# Author Responses to Reviewer Comments 1: Review of O'Neill et al

Thank you for your constructive and thorough comments, suggestions and input into the manuscript. We feel it makes a very strong contribution to the quality of the work. Please see below our responses to the individual comments. We have made reference to changes to the manuscript, which is included as a supplement to the author comments, in track changes.

Page and line references below refer to locations in the revised document with track changes. Please note the attached, marked-up document contains amendments from both sets of reviewer comments.

RC General Comment: There is a lot of different topics/issues presented in this paper (e.g. model description and concept, LGM pCO2 change, partition of carbon under anthropogenic forcing), however I would have liked to see additional information on the model experiments as well as more background information. The model description is incomplete without information on temperature, salinity and the carbon isotopes section should be moved to the main text. Sensitivity studies are performed but the initial set of parameters are unclear and the reasoning behind the changes to these parameters is not substantiated, leaving the reader guessing as to why such experiment was performed and figuring out whether the range of parameters studied made physical sense or not.

AC: We have addressed these comments in more detail in response to the specific comments below. As a general comment, we have not tried to exhaustively review or document the starting values for all parameters. However, in response to the comment we have added additional text in Section 2.2.2 (Ocean and circulation and mixing) to explain our choice of parameters for the modern/late Holocene model spin-up. In response to the comments, we have also added more detail to Section 2.2.3 (Biological flux parameterisation) to explain our input values for marine biological production/export parameters. Throughout the document we have added more references to Table 6 in the Appendix that shows the model's parameters and dimensions, and their sources. At the start of Section 3.2 (Sensitivity tests), we have added a paragraph to explain the rationale for undertaking the sensitivity tests, and what range of values we have chosen. In addition, as suggested in the comments below, we have added to the Figure 4 subplots the modern parameter values/assumptions for visual reference with the sensitivity tests.

# 1) Introduction

RC: The introduction focuses on glacial/interglacial variations in atmospheric CO2. This is indeed one part of the study, but not only. I would have thought that (at least) the first part of the introduction should be devoted to the reasoning behind setting up such a box model.

AC: We have re-arranged the introduction by moving the discussion of box models and rationale for SCP-M, to the front (Page 2, line 16). We have moved the discussion of the LGM-Holocene modelling to a later section in the paper. For this reason, many of the following items can now be found in section 4 (Page 30, line 3).

RC: P1, L.18: Despite years of research, and significant progress, the sequence of events leading to glacial/interglacial changes in atmospheric CO2 is still poorly constrained. However, I don't think this can be called the "LGM Holocene dilemma". And I think the authors mean "glacial/interglacial" variations and not "interglacial" (here and throughout the text, e.g. p2, L.4).

AC: We have replaced the phrase "LGM Holocene dilemma" with "LGM-Holocene transition" and changed "interglacial" to "glacial/interglacial" throughout the manuscript (e.g. Page 30, line 12).

RC: P1, L.22: I am not sure these two references are the best to define the "LGM".

AC: Included (Yokoyama, 2000), ice sheet and glacier proxies (Clark, 2009) and stratigraphic records (Hughes et al, 2013; Hughes and Gibbard 2015) (see P32 L3 of the amended manuscript).

RC: P1, L. 26: and to the fact that the terrestrial carbon content was most likely reduced (e.g. Ciais et al., 2012, Peterson et al., 2014).

AC: we have added the following (P32, L7): "... alongside changes in the terrestrial biosphere stock of carbon (e.g. Francois et al, 1999; Ciais et al, 2012; Peterson et al, 2014; Hoogakker et al, 2016)"

RC: P2, L.2: only the reference to one review (Sigman et al. 2010) is given, while additional references could be given for all the hypotheses cited (at least one per mechanism). Another review could be mentioned: Kohfeld and Ridgwell, 2009.

AC: We have added Kohfeld and Ridgwell (2009), Broecker (1982), Sarmiento and Toggweiler (1984) for the ocean carbon reservoir reference (now on P32 L7).

For the hypotheses cited, we have added (on P32 and P33):

Ocean biology: Martin (1990), Watson et al (2000), Martinez-Garcia (2014) Ocean circulation and mixing/stratification: Toggweiler (1985, 1999), Curry and Oppo (2005, Kohfeld and Ridgewell (2009), Anderson et al (2009), de Boer and Hogg (2014) ), Menviel et al (2016), Muglia et al (2018). Sea ice cover: Stephens and Keeling (2000)

Synthesis of mechanisms: Kohfeld and Chase (2017), Ferrari et al (2014).

Other features are implicated including temperature, terrestrial biosphere, ocean volume, shelf carbonates. (Trent-Staid and Prell (2002), Annan and Hargreaves (2013), Ciais et al (2012), Opdyke and Walker (1992), Ridgewell et al (2003)).

RC: P2, L.4-11: I would strongly suggest to significantly revise this paragraph, which really does not do justice to the last 15 years of work on the topic of glacial/interglacial changes in atmospheric CO2. Many sensitivity experiments and transient simulations have been performed with box models, models of intermediate complexity and OGCMs to understand glacial/interglacial changes in pCO2. A few references (non-exhaustive list) include Stephens

& Keeling (2000), Toggweiler et al., (2006), references within Kohfeld and Ridgwell (2009), Hain et al., (2010), Tagliabue et al., (2010), Hesse et al., (2011), Bouttes et al., (2012), Tschumi et al., (2011), Chikamoto et al., (2012), Menviel et al., (2012), Ganopolski & Brovkin (2017), Menviel et al., (2017). . .. Many of which (if not all of them) also included a thorough model-data comparison.

AC: Paragraph revised, and moved to the modelling section (P32, L18)

RC: On the contrary, I would have liked to see in the introduction more details with respect to the rationale of constructing a new carbon cycle box model.

AC: We have expanded this discussion and added it to the front of the introduction (as per response above; see P2 L16 of the revised manuscript), as well as the discussion section (Section 5).

RC: P2, L. 25: Please reformulate "extra-ocean"

AC: replaced with "carbon cycle" (P2, L34).

RC: (Please also reformulate header of section 2.4)

AC: Replaced with "Atmosphere and terrestrial carbon cycle" (now Section 2.5, P16).

2) Model description

RC: The model description is incomplete. In section 3, it is stated that the model is forced by SST and SSS, however there is no mention of the treatment of temperature and salinity in the model.

AC: We have added a description of the model's treatment of temperature and salinity in Section 2.4 (P15). The temperature and salinity in each of the model's surface ocean boxes is prescribed. The model does not solve for these values, rather takes them as inputs for the calculation of pCO2 in the ocean. We argue that this is a plausible approach for paleo-reconstructions given the emergence of paleo- estimates for SST across glacial-interglacial cycles (e.g. Kohfeld and Chase, 2017), as a useful forcing for model-data exercises.

The starting data are sourced from modern (GLODAPv2) ocean data, mapped into box model space, with adjustments made to the values for the model experiments, e.g. glacial period temperature (decrease) and salinity (increase) are forced. Temperature feeds into the  $pCO_2 / CO^{2-}_3$  calculation and air-sea fractionation factors for d13C. Salinity feeds into the  $pCO_2 / CO^{2-}_3$  calculation.

RC: There is no description of the parametrization of the carbon isotopes in the main part of the manuscript. Since the manuscript focuses on carbon isotopes, the main formulations have to be clearly laid out.

# AC: We have moved the description of carbon isotopes to the main body of the document (Section 2.7, P17).

RC: In addition, marine export production is prescribed (p9), but there is little information on the values used, how they were chosen and how they vary in the experiment.

# AC: We have added more information on the marine export production, as follows (Section 2.2.3 P12, L8):

"The value of the parameter Z is allowed to vary across the surface boxes as a fraction of the global value specified for Z (presently 5.0 mol C m<sup>-2</sup> yr<sup>-1</sup>), with higher fractions in the northern and southern oceans, and smaller fractions in the low latitude and polar oceans (e.g. Sarmiento and Gruber (2006)). During the model set-up, we manually tuned the individual surface box values, by multiplying the global value for Z by scalars for each box, to align the model's output with GLODAPv2 data for DIC, phosphorous, alkalinity, carbonate ion and the carbon isotopes, in each of the ocean boxes (Table 1). The range of values (1.1-5.33) compares with the observations-based range of Martin et al (1987), of 1.2-7.1 mol C m<sup>-2</sup> yr<sup>-1</sup>, and Sarmiento and Gruber (2006) of 0-5 mol C m<sup>-2</sup> yr<sup>-1</sup>. We chose a value for the dimensionless *b* depth decay parameter, of 0.75, which falls in the range of Gloege et al (2017), of 0.68-1.13, and the error range of Berelson (2001), of 0.82 +/- 0.16. We found a global value of 0.75 to produce a better fit to the GLODAPv2 data when calibrating the model."

We have added a table (P13, top of page) which shows the initial values for marine export production, and the part of the manuscript dealing with the LGM-Holocene experiments now has a table setting out how the parameters vary in the experiments. The information is summarised below.

Вох	Biological production export @ 100m (Z parameter) in mol C $m^{-2}$ yr <sup>-1</sup>	
	Initial values	LGM and Holocene experiment value ranges (global value varied over range 2-7)
Box 1 (low latitude surface ocean)	1.1	0.4-1.5
Box 2 (northern ocean)	4.5	1.8-6.3
Box 5 (polar Southern Ocean)	1.75	0.7-2.5
Box 7 (sub polar Southern Ocean)	5.3	2.1-7.5

RC: Figure 4 could be helpful in that sense: the late Holocene and/or modern day values of all parameters should be clearly indicated in that figure.

AC: Figure 4 (P 24) amended to include modern day values/assumptions for the parameters shown.

RC: P 3, L. 5-6: "simulates sources and sinks". Some of these sources and sinks are really simplified, for example anthropogenic and volcanic emissions are a simple prescribed flux into the atmosphere. Weathering and river fluxes are also close to a simple pre- scribed flux. So, for some it might be more precise to state "includes forcing" than "simulate sources".

# AC: OK, done (Section 2; P3, L30)

RC: P3, L. 13-15; I am confused by this sentence.

# **AC: Removed offending sentence**

RC: Ocean circulation and mixing: Box 4: why is there no exchange with boxes 3, 5 and 7 in equation 1? From the matrix, it looks like there are exchanges with boxes 5 and 7 but not 3, why?

AC: There are a few aspects to this comment. With regard to exchange between Box 4 and Box 3, we have assumed that this flux is small compared with the lateral transport and mixing fluxes between Boxes 4/6 and boxes 1/3. We assume this is the divide between northward flowing water sourced from Antarctic Intermediate Water (AAIW) and Subantarctic Mode Water (SAMW), overlying southward return flow from Atlantic Meridional Overturning Circulation (AMOC) and Pacific/Indian Deep Water (PDW/IDW).

With regard to exchange between Box 4 and boxes 5 and 7, this flux is shown in Equation 4 by the flux (–C4): it is simply a flux out of Box 4. The matrix (Equation 6) shows that this flux is split into Box 7 and Box 5 via the alpha parameter, described in the text.

As general comments on the matrices and the logic of the fluxes. The concentration of an element in each box is a function of a) the magnitude of the physical flux (in Sv) into a box and the element concentration of the originating box and b) the magnitude of the flux (in Sv) out and element concentration of the box itself. The concentration of the 'downstream' box does not enter the equation.

As shown in Figure 1, box 4 receives flux of DIC (C) from box 2 via *Psi2*. *Psi2* also directly transmits to box 7 from box 4, but this is a flux out of box 4 and box 7 does not enter Equation 1. Likewise, *Psi1* (red arrow in Figure 1) transmits C from box 6 into box 4 (as per equation 1), but the outward flux of carbon from box 4 into boxes 5 and 7 is function of box 4 element concentration, and boxes 5 and 7 do not need to enter this equation.

We have added text in the manuscript to specifically address this (P9).

RC: Box 1: why no exchange with boxes 2 & 7 in equation 2?.

AC: Equation 2 refers to the parameter *gamma2*, which governs mixing between the low latitude surface box (1) and intermediate box (3). We assume that northward lateral transport takes place between the sub polar, intermediate and northern boxes. This water is colder and denser than the overlying mixed layer, given its deep-upwelled sources from AAIW and SAMW from upwelled NADW/PDW/IDW (e.g. Talley, 2013).

We assume that Box 1, the low latitude surface box, represents the mixed layer (e.g. Kara et al, 2013), which is mainly under the influence of ocean surface processes. We prescribe vertical mixing between this box and the underlying intermediate box via the gamma2 parameter, conceptually the thermocline mixing described by Liu et al (2016).

As such, the parameter only operates on boxes 1 and 3 as per equation 2 (and as shown in Figure 1).

We have added text in the manuscript to specifically address this (P9).

RC: P11, L. 15-17: "around glacial cycles" is not precise enough. In addition, I don't think this sentence is correct, as changes were opposite in the Atlantic and Pacific Oceans.

AC: (P13, L21) reworded as: "it is a dynamic process, and the dissolution and burial in sediments of CaCO3 is observed to vary across (and within) glacial/interglacial cycles), suggesting an influence on carbon cycling".

The aim of this sentence is to briefly introduce carbonate sediment burial and dissolution as an influence on the carbon cycle.

3) Modelling results

RC: P16, L. 17: Is [CO3] approximated by ALK-DIC or fully calculated using ALk, DIC, T, S, P?

AC: The latter. We use the method of Follows et al (2006) which calculates  $pCO_2$  and  $CO^{2-3}$  as a function of Alk, DIC, T, S and P. The purpose of this sentence was to highlight that the approximation for  $CO^{2-3}$  of Alk-DIC is useful for interpreting model results charts. We have amended this sentence accordingly (P22 L23), and expanded the description of the pCO2 and carbonate ion calculations to identify DIC, Alk, T, S and P as inputs (P13 L10).

RC: P16, L.20: please reformulate as "remineralization of organic matter"

AC: Amended (P22, L25).

RC: P17, L. 2-7: Please explain your reasoning behind varying the rain ratio.

AC: This paragraph has been re-worded, with the first reference to the rain ratio removed – as it is confusing (P23, L12).

RC: I don't understand why changing the rain ratio impacts atmospheric D14C and I suppose that the surface ocean pCO2 change could eventually impact atm d13C, but not "heavily" (L. 6-7).

AC: Re atmospheric D14C. Increasing the rain ratio leads to higher  $pCO_2$  in the ocean surface boxes (removes alkalinity in ratio 2:1 to DIC), and subsequent de-gassing of  $CO_2$  to the atmosphere, which increases atmospheric  $CO_2$ . The air-sea fractionation factors for D14C, that we have used, exhibit greater fractionation of the isotopic ratio in out-gassing

to the atmosphere versus in-gassing to the ocean, so there is a modest decrease in atmospheric D14C (the atmosphere is preferentially receiving 12C). We have removed the word "heavily" as that wording indeed exaggerates the effects (P23, L26).

RC: P19 L6. Please add "and there is a reduced outgassing of old low D14C waters"

# AC: Amended accordingly (P25, L3).

RC: P19 L8. Please remove "around the interglacial cycles" and please note that the year of the reference is actually 2008.

# AC: Done, reference updated throughout document (e.g. P16, L10).

P19, L. 9-14: I suppose the authors expect a change in pCO2 due to the change in ocean area resulting from varying sea-level (and thus ocean volume) on G/IG timescales. Please spell it out. Please take out "volume" on L.9. The impact on D14C is surprising though.

# AC: Amended accordingly (P25, L10).

RC: P22 L7. This sentence is not correct, re-formulate.

AC: We recompiled this section as part of the discussion of LGM-Holocene work (Section 4, P30)

RC: P22, L. 10-14: I don't really agree with this paragraph. It is probably true for simple carbon cycle box model for which all parameters have to be tested and therefore the G/IG CO2 problem is explored by assessing the impact of each parameters. But, over the last years the G/IG CO2 problem has also been studied with coupled models providing a representation (granted this representation is associated with large uncertainties) of physical and bio- logical changes occurring during glacial times.

## AC: Easier to remove this paragraph.

RC: P23 L4-6 "I am not sure what is meant here or what has been done"

## AC: Sentenced removed as is extraneous.

## 4) Discussion

RC: A discussion of the capacity of the model and the results is missing. I would have liked to see a paragraph on why this model should be used. What are its benefits and limitations? Its fast processing time should be discussed here, instead of the introduction. I would have liked to see the results of the future experiments discussed in the context of the CMIP5 results. Only Jones et al and Wang are referenced in this part. I would have liked to see a discussion of the results of the LGM experiments in comparison with other studies. Recently Muglia et al (2018) and AMOC, iron fertilisation. Menviel was consistent. These two studies among others could help discuss the effects of Z, psi1, psi2 as shown in Figure 10.

# AC: We have added new discussion Sections 5.1 (Model advantages and limitations), 5.2 (Modern carbon cycle simulations) and 5.3 (LGM-late Holocene modelling) to address this comment.

# **RC: Abstract**

The second part of the abstract focusses on the LGM simulations. I would suggest to tone down that part and instead add some information about the use and limitations of the model.

# AC: Noted and amended accordingly, incorporating summary of limitations described above.

# RC: Minor and typos

P3 L10-12 please reformulate AC: Easier to remove, as the point is made in the preceding sentences

P16 L29-35. Please reformulate this paragraph. AC: Amended (P23, L11-24) P17 L10 "decreases" AC: Fixed (P23, L30)

P19 L19 please reformulate sentence. AC: Amended (P25, L24)

P19 L26. Maybe "appropriate" instead of "accurate". AC: Fixed (P25, L32)

# Author Responses to Reviewer Comments 2: Reviewer comments for O'Neill et al

Thank you for your constructive and thorough comments, suggestions and input into the manuscript. We feel it makes a very strong contribution to the quality of the work. Please see below our responses to the individual comments. We have made reference to changes to the manuscript, which is included as a supplement to the author comments, in track changes.

Page and line references below refer to locations in the revised document with track changes. Please note the attached, marked-up document contains amendments from both sets of reviewer comments.

RC: This paper is mostly well-written. The model is nicely described and is a genuinely useful framework for investigating physical and biogeochemical controls on the marine carbon cycle. I have no serious concerns with the work and my comments are mostly suggestions for rewording and clarification. A few slightly more important issues are below, followed by line-by-line notes.

RC: I did not see a description of the numerical method employed or confirmation of model stability and potential for numerical error. Fig 7 shows some potential error propagation / numerical oscillation? Have the authors investigated this?

AC: the model equations are a set of partial differential equations, one for each element in the model. These are solved with a straightforward 1<sup>st</sup> order Euler forward timestepping method with a standard timestep of one year. We find the model to be stable and approaching steady state for most of the simulations we have undertaken. However, as noted by the reviewer, this stability is challenged by scenarios with strong forcing.

Figure 7 of our original submission shows this instability for the extreme emissions scenario RCP8.5. We have re-run this scenario with a reduced timestep (0.5 years) and find that the weak instability in the model results for air-sea carbon exchange, is eliminated. We have also run the other RCP scenarios at reduced timestep, which shows a smoother trajectory for air-sea gas exchange of carbon, shown in the revised Figure 7.

# We have added a description of the numerical method (P8, L7).

RC: Figure placement needs significant improvement. For example, Figure 9 appears 5 pages after it is mentioned and is in a different section.

# AC: We have revised the figure and table placements. Note some of these are slightly out of place in the marked-up version of the manuscript, but this is resolved in the clean document.

RC. A few times it is noted that the model supports a physical overturning mechanism for driving LGM-Holocene changes, it should be made clearer that this idea has been proposed before and the current work supports it, rather than introducing the concept.

AC: In the discussion of the LGM-Holocene modelling results, we have made mention of previous findings. This point is addressed specifically in Section 5.3 (P42, L11).

Line-by-line:

Page2: line 15: summary of box models is too vague

AC: We have expanded this summary in the introduction (P2, L16)

Page 2, Line 32: "simple carbon project model model"

# AC: offending duplicate removed

RC: Page 3: Line 20: does 'zonally averaged' make sense? There are no spatial dimensions here? E.g. later zonally-averaged refers to a 2D model.

AC: We agree that the use of "zonally averaged" when referring to box models and the Talley 2-D conceptual model is confusing. We have removed "zonally averaged" from both instances (P5, L4; P6, L8).

RC: Figure 1. It is not always clear which arrows exchange with which boxes. E.g. some arrows are entirely within one box, some cross box boarders but do not terminate. The diagram should show what is actually happening in the model.

AC: we assume this comment refers to the red and orange arrows. We have amended Figure 1 by trimming the arrows to show only where there is a flux between boxes, via shortened arrows that cross the relevant box border, and removing arrows wholly contained within box borders.

RC: Page 5 Line 14 to end: A little confusion over model dimensionality. Be precise here. Explain how the model has no spatial dimensions but does have a representation of sizes and locations of boxes (if that is indeed correct).

AC: We have clarified the relationship between model boxes and spatial dimensions in the real ocean (P6, L17).

RC: Figure 2. Caption – "implemented".

## **AC: Amended**

RC: Figure 2. Also explain the direction of arrows here.

AC: We have added the following statement to the caption for Figure 2: "The arrows refer to the direction of file linkages and the order of their activation during the routine of setting up and running the model."

RC: Page 9: Line 1: Biological flux "parameters" or "parameterization".

AC: now "Biological flux parameterisation"

RC: Line 2: "action of biological activity" - reword

AC: On page 10 last paragraph (L12), reworded as: "The biological pump (e.g. Broecker, 1982) is a descriptor of marine biological activity, whereby organisms consume nutrients in shallow waters, die, sink and then release those nutrients at depth."

RC: Line 21: sub-surface or subsurface

AC: sub surface replaced with "subsurface" throughout (e.g. P11, L17).

RC: Page 11: Line17: 'lending it some interest', consider rewording

AC: On page 13 Section 2.3.1 reworded as: "According to Farrell and Prell (1989) it is a dynamic process, and the dissolution and burial in sediments of CaCO3 is observed to vary across (and within) glacial/interglacial cycles), suggesting an influence on carbon cycling".

