# Please find hereafter a summary of all comments of the two referees. Page and line numbers refer to the revised marked-up manuscript.

# Responses to anonymous Referee #1, 02 Jun 2018

Thank you very much for your review. Please find hereafter all comments to your remarks, (all comments have also been taken into account in the manuscript):

#1

P3L9: Does this include urban green spaces and green infrastructure. If so, subsoil "under" surfaces seems confusing.

ACs: The soil under urban green spaces is already taken into account in TEB-Veg. In TEB-Hydro, soil is added below artificial surfaces like streets and buildings.

#2

P4L23: Specify how individual E values are calculated.

ACs: A sentence was added to the description of the evapotranspiration terms: "These terms are detailed in the SURFEX scientific documentation (<a href="https://www.umr-cnrm.fr/surfex/IMG/pdf/surfex\_scidoc\_v2-2.pdf">https://www.umr-cnrm.fr/surfex/IMG/pdf/surfex\_scidoc\_v2-2.pdf</a>)."

#3

P5L6: Typical values of Ird?

ACs: We added a reference tackling typical values for this parameter. Please refer to Ramier et al. (2011): Ramier, D.; Berthier, E. & Andrieu, H., The hydrological behaviour of urban streets: long-term observations and modelling of runoff losses and rainfall—runoff transformation, *Hydrological Processes, John Wiley & Sons, Ltd.,* **2011**, *25*, 2161-2178. They found values of about 3x10 <sup>8</sup> m s <sup>-1</sup> for this parameter.

#4

P6L3: A useful description would be to add a section or table describing how/if these parameters were considered in previous version of the TEB and specifically to additions with the TEB-veg model.

ACs: You are right; we refered to Fig.1 in this section.

#5

P6L2: Phrasing - This might convey meaning better: ...under the category/class of built-up...in the model.

ACs: We have corrected the sentence: "In accordance with the soil description of urban gardens provided in Lemonsu et al. (2012a), soil compartments are now considered under within the category of built-up surfaces, namely roads and buildings (Fig. 1)."

P6L18: Reasonable? Scale of grid cells?

ACs: Effectively, this phrase is not well formulated and it was corrected. We wanted to say that "the soil texture is assumed to be homogeneous far all three compartments within a given grid cell." This is due to the fact that most of the time, we do not have information about the vertical distribution of the soil texture.

ACs: TEB-Hydro can be applied at city scale but also at catchment scale. So the resolution of the grid cells depends on the application of the model (the resolution can vary between several hundred to several deca-meters). We have added this information in the introduction paragraph of section 2 (please refer to P3L27-28).

#7

P7L1: Please discuss this assumption and limitations further. Consider coastal cities with shallow groundwater.

ACs: In fact, using this option of TEB-Hydro will depend on whether or not infiltration into the sewer pipe has been observed at the study site. This is not always the case.

Interactions between the shallow groundwater, the sea and the sewer systems are complex phenomena depending on the context (geology, state of the sewer pipes, etc.). TEB-Hydro has not yet been applied to such special configurations.

#8

P9L25: Please expand on how the atmospheric data was adjusted.

ACs: We added the reference which explains this: Lemonsu, A., Kounkou-Arnaud, R., Desplat, J., Salagnac, J.-L., and Masson, V.: Evolution of the Parisian urban climate under a global changing climate, Climatic Change, doi:10.1007/s10584- 012-0521-6, online first, 2012.

#5

P10L9: Essentially a lumped model? How were the local scale variation in urban surface accounted for in the model?

ACs: As the study site is small we only considered one grid point for the modelling. So you are right, in this configuration the model is close to a lumped one. However, the local scale variation is taken into account by the land use expressed by the fraction of buildings, roads and gardens (see Table 1).

#10

P10L24: Why only Reze?

ACs: It is of common use to conduct the sensitivity analysis on only one catchment, as the aim is a better understanding of the model processes and the identification of the parameters on which the model needs calibration. These findings do not change from one

catchment to another. However, when it comes to calibration, the model has to be calibrated on each catchment in order to take into account local properties.

Thank you very much for your review. Please find hereafter the comments to your general and specific remarks (all comments have also been taken into account in the manuscript):

#### General comments:

The authors have added improved hydrological processes to the TEB model. These additions are welcomed by the urban modelling community and will allow for more sophisticated urban impact studies. Overall, this work and well thought-out and the model testing/evaluation is robust. I only have minor comments most of which are clarifications and/or editorial in nature. My biggest criticism of this manuscript is the writing quality, which can be significantly improved. I have suggested a number of editorial changes below but I would suggest that this manuscript be carefully proofread before the revision is submitted.

#1

The link to urban planning / climate adaption is not well made and the flow and writing structure of the abstract and introduction is quite poor.

ACs: We have taken into account the general and specific remarks you have made concerning the abstract and the introduction. We have been more specific in the abstract, on answering your question "what do nature based adaptation strategies have to do with climate change and demographic pressures?"

#2

The terminology of "sewer and "stormwater" etc is a little confusing at first.

ACs: We have clarified and explained these terms in the manuscript.

#3

Does the TEB-Hydro improve and/or significantly change urban ET? You made the point that these coupled approaches are needed for accurate urban-microclimate assessment but you didn't actually show how TEB-Hydro influence urban climate. Is this planned for future work?

ACs: Yes, exactly, we state this at the end of our conclusion: "Given that water and energy budgets are coupled, it is likely that the energy budget of this model is being influenced at the same time. An upcoming research project now underway entails investigating energy patterns, like latent and sensible heat fluxes, alongside the hydrological processes." We did not treat this point in the current article, as it would need even more data (latent heat fluxes) and other approaches (i.e. footprint) to evaluate the model on the energy balance. It would have led to a very long paper. Thus, we decided to present this part of the work in another paper.

# Specific comments:

## All specific comments are also treated in the supplement document:

P1L9-11: your first sentence doesn't provide relevant context for this sentence: "Local authorities and stakeholders have therefore opted for more nature-based adaptation

strategies, which are especially suitable to influence both hydrological and energy processes". What do "nature-based adaptation strategies" have to do with climate change and demographic pressures. Can you be more slightly more specific?

ACs: The paragraph has been modified in order to be more specific: "Climate change and demographic pressures are affecting both the urban water balance and microclimate, thus amplifying the urban flooding and urban heat island phenomena. These issues need to be addressed when engaging in urban planning activities. Local authorities and stakeholders have therefore opted for....."

P1L15: "better representation" is subjective - perhaps say "more physical representation" or "more complete representation".

ACs: You are right, it has been changed to: "This paper presents recent developments focusing on the water budget in the TEB-Veg model (SURFEX v7.3), which allows for a more complete representation of the hydrological processes taking place in urban subsoil.

P1L16-18: change sentence beginning: "The developments studied concern..." to: "The developments include the introduction of subsoil beneath built surfaces, horizontally rebalancing intra-mesh soil moisture, draining soil water via the sewer network, and limiting deep drainage. The aim of these developments is achieving a more realistic base flow pattern in the sewer system."

ACs: We have changed this to: "The inherent developments feature: the introduction of subsoil beneath built surfaces, the horizontal rebalancing of intra-mesh soil moisture, soil water drainage via the sewer network, and the limitation of deep drainage." However, the second sentence you are suggesting is wrong, as only the limitation of deep drainage was done with the aim of achieving a more realistic base flow pattern in the sewer system.

P1L23: change "roughly" to "approximately"

ACs: This has been changed in the entire document.

P1L23: remove "yet"

ACs: "Yet" has been removed: "These findings remain are definitely encouraging since the same set of model parameters are identified for both catchments, irrespective of meteorological and local physical conditions."

P2L2: "Urbanisation is the predominant trend in today's world" - this is vague - I suggest deleting or improving.

ACs: This sentence has been removed.

P2L11: can you say something about why/how so called "natural-based solutions" help with adaptation? 1-2 sentences.

ACs: We have added one sentence: "With regards to hydro-microclimatic patterns, the integration of urban green spaces and vegetation allows increasing water infiltration as well as evapotranspiration (or latent heat flux).

P2L6: change "has been" to "have been"

ACs: We did not take into account your proposition, as the verb refers to "the use of....": "The use of nature-based solutions (hereafter denoted NBSs), such as green and blue infrastructure, has been approved as part of sustainable urban development (Sustainable Urban Drainage Systems, water and pollution source control, insulation of buildings) and is therefore recommended (Hamel et al., 2013; EC, 2015)."

P2L11: change "As regards" to "With regards to"

ACs: Please refer to comment *P2L11*.

P2L11: "As regards hydro-microclimatic patterns, the processes of evapotranspiration (or latent heat flux) constitute an increasingly significant portion of both the urban water and energy budgets" - please provide a reference or evidence for this statement.

ACs: This sentence has been rephrased to be clearer. Please refer to comment *P2L11*.

P2L23: Unclear what is meant by: "Consequently, urban hydrological models are being more heavily promoted, even though they still simplify or neglect the energy balance." - are you saying that urban hydrologists prefer hydrological models over energy balance models? Clarify.

ACs: What we tried to say is that hydrological models have usually a simple representation of energy processes. It is obvious that hydrological models do not have a representation of the energy balance as detailed as the one of energy balance models. However, the models available today to evaluate the hydrological and microclimate impacts of NBSs could be questionable regarding the evapotranspiration process. Moreover, we here try to promote a more integrated evaluation by considering both detailed water and energy balances. The sentence has been changed and the end of the paragraph and the following paragraph try to argue this point of view.

P2L23: The authors use very long and confusing sentences with multiple commas.

ACs: We tried to split long sentences found in the paper.

P2L32: Malys et al. (2016) used what model? And what did they find with regards to your previous point?

ACs: "Such a model" referred to "urban micro-climate models" with "a water balance" that "is often simplified". The sentence has been changed to clarify the intention: "Malys et al. (2016) used such a model with "SOLENE-microclimat" to evaluate the mitigation effects of vegetation on UHI."

P3L1: remove "hence"

ACs: This was done: In this model however, soil moisture is not considered as a limiting factor, potentially leading to an overestimation of the cooling abilities of plants, especially under hot climate conditions.

P3L6: Sentence beginning: "this approach initially...." - please restructure into two clear sentences.

ACs: This was done: "This approach initially resolves detailed energy and radiative budgets of built-up areas (buildings and roads). However, the hydrological part is presented more simply...."

P3L7: remove comma after "artificial surfaces".

ACs: OK: "i) artificial surfaces (buildings and roads) are completely impervious..."

P3L10: sentence beginning: "Using the ISBA-DF model..." - this sentence is backward. You should start by saying: "The TEB model has evolved into TEB-Veg (Lemonsu et al., 2012) using..."

ACs: The sentence has been rearranged: "The TEB model has evolved into TEB-Veg, by integrating vegetated surfaces inside the street canyon. This step was allowed by using the ISBA-DF model (Interaction Soil-Biosphere-Atmosphere - explicit vertical diffusion) (Boone et al., 2000) as part of the urban fabric (Lemonsu et al., 2012a).

P4L14: what does the (-) mean? Typo?

ACs: The mistake has been corrected and we added the SI-units to the list of symbols: "....and lastly  $L_v$  the latent heat of vaporisation (J kg<sup>-1</sup>)."

P4L26: change "is affected" to "are affected".

