



Ocean carbon and nitrogen isotopes in CSIRO Mk3L-COAL version 1.0: A tool for palaeoceanographic research

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Abstract. The isotopes of carbon (δ^{13} C) and nitrogen (δ^{15} N) are commonly used proxies for understanding the ocean. When used in tandem, they provide powerful insight into physical and biogeochemical processes. Here, we detail the implementation of δ^{13} C and δ^{15} N in the ocean component of an Earth system model. We evaluate our simulated δ^{13} C and δ^{15} N against contemporary measurements, place the model's performance alongside other isotope enabled models, and document the response

- 5 of δ^{13} C and δ^{15} N to changes in ecosystem functioning. The model combines the Commonwealth Scientific and Industrial Research Organisation Mark 3L (CSIRO Mk3L) climate system model with the Carbon of the Ocean, Atmosphere and Land (COAL) biogeochemical model. The oceanic component of CSIRO Mk3L-COAL has a resolution of 1.6° latitude × 2.8° longitude and resolves multi-millennial timescales, running at a rate of ~400 years per day. We show that this coarse resolution, computationally efficient model adequately reproduces water column and coretop δ^{13} C and δ^{15} N measurements, making it
- 10 a useful tool for palaeoceanographic research. Changes to ecosystem function involve varying phytoplankton stoichiometry, varying CaCO₃ production based on calcite saturation state, and varying N₂ fixation via iron limitation. We find that large changes in CaCO₃ production have little effect on δ^{13} C and δ^{15} N, while changes in N₂ fixation and phytoplankton stoichiometry have substantial and complex effects. Interpretations of palaeoceanographic records are therefore open to multiple lines of interpretation where multiple processes imprint on the isotopic signature, such as in the tropics where denitrification, N₂
- 15 fixation and nutrient utilisation influence δ^{15} N. Hence, there is significant scope for isotope enabled models to provide more robust interpretations of the proxy records.

1 Introduction

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Typically, the heavier isotope of an element will be enriched in the reactant during kinetic fractionation, in more oxidised compounds during equilibrium fractionation, and in the denser form during phase state fractionation (i.e. evaporation). Because fractionation against one isotope relative to the other is miniscule, the isotopic content of a sample is conventionally expressed as a δ value ($\delta^h E$), where the ratio of the heavy to light element in solution (${}^hE.{}^lE$) is compared to a standard ratio (${}^hE_{std}:{}^lE_{std}$)

Elements that are involved in reactions of interest, such as exchanges of carbon and nutrients, experience isotopic fractionation.



(1)

in units of per mil (%0).

$$\delta^h E = \left(\frac{{}^h E : {}^l E}{{}^h E_{std} : {}^l E_{std}} - 1\right) \cdot 1000$$

The strength of fractionation against the heavier isotope during a given reaction, ϵ , is also expressed in per mil notation. Fractionation with an ϵ equal to 10 % $_{o}$ will involve 990 units of ${}^{h}E$ for every 1000 units of ${}^{l}E$ at a hypothetical standard ratio 5 (${}^{h}E_{std}$: ${}^{l}E_{std}$) of 1:1. At more realistic standard ratios <<< 1:1, say 0.0112372:1 for a δ^{13} C value of 0 % $_{o}$, fractionation at 10 % $_{o}$ involves 0.010 * $\frac{0.0112372}{1.0112372}$ units of 13 C per unit of 12 C. This preference allows detection of certain reactions by measuring δ values from reactants and/or products. For these reasons, the naturally occurring stable isotopes of carbon and nitrogen have been fundamental for understanding the carbon and nitrogen cycles in the ocean (e.g. Schmittner and Somes, 2016; Menviel et al., 2017; Rafter et al., 2017; Muglia et al., 2018). We will now briefly introduce each isotope in turn.

- 10 The distribution of δ^{13} C is dependent on air-sea gas exchange, ocean circulation and biological uptake and remineralisation. These contributions make the δ^{13} C signature difficult to interpret, and several modelling studies have attempted to elucidate their roles (Tagliabue and Bopp, 2008; Schmittner et al., 2013). These studies have shown that preferential uptake of ¹²C over ¹³C by biology enforces strong horizontal and vertical gradients in δ^{13} C of dissolved inorganic carbon ($\delta^{13}C_{DIC}$), greatly enriching surface waters particularly in gyres where thermocline mixing is restricted (Tagliabue and Bopp, 2008; Schmittner
- et al., 2013). Meanwhile, air-sea gas exchange and carbon speciation control the δ¹³C_{DIC} reservoir over longer timescales (Schmittner et al., 2013). Because air-sea and speciation fractionation are temperature-dependent, such that cooler conditions will elevate δ¹³C_{DIC}, they also smooth the gradients produced by biology by working antagonistically to them. Despite this smoothing, biological fractionation drives strong gradients at the surface, which imparts a δ¹³C signature to water masses carried into the interior. These insights have provided clear evidence of reduced ventilation rates in the deep ocean during glacial climates (Tagliabue et al., 2009; Menviel et al., 2017; Muglia et al., 2018).

 δ^{15} N is determined by biological processes that add or remove fixed forms of nitrogen. It therefore records the relative rates of sources and sinks within the marine nitrogen cycle (Brandes and Devol, 2002). Dinitrogen (N₂) fixation is the largest source of fixed nitrogen to the ocean, the bulk of which occurs in warm, sunlit surface waters and introduces nitrogen with a δ^{15} N of approximately -1 ‰ (Sigman and Casciotti, 2001). Denitrification is the largest sink of fixed nitrogen and occurs in deoxy-

- 25 genated water columns and sediments. Denitrification fractionates strongly against ¹⁵N at ~25 ‰ (Cline and Kaplan, 1975). Fractionation during denitrification is most strongly expressed in the water column where ample nitrate (NO₃) is available, making water column denitrification responsible for elevating global mean δ^{15} N above the -1 ‰ of nitrogen fixers (Brandes and Devol, 2002). Meanwhile, denitrification occurring in the sediments only weakly fractionates against ¹⁵N (Sigman and Casciotti, 2001), providing only a slight enrichment of δ^{15} N above that introduced by N₂ fixation. Variations in δ^{15} N can
- 30 therefore tell us about changes in the ratio of sedimentary to water column denitrification, with increases in δ^{15} N associated with increases in the proportion of denitrification occurring in the water column (Galbraith et al., 2013), and regional variations in N₂ fixation (Ren et al., 2009; Straub et al., 2013).

However, nitrogen isotopes are also subject to the effect of utilisation, which makes the interpretation of $\delta^{15}N$ more complicated. Basically, when nitrogen is abundant the preference for ¹⁴N over ¹⁵N increases, but when nitrogen is limited this





preference disappears (Altabet and Francois, 2001). Complete utilisation of nitrogen therefore reduces fractionation to 0 %. While this adds complexity, it also imbues $\delta^{15}N$ as a proxy of nutrient utilisation by phytoplankton. As nitrogen supply to phytoplankton is controlled by physical delivery from below, changes in $\delta^{15}N$ can be interpreted as changes in the physical supply (Studer et al., 2018). Phytoplankton fractionate against ¹⁵N at ~5 %. (Wada, 1980) when bioavailable nitrogen is non-

- 5 limiting. If nitrogen is utilised to completion, which occurs across vast stretches of the low to mid latitude ocean (Sigman and Casciotti, 2001), then no fractionation will occur and the $\delta^{15}N$ of organic matter will reflect the $\delta^{15}N$ of the nitrogen that was supplied. However, in the case where nitrogen is not consumed towards completion, which occurs in zones of strong upwelling/mixing near coastlines, the equator and high latitudes, the bioavailable nitrogen pool will be enriched in ¹⁵N. Over time, the phytoplankton that constitute the sedimentary record beneath a zone of incomplete nutrient utilisation will bear this
- 10 enriched δ^{15} N signal. In combination with modelling (Schmittner and Somes, 2016), the δ^{15} N record provides evidence for a more efficient utilisation of bioavailable nitrogen during glacial times (Martinez-Garcia et al., 2014) and a less efficient one during the Holocene (Studer et al., 2018).

Complimentary measurements of δ^{13} C and δ^{15} N provide powerful, multi-focal insights into oceanographic processes. δ^{13} C is largely a reflection of how water masses mix away the strong vertical and horizontal gradients enforced by biology, while

- 15 δ^{15} N simultaneously reflects changes in the major sources and sinks of the marine nitrogen cycle and how effectively nutrients are consumed at the surface. However, the interpretation of these isotopes is often difficult. They are subject to considerable uncertainty because there are multiple processes that imprint on the measured values. Our goal is to equip version 1.0 of the CSIRO Mk3L-COAL Earth system model with oceanic δ^{13} C and/or δ^{15} N such that this model can be used for interpreting palaeoceanographic records. First, we introduce CSIRO Mk3L-COAL. Second, we detail the equations that govern the imple-
- 20 mentation of carbon and nitrogen isotopes. Third, we assess our simulated isotopes against contemporary measurements from both the water column and sediments and compare the model performance against other isotope-enabled models. Finally, as a first test of the model, we take the opportunity to document how changes in ecosystem functioning affect δ^{13} C and δ^{15} N.

2 CSIRO Mk3L-COAL v1.0

- The CSIRO Mk3L-COAL couples a computationally efficient climate system model (Phipps et al., 2013) with biogeochemical cycles in the ocean, atmosphere and land. The model is therefore based on the CSIRO Mk3L climate system model, where the "L" denotes that its is a low-resolution version of the CSIRO Mk3 model that contributed towards the Coupled Model Intercomparison Project Phase 3 (Meehl et al., 2007) and the Fourth Assessment Report of the Intergovernmental Panel on Climate Change (Solomon et al., 2007). See Smith (2007) for a complete discussion of the CSIRO family of climate models. The land biogeochemical component represents carbon, nitrogen and phosphorus cycles in the Community Atmosphere Biosphere Land
- 30 Exchange (CABLE) (Mao et al., 2011). The ocean component currently represents carbon, alkalinity, oxygen, nitrogen, phosphorus and iron cycles. The atmospheric component conserves carbon and alters its radiative properties according to changes in its carbon content. For this paper we focus on the ocean biogeochemical model (OBGCM).





Previous versions of the OBGCM have explored changes in oceanic properties under past (Buchanan et al., 2016), present (Buchanan et al., 2018) and future scenarios (Matear and Lenton, 2014, 2018). These studies have shown that the model can realistically reproduce the global carbon cycle, nutrient cycling and organic matter cycling in the ocean. The OBGCM offers highly efficient simulations of these processes at computational speeds of \sim 400 years per day when the ocean general circulation model (OGCM) is run offline. The ocean is made up of grid cells of 1.6° in latitude by 2.8° in longitude, with

5 circulation model (OGCM) is run offline. The ocean is made up of grid cells of 1.6° in latitude by 2.8° in longitude, with 21 vertical depth levels spaced by 25 metres at the surface and 450 metres in the deep ocean. The OGCM timestep is one hour, while the OBGCM timestep is 1 day. The ability of the OBGCM to reproduce large-scale dynamical and biogeochemical properties coupled with its fast computational speed makes the OBGCM useful as a tool for palaeoceanographic research.