RC: Line 34: should be "non-saturation-dependent" ?, "Earth"

AC: Amended as such (P14, L21-22)

RC: Page 13: line 5: replace "extra ocean" with something more descriptive

AC: Section 2.5, page 16 we have replaced with "Atmosphere and terrestrial carbon cycle"

RC: line 22: non-zero

AC: Amended (P16, L25)

RC: line 25: short-term, long-term

AC: Amended (P16 and P17)

RC: Page 14: Line 3: state meaning of beta parameter

AC: added to page 17, line 6: "beta is the parameterisation of carbon fertilisation, causing NPP to increase (decrease) logarithmically with rising (falling) atmospheric CO2 levels, with a typical value of 0.4-0.8 (Harman et al, 2011)."

RC: Figure 4: This is hard to read, consider better ways to display (e.g. title and unit on x axis)

AC: We have revised Figure 4 by reducing the number of subplots shown, as the points can be made with one chart for each of sea surface temperature, salinity and piston velocity.

We have also consolidated the y-axes labels to reduce clutter. The subplots now include the modern-day values/assumptions used in the model (P24).

RC: Page 19: Line 7: "the carbon isotopes" reword

AC: Page 25, line 6. Reworded as "fractionates the ratios of the isotopes of carbon leading to higher values for d13C and to a lesser extent, D14C, in the atmosphere".

RC: Line 12 "the values for the isotopes" too vague

AC: Page 25, line 12 reworded as: "Increasing the fraction of deep water upwelled into the sub polar surface ocean box (Fig. 4(I)) intuitively raises CO2, but lowers d13C and D14C, by upwelling carbon rich and isotopically-depleted water to the ocean surface boxes."

RC: Line 17: "response to the shocks" does not give the right impression

AC: We agree, and have altered this sentence to read (P25, L18): "In response, the Earth's carbon cycle continually partitions carbon between its component reservoirs, with positive and negative feedbacks. The net effect is a build-up of carbon in most reservoirs".

RC: Figure 5. Explain data in panel b, why are there two data lines for the atmosphere?

AC: this was an issue with the Atmospheric D14C data we have gathered, which has now been rectified in Figure 5 (P26).

RC: Figure 5. Remove "selection of boxes shown to reduce clutter", ironically this statement is itself clutter.

AC: amended as suggested (P26)

RC: Figure 6. Remove "fed into" from caption. Use inputted or similar.

AC: reworded as "......which are inputted to SCP-M for the modern carbon cycle simulation." (P28)

RC: Page 20: Line 17: "carbon cycle destination for human emissions" – not clear what this means

AC: P27, L32-33: "Figure 8 shows the partitioning of anthropogenic CO2 emissions into the carbon cycle reservoirs by 2100, as simulated with SCP-M."

RC: Line 19-20: explain this in more detail, a little confusing

AC: we think it is better to delete this sentence altogether. The point is a bit nuanced and perhaps extraneous. We have changed the chart to show a slightly different metric so the sentence is redundant.

RC: Line 22: the figures are becoming a long way from the relevant text by this point in the paper.

AC: Modified in the manuscript throughout. Note that some of the figures and tables are slightly out of place in the marked-up response attached as a supplement (due to the presence of deleted text), however it is resolved in the clean document (without track changes).

RC: Figure 7: use of multiple transparencies and colors here makes it very hard to see the ranges, especially in the bottom panel. Also, it appears there is some oscillation developing in the model? Have you investigated this?

AC: Yes, for the extreme emissions scenario RCP 8.5 there is some numerical oscillation at the end of the simulation. Please see second AC above for our response. The simulations have been run at reduced step size and the model result trajectories are smoother. The Figure is revised on page 29.

With regards to the transparencies for the CMIP-5 model ranges in Figure 7, we have employed a mixture of hatching and infill, to better distinguish the ranges (P29).

RC: Figure 8: This is quite simple, can a comparison be incorporated?

AC: We have incorporated the corresponding model results from the IPCC WG1 5<sup>th</sup> assessment report (Chapter 6) in pie chart for comparison, and referenced in Figure caption (P30).

RC: Line 22: "release of emissions" should be reworded

AC: P28 L3: Reworded as: "By 2100 in RCP 6.0, the carbon cycle is substantially changed from the preindustrial/late Holocene state as a result of the accumulation of hundreds of years of human industrial CO2 emissions (Fig. 9)."

RC: Figure 9: I would consider if there is a better way to show this. It takes a very long time to decode this information. Bar or pie charts would be more easily understood.

AC: we acknowledge the comment and agree this is a busy figure. However, we feel that Figure 9, and the information it shows on carbon fluxes between boxes in the model, is intrinsic to the model documentation. This is because it displays flux values which we believe are plausible, and that this demonstrates the validity of the model for this purpose (modelling carbon fluxes between the different Earth reservoirs). To help simplify, we have replaced the absolute values for the scenario results (the RCP6.0) with the + or – variation from the baseline scenario, in PgC, to highlight what is changing.

RC: Page 22: Line 8: first line here needs clarification.

AC: This section (Section 4, P30) has been substantially revised.

RC: Page 25: Note that table 4 is in the appendix

AC: amended to "as per Table 6 in the Appendix" (P33, L18).

RC: Page 29 Line 8: net respiration versus net uptake should be made clear

AC: Page 37, line 5: amended as "......effect of the terrestrial biosphere, which causes net uptake CO2 in the Holocene period (increases atmospheric  $\delta$ 13C), and net respiration of CO2 in the LGM period (decreases atmospheric  $\delta$ 13C)".

RC: Page 30 Line 10: don't need 'however' here

AC: Amended (P37, L18): "SCP-M results shown for comparison with sparse carbonate proxy data"

RC: Line 30: "showed deltas in the range of" – be more precise here

AC: We have expanded to (P40, L10): "According to Francois et al. (1999), palynological and sedimentological data infer that the terrestrial biosphere carbon stock was 700-1350 PgC smaller in the LGM, than the present."

RC: Page 31 Line 6: "but critically \*are\* accompanied"

AC: amended accordingly (P42, L9)

RC: Line 7: \*the\* carbonate ion proxy?

AC: amended accordingly (P42, L11)

RC: Line 23: superscript 14C

AC: amended (P42, L12; P43, L17)

RC: Line 25: it cannot be wholly explained by overturning changes, as these must be combined with temp/salinity etc. changes listed afterwards. Also this work confirms that reduced overturning can drive the changes, rather than proposing this.

AC: "wholly" has been removed (P43, L20). Page 42, line 11 specifically addresses the second part of this comment. "This is not a new finding....." etc.

RC: Figure 12: as with Figure 9, it's very difficult to get any meaning from this figure. Perhaps colours could be used to denote increases/decreases at the very least?

AC: We have modified the figure to show the positive or negative variation for the LGM, in PgC, to highlight what is changing, as with Figure 9.

# The [simple carbon project] model v1.0

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Abstract. We construct a carbon cycle box model to process observed or inferred geochemical evidence from modern and paleo settings. The [simple carbon project] model v1.0 ("SCP-M") combines a modern understanding of the ocean circulation regime with the earth's carbon cycle. SCP-M estimates the concentrations of a range of elements within the carbon cycle. for use in paleo reconstructions or future projections, by simulating ocean circulation, biological, chemical and atmospheric and

- 5 terrestrial carbon cycle processes. In this paper we demonstrate the model's application primarily with analysis of the Last Glacial Maximum (LGM) to Holocene carbon cycle transition, and also with the modern carbon cycle under the influence of anthropogenic CO<sub>2</sub> emissions. The model is shown to be capable of reproducing both paleo and modern observations, and aligns with CMIP5 model projections. We-SCP-M's fast run time, simplified layout and matrix structure render it a flexible and easy-to-use tool for paleo- and modern carbon cycle simulations. The ease of data integration also enables model-data
- 10 optimisations, which we show in the paper. Limitations of the model include the prescription of many fluxes, and an ocean basin-averaged topology, which may not be applicable to more detailed questions.

To demonstrate the application of SCP-M, we conduct an atmospheric and ocean multi-proxy data-model-model-data parameter optimisation for the LGM and late Holocene periods, using the growing pool of published paleo atmosphere and ocean data for CO<sub>2</sub>,  $\delta^{13}$ C,  $\Delta^{14}$ C and carbonate ion proxy. The results provide strong evidence for an ocean-wide physical mechanism

to deliver the LGM to Holocene carbon cycle transition. Alongside ancillary changes in ocean temperature, volume, salinity, sea ice cover and atmospheric radiocarbon production rate, changes in global overturning circulation, and, to a lesser extent Atlantic meridional overturning circulation, can drive the observed LGM and late Holocene signals in atmospheric CO<sub>2</sub>, δ<sup>13</sup>C, Δ<sup>14</sup>C, and the oceanic distribution of δ<sup>13</sup>C, Δ<sup>14</sup>C and carbonate ion proxy. Further work is needed on analysis and processing of the ocean proxy data to improve confidence in these modelling results, but this preliminary use of SCP-M suggests that a solution to the LGM-Holocene dilemma is close at hand.

#### 1 Introduction

The LGM-Holocene dilemma, and interglacial variations in the carbon cycle in general, remains one of the great outstanding problems in oceanography (e.g. Sigman and Boyle, 2000; Hain et al., 2010; Ferrari et al., 2014). At issue is the precise cause of 80-100 ppm variations in atmospheric CO<sub>2</sub>across glacial and interglacial periods. These CO<sub>2</sub>oscillations are accompanied

25 by striking changes in ocean and atmospheric carbon isotopes, oceanic carbonate ion distributions and other paleo chemical indicators. Of particular interest is the transition from the LGM, ~18-24 kyr ago (Yokoyama et al., 2000; Hesse et al., 2011),

to the Holocene (11.7 kyr- present), due to the growing abundance of proxy data covering that period. 'The dilemma', is that the causes of abrupt atmospheric  $CO_2$ rise at the termination of the LGM, and continuing up to the Holocene period, remain definitively unresolved. The ocean is likely the main driver of atmospheric  $CO_2$  on the relevant timescale, due to its relative size as a carbon reservoir (Sigman et al., 2010). Active theories within the ocean realm include changes in ocean biology,

- 5 ocean circulation and mixing, sea ice cover or whole ocean chemistry (Sigman et al., 2010). Each of these are supported by site-specific tracer observations, regional data aggregation and review, or modelling. Within the spectrum, a simple explanation of an oceanic carbon mechanism remains elusive. Many of the hypotheses are presented as independent, or even competing in causality for the interglacial CO<sub>2</sub>variation (Ferrari et al., 2014). Modelling exercises have failed to properly resolve the problem due to poor data constraints (particularly for the ocean), overly-complicated, inflexible models that cannot account
- 10 for key drivers of the proxy data signals in the earth's carbon system (e.g. carbon isotopes and carbonate ion), or adequately incorporate a sufficient quantum of data across multiple proxies. Many of these studies have focussed on atmospheric CO<sub>2</sub>data, with only qualitative reference to the ocean data, thereby allowing solutions by many different oceanic mechanisms to remain in play. We propose to advance the debate by using a carbon cycle box model specifically designed to leverage available ocean and atmosphere geochemical data, and we see this approach as particularly valuable in light of the fast growing paleo- data

15 <del>pool.</del>

Box models have proven invaluable in understanding the global carbon cycle. A box model divides regions of the ocean into boxes or grids, based on some property of the composite water masses, such as temperature, density or chemical composition. The model equations describe the evolution of tracers in the model's boxes, due to the various fluxes between each box (Fig. 1). Box models differ from more complex models such as General Circulation Models (GCM), mainly due to

- 20 their reduced spatial resolution (i.e. much larger grids or boxes), and with major processes and fluxes typically prescribed rather than calculated in the model. Box models range in complexity from simple(Toggweiler, 1999), basin-averaged models (e.g. Stommel, 1961; Sarmiento and Toggweiler, 1984; Toggweiler, 1999) to more complex, multi-basin (Hain et al., 2010) ocean models to full and full Earth carbon cycle models (Zeebe, 2012). Box models, despite being simpler than their General Circulation Model (GCM) counterpartsGCMs, have been useful in illustrating key concepts in oceanography that were pio-
- 25 neering in their time. For example, Sarmiento and Toggweiler (1984), Siegenthaler and Wenk (1984) and Knox and McElroy (1984) used simple four-box ocean-atmosphere models to show that the LGM CO<sub>2</sub> drawdown could have resulted from increased biological productivity and/or reduced ocean overturning circulation. More recently, Hain et al. (2010) used a box model to show that a range of ocean physical and biological mechanisms were required to cause lower atmospheric CO<sub>2</sub> levels in the LGM, and Zhao et al. (2017) used a similar model to explore ocean ventilation ages in the LGM-deglacial and Holocene
- 30 periods. Despite the development of highly complex coupled atmosphere-ocean models for climate simulations, box models continue to be applied in resolving problems in the carbon cycle.

Our motivation in constructing a new box model of the full carbon cycle, the [simple carbon project] model v1.0 ("SCP-M"), is to contribute a simple, easy to use, open access model implemented with freely available software, that is consistent with physical and biogeochemical oceanography, that caters for important features of the ocean and extra-ocean carbon cycle,

35 and has explicit avenues for data integration, optimisation and inversion. Recent advances in physical oceanography have led

to a revised understanding of global ocean circulation and mixing fluxes. For example, Talley (2013) provided a simplified interpretation of the global ocean in the form of a handful of large-scale processes, some of which are operating across all basins - as is the case with the global overturning circulation (GOC). De Boer and Hogg (2014) described a simple model of deep ocean mixing of water masses under the influence of seafloor topography. These high level concepts are easy to apply to

- 5 box models, and prompt a refresh of the box model layout. Furthermore, the growing pool of paleo proxy data across carbon isotopes and reconstructions (e.g. carbonate ion) presents an opportunity to progress model-data integrations using a number of different proxies. SCP-M caters for a range of proxies including the carbon isotopes and carbonate ion proxy, with the capacity for additional elements with minimal programming effort. The model-data experiment described in this paper provides a direct linkage between paleo-data and discrete values for ocean parameters in the LGM and late Holocene periods, thus potentially
- 10 resolving contributing to debate of the LGM-Holocene dilemmacarbon cycle transition. Combined with the growing expanding dataset of paleo observations, and with advances in computing power, data-aligned models such as SCP-M have the potential to improve our understanding of past changes in climate across many other timeframes. Furthermore, SCP-M is computationally cheap and quick to run. For example a 10,000 year simulation takes approximately thirty seconds to process on a regular laptop-, enabling exhaustive exploration of parameter space in optimisations that incorporate large datasets. Finally, there are a number
- of features of the carbon cycle outside ocean circulation and biology, which influence proxy indicators, particularly the carbon isotopes. For example, modelling of glacial/interglacial cycles without the terrestrial biosphere would likely lead to erroneous outcomes. We compiled SCP-M to include a broad range of carbon cycle mechanisms including carbonate production and dissolution, marine sediments, terrestrial biosphere, anthropogenic emissions sources and continental weathering. While box models are not new, we argue that these features contribute to a new tool that is well-equipped to tackle a wide range of applications in paleoceanography, paleo-climate and the modern carbon cycle.

In this paper we describe the SCP-M model and illustrate its application alongside LGM and late Holocene period ocean and atmosphere data, with several insights for the transition between the two periods, plus modelling of the modern and future carbon cycle under the influence of anthropogenic emissions. Emphasis is placed on the model description and configuration.

#### 2 SCP-M description

- SCP-M is focussed on the ocean carbon cycle and is configured to estimate the time evolution of elemental concentrations of oceanic dissolved inorganic carbon (DIC) and its constituents,  $\delta^{13}$ C,  $\Delta^{14}$ C, plus alkalinity, phosphorus, oxygen and atmospheric CO<sub>2</sub>,  $\delta^{13}$ C, and  $\Delta^{14}$ C. It contains a simple, yet realistic representation of large scale ocean physical processes, with an overlay of ocean chemistry and biology – (Fig. 1). SCP-M simulates sources and sinks of carbon across the ocean and atmosphere, marine sediments , terrestrial biosphere, volcanic and terrestrial biosphere. Volcanic emissions, sedimentary weather-
- 30 ingand riverine fluxes, rivers and anthropogenic emissions . This approach is chosen are prescribed fluxes. A broad range of carbon cycle features are included, because the concentration of carbon in the ocean and atmosphere (and its isotopes in particular) are sensitive to all-many sources and sinks, and omitting them makes it difficult to compare model results with the carbon data that indelibly features their imprint. For example, regrowth in the terrestrial biosphere imparts a clear signature on the at-

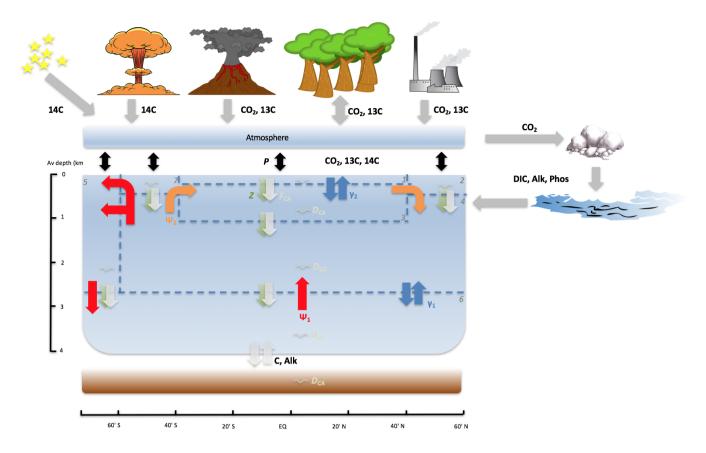


Figure 1. SCP-M: configured as a seven box ocean model-plus atmosphere with marine sediments, continents and the terrestrial biosphere. Exchange of elemental concentrations, e.g.  $C_i$ , (i = 1, 7) occur due to fluxes between boxes.  $\Psi_1$  (red arrows) is global overturning circulation (GOC),  $\Psi_2$  (orange arrows) is Atlantic meridional overturning circulation (AMOC),  $\gamma_1$  (blue arrows between boxes 4 and 6) is deep-abyssal mixing,  $\gamma_2$  (blue arrows between boxes 1 and 3) is low-latitude thermohaline mixing, Z (green downward arrows) is the biological pump,  $F_{CA}$  (white downward arrows) is the carbonate pump,  $D_{CA}$  (white squiggles) is carbonate dissolution and P (black, bidirectional arrows) is the air-sea gas exchange. Box 1 = low latitude/tropical surface ocean, box 2 = northern surface ocean, box 3 = intermediate ocean, box 4 = deep ocean, box 5 = Southern Ocean, box 6 = abyssal ocean, box 7 = sub polar southern surface ocean.

mosphere and ocean  $\delta^{13}$ C data profile after the LGM (Francois et al., 1999)(e.g. Francois et al., 1999; Ciais et al., 2012; Hoogakker et al., 2014; Hoogaker et al., 2014; Hoogaker et al., 2014;

SCP-M was designed to compare model results with data, and to solve for optimal parameter combinations. As such, more
emphasis is placed on a Within SCP-M, realistic implementation of the model parameters, ease of data integrations and on representation of the important features of the carbon cycle than on precise *a priori* estimation of the starting input values.
Realistic implementation of physical processes within a sound biogeochemical platform enables their transmission into paleo-

chemical tracer signals, for which proxy data exists. Many of the key ocean physical and biological processes are prescribed in the model, allowing them to be free parameters in model-data experiments. SCP-M itself is implemented with a matrix framework which enables more boxes to be added, ocean basins to be separated, elements to be added, exploration of a range of hypotheses, all with minimal programming effort. The model is presently zonally averaged to elucidate information about

5 the large scale processes operating across all of the ocean basins.

SCP-M: configured as a seven box ocean model-plus atmosphere with marine sediments, continents and the terrestrial biosphere. Exchange of elemental concentrations, e.g.  $C_i$ , (i = 1, 7) occur due to fluxes between boxes.  $\Psi_1$  is global overturning circulation,  $\Psi_2$  is Atlantic meridional overturning circulation (AMOC),  $\gamma_1$  is deep-abyssal mixing,  $\gamma_2$  is low-latitude thermohaline mixing, Z is the biological pump,  $F_{CA}$  is the rain ratio,  $D_{CA}$  is carbonate dissolution and P is the air-sea gas exchange. Box 1 =

10 low latitude/tropical surface ocean, box 2 = northern surface ocean, box 3 = intermediate ocean, box 4 = deep ocean, box 5 = Southern Ocean, box 6 = abyssal ocean, box 7 = sub polar southern surface ocean.

#### 2.1 Model topology

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The box model is mostly conceptual in nature and is designed to test high-level concepts. Therefore, excessive detail and complication is to be avoided. However, key processes that are critical to the validity of any thesis being tested, must be represented

15 as well as possible. The ocean is a key part of the global carbon cycle and pre-eminent in hypotheses of the LGM-Holocene dilemma (Sigman et al., 2010) glacial/interglacial carbon cycles (e.g. Kohfeld and Ridgewell, 2009; Sigman et al., 2010), and we focus the model's detail there.

