1 A Predictive Algorithm For Wetlands In Deep Time Paleoclimate Models

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Abstract. Methane is a powerful greenhouse gas produced in wetland environments via 14 15 microbial action in anaerobic conditions. If the location and extent of wetlands are unknown, such as for the Earth many millions of years in the past, a model of wetland fraction is 16 required in order to calculate methane emissions and thus help reduce uncertainty in the 17 18 understanding of past warm greenhouse climates. Here we present an algorithm for predicting 19 inundated wetland fraction for use in calculating wetland methane emission fluxes in deep 20 time paleoclimate simulations. The algorithm determines, for each grid cell in a given 21 paleoclimate simulation, the wetland fraction predicted by a nearest neighbours search of 22 modern day data in a space described by a set of environmental, climate and vegetation variables. To explore this approach, we first test it for a modern day climate with variables 23 obtained from observations and then for an Eocene climate with variables derived from a 24 25 fully coupled global climate model (HadCM3BL-M2.2, Valdes et al., 2017). Two independent dynamic vegetation models were used to provide two sets of equivalent 26 vegetation variables which yielded two different wetland predictions. As a first test the 27 method, using both vegetation models, satisfactorily reproduces modern data wetland fraction 28 at a course grid resolution, similar to those used in paleoclimate simulations. We then applied 29 the method to an early Eocene climate, testing its outputs against the locations of Eocene coal 30 deposits. We predict global mean monthly wetland fraction area for the early Eocene of 8 to 31 10×10^6 km² with corresponding total annual methane flux of 656 to 909 Tg CH₄ year⁻¹, 32 depending on which of two different dynamic global vegetation models are used to model 33 wetland fraction and methane emission rates. Both values are significantly higher than 34 estimates for the modern-day of 4×10^6 km² and around 190 Tg CH₄ year⁻¹ (Poulter et al., 35 2017, Melton et al., 2013). 36

37

38 **1 Introduction**

- 39 Methane (CH₄) is a powerful greenhouse gas. As well as absorbing infrared radiation from
- 40 the Earth's surface it also contributes to additional indirect warming through its
- 41 photochemistry and oxidation to CO₂ in the atmosphere (IPCC 2013). Along with other trace
- 42 gases, methane is therefore an important component of the Earth's climate system, but for
- 43 studies of the past, such as warm greenhouse paleoclimates, we lack suitable geochemical or
- biological proxies for methane concentration. Therefore, Earth system models used to
- 45 reconstruct ancient climate or develop future climate scenarios must either assume
- 46 atmospheric methane concentrations as a boundary condition and/or incorporate dynamic
- 47 methane fluxes from natural sources and sinks (Beerling et al. 2011). The main natural source
- of methane is wetland environments via microbial action in anaerobic conditions (Whiticar,
 1999), but methane fluxes from wetlands are also modulated by climatic factors such as
- 50 temperature (Westermann, 1992). Therefore, in order to model fluxes of methane to the
- 51 atmosphere both the extent and locations of wetlands need to be known. For modern day,
- 52 recent past and near future scenarios, maps of observed wetland extent (Prigent et al. 2007,
- 53 Papa et al. 2010, Schroeder et al., 2015, Poulter et al, 2017) can be used or wetland extent can
- 54 be calculated at a sub-grid level from fine resolution topographical data (as in the
- 55 TOPMODEL approach of Beven and Kirkby (1979), Lu and Zhuang (2012), Stocker et al.
- 56 (2014), Lu et al. (2016)), as wetlands only form where the ground is relatively flat.

57 For the study of deep time paleoclimates (many millions of years in the past) there are no

- 58 direct observations of wetland extent, although we may use a proxy such as coal deposit
- 59 locations as we discus in section 3.2.1, and the topography is only known on relatively coarse
- for resolutions of around 0.5° at best. Therefore, any model calculation of wetland extent must
- either rely on using approximate knowledge of the topography or not rely on the topographyat all. Previous studies (Beerling et al., 2011, Valdes et al., 2005), the only current model-
- 63 based approach for deep-time paleoclimates, classified grid cells as either producing or not
- 64 producing methane, based on either: i) a month being within a defined melt season, for grid
- cells where mean monthly temperature drops below 0 $^{\circ}$ C for at least one month of the year;
- or ii) precipitation being greater than evapotranspiration. They then scaled emissions by
- empirically derived functions of the variance or standard deviation of orography, at the best
- resolution available. The scaling effectively reduces methane emission rates in grid cells
- 69 where elevation varies significantly and are therefore unlikely to have substantial wetlands
- within them, but relies on what may be quite coarse resolution topography not able to resolve
- sub-grid scale variations. The goal of this paper is to explore other methodologies for
- calculating wetland extent in the context of a deep time paleoclimates.

73 In this work we develop a nearest neighbour-based algorithm to predict the fraction of a specified area that is wetland (FW). We base this on a modern day reference data set of FW 74 75 and corresponding environmental variables, empirically associating the FW observations with corresponding observed climate data and vegetation data calculated using one of two 76 dynamic global vegetation models (DGVMs), the Sheffield Dynamic Global Vegetation 77 Model (Woodard et al., 2009; Beerling and Woodward, 2001) and the Lund-Postdam-Jenna 78 model (Wania et al., 2009). Wetland is defined in the same manner as for our reference data 79 (Poulter et al. 2017), discussed in the following section. It includes both permanently and 80 seasonally flooded soils but excludes lakes, reservoirs, rivers, areas of rice cultivation saline 81 estuaries and salt marshes. We demonstrate its application by predicting FW and CH₄ fluxes 82 for an early Eocene (52 Ma) model climate, an interval of greenhouse warming (Zachos et al., 83

2008) when sedimentary records indicate the existence of large areas of wetlands (Sloan et
al., 1992, Beerling et al., 2009). For the Eocene, the same climate variables are obtained from

- a fully coupled global climate model and vegetation variables are derived from the same
- 87 DGVMs. We then predict FW for the Eocene by analysis and comparison to the modern-day
- reference data. We note that different reference sets, vegetation models or climate models
- 89 will likely yield different results and these should be explored in future work, but our aim
- 90 here is to demonstrate this approach and its potential rather than to produce a model-model
- 91 intercomparison.
- 92 In the Data and Methods section we first describe modern day wetland data at 0.5° spatial
- resolution and a monthly time step for a mean modern day year, along with climate and
- 94 vegetation data which we later use as a reference data set. We then describe two test data sets
- at lower spatial resolution, equivalent to that used in paleoclimate models, again for a single
 year. The first of these is for the modern day and derived by interpolation of the reference
- 97 data and the second is derived from a paleoclimate model of the early Eocene. We briefly
- 98 describe unsuccessful attempts to model FW through analysis of the reference data set. The
- 99 main conclusion of these unsuccessful attempts being to indicate that any relationship
- between FW and various environmental variables must be quite complex. We then introduce
- 101 the nearest neighbours method we later found to be successful and finally in this section
- 102 describe the model used to calculate wetland methane emissions.
- 103 In the Results and Discussion section we first discuss model results for the modern day test
- 104 data set where we expect the nearest neighbour method should perform well, since the test
- data is simply a version of the reference data interpolated to lower spatial resolution; these
- 106 results, therefore, serve to demonstrate whether or not some form of the nearest neighbour
- method could be successfully applied to prediction of FW for a climate very different to themodern day. We then apply this method to prediction of FW for the Eocene, and show that
- 109 we can tune it by using the locations of coal deposits as wetland proxies.
- 110