ACs: OK. "The water content changes in the interception water reservoirs of each surface type (denoted  $W_*^{surf}$  in mm) are affected by precipitation and evapotranspiration rates, as indicated in Masson (2000) and Lemonsu et al. (2012a):

P5L9: can you please clarify the difference (if at all) between stormwater or combined sewer networks and how they work in the model.

ACs: The model is able to distinguish combined, stormwater and wastewater sewers. In our case (for both catchments), the sewer is not a combined sewer. The wastewater sewer is taken into account only for the process of soil water infiltration into the pipes. When taking into account the total sewer discharge, we refer to the stormwater sewer discharge, as the experimental sites do not have any observed data on the wastewater sewer discharge. The text has been clarified when it was necessary.

P7L10: You will need to provide more information about the lp calibration parameter here?

ACs: Ip is a parameter that needs to be calibrated as it has no physical significance. Such a parameter is used in Rodriguez et al. (2008), from whom the equation was adapted. This parameter is needed to calibrate the soil water drainage by the sewer network, as this process depends a lot on the water tightness of the pipes. But this is not easily measurable and thus unknown.

P7L18: what is the coefficient of retention?

ACs: You are right, the expression was not adapted. We have changed it to "the coefficient of recharge".

P8L18, Fig. 2: This map is not readable and will need be made larger.

ACs: The maps have been made larger.

P8L18-19: "It was instrumented..." - What was instrumented?

ACs: This has been clarified: "The experimental site was instrumented (with measurements of precipitation, discharge from the rainwater network and soil water) from 1993 to 1998, and a complete continuous database is available for that period.

P10L26: "..two types of analyses were conducted" - do you mean by you, or others? Please clarify.

ACs: You are right, this was not well formulated: "In general, two types of analyses could be conducted:"

P11L1: I suggest adding the sources of chosen values to Table 2.

ACs: The sources have been added to Table 2.

P11L2: sentence beginning: "The MIN and MAX...." please rephrase sentence and clarify.

ACs: The sentence has been clarified: "The MIN and MAX simulations are consistently performed by changing the value of just one parameter with respect to its minimum and maximum values, while all other parameters are fixed at their nominal value."

P11L5: "factorial plan" should be "factorial plane"?

ACs: It should be "factorial design"...we have corrected this.

P11L5: remove "or not"?

ACs: OK: "Moreover, a two-level factorial design of 23 is presented in order to determine whether some parameters ( $I_p$ ,  $I_{rd}$  and  $D_*$ ) display combined effects on the model output and..."

P11L7: change ".is of common use..." to "is commonly used..."

ACs: OK: "Such a design is commonly used in experiments involving several interlinked factors (Montgomery, 2007)."

P11L9: Confusing phrasing here. Also, table 3 is unnecessary - simply state that the +1 and -1 respectively denote the MAX and MIN values in Table 2?

ACs: The sentence has been changed to: "Thus, levels +1 and -1 respectively denote the MAX and MIN values in Tab. 2." ....and table 3 has been removed.

P11L12: citation for "Yates Order"?

The citation has been added: "To take all possible parameter combinations into account, a matrix is generated with all values being arranged according to the "Yates Order" (Daniel, 1976)."

P12L14: "..to anticipate problems upon initialising... " - perhaps change this to something like: "..to allow the model to numerically stabilise"?

ACs: OK "The first few months of the simulation period are systematically excluded, due to the model spin-up in order to allow the model to numerically stabilise."

P12L15: simplify this sentence - just say that the model is calibrated and evaluated with two separate time periods.

The sentence has been simplified: "Calibration is applied transversely, meaning that the model is calibrated and evaluated over two different simulation periods.

Table 4: it would be easier for the reader to interpret table 4 if you added the parameter that is perturbed to the table - I found myself flicking back-and-forward between table 2 and 4 a lot.

ACs: The parameters have been added to the table.

P13L23: "In fact soil water..." can you please clarify your meaning and logic here.

ACs: This sentence has been removed

P14L11: First sentence is poorly written.

ACs: This sentence has been changed to "According to the results of the sensitivity analysis, TEB-Hydro needs to be calibrated on four parameters."

P14L28: change "independently" to independent

ACs: OK: "The KGE criterion results indicate a clear and constant trend for all simulations, independent of the considered time period (Fig. 9) or the value of parameter  $D_*$ , for three reasons...."

P15L1: change "For starters" to "Firstly"

ACs: According to our professional translator, we have changed it to: "First, as seen in the example (deep drainage limited to 2%), all KGE criteria are far better for the first period (1993-95) than for the second (1995-97) (Fig. 9)."

P15L16-23: "....most likely caused by the various hydrological properties of both simulation periods." - this is vague - please be more specific or remove. "a total precipitation height: : " change to "...had 1873 mm of precipitation..". I also think you should say a little more, maybe 1-2 sentences, on why you think the model performed worse during the dry year.

AC: Some sentences have been added to be more specific. Please refer to the paragraph "This trend had indeed already been noticed when coupling ISBA with TOPMODEL (Furusho et al., 2013). The soil scheme of ISBA shows slow dynamics in the soil water evolution, thus underestimating the water content under wet weather conditions and overestimating it under dry conditions. The model has been calibrated over the first simulation period under wet weather conditions and evaluated over a drier period. The soil

water and hence total stormwater discharge are raised with parameters  $D^{\star}$  and  $I_p$ , leading to an overestimation."

A minor point but the number notation changes on the x-axis in a number of Figures - e.g. 4 and 7.

ACs: The figures have been changed. Please refer to the supplement document.

Can you please add a list of symbols.

ACs: A list of symbols has been added as appendix (P18-P20).

NB: The paper was proofread by a professional translator. The certificate is attached at the end of the marked up document.

# Improvements <u>toof</u> the hydrological processes of the Town Energy Balance Model (TEB-Veg, SURFEX v7.3) for urban modelling and impact assessment

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**Abstract.** Climate change and demographic pressures are affecting both the urban water balance and -microclimate, thus amplifying the urban flooding and urban heat island phenomena. common These issues need to be addressed considered when conducting engaging in urban planning activities. Local authorities and stakeholders have therefore opted for more naturebased adaptation strategies, which are especially suitable toin influencinge both hydrological and energy processes. Assessing the multiple benefits of such strategies on the urban microclimate thus-requires effective numerical tools. This paper presents recent developments focusing onef the water budget in the TEB-Veg model (SURFEX v7.3), which allows for a more complete better representation of the hydrological processes taking place inof urban subsoil. This new hydrological module has been is called TEB-Hydro. The inherent developments studied concernfeature: the introduction of subsoil undberneath built surfaces, and the processes of: the horizontally rebalancing of intra-mesh soil moisture, the soil water drainage via the sewer network, and the limitation of limiting deep drainage. in the aim of achieving a more realistic base flow pattern in the sewer system. A sensitivity analysis is then performed in order to identify the hydrological parameters required for model calibration. The new TEB-Hydro model is evaluated on two small residential catchments in Nantes (France), over two different periods, by comparing simulated sewer discharges towith observedation findings. In both cases, the model tends to overestimate total sewer discharge and performs better under wet climate conditions, with a KGE statistical criterion greater than 0.80 vs. roughlyapproximately 0.60 under drier weather conditions. Yet These findings remain are definitely encouraging since the same set of model parameters are identified for both catchments, irrespective of meteorological and local physical conditions. This approach opens offers opportunities to apply the TEB-Hydro model at the city scale with respectin regard to projections of climate and demographic changes.

#### 1. Introduction

Urbanisation is the predominant trend in today's world. Cities consume space and energy, generate pollution and nuisances, and are vulnerable to natural or manmade hazards, such as floods and urban heat islands (hereafter denoted UHI), that and climate change is likely to exacerbate all these phenomena (EEA, 2012).

The adaptation Adapting of cities to global changes (climate change and demographic pressures) has become a major challenge in the field of planning policy. The use of nature-based solutions (hereafter denoted NBSs), such as green and blue infrastructures, has been approved as part of sustainable urban development (Sustainable Urban Drainage Systems, water and pollution source control, insulation of buildings) and is therefore recommended (Hamel et al., 2013; EC, 2015). However, eEvaluating such adaptation and mitigation strategies however requires conducting impact studies capable of assessing the various services these solutions can offer, along with their corresponding interactions (energy, thermal comfort, water, landscaping, etc.) (Bach et al., 2014). As regards With regards to hydro-microclimatic patterns, the integration of urban green spaces and vegetation allows increasing water infiltration as well as evapotranspiration (or latent heat flux). processes of evapotranspiration (or latent heat flux) constitute an increasingly significant portion of both the urban water and energy budgets. For modelling purposes, evapotranspiration is thus a key element since it affects both the urban water and energy budgets (Mitchell et al., 2008).

Despite the increased interest in urban hydrology over recent years (Fletcher *et al.*, 2013; Hamel *et al.*, 2013; Shirmer *et al.*, 2013; Salvadore *et al.*, 2015), the various water- and energy-related processes are still rarely addressed with the same level of detail, and the coupling between them is often oversimplified.

In the past, the emphasis on the urban water cycle was directed at-the designing of urban drainage systems and their operations under extreme events (flooding, low water level). Hydraulic models have thus been used to analyse rainfall-runoff patterns during rain events when evapotranspiration is not a major concern (Berthier *et al.*, 2006; Fletcher *et al.*, 2013). In recent decades however, a more decentraliszed urban water management system has necessitated impact studies that focus on the urban water cycle as a whole. Consequently, urban hydrological models are being more heavily promoted, as opposed to hydraulic models, even though Yet these hydrological modelsthey still simplify or neglectuse a thesimple energy balance. Evapotranspiration is therefore often calculated from a reference value of potential evapotranspiration, which takes into account soil moisture conditions and sometimes in some instances vegetation (DHI, 2001; Rodriguez *et al.*, 2008; Rossman, 2010; DHI, 2001). At the local level, atmospheric demand is typically not considered as a limiting factor for evapotranspiration, and a direct interaction rarely exists between the water balance and the energy balance. In their state-of-the-art paper, Fletcher *et al.* (2013) noted that evapotranspiration in urban areas remains relatively poorly explored.

