Changes to manuscript ms-nr gmd-2018-325

The Eulerian urban dispersion model EPISODE. Part II: Extensions to the source dispersion and photochemistry for EPISODE-CityChem and its application to the city of Hamburg

Matthias Karl (1), Sam-Erik Walter (2), Sverre Solberg (2), Martin O. P. Ramacher (1)

[1] Chemistry Transport Modelling, Helmholtz-Zentrum Geesthacht, 21502 Geesthacht, Germany.

[2] Norwegian Institute for Air Research (NILU), Kjeller, Norway.

Dear Prof Dr Simone Marras,

We highly appreciate the reviews of our manuscript ms-nr gmd-2018-325 that we received from two anonymous referees. We have replied to their comments in the Open Discussion. We have addressed all specific comments in the revised manuscript as will be described below. We carefully considered the concerns expressed by the referees in our revision of the manuscript. In accordance with the reviewer comments, we have modified the structure of the manuscript for a more consistent presentation of the new implementations of the CityChem extension in the EPISODE model.

Below follows: (1) the point-by-point replies to the two reviewers, (2) a list of relevant changes in the manuscript, and (3) the revised manuscript with changes highlighted.

Our responses to reviewers have been written in blue font.

Figure, table, section, and page numbers in the replies below refer to the original manuscript. The revised manuscript with changes highlighted has been sent along with this response.

Referee #1

The submitted work describes the EPISODE model with the CityChem extension. When I
read the manuscript was part 1 of the article series not available, which makes it
somewhat difficult to assess the need and value of the the CityChem extension. The
reader of this particular manuscript is also left with an incomplete description of the
EPISODE-CityChem system.

Part 2 intends to provide a description of the CityChem extension to the EPISODE model. For application in urban air quality modelling, the EPISODE-CityChem system was developed, which is comprised of the EPISODE model (manuscript part 1) including the CityChem extension and a set of pre-processing utilities and visualization tools. Part 1 provides a description of the physical processes of the Eulerian grid model and a basic description the embedded sub-grid models. The photochemistry on the Eulerian grid is however not included in part 1, since it belongs to the CityChem extension. The description of the EPISODE-CityChem model system will be improved based on the comments of this Reviewer.

- EPISODE provides a promising set up with sub-grid scale Gausian models embedded into a Eulerian model with relevant photochemistry. As understood from the present manuscript does the CityChem extension offer three improvements compared to the original EPISODE model:
 - 1. Modification of the sub-grid photochemistry scheme
 - 2. Modification of the line source emission model
 - 3. Modifications to the plume rise calculations

The three improvements are explained and visualised by presenting results from idealised sensitivity studies. In my view do the authors not quite get to the point when the respective improvement is presented and visualized.

The list of the three points given by the Reviewer is a copy of the three items listed in the Introduction (page 3). The mentioned three implementations are in connection with the subgrid Gaussian models, and are part of the CityChem extension. However, the development of the photochemistry on the Eulerian grid ("grid photochemistry") has to be regarded as additional part of the CityChem development, and is the fourth implementation belonging to CityChem. The manuscript has been revised to arrange the order of the presentation of the improvements consistently: 1. Grid photochemistry, 2. Sub-grid chemistry, 3. Street canyon, 4. Point sources. The Introduction and subsequent sections have been revised to make this more visible to the reader. The order of the list given in the Introduction is followed in section 2 where the components are described and in section 3 where testing of each component is performed and visualized. In addition, the revision of the study includes a full-year evaluation of the original EPISODE model, allowing for a comparison of the total effect of the CityChem extension on urban air pollutant concentrations in Hamburg.

3. Section 2.1 discusses a number of chemical schemes. From my reading of section 2.1 can I not tell what photochemical module(s) is (are) actually used in EPISODE-CityChem. Section 3.2 compares the performance of the updated photochemical scheme in the EPISODE-CityChem system with the standard PSS assumption. The conclusion (not shown in any graph!) is that the new scheme (EP10-Plume) behaves very similar to the original PSS-scheme. This is an interesting and valuable finding, but does not provide support for introducing an alternative photochemical scheme in the original EPISODE model.

First, the photochemical modules that are actually used in the EPISODE-CityChem simulation are shown in Table 5 (belonging to section 4.1.1). Table 5 displays which photochemistry scheme is used for (a) the photochemistry on the Eulerian grid and (b) the sub-grid modules. Table 5 has been moved to the beginning of section 2 in the revised manuscript and is now Table 1. Second, section 3.2 shows the test of EP10-Plume, the new photochemistry scheme for the sub-grid modules. Again, note that EP10-Plume should not be considered as the "alternative photochemical scheme", since it is only used in the sub-grid component. Figure 4 shows a comparison of EP10-Plume with PSS in the sub-grid model for line sources. The differences are very small and therefore the dashed lines in this plot overlap with the lines from PSS. Figure 4 has been revised and the overlap of lines is now mentioned in the figure caption. We note that the standard EPISODE model does not have a numerical photochemical solver and just uses the PSS assumption on the Eulerian grid and in the sub-grid components. A new subsection 4.3.2 ("Modelling of ozone formation") has been added in section 4 to demonstrate the effect of using advanced photochemistry on the Eulerian grid compared to the photo-stationary state approximation based on an evaluation of the summer season.

4. -I found section 2.2.1 -describing the improvements of the line source emission model particularly difficult to follow.

The text of section 2.2.1 has been revised to explain better the improvements to the line source sub-grid component.

5. -Section 2.2.2 details the calculation of wind speed at the actual plume height, and is a good reference for documenting how winds are calculated in the EPISODE-CityChem model although it is basically a standard similarity approach. As part 1 of the article series is not yet available it is impossible for the reader to know whether the "WMPP" is the sole improvement to the original EPISODE model or if the whole concept of plume rise calculations (Briggs, 1969; 1971; 1975) are also part of the development.

The plume rise formulation according to the Briggs equations is part of the standard EPISODE model and is described in part 1. WMPP leads to a modification of the plume rise from point sources. WMPP is part of the CityChem extension. The referred paragraph in section 2.2.2 (page 10, lines 2-6) has been removed from the manuscript.

6. The set up of the Hamburg domain is illustrated with a figure of the domains (Fig. 6) along with the text in section 4.1. It is still very difficult to understand the whole modelling chain of EPISODE-CityChem (and the other models participating in the comparison). For boundary concentrations of air pollutants there appears to be 2-3 CMAQ nests inside the global FMI APTA chemical transport model. Similarly, is meteorology dynamically downscaled from ERA5 in, at least, five nested TAPM domains. An impressive undertaking, indeed, but rather bulky and inconvenient for most applications.

The Reviewer has correctly interpreted the text in section 4.1. TAPM is used within this setup with two purposes: first to provide the downscaling of meteorology for the urban area and second to perform an alternative calculation of the air quality on urban scale. CMAQ is nested in two steps and the CMAQ simulation results have been used in other context (Karl et al., 2019). A deep nesting of both CMAQ and TAPM, as it was applied in the simulations for this study, is not necessarily required for other research applications of EPISODE-CityChem. The text in section 4.1 has been revised to better describe the global-to-local chemistry transport modelling chain and the downscaling of meteorological data.

Karl, M., Bieser, J., Geyer, B., Matthias, V., Jalkanen, J.-P., Johansson, L., and Fridell, E.: Impact of a nitrogen emission control area (NECA) on the future air quality and nitrogen deposition to seawater in the Baltic Sea region, Atmos. Chem. Phys., 19, 1721-1752, https://doi.org/10.5194/acp-19-1721-2019, 2019.

7. The location of the Hamburg study domain in the Southwest corner of the 4km x 4 km CD04-CMAQ domain does not make sense. The CD04 values at this point will hardly be any different than in the parent CD16 model, as the boundary is only 20-30 km away (less than an hour with a typical wind speed). I do not agree with the authors that: "This is considered to be sufficient to avoid that concentrations in the study domain are affected by domain border effects" (page 18-19, lines 34 and 1, respectively).

The Reviewer is right that results from CD04 in this part of the domain are not significantly different from CD16. We applied a rigid procedure for the nesting, having the CD04 domain as additional nesting level in order to maintain the nesting ratio of four when scaling down from Northern Europe to the urban area of Hamburg. In a less rigid approach, it would have been possible to use BCONs from CD16. The sentence "This is considered to be sufficient to avoid that concentrations in the study domain are affected by domain border effects." has been deleted. Nevertheless, no effects of domain borders appear in the data of CD04, since the concentrations are virtually identical (within 5 %) with the CD16 concentrations for the same geographic extent.

8. Many of the results presented are based on 1-2 week simulations (including, for example, section 4.1.2 and 4.1.3 and the final ~30 rows of section 4.2). I would be reluctant to draw any major conclusions from such limited data series.

We agree with the Reviewer that 1-2 week simulation experiments were not conclusive. The sections 4.1.2, section 4.1.3 and the last 30 rows of section 4.2 have been removed from the

revised manuscript, which also helped to shorten the manuscript. The connected figures and tables have been removed: Figure 7, Figure 11 and Table 7. In the Supplementary Information (SI), the following figures and tables have been deleted: Figure S3, Figure S4, Figure S7, Figure S8, and Table S5.

An exception is the "first experiment" described in section 4.1.2 involving constant boundary conditions (page 20, line 27 to page 21, line 5 in the original manuscript), which demonstrates the propagation of concentrations at the boundaries into the study domain. We decided to move this test to the new Appendix D ("Treatment of boundary concentration data") and to move the associated Figure S2 from the SI as new Figure D1 into this appendix.

9. The description of the "second experiment" involving the TAPM air quality model (P21, L6-17) is difficult to follow. Why only evaluate 14 days? I also lack a brief discussion on why the model results different. Is it due to different emissions or - for CMAQ, the seemingly best model- different meteorology? For the full 12-month evaluation (Figs 8,9,11 and Tables 8-10) is only EPISODE-CityChem compared against observations. Why is not the three-model comparison (Table 7) extended to the full 12-month period?

The "second experiment" on boundary conditions described in Section 4.1.2 has been removed from the revised manuscript, following the previous point of this Reviewer, because the short-term (14 days) evaluation is not conclusive. However, we have carried out a full-year run with the TAPM air quality model (which is a coupled meteorology-chemistry model) during the revision of this work. The TAPM run has been performed with the same horizontal resolution (1 km) as the EPISODE-CityChem run, identical emissions, but 2-D boundary concentrations instead of 3-D boundary conditions from CMAQ. Results from the new full-year run with TAPM have been included in the performance evaluation (Tables 8-10 and Figure 8+9). In addition, a full-year run with the standard EPISODE model (without the CityChem extension) has been used as a third model in the comparison and evaluation.

CMAQ is not further included in the evaluation because CMAQ cannot give realistic concentrations at the traffic sites and the industrial sites. CMAQ is a regional CTM system which does not handle local scale dispersion, i.e. a traffic site and a background site located within the same 4 x 4 km2 grid cell would have the same concentration values. More explanations regarding the comparison with CMAQ are given in the next point.

10. In this section 4.1.2 the high-resolution EPISODE-CityChem is compared with two coarser-resolution models for a two-week simulation over the city of Hamburg. As far as I can judge from the presentation is CMAQ superior to EPISODE-CityChem, which is interesting, since CMAQ is used as boundaries for EPISODE-CityChem. The test is not a good promotion for EPISODE-CityChem.

The two-week comparison between EPISODE-CityChem and CMAQ included only monitoring stations from the urban background. If the traffic stations and industrial stations were included, it would be obvious that CMAQ fails to reproduce concentrations at urban stations that are impacted by the local pollution. A realistic representation of local emissions is complicated by their high the spatial and temporal variability in the urban area. EPISODE-CityChem uses the

local scale emissions to compute the pollutant concentrations in the urban background areas, which are in turn affected by the highly resolved emissions. Therefore, the urban scale model is much more sensitive to an incorrect representation of the local emissions than the regional scale model with coarser resolution. As mentioned in the reply to the two previous points of the Reviewer (specific comments no. 8 and 9), we have removed the test and section 4.1.2 from the manuscript.

Triggered by the comment about the inferior performance at urban background stations, we have carefully inspected the code of the pre-processing utility BCONCC that is used to extract the 3D concentration field at the lateral and vertical boundaries from the CMAQ simulation (section 2.3.1 of the original manuscript). This led to the detection of a bug in the routine that distributes the CMAQ concentrations into the vertical layers (vertical interpolation) of EPISODE-CityChem. The 3D boundary conditions have been re-calculated with the revised BCONCC tool and new runs with EPISODE-CityChem using the re-calculated boundary conditions improved the performance for ozone and particulate matter concentrations. Figure C1 shows the comparison of the correlation coefficient for temporal variation at monitoring stations in Hamburg for a full-year evaluation using the revised boundary conditions. The new results for EPISODE-CityChem are documented in the evaluation section of the revised manuscript.

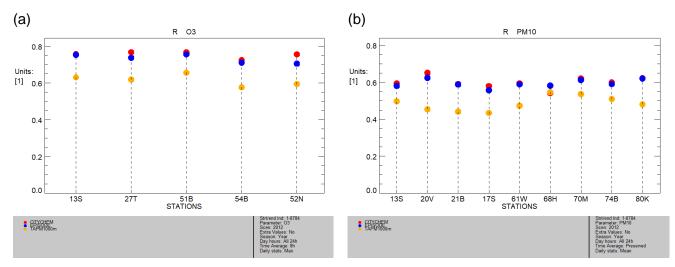


Figure C1: Comparison of the correlation coefficient for temporal variation (Hamburg, 2012) from EPISODE-CityChem with revised 3-D boundary conditions from CMAQ (red dots), standard EPISODE with revised 3-D boundary conditions from CMAQ (blue dots) and TAPM using 2-D boundary conditions from CMAQ (orange dots) for (a) O3, daily maximum of the 8-hour mean concentration, and (b) PM10, daily mean concentration.

11. The model performance evaluation of EPISODE-CityChem using FAIRMODE DELTA Tool is brief, but difficult to follow (Figure 9 and lines 1-19 on page 26). It could perhaps be dropped (or moved to the Supplement as a stand-alone entity), in favour of a more focussed presentation.

We prefer to keep the evaluation of the model quality objectives based on the FAIRMODE DELTA Tool because this has become the standard evaluation tool for the urban air quality community. We have revised the text belonging to Figure 9 of the original manuscript to better explain the meaning of the target diagrams obtained from the DELTA Tool.

12. The manuscript is rather long but at the same time superficial. I would like to recommend the authors to focus and streamline the presentation.

The manuscript has been shortened by removing the short-term experiments for Hamburg (sections 4.1.2 and 4.1.3). The structure of the manuscript has been changed for a more consistent presentation of the new implementations of the CityChem extension. The performance of EPISODE-CityChem in the full-year simulation for Hamburg is now compared to the performance of EPISODE and of TAPM. The added value of comprehensive treatment of grid photochemistry compared to PSS is illustrated in the revised manuscript in an additional result section 4.3.2 "Modelling of ozone formation".

13. There are several annoying features that distracts the reader from truly appreciating the presentation. For example:a. As already pointed out is part 1 of the article series, not yet available. Still, the text frequently refers to Hamer et al. for explanations of background and details omitted in the presentation.

We keep the references to part 1 (Hamer et al., 2019) since the part 1 manuscript will be submitted soon.

Hamer, P. D., Slørdal, L. H., Walker, S. E., Sousa-Santos, G., Karl, M.: The urban dispersion model EPISODE: Part I: A Eulerian and subgrid-scale air quality model and its application in Nordic winter conditions, Geosci. Model Dev., in preparation, 2019.

13. b. The manuscript is long and the average reader lose focus after a while. Consider shorten and remove some parts:

-TWOSTEP is unnecessary mentioned and explained several times.

-There is no need, in my opinion to describe, in great detail, the interpolation and file format conversion of the boundary data to the EPISODE model (section 2.3.1). -What is the rationale for testing the EMEP45, EmChem03-mod and EmChem09-mod in this presentation. Doesn't that belong to the standard EPISODE model (part 1 of the article series?).

-The section of comparing the new and standard K(z) profile (4.1.3) is not suited for this manuscript as the new K(z) method is described in part 1 of the article series. It also distracts the reader from the CityChem extensions -which should be the focus of this manuscript.

The mention of TWOSTEP is not unnecessary because it is a key element of the photochemistry functionality in the CityChem extension. Duplicate definition of TWOSTEP on page 4, line 24-25 has been removed.

The details on the format conversion of boundary condition data from CMAQ in section 2.3.1 and parts of the boundary data description on page 19 have been moved to a new Appendix D. The adaption of CMAQ concentrations at the boundaries is a feature of EPISODE-CityChem that had not been possible in the standard EPISODE.

As stated above in reply to the second comment (specific comment no. 2) of this Reviewer, the three photochemical schemes EMEP45, EmChem03-mod and EmChem09-mod belong to the CityChem extension and are therefore included in this part. We admit that the current presentation was confusing and that the Introduction did not make a clear point about grid photochemistry being a component of the CityChem extension. Although EMEP45 has been outlined in an old technical report (Walker et al., 2003) of NILU, this is the first time that EMEP45 and the newer photochemical schemes are presented in the peer-reviewed literature. Therefore, EMEP45 and the two newer chemistry schemes should be considered as important components of this part 2 paper and of EPISODE-CityChem. The Introduction and section 2 (page 4 of the original manuscript) have been revised to make this clear.

We agree with the Reviewer that the section 4.1.3 of the original manuscript which presents a comparison of the vertical profile with the new urban K(z) and the standard K(z) in EPISODE is better suited for part 1 where the description of the modification of the vertical eddy diffusivity is given. The new urban K(z) method does not belong to the implementations of CityChem extension. Section 4.1.3 and Figure 7 have been removed.

Walker, S.-E., Solberg, S., and Denby, B.: Development and implementation of a simplified EMEP photochemistry scheme for urban areas in EPISODE, Norwegian Institute for Air Research, NILU TR 13/2003, Kjeller, Norway, 2003.

13. c. I don't think it is good practice to discuss results in the main text that are only presented in the Supplement. This happens throughout the manuscript.

We have considered this remark of the Reviewer during the revisions of the manuscript. However, there is a trade-off between the shortening of the manuscript and the inclusion of more supplementary information within the manuscript. Figure S6 has been included in the revised manuscript. Figure S2 has been included in the new Appendix D. Several SI figures have been removed because they belonged to short-term evaluations (Figures S3, S4, S7 and S8 and Table S5).

13. d. The order of how items are presented and discussed is stochastic and confusing for the reader. Check, for example, section 4.2 where the different species are discussed in a seemingly random order, not even following the order in which they are presented in the figures.

Due its unproportioned length, the original section 4.2 has been divided in two parts: the first part (new section 4.2) deals with the evaluation of EPISODE-CityChem (now including standard EPISODE and TAPM) and the second part (new section 4.3 "Atmospheric chemistry in the urban area") deals with aspects of the urban atmospheric chemistry. Section 4.2 has been further divided into several subsections with clear topics. The new subsections are:

- 4.2.1 Setup of the model evaluation and performance analysis
- 4.2.2 Evaluation of downscaled meteorological data
- 4.2.3 Evaluation of the temporal variation of pollutants
- 4.2.4 Evaluation of the spatial variation of pollutants
- 4.2.5 Model performance analysis for policy support applications

The description of the full-year evaluation of EPISODE-CityChem in new subsections 4.2.3 and 4.2.4 will be given in the same order of pollutants, i.e. first NO (only spatial variation) and NO₂, then O₃, SO₂ (only spatial variation), finally PM_{2.5} (only spatial variation) and PM₁₀. Therefore, the order of tables 8-10 has been changed: Table 8: NO₂, Table 9: O₃, Table 10: PM₁₀.

The text in section 4.2 on page 26 and 27 gives a description of the atmospheric chemistry of Hamburg based on model results. The text is now in a separate section 4.3.1 "Mapping of annual mean concentrations" and the order of describing chemical compounds is the same as in Figure 10 of the original manuscript. Further, a new subsection 4.3.2 "Modelling ozone formation" has been added in the revised manuscript to compare the effect of advanced photochemistry on the Eulerian grid to the PSS (see reply to specific comment no. 12).

13. e. An overwhelming number of acronyms are introduced and used throughout the manuscript. Acronyms admittedly decrease the length of the presentation but also decrease legibility when these are first introduced and when the reader needs to go back and search for the explanation of a particular term. Would it be possible to put all explanations in a common table, for easy reference? Some acronyms are not described the first time they are introduced. For example w_sc and L_max on page 8; TAPM on page 9;CMAQ on page 11;

We have added a table of the used acronyms in the new Appendix A of the revised manuscript. The definition of variables W_sc and L_max has been added. TAPM and CMAQ are now defined in the beginning of section 2 (page 4 of the original manuscript).

Minor issues / typos

1. Page 1, 2nd sentence: "... lower latitudes ...". Why open with this in the Abstract? Hamburg is hardly lower latitudes. For most readers Hamburg clearly classifies as belonging to "...northern European cities."

The second sentence in the abstract has been changed:

"The development of the CityChem extension was driven by the need to apply the model in largely populated urban areas with highly complex pollution sources of particulate matter and various gaseous pollutants". In the Introduction (page 2, line 33-34) the statement on lower latitudes has been deleted.

2. P2, L20: "...150 km2 in size...". Unrealistically small domain if you use a 1 x 1 km2 resolution.

Thank you for spotting this mistake. The largest urban area domain extent computed with EPISODE-CityChem until now is 50 km x 50 km. We have replaced the number by 2500 km².

3. P3, Bullets: Change place/numbering of item 1 and 3. In the following sections you discuss modifications to photochemistry first (Section 2.1), then the street canyon model (Section 2.2.1) and finally the extension that provides realistic winds at actual plume height (section 2.2.2). Please also introduce the three modifications in the same order in the abstract (it is currently photochemistry, plume rise, line source).

There are four major items of the CityChem extension. We change the item list here, in the abstract, in the Introduction and in the beginning of section 2 in order to have a consistent order: 1. Grid photochemistry, 2. Sub-grid chemistry, 3. Street canyon, 4. Point sources.

4. P4, L16: "... fine particulate matter with (PM2.5;...". The sentence is not correct.

Changed.

5. P4, L18-19: "... NO2, the major pollutant in many cities of northern Europe". This statement is opposite to what is said in the introduction (P1, L21-23), where PM and ozone is pointed out as the main air pollution issues in Europe!

The statement in the Introduction (page 1, line 21-23) has been revised to consider NO_2 as important pollutant in European cities. The following sentence has been added on page 2, line 6:

"Traffic is a major source of nitrogen oxides ($NO_X = NO_2 + NO$) and highly contributes to the population exposure to ambient NO_2 concentrations in urban areas, because these emissions occur close to the ground and are distributed across densely populated areas. Urban emissions of ozone precursors are transported by local/regional air mass flows towards suburban and rural areas, which can be impacted by O_3 pollution episodes (Querol et al., 2016)."

Querol, X., Alastuey, A., Reche, C., Orio, A., Pallares, M., Reina, F., Dieguez, J. J., Mantilla, E., Escudero, M., Alonso, L., Gangoiti, G., and Millan, M.: On the origin of the highest ozone episodes in Spain, Sci. Total Environment, 572, 379-389, https://doi.org/10.1016/j.scitotenv.2016.07.193, 2016.

6. P6, L21-22: "... NOx are often below 1 ppbv." This is a too strong sentence. NOx is rarely below 1 ppbv in "rural and sub-urban areas" of northern Europe.

We have deleted the half sentence.

7. P8, Eq 3: sigma_w0 should likely be squared.

Changed.

8. P9, L13-14: "...the average turbulence of the hypotenuse of the trapezium (slant edge towards the opposite street side)." Can this be explained so the un-initiated understands it?.

The cross-section of the recirculation zone is modelled as a trapezium. In Equation (4), σ_{hyp} is the average turbulence at the hypotenuse of the trapezium. The following explanation has been added on page 9, line 11:

"The cross-section of the recirculation zone is modelled as a trapezium with the upper length L_{top} and baseline length L_{base} . L_{top} is half of the baseline length, where L_{base} , is defined as min(L_{rec} , L_{max}). The length of the hypotenuse of the trapezium is calculated as $L_{hyp} = \sqrt{(L_{base}/2)^2 + H_{sc}^2}$ assuming the leeward side edge of the recirculation zone to be the vertical building wall, with the length of the building height. It is further assumed that the slant edge of the recirculation zone towards the opposite street side is not intercepted by buildings."

9. P9, L15: (L_base/2) should likely be squared.

Changed.

10. P10, L1: Consider renaming the header of this section as it mainly goes through the method of deducing wind speed at height z. The "plume rise model" is only described by referring to Briggs.

The section 2.2.2 has been renamed to "Implementation of the WMPP for point sources".

11. P10, L20: I do not understand the +0.01 term. Can it be explained?

The +0.01 term is due to a change from potential temperature to actual temperature. Theta is potential temperature and T is actual temperature.

12. P12, Eq10: "C_point,s" should likely read "C_point,p".

Changed.

13. P14, L12: "... the ratio 10:1; ...". Why not indicate this line in Fig. 2a?

A line with slope 10:1 has been added in Fig. 2a.

14. P15, L22: "Despite a slightly ...". This sentence is not complete.

The incomplete sentence has been deleted.

15. P17, L7: What is the difference between "actual plume height" and "final plume height"?

The "actual plume height" refers to the intermediate plume heights that are reached along the plume trajectory until the equilibrium height of the final plume rise is reached. We have replaced "actual plume height" by "plume heights along the plume trajectory".

16. P17, L25: "...roughly proportional to ..." -> "...roughly inversely proportional to ..." (?).

Thank you for spotting this. Changed.

17. P17, L26: "...40.5 m (neutral) to 32.4 m ...". Can you really defend three significant digits?

The effective emissions heights have been changed to two significant digits, here and in Table 3.

18. P19, L18: "...factor of 2.8 ...". Why not "...factor of 8 ..."?

In this example, a finer resolution leads to a doubling of the number of model steps within one hour. The fact that the computation time increases by a factor of 2.8 and not 8 shows that the computational demand mainly depends on the numerical model time step, while the number of grid cells has a smaller influence on the computation time. The numerical model time step of the EPISODE model is computed dynamically based on the critical time steps associated with the solution of the horizontal advection, vertical advection and diffusion processes.

19. P20, L22: "But considerations ...". Strange start of sentence.

The sentence has been rephrased.

20. P23-28: Section 4.2 is much longer than any of the other sections. Can it be divided into subsections for increased legibility?.

The section 4.2 ("Presentation and evaluation of model results") has been divided into several subsection and arranged in accordance with general comments of this Reviewer, see reply to specific comment no. 13d.

21. P23, L3: "...when on the following days." -> "...than on the following days." (?).

Section 4.1.3 has been removed.

22. P24, L1: "26.56". Can you really defend four significant digits?

The value is now given with two significant digits.

23. P24, L11-12. Sentence including "..., modelled and show ..." is not correct.

The sentence has been rewritten as follows: "At Hamburg weather mast, modelled versus observed wind directions show good agreement in 10 m and 50 m height (IOA \ge 0.89) with a Bias in mean wind direction of 16.9° and 6.2° at 10 m and 50 m, respectively."

24. P25, L7: "bias is within +/- 25 ug/m3". From Fig. 8b it is more like +/- 10 ug/m3.

This is correct. The statement on bias of annual mean NO2 was changed to +/- 10 μ g/m3 (for most stations).

25. P25, L12: "...summer (JJA) mean...". Summer mean values are not shown in the manuscript.

The sentence has been deleted. The performance of EPISODE-CityChem for summertime ozone is now discussed in the new section 4.3.2 ("Modelling ozone formation") at the end of section 4.

26. P25, L19: "...diurnal cycles...". Not shown in the main manuscript. Avoid discussing details only shown in supplement..

The text on page 25, line 14-26 has been moved to the new section 4.3.2 ("Modelling ozone formation") at the end of section 4.2. Following the specific comment no. 13c of the Reviewer, Fig. S6 has been included in the manuscript in section 4.3.2 as new Figure 10.

27. P25, L27: "... underestimate observed PM2.5 and PM10...". From Fig. 8e,f, these are excellently reproduced!

We assume that this comment refers to page 25, line 27. The new runs with EPISODE-CityChem with revised boundary concentrations (see specific comment no. 10) show that annual mean $PM_{2.5}$ and PM_{10} at most stations are well reproduced and the previous noted underestimation of observed winter peaks of $PM_{2.5}$ and PM_{10} is not apparent in the new run. In the new run, annual mean PM_{10} is slightly overestimated (by 22-24 %) at the traffic stations. Therefore, the sentence on page 25, line 27 has been deleted in the revised manuscript.

28. P27, L5: "... in some distance..." -> "... at some distance..." (?).

Changed.

29. P29, L5: "...EMEP unified model". I bvelieve "unified" (as of combining photochemistry and acid deposition in the same model) has been dropped since several years..

The word "unified" has been deleted.

30. P29, L9,L14: Is there a reason why you spell out "photochemical steady-state" on line 14, but abbreviate to PSS on line 9?.

This has been exchanged (first spelt out, second abbreviated).

31. P30, L23: "..., yet unregulated pollutants, in cities ..." -> "..., yet unregulated, pollutants in cities ..."..

Changed.

32. P35, L7: "The statistical ...". The sentence is not correct.

Changed.

