



1 Improving climate model accuracy by exploring parameter space with an $O(10^5)$ member
2 ensemble and emulator

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24 **Abstract**

25 Understanding the unfolding challenges of climate change relies on climate models, many
26 of which have large summer warm and dry biases over Northern Hemisphere continental
27 mid-latitudes. This work, using the example of the model used in the updated version of
28 the weather@home distributed climate model framework, shows the potential for
29 improving climate model simulations through a multi-phased parameter refinement
30 approach, particularly over northwestern United States (NWUS). Each phase consists of 1)
31 creating a perturbed physics ensemble with the coupled global - regional atmospheric
32 model, 2) building statistical emulators that estimate climate metrics as functions of
33 parameter values, 3) and using the emulators to further refine the parameter space. The
34 refinement process includes sensitivity analyses to identify the most influential parameters
35 for various model output metrics; results are then used to cull parameters with little
36 influence. Three phases of this iterative process are carried out before the results are
37 considered to be satisfactory; that is, a handful of parameter sets are identified that meet
38 acceptable bias reduction criteria. Results not only indicate that 74% of the NWUS regional
39 warm biases can be reduced by refining global atmospheric parameters that control
40 convection and hydrometeor transport, and land surface parameters that affect plant
41 photosynthesis, transpiration and evaporation, but also suggest that this iterative approach
42 to perturbed physics has an important role to play in the evolution of physical
43 parameterizations.

44



45 **Introduction**

46 Boreal summer (June-July-August, JJA) warm and dry biases over North Hemisphere (NH)
47 continental midlatitudes are common in many global and regional climate models (e.g.,
48 Boberg and Christensen, 2012; Mearns et al., 2012; Mueller and Seneviratne, 2014;
49 Kotlarski et al., 2014; Cheruy et al., 2014; Merrifield and Xie, 2016), including very high
50 resolution convection-permitting models (e.g. Liu et al., 2017). These biases can have non-
51 negligible impacts on climate change studies, particularly where relationships are non-
52 linear, such as is the case of surface latent heat flux as a function of water storage (e.g.
53 Rupp et al., 2017). Biases in present-day climate model simulations cast doubt on the
54 reliability of the future climate projections from those models. As shown by Boberg and
55 Christensen (2012), after applying a bias correction conditioned on temperature to account
56 for model deficiencies, the Mediterranean summer temperature projections were reduced
57 by up to 1°C. Cheruy et al. (2014) demonstrated that of the climate models contributing to
58 the Coupled Model Intercomparison Project Phase5 (CMIP5), the models that simulate a
59 higher-than-average warming overestimated the present climate net shortwave radiation
60 which increased more than multi-model average in the future; those models also showed a
61 higher-than-average reduction of evaporative fraction in areas with soil moisture-limited
62 evaporation regimes. Both studies suggested that models with a larger warm bias in surface
63 temperature tend to overestimate the projected warming. The implication of the warm bias
64 goes beyond climate model simulations, as many impact modeling (e.g. hydrological, fire,
65 crop modeling) studies (e.g. Brown et al., 2004; Fowler et al., 2007; Hawkins et al., 2013;
66 Rosenzweig et al., 2014) use climate model simulation results as driving data. Recently,
67 there have been coordinated research efforts (Morcrette et al., 2018; van Weverberg et al.,



68 2018; Ma et al., 2018; Zhang et al., 2018) to better understand the causes of the near-surface
69 atmospheric temperature biases through process level understanding and to identify the
70 model deficiencies that generate the bias. These studies suggest that biases in the net
71 shortwave and downward longwave fluxes as well as surface evaporative fraction are
72 contributors to surface temperature bias.

73

74 Older generation Hadley Centre coupled models (HadCM2 and HadCM3), and
75 atmosphere-only global (HadAM) and regional (HadRM) models have been used in
76 numerous attribution studies (e.g., Tett et al., 1996; Stott et al., 2004; Otto et al., 2012;
77 Rupp et al., 2017a; van Oldenborgh et al., 2016; Schaller et al., 2016; van Oldenborgh et
78 al., 2017; Uhe et al., 2018), and the same models have been used for future projections
79 (e.g., Rupp and Li, 2017; Rupp et al., 2017b; Guillod et al., 2018). These model families
80 exhibit warm and dry biases during JJA over continental midlatitudes, biases that have
81 persisted over model generations and enhancements (e.g., Massey et al., 2015; Li et al.,
82 2015; Guillod et al., 2017). The more recent generations of Hadley Centre models –
83 HadGEMx (HadGEM1, Johns et al, 2016; HadGEM2, Collins et al., 2008) also have the
84 same biases to some extent.

85

86 Many of the aforementioned studies using HadAM and HadRM generated simulations
87 through a distributed computing system known as climateprediction.net (CPDN, Allen et
88 al., 1999), within which a system called weather@home is used to dynamically downscale
89 global simulations using regional climate models (Massey et al., 2015; Mote et al., 2016;
90 Guillod et al., 2017). As with the previous version of weather@home, the current



91 operational version of weather@home (version 2: weather@home2) uses the coupled
92 HadAM3P/HadRM3P with the atmosphere component based on HadCM3 (Gordon et al.,
93 2000), but updates the land surface scheme from the Met Office Surface Exchange Scheme
94 version 1 (MOSES1, Cox et al., 1999) to version 2(MOSES2, Essery et al., 2003).

95

96 Although the current model version in weather@home2 produces some global-scale
97 improvements in the global model's simulation of the seasonal mean climate, warm biases
98 in JJA increase over North America north of roughly 40° compared with the previous
99 version in weather@home1 (Fig. 2 in Guillod et al., 2017). The warm and dry JJA biases
100 appear clearly in the regional model simulations over the northwestern US region (NWUS,
101 defined here as all the continental US land points west of 110° and between 40°N-49°N -
102 the grey bounding box in Fig.S1). These biases may be related to, among other things, an
103 imperfect parameterization of certain cloud processes, leading to excess downward solar
104 radiation at the surface, which in turn triggers warm and dry summer conditions that are
105 further amplified by biases in the surface energy and water balance in the land surface
106 model (Sippel et al., 2016; Guillod et al., 2017). The fact that recent model enhancements
107 did not reduce biases over most of the northwest US motivates the present study, which
108 aims at reducing these warm/dry biases by way of adjusting parameter values, herein
109 referred to as 'parameter refinement'.

110

111 Many small-scale atmospheric processes have significant impacts on large-scale climate
112 states. Processes such as precipitation formation, radiative balance, and convection, occur
113 at scales smaller than the spatial resolution explicitly resolved by climate models, though



114 very high resolution regional climate models are able to resolve or partially resolve some
115 of these processes (e.g., convection). These processes must be represented by
116 parameterizations that include parameters whose uncertainty are often high because: 1)
117 there are insufficient observations with which to constrain the parameters, 2) a single
118 parameter is inadequate to represent the different ways a process behaves across the globe,
119 and/or 3) there is incomplete understanding of the physical process (Hourdin et al., 2013).
120 Many studies have demonstrated the importance of considering parameterization
121 uncertainty in the simulation of present and future climates by perturbing single and
122 multiple model parameters within plausible parameter ranges usually established by expert
123 judgment (e.g., Murphy et al., 2004; Stainforth et al., 2005; Sanderson et al., 2008a, b,
124 2010, 2011; Collins et al., 2011; Bellprat et al., 2012a,b, 2016). These studies have argued
125 for careful tuning of models not only to reduce model parameter uncertainties by selecting
126 parameter values that result in a better match between model simulation results with
127 observations, but also to better understand relationships among physical processes within
128 the climate system via systematic experiments that alter individual parameter values or
129 combinations thereof, in order to assess model responses to perturbing parameters.

130

131 Improving a model by parameter refinement can be an iterative process of modifying
132 parameter values, running a climate simulation, comparing model output to observations,
133 and refining the parameter values again (Mauritsen et al., 2012; Schirber et al., 2013). This
134 iterative process can be both computationally expensive and labor-intensive. Any
135 parameter refinement process performed with the intent of improving the model also
136 involves unavoidably arbitrary decisions - though guided by expert judgement - about



137 which parameter(s) to adjust, which metric(s) to evaluate (i.e., which feature(s) of the
138 climate system to simulate at some level of accuracy), and which observational dataset(s)
139 to use as the basis for the evaluation metric(s). Nonetheless, model tuning through
140 parameter refinement is invariably needed to better match model simulations with
141 observations (Schirber et al., 2013).

142

143 One systematic, yet computationally demanding, approach to model tuning is through
144 perturbed physics experiments (Allen et al., 1999; Murphy et al., 2004). These experiments
145 use a perturbed physics ensemble (PPE) of simulations from a single model where a
146 handful of uncertain model parameters are varied systematically. Each set of perturbed
147 parameter (PP) values is considered to be a different model variant - a PP set refers to a
148 combination of parameter values from herein on. PPEs can be treated as a sparse sample
149 of behaviours from a vast, high-dimensional parameter space (Williamson et al., 2013). A
150 PPE directly informs us about model behaviour at those points in parameter space where
151 the model is run (the PP sets), and helps us infer model behavior in nearby parameter space
152 where the model has not been run.

153

154 Studies of climate model tuning using PPEs generally fall into three categories. The first
155 category makes only direct use of the ensemble itself (e.g., Murphy et al., 2004; Rowlands
156 et al., 2012) by screening out ensemble members that are deemed too far from the observed
157 target metrics. This is often referred to as ensemble filtering. However, this approach can
158 overlook certain critical parts of the parameter space not sampled by the PPE. One
159 promising improvement of this approach is to estimate the response of metric(s) in a



160 geophysical (e.g., atmospheric) model to parameter perturbations using a computationally
161 efficient statistical model (i.e. emulator) that is trained from the PPE results. The
162 emulator's skill is evaluated based on its metric prediction accuracy using independent
163 simulations of the model and, if deemed sufficiently skilful, can be used to estimate the
164 model's output metrics as a function of the model parameters in the parameter space not
165 sampled by the PPE.

166

167 The second category uses a PPE to train a statistical emulator, or establish some cost
168 function, which is then used to automatically search for optimal parameter values that
169 produce simulations closest to observations (e.g., Bellprat et al., 2012a, 2016; Zhang et al.,
170 2015; Tett et al., 2017). These studies advocated for this approach particularly because of
171 the efficiency and automation of available searching algorithms. However, as with any
172 model evaluation effort, the use of a cost function with multiple target metrics means that
173 optima for different metrics may occur at different parameter values. This approach
174 (automatically searching for optimal parameters) also runs the risk of being trapped into
175 local minima in the associated cost function; thus, searching results are heavily dependent
176 on the initial parameter values. Admittedly, the idea of automatic searching to obtain
177 optimal combinations of model parameters is appealing, but in reality there is still a high
178 level of subjectivity, e.g. selecting which model performance metrics and observation(s) to
179 use in evaluating the model, and the methods of optimization and searching algorithm.

