



1 **Interactive Impacts of Fire and Vegetation Dynamics on Global**  
2 **Carbon and Water Budgets using Community Land Model**  
3 **version 4.5**

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7 **Abstract**

8 Fire plays an important role in terrestrial ecosystems. The burning of biomass affects carbon and water fluxes and the  
9 distribution of vegetation. To understand the effect of the interactive processes of fire and ecological succession on  
10 land surface carbon and water fluxes, this study utilized the Community Land Model version 4.5 to conduct a series  
11 of experiments that included and excluded fire and dynamic vegetation processes. Results of the experiments that  
12 excluded dynamic vegetation showed a global increase in net ecosystem production (NEP) in post-fire regions, which  
13 has been shown in previous studies with the similar modeling practices. However, inclusion of dynamic vegetation  
14 revealed a fire-induced decrease in NEP in some regions. Additionally, the carbon sink in post-fire regions reduced  
15 when the dominant vegetation type was changed from trees to grasses. This study shows that inclusion of dynamic  
16 vegetation enhances carbon emissions from fire by reducing terrestrial carbon sinks; however, this effect is somewhat  
17 mitigated by the increase in terrestrial carbon sinks when dynamic vegetation is not used. Results also show that fire-  
18 induced changes in vegetation modify the soil moisture profile because grasslands are more dominant in post-fire  
19 regions; this results in less moisture within top soil layers compared to non-burned regions, even though transpiration  
20 is reduced overall. These findings are different from those of previous fire model evaluations, that ignore vegetation  
21 dynamics, and thus highlight the importance of interactive processes between fire and vegetation dynamics,  
22 particularly when evaluating recent model developments with respect to fire and vegetation dynamics.

23

24 **Keywords**

25 Fire model, Dynamic vegetation model, Terrestrial carbon balance, Community Land Model, Terrestrial water balance

26



## 27 **1 Introduction**

28 Wild fire is a natural process that influences ecosystems and carbon and water cycles worldwide (Gorham, 1991;  
29 Bowman et al., 2009; Harrison et al., 2010). Climate and vegetation control both the occurrence of fire and its spread,  
30 which in turn affects climate and vegetation (Vilà et al., 2001; Balch et al., 2008), and when fire destroys forests and  
31 grasslands the distribution of vegetation is affected (Clement & Touffet, 1990; Rull, 1999). Fire causes the formation  
32 of trace gases and aerosols, which are important elements in the radiative balance of the atmosphere (Scholes et al.,  
33 1996; Fiebig et al., 2003); aerosols can affect surface air temperature, precipitation, and circulation (Tarasova et al.,  
34 1999; Lau & Kim, 2006; Andreae & Rosenfeld, 2008).

35 Changes in soil properties occur in regions affected by fire, and leaves and roots can be annihilated in such  
36 regions (Noble et al., 1980; Swezy & Agee, 1991). Each year, fire transports approximately 2.1 Pg of carbon from  
37 soil and vegetation into the atmosphere in the form of carbon dioxide and other carbon compounds (van der Werf et  
38 al., 2010). Harden et al. (2000) postulated that approximately 10–30% of annual net primary productivity (NPP)  
39 disappeared through fires in upland forests. In addition, transpiration and canopy evaporation decreases due to the  
40 reduction in leaf numbers (Clinton et al., 2011; Beringer et al., 2015). Soil has also been found to develop a water  
41 repellent layer during fire, which is attributed to intense heating (DeBano, 1991), and the ash produced by biomass  
42 combustion can impact the quality of runoff (Townsend & Douglas, 2000).

43 In post-fire regions, plant distribution gradually changes over time from bare ground to grassland, shrubland,  
44 and finally to forest during the process of ecological succession (Prach & Pyšek, 2001). In this respect, the structure  
45 and distribution of vegetation can be altered by fire in post-fire regions (Wardle et al., 1997); for example, several  
46 studies have suggested that the existence of grass and trees in the savanna can be attributed to fire (Hochberg et al.,  
47 1994; Sankaran et al., 2004; Baudena et al., 2010). However, fire can also wipe out succession.

48 Fire affects many different aspects of the Earth system. Therefore, a certain degree of process-based  
49 representation of fire is included in Earth system models, such as within dynamic global vegetation models (DGVMs),  
50 land surface models (LSMs), and Earth system models (ESMs; Rabin et al., 2017). Studies have applied fire models  
51 to global climate models to investigate the occurrence and spread of fire and how it impacts climate and vegetation  
52 (e.g., Pechony & Shindell, 2010; Li et al., 2012; 2013). For example, Bond et al. (2005) used the Sheffield DGVM  
53 (SDGVM) and performed the first global study on the extent to which fire determines global vegetation patterns by  
54 preventing ecosystems from achieving potential height, biomass, and dominant functional types expected under an  
55 ambient climate (i.e., potential vegetation).

56 In recent years, global fire models have grown in complexity (Hantson et al., 2016), and different fire models  
57 parameterize different impact factors such as fuel moisture, fuel size, the probability of lightning, and human effects.  
58 In this respect, the Fire Model Intercomparison Project (FireMIP) evaluated the strength and weakness of each fire  
59 model by comparing the performance of different fire models and suggesting improvements for individual models  
60 (Rabin et al., 2017).

61 A process-based fire parameterization of intermediate complexity known as the Community Earth System  
62 Model (CESM) has been developed and assessed within the framework of the National Center for Atmospheric  
63 Research (NCAR) (Li et al., 2012; 2013; 2014), and the latest satellite-based Global Fire Emission Database version



64 3 (GFED3), which is derived from moderate resolution imaging spectroradiometer (MODIS) fire count products, has  
65 been used to improve fire parameterizations. Furthermore, the impact of fire on carbon, water, and energy balances  
66 has also been investigated within the CESM framework (Li et al., 2014; Li & Lawrence, 2017). However, although  
67 these studies have considered land-atmosphere interactions with CLM coupled to the atmospheric model, they have  
68 ignored changes in global vegetation patterns due to fire processes, even though the initial model developed by Li et  
69 al., (2012) was designed to consider vegetation dynamics (i.e., changes in vegetation distribution) within the  
70 Community Land Model (CLM)-DGVM.

71 It is important to understand the possible influences of fire processes on water and carbon exchanges and  
72 vegetation distribution, and their combined effects; however, few studies to date have assessed this complicated global  
73 process. Therefore, in this study, we aim to understand the interactive effects of fire and ecological succession on  
74 carbon and water fluxes at the land's surface. Specifically, we conduct a series of numerical experiments using the  
75 NCAR CLM that variously include and exclude fire and dynamic vegetation processes. Our results show that the  
76 impact of fire on carbon and water balances (especially in net ecosystem production (NEP) and soil moisture) on  
77 ecological succession is different from that on static vegetation.

78

## 79 **2 Model and Experimental Design**

### 80 **2.1 Model description**

81 This study used CLM version 4.5, which is the land model of NCAR CESM version 1.2 The CESM is maintained by  
82 NCAR's Climate Global Dynamics Laboratory (CGD), and it comprises different components such as land,  
83 atmosphere, ocean, land ice, and ocean ice (Worley et al., 2011; Kay et al., 2012). Each component utilizes various  
84 formulae to represent the complex interplay of physical, chemical, and biological processes, and each can be used  
85 either independently or coupled (Smith et al., 2010; Neale et al., 2012; Bonan et al., 2013). Land surface in the CLM  
86 is represented by sub-grid land cover (glacier, lake, wetland, urban, or vegetated), and vegetation coverage is  
87 represented by 17 plant functional types (PFTs) comprising 11 tree PFTs, two crop PFTs, three grass PFTs, and bare  
88 ground. For a detailed description of the model, please refer to Lawrence et al. (2011).