33. P53, panels (d)-(f): Shouldn't the values to the left of 0 on the abscissa be negative?.

The shown Target diagram is correctly reproduced (refer to Fig. 2 in Monteiro et al., 2018). The explanation for the values on the left part of the abscissa is given in Thunis et al. (2012a): Because the centered RMSE is always positive, only the right part of the diagram would be

needed in the Target plot, while the negative X axis section can be used to provide additional information. Thunis et al. chose to give the information whether the centered RMSE related error is dominated by standard deviation or by correlation. In the right quadrant of the Target diagram, the error related to standard deviation dominates the model performance and in the left quadrant the error related to correlation dominates the model performance. The following information has been added on page 26, line 16:

"In the right quadrant of the Target diagram (Fig. 9d–f), the error related to standard deviation dominates the model performance and in the left quadrant the error related to correlation dominates the model performance."

Monteiro, A., Durka, P., Flandorfer, C., Georgieva, E., Guerreiro, C., Kushta, J., Malherbe, L., Maiheu, B., Miranda, A. I., Santos, G., Stocker, J., Trimpeneers, E., Tognet, F., Stortini, M., Wesseling, J., Janssen, S., and Thunis, P.: Strengths and weaknesses of the FAIRMODE benchmarking methodology for the evaluation of air quality models, Air Quality, Atmosphere & Health, published online, https://doi.org/10.1007/s11869-018-0554-8, 2018.

Thunis, P. Pederzoli, A., Pernigotti, D.: Performance criteria to evaluate air quality modeling applications, Atmos. Environ., 79, 476-482, 2012a.

34. P58: Spell out what version of TAPM (D4?) and CMAQ (CD4?) this refers to.

The name of the nests has been added in the table caption and column header of Table 4 in the revised manuscript.

35. P61, Table 7: It takes a while to realise that TAPM also yields air quality results. In the main text TAPM is only mentioned in terms of dynamical downscaling of meteorology.

Table 7 has been removed together with section 4.1.2; see reply to specific comment no. 8. TAPM will be introduced as a reference model for AQ calculation in the revised manuscript. The evaluation results from the TAPM air quality model from a full-year calculation for Hamburg are have been included in Tables 8-10.

36. P61, Table 7: Why doesn't this table list the stations in the same order as in Tabs. 8-10? Why is station 54BL missing in Table 7? Why is Table 7 presenting absolute bias while Tabs 8-10 present relative bias?

Table 7 has been removed; see reply to specific comment no. 8.

37. P61-P62, Tables 8-10: Spell out in the table legend that the presented model performance statistics is for EPISODE-CityChem.

Reply: The model name has been added in the table captions of Tables 8-10. Data from standard EPISODE and TAPM has been included in these tables in the revised manuscript.

Referee #2

1. EPISODE is a dispersion model for application in urban settings, including chemical reactions. The manuscript describes the architecture of the EPISODE model very carefully and in detail. Tests on modules (e.g., photochemistry) are performed, and described to very successful in terms of producing reasonable results in agreement with previous model and literature data, and referenced to sample data. The model is applied to the domain Hamburg. It is quite impressive how various sources of data sets are utilized in order to achieve the best possible model output. Eventually, the model fulfills performance objectives for the most important air pollutants and can thus be used for regulatory applications. The authors also propose how the model can be further improved in the future. It is a fine contribution that should be published.

We thank the Reviewer for their assessment of the scope and methodology of the manuscript and the applicability of the EPISODE-CityChem model.

There are only a few minor issues this reviewer want to raise:
 a) page 1, line 27: replace "nitrogen oxide" by "nitric oxide"

Thank you. We excuse the mistake in the chemical name of NO. Further, we changed on page 5, line 26, "hydrogen peroxy radical" to "hydroperoxyl radical" (HO₂).

2. b) page 7, R8a is not balanced. Do you want to say: HCHO + 2O2 + hv => CO + 2HO2 ?

R8a was unbalanced with respect to oxygen atoms. Oxygen is usually ignored on the reactant side when setting up chemical equations for the numerical ODE solver because the mixing ratio of oxygen in the atmosphere is not affected by this reaction to any significant extent. The photolysis of formaldehyde in the radical channel gives HCHO + hv => H + HCO. The hydrogen atom (H) quickly combines with O₂ to form HO₂ and the intermediate formyl radical (HCO) reacts very rapidly with O₂ to yield HO₂ and CO. We correct this equation as written by the reviewer to fulfil the balance for oxygen atoms.

2. c) page 7, R10 is not balanced. Do you want to say: OH + CO + O2 => HO2 + CO2 ?

R10 was unbalanced with respect to oxygen atoms. We correct this equation as written by the reviewer to fulfil the balance for oxygen atoms.

2. d) page 18, line 21: What is meant with "urban albedo and conductivity"? Do you mean heat conductivity of surface material?

Albedo and conductivity are material properties referring to urban surfaces such as concrete/asphalt/roofs. Urban albedo means the surface albedo in cities, i.e. the ability of urban surfaces to reflect solar radiation. Urban surface albedo values in TAPM are based on Oke (1988; table 2 therein). Urban conductivity here means thermal conductivity, which is a measure of the ability of a surface material to conduct or transmit heat. A surface material with

a high thermal conductivity will transfer heat at a higher rate than a material having a low thermal conductivity.

For clarity, we have replaced "urban albedo and conductivity" by "albedo of urban surfaces and thermal conductivity of urban surface materials, e.g. concrete/asphalt/roofs".

Oke T. R.: The urban energy balance, Progress in Physical Geography, 12, 471–508, https://doi.org/10.1177/030913338801200401, 1988.

List of relevant changes in the ms

Relevant text changes:

The structure of the manuscript has been changed for a more consistent presentation of the new implementations of the CityChem extension. The performance of EPISODE-CityChem in the full-year simulation for Hamburg is now compared to the performance of EPISODE and of TAPM. The added value of comprehensive treatment of grid photochemistry compared to photochemical steady-state (PSS) assumption is illustrated in the revised manuscript in an additional result section 4.3.2 "Modelling of ozone formation".

1. Introduction

The Introduction has been revised in accordance with the comment of Referee #1 about the unclear presentation of the improvements relating to the CityChem extension compared to the operational EPISODE model, which is described in Part 1 - still in preparation. The improvements included in the CityChem extension are: 1. Grid photochemistry, 2. Sub-grid chemistry, 3. Street canyon, 4. Point sources. This is now presented as an itemized list in the Introduction and the order of items is followed throughout section 2 where the components are described and in section 3 where testing of each component is performed and visualized.

It has been clarified in the Introduction, that Part 1 provides a description of the physical processes of the Eulerian grid model and a basic description of the embedded sub-grid models. The photochemistry on the Eulerian grid is however not included in Part 1, since it belongs to the CityChem extension.

Following a minor remark of Referee #1, a statement about NO₂ as important pollutant in European cities was included:

"Traffic is a major source of nitrogen oxides (NO_x = NO₂ + NO) and highly contributes to the population exposure to ambient NO₂ concentrations in urban areas, because these emissions occur close to the ground and are distributed across densely populated areas. Urban emissions of ozone precursors are transported by local/regional air mass flows towards suburban and rural areas, which can be impacted by O₃ pollution episodes (Querol et al., 2016)."

2. Section 2 "Development and description of EPISODE-CityChem model extensions"

We addressed the comment of Referee #1 about the incomplete description of the EPISODE-CityChem model by modification of Figure 1, giving an illustration of the EPISODE model with the components CityChem extension, and a better description of the configuration of EPISODE-CityChem for air quality simulations. We moved Table 5 of the original manuscript to the beginning of section 2 (now Table 1), displaying the photochemistry schemes that are used for (a) the photochemistry on the Eulerian grid and (b) the sub-grid modules.

Following the remark of Referee #2, we have checked the balance of all reaction equations given in the manuscript and corrected the balance of reaction (R.8a) and (R.10).

The text of Sect. 2.2.1 ("Implementation of a simplified street canyon model (SSCM) for line source dispersion") has been revised to explain better the improvements to the line source subgrid component.

Sect. 2.2.2 was renamed to: "Implementation of the WMPP for point sources". The plume rise formulation according to the Briggs equations is part of the standard EPISODE model and is described in Part 1. The first paragraph in section 2.2.2 was deleted.

Sect. 2.3.1 ("Adapting 3-D boundary conditions from the CMAQ model") which describes the procedure of creating 3-D boundary conditions from a regional air quality simulation with the CMAQ model was shortened and the technical information is now given in the new Appendix D ("Treatment of boundary concentration data").

3. Section 4.1 "Setup of model experiments for the application for AQ modelling in Hamburg"

The description of the setup of the simulations with EPISODE-CityChem for air quality in Hamburg in Sect. 4.1 was revised to better explain the global-to-local chemistry transport modelling chain and the downscaling of meteorological data:

"EPISODE-CityChem was run as part of a one-way nested chemistry-transport model chain from global scale to the urban scale. The APTA (Asthma and Allergies in Changing Climate) global re-analysis (Sofiev *et al.*, 2018) of the Finnish Meteorological Institute (FMI) provided the chemical boundary conditions for the European domain. CMAQ v5.0.1 CTM (Byun *et al.*, 1999; Byun and Schere, 2006; Appel *et al.*, 2013) was run with a temporal resolution of one hour over the European domain and an intermediate nested domain over Northern Europe with 64-km and 16-km horizontal resolution, respectively."

CMAQ simulations were driven by the meteorological fields of the COSMO-CLM (COnsortium for SMallscale MOdeling in CLimate Mode) model version 5.0 (Rockel *et al.,* 2008) for the year 2012 using the ERA-Interim re-analysis of the European Centre for Medium-Range Weather Forecasts (ECMWF) as forcing data (Geyer, 2014).

Within the Northern Europe domain, an inner domain over the Baltic Sea region with 4-km horizontal resolution was nested (Fig. 6a). The 4-km resolved CMAQ simulation of the Baltic Sea region provided the initial and hourly boundary conditions for the chemical concentrations of the Hamburg model domain. The hourly meteorological fields for the study domain Hamburg (30 x 30 km²) were obtained from the inner domain in a nested simulation with TAPM (Hurley *et al.*, 2005) with a 1-km horizontal resolution (D4 in Fig. 6b)."

In accordance with Referee #1, we removed Sect. 4.1.2 ("Description of the experiment to test the CMAQ nesting versus TAPM") and Sect. 4.1.3 ("Experiment to test the new versus old vertical diffusion scheme") and the associated Figure 7 and Table 7. This substantially shortens the manuscript. The "first experiment" described in Sect. 4.1.2 involving constant boundary conditions was moved to the new Appendix D ("Treatment of boundary concentration data") and the associated Figure S2 from the SI was included as new Figure D1 in this appendix.

4. Section 4.2 "Presentation and evaluation of model results"

As recommended by Referee #1, we have divided section 4.2 of the original manuscript into two parts: the first part (new section 4.2) deals with the evaluation of EPISODE-CityChem (now including standard EPISODE and TAPM) and the second part (new section 4.3 "Atmospheric chemistry in the urban area") deals with aspects of the urban atmospheric chemistry.

Section 4.2 has been further divided into several subsections with clear topics. The new subsections are:

- 4.2.1 Setup of the model evaluation and performance analysis
- 4.2.2 Evaluation of downscaled meteorological data
- 4.2.3 Evaluation of the temporal variation of pollutants
- 4.2.4 Evaluation of the spatial variation of pollutants
- 4.2.5 Model performance analysis for policy support applications

The description of the full-year evaluation of model results in new sections 4.2.3 and 4.2.4 is given in the same order of pollutants, i.e. first NO (only spatial variation) and NO₂, then O₃, SO₂ (only spatial variation), finally PM_{2.5} (only spatial variation) and PM₁₀. Therefore, the order of tables 8-10 has been changed: Table 8: NO₂, Table 9: O₃, Table 10: PM₁₀.

We have carefully inspected the code of the pre-processing utility BCONCC that is used to extract the 3D concentration field at the lateral and vertical boundaries from the CMAQ simulation (section 2.3.1 of the original manuscript). This led to the detection of a bug in the routine that distributes the CMAQ concentrations into the vertical layers (vertical interpolation) of EPISODE-CityChem. The 3D boundary conditions have been re-calculated with the revised BCONCC tool and new runs with EPISODE-CityChem using the re-calculated boundary conditions improved the performance for ozone and particulate matter concentrations.

The performance evaluation of the model was extended by comparing to model results from a full-year run with the standard EPISODE model and the TAPM air quality model. Comparison to results from the standard EPISODE model are used to assess the total effect of the new implementations of the CityChem extension. In the standard EPISODE model, the PSS approximation is used at the receptor points and on the Eulerian grid; the street canyon model and the WMPP module were deactivated. TAPM model is used as a reference model for AQ modelling. CMAQ was not further included in the evaluation because CMAQ cannot give realistic concentrations at the traffic sites and the industrial sites.

The text in sections 4.2.3-4.2.5 now considers air quality model results from EPISODE and TAPM and briefly explains the differences between the models and the possible reasons for different performances of the models.

The evaluation results from the standard EPISODE model and from the TAPM air quality model from a full-year calculation for Hamburg are included in Tables 7-9 of the revised manuscript (i.e. Tables 8-10 of the original manuscript).

The evaluation of the model quality objectives based on the FAIRMODE DELTA Tool in the new section 4.2.5 ("Model performance analysis for policy support applications") has been repeated for the three models included in the full-year evaluation. We revised the text belonging to Figure 9 of the original manuscript to better explain the meaning of the target diagrams obtained from the DELTA Tool.

In order to shorten the manuscript, we deleted the last 30 rows of original section 4.2 and Figure 11 of the original manuscript.

5. New Section 4.3 "Atmospheric chemistry in the urban area"

The text in section 4.2 on page 26 and 27 of the original manuscript gave a description of the atmospheric chemistry of Hamburg based on model results. In the revised manuscript, the text is now in a separate section 4.3.1 "Mapping of annual mean concentrations" and the order of describing chemical compounds is the same as in Figure 10 of the original manuscript. Further, a new section 4.3.2 "Modelling ozone formation" has been added in the revised manuscript to compare the effect of advanced photochemistry on the Eulerian grid to the PSS.

A new figure panel was included (Figure 10 of the revised manuscript) related to new section 4.3.2. The new Figure 10 shows the comparison of ozone formation in summer between the advanced photochemistry scheme EmChem09-mod and the photochemical state assumption for summer mean concentrations and diurnal profiles of O_3 and NO_2 .

8. Conclusions

The Conclusion was aligned with the order of the presentation of the improvements in the CityChem extension: 1. Grid photochemistry, 2. Sub-grid chemistry, 3. Street canyon, 4. Point sources.

The comparison of the performances of EPISODE-CityChem, EPISODE and TAPM for simulation of the air quality in Hamburg is summarized in the Conclusions as follows (page 32, line 6 of the original manuscript):

"The ability to reproduce the temporal variation of major regulated pollutants at AQ monitoring stations in Hamburg was compared to that of the standard EPISODE model and the TAPM AQ model using identical meteorological fields and emissions. EPISODE-CityChem performs better than EPISODE and TAPM for prediction of hourly NO₂ concentrations at the traffic stations, which is attributable to the street canyon model. EPISODE-CityChem was in better agreement with observed O₃ daily maximum of the 8-h running mean than the other two models. For daily mean PM₁₀ at urban background stations, EPISODE-CityChem and EPISODE gave better results than TAPM, largely due to the use of hourly 3-D boundary conditions from CMAQ."

The modelled ozone formation in summer with EPISODE-CityChem is summarized in the Conclusions as follows (page 32, line 10 of the original manuscript):

"The effect of using an advanced photochemical mechanism (EmChem09-mod) compared to the PSS assumption for modelling ozone concentrations and ozone production was investigated in summer (JJA) simulations for Hamburg. Photochemical ozone production was found to take place in the outflow of polluted air from the city, implying that advanced photochemistry is necessary for a more accurate prediction of O_3 in the urban background. However, the modelled daily maximum O_3 in summer afternoons was ca. 25 % lower than observed at an inner-city urban background station. In addition, the model predicted too high NO₂ concentration in the evenings at two urban background stations. Further investigation of the high modelled evening NO_x in summer will require sensitivity analysis of the various source categories contributing to the NO_x levels in the inner city, which remains as a task for future studies."

Tables:

Table 1.

The table has been renumbered as Table 2.

Table 2.

The table has been renumbered as Table 3.

Table 3.

The table has been renumbered as Table 4.

Table 4.

The table has been renumbered as Table 5.

Table 5.

The table has been moved to section 2 and is now Table 1.

Table 7.

The table has been removed.

Table 8.

The table now includes results of the statistical performance analysis from standard EPISODE and TAPM for the temporal variation of O_3 based on daily maximum of the 8-h running mean concentration.

Table 9.

The table now includes results of the statistical performance analysis from standard EPISODE and TAPM for the temporal variation of PM_{10} based on daily mean concentration.

Table 10.

The table has been renumbered as Table 7. The table now includes results of the statistical performance analysis from standard EPISODE and TAPM for the temporal variation of NO_2 based on hourly concentration.

Table A1.

A new table giving a list of acronyms and abbreviations used in the manuscript text was added in new Appendix A.

Figures:

Figure 1.

The illustration of the components of the CityChem extension was improved.

Figure 2.

A line of VOC/NO_X ratio 10:1 was added in the ozone isopleth diagram of Figure 2a.

Figure 4.

Figure 4b has been revised to improve the annotation of lines from EP10-Plume and PSS. The overlap of lines is now mentioned in the figure caption.

Figure 7.

The figure has been removed because the description of new vertical eddy diffusivity method, urban K(z) method, and the associated testing of the modification does not belong to the implementations of CityChem extension, as noted by Referee #1.

Figure 8.

The figure has been renumbered as Figure 7.

Figure 9.

The figure has been renumbered as Figure 8.

Figure 10.

The figure has been renumbered as Figure 9.

Figure 11.

The figure panel on concentration time profiles of a 6-day ozone episode has been replaced by a new figure panel showing the comparison of ozone formation in summer the advanced photochemistry scheme EmChem09-mod and the photochemical state assumption for summer mean concentrations and diurnal profiles of O_3 and NO_2 . The figure has been renumbered as Figure 10.

Figure D1.

The new Figure D1 in the new Appendix D illustrates the effect of boundary conditions on the modelled concentrations of O_3 and $PM_{2.5}$ in the centre of the model domain of Hamburg.

The Eulerian urban dispersion model EPISODE. Part II: Extensions to the source dispersion and photochemistry for EPISODE-CityChem v1.2 and its application to the city of Hamburg

Matthias Karl¹, Sam-Erik Walker², Sverre Solberg², and Martin O. P. Ramacher¹

¹Chemistry Transport Modelling, Helmholtz-Zentrum Geesthacht, Geesthacht, Germany ²Norwegian Institute for Air Research (NILU), Kjeller, Norway.

Correspondence to: M. Karl (matthias.karl@hzg.de)

Abstract. This paper describes the CityChem extension of the Eulerian urban dispersion model EPISODE. The development of the CityChem extension was driven by the need to apply the model in lower latitude cities with higher insolation than in northern European cities. largely populated urban areas with highly complex pollution sources of particulate matter and various gaseous pollutants. The CityChem extension offers a more advanced treatment of the photochemistry in urban areas and entails

- 5 specific developments within the sub-grid components for a more accurate representation of the dispersion in the proximity of urban emission sources. The WMPP (WORM Meteorological Pre-Processor) is used in the point source Photochemistry on the Eulerian grid is computed using a numerical chemistry solver. Photochemistry in the sub-grid model to calculate the wind speed at plume heightcomponents is solved with a compact reaction scheme, replacing the photo-stationary state assumption. The simplified street canyon model (SSCM) is used in the line source sub-grid model to calculate pollutant dispersion in street
- 10 canyons. The WMPP (WORM Meteorological Pre-Processor) is used in the point source sub-grid model to calculate the wind speed at plume height. The EPISODE-CityChem model integrates the CityChem extension in EPISODE, with the capability of simulating photochemistry and dispersion of multiple reactive pollutants within urban areas. The main focus of the model is the simulation of the complex atmospheric chemistry involved in the photochemical production of ozone in urban areas. The ability of EPISODE-CityChem was evaluated with a series of tests and with a first application to the air quality situation in the
- 15 eity of Hamburg, Germany to reproduce the temporal variation of major regulated pollutants at air quality monitoring stations in Hamburg, Germany, was compared to that of the standard EPISODE model and the TAPM air quality model using identical meteorological fields and emissions. EPISODE-CityChem performs better than EPISODE and TAPM for prediction of hourly NO₂ concentrations at the traffic stations, which is attributable to the street canyon model. Observed levels of annual mean ozone at the five urban background stations in Hamburg are captured by the model within ±15 %. A performance analysis with
- the FAIRMODE DELTA Tool for the air quality in Hamburg showed that the model EPISODE-CityChem fulfils the model performance objectives for NO₂ (hourly), O₃ (daily max. of the 8-h running mean) and PM₁₀ (daily mean) set forth in the Air Quality Directive, qualifying the model for use in policy applications. Observed levels of annual mean ozone at the five urban background stations in Hamburg are captured by the model within ± 15 %. Envisaged applications of the EPISODE-CityChem model are urban air quality studies, emission control scenarios in relation to traffic restrictions and the source attribution of
- 25 sector-specific emissions to observed levels of air pollutants at urban monitoring stations.

1 Introduction

Air quality (AQ) modelling plays an important role by assessing the air pollution situation in urban areas and by supporting the development of guidelines for efficient air quality planning, as highlighted in the current Air Quality Directive (AOD) of the European Commission (EC, 2008). Main air pollution issues in Europe European cities are the human health impacts of

- exposure to particulate matter (PM), nitrogen dioxide (NO₂) and ozone (O₃); while the effects of air pollution due to nitrogen 5 dioxide (), sulphur dioxide (SO₂), carbon monoxide (CO), lead (Pb) and benzene have been reduced during the last two decades due to emission abatement measures. Ozone Tropospheric (ground-level) ozone is a secondary pollutant, generated in the troposphere in photochemical reaction cycles involving two classes of precursor compounds, i.e. nitrogen oxides and volatile organic compounds (VOCs), in photochemical reaction cycles, initiated by the reaction of the hydroxyl (OH) radical
- with organic molecules. For health protection, a maximum daily 8-hour mean threshold for ozone $(120 \,\mu g \,m^{-3})$ is specified 10 as a target value in the European Union, which should not be exceeded at any AQ monitoring station on more than 25 days per year. However, about 15% of the population living in urban areas is exposed to ozone concentrations above the European Union (EU) target value (EEA, 2015). Traffic is a major source of nitrogen oxides ($NO_X = NO_2 + NO$) and highly contributes to the population exposure to ambient NO_2 concentrations in urban areas, because these emissions occur close to the ground
- and are distributed across densely populated areas. Urban emissions of ozone precursors are transported by local/regional air 15 mass flows towards suburban and rural areas, which can be impacted by O_3 pollution episodes (Querol et al., 2016).

Eulerian chemistry-transport model (CTM) systems using numerical methods for solving photochemistry (including chemical reaction schemes with varying degree of detail) have mainly been used for regional-scale air quality studies. Recent nested model approaches using regional CTM systems have been applied to capture pollution processes from the continental scale to

the local scale, using between 1-km to 5-km resolution and a temporal resolution of 1 hour for the innermost domain (e.g. Borge 20 et al., 2014; Karl et al., 2015; Petetin et al., 2015; Valverde et al., 2016). Regional AQ models can give a reliable representation of O₃ concentrations in the urban background, but due to their limitation in resolving the near-field dispersion of emission sources and photochemistry at sub-kilometre scale, i.e. in street canyons, around industrial stacks and on neighbourhood level, they cannot provide the information needed by urban policymakers for population exposure mapping, city planning and the 25 assessment of abatement measures.

Urban scale AQ models overcome the limitation inherent in regional scale models by taking into account details of the urban topography, wind flow field characteristics, land use information and the geometry of local pollution sources. The urban AO model EPISODE developed at the Norwegian Institute for Air Research (NILU) is a 3-D Eulerian grid model that operates as a CTM, offline coupled with a numerical weather prediction (NWP) model. EPISODE is typically applied with a hori-

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zontal resolution of $1 \times 1 \text{ km}^2$ over an entire city with domains of up to $\frac{1502500 \text{ km}^2}{1502500 \text{ km}^2}$ in size. The Eulerian grid component of EPISODE simulates advection, vertical/horizontal diffusion, background transport across the model domain boundaries, and photochemistry. Several sub-grid scale modules are embedded in EPISODE to represent emissions (line source and point sources), Gaussian dispersion and local photochemistry. In particular, the model allows the user to retrieve concentrations at the sub-grid scale in specified locations of the urban area. Moreover, the EPISODE model is an integral part of the operational Air Quality Information System AirQUIS 2006 (Slørdal et al., 2008).

Part one (Hamer et al., 2019) of this two-part article series provides a detailed description of the EPISODE model system including the physical processes for the atmospheric pollutant transport, photochemistry involving nitrogen the

- 5 photo-stationary state (PSS) approximation, involving nitric oxide oxide (NO), NO₂ and O₃based on the photo-stationary state (PSS) approximation, the sub-grid components and the interaction between the Eulerian grid and the sub-grid processing of pollutant concentrations. Part one examines the application of EPISODE to air quality scenarios in the Nordic winter setting. During wintertime in Northern Europe, the PSS assumption is a rather good approximation of the photochemical conversion occurring close to the emission sources. However, when the solar ultraviolet (UV) radiation is stronger, in particular during
- 10 summer months or at more southerly locations, net ozone formation may take place in urban areas at a certain distance from the main local emission sources (Baklanov et al., 2007). The intention to achieve a wider applicability of the model to cities in lower latitudes drove the development of the CityChem extension. EPISODE in its routine application does not allow for the treatment of photochemistry involving VOC and other reactive gases leading to the photochemical formation of ozone.

In this part, the features of the CityChem extension for treating the <u>complex</u> atmospheric chemistry in urban areas and specific developments within the sub-grid components for a more accurate representation of the near-field dispersion in the proximity of urban emission sources are described.

Atmospheric chemistry on an urban scale is complex due to the large spatial variations of input from anthropogenic emissions. VOCs related to emissions from traffic are involved in the chemical conversion in cities at lower latitudesurban areas. Therefore it becomes necessary to simulate a large number of chemical interactions involving nitrogen oxides ($NO_X = +$),

 O_3 , VOCs, SO_2 and secondary pollutants. In order to use comprehensive photochemical schemes in urban AQ models involving VOC interactions, the highest priority for the initial development was to reduce the number of compounds and reactions to a minimum, while maintaining the essential and most important aspects of chemical reactions taking place in the urban atmosphere on the relevant space and time scales. From this initial development work at NILU, the-

CityChem offers a more advanced treatment for the photochemistry on the Eulerian grid of multiple gaseous pollutants and for the dispersion close to point emission sources (e.g. industrial stacks) and line emissions sources (open roads and streets):

Photochemistry on the Eulerian grid using a numerical chemistry solver. The available chemistry schemes include (1) EMEP45 chemical mechanism (Walker et al., 2003) (Walker et al., 2003) which resulted from an appropriate reduction of the former EMEP (European Monitoring and Evaluation Programme) chemistry scheme (Simpson, 1995). In recent years, the need to update and expand the ; (2) EmChem03-mod, with updated reaction equations and coefficients of compared to EMEP45led to the development of EmChem03-mod, using a chemical pre-processor for the automated set-up of differential equations of the chemistry solver in Fortran 90 code. The latest development is the ; (3) and EmChem09-modscheme, which is similar to the current EMEP chemistry mechanism (EmChem09; Simpson et al., 2012). EmChem09-mod enables the simulation of biogenic VOCs such as isoprene and monoterpenes, emitted from urban vegetation.

CityChem offers a more advanced treatment for the dispersion close to point emission sources (e.g. industrial stacks) and line emissions sources (open roads and streets):

- 2. Modifications to the plume rise from elevated point sources allow for a more accurate computation of the plume trajectories. The WMPP (WORM Meteorological Pre-Processor) is utilized in the CityChem extension to calculate the wind speed at the actual plume height of the photochemistry in the sub-grid components, replacing the PSS assumption
- 5

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wind speed at the actual plume height of the photochemistry in the sub-grid components, replacing the PSS assumption with the EP10-Plume scheme, a compact scheme including inorganic reactions and the photochemical degradation of formaldehyde, using a numerical solver.

- 3. Modifications of the line source emission model to compute receptor point concentrations in street canyons. A simplified street canyon model (SSCM) is implemented to account for pollutant transfer along streets, including a parameterization of the mass transfer within a simplified building geometry at street level.
- 4. Modifications of the photochemistry in the sub-grid components, replacing the PSS assumption with the EP10-Plume scheme, a compact scheme including inorganic reactions and the photochemical degradation of formaldehyde, using a numerical solverto the plume rise from elevated point sources allow for a more accurate computation of the plume trajectories. The Meteorological Pre-Processor (WMPP) of the Weak-wind Open Road Model (WORM) is utilized in the CityChem extension to calculate the wind speed at plume height.

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Although computational fluid dynamics (CFD) models can also be used to solve for the above local-scale phenomena along point and line emission sources, they are limited to localized applications and are not appropriate for the simulation of dispersion across complex urban areas. In addition, the simulation of chemical conversions of reactive pollutants using CFD models requires a large amount of computational time (Sanchez et al., 2016).

