



## 1 Simulating the effect of tillage practices with the global

### 2 ecosystem model LPJmL (version 5.0-tillage)

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13 14 Abstract. The effects of tillage on soil properties (e.g. soil carbon and nitrogen), crop productivity, and global 15 greenhouse gas emissions have been discussed in the last decades. Global ecosystem models are limited in 16 simulating tillage. Hence, they do not allow for analyzing the effects of tillage and cannot evaluate, for example, 17 reduced-tillage or no-till as mitigation practices for climate change. In this paper, we describe the 18 implementation of tillage related practices in the global ecosystem model LPJmL. The model is subsequently 19 evaluated against reported differences between tillage and no-till management on several soil properties. To this 20 end, simulation results are compared with published meta-analysis on tillage effects. In general, the model is able 21 to reproduce observed tillage effects on global, as well as regional patterns of carbon and water fluxes. However, 22 modelled N-fluxes deviate from the literature and need further study. The addition of the tillage module to 23 LPJmL 5.0 opens opportunities to assess the impact of agricultural soil management practices under different 24 scenarios with implications for agricultural productivity, carbon sequestration, greenhouse gas emissions and 25 other environmental indicators.

### 26 1 Introduction

27 Agricultural fields are tilled for various purposes, including seedbed preparation, incorporation of residues and 28 fertilizers, water management and weed control. Tillage affects a variety of biophysical processes that affect the 29 environment, such as greenhouse gas emissions or soil carbon sequestration and can promote various forms of 30 soil degradation (e.g. wind-, water- and tillage-erosion), leaching and runoff. Reduced-tillage or no-till is being 31 promoted as a strategy to mitigate greenhouse gas (GHG) emissions in the agricultural sector (Six et al., 2004; 32 Smith et al., 2008). There is an ongoing long-lasting debate about tillage and no-till effects on soil organic 33 carbon (SOC) and GHG emissions (Schlüter et al., 2018). In general, reduced- or no-till tends to increase SOC storage through a reduced decomposition and thereby reducing GHG emissions (Chen et al., 2009; Willekens et 34 al., 2014). However, several field studies have shown contradictory results (Grandy et al., 2006; van Kessel et 35 36 al., 2013; Lugato et al., 2018; Powlson et al., 2014; Zhao et al., 2016). This is not surprising as tillage affects a 37 complex set of biophysical factors. The effect of reduced-tillage or no-till impacts on SOC storage and GHG 38 emissions varies depending on climate and soil conditions that influence plant and soil processes driving 39 decomposition (Díaz-Zorita et al., 2002; Ogle et al., 2005).

40 In order to study the role of tillage for biogeochemical cycles, crop performance and mitigation practices, the 41 effects of tillage on soil physical properties need to be represented in ecosystem models. Though tillage is





- 42 already implemented in other ecosystem models in different levels of complexity (Lutz et al., under review;
- 43 Maharjan et al., 2018), tillage practices in global ecosystem models are currently underrepresented.
- 44 This paper describes new routines as implemented into the Lund Potsdam Jena managed Land (LPJmL5)
- 45 (von Bloh et al., 2018) that allows for studying different tillage practices. This enables us to quantify the effects
- 46 of different tillage practices on biogeochemical cycles, crop performance and assessing questions related to 47 agricultural mitigation practices.

### 48 2 Tillage effects on soil processes

49 Tillage affects different soil properties and soil processes, which result in a complex system with various 50 feedbacks on soil water, temperature and carbon (C) and nitrogen (N) related processes (Fig. 1). Some processes 51 are not taken into account in this initial implementation (e.g. soil compaction and water erosion) to limit model 52 complexity, despite acknowledging that these processes can be important.

The effect of tillage has to be implemented and analyzed in conjunction with residue management as these management practices are often inter-related. The degree to which properties and processes are affected mainly depends on the tillage intensity. We here describe few selected processes (identified by numbered elements in Fig. 1), without distinguishing tillage intensities, even though these can be parametrized in LPJmL.

57 The presence of a residue layer on top of the soil column tends to increase water infiltration [1] by 58 intercepting part of the rainfall, limiting soil crusting and reducing runoff (Ranaivoson et al., 2017). Moreover, it 59 tends to lower soil evaporation [2] and to reduce the amplitude of soil temperature [3] (Enrique et al., 1999; 60 Steinbach and Alvarez, 2006). Incorporating residues into the soil increases the soil organic matter (SOM) 61 content of the tilled soil layer [4], while the bulk density of the tilled soil layer is decreased [5] (Green et al., 2003). An increase in SOM will positively affect the porosity and therefore the soil water holding capacity 62 63 (WHC) [6] (Minasny and McBratney, 2018). The result of a decrease in bulk density affects the WHC through 64 the porosity [7]. A change in WHC affects several water related processes. For instance, an increase in WHC 65 reduces lateral runoff and leaching [8], whereas infiltration can be enhanced as the soil can store more soil moisture [9], which is beneficial for plant access to water [10]. The soil temperature is strongly related to soil 66 67 moisture [11], through the heat capacity of the soil, i.e. a relatively wet soil heats up much slower than a 68 relatively dry soil (Hillel, 2004). Changes in soil moisture and soil temperature influence several processes, 69 including the rate of SOM mineralization [12]. The rate of mineralization affects the amount of CO<sub>2</sub> emitted 70 from soils [13] and the inorganic N content of the soil. Inorganic N can then be taken up by plants [14], be lost as 71 N<sub>2</sub>O [15], or transformed in other forms of N (not shown). After the soil has been tilled, due to gravitational 72 forces and precipitation, the soil over time consolidates, which means it slowly returns to its original density 73 level before it was tilled.

74 [Fig. 1]

### 75 **3** Implementation of tillage routines into LPJmL

### 76 **3.1 LPJmL model description**

77 The tillage implementation described in this paper was introduced into the dynamical global vegetation,

hydrology and crop growth model LPJmL (version 5), which was recently extended by a terrestrial N cycle to





also account for nutrient limitations (von Bloh et al., 2018). Previous comprehensive model descriptions and developments can be found in Schaphoff et al. (2018). The LPJmL model simulates the C, N and water cycles and explicit biophysical processes in plants (e.g. photosynthesis) and soil (e.g. mineralization of N and C). The water cycle explicitly considers evaporation, transpiration, soil infiltration and runoff. Soils in LPJmL are represented by five hydrologically active layers, each with a distinct layer thickness. The first soil layer, which is mostly affected by tillage, is 0.2 m deep. The following soil layers are 0.3, 0.5, 1.0 and 1.0 m thick, respectively, followed by a 10.0 m bedrock layer.