180

181 Unlike the second category, which searches for the optimal parameter values that result in
182 the closest match to observations, the third category, named 'history matching' (McNeall



183 et al., 2013, 2016; Williamson et al., 2013, 2015, 2017), seeks to rule out parameter choices
184 that do not adequately reproduce observations. History matching uses PPEs to train
185 statistical emulators that predict key metrics from the model output, and then uses the
186 emulators to rule out parameter space that is implausible. Williamson et al. (2017)
187 demonstrated that this method is more powerful when iterative steps are taken to rule out
188 implausible parameter space, where each step helps refine the parameter space containing
189 potentially better performing model variants. A drawback is that iterative history matching
190 requires more model runs in the not-ruled-out-yet parameter space for later iterations. The
191 method we adopted in this study fits in the third category, where the parameter values were
192 refined through phases of experiments.

193

194 All three approaches begin with an initial PPE, which can be computationally expensive
195 even with a modest number of free parameters. To cope with the computational demand,
196 many previous studies have generated PPEs from a global climate model (GCM) using
197 CPDN. The studies span a range of topics, from the earlier studies focusing on climate
198 sensitivity (e.g., Murphy et al., 2004; Stainforth et al., 2005; Sanderson et al., 2008a,b,
199 2010, 2011), to later ones attempting to generate plausible representations of the climate
200 without flux adjustments (e.g. Irvine et al., 2013; Yamazaki et al., 2013) and using history
201 matching to reduce parameter space uncertainty (Williamson et al., 2013). More recently,
202 Mulholland et al. (2016) demonstrated the potential of using PPEs to improve the skill of
203 initialized climate model forecasts of 1 month lead time, and Sparrow et al. (2018) showed
204 that large PPE can be used to identify subgrid scale parameter settings that are capable of
205 best simulating the ocean state over the recent past (1980-2010). However, very little has



206 been published on using PPEs for parameter refinement with the aim of improving regional
207 climate models (RCMs).

208

209 The goals of this study were to: 1) identify model parameters that most strongly control the
210 annual cycle of near-surface temperature and precipitation over the NWUS in
211 weather@home2, and 2) select model parameterizations that reduce the warm/dry summer
212 biases without introducing or unduly increasing other biases. We acknowledge that
213 changing a model in any way inevitably involves making sequences of choices that
214 influence the behaviour of the model. Some of the model behavioural changes are targeted
215 and desirable, but parameter refinement may have unintended negative consequences.
216 There is a general concern that ‘improved’ performance arises because of compensation
217 among model errors, and an ‘accurate’ climate simulation may very well be achieved by
218 compensating errors in different processes, rather than by best simulating every physical
219 process. This concern motivated us to select multiple parameter sets from the tuning
220 exercise rather than seek an “optimal” set. Though having multiple parameter sets does not
221 eliminate the problem, to the degree that each parameter set compensates for errors
222 uniquely, obtaining a similar model response to some change in forcing across parameter
223 sets may provide more confidence in that response.

224

225 It is worth noting that this study looks mainly at atmospheric parameters because we
226 intended to focus this study on larger-scale atmospheric dynamics that influence the
227 boundary conditions of the regional model, especially how much moisture and heat is
228 advected to the regional model, while local land surface/atmosphere interactions are being



229 examined in a subsequent study that perturbs a suite of atmospheric and land surface
230 parameters in the regional model.

231

232 **2. Methodology**

233 Throughout this paper we use ‘simulated’ to refer to outputs from climate models, and
234 ‘emulated’ results to refer to estimated/predicted outputs from statistical emulators.

235

236 **2.1. Overview of the parameter refinement process**

237 This study carried out an iterative parameter refinement exercise, or an ‘iterative
238 refocusing’ procedure to use a term coined in Williamson et al. (2017). The multi-
239 dimensional parameter space is reduced in phases, where each phase includes the following
240 steps:

- 241 1) Randomly sample the initially defined parameter space (defined by the bounds of the 17
242 parameters listed in Table1) to generate sets of parameter combinations;
- 243 2) generate a PPE with the parameters sets from step (1) through weather@home;
- 244 3) train statistical emulators for multiple climate metrics using the PPE from step (2);
- 245 4) reduce the parameter space (i.e., narrow the ranges of acceptable values for parameters)
246 such that the space excludes ensemble parameter sets that are ‘too far away’ from target
247 metrics;
- 248 5) randomly sample the reduced parameter space to design a new set of parameter
249 combinations;
- 250 6) use the trained emulators to filter the sample from step (5), and reject a parameter set if
251 the emulator prediction is too far away from a target value;



252 7) repeat steps (2) through (6) until the desired outcome is achieved.

253 Detailed descriptions of the parameter refinement process throughout three phases is
254 presented in Appendix A, including decisions on what key climate metrics to use in each
255 phase, and the stopping point of this iterative exercise - after three phases.

256

257 Here we briefly summarize the objective of each phase. The objective of Phase 1 was to
258 eliminate regions of parameter space that led to top-of-atmosphere (TOA) radiative fluxes
259 that are too far out of balance. The objective of Phase 2 was to reduce biases in the
260 simulated regional climate of NWUS, while not straying too far away from TOA radiative
261 (near-) balance. Lastly, the objective of Phase 3 was to further refine parameter space,
262 specifically to reduce the JJA warm and dry bias over the NWUS.

263

264 The principle climate metrics used to assess the effect of parameter perturbation are: Phase
265 1) TOA radiative fluxes, where we considered outgoing (reflected) shortwave radiation
266 (SW) and outgoing longwave radiation (LW) separately; Phase 2) NWUS regional surface
267 metrics - the mean magnitude of the annual cycle of temperature (MAC-T), and mean
268 temperature (T) and precipitation (Pr) in December-January-February (DJF) and (JJA),
269 while still being mindful of SW and LW; and Phase 3) same as Phase 2, except for selecting
270 model parameterizations that reduce the JJA warm and dry biases over the NWUS.

271

272 **2.2. Climate simulations with weather@home**

273 The climate simulations used in this study were generated through the weather@home
274 climate modelling system (Massey et al., 2015; Mote et al., 2016) with updates (Guillod et



275 al., 2017) that includes MOSES2. MOSES2 simulates the fluxes of CO₂, water, heat, and
276 momentum at the interface of the land and atmospheric boundary layer, and is capable of
277 representing a number of sub-grid tiles within each grid box, allowing a degree of sub-grid
278 heterogeneity in surface characteristics to be modeled (Williams et al., 2012).

279

280 The western North America application of weather@home (weather@home-WNA)
281 consists of HadRM3P (0.22° × 0.22°) nested within HadAM3P (1.875° longitude × 1.25°
282 latitude). Weather@home-WNA prior to recent enhancements was evaluated for how well
283 it reproduced various aspects of the recent historical climate of the western US by Li et al.
284 (2015), Mote et al. (2016), Rupp and Li (2016), and Rupp et al. (2017). Notable warm/dry
285 biases in JJA were present over the NWUS and these biases persist with MOSES2 (Fig.
286 S1), with a temperature bias of 3.9 °C and a precipitation biases of -8.5 mm/month (-32%)
287 in JJA over Washington, Oregon, Idaho and western Montana, as compared with the
288 PRISM gridded observational dataset (Daly et al., 2008). Note these were biases using
289 default, i.e. standard physics (SP), model parameter values.

290

291 Each simulation in the PPE spanned 2 years, with the first year serving as spin-up and only
292 the second year used in the analysis. Simulations began on 1 December of each year for
293 the years 1995 to 2005, except for Phase 1 (see description of Phases in Appendix A).
294 Climate metrics were averaged over December 1996 to November 2007 (except Phase 1).
295 This time period was chosen because it contained a wide range of SST anomaly patterns -
296 including the very strong 1997-98 El Niño – which helps reduce the influence that any



297 particular SST anomaly pattern may have on the sensitivities of chosen climate metrics to
298 parameters.

299

300 **2.3. Perturbed parameters**

301 In our PPE, we initially selected 17 model parameters to perturb simultaneously, 16 in the
302 atmospheric model, and one in the land surface model (Table 1). The atmospheric
303 parameters are a subset of those perturbed in Murphy et al. (2004) and Yamazaki et al.
304 (2013); both studies also perturbed ocean parameters, and Yamazaki et al. (2013) perturbed
305 forcing parameters (e.g., scaling factor for emission from volcanic emissions) as well. Our
306 selection of parameters was constrained to those available to be perturbed using
307 weather@home at the time. Ranges for most parameter perturbations were 1/3 to 3 times
308 the default value, but for certain parameters (e.g., empirically adjusted cloud fraction,
309 EACF), only values greater than the default value were used (Table 1). We intentionally
310 began with ranges generally wider than those used in previous studies (Murphy et al. 2004;
311 Yamazaki et al. 2013) because we intended to refine the ranges through multiple phases of
312 PPEs.

313

314 Though a principal objective was to evaluate sensitivity of the regional climate to
315 atmospheric parameters, sensitivities may be a function of land-atmosphere exchanges
316 (Sippel et al., 2016; Guillod et al., 2017). While many parameters influence land-
317 atmosphere energy and water exchanges in MOSES2, one (V_CRIT_ALPHA) has been
318 shown to be particularly important (Booth et al., 2012) so was included in our tuning



319 exercise. `V_CRIT_ALPHA` defines the soil water content below which transpiration
320 begins being limited by soil water availability and not solely the evaporative demand.

321

322 **2.4 Observational data**

323 The regional biases in MAC-T, JJA-T, JJA-Pr, DJF-T and DJF-Pr - were all calculated
324 with respect to the 4-km resolution monthly PRISM dataset, after regridding the PRISM
325 data to the HadRM3P grid. To consider observational uncertainty, we also compared JJA-
326 T biases using four other observational datasets: 1) NCEP/NCAR Reanalysis 1 (NCEP,
327 Kalnay et al., 1996), 2) the Climate Forecast System Reanalysis and Reforecast (CFSR,
328 Saha et al., 2010), 3) the Modern-Era Retrospective Analysis for Research and
329 Applications Version2 (MERRA2, Gelaro et al., 2017), and 4) Climatic Research Unit
330 temperature dataset v4.00 (CRU, Harris et al., 2014). The four datasets are not shown here
331 for the regional analysis because the maximum regionally averaged difference (0.71 °C)
332 among the datasets is less than 1/5 of the regionally averaged JJA-T bias. Throughout this
333 paper, regional biases are calculated with respect to PRISM.

334

335 The biases in global temperature were calculated with respect to CRU, MERRA2, CSFR,
336 NCEP, and the Climate Prediction Centre global land surface temperature data; the latter
337 is a combination of the station observations collected from Global Historical Climatology
338 Network version 2 and the Climate Anomaly Monitoring System (GHCN-CAMS, Fan and
339 van den Dool, 2008). The biases in global precipitation were calculated with respect to
340 CRU, MERRA2, CFSR, Global Precipitation Climatology Project monthly precipitation
341 (GPCP, Adler et al., 2003), Global Precipitation Climatology Centre monthly precipitation



342 (GPCC, Schneider et al., 2013), ERA-Interim reanalysis dataset (ERA-Interim, Dee et al., 2011),
343 Japanese 55-year Reanalysis (JRA-55, Onogi et al., 2007) and NOAA-CIRES 20th Century
344 Reanalysis version 2c (20CRv2c, Compo et al., 2011). All the datasets were regridded to
345 the HadAM3P grid before biases were calculated.

346

347 For all the observational datasets, data from December 1996 to November 2007 (the same
348 time period the model simulations cover as shown in Table 2) was used to calculate model
349 biases.

