Dear the reviewer for the manuscript entitled "Description and basic evaluation of simulated mean state, internal variability, and climate sensitivity in MIROC6" by Tatebe et al.

We would like to thank the reviewer for taking the time to carefully read our manuscript, for several very valuable suggestions and English grammatical corrections. We have much revised our manuscript and answered all the comments given by the reviewer. In the separate reply letter uploaded as a supplement, point-by-point responses to the reviewer's comments and how we revised the manuscript are described, referring to the revised manuscript and the manuscript with revision history which were also uploaded as supplements.

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Response to reviewers' comments on " Description and basic evaluation of simulated mean state, internal variability, and climate sensitivity in MIROC6" by Tatebe et al.

### **Reply to the reviewer #1**

#### **General comments**

This paper describes MIROC6, a new climate model aiming at participating in CMIP6, by developing the previous climate model MIROC5 that participated in CMIP5. Following the description of the model formulation focusing on the changes from MIROC5 together with the model's tuning procedure, the model's mean climate and variability in the preindustrial experiment are presented. Furthermore, climate sensitivity of the model and reproducibility of the past climate change are also evaluated. Although the manuscript is comprehensive, it is well-constructed and well-documented. Climate variabilities of the model has also been widely evaluated, which brings many useful scientific knowledges for future studies using this model. In addition, model tuning procedure is also described in detail, which contains very useful information to be helpful for climate model developers. It is recommended that it will be published after minor revisions.

We would like to thank the reviewer for taking the time to carefully read our manuscript, for several very valuable suggestions, and English grammatical corrections. We would like to answer the questions given by the reviewer and to describe how we have revised our manuscript point by point. Please note that our replies are written in red letters in this reply letter.

# **Reply to specific comments**

L.355: The main parameters...in which the uncertainty of the climate sensitivity...

Does this mean that the model is tuned for a climate sensitivity as a result? If so, it is desirable to describe what is the target climate sensitivity (2.5 K?) for the tuning.

Here, the authors just wanted to mention that parameters listed in Shiogama et al. (2012) are mainly used for a tuning procedure. Climate sensitivity was not a tuning target. In the revised manuscript, we have rephrased the sentence as "The main parameters used in our tuning procedures are chosen referring to a perturbed parameter ensemble set made by Shiogama et al. (2012) in which parameter sensitivity to cloud-radiative processes is examined". Please see the lines 374 -377 in the revised manuscript.

L.374: interactions between anthropogenic aerosol emissions and...

"emissions" do not interact with cloud-radiation processes. Do you mean "aerosolcloud interaction"? Rephrase it.

In the revised manuscript, the words are replaced by "aerosol-cloud interaction". Please see the lines 402-403. Thank you for your suggestion.

# L.380: a present-day run

Is the run a fixed SST? Since the value of  $-0.9 \text{ Wm}^{-2}$  by IPCC (2013) is for ERF, it should be evaluated by radiation change under the condition that SST does not change. Please explain.

The tuning was done under a coupled mode, namely, SST is not fixed. In the revised manuscript, we added the sentence "Note that MIROC6 in a coupled mode is used in this tuning procedure, and thus the sea surface temperature (SST) is not fixed. The estimated cooling effects here are not strictly the same as the effective radiative forcing estimated in IPCC (2013). However, by the present tuning procedure, the global-mean surface air temperature (SAT) change after the mid-19th century is well reproduced in the historical runs by MIROC6 (details are discussed in Section 4).". Please read the lines 411-416.

L.397: the global-mean ocean temperature shows a larger trend of...

On average there is 1.1 Wm<sup>-2</sup> heating. Are these trends consistent with the radiation budget?

Discussions on the relationship between the warming trend of the ocean temperature and the TOA radiation budget/ocean heat uptake have been added in the revised manuscript. And we also added the explanation on the heat energy inconsistency between the TOA radiation budget and the ocean heat uptake in association with the model imperfection. We have rephrased the last paragraph of Section 2.5 as "The trend of the global-mean ocean temperature in the later period suggests slight but continuous warming of the deep ocean. The radiation budget at the TOA is 1.1 Wm<sup>-2</sup> downward on average (linear trend of  $9.5 \times 10^{-3}$  K/100 yr) and the net heat input at the sea surface is 0.32 Wm<sup>-2</sup>. The deep ocean warming is explained by the net heat input. Note that there is about 0.78 Wm<sup>-2</sup> inconsistency between the TOA radiation budget and the ocean heat uptake. This heat energy inconsistency is due to that internal energy associated with precipitation, water vapor and river runoff is not taken account in the atmospheric and land surface component in MIROC6 and that these waters with no temperature information implicitly set their temperature to the SST when they flow or fall into the ocean. Perpetual melting of the prescribed Antarctic region (details will be discussed in Section 3.1.3), is also a cause of the heat energy inconsistency".

L.477: consistent with the observed value of -0.81 Wm<sup>-2</sup>.

The observed value is  $-0.8 \text{ Wm}^{-2}$  because the system is warming in the present-day conditions. Ideally it should be  $0 \text{ Wm}^{-2}$  in the preindustrial conditions. The radiation imbalance of  $-1.1 \text{ Wm}^{-2}$  is in the marginally acceptable range.

Thank you for your comment and the authors agree with the reviewer. In the revised manuscript, we added the sentence "However, the observed value is estimated in the present-day condition. Ideally, the model value in the preindustrial condition should be 0  $Wm^{-2}$  and is in the marginally acceptable range". Please read the lines 521-523.

L.542: increase in precipitation (Figs. 8ce)

Increase in precipitation is found only in the North Pacific.

In the revised manuscript, the corresponding sentence is rewritten as "is accompanied by an associated increase in precipitation, especially in the North Pacific (Figs. 8ce).". Please read the lines 594-595.

Fig. 13 and 14: It is easy to understand if the biases are indicated by color shadings.

Following the comments, we have redrawn Figs. 13 and 14, and corresponding descriptions on ocean climatological hydrography have been rephrased partly in the revised manuscript. Please read the 1st and 2nd paragraphs of Section 3.1.2. Also, the revised manuscript with revision history is useful for checking the revision.

L.595: the Pacific sector (Figs. 13a-c)  $\rightarrow$  "the Atlantic sector (Figs. 13a-c)" or "the Pacific sector (Figs. 14a-c)"

Carefully checking zonal-mean ocean temperature and salinity in the Pacific sector, the authors considered that representation of the northward intrusion of Antarctic Intermediate Water in the Southern Hemisphere in MIROC6 is not better than in MIROC5. In the revised manuscript, we deleted the sentence "Meanwhile, the northward intrusion of Antarctic Intermediate Water in the Southern Hemisphere around the 1000 m depth is better simulated in MIROC6 than in MIROC5, especially in the Pacific sector (Figs. 14a-c)".

L.622: better representation of cloud physics

How does cloud physics relate to trade wind? It seems to me that they are incoherent.

In the revised manuscript, we have descried the details about the relationship between the stronger trade

wind and cumulus processes referring to the stand-alone AGCM experiments as "However, the thermocline depths in the western tropical Pacific are still larger in the models than in observations and are attributed to the stronger trade winds in the models. When both of MIROC6 and MIROC5 are executed as stand-alone AGCMs with the prescribed SST obtained from observations, the overestimate of the equatorial trade winds also appears due to overestimate of the upward winds over the maritime continent associated with deep cumulus convection and the resultant strengthening of the Walker circulation over the equatorial Pacific. Better parameterizing deep cumulus convection in the models could be required". Please read the lines 677-684.

L.648: present-day conditions. Specify the years of the observation. (1980-2009)?

In the revised manuscript, the years are specified as "while observations are taken in present-day conditions of 1980–2009...". Please read the lines 7070-711.

Figure 18: Adding a plot for the observed sea surface height will be helpful.

A figure of observed sea level height has been added as Fig. 18a and the reference for the observation data has been written in References (please see Rio et al. 2014).

L.687: strengthening of the Aleutian low lead to increase in southward transport...

I could not understand why the strengthening of the Aleutian low lead to increase in southward transport along the west coast.

We have rephrased the corresponding sentence as "Warm SAT and SST biases along the west coast of the North America are smaller in MIROC6 than in MIROC5. The reason is that an increase of southeastward Ekman transport in the eastern subarctic North Pacific due to the strengthening of the mid-latitude westerly jet (Fig. 10) and the Aleutian low tend to cancel out the relatively warm water supply from the subtropics to the subarctic region by the surface geostrophic current". Please read the lines 7555-759.

# L.919: first 20 years

By the CMIP6 protocol, 150 year-long simulations are requested. ECS may change according to the length of analysis period. Describe why you made analysis for the first 20 years.

The authors agree that analysis for the first 20 years is not consistent with the CMIP6 protocol. Following the comment, we repeated the analysis using the first 150-yr-long data, and confirmed that the results were similar to the ones based on the first 20-yr-long data. The manuscript is updated based on the present

analysis. Please read Section 3.3 of the revised manuscript. Also, Figures 30, 31 and Tables 2 and 3 have been replaced by the revised ones.

L.939: are consistent with... $\rightarrow$  "are correlated with"

In the revised manuscript, "are consistent with.." was replaced by "are correlated with". Please read the lines 1015-1019.

L.998: subarctic (tropical) region are underestimated (overestimated) in MIROC6 (MIROC5)

"subarctic (tropical) region are underestimated in MIROC6 (MIROC5)" or "subarctic region are underestimated (overestimated) in MIROC6 (MIROC5)"

Following the reviewer's comment, we have rewritten the sentence as "Signals associated with AMO in the subarctic (tropical) region are underestimated in MIROC6 (MIROC5)". Please read the lines 1073-1074. Thank you very much.

L.1053: which is consistent with...in observations  $\rightarrow$  which is in the acceptable range.

In the revised manuscript, the corresponding sentence is rewritten as "the global TOA radiation imbalance in MIROC6 is about  $-1.1 \text{ Wm}^{-2}$ , which is in the acceptable range of observations". Please see the lines 1128-1130.

# **Technical corrections**

- L.185: is insufficient  $\rightarrow$  delete : Deleted.
- L.229: in order to to  $\rightarrow$  in order to : Fixed.
- L.433: , which has a shallow...: It is unnecessary as it already described in section 2.1.

The corresponding sentence was deleted in the revised manuscript.

L.481: 2.9 (3.1) Wm<sup>-2</sup> in MIROC5  $\rightarrow$  2.9 (-3.1) Wm<sup>-2</sup> in MIROC5: We have added "-" in front of "3.1".

L.490: better simulated in MIROC5  $\rightarrow$  better simulated in MIROC6 : Fixed.

L.922: -1.5  $\text{Wm}^{-2} \rightarrow -1.5 \text{ Wm}^{-2}\text{K}^{-1}$  :Fixed. Thank you for your comment.

L.987: , qualitatively  $\rightarrow$  delete. : Deleted.

Response to reviewers' comments on " Description and basic evaluation of simulated mean state, internal variability, and climate sensitivity in MIROC6" by Tatebe et al.

## **Reply to the reviewer #2**

#### **General comments**

The authors describe in this manuscript version 6 of the climate model MIROC and its performance. Model description papers are useful to provide information that may be needed in future more science-oriented publications based on simulations with the respective model. Selecting the material for a model description paper is however a difficult task because it is clear that the information will always be insufficient to recreate the model from the description. I think that the authors present in general an appropriate selection of material. They only mention details of the model and its parameterizations where there are differences from the predecessor MIROC5, and they provide more or less typical evaluations of the simulated mean climatologies and variability based mostly on a pre-industrial control simulation. I appreciate that the authors describe the tuning procedure applied for arriving at the final model configuration. The presentation is in general clear and the use of language appropriate. I would recommend the editors to check in particular the use of articles, however. In general I would recommend publication of the article after introduction of minor revisions which I will list in the following.

We would like to thank the reviewer for taking the time to carefully read our manuscript, for several very valuable suggestions, and English grammatical corrections. We would like to answer the questions given by the reviewer and to describe how we have revised our manuscript point by point. Please note that our replies are written in red letters in this reply letter.

