- 1 Tomomichi Kato
- 2 University of Tsukuba, Tsukuba, Ibaraki, Japan
- 3 Handling Topical Editor, Geoscientific Model Development (GMD)
- 4
- 5 Re: GMD-2018-41
- 6
- 7 Dear Dr. Kato,
- 8 Thanks so much for sending us two referees' assessment again on our resubmitted manuscript
- 9 "Carbon-nitrogen coupling under three schemes of model representation: a traceability
- analysis" (No. GMD-2018-41). We appreciate the positive comments and further suggested
- amendments from the referees, which are very helpful to improve the paper. We have
- 12 carefully studied the reviews, and revised our manuscript accordingly. As a consequence, our
- 13 manuscript has been further improved.
- 14 We confirm that all authors have met the authorship criteria.
- We also declare that the submitted work is our own and that copyright has not been breachedin seeking its publication.
- 17 Here are our detailed responses to the reviews. Please note that the comments from the
- 18 reviewers are in *italics* followed by our responses in **regular** text.
- We hope you will find our revision satisfactory for publication in *Geoscientific Model Development*.
- 21
- 22 Yours Sincerely,
- 23 Xuhui & Jianyang
- 24
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29 **Response letter to comments (gmd-2018-41)**

30 Topical Editor Decision: Publish subject to minor revisions (review by editor)

- 31 Dr. Tomomichi Kato
- 32 I like to inform you that your paper is again subject to minor revision.
- 33 If you resubmit your article, please note that you carefully respond to all the comments one
- 34 *by one*.
- 35 [**Response**] Thanks so much for your and the referees' assessments. We carefully revised the
- 36 manuscript according to the referees' comments and suggestions and made necessary
- changes. Please see below for the detailed responses point by point. We hope you will find
- 38 our revision satisfactory.

39

40 Will Wieder's comment (Referee #1)

- 41 *I appreciate the revisions made to this manuscript. Two additional questions and several*
- 42 *technical corrections arose after reading the text. I trust these can be addressed without too*43 *much trouble.*
- [Response] Thank so much for your positive comment. We carefully revised the wholemanuscript according to your comments and suggestions. Please see responses below.
- 46

47 Why aren't denitrification, or gaseous losses shown in Fig 3 or discussed with leaching

48 *losses (e.g. line 475)? Thomas et al. 2013 found big difference in the denitrification rates*

49 simulated by CLM4 and OC-N, so I'd assume at steady state the models make very different 50 projections²

- 50 projections?
- 51 [**Response**] Thanks for pointing out what we have neglected. Yes, the nitrogen gaseous
- 52 losses showed large variation among three carbon-nitrogen schemes in our study. SM1, SM3
- and SM2 had the smallest, biggest and moderate annual mean value (0.46, 0.77 and 1.39 g N
- m^{-2} yr⁻¹), respectively. The differences of nitrogen gaseous losses among three C-N coupling
- schemes mainly due to both the nitrogen balance requirement and dynamics of soil mineral
- 56 nitrogen (Eq. 15).
- 57 We have added three new panels (Figs. 3f, 3m and 3t) in the Figure 3, and revised the
- 58 method, result and discussion sections in the revised version accordingly.
- 59
- 60 *I'm not sure I completely understand the explanation* ~ *lines 530 and 558. It's true, that*
- 61 *CLM4.5 has fixed tissue stoichiometry, but it uses a dynamic C allocation scheme that should*
- 62 modify the *C* allocation to wood, stoichiometry, and *MRT* (at least in transient simulations)?
- 63 This would also affect the allocation to wood vs. fine roots during spinup, with proportionally
- 64 *less wood C allocation associated with lower NPP? Are these nuances of C allocation from*

- 65 SM2 & 3 brought into the TECO simulations presented? If not, maybe this is more of a
- 66 *nuance of how the sensitivities were calculated, but perhaps worth clarifying?*
- 67 [**Response**] Sorry for the confusion. Yes, the nitrogen effects modify the C allocation (vector
- B and thus the baseline C residence time (baseline MRT, Eq. 30, Fig 8a) for the SM2
- 69 (CLM4.5bgc), which further affect the mean ecosystem residence time (MRT). Based on our
- 70 traceability analysis framework, we divided the nitrogen effects on MRT into two parts, one
- 71 is from C allocation (vector *B* in Eq. 29 and Eq. 30) and the other is the N scalar on the C
- 72 matrix (i.e., ξ_N in Eq. 31, we named it as in this study). We have discussed the N scalar (i.e.,
- 73 ξ_N) on Lines 551-569 in this study.
- 74 Technical corrections
- 75 L 85: should be 'dynamics'
- 76 [**Response**] Done as suggested.
- 77
- 78 L 103: I'm not really sure what "achieved predictive ability" means? Maybe delete this
- 79 *entire clause after the end of the parenthesis in line 102*
- 80 [**Response**] Sorry for the confusion. As suggested, we deleted the "compared to the achieved
- 81 predictive ability" in revised version.
- L 109: are references needed for these models, as well as a description of their abbreviatednames?
- 84 [**Response**] We have added the references and description of abbreviated names for each
- 85 mode. The sentence was revised as "Three schemes of model representation were conducted
- 86 mainly based on carbon-nitrogen coupling version of TECO (TECO-CN, Weng and Luo,
- 87 2008, [SM1]), Community Land Model Version 4.5 (CLM 4.5, Koven et al., 2013; Oleson et
- al., 2013, [SM2]) and carbon-nitrogen coupling version of the Organizing Carbon and
- Hydrology in Dynamic Ecosystems model (O-CN, Zaehle and Friend, 2010; Zaehle et al.,
 2011, [SM3]) (Table 1)."
- 91
- 92 *L* 153: it seems to introduce talk about "plant, litter and soil N pools" immediately following
- 93 mention of the inorganic N pool. Consider revising "There are nine organic N pools -
- 94 *including plant, litter and soil N pools and one inorganic soil N pool".*
- 95 [Response] We revised the sentence as "There are nine organic N pools, including plant,
 96 litter and soil N pools, and one inorganic soil N pool"
- Eq 20 & Line 463, Maybe worth citing Cleveland et al 1999, which is the source of this
 CLM4.5 approach for BNF (and I'm assuming for O-CN).
- 99 [**Response**] We added the reference in Line 481.
- 100
- 101 *L* 350, why not convert to $mg N m^{-2} d^{-1}$ so the values are intelligible? Also, is the daily
- 102 variation important here, or the total flux calculated by each model? My guess is both, but
- the latter is never really described (although it's displayed in the right column of Fig 3)?

- 104 [**Response**] Thanks for your comments and suggestions. The variation of N processes is
- mainly driven by the environment forcing, external N supply and ecosystem N demand. In
 this study, we can demonstrate the different effects on daily variations from the three C-N
- 107 coupling representations.
- 108 We converted the units to mg N $m^{-2} d^{-1}$ and added those description in this revised version. 109
- 110 L 353 & 354, no daily variation for leaching or N_{fix} is reported here?
- **[Response]** Thanks for pointing out what we have neglected. The daily variations forleaching and biological nitrogen fixation were added in the revised version.
- 113
- 114 *L* 370 & Fig 4, how does one generate a positive NEE for all years in all model
- 115 configurations if steady state conditions were achieved before starting the transient
- simulation? By definition, it seems NEE should be zero over the years correspond the
- equilibrium conditions? I don't understand how / or why a NSC pool would affect the
- 118 *calculation of NEE, is the model just storing up NSC?*
- **[Response]** Sorry for the confusion. The NSC pool is used to meet excess demand for
- 120 maintenance respiration during periods with low photosynthesis (e.g. at night, during winter
- 121 for perennial vegetation) in the TECO model. The initial value of NSC pool is set to
- eliminate running a deficit of NPP (negative state), while this effect does not include in
- calculating NEE in original version of TECO model. In this case, the total respiration is
- greater than the GPP for each year, thus generate a positive NEE. To eliminate confusion, we
- have recalculated the NEE, and revised the methods, results and discussion sections
- accordingly.
- Fig 5, would it make more sense to plot both panels with a log y axis to show variation inbools and stoichiometry?
- 130 [**Response**] We have replotted Fig 5 with a log y axis as suggested.
- 131

- Line 414 and 416, what to b2 and b3 refer to? Is this eq 2 & 3, if so maybe refer to these
 equations instead?
- [Response] Sorry for the confusion. The b2 and b3 represent the coefficients of partitioning
 of NPP to wood and root. In order to eliminate confusion, we delete "(b2)" and "(b3)" in the
 Line 432 and 434.
- 137
- 138 L 452, isn't thus just Jmax in the Farquhar model (not Vjmax)?
- 139 [Response] Thanks for your correction. We replaced "Vjmax" with "Jmax" in the revised140 version.
- 141
- 142 *L* 538, is this more specifically related to vegetation C:N ratio (not total)
- 143 [Response] Done as suggested. We replaced the "total C:N ratio" to "vegetation C:N ratio"144 in the revised version.

- 145 146
- 147 (Anonymous Referee #2)
- 148 [General comments]

I appreciate the authors to respond to my comments to the previous manuscript. The revised manuscript has been much improved, but I think there still remains several points that should be clearer, including technical corrections.

- **[Response]** Thank so much for your positive comment. We carefully revised the wholemanuscript according to your comments and suggestions. Please see responses below.
- 154 Eq (13): The numbers for pools ($i=4\sim8$) are defined in L296 and thus not yet defined here.
- 155 [**Response**] We added "where $CN0_i$ and CN_i (i = 4, 5, 6, 7, 8) are the C:N ratios of metabolic 156 litter, structural litter, fast, slow and passive soil organic C pools at first- and current-time
- step, respectively." in the revised version.
- *Eq (23): how did you get the parameter value for vmax? Nuptake in SM3 looks strongly dependent on the choice of this parameter, but not specified in the text.*
- [Response] For the O-CN model, the value of *vmax* is an empirical constant (Zaehle and
 Friend, 2010; Kronzucker et al., 1995, 1996), which set as 0.514. We added those information
 in this revised version.
- 163 In addition, in the third factor of "1/(Nmin x KNmin)", should "Nmin" be replaced by164 "SNmin"?
- 165 [Response] Yes, Thanks for your correction. We replaced the "*Nmin*" with "*SNmin*" in166 revised version.
- 167 Eq (31): I apologize if I misunderstand, but it seems "Tau_E = Xi-1 Tau'_E" should be 168 "Tau E = Xi Tau' E". Please check again.
- 169 [**Response**] Based on the Eq.28: $\frac{dX(t)}{dt} = BU(t) A\xi CX(t)$, which makes left part equal to 170 zero, the steady-state values of all carbon pools (X_{ss}) can be rearranged as:

171 $X_{ss} = (A\xi C)^{-1}BU_{ss} = \xi C^{-1}A^{-1}BU_{ss} = \xi^{-1}C^{-1}A^{-1}BU_{ss} = \xi^{-1}\tau'_E U_{ss} = \tau_E U_{ss}$

- 172 So, $\tau_E = \xi^{-1} \tau'_E$.
- 173 Eq(34): CN_i^0 is defined here as the ratio at t=0, but defined in Eq(8) as that of last time 174 step.