Unlike hydrological models, the urban micro-climate models (Masson, 2000; Musy *et al.*, 2015; Gros *et al.*, 2016) provide a detailed solution of energy and radiative budgets. However, while the water balance has been is often simplified in micro-climate models, which can lead to an alteration of the modelled latent heat fluxes (Grimmond *et al.*, 2011). Malys *et al.* (2016) used such a model with SOLENE-microclimat to evaluate the mitigation effects of vegetation on UHI. In this model however,

Soil moisture however is not considered as a limiting factor, hence-potentially leading to an overestimation of the cooling abilities of plants, especially under hot climate conditions. For example, the Town Energy Balance Scheme (TEB) described by Masson (2000) is a mesoscale surface scheme dedicated to the urban environment. The urban environment is presented in a simplified manner by means of the street canyon approach (Oke, 1987). This approach, which averages the characteristics of urban covers and morphology (building height, construction materials, canyon aspect ratio, street orientation) inside onea single grid mesh. This approach initially resolves detailed energy and radiative budgets of built-up areas (buildings and roads). However, while the hydrological part is presented more simply, i.e.; i) artificial surfaces (buildings and roads) are completely impervious; and ii) water exchanges are thus only taken into account between the surface and the atmosphere. Lemonsu et al. (2007) then introduced the rainfall interception capacities of built-up surfaces and integrated water infiltration through artificial surfaces, like roads, pavements and parking lots, into TEB in order to implement more realistic hydrological processes. The TEB model has evolved into TEB-Veg, Up by integrating vegetated surfaces inside the street canyon. This step was allowed by using the ISBA-DF model (Interaction Soil-Biosphere-Atmosphere - explicit vertical diffusion) (Boone et al., 2000) as part of the urban fabric\_5 by integrating vegetated surfaces inside the street canyon, the TEB model has evolved into TEB Veg (Lemonsu et al., 2012a). Interactions within the radiative, energy and water balances between natural and artificial surfaces are now considered. Nevertheless, even if a detailed water balance for the subsoil of natural surfaces is calculated, the water processes occurring in the subsoil of artificial surfaces and their interactions with the surface are still being neglected. The objective of this study is to develop a complete urban hydro-microclimate model, hereafter called TEB-Hydro, by integrating the subsoil under built-up surfaces and hydrological soil-surface interactions into the existing TEB-Veg model. This step will allow treating the energy and water budgets at the same level of detail, which is critical to the impact assessment of NBSs at a city scale. First, the model concept will be described. Since the energy and radiation components of the model have not changed, as fully described in Masson (2000) and Lemonsu et al. (2012a), the focus is will be placed on the model's hydrological component and recent model developments. Next, the experimental sites and observation data will be presented. The model evaluation will then be laid out in Section 4 inby means of analysing the simulations performed by the new model version.

#### 2. Description of the TEB-Hydro hydrological model

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TEB-Hydro is an evolution of TEB-Veg, with SURFEX v7.3 (Lemonsu *et al.*, 2012a), and was developed on the SURFEX modelling platform (Masson *et al.*, 2013). It can be applied at the city scale as well as the catchment scale. Like TEB-Veg, TEB-Hydro is based on a regular grid mesh with a -resolution varying between several hundred and several deca-meters. and This model can be run either coupled with other meteorological models or in offline mode forced by observed atmospheric data. It combines two surface schemes; TEB (Masson, 2000) and ISBA-DF (Boone *et al.*, 2000), both of which run on an integrated tiling approach and describe energy and water exchanges between the urban and natural subsoils, the surface and atmosphere, respectively. The urban environment is represented by three compartments, such asnamely buildings (roofs and

walls), roads (streets, pavements and parking <u>lots</u>), and gardens. This section will present the new model developments based on the existing TEB-Veg model version. A general description of the hydrological processes will be laid out first, followed by a discussion of the new developments dedicated to hydrological processes in the urban subsoil (Fig. 1).

#### 5 2.1 General principles of hydrological processes

Interactions between the energy balance (Eq. 1) and water balance (Eq. 2) are established via thean explicit resolution of the evapotranspiration term (Eq. 3):

$$Q^* + Q_F = Q_H + Q_E + \Delta Q_S + \Delta Q_A \ [W \ m^{-2}] \tag{1}$$

$$P + I = E + R + D + \Delta W \left[ kg \ m^{-2} \ s^{-1} \right]$$
 (2)

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$$Q_E = \frac{E}{L_V} [W m^{-2}]$$
 (3)

where  $Q^*$  is the net all-wave radiation,  $Q_F$  the anthropogenic heat flux,  $Q_H$  the sensible heat flux,  $Q_E$  the latent heat flux,  $\Delta Q_S$  the heat flux storage,  $\Delta Q_A$  the net advection heat flux, P the total precipitation, I the water generated from anthropogenic activities (irrigation), E the evapotranspiration, E the total runoff, E the deep drainage, E the variation in water storage both on the surface and in the ground during the simulation period, and lastly E the latent heat of vaporisation (-E kg<sup>-1</sup>).

#### 15 **2.1.1** Evapotranspiration

The eEvaporation is calculated for each surface type  $E_*$  (kg m<sup>-2</sup> s<sup>-1</sup>) (Fig. 1). For built-up surfaces, this value depends on both the surface specific humidity at saturation and the air humidity (inside the canyon for roads and above the canopy level for roofs) (Masson, 2000). Limitations are set by the maximum surface retention capacity of roofs  $W_{max,rf}^{surf}$  (mm) and roads  $W_{max,rd}^{surf}$  (mm), as represented by the surface water reservoirs. For natural surfaces, the various contributions from vegetation and natural subsoil are considered (Eq. 4) (Lemonsu *et al.*, 2012a):

$$E_{gdn} = E_{veg} + E_{gr,i} + E_s \tag{4}$$

where  $E_{veg}$  is the vegetation evapotranspiration,  $E_{gr,i}$  and  $E_{gr}$  the evaporation from bare soil respectively with and without freezing, and  $E_s$  the sublimation from snow. These terms are detailed in the SURFEX scientific documentation (https://www.umr-cnrm.fr/surfex/IMG/pdf/surfex scidoc v2-2.pdf).

#### 25 2.1.2 Water interception

The water content evolutionchanges in the interception water reservoirs of each surface type (denoted  $W_*^{surf}$  in mm) is are affected by precipitation and evapotranspiration rates, as indicated in Masson (2000) and Lemonsu et al. (2012a):

$$\frac{\partial W_s^{Surf}}{\partial t} = P - \frac{E_s}{L_v} \tag{5}$$

where \* stands for rooftops, vegetation or bare ground surfaces.

For the road interception reservoir, the original evolution equation has been modified by including a slight water infiltration rate ( $I_{rd}$ ) (m s<sup>-1</sup>), since roads are never totally impervious (Ramier *et al.*, 2011):

$$5 \quad \frac{\partial W_{rd}^{surf}}{\partial t} = P \quad -\frac{E_{rd}}{L_{tt}} - I_{rd} \tag{6}$$

 $I_{rd}$  is defined as a constant value over which the model must be calibrated. Typical values for this parameter can be found in Ramier *et al.* (2011).

#### 2.1.3 Surface runoff

According to Masson (2000), when  $W_*^{surf}$  (mm) exceeds the maximum reservoir capacity ( $W_{max,*}^{surf}$ ), surface runoff is produced ( $R_*^{surf}$  in mm s<sup>-1</sup>). Henceforth, it is collected by the stormwater or combined sewer networks, depending on the effective connected effective impervious areas fraction ( $f_{con}$ ) (Sutherland, 2000). Surface runoff not collected by the stormwater sewer systemnetwork (( $R_{rf}^{surf} + R_{rd}^{surf}$ ) \* (1 -  $f_{con}$ )) is then added to the throughfall over natural surfaces, where it can infiltrate into the subsoil with a maximum infiltration capacity, according to the Green-Ampt approach (Abramopoulos *et al.*, 1988; Entekhabi and Eagleson, 1989; Lemonsu *et al.*, 2012a).

The urban runoff  $R_{town}$  (mm s<sup>-1</sup>), which is used to determine the total <u>stormwater</u> sewer discharge  $(Q_{town}^{tot})$ , is composed of several sources, <u>namely</u>:

$$R_{town} = R_{rf}^{surf} * f_{con} + R_{rd}^{surf} * f_{con} + R_{sew} + R_{*}^{subsurf}$$

$$\tag{7}$$

where  $R_{rf}^{surf} * f_{con}$  and  $R_{rd}^{surf} * f_{con}$  are respectively the roof and the road surface runoff connected to the sewer network (in mm s<sup>-1</sup>);  $R_{sew}$  is the runoff in the sewer network due to soil water infiltration (mm s<sup>-1</sup>), and  $R_*^{subsurf}$  the subsurface runoff from each compartment (mm s<sup>-1</sup>) calculated in Eq. 16.

#### 2.1.4 Vertical water transfer

The surface water infiltration, as described above, constitutes an input to the subsoil of both the garden compartment and road compartment. The water is then transferred vertically  $(T_{\nu})$  from layer to layer, accounting for the liquid water transfer and water vapour transfer stated in Boone *et al.* (2000). This process depends solely on pressure gradients, which enables taking different hydraulic soil properties into consideration. At the bottom of each soil column, the vertical water transfer is adapted by taking boundary conditions into account. The resulting outgoing water flux of the model is called deep drainage  $D_*$ , which and this parameter has been slightly modified for TEB-Hydro (Sect. 2.2.3).

#### 2.2 Inclusion of hydrological processes in the urban subsoil

In accordance with the soil description of urban gardens provided in Lemonsu *et al.* (2012a), soil compartments are now considered under within the category of built-up surfaces, namely-such as roads and buildings (Fig. 1). All three soil columns are represented by horizontal layers, with an identical vertical grid in order to compute subsurface soil water transport. The thickness of each layer increases downward, with a higher grid resolution on top. In the case of the road compartment, the upper soil layers are represented by structural layers in accordance with Bouilloud *et al.* (2009). By integrating natural soil below urban surfaces, the hydrological processes in the soil (vertical water transfer and deep drainage) of both road and building compartments are being adapted from the garden compartment. Water infiltration through the road structure is thus now considered as a recharge of soil moisture in the road compartment soil column. No water however is input into the building compartment soil column.

#### 2.2.1 Horizontal water transfer

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The lateral water interactions of each soil layer, from among the three distinct compartments of athe same grid cell, have been added (Fig. 1). In considering the structural layers of the road compartment, the horizontal transfer in the upper soil layers is computed solely between the garden and building compartments. Below therethat level, all three compartments are accounted fortaken into account.

This approach is based on the principle of an exponential decay <u>of in</u> the water content, tending towards the mean soil moisture of all three compartments, which is limited by soil moisture content at the wilting point. <u>In assuming that tThe</u> soil texture is <u>assumed to be identical homogeneous for all three compartments in each within a given grid cell <u>compartment, and mMoreover</u>, that no lateral transfer is taking place between the <u>various</u> grid cells of the model. <u>T</u>the lateral intra-mesh soil moisture transfer for each soil layer is described as follows:</u>

$$\frac{\partial W_{\delta}^{gr}}{\partial t} = -\frac{1}{\tau} * \frac{\overline{K_{Sat}}}{K_{i}} \tag{8}$$

Updating the soil moisture content in each layer and compartment after each time step yields:

$$W_*^{gr,\prime} = \overline{W^{gr}} + \left(W_*^{gr} - \overline{W^{gr}}\right) * exp\left(-\left(\frac{1}{\tau} * \frac{\overline{K_{Sat}}}{K_i}\right) * dt\right)$$

$$\tag{9}$$

25 with: 
$$\overline{W^{gr}} = \frac{\sum W_*^{gr} * f_*}{\sum f_*}$$
 (10)

where  $W_*^{gr}$  and  $W_*^{gr,\prime}$  are respectively the soil moisture content for each compartment before and after horizontal balancing (m<sup>3</sup> m<sup>-3</sup>),  $\overline{W}^{gr}$  is the mean soil moisture content of all compartments before balancing (m<sup>3</sup> m<sup>-3</sup>),  $\tau$  the time constant of one day,  $\frac{\overline{K}_{sat}}{K_i}$  the ratio of the mean hydraulic conductivity at saturation of all three compartments to the hydraulic conductivity of each compartment, dt the numerical time step of the model (s), and  $f_*$  the fraction of each compartment.