#### **Reply to specific comments**

L34 It's not clear to me what "directly resolved stratosphere" means. Could one resolve it indirectly? In the revised manuscript, we rephrased it as "to the inclusion of the stratosphere". Please read the lines 34-35.

Introduction: I would recommend to shorten the introduction. This is a paper for specialists who know about global warming, IPCC, and the purposes of climate models. It would be good to report which specific goals the MIROC6 development had but I would cut the general introduction.

Thank you for your suggestions. Recently, the publication policy of GMD requires the short summary of a manuscript which is for non-specialists as well as specialist because simulation models are related scientific issues are of great concern of various fields of climate sciences and socio-economic sciences. Also, as stated in the WCRP conference in 2011, the decrease of the number of climate modelers is recognized as important problem to be solved for healthy progress of climate sciences and its application to mitigation and adaptation of human society to the changing climate (please see the slides 21-25; https://www.wcrp-climate.org/conference2011/orals/A4/Jakob A4.pdf), suggesting that the importance of recruitment of students and younger scientists to climate model developments. As well as in climate centers in the world, we, Japanese climate modelling community, have faced the same problem for promoting climate modelers. In order to make some contributions to this issue, the authors wrote comprehensive description on motivations, purposes, and history of our model development to students and young researchers who may be interested in climate sciences as well as socio-economic scientist who will use our simulation data. We would be grateful if you could understand why we wrote rather long introduction in the present manuscript. On the other hand, the authors agree with your comment. So, we have revised, shortened, and reconstructed as possible as we could. Please read the introduction in the revised manuscript. Thank you very much for your suggestion again.

L42 "...has been already observed that..will drastically change": Rephrase if you want to keep this sentence. We have rephrased the sentence as "As the global warming due to increasing emissions of the anthropogenic greenhouse gases progresses, global and regional patterns of atmospheric circulations and precipitation as well as temperature are projected to be drastically changed at the end of the twentieth-first century". Please read the 1st sentence of Section 1.

L46 Not clear what "will increase" means e.g. for tropical cyclones. In size? Its number? Its strength? We have rewritten the sentence as "occurrence frequency of extreme weather events such as heatwaves, droughts will be increased and extratropical cyclones will be stronger than in the present" in the revised manuscript. Please see the lines 46-48.

L56 Why are only the two most recent ARs mentioned (if one wants to mention them at all)? In revised manuscript, the citation of IPCC as (IPCC 2007; 2013) is deleted and IPCC (2007) is removed from the reference list. Please read the lines 57-59. L64 Is there such a consensus that "sophisticating...parameterizations...are necessary" "to reduce uncertainties...in climate projections"?

In my opinion and as described in Chapter 9 of IPCC-AR5 WG1, climate model development towards resolving various processes or representing unresolved sub-grid scale phenomena based on process-oriented understanding of physical processes could contribute to more reliable climate projections. But, in the revised manuscript, we have avoided using affirmative expressions and have rewritten the corresponding sentence as "To reduce the uncertainties and errors in climate projections and predictions, utilizing observations, extracting essences of physical processes in the real climate, and investigating the response of the climate system to various external forcings based on a set of climate model simulations are necessary. In particular, a state-of-the-art climate model which can represent various processes in the Earth's climate system is a powerful tool for deeper understanding the Earth's climate system." Please read the lines 65-70.

L82 What means "K-1 model developers"

K-1 model developers (2004) is a technical report which was published by the Center for Climate System Research, the Univ. of Tokyo. The report was edited by H. Hasumi and S. Emori, but the first author is not specified. Although "K-1 model developers" doesn't look like a reference, a manuscript, which was published in GMD in 2011 (Watanabe et al. 2011, vol. 4, 845-874) cited this report in a same manner as in the present manuscript. So, "K-1 model developers" remains unchanged in the revised manuscript.

L116 As before: How may improvement of parameterizations "may result in reducing uncertainty"? We have deleted "and may result in reducing uncertainty range of climate projections". Please see the line 116.

L126 The sentence on the "signal-to-noise ratio" is difficult to understand.

We have deleted " because the signal-to-noise ratio is smaller in the mid-latitude atmosphere than in the tropics". Please see the lines 126-128.

L139/140 I'd try to avoid terms like high-resolution" or "medium resolution" The notion of what is high, medium or low is very different among climate modelers and certainly changes over time.

I agree with the reviewer's comment. We have rephrased the sentence as "Considering that the computational costs of large ensemble predictions based on climate models with horizontal resolutions of,

for example, 50 km atmosphere and eddy-resolving ocean are still huge on recent computer systems, the use of relatively low resolution models such as MIROC6...". Please read the lines 136-140. Also, we have tried to avoid the use of terms like "medium" or "high" resolution through the text.

L151 I suggest to add a sentence on how MIROC relates to MIROC-ESM (which is referred to in Section 3.2.2). In my understanding MIROC6 is a climate model that concentrates on the physical part of the Earth system and it would be useful to mention that because many of the models used in CMIPs these days include some component cycles.

Following the comments, we have added a sentence on our earth system model and relationship between the earth system model and MIROC. Please read the lines 148-150.

Section 2: I'm missing some technical information in the model description. I guess that in particular time steps (atmosphere, ocean, coupling, exceptions for specific parameterizations) had to be changed in comparison to MIROC5.

Following the comment, we have written the timesteps used in the sub-models, coupling interval and specific parameterizations in MIROC6 and MIROC5. Please see the lines 178-181, 247-248, 287-288, and 364-366 in the revised manuscript.

Fig. 1: Do the marks indicate half levels or full levels or what else? The marks indicate model half levels. We have revised the caption of Figure 1.

L164 Why is there a Table A1? I'd suggest to use a simple numbering for all tables.

The corresponding table is placed in Appendix. So, we numbered as Table A. But, we had typo in the previous manuscript. In the revised manuscript, "Table A1" is replaced by "Table A".

L174 I would speak of "model top", not "TOA".

"TOA" is replaced by "model top". If this replacement is adequate, other TOA in the manuscript is also replaced by "model top".

L184 Not clear what is meant with "dry air : : : is insufficient".

The sentence was not grammatically correct. We have corrected the sentence as " These biases appear to be the result of insufficient vertical mixing of the humid air in the planetary boundary layer and the dry air in the free troposphere". Please read the lines 186-187.

L224 Remove "a" .: "a" is removed. Thank you for your comment.

L225 Not clear why there is reference to "future versions". Does the current model version use the described features or not?

The current model (MIROC6) use the described features. The authors just wanted to express "extended capability may be effective in future climate modeling study. To avoid confusion, the corresponding sentence has been removed in the revised manuscript.

L233 Tuning of gravity wave parameterizations. Often, the Hines parameterization is used with very simplified and globally homogeneous characteristics of the gravity waves at the launching levels? This may make it, however, difficult to tune as well the QBO and high-latitude circulations. In particular as this is a feature new to MIROC it would be useful to elaborate a bit more on the tuning of GW parameters.

We have revised the text to include some more explanations on the non-orographic gravity wave parameterization. In the revised manuscript, "Following Watanabe (2008), a present-day climatological source of non-orographic gravity waves, which is estimated using results of a gravity wave-resolving version of MIROC-AGCM (Watanabe et al., 2008), is launched at the 70 hPa level in the extratropics, while an isotropic source of non-orographic gravity waves is launched at the 650 hPa level in the tropics" has been added. Please read the lines 231-235. The corresponding references (Watanabe 2008; Watanabe et al. 2008) have been added to the reference list.

L244 Example of the SSNOWD parameterization: It is useful to mention that the new parameterization is "physically" based. But in general I would like to read what the motivation for introducing changes with respect to MIROC5, what the expectations were, and, later in the evaluation, if the expectations were met. This is done well for some of the changes (e.g. L271: "increased vertical layers have been adopted in order to .."), but less for others (e.g. the tripolar ocean grid mentioned at line 262). I would like to ask the authors to do this more consistently for the changes because it may help other modeling groups to judge if specific changes may be worthwhile to apply in other models or not.

Thank you for the comment. Regarding the SSNOWD, we have added the descriptions about the reason we implemented the scheme as "in order to improve seasonal cycle of snow cover". Please read the lines 250-252. For the ocean component, we have added the sentences "By introducing the horizontal tripolar

coordinate system, it is expected that theoretical westward propagation of the oceanic baroclinic Rossby can be represented with less numerical dispersions because of agreement of the coordinate system and the geographical coordinate system and that the horizontal resolutions in the Arctic Ocean where the Rossby radius of deformation is relatively small are higher than in the case where the bipolar warped coordinate system in MIROC5 is adopted". Please read the lines 274-279.

L309 Only extinction coefficients would not allow to compute the radiative effects of aerosols.

In the revised manuscript, the corresponding sentence has been rewritten as "Radiative forcing of stratospheric aerosols due to volcanic eruptions are computed by vertically integrating extinction coefficients for each radiation band, which are provided by Thomason et al. (2016), in the model layers above the tropopause". Please read the lines 321-323.

L322 "These" instead of "This".: Done.

L328 I guess averages over these time periods are used?

Yes. In the revised manuscript, we added "averaged" in front of the periods.

L344 "land surface components are determined" sounds odd. I guess they are interpolated from some dataset. Please specify.

Following the comment, we have added the information on how to make coastline and topography of the atmospheric and land surface models as well as those in the ocean component. The corresponding sentences have been rewritten or added as "Ocean model coastline geometry and bottom bathymetry are specified based on horizontal interpolation of the land and sea-floor dataset of ETOPO5 (National Geophysical Data Center, 1993).", "the land-sea distribution and land-sea area ratios on the atmospheric and land surface model grids are determined according the coastline geometry of the ocean component", and "Surface topography in the atmospheric and land surface component are also made using the ETOPO5 dataset. Note that horizontal grid arrangement of the land surface model is exactly same as the atmospheric component". Please read the lines 349-351, 359-360, 361-365. The reference for ETOPO5 dataset has been added to the reference list.

# L350 "Reproducibility" of what?

In the revised manuscript, we have rephrased as "reproducibility of climatic-mean state and internal climate

### variations". Please see the lines 369-370.

L362 Here, the first tuning step for the coupled model is described. But there needs to be some procedure to specify tuning parameters in the component models, or not? Furthermore, I think it would be very useful for other modellers to be more specific about which parameters have been tuned to which effect. In some places this is described in acceptable detail, but in particular the first two sentences of this paragraph are very vague.

As pointed out by the reviewer, before coupling component models, parameter tuning was done in stand-alone component model. However, the tuning procedures were complex and depend on the component model group in our modeling community. So, it is better to describe only the parameter tuning procedure for coupled system, we think. Thank you for your suggestion.

Following the 2nd comment by the reviewer, we have described details on tuning parameters for tropical climate system as "Specifically, parameters of reference height for cumulus precipitation, efficiency of the cumulus entrainment of surrounding environment and maximum cumulus updraft velocity at the cumulus base are used to tune strength of the equatorial trade wind, climatological position and intensity of the Inter-Tropical Convergence Zone (ITCZ) and South Pacific Convergence Zone (SPCZ), and interannual variability of El-Niño/Southern Oscillation (ENSO). In particular, the parameter for the cumulus entrainment is known as a controlling factor of ENSO in MIROC5 (Watanabe et al., 2011). Summertime precipitation in the western tropical Pacific and characteristic of tropical intraseasonal oscillations are tuned by using the parameter for shallow convection describing the partitioning of turbulent kinetic energy between horizontal and vertical motions at the sub-cloud layer inversion". Please read the lines 385-393.

L376 Which "cooling effects" are meant, here? Aerosol-cloud effects as mentioned in the sentence before? Or total aerosol effects? I'd also prefer to speak of "radiative forcing" instead of cooling effects.

We tuned the total radiative forcing associated with aerosol-radiation interaction and aerosol-cloud interaction. In the revised manuscript, we have specified this and also have used "radiative forcing" instead of "cooling effects". Please read the lines 402-407.

