Assessment of the Finite VolumE Sea Ice Ocean Model (FESOM2.0), Part I: Description of selected key model elements and comparison to its predecessor version

4

7

1

5 Patrick Scholz¹, Dmitry Sidorenko¹, Ozgur Gurses¹, Sergey Danilov^{1,2}, Nikolay Koldunov^{1,3}, Qiang
6 Wang¹, Dmitry Sein^{1, 5}, Margarita Smolentseva¹, Natalja Rakowsky¹, Thomas Jung^{1,4}

8 ¹ Alfred Wegener Institute Helmholtz Center for Polar and Marine Research (AWI), Bremerhaven, Germany

9 ² Jacobs University Bremen, Department of Mathematics & Logistics, Bremen, Germany

10 ³ MARUM-Center for Marine Environmental Sciences, Bremen, Germany

⁴ University of Bremen, Department of Physics and Electrical Engineering, Bremen, Germany

12 ⁵ Shirshov Institute of Oceanology, Russian Academy of Science, Moscow, Russia

13 14

16

15 Correspondence to: Patrick Scholz (Patrick.Scholz@awi.de)

Abstract. The evaluation and model element description of the second version of the unstructured-mesh Finite-volumE 17 18 Sea ice-Ocean circulation Model (FESOM2.0) is presented. The new version of the model takes advantage of the 19 Finite-Volume approach, whereas its predecessor version, FESOM1.4 was based on the Finite-Element approach. The 20 model sensitivity to arbitrary Lagrangian Eulerian (ALE) linear and nonlinear free surface formulation, Gent 21 McWilliams eddy parameterisation, isoneutral Redi diffusion and different vertical mixing schemes is documented. The 22 hydrographic biases, large scale circulation, numerical performance and scalability of FESOM2.0 are compared with its 23 predecessor FESOM1.4. FESOM2.0 shows biases with a magnitude comparable to FESOM1.4 and simulates a more 24 realistic AMOC. Compared to its predecessor FESOM2.0 provides clearly defined fluxes and a three times higher 25 throughput in terms of simulated years per day (SYPD). It is thus the first mature global unstructured-mesh ocean 26 model with computational efficiency comparable to state-of-the-art structured-mesh ocean models. Other key elements 27 of the model and new development will be described in following-up papers.

28 **1 Introduction**

Ocean general circulation models that work on unstructured meshes were established in the coastal ocean modeling community a long time ago, offering the multi-resolution functionality without grid nesting techniques required by regular-grid models. Unstructured meshes provide an opportunity to increase spatial resolution in dynamically active regions to locally resolve small-scale processes (for example, mesoscale eddies) or geometric features instead of parameterizing their effects while keeping a coarse resolution elsewhere.

In recent years, unstructured-mesh models have become well-established tools to study the global ocean and climate. The Finite Element Sea Ice Ocean Model version 1.4 (FESOM1.4, Wang et al., 2014), the first mature global multiresolution unstructured-mesh model intended for simulating the global ocean general circulation for climate research, set a milestone in the development of this new generation ocean models. The success of FESOM1.4 was based on the experience gained with its predecessor versions (Danilov et al., 2004; Wang et al., 2008; Timmermann et al., 2009). The studies performed with FESOM1.4 proved the value of global multi-resolution unstructured meshes for simulating local ocean dynamics (Wang et al., 2016, 2018; Wekerle et al., 2017) and exploring their global effects (Rackow et al., 2016;

Scholz et al., 2014; Sein et al., 2018; Sidorenko et al., 2011, 2018) with acceptable computational costs. In the
meantime, other global unstructured-mesh models have emerged, with promising performance (Ringler et al., 2013;
Korn et al., 2017).

44 Although FESOM1.4 was optimized to have throughput (in terms of simulated years per day) comparable to structured-

45 grid models in massively parallel applications, it requires more than three times the computational resources (in terms

of CPU time per grid point per time step) of a typical ocean model using structured meshes (Biastoch et al., 2018). In
 recent years, with global mesoscale eddy resolving configurations becoming a focus of climate research, the limits of

48 FESOM1.4 set by its high demand of computational resources became more and more obvious (Sein et al., 2017, 2018).

49 This motivated the development of the new model version FESOM2.0 (Danilov et al., 2017).

50 FESOM2.0 builds on the framework of its predecessor FESOM1.4, using its sea-ice component FESIM (Danilov et al., 51 2015), general user interface and code structure. Both model versions work on unstructured triangular meshes, although 52 the horizontal location of quantities and vertical discretization are different. FESOM2.0 uses a B-Grid like horizontal 53 discretization, with scalar quantities are at triangle vertices and horizontal velocities at triangle centroids, while in 54 FESOM1.4 all quantities were located at the vertices. In the vertical, FESOM2.0 uses a prismatic discretization where 55 all the variables, except the vertical velocity, are located at mid-depth levels, while in FESOM1.4 each triangular prism 56 is split into three tetrahedral elements and variables are located at full depth levels. In addition, in FESOM2.0 the 57 interfaces for data input and output are further modularized and generalized to facilitate massively parallel applications.

58 The new numerical core of FESOM2.0 is based on the finite-volume method (Danilov et al., 2017). Its boost in 59 numerical efficiency comes largely from the more efficient data structure, that is, the use of two-dimensional storage for 60 three-dimensional variables. Due to the use of prismatic elements and vertical mesh alignment the horizontal 61 neighborhood pattern is preserved in the vertical (see Suppl. 4). In FESOM1.4, three-dimensional variables are stored as 62 one-dimensional arrays, which requires more fetching time. More importantly, the vertices of tetrahedral elements and 63 derivatives on these elements need to be assessed for each tetrahedron separately, thus resulting in lower model 64 efficiency. Other major advantages of using finite-volumes are the clearly defined fluxes through the faces of the 65 control volume and the availability of various transport algorithms, whose choice was very limited for the continuous 66 Galerkin linear discretization of FESOM1.4 (Danilov et al., 2017). Arbitrary Lagrangian Eulerian (ALE; Petersen et al., 67 2015; Ringler et al., 2013; White et al., 2008; Danilov et al., 2017) vertical coordinates became an essential part of the 68 numerical core of FESOM2.0. In principle, ALE allows a choice of different vertical discretizations such as 69 geopotential, terrain-following and hybrid coordinates as well as the usage of a linear free- or full free surface and

70 generalized vertical layer displacement within the same code.

71 After the release of FESOM2.0 (Danilov et al., 2017), substantial efforts have been invested into the improvement of 72 the model parameterizations, adding different options of numerical and physical schemes, assessing and tuning the

73 model using a few standard FESOM configurations. The model development efforts will continue in the future. This

74 paper is the first in a series of publications that documents part of the progress to date.

75 The motivation of the paper is twofold. First, we describe a number of key elements of the model that were added or 76 adjusted recently. We focus on the linear free and full free surface treatment, the effect of eddy stirring (Gent 77 McWilliams parameterization) and Redi diffusion, as well as the effect of different diapycnal mixing schemes on the

78 modeled ocean state. Second, a comparison between FESOM1.4 and the latest tuned version of FESOM2.0 is presented,

79 considering hydrography, meridional overturning circulation, scalability and mesh applicability. All simulations used to

- 80 describe model elements and compare the model versions are carried out on a relatively coarse reference mesh, while
- 81 the simulations for the scalability test are performed on a medium-sized mesh.
- 82 Our planned upcoming model development and assessment papers will deal with the following aspects: the influence of 83 horizontal and vertical advection schemes of different orders as well as the flux corrected transport (FCT) limiter on the 84 model performance and the simulated ocean state, the effect of split explicit-implicit vertical advection (Shchepetkin, 85 2015) in our model discretization, the effect of partial bottom cells and floating sea-ice, the implementation of CVMIX 86 and the new vertical mixing protocol IDEMIX (Olbers et al., 2017; Eden et al., 2017; Pollman et al., 2017), the 87 influence of different schemes for background diffusivities, tests of different surface forcing reanalysis data sets in 88 FESOM2.0 and their associated climatological biases, and the implementation of terrain following coordinates using 89 vanishing quasi sigma coordinates.
- 90

91 The paper is structured as follows: In Section 2 we will describe the mesh configurations used in the simulations. The 92 description of key model elements and comparison between two model versions are presented in Section 3 and 4, 93 respectively. A summary is given in Section 5.

94

95 **2 Model configurations**

96 For the general evaluation of FESOM2.0 and the comparison between FESOM1.4 and FESOM2.0 we use a relatively-97 coarse resolution reference mesh consisting of $\sim 0.13M$ surface vertices (Fig. 1 left). The mesh has a nominal resolution 98 (given by the mean side length of a triangle) of 1° in most parts of the global ocean, except north of 50°N where 99 resolution is set to ~ 25 km, and in the equatorial belt where resolution is increased to $1/3^{\circ}$. The resolution in the coastal 100 regions is also slightly increased. The mesh has 48 unevenly distributed layers, with a top layer of 5 m, increasing 101 stepwise to 250 m towards the bottom. The same mesh has already been used in a variety of studies carried out with 102 FESOM1.4, such as in the model intercomparison project of the Coordinated Ocean Ice Reference Experiment - Phase 103 II (CORE2), which proved that FESOM1.4 performs well compared to structured-mesh ocean models (see, e.g., Wang, 104 2016b, and other papers of the same virtual issue).

- The computational performance and scaling estimates of FESOM2.0 and FESOM1.4 in section 4 are conducted on a medium-size mesh (Fig. 1 right, 0.64 M surface vertices) that shares the same resolution with the reference mesh, except for the Arctic Ocean (including the Arctic gateways) and Bering Sea, where the resolution is refined to ~4.5 km and ~10 km, respectively. All model setups are initialised with the Polar Science Center Hydrographic winter Climatology (PHC3.0, updated from Steele et al., 2001) and forced by the CORE interannually varying atmospheric forcing fields (Large and Yeager, 2009) for the period 1948-2009.
- 111

112 3 Model elements: Options and sensitivity studies

113 **3.1 Linear-free and full-free surface formulation**

114 FESOM1.4 supports two options for the free surface formulation. One option is the linear free surface whereby the sea

surface height equation is solved assuming a fixed mesh for tracer and momentum and consequently tracers cannot be 115 116 diluted or concentrated by ocean volume changes. With this option, to account for the impact of surface freshwater 117 fluxes on salinity, a virtual salt flux is added to the salinity equation through the surface boundary condition. Although 118 the formulation of a virtual salt flux mimics the effects of surface freshwater flux on the surface salinity, it has the 119 potential to change local salinity with certain biases and affect model integrity on long time scales (Wang et al., 2014). 120 This leads to the fact that modern ocean climate models, like the ones used in Danabasoglu et al. (2014), tend to 121 abandon the fixed volume formulation in favor of a full free surface formalism. This option was also implemented in 122 FESOM1.4 but not widely used. The full free surface formulation in FESOM1.4 uses the arbitrary Lagrangian Eulerian 123 (ALE) framework in a finite-element sense where, due to costly updates of matrices and derivatives, only the surface

124 grid points are allowed to move (Wang et al., 2014).