111 2 Data and Methods

112 2.1 Modern day reference data

We use a modern-day reference data set of observed FW, the term observed being used to 113 114 distinguish this from our later model results, with corresponding environmental data to develop an algorithm for the prediction of FW in the past, i.e. we assume that there exists a 115 relationship between FW and the environmental variables compiled in the reference data and 116 then apply that relationship to predicting FW in the past. We use the recently developed 117 SWAMPS-GLWD (Poulter et al., 2017), which improves on the Surface Water Microwave 118 Product Series (SWAMPS) (Schroeder et al., 2015) using the static inventory of wetland area 119 from the Global Lakes and Wetlands Database (GLWD) (Lehner and Doll 2004), correcting 120 the SWAMPS dataset in regions where this satellite derived dataset fails to detect water 121 beneath closed canopies. We calculated the average monthly FW at each $0.5^{\circ} \times 0.5^{\circ}$ grid cell 122 for the years 2000 to 2012 on a monthly time step to give a modern-day FW (FW_{obs}; annual 123 max shown in Figure 1). Corresponding climate data on the same spatial and temporal 124 resolution were obtained from CRU-NCEP v4.0 (Wei et al. 2014) and averaged to give 125 monthly values for a mean modern-day year over the same time interval. The climate data for 126

- 127 this mean year were then used to drive two DGVMs: the Sheffield Dynamic Global
- 128 Vegetation Model (SDGVM) (Woodward et al., 1995; Beerling and Woodward, 2001) and
- the Lund-Postdam-Jenna model (LPJ) (Wania et al., 2009) to produce corresponding
- 130 vegetation data. The combination of these yielded a reference data set of FW, climate
- 131 (temperature and precipitation) and vegetation (leaf area index, net primary productivity,
- transpiration, evapotranspiration, soil water content and surface runoff) variables (either
- 133 SDGVM or LPJ) for a set of $0.5^{\circ} \times 0.5^{\circ}$ spatial and monthly temporal resolution sites for a 134 single modern-day average year. Some variables, such as transpiration and
- single modern-day average year. Some variables, such as transpiration and
 evapotranspiration, are available from both climate and vegetation models. In such cases we
- use those from the vegetation model as these will be calculated from a more advanced
- 137 vegetation scheme. To ensure that wetlands in areas dominated by agriculture or where one
- 138 of our vegetation models, SDGVM, predicts bare land, did not bias our FW predictions, such
- 139 grid cells were removed from the reference data. For the latter, this was done simply by
- removing those grid cells that SDGVM predicted to be bare land. For the former, we
- 141 removed those that were 50 % or more, by cover, classed as cultivated and managed or
- 142 mosaic cropland (Global Land Cover 2000 database, 2003).

143 Many of the methods that can be used to analyse the reference data and predict FW require 144 that the data are scaled, so that each variable covers a similar range of values. Therefore, we

scaled the values of each environmental variable, *X*, using their global mean, μ_x , and global

standard deviation, σ_x , i.e. for a given grid cell, *J*, each variable was scaled as:

147
$$X'(J) = \frac{X(J) - \mu_X}{\sigma_X}$$
 (1)

148 This scales all variables such that they have global mean of 0 and standard deviation 1.

149 2.2 Test data sets

A modern-day test data set was made by interpolating the reference climate data to $2.5^{\circ} \times$ 150 3.75°, the spatial resolution often used for paleoclimate models. The DGVMs simulations 151 were driven by this interpolated data to yield the vegetation outputs. All climate and 152 vegetation variables were scaled in the same way as the reference data, using the global 153 means and standard deviations of the reference data. The palaeoclimatic assessment of our 154 model was performed using an early Eocene test data set made using a single year of output, 155 on a monthly time step, from a three dimensional fully dynamic coupled ocean-atmosphere 156 global climate model HadCM3BL-M2.2 (Valdes et al., 2017), on a 2.5° latitude by 3.75° 157 longitude grid. To simulate the early Eocene a Ypresian paleogeography and high CO₂ (4x 158 modern; 1120 ppm; Agnostous et al., 2016) was used. SDGVM and LPJ were both run with 159 these model-simulated climate data to produce the vegetation variables required, as was done 160 for the reference data set, whereas temperature and precipitation were derived directly from 161 the climate model. All variables were again scaled using the means and standard deviations 162 of the reference data. Therefore, for each climate, modern day and early Eocene, we have two 163 test data sets for a mean year on a monthly time step, at 2.5° x 3.75° spatial resolution, both 164 with the same climate data, one with SDGVM vegetation data and one with LPJ vegetation 165 data. Predictions for each test data set were made with the corresponding vegetation model's 166 reference data set. The reference and test data sets are summarized in Table 1. 167

169 2.3 Initial unsuccessful models of wetland fraction

Before discussing the model we employed to predict paleoclimate FW, it is useful to describe 170 briefly other strategies that we attempted but that did not yield robust predictions when 171 evaluated against modern-day data. The first of these was to examine FW vs individual 172 173 environmental variables graphically from the reference data, to ascertain if we could define ranges for those variables that corresponded to predominantly low or high FW; this is similar 174 to the approach of Shindell et al. (2004), who proposed threshold values of standard deviation 175 of topography, ground temperature, ground wetness and downward shortwave flux for 176 wetland development. However, this proved unsuccessful, revealing only the rather obvious 177 relationship that wetlands do not usually occur when mean monthly temperature is below 0 178 °C. Although we expected to identify relationships for FW with other environmental 179 variables (i.e. ground wetness), none were found. This is due to the combined effects of 180 wetland occurrence being the function of multiple factors and the fact that most grid cells 181 have FW ≈ 0 for all months of the year and the number of grid cells with significantly non-182 zero FW is quite small. Therefore, environmental variables associated with high values of 183 FW also tend to be associated with FW ≈ 0 . Poor correlation of FW with environmental 184 variables is also due to the important control exerted by the topography; regardless of 185 climate, wetlands cannot form in landscapes where excess water flows away rather than 186 remaining in situ. Collectively, these factors caused significant overlap in the range of 187 environmental variables associated with both low and high FW. 188 Another approach was a multiple linear regression using the reference data in order to derive 189 an equation for FW in terms of linear functions of multiple environmental variables. 190

However, this yielded equations that predicted a widespread occurrence of very low FW,

including those areas where FW_{obs} is very high either seasonally or throughout the year.