350

351 **2.5 Sensitivity Analysis**

352 The response of the climate model to perturbations in the multidimensional parameter
353 space can be non-linear. In order to isolate the influence of each parameter on key climate
354 metrics and eliminate parameters that do not have a strong control on those metrics, we
355 performed two types of sensitivity analysis. One determines the sensitivity of a single
356 parameter by perturbing one parameter with all other parameters fixed, i.e. one-at-a-time
357 (OAAT) sensitivity analysis. Following Carslaw et al. (2013) and McNeall et al. (2016),
358 we also used a global sensitivity analysis using Fourier Amplitude sensitivity test (FAST)
359 for qualitative sensitivity analysis to validate the results of OAAT and to estimate
360 interactions among parameters. FAST allows the computation of the total contribution of
361 each input parameter to the output's variance, where total includes the factor's main effect,
362 as well as the interaction terms involving that input parameter. The computational aspects
363 and advantages of FAST are described in Satelli et al. (1999).

364



365 **3. Results and Discussion**

366 Top-of-atmosphere (TOA) radiative balance is an emergent property in GCMs (Irvine et al., 2013), and the fact that the models of the IPCC Assessment Report 4 did not need flux-
367 adjustment was seen as an improvement over earlier models (Solomon et al., 2007).
368 Although climate models approximately balance the net absorption of solar radiation with
369 the outward emission of longwave radiation (OLR) at the TOA, the details of how solar
370 absorption and terrestrial emission are distributed in space and time depend on global
371 atmospheric and oceanic circulation, clouds, ice, and other aspects of model behaviour.
372 The surface expression of those global processes is also important given that a primary and
373 practical purpose of climate modelling is to understand how (surface) climate will change.
374 We describe the responses of both global TOA and regional surface climate to parameter
375 refinement.
376

377

378 **3.1. TOA radiative fluxes**

379 In Fig. 1, we show the TOA energy flux components from the PPEs from each of the three
380 phases. In Phase 1, many parameter sets (72%) resulted in TOA energy fluxes that vastly
381 exceeded our ranges of acceptability (as defined in Appendix A). In Phase 2, most of the
382 parameter sets resulted in TOA energy fluxes that fell within the ranges of acceptability;
383 the 20% that did not reveal the error in our predictions using the emulator since the
384 parameter sets were chosen to specifically achieve TOA fluxes within the region of
385 acceptability. In Phase 3, nearly all (97%) the parameter sets yielded acceptable results. It
386 is worth mentioning again that in Phase 3, selection of parameter sets was based only



387 secondarily on TOA fluxes and primarily on regional climate metrics (see detailed
388 description of Phase 3 in Appendix A).

389

390 Rowlands et al. (2012) discarded any ensemble member that required a global annual mean
391 flux adjustment of absolute magnitude greater than 5 W m^{-2} (see red lines in Fig. 1) and
392 Yamazki et al. (2013) defined a confidence region of (SW, LW) that corresponded to a
393 TOA imbalance of less than 5 W m^{-2} as one that did ‘not drift significantly’ from a realistic
394 TOA state. Although the ranges of acceptability (Fig.1) permits net TOA imbalance
395 greater than 5 W m^{-2} , more than half (55.8%) of the Phase 3 parameter sets generated a
396 TOA imbalance less than 5 W m^{-2} , and the smallest TOA imbalance was less than 0.1 W
397 m^{-2} .

398

399 The entrainment coefficient (ENTCOEF) and the ice fall speed (VF1) were the dominant
400 controls on the TOA outgoing SW and LW fluxes, respectively (see SW and LW response
401 to these two parameters shown in the bottom two rows of Fig. S2). Why these parameters
402 are important becomes clear from understanding their respective roles in the climate model,
403 especially with respect to convection and hydrometeor transport.

404

405 The atmospheric model simulates a statistical ensemble of air plumes inside each
406 convectively unstable grid cell. On each model layer, a proportion of rising air is allowed
407 to mix with surrounding air and vice-versa, representing the process of turbulent
408 entrainment of air into convection and detrainment of air out of the convective plumes
409 (Gregory and Rowntree, 1990). The rate at which these processes occur in the model is



410 proportional to ENTCOEF, which is a parameter in the model convection component
411 (Table1). The implication of perturbing ENTCOEF has been investigated by (Sanderson et
412 al, 2008b) using single perturbation experiments, and they showed that a low ENTCOEF
413 leads to a drier middle troposphere and moister upper troposphere. Conversely, increasing
414 ENTCOEF results in increased low level moisture (more low level clouds) and decreased
415 high level moisture (less high level clouds). Because the albedo effects of low clouds
416 dominate their effects on emitted thermal radiation (Hartmann et al., 1992; Stephens,
417 2005), increasing ENTCOEF increases the outgoing SW fluxes.

418

419 VF1 is the speed at which ice particles may fall in clouds. A larger ice fall speed is
420 associated with larger particle sizes and increased precipitation. Wu (2002) studied ice fall
421 speed parameterization in radiative convective equilibrium models, and found that a
422 smaller ice fall speed leads to a warmer, moister atmosphere, more cloudiness, weak
423 convection and less precipitation, which could lead decreased outgoing LW TOA flux due
424 to absorption in the cloud itself and/or in the moist air. Higher ice fall speeds produce the
425 opposite - a cooler, clearer, less cloudiness, strong convection and more precipitation,
426 which increases the outgoing LW flux.

427

428 **3.2. Regional climate improvements**

429 A primary and practical purpose of climate modelling is to understand how (surface)
430 climate will change, but model biases can have non-negligible impacts on projections. In
431 Phase 2 and 3 we evaluate the response of regional surface climate to parameter



432 perturbations, and refine the parameter space to reduce biases in regional temperature and
433 precipitation.

434

435 In Phase 2, we identified ENTCOEF and VF1 as distinct from the other 15 parameters with
436 respect to their influence on the overall suite of climate metrics to a first order
437 approximation (Fig. S3). Recall the regional surface metrics considered were MAC-T, JJA-
438 T, JJA-Pr, DJF-T, and DJF-Pr. Though MAC-T is our principal metric (section 2.1), MAC-
439 T co-varies with JJA-T, JJA-Pr, and DJF-T (Fig. S3), so moving in parameter space toward
440 lower bias in MAC-T reduces biases in JJA-T, JJA-Pr, and DJF-T. MAC-T does not co-
441 vary strongly with DJF-Pr.

442

443 Each OAAT relationship in Fig. 2 depends on the initial ranges of the input parameters
444 from the ensemble design, and is computed while holding all other parameters at their
445 ensemble mean values. Because sensitivity can change as one moves through the
446 parameter space (e.g. CW_LAND and ENTCOEF in Fig. 2), these relationships must be
447 interpreted with care. Within the refined parameter space in Phase 2, ENTCOEF and the
448 parameter that limits photosynthesis (and thereby latent heat flux via transpiration) as a
449 function of soil water (V_CRIT_ALPHA) were the most influential individual parameters
450 and counter each other when both increased (Fig. 2 and Fig. S3). The parameter that
451 controls the cloud droplet to rain threshold over land (CW_LAND) also had strong
452 influence on MAC-T across the lower end of the parameter perturbation range (up to
453 0.004). The other parameters had little to effectively no influence on MAC-T. The results



454 of OAAT sensitivity analysis for the other output metrics considered in Phase 2 are
455 presented in Fig. S6-S11.

456

457 The global sensitivities of the simulated outputs (the ones considered in Phase 2) due to
458 each input, as both a main effect and total effect, including interaction terms, are presented
459 in Fig. 3. ENTCOEF was the most important parameter for all three surface temperature
460 metrics, with a total sensitivity index of ~ 0.7 , 0.5 , and 0.4 for MAC-T, JJA-T, and DJF-T
461 respectively, where maximum sensitivity is 1 (see Satelli et al. 1999). For the metrics
462 MAC-T and JJA-T, V_CRIT_ALPHA was the next most important, with a total sensitivity
463 index of ~ 0.3 for both metrics. For JJA-Pr, the most important parameter was VF1,
464 followed by ENTCOEF; for DJF-Pr, the most important parameter was ENTCOEF, closely
465 followed by the parameter that controls the roughness length for free heat and moisture
466 transport over the sea (Z0FSEA).

467

468 The interaction terms were relatively small, accounting for a few percent of the variance,
469 except for the effect of ENTCOEF on DJF-Pr, where the interaction with other parameters
470 accounts for $\sim 1/3$ of the variance. In a study constraining carbon cycle parameters by
471 comparing emulator output with forest observations, McNeall et al. (2016) also found the
472 importance of the interaction terms negligible. In contrast, Bellprat et al. (2012b) used
473 quadratic emulator to objectively calibrate a regional climate model, and found non-
474 negligible interaction terms. They showed that excluding the interactions in the emulator
475 increased the error of the emulated temperature and precipitation results by almost 20%.



476 Further work could be done to assess the magnitude and functional form (i.e. linear or
477 nonlinear) of the interaction terms, but is beyond the scope this study.

478

479 Only the parameters with a total sensitivity index larger than ~ 0.1 for MAC-T, JJA-T, DJF-
480 T, JJA-Pr, or DJF-Pr were retained for perturbation in Phase 3: CW_LAND, VF1,
481 ENTCOEFF, V_CRIT_ALPHA, ASYM_LAMBDA, G0, and Z0FSEA. Although the
482 parameter that controls the rate at which cloud liquid water is converted to precipitation
483 (CT) had a total sensitivity index of ~ 0.1 for SW, it was excluded from further perturbation
484 because the primary interest in Phase 2 was in regional surface metrics, not TOA radiative
485 fluxes.

486

487 Phase 3 demonstrated the power of our approach for reducing regional mean biases in
488 MAC-T, JJA-T and JJA-Pr. Simulations from Phase 3 resulted in MAC-T biases 1- 3°C
489 lower than SP (Fig.4 middle row). All Phase 3 parameter sets improved the JJA-Pr dry bias
490 with several eliminating the bias entirely. Many parameter sets reduced the bias in JJA-T
491 to less than 1.5°C, a dramatic improvement ($\sim 63\%$) over the 4°C SP bias. However, these
492 improvements come at a small price, namely a larger regional (NWUS) dry bias in DJF-Pr
493 (about -15% compared with PRISM in the worst case). Because our primary goal was to
494 reduce JJA warm and dry biases, any model variant from Phase 3 is preferable to SP. Any
495 subset of parameterizations from phase 3 can now be used in subsequent experiments.

496

497 V_CRIT_ALPHA plays an important role in controlling JJA-T and MAC-T (as shown in
498 Fig. 2 and Fig. S6) due to its role in the surface hydrological budget. V_CRIT_ALPHA



499 defines the critical point as a fraction of the difference between the wilting soil water
500 content and the saturated soil water content (as described in Appendix C). The critical
501 point is the soil moisture content below which plant photosynthesis becomes limited by
502 soil water availability. When V_CRIT_ALPHA is zero, transpiration starts to be limited as
503 soon as the soil is not completely saturated, whereas when it is one, transpiration continues
504 unlimited until soil moisture reaches wilting point at which point transpiration switches
505 off. Lower values of V_CRIT_ALPHA reduce the critical point allowing plant
506 photosynthesis to continue unabated at lower soil moisture levels, i.e. plants are not water-
507 limited. As plants photosynthesize water is extracted from soil layers and transpired,
508 increasing the local atmospheric humidity and lowering the local temperature through
509 latent cooling. Our results are consistent with previous findings by Seneviratne et al.
510 (2006), who also show reducing the temperature and increasing humidity can feedback
511 onto the regional temperature and precipitation during the summer months.