89 In this study, CLM 4.5 was extended using the biogeochemistry (BGC) model option; this configuration  
90 simulates the carbon and nitrogen cycles in addition to biophysics and hydrology (Paudel et al., 2016). In CLM with  
91 BGC, the spatial distribution of PFTs is set using monthly climatological satellite data (Lawrence & Chase, 2007),  
92 which differs between months but not between years. Climatological PFT data are conserved based on MODIS and  
93 Advanced Very High-Resolution Radiometer data. Land fractions are divided into bare ground, grass, shrub, and  
94 evergreen/deciduous tree types. In addition, grass, shrub, and tree PFTs are classified into tropical, temperate, and  
95 boreal types, based on the physiology and climate rules of Nemani et al. (1996). Vegetation is further divided into C3  
96 or C4 plants based on MODIS derived leaf area index (LAI) values and the mapping methods of Still et al. (2003).

97 Certain BGC simulations were run using dynamic vegetation (DV; BGC-DV), which can simulate  
98 biogeographical changes in the natural vegetation distribution and mortality processes (Castillo et al., 2012; 2013).



99 However, other BGC simulations did not employ the DV option (hereafter, BGConly). In BGC-DV simulations, a  
 100 PFT can occupy a region or degenerate by competing with other PFTs, or they can coexist under various environmental  
 101 factors, such as light, soil moisture, temperature, and fire (Zeng, 2010; Song & Zeng, 2013). In BGConly, whole-plant  
 102 mortality is parameterized by assuming an annual mortality rate of 2%. Conversely, plant mortality in BGC-DV is  
 103 determined by heat stress, fire, and growth efficiency (Rauscher et al., 2015).

104 In the fire model (Li et al., 2012, 2013; Bonan et al., 2013), fire is divided into four sections: non-peat fires  
 105 outside cropland and tropical closed forests, agricultural fires, deforestation fires in tropical closed forests, and peat  
 106 fires. Fire counts are determined based on natural and artificial ignitions, fuel availability, fuel combustibility, and  
 107 anthropogenic and unsuppressed natural fires related to socioeconomic conditions, and the burned area is calculated  
 108 by multiplying the fire count with the average fire spread, which is considered to be driven by wind speed, PFT, fuel  
 109 wetness, and socioeconomic influences. In other words, the burning and spread of fire are related to the CLM input  
 110 parameters of climate and weather conditions, vegetation conditions, socioeconomic conditions, and population  
 111 density.

112 Once the burned area is identified, fire impacts, including vegetation mortality, peat burning, and the carbon  
 113 cycle, can be addressed. For example, the amount of carbon emitted from the fire ( $E$ ) is calculated as follows,

$$114 \quad E = A \cdot C \cdot CC, \quad (1)$$

115 where  $A$  is the burned area;  $C$  is a vector with elements including the carbon density of the leaf stem and root, and  
 116 transfer and storage of carbon; and  $CC$  is the corresponding combustion completeness factor vector. When the DV  
 117 option is included in the model, individual plants are killed by fire. The number of PFT individuals killed by fire  
 118 ( $P_{distrib}$ ) is calculated by,

$$119 \quad P_{distrib} = \frac{A_b}{f A_g} P \xi, \quad (2)$$

120 where  $P$  is the population density for each PFT,  $\xi$  is the whole-plant mortality factor for each PFT,  $A_g$  is the grid cell  
 121 area,  $A_b$  is the burned area of each PFT, and  $f$  is the fraction of coverage of each PFT.

122 The terrestrial carbon balance is affected when biomass is burned. In this respect, the net ecosystem exchange  
 123 (NEE) can be estimated using NEP and carbon loss due to biomass burning ( $C_{fe}$ ) as follows,

$$124 \quad NEE = -NEP + C_{fe}, \quad (3)$$

## 125 2.2 Experimental design

126 A series of global numerical experiments were conducted for this study using a spatial resolution of  $1.9^\circ$  longitude  $\times$   
 127  $2.5^\circ$  latitude. Global climate data from the Climate Research Unit (CRU)-National Centers for Environmental  
 128 Prediction (NCEP) reanalysis were used for atmospheric driving forcing of CLM. Data from 1901 to 2000 included  
 129 6-h precipitation, air temperature, wind speed, specific humidity, longwave radiation, and shortwave radiation ranging.  
 130 Figure 1 summarizes the experimental process used in this study. Initial conditions for the 1850 equilibrium state were  
 131 provided by NCAR and used to simulate the 20th century transient run. The amount of atmospheric carbon dioxide  
 132 has increased since the onset of the Industrial Revolution in 1850, and the composition of land cover and vegetation



133 have changed (Vitousek et al., 1997; Pitman et al., 2004). Therefore, these changes need to be reflected when running  
134 a 20th century transient simulation, and the final surface conditions should represent those of the year 2000 after  
135 running the transient simulation using the CLM-BGC model.

136 Using the simulated surface conditions for 2000, four different 200-y equilibrium CLM simulations  
137 (BGConly and BGC-DV simulations with and without the fire model) were conducted, as shown in Table 1. For  
138 BGConly runs, a restart file from the transient run was used with and without the fire model (hereafter, BGConly-F  
139 and BGConly-NF, respectively). Similarly, the BGC-DV runs were performed using the same restart file to simulate  
140 the potential vegetation in 200-y offline BGC-DV runs both with and without the fire model (hereafter, BGC-DV-F  
141 and BGC-DV-NF, respectively; Erfanian et al., 2016). In these simulations, the initial global land state was bare  
142 ground (there were no plants) and soil conditions, such as soil moisture and temperature, were adjusted to those of the  
143 year 2000 (Qiu & Liu, 2016; Wang et al., 2016). While the fire model is optional when using CLM with the BGC, it  
144 is always run when using CLM with BGC-DV. Hence, the model was modified when conducting the BGC-DV-NF  
145 run, and the burned area was set to equal zero to ignore any incidences of fire.

146 A comparison between the BGConly-F and BGConly-NF runs enables isolation of the impact of fire on the  
147 land's surface, regardless of DV. In addition, the impact of fire and the interactive impact of fire and the distribution  
148 of vegetation on the Earth's system can be identified by comparing BGC-DV-F and BGC-DV-NF runs. It is important  
149 to remember here that this study focuses on the impact of fire and vegetation dynamics on land carbon and water  
150 fluxes by forcing the CLM with the CRU-NCEP climate data (1991–2000) without consideration of land-atmosphere  
151 feedbacks. Simulations were run for 200-y from the initial surface conditions of 2000 to derive potential land surface  
152 conditions. In addition, the average surface conditions of the last 30-y were compared with the results of simulations,  
153 and an analysis of this is presented in the following section.