- 193 Similarly, poor predictive models were obtained whether derived for all sites or just those
- restricted to specific plant functional types. These outcomes likely occur because linear
- regression optimises a function by minimising the error between predicted and observed
 values. As most grid cells have FW ≈ 0 (Figure 1) the 'best' regression equation is one that
 predicts FW very low almost everywhere, since in the majority of cases this is quite accurate.
 Efforts were made to use other optimisation criteria with customised functions that attempted
- to put more weight on predicting high FW correctly at the expense of larger errors where FWis low. However, these simply over predicted FW. Therefore, we were unable to find any
- satisfactory solution based on linear regression. That we do not find a satisfactory regression
- equation for FW on the reference data suggests that any relationship between FW and the
- environmental variables must be complex and therefore another approach is required if weare to be able to predict FW.
- 205

206

207 2.4 FW predicted by a nearest neighbour search

Given that we were unable to find simple mathematical formula with which to predict FW we must consider another approach. Nearest neighbour searches can be used to predict a property for a query by comparing data for that query to similar such data from a reference data set.

211 We find the entry in the reference data set that is most similar to, i.e. the nearest neighbour of,

- the query and predict the query has the same value in the property of interest as its nearest
- neighbour. The reference data set of FW and environmental variables sites on a 0.5° grid at a
- 214 monthly time step can be viewed as a set of data points yielding FW at many different
- 215 locations in a multi-dimensional space. The eight dimensions of that space are the two
- climate and six vegetation variables; temperature, precipitation, leaf area index, net primary
- 217 productivity, transpiration, evapotranspiration, soil water content and surface runoff. If we
- have the same environmental variables for a site of unknown FW, we can search the
- 219 reference data set for its nearest neighbour and then predict it would have the same FW as 220 that nearest neighbour, as illustrated schematically below.
- The set of N environmental variables, suitably scaled, X₁, X₂ ... X_N, defines an N dimensional space
- 223 2. The Euclidean distance between two points, I and J, in this space is given by D_{IJ}

•
$$D_{IJ} = \sqrt{\sum_{k=1,N} (X_k(I) - X_k(J))^2}$$
 (2)

- 225 3. We calculate D_{IJ} for site *I* of unknown FW and all sites, *J*, in the reference data set, 226 for each of which we know FW(*J*)
- 4. We find J_{min} , the nearest neighbour, that which gives the lowest D_{IJ}
- 228 5. We then predict FW (I) = FW (J_{min})
- 6. If site *I* is classed as bare land by the DGVM, thereby having all vegetation variables = 0, we predict FW(*I*) = 0
- 231 This nearest neighbour (NN) method can, if necessary, be extended to a KNN method,
- whereby rather than predicting FW based solely on the single nearest neighbour we insteadconsider some function of the K nearest neighbours.
- 234

235 2.5 Calculating wetland methane emissions

The aim of this study was to derive an algorithm for predicting wetland fraction that can then be used to calculate methane emissions. For the latter, we use the empirical method described by Cao et al. (1996), where methane production, mp, and methane oxidation, mo, rates for a specific grid cell and month, both in g CH₄ m⁻² month⁻¹, are given by:

$$240 \quad mp = R_h f_t \tag{3}$$

241
$$mo = mp \left(0.6 + 0.3 \frac{GPP}{GPP_{max}} \right)$$
(4)

Where
$$R_h$$
 is absolute soil respiration and absolute *GPP* is gross primary productivity, both in
g C m⁻² month⁻¹ and obtained from the respective vegetation model. *GPP_{max}* is the maximum
value of GPP for that grid cell for any month of the year. f_t is a function that scales for air
temperature, *TMP*, in °C.

(5)

246
$$f_t = \frac{\exp(0.04055 \, TMP)}{3.375}$$

This is capped at a maximum value of 1. In principle there would also be a scaling function
for water table depth, but this is defined as 1 for inundated wetlands and we are only
modelling inundated wetland fraction, as that is how the SWAMPS-GLWD FW dataset is
defined.

251 Methane emission rate, *me*, is then the difference between methane produced and methane 252 oxidised, scaled by the wetland fraction for that grid cell and month

253 me = (mp - mo) FW

(6)

254

255 **3 Results and Discussion**

256 3.1 Modern day test data set

The modern-day test set explained in Sect. 2.2 was used as a first, simple, test of the nearest 257 neighbour algorithm for predicting FW described in Sect. 2.4. Since the modern-day test set 258 is simply the reference climate data interpolated from 0.5° to the courser HadCM3BL-M2.2 259 model grid of 2.5° by 3.75° (with vegetation from the DGVMs), we expect the NN algorithm 260 to yield predicted FW reasonably consistent with a similar downscaling of the SWAMPS-261 GLWD observed FW. If the NN predicted FW does not achieve this, then that would indicate 262 that the NN algorithm has failed to predict FW sufficiently accurately. Therefore this test is 263 primarily designed to indicate that a nearest neighbour algorithm either does or does not have 264

the potential to be applied to paleoclimates.

Fig. 2 shows maps of seasonal, June–July–August and December–January–February, average 266 FW from the observed SWAMPS-GLWD data interpolated to 2.5° x 3.75° along with the 267 predicted FW using either SDGVM or LPJ vegetation data test sets. For both vegetation 268 models, the predicted FW maps are similar to the observed-interpolated data. Sparse patches 269 of high FW occur in the tropics, especially the Amazon, throughout the year, and large areas 270 of seasonal summer wetlands occur in Alaska, Canada and Siberia. The monthly variation of 271 FW north and south of 30° N, i.e. essentially comparing boreal and tropical wetlands is 272 shown in Figure 3. We split the global values into these two zones because there are virtually 273 no southern hemisphere boreal wetlands, and any division based purely on latitude is 274 arbitrary. The nearest-neighbour algorithm generates the correct seasonal FW pattern in 275 boreal regions and, as expected, a relatively constant monthly FW in the tropics. However, 276 SDGVM consistently underestimates the amount of tropical wetland, whilst LPJ agrees 277 reasonably well with observations; mean monthly values are 2.11, 1.47 and 1.90 x 10^{6} km² 278 for the observed, SDGVM and LPJ respectively. This is due to the fact that SDGVM classes 279 some grid cells as bare land, assumed to have FW = 0 in our algorithm, even though some of 280 281 these have non-zero FW in the SWAMPS-GLWD database. LPJ does not classify these grid cells as bare land but instead treats them as very low amounts of vegetation, therefore 282 yielding higher global FW that is more consistent with observations. If we exclude from the 283 observed data those grid cells SDGVM predicts as bare land, then the SDGVM prediction 284 285 matches better the observed data and LPJ predictions (Table 2). These results give confidence that a nearest neighbour algorithm is able to reproduce acceptable FW based on these specific 286

287 climate and vegetation variables.