175 [Response] Thanks for pointing our mistake. We corrected the "last time step" to "first time176 step" in the revised version.

- L341: In the sensitivity test, you increased/decreased 50% of each N-process. How did you
make such changes in each process? For example, it is easy to change BNF by 50%, but I
cannot imagine how you made the changes in the processes of PMC, PS, SS, etc. Readers will

180 *need brief explanations on this issue.*

181 [Response] Thanks for your comments and suggestions. To do sensitivity test, we 1) run the 182 model to the steady state, 2) set the steady state as the initial state (C and N pool sizes) and 183 make change (increased/decreased 50%) in one nitrogen process to run the model to steady 184 state again, 3) calculate the relative changes of NPP, MRT and ecosystem C storage capacity 185 between the two steady states using Eq 35, 36 and 37. We added those description in Lines 186 350-353.

- 187 Fig. 30 and 3q: In my understanding, since your analysis is based on steady-state
- simulations, the N budgets should be closed: BNF+Ndeposition should be comparable with
- 189 the magnitude of Nleaching + Ngassing. However, in SM3, BNF looks much larger than
- 190 Nleaching (and looks much smaller in SM1). 1000 years spin-up was not enough for the
- simulations? or other reasons? Do I miss something?
- 192 [**Response**] Thanks for pointing out what we have neglected. Yes, for the TECO model in
- 193 our study, BNF+N_{deposition} \approx N_{leaching} +N_{gas losing}. We added the results for nitrogen gaseous
- 194 losses in the revised version, including three new panels (Figs. 3f, 3m and 3t) in the Figure 3,
- and revised the method, result and discussion sections accordingly.
- 196 L368-369, "SM1 and SM2 schemes increased ~~ 12% and 27%": maybe "SM1 and SM2"
 197 is "SM1 and SM3 ~~".
- 198 [Response] Thanks. We have corrected the "SM1 and SM2" to "SM1 and SM3" in the199 revised version.
- L386, "Because of the hypothesis of Nuptake for free, SM2 had the highest CUE among
 three C-N schemes": This is slightly ambiguous for me. Does "Nuptake for free" mean "no
 C-cost on N uptake"?
- [Response] Yes, in the CLM4.5, plant uptake nitrogen from soil do not require theexpenditure of energy in the form of carbon.
- L446: Maybe "Our results showed ... (Figs. 3a and 3g)" is "Our results showed ... (Figs.
 4a and 4g)"
- 207 [**Response**] Corrected.
- 208 L473, "plant N uptake is enough for growth": maybe you forget "not".
- 209 [Response] We added "not" in Lines 491
- *L483-L505: I'm still suffering from understanding the logical linking between the first half*
- of this part (general understandings(?), L483-494) and the latter (claims obtained from your
- analysis(?), L494-505). For example, in the former part, you mention "the residence time of

N in SOM appears to be an important factor", but such discussion on residence time does not
appear in the latter part...

215 Or you may intend to discuss first the residence time effect on plant production and then the

effect of stoichiometry. If so, you should discuss the effect of residence time by referring more

- 217 to your own results in the first part. The first part sounds like general understanding /
- 218 *background*.

219 [**Response**] Thanks for your comments and suggestions. Yes, we stated general

220 understandings on the nitrogen limitation from plant N uptake and net N mineralization based on both steady- and nonsteady- state in the first half of this paragraph. For our analysis based 221 on the steady state, we mainly discussed the three C-N schemes referring our results at the 222 steady state in the latter of this paragraph. To make it clearer, we have revised this paragraph, 223 and added "This N limitation mainly occurs in nonsteady state, because accumulation of N in 224 the slow SOM pools reduces N available for plant uptake (Thomas et al., 2015). At or near 225 the steady state, however, the sequestration of N in SOM mainly affects the C residence time 226 (Fig. 8 and 10b). In this study, the different NUE among the three C-N schemes are induced 227

by different mechanisms." In Lines 512-516.

- L544- "This mechanism promotes respiration of the faster turnover pools": This sentence is
not obvious for me. Why does the excess-C removal process in SM3 promote the respiration
of the faster turnover pools?

[Response] Sorry for the confusion. For the SM3 (O-CN model), the excess C is respired to
prevent the accumulation of C beyond the storage capacity. At steady state, those SOM pools
with faster turnover rates have smaller storage capacity than those with slower turnover rates.
As a result, the excess C promotes the respiration of the faster turnover pools primarily. To
make it clear in this study, we added "to prevent the accumulation of C beyond the storage
capacity" in Line 567.

- L557- "which is associated with plant competitiveness in SM1 and the respiration of excess
labile C in SM3": how did you get to this conclusion? Readers will need more explanation on
this.

[Response] Thanks for your comments. Based on the traceability analysis (Eq.30 and Fig. 8),
the baseline C residence time (and thus the C residence time Eq. 31) is calculated by
allocation coefficients (*B* vector). Based on sensitivity analysis (Fig. 10b), the plant and
microbe competition (PMC) and the plant tissue C:N (PS) have the highest sensitivities to C
residence time for SM1 and SM3, respectively. For the SM3, the representation of respiration
of excess labile C mainly drives the change of plant C:N ratio (Fig. 4f and 4l). In the revised

- version, we linked those results in Lines 580-581.
- Just a suggestion: As you noted in L513, "The effects of ecosystem N status on C mean
- 249 residence time, however, has been much less studied than N limitation on ~", the N impact on
- 250 *MRT* has been unclear when understanding model's behavior. I suppose you can address
- 251 more in your conclusion section that your analysis framework can quantify the degree of N
- 252 regulation on C storage capacity, with breaking down it into BOTH primary production and

- 253 *MRT* (as a steady state). I think this will give more significance to your work and could be a 254 strong message for readers.
- 255 [Response] Thanks so much for your suggestions. In this revised version, we added more
- conclusion on the N regulation on ecosystem C storage capacity based on our results. We
- 257 hope you will find our revision satisfactory.

259	Carbon-nitrogen coupling under three schemes of model
260	representation: a traceability analysis
261	
262 263 264	Zhenggang Du ¹ , Ensheng Weng ² , Jianyang Xia ^{1*} , Lifen Jiang ³ , Yiqi Luo ^{3,4} , Jianyang Xia ^{1,5*} , Xuhui Zhou ^{1,56*}
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Abstract The interaction between terrestrial carbon (C) and nitrogen (N) cycles has been 284 incorporated into more and more land surface models. However, the scheme of C-N coupling 285 differs greatly among models, and how these diverse representations of C-N interactions will 286 affect C-cycle modeling remains unclear. In this study, we explored how the simulated 287 ecosystem C storage capacity in the terrestrial ecosystem (TECO) model varied with three 288 289 different commonly-used schemes of C-N coupling. The three schemes (SM1, SM2, and 290 SM3) have been used in three different coupled C-N models (i.e., TECO-CN, CLM 4.5, and O-CN, respectively). They differ mainly in the stoichiometry of C and N in vegetation and 291 292 soils, plant N uptake strategies, down-regulation of photosynthesis, and the pathways of N import. We incorporated the three C-N coupling schemes into the C-only version of TECO 293 model, and evaluated their impacts on the C cycle with a traceability framework. Our results 294 showed that all of the three C-N schemes caused significant reductions in steady-state C 295 storage capacity compared with the C-only version with the magnitudes of -23%, -30% and -296 54% for SM1, SM2, SM3, respectively. These reduced C storage capacity was mainly 297 derived from the combined effects of decreases in net primary productivity (NPP, -29%, -298 299 15% and -45%) and changes in mean C residence time (MRT, 9%, -17% and -17%) for SM1, SM2, and SM3, respectively. The differences in NPP are mainly attributed to the different 300 301 assumptions on plant N uptake, plant tissue C:N ratio, down-regulation of photosynthesis, and biological N fixation. In comparison, the alternative representations of the plant vs. 302 303 microbe competition strategy and the plant N uptake, combining with the flexible C:N ratio in vegetation and soils, led to a notable spread MRT. These results highlight that the diverse 304 305 assumptions on N processes represented among by different C-N coupled models could cause additional uncertainty to land surface models. Understanding their difference can help us 306 307 improve the capability of models to predict future biogeochemical cycles of terrestrial 308 ecosystems.

309 Keywords: carbon-nitrogen coupling, traceability analysis, carbon storage capacity, nitrogen
310 limitation, carbon residence time

311

312 **1. Introduction**

313 The tTerrestrial ecosystem carbon (C) storage is jointly determined by ecosystem C input (i.e., net primary productivity, NPP) and mean residence time (MRT), both of which are 314 strongly affected by the terrestrial nitrogen (N) availability (Vitousek et al., 1991; Hungate et 315 al., 2003; Luo et al., 2017). Nitrogen is an essential component of enzymes, proteins, and 316 secondary metabolites (van Oijen and Levy, 2004). Plant and microbial production require N 317 to meet their stoichiometric demands, thus affecting the C balance and nutrient turnover of 318 319 ecosystems (Cleveland et al., 2013; Wieder et al., 2015b). Since N limitation is widespread 320 for plant growth in terrestrial ecosystems (LeBauer et al., 2008; Xia and Wan, 2008), N availability is often highly correlated with key ecological processes, such as C assimilation 321 322 (Field and Mooney, 1986; Du et al., 2017), allocation (Kuzyakov et al., 2013), plant respiration (Sprugel et al., 1996), and litter and soil organic matter (SOM) decomposition 323 324 (Terrer et al., 2016). Nitrogen dynamics thus plays an important role in governing the terrestrial ecosystem C storage (García-Palacios et al., 2013; Shi et al., 2015). 325

Given the importance of N availability on C sink projections (Hungate et al., 2003; Wang 326 and Houlton 2009, Zaehle et al., 2015, Wieder et al., 2015b), N processes are increasingly 327 incorporated into biogeochemical models. The representation of N cycling and their feedback 328 to C cycling in models reflects what has been established in the ecosystem research 329 community. Early C-N coupled models demonstrated that the N availability limited C storage 330 331 capacity with associated effects on plant photosynthesis and growth in many terrestrial ecosystems (Melillo et al., 1993; Luo et al., 2004). Recent studies have largely confirmed 332 these results by improving C-N coupling models with multiple hypotheses (Zhou et al., 2013; 333 334 Zaehle et al., 2014; Thomas et al., 2015). These hypotheses include the plant down-regulation productivity based on N required for cell construction or N availability for plant absorption 335 336 (Thornton et al., 2009; Gerber et al., 2010), constant or flexible stoichiometry for allocation and tissue (Wang et al., 2001; Shevliakova et al., 2009; Zaehle et al., 2010), competition 337 338 between plants and microbes for soil nutrients (Zhu et al., 2017), Evapotranspiration (ET)- or NPP-driven empirical functions to generate spatial estimates of biological N fixation (BNF) 339 340 (Cleveland et al., 1999; Wieder et al., 2015a; Meyerholt et al., 2016), and respiration of excess C to obtain N from environment and/or to prevent the accumulation of C beyond the 341 342 storage capacity (Zaehle et al., 2010). The knowledge has significantly helped improve our understanding of the terrestrial C-N coupling and is an important basis to develop 343 comprehensive terrestrial process-based models (Thornton et al., 2007; Thomas et al., 2013). 344

However, simulated results of the terrestrial C cycle illustrated considerable spread among 345 models, and much of uncertainty arose from predictions of N effects on C dynamics (Arora et 346 al., 2013; Zaehle et al., 2015). The contradictory results were largely from different 347 representations of fundamental N processes (e.g., the degree of flexibility of C:N ratio in 348 vegetation and soils, plant N uptake strategies, pathways of N import, decomposition, and the 349 representations of the competition between plants and microbes for mineral N) (Sokolov et 350 al., 2008; Wania et al., 2012; Walker et al., 2015). Furthermore, the methodology used to 351 derive the C-N coupling schemes among models varied largely, which might be invalid for 352 353 the model intercomparisons to provide insight into the underlying mechanism of N status for 354 terrestrial C cycle projection.

