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Marine biogeochemical cycling and climate-carbon cycle feedback simulated by the NUIST Earth System Model: NESM-2.0.1

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Abstract. In this study, we evaluate the performance of Nanjing University of Information Science & Technology Earth System Model, version 2.0.1 (hereafter NESM-2.0.1). We focus on model simulated historical and future oceanic CO_2 uptake, and analyze the effect of global warming on model-simulated oceanic CO_2 uptake. Compared with available observations and data-based estimates, NESM-2.0.1 reproduces reasonably well large-scale ocean carbon-related fields, including nutrients (phosphate, nitrite and silicate), chlorophyll, and net primary production. However, some noticeable discrepancies between model simulations and observations are found in the deep ocean and coastal regions. Model-simulated current-day oceanic CO_2 uptake compares well with data-based estimate. From pre-industrial time to 2011, modeled cumulative CO_2 uptake is 144 PgC, compared with data-based estimates of 155 ± 30 PgC. Diagnosed from the end of the benchmark 1% per year CO_2 increase simulations, carbon-climate feedback parameter, which represents the sensitivity of ocean CO_2 uptake to climate change, is -7.1 PgC/K; carbon-concentration feedback parameter, which represents the sensitivity of ocean CO_2 uptake to increase in atmospheric CO_2 is 0.81 PgC/ppm. These two feedback parameters diagnosed from model simulations are consistent with the mean value diagnosed from the CMIP5 (Coupled Model Intercomparison Project phase 5) model simulations under the same 1% per year CO_2 simulations (-7.8 PgC/K and 0.80 PgC/ppm, respectively). Our results demonstrate that NESM-2.0.1 can be used as a useful tool in the investigation of feedback interactions between the ocean carbon cycle, atmospheric CO_2, and climate change.

1 Introduction

Global carbon cycle plays an important role in the climate system. The increase in atmospheric carbon dioxide (CO_2) is responsible for a large part of observed increase in global mean surface temperature (Ciais et al., 2013). From 1750 to 2016, about 645±80 PgC (1 PgC = 10^{15} gram carbon) of anthropogenic carbon has been emitted to the atmosphere, including 420±20 PgC from fossil fuels and industry and 225±75 PgC from land-use-change (Le Quéré et al., 2017). This CO_2 emission causes atmospheric CO_2 concentration to increase by 45% from an annual mean preindustrial value of ~277 parts per million (ppm)

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(Joos and Spahni, 2008) to 404 ppm in 2016 (NOAA ESRL Global Monitoring Division, 2016).

The ocean carbon cycle is one of the main components of global carbon cycle. As a large carbon reservoir, the global ocean contains more than 50 times of carbon than that of the atmosphere (Menon et al., 2007). The global ocean also plays a key role in anthropogenic CO_2 uptake (Ballantyne et al., 2012; Wanninkhof et al., 2013). Since preindustrial time to now, about 25% of

5   anthropogenic CO_2 (about 160±20 PgC) has been absorbed by the ocean (Le Quéré et al., 2017). The ocean carbon cycle involves many complex physical, chemical, and biological processes such as chemical reaction of water molecular with dissolved CO_2, biological uptake of CO_2 through photosynthesis by phytoplankton in the upper ocean, and transport of inorganic and organic carbon into the ocean interior (Sarmiento and Gruber, 2006).

Increase in atmospheric CO_2, by perturbing atmospheric radiation balance, leads to climate change. Changes in atmospheric

10   temperature, precipitation, evaporation, and wind, induces changes in ocean physical properties such as temperature, salinity, and ocean circulation. (Levitus et al., 2000; Gregory et al. 2005; Pierce et al., 2012). These changes in ocean physical properties in turn affect the ocean carbon cycle (Sarmiento and Gruber, 2006). For example, increasing temperature can directly decrease CO_2 solubility and result in the reduction of ocean CO_2 uptake (Najjar 1992; Teng et al., 1996). Changes in wind speed can also directly influence air-sea carbon exchange by changing gas transfer velocity (Wanninkhof 1992; Wanninkhof and Trinanes

15   2017). Previous studies also show that global warming would lead to a weakening of global thermohaline circulation and increase ocean stratification (Gregory et al. 2005), both of which result in a slower transport of carbon from the surface to the ocean interior, reducing ocean's uptake of anthropogenic CO_2 (Cox et al., 2000; Zickfeld et al., 2008). Therefore, it is important to gain a good understanding of the potential effect of global warming on the ocean carbon cycle.

Friedlingstein et al., (2006) proposed that the response of oceanic uptake of atmospheric CO_2 can be represented by the linear

20   sum of two components: 1) carbon-concentration feedback, which refers to the response of ocean CO_2 uptake to increasing atmospheric CO_2 alone; 2) carbon-climate feedback, which refers to the response of ocean CO_2 uptake to CO_2-induced climate change alone. Adopting the above conceptual framework, Friedlingstein et al., (2006) analyzed the carbon-concentration and carbon-climate feedback from Coupled Climate–Carbon Cycle Model Intercomparison Project (C^4MIP) results. Since the work of Friedlingstein et al., (2006), a number of studies have analyzed the magnitudes of the carbon-concentration and carbon-

25   climate feedback and their interactions under different CO_2 emission and concentration scenarios using CMIP5 model results (Gregory et al., 2009; Boer and Arora, 2009; Arora et al., 2013).

Given the importance of carbon cycle feedback in current and future global climate change, it is necessary to include the representation of global carbon cycle in Earth system models (Bretherton, 1985; Menon et al., 2007). In 2014, a new Earth system model, Nanjing University of Information Science and Technology Earth System Model version 1.0, was developed.

30   NESM v1.0 reproduces realistic large-scale present-day climatic fields such as sea surface temperature (SST) and precipitation. Large-scale climate variability and climate mode such as El Niño–Southern Oscillation (ENSO) and Madden–Julian oscillation (MJO) are also well reproduced (Cao et al., 2015). Moreover, NESM v1.0 also shows good skills in simulation of Indian monsoon precipitation (Li et al., 2017). Recently, the new version of NESM-2.0.1, is developed based on NESM v2.0. In this new version, *Pelagic Interactions Scheme for Carbon and Ecosystem Studies* (PISCES v2) is coupled to the ocean circulation

model to represent the ocean biogeochemical processes (Aumont et al., 2015). PISCES can be used for both regional and global simulation of lower tropic levels of marine ecosystems and ocean carbon cycle (Bopp et al., 2005; Resplandy et al., 2012). The Earth System model of the Institute Pierre et Simon Lappace and Centre National de Recherche en Météorologie (IPSL-CNRM) ESM, which uses PISCES to represent the ocean carbon cycle, contributed to CMIP5 (Séférian et al., 2013).

5   In this study, we present the performance of the ocean carbon cycle component in NESM-2.0.1. In Section 2, we describe the model of NESM-2.0.1 with the focus on the ocean carbon cycle component, as well as the setup of simulation experiments. In Section 3, we present the model performance. We evaluate modeled nutrients and ecosystem fields against available observations in Section 3.1. In Section 3.2, we evaluate modeled oceanic uptake of anthropogenic CO_2 during the historical period against data-based estimates. In Section 3.3, we analyze modeled carbon-concentration feedback and carbon-climate

10   feedback under different CO_2 concentration scenarios and compare our results with CMIP5 model results. Conclusion and discussions are given in Section 4.

2 Method

2.1 Model

2.1.1 Framework of NESM-2.0.1

15   Nanjing University of Information Science and Technology (NUIST) Earth System Model (NESM) is a comprehensive Earth System Model that is designed to study interactions between different components of the Earth climate system and its response to natural and anthropogenic forcing. NESM-2.0.1 is developed based on the framework of NESM v2 with active ocean biogeochemical cycle, and the physical components of NESM-2.0.1 is same as NESM v2. The physical components of the NESM are described in detailed in Cao et al., (2015). Here we briefly introduce its main features. NESM consists of three main component models, including European Centre Hamburg Atmospheric Model (ECHAM v5.3) (Roeckner et al., 2003),

20   Nucleus for European Modeling of the Ocean version 3.4 (NEMO v3.4-revision 3814) (Madec and NEMO team, 2012) and Los Alamos sea-ice model version 4.1 (CICE v4.1) (Hunke and Lipsomb, 2010). The three component models are coupled by the Ocean-Atmosphere-Sea-Ice-Soil (OASIS v3.0) Model coupling Toolkit (OASIS3-MCT) (Larson et al. 2005). The atmospheric resolution used in NESM v2 is T42L31 which has a horizontal resolution of ~ 2.8° latitude by 2.8° longitude and

25   31 vertical levels. The land surface model is a simple surface scheme that is implicitly coupled with the atmosphere, in which surface fluxes and temperature are computed using energy balance equation (Schulz et al., 2001). Surface albedo depends on background, snow, forest and canopy, and snow processes from canopy to soil are prognostically calculated (Roesch et al., 2001). A simple mixed-layer lake is also used. Ocean component runs with an ORCA2 global ocean configuration, which is a type of tripole grid. It is based on a 2 degree Mercator mesh and has 31 vertical levels with the thickness of ocean layers increasing from 10m at the upper ocean to 500m at 5000m depth. A local transformation is applied in the Tropics to refine the

30   resolution up to 0.5 degree at the Equator. Sea-ice component includes four vertical ice layers and one snow layer with a multi-

layer thermodynamic scheme.

2.1.2 Ocean biogeochemical component

NESM-2.0.1 employs standard PISCES v2 code to represent the ocean biogeochemical cycle in the atmosphere-ocean-sea-ice

modeling system. No modification is applied to biological parameters and their formulations in the source code. There are 24

5   prognostic tracers in total in NESM-2.0.1, including dissolved inorganic and organic carbon, alkalinity, chlorophyll, nutrients

and etc. Total Variance Dissipation (TVD) scheme (Zalesak, 1979) is used to describe the advection of these tracers. Carbonate

chemistry including air-sea CO_2 exchange is formulated based on the Ocean Carbon-Cycle Model Intercomparison Project

(OCMIP-2) protocol (more information can be access for free at http://ocmip5.ipsl.jussieu.fr/OCMIP/).

The inorganic and organic carbon cycle is simulated via the processes between nutrients, phytoplankton, and zooplankton.

10   These processes including phytoplankton growth and mortality, grazing by zooplankton, aggregation and remineralization of

organic matter (Aumont et al., 2015). Growth rate of phytoplankton is limited by availability of nutrients, including phosphate,

nitrate, silicate, iron, and ammonium. Also, phosphate, nitrate and carbon in phytoplankton are linked by a constant Redfield

ratio. In NESM-2.0.1, the Redfield ratio of C: N: P is set to be 122:16:1 (Takahashi et al., 1985) and the –O/C ratio is set to

1.34 (Kortzinger et al., 2001). In contrast, the Fe / C, Chlorophyll / C, and Silicon / C ratio are prognostically simulated by the

15   model. Mortality and aggregation of phytoplankton is associated with the calcifying organisms and bigenic silica. In NESM-

2.0.1, 50% of the calcified organisms are associated with the shell. As a consequence, 50% of the dying calcified biomass is

routed to the fast sinking particulate organic matter (POM). The degradation of the particles depends on the local temperature

and while the remineralization of depends on local oxygen concentration. For POM, a simple two-compartment scheme is

used. In that case, POM is modeled using two tracers corresponding to the two size classes: smaller class (1-100µm) and larger

20   class (100-500µm), which also differ from their sinking speed.