Figure 4 shows the monthly variation in wetland methane emissions for boreal and tropical

areas, calculated using the observed or predicted FW, both vegetation models' outputs and

Eq. 3 to 6. The annual methane emissions totals are summarised in Table 3, along with other

- 291 recent estimates from model intercomparisons. The annual and monthly zonal methane
- emissions are broadly similar for a given vegetation model regardless of whether the
- 293 observed or predicted FW is used. SDGVM gives global emissions in line with the other

294 modelling studies, whereas those from LPJ are somewhat lower. This is mainly due to differences in tropical emissions. SDGVM yields higher tropical emissions than LPJ but 295 slightly lower emissions north of 30°N. The main factors influencing the modelled methane 296 emissions (other than FW) are, according to equations (3) to (5), temperature (which is the 297 same for both vegetation models), soil respiration (R_h) and gross primary productivity (GPP), 298 the latter two differing between the two vegetation models. It appears that differences in R_h 299 lead to the different zonal methane totals. South of 30° N SDGVM and LPJ model annual 300 total R_h of 46,000 Tg C year⁻¹ and 35,000 Tg C year⁻¹ respectively and, using the same 301 observed FW, SDGVM and LPJ model annual methane emissions of 123 Tg CH₄ year⁻¹ and 302 69 Tg CH₄ year⁻¹ respectively. Therefore, in the tropics the differences in the predicted 303 methane emissions seem to be due to differences in calculated R_h . North of 30° N both 304 DGVMs have similar $R_{h,2}$, 20,000 Tg C year⁻¹ and 22,000 Tg C year⁻¹ respectively for 305 SDGVM and LPJ, and similar values of methane emissions, 64 Tg CH₄ year⁻¹ and 65 Tg CH₄ 306 year⁻¹ respectively. 307

308 We stress that this was simple test for a nearest-neighbour approach, for reasons outlined at 309 the beginning of this section, and the satisfactory results obtained here merely indicate this is

an approach that has potential to be useful in predicting FW for a paleoclimate.

311

312 **3.2 Early Eocene climate**

In the previous section we have shown that a NN method can reproduce FW for a modern 313 314 day climate, justifying its application to the early Eocene climate described in section 2.2. However, as noted at the end of section 2.4 a NN method can be extended to KNN, whereby 315 we predict FW based on some function of the FW of K nearest neighbours (noting that in 3.1, 316 NN is simply 1NN, i.e. KNN with K=1). A 1NN algorithm that works well to predict modern 317 day FW may not work as well for a paleo climate of many millions of years in the past. The 318 reference data set we use, section 2.1, is very similar to the modern day test set, the latter's 319 climate data is simply obtained by interpolating the former to a courser spatial grid. 320 Therefore, we expected and observed high correlation between modern day FW predicted 321 from the nearest neighbour in the reference data and the actual FW. The early Eocene test 322 data has significant differences to the reference data since the climate of the early Eocene is 323 obviously not the same as the modern day. Therefore, it will be harder for a nearest neighbour 324 based method, searching a space described by climate and vegetation data, to find a nearest 325 neighbour in the modern day reference data with the correct early Eocene FW, whatever that 326 may be. It may be that for a high FW early Eocene grid cell the nearest neighbour happens to 327 have quite low FW and vice versa. Figure.1 shows that FW can change from very high to 328

329 almost zero over relatively small distances, for example in the Amazon basin, and that

therefore sites with similar climate and vegetation can have very different FW. The greaterthe degree of difference between the early Eocene and the modern day reference data sets, the

the degree of difference between the early Eocene and the modern day reference more likely it is that the first nearest neighbour does not have the correct FW.

FW calculated for the Early Eocene using the exact same 1NN method as used for the

modern day test set yields values of global monthly mean wetland area of $4.07 \times 10^6 \text{ km}^2$

- using SDGVM. This is around 33% higher than that for the modern day, $3.00 \times 10^6 \text{ km}^2$ from
- Table 2. However, this includes a contribution of $1.53 \times 10^6 \text{ km}^2$ from areas south of 30° S ,
- 337 which have an almost negligible contribution for the modern day, so the tropics and northern

- Boreal regions actually have lower FW for the Early Eocene. Given that the Early Eocene
- 339 was significantly warmer and wetter than the modern day (Carmichael et al. 2017), we expect
- 340 greater wetland area than the modern day. Beerling et al. (2011) reported global wetland area
- for an Early Eocene climate using SDGVM; employing their method to our Early Eocene
- climate, so as to eliminate differences arising from the specific HadCM3 model climate and spatial resolution, yields global monthly mean FW area of $16.29 \times 10^6 \text{ km}^2$, four times higher
- spatial resolution, yields global monthly mean FW area of $16.29 \times 10^{6} \text{ km}^{2}$, four times higher than the value we would calculate from a 1NN method. Therefore, based on comparison with
- both the modern day and a previous Eocene study, it appears that a 1NN method may be
- 346 unsuitable for a paleoclimate that is very different to our modern day reference climate, and
- 347 we consider KNN with higher values of K.
- 348

349 3.2.1 Maximum of K nearest neighbours FW prediction

If indeed the 1NN results are too low then that implies that for some hypothetical high FW 350 sites from the Early Eocene, the first nearest neighbours in the reference data have very low 351 352 FW. Therefore, if we consider higher values of K we may improve our estimate by predicting FW to be the maximum FW of K nearest neighbours (maxKNN) in the reference data. 353 354 However, applying this approach will yield increasingly higher FW as K increases, requiring a data-constrained optimisation of K. Clearly there are no observations of Eocene 355 wetland distributions with which to properly train any predictive algorithm, but we may 356 utilise a suitable proxy for wetlands to try and obtain such a constraint. Here we use the 357 distribution of coal deposits in the Eocene, (Boucot et al., 2013) shown in Figure 5 as such 358 constraints. There are some limitations to this approach. Coal is formed in wetlands, but can 359 also form in other settings such as lakes; and of course, these datasets do not document where 360 wetlands were present but the sedimentary record is missing or has not been published. In the 361 tropics, coal may not have formed in wetland environments due to a very high rate of carbon 362 363 cycling and in northern latitudes subsequent glaciations could have eroded coal deposits away. Moreover, data will be sparse or non-existent for remote or inaccessible modern day 364 regions, such as under the Antarctic ice sheet. We also note that precise age and location, 365 especially when comparing to low resolution climate simulations, could cause disagreement 366 for grid-by-grid comparisons. A final and critical complication is that FW is a number 367 between 0 and 1, corresponding to the fraction of a site that is wetland, whereas the coal data 368 is a binary measure: either a grid cell has or does not have a coal deposit within it. For all of 369 these reasons, data-model comparisons must be done cautiously; nonetheless, these data are 370

useful for identifying the most effective K value for reconstructing likely wetlands.