355 In the past decades, terrestrial models integrated more and more processes to improve model performance (Koven et al., 2013; Todd-Brown et al., 2013; Wieder et al., 2014). The 356 357 more processes incorporated, the more difficult it becomes to understand or evaluate model 358 behavior (Luo et al., 2015). The traceability analysis has been developed to diagnose the simulation results within (Xia et al. 2013; Ahlström et al., 2015) and among (Rafique et al., 359 360 2016; Zhou et al., 2018) models. Based on the traceability analysis framework, key traceable elements, including fundamental properties of the terrestrial C cycle and their representations 361 in shared structures among existing models, can be identified and characterized under 362 363 different sources of variation (e.g., external forcing and uncertainty in processes) compared to 364 the achieved predictive ability. The traceability analysis enables diagnosis of where models are clearly lacking predictive ability and evaluation of the relative benefit when more or 365 alternative components are added to the models (Luo et al., 2015). 366

This study is designed to examine the effects of C-N coupling under different schemes of 367 368 model representation on ecosystem C storage in the Terrestrial Ecosystem (TECO) model with the traceability analysis framework. Three schemes of model representation were 369 370 conducted mainly based on carbon-nitrogen coupling version of TECO (TECO-CN-(SM1), Weng and Luo, 2008, [SM1]), Community Land Model Version 4.5 (CLM 4.5-(SM2), Koven 371 et al., 2013; Oleson et al., 2013, [SM2]) and carbon-nitrogen coupling version of the 372 373 Organizing Carbon and Hydrology in Dynamic Ecosystems model (O-CN-(SM3, Zaehle and Friend, 2010; Zaehle et al., 2011, [SM3]) (Table 1). The three C-N schemes differ in degrees 374 of flexibility of C:N ratio in vegetation and soils, plant N uptake strategies, pathways of N 375 376 import, and the representations of the competition between plants and microbes for soil 377 available N. Based on the forcing data of ambient CO₂ concentration, N deposition, and

- 378 meteorological data (i.e., air temperature, soil temperature, relative humidity, vapour pressure
- deficit, precipitation, wind speed, photosynthetically active radiation) obtained from Duke
- Forest during the period of 1996-2007, we conduct three alternative C-N coupling schemes
- (i.e., SM1, SM2 and SM3) as well as C-only in TECO model framework to compare their
- 382 effects on the ecosystem C storage capacity. The N-processes sensitivity analysis was carried
- out to evaluate the variability in estimated ecosystem C storage caused by the process-related
- 384 parameters at the steady state.
- 385

386 2. Materials and methods

387 2.1 Data sources

- 388 The datasets used in this study were taken from the Duke free-air CO_2 enrichment (FACE)
- experiment, located in the Blackwood Division, North Carolina, USA (35.97° N, 79.08° W).
- 390 The flux tower lies on a 15-year-old loblolly pine (*Pinus taeda L.*) plantation. The
- 391 meteorological forcing data were downloaded from the AmeriFlux database at
- 392 <u>http://ameriflux.lbl.gov</u>, including ambient CO₂ concentration ([CO₂]), air temperature at the
- top canopy (Ta), soil temperature (Ts), photosynthetically active radiation (PAR), relative
- humidity (*RH*), vapor pressure deficit (*VPD*), precipitation, wind speed [*Ws*], and N
- deposition. All forcing data sets are available from 1996 to 2007. To set the initial condition
- 396 for the models, we collected the related datasets from the previous studies. Standing biomass
- and biomass production data at each plot for plant compartments (i.e., foliage, fine root and
- 398 woody biomass, including branches and coarse roots) were taken from McCarthy et al.
- 399 (2010). The C and N concentration data for each plant compartment based on Finzi et al.
- 400 (2007) were used to estimate C and N stocks and fluxes. Plant N demand and uptake were
- 401 calculated from these data measured by Finzi et al. (2007). The C and N concentrations of
- 402 litter and SOM were obtained from Lichter et al. (2008).
- 403

404 2.2 Model description and C-N schemes

405 **2.2.1 TECO-CN**

406 The terrestrial ecosystem C-N coupling model (TECO-CN) used in the present study is a

- 407 variant of the TECO-Carbon-only version (TECO-C) by incorporating additional key N
- 408 processes (Fig. 1). TECO-C model is a process-based ecosystem model designed to examine
- 409 critical processes regulating interactive responses of plants and ecosystems to climate change.
- 410 It has four major components: canopy photosynthesis module, plant growth module, soil
- 411 water dynamic module, and soil C dynamic module. The canopy photosynthesis and soil

water dynamic modules run at hourly time step while the plant growth and soil C dynamic
modules run at the daily time step. The detailed description of the TECO-C model can be
found in Weng and Luo (2008).

The N cycle added to the TECO model for this study is simplified following the structure 415 of Luo & and Reynolds (1999), Gerber et al. (2010), and Wang et al. (2010). It has a similar 416 417 structure to the TECO-C model (Fig. 1). There are nine organic N pools-and one inorganic 418 soil N pool, including plant, litter and soil N pools, and one inorganic soil N pool. The plant N pools include leaves, wood, roots, and mineral N in plant tissues. The litter and soil N 419 420 pools include metabolic and structural litter N, fast, slow, and passive soil organic N (SON), and soil mineral N pools. The total plant N demand on each time step is calculated following 421 the NPP allocation to new tissue growth based on their C:N ratios. To meet the demand, the 422 plant N supply is calculated from three parts, including the retranslocated N from senescing 423 tissues, plant uptake from soil mineral N pool, and external N sources from atmospheric 424 deposition and biological N fixation. The N absorbed by roots enters into the mineral N pool 425 in plant tissues, and then is allocated to the remaining plant pools with plant growth. The N in 426 427 leaves and fine roots is reabsorbed before senescence. Plant litters will enter metabolic or structural pools depending on their C:N ratios. 428

The allocation coefficients act as the key factor to determine the baseline C residence time in this study. Plant assimilated C allocating to the leaves, stems and roots depends on their growth rates, which vary with phenology (Luo et al., 1995; Denison and Loomis, 1989; Shevliakova et al., 2009; Weng and Luo, 2008):

(2)

(3)

(5)

433
$$b_l = \frac{1}{1+c_1+c_2}$$
 (1)

434
$$b_s = \frac{c_2}{1+c_1+c_2}$$

435
$$b_r = \frac{c_1}{1 + c_1 + c_2}$$

436 where b_l , b_s and b_r are the partitioning coefficient of newly assimilated C to leaves, stems 437 and roots, respectively. Parameters c_1 and c_2 are calculated as:

438
$$c_1 = \frac{bm_l}{bm_r} * \frac{CN_l^i}{CN_l^0}$$
(4)

440 where bm_l and bm_r are the leaf and root biomass; CN_l^i and CN_l^0 represent the C:N ratios of 441 the leaf pool at 0 and current time step, respectively; *SLA* is specific leaf area; *h* is plant

 $c_2 = 0.5 * 250e^3 * SLA * 0.00021 * h^2$

442 height, which is calculated as:

$$h = h_{max}(1 - \exp(-h_1 * bmP)) \tag{6}$$

444 where h_{max} is the maximum canopy height; h_1 is an empirical parameter and *bmP* is plant 445 biomass.

446

447 2.2.2 C-N coupling schemes

We conducted four experiments including three simulations with their representations of C-N 448 coupling schemes (SM1, SM2 and SM3) and an additional C-only simulation in TECO model 449 framework. The three C-N interaction simulations include one original scheme in TECO-CN 450 model and the other two schemes representing CLM4.5-BGC and O-CN. The three C-N 451 coupling schemes differ in the representation of down-regulation of photosynthesis, the 452 degree of flexibility of C:N ratio in vegetation and soils (i.e., fixed C:N ratio in SM2, flexible 453 C:N ratio in SM1 and SM3), plant N uptake strategies, pathways of N import to the plant 454 reserves, and the competition between plants, and microbes for soil mineral N (Table1, Fig. 455 2). 456

457

458 SM1 (TECO-CN)

The N down-regulation of photosynthesis in SM1 is determined by the comparison betweenplant N demand and actual supply of N:

461

469

$$f_{dreg} = \min(\frac{N_{sup}}{N_{demand}}, 1)$$
⁽⁷⁾

(8)

462 where N_{sup} (g N m⁻² s⁻¹) is actual supply of N obtained from re-translocated N, plant N 463 uptake, and biological N fixation. N_{demand} (g N m⁻² s⁻¹) is plant N demand, which is 464 calculated as:

465 $N_{demand} = \sum_{i=leaf, wood, root} \frac{C_i}{CN_i^2}$

466 where C_i is the C pool size of plant tissue at the current time step, and CN_i^0 is the C:N ratio of 467 plant tissue at the last first time step.

468 The re-translocated N is calculated as:

$$N_{retrans} = \sum_{i=leaf,wood,root} r_i \times outC_i/CN_i \tag{9}$$

470 where r_i is the N resorption coefficient, CN_i is the C:N ratio and $outC_i$ (g C m⁻² s⁻¹) is the

471 value of C leaving plant pool *i* at each time step.

472 The plant N uptake (g N $m^{-2} s^{-1}$) from soil mineral N pool is a function of root biomass

473 density (Root_{total}, g C m⁻²) and N demand of plants, following McMurtrie *et al.* (2012)

474
$$N_{uptake} = min(max(0, N_{demand} - N_{retrans}), f_{U,max} \times SN_{mine} \times \frac{Root_{total}}{Root_{total} + Root_0})$$
 (10)

- 475 where N_{demand} is the N demand of plants; SN_{mine} (g N m⁻²) is the soil mineral N; $f_{\text{U,max}}$ is the
- 476 maximum rate of N absorption per step when *Root*_{total} approaches infinity; and *Root*₀ (g C m⁻
- 477 ²) is a constant of root biomass at which the N-uptake rate is half of the parameter $f_{U,max}$.