In LPJmL5, all organic matter pools are represented as C and N pools with variable C:N ratios (Appendix – 86 87 Fig. 5a). The fraction of residues, which are harvested, can range between almost fully harvested (90%, Bondeau 88 et al. 2007) or none, when all residues are left on the field. The C and N content in the residues that are not harvested (>10%) are transferred to the above-ground litter pool (Litterag). The C and N content in crop roots 89 90 are transferred to the below-ground litter pool (Litter<sub>bg</sub>). The litter pools are then subject to decomposition, 91 after which the humified products are transferred to one of the SOM pools. The SOM pools consist of a fast pool 92 with a turnover time of 30 years, and a slow pool with a 1000 year turnover time (Schaphoff et al., 2018). 93 Carbon, water and N pools in vegetation and soils are updated daily as the result of computed processes 94 (photosynthesis, autotrophic respiration, growth, transpiration, evaporation, infiltration, percolation, 95 mineralization, nitrification, leaching and many more; for a full description see Bloh et al. (2018)). LPJmL5 has been evaluated extensively and demonstrated that the model performs credibly for reproducing C,- water and N 96 97 fluxes in both agricultural and natural vegetation on various scales (Bloh et al., 2018; Schaphoff et al., 2018b).

### 98 3.2 Litter pools

In order to take care of residue management resulting to tillage, we have introduced an incorporated litter pool (*Litter<sub>inc</sub>*) and a surface litter pool (*Litter<sub>surf</sub>*). Crop residues not collected from the field are transferred to *Litter<sub>surf</sub>*. A fraction of residues from *Litter<sub>surf</sub>* is then partially or fully transferred to the incorporated litter pool (*Litter<sub>inc</sub>*), depending on the tillage practice;

103 104  $Litter_{inc} = Litter_{inc} + Litter_{surf} \cdot TL$ , (1) 105 106 and the *Litter<sub>surf</sub>* pool is reduced accordingly; 107 108  $Litter_{surf} = Litter_{surf} \cdot (1 - TL),$ (2)109 110 where litterinc is the amount of incorporated surface litter C and N (treated separately but accounting for actual C:N ratios of the pools) in g m<sup>2</sup> after tillage. The parameter TL is the tillage efficiency, which determines the 111 112 fraction of residues which are incorporated by tillage (0-1). To account for the vertical displacement of litter 113 through bioturbation under natural vegetation and under no-till conditions, we assume that 0.2% of the 114 Litter<sub>surf</sub> is transferred to Litter<sub>inc</sub> per day (equivalent to an annual bioturbation rate of 50%).



(3)



- 115  $Litter_{inc}$  and  $Litter_{surf}$  are subject to decomposition. The decomposition of  $Litter_{inc}$  depends on soil moisture 116 and temperature of the first soil layer, similar to  $Litter_{ag}$  as described in Schaphoff et al. (2018). The
- 117 decomposition of *Litter<sub>surf</sub>* is described below.

### 118 3.2.2 Decomposition

- 119 The decomposition of litter depends on the temperature and moisture of its surroundings. For the litter pools 120 within the soil column (Litter<sub>bg</sub> and Litter<sub>inc</sub>) decomposition depends on soil moisture and soil temperature of 121 the upper soil layer, whereas the decomposition of the Litter<sub>surf</sub> depends on its own temperature and moisture, 122 which are approximated by the model (Eq. (5), (12)). As the litter decomposes, a fixed fraction of the C is 123 mineralized, i.e., emitted as CO<sub>2</sub> (70%), whereas the remaining humified C is transferred to the soil C pools 124 following the usual litter and soil decomposition rules as described by von Bloh et al. (2018) and Schaphoff et al. 125 (2018). The mineralized N (also 70%) of the decomposed litter is added to the ammonium pool of the first soil 126 layer, where it is subjected to further transformation (von Bloh et al., 2018), whereas the humified organic N is 127 allocated to the different organic soil N pools in the same shares as the humified C. The decomposition of litter 128 decom (in g C m<sup>-2</sup> day<sup>-1</sup>) is described by first-order kinetics (Eq. 3), following Sitch et al. (2003); 129
- 130  $decom = Litter \cdot (1 e^{-(k \cdot response)}),$
- where k is a decomposition rate in day<sup>1</sup> (specific for each "plant functional type") and *response* the litter response function, which depends on the litter temperature ( $T_{litter}$  in °C) and litter moisture (S in mm);
- 134

136

131

135  $response = T_{litter} \cdot (0.04021601 - 5.00505434 \cdot (S^3) + 4.26937932 \cdot (S^2) + 0.71890122 \cdot S).$  (4)

 $T_{litter}$  is calculated as an average of soil temperature and air temperature. *S* depends on the water holding capacity of the litter layer (*WHC*<sub>litter</sub>), the fraction of residue cover (*Cover*<sub>surf</sub>) and the amount of water captured by the litter layer (*Infil*<sub>surf</sub>).

### 137 3.3 Water fluxes

### 138 **3.3.1 Litter infiltration**

139 Precipitation and applied irrigation water in LPJmL5 is partitioned into interception, transpiration, soil 140 evaporation, soil moisture and runoff (Jägermeyr et al., 2015). To account for the interception and evaporation of 141 water by the surface cover, the water can now also be captured by  $Litter_{surf}$  by infiltration ( $Infil_{surf}$ ) and be 142 lost through litter evaporation. Surplus water that cannot infiltrate into the  $Litter_{surf}$  layer, i.e. more than 143  $WHC_{litter}$ , infiltrates into the first soil layer. Litter moisture (S) is calculated in the following way:

144

145 
$$S_{(t+1)} = \min(WHC_{litter} - S_{(t)}, Infil_{surf} \cdot Cover_{surf}).$$
(5)

146

147 Cover<sub>surf</sub> is calculated by adapting the equation from Gregory (1982) that relates the amount of residues (dry

148 matter) per  $m^2$  to the fraction of soil covered by crop residue;