- 20 The EPISODE-CityChem model, which is based on the core of the EPISODE model, integrates the CityChem extension into an urban CTM system. This paper gives a model description of EPISODE-CityChem version 1.2. In the typical setup, EPISODE-CityChem uses downscaled meteorological fields generated by the meteorological component of the coupled meteorology-chemistry model TAPM (The Air Pollution Model; Hurley, 2008; Hurley et al., 2005). TAPM is a prognostic model which uses the complete equations governing the behaviour of the atmosphere and the dispersion of air pollutants.
- 25 EPISODE-CityChem is coupled offline with the regional scale air quality model CMAQ (Community Multiscale Air Quality; Byun et al., 1999; Byun and Schere, 2006; Appel et al., 2013) using hourly varying pollutant concentrations at the lateral and vertical boundaries from CMAQ as initial and boundary concentrations.

EPISODE-CityChem has the capability of simulating the photochemical transformation of multiple reactive pollutants along with atmospheric diffusion to produce concentration fields for the entire city on a horizontal resolution of 100 m or even finer

30 and a vertical resolution of 24 layers up to 4000 m height. The possibility to get a complete picture of the urban area with respect to reactive pollutant concentrations, but also information enabling exposure calculations in highly populated areas close to road traffic line sources and industrial point sources with high spatial resolution, turns EPISODE-CityChem into a valuable tool for urban air quality studies, health risk assessment, sensitivity analysis of sector-specific emissions and the assessment of local and regional emission abatement policy options.

The paper is organised as follows: Sect. 2 gives an overview of EPISODE-CityChem and a detailed description of the photochemical reaction schemes and the modifications of the near-source dispersion in the sub-grid components. Sect. 3 presents tests

- of the various modules of the CityChem extension. Sect. 4 describes the application of EPISODE-CityChem within a nested 5 model chain for simulating the air quality and atmospheric chemistry in the city of Hamburg. Plans-We assess the performance of EPISODE-CityChem in reproducing the temporal and spatial variation of air pollutant concentrations against data from urban monitoring stations. Model results from EPISODE-CityChem are compared (1) to results from the standard EPISODE model to quantify the total effect of the new implementations and (2) to results from TAPM, acting as reference model for air
- pollution modelling on the urban scale. Sect. 5 outlines plans for the future development of the EPISODE-CityChem 10 model, addressing the need for more sophisticated photochemistry, treatment of aerosol formation on an urban scale, and further improvements of the source dispersionare outlined in Sect. 5. A list of acronyms and abbreviations used in this work is given in Appendix A (Table A1).

2 **Development and description of EPISODE-CityChem model extensions**

- 15 EPISODE consists of a 3-D Eulerian grid CTM model that interacts with a sub-grid Gaussian dispersion model for the dispersion of pollutants emitted from both line and point sources. We refer to part one (Hamer et al., 2019) for a technical description of the model. The standard EPISODE model simulates the emission and transport of NO_X, fine particulate matter with (PM_{2.5} \div (particles with diameter less than 2.5 µm) and PM₁₀ (particles with diameter less than 10 µm) in urban areas, and treats the photochemistry involving with the specific aim to predict concentrations of NO₂; the major pollutant in many cities of northern 20 Europe.

Figure 1 illustrates the modules and processes of the EPISODE model. Modules that belong to the CityChem extension are shown in boxes with a magenta frame. Currently, three different mechanisms are available in EPISODE-CityChem for photochemistry solves the photochemistry of multiple reactive pollutants on the Eulerian grid by using one of the following chemical schemes: (1) the EMEP45 chemistryscheme developed at NILU, (2) the EmChem03-mod scheme and (3) the

25 EmChem09-modscheme.

> For the numerical solution of the stiff non-linear system of ordinary differential equations resulting from the chemical reaction systems the TWOSTEP solver (Verwer and Simpson, 1995; Verwer et al., 1996) is applied in CityChem.

> . In the sub-grid components, the PSS assumption involving O₃/NO/NO₂ is replaced by the EP10-Plume scheme. The dispersion close to point and line sources is modified in the sub-grid component. In the line source sub-grid model, the simplified

street canyon model (SSCM) is integrated to calculate pollutant dispersion in street canyons. In the point source sub-grid 30 model the WMPP (WORM Meteorological Pre-Processor) is integrated to calculate the wind speed at the plume height. the line source sub-grid model, the simplified street canyon model (SSCM) is integrated to calculate pollutant dispersion in street canyonsFigure 1 illustrates the modules and processes of the EPISODE model with the CityChem extension. Modules that belong to the CityChem extension are shown in boxes with a magenta frame.

The recommended configuration of model processes in EPISODE-CityChem is given in Table 1. EPISODE-CityChem has been used with this configuration for simulating the air quality and atmospheric chemistry in the city of Hamburg (Sect. 4).

- 5 In EPISODE-CityChem, a regular receptor grid is defined, for which time-dependent surface concentrations of the pollutants at receptor points are calculated by summation of the Eulerian grid concentration of the corresponding grid cell (i.e. the background concentration), and the concentration contributions from the Gaussian sub-grid models due to line source and point source emissions. This way, surface concentration fields of pollutants for the entire city on a horizontal resolution of (currently) 100 m are obtained. The modules of the CityChem extension for photochemistry and source dispersion are described in detail
- 10 in the remainder of this section.

2.1 Extensions to the photochemistry

Atmospheric gas-phase chemical reactions are described by ordinary differential equations (ODEs). The ODE set of reactions is considered stiff because the chemical e-folding lifetimes of individual gases vary by many orders of magnitude in the urban atmosphere (approx. from 10^{-6} to 10^{6} s⁻¹; McRae et al., 1982). The non-linear system of the stiff chemical ODEs is solved

- 15 by the TWOSTEP solver (Verwer and Simpson, 1995; Verwer et al., 1996) using fast Gauss-Seidel iterative techniques, with numerical error control and restart in case of detected numerical inaccuracies (Walker et al., 2003). The TWOSTEP solver is applied to chemical reaction mechanisms available in EPISODE-CityChem for the photochemical transformation on the Eulerian grid (EMEP45, EmChem03-mod, and EmChem09-mod) and in the sub-grid component (EP10-Plume). For solving the EMEP45 scheme, the Gauss-Seidel iterative technique is used for all compounds except for the oxygen atoms and OH
- for which reactions are very fast and where we use the steady state approximation instead (Walker et al., 2003). The relative error tolerances for the TWOSTEP Solver solver are set to 0.1 (10% relative error) for all chemical compounds, while the absolute error tolerances are set in a range from 2.5×10^8 molecule cm⁻³ to 1.0×10^{15} molecule cm⁻³ depending on compound. Photodissociation rates are specified as a function of the solar zenith angle and cloud cover, as given in Appendix AB. The sink terms for dry deposition and wet removal of gases and particles are presented in Appendix BC.

25 2.1.1 Development and description of the EMEP45 chemistry scheme

The EMEP45 chemistry scheme developed at NILU (Walker et al., 2003) contains 45 chemical compounds and about 70 chemical reactions, as compared to 70 compounds and about 140 reactions of the original EMEP mechanism (Simpson, 1992, 1993, 1995; Andersson-Sköld et al., 1999).

The intention of the development of EMEP45 was to obtain a condensed chemical scheme for urban areas that still captures 30 the key aspects of the photochemistry in the urban atmosphere. The reduction of the EMEP mechanism was guided by the following considerations: first, the new chemistry scheme is applied in rather polluted urban regions and second, the residence time of the atmospheric compounds in the urban domain is normally limited to less than a day. The main simplification in EMEP45 compared to the original EMEP mechanism is the neglect of the peroxy radical self-reactions. The self-reactions of peroxy radicals, either between organic peroxy radical (RO₂) and hydrogen peroxy hydroperoxyl radical (HO₂), or between two organic peroxy radicals,

$$HO_2 + HO_2 \longrightarrow H_2O_2 + O_2$$
 (R1)

5
$$RO_2 + HO_2 \longrightarrow RO_2H + O_2$$
 (R2)

$$RO_2 + RO_2 \longrightarrow products$$
, (R3)

are in competition with the reaction of RO₂ (or HO₂) with NO leading to photochemical ozone formation:

$$RO_2 + NO \longrightarrow RO + NO_2$$
 (R4)

At ambient levels of NO_X typical of moderately or more polluted areas, reactions (R.1) - (R.3) will be negligible compared 10 with reaction (R.4). Thus, all reactions of organic peroxy radicals of type (R.2) and (R.3) were omitted in the EMEP45 scheme. However, due to their relevance, the reaction of HO₂ with the methyl peroxy radical (CH₃O₂) and the HO₂ self-reaction (R.1) were included. EMEP45 includes a simple four-reaction scheme for the oxidation of isoprene (C₅H₈) with the OH radical. All reaction rates and coefficients in EMEP45 are according to the International Union of Pure and Applied Chemistry (IUPAC) 2001 recommendation (Atkinson et al., 2000).

15 2.1.2 Development of the EmChem03-mod scheme and the EmChem09-mod scheme

The EMEP45 scheme was updated in recent years at the Helmholtz-Zentrum Geesthacht (HZG). All reaction rate constants were updated in accordance with the default chemistry scheme EmChem09 of the EMEP/MSC-W model (Simpson et al., 2012). The resulting scheme is called EmChem03-mod and consists of 45 gas-phase species, 51 thermal reactions and 16 photolysis reactions, as listed in Table <u>\$1</u>\$2. The most important technical change compared to EMEP45 is that the new scheme can

20 be dynamically updated and further extended with new chemical reactions and compounds. The chemical pre-processor of the EMEP/MSC-W model, GenChem, developed at the EMEP group (Simpson et al., 2012) is used to convert lists of input chemical species and reactions to differential equations of the TWOSTEP solver in Fortran 90 code. This makes the update and extension of the new scheme entirely flexible.

In the next step, the EmChem09-mod scheme (Table §2\$3) was developed based on the current EMEP chemistry mechanism EmChem09 (Simpson et al., 2012), by (1) replacing the detailed isoprene chemistry with the simplified isoprene reaction scheme from EMEP45, (2) adding monoterpene oxidation reactions and (3) including semi-volatile organic compounds (SVOCs) as reaction products which can potentially act as precursors for secondary organic aerosol (SOA) constituents.

EmChem09-mod includes the reactions between organic peroxy radicals and HO_2 and other organic peroxy radicals; it is, therefore, appropriate for low NO_X conditions in rural and sub-urban areas of the city domain where levels of are often below

30 **1**. With EmChem09-mod the chemistry of biogenic volatile organic compounds (BVOCs), emitted from urban vegetation, can be simulated. Two monoterpenes, α -pinene and limonene, are model surrogates to represent slower and faster-reacting monoterpenes (α -pinene: 5.32×10⁻¹¹ cm³ s molecule⁻¹; limonene: 1.7×10⁻¹⁰ cm³ s molecule⁻¹; for the OH-reaction, both at

298 K). The scheme considers the OH-initiated oxidation of isoprene, as well as the oxidation of α -pinene and of limonene by OH, NO₃ and O₃. Limonene has two reactive sites (double bonds) allowing for a rapid reaction chain to oxidation products with low vapour pressure. The lumped reaction scheme of α -pinene is adopted from Bergström et al. (2012) and that of limonene is based on Calvert et al. (2000). In total, EmChem09-mod includes 70 compounds, 67 thermal reactions and 25 photolysis reactions.

2.1.3 Development and description of the EP10-Plume chemistry scheme

In the sub-grid components, i.e. the Gaussian models for line and point source dispersion, the PSS assumption involving $O_3/NO/NO_2$ was replaced by the EP10-Plume scheme, for computation of the chemistry at the local receptor grid points. EP10-Plume includes only the reactions of O_3 , NO, NO₂, <u>nitric acid (HNO₃)</u>, CO and the photochemical oxidation of formaldehyde (HCHO). It contains 10 compounds and 17 reactions; Table S3S4 provides a list.

Only a small portion of NO_X from motor vehicles and combustion sources is in the form of NO_2 , the main part being NO. The largest fraction of ambient NO_2 originates from the subsequent chemical oxidation of NO. The only reactions considered to be relevant in the vicinity of NO_X emission sources are:

$$NO + O_3 \longrightarrow NO_2 + O_2$$
 (R5)

15
$$NO_2 + h\nu \longrightarrow NO + O(^3P)$$
 (R6)

$$O(^{3}P) + O_{2} \longrightarrow O_{3}$$
 (R7)

For conditions in northern Europe, an instantaneous equilibrium between the three reactions relating NO, NO₂ and O₃ is assumed, the so-called PSS, and implemented in the EPISODE model. In EP10-Plume the three reactions are however treated explicitly. Reactions occurring with negligible rates at NO_X levels typically of moderately or highly polluted areas were
excluded from the scheme. HCHO and acetaldehyde are important constituents of the vehicle exhaust gas (e.g. Rodrigues et al., 2012). The photolysis of HCHO is a source of HO₂ radicals:

O ₂ (R8a)	\longrightarrow	HCHO + $2O_2 \pm h\nu$	
(R8b)	\longrightarrow	HCHO + $h\nu$	
O ₂ (R9)	\longrightarrow	OH + HCHO	
O ₂ (R10)	\longrightarrow	$OH + CO \pm O_2$	25

HCHO also reacts with the OH radical to give two HO₂ radicals. HO₂ competes with ozone for the available NO (reaction (R.4)) and the reaction between HO₂ and NO results in additional NO-to-NO₂ conversion. Since the generation of HO₂ radicals through HCHO photolysis does not depend on the entrainment of photo-oxidants from the background air, it can trigger the photochemical reaction cycle even in traffic plumes very close to the source. Carbon monoxide (CO) has a lifetime of about two months towards OH (at $[OH] = 1.2 \times 10^6$ molecules cm⁻³). Reaction (R.10) is therefore not relevant near sources and of very low relevance on urban scale. For completeness of the OH-to-HO₂ cycling, (R.10) was however included in EP10-Plume.

5

10

2.2 Extensions to the source dispersion

Sub-grid models to resolve the dispersion close to point sources and line sources are embedded in the EPISODE model to account for sub-grid variations as a result of emissions along open roads and streets as well as along plume trajectories from elevated point source releases. The sub-grid model for line sources, i.e. open road and urban street traffic, is the Gaussian model

- 5 HIWAY-2 (Petersen, 1980) (Highway Air Pollution Model 2; Petersen, 1980) from US EPA with modifications. The sub-grid model for point sources, e.g. stacks of industrial plants and power plants, is the Gaussian segmented plume trajectory model SEGPLU (Walker and Grønskei, 1992). SEGPLU computes and keeps a record of subsequent positions of plume segments released from a point source and the corresponding pollutant concentration within each plume segment. The vertical position of the plume segment is calculated from the plume rise of the respective point source. Plume rise for elevated point sources
- 10 due to momentum or buoyancy is computed based on the plume rise equations originally presented by Briggs (1969, 1971 and 1975). A detailed description of the implementation of HIWAY-2 and SEGPLU in the EPISODE model is given in part one (Hamer et al., 2019). In this section, the extensions of the sub-grid models for simulation of the dispersion near sources within CityChem are described.

2.2.1 Implementation of a simplified street canyon model (SSCM) for line source dispersion

- 15 In CityChem, a simplified street canyon model (SSCM) to compute concentrations for receptor points that are located in street canyons is introduced. The street canyon model follows in most aspects the Operational Street Pollution Model (OSPM: Berkowicz et al., 1997). A fundamental assumption of this model is that when the wind blows over a rooftop in a street canyon, an hourly averaged recirculation vortex is always formed inside the canyon (Hertel and Berkowicz, 1989). The part of the street canyon covered by the vortex of recirculating air is called the recirculation zone.
- The contribution of a line source s to the receptor concentration The concentration at a receptor point located within an urban street canyon is calculated as the sum of the concentration contribution ($C_{line,s}$) due to the emissions of line source s and the urban background concentration, which is taken from the corresponding cell of the Eulerian grid component. The contribution of a line source s is given by the direct contribution ($C_{scdir,s}$) from the traffic plume plus a contribution from the recirculation of the traffic plume ($C_{screc,s}$) due to the vortex inside the canyon (Berkowicz et al., 1997):

25
$$C_{\text{line},\text{s}} = C_{\text{scdir},\text{s}} + C_{\text{screc},\text{s}}$$
.

(1)

The leeward receptor inside a street canyon is exposed to the <u>direct contributions direct contribution</u> from the emissions inside the recirculation zone (unless the wind direction is close to parallel) and a <u>recirculation contribution</u>. For the receptor on the windward side, only the emissions outside the recirculation zone are considered for the direct contribution. If the recirculation zone extends through the whole canyon, no direct contribution is given to the windward receptor.

30 The length of the recirculation zone, L_{rec} , is estimated as being twice the average building height of the canyon and limited by the canyon width, W_{sc} . The recirculation zone is modelled as a trapezium with the upper length L_{top} being half of the baseline length L_{base} , where L_{base} , is defined as min(L_{rec} , L_{max}) The calculation of the direct and recirculation concentration contributions in this simple approach is adopted from the OSPM model following the description in Berkowicz et al. (1997) with certain modifications. Simplifications are made with respect to the street canyon geometry, since only general geometries with average street canyon width and height are used. The rate of release Q_s in the street assumes that emissions are distributed homogeneously distributed along the line source segment which

5 is inside the street canyon area, which means emissions are assumed to be distributed homogeneously over the street canyon in the full length and width of the canyon (along the dimension of the respective line source object).

The direct contribution direct contribution is calculated using a Gaussian plume model; $C_{\text{scdir,s}}$. The direct concentration contribution at the receptor point $C_{\text{scdir,s}}$ located at distance x from the line source , (i.e. starting from the mid-line of the street ,) is obtained by integrating along the wind path at street level. The integration path depends on wind direction, the extension of the recirculation zone and the street canyon length (Hertel and Berkowicz, 1989):

$$\int_{x_{\text{start}}}^{x_{\text{end}}} \frac{dC_{\text{scdir,s}}}{dx} dx = \sqrt{\frac{2}{\pi}} \frac{Q_{\text{s}}}{W_{\text{sc}}\sigma_{\text{w}}} \cdot \int_{x_{\text{start}}}^{x_{\text{end}}} \frac{1}{x + \frac{u_{\text{street}}h_0}{\sigma_{\text{w}}}} dx.$$
(2)

Where h_0 is a constant that accounts for the height of the initial pollutant dispersion ($h_0 = 2$ m is used in SSCM), σ_w is the vertical velocity fluctuation due to mechanical turbulence generated by wind and vehicle traffic in the street, and u_{street} is the wind speed at street level, calculated assuming a logarithmic reduction of the wind speed at rooftop towards the bottom of the

15 street. Note that the wind direction at street level in the recirculation zone is mirrored compared to the roof level wind direction. Outside the recirculation zone, the wind direction is the same as at roof level. The vertical velocity fluctuation is calculated as a function of the street level wind speed, and the traffic produced turbulence by the following relationship (Berkowicz et al., 1997):

$$\sigma_{\rm w} = \sqrt{(\alpha_{\rm s} u_{\rm street})^2 + (\sigma_{\rm w0})} \sqrt{(\alpha_{\rm s} u_{\rm street})^2 + (\sigma_{\rm w0})^2},\tag{3}$$

20 where α_s is a proportionality constant, empirically assigned a value of 0.1, and σ_{w0} is the traffic-induced turbulence, in SSCM assigned a value of 0.25 m s⁻¹, typical for traffic on working days between 8 a.m. and 7 p.m. in situations where traffic-induced turbulence dominates (Kastner-Klein et al., 2000; fig. 6 therein).

The integration path for Eq. (2) begins from x_{start} which is defined as the distance from the receptor point where the plume has the same height as the receptor, which is zero in the case that h_0 is smaller or equal to the height of the receptor. The upper

integration limit is x_{end} defined by tabular values in Ottosen et al. (2015; table 3 therein). The integration is performed along a straight line path against the wind direction. The calculation of the maximum integration path, L_{max} , depends on the wind direction with respect to the street axis, θ_{street} , i.e. the angle between the street and the street level wind direction (Ottosen et al., 2015).

The contribution from recirculation <u>recirculation contribution</u> is computed using a simple box modeland concentrations 30 are computed, assuming equality of the inflow and outflow of the pollutant. This is expressed by the relationship (Berkowicz et al., 1997):

$$C_{\text{screc},\text{s}} = \frac{Q_{\text{s}}}{W_{\text{sc}}} \cdot \frac{L_{\text{base}}}{\sigma_{\text{wt}}L_{\text{top}} + \sigma_{\text{hyp}}L_{\text{hyp}}}$$

10

where σ_{wt} is the ventilation velocity of the canyon as given by Hertel and Berkowicz (1989) and σ_{hyp} is the average turbulence of the hypotenuse of the trapezium (slant edge towards the opposite street side The cross-section of the recirculation zone is modelled as a trapezium with the upper length L_{top} and baseline length L_{base} . L_{top} is half of the baseline length, where L_{base} , is defined as min(L_{rec} , L_{max}). The length of the hypotenuse of the trapezium is calculated as

5 $L_{\text{hyp}} = \sqrt{(L_{\text{base}}/2) + H_{\text{sc}}^2} \sqrt{(L_{\text{base}}/2)^2 + H_{\text{sc}}^2}$, assuming the leeward side edge of the recirculation zone to be the vertical building wall, with the length of the building height. It is further assumed that the slant edge of the recirculation zone towards the opposite street side is not intercepted by buildings.

The recirculation concentration contribution is expressed by the relationship (Berkowicz et al., 1997):

$$C_{\text{screc},\text{s}} = \frac{Q_{\text{s}}}{W_{\text{sc}}} \cdot \frac{L_{\text{base}}}{\sigma_{\text{wt}}L_{\text{top}} + \sigma_{\text{hyp}}L_{\text{hyp}}},\tag{4}$$

10 where σ_{wt} is the ventilation velocity of the canyon as given by Hertel and Berkowicz (1989) and σ_{hyp} is the average turbulence at the hypotenuse of the trapezium (slant edge towards the opposite street side).

For a given receptor point, the concentration contribution from a line source is calculated either by HIWAY-2 or by SSCM. HIWAY-2 does not calculate line source concentration contributions to receptors that are upwind of a line source and for receptor points that are very close to the line source. For all windward/leeward receptor points, which are (1) located within a

15 model grid cell defined as street canyon cell (see below), and (2) located close enough to a line source (i.e. within the actual street canyon), and (3) located at a road link with length > 8 m, the concentration contribution from the street is calculated by SSCM. For all windward receptors which do not fulfil these conditions, the concentration contribution is calculated by HIWAY-2.

The complex and divers geometry of street canyons is approximated by three generic types for which average street canyon

- 20 geometry properties are applied (Table 1).-2). Street canyons are identified based on the urban land use classes of the TAPM model. Each line source for which the geometric mid-point is located in a grid cell with urban land use (land use classes 32–35 defined in TAPM) is identified as a potential street canyon. A disadvantage of this method is that some streets and roads, especially in the sparse-built urban areas outside the inner city, will be classified as street canyon despite being open roads with open spaces between buildings.
- Furthermore, it is assumed that all buildings at the street canyon line source have the same average building height, H_{sc} , and that there are no gaps between the buildings. The average building heights for the TAPM land use classes were obtained by the intersection of the 3-D city model LoD1-DE Hamburg (LGV, 2014) - which contains individual building heights - with the <u>CORINE (Coordination of Information on the Environment)</u> urban land use information of CORINE (CLC, 2012). The width of the street canyon, W_{sc} , is defined as twice the width of the (line source) street width W, to account for sidewalks and to avoid
- too narrow canyons. The length of the street canyon, L_{sc} , corresponds to the length of the line source within the grid cell.

2.2.2 Implementation of the WMPP based plume rise model for point sources

Plume rise for elevated point sources due to momentum or buoyancy is calculated within the point source sub-grid dispersion model based on the plume rise equations originally presented by Briggs (1969, 1971 and 1975) and takes into account different

boundary layer (BL) stability conditions as characterized by the inverse Monin-Obukhov length. Input parameters such as pollutant emission rates, stack height, diameter, exhaust gas temperature and exit velocity as well as height and width of the building adjacent to the stack can be provided for each point source by the model user.

The wind speed profile function of the WMPP (WORM Meteorological Pre-Processor) meteorological pre-processor WMPP

- 5 is utilized in the CityChem extension to calculate the wind speed at the actual plume height. The meteorological pre-processor plume height within the point source sub-grid dispersion model. WMPP replaces the previous routine which calculated the wind speed at plume height using a logarithmic wind speed profile corrected by the stability function for momentum based on Holtslag and de Bruin (1988). WMPP has been developed as part of NILU's WORM open road line source model (Walker, 2011, 2010), to calculate various meteorological parameters needed by the WORM model. In the current version of the WORM
- 10 model, the profile method is applied, using hourly observations of wind speed at one height, e.g. 10 m, and temperature difference between two heights, e.g. 10 m and 2 m, to calculate the other derived meteorological parameters.

Given the above input data, and an estimate of the momentum surface roughness, WMPP calculates friction velocity (u_*) , temperature scale (θ_*) and inverse Obukhov length (L^{-1}) according to Monin-Obukhov similarity theory. These quantities are calculated by solving the following three nonlinear equations:

15
$$u_* = \frac{\kappa \cdot \Delta u}{\int\limits_{z_{ul}}^{z_{u2}} \varphi_m(z, L^{-1}) z^{-1} dz}; \quad \theta_* = \frac{\kappa \cdot \Delta \theta}{\int\limits_{z_{l1}}^{z_{l2}} \varphi_h(z, L^{-1}) z^{-1} dz}; \quad L^{-1} = \frac{\kappa \cdot g}{T_{\text{ref}}} \frac{\theta_*}{u_*^2},$$
 (5)

where κ is Von Kármán's constant (0.41), *g* is the acceleration of gravity (9.81 m s⁻²); Δu is the wind speed difference between heights z_{u2} and z_{u1} , where z_{u2} is e.g. 10 m, and $z_{u1} = z_{0m}$ where the wind speed is zero, so that $\Delta u = u_{10m} - 0 = u_{10m}$; In the definition of the temperature scale, $\Delta \theta$ is the difference in potential temperature between heights z_{t2} and z_{t1} , which are e.g. 10 m and 2 m respectively, so that we have $\Delta \theta = T_{10m} - T_{2m} + 0.01$ and where, where the +0.01 term is for conversion from potential

20 temperature to actual temperature. In the definition of the Obukhov length, T_{ref} is a reference temperature, here taken to be the average of T_{2m} and T_{10m} .

In Eq. (5), the similarity functions φ_m and φ_h are defined as follows (Högström, 1996):

$$\varphi_m(z, L^{-1}) = \begin{cases} \left(1 + \alpha_m(zL^{-1})\right)^{-\frac{1}{4}} & \text{if } L^{-1} < 0 \text{ (unstable atm.)} \\ 1 + \beta_m(zL^{-1}) & \text{if } L^{-1} > 0 \text{ (stable atm.)} \\ 1 & \text{if } L^{-1} = 0 \text{ (neutral atm.)} \end{cases}$$
(6)

and

25
$$\varphi_h(z, L^{-1}) = \begin{cases} Pr_0(1 + \alpha_h(zL^{-1}))^{-\frac{1}{2}} & \text{if } L^{-1} < 0 \text{ (unstable atm.)} \\ Pr_0(1 + \beta_h(zL^{-1})) & \text{if } L^{-1} > 0 \text{ (stable atm.)} \\ Pr_0 & \text{if } L^{-1} = 0 \text{ (neutral atm.)} \end{cases}$$
 (7)

where $Pr_0 = 0.95$ is the Prandtl number for neutral conditions, and where the empirical coefficients are defined as $\alpha_m = -19.0$, $\alpha_h = -11.6$, $\beta_m = 5.3$ and $\beta_h = 8.2$.

This set of similarity functions is then used to calculate vertical profiles of temperature and wind speed. The temperature at a height (in m above ground) is thus calculated by

$$T_{z} = T_{z_{\text{ref}}} - \frac{g}{c_{p}} \left(z - z_{\text{ref}} \right) + \frac{\theta_{*}}{\kappa} \int_{\nu = z_{\text{ref}}}^{\nu = z} \varphi_{h} \left(\nu, L^{-1} \right) \nu^{-1} d\nu, \tag{8}$$

where $z_{ref} = 10$ m. Similarly, the wind speed at height z (m) above ground is calculated by

5
$$u_z = u_{z_{\text{ref}}} + \frac{u_*}{\kappa} \int_{v=z_{\text{ref}}}^{v=z} \varphi_m(v, L^{-1}) v^{-1} dv.$$
 (9)

In CityChem, WMPP is used in the sub-grid point source model to calculate the wind speed at plume height according to Eq. (9). WMPP can also be used to calculate the convective velocity scale w_* and the mixing height h_{mix} , but this is not implemented in CityChem.

2.3 Additional modifications

10 Here we describe the modifications in the CityChem extension to read hourly 3-D boundary concentrations from the output of the CMAQ model and to determine sub-grid concentrations from a regular receptor grid in the surface model layer.