7

125 The ALE vertical coordinate formulation is also used in FESOM2.0, but in a finite-volume sense (see Donea and 126 Huerta-Casas, 2003; Ringler et al. 2013; Adcroft and Hallberg, 2006; Danilov et al., 2017). It ensures a similar 127 functionality between FESOM1.4 and FESOM2.0 with respect to geopotential and terrain following coordinates and 128 linear and full free surface formulation. In FESOM2.0, the ALE formalism became an essential and elementary 129 integrated part of the numerical core, unlike in FESOM1.4 where it was only an additional feature to allow the surface 130 to move in the full free surface formulation. FESOM2.0 also offers the possibility to move all vertical layers, later 131 referred to as zstar (Adcroft and Campin, 2004), which becomes a more frequently used option, since the associated 132 computational cost in FESOM2.0 is strongly reduced compared to FESOM1.4.

The adaptations that are made to the numerical code of FESOM2.0 in the course of the ALE implementation are discussed in detail in Danilov et al. (2017). The main part of the ALE implementation is to introduce the thickness of model ocean layers as an additional 3D variable that is allowed to vary in space and time. Thus, the ALE approach in FESOM2.0 not only allows one to relatively easily implement different vertical discretizations by manually assigning different initial layer thicknesses, but also supports time-varying vertical grids, including the full nonlinear free surface and meshes following isopycnals. This means that the vertical grid can be fully Eulerian, fully Lagrangian or something in between (see also Petersen et al., 2015).

For the linear free surface (hereafter called linfs) option in FESOM2.0, the 3D layer thicknesses are fixed in time and the bottom to top volume of each vertical grid cell is kept constant during the simulation. This requires, like in FESOM1.4, the introduction of a virtual salinity flux as an additional surface boundary condition in the salinity equation to account for changes in salinity through surface freshwater fluxes (rain, evaporation, river runoff, freshwater fluxes from ice melting/freezing).

145 In the full nonlinear free surface option, the total water column thickness is allowed to vary over time following the 146 change in sea surface height (SSH). Fresh-water fluxes can be directly applied to the surface layer thicknesses of the 147 thickness equation, which then modifies the surface salinity by changing the volume of the upper grid cells. The ocean 148 heat content change associated with surface water fluxes is added to the ocean temperature equation as the surface 149 boundary condition. For the full free surface case in FESOM2.0 we distinguish between two options. The first one is 150 called zlevel, where only the thickness of the surface layer is varied following the change of SSH, while all other layers 151 are kept fixed (Adcroft and Campin, 2004; Petersen et al., 2015; Danilov et al., 2017). This is equivalent to the only full 152 free surface option available in FESOM1.4. The second option is zstar, where the total change in SSH is distributed 153 equally over all layers, except the layer that touches the bottom. This allows all layers above the bottom layer to move

vertically with time. In this case each layer only moves by a fraction of the total change of water column thickness.
With the zlevel option the upper layer thickness can be altered more than with the zstar case, so it is recommended to use zstar in the full free surface formulation for the sake of stability.

157

9

158 In order to understand the effect of the linear free surface and the two full free surface options on the simulated ocean 159 state, three model simulations were conducted using the linfs, zlevel and zstar configurations. Fig. 2 compares the 160 temperature anomalies of zlevel and zstar with respect to linfs (1st. and 2nd. column) and the temperature difference 161 between zlevel and zstar (3rd. column, zstar minus zlevel) over three different depth ranges. All presented model results 162 are averaged over the same time period 1998-2007 as in Danilov et al. (2017) to emphasize the improvements that have 163 been achieved and to keep the here presents results qualitatively comparable to the results shown there.

164 The overall patterns of temperature anomalies of zlevel and zstar with respect to linfs are very similar for all three depth 165 ranges, since the difference between zlevel and zstar is smaller by nearly one order of magnitude. Compared to linfs, 166 both zlevel and zstar show a strong cooling signal along the pathway of the North Atlantic Current (NAC), Irminger 167 Current (IC) as well as the Canary Current (CC) and Atlantic Northern Equatorial Current (NEC) that reach from the 168 surface to the depth range of 500-1000m. The surface and intermediate depth range shows positive temperature 169 anomalies in the center of the subtropical gyre, Greenland Iceland Norwegian Sea (GIN) and western Southern Ocean 170 (SO). The deep depth range is dominated by a cooling anomaly in the eastern North Atlantic. The direct comparison 171 between zlevel and zstar (Fig.2, third column) shows that the zstar in the surface and intermediate depth ranges is 172 around 0.2°C warmer along the path way of the NAC, CC and NEC but colder by up to -0.2°C in the GIN sea, Arctic 173 Ocean (AO), central North Atlantic (NA) and Northeastern Pacific. In the depth range of 500-1000m, zstar shows a 174 warming of up to 0.15°C in the central NA accompanied by colder anomalies along the pathway of the deep western 175 boundary current and AO. Overall, the temperature difference between the two full free surface cases is much smaller 176 than that caused by using the linear free surface.

177 Fig. 3 presents the same comparison as Fig. 2 but for salinity. The salinity of zlevel and zstar (Fig. 3, first and second 178 column) shows nearly the same anomalies with respect to linfs. Both, zlevel and zstar indicate a salinification of up to 179 0.2 psu in the surface depth range of the AO, while the intermediate and deep depth range show some freshening. All 180 considered depth ranges of the Labrador Sea (LS), Irminger Sea (IS), part of the eastern NA as well as the surface 181 depth range of the GIN sea show a freshening of up to -0.2 psu. The surface and intermediate depth range of zlevel and 182 zstar in the central NA, South Atlantic (SA) as well as parts of the SO show slight positive salinity anomalies with 183 respect to linfs. The direct comparison of the salinity between zlevel and zstar (zstar-zlevel, Fig. 3 third column) 184 indicates slight differences for the surface and intermediate depth range of the AO as well as central NA. The same as 185 for temperature, the difference in salinity between the two free surface options is much smaller than the difference 186 between any of these and the linear free surface option.

- In FESOM2.0 we tried two different ways of computing the mixed layer depth (MLD). One way follows the definition of Monterey and Levitus, (1997) who compute MLD as the depth at which the density over depth differs by 0.125 sigma units from the surface density (Griffies et al., 2009). This MLD definition was also supported in FESOM1.4 (hereafter referred as MLD1). The other way follows Large et al. (1997), who suggest to compute MLD as the shallowest depth where the vertical derivative of buoyancy is equal to a local critical buoyancy gradient (Griffies et al., 2009) (hereafter referred as MLD2). Both definitions reveal large MLD differences especially in the Southern Ocean.
 - 10

- 193 The first column in Fig. 4 shows the northern hemispheric March (upper row) and southern hemispheric September
- (lower row) mean MLD averaged over the period 1998-2007 in the linfs option. The main plots show the absolute and
- anomalous values of MLD1, while the small insets show the absolute values of MLD2. In the northern hemisphere theMarch MLD1 indicates mixed depths of up to 3400 m in the entire Labrador Sea together with a weaker MLD1 in parts
- 196 March MLD1 indicates mixed depths of up to 3400 m in the entire Labrador Sea together with a weaker MLD1 in parts197 of Irminger Sea and central GIN Sea, while MLD2 shows only a maximum of ~1600 m in the northwest Labrador Sea
- 198 with a weaker MLD of ~900 m in the Irminger Sea and ~450 m along the pathway of the Norwegian boundary current.
- **199** The southern hemispheric September MLD1 (linfs) shows high values for the entire Weddell Sea, while the MLD2
- 200 indicates no large values in the entire Southern Ocean.
- 201 The differences in MLD1 between zlevel and zstar with respect to linfs (Fig. 4, second and third columns) show almost 202 identical patterns for March and September, with a gain of March MLD in the eastern LS, western IS and central GIN 203 sea, accompanied by a reduction of MLD in the western GIN Sea. . The difference in September MLD1 between zlevel 204 and zstar with respect to linfs, shows a strong gain in the MLD for the entire eastern Weddell Sea (WS) with a slight 205 loss in MLD on its western side. The direct MLD comparison between zlevel and zstar (Fig. 4 fourth column, zstar 206 minus zlevel) reveals for March and September local heterogeneous anomaly pattern with a maximum amplitude of 207 ~300 m and with a tendency to a slightly increased zstar March MLD in the LS and IS as well as a reduced MLD in the 208 GIN sea, while the zstar September MLD reveals for the northern WS a general tendency to a gain in MLD, when 209 compared to zlevel. Inspecting the spread in MLD patterns from these simulations we conclude that (1) as a 210 consequence of different stratification strength the MLD map is sensitive to the way of how it is computed. The largest 211 discrepancies between two diagnostics used in this paper are in the SO. (2) Through altering the stratification, different 212 model options can affect various MLD diagnostics in different ways.
- 213

- 214 To demonstrate the effect of the linear free surface and full free surface on large scale ocean circulation, we show the 215 streamfunction of the meridional overturning circulation (MOC) for the global- (GMOC, upper row), Atlantic- (AMOC, 216 middle row) and Indo-Pacific Meridional Overturning Circulation (PMOC, lower row) in Fig. 5 for the three 217 simulations. The MOC contains the contribution from the Eulerian and eddy induced circulation (bolus velocity). All 218 three cases show similar shapes of the north Atlantic deep water (NADW) upper circulation cell as well as Antarctic 219 Bottom Water (AABW) cell of the GMOC, AMOC and PMOC, but slight differences in the their circulation strength. 220 For the GMOC, linfs obtains a stronger north Atlantic deep water (NADW) upper circulation cell with maximum 221 transport of ~16 Sv at ~40°N, while zlevel and zstar have a slightly weaker maximum transport of ~15 Sv at 40°N. The 222 GMOC AABW cell in linfs reveals north of 40°N a 0.2 Sv stronger transport and south of 0° an up to 2.0 Sv weaker 223 transport when compared to zlevel and zstar. The strength and structure of the southern ocean Deacon cell (Kuhlbrodt et 224 al., 2007) looks fairly the same for all three cases. All three simulations show no connection of the AABW cell to the 225 upper circumpolar deep water (UCDW).
- The NADW cell of the AMOC has a maximum strength of 15 Sv and 14 Sv for linfs and the two full free surface cases, respectively. For the AABW cell of the AMOC, the three simulations have similar strength and shape. The shape of the PMOC bottom cell is fairly the same for all three simulation. However, the PMOC in linfs shows an up to 1Sv weaker AABW south of 0° accompanied by a 0.3 Sv stronger PMOC north of 40°N. For all the three diagnosed meridional overturning circulation streamfunctions (GMOC, AMOC and PMOC), the two full-free surface cases show negligible difference.

Overall, the sensitivity tests indicate that the differences in ocean hydrography and circulation caused by using linear free surface and full free surface options are not negligible. However, the differences are less significant than those between different ocean models in the CORE-II model intercomparison project (e.g., Danabasoglu et al., 2014), and also less significant than the differences associated with tuning other model parameters as presented in the following subsections.