The exchange between sediments and the ocean interior at the bottom boundary can be represented either with or without a

sediment model. In NESM-2.0.1, the sediment model is inactive. Basic but different parameterizations are applied depending

on tracers considered. For example, buried biogenic silica is assumed to balance the external source, buried POM is determined

by the organic carbon sinking rate at the bottom, and all the particulate iron would buried into the sediment once they reach

25   the ocean bottom. The amount of unburied parts dissolve back to ocean instantaneously. Expect the interior processes, the

external sources of nutrients are also described as geochemical boundary conditions in NESM-2.0.1, including atmospheric

dust deposition of iron and silicon, river recharge of nutrients, dissolved carbon, and alkalinity, atmospheric deposition of

nitrogen, and sediment mobilization of sedimentary iron.

In addition, light penetration parameterization is regarded as an important factor which could influence ocean thermal structure.

30   In NESM-2.0.1, incoming solar radiation is distributed among the upper ocean layers (391m), and bio-model penetration

parameterization scheme is used to calculate the distribution of solar radiation. In that case, solar radiation penetrate rate is

dependent on modeled chlorophyll concentration in each ocean layer (Lengaigne et al., 2009).

*[handwritten: * SST or SAT? later, ∆SAT is shown.]* *[handwritten: check mean SST — cold bias? 13.1°C @ 280 ppm]*

2.2 Simulations

First, NESM-2.0.1 was spun up for 1500 years with all related parameters set to pre-industrial values including orbit parameters and greenhouse gases (GHGs) concentrations (280 ppm for CO_2, 720 ppb for CH_4, 270 ppb for N_2O, and 0 ppt for both CFC_{11} and CFC_{12}). Averaged over the last 100 years of the spun-up simulation, globally integrated air-sea CO_2 flux is 0.03 PgC yr^{-1}, *[handwritten: ? no river balance?]*

5   and the linear drift is 0.0006 PgC yr^{-1} per year, indicating that a quasi-equilibrium state has been reached for the global ocean carbon cycle. Meanwhile, global mean SST averaged over the last 100 years of spun-up simulation is 13.1 Celsius (°C) with the linear drift of 0.001 °C per year, and ocean mean temperature 3.4 °C with the linear drift of 0.0004 °C per year, indicating that ocean climate field has also reached a quasi-equilibrium state.

Using the end of the 1500-year spun simulation as the initial state of the nominal pre-industrial year of 1800, the model is
10   further integrated to year 2100 with prescribed time-series of atmospheric concentrations of GHGs, including CO_2, CH_4, N_2O, and CFCs and aerosols, i.e. historical runs from year 1800 to 2005 and RCP8.5 scenario runs from 2006 to 2100. In historical runs, prescribed concentrations of GHGs are taken from observational records (http://www.mpimet.mpg.de/en/science/observations-data), and in RCP8.5 scenario runs, prescribed GHGs are taken from representative concentrations pathways of RCP 8.5 (Moss et al., 2010). In addition, following the protocol of CMIP5 (Taylor
15   et al. 2012), we performed idealized 1%/yr CO_2 run (core 6.1 in CMIP5 experiment design), in which atmospheric CO_2 is increased at a rate of 1% per year starting from the end state of pre-industrial control simulation with other GHGs concentration remaining at preindustrial level. The simulation lasted for 140 years until atmospheric CO_2 concentration has quadrupled. Here we mainly focus on the ocean carbon cycle response and use prescribed atmospheric CO_2 concentrations, and the feedback from the terrestrial carbon cycle is not considered. *[handwritten: is there one?]*

20   To separate the effect of atmospheric CO_2 and global warming on the ocean carbon cycle, for each of the three simulations above, three sensitivity experiments (biogeochemically coupled, radiatively coupled, and fully coupled) were performed as summarized in Table 1. These types of simulations were also performed by previous studies that investigated the effect of CO_2 and CO_2-induced climate change on the global carbon cycle (Friedlingstein et al., 2006; Arora et al., 2013; Schwinger et al., 2014).

25   1) Biogeochemically coupled (BC) simulations in which the code of the ocean carbon cycle sees changing atmospheric CO_2, but the code of atmospheric radiation sees constant pre-industrial concentration of CO_2 and other GHGs as set in the spun-up simulation. In this way, the ocean carbon cycle is only affected by changing atmospheric CO_2, but not GHG-induced climate change;

2) Radiatively coupled (RC) simulations in which the code of the ocean carbon cycle sees pre-industrial atmospheric CO_2, but
30   the code of atmospheric radiation sees changing concentrations of atmospheric CO_2 and other GHGs. In this way, the ocean carbon cycle is only affected by GHG-induced climate change, but not by the direct effect of changing atmospheric CO_2.

3) Fully-coupled (FC) simulations in which both the codes of the ocean carbon cycle and atmospheric radiation see changing concentrations of atmospheric CO_2 and other GHGs. In this way, the ocean carbon cycle is affected by changes in both

atmospheric CO_2 and GHG-induced climate change.

In total, there are 10 different simulations in this study, including one fully coupled spin-up simulation for 1500 years, three historical runs (FC, BC, and RC) from 1800 to 2005, three RCP8.5 runs (FC, BC, and RC) from 2006 to 2100, and three idealized 1%/yr CO2 runs (FC, BC, and RC) for 140 years.

3 Results

3.1 Evaluation of NESM-2.0.1 simulated present-day ocean ecosystem

In this section, we compare model-simulated ocean biogeochemical fields that are directly related to the ocean ecosystem and carbon cycle, including nutrients, chlorophyll, and net primary production (NPP) against available observational and data-based estimates. A detailed description of the observational data used is given in Appendix A. To have a direct comparison between NESM-2.0.1 results and observations, we interpolate NESM-2.0.1 results onto the corresponding grids of observational data using the distance-weighted averaged remapping method, as detailed in Appendix A.

Nutrients limit the growth of phytoplankton and play a vital role in the ocean biogeochemical cycles. Figure 1 compares model-simulated annual mean spatial distributions of macronutrients, including nitrate (N), phosphate (P), and silicate (Si) during 1990s against WOA09 observations during the same period (Garcia, et al., 2010). As shown in Fig. 1, the model reproduces reasonably well the large-scale pattern of macronutrient distributions at ocean surface. Relatively high nutrient concentrations are found in the Southern Ocean, subarctic Pacific Ocean, and the mid-east Pacific Ocean where strong vertical mixing and upwelling bring nutrient-rich deep water to the surface (Whitney, 2011). Relatively low concentrations of nutrients are found in subtropical regions where the vertical mixing between surface and the deep ocean is relatively weak. Some noticeable discrepancies between model results and observations are noticed (Fig. 1). For example, modeled surface silicate concentrations are much higher than the observation in the Equatorial Pacific Ocean. Also, simulated surface phosphate concentration is lower than the observation in the Equatorial Pacific.

Figure 2 shows globally averaged vertical profiles of macro-nutrients during 1990s and WOA09 observations. In general, the concentrations of silicate, phosphate, and nitrate are more enriched in the deep ocean as a result of remineralization of organic matter in the ocean interior. NESM-2.0.1 simulates the vertical distribution of silicate reasonably well. However, the model underestimates the concentration of phosphate and nitrate between 500 to 2000m depth, and overestimates their concentrations below ~2000m. The modeled deficiencies of nutrient distributions is associated with the modeled deficiencies in the ocean dynamics or/and parameterizations of the ocean biological processes. The inclusion of natural radiocarbon (^{14}C) in the model, which is not implemented yet, would be useful in separating the effect of modeled ocean dynamics and biology (Stocker and Wright, 1996). We will further discuss this issue in the Section 4.

Figure 3 shows modeled spatial distribution of annual mean surface chlorophyll concentration during 1990s compared with SeaWifs observational data (Behrenfeld and Falkowski, 1997a, 1997b.). The model simulates reasonably well the large scale pattern of ocean surface chlorophyll concentration with high levels of chlorophyll in subarctic Pacific Ocean, North Atlantic

Ocean, equatorial Pacific, and low levels of chlorophyll in subtropical ocean. NESM-2.0.1 simulates relatively higher chlorophyll concentration along the extratropical (except Arctic) coastal regions, but compared to observations, the model generally underestimates chlorophyll concentration at the tropical coastal regions, especially over the tropical Indian Ocean and Atlantic Ocean. This underestimation is probably associated with the deficiencies in modeled coastal dynamics, which is usually not represented well by the relatively coarse resolution global ocean models (Aumont et al., 2015). It is reported that the observed chlorophyll distribution is better reproduced when PISCES is coupled to a higher resolution ocean circulation model (Lee et al., 2000; Hood et al., 2003; Kone et al., 2009). In the Southern Ocean where the seawater is typically characterized by high nutrients and low chlorophyll (Lin et al., 2016), the model apparently overestimates chlorophyll concentration when compared with satellite-derived observations. Previous studies pointed out that chlorophyll concentrations derived from reflectance by standard algorithms tend to be underestimated by a factor of about 2 to 2.5, especially in intermediate concentrations regions such as the Southern Ocean (Garcia et al., 2005; Kahru and Mitchell, 2010). Therefore, the overestimate of chlorophyll concentration by NESM-2.0.1 in the Southern Ocean may partly be explained by the underestimates of satellite-derived chlorophyll.