Talley (2013) provided an observationally-based description of ocean circulation in terms of its constituent water masses, circulation and mixing fluxes, and including estimates of the present day magnitudes of those fluxes. The Talley (2013) model

- builds on the models of Broecker (1991), Gordon (1991), Schmitz (1996), Lumpkin and Speer (2007), Kuhlbrodt et al. (2007),
   Talley (2008), and Marshall and Speer (2012). Key features of the Talley (2013) model include:
  - Atlantic thermocline water moves north ultimately reaching the North Atlantic, driven by advection and surface buoyancy changes. High salinity North Atlantic Deep Water (NADW) forms in the north by cooling, densification and convection, and then travels south to rise up into the Southern Ocean via wind-driven upwelling and Ekman flows, forming Lower Circumpolar Deep Water (LCDW). This water comprises the upper (orange arrows) overturning circulation in <u>SCP-M</u> (Fig. 1).
  - A fraction of the upwelled LCDW sinks to become Antarctic Bottom Water (AABW) under the influence of cooling and brine rejection, south of the Antarctic Circumpolar Current (ACC). AABW moves northward along the ocean floor via adiabatic advection (Talley, 2013) in all basins. It upwells into HDW/PDW deep water in the Pacific and Indian Oceans and also into NADW in the Atlantic via upwelling with diapycnal diffusion (Talley, 2013).
  - Pacific Deep Water/Indian Deep Water (PDW/IDW) upwells at low latitudes and returns to the Southern Ocean above the NADW, forming the core of the Upper Circumpolar Deep Water (UCDW), which is identified by Talley (2013) as low oxygen content (old) water. A part of the upwelled PDW/IDW joins NADW/AABW formation, with the bulk of

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it moving northward at the sea surface to provide the key northward flux out of the Southern Ocean. These waters are freshened and warmed, and join Antarctic Intermediate Water (AAIW) and Subantarctic Mode Water (SAMW) at the base of the subtropical thermocline (advection with surface buoyancy fluxes). The combined LCDW/AABW/PDW/IDW overturning circulation is represented by the red arrows in Fig. 1.

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 Joined thermocline waters, AAIW/Subantarctic Mode Water (SAMW) SAMW and upwelled thermocline waters from the Pacific and Indian Oceans, form the upper ocean transport moving towards the North Atlantic.

A key contribution of the Talley (2013) study is that global overturning circulation GOC is the pre-eminent process in distributing water throughout the global oceans. Talley (2013) provided a zonally-averaged, 2-D 'collapsed' interpretation of a 3-D ocean layout, based on the observation that similar, large scale processes (i.e. global overturning circulationGOC) operate in all three major ocean basins, and this interpretation can directly inform a box model topology. The Talley (2013) 2-D global ocean view, used in SCP-M, captures the features described above in a zonally-averaged simple ocean box model format. Talley (2013) also provided observation-based estimates of the ocean transport fluxes, which are scaled according to their ocean basin domain. For example, the global overturning circulation GOC and AABW-formation process is common to all basins, and thus accounts for the largest flux, of 29 Sv. The AMOCAtlantic Meridional Overturning Circulation (AMOC)/NADW sinking cell is confined to the Atlantic Basin and represents a smaller flux, 19 Sv, of water (Talley, 2013).

The SCP-M dimensions are designed to be consistent with measured estimates of the surface area and average depth of the ocean, and total ocean and atmosphere volumes. The model is presently divided into boxes according to latitude and depth, but not by longitude. In this way, in the current formulation it does not distinguish between Atlantic, Pacific and Indian Basins, and does not allow for compositional variations with longitude. Each box has a surface area, depth (and therefore volume), and

20 corresponds to a location in the global ocean with reference to latitude and average depth. It is simple to add more boxes to divide the model into ocean basins.

SCP-M contains seven ocean boxes as shown in Fig. 1, divided by latitude and average depth. The rationale for dividing the ocean into boxes is that there are regions of the ocean that are relatively well mixed, or at least similar in terms of their prevailing element flux behaviour. For the depth of the surface boxes, this rationale conveniently translates to the maximum

- 25 wintertime mixed layer depth (MLD) (e.g. Kara et al., 2003; de Boyer Montegut et al., 2004). We choose a depth of 100m for Box 1, the low latitude surface box, which is a reasonable approximation to the 20-150m seasonally-varying MLD for the mid and low latitudes estimated by de Boyer Montegut et al. (2004), and consistent with the depth of a similar box in the Toggweiler (1999) model. This box represents the photic zone over much of the ocean, from 40°S to 40°N. Craig (1957) estimated the depth of this layer as 75m ±25m, a value used by Keeling and Bolin (1968) in their simple ocean box model. We choose 250m
- 30 depth for the NADW box (box 2) and the sub polar surface box (box 7) as per Toggweiler (1999). These boxes are deeper than the low latitude surface box (de Boyer Montegut et al., 2004), in order to capture the regions of deep water upwelling (sub polar Southern Ocean) and convective downwelling (North Atlantic). The MLD in these regions can vary between 70 and >500m depth depending on seasonal variations (de Boyer Montegut et al., 2004). An intermediate depth box (3) resides below the low latitude surface box and extends from 100m depth to 1000m depth. This box captures northward flowing AAIW and

SAMW from upwelled NADW/PDW/IDW (e.g. Talley, 2013). Box 4 is the deep ocean box, extending from 1,000m depth to 2,500m depth and incorporates the upwelling abyssal waters in all basins, and downwelled NADW. This water is channeled back to the surface in the sub polar surface box and the Southern Ocean box, as per the wind-driven upwelling of Morrison and Hogg (2013) and Talley (2013). The Southern Ocean box (5) extends from 80°S to 60°S and from the ocean surface

5 to 2,500m depth. This box encompasses the Southern Ocean, the ACC and deep water formation from southward flowing upwelled NADW/PDW/IDW (Talley, 2013). The abyssal box (6) extends the full range of the ocean, from 2,500m to 4,000m depth (our assumed average depth of the ocean). This box is the pathway for northward flowing AABW and incorporates mixing with overlying deep water and advection/upwelling (Talley, 2013).

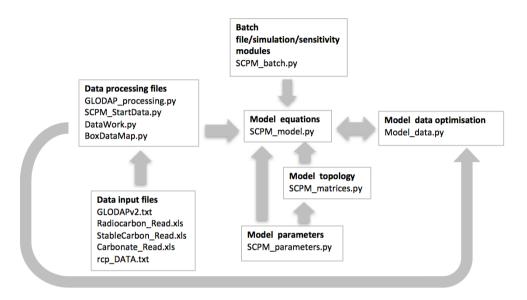


Figure 2. SCP-M Python and ancillary files with their linkages. Arrows refer to the direction of file linkages and the order of their activation during the routine of setting up and running the model. SCP-M is currently implemented in Python 3.6, although has been run on other versions of Python. Folder/file structure separates model and data files. All files and user manual are available from (https://doi.org/10.5281/zenodo.1310161).

#### 2.2 The model parameters, processes and equations

#### 10 2.2.1 Basic features

Figure 2 shows the suite of files used to execute SCP-M. We have chosen a modular approach to reduce complexity of each of the model files. The SCP-M routine includes data processing for the model's boxes on the model's geographic coordinates, model calibration to the data, model simulations, model-data optimisation and charting/tabular output. SCP-M is implemented in Python 3.6, with the code and download/user instructions available at (https://doi.org/10.5281/zenodo.1310161). SCP-M

Python and ancillary files with their linkages. SCP-M is currently implement in Python 3.6, although has been run on other versions of Python. Folder/file structure structure separates model and data files. All files and user manual are available from (https://doi.org/10.5281/zenodo.1310161).

In short, SCP-M calculates the evolution of an element's or species' concentration in each model box, as a function of time and flux parameters (e.g. inputs and outputs to each box), or processes, such as uptake or regeneration. The model includes ocean circulation and mixing fluxes, air-sea gas exchange, chemical and biological transformations, and sources and sinks of carbon. The model equations are a set of partial differential equations, one for each element in the model. These are solved with a straightforward 1st order Euler forward time-stepping method with a standard time step of one year. We find the model to be stable and approaching steady state for most of the simulations we have undertaken. However, this stability can be challenged

10 by scenarios with strong forcing. With the Euler method, errors can propagate in proportion to the step size. This can be resolved either by revising the selection of parameter input or starting data values, or by reducing the size of the time step in each model run.

#### 2.2.2 The ocean circulation and mixing

There are four ocean physical parameters in SCP-M.  $\Psi_1$  and  $\Psi_2$  are advection terms that represent the physical transport of water from one box to another, containing the element or species concentration of its box of origin.  $\Psi_1$  represents the global ocean overturning circulation <u>GOC</u> (e.g. Sarmiento and Toggweiler, 1984; Marshall and Speer, 2012; Talley, 2013) that infiltrates all basins (Talley, 2013) and is shown by the red arrows in Fig. 1. The  $\Psi_1$  parameter allows a variable allocation between transport from the deep ocean box (box 4) into the sub-polar surface box (box 7) and directly into the polar box (box 5), via  $\alpha$ . The  $\alpha$ parameter is set by default to 0.50, such that 50 per cent of the upwelling flow  $\Psi_1$  is directed into the sub-polar

- 20 surface box, and 50 per cent is directed into the southern polar box.  $\Psi_2$  represents Atlantic Meridional Overturning Circulation (AMOC)AMOC. This is the region of North Atlantic Deep Water (NADW) formation of Dickson and Brown (1994) and Talley (2013), shown as orange arrows in Fig. 1.  $\gamma_1$  and  $\gamma_2$  are bidirectional mixing terms that exchange element or species concentrations between boxes without any net advection of water (blue arrows in Fig. 1).  $\gamma_1$  is bidirectional mixing between the deep and abyssal boxes of the form described by Lund et al. (2011) and De Boer and Hogg (2014).  $\gamma_2$  is a low latitude,
- 25 intermediate-shallow box "thermocline" mixing parameter, which governs the constant bidirectional exchange between these two boxes (Liu et al., 2016).

The influence of each of the ocean parameters is prescribed in box model space by matrix equations, with one matrix for each parameter. Each row and column position in the matrix corresponds to a box location. The atmosphere box is treated separately from the ocean boxes, and it does not enter the ocean parameter matrices. The volumetric circulation or mixing

30 parameters, in Sv ( $10^6 \text{ m}^3 \text{ s}^{-1}$ ) are multiplied by the oceanic element concentration (mol m<sup>-3</sup>) to produce a molar flux of elements between ocean boxes. For example the change in concentration of carbon (as DIC) in the deep box (box 4) from ocean physical parameters is estimated by:

$$\left[\frac{dC_4}{dt}\right]_{phys} = \frac{\Psi_1(C_6 - C_4)}{V_4} + \frac{\Psi_2(C_2 - C_4)}{V_4} + \frac{\gamma_1(C_6 - C_4)}{V_4} \tag{1}$$

where  $C_i$  is the concentration of carbon in each box in mol m<sup>-3</sup> and  $V_i$  is the volume of each box in m<sup>3</sup>. In Eq. (1) there is no vertical flux between box 4 and box 3 (intermediate box). We have assumed that this flux is small compared with the lateral transport, and mixing fluxes between boxes 4 and 6 (and boxes 1 and 3 in Eq. 2 below). We assume that the boundary between boxes 3 and 4 is the divide between northward flowing water sourced from AAIW and SAMW, overlying southward return

5 flow from AMOC and PDW/IDW. The fluxes out of box 4 are shown by the terms  $-\Psi_1 C_4$ ,  $-\Psi_2 C_4$  and  $-\gamma_1 C_4$ , with the fluxes into boxes 5, 6 and 7 treated in the equations for those boxes. For the low latitude surface box (box 1):

$$\left[\frac{dC_1}{dt}\right]_{phys} = \frac{\gamma_2(C_3 - C_1)}{V_1} \tag{2}$$

These operations We assume that box 1 represents the mixed layer (e.g. Kara et al., 2003; de Boyer Montegut et al., 2004), which is mainly under the influence of ocean surface processes. We prescribe vertical mixing between this box and the

- 10 underlying intermediate box (3) via the γ<sub>2</sub> parameter, conceptually the thermocline mixing described by Liu et al. (2016). We assume that lateral transport of northward flowing water underlies box 1, involving box 7 (subpolar Southern Ocean), box 3 (intermediate depth box) and box 1 (Northern ocean). This water is assumed to be colder and denser than the overlying mixed layer, therefore underlying it, given its deep-upwelled sources from AAIW and SAMW and from upwelled NADW/PDW/IDW (e.g. Talley, 2013). These ocean circulation and mixing operations (e.g. Eq. 1 and Eq. 2) can be vectorised for all boxes using
- 15 sparse matrices, as follows:

$$\left[\frac{d\mathbf{C}}{dt}\right]_{phys} = \frac{(\Psi_1\mathsf{T}_1 + \Psi_2\mathsf{T}_2 + \gamma_1\mathsf{E}_1 + \gamma_2\mathsf{E}_2)\cdot\mathbf{C}}{\mathbf{V}}$$
(3)

where:

$$\mathbf{C} = C_i, \text{ for } i = 1,7 \tag{4}$$

20 
$$\mathbf{V} = V_i, for \, i = 1, 7$$
 (5)

and  $T_1$ ,  $T_2$ ,  $E_1$  and  $E_2$  are sparse matrices defined as:

(6)

5

(9)

(7)

(8)

Given we have applied the global ocean interpretation of Talley (2013) to the SCP-M layout, we have also adopted the observationally-based estimates for the large scale ocean fluxes for the modern ocean, from the same study: GOC  $\Psi_1$  (29 Sv), AMOC  $\Psi_2$  (19 Sv) and deep-abyssal mixing  $\gamma_1$  (19 Sv). For thermocline mixing between boxes 1 and 3 ( $\gamma_2$ ), we have adopted the upper for the corresponding flux of Toggueiler (1000) (40 Sv).

10 adopted the value for the corresponding flux of Toggweiler (1999) (40 Sv).

#### 2.2.3 Biological flux parameterparameterisation

The biological pump (e.g. Broecker, 1982) is the action a descriptor of marine biological activityto utilise, whereby organisms consume nutrients in shallow waters, die, sink and then release those nutrients at depth. For example, through photosynthesis carbon is taken up by shallow water-dwelling phytoplankton and then sequestered in deeper waters after sinking, breaking

15 down and re-mineralising their nutrient load back into the water column. Volk and Hoffert (1985) made the distinction between

the soft tissue pump (STP), for soft tissued organisms, and the carbonate pump (carbonate-shelled organisms). We also distinguish between the two, as they have different effects on carbon and alkalinity balances and therefore  $pCO_2$  and carbonate dissolution. This section deals with the STP, and a following section deals with the carbonate pump. Most STP organic matter is remineralised in the shallow to intermediate ocean depths, leading to a decrease in the export of carbon as depth increases.

5 According to Henson et al. (2011), only  $\sim$ 15-25 per cent of organic material is exported to >100m depth, with most recycled in the shallower waters.

Martin et al. (1987) modelled the soft-bodied organic flux of carbon observed from sediment traps in the northeast Pacific to create a simple power rule which is easily applicable to modelling<del>purposes</del>. The Martin et al. (1987) equation produces a flux of organic carbon, which is a function of depth from a base organic flux at 100m depth (the "Martin reference depth").

10 The flux at 100m depth was estimated by Martin et al. (1987) to be between 1.2 and 7.1 mol C m<sup>-2</sup> yr<sup>-1</sup> from eight station observations in the northeast Pacific. Sarmiento and Gruber (2006) estimated a range of 0.0 - 5.0 mol C m<sup>-2</sup> yr<sup>-1</sup>, and some localised higher values, across the global ocean. Equation (10) shows the general form of the Martin et al. (1987) equation:

$$F = F_{100} \left(\frac{z}{100}\right)^b \tag{10}$$

Where *F* is a flux of carbon in mol C m<sup>-2</sup> yr<sup>-1</sup>,  $F_{100}$  is an estimate of carbon flux at 100m depth, *z* is depth in metres and 15 *b* is a depth scalar. In SCP-M, the *Z* parameter implements the Martin et al. (1987) equation. *Z* is an estimate of biological productivity at 100m depth (in mol C m<sup>-2</sup> yr<sup>-1</sup>), and coupled with the Martin et al. (1987) depth scalar, controls the amount of organic carbon that sinks from each model surface box to the boxes below. Each subsurface subsurface ocean box receives a flux of carbon from the box above it, at its ceiling depth (also the floor of the overlying box), and loses carbon as a function of the depth of the bottom of the box. Remineralisation in each box is accounted for as the difference between the influx and 20 out-flux of organic carbon. The biological flux out of the surface box 1 is shown by:

$$\left[\frac{dC_1}{dt}\right]_{bio} = \frac{Z_1 S_1 (\frac{d_{f1}}{d_0})^b}{V_1} \tag{11}$$

where  $Z_1$  is the biological flux of carbon prescribed for the surface  $\frac{\text{Box}}{\text{box}} 1$  in mol C m<sup>-2</sup> yr<sup>-1</sup>,  $S_1$  is the surface area of the surface  $\frac{\text{Box}}{\text{box}} 1$ ,  $d_0$  is the reference depth of 100m for the Z parameter value (Martin et al., 1987) and  $d_c$  and  $d_f$ are the ceiling and floor depths of a box, respectively. The parameter b is the depth power function of the Martin et al. (1987) equation, estimated by Berelson (2001) with an ocean mean value of  $-0.82\pm0.16$  (dimensionless). The scalar parameter tapers

biological production and export below depths of 100m. The net biological flux for intermediate depth Box 3 is given by:  $\left[\frac{dC_3}{dt}\right]_{bio} = \frac{Z_1 S_1 [(\frac{d_{c3}}{d_0})^b - (\frac{d_{f3}}{d_0})^b]}{V_3}$ (12)

The process is vectorised using sparse matrices in the following:

25

$$\left[\frac{d\mathbf{C}}{dt}\right]_{bio} = \frac{\mathbf{ZS} \cdot (\mathsf{B}_{\mathsf{out}} + \mathsf{B}_{\mathsf{in}})}{\mathbf{V}} \tag{13}$$

where **Z** is an array of the  $Z_i$  (i=1,7) parameter which varies across the surface boxes and **S** is the array of surface box surface areas  $S_i$  (i=1,7). As with the ocean parameters, the biological flux of carbon is divided through by the box volume array **V** to return concentrations in mol m<sup>-3</sup>. B<sub>out</sub> and B<sub>in</sub> are sparse matrices as follows:

5

#### The absolute strength

The value of the parameter Z is allowed to vary across the surface boxes as a fraction of the base-global value specified for Z (presently 5.0 mol C m<sup>-2</sup> yr<sup>-1</sup>), with higher fractions in the northern and southern oceans, and smaller fractions in
the low latitude and polar oceans (e.g. Sarmiento and Gruber, 2006). The During the model set-up we manually tuned the individual surface box values, by multiplying the global value for Z by scalars for each box, to align the model's output with GLODAPv2 data for DIC, phosphorous, alkalinity, carbonate ion and the carbon isotopes, in each of the ocean boxes (Table 1). The range of values (1.1-5.33) compares with the observations-based range of Martin et al. (1987), of 1.2-7.1 mol C m<sup>-2</sup> yr<sup>-1</sup>, and Sarmiento and Gruber (2006) of 0-5 mol C m<sup>-2</sup> yr<sup>-1</sup>. We chose a value for the dimensionless b depth decay parameter, of

15 0.75, which falls in the range of Gloege et al. (2017), of 0.68-1.13, and the error range of Berelson (2001), of 0.82 ± 0.16. We found a global value of 0.75 to produce a better fit to the GLODAPv2 data when calibrating the model. The biological flux of other elements and species such as phosphorous and alkalinity, are calculated from the biological carbon flux using so-called "Redfield ratios" (e.g. Redfield et al., 1963; Takahashi et al., 1985; Anderson and Sarmiento, 1994).

#### 2.3 pCO<sub>2</sub> and carbonate

20 The estimation of air-sea gas exchange, atmospheric  $pCO_2$  and the "carbonate pump", rest on a realistic estimation of  $pCO_2$  in the ocean. For example, only a fraction of dissolved inorganic carbon (DIC) in seawater can exchange with the atmosphere, and

Model surface box	Global value at 100m ocean depth (mol C m <sup>-2</sup> yr <sup>-1</sup> )	Scalar (tuned)	Model input (tuned) mol C $m^{-2} yr^{-1}$
Box 1 (Low latitude)	5.0	0.22	1.1
Box 2 (Northern)	5.0	0.90	<u>4.5</u>
Box 5 (Polar)	5.0	0.35	1.75
Box 7 (Sub polar)	5.0	1.07	5.33

Table 1. Values for the Z biological production parameter (at 100m ocean depth) used in the SCP-M model calibration. A global value for Z was tuned in each surface box using scalars.

this fraction is estimated by the oceanic  $pCO_2$ . DIC itself consists of three major constituents: carbonic acid, bicarbonate and carbonate. Their relative proportions depend on total DIC, alkalinity, pH, temperature and salinity (Zeebe and Wolf-Gladrow, 2001).

- 5 pCO<sub>2</sub> is roughly estimated by subtracting alkalinity from DIC. However, this is only accurate to  $\pm 10$  per cent (Sarmiento and Gruber, 2006), which may cause problems for scenario analysis and sensitivity testing within such a large error band. More complex calculations can require numerous iterations and can be computationally expensive (e.g. Toggweiler and Sarmiento, 1985; Zeebe and Wolf-Gladrow, 2001; Follows et al., 2006). We apply the routine of Follows et al. (2006) in SCP-M, which is a direct solution, rather than an iterative approach to solve for pCO<sub>2</sub> at each time step of a model run, which was demonstrated
- 10 by Follows et al. (2006) to be sufficiently accurate for modelling purposes. <u>The calculation takes inputs of DIC, alkalinity,</u> temperature, salinity, phosphorous and silicate to estimate pCO<sub>2</sub>.

Solving for  $pCO_2$  enables the calculation of the concentrations of the three species of DIC, which further enables estimation of the dissolution and burial of carbonate in the water column and sediments. The latter is an important part of the oceanic carbon and alkalinity cycles and provides important feedbacks to atmospheric  $CO_2$  on thousand year timeframes (e.g. Farrell and Prell, 1989; Anderson et al., 2007; Mekik et al., 2012; Yu et al., 2014b).

#### 2.3.1 The carbonate pump

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According to Emerson and Hedges (2003),  $\sim$ 20-30 per cent of CaCO<sub>3</sub> formed in the ocean's surface is preserved in ocean floor sediments, with the rest dissolved in the water column. Klaas and Archer (2002) estimated that 80 per cent of the organic matter fluxes in the ocean below 2,000m are driven by organic matter associated with carbonate ballast. Therefore, the

20 so-called "carbonate pump" is a relatively efficient transport of carbon and alkalinity in the ocean. However, according to Farrell and Prell (1989)it is also According to Farrell and Prell (1989), the carbonate pump is a dynamic process, for example around glacial cycles, with increased dissolution and the dissolution and burial in sediments of CaCO<sub>3</sub> during glacial periods and attenuation in interglacials, lending it some interest in the LGM-Holocene dilemma and carbon cycle modelling more broadly is observed to vary across (and within) glacial/interglacial cycles, suggesting an influence on carbon cycling.

