Dear referee #2,

Thank you for positive review and helpful comments. We addressed each of them and separately responded in the following list:

1. "New contributions are the insertion of wind speed and distance in the already existing seed dispersal kernel and the new pollination probability function. Beside this, however, it is only shown that the implementation of the functions work as intended and that two unspecified parallelisations lead to a computation time reduction. Else, the authors mainly write what potentially could be done with the model, but unfortunately miss to give sufficient instructions for how this could be done, nor do they show example simulations for the potential applications."

We moved the verification to the supplement and included sensitivity analyses for both processes. In this new analyses, we used hypothetical transects and tracked for example the migration of the treeline border to evaluate the model's results to the change of the input parameters.

2. "I do not understand why the authors decided to put so much emphasis on describing the verification with all its sophisticated applied statistics. In my opinion the verification does not contribute to a knowledge gain and could be dealt with a few sentences, referring the main share to the supplementary. However, I do believe that a transparent description of the parameterisation and applied sensitivity analyses could be of large value for the community."

This step was included to technically verify the implementation of wind-dependent seed dispersal and pollination. Following the recommendation of the reviewer we moved the verification to the supplement and included a sensitivity analyses for both newly implemented functions.

3. "I recommend a thorough revision of the manuscript targeting that in the end only those applications are emphasised in the abstract, introduction and conclusion that are sufficiently prepared in the methods and results and appropriately discussed."

We edited the manuscript in many parts to include the new results of the sensitivity analyses.

Responses to recommendation for the different sections:

4. "(1) Abstract: In my opinion the abstract does currently not fit to the content of the paper. Reading it, I developed different expectations not addressed in the manuscript, such as model applications (at least exemplary) dealing with the advocated passing of genetic information and/or diversity and/or identifying important drivers of migration dynamics. Also global vegetation models are mentioned twice prominently in the abstract, e.g. last sentence: '... substantially help in unveiling the important drivers ...', however, unfortunately, I did not get an idea from the manuscript how this could be done and how global vegetation models can be improved. I recommend rewriting the abstract more closely describing the manuscript."

We extended the manuscript with sensitivity analyses for both processes and added the main results in the abstract. Our intention with this manuscript is to present the model improvements and to give in the abstract the key information about why we implemented the model extension.

5. "(2) Introduction: The authors refer to DGVMs and list processes not implemented in them. However, they do not mention why these processes are not included – e.g. issues of parameterisation (see e.g. Snell et al. 2014), particularly also due to the high variation in seed dispersal rates (and vectors) within the plant functional types currently used (see e.g. Huntley et al. 2010, Lee 2011, Snell et al. 2014, Svenning et al. 2014), issues of coarse spatial resolution and of computational complexity arising with inter-grid cell communication via seed dispersal or other spatially linked processes (e.g. Nabel 2015) – yet these issues that cause problems for larger scale models seem to even get visible in this manuscript describing a local single species model: when discussing the huge increase in computation cost and that the model is not properly parameterised for the suggested studies (indicated by sentences such as "Before applying this new model version, however, a proper parameterisation is necessary." "If this can be efficiently parameterised, the model could further be used to track genetic lineages in time."). I recommend mentioning these prominent problems of seed dispersal implementation in the introduction and relate to them in the discussion."

We refer to the parametrisation issue caused by the use of plant functional types in DGVMs as well as increased simulation complexity caused by inter-grid cell communication.

6. "(3) Methods: (a) According to 2.1 the presented simulations assume homogeneous forest stands with periodic boundary conditions. However, applications to study tree line dynamics, or migration in general, or the suggested "impact of migration processes on the genetics", require dispersal among inhomogeneous plots/gridcells and I would appreciate at least a small application showing how the model can be used for such conditions, showing e.g. what migration speed results from the model, which processes have largest influences etc."

We decided to follow the suggestion to include a sensitivity analysis for such processes. For this we simulated hypothetical 100 x 1,000 m transects on which seed dispersal was allowed on the meridional borders but not the latitudinal limits and tracked the colonisation to calculate migration rates. A further application to parameterise the model's functions and assess migration rates for north-central Siberia would be beyond the scope of this manuscript and was recently accepted for online discussion (https://doi.org/10.5194/bg-2018-267).

7. "(3) Methods: (b) "2.2.4 parameterisation to fit field data": even if the authors only visually compared the resulting number of trees, it might be helpful to have a table listing the number of trees for different parameter combinations (at least in the supplementary). What sensitivities were detected, which parameters were particularly important/ influential in tuning the number of trees? The authors state that the model parameters required a revision after implementing the extensions - what can we learn from this? It might be worth to discuss which parameters had to be revised and how, which are sensitive and influential - these things could be really helpful for the community."

We tuned manually the parameters and the resulting changed parameter values can be found in Table 1. In the Table we changed estimated to tuned in cases of fine tuning done for this manuscript. The regarding sensitivities can be found in Kruse et al. (2016) and also in the newly incorporated sensitivity analyses.

8. "(3) Methods: (c) Most of the parameters in Table 1 are stated as "estimated" but there at least needs to be a starting point! If the study aims to help parameterising DGVMs it would be helpful to at least know on what the parameters are originally based, even if its from another species etc."

We changed the status of parameters that were tuned here to "tuned", details about first guesses can be found in Kruse et al. (2016).

9. "(3) Methods: (d) 2.3.2: I find this section difficult to read and understand. If I am not mistaken the dispersal function was once implemented inside and once implemented outside the model (which I think is not explicitly stated somewhere?). I would move most of this and the according results section to the supplementary and only retain that the model was successfully verified."

We moved large parts of the verification as suggested, see RC2#2 for details.

10. "(3) Methods: (e) 2.3.3: The header of this section suggests an evaluation. The text of the section suggests that the simulation results will be quantitatively compared to observed values from literature. Yet I did not find such a comparison in the results section."

We have now included sensitivity analyses for both processes and moved the verification to the supplement. The resulting sensitivity values are discussed in the discussion section and we give further suggestions and comparisons to findings in the literature.

11. "(4) Results: (a) As stated above, I would move most of 3.1 to the supplementary (all comparison to 'direcly' estimated/calculated)."

We moved the verification of the implementation of the function to the supplement as the reviewer suggested.

12. "(4) Results: (b) I do not get the "fraction of significant differences" in Table 2"

We calculated the mean pollination distances based on the same set of mature individuals and compared the resulting distances to these calculations. In the Table we state the amount of significant different mean values for each of the directions.

13. "(4) Results: (c) The second parallelisation variant outruns the first when using four cores, however, there seems to be a saturation in that 8 cores are not doing better but even worse than 4, why is this? It would be interesting to see what happens with 16/more cores!"

We ran additional simulations as suggested. See comment RC2#65.

14. "(5) Discussion: (a) The authors start with stating that unlimited seedbeds cause high uncertainty in DGVM predictions. While I agree that the assumption of unlimited seedbeds contributes to the uncertainty in DGVM studies/projections I think that there are heaps of at least equally important uncertainty sources, like modelling of mortality, competition, canopy structures, etc. Furthermore, I do not see that the manuscript present a remedy for the uncertainty connected to seedbeds. In my opinion a min/max assumption with no and full dispersal currently gives a better uncertainty frame than difficult to parametrise dispersal kernels. If one of the main messages of the manuscript stays that LAVESI-WIND can be used to enhance DGVMs, e.g. by parameterising dispersal kernels, I strongly recommend to discuss the issue and difficulties of (PFT) parameterization (see e.g. Huntley et al. 2010, Lee 2011, Snell et al. 2014)."

We included further details to the suggested topics in the discussion and in the introduction. Corresponds with RC1#2.

15. "(5) Discussion: (b) LAVESI is a single species model parameterised for a certain Larix species. I wonder how not represented processes, such as competition with other species/understory, trait variability, or others, could influence migration processes, particularly in the currently tree-less tundra? Is this implicitly covered by the parameterisation? If it's implicitly covered, how might this change with climate change?"

Larches form single-species dominant forests in the areas the model was developed for and further competition with understory species (shrubs, grasses, etc.) were included implicitly. Future development of the model should be tested for the influence of such on the migration rate. We added a reference in the potential model application section.

16. "(5) Discussion: (c) Large parts of the model are realised as stochastic processes. How many repetitions are required to get a sound result, e.g. how is a targeted output variable such as migration speed influenced by the stochasticity?"

Even with the high stochasticity caused by many processes in the model, the variance is small when gathering the results of ten simulations. We randomly sampled from the 30 simulation repeats with a 50%-increased seed production factor the target variable of peak recruitment position. It can be seen that even with ten simulation repeats, the confidence interval is small and decreases further when using more repeats. Accordingly, we recommend to run a minimum of ten or better 30 simulation repeats to get sound results.

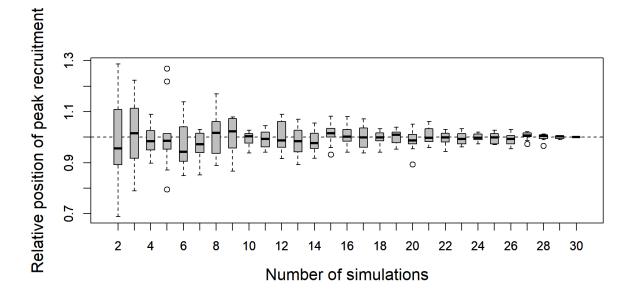


Figure review 1. Position of the peak recruitment position calculated from 2 to 30 simulation repeats relative to the value from 30 simulation repeats.

17. "(5) Discussion: (d) The last sentence of 4.2. stating that the model is not yet parameterisation to field data sounds as if it would only be a small step, but I assume that this is rather difficult and would like to read a bit more about how this can be done and what problems are anticipated."

We explained that reference data for the northernmost arctic treeline areas are missing and thus a complete parameterisation could not be achieved.

18. "(5) Discussion: (e) The increase in computation costs associated with seed dispersal and the like have been mentioned in several places (e.g. Snell et al. 2014, Svenning et al. 2014, Nabel 2015) and are one of the reasons why seed dispersal is usually not accounted for on larger simulation extents. I think it would be worth to mention that this is a common finding when dealing with seed dispersal. Furthermore, the authors mention hundreds of CPUS here but did only conduct simulations with 1,4 and 8 CPUs (and with one of the parallelisation the avg sim time was worse with 8 than with 4 CPUs). In order to better support their statements and in order to assess the merit of the parallelisations another set of simulations with e.g. 16 or 32 CPUs would be helpful."

We added accordingly the suggested citations in the text. Furthermore, we ran additional simulations to test whether the simulation time decreases further when using more CPUs. Furthermore, we found a wrong setting for the simulation of 8 cores and correcting this led to consistent results. See also comment RC2#65 for further details on this.

19. "(5) Discussion: (f) In my opinion section 4.4 is not well supported by the rest of the manuscript. I for example miss a link from simulations on the homogeneous 200 x 200 m plot with periodic boundaries to a study investigating migration rates. How are borders of simulation plots connected? How is the long distance dispersal dealt with and pollination which potentially reach over several plots? How does this increase the computational complexity, is such a simulation currently computationally feasible? Especially, when you a certain set of repetitions is required to account for the stochasticity?

For the simulations used in this manuscript, we stated the different model settings for boundary conditions in the methods section. The newly incorporated sensitivity analysis was run on a 100 wide and 1,000 m long hypothetical transect. The meridional borders are connected and seeds passing the borders are distributed further in the north or south depending on their dispersal direction. Pollen on the other hand is not distributed over the borders of simulated plots.

Whereas long-distance seed dispersal does not significantly increrase the simulation time, and the implementation of pollination over plot borders would be feasible, when traits are passed via pollen and seeds one must decide how the information is passed (e.g. sampling from all available trait space), which we partly included in the 'pollen influx' application.

Furthermore, how can pollen influx be studied when the amount of pollen is not simulated by the model?"

One simple approach we think about is to simulate forest stands similar to those around locations for which pollen influx rates are available from surface sediments or sediment cores and tune the pollination process (even by including an allocation factor) until the resulting rates match. Subsequently one can compare past influx rates to simulations with this parameterised model forced with climate data independent from the pollen record and evaluate the importance of other model parameters.