We defined two functions to assess how well a model FW matched the locations of Eocene 372 coal deposits. Firstly, fl is defined as the mean distance, in km, of a coal deposit location to a 373 grid cell with model FW predicted to be > 0.2. The choice of 0.2 representing significant FW 374 is arbitrary but the analysis was repeated with other values and the same conclusions were 375 found. Secondly, f2 is defined as the mean FW of the grid cell closest to each coal deposit 376 location, providing that site is within 2 grid points of that coal deposit location, to allow some 377 leeway with regard to different projected locations of land masses in the early Eocene. Again 378 the choice of a 2-pixel limit is arbitrary but the analysis was repeated with other limits and 379 the same conclusions found. 380

Figure 6 shows the values of f1 and f2 for maxKNN predictions of FW with increasing K for

- both the SDGVM and LPJ Early Eccene data sets, compared to a data set of coal deposit
- locations. As explained, since FW increases with K then by extension, so does the likelihood
 of a site with a coal deposit in or close to it coinciding with a site of significant FW.
- Therefore, we do not seek to find the value of K that will give the lowest value of *f1* and
- highest value of f^2 as that would simply be K equal to the size of the entire reference data set.
- Instead, we try to find the lowest value of K that gives a "good" prediction for both f1 and f2.
- 388 Although "good" is a subjective measure, we define it based on where increases in K result in
- marginal improvements in f1 and f2. For both vegetation models as K increases from 1 to 3 f1
- decreases significantly and f^2 increases significantly. For K > 3 the decrease in f^1 levels out
- and the increase in f^2 also declines. Therefore, we conclude that based on comparison of predicted EW and logations of appl denosits K=2 is a reasonable shoise to make prediction
- predicted FW and locations of coal deposits, K=3 is a reasonable choice to make predictions
 for our early Eocene climate via a maxKNN algorithm.
- 394

395 3.2.2 FW predicted by max3NN

396 Figure 7 shows annual maximum FW (i.e. for each pixel the highest of the 12 monthly 397 values) calculated by a max3NN model using SDGVM or LPJ vegetation data, as described above, with the locations of early Eocene coal deposits also shown. The annual maximum 398 FW is shown here as FW might only need to be high at some point during the year to give 399 rise to coal deposits. The areas of predicted high FW are much larger than for the modern day 400 (Fig. 1); moreover, at this spatial resolution there are often abrupt changes from low-medium 401 (yellow) to much higher (red) values leading to some isolated patches of high FW. The 402 approach makes it difficult to interrogate specific factors that drive the increase in Eocene 403 FW compared to today but given the wetter climate of the Early Eocene higher FW than the 404 modern day is to be expected. The patchiness is partly a consequence of using annual 405 406 maximum FW but also reflects the challenge of predicting a characteristic of a paleoenvironment based on modern day reference data. Considering zonal total FW and 407 seasonal average FW maps, i.e. averaging out some of the small scale spatial and temporal 408 variability, is likely a better approach for understanding ancient methane cycling and these 409 are discussed later. 410

The maps of predicted FW are quite different for the two vegetation models, but the greatest 411 differences are in areas with very little or no coal deposits, e.g. the tropics, north eastern 412 North America and Antarctica, making it difficult to critically evaluate them against the data. 413 However, the monthly variations given by the two vegetation models in total FW (Figure 8) 414 and methane emissions (Figure 9), for the three latitudinal zones are reasonably similar with 415 respect to seasonal variations, in that both have their highest values in the late spring and 416 summer months for zones north of 30° N and south of 30° S and no clear seasonal variation 417 in the tropics. In the tropical zone, predictions of monthly FW area are similar in magnitude 418 for the two vegetation models, with SDGVM usually predicting higher FW than LPJ. 419 However, in the zone north of 30° N LPJ predicts much higher FW than SDGVM throughout 420 June to October with a peak in September, whereas SDGVM peaks in May. A similar but less 421 striking pattern occurs for the zone south of 30°S where again LPJ predicts higher summer 422 FW area than SDGVM. These differences between the two vegetation models are also 423 evident in maps of seasonal average predicted FW (Figure 10). In June to August, SDGVM 424

425 predicts very little wetland area in the northern hemisphere, whereas LPJ predicts moderate to

- high FW areas over much of the land north of around 50° N. In December to February both
- 427 models predict almost zero FW north of around 50° N. In the tropics and the southern
- hemisphere, the two models predict similar amounts of wetland area, but with SDGVM
- 429 predicting slightly higher FW overall between 30° S to 30° N and LPJ predicting slightly
- 430 higher FW south of 30° N.

This differs from the modern day distribution of wetlands (Figure 1) and likely arises from a 431 variety of method-dependent factors. First, the coarser resolution leads to more patchy 432 distribution, as is evident in the modern day data in Figures 1 and 2 (top row) at $0.5^{\circ} \times 0.5^{\circ}$ 433 and 2.5° x 3.75° spatial resolution. This is particularly true for the tropics where wetlands do 434 occur in small areas. Secondly, the nature of the nearest neighbour algorithm relies on the 435 principle that a grid cell in a paleoclimate with specific values of environmental variables will 436 have the same FW as a grid cell in a modern day reference data set with similar values for 437 those environmental variables; however, other factors influence wetland fraction, such as the 438 topography. Therefore, a nearest neighbour method predicting FW for a paleoclimate from a 439 modern day reference data may well have errors for a given grid cell and month. These errors 440 should reduce when averaged over latitudinal zones or seasonal averages. 441

442 The differences between methane emissions from the two vegetation models likely arise from

- their respective impacts on soil water balance, via the magnitude of evapotranspiration (EVT)relative to precipitation (PRC). As the vegetation model, used to calculate EVT, and climate
- 445 model, used to calculate PRC, are not dynamically coupled, PRC will be the same in all
- Eocene simulations, but EVT will vary; thus, vegetation models that yield elevated EVT in a
- given grid cell are more likely to yield negative water balance (PRC-EVT) and low FW.
 Figure 11 shows the June to August mean PRC-EVT for SDGVM and LPJ, revealing that it
- Figure 11 shows the June to August mean PRC-EVT for SDGVM and LPJ, revealing that it is negative in most places north of 30° N for SDGVM but is slightly positive or at least much
- 450 closer to zero for LPJ. Therefore, SDVGM will generally predict lower FW by identifying
- 451 modern day nearest neighbours where PRC < EVT and unlikely to be wetland. The lack of
- 452 extensive coal deposits in the high northern latitudes, especially where the LPJ-based453 approach predicts wetlands, could indicate that the LPJ approach has over-predicted FW.
- However, we caution that this could be a data limitation issue and future work is required to
- 455 interrogate the forecasts of these two methods. Regardless, both models yield broadly similar
- 456 results on global and zonal terms (Table 4) indicating that the KNN algorithm could be a
- 457 useful complementary approach for interrogating ancient wetland extent and methane
- 458 emissions. Global monthly mean FW for the Eocene is $8.5 \times 10^6 \text{ km}^2$ and $10.3 \times 10^6 \text{ km}^2$
- 459 predicted by SDGVM and LPJ respectively. Both of these values are larger than for the
- 460 modern day value of $3.0 \times 10^6 \text{ km}^2$, as we would have expected.