154

### 155 **3 Results and Discussions**

#### 156 **3.1 Burned area**

157 The simulated burned area from the BGC-DV-F and BGConly-F runs were compared to the GFED3 dataset, which is  
158 a reanalyzed dataset derived from MODIS (Giglio et al., 2013). While GFED3 suggests a global burned area estimate  
159 of 380 Mha/year for 1999 to 2011, the BGC-DV-F and BGConly-F runs show burned areas of 320 and 487 Mha/year,  
160 respectively. Although these are comparable with GFED3, they are not identical because the model estimate run using  
161 CRU-NCEP forcing is a 30-y average of the potential vegetation. In addition, agricultural fires are excluded in BGC-  
162 DV-F, as it only simulates natural vegetation (Castillo et al., 2012).

163 It is apparent that BGC-DV-F estimates the GFED3 burned area more accurately than BGConly-F, and this  
164 could be attributed to the fact that the fire model of Li et al. (2012) was originally developed using a comparison of  
165 BGC-DV-F CLM simulations with GFED3. In a previous study using a BGC-F type simulation coupled to CAM (Li  
166 & Lawrence, 2017), the annual burned area was found to be 489 Mha, which is similar to that of BGConly-F (487  
167 Mha).



168 Spatial distributions of burned areas are compared in Figure 2. Compared to GFED3, both BGConly-F and  
169 BGC-DV-F runs overestimate the burned area in the Americas and in Asia, while BGC-DV-F also underestimates the  
170 burned area in Africa and Oceania. These results can be attributed to the differences between BGC-DV-F and  
171 BGConly-F vegetation distributions, as shown in Figure 3 (where PFTs, excluding two crop PFTs, are simplified into  
172 six vegetation groups; broadleaf evergreen trees, needleleaf evergreen trees, deciduous trees, shrubs, grasses, and bare  
173 ground) (Rauscher et al 2015). In BGC-DV-F (Figure 3a), evergreen and deciduous trees show limited growth whereas  
174 grasses and bare ground predominate in regions such as southern Africa. Overall, BGC-DV-F simulates trees on 37.5%  
175 of the global land area; however, observations (Figure 3b) indicate that trees cover 41.46% (Table 2). However, more  
176 trees provide increased fuel for the occurrence and spread of fire in BGC-DV-F compared to BGConly-F

### 177 3.2 Interactions between vegetation and fire processes

178 In this section, we assess the impact of fire processes on the distribution of vegetation by comparing BGC-DV-F and  
179 BGC-DV-NF simulations (Table 2 and Figures 3 and 4). Figure 4 shows the vegetation distribution of BGC-DV-NF  
180 and BGC-DV-F minus BGC-DV-NF; difference plots clearly indicate large differences in vegetation cover in areas  
181 of high fire frequency (i.e., South Africa, South America, western North America, India, and a portion of China), as  
182 shown in Table 2, whereas areas with relatively low fire occurrence (i.e., the Arctic and desert regions) show small  
183 differences.

184 The relationship between vegetation distribution and fire occurrence is investigated by estimating the fraction  
185 of burned areas (Figure 5), where fractions are grouped into four categories (>10%, 10%~1%, 1%~0.1% and, <0.1%)  
186 for each vegetation type, and they illustrate a nonlinear change in vegetation distribution in response to post-fire area.  
187 Changes in the vegetation distribution are small in areas with minimal fire occurrence or where the burned area fraction  
188 is small (0.1~1%). However, relatively large changes in vegetation distribution are apparent when the burned area  
189 fraction exceeds 1%. Furthermore, there are large changes in the vegetation distribution in areas with burned area  
190 fractions above 10%, including increases in bare ground, grass, and shrubs (31.19, 52.28, and 7.91%, respectively)  
191 but decreases in deciduous, needleleaf evergreen, and broadleaf evergreen trees (8.85, 79.22, and 91.17%,  
192 respectively).

193 Areas that experience a higher frequency of fire occurrence have larger vegetation distribution differences,  
194 which suggests that fire has an influence on vegetation mortality. In ecological processes, plants die in regions where  
195 fire occurs; grasses with rapid growth rates then occupy regions after fire. Therefore, fire increases the ratios of bare  
196 ground and grassland but reduces the percentage number of trees. However, there are no marked changes in the  
197 fractions of shrubs and deciduous trees in the middle of the ecological succession process with respect to the presence  
198 or absence of fire (Table 2). When fire occurs in a region where shrubs grow, the ratio of shrubland is diminished, but  
199 fire increases the ratio of shrubland in regions where trees may evolve from shrubs. In the same way as shrubs, the  
200 deciduous trees are increased or decreased due to fire. Thus, it is apparent that the role of fire in areas of shrubland  
201 and deciduous trees differs according to the region, and the actual vegetation distribution is a result of complicated  
202 factors that include fire, climate, topography, and soil conditions (He et al., 2007; Cimalová & Lososová, 2009).

203



### 204 3.3 Fire impact on carbon balances

205 The direct and indirect impacts of fire on carbon balances were investigated by exploring the difference between fire  
206 impact when using state and dynamic vegetation (Figure 6 and Table 3). The impact of fire in two cases (BGConly-F  
207 minus BGConly-NF (BGConly) and BGC-DV-F minus BGC-DV-NF (BGC-CV) were estimated by averaging the  
208 final 30-y of each 200-y simulation.

209 Carbon emissions due to fire (direct impacts) are shown in Figure 6. The spatial distributions of the BGConly  
210 and BGC-DV runs are similar, but average annual emissions are higher in BGConly (3.4 Pg) compared to BGC-DV  
211 (3.0 Pg). This result could be attributed to trees being less dominant in BGC-DV compared to BGConly, which thus  
212 causes a reduced fuel load.

213 We note that estimates of carbon emissions from BGConly and BGC-DV are relatively high; however, they  
214 do fall within the range of previous findings. For example, 1999–2011 GFED3 data estimated annual direct carbon  
215 emissions as being approximately 2.0 Pg. Furthermore, Mouillot et al. (2006) estimated annual carbon emissions as  
216 being approximately 3.0 Pg for the end of 20th century and approximately 2.5 Pg for the 20th century average; and Li  
217 et al. (2014) and Yue et al. (2015) both estimated 20th century emissions as being 1.9 Pg C yr<sup>-1</sup> using the CLM4.5 and  
218 ORCHIDE land surface models, respectively.

219 In addition to direct carbon emissions, fire influences terrestrial carbon sinks by impacting ecosystem  
220 processes, as shown in Figure 6. Fire increases the NEP in post-fire regions in BGConly simulations, which is  
221 consistent with the findings of previous studies (Li et al., 2014). However, the overall NEP decrease is 2.5 Pg C y<sup>-1</sup> in  
222 this study, which is greater than the value of 1.9 Pg C yr<sup>-1</sup> determined by Li et al. (2014). However, Li et al. (2014)  
223 performed a transient simulation from 1850 to 2004, whereas the BGConly runs in our study were conducted following  
224 an equilibrium simulation using 2000 as the reference year, which thus meant that no fire exchanges were due to land  
225 cover changes.