237

238 **3.2 Parameterizations of eddy stirring and mixing**

239 With the increase of computational resources the ocean modelling community aims at resolving the mesoscale eddies in 240 the ocean by increasing resolution of computational grids. As discussed in Hallberg (2013), the resolution of two grid 241 points per Rossby radius of deformation should be the target in the near future. Considering that the Rossby radius can 242 be as small as a few kilometer in high latitudes and even less than 1km in high-latitude shelf regions, the size of the 243 computational grid needed to resolve mesoscales globally is far larger than those which are currently employed in 244 climate models. Moreover, there are indications that in some regions the threshold of two grid points per Rossby radius 245 marks only the lower boundary of the desired grid resolution (Sein et al., 2017). Therefore, parameterizations for 246 mesoscales are still required in state-of-the-art ocean models. In this section we analyze how the Gent McWilliams 247 (GM) parameterization of eddy stirring (Gent and McWilliams, 1990; Gent et al., 1995) and the Redi isoneutral 248 diffusion (Redi, 1982) of tracers impact the simulated ocean state.

249 The implementation of GM in FESOM2.0 (see Danilov et al., 2017 for more detail) follows the algorithm proposed by 250 Ferrari et al. (2010). It operates with explicitly defined eddy-induced velocity, which is different from that employed in 251 FESOM1.4, where the skewness formulation of Griffies et al. (1998) is used. The scheme employed in FESOM2.0 252 allows for natural tapering through the vertical elliptic operator and does not require an extra diagnostic of eddy induced 253 velocities which are, in contrast to FESOM1.4, explicitly defined. All specifications applicable to the GM 254 parameterization in FESOM1.4 have been ported to FESOM2.0. In the default model configuration the thickness 255 diffusivity coefficient is scaled vertically (see Ferreira et al., 2005; Wang et al., 2014) and also varies with horizontal 256 resolution. The maximum thickness diffusivity is set to 2000 m²/s and is gradually switched off starting from a 257 resolution of 40 km until 30 km using a linear function. The Redi isoneutral diffusion is set equal to the thickness 258 diffusivity following the tuning experience gained with FESOM1.4. In order to verify the related model code and 259 understand the effects of the GM and isoneutral diffusion parameterizations newly implemented in FESOM2.0, we 260 conducted four experiments where we sequentially switch these parameterisations on and off.

261

262 **3.2.1 Changes in hydrography**

In the reference simulation we applied both the GM and Redi diffusion parameterizations. Then three sensitivity simulations were carried out: In the first one we set the Redi diffusivity to zero, in the second we zeroed the GM stirring coefficient, and in the third one we switched off both parameterizations. The simulated temperature and salinity biases for the reference run and the differences between sensitivity and the reference simulations are shown in Fig. 6 and Fig. 7. Without Redi diffusivity, the modification of T and S within the same density classes can only be realised via the vertical turbulent closure or through the spurious mixing of the advection scheme (there is no explicit horizontal

269 diffusion in FESOM2.0). In this case there is no consistent way for the model to mix the water properties along 270 isopycnals. Hence it is not surprising that the absence of isoneutral mixing results in the overall fresher upper ocean in 271 response to reduced mixing of salt between the deep and upper oceans. It is particularly visible in patterns of horizontal 272 anomaly in the Subpolar North Atlantic (SNA) and in the vicinity of the convection zones. In the Southern Ocean (SO) 273 the change in position of the isopycnal slope is visualised in Fig. 8 via the meridional salinity section across 30°W as 274 practiced in previous climate studies (see eg. Armour et al., 2016). Although the slope of the Antarctic Intermediate 275 Water (AAIW) in the SO is predominantly determined by the interplay between Ekman pumping and eddy transport, 276 isoneutral diffusion shows pronounced impacts on the representation of water mass distribution. Without isoneutal 277 diffusion the subsurface AAIW becomes more saline while excessive freshwater accumulates within the upper 500 m. 278 The increased presence of the freshwater in the upper ocean strengthens the halocline and prevents the deep water 279 production. Indeed, the corresponding reduction of mixed layer depth (MLD) is shown in Fig. 9. Opposite to the upper 280 ocean, except in the SNA, the deep ocean shows the overall increase in salinity simply as a consequence of the total salt 281 conservation in these experiments (Fig. 7). As one might expect, the corresponding temperature change in the deep 282 ocean in terms of buoyancy is opposite to that in salinity.

283

15

284 In the experiment without the GM parameterization, the isopycnal slope induced by the winds along the main oceanic 285 fronts increases until it becomes unphysically balanced by processes like diffusion and numerical mixing. In the 286 absence of bolus overturning, the Decon Cell circulation in the SO is strengthened in this experiment, with stronger 287 downwelling on the northern side of the Antarctic Circumpolar Current (ACC) and stronger upwelling on the southern 288 side (see section 3.2.2). As a consequence, the temperature and salinity show negative and positive anomalies on the 289 northern and southern sides of the ACC, respectively. Although sharper isopycnal slopes are expected to support deep 290 convection, the MLD in this experiment did not change much as compared to the reference configuration (see Fig. 9). 291 Indeed, in contrast to the no-Redi experiment, the simulated slope of the AAIW isohalines in the SO becomes 292 unrealistically steep. As a result the surface freshwater penetrates along steep isopycnals to a deeper depth than in the 293 reference experiment. We conclude that a delicate interplay between GM and Redi parameterizations is required in 294 order to properly simulate the hydrographic properties in the global ocean using non eddy revolving numerical grids.

295

296 **3.2.2 Changes in thermohaline circulation**

The influence of GM and Redi parameterizations on the thermohaline circulation is illustrated by the MOC (Fig. 10). In runs without GM it is computed using only Eulerian velocities. In runs using GM, MOC contains both the Eulerian and eddy-induced velocities. The latter ones are also shown separately in Suppl. 1. For the reference run the MOC streamfunction is plotted in the upper panel of Fig. 10. The upper cell originates primarily from the Atlantic Ocean with the maximum located at ~1000 m depth. The maximum value is ~15 Sv at 40°N. The bottom cell for the AABW is contributed from both Atlantic and Pacific oceans and is also well reproduced with the maximum strength of ~5 Sv.

The run with Redi diffusivity set to zero and GM on is distinguished by the smallest AMOC among the sensitivity experiments. In contrast, the run without GM is characterised by the largest AMOC. This is also expected since without GM the isopycnal slopes become steeper and induce stronger boundary currents accompanied by stronger return flows at depths. The behavior aligns with findings by Marshall et al. (2017): the bottom cell in the Atlantic Ocean, which 307 indicates the spread of the AABW, is larger in runs with GM. Interestingly, the bottom MOC cell for the global ocean is 308 increased in all sensitivity experiments compared to the reference run. As shown by Fig. 10 this is primarily due to the 309 contribution from the Pacific Ocean. Furthermore it shows an extremum at ~40°N which is absent in the reference 310 simulation.

311 3.3 Diapycnal Mixing

312 Mixing across density surfaces is an essential part of the thermohaline circulation. It can control not only the circulation 313 and heat budget of the global ocean, but also the distribution of nutrients and biological agents in the ocean (Wunsch 314 and Ferrari, 2004; De Lavergne et al., 2016). Therefore, a proper representation of diapycnal mixing in ocean models is 315 essential. Mixing processes are not resolved in ocean models and have to be parameterized. Current climate models are 316 often utilized with the Pacanowski and Philander (1981, hereafter as PP) or the K-Profile Parameterization (KPP, Large 317 et al., 1994) vertical mixing schemes, depending on the physical complexity they address. Both mixing schemes are 318 implemented in FESOM2.0. During the tuning and parameter testing phase, and based on our experience with 319 FESOM1.4, we slightly modified both mixing schemes compared to the original implementation of Pacanowski and 320 Philander (1981) and Large et al., (1994), by adjusting the background vertical diffusivity and adding vertical mixing 321 depending on the diagnostically computed Monin–Obukhov length, to overcome certain biases especially in the Arctic 322 region and Southern Ocean.

323

17

The PP scheme used in FESOM2.0 computes the subgrid-scale turbulent vertical kinematic flux of tracer and momentum via the local Richardson number (Ri). The vertical background viscosity for momentum is set to 10^{-4} m²/s. For potential temperature and salinity we deviate from the standard PP implementation and use a non constant, depth and latitude dependent background diffusivity with values between 10^{-4} m²/s and 10^{-6} m²/s (see Suppl. 3). The original PP scheme, as well as the PP scheme used in FESOM1.4 used here a constant background diffusivity. For the convection case (Ri < 0), vertical diffusivity and viscosity are set to 0.1 m²/s in order to remove static instability to ensure stable density profiles.

The original PP scheme is further augmented by the mixing scheme proposed by Timmermann et al. (2003). In this scheme, the vertical mixing within the diagnostically computed Monin–Obukhov length, which depends on surface friction velocity, the sea ice drift velocity and surface buoyancy flux, is increased to a value of 0.01 m²/s to further stir the seasonal varying wind-mixed layer depth. This strongly reduced the hydrography biases, especially in the Southern Ocean (not shown).

336

337 In contrast to the PP scheme, the KPP scheme explicitly calculates diffusivity throughout the boundary layer and 338 provides a smooth transition to the interior diffusivity. Within the boundary layer, scalar fields (temperature and 339 salinity) obtain a countergradient transport term provided that the net surface buoyancy forcing flux is unstable. In the 340 current version of FESOM2.0, the background diffusivity in KPP uses the same non-constant latitude and depth 341 dependent background diffusivities as in PP. Maximum diffusivity and viscosity due to shear instability are set to be 342 $5.0*10^{-2}$ and $5.0*10^{-3}$, respectively. The magnitude of the tracer diffusivities is reduced one order of magnitude between 343 the equatorial belt of 5° S and 5° N following the observations of Gregg et al. (2003). Also the KPP scheme is 344 augmented by the same mixing scheme proposed by Timmermann et al. (2003) and that is used in PP.

- 19
- 345

346 In order to show the sensitivity to the choice of the vertical mixing schemes, two simulations with different vertical 347 mixing schemes are conducted. The depth-integrated model biases of the surface, mid-ocean and deep-ocean are shown 348 for temperature and salinity in Fig. 11 and Fig. 12, respectively. Compared to WOA05, the KPP simulation generally 349 overestimates ocean temperatures in the surface layers in the Kuroshio region, equatorial belt, Indian Ocean and 350 Southern Ocean, and underestimates them in the subtropics and North Atlantic subpolar gyre region. In the mid- and 351 deep ocean, temperature is generally overestimated, except for the ACC and the North Atlantic.

352 Differences between PP and KPP experiments are very small in the open ocean, compared to the model bias with 353 respect to WOA05. The largest differences in the surface layers occur in the equatorial Pacific, where temperature 354 simulated with PP is colder than in the case of KPP. In the deep ocean, temperature is generally warmer in PP than in 355 the KPP experiment. The relatively small differences between the two experiments might be related to the fact that the 356 same background diffusivity and the same Monin-Obukhov length scale are applied. The salinity bias in different depth 357 ranges is shown in Fig. 12. Notably, KPP and PP simulate similar departures from WOA05, particularly large in the 358 surface waters of the Arctic Ocean and North Atlantic. Both experiments show much lower salinities than the 359 climatology. The deep-ocean salinity bias might be caused by the wrong characteristics of Mediterranean plume 360 entering into the Atlantic Ocean. Using the PP scheme in simulations leads to smaller salinity biases in the surface 361 layers in the subpolar gyre region. Besides, in the mid-depth, KPP simulated a saltier tropical Atlantic compared to PP.