512

513 The only apparent constraints on ranges of parameter values through three phases of
514 parameter refinement were seen for V_CRIT_ALPHA and $ENTCOEF$. Values of
515 V_CRIT_ALPHA lower than 0.7 were required to keep the bias of MAC-T under 3 °C.
516 For $ENTCOEF$, the range between 3 and 5 contains the best candidates to reduce regional
517 warm/dry biases. The range of $ENTCOEF$ identified here is consistent with findings of
518 Irvine et al. (2013), which also show that low values of $ENTCOEF$ tend to give warmer
519 conditions. However, results from other previous studies varies. Williamson et al. (2015)
520 found that low values of $ENTCOEF$ are implausible, and that there are more plausible
521 model variants at the upper end of its perturbed range, whereas Sexton et al. (2011) and



522 Rowlands et al. (2012) consider the range between 2 and 4 to contain the best model
523 variants. The discrepancy in optimal ranges for ENTCOEF are to be expected given that
524 the primary metrics used to evaluate the effect of parameter refinement are different, with
525 ours being JJA warm/dry biases over the NWUS, William et al. (2015) being the behaviour
526 of Antarctic Circumpolar Current, and other previous studies being climate sensitivities.
527 This demonstrates that any parameter refinement process is tailored to a specific objective,
528 and choices regarding metrics (e.g., variables, validation dataset(s), and / or cost functions)
529 may determine which part of parameter space is ultimately accepted.

530

531 **3.3. Effects on global scale climate**

532 To avoid introducing or increasing biases over other parts of the globe by our regionally-
533 focused model improvement effort, we investigated the large-scale effects of the selected
534 10 ‘good’ (least biased in MAC-T) sets of global parameter values. We focused on surface
535 temperature and precipitation because they are key variables of the climate system and are
536 of high interest for impact studies.

537

538 Figure 5 shows the meridional distribution of Northern Hemisphere (NH) mid-latitude
539 temperature (over land) and precipitation in DJF and JJA. Because of the wide range of
540 parameter values in the PPEs of Phase 1 and Phase 2, the spread for these PPEs is quite
541 large, whereas the ensemble spread in Phase 3 is substantially smaller. Compared with the
542 SP ensemble, the new parameter values (final 10 sets) reduced the zonal mean JJA
543 temperature throughout the NH mid-latitudes (30 °N -60 °N), by ~1 °C – 4 °C (depending
544 on the particular combination of parameters), and increased JJA precipitation over the same



545 latitude bands, except for latitudes south of 33 °N and north of 58 °N. In DJF, the effects
546 are not as large nor are the changes consistent in sign across the NH mid-latitude region
547 (though south of ~38 °N all 10 parameter sets give increasing precipitation).

548

549 To examine how parameter refinements affect spatial patterns of biases, we compare the
550 seasonal mean biases of temperature (Fig. 6) and precipitation (Fig. 7) under SP and the
551 selected PP settings, against CRU data. The SP simulations have large warm biases in JJA
552 (and to a lesser extent in MAM and SON, Fig. 6 b-d) over the NH mid-latitude land region,
553 that are substantially lower in the PP simulations (Fig. 6 f-h and Fig.6 j-l). In the tropics,
554 the SP simulations have cold biases over northern South America, central Africa and
555 southern Asia in most seasons that are ameliorated in the PP simulations in some cases
556 (e.g. central Africa in DJF and SON) - even though the focus of the PP simulations was
557 improving the climate of the NWUS. The SP simulations also have cold biases over most
558 of the Southern Hemisphere continents in mid-latitudes in most seasons. A large fraction
559 of the JJA temperature biases were reduced in the PP simulations, as shown in Fig. 6c, g
560 and k. These salient features in JJA temperature biases under SP and PP are not particular
561 to the selection of observational dataset (see Fig. S12-S15 for comparison with other
562 datasets). In the other three seasons, however, the spatial patterns of temperature biases are
563 not consistent across observational datasets.

564

565 The reduction of JJA temperature from SP to PP (Fig. 6k) and the resulting reduction in
566 bias are accompanied by reduction in precipitation in the equatorial regions; increased
567 precipitation over northern North America, northern Africa, and Europe (Fig. 7k); and



568 decreased incoming shortwave radiation at the surface and increased evaporation (Fig.
569 S16). Stronger evaporative cooling and reduced surface radiation lead to a cooling of the
570 JJA climate, which roughly agrees with the geographical pattern of reduced mean JJA
571 temperature, consistent with findings in Zhang et al. (2018) that both overestimated surface
572 shortwave radiation and underestimated evaporation contribute to the warm biases in JJA
573 in CMIP5 climate models.

574

575 For precipitation, the largest biases in SP are over Amazonia in DJF and MAM (Fig. 7a
576 and b), and northern South America, equatorial Africa, and south Asia in JJA (Fig. 7c).
577 These summer biases are increased in the PP simulations (Fig. 7k). However, it is difficult
578 to know whether we are improving the model's global precipitation patterns because of the
579 large uncertainty in historical precipitation observational datasets. Still, it is worth
580 comparing the PP simulations with both a variety of observational-based datasets and other
581 GCMs (Fig. 8). The precipitation amounts differ substantially across different
582 observational datasets, as well as across climate models. In the tropics, Phase 3 PP
583 simulated precipitation is mostly lower (except DJF just north of the equator) and has
584 narrower range than the observations or other climate models, but is higher in DJF and JJA
585 (up to 25% higher) than the SP simulation results. Outside the tropics, the precipitation
586 distributions in PP remain similar to those of SP, and differences from observational
587 datasets and other GCMs are less affected by the use of PP. The tropical precipitation
588 improvements in JJA can be taken as a general improvement, though not with high
589 confidence due to the variability across observational datasets. To further highlight the
590 uncertainties in precipitation, global maps of differences in biases between SP and our



591 selected parameter settings, in comparison with other observational-based datasets, are
592 presented in Fig. S17-23.

593

594 The fact that the large JJA warm bias (shared with many other GCMs and RCMs; see e.g.
595 Mearns et al., 2012; Kotlarski et al., 2014) could be reduced substantially through the use
596 of PP is a notable result, especially since the bias persisted through initial tuning efforts
597 and through the recent updates from version 1 to version 2 of weather@home. We
598 demonstrated here that significant improvements in the simulation of JJA temperature can
599 be made through parameter refinements, and that these JJA temperature biases are not
600 necessarily structural issues of the climate model. These improvements in simulating JJA
601 temperature generally did not overall improve JJA precipitation patterns across the globe,
602 and even worsened the bias in some places (e.g. South America).

603

604 **4. Conclusions**

605 Through an iterative parameter refinement approach to improve model performance, we
606 identified a region of climate model parameter space in which HadAM3P outperforms the
607 SP variant in simulating summer climate over the NWUS specifically, and over NH mid-
608 latitude land in general, while approximately maintaining TOA radiative (near-) balance.
609 Improving the northwest US climate comes with tradeoffs, e.g. larger JJA dry bias over
610 Amazonia. However, it is important to note that there are large uncertainties in observed
611 precipitation climatology, especially outside of the North American and European mid-
612 latitudes, so both apparent increases and decreases in biases should be treated with caution,
613 and compared against the range across observational datasets. In the end, we consider the



614 cost of increasing biases in parts of the globe acceptable for the purposes of selecting
615 multiple global model variants to drive the regional model with reduced JJA biases over
616 NWUS. The fact that improvements can be made at all (for a substantial area of the world)
617 through targeted PPE is encouraging.

618

619 Our parameter refinement yielded important improvements in the representation of the
620 summer climate over the NWUS, and it follows that biases in other models may also be
621 reduced by refining certain parameters that, although may not be identical to those in
622 HadAM3/RM3P, influence the same physical processes similarly. We found ENTCOEF
623 and V_CRIT_ALPHA to be the dominant parameters in reducing JJA biases. These
624 parameters control cloud formation and latent heat flux, respectively. Bellprat et al. (2016)
625 found the key parameter responsible for reduction of JJA biases is increased hydraulic
626 conductivity, which increases the water availability at the land surface and leads to
627 increased evaporative cooling, stronger low cloud formation, and associated reduced
628 incoming shortwave radiation. We only perturbed one land surface parameter, but the
629 effects of additional land surface parameters are being explored in a subsequent study.
630 Given that land model parameters such as V_CRIT_ALPHA could reasonably be expected
631 to interact with sensitive atmospheric parameters like ENTCOEF, it is particularly
632 interesting to consider the multivariate sensitivity of a range of parameters that span across
633 component models (e.g., land, ice, atmosphere, ocean). We argue that this frontier of
634 parameter sensitivity exploration should be done in a transparent and systematic manner,
635 and we have demonstrated that statistical emulators can be effectively leveraged to reduce
636 computational expense.



637

638 The fact that V_CRIT_ALPHA (which is a parameter in the land surface scheme MOSES2)
639 was found to be an important parameter on regional MAT-C and JJA-T, has much further
640 implications beyond this study. MOSES2 is the land surface scheme used in HadGEM1
641 and HadGEM2 family, which were used in CMIP4 and CMIP5. Moreover, the Joint UK
642 Land Environment Simulator (JULES) model (which is the land surface scheme of the
643 CMIP6 generation Hadley Centre models HadGEM3 family, [https://www.wcrp-](https://www.wcrp-climate.org/wgcm-cmip/wgcm-cmip6)
644 [climate.org/wgcm-cmip/wgcm-cmip6](https://www.wcrp-climate.org/wgcm-cmip/wgcm-cmip6)) is a development of MOSES2. What we have
645 learned about the atmosphere-land surface interactions here is relevant to even the most
646 recent HadGEM model generation and the in-progress CMIP6.

647

648 The reduction of JJA biases that we achieved in our multi-phase parameter refinement is
649 notable. However, despite our efforts, the ‘best’ performing parameter set still simulates a
650 MAC-T bias of 1.5 °C, and a JJA-T bias of 1 °C, over the NWUS. Future work could be
651 done to determine whether the model can be further improved by tuning additional land-
652 surface scheme parameters, and/or to what extent the remaining biases are due to structural
653 errors of the model for which we cannot (nor even should not) compensate by refining
654 parameter values. However, with the reduction in JJA temperature bias, future projections
655 using the new parameter settings over the SP should be at less risk of overestimating
656 projected warming in summer (as discussed in the introduction).

657

658 It is also worth noting that we restricted our analysis to seasonal and annual mean climate
659 metrics. Given the use of weather@home for attribution studies of many extreme weather



660 events (e.g., Otto et al., 2012; Rupp et al., 2017a) as well as their impacts, such as flooding-
661 related property damages (Schaller et al., 2016) and heat-related mortality (Mitchell et al.,
662 2016), an important next step would be to investigate how the tails of distributions of
663 weather variables respond to parameter perturbations.

664

665 Another important next step would be to apply the selected PPE over the weather@home
666 - European domain, given the non-trivial JJA warm bias identified over Europe by previous
667 studies (Massey et al., 2014; Sippel et al., 2016; Guillod et al., 2017). Bellprat et al. (2016)
668 showed that regional parameters tuned over Europe domain also produced similar
669 promising results over North America domain but the same model parameterization yielded
670 larger overall biases over North America than for Europe. One could test the transferability
671 of parameter values over different regional domains in the weather@home framework,
672 given weather@home currently uses the same GCM to drive several RCMs over different
673 parts of the world, all using the same parameter values.