#### 2.2.2 Drainage of soil water byvia the sewer network

Various experiments and observations (Belhadj *et al.*, 1995; Lerner, 2002; Berthier *et al.*, 2004; Le Delliou *et al.*, 2009) have shown that soil water drainage occurs when artificial networks are <u>situated under exposed to</u> saturated soil moisture conditions. However, tThe ISBA soil pattern however is intended to depict the unsaturated zone rather than the saturated zone. This pattern is based on a representation of the soil moisture state in agronomic terms (i.e. water content at wilting point, field capacity and saturation). When taking this <u>representation</u> into account, the infiltration rate into the sewer network ( $I_{sew}$  in m s<sup>-1</sup>) is described in such a way that the hydraulic conductivity of the network soil layer ( $k_{sew}$  ( $W_{gr}$ ) (m s<sup>-1</sup>)) isserves as its limiting factor, with a maximum value at saturation of:

$$I_{Sew} = k_{Sew}(W_{ar}) * I_n * D_{Sew}$$

$$\tag{11}$$

where  $I_p$  is <u>a a calibration</u>-parameter, without any physical significance, <u>merely</u> representing the <u>sewer pipe</u> water\_tightness of the sewer pipe (-), <u>which must be calibrated</u>; and  $D_{sew}$  the sewer density within a single grid cell (-), <u>as</u> expressed by the ratio of the total sewer length in one grid cell (m) to the maximum total sewer length in a single grid cell of the entire study site (m). Let's note that this formulation has been adapted to TEB-Hydro from Rodriguez *et al.* (2008).

#### 2.2.3 Deep drainage

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In cities, artificial networks may play the role of rivers and thus contribute to draining soil water by means of infiltration. It has therefore been envisaged to limit deep drainage in order to favour soil water infiltration into the sewer networks during wet periods. For this purpose, the soil moisture emerging from the last layer of the model is partially or totally retained, according to a coefficient of recharge  $C_{rech}$ , until complete layer saturation. At each time step, the soil moisture content in the last layer n is thus updated according to:

$$20 W_*^{gr,n} = W_*^{gr,n} + W_*^{gr,flux,n} * C_{rech} (12)$$

And the deep drainage becomes: 
$$D_* = W_*^{gr,flux,n} * (1 - C_{rech}) * d_n * \rho/dt$$
 (13)

where  $W_*^{gr,n}$  is the soil moisture content of the last layer n (m<sup>3</sup> m<sup>-3</sup>),  $W_*^{gr,flux,n}$  the soil moisture content derived from the outgoing water flux (m<sup>3</sup> m<sup>-3</sup>),  $C_{rech}$  the coefficient of retention recharge (-) in order to limit deep drainage,  $D_*$  the deep drainage (mm s<sup>-1</sup>),  $d_n$  the thickness of the last layer,  $\rho$  the water density (kg m<sup>-3</sup>), and dt the numerical time step of the model\_(s).

25 If the deep layer is saturated, the excess moisture rises from layer to layer, with:

The soil moisture content in upper layer 
$$i-1$$
:  $W_*^{gr,i-1,\prime} = W_*^{gr,i-1} + \max(0, W_*^{gr,i} - W_{*,sat}^{gr,i}) * \frac{d_{i-1}}{d_i}$  (14)

The soil moisture content remaining in layer i: 
$$W_*^{gr,i,\prime} = \min(W_*^{gr,i}, W_{*,sat}^{gr,i})$$
 (15)

If saturation reaches the surface layer, then the excess moisture is added to subsurface runoff, i.e.:

$$R_*^{subsurf,\prime} = R_*^{subsurf} + \max(0, W_*^{gr,1} - W_{*,sat}^{gr,1}) * \frac{d_1}{dt} * \rho$$
 (16)

where  $W_{*,sat}^{gr,i}$  is the soil moisture content at saturation (m<sup>3</sup> m<sup>-3</sup>),  $W_*^{gr,i,\prime}$  and  $W_*^{gr,i}$  respectively the soil moisture content in current layer i, after and before update (m<sup>3</sup> m<sup>-3</sup>),  $W_*^{gr,i-1,\prime}$  and  $W_*^{gr,i-1}$  respectively the soil moisture content in upper soil layer i-1, after and before update (m<sup>3</sup> m<sup>-3</sup>),  $\frac{d_{i-1}}{d_i}$  (-) the ratio of layer thicknesses between upper layer i-1 and lower layer i, and  $R_*^{subsurf}$  respectively the subsurface runoff after and before update (mm s<sup>-1</sup>).

#### 2.3 TEB-Hydro output variables

In addition to the simulated hydrological output variables calculated in TEB-Veg (latent heat fluxes on all surfaces, soil moisture in each soil layer  $(W_{gdn}^{gr,i})$  and deep drainage  $(D_{gdn})$  of the garden compartment), the TEB-Hydro model simulates the soil moisture in each soil layer  $(W_*^{gr,i})$  and the deep drainage  $(D_*)$  under artificial surfaces. Other new model output variables include urban runoff  $(R_{town})$  in the stormwater sewer network, with its components stemming from rooftops  $(R_{rf}^{surf} * f_{con})$  and road surfaces  $(R_{rd}^{surf} * f_{con})$ ; soil water infiltration into the sewer network  $(R_{sew})$ ; and the subsurface runoff from each compartment  $(R_*^{subsurf})$ .

#### 3. Experimental study areas and observation data

The experimental data are derived from two small urban catchments in the city of Nantes (France). The properties of these catchments, along with local observation data and the meteorological forcing data of the model, will be described below.

#### 3.1 Experimental data

#### 3.1.1 Rezé catchment

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experimental site was instrumented (with measurements of precipitation, discharge from the rainwater network and soil water) from 1993 to 1998, and a complete continuous database is available for that period. The climate is oceanic with an average annual rainfall of approx. 830 mm during this period; the year 1994 was the wettest one.

The 4.7-ha basin is entirely residential, comprising single-family homes with private gardens. The separate sewer network is divided into wastewater and stormwater sewers, with lengths of 803 m and 480 m, respectively. The impervious surface of the catchment accounts for 45% of the total area, of which 84% is connected to the stormwater system. A detailed site description can be found in Berthier *et al.* (1999) and Dupont *et al.* (2006) (Tab. 1).

The Rezé experimental site is located in the southern part of the city of Nantes, close to the Atlantic coast (Fig. 2). He The

The Rezé catchment and its database have been the subject of several studies (Rodriguez *et al.*, 2003; Berthier *et al.*, 2004; Dupont *et al.*, 2006); Lemonsu *et al.*, 2007; Rodriguez *et al.*, 2008). Berthier (1999) modelled the role of soil in generating

urban runoff on the Rezé catchment. He studied both the hydrological aspects and site observations. Among other emphasesachievements, he examined the discharge observed in the wastewater sewer during the winter periods between 1993 and 1997, before estimating the discharge due to soil water infiltration, and then compared this value withto the simulated base flow in the sewer network.

#### 5 3.1.2 Pin Sec catchment

The Pin Sec experimental site is located in the eastern section of Nantes; it has been a part of the Nantes Observatory for the Urban Environment (ONEVU) since 2006 and thus contains a dense network of continuous measurement equipment (rain gauges, flow meters in <u>the sewer</u> networks, piezometers, tensiometers and micro-climatological masts). In correspondence with the simulation period of this study, rainfall patterns were analysed between May 2010 and September 2012, with an annual rainfall of approx. 700 mm recorded for the year 2011.

The catchment area spans 31 ha withand contains some 2,500 inhabitants (Le Delliou *et al.*, 2009). The northern part of the site is characterised by single-family housing with private gardens, unlikeas opposed to the southern part, which encompasses 4-storey multi-family buildings with public parks (Fig. 2b). The sewer network is separate, divided into wastewater and stormwater, with respective lengths of 6,973 m and 3,911 m. 51% of the total area is impervious, of which only 61% has been found to be connected to the stormwater sewer (according to asurvey conducted by the Nantes Metropolitan government)-to be connected to the stormwater sewer. A description of this catchment is summarised in Tab. 1.

#### 3.2 Meteorological forcing

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Forcing the model with observations requires atmospheric data, such as precipitation, temperature, specific humidity, atmospheric pressure, wind speed and direction, and incoming shortwave and longwave radiation. For both experimental sites, the precipitation rates (no snowfall for all simulation periods) were collected on site by means of rain gauges.

All other forcing data were generated from the data of at the nearby *Météo-France* weather station (at Nantes Airport), including incoming solar radiation, cloudiness, pressure, air temperature and humidity at 2 m above ground, and wind speed at 10 m above ground. To avoid the direct influence of the urban canopy, the forcing level height for temperature, humidity and wind speed had to be set above the roughness sublayer top, hence the atmospheric data had to be adjusted accordingly (Lemonsu *et al.*, 2012b).

#### 4. Evaluation of the TEB-Hydro model

The TEB-Hydro model was evaluated by comparing the simulated ion output with both the observed total stormwater sewer discharge and the portion of this discharge due to soil water infiltration. Observations were derived from the two experimental sites described above. Let's note that the local properties of these sites, as well as the simulation period, do vary. A sensitivity analysis performed on the Rezé catchment will be presented first. The hydrological parameters taken into account consist of:

the maximum retention capacity of the artificial surfaces  $W_{max,*}^{surf}$  (roads and buildings), a parameter describing the water-tightness of the sewer pipe  $I_p$ , the maximum infiltration rate through the road structure  $I_{rd}$ , the fraction of impervious surface areas connected to the sewer network  $f_{con}$ , and the deep drainage  $D_*$  (Sect. 2 and Tab. 2). In addition, possible combined effects of these studied parameters will also be analysed. As is typical withfor hydrological models, thise model is calibrated on those parameters where it shows the greatest sensitivity. Next, the model will be evaluated on the observed total stormwater sewer discharge of both the Rezé and Pin Sec catchments. Simulations will be run withusing the TEB-Hydro model and then compared to observations in order to discuss the performance of recent model developments.

#### 4.1 Model configuration

The TEB-Hydro model (SURFEX v7.3) has been applied to a single grid point (1D) for both experimental sites; it operates in "off-line" mode, forced by meteorological observations (Sect. 3.2), with a one-hour time step. The model's numerical time step is 5 min. For both catchments, 12 soil layers were taken into account, and the road structure was divided into 5 artificial layers. By virtue of the mean sewer system depth (1.50 m), the sewer pipe is thus situated in the 10<sup>th</sup> soil layer. Natural surfaces are represented by bare ground, and low and high-growth vegetation.

In the case of the Rezé catchment, the model was run over a 6-year period, from January 1993 to December 1998. The hydrological year starts in September, as the lowest base flow can be detected in August for the Nantes <u>Region</u>. Its morphological data, radiative and thermal properties of <u>the</u>-materials (TEB), and soil and vegetation properties (ISBA) have all been considered, like in Lemonsu *et al.* (2007).

The simulation period for the Pin Sec catchment is 2.5 years, i.e. between May 2010 and September 2012. The morphological site data, radiative and thermal properties of the materials (TEB), and soil and vegetation properties (ISBA) were determined on the basis of several sources (FluxSAP database (Furusho, 2012), Nantes Metropolitan urban databank, and Ecoclimap I (Faroux *et al.*, 2013)). Due to inconsistencies between previous studies (Le Delliou *et al.*, 2009; Seveno *et al.*, 2014), the Pin Sec catchment area has been re-delimited using a GIS (Fig. 2b).