To replicate this flux of carbon and alkalinity, a term is added to the carbon cycle equation to represent the flux of calcium carbonate (shells) out of the surface boxes into the abyssal box and sediments. This is an extension of the surface organic

- 5 carbon flux Z described in Eq. (13), via the "rain ratio" parameter. The rain ratio is a common term in ocean biogeochemistry (e.g. Archer and Maier-Reimer, 1994) (e.g. Archer and Maier-Reimer, 1994; Ridgewell, 2003) and refers to the ratio between shell-based 'hard' carbon and organic 'soft' carbon fluxes in the biologically-driven rain of carbon from the ocean's surface. Sarmiento et al. (2002) estimated a global average value for the rain ratio of 0.06  $\pm$ 0.03, with local maxima and minima of 0.10 and 0.02, respectively, providing a narrow range of global values. We apply the rain ratio as a parameter multiplied by
- 10 the organic flux parameter Z, choosing an initial value of 0.07, which provided appropriate values for DIC and alkalinity (with reference to GLODAPv2 data), and dissolution fluxes in the model's boxes during the model spin-up. The combination delivers the physical production and export of calcium carbonate at the Martin reference depth (100m).

Once the production and export flux at the Martin reference depth is established, the distribution of calcium carbonate in the boxes below is a function of dissolution. According to Milliman et al. (1999), the theory that calcium carbonate only dissolves

- 15 at great depths in carbonate-undersaturated water is "*one of the oldest and most strongly held paradigms in oceanography*" (e.g. Sverdrup et al., 1941). However, in nature, the alkalinity and carbonate ion concentration profiles suggest that 30-60% of carbonate produced is dissolved in shallower water that is saturated (Harrison et al., 1993; Milliman et al., 1999). Theories for this outcome include, the emergence of locally undersaturated waters due to remineralisation of biological carbon (Jansen et al., 2002), or, dissolution by zooplankton grazing (Milliman et al., 1999). Battaglia et al. (2016) found similar skill in
- 20 model results for replicating observed dissolution profiles, whether a non- or saturation-dependent dissolution constant was used. Battaglia et al. (2016) recommended the use of a basic non-saturation dependent non-saturation-dependent (i.e. constant) dissolution parameter in earth-Earth carbon system models for computing efficiency, with limited loss of accuracy. As such, we include two parts to the dissolution equation, a non-saturation-dependent dissolution constant, to reflect the 'unknown' processes that likely cause the observed dissolution of calcium carbonate in waters that are saturated, and a saturation state-
- 25 dependent component, using the dissolution function of Morse and Berner (1972). We include the latter to enable dynamic feedback to take place in the carbonate system after model perturbations. The saturation-dependent dissolution is a function of the average carbonate ion composition for each box, relative to its temperature and pressure-dependent saturation concentration (Morse and Berner, 1972; Millero, 1983). We choose the median depth of each box for the calculation in the ocean boxes, and the floor of the abyssal box for the sediment surface dissolution. We assume 100% of calcium carbonate takes the form of
- 30 calcite. If the surface export flux of  $CaCO_3$  is greater than dissolution in the ocean boxes, then the remainder escapes to the sediments. This is a flux out of the ocean of alkalinity and carbon in the ratio of 2:1 assumed for carbonate shells (Sarmiento and Gruber, 2006). DIC and alkalinity can return to the abyssal box from the sediments via undersaturation-driven dissolution in the abyssal water overlying the sediments.

The net flux of carbonate, between ocean boxes and out of the ocean and into the sediments, is shown in vectorised Eq. (16):

$$\left[\frac{d\mathbf{C}}{dt}\right]_{carb} = \frac{(F_{CA}\mathbf{ZS})}{\mathbf{V}} + (\zeta + \epsilon)\mathbf{CaCO_3}$$
(16)

where  $F_{CA}$  is the rain ratio,  $\zeta$  is the constant background dissolution rate,  $\epsilon$  is the saturation state-dependent dissolution 5 function of Morse and Berner (1972) and Millero (1983) and **CaCO**<sub>3</sub> is the concentration of calcium carbonate in each box. The dissolution equation of Morse and Berner (1972) operates on CaCO<sub>3</sub>, which is calculated by multiplying Ca by CO<sub>3</sub><sup>2-</sup>, where Ca is estimated from salinity in each box as per Sarmiento and Gruber (2006).

#### 2.3.2 Air-sea gas exchange

- - - -

 $CO_2$  is transported across the air-sea interface by gaseous exchange. According to Henry's Law, the partial pressure of a gas 10 [*P*] above a liquid in thermodynamic equilibrium, will be directly proportional to the concentration of the gas in the liquid:

$$[P] = K_H C \tag{17}$$

where  $K_H$  is the solubility of a gas in mmol m<sup>-3</sup> atm<sup>-1</sup> and C is its concentration in the liquid. Many ocean models specify the air-sea gas exchange of CO<sub>2</sub> as a function of the pCO<sub>2</sub> differential between ocean and atmosphere, a CO<sub>2</sub> solubility coefficient (e.g. Weiss, 1974), and a so-called "piston" or gas transfer velocity, which governs the rate of gas exchange, in m s<sup>-1</sup> (e.g.

15 Toggweiler, 1999; Zeebe, 2012; Hain et al., 2010; Watson et al., 2015). We adopt the same approach in estimating the exchange of CO<sub>2</sub> between a surface box and the atmosphere:

$$\left[\frac{dC_1}{dt}\right]_{gas} = P_1 S_1 K_{0_1} (pCO_{2_{at}} - pCO_{2_1})\rho \tag{18}$$

where  $P_1$  is the piston velocity parameter in <u>Box-box</u> 1 in m s<sup>-1</sup>. P varies by surface boxto allow for is allowed to vary in each surface box, to enable scenario analysis, for example variable varying sea-ice cover in the polar box.  $K_{0_1}$  is the solubility of

20  $CO_2$  in mol kg<sup>-1</sup> atm<sup>-1</sup> (Weiss, 1974), subsequently converted into mol m<sup>-3</sup> by multiplying by sea water density  $\rho$ .  $pCO_{2_1}$  and  $pCO_{2_{at}}$  are the partial pressures of CO<sub>2</sub> in the surface ocean box 1 and atmosphere, respectively, in ppm. The equation is vectorised as follows:

$$\left[\frac{d\mathbf{C}}{dt}\right]_{gas} = \mathbf{PSK_0}(pCO_{2at} - \mathbf{pCO_2})\rho \tag{19}$$

where  $\mathbf{P} = \mathbf{P}_i$  (i=1,7) with zero values for non-surface boxes, and  $\mathbf{K}_0 = \mathbf{K}_{0_i}$  (i=1,7).

#### 25 2.4 Extra ocean carbon cycleSea surface temperature and salinity

Ocean box temperature and salinity are forced in SCP-M simulations, not calculated by the model. Each box has a value for temperature and salinity, that remains static during the model simulation unless varied by input. During setup the model

takes box-averaged values for temperature and salinity from the GLODAPv2 database. The values can be varied for model experiments, for example Holocene versus LGM reconstructions. We argue that this is a plausible approach given the availability of temperature and salinity inputs for a range of paleo (e.g. Adkins et al., 2002; Kohfeld and Chase, 2017), modern (e.g. Olsen et al., 2016) future scenarios (e.g. IPCC, 2013a). For the future scenarios, time series of temperature are forced. The temperature and salinity

5 values feed into the calculations for ocean  $pCO_2$ , which further enables calculation of air-sea gas exchange and the species of DIC in seawater ( $H_2CO_3$ ,  $HCO_{3-}$  and  $CO_2^{2-}$ ).

#### 2.5 Atmosphere and terrestrial carbon cycle

SCP-M incorporates the terrestrial biosphere, continental weathering and river run-off into the ocean, plus an atmospheric radiocarbon source, volcanic and industrial emissions.

- 10 V is a constant, prescribed flux of volcanic emissions of  $CO_2$ , in SCP-M. Toggweiler (2007) Toggweiler (2008) estimated this volcanic flux of  $CO_2$  emissions at 4.98x10<sup>12</sup> mol year<sup>-1</sup> using a carbon cycle model which balanced volcanic emissions with land-based weathering sinks. The weathering of carbonate and silicate rocks also creates DIC and alkalinity runoff into the rivers, which find its way into the ocean (Amiotte Suchet et al., 2003). Relative alkalinity and DIC concentrations affect ocean  $pCO_2$  and carbonate ion levels, which impacts atmospheric  $CO_2$  and the dissolution and burial of carbonates (Sarmiento and Gruber, 2006). We apply the approach of Toggweiler (2007) Toggweiler (2008) whereby silicate and carbonate weathering
- 15 fluxes of DIC and alkalinity make their way only into the low latitude surface ocean box (box 1):

$$\left[\frac{dC_1}{dt}\right]_{weath} = \left(W_{SC} + \left(W_{SV} + W_{CV}\right)AtCO_2\right)$$
<sup>(20)</sup>

where  $W_{SC}$  is a constant silicate weathering term set at  $0.75 \times 10^{-4}$  mol m<sup>-3</sup> year<sup>-1</sup>,  $W_{SV}$  is a variable rate of silicate weathering per unit of atmosphere CO<sub>2</sub> (ppm), set to 0.5 mol m<sup>-3</sup> atm<sup>-1</sup> CO<sub>2</sub> year<sup>-1</sup> and  $W_{CV}$  is the variable rate of carbonate weathering with respect to atmosphere CO<sub>2</sub>, set at 2 mol m<sup>-3</sup> atm<sup>-1</sup> CO<sub>2</sub> year<sup>-1</sup> (Toggweiler, 2007)(Toggweiler, 2008).

Alkalinity is added to the ocean in the ratio of 2:1 to DIC (Toggweiler (2007)Toggweiler (2008). In the case of silicate rocks, weathering is also a weak sink of CO<sub>2</sub> (e.g. Toggweiler, 2007; Hogg, 2008)(e.g. Toggweiler, 2008; Hogg, 2008). The atmospheric sink of  $CO_2$  is calculated by multiplying Eq. (20) by the volume of the low latitude surface ocean box (box 1) and subtracting from atmospheric  $CO_2$ . Equation (20) is vectorised by multiplying by a vector of boxes with only a non zero non-zero value for box 1.

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The terrestrial biosphere may act as a sink of CO<sub>2</sub> during periods of biosphere growth (e.g. post glacial regrowth) via carbon fertilisation or a source of  $CO_2$  (e.g. glacial reduction) via respiration. We employ a two part model of the terrestrial biosphere with a long term long-term (woody forest) and short term short-term (grassland) terrestrial biosphere box as per Raupach et al. (2011) and Harman et al. (2011), and with net primary productivity (NPP) and respiration parameters controlling the balance

between uptake and release of carbon. NPP is positively affected by atmospheric CO<sub>2</sub>, the so-called "carbon fertilisation" 30 effect, as per Raupach et al. (2011). Respiration is assumed proportional to the carbon stock. The biosphere also preferentially partitions the lighter carbon isotope <sup>12</sup>C, leading to a relative enrichment in  $\delta^{13}$ C in the atmosphere during net uptake of CO<sub>2</sub>. The change in atmospheric CO<sub>2</sub> from the terrestrial biosphere in the model is given by:

$$\left[\frac{dAtCO_2}{dt}\right]_{NPP} = -N_{pre}RP[1 + \beta LN(\frac{AtCO_2}{AtCO_{2pre}})] + \frac{C_{stock1}}{k_1} + D_{forest}$$
(21)

Where N<sub>pre</sub> is NPP at a reference level ("pre") of atmospheric CO<sub>2</sub>, RP is the parameter to split NPP between the short
term terrestrial biosphere carbon stock (fast respiration) and the longer term stock (slow respiration), after Raupach et al. (2011). β is a parameter with a value typically the parameterisation of carbon fertilisation, causing NPP to increase (decrease) logarithmically with rising (falling) atmospheric CO<sub>2</sub> levels, with a typical value of 0.4-0.8 (Harman et al., 2011), C<sub>stock1</sub> is the short term short-term carbon stock and k<sub>1</sub> is the respiration timeframe for the short term carbon stock (in years). For the long term long-term terrestrial biosphere, we substitute (1 - RP) in place of RP and C<sub>stock2</sub> and k<sub>2</sub> for the long term
long-term carbon stock and respiration rate, respectively. D<sub>forest</sub> is a prescribed flux of deforestation emissions, which can be

switched on or off in SCP-M. A  $\delta^{13}$ C fractionation factor is applied to the terrestrial biosphere fluxes of carbon, effecting an increase in atmospheric  $\delta^{13}$ C from biosphere growth, and a decrease from respiration.

#### 2.6 The complete carbon cycle equations

Equation (22) shows the full vectorised model equation for the calculation of the evolution of carbon concentration in the ocean boxes, incorporating Eq. (1-21).

$$\frac{d(\mathbf{C})}{dt} = \left[\frac{d\mathbf{C}}{dt}\right]_{phys} + \left[\frac{d\mathbf{C}}{dt}\right]_{bio} + \left[\frac{d\mathbf{C}}{dt}\right]_{carb} + \left[\frac{d\mathbf{C}}{dt}\right]_{gas} + \left[\frac{d\mathbf{C}}{dt}\right]_{weath}$$
(22)

The calculation of atmospheric  $CO_2$  is:

$$\frac{dAtCO_2}{dt} = \left[\frac{dAtCO_2}{dt}\right]_{gas} + \left[\frac{dAtCO_2}{dt}\right]_{NPP} + \left[\frac{dAtCO_2}{dt}\right]_{volcs} + \left[\frac{dAtCO_2}{dt}\right]_{weath} + \left[\frac{dAtCO_2}{dt}\right]_{anth}$$
(23)

where the additional term  $\left[\frac{dAtCO_2}{dt}\right]_{anth}$  consists of a prescribed flux of  $\delta^{13}$ C-depleted and  $\frac{14\text{C-dead}}{4\text{C-dead}}$ CO<sub>2</sub> to the atmosphere from human industrial emissions, which is activated by a model switch in SCP-M. Additional model equations for carbon isotopes are shown in the Appendix.

#### 2.7 Treatment of carbon isotopes

Carbon isotopes are an important component in SCP-M given they are key sources of proxy data. The carbon isotopes are treated largely the same as carbon in terms of fluxes in SCP-M, with some modification. For example, carbon isotopes are

25 typically reported in delta notation ( $\delta^{13}$ C and  $\Delta^{14}$ C), which is the % deviation from a standard reference value in nature. The model operates with a metric mol m<sup>-3</sup> for the other ocean element concentrations and flux parameters. In order to incorporate  $\delta^{13}$ C and  $\Delta^{14}$ C into this metric for the operation of model fluxes, the method of Craig (1969) is applied to convert starting data

values of  $\delta^{13}$ C and  $\Delta^{14}$ C from delta notation in ‰, into mol m<sup>-3</sup>:

$$\overset{13}{\overset{\sim}{_{\sim}}}C_{i} = (\frac{\delta 13C_{i}}{1000} + 1)RC_{i}$$

Where  ${}^{13}C_i$  is the  ${}^{13}$ C concentration in box i in mol m<sup>-3</sup>,  $\delta^{13}C_i$  is  $\delta^{13}$ C in % in box i, R is the  ${}^{13}C_{12C}$  ratio of the standard (0.0112372 as per the Pee Dee Belemnite value) and  $C_i$  is the DIC concentration C in box i, in mol m<sup>-3</sup>.

- 5 The calculation in Eq. (24) backs out the fraction <sup>13</sup>C/<sub>12C</sub> in the data or model starting value, multiplies that by the standard reference value and then by the starting model concentration for DIC, C<sub>i</sub>, in each box. This is based on an assumption that the fraction <sup>13</sup>C/<sub>12C</sub> is the same as <sup>13</sup>C/<sub>total carbon</sub>. For example, there are three isotopes of carbon, each with different atomic weights. They occur in roughly the following abundances: <sup>12</sup>C ~98.89%, <sup>13</sup>C ~1.11% and <sup>14</sup>C ~1x10<sup>-10</sup>%. Therefore, an assumption of <sup>13</sup>C/<sub>12C</sub> = <sup>13</sup>C/<sub>total carbon</sub>, is an approximation, but it is close. Once converted from δ<sup>13</sup>C (%<sub>c</sub>) to <sup>13</sup>C in mol m<sup>-3</sup>, SCP-M's ocean parameters can operate on <sup>13</sup>C concentrations in each box, according to the same model flux equations set out in this paper.
- The <sup>13</sup>C model results are then converted back into  $\delta^{13}$ C notation at the end of the model run, in order to compare the model output with data which is reported in  $\delta^{13}$ C format. The same method is applied to  $\Delta^{14}$ C. The reference standard value for  $\frac{^{14}C}{^{12}C}$ is  $1.2x10^{-12}$  as per Craig (1969). Where fractionation of carbon isotopes takes place, fractionation factors are simply added to the model flux equations as per below.

#### 15 2.7.1 Biological fractionation of carbon isotopes

Biological processes change the carbon isotopic composition of the ocean. When photosynthetic organisms form near the ocean surface, they preferentially partition <sup>12</sup>C, the lighter carbon isotope, thereby enriching the surface box in <sup>13</sup>C and relatively enriching the underlying boxes in <sup>12</sup>C during remineralisation. As such, the ocean displays depletion in  $\delta^{13}$ C in the deep ocean relative to the shallow ocean (e.g. Curry and Oppo, 2005). In SCP-M, a fractionation factor, *f*, is simply multiplied by the biological flux in Eq. (13) to calculate marine biological fractionation of <sup>13</sup>C:

$$\left[\frac{d^{13}C_i}{dt}\right]_{^{13}bio} = f * S_{st}$$
<sup>(25)</sup>

Where f is the biological fractionation factor for stable carbon (e.g. ~0.977 in Toggweiler and Sarmiento (1985)), and  $S_{st}$  is the ratio of <sup>13</sup>C to <sup>12</sup>C in the reference standard. The typical  $\delta^{13}$ C composition of marine organisms is in the range -23 to -30%. The same method is applied for biological fractionation of <sup>14</sup>C, but with a different fractionation factor (Toggweiler and Sarmiento, 1985).

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#### 2.7.2 Fractionation of carbon isotopes during air-sea gas exchange

Fractionation of carbon isotopes also takes place during air-sea exchange. The lighter isotope, <sup>12</sup>C, preferentially partitions into the atmosphere. This leads to the heavily depleted  $\delta^{13}$ C signature for the atmosphere, relative to the ocean. The approach to capture this effect in SCP-M is per Siegenthaler and Munnich (1981):

$$\left[\frac{d^{13}C_i}{dt}\right]_{^{13}gas} = \lambda[\tau R_{At}pCO_{2At} - \pi R_ipCO_{2i}]$$
<sup>(26)</sup>

- 5 Where  $\lambda$  is a kinetic fractionation factor. The  $\lambda$  "kinetic fractionation effect" (Zhang et al., 1995) accounts for the slower equilibration rate of carbon isotopes <sup>13</sup>C and <sup>14</sup>C across the air-sea interface, compared with <sup>12</sup>C (Zhang et al., 1995).  $R_{At}$ is the ratio of <sup>13</sup>C to <sup>12</sup>C in the atmosphere,  $R_i$  is the ratio of <sup>13</sup>C to <sup>12</sup>C in surface ocean box *i*. pCO<sub>2At</sub> is the atmospheric pCO<sub>2</sub> and pCO<sub>2i</sub> is the pCO<sub>2</sub> in the surface ocean boxes.  $\tau$  and  $\pi$  are the fractionation factors of carbon isotope from air to sea and sea to air respectively. These are temperature dependent and are calculated using the method of Mook et al. (1974),
- 10 although there are other estimates in the literature (e.g. Zhang et al., 1995). Siegenthaler and Munnich (1981) estimated air-sea  $\frac{^{13}C}{^{12}C}$  fractionation in the range -1.8 to -2.3‰, and sea-air fractionation in the range -9.7 to -10.2‰ using a range of estimation methods and temperatures.

#### 2.7.3 Source and decay of radiocarbon

Natural radiocarbon is produced in the atmosphere from the collision of cosmic ray-produced neutrons with nitrogen. The

- 15 production rate is variable over time and can be influenced by changes in solar winds and the earth's geomagnetic field intensity (Key, 2001). A mean production rate of 1.57 atom  $m^{-2} s^{-1}$  was estimated from the long term record preserved in tree-rings although more recent estimates approach 2 atom  $m^{-2} s^{-1}$  (Key, 2001). For use in SCP-M, this estimate needs to be converted into mols  $s^{-1}$ . We first convert atoms to mols by dividing through by Avogrado's number (~6.022x10<sup>23</sup>). The resultant figure is multiplied by the earth's surface area (~5.1x10<sup>18</sup> cm<sup>-2</sup>) to yield a production rate of 1.3296x10<sup>-5</sup> mols  $s^{-1}$ . This source
- 20 rate, divided through by the molar volume of the atmosphere, is added to the solution for atmospheric radiocarbon. A decay timescale for radiocarbon of 8,267 years, is applied to each box in the model.

#### 3 Modelling results

The modern carbon cycle has been extensively modelled as part of efforts to understand the impact of human anthropogenic emissions on climate. There is abundant data on emissions and detailed observations of the modern carbon cycle with glob-

25 ally coordinated ocean surveys and land-based measuring stations. In addition, numerous modelling exercises, using agreed consensus-type emissions projection scenarios from the Intergovernmental Panel on Climate Change (IPCC), have created a body of modelling inputs and results. This provides an ideal testing ground for SCP-M. We first calibrate the model for the preindustrial period, then simulate historical and projected human emissions under a number of scenarios.