Net primary production (NPP) of phytoplankton in NESM-2.0.1 is calculated as a function of nutrient availability, ocean temperature, and photosynthetic available radiation (PAR), which is associated with distribution of chlorophyll. Here we compare modeled NPP with data-based estimate that is calculated as a function of sea surface temperature, PAR, and satellite-observed chlorophyll concentrations (Fig. 4). The large-scale distribution of relatively high NPP in the central-eastern Pacific, subarctic Pacific, and North Atlantic is well reproduced by NESM-2.0.1. Major discrepancies are seen in the central-eastern Pacific, northern North Atlantic and Arctic coastal regions. The overestimated NPP in the equatorial central-eastern Pacific is probably related to the model's bias in simulation of the Pacific cold tongue, which was shifted westward with overestimated intensity (Cao et al., 2015). NPP are underestimated in the northern North Atlantic, Arabian Sea, subarctic North Pacific and Arctic coastal regions, which is likely related to the model's underestimation of veridical mixing and coastal upwelling. Averaged over 1990s, globally integrated ocean NPP from NESM-2.0.1 simulation is 41.5 PgC yr^{-1}, compared with data-based estimates of 37 to 67 PgC yr^{-1}. The large range of data-based estimate of global NPP is a result of different satellite observations and different algorithms for the NPP estimation (Longhurst et al., 1995; Antoine et al., 1996; Behrenfeld and Falkowski, 1997b; Behrenfeld et al., 2005). Global NPP simulated by CMIP5 models also show a wide range of values from 30.9 to 78.7 PgC yr^{-1} (Bopp et al., 2013). NESM-2.0.1 simulated values of global NPP is therefore within the range of data-based estimates and current model simulations. Of the NESM-2.0.1 simulated global ocean NPP, 19% is contributed from diatoms, and 81% is contributed from nanoplankton. For comparison, the data-based estimate of NPP associated with diatoms accounts for 7% to 32% of the total NPP (Uitz et al., 2010; Hirata et al., 2011), and ocean biogeochemical models estimate that 15% to 30% of global NPP is from diatoms (Aumont et al., 2003; Dutkiewicz et al., 2005; Yool and Popova, 2011).

Spatial resolution of the oceanic component of NESM-2.0.1 is relatively coarse, especially in high latitudes. It is reported that an ocean model with higher spatial resolution would produce a larger NPP as mesoscale and submesoscale processes would significantly enhance ocean biological productivity (McGillicuddy et al., 1998; Oschlies and Garçon, 1998; Lévy et al., 2001).

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Also, coastal regions would also be better presented in a higher resolution model. Therefore, it is possible that an ocean model with higher spatial resolution would simulate a higher NPP than what is presented here. drop

3.2 Evaluation of NESM-2.0.1 simulated ocean carbon cycle with observations

Here we compare NESM-2.0.1 simulated air-sea CO_2 flux and oceanic uptake of atmospheric CO_2 during the historical period against available observations.

We compare model-simulated air-sea CO_2 flux against observational-based estimate for the reference year of 2000 (Takahashi et al., 2009). Air-sea CO_2 flux in NESM-2.0.1 is calculated following OCMIP protocol that is defined as: (OCMIP more than that)

$$F = K_w \times S_A \times (P_W - P_a) \tag{1}$$

Where K_w is the CO_2 gas transfer coefficient calculated as a function of wind speed, S_A is the solubility of CO_2 at the sea surface, P_a and P_W are CO_2 partial pressure in the overlying atmosphere and sea surface, respectively. Defined in this way, a positive value of F represents CO_2 flux out of the ocean, i.e. from the ocean to the atmosphere.

As shown in Fig. 5, NESM-2.0.1 reproduces well observed large-scale pattern of air-sea CO_2 flux with CO_2 outgassing in the equatorial oceans and CO_2 uptake in the mid-to-high latitude oceans. Strong CO_2 uptake is observed in the North Atlantic Ocean where sea surface temperature is low and formation of deep water is active, both of which act to absorb CO_2 from the atmosphere. It appears that the model overestimates both the amount and spatial extent of CO_2 outgassing in the equatorial Pacific Ocean. According to Eq. (1), air-sea CO_2 flux is calculated from the combined effects of three factors: surface wind speed, CO_2 solubility and partial pressure difference between atmosphere and ocean (dpCO2). As expected, the global pattern of air-sea CO_2 flux is mainly determined by the simulated difference in CO_2 pressure (dpCO2). Simulated bias in wind speed would also contribute to the bias in the air-sea CO_2 flux. As pointed out by Cao et al., (2015), wind speed in the equatorial Pacific Ocean is overestimated relative to observations, which would also contribute to the overestimated air-sea CO_2 flux in this region. Integrated over the global ocean, NESM-2.0.1 simulated air-sea CO_2 flux for year 2000 is 1.7 PgC yr^{-1}, compared well with data-based estimate of 1.6 ± 0.9 PgC yr^{-1} (Takahashi et al., 2009).

Now we compare model-simulated ocean storage of anthropogenic CO_2 during the 1990s (i.e. cumulative ocean CO_2 uptake from pre-industrial time as a result of the ocean's uptake of anthropogenic CO_2 emissions) with data-based estimates from GLODAP (Key et al., 2004) in terms of both latitude-depth distribution (Fig. 6a, c) and vertically integrated column inventory (Fig. 6b, d). NESM-2.0.1 captures the basic features of observed distribution of anthropogenic CO_2 in the ocean. As pointed out by Sabine et al., (2004), deep penetration of anthropogenic CO_2 is typically associated with convergence zones at temperate latitudes and high latitude oceans where vertical mixing is strong. For both data-based estimates and model simulations, a substantial amount of anthropogenic CO_2 has penetrated down to the ocean interior as deep as 1000 m with two penetration tongues centered near 30°N and 40°S. In the North Atlantic, where deep water forms, a substantial amount of anthropogenic CO_2 has penetrated down to more than 2000 m depth. NESM-2.0.1 also captures the observed large-scale pattern of the vertically integrated column inventory of anthropogenic CO_2 with largest storage in the North Atlantic Ocean. However, the model appears to overestimate

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1 the carbon storage in the Pacific Ocean at the northern hemisphere.

Globally integrated anthropogenic CO_2 from NESM-2.0.1 simulation and observation for the 1990s is 120 PgC and 106 ± 17 PgC (Sabine et al., 2004), respectively. We also calculated the present-day anthropogenic CO_2 budget over different periods (1980s, 1990s, 2000s, 2002-2011, and from pre-industrial to 2011) and compared NESM-2.0.1 simulated results against the

5 data-based estimate provided by IPCC AR5 (Table 2). The model-simulated ocean uptake of anthropogenic CO_2 is slightly lower than that from IPCC AR5, but within the estimated uncertainty range. For example, from the pre-industrial time to year 2011, NESM-2.0.1 simulated cumulative oceanic CO_2 uptake is 144 PgC, compared with data-based estimates of 155 ± 30 PgC.

Figure 7 compares spatial pattern of NESM-2.0.1 simulated carbon-related fields with corresponding observations using Taylor

10 diagrams (Taylor, 2001). As shown in Fig. 7, model-simulated statistic pattern of surface nitrite and phosphate compares well with observations with correlation coefficients r>0.9 and normalized standardized deviation (SD) close to 1.0. However, the simulated spatial pattern of surface silicate shows larger deviations from the observation. Simulated spatial pattern of chlorophyll and NPP compares poorly with observations with a correlation of 0.45 and 0.40, respectively. Simulated spatial pattern of air-sea CO_2 flux shows good agreement with observations with a correlation coefficient of 0.75 and a normalized

15 SD close to 1. It is noted that chlorophyll, NPP and air-sea CO_2 flux is not directly observed but diagnosed from observational-based data, and there is considerable uncertainty associated with the data.

In short, NESM-2.0.1 reproduces reasonably well the large-scale features of marine biogeochemical fields, including nutrients, chlorophyll, NPP, air-sea CO_2 flux, and anthropogenic carbon storage, indicating that the model can be used as a useful tool to investigate the response of the ocean carbon cycle to changes in atmospheric CO_2 and global climate.

3.3 Response of the ocean CO_2 uptake to atmospheric CO_2 and global warming

20 In this section, we first present NESM-2.0.1 simulated physical climate change and oceanic CO_2 uptake under the prescribed atmospheric CO_2 concentration pathway of RCP 8.5 scenario. We then present NESM-2.0.1 simulated oceanic CO_2 uptake in the benchmark simulations with 1% per year increase in atmospheric CO_2.

3.3.1 NESM-2.0.1 simulated physical climate change under RCP 8.5

25 In this section, we investigate NESM-2.0.1 simulated oceanic CO_2 uptake in response to increasing atmospheric CO_2 concentration and CO_2-induced climate change. Increasing atmospheric CO_2 directly affects air-sea CO_2 flux and thus oceanic CO_2 uptake. Meanwhile, CO_2-induced warming also affects the ocean carbon cycle via changes in climate fields such as temperature, ocean stratification, and ocean circulation. Previous studies proposed that the response of the ocean carbon uptake can be approximated as a linear sum of two parts: 1) the response to changes in atmospheric CO_2 concentration (carbon-

30 concentration feedback), and 2) the response to changes in surface temperature (carbon-climate feedback) (Friedlingstein et al., 2006; Arora et al., 2013).

To investigate carbon-concentration feedback and carbon-climate feedback and the nonlinear interactions between the two, we

use the NESM-2.0.1 to perform three sensitivity simulations: biogeochemically-coupled (BC), radiatively-coupled (RC) and fully-coupled (FC). The detailed setup of these experiments were described in Section 2 and listed in Table 1.

Figure 8 shows NESM-2.0.1 simulated changes (relative to pre-industrial level) in global annual mean surface air temperature (SAT), mixed layer depth (MLD), and the intensity of Atlantic meridional overturning circulation (AMOC) at 30°N from 1900 to 2100 under RCP8.5 scenario. As expected, substantial change of SAT, MLD and AMOC intensity is observed in FC and RC simulations where increasing atmospheric CO_2 is allowed to affect atmospheric radiative transfer. No significant long-term trend is observed in BC simulation where increasing atmospheric CO_2 is only allowed to affect the ocean carbon cycle. NESM-2.0.1 simulated annual mean SAT anomalies over the period of 2080 to 2100 (relative the period of 1986-2005) is 4.0K, which is within the range of CMIP5 model results of 3.7±0.7K (Collins and Knutti, 2013; Knutti and Sedláček, 2013). Associated with increasing atmospheric temperature, global ocean also becomes warmer in FC and RC simulations, reducing CO_2 solubility in seawater and acting to reduce oceanic CO_2 uptake.

MLD and AMOC show much stronger interannual fluctuation than SAT, but both of them show long-term trend of decrease. The reduction of mixed layer depth, which is associated with a relatively faster warming of the surface ocean and a slower response of the deep ocean, indicates a more stabilized upper ocean with global warming (Held et al., 2010). The pre-industrial value of the NESM-2.0.1 simulated AMOC index is 24 Sv ($1Sv = 10^6$ m^3 s^{-1}), compared with the value of 14 to 31 Sv from CMIP5 models (Weaver et al., 2012). The modeled annual mean of AMOC transport at 26°N averaged from 2000 to 2004 is 25 Sv while the observation record from RAPID/MOCHA (Rapid Climate Change programme / Meridional Ocean Circulation and Heatflux Array) is 17.5 ± 3.8 Sv during 2004 to 2011 (Rhein et al., 2013). A substantial weakening of AMOC intensity in the RC and FC simulations is observed in 21th century under the RCP8.5 scenario, which is associated with ocean surface warming and increased freshwater input at the North Atlantic (Gregory et al., 2005). By 2100, the simulated intensity of AMOC declines to about half of its pre-industrial value. The simulated 54% weakening of AMOC by the end of this century is at the higher end of what are simulated by CMIP5 models that range from 15% to 60% under the RCP 8.5 scenario (Cheng et al., 2013).

