



1	Modeling the effects of litter stoichiometry and soil mineral N
2	availability on soil organic matter formation
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### 17 Abstract

18	Microbial decomposition of plant litter is a crucial process for the land
19	carbon (C) cycle, as it directly controls the partitioning of litter-C between $CO_2$
20	released to the atmosphere versus the formation of new soil organic matter (SOM).
21	Land surface models used to study the C cycle rarely considered flexibility in the
22	decomposer C use efficiency (CUE_d) defined by the fraction of decomposed litter-C $% \left( {{{\rm{CUE}}_d} \right)_d} \right)$
23	that is retained as SOM (as opposed to be respired). In this study, we adapted a
24	conceptual formulation of $CUE_d$ based on assumption that litter decomposers
25	optimally adjust their $\text{CUE}_d$ as a function of litter substrate C to nitrogen (N)
26	stoichiometry to maximize their growth rates. This formulation was incorporated into
27	the widely used CENTURY soil biogeochemical model and evaluated based on data
28	from laboratory litter incubation experiments. Results indicated that the CENTURY
29	model with new $\text{CUE}_d$ formulation was able to reproduce differences in respiration
30	rate of litter with contrasting C:N ratios and under different levels of mineral N
31	availability, whereas the default model with fixed $\text{CUE}_{d}$ could not. Using the model
32	with adapted $\text{CUE}_d$ formulation, we also illustrated that litter quality affected the
33	long-term SOM formation crucially. Litter with a small C:N ratio tended to form a
34	larger SOM pool than litter with larger C:N ratios, as it could be more efficiently
35	incorporated into SOM by microorganisms. This study provided a simple but effective
36	formulation to quantify the effect of varying litter quality (N content) on SOM
37	formation across temporal scales. Optimality theory appears to be suitable to predict
38	complex processes of litter decomposition into soil C, and to quantify how plant
39	residues and manure can be harnessed to improve soil C sequestration for climate
40	mitigation.
41	
42	Keywords: microbial carbon use efficiency, litter decomposition, litter stoichiometry,

- 43 soil organic matter, litter decay model, nitrogen
- 44





## 45 **1 Introduction**

46	Plant litter decomposition plays a key role in global carbon (C) cycle, thus
47	needs to be well represented in land surface models. The decomposition and
48	transformation processes of plant litter control the formation of soil organic matter
49	(SOM) (Prescott, 2010; Schmidt et al., 2011; Walela et al., 2014; Cotrufo et al., 2015)
50	and associate immobilization and mineralization of essential plant nutrients
51	(Moorhead and Sinsabaugh, 2006; Parton et al., 2007; Manzoni et al., 2008; Manzoni
52	and Porporato, 2009). Hence a reliable litter decay model is necessary for estimating
53	soil C balance and turnover of ecosystem C (Allison, 2012; Bonan et al., 2013;
54	Wieder et al., 2013; Campbell and Paustian, 2015). In particular, a realistic
55	representation of litter decomposition process in land surface models is also helpful to
56	decrease the uncertainties in predicted effects of climate change and anthropogenic
57	management on ecosystems (Gholz et al., 2000; Campbell and Paustian, 2015; Luo et
58	al., 2016). As litter decomposition is a very complex process determined by climate
59	(e.g. temperature and moisture), litter quality (e.g. nitrogen (N) concentration), soil
60	nutrients and the physiological characteristics of microorganisms (Lekkerkerk et al.,
61	1990; Prescott, 2010; Manzoni et al., 2012; Frey et al., 2013; Sinsabaugh et al., 2013;
62	Garc <i>à</i> -Palacios <i>et al.</i> , 2016), there remain large uncertainties in existing litter decay
63	models (Zhang et al., 2008; Bonan et al., 2013; Campbell and Paustian, 2015). Many
64	litter decay models, especially those incorporated in global land surface models, have
65	ignored microbial mechanisms related to stoichiometry (Bonan et al., 2013; Cotrufo
66	et al., 2013; Wieder et al., 2013; Wieder et al., 2014).
67	Microbial carbon use efficiency (CUE), defined as the ratio of microbial
68	biomass production to material uptake from substrates (Lekkerkerk et al., 1990;
69	Manzoni et al., 2012), is an important emerging property of litter decay, however it
70	has rarely been represented in land surface models. During litter decomposition, only a
71	part of the decomposed litter-C is being transferred into SOM, while the remaining C is
72	being released as $CO_2$ to the atmosphere by microbial respiration. While CUE is a
73	physiological property of each decomposer community, it also determines the





74	ecosystem-level efficiency at which litter C is transferred into SOM a step further from
75	simple microbial incorporation. We denote this efficiency as carbon use efficiency of
76	litter decomposition ( $CUE_d$ ). With higher $CUE_d$ , more plant-produced litter is
77	transformed biologically into SOM, and soil C storage can reach higher values (Six et
78	al., 2006; Sinsabaugh et al., 2013). In most existing soil biogeochemical models,
79	$\mbox{CUE}_d$ of decomposition is assumed to be same to microbial CUE and considered as a
80	fixed parameter. The Verberne model (Verberne et al., 1990) assumes for instance
81	$CUE_d \approx 0.25$ . In the Yasso model (Liski <i>et al.</i> , 2005), the $CUE_d$ is set to 0.2. The
82	CENTURY model (Parton et al., 1988) sets the CUE <sub>d</sub> for decomposition of surface
83	and below ground metabolic litter to 0.55 and 0.45, respectively. In Daisy (Hansen et
84	al., 1991), NCSOIL (Molina et al., 1983) and ICBM (K äterer and Andr én, 2001),
85	$CUE_d = 0.6$ for the labile litter pools and takes a lower value for recalcitrant substrates.
86	Only a few models account for variable $\text{CUE}_d$ , letting it vary in response to substrate
87	stoichiometry (Schimel and Weintraub, 2003) or temperature (Allison et al., 2010).
88	The increasing evidence for a variable microbial CUE leads to a conceptual
89	CUE model which can explain trends in CUE of microorganisms along stoichiometric
90	gradients (Manzoni et al., 2017). The values of CUE <sub>d</sub> used in existing litter decay
91	models are mostly derived from laboratory study on microbial physiology or limited
92	observations at some certain ecosystems, thus show large variations (Parton et al.,
93	1988; Verberne et al., 1990; Hansen et al., 1991; Liski et al., 2005; Manzoni et al.,
94	2012). Recent studies (Manzoni et al., 2008, 2012) suggested that the microbial CUE
95	of terrestrial ecosystems ranges from less than 0.1 for wood decomposers to about 0.5
96	for decomposition of N-rich and high-quality litter. To explain those differences,
97	Manzoni et al. (2017) proposed a conceptual model of microbial CUE based on the
98	assumption that decomposers seek to reach an optimum (maximum) growth rate. This
99	model based on optimality theory links CUE to substrate and decomposers
100	stoichiometry, where the optimal CUE decreases with increasing substrate
101	C-to-nutrient ratio, and increases with soil nutrient availability. The predictions of this
102	theoretical model have been verified by empirical evidence from CUE estimates for
103	different microorganisms in both aquatic and terrestrial ecosystems (Manzoni et al.,