2.3.1 Adapting 3-D boundary conditions from the CMAQ model

CityChem has the option to use the time-varying 3-D concentration field at the lateral and vertical boundaries from the CMAQ model as initial and boundary concentrations for selected chemical species. The adaption of boundary conditions from CMAQ

- 15 output in the EPISODE model is based on the implementation for boundary conditions from the Copernicus Atmosphere Monitoring Service (CAMS; http://www.regional.atmosphere.copernicus.eu/) as-described in part one (Hamer et al., 2019). The regional background concentrations are adopted for the grid cells (outside the computational domain) directly adjacent to the boundary grid cells of the model domain and for the vertical model layer that is on top of the highest model layer. The outside grid cell directly adjacent to boundary grid cell is filled with the CMAQ concentration value for inflow conditions and
- 20 with the concentration value of the boundary grid cell for outflow conditions (, i.e. allowing a zero-concentration gradient at the outflow boundary).

The utility BCONCC v2.0 (included in the CityChem distribution) is used to produce EPISODE-format binary files containing hourly varying. More details on the treatment of 3-D boundary concentrations for the most relevant chemical compounds. BCONCC makes use of the IOAPI versionboundary conditions are given in Appendix D 3.1 library () to access

25 CMAQ output files. The IOAPI (Models-3/EDSS Input/Output Application Programming Interface) provides a variety of data structure types for organizing the data and a set of data access routines.

The preparation of chemical boundary conditions from CMAQ model output is done in two steps. First, the city's 3-D domain extent plus one grid cell to each side is cut out from the CMAQ model grid, interpolating the hourly concentrations to the horizontal main grid resolution of EPISODE using bilinear interpolation. Second, EPISODE-format binary files for

boundary conditions (BCON files) containing background concentrations of all individual CityChem compounds are created for the defined model domain in the required input format. Linear interpolation is used to convert concentrations from the vertical layers of the CMAO model to the vertical layers of the EPISODE-CityChem model. Temperature and pressure of the METCRO3D file (meteorological input file of the CMAQ simulation) are used to convert the concentration of gaseous compounds from mixing ratio () to mass-based concentrations ().

5

2.3.2 Description of the regular receptor grid

In the CityChem extension, a regular receptor grid is defined, for which time-dependent surface concentrations of the pollutants at receptor points are calculated by summation of the Eulerian grid concentration of the corresponding grid cell (i.e. the background concentration), and the concentration contributions from the sub-grid models due to dispersion of line source and

- point source emissions. Regular receptor grids with typical resolution $100 \times 100 \text{ m}^2$ have also been used in earlier versions 10 of EPISODE, but primarily for capturing sub-grid scale concentration contributions from larger industrial point sources. The establishment of a regular receptor grid is an integral part of CityChem to enable higher resolution output required for comparison with monitor data acquired near line sources. Line sources are a major source of pollutant emissions affecting the inner-city air quality; thus the use of the regular receptor grid provides information at much higher spatial resolution than the Eulerian
- 15 grid output alone. The regular receptor grid in the EPISODE-CityChem differs from the downscaling approach by Denby et al. (2014) which allocates sampling points at high density along roads and other line sources but much fewer further away from the line sources. While Denby et al. (2014) interpolate the model-computed high-density set of receptor concentrations to the desired output resolution using ordinary kriging, EPISODE-CityChem gives as output the receptor point concentrations on a regular 2-D grid covering the entire model domain.
- 20 The instantaneous concentration C_{rec} in an individual receptor point r^* of the receptor grid with coordinates (x_r, y_r, z_r) is defined as:

$$C_{\text{rec}}(r^*) = C_m + \sum_{s=1}^{S} C_{\text{line},s} + \sum_{p=1}^{P} C_{\underline{\text{point},spoint,p}},$$
(10)

where C_m is the main grid concentration of the grid cell (x, y, 1) in which the receptor point is located. The grid (background) concentration C_m used in Eq. (10) corresponds to a modified Eulerian 3-D grid concentration, i.e. C(x, y, z), to avoid that emis-25 sions of point and lines sources are counted twice. $C_{\text{point},p}$ is the instantaneous concentration contribution of point source p calculated by the point source sub-grid model and $C_{\text{line,s}}$ is the instantaneous concentration contribution of line source s calculated by the line source sub-grid model. Since $C_{\rm rec}$ is not added to the main grid concentration but kept as separate (diagnostic) variable, double-counting of emitted pollutant mass is prevented. In the CityChem extension, receptor point concentrations represent the high-resolution ground concentration of a cell with the grid cell area of the receptor grid.

30 On the 3-D Eulerian grid, time-dependent concentration fields of the pollutants are calculated by solving the advection/diffusion equation with terms for chemical reactions, dry deposition and wet deposition, and area emissions. The hourly 2-D and 3-D fields of meteorological variables and the hourly 2-D fields of area emissions are given as input to the model with the spatial resolution of the Eulerian grid. As the model steps forward in time, an accurate account of the total pollutant mass from

the area, point and line sources is kept within the Eulerian grid model component. Emissions from line sources are added to the Eulerian grid concentrations each model time step.

3 Test of different model extensions

For the test of the various model extensions, EPISODE was run as a 1-D column model, with vertical exchange as only trans-5 port process. Emissions were injected into the ground cell (grid centre at UTM coordinates: (X) 568500, (Y) 5935550, 32 N) with an area of $1 \times 1 \text{ km}^2$ and flat terrain (15 m a.s.l.). Table 2.3 shows the general setup for the 1-D column and the specific configuration for the tests. Mixing height, surface roughness and friction velocity were kept constant ($h_{\text{mix}} = 250\text{ m}$, $z_0 = 0.8 \text{ m s}^{-1}$, $u_* = 0.12 \text{ m s}^{-1}$). Hourly varying meteorological variables included: air temperature, temperature gradient, relative humidity, sensible and latent heat fluxes, total solar radiation and cloud fraction. The test simulations are performed for a period of five 10 days, results were taken as an average of the period.

3.1 Test of the photochemistry on the Eulerian grid

3.1.1 Tests of the original EMEP45 photochemistry

When the condensed EMEP45 photochemistry was developed various tests were carried out to compare the condensed mechanism with the standard EMEP chemical mechanism. Results from box model studies with the two chemical mechanisms

- 15 revealed that there were generally small differences between the full and the condensed chemical mechanisms. Even for conditions more representative for a rural environment, the difference between the standard EMEP and the condensed mechanism was small. For these more rural conditions, the condensed mechanism gave slightly lower levels of NO and NO₂, while the ozone concentration was almost identical in the two mechanisms. For urban conditions, these differences were expected to be significantly smaller.
- The EPISODE model with the condensed EMEP45 mechanism furthermore participated in the CityDelta project (Cuvelier et al., 2007) where it was applied to the city of Berlin. CityDelta was the first in a series of projects (later named EuroDelta) dedicated to photochemical model inter-comparisons. When evaluated against observations of NO₂ and O₃, the EPISODE model with the EMEP45 chemistry performed favourably when compared to the suite of atmospheric models participating in the CityDelta project (Walker et al., 2003).

25 3.1.2 Test of ozone formation with EmChem03-mod

The ozone-NO_X-VOC sensitivity of the EmChem03-mod scheme in the Eulerian model component was analysed by repeated runs with varying emissions of NO_X and <u>non-methane VOC (NMVOC)</u> using the daily cycle of mean summer meteorology with clear sky but low wind speed (0.1 m s^{-1}). The ozone net production in the runs was taken at the maximum daily O₃ during the simulation.

An area source of traffic emissions of NO_x and NMVOC in the ground cell of the 1-D column was activated in the test. The variation of ozone precursor emissions from the traffic area source was done in a systematic way in order to derive the ozone isopleth diagram (Fig. 2a), which shows the rate of O_3 production (ppb h⁻¹) as a function of NO_x and NMVOC concentrations. Compound abundances are given in mixing ratios (ppb) for this test to enable comparison with the literature on ozone formation

potentials. 5

The ozone-precursor relationship in urban environments is a consequence of the fundamental division into a NO_x-limited and a VOC-limited chemical regimes. VOC/NOx ratios are an important controlling factor for this division of chemical regimes (Sillman, 1999). VOC-limited chemistry generally occurs in urban centres where NO₂ concentrations are high due to traffic emissions. The rural areas downwind locations of the city are typically NO_x-limited (Ehlers et al., 2016).

- The "ridgeline" of the ozone isopleth diagram marks the local maxima of the O_3 production and divides two different 10 photochemical regimes. Below the line is the NO_X -limited regime, where O_3 increases with increasing NO_X while it is hardly affected by increasing VOC. Above the line is the VOC-limited regime, where O₃ increases with increasing VOC and decreases with increasing NO_x. The "ridgeline" in Fig. 2a follows a line of constant VOC/NO_x ratio, in the case of EmChem03-mod it is close to the ratio 10:1; whereas a slope of 8:1 is more typically found (e.g. Dodge, 1977). The traffic NMVOC mixture includes
- a high share of aromatics (35%) represented by o-xylene in the model. Due to the high reactivity of the NMVOC mixture, 15 the "ridgeline" is tilted towards higher VOC/NO_X ratios compared to the ozone isopleths for a NMVOC mixture with lower reactivity.

The split into NO_X-limited and VOC-limited regimes are closely associated with sources and sinks of odd hydrogen radicals (defined as the sum of OH, HO₂ and RO₂). Odd hydrogen radicals are produced in the photolysis of ozone and intermediate organics such as, for example, formaldehyde. Odd hydrogen radicals are removed by the reactions that produce hydrogen 20 peroxide (R.1) and organic peroxides (R.2). They are also removed by reaction with NO₂, producing nitrie acid (HNO₃), according to:

$$OH + NO_2 + M \longrightarrow HNO_3$$
. (R11)

When peroxides represent the dominant sink for odd hydrogen, then the sum of peroxy radicals is insensitive to changes in NO_X or VOC. This is the case for the concentrations represented as solid and dash-dotted lines in Fig. 2c–d. Doubling NO_X 25 emissions from solid lines to dash- dotted lines only marginally changes the peroxy radical sum concentration (Fig. 2d).

When HNO_3 is the dominant sink of odd hydrogen, then the OH concentration is determined by the equilibrium between the producing reactions (e.g. photolysis of O_3) and the loss reaction (R.11) and thus decreases with increasing NO_X (Fig. 2cd; from dashed to dotted lines), while it is either unaffected, or increases due to photolysis of intermediate organics, with increasing VOC.

30

Plotting the isopleths for the ratio of the production rate of peroxides to the production rate of HNO₃ (Fig. 2b) shows that this ratio is closely related to the split between NO_X -limited and VOC-limited regimes. The ratio is typically 0.9 or higher for NO_X-limited conditions, and 0.1 or less for VOC-limited conditions (Sillman, 1999). The "ridgeline" that separates the two regimes should be at a ratio of 0.5 (Sillman, 1999); which is the case in Fig. 2b. However, the curves representing the ratio are shifted towards higher NO_X mixing ratios compared to the isopleth diagram for the ratio displayed in Sillman (1999; figure 8 therein). For instance, for 100 ppbC NMVOC and 5 ppb NO_X , the ratio is below 0.1 (VOC-limited) in the isopleth diagram of Sillman (1999), while it is 1.3 (NO_X-limited) in Fig. 2b. The reason for this discrepancy is the lack of the reactions producing organic peroxides (RO₂H) in EmChem03-mod and thus the reduced removal of odd hydrogen in conditions with

5 high VOC/NO_X ratio. In conditions with NO_X below 20 ppbv, EmChem03-mod has a too high efficiency of the NO-to-NO₂ conversion via reaction (R.4).

3.1.3 Test of EmChem09-mod photochemistry

The EmChem09-mod scheme was compared to the EmChem03-mod scheme for conditions with relatively low levels of NO_X (< 20 μg m⁻³). The configuration of the test was the same as in Sect. 3.1.2 with an area source of traffic emissions of NO_X
(0.043 g s⁻¹ in the 1 × 1 km² ground cell) and varying emissions of NMVOC corresponding to VOC/NO_X ratios of 4:1, 8:1 and 15:1. The daily cycle of ozone with EmChem09-mod shows O₃ concentrations which are lower for VOC/NO_X ratio of 4:1 (VOC-limited), similar for VOC/NO_X ratio of 8:1 (transition) and higher for VOC/NO_X ratio of 15:1 (NO_X-limited) than with EmChem03-mod (Fig. 3a). Compared to EmChem03-mod, the EmChem09-mod scheme includes reactions between organic peroxy radicals and HO₂ and other organic peroxy radicals. In conditions with low levels of NO_X, the rates from these reactions will be in competition to the reaction rates of organic peroxy radicals with NO.

The lower O_3 with EmChem09-mod in VOC-limited conditions is related to the competition between the organic peroxy radical self-reactions and the reaction with NO, preventing additional NO-to-NO₂ conversion. Compared to EmChem03-mod, the removal of odd hydrogen through (R.11) to form HNO₃ weakens (Fig. 3b), the formation of H₂O₂ and organic peroxides enhances (Fig. 3c), and the formation of peroxyacetyl nitrate (PAN) is suppressed (Fig. 3d); the latter due to the competing

20 reaction between the acetyl peroxy radical (CH_3COO_2) and HO_2 , not included in EmChem03-mod. As a result, less NO_2 is lost and the NO_X concentrations in EmChem09-mod increase compared to EmChem03-mod (Fig. S1), which reduces ozone production in the VOC-limited regime.

The higher O_3 with EmChem09-mod in NO_X -limited conditions is related to the much higher production of peroxides and the reduced production of PAN and HNO₃ compared to EmChem03-mod. Despite a slightly lower formation of. The NO_X concentrations in EmChem09-mod are higher, which increases ozone production in the NO_X -limited regime.

3.2 Test of EP10-Plume sub-grid photochemistry

25

The photochemistry in the sub-grid component of EPISODE-CityChem was tested for dispersion from a single line source aligned in the SE-NW diagonal of the $1 \times 1 \text{ km}^2$ grid cell. The line source was oriented perpendicular to wind direction; emitting NO_X and NMVOC with a ratio of 1:2. The HIWAY-2 line source model was used in the test (SSCM was not activated).

30 Photochemistry tests were made as follows: (1) no chemistry; (2) photochemical steady state assumption (PSS) for $O_3/NO/NO_2$ (default); and (3) with EP10-Plume, using the numerical solver. Inside the centre cell, ground air concentrations downwind of the line source were recorded using additional receptor points every 10 meters up to a distance of 300 m from the line source.

Comparing O₃ (black lines), NO₂ (red lines) and NO (blue lines) concentrations from the three tests with increasing downwind distance *x* shows that dilution alone (test with no chemistry; Fig. 4a) leads to a decay of NO which follows a power function of the form y = ax - b while O₃ remains constant at level of the background concentration (30 µg m⁻³).

Applying the PSS reduces O₃ immediately at the line source by reaction (R.5) to one-fourth of the concentration without

- 5 chemistry. At the line source (0 m distance), PSS converts roughly $15 \,\mu g \,m^{-3}$ NO to $21 \,\mu g \,m^{-3}$ NO₂, as deduced from the differences between the no chemistry and the PSS test run. The third option, EP10-Plume, gives very similar results to PSS, with O₃, NO and NO₂ concentrations deviating by at most 4 % from the solution of the PSS (overlapping lines in Fig. 4b). In EP10-Plume, the line-emitted HCHO during daytime reacts with OH or undergoes photolysis to give HO₂ radicals. However, the odd hydrogen radicals are rapidly removed by reaction (R.11) and the effect of emitted HCHO on O₃ is negligible. It is
- 10 noted that HCHO accounts for only 2.7 % of the traffic NMVOC emissions. Further testing showed that the share of HCHO has to be increased by a factor of 10 or more (for the same VOC emission rate) in order to exceed the PSS concentration of O_3 close to the line source.

3.3 Test of the source dispersion extensions

3.3.1 Test of SSCM for line source dispersion

- 15 Tests with the simplified street canyon model (SSCM; see Sect. 2.2.1) were performed for different roof level wind speeds (0.5, 1.0, 1.5, 2.0, 4.0, and 6.0 m s^{-1}) and compared to results from the HIWAY-2 line source dispersion model. The street canyon was oriented along the SE-NW diagonal of the grid cell, canyon width was 18 m and average building height was 18 m, with no gaps between buildings. Receptor points were placed symmetrically on the northeast side and the southwest side of the canyon, in 5 m distance from the street. Time-averaged modelled concentrations of PM₁₀, emitted from the line source as chemically
- 20 inert tracer, are shown as function of wind direction and wind speed in Fig. 5 for the northeast side (left) and southwest side (right) receptor. The wind direction dependency at the two receptors is simply shifted by 180° with respect to each other, due to the symmetric arrangement. With SSCM, the leeward concentrations are generally higher than the windward concentrations (grey-shaded areas in the figure). For both models, maximum concentrations are calculated for wind direction close to parallel with the street (135° and 315°).
- For this specific street canyon, with an aspect ratio (W_{sc}/H_{sc}) equal to one, the recirculation zone extends through the whole canyon at large wind speed and the windward receptor only receives a contribution from the recirculation. At low wind speed, here at 2 m s⁻¹ or below, the windward side starts to receive a direct contribution, because the extension of the vortex decreases at low wind speed. At wind speed below 0.5 m s^{-1} , the vortex disappears and the traffic generated turbulence determines the concentration levels. Gaussian models are not designed to simulate dispersion in low-wind conditions. Therefore, a lower limit
- 30 of the rooftop wind speed was placed at 0.5 m s^{-1} in this test, preventing the test of lower wind speeds. It is, however, obvious from Fig. 5a that the influence of wind direction on concentrations at 0.5 m s^{-1} is much reduced compared to larger wind speeds.

Similar to SSCM, the simulation with HIWAY-2 shows a local maximum at the windward side when the wind is perpendicular to the street and a local minimum at the leeward side when the wind is perpendicular. In HIWAY-2, the pollution from traffic is dispersed freely away from the street because it applies to open road without buildings. In SSCM, the leeward side is influenced directly by the traffic emissions in the street and in addition by the recirculated polluted air. HIWAY-2 neglects

5 the contribution of recirculated polluted air. This is also the reason why the baseline contribution (as addition to the urban background) is higher in SSCM.

3.3.2 Test of WMPP based point source dispersion

The WMPP (WORM meteorological pre-processor) model code was extensively tested using meteorological observations from a four months measurement campaign at Nordbysletta in Lørenskog, Norway in 2002 (Walker, 2011; Walker, 2010).

- 10 WMPP (see Sect. 2.2.2) is used in the plume rise module of SEGPLU (Walker and Grønskei, 1992) for the calculation of the wind speed at (1) stack height; (2) actual plume height; and plume heights along the plume trajectory; and (3) at final plume height. The modification of the plume rise module is similar as in the "NILU plume" parameterization, implemented in the WRF-EMEP (Weather Research and Forecasting European Monitoring and Evaluation) model; as presented in Karl et al. (2014). In comparison with two simple schemes for plume rise calculation, "NILU plume" gave lower final plume rise from an elevated point source for all tested atmospheric stability conditions. In neutral conditions, the maximum concentration
- at ground (C_{max}) was found to be roughly twice as high as for the two simple plume rise schemes. In unstable conditions, all plume rise schemes gave similar effective emission heights.

The WMPP integration in the sub-grid point source model for the near-field dispersion around a point source was tested in different atmospheric stability conditions and compared to the standard point source parameterisation in EPISODE (termed

²⁰ "default" in the following). A single point source was located at the midpoint of the $1 \times 1 \text{ km}^2$ grid cell. The dispersion of SO₂, treated as non-reactive tracer, released from the point source stack was studied by sampling ground air concentrations from a regular receptor grid with 100-m resolution within a radius of 2 km around the point source. Transport on the Eulerian grid was deactivated so that the test corresponds to a stand-alone test of the Gaussian point source model.

Details about the point source and resulting hourly ground concentrations (averaged for 5 days) at the location of maximum 25 impact, C_{max} , for different stability conditions (slightly stable, neutral, slightly unstable, very unstable) are summarized in Table 34.

For WMPP, the maximum impact lies within 250 m and 400 m downwind of the point source, in neutral and unstable conditions. The effective emission height, H_{eff} in neutral conditions is about half of that computed by the "default" parameterisation. For WMPP, H_{eff} decreases with enhanced instability (from neutral to very unstable) while C_{max} increases correspondingly. The

30 increase of C_{max} computed by WMPP is 41 %. C_{max} should be roughly inversely proportional to the square of the effective emission height (Hanna et al., 1982); thus the decrease from 40.541 m (neutral) to 32.432 m (very unstable) implies a potential increase of C_{max} by 25 %. The higher increase of C_{max} than expected might be due to continuous wind from one direction (225°) and the relatively low wind speed (1 m s⁻¹) in the test. For "default", H_{eff} and C_{max} are not affected by changing stability in neutral or unstable conditions; computed C_{max} is a factor of 4–6 smaller than for WMPP. In stable conditions, C_{max} is several orders of magnitude smaller than in neutral and unstable conditions, for both plume rise parameterisations. The maximum impact is found in 1700 m distance from the point source, comparable to previous tests with the point source model (Karl et al., 2014).

4 Application of EPISODE-CityChem to air quality modelling for Hamburg

5 4.1 Setup of model experiments for the application for AQ modelling in Hamburg

EPISODE-CityChem was run as part of a one-way nested model chain, which coupled the model off-line to the CMAQv5chemistry-transport model chain from global scale to the urban scale. The APTA (Asthma and Allergies in Changing Climate) global re-analysis (Sofiev et al., 2018) of the Finnish Meteorological Institute (FMI) provided the chemical boundary conditions for the European domain. CMAQ v5.0.1 CTM (Byun et al., 1999; Byun and Schere, 2006; Appel et al., 2013)

- 10 driven by COSMO-CLM mesoscale meteorological model version 5.0 (Rockel et al., 2008) for the year 2012 using the ERA-Interim re-analysis as forcing data (Geyer, 2014). CMAQ was run with a temporal resolution of one hour over a the European domain and an intermediate nested domain over Northern Europe with 64-and 16-64-km and 16-km horizontal resolution, respectively. The chemical boundary conditions for the European domain were taken from FMI APTA global reanalysis (Sofiev et al., 2018)CMAQ simulations were driven by the meteorological fields of the COSMO-CLM (COnsortium)
- 15 for SMall-scale MOdeling in CLimate Mode) model version 5.0 (Rockel et al., 2008) for the year 2012 using the ERA-Interim re-analysis of the European Centre for Medium-Range Weather Forecasts (ECMWF) as forcing data (Geyer, 2014). Within the Northern Europe domain, an inner domain over the Baltic Sea region with 4-km horizontal resolution was nested (Fig. 6a), which. The 4-km resolved CMAQ simulation of the Baltic Sea region provided the initial and hourly boundary conditions for the chemical concentrations of the Hamburg model domain.
- The hourly meteorological fields for the study domain Hamburg $(30 \times 30 \text{ km}^2)$ were obtained from the inner domain in a nested simulation with TAPM (Hurley et al., 2005) with a 1-km horizontal resolution (D4 in Fig. 6b).

The meteorological component of TAPM is an incompressible, non-hydrostatic, primitive equation model with a terrainfollowing vertical sigma coordinate for 3-D simulations, and was used for downscaling of the synoptic-scale meteorology. The outer domain (D1 in Fig. 6b) is driven by synoptic-scale meteorology of the European Centre for Medium-Range Weather

25 Forecasts (ECMWF) from was driven by the three-hourly synoptic scale synoptic-scale ERA5 reanalysis ensemble means on a longitude/latitude grid at 0.3-degree grid spacing. In addition, wind speed and direction observations at seven measurement stations of the German Weather Service (DWD) are used to nudge the predicted solution towards the observations.

A-TAPM uses a vegetative canopy, soil scheme, and an urban scheme with seven urban land use classes (Hurley, 2008) are used at the surface, while radiative fluxes, both at the surface and at upper levels, are also included. In regions belonging to

30 one of the urban land use classes, the specific urban land use characteristics (such as fraction of urban cover, urban albedo and conductivity albedo of urban surfaces and thermal conductivity of urban surface materials (e.g. concrete/asphalt/roofs), urban anthropogenic heat flux and urban roughness length - are used to calculate the surface temperature and specific humidity as well as surface fluxes and turbulence. A complete list of the meteorological variables and fields used from TAPM as input to

EPISODE-CityChem for the AQ simulations is given in the User's Guide for EPISODE-CityChem, included in the CityChem distribution.

For a better representation of local features, the coarsely resolved standard land cover classes and elevation heights, that are provided together with the TAPM model, were updated with 100 m Corine CORINE Land Cover 2012 data (CLC, 2012) and

- 5 terrain elevation data was adopted from the German Digital Elevation Model (BKG, 2013) on 200-m horizontal resolution. The procedure to adapt hourly 3-D concentrations of the CMAQ model computed for the 4-km resolution domain as lateral and vertical boundary conditions is described in Sect. 2.3.1 and Appendix D. CMAQ concentrations from the 4-km resolution domain were interpolated to the 1-km resolution (in UTM projection) of the Hamburg study domain, preserving a nesting factor of four (64/16/4/1 km) for the nested model chain. The study domain is located in the southwest part of the 4-km CMAQ
- 10 domain (CD04); the west border of the study domain is 30 km distant from the CD04 west border and the south border is 21 km distant from the CD04 south border (inset in Fig. 6a). This is considered to be sufficient to avoid that concentrations in the study domain are affected by domain border effects. The contribution of the re-circulation of NO_X from the coarser outer domain to the budget of NO_X in the inner domain is very small due to the predominant westerly winds.
- The background concentrations are adopted for the grid cells directly adjacent to the grid cells of the model domain (with *nx* × *ny* cells per model layer) and also for the vertical model layer that is on top of the highest model layer. Boundary conditions from CMAQ concentrations are created for the gas-phase chemical compounds: , , , , , , , , , and the individual . Boundary conditions for includes primary aerosol components: elemental carbon (), primary organic aerosol (), sea salt (), and mineral dust; secondary inorganic aerosol () components: sulphate (), ammonium (), nitrate (), and SOA (was defined including modes I and J of the CMAQ aerosol components). Since the focus of the AQ study is mainly on photochemistry and fine particulate mass, boundary conditions for were approximated as = [PM_{2.5}]×

4.1.1 Description of the model setup and configuration for Hamburg

The EPISODE-CityChem simulation was performed using the recommended numerical schemes for physics and chemistry, including the new urban parametrisation for vertical eddy diffusivity (urban K(z), see part one; Hamer et al., 2019). The segmented plume model SEGPLU with WMPP based plume rise was used for the point source emissions. The line source

25 model HIWAY-2 with the street canyon option was used for the line source emissions. Table 51 summarizes the chosen model processes and options.

The vertical and horizontal structure of the 3-D Eulerian grid of the EPISODE-CityChem is determined by the model domain structure of the TAPM simulation. The model input of boundary conditions and gridded area emissions have to be with the same horizontal resolution as the meteorological fields. A horizontal resolution of 1000 m was chosen for the 30×30 km² domain of

30 Hamburg. The horizontal resolution is in practice limited by the available gridded area source emission data. Finer resolution increases the required computational time; for instance, using a horizontal resolution of 500 m for the study domain results in a four times higher number of grid cells and a halved model time step (dt = 300 s instead of dt = 600 s), increasing the total computational time for one simulation month by a factor of 2.8 compared to the applied resolution. The EPISODE-CityChem model was set up with the vertical dimension and resolution matching that of TAPM, with a layer top at 3750 m height above

ground, avoiding the need for vertical interpolation. The layer top heights of the lowest 10 layers were: 17.5 m, 37.5 m, 62.5 m, 87.5 m, 125 m, 175 m, 225 m, 275 m, 325 m, and 375 m. Table 4-5 provides details of the vertical and horizontal structure of EPISODE-CityChem and TAPM (pollution grid) D4 as used for the Hamburg study domain and CMAQ (CD04). The computational time for a one-month simulation with EPISODE-CityChem is 10.7 h on an Intel® Xeon (R) CPU E5-2637 v3@3.50 GHz,

5 64 GB RAM.

The EPISODE-CityChem simulation was performed using the recommended numerical schemes for physics and chemistry, including the new urban parametrisation for vertical eddy diffusivity (urban K(z), see part one; Hamer et al., 2019). The segmented plume model SEGPLU with WMPP based plume rise was used for the point source emissions. The line source model HIWAY-2 with the street canyon option was used for the line source emissions. Table 5 summarizes the chosen model

10 processes and options.

Area, point and line source emissions for the study domain of Hamburg were used from various data sources for the different emission sectors classified by the Selected Nomenclature for sources of Air Pollution (SNAP) of the European Environmental Agency (EEA), applying top-down and bottom-up approaches (Matthias et al., 2018). Table 6 gives an overview. Spatially gridded annual emission totals for area sources with a grid resolution of $1 \times 1 \text{ km}^2$ were provided by the German Federal

- 15 Environmental Agency (Umweltbundesamt, UBA). The spatial distribution of the reported annual emission totals has been done at UBA using the ArcGIS based software GRETA ("Gridding Emission Tool for ArcGIS") (Schneider et al., 2016). Hourly area emissions with a 1-km horizontal resolution for SNAP cat. 03 (commercial combustion), 06 (solvent and other product use), 08 (other mobile sources, not including shipping), and 10 (agriculture and farming) were derived from the UBA area emissions by temporal disaggregation using monthly, weekly and hourly profiles.
- For SNAP cat. 02 (domestic heating) the daily average ground air temperature obtained from the TAPM simulation is used to create the annual temporal profiles. The day-to-day variation of domestic heating emissions is based on the heating degree day concept Schneider et al. (2016), implemented in the Urban Emission Conversion Tool (UECT) utility (Hamer et al., 2019). Domestic heating emissions (SNAP cat. 02) for Hamburg are distributed between 32 % district heating, 40 % natural gas, 14 % fuel oil and 14 % electricity (Schneider et al., 2016).
- 25 NMVOC emissions in the UBA dataset were distributed over individual VOC of the chemical mechanism using the VOCsplit of the EMEP model (Simpson et al., 2012) for all SNAP sectors.