674

675 The methodology presented in this study could be applied to other models in the evolution
676 of physical parameterizations, and we advocate that parameter refinement process should
677 be more explicit and transparent as done here. Choices and compromises made during the
678 refinement process may significantly affect model results and influence evaluations against
679 observed climate, hence should be taken into account in any interpretation of model results,
680 especially in intercomparison of multimodel analyses to help understanding of model
681 differences.

682



683 **Code availability**

684 HadRM3P is available from the UK Met Office as part of the Providing Regional
685 Climates for Impacts Studies (PRECIS) program. Access to the source code is dependent
686 on attendance at a PRECIS training workshop
687 (<http://www.metoffice.gov.uk/research/applied/international-development/precis/obtain>).
688 The code to embed the Met Office models within weather@home is proprietary and not
689 within the scope of this publication.

690

691 **Data availability**

692 The model output data for the experiment used in this study will be freely available at the
693 Centre for Environmental Data Analysis (<http://www.ceda.ac.uk>) in the next few months.
694 Until the point of publication within the CEDA archive, please contact the corresponding
695 author to access the relevant data.

696

697 **Appendix A: Detailed experimental process**

698 The overarching goal is to refine parameter values to reduce warm and dry summer bias in
699 the NWUS. In total four ensembles were generated, one using the SP values and one for
700 each of 3 PPE phases. Details of each ensemble are listed in Table 2.

701

702 Internal variability of the atmospheric circulation can confound the relationship between
703 parameters values and the response being sought (i.e. result in a low signal-to-noise ratio).
704 Averaging over multiple ensemble members with the same parameter values but different
705 atmospheric initial conditions (ICs) can clarify the true sensitivity to parameters by



706 increasing the signal-to-noise ratio. We set up multiple ICs for each parameter set, but the
707 numbers of ICs applied was not consistent throughout the experiment. The IC applied in
708 each phase was determined somewhat subjectively, trying to strike a balance between
709 running a large enough PPE to probe as many processes and interactions between
710 parameters as possible, having multiple ICs so that the results were representative of the
711 parameter perturbations instead of reflecting the influence of any particular IC, while under
712 the practical limitation of data transfer, storage, and analysis. The actual IC ensemble size
713 used in the final analysis was also constrained by the number of successfully completed
714 returns from the distributed computing network.

715

716 The four ensembles are summarized below:

717 **SP:** A preliminary “standard physics” (SP) ensemble with 10 ICs that used only the default
718 model parameters was generated to provide a benchmark to assess the effects of parameter
719 perturbations.

720

721 **Phase 1:** The objective of this phase was to eliminate regions of parameter space that led
722 to top-of-atmosphere (TOA) radiative fluxes that are strongly out of balance. Exclusion
723 criteria were deliberately lenient, to avoid eliminating regions of the parameter space that
724 could potentially reproduce the observed temperature and precipitation over the western
725 US. We perturbed 17 parameters simultaneously, using space-filling Latin hypercube
726 sampling (McKay et al., 1979) - maximizing the minimum distance between points - to
727 generate 340 sets of parameterizations across the range of parameter values described in
728 Table 1. To generate enough ensemble members for a statistical emulator, Loepky et al.



729 (2009) suggested that the number of sets of parameter values be 10 times the number of
730 parameters (p). We used more than $10p$ sets of parameter values in this, and subsequent
731 phases of PPE. A total of 2040 simulations (340 sets of parameter values x 6 ICs) were
732 submitted to the volunteer computing network. This phase was considered finalized when
733 simulations with 220 sets of parameter values and 3 IC ensemble members per set were
734 returned from the computing network.

735

736 Model results were used to train a statistical emulator which maps the relationship between
737 parameter values and key climate metrics. In this phase, the metrics were outgoing LW and
738 (reflected) SW TOA radiative fluxes. We considered these two metrics separately because
739 the total net radiation could mask deficiencies in both types of radiation through
740 cancellation of errors.

741

742 For the emulator, a 2-layer feed-forward Artificial Neural Network (ANN, Knutti et al.,
743 2003; Sanderson et al., 2008; Mulholland et al., 2016) was used. Although other machine-
744 learning algorithms could be suitable (Rougier et al., 2009; Neelin et al., 2010; Bellprat et
745 al., 2012a,b, 2016), we chose ANN because it permits multiple simultaneous emulator
746 targets (i.e., TOA SW and LW at the same time). We used an ellipse (Fig. 1) to define the
747 space of acceptability for SW and LW, starting with the observational uncertainty ranges
748 given in Stephens et al. (2012), but tripling them (deliberately setting a lenient elimination
749 criteria), and then expanding both the negative and positive thresholds by an additional 1
750 W m^{-2} to account for internal variability as estimated from SP (Fig. S5). Sets of parameter



751 values that fall within our range of acceptability were retained, and the ranges of these
752 refined/restricted parameter values defined the remaining parameter space.

753

754 A new set of 1,000 parameter configurations was generated from the remaining parameter
755 space using space-filling Latin hypercube sampling. With this new ensemble we increased
756 the sample density within the refined parameter space. The statistical emulator was used to
757 predict SW and LW for each of these 1,000 new sets of parameters, and 41% fell within
758 our range of acceptability, reflecting the deficiency of the emulator to some extent.
759 Parameter sets that fell within the acceptable range were used in Phase 2.

760

761 **Phase 2:** The objective of this phase was to reduce biases in the simulated climate of the
762 NWUS, where the warm summer biases were the most obvious (Fig. S1), while not straying
763 far from TOA radiative (near-) balance. The climate metrics considered were the mean
764 magnitude of the annual cycle of temperature (MAC-T), and mean temperature (T) and
765 precipitation (Pr) in December-January-February (DJF) and June-July-August (JJA).
766 Although a primary motivation for this study was to investigate and reduce the warm and
767 dry bias in JJA over NWUS, MAC-T was treated as the primary metric in Phase 2 because
768 it is a comprehensive measure of climate feedbacks in response to a large change in forcing,
769 e.g., solar SW (Hall and Qu 2006). MAC-T is also strongly correlated to the other regional
770 metrics (particularly JJA-T) as evident in Fig. S3 – MAC-T against other metrics. We chose
771 a NWUS average MAC-T of ± 3 °C as the bias threshold over which parameter space
772 would be eliminated. Though this threshold is arbitrary, falling below it would mean
773 reducing the MAC-T bias for the NWUS by about 50%.



774

775 We did not treat all metrics as equally important. The order of importance in this second
776 phase was MAC-T > JJA-T, JJA-Pr, DJF-T, and DJF-Pr > SW and LW.

777

778 The 410 sets of new PPE from Phase 1 became the starting point for Phase 2. A total of
779 27,060 simulations (410 sets of parameter values x 6 ICs x 11 years) was submitted to the
780 computing network. This phase was considered finalized when simulations with 170 sets
781 of parameter values and 3 IC ensemble members per set and per year were completed.
782 These 5,610 simulations were used to train a suite of statistical emulators for various
783 climate metrics. An additional 94 sets of parameters with 3 IC ensemble members per set
784 and per year completed after starting Phase 3 and were used to validate the emulators
785 trained within Phase 2 (see Appendix B).

786

787 Separate statistical emulators were trained for MAC-T, JJA-T, JJA-Pr, DJF-T, DJF-Pr,
788 SW, and LW. Although ANN has the advantage of using multiple metrics as targets
789 simultaneously, the underlying emulator structure remains obscure, because an ANN is a
790 network of simple elements called neurons which are organized in multilayer, and
791 different layers may perform different kinds of transformations on the inputs. For the sake
792 of simplicity and transparency, in Phase 2 we used kriging instead - which is similar to a
793 Gaussian process regression emulator - following McNeall et al. (2016) as coded in the
794 package DiceKriging (Roustant et al., 2012) in the statistical programming environment R.
795 We used universal kriging, with no ‘nugget’ term, meaning that the uncertainty on model
796 outputs shrinks to zero at the parameter input points that have already been run through our



797 climate model (Roustant et al., 2012). To validate if the emulators were adequate to predict
798 outputs at unseen parameter inputs, we needed to assure that it predicted relatively well
799 across our designed parameter inputs. For each emulator, we performed ‘leave-one-out’
800 cross validation. The cross validation results showed no significant deviations in prediction
801 of the outputs (results not shown).

802

803 In addition to reducing parameter space in Phase 2, we also looked for parameters that
804 consistently showed little influence on our metrics of interest, as any reduction in
805 parameters could benefit subsequent experiments by reducing the overall dimensionality.
806 To identify which parameters have the most influence over the metrics of interest, we
807 performed two types of sensitivity analyses as described in Section 2.5. In the end, the 7
808 most influential parameters were retained after parameter reduction in Phase 2; these are
809 the bold-faced parameters in Table 1.

810

811 After eliminating parameter space resulting in MAC-T biases larger than 3°C, and reducing
812 the number of perturbed parameters to 7, we continued the parameter refinement process,
813 and randomly selected 100 parameter sets that emulated MAC-T biases less than 3°C and
814 had large spread in ENTCOEF and VIF1 (within the refined ranges of Phase 2). 100 was
815 subjectively chosen as a cut off number of new PPE sets to run through weather@home in
816 the next phase, mainly due to concern of not knowing how many more phases would be
817 required to reach our goal, while recognizing the practical constraints posed by the large
818 datasets that would potentially be generated in the following phases.

819



820 **Phase 3:** This objective of this phase was to further refine parameter space to reach the
821 target of northwest US regional bias in MAC-T less than 3°C, and then select 10 sets of
822 parameter values that met this criterion. The results in this phase satisfied our target, so we
823 stopped the iterative process here.

824

825 We were aware that our approach of regionally targeted parameter refinements might
826 degrade model performance elsewhere. Upon achieving our regional target, we
827 investigated the effects of our model tuning on global model metrics.

828

829 **Appendix B: Emulated vs. simulated results**

830 We used 94 additional ensemble members returned from Phase 2 (the 94 simulations that
831 completed after building the emulators from the Phase 2 PPE and starting Phase 3) to
832 provide out-of-sample validations of the emulators trained in Phase 2. In Fig. B1, we show
833 predictions from emulators against model-simulated values for all the output metrics. In all
834 cases, the linear relationship between the emulated and simulated is very strong (regression
835 coefficient $\text{regcoef} > 0.9$), while the emulated results can predict the simulated results
836 relative well, with coefficient of determination $R^2 > 0.9$ in the best cases (SW, LW and
837 JJA-T). It is not surprising that R^2 for DJF-Pr is the smallest, considering precipitation in
838 DJF over NWUS is dominated by larger-scale atmospheric features such as the polar jet
839 stream, the Pacific subtropical high, and storm tracks (e.g., Mock, 1996; Neelin et al., 2013;
840 Seager et al., 2014; Langenbrunner et al., 2015), and the internal variability of this metric
841 is the highest among those considered.