104	2017).
105	Besides variable $\text{CUE}_d$ , many previous studies have also indicated the
106	necessity for litter decomposition models to consider soil mineral N availability as a
107	driver of litter decomposition rates, in particular under low N availability (Wieder et
108	al., 2015; Luo et al., 2016; Averill and Waring, 2018). Biomass of microbes is
109	stoichiometrically constrained (Cleveland and Liptzin, 2007; Franklin et al., 2011;
110	Allison, 2012). When the supply of N from substrates is lower than the demand of
111	microbes to fulfill their specific stoichiometric C:N ratio, microbes will utilize the
112	mineral N (immobilization) (Manzoni et al., 2012). Thus low availability of mineral
113	N can limit microbial activity, and in turn litter decay rate (Manzoni and Porporato
114	2009; Fujita et al., 2014). Although there are fertilization experiments which reported
115	insignificant or even negative impacts of added N on litter decay rate (Fog, 1988;
116	Hobbie and Vitousek, 2000; Finn et al., 2015), many incubation experiments showed
117	a significant decrease of litter decomposition rate with declining mineral N
118	availability (Recous et al., 1995; Hobbie and Vitousek, 2000; Guenet et al., 2010).
119	Moreover, recent modeling studies have indicated that the soil biogeochemical model
120	and Community Land Model could better replicate observed C and N flux when they
121	included the limiting effect of low mineral N (Bonan et al., 2013; Fujita et al., 2014).
122	It seems that soil mineral N can alter litter C flux though affecting both the litter
123	decay rate and the partition of decayed litter-C.
124	Some detailed microbial decomposition models actually have included
125	variable microbial CUE and the limitation of low mineral N availability on litter decay
126	rate (Ingwersen et al., 2008; Pagel et al., 2013; Campbell et al., 2016; Huang et al.,
127	2018), however the parameterization and the evaluating of these models pose
128	significant challenges due to their complexity and limited verification data (Wieder et
129	al., 2014; Campbell and Paustian, 2015). There is still scope for implementing the
130	effects of litter stoichiometry and soil mineral N availability on litter decomposition in
131	litter decay models with more generalizable structure. In particular, it is important to
132	test the role of these effects in models that have been extensively incorporated into
133	land surface model for long-term and large-scale application (e.g. CENTURY, Parton $_{5}$





- 134 *et al.*, 1988). In this study, we incorporated flexible CUE<sub>d</sub> based on substrate C:N ratios
- and mineral N limitations into a soil biogeochemical model based on the CENTURY
- equations to simulate the decomposition and transfer processes of litter-C. The study
- 137 was organized as follows. First, the new model was calibrated and tested against data
- 138 from laboratory litter incubation experiments for its ability to capture the effect of
- 139 variable litter quality and soil mineral N on litter respiration rates (short-term
- simulations). Second, the model parameterized assuming flexible CUE<sub>d</sub> and mineral
- 141 N limitations was used to explore the consequences of such stoichiometric constraints
- 142 on the production of soil organic carbon (SOC) (long-term simulations). With these
- 143 two modeling analyses, we aimed at linking stoichiometric constraints acting on
- short-term (months to years) decomposition dynamics to their consequences on SOC
- 145 accumulation occurring at decadal to centennial time scales.
- 146

#### 147 **2 Materials and methods**

#### 148 2.1 The CENTURY decomposition model

The basis of the litter decay model used in this study is the CENTURY model 149 (Fig. 1), a first-order decay model that describes decomposition as a function of 150 substrate availability and quality, clay content, soil moisture and soil temperature 151 (Parton et al., 1988). Most land surface models (e.g. Kucharik et al., 2000; Sitch et 152 al., 2003; Krinner et al., 2005) adopted a similar structure to simulate the litter and 153 154 soil biogeochemical processes. Dead organic matter in CENTURY is separated into structural and metabolic litter and three SOM pools (active, slow, passive) with 155 different turnover times. There is no explicit representation of microbial biomass in 156 157 CENTURY, instead the biomass of microbes is assumed to be in equilibrium with active SOM and thus implicitly included in the active SOM pool. When C is being 158 159 transferred between pools, a fraction of it is respired to the atmosphere and the remaining fraction (CUE<sub>d</sub> conceptually equal to microbial CUE) enters the acceptor 160 pool. Three of such fractions are defined to characterize the transfer of C from the 161 metabolic litter to the active SOM pool (CUEma), and from the structural litter to 162





163 active and slow SOM pool ( $CUE_{sa}$  and  $CUE_{ss}$ , respectively, Fig. 1). These fractions are set to be time invariant in the original version of CENTURY, so that a fixed 164 fraction of decomposed C is retained in the acceptor pool regardless of environmental 165 conditions and changes in the quality of the donor pool. The N flows in CENTURY 166 follow the C flows and are equal to the product of C flow by the N:C ratio of the 167 acceptor SOM pool. N mineralization is defined as the difference between N obtained 168 from the donor pools and N stoichiometric demand of the acceptor pool (Parton et al., 169 1988; Metherell et al., 1993). In this way, net N mineralization occurs when the donor 170 pool has low C:N ratio, but N is immobilized (taken up by microbes) when the donor 171 pool has high C:N ratio. 172 173 2.2 Optimal CUE 174 To quantify how microbial CUE varies along gradients of nutrient 175

availability, it can be hypothesized that microorganisms maximize their growth rate,

177 and hence their ecological competitiveness, by adapting resource (C and nutrients) use

178 efficiencies. This follows the growth maximization hypothesis (Mooshammer et al.,

179 2014; Manzoni et al., 2017). Based on this hypothesis, Manzoni et al. (2017)

180 formulated a theoretical model expressing microbial CUE as a function of the

181 stoichiometric difference between decomposers and their substrate. The CUE for

which growth rate is maximized is the optimal CUE ( $CUE_{opt}$ ) given by:

183 
$$CUE_{opt} = CUE_{max} \times min\left\{1, \frac{CN_D}{CUE_{max}} \times \left[\frac{1}{CN_S} + \frac{I_N}{U_0}\right]\right\}$$
(1)

where  $CUE_{max}$  is the maximum microbial CUE (dimensionless) when growth is 184 limited by C from the organic substrate. CN<sub>D</sub> and CN<sub>S</sub> are the C:N ratio (in mass, 185 186 dimensionless) of decomposer and their substrate, respectively. Although Manzoni et al. (2017) indicated that mineral phosphorus (P) could also affect optimal CUE we 187 only considered N as a limiting nutrient.  $I_N$  (g N kg<sup>-1</sup> soil) is the maximum rate at 188 which mineral N can be taken up by microbes, and  $U_0$  (g C kg<sup>-1</sup> soil) is the C-limited 189 uptake rate (corresponding to the decomposition rate at optimal mineral N 190 concentration). When litter C:N is low or soil mineral N is in excess, the second term 191





- in the minimum function (Eq. (1)) is higher than one, and  $CUE_{opt} = CUE_{max}$  (C limited
- 193 conditions, as in nutrient-rich litter). In contrast, when mineral N is scarce,  $CUE_{opt}$
- 194 decreases with increasing substrate C:N ratio (N limited conditions, N-poor litter).
- 195 Lack of N in the organic substrates can be compensated by mineral N being
- 196 immobilized by microorganisms from the soil solution. Immobilization meets the
- 197 nutrient demands as long as it is lower than the maximum supply rate  $I_E$ , at which
- 198 point microbial CUE starts being down regulated. Thus, for any given C:N ratio in the
- substrate, *CUE<sub>opt</sub>* increases with inorganic N concentration in the soil solution until
- 200  $CUE_{max}$  is reached. It should also be noted that Eq. (1) is interpreted at the microbial
- 201 community scale, not for individual organism.
- 202