478 The biological N fixation (g N $m^{-2} s^{-1}$) is calculated as:

479
$$N_{BNF} = \min(\max(0, N_{demand} - N_{retrans} - N_{uptake}), n_{fix} \times f_{nsc} \times NSC) \quad (11)$$

480 where $n_{fix} = 0.0167$ is the maximum N fixation ratio and f_{nsc} is the nutrient limiting factor. 481 f_{nsc} is calculated as

$$f_{nsc} = \begin{cases} 0, & NSC < NSC_{min} \\ \frac{NSC - NSC_{min}}{NSC_{max} - NSC_{min}}, & NSC_{min} < NSC < NSC_{max} \\ 1, & NSC > NSC_{max} \end{cases}$$
(12)

483 where NSC_{min} (g C m⁻²) and NSC_{max} (g C m⁻²) are the minimal and maximal sizes of 484 nonstructural C pool, respectively.

485 The soil microbial immobilization (g N $m^{-2} s^{-1}$) is calculated as:

$$486 \qquad Imm_{N} = \begin{cases} \sum_{i=4}^{8} min\left(\left(\frac{C_{i}}{CN_{i}} - \frac{C_{i}}{CN_{i}}\right), 0.1 * SN_{min}\right) for CN_{i} \ge CN0_{i} \\ \sum_{i=4}^{8} min\left(\left(\frac{C_{i}}{CN_{i}} - \frac{C_{i}}{CN0_{i}}\right), 0.1 * SN_{min}\right) for CN_{i} < CN0_{i} \end{cases}$$
(13)

487 where $CN0_i$ and CN_i (i = 4, 5, 6, 7, 8) are the C:N ratios of metabolic litter, structural litter, 488 fast, slow and passive soil organic C pools at first- and current-time step, respectively.

489 Two pathways of N loss are modeled. One is gaseous loss (N_{gas_loss} , g N m⁻² s⁻¹) and 490 another is leaching (N_{leach} , g N m⁻² s⁻¹). Both are proportional to the availability of soil 491 mineral N (SN_{min} , g N m⁻²). The equations are:

492
$$N_{gas_loss} = f_{ngas} \times e^{\frac{T_{soll}-25}{10}} \times SN_{min}}$$
(14)
493
$$N_{leach} = f_{nleach} \times \frac{V_{runoff}}{h_{depth}} \times SN_{min}$$
(15)
494
$$N_{gas_loss} = \max(f_{ngas} \times e^{\frac{T_{soll}-25}{10}} \times SN_{min}, \underline{N_{BNF}+N_{depos}-N_{leaching}})$$
(15)
495 (15)

496

497 where $f_{ngas} = 0.001$ and $f_{nleach} = 0.5$, $T_{soil}(^{\circ}C)$ is the soil temperature, V_{runoff} (mm s⁻¹) is 498 the value of runoff, and h_{depth} (mm) is the soil depth, Ndepos = 0.78 gN m⁻² yr⁻¹, is N 499 deposition used in this study. 500

503

509

501 SM2 (CLM4.5bgc)

502 The N down-regulation of photosynthesis in SM2 is calculated as:

$$f_{dreg} = \frac{CF_{allo} - CF_{avail_alloc}}{CF_{GPP_{pot}}}$$
(16)

where CF_{allo} (g C m⁻² s⁻¹) is the total flux of allocated C, which is determined by available mineral N. CF_{avail_alloc} (g C m⁻² s⁻¹) is the potential C flux from photosynthesis, which can be allocated to new growth. $CF_{GPP_{pot}}$ (g C m⁻² s⁻¹) is the potential gross primary productivity (GPP) when there is no N limitation.

508 The re-translocated N (g N $m^{-2} s^{-1}$) is calculated as:

$$N_{retrans} = \min(N_{demand} \times \frac{N_{retrans_{ann}}}{N_{demand_{ann}}}, N_{retrans_{avail}})$$
(17)

where $N_{retrans_{ann}}$ (gN m⁻² y⁻¹) is the previous year's annual sum of re-translocated N obtained from senescing tissues, $N_{demand_{ann}}$ (g N m⁻² y⁻¹) is the previous year's annual sum of plant N demand. $N_{retrans_avail}$ (g N m⁻² s⁻¹) is the available re-translocated N in senescing tissues, which is calculated by the proportional of senescing tissues.

514 The plant N uptake (g N
$$m^{-2} s^{-1}$$
) is described as:

$$N_{uptake} = (N_{demand} - N_{retrans}) \times f_{plant_demand}$$
(18)

where f_{plant_demand} is the fraction (from 0 to 1) of the plant N demand, which can be met given the current soil mineral N supply and competition with heterotrophs. f_{plant_demand} is set to be equal to the fraction of potential immobilization demand (f_{immob_demand}) that is calculated as:

520

515

$$f_{plant_demand} = f_{immob_demand} = \frac{SN_{min}}{N_{plant_demand} + N_{immob_demand}}$$
(19)

521 where N_{immob_demand} (g N m⁻² s⁻¹) is the total potential N immobilization demand (i.e., total 522 potential microbial N demand).

523 The biological N fixation (g N $m^{-2} s^{-1}$) is calculated as:

524
$$N_{BNF} = \frac{1.8(1 - e^{-0.03 \times NPP_{py}})}{(86400 \times 365)}$$
(20)

525 where NPP_{py} (g C m⁻² y⁻¹) is the previous-year NPP.

526

527 SM3 (O-CN)

528 The N down-regulation of photosynthesis in SM3 is calculated as:

529
$$f_{dreg} = a + b \times N_{leaf/LAI}$$
(21)

- 530 where *a* and *b* are empirical constants, and $N_{leaf/LAI}$ (g N m⁻²) is foliage N per unit leaf area. 531 The re-translocated N (g N m⁻² s⁻¹) is calculated as:
 - $N_{retrans} = \sum_{i=leaf,root} \tau_i \times f_{trans,i}$ (22)

533 where τ (g N m⁻² s⁻¹) is the foliage or roots shed each step. $f_{trans,leaf} = 0.5$ and $f_{trans,root} =$

- 0.2 are the fractions of N re-translocated when the tissue dies off.
- 535 The plant N uptake (g N $m^{-2} s^{-1}$) is calculated as:

538 where $v_{max} = 0.514$ is maximum N uptake capacity per unit fine root mass (Zaehle and

539 Friend 2010; Kronzucker et al., 1995, 1996), k_{Nmin} is the rate of N uptake not associated 540 with Michaelis-Menten Kinetics, K_{Nmin} is the half saturation concentration of fine root N 541 uptake. $f(T_{soil})$ is calculated as:

542
$$f(T_{soil}) = \exp\left(308.56 * \left(\frac{1}{56.02} - \frac{1}{T_{soil} + 46.02}\right)\right)$$
(24)

- 543 where T_{soil} (°C) is soil temperature.
- 544 C_{root} (g C m⁻²) is fine root mass. $f(NC_{plant})$ is the dependency of N uptake on plant N 545 status, and is calculated as:

546
$$f(NC_{plant}) = \max(\frac{NC_{plant} - nC_{leaf,max}}{nc_{leaf,min} - nC_{leaf,max}}, 0)$$
(25)

where $nc_{leaf,min}$ and $nc_{leaf,max}$ are the minimum and maximum foliage N concentration, respectively. NC_{plant} (g N g⁻¹C) is taken as the mean N concentration of foliage, fine root, and labile N pools, representing the active and easily translocatable portion of plant N:

550
$$NC_{plant} = \frac{N_{leaf} + N_{root} + N_{labile}}{C_{leaf} + C_{root} + C_{labile}}$$
(26)

551 The biological N fixation (g N $m^{-2} s^{-1}$) is calculated as:

552
$$N_{BNF} = 0.1 \times \max(0.0234 \times 30 \times AET + 0.172,0) / (86400 \times 365)$$
 (27)

where AET (mm y⁻¹) is the mean annual evapotranspiration.

554

532

555 2.3 Traceability analysis framework

- 556 The traceability analysis framework was used to evaluate the variation of the modeled
- 557 ecosystem C storage capacity under different C-N schemes (Fig. S1). According to the
- traceability analysis framework (Xia et al., 2013), the modeled C storage capacity can be
- traced to (i) a product of NPP and ecosystem residence time (τ_E). The latter τ_E can be further
- traced to (ii) baseline C residence time (τ'_E), which is usually preset in a model according to

vegetation characteristics and soil types, (iii) N scalar (ξ_N), (iv) environmental scalars (ξ) including temperature (ξ_T) and water (ξ_W) scalars, and (v) the external climate forcing. The framework for decomposing modeled C storage capacity into a few traceable components is built upon a pool- and flux- structure, which is adopted in all of the terrestrial C models. The structure can well be represented by a matrix equation (Luo et al., 2003; Luo and Weng, 2011):

$$\frac{dX(t)}{dt} = BU(t) - A\xi CX(t)$$
(28)

where $X(t) = (X_1(t), X_2(t), ..., X_8(t))^T$ is an 8×1 vector describing eight C pool sizes in 568 leaf, root, wood, metabolic litter, structural litter, fast, slow, and passive soil organic C, 569 respectively, in the TECO model (Weng and Luo, 2008). $B = (b_1, b_2, b_3, 0, \dots, 0)^T$ represents 570 the partitioning coefficients of the photosynthetically fixed C into different plant pools. U(t)571 is the input of fixed C via plant photosynthesis. A is an 8×8 matrix representing the C 572 transfer between pools. ξ is an 8 \times 8 diagonal matrix of control of plant N status and 573 environmental scalars on C decay rate at each time step. C is an 8×8 diagonal matrix 574 575 representing the C exit rates from a pool at each time step.