362 The KPP and PP vertical mixing schemes, in their current implementation, reproduce a very similar ocean state, where 363 PP is slightly better in modelling the upper ocean until 500 m while KPP is slightly better in modelling the deeper ocean 364 >500 m. In coupled climate model simulations, the KPP scheme was found to cause stronger open-ocean convection 365 that leads to a stronger and stable AMOC compared to the PP scheme (Gutjahr et al., 2018). Our ocean-alone 366 simulations show (Fig. 13) that KPP favours increased northern hemispheric March MLD values in the south-eastern 367 LS, in the pathway of the West-Greenland current and Labrador current, in the southern GIN sea as well as deepen 368 southern hemispheric September MLD values in the WS. In contrast, PP shows increased March MLD for the entire 369 Irminger Sea and northern GIN sea. Both mixing schemes have relatively small difference in the AMOC strength (see 370 Suppl. 2). This implies that the interaction between the ocean and active atmosphere might exaggerate the effect of 371 different mixing schemes. The assessment of vertical mixing schemes in FESOM2.0 coupled model simulations will be 372 carried out in the course of our coupled model development.

373 4 Comparison between FESOM1.4 and FESOM2.0

4.1 Differences in hydrography and thermohaline circulation

The purpose of this section is to show that FESOM2.0 has evolved to a point where it is able to reproduce a realistic ocean state that is comparable to its predecessor FESOM1.4. For this purpose we run both model versions in the linfs configuration using the coarse reference mesh and CORE-II atmospheric forcing. This configuration is used here because it was employed for the systematic assessment of FESOM1.4 in the CORE-II model intercomparison project. Although we use the same 2D mesh and vertical discretization in both models, it should be kept in mind that FESOM2.0 uses prismatic elements while FESOM1.4 uses tetrahedral elements, and the numerical cores and the implementation of eddy parameterizations are different.

- 21
- 382

383 Fig. 14 shows the biases of the modeled ocean temperature with FESOM2.0 and FESOM1.4 in three different depth 384 ranges averaged for the period 1998-2007 and referenced to the WOA05 climatology. FESOM2.0 shows for the surface 385 depth range a stronger warm bias in the area of the East and West Greenland current and Labrador current, together 386 with a reduced North Atlantic cold bias. The cold bias in the eastern Pacific is particularly stronger in FESOM1.4. In 387 addition, the surface depth range in FESOM2.0 features a slightly warmer equatorial ocean, North Pacific and Indian 388 Ocean than FESOM1.4, while the situation in the Southern Ocean is reversed. The intermediate depth range simulated 389 with FESOM2.0 shows in general higher warm biases in the northern and southern Pacific, Indian Ocean and in the 390 region of the Kuroshio Current, while the intermediate depth range simulated with FESOM1.4 is dominated by a cool 391 bias for the tropical and subtropical Pacific and North Atlantic. The depth range of 500-1000 m contains for FESOM2.0 392 a general warming bias except for the Southern Ocean and the North Atlantic. The deep depth range of FESOM1.4 is 393 dominated by a particularly stronger cold bias for the North Atlantic and Indian Ocean, while the biases in the Pacific 394 and Arctic Ocean seem to be smaller.

The salinity biases in the simulations are shown in Fig. 15. Both models indicate a freshening bias for the Arctic Ocean through all considered depth ranges, with the bias in FESOM2.0 being slightly stronger. Both models show quite similar bias patterns for the rest of the global ocean, where the saline biases are more pronounced in FESOM2.0, while the fresh biases are stronger in FESOM1.4.

- The northern hemispheric March and southern hemispheric September mean MLD (Monterey and Levitus, 1997) shown in Fig. 16 simulated with FESOM2.0 and FESOM1.4 reveal that FESOM2.0 tends to produce higher and spatially more extended March MLD values in the Labrador Sea and Irminger Sea but also in the GIN Sea. On the southern hemisphere the difference is even more pronounced, here only FESOM2.0 produces significant MLD values in the Weddell Sea, while FESOM1.4 shows almost no MLD activity.
- The streamfunctions of the meridional overturning circulation simulated with FESOM2.0 and FESOM1.4 are shown in Fig. 17, globally (upper row), for the Atlantic (middle row) and for the Indo-Pacific region (lower row). It is shown that globally FESOM2.0 tends to produce less AABW with a strength of up to ~5 Sv, compared to FESOM1.4 with a strength of up to 10 Sv, which is at the upper boundary of acceptable values shown by other ocean models (Griffies et al., 2009; Danabasoglu et al., 2019). The FESOM2.0 simulation indicates a stronger northward extent of the AABW cell until ~60°N. The upper AMOC cell, which represents the formation of NADW is clearly stronger in the FESOM2.0 model simulation, with a strength of 15 Sv compared to 10 Sv in FESOM1.4.
- 411 The salinity sections at -30°W from -80°S to 40°N averaged for the period 1998-2007 (Fig. 18) show that both models 412 are good at reproducing the low salinity tongue of AAIW that spreads northward. In FESOM2.0 the AAIW reaches 413 slightly less far north than in FESOM1.4, which also does not reach the northward extend of AAIW that the WOA05 414 data let suggest. FESOM2.0 reveals a weaker surface stratification south of -60°S than FESOM1.4. The salinity values 415 below 1000m depth and south of -50°S in the FESOM2.0 simulation are lower than in FESOM1.4, implying stronger
- 416 influence from the fresh Antarctic Shelf Water.
- 417 In summary, one can say that FESOM2.0 and FESOM1.4 simulate the ocean with a comparable magnitude in the
- 418 hydroghraphic biases, although FESOM2.0 tends to have warmer biases, while FESOM1.4 fields are dominated by
- 419 colder biases. Nevertheless, it should be kept in mind that FESOM1.4 was optimized, improved and tuned over a period
- 420 of ten years while with FESOM2.0 this process is just at the beginning.

422 **4.2 Scaling and Performance**

423 Both model versions, FESOM2.0 and FEOSM1.4 are written in Fortran 90 with some C/C++ snippets for the binding of 424 third party libraries. The code of both model versions uses a distributed memory parallelization based on the Message 425 Passing Interface (MPI). One of the main differences between FESOM2.0 and FESOM1.4, besides their finite-volume 426 and finite-element numerical cores, is the treatment of 3D variables. FESOM1.4 works with 3D tetrahedral elements. 427 Their vertices are not defined by surface vertices, which requires full 3D lookup tables to address the fields on 428 tetrahedra and 3D auxiliary arrays for computations of derivatives. FESOM2.0, on the other hand, performs 429 computations in 3D on prismatic elements, which preserve their horizontal connectivity over depth (see Suppl. 4). In 430 this case 2D lookup tables are used, which boosts the performance of the model. All simulations shown here were 431 carried out on a Cray CS400 system with 308 compute nodes, where each compute node is equipped with 2x Intel Xeon 432 Broadwell 18-Core CPUs with 64GB RAM (DDR4 2400MHz), provided by the Alfred Wegener Institute Helmholtz 433 Centre for Polar and Marine Research. The performance of both model versions on this machine running for one 434 simulated year were tested for a different number of cores and shown in Fig. 19.

435 For the scalability tests a medium-sized mesh configuration was chosen (see Fig. 1 right), which was already used in 436 previous publications, with 638387 surface vertices and a minimal resolution of 4.5 km in the Arctic (Wang et al., 437 2018). The performance results were obtained by using the nonlinear free surface mode, GM and Redi parameterisation 438 and the KPP vertical mixing and taking into account only the time the models require to solve the ocean and sea ice 439 components, disregarding input/output and the initialization phase (setting up arrays, reading the mesh etc.). Both model 440 versions show a parallel total scalability until at least 2304 cores, beyond that FESOM2.0 starts to saturate, while 441 FESOM1.4 still reveals linear scalability at least until 4608 cores. The reduction in scalability of FESOM2.0 is partly 442 caused by the sea ice component due to an extensive communication in the elastic-viscous-plastic sea ice solver of 443 FESIM (Danilov et al., 2015). The other source of lacking scalability is the solver for the external mode in the ocean 444 component. We use pARMS- parallel Algebraic Recursive Multilevel Solver (Li et al., 2003) to iteratively solve for the 445 elevation, which loses scalability towards large number of cores (not shown). This issue will be addressed in a separate 446 publication. Since the 3D part of FESOM2.0 is much faster than that of FESOM1.4, the scalability of FESOM2.0 shows 447 earlier saturation, which is limited by 2D parts in both codes. A general rule of thumb, that holds across a variety of 448 meshes and High Performance Computers (HPC), is that FESOM2.0 scales linearly until around 400 to 300 vertices 449 per core, below that the scalability starts to slowly deviate from the linear behavior (Koldunov et al., 2019).

450 Using the low resolution reference mesh (127000 surface vertices, Fig. 1 left), on 432 cores of the aforementioned 451 machine, neglecting the time for input and output, using a time step of 45 minutes, FESOM1.4 reaches a throughput of 452 62 simulated years per day (SYPD), spending 91.9% and 8.1% in the ocean- and ice step, respectively. Running the 453 model on the same mesh, with the same computer resources and time step with FESOM2.0, a throughput of 191 SYPD 454 is reached, with the model spending 74.7% and 25.3% of its runtime in the ocean- and ice step, respectively. In the 455 ocean step, 16.4% and 23.4% of the time is used for the dynamical calculation of u, v, w and ssh, respectively, 39.4% of 456 the ocean step runtime is used to solve the equations for the temperature and salinity. The implementation of GM 457 following Ferrari et al. (2010) and Redi diffusion accounts for 3.9% of the ocean step runtime. With the medium-sized 458 mesh configuration (638387 surface vertices, Fig. 1 right) used for the scalability tests, running on 2304 cores with a

- 25
- 459 time steps of 15 minutes, FESOM1.4 and FESOM2.0 reach a throughput of 20 SYPD and 59 SYPD, respectively.
- 460 The numbers given in this section should only serve as a guideline for the performance of FESOM2.0, the details can
- 461 vary depending on the machine that is used, the frequency of writing the output, the type of advection schemes, the type
- 462 of mixing schemes and the number of subcycles used in the elastic-viscous-plastic sea ice solver. Nevertheless, a
- 463 realistic performance estimate for FESOM2.0 is a speedup by a factor of 2.8 to 3.4 compared to FESOM1.4, depending
- 464 on the aforementioned factors.

465 **4.3 Meshes used**

466 In the recent years, as FESOM1.4 had matured from its early days, a large amount of FESOM-based studies had been 467 carried out, covering a wide range of application and scientific questions, using a large number of very different mesh 468 configurations. Fig. 20 gives a schematic of only a small collection of surface unstructured meshes from studies already 469 published or in progress.