203 2.3 Adaption of the optimal CUE model in the CENTURY model

CUE of decomposition (CUE<sub>d</sub>) is also assumed to be equivalent to microbial CUE in this study. Then we followed the theory from Manzoni *et al.* (2017) (Eq. (1)) to parameterize CUE<sub>d</sub> during litter decomposition into CENTURY (Fig. 1). Due to the implicit representation of microbial growth in CENTURY, we replaced the original optimality CUE model (Eq. (1)) by a simpler equation that involves the C:N ratios of the donor and acceptor pools, rather than microbial C:N ratios:

210 
$$CUE_{opt} = CUE_{max} \times \min(1, (\frac{CN_{lit}}{CN_{SOM}})^a)$$
(2)

211 Where *CN<sub>lit</sub>* and *CN<sub>SOM</sub>* are the C:N ratio (dimensionless) of litter (metabolic or

212 structural) and SOM pools (active, slow or passive), respectively. The The C:N ratio

of SOM (around 9:1 on a mass basis in CENTURY) is representative of the

- 214 decomposer biomass, its value being between the C:N ratios of the two major group
- decomposers, soil microbes (7.4:1) (Cleveland and Liptzin, 2007) and soil fungi
- 216 (13.4:1, Zhang *and* Elser, 2017).  $CUE_{max} = 0.8$  (dimensionless) is the maximum  $CUE_d$
- 217 achieved when nutrients are not limiting (Manzoni et al., 2012; Sinsabaugh et al.,
- 218 2013) and a (g N kg<sup>-1</sup> soil) is an exponent capturing the effect of mineral N uptake by
- 219 microbes on CUE<sub>d</sub>. CUE<sub>d</sub> being expected to increase with mineral N availability (Eq.
- (1)), *a* is assumed to be a linear function of the mineral N concentration ( $N_{min}$ , g N kg<sup>-1</sup>





221	soil):
222	$a = m_1 \times (N_{min} - n_1) \tag{3}$
223	$m_1$ (kg g <sup>-1</sup> N) and $n_1$ (g N kg <sup>-1</sup> soil) are two coefficients that need to be calibrated. Eqs.
224	(2) and (3) modulate the decrease in $\mbox{CUE}_d$ with decreasing litter quality when mineral
225	N availability changes – the exponent $a$ increases with increasing mineral N
226	availability, causing an increase in $\text{CUE}_d$ at any given litter C:N ratio. Hence,
227	increasing <i>a</i> value mimics an increase in $I_N$ in Equation 1. Fig. 2a illustrates how $\text{CUE}_d$
228	from Eq. (2) varies as a function of mineral N concentration, for different values of
229	litter C:N.
230	Eqs. (2) and (3) were implemented in CENTURY to modify the originally
231	fixed $CUE_d$ (Fig. 1). With this change, the fractions of C from litter that remain in
232	SOM are all mediated by stoichiometric constraints and mineral N availability, at the
233	expense of additional parameters to fit.
234	
235	2.4 Constraint of soil nutrient availability on litter decomposition rate
236	CENTURY is a first-order decay model in which decomposition rates of
237	metabolic and structural litter are modulated by scaling factors of soil temperature
238	(f(tem)) and moisture (f(water)) (Parton et al., 1988). Here, we introduced an
239	additional mineral N scaling factor ( $f(N_{min})$ , 0–1, dimensionless) to account for the
240	limitation of very low mineral N availability on litter decay rate ( $D(C_{lit})$ ).
241	$D(C_{lit}) = C_{lit} \times k \times f(tem) \times f(water) \times f(N_{min}) $ (4)
242	where $C_{lit}$ is the C (g C kg <sup>-1</sup> soil) in litter pool (metabolic or structural). k is the
243	potential maximum turnover rate (day <sup>-1</sup> ) at optimal soil temperature, moisture and
244	nutrient conditions. We assumed that the scaling factor of mineral N increases linearly
245	with increasing soil mineral N concentration $(N_{min}, \text{Eq.}(5))$ below a threshold value of
246	$l/m_2$ g N kg <sup>-1</sup> soil, where $m_2$ is a positive coefficient which needs to be calibrated (Fig.
247	2b). The inhibition effect of mineral N only occurs in case of immobilization $(1/CN_{lit})$
248	$< CUE_{opt}/CN_{SOM}$ ). The specific function $f(N_{min})$ can be expressed as:





249 
$$f(N_{min}) = \begin{cases} \min\{\ell, m_2 \times N_{min}\}, & \frac{cue_{opt}}{CN_{SOM}} - \frac{1}{CN_{lit}} > 0\\ 1, & \frac{cue_{opt}}{CN_{SOM}} - \frac{1}{CN_{lit}} \le 0 \end{cases}$$
(5)

250

251 2.5 Model parameterization and validation

To determine the respective impacts of including flexible CUE<sub>d</sub> and N 252 availability constraining decay rates, we built four conceptual litter decay models 253 (Table 1). Model M0 corresponds to the default CENTURY parameterization of a 254 fixed CUE<sub>d</sub> and no constraints of N availability on litter decay rates ( $f(N_{min}) = 1$ ). 255 Model M1 accounts for flexibility in CUE from Eq. (2) and N constraints on decay 256 rates by Eq. (5). Model M2 has flexible CUE<sub>d</sub> but no N constraints on decay rates 257  $(f(N_{min}) = 1)$ . Model M3 has N constraints on decay rates but a fixed CUE<sub>d</sub> (Table 1). 258 259 All of these four models are run at a daily time step. This range of models allows identifying which mechanisms are at play during decomposition - flexible CUE<sub>d</sub> only 260 261 (M3), mineral N limitation only (M2), both mechanisms (M1), or none (M0). 262 For calibrating model parameters and evaluation of their results, we collected data of laboratory litter incubation experiments from Recous et al. (1995) (5 263 264 experiments) and Guenet et al. (2010) (9 experiments, Table A2). The incubation experiments of Recous et al. (1995) and Guenet et al. (2010) continued 80 and 124 265 days, respectively. Recous et al. (1995) used corn residues (C:N = 130) and Guenet et 266 al. (2010) used wheat straw (C:N = 44) in their incubation experiments. The C:N 267 ratios of those corn residue and wheat straw span the range of litter C:N ratios among 268 different ecosystems (Manzoni et al., 2012; 269 270 https://www.planetnatural.com/composting-101/making/c-n-ratio/). In the incubation experiments, plant litter was firstly cut into fine fragments before it was mixed with 271 mineral soil. Soil temperature and moisture condition were kept constant during the 272 experiment. Respired C from the incubated litter and SOC, as well as the soil mineral 273 N concentrations were measured continuously across the incubation period. More 274 275 detailed information about the incubation experiments of Recous et al. (1995) and Guenet et al. (2010) can be found in Table A2. 276 The initial C storage and C:N ratios of litter and SOM pool, as well as soil 277