	- A dittor
150	$Cover_{surf} = 1 - exp^{-A_m \cdot Litter_{surfOM}},\tag{6}$
151	
152	where <i>Litter<sub>surfOM</sub></i> is the total mass of dry matter residues in g m <sup>-2</sup> and $A_m$ is the area covered per mass of crop
153	specific residue $(m^2 g^{-1})$ . The total mass of residues is calculated in the following way:
154	Litton = Litton = CE
155	$Litter_{surfoM} = Litter_{surfC} \cdot CF_{SOM}, \tag{7}$
156	where Litter <sub>surfOM</sub> is the total mass of residues in g SOM $m^{-2}$ , Litter <sub>surfC</sub> is the amount of C stored in
157	
158	<i>Litter<sub>surf</sub></i> in g C m <sup>-2</sup> . To get the total amount of <i>SOM</i> in <i>Litter<sub>surfOM</sub></i> , we apply a factor of 2 ( <i>CF<sub>SOM</sub></i> ), based on
159	the assumption that organic matter is 50% C, as in Pribyl (2010). We apply the average value of 0.004 for $A_m$
160	from Gregory (1982) to all materials, neglecting variations in surface cover for different materials. $WHC_{litter}$
161 162	(mm) is calculated by multiplying the <i>WHC</i> of a kg of litter (set to $2 \cdot 10^{-3}$ mm kg <sup>-1</sup> SOM) with the litter mass
102	( <i>Litter<sub>surfOM</sub></i> ) following Enrique et al. (1999).
163	3.3.2 Litter and soil evaporation
164	Evaporation ( <i>Evap<sub>Litter</sub></i> , in mm) from <i>Cover<sub>Surf</sub></i> , is calculated in a similar manner as evaporation from the first
165	soil layer where evaporation is a function of potential evapotranspiration (PET), evaporation available water
166	$(\omega_{litter})$ relative to $WHC_{litter}$ , vegetated cover ( <i>Cover<sub>veg</sub></i> ) and radiation energy (Schaphoff et al., 2018). Here,
167	also <i>Cover<sub>surf</sub></i> is taken into account so that the fraction of soil uncovered is subject to soil evaporation as
168	described in Schaphoff et al. (2018);
169	
170	$\omega_{litter} = S/WHC_{litter},\tag{8}$
171	
172	$Evap_{Litter} = PET \cdot \alpha \cdot \max(1 - Cover_{veg}, 0.05) \cdot \omega_{Litter}^2 \cdot Cover_{Surf}, $ (9)
173	
174	where PET is calculated based on the theory of equilibrium evapotranspiration (Jarvis and McNaughton, 1986)
175	and $\alpha$ the empirically derived Priestley-Taylor coefficient ( $\alpha = 1.32$ ) (Priestley and Taylor, 1972).
176	The presence of $Cover_{surf}$ reduces the evaporation of a soil layer $(Evap_{Soil})$ . $Evap_{Soil}$ (mm) occurs when there
177	is not a full $Cover_{Surf}$ ( $Cover_{Surf}$ < 1). $Evap_{Soil}$ corresponds to the soil evaporation as described in Schaphoff
178	et al. (2018), where $Evap_{Soil}$ depends on the available energy for vaporization of water and the available water
179	in the upper 0.3 m of the soil ( $\omega_{evap}$ ). However, the fraction of $Cover_{Surf}$ influences evaporation, i.e, a larger
180	fraction of $Cover_{Surf}$ results in a decrease in $Evap_{Soil}$ . $\omega$ is calculated as the evaporation-available water
181	relative to the water holding capacity in that layer $(WHC_{evap})$ ;
182	
183	$\omega = \min\left(1, \frac{\omega_{evap}}{WHC_{evap}}\right),\tag{10}$
184	
185	where $\omega_{evap}$ is all the water above wilting point of the upper layer (0.2 m) and one third of the second layer

(0.3 m) (Schaphoff et al., 2018); 





$$188 \quad Evap_{Soil} = PET \cdot \alpha \cdot \max(1 - cover_{vea}, 0.05) \cdot \omega^2 \cdot (1 - Cover_{Surf}). \tag{11}$$

### 189 3.4 Heat flux

190 The temperature of the litter layer is calculated as the average of soil temperature of the previous day of the first 191 layer ( $T_{Soil,l=1}$  in°C) and air temperature ( $T_{air}$  in°C), in the following way: 192

193 
$$T_{litter} = 0.5(T_{air} + T_{l=1}).$$
 (12)

194

Equation (12) is an approximate solution for the heat exchange described in Schaphoff et al. (2013). In contrast to Schaphoff et al. (2013), the upper boundary condition ( $T_{upper}$  in °C) is no longer equal to  $T_{air}$ , but is now calculated by the *Cover<sub>surf</sub>* weighted average of  $T_{air}$  and  $T_{litter}$ :

198

199 
$$T_{upper} = T_{air} \cdot (1 - Cover_{surf}) + T_{litter} \cdot Cover_{surf}.$$
 (13)

### 200 3.5 Tillage effects on physical properties

### 201 3.5.1 Hydraulic properties

202 Previous versions of the LPJmL model are using static soil hydraulic parameters as inputs, which were 203 calculated using the pedotransfer function (PTF) by Cosby et al. (1984). We now introduced a new approach 204 using the PTF by Saxton and Rawls (2006), which was included in the model in order to dynamically simulate permanent wilting point (PWP), field capacity (FC), saturation (SAT) and saturated hydraulic conductivity 205 206 (Ks). Owing to the effects of changes in SOM on hydraulic characteristics and on soil productivity, we included 207 a PTF which also takes organic matter content of the soil into account. Though several methods exist to calculate feedbacks of SOM (Pachepsky and van Genuchten, 2011; Wösten et al., 1999) on hydraulic properties, we chose 208Saxton and Rawls (2006) since -to our knowledge- it was the only PTF where SOM feedbacks on those specific 209 parameters were included. Other PTFs include texture only (Cosby et al., 1984; Rawls et al., 1982; Saxton et al., 210 211 1986) or calculate SOM effects on soil water parameters at continuous pressure levels (Van Genuchten, 1980; 212 Vereecken et al., 2010).

Dynamic soil water properties are now calculated on a daily time step via the PTF. The model considers twelve soil textural classes for productive soils, all with a specific percentage of silt, sand (*Sa* in %v) and clay (*Cl* in %v) and a 13<sup>th</sup> class for unproductive land, which is referred to as "rock and ice". The textural classes were derived following the approach by Cosby et al. (1984), who used the midpoint values of each textural class from the USDA textural soil triangle to determine the average percentage of the soil separates sand, silt and clay. These percentages are then used in the PTF to calculate specific soil hydraulic properties for each textural class. PTF following Saxton and Rawls (2006):

220

221  $PWP = 1.14 \cdot \lambda_{pwp} - 0.02,$  (14)

222 
$$FC = 1.238 \cdot (\lambda_{fc})^2 - 0.626 \cdot \lambda_{fc} - 0.015,$$
 (15)

223 
$$SAT = FC + 1.636 \cdot \lambda_{sat} - 0.097 \cdot Sa - 0.064,$$
 (16)