L402 Apparently global mean SAT is not a tuning goal. It might be useful to mention this, and also why not. Additionally, I'd like to read a comment on the imbalance of about 1 Wm-2 that seems to exist in equilibrium. It seems like there is some artificial energy source in the model. Is there any knowledge where this originates from? Atmosphere, ocean, dynamical core, specific parameterizations? Is it known if this changes with the model state?

Following the comment, we have inserted the sentences "As above-mentioned, reproducibility of the global-mean SAT is not a tuning goal but is a typical metric which reflects results of the parameter tunings for individual processes of convections, dynamics, and radiative forcing" in the lines 416-418 in the revised manuscript. And following the 2nd comment of the reviewer, we have added the explanation on the heat energy inconsistency between the TOA radiation budget and the ocean heat uptake in association with the model imperfection. We have rephrased the last paragraph of Section 2.5 as "The trend of the global-mean ocean temperature in the later period suggests slight but continuous warming of the deep ocean. The radiation budget at the TOA is 1.1 Wm<sup>-2</sup> downward on average (linear trend of  $9.5 \times 10^{-3}$  K/100 yr) and the net heat input at the sea surface is  $0.32 \text{ Wm}^{-2}$ . The deep ocean warming is explained by the net heat input. Note that there is about 0.78  $\text{Wm}^{-2}$  inconsistency between the TOA radiation budget and the ocean heat uptake. This heat energy inconsistency is due to that internal energy associated with precipitation, water vapor and river runoff is not taken account in the atmospheric and land surface component in MIROC6 and that these waters with no temperature information implicitly set their temperature to the SST when they flow or fall into the ocean. Perpetual melting of the prescribed Antarctic ice-sheet with invariant ice thickness, which is occurred due to the warm SAT bias in the Antarctic region (details will be discussed in Section 3.1.3), is also a cause of the heat energy inconsistency".

Fig. 4 and corresponding text: Names for the TOA fluxes are confusing. What is called NET is actually the total net flux, while what is called OSR is the net SW flux.

Thank you for the comment. To specify what NET, OSR, and OLR denote in the present manuscript, we have added the sentence "Hereafter, net shortwave, longwave, and the sum of them are denoted as OSR, OLR and NET, respectively, for simplicity" to the text and the caption of Figure 4. Please see the lines 473-474.

L426 The sentence on the consideration of "global-mean values" for RMSE calculations is difficult to understand. Maybe provide a formula or clearer description on how the RMSE are calculated? Is that true for all RMSE in this manuscript? It would be good to mention in every caption of Figures where RMSE are presented how these values are calculated, i.e. in particular if a global or some other mean have been subtracted before calculation of the error. The OLR in Fig. 4 looks particularly confusing without such information because while the RMSE is smaller in MIROC6 than in MIROC5 one would guess otherwise

from the color shading because of the dominance of red in the case of MIROC6.

In the present manuscript, all of RMSE were calculated without global-mean values. We have described this clearly in the revised manuscript as "In the present manuscript, RMSE is computed without model and observed global-mean quantities unless otherwise noted". Please read the lines 469-470 and the caption of Fig. 4. The above is not described in every figure where RMSE are presented in order to avoid lengthy caption, and a formula for RMSE calculation is not added because we use the most conventional formula. Thank you for your suggestion.

L476 I accept that for many climate variables it may not be essential if the evaluation is done for a pre-industrial or present-day simulation. But for some it is crucial. The energy balance is such a case, because the total net TOA flux should be zero in equilibrium. One can't say that the imbalance in the models is consistent with some observed imbalance, because the latter is related to the system not being in balance currently. It is also necessary to provide a reference for the observed value.

Following the comment, we have added the sentence "However, the observed value is estimated in the present-day condition. Ideally, the model value in the preindustrial condition should be 0 Wm<sup>-2</sup> and is in the marginally acceptable range.". The reference of the observed values has been added as "(CERES; Loeb et all, 2009)". Please read the lines 521-522, and 520. Also, we have described possible cause of the non-zero TOA flux in our climate model. Please read our reply to the reviewer's comment to L. 402.

Fig. 4 and others. Parts of this and other figures are very blurred. This should be improved for the final publication.

Although the figures in the automatically-generated PDF manuscript look blurred, all the figures in the present manuscript was originally prepared in the EPS format. In final publication, higher resolution figures based on the EPS figure files can be used.

L512 The region of the western tropical Pacific is singled out as a region of improvement in MIROC6. It should be mentioned that it seems that in other regions there is a clear worsening.

Following the comment, we have added the sentences "On the other hand, model representation of the precipitation in MIROC6 is not necessarily alleviated other than the western tropical Pacific. For example, the overestimate of wintertime precipitation over the Indian Ocean and the mid-latitude North Pacific is worse in MIROC6 than in MIROC5" in the lines 561-564.

L518 For the discussion of the upper stratospheric warm bias it also matters that present-day and pre-industrial are compared due to the known stratospheric cooling with increased GHGs and reduced ozone.

As shown in the figure just below and as suggested by the reviewer, the zonal-mean climatology of the stratospheric (tropospheric) temperature in 1980-2009 of a historical simulation is colder (warmer) than in the preindustrial simulation. Thus, the temperature bias shown in Fig. 7c can be smaller when the modeled temperature in the present-day simulation is compared with observations. In the revised manuscript, we have added the sentences "Note that the zonal-mean temperature bias in Fig. 7c is smaller when the climatological-mean temperature from 1980 to 2009 in a historical simulation are evaluated against observations because of the known stratospheric cooling with increased greenhouse gases and reduced  $O_3$  concentrations." in the lines 579 -582.



Zonal-mean climatological temperature difference between a historical simulation (1980-2009) and the preindustrial simulation (shading). Contours denote values in a historical simulation.

L519: Again, I would prefer to speak of model top or lid and not of TOA. In the revised manuscript, "model top" is used instead of TOA.

L522 It would be good to say that this is the stream function of zonal mean meridional winds and not of residual winds, I guess.

Following the comment, we have specified. Please read the line 571. Thank you for the comment.

# L524 Please rephrase this sentence.

In the revised manuscript, we have rephrased the sentence as "It is considered that an increased upward advection of the temperature minimum around the tropopause in 30°S–30°N may lead to reduction of warm temperature bias in the stratosphere which is significant in MIROC5." Please read the lines 572-574.

L530 I guess the absorption of LW radiation plays a minor role compared to SW radiation.

We just had a wrong description. In the revised manuscript, "longwave" has been replaced by "shortwave". Please see the line 579. Thank you for your suggestion.

L561 "extend", not "extends"

Done.

L561 Not clear what "more active troposphere-stratosphere" interactions are supposed to mean (radiative, wave coupling, trace gas exchange?) and why the stream functions would indicate that.

As shown in Fig. 25 and its explanation described in Section 3.2.2, the stratosphere-troposphere interactions associated with the Northern Annular Mode is better simulated in MIROC6 than in MIROC5. Thus, we have specified and rephrased as " more active troposphere-stratosphere interactions associated with wave-coupling exist in MIROC6". Please read the lines 614-615.

L566 There is no "remarkable improvement" in May. Furthermore, I suggest to avoid subjective terms like "remarkable". Please check all the text.

In the revised manuscript, the corresponding sentence has been changed to "it can be seen that the former parameterization brings about significant improvement in the Northern Hemisphere snow cover fractions from the early to the late winter" and the sentences " Note that no clear improvement is found in May" has been added. Please see the lines 619-62 and 625. Following the comments, we have deleted or rephrased "remarkable" through the text. Thank you for your suggestion.

L586 "into which cold and dense water forms" Please rephrase.

The sentences have been rephrased and the associated sentences have been also rewritten for clear descriptions. Please read the lines 638-641.

L609 This is no sentence.

"and" at the last of the sentence has been removed in the revised manuscript.

L612 The caption of Fig. 15 says only "temperature" while here you speak of "potential temperature". "potential" have been added in the caption of Fig. 15. Thank you for your comment. L614 Please rephrase "is risen": "risen" is replaced by "upwelled". Please see the line 671...

L623 "Better representation of cloud physics" would be "required" for what?

We have specified for "what" and have revised the corresponding sentences as " Better parameterizing deep cumulus convection in the models could be required for better representation of the equatorial trade winds and thus oceanic states." Please read the lines 682-684 and the lines just before.

L638 Remove "to". : Done.

L648 It would be useful to check if the historical simulation shows more realistic numbers in the comparison to present-day sea ice.

As shown in the figure just below, the model does not capture the realistic number and amplitude of drastic decrease of the sea-ice area. However, the September sea-ice area in 1980–2009 of historical simulations in MIROC6 is smaller than the observations, indicating that decreasing trend of the sea-ice area in the twenties century in MIROC6 is slightly larger than in observations. In the revised manuscript, the corresponding descriptions have been added. Please read the lines 711-715.



Time series of the Northern Hemisphere sea-ice area in historical simulations of MIROC6 (blue) and observations (black). Because data reliability before 1979 is not high in observations, the observed values are plotted only after 1979. Note that each blue line indicates the result from each ensemble historical simulation.

L649 Is there any idea why Antarctic sea ice is strongly underestimated?

The possible reason is described in the 2nd paragraph of Section 3.1.3 together with another prominent biases and theirs causes.

L655 Please specify what "sea level height" is presented in Fig. 18 and to which data it. Is compared in the text.

We have rewritten the corresponding sentence and the caption of Fig. 18 as "sea level height relative to the geoid" (L. 720). Also, a figure of observed sea level height has been added to the revised manuscript as Fig. 18a.

L676 "land surface variables" Actually I identify only one: snow cover.

"land surface variables" has been replaced by "the snow cover fractions in the Northern Hemisphere". Please see the line 744.

L678 Again, global mean SST and SAT are variables for which the comparison of preindustrial simulations and present-day observations is misleading.

Following the comment, we have added notes as " However, since the observed (model) value is estimated in the present-day (preindustrial) condition, the model global-mean SATs and SSTs are overestimated". Please read the lines 749-750.

L695 A prominent feature of SST and SAT biases is the strong warm bias close to Antarctica. This should be mentioned when discussing these variables.

I agree with the reviewer's comment. For example, you can find descriptions on the warm biases in the last sentence of the 3rd paragraph of Section 4 (Summary and Discussions) as "In the Southern Hemisphere, however, the underestimate of mid-level clouds and the corresponding warm SAT bias, the underestimate of sea-ice area, and the overestimate of incoming shortwave radiation in the Southern Ocean, all of which are attributed to errors in cloud radiative and planetary boundary layer processes (e.g., Bodas-Salcedo et al., 2012; Williams et al., 2013), remains the same as in MIROC5".

L718 It might be good to also mention the apparently missing features WIG and possibly EIG in the models. And what about anti-symmetric waves?

Thank you for your suggestion. In revised manuscript, we have mentioned the missing features of WIG and EIG waves. Also, we have added the figures for the zonal wavenumber–frequency power spectra of antisymmetric waves to Fig. 20 and descriptions on the antisymmetric waves. Please read the 1st paragraph of Section 3.2.1.

L727 Remove "and". : Done.

L743 Remove "MIROC6" : Done.

L760 "become" Rephrase.

In the revised manuscript, "become closer to observations" has been changed to "is consistent with observations". Please see the line 836.

L778 MIROC-ESM should be introduced in the beginning (or here)

Following the comments, we have added a sentence on our earth system model and relationship between the earth system model and MIROC. Please read the last sentence of Section 1.

L778 "whic": "whic" is replaced by "which" in the revised manuscript. Thank you very much.

L787 Remove "that" : Done.

L787 What means "correlations...are not clear"? Insignificant? Small? In the revised manuscript, "not clear" has been rephrased as "insignificant". Please see the line 864.

L793 SSWs are only a typical feature of the NH stratosphere.

In the revised manuscript, "a typical intraseasonal variability in the mid-latitude stratosphere" has been rephrased as "a typical intraseasonal variability of the mid-latitude stratosphere in the Northern Hemisphere". Please see the lines 869-870.

Fig. 24 and its discussion. I'd find it helpful to add Figures for January to make clear also the deficiencies of the model.