295

278	temperature and moisture condition for decomposition in all of the four version
279	models (M0-M3) were set based on observations (Table A2). In M1 and M4 model,
280	the observed mineral N concentrations across the incubation period were used to
281	calculate daily N inhibition effect (Eq. (5)). The observed cumulative respired litter-C $\!$
282	(g C kg <sup>-1</sup> soil) measured in the incubation experiments was used to calibrate the model
283	parameter values. Parameter calibration was performed for each model with the
284	shuffled complex evolution (SCE) algorithm developed by Duan et al., (1993). The
285	SCE algorithm relies on a synthesis of four concepts that have proved successful for
286	global optimization: combination of probabilistic and deterministic approaches;
287	clustering; systematic evolution of a complex of points spanning the space in the
288	direction of global improvement and competitive evolution (Duan et al., 1993). More
289	detailed description of this SCE optimization method can be found in Duan et al.
290	(1993, 1994). In this study, the RMSE (root mean square error, Eq. (6)) between
291	simulated and measured cumulative respired litter-C (%) on all observation days
292	(Table A2) of each incubation experiment was used as the objective function, and the
293	parameters minimizing RMSE between simulated and observed cumulative respired
294	litter-C were regarded as optimal parameter values.
	·

$$RMSE = \sqrt{\left(\frac{\sum_{i=1}^{n} (Sim_i - Obs_i)^2}{n}\right)}$$
(6)

where *n* is the number of observation days,  $Sim_i$  and  $Obs_i$  (%) are the simulated 296

and observed percent of cumulative litter-C flux on day *i*, respectively. 297

We used leave-one-out cross-validation (Kearns and Ron, 1997; Tramontana 298 et al., 2016) to evaluate each of the four models (i.e. M0-M3), a cross validation 299 method used when data is scarce. The number of cross-validations corresponds to the 300 301 number of incubation experiments (14). Each time, one of the 14 incubation 302 experiments was left out as the validation sample, and the remaining 13 experiments 303 were used to train model parameters. In addition to RMSE, we also adopted the 304 Akaike Information Criterion (AIC, Bozdogan, 1987, Eq. (7)) to determine the relative quality of the four version models on estimating cumulative respired litter-C. 305

306 
$$AIC = n \times ln\left(\frac{\sum_{i=1}^{n}(Sim_i - Obs_i)^2}{n}\right) + 2n_p$$
(7)





- 307 where  $n_p$  is the number of model parameters. The evaluation of AIC is important here
- 308 because depending on the model M1, M2, or M3 parameters have to be determined
- 309 (Table 1), requiring us to weigh both model accuracy and robustness.
- 310
- 2.6 Impacts of litter stoichiometry and mineral N availability on SOM accumulation 311 We used the model M1, with flexible CUE<sub>d</sub> and decomposition rate function 312 of available N to study the impacts of litter stoichiometry (C:N ratio) and soil mineral 313 N availability on the formation and accumulation of SOM. Totally, 24 idealized 314 simulation experiments with different values of litter C:N ratios and soil mineral N 315 availabilities were conducted (Table A3). The assumed litter C:N ratios ( $CN_{lit}$ ) of 10, 316 15, 30, 60, 120 and 200 span the variation among most natural substrates and soil 317 amendments from organic matter input in agriculture (Manzoni et al., 2012; 318 https://www.planetnatural.com/composting-101/making/c-n-ratio/). The assumed 319 range of mineral N availability ( $N_{min}$ ) of 0.001, 0.005, 0.01 and 0.05 g N kg<sup>-1</sup> soil span 320 the observed concentrations of soil mineral N in major terrestrial ecosystems 321 (Metherall et al., 1993). 322 323 In each simulation experiment, M1 was run for 5000 years to bring the litter 324 and SOM pools in equilibrium with the prescribed litter input flux. The daily input rate of plant litter was set to 0.006 g C kg<sup>-1</sup> soil day<sup>-1</sup>, and the initial C stock of litter and 325 SOM pools were all set to be  $0 \text{ g C kg}^{-1}$  soil. During the simulation, soil temperature 326 and soil water content were assumed to be 25 °C and 60% of water holding capacity, 327 respectively. We emphasized that our goal with this simplified scenario was to single 328 329 out the effects of stoichiometric constraints, not to simulate the effects of a realistic climatic regime. Parameter values for M1 (with  $m_1 = 0.54$ ,  $n_1 = 0.50$  and  $m_2 = 296.8$ ) 330 used here were optimized based on all of the 14 incubation experiments from Recous 331 et al. (1995) and Guenet et al. (2010) (see above). More detailed information about 332 the specific settings of our simulation experiments can be found in Table A3. 333 334
- 335 **3 Results**





336 3.1 Evaluation of different models

337	Results of leave-one-out cross-validation suggest that model M1 provides
338	more accurate prediction of cumulative respired litter-C than other models (Fig. 3).
339	The differences between simulated and observed cumulative respired litter-C from
340	M1 are mostly (over 93% of the data) less than 6% (Fig. S1b in supplementary
341	materials). The average RMSE of predicted cumulative respired litter-C from M1
342	(3.0%) is lower than that of model M0 (4.1%). Models M2 and M3 have slightly
343	lower RMSE values than M0 (3.7% and 3.8%, respectively) but perform worse than
344	M1 (Fig. 4). However, the average AIC of all the models are comparable, suggesting
345	that models with more fitted parameters do not over-fit the observations (Fig. 4).
346	Model M1 captures the differences in respiration rates due to different C:N
347	ratios of substrate and varying levels of mineral N availability across the 14
348	incubation experiments (Fig. 5). While model M3 can reproduce the observed effect
349	of soil mineral N availability on litter respirations rates (Fig. 5d), it underestimate the
350	cumulative respired CO <sub>2</sub> from low quality litter ( $CN_{lit} = 130$ ) at high mineral N
351	concentrations (> 0.04 g N kg <sup>-1</sup> soil). Models M0 and M2 cannot represent the effects
352	of soil mineral N on litter respiration rate (Figs. 5a, c), and their predictions are more
353	biased from the observed values compared to M1. In addition, model M1 can also
354	capture the temporal evolution of cumulative respired litter-C in different incubation
355	experiments (Fig. 5b).
356	The predicted $\text{CUE}_d$ of decomposed litter and the limitation effects of soil
357	mineral N availability on litter decay rate from the $f(N_{min})$ function (Eq. (5)) are
358	different among the four tested models (Fig. A2). In models M0 and M3, which used a
359	fixed $\text{CUE}_d$ , the fitted values of $\text{CUE}_d$ calculated with optimized parameters during
360	the incubation period are about 0.57 and 0.54, respectively (Figs. A2a, d). In models
361	M1 and M2, the $\text{CUE}_d$ varies with the C:N ratios of plant litter, and is only slightly
362	affected by soil mineral N concentrations (Figs. A2b, c). For very low quality litter
363	with a C:N ratio of 130, the $CUE_d$ in models M1 and M2 are 0.55 and 0.56,
364	respectively, which are higher than for better quality litter with C:N ratio of 44
365	(approximately 0.40 and 0.44 in M1 and M2, respectively). $CUE_d$ from Eq. (2)