842



843 In Fig. B2, we present the emulated vs. simulated results in Phase 3 for the 95 PP sets that
844 were returned in Phase3. These 95 PP sets were run through the emulators from Phase 2 to
845 predict the climate metrics, then the emulated results were compared with the simulated
846 results returned from weather@home simulations. In most cases, r and $R2$ are lower than
847 the Phase 2 results (Fig. B1), except for LW and DJF-T, where $R2$ increases by a few
848 percent. This decrease in emulator prediction accuracy could be due to the fact that in Phase
849 3, only 7 parameters were perturbed simultaneously while keeping the rest at their default
850 values, so we have eliminated parts of the parameter space, which are no longer available
851 to the emulators.

852

853 The comparisons between simulated and emulated results from Phase 2 to Phase 3 highlight
854 the necessity of doing parameter refinement exercise in phases. Training a statistical
855 emulator once, then using it to search for optimal parameter settings may not always yield
856 optimum results. An emulator may not fully capture the behaviour of the climate model in
857 every aspect, especially when the number of parameters perturbed was changed during the
858 process, such as in our case.

859

860 **Appendix C: Soil moisture control on plant photosynthesis in MOSES**

861 The critical point θ_{crit} (m^3 of water per m^3 of soil) is the soil moisture content below which
862 plant photosynthesis becomes limited by soil water availability and is calculated by:

$$863 \quad \theta_{\text{crit}} = \theta_{\text{wilt}} + V_{\text{CRIT_ALPHA}} (\theta_{\text{sat}} - \theta_{\text{wilt}})$$



864 where θ_{sat} is the saturation point, i.e. the soil moisture content at the point of saturation;
865 and θ_{wilt} is the wilting point, below which leaf stomata close. $V_{\text{CRIT_ALPHA}}$ varies
866 between zero and one, meaning that θ_{crit} varies between θ_{wilt} and θ_{sat} (Cox et al., 1999).

867

868 **Author contributions**

869 The model simulations were designed by S. Li, D. E. Rupp, L. Hawkins, with inputs from
870 P. W. Mote, and D. McNeall. All the results were analysed and plotted by S. Li. The paper
871 was written by S. Li, with edits from all co-authors.

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873 **Competing interests**

874 The authors declare that they have no conflict of interest.

875

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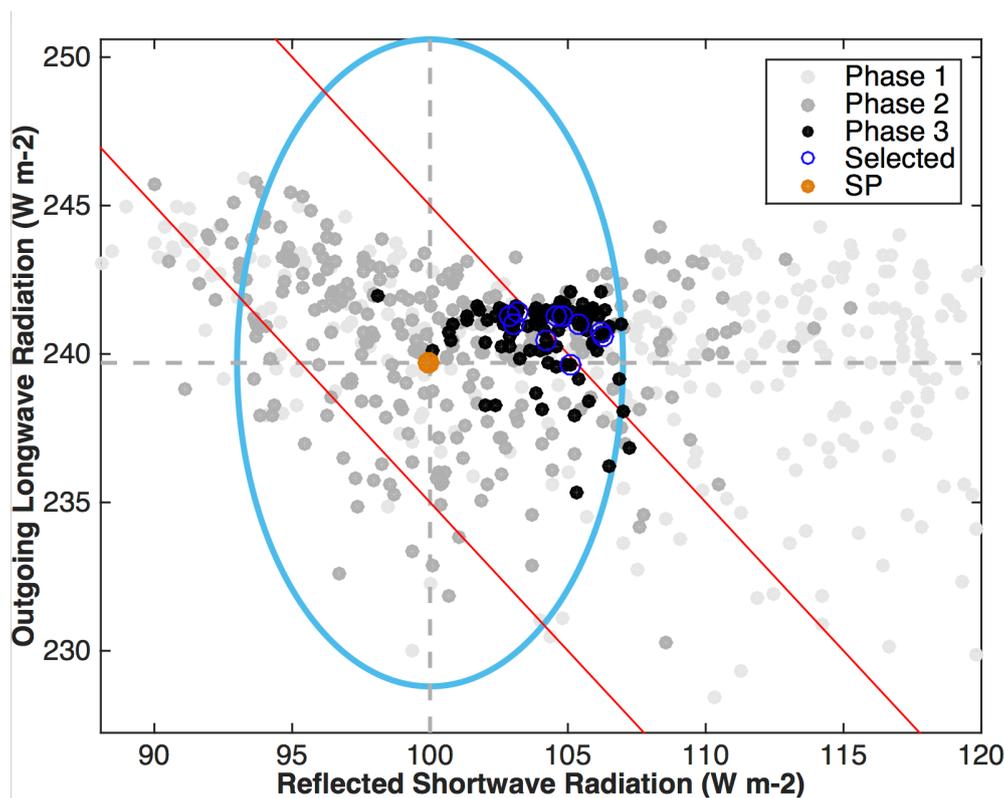
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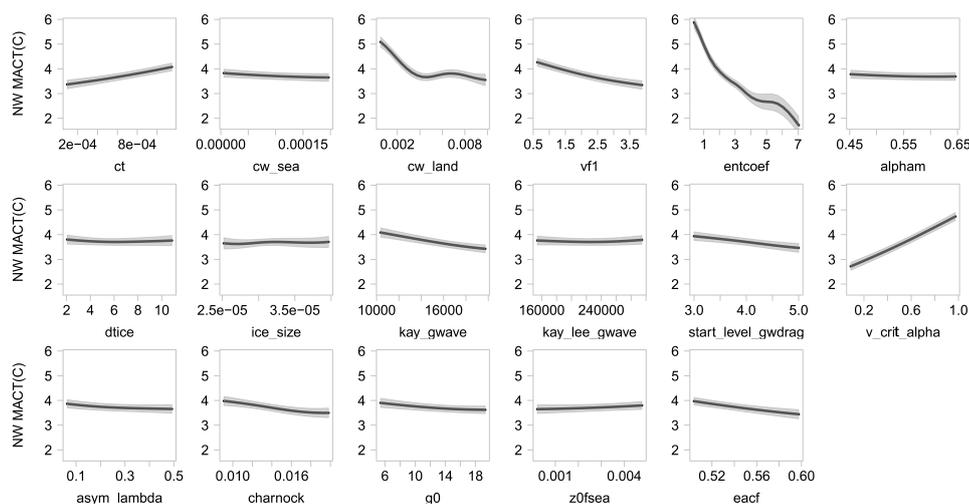
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1242 **Figure 1.** Global mean top-of-atmosphere (TOA) outgoing (reflected) shortwave radiation
1243 (SW) and outgoing longwave radiation (LW) from the four ensembles run through
1244 weather@home2. Horizontal and vertical dashed lines denote the reference values for SW and
1245 LW taken from Stephens et al. (2012). The filled brown circle denotes our SP. The
1246 ellipse indicates the uncertainty ranges we are willing to accept for SW and LW
1247 respectively, which includes the observational uncertainty range taken from Stephens et al.
1248 (2012), but tripled, plus the uncertainty range due to initial condition perturbations
1249 estimated from our SP reference ensemble. The red solid lines highlight net TOA energy
1250 flux of +/- 5 Wm⁻².

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1253 **Figure 2.** One-at-a-time sensitivity analysis of magnitude of annual cycle of temperature
 1254 (MAC-T) over Northwest to each input parameter in turn, with all other parameters held at
 1255 mean value of all the designed points. Heavy lines represent the emulator mean, and shaded
 1256 areas represent the estimate of emulator uncertainty, at the ± 1 SD level.