226 Simulations that ignore vegetation dynamics (i.e., the BGConly runs in this study; Li et al., 2014; Yue et al.,  
227 2015) show a global fire-induced NEP increase when comparing fire-on and fire-off runs. However, a decrease in fire-  
228 induced NEP is apparent in some regions when using BGC-DV (Figure 6). This carbon sink reduction occurs in  
229 regions where dominant PFTs changed from broadleaf and needleleaf evergreen trees to grasses (as shown in Table 3  
230 and Figure 6). Table 4 shows the correlation coefficients between percentage changes in vegetation types and changes  
231 in carbon fluxes (NEP, NPP, and heterotrophic respiration (Rh)) for six different PFTs in each grid cell), and Figure  
232 7 shows the broadleaf evergreen tree, needleleaf evergreen tree, and grass PFTs. It is apparent that NEP changes are  
233 strongly linked to changes in the dominant PFTs; for example, decreases in broadleaf evergreen and needleleaf  
234 evergreen trees, and increases in grasses. Furthermore, associations between changes in NEP and PFTs are related to  
235 changes in both NPP and Rh to some extent. Our results differ from those of previous studies that did not consider  
236 vegetation dynamics (e.g., Amiro et al., 2010), because the inclusion of vegetation dynamics enables the model to  
237 capture NEP decreases in post-fire regions at the beginning of post fire-succession.

238 As land use change is not considered in this study, the overall impact of fire was estimated by the sum of  
239 carbon emissions and terrestrial carbon sinks (Eq. 3). Both simulations resulted in carbon sources in the post-fire  
240 regions, even though different processes were involved. Although carbon emissions due to fire were partly negated



241 by the increased terrestrial carbon sinks in the BGConly runs, they were enhanced by the reduction in terrestrial carbon  
242 sinks in the BGC-DV runs.

243

### 244 **3.4 Fire impact on water balances**

245 The impact of fire on the water balance was examined by estimating changes in runoff, evapotranspiration, and soil  
246 moisture, and by making a comparison between BGConly and BGC-DV (Table 5 and Figure 8). Increases in runoff  
247 and decreases in evapotranspiration (ET) were found in post-fire regions to different degrees, which is consistent with  
248 the results of previous studies (Neary et al., 2005; Li & Lawrence, 2017). Our study used CLM as a standalone model  
249 without coupling to the atmospheric or ice models, whereas Li and Lawrence (2017) examined the impact of fire on  
250 the global water budget using CLM-BGC coupled with the CAM and CICE models and found that the impact of fire  
251 on global annual precipitation was limited.

252 Li and Lawrence (2017) pointed out that a reduction in the vegetation canopy (LAI; Table 6) is a critical  
253 pathway for fire impacting on ET and leading to its decrease. Fire events lower the leaf area, which decreases  
254 vegetation transpiration and canopy evaporation; however, they also expose more of the soil to the air and sunlight,  
255 which increases soil evaporation. Post-fire decreases in vegetation height (Table 6) can both increase and decrease ET,  
256 as the resulting decrease in land surface roughness potentially reduces water and energy exchanges and leads to higher  
257 leaf temperatures and wind speeds. In this study, both BGConly and BGC-DV runs show the vegetation canopy is the  
258 main pathway leading to ET decrease, which is similar to the findings of Li and Lawrence (2017). In addition, an  
259 examination of how changes in the vegetation composition within post-fire regions influences the above mechanisms  
260 shows that overall impacts of ET and runoff do not differ greatly when dynamic vegetation is employed by the model.  
261 However, results show that fire-induced vegetation changes (from trees to grass or bare ground) in BGC-DV lead to  
262 a marked decrease in canopy transpiration and increased soil evaporation relative to BGConly. Fire destroys plant  
263 roots and leaves, and changes in the dominant vegetation types in BGC-DV lead to changes in the soil moisture profile  
264 through reduced transpiration (Figure 9 and Table 7). Consequently, there is less water stress in each soil layer within  
265 burned areas than in non-burned areas. Grasslands dominate in post-fire regions when using BGC-DV, and they absorb  
266 and transpire more water from the top soil layer compared to trees (Mazzacavallo & Kulmatiski, 2015). There is thus  
267 less moisture in the top soil layers in fire affected regions than in non-burned regions, despite the fact that the overall  
268 transpiration is diminished. Put simply, fire has an impact on the vegetation distribution, which in turn impacts the  
269 soil water profile.

270 Changes in ET and runoff do not differ markedly between BGConly and BGC-DV, despite differences in the  
271 vegetation canopy and height, and soil moisture. This result could be attributed to the fact that an offline CLM was  
272 used, which does not allow for land-atmosphere interactions. We therefore expect that the impact of fire on  
273 precipitation would be more significant in BGC-DV than in BGConly because fire directly influences land cover  
274 characteristics.



275 **4. Conclusions**

276 To understand the interplay of vegetation dynamics and fire impacts, we conducted a series of numerical experiments  
277 using CLM both with and without fire and dynamic vegetation processes enabled. In particular, we investigated fire  
278 influences on vegetation distribution, and how such changes influence terrestrial carbon and water fluxes.

279 As expected, results showed that fire interrupts the process of ecological succession, which thus impacts the  
280 global vegetation distribution. Fire transforms some regions into bare ground, and grasses quickly dominate as they  
281 grow faster than trees. For shrubs and deciduous trees in the mid-stages of ecological succession, we found no large  
282 differences in the overall coverage ratios between simulations including vegetation dynamics and those that did not.  
283 Simulations that did not consider vegetation dynamics showed a fire-induced global increase in NEP; however, a fire-  
284 induced decrease in NEP was found in some regions in BGC-DV. We also found a carbon sink reduction in regions  
285 where the dominant PFT changed from broadleaf and needleleaf evergreen trees to grasses. While carbon emissions  
286 due to fire were partly negated by increased terrestrial carbon sinks (NEP) in BGConly runs, they were enhanced by  
287 the reduction in terrestrial carbon sinks in BGC-DV runs when dynamic vegetation was considered.

288 Fire-induced changes in vegetation from trees to grass or bare ground resulted in a decrease in canopy  
289 transpiration and increased soil evaporation in post-fire regions for BGC-DV; however, there were no marked  
290 differences in the overall impacts on ET and runoff between simulations that used dynamic vegetation and those that  
291 did not. Interestingly, however, changes in dominant vegetation types in BGC-DV led to changes in the soil moisture  
292 profile. Furthermore, the increased distribution of grassland cover was more dominant in post-fire regions, which then  
293 resulted in less moisture in the top soil layers compared to non-burned areas, despite that fact that transpiration  
294 diminished overall.

295 Enabling the vegetation dynamics module in the CLM assists in gaining an understanding of the interactive  
296 impacts of fire and vegetation dynamics. However, uncertainty still exists because of the limited simulated potential  
297 vegetation distribution using CLM with BGC-DV-F. Furthermore, the final potential vegetation state of the BGC-DV  
298 model did not always correspond to the observed distribution (Figure 3). For example, shrubs in the tundra were found  
299 to be rare in both BGC-DV-F and BGC-DV-NF. Furthermore, crops, needleleaf evergreen boreal, and shrub boreal  
300 cannot be simulated by the DV module, as noted in previous studies (Zeng et al., 2008).

301 The fire module in CLM is parameterized to estimate fire occurrence, fire spread, and fire impact. Thresholds  
302 used to estimate fuel combustibility are dependent on relative humidity and surface air temperatures; however, these  
303 values may not be suitable for all regions (Zhang et al., 2016). In addition, the economic impact of fire occurrence and  
304 the socioeconomic impact of fire spread are estimated using the input datasets of population density (person/km<sup>2</sup>) and  
305 GDP (US\$/capita), respectively (Li et al., 2013). Uncertainty due to socioeconomic factors should be noted for both  
306 historical and future simulations, because changes in these factors may vary by country (Steelman & Burke, 2006). It  
307 is evident that our understanding of fire needs to improve, because fire plays an important role in the distribution of  
308 vegetation and in carbon, water, and energy cycling. Furthermore, this study shows that fire models are strongly  
309 impacted by vegetation distribution; therefore, fire simulations would improve if improvements were made to the  
310 dynamic vegetation model.

