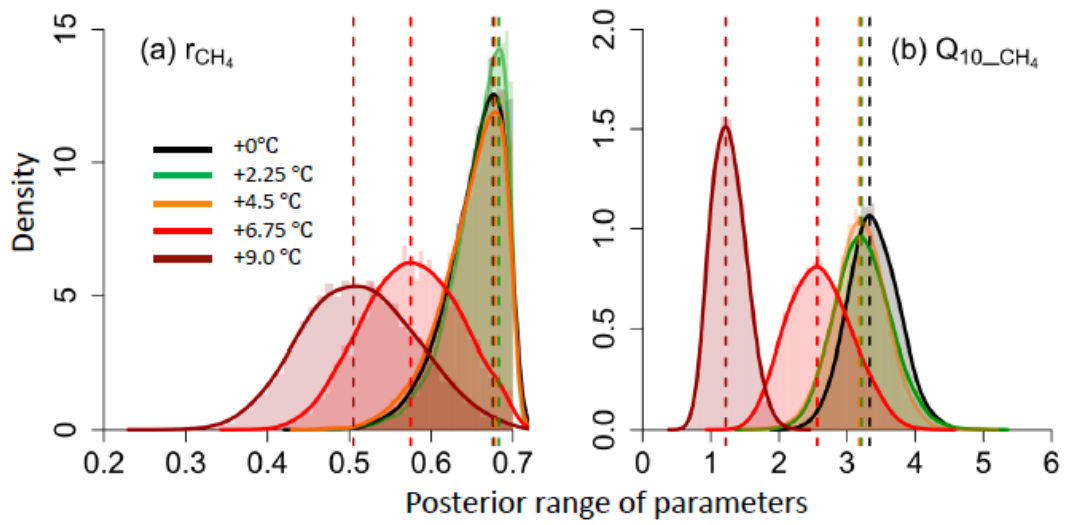


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1304 **Figure 5**

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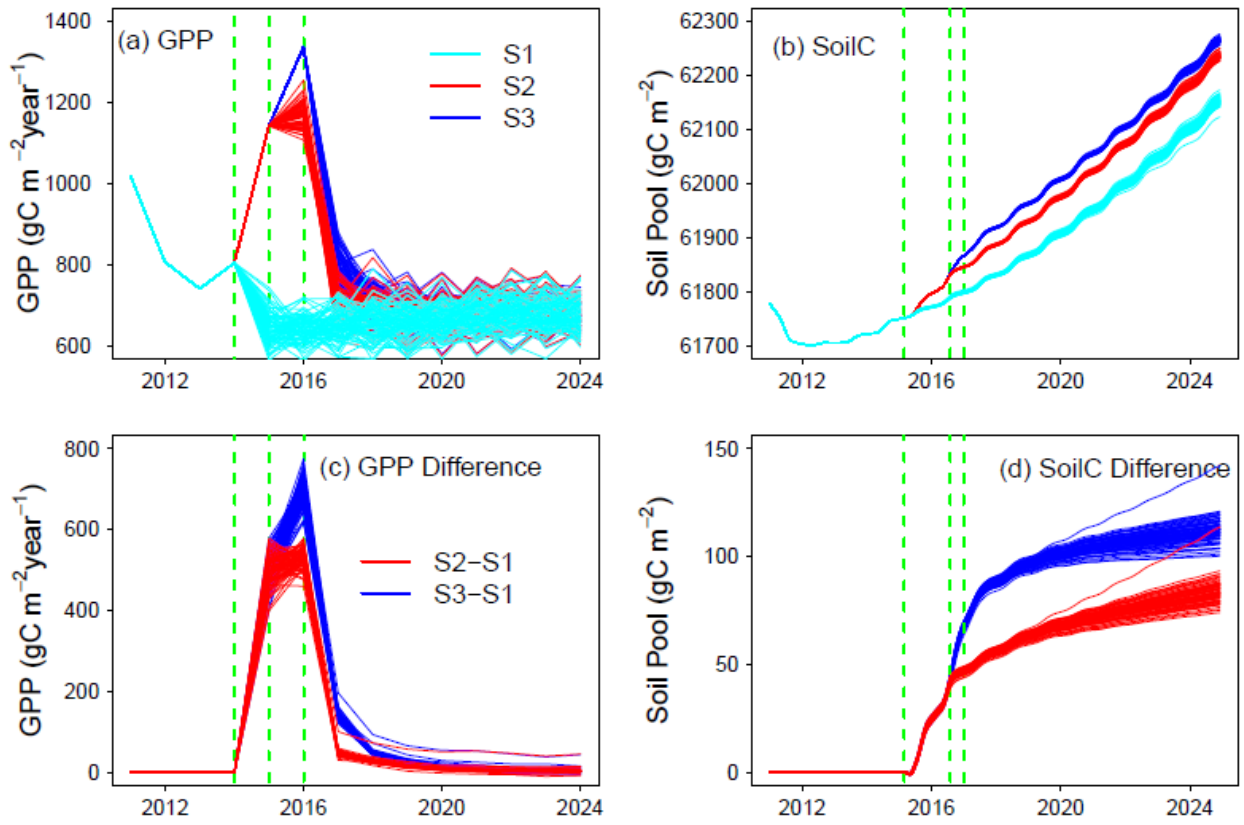
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1311 **Figure 6**



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