#### 4.2 Sensitivity analysis

The sensitivity analysis was solely conducted on the Rezé catchment; its aim was to better understand the role of each individual parameter on the various hydrological processes and identify those processes responsible for greater model sensitivity and thus requiring calibration. In general, two types of analyses were conducted existean could be conducted: local and global (Saltelli et al., 2004; Tang et al., 2006). For this study, a local analysis based on the One Factor at a Time (OFAT) method was chosen (Montgomery, 2017). This approach measures the influence of a parameter by the amplitude in variation of the model's response around a nominal value of this parameter. The sensitivity analysis encompasses several hydrological parameters, with a range of realistic values (minimum, nominal, maximum). These values have been identified from either a literature review or in situ measurements (Hollis and Ovenden, 1988; Berthier et al., 19992004; Lemonsu et al., 2007; Ramier

<u>et al., 2011</u>; Furusho <u>et al., 2013</u>; <u>Allard, 2015</u>) (Tab. 2). The REF simulation is based on the nominal values of all parameters. The MIN and MAX simulations <u>canare</u> consistently <u>be-performed whenby changing a-the value of just singleone</u> parameter <u>is changed with respectively</u> to its minimum and maximum values, while <u>all the</u> other parameters <u>remain are fixed</u> at their nominal values.

Moreover, a two-level factorial plandesign of  $2^3$  is presented in order to determine whether or not some parameters ( $I_p$ ,  $I_{rd}$  and  $D_*$ ) display combined effects on the model output and then dissemble to dissociate the interactions taking place between them. Such a design is of commonly used in experiments involving several interlinked factors (Montgomery, 2007).

Each parameter is assigned two levels, which serves to limit narrow the experimental domain. In the current case, the domain of each parameter corresponds to the margins set for the sensitivity analysis. Thus The higher levels will hereafter be denoted +1 for the MAX parameter value and -1 respectively denote for the lower value corresponding to the parameter the MAX and MIN values in Tab. 2 (Tab. 3). To take all possible parameter combinations into account, a matrix is generated with all values being arranged according to the "Yates Order" (Daniel, 1976). The principal effects (Eq. 17) of the given parameters and the effects of their interactions (Eq. 18) are then calculated, in direct correlation with the mean response of both its low level ( $\bar{y}_{*-}$ )

on the model response  $(\bar{y}_*)$ : two perfect parallel lines would not indicate any interdependence between the two factors, as opposed to non-parallelism.

and high level  $(\bar{y}_{*+})$ . The dependence of two parameters can be analysed visually by showing the effects of both parameters

In the current context,  $\bar{y}_*$  corresponds to the maximum observed sewer discharge due to soil water infiltration  $Q_{\text{sew,max}}$  during winter 1994/95 in the Rezé catchment. A positive effect stands for an increase a gain in of this process while transitioning from the low parameter level (-1) (MIN value) to its high value (+1) (MAX value); and *vice versa* in the case of a negative effect:

$$20 \quad e(A) = \bar{y}_{A+} - \bar{y}_{A-} \tag{17}$$

$$e(AB) = \bar{y}_{AB+} - \bar{y}_{AB-} \tag{18}$$

where e(A) is the principal effect of a parameter called A, e(AB) the effect of the interaction between two different parameters A and B,  $\bar{y}_{*+}$  the mean response of all combinations where the parameter or the interaction of two parameters is at its high level (+1), and  $\bar{y}_{*-}$  the mean response of all combinations where the parameter or the interaction of two parameters is at its low level (-1).

#### 4.3 Comparative method

In the case of With regards to the sensitivity analysis, the Kling-Gupta statistical criterion (KGE) has been calculated from the output variables of the MIN or MAX simulations as  $D_{sim}(t)$ , and from the output variables of the REF simulation as  $D_{ref}(t)$  (Eqs. 19 to 22). For the model calibration and evaluation phase,  $D_{ref}(t)$  is replaced by observed data  $D_{obsf}(t)$ . The Kling-Gupta efficiency (KGE) coefficient is a synthesis of several criteria varying between 1 and  $-\infty$  (Gupta et al., 2009):

$$KGE = 1 - \sqrt{(r-1)^2 + (\alpha - 1)^2 + (\beta - 1)^2}$$
(19)

wWith the linear correlation coefficient (r):

$$r = \frac{\sum((Dsim(t) - \overline{Dsim}) * (Dref(t) - \overline{Dref}))}{\sqrt{\sum(Dsim(t) - \overline{Dsim})^2} * \sqrt{\sum(Dref(t) - \overline{Dref})^2}}$$
(20)

wWith the relative variability ( $\alpha$ ) represented by the standard deviation:

$$\alpha = \frac{\sqrt{\sum (Dsim(t) - \overline{Dsim})^2}}{\sqrt{\sum (Dref(t) - \overline{Dref})^2}}$$
(21)

5 <u>a</u>And the bias ( $\beta$ ):

$$\beta = \frac{\overline{Dsim}}{\overline{Dref}} \tag{22}$$

The results of the Kling-Gupta efficiency (KGE) criteriona are then presented for each MIN and MAX simulation. For this analysis, the chosen model output variables depend on the influence of the parameter on the hydrological processes, namely:

- <u>Total Uu</u>rban runoff  $(R_{town})$  and the subsequent total <u>urban</u><u>stormwater sewer</u> discharge <u>(wastewater and stormwater sewer)</u>  $(Q_{town}^{tot})$ ;
- Sewer runoff due to Ssoil water drainage infiltration via into the sewer sewer network  $(R_{sew})$ .

#### 4.4 Calibration

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Based on the outcomes of the sensitivity analysis, the <u>TEB-Hydro</u> model is then calibrated. The first few months of <u>the spin-up-the</u> simulation period are systematically excluded, <u>due to the model spin-up</u> in order to <u>anticipate problems upon initialising</u> the model's prognostic variablesto allow the model to numerically stabilise. Calibration is applied transverselyally, meaning that <u>after removing the spin-up time from the simulation period</u>, it is split into two equal periods, and the model is calibrated and evaluated <u>on both of them over two different simulation periods</u>. By doing soIn this manner, the model will first be calibrated <u>onover</u> the first period and then evaluated <u>onover</u> the second in following the same process-<u>by</u>, <u>i.e.</u> inversing <u>the</u> calibration and evaluation periods. The simulations are then compared with the observed total <u>stormwater</u> sewer discharge and, like for the sensitivity analysis (Sect. 4.3), the KGE criterion is calculated along with its components.

#### 5. Results and discussion

#### 5.1 Sensitivity analysis

As shown in Tab.  $\underline{34}$ , the KGE coefficients for both the MIN and MAX simulations, specific to the maximum retention capacity of the surface reservoir of roads ( $W_{\text{max,rd}}^{\text{surf}}$ ) and roofs ( $W_{\text{max,rf}}^{\text{surf}}$ ), show little difference for both the urban runoff and runoff due

to soil water infiltration (Figs. 3a and 3b). In the case of At maximum roof retention capacity, the urban runoff is mainly influenced by small minor rainfall events.

In terms of urban runoff, the model does not show any higher sensitivity to the parameter describing the infiltration rate through the road ( $I_{rd}$ ) than to  $W_{max,rd}^{surf}$  and  $W_{max,rf}^{surf}$  (Tab. 34). Such is not the case however when considering the sewer runoff due to soil water infiltration  $(R_{sew})$ . As the road infiltration rate increases, total surface urban runoff  $(R_{town})$  decreases but only for smallminor rain events (Fig. 4a). Also, soil moisture rises within the soil layers, thus boostingraising soil water infiltration into the sewer network (Fig. 4b).

As expected, the model is more sensitive to the fraction of impervious surfaces connected to the sewer system stormwater sewer network ( $f_{con}$ ) (Fig. 5). The bias ( $\beta$ ) and relative variability ( $\alpha$ ) (Tab. 34) reveal different values for MIN and MAX simulations, yet they both lead to the same KGE criterion (Tab. 34). The variation in the  $f_{con}$  parameter influences total urban runoff as well as sewer runoff due to soil water infiltration. A low connection rate leads to a lower total urban runoff, while a greaterhigher parameter value increases total urban runoff (Fig. 5). The runoff from surfaces not connected to the sewer system feeds the infiltration towards the natural surfaces. The amount of infiltrated water in the garden compartment thus changes with this parameter, thereby influencing the soil moisture in all layers and compartments. These values are higher when the fraction of connected surfaces is low and, conversely, lower with a high fraction.

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The calculated KGE values (Tab. 34) are quite divergent between the MIN and MAX simulations for parameters  $I_p$  and  $D_*$  with both output variables, which implies that the model is very sensitive to them these parameters. In addition, the results of the factorial plandesign (Fig. 6), based on the calculated direct effects on the maximum sewer discharge due to soil water infiltration, corroborate these results.

As <u>regards</u>for the parameter describing <u>the sewer pipe</u> water-tightness of the sewer pipe ( $I_p$ ), its increase leads to higher peaks of infiltration into the sewer network (Fig. 7a) <u>butyet</u> does not influence the infiltration period. Moreover, the calculated effect of an  $I_p$  of +2.9E-04 signifies an increase in soil water infiltration into the sewer network when transitioning from its low <u>level</u> (-1) to <u>its</u>-high <u>one level</u> (+1) (Fig. 6). <u>In fact, soil water drainage via the sewer network is as important as a degraded network condition (cracks, root penetration, etc.), and soil moisture at the network location is close to saturation.</u>

As for<u>regards</u> the deep drainage parameter ( $D_*$ ), the negative effect (Fig. 6) indicates that infiltration declines with an increasing parameter value. As seenobserved in Fig. 7b, limiting deep drainage to a magnitude of 10% (MAX) does not generate a significant difference with <u>respect to</u> the reference simulation <u>inwhen</u> assessing sewer discharge due to soil water infiltration. Blocking the deep drainage completely (MIN) however leads to a-saturation of the lower soil layers, thus adding to the soil water infiltration into the sewer network (Fig. 7b).

As was the case for sewer pipe water-tightness of the sewer pipe  $(I_p)$ , both the infiltration rate through the road  $(I_{rd})$  and deep drainage  $(D_*)$  seemappear to influence the sewer drainage due to soil water infiltration, with the effects of their interactions having been calculated and visualised. In this manner, the  $I_p/D_*$  interaction can be highlighted as the most influential of all three first-order interactions (Fig. 6). In addition, Figure 8 effectively showsdisplays the correlation between the two

parameters (see the two lines run<u>ning</u> non-parallel to one another), whereas the correlations between the other parameters appear to be less significant.

In comparing model sensitivity among the 6 parameters for both total urban runoff and runoff due to soil water infiltration, it can be concluded that the model is less sensitive to changes in parameters  $W_{max,rd}^{surf}$  and  $W_{max,rf}^{surf}$ . Four parameters can thus be singled out for calibration:

- The parameter describing the <u>sewer pipe</u> water-tightness of the sewer pipe  $(l_n)$
- Infiltration rate through the road  $(I_{rd})$
- The fraction of impervious surfaces connected to the sewer network  $(f_{con})$
- Deep drainage  $(D_*)$ .