- 470 The range of available meshes shown in Fig. 20 starts at rather small mesh sizes with less than 250K surface vertices. 471 For comparison we mention that a conventional 0.25 (0.5) degree quadrilateral mesh contains about 1M (250K) of wet 472 vertices. These small meshes are used especially for testing and tuning purposes but also for long fully coupled present-473 day and scenario climate studies (Sidorenko et al. 2014, 2018; Rackow et al. 2018; Wang et al., 2014; Sein et al., 2018; 474 Wang et al., 2019a) and paleo applications (Shi et al., 2016) with AWI-CM. Using the coarse reference mesh 475 configuration (~127K surface vertices, also shown in Fig. 1 left) it has been shown that FESOM1.4 performs as well as 476 a variety of coarse structured mesh ocean models, in terms of modeled general ocean circulation (e.g Danabasoglu et 477 al., 2016; Wang et al., 2016a, 2016b). The range of medium-sized meshes between 500K until 2000K surface vertices, 478 includes the meshes with either globally increased resolution to a higher extent or locally strongly refined key regions 479 of interest (Wang et al., 2016, 2018a,b, 2019b; Wekerle et al. 2017; Sein et al. 2016, 2018). Using FESOM1.4 it was 480 shown that this class of meshes are well suited for ocean only simulations, as well as for fully coupled model 481 simulations, which, however, require sufficiently large amounts of computational resources. Using FESOM1.4 Wekerle 482 et al. (2017) and Wang et al. (2018a) have shown that by homogeneously increasing the resolution in the Arctic Ocean 483 to 4.5 km (the mesh with ~640K surface vertices in Fig. 20 and Fig. 1 right) the representation of Atlantic water in the 484 Nordic Sea and the Arctic Basin can be significantly improved by only moderately increasing the computational costs. 485 In Sein et al. (2016), FESOM1.4 was used to show that a mesh configuration with increased resolution in dynamically 486 active regions (the mesh with ~1.31M surface vertices in Fig. 20, minimum resolution 10km), determined by observed 487 high sea surface height variability, can significantly improve simulated ocean variability and hydrography with respect 488 to observations.
- 489 In order to appropriately simulate mesoscale eddies, the Rossby deformation radius needs to be resolved with several 490 grid points (Hallberg, 2013). Sein et al. (2017) introduced a mesh, where the Rossby radius is resolved by two grid cells 491 with the minimum resolution set to 4 km in the northern hemisphere and 7 km in the southern hemisphere (the mesh 492 with ~5.01M surface vertices Fig. 20). Another mesh of similar size with a global homogeneous resolution of $1/10^{\circ}$ 493 adapted from the MPIOM STORM configuration (von Storch et al., 2012) (~5.58M surface vertices in Fig. 20) by 494 splitting quads into triangles was also tested. While FESOM1.4 can still be used in these cases, it requires >7000 cores 495 to reach a throughput of 1.5 SYPD. It became obvious that at around 5M to 6M surface nodes FESOM1.4 reaches its 496 practical limit in terms of routinely available computational resources. However, the increased computational

27 497 performance of FESOM2.0 with three times the throughput of FESOM1.4 allows us to use larger meshes to address 498 new research questions. Fig. 20 shows two upcoming very large meshes (>6M surface vertices) created for FESOM2.0 499 that were already used in test simulations. One of them focuses on the Arctic Ocean. Since the Rossby deformation 500 radius is latitude dependent, it becomes very small in polar regions, which makes mesoscale resolving simulations for 501 those regions a challenging task. This configuration consists of ~11.83M surface vertices, featuring a background 502 resolution of \sim 1°, a latitudinally increasing resolution for the entire Atlantic varying from 0.5° to 1/15° between -20°S 503 and 75°N, and a mesoscale and partially sub-mesoscale eddy resolving resolution of 1 km for the entire Arctic Ocean. 504 The other mesh configuration consists of ~23.18M surface vertices and resolves the Rossby deformation radius with 505 four grid cells on a global scale with a cutoff resolution of 2 km for the northern and southern hemisphere. 506 The upcoming version of AWI-CM using FESOM2.0 will allow us to also expand the mesh applicability for long

507 climate simulations from small-sized towards medium and large-sized mesh configurations.

508

509 **5 Discussion and Conclusions**

510 Currently FESOM2.0 possesses all the features available in FESOM1.4 and offers more flexibility which results mainly 511 from the ALE implementation of the vertical coordinate in the new model version. Although many features are common 512 between the two versions, applying the same surface forcing and initial conditions leads to certain difference in 513 modelled ocean states. These differences result in part from the slightly different implementation of parameterisation 514 schemes and consequently the different set of tuning parameters. This includes the implementation of GM after Ferrari 515 et al. 2010 (i.e. solving a boundary-value problem on eddy-induced transport streamfunction) in FESOM2.0 and after 516 Griffies et al. (1998) (i.e. using the skew flux formulation for eddy-induced transport) in FESOM1.4. Part of the 517 differences can also originate from the implicit numerical mixing associated with different numerics in the two versions 518 of the model. The analysis of the numerical mixing in FESOM2.0 associated with advection schemes will be described 519 in another paper.

- 520 The comparison between FESOM1.4 and FESOM2.0 in terms of hydrography proved that FESOM2.0 is at a stage 521 where it is ready to replace FESOM1.4. Both model versions show a similar magnitude of the biases in temperature and 522 salinity. There are spatial differences, however, especially in the Pacific and Indian Ocean, which can be attributed to 523 general differences in the numerical cores as well as different implementation of schemes like the GM parameterisation. 524 The meridional overturning between FESOM1.4 and FESOM2.0 reveals some obvious differences, especially in the 525 case of the AMOC. Here FESOM2.0 simulates a significantly stronger upper AMOC cell, with a strength of ~15 Sv, 526 while FESOM1.4 is known to simulate a weaker upper AMOC cell (Sidorenko et al., 2011), with a strength of ~10 Sv, 527 which is at the lower range of acceptable values simulated by other ocean models (Griffith et al., 2009). Observational 528 AMOC estimates suggest an AMOC strength of ~17.5 Sv at 26°N (Smeed et al., 2014; McCarthy et al., 2015), which is 529 much closer to the simulated value of FESOM2.0.
- 530 It is worth mentioning that the analysis of transports is significantly simplified in FESOM2.0 as compared to that in 531 FESOM1.4. In the continuous finite element discretisation of FESOM1.4 the interpretation of fluxes is ambiguous since 532 the model equations are discretized in a weak sense through weighting with some test functions. This makes it difficult 533 to perform the analysis of overturning circulation or even the volume fluxes from the computed velocities without the
- 534 usage of additional techniques for the proper flux interpretation (see eg. Sidorenko et al., 2009). In FESOM2.0 the

535 model fluxes are explicitly defined and their interpretation is straightforward.

536 FESOM1.4 had a throughput that is around three times lower compared to regular grid models of similar complexity.

537 With the three fold increase in computational performance of FESOM2.0, we are now able to offer for the first time an

unstructured-mesh model that is able to run as fast as or even faster than regular-mesh models. For example, Prims et al.

- 539 (2018) show that the state-of-the-art NEMO model in a ¹/₄ degree configuration is able to obtain around 3 SYPD using
- 540 512 cores; however, scalability is already lost when going to a higher number of cores. Using the same number of cores
- on the aforementioned maschine, with a mesh that has a resolution of ¹/₄ degree (the mesh with ~910K surface vertices
- in Fig. 20), FESOM2.0 reaches a throughput of more than 5 SYPD.
- 543 FESOM2.0 can reach such a high throughput because the unstructuredness of its meshes is confined to the horizontal 544 direction, while the vertical direction is structured and prismatic elements are used. In this case, look-up tables and the 545 corresponding auxiliary arrays are only two dimensional and need to be accessed just once and can than be used over 546 the entire water column. This makes the cost of accessing them rather low compared to FESOM1.4. We suspect that 547 unstructured-mesh models also benefit from the fact that only wet nodes are accessed, which could partly explain why 548 FESOM2.0 outperforms some models using structured meshes.
- 549

550 Development of FESOM2 will continue during the next few years. The external vertical mixing library CVMIX will be 551 added into FESOM2.0 and tested, including the new energy consistent vertical mixing parameterization IDEMIX 552 (Olbers et al., 2017; Eden et al., 2017; Pollman et al., 2017). The development of the new coupled system AWI-CM 553 using FESOM2.0 is finished in support for a variety of climate scale applications with time frames from paleo to future 554 scenarios as required by the climate research community. The final tuning for the new AWI-CM is underway. The 555 development team also works on new higher order advection schemes for tracer and momentum. Although for the 556 moment only the usage of the linear free surface and full free surface option are implemented in the code with the ALE 557 approach, the implementation of terrain-following and hybrid coordinates will follow.

558 Despite the existing remarkable computational performance of FESOM2.0, there is still potential for future 559 improvements by tackling performance bottlenecks, such as, by calling the sea ice step just every second or other ocean 560 step, which could help to delay scalability saturation in the sea ice component due to the EVP subcycling, as well as to 561 explore the use of subcycling for the sea surface height solver. However, these potential performance improvements 562 will be explored in a separate publication. Further improvements may inlude the use of hybrid meshes composed of 563 triangles and quads (Danilov et al., 2014), which could reduce the number of edge cycles and further speed up the code 564 performance.

565

566 This paper is the first in a series of papers to document the development and assessment of important key components 567 of FESOM2.0 in realistic global model configurations. We described the implementation and associated simulation 568 biases of some simple ALE options, that is, the linear free and full free surface formulations. Furthermore, we discussed 569 the effect of GM parameterization, isoneutral Redi diffusion and KPP versus PP vertical mixing schemes. In particular, 570 the relative roles of the GM and Redi diffusion parameterizations are assessed. The manuscript also shows that the 571 results of FESOM2.0 compare well to FESOM1.4 in terms of model biases and ocean circulation, but with a remarkable 572 performance speedup by a factor of three mainly due to its superior data structure. In addition, FESOM2.0 shows a 573 more realistic AMOC strength, combined with a convenient computation of transports.

574 Code availability

31

575 The FESOM2.0 version used to carried out the simulations reported here is available from 576 https://gitlab.dkrz.de/FESOM/fesom2/tags/2.0.4 after registration, for convenience (without registration) the FESOM2.0 577 code is also available under https://doi.org/10.5281/zenodo.3081122. FESOM1.4 can be downloaded from 578 https://swrepo1.awi.de/projects/fesom after registration. For the sake of the journal requirement, the code can be also 579 achieved at https://doi.org/10.5281/zenodo.1116851. The used mesh, as well as the temperature, salinity and vertical 580 velocity (for the calculation of the MOC) data of all conducted simulations can be found under 581 https://swiftbrowser.dkrz.de/public/dkrz_035d8f6ff058403bb42f8302e6badfbc/

FESOM2.0_evaluation_part1_scholz_etal/. The simulation results can be also obtained from the authors on request. Mesh partitioning in FESOM2.0 is based on a METIS version 5.1.0 package developed at the Department of Computer Science and Engineering at the University of Minnesota (<u>http://glaros.dtc.umn.edu/gkhome/views/metis</u>). METIS and the solver pARMS (Li et al., 2003) present separate libraries which are freely available subject to their licenses. The Polar Science Center Hydrographic Climatology (Steele et al., 2001) used for model initialization and the CORE-II atmospheric forcing data (Large and Yeager, 2009) are freely available online.