224	$\lambda_{pwp,fc,sat} = \alpha \cdot Sa + \beta \cdot C + \gamma \cdot SOM + \delta \cdot Sa \cdot SOM + \varepsilon \cdot Cl \cdot SOM + \rho \cdot Sa \cdot Cl + \sigma,$	(17)
224	$BD = (1 - SAT) \cdot MD.$	(17)
226		(10)
227 228	[Table 1]	
220	SOM is the soil organic matter content in weight percent (%w), BD is the bulk density in kg m <sup>-3</sup> , M	1D is the
230	mineral density of 2700 kg m <sup>-3</sup> . <i>SOM</i> is calculated using the slow and fast C pool as well as soil bulk	
231	This way, we ensure a feedback of organic material on soil water properties. SOM is calculated as follow	
232		0
233	$SOM = \frac{CF_{SOM}(SC_{fast} + SC_{slow})}{RD \cdot z} \cdot 100,$	(19)
	BD-z BD-z	(1)
234 235	where $SC_{fast}$ is the fast decaying C pool in kg m <sup>-2</sup> , $SC_{slow}$ is the slow decaying C pool in kg m <sup>-2</sup> , BD is	the bull
235	density in kg $m^{-3}$ and z is the thickness of the specific soil layer in m. It was suggested by Saxton ar	
230	(2006) that the PTF should not be used for high SOM values, so we only consider SOM of up to 5	
237	computing soil hydraulic properties. We treated soils with <i>SOM</i> content above this threshold as soils	
239	<i>SOM</i> content. Saturated hydraulic conductivity is also calculated using the PTF from Saxton and Raw	
240	in the following way:	15 (2000)
241		
242	$Ks = 1930 \cdot (SAT - FC)^{3-\phi},$	(20)
243		
244	$\phi = \frac{\ln(FC) - \ln(PWP)}{\ln(1500) - \ln(33)},$	(21)
245		
246	where Ks is the saturated hydraulic conductivity in mm h <sup>-1</sup> and $\phi$ is the slope of the logarithmic tension-	-moisture
247	curve.	
248	3.5.2 Bulk density	
240		
249	Effects of tillage for the tillage layer (first topsoil layer of 0.2 m) are accounted for by adapting BD after	0
250	which is then used to calculate a new SAT and FC. Ks is also newly calculated using $SAT_{till}$ and	
251	equation (23) and (24). A mixing efficiency $(mE)$ depending on the intensity and type of tillage, which	
252	specified as a parameter and ranges between 0 and 1, determines the <i>BD</i> after tillage, following the APE	
253	approach (Williams et al., 2015). An $mE$ of 0.90 represents a full inversion tillage practice, also k	
254	conventional tillage (White et al., 2010). Using $mE$ in combination with residue management after has	
255	are now able to simulate different tillage types and intensities, depending on the combination of settings	. The BD
256	change after tillage is following Williams et al. (2015):	
257 258	$f_{BDtill} = 1 - (1 - 0.667) \cdot mE.$	(22)
258 259	JBDtill - 1 - (1 - 0.007) - mL.	(22)
239 260	Tillage density effects on saturation and field capacity follow Saxton and Rawls (2006):	

262  $SAT_{till} = 1 - (1 - SAT_0) \cdot f_{BDtill},$ 

261

(23)





263  $FC_{till} = FC_0 - 0.2 \cdot (SAT_0 - SAT_{till}),$ 

(24)

264

where  $f_{BDtill}$  is the density effect on the top soil layer after tillage,  $SAT_{till}$  and  $FC_{till}$  are adjusted saturation and field capacity after tillage and SAT<sub>0</sub> is the saturation before tillage.

### 267 **3.5.3 Reconsolidation of tillage effect**

Depending on the structural composition of the soil and the amount of precipitation after the tillage event, with time the tilled soil layer reconsolidates to its state before tillage, also known as soil settling. This way the porosity and *BD* changes caused by tillage gradually decline, caused by a cycle of wetting and drying (Onstad et al., 1984). The reconsolidation of the soil is now accounted for using the approach by Williams et al. (2015) (Eqs. 25 to 27):

273

274 
$$sz = 0.2 \cdot lnfil_{soil} \cdot \frac{1+2 \cdot Sa/(Sa+e^{8.597-0.075 \cdot Sa})}{z_{till}^{0.06}},$$
 (25)

275 
$$f = \frac{sz}{sz + e^{3.92 - 0.0226 \cdot sz}},$$
 (26)

276 
$$f_{BDtill(t+1)} = f \cdot (1 - f_{BDtill}),$$
 (27)

277

where *sz* is the scaling factor for the tillage layer,  $Infil_{soil}$  is the infiltration rate into the layer in mm d<sup>-1</sup> and *z<sub>till</sub>* is the depth of the tilled layer in m. This allows for a faster settling of recently tilled soils with high precipitation and for soils with a high sand content. In contrast soils with a low sand content settle slower, especially in dry areas with low precipitation.

### 282 4 Model setup

### 283 4.1 Model input, initialization and spin-up

284 In order to bring vegetation patterns and SOM pools into a dynamic equilibrium stage, we make use of a 5000 285 years spin-up simulation, which recycles the first 30 years of climate input following the procedures of von Bloh et al. (2018). For simulations with land use inputs and to account for agricultural management, a second spin-up 286 287 of 390 years is conducted, to account for historical land use change. The spatial resolution of all input data and 288 model simulations is 0.5°. Land use data is based on crop-specific shares of MIRCA2000 (Portmann et al., 2010) and cropland and grassland time series since 1700 from HYDE3 (Klein Goldewijk et al., 2010) as described by 289 Fader et al. (2010). We drive the model with daily mean temperature from the Climate Research Unit (CRU TS 290 291 version 3.23, University of East Anglia Climate Research Unit, 2015; Harris et al., 2014), monthly precipitation data from the Global Precipitation Climatology Centre (GPCC Full Data Reanalysis version 7.0; Becker et al., 292 2013), (shortwave downward and net longwave downward) radiation data from the ERA-Interim data set (Dee et 293 al., 2011). Static soil texture classes are taken from the Harmonized World Soil Database (Nachtergaele et al., 294 295 2009) and soil pH data from the WISE data set (Batjes, 2005). The NOAA/ESRL Mauna Loa station (Tans and Keeling, 2015) provides atmospheric CO<sub>2</sub> concentrations. Deposition of N was taken from the ACCMIP 296 297 database (Lamarque et al., 2013).



# Geoscientific Model Development

### 298 4.2 Simulation options and evaluation set-up

299 The new tillage management implementation allows for specifying tillage systems. We conducted contrasting simulations with or without application of tillage. The effect of tillage on current cropland was evaluated. The 300 301 default setting for conventional tillage is: mE=0.9 and TL=0.95. In the tillage scenario, tillage is conducted twice 302 a year, at sowing and after harvest. Soil water properties are updated on a daily basis, enabling the tillage effect 303 to be effective from the subsequent day onwards until it wears off. Four different management settings (MS) for 304 global simulations were used: 1) tillage performed and residue are left on the field (T\_R), 2) tillage performed and residues are removed (T\_NR), 3) no-till and residues retained on the field (NT\_R), and 4) no-till and 305 306 residues are removed (NT\_NR) (Table 2). All of these 4 simulations were run from the year 1900 until 2009. 307 Land use was introduced in 1700 and with a spin-up simulation of 390 years for T\_R after the spin-up simulation 308 with 5000 years with natural vegetation only. We used fertilizer data supplied by the Global Gridded Crop 309 Model Intercomparison (GGCMI phase 1; Elliott et al., 2015). Fertilizers are applied at sowing and when the amount of fertilizer is larger than 5 g N m<sup>-2</sup>, 50% is applied at sowing and 50% at a later stage in the growing 310 311 season (depending on the phenological stage of the crop). From 1900 onwards the four new management options 312 were introduced on current cropland. The outputs of these four different simulations were analyzed using the 313 relative differences between each output variable using T\_R as the default management;