3.3.2 NESM-2.0.1 simulated oceanic CO_2 uptake under RCP 8.5

Changes in the ocean carbon cycle are tightly associated with the change in physical climate processes (Doney et al., 2004). In the FC simulation, weakening of ocean vertical mixing, as indicated by the reduced mixed layer depth and weakening of AMOC, will reduce the vertical exchange of CO_2 between the upper ocean and the ocean interior, and thus suppress oceanic CO_2 uptake. A warmer surface ocean would reduce CO_2 solubility, also suppressing oceanic CO_2 uptake.

Figure 9 shows time evolution of model-simulated oceanic CO_2 uptake for the simulations of BC (biogeochemical coupled), RC (radiative coupled), FC (fully-coupled), and the linear sum of BC and RC. In BC simulation, only increasing atmospheric CO_2 affects the ocean carbon cycle, by year 2100, the modeled global ocean has absorbed a total of 604 PgC anthropogenic CO_2 from the atmosphere. In RC simulation, constant atmospheric CO_2 is seen by the ocean carbon cycle, and the atmospheric

radiation sees increasing atmospheric CO_2 concentration. As discussed above, increasing sea surface temperature, increasing ocean stratification, and reduced AMOC all act to decrease CO_2 uptake. As a result, CO_2-induced warming alone causes the ocean to release CO_2 to the atmosphere (negative CO_2 uptake). By year 2100, modeled cumulative CO_2 uptake is -37.6 PgC. In FC simulation, oceanic CO_2 uptake is affected by both of the increase in atmospheric CO_2 and CO_2-induced global warming.

5   By the end of 21th century, the NESM-2.0.1 simulated cumulative oceanic CO_2 uptake in the FC run is 516 PgC, which is close to the middle value of ~500 PgC from CMIP5 models under the same RCP 8.5 scenario (Jones et al., 2013).

As seen from Figure 9, the sum of the simulated oceanic CO_2 uptake from the BC and RC simulations (566 PgC) is larger than that from the FC run (516 PgC), indicating that the biogeochemical effect of atmospheric CO_2 (carbon-concentration feedback) and radiative effect of atmospheric CO_2 (carbon-climate feedback) on oceanic CO_2 uptake is not exactly additive. This

10   nonlinearity has also been found in previous studies (Boer and Arora, 2009; Gregory et al., 2009; Schwinger et al., 2014). The NESM-2.0.1 simulated nonlinearity (discrepancy between the sum of biogeochemical and radiative effect on ocean carbon uptake and the total carbon uptake, i.e. BC+RC-FC) is 50.4 PgC by the end of 21th century. This nonlinearity is about 9.8% of the total ocean uptake, and the magnitude of the nonlinearity (50.4 PgC) is comparable to the magnitude of the radiative effect on ocean carbon uptake (-37.6 PgC).

15   To better understand oceanic CO_2 uptake in response to changing atmospheric CO_2 and CO_2-induced climate change, Figure 10 shows the spatial distribution of anthropogenic air-sea CO_2 flux at the end of 21th century (averaged over year 2091 to 2100) under the RCP8.5 scenario for FC, RC, and BC simulations, respectively. Also shown is the difference between FC and the sum of RC and BC. Positive values represent CO_2 flux out of ocean, and negative values represent CO_2 flux into the ocean. In the BC simulation, the ocean absorbs atmospheric CO_2 in most regions except for a few scattered grid points of Pacific

20   Ocean at middle latitude with slightly CO_2 outgassing. The strongest CO_2 uptake is observed in the North Atlantic and Southern Ocean. Results from RC simulation show CO_2 outgassing in large parts of the global ocean as a result of CO_2-induced warming that reduces CO_2 solubility and the rate of CO_2 transport from the surface to deep ocean. In the Arctic Ocean, warming induces a net uptake of CO_2 as a result of reduced sea ice extend under global warming, which allows more open seawater to absorb atmospheric CO_2. FC simulation show the combined effect of increasing atmospheric CO_2 and CO_2-induced warming on

25   oceanic CO_2 uptake (Fig 10c). Positive oceanic CO_2 uptake is observed in most regions, indicating the dominant role of CO_2 biogeochemical effect in the total oceanic carbon uptake. Similar to the BC simulation, strongest CO_2 uptake is observed in the North Atlantic and Southern Ocean. Somewhat enhanced outgassing is observed in part of subtropical Pacific Ocean where the mixed layer depth is shallow and the water becomes more stratified. Oceanic CO_2 uptake in the subtropical Pacific Ocean is small in BC simulation, and it appears that the radiative effect of atmospheric CO_2 is dominant in these regions.

30   Figure 10d shows the spatial distribution of the difference in air-sea CO_2 flux between FC simulation and the sum of BC and RC simulations The difference represents the nonlinearity between carbon-climate feedback and carbon-concentration feedback. In NESM, relatively large nonlinearity is observed in the northern North Atlantic Ocean and Southern Ocean (especially the southern South Atlantic), which is consistent with the finding of previous studies (Zickfeld et al., 2011; Schwinger et al., 2014). The nonlinearity can be explained by the interaction between elevated CO_2 concentration and climate

change. For example, compared to pre-industrial CO_2 background, under elevated CO_2 concentrations (RCP 8.5 here), reduced

North Atlantic Deep Water (NADW) has a much larger impact on reducing CO_2 transport from the surface to deep ocean.

Therefore, anthropogenic CO_2 uptake in the North Atlantic in the fully coupled simulation (FC) is smaller than the linear sum

of the biogeochemically-coupled (BC) and radiatively-coupled (RC) simulations. Also, in the FC simulation, compared with

the RC simulation, more ocean carbon is subject to increasing ocean temperature and therefore ocean warming would have a

larger effect on CO_2 outgassing compared to the warming effect on top of pre-industrial CO_2 state. Thus, the sum of RC and

BC simulation underestimates CO_2 outgassing from the ocean in the FC simulation.

3.3.3 carbon-concentration and carbon-climate feedback diagnosed from NESM-2.0.1

We further investigate the carbon-concentration and carbon-climate feedback. Friedlingstein (2006) proposed that

cumulative oceanic CO_2 uptake can be decomposed approximately using the linear sum of carbon-concentration feedback

and carbon-climate feedback:

$$\int_0^t F' \, dt \approx \gamma \Delta T + \beta \Delta C_A \qquad (2)$$

Where F' is the air-sea CO_2 flux change and $\int_0^t F' \, dt$ represent the cumulated ocean carbon uptake. γ represents the

sensitivity of ocean carbon storage to climate change and ΔT is the change in global mean surface air temperature. β

represents the sensitivity of ocean carbon storage to the change in atmospheric CO_2 concentration and ΔC_A is the atmospheric

CO_2 concentration change. Arora et al., (2013) obtained these two parameters following equation (2) with different sensitive experiments, i.e.

biogeochemically-coupled simulations and radiatively-coupled simulations, and diagnosed these two coefficients using

CMIP5 model outputs. In the biogeochemically-coupled simulations where the ocean carbon uptake is only affected by

changing atmospheric CO_2, Eq. (2) can be simplified as:

$$\int_0^t F' \, dt \approx \beta \Delta C_A \qquad (3)$$

where F' represent air-sea CO_2 flux change in the biogeochemically coupled simulation. In the radiatively-coupled

simulations where the ocean carbon uptake is only affected by changing climate, Eq. (2) can be simplified as:

$$\int_0^t F' \, dt \approx \gamma \Delta T \qquad (4)$$

where F' represent air-sea CO_2 flux change in the radiatively coupled simulation.

In this study, we estimate the strength of carbon-concentration and carbon-climate feedback following Arora et al., (2013)

using equations (3) and (4). Figure 11 shows the change in ocean carbon storage as a function of change in and atmospheric

CO_2 (Fig. 11a) and global annual mean surface temperature (Fig. 11b), respectively. The derived evolution of carbon-

concentration feedback parameter β as a function of atmospheric CO_2 concentration and carbon-climate feedback parameter

γ as a function of change in temperature is shown in Fig. 11c and 11d, respectively.

As shown in Fig. 11, in the BC simulation modeled ocean storage of anthropogenic CO_2 scales roughly linearly with

atmospheric CO_2, and in the RC simulation modeled ocean storage of anthropogenic CO_2 scales roughly linearly with

changes in global mean surface temperature. Increasing atmospheric CO_2 alone increases oceanic CO_2 uptake whereas increasing temperature alone decreases CO_2 uptake. Therefore, the carbon-climate parameter γ is negative while the carbon-concentration parameter β is positive (Fig. 11). After an initial adjustment period, a decreasing trend of γ is observed, which reaches to the value of -6.2 PgC per K at the end of 21th century. The decreasing trend of γ (more negative) indicates that

5   with enhanced warming, one degree of surface temperature increase would induce more CO_2 outgassing form the ocean (Fig 11d). The parameter β initially increases with atmospheric CO_2, and then slightly decreases (Fig. 11c) with the value of 0.83 PgC/ppm at the year 2100. The decreasing trend of β is consistent with the slowdown of the increasing trend of CO_2 flux at the end of 21th century. Similar trends of carbon-climate feedback parameter and carbon-concentration feedback parameter are also found in the previous research (Arora et al., 2013). The increased sensitivity of CO_2 outgassing to increasing

10 temperature and decreased sensitivity of CO_2 uptake to increasing atmospheric CO_2 indicate that for a given amount of CO_2 emission, a larger fraction of anthropogenic CO_2 would remain in the atmosphere.

3.3.4 carbon-concentration and carbon-climate feedback parameters from 1% per year CO_2 simulations

Arora et al., (2013) analyzed carbon-concentration and carbon-climate feedback parameters from CMIP5 models using the benchmark simulations in which atmospheric CO_2 is assumed to increase at a rate of 1% per year for 140 years to reach $4\times$

15 CO_2. To have a direct comparison with CMIP5 results, we performed another set of simulations under the same CO_2 concentration pathway.