#### 10 5.2 Model calibration and evaluation

In considering According to the results of the sensitivity analysis, this model-TEB-Hydro needs to be calibrated on four parameters. Yet for both catchment areas, the parameter  $f_{con}$  has been determined during as a result of exhaustive field surveys and is therefore is well known. Consequently, this parameter will be neglected. Hence only the three remaining parameters are considered for calibration. Four different values within the predefined range of the sensitivity analysis are tested for each parameter (Tab. 45). The model is calibrated and evaluated on the total observed stormwater sewer discharge ( $Q_{town}^{tot}$ ), as determined from the model outcome variable: total urban runoff ( $R_{town}$ ). However, since the drainage capacity of soil water through the sewer network can be extensive in urban areas, the share of sewer discharge originating from soil water infiltration ( $Q_{sew}$ ) has been investigated in detail. Hence, tThe choice of this parameter's values therefore also depends on the findings of the sensitivity analysis with respect to this output variable ( $Q_{sew}$ ). In Section 5.1, it was showedn that soil water infiltration into the sewer increases as the  $I_p$  value rises, as opposed to parameters  $I_{rd}$  and  $D_*$ . The range of values has thus been set close to the maximum  $I_p$  value and minimum  $I_{rd}$  and  $D_*$  values. Totally blocking the deep drainage however is not an option, since in reality soil water is not only drained by artificial sewer systems but moreover can find other pathways within the urban subsoil (groundwater recharge, seepage, etc.).

#### 5.2.1 Rezé catchment

As stated above, the calibration step is applied transversely. In the Rezé catchment, the calibration and evaluation periods have been compounded by two consecutive hydrological years, i.e. from September 1993 to August 1995, and from September 1995 to August 1997.

The KGE criterion results indicate a clear and constant trend for all simulations, independently of the considered time period (Fig. 9) or the value of parameter  $D_{*}$  for three reasons:

Firstor starters, as seen in the example (deep drainage limited to 2%), all KGE criteria are far better for the first period from (1993-to-1995) than for the second (1995-97) (Fig. 9). This discrepancy between the two simulation periods is primarily related to the KGE criterion component bias ( $\beta$ ), which shows a greater biasvalue for the second simulation period (Fig. 9). Secondly, the smallest value of  $I_p$  achieves a better result than the highest value, when assessing total stormwater sewer discharge ( $Q_{town}^{tot}$ ). Thirdly, the parameter  $I_{rd}$  does not exert any significant influence on the simulated total sewer discharge, since the statistical criterion does not vary much-significantly among its different various values.

The correlation (r) of simulated and observed discharge peaks is satisfactory, with values of about approximately 0.90 for both simulation periods and all simulation configurations (Fig. 9). The model displays a tendency to overestimate the observed total sewer discharge (Fig. 10), more so for the second simulation period, in showing greater bias ( $\beta$ ) variability ( $\alpha$ ) through all across simulations (Fig. 9).

10

Regardless of the deep drainage values, simulation 13 seems to stand out with a KGE between 0.79 and 0.82 over the entire simulation period. The KGE values range between 0.81 and 0.84 for the first period and between 0.66 and 0.68 for the second. In examining both simulation periods separately, simulation 14 seemsappears to perform slightly better forduring the first period. Given the fact that simulation 13 performs much better over the second period than simulation 14, simulation 13 should also be tagged for a transversal calibration. The degradation in KGE during the second year is mainly related to the higher bias and variability values, most likely caused by the various diverse hydrological properties of both simulation periods. For the first period 1993-95, a total precipitation height of approx. 1,873 mm of precipitation with a very wet winter during 1994-95 winter can be observed, whereas the second period is much dryer, posting just 1,302 mm. This trend had indeed already been noticed when coupling ISBA with TOPMODEL (Furusho et al., 2013). The soil scheme of ISBA shows slow dynamics in the soil water evolution, thus underestimating the water content under wet weather conditions and overestimating it under dry conditions. The model has been calibrated over the first simulation period under wet weather conditions and evaluated over a drier period. The soil water and hence total stormwater discharge are raised with parameters D, and Ip, leading to an overestimation.

As regards total <u>stormwater</u> sewer discharge, the best combination of parameters would <u>be toconsist of</u> setting parameter I<sub>p</sub> at 0.09 and I<sub>rd</sub> at 10<sup>-5</sup>, whereas parameter D<sub>\*</sub> <u>eanis allowed to</u> vary. <u>Depending on the value of parameter D<sub>\*</sub>, the KGE criterion varies between 0.79 and 0.82 over the entire simulation period.</u> We will thus be examining in greater detail the portion of sewer discharge due to soil water infiltration, with parameter D<sub>\*</sub> <u>significantly</u> influencing this process <u>significantly</u>. Berthier (1999) observed a maximum sewer discharge due to soil water infiltration of about 0.008 m<sup>3</sup> h<sup>-1</sup> lm<sup>-1</sup> during <u>the</u>—winter 1994-95. Accounting for the total sewer length of 1,283 m at the Rezé catchment would yield a maximum sewer infiltration rate of <u>roughlyapprox</u>. 10.3 m<sup>3</sup> h<sup>-1</sup>. Limiting deep drainage to 2% produces (Fig. 11a) a simulated discharge peak of 4.8 m<sup>3</sup> h<sup>-1</sup> during this period (Fig. 11a), which is much less than observation findings. In <u>looking atexamining</u> the simulation with a limited deep drainage (D<sub>\*</sub>) of 1%, the observed discharge peak becomes significantly overestimated at 27 m<sup>3</sup> h<sup>-1</sup> (Fig. 11b). In the aim of

evaluating the model as well on the sewer discharge due to soil water infiltration, deep drainage should be limited <u>to</u> somewhere between 1% and 2%.

Another option would consist of focusing on the  $I_p$  parameter since the sensitivity analysis also revealed its influence on the process of soil water infiltration into the sewer. As stated above, raising the value of  $I_p$  is beneficial for the infiltration rate. Hence, simulation 14 would be more suitable, as  $I_p$  has been set at 0.3 while  $I_{rd}$  remains at  $10^{-5}$ . In conjunction with deep drainage limited to 2%, the maximum sewer discharge due to soil water infiltration during winter 1994-95 equals roughlyapprox. 10.6 m<sup>3</sup> h<sup>-1</sup>, which is close to the observed discharge (Fig. 11c). For this combination of parameters (i.e.  $I_p$  =0.3,  $I_{rd}$ = $10^{-5}$ ,  $D_*$ =2), the KGE criterion based on total sewer discharge is slightly better, like for simulation 13, with a value of 0.86 for the first period. Such is not the case however for the second period, with a value of 0.57.

#### 10 5.2.2 Pin Sec catchment

For the Pin Sec catchment, the period between September 2010 and August 2011 has been compared to the period from September 2011 to August 2012 and *vice versa*.

As was the case with the Rezé catchment, the same trends and tendenciespatterns can be observed independently of the simulation periods and configurations. Simulation 13 eanis once again be cited as the best configuration of parameters, with a KGE criterion of 0.79 over the whole simulation period. Parameter  $I_p$  eanshould thus be set at 0.09 and  $I_{rd}$  at  $10^{-5}$ , whereas  $D_*$  isremains variable.

#### 5.2.3 General discussion

In terms of calibration and evaluation processes, this model TEB-Hydro exhibits the tendency to overestimate the observed total stormwater sewer discharge. This skewing can be explained by the choice of setting decision to set parameter  $f_{con}$  at its realdocumented value rather than calibrating the model on it. This parameter is indeed the one exerting a predominant influence on total stormwater sewer discharge, since it directly conditions influences the surface runoff of impervious surfaces. When calibrating the model on total stormwater sewer discharge, it is thus essential to take this parameter into account in caseshould it is not be well known, as opposed to the other parameters  $I_{rd}$  and  $D_*$ , which that exercise little influence over this process. Evaluating the model from the standpoint of sewer discharge due to soil water infiltration however requires a more detailed consideration of  $I_p$  and  $D_*$ . The water exchanges taking place between the urban subsoil and both the natural and sewer network are critical processes in urban areas. As shown above, the model is very sensitive to them and comparing them to observation findings can help improve the simulated urban water budget, yet experimental data on such fluxes are indeed rare.

For both catchments, the statistical criteria indicate the same trend throughoutacross all simulation configurations and periods, with a better KGE for the firstwetter periods. The model seems to perform better under wetter climatic conditions. The best simulation configuration is the same irrespective of calibrating the model on the first or second period, with the exception of Simulation 14. It thus proves necessary to apply the model more extensively in regions with different meteorological patterns

in order to investigate whether the model could operate under different meteorological conditions (dry and wet periods), which is an essential condition for projection applications. The same simulation configuration yields the best results for both the Rezé and Pin Sec catchments. Considering their differences in terms of soil texture and urban patterns (i.e. mean building height), this isresult is an encouraging result for working at the city scale, as spatial heterogeneity no longer constitutes an obstacle.

#### 5 6. Conclusion

The objective of this study has been to contribute to developing a complete urban hydro-microclimate model and testing the ability of this model to replicate hydrological processes. This goal has been achieved given that the representation of hydrological processes in the TEB-Veg model (Lemonsu  $et\ al.$ , 2012a) has been extended and refined. The new model version, called TEB-Hydro, has been developed by taking a detailed representation of the urban subsoil into account. This step has enabled horizontal interactions of soil moisture between the urban subsoil of built-up and natural surfaces within a single grid-cell. Furthermore, the drainage of soil water drainage via the sewer network has been introduced into the road compartment of the TEB-Hydro model. Deep drainage, which normally supplies the base flow of the natural river network, has been limited to favouring humidification of the lower soil layers. This condition resultsing in more realistic infiltration patterns into the sewer network under urban conditions. A sensitivity analysis has been performed in the aim of better understanding the influences of model parameters on these processes and identifying the parameters to serve for calibration purposes. Six parameters were investigated, of whichwith four of them seemed appearing to significantly influence the model output in terms of total sewer discharge and the portion of discharge caused by soil water infiltration, namely: the parameter  $(l_p)$  describing the sewer pipe water-tightness of the sewer pipe, the road infiltration rate  $(l_{rd})$ , the fraction of impervious surfaces connected to the sewer network  $(f_{con})$ , and the parameter  $(D_*)$  that enables limiting deep drainage out of the urban subsoil.

TEB-Hydro was then applied to two small residential catchments located close to the city of Nantes (France). In both cases, the model was calibrated and evaluated on the observed <u>stormwater</u> sewer discharge, in displaying the same hydrological behaviour. The tTotal <u>stormwater</u> sewer discharge is consistently being overestimated, independently of both simulation period and configuration. Considering parameter  $f_{con}$  as a calibration element, should it not be known, allows tackling this problem. The model seems to function better under wet conditions, <u>since simulation period 1 offers with better superior</u> KGE results. In assessing the entire simulation periods for both catchments, the same parameter configuration stands out, independently of meteorological and local physical conditions, thus implying that the model is running in a coherent and steady manner. This finding would need to be confirmed by applying the model to several catchments areas outside of Nantes. In conclusion, the evaluation outcomes <u>laid outset forth</u> herein are encouraging for <u>model</u> application of the model at the city scale for purposes of projecting global change.