The C storage capacity equals to the sum of C in all pools at the steady state (X_{ss}), which can be obtained by making Eqn.(28) equal to zero as described in Xia et al. (2013):

$$X_{ss} = (A\xi C)^{-1} B U_{ss} \tag{29}$$

579 The vector U_{ss} is the ecosystem C influx at the steady state. The partitioning (*B* vector), 580 transfer coefficients (*A* matrix), and exit rates (*C* matrix) in Eqn. (28) together determine the 581 baseline C residence time (τ'_E):

- The baseline C residence time (τ'_E) in Eqn. (30), N scalars (ξ_N) and environmental scalars

584 (ξ_E) values together determine the C residence time (τ_E) :

$$\tau_E = \xi^{-1} \tau'_E = (\xi_N \times \xi_E)^{-1} \tau'_E \tag{31}$$

Thus, the C storage capacity is jointly determined by the ecosystem residence time (τ_E) and steady-state C influx (U_{ss}):

$$X_{ss} = \tau_E U_{ss} \tag{32}$$

The environmental scalar is further separated into the temperature (ξ_T) and water (ξ_W) scalars, which can be represented as:

$$\xi_E = \xi_T \times \xi_W \tag{33}$$

As the respiration and decomposition rate modifier, the N scalar is given by vector $\xi_N = (\xi_{N1}(t), \xi_{N2}(t), \dots, \xi_{N8}(t))^T$. The component $\xi_{Ni}(t)$ quantifies the changes of N content at each time step compared with initial condition in the C pool *i*. It is calculated as:

$$\xi_{Ni} = \exp(-\frac{CN_i^0 - CN_i^n}{CN_i^0}) \tag{34}$$

where CN_i^0 and CN_i^n are the C:N ratio of the pool *i* at 0 and *n* time step, respectively.

598 2.4 Model simulations and sensitivity analysis

To obtain the modeled C storage capacity, we spun up the TECO model with the C-only and three C-N coupling schemes to the steady state using the semi-analytical solution method developed by Xia et al. (2012). In this study, the meteorological forcings of 1996-2007 with the time step of half an hour were used to run the models to the steady state. Once the simulations are spun up to the steady state, C and N fluxes and state variables as well as the matrix elements *A*, *C*, *B*, and ξ in Eqn.(29) from all time steps in the last recycle of the climate forcing were saved for the traceability analysis.

The sensitivities of both NPP and mean C residence time (MRT) as well as ecosystem C
storage capacity to each main N process in three schemes were calculated as:

608
$$S_i^{NPP}(P) = \frac{NPP_i^+(P) - NPP_i^-(P)}{NPP_i^0}$$
(35)

$$S_{i}^{MRT}(P) = \frac{MRT_{i}^{+}(P) - MRT_{i}^{-}(P)}{MRT_{i}^{0}}$$
(36)

610

609

595

$$S_i^{ECSC}(P) = S_i^{NPP}(P) \times S_i^{MRT}(P)$$
(37)

where $S_i^{NPP}(P)$, $S_i^{MRT}(P)$, and $S_i^{ECSC}(P)$ (i = 1, 2, 3) represent the sensitivities of NPP, MRT 611 and ecosystem C storage capacity to the N-process P in the scheme i, respectively. NPP_i^0 and 612 MRT_i^0 are the annual mean values of NPP and MRT at the steady state in the scheme *i*. 613 $NPP_i^+(P)$ and $NPP_i^-(P)$ are the annual mean values of NPP that were simulated to steady 614 615 state again in scheme *i* based on the value of the N-process P (i.e., list in Table 1)- by increasing 50% and decreasing 50%, respectively. $MRT_i^+(P)$ and $MRT_i^-(P)$ are the annual 616 mean values of MRTs that were simulated at the same way as NPP and calculated using 617 Eqn.(30) and Eqn.(31). NPP_{i}^{Θ} and MRT_{i}^{Θ} are the annual mean values of NPP and MRT at the 618 steady state in the scheme i. 619 620

621 **3. Results**

622 **3.1 Simulations of C and N dynamics at steady state**

At the steady state, the dynamics of N fluxes and soil mineral N showed different patterns 623 among three C-N schemes in the TECO model (Fig. 3). The simulated soil N mineralization 624 and plant N uptake fluxes in SM2 displayed the largest daily variation (0.001.5 and 0.00086 625 mg N m⁻²d⁻¹, respectively) and annual mean values (1.26 and 0.23 g N m⁻²yr⁻¹, respectively) 626 among three C-N schemes. This variation mainly resulted from both the plant N demand and 627 628 the available N in soil (Fig. 3g). For The dynamic of soil mineral N also drove the variation of the N leaching flux, which the SM1 showed the largest daily variation ($0.040 \text{ mg N m}^{-2}\text{d}^{-1}$ 629 ¹) and annual mean value (0.36 g N m⁻²yr⁻¹). However, the representation of biological N 630 fixation (BNF) as an option when the plant uptake is not enough for growth led to the 631 biological N fixation (BNF) flux in SM1 showed the largest daily variation (0.028 mg N m⁻ 632 $^{2}d^{-1}$) but with the smallest annual value (0.04 g N m⁻²yr⁻¹) in SM1 in comparison with other 633 twoamong three C-N schemes. Both the nitrogen balance requirement and the dynamic of soil 634 mineral N resulted to the largest daily variation (1.97 mg N m⁻²d⁻¹) and annual value of 635 gaseous N loss (1.39 g N m⁻²yr⁻¹) in SM3. The combined effect of flexible C:N ratio and soil 636 mineral N drove the largest daily variation of N immobilization fluxes (1.3 mg N m⁻²d⁻¹)N 637 immobilization fluxes in SM3 displayed the largest daily variation (0.0013 g N m⁻²d⁻¹) and 638 SM1 showed the largest annual mean value $(1.15 \text{ g N m}^2\text{yr}^{-1})$ in SM1. The dynamics of soil 639 640 mineral N in SM2 and SM3 displayed the similar patterns on the daily and annual dynamics. Compared with the TECO-C model, the three C-N coupling schemes introduced 641 significant signs of N limitation on forest growth at the steady state but with varying 642 magnitude (Fig. 4). Specifically, the three N schemes caused significant reductions in GPP 643 (10%, 10% and 12% for SM1, SM2 and SM3, respectively) compared to the C-only TECO 644 model. Similar response patterns were also found on NPP, ecosystem respiration, and 645 heterotrophic respiration. Among the three schemes, SM3 had the strongest effect (45%, 12%) 646 and 45% reduction for NPP, ecosystem respiration, and heterotrophic respiration, 647 respectively), while SM2 had the weakest effect (15%, 8% and 13%, respectively) and the 648 effect of SM1 was relatively moderate (29%, 10% and 29%, respectively). However, by 649 comparison with the TECO-C version, both the SM1 and SM2-SM3 schemes increased the 650 autotrophic respiration by 12% and 27%, respectively. At or near the steady state, NEE in 651 both TECO-C and three C-N coupling schemes had similarly mean values (1.37, -0.13, 0.66 652 and 0.84 g C m⁻² yr⁻¹) which were equal to zero approximately but with large variations (56, 653 39.4, 48.1 and 34.9)., and SM2 scheme increased the NEE by 32%. Due to the NSC pool of 654 TECO model, NEE were positive in all the experiments at the steady state (Weng and Luo, 655 2008). 656

- Three C-N coupling schemes induced different effects on C and N stoichiometric status 657 for different pools (Figs. 5 and S2). All three schemes had significant limitation signs on 658 woody, structural litter, fast and slow SOM pools but with different magnitudes (Fig. 5a). 659 SM2 had the highest C sizes for the roots (731.8 g C m⁻²) and metabolic litter (1252.1 g C m⁻²) 660 ²), while SM1 had the highest C size for passive SOM pool (4249.5 g C m⁻²). SM2 had the 661 constant C:N ratios for all the displaying pools (Fig. 5b), while the C:N ratios for three 662 displaying pools (leaf, root and structural litter) had no significant change in both SM1 and 663 SM3. As for both woody and metabolic litter pools, SM1 and SM3 had higher C:N ratios 664 665 (357.2 and 357.9, respectively) compared with SM2 (354). SM1 had the lowest C:N ratio
- 666 (4.6) for soil passive SOM pool among the three schemes.
- The divergent effects of three C-N schemes on plant N uptake (Fig. 3), autotrophic 667 respiration, and NPP (Fig. 4) lead to different N use efficiency (NUE) and carbon use 668 efficiency (CUE) (Fig. 6). SM1 had the highest NUE (159.1 g C g⁻¹ N), mainly resulting from 669 its lowest plant N uptake. In contrast, SM3 had the lowest NUE (67.3 g C g⁻¹ N) as a result of 670 its smallest NPP. Because of the hypothesis of N uptake for free, SM2 had the highest CUE 671 (0.54) among three C-N schemes, which was close to that in the C-only version (0.57). 672 However, SM3 had the lowest CUE (0.35) due to both C cost for plant actively uptake N and 673 674 the assumption that increase respiration to remove the excess C.
- 675

676 **3.2 Simulation of C storage capacity**

- 677 The ecosystem C storage capacity also differed greatly among the three C-N coupling
- schemes and the C-only version of TECO model (Fig. 7). The C-only version had the largest
- C storage capacity (19.5 Kg C m⁻²) among the four simulations due to its highest NPP (879.9
- $g C m^{-2} yr^{-1}$). The C storage capacity in SM1 (15.1 Kg C m⁻²) was close to that in SM2 (13.7
- $Kg C m^{-2}$). The SM3 had the lowest C storage capacity (8.9 Kg C m⁻²) among the four
- simulations as a result of its smallest NPP (483.9 g C m^{-2} yr⁻¹) and relative short MRT (18.6
- years). By comparison with the C-only version, the three C-N schemes all induced different
- reductions on NPP (-29%, -15% and -45% for SM1, SM2, SM3, respectively) and further
- reduced their ecosystem C storage capacity. For the MRT, SM1 exhibited positive effects
- 686 (+9%) relative to that in the C-only version, while another two schemes induced negative
- 687 ones (i.e., -16.9% in SM2 and -16.7% in SM3).
- 688

689 **3.3. Ecosystem C residence time**

Ecosystem C residence time (τ_E) is collectively determined by baseline residence time, N 690 scalar, and environmental scalars as shown in Eqn. (31). Specifically, differences in τ_E among 691 three C-N coupling schemes and C-only TECO model are determined by baseline residence 692 time and the effects of N scalar on eight plant C pools (Fig. 8). For example, SM1 had the 693 longest τ_E because the N scalar had very strong control on passive SOM. The baseline 694 residence time was further determined by the C allocation (Fig. 9). Overall, compared with 695 C-only version, the additional N processes enhanced the partitioning coefficient of NPP to 696 roots (33%, 82% and 53% for SM1, SM2 and SM3, respectively) but decreased the 697 698 partitioning coefficient to wood (-25%, -45% and -34%, respectively). Furthermore, the decreased partitioning coefficient to wood (b2) regulated the variations of the baseline 699 residence time of wood, structural litter, slow and passive SOM. However, the increased 700 701 partitioning coefficient to roots (b3) determined the variations of the baseline residence time of roots and metabolic litter. 702

703

704 3.4. Sensitivity of N processes to NPP and MRT

705 For either NPP or MRT, the N processes had different sensitivities among the three C-N schemes of TECO model (Fig. 10). For NPP, plant C:N ratio had the highest sensitivities in 706 707 both SM1 (0.32) and SM2 (0.53). However, plant N uptake in SM3 had the highest sensitivity (0.87) for NPP. For MRT, competition between plants and microbes, down-708 709 regulation of photosynthesis and plant C:N had the highest sensitivities in SM1 (0.27), SM2 (0.19) and SM3 (0.56), respectively. As the NPP and MRT jointly determined the ecosystem 710 C storage capacity, the plant tissue C:N ratio, down-regulation of photosynthesis, and plant N 711 uptake had the highest sensitivities for the ecosystem C storage capacity in SM1 (0.06), SM2 712 (0.09) and SM3 (0.26), respectively. 713

714

715 4. Discussions

716 4.1 Underlying N processes and plant production

Gross or net primary production (i.e., GPP or NPP) is regulated by the amount of N
availability for plant growth through the N demand, which is set by the relative proportion of
biomass growth in the different plant components and their C:N stoichiometry (Zaehle et al.,
2014; Thomas et al., 2015). The limitation of equilibrium N on plant production reflects the
effects from multiple processes of the C-N interaction, mainly including down-regulation of
photosynthetic capacity by N availability, the ecosystem's balance of N inputs and losses
(i.e., net ecosystem N exchange), plant N uptake, soil N mineralization, and the C:N

stoichiometry of vegetation and soils. However, due to a lack of consensus on the nature of
the mechanisms, the representation of these processes varies greatly among diverse models
(Zaehle et al., 2014).