Figure 12 shows the time evolution of model-simulated oceanic CO_2 uptake for the simulations of BC, RC, FC, and the linear sum of BC and RC in 1% per year CO_2 increase experiments. To some extent, Figure 12 shows similar results with time evolution of model-simulated oceanic CO_2 uptake us under RCP 8.5 scenario as shown in Figure 9. By year 140, largest positive oceanic

20 CO_2 uptake (685 PgC) is observed in the BC simulation while relatively small negative oceanic uptake (-36 PgC) is seen in the RC simulation, and the sum of the oceanic CO_2 uptake from the BC and RC simulations (649 PgC) is larger than that from the FC run (592 PgC). It is reported that the nonlinearity between biogeochemical and radiative feedback accounts for 3.6% -10.6% of the total ocean carbon uptake for CMIP5 models in 1% per year CO_2 increase experiments (Schwinger et al., 2014). For comparison, at the end of 1% per year CO_2 increase simulations, NESM-2.0.1 simulated nonlinearity is 9.6% (57 PgC) of the

25 total oceanic CO_2 uptake, which is comparable with the relative magnitude of the nonlinearity estimated by CMIP5 models. The comparison of feedback parameters is provided in Fig. 13. At the end of 1% increasing CO_2 simulation, the diagnosed value of β from CMIP5 models ranges from 0.69 to 0.91 PgC/ppm with a multi-model mean value of 0.80 PgC/ppm. For comparison, the carbon-concentration feedback parameter diagnosed from NESM-2.0.1 simulations with 1% per year increase in atmospheric CO_2 is 0.81 PgC/ppm at the end of the simulation. Compared to the carbon-concentration feedback parameter,

30 the model-simulated carbon-climate feedback parameter has a much larger range among CMIP5 models, with the values ranging from -2.4 to -12.1 PgC/K at the end of the simulation. The larger model-dependency of the carbon-climate feedback parameter is expected given the large uncertainty in model-simulated climate change and dependence of carbon cycle processes on climate

change. The mean value of carbon-climate feedback parameter from CMIP5 models is -7.8 PgC/K. For comparison, at the end of simulation the NESM-2.0.1 simulated value of carbon-climate parameter γ with the scenario of 1% per year increase in atmospheric CO_2 is -7.1 PgC/K. These results indicate that NESM-2.0.1 simulated response of oceanic CO_2 uptake to atmospheric CO_2 and climate change is in general agreement with ensemble means of the CMIP5 models.

4 Conclusion and discussion

In this study, we evaluated the performance of the NUIST Earth System Model (NESM) in simulating the present-day ocean carbon cycle. We also investigated the model-simulated oceanic CO_2 uptake in terms of the oceanic CO_2 uptake in response to increasing atmospheric CO_2 alone, e.g., carbon-concentration feedback, and the oceanic CO_2 uptake in response to CO_2-induced climate change alone, e.g., carbon-climate feedback.

The model simulates reasonably well the large-scale patterns of surface nutrient concentration including nitrate, phosphate, and silicate. The model also does a reasonable job in simulating large-scale distribution of chlorophyll and ocean net primary production (NPP). The global integrated ocean NPP simulated by NESM-2.0.1 is 42 PgC yr^{-1}, while observation-based estimates range from 37 to 67 PgC yr^{-1} and ocean NPP simulated from CMIP5 models ranges from 31 to 79 PgC yr^{-1}. The NESM-2.0.1 simulated cumulative anthropogenic CO_2 uptake from the pre-industrial time to year 2011 is 144 PgC, compared well with data-based estimates of 155 ± 30 PgC (Ciais et al., 2013).

As proposed by Friedlingstein et al. (2006), the response of oceanic CO_2 uptake can be decomposed by the sum of two components, the response to increasing atmospheric CO_2 alone (carbon-concentration feedback) and the response to CO_2-induced climate change alone (carbon-climate feedback): In the simulation where atmospheric CO_2 increases by 1% per year, by year 140, the NESM-2.0.1 simulated carbon-concentration feedback parameter is 0.81 PgC/ppm and carbon-climate feedback parameter is -7.1 PgC/K, indicating that increasing atmospheric CO_2 alone increases oceanic CO_2 uptake while global warming alone decreases oceanic CO_2 uptake. These estimated feedback parameters are in general agreement with those estimated by CMIP5 models that yield carbon-concentration feedback parameters ranging from 0.69 to 0.91 PgC/ppm and carbon-climate feedback parameters ranging from -2.4 to -12.1 PgC/K. These results indicate that the NESM-2.0.1 simulated response of oceanic CO_2 uptake to increasing atmospheric CO_2 and climate change are in general agreement with the state-of-the-art Earth system models.

It is also found from NESM-2.0.1 simulations that the sum of oceanic CO_2 uptake in response to changes in atmospheric CO_2 alone and climate changes alone is somewhat larger than the oceanic CO_2 uptake in response to the combined effect of atmospheric CO_2 concentration and CO_2-induced climate change. The difference between the total oceanic CO_2 uptake and the linear sum of carbon-concentration feedback and carbon-climate feedback indicates the nonlinearity between these two feedbacks. In the NESM-2.0.1 simulation with 1% per year increase in atmospheric CO_2, by year 140, the nonlinearity is 9.6% of the total oceanic CO_2 uptake. For comparison, nonlinearity between the carbon-concentration and carbon-climate feedback from CMIP5 models accounts for 3.6% to 10.6% of the total oceanic CO_2 uptake.

While the results presented here show some success of the NESM-2.0.1 model in the simulation of processes involving the ocean ecosystem and ocean CO_2 uptake, the model simulation shows a number of caveats that requires better understanding of the source of the deficiencies and calls for future improvements. In particular, it is not well understood (a) why in the equatorial Pacific the simulated surface silicate concentrations are much higher than the observation [observed], but the surface phosphate

5 concentration is lower than the observation [observed] (Fig. 1), (b) why the model captures well the vertical [global mean] distribution of silicate, but not the nitrate and phosphate concentrations (Fig. 2), and (c) why the model simulates chlorophyll concentration well along [does it?] the extratropical coastal regions, but not along the tropical coastal regions (Fig. 3). The simulated annual mean distribution of vertical integrated NPP has notorious errors (Fig. 4). Both the simulated NPP and air-sea CO_2 flux have large biases in the equatorial Pacific (Fig. 4 and 5), which are likely associated with the model's bias in simulated SST and upwelling fields.

10 These simulated biases are likely to be associated with the climatological bias in simulation of the Pacific cold tongue. The simulated cold tongue shifted westward compared with observations (Cao et al., 2015), implying that the upwelling also shifted westward (Jin 1996; Li and Xie 2014), which may lead to deficiencies in nutrient distribution, NPP and air-sea CO2 flux. This suggests that overcoming of the mean climatological cold tongue bias should be a major target in further improvement of the oceanic carbon cycle simulation.

15 In the future, the NESM, together with its ocean carbon cycle component, will be continuously developed and improved. To better evaluate the NESM-2.0.1 simulated ocean dynamics and the ocean carbon cycle, the simulation of natural and bomb ^{14}C will be implemented because their distributions in the ocean is a good indicator of the strength of ocean mixing and deep ocean circulation (Levin and Vago, 2000; Matsumoto 2007; Skinner et al, 2017). Also, the model appears to underestimate the concentration of chlorophyll and NPP in the tropical coastal regions as a result of relatively low model resolution, which cannot

20 capture well coastal processes. The development of a higher-resolution (~10km) NESM-2.0.1 is planned in the near future, which could better capture the mesoscale processes and coastal dynamics (Griffies et al. 2015). In the future, the NESM-2.0.1 will be used to conduct a series of studies to better understand interactions between the ocean carbon cycle, atmospheric CO_2, and climate change.

Code and data availability.

25 The source code of NESM-2.0.1, together with all input data are saved in one compressed file, which can be downloaded from https://doi.org/10.5281/zenodo.1184747 after registration. Also, a user guide describing the installation instructions driver scripts, and software dependencies also can be found in the repository at the same link. The simulation results illustrated in this study can be made available upon request to the authors.

Author contributions. [CMIP5 did...] Yifei Dai and Long Cao designed the experiments. Yifei Dai performed the simulations, analyzed the

30 experiments, and made the figures in coordination with Long Cao. The NUIST ESM team led by Bin Wang provided the code of NESM-2.0.1 used in this study and Bin Wang provided helpful discussions. Yifei Dai, Long Cao, and Bin Wang all contributed to the writing of the manuscript.

* Cao et al 2015 state that Pacific cold tongue is simulated "very well" (Sec. 4.1) - but no figure shown

check capital letters

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Appendix A. {Name }

In this study, we compare the NESM-2.0.1 simulated ocean biogeochemical fields, including nutrients, chlorophyll, marine net primary production (NPP), air-sea CO_2 flux, and ocean inventory of anthropogenic CO_2 with available observations and data-based estimates.

Data of global ocean distributions of macronutrient concentrations, including nitrate, phosphate and silicate are from the *World Ocean Atlas 2009* (WOA09, Garcia, et al., 2010). Distribution of dissolved inorganic carbon (DIC) in the ocean is taken from the *Global Ocean Data Project* (Key et al., 2004; Sabine et al., 2004). Both WOA09 and GLODAP data have a horizontal resolution of 1°×1° with 33 vertical levels, and represent the climatology in the 1990s. The distribution of observed surface ocean

pCO$_2$ and sea-air CO$_2$ flux for the a reference year of 2000 is taken from Takahashi et al (2009), and that has a spatial resolution of 4° latitude by 5° longitude.

Data-based estimate of marine net primary production (NPP) with a horizontal resolution of 1/12°×1/12° from 1998 to 2008 is used in this study (http://www.science.oregonstate.edu/ocean.productivity/index.php). NPP is calculated based on the

5   Vertically Generalized Production Model (VGPM) which was first proposed by Behrenfeld and Falkowski (1997a, 1997b) and is widely used in to the estimate of global marine net primary production. The standard VGPM equation is:

$$NPP = CHL \times P^B_{opt} \times day_{length} \times (0.66125 \times \frac{par}{par + 4.1}) \times z\_eu$$

Where CHL represents chlorophyll concentration from the Sea-Viewing Wide Field-of-View Sensor from 1998 to 2008 (hereafter Seawifs, Ocean Biology Processing Group, 2014) which is also used to evaluate the model-simulated chlorophyll distribution.

10 P^B_{opt} is the maximum C fixation rate within a water column that is a function of sea surface temperature (SST) based on a seven-order polynomial model (Behrenfeld and Falkowski, 1997a, 1997b). SST data used here is from the NOAA/AVHRR thermal dataset. par is the photosynthetically active radiation that can be directly accessed from the Seawifs dataset. day_{length} is the number of hours of day light in situ and z_{eu} is the euphotic depth calculated by the Case I model (Morel and Berthon, 1989).

To have a direct comparison between NESM-2.0.1 results and observations, we interpolated modeled results to the

15 corresponding grids of observational data using the distance-weighted average remapping method, i.e. 1°×1° for nutrients and DIC, and 4°×5° for air-sea flux in year of 2000. Data-based estimates of chlorophyll and NPP are interpolated from 1/12°×1/12° grids to the 1°×1° grid, and then modeled NPP and chlorophyll is also interpolated to the same grid. 1×1°

[handwritten note: would be good to have names last-FC, RC885-FC etc.]

Table 1 Description of the NESM-2.0.1 simulation experiments *[handwritten: types]*

Experiment	CO$_2$ seen by atmosphere radiation	CO$_2$ seen by ocean carbon cycle
BC	Constant pre-industrial CO$_2$ concentration	Prescribed time-varying CO$_2$ concentration
RC	Prescribed time-varying CO$_2$	Pre-industrial CO$_2$
FC	Prescribed time-varying CO$_2$	Prescribed time-varying CO$_2$ concentration

5

Table 2. Global ocean anthropogenic CO$_2$ uptake simulated by NESM-2.0.1 during different periods compared against data-based estimate (Ciais et al., 2013).