Following the comment, we have added January maps to Figure 14(d-f), and the figure caption and the text have been rewritten consistently with the new Fig. 14. Please read the paragraph starting from the line 869.

L807 One can't evaluate the polar night jet in Fig. 7e because it shows annual means. It might actually be an option to add some seasonal wind fields in Fig. 7. The paper has many figures anyhow, so I wouldn't mind adding a few more. Additionally there is a problem of chicken and egg with the wave-mean flow

interaction mentioned here.

Following the comment, we have added January maps to Figure 14 as written in the reply to the comment just above. And we have rephrased the corresponding sentence as " It is conjectured that the less frequent SSW in December–January could be attributed to less frequent stationary wave breakings due to overestimate of climatological zonal wind speed of the polar night jet in MIROC6 (Figs. 24d and e)". Please read the lines 884-887.

L846 Rephrase "existence depths".

In the revised manuscript, we have replaced "However, the existence depths of the subsurface signals are larger in MIROC6 than in observations" with "However, the subsurface signals in MIROC6 reside deeper than in observations." Please read the line 924.

L885 "SLP anomalies are larger and better represented in MIROC6" The maximum is deeper, but otherwise I find it hard to judge which of the two models is better.

In the revised manuscript, the corresponding sentence is rephrased as "it can be seen that the amplitudes of the SLP anomalies in MIROC6 are larger than in MIROC5, which is closer to the observation". Please read the lines 962-963.

L889 I'd avoid words like "excessively" which are subjective statements.: "excessively" is deleted.

L918 In IPCC AR5 and also the paper by Andrews et al. (2012) which is cited, here, climate sensitivity and forcing are calculated from 150 years of the 4xCO2 simulation, not 20 years. I would suggest to follow this 150-year standard to ensure comparability. Some models show clear non-linearities during this period. It seems like the effect is relatively small in MIROC5, but this would need to be confirmed for MIROC6.

The authors agree that analysis for the first 20 years is not consistent with the CMIP6 protocol. Following the comment, we repeated the analysis using the first 150-yr-long data, and confirmed that the results were similar to the ones based on the first 20-yr-long data. The manuscript is updated based on the present analysis. Please read Section 3.3 of the revised manuscript. Also, Figures 30, 31 and Tables 2 and 3 have been replaced by the revised ones.

Tables 2 and 3: It would be convenient for the reader to combine the tables. We consider the table may be more complex and the caption would be very long if the Tatebe 2 & 3 are combined. So, Table 2 & 3 are not combined in the revised manuscript. Thank you for your comment.

L966, 979 Again, please avoid "remarkably".: "remarkably" has been deleted.

#### L999 Why would ECS quantify uncertainty?

The sentence was not appropriate because ECS quantifies climate change itself, not uncertainty of climate change. We therefore rephrased the sentence "As a metric for climate change induced by atmospheric CO2 increase, ECS is also estimated". Please see the line 1075.

L1028 It's true that the hiatus is sometimes associated with the IPO, but there are plenty of other attempts to explain it and even arguments that the real reason maybe unidentifiable. So I'd suggest to not only mention the IPO.

We have added other candidate for the hiatus and we have rewritten the corresponding sentences as "The observed hiatus is considered to occur in association with a negative IPO phase as internal climate variations (e.g., Meehl et al., 2011; Watanabe et al., 2014). As external drivers of the hiatus, the weakening of solar activity and increase in stratospheric aerosols are given as possible candidates, for example (e.g., Solomon et al., 2010; Kaufmann et al., 2011)". Please read the lines 1103-1107 in the revised manuscript.

L1031 I don't understand this sentence. I agree that the simulated hiatus could be spurious, but the argument of the ensemble mean wouldn't support this.

After submitting the manuscript, we increased the number of ensemble historical simulations by MIROC6 up to 30 members. When we redrew the time series of the global-mean SAT anomalies using 30 members, the hiatus-like temperature change in the early 21th century is vanished and continuous temperature rise is appeared. We have replaced Fig. 32 by the new one with 30 ensemble members and we have rewritten the descriptions on the model hiatus as " The so-called recent hiatus of the global warming (Easterling and Wehner, 2009) in the first decade of the twenty-first century is not simulated in both of MIROC6 and MIROC5" and as " Failure of simulating the hiatus in the models could be attributed to uncertainties in the historical forcing datasets or cancellation of internal climate variations of the IPO by ensemble-mean manipulation of the individual historical simulations". Please read the lines 1101-1103 and 1107-1109 in the revised manuscript.

L1055 Should a new paragraph start here?

Because we would like to give an example of error compensation in oceanic processes, we did not start a new paragraph here. In the revised manuscript, we have rewritten the sentence describing the oceanic error compensation and have not started a new paragraph. Please read the line 1130-1135.

L1068 I have no idea why the final sentence suddenly makes a statement concerning component cycles which were not at all mentioned anywhere else in the text.

In the revised manuscript, the corresponding sentence has been deleted.

L1074 I don't know what the policy of GMD is concerning the availability of primary data (which I think should be the code of the model and all input data needed to redo the experiments), but I find it problematic that the code is only available under the condition of "collaborative research". As mentioned in my initial statement, a model description is necessarily incomplete. It can only be completed by the model code.

Following the reviewer's suggestions and that the simulation data used in the present manuscript have been distributed from December 2018 and the data are freely accessible, we have rewritten the code and data availability part as "Please contact the corresponding author if readers may want to validate the model configurations of MIROC6 and MIROC5 and to conduct replication experiments. The source codes and required input data will be provided by the modeling community where the author belongs. The model output from the CMIP6/CMIP5 pre-industrial control and historical simulations used in the present manuscript are distributed through the Earth System Grid Federation and are freely accessible. Details on ESGF are given on the CMIP Panel website (https://www.wcrp-climate.org/wgcm-cmip)."

Description and basic evaluation of simulated mean state, internal variability, and climate sensitivity
in MIROC6

3

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24 Abstract

25 The sixth version of the Model for Interdisciplinary Research on Climate (MIROC), called 26 MIROC6, was cooperatively developed by a Japanese modeling community. In the present manuscript, 27 simulated mean climate, internal climate variability, and climate sensitivity in MIROC6 are evaluated 28 and briefly summarized in comparison with the previous version of our climate model (MIROC5) and 29 observations. The results show that overall reproducibility of mean climate and internal climate 30 variability in MIROC6 is better than that in MIROC5. The tropical climate systems (e.g., summertime 31 precipitation in the western Pacific and the eastward propagating Madden-Julian Oscillation) and the 32 mid-latitude atmospheric circulations (e.g., the westerlies, the polar night jet, and troposphere-33 stratosphere interactions) are significantly improved in MIROC6. These improvements can be 34 attributed to the newly implemented parameterization for shallow convective processes and to the 35 inclusion of the directly resolved stratosphere e. While there are significant differences in climates and 36 variabilities between the two models, the effective climate sensitivity of 2.5 K remains the same 37 because the differences in radiative forcing and climate feedback tend to offset each other. With an 38 aim towards contributing to the sixth phase of the Coupled Model Intercomparison Project, designated 39 simulations tackling a wide range of climate science issues, as well as seasonal-to-decadal climate 40 predictions and future climate projections, are currently ongoing using MIROC6.

41

# 42 **1 Introduction**

As the global warming due to increasing emissions of the anthropogenic greenhouse gases progresses, it is anticipated, or has been already observed that global and regional patterns of elimatie mean atmospheric temperature, circulations, and precipitation as well as temperature are projected to <u>be will</u> drastically changed <u>until the end of the twentieth-first century (e.g., Neelin et al., 2006; Zhang</u> et al., 2007; Bengtsson et al., 2009; Andrews et al., 2010; Scaife et al., 2012) and that <u>occurrence</u> frequency of

49 extreme weather events such as heatwaves, droughts will be increased, and extratropical cyclones will 50 be stronger than in the present increase (e.g., Mizuta et al., 2012; Sillmann et al., 2013; Zappa et al., 51 2013). Corresponding to the atmospheric changes under the global warming, the sea levels will rise 52 due to the thermal expansion of sea water and ice-sheet melting in the polar continental regions (e.g., 53 Church and White, 2011; Bamber and Aspinall, 2013). Additionally, ocean acidification due to 54 absorption of atmospheric carbon dioxide (CO<sub>2</sub>) and changes in carbon-nitrogen cycles are expected 55 to lead to the loss of Earth biodiversity (e.g., Riebesell et al., 2009; Rockström, et al. 2009; Taucher 56 and Oschlies, 2011; Watanabe et al., 2017). Societal demands for information on the global and 57 regional climate changes have increased significantly worldwide in order to meet information 58 requirements for political decision making related to mitigation and adaptation to the global warming. 59 The Intergovernmental Panel on Climate Change (IPCC) has continuously published the 60 assessment reports (ARs) in which a comprehensive view of past, present, and future climate changes 61 on various timescales, including the centennial global warming, are synthesized (IPCC 2007; 2013). 62 Together with observations, climate models have been contributing to the IPCC-ARs through a broad 63 range of numerical simulations, especially, future climate projections after the twenty-first century. 64 However, there are many uncertainties in future climate projections and the range of uncertainties has 65 not been narrowed by an update of the IPCC reports. The uncertainties are arising from imperfections

66 of climate models in representing micro- to global-scale physical and dynamical processes in sub-67 systems of the Earth's climate and their interactions. To reduce the uncertainties and errors in climate 68 projections and predictions, utilizing observations, extracting essences of physical processes in the 69 real climate, and investigating the response of the climate system to various external forcings based 70on a set of climate model simulations sophisticating physical parameterizations of elimate models, 71 which represent unresolved sub-grid scale phenomena, are necessary. In particular, aA state-of-the-art 72 climate model which can represent various processes in the Earth's climate system is a powerful tool 73 for deeper understanding the Earth's climate system.

74 One of Japanese climate models, which is called MIROC (Model for Interdisciplinary 75 Research on Climate), has been cooperatively developed at the Center for Climate System Research 76 (CCSR; the precursor of a part of the Atmosphere and Ocean Research Institute), the University of 77 Tokyo, the Japan Agency for Marine-Earth Science and Technology (JAMSTEC), and the National 78 Institute for Environmental Studies (NIES). Utilizing MIROC, our Japanese climate modelling group 79 has been tackling a wide range of climate science issues and seasonal-to-decadal climate predictions 80 and future climate projections. At the same time, by providing simulation data, we have been 81 participating to the third and fifth phases of the Coupled Model Intercomparison Projects (CMIP3 and 82 CMIP5; Meehl et al. 2007; Taylor et al. 2011) which have been contributing to the IPCC-ARs by 83 synthesizing multi-model ensemble datasets.

In the years up to the IPCC fifth assessment report (IPCC-AR5; IPCC 2013), we have developed four versions of MIROC, three of which (MIROC3m, MIROC3h, and MIROC4h) have almost the same dynamical and physical packages, but different resolutions. MIROC3m (K-1 model developers, 2004) is <u>composed of a medium-resolution model consisting of T42L20</u> atmosphere and 1.4°L43 ocean-components. Resolutions of MIROC3h (K-1 model developers, 2004) are higher than MIROC3m and are T106L56 for the atmosphere and eddy-permitting for the ocean ( $1/4^{\circ} \times 1/6^{\circ}$ ). Only 90 the horizontal resolution of the atmosphere of MIROC3h is changed to T213 in MIROC4h (Sakamoto 91 et al., 2012). MIROC5 is a medium-resolution model composed nsisting of T85L40 atmosphere and 92 1.4°L50 ocean components, but with considerably updated physical and dynamical packages 93 (Watanabe et al., 2010). These models have been used to study various scientific issues such as the 94 detection of natural influences on climate changes (e.g., Nozawa et al., 2005; Mori et al, 2014; 95 Watanabe et al., 2014), uncertainty quantification of climate sensitivity (e.g., Shiogama et al., 2012; 96 Kamae et al., 2016), future projections of regional sea-level rises (e.g., Suzuki et al., 2005; Suzuki and 97 Ishii, 2011), and mechanism studies on tropical decadal variability (e.g., Tatebe et al., 2013; Mochizuki 98 et al., 2016).