2.1 Ocean biogeochemical model (OBGCM)

- 10 The OBGCM is equipped with 13 prognostic tracers (Figure 1). These can be grouped into carbon chemistry fields, oxygen fields, nutrient fields, age tracers and nitrous oxide (N₂O). Carbon chemistry fields include dissolved inorganic carbon (DIC), alkalinity (ALK), $DI^{13}C$ and radiocarbon (¹⁴C). Radiocarbon is simulated according to Toggweiler et al. (1989). Oxygen fields include dissolved oxygen (O₂) and abiotic dissolved oxygen (O₂^{*abio*}), a purely physical tracer from which true oxygen utilisation (TOU) can be calculated (Duteil et al., 2013). Nutrient fields include phosphate (PO₄), dissolved bioavailable iron
- 15 (Fe), nitrate (NO₃) and ¹⁵NO₃. Although we define the phosphorus and nitrogen tracers as their dominant species, being PO₄ and NO₃, these tracers can also be thought of as total dissolved inorganic phosphorus and nitrogen pools. Remineralisation, for instance, implicitly accounts for the process of nitrification from ammonium (NH₄) to NO₃ (Paulmier et al., 2009) and therefore implicitly includes NH₄ within the NO₃ tracer. Age tracer fields include years since subduction from the surface (Age_{*abl*}), and years since entering a suboxic zone where O₂ concentrations are less than 10 mmol m⁻³ (Age_{*omz*}). Finally, N₂O
- 20 in μ mol m⁻³ is produced via nitrification and denitrification according to the temperature-dependent equations of Freing et al. (2012). All air-sea gas exchanges (CO₂, ¹³CO₂ O₂ and N₂O) and carbon speciation reactions are computed according to the Ocean Modelling Intercomparison Project phase 6 protocol (Orr et al., 2017).

Because the isotopes of carbon and nitrogen are influenced by biological processes and there is as yet no accepted standard for ecosystem model parameterisation in the community, we provide a thorough description of the ecosystem component of

25 the OBGCM in appendix A. Default parameters for the OBGCM are further provided in appendix B. Briefly, the ecosystem model simulates the production, remineralisation and stoichiometry (elemental composition) of three phytoplankton functional types: a general phytoplankton group, diazotrophs (N_2 fixers) and calcifiers.





Figure 1. A conceptual representation of the ocean biogeochemical model (OBGCM). The bottom panel shows organic matter cycling involving the isotopes of carbon and nitrogen. (1) Carbon chemistry reactions. (2) Air-sea gas exchange. (3) Biological uptake of nutrients and production of organic matter (P_{org}^G , P_{org}^D , CaCO₃). (4) Remineralisation of sinking organic matter under oxic and suboxic conditions. (5) Sedimentary oxic and suboxic remineralisation. (6) Nitrous oxide production and consumption.







3 Carbon and nitrogen isotope equations

3.1 δ^{13} C



The OBGCM explicitly simulates the fractionation of 13 C from the total DIC pool, where for simplicity we make the assumption that the total DIC pool represents the light isotope of carbon and is therefore DI 12 C. Fractionation occurs during air-sea gas exchange, equilibrium reactions and biological consumption in the euphotic zone.

The **air-sea gas exchange** of ${}^{13}\text{CO}_2$ is calculated as the exchange of CO_2 with additional fractionation factors applied to the sea-air and air-sea components (Zhang et al., 1995; Orr et al., 2017). The flux of ${}^{13}\text{CO}_2$ across the air-sea interface, $F({}^{13}CO_2)$, therefore takes the form of CO_2 with additional terms that convert to units of ${}^{13}\text{C}$ in both environments. Without any isotopic fractionation, the equation requires the gas piston velocity of carbon dioxide in m s⁻¹ (k_{CO_2}), the concentration of aqueous

10 CO₂ in both mediums at the air-sea interface in mmol m⁻³ (CO₂^{*air*} and CO₂^{*sea*}), and the ratios of ¹³C:¹²C in both mediums (R_{atm} and R_{sea}):

$$F(^{13}CO_2) = k_{CO_2} \cdot \left(CO_2^{air} \cdot R_{atm} - CO_2^{sea} \cdot R_{DIC} \right)$$
where,
$$R_{DIC} = \frac{DI^{13}C}{DV^{3}C + DV^{2}C}$$
(2)

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 $R_{atm} = \frac{{}^{13}CO_2}{{}^{13}CO_2 + {}^{12}CO_2} = 0.011164381$

A transfer of ¹³C into the ocean is therefore positive, and an outgassing is negative. The R_{atm} is set to a preindustrial atmospheric δ^{13} C of -6.48 % (Friedli et al., 1986).

The fractionation of carbon isotopes during air-sea exchange involves three components. These are (α_k) a kinetic fractionation that occurs during transfer of gaseous CO₂ into or out of the ocean, $(\alpha_{aq\leftarrow g})$ a fractionation that occurs as gaseous CO₂ becomes aqueous CO₂ (is dissolved in solution), and $(\alpha_{DIC\leftarrow g})$ an equilibrium isotopic fractionation as carbon speciates into dissolved inorganic carbon (DIC) constituents (H₂CO₂ \Leftrightarrow HCO₃⁻ \Leftrightarrow CO₃²⁻). The kinetic fractionation during transfer, α_k , is constant at 0.99912, thus imparting a δ^{13} C signature of -0.88 ‰ to carbon entering the ocean. Conversely, carbon outgassing increases the δ^{13} C of the ocean. The fractionation during dissolution ($\alpha_{aq\leftarrow g}$) and speciation ($\alpha_{DIC\leftarrow g}$) are both dependent on temperature. Fractionation during speciation is also dependent on the fraction of CO₃²⁻ relative to total DIC ($f_{CO_3^{2-}}$). These

25 fractionation factors are calculated as:

$$\alpha_{aq\leftarrow g} = \frac{0.0049 \cdot T - 1.31}{1000} + 1 \tag{3}$$

$$\alpha_{DIC \leftarrow g} = \frac{0.0144 \cdot 1 \cdot f_{CO_3^2} - 0.101 \cdot 1 + 10.53}{1000} + 1 \tag{4}$$

Dissolution of CO₂ into the ocean (α_{aq←g}) therefore imparts a δ¹³C signature of between -1.32 and -1.14 ‰, while speciation of gaseous CO₂ into DIC imparts a δ¹³C signature of between approximately +10 and +6.5 ‰, preferencing the heavier isotope,
for temperatures between -2 and 35 °C.





These fractionation factors are applied to the gas exchange (Eq. (2)) to calculate carbon isotopic fractionation during CO₂ gas exchange.

$$F(^{13}CO_2) = k \cdot \alpha_k \cdot \alpha_{aq \leftarrow g} \cdot \left(CO_2^{air} \cdot R_{atm} - \frac{CO_2^{sea} \cdot R_{DIC}}{\alpha_{DIC \leftarrow g}} \right)$$
(5)

Because the net fractionation to aqueous CO₂ ($\alpha_{aq\leftarrow DIC}$) is equal to $\frac{\alpha_{aq\leftarrow g}}{\alpha_{DIC\leftarrow g}}$, we find that the fractionation during carbon outgassing is greater than the fractionation during ingassing. Overall, outgassing imparts a δ^{13} C signature of approximately -12 to -8 % to the carbon remaining in solution. It is therefore the equilibrium fractionation associated with carbon speciation that is largely responsible for bolstering the oceanic δ^{13} C signature above the atmospheric signature, as it tends to shift ¹³C towards the oxidised species (CO₃²⁻), particularly under cool conditions.

The fractionation of carbon during biological uptake (\$\epsilon_{bio}^{13}C\$) is set at 21 %\$\$\$\$\$\$\$\$\$ for general phytoplankton, 12 %\$\$\$\$\$\$\$\$\$ for diazotrophs
(e.g. Carpenter et al., 1997) and at 2 %\$\$\$\$\$\$\$\$\$\$ for the formation of calcite (Ortiz et al., 1996). Biological fractionation of \$\$^{13}C\$ is then applied to the uptake and release of organic carbon.

$$\Delta DI^{13}C = R_{DIC} \cdot C_{org} \cdot \epsilon_{bio}^{^{13}C} \tag{6}$$

Because biological fractionation is strong for the general phytoplankton group, which dominates export production throughout most of the ocean, this imparts a negative δ^{13} C signature to the deep ocean. Subsequent remineralisation releases DIC with no fractionation. Finally, the concentration of DI¹³C is converted into a δ^{13} C via:

$$\delta^{13}C = \left(\frac{DI^{13}C}{DIC} \cdot \frac{1}{0.0112372} - 1\right) \cdot 1000\tag{7}$$

where 0.0112372 is the Pee Dee Belemnite standard (Craig, 1957).

3.2
$$\delta^{15}$$
N

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The OBGCM explicitly simulates the fractionation of ¹⁵N from the pool of bioavailable nitrogen. For simplicity we treat this
 bioavailable pool as nitrate (NO₃), where total NO₃ is the sum of ¹⁵NO₃ and ¹⁴NO₃. We therefore chose to ignore fractionation during reactions involving ammonium, nitrite and dissolved organic nitrogen, which can vary in their isotopic composition independent of NO₃ but represent a small fraction of the bioavailable pool of nitrogen.

The isotopic signatures of N₂ fixation and atmospheric deposition, and the fractionation during water column denitrification $(\epsilon_{wc}^{15}N)$ and sedimentary denitrification $(\epsilon_{sed}^{15}N)$ determine the global $\delta^{15}N$ of NO₃ (Brandes and Devol, 2002). Exchanges internal to the ocean, namely biological assimilation $(\epsilon_{bio}^{15}N)$ and remineralisation, affect the distribution of $\delta^{15}NO_3$. N₂ fixation and atmospheric deposition introduce ¹⁵NO₃ to the ocean with $\delta^{15}N$ values of -1 ‰ and -2 ‰, respectively, while biological assimilation, water column denitrification and sedimentary denitrification fractionate against ¹⁵NO₃ at 5 ‰, 20 ‰ and 3 ‰, respectively (Sigman and Casciotti, 2001).

The accepted standard ¹⁵N:¹⁴N ratio used to measure variations in nature is the average atmospheric ¹⁵N:¹⁴N ratio of
 0.0036765. To minimise numerical errors caused by the OGCM, we set the atmospheric standard to 1. This scales up the ¹⁵NO₃ such that a δ¹⁵N value of 0 ‰ was equivalent to an ¹⁵N:¹⁴N ratio of 1:1.





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Because we simulate NO₃ and ¹⁵NO₃ as tracers, our calculations require solving for an implicit pool of ¹⁴NO₃ during each reaction involving ¹⁵NO₃. The introduction of NO₃ at a fixed $\delta^{15}N_{NO_3}$ of -1 % due to N₂ fixation provides a simple example without the complications of fractionation, which we address later. A $\delta^{15}N_{NO_3}$ of 1 % is equivalent to a ${}^{15}N{}^{14}N$ ratio of 0.999 in our approach where 0 % equals a 1:1 ratio of ¹⁵N:¹⁴N. If the amount of NO₃ being added is known alongside its 15 N: 14 N ratio, in this case 0.999 for N₂ fixation, we are able to calculate how much 15 NO₃ is added.