461 **4. Conclusions**

We have presented a nearest neighbour method by which FW can be calculated at sites on the

463 Earth's surface for an Eocene paleoclimate based on a set of environmental variables

obtained from climate and vegetation models and comparison of these to a modern day

- 465 reference data set. This has been used as an offline tool using data obtained from climate and
- vegetation models, rather than by embedding this within existing Earth systems models, as
- the goal of this work was to explore and improve on methods of predicting FW for deep time
- 468 paleoclimates. The precise formulation of the nearest neighbour approach was determined

- through comparison to locations of Eocene coal deposits and indicated that a max3NN
- 470 method was best suited in this case. That should not be taken to imply that a max3NN would
- be the best in general; for another paleoclimate a similar analysis to that performed here
- would be required to determine the optimum implementation of KNN. It would therefore beof interest in future work to apply this methodology to other paleoclimates to see if similar
- 475 of interest in future work to apply this methodology to other pareochinates to see it similar474 results are obtained, perhaps using different environmental variables to those we have used to
- 474 results are obtained, perhaps using unrefer environmental variables to those we have using475 find nearest neighbours and perhaps other proxies for paleo-FW, should they become
- 476 available. The predicted distributions of FW are much higher than those of today, as we
- 477 would expect. We have assessed this using two different global vegetation models, and whilst
- 478 these do yield some geographical differences in FW arising from different evapotranspiration
- estimates, they are broadly similar when considering zonal means. For both vegetation
- 480 models, global monthly mean modelled FW area is less than, around half to two thirds, that
- 481 of Beerling et al., 2011, as are the values of the wetland methane emissions. However, our
- 482 new method does not rely on the standard deviation of orography, a variable which is only
- 483 known to a relatively coarse resolution for deep paleoclimates.
- 484

485 **Code and Data**

486 This study presents a methodology using existing data and climate and vegetation models.

- 487 Information relating to these is already included in this article. Code implementing the
- 488 maxKNN prediction of FW is included as supplement.

489 Author Contribution

- 490 DJW and DJB planned the work with advice from all co-authors. DJW carried out most of
- the experimental work with MB providing the HadCM3BL-M2.2 and EPK the LPJ model
- data. DJW prepared the manuscript with contributions from all co-authors.

493 Competing Interests

494 The authors declare that they have no conflict of interest.

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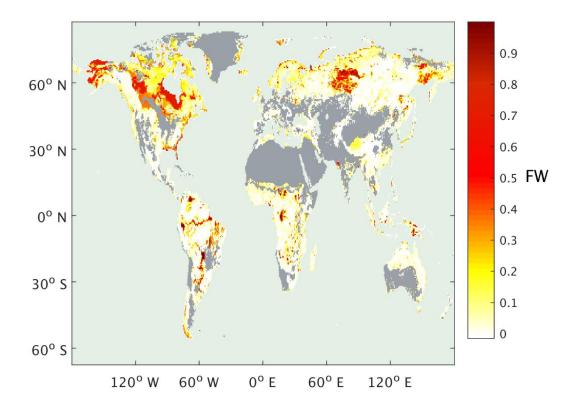
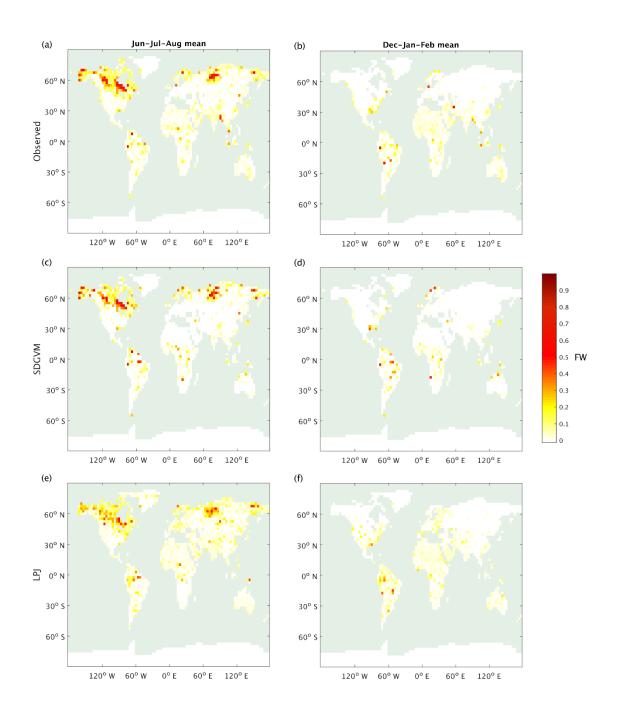


Figure 1: Annual monthly maximum observed FW from the SWAMPS-GLWD data set

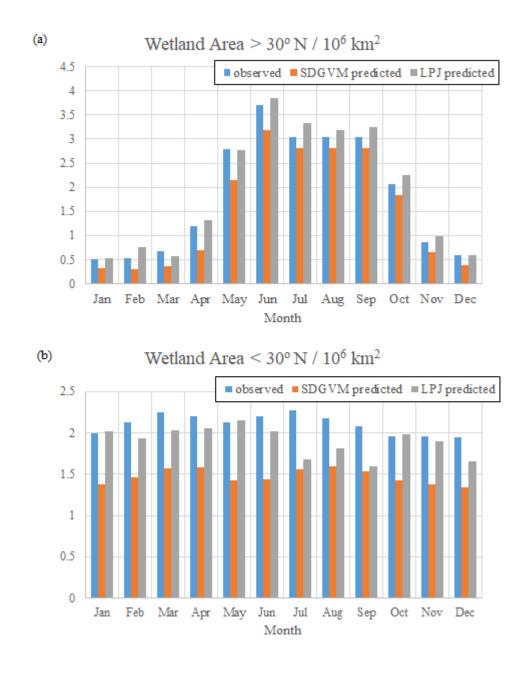
615 (Poulter et al., 2017), mean of 2000 to 2012. Grey shading indicates bare land, as

616 predicted by SDGVM, or > 50% cultivated (Global Land Cover 2000 database, 2003).