A total of 120 points sources were extracted from the PRTR (<u>Pollutant Release and Transfer Register</u>) database (PRTR, 2017) and from the registry of emission data for point sources in Hamburg, representing the largest individual stack emissions.

The line source emission dataset (emissions of NO_X , NO_2 and PM_{10}) provided by the city of Hamburg contained 15816 road

30 links within the study domain. The NO_X emission factor from road traffic for the year 2012 was increased by 20% for all street types because the average NO_X emission factor in the new HBEFA -(Handbook Emission Factors for Road Traffic) v3.3 for passenger cars is higher by 19.4% (diesel cars: 21%) than in HBEFA v3.1 used in the road emission inventory (UBA, 2010). To estimate NMVOC traffic emissions, an average NMVOC/NO_X ratio of 0.588, derived from UBA data for SNAP cat. 07, was used.

A NO₂/NO_X ratio of 0.3 was applied to re-calculate NO₂ emissions for this study because of the expected higher real-world NO₂ emissions from diesel vehicles. The applied value is higher than suggested by the reported range (3.2–23.5 vol- %) of the primary NO₂ emission fraction from vehicular traffic in London (Carslaw and Beevers, 2005) and the NO₂/NO_X ratio of 0.22 for passenger cars in urban areas assumed by Keuken et al. (2012) for the Netherlands. But considerations based on The use of

5 the high NO₂/NO_X ratio for the Hamburg vehicle fleet is consistent with the higher NO₂/NO_X ratio from diesel passenger cars (from 0.12 to > 0.5; Carslaw and Rhys-Tyler, 2012) and the review by Grice et al. (2009) who assumed that Euro 4–6 passenger cars emit 55 % of the total NO_X as NO₂, justify the use of the high /ratio for the Hamburg vehicle fleet.

4.1.2 Experiment to test the CMAQ nesting versus TAPM

- The entrainment of O₃ and PM_{2.5} from the regional background into the model domain and their effect on the concentrations
 inside the domain was studied with a numerical experiment using the model setup as described in Sect. 4.1.1. A constant concentration offset (BCON offset) was added to the hourly CMAQ concentrations at the lateral and vertical boundaries. In a series of test runs, the BCON offset of O₃ was increased from 0 to 60 µg m⁻³ in steps of 10 µg m⁻³ and the BCON offset of PM_{2.5} was increased from 0 to 30 µg m⁻³ in steps of 5 µg m⁻³ between the runs. A linear relationship was found between the monthly mean concentration (July 2012) of O₃ and PM_{2.5} in the grid cell, where the inner-city urban background station
 15 13ST is located, and the BCON offset (Fig. S2). Fitting a linear regression model of the form y = a + bx to the data gave a
- slope of 0.66 and 0.93 for O_3 and $PM_{2.5}$, respectively. Since $PM_{2.5}$ is treated as a chemical non-reactive tracer in the model, the reason for a slope smaller than one is the removal by dry and wet deposition within the study domain. For ozone, the addition of an offset to the concentrations at the boundaries does not fully propagate into the grid cell concentration at station 13ST due to removal by dry deposition, photolysis by sunlight and the chemical reaction with NO_X emitted in the urban area. This initial
- 20 test demonstrates the high importance of accurate concentrations at the boundaries to represent the regional background for the study domain.

A second experiment based on the model setup as described in Sect. 4.1.1 was carried out to compare the use of 3-D concentrations (with EPISODE-CityChem) against the use of 2-D concentrations (with TAPM) from the regional model output as boundary conditions for the study domain. In both cases, hourly concentrations computed in CMAQ simulations with 4-km

- 25 grid resolution for the southern Baltic Sea region were utilized. For the simulation with EPISODE-CityChem the boundary conditions from hourly 3-D concentrations were taken as described in Sect. 2.3.1. For the simulation with TAPM the boundary conditions from hourly 2-D concentrations were prepared by using the horizontal wind components on each of the four lateral boundaries for weighting the boundary concentrations around the Hamburg study domain. CMAQ concentrations for the TAPM boundary conditions were taken from the seventh vertical model layer, with a mid-layer height of approximately 385 m above
- 30 ground, as being representative of the vertical profile average concentrations. The same urban emissions were used for the two models. Results of O_3 and NO_2 from the two model simulations and from the 4-km resolution CMAQ simulation for July 2012 were extracted for the surface grid cells where urban background stations of Hamburg are located and compared to the observations from the monitoring stations (Fig. S3).

The statistical performance of the three models in terms of correlation coefficient (Corr) and overall bias (Bias) during this period was evaluated by comparison against the measurements at the four stations for (Table 7) and (Table S5). Ozone concentrations extracted from the CMAQ 4-resolution grid are in good agreement with observations in the urban background of Hamburg, with Corr = 0.76 and Bias = -1.5(-3%), as average of the four stations. For the agreement is fairly good, with

- 5 Corr = 0.58 and Bias = -3.56 (-26%), as average of the four stations. At the inner-city station 13ST, observed concentrations are underestimated by CMAQ (Bias = -7.85 (-36%)) which is probably caused by the coarse resolution of the model and missing road traffic emissions in the area of Hamburg. The regional background from CMAQ slightly underestimates observed at the urban background in the southwest of the Hamburg study domain in July in particular during episodic high photochemical ozone formation. This can be seen for 52NG, a station influenced by the inflow from the southwest, where modelled by CMAQ
- 10 has a negative bias (Bias = -7.4(-36%)).

The performance of EPISODE-CityChem and TAPM for the urban background in the Hamburg study domain is similar for ($Corr_{EPISODE} = 0.52$; $Corr_{TAPM} = 0.50$) and ($Corr_{EPISODE} = 0.53$; $Corr_{TAPM} = 0.50$). Modelled concentrations from EPISODE-CityChem are generally too low in the urban background, except for the inner-city. For TAPM the negative bias of modelled is much smaller. However, TAPM overestimates concentrations at station 27TA by 60% (Bias = 20.33). Station

15 27TA is frequently in the outflow of the urban area. Inspection of the TAPM simulation revealed that the high at 27TA is caused by the frequent passage of a pollution plume from port activity and harbour industry in the southwest part of Hamburg. Modelled at station 27TA is too low in the TAPM simulation (Bias = -12.39) due to the titration of ozone in the pollution plume.

and were compared at station 13ST (Fig. S4). As shown by the initial experiment, particulate matter from the inflow into

- 20 the study domain strongly affects the modelled concentrations at the inner-city station. EPISODE-CityChem gives similar daily mean concentrations of and as the concentrations extracted from the 4-resolution CMAQ simulation. Modelled and from TAPM is constantly higher by 5–10 than and from EPISODE-CityChem and closer to the observed concentrations. The procedure for the TAPM boundary conditions as hourly 2-D concentrations from the seventh model layer of CMAQ appears to give realistic concentrations at the domain inflow. The procedure for the EPISODE-CityChem boundary conditions is more
- 25 accurate but inherits the too low concentrations from the CMAQ surface layer. In all further model simulations for the evaluation of EPISODE-CityChem for Hamburg, a BCON offset between 5 and 10 is used to improve the agreement with the observations of the urban background.

4.1.3 Experiment to test the new versus old vertical diffusion scheme

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Maximum pollutant concentration levels near emission sources at the ground are often associated with strongly stable conditions and low wind speeds; such as during night-time and persistent daytime inversions in winter. The effect of the vertical eddy diffusivity parametrisation on the vertical ozone profile was studied with a numerical experiment using the model setup as described in Sect.4.1.1. A model run with the standard K(z)-method (Byun et al., 1999) and a model run with new urban K(z)-method (Hamer et al., 2019) were performed for a seven-day period in January (12–19 Jan. 2012). Modelled ground air concentration and vertical profile of from the two runs were compared for the 1-D column above the model grid cell where

station 13ST is located. Fig. 7 shows the time sequence of the vertical concentration profile and the ground concentration changes of , , and total hydrocarbons () in this grid cell. On the first and last day of the experiment neutral conditions prevailed, whereas between day 2 and 6 stable conditions with daytime inversions occurred. In the stably stratified nocturnal BL, the vertical exchange of is limited, leading to a depletion in the surface layer due to titration with emitted from the traffic sources

5 and partly through dry deposition.

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There are clear differences in the vertical profile and the ground air concentration levels of pollutants between the two parametrisations of vertical eddy diffusivity. Vertical ozone profiles for the standard K(z)-method show a gradual change of the concentration with height, typically increasing from ~25 to ~70 between 0 and 200 above ground. With the new urban K(z)-method the vertical distribution of is rather homogeneous as seen for the last four days of the experiment. The more intense vertical exchange during the night for the new urban K(z)-method is also reflected in ground level pollutant concentrations.

The new urban K(z)-method reduces the accumulation of and at the ground in the stable nocturnal BL, which was especially pronounced during the night of the third day, when h_{mix} was constant at 100 for several hours. Daily maximum concentrations in ground-level air are more than doubled with the new method compared to the standard method due to the increased down-mixing of ozone from the nocturnal reservoir layer. Higher daytime concentrations, in addition, lead to higher

15 concentrations which in turn cause a faster decay of hydrocarbons.-

The new urban K(z)-method improves the agreement between modelled and observed for all but the first day. Observed on the first day is overestimated with the new method. This might indicate a problem for neutral stability, however the model matches the observed on the neutral conditions of the last day of the experiment. Hence the overestimation of concentrations on the first day is interpreted a consequence of too high ozone in the reservoir layer, coming from the regional background, which was one third higher on the first day when on the following days. The titration of ozone by reaction with (giving) at night leads to a decay of modelled during the night which is of the same magnitude as for the observed concentrations. In sum, the experiment shows that new urban K(z)-method prevents too low modelled concentrations of ozone and excessive accumulation of at the ground in the stable nocturnal BL.

4.2 Presentation and evaluation of model results

25 4.2.1 Setup of the model evaluation and performance analysis

A one-year simulation with EPISODE-CityChem was performed for the study domain using the model setup as described in Sect. 4.1.1. Model results were compared to results from the standard EPISODE model to assess the total effect of the new implementations of the CityChem extension. In the standard EPISODE model, the PSS approximation is used at the receptor points and on the Eulerian grid; the street canyon model and the WMPP module were deactivated. For the simulation

30 with EPISODE-CityChem and standard EPISODE, the boundary conditions from hourly 3-D concentrations of CMAQ were taken as described in Sect. 2.3.1. In addition, EPISODE-CityChem results were compared to results from the air pollution module of the TAPM model, which is used as a reference model in this study. The TAPM run was performed with the same horizontal resolution (1 km), identical meteorological fields and urban emissions, but with 2-D boundary conditions instead

of 3-D boundary conditions. The hourly 2-D boundary concentrations for TAPM were prepared by using the horizontal wind components on each of the four lateral boundaries for weighting the CMAQ concentrations surrounding the Hamburg study domain. Concentrations for the TAPM boundary conditions were taken from the seventh vertical model layer of the CMAQ simulation, with a mid-layer height of approximately 385 m above ground, where average concentrations are not significantly

5 affected by the urban emissions.

A one-year simulation with EPISODE-CityChem was performed for the study domain using the model setup as described in Sect. 4.1.1. Evaluation of the model results for Hamburg was done in a three-stage four-stage procedure:

- 1. Statistical performance analysis of the prognostic meteorological model component of the TAPM model (Sect. 4.2.2);
- 2. Statistical performance analysis of the EPISODE-CityChem model by comparison Evaluation of the temporal variation
- 10
- of modelled concentrations against observed concentrations (Sect. 4.2.3);
- 3. Evaluation of the spatial variation of the annual mean concentrations (Sect. 4.2.4);
- 4. Model performance analysis with respect to the objectives set forth in the AQD for the use of the model in policy applications (Sect. 4.2.5).

Statistical indicators of the evaluation included the mean (modelled/observed), standard deviation (SD; modelled/observed),

15 correlation coefficient (Corr), root mean square error (RMSE), overall bias (Bias), normalized mean bias (NMB) and index of agreement (IOA). See Appendix C E for the definition of the statistical indicators.

The FAIRMODE (Forum for Air Quality Modelling in Europe) DELTA Tool version 5.6 (Thunis et al., 2012a,b; Thunis et al., 2013; Pernigotti et al., 2013; FAIRMODE, 2014; Monteiro et al., 2018) was used in stages two and three for the evaluation of model results from air quality simulations for Hamburg. DELTA Tool focuses primarily on the air pollutants regulated in the

20 current AQD (EC, 2008). Delta Tool works with modelled-observed data pairs at surface level, i.e. temporal series of modelled and monitoring data at selected ground level locations, in particular at AQ monitoring stations. In the first stage of the model evaluation,

4.2.2 Evaluation of downscaled meteorological data

Downscaled meteorological data on temperature, relative humidity, total solar radiation, wind speed and wind direction were examined. Hourly based data from the meteorological station at Hamburg Airport (Fuhlsbüttel) (operated by DWD) and from the 280 m high Hamburg weather mast at Billwerder (operated by Universität Hamburg) was analysed. Observation data from the DWD station at 10 m height and from the Hamburg weather mast at 10 m and 50 m height was used in the analysis. TAPM modelled meteorological data from the $1 \times 1 \text{ km}^2$ grid cell of the D4 domain, where the stations are located, at the corresponding height were extracted for the comparison with observations. Table S486 provides an overview of the statistical

30 analysis of TAPM model data.

Hourly temperature predicted by the TAPM model was in excellent agreement with the observed temperature at both stations and both heights, showing high correlation (Corr \ge 0.98) and small overall bias (\le 1.00 °C). Relative humidity also showed

good agreement but with lower correlation (Corr = 0.74). Total solar radiation was predicted by TAPM with high correlation (Corr = 0.86) but the high positive overall bias of $\frac{26.5627}{26.5627}$ W m⁻². Situations with reduced solar radiation due to high cloud coverage are often not well captured by TAPM. The IOA for temperature, relative humidity and total solar radiation was 0.99 (average of all observations), 0.86 and 0.92, respectively.

- 5 TAPM shows good predictive capabilities for wind speed and direction. Due to the assimilation of wind observations at the DWD Hamburg airport station for nudging wind speed and direction in TAPM meteorological runs, the meteorological performance for wind speed and direction will only be compared at the Hamburg weather mast. Modelled hourly data of wind speed at Hamburg weather mast agreed well with the observations throughout the year at 10 m (Corr = 0.87, Bias = -0.08 m s⁻¹) and 50 m height (Corr = 0.85, Bias = -0.02 m s⁻¹); and was within the observed variability. Southwest and west are the most
- 10 frequent wind directions in Hamburg due to prevailing Atlantic winds, followed by winds from southeast (Bruemmer et al., 2012). Mean wind direction was computed as circular average (unit vector mean wind direction) for model and observation data. At Hamburg weather mast, modelled and versus observed wind directions show good agreement with the observations in 10 m and 50 m height (IOA ≥ 0.89) and observed with a Bias in mean wind direction differed by of 16.9° and 6.2° at 10 m and 50 height, respectively. The difference is due to a slightly higher frequency of winds from west predicted by TAPM.

15 In the second stage, the

30

4.2.3 Evaluation of the temporal variation of pollutants

The statistical performance of EPISODE-CityChem was analysed the models with respect to temporal variation was assessed by comparing modelled concentrations against observed concentrations from the AQ monitoring network of Hamburg. The stations of the monitoring network and the available measurements of pollutant concentrations are listed in Table <u>\$5</u>87. The

- 20 monitoring network covers all parts of the city (Fig. 6c). A minimum data availability is required for statistics to be produced at a given AQ monitoring station. In Delta Tool, the requested percentage of available data over a selected time period (here: one year) is 75 % as defined in the AQD. This has been fulfilled by all stations in Hamburg, except for O₃ and PM_{2.5} measurements at two stations. The statistical analysis included all stations where the data availability criterion was fulfilled. For the comparison, model output at the exact geographic location of the monitoring stations from the model was used. Concentrations of NO₂
- and NO were measured at all stations included in this study. The model performance statistics are listed in Table 740 for NO₂ (based on daily max. of 8-running meanhourly values), in Table 8 for O₃ (based on daily max. of 8-h running mean) and in Table 9 for PM₁₀ (based on hourly values daily mean).

The model_EPISODE-CityChem performs fairly well for the daily maximum of the 8-running mean NO₂ based on hourly values, with IOA of 0.63-0.70 (average of all stations), correlation of 0.41 and correlation of 0.53 (average of all stations) and small NMB (within $\pm 15\%$).

The performance of the model for based on daily means is moderate, with IOA of 0.54 (average of all stations). Correlation values are generally low, with station average Corr. The average performance at the traffic stations (Corr = 0.34, but RMSE values are fairly small, within the range 9.3-12.2 for all 0.57, IOA = 0.73 on average) is better than for the other stations. EPISODE-CityChem was not capable to simulate observed particle peak concentrations from long/short-range transportation

events throughout the year. The low correlation on daily basis is related to an apparent overestimation of the weekday-weekend difference by the model.

EPISODE-CityChem performs well for performs better than EPISODE and TAPM at the traffic stations. This implies that the use of the street canyon model improves the agreement between model and observations of NO₂ based on hourly values,

- 5 with IOA of 0.67 (average of all stations) and correlation of 0.51 (average of all stations). The average performance at traffic stations. In particular TAPM shows weak correlation at the traffic stations (Corr_sites (Corr_sites (Corr_sites (Corr_sites 10.52, IOA = 0.71, 0.52, on average) is better than for the other stations. On the other hand, TAPM shows low bias and relatively good correlation at the industrial sites and stations influenced by emissions from industry and shipping (20VE, 21BI, 61WB, 80KT). For most urban background stations, the model EPISODE-CityChem tends to underestimate the observation mean. The NMB is slightly
- 10 negative for stations of the urban background in suburban areas (NMB = -25.1-14.2% on average), indicative for too low NO_X emissions from the suburban areas or too efficient dispersion of the local emissions. The weakest temporal correlation between modelled hourly and observed values was obtained for urban background station 80KT (Altona-Elbhang; Corr Compared to EPISODE, the EPISODE-CityChem model has a lower positive bias at some industrial and background stations (20VE, 21BI, 74BT, 80KT), probably due to the combined effect of the more advanced photochemistry and WMPP used for point source
- 15 plumes.

EPISODE-CityChem performs better for the O_3 daily maximum of the 8-h running mean than the other two models. The overall performance for ozone is very good, with IOA of 0.83 (average of all stations), correlation of 0.76 (average of all stations) and small NMB (within ±18%). The correlation improvement compared to EPISODE is relatively small, hence the better performance compared to TAPM might be largely from the use of the more comprehensive set of boundary conditions.

- 20 The performance of EPISODE-CityChem for PM_{10} based on daily means is good, with IOA of 0.74 (average of all stations). Correlation values are generally satisfactory, with station average Corr = 0.60; RMSE values are fairly small, within the range $8.9-12.9 \frac{0.39}{0.39}$ µg m⁻³ for all stations. For the urban background stations, EPISODE-CityChem and EPISODE perform better than TAPM in terms of correlation and IOA, giving a clear indication for the advantage of using 3-D boundary conditions instead of 2-D boundary conditions. Including the street canyon model leads to an overestimation of the observed daily mean
- 25 PM_{10} concentrations at the traffic stations. However, it cannot be concluded whether the overestimation is due to shortcomings in the street canyon module or due to inaccurate traffic emissions in the respective streets.

4.2.4 Evaluation of the spatial variation of pollutants

Annual mean concentrations of regulatory air pollutants from the EPISODE-CityChem model output were compared to the available observation data (Fig. 78). The model reproduces the spatial variation of NO and NO₂ concentrations (Fig. 78a, b) and the concentration gradients of NO₂ and NO between the urban background (80KT, 51BF, 52NG, 13ST; 61WB, 54BL, 27TA, 74BT), traffic stations (68HB, 64KS, 70MB, 17SM) and industrial stations (21BI, 20VE). For most stations the <u>overall</u> bias of annual mean observed NO₂ is <u>underestimated (bias is</u> within ±2510 µg m⁻³).

Observed levels of annual mean O_3 at the five urban background stations (13ST, 27TA, 51BF, 54BL, 52NG) are captured by EPISODE-CityChem within $\pm 15\%$ (Fig. 7c). TAPM overestimates annual mean O3 by 10–25%, except for the inner-city background station 13ST.

Annual mean SO₂ was compared at five stations (Fig. 78d). With the exception of the industrial station 20VE, modelled 5 annual mean SO₂ from EPISODE-CityChem agreed with the observed concentrations within a factor of two. At station 20VE, modelled SO₂ is about six times higher than observed SO₂. Obviously, the model overestimated models overestimate the influence of SO₂ emissions from nearby industrial sources.

Observed levels of annual mean at the five urban background stations (13ST, 27TA, 51BF, 54BL, 52NG) are captured by the model within ±15 % (Fig. 8c). The summer (JJA) mean of modelled is lower by 26 % than the observed at station 13ST, but in

10 excellent agreement with the observed for the other stations.-

25

Station Sternschanze (13ST) is an inner-eity urban background monitoring site. This is the only station, where observations for all regulatory air pollutants considered in this study (, , , Modelled annual mean SO₂ from EPISODE and TAPM was even higher at this site. The slightly better agreement of EPISODE-CityChem compared to EPISODE might be due to the use of WMPP or due to considering the OH-reaction of SO₂, and) were available with required data coverage. The underestimation

15 of ozone at 13ST during summer is also obvious from the time series of concentrations (daily maximum of 8-running mean; see Fig. S5c), accompanied by modelled daily concentrations which are frequently higher than observed.

The diurnal cycles of observed median and concentrations at 13ST for the whole year, summer (JJA) and autumn (SON) show a minimum of in the early morning hours, between 4 and 7 a.m., a daily maximum of between noon and 4 p.m., whereas peaks two times during the day (6–8 a.m. and at 6–8 p.m.), coinciding with the traffic rush hours (Fig. S6). In summer

20 afternoons, between 2 p.m. and 7 p.m., modelled median is below the bandwidth of observed ozone (25th to 75th percentile) and modelled is up to 30 lower than observed concentrations. In autumn, the daily cycle of modelled median closely follows the observed daily cycle but is ca. 10 smaller than the observed median. For the other averaging intervals, modelled median and concentrations agree with the observations within the bandwidth of 25th to 75th percentile around the observed median.

The EPISODE-CityChem model tends to underestimate observed and in the high concentration range. Levels of $PM_{2.5}$ and PM_{10} in the model-models are controlled by primary emission of particulate matter and their atmospheric dispersion, while

- secondary aerosol formation is not considered in the model models. The comparison of the time series of daily means at station 13ST in Fig. \$5-\$2 indicates, that the model underestimates EPISODE-CityChem is able to capture observed peak events with high PM_{2.5} and PM₁₀ concentrations during the winter season (DJF). These events are likely related to short or long-range transport of anthropogenic emitted PM or secondary produced inorganic PM. Observed levels of annual means of PM_{2.5} and are
- 30 matched by the model within ± 3243 % (Fig. 78e, f). The agreement between modelled and). TAPM underestimates observed annual mean PM_{2.5} and PM₁₀ at the two traffic stations traffic stations. We note that TAPM has no specific treatment of the local dispersion at street scale. Line source emissions in TAPM are released into the volume of the corresponding grid cell in the surface layer (10 m height) and therefore immediately diluted.

EPISODE-CityChem overestimated annual mean PM_{10} at three traffic stations (17SM, 17SM and 68HB, is within ±10 and 35 70MB) by 22–24 %-, while the agreement with observed PM_{10} was excellent at the other stations (Fig. 7f). Since EPISODE

shows lower modelled PM_{10} at the traffic stations than EPISODE-CityChem, we conclude that the overestimation of PM_{10} at the traffic stations is due to the street canyon module. However, the estimation of traffic emissions of PM_{10} is complicated by coarse particles from non-exhaust emissions, such as tyre abrasion and resuspension of road dust. In addition, traffic emissions used in the simulations are not based on actual traffic counts, so it is entirely possible that the included PM_{10} line source

5 emissions in the respective streets were too high.

In the third stage of the model evaluation, it is

4.2.5 Model performance analysis for policy support applications

We assessed whether the model results from EPISODE-CityChem have reached a sufficient level of quality for a given policy support application and compared the outcome to EPISODE and TAPM. The model quality objective in the FAIRMODE

- 10 DELTA Tool has been constructed on the basis of the observation uncertainty and describes the minimum level of quality to be achieved by a model to be fit for policy use (Thunis et al., 2012a,b; Thunis et al., 2013; Pernigotti et al., 2013). The model quality indicator (MQI) is based on the RMSE and provides a general overview of the model performance. The associated model performance criteria (MPC) for correlation, standard deviation and bias can be used to highlight which of the model performance aspects need to be improved. Details on the MQI and MPC are given in Appendix CE.
- 15 Fig. 89 shows the model performance evaluation of EPISODE-CityChem in terms of fitness for purpose in form of scatter diagram and Target diagrams and Target diagrams (Thunis et al., 2012a; Monteiro et al., 2018) for NO₂ (hourly), O₃ (daily max. of the 8-h running mean) and PM₁₀ (daily mean). For the yearly averaged values shown in the scatter diagrams, the model quality objective is the bias MPC (as defined by Eq. (E12)). The scatter diagrams related to the bias MPC (Fig. 9a-c) for yearly averaged NO₂, O₃ and PM₁₀ in Fig. 8a-c) include data from all three models. For EPISODE-CityChem.
- 20 the data indicate fulfilment (stations lie within green-shaded zone) for all stationspollutant at all stations. The scatterplot for NO₂ reveals that for TAPM two stations are in the orange-shaded zone (fulfilment but error dominated by bias) and one station does not fulfil the bias MPC. EPISODE-CityChem shows scatter around the 1:1 line with more stations lying below than above, indicating a slight tendency to underestimate yearly averaged NO₂. The scatterplot for O₃ indicates fulfilment for all models, with EPISODE-CityChem and TAPM predictions being closer to the 1:1 line than EPISODE. For PM₁₀.
- 25 EPISODE-CityChem and EPISODE gave similar results for most stations (Fig. 7f), data points therefore overlap in the scatter diagram. EPISODE-CityChem shows values close to the upper measurement uncertainty range at the three traffic stations. TAPM shows an outlier for one traffic station (68HB) with too low predicted PM₁₀, however it is still within the green-shaded zone.

In the Target diagrams (Fig. 8d–f) the centred RMSE (CRMSE) for each monitoring station is plotted against the normalized bias. Distance from the circle origin gives an estimate for the MQI; MQI values below unity (i.e. within the green-shaded area) are considered to comply with the model quality objective required for policy applications. The EPISODE-CityChem model fulfils the model performance objectives for NO₂, O₃ and PM₁₀ both in terms of bias MPC and MQI (max. MQI for hourly/daily values are, NO₂: 0.8640.891, O₃: 0.7060.506, PM₁₀: 0.834) (Fig. 9d–f0.820). All stations are located within the green-shaded zone, indicating the fulfilment of the RMSE criteria as defined by Eq. (E10), but outside of the dashed circle, i.e. the difference between model and observations is not within the measurement uncertainty range. In the right quadrant of the Target diagram (Fig. 8d–f), the error related to standard deviation dominates the model performance and in the left quadrant the error related to correlation dominates the model performance. For all three pollutants, deficits in the model performance of EPISODE-CityChem are related to the centred RMSE (abscissa of the Target diagram), while bias is small. The error related

5 to correlation dominates the model's performance (all stations are in the left quadrant of the Target diagram). The estimated model uncertainty of the predicted hourly NO₂ and the predicted daily mean PM₁₀ is 3435% and 3736%, respectively.

Corresponding Target diagrams for TAPM and EPISODE are shown in Fig. S3. Notably, for hourly and yearly averaged NO₂ the two other model show weaker performance than EPISODE-CityChem. EPISODE fulfils the MQI for hourly values but exceeds the MQI for yearly averages. TAPM exceeds both MQI criteria, mainly due to the weak performance at the traffic

10 stations (see Sect. 4.2.3). For O_3 and PM_{10} the model performance objectives are fulfilled by both EPISODE and TAPM.