311



312 **Code and Data Availability**

313 The code of and input datasets for CLM are downloaded from the NCAR CLM website (refer to [cesm.ucar.edu](http://cesm.ucar.edu)).  
314

315 **Author Contribution**

316 YK and HS designed the study and HS performed the model simulations with processing the data and modifying the  
317 code. Both YK and HS analyzed the results and wrote the manuscript.  
318

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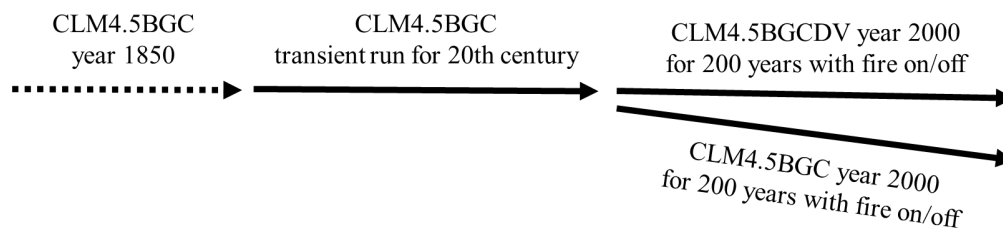


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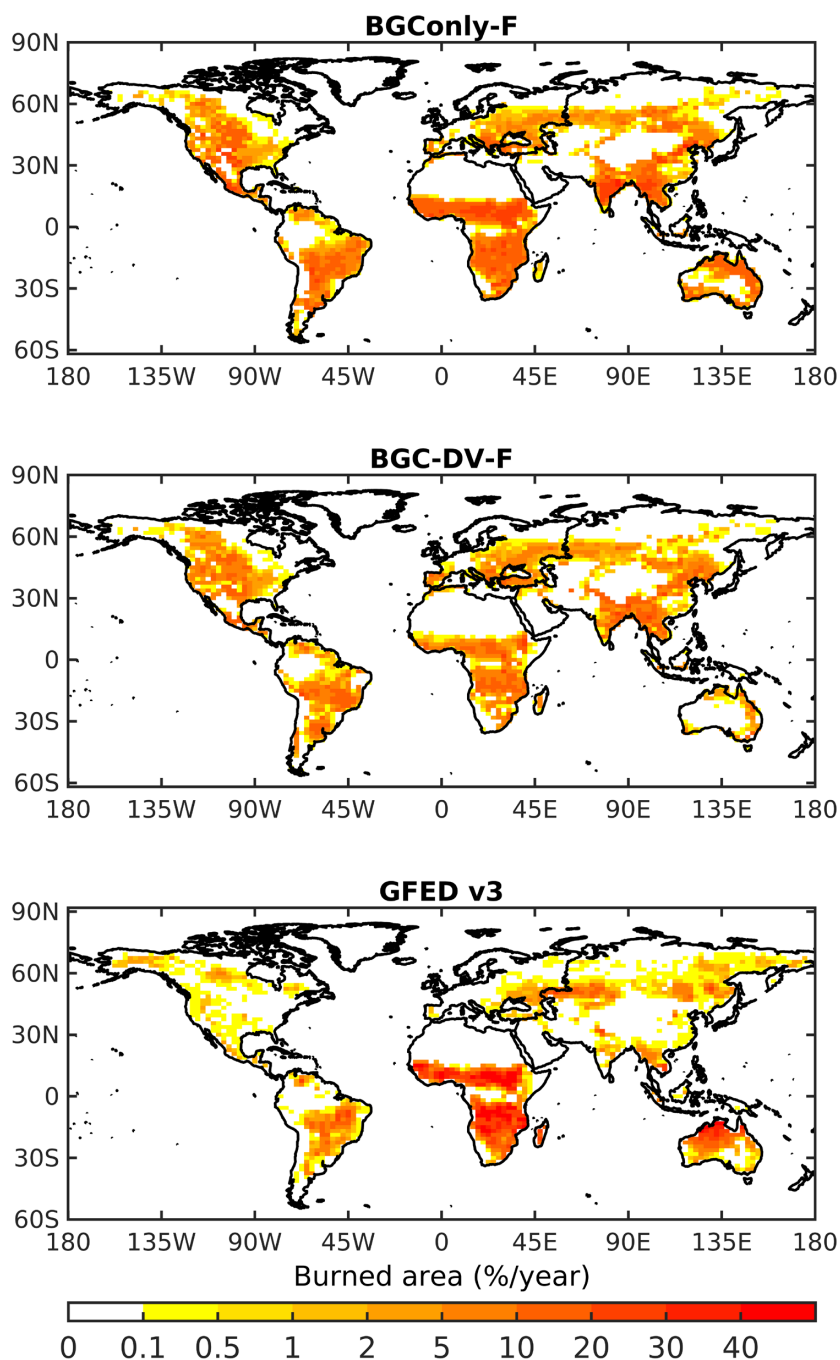


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502 **Figure 1:** Flowchart showing model simulations conducted to investigate the interactive impact of fire and ecological succession  
503 on the Earth system using Community Land Model (CLM4.5) simulations extended with biogeochemistry (CLM4.5BGC) and  
504 BGC with dynamic vegetation (CLM4.5BGCDV).