[handwritten: Pre-industrial]

	preindustry-2011 Cumulative PgC	1980-1989 PgC yr^{-1}	1990-1999 PgC yr^{-1}	2000-2009 PgC yr^{-1}	2002-2011 PgC yr^{-1}
IPCC AR5	155±30	2.0±0.7	2.2±0.7	2.3±0.7	2.4±0.7
NESM	144.4	1.7	1.9	2.3	2.3

10
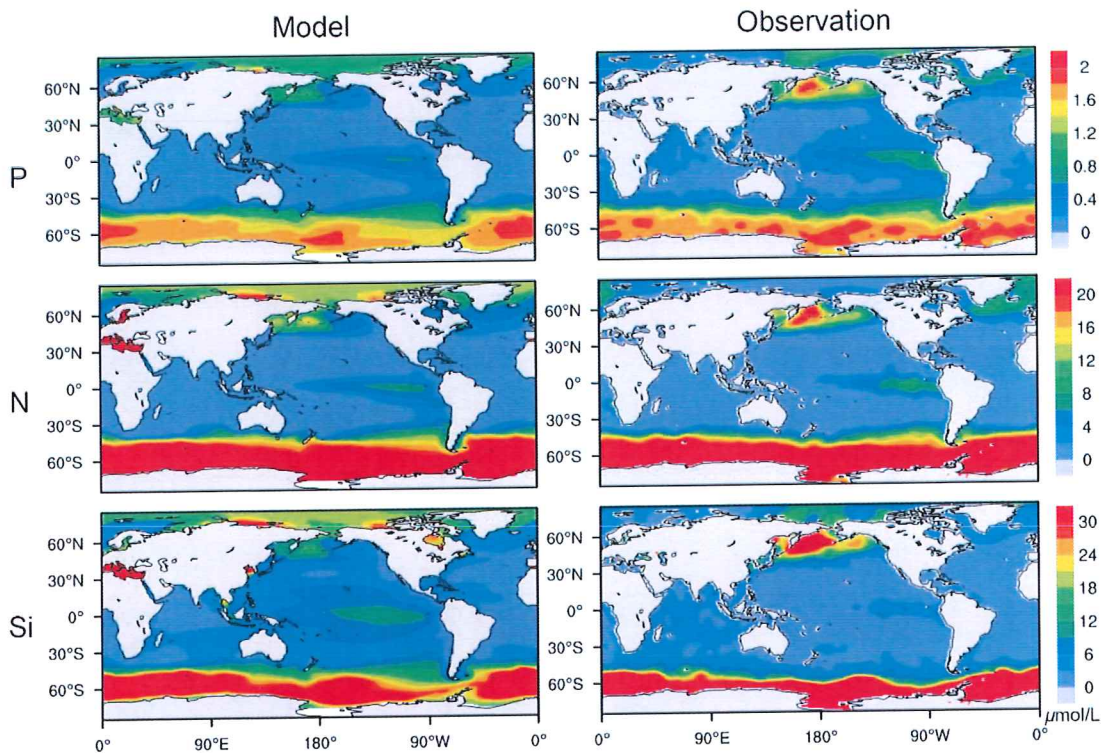
Figure 1: Annual mean surface concentration of phosphate (P), nitrate (N), and silicate (Si) averaged over the 1990s from NESM-2.0.1 simulation and WOA09 observation dataset.
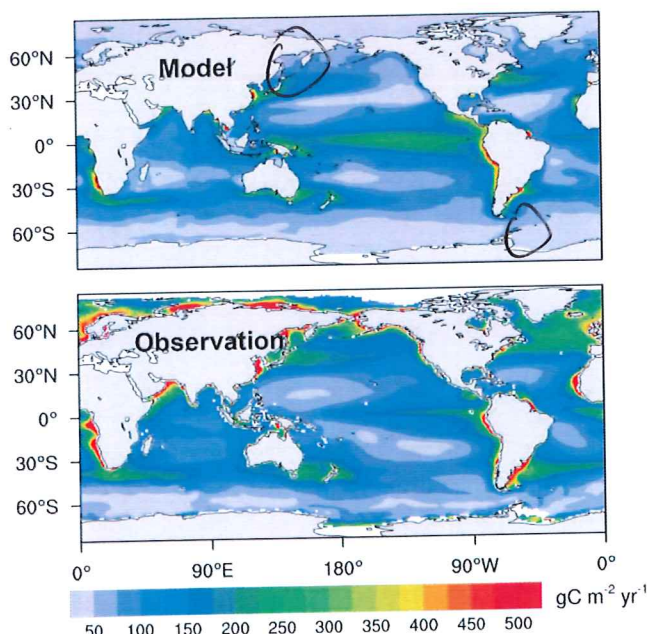
5
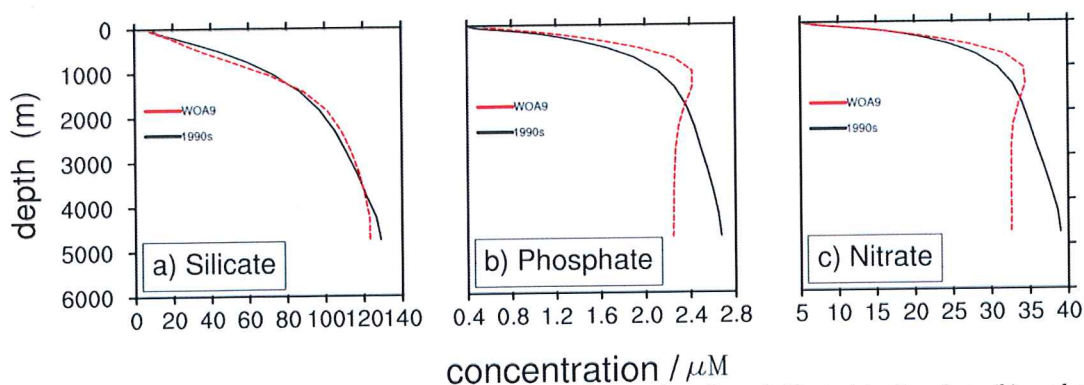
Figure 2: NESM-2.0.1 simulated (black) globally averaged vertical profiles of silicate (a), phosphate (b), and nitrate (c) averaged over 1990s compared with WOA09 observations (red).
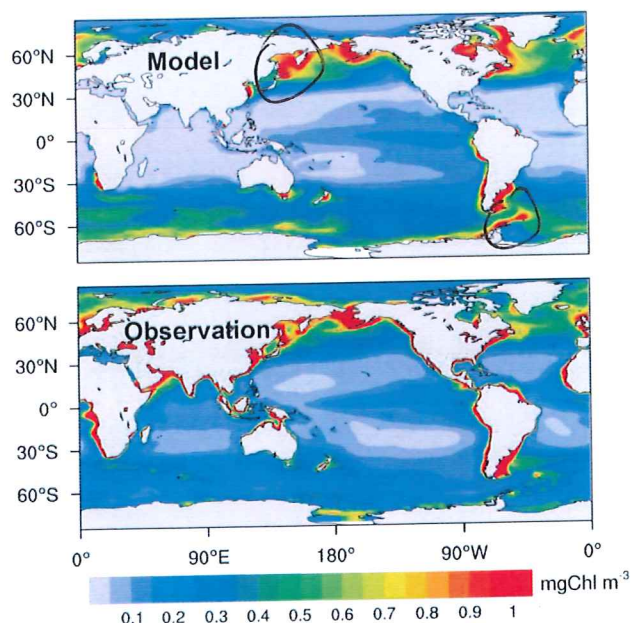
is $\int_0^{100m}$ Chl-a looking different?

diff map?

Figure 3: Annual mean surface chlorophyll concentration (mg Chl m^{-3}) averaged over the 1990s from NESM-2.0.1 simulations and the SeaWifs dataset.

remarkably different from Chl_a!

Figure 4: NESM-2.0.1 simulated annual mean distribution of vertical integrated net primary production (g C m^{-2} yr^{-1}) averaged over the 1990s compared with observationally based estimates.

the
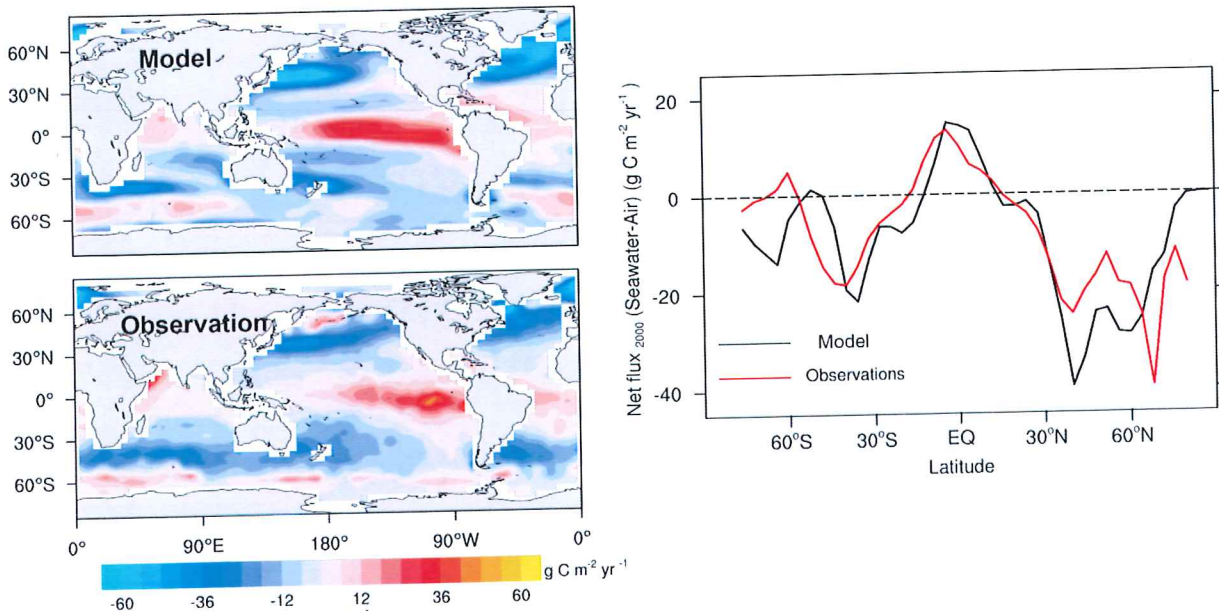
Figure 5: NESM-2.0.1 simulated air-sea CO_2 flux (g C m^{-2} yr^{-1}) at year 2000 against observational data. Left panels are geographical distributions and right panel is zonal mean pattern. Positive values represent CO_2 flux out of ocean, and negative values represent CO_2 flux into the ocean

why lower at etc?