366	calibrated with the data of the two incubation experiments, decreases with increasing
367	$CN_{lit}/CN_{SOM}$ (Fig. 6). The average $CUE_d$ value is larger than the average of data
368	compiled for microbial CUE of litter decomposition in terrestrial ecosystems by
369	Manzoni et al. (2017). This is shown by the gray circles in Fig. 6. Our optimized
370	values of $\text{CUE}_d$ for a given C:N ratio are more comparable with microbial CUE
371	observed in incubations of soil mixed with litter (Gilmour and Gilmour, 1985;
372	Dev êvre and Horw áth, 2000; Thiet et al., 2006), shown as black squares in Fig. 6.
373	Models M0 and M2 do not include the N inhibition effects on litter decay rate, thus
374	the $f(N_{min})$ in these two models is always 1 (Figs. A2e, g). In M1 and M3, the N
375	inhibition effect changes with both the litter C:N ratio and the mineral N availability
376	(Figs. A2f, h).
377	
378	3.2 The effect of litter quality vs quantity on equilibrium SOM stocks
379	Model M1 predicts that the size of the SOM pool at equilibrium is mainly
380	determined by litter stoichiometry, with a minor effect of soil mineral N (Fig. 7). The
381	lower C:N ratio of litter is, the higher equilibrium SOC stock. For litter with a specific
382	C:N ratio, high soil mineral N concentration (e.g. above 0.05 g N kg <sup>-1</sup> soil) generally
383	produces a slightly larger equilibrium SOC stock than a low mineral N concentration
384	(Fig. 7). Further analysis suggests that the SOC at equilibrium increases with
385	decreasing litter C:N because the SOC pool is positively related to the $\text{CUE}_d$ ; however
386	the limitation of soil mineral N on litter decomposition rate almost shows no impact
387	on SOC (Fig. A3).
388	

#### 389 4 Discussion

We hypothesized that stoichiometric constraints (flexible  $CUE_d$  or inhibition of decomposition under N limited conditions) played a role in shaping the trajectory of litter decomposition, with potential consequences on predicted SOC stocks. Our results suggest that with flexible  $CUE_d$  and the inhibition effects of soil mineral N on litter decay rate, the model M1 developed from CENTURY can be a reliable tool for





395	predicting litter decomposition. Evaluation of the model (M1) using data from
396	incubation experiments indicate that this modified model captures the effect of
397	variable litter quality (stoichiometry) and mineral N availability on respiration rates
398	(Fig. 5), without strongly inflating the complexity of CENTURY (Table 1). As the
399	stoichiometric constraints are implemented in the generalizable and widely used
400	structure of CENTURY and require only three parameters to be calibrated, they can
401	also be easily implemented into land surface models for large spatial scale
402	applications.
403	Accurately representing N control of microbial processes during litter
404	decomposition has been suggested to be important for modeling the connection
405	between the litter inputs, CUE <sub>d</sub> , and soil C dynamics (Gerber et al., 2010; Manzoni et
406	al., 2012; Cotrufo et al., 2013; Sinsabaugh et al., 2013). In model M1, soil mineral N
407	affects the litter-C flux via two mutually different pathways: (1) mineral N availability
408	affects the litter decay rate and (2) flexible $\text{CUE}_d$ determining the partition of
409	decomposed C into SOC products and respired CO <sub>2</sub> (Fig. 1). Therefore, an increase in
410	soil mineral N concentration enhances litter decay rates, which alone will increase the
411	flux of litter-derived $CO_2$ (Eq. (5) and Fig. A4). However, as higher N concentration
412	also results in a higher $\text{CUE}_d$ (Eq. (2)), more C is transferred to SOC and less C is
413	respired. In this way, SOC is predicted to accumulate with increasing mineral N
414	availability when using model M1 (Fig. 7).
415	Moreover, describing N limitations on both the decomposition rate and
416	flexible $\text{CUE}_{d}$ might allow our model to explain the observed diverse responses of
417	litter respiration rate to added mineral N in fertilization experiments (Hobbie and
418	Vitousek, 2000; Guenet et al., 2010; Janssens et al., 2010). In these experiments, the
419	net changes in respiration rate depend on the combined effects of added N on litter
420	decay rate and $CUE_d$ of the decayed litter (Fig. A4).
421	Existing studies have adopted approaches that differ from our definition to
422	explicitly represent the N inhibition effects on microbial processes (Eq. (5)) (Manzoni
423	and Porporato, 2009; Bonan et al., 2013; Fujita et al., 2014; Averill and Waring, 2018).
424	In these previous studies, $f(N_{min})$ was assumed equal to the ratio between immobilized





425 mineral N and the N deficit for keeping the stoichiometric balance (i.e. C:N) of

426 decomposer biomass or other receiver pools. Using the notation of Section 2, this

427 constant can be expressed as:

428 
$$f(N_{min}) = \begin{cases} \min\left(1, \frac{m_3 \times N_{min}}{U_0 \times \left(\frac{CUE_{opt}}{CN_{SOM}} - \frac{1}{CN_{lit}}\right)}\right), & \frac{CUE_{opt}}{CN_{SOM}} - \frac{1}{CN_{lit}} > 0\\ 1, & \frac{CUE_{opt}}{CN_{SOM}} - \frac{1}{CN_{lit}} \le 0 \end{cases}$$
(8)

where  $m_3$  is a coefficient that needs to be optimized.  $U_0$  (g C kg<sup>-1</sup> soil day<sup>-1</sup>) is the C uptake rate (equivalent to the litter decomposition rate in absence of leaching) when soil mineral N is fully adequate for litter decay (i.e.  $f(N_{min}) = 1$ ), and can be calculated as:

433 
$$U_0 = C_{lit} \times k \times f(tem) \times f(water)$$
(9)

We have tested this formulation in the CENTURY-based model, in addition to the
other formulations (Table 1). The model with Eq. (8) gave a more biased estimation
on cumulative respired litter-C than the model using Eq. (5) (Fig. A5). We surmise
that although Eq. (8) can better represent the underlying microbial mechanisms of N
inhibition effects, it also increases the model complexity and in turn the efforts and
uncertainty in model parameterization.

The importance of litter quality for SOM formation as found here is in line 440 with recent experiments (Bahri et al., 2008; Rubino et al., 2010; Walela et al., 2014) 441 and modeling studies (Grandy and Neff, 2008; Cotrufo et al., 2013). SOM is mainly 442 443 formed though the partial decomposition of plant debris by microorganisms (Paul, 2007; Knicker, 2011; Cotrufo et al., 2013). The conceptual model developed by 444 Cotrufo et al. (2013) suggested that although labile litter was decomposed faster than 445 446 recalcitrant litter, a higher fraction of this labile litter-C would be incorporated into microbial biomass and subsequently incorporated into SOM pool (corresponding to a 447 higher CUE<sub>d</sub>). Therefore, labile litter inputs tend to form a larger SOM pool than the 448 poor-quality (high C:N ratio) litter that is generally used by microbes at lower 449 efficiency. Our simulations of decomposition process of plant litter with different C:N 450 ratios also suggest that litter of good quality (with low C:N ratio) can induce a larger 451





452 SOM pool than the poor-quality litter (Fig. 7).  $CUE_d$  plays a more important role than

453 the inhibition effect of low mineral N concentration in determining the size of the

454 stable SOM pool (Fig. A3).