The derivation is as follows. We begin with two equations that describe the system.

$$NO_3 = {}^{15} NO_3 + {}^{14} NO_3 \tag{8}$$

$$\delta^{15} N_{NO_3} = \left(\frac{{}^{15} NO_3 / {}^{14} NO_3}{{}^{15} N_{std} / {}^{14} N_{std}} - 1\right) \cdot 1000 \tag{9}$$

Ultimately, we need to solve for the change in $^{15}NO_3$ associated with an introduction of NO₃ by N₂ fixation. Our knowns are the change in NO₃, the δ^{15} N of that NO₃, and the ${}^{15}N_{std}/{}^{14}N_{std}$. Our two unknowns are 15 NO₃ and 14 NO₃. We must solve for ${}^{14}NO_3$ implicitly by describing it according to ${}^{15}NO_3$ by rearranging Eq. (9).

$${}^{14}NO_3 = {}^{15}NO_3 / \left(\left(\frac{\delta^{15}N_{NO_3}}{1000} + 1 \right) \cdot {}^{15}N_{std} / {}^{14}N_{std} \right)$$
(10)

This allows us to replace the ${}^{14}NO_3$ term in Eq. (8), such that 10

$$NO_3 = {}^{15} NO_3 + {}^{15} NO_3 / \left(\left(\frac{\delta^{15} N_{NO_3}}{1000} + 1 \right) \cdot {}^{15} N_{std} / {}^{14} N_{std} \right)$$
(11)

In our example of N₂ fixation we know the δ^{15} N of the newly added NO₃ as being -1 %. We also know ${}^{15}N_{std}/{}^{14}N_{std}$ as equal to 1:1, or 1. Our equation is simplified.

$$NO_3 = {}^{15}NO_3 + {}^{15}NO_3/0.999 \tag{12}$$

We can now solve for ${}^{15}NO_3$ by rearranging the equation.

$$^{15}NO_3 = \frac{0.999 \cdot NO_3}{1+0.999}.$$
 (13)

The same calculation is applied to NO₃ addition via atmospheric deposition except at a constant fraction of 0.998 ($\delta^{15}N = -2$ %), and can be applied to any addition or subtraction of $^{15}NO_3$ relative to NO_3 where the isotopic signature is known. 15

Fractionating against ¹⁵NO₃ during biological assimilation ($\epsilon_{bio}^{^{15}N}$), water column denitrification ($\epsilon_{wc}^{^{15}N}$) and sedimentary denitrification $(\epsilon_{sed}^{^{15}N})$ involves more considerations because we must account for the preference of $^{14}NO_3$ over $^{15}NO_3$. We begin with an ϵ of 5 % for biological assimilation. This is equivalent to an ${}^{15}NO_3$: ${}^{14}NO_3$ ratio of 0.995 when our atmospheric standard is equal to 1:1 using the following equation.

$$\epsilon = \left(\frac{{}^{15}N/{}^{14}N}{{}^{15}N_{std}/{}^{14}N_{std}} - 1\right) \cdot 1000 \tag{14}$$

Note that a positive ϵ value returns an ¹⁵NO₃:¹⁴NO₃ ratio < 1, while a negative δ^{15} N in the previous example with N₂ fixation 20 also returned an ${}^{15}NO_3$: ${}^{14}NO_3$ ratio < 1. This works because the reactions are in opposite directions. N₂ fixation adds NO₃, while assimilation removes NO₃. This means that 0.995 units of ¹⁵NO₃ are assimilated per unit of ¹⁴NO₃. As we have seen,





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a more useful way to quantify this is per unit of NO₃ assimilated into organic matter. Using Eq. (13), we find that ~0.4987 units of ¹⁵NO₃ and ~0.5013 units of ¹⁴NO₃ are assimilated per unit (1.0) of NO₃ when ϵ equals 5 ‰. Biological assimilation therefore leaves slightly more ¹⁵N in the unused NO₃ pool relative to ¹⁴N, which increases the δ^{15} N of NO₃ while creating ¹⁵N-deplete organic matter ($\delta^{15}N_{org}$).

- However, we must also account for the effect that NO₃ availability has on fractionation. The preference of ¹⁴NO₃ over ¹⁵NO₃ strongly depends on the availability of NO₃, such that when NO₃ is abundant the preference for the lighter isotope will be strongest. However, the preference (fractionation) becomes weaker as NO₃ is depleted because cells will absorb any NO₃ that is available irrespective of its isotopic composition (Mariotti et al., 1981). Thus, as NO₃ is utilised, *u*, towards 100 % of its availability (*u* = 1), the fractionation against ¹⁵NO₃ decreases to an *ε* of 0 ‰. This means that when *u* is equal to 1, no fractionation occurs and equal parts ¹⁵N and ¹⁴N (0.5:0.5 per unit NO₃) are assimilated. We use the accumulated product
- equations (Altabet and Francois, 2001) to approximate this process, where:

$$\epsilon_u = \epsilon \cdot \frac{1-u}{u} \cdot \ln(1-u) \tag{15}$$

For an ϵ of 5 ‰, the utilisation-affected ϵ_u has a range of -5 to 0 ‰ for a domain of u of (0,1). ϵ_u is then converted into ratio units by dividing by 1000, and added to the ambient ¹⁵N:¹⁴N of NO₃ in the reactant pool to determine the ¹⁵N:¹⁴N of the product. In this case, it is the ¹⁵N:¹⁴N of newly created organic matter, but could also be unused NO₃ effluxed from denitrifying cells in the case for denitrification.

$${}^{15}N_{org}:{}^{14}N_{org} = {}^{15}NO_3:{}^{14}NO_3 + \epsilon_u$$
(16)

We then solve for how much ${}^{15}NO_3$ is assimilated into organic matter using Eq. (13) because we now know the change in NO₃ (*DeltaNO*₃) and the ${}^{15}N{}^{14}N$ of the product, which is ${}^{15}N_{org}/{}^{14}N_{org}$ in our example of biological assimilation.

$$\Delta^{15} NO_3 = \frac{{}^{15}N_{org}/{}^{14}N_{org}\cdot\Delta NO_3}{1+{}^{15}N_{org}/{}^{14}N_{org}}$$
(17)

Here, the change in ¹⁵NO₃ is equivalent to that assimilated into organic matter. Following assimilation into organic matter, the release of ¹⁵NO₃ through the water column during remineralisation occurs with no fractionation, such that the same δ¹⁵N
 signature is released throughout the water column.

We apply these calculations to each reaction in the nitrogen cycle that involves fractionation (assimilation, water column denitrification and sedimentary denitrification). They could be applied to any form of fractionation process with knowledge of ϵ , the isotopic ratio of the reactant, the amount of reactant that is used, and the total amount of reactant available.

4 Model performance

25 CSIRO Mk3L-COAL adequately reproduces the large-scale thermohaline properties and circulation of the ocean under preindustrial conditions in numerous prior studies (Phipps et al., 2013; Matear and Lenton, 2014; Buchanan et al., 2016, 2018). Rather than reproduce these studies, we concentrate here on how the biogeochemical model performs relative to measurements of δ^{13} C and δ^{15} N in the water column (Eide et al., 2017, δ^{15} N_{NO3} data courtesy of The Sigman Lab at Princeton University)





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and in the sediments (Tesdal et al., 2013; Schmittner et al., 2017). We make these model-data comparisons alongside other isotope enabled models (Table 1).

All analyses of model performance were undertaken using the default parameterisation of the biogeochemical model, which is summarised in appendix B. Each experiment was run towards steady-state for at least 8,000 years under pre-industrial atmospheric conditions. We state "at least" here because many solutions were cumulatively run for many tens of thousands of years over the full course of development. Importantly, all results presented in this paper reflect tracers that have achieved an equilibrium state. We present annual averages of the equilibrium state in the following analysis.

Table 1. Models assessed against isotope data below. LOVECLIM fields taken from Menviel et al. (2017). UVic fields taken from Schmittner and Somes (2016). PISCES fields provided by Laurent Bopp. PISCES model resolution has a range of latitude spacings to reflect the finer resolution at the equator (0.33°) and poles ($\sim 0.05^{\circ}$) and coarser resolution in the mid latitudes.

Model	Group	$\operatorname{Lon} \times \operatorname{Lat}$	Vertical levels
CSIRO Mk3L-COAL	Commonwealth Scientific and Industrial Research Organisation	$2.8125^{\circ} \times \sim 1.6^{\circ}$	21
LOVECLIM	Université catholique de Louvain	$3^{\circ} \times 3^{\circ}$	20
UVic	University of Victoria	$3.6^{\circ} \times 1.8^{\circ}$	19
PISCES	Nucleus for European Modelling of the Ocean	$1^{\circ} \times \sim 0.05$ -0.95°	75

4.1 δ^{13} C of dissolved inorganic carbon (δ^{13} C_{DIC})

The recent reconstruction of pre-industrial $\delta^{13}C_{DIC}$ by Eide et al. (2017) provides a large dataset for comparison. We chose this dataset over the compilation of point location water column data of Schmittner et al. (2017) because it offers a gridded product where short-term and small-scale variability are smoothed, making for more appropriate comparison with model output.

Predicted values of $\delta^{13}C_{DIC}$ from CSIRO Mk3L-COAL agreed well with the pre-industrial distribution (Table 2). The predicted global mean of 0.47 % reflected that of the reconstructed mean of 0.42 %. This mean is lower than the 0.64 % of Schmittner et al. (2017) because Eide et al. (2017) excluded the Arctic and upper 200 metres in their reconstruction, which we

adhere to in our comparison. Spatial agreement was also high with a global correlation of 0.80. Regionally, the Southern Ocean performed well with the lowest RMS error of 0.43 %, while a greater degree of disagreement in the values of $\delta^{13}C_{DIC}$ existed in the middle and lower latitudes of each major basin. Subsurface $\delta^{13}C_{DIC}$ was too low in the tropics of the major basins by ~0.2 %, and too high in the North Pacific and North Atlantic by 0.4 to 0.6 % (Fig. 2).

While the simulated magnitude and distribution was generally consistent with observations, several key inconsistencies exis-20 ted and were related to physical limitations of the OGCM. Too negative $\delta^{13}C_{DIC}$ in the tropics reflected the expansive oxygen minimum zones as simulated in the model, where restricted mixing and high export of ¹³C-depleted organic matter drove negative $\delta^{13}C_{DIC}$ values. Coarse resolution OGCMs produce weak equatorial undercurrents that are important for reducing nutrient trapping at the equator (Matear and Holloway, 1995; Oschlies, 2000), and CSIRO Mk3L-COAL is no exception. Too positive $\delta^{13}C_{DIC}$ in the subsurface North Pacific reflects the inability of the OGCM to resolve the transport of these waters





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northward, which would mix negative $\delta^{13}C_{DIC}$ across the subsurface North Pacific basin. Too positive $\delta^{13}C_{DIC}$ in the North Atlantic reflects too much transport of high $\delta^{13}C_{DIC}$ surface waters into the interior by North Atlantic Deep Water. Our predicted $\delta^{13}C_{DIC}$ in the upper 500 metres, in fact, appears to far exceed the reconstruction of Eide et al. (2017). However, values as high as 2 ‰ have been measured in the upper 500 metres of the Indo-Pacific (Schmittner et al., 2017). Given the difficulties associated with accounting for the Suess Effect (invasion of isotopically light fossil fuel CO₂) it is possible that the upper ocean values of Eide et al. (2017) underestimate the preindustrial $\delta^{13}C_{DIC}$ surface field. It is also equally possible that our fixed biological fractionation of $\epsilon_{bio}^{13}C$ may be an overestimate in the lower latitudes.

Table 2. Global and regional fits between data (Eide et al., 2017) and simulated δ^{13} C of dissolved inorganic carbon. Measures of fit do not include the Arctic nor the upper 200 metres of the water column. All data was regridded onto the CSIRO Mk3L-COAL gridspace before comparison.