588 Author contributions

589 Dmitry Sidorenko, Sergey Danilov, Patrick Scholz, Ozgur Gurses, Margarita Smolentseva as well as Natalja Rakowsky 590 worked on the development of the FESOM2.0 model code. The tuning of the model as well as all simulation shown in 591 this paper were carried out by Patrick Scholz, Dmitry Sidorenko and Ozgur Gurses, which were also responsible for 592 preparing the basic manuscript. Qiang Wang, Sergey Danilov, Nikolay Koldunov, Dmitry Sein and Thomas Jung have 593 contributed to the final version of the manuscript.

594 Acknowledgements

595 This paper is a contribution to the project S2: Improved parameterisations and numerics in climate models, S1: 596 Diagnosis and Metrics in Climate Models and M5: Reducing spurious diapycnal mixing in ocean models of the 597 Collaborative Research Centre TRR 181 "Energy Transfer in Atmosphere and Ocean" funded by the Deutsche 598 Forschungsgemeinschaft (DFG, German Research Foundation) - Projektnummer 274762653. Furthermore, the work 599 was supported by the PRIMAVERA project, which has received funding from the European Union's Horizon 2020 600 research and innovation programme under grant agreement No 641727 and the state assignment of FASO Russia 601 (theme 0149 2019 0015). The work described in this paper has also received funding from the Helmholtz Association 602 through the project "Advanced Earth System Model Capacity" in the frame of the initiative "Zukunftsthemen".

603 References

Antonov, J. I., R. A. Locarnini, T. P. Boyer, A. V. Mishonov, and H. E. Garcia, World Ocean Atlas 2005, Volume 2:
Salinity. S. Levitus, Ed. NOAA Atlas NESDIS 62, U.S. Government Printing Office, Washington, D.C., 182
pp., 2006

Biastoch, A., Sein, D., Durgadoo, J. V., Wang, Q. and Danilov, S.: Simulating the Agulhas system in global ocean
models – nesting vs. multi-resolution unstructured meshes, Ocean Modelling, 121, 117–131,

doi:10.1016/j.ocemod.2017.12.002, 2018.

- 610 Carter, L., Mccave, I. and Williams, M. J.: Chapter 4 Circulation and Water Masses of the Southern Ocean: A Review,
 611 Antarctic Climate Evolution Developments in Earth and Environmental Sciences, 85–114, doi:10.1016/s1571 612 9197(08)00004-9, 2008.
- 613 Danabasoglu, G., Yeager, S. G., Bailey, D., Behrens, E., Bentsen, M., Bi, D., Biastoch, A., Böning, C., Bozec, A.,
- 614 Canuto, V. M., Cassou, C., Chassignet, E., Coward, A. C., Danilov, S., Diansky, N., Drange, H., Farneti, R.,
- 615 Fernandez, E., Fogli, P. G., Forget, G., Fujii, Y., Griffies, S. M., Gusev, A., Heimbach, P., Howard, A., Jung, T.,
- 616 Kelley, M., Large, W. G., Leboissetier, A., Lu, J., Madec, G., Marsland, S. J., Masina, S., Navarra, A., Nurser,
- A. G., Pirani, A., Mélia, D. S. Y., Samuels, B. L., Scheinert, M., Sidorenko, D., Treguier, A.-M., Tsujino, H.,
- 618 Uotila, P., Valcke, S., Voldoire, A. and Wang, Q.: North Atlantic simulations in Coordinated Ocean-ice
- 619 Reference Experiments phase II (CORE-II). Part I: Mean states, Ocean Modelling, 73, 76–107,

620 doi:10.1016/j.ocemod.2013.10.005, 2014.

- 621 Danabasoglu, G., Yeager, S. G., Kim, W. M., Behrens, E., Bentsen, M., Bi, D., Biastoch, A., Bleck, R., Böning, C.,
- 622 Bozec, A., Canuto, V. M., Cassou, C., Chassignet, E., Coward, A. C., Danilov, S., Diansky, N., Drange, H.,
- 623 Farneti, R., Fernandez, E., Fogli, P. G., Forget, G., Fujii, Y., Griffies, S. M., Gusev, A., Heimbach, P., Howard,
- A., Ilicak, M., Jung, T., Karspeck, A. R., Kelley, M., Large, W. G., Leboissetier, A., Lu, J., Madec, G.,
- Marsland, S. J., Masina, S., Navarra, A., Nurser, A. G., Pirani, A., Romanou, A., Mélia, D. S. Y., Samuels, B. L.,
- 626 Scheinert, M., Sidorenko, D., Sun, S., Treguier, A.-M., Tsujino, H., Uotila, P., Valcke, S., Voldoire, A., Wang,
- 627 Q. and Yashayaev, I.: North Atlantic simulations in Coordinated Ocean-ice Reference Experiments phase II
- 628 (CORE-II). Part II: Inter-annual to decadal variability, Ocean Modelling, 97, 65–90,
- 629 doi:10.1016/j.ocemod.2015.11.007, 2016.
- banilov, S. and Androsov, A.: Cell-vertex discretization of shallow water equations on mixed unstructured meshes,
 Ocean Dynamics, 65(1), 33–47, doi:10.1007/s10236-014-0790-x, 2014.
- banilov, S., Wang, Q., Timmermann, R., Iakovlev, N., Sidorenko, D., Kimmritz, M., Jung, T. and Schröter, J.: FiniteElement Sea Ice Model (FESIM), version 2, Geoscientific Model Development Discussions, 8(2), 855–896,
 doi:10.5194/gmdd-8-855-2015, 2015.
- banilov, S., Sidorenko, D., Wang, Q. and Jung, T.: The Finite-volumE Sea ice–Ocean Model (FESOM2), Geoscientific
 Model Development, 10(2), 765–789, doi:10.5194/gmd-10-765-2017, 2017.
- banilov, S., Kivman, G. and Schröter, J.: A finite-element ocean model: principles and evaluation, Ocean Modelling,
 6(2), 125–150, doi:10.1016/s1463-5003(02)00063-x, 2004.
- 639 Donea, J. and Huerta, A.: Finite element methods for flow problems, Wiley., 2005.
- 640 Eden, C. and Olbers, D.: A Closure for Internal Wave–Mean Flow Interaction. Part II: Wave Drag, Journal of Physical
 641 Oceanography, 47(6), 1403–1412, doi:10.1175/jpo-d-16-0056.1, 2017.
- 642 Ferrari, R., Griffies, S. M., Nurser, A. G. and Vallis, G. K.: A boundary-value problem for the parameterized mesoscale
 643 eddy transport, Ocean Modelling, 32(3-4), 143–156, doi:10.1016/j.ocemod.2010.01.004, 2010.
- 644 Ferreira, D., Marshall, J. and Heimbach, P.: Estimating Eddy Stresses by Fitting Dynamics to Observations Using a
- 645 Residual-Mean Ocean Circulation Model and Its Adjoint, Journal of Physical Oceanography, 35(10), 1891–
 646 1910, doi:10.1175/jpo2785.1, 2005.
- 647 Gent, P. R. and Mcwilliams, J. C.: Isopycnal Mixing in Ocean Circulation Models, Journal of Physical Oceanography,

20(1), 150–155, doi:10.1175/1520-0485(1990)020<0150:imiocm>2.0.co;2, 1990.

- 649 Gent, P. R., Willebrand, J., Mcdougall, T. J. and Mcwilliams, J. C.: Parameterizing Eddy-Induced Tracer Transports in
 650 Ocean Circulation Models, Journal of Physical Oceanography, 25(4), 463–474, doi:10.1175/1520651 0485(1995)025<0463:peitti>2.0.co;2, 1995.
- 652 Gregg, W. W., Conkright, M. E., Ginoux, P., O'reilly, J. E. and Casey, N. W.: Ocean primary production and climate:
 653 Global decadal changes, Geophysical Research Letters, 30(15), doi:10.1029/2003gl016889, 2003.
- 654 Griffies, S. M., Biastoch, A., Böning, C., Bryan, F., Danabasoglu, G., Chassignet, E. P., England, M. H., Gerdes, R.,

Haak, H., Hallberg, R. W., Hazeleger, W., Jungclaus, J., Large, W. G., Madec, G., Pirani, A., Samuels, B. L.,
Scheinert, M., Gupta, A. S., Severijns, C. A., Simmons, H. L., Treguier, A. M., Winton, M., Yeager, S. and Yin,

- J.: Coordinated Ocean-ice Reference Experiments (COREs), Ocean Modelling, 26(1-2), 1–46,
 doi:10.1016/j.ocemod.2008.08.007, 2009.
- 659 Griffies, S. M.: Fundamentals of ocean climate models, Princeton University Press., 2004.
- 660 Griffies, S. M.: The Gent–McWilliams Skew Flux, Journal of Physical Oceanography, 28(5), 831–841,
 661 doi:10.1175/1520-0485(1998)028<0831:tgmsf>2.0.co;2, 1998.
- Griffies, S. M., Yin, J., Durack, P. J., Goddard, P., Bates, S. C., Behrens, E., Bentsen, M., Bi, D., Biastoch, A., Böning,
 C. W., Bozec, A., Chassignet, E., Danabasoglu, G., Danilov, S., Domingues, C. M., Drange, H., Farneti, R.,
 Fernandez, E., Greatbatch, R. J., Holland, D. M., Ilicak, M., Large, W. G., Lorbacher, K., Lu, J., Marsland, S. J.,
 Mishra, A., Nurser, A. G., Mélia, D. S. Y., Palter, J. B., Samuels, B. L., Schröter, J., Schwarzkopf, F. U.,
 Sidorenko, D., Treguier, A. M., Tseng, Y.-H., Tsujino, H., Uotila, P., Valcke, S., Voldoire, A., Wang, Q.,
 Winton, M. and Zhang, X.: An assessment of global and regional sea level for years 1993–2007 in a suite of
 interannual CORE-II simulations, Ocean Modelling, 78, 35–89, doi:10.1016/j.ocemod.2014.03.004, 2014.
- 669 Gutjahr, O., Putrasahan, D., Lohmann, K., Jungclaus, J. H., von Storch, J.-S., Brüggemann, N., Haak, H., and Stössel,
 670 A.: Max Planck Institute Earth System Model (MPI-ESM1.2) for High-Resolution Model Intercomparison
 671 Project (HighResMIP), Geosci. Model Dev. Discuss., https://doi.org/10.5194/gmd-2018-286, in review, 2018.
- Hallberg, R.: Using a resolution function to regulate parameterizations of oceanic mesoscale eddy effects, Ocean
 Modelling, 72, 92–103, doi:10.1016/j.ocemod.2013.08.007, 2013.
- Koldunov, N. V., Aizinger, V., Rakowsky, N., Scholz, P., Sidorenko, D., Danilov, S., and Jung, T.: Scalability and
 some optimization of the Finite-volumE Sea ice-Ocean Model, Version 2.0 (FESOM2), Geosci. Model Dev.
 Discuss., https://doi.org/10.5194/gmd-2018-334, in review, 2019.
- Korn, P.: Formulation of an unstructured grid model for global ocean dynamics, Journal of Computational Physics, 339,
 525–552, doi:10.1016/j.jcp.2017.03.009, 2017.
- Kuhlbrodt, T., Griesel, A., Montoya, M., Levermann, A., Hofmann, M. and Rahmstorf, S.: On the driving processes of
 the Atlantic meridional overturning circulation, Reviews of Geophysics, 45(2), doi:10.1029/2004rg000166,
 2007.
- Large, W. G., Mcwilliams, J. C. and Doney, S. C.: Oceanic vertical mixing: A review and a model with a nonlocal
 boundary layer parameterization, Reviews of Geophysics, 32(4), 363, doi:10.1029/94rg01872, 1994.
- Large, W. G. and Yeager, S. G.: The global climatology of an interannually varying air–sea flux data set, Climate
 Dynamics, 33(2-3), 341–364, doi:10.1007/s00382-008-0441-3, 2008.
- 686 Large, W. G., Danabasoglu, G., Doney, S. C. and Mcwilliams, J. C.: Sensitivity to Surface Forcing and Boundary Layer