4.3 Atmospheric chemistry in the urban area

4.3.1 Mapping of annual mean concentrations

Fig. 910 depicts spatial maps of the annual mean concentrations of NO₂, O₃, total NMVOC, SO₂, gaseous sulphuric acid (H₂SO₄) and PM_{2.5} from the model output of the receptor grid (resolution 100 × 100 m²). With the exception of PM_{2.5}, concentrations of aforementioned compounds are modulated by photochemical reactions in the model simulation. Due to the large temporal, spatial and compositional variations of the input from anthropogenic emissions of NO_X, NMVOC and CO within the urban area, the atmospheric chemistry in urban environments is complex. Prevailing winds from the west, on an annual basis, allow for a simplified view of the inflow-outflow pattern for ozone within the study domain of Hamburg. Following the inflow-outflow direction in space from west to east (30 km), modelled O₃ concentration starts with ca. 50 µg m⁻³ at the western

²⁰ border, largely reduces in the inner-city to $15-30 \,\mu g \, m^{-3}$ and gradually increases again over the eastern part to ca. $40 \,\mu g \, m^{-3}$. Modelled and observed annual mean NO₂ at the four traffic stations exceed the annual limit value of $40 \,\mu g \, m^{-3}$ in 2012 (cf. Fig. 7b). The model simulation suggests that there is wide-spread exceedance of this limit value in the city, mainly at the

main streets and the road junctions of the inner city and along the motorways outside the inner city (Fig. 9a).

Modelled ozone at the outflow border does not reach the level at the inflow border. Within the urban area, the traffic-related emissions of NO destroy much of the O₃ (mainly at night when O₃ is not recycled through photolysis of NO₂), clearly seen as minimum concentrations along the traffic network (Fig. 910 b). Thus, the inner urban area provides an efficient sink for ozone, which qualitatively is in accord with findings of the REPARTEE (Regents Park and Tower Environmental Experiment) measurement campaign carried out in London in the autumn of 2006 and 2007 (Harrison et al., 2012).

Photochemical production of O₃ from NO_X, NMVOC and CO, emitted in the urban area, is very limited in the inner-city.
Main sources of NMVOC in Hamburg are solvent use (SNAP cat. 06) and traffic emissions. NMVOC annual mean concentrations of more than 40 µg m⁻³ were modelled close to roads in the inner-city (Fig. 910-c). Ehlers et al. (2016) report the similarity of NMVOC fingerprints in air samples taken in the inner-city of Munich and in a road tunnel in Berlin with the fingerprint of petrol cars under cold-start conditions. Our model simulation finds that the loss of NMVOC by OH-initiated oxidation in

the model is inhibited due to the low O_3 concentrations in the inner-city. In summer, modelled OH midday maximum concentrations are in the range $(0.5-2.0) \times 10^6$ molecule cm⁻³ in the inner-city. Modelled o-xylene, which is the model surrogate compound for the sum of aromatic VOC is 5–10 µg m⁻³ (1.2–2.2 ppbv), in at some distance from the roads. This is in the same range as concentrations measured in central London (ca. 2 ppbv for the sum of aromatics; Valach et al., 2015).

5 SO₂ is an important precursor for secondary aerosol formation. SO₂ emissions in Hamburg are mainly from industrial point sources and ship traffic in the harbour area. Highest yearly averaged modelled SO₂ concentrations are in the range of 20–40 µg m⁻³; in the proximity of the main sources (Fig. 910-d).

In the atmosphere, SO₂ reacts with the OH radical and with CH_3O_2 to give gaseous sulphuric acid (H_2SO_4). The presence of sulphuric acid in gaseous concentrations of $10^6 - 10^7$ molecule cm⁻³ is necessary in order to observe new particle formation

- 10 events in the atmosphere (Zhang et al., 2012). In the model, a constant very low BCON value $(10^{-5} \,\mu g \,m^{-3})$ was chosen for H₂SO₄, leading to a reduced sulphuric acid concentration in the boundary cells (Fig. 910 e). Towards the inner domain, H₂SO₄ quickly increases due to the oxidation of SO₂ advected to Hamburg from the regional background. Modelled annual mean H₂SO₄ peaks in the harbour area with up to 0.018 µg m⁻³. On spatial average, H₂SO₄ annual mean concentration is 0.013 µg m⁻³, corresponding to 8.2×10⁷ molecule cm⁻³, higher than typical ambient concentrations in the urban atmosphere.
- 15 For comparison, reported maximum midday H_2SO_4 concentrations in Beijing are in the range $(0.3-1.1) \times 10^7$ molecule cm⁻³ (Wang et al., 2013). Too high modelled H_2SO_4 is explained by the fact that condensation of sulphuric acid on pre-existing particles is not accounted for in the model. Condensation is the most important atmospheric sink of sulphuric acid and also leads to the formation of particulate sulphate.
- Previous studies have shown that levels of $PM_{2.5}$ in the urban background are to a large extent controlled by the atmospheric transport from up-wind regions. Modelled $PM_{2.5}$ levels in the urban background of Hamburg are on annual average of $2.5 \,\mu g \,m^{-3}$ higher than the regional background. The urban increment due to road traffic exceeds the urban background by 10– $20 \,\mu g \,m^{-3}$ in the model simulation (Fig. 910 f). Hotspots of $PM_{2.5}$ pollution are found within the harbour area to the southwest of the inner city, where several refineries, power plants and industry are located. These industrial emissions are represented as point source plumes in the model EPISODE-CityChem.
- 25 Modelled and observed annual mean at the four traffic stations exceed the annual limit value of 40 in 2012 (cf. Fig. 7b). The model simulation suggests that there is wide-spread exceedance of this limit value in the city, mainly at the main streets and the road junctions of the inner city and along the motorways outside the inner city (Fig. 10a).

A 6-day episode with high ozone in July 2012 (5–10 July) was analysed. Fig. 11 shows the O_3 , NO, NO₂ and OH concentrations, together with wind direction and total solar radiation during the episode as a function of time at inner-city

- 30 station 13ST. Six short time periods P1–P6 with O₃ underestimation by the model at station 13ST were identified (grey-shaded area). The modelled OH is controlled by the diurnal variation of total solar radiation with the highest OH concentration close to local solar noon in the range $(0.5-5) \times 10^6$ molecule cm⁻³ at 13ST and station 27TA in the eastern part of Hamburg (Fig. S7), and $(5-16) \times 10^6$ molecule cm⁻³ at station 54BL close to western border (Fig. S8). Total solar radiation predicted by the model agreed fairly well with the observations during the ozone episode, except during P5 where the observed daily maximum was
- 35 underestimated by $\sim 40\%$.

During P1, wind direction observed at Hamburg weather mast changed from southeast to northwest. After the end of the first day, the wind was again from east to southeast, which was not reproduced by the model. After P3, predicted wind flow was from westerly directions ($190^{\circ}-250^{\circ}$) for the remainder of the episode, in agreement with observations. A sudden drop of observed O₃ during the night of the second day can be noted at 54BL (Fig. S8), obviously related with shallow mixing height of the nocturnal BL (modelled $h_{mix} < 100 \text{ m}$) and high observed and modelled NO₂ due to accumulation of NO_x emissions in

5 of the nocturnal BL (modelled $h_{mix} < 100 \text{ m}$) and high observed and modelled NO₂ due to accumulation of NO_X ere the surface layer. The model was able to reproduce the low observed O₃ (< 10 µg m⁻³) during this night.

P1 and P6 are the periods with the most severe underprediction of O_3 , with modelled O_3 below $10 \mu g m^{-3}$. Modelled O_3 drops by $\sim 40 \mu g m^{-3}$ compared to the 6-h average before the two periods. During both periods, EPISODE-CityChem predicted high NO and NO₂ concentrations resulting in the efficient titration of O_3 by reaction with NO in the late afternoon and at night.

- 10 Modelled NO_X concentrations during P1 and P6 are several times higher than observed NO_X concentrations. Corresponding modelled NO_X peaks are not present at 54BL and 27TA, implying that the high NO_X steams from emissions within the urban area rather than from the advection of a NO_X plume through the domain boundaries. The same seems to be the case for the night time period P4 although modelled NO agrees with observed NO and the titration of O₃ in the model was weaker, leading to a smaller reduction of modelled O₃ (by ~25 µg m⁻³) compared to 6-h average before P4, than in the periods P1 and P6.
- 15 During the three daylight periods P2, P3 and P5 the observed daily O_3 maximum is underestimated by $40-50 \mu g m^{-3}$ by the model. Too low modelled total solar radiation could be the reason for the too low O_3 production during P5, but for the two other periods observed total solar radiation was reproduced by the model. During P2, predicted wind direction was from the northwest (270° - 300°), in contrast to observed wind direction at the Hamburg weather mast (90° - 110°). While at BL54, close to the western border, predicted O_3 agreed with the observed O_3 (Fig. S8), the air mass with ozone was not correctly
- 20 advected to the inner city leading to the underestimation of O_3 at 13ST. The clear-sky period P3 starts at about 6 a.m. with a rapid increase of observed O_3 during the morning. Modelled h_{mix} is still below 200 m during the first hours of P3, causing an accumulation of NO_X emissions of the rush hour in the model, leading to higher NO_X concentrations than observed. It cannot be excluded, that missing emissions of biogenic VOC (BVOC) from trees in urban green parks and at roadside contributed to the apparent underestimation of observed ozone during the daylight periods.

25 4.3.2 Modelling of ozone formation

30

To demonstrate the effect of using an advanced photochemical mechanism for modelling of ozone concentrations and ozone production, we compared two EPISODE-CityChem summer (JJA) simulations for Hamburg; one using EmChem09-mod chemistry ("EmC09-mod") and the other using the PSS assumption ("PSSA") on the Eulerian 3-D grid. The implementation of the PSS involves only the three reactions of the equilibrium between $O_3/NO/NO_2$ (i.e. (R.5)–(R.7)), while ignoring all other reactions. Spatial maps in Fig. 10a and b show the difference of summer mean concentrations of NO₂ and O₃ between the runs "EmC09-mod" and "PSSA". In "EmC09-mod" additional NO-to-NO₂ conversions occur compared to the PSS due to the oxidation of CO and VOCs. The largest impact on NO₂, an increase by ca. 1.4–2.3 µg m⁻³ compared to PSS, happens in the western part of the city, along river Elbe and in the harbour area south of the river. However, the increased NO₂ does not translate into an increase of O₃ in this area, because modelled NO₂ is already high (> 35 µg m⁻³), and additional NO₂ decreases the

OH concentration via reaction (R.11). Remarkably, photochemical ozone production takes place in the outflow to the southeast of the city and in the urban background southwest of the city. The O_3 concentration difference between "EmC09-mod" and "PSSA" is in the range 1.6–2.2 µg m⁻³ in the outflow of polluted air, implying that advanced photochemistry is necessary for a more accurate prediction of O_3 in the urban background. The modelled VOC/NO_x ratio in the pollution outflow is ~0.6,

- 5 indicating VOC-limited conditions. This is in contrast to the general expectation of finding NO_X-limited conditions in the outflow of cities; the low VOC/NO_X ratio might be associated with overestimation of NO_X by the model, as will be shown below. In the inner city and in the vicinity of the highly trafficked streets and motorways, photochemical ozone production is very small (difference $< 0.4 \,\mu g \, m^{-3}$), suggesting that the PSS assumption is valid close to the sources of NO_X pollution.
- Comparison with measurements was done at two sites, 13ST, an inner-city urban background monitoring site and 27TA, an
 urban background station in the SE part of the domain (green stars in Fig. 10a and b). The diurnal cycles of observed median O₃ and NO₂ concentrations at 13ST for summer (JJA) show a minimum of O₃ in the early morning hours, between 4 and 7 a.m., a daily maximum of O₃ between noon and 4 p.m., whereas NO₂ peaks two times during the day (6–8 a.m. and at 6–8 p.m.), coinciding with the traffic rush hours (Fig. 10c and d). The diurnal cycles of observations follow a similar pattern at 27TA, only that the observed median of NO₂ is roughly half of that at 13ST (Fig. 10e and f).
- At 13ST, in the afternoons, between 2 p.m. and 7 p.m., modelled median O_3 concentrations from both "EmC09-mod" (red line) and "PSSA" (green line) are below the bandwidth of observed ozone (25th to 75th percentile) and modelled median O_3 is ca. 20 µg m⁻³ lower than the observed median. At both stations, modelled median NO₂ in the evening (between 4 p.m. and 8 p.m.) is higher than the bandwidth of observed NO₂. The prediction of too high NO₂ concentration in the evening at both sites could have several reasons: (1) overestimated emissions of NO_X in the urban area; (2) inadequate diurnal profile of
- 20 traffic emissions regarding the afternoon rush hour; and (3) inaccurate mixing height of the nocturnal BL, leading to enhanced accumulation of NO_X emissions in the surface layer of the model.

5 Planned improvements to the EPISODE model

The future development of the EPISODE model with respect to photochemistry and the dispersion near sources is outlined in the following. Specifically, the implementation of the photochemistry in relation to sub-grid modelling and the treatment of aerosol formation on the urban scale will be in the focus of the planned development for the next versions of EPISODE-CityChem.

5.1 Photolysis parameterisation development

The procedure for calculating photo-dissociation rates (*j*-values) has not been changed since the original development of the EMEP45 mechanism and is thus as documented by Walker et al. (2003). This procedure was based on the procedure used in the

30 EMEP oxidant model at that time and needs to be revised and updated. The plan is to update the methodology in accordance with the present version of the EMEP unified model (Simpson et al., 2012). In the present EMEP model, the *j*-values are

based on pre-calculated rates from a detailed radiative-transfer model (PHODIS, Kylling et al., 1998) and interpolated between certain fixed cloud fractions.

5.2 EP10-Plume development

The **PSS**-photochemical steady-state might apply in street canyons because there, the distance between source and receptor is

5 short, hence only the fastest chemical reactions can have a significant influence on the photochemical transformation in the street canyon air. On the time scales applying to a street canyon, CO and hydrocarbons can be treated as inert tracers. However, the photochemical scheme applied in the sub-grid component also needs to consider situations with a larger distance between source and receptor (within a cell of the Eulerian grid).

The photochemical steady-state PSS relationship is usually not valid in the urban air because organic peroxy radicals compete
with O₃ to convert NO to NO₂ as a result of the oxidation of VOCs in sunlight. EP10-Plume considers only the photochemical degradation of HCHO, but not the oxidation of other reactive VOCs emitted from traffic. It is planned to develop a more detailed chemistry scheme, including VOC, at the receptor points.

Background ozone concentrations are taken into account in the photochemical transformation in the sub-grid component (in both PSS and EP10-Plume). Due to the low ventilation in street canyons, the residence time of pollutants becomes comparable

- 15 to the time scales of the reactions involved in the PSS assumption, i.e. on the order of tens of seconds. This implies that the exchange rate between the plume from the line source and the background air can become a limiting factor for the photochemical transformation in street canyons. The exchange rate is governed by the residence time of the pollutants at the street. However, in the sub-grid line source model, it is assumed that background O_3 is instantaneously mixed with NO from the plume of the line source. The rate of reaction (R.5) might, therefore, be overestimated in the sub-grid model, depending on the ambient
- 20 conditions for the plume mixing. Hertel and Berkowicz (1989) take the exchange rate into account for the PSS and suggest that the residence time of pollutants in a street canyon can be approximated by H_{sc}/σ_{wt} , where σ_{wt} is the ventilation velocity. The exchange rate of ozone will be considered in the refinement of the photochemistry of the sub-grid component.

5.3 EmChem09-mod developments

5.3.1 Emissions of biogenic VOC

- 25 Biogenic emissions of VOCs from trees might be relevant in the VOC-limited regime. Many urban trees such as European aspen (Populus) and deciduous oaks emit large amounts of isoprene (Karl et al., 2009). The ozone formation potential of BVOCs emitted from urban trees is sufficiently high to outperform the ozone uptake capacity of the trees (Grote et al., 2016). Missing emissions of BVOCs from trees in urban green parks and at roadside might partly explain the underestimation of observed O₃ in summer in the inner-city by the model. Isoprene, in the presence of a sufficiently high level of NO_X, can
- 30 contribute substantially to the O_3 formation in the urban atmosphere. Further, monoterpenes are considered to be a relevant source of organic peroxy radicals at night (Platt et al., 2002). EmChem09-mod includes the chemical reactions for the OHinitiated oxidation of isoprene and for two types of monoterpenes, represented by α -pinene and limonene. It is planned to

implement a module for the computation of inline emissions of isoprene and monoterpenes as a function of temperature and solar radiation using data on tree-specific BVOC emission factors by Karl et al. (2009) and high-resolution urban land use information, for example from the Copernicus Urban Atlas.

5.3.2 Secondary formation of particulate matter

- 5 Currently, both $PM_{2.5}$ and PM_{10} are treated as inert tracers with no secondary aerosol formation. The first step towards a better representation of the particulate phase will be the separation of particulate matter into individual particulate chemical compounds. Traffic emissions of fine ($PM_{2.5}$) and coarse ($PM_{10} - PM_{2.5}$) particulate matter will be separated into (nonvolatile) primary organic aerosol (POAand-) and elemental carbon (EC), thereby assuming that any emission of SVOCs and intermediate-volatility volatile organic compounds (IVOC) immediately undergo irreversible condensation to the exhaust par-
- 10 ticulate matter. A clear advantage of the approach is that it avoids the need for discounting SVOC and IVOC from the city's particulate matter emission inventory. Mineral dust and sea salt that is imported to the city from the regional background needs to be treated as separate compounds. The main focus is on formation of secondary inorganic aerosol (SIA). Thus, the thermodynamic equilibrium solver MARS(Binkowski and Shankar, 1995) Model for an Aerosol Reacting System (MARS: Binkowski and Shankar, 1995) could be used for the calculation of the partitioning between gas and fine-mode particles (Simplexite) and the set of the calculation of the partitioning between gas and fine-mode particles (Simplexite).
- 15 son et al., 2012). However, MARS does not account for mineral dust components and sea salt; the latter could be relevant for the formation of SIA in coastal cities because it increases the water associated with the particulate matter.

5.3.3 Ultrafine particles

Among the emerging, yet unregulated pollutants, pollutants in cities are ultrafine particles (UFP, diameter less than 100 nm). Major sources of UFPs in urban environments are motor vehicle exhaust emissions (e.g. Harrison et al., 2011). Emission from

- 20 ships contribute to UFP pollution in harbour cities (Pirjola et al., 2014). High concentrations of ultrafine particles are formed during new particle formation events (Salma et al., 2016). Studies evidenced the relevance of episodes of new particle formation in urban environments for cities situated in high insolation regions such as southern Europe (e.g. Pey et al., 2008; Dall'Osto et al., 2013; Brines et al., 2015). UFPs are usually evaluated in terms of particle number (PN) concentrations. In urban environments, UFPs dominate the total PN concentrations, but only make a small contribution to particulate matter. A
- 25 simplified parameterization for the treatment of dry deposition and coagulation of particles (Karl et al., 2016) has already been implemented in EPISODE for modelling PN concentrations in Oslo (Kukkonen et al., 2016). The MAFOR aerosol dynamics solver (Karl et al., 2011; Karl et al., 2016) will be implemented in EPISODE-CityChem to compute the information on the size distribution of UFPs and the total PN. The solver includes nucleation, coagulation, as well as growth due to condensation of sulphuric acid and low volatile/semi-volatile organic vapours from biogenic and anthropogenic sources.

5.4 SSCM development

In addition to the dependence from the wind direction (considered through θ_{street} , the angle between wind direction and road axis), the direct contribution in SSCM is mainly sensitive to the emission intensity of the line source, the street level wind speed, and the integration path. The integration path corresponds to the length of the recirculation zone but extends to L_{max} for

- 5 close to parallel wind directions. The length of the recirculation zone (L_{rec}) depends on the building height along the canyon; while L_{max} is a function of the canyon width for large θ_{street} and a function of the canyon length if θ_{street} is below 45°. Both dimensions (L_{rec} and L_{max}) are only roughly estimated in SSCM because it considers only generic street canyon types and not the site-specific street canyon geometry. It is planned to refine SSCM with respect to a better representation of the urban street canyon geometry. For example, by using spatially resolved information of building height and street canyon width by
- 10 extracting the data from 3-D city building models for each road segment.

5.5 WMPP development

Envisaged future improvements to the WMPP will be to extend it to use energy budget methods (Thom et al., 1975; van Ulden and Holtslag, 1985; Fritschen and Simpson, 1989; Tunick, 2006) in combination with net surface radiation and heat flux to determine wind and turbulence profiles and mixing height. The method will be based on data such as solar radiation, cloud cover, air temperature, wind speed, relative humidity, precipitation and surface conditions.

6 Conclusions

15

The CityChem extension of the urban AQ model EPISODE (Slørdal et al., 2003, 2008; Hamer et al., 2019) offers a detailed treatment of the atmospheric chemistry in urban areas and a more advanced treatment for the dispersion close to point emission sources, such as industrial stacks, and line emissions sources, such as open roads and street canyons. EPISODE consists of

20 a 3-D Eulerian grid CTM model with embedded Gaussian dispersion models that track the sub-scale dispersion of pollutants from line and point emission sources until the fine-scale variability becomes unimportant. The EPISODE-CityChem model, which is based on the core of the EPISODE model, integrates the CityChem extension into an urban CTM system, with the capability of simulating photochemistry and dispersion of multiple pollutants on urban scales.

EPISODE consists of a 3-D Eulerian grid CTM model with embedded Gaussian dispersion models that track the sub-scale dispersion of pollutants from line and point emission sources until the fine-scale variability becomes unimportantPhotochemistry on the Eulerian grid is computed using a numerical chemistry solver. Photochemistry in the sub-grid components is solved with a compact reaction scheme, replacing the photo-stationary state assumption. The integration of SSCM in the sub-grid line source model results in higher concentrations in street canyons because it considers the reduced ventilation inside the canyon and the recirculation of the traffic plume. The integration of WMPP in the sub-grid

30 point source model for the dispersion around an elevated point source increases the maximum ground concentration of an inert tracer by a factor of four to six in neutral and unstable conditions compared to the standard parameterization in EPISODE. The integration of SSCM in the sub-grid line source model results in higher concentrations in street canyons because it considers the reduced ventilation inside the canyon and the recirculation of the traffic plume.

The EPISODE-CityChem model takes into account that long-range transport contributes to urban pollutant levels by using hourly varying pollutant concentrations at the lateral and vertical boundaries from the CMAQ model (Byun and Schere, 2006) as initial and boundary concentrations. The model reads meteorological fields generated by the prognostic meteorology

component of TAPM (Hurley, 2008; Hurley et al., 2005) but can also use meteorological fields constrained by observations.
 The performance of EPISODE-CityChem was evaluated with a series of tests to study the basic functionalities of the City-Chem extension and with a first application to the air quality situation in the city of Hamburg, Germany. The <u>ability to reproduce</u> the temporal variation of major regulated pollutants at AQ monitoring stations in Hamburg was compared to that of the standard

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- 10 EPISODE model and the TAPM AQ model using identical meteorological fields and emissions. EPISODE-CityChem performs better than EPISODE and TAPM for prediction of hourly NO₂ concentrations at the traffic stations, which is attributable to the street canyon model. EPISODE-CityChem was in better agreement with observed O₃ daily maximum of the 8-h running mean than the other two models. For daily mean PM₁₀ at urban background stations, EPISODE-CityChem and EPISODE gave better results than TAPM, largely due to the use of hourly 3-D boundary conditions from CMAQ. The performance analysis with the
- 15 FAIRMODE DELTA Tool for the air quality in Hamburg showed that the model EPISODE-CityChem fulfils the model performance objectives for NO₂ (hourly), O₃ (daily max. of the 8-h running mean) and PM₁₀ (daily mean) set forth in the AQD, qualifying the model for use in policy applications.

Observed levels of annual mean The effect of using an advanced photochemical mechanism (EmChem09-mod) compared to the PSS assumption for modelling ozone concentrations and ozone production was investigated in summer (JJA) simulations

- 20 for Hamburg. Photochemical ozone production was found to take place in the outflow of polluted air from the city, implying that advanced photochemistry is necessary for a more accurate prediction of O_3 at the five urban background stations in Hamburg are captured by the model within ± 15 %. At the inner-city urban backgroundstation the summer mean of modelled in the urban background. However, the modelled daily maximum O_3 is in summer afternoons was ca. 25% lower than observed -Analysis of hourly concentrations during a summer ozone episode revealed that too low modelled in the late afternoon and at
- 25 night is related to the efficient titration of by reaction with , which accumulated in the shallow nocturnal BLat an inner-city urban background station. In addition, the model predicted too high NO_2 concentration in the summer evenings at two urban background stations. Further investigation of the occasionally high abundance of high modelled evening NO_X at night would in summer will require sensitivity analysis of the various source categories contributing to the NO_X levels in the inner city, which remains as a task for future studies.
- 30 BVOC emission from urban parks and forests might partly explain the underestimation of observed ozone in summer. There is evidence, that the contribution of BVOC emissions to ozone formation can be up to 60 % to ozone levels during heat waves in densely populated areas (Churkina et al., 2017), depending on type and amount of urban vegetation. In the future, BVOC emissions in urban areas are expected to become even more important in ozone formation if anthropogenic NMVOC emissions continue to decline as a result of technological progress (Wagner and Kuttler, 2014). The implementation of BVOC emissions
- as function of temperature and solar radiation will be in the focus of coming developments of the CityChem extension.

Envisaged applications of the EPISODE-CityChem model are urban air quality studies, emission control scenarios in relation to the traffic bans introduced in German cities and the source attribution of sector-specific emissions to observed levels of air pollutants. The model can also be utilized in the evaluation of air pollution exposure and in the assessment of adverse health impacts. Features of the model that facilitate its application to urban AQ in cities worldwide include the integrated utilities for

5 input preparation and output processing, moderate computational demand, photochemistry options (ozone formation studies), high spatial and temporal resolution and the demonstrated fitness for policy use.

Code and data availability. The source codes of the EPISODE-CityChem model version 1.2 and the pre-processing utilities are accessible in release under the RPL license at http://doi.org/10.5281/zenodo.2158225 (Karl and Ramacher, 2018).

A tar package with example data for a one month simulation and the User's Guide are included in the release. All pre-processing tools are written in Fortran 90. Software requirements for the utilities and the EPISODE-CityChem model are installation of the gcc/gfortran fortran90 compiler (version 4.4. or later) and the netCDF library (version 3.6.0 or later).

The following data sets are available for download from the HZG ftp server upon request:

- input data for the one-year AQ simulation of Hamburg (full set ca. 50 GB);
- DELTA Tool data for comparison of model output and measurements;
- 15 model output data of the AQ simulation of Hamburg (full set ca. 50100 GB).

Appendix A: Photodissociation ratesList of acronyms

A list of the acronyms and abbreviations used in this work is given in Table A1.

Appendix B: Photodissociation rates

The photo-dissociation coefficients of photolysis reactions are calculated according to the expression:

$$20 \quad j_n = \begin{cases} \operatorname{CLF}_n \varepsilon_{1,n} \exp(\varepsilon_{2,n}/\cos(\theta_z)) & \theta_z < 60^{\circ} \\ \operatorname{CLF}_n \varepsilon_{1,n} \exp(\varepsilon_{2,n} \alpha_0(\theta_z)) & 60^{\circ} \le \theta_z < 89^{\circ} \\ \operatorname{CLF}_n \varepsilon_{1,n} \exp(\varepsilon_{2,n} \alpha_0(89^{\circ})) & \theta_z \ge 89^{\circ} \end{cases},$$

$$(B1)$$

where θ_z is the zenith angle, α_0 denotes the optical air mass for large zenith angles, and CLF_n is the cloud correction factor for reaction number *n*:

$$CLF_{n} = \begin{cases} (1.0 - CL/0.2) + \varepsilon_{3,n} CL/0.2 & CL \le 0.2 \\ \varepsilon_{3,n} + (CL - 0.2) (\varepsilon_{4,n} - \varepsilon_{3,n})/0.6 & CL > 0.2 . \end{cases}$$
(B2)

The actual fractional cloud cover of low clouds (0.0 to 1.0), CL, is either based on observational data of cloud coverage or from the total solar radiation field (calculated by TAPM) using the approximation for the transmission coefficient of short wave radiation suggested by Burridge and Gadd, as given in Stull (1988). Empirical values for ε_1 , ε_2 , ε_3 and ε_4 for the photolysis reactions are tabulated in Table <u>\$1</u>82.

Appendix C: Treatment of deposition on the Eulerian grid

Dry deposition

5 The dry deposition of gases and aerosols is treated based on the resistance analogy, where the inverse deposition velocity of gases is the sum of three resistances in series, the aerodynamic resistance R_a (m s⁻¹), the quasi-laminar layer resistance, R_b (m s⁻¹), and the surface (canopy) resistance R_c (m s⁻¹). Gravitational settling of coarse particles is considered for the dry deposition of aerosols. The loss rate of a gaseous species *i* to the land or water surface, within a volume of unit area and height Δz (here the thickness of the lowermost layer), is given by the product of the deposition velocity V_{dry} (m s⁻¹) at the reference 10 height z_{ref} (here the mid-point height of the lowermost model layer) and the concentration (C_i) at that height:

$$\frac{\Delta C_i(z_{\text{ref}})}{dt} = -V_{\text{dry}} C_i(z_{\text{ref}}) / \Delta z , \qquad (C1)$$

The dry deposition velocity of gases, $V_{dry,g}$, is calculated as (Simpson et al., 2003):

$$V_{\rm dry,g} = \frac{1}{R_a + R_b + Rc} , \qquad (C2)$$

The aerodynamic resistance at z_{ref} is calculated based on surface layer similarity theory as function of the Monin-Obukhov 15 length and the friction velocity:

$$R_a = \frac{1}{\kappa u_*} \left(\ln \frac{z_{\text{ref}}}{z_0} - \Psi_{\text{H}} \left(\frac{z_{\text{ref}}}{L} \right) + \Psi_{\text{H}} \left(\frac{z_0}{L} \right) \right), \tag{C3}$$

where Ψ_H is the influence function for heat transfer, z_0 is the surface roughness (for momentum) and *L* is the Monin-Obukhov length. The quasi-laminar layer resistance is calculated according to the parameterisation given by Simpson et al. (2003). The canopy resistance, i.e. deposition due to capture of pollutants by the surface, is currently only considered by a minimum

value, i.e. $R_c = R_{c,\min} = 1 \text{ m s}^{-1}$. The parameterisation of the canopy resistance is complex, since it depends both on surface characteristics and the chemical characteristics of the depositing gas.