Lastly, a more detailed representation of the urban subsoil and its hydrological pattern enhances the model's urban water budget. Given that the water and energy budgets are coupled, it is likely that the energy budget of the this model is improved

<u>being influenced</u> at the same time. An upcoming research project <u>is-now</u> underway<del>, which</del> entails investigating <del>alongside the hydrological processes</del>- energy patterns, like latent and sensible heat fluxes, alongside the hydrological processes.

#### 7. Code and data availability

The surface modelling platform SURFEX is accessible on open source, where the codes of surface schemes TEB and ISBA can be downloaded (http://www.cnrm-game-meteo.fr/surfex/). This platform is regularly updated; however, the model developments mentioned above have yet to be taken into account in the latest SURFEX, (version v8.0). For all further information or access to real-time code modifications, please follow the procedure in order to open the SVN account provided via the previous link. The routines modified with respect to the TEB-Hydro model SURFEX v.7.3, as well as the run directories of the model experiments described above eanmay be retrieved via: <a href="https://doi.org/10.5281/zenodo.1218016">https://doi.org/10.5281/zenodo.1218016</a>. The Rezé and Pin Sec catchment databases are available upon request submitted to the authors of the Water and Environment Laboratory of the French Institute of Science and Technology for Transport, Development and Networks (IFSTTAR).

## **Appendix A: List of symbols**

	Q*	net all-wave radiation (W m <sup>-2</sup> )
	$Q_F$	anthropogenic heat flux (W m <sup>-2</sup> )
15	$Q_H$	sensible heat flux (W m <sup>-2</sup> )
	$Q_E$	latent heat flux (W m <sup>-2</sup> )
	$\Delta Q_S$	heat flux storage (W m <sup>-2</sup> )
	$\Delta Q_A$	net advection heat flux (W m <sup>-2</sup> )
	P	total precipitation (kg m <sup>-2</sup> s <sup>-1</sup> )
20	I	water generated from anthropogenic activities (irrigation) (kg m <sup>-2</sup> s <sup>-1</sup> )
	$E_*$	evapotranspiration over * compartment (kg m <sup>-2</sup> s <sup>-1</sup> )
	R	total runoff (kg m <sup>-2</sup> s <sup>-1</sup> )
	$D_*$	deep drainage over * compartment (kg m <sup>-2</sup> s <sup>-1</sup> )
	ΔW	variation in water storage both on the surface and in the ground during the simulation period (kg m <sup>-2</sup> s <sup>-1</sup> )
25	$L_v$	latent heat of vaporisation (J kg <sup>-1</sup> )
	$T_*$	<u>transfer</u>
	$W_*^{surf}$	surface retention capacity over * compartment (mm)
	$W_{max,*}^{surf}$	maximum surface retention capacity over * compartment (mm)
	$I_{\star}$	surface water infiltration rate of * compartment (m s <sup>-1</sup> )

	$R_*^{surf}$	surface runoff connected to the sewer network for * compartment (mm s <sup>-1</sup> )
	$f_{con}$	
		runoff in the sewer network due to soil water infiltration (mm s <sup>-1</sup> )
		subsurface runoff from each compartment from * compartment (mm s <sup>-1</sup> )
5	$W_*^{gr}$	•
	$W_*^{gr,\prime}$	soil moisture content for each compartment after horizontal balancing over * compartment (m³ m⁻³)
	$\overline{W^{gr}}$	mean soil moisture content of all compartments before balancing (m <sup>3</sup> m <sup>-3</sup> )
	τ	time constant for one day (s)
	$\overline{K_{sat}}$	ratio of the mean hydraulic conductivity at saturation of all three compartments to the hydraulic conductivity
10	$\frac{K_i}{K_i}$ of each compart	
10		erical time step of the model (s)
		surface area fraction of * compartment (-)
		parameter representing the water tightness of the sewer pipe (-)
	P	
15	D <sub>sew</sub>	sewer density within a single grid cell (-), expressed by the ratio of the total sewer length in one grid cell (m) a total sewer length in a single grid cell of the entire study site (m)
13		soil moisture content of the last layer $n$ of * compartment ( $m^3$ $m^{-3}$ )
		soil moisture content derived from the outgoing water flux of * compartment (m³ m-³)
	$C_{rech}$	coefficient of recharge (-) in order to limit deep drainage
	$d_n$	thickness of the last layer
20	ρ	water density (kg m <sup>-3</sup> )
	$W_{*,sat}^{gr,i}$ $W_{*}^{gr,i,'}$	soil moisture content at saturation of * compartment (m <sup>3</sup> m <sup>-3</sup> )
		soil moisture content in layer i after update of * compartment (m <sup>3</sup> m <sup>-3</sup> )
	$W_*^{gr,i}$	soil moisture content in layer <i>i</i> before update of * compartment (m <sup>3</sup> m <sup>-3</sup> )
25	$d_{i}$ $R_{*}^{subsurf,\prime}$	layer thicknesses of layer <i>i</i> (-) subsurface runoff after update of * compartment (mm s <sup>-1</sup> )
23	$R_*^{subsurf}$	
	e(A)	principal effect of a parameter called A (-)
	e(AB)	effect of the interaction between two different parameters A and B (-) mean response of all combinations where the parameter or interaction of two parameters is at its high level
30	$\bar{y}_{*+}$	mean response of an combinations where the parameter of interaction of two parameters is at its high level
	$ar{y}_{*-}$	mean response of all combinations where the parameter or interaction of two parameters is at its low level
	$\frac{(-1)(-)}{Q_{town}^{tot}}$	total stormwater sewer discharge (m <sup>3</sup> h <sup>-1</sup> ) derived from total urban runoff (R <sub>town</sub> ) (m <sup>3</sup> h <sup>-1</sup> )
	$Q_{sew}$	sewer discharge due to soil water infiltration (m <sup>3</sup> h <sup>-1</sup> ) derived from sewer runoff ( $R_{sew}$ ) (m <sup>3</sup> h <sup>-1</sup> )
35	KGE	Kling Gupta statistical criterion (-)
	$\frac{r}{\alpha}$	correlation (-) variability (-)
	β	bias (-)
	$\underline{D}_{sim}(t)$	output variables of MIN and MAX simulations (kg m <sup>-2</sup> s <sup>-1</sup> )

 $\underline{D_{ref}(t)}$  output variables of the REF simulation. This symbol is replaced by observed data  $\underline{D_{obsf}(t)}$  for purposes of model calibration and the evaluation phase (kg m<sup>-2</sup> s<sup>-1</sup>)

#### with subscripts \* standing for:

5	rf	roof
	<u>rd</u>	road
	gdn	<u>garden</u>
	<u>bld</u>	building
	con	connection
10	veg	<u>vegetation</u>
	gr	bare ground surface
	S	sublimation from snow
	<u>sat</u>	saturation
	sew	sewer
15	<u>rech</u>	recharge_
	<u>max</u>	<u>maximum</u>
	sim	<u>simulation</u>
	<u>ref</u>	reference
	obs	<u>observation</u>
20	v	<u>vertical</u>
	<u>h</u>	<u>horizontal</u>

# with superscripts \* standing for:

<u>tot</u>	<u>total</u>
25 <u>gr</u>	<u>ground</u>
<u>surf</u>	surface
subsurf	subsurface
i	layer number
$\overline{n}$	number of last layer

30 Competing interests. The authors hereby declare the absence of any conflict of interest.

Acknowledgements. We thank Nantes-Métropole (Direction des Informations Géographiques) for providing the GIS data. We would also like to thank the anonymous reviewers for their helpful comments.

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Table 1: Summary of basin characteristics for both the Rezé and Pin Sec catchments

Description		Rezé	Pin Sec		
Surface area		4.7 ha	31.3 ha		
Housing type	Housing type				
Mean building height (H <sub>mean</sub> )		5.9 m	9.3 m		
	garden	55%	49%		
Land use	building	17%	19%		
	road	28%	32%		
Soil texture	clay	40%	8%		
	sand	38%	51%		
Imperviousness of the surface are	a	45%	51%		
Impervious surfaces connected to	the sewer	84%	61%		
Length of sewer network	wastewater	803 m	3,911 m		
	storm drain	480 m	6,972 m		
Mean sewer depth		1.50 m	1.50 m		

Table 2: Description of the hydrological parameters of the TEB-Hydro model as well as its MIN, MAX and REF values for the sensitivity analysis. The deep drainage values correspond to a coefficient of recharge of respectively 1.0, 0.95 and 0.90. The values  $\underline{\text{for } W_{max,rd}^{surf} W_{max,rd}^{surf} V_{max,rd}^{surf} V_{max,rd}^{su$ 

Simulation	Parameter	Description	Unit	Values			
Siliulation	Farameter	Description	Omt	MIN	REF	MAX	
SROAD	$W_{max,rd}^{surf}$	Maximum retention capacity of the road surface reservoir	mm	0.5(+),(0)	3.0(*)	6.0 <del>(+)</del>	
SROOF	$W_{max,rf}^{surf}$	Maximum retention capacity of the roof surface reservoir	mm	0.25(^),(*)	1.5_(0)	3.0(0)	
IP	$I_p$	Parameter that describesing the sewer pipe the water-tightness of the sewer pipe	-	10-3	10-1	1	
IROAD	$I_{rd}$	Infiltration rate through the road	m <del>m</del> s <sup>-1</sup>	$10^{-9} \frac{(+),(^{\circ}),(\#),(*)}{}$	10-6	10 <sup>-5</sup> (+)	
CONN	$f_{con}$	Effective Fraction of impervious surfaces connected to the sewer network	-	0.5(-)	0.7(-)	0.9(0),(-)	
DRAIN	$D_*$	Deep drainage	%	0	5	10	

Table 3: All factors with their levels and assigned values according to the sensitivity analysis classification

Parameter	Level -1	Level +1
$I_{\overline{p}}$	<del>10</del> -3	1
I <sub>rd</sub>	<del>10-9</del>	10-4
$D_*$	0	<del>10</del>

Table 34: Statistical criteria  $(r, \alpha, \beta, KGE)$  based on the model output variables, as calculated between the MIN and MAX simulations, of each parameter and the reference simulation (REF)

Output variable	Simulation	<u>Parameter</u>	MIN criteria			MAX criteria				
			r	α	β	KGE	r	α	β	KGE
	SROOF	$W_{max,rf}^{surf}$	1.00	1.01	1.06	0.94	1.00	0.99	0.96	0.96
	SROAD	$W_{max,rd}^{surf}$	0.99	1.04	1.11	0.88	0.99	0.96	0.94	0.93
$Q_{town}^{tot}$	IROAD	$I_{rd}$	1.00	1.00	1.01	0.99	1.00	0.98	0.94	0.94
Clown	CONN	$f_{con}$	1.00	0.73	0.75	0.64	1.00	1.28	1.25	0.63
	IP	$I_p$	1.00	1.00	0.93	0.93	1.00	1.01	1.41	0.59
	DRAIN	D <sub>*</sub>	0.91	1.20	1.80	0.17	1.00	1.00	0.99	0.99