99 During the last decade, our efforts have been preferentially devoted to providing science-100 oriented risk information on climate changes that is beneficial to international, domestic, and 101 municipal communities. For example, so-called event attribution (EA) studies with large ensemble 102 simulations initiated from slightly different conditions have been conducted in order to statistically 103 evaluate influences of the global warming on the occurrence frequencies of observed individual 104 extremes (e.g., Imada et al., 2013; Watanabe et al., 2013; Shiogama et al., 2014). Seasonal-to-decadal 105 climate predictions are also of significant concerns. By initializing prognostic variables in our climate 106 models using observation-based data (Tatebe et al., 2012), significant prediction skills in several 107 specific phenomena, such as the El Niño/Southern Oscillation (ENSO) and the Arctic sea-ice extent 108 on seasonal timescales, the Pacific Decadal Oscillations (PDO; Mantua et al., 1997), the Atlantic 109 Multi-decadal Oscillations (AMO; Schlesinger and Ramankutty, 2004), and the tropical trans-basin 110 interactions between the Pacific and the Atlantic on decadal timescales, are detected (e.g., Mochizuki 111 et al., 2010; Chikamoto et al. 2015; Imada et al., 2015; Ono et al., 2018).

112 However, while the applicability of MIROC has been extended to a wide range of climate 113 science issues, almost all of the above-mentioned approaches were based on <u>our</u> medium-resolution

114 versions of MIROC (MIROC3m and MIROC5), and it is well known that higher-resolution models 115 are capable of better representing the model mean climate and internal climate variability, such as 116 regional extremes, orographic winds, and oceanic western boundary currents/eddies than lower-117 resolution models (e.g., Shaffrey et al., 2009; Roberts et al., 2009; Sakamoto et al., 2012). Nevertheless, 118 even in high-resolution models, there remain persistent biases associated with, for example, cloud-119 aerosol-radiative feedback and turbulent vertical mixing of the air in the planetary boundary layer (e.g., 120 Bony and Dufresne, 2005; Bodas-Salcedo et al., 2012; Williams et al., 2013), which are tightly linked 121 with dominant uncertainties in climate projections. Therefore, improvement of physical 122 parameterizations for sub-grid scale processes is essential for better representing observed climatic-123 mean states and internal climate variability-and may result in reducing uncertainty range of climate 124 projections. As well as physical parameterizations, enhanced vertical resolution in both of atmosphere 125 and ocean components, along with a highly accurate tracer advection scheme, have been suggested to 126 have impacts on reproducibility of model-climate and internal climate variations (e.g., Tatebe and 127 Hasumi, 2010; Ineson and Scaife, 2009; Scaife et al., 2012).

128 Recently, we have developed the sixth version of MIROC, called MIROC6. This newly 129 developed climate model has updated physical parameterizations in all sub-modules. In order to 130 suppress an increase of computational cost, the horizontal resolutions of MIROC6 are not significantly 131 higher than those of MIROC5. The reason is that a larger number of ensemble members are required 132 to realize significant seasonal predictions of, for example, the wintertime Eurasian climate (Murphy 133 et al., 1990; Scaife et al., 2014) because the signal-to-noise ratio is smaller in the mid-latitude 134 atmosphere than in the tropies. Indeed, climate predictions by the older versions of MIROC having at 135 most 10 ensemble members are skillful only in the tropical climate andor the mid-latitude ocean not 136 in the smid-latitude atmosphere. - In addition, when evaluating the contributions of internal variations, 137 which will be done in preparation for use in the global stocktake, namely, a five-yearly review of each

138 countries' provisions to climate changes, established by the Paris Agreement in 2015, ILarge ensemble 139 predictions are may also be required in decadal-scale predictions in order to evaluate the human 140 influences on the near-term climate changes .-- The model top of the atmosphere (TOA) in MIROC6 141 is placed at the 0.004 hPa pressure level which is higher than that of MIROC5 (3 hPa), and the 142 stratospheric vertical resolution has been enhanced in comparison to MIROC5 in order to represent 143 the stratospheric circulations. Overall, the reproducibility of the mean climate and internal variability 144of MIROC6 is better than those of MIROC5, but the model's computational cost is about 3.6 times as 145 large as that of MIROC5. Considering that the computational costs of large ensemble predictions based 146 on climate high-resolution models with horizontal resolutions of, for example, 50 km atmosphere and 147 eddy-resolving ocean ing are still huge on recent computer systems, the use of relatively low medium-148 resolution models such as MIROC6 with further elaborated parameterizations can still be actively 149 useful in science-oriented climate studies and climate predictions produced for societal needs. 150 The rest of the present paper is organized as follows. We describe the model configuration, 151 tuning and spin-up procedures in Section 2, while simulated mean-state, internal variability, and 152 climate sensitivity are evaluated in Section 3. Simulation performance of MIROC6 and remaining 153 issues are briefly summarized and discussed in Section 4. Currently, MIROC6 is being used for various 154 simulations designed by the sixth phase of the CMIP (CMIP6; Eyring et al., 2016), which aims to 155 strengthen the scientific basis of the IPCC-AR6. In addition, IL arge ensemble simulations and climate 156 predictions using MIROC6 are also on-going will be conducted for science-oriented studies in our 157 modeling group, and for societal benefits. In addition, the latest earth system model version of MIROC 158 with the global carbon cycle, whose physical core will be MIROC6, has been developed for the CMIP6 159 towards further wide range issues of climate and societal applications (Hajima et al., in preparation). 160

161

# 162 **2 Model configurations and spinup procedures**

163 MIROC6 is composed of three sub-models: atmosphere, land, and sea ice-ocean. The 164 atmospheric model is based on the CCSR-NIES atmospheric general circulation model (AGCM; 165 Numaguti et al., 1997). The land surface model is based on Minimal Advanced Treatments of Surface 166 Interaction and Runoff (MATSIRO; Takata et al. 2003), which includes a river routing model of Oki 167 and Sud (2003) based on a kinematic wave flow equation (Ngo-Duc et al., 2007) and a lake module 168 where one-dimensional thermal diffusion and mass conservation are considered. The sea ice-ocean 169 model is based on the CCSR Ocean Component model (COCO; Hasumi, 2006). A coupler system 170calculates heat and freshwater fluxes between the sub-models in order to ensure that all fluxes are 171 conserved within machine precision and then exchanges the fluxes among the sub-models (Suzuki et 172 al., 2009). No flux adjustments are used in MIROC6. In the remaining part of this section, we will 173 provide details of MIROC6 configurations, focusing on updates from MIROC5. Readers may also 174 refer to Table A1 in Appendix where the updates are briefly summarized.

175

# 176 **2.1 Atmospheric component**

177 MIROC6 employs a spectral dynamical core in its AGCM component as in MIROC5. The 178 horizontal resolution is a T85 spectral truncation that is an approximately 1.4° grid interval for both 179 latitude and longitude. The vertical grid coordinate is a hybrid  $\sigma$ -p coordinate (Arakawa and Konor, 180 1996). The model top<del>TOA</del> is placed at 0.004 hPa, and there are 81 vertical levels (Fig. 1a). The vertical 181 grid arrangement in MIROC6 is considerably enhanced in comparison to that in MIROC5 (40 levels; 182 3 hPa) in order that the stratospheric circulations can be represented. A sponge layer that damps 183 wave motions is set at the model top level by increasing Rayleigh friction to prevent extra wave 184 reflection near the model top<del>TOA</del>. The atmospheric component of MIROC6 has standard physical 185 parameterizations for cumulus convections, radiation transfer, cloud microphysics, turbulence, and gravity wave drag. It also has an aerosol module. These are basically the same as those used in MIROC5, but several updates have been made, as will be detailed below. The parameterizations for cloud micro-physics and planetary boundary layer processes in MIROC6 are the same as in MIROC5. The standard timestep for MIROC6 is 6 minutes which is shorter than that of MIROC5 (12 minutes) because stratospheric winds whose speed sometimes exceeds 150 ms<sup>-1</sup> must be resolved in time integration. The timestep for radiative transfer models is set separately and is 3 hours in both of MIROC6 and MIROC5.

193 A cumulus parameterization proposed by Chikira and Sugiyama (2010), which uses an 194 entrainment formulation of Gregory (2001), is adopted in MIROC6 as in MIROC5. This 195 parameterization deals with multiple cloud types including shallow cumulus and deep convective 196 clouds. MIROC5, however, tends to overestimate the low-level cloud amounts over the low-latitude 197 oceans and has a dry bias in the free troposphere. These biases appear to be the result of insufficient 198 vertical mixing of the humid air in the planetary boundary layer and the dry air in the free troposphere 199 is insufficient. To alleviate these biases, an additional parameterization for shallow cumulus 200 convection based on Park and Bretherton (2009) is implemented in MIROC6. Shallow convections 201 associated with the atmospheric instability are calculated by the Chikira and Sugiyama (2010) scheme, 202 and those associated with turbulence in the planetary boundary layer are represented by the Park and 203 Bretherton (2009) scheme. The shallow convective parameterization is a mass flux scheme based on 204 a buoyancy-sorting, entrainment-detrainment single plume model that calculates the vertical transport 205 of liquid water, potential temperature, total water mixing ratio, and horizontal winds in the lower 206 troposphere. The cloud-base mass flux is controlled by turbulent kinetic energy within the sub-cloud 207 layer and convective inhibition. The cloud-base height for shallow cumulus is set between the lifting 208 condensation level and the boundary layer top, which is diagnosed based on the vertical profile of 209 relative humidity. When implementing the parameterization in MIROC6, the following conditions for

210 triggering the shallow convection are specified: 1) The estimated inversion strength (Wood and 211 Bretherton, 2006) is smaller than a tuning parameter, and 2) the convection depth diagnosed by a 212 separate cumulus convection scheme (Chikira and Sugiyama, 2010) is smaller than a tuning parameter. 213 The Spectral Radiation-Transport Model for Aerosol Species (SPRINTARS; Takemura et 214 al., 2000, 2005, 2009) is used as an aerosol module for MIROC6 to predict the mass mixing ratios of 215 the main tropospheric aerosols which are black carbon, organic matter, sulfate, soil dust, and sea salt, 216 and the precursor gases of sulfate (sulfur dioxide,  $SO_2$ , and dimethylsulfide). By coupling the radiation 217 and cloud-precipitation schemes in MIROC, SPRINTARS calculates not only the aerosol transport 218 processes of emission, advection, diffusion, sulfur chemistry, wet deposition, dry deposition, and 219 gravitational settling, but also the aerosol-radiation and aerosol-cloud interactions. There are two 220 primary updates in SPRINTARS of MIROC6 that were not included in MIROC5. One is the treatment 221 of precursor gases of organic matters as prognostic variables. In the previous version, the conversion 222 rates from the precursor gases (e.g., terpene and isoprene) to organic matters are prescribed (Takemura 223 et al., 2000), while an explicit simplified scheme for secondary organic matters was introduced from 224 a global chemical climate model (Sudo et al., 2002). The other is a treatment of oceanic primary and 225secondary organic matters. Emissions of primary organic matters are calculated with wind at a 10-m 226 height, the particle diameter of sea salt aerosols, and chlorophyll-a concentration at the ocean surface 227 (Gantt et al., 2011). The oceanic isoprene and monoterpene, which are precursor gases of organic 228 matters, are emitted depending on the photosynthetically active radiation, diffuse attenuation 229 coefficient at 490 nm, and the ocean surface chlorophyll-a concentration (Gantt et al., 2009).

The radiative transfer in MIROC6 is calculated by an updated version of the *k*-distribution scheme used in MIROC5 (Sekiguchi and Nakajima 2008). The single scattering parameters have been calculated and tabulated in advance, and liquid, ice, and five aerosol species can be treated in this updated version. Given the significant effect of crystal habit on a particle's optical characteristics

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234 (Baran, 2012), the assumption of ice particles habit has been updated from our previous simple 235 assumption of sphere used in MIROC5 to a hexagonal solid column (Yang et al., 2013) in MIROC6. 236 The upper limits of the mode radius of cloud particles have been extended from  $32 \,\mu m$  to  $0.2 \,mm$  for 237 liquids and from 80 µm to 0.5 mm for ice. Therefore, the scheme can now handle the large-sized water 238 particles (e.g., drizzle and rain) that have been shown to have a significant radiative impacts (Waliser 239 et al., 2011). This extended capability is expected to be effective in our future model versions, 240especially in situations where mass mixing ratios of the large-sized particles are predicted or diagnosed 241 in the cloud microphysics scheme.