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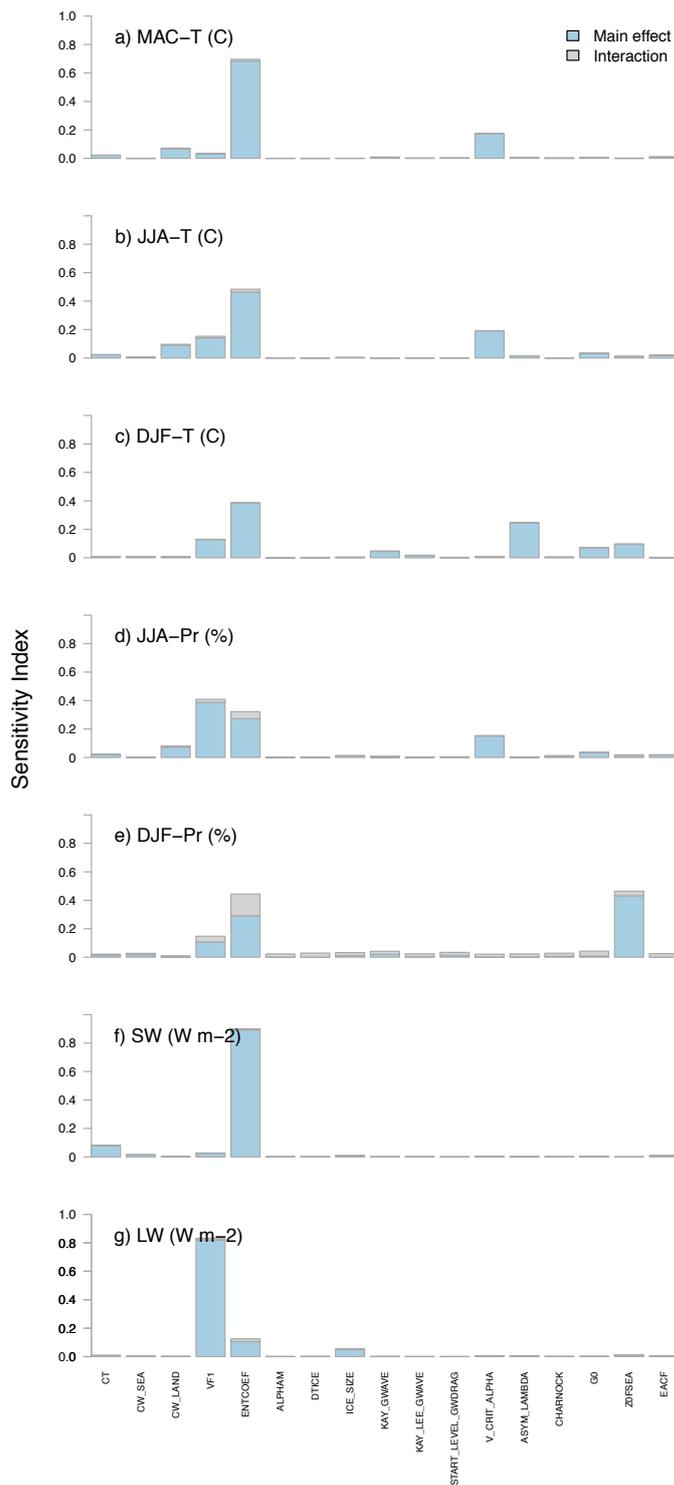
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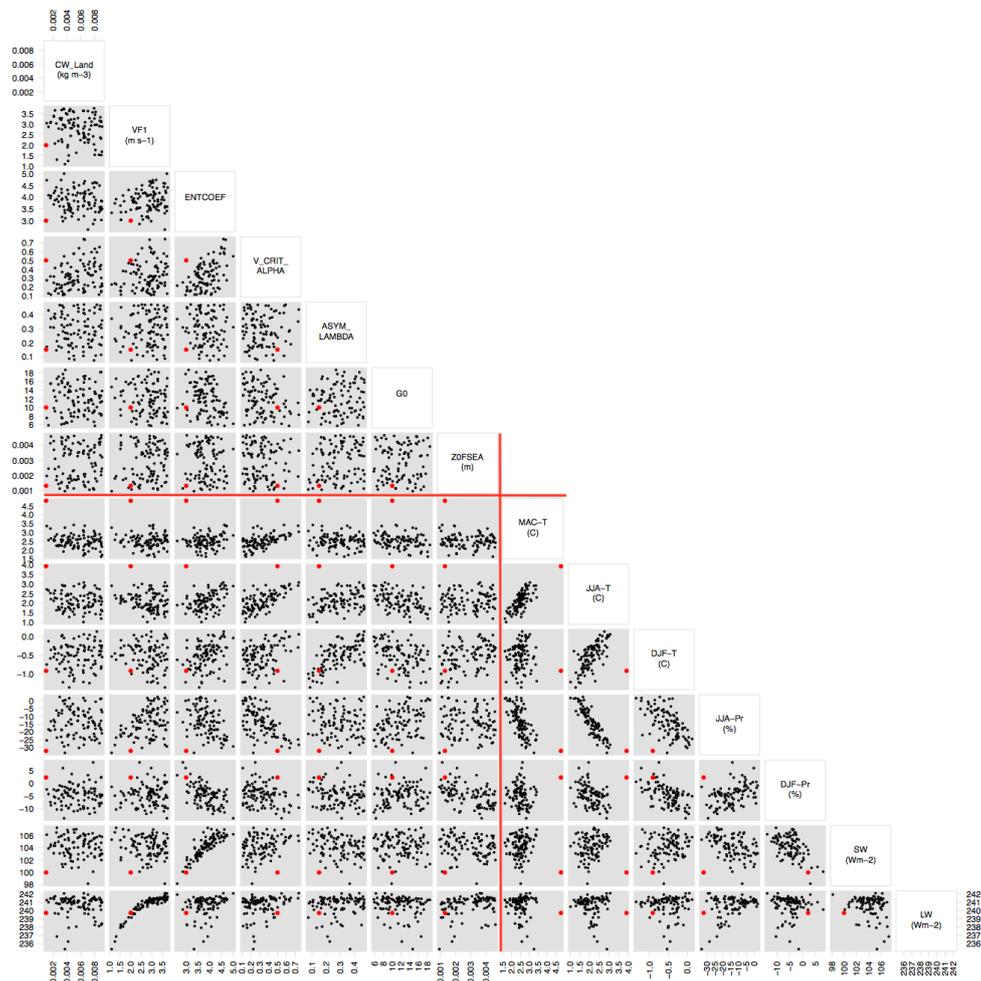
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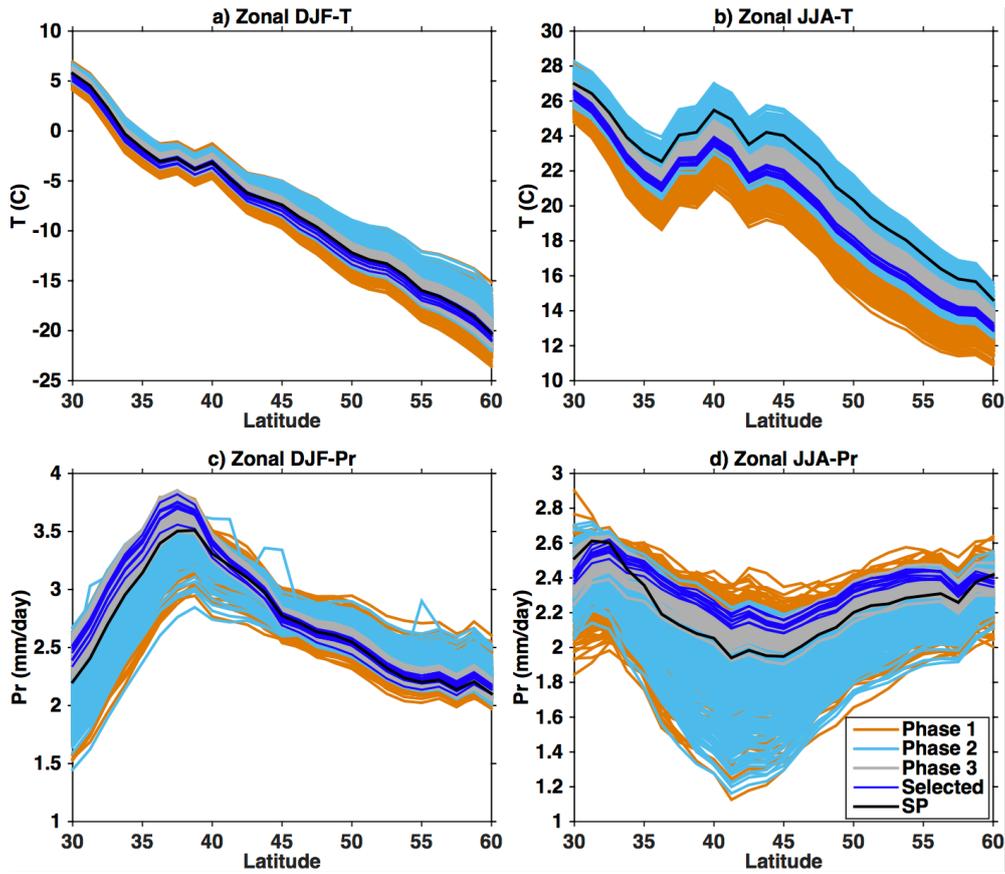




1269 **Figure 3.** Sensitivity analysis of model output metrics in Phase 2 via the FAST algorithm
 1270 of Saltelli et al. (1999).



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 1272 **Figure 4.** Phase 3 PPE parameter inputs and summary model output metrics evaluated. 95
 1273 parameter sets are shown. The parameter values and model outputs under SP are marked
 1274 in red. The horizontal and vertical red lines mark the transition from parameter inputs and
 1275 model output metrics.
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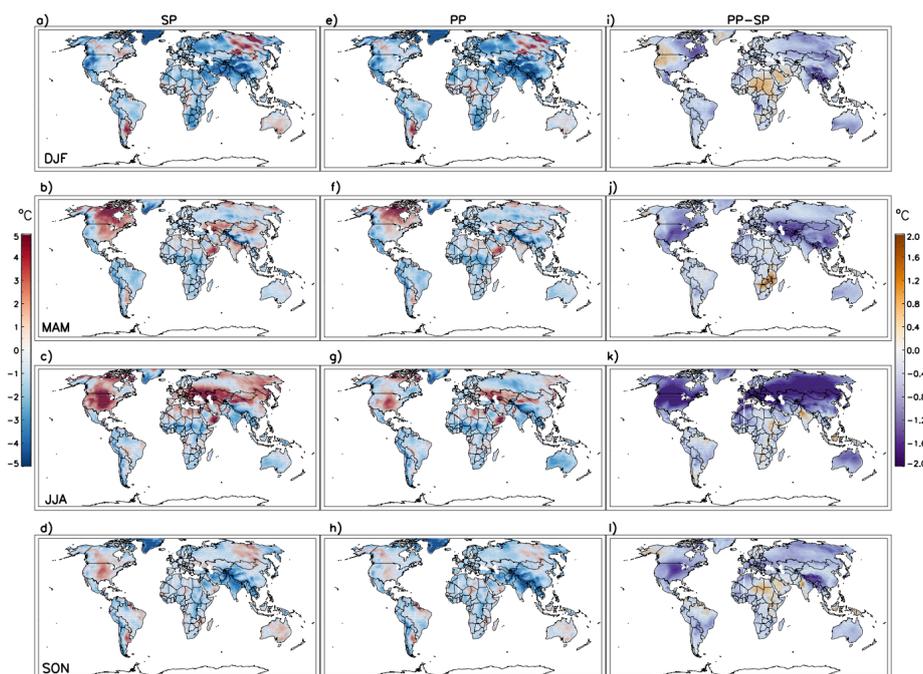
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1278 **Figure 5.** Comparison between three PPEs and SP zonal mean HadAM3P simulated North
1279 Hemisphere mid-latitude (30°N-60°N) a) DJF mean temperature over land, b) JJA mean
1280 temperature over land, c) DJF mean precipitation, and d) JJA mean precipitation. Output
1281 from the selected 10 parameter sets selected, based on NWUS MAC-T, are shown in blue.
1282 Note that the plotting order is the same as the legend, so most Phase 1 curves are obscured
1283 by subsequent phases.

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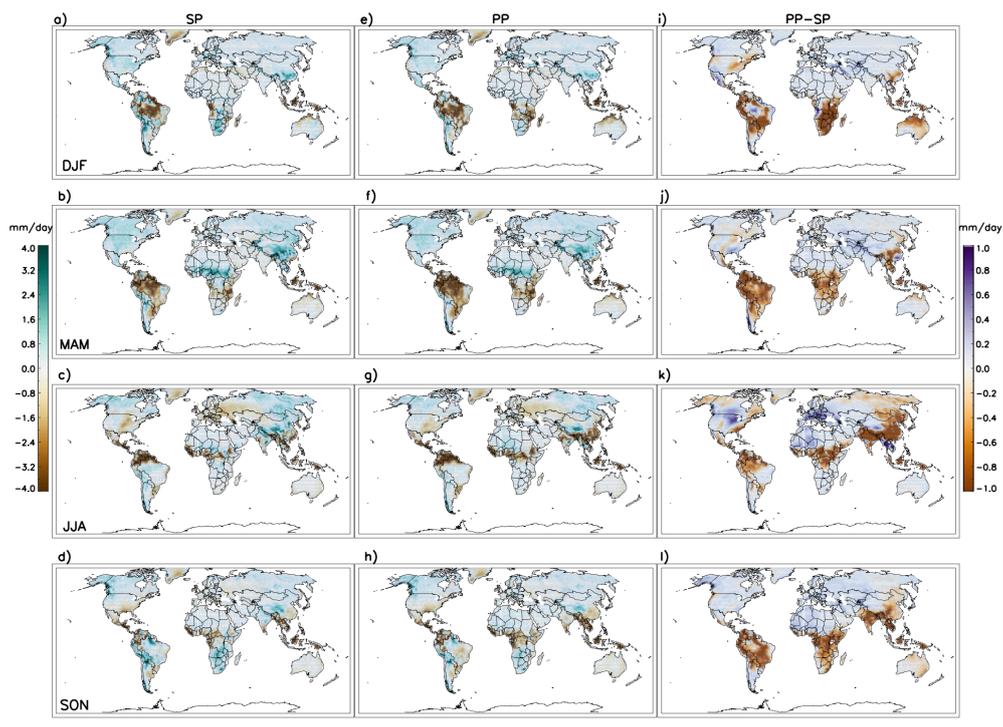
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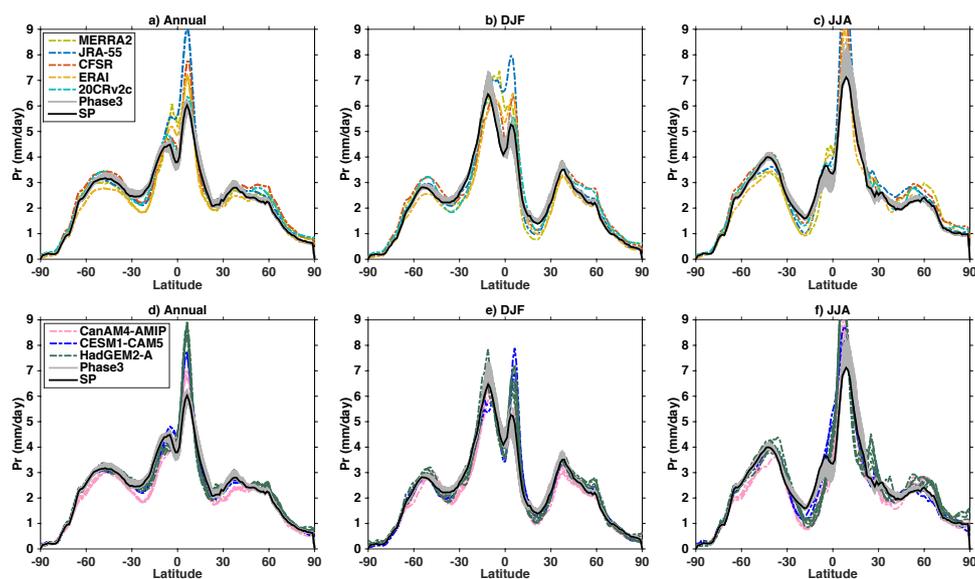
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1288 **Figure 6.** Biases of SP temperature over land in a) DJF, b) MAM, c) JJA, and d) SON,
 1289 compared with CRU over December 1996 through November 2007. Biases of selected PP
 1290 compared with CRU are shown in e)-h), while the differences between selected PP and SP,
 1291 i.e. the absolute increase or decrease of biases in PP with respect to the SP values, are
 1292 shown in i) - l). The PP results are the composites of the 10 selected sets, 6 IC per set.