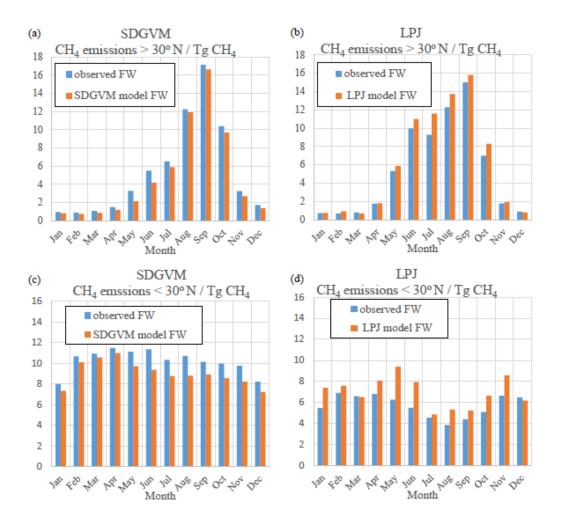
619 Figure 2: Seasonal mean FW. Observed interpolated to model grid; (a) Jun–Jul–Aug

- 620 and (b) Dec–Jan–Feb. 1NN prediction by SDGVM (c) Jun–Jul–Aug and (d) Dec–Jan–
- 621 Feb. 1NN prediction by LPJ (e) Jun–Jul–Aug and (f) Dec–Jan–Feb.
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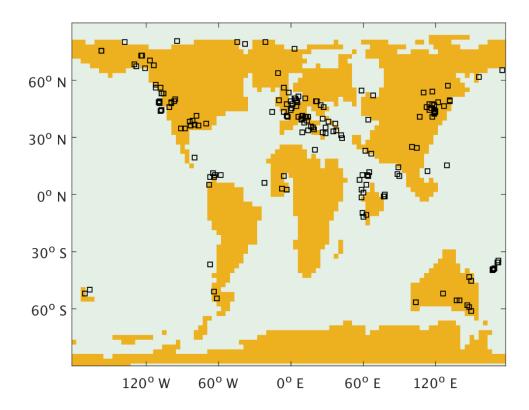


624 Figure 3: Monthly zonal variations of FW calculated for the mean 2000-12 climate on a

- **2.5** x 3.75° grid, (a) North of 30° N and (b) South of 30° N.



- 628 Figure 4: Monthly zonal variations of wetland CH₄ emissions / Tg CH₄ calculated from
- DGVM model data and observed or modelled FW, for the mean 2000-12 climate on a
 2.5 x 3.75 ° grid. (a) SDGVM North of 30° N, (b) LPJ north of 30° N, (c) SDGVM South
- 631 of 30° N and (d) LPJ south of 30° N.





633 634 Figure 5: Locations of Eocene coal deposits plotted on our Eocene model land mask.

indicates an Eocene coal deposit location (Boucot et al., 2013) 635

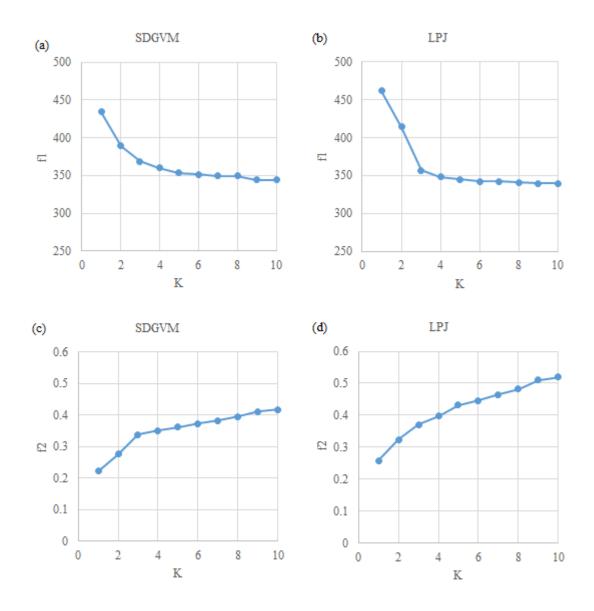
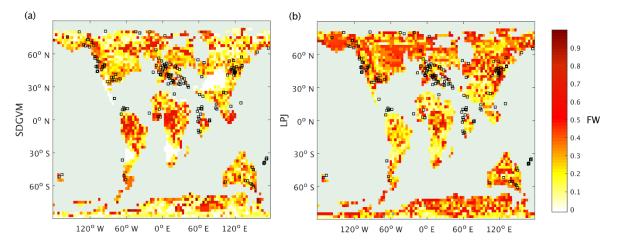


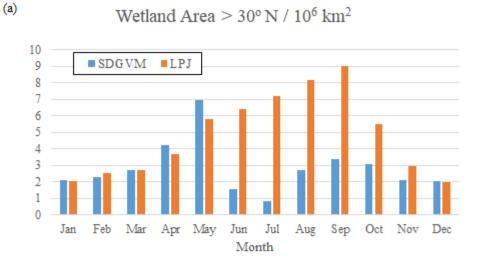
Figure 6: Variations of statistics for match between Eocene maxKNN predicted high FW and coal locations (Boucot et al., 2013). f1 is the mean distance of a coal location to site with FW > 0.2 for model based on (a) SDGVM and (b) LPJ. f2 is the mean FW of sites within 2 pixels of a coal location for model based on (c) SDGVM and (d) LPJ data.

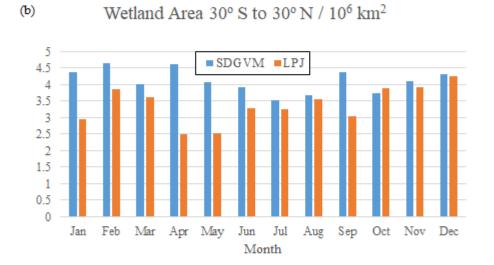


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643 Figure 7: Annual maximum FW calculated by the max3NN method by SDGVM and

644 LPJ for the Eocene climate, compared with coal deposit locations





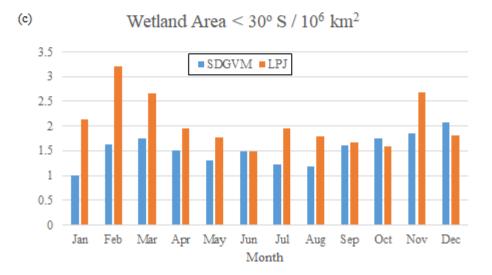


Figure 8: Monthly variations of total wetland area calculated for the Eocene climate by
SDGVM and LPJ, for (a) all areas north of 30° N, (b) all areas between 30° S and 30° N
and (c) all areas south of 30° S.