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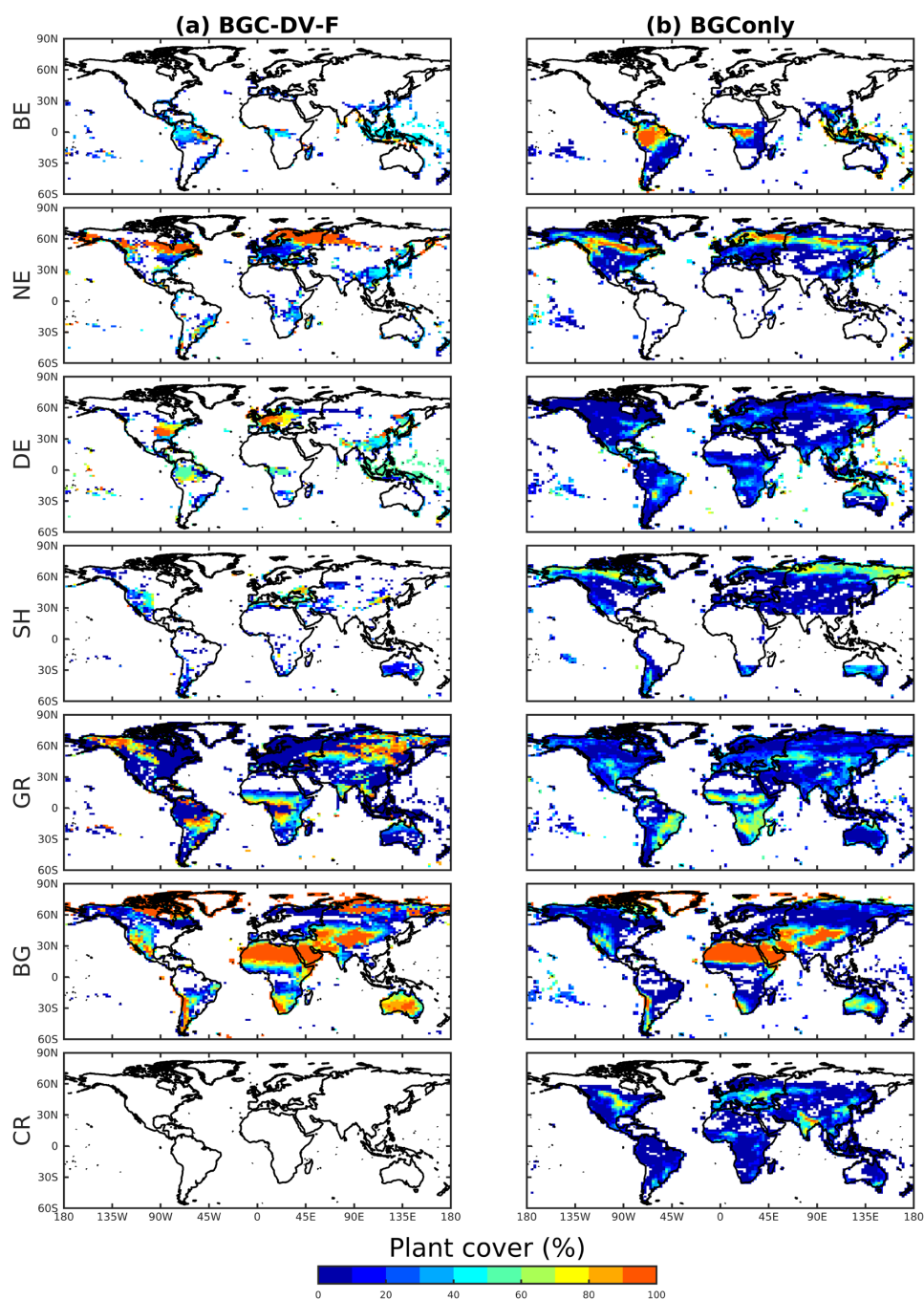


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508 **Figure 2:** Annual burned area percentage by grid cell for CLM4.5BGC with fire (BGOnly-F), CLM4.5BGCDV with fire (BGC-

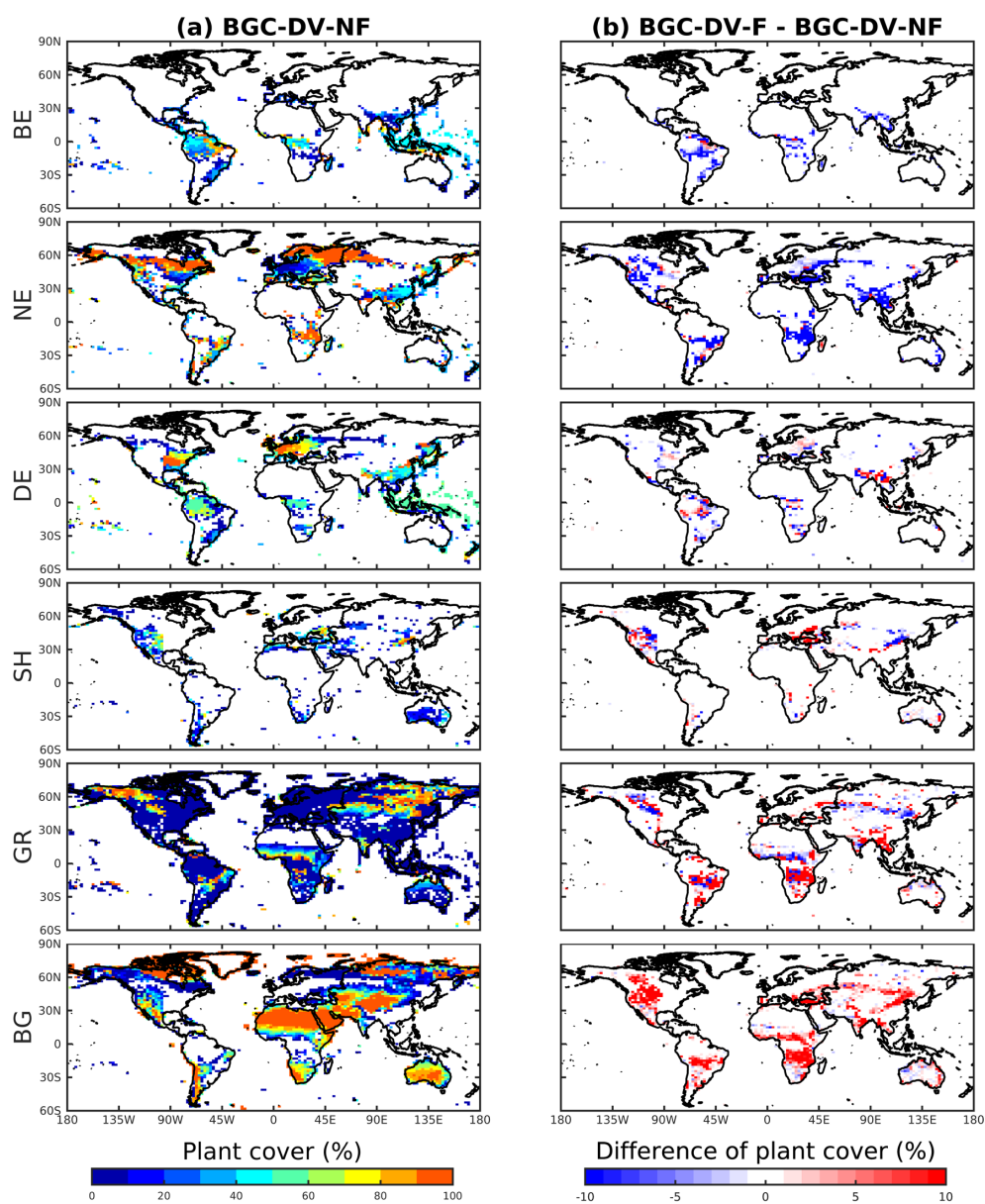
509 DV-F), and Global Fire Emission Database version 3 (GFED v3).

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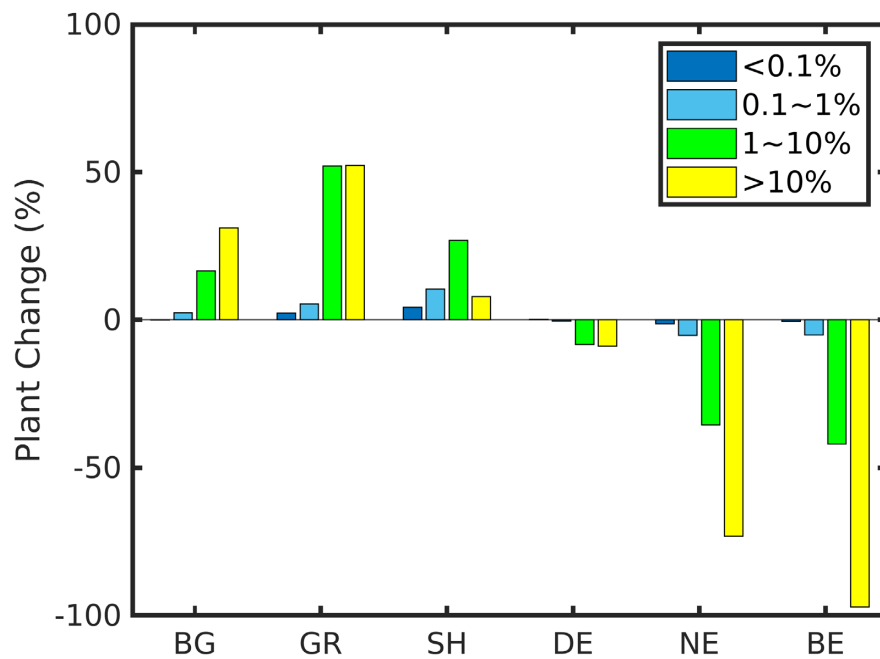
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512 **Figure 3:** Percentages of land cover type (bare ground (BE), grass (GR), shrub (SH), deciduous (DE), needleleaf evergreen  
513 (NE), and broadleaf evergreen (BG)) in BGC-DV-F and BGOnly.