727 There are two common alternative assumptions for the down-regulation of photosynthesis 728 that have been implemented in models: (1) the change in photosynthetic capacity is directly 729 associated with the magnitude of plant available N (e.g., SM2), and (2) N limitation is associated with foliage N, which feeds back to limit photosynthetic capacity (e.g., SM1 and 730 SM3). Our results showed that both assumptions had significant limitations with similar 731 732 effects on GPP (Figs. $\frac{3a}{4a}$ and $\frac{3g4g}{2g}$). The probable reason is that the TECO model 733 calculates photosynthesis by light availability and carboxylation rate based on the Farquhar model (Farquhar et al., 1980). The effects of N stress under the TECO framework, either 734 associated with plant available N or associated with foliage N concentration, are estimated 735 according to limiting factors of photosynthetic biochemistry (the maximum rate of 736 737 carboxylation, V_{cmax} , and the maximum rate of electron transport at saturating irradiance, $4J_{imax}$). The two assumptions of down-regulation of photosynthesis may have different time-738 739 dependent effects on GPP in nonsteady-state systems (Xu et al., 2012; Walker et al., 2017). At or near the steady state, net ecosystem N exchange is driven by the processes of N 740 741 input via deposition and fixation and N loss via leaching and volatilization (Zaehle et al., 742 2014; Thomas et al., 2015). Previous studies have stated that analyzing the steady-state condition is useful to understand N effects because the balance between external N sources 743 and N losses determine whether an ecosystem is N limited (Rastetter et al., 1997; Menge et 744 al., 2009; Thomas et al., 2015). In this study, divergent NPP responses among the three 745 schemes might partly result from their different representations of BNF (Figs. 3 and 10). 746 Specifically, SM2 and SM3 simulated BNF explicitly, which used modified empirical 747 748 relationships of BNF with NPP and evapotranspiration (ET), respectively (Cleveland et al., 749 1999). These phenomenological relationships generally captured biogeographical observations of higher rates of BNF in humid environments with high solar radiation (Wieder 750 751 et al., 2015a). However, the highest response of NPP in only ET-driven BNF (i.e., SM3) may illustrate that not only energetic but also C costs of 'fixing' atmospheric di-N (N₂) into a 752 biologically usable form (NH₃) broadly affect NPP (Gutschick 1981, Rastetter et al., 2001). 753 This was because SM3 considered C investments in BNF while SM2 did not. By contrast, for 754 the nonsteady state, the NPP-driven BNF creates a positive feedback between BNF and NPP, 755 possibly causing large impact on C dynamic and terrestrial C storage (Wieder et al., 2015a). 756 On the other hand, SM1 applied a different strategy, which set BNF as an option when the 757

758 plant N uptake is not enough for growth in terms of C investment, leading to the highest plant NUE (Fig. 6a) but a lower response of BNF to NPP (Fig. 10a). Another driving factor of the 759 net ecosystem N exchange is the N loss, which depends on the rate of leaching and 760 volatilization. In this study, using the same formulation as proportion to the size of soil 761 mineral N pool among the three schemes, the different annual mean magnitude of N leaching 762 was more correlated to soil mineral N. In the original CLM4.5 and O-CN (Oleson et al., 763 2013; Zaehle et al., 2010), the soil mineral N pool is divided into two pools (ammonium and 764 nitrate). The N leaching is only valid on the nitrate pool, while the ammonium pool is 765 766 assumed to be unaffected by leaching. This hypothesis may reduce the correlation between 767 leaching and total soil mineral N.

The processes of plant N uptake and net N mineralization determine how N moves 768 through the plant-soil system, thereby triggering N limitation on plant growth and C storage 769 capacity (Fig. 10). However, to our knowledge, exploring those processes exactly in models 770 771 is limited by inadequate representation of above- and below-ground interactions that control the patterns of N allocation and whole-plant stoichiometry (Zaehle et al., 2014; Thomas et al., 772 773 2015). Plant tissue, litter, and SOM are the primary sinks of N in terrestrial ecosystems, while N in these forms is not directly available for plant uptake, leading to an increase in N demand 774 775 for plant growth. These N must turn over to become available for plant uptake. Therefore, the 776 time for N to stay in these unavailable pools controls the transactional delay between the 777 incorporation of N into plant unavailable pool and becomes available for plant uptake. In this way, the residence time of N in SOM appears to be an important factor for governing plant 778 779 growth. This N limitation mainly occurs in nonsteady state, because accumulation of N in 780 slow turnover rate SOM pools reduces N available for plant uptake (Thomas et al., 2015).- At or near steady state, however, the sequestration of N in SOM mainly affects the C residence 781 time (Fig. 8 and 10b). In this study, the different NUE among three C-N schemes induced by 782 783 different mechanisms. SM1 had the highest NUE due to the combined effects of plant N uptake based on C investment strategy (as described above) and flexible tissue C:N ratio. 784 785 Nitrogen stress increased tissue C:N ratio (Fig. 5b), leading to a high microbial N immobilization and then a lower net N mineralization (Fig. 3), which allowed plant cell 786 787 construction with a lower N requirement. However, this was not the case for the SM3 since both hypotheses of increasing respiration to remove the excess C under N stress and the 788 higher C investment for the BNF lead to the decrease in C input and then limits the microbial 789 immobilization for the passive SOM pool. The inclusion of flexible C:N stoichiometry 790 appeared to be an important feature allowing models to capture responses of the ecosystem C 791

storage capacity to climate variability through adjusting the C:N ratio of nonphotosynthetic
tissues or the whole-plant allocation among tissues (Figs. 9 and 10) with different C:N ratios
(Zaehle and& Friend, 2010).

795

796 4.2 Ecosystem N status and C residence time

797 Ecosystem N status in models, including plant-available and unavailable N forms, is set by N inputs from N fixation and N deposition, N losses from leaching and denitrification, and N 798 gain from the turnover of litter and SOM through tissue senescence and decomposition. As 799 800 noted above, external N cycle (i.e., N inputs and N losses) couples the N processes within the 801 plant-litter-SOM system, being mainly associated with the limitation of plant production (Vitousek et al., 2004; Vicca et al., 2012; Craine et al., 2015). The effects of ecosystem N 802 803 status on C mean residence time (MRT), however, has been much less studied than N 804 limitation on productivity of plants and soil organisms, because these effects involve various 805 impacts on C transfer among pools and C release from each pool via decomposition and respiration (Thompson & Randerson, 1999; Xia et al., 2013). Therefore, the different impacts 806 807 of ecosystem N status induce oscillating N limitation on MRT (Figs. 8 and 10) due to the inherently different assumptions of C-N interactions among three C-N coupling schemes 808 809 (Zhou et al., 2012; Shi et al., 2018).

At the steady state, the different effects of N status on changes in modelled MRT can be 810 attributed to: the different rate of soil N mineralization dependent on the total amount of N in 811 SOM and its turnover time, immobilization based on the competition strategy between plants 812 and microbes and their stoichiometry, and different deployment of reabsorbed N. The 813 traceability framework in this study can trace those different effects into three components 814 815 (i.e., climate forcing, N scalar ξ_N , and baseline MRT) based on three alternative C-N 816 coupling schemes under the TECO model framework. Since the forcing data are identical, we 817 assumed the same effects for this component in all four experiments.

818 In our study, the N scalar (ξ_N) was based on the dynamics of C:N ratios (Eqn. 34). Therefore, N scalar had no effect on MRT in SM2, resulting from the assumption of fixed 819 820 C:N ratio in all C pools (Figs. 5b and 8c). In both SM1 and SM3, however, the N scalar had large effects on the SOM pool, which is probably related to different mechanisms. 821 Specifically, N scalar in the SM1 had the contrasting effects on MRT of fast and passive 822 SOM pools (i.e., negative vs. positive, respectively), which may largely be attributed to the 823 824 plant and microbe competition strategy combining with a much larger passive SOM pool in TECO-CN model (Du et al., 2017; Zhu et al., 2017). Under N stress, the competition between 825

plants and microbes is expected to be intensified, resulting in increasing C:N ratio of 826 827 nonphotosynthetic tissues (e.g., wood and root) and the vegetationtotal C:N ratio. This effectively prevents N limitation of cell construction and corresponds to an increase in whole-828 plant NUE (Thomas et al., 2015). In this case, higher C:N ratio in those tissues lowers 829 830 structural litter quality, leading to soil microbes to immobilize more N to maintain their 831 stoichiometric balance (Hu et al., 2001; Manzoni et al., 2010). However, in the SM3, increased respiration acted as a mechanism to remove the excess C, which is a stoichiometry-832 based implementation to prevent the accumulation of labile C to prevent the accumulation of 833 834 C beyond the storage capacity under N stress (Zaehle and & Friend, 2010; Thomas et al., 835 2015). This mechanism promotes respiration of the faster turnover pools (fast and slow SOM pools, Fig. 5a), leading to increased C:N ratio and decreased MRT in these two pools (Fig. 8). 836 837 In the traceability framework, the baseline MRT is determined by the potential decomposition rates of C pools (C matrix), coefficients of C partitioning of NPP (B vector), 838 and transfer coefficients between C pools (A matrix, Eqn. [30]. Xia et al., 2013). The matrices 839 A and C are preset in the TECO model according to vegetation characteristics and soil texture 840 841 (Weng and Luo., 2008). Therefore, the notable spread in baseline MRT across the C-N schemes was induced by the B vector, which was modified by different N-limitation 842 843 assumptions (Eqns. 1-6). Conceptually, in order to meet the N demand, plants adjust NPP 844 allocation to N absorption tissues (e.g., roots). In this study, three schemes all had similar trends of adjusting allocation C from wood to roots (Fig. 9), but with different mechanisms. 845 For both SM1 and SM3, increased root C allocation was mainly driven by N uptake capacity, 846 847 which is associated with plant competitiveness in SM1 (Fig. 10b) and the respiration of 848 excess labile C in SM3 (Fig. 4f, 4l and 10b), respectively. However, for SM2, increasing root C allocation may occur in spin-up stage from plant adjustment to whole-plant allocation 849 among tissues to fit fixed C:N ratio. 850