the

global?
Atlantic?

mol

obs

is saturated
~ how high
are values?
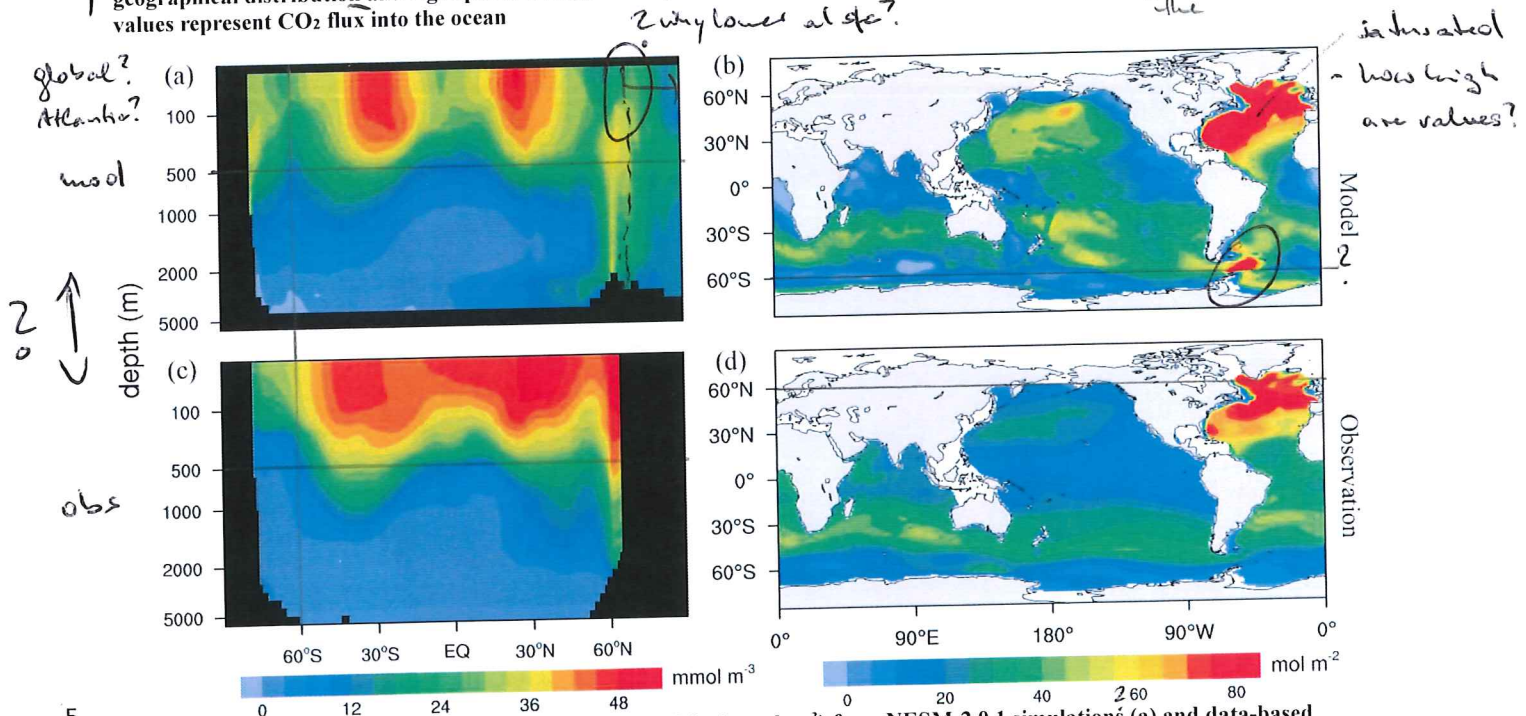
Figure.6: Latitude-depth distribution of anthropogenic CO_2 (mmol m^{-3}) from NESM-2.0.1 simulations (a) and data-based estimates (c). Vertically integrated column inventory of anthropogenic CO_2 (mol m^{-2}) from NESM-2.0.1 simulations (b) and data-based estimates (d)

year 2000?

Computed how?

C? CO₂

*Why mmol lower ~ 60°N in section, but higher in column inventory?*

26
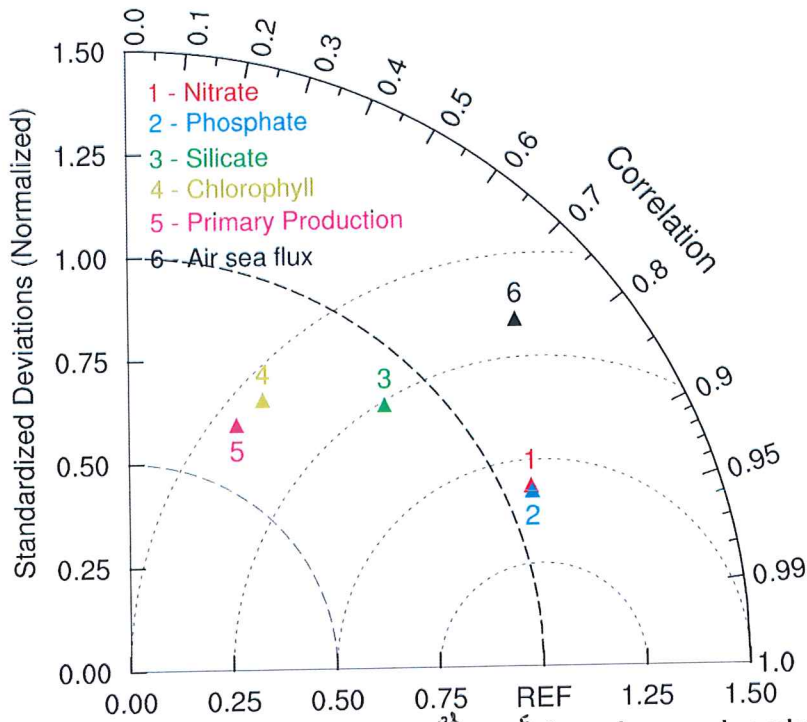
Figure 7: Taylor diagram comparing statistic pattern of annual mean carbon-related fields between NESM-2.0.1 simulations and corresponding observations, including surface nitrate, phosphate, silicate, alkalinity, chlorophyll concentration, vertically-integrated net primary production, and air-sea CO_2 flux. All fields are normalized by the standard deviation of corresponding observations. Thus, observation fields have a standard deviation of one, which is represented by REF. The distance between the model points and the reference point indicates the root-mean-square (RMS) difference between model simulation and observations.
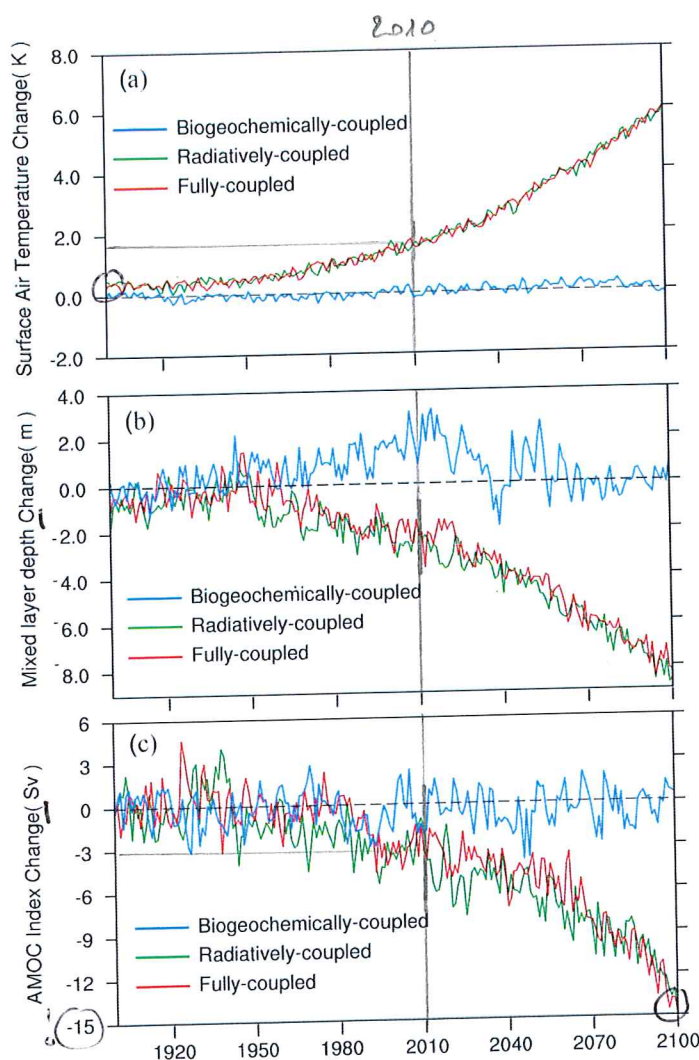
Figure 8: Time series of NESM-2.0.1 simulated changes (relative to pre-industrial) in climate fields under RCP 8.5 scenario for the simulation of fully-coupled, biogeochemically-coupled and radiatively-couple. (a) global and annual mean surface air temperature, (b) global and annual mean mixed layer depth (the depth where the difference in potential density is 0.01 kg m^{-3} relative the sea surface) and (c) Atlantic meridional overturning circulation index (maximum zonal mean stream function of the Atlantic Ocean at 30°N).
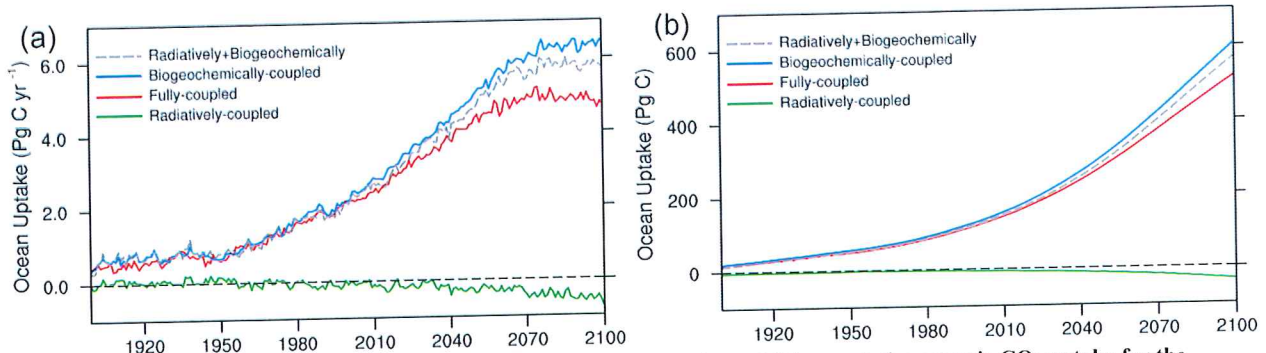
Figure 9. The NESM-2.0.1 simulated (a) annual mean oceanic CO_2 uptake and (b) cumulative oceanic CO_2 uptake for the simulations of BC (biogeochemical coupled), RC (radiative coupled), FC (fully coupled), and the linear sum of BC and RC under the RCP 8.5 scenario.
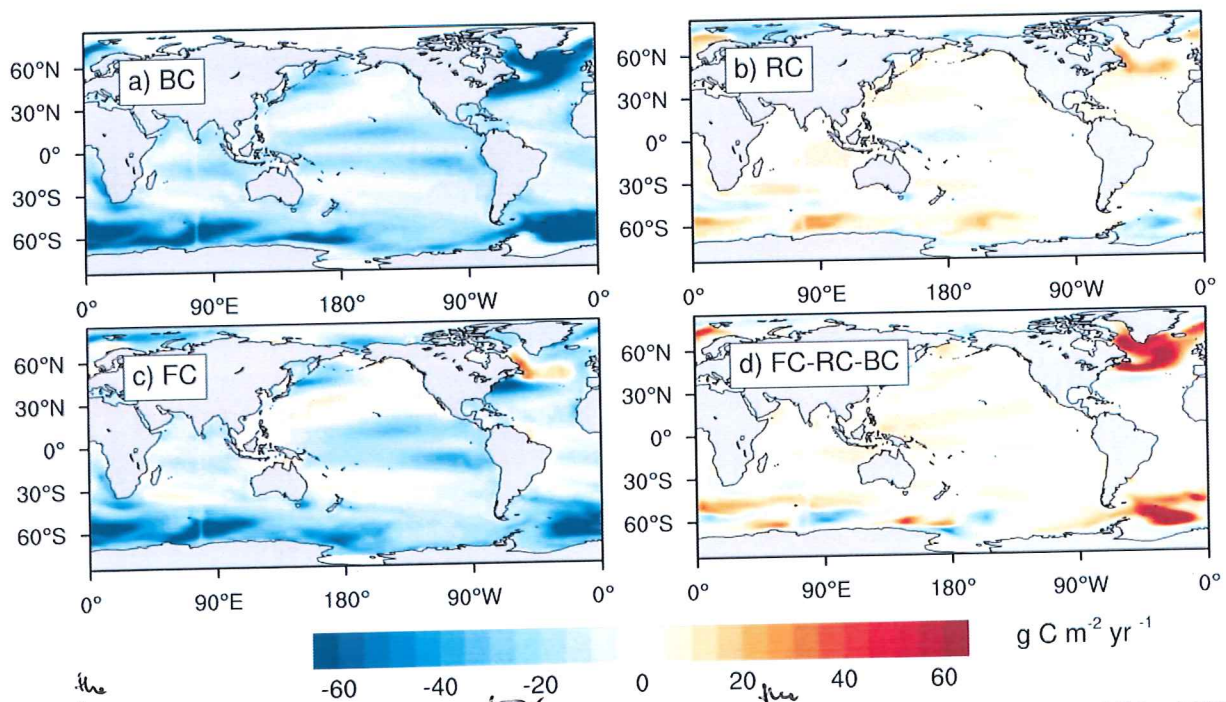
5