455 The predictions from Cotrufo et al. (2013) and this study contrasts with the conventional hypothesis whereby the poor-quality litter with low decay rate and small 456 CUE<sub>d</sub> are preferential to be accumulated in SOM (Berg and Mcclaugherty, 2008; 457 Walela et al., 2014). This view of SOM stabilization, however, seems to apply to 458 N-limited systems with high C:N litter and where microbial remains are recalcitrant to 459 decomposition (e.g., boreal forests) – in these systems SOC does accumulate despite 460 its low quality (Kyaschenko et al. 2017). Moreover, one could argue that higher CUE<sub>d</sub> 461 implies larger microbial biomass, allowing faster decomposition (Allison et al., 2010). 462 463 These feedbacks between microbial biomass and decomposition rate were not implemented in the current model, but could offer additional flexibility - again at the 464 465 expense of more difficult model parameterization. 466 The  $CUE_d$  formulation from Eq. (2) with parameters calibrated from the two sets of incubation experiments might underestimate the impacts of litter quality on 467 468 microbial CUE under natural conditions, in particular in case of SOM decomposition. In both incubation experiments, litter is firstly cut into fine fragments and then fully 469 470 mixed with mineral soil (Recous et al., 1995; Guenet et al., 2010). Thus, the nutrient accessibility, air permeability and some other environmental factors (e.g. pH) of 471 incubated litter are different from those of decaying litter in more natural, 472 heterogeneous soil conditions. Those different decomposition conditions might be 473 474 responsible for the differences observed in Fig. 6 between our CUE estimates and previously reported values. We speculate that more heterogeneous conditions reduce 475 nutrient availability and thus might cause lower CUE. Similarly, CUE of surface litter 476 decomposers may be lower than we estimated because litter not mixed with soil is 477 probably subject to strongly nutrient limitation. 478 This study provides some insights on processes leading to increased SOM 479 sequestration. Soil C sequestration plays a crucial role in food security and land CO<sub>2</sub> 480

emission (Lal, 2004). The international initiative '4 per 1000' has been proposed to





482	increase global SOM sto	ock by 0.4% per	year to compensate	for anthropogenic CO2
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483 emissions (Baveye *et al.*, 2018). Transforming more plant litter into stable SOM (e.g.

484 humic substances) has been suggested as an effective strategy to sequester more C in

soil (Prescott, 2010). Our model results show a positive linear relationship between

486 equilibrium SOC stock and CUE of decomposed litter (Fig. A3). This result can also

be interpreted by calculating the analytical equilibrium SOC storage of a fully linear

488 model including only one litter pool and one SOC pool. In such a model, SOC

489 receives C from the litter at a rate  $CUE_d \times D$ , where D is the litter decomposition rate,

490 which equals to litterfall at steady state. SOC is lost via first order decay with a decay

491 constant *k*. At steady state, input to and outputs from the SOC pool are equal and thus,

492 
$$\operatorname{CUE}_{\mathrm{d}} \times D = k \times \operatorname{SOC} \to \operatorname{SOC} = \operatorname{CUE}_{\mathrm{d}} \frac{D}{k}$$
 (10)

493 With a mean residence time of C in the SOC between 10 and 20 years and D

approximated by litterfall (Table A3), SOC at equilibrium is predicted to scale linearly
with CUE<sub>d</sub>, with a slope approximately between 20 and 40, consistent with results in
Fig. A3.

Therefore, litter quality needs to be controlled to maximize C sequestration 497 in SOM pool (Eq. (2)). In line with previous studies (Prescott, 2010; Smith, 2016), 498 our model predicts that adding N through fertilization and N-fixing plants will not 499 only increase litter decay but also the fraction of litter-C being transformed into SOM 500 and ultimately SOC stocks. However, application of mineral N fertilizer is associated 501 502 with risk not considered here, like increasing land  $N_2O$  emission (Mosier and Kroeze, 2000; Kanter et al., 2016; Yi et al., 2017) and causing nitrate leaching which in turn 503 can induce water pollution (Cao et al., 2006; Strokal et al., 2016). Due to the negative 504 505 environmental impacts of mineral N addition, the use of N-rich litter substrates for increasing SOM is advised. 506

Further validation and development of our model are still necessary to
decrease the model uncertainties. Soil mineral N which affects both litter decay rate
and CUE of decayed litter is seldom monitored in litter incubation experiments (e.g.
Walela *et al.*, 2014; Stewart *et al.*, 2015) and field litter decay experiments (e.g. Gholz





511	et al., 2000; Harmon et al., 2009), with few exceptions (Recous et al., 1995; Guenet et
512	al., 2010). An increasing number of land surface models (e.g. ORCHIDEE-CNP, Goll
513	et al., 2017) have representations of the terrestrial N cycle. By incorporating our litter
514	decomposition formulation in these land surface models that simulate the dynamics of
515	soil mineral N concentration, it will be possible to test and validate our developments
516	with more extensive data from laboratory and field experiments. Moreover, similar to
517	N, P has also been suggested as another important factor for litter decomposition and
518	SOM formation (G üsewell and Verhoeven, 2006; Talkner et al., 2009; Manzoni et al.,
519	2010; Prescott, 2010), especially in regions with highly weathered soil (Goll et al.,
520	2012, 2017; Yang et al., 2014). So it might be necessary to include the effects of P on
521	litter decay rate and $\text{CUE}_{d}$ into our model for further decrease the simulation
522	uncertainties.

523

### 524 5 Conclusions

By adapting the hypothesis of optimal microbial CUE proposed by Manzoni 525 et al. (2017) for use in a CENTURY-based model and also introducing a N scaling 526 function to represent the limits of mineral N availability on litter decay rate, we 527 developed a simple but effective litter decomposition model that accounts for key 528 stoichiometric constraints during decomposition. Validation using observation data 529 obtained from laboratory incubation experiments indicated that our model could well 530 531 predict the respiration rates of litter in different qualities at various levels of mineral N availability. Idealized simulations using our model revealed that the quality of litter 532 inputs plays an important role in determining the soil C stock at equilibrium SOM 533 534 pool. High-quality litter (i.e. with low C:N ratio) tends to form a larger SOM pool as 535 it can be more efficiently utilized by microorganisms than recalcitrant litter (e.g. high 536 C:N ratio). Overall, the developed model captures the microbial mechanisms mediating litter stoichiometry and soil mineral N effects on litter decomposition and 537 SOM formation - representing an improvement over most existing large-scale litter 538 decay models. Due to the simple and generalizable structure of our model, it can be 539





- 540 incorporated into existing land surface models for further long-term and large spatial
- 541 scale applications.
- 542





## 543 Code and data availability

- 544 The CENTURY-based model used here is programmed in MATLAB
- 545 language. The source code is available online
- 546 (https://github.com/hchzhang/CENYUTY\_CUE/tree/v1.0, DOI:
- 547 10.5281/zenodo.1307384). All the data used in this study can be obtained from
- 548 published literatures. Specific references of these data can be found in section 2.5.
- 549

### 550 **Competing interests**

- 551 The authors declare that they have no conflict of interest.
- 552

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809	





- **Table 1** The four version of the litter decomposition model used in this study.  $cue_{fit}$  is
- optimized value of CUE.  $m_1$  and  $n_1$  are the coefficients in Eq. (3), and  $m_2$  is the

Model version	CUE	$f(N_{min})$	Parameters
M0	fixed	1	cue <sub>fit</sub>
M1	Eqs. (2), (3)	Eq. (5)	$m_1, n_1, m_2$
M2	Eqs. (2), (3)	1	$m_l, n_l$
M3	fixed	Eq. (5)	$cue_{fit}, m_2$

812 coefficients in Eq. (5).







814

Figure 1 Schematic diagram of the C flows in the litter decay model used in this study.