Indicator	Reference		
Atmosphere CO <sub>2</sub>	Marcott et al. (2014), Scripps CO <sub>2</sub> Program		
$\underbrace{\text{Atmosphere } \delta^{13} C}_{\text{Atmosphere } \delta^{12} C}$	Schmitt et al. (2012), Scripps CO <sub>2</sub> Program		
Atmosphere $\Delta^{14}$ C	Nydal and Lövseth (1996), Stuiver et al. (1998),		
Autospitere Z. C.	Reimer et al. (2009), Turnbull et al. (2016)		
$\underbrace{\text{Ocean } \delta^{13}\text{C}}_{\text{Ocean } \delta^{13}\text{C}}$	Peterson et al. (2014)		
	Skinner and Shackleton (2004); Marchitto et al. (2007);		
	Barker et al. (2010); Bryan et al. (2010); Skinner et al. (2010);		
$\underline{\text{Ocean}} \Delta^{14} \mathbf{C}$	Burke and Robinson (2012); Davies-Walczak et al. (2014);		
	Skinner et al. (2015); Chen et al. (2015); Hines et al. (2015);		
	Sikes et al. (2016), Ronge et al. (2016), Skinner et al. (2017)		
Ocean carbonate ion	Yu et al. (2014b), Yu et al. (2014a)		
Modern ocean data (e.g. DIC,			
alkalinity, phosphorus, $\delta^{13}$ C,	GLODAPv2 (Olsen et al., 2016)		
$\Delta^{14}C)$			
Suess and bomb radiocarbon effect	Broecker et al. (1980), Key (2001), Sabine et al. (2004),		
corrections	Eide et al. (2017)		

Table 2. Ocean and atmosphere data sources for the SCP-M modern carbon cycle calibration, projections and LGM-Holocene experiment. The late Holocene is chosen as the initial model calibration due to the absence of industrial-era  $CO_2$  and bomb radiocarbon. Scripps  $CO_2$  Program data originally sourced from http://scrippsco2.ucsd.edu, data currently being transitioned to http://cdiac.ess-dive.lbl.gov. The Peterson et al. (2014) database incorporates ~500 core records across the LGM and late Holocene periods.

#### 3.1 Preindustrial calibration

We choose the late Holocene period (6-0.2 kya) for our calibration because it has relatively good proxy data coverage (e.g. Table 2) and a relatively steady climate in the absence of perturbations such as industrial CO<sub>2</sub> emissions, bomb radiocarbon or glacial terminations. The late Holocene is also close to the preindustrial period (1700's) in order to act as a starting point for modern carbon cycle simulations, as well as paleo. To calibrate the model for the late Holocene we begin with the modern day GLODAPv2 dataset (https://www.nodc.noaa.gov/ocads/oceans/GLODAPv2/) which we average into the model's boxes on depth and latitude coordinates, using one of the SCP-M scripts (Fig. 2). The GLODAPv2 database incorporates data from ~1 million seawater samples from 700 cruises over the years 1972-2013, including data from the original GLO-DAP dataset, plus CARINA and PACIFICA datasets (Olsen et al., 2016). We assume an average data year of 1990 for the data accumulated over the period 1972-2013. We make adjustments to the ocean concentrations of DIC, δ<sup>13</sup>C and Δ<sup>14</sup>C for

the effects of industrial emissions (the "Suess" effect) and bomb radiocarbon in the atmosphere using published estimates (Broecker et al., 1980; Key, 2001; Sabine et al., 2004; Eide et al., 2017). For example, Eide et al. (2017) establishes a mathematical relationship between Suess  $\delta^{13}$ C and CFC-12 in the ocean, which we applied using GLODAP-GLODAPy2 CFC-12

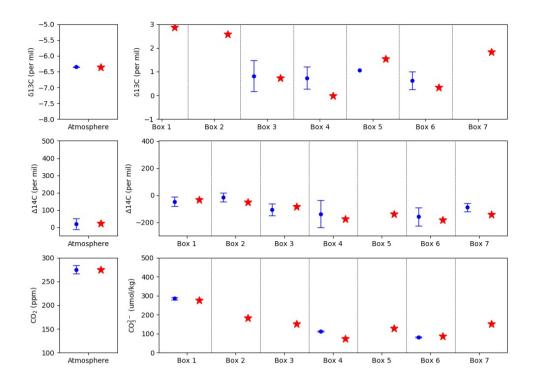


Figure 3. SCP-M late Holocene-calibrated model results using model input parameters from the literature (Table 6). Left panels show model results for atmospheric  $\delta^{13}$ C,  $\Delta^{14}$ C and CO<sub>2</sub> (red stars) plotted against late Holocene average data values (blue dots) with standard error bars. The right panel shows the model results for oceanic  $\delta^{13}$ C,  $\Delta^{14}$ C and carbonate ion proxy (red stars) plotted against late Holocene average ocean data where available (blue dots). Data sources are shown in Table 2.

data to correct the ocean  $\delta^{13}$ C data. We force the model with late Holocene average data for atmosphere CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C (data sources in Table 2). The model's starting parameters are set from literature values (Table 6, Appendix), including the point estimates for ocean circulation and mixing fluxes from Talley (2013). Atmosphere CO<sub>2</sub>Marcott et al. (2014), Seripps CO<sub>2</sub>ProgramAtmosphere  $\delta^{13}$ C Schmitt et al. (2012), Seripps CO<sub>2</sub>ProgramAtmosphere  $\Delta^{14}$ C Stuiver et al. (1998),

- 5 Reimer et al. (2009), Turnbull et al. (2016)Ocean δ<sup>13</sup>C Peterson et al. (2014)Ocean Δ<sup>14</sup>C Skinner and Shackleton (2004); Marchitto et al. Barker et al. (2010); Bryan et al. (2010); Skinner et al. (2010); Burke and Robinson (2012); Davies-Walczak et al. (2014); Skinner et al. (2014); Skinner et al. (2015); Hines et al. (2015); Sikes et al. (2016), Ronge et al. (2016), Skinner et al. (2017)Ocean carbonate ion Yu et al. (2014b), Yu et al. (2014a)Modern ocean data (e.g. DIC, alkalinity, phosphorus, δ<sup>13</sup>C, Δ<sup>14</sup>C) GLODAPv2 (Olsen et al., 2016)Suess and bomb radiocarbon effect corrections Broecker et al. (1980), Key (2001), Sabine et al. (2004), Eide et al. (2017)Ocean and
- 10 atmosphere data sources for the SCP-M modern carbon cycle calibration, projections and LGM-Holocene experiment. The late Holocene is chosen as the initial model calibration to the absence of industrial-era CO<sub>2</sub>and bomb radiocarbon. Scripps

#### CO<sub>2</sub>Program data originally sourced from, data currently being transitioned to. The Peterson et al. (2014) database incorporates

#### $\sim$ 500 core records across the LGM and late Holocene periods.

Using the Suess- and bomb- adjusted GLODAP-GLODAPv2 ocean dataset, and late Holocene atmosphere data, as the starting point, combined with the literature-determined parameter values, the model is allowed to run freely for 15 kyr in spin-

- 5 up. This is ample time for model equilibrium and to allow slower processes such as carbonate compensation to take effect. The resulting model equilibrium ocean and atmosphere element concentrations from the spin-up are automatically stored and are subsequently carried forward as the starting data for subsequent late Holocene simulations. Figure 3 shows the results of the model spin up (red stars), compared with late Holocene atmosphere data and their standard error (blue dots and error bars) across the time period. We also show the model results compared with late Holocene ocean data from various sources (Table
- 10 2) which is averaged into the box model regions for comparison.

The late Holocene calibration convincingly satisfies the atmospheric data values for CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C. Model results are also in good agreement with the Late late Holocene atmosphere and ocean  $\Delta^{14}$ C, falling within error or very close for all boxes covered by data. The surface boxes (1, 2) are relatively enriched in  $\Delta^{14}$ C relative to deeper boxes, reflecting their proximity to the atmospheric source of 14C<sup>14</sup>C, although the spread of values across the ocean boxes is narrow. The surface boxes (1, 2 and

- 15 7) intuitively display more enriched  $\delta^{13}$ C than the intermediate (3), deep (4) and abyssal (6) boxes, mainly due to the effects of the biological pump. For most of the model's boxes, the results fall within the standard error of the late Holocene data. The Southern Ocean box (5), is an exception due to its extensive vertical coverage of 2,500m incorporating the surface boundary with the atmosphere and the deep ocean, coupled with the sparse  $\delta^{13}$ C core data for the polar Southern Ocean (one data point, no error bars). SCP-M also exaggerates the depletion in  $\delta^{13}$ C in the deep box (4), relative to the data observation.
- There is limited data coverage for carbonate ion proxy  $(CO_3^{2^-})$ , although the model replicates the available data well.  $CO_3^{2^-}$  concentrations are roughly approximated by can be interpreted as alkalinity less DIC (Zeebe and Wolf-Gladrow, 2001; Yu et al., 2014b), for the purposes of analysing model results charts.  $CO_3^{2^-}$  is relatively abundant in the surface boxes (e.g. boxes 1 and 2) due to the higher amount of alkalinity relative to carbon, itself resulting from the export activity of the biological pump which prioritises carbon over alkalinity.  $CO_3^{2^-}$  is less abundant in the deep ocean (boxes 4 and 6), because there is more carbon
- 25 relative to alkalinity due to remineralisation of the biological pumporganic matter, which corresponds to lower  $CO_3^{2-}$  values, a pattern that SCP-M simulates replicates.

SCP-M late Holocene-calibrated model results using model input parameters from the literature (Table 6). Left panels show model results for atmospheric  $\delta^{13}$ C,  $\Delta^{14}$ C and CO<sub>2</sub>(red stars) plotted against late Holocene average data values (blue dots) with standard error bars. The right panel shows the model results for oceanic  $\delta^{13}$ C,  $\Delta^{14}$ C and carbonate ion proxy (red stars)

30 plotted against late Holocene average ocean data where available (blue dots). Data sources are shown in Table 2.

#### 3.2 Sensitivity tests

We We undertook a set of parameter sensitivity tests to understand changes in atmospheric CO<sub>2</sub>,  $\Delta^{14}$ C and  $\delta^{13}$ C in SCP-M. This serves two main purposes: 1) to understand the directional relationship between the parameter settings and these key model outputs and evaluate whether they make sense, and 2) to inform the LGM-Holocene model-data experiment in the following section. For example, if the GOC parameter  $\Psi_1$  displays a negative relationship with atmospheric CO<sub>2</sub>, it would make sense to probe parameter values lower than modern, to replicate the lower atmospheric CO<sub>2</sub> in the LGM. We varied parameter values around their modern day settings in 10 kyr model runs, and plotted the output against atmospheric CO<sub>2</sub>,  $\Delta^{14}$ C and  $\delta^{13}$ C (Fig. 4). For example, Fig. 4(a-d) shows sensitivity variations above and below the model's modern values

- 5 for ocean circulation and mixing parameters, sourced from Talley (2013) and Toggweiler (1999). Atmospheric CO<sub>2</sub> is very sensitive to  $\Psi_1$  and  $\Psi_2$  but displays limited response to  $\gamma_1$  and  $\gamma_2$  over the ranges analysed (Fig. 4(a-d)). Atmospheric  $\Delta^{14}$ C and  $\delta^{13}$ C are negatively related to  $\Psi_1$  and  $\Psi_2$ . The slower ocean turnover leads to a reduced rate of upwelling and surface de-gassing of  $\Delta^{14}$ C- and  $\delta^{13}$ C-depleted waters, causing higher values in the atmosphere. The effect of the mixing parameters on the atmosphere variables is muted because they have limited impact on the upwelling regime for carbon, with any upward
- 10 flux of carbon offset by a downward flux (mixing).

Z, the soft tissue pump parameter, displays an inverse relationship with CO<sub>2</sub> (Fig. 4(e)) except for small values of  $Z_{,}$  when the flux of shell-based organisms out of the surface boxes via the carbonate pump, and the attendant flux of alkalinity and carbon in the ratio of 2:1, is substantially reduced. The continued flux of alkalinity into the ocean, . At low (and perhaps unrealistic) global values for Z, alkalinity from continental weathering , coupled with the weaker carbonate pump, leads to a

- 15 build-up in alkalinity in the ocean and a decrease in pCOinitially builds up in the low latitude surface box, causing a fall in  $pCO_2$ . This is most pronounced in the low latitude box, which has a value for Z which is only a small fraction of the base Z value, which is varied in the sensitivity experiment. Eventually, the lower and atmospheric CO<sub>2</sub> leads to decreased weathering and river influx to the ocean, and the carbonate system restores equilibrium. However this takes place over longer timeframes than the sensitivity experiment. If the rain ratio were allowed to increase, in the presence of higher carbonate ion values in the
- 20 ocean, the effect would be partially mitigated. In the current version of SCP-M, the rain ratio is prescribed. Increasing the base value of the biological pump above. Over longer time periods equilibrium is restored and the weathering flux of alkalinity falls with CO<sub>2</sub>. Above 4 mol C m<sup>-2</sup> yr<sup>-1</sup>increases the, higher global values of Z drive greater removal of carbon from the surface ocean<del>and also the atmospheric, and also CO<sub>2</sub> flux into the ocean which lowers atmospheric CO<sub>2</sub>.  $\delta^{13}$ C is particularly sensitive to Z, moving it well away from modern (and therefore Holocene and LGM) values from a minor perturbation. Reducing the</del>
- 25 The rain ratio (Fig. 4(f)) drastically lowers increases pCO<sub>2</sub> in the surface ocean boxes, leading to de-gassing of CO<sub>2</sub> and increases to the atmosphere, and therefore modestly decreasing atmospheric  $\Delta^{14}$ C, but also heavily depletes the atmosphere in as the lighter  $\delta^{13}$ <sup>12</sup>C is preferentially partitioned across the air-sea interface.

Increasing surface ocean box temperatures temperature (Fig. 4(g-ig)) increases atmospheric CO<sub>2</sub>, an intuitive outcome given that warmer water absorbs less CO<sub>2</sub> (Weiss, 1974), and SCP-M employs a temperature- and salinity- dependent CO<sub>2</sub>-solubility

- 30 function. Air-sea fractionation of  $\delta^{13}$ C also deceases decreases with higher temperatures, leading to higher atmospheric  $\delta^{13}$ C. According to Mook et al. (1974), air-to-sea fractionation of  $\delta^{13}$ C (making the atmosphere more depleted in  $\delta^{13}$ C) increases at a rate of approximately 0.1‰ °C<sup>-1</sup> of cooling. SCP-M employs temperature-dependent air-sea gas  $\delta^{13}$ C fractionation factors (Mook et al., 1974).  $\Delta^{14}$ C is invariant to box temperature as the fractionation parameters employed in the model are non temperature dependent. CO<sub>2</sub> displays a weak positive relationship with surface ocean box salinity (Fig. 4(j-lh)), due to the
- 35 decreasing solubility of  $CO_2$  in ocean water with increasing salinity (Weiss, 1974).

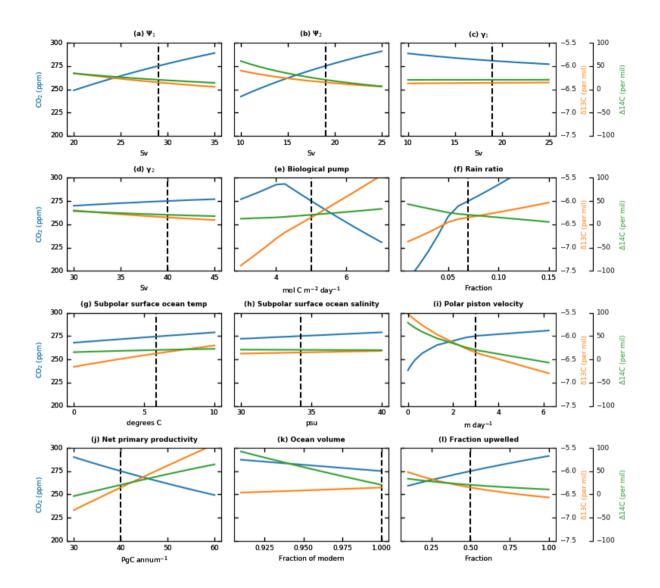


Figure 4. Univariate parameter sensitivity tests around modern day estimated values, plotted for atmospheric CO<sub>2</sub>,  $\Delta^{14}$ C and  $\delta^{13}$ C. We varied parameter input values as plotted on the x-axes and show model output for atmospheric CO<sub>2</sub>,  $\Delta^{14}$ C and  $\delta^{13}$ C. Atmospheric CO<sub>2</sub> show the greatest sensitivity to parameters associated with ocean circulation, biology and the terrestrial biosphere. Other parameters exert less influence on atmospheric CO<sub>2</sub> but are important for atmospheric carbon isotope values. Modern day estimates used in SCP-M are shown with vertical black dotted lines in each subplot (sources in the text and Appendix Table 6)

The piston velocity (As the polar box piston velocity P) drives atmospheric carbon isotope values, more than CO<sub>2</sub>itself. As *P* slows down (Fig. 4(m-oi)), atmospheric CO<sub>2</sub> fallsmodestly, because the polar and sub polar boxes, which are the primary regions of outgassing box, which is a region of outgassing of CO<sub>2</sub> due to the upwelling of deep-sourced carbon-rich waterinto

those boxes, exchange, exchanges  $CO_2$  with the atmosphere at a slower rate. The reduced outgassing of  $\delta^{13}C$ -depleted carbon to the atmosphere with a lower P, leads to higher  $\delta^{13}C$  values in the atmosphere. Atmospheric  $\Delta^{14}C$  increases with a slowing of P as the pathways for it to invade the ocean from its atmospheric source, are slower, and there is reduced outgassing of old.  $\log \Delta^{14}C$  waters.

- 5 Net primary productivity (Fig. 4(pj)) is a sink of CO<sub>2</sub>, and also fractionates the carbon isotopes leading to increases and fractionates the ratios of the isotopes of carbon, leading to higher values for  $\delta^{13}$ C and to a lesser extent,  $\Delta^{14}$ C, in the atmospherevalues. It is likely that NPP plays a dampening feedback role and modulates CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C around the interglacial eycles (Toggweiler (2007))(Toggweiler, 2008). Varying the ocean surface area volume (Fig. 4(qk)) has modest impacts on CO<sub>2</sub> and  $\delta^{13}$ C, but a large impact on  $\Delta^{14}$ C. Decreasing the ocean volume leads to a lower surface area for CO<sub>2</sub> and atmospherically-
- 10 produced radiocarbon to enter the ocean, causing it to build up them to increase in the atmosphere. We expect that changing the ocean surface area (from sea level), and therefore volume, leads to changes in pCO<sub>2</sub> on glacial/interglacial timescales. Increasing the fraction of deep water upwelled into the sub polar box (Fig. 4(rl)), intuitively raises CO<sub>2</sub> but lowers the values for the isotopes, as it increases the upwelling of  $\delta^{13}C$  and  $\Delta^{14}C$ , by upwelling carbon rich and isotopically-depleted water to the ocean surface boxes.

### 15 3.3 Modern carbon cycle simulation

Human fossil fuel and land-use change emissions have contributed  $\sim$ 575 Gt carbon to the atmosphere between 1751 and 2010 (Boden et al., 2017; Houghton, 2010) and up until 2014 were growing at an accelerating rate. The carbon cycle is not static in its response to the shocks, rather it In response, the Earth's carbon cycle continually partitions carbon between its component reservoirsamidst, with positive and negative feedbacks. The net effect is a build-up of carbon in most reservoirs. Given the

20 dominance of the human anthropgenic industrial emissions source in the modern global carbon cycle, a simulation model should be able to provide a plausible simulation of its effects. We model historical emissions data from 1751 and the IPCC's representative concentration pathway (RCPs) projections to 2100 to test the model's responses and compare with atmospheric data and published modelling results (e. g. CMIP5: ).

We modelled the effects of anthropogenic emissions and atmospheric nuclear bomb testing on the carbon reservoirs and

fluxes in SCP-M. The experiment forces the late Holocene/preindustrial SCP-M equilibrium with estimates of industrial fossil fuel and land use change CO<sub>2</sub> emissionsand, sea surface temperature (SST) changes and atmospheric bomb <sup>14</sup>C fluxes, from historical data and the dating from 1751. For the future years, we force the model with the IPCC's RCP scenarios representative concentration pathway (RCPs) CO<sub>2</sub> emissions and SST scenarios forward to 2100 (Boden et al., 2017; Houghton, 2010; IPCC, 2013a). We compare the model results with atmospheric CO<sub>2</sub>, δ<sup>13</sup>C and Δ<sup>14</sup>C historical data, and published modelling results for future years (e.g. CMIP5: https://cmip.llnl.gov/cmip5/).