851

852 **5.** Conclusions

The C-N coupling has been represented in ecosystem and land surface models with different schemes, generating great uncertainties in model predictions. The most difference among terrestrial C-N coupling models occurs with the degree of flexibility of C:N ratio in vegetation and soils, plant N uptake strategies, down-regulation of photosynthesis, and the representations of the pathways of N import. In this study, we evaluated alternative representations of C-N interactions and their impacts on C cycle using the TECO model framework. Our traceability analysis showed that <u>the</u> different representations of C-N

- 860 coupling processes lead to divergent effects simulations of on both plant production and C
- 861 residence time, and thus the ecosystem C storage capacity. The plant production are mainly
- 863 mineralization, and the C:N ratio of vegetation and soil. In comparison, the alternative
- representations of the plant and microbe competition strategy and plant N uptake, combining
- with the flexible C:N ratio in vegetation and soils, led to a notable spread effects on C
- residence time. <u>Overall, the down-regulation of photosynthesis, plant tissue C:N ratio, plant</u>
- 867 <u>N uptake and N re-tranlocation translocation</u> N-are the dominant processes of the ecosystem C
- 868 <u>storage capacity.</u> Identifying the representations of main C-N processes under different
- schemes can help us improve the N-limitation assumptions employed in terrestrial ecosystem
- 870 models and forecast future C sink in response to climate change.
- 871
- 872 *Code availability*. The code for TECO-CN and the three C-N coupling schemes is available
- at https://github.com/zgdu/TECO-CN-2.0-new.
- *Data availability*. The data for this paper are available upon request to the correspondingauthors.
- 876 *Competing interests*. The authors declare that they have no conflict of interest.
- 877

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885 Figure legends

Figure 1. Schematic diagram of the terrestrial ecosystem carbon (C) and nitrogen (N)
coupling model (TECO-CN). (A) Canopy module, (B) Plant growth module, (C) Soil water
dynamics module, (D) Soil carbon-nitrogen coupling module. Rectangles represent the
carbon and nitrogen pools. R_a, autotrophic respiration. R_h, heterotrophic respiration. Retr., retranslocation. NSC, nonstructural carbohydrate. MNP, mineral N in plant tissues. SOM, soil
organic matter. * set N fixation as an option when the plant N uptake is not enough for
growth in terms of C investment.

Figure 2. Schematic diagram illustrating the major carbon (C) and nitrogen (N) flows and
stores in a terrestrial ecosystem, enclosing with alternative assumptions of N processes
represent in SM1, SM2 and SM3, respectively. Light-blue arrows indicate C-cycle processes
and red arrows show N-cycle processes.. Met./Str. Litter, metabolic and/or structural litters;
SOM, soil organic matter. * set N fixation as an option when the plant N uptake is not enough
for growth in terms of C investment in SM1, but go directly to soil mineral N pool in SM2
and SM3.

Figure 3. Simulated nitrogen fluxes and soil mineral nitrogen from three carbon-nitrogen
coupling schemes (SM1, SM2 and SM3) in TECO-CN model for 1996 to 2007 at Duke
Forest. <u>Mineral.</u>, <u>mineralization</u>; <u>BNF</u>, <u>biological N fixation</u>; <u>Imm.</u>, <u>immobilization</u>.

Figure 4. Simulated annual (a-f) and mean (g-l) carbon fluxes from carbon-only version and carbon-nitrogen coupled with three schemes (SM1, SM2 and SM3) of TECO model for 1996 to 2007 at Duke Forest. GPP, gross primary productivity; NPP, net primary productivity; NEE, net ecosystem exchange of CO₂; R-eco, ecosystem respiration; R-heter, heterotrophic respiration; R-auto, autotrophic respiration.

Figure 5. The annual average sizes of carbon pools (panel a) at the steady-state among 19962007 for C-only version and the three C-N schemes (SM1, SM2 and SM3) and the C:N ratio
(panel b) of each carbon pools for the three C-N schemes (SM1, SM2 and SM3) in TECOCN model.

- 912 Figure 6. The nitrogen use efficiency (NUE, panel a) in three C-N schemes of TECO model
- 913 (SM1, SM2 and SM3) and the carbon use efficiency (CUE, panel b) at the steady-state
- among C-only version and the three C-N schemes of TECO model (SM1, SM2 and SM3).
- **Figure 7.** Simulation of annual ecosystem carbon storage capacity for 1996 to 2006 at Duke
- 916 Forest by carbon in flux (NPP, x axis) and ecosystem residence time (τ_E , y axis) in TECO
- 917 model framework with three carbon-nitrogen coupling schemes (SM1, SM2 and SM3) and in
- 918 TECO C-only model (C). Inset (a), ecosystem carbon residence time (τ_E) in SM1, SM2, SM3
- and C-only model; inset (b), mean ecosystem carbon storage simulated among SM1, SM2,
 SM3 and C-only model; inset (c), relative change of NPP and ecosystem residence time
- simulated among three schemes compared with in C-only model.
- 922 Figure 8. Determination of carbon-pool residence times based on traceability framework in
- 923 TECO C-N model with three C-N coupling schemes (SM1, SM2 and SM3) and TECO C-
- only model (C). Panel (a), baseline residence time; panel (b), mean residence time, and panel
- 925 (c), nitrogen scalar.

- **Figure 9.** Coefficients of partitioning of NPP to nonstructural C (NSC), root, woody and leaf in C-only model (C) and C-N coupling model with three schemes (SM1, SM2 and SM3).
- 928 Figure 10. The sensitivity of nitrogen processes to NPP (panel a), ecosystem residence time
- 929 (τ_E , panel b), and ecosystem C storage capacity (panel c) among three carbon-nitrogen
- 930 coupling schemes (SM1, SM2 and SM3). DRP, down-regulation of photosynthesis; PS, plant
- tissue C:N ratio; PNU, plant N uptake; PMC: plant and microbe competition; BNF, biological
- 932 N fixation; RtrN, re-tranlocation N; SS, soil pool C:N ratio.
- 933

934 **Reference**

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 approach. Tellus B: Chemical and Physical Meteorology, 64(1), p.17223.

1147 Table1. Summary of the nitrogen-carbon coupling schemes used and the representation of key processes in the carbon-nitrogen cycle. 1148

	SM1 (TECO-CN) ^a	SM2 (CLM4.5) ^{b,c}	SM3 (O-CN) ^{d,e}
Down-regulation of photosynthesis by N availability (DRP)	Based on the comparison between plant N demand and actual supply	Based on the available soil mineral N relative to the N demanded to allocate photosynthate to tissue	Based on foliage N concentration, which varies with N deficiency
Plant tissue stoichiometry (PS)	Flexible plant C:N ratio	Fixed plant C:N ratio	Flexible plant C:N ratio
Plant N uptake (PNU)	Based on fine root biomass, soil mineral N and N demand of plant. Plants itself choose the strategy between uptake from soil mineral N and fix N ₂ by comparing C investment	Based on N required to allocate NPP to tissue. Plants uptake N for free	Combining active and passive uptake of mineral N based on fine root C, soil mineral N, plant transpiration flux, increases with increased plant N demand
N competition between plants and microbes (PMC)	Microbes have first access to soil mineral N	Based on demand by both microbial immobilization and plant N uptake	Microbes have first access to soil mineral N, the competitive strength of plants increases under nutrient stress
Biological N fixation (BNF)	Based on the nitrogen demand of plants and maximum N fixing ratio considering nutrient concentration	f(NPP)	f(ET)
Deployment of re- translocated N (RtrN)	Fixed fraction of litter	Based on available N in the tissue and the previous year's annual sum of plant N demand	Fixed fraction of dying leaf and root tissue
Soil organic matter stoichiometry (SS)	Flexible soil C:N ratio	Fixed soil C:N ratio	Flexible soil C:N ratio
N leaching	Function of soil mineral N pool and runoff	Function of soil mineral N pool and runoff	Function of soil mineral N and runoff
*Gaseous N loss	Based on function of soil mineral N pool and soil temperature, and N deficit	Based on function of soil mineral N pool and soil temperature, and N deficit	Based on function of soil mineral N pool and soil temperature, and N deficit

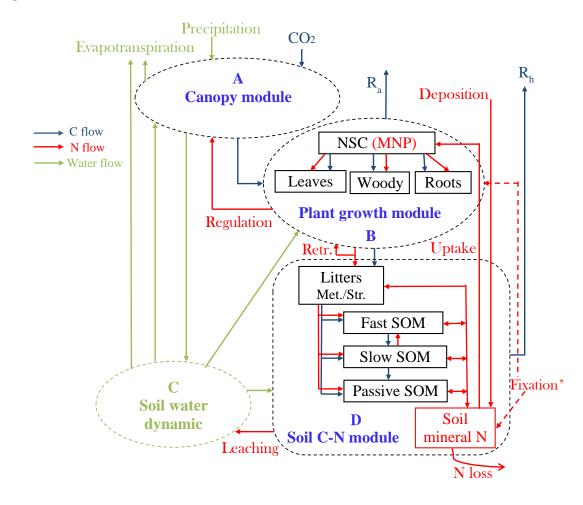
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^aSee this study; ^bKoven et al. (2013), ^cOleson et al. (2013); ^dZaehle and & Friend (2010), ^eZaehle et al. (2011). *, use the same representation as in TECO-CN model among three 1150

schemes. 1151

C, carbon; N, nitrogen; NPP, net primary productivity; ET, evapotranspiration. 1152

1154 Figure 1. TECO-CN



1155

Figure 1. Schematic diagram of the terrestrial ecosystem carbon (C) and nitrogen (N)

1157 coupling model (TECO-CN). (A) Canopy module, (B) Plant growth module, (C) Soil water

dynamics module, (D) Soil carbon-nitrogen coupling module. Rectangles represent the

1159 carbon and nitrogen pools. R_a, autotrophic respiration. R_h, heterotrophic respiration. Retr., re-

1160 translocation. NSC, nonstructural carbohydrate. MNP, mineral N in plant tissues. SOM, soil

- 1161 organic matter. * set N fixation as an option when the plant N uptake is <u>not</u>enough for 1162 growth in terms of C investment.
- 1163

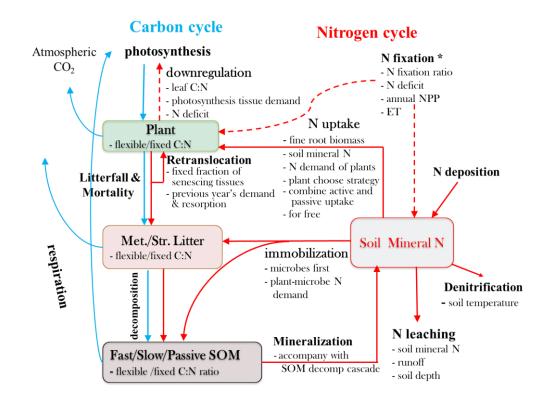


Figure 2. Schematic diagram illustrating the major carbon (C) and nitrogen (N) flows and
stores in a terrestrial ecosystem, enclosing with alternative assumptions of N processes
represent in SM1, SM2 and SM3, respectively. Light-blue arrows indicate C-cycle processes
and red arrows show N-cycle processes. Met./Str. Litter, metabolic and/or structural litters;
SOM, soil organic matter. * set N fixation as an option when the plant N uptake is not enough
for growth in terms of C investment in SM1, but go directly to soil mineral N pool in SM2
and SM3.