- 37
- 687 Mixing in a Global Ocean Model: Annual-Mean Climatology, Journal of Physical Oceanography, 27(11), 2418–
 688 2447, doi:10.1175/1520-0485(1997)027<2418:stsfab>2.0.co;2, 1997.
- Lavergne, C. D., Madec, G., Sommer, J. L., Nurser, A. J. G. and Garabato, A. C. N.: On the Consumption of Antarctic
 Bottom Water in the Abyssal Ocean, Journal of Physical Oceanography, 46(2), 635–661, doi:10.1175/jpo-d-140201.1, 2016.
- 692 Li, Z., Saad, Y. and Sosonkina, M.: pARMS: a parallel version of the algebraic recursive multilevel solver, Numerical
 693 Linear Algebra with Applications, 10(5-6), 485–509, doi:10.1002/nla.325, 2003.
- Locarnini, R. A., A. V. Mishonov, J. I. Antonov, T. P. Boyer, and H. E. Garcia., World Ocean Atlas 2005, Volume 1:
 Temperature. S. Levitus, Ed. NOAA Atlas NESDIS 61, U.S. Government Printing Office, Washington, D.C.,
 182 pp., 2006.
- Marshall, J., Scott J. R., Romanou A., Kelley M., Leboissetier A., The dependence of the ocean's MOC on mesoscale
 eddy diffusivities: A model study, Ocean Modelling, Volume 111, Pages 1-8, ISSN 1463-5003,
 https://doi.org/10.1016/j.ocemod.2017.01.001, 2017.
- Mccarthy, G., Smeed, D., Johns, W., Frajka-Williams, E., Moat, B., Rayner, D., Baringer, M., Meinen, C., Collins, J.
 and Bryden, H.: Measuring the Atlantic Meridional Overturning Circulation at 26°N, Progress in Oceanography,
 130, 91–111, doi:10.1016/j.pocean.2014.10.006, 2015.
- Monterey, G., Levitus, S., Climatological cycle of mixed layer depth in the world ocean. U.S. government printingoffice, NOAA NESDIS, Washington, DC, 5, pp., 1997.
- Olbers, D. and Eden, C.: A Closure for Internal Wave–Mean Flow Interaction. Part I: Energy Conversion, Journal of
 Physical Oceanography, 47(6), 1389–1401, doi:10.1175/jpo-d-16-0054.1, 2017.
- Pacanowski, R. C. and Philander, S. G. H.: Parameterization of Vertical Mixing in Numerical Models of Tropical
 Oceans, Journal of Physical Oceanography, 11(11), 1443–1451, doi:10.1175/15200485(1981)011<1443:povmin>2.0.co;2, 1981.
- Petersen, M. R., Jacobsen, D. W., Ringler, T. D., Hecht, M. W. and Maltrud, M. E.: Evaluation of the arbitrary
 Lagrangian–Eulerian vertical coordinate method in the MPAS-Ocean model, Ocean Modelling, 86, 93–113,
 doi:10.1016/j.ocemod.2014.12.004, 2015.
- Pollmann, F., Eden, C. and Olbers, D.: Evaluating the Global Internal Wave Model IDEMIX Using Finestructure
 Methods, Journal of Physical Oceanography, 47(9), 2267–2289, doi:10.1175/jpo-d-16-0204.1, 2017.
- Prims, O. T., Castrillo, M., Acosta, M. C., Mula-Valls, O., Lorente, A. S., Serradell, K., Cortés, A. and Doblas-Reyes,
- F. J.: Finding, analysing and solving MPI communication bottlenecks in Earth System models, Journal of
 Computational Science, doi:10.1016/j.jocs.2018.04.015, 2018.
- Rackow, T., Goessling, H. F., Jung, T., Sidorenko, D., Semmler, T., Barbi, D. and Handorf, D.: Towards multiresolution global climate modeling with ECHAM6-FESOM. Part II: climate variability, Climate Dynamics,
 50(7-8), 2369–2394, doi:10.1007/s00382-016-3192-6, 2016.
- Redi, M. H.: Oceanic Isopycnal Mixing by Coordinate Rotation, Journal of Physical Oceanography, 12(10), 1154–1158,
 doi:10.1175/1520-0485(1982)012<1154:oimbcr>2.0.co;2, 1982.
- Ringler, T., Petersen, M., Higdon, R. L., Jacobsen, D., Jones, P. W. and Maltrud, M.: A multi- resolution approach to
 global ocean modeling, Ocean Modelling, 69, 211–232, doi:10.1016/j.ocemod.2013.04.010, 2013.
- 725 Scholz, P., Kieke, D., Lohmann, G., Ionita, M. and Rhein, M.: Evaluation of Labrador Sea Water formation in a global

- Finite-Element Sea-Ice Ocean Model setup, based on a comparison with observational data, Journal of
 Geophysical Research: Oceans, 119(3), 1644–1667, doi:10.1002/2013jc009232, 2014.
- Sein, D. V., Danilov, S., Biastoch, A., Durgadoo, J. V., Sidorenko, D., Harig, S. and Wang, Q.: Designing variable
 ocean model resolution based on the observed ocean variability, Journal of Advances in Modeling Earth
 Systems, 8(2), 904–916, doi:10.1002/2016ms000650, 2016.
- Sein, D. V., Koldunov, N. V., Danilov, S., Wang, Q., Sidorenko, D., Fast, I., Rackow, T., Cabos, W. and Jung, T.:
 Ocean Modeling on a Mesh With Resolution Following the Local Rossby Radius, Journal of Advances in
 Modeling Earth Systems, 9(7), 2601–2614, doi:10.1002/2017ms001099, 2017.
- Sein, D. V., Koldunov, N. V., Danilov, S., Sidorenko, D., Wekerle, C., Cabos, W., Rackow, T., Scholz, P., Semmler, T.,
 Wang, Q. and Jung, T.: The Relative Influence of Atmospheric and Oceanic Model Resolution on the
 Circulation of the North Atlantic Ocean in a Coupled Climate Model, Journal of Advances in Modeling Earth
 Systems, 10(8), 2026–2041, doi:10.1029/2018ms001327, 2018.
- Shi, X. and Lohmann, G.: Simulated response of the mid-Holocene Atlantic meridional overturning circulation in
 ECHAM6-FESOM/MPIOM, Journal of Geophysical Research: Oceans, 121(8), 6444–6469,
 doi:10.1002/2015jc011584, 2016.
- Sidorenko, D., Koldunov, N. V., Wang, Q., Danilov, S., Goessling, H. F., Gurses, O., Scholz, P., Sein, D. V., Volodin,
 E., Wekerle, C. and Jung, T.: Influence of a Salt Plume Parameterization in a Coupled Climate Model, Journal of
 Advances in Modeling Earth Systems, 10(9), 2357–2373, doi:10.1029/2018ms001291, 2018.
- Sidorenko, D., Danilov, S., Wang, Q., Huerta-Casas, A. and Schröter, J.: On computing transports in finite-element
 models, Ocean Modelling, 28(1-3), 60–65, doi:10.1016/j.ocemod.2008.09.001, 2009.
- Sidorenko, D., Rackow, T., Jung, T., Semmler, T., Barbi, D., Danilov, S., Dethloff, K., Dorn, W., Fieg, K., Goessling,
 H. F., Handorf, D., Harig, S., Hiller, W., Juricke, S., Losch, M., Schröter, J., Sein, D. V. and Wang, Q.: Towards
 multi-resolution global climate modeling with ECHAM6–FESOM. Part I: model formulation and mean climate,
 Climate Dynamics, 44(3-4), 757–780, doi:10.1007/s00382-014-2290-6, 2014.
- Sidorenko, D., Wang, Q., Danilov, S. and Schröter, J.: FESOM under coordinated ocean-ice reference experiment
 forcing, Ocean Dynamics, 61(7), 881–890, doi:10.1007/s10236-011-0406-7, 2011.
- Sidorenko, D., Scholz, P., Koldunov, N., Streffing, J., Goessling, H., and Rackow, T. (2019, May 21). FESOM/fesom2:
 Control forcing and IO from namelists. Initial CVMIX implementation. (Version 2.0.4). Zenodo.
 http://doi.org/10.5281/zenodo.3081122
- Smeed, D. A., Mccarthy, G. D., Cunningham, S. A., Frajka-Williams, E., Rayner, D., Johns, W. E., Meinen, C. S.,
 Baringer, M. O., Moat, B. I., Duchez, A. and Bryden, H. L.: Observed decline of the Atlantic meridional
 overturning circulation 2004–2012, Ocean Science, 10(1), 29–38, doi:10.5194/os-10-29-2014, 2014.
- 758 Shchepetkin, A. F.: An adaptive, Courant-number-dependent implicit scheme for vertical advection in oceanic759 modeling, Ocean Modelling, 91, 38–69, 2015.
- Steele, M., Morley, R. and Ermold, W.: PHC: A Global Ocean Hydrography with a High-Quality Arctic Ocean, Journal
 of Climate, 14(9), 2079–2087, doi:10.1175/1520-0442(2001)014<2079:pagohw>2.0.co;2, 2001.
- 762 Storch, J.-S. V., Eden, C., Fast, I., Haak, H., Hernández-Deckers, D., Maier-Reimer, E., Marotzke, J. and Stammer, D.:
- An Estimate of the Lorenz Energy Cycle for the World Ocean Based on the STORM/NCEP Simulation, Journal
 of Physical Oceanography, 42(12), 2185–2205, doi:10.1175/jpo-d-12-079.1, 2012.