	Global	Southern Ocean	Atlantic	Pacific	Indian
mean (data)	0.44 ‰	0.61 ‰	0.97 ‰	0.11 ‰	0.39 ‰
mean (CSIRO Mk3L-COAL)	0.47 ‰	0.66 ‰	0.91 ‰	0.24 ‰	0.26 ‰
correlation	0.80	0.90	0.66	0.82	0.94
RMSE	0.48 ‰	0.43 ‰	0.73 ‰	0.96 ‰	0.99 ‰
mean (LOVECLIM)	0.44 ‰	0.57 ‰	0.74 ‰	0.23 ‰	0.45 ‰
correlation	0.66	0.74	0.75	0.57	0.81
RMSE	0.48 ‰	0.37 ‰	0.50 ‰	0.76 ‰	0.55 ‰
mean (UVic)	0.65 ‰	0.74 ‰	1.15 ‰	0.37 ‰	0.66 ‰
correlation	0.91	0.91	0.79	0.93	0.93
RMSE	0.33 ‰	0.50 ‰	0.49 ‰	0.79 ‰	0.75 ‰
mean (PISCES)	0.40 ‰	0.57 ‰	0.89 ‰	0.09 ‰	0.44 ‰
correlation	0.92	0.91	0.76	0.95	0.93
RMSE	0.25 ‰	0.45 ‰	0.52 ‰	0.71 ‰	0.76 ‰

We can, however, place our predicted $\delta^{13}C_{DIC}$ alongside those of other global ocean models. We take annually averaged, pre-industrial $\delta^{13}C_{DIC}$ distributions from the LOVECLIM, UVic and PISCES biogeochemical models, each of which have been used in significant palaeoceanographic modelling studies (Menviel et al., 2017; Tagliabue et al., 2009; Schmittner and Somes, 2016). Predicted $\delta^{13}C_{DIC}$ performs adequately in CSIRO Mk3L-COAL relative to these state-of-the-art models. LO-VECLIM showed good fit in terms of global and regional means, but had lower correlations, suggesting that its values were accurate but its distribution biased. UVic had high correlations, but it consistently overestimated the preindustrial field by ~0.2 ‰. PISCES was the best performing model, mostly showing the best correlations, means and lowest RMS errors in both a

15 global and regional sense. This is not surprising considering the significantly finer resolution of PISCES (Table 1). CSIRO





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Mk3L-COAL performed well in terms of its mean values and correlations, but had consistently greater RMS errors in major basins outside of the Southern Ocean. This indicates that CSIRO Mk3L-COAL exaggerates regional minima and maxima, as discussed in the previous paragraph. All models predict upper ocean $\delta^{13}C_{DIC} \ge 2.0 \%$ which suggests that the upper ocean values between 200 and 500 metres of (Eide et al., 2017) are too low. Despite the regional biases of CSIRO Mk3L-COAL, the comparison demonstrates that all models have strengths and weaknesses. Given its low resolution and computational efficiency, CSIRO Mk3L-COAL performs adequately among other biogeochemical models in its simulation of $\delta^{13}C_{DIC}$.

4.2 δ^{13} C of *Cibicides* for a for δ^{13} C_{*Cib*})

We extended our assessment of modelled $\delta^{13}C_{DIC}$ by comparing it to a compilation of benthic $\delta^{13}C$ values taken from the foraminiferal genus *Cibicides* (Schmittner et al., 2017). For this comparison, we adjusted our predicted $\delta^{13}C_{DIC}$ using the linear dependence on carbonate ion concentration and depth suggested by Schmittner et al. (2017):

$$\delta^{13}C_{Cib} = 0.45 + \delta^{13}C_{DIC} - 2.2 \times 10^{-3} \cdot CO_3 - 6.6 \times 10^{-5} \cdot z \tag{18}$$

By adjusting our three dimensional $\delta^{13}C_{DIC}$ output using Eq. (18), we attain predicted $\delta^{13}C_{Cib}$. We also computed measures of statistical fit for a traditional one to one comparison between $\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$.

Measured $\delta^{13}C_{Cib}$ was binned into model grid boxes and averaged for the comparison. Those measurements that fell within the OGCM's land mask were excluded. Transfer and averaging onto the coarse resolution OGCM grid reduced the number of





points for comparison from 1,763 to 690, lowered the mean of measured $\delta^{13}C_{Cib}$ from 0.76 % to 0.52 % and reduced the absolute range from -0.9 \rightarrow 2.1 to -0.7 \rightarrow 2.1.

Adjusted $\delta^{13}C_{Cib}$ using Eq. (18) showed good fit to measured $\delta^{13}C_{Cib}$ given the sparsity of data, with a global correlation of 0.64, a mean of 0.57 ‰ and an RMS error of 0.63 ‰. If a one to one relationship between $\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$ was

- 5 used, the global correlation was significantly worse at 0.03, despite little change in the global mean from 0.52 to 0.59 % onor the RMS error from 0.63 to 0.67 % o. Accounting for the regional influence of carbonate ion concentration and depth were therefore important for correcting the spatial patterns of modelled $\delta^{13}C_{Cib}$. Of the 690 data points used in the comparison, 392 fell within the error around what could be considered a good fit (Fig. 3). The error was taken as 0.29 % o, and represents the standard deviation associated with the relationship between $\delta^{13}C_{DIC}$ with $\delta^{13}C_{Cib}$ measurements (Schmittner et al., 2017).
- 10 Even so, some notable over and underestimation occurred in the adjusted δ¹³C_{Cib} output that more or less mirrored those inconsistencies previously discussed for δ¹³C_{DIC}. Values as low as -1.9 ‰, well below measured δ¹³C_{Cib} minima of -0.7 ‰, existed in the equatorial subsurface Pacific and Indian Oceans (i.e. where the oxygen minimum zones existed). This can be seen in figure 3, where some values in the equatorial band are well below the shaded region of good fit. Meanwhile, very high values of δ¹³C_{Cib} were predicted in Arctic surface waters. The exaggeration of these local minima and maxima reflect those found in the modelled δ¹³C_{DIC} distribution.

4.3 δ^{15} N of nitrate (δ^{15} N_{NO3})

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We produced univariate measures of fit by comparing measurements of $\delta^{15}N_{NO_3}$ with equivalent values from CSIRO Mk3L-COAL at the nearest point (Table 3). Measured $\delta^{15}N_{NO_3}$ were collected over a 30 year period using a variety of collection and measurement methods with a distinct bias towards the Atlantic Ocean. To try and remove some temporal and spatial bias, we binned and averaged measurements into equivalent model grids.

CSIRO Mk3L-COAL adequately reproduced the global patterns of $\delta^{15}N_{NO_3}$. We found excellent agreement in the volumeweighted means of $\delta^{15}N_{NO_3}$ (Table 3). Tight agreement in the means was a consequence of reproducing similar values where the majority of observed data existed. Most $\delta^{15}N_{NO_3}$ measurements have been taken from the upper 1,000 meters in the North Atlantic where values cluster at just under 5 % (see lefthand panels in Fig. 4). Closer inspection of the Atlantic using depth

- and zonally averaged sections (Figs. 5 and 6) revealed that the model adequately reproduced the low δ^{15} N signature of N₂ fixation at ~4 % occurring in the tropical Atlantic (Marconi et al., 2017). We found a total, basin-wide rate of Atlantic N₂ fixation equal to ~33 Tg N yr⁻¹. Outside the Atlantic where data is more sparse, the model reproduced the strong meridional gradient across the Southern Ocean, subsurface δ^{15} N_{NO3} maxima in the tropics of all major basins, and tongues of high and low values in the surface Pacific consistent with changes in nitrate utilisation (Figs. 5 and 6).
- Some important regional inconsistencies between the simulated and measured values did exist (refer to Figs. 5 and 6), and these inconsistencies degraded the correlation. Much like the high values of $\delta^{13}C_{DIC}$ that were transported too deeply into the North Atlantic interior, a low $\delta^{15}N_{NO_3}$ signature was transported too far into the deep North Atlantic. CSIRO Mk3L-COAL therefore underestimated deep $\delta^{15}N_{NO_3}$ before mixing through to the South Atlantic restored values towards the measurements. Subsurface values in the North Pacific were also underestimated, which can be attributed to the inability of the coarse





Figure 3. Measured versus modelled $\delta^{13}C_{Cib}$ (N = 690) coloured by latitude. Red shading about the 1:1 line is an estimate of the variability implicit in the relationship between $\delta^{13}C_{Cib}$ and $\delta^{13}C_{DIC}$ of Schmittner et al. (2017).



resolution OGCM to transport low O_2 , high $\delta^{15}N_{NO_3}$ water northwards from the Eastern Tropical Pacific. Simulated values in the Indian Ocean, specifically near to the Arabian Sea, also underestimated the data because the suboxic zone was misrepresented in the Bay of Bengal. Such misreprestation of these two different seas in the North Indian Ocean was responsible for very poor model-data fit. Meanwhile, the deep (> 1,500 metres) Eastern Tropical Pacific tended to overestimate the data, owing to a large, deep, unimodal suboxic zone. These physically-driven inconsistencies in the oxygen field are common to other coarse resolution models (Oschlies et al., 2008; Schmittner et al., 2008), and like the δ^{13} C distribution, were the main cause of the misfit between simulated and observed $\delta^{15}N_{NO_3}$. The correlations reflected these regional under and overestimations,

particularly in the Indian Ocean (Table 3).

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Finally, we placed CSIRO Mk3L-COAL in the context of other isotope enabled global models: UVic and PISCES (Table 3). This comparison demonstrated that the modelled distribution of $\delta^{15}N_{NO_3}$ was adequately placed among the current generation of models. The global and regional means were more accurately reproduced by CSIRO Mk3L-COAL than for UVic and PISCES, while the correlations tended to be slightly lower than UVic and consistently lower than PISCES. PISCES was best correlated to the measurements of $\delta^{15}N_{NO_3}$ of the three models. Again, this is not surprising given its finer resolution. Even so, the correlations to the noisy and sparse $\delta^{15}N_{NO_3}$ were acceptable for CSIRO Mk3L-COAL, as most regional patterns





Table 3. Global and regional fits at all depths between data and simulated δ^{15} N of NO₃. The δ^{15} N data (5,330 measurements courtesy of The Sigman Lab, Princeton University) was binned into corresponding grid boxes and averaged for direct comparison, which reduced the data to 2,532 points. More than one data point of δ^{15} N may therefore contribute to each simulated value.

	Global	Southern Ocean	Atlantic	Pacific	Indian
mean (data)	5.4 ‰	5.3 %	4.8 %	6.8 %	6.7 %
mean (CSIRO Mk3L-COAL)	5.5 ‰	5.4 ‰	4.7 ‰	7.8 ‰	5.2 ‰
correlation	0.62	0.62	0.55	0.46	0.07
RMSE	0.2 ‰	0.1 ‰	0.1 %	0.2~%	0.1 ‰
mean (UVic)	6.6 ‰	6.5 ‰	6.2 ‰	7.6 ‰	7.4 ‰
correlation	0.65	0.79	0.36	0.51	0.54
RMSE	0.2 ‰	0.1 ‰	0.1 ‰	0.2~%	0.1 ‰
mean (PISCES)	4.3 ‰	4.6 ‰	3.7 ‰	5.6 ‰	5.1 ‰
correlation	0.74	0.90	0.50	0.60	0.67
RMSE	0.2 ‰	0.1 ‰	0.1 ‰	0.2~%	0.1 ‰

were reproduced, albeit with some under and overestimation as discussed. The exception was the Indian Ocean, where CSIRO Mk3L-COAL unfortunately places the oxygen minimum zone in the Bay of Bengal rather than in the Arabian Sea. Future model-data comparisons with sedimentary nitrogen isotopes should therefore take this into account. Annual rates of N₂ fixation, water column denitrification and sedimentary denitrification at roughly 122, 52 and 78 Tg N yr⁻¹, respectively, produced this agreement with the $\delta^{15}N_{NO_3}$ field.

4.4 δ^{15} N of organic matter (δ^{15} N_{org})

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We compared the simulated $\delta^{15}N_{org}$ to the coretop compilation of Tesdal et al. (2013) with 2,176 records of $\delta^{15}N_{org}$. These records were binned and averaged onto the CSIRO Mk3L-COAL ocean grid, such that the 2,176 records became 592. When comparing sediment coretop measurements of $\delta^{15}N$ to that of the model, it is necessary to consider how $\delta^{15}N_{org}$ is altered by

10 early burial. As records in the compilation of Tesdal et al. (2013) are from bulk nitrogen, we can assume that the "diagenetic offset" as described by Robinson et al. (2012) is active. The diagenetic offset involves an increase in the δ^{15} N of sedimentary nitrogen typically between 0.5 and 4.1 % relative to the isotopic signature of particulate organic matter in the water column, and appears to be have some inverse relationship to depth (Robinson et al., 2012).