	SROOF	$W_{max,rf}^{surf}$	1.00	1.01	1.06	0.94	1.00	0.99	0.96	0.96
	SROAD	$W_{max,rd}^{surf}$	0.99	1.04	1.11	0.88	0.99	0.96	0.94	0.93
$Q_{sew}$	IROAD	$I_{rd}$	1.00	0.99	0.99	0.98	1.00	1.05	1.09	0.90
Csew	CONN	$f_{con}$	0.99	1.19	1.21	0.72	0.98	0.82	0.78	0.72
	IP	$I_p$	1.00	0.01	0.01	-0.40	0.99	7.43	7.0	-7.79
	DRAIN	$D_*$	0.78	20.51	11.47	-21.14	1.00	0.90	0.84	0.81

Table 45: Range of values foron each parameter tested for use in calibration

$I_p[-]$	0.09	0.3	0.6	1
$I_{rd}$ [mm s <sup>-1</sup> ]	10-8	10 <sup>-7</sup>	10 <sup>-6</sup>	10-5
D <sub>*</sub> [%]	1	2	3.5	5

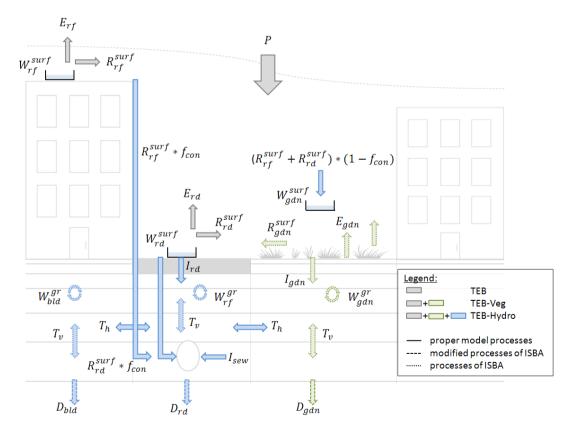


Figure 1: Schema Diagram of the hydrological processes involved in the TEB-Hydro model; subscripts rf and bld stand for building compartment, rd for road compartment, and gdn for garden compartment

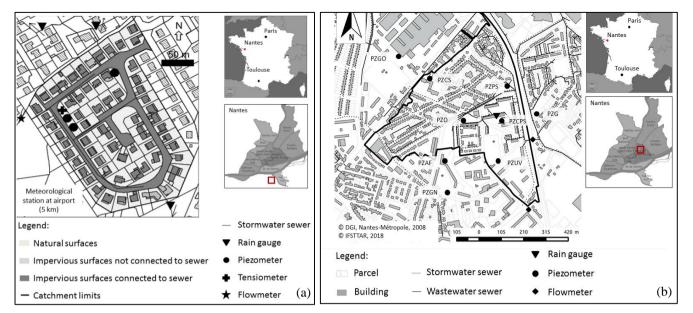


Figure 2: a) the <u>Rezé</u> experimental <u>Rezé</u> site (from Dupont, 2001); b) the <u>Pin Sec</u> experimental <u>Pin Sec</u> site. Maps to the right of the catchments indicate the location of Nantes (France) above and the Rezé and Pin Sec catchment locations (red square) in Nantes (middle)

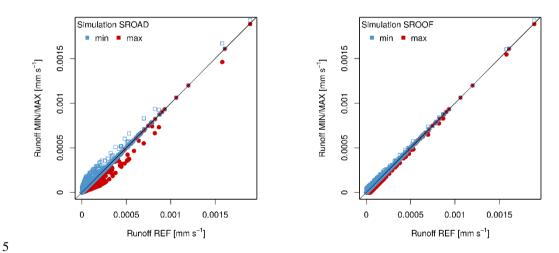
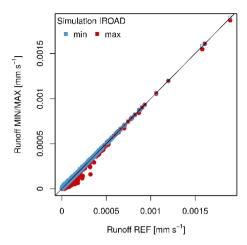


Figure 3: Comparison of urban  $\underline{total}$  runoff  $(R_{town})$  between the reference simulation (REF) and both the MIN simulation (shown in blue) and MAX simulation (red) for the parameters: a)  $W^{surf}_{max,rd}$  (left side), and b)  $W^{surf}_{max,rf}$  (right side).



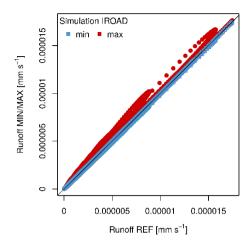


Figure 4: a) Comparison of urban total runoff  $(R_{town})$  between the reference simulation (REF) and both the MIN simulation (blue) and MAX simulation (red) for parameter  $I_{rd}$  on the left side; and b) comparison of the sewer runoff due to soil water infiltration  $(R_{sew})$  between the reference simulation (REF) and the MIN (blue) and MAX (red) simulations for parameter  $I_{rd}$  on the right side

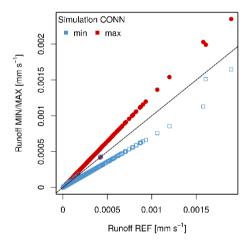


Figure 5: Comparison of total urban runoff ( $R_{town}$ ) between the reference simulation (REF) and the MIN (blue) and MAX (red) simulations for parameter  $f_{con}$ 

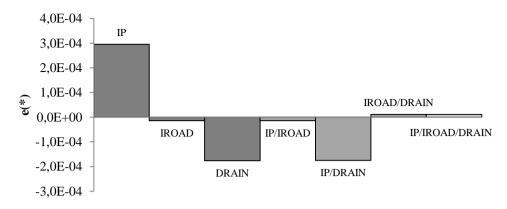


Figure 6: Calculated effects on the model response  $(R_{sew})$  of from parameters  $I_p$ ,  $I_{rd}$  and  $D_*$  and their interactions. (dark shade of grey: principal effects, middle grey: second-order effects, and light grey: third-order effects)

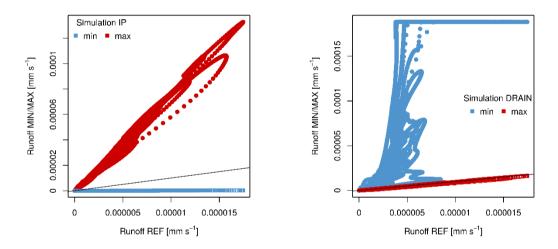


Figure 7: Comparison of sewer runoff due to soil water infiltration ( $R_{sew}$ ) between the reference simulation (REF) and the MIN (blue) and MAX (red) simulations for parameters a)  $I_p$  (left side), and b)  $D_*$  (right side)

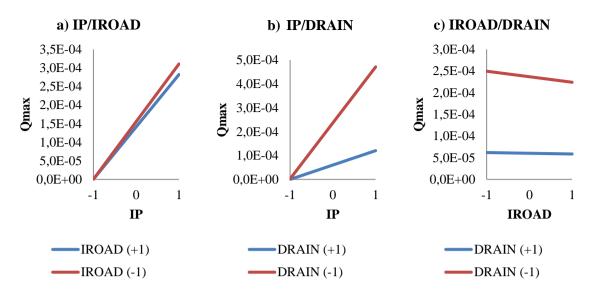


Figure 8: Interactions as a function of the maximum observed sewer runoff due to soil water infiltration during winterthe 1994-95 winter of the second order between parameters: a)  $I_p$  and  $I_{rd}$ ; b)  $I_p$  and  $I_{rd}$  and of the third order between parameters: c)  $I_p$ ,  $I_{rd}$  and  $I_r$ .

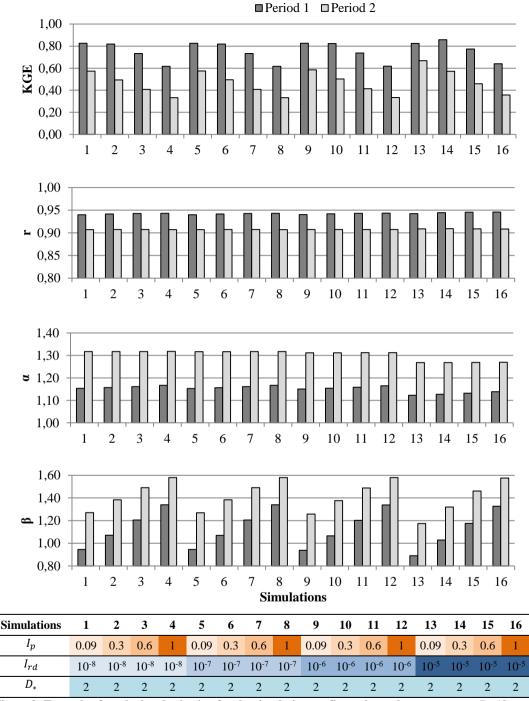
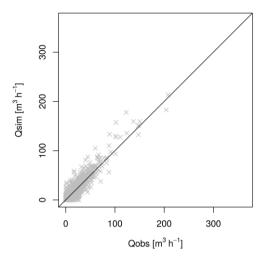


Figure 9: Example of a calculated criterion for the simulation configurations where parameter  $D_*$  (deep drainage) is limited to 2% and all other parameters allowed to vary. The KGE criterion, the correlation criterion (r), the variability criterion ( $\alpha$ ) and the bias ( $\beta$ ) for all 16 simulations and for the first (dark grey) and second (light grey) simulation periods are shown.



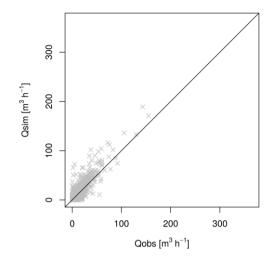


Figure 10: Comparison of simulated and observed total stormwater sewer discharge [lm-3 hs-1] during: a) the first simulation period from September 1993 to August 1995 (left side); and b) the second simulation period from September 1995 to August 1997 (right side) for Simulation 13 and a deep drainage limited to 2%

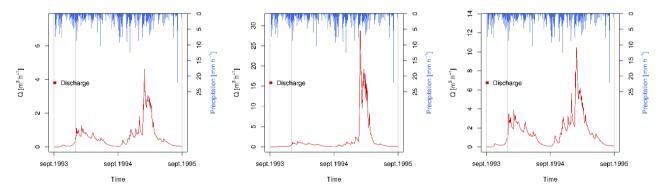


Figure 11: Simulated sewer discharge due to soil water infiltration  $[m^{-3} \ h^{-1}]$  during the first simulation period 1993-95 based on the combination of parameters  $I_p$  set at 0.09 and  $I_{rd}$  set at  $10^{-5}$  and: a)  $D_*$  limited to 2%, b)  $D_*$  limited to 1%, and c) based on the combination of parameters  $I_p$  set at 0.3, and  $I_{rd}$  set at  $10^{-5}$ , with a  $D_*$  value limited to 2%

Robert Sachs, Translator Le Bourg 71220 Sivignon France

July 18, 2018

To whomever it may concern,

I am writing to inform you, in my capacity as a professional translator and English language copy-editor, that I was contracted to provide proofreading services on the recently reviewed article, entitled: "Improvements to the hydrological processes of the Town Energy Balance Model (TEB-Veg, SURFEX v7.3) for urban modelling and impact assessment" (Xenia Stavropulos-Laffaille *et al.*). My role was to ensure that the manuscript submitted was free of language-related errors (including syntax and grammar) and of readable quality.

I personally handled this copy-editing assignment and remain available to discuss with you any language-related concerns you may have regarding the potential publication of this article.

Thank you for your attention.

Best regards,

Robert Sachs (robert.sachs@wanadoo.fr)