816  $f_m$  is the fraction of metabolic compounds in plant litter.  $D(C_{lit-met})$  and  $D(C_{lit-str})$  are

the decomposition rates (g C kg<sup>-1</sup> day<sup>-1</sup>) of metabolic or structural litter, respectively.

818  $LC_{lit}$  is the lignin: C ratio (on a mass basis) of plant litter;  $CN_{met}$ ,  $CN_{str}$ ,  $CN_{act}$ , and

 $CN_{slow}$  are the C:N ratio of metabolic litter pool, structural litter pool, active SOM

pool and slow SOM pool, respectively;  $N_{min}$  is the concentration of mineral N in

solution (g N kg<sup>-1</sup> soil);  $CUE_d$  is C use efficiency of the transformation from litter to

soil organic matter (SOM);  $f_{SA}$ ,  $f_{SS}$  and  $f_{SR}$  are the fractions of decomposed structural

823 litter-C that is transferred to active SOM pool, slow SOM pool and released to

atmosphere in forms of CO<sub>2</sub>, respectively. As in the algorithms in CENTURY model

825 (Parton et al., 1988), here  $f_{SA} = CUE_{d_SA} \times (1-f_{lig}), f_{SS} = CUE_{d_SS} \times f_{lig}, f_{SR} = 1-(f_{SA}+f_{SS}),$ 

where  $f_{lig}$  is the lignin fraction (0–1, dimensionless) in the structural litter pool, and

827  $CUE_{d_SA}$  and  $CUE_{d_SS}$  are the CUE of C transformation from structural litter pool to

828 active and slow SOM pool, respectively.







**Figure 2.** Schematic plot of (a) the optimal carbon use efficiency  $(CUE_{opt})$  function of

soil mineral nitrogen for different litter C:N ratios (from Eq. (2) in the main text with

833  $m_1 = 0.3, n_1 = 1.0$ ) and (b) the N limitation function  $f(N_{min})$  applied to litter

decomposition rates (from Eq. (5) in the main text).  $CN_{lit}$  and  $CN_{SOM}$  are the C:N ratio

of litter pool and SOM pool, respectively.  $CUE_{max} = 0.8$  is the maximum CUE under

- optimal nutrient condition (C limitation only).  $m_1$  and  $n_1$  are the parameters of Eq. (3)
- and  $m_2$  are the parameter of Eq. (5).
- 838

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840



841

842 Figure 3 Comparison of the predicted cumulative respired litter-C to observed values

843 at different times during litter decomposition process. Each dot denotes an

844 observation of cumulative respired litter-C at a certain day. Totally, there are 149

points. M0-M3 are the four versions of litter decay model tested in this study (Table

846 1).







848

**Figure 4** The RMSE and AIC of the simulated cumulative respired litter-C from the

850 four versions of litter decay model used in this study. Error bars denote the standard

- 851 deviation of RMSE or AIC for different incubation experiments. M0 and M1-3 denote
- the four models tested in this study (Table 1).
- 853
- 854







Figure 5 Time series of the simulated (lines) and observed (dots) cumulative respired litter-C (% of initial litter-C) at four different levels of soil mineral N availability ( $N_{min}$ , g N kg<sup>-1</sup> soil).  $CN_{lit}$  is the C:N ratio of plant litter. M0 and M1-3 denote the four models tested in this study (Table 1). Here the simulation results of each model were calculated with parameters optimized based on all of the 14 samples of incubation

- 861 experiments (Table A2).
- 862







863

**Figure 6** Comparison of  $CUE_d$  (lines) predicted by Eq. (2) with parameter values ( $m_2$ 

865 = 0.54,  $n_1$  = 0.50) calibrated based on the incubation experiments (Table A2) of

866 Recous et al. (1995) and Guenet et al. (2010) to observed *CUE* of terrestrial

microorganisms along a gradient of  $CN_S/CN_D$ , where  $CN_D$  and  $CN_S$  are the C:N ratio

868 of decomposers and their substrates, respectively. Gray dots are the estimated

869 microbial CUE of litter decomposition in natural terrestrial ecosystems from Manzoni

870 et al. (2017). Black squares are the microbial CUE measured via laboratory

871 incubation experiments of Gilmour & Gilmour, (1985), Dev êvre & Horw áth (2000)

and Thiet *et al.* (2006). Error bars represent the standard deviations.  $N_{min}$  (g N kg<sup>-1</sup> soil)

- 873 is the concentration of soil mineral N.
- 874







876 Figure 7 (a) Accumulation of soil organic carbon (SOC) for constant substrates input

877 (plant litter) with different C:N ratios ( $CN_{lit}$ ) at different levels of soil mineral N

878 concentrations ( $N_{min}$ , g N kg<sup>-1</sup> soil), (b) Change trends of equilibrium SOC stock and

- carbon use efficiency of decomposed litter ( $CUE_d$ ) with increasing litter C:N ratio.
- 880





# 881 Appendix:

### 882 Table A1 List of symbols used in this study

Symbol	Unit	Description
а	g N kg <sup>-1</sup> soil	Exponent in Eq. 2
AIC	dimensionless	The Akaike Information Criterion (Eq. 7)
CN <sub>act</sub>	dimensionless	C to N ratio of active soil organic matter pool
$CN_D$	dimensionless	C to N ratio of decomposer (Eq. 1)
CN <sub>met</sub>	dimensionless	C to N ratio of metabolic litter pool
CN <sub>slow</sub>	dimensionless	C to N ratio of slow soil organic matter pool
CN <sub>str</sub>	dimensionless	C to N ratio of structural litter pool
$CN_S$	dimensionless	C to N ratio of substrate (Eq. 1)
$C_{lit}$	g C kg <sup>-1</sup> soil	C stock of litter pool (Eq. 4)
CN <sub>lit</sub>	dimensionless	C to N ratio of litter pool (metabolic or structural, Eq. 2)
CN <sub>SOM</sub>	dimensionless	C to N ratio of soil organic matter pool
CUE	dimensionless	Microbial carbon use efficiency
$CUE_d$	dimensionless	Carbon use efficiency of decomposition (C incorporated in SOC over
		litter C decomposed)
CUE <sub>fit</sub>	dimensionless	Optimized value of fixed CUE in model M0 and M4
$CUE_{max}$	dimensionless	Maximum CUE <sub>d</sub> (Eqs. 1 and 2)
CUE <sub>opt</sub>	dimensionless	Optimal CUE <sub>d</sub> (Eq. 1)
$CUE_{d\_SA}$	dimensionless	CUE of the transformation from structural litter to active SOM pool
$CUE_{d\_SS}$	dimensionless	CUE of the transformation from structural litter to slow SOM pool
D	g C kg <sup>-1</sup> soil day <sup>-1</sup>	Daily litterfall input rate (Eq. 10)
$D(C_{lit-met})$	g C kg <sup>-1</sup> soil day <sup>-1</sup>	Decomposition rate of metabolic litter
$D(C_{lit-str})$	g C kg <sup>-1</sup> soil day <sup>-1</sup>	Decomposition rate of structural litter
$f(N_{min})$	dimensionless	Limit factor of soil mineral N on litter decomposition (Eqs. 4 and 5)
f(tem)	dimensionless	Limit factor of soil temperature on litter decomposition (Eq. 4)
f(water)	dimensionless	Limit factor of soil water content on litter decomposition (Eq. 4)
$f_m$	dimensionless	Fraction of metabolic plant litter
$f_{SA}$	dimensionless	Fractions of decomposed structural litter-C that is transferred to
		active SOM pool
f <sub>sr</sub>	dimensionless	Fractions of decomposed structural litter-C that is released tp
		atmosphere
fss	dimensionless	Fractions of decomposed structural litter-C that is transferred to slow
		SOM pool
$I_N$	g kg <sup>-1</sup> soil	Maximum mineral N immobilization rate (Eq. 1)
k	day <sup>-1</sup>	potential maximum turnover rate (Eq. 10)
LC <sub>lit</sub>	dimensionless	Lignin to C ratio of litter input
$m_1$	kg g <sup>-1</sup> N	Coefficients in Eq. 3
$n_l$	g N kg <sup>-1</sup> soil	Coefficients in Eq. 3
$m_2$	day <sup>-1</sup>	Coefficients in Eq. 5
$m_3$	kg g <sup>-1</sup> N	Coefficients in Eq. 8
$N_{min}$	g N kg <sup>-1</sup> soil	Soil mineral N concentration (Eq. 5)