Implementing spatially explicit <u>wind--driven</u> seed and pollen dispersal in the individual-based larch simulation model: LAVESI-WIND 1.0

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- 10 **Abstract.** It is of major interest to estimate the feedback of arctic ecosystems to the global warming we expect in upcoming decades. The speed of this response is driven by the potential of species to migrate, tracking their climate optimum. For this, sessile plants have to produce and disperse seeds to newly available habitats, and pollination <u>of ovules</u> is needed for the seeds to be viable. These two processes are also the vectors that pass genetic information through a population. A restricted exchange among subpopulations might lead to a maladapted population due to diversity losses. Hence, a realistic implementation of
- 15 these dispersal processes into a simulation model would allow an assessment of the importance of diversity for the migration of plant species in various environments worldwide. To date, dynamic global vegetation models have been optimised for a global application and overestimate the migration of biome shifts in currently warming temperatures. We hypothesise that this is caused by neglecting important fine-scale processes, which are necessary to estimate realistic vegetation trajectories. Recently, we built and parameterised a simulation model LAVESI for larches that dominate the latitudinal treelines in the 20 northernmost areas of Siberia. In this study, we updated the vegetation model by including seed and pollen dispersal driven by wind speed and direction. The seed dispersal is modelled as a ballistic flight, and for the pollination of ovules of seeds produced, we implemented a wind-determined and distance-dependent probability distribution function using a von Mises distribution to select the potential pollen donor. A local sensitivity analysies of both processes supported the robustness of the model's results to the paramterisation, although it highlighted the importance of recruitment and seed dispersal traits for 25 migration rates. This individual-based and spatially explicit implementation of both dispersal processes makes it easily feasible to inherit plant traits and genetic information to assess the impact of migration processes on the genetics. Finally, we give implications uggest how T the final model can be applied to substantially help in unveiling the important drivers of migration dynamics and, with this, guide the improvement of recent global vegetation models.

1. Introduction

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- 30 How fast vegetation communities can follow their shifting climate envelope in a changing environment is determined by their ability to migrate. This is exceptionally challenging under current global change and plants might strongly lag behind their moving climate envelope (Harsch et al., 2009; Loarie et al., 2009; Moran and Clark, 2012). Temperatures are increasing most strongly in the Arctic. Accordingly, forests in the tundra-taiga transition zone are expected to respond by migration into the tundra (Bader, 2014; Holtmeier and Broll, 2005; MacDonald et al., 2008). However, empirical studies show diverse responses
- 35 to the warming, including treelines being stable, advancing or even retreating (Harsch et al., 2009). A taiga range expansion though, might positively feedback to a global temperature increase due to albedo reduction (Bonan, 2008; Piao et al., 2007; Shuman et al., 2011).
- To predict forest stand-responses to climate, computer models were designed with different scopes of complexity, between highly general toor very specific (Grimm and Railsback, 2005; Thuiller et al., 2008). Among these, simulation studies with 40 dynamic global vegetation models (DGVMs) tend to overestimate the turnover of treeless tundra into forests (Brazhnik and Shugart, 2015, 2016; Frost and Epstein, 2014; Kaplan and New, 2006; Roberts and Hamann, 2016; Sitch et al., 2008; Snell, 2014; Yu et al., 2009; Zhang et al., 2013). On the other hand, forest landscape models (e.g. Snell et al., 2014; Shifley et al., 2017; Epstein et al., 2007) and small-scale models (forest-gap or individual-based) provide sufficient detail to realistically represent the responses at a stand level, but need much effort for parameterisation and are typically not applied over large areas 45 (Martínez et al., 2011; Pacala et al., 1996; Pacala and Deutschman, 1995; Zhang et al., 2011). Further problems arise from the use of plant functional types as they consist of species with a wide variety of traits (e.g. Huntley et al. 2010, Lee 2011, Snell et al. 2014, Svenning et al. 2014). Nonetheless, the ability to form a closed canopy forest depends mainly on species traits acting at a fine-scale level such as (1) time needed to mature (life-cycle, high generation time) and produce viable seeds, (2) dispersal distance and the chance for long-distance seed dispersal and (3) germination and establishment of new individuals 50 (Svenning et al., 2014). One source of T the overestimation of migration rates of DGVMs is <u>mainly caused</u> theby unconstrained seed availability when climate variables allow a vegetation type to establish, which was recently pointed out by using a time lagged response dispersal function between the grid points in simulations with a DGVM (Snell, 2014; Snell and Cowling, 2015). However, connecting grid cells to allow dispersal among them increases the computational complexity of
- 55 structure of a tree stand, and its response to changes in external forcing, is determined by further local processes, such as spatially explicit competition among individuals of all ages and their interactions. Of special interest is the local-adaptation of the traits of individuals of local populations at the molecular level, which is are influenced constrained by a high gene flow through seed or pollen distributions across populations. High exchange - can lead to outcrossing that hindersing local adaptation, but also prevents negative consequences from diversity losses caused from by inbreeding within separated isolated 60 populations due to founder effects in the process of colonisation over large distances (Austerlitz et al., 1997; Burczyk et al., 2004; Fayard et al., 2009; Nishimura and Setoguchi, 2011; Ray and Excoffier, 2010). Theise processes haves, so far, not been implemented <u>continuously over a large scale</u> in such simulation models.

such models (e.g. Nabel 2015), but would be necessary to simulate realistic large-scale vegetation responses. In addition, the

Treeline stands in the Siberian Arctic were densifying, but only rather slowly colonising the tundra during the past decades (Frost et al., 2014; Kharuk et al., 2006; Montesano et al., 2016), which could be attributed to seed limitation (Wieczorek et al.,

- 65 2017). We developed the *Larix* vegetation simulator LAVESI to simulate tree stand dynamics at the Siberian treeline on the southern Taymyr Peninsula and use it as a framework to explore impacts of climate change on larch forests (Kruse et al., 2016). In the first version, the dispersal function randomly dispersed seeds by a probability density function describing a Gaussian term with a fat-tail. This ensured the most realistic implementation and <u>could bewas</u> parameterised to fit observed stand patterns. The model simulates tree stands on plots, representing a homogeneous forest, which can easily be enlarged to
- simulate wider areas. However, for simulations on larger transects passing from forests to treeless areas, wind direction and strength become more important for seed dispersal and needed to be included in the model. Seed dispersal processes are well studied (Nathan et al., 2011a; Nathan and Muller-Landau, 2000) and <u>are commonly sometimes</u> implemented in vegetation models but <u>are seldomlynotrarely</u> coupled with wind speed and direction (e.g. Lee, 2011; Levin et al., 2003; e.g. Snell, 2014). Among others, Student's 2Dt dispersal kernel depicts best the leptokurtic behaviour of dispersal kernels (Clark et al., 1999; Clark, 1998; Nathan and Muller Landau, 2000; Petrovskii and Morozov, 2009). Also wind patterns might change over time,
- as the pressure levels vary in a changing climate (Trenberth, 1990), or are directed (Lisitzin, 2012) so that an implementation of wind-dependent dispersal would enable a more realistic simulation of migration (cf. Nathan et al., 2011b).
- Pollen was not represented in the former LAVESI version, but is needed to independently track gene flow by seeds and pollen through time. Pollen dispersal functions are frequently used to reconstruct vegetation composition from palaeo archives, for example in the Landscape Reconstruction Algorithm by Sugita *et al.* (2010), whereas other models have been used to track pollen clouds in tree stands (review in Jackson and Lyford, 1999; Prentice, 1985). Calculating every pollen dispersal event for each tree and seed is computationally challenging, but it can be simplified following the assumptions of Kuparinen *et al.* (2007). Accordingly, an individually based pollination for each seed could be implemented using a wind determined and distance dependent probability distribution function for pollen dispersal (similar to Gregory, 1961). It would make use of the von Mises distribution, which is an angular equivalent to the Gaussian normal distribution, for the two dimensional representation (Abramowitz and Stegun, 2012). Besides tracking the full genealogy of a simulated tree stand, this pollination function allows the inheritance of individually varying traits of each tree, rather than randomly drawing the actual trait value from the pool of available traits (cf. Scheiter et al., 2013). Additionally, the implementation of spatially explicit seed dispersal and pollination would enable us to align the model to detailed biogeographical knowledge gained from molecular methods
- 90 (e.g. Navascués et al., 2010; Polezhaeva et al., 2010; Semerikov et al., 2007, 2013; Sjögren et al., 2017). We started with a very detailed small-scale model that can later be used to inform large-scale models especially about plot connectivity through seed dispersal and pollination and subsequent gene flow in landscapes.

We aim with this study to enable the simulation of spatially explicit and wind-dependent seed dispersal and pollination in the individual-based model LAVESI. <u>After t</u> coupling and verification of the seed dispersal kernel to prevailing winds and the incorporation of the pollination is described in Sectionwe test the model's sensitivity to its parameterisation in local sensitivity analyses and the influence on stand development, migration rates, and pollination distances. 2. Results of the validation of the

updated model by comparisons of simulation experiments to observed wind patterns are presented in Section 3, which are discussed in Section 4 and followed by conclusions in Section 5.

2. Methods

100 2.1. General model description of the *Larix* vegetation simulator LAVESI

LAVESI is an individual-based spatially explicit model that currently simulates the life cycle of larch species as completely as possible from seeds to mature trees (Kruse et al., 2016). It was set up to improve our understanding of past and future treeline displacements under changing climates, focusing on the open larch forest ecosystem in northern Siberia, which is underlain by permafrost. The relevant processes (growth, seed production and dispersal, establishment and mortality) are incorporated as submodules, which wereare parameterised on the basis of field evidence and complemented with data from literature. Simulation runs proceed in yearly time steps and are forced by monthly temperature and precipitation time series. The area simulated represents spatially homogeneous forest plots of 100 x 100 myariable size with the use of an environment grid (e.g. competition) with 20--cm tiles and where the handling of seeds dispersed beyond the plot borders are deleted-can be set to deletion or reintroduction from the other side to simulate a forest patch. The model is programmed in C++ using standard

- template libraries. This and its modular structure allow a straightforward implementation of further extensions.
 The model was successfully applied to conduct temperature-forcing experiments, where simulations revealed that the responses of the larch tree stands in Siberia <u>– densification and northwards migration mightcould</u> lag the <u>applied</u> hypothetical warming by several decades, until the end of 21st century (Kruse et al., 2016; Wieczorek et al., 2017).
- Here we present the implementation of wind-dependent seed dispersal as well as the newly introduced pollination. The <u>absorbingstrict</u> boundary condition had to be revised to allow the simulation of larger areas. Hence, we introduce a new modes of periodic boundary conditions for seeds leaving the simulated area, so that the borders of a simulation plot are connected along all borders, which we used in all the simulations for this manuscript. This mimics a tree stand within a homogeneous forest, similar to forest gap models (e.g. Brazhnik and Shugart, 2016; Pacala et al., 1996; Pacala and Deutschman, 1995; Zhang et al., 2011).

120 **<u>2.2.</u>** Implementing dispersal processes coupled to wind speed and direction

2.2.1. Pollination probability

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Pollen was not represented in the former LAVESI version, but is needed to independently track gene flow by seeds and pollen through time. Accordingly, Ffigure 1 illustrates how we implemented an individually based pollination for each seed's ovule using a wind-determined and distance-dependent probability distribution function for pollen dispersal (similar to Gregory, 1961). It makes use of the von Mises distribution, which is an angular equivalent to the Gaussian normal distribution, for the two-dimensional representation (Abramowitz and Stegun, 2012).

A pollen dispersal function was newly implemented as a distance-dependent probability function for pollination of each individual seed's ovule, rather than simulating the large amount of pollen released by each tree (Gregory, 1961; Kuparinen et al., 2007). For each seed-bearing tree, the probability of pollen donating trees is calculated and out of the list of potential fathers for each seed one tree is randomly determined. The pollination probability of each seed's ovule on a tree is proportional to the amount of pollen in the air column around it, which is, for simplification in the current implementation, not additionally dependent on the performance of the tree so that every tree that bears cones is taken into account.₇ but This aspect might be included in future versions. The following function is used here as the distance-dependent probability distribution of arriving pollen:

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$$p_r = exp\left(\frac{-2p_e r^{1-0.5m}}{\sqrt{\pi}C(1-0.5m)}\right)$$

where p_r is the distance-dependent distribution, r is the distance in m, p_e is the ratio of pollen descending velocity estimated for *Larix gmelinii* (Eisenhut, 1961) and wind speed and parameters Gregory's C and m are set to $C = 0.6 \text{ cm}^{-(1-0.5 \text{ m})}$ and m = 1.25 (Eq. page 167 in Gregory, 1961).