Following Hines (1997) and Watanabe et al. (2011), a non-orographic gravity wave 243 parameterization is newly implemented into MIROC6 in order to to-represent realistic large-scale 244 circulations and thermal structures in the stratosphere and mesosphere. Following Watanabe (2008), a 245present-day climatological source of non-orographic gravity waves, which is estimated using results 246 of a gravity wave-resolving version of MIROC-AGCM (Watanabe et al., 2008), is launched at the 70 247hPa level in the extratropics, while an isotropic source of non-orographic gravity waves is launched at 248 the 650 hPa level in the tropics. Together with this parameterization, an orographic gravity wave 249 parameterization of McFarlane (1987) is also adopted as in MIROC5. In both the orographic and non-

250 orographic gravity wave parametrizations, wave source parameters at launch levels are tuned so that 251 the realistic seasonal progress of the middle atmosphere circulations, frequency of sudden 252 stratospheric warmings, and period and amplitude of the equatorial quasi-biennial oscillations (QBOs) 253 can be represented.

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2552.2 Land surface component

256 The land surface model is also basically the same as in MIROC5. Energy and water 257exchanges between land and atmosphere are calculated, considering the physical and physiological

effects of vegetation with a single layer canopy, and the thermal and hydrological effects of snow and soil respectively with a three-layers snow and a six-layers soil down to a <u>14</u> <u>14</u> m depth. Sub-grid fractions of land use and snow cover have also been considered. <u>The timestep for the land surface</u> <u>model integration is 1 hour in MIROC6 which is the same as in MIROC5.</u> In addition to the standard package in MIROC5, a few other physical parameterizations are implemented as described below.

263 A physically-based parameterization of sub-grid snow distribution (SSNOWD; Liston, 264 2004; Nitta et al., 2014) replaces the simple functional approach of snow water equivalent in 265 calculating sub-grid snow fractions in MIROC5 in order to improve seasonal cycle of snow cover. In 266 SSNOWD, the snow cover fraction is formulated for accumulation and ablation seasons separately. 267 For the ablation season, the snow cover fraction decreases based on the sub-grid distribution of the 268 snow water equivalent. A lognormal distribution function is assumed and the coefficient of variation 269 category is diagnosed from the standard deviation of the sub-grid topography, coldness index, and 270 vegetation type that is a proxy of surface winds. While the cold degree month was adopted for coldness 271 in the original SSNOWD, we decided instead to introduce the annually averaged temperature over the 272 latest 30 years using the time-relaxation method of Krinner et al. (2005), in which the timescale 273 parameter is set to 16 years. The temperature threshold for a category diagnosis is set to 0°C and 10°C. 274In addition, a scheme representing a snow-fed wetland that takes into consideration sub-grid terrain 275complexity (Nitta et al., 2017) is incorporated. The river routing model and lake module are the same 276 as those used in MIROC5, but the river network map is updated to keep the consistency to the new 277land-sea mask (Yamazaki et al., 2009).

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279 **2.3 Ocean and sea-ice component** 

280 The ocean component of MIROC6 is basically the same as that used in MIROC5, but 281 several updates are implemented as described below. The warped bipolar horizontal coordinate system
282 in MIROC5 has been replaced by the tripolar coordinate system proposed by Murray (1996). Two 283 singular points in the bipolar region to the north of about 63°N are placed at (63°N, 60°E) in Canada 284 and (63°N, 120°W) in Siberia (Fig. 2). In the spherical coordinate portion to the south of 63°N, the 285 longitudinal grid spacing is 1° and the meridional grid spacing varies from about 0.5° near the equator 286 to 1° in the mid-latitudes. In the central Arctic Ocean where the bipole coordinate system is applied, 287 the grid spacings are about 60 km in zonal and 33 km in meridional, respectively. By introducing the 288 horizontal tripolar coordinate system, it is expected that theoretical westward propagation of the 289 oceanic baroclinic Rossby can be represented with less numerical dispersions because of agreement 290 of the coordinate system and the geographical coordinate system and that the horizontal resolutions in 291 the Arctic Ocean where the Rossby radius of deformation is relatively small are higher than in the case 292 where the bipolar warped coordinate system in MIROC5 is adopted. There are 62 vertical levels in a 293 hybrid  $\sigma$ -z coordinate system. The horizontal grid spacing in MIROC5 is nominally 1.4°, except for 294 the equatorial region and there are 49 vertical levels. The resolutions in MIROC6 are higher than in 295 MIROC5. In particular, 31 (23) of the 62 (49) vertical layers in MIROC6 (MIROC5) are within the 296 upper 500 m depth (Fig. 1b). The increased vertical layers in MIROC6 have been adopted in order to 297 better represent the equatorial thermocline and observed complex hydrography in the Arctic Ocean. 298 An increase in computational costs of the ocean component due to higher resolutions in MIROC6 is 299 suppressed by implementing a time-staggered scheme for the tracer and baroclinic momentum 300 equations (Griffies et al., 2005). Owing to the time-staggered scheme, the timestep for the ocean and 301 sea-ice components of MIROC6 is 20 minutes which is longer than that in MIROC5 (15 minutes). 302 The tracer advection scheme (Prather, 1986), the surface mixed layer parameterization 303 (Noh and Kim, 1999), and the parameterization for eddy isopycnal diffusion (Gent et al., 1995) used

305 parameterization of Nakano and Suginohara (2002) is introduced south (north) of 54°S (49°N) for

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in MIROC6 are the same as those used in MIROC5. Also as in MIROC5, the bottom boundary layer

306 representing the down-sloping flow of dense waters. The constant parameters used in the above-307 mentioned parameterizations are determined in the same manner as that of MIROC5, except for the 308 Arctic region. An empirical profile of background vertical diffusivity, which is proposed in Tsujino et al. (2000), is modified above the 50 m depth to the north of 65°N. It is  $1.0 \times 10^{-6}$  m<sup>2</sup> s<sup>-1</sup> in the uppermost 309 310 29 m and gradually increases to  $1.0 \times 10^{-5}$  m<sup>2</sup> s<sup>-1</sup> at the 50 m depth. Additionally, the turbulent mixing 311 process in the surface mixed layer is changed so that there is no surface wave breaking and no resultant 312 near-surface mixing in regions covered by sea ice. The combination of the weak background vertical 313 diffusivity and suppression of turbulent mixing under the sea-ice contributes to better representations 314 of the surface stratification in the Arctic Ocean with little impact on the rest of the global oceans 315 (Komuro, 2014).

316 The sea-ice component in MIROC6 is almost the same as in MIROC5. A brief description, 317 along with some major parameters, is given here. Readers may refer to Komuro et al. (2012) and 318 Komuro and Suzuki (2013) for further details. A subgrid-scale sea-ice thickness distribution is 319 incorporated by following Bitz et al. (2001). There are five ice categories (plus one additional category 320 for open water), and the lower bounds of the ice thickness for these categories are set to 0.3, 0.6, 1, 321 2.5, and 5 m. The momentum equation for sea-ice dynamics is solved using elastic-viscous-plastic 322 rheology (Hunke and Dukowicz, 1997). The strength of the ice per unit thickness and concentration is set at  $2.0 \times 10^4$  N m<sup>-2</sup>, and the ice-ocean drag coefficient is set to 0.02. The surface albedo for bare ice 323 324 surface is 0.85 (0.65) for the visible (infrared) radiation. The surface albedo in snow-covered areas is 325 0.95 (0.80) when the surface temperature is lower than -5°C for the visible (infrared) radiation, and it 326 is 0.85 (0.65) when the temperature is 0°C. Note that the albedo changes linearly between -5°C and 327 0°C. These parameter values listed here are the same as those listed in MIROC5.

328

#### 329 2.4 Boundary conditions

330 A set of external forcing data recommended by the CMIP6 protocol are used. The historical 331 solar irradiance spectra, greenhouse gas concentrations, anthropogenic aerosol emissions, and biomass 332 burning emissions are given by Matthes et al. (2017), Meinshausen et al. (2017), Hoesly et al. (2018), 333 and van Marle et al. (2017), respectively. The concentrations of greenhouse gases averaged globally 334 and annually are given to MIROC6. Radiative forcing of Sstratospheric aerosols due to volcanic 335 eruptions are computed by vertically integrating extinction coefficients for each radiation band, which 336 are provided by Thomason et al. (2016), in the model layers above the tropopauseare taken into 337 account as extinction coefficients for each radiation band. Three-dimensional atmospheric 338 concentrations of historical ozone (O<sub>3</sub>) are produced by the Chemistry-Climate Model Initiative 339 (Hegglin et al., in preparation; the data are available at http://blogs.reading.ac.uk/ccmi/forcing-340 databases-in-support-of-cmip6/). Three dimensional concentrations of the OH radical, hydrogen 341 peroxide  $(H_2O_2)$  and Nitrate  $(NO_3)$  are precalculated by a chemical atmospheric model of Sudo et al. 342 (2002). As precursors of secondary organic aerosol, emission data of terpenes and isoprene provided 343 by the Global Emissions Inventory Activity (Guenther et al., 1995) are normally used, although 344 simulated emissions from the land ecosystem model of Ito and Inatmoni (2012) are also used 345 alternatively.

For specifying the soil types and area fractions of natural vegetation and crop-land on grids of the land-surface component, the harmonized land-use dataset (Hurtt et al., in prep.), Center for Sustainability and the Global Environment global potential vegetation dataset (Ramankutty and Foley, 1999), and the dataset provided by the International Satellite Land Surface Climatology Project Initiative I (Sellers et al., 1996) are used. These datasets are also used in prescribing background reflectance at the land surface. Leaf-area index data are prepared based on the Moderate Resolution Imaging Spectroradiometer Leaf-area index products of Myneni et al. (2002).

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The forcing dataset used for the preindustrial control simulation is basically composed of

the data for the year 1850, which are included in the above-mentioned historical dataset. The stratospheric aerosols and solar irradiance in the preindustrial simulation are given as monthly climatology <u>averaged</u> in 1850 – 2014 and in 1850 – 1873, respectively. The total solar irradiance is about 1361 Wm<sup>-2</sup>, and the global-mean concentrations of CO<sub>2</sub>, methan (CH<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O) are 284.32 ppm, 808.25 ppb, and 273.02 ppb, respectively.

359

360 **2.5 Spin-up and tuning procedures** 

361 Firstly, the stand-alone ocean component of MIROC6, which includes the sea-ice 362 processes, is integrated from the initial motionless state with the observed temperature and salinity 363 distribution of the Polar Science Center hydrographic climatology (Steele et al., 2001). Ocean model 364 coastline geometry and bottom bathymetry are specified based on horizontal interpolation of the land 365 and sea-floor dataset of ETOPO5 (National Geophysical Data Center, 1993). The ocean component is 366 spun-up for 1000 years by the monthly climatological surface fluxes of Röske (2006). An acceleration 367 method of Bryan (1984) is used in the spin-up stage in order to obtain a thermally and dynamically 368 quasi-steady state. After the spin-up, additional integration for 200 years is performed without the 369 acceleration method. By analyzing the last 50-yr-long data from the stand-alone ocean component, the 370 monthly climatology of typical variables (e.g., zonal-mean temperature and salinity in several basins, 371 volume transports across major straits and archipelagos, meridional overturning circulations, and sea-372 ice distributions) are compared with observations. Once the configuration of the ocean component is 373 frozen, the land-sea distribution and land-sea area ratios on the model grids of the atmospheric and 374 land surface model grids are and land surface components are determined according the coastline 375 geometry of the ocean component, after which the atmospheric and the land surface components are 376 coupled with the ocean component. Surface topography in the atmospheric and land surface 377 component are also made using the ETOPO5 dataset. Note that horizontal grid arrangement of the <u>land surface model is exactly same as the atmospheric component. The coupling interval among the</u>
 <u>sub-models is 1 hour.</u> An initial condition of the ocean component in MIROC6 is given by the stand alone ocean experiment, and those of the atmosphere and land are taken from an arbitrary year of the
 pre-industrial control run of MIROC5.