RMSE	%	Root mean square error (Eq. 6)
SOC	g C kg <sup>-1</sup> soil	Soil organic carbon
SOM	g C kg <sup>-1</sup> soil	Soil organic matter
$U_0$	g C kg <sup>-1</sup> soil day <sup>-1</sup>	C uptake rate when soil mineral N is fully adequate for litter decay
		(Eq. 1)

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Table A2 Information about the 14 samples of laboratory incubation experiment used in this study. CN<sub>ii</sub> and LC<sub>ii</sub> are the C to N ratio and lignin

For the incubation experiments of Guenet et al. (2010), cumulative respired litter-C was measured on days 1, 3, 7, 15, 22, 28, 35, 42, 49, 67 and to C ratio of plant litter, respectively. CN<sub>soM</sub> is the C to N ratio of SOM pool. N<sub>min</sub> is the concentration of soil mineral N (NO<sub>3</sub><sup>-</sup> -N + NH<sub>4</sub><sup>+</sup> -N).





80, and .	N <sub>min</sub> was	measured	on days 3, 🤇	7, 17, 28 and 80.	For For the i	incubation exper	iments of Recou	s <i>et al</i> . (1995), bot	th cumulative resp
litter-C	and $N_{min}$ v	were mostl	ly measured	d on days 2, 3, 6,	11, 15, 18, 2	9, 40, 69 and 12	.4.		
Sample	$CN_{lit}$	LC <sub>lit</sub>	CN <sub>SOM</sub>	Initial N <sub>min</sub> (g N kg <sup>-1</sup> soil)	Duration (dav)	Temperature (°C)	Soil moisture (%. in volume)	Litter type	Reference
1	44	0.26	11	0.035	80	20	50	Crop (wheat)	
2	44	0.26	11	0.051	80	20	50	Crop (wheat)	
3	44	0.26	11	0.055	80	20	50	Crop (wheat)	
4	44	0.26	11	0.033	80	20	50	Crop (wheat)	C
5	44	0.26	11	0.049	80	20	50	Crop (wheat)	Unenet et
9	44	0.26	11	0.067	80	20	50	Crop (wheat)	<i>al.</i> , 2010
7	44	0.26	11	0.033	80	20	50	Crop (wheat)	
8	44	0.26	11	0.048	80	20	50	Crop (wheat)	
6	44	0.26	11	0.079	80	20	50	Crop (wheat)	
10	130	0.23	6	0.010	124	15	42	Crop (Com)	
11	130	0.23	6	0.030	124	15	42	Crop (Corn)	
12	130	0.23	6	0.060	124	15	42	Crop (Corn)	Kecous et
13	130	0.23	6	0.080	124	15	42	Crop (Corn)	ckk1 ,.1b
14	130	0.23	6	0.100	124	15	42	Crop (Corn)	





- 1 Table A3 Specific setting of litter and SOM properties, and soil conditions in the 16
- 2 idealized simulations for exploring the impacts of litter stoichiometry (i.e. C:N ratio)
- and soil mineral N on SOC accumulation.  $CN_{lit}$  and  $LC_{lit}$  are the C to N ratio and
- 4 lignin to C ratio of plant litter, respectively. *Lit<sub>inp</sub>* (g C kg<sup>-1</sup> soil day<sup>-1</sup>) is the daily input
- 5 rate of plant litter.  $CN_{SOM}$  is the C to N ratio of SOM pool.  $N_{min}$  (g N kg<sup>-1</sup> soil) is the
- 6 concentration of soil mineral N (NO<sub>3</sub><sup>-</sup> -N + NH<sub>4</sub><sup>+</sup> -N). *Tem* (°C) and *SWC* (%) are the

Experiment	CN <sub>lit</sub>	LC <sub>lit</sub>	Lit <sub>inp</sub>	<b>CN</b> <sub>SOM</sub>	N <sub>min</sub>	Tem	SWC
1	15	0.2	0.006	12	0.001	25	60
2	30	0.2	0.006	12	0.005	25	60
3	60	0.2	0.006	12	0.01	25	60
4	120	0.2	0.006	12	0.05	25	60
5	15	0.2	0.006	12	0.001	25	60
6	30	0.2	0.006	12	0.005	25	60
7	60	0.2	0.006	12	0.01	25	60
8	120	0.2	0.006	12	0.05	25	60
9	15	0.2	0.006	12	0.001	25	60
10	30	0.2	0.006	12	0.005	25	60
11	60	0.2	0.006	12	0.01	25	60
12	120	0.2	0.006	12	0.05	25	60
13	15	0.2	0.006	12	0.001	25	60
14	30	0.2	0.006	12	0.005	25	60
15	60	0.2	0.006	12	0.01	25	60
16	120	0.2	0.006	12	0.05	25	60

7 temperature and soil water content, respectively.





9



10

11 Figure A1 Distribution of the difference between the predicted cumulative respired

12 litter-C ( $Rs_{sim}$ , %) and the observed values ( $Rs_{obs}$ , %) for all experiments and points in

13 time. SD is standard deviation of the biases. M0-M3 denote the four models tested in

- this study (Table 1).
- 15







Figure A2 Dynamic of the simulated carbon use efficiency (*CUE*) and  $f(N_{min})$  during the incubation experiments (Table S3).  $CN_{lit}$  is the C:N ratio of incubated litter, and  $N_{min}$  is the initial soil mineral N concentration (g N kg<sup>-1</sup> soil). M0-M3 denote the four models in Table 1. Here the simulation results of each model were calculated with parameters optimized based on all of the 14 samples of incubation experiments (Table S2).







24

25 Figure A3 Relationship between C stock of the potentially equilibrated SOM pool

and the carbon use efficiency of decomposed metabolic litter ( $CUE_d$ ) at the dynamic

equilibrium stage.  $f(N_{min})$  denote the inhibition factor (0–1) of soil mineral N on litter

- 28 decomposition.
- 29









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**Figure A5** Comparison between simulated cumulative respired litter-C with  $f(N_{min})$ (inhibition effect of soil mineral N on litter decomposition) calculated by Eq. 9 and the observed results from incubation experiments. In figure (c), M0-M3 denote the four versions of litter decay model in Table 1. M4 denote the model which used Eq. 2 to calculate the dynamic CUE and used Eq. 9 to calculate  $f(N_{min})$ .

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