314

315 
$$RD = \frac{MS}{T_{\perp}R} - 1,$$
 (28)  
316

317 where RD is the relative difference between the management scenarios. The effects were analyzed using 318 different time scales: the average after the first three years for short-term effects, the average after 9 to 11 years 319 for mid-term effects and the average of year 19 to 21 for long-term effects. Depending on available reference 320 data in the literature, the specific duration of the experiment was chosen. The results of the simulations are 321 compared to literature values from selected meta-analyses. Meta-analyses were chosen in order to compare the 322 globally modeled results to a set of combined results of individual studies from all around the world, rather than 323 choosing individual site-specific studies. Results of individual site-specific experiments can differ substantially 324 between sites, which hampers the interpretation at larger scales. We calculated the median and the 5<sup>th</sup> and 95<sup>th</sup> 325 percentile (values within brackets) between MS in order to compare the model results to the meta-analyses, 326 where averages and 95% confidence intervals (CI) are mostly reported. We chose medians rather than averages to reduce outlier effects. If region-specific values were reported in the meta-analyses, e.g. climate zones, we 327 compared model results of these individual regions to the reported regional value ranges. 328

To analyze the effectiveness of individual processes (see Fig. 1) without too many blurring feedback processes, we conducted additional simulations of the four different MS on bare soil with uniform dry matter litter input of  $75 \text{ g m}^{-2}$ ,  $150 \text{ g m}^{-2}$  and  $300 \text{ g m}^{-2}$  of uniform composition (C:N ratio of 20), no atmospheric N deposition and static fertilizer input (Elliott et al. 2015). This helps to isolate soil processes, as any feedbacks via vegetation performance is eliminated in this setting.





335 [Table 2]

### 336 5 Evaluation and discussion

### 337 5.1 Tillage effects on hydraulic properties

The calculated soil hydraulic properties of tillage for each of the soil classes prior to and after tillage is 338 339 performed combined with 0% and 5% SOM in the tillage layer and a mE of 0.9 (table 3). In general, both tillage and a higher SOM content have an increasing effect on WHC, SAT, FC and Ks. Clay soils are an exception, 340 341 since higher SOM content decreases their WHC, SAT and FC, and increases Ks. For the soil classes sand and 342 loamy sand, the increasing effect on WHC, SAT and FC of increasing SOM content shows be the highest among 343 all classes, while Ks decrease with increasing SOM content. The increasing effects of tillage on the hydraulic properties are generally weaker compared to an increase in SOM by 5% (maximum SOM content for computing 344 345 soil hydraulic properties in the model). While tillage in sandy soils with a mE of 0.9 can increase WHC by 7%, 346 an increase in 5% of SOM can increase WHC by 27%.

The PTF by Saxton and Rawls (2006) uses an empirical relationship between *SOM*, soil texture and hydraulic properties derived from the USDA soil database, implying that the PTF is likely to be more accurate within the US than outside. Nevertheless the PTF is used in a variety of global applications despite the limitations to validate it at that scale (Van Looy et al., 2017).

351

352 [Table 3]

### 353 **5.2. Soil C stocks and fluxes**

Model outputs for CO<sub>2</sub> emissions from cropland soils, as well as SOM and litter C stocks of the topsoil (0.3 m) 354 355 were used to evaluate the effects of tillage and residues management on soil C stocks and fluxes. CO2 emissions 356 and SOM response after ten years duration of NT\_R MS compared to T\_R show a discrepancy, as both CO<sub>2</sub> 357 emissions and SOM stocks increase (Fig. 2A and 2B). The reported numbers refer to the median value across all cropland grid cells globally. After a duration of ten years of applied MS, CO<sub>2</sub> emissions from NT\_R compared to 358 T\_R are increased by +2.3% (5<sup>th</sup>, 95<sup>th</sup> percentile: -9.6%, +29.0%) (Fig. 2A), while at the same time topsoil and 359 litter C is also increased by +5.7% (5<sup>th</sup>, 95<sup>th</sup> percentile: +1.7%, +14%) (Fig. 2B), i.e. the soil C stock has already 360 361 increased enough to sustain higher  $CO_2$  emissions. If we only look at the first three years after the change in MS, CO2 emissions are substantially decreased by -12.2% (5th, 95th percentile: -18.3%, -2.8%) in a NT\_R system 362 compared to T\_R (Fig. 2D). If we only analyze the tillage effect and do not take residues into account, topsoil 363 364 and litter C decreases by -9.9% (5th, 95th percentile: -27.0%, -0.6%) in a T\_NR system compared to a NT\_NR system after ten years (Appendix - Fig. 4A), while CO<sub>2</sub> emissions are increased by +17.1% (5<sup>th</sup>, 95<sup>th</sup> percentile: 365 0.0%, +114.4%) (Appendix - Fig. 4B). 366

Abdalla et al. (2016) reviewed the effect of tillage, no-till and residues management and they found that if residues are returned, tillage has a decreasing effect on topsoil *SOM* content by 5.0% (95<sup>th</sup> CI: -1.0%, +9.2%) and an increasing effect on  $CO_2$  emissions +23% (95<sup>th</sup> CI: -35.0%, -13.8%) (Table 4). These findings of Abdalla et al. are in contradiction to our findings for  $CO_2$  emissions after a ten year period, nevertheless if we only take the first three years duration of MS into account,  $CO_2$  emissions are decreased as suggested by the literature.





This supports the findings from Abdalla et al. (2016) and highlights the importance of accounting for the duration of the experiment after which the different MS are compared. Abdalla et al. (2016) also reported a decrease in *SOM* (-12%) and an increase in CO<sub>2</sub> emissions (+18%) of a T\_NR system compared to a NT\_NR system. T\_NR was reported to decrease *SOM* content, while at the same time CO<sub>2</sub> emissions are increased, due to a higher soil temperature in a tilled soil and an increased decomposition. The updated LPJmL reproduced these patterns.