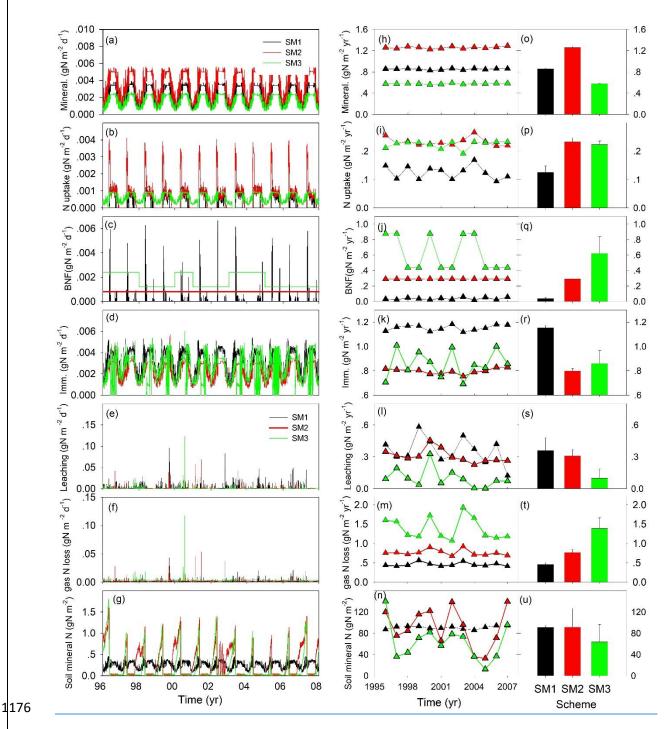


Figure 3. Simulated nitrogen fluxes and soil mineral nitrogen from three carbon-nitrogen
coupling schemes (SM1, SM2 and SM3) in TECO-CN model for 1996 to 2007 at Duke
Forest. Mineral., mineralization; BNF, biological N fixation; Imm., immobilization.

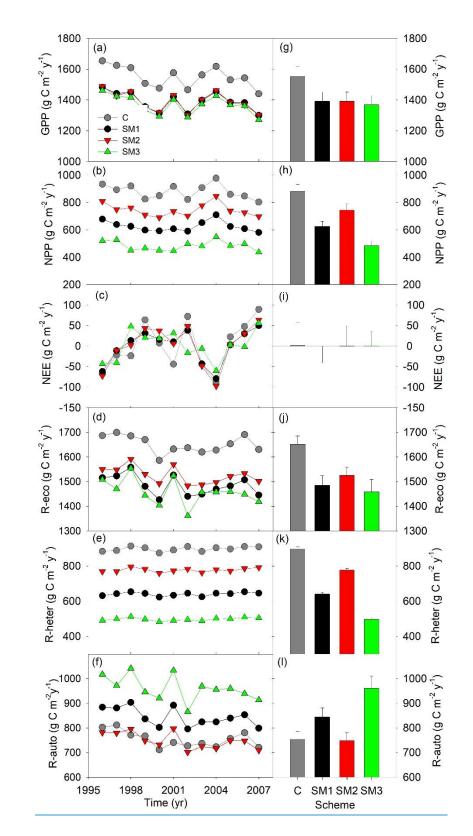
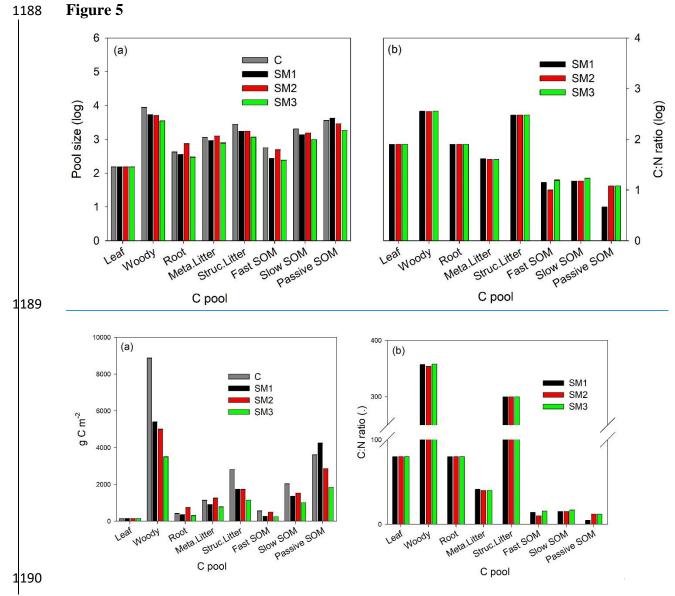


Figure 4. Simulated annual (a-f) and mean (g-l) carbon fluxes from carbon-only version and
carbon-nitrogen coupled with three schemes (SM1, SM2 and SM3) of TECO model for 1996
to 2007 at Duke Forest. GPP, gross primary productivity; NPP, net primary productivity;

- NEE, net ecosystem exchange of CO₂; R-eco, ecosystem respiration; R-heter, heterotrophic respiration; R-auto, autotrophic respiration.



1191 Figure 5. The annual average sizes of carbon pools (panel a) at the steady state among 1996-

- 2007 for C-only version and the three C-N schemes (SM1, SM2 and SM3) and the C:N ratio
 (panel b) of each carbon pools for the three C-N schemes (SM1, SM2 and SM3) in TECO-
- 1194 CN model.
- 1195

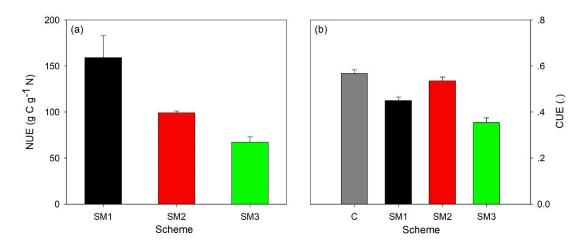
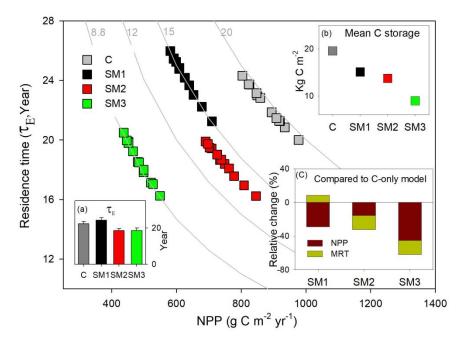


Figure 6. The nitrogen use efficiency (NUE, the ratio of NPP:PNU, panel a) in three C-N schemes of TECO model (SM1, SM2 and SM3) and the carbon use efficiency (CUE, the ratio of NPP:GPP, panel b) at the steady-state among C-only version and the three C-N

schemes of TECO model (SM1, SM2 and SM3).



1204

1205 Figure 7. Simulation of annual ecosystem carbon storage capacity for 1996 to 2006 at Duke Forest by carbon in flux (NPP, x axis) and ecosystem residence time (τ_E , y axis) in TECO 1206 model framework with three carbon-nitrogen coupling schemes (SM1, SM2 and SM3) and in 1207 TECO C-only model (C). The hyperbolic curves represent constant values (shown across the 1208 curves) of ecosystem carbon storage capacity. Inset (a), ecosystem carbon residence time (τ_E) 1209 in SM1, SM2, SM3 and C-only model; inset (b), mean ecosystem carbon storage simulated 1210 among SM1, SM2, SM3 and C-only model; inset (c), relative change of NPP and ecosystem 1211 residence time simulated among three schemes compared with in C-only model. 1212

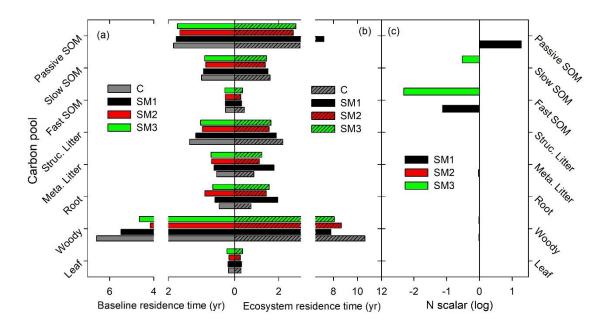
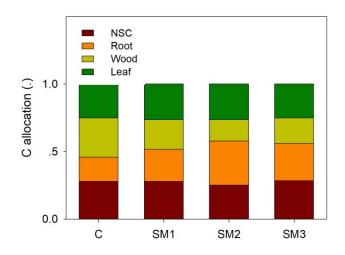




Figure 8. Determination of carbon-pool residence times based on traceability analysis

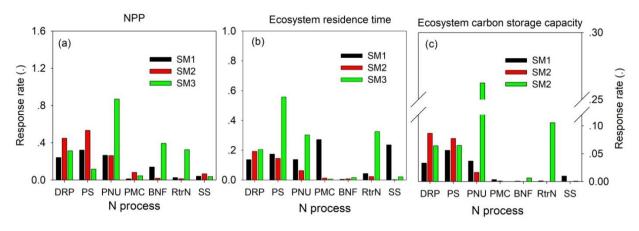
framework in TECO C-N model with three C-N coupling schemes (SM1, SM2 and SM3) and
TECO C-only model (C). Panel (a), baseline residence time; panel (b), mean residence time,

1219 and panel (c), nitrogen scalar.



1223 Figure 9. Coefficients of partitioning of NPP to nonstructural C (NSC), root, woody and leaf

in C-only model (C) and C-N coupling model with three schemes (SM1, SM2 and SM3).



1229 Figure 10. The sensitivity of nitrogen processes to NPP (panel a), ecosystem residence time

1230 (τ_E , panel b), and ecosystem C storage capacity (panel c) among three carbon-nitrogen

1231 coupling schemes (SM1, SM2 and SM3). DRP, down-regulation of photosynthesis; PS, plant

1232 tissue C:N ratio; PNU, plant N uptake; PMC: plant and microbe competition; BNF, biological

1233 N fixation; RtrN, re-tranlocation N; SS, soil pool C:N ratio.

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