- 41
- Timmermann, R., Danilov, S., Schröter, J., Böning, C., Sidorenko, D. and Rollenhagen, K.: Ocean circulation and sea
 ice distribution in a finite element global sea ice–ocean model, Ocean Modelling, 27(3-4), 114–129, doi:10.1016/
 j.ocemod.2008.10.009, 2009.
- Wang, Q., Danilov, S., Sidorenko, D., Timmermann, R., Wekerle, C., Wang, X., Jung, T. and Schröter, J.: The Finite
 Element Sea Ice-Ocean Model (FESOM) v.1.4: formulation of an ocean general circulation model, Geoscientific
 Model Development, 7(2), 663–693, doi:10.5194/gmd-7-663-2014, 2014.
- Wang, Q., Danilov, S. and Schröter, J.: Finite element ocean circulation model based on triangular prismatic elements,
 with application in studying the effect of topography representation, Journal of Geophysical Research, 113(C5),
 doi:10.1029/2007jc004482, 2008.
- Wang, Q., Danilov, S., Jung, T., Kaleschke, L. and Wernecke, A.: Sea ice leads in the Arctic Ocean: Model assessment,
 interannual variability and trends, Geophysical Research Letters, 43(13), 7019–7027,
 doi:10.1002/2016gl068696, 2016.
- Wang, Q., Ilicak, M., Gerdes, R., Drange, H., Aksenov, Y., Bailey, D. A., Bentsen, M., Biastoch, A., Bozec, A.,
 Böning, C., Cassou, C., Chassignet, E., Coward, A. C., Curry, B., Danabasoglu, G., Danilov, S., Fernandez, E.,
 Fogli, P. G., Fujii, Y., Griffies, S. M., Iovino, D., Jahn, A., Jung, T., Large, W. G., Lee, C., Lique, C., Lu, J.,
 Masina, S., Nurser, A. G., Rabe, B., Roth, C., Mélia, D. S. Y., Samuels, B. L., Spence, P., Tsujino, H., Valcke,
 S., Voldoire, A., Wang, X. and Yeager, S. G.: An assessment of the Arctic Ocean in a suite of interannual
 CORE-II simulations. Part I: Sea ice and solid freshwater, Ocean Modelling, 99, 110–132,
 doi:10.1016/j.ocemod.2015.12.008, 2016a.
- 784 Wang, Q., Ilicak, M., Gerdes, R., Drange, H., Aksenov, Y., Bailey, D. A., Bentsen, M., Biastoch, A., Bozec, A.,
- 785 Böning, C., Cassou, C., Chassignet, E., Coward, A. C., Curry, B., Danabasoglu, G., Danilov, S., Fernandez, E.,
- 786 Fogli, P. G., Fujii, Y., Griffies, S. M., Iovino, D., Jahn, A., Jung, T., Large, W. G., Lee, C., Lique, C., Lu, J.,
- 787 Masina, S., Nurser, A. G., Rabe, B., Roth, C., Mélia, D. S. Y., Samuels, B. L., Spence, P., Tsujino, H., Valcke,
- 788 S., Voldoire, A., Wang, X. and Yeager, S. G.: An assessment of the Arctic Ocean in a suite of interannual
- 789 CORE-II simulations. Part II: Liquid freshwater, Ocean Modelling, 99, 86–109,
- 790 doi:10.1016/j.ocemod.2015.12.009, 2016b.
- 791 Wang, Q., Wekerle, C., Danilov, S., Wang, X. and Jung, T.: A 4.5 km resolution Arctic Ocean simulation with the792 global multi-resolution model FESOM1.4, Geoscientific Model Development, 11, 1229-1255, 2018a.
- Wang, Q., Wekerle, C., Danilov, S., Koldunov, N., Sidorenko, D., Sein, D., Rabe, B. and Jung, T.: Arctic Sea Ice
 Decline Significantly Contributed to the Unprecedented Liquid Freshwater Accumulation in the Beaufort Gyre
 of the Arctic Ocean, Geophysical Research Letters, 45, 4956-4964, 2018b.
- Wang, Q., Marshall, J., Scott, J., Meneghello, G., Danilov, S. and Jung, T.: On the feedback of ice-ocean stress coupling
 from geostrophic currents in an anticyclonic wind regime over the Beaufort Gyre, J. Physical Oceanography,
 https://doi.org/10.1175/JPO-D-18-0185.1, accepted, 2019a.
- Wang, Q., Wekerle, C., Danilov, S., Sidorenko, D., Koldunov, N., Sein, D., Rabe, B. and Jung, T.: Recent Sea Ice
 Decline Did Not Significantly Increase the Total Liquid Freshwater Content of the Arctic Ocean, J. Climate, 32,
 15-32, 2019b.
- Wekerle, C., Wang, Q., Danilov, S., Schourup-Kristensen, V., Appen, W.-J. V. and Jung, T.: Atlantic Water in the
 Nordic Seas: Locally eddy-permitting ocean simulation in a global setup, Journal of Geophysical Research:

- 804 Oceans, 122(2), 914–940, doi:10.1002/2016jc012121, 2017.
- White, L., Deleersnijder, E. and Legat, V.: A three-dimensional unstructured mesh finite element shallow-water model,
 with application to the flows around an island and in a wind-driven, elongated basin, Ocean Modelling, 22(1-2),
 26–47, doi:10.1016/j.ocemod.2008.01.001, 2008.
- 808 Wunsch, C. and Ferrari, R.: Vertical Mixing, Energy, And The General Circulation Of The Oceans, Annual Review of
 809 Fluid Mechanics, 36(1), 281–314, doi:10.1146/annurev.fluid.36.050802.122121, 2004.

811 Figures



Figure 1: Horizontal resolution of mesh configurations used in this study: The smaller reference (left, ~127 000 surface vertices) and larger medium-sized (right, ~640 000 surface vertices) mesh. The two meshes have the same resolution (nominal resolution of 1° in most parts of the global ocean, ~25 km north of 50°N, ~1/3° at the equator) except for the Arctic Ocean and Bering Sea. There the medium-sized mesh has an increased resolution of 4.5 km and 10 km for the Arctic Ocean and Bering Sea, respectively.

.24



Figure 2: Temperature anomalies of the full free surface simulations with respect to the linear free surface simulation: zlevel minus linfs (left column) and zstar minus linfs (middle column). The right column shows the temperature difference between the two full free surface simulations (zstar minus zlevel). From top to bottom the three rows show the results for three different depth ranges: 0-200 m, 200-500 m and 500-1000 m. Averages over the time period 1998-2007 are shown. Note that different color scales are used.





Figure 3: Same as Fig. 2 but for salinity.



Figure 4: March (upper row) and September (lower row) mixed layer depth after the definition of Monterey and Levitus, 1997 (MLD1) for the linear free surface case (linfs, 1st column) averaged for the time interval 1998-2007. 2nd. and 3rd. column show the anomalous MLD1 for the full free surface modes zlevel (2nd. column) and zstar (3rd. column) with respect to the linfs mode. The 4th. column presents the anomalous MLD1 between the two full free surface modes (zstar-minus zlevel). Small inset plot shows the mixed layer depth after the definition of Large et al., 1997 (MLD2).



Figure 5: Global (GMOC, upper row), Atlantic (AMOC, middle row) and Indo-Pacific (PMOC, lower row) Meridional Overturning Circulation for the linear free surface formulation linfs (left column), and the full free surface zlevel option (middle column) and zstar option (right column). The average over the time period 1998-2007 is shown. Note that different color ranges are used.





Figure 6: First row: Temperature biases in the reference simulation with respect to the World Ocean Atlas 2005 (WOA05, Locarnini et al., 2006; Antonov et al., 2006) climatology for three different depth ranges: 0-200 m (left), 200-500 m (middle) and 500-1000 m (right). In the reference simulation both the GM and Redi diffusion parameterizations are switched on (:1). Another three rows show the temperature differences between sensitivity runs and the reference run. The second row shows the impact when only the Redi diffusivity is switched off (:0), the third row when only GM is switched off, and the fourth row when both of them are switched off. The average over the period 1998-2007 is shown.



Figure 7: Same as Fig. 6 but for salinity.



Figure 8: (upper) Mean Salinity in a vertical section from -30°W, -80°S to -30°W, 40°N, derived from the World Ocean Atlas 2005 (WOA05, Locarnini et al., 2006; Antonov et al., 2006) annual climatology. The other four panels show the results from model simulations: (upper left) the reference run with switched on GM and Redi, (upper right) the run with Redi diffusivity set to zero, (lower left) the run with GM switched off, and (lower right) both parameterizations switched off. Contour lines highlight the spreading of Antarctic Intermediate Water (<34.70 psu) northward.



Figure 9: 1st column: March (upper row) and September (lower row) mean mixed layer depth (MLD, definition after Monterey and Levitus, 1997) for the simulation with switched on (:1) Gent McWilliams parameterisation (GM) and Redi Diffusion (R) averaged over the period 1998-2007. 2nd-4th column: anomalous MLD of simulations with either switched off (:0) GM or R, or both switched off with respect to the control simulation where GM and R are both switched on. Small inset plots shows the MLD after the definition of Large et al. (1997).



Figure 10: Global (GMOC, 1st. column), Atlantic (AMOC, 2nd. column) and Indo-Pacific (PMOC, 3rd. column) Meridional Overturning Circulation averaged for the time period 1998-2007 for: (1st row) the reference run with switched on GM and Redi (:1), (2nd row) the run with switched off Redi diffusivity (:0), (3rd row) GM switched off, and (4th row) both parameterizations switched off. Note different color ranges are used.



Figure 11: Temperature biases in model simulations referenced to the World Ocean Atlas 2005 (WOA05, Locarnini et al., 2006; Antonov et al., 2006) averaged over the period 1998-2007 for: (left column) the simulation with the KPP vertical mixing scheme and (center column) the simulation with the PP mixing scheme. The right column shows the difference between the two simulations. From top to bottom the panels show the vertically averaged fields for the depth ranges of 0-200 m (upper row), 200-500 m (middle row) and 500-1000 m (lower row).





Figure 12: Same as Fig. 11 but for salinity.



Figure 13: March (upper row) and September (lower row) mean mixed layer depth (MLD, definition after Monterey and Levitus, 1997) for the simulation with KPP (left column) and PP (right column) vertical mixing averaged over the period 1998-2007. Small inset plots shows the MLD after the definition of Large et al. (1997).



Figure 14: Temperature biases referenced to the World Ocean Atlas 2005 (WOA05, Locarnini et al., 2006; Antonov et al., 2006) climatology for FESOM2.0 (left column) and FESOM1.4 (right column) Model results are averaged over the period 1998-2007. From top to bottom averages over three depth ranges are shown: 0-200 m (upper row), 200-500 m (middle row) and 500-1000 m (lower row).



FESOM2.0-WOA05

FESOM1.4-WOA05



Figure 15: Same as Fig. 14 but for salinity.



Figure 16: March (upper row) and September (lower row) mean mixed layer depth (MLD, definition after Monterey and Levitus, 1997) averaged over the period 1998-2007 of a FESOM2.0 (left column, GM, Redi and KPP) and FESOM1.4 (right column, GM, Redi and KPP) reference simulation.

77

Figure 17: Global (GMOC, upper row), Atlantic (AMOC, middle row) and Indo-Pacific (PMOC, lower row) Meridional



Overturning Circulation averaged for the time period 1998-2007: FESOM2.0 (left column) and FESOM1.4 (right column).



Figure 18: Mean Salinity in the vertical section from -30°W, -80°S to -30°W, 40°N: World Ocean Atlas 2005 (WOA05, Locarnini et al., 2006; Antonov et al., 2006) annual climatology (left), FESOM2.0 (middel) and FESOM1.4 (right). Model results are averaged for the period 1998-2007. Contour lines highlight the spreading of Antarctic intermediate water (<34.70 psu) northward.



Figure 19: Scaling performance of FESOM1.4 and FESOM2.0 on different number of cores for the medium-size mesh configuration (see Fig. 1 right) with ~0.64M surface vertices.



Figure 20: Schematic representation of mesh applicability of FESOM1.4 and FESOM2.0.