Figure 5 shows the modern carbon cycle simulation using SCP-M, compared with historical atmospheric data for CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C and GLODAPv2 ocean data (estimated data year 1990). Importantly, SCP-M provides an accurate appropriate simulation of the carbon cycle response to the human emissions inputs by replicating the atmospheric patterns for CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C preserved in data observations for the period 1751-2016 (a-b). The atmospheric CO<sub>2</sub> and  $\delta^{13}$ C data is sourced

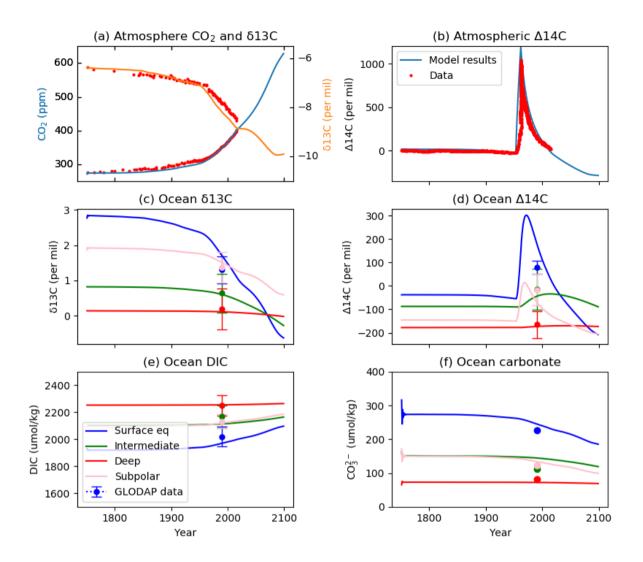


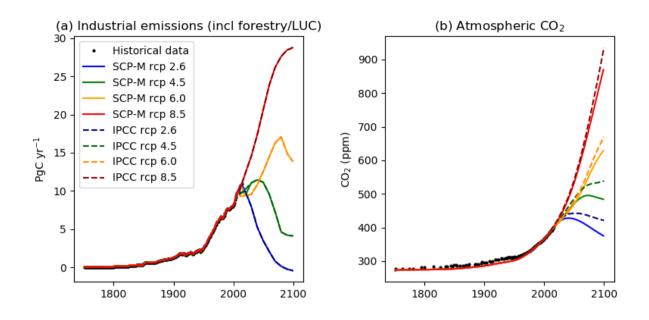
Figure 5. SCP-M modelling results compared with modern atmospheric and ocean GLODAPv2 data. Projections beyond 2016 include the RCP 6.0 emissions trajectory. In the top row we plot SCP-M model results for CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C (lines) for the period 1751-2100 against atmospheric data for CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C (red dots). The SCP-M model output closely resembles the atmospheric data record. The perturbation from industrial-era, isotopically depleted ( $\delta^{13}$ C) and dead ( $\Delta^{14}$ C) CO<sub>2</sub> is clear, as is the impact of atmospheric nuclear tests on  $\Delta^{14}$ C during 1954-1963. In the other rows we plot SCP-M model results (boxes as shown) versus GLODAPv2 data (dots/error bars, same colour as corresponding boxes). We assume an average data year of 1990 for the GLODAPv2 data accumulated over the period 1972-2013. For most of the SCP-M ocean boxes, the model results fall within or very close to error ranges of the GLODAPv2 data, despite large perturbations in the model and data from industrial-era emissions and bomb radiocarbon.

from the Scripps CO<sub>2</sub> program (originally sourced from http://scrippsco2.ucsd.edu, data currently being transitioned to http: //cdiac.ess-dive.lbl.gov), and  $\Delta^{14}$ C data is sourced from Nydal and Lövseth (1996), Stuiver et al. (1998) and Turnbull et al. (2016). A key feature of the historical data is the substantial uplift in human emissions from circa 1950 onwards which is accompanied by an uplift in atmospheric CO<sub>2</sub> and a steep drop in  $\delta^{13}$ C (Fig. 5(a)). The latter reflects the  $\delta^{13}$ C-depleted human

- 5 emissions. The emissions effect on atmospheric  $\Delta^{14}$ C (Fig. 5(b)) in the 20th century is largely overprinted by the effects of bomb radiocarbon. The effect of emissions is seen as a slight downturn in the model and data  $\Delta^{14}$ C in the immediate lead up to the release of bomb radiocarbon into the atmosphere, and then resumes downward from ~2020. The spike in  $\Delta^{14}$ C during the period of bomb radiocarbon release, lasts during the period 1954-1963 and then quickly disperses as  $14C_{\sim}^{14}$ C is absorbed by the ocean. The simulation shows that SCP-M is also in good agreement with the GLODAPv2 ocean data by 1990 (Fig. 5(c-f)), with
- 10 most boxes falling within the standard deviation of average data values, lending confidence to the model's simulation of carbon redistributive processes. SCP-M modelling results compared with modern atmospheric and ocean GLODAPv2 data. Selection of boxes shown to reduce clutter. Projections beyond 2015 include RCP 6.0 emissions growth. In the top row we plot SCP-M model results for CO<sub>2</sub>, δ<sup>13</sup>C and Δ<sup>14</sup>C (lines) for the period 1751-2100 against atmospheric data for CO<sub>2</sub>, δ<sup>13</sup>C and Δ<sup>14</sup>C (red dots). The SCP-M model output closely resembles the atmospheric data record. The perturbation from industrial-era,
- 15 isotopically depleted ( $\delta^{13}$ C) and dead ( $\Delta^{14}$ C) CO<sub>2</sub>is clear, as is the impact of atmospheric nuclear tests on  $\Delta^{14}$ C during 1954-1963. In the other rows we plot SCP-M model results (boxes as shown) versus GLODAPv2 data (dots/error bars, same colour as corresponding boxes). We assume an average data year of 1990 for the GLODAPv2 data accumulated over the period 1972-2013. For most of the SCP-M ocean boxes, the model results fall within or very close to error ranges of the GLODAPv2 data, despite large perturbations in the model and data from industrial-era emissions and bomb radiocarbon.
- Figure 6 shows the emissions profile (a) and modelling results (b) for atmospheric  $CO_2$  over historical time and projected forward to 2100 for the IPCC RCPs. The SCP-M output undershoots the IPCC projections for RCP 2.6 and 4.5, but provides a close match on RCP 6.0 and 8.5.

Figure 7(a) shows the the annual uptake of CO<sub>2</sub> by the ocean, modelled with SCP-M. The model begins the period close to a steady state between the atmosphere and surface ocean pCO<sub>2</sub>, with limited transfer across the interface. Beginning circa 1950 the ocean begins to take up an increased load of CO<sub>2</sub> from the atmosphere. By 2100, SCP-M models a range of annual CO<sub>2</sub> uptake by the ocean of 0-6 PgC annum<sup>-1</sup> across the RCPs. This is similar to the range of values estimated by the CMIP5 models as shown in Fig. 7(a), reproduced from Jones et al. (2013). The cumulative uptake of emissions by the ocean over the period 1751-2100 (Fig. 7(b)) modelled by SCP-M of ~350-750 PgC, is at the upper end of the modelled range of CMIP5 models of ~200-600 PgC over the period 1850-2100 (Jones et al., 2013). The SCP-M simulations commence in 1751

- 30 and therefore incorporate an extra 100 years of fossil fuel and land use change emissions beyond the CMIP5 model results presented in Jones et al. (2013). Wang et al. (2016) quote a range of 412-649 PgC cumulative uptake by the ocean by 2100 from 11 CMIP5 models, a closer range to the SCP-M outcomes. Figure 8 shows the carbon cycle destination for human emissions partitioning of anthropogenic CO<sub>2</sub> emissions into the carbon cycle reservoirs by 2100, in the in RCP6.0, as simulated with SCP-Msimulation. By 2100, compared with modelling results presented by the IPCC for the same scenario (IPCC, 2013b).
- 35 By this time, the load of human emissions is roughly 40:60-45:55 split between the atmosphere and the combined terrestrial

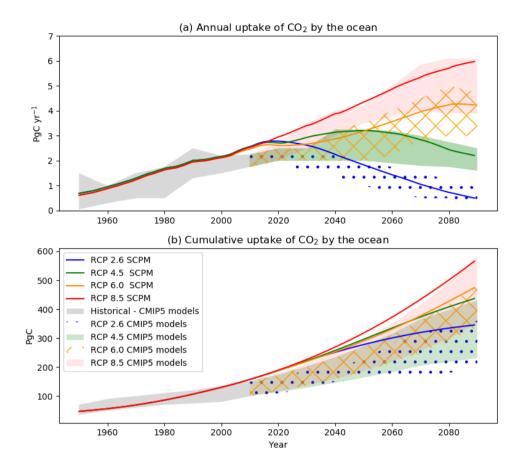


**Figure 6.** <u>SCP-M</u> RCP Modelling results compared with IPCC emissions and  $CO_2$  scenarios. Panel (a) shows the IPCC's RCP emissions pathways out to 2100 which are fed into inputted to SCP-M for the modern carbon cycle simulation. Panel (b) shows SCP-M model output for atmospheric  $CO_2$  (firm lines) plotted against IPCC atmospheric  $CO_2$  projections for the RCP pathways (dashed lines). The SCP-M output undershoots the IPCC projections for RCP 2.6 and 4.5, but provides a close match on RCP 6.0 and 8.5.

biosphere and ocean. The terrestrial biosphere portion of the pie chart shows only the  $CO_2$  sink behaviour, gross of deforestation emissions which reduce the terrestrial carbon stock and are subsequently taken up by all of the carbon reservoirs.

By 2100 in RCP 6.0, the carbon cycle is substantially changed from the preindustrial/late Holocene state by the sustained release of human emissions over as a result of the accumulation of hundreds of years of human industrial  $CO_2$  emissions (Fig.

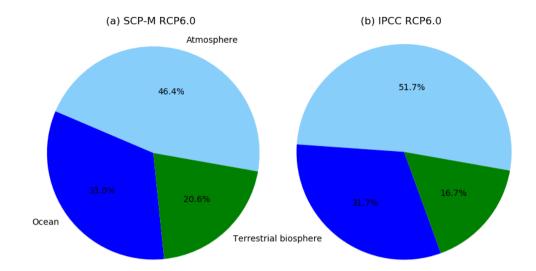
- 5 9). The release of emissions transfers  $CO_2$  emissions transfer carbon to the atmosphere, ocean and terrestrial biosphere. The flux behaviours fluxes between the carbon reservoirs also change. In the preindustrial state,  $CO_2$  enters the ocean in the low latitudes and northern ocean (shown as negative fluxes in Fig. 9), and de-gasses in the Southern Ocean (positive flux) under the influence of ocean upwelling in that region. In the RCP 6.0, the atmospheric  $CO_2$  concentration increases to the extent that the atmosphere-ocean p $CO_2$  gradient drives all surface ocean boxes to take carbon from the atmosphere (shown as large negative)
- 10 changes in the air-sea fluxes of carbon, in red text in Fig. 9), despite simulated warmer surface ocean temperatures towards the end of the projection. The terrestrial biosphere influx of carbon is dramatically increased by the carbon fertilisation effect, leading to a larger biomass stock which in turn also causes more respiration - both inward and outward biosphere fluxes of  $CO_2$ are therefore greatly enhanced. The weathering of silicate rocks on the continents, a weak sink of carbon, also accelerates under the effects of burgeoning atmospheric  $CO_2$ , transferring carbon from the atmosphere to the ocean via rivers. The physical fluxes
- 15 of carbon within the ocean are only modestly affected, with the main exception being low latitude thermocline mixing, which



**Figure 7.** Panel (a) shows shows the annual uptake of  $CO_2$  by the ocean in each of the RCP's over the period 1751-2100, modelled with SCP-M. By 2100, SCP-M estimates a range of 0-6 PgC year<sup>-1</sup> across the RCPs as estimated by CMIP5 models, reproduced from Jones et al. (2013). Panel (b) shows the cumulative uptake of  $CO_2$  by the ocean over the same period modelled with SCP-M and compared with CMIP5 models (Jones et al., 2013).

in the RCP 6.0 mixes a larger amount of carbon back into the surface ocean box from intermediate depths. The altered balance of DIC:alkalinity, particularly in the abyssal box, leads to a decrease in the carbonate ion concentration of abyssal waters, late in the projection period, which in turn causes more dissolution of marine sediments. By 2100 this feedback brings more carbon back into the ocean, increased from 0.2 to 1.1 PgC yr<sup>-1</sup>, but also alkalinity (in a ratio of 2:1 to DIC), thereby serving to lower lowering whole of ocean pCO<sub>2</sub> - a modest negative feedback. In summary, SCP-M provides an accurate appropriate simulation of historical atmospheric CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C data, when forced with anthropogenic CO<sub>2</sub> emissions data over the same period. For the forward-looking RCP emissions projections, SCP-M falls in the range of the CMIP5 models, although the oceanic carbon uptake is exaggerated for the RCP 8.5 scenario. This suggests that a more detailed experiment, for example with non-linear representation of the piston velocity with respect to atmospheric CO<sub>2</sub>, or prescribed feedbacks from ocean

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**Figure 8.** Relative uptake of  $CO_2$  across the major carbon reservoirs by 2100 in the RCP 6.0 as modelled by SCP-M (left panel). By 2100, SCP-M projects that 42% of industrial-era emissions remain in the atmosphere, 30% reside in the ocean and 28% in the atmosphere. Shown on the right panel are results from Earth system models reproduced from the IPCC Working Group 1 5th Assessment Report, Chapter 6 (IPCC, 2013b)

circulation and biology (e.g. Meehl et al., 2007; IPCC, 2013a, b; Moore et al., 2018), might provide a closer fit to the CMIP5 models.

### 4 LGM-Holocene model-data experiment

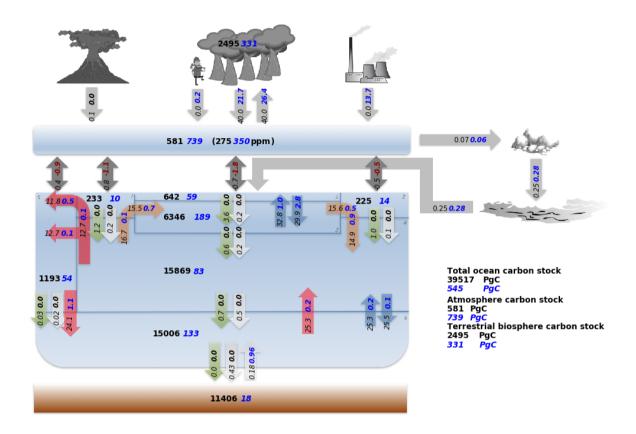
The settings for global carbon cycle flux parameters on paleo, interglacial timeframes, remain unresolved (Sigman et al., 2010). The-

## 4.1 Background

5

The LGM-Holocene dilemma, in particular, looms large in paleoceanography. To date, a precise set of physical changes to account for the large end-of-glacial carbon cycle changes, remains elusive (e.g. Menviel et al., 2016). In the modelling context, this problem traditionally related to uncertainty over the values of key parameters, such as ocean circulation or biology, to

10 use in LGM and late Holocene simulations, and use of models to manually test hypothesis inputs. Conversely, constraints on the parameter values derived from modelling, can perhaps equally usefully serve as a constraint on candidate hypotheses. To this end, we transition, and glacial/interglacial variations in the carbon cycle in general, remain outstanding problems in oceanography (e.g. Sigman and Boyle, 2000; Kohfeld and Ridgewell, 2009; Hain et al., 2010; Ferrari et al., 2014; Kohfeld and Chase, 201 At issue is the precise cause of 80-100 ppm variations in atmospheric CO<sub>2</sub> across glacial and interglacial periods. These



**Figure 9.** SCPM-modelled preindustrial carbon stocks and fluxes (in PgC in black text) compared with IPCC RCP 6.0 emissions scenario by 2100 (in-shown as PgC changes with blue text for positive changes, red text for negative and black text = no change). Atmosphere, ocean and terrestrial biosphere take up the load of carbon from the industrial source. By 2100, carbon is fluxing into all ocean boxes, the terrestrial biosphere and continental sediment weathering/river fluxes. Preindustrial outgassing of CO<sub>2</sub> in the Southern Ocean is reversed, and carbon is returned to the ocean via enhanced CaCO<sub>3</sub> dissolution. Box numbers on the diagram refer to ocean regions specified in Fig. 1. Negative fluxes on bidirectional <u>air-sea exchange</u> arrows are fluxes of CO<sub>2</sub> out of the atmosphere into the ocean.  $CO_2$  oscillations are accompanied by striking changes in ocean and atmospheric carbon isotopes, oceanic carbonate ion distributions and other paleo chemical indicators. Of particular interest is the transition from the LGM, ~18-24 kyr ago (Yokoyama et al., 2000; Clark et al., 2009; Hesse et al., 2011; Hughes et al., 2013; Hughes and Gibbard, 2015), to the Holocene (11.7 kyr- present), due to the growing abundance of proxy data covering that period. The causes of abrupt atmospheric

- 5 CO<sub>2</sub> rise at the termination of the LGM, and continuing up to the Holocene period, remain definitively unresolved. The ocean is likely the main driver of atmospheric CO<sub>2</sub> on the relevant timescale, due to its relative size as a carbon reservoir (e.g. Broecker, 1982; Sarmiento and Toggweiler, 1984; Kohfeld and Ridgewell, 2009; Sigman et al., 2010), alongside changes in the terrestrial biosphere stock of carbon (Francois et al., 1999; Ciais et al., 2012; Peterson et al., 2014; Hoogakker et al., 2016). Active theories within the ocean realm include changes in ocean biology (Martin, 1990; Watson et al., 2000; Martinez-Garcia et al., 2014),
- 10 ocean circulation and mixing (Sarmiento and Toggweiler, 1984; Toggweiler and Sarmiento, 1985; Toggweiler, 1999; Curry and Oppo, 200 sea ice cover (Stephens and Keeling, 2000), whole ocean chemistry (Broecker, 1982; Sigman et al., 2010), or composite hypotheses (Kohfeld and Ridgewell, 2009; Ferrari et al., 2014; Kohfeld and Chase, 2017). Other mechanisms implicated include temperature, terrestrial biosphere, ocean volume and shelf carbonates (Opdyke and Walker, 1992; Trent-Staid and Prell, 2002; Ridgewell et al., 2003; C Each hypothesis listed above is generally supported by either of site-specific tracer observations (e.g. marine carbonate cores).
- 15 regional data aggregation and review, literature synthesis, or modelling. Within the spectrum of hypotheses, a simple explanation of a carbon cycle mechanism, or mechanisms, remains elusive. Many of the early hypotheses were presented as independent, or even competing in causality for the interglacial CO<sub>2</sub> variation (Ferrari et al., 2014).

Substantial progress has been made over the last fifteen years, in constraining the list of likely candidates to ocean physical and biological processes, likely in concert. The growth of paleo datasets (e.g. Oliver et al., 2010; Peterson et al., 2014; Yu et al., 2014b; Ski

- and improvements in computing power, have led to model (varying complexity) and model-data studies which seek to constrain the magnitude of changes in the carbon cycle across the glacial/interglacial cycles (e.g. Stephens and Keeling, 2000; Toggweiler et al., 2006 For example, Menviel et al. (2016) modelled slowing GOC and AMOC, with a modest increase in biological productivity in the Southern Ocean in the LGM, using  $\delta^{13}$ C data and an intermediate complexity earth system model. This differed from the finding of Muglia et al. (2018), who specifically examined AMOC and Southern Ocean biological productivity, finding
- a weaker AMOC and stronger biological productivity could account for the LGM and Holocene  $\delta^{13}$ C,  $\Delta^{14}$ C and  $^{15}$ N data. GOC was not tested by Muglia et al. (2018). Kurahashi-Nakamura et al. (2017) contradicted both studies, diagnosing a more vigorous (but shallower) AMOC in the LGM using a GCM with data assimilation of various proxies, notably only incorporating Atlantic data for the LGM.

## 30 4.2 Model-data experiments

We illustrate SCP-M's capabilities by solving for the parameter values of best-fit with late Holocene and LGM ocean and atmosphere proxy data, using a <u>comprehensive</u> model results-data optimisation. For this illustrative example, the <u>atmosphere</u> and ocean data is taken from published sources (Table 2), averaged for the LGM ( $\sim$ 18-24 ka) and <u>Late-late</u> Holocene (6.0-0.2 ka) time periods and for box coordinates in <u>SCP-M</u> for ocean data (depth and latitude), and the mean and variance for

Indicator	LGM change
Surface ocean box temperatures	-5-6°C (Trent-Staid and Prell, 2002; Annan and Hargreaves, 20
Surface ocean box salinity	+1.0 psu (Adkins et al., 2002)
Polar ocean box piston velocity	x0.3 (Stephens and Keeling, 2000; Ferrari et al., 2014)
Ocean surface area and volume	-3.0% (Adkins et al., 2002; Grant et al., 2014)
Atmosphere radiocarbon production	x1.25 (Mariotti et al., 2013)

Table 3. Changes to ocean and atmosphere parameter settings in SCP-M to recreate the LGM background model state. As shown in the sensitivity tests in Fig. 4, some processes do not exert a strong influence on atmospheric CO<sub>2</sub>, but do impact modestly on CO<sub>2</sub> and strongly on  $\delta^{13}$ C and  $\Delta^{14}$ C. Where these features are posited to vary around glacial cycles, we have incorporated them as a step change from late Holocene/modern estimates, in our LGM model experiment

each box average is then calculated . Where necessary, we undertook additional spreadsheet-processing of radiocarbon data to yield the all-important  $\Delta^{14}$ C values, which incorporates the independent calendar-age and corrects for biological fractionation (Fallon, 2018).

in <u>SCP-M</u>. First, we probe the potential for key model parameters to drive Holocene-LGM changes in atmospheric carbon variables, to focus our experiment on these parameters. It is likely that the LGM to Holocene carbon cycle changes were

- 5 variables, to focus our experiment on these parameters. It is likely that the LGM to Holocene carbon cycle changes were dominated by the ocean (Sigman and Boyle, 2000)(Sigman and Boyle, 2000; Kohfeld and Ridgewell, 2009), but were also accompanied by a range of physical changes in the atmosphere and terrestrial biosphere that in aggregate, could be material (e.g. Sigman and Boyle, 2000; Adkins et al., 2002; Ferrari et al., 2014)(e.g. Sigman and Boyle, 2000; Adkins et al., 2002; Kohfeld and Ri These changes include sea surface temperature, salinity, sea-ice cover, ocean volume and atmospheric 14C<sup>14</sup>C production rate.
- 10 Estimates of average sea surface temperature for the LGM generally fall in the range of 3-8°C cooler than the present (Trent-Staid and Prell, 2002; Annan and Hargreaves, 2013). Adkins et al. (2002) estimated ocean salinity was 1-2 psu higher in the LGM and sea levels were ~120m lower (Adkins et al., 2002; Grant et al., 2014). Stephens and Keeling (2000) and Ferrari et al. (2014) highlighted the role of expanded sea ice cover in the Southern Ocean during the LGM as a key part of the LGM CO<sub>2</sub> drawdown. Finally, Mariotti et al. (2013) estimated that higher atmospheric radiocarbon production accounted for +~200%
- 15 in atmospheric  $\Delta^{14}$ C in the LGM. Mariotti et al. (2013) simulated this variation in model experiments by increasing the radiocarbon production rate by a multiple of 1.15-1.30 (best guess 1.25) of the modern estimate in order to recreate LGM  $\Delta^{14}$ C values. Using these findings we define two background states for modelling purposes: a late Holocene state (as per our starting data and literature foundations in Table 6 in the Appendix) and the LGM state, as per the hypothesised changes in temperature, sea surface area, sea ice cover and salinity (Table 3).
- 20 Surface ocean box temperatures-5-6°C (Trent-Staid and Prell, 2002; Annan and Hargreaves, 2013)Surface ocean box salinity+1.0 psu (Adkins et al., 2002) Polar ocean box piston velocityx0.3 (Stephens and Keeling, 2000; Ferrari et al., 2014)Ocean surface area and volume-3.0% (Adkins et al., 2002; Grant et al., 2014)Atmosphere radiocarbon productionx1.25 (Mariotti et al., 2013)Changes to ocean and atmosphere parameter settings in SCP-M to recreate the LGM background model state. As shown in the sensitivity

tests in Fig. 4, some processes do not exert a strong influence on atmospheric  $CO_2$ , but do impact modestly on  $CO_2$  and strongly on  $\delta^{13}C$  and  $\Delta^{14}C$ . Where these features are posited to vary around glacial cycles, we have incorporated them as a step change from late Holocene/modern estimates, in our LGM model experiment