382 After coupling the sub-models, climate model tuning is done under the pre-industrial 383 boundary conditions. Conventionally, the climate models of our modeling community are retuned in 384 coupled modes after stand-alone sub-model tuning. This is because reproducibility of climatic-mean 385 state and internal climate variations is not necessarily guaranteed in climate models with the same 386 parameters determined in stand-alone sub-model tuning, which is particularly the case in the tropical 387 climate. In our tuning procedures described below, many of the 10-yr-long climate model runs are 388 conducted with different parameter values. There are numerous parameters associated with physical 389 parameterizations, whose upper/lower bounds are constrained by empirical or physical reasoning. The 390 main parameters used in our tuning procedures are chosen referring to a perturbed parameter ensemble 391 set made by Shiogama et al. (2012) in which parameter sensitivity to cloud-radiative processes is 392 examined.stated in the next paragraph and are chosen primarily referring to Shiogama et al. (2012), in 393 which the uncertainty of the climate sensitivity in MIROC5 is extensively measured using a perturbed 394 parameter ensemble set. The impact of parameter tuning on the present climate is also discussed by 395 Ogura et al (2017), focusing on the top-of-the-atmosphere (TOA) radiation and clouds. Any objective 396 and optimal methods for parameter tuning are not used in our modeling group and the tuning 397 procedures are like those in other climate modeling groups as summarized in Hourdin et al. (2017).

In the first model tuning step, climatology, seasonal progression, and internal climate variability in the tropical coupled system are tuned in order that departures from observations or reanalysis datasets are reduced. Here, it should be noted that representation of the tropical system in MIROC6 is sensitive to the parameters for <u>cumulus</u> convections and planetary boundary layer

402 processes. Specifically, parameters of reference height for cumulus precipitation, efficiency of the 403 cumulus entrainment of surrounding environment and maximum cumulus updraft velocity at the 404 cumulus base are used to tune strength of the equatorial trade wind, climatological position and 405 intensity of the Inter-Tropical Convergence Zone (ITCZ) and South Pacific Convergence Zone (SPCZ), 406 and interannual variability of El-Niño/Southern Oscillation (ENSO). In particular, the parameter for 407 the cumulus entrainment is known as a controlling factor of ENSO in MIROC5 (Watanabe et al., 2011). 408 Summertime precipitation in the western tropical Pacific and characteristic of tropical intraseasonal 409 oscillations are tuned by using the parameter for shallow convection describing the partitioning of 410 turbulent kinetic energy between horizontal and vertical motions at the sub-cloud layer inversion. Next, 411 the wintertime mid-latitude westerly jets and the stationary waves in the troposphere are tuned using 412 the parameters of the orographic gravity wave drag and the hyper diffusion of momentum. The 413 parameters of the hyper diffusion and the non-orographic gravity wave drag are also used when tuning 414 stratospheric circulations of the polar vortex and QBO. Finally, the radiation budget at the TOA is 415 tuned, primarily using the parameters for the auto-conversion process so that excess downward 416 radiation can be minimized and maintained closer to 0.0 Wm<sup>-2</sup>. The surface albedos for bare sea-ice 417 and snow-covered sea-ice are set to higher values than in observations (see Section 2.3) in order to 418 avoid underestimating of the summertime sea-ice extent in the Arctic Ocean due to excess downward 419 shortwave radiation in this region. In addition, parameter tuning for the total radiative forcing 420 associated with aerosol-radiation and aerosol-cloud interactions cooling effects due to interactions 421 between anthropogenic aerosol emissions and cloud-radiative processes is are-done. In order that the total radiative forcing cooling effects can be closer to the estimate of -0.9 Wm<sup>-2</sup> (IPCC, 2013; negative 422 value indicates cooling) with an uncertainty range of -1.9 to -0.1 Wm<sup>-2</sup>, parameters of cloud 423 424 microphysics and the aerosol transport module, such as timescale for cloud droplet nucleation, in-425 cloud properties of aerosol removal by precipitation, and minimum threshold of number concentration

426 of cloud droplets, are perturbed. To determine a suitable parameter set, several pairs of a present-day 427 run under the anthropogenic aerosol emissions at the year 2000 and a pre-industrial run are conducted. 428 A pair of the present and preindustrial runs has exactly the same parameters, and differences of 429 tropospheric radiations between two runs are considered as anthropogenic radiative forcingcooling 430 effects. Note that MIROC6 in a coupled mode is used in this tuning procedure, and thus the sea surface 431 temperature (SST) is not fixed. The estimated radiative forcing here is not strictly the same as the 432 effective radiative forcing estimated in IPCC (2013). However, by the present tuning procedure, the 433 global-mean surface air temperature (SAT) change after the mid-19th century is well reproduced in the historical runs by MIROC6 (details are discussed in Section 4). As above-mentioned, 434 435 reproducibility of the global-mean SAT is not a tuning goal but is a typical metric which reflects results 436 of the parameter tunings for individual processes of convections, dynamics, and radiative forcing.

After fixing the model parameters, the climate model is spun-up for 2000 years. During the first several hundred years, waters contained in the land surface are drained to the ocean via river runoff, which leads to a temporal weakening of the meridional overturning circulations in the ocean and a rising of the global-mean sea level. After the global hydrological cycle reaches to an equilibrium state, the strengths of the meridional overturning circulations recover and keep quasi steady state. The above-mentioned processes spend about 1000 years, after which an additional 1000-yr-long integration is performed in order to obtain a thermally and dynamically quasi-steady ocean state.

Figure 3 shows the time series of the global-mean quantities after the spin-up. The labeled year in Fig. 3 indicates the elapsed year after the spin-up duration of 2000 years. Linear trend of the global-mean SAT is  $9.5 \times 10^{-3}$  K/100 yr and The global-mean surface air temperature (SAT) and the radiation budget at the TOA show no significant drifts, thereby indicating that they are in a quasisteady state. Linear trends of the global-mean SAT and the radiation budget are  $9.5 \times 10^{-3}$  K/100yr and  $2.1 \times 10^{-3}$  Wm<sup>-2</sup>/100yr, respectively. The trend of the SAT-is much smaller than the observed value of 450 about 0.62 K/100\_-yr in the twentieth century, indicating that there is no significant drift and the 451 global-mean SAT is in a quasi-steady state.- While the global-mean sea surface temperature (SST) is in a quasi-steady state (linear trend of  $7.0 \times 10^{-3}$  K/100 yr), the global-mean ocean temperature shows 452 a larger trend of  $6.8 \times 10^{-3}$  K/100\_-yr in the first 500 years than that of  $1.3 \times 10^{-3}$  K/100 -yr in the 453 454 later period. In the later sections, the 200-yr-long data between the 500-th and 699-th years are 455 analyzed. 456 The larger-trend of the global-mean ocean temperature in the later period suggests slight but continuous warming of the deep ocean. The radiation budget at the TOA is 1.1 Wm<sup>-2</sup> downward 457

- 458 <u>on average (linear trend of  $9.5 \times 10^{-3}$  K/100 yr) and the net heat input at the sea surface is 0.32 Wm<sup>-2</sup>.</u>
- 459 <u>The deep ocean warming is explained by the net heat input.global-mean ocean temperature suggests</u>
- 461 between the TOA radiation budget and the ocean heat uptake. This heat energy inconsistency is due to

that the deep ocean continues to warm slightly. Note that there is about 0.78 Wm<sup>-2</sup> inconsistency

- 462 that internal energy associated with precipitation, water vapor and river runoff is not taken account in
- the atmospheric and land surface component in MIROC6 and that these waters with no temperature
- 464 information implicitly set their temperature to the SST when they flow or fall into the ocean. Perpetual
- 465 melting of the prescribed Antarctic ice-sheet with invariant ice thickness, which is occurred due to the
- 466 warm SAT bias in the Antarctic region (details will be discussed in Section 3.1.3), is also a cause of
- 467 <u>the heat energy inconsistency.</u>
- 468 In the later sections, the 200-yr-long data between the 500-th and 699-th years are analyzed.
- 469

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## 470 **3 Results of pre-industrial simulation**

Representations of climatic-mean field and internal climate variability in MIROC6 are
evaluated in comparison with MIROC5 and observations. The 200-yr-long data of the preindustrial
control simulation by MIROC5 are used. The observations and reanalysis datasets used in the

474 comparison are listed in Table 1.

475	Here, the model climatology in the pre-industrial simulations is compared with
476	observations in the recent decades. Because observations are obtained concurrently with the progress
477	of the global-warming due to increasing anthropogenic radiative forcing, the model climate under the
478	pre-industrial conditions may not be adequate for use when making comparisons with recent
479	observations. However, the root-mean-squared (RMS) errors of typical variables (e.g., the global-
480	mean SAT) in the climate models with respect to observations are much larger than the RMS
481	differences between the model climatology in the pre-industrial simulation and those in the last 30-yr-
482	long period in the historical simulations. Therefore, the era differences where climatology is defined
483	are not significant concern in comparisons among the climate models and observations.
484	
485	3.1 Climatology
486	3.1.1 Atmosphere and Land-surface
487	First, model systematic biases in radiations at the TOA are evaluated because they reflect
488	
	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in
489	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and
489 490	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate
489 490 491	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate (Loeb et al., 2009; the data are available at https://ceres.larc.nasa.gov/). At the top-right of each panel,
489 490 491 492	<ul> <li>model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate (Loeb et al., 2009; the data are available at https://ceres.larc.nasa.gov/). At the top-right of each panel, a global-mean (GM) value and a root-mean-squared error (RMSE) with respect to observations are</li> </ul>
489 490 491 492 493	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate (Loeb et al., 2009; the data are available at https://ceres.larc.nasa.gov/). At the top-right of each panel, a global-mean (GM) value and a root-mean-squared error (RMSE) with respect to observations are written. In the present manuscript, RMSE is computed without model and observed global-mean
489 490 491 492 493 494	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate (Loeb et al., 2009; the data are available at https://ceres.larc.nasa.gov/). At the top-right of each panel, a global-mean (GM) value and a root-mean-squared error (RMSE) with respect to observations are written. In the present manuscript, RMSE is computed without model and observed global-mean guantities unless otherwise noted.
489 490 491 492 493 494 495	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate (Loeb et al., 2009; the data are available at https://ceres.larc.nasa.gov/). At the top-right of each panel, a global-mean (GM) value and a root-mean-squared error (RMSE) with respect to observations are written. In the present manuscript, RMSE is computed without model and observed global-mean quantities unless otherwise noted. Because the modeled and observed global-mean values are not considered when
489 490 491 492 493 494 495 496	model deficiencies in cloud-radiative processes that contribute to a large degree of uncertainty in climate modelling. Figure 4 shows annual-mean biases in radiative fluxes at the TOA in MIROC6 and MIROC5 with respect to the recent Clouds and the Earth's Radiant Energy System (CERES) estimate (Loeb et al., 2009; the data are available at https://ceres.larc.nasa.gov/). At the top-right of each panel, a global-mean (GM) value and a root-mean-squared error (RMSE) with respect to observations are written. In the present manuscript, RMSE is computed without model and observed global-mean quantities unless otherwise noted. Because_the_modeled_and_observed_global_mean_values_are_not_considered_when calculating the RMSE, the RMSE reflects model errors in spatial distribution.