378 A strong CO<sub>2</sub> response can be found in areas where SOM increases the most (e.g., northern Mexico and 379 western Australia). This is also true for yields, here shown for maize yields after ten years of NT\_R MS (Fig. 380 2C), which are mostly increasing in areas with strong SOM increase (e.g., Argentina, mid-west USA, northeaster China and south-western Russia). These areas all have a warm temperate dry climate according to the IPCC 381 382 climate zone classification (Carré et al., 2010). This positive feedback could be driven by a positive water-383 savings effect from NT\_R, where water which is saved due to NT\_R leads to a higher productivity. NT\_R for example reduces evaporation substantially compared to T R and has other positive water-saving feedbacks, 384 385 which are further discussed in chapter 5.3. In areas with higher productivity, we also have a higher residues 386 input, since litter fall is a function of plant productivity (see Eq. (6)). If productivity feedbacks are disabled, using the simulation from a bare soil experiment, there is no difference in CO<sub>2</sub> emissions between NT\_R and 387 388 T\_R (Appendix – Fig. 6).

Our simulations of NT\_R and T\_R show that NT\_R has a positive effect on *SOM* (topsoil and litter) and this effect increases over time. Our model is generally reliable to reproduce *SOM* increase under NT\_R for a duration of ten years and increasing CO<sub>2</sub> emissions under T\_R for a duration of three years. Differences to literature estimates occur after ten years under NT\_R with regard to CO<sub>2</sub> emissions because productivity feedbacks under NT\_R are taken into account in our model.

394 Ogle et al. (2005) conducted a meta-analysis and reported SOM changes from NT\_R for different climatic zones. They found a +23%, +17%, + 16% and +10% mean increase in SOM after converting from a 395 396 conventional tillage to a no-till system for more than 20 years for tropical moist, tropical dry, temperate moist and temperate dry climates, respectively. Ogle et al. (2005) analyzed the data based on linear mixed-effect 397 398 models, which do not account for interactions between effects. This could explain why we were not able to 399 reproduce these high numbers in SOM increase, since our model results range between a 5.1% to 11.9% increase 400 in SOM after 20 years from tropical moist to temperate dry climates, respectively. LPJmL was also not able to 401 reproduce the gradient found by Ogle et al. (2005). There is high discrepancy in the literature in regard to no-till 402 effects on SOM, since the high increase found by Ogle et al. (2005) is not supported by the findings of Abdalla et 403 al. (2016). Ranaivoson et al. (2017) found that crop residues left on the field increases SOM, which is in 404 agreement with our simulation results.

405 [Fig. 2]

### 406 5.3 Water fluxes

407 Water fluxes are highly affected by tillage and residue management (Fig. 1). Residues, which are left on the soil 408 surface, create a barrier that reduces evaporation from the soil. In addition, a residue cover effectively protects 409 the soil surface from structural degradation through the impact of rain drops, thereby increasing rainfall 410 infiltration. Generally, residues, which are incorporated through tillage, loose the function to protect the soil.





411 Both, the reduction of soil evaporation and the increase of rainfall infiltration contribute to increased soil 412 moisture and hence plant water availability. Because we could not find suitable approaches to account for the 413 processes leading to increased rainfall infiltration, our implementation only captures the reduction of soil 414 evaporation. However, despite the significant increase in rainfall infiltration and corresponding reduction in 415 surface runoff found in a number of field studies (Ranaivoson et al., 2017), the contribution to plant water 416 availability is likely to be much smaller as a substantial portion of it will be lost through subsurface runoff 417 (lateral runoff and seepage). In cases where the reduction of soil evaporation alone is larger than the increased 418 plant transpiration, the resulting increase in soil moisture may even lead to an overall increase in total runoff 419 (sum of all surface and subsurface runoff components) (Fig. 3A).

420 Steiner (1989) conducted field and laboratory trials and reported functions for wheat and sorghum to estimate 421 changes in evaporation based on the residue amount. These functions were used to evaluate the evaporative 422 reduction from a layer of residues using the bare soil simulations. We find that an application of 75 g C m<sup>-2</sup> yr<sup>-1</sup> of residues reduces evaporation by -18.2% (5th, 95th percentile: -34.0%, -2.1%) (Appendix - Fig. 6B), 150 g C m 423 424  $^{2}$  yr<sup>-1</sup> by -40.3% (5<sup>th</sup>, 95<sup>th</sup> percentile: -55.6%, -9.0%) (Appendix – Fig. 6C) and 300g C m<sup>-2</sup> yr<sup>-1</sup> by -62.2% (5<sup>th</sup>, 95<sup>th</sup> percentile: -73.4%, -34.4%) (Appendix – Fig. 6D). Using the functions provided by Steiner (1989), residue 425 amounts can be translated into a reduction of evaporation by -36.3% for wheat and -16.5% for sorghum for the 426 427 low application rates, by -50.2% for wheat and -30.7% for sorghum for the medium application rates and by -428 64.0% for wheat and by -44.9% for sorghum for the high application rates, respectively (Table 4). These values for evaporation reduction from prescribed residue loads are well reproduced by the model. Overall, soil 429 evaporation in the first 3 years of MS duration in the NT\_R scenario is reduced by -28.4% (5th, 95th percentile: -430 431 49.0%, -11.3%) compared to the T\_R (Fig. 3B).

432 433 [Fig. 3]

### 434 **5.4** N<sub>2</sub>O fluxes

435 Overall, switching from tillage to no-till management with additional residue input (NT\_R vs. T\_R) increases 436 N<sub>2</sub>O emissions by +7.5% (5<sup>th</sup>, 95<sup>th</sup> percentile: -6.7%, +68.9%) (Appendix – Fig. 7A). The strongest increase is 437 found in the warm temperate zone where the average increase is 11.3% (5<sup>th</sup>, 95<sup>th</sup> percentile: +0.7%, +75.7%) 438 (Appendix – Fig. 7B). The lowest increase is found in the tropical zone +2.9% (5<sup>th</sup>, 95<sup>th</sup> percentile: -8.5%, 439 +43.3%) (Appendix – Fig. 7C).

440 The increase in N<sub>2</sub>O emissions after switching to no-till is in agreement with several literature studies (Linn 441 and Doran, 1984; Mei et al., 2018; van Kessel et al., 2013; Zhao et al., 2016) (Table 4). Mei et al. (2018) reports an overall increase of +17.3% (95<sup>th</sup> CI: +4.6%, +31.1%), which is higher than our values, but both ranges mostly 442 443 overlap. However, although the overall effect is in agreement with Mei et al. (2018), the spatial patterns over the 444 different climatic regimes are in less agreement. We strongly underestimate the increase in N<sub>2</sub>O emissions in the tropical zone compared to Mei et al. (2018), who reported an increase of +74.1% (95th CI: +34.8%, +119.9%). 445 Moreover, the N<sub>2</sub>O emissions in arid regions after switching to no-till are underestimated (Appendix – Fig. 8B), 446 447 but still within the range, compared to van Kessel et al. (2013), who reported an increase of +35.0% (95th CI: 448 +7.5%,+69%). In the cold temperate (Appendix - Fig. 7D) and humid zones (Appendix - Fig. 8A) we slightly overestimate on average, and the 95<sup>th</sup> percentile of our ranges is relatively high compared to Mei et al. (2018) 449 (average: -1.7% and 95<sup>th</sup> CI: -10.5%, +8.4%) and van Kessel et al. (2013) (average: -1.5% and 95<sup>th</sup> CI: -11.6%, 450





+11.1%). This is also the case for the warm temperate zone, though the median and average increase is in
agreement with Mei et al. (2018), who report an increase of +17% (95<sup>th</sup> CI: +6.5%, +29.9%) (Table 4).