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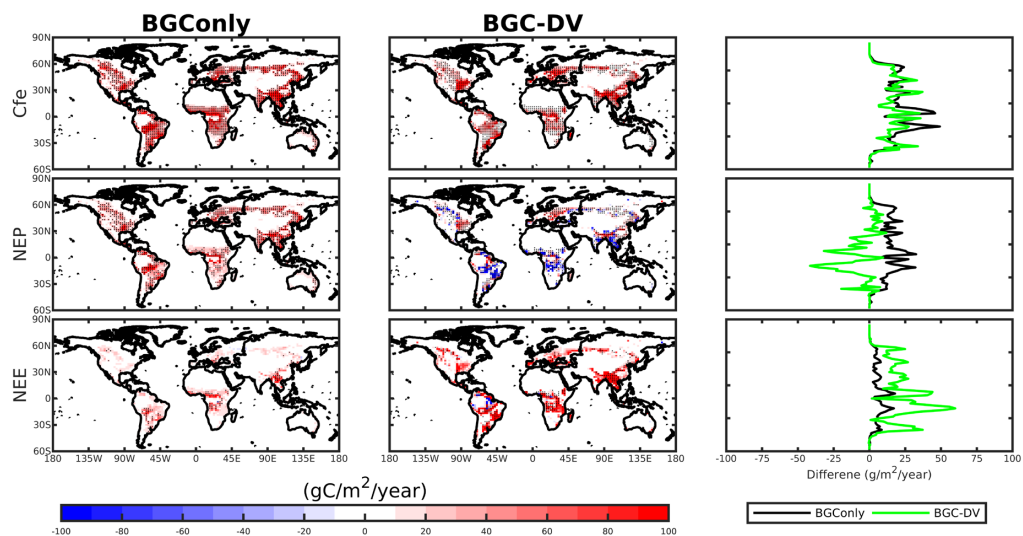
515 **Figure 4:** Percentages of land cover type (bare ground (BE), grass (GR), shrub (SH), deciduous (DE), needleleaf evergreen (NE),  
516 and broadleaf evergreen (BG)) in BGC-DV-NF and differences in plant cover between BGC-DV-F and BGC-DV-NF.



517

518 **Figure 5:** Changes in vegetation ratios for four burned area categories: under 0.1%, 0.1~1%, 1~10%, and greater than 10%.

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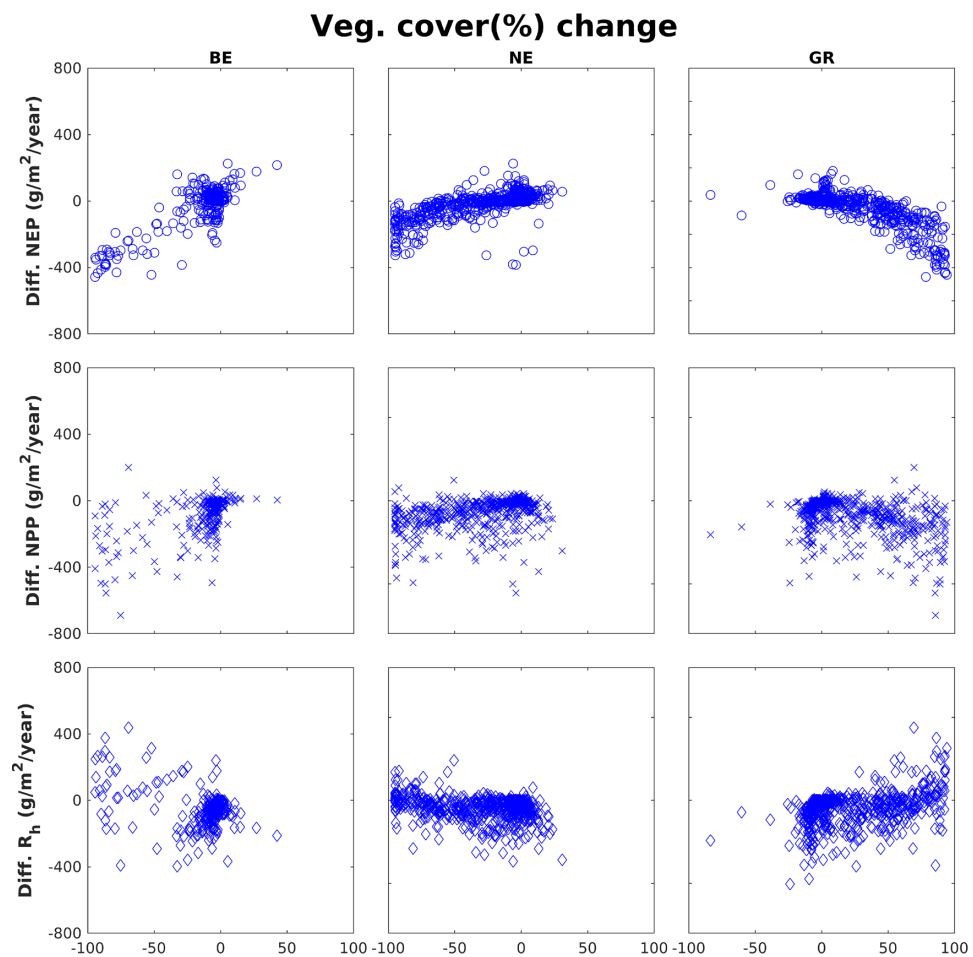
520

521 **Figure 6:** Differences in carbon emissions (Cfe), net ecological production (NEP), and net ecosystem exchange (NEE) due to fire  
522 between BGC and BGC-DV. Hashed areas indicate that difference passed the Student's t-test at 0.05 significance level.