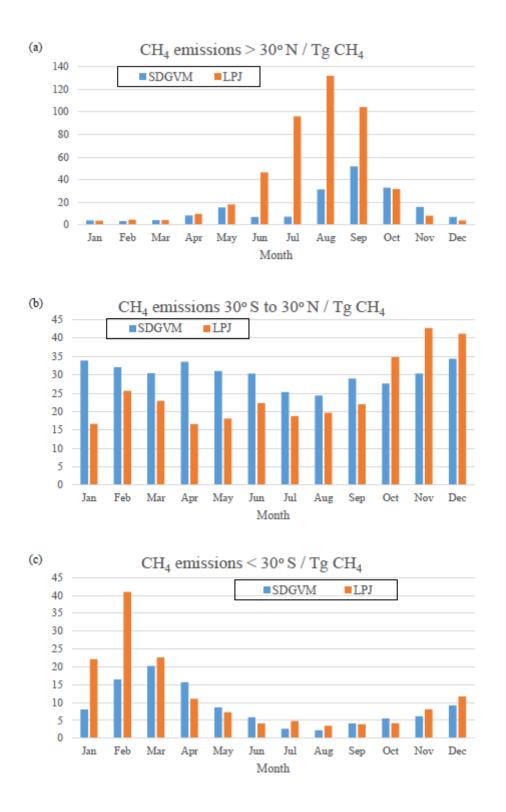
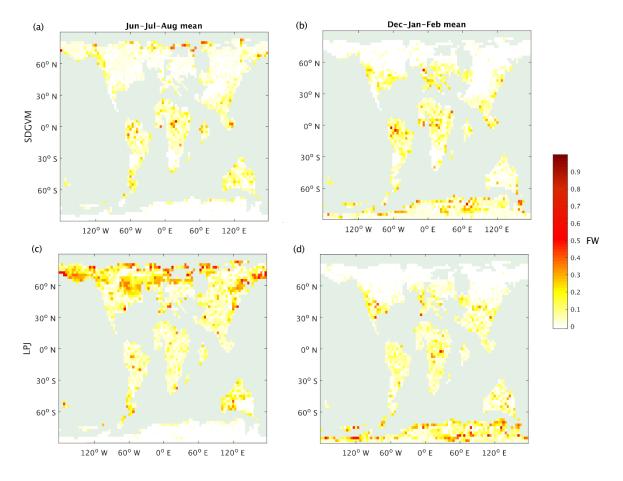


Figure 9: Monthly variations of wetland CH4 emissions /Tg CH4 calculated from

652 predicted FW, for the Eocene climate by SDGVM and LPJ, for (a) all areas north of 30° 652 N (b) all areas between 30° S and 30° N and (c) all areas south of 30° S

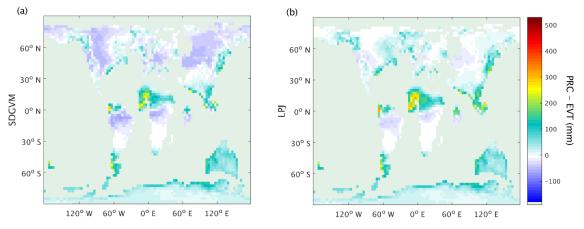
653 N, (b) all areas between 30° S and 30° N and (c) all areas south of 30° S.



654

Figure 10: Seasonal mean FW predicted for the Eocene climate by SDGVM and LPJ
using the max3NN (a) SDGVM June–July–August, (b) SDGVM December–January–

657 February, (c) LPJ June–July–August, (d) LPJ December–January–February



660 Figure 11: June–July–August mean precipitation minus evapotranspiration for the

- Eocene climate, using evapotranspiration from (a) SDGVM or (b) LPJ.

Data set	Time	Climate data source	DGVM used
SDGVM reference	Modern day	CRU-NCEP v4.0	SDGVM
LPJ reference	Modern day	CRU-NCEP v4.0	LPJ
SDGVM modern test	Modern day	Interpolated CRU-NCEP v4.0	SDGVM
LPJ modern test	Modern day	Interpolated CRU-NCEP v4.0	LPJ
SDGVM Eocene test	Early Eocene	HadCM3BL-M2.2	SDGVM
LPJ Eocene test	Early Eocene	HadCM3BL-M2.2	LPJ

664Table 1. Summary of reference and test data sets used combining data from dynamic

665 global vegetation models SDGVM (Woodward et al., 1995; Beerling and Woodward,

666 2001) and LPJ (Wania et al., 2009) with climate data from CRU-NCEP v4.0 (Wei et al.

667 2014), for the modern day, and HadCM3BL-M2.2 (Valdes et al. 2017), for the Early

668 Eocene.

	> 30° N FW	< 30° N FW	Global FW
Observed	1.84	2.11	3.95
Observed	1.47	1.41	2.88
excluding SDGVM bare land			
SDGVM	1.53	1.47	3.00
LPJ	1.95	1.90	3.86

Table 2: Modern day monthly mean FW area (10^6 km^2) , for observed data interpolated to the $2.5^{\circ} \times 3.75^{\circ}$ grid or calculated by vegetation model.

Model	FW data	> 30° N CH4	< 30° N CH4	Global CH ₄
SDGVM	observed	64.32	122.69	187.01
	predicted	57.95	108.63	166.58
LPJ	observed	65.43	68.60	134.03
	predicted	73.11	83.78	156.89
GCP-CH4* WETCHIMP**	observed 0.5° model specific	51±15	126±31	~ 184 190±39

⁶⁷⁴ ^{*} GCP-CH4 (Poulter et al., 2017) results are the mean of 11 different methane emission ⁶⁷⁵ models with the same observed wetland data as used to produce Figure 1 here. They are ⁶⁷⁶ quoted as means over specific ranges of years: $2000-2006 = 184.0 \pm 21.1$, 2007-2012 =⁶⁷⁷ 183.5 ± 23.1 , $2012 = 185.7 \pm 23.2$. As our results are for a single mean 2000-12 year we ⁶⁷⁸ therefore only quote an approximate value from this source for comparison.

** WETCHIMP (Melton et al., 2013) results are the mean of 8 different models, 1993-2004,
each of which used their own definition of wetland extent rather than observed data

681

Table 3: Modern day annual total wetland CH₄ emission (Tg CH₄ year⁻¹), calculated by

683 vegetation model using either observed FW data (interpolated to the 2.5° x 3.75° grid)

684 or model predicted FW, compared with other modelling studies.

FW model	> 30°N	30°S to 30°N	< 30°S	Global
SDGVM	2.82	4.11	1.53	8.48
LPJ	4.84	3.39	2.06	10.29

687 Table 4: Eocene monthly mean max3NN modelled FW area / 10⁶ km²