Figure 4. Observed (left) and modelled (right) δ^{15} N of NO₃ data (N = 5,004) plotted against depth (a and b), latitude (c and d) and longitude (e and f). Colour shading represents the density of data, such that the darker a mass of data points is the more data is represented there.



In light of the diagenetic offset, we make two comparisons with the compilation of Tesdal et al. (2013). A raw comparison is made, alongside an attempt to account for the diagenetic offset using two depth-dependent corrections (Table 4 and Fig. 7):

$$\delta^{15} N_{org}^{cor:1} = \begin{cases} \delta^{15} N_{org}, & \text{if } z(km) < 1km \\ \delta^{15} N_{org} + \left(1 \cdot z(km) + 1\right), & \text{if } z(km) \ge 1km \end{cases}$$
(19)

$$\delta^{15} N_{org}^{cor:2} = \delta^{15} N_{org} + 0.9 \cdot z(km) \tag{20}$$

The first correction ($\delta^{15}N_{org}^{cor:1}$) is taken from Robinson et al. (2012), while the second ($\delta^{15}N_{org}^{cor:2}$) originates from how Schmittner and Somes (2016) treated sedimentary nitrogen isotope data in their study of the last glacial maximum.

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Following binning and averaging onto the model grid, the raw comparison immediately showed a consistent underestimation of the coretop data, with a predicted mean of 2.7 % well below the observed mean of 4.7 %. Our correlation was 0.27, which indicates a limited ability to replicate regional patterns. This underestimation and low correlation is easily seen when predicted values are compared directly to the coretop data in Fig. 7. However, like the nitrogen isotope model of Somes et al. (2010), we find that the offset between simulated and observed coretop bulk $\delta^{15}N_{org}$ is roughly equivalent to the observed average





Figure 5. Depth averaged sections of modelled (colour contours) and observed (overlaid markers) $\delta^{15}N_{NO_3}$.



diagenetic alteration of $\sim 2.3 \pm 1.8$ %. This indicates that diagenetic alteration of $\delta^{15}N_{org}$ is active during early burial in the coretop data.

Including a diagenetic offset therefore improved agreement between our predicted δ¹⁵N_{org} and the coretop data considerably (Table 4 and Fig. 7). Both corrections accounted for the enrichment of δ¹⁵N in deeper regions and the minor diagenetic
alteration in areas of high sedimentation that typically occur in shallower sediments. The average δ¹⁵N_{org} increased to 4.5 ‰ for δ¹⁵N^{cor:1}_{org} and 5.2 ‰ for δ¹⁵N^{cor:2}_{org}. Correlations increased from 0.27 to 0.47 and 0.53, respectively. The improvement was clearly observed in the Southern Ocean, where both the magnitude and spatial patterns of δ¹⁵N_{org} were well replicated by the model. Changes in the Southern Ocean over glacial-interglacial cycles reflect shifts in the global marine nitrogen cycle and nutrient utilisation (Martinez-Garcia et al., 2014; Studer et al., 2018), and the ability of CSIRO Mk3L-COAL to account for these patterns in the coretop data is encouraging for future study.

5 Ecosystem effects

We document how different representations of the marine biological system affect the global distributions of δ^{13} C and δ^{15} N. For reference, the assessment of model performance just described above used model output with variable stoichiometry activated, a fixed 8% rain ratio of CaCO₃ to organic carbon, and a strong iron limitation of N₂ fixers that enforced a low degree

15 of spatial coupling between N_2 fixers and denitrification zones.





Figure 6. Zonally averaged sections of modelled (colour contours) and observed (overlaid markers) $\delta^{15}N_{NO_3}$. The global zonal average encompasses all basins.



Table 4. Statistical comparison of coretop $\delta^{15}N_{org}$ with predicted values of the CSIRO Mk3L-COAL ocean model. The offset to the predicted values is informed by the 1.0 % km⁻¹ + 1.0 % relationship presented by Robinson et al. (2012) that accounts for alterations to the $\delta^{15}N$ occurring during early burial.

	Glob	al (N=592	2)	Southern	Ocean (N	(=81)
	Average	SD	r^2	Average	SD	r^2
Observations	4.7 ‰	3.1 ‰	1.0	5.2 ‰	1.7 ‰	1.0
Raw comparison	2.7 ‰	3.2 ‰	0.27	1.1 ‰	1.6 ‰	0.13
$\delta^{15} \mathrm{N}^{cor:1}_{org}$	4.5 ‰	3.8 ‰	0.47	4.3 ‰	1.8 ‰	0.45
$\delta^{15} \mathrm{N}_{org}^{cor:2}$	5.2 ‰	4.2 ‰	0.53	5.7 ‰	1.9 ‰	0.47

5.1 Variable versus Redfieldian stoichiometry

Enabling variable stoichiometry of the general phytoplankton group (P_{org}^G) over a Redfieldian ratio (C:N:P:O₂^{rem}:NO₃^{rem} = 106:16:1:-138:-94.4) altered the total rate, type and spatial distribution of organic matter export. Total carbon export increased from 7.6 to 8.0 Pg C yr⁻¹. Approximately 0.1 Pg C yr⁻¹, or 25 % of the increase, was attributed purely to organic carbon





Figure 7. Direct comparison of observed versus modelled $\delta^{15}N_{org}$ incident on the sediments. Left-side panels show spatial distribution of simulated $\delta^{15}N_{org}$ overlain by coretop data from the compilation of Tesdal et al. (2013). Right-side panels compare all coretop data against simulated $\delta^{15}N_{org}$. Top panels depicts raw output of the model, while the middle and bottom panels depict the predicted values of the model following two depth-dependent offsets (Eqs. (19) and (20)) that account for diagenetic alteration.







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export from N_2 fixation, which increased from 107 to 122 Tg N yr⁻¹. The total contribution of N_2 fixation to the increase in carbon export was likely greater than 25 %, as more NO₃ was made available to NO₃-limited ecosystems. The increase in carbon export under variable stoichiometry as compared to a Redfieldian ocean was therefore felt largely in the lower latitudes between 40°S and 40°N (Fig. 8). Export production decreased poleward of 40°, particularly in the Southern Ocean, because C:P ratios were lower than the 106:1 Redfield ratio (Fig. 8).

Distributions of both isotopes were affected by the change in carbon export and the marine nitrogen cycle. Global mean $\delta^{13}C_{DIC}$ increased from 0.52 to 0.54 %, and $\delta^{15}N_{NO_3}$ increased from 5.1 to 5.6 %. These are not great changes on the global scale and they had little influence on model-data measures of fit. However, the spatial distribution of these isotopes was significantly altered. Intermediate waters leaving the Southern Ocean were depleted in $\delta^{13}C_{DIC}$ by up to 0.1 % and

- 10 $\delta^{15}N_{NO_3}$ by up to 1 %, while the deep ocean, particularly the Pacific, was enriched in both isotope to a similar degree (Fig. 9). Depletion of both isotope in waters subducted between 40°S and 60°S reflected the local loss in export production as a result of lower C:P and N:P ratios. Enrichment of δ^{13} C in the deep ocean was the result of reduced carbon export in the Southern Ocean, while enrichment of δ^{15} N in the deep ocean was the result of increased tropical production that increased water column denitrification. Loss of Southern Ocean export therefore depleted both $\delta^{13}C_{DIC}$ and $\delta^{15}N_{NO_3}$ of waters moving northwards
- 15 into the subtropical gyres, while enriching these isotopes in the deep ocean.

Meanwhile, each isotope showed a different response in the suboxic zones of the tropics where variable stoichiometry increased the volume of suboxia ($O_2 < 10 \text{ mmol m}^{-3}$) by 0.5 %. The increase in water column denitrification caused by the expansion of suboxia increased $\delta^{15}N_{NO_3}$, while the local increase in carbon export that drove the increase in water column denitrification reduced $\delta^{13}C_{DIC}$ in the same waters (Fig. 9). An increase in water column suboxia therefore produced diverging behaviours in the isotopes

20 behaviours in the isotopes.

5.2 Calcifier dependence on calcite saturation state

The rate of calcification of planktonic foraminifera and coccolithophores is dependent on the calcite saturation state (Zondervan et al., 2001). In previous experiments, the production of CaCO₃ was fixed at a rate of 8 % per unit of organic carbon produced in accordance with the modelling study of Yamanaka and Tajika (1996) and produced 0.54 Pg CaCO₃ yr⁻¹. Now we investigate

25 how spatial variations in the CaCO₃:C_{org} ratio (R_{CaCO_3} in Eq. (A17)) affected $\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$. We applied three different values of η to Eq. (A18) to alter the quantity of CaCO₃ produced per unit of organic carbon (P_{org}^G) given the calcite saturation state (Ω_{ca}). The η coefficients were 0.53, 0.81 and 1.09. These numbers are equivalent to those in the experiments of Zhang and Cao (2016).

Mean R_{CaCO_3} was 4.5, 6.6 and 9.5 % and annual CaCO₃ production was 0.32, 0.47 and 0.68 Pg CaCO₃ yr⁻¹ in the three experiments. Although different in total CaCO₃ production, the experiments shared the same spatial patterns. Regional patterns in R_{CaCO_3} involved maxima in the low latitudes, particularly the oligotrophic subtropical gyres, and mimima in the high latitudes, particularly the Antarctic zone where mixing of deep waters into the surface depressed surface saturation state (Fig. 10). These regional patterns in R_{CaCO_3} therefore had the largest effect in areas of high export production. Productive, high latitude areas like the Southern Ocean, subpolar Pacific and North Atlantic waters all produced less CaCO₃ when compared

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Figure 8. Simulated difference in the C:P ratio of exported organic matter due to variable stoichiometry as compared to Redfield stoichiometry (top) and the resulting change in carbon export out of the euphotic zone (bottom).



to an enforced 8 % rain ratio. Meanwhile between latitudes 40°S and 40°N, whether CaCO₃ production increased relative to a fixed R_{CaCO_3} of 8 % was dependent on η . The highest η coefficient of 1.09 achieved greater export of CaCO₃ out of the euphotic zone, and did so exclusively in the mid to lower latitude regions of high export production (Fig. 10). The consequence of increasing CaCO₃ production in the mid-lower latitudes was a loss of alkalinity, subsequent outgassing of CO₂ and losses in the DIC inventory. Losses in global DIC were 95 and 130 Pg C as R_{CaCO_3} increased from 4.6 \rightarrow 6.6 \rightarrow 9.5 %, equivalent to $\frac{1}{5}$ th of the glacial increase in oceanic carbon (Ciais et al., 2011).

Despite the significant changes associated with the implementation of Ω_{ca} -dependent CaCO₃ production and varying the η coefficient, effects were negligible on both $\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$. Global mean $\delta^{13}C_{DIC}$ was 0.51 ‰, when R_{CaCO_3} was fixed at 8 %, and this changed to 0.52, 0.50 and 0.48 ‰ under η coefficients of 0.53, 0.81 and 1.09. Likewise, global

- 10 mean $\delta^{13}C_{Cib}$ was 0.59 ‰, when R_{CaCO_3} was fixed at 8 %, and this changed to 0.60, 0.58 and 0.55 ‰. Minimal change in $\delta^{13}C_{Cib}$ indicated minimal change in the CO_3^{2-} concentration (see Eq. (18)), which varied by $\leq 2 \text{ mmol m}^{-3}$ between experiments. Visual inspection of the change in $\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$ distributions showed an enrichment of these isotopes in the upper ocean north of 40°S. Subsequent increases in η , which increased low latitude CaCO₃ production, magnified the enrichment. Enrichment of $\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$ was caused by outgassing of CO₂ as surface alkalinity decreased in response
- 15 to greater CaCO₃ production (Fig. 11). The change, however, was at most 0.1 ‰, which lies well within one standard deviation





Figure 9. Differences in $\delta^{13}C_{DIC}$ (top) and $\delta^{15}N_{NO_3}$ (bottom) as a result of variable stoichiometry as compared to Redfield stoichiometry. Values are zonal means.



of variability known in the proxy data (Schmittner et al., 2017). We therefore find little scope for recognising even large variations in global CaCO₃ production (0.32 to 0.68 Pg CaCO₃ yr⁻¹) in the signature of carbon isotopes despite considerable effects on the oceanic inventory of DIC.