The increase in  $N_2O$  emissions under NT\_R can be explained by two mechanisms. Firstly, under no-till with residues, more water can infiltrate into the soil and less water is lost through evaporation. This can cause anaerobic conditions, which trigger  $N_2O$  emissions from denitrification. Secondly, no-till tends to increase bulk density and moisture content, which results additionally in a larger water-filled pore space (Fig. 1) which can increase the denitrification rate, and therefore  $N_2O$  emissions (van Kessel et al., 2013; Linn and Doran, 1984).

458 However, the impact of no-till on N<sub>2</sub>O emissions has been variable with both increases and decreases in 459 emissions reported (van Kessel et al., 2013). This variation in response is not surprising, as tillage affects several 460 biophysical factors that influence N<sub>2</sub>O emissions (Fig. 1) in possibly contrasting manners (van Kessel et al., 461 2013; Snyder et al., 2009). For instance, no-till can lower soil temperature, which can reduce N<sub>2</sub>O emissions (Six 462 et al., 2004). Moreover, under T\_R, more C (from residues) is incorporated into the soil, which leads to more 463 substrate for N<sub>2</sub>O emissions. Reduced N<sub>2</sub>O emissions under no-till compared to the tillage MS can also be 464 observed in the model results, for instance in North-East India, South-East Asia and areas in Brazil (Appendix -465 Fig. 7A).

Various studies where field experiments are conducted report high uncertainties associated with the estimation of N<sub>2</sub>O emissions, due to significant spatial and temporal variability, which hampers the evaluation of the model results (Chatskikh et al., 2008; Mangalassery et al., 2015). Moreover, the relevant processes behind N<sub>2</sub>O emissions are still not fully understood (Lugato et al., 2018).

470 The deviations from the model results compared to the meta-analyses especially for specific climatic regimes 471 (i.e. tropical- and cool temperate) cannot be explained other than N<sub>2</sub>O emissions are sensitive to subtle changes 472 in soil moisture, forms of reactive N and timing, which renders all comparisons to patchy data difficult. 473 Additional model evaluation is needed by e.g., conducting sensitivity analysis of specific inputs (e.g., soil type-, N-fertilizer) in different climate regimes for testing the model behavior.

475

476 [Table 4]

### 477 5.5 General discussion

The implementation of tillage into the global ecosystem model LPJmL opens opportunities to assess the effects of tillage and no-till practices on agricultural productivity and its environmental impacts, such as nutrient cycles, water consumption, GHG emissions and C sequestration. The implementation involved 1) the introduction of a surface litter pool, 2) dynamic accounting for *SOM* in computing hydraulic properties, and 3) tillage effects on physical properties.

In general, a global model implementation on tillage practices is difficult to evaluate, as effects are reported often to be quite variable, depending on soil conditions. We find that the model results for NT\_R compared to T\_R are in agreement with literature for C stocks and fluxes, water fluxes and to a lesser extent N<sub>2</sub>O emissions when compared to reported impact ranges in meta-analyses. Effects can also change over time so that a comparison needs to also consider the timing, history and duration of management changes. For C, e.g., we see that NT\_R has a positive effect on *SOM* and reduces CO<sub>2</sub> emissions the first years after adapting to NT\_R, but increases CO<sub>2</sub> emissions in the mid- and long-term owing to a larger accumulation of *SOM*.





490 In this study, model results were evaluated with data ranges as compiled by meta-analyses, which implies 491 several limitations. Due to the limited amount of available meta-analyses, not all fluxes and stocks could be 492 evaluated within the different management scenarios. Especially for testing residue-only effects, it would have 493 been good to have additional studies to analyze the effects of Cover<sub>Surf</sub>, which has a strong influence on water-494 fluxes (e.g., evaporation) and thus affects various other relevant fluxes that are sensitive to soil moisture as well. 495 Also, the sample size was sometimes low, which may result in biases if not all conditions (e.g., climate and soil 496 combinations) were tested, and it remains unclear how these can be best compared to a full sampling of the 497 global cropland as in the modeling results. Nevertheless, the meta-analyses gave the best overview of the overall 498 effects of tillage practices that have been reported for various individual experiments.

499 When applying the model, it is important to be aware that not all processes related to tillage and no-till are 500 taken into account. For instance, NT\_R can improve soil structure (e.g., aggregates) due to increased faunal 501 activity (Martins et al., 2009), which can result in a decrease in BD. Although tillage has several advantages for 502 famers (e.g. residue incorporation and topsoil loosening), it can have several disadvantages as well. For instance, 503 tillage can result in compaction of the subsoil, which result in an increase in BD (Podder et al., 2012). Moreover, 504 the absence of a residue layer can drive soil crusting which affects the infiltration of soil water. However, Strudley et al. (2008) observed mixed effects of tillage and no-till on hydraulic properties (such as BD). 505 506 Nevertheless, they motivate more fruitful investigations into agricultural management practices and their 507 interacting influences on soil hydraulic properties.

508 One of the primary reasons for tillage, weed control, is not accounted for in LPJmL or most other ecosystem 509 models. As such, different tillage and residue management strategies can only be assessed with respect to their 510 biogeochemical effects, but only partly with respect to their effects on productivity and not with respect to some 511 environmental effects (e.g. pesticide use).

### 512 6 Conclusion

513 We described the implementation of tillage related practices in the global ecosystem model LPJmL 5.0-tillage. 514 The extended model was tested under different management scenarios and evaluated by comparing to reported 515 impact ranges from meta-analyses on C, water and N dynamics as well as on crop yields.

We were able to broadly reproduce reported tillage effects on global stocks and fluxes, as well as regional patterns of these changes, with LPJmL 5.0-tillage but deviations in N-fluxes need to be further examined. Not all effects of tillage, including one of its primary reasons, weed control, could be accounted for in this implementation. Nonetheless, the implementation of more detailed tillage-related mechanics into LPJmL improves our ability to represent different agricultural systems and to understand management options for climate change adaptation, agricultural mitigation of GHG emissions and sustainable intensification.

522

523 *Code and data availability.* The source code and data is available upon request from the main author for the 524 review process and for selected collaborative projects. The source code will be generally available after final 525 publication of this paper and a DOI for access will be provided.

526

Author contributions. F.L and T.H. both share the lead authorship for this manuscript. They had an equal input in
 designing and conducting the model implementation, model runs, analysis and writing of the manuscript. S.R.