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1294 **Figure 7.** Same as Fig. 6, but for precipitation.



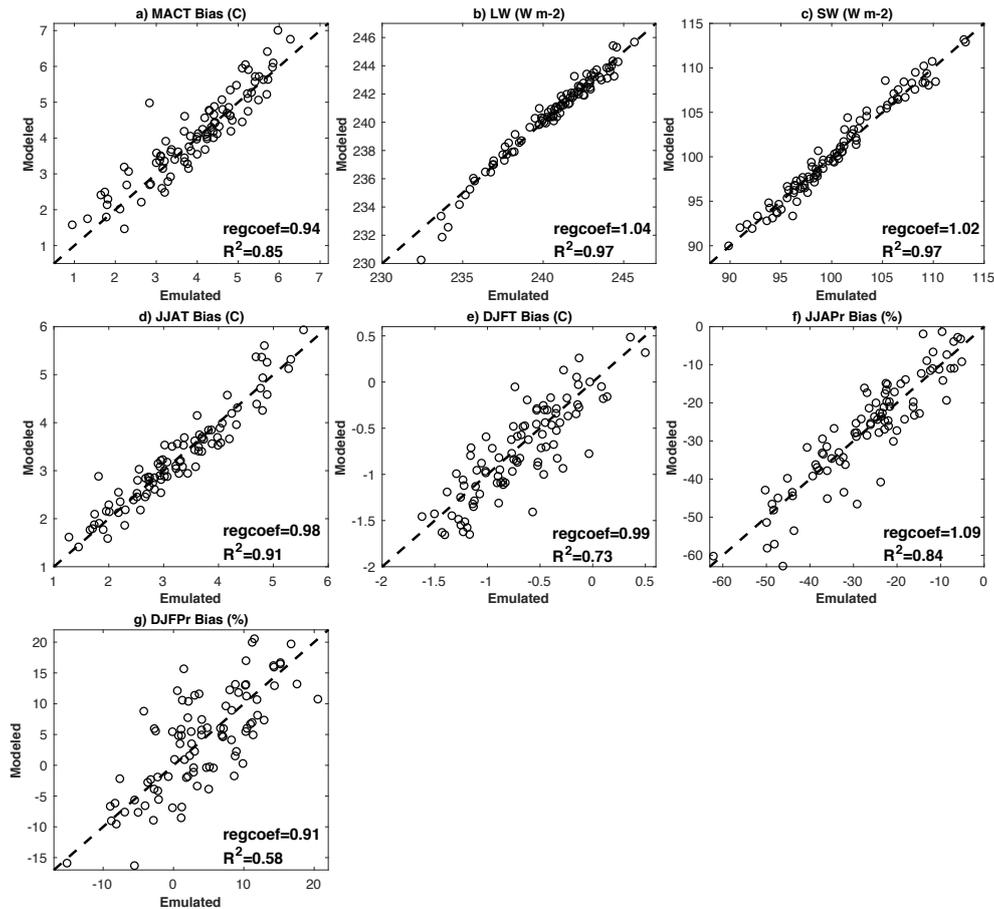
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1296 **Figure 8.** Annual (a,d), DJF (b,e) and JJA (c,f) meridional distributions of precipitation

1297 from Phase 3 and SP (all panels), reanalysis datasets MERRA2, JRA-55, CFSR, ERAI and

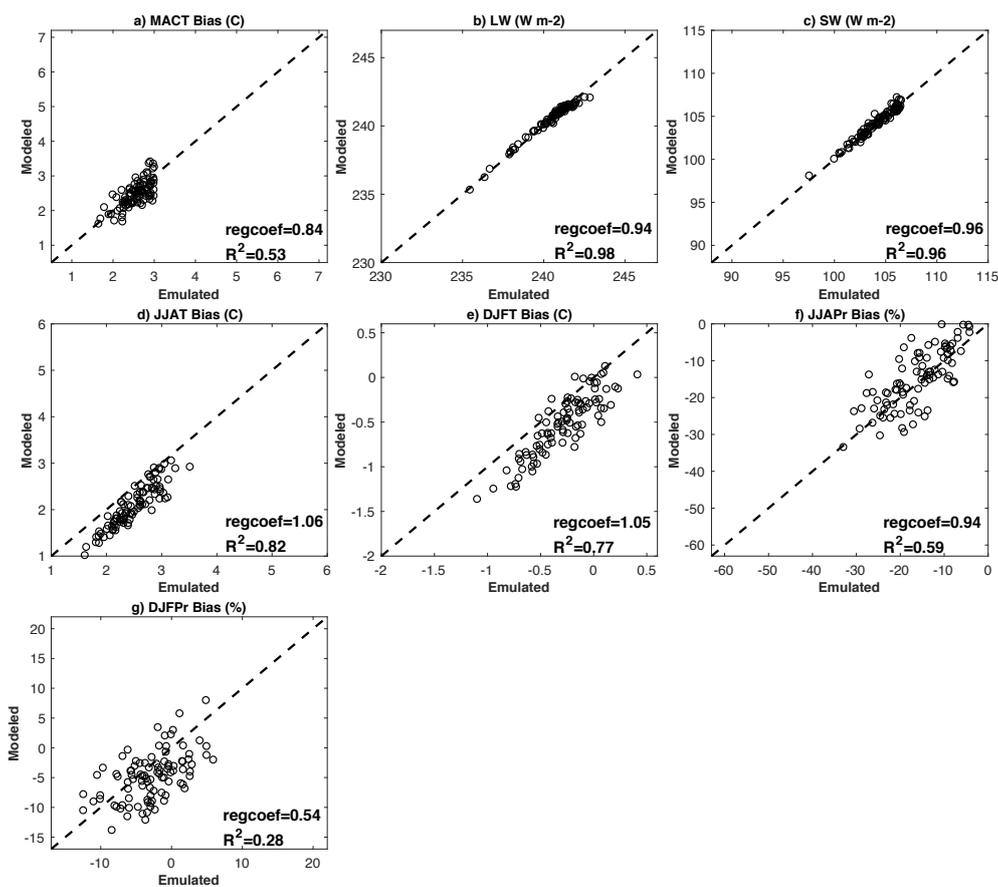
1298 20CRv2c shown (a - c) and GCMs CanAM4-AMIP, CESM1-CAM5, and HadGEM2-A,

1299 shown in (d - f).



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1301 **Figure B1.** Emulator predicted results vs. model simulated results in Phase 2 for different
1302 model output metrics based on 94 parameter sets not used to train the emulator (the 94 sets
1303 that finished after starting Phase3). The regression coefficient (regcoef) and coefficient of
1304 determination (R^2) by emulated results are shown in each panel. The dashed line in each
1305 panel denotes the 1:1 line.



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1307 **Figure B2.** Same as Fig. B1, but for the 95 parameter sets in Phase 3. Note the ranges of

1308 x- and y-axis are set to be the same as in Fig. B1.

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Table 1. Parameters perturbed in our tuning exercise with the post-culling parameters highlighted in bold.

Parameter	Default	Low	High	Description	Model component
CT (s ⁻¹)	6×10 ⁻⁴	0.5×10 ⁻⁴	1.2×10 ⁻³	Rate at which cloud liquid water is converted to precipitation	Cloud
CW_SEA (kg m ⁻³)	2.0×10 ⁻⁵	0.5×10 ⁻⁵	2.0×10 ⁻⁴	Threshold cloud liquid water content over sea	Cloud
CW_LAND (kg m ⁻³)	1.0×10 ⁻³	0.5×10 ⁻³	1.0×10 ⁻²	Threshold cloud liquid water content over land	Cloud
EACF	0.5	0.5	0.6	Empirically adjusted cloud fraction	Cloud
VF1 (m s ⁻¹)	2	0.5	4	Ice fall speed	Cloud
ENTCOEF	3	0.3	9.5	Entrainment rate coefficient	Convection
ALPHAM	0.5	0.45	0.65	Albedo at melting point of sea ice	Radiation
DTICE (°C)	10	2	11	Temperature range over which ice albedo varies	Radiation
ICE_SIZE (m)	3.0×10 ⁻⁵	2.5×10 ⁻⁵	4.0×10 ⁻⁵	Ice particle size	Radiation
KAY_GWAVE (m)	1.8×10 ⁴	1.0×10 ⁴	2.0×10 ⁴	Surface gravity wave drag: typical wavelength	Dynamics
KAY_LEE_GWAVE (m ^{-3/2})	2.7×10 ⁵	1.5×10 ⁵	3.0×10 ⁵	Surface gravity wave trapped lee wave constant	Dynamics
START_LEVEL_GWDRAG	3	3	5	Lowest model level for gravity wave drag	Dynamics
V_CRIT_ALPHA	0.5	0.01	0.99	Control of photosynthesis with soil moisture	Land surface
ASYM_LAMBDA	0.15	0.05	0.5	Vertical distance over which air parcels travel before mixing	Boundary layer



CHARNOCK	0.012	0.009	0.020	with their surroundings Constant in Charnock formula for calculating roughness length for momentum transport over sea	Boundary layer
G0	10	5	20	Used in calculation of stability function for heat, moisture, and momentum transport	Boundary layer
ZOFSEA (m)	1.3×10^{-3}	2.0×10^{-4}	5×10^{-3}	Roughness length for free heat and moisture transport over the sea	Boundary layer

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1316 **Table 2.** The specifics of four ensembles used in this study.

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Experiment	Start dates	Number of parameters	Number of parameter sets in PPE	IC per parameter set per year used in the analysis
SP	1 Dec 1995, 1996, ..., 2005	1	1	6
PPE Phase 1	1 Dec 1995	17	220	3
PPE Phase 2	1 Dec 1995, 1996, ..., 2005	17	264	3
PPE Phase 3	1 Dec 1995, 1996, ..., 2005	7	95	6

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