(3)

 The distribution described in Eq. 3 is multiplied by the von Mises distribution (Eq. 4), a continuous probability distribution on
 the circle, to include pollen distribution over a certain area and couple the process to the wind direction (illustration in Fig. 1; Abramowitz and Stegun, 2012).

$$p_{\nu} = \frac{exp(\kappa cos(\theta - \overline{\theta}))}{2\pi I_0(\kappa)}$$
(4)

where κ is the inverse of the von Mises distribution's variance, and $I_o(\kappa)$ is the modified Bessel function of order 0 as a function of κ , θ is the angle between trees and $\overline{\theta}$ the actual wind direction. The modified Bessel function in the von Mises distribution is programmed in its integral representation using the Simpson integration scheme (Abramowitz and Stegun, 2012).

Consequently, following Gregory (1961) the pollen probability of a seed's ovule mathematical form is:

$$p = p_r p_v = exp\left(\frac{-2p_e r^{1-0.5m}}{\sqrt{\pi}C(1-0.5m)}\right) \frac{exp\left(\kappa \cos\left(\theta - \overline{\theta}\right)\right)}{2\pi l_0(\kappa)}.$$
(5)

150 **2.1.1.2.2.2.** Seed dispersal

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In the initial version of LAVESI, seeds are dispersed in random directions and at a distance r in m, estimated by a Gaussian and negative exponential (fat-tailed) dispersal function (Eq. 5, Kruse et al., 2016):

$$r = \sqrt{2E_0^2 \left(-\log(rand)\right) + \frac{1}{2}distanceratio \cdot rand^{-1.5}}$$
(1)

where E_0 , originally named *width*, is the Gaussian distribution's standard deviation in m, *rand* stands for a random number $\in [0,1]$ and *distanceratio_is* a weighing factor for the fat tail in m². Parameter estimates were based on a sensitivity analysis <u>in Kruse et al. (2016)</u> and numerical experiments. The wind-dependent distance estimation was implemented as a ballistic flight following the assumptions of Matlack (1987). Accordingly, seed dispersal distances depend on the height of the releasing tree top H_t in m, currently estimated as 75% of H_t , and are modified by wind speed V_W in m s⁻¹ and a species-specific fall speed of propagules (seed plus wing) $V_d = 0.86$ m s⁻¹ for *L. gmelinii* and tuned by the parameter λ (set to 0.01):

$$E_0 = 0.75 \cdot \lambda \cdot H_t \cdot \frac{v_W}{v_d} \tag{2}$$

Finally, the direction for the seed dispersal is determined by by the randomly selected wind observation (speed and direction, which were randomly selected from a set of observations (see Section 2.2.5 for details).

2.1.2. Pollination probability

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165 A pollen dispersal function was newly implemented as a distance dependent probability function for pollination of each individual seed, rather than simulating the large amount of pollen released by each tree (Gregory, 1961; Kuparinen et al., 2007). The pollination probability of a tree is proportional to the amount of pollen in the air column around it; subsequently, the following function is used here as the distance dependent probability distribution of arriving pollen:

$$p_r = exp\left(\frac{-2p_e r^{4-0.5m}}{\sqrt{\pi}C(1-0.5m)}\right)$$
(3)

170 where p_r is the distance dependent distribution, r is the distance in m, p_e is the ratio of wind speed and pollen descending velocity estimated for *Larix gmelinii* (Eisenhut, 1961) and parameters C and m are set to C = 0.6 cm and m = 1.25 (Gregory, 1961).

The distribution described in Eq. 3 is multiplied by the von Mises distribution (Eq. 4), a continuous probability distribution on the circle, to include pollen distribution over a certain area and couple the process to the wind direction (illustration in Fig. 1; Abramowitz and Stegun, 2012).

$$p_{\psi} = \frac{exp\left(\kappa cos\left(\theta - \bar{\theta}\right)\right)}{2\pi I_{\theta}(\kappa)} \tag{4}$$

where κ is the inverse of the von Mises distribution's variance, and $I_{\theta}(\kappa)$ is the modified Bessel function of order 0 as a function of κ , θ is the angle between trees and θ the actual wind direction. The modified Bessel function in the von Mises distribution is programmed in its integral representation using the Simpson integration scheme (Abramowitz and Stegun, 2012).

Consequently, following Gregory (1961) the pollen distribution's mathematical form is:

$$p = p_r p_v = exp\left(\frac{-2p_e r^{1-0.5m}}{\sqrt{\pi}C(1-0.5m)}\right) \frac{exp\left(\kappa cos\left(\theta - \tilde{\theta}\right)\right)}{2\pi I_n(\kappa)}.$$
(5)

For each seed produced in simulation runs, every tree that bears cones is taken into account as a potential pollen donor. From these, one tree is randomly chosen based on the probability density to become the father of the produced seed.

185 **2.1.3. Temperature and precipitation**

Simulations are forced with monthly climate series from the CRU TS 3.22 database (Harris et al. 2014). We selected a grid box intersecting a location with a known northern taiga tree stand (CH06 at 70.66° N; 97.71° E, site CF in Wieczorek et al., 2017) and a northern forest tundra stand (TY04, 72.41 °N; 105.45 °E, site FTe in Wieczorek et al., 2017). From the available data we excluded years before 1934, because of missing climate station data and hence unreliable extrapolations in the data set (Mitchell et al., 2004). Furthermore, the final year was set to 2013, which is the latest year of field work. The climate at

190 set (Mitchell et al., 2004). Furthermore, the final year was set to 2013, which is the latest year of field work. The climate at these sites either allows strong tree growth with mean July temperatures of 13.50 °C, coldest temperatures during January of -33.24 °C and a precipitation sum of -328 mm per year or only sparse stands to emerge with temperatures of 13.11 and 36.07 °C in July and January, respectively, and -247 mm annual precipitation (cf. Kruse et al., 2016).

<u>2.1.4.2.2.3.</u> Parameterisation to fit field data

The model's parameters had to be revised after implementing the model extensions to achieve simulated tree densities comparable to field data. Forest inventory data were recorded for each larch individual with explicit positions on plots of a minimum area of 20 x 20 m areas for several locations along a density gradient from single-tree stands in the north to dense forest tundra stands in the south visited on summer expeditions in the years 2011 and 2013 in north-central Siberia, Russia (Wieczorek et al., 2017). We conducted simulations on 100 x 100 m areas with closed boundaries initialised by introducing 1,000 seeds in the first 100 years of a stabilisation period of 1,000 years, with forcing climate data randomly sampled from the available data. For the final 80 years of each simulation we used the climate series from one of the corresponding field sites (TY04, see 2.2.4 for details72.41 °N and 105.45 °E, site FTe in Wieczorek et al., 2017). We visually compared the number of trees at year 2011 from the central 20 x 20 m area to the field survey data, which was the first year of fieldwork. The parameters were manually tuned and we iteratively performed simulation runs to improve the simulation results until finally achieving a similar stand densities (numbers of trees)-good fit to theas observed pattern (data not shown; parameter values in Table 1).

-Climate forcing data

2.2.4. Temperature and precipitation

Simulations are forced with monthly mean temperature and precipitation sum series from the CRU TS 3.22 database (Harris et al. 2014). These are used to estimate long-term responses and derive the auxiliary climate variables *active air temperature*(sum of temperatures above 10 °C, AAT₁₀) and *vegetation length* (number of days exceeding the freezing point, *net degree days*, NDD₀) to calculate tree growth (details in Kruse et al., 2016). We selected a grid box intersecting a location with a known northern taiga tree stand (CH06 at 70.66° N; 97.71° E, site CF in Wieczorek et al., 2017) and a northern forest tundra stand (TY04, 72.41 °N; 105.45 °E, site FTe in Wieczorek et al., 2017). From the available data we excluded years before 1934, because of missing climate station data and hence unreliable extrapolations in the data set (Mitchell et al., 2004). Furthermore, the final year was set to 2013, which is the latest year of fieldwork. The climate at these sites either allows strong tree growth with mean July temperatures of 13.50 °C, coldest temperatures during January of -33.24 °C and a precipitation sum of ~328

mm per year or only sparse stands to emerge with temperatures of 13.11 and -36.07 °C in July and January, respectively, and \sim 247 mm annual precipitation (cf. Kruse et al., 2016).

2.1.5.2.2.5. Wind speed and direction

The model is driven with pairs of wind speed in *m* s⁻¹ and wind direction in degrees [°]. The winds at 10 m above the surface for the years 1979–2012 at 6 hourly resolution were extracted from the ERA-Interim reanalysis data set (Fig. 3; Balsamo et al., 2015). Because of the coarse spatial resolution (80 x 80 km), we considered only the grid box over the climate station Khatanga, which is situated roughly in the centre of the treeline ecotone on the southern Taymyr Peninsula (71.9° N; 102.5° E; Wieczorek et al., 2017). During simulation runs, values are randomly drawn from the year's vegetation period (May to August; Abaimov, 2010) for each seed dispersal event and for the determination of pollination. For simulated years in which climate data are available but no corresponding wind data, a year is randomly selected.

2.2.2.3. Model eSensitivity analyses for dispersal processes valuation protocol

2.2.1. Overall simulation setup

The simulation experiments were conducted on 200 x 200 m plots using the model with the new processes. Populations were initiated on empty areas by randomly distributing a fixed number of seeds during the first 100 years of a 1,000 year long stabilisation period. The simulation model randomly drew weather conditions for each year from the complete available period 1934–2013 during the stabilisation period. In the final 80 simulation years, the actual weather data were used.

First, we performed simulation experiments with constant wind conditions to verify the implemented dispersal processes. Wind forcing was from the north or from the south, both with a constant wind speed of 10 km h⁻¹. Simulations were repeated 50 times with an input of 250 seeds per year during initialisation.

Second, we evaluated the functionality of the seed dispersal function by forcing the model with wind data from the reanalysis data set ERA Interim (Balsamo et al., 2015). Simulations were repeated 10 times and population growth initiated by introducing 100 seeds per year during initialisation.

The dispersal distance and direction as well as the release height of every 100th seed dispersal event were recorded during each year of the complete simulation run. Pollination was assessed by recording the distance and direction from the pollen sources to the seed positions prior to seed release of all tree individuals present at the final year of the simulation. Finally, we parallelised the code of the model using the OMP library and implemented simulations using 1, 2, 4 and 8 CPUs. The performance of the model was evaluated by recording the computation time of each single simulation year for complete

and pollination (+POLL), and two different parallelised pollination computations (+POLL PAR A and B).

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2.2.2. Verification of wind-dependency

We verified the wind dependent seed dispersal by comparing the simulation results with the simulated results from the implemented dispersal function and refer to these values as 'expected'. Therefore, we estimated the dispersal distances with

simulation runs (1.080 years). We conducted four different runs, one with only wind dispersal of seeds (SEED), one with seed

- the implemented dispersal functions (seeds Eq.1 and pollen Eq.5) under the same conditions as used for driving the model, namely winds from north or south with a constant speed of 10 km h⁻¹. The observed mean log transformed (to achieve normal distribution) dispersal distances were compared to each other with a Welch two sample t test. We compared simulated to expected dispersal distances in each wind direction in height classes of trees in 10% steps of the sorted values, excluding the minimum and maximum values where only few observations could be recorded. The simulated data values in the range of ±5% at the determined height classes were first tested for an interaction between the release height and the dispersal distance
- 255 with a Spearman's rank based measure of association (rho) and a t test. Then we compared the simulated distances for each height class to the expected distances, both log transformed prior to analysis, with a t test. Furthermore, we compared the dispersal in both directions to each other using the same procedure.
- The simulated pollination distances were compared to estimates from the implemented function under the same conditions, namely the adult trees' position and constant north or south winds with a speed of 10 km h⁻¹. The resulting three dimensional pollination distribution probabilities at simulation year 2011 (first year of field work) were binned to cardinal directions in steps of 30°, starting with North between 345° and 15°. The simulated and directly estimated log transformed pollination distances were tested for differences in each individual direction with a Welch two sample t test for cases with >10 observations. We tested whether the mean of the p values is significantly greater than 0.01 with a Student t test. To test the influence of the paramterisation of the variables from the newly introduced functions on the model's results, we ran local sensitivity analyses (Grimm & Railsback, 2005, Cariboni et al., 2007). Therefore, tThe input parameters (Table 2) were changed by 5 and 50% and a sensitivity value calculated by comparing the results with the reference run:

$$S_{+/-} = \frac{\frac{V_{+/-} - V_{REF}}{V_{REF}}}{\left|\frac{P_{+/-} - P_{REF}}{P_{REF}}\right|}$$
(5)

where *V* is the variable of interest derived from each simulation run and *P* is the parameter of interest, both plus (+) and minus (-) 5% of the estimated parameter, or with the reference value (Kruse et al., 2016).