- 529 contributed to simulation analysis and manuscript preparation/evaluation. J.H. contributed to the code 530 implementation, evaluation and analysis and edited the paper. S.S. contributed to the code implementation and 531 evaluation and edited the paper. W.v.B. contributed to the code implementation and edited the
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- 534
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Table 1: Corr	esponding coe	Table 1: Corresponding coefficients for the function $\lambda_{pwp},\lambda_{fc}$ and $\lambda_{sat}$	e function $\lambda_{pw}$	, $\lambda_{fc}$ and $\lambda_{sat.}$			
	α	β	٨	δ	ω	ρ	a
$\lambda_{pwp}$	-0.024	0.0487	0.006	0.005	-0.013	0.068	0.031
$\mathcal{\lambda}_{fc}$	-0.251	0.195	0.011	0.006	-0.027	0.452	0.299
$\lambda_{sat}$	0.278	0.034	0.022	-0.018	-0.027	-0.584	0.078
	_						

# Table 2: LPJmL simulation settings for the evaluation.

Scenario	Simulation	Retained	Tillage	Mixing efficiency
	abbreviation	residue fraction efficiency	efficiency	of tillage (mE)
		on field	$(TL_{Frac})$	
Tillage +	T-R	1.0	0.95	0.90
residues				
Tillage + no	T-NR	0.1	0.95	0.90
residues				
No tillage +	NT-R	1.0	0	0
residues				
No tillage + no NT-NR	NT-NR	0.1	0	0
residues				

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Table 3: Percentage values for each soil textural class of silt, sand and clay content used in LPJmL and correspondent hydraulic parameters before and after tillage with 0% and









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	Soil depth	#	paired Literature mean in % (95% confidence Time	Time horizon	Model (median	Model (5% and 95%	
Variable/Scenario	(m)	treatments	interval)	(years)	in %)	percentile)	Reference
notill residue - till residue							
							Abdalla et al.,
SOM (0.3m)	0-0.3	101	+5.0 (-1.0, 9.2)*‡	* *	+5.7	+1.7, +14.0	2016
							Abdalla et al.,
C02		113	-23.0 (-35.0, -13.8)*	**	+2.3	-9.6, +29.0	2016
N2O		100	$+36.1 (+25.0, +47.8)^{*}$	**	+7.5	-6.7, +68.9	Mei et al., 2018
N2O (tropical)		123	+74.1 (+34.8, +119.9)†‡	***	+2.9	-8.5, +43.3	Mei et al., 2018
N2O (warm temperate)		62	+17.0(+6.5,+29.9)†‡	**	+11.3	+0.7, +75.7	Mei et al., 2018
N2O (cool temperate)		27	-1.7 (-10.5,+8.4)†‡	***	+8.8	-3.1, +170.5	Mei et al., 2018
							Kessel et al.,
N2O (arid)		56	+35 (+7.5, +69.0)*	**	+8.2	-6.8, +69.9	2013
							Kessel et al.,
N2O (humid)		183	-1.5 (-11.6, +11.1)*	*	+5.9	-6.5, +65.6	2013
							Pittelkow at al.
Yield (wheat) B		47	-2.6 (-8.2, +3.8)*	10§	+4.3	-9.4, +58.7	2015b
							Pittelkow at al.
Yield (maize) B		64	-7.6 (-10.1, -4.3)*	10§	+3.4	-23.1, +62.9	2015b
							Pittelkow at al.
Yield (pulses) B		12	-2.4 (-9.0, +4.9)*	10§	+10.2	0.0, +215.7	2015b
							Pittelkow at al.
Yield (rapeseed) B		10	+0.7 (-2.8, +4.1)*	10\$	+2.8	-27.3, +50.6	2015b
notill residue - notill noresidue							
Evaporation		33	-16.5 <sup>B</sup> , -36.3 <sup>BB</sup>	**	-18.2	-34.0, -2.1	Steiner 1989
Evaporation		ε	-30.7 <sup>b</sup> , -50.2 <sup>bb</sup>	**	-40.3	-55.6, -9.0	Steiner 1989
Evaporation			-44.9 <sup>E</sup> , -64.0 <sup>EE</sup>	*	-62.2	-73.4, -34.4	Steiner 1989
till nores-no till-nores							
SOM (0.3m)	0-0.3	46	-12.0 (-15.3, -5.1)*	**	-15.1	-41.2, -0.4	Abdalla et al.,

Table 4: Comparison of simulated model output and literature values from meta-analysis.

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2016





				V	Abdalla et al.,
C02	46 + 18.0 (+9.4, +27.3)*	**	+17.1	+0.0, +114.4 2016	016
				Ρ	Pittelkow at al.
Yield (wheat) B	8 +2.7 (-6.3, +12.7)*	10§	-0.6	-8.4, +20.9 2015b	015b
				Ρ	Pittelkow at al.
Yield (maize) B	12 -25.4 (-14.7,-34.1)*	10\$	-0.5	-13.4, +5.7 2015b	015b
till nores-till res					
N2O	105 +1.3 (-5.4, +8.2)*‡	**	-8.4	-19.5, +4.0 Mei et al., 2018	fei et al., 2018

\*estimated from graph

\*\*Time horizon of the study is unclear in the meta-analysis. The average over the first three years of model results is taken.

† includes conservation till

†† at least 30% on soil

‡ Residue management for conventional till unsure

§ Time horizon not explicitely mentioned by

author

 $^{\rm B}$  75g/m2 dry matter sorghum,  $^{\rm BB}$  75g/m2 dry

matter wheat

 $^{\rm D}$  150g/m2 dry matter sorghum,  $^{\rm DD}$  150g/m2 dry

matter wheat

 $^{\rm E}$  300g/m2 dry matter sorghum,  $^{\rm EE}$  300g/m2 dry

matter wheat































50 -100 150 200

.2















Appendix – Fig. 6: Relative CO<sub>2</sub> emission change for NT\_R vs. T\_R from bare soil experiment for the first three years with C m<sup>2</sup> yr<sup>-1</sup> fixed residue amount input (A), relative soil evaporation change for NT-R vs. NT-NR from the bare soil experiment for the first three years with 75g C m<sup>2</sup> yr<sup>-1</sup> fixed residue amount input (B), relative soil evaporation change for NT\_R vs. NT\_NR from bare soil experiment for the first three years with 150g C m<sup>-2</sup> yr<sup>-1</sup> fixed residue amount input (C), Relative soil evaporation change for NT\_R vs. NT\_NR from bare soil experiment for the first three years with 300g C m<sup>-2</sup> yr<sup>-1</sup>fixed residue amount input (D).















Appendix – Fig. 8: Relative changes in N<sub>2</sub>O emissions compared to T\_R in the humid regions (A), Relative changes in N<sub>2</sub>O emissions compared to T\_R in the arid regions (B).