5.3 Strength of coupling between N2 fixation and denitrification

The degree to which N_2 fixers are spatially coupled to the tropical denitrification zones is controlled by altering the degree 5 to which N₂ fixers are limited by iron (K_{Fe}^D) in Eq. (A12). Decreasing K_{Fe}^D ensures that N₂ fixation becomes less dependent on iron supply, and as such is released from regions of high aeolian deposition, such as the North Atlantic, to inhabit areas of low NO₃:PO₄ ratios. Areas of low NO₃:PO₄ exist in the tropics proximal to water column denitrification zones. Releasing N_2 fixers from Fe limitation therefore increases the spatial coupling between N2 fixation and water column denitrification, while 10 also increasing the global rate of N2 fixation.

We steadily decreased iron limitation (K_{Fe}^D) to increased the strength of spatial coupling between N₂ fixers and the tropical denitrification zones (Fig. 12). As N2 fixers coupled more strongly to regions of low NO3:PO4, the rate of N2 fixation increased from 122 to 144 to 154 Tg N yr⁻¹. An expansion of the suboxic zones from 2.1 to 2.5 to 2.7 % in the tropics accompanied the increase in N₂ fixation, as did a decrease in global mean $\delta^{13}C_{DIC}$ of 0.06 and 0.1 %, since greater rates of N₂ fixation stimu-





Figure 10. Global distribution of CaCO₃ export as a percentage of organic carbon (C_{org}) export (top), and the change in the CaCO₃ production field as a result of making CaCO₃ production dependent on calcite saturation state ($\eta = 1.09$) compared to when it was a fixed 8 % of C_{org} (bottom). Areas where export production does not occur due to severely nutrient limited conditions are masked out.



lated tropical export production. Due to the expansion of the already large suboxic zones, which occurred in both horizontal and vertical directions, the amount of organic carbon that reached the sediments increased from 0.35 to 0.46 to 0.51 Pg C yr⁻¹ between 20° S and 20° N.

The overarching consequence for δ¹⁵N_{NO3} due to an expansion of the suboxic zones was an increase in the sedimentary
to water column denitrification ratio from 1.5 to 1.9 to 2.2, which decreased mean δ¹⁵N_{NO3} from 5.6 to 5.2 to 5.0 %. The increase in N₂ fixation (δ¹⁵N_{org} = -1 %) and sedimentary denitrification (ϵ¹⁵_{sed} = 3 %) in the tropics was felt globally for δ¹⁵N_{NO3} (Fig. 13). Lower δ¹⁵N_{NO3} permeated water columns in the Southern Ocean and tropics, which felt a 0.5 and 0.9 % reduction, respectively. Meanwhile, δ¹⁵N_{NO3} was up to 10 % lower in surface waters of the tropical and subtropical Pacific, which is where the greatest increase in N₂ fixation and sedimentary denitrification occurred. The dramatic reduction in surface
δ¹⁵N_{NO3} mirrored what was deposited in the sediments by ± 1 to 2 %.

These simple experiments demonstrate that the insights garnered from sedimentary records of $\delta^{15}N$ are open to multiple lines of interpretation. An expansion of the suboxic zones, normally associated with an increase in $\delta^{15}N_{NO_3}$ (Galbraith et al., 2013), could instead cause a decrease in $\delta^{15}N_{NO_3}$ if more organic matter reached the sediments to stimulate sedimentary denitrification. There is good evidence that more organic matter reached the tropical sediments under glacial conditions (Cartapanis





Figure 11. Changes in the distribution of carbon isotopes ($\delta^{13}C_{DIC}$ and $\delta^{13}C_{Cib}$; top) and carbon chemistry (dissolved inorganic carbon and alkalinity; bottom) as a result of increasing CaCO₃ production in surface waters between 40°S and 40°N.



et al., 2016). The glacial decrease in bulk δ¹⁵N_{org} recorded in the eastern tropical Pacific (Liu et al., 2008) therefore does not necessarily mean a decrease in suboxia. Also, declines in δ¹⁵N_{org} near to highly productive regions are typically interpreted as a symptom of weaker nutrient utilisation caused by stronger upwelling (Robinson et al., 2009), but our experiments show that lower δ¹⁵N_{org} might also be caused by an increase in local N₂ fixation and sedimentary denitrification. In fact, the decrease in δ¹⁵N_{org} seen throughout the tropical Pacific as K^D_{Fe} decreased and N₂ fixation coupled more strongly to the denitrification zones was associated with greater nutrient utilisation. Surface PO₄ between 40°S and 40°N declined from a mean of 0.18 to 0.09 to 0.06 mmol m⁻³. The (a) decrease in δ¹⁵N_{NO3} as increased suboxia caused more organics to hit the sediments and (b) the decrease in δ¹⁵N_{org} as nutrient utilisation/N₂ fixation increased demonstrate the complexity of interpreting sedimentary δ¹⁵N_{org} records in the lower latitudes.

10 6 Conclusions

The stable isotopes of carbon (δ^{13} C) and nitrogen (δ^{15} N) are proxies that have been fundamental for understanding the ocean. We have included both isotopes into the ocean component of an Earth System Model, the CSIRO Mk3L-COAL, to enable future studies with the capability for direct model-proxy data comparisons. We detail how we simulate these isotopes, how we make





Figure 12. Changes in the distribution of marine N₂ fixation caused by altering how limiting iron is to the growth of N₂ fixers via the coefficient K_{Fe}^D in Eq. (A12). Iron limitation is sequentially relaxed from top to bottom.







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Figure 13. Change in $\delta^{15}N_{NO_3}$ caused by a stronger coupling between N₂ fixation and tropical regions of low NO₃:PO₄ concentrations (i.e. tropical upwelling zones with active water column denitrification). The top panel shows the global zonal mean change, while the bottom panel shows the average change in the euphotic zone, here defined as the top 100 metres. Areas with very low NO₃ (< 0.1 mmol m⁻³) are masked out.



model-data comparisons to both water column and sedimentary data, and how we assess changes in their distribution caused by some simple ecosystem changes. CSIRO Mk3L-COAL performs well alongside the current generation of isotope enabled models, and reveals that simple ecosystem changes can have significant and complex effects on δ^{13} C and δ^{15} N. Our idealised experiments hence show that the interpretation of palaeoceanographic records suffer from multiple lines of interpretation, particularly records from the lower latitudes. Future work will involve using this model in both palaeoceanographic simulations,

specifically targeting the problem of carbon and nitrogen cycle changes in important climate transitions.

Data availability. All model output is provided for download on Australia's National Computing Infrastructure (NCI). Nitrogen isotope data are available by request to Dario M. Marconi and Daniel M. Sigman at Princeton University. LOVECLIM data is freely available for download at https://researchdata.ands.org.au/loveclim-glacial-maximum-d13c-d14c/792249. UVic data was provided by Christopher Somes and PISCES data by Laurent Bopp.





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Code availability. The source code for CSIRO Mk3L-COAL is shared via a repository located at http://svn.tpac.org.au/repos/CSIRO_Mk3L/branches/CSI COAL/. Access to the repository may be obtained by following the instructions at https://www.tpac.org.au/csiro-mk3l-access-request/. Access to the source code is subject to a bespoke license that does not permit commercial usage, but is otherwise unrestricted. An "out-of-the-box" run directory is also available for download with all files required to run the model in the configuration used in this study, although users will need to modify the *runscript* according to their computing infrastructure.

Appendix A: Ecosystem component of the OBGCM

A1 Export production

A1.1 General phytoplankton group (^G)

The production of organic matter by the general phytoplankton group (P_{org}^G) is measured in units of mmol phosphorus (P) m⁻³ 10 day⁻¹, and is dependent on temperature (T), nutrients (PO₄, NO₃, and Fe) and irradiance (I):

$$P_{org}^G = S_{E:P}^G \cdot \mu(T)^G \cdot \min\left(P_{lim}^G, N_{lim}^G, Fe_{lim}^G, F(I)\right) \tag{A1}$$

where,

$$S_{E:P}^G = 0.005 \,\mathrm{mmol} \,\mathrm{PO}_4 \,\mathrm{m}^3$$

$$\mu(T)^G = 0.59 \cdot 1.0635^T \tag{A2}$$

$$F(I) = 1 - e^{G(I)} \tag{A3}$$

$$G(I) = \frac{I \cdot \alpha \cdot PAR}{\mu(T)} \tag{A4}$$

In the above, $S_{E:P}$ converts growth rates in units of day⁻¹ to mmol PO₄ m⁻³ day⁻¹. $S_{E:P}$ conceptually represents the export to production ratio, and for simplicity we assume it does not change. $\mu(T)$ is the temperature-dependent maximum daily growth rate of phytoplankton (doublings day⁻¹), as defined by Eppley (1972). The light limitation term (F(I)) is the productivity versus irradiance equation used to describe phytoplankton growth defined by Clementson et al. (1998), and is dependent on I, the daily averaged shortwave incident radiation (W m⁻²), α , the initial slope of the productivity versus radiance curve (day⁻¹/(W m⁻²)), and *PAR*, the fraction of shortwave radiation that is photosynthetically active.

The nutrient limitation terms $(P_{lim}^G, N_{lim}^G, \text{ and } Fe_{lim}^G)$ may be calculated in two ways.

If the option for static nutrient limitation is true, then Michaelis-Menten kinetics (Dugdale, 1967) is used:

$$P_{lim}^G = \frac{PO_4}{PO_4 + K_{PO_4}^G} \tag{A5}$$

$$N_{lim}^G = \frac{NO_3}{NO_3 + K_{NO_3}^G} \tag{A6}$$

$$Fe_{lim}^G = \frac{Fe}{Fe + K_{Fe}^G} \tag{A7}$$

Half-saturation coefficients ($K_{nutrient}^G$) show a large range across phytoplankton species (e.g. Timmermans et al., 2004), and 20 so for simplicity, we set $K_{PO_4}^G = 0.1$ mmol PO₄ m⁻³ (Smith, 1982), $K_{NO_3}^G = 0.75$ mmol NO₃ m⁻³ (Eppley et al., 1969; Carpenter and Guillard, 1971) and $K_{Fe}^G = 0.1 \ \mu$ mol Fe m⁻³ (Timmermans et al., 2001).

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If the option for variable nutrient limitation is true, then Optimal Uptake kinetics (Smith et al., 2009) is used:

$$P_{lim}^G = PO_4 / \left(\frac{PO_4}{1 - f_A} + \frac{V/A}{f_A \cdot \text{N:P}} \right)$$
(A8)

$$N_{lim}^G = NO_3 / \left(\frac{NO_3}{1 - f_A} + \frac{V/A}{f_A}\right) \tag{A9}$$

$$Fe_{lim}^G = \frac{Fe}{Fe + K_{Fe}} \tag{A10}$$

where,

$$f_A = \max\left[\left(1 + \sqrt{\frac{[NO_3]}{V/A}}\right)^{-1}, \left(1 + \sqrt{\frac{[PO_4] \cdot \text{N:P}}{V/A}}\right)^{-1}\right]$$
(A11)

Optimal uptake kinetics varies the two terms in the denominator of the Michaelis-Menten form according to the availability of nutrients. It therefore accounts for different phytoplankton communities with different abilities for nutrient uptake, and does so using the f_A term. The V/A term represents the maximum potential nutrient uptake, V, over the cellular affinity for that nutrient, A, and is set at 0.1.