Figure 10 shows the cumulative effect of these changes in SCP-M, within the late Holocene-LGM atmosphere 3D CO<sub>2</sub>- $\delta^{13}$ C- $\Delta^{14}$ C data space. These changes are the first stage of a model adjustment to analyse the potential for ocean circulation

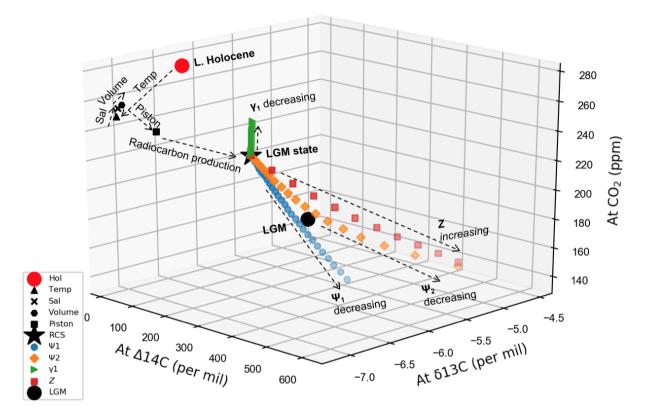


Figure 10. LGM state parameter adjustments. Using the posited LGM changes in environmental parameters in Table 3, we establish the LGM foundations for exploring the impacts of varying large scale ocean process parameters towards LGM atmospheric  $CO_2 - \delta^{13}C - \Delta^{14}C$  data space. The red circle is our starting point for the late Holocene. From the LGM state foundation (black star), variation of global overturning circulation ( $\Psi_1$ ), Atlantic meridional overturning circulation ( $\Psi_2$ ) and the soft-tissue biological pump (Z), drives atmospheric  $CO_2$ ,  $\delta^{13}C$  and  $\Delta^{14}C$  into the vicinity of their LGM data values (black circle). The biological pump Z can effect the LGM CO<sub>2</sub> outcome, but steers  $\delta^{13}C$  away from the LGM value. Both  $\Psi_1$  (3-29 Sv) and  $\Psi_2$  (3-19 Sv) experiments run very close to the LGM data values on their own, although neither can deliver a precise hit.

5

and biological changes to deliver the LGM atmospheric CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C values, and take transition the model output from the red circle (Late-late Holocene) to the black star (the LGM background settings), and then to the black circle (LGM). The decrease in ocean surface box temperatures leads to a drop in CO<sub>2</sub> of ~20 ppm and a lightening of  $\delta^{13}$ C by ~0.6‰, owing to the increased solubility of CO<sub>2</sub> in colder water, and the increasing fractionation of  $\delta^{13}$ C with decreasing temperatures, which leaves more <sup>12</sup>C in the atmosphere. There is limited impact on  $\Delta^{14}$ C. Increasing salinity slightly reverses these changes to CO<sub>2</sub> and  $\delta^{13}$ C. Reducing sea surface area and volume slightly increases CO<sub>2</sub> and <u>increases</u>  $\Delta^{14}$ C as the ocean's capacity to take up these elements is reduced. Slowing down the piston velocity in the polar Southern Ocean box, as a proxy for increased sea ice

- 5 cover, slightly reduces CO<sub>2</sub> (reduced outgassing), increases  $\Delta^{14}$ C (slower rate of invasion to the ocean) and increases  $\delta^{13}$ C as the de-gassing sea-to-air fractionation of  $\delta^{13}$ C is reduced. Finally, increasing the rate of atmospheric radiocarbon production creates a shift in  $\Delta^{14}$ C (horizontal shift in Fig. 10) towards the LGM levels (black <u>star and</u> circle in Fig. 10). In aggregate, these changes lead to a fall in CO<sub>2</sub> of ~35 ppm, a fall in  $\delta^{13}$ C of ~-0.5‰ and an increase in  $\Delta^{14}$ C of ~300‰.
- From the black star in Fig. 10, the "LGM state", we perform a focussed sensitivity test on key hypothesised drivers of LGMHolocene carbon cycle changes (Sigman et al., 2010) (Kohfeld and Ridgewell, 2009; Sigman et al., 2010). These are: slower global overturning circulation GOC (Ψ<sub>1</sub>), slower AMOC (Ψ<sub>2</sub>), reduced deep-abyssal ocean mixing (γ<sub>1</sub>) and a stronger biological pump (Z). The Z biology global biological production parameter, varied across 5-10 mol C m<sup>-2</sup> yr<sup>-1</sup> (i.e. increased), can deliver the LGM CO<sub>2</sub> changes, but steers δ<sup>13</sup>C and Δ<sup>14</sup>C away from their LGM values. γ<sub>1</sub> drives ancillary changes in all three variables, suggesting it is not the driver of the LGM atmospheric changes but may play a modulating role. Both Ψ<sub>1</sub> (3-29
- 15 Sv) and  $\Psi_2$  (3-19 Sv) experiments run very close to the LGM data values on their own, although neither can deliver a precise hit. LGM state parameter adjustments. Using the posited LGM changes in environmental parameters in Table 3, we establish the LGM foundations for exploring the impacts of varying large scale ocean process parameters towards LGM atmospheric  $CO_2 - \delta^{13}C - \Delta^{14}C$  data space. The red circle is our starting point for the late Holocene. From the LGM state foundation (black star), variation of global overturning circulation ( $\Psi_1$ ), Atlantic meridional overturning circulation ( $\Psi_2$ ) and the soft-tissue
- 20 biological pump (Z), drives atmospheric CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C into the vicinity of their LGM data values (black circle). The biological pump Z can effect the LGM CO<sub>2</sub>outcome, but steers  $\delta^{13}$ C away from the LGM value. Both  $\Psi_1(3-29 \text{ Sv})$  and  $\Psi_2(3-19 \text{ Sv})$  experiments run very close to the LGM data values on their own, although neither can deliver a precise hit

Using the literature-referenced Holocene and LGM background parameter states, and informed by the sensitivity analysis in Fig. 10, we take advantage of the modelSCP-M's fast run time to perform thousands of multi-variant simulations over the

- 25 free-floating  $\Psi_1$ ,  $\Psi_2$ ,  $\gamma_1$  and Z parameter spaces, using the SCP-M batch module, and perform an optimisation routine against the data for each data period. The SCP-M batch module cycles through each set of parameter combinations, with each model simulation run for 10,000 years. Table 4 shows the experiment parameter ranges for the late Holocene and LGM model-data experiments.
- 30 The parameter values for the experiments were informed by the sensitivity tests shown in Fig. 4 and Fig. 10. For example, the responses of atmospheric CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C to variations in  $\Psi_1$ ,  $\Psi_2$  and Z, lead us to cater for lower values for  $\Psi_1$  and  $\Psi_2$  (weaker ocean circulation) and higher values for Z (increased biological productivity) in the LGM experiment. Where the experiments resulted in a parameter value at the limit of the input range, the range was widened and the experiment re-run.

Denomator (unit)	L. Holocene	LGM exp	
Parameter (unit)	exp range	range	
$\underbrace{\Psi_1}(\underline{Sv})$	20-35	15-30	
$\underline{\Psi}_2$ (Sv)	15-25	5-20	
$\gamma_{1}(Sv)$	15-30	5-35	
$\underset{\sim}{Z} (\underbrace{\mathrm{mol}} \mathbf{C} \operatorname{m}^{-2} \operatorname{yr}^{-1})$	2-7	2-7	

Table 4. Parameter value ranges for the late Holocene and LGM model-data experiments.

The SCP-M script harvests model results and performs a least squares data-results optimisation against the LGM and late Holocene data for atmospheric CO<sub>2</sub>, atmospheric and ocean  $\Delta^{14}$ C and  $\delta^{13}$ C, and also oceanic carbonate ion proxy, to source the best-best-fit parameter values for  $\Psi_1$ ,  $\Psi_2$ ,  $\gamma_1$  and Z (or any parameter specified):

$$Opt_{n=1} = Min \sum_{i,k=1}^{N} \left(\frac{R_{i,k} - D_{i,k}}{\sigma_{i,k}}\right)^2$$
(27)

5 where:  $Opt_{n=1}$  = optimal value of parameters *n*,  $R_{i,k}$  = model output for concentration of each element *i* in box *k*,  $D_{i,k}$  = average data concentration each element *i* in box *k* and  $\sigma_{i,k}$  = standard deviation of the data for each element *i* in box *k*. The standard deviation performs two roles. It reduces the weighting of a variable with an uncertain value data with high uncertainty and also normalises for the different unit scales (e.g. ppm, % and umol kg<sup>-1</sup>), which allows multiple proxies in different units to be incorporated in the optimisation. Where data is unavailable for a box, that element and box combination is automatically nulled from the optimisation routine.

The late Holocene data-optimised results for  $\Psi_1$  (30 Sv) and  $\Psi_2$  (18 Sv) show good agreement with the Talley (2013) observations for  $\Psi_1$  (29 Sv) and  $\Psi_2$  (19 Sv) from the the modern ocean (Table 5). The starting base-global value of Z, of 5 mol C m<sup>-2</sup> yr<sup>-1</sup>, is returned in the experiment. The experiment also successfully returns values for atmospheric CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C within standard error for the late Holocene data series.

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The ocean and atmosphere model-<u>SCP-M</u> results for the LGM (bold stars) and late Holocene (transparent stars) experiments using the optimised parameter settings in Table 5, are plotted in Fig. 11 along with the corresponding data (blue dots with error bars for standard deviation). The experiment provides results within the error bounds of data for most of the box regions in both scenarios, and an excellent fit to the change in the relative distribution of the proxies between ocean boxes and the atmosphere

20 which is preserved in the LGM and late Holocene data. A key feature of the ocean  $\delta^{13}$ C data is a depletion of deep ocean  $\delta^{13}$ C in the LGM, shown as a drop in  $\delta^{13}$ C values in the deep (box 4) and abyssal (box 6) boxes, relative to the intermediate box (3). In the LGM  $\delta^{13}$ C data, there is a spread of 1‰ across these water masses, which narrows to 0.3‰ in the late Holocene data. The pattern is replicated in the LGM model experiment, pointing to the important role of changes in abyssal-deep ocean water

Demonster (	Data values L. Holocene	late Holocene ex-	LGM experiment
Parameter (units)	(LGM)	periment results	results
$\Psi_1$ (Sv)	20-30 (na)	30	18
$\Psi_2$ (Sv)	15-25 (na)	18	15
$\gamma_1$ (Sv)	na (na)	28	31
$Z (\mathrm{mol} \mathrm{C} \mathrm{m}^{-2} \mathrm{yr}^{-1})$	2-10 (na)	5	5
At CO <sub>2</sub> (ppm)	275±6 (195±3)	275	<del>197</del> 194
At $\delta^{13}$ C (‰)	-6.35±0.09 (-6.46±0.01)	-6.35	-6.46
At $\Delta^{14}$ C (‰)	20±48 (414±32)	21	400404

**Table 5.** Late Holocene and LGM model-data parameter optimisation and associated atmospheric variable model output. Bold font parameter results indicate those parameters that are free-floating and determined by the model and data in the experiment. The LGM experiment show shows a marked decline in the strength of global overturning circulation  $\Psi_1$  (-12 Sv), and a modest decline in Atlantic meridional overturning circulation  $\Psi_2$  to deliver the LGM atmosphere and ocean data signal. A modest decline minor increase in deep-abyssal mixing  $\gamma_1$  is also seen

flows, via  $\Psi_1$ , in delivering the ocean  $\delta^{13}$ C data patterns. The model shift in  $\delta^{13}$ C in the deep box (box 4) of 0.6% from the LGM to late Holocene, is in good agreement with a global deepwater estimate of 0.49 ±0.23% by Gebbie et al. (2015) and an earlier estimate of 0.46% by Curry et al. (1988). The average atmospheric  $\delta^{13}$ C value remains largely unchanged between the two periods, due to the  $\delta^{13}$ C-buffering effect of the terrestrial biospherewhich takes up-, which causes net uptake CO<sub>2</sub> in the Holocene period (increases atmospheric  $\delta^{13}$ C), and respires net respiration of CO<sub>2</sub> in the LGM period (decreases atmospheric

 $\delta^{13}$ C).

5

The model results also closely replicate the reduction in deep-to-shallow ocean compositional gradient in  $\Delta^{14}$ C data moving from the LGM to Holocene period (e.g. Skinner and Shackleton, 2004; Skinner et al., 2010; Burke and Robinson, 2012; Skinner et al., 2015; Chen et al., 2015; Hines et al., 2015; Ronge et al., 2016). The LGM data shows a spread of ~300% between abyssal

- 10 (box 6) and intermediate (box 3) waters, and deep (box 4) versus surface (boxes 1, 2 and 7) boxes. In the late Holocene data, the spread is narrowed to ~100%. This data observation was popularly characterised as the result of increased Southern Ocean upwelling of  $\Delta^{14}$ C-depleted deep water into intermediate and shallow depths in the Holocene (e.g. Skinner et al., 2010; Burke and Robinson, 2012; Skinner et al., 2015). A slow-down in Southern Ocean upwelling in the LGM allows  $\Delta^{14}$ C-depleted water to accumulate in the deep or abyssal ocean and a widening in the  $\Delta^{14}$ C gradient between deep and shallow waters.
- 15 In SCP-M, this is simulated by lower values for  $\Psi_1$  and  $\Psi_2$ . The low latitude surface box (box 1) enrichment in  $\Delta^{14}$ C in planktonic foraminifera in the LGM, is replicated by the increased atmospheric production rate of radiocarbon applied to the LGM experiment, combined with slower ocean circulation.

Carbonate ion proxy data coverage is sparse, however SCP-M results are shown for comparison against the data that is available. with sparse carbonate proxy data (Fig. 11 bottom panel). The model results for the carbonate ion proxy mirror the

20 limited variation in the data between the LGM and late Holocene. The changes are most pronounced in the surface boxes

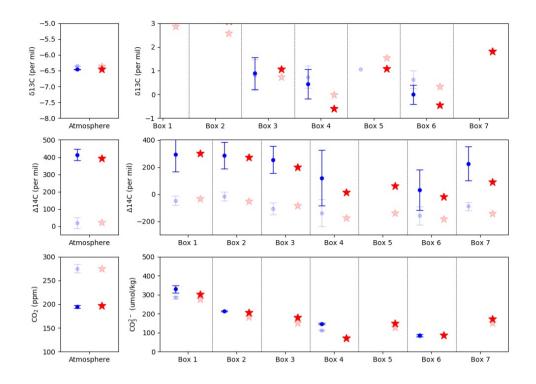


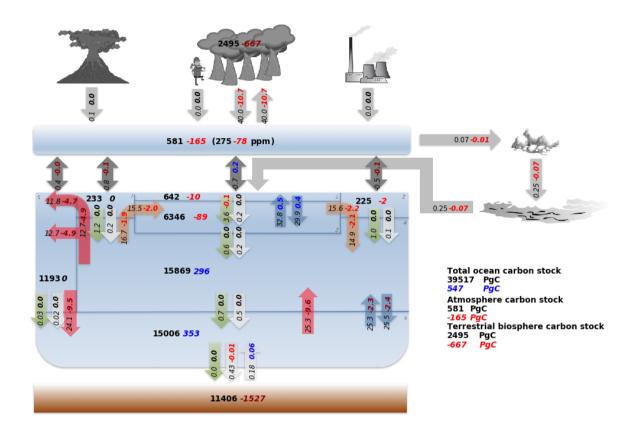
Figure 11. LGM atmosphere and ocean data-optimised model results. Left panels shows the atmospheric carbon cycle results from SCP-M (red stars) plotted against LGM average data values (blue dots) with standard error bars. The right panel shows the <u>SCP-M</u> ocean results plotted against LGM average ocean data where available. Corresponding Holocene data and results shown with transparent markers. The data-optimised model results show a close match for the LGM atmospheric data and most of the ocean data. The ocean  $\delta^{13}$ C and  $\Delta^{14}$ C data show an increased compositional gradient between shallow-intermediate depths (boxes 1-3) and deep-abyssal depths (boxes 4 and 6), an outcome effected replicated in the corresponding model results mainly by a slower global overturning circulationGOC. Data sources are shown in Table 2.

(boxes 1 and 2), which are under the influence of atmospheric  $CO_2$ , and attenuate somewhat in the deeper boxes (boxes 4 and 6). Yu et al. (2014b) interpreted the relatively small changes in carbonate ion in the deepest ocean (box 6) as the result of efficient buffering of deep water pH by carbonate dissolution, most notably in the Pacific Ocean. The model result for the deep box (box 4) goes against the LGM-Holocene variation in the data, but given there is only one data point for this part of the ocean, and the variation itself is small, it is an uncertain outcome.

5

10

The LGM scenario shows important changes in the carbon redistributive behaviour of the ocean (Fig. 12). The stock of carbon increases in abyssal and deep boxes (blue text denotes the increase in PgC from late Holocene to LGM), and reduces in the intermediate, low latitude surface and northern surface boxes (red text denotes the decrease in PgC from late Holocene to LGM). The amount of carbon upwelled to the sub polar surface box by the global overturning circulation and deep boxes by GOC ( $\Psi_1$ ), drops by ~ 5-10 PgC yr<sup>-1</sup>, with the most pronounced changes taking place at the abyssal-deep box boundary.



**Figure 12.** Late Holocene (figures in black text) and LGM (shown as PgC changes from the late Holocene) carbon stocks and fluxes modelled with SCP-M. For the LGM blue text shows positive changes (in PgC), red text shows negative and black = no change. LGM parameter values selected from the 4-parameter LGM experiment in Table 5. The LGM setting leads to a transfer of carbon from the atmosphere and terrestrial biosphere to the deep ocean. Carbon upwelled into the surface ocean falls, leading to reduced outgassing of  $CO_2$  in the Southern Ocean boxes. Continental weathering and river fluxes of carbon are also reduced due to lower atmospheric  $CO_2$ , leading to a change in amount of CaCO<sub>3</sub> burial and dissolution in marine sediments until equilibrium is restored with river input to the oceans. Box numbers on the diagram refer to ocean regions specified in Fig. 1.

The slower upwelling rate of carbon causes a reduced outgassing rate of  $CO_2$  from the sub polar box to the atmosphere. The weaker flux of  $\Psi_2$  also brings a reduced DIC load into the intermediate depth ocean, the driver for lower DIC content in the intermediate and surface boxes. The optimised parameter run for the late Holocene results in a terrestrial biosphere carbon pool of 2,495 Pg C, which is fortuitously close to the preindustrial estimate of Raupach et al. (2011) (2,496 Pg C), at the top end of

- 5 acceptable values in Francois et al. (1999), and close to the "active" land carbon pool of 2,370 ± 125 estimated by Ciais et al. (2012). In the optimised LGM model results, the terrestrial biosphere is reduced to 1,828 Pg C, a differential of by 667 Pg C between the two periodsfrom the late Holocene value, which is towards the upper bound of recent estimates of the delta this change (0 700 Pg C e.g. Ciais et al. (2012), Peterson et al. (2014)), but within uncertainty bounds. For example, Peterson et al. (2014) estimated a variation of 511 ± 289 Pg C in the terrestrial biosphere carbon stock based on whole of ocean δ<sup>13</sup>C data, the
- 10 same data used in this exercise. According to Francois et al. (1999), palynological and sedimentological data showed deltas in the range of 700 to 1350 Pg Cinfer that the terrestrial biosphere carbon stock was 700-1350 PgC smaller in the LGM, than the present. Ciais et al. (2012) pointed to a growth of a large inert carbon pool in steppes and tundra during the LGM, which may have modulated some of the active biosphere carbon signal (i.e. reduced terrestrial biosphere), a factor not explicitly covered in our modelling exercise. The terrestrial biosphere is clearly a key part of the LGM-late Holocene carbon cycle transition.
- 15 The atmosphere-enriching fractionation of  $\delta^{13}$ C by the terrestrial biosphere during the deglacial period, effectively reverses the effects of the release of  $\delta^{13}$ C-depleted carbon from the deep ocean to the atmosphere at the termination and leaves atmosphere  $\delta^{13}$ C almost unchanged from LGM values as a result (Schmitt et al., 2012). The DIC:Alk balances in the abyssal ocean during the LGM also drive subtle changes in the balance of carbonate out-flux by sinking and influx from sediment dissolution, which build up to substantial differences in the sediment carbon stock between the LGM and Holocene simulations, mainly due to
- 20 the timeframes modelled in the SCPM spin-up for each scenario (15 kyr).