- 270 For the evaluation of migration rates we selected three target output variables for the area ahead of the 100 m initialiszation area: (1) *sStemcount* is the total number of stems (trees with a height above 130 cm), (2) *forested area* is the area covered with >100 stems ha⁻¹, and (3) *peak recruit position* is the position of the maximum number of stems on the basis of a running mean with a 50 m window. Additionally, the variable *stand density*, which is the number of stems in the 20 x 20 m plot in the centre of the lowermost area, was selected to assess impacts on plot level. Furthermore, the *pollination distance* expressed as the
- 275 <u>mean distance between the pollen--donating and seed--producing trees was calculated for the evaluation of the pollination</u> function. The resulting sensitivity values were tested for significant changes from the reference results (mean of 0) with a ttest with a confidence level of 95%.

The simulations were carried out on hypothetical transects with a width of 100 m and length of 1,000 m using the new model version. Populations were initiated on empty areas only in the lowermost 100 m wide area by randomly distributing 1,000

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seeds during the first 10 years of a 1,000 year long stabilisation period. During this phase, seeds exceeding the lowermost 100 x 100 m area were removed from the simulation. In the following simulation period seeds could enter the area above 100 m and colonize this empty area. The simulation model randomly drew weather conditions for each year from the complete available period 1934–2013 during the stabilisation and simulation period. These simulations were repeated for-30 times and the positions of each tree-individual tree were recorded at the end of the simulation (500 years). To directly compare results from simulations with changed parameters to reference runs the simulation period was repeated for each parameter variation starting with the an identical state of the simulation at the end of the stabiliszation period and using the same climate series.

2.4. Model--performance experiments

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2.2.3. Evaluation of dispersal processes

The seed dispersal and pollination distances were evaluated by calculating the mean dispersal distances for both processes in bins of 30° cardinal directions over the complete simulation run for years with available wind data (1979–2012). In addition, we selected two years with contrasting wind patterns, the year 1990 with predominant winds from the East, and 1998 from the West. The results were qualitatively compared to observed values from the literature.

During the simulations, we recorded only one pollination event per tree per year reaching the central area of 20 x 20 m of the plot and every 100th seed dispersal event.

2.2.4. Model performance experiments

The memory load was estimated by adding up the size of all data types within each handled structure simulating a plot of one hectare (Table S1). These were multiplied by the actual number of elements in each of the structures. We calculated mean values of the number of handled items of the final 80 years of the simulations for the evaluation of dispersal processes to estimate the total memory needed for the arrays of trees and seeds and the grid representing the environment (Kruse et al., 2016).

<u>Finally</u>To reduce the computation time, we parallelised the code for estimating pollination probabilities, seed dispersal, and
 tree density computation of the model using the OMP-library and <u>implemented</u>conducted simulations using 1,-2, 4, 8, and 168
 <u>CPUs</u>. The performance of the model was evaluated by recording the computation time of each single simulation year for complete simulation runs (1,080 years). We conducted four different runs, one with only wind dispersal of seeds (SEED), one with seed and pollination (+POLL), and two different parallelised pollination computations-(+POLL_PAR_A and B). First, wWe tried to simply hand over equally sized parts of the complete list of tree individuals including trees that do not have not produced seeds to the accordingselected number of CPUs (+POLL_PAR-A). In a second variant (+POLL_PAR-B), we tested attempted to decrease the potential computational overheads of idle CPUs that had finished their job faster because of

less fewer individuals that needed to estimate pollination for produced seed's ovules, by cutting the list to only trees that produce seeds.

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The computation times increases with the actual number of trees and seeds present in simulations. In consequence, Wwe analysed the dependency between the time needed for each simulated year and the number of trees and, additionally, the number of produced seeds produced by generalised nonparametric regression (using the "gam"-function in R-package "gam"; Hastie, 2017). The dependent variable time *t* was log-transformed prior to analysis. The explanatory variables – number of trees *Nt* and seeds *Ns* – were non-parametrically fitted and tested for non-linearity by comparing the deviance of a model that fits the terms linearly with a chi-squared test. In the initial model formula, we also included the interaction between the explanatory variables and excluded non-significant terms from the linear model (p>0.05) until yielding the final best model. The code for estimating pollination probabilities, seed dispersal and tree density computation was parallelised using the OMP-library for C++ and we compared the reduction in computation time of simulations with 1, 4 and 8 CPUs.

3. Results

3.1. Verification of wind-dependency

The simulated seeds were solely dispersed in a north or south direction in coherence to the forcing winds (Fig. 2<u>, Table S2 and S3</u>). The median seed dispersal distances were ~12.2 m with a north wind and ~12.0 m with a south wind with a majority of 95% falling within ~43 m of the seed tree, but with rare (~0.1%) dispersal events >1,000 m (Fig. 2). The distance is equally highly correlated with the release height for both wind directions (*rho*=0.63, p<0.0001; Fig. 2).

All simulated values were highly correlated to the directly estimated distances (p>0.05, Table S2; Fig. 2). The mean logtransformed simulated distances in a north or south direction were not different when comparing releasing trees of the same height (p>0.05, Table S3).

The pollination events were mainly coming from the direction of the forcing winds: however, ~18% deviated from the forcing wind direction (Table <u>S42</u>). This variance is introduced by the formulae used for calculating the pollination probability for each <u>seed's ovules on a</u> tree and is further increased by the random (uniform) selection of a father out of a subset of all possible mature trees <u>based on the probability density function</u>. The median distance along forcing winds of ~38 m is, in general, shorter by ~3-5 m than in other directions (Table <u>S42</u>).

In north-central Siberia, the main wind directions observed during the vegetation period are a combination of both west and east (Fig. 3, upper row). In some years, one of these directions predominates, and is also characterised by stronger wind events. Accordingly, simulated seeds are dispersed into the general direction of the forcing wind data (Fig. 3, middle row). Dispersal

340 <u>distances can reach up to a maximum of several thousand kilometres, yet the majority of seeds fall within a few hundreds of</u> metres, and these are dispersed over distances depicting the wind speeds as well. The median pollen flight distances are generally larger than the seed's, with a technically fixed maximum of about the distance from the central plot to the borders (Fig. 3, lower row). Similar to seed dispersal, pollination follows the wind directions and fathers are positioned in the upwind direction of the main occurring winds.

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3.2. Sensitivity analyses for implemented dispersal processes

The sensitivity analyses for the implemented sThe distances, simulated and expected from direct calculation, are more similar for those pollination directions with more samples, and only 4–6% of tests show significant differences. The higher values may be based on the uniform sampling of fathers and the associated smaller sample sizes in these directions. In all comparisons, we find no evidence that the differences are significant (Table S4).

- Evaluation of dispersal processeced dispersal function was extended for further model parameters that have an influence on
 the migration rate. In general, the four target variables have the same response direction towards changes in the parameters
 (Table 3). The stronger the changes, the more apparent becomes the change in the result so that the significances increases
 strongly from only 25% to 79%. The sensitivity values were in of the same order of magnitude with the extreme values of -1.89
- 355 and 3.26 for each percent change in the input parameter. Most sensitive is the position of the peak recruitment for the observed migration rate (mean absolute sensitivity of 1.09 and 0.92 for 5 and 50%), whereas the impact on the stand level is of minor importance with sensitivities of only 0.28 and 0.19.
- The sensitivity values for resulting pollination distances for varied parameters were of an absolute mean of change of 0.11 for 5% and 0.02 for 50% with the extremes of -0.08 and 0.30 (Table 4). The stronger the change, the more apparent is a change of the results (40 to 70% significant values), although, the direction of the changes were was similar. However, the change is a magnitude smaller when changed by 50% but the directions were consistent with those expectationsed, and increasing Gregory's m led to farther pollination distances and vice versa for pollen descending velocity $V_{d, Pollen}$. The sensitivities increased from the -0.07 to 0.09 on the southernmost plot to about -0.11 to 0.14 for the northernmost plot where also a higher proportion of significant values could also be observed.

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375 fathers are positioned in the upwind direction of the main occurring winds.

In north central Siberia, the main wind directions observed during the vegetation period are a combination of both west and east (Fig. 3, upper row). In some years, one of these directions predominates, and is also characterised by stronger wind events. Accordingly, simulated seeds are dispersed into the general direction of the forcing wind data (Fig. 3, middle row). Dispersal distances can reach up to a maximum of several thousand kilometres, yet the majority of seeds fall within a few hundreds of metres, and these are dispersed over distances depicting the wind speeds as well. The median pollen flight distances are generally larger than the seed's, with a technically fixed maximum of about the distance from the central plot to the borders (Fig. 3, lower row). Similar to seed dispersal, pollination follows the wind directions and

3.2.3.3. Model performance

3.2.1.3.3.1. Memory consumption

The dynamic arrays need 120 bytes for each tree and 98 bytes for each seed. A further 54 bytes are needed for each of the environmental map tiles and another 117 bytes for the storage of output variables for each simulated year (Table S1). The constant containers use 390 bytes for the weather list and the parameter structures contain 642 bytes. On the basis of a simulated typical dense forest with ~92,000 seeds and ~25,000 tree individuals stored in the structures for each hectare, a simulation will need roughly ~15 MB of RAM in a setup of a 1,000 year initialising phase and a subsequent 80 year simulation phase.

3.2.2.3.3.2. Computation time

The simulation time increased with the number of trees in the simulation and for the contrasting simulation setups – either only wind-dependent seed dispersal SEED or also with the calculation of pollination +POLL (Fig. 4). The generalised additive model, including the number of seeds and a combination of the number of trees and number of seeds, explained the increase in computation time best and had the lowest AIC value among all simulation types (Table S⁶⁵). All incorporated variables, namely number of trees and number of seeds, significantly explained the computation time. The number of trees is the most important explanatory variable at ~79.<u>0</u>3%, followed by an interaction term of the number of trees and seeds at ~14.4<u>6</u>%, and number of seeds at ~4.45% and a residual of ~2.0<u>1.7</u>% unexplained variation.

Without <u>includinginferring</u> the pollination events, the computation takes ~0.6 s to calculate a year of a simulated plot on which 30,000 to 40,000 tree individuals are present (Fig. 4). In contrast, this increases to ~12018 s yr⁻¹ for a similar stand when <u>calculatingestimating</u> the pollen donor for each produced seed (+POLL). The first implemented parallelisation of the pollination process (+POLL_PAR A) shortened the computation time by roughly half to ~60-65 s yr⁻¹ when using eight cores. The second variant (+POLL_PAR B) outruns the first <u>when using four to eight cores</u>, and <u>reaches-takes</u> a similar computation time using only four cores. The increase to 16 CPUs led to a further decrease of computation time only for the first variant.