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A1.2 Diazotrophs (D ; N₂ fixers)

Organic matter produced by diazotrophs (P_{org}^D) is also measured in units of mmol phosphorus (P) m⁻³ day⁻¹, and is calculated in the same form of Eq. (A1), but using the maximum growth rate $\mu(T)^D$ of Kriest and Oschlies (2015), notable changes in the limitation terms, and minimum thresholds that ensure the nitrogen fixation occurs everywhere in the ocean, except under sea ice. P_{org}^D is calculated via:

$$P_{org}^{D} = S_{E:P}^{D} \cdot \mu(T)^{D} \cdot \max\left(0.01, \min\left(N_{lim}^{D}, P_{lim}, Fe_{lim}^{D}\right)\right) \cdot \left(1 - ico\right)$$
(A12)
where,

$$\mu(T)^{D} = \max\left(0.01, -0.0042T^{2} + 0.2253T - 2.7819\right) \tag{A13}$$

$$N_{lim}^D = e^{-NO_3} \tag{A14}$$

$$P_{lim}^{D} = \frac{PO_4}{PO_4 + K_{PO_4}^{D}}$$
(A15)

$$Fe_{lim}^D = \max\left(0.0, \tanh\left(2Fe - K_{Fe}^D\right)\right) \tag{A16}$$

The half saturation values for PO₄ and Fe limitation are set at 0.1 mmol m⁻¹ and 0.5 μ mol m⁻¹, respectively, in the default parameterisation. The motivation for making N₂ fixers strongly limited by Fe was the high cellular requirements of Fe for diazotrophy (see Sohm et al., 2011, and references therein). A dependency on light is omitted from the limitation term when P_{org}^{D} is produced. The omission of light is justified by its strong correlation with sea surface temperature (Luo et al., 2014) and its negligible effect on nitrogen fixation in the Atlantic Ocean (McGillicuddy, 2014). Finally, the fractional area coverage

and its negligible effect on nitrogen fixation in the Atlantic Ocean (McGillicuddy, 2014). Finally, the fractional area coverage of sea ice (*ico*) is included to ensure that cold water N_2 fixation (Sipler et al., 2017) does not occur under ice, since a light dependency is omitted.



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A1.3 Calcifiers

The calcifying group produces calcium carbonate (CaCO₃) in units of mmol carbon (C) m⁻³ day⁻¹. The production of CaCO₃ is always a proportion of the P_{org}^G of the general phytoplankton group, according to:

$$CaCO_3 = P_{org}^G \cdot R_{CaCO_3} \tag{A17}$$

The ratio of CaCO₃ to P_{org}^G (R_{CaCO_3}) can be calculated in two ways.

If the option for **fixed** R_{CaCO_3} is true, then R_{CaCO_3} is set to 0.08 as informed by the experiments of Yamanaka and Tajika (1996). The production of CaCO₃ is thus 8 % of P_{org}^G everywhere.

If the option for **variable** R_{CaCO_3} is true, then R_{CaCO_3} varies as a function of the saturation state of calcite (Ω_{ca}) according to Ridgwell et al. (2007), where:

$$R_{CaCO_3} = 0.022 \cdot \left(\Omega_{ca} - 1\right)^{\eta} \tag{A18}$$

The exponent (η) is easily modified consistent with the parameterisations of Zhang and Cao (2016) and controls the rate of CaCO₃ production at a given value of Ω_{ca} .

A2 Remineralisation

A2.1 General phytoplankton group $(^{G})$

Organic matter produced by the general phytoplankton group (P_{org}^G) at the surface is instantaneously remineralised each timestep at depth levels beneath the euphotic zone using a power law scaled to depth (Martin et al., 1987). This power law defines the concentration of organic matter remaining at a given depth $(P_{org}^{G,z})$ as a function of organic matter at the surface $(P_{org}^{G,0})$ and depth itself (z). Its form is as follows:

$$P_{org}^{G,z} = P_{org}^{G,0} \cdot \left(\frac{z}{z_{rem}}\right)^b \tag{A19}$$

Where z_{rem} in the denominator represents the depth at which remineralisation begins and is set to be 100 metres everywhere. The OBGCM therefore does not consider sinking speeds, nor an interaction between organic matter and physical mixing. However, variations in the *b* exponent affect the steepness of the curve, thereby emulating sinking speeds and affecting the transfer and release of nutrients from the surface to the deep ocean.

Remineralisation of P_{org}^G through the water column is therefore dependent on the exponent *b* value in Eq. (A19). The *b* exponent is calculated in two ways.

If the option for static remineralisation is true, then b is set to -0.858 according to Martin et al. (1987).

If the option for **variable remineralisation** is true, then *b* is dependent on the component fraction of picoplankton (F_{pico}) in the ecosystem. The F_{pico} shows a strong inverse relationship to the transfer efficiency (T_{eff}) of organic matter from beneath the euphotic zone to 1,000 metres depth (Weber et al., 2016). Because F_{pico} is not explicitly simulated in OBGCM, we estimate F_{pico} from the export production field, calculate T_{eff} using the parameterisation of Weber et al. (2016), and subsequently





calculate the *b* exponent:

$$F_{pico} = 0.51 - 0.26 \cdot \frac{C_{org}^{G} (\text{mg C m}^{-2} \text{hour}^{-1})}{C_{org}^{G,\text{max}} (\text{mg C m}^{-2} \text{hour}^{-1})}$$
(A20)

$$T_{eff} = 0.47 - 0.81 \cdot F_{pico} \tag{A21}$$

$$b = \frac{\log(T_{eff})}{\log(\frac{1000}{100})} = \log(T_{eff})$$
(A22)

A2.2 Diazotrophs $(^D)$

Remineralisation of diazotrophs (P_{org}^D) is calculated in the same way as the general phytoplankton group (P_{org}^G) , with the exception that the depth at which remineralisation occurs is raised from 100 to 25 metres in Eq. (A19). This alteration emulates the release of NO₃ from N₂ fixers well within the euphotic zone, which in some cases can exceed the physical supply from below (Capone et al., 2005). Release of their N and C-rich organic matter (see Stoichiometry section A3.2) therefore occurs higher in the water column than the general phytoplankton group.

A2.3 Suboxic environments

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The remineralisation of P_{org}^G and P_{org}^D will typically require O_2 to be removed, except for in regions where oxygen concentrations are less than a particular threshold $(Den_{lim}^{O_2})$, which is set to 7.5 mmol O_2 m⁻³ and represents the onset of suboxia. In these regions, the remineralisation of organic matter begins to consume NO₃ via the process of denitrification. We calculate the fraction of organic matter that is remineralised by denitrification (F_{den}) via:

$$F_{den} = \left(1 - e^{-0.5 \cdot Den_{lim}^{O_2}} + e^{O_2 - 0.5 \cdot Den_{lim}^{O_2}}\right)^{-1}$$
(A23)

Such that F_{den} rises and plateaus at 100 % in a sigmoidal function as O₂ is depleted from 7.5 to 0 mmol m⁻³.

Following this, the strength of denitrification is reduced if the ambient concentration of NO₃ is deemed to be limiting. 15 Denitrification within the modern oxygen minimum zones only depletes NO₃ towards concentrations between 15 and 40 mmol m⁻³ (Codispoti and Richards, 1976; Voss et al., 2001). Without an additional constraint that weakens denitrification as NO₃ is drawn down, here defined as r_{den} , NO₃ concentrations quickly go to zero in simulated suboxic zones (Schmittner et al., 2008). We weaken denitrification by prescribing a lower bound at which NO₃ can no longer be consumed via denitrification, $Den_{lim}^{NO_3}$, which is set at 30 mmol NO₃ m⁻³.

$$r_{den} = 0.5 + 0.5 \cdot \tanh\left(0.25 \cdot NO_3 - 0.25 \cdot Den_{lim}^{NO_3} - 2.5\right)$$
(A24)

if
$$F_{den} > r_{den}$$
, then $F_{den} = r_{den}$ (A25)

20 F_{den} is therefore reduced if NO₃ is deemed to be limiting, and subsequently applied against both P_{org}^G and P_{org}^D to get the proportion of organic matter to be remineralised by O₂ and NO₃.

If the availability of O_2 and NO_3 is insufficient to remineralise all the organic matter at a given depth level, z, then the unremineralised organic matter will pass into the next depth level. Unremineralised organic matter will continue to pass into lower depth levels until the final depth level is reached, at which point all organic matter is remineralised by either water column or sedimentary processes. This version of CSIRO Mk3L-COAL does not consider burial of organic matter.





A2.4 Calcifiers

The dissolution of $CaCO_3$ is calculated using an *e*-folding depth-dependent decay, where the amount of $CaCO_3$ at a given depth *z* is defined by:

$$CaCO_3^z = CaCO_3^0 \cdot e^{\frac{-z}{z_{dis}}}$$
(A26)

Where z_{dis} represents the depth at which e^{-1} of CaCO₃ (~0.37) produced at the surface remains undissolved.

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Calcifiers are not susceptible to oxygen-limited re-mineralisation nor the concentration of carbonate ion because the dissolution of $CaCO_3$ depends solely on the this depth-dependent decay. All $CaCO_3$ reaching the final depth level is remineralised without considering burial. Future work will include a full representation of carbonate compensation.

A3 Stoichiometry

The elemental constitution, or stoichiometry, of organic matter affects the biogeochemistry of the water column through uptake (production) and release (remineralisation). The general phytoplankton group and diazotrophs both affect carbon chemistry, O_2 , and nutrients (PO₄, NO₃ and Fe), while the calcifiers only affect carbon chemistry tracers (DIC, DI¹³C and ALK).

Alkalinity ratios for both the general and nitrogen fixing groups are the negative of the N:P ratio, such that for a loss of 1 mmol of NO₃, alkalinity will increase at 1 mmol Eq m⁻³ (Wolf-Gladrow et al., 2007).

A3.1 General phytoplankton group $(^{G})$

15 The stoichiometry of the general phytoplankton group is calculated in two ways.

If the option for **static stoichiometry** is true, then the C:N:Fe:P ratio is set according to the Redfield ratio of 106:16:0.00032:1 (Redfield et al., 1937).

If the option for **variable stoichiometry** is true, then the C:N:P ratio of P_{org}^G is made dependent on the ambient nutrient concentration according to Galbraith and Martiny (2015):

$$C:P = \left(\frac{6.9 \cdot [PO_4] + 6}{1000}\right)^{-1}$$
(A27)

$$N:C = 0.125 + \frac{0.03 \cdot [NO_3]}{0.32 + [NO_3]}$$
(A28)

$$N:P = C:P \cdot N:C \tag{A29}$$

- 20 Thus, the stoichiometry of P_{org}^G varies across the ocean according to the nutrient concentration, and the uptake and release of carbon, nutrients and oxygen (see section A3.4) is dependent on the concentration of surface PO₄ and NO₃. The ratio of iron to phosphorus (Fe:P) remains fixed at 0.00032, such that 0.32 μ mol of Fe is consumed per mmol of PO₄. We chose to maintain a fixed Fe:P ratio because phytoplankton communities from subtropical to Antarctic waters appear to show similar iron contents (Boyd et al., 2015), despite changes in C:N:P. However, the ratio of C:N:Fe does change as a result of varying C:N:P ratios,
- 25 with higher C:Fe in oligotrophic environments and lower C:Fe in eutrophic regions.





A3.2 Diazotrophs $(^D)$

The stoichiometry of diazotrophs is fixed at a C:N:P:Fe ratio of 331:50:1:0.00064, which represents values reported in the literature (Kustka et al., 2003; Karl and Letelier, 2008; Mills and Arrigo, 2010). Diazotrophs do not consume NO₃, rather they consume N₂, which is assumed to be of unlimited supply, and release NO₃ during remineralisation.