The dry deposition velocity of particles, $V_{dry,p}$, is calculated as (Simpson et al., 2003):

$$V_{\rm dry,p} = \frac{1}{R_a + R_b + R_a R_b \upsilon_s} + \upsilon_s , \qquad (C4)$$

where v_s is the gravitational settling velocity and the other terms are as for gases.

25

Equation (C4) involves the assumption that all deposited particles stick to the surface, so that the surface resistance becomes zero. The dry deposition velocity of atmospheric aerosols depends on their sizes. The current formulation distinguishes between $PM_{2.5}$ and PM_{10} , which are presently assigned the particle diameters of 0.3 µm and 4 µm. All the resistances are integrated over the aerosol sizes, assuming a log-normal particle size distribution with the geometric standard deviations of 2.0 µm and 2.2 µm for $PM_{2.5}$ and PM_{10} respectively.

Wet deposition

Wet deposition is described as a sink term within the advection/-diffusion equation and can be parameterized by $dC_i/dt = -\Lambda \cdot C_i$; where C_i is the grid concentration of a gaseous or particulate species and Λ is the scavenging coefficient (s⁻¹). Wet scavenging is different from zero in grid cells where precipitation (rainfall or snowfall) occurs. The chosen crude approach for

- 5 representing wet deposition treats in-cloud scavenging in the same way as below-cloud scavenging. Further, the cloud base is assumed to be at the model top, which means that scavenging occurs throughout the entire 1-D model column for which the precipitation rate in the surface grid cell is greater than zero. For the short-term estimation of near-ground concentrations in urban areas, below-cloud scavenging is expected to be the dominant wet removal process. A more accurate treatment of the below-cloud scavenging requires knowledge of the cloud base height (which is not standard output of the TAPM model) in
- 10 order to limit wet deposition to the model layers that are actually affected by raining clouds and to separate between in-cloud and below-cloud scavenging. The scavenging of gases is calculated as (Simpson et al., 2003):

$$\Delta C_{i,\text{wet}} = -C_i \frac{W_{\text{sub}} P_r}{H_{\text{sub}} + \rho_w} , \qquad (C5)$$

where W_{sub} is the sub-cloud scavenging coefficient for gases, supplied as a constant value by the model user, P_r (kg m² s⁻¹) is the precipitation rate, H_{sub} is the scavenging depth (corresponding to the total vertical depth of the model) and ρ_w is the water density (1000 kg m⁻³).

Precipitation is a 2-D surface field, either from observations of precipitation rate or computed by TAPM. The wet deposition rate of particulate compounds is calculated as (Simpson et al., 2003):

$$\Delta C_{i,\text{wet}} = -C_i \frac{AP_r}{V_{\text{dr}}} \overline{E} , \qquad (C6)$$

where V_{dr} is the raindrop fall speed ($V_{dr} = 5 \text{ m s}^{-1}$), $A = 5 \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-1}$ is an empirical coefficient when the Marshall-Palmer size 20 distribution is assumed for rain drops, and \overline{E} is the tabulated size-dependent collection efficiency of aerosols by the rain drops.

Appendix D: Statistical indicators and model performance indicators Treatment of boundary concentration data

The-

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The utility BCONCC v2.1 (included in the CityChem distribution) is used to produce EPISODE-format binary files containing hourly varying 3-D boundary concentrations for the most relevant chemical compounds. BCONCC makes use of

25 the IOAPI version 3.1 library (https://www.cmascenter.org/ioapi) to access CMAQ output files. The IOAPI (Models-3/EDSS Input/Output Application Programming Interface) provides a variety of data structure types for organizing the data and a set of data access routines.

The preparation of chemical boundary conditions from CMAQ model output is done in two steps. First, the city's 3-D domain extent plus one grid cell to each side is cut out from the CMAQ model grid, interpolating the hourly concentrations

30 to the horizontal main grid resolution of EPISODE using bilinear interpolation. Second, EPISODE-format binary files for boundary conditions (BCON files) containing background concentrations of all individual CityChem compounds are created

for the defined model domain in the required input format. Linear interpolation is used to convert concentrations from the vertical layers of the CMAQ model to the vertical layers of the EPISODE-CityChem model. Temperature and pressure of the METCRO3D file (meteorological input file of the CMAQ simulation) are used to convert the concentration of gaseous compounds from mixing ratio to mass-based concentrations.

- 5 The background concentrations are adopted for the grid cells directly adjacent to the grid cells of the model domain (with *nx* × *ny* cells per model layer) and also for the vertical model layer that is on top of the highest model layer. Boundary conditions from CMAQ concentrations are created for the gas-phase chemical compounds: O₃, NO₃, NO₂, H₂O₂, SO₂, HCHO, CO, N₂O₅, HNO₃, PAN and the individual VOC. Boundary conditions for PM_{2.5} includes primary aerosol components: EC, POA, sea salt (NaCl), and mineral dust; secondary inorganic aerosol (SIA) components: sulphate (SO₄²⁻), ammonium (NH₄⁺),
- 10 nitrate (NO₃⁻), and SOA (PM_{2.5} was defined including modes I and J of the CMAQ aerosol components). Since the focus of the AQ study is mainly on photochemistry and fine particulate mass, boundary conditions for PM₁₀ were approximated as $[PM_{10}] = [PM_{2.5}] \times 1.5$.

The entrainment of O_3 and $PM_{2.5}$ from the regional background into the model domain and their effect on the concentrations inside the domain was studied with a numerical experiment using the model setup for Hamburg as described in Sect. 4.1.1.

- 15 A constant concentration offset (BCON offset) was added to the hourly CMAQ concentrations at the lateral and vertical boundaries. In a series of test runs, the BCON offset of O_3 was increased from 0 to $60 \mu g m^{-3}$ in steps of $10 \mu g m^{-3}$ and the BCON offset of $PM_{2.5}$ was increased from 0 to $30 \mu g m^{-3}$ in steps of $5 \mu g m^{-3}$ between the runs. A linear relationship was found between the monthly mean concentration (July 2012) of O_3 and $PM_{2.5}$ in the grid cell, where the inner-city urban background station 13ST is located, and the BCON offset (Fig. D1). Fitting a linear regression model of the form y = a + bx to
- 20 the data gave a slope of 0.66 and 0.93 for O_3 and $PM_{2.5}$, respectively. Since $PM_{2.5}$ is treated as a chemical non-reactive tracer in the model, the reason for a slope smaller than one is the removal by dry and wet deposition within the study domain. For ozone, the addition of an offset to the concentrations at the boundaries does not fully propagate into the grid cell concentration at station 13ST due to removal by dry deposition, photolysis by sunlight and the chemical reaction with NO_x emitted in the urban area.

25 Appendix E: Statistical indicators and model performance indicators

In the statistical analysis of the model performance the following statistical indicators are used: overall bias (Bias), normalized mean bias (NMB), standard deviation (SD), root mean square error (RMSE), correlation coefficient (Corr) and index of agreement (IOA).

The overall bias captures the average deviations between the model and observed data and is defined as follows:

30 Bias = $\overline{M} - \overline{O}$,

(E1)

where M and O stand for the model and observation results, respectively. The overbars indicate the time average over N time intervals (number of observations).

The normalized mean bias is given by:

$$NMB = \frac{Bias}{\overline{O}} = \frac{\overline{M} - \overline{O}}{\overline{O}} .$$
(E2)

The root mean square error combines the magnitudes of the errors in predictions for various times into a single measure and is defined as:

5 RMSE =
$$\sqrt{\frac{1}{N} \sum_{i=1}^{N} (M_i - O_i)^2}$$
, (E3)

where subscript *i* indicates the time step (time of observation values). RMSE is a measure of accuracy, to compare prediction errors of different models for a particular data and not between datasets, as it is scale-dependent.

The correlation coefficient (Pearson r) for the temporal correlation is defined as:

$$\operatorname{Corr} = r = \frac{\frac{1}{N} \sum_{i=1}^{N} \left(M_i - \overline{M} \right) \left(O_i - \overline{O} \right)}{\operatorname{SD}_M \operatorname{SD}_O} .$$
(E4)

10 SD_M and SD_O are the standard deviation of model and observation data, respectively. The standard deviations are:

$$SD_{M} = \sqrt{\frac{1}{N-1} \sum_{i=1}^{N} \left(M_{i} - \overline{M}\right)^{2}} \quad \text{and}$$

$$SD_{O} = \sqrt{\frac{1}{N-1} \sum_{i=1}^{N} \left(O_{i} - \overline{O}\right)^{2}}.$$
(E5)

The index of agreement is defined as:

$$IOA = 1 - \frac{\sum_{i=1}^{N} (M_i - O_i)^2}{\sum_{i=1}^{N} (|M_i - \overline{M}| + |O_i - \overline{O}|)^2}.$$
 (E6)

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5 An IOA value close to 1 indicates agreement between modelled and observed data. The denominator in Eq. (E6) is referred to as the potential error.

The model performance criteria (MPC) for dispersion models is the minimum level of quality that has to be achieved for use in policy support related to AQ regulations. The MPC implemented in the FAIRMODE Delta Tool have been constructed on the basis of the observation uncertainty (Thunis et al., 2012a).

20 The uncertainty of a single observation value $U_{95}(O_i)$ is expressed as:

$$U_{95}(O_i) = k \, u_r^{\text{RV}} \, \sqrt{(1 - \alpha^2) O_i^2 + \alpha^2 (\text{RV})^2} \,, \tag{E7}$$

where u_r^{RV} represents the relative measurement uncertainty estimated around a reference value, RV, for a given time averaging, e.g. the hourly or daily limit values of the Air Quality Directive (AQD). The fraction of uncertainty around the RV is given by α^2 . Most commonly, the expanded uncertainty is scaled by using a value of 2 for the coverage factor, k, to achieve a level of confidence of approximately 95 percent. The root mean square of the observation uncertainty (RMS_U) is then:

$$RMS_U = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (U_{95}(O_i))^2} .$$
(E8)

A model quality indicator (MQI) is defined as the ratio between the model-observation bias and a quantity proportional to the observation uncertainty as:

5 MQI =
$$\frac{|O_i - M_i|}{\beta U_{95}(O_i)}$$
, (E9)

with $\beta = 2$ in the DELTA Tool.

Using Eq. (E8), the MQI can be generalized to a time series by:

$$MQI = \frac{RMSE}{\beta RMS_U} \le 1 .$$
(E10)

The model quality objective (MQO) is fulfilled when the MQI is less or equal 1.

10 A characteristic of the MQI is that errors in Bias, SD and Corr are condensed into a single indicator value, as follows:

$$MQI^{2} = \frac{Bias^{2}}{(\beta RMS_{U})^{2}} + \frac{(SD_{M} - SD_{O})^{2}}{(\beta RMS_{U})^{2}} + \frac{2 SD_{O} - SD_{M}(1 - Corr)}{(\beta RMS_{U})^{2}}.$$
 (E11)

From Eq. (E11), the model performance criterion (MPC) for the error of bias, standard deviation and correlation can be derived. The bias MPC is derived from Eq. (E11) assuming Corr = 1 and $SD_M = SD_O$, as follows:

$$MPC(bias) = \frac{Bias^2}{\left(\beta RMS_U\right)^2} \le 1.$$
(E12)

- The MQI as described by Eq. (E10) is used as main indicator in the Target diagram (Thunis et al., 2012a). In the normalised Target diagram, it represents the distance between the origin and a given station point. The normalised bias (first term on the right hand side of Eq. (E11) is used for the y-axis while the centred root mean square error (CRMSE) (sum of the two last terms on the right hand side of Eq. (E11)) is used to define the x-axis. More details on the normalised Target diagram can be found in Thunis et al. (2012a).
- 20 Author contributions. MK was the main responsible for the development of the CityChem extension, developed research questions, did most of the writing, evaluated air concentrations data, and created a framework for data processing, visualization and plotting. SEW drafted the overall structure of the manuscript, developed EMEP45 and WMPP which became part of the CityChem extension, and implemented TWOSTEP for solving photochemistry on the Eulerian grid. SS contributed to the development of EMEP45. MOPR prepared input datasets for the air quality study of Hamburg, performed TAPM simulations to produce air concentration data and meteorological input data, evaluated
- 25 meteorological data from TAPM, tested early versions of the EPISODE-CityChem model and assisted with the setup and use of the DELTA Tool. All contributed to the writing of the paper and discussion of the model results.

Acknowledgements. This work was partially funded by the ERA-PLANET trans-national project SMURBS (SMart URBan Solutions for air quality, disasters and city growth), Grant Agreement n. 689443, under the EU Horizon 2020 Framework Programme. We thank the city of Hamburg, Ministry of Environment and Energy (Behörde für Umwelt Energie Hamburg, BUE) for providing AQ monitoring data and the

- 5 Agency for Immission Control and Plant Installations (Amt für Immissionsschutz und Betriebe, IB) for providing traffic emission data and industrial emission totals. We kindly acknowledge Ingo Lange and the Meteorological Institute of Universität Hamburg for compiling and providing meteorological measurement data. Moreover, we would like to thank Stefan Feigenspan and Stephan Nordmann of the German Federal Environmental Agency (Umweltbundesamt, UBA) for providing gridded emission totals for Hamburg. Peter Hurley (CSIRO) for support with TAPM. Britt Ann Høiskar (NILU) is thanked for permission to distribute the CityChem extension to the EPISODE model under
- 10 the RPL license. We thank Paul Hamer (NILU) for the coordination of the two-part series publication on EPISODE and for the graphics of Figure 1. ECMWF ERA 5 reanalysis ensemble means for 2012 is distributed by the Copernicus Climate Change Service. The air quality model CMAQ is developed and maintained by the U.S. Environmental Protection Agency (US EPA). COSMO-CLM is the community model of the German climate research (www.clm-community.cu). The simulations with COSMO-CLM and CMAQ were performed at the German Climate Computing Centre (DKRZ) within the project "Regional Atmospheric Modelling" (Project Id 0302).

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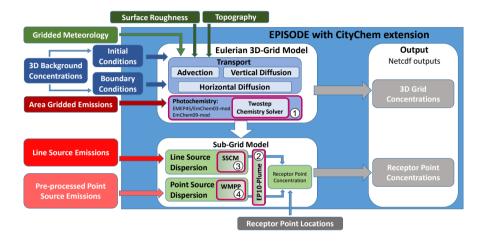


Figure 1. Schematic diagram of the EPISODE model with the CityChem extension (EPISODE-CityChem model). The large blue box represents operations carried out during the execution of the EPISODE model. The components of the EPISODE model are the Eulerian grid model and the sub-grid models. The inputs for EPISODE are specified on the periphery. Modules belonging to the CityChem extension are shown with a magenta frame and are numbered: (1) photochemistry on the Eulerian grid, (2) EP10-Plume chemistry in the sub-grid components, (3) simplified street canyon model (SSCM) in the line source sub-grid model, and (4) WORM Meteorological Pre-Processor (WMPP) in the point source sub-grid model.

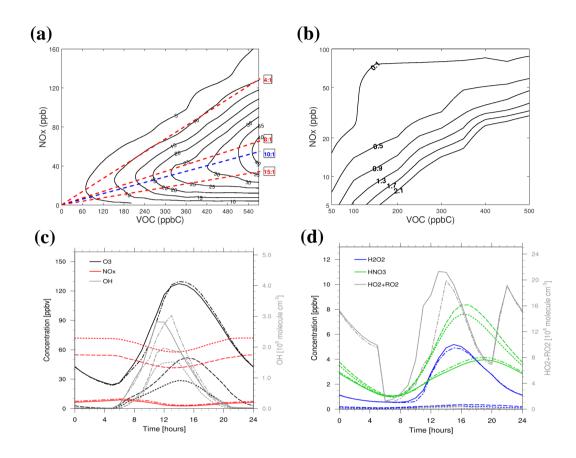


Figure 2. Test of relationships between ozone, NO_X and NMVOC in EmChem03-mod: (a) ozone isopleth diagram, (b) isopleth diagram showing the ratio of the production rate of peroxides to the production rate of nitric acid, (c) concentration time series of O₃ (black), NO_X (red), and OH (grey; second y-axis) and (d) concentration time series of H₂O₂ (blue), HNO₃ (green), and HO₂ + RO₂ concentration (grey, second y-axis). Daily concentration cycle as average from a test run with NMVOC emission of $695 \times 10^{-8} \text{ g s}^{-1} \text{ m}^{-2}$ and varying NO_X emissions: $1 \times 10^{-8} \text{ g s}^{-1} \text{ m}^{-2}$ (solid lines), $2 \times 10^{-8} \text{ g s}^{-1} \text{ m}^{-2}$ (dash-dotted lines), $38 \times 10^{-8} \text{ g s}^{-1} \text{ m}^{-2}$ (dashed lines), and $55 \times 10^{-8} \text{ g s}^{-1} \text{ m}^{-2}$ (dotted lines). Lines of constant VOC/NO_X ratio are annotated with red dashed lines (4:1, 8:1 and 15:1) are annotated with red and blue dashed lines-line (10:1) in part (a). Note the logarithmic scale of the y-axis in part (b).

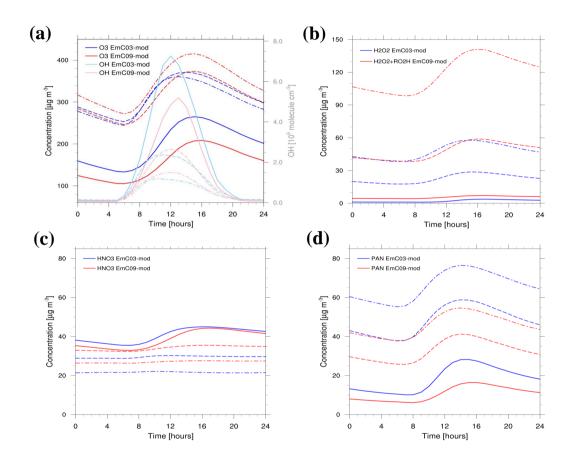


Figure 3. Comparison of EmChem09-mod (red lines) with EmChem03-mod (blue lines) for three different VOC/NO_X ratios: (a) O_3 and OH (light colours, second y-axis); (b) H_2O_2 and organic peroxides (abbreviated as RO_2H); (c) HNO_3 ; and (d) PAN. Daily concentration cycle as average from a test run with NO_X emission of 4.3×10^{-8} g s⁻¹ m⁻² and NMVOC emissions corresponding to a VOC/NO_X ratio of 4:1 (solid lines), 8:1 (dashed lines) and 15:1 (dash-dotted lines), respectively.

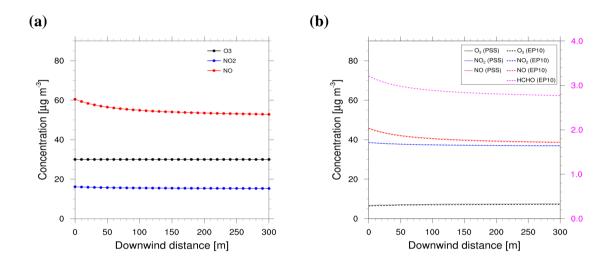


Figure 4. Photochemistry downwind of a line source in the SE-NW diagonal of the $1 \times 1 \text{ km}^2$ grid cell: (a) concentration of O₃ (black), NO (red), NO₂ (blue) with no chemistry (lines with filled circles); and (b) concentration of O₃, NO, NO₂ and HCHO (magenta, second y-axis) with PSS (solid lines) and EP10-Plume (dashed lines and magenta line). Note that lines of PSS and EP10-Plume are overlapping for O₃. NO and NO₂.

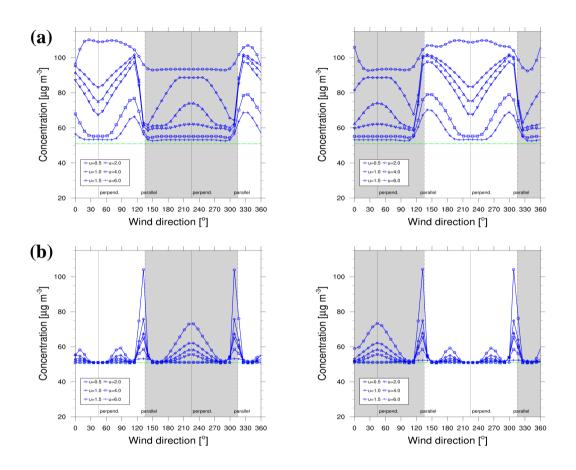


Figure 5. Test of the street canyon model: concentration of an inert tracer (PM_{10}) at the northeast side receptor (left column) and at the southwest side receptor (right column) as function of wind direction and wind speed: (a) from a simulation with SSCM; and (b) from a simulation with HIWAY-2 (no street canyon). The background concentration taken from the grid cell at a maximum distance from the line, is shown as a green line. Wind speed (in m s⁻¹) is given in the legend. Grey-shaded area indicates when the station is on the windward side of the street canyon. The solid vertical line indicates wind perpendicular to the street and dashed vertical line indicates wind parallel to the street.

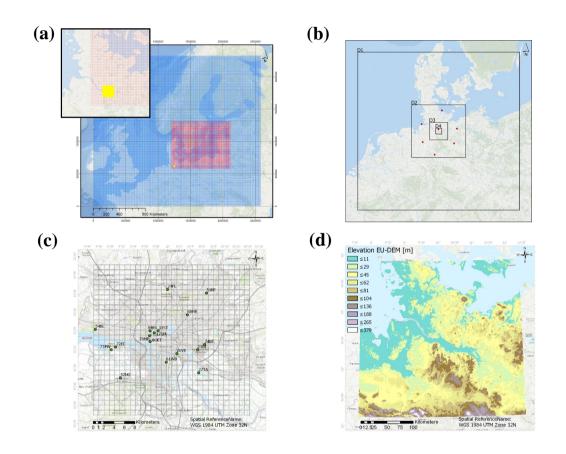


Figure 6. Model domains: (a) nested CTM simulations with CMAQ showing the 16-km (CD16, blue grid) and 4-km (CD04, red grid) resolution nests and the study domain (yellow box), inset in the upper left corner shows a zoom to the study region ; (b) domains used for the nested meteorological simulations within TAPM (D1–D4; black frames); the red dots indicate DWD station locations, from which observation data was assimilated to nudge the wind field predictions in TAPM; (c) study domain of Hamburg $(30 \times 30 \text{ km}^2)$ for simulation with CityChem, corresponds to the innermost nest (D4) of the nested model chain of both CMAQ and TAPM simulations. Green dots indicate positions of stations of the air quality monitoring network; and (d) terrain elevation (m a.s.l.) from the Digital Elevation Model over Europe (EU-DEM; https://www.eea.europa.eu/data-and-maps/data/eu-dem) for the extent of D2.

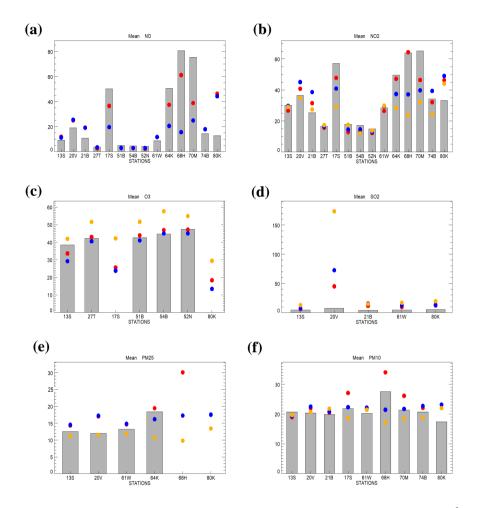


Figure 7. Table 8. Bar plots comparing modelled and observed mean annual concentrations (in μ g m⁻³) for monitoring stations of the Hamburg AQ network: (a) NO, (b) NO₂, (c) O₃, (d) SO₂, (e) PM_{2.5}, and (f) PM₁₀. Observed values as grey filled bars, modelled values from EPISODE-CityChem, standard EPISODE, and TAPM indicated as red, blue, and orange filled circles, respectively. Observation data not shown for stations were data completeness was less than 75 % (for O₃: 17SM and 80KT, for PM_{2.5}: 68HB and 80KT). TAPM does not output NO concentrations. Not visible data points of EPISODE-CityChem overlap with EPISODE.

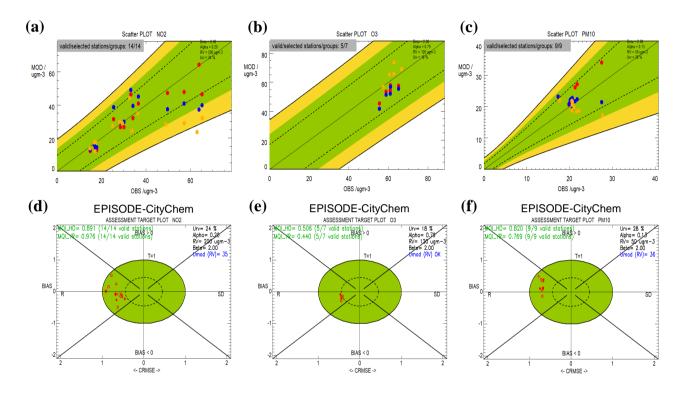


Figure 8. Table 9. Model performance evaluation for monitoring stations of the Hamburg AQ network: (a) scatter diagram for NO₂ hourly values, (b) scatter diagram for O₃ daily max. of 8-h running mean, (c) scatter diagram for PM₁₀ daily values (d) target plot NO₂ hourly values, (e) target plot O₃ daily max. of 8-h running mean, and (f) target plot PM₁₀ daily values. <u>Scatter diagrams show model data from</u> EPISODE-CityChem (red dots), standard EPISODE (blue dots) and TAPM (orange dots). In the scatter diagrams, the uncertainty parameters (β , α , RV, u_r^{RV}) used to produce the diagram calculated are listed on the top right-hand side; dashed and solid lines indicate NMB/2RMS_U ratios of 0.5 and 1. Target diagrams show the evaluation of EPISODE-CityChem. The Target diagrams further-indicate MQI (MQI_{HD} for hourly/daily values, MQI_{YR} for yearly average) for the station most distant from the origin and the model uncertainty, Umod(RV).

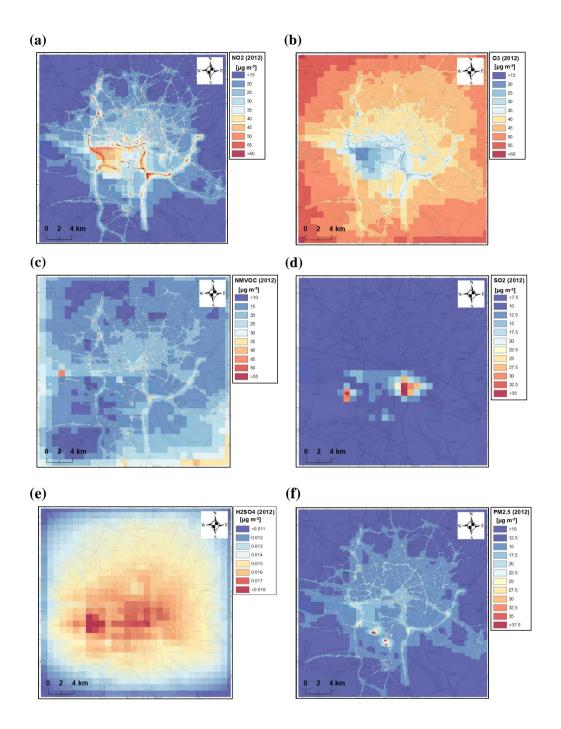


Figure 9. Table 10. Spatial maps of the annual concentration average (μ g m⁻³) for Hamburg from CityChem EPISODE-CityChem model simulation output for the receptor grid (100 × 100 m²): (a) NO₂, (b) O₃, (c) total NMVOC, (d) SO₂, (e) H₂SO₄, and (f) PM_{2.5}. Maps are created using ESRI® ArcMap TM, with an overlay on a topographic base map showing the network of main roads as grey lines.