The assumption of unlimited seedbeds – allowing species in models to grow as soon as climate space allows them – causes

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4. Discussion

high uncertainty in future predictions with dynamic global vegetation models (e.g. Midgley et al., 2007; Neilson et al., 2005;
 Sato and Ise, 2012). Implementing time-lagged responses in such models highlighted the need for a proper understanding and implementation of processes that limit species' migrations (Snell, 2014; Snell and Cowling, 2015). To reveal and understand the underlying processes that cause time lags, we designed the model LAVESI that represents all life-cycle stages of larches in high detail from seeds to mature trees, producing seeds themselves, which are then distributed in the environment (Kruse et al., 2016). We built this model to simulate responses the Siberian treeline ecotone, which is solely covered over vast areas by a single tree species of the genus *Larix*. With this, it bears great potential to evaluate whether the difficulties caused e.g. by the plant functional type grouping many species with a variety of traits together as used in DGVMs (e.g. Huntley et al. 2010,

Lee 2011, Snell et al. 2014) can be overcome. Here we describe the model enhancements to achieve, for the first time, a coupled implementation of wind-driven seed dispersal and pollination in the larch forest simulator LAVESI. It can therefore be used for a very detailed evaluation of intra-stand processes determining migration speeds and help to improve abstract dynamic global vegetation models (e.g. Sato et al., 2007; Sitch et al., 2003), forest landscape models (e.g. Seidl et al, 2012), or regional forest gap models (e.g. Brazhnik and Shugart, 2015, 2016). Such a detailed representation of forest stands, as in the model presented here, is unlikely to be able to simulate forest dynamics on a continental to global scale (cf. Neilson et al., 2005). Nonetheless, the model can be used to parameterise dispersal kernels constraining inter-grid cell migration in DGVMs (Snell, 2014; Snell and Cowling, 2015) to achieve a better representation of processes constraining or enhancing the spread of a plant species.

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We first tested the newly implemented functions for seed dispersal (Section 4.1) and pollination (4.2) by forcing simulations with one directional wind of constant speed. Then, the model was rerun with wind velocity profiles from the ERA Interim data set (Balsamo et al., 2015) to test its behaviour under quasi real conditions. In addition, we evaluated the model performance with the new processes (Section 4.3).

420 4.1. Wind-dependent seed dispersal

The simulated seed dispersal strictly followed the wind forcing and seeds settled in a downwind direction as expected, and not, as in the original model, in a purely ballistic manner (Kruse et al., 2016). We tested in a local sensitivity analysis the influence of different parameters for their influence on the stand level and the migration process. Sensitivity values were generally low with mean values between ~ 0.3 to 1.1, respectively at for the stand level and for the migration rate. They are 425 smaller compared to other parameters found in the sensitivity analysis of the first version of LAVESI by Kruse et al. (2016). In accordance with theose findings, the new model is more sensitive to changes in parameters at transient stages sosuch that higher values were are found for the peak recruit position. Furthermore, only strong changes by 50% led in many case to significant changes in the results, strengthening the robustness of the model to the parameterisation. Those parameters leading to more available seeds and higher proportions of recruits (seed production rate, germination) had the highest sensitivity values 430 and if increased they led to a faster migration and-a stand infilling. As expected, the parameters seed release height, wind speed, distance ratio, and falls speed of propagules became significant for the migration rate but not for the local stand development. Seed dispersal is dependent on the release height of the seed (Matlack, 1987), which is low in the focus region (Wieczorek et al., 2017) and thus leads to low dispersal distances, compared to other taxa (González-Martínez et al., 2002, *Pinus*, 2006; *Picea*, Piotti et al., 2009). When winds are constantly blowing at 2.78 m s⁻¹ (10 km h⁻¹), simulated distances 435 seldom reach more than ~43 m and only on very rare occasions are they observed with distances exceeding 1,000 m. The dispersal kernel can thus be described as a combination of a Gaussian distribution, with its maximum fraction reachingcentre \sim 12 m metres from the releasing tree, and a long tail, best described by an exponential function. This aligns well with the implemented function in the model LAVESI (see details in Kruse et al., 2016). The model results are similar when driven with quasi-real wind data from the reanalysis data set ERA-Interim. The short seed dispersal distances depict well the generally

- 440 observed values of other larch species. For example, Duncan (1954) found for *Larix laricina* in the northern USA that 94% of seeds fell within 18 m of the releasing trees. Furthermore, Pluess (2011) found in dense forests of *Larix decidua* in the Swiss Alps an effective seed dispersal distance of 2–48 m. Moreover, the directions are now more realistically represented and follow the predominant west and east winds as expected (Fig. 3).
- The use of winds from only the vegetation period might have introduced a bias, but it is based on the observation that this is the time when seeds are primarily dispersed (Abaimov, 2010). However, secondary dispersal by winds, due to uplift in strong winds, or travel in winter on frozen surfaces over long distances (Nathan et al., 2011a; cf. Pluess, 2011), or due to windindependent animal-mediated zoochory (Evstigneev et al., 2017), is currently not represented but could facilitate the migration into tundra further. Also the wind regimes could have shifted their main wind direction from the past to the current setting and might even change in the future (Lisitzin, 2012; Trenberth, 1990). A change, for example, from north—south directions-to the currently east—west wind directionsThis wcould have further slowdown-limited the recent potential migration rate. This could explain the slow response of the treeline in northern Siberia to global warming, in addition to the long life-cycle of larches, as well as prevailing seed limitation in the north (Kruse et al., 2016; Wieczorek et al., 2017).

4.2. Pollination coupled to prevailing wind conditions

455 Pollen dispersal functions are frequently used to reconstruct vegetation composition from palaeo archives, for example in the Landscape Reconstruction Algorithm by Sugita et al. (2010), whereas other models have been used to track pollen clouds in tree stands (review in Jackson and Lyford, 1999; Prentice, 1985). Calculating every pollen dispersal event for each tree and seed is computationally challenging, but it can be simplified following the assumptions of Kuparinen et al. (2007). Hence, we implemented a density-dependent probability function and found in the sensitivity analysis that the pollination process was 460 less affected by changing the input parameters than by the seed dispersal process. Values reached only a mean of ~ 0.02 when changed by 50% and increased from south to north, which covers a density gradient. Pollen influx from farther distances is more apparent in the more open stands, which furthermore is supported by the findings in the sensitivity analysis of the original model (Kruse et al., 2016). The pollination distance increases linearly with Gregory's m, which increases the probability for farther standing trees, and decreases as expected for higher pollen descending velocities V_{d, Pollen}. The density-dependent 465 probability function assigns pollen donors mostly in an upwind direction, but also has a small angular scattering, which was introduced by the use of the von Mises distribution to capture the stochasticity of this process (Gregory, 1961; Kuparinen et al., 2007). This uncertainty could lead to an overestimation of pollination distances, but this seems unlikely because simulated pollen are travelling distances of \sim 38 m, which is longer compared to seeds and which is in concordance with observations (e.g. Pluess, 2011). However, the pollen amount and thus the probability of a distant tree to reach a seed--producing tree is 470 dependent on the available resources and could further influence the resulting pollination distances. This relationship was not explicitly included but is partly covered by the use of the tree top height and with from this, better performing trees have a higher pollination probability. The pollination distance often reaches the maximal possible distance between two trees in our

simulation setup, which was the diagonal of the simulated area. These hypothetical pollen grains could probably reach distances of several hundred metres to kilometres, which would be in the range of the general dispersal distance observed in

475 larches (Dow and Ashley, 1996; Hall, 1986). However, the current version of LAVESI-WIND is not yet <u>fully</u> parameterised to field data <u>because pollen productivity and pollination distances as well as seed dispersal distances are to date-not yet available for forests of the northernmost treeline area, but the next important step would be to <u>evaluatevalidate</u> the modelled <u>seed dispersal and</u> pollination processes with field-based data, and finally, to apply this model to achieve realistic predictions of a future treeline.</u>

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4.3. Model performance

The individual-based approach of the model LAVESI-WIND, with the extension of wind-dependent seed dispersal and pollination, bears a high potential of knowledge gain, but this comes with some challenges: (1) repeated calculations for millions of individuals (seeds and trees) are computationally intense (e.g. Snell et al. 2014, Svenning et al. 2014, Nabel 2015), and (2) they require a certain amount of memory during the simulation runs. Whereas the memory during each simulation run could be minimised to the needs of the simulation setup, the computational power was historically the limiting factor (Grimm and Railsback, 2005). But with the development of recent computer clusters with hundreds of CPUs, it seems very likely that one can overcome this, allowing us to use detailed and spatially explicit models at a regional scale (e.g. Paik et al., 2006; Zhao et al., 2013).

490 **4.3.1. Memory consumption**

We estimated the requirements for a hectare of a dense simulated forest as 15 MB of RAM. This means, on typical computer servers, even broad-scale simulation runs are easily feasible for 5,000 x 5,000 m, which would need ~38 GB RAM<u>and takes</u> approximately 40 hours. The current LAVESI<u>-WIND</u> version was not fully optimised to lower the needs of memory and many variables that might not be needed for a specialised simulation experiment could be excluded. Although, the original simulation program was not intended to be run over continuous square kilometres of forests (Kruse et al., 2016), this is already possible with the current version. The programming language C++ and the process-based structure of the code support an easy and fast forward development of this model.

4.3.2. Computation time needed for millions of trees, seeds and pollination

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The computational effort of pollination for each seed's ovule increases with the number of mature trees present on a simulated plot. Therefore, to allow simulations to be run on standard computers in manageable time, it was a major goal to minimise the time needed for each simulated year. To meet this requirement, we parallelised parts of the program code that are computationally intensive, namely the processes of pollination and seed dispersal. With our approach, we have been able to decrease the time so far by a factor of six when using 8 CPUs, in comparison to using only one. Still, overheads from using a standard template library (STL)-list container lead to a negative exponential progression of the computation time needed per

505 year rather than linear improvements (Fig. 4). Additional gains for other not yet parallelised processes are much smaller than these, but there is further potential to reduce the computation time by using different implementations of the parallelisation.

4.4. Potential model applications

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The new model version LAVESI-WIND allows for the evaluation of the importance of driving processes, which determine the response speed of tree stands growing at the treeline in Siberia. With this, we can approach novel research questions, such 510 as "Do wind regime shifts explain faster or slower migration rates in past climate changes?" Furthermore, one could test how different treeline types determine the migration behaviour in changing environments. These can vary widely, based on the treeline type, being abrupt or with stand densities decreasing with the abiotic gradient and might further be influenced by shrubs that are respond faster responding to current climate warming (e.g. Frost and Epstein, 2014). In addition, this may be influenced by single-tree stands growing ahead of the migration front (Holtmeier and Broll, 2005). Further interesting 515 questions could be addressed, such as the role of refugia during past glacial periods and their influence on present-day tundra colonisation by trees (Wagner et al., 2015), with a simplified and thus computational effective approach. Finally, bBy connecting the borders of a simulation plot along the meridional borders we already implemented boundary conditions that allow the simulation of south-to-north transects, which are representative of the treeline area where highest tree densities occur in the south and treeless areas in the north. Thus, with this model, past migration corridors and timings can be revealed by a 520 landscape-scale simulation, potentially answering important questions of the past biogeography of larch species in Siberia.

Before applying this new model version, however, a proper parameterisation is necessary. Molecular methods can help to improve the seed dispersal function, especially microsatellite markers, which can uncover connections among subpopulations and even kinships by parentage analyses at the stand level, which would make the effective seed dispersal distances directly inferable (Ashley, 2010; Dow and Ashley, 1996; Piotti et al., 2009; Pluess, 2011). Additionally, these methods can be used to

- estimate the fat tail of the dispersal function indirectly (Piotti et al., 2009). The dispersal potential is influenced by species' traits, which are under selection and thus variable in time (Ackerly, 2003; Ronce, 2007). Trait variants that support a genetic lineage to disperse into new colonisable habitats can be superior when
- climate is warming and new habitats are available, and, *vice versa*, under colder climates, variants with a shorter dispersal distance potential that remain in refugia are superior. With this new model, the influence of this trait variability could be systematically assessed, because it is able to transmit maternal genetic information by seed dispersal and paternal genetic information via pollination.

Another interesting application would be to use this model to estimate the pollen influx in lakes (cf. Sugita, 2007). Pollen influx rates are widely used for vegetation reconstructions at the tundra-taiga transition zone (e.g. Klemm et al., 2016) and could now be used either to tune the dimensional parameters for a more precise population dynamics prediction, or inversely, to

535 could now be used either to tune the dispersal parameters for a more precise population dynamics prediction, or inversely, to reconstruct ancient tree stands by simulations. Before the genetics or the influx rates are included in the model, however, a revision of boundary conditions for pollen in the model is necessary. This must include a relevant source area for the pollen

(cf. Sugita, 2007) to determine to what extent genetic traits are delivered by pollen from beyond the borders of the simulated area. If this can be efficiently parameterised, the model could further be used to track genetic lineages in time.