Figure 10. Spatial distribution of anthropogenic air-sea CO_2 flux at the end of 21th century (averaged over year 2091 to 2100) under RCP8.5 scenario for BC (biogeochemically coupled), RC (radiatively coupled), and FC (fully coupled) simulations, respectively. Also shown is the difference between FC simulation and the sum of RC and BC simulations (FC-RC-BC). Positive values represent CO_2 flux out of ocean, and negative values represent CO_2 flux into the ocean.

10

calculated how?

(2091 - 2100) - 1800?

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[handwritten marginalia: why does uptake only start at $pCO_2 \sim 320$ ppm? plotted since 1900? — why starting so low? — ~7.1? — why not SST? — the — the — integrated]
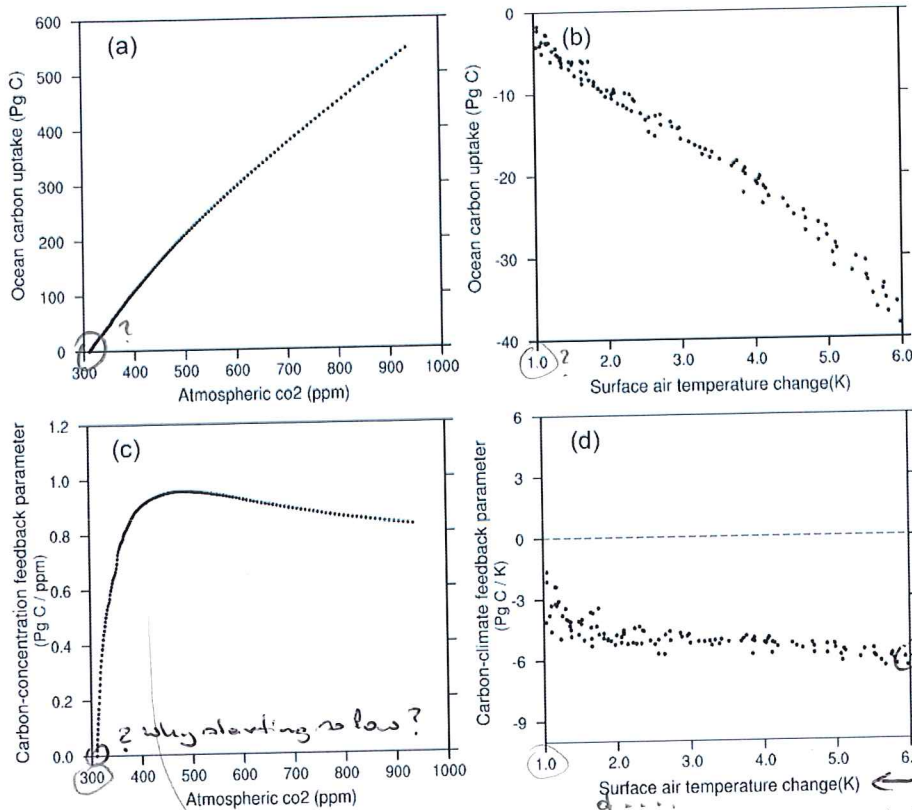
Figure 11: The NESM-2.0.1 simulated cumulated ocean uptake against (a) the atmospheric CO2 in the BC experiment and (b) the global mean surface air temperature change in the RC experiment. Also shown is time evolution of diagnosed carbon-concentration feedback parameter as a function of atmospheric CO2 (c) and carbon-climate feedback parameter as a function of global mean surface air temperature change (d).
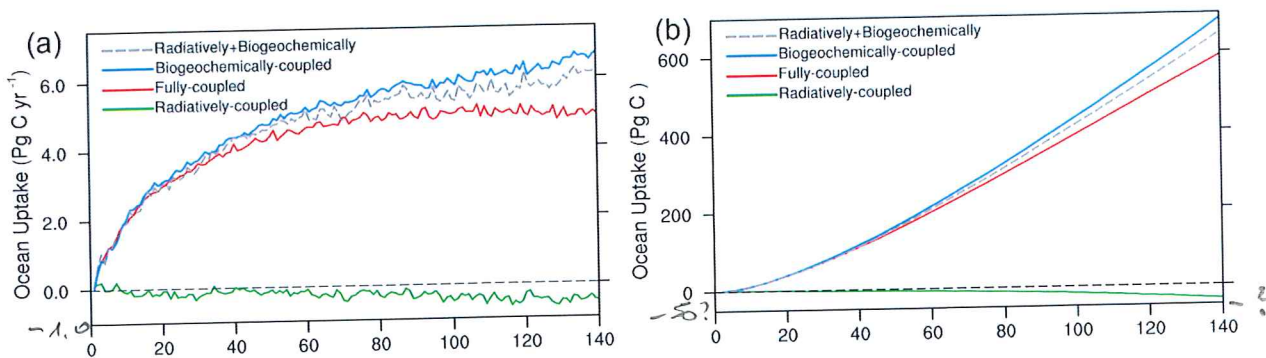

Figure 12: Same as Figure 9, but for 1% per year CO₂ increase run.

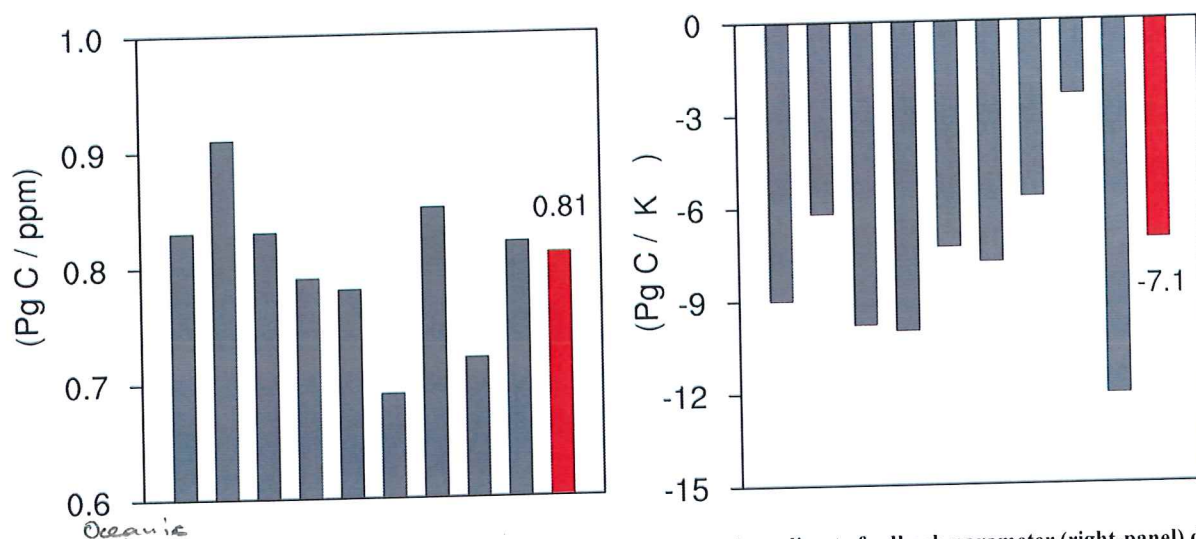*[handwritten: 280 — 560 — 1120 ppm CO₂]*

Oceanic

Figure 13: Carbon-concentration feedback parameter (left panel) and carbon-climate feedback parameter (right-panel) diagnosed from CMIP5 and NESM-2.0.1 benchmark simulations with the increase of atmospheric CO_2 at a rate of 1% per year. CMIP5 model results are in grey, and NESM-2.0.1 results are in red. CMIP5 models includes MPI-ESM-LR, IPSL-CM5A-LR, BCC-CSM1, HADGEMS, UVicESCM2.9, CanESM2, NorESM-ME, CESM1-BGC and MIROC ESM (from left to right).

5

on end of exp. (yr 140)