5 A3.3 Calcifiers

Calcifying organisms produce $CaCO_3$, which includes DIC, $DI^{13}C$ and ALK, and these tracers are consumed and released at a ratio of 1:0.998:2, respectively, relative to organic carbon. Thus, the ratio of C: $DI^{13}C$:Alk relative to each unit of phosphorus consumed by the general phytoplankton group is equal to the rain ratio of CaCO₃ to organic phosphorus multiplied by 106:105.8:212. This group has no effect on nutrient tracers or oxygen values.

10 A3.4 Stoichiometry of remineralisation

The requirements for oxygen (O_2^{rem} :P) and nitrate (NO_3^{rem} :P) during oxic and suboxic remineralisation, respectively, are calculated from the C:N:P ratios of organic matter via the equations of Paulmier et al. (2009). Additional knowledge of the hydrogen and oxygen content of the organic matter is also required to calculate O_2^{rem} :P and NO_3^{rem} :P. However, the hydrogen and oxygen content of phytoplankton depends strongly on the proportions of lipids, carbohydrates and proteins that constitute

15 the cell. As there is no empirical model for predicting these physiological components based on environmental variables, we continue Redfield's legacy by assuming that all organic matter is a carbohydrate of the form CH_2O . Future work, however, should address this obvious bias.

To calculate O_2^{rem} : P and NO_3^{rem} : P, we therefore need to first calculate the amount of hydrogen and oxygen in organic matter via:

$$H:P = 2C:P + 3N:P + 3$$
 (A30)

$$\mathbf{O:P} = \mathbf{C:P} + 4 \tag{A31}$$

20 Once a C:N:P:H:O ratio for organic matter is known, we calculate O_2^{rem} :P and NO_3^{rem} :P in units of mmol m⁻³ P⁻¹ using the equations of Paulmier et al. (2009):

$$O_2^{rem}: P = -(C:P + 0.25H:P - 0.5O:P - 0.75N:P + 1.25) - 2N:P$$
(A32)

$$NO_3^{rem}: P = -(0.8C: P + 0.25H: P - 0.5O: P - 0.75N: P + 1.25) + 0.6N: P$$
(A33)

The calculation of O_2^{rem} : P accounts for the oxygen that is also needed to oxidise ammonium to nitrate.





From these calculations we find the following requirements of oxic and suboxic remineralisation, assuming the static stoichiometry option for the general phytoplankton group:

$$\begin{split} \mathbf{O}_{2}^{rem} &: P_{org}^{G} = 138 \\ \mathbf{NO}_{3}^{rem} &: P_{org}^{G} = 94.4 \\ \mathbf{O}_{2}rem &: P_{org}^{D} = 431 \\ \mathbf{NO}_{3}^{rem} &: P_{org}^{D} = 294.8 \end{split}$$

These numbers change dynamically alongside C:N:P ratios when the stoichiometry of organic matter is allowed the vary.

A4 Sedimentary processes

- 5 The remineralisation of organic matter within the sediments is provided as an option in the OBGCM. Sedimentary denitrification, and its slight preference for the light isotopes of fixed nitrogen ($\epsilon_{sed}^{^{15}N} = 3 \%_{e}$), is an important component of the marine nitrogen cycle and its isotopes. It acts as an additional sink of NO₃, and reduces the $\delta^{^{15}N}$ value of the global ocean by offsetting the strong fractionation of water column denitrification ($\epsilon_{wc}^{^{15}N} = 20 \%_{e}$).
- If sedimentary processes are active, the empirical model of Bohlen et al. (2012) is used to estimate the rate of sedimentary 10 denitrification, where the removal of NO₃ is dependent on the rate of particulate organic carbon ($C_{org}^G + C_{org}^D$) arriving at the sediments and the ambient concentrations of oxygen and nitrate. In the following, we assume that the concentrations of NO₃ and O₂ that are available in the sediments are $\frac{2}{3}$ of the concentration in overlying water column based on observations of transport across the diffusive boundary layer (Gundersen and Jorgensen, 1990).

$$\Delta NO_3(sed) = \left(\alpha + \beta \cdot 0.98^{\left(O_2 - NO_3\right)}\right) \cdot \left(C_{org}^G + C_{org}^D\right)$$
(A34)

where,
$$\alpha = 0.04$$
 and $\beta = 0.1$ (A35)

In the above, both the α and β values were halved from the values of Bohlen et al. (2012) to raise global mean NO₃ con-15 centrations and lower the sedimentary to water column denitrification ratio to between 1 and 2. If NO₃ is not available, the remaining organic matter is remineralised using oxygen if the environment is sufficiently oxygenated. An additional limitation is set for sediments underlying hypoxic waters (O₂ < 40 mmol m⁻³), where oxic remineralisation is weakened towards zero according to a hyperbolic tangent function ($0.5 + 0.5 \cdot \tanh(0.2 \cdot O_2 - 5)$). If oxygen is also limiting, the remaining organic matter is remineralised via sulfate reduction. As sulfate is not explicitly simulated, we assumed that sulfate is always available 20 to account for the remaining organic matter

20 to account for the remaining organic matter.

Thus, sedimentary denitrification is heavily dependent on the rate of organic matter arriving at the sediments. However, a large amount of sedimentary remineralisation is not captured using only these parameterisations because the coarse resolution of the OGCM enables it to resolve only the largest continental shelves, such as the shallow Indonesian seas. Many small areas of raised bathymetry in pelagic environments are also unresolved by the OGCM. To address this insufficiency and increase the

25 global rate of sedimentation and sedimentary denitrification, we coupled a sub-grid scale bathymetry to the course resolution OGCM following the methodology of Somes et al. (2013) using the ETOPO5 $\frac{1}{12}^{th}$ of a degree dataset. For each latitude by





longitude grid point, we calculated the fraction of area that would be represented by shallower levels in the OGCM if this finer resolution bathymetry were used. At each depth level above the deepest level, the fractional area represented by sediments on the sub-grid scale bathymetry can be used to remineralise all forms of exported matter (C_{org}^G , C_{org}^D and CaCO₃) via sedimentary processes.

Also following the methodology of Somes et al. (2013), we included an option to amplify sedimentary denitrification in the upper 250 metres to account for narrow continental shelves that are not resolved by the OGCM. Narrow shelves experience strong rates of upwelling and productivity, and hence high rates of sedimentary denitrification (Gruber and Sarmiento, 1997). To amplify shallow rates of sedimentary denitrification, we included an optional acceleration factor (Γ_{sed}), set to 3.0 in the default parameterisation, dependent on the total fraction of shallower depths not covered by the sub-grid scale bathymetry:

$$\Delta NO_3(sed) = \Delta NO_3(sed) \cdot \left(\left(1 - F_{sgb} \right) \cdot \Gamma_{sed} + 1 \right)$$
(A36)

10 For those grids with a low fraction covered by the sub-grid scale bathymetry (F_{sgb}) , the amplification of sedimentary denitrification is therefore greatest.

Appendix B: Default parameterisation of the OBGCM ecosystem component

Default parameters for the marine ecosystem component of CSIRO Mk3L-COAL are outlined in Tables A1, A2, and A3. The values presented in these tables are required as input when running the ocean model.





Parameter	Action	Value	Active when
General ph	ytoplankton (^G)		
$S^G_{E:P}$	export to production ratio	$0.005 \text{ mmol P} \text{ m}^{-3} \text{ day}^{-1}$	Always
α	initial slope of production versus irradiance curve	0.025 day^{-1} /(W m ⁻²)	Always
PAR	fraction of shortwave radiation that is photosynthetically active	0.5	Always
$K^G_{PO_4}$	half saturation coefficient for phosphate	$0.1 \text{ mmol P m}^{-3}$	Michaelis-Menton == 7
$K^G_{NO_3}$	half saturation coefficient for nitrate	$0.75 \text{ mmol N} \text{ m}^{-3}$	Michaelis-Menton ==]
K^G_{Fe}	half saturation coefficient for iron	$0.1~\mu{ m mol}~{ m Fe}~{ m m}^{-3}$	Michaelis-Menton == 7
V/A	Maximum potential uptake over affinity for nutrient	0.1	Optimal Uptake == Tru
Diazotroph	(<i>a</i>) s		
$S^D_{E:P}$	export to production ratio	$0.005 \text{ mmol P} \text{ m}^{-3} \text{ day}^{-1}$	fix == True
K^D_{Fe}	half saturation coefficient for iron	$0.5~\mu{ m mol}~{ m Fe}~{ m m}^{-3}$	fix == True
K^D_{Fe}	half saturation coefficient for phosphate	$0.1 \text{ mmol PO}_4 \text{ m}^{-3}$	fix == True
Calcifiers			
R_{CaCO_3}	ratio of CaCO ₃ produced per unit carbon of P^G_{org}	0.08	Vary CaCO3 == False
R_{CaCO_3}	ratio of CaCO ₃ produced per unit carbon of P_{org}^G	0.022	Vary CaCO3 == True
μ	exponent varying R_{CaCO_3} due to calcite saturation	0.53, 0.81 or 1.09	Varv CaCO3 == True





Parameter	Action	Value	Active when
General pl	ıytoplankton (^G)		
p	remineralisation profile exponent	-0.858	ReminPico == Fal
z_{rem}	depth at which remineralisation begins	100 m	Always
Diazotropl	(<i>^D</i>) st		
z_{rem}	depth at which remineralisation begins	25 m	Always
Calcifiers			
Zdis	depth at which e ⁻¹ CaCO ₃ remains undissolved	3500 m	Always
Suboxic en	vironments (affects G and D)		
$Den^{O_2}_{lim}$	Dissolved oxygen concentration when denitrification occurs	7.5 mmol m^{-3}	Always
$Den^{O_2}_{lim}$	Nitrate concentration when denitrification is limited	30.0 mmol m^{-3}	Always
Sediments	(affects G and D)		
σ	First constant in Bohlen Eq. (A34)	0.04	Always
β	Second constant in Bohlen Eq. (A34)	0.1	Always
Γ_{sed}	Sedimentary remineralisation amplification factor	3.0	Always





Author contributions. PJB designed the study, undertook model development, ran the experiments, analysed model output and wrote the manuscript. RJM designed the study, provided instruction on development, aided in analysis and edited the manuscript. ZC designed the study, aided in analysis and edited the manuscript. SJP aided in model development and edited the manuscript. NLB aided in interpretation of results and edited the manuscript.

5 Competing interests. The authors declare no competing interests

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10 (Information is available at http://ferret.pmel.noaa.gov/Ferret/). The matplotlib package (Hunter, 2007) and the cmocean package (Thyng et al., 2016) were used for producing the figures. We are indebted to Kristen Karsh, Daniel Sigman, Dario Marconi and Eric Raes for discussions that focussed this work. Special thanks to Christopher Somes for correspondence in some development steps. Finally, the lead author is indebted to an Australian Fulbright postgraduate scholarship, which supported him at the Princeton Geosciences department during the writing of this manuscript.





Parameter	Action	Value	Active when
General phytoplank	ton (^G)		
C: ¹³ C:ALK:N:Fe:P	Nutrient stoichiometry of general phytoplankton	106:103.8:-16:16:0.00032:1	Vary Stoich ==
$\mathbf{O}_2^{rem}:\mathbf{NO}_3^{rem}:\mathbf{P}$	Remineralisation requirements of O_2 and NO_3	-138:-94.4:1	Vary Stoich ==]
Diazotrophs $(^D)$			
C: ¹³ C:ALK:N:Fe:P	Nutrient stoichiometry of general phytoplankton	331:327:-50:50:0.00064:1	Always
\mathbf{O}_2^{rem} :N \mathbf{O}_3^{rem} :P	Remineralisation requirements of O_2 and NO_3	-431:-294.8:1	Always
Calcifiers			
C: ¹³ C:ALK:P	Nutrient stoichiometry of calcifiers	106:105.8:212:1	Always





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