523 Latitudinal mean differences are plotted in far-right column.

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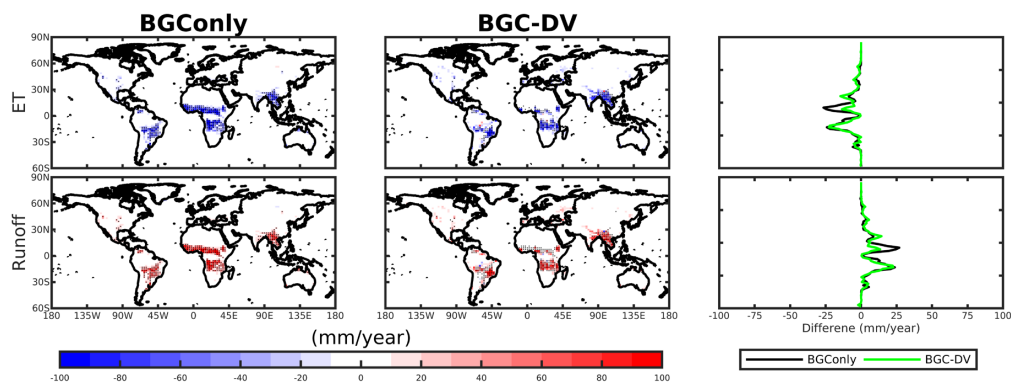
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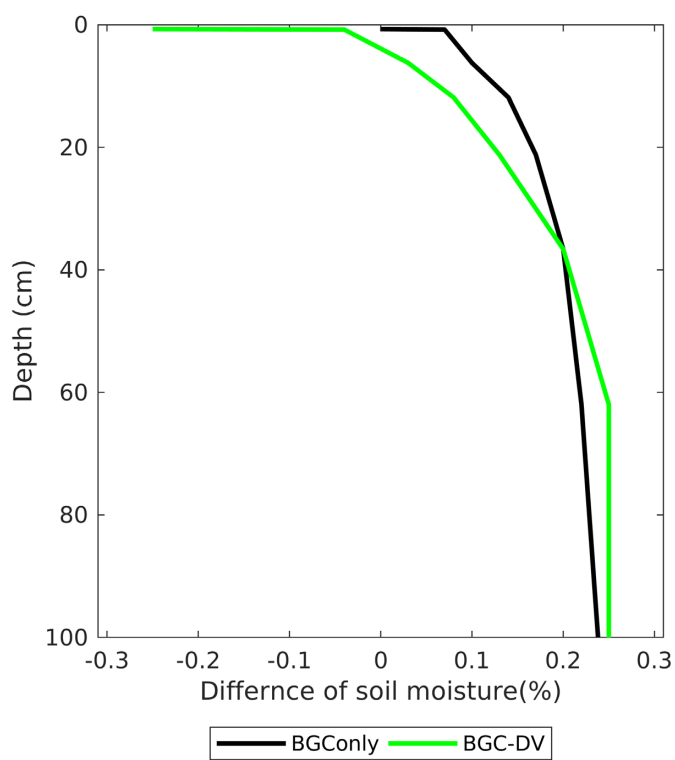
527 **Figure 7:** Response of differences (BGC-DV-F minus BGC-DV-NF) in NEP, NPP, and R<sub>h</sub> to percentage changes in bare ground  
528 (BE), needleleaf evergreen (NE), and grass (GR) vegetation types.

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Figure 8: Same as Figure 6 but for water fluxes (evapotranspiration (ET) and runoff)



533

534 **Figure 9:** Difference in soil moisture (%) in BGConly and BGC-DV simulations.

535





536 **Table 1:** Configurations of four experiments used in study.

Model option		Dynamic vegetation model	
		ON	OFF
Fire model	ON	BGC-DV-F	BGOnly-F
	OFF	BGC-DV-NF	BGOnly-NF

537

538



539 **Table 2:** Percentage (%) land cover types (bare ground, grass, shrub, deciduous, needleleaf evergreen, and broadleaf evergreen)  
540 in BGOnly, BGC-DV-F, and BGC-DV-NF.

	BGOnly	BGC-DV-F	BGC-DV-NF
Bare ground	28.17	41.21	38.66
Grass	20.13	21.25	16.53
Shrub	8.41	4.75	4.24
Deciduous	12.78	12.29	12.67
Needleleaf evergreen	9.96	14.73	20.54
Broadleaf evergreen	10.31	5.73	7.33
Crop	10.25	-	-

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543 **Table 3:** Annual means of carbon budget for GPP, NPP, Ra, Rh, NEP, NEE, and C<sub>fe</sub> and differences (Fire on- Fire off) between  
 544 BGConly and BGC-DV simulations in Pg C yr<sup>-1</sup>. Asterisk (\*) index indicates that difference in Fire on and Fire off simulations  
 545 passed the student's t test at  $\alpha = 0.05$  significance level.

	BGConly			BGC-DV		
	Fire on	Fire off	Diff	Fire on	Fire off	Diff
C <sub>fe</sub>	3.49	0.00	3.49*	2.98	0	2.98*
GPP	130.51	144.24	-13.73*	122.01	136.93	-14.92*
NPP	56.66	63.17	-6.51*	52.14	55.56	-3.42*
R <sub>a</sub>	73.85	81.08	-7.23*	69.87	81.37	-11.50*
R <sub>h</sub>	52.75	61.73	-8.98*	41.19	43.79	-2.60*
NEP	3.91	1.44	2.47*	13.65	14.67	-1.02*
NEE	-0.42	-1.44	1.02*	-5.27	-8.87	3.60*

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548 **Table 4:** Correlation coefficients between carbon fluxes (NEP, NPP, Rh) and percentage changes in vegetation cover for  
549 broadleaf evergreen (BE), needleleaf evergreen (NE), deciduous (DE), shrub (SH), grass (GR), and bare ground (BG).

	BE	NE	DE	SH	GR	BG
NEP	0.84	0.68	0.34	-0.28	-0.80	-0.14
NPP	0.56	0.44	0.34	-0.30	-0.47	-0.35
R <sub>h</sub>	-0.36	-0.17	-0.01	-0.13	0.27	-0.30

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552 **Table 5:** Same as Table 3, but for the water budgets of ground evaporation (GE), canopy evaporation (CE), canopy transpiration  
553 (CE), evapotranspiration (ET), and total runoff (RO) in  $103 \text{ km}^3 \text{ yr}^{-1}$ .

	BGOnly			BGC-DV		
	Fire on	Fire off	Diff	Fire on	Fire off	Diff
GE	20.87	19.27	1.60*	23.29	19.61	3.68*
CE	15.71	16.39	-0.68*	15.62	16.88	-1.26*
CT	38.41	40.42	-2.01*	37.68	40.99	-3.31*
ET	74.99	76.08	-1.09*	76.59	77.48	-0.89*
RO	31.09	30.02	1.07*	29.51	28.64	0.87*

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556 **Table 6:** Same as Table 3, but for in LAI ( $\text{m}^2/\text{m}^2$ ) and vegetation height(m).

	BGOnly			BGC-DV		
	Fire on	Fire off	Diff	Fire on	Fire off	Diff
LAI	2.13	2.36	-0.23*	2.24	2.62	-0.38*
Height	7.05	7.45	-0.4*	6.03	7.76	-1.73*

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559 **Table 7:** Same as Table 3, but for soil moisture in (%) at each soil depth.

Depth	BGConly			BGC-DV		
	Fire on	Fire off	Diff	Fire on	Fire off	Diff
0.71 cm	21.22	21.22	0.00*	20.48	20.73	-0.25*
0.79 cm	23.22	23.15	0.07*	22.59	22.63	-0.04*
6.23 cm	23.24	23.14	0.10*	22.61	22.58	0.03*
11.89 cm	22.72	22.58	0.14*	22.14	22.06	0.08*
21.22 cm	22.37	22.2	0.17*	21.83	21.7	0.13*
36.61 cm	22.48	22.28	0.20*	21.98	21.78	0.2*
61.98 cm	22.57	22.35	0.22*	22.1	21.85	0.25*
103.8 cm	22.45	22.21	0.24*	21.95	21.7	0.25*

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