- 540 Finally, by connecting the borders of a simulation plot along the meridional borders we already implemented boundary conditions that allow the simulation of south to north transects, which are representative of the treeline area where highest tree densities occur in the south and treeless areas in the north. Thus, with this model, past migration corridors and timings can be revealed by a landscape scale simulation, potentially answering important questions of the past biogeography of larch species in Siberia.
- 545 Finally, the dispersal potential is influenced by species' traits, which are under selection and thus variable in time (Ackerly, 2003; Ronce, 2007). Trait variants that support a genetic lineage to disperse into new colonisable habitats can be superior when climate is warming and new habitats are available, and, *vice versa*, under colder climates, variants with a shorter dispersal distance potential that remain in refugia are superior. With this new model, the influence of this trait variability could be systematically assessed, because it model is able to transmit maternal genetic information by seed dispersal and paternal genetic information via pollination.

5. Conclusions

We conclude that it is feasible to implement wind-driven seed dispersal and pollination in an individual-based model, which is then able to run across broader areas. However, the simulated area and duration of the simulation are constrained by available computer power and memory, and thus further effort is needed to minimise the computational load of this model in order to allow landscape-scale simulations on a standard computer. With the new model setup, further applications in combination with the genetics of the represented species are now feasible and can bring us detailed knowledge about the behaviour of the treeline and the biogeography of larch species through time.

6. Code availability

560 The source code of the host model is available at GitHub https://github.com/StefanKruse/LAVESI/releases/tag/v1.01, and stored in the zenodo database http://doi.org/10.5281/zenodo.1155486. The updated version presented here is named LAVESI-WIND and the first version 1.0 is accessible at GitHub at https://github.com/StefanKruse/LAVESI/tree/v1.0 and stored at http://doi.org/10.5281/zenodo.1165383.

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7. Author contributions

565 S.K. and A.G. planned the study, N.K., A.G. and S.K. updated the model and implemented new functions. A.G. and S.K. performed the simulations and the statistical analysis. S.K. and A.G. wrote the manuscript. U.H. provided substantial advice in the process of data analysis and paper writing.

8. Competing interests

The authors declare no competing interests.

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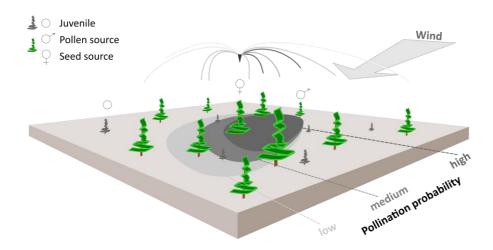
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11. Figures



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Figure 1. Schematic representation of wind pollination as newly implemented in the LAVESI-<u>WIND</u> model. Based on actual winds, a distance-dependent pollination probability <u>of ovules</u> is estimated for each adult tree (<u>fatherpotential pollen source</u>) and for each seed source (<u>mother</u>) in the simulated area. The shaded areas on the ground represent the pollination probability <u>for the labelled</u> seed source for winds from the upper-right corner. These are generally higher for adult trees in upwind direction of the central seed source.

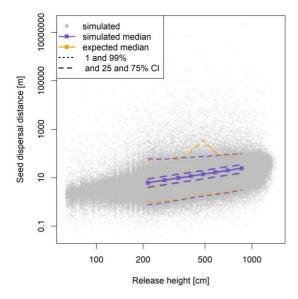


Figure 2. Dispersal distances of seeds are wind dependent and positively correlated with the height of the releasing tree. The simulated and hypothetically calculated dispersals were compared across evenly distributed height classes; the results are similar for north and south winds, and here the results with north winds are presented.

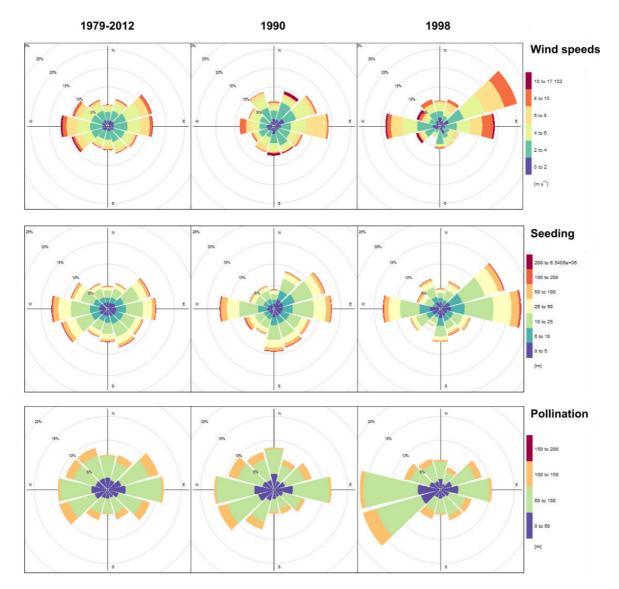
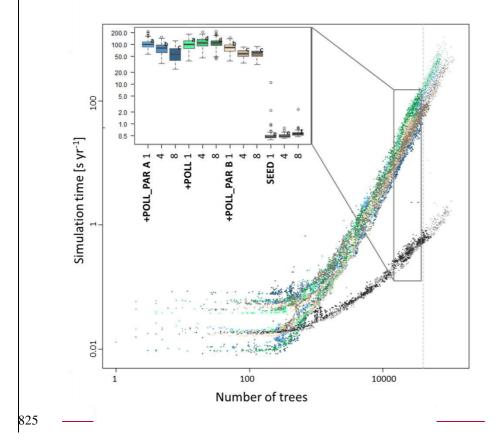


Figure 3. Wind forcing (upper row), simulated seed dispersal (middle row)_a and pollination distances (lowest row) by distance and cardinal direction. <u>Simulations were performed on 200 x 200 m plots and seed dispersal events tracked away from source trees:</u>_{τ} pollination events were recorded from pollen donor trees standing on in the plot area into the centrale plot of 20 x 20 m plot.



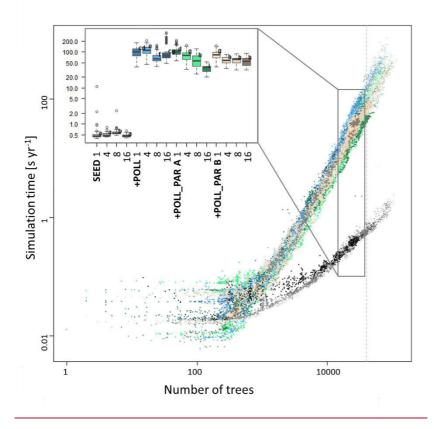


Figure 4. Simulation consumption time in relation to the number of trees present, the number of CPUs used, and for different types of parallelisation of the code. The time increases exponentially with the number of trees and more quickly when simulating the additional pollination (+POLL) compared with just the explicit seed dispersal (SEED). The inset summarises the simulation time for simulated typical northern taiga stands, ranging between 30,000 and 40,000 trees. The letters next to the boxes indicate similar groups inferred with a Wilcoxon-test and Holm correction for multiple testing.

12. Tables

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Table 1. Overview of model parameters and processes for *L. gmelinii* individuals that are different from the original version (Kruse et al., 2016).

Parameter	Value and dimension	References
Growth		
Quadratic term of the equation for diameter growth rate	-0.003 ln(cm)/cm ²	data-based estimate similar to Fyllas et al. (2010)
Linear term of the growth function	0.030 ln(cm)/cm	-
Constant term of the growth function	-1.98 ln(cm)	
Seed production, dispersal and establishment		
Factor of seed productivity	8	literature-based estimate (Kruklis & Milyutin, 1977, cited in Abaimov, 2010)
Background germination rate	0.01	estimated <u>tuned</u>
Horizontal seed dispersal distance at wind speed of 10 km/h	60.1 m	estimated after Matlack (1987)
Seed descent rate	0.86 m/s	estimated descent rate based on Matlack (1987)
Mortality		
Background mortality rate	0.0001 yr ⁻¹	data-based estimate
Current tree growth influence factor on tree mortality	0.0	tunedestimated
Weather influence factor on tree mortality	0.1	tunedestimated
Density influence factor on tree mortality	2.0	tunedestimated
Seed fertility	2 years	Ban et al. (1998)
Mean temperature of the coldest month (January) at the border of the species' geographical range	-45 °C	Shugart et al. (1992)
Exponent scaling the height influence	0.2	tunedestimated
Weather processing		
Exponent scaling the influence of surrounding density for a tree	0.1	tunedestimated
Exponent scaling the density value	0.5	tunedestimated

Table 2. Parameter values evaluated in the sensitivity analysis for seed dispersal, migration patterns, and pollination.

Parameter	Reference value and dimension
Seed dispersal function	
Maximal flight distance for L. gmelinii seeds at 10 km h ⁻¹ (r _{Maximum Seeds} . Matlack, 1987)	<u>60.1 m</u>
Species-specific fall speed of propagules (V_d)	<u>0.86 m s⁻¹</u>
Distance ratio weighing factor (sdist)	<u>0.16</u>
<u>Factor of seed productivity (f_S)</u>	<u>8</u>
Background germination rate (f _{Background Germination})	<u>0.01</u>
Influence factor of weather on germination rate ($f_{Weather Germination}$)	0.447975
<u>Maximum age of seeds (age_{Maximum Seeds})</u>	<u>2 yrs</u>
Seed mortality rate on trees (in cones, Pseed Mortality, Cones) and	0.44724
<u>at the ground (Pseed Mortality, Ground)</u>	<u>0.55803</u>
<u>Factor for release height estimation (H_t)</u>	<u>0.75</u>
Factor for the actual wind direction $(\overline{\theta})$	<u>1</u>
<u>Factor for wind speeds (V_w)</u>	<u>1</u>
Probability of seed release from cones (Pseed Release)	<u>0.63931</u>
Pollination	
Inverse of the von Mises distribution's variance (κ)	<u>10</u>
<u>Gregory's parameter</u> C	<u>0.6 cm^{-(1-0.5m)}</u>
<u>Gregory's parameter m</u>	<u>1.25</u>
Pollen descending velocity $(V_{d, Pollen})$	<u>0.126 m s⁻¹</u>
Factor for the actual wind direction $(\overline{\theta})$	<u>1</u>

Table 3. Sensitivity values for varied model parameters influencing the seed dispersal process.