Late Holocene (figures in black text) and LGM (blue text) carbon stocks and fluxes modelled with SCP-M. LGM parameter values selected from the 4 parameter LGM experiment in Table 5. The LGM setting leads to a transfer of carbon from the atmosphere and terrestrial biosphere to the deep ocean. Carbon upwelled into the surface ocean falls, leading to reduced outgassing of CO<sub>2</sub>in the Southern Ocean boxes. Continental weathering and river fluxes of carbon are also reduced due to

25 lower atmospheric CO<sub>2</sub>, leading to a change in amount of CaCO<sub>3</sub>burial and dissolution in marine sediments until equilibrium is restored with river input to the oceans. Box numbers on the diagram refer to ocean regions specified in Fig. 1. The-

## **5** Discussion

## 5.1 Model advantages and limitations

30 In this paper we introduce SCP-M, a box model of the global carbon cycle. We demonstrate its application to the modern and future carbon cycle with anthropogenic emissions, and in a model-data results experiment of the LGM-late Holocene carbon cycle transition. SCP-M is a simple, easy to use model of the carbon cycle, and its fast run time enables comprehensive scenario analysis or optimisations for scenario or hypothesis-testing. It takes approximately 30 seconds to complete a 10,000 year simulation, making the model useful for long-term paleo- reconstructions of the carbon cycle. Our LGM-late Holocene experiment includes broad variations in GOC, AMOC, deep-abyssal mixing and global biological productivity. Our experiments cover 20,000 parameter combinations across the LGM and late Holocene proxy data, removing the possibility of confirmation bias in our experiments. Furthermore, the model's simplified topology, albeit consistent with observationally-based understanding

5 of the ocean, makes it accessible to a wide user-group and potentially useful as a teaching aid to illustrate high-level concepts in the carbon cycle. The model contains data modules that directly integrate data via box-mapping and averaging processes, for calibration, and for model-data experiments. The model also includes a model-data optimisation routine to elicit parameter values that best-fit the data.

The model described here here does not distinguish between the Atlantic/Indo-Pacific ocean basins, which is a large

- 10 simplification. We argue that this is feasible for testing high level hypotheses, for example involving large-scale ocean processes across the LGM/Holocene time periods, and the model is demonstrated to produce appropriate results in such an application. However, this framework may not be useful for testing localised or detailed problems. Given it is a box model, there are other simplifications, including a rigid and perhaps even somewhat arbitrary treatment of box boundaries. Furthermore, for some hypothesis tests the box boundaries themselves may need to be a dynamic, model-determined output. In our LGM-Holocene
- 15 example, we didn't vary the abyssal box thickness across the time periods, although this could be done very easily to target that scenario (e.g. Curry and Oppo, 2005). A key drawback of the model is that it can identify the cause of changes in proxy element concentrations, in terms of parametrised processes, but cannot diagnose the root cause of these changes. For example, with this model we cannot directly answer the question of what causes GOC, AMOC or biological productivity to vary on glacial/interglacial cycles, but combined with data we can propose which of these does vary.

## 20 5.2 Modern carbon cycle simulations

Our simple forcing of anthropogenic CO<sub>2</sub> emissions and SST under the IPCC's RCPs, shows that SCP-M can reproduce the model results of the more complex CMIP5 models for future scenarios. The SCP-M results for atmospheric CO<sub>2</sub>, fluxes of carbon and accumulation of carbon in the various carbon reservoirs, line up in the range of CMIP5 model projections. More importantly, SCP-M is shown to replicate the historical data over the period 1751-2016 for atmospheric CO<sub>2</sub>,  $\delta^{13}$ C and  $\Delta^{14}$ C.

25 The historical period is an excellent test piece for carbon cycle models because it incorporates the influences of anthropogenic emissions, atmospheric bomb testing, the dynamic adjustment of the Earth system in response, and plenty of data observations for comparison. The radioactive decay and dispersal of bomb-produced <sup>14</sup>C, provides an excellent 'time clock' for the fluxes in the carbon cycle, particularly air-sea gas exchange and ocean circulation. Our experiment incorporates forcing of atmospheric <sup>14</sup>C during 1954-63, and the model appropriately replicates the take-up of bomb <sup>14</sup>C by the ocean from the atmosphere, in the

## 30 following years.

However, the SCP-M modern/future simulations are simple, and fail to take account of potential feedbacks in the carbon cycle. These may include a wind shift-induced slowing of AMOC and thermocline mixing, or a response of ocean biological productivity to changed pCO<sub>2</sub>, temperature and DIC in the surface ocean (e.g. Meehl et al., 2007; IPCC, 2013a, b; Moore et al., 2018). To simulate such feedbacks, the relevant parameters would need to be forced in SCP-M, rather than the dynamical response

which would be expected in more complex Earth system models. The value of a model such as SCP-M is in undertaking 'what-if' type analysis, to probe the effects of such changes. This would prove useful for the high-level testing of, for example, negative emissions processes such as alkalinity or iron seeding of the ocean, rock waste fertilisation and afforestation/reforestation on land, or marine fauna management, as tools for reducing atmospheric  $CO_2$ , in an experiment with prescribed parameters for

5 key carbon cycle fluxes.

### 5.3 LGM-late Holocene modelling

Our 'brute-force' style model-data optimisation using SCP-M and published data suggests suggests that variations in the strength of the large scale ocean physical processes, particularly the global overturning circulationGOC and AMOC, can account for the LGM to Holocene carbon cycle changes inferred in the proxy data, but critically are accompanied by a number of ancillary

- 10 processes such as SST, sea-ice cover and the terrestrial biosphere. Importantly, this result is observed on account of ocean and atmosphere data, across CO<sub>2</sub>,  $\delta^{13}$ C,  $\Delta^{14}$ C and the carbonate ion proxy. This is not a new finding, corroborating the model-data conclusion of Menviel et al. (2016), box modelling of Toggweiler (1999) and <sup>14</sup>C proxy data findings of Sikes et al. (2000) and Skinner et al. (2017), but is at odds with Muglia et al. (2018) who found for a substantially weakened AMOC and enhanced biological productivity in the Southern Ocean, with no role examined for GOC. Kurahashi-Nakamura et al. (2017) had an
- 15 altogether different finding, modelling a stronger yet shallower AMOC during the LGM. Many such studies focus exclusively on the Atlantic Ocean, perhaps due to the presence of AMOC, and the more detailed proxy data coverage in that basin. Curry and Oppo (2005) provided striking  $\delta^{13}$ C transect reconstructions of the LGM and late Holocene Atlantic Ocean, which evidenced large changes in the basin  $\delta^{13}$ C stratigraphy across the two time periods.

Talley (2013), re-emphasised the importance of the Pacific Ocean-and Indian Oceans' overturning circulation limb in the

- 20 global overturning circulation ocean circulation regime, which implies by extension that it is an important part of the global Earth's carbon cycle alongside the Atlantic Ocean, a finding. This finding was corroborated by Skinner et al. (2017) in a recent review of Pacific Ocean radiocarbon data. The data-model-model-data results using SCP-M suggest that the global overturning circulation was GOC was substantially reduced during the LGM, accompanying enhanced storage of isotopically-depleted carbon in the abyssal and deep ocean from atmospheric and terrestrial biosphere sources. There is support for such a composite
- 25 mechanism involving a number of physical changesalongside an oceanic driver (e.g. Sigman et al., 2010; Hain et al., 2010; Ferrari et al., 20 Our model-data work provides support for the argument that movement of volumes of carbon, greater than that stored in the deep/abyssal Atlantic alone, caused the atmospheric CO<sub>2</sub> increase at the last glacial termination. Such a large movement of carbon to/from the global abyssal ocean, is invoked due to the large, opposite movement in atmospheric CO<sub>2</sub> from the terrestrial biosphere. During the LGM, the terrestrial biosphere was reduced relative to the modern period, which was a
- 30 source of CO<sub>2</sub> to the atmosphere, and rebounded from the LGM to the Holocene, becoming a sink of CO<sub>2</sub> during that period (Francois et al., 1999; Ciais et al., 2012; Peterson et al., 2014; Hoogakker et al., 2016). Incorporating the terrestrial biosphere in the modelling experiments, increases the magnitude of carbon uptake/release required from the ocean to satisfy the LGM and late Holocene atmospheric CO<sub>2</sub> and critically  $\delta^{13}$ C data (even when incorporating SST, salinity, sea-ice cover proxy and

ocean volume changes). The finding underscores the importance of incorporating multiple data-proxies and carbon reservoirs in glacial/interglacial carbon cycle modelling.

Our model-data experiments did not find for a role of changed marine biological production in the LGM/late Holocene transition. However, this finding was the result of testing for variations in the global value of the ocean biological productivity,

5 impacting on all surface ocean boxes in SCP-M. Other studies (e.g. Menviel et al., 2016; Muglia et al., 2018) focussed specifically on the Southern Ocean biological productivity and identified its potential role in the LGM atmospheric CO<sub>2</sub> drawdown. The Southern Ocean marine biology, in particular is posited as a candidate for driving glacial/interglacial cycles of CO<sub>2</sub> (e.g. Martin, 1990; Martinez-Garcia et al., 2014).

### 6 Conclusions

- 10 The SCP-M carbon cycle box model was constructed for the purposes of scenario or hypothesis testing (quickly and easily), data-model-model-data integration and inversion, paleo reconstructions, and analysing the distribution of anthropogenic emissions in the carbon cycle. The model contains a full ocean-atmosphere-terrestrial carbon cycle with a realistic treatment of ocean processes. Despite being relatively simple in concept and construct, SCP-M can account for a range of paleo and modern carbon cycle observations. The model applications illustrated here include integration with datasets from the present
- 15 day (GLODAPGLODAPv2, IPCC) and ocean paleo proxy data across the LGM and late Holocene periods. Simulations of the modern carbon cycle indicate that SCP-M provides a realistic representation of the dynamic shocks from human industrial and lad-land use change emissions and bomb  $14C_{\perp}^{14}C$ . A model-data experiment using LGM and late Holocene CO<sub>2</sub>,  $\delta^{13}$ C,  $\Delta^{14}$ C and carbonate ion proxy, is able to resolve parameter values for ocean circulation, mixing and biology while reproducing model results that are very close to the proxy data for both time periods. The experiment results indicate that the LGM
- 20 to Holocene carbon cycle transition can be wholly explained by variations in the strength of global overturning circulation and Atlantic meridional overturning circulation, when combined with a number of background changes such as sea surface temperature, salinity, sea-ice cover, ocean volume and a varied atmospheric radiocarbon production rate. Further work on data quality and analysis is required to validate this finding, which is the subject of a separate paper. The results show promise in helping to <u>further</u> resolve the LGM to Holocene carbon cycle <u>dilemma transition</u> and point towards an ongoing application for data-constrained models such as SCP-M.
- 25 data-constrained models such as SCI

#### 7 Code availability

The full model code and all file dependencies, with user instructions are located at: https://doi.org/10.5281/zenodo.1310161

#### 8 Data availability

No original geochemical data was created in the course of the study, but any data used necessary to run the model is located 30 with the model code at: https://doi.org/10.5281/zenodo.1310161

#### 8.1 Treatment of carbon isotopes

Carbon isotopes are an important component in the model given they are key sources of proxy data. The carbon isotopes are treated largely the same as carbon in terms of fluxes in the model, with some modification. For example, carbon isotopes are

5 typically reported in delta notation ( $\delta^{13}$ C and  $\Delta^{14}$ C), which is the % deviation from a standard reference value in nature. The model operates with a metric mol m<sup>-3</sup> for ocean element concentrations and flux parameters. In order to incorporate  $\delta^{13}$ C and  $\Delta^{14}$ C into this metric for the operation of model fluxes, the method of Craig (1969) is applied to convert starting data values of  $\delta^{13}$ C and  $\Delta^{14}$ C from delta notation in %, into mol m<sup>-3</sup>:

$$\underline{\overset{13}{\underline{C_i}}} = (\frac{\delta 13C_i}{1000} + 1)R\underline{\underline{C_i}}$$

10 Where  ${}^{13}C_i$  is the  ${}^{13}C$  concentration in box i in mol m<sup>-3</sup>,  $\delta^{13}C_i$  is  $\delta^{13}C$  in % in box i, R is the  ${}^{13}C_i$  ratio of the standard (0.0112372 as per the Pee Dee Belemnite value) and  $C_i$  is the DIC concentration C in box i, in mol m<sup>-3</sup>.

The calculation in Eq. (24) backs out the fraction  $\frac{^{13}C}{^{12}C}$  in the data or model starting value, multiplies that by the standard reference value and then by the starting model concentration for DIC,  $C_i$ , in each box. This is based on an assumption that the fraction  $\frac{^{13}C}{^{12}C}$  is the same as  $\frac{^{13}C}{^{101} \text{ carbon}}$ . For example, there are three isotopes of carbon, each with different atomic weights. They occur in roughly the following abundances:  $^{12}C \sim 98.89\%$ ,  $^{13}C \sim 1.11\%$  and  $^{14}C \sim 1x10^{-10}\%$ . Therefore, an assumption

- 15 They occur in roughly the following abundances: <sup>12</sup>C ~98.89%, <sup>13</sup>C ~1.11% and <sup>14</sup>C ~1x10<sup>-10</sup>%. Therefore, an assumption of <sup>13</sup>C/<sup>12</sup>C = <sup>13</sup>C/<sub>total carbon</sub>, is an approximation, but it is close. Once converted from δ<sup>13</sup>C (%e) to <sup>13</sup>C in mol m<sup>-3</sup>, the model's ocean parameters can operate on <sup>13</sup>C concentrations in each box, according to the same model flux equations set out in this paper. The <sup>13</sup>C model results are then converted back into δ<sup>13</sup>C notation at the end of the model run, in order to compare the model output with data which is reported in δ<sup>13</sup>C format. The same method is applied to Δ<sup>14</sup>C. The reference standard value for <sup>14</sup>C/<sub>12</sub>C is
  20 1.2x10<sup>-12</sup>as per Craig (1969). Where fractionation of carbon isotopes takes place, fractionation factors are simply added to
- 20 1.2x10<sup>-12</sup>as per Craig (1969). Where fractionation of carbon isotopes takes place, fractionation factors are simply added to the model flux equations as per below.-

#### 8.0.1 Biological fractionation of carbon isotopes

Biological processes change the carbon isotopic composition of the ocean. When photosynthetic organisms form near the ocean surface, they preferentially partition  ${}^{12}$ C, the lighter carbon isotope, thereby enriching the surface box in  ${}^{13}$ C and relatively

25 enriching the underlying boxes in <sup>12</sup>C during remineralisation. As such, the ocean displays depletion in  $\delta^{13}$ C in the deep ocean relative to the shallow ocean (e.g. Curry and Oppo, 2005). A fractionation factor, *f*, is simply multiplied by the biological flux in Eq. (13) to calculate marine biological fractionation of <sup>13</sup>C:

$$\left[\frac{d^{13}C_i}{dt}\right]_{{}^{13}bio} = f * S_{st}$$

Where *f* is the biological fractionation factor for stable carbon (e.g.  $\sim 0.977$  in Toggweiler and Sarmiento (1985)), and  $S_{st}$  is 30 the ratio of <sup>13</sup>C to <sup>12</sup>C in the reference standard. The typical  $\delta^{13}$ C composition of marine organisms is in the range -23 to -30%.

#### 8.0.1 Fractionation of carbon isotopes during air-sea gas exchange

Fractionation of carbon isotopes also takes place during air-sea exchange. The lighter isotope, <sup>12</sup>C, preferentially partitions

into the atmosphere. This leads to the heavily depleted  $\delta^{13}$ C signature for the atmosphere, relative to the ocean. The approach 5 to capture this effect is per Siegenthaler and Munnich (1981):

$$\left[\frac{d^{13}C_i}{dt}\right]_{^{13}gas} = \lambda [\tau R_{At}pCO_{2At} - \pi R_ipCO_{2i}]$$

Where  $\lambda$  is a kinetic fractionation factor. The  $\lambda$ "kinetic fractionation effect" (Zhang et al., 1995) accounts for the slower equilibration rate of carbon isotopes  $^{13}$ C and  $^{14}$ C across the air-sea interface, compared with  $^{12}$ C (Zhang et al., 1995).  $R_{AT}$  is the ratio of <sup>13</sup>C to <sup>12</sup>C in the atmosphere,  $R_i$  is the ratio of <sup>13</sup>C to <sup>12</sup>C in surface ocean box *i*. pCO<sub>2At</sub> is the atmospheric 10 pCO<sub>2</sub> and pCO<sub>2</sub> is the pCO<sub>2</sub> in the surface ocean boxes.  $\tau$  and  $\pi$  are the fractionation factors of carbon isotope from air to sea and sea to air respectively. These are temperature dependent and are calculated using the method of Mook et al. (1974), although there are other estimates in the literature (e.g. Zhang et al., 1995). Siegenthaler and Munnich (1981) estimated air-sea  $\frac{^{13}C}{^{12}C}$  fractionation in the range -1.8 to -2.3%, and sea-air fractionation in the range -9.7 to -10.2% using a range of estimation methods and temperatures. 15

#### 8.0.1 Source and decay of radiocarbon

Natural radiocarbon is produced in the atmosphere from the collision of cosmic ray produced neutrons with nitrogen. The production rate is variable over time and can be influenced by changes in solar winds and the earth's geomagnetic field intensity (Key, 2001). A mean production rate of 1.57 atom  $m^{-2}s^{-1}$  was estimated from the long term record preserved in tree-rings although more recent estimates approach 2 atom  $m^{-2}s^{-1}$  (Key, 2001). For use in the model, this estimate needs to be converted 20 into mols  $s^{-1}$ . We first convert atoms to mols by dividing through by Avogrado's number (~6.022x10<sup>23</sup>). The resultant figure is multiplied by the earth's surface area ( $\sim$ 5.1x10<sup>18</sup> cm<sup>-2</sup>) to yield a production rate of 1.3296x10<sup>-5</sup> mols s<sup>-1</sup>. This source rate, divided through by the molar volume of the atmosphere, is added to the solution for atmospheric radiocarbon. A decay timescale for radiocarbon of 8,267 years, is applied to each box in the model.

- Author contributions. CO undertook model build, data-gathering, modelling and model-data experiments. AH provided the oceanographic 25 interpretation, supervised model design, modelling work and designed the model-data experiments. ME provided input into model design, designed model-data experiments and oversaw the modelling of the marine biology and isotopes. BO provided input into model design, oversaw the modelling of carbonate chemistry, marine sediments and interpretation of LGM-Holocene hypotheses. SE designed model-data experiments and oversaw the modelling of the marine biology and carbonate pump. All authors contributed to drafting and reviewing the
- 30 document.

Competing interests. The authors declare that they have no conflict of interest.

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# Appendix A: Parameters, data sources and dimensions

Model item	Value	Source	
Ocean surface area (m <sup>2</sup> )	3.619x10 <sup>14</sup>	https://www.ngdc.noaa.gov/mgg/global/etopo1_ocean_volumes.html	
Average ocean depth (m)	4,000	https://www.ngdc.noaa.gov/mgg/global/etopo1_ocean_volumes.html	
Mass of the atmosphere (kg)	5.1x10 <sup>18</sup>	https://nssdc.gsfc.nasa.gov/planetary/factsheet/earthfact.html	
Mean molecular weight of atmosphere (moles $\operatorname{gram}^{-1}$ )	28.97	https://nssdc.gsfc.nasa.gov/planetary/factsheet/earthfact.html	
Temperature and salinity of the ocean	Various	GLODAPv2 dataset (https://www.nodc.noaa.gov/ocads/oceans/ GLODAPv2/)	
Modern ocean element concentrations	Various	GLODAPv2 dataset (https://www.nodc.noaa.gov/ocads/oceans/ GLODAPv2/)	
$\Psi_1$ global overturning circulation (Sv)	29.0	Talley (2013)	
$\Psi_2$ NADW overturning (Sv)	19.0	Talley (2013)	
$\gamma_1$ abyssal-deep mixing parameter (Sv)	19.0	Talley (2013)	
$\gamma_2$ thermocline mixing (Sv)	40	Toggweiler (1999)	
Z biological soft carbon productivity @ $100 \text{m} (\text{mol C m}^{-2} \text{ yr}^{-1})$	1 - 71 - 6	Martin et al. (1987)	
Martin b scalar value	0.75	Berelson (2001)	
Air-sea exchange velocity (m day $^{-1}$ )	3.0	Toggweiler (1999)	
<sup>13</sup> C air-sea fractionation factors	0.9989 - 0.999	Mook et al. (1974)	
<sup>14</sup> C air-sea fractionation factors	0.98 - 0.998	Toggweiler and Sarmiento (1985)	
<sup>13</sup> C "thermodynamic" air-sea factor	0.99915	Schmittner et al. (2013)	
<sup>14</sup> C "thermodynamic" air-sea factor	0.999	Toggweiler and Sarmiento (1985)	
Organic $\delta^{13}$ C fractionation factor	$\sim 0.975$	Toggweiler and Sarmiento (1985)	
C/P in org "Redfield ratio"	130	Takahashi et al. (1985)	
Rain ratio (carbonate:org in sinking particles)	0.07	Sarmiento et al. (2002)	
$CaCO_3$ dissolution rate (units day <sup>-1</sup> )	0.38	Hales and Emerson (1997)	
n order of CaCO3 dissolution reaction rate	1.0	Hales and Emerson (1997)	
Ksp solubility coefficient for calcite	Various	Mucci (1983)	
Carbon chemistry solubility and dissociation coefficients	Various	Weiss (1974), Lueker et al. (2000)	
Atmosphere radiocarbon production rate (atoms $s^{-1}$ )	~1.6	Key (2001)	
Suess and bomb radiocarbon corrections	Various	Broecker et al. (1980), Key (2001), Sabine et al. (2004), Eide et al. (2017)	
Radiocarbon decay rate (yr <sup>-1</sup> )	1/8267	Stuiver and Polach (1977)	
Volcanic emissions flux $CO_2$ (mol C yr <sup>-1</sup>	5-6x10 <sup>12</sup>	Modified from Toggweiler (2007)Toggweiler (2008)	
River phosphorus flux (Tg yr $^{-1}$ )	15.0	Compton et al. (2000)	

Table 6. SCP-M model dimensions, model parameter starting values and starting data used for model spin-up.

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