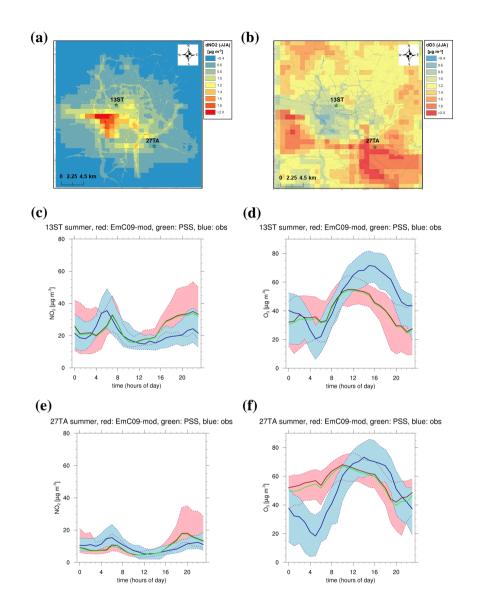


Figure 10. Comparison of ozone formation in summer (JJA) with EmChem09-mod (short: EmC09-mod) to the PSS assumption using EPISODE-CityChem in Hamburg: (a) summer mean NO₂ concentration difference between a simulation with EmC09-mod and with PSS, (b) summer mean O_3 concentration difference between a simulation with EmC09-mod and with PSS, (c) diurnal cycle of summer NO₂ at station 13ST, (d) diurnal cycle of summer O_3 at station 13ST, (e) diurnal cycle of summer NO₂ at station 27TA. (f) diurnal cycle of summer O_3 at station 13ST, (e) diurnal cycle of summer NO₂ at station 27TA. Modelled median shown as red line for EmChem09-mod and as green line for PSS; the observed median is shown as blue line. Shaded area reflects the band width between the 25th percentile and the 75th percentile (EmChem09-mod red-shaded; observation blue-shaded). Green stars in the maps in figure part (a) and (b) indicate the locations of the stations 13ST and 27TA.

Figure 7. Test of the vertical eddy diffusivity using the standard K(z)-method versus using the new urban K(z)-method for a 7-day time sequence in January 2012, inspecting the 1-D column above the grid cell where station 13ST is located: (a) vertical profile of O₃ (µg m⁻³) with standard K(z)-method, (b) vertical profile of O₃ (µg m⁻³) with new urban K(z)-method (black line in the vertical profile plots denotes mixing height, h_{mix}), (c) time series of ground air (lowermost model layer) concentrations

5 with standard K(z)-method, and (d) time series of ground air concentrations with new urban K(z)-method. In figure parts (e) and (d), black line indicates O₃, red line NO, blue line NO₂, magenta line HC – tot (refers to the second y-axis) and black circles are observed O₃ concentrations at station 13ST.

Figure 11. Ozone variation in a summer 6-day episode (5–10 July 2012): hourly concentrations of O_3 (µg m⁻³), NO (µg m⁻³), NO (µg m⁻³), NO (µg m⁻³), OH radical (molecule cm⁻³), wind direction (degrees) and hourly total solar radiation (W m⁻²) at station

10 Sternschanze (13ST) representative of inner-city urban background. Observations indicated by filled circles and model results by solid lines. Six short periods with ozone underpredicted by the model at 13ST shown as grey shaded area, labelled with P1-P6.

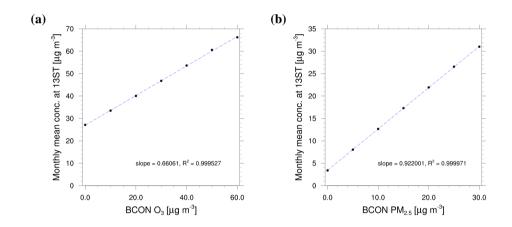


Figure D1. Test of the boundary conditions for the lateral entrainment into the model domain of Hamburg. Relationship between the monthly mean concentration (July 2012) in the grid cell where station 13ST is located and the BCON offset added to the CMAQ concentrations at the lateral boundaries: (a) for O_3 and (b) for $PM_{2,5}$. Zero BCON offset corresponds to the original boundary conditions from CMAQ.

Process	Option, numerical scheme	Description, reference
Vertical advection and diffusion	Vertical upstream advection andsemi-implicitCrank-Nicholsondiffusion scheme with the new urban $K(z)$ parameterisation	Byun et al. (1999); Hamer et al. (2019)
Horizontal 2-D advection	Positive definite 4th degree Bott scheme	Bott (1989); Hamer et al. (2019)
Horizontal 2-D diffusion	Fully explicit forward Euler scheme	Smith (1985); Hamer et al. (2019)
Photochemistry on the Eulerian main grid	EmChem09 reaction scheme solved with TWOSTEP algorithm	Sect. 2.1.2; Table S2
Sub-grid photochemistry	EP10-Plume reaction scheme solved with TWOSTEP algorithm	Sect. 2.1.3; Table S3
Sub-grid line source dispersion	HIWAY-2 model coupled with SSCM for street canyons	<u>Sect. 2.2.1</u>
Sub-grid point source dispersion	SEGPLU model with WMPP based	<u>Sect. 2.2.2</u>

TAPM land use class	Street canyon type	Average building height, <i>H_{sc}</i> [m]	Building density
32	urban low	6.6	sparse-built area
33	urban medium	12.3	medium density area
34 and 35	urban high	18.0	dense-built area

Table 2. The geometry of three generic street canyon types in CityChem. For street canyons of type"urban medium", H_{sc} is taken as the mean value of "urban low" and "urban high".

Table 3. Setup of the 1-D column model for the tests of model extensions.

Model parameter	EmChem03-mod	EmChem09-mod	EP10-Plume	- SSCM	WMPP
r		Photochemistry		line source	point source
1-D column grid cell	$1 \times 1 \text{ km}^2$	$1 \times 1 \text{ km}^2$	$1 \times 1 \text{ km}^2$	$1 \times 1 \text{ km}^2$	$1 \times 1 \text{ km}^2$
area and height	3750 m	3750 m	3750 m	3750 m	3750 m
Eulerian grid	vertical	upstream advection and	semi-implicit Crank-N	Vicholson	no transport
transport	diffusi	ion scheme with the new	v urban $K(z)$ parameter	ization	
Eulerian grid photochemistry	EmChem03-mod	EmChem09-mod	_	EmChem09-mod	_
Local photochem- istry	_	_	EP10-Plume	PSS	_
Wind direction (WD)	WD: 225°	WD: 225°	WD: 225°	various WD	WD: 225°
and wind speed (WS)	WS: 0.1 m s^{-1}	WS: 0.1 m s^{-1}	WS: 1 m s^{-1}	and WS values	WS: 1 m s^{-1}
Other meteorol. data	the da	ily cycle of meteorologi	cal conditions typical f	for July in Hamburg, G	ermany
Background	O ₃ : 60	O ₃ : 60	O ₃ : 30	O ₃ : 60	SO ₂ : 0
concentration	NO: 5	NO: 5	NO: 5	PM ₁₀ : 10	
in [µg m ⁻³]	NO ₂ : 10	NO ₂ : 10	NO ₂ : 10		
Emission sources	area	source	one line source in t	the SE-NW diagonal	one point source
Emissions	various NO_X and	NO _X : 4.3×10 ⁻⁸	NO _X : 2.0×10 ⁻⁴	PM ₁₀ : 1.6×10 ⁻⁴	SO_2 : 1.0 g s ⁻¹
	VOC emission rates	VOC: (17-65)×10 ⁻⁸	VOC: 3.9×10 ⁻⁴	$[g(sm)^{-1}]$	(inert)
		$[g s^{-1} m^{-2}]$	$[g(sm)^{-1}]$	(inert)	

Table 4. Test of point source dispersion of SO₂ (handled as an inert tracer) for different atmospheric stability conditions in flat terrain at wind speed 1 m s⁻¹. Hourly concentration is given at the location where the maximum is found for the 5-day average within a radius of 2.0 km around the point source. Parameterisation of point source: exhaust gas temperature: 20°C; stack height: 10 m; exit velocity: 5 m s⁻¹; stack radius: 0.5 m (circular opening). Emission rate: 1 g s⁻¹. The default is the standard parameterization in EPISODE.

Parameter	slightly	y stable	neut	neutral		unstable	very unstable	
T difulliotor	default	WMPP	default	WMPP	default	WMPP	default	WMPP
$\Delta T/\Delta z [{ m K}{ m m}^{-1}]$	0.	01	-0.01		-0.016		-().10
Effective emission height, $H_{\rm eff}$ [m]	54	47	86	41	86	39	86	32
Distance of max. ground conc. [m]	1700	1700	830	390	830	390	830	250
Hourly ground air concentration at maximum [µg m ⁻³]	0.03	0.03	18.7	79.8	18.7	85.3	18.6	112.7

Model dimension	EPISODE- CityChem	TAPM (D4)	CMAQ (CD04)
Horizontal size of the domain $(X \times Y)$	$30 \times 30 \text{ km}^2$	$30 \times 30 \mathrm{km^2}$	$916 \times 724 \mathrm{km}^2$
Horizontal resolution	1000 m	1000 m	4000 m
Model grid and coordinate system	Universal Transverse Mercator (UTM) coordi- nate system with WGS 1984 as reference geoid	Universal Transverse Mercator (UTM) coordi- nate system with WGS 1984 as reference geoid	Equidistant grid with Lambert conformal projec- tion
Vertical dimension and coordinate	24 layers, terrain-following sigma- coordinate system	30 layers, terrain-following sigma- coordinate system	30 layers, sigma hybrid pressure co- ordinate system
Lowest model layer depth [m]	17.5	10	36
Number of vertical layers below 1000 m	16	16	12
Vertical top height	3750 m	8000 m	100 hPa

Table 5. Vertical and horizontal structure of the 3-D Eulerian grid of the EPISODE-CityChem model and comparison with TAPM (D4) and CMAQ (CD04) models for the simulation of AQ in Hamburg.

Table 6. Emission sectors data for the simulation of air quality in Hamburg. Classification according to Selected Nomenclature for sources of Air Pollution (SNAP). The top heights of layer 1, 2, 3, and 4 are 17.5 m, 37.5 m, 62.5 m, and 87.5 m above ground, respectively. Point source emission data for SNAP categories 01, 04, 05, and 09 were collected from the PRTR database (PRTR, 2017) and from the registry of emission data for point sources in Hamburg as reported under the German Federal Immission Control Act (BImSchV 11).

SNAP	SNAP name	Source type	Vertical distribution	Emission data source and approach
01	Combustion in energy and transformation industries	Point	Plume rise	Bottom-up approach. Data set on European stacks by Pregger and Friedrich (2009).
02	Non-industrial combustion plants (domestic heating)	Area $(1 \times 1 \text{ km}^2)$	80 % in layer 1; 20 % in layer 2	GRETA software (Schneider et al., 2016), top-down with spatial and temporal disag- gregation.
03	Combustion in manufacturing industry	Area $(1 \times 1 \text{ km}^2)$	80% in layer 1; 20% in layer 2	GRETA software (Schneider et al., 2016), top-down with spatial and temporal disag- gregation.
04	Production processes	Point	Plume rise	Bottom-up approach. Data set on European stacks by Pregger and Friedrich (2009).
05	Extraction and distribution of fossil fuels and geothermal energy	Point	Plume rise	Bottom-up approach. Data set on European stacks by Pregger and Friedrich (2009).
06	Solvent and other product use	Area $(1 \times 1 \text{ km}^2)$	100 % in layer 1	GRETA software (Schneider et al., 2016), top-down with spatial and temporal disag- gregation.
07	Road transport	Line	At 0 m above ground	Bottom-up method using emission factors from HBEFA version 3.1 (UBA, 2010).
08	Other mobile sources and machinery	Area $(1 \times 1 \text{ km}^2)$	Shipping: 25 % in each layer 1-4. Other: same as for SNAP cat. 10.	Shipping: Aulinger et al. (2016). Other: GRETA software (Schneider et al., 2016), top-down with spatial and temporal disaggregation.
09	Waste collection, treatment and disposal activities	Point	Plume rise	Bottom-up approach. Data set on European stacks by Pregger and Friedrich (2009).
10	Agriculture and farming	Area $(1 \times 1 \text{ km}^2)$	80 % in layer 1; 20 % in layer 2	GRETA software (Schneider et al., 2016), top-down with spatial and temporal disag- gregation.

-Table 7. Comparison of performance statistics of CMAQ (4-km res.), EPISODE-CityChem and TAPM for O₃ based on hourly concentration values for a 14 days period in July 2012 at four urban background monitoring stations. Observed mean concentrations at 52NG, 51BF, 13ST and 27TA are 57.42, 49.06, 47.57, and 49.34 μ gm⁻³, respectively. Number of observations: *N* = 336. Definition of statistical indicators as in Appendix C. EPISODE-CC is short for EPISODE-CityChem.

Station code	Model	\overline{M} $[\mu g m^{-3}]$	Bias [µg m ⁻³]	Corr [–]	RMSE [µg m ⁻³]
	CMAQ	50.02	-7.40	0.74	12.18
52NG	EPISODE-CC	57.66	0.23	0.46	15.03
	TAPM	53.60	-3.82	0.48	13.96
	CMAQ	51.47	2.41	0.76	11.11
51BF	EPISODE-CC	52.41	3.35	0.57	22.15
	TAPM	51.08	2.02	0.50	17.85
	CMAQ	46.95	-0.62	0.79	12.53
13 ST	EPISODE-CC	40.23	-7.33	0.65	15.83
	TAPM	42.13	-5.43	0.61	17.20
	CMAQ	48.95	-0.39	0.75	12.82
27TA	EPISODE-CC	52.98	3.64	0.40	19.12
	ТАРМ	36.95	-12.39	0.42	19.90

Table 7. Table 10. Model performance statistics of EPISODE-CityChem (EPCC), standard EPISODE (EPIS) and TAPM for the temporal variation of NO₂ based on hourly concentration at all stations with sufficient data availability available in 2012. Bold numbers represent model results with better performance for Corr, RMSE and IOA, respectively, per station.

Station code	\overline{O} [µg m ⁻³]	\overline{M} [µg m ⁻³]	SD_O [µg m ⁻³]	SD_M [µg m ⁻³]	NMB [%]	Corr [–]	RMSE [μg m ⁻³]	IOA [-]
13ST	30.09	23.08	15.73	15.90	-23.31	0.58	16.04	0.73
20VE	36.54	36.90	17.36	25.40	0.97	0.46	23.21	0.65
21BI	25.45	27.96	15.12	15.66	9.89	0.45	16.35	0.67
27TA	16.73	10.56	11.34	12.11	-36.88	0.43	13.97	0.63
17SM	57.12	44.35	27.60	25.49	-22.34	0.59	27.35	0.72
51BF	17.85	8.99	12.08	9.01	-49.62	0.55	13.60	0.65
54BL	17.20	8.37	12.65	9.19	-51.35	0.55	13.91	0.64
52NG	14.95	7.12	11.67	10.01	-52.37	0.46	13.80	0.62
61WB	28.49	22.28	15.19	15.93	-21.80	0.47	17.13	0.67
64KS	49.67	43.72	24.03	25.33	-11.97	0.58	23.40	0.75
68HB	63.85	60.96	36.00	38.48	-4.53	0.53	36.33	0.73
70MB	65.18	43.17	27.29	24.32	-33.76	0.55	32.97	0.65
74BT	34.13	28.44	16.97	20.19	-16.67	0.52	19.33	0.70
80KT	33.16	42.82	16.17	20.00	29.13	0.39	22.43	0.59

Station code	Model	ō	M	SD ₀	SD_M	NMB	Corr	RMSE	IOA
		[µg m ⁻³]	[%]	[-]	[µg m ⁻³]	[-]			
	EPCC		26.67		15.62	-11.37	0.60	14.47	0.77
13ST	EPIS	30.09	29.78	15.73	15.60	-1.04	0.59	14.14	0.76
	TAPM		29.15		20.09	-0.78	0.59	16.63	0.75
	EPCC		40.79		25.00	11.61	0.46	23.38	0.65
20VE	EPIS	36.54	45.09	17.36	24.20	23.40	0.46	23.91	0.63
	TAPM		34.84		20.58	-4.04	0.44	20.26	0.66
	EPCC		31.49		15.03	23.76	0.46	16.82	0.66
21BI	EPIS	25.45	38.65	15.12	15.05	51.90	0.42	20.91	0.58
	TAPM		27.43		19.93	0.47	0.47	18.68	0.66
	EPCC		15.71		12.32	-6.10	0.49	12.05	0.69
27TA	EPIS	16.73	16.36	11.34	12.62	-2.25	0.45	12.58	0.67
	TAPM		17.33		16.33	3.71	0.53	14.11	0.69
	EPCC		47.78		24.58	-16.34	0.61	25.13	0.75
17SM	EPIS	57.12	40.91	27.60	21.31	-28.37	0.40	31.82	0.60
	TAPM		57.12		20.35	-49.20	0.22	41.72	0.50
	EPCC		12.84		9.31	-28.07	0.60	11.06	0.72
51BF	EPIS	17.85	14.49	12.08	10.24	-18.84	0.59	10.76	0.74
	TAPM		17.55		14.86	0.682	0.60	12.24	0.76
	EPCC		13.73		10.52	-20.15	0.55	11.72	0.71
54BL	EPIS	17.20	14.64	12.65	11.29	-14.89	0.57	11.49	0.74
~~~~~	~TAPM		~~	~~~~~	~~	~-29.26	~0.56	14.19	
	EPCC		12.21		10.79	-18.35	0.51	11.47	0.70
52NG	EPIS	14.95	12.97	11.67	11.81	-13.24	0.50	11.88	0.69
	TAPM		13.81		14.03	-6.91	0.54	12.55	0.72
	EPCC		26.52		15.70	-6.92	0.49	15.73	0.70
61 <b>WB</b>	EPIS	28.49	20.32	15.19	16.05	3.41	0.49	16.16	0.69
51112	TAPM	20.19	29.83	15.17	20.93	5.69	0.51	18.57	0.69
	EPCC					-4.97	0.59	22.05	0.76
64KS	EPCC	49.67	47.20 37.39	24.03	24.47 21.78	-4.97	0.59	22.05	0.68
	TAPM	72.07	28.43	24.03	20.37	-24.73	0.31	31.24	0.60
68HB	EPCC EPIS	63.85	64.36 37.18	36.00	37.96 22.14	0.80	<b>0.53</b> 0.38	<b>35.92</b> 43.50	<b>0.73</b> 0.56
υσπο	TAPM	03.83	28.43	30.00	22.14 17.70	-41.77 -62.50	0.38	43.50 52.87	
701410	EPCC	65.10	46.41	27.20	23.91	-28.79	0.56	30.54	0.68
70MB	EPIS TA PM	65.18	39.79 32.07	27.29	21.76 21.70	-38.95 -50.91	0.35	37.97	0.54 0.48
	TAPM						0.21	45.69	
	EPCC		32.12		19.47	-5.98	0.53	18.00	0.72
74BT	EPIS	34.13	39.38	16.97	21.22	15.38	0.50	20.22	0.68
	TAPM		24.42		18.86	-27.52	0.50	20.17	0.67
	EPCC		46.33		19.76	39.71	0.39	24.06	0.57
80KT	EPIS	33.16	49.04	16.17	17.41	47.89	0.36	24.80	0.54
	TAPM		44.05		24.75	34.91	0.42	25.90	0.57

**Table 8.** Model performance statistics of EPISODE-CityChem (EPCC), standard EPISODE (EPIS) and TAPM for the temporal variation of O₃ based on daily maximum of the 8-8-h running mean concentration at all stations with sufficient data availability available in 2012. Bold numbers represent model results with better performance for Corr, RMSE and IOA, respectively, per station.

Station code	$\overline{O}$ [µg m ⁻³ ]	$\overline{M}$ [µg m ⁻³ ]	$SD_O$ [µg m ⁻³ ]	$SD_M$ [µg m ⁻³ ]		Corr [–]	RMSE $[\mu g m^{-3}]$	IOA [-]	
13ST	55.52	47.11	25.26			0.42	25.80		
27TA	58.88	57.41	23.88	19.07	-2.49	0.39	24.01	0.61	
51BF	61.00	56.24	25.41	19.93	-7.80	0.46	24.46	0.67	
54BL	61.08	62.57	24.94	19.50	2.44	0.41	24.52	0.63	
52NG	65.16	60.88	25.17	20.15	-6.57	0.35	26.58	0.59	
0	M 11	$\overline{O}$	$\overline{M}$	SD ₀	SD _M	NMB	Corr	RMSE	IOA
Station code	Model	$[\mu gm^{-3}]$	$[\mu g  m^{-3}]$	$[\mu g  m^{-3}]$	$[\mu gm^{-3}]$	[%]	[-]	$[\mu gm^{-3}]$	[-
	EPCC		45.38		18.33	-18.33	0.76	19.35	0.8
13ST	EPIS	55.52	41.81	25.26	18.75	-24.69	0.75	21.54	0.7
	TAPM		56.74		23.60	-1.25	0.63	21.01	0.79
	EPCC		53.59		19.55	-8.98	0.77	16.23	0.8
27TA	EPIS	58.88	51.43	23.88	19.06	-12.63	0.74	17.82	0.82
	TAPM		65.33		21.92	7.91	0.62	20.74	0.7
~~~~~~	~EPCC~~	~~~~~~	54:42		20:98-			17.64-	~0.8
51BF	EPIS	61.00	52.24	25.41	21.31	-14.36	0.76	18.91	0.8
	TAPM		65.80		23.61	4.76	0.66	20.68	0.8
	EPCC		57.97		20.94	-5.09	0.72	17.71	0.84
54BL	EPIS	61.08	56.82	24.94	21.44	-6.99	0.71	18.43	0.8
	TAPM		65.80		23.98	17.20	0.58	25.06	0.7
	EPCC		57.60		19.77	-11.61	0.76	18.13	0.8
52NG	EPIS	65.16	55.62	25.17	19.92	-14.64	0.71	20.35	0.7
	TAPM		69.05		21.37	3.48	0.59	21.56	0.7

Table 9. Model performance statistics of EPISODE-CityChem (EPCC), standard EPISODE (EPIS) and TAPM for the temporal variation of PM_10 based on daily mean concentration at all stations with sufficient data availability available in 2012. Bold numbers represent model results with better performance for Corr, RMSE and IOA, respectively, per station.

Station code	\overline{O} [µg m ⁻³]	\overline{M} [µg m ⁻³]	SD_O [µg m ⁻³]	SD_M [µg m ⁻³]	NMB [%]	Corr [–]	RMSE $[\mu g m^{-3}]$	IOA [-]
13ST	20.67	14.32	11.12	7.03	-30.71	0.35	12.22	0.49
20VE	20.38	17.13	9.74	10.11	-15.95	0.41	9.81	0.61
21BI	19.88	15.18	10.11	7.66	-23.67	0.34	10.67	0.51
17SM	21.79	22.45	11.61	11.56	3.03	0.32	11.35	0.50
61WB	20.17	16.70	9.70	10.68	-17.22	0.40	9.99	0.61
68HB	27.52	29.51	11.03	17.34	7.23	0.27	12.20	0.53
70MB	21.32	21.49	10.38	11.39	0.80	0.35	10.18	0.56
74BT	20.68	17.23	9.82	9.13	-16.67	0.28	10.34	0.51
80KT	17.35	18.12	9.06	9.96	4.43	0.34	9.32	0.56

Station code	Model	\overline{O} [µg m ⁻³]	\overline{M} [µg m ⁻³]	SD_O [µg m ⁻³]	SD_M $[\mu g m^{-3}]$	NMB [%]	Corr [–]	RMSE $[\mu g m^{-3}]$	IO. [-
13ST	EPCC		18.92		9.36	-8.43	0.59	9.52	0.7
	EPIS	20.67	19.30	11.12	9.47	-6.60	0.58	9.64	0.7
	TAPM		19.65		8.03	-6.61	0.50	10.25	0.6
20VE	EPCC		21.91		11.12	7.50	0.65	8.93	0.7
	EPIS	20.38	22.45	9.74	11.47	10.18	0.62	9.56	0.7
	TAPM		20.62		8.73	-0.36	0.45	9.78	0.6
	EPCC		20.49		10.05	3.03	0.59	9.14	0.7
21BI	EPIS	19.88	20.80	10.11	10.01	4.61	0.59	9.18	0.7
	TAPM		21.82		9.51	6.12	0.44	10.52	0.6
	EPCC		27.08		10.48	24.26	0.58	11.47	0.7
17SM	EPIS	21.79	22.29	11.61	9.79	2.31	0.56	10.21	0.7
	TAPM		18.61		7.91	-16.28	0.43	11.61	0.6
61WB	~EPCC~	~~~~~~	21.63-	~~~~~~	11:44	7.21.	~ 0.59 ~	9.75	~0.
	EPIS	20.17	22.11	9.70	11.48	9.62	0.59	9.92	0.7
	TAPM		21.42		11.49	3.47	0.47	11.03	0.6
68HB	EPCC		34.00		12.11	23.57	0.54	12.89	0.6
	EPIS	27.52	21.42	11.03	10.08	-22.17	0.58	11.45	0.7
	TAPM		17.16		7.43	-38.09	0.55	14.20	0.5
70MB	EPCC		26.12		10.69	22.52	0.62	10.36	0.7
	EPIS	21.32	21.71	10.38	10.27	1.86	0.61	9.10	0.7
	TAPM		18.39		8.01	-14.93	0.54	9.78	0.7
74BT	EPCC		22.05		10.02	6.64	0.60	8.98	0.7
	EPIS	20.68	22.71	9.82	10.11	9.84	0.59	9.25	0.7
	TAPM		18.71		8.41	-9.05	0.51	9.35	0.7
80KT	EPCC		23.06		11.06	32.88	0.62	10.59	0.1
	EPIS	17.35	23.19	9.06	11.14	33.61	0.62	10.72	0.7
	TAPM		21.97		9.72	24.05	0.48	10.56	0.6

Acronym	Description	
AirQUIS	air quality information system developed at NILU	
AQ.	air quality.	
AQD	Air Quality Directive	
APTA	Asthma and Allergies in Changing Climate	
BCON	boundary condition	
BCONCC	utility for creating boundary conditions for EPISODE-CityChem	
BL	boundary layer	
BVOC	biogenic volatile organic compound	
CAMS	Copernicus Atmosphere Monitoring Service	
<u>CFD</u>	computational fluid dynamics	
CityChem	City-scale Chemistry extension of the EPISODE model	
CMAQ	Community Multiscale Air Quality	
СО	carbon monoxide	
CORINE	Coordination of Information on the Environment	
Corr	correlation coefficient	
COSMO-CLM	COnsortium for SMall-scale MOdeling in CLimate Model	
CRMSE	centred root mean square error	
CTM	chemistry-transport model	
DELTA	Evaluation software for diagnostics of air quality model performances	
DWD	German Weather Service	
EC	Elemental Carbon	
ECWMF	European Centre for Medium-Range Weather Forecast	
EMEP45	EMEP chemistry mechanism with 45 chemical compounds	
EP10-Plume	Compact chemical reaction scheme for the receptor grid	
EPISODE	3-D Eulerian grid model for urban air quality modelling developed at NILU	
EU	European Union	
FAIRMODE	Forum for Air Quality Modelling in Europe	
FMI ∼	Finnish Meteorological Institute	
GenChem	chemical pre-processor of the EMEP model	
GRETA	Gridding Emission Tool for ArcGIS	
HBEFA	Handbook Emission Factors for Road Traffic	
НСНО	formaldehyde 76	

Acronym	Description	
HIWAY-2 HNO ₃	Highway Air Pollution Model 2	
H ₂ SO ₄	nitric acid_	
HO ₂	sulphuric acid	
	hydroperoxyl radical	
IOA	index of agreement	
IOAPI	Input/Output Application Programming Interface	
IVOC.	intermediate-volatility organic compound	
IUPAC_	International Union of Pure and Applied Chemistry	
JJA	June-July-August	
MAFOR	Multicomponent Aerosol Formation model	
MARS	Model for an Aerosol Reacting System	
MPC	model performance criteria	
MQL	model quality indicator	
NILU	Norwegian Institute for Air Research	
NMB_ NMVOC	normalized mean bias	
	non-methane volatile organic compound	
NO	nitric oxide	
NO ₂	nitrogen dioxide	
NO ₃	nitrate radical	
NO _X N ₂ O ₅	nitrogen oxides (sum of NO and NO ₂)	
	dinitrogen pentoxide	
NWP O ₃	numerical weather prediction	
	ozone	
ODE OH	ordinary differential equation	
	hydroxyl radical	
OSPM PAN PM	Operational Street Pollution Model	
	peroxyacetyl nitrate	
	particulate matter	
PM _{2.5}	particulate matter with an aerodynamic diameter of less than 2.5 µm	
PM ₁₀	particulate matter with an aerodynamic diameter of less than $10\mu\text{m}$	
PN	particle number	
POA	primary organic aeropy	
PRTR	Pollutant Release and Transfer Register	

Table A1. Continued.

Acronym	Description
PSS	photo-stationary state
REPARTEE	Regents Park and Tower Environmental Experiment
RMSE RO ₂	root mean square error
	organic peroxy radical
SEGPLU	Gaussian segmented plume trajectory model
SIA	secondary inorganic aerosol
SNAP	Selected Nomenclature for sources of Air Pollution
SO ₂	sulphur dioxide
SOA	secondary organic aerosol
SON	September-October-November
SSCM	Simplified Street Canyon Model
<u>SD</u>	standard deviation
SVOC	semi-volatile organic compound
TAPM	The Air Pollution Model, developed at CSIRO
<u>UBA</u>	German Federal Environmental Agency
UECT	Urban Emission Conversion Tool
UFP.	ultrafine particle (diameter less than 100 nm)
UTM	Universal Transverse Mercator
UV VOC	ultraviolet
	volatile organic compound
WMPP	WORM Meteorological Pre-Processor
WORM	Weak-wind Open Road Model
WRF-EMEP	Weather Research and Forecasting - European Monitoring and Evaluation