Parameter	Sensitivity Stand density			Foreste	Forested area Stemcount			Peak recruit position								
	<u>S-5%</u>	<u>S+5%</u>	<u>S-50%</u>	<u>S+50%</u>	<u>S-5%</u>	<u>S+5%</u>	<u>S-50%</u>	<u>S+50%</u>	<u>S-5%</u>	<u>S+5%</u>	<u>S-50%</u>	<u>S+50%</u>	<u>S-5%</u>	<u>S+5%</u>	<u>S-50%</u>	<u>S+50%</u>
r _{Maximum} Seeds	<u>0.6±1.4</u>	<u>0.2±1.5</u>	<u>0.1±0.1</u>	<u>0.0±0.2</u>	<u>0.0±3.2</u>	<u>0.7±3.1</u>	<u>0.0±0.3</u>	<u>0.0±0.4</u>	<u>0.0±3</u>	<u>0.3±3.1</u>	<u>0.0±0.3</u>	<u>0.0±0.3</u>	<u>0.9±4.5</u>	<u>0.0±4.4</u>	<u>0.0±0.4</u>	<u>0.0±0.3</u>
V _d	<u>0.0±1.6</u>	<u>0.5±1.7</u>	<u>0.0±0.2</u>	<u>0.0±0.1</u>	<u>1±2.7</u>	<u>0.0±3.1</u>	<u>0.0±0.4</u>	<u>0.2±0.4</u>	<u>0.3±2.3</u>	<u>-0.2±2.5</u>	<u>-0.1±0.3</u>	<u>0.3±0.4</u>	<u>0.8±3.7</u>	<u>-0.4±3.8</u>	<u>-0.3±0.5</u>	<u>1.4±0.5</u>
sdist	<u>0.0±1.4</u>	<u>0.2±1.6</u>	<u>0.1±0.2</u>	<u>0.0±0.1</u>	<u>0.2±2.8</u>	<u>0.8±3.4</u>	<u>-0.3±0.4</u>	<u>0.2±0.4</u>	<u>0.0±2.9</u>	<u>0.6±3</u>	<u>-0.3±0.3</u>	<u>0.2±0.3</u>	<u>0.5±4.3</u>	<u>0.9±4.4</u>	<u>-0.1±0.4</u>	<u>0.4±0.5</u>
f_S	<u>-0.2±1.6</u>	<u>0.7±1.5</u>	<u>-0.6±0.1</u>	<u>0.4±0.2</u>	<u>-0.8±3.3</u>	<u>1.9±1.9</u>	<u>-1.5±0.1</u>	<u>0.4±0.3</u>	<u>-1.9±2.7</u>	<u>2.9±2.1</u>	<u>-1.5±0.1</u>	<u>1.7±0.5</u>	<u>-1.9±4.5</u>	<u>2.9±5</u>	<u>-1.3±0.2</u>	<u>3±1.1</u>
$f_{Background\ Germination}$	<u>0.2±1.5</u>	<u>0.6±1.7</u>	<u>0.0±0.1</u>	<u>0.1±0.2</u>	<u>0.0±3.5</u>	<u>0.1±2.7</u>	<u>0.0±0.3</u>	<u>0.1±0.2</u>	<u>-0.1±2.8</u>	<u>0.2±2.5</u>	<u>-0.1±0.3</u>	<u>0.1±0.3</u>	<u>0.7±4.1</u>	<u>0.9±3.7</u>	<u>0.0±0.3</u>	<u>0.2±0.4</u>
$f_{Weather\ Germination}$	<u>-0.1±1.6</u>	<u>0.6±1.5</u>	<u>-0.5±0.1</u>	<u>0.3±0.2</u>	<u>-0.6±3.6</u>	<u>0.8±2.8</u>	<u>-1.4±0.1</u>	<u>0.4±0.4</u>	<u>-1.5±3</u>	<u>2.2±2.8</u>	<u>-1.5±0.1</u>	<u>1.5±0.5</u>	<u>0.3±4.3</u>	<u>3.3±7.2</u>	<u>-1.1±0.2</u>	<u>3±1.6</u>
$age_{Maximum Seeds}$			<u>-0.4±0.1</u>	<u>0.2±0.2</u>			<u>-1.1±0.2</u>	<u>0.2±0.4</u>			<u>-1.2±0.1</u>	<u>0.6±0.4</u>			<u>-0.9±0.2</u>	<u>0.8±0.6</u>
P _{Seed Mortality} , Cones	<u>0.6±1.6</u>	<u>-0.3±1.6</u>	<u>0.3±0.2</u>	<u>-0.5±0.1</u>	<u>0.6±3</u>	<u>-0.4±2.2</u>	<u>0.4±0.3</u>	<u>-1.3±0.2</u>	<u>1.6±2.7</u>	-1.5±1.6	<u>1.5±0.6</u>	<u>-1.4±0.1</u>	<u>2.6±5.4</u>	<u>-0.6±3.9</u>	<u>2.9±2.1</u>	<u>-1.1±0.2</u>
P _{Seed Mortality,} Ground	<u>0.1±1.5</u>	<u>-0.1±1.3</u>	<u>0.2±0.2</u>	<u>-0.1±0.1</u>	<u>0.1±3</u>	<u>-0.1±3.4</u>	<u>0.3±0.4</u>	<u>-0.3±0.3</u>	<u>0.1±2.7</u>	<u>-0.5±2.7</u>	<u>0.6±0.4</u>	<u>-0.5±0.2</u>	<u>1.3±4.1</u>	<u>0.6±3.5</u>	<u>0.6±0.5</u>	<u>-0.4±0.2</u>
H_t	<u>0.4±1.3</u>	<u>0.2±1.7</u>	<u>0.0±0.2</u>	<u>0.0±0.1</u>	<u>0.1±3.1</u>	<u>-0.1±3.1</u>	<u>-0.2±0.3</u>	<u>0.1±0.4</u>	<u>0.3±2.8</u>	<u>0.0±2.8</u>	<u>-0.3±0.2</u>	<u>0.2±0.4</u>	<u>0.0±3</u>	<u>0.2±3.7</u>	<u>-0.5±0.3</u>	<u>0.7±0.5</u>
$\overline{ heta}$	<u>0.0±1.4</u>	<u>0.2±1.4</u>	<u>0.0±0.2</u>	<u>0.0±0.2</u>	<u>-0.4±2.2</u>	<u>1.5±3</u>	<u>0.1±0.3</u>	<u>0.3±0.4</u>	<u>-0.4±2.2</u>	<u>1.8±3.1</u>	<u>0.1±0.4</u>	<u>0.3±0.4</u>	<u>-0.1±5.7</u>	<u>2.9±3.8</u>	<u>0.3±0.4</u>	<u>0.7±0.5</u>
V_w	<u>0.1±1.6</u>	<u>0.1±1.7</u>	<u>0.1±0.2</u>	<u>0.0±0.1</u>	<u>0.2±2.9</u>	<u>0.2±3.2</u>	<u>-0.3±0.3</u>	<u>0.2±0.4</u>	<u>0.1±2.5</u>	<u>0.3±3.8</u>	<u>-0.4±0.2</u>	<u>0.2±0.4</u>	<u>0.3±3.3</u>	<u>1.6±3.7</u>	<u>-0.6±0.4</u>	<u>0.7±0.3</u>
P _{Seed Release}	<u>-0.1±1.5</u>	<u>0.4±1.7</u>	<u>-0.5±0.1</u>	<u>0.3±0.2</u>	<u>-0.1±2.9</u>	<u>0.3±3.2</u>	<u>-1.3±0.2</u>	<u>0.4±0.4</u>	<u>-0.7±2.5</u>	<u>1.4±3</u>	<u>-1.4±0.1</u>	<u>1.2±0.5</u>	<u>-0.5±3.3</u>	<u>2±3.9</u>	<u>-1.1±0.2</u>	<u>1.9±1.4</u>
¹ The integer variable r	naximum	age of s	eeds was	excluded	from the	5% char	ige sensit	ivity analy	ysis havir	ngas only	for 50%	changes h	had valid	values.		

Table 4. Sensitivity values of the model's results assessed by mean distance per pollination event into an area of 20 x 20 m in the north, middle, and south of 100-m-wide and 1-km-long transects. Bold values are highly significant with p<0.01, italic with p<0.05, and greavy values non-significantly different from the reference run.

Parameter	<u>Sensitivity</u>			
	<u>S-5%</u>	<u>S+5%</u>	<u>S-50%</u>	<u>S+50%</u>
North (influx from south)				
κ	-0.05±0.33	<u>-0.07±0.28</u>	<u>0.01±0.03</u>	<u>0.01±0.03</u>
С	0.08±0.31	<u>-0.02±0.28</u>	<u>-0.01±0.03</u>	<u>0.00±0.03</u>
m	<u>0.07±0.28</u>	<u>-0.11±0.27</u>	<u>-0.03±0.03</u>	<u>0.02±0.03</u>
$V_{d, Pollen}$	0.05±0.31	<u>0.14±0.29</u>	<u>0.01±0.03</u>	<u>-0.01±0.03</u>
$\overline{\theta}$	0.05±0.31	0.02±0.24	<u>-0.01±0.03</u>	<u>-0.01±0.03</u>
Middle (influx from all directions)				
κ	0.02±0.54	<u>0.17±0.62</u>	0.00±0.07	<u>0.02±0.06</u>
С	<u>0.1±0.58</u>	<u>0.22±0.6</u>	<u>-0.02±0.06</u>	<u>0.02±0.06</u>
m	<u>-0.04±0.61</u>	<u>0.11±0.63</u>	<u>-0.03±0.07</u>	0.05±0.06
V _{d, Pollen}	0.07±0.69	-0.08±0.62	<u>0.01±0.05</u>	<u>-0.01±0.06</u>
$\overline{\theta}$	<u>0.01±0.66</u>	<u>0.3±0.61</u>	<u>-0.01±0.06</u>	<u>0.04±0.06</u>
<u>South (influx from north)</u>				
κ	<u>0.03±0.43</u>	-0.04±0.38	0.00±0.04	<u>0.01±0.04</u>
С	<u>-0.01±0.4</u>	0.01±0.37	0.00±0.05	0.00±0.04
m	-0.07±0.41	-0.06±0.37	<u>-0.01±0.04</u>	0.01±0.04
V _{d, Pollen}	<u>0.08±0.39</u>	<u>0.09±0.37</u>	0.00±0.04	<u>-0.01±0.04</u>
$\overline{\theta}$	<u>-0.05±0.36</u>	<u>0.06±0.39</u>	<u>-0.01±0.04</u>	0.00±0.04

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 Table 2. Pollination event comparison between simulated and expected values. The statistics are based on 50 simulation repeats.

 Significant differences between expected and simulated distances tested with a Welch two-sample t-test.

Cardinal direction of winds	Direction of pollination [°]	Distance (simulated/expected) [m]	Fraction of observations (simulated/expected) [%]	Fraction of significant differences
North	135-165	44 .32/43.79	9.00/8.39	20%
	165-195	39.03/38.90	<u>81.53/82.68</u>	4 %
	195-225	4 0.03/40.19	9.47/8.93	14%
South	315-345	4 0.94/40.47	7.55/7.92	12%
	-15-15	36.98/37.90	<u>82.92/82.8</u>	6%
	15-45	4 2.76/40.84	9.51/9.28	22%
	4 <u>5-75</u>	53.80/-	0.01/0.00	<u>*</u>

* only one observation, thus excluded from further analyses.

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1. Supplement

<u>1.1. Verification of wind-dependency</u>

1.1.1. Simulation setup

The simulation experiments were conducted on a 200 x 200 m plot using the model with the new processes for verification.

- 5 Populations were initiated on empty areas by randomly distributing a fixed number of seeds during the first 100 years of a 1,000 year long stabilisation period. The simulation model randomly drew weather conditions for each year from the complete available period 1934–2013 during the stabilisation period. In the final 80 simulation years, the actual weather data were used. First, we performed simulation experiments with constant wind conditions to verify the implemented dispersal processes. Wind forcing was from the north or from the south, both with a constant wind speed of 10 km h⁻¹. Simulations were repeated
- 10 50 times with an input of 250 seeds per year during initialisation. Second, we evaluated the functionality of the seed dispersal function by forcing the model with wind data from the reanalysis data set ERA-Interim (Balsamo et al., 2015). Simulations were repeated 10 times and population growth initiated by introducing 100 seeds per year during initialisation.
- The dispersal distance and direction as well as the release height of every 100th seed dispersal event were recorded during each year of the complete simulation run. Pollination was assessed by recording the distance and direction from the selected pollen sources to the seed positions prior to seed release of all tree individuals present at the final year of the simulation.

1.1.2. Evaluation of dispersal processes

The seed dispersal and pollination distances were evaluated by calculating the mean dispersal distances for both processes in bins of 30° cardinal directions over the complete simulation run for years with available wind data (1979–2012). In addition,

20 we selected two years with contrasting wind patterns, the year 1990 with predominant winds from the Eeast, and 1998 from the wWest. The results were qualitatively compared to observed values from the literature.
During the simulations, we recorded only one pollination event per tree per year reaching the central area of 20 x 20 m of the

plot and every 100th seed dispersal event.

1.1.3. Analyses

25 We verified the wind-dependent seed dispersal by comparing the simulation results with the simulated results from the implemented dispersal function and refer to these values as 'expected'. Therefore, we estimated the dispersal distances with the implemented dispersal functions (seeds Eq.1 and pollen Eq.5) under the same conditions as used for driving the model, namely winds from north or south with a constant speed of 10 km h⁻¹. The observed mean log-transformed (to achieve normal distribution) dispersal distances were compared to each other with a Welch two-sample t-test. We compared simulated to expected dispersal distances in each wind direction in height classes of trees in 10% steps of the sorted values, excluding the

minimum and maximum values where only few observations could be recorded. The simulated data values in the range of $\pm 5\%$ at the determined height classes were first tested for an interaction between the release height and the dispersal distance with a Spearman's rank-based measure of association (rho) and a t-test. Then we compared the simulated distances for each height class to the expected distances, both log-transformed prior to analysis, with a t-test. Furthermore, we compared the dispersal in both directions to each other using the same procedure.

35 dispersal in both directions to each other using the same procedure. The simulated pollination distances were compared to estimates from the implemented function under the same conditions, namely the adult tree's² position and constant north or south winds with a speed of 10 km h⁻¹. The resulting three-dimensional pollination distribution probabilities at simulation year 2011 (first year of field-work) were binned to cardinal directions in steps of 30°, starting with nNorth between 345° and 15°. The simulated and directly estimated log-transformed pollination

40 <u>distances were tested for differences in each individual direction with a Welch two-sample t-test for cases with >10</u> observations. We tested whether the mean of the p-values is significantly greater than 0.01 with a Student t-test.

1.1.4. Results

All simulated values were highly correlated to the directly estimated distances (p>0.05, Table S2; Fig. 2). The mean log-transformed simulated distances in a north or south direction were not different when comparing releasing trees of the same

45 <u>height (p>0.05, Table S3).</u>

The distances, simulated and expected from direct calculation, are more similar for those pollination directions with more samples, and only 4-6% of tests show significant differences (Table S4). The higher distance values for these directions may be based on the uniform sampling of fathers and the associated smaller sample sizes in these directions. In all comparisons, we find no evidence that the differences are significant (Table S5).

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Table S1 Model structures and memory consumption as the estimated mean over a typical dense forest plot of one hectare, with
80 years climate forcing data and an additional 1,000 years initialising phase.

Structure	Substructure	Total for each element in structure	Total in each simulation
		[b]	[kb]
Parameter		642	0.64
Weather ¹	Year(i)	390	31.2
Environment ²	Grid(i)	54	2,700
Trees ³	Tree(i)	120	3,000
Seeds ⁴	Seed(i)	98	9,016
Evaluation ⁵	Evaluation(i)	117	105.86
TOTAL			14,853.7

1: with 80 years of weather input data on a monthly basis;

2: 50,000 grid tiles at a resolution of 20 x 20 cm tiles on a hectare;

3: ~25,000 larch individuals appear per hectare in simulations of a typical dense forest plot;

4: ~92,000 larch seeds are present on a hectare of a typical dense forest plot simulation;

5: the length of the simulation is calculated with 1,000 years stabilization and 80 years simulation phase

Table S2.— Comparison of simulated to directly estimated seed dispersal from the implemented functions of north and south winds at the same observed release heights <u>calculated for each direction separatedly</u>.

	Tree height	Significance	D <u>egrees</u>	Statistic	Distances	[]					
direction	[cm]	value (p)	<u>of</u> freedom .f.	value (t)	percentile 0%	[m] 1%	25%	50%	75%	99%	100%
North	215	0.405	1067	0.832	0.1	0.8	4.0	6.2	9.1	60.8	214,580.7
	274	0.272	1049	1.100	0.1	0.9	5.1	7.9	11.4	58.5	839,991.5
	337	0.317	1048	-1.001	0.1	1.2	6.2	9.7	13.9	65.4	106,316.3
	406	0.356	1034	-0.924	0.1	1.5	7.6	11.7	16.7	70.7	7,540,295.2
	486	0.119	1027	-1.561	0.2	1.7	9.0	13.8	19.9	75.6	1,800,875.2
	580	0.971	1038	-0.036	0.2	2.0	10.8	16.6	23.7	79.8	295,290.7
	698	0.815	1047	0.234	0.2	2.4	12.8	20.0	28.3	90.7	366,330.3
	860	0.393	1056	0.855	0.1	3.0	15.8	24.5	35.0	99.6	22,430,488.9
South	214	0.328	1081	0.979	0.1	0.8	4.0	6.3	9.1	54.8	142,464.5
	270	0.049	1054	1.969	0.1	0.9	5.0	7.8	11.3	56.0	1,386,968.5
	330	0.721	1054	-0.358	0.1	1.2	6.2	9.5	13.7	65.2	316,950.6
	397	0.796	1045	0.258	0.1	1.4	7.4	11.4	16.3	67.7	3,506,206.1
	476	0.440	1040	-0.772	0.1	1.7	8.8	13.6	19.4	69.2	15,711,413.0
	570	0.794	1036	0.262	0.1	2.0	10.6	16.4	23.3	78.3	160,559.1
	685	0.361	1033	-0.914	0.2	2.5	12.6	19.7	27.9	89.0	1,290,583.5
	851	0.306	1058	-1.025	0.2	2.9	15.7	24.3	34.6	104.8	1,459,702.6

Table S3.— Comparison of seed dispersal distances in simulations forced with winds from north and south directio	ons.
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Wind	Tree height	Distances	[]						Significance		Statistic
direction	[cm]	percentile	[m]	250/	F 00/	750/	0.00/	100%	value (p)	freedom _D	value (t)
		0%	1%	25%	50%	75%	99%	100%		f.	
North	215	0.1	0.7	4.0	6.2	9.0	60.2	21 <mark>,</mark> 4 <u>,</u> 580.7	0.336	58 <mark>,</mark> 956	-0.961
South		0.1	0.8	4.0	6.3	9.1	55.9	14 <mark>,</mark> 2 <u>,</u> 464.5			
North	272	0.1	0.9	5.1	7.8	11.3	58.8	9 <mark>,</mark> 4 <u>,</u> 025.3	0.235	88 <mark>,</mark> 336	-1.189
South		0.1	0.9	5.1	7.9	11.3	58.3	1 <u>,</u> 38 , 6 <u>,</u> 968.5			
North	333	0.1	1.2	6.1	9.6	13.8	65.0	10 <mark>,</mark> 6 <u>,</u> 316.3	0.047	91 <u>,</u> 926	-1.985
South		0.1	1.2	6.2	9.6	13.9	67.1	31 , 6 <u>,</u> 950.6			
North	402	0.1	1.4	7.5	11.6	16.5	71.2	7 <u>,</u> 54 ₇ 0,295.2	0.164	100 <u>,</u> 519	1.393
South		0.1	1.4	7.4	11.5	16.4	68.6	2 <u>,</u> 26 , 0 <u>,</u> 443.8			
North	481	0.2	1.7	8.9	13.7	19.7	73.8	1 <u>,</u> 80 , 0 <u>,</u> 875.2	0.388	101 <u>,</u> 366	0.864
South		0.1	1.7	8.9	13.7	19.6	70.3	32 <mark>,</mark> 222,285.9			
North	575	0.1	2.0	10.7	16.5	23.5	80.5	29 <mark>,</mark> 5 <u>,</u> 290.7	0.565	101 <u>,</u> 072	0.576
South		0.1	2.0	10.7	16.6	23.6	79.8	16 , 0 <u>,</u> 559.1			
North	692	0.2	2.4	12.7	19.8	28.1	91.8	36 <mark>,</mark> 6 <u>,</u> 330.3	0.230	92 <mark>,</mark> 599	-1.201
South		0.2	2.5	12.8	19.9	28.2	89.8	1 <u>,</u> 29 , 0 <u>,</u> 583.5			
								2 <mark>,2</mark> ,43,0,488.			
North	856	0.1	3.0	15.7	24.4	34.8	99.3	9	0.921	74 <mark>,</mark> 922	0.100
South		0.2	2.9	15.7	24.5	34.7	105.8	1 <u>,</u> 45 , 9 <u>,</u> 702.7			

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<u>Table S4. Pollination event comparison between simulated and expected values. The statistics are based on 50 simulation repeats.</u> Significant differences between expected and simulated distances tested with a Welch two-sample t-test.

Cardinal direction of winds	Direction of pollination [°]	Distance (simulated/expected) [m]	<u>Fraction of observations</u> (simulated/expected) [%]	<u>Fraction of</u> <u>significant</u> <u>differences</u>
North	<u>135-165</u>	44.32/43.79	9.00/8.39	20%
	<u>165-195</u>	39.03/38.90	81.53/82.68	<u>4%</u>
	<u>195-225</u>	<u>40.03/40.19</u>	<u>9.47/8.93</u>	<u>14%</u>
South 1	<u>315-345</u>	<u>40.94/40.47</u>	<u>7.55/7.92</u>	<u>12%</u>
	<u>345-15</u>	<u>36.98/37.90</u>	<u>82.92/82.8</u>	<u>6%</u>
	<u>15-45</u>	<u>42.76/40.84</u>	<u>9.51/9.28</u>	<u>22%</u>
	<u>45-75</u>	<u>53.80/-</u>	<u>0.01/0.00</u>	*

* only one observation, thus excluded from further analyses.

Table S4-<u>**Table S5.**</u>- Comparison of pollination dispersal distances with Student's t-test of the mean p-values at a significance level of 0.01. Only cases with >10 pollination events of the five trees producing most seeds were considered.

Cardinal direction of winds	Wind direction [°]	Mean p- value	Significance value (p)	d.f. <u>Degrees</u> of freedom	Statistic value (t)
North	165-195	0.449	<0.001	49	10.133
	135-165	0.277	< 0.001	38	5.629
	195-225	0.406	< 0.001	37	6.704
South	<u>345-15</u> -15	0.415	< 0.001	49	10.171
	15-45	0.302	< 0.001	40	5.734
	315-345	0.408	<0.001	39	8.315

75 Table S5. Table S6. Test statistics for generalised nonparametric regression analyses (significance level: *** p<0.001).

Simulation version	Model formula	<u>Aikaike's</u>	Dispersion parameter for Gaussian family	Model term D.f.<u>Degree</u> <u>s of</u>		<u>of</u>	statistic	significance
		Information Criterion (AIC)						
					freedom	<u>squares</u>	value	
+POLLEN_PAR A	t~Nt	<u>964</u> 1722	0.17	Nt	1	10160.4	59340.3	**
				Residuals	1076	184.2		
	t~Ns	<u>1042</u> 1167	0.29	Ns	1	9093.8	31780.8	**
				Residuals	1076	307.9		
	t~Nt+Ns	<u>11671042</u>	0.15	Nt	1	9879.2	65019.1	**
				Ns	1	7.3	48.2	**
				Residuals	1072	162.9		
	t~Nt+Ns+Nt:Ns	<u>1722</u> 964	0.14	Nt	1	10688.2	75655.2	**
				Ns	1	705.7	4995.0	**
				Nt:Ns	1	1843.4	13048.4	**
				Residuals	1071	151.3		
+POLLEN	t~Nt	<u>884</u> 1754	0.17	Nt	1	9730.8	56047.3	**
				Residuals	1077	187.0		
	t~Ns	<u>975</u> 1183	0.29	Ns	1	8857.5	30113.9	**
				Residuals	1077	316.8		
	t~Nt+Ns	<u>1183</u> 975	0.14	Nt	1	9472.3	66387.8	**
				Ns	1	9.0	63.3	**
				Residuals	1073	153.1		
	t~Nt+Ns+Nt:Ns	<u>1754</u> 884	0.13	Nt	1	10215.8	77934.1	**
				Ns	1	582.7	4445.4	**
				Nt:Ns	1	1647.4	12567.5	**
				Residuals	1072	140.5		
+POLLEN_PAR B	t∼Nt	<u>734</u> 1596	0.15	Nt	1	9923.8	64828.7	**
				Residuals	1075	164.6		
	t~Ns	<u>833</u> 1045	0.25	Ns	1	8939.3	35072.6	**
				Residuals	1075	274.0		
	t~Nt+Ns	<u>1045</u> 833	0.13	Nt	1	9583.7	76495.4	**
				Ns	1		166.2	**
				Residuals	1071	134.2		
	t~Nt+Ns+Nt:Ns	<u>1596</u> 734	0.11	Nt	1	10405.4		**
				Ns	1	681.4		**
				Nt:Ns		1705.2	14927.1	**
				Residuals	1070	122.2		
SEED	t~Nt	<u>-403</u> 40	0.05	Nt	1	1440.4	30730.1	**
				Residuals	1075	50.4		
	t~Ns	<u>-375-233</u>	0.06	Ns	1	1317.6	21817.7	**
				Residuals	1075	64.9		
	t~Nt+Ns	<u>-233</u> -375	0.04	Nt	1	1396.3	34099.6	**
				Ns	1	9.4	229.9	**
				Residuals	1071	43.9		
	t~Nt+Ns+Nt:Ns	<u>40</u> -403	0.04	Nt	1	1512.3	37915.5	**
				Ns	1	37.9		**
				Nt:Ns	1		7243.1	**
				Residuals	1070	42.7		