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498 and net longwave fluxes net and outgoing shortwave radiative fluxes (hereafter, NET and OSR, 499 respectively) over low-latitude oceans in MIROC5 are significantly reduced in MIROC6. Hereafter, 500 net shortwave, longwave, and the sum of them are denoted as OSR, OLR and NET, respectively, for 501 simplicity. As described in Ogura et al. (2017), since parameter tuning cannot eliminate the above-502 mentioned excess upward radiations, it is suggested that implementing a shallow convective 503 parameterization is required in order to reduce the biases. Figure 5 shows annual-mean moistening 504 rates associated with deep and shallow convections at the 850 hPa pressure level in MIROC6, which 505 has a shallow convective parameterization based on Park and Bretherton (2009). Moistening due to 506 shallow convections occurs mainly over the low-latitude oceans, especially the eastern subtropical 507 Pacific and the western Atlantic and Indian oceans. These active regions of shallow convections occur 508 separately from regions with active deep convections in the western tropical Pacific and the ITCZnter-509 Tropical Convergence Zone (ITCZ). The clear separation of the two convection types is consistent 510 with satellite-based observations (Williams and Tselioudis, 2007). Owing to the shallow convective 511 process that mixes the humid air in the planetary boundary layer with the dry air in the free troposphere, 512 low-level cloud cover over the low-latitude oceans is better represented in MIROC6 than in MIROC5. 513 Figure 6 shows annual-mean biases in cloud covers with respect to the International Satellite Cloud Climatology Project (ISCCP; Rosso et al., 1996; Zhang et al., 2004; the data are available at 514 515 https://isccp.giss.nasa.gov/). Overestimate of low-level cloud cover over the low-latitude oceans in 516 MIROC5 (Fig. 6b) is apparently reduced in MIROC6 (Fig. 6a), which results in the smaller biases in 517 NET and OSR biases (Fig. 4). RMS error in low-level cloud cover in MIROC6 is 9% lower than that 518 in MIROC5.

519 OSR in the mid-latitudes are also better represented in MIROC6 than in MIROC5. Zonally
520 distributed downward OSR bias in MIROC5 is reduced or becomes a relatively small upward bias in
521 MIROC6 (Figs. 4cd). This difference in the OSR bias is commonly found in both hemispheres. Cloud

522 covers at middle and high levels are larger in MIROC6 over the subarctic North Pacific, North Atlantic, 523 and the Southern Ocean (Figs. 6c-f), while low-level cloud cover over the same regions is smaller in 524 MIROC6 than in MIROC5 over the same regions (Figs. 6ab). The smaller low-level cloud cover in 525 MIROC6 is inconsistent with the larger upward OSR bias in MIROC6. The wintertime mid-latitude 526 westerlies are stronger and are located more poleward in MIROC6 than in MIROC5. Correspondingly, 527 activity of sub-weekly disturbances in the mid-latitudes is strengthened in MIROC6 (details are 528 described later). These differences in the mid-latitude atmospheric circulations between MIROC6 and 529 MIROC5 lead to an enhanced poleward moist air transport from the subtropics to the subarctic region, 530 which could result in an increase in the mid- and high-level cloud covers in MIROC6, as reported in 531 previous modeling studies (e.g., Bodas-Salcedo et al., 2012; Williams et al., 2013). Consequently, the 532 downward OSR bias in the mid-latitudes is smaller in MIROC6 than in MIROC5. In polar regions, 533 both biases in OSR and NET remain the same as in MIROC5.

534 Systematic bias in the outgoing longwave radiative flux (hereafter, OLR) is worse in 535 MIROC6 than in MIROC5 because MIROC6 tends to underestimate OLR over almost the entire 536 global domain, except for Antarctica (Figs. 4ef). The global-mean of the high-level cloud cover in 537 MIROC6 is larger than in MIROC5 by 0.04 (Figs. 6ef), which is consistent with the smaller OLR in 538 MIROC6. The increased moisture transport due to the strengthening of the westerlies and sub-weekly 539 disturbances can partly explain the increase in the mid-latitude high-level clouds in MIROC6, but 540 high-level cloud cover is also larger in the low-latitudes. Hirota et al. (2018) reported that moistening 541 of the free troposphere due to shallow convections creates favorable conditions for atmospheric 542 instabilities that leads to the resultant activation of deep convections in the low-latitudes. Such 543 processes may contribute to the inferior representation of OLR in MIROC6.

544Next, we will discuss on the global budget of the radiative fluxes and the RMS errors545between models and observations. Note that only deviations from the global means are considered

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546when calculating RMS errors. As written on the upper right of panels in Fig. 4ab, the global-mean (RMS errors) NETs are -1.11(12.7) Wm<sup>-2</sup> in MIROC6 and -0.98(15.9) Wm<sup>-2</sup> in MIROC5, respectively, 547 and these values are consistent with the observed value of -0.81 Wm<sup>-2</sup> (CERES; Loeb et al, 2009). -548 549 However, the observed value is estimated in the present-day condition. Ideally, the model value in the 550 preindustrial condition should be 0 Wm<sup>-2</sup> and is in the marginally acceptable range. If However, if 551 NET is divided into OSR and OLR, so-called error compensation becomes apparent. The global means of OSR (OLR) are -231.3 (230.2) Wm<sup>-2</sup> in MIROC6 and -237.6 (236.6) Wm<sup>-2</sup> in MIROC5, 552 respectively (Figs. 4c-f). The observed global-means of OSR and OLR are -240.5 Wm<sup>-2</sup> and 239.7 553 Wm<sup>-2</sup>. Biases in the global-mean OSR (OLR) with respect to observations are 9.2 (-9.5) Wm<sup>-2</sup> in 554 555 MIROC6 and 2.9 (-3.1) Wm<sup>-2</sup> in MIROC5, respectively. Thus, the global-mean OSR and OLR in 556 MIROC6 are worse than those in MIROC5. Further division of OSR and OLR into cloud-radiative 557 forcing and clear-sky shortwave (longwave) radiative components shows that shortwave cloud-558 radiative forcing is dominant on the biases in radiative fluxes. The biases in the global-mean shortwave (longwave) cloud-radiative forcing with respect to observations are 12.0 (6.7) Wm<sup>-2</sup> in MIROC6 and 559 -4.0 (-0.2) Wm<sup>-2</sup> in MIROC5, respectively. 560

561 The global radiation budget in MIROC6 is inferior to that in MIROC5, while 562 reproducibility of climatic means of typical model variables, other than radiative fluxes, and internal 563 variations are better simulated in MIROC65 (details are shown later). As described in Section 2.5, the 564 intensive tuning by perturbing model parameters is done focusing on reproducibility of climatic means, 565 internal variations, and radiative forcing due to anthropogenic aerosols. During this procedure, the 566 global radiation budget is traded-off. On the other hand, RMS errors in NET, OSR, and OLR are 12.7, 16.2, and 6.3 Wm<sup>-2</sup> in MIROC6 and 15.9, 18.9, and 6.8 Wm<sup>-2</sup> in MIROC5, respectively, thereby 567 indicating that the errors in MIROC6 have been reduced by 7% to 20 %. This is also the case for 568 569 shortwave and longwave cloud radiative forcings, where the corresponding errors have been reduced

570 by 17% and 13 %, respectively. Taken toghther, these results show that the spatial patterns of the 571 radiative fluxes are better simulated in MIROC6 than in MIROC5.

572 The improvement in spatial radiation patterns, especially in low-latitude OSR, is 573 explained primarily by the implementation of shallow convective processes, which results in a moister 574 free troposphere in MIROC6 than in MIROC5. Figures 7ab show zonal-mean biases in annual-mean 575 specific humidity with respect to the European Centre for Medium-Range Weather Forecast interim 576 reanalysis (ERA-I; Dee al., 2011; the data available et are at 577 https://www.ecmwf.int/en/forecasts/datasets/archive-datasets/reanalysis-datasets/era-interim). Dry 578 bias in 30°S-30°N, which occurs persistently in MIROC5, are largely reduced in MIROC6 owing to 579 vertical mixing at the interface of the planetary boundary layer and the free troposphere. On the other 580 hand, moist bias below the 600 hPa pressure level in the mid-latitudes is somewhat worse in MIROC6 581 than in MIROC5. Shallow convections also contribute to the improvement of precipitations in the low 582 latitudes. Figure 8 shows global maps for climatological precipitation in boreal winter (December-583 February) and summer (June-August). The second version of the Global Precipitation Climatology 584 Project (GPCP; the data are available at https://precip.gsfc.nasa.gov/) Monthly Precipitation Analysis 585 (Adler et al., 2003) is used for the observations. While MIROC5 suffers from underestimate of 586 summertime precipitation over the western tropical Pacific, the underestimate is largely reduced in 587 MIROC6 (Figs. 8df). The increase of precipitations is associated with deep convections because the 588 moister free troposphere in MIROC6 is more favorable for the occurrence of deep convections (Hirota 589 et al., 2018). On the other hand, model representation of the precipitation in MIROC6 is not necessarily 590 alleviated other than the western tropical Pacific. For example, the overestimate of wintertime 591 precipitation over the Indian Ocean and the mid-latitude North Pacific is worse in MIROC6 than in 592 MIROC5.

593

Zonal-mean biases in annual-mean air temperature and zonal wind velocity are also better

594 represented in MIROC6 than in MIROC5 (Figs. 7c-f). The remarkable-upper stratospheric warm bias 595 in 50°S–50°N in MIROC5 is significantly reduced in MIROC6. The model top of TOA in MIROC6 is 596 located at the 0.004 hPa pressure level and there are 42 vertical layers above the 50 hPa pressure level, 597 while the model top<del>TOA</del> of MIROC5 is placed at the 3 hPa pressure level. As a result, there are 598 significant differences in stratospheric circulations between the models. As shown in the annual-mean 599 mass stream function calculated using zonal-mean meridional winds with log<sub>10</sub> vertical scale (Fig. 9), 600 an upward wind continuing from the low-latitude troposphere to the stratosphere is stronger in 601 MIROC6 than in MIROC5. It is considered that an increased upward advection of the temperature 602 minimum around the tropopause in 30°S-30°N may lead to reduction of warm temperature bias in the 603 stratosphere which is significant in MIROC5. from the tropopause to the stratopause is apparent in 604 low-latitudes of MIROC6. This upward wind transports the cold air in the temperature minimum 605 around the tropopause in 30°S 30°N, which reduces the warm bias in the stratosphere. 606 Correspondingly, the stratospheric westerly bias in low latitudes of MIROC5 is also considerably 607 alleviated in MIROC6. Note that the atmospheric O<sub>3</sub> concentration data used in MIROC5 is different 608 from those in MIROC6, and the concentration in the stratosphere is higher than the data used in 609 MIROC6. About 25% of the above-mentioned reduction in the stratospheric warm biases is explained 610 by the smaller absorption of shortlong wave radiation by O<sub>3</sub>. Note that the zonal-mean temperature 611 bias in Fig. 7c is smaller when the climatological-mean temperature from 1980 to 2009 in a historical 612 simulation are evaluated against observations because of the known stratospheric cooling with 613 increased greenhouse gases and reduced O<sub>3</sub> concentrations.

The zonal-means of the air temperature and zonal wind in MIROC6 are also better simulated in the mid- and high latitudes. A pair of easterly and westerly biases in MIROC5, which is in the troposphere of the Northern Hemisphere, is associated with a weaker mid-latitude westerly jet and its southward shift with respect to observations. The pair of the biases is reduced in MIROC6, 618 thereby suggesting that a strengthening and northward shift of the westerly jet occurs in MIROC6. 619 Indeed, as shown in the upper panels of Fig. 10, the meridional contrast of high and low biases in the 620 500 hPa pressure level (Z500) along the wintertime westerly jet is weaker in MIROC6 than in 621 MIROC5. The latitudes with the maximal meridional gradient of Z500 are located further northward 622 in MIROC6 than in MIROC5, especially over the North Atlantic. Correspondingly, wintertime storm 623 track activity (STA), which is defined as an 8-day-high-pass-filtered eddy meridional temperature flux 624 at the 850 hPa pressure level, is stronger over the North Pacific and Atlantic in MIROC6 than in 625 MIROC5 (see upper panels of Fig. 11) and is accompanied by an associated increase in precipitation, 626 especially in the North Pacific (Figs. 8ce). In the stratosphere above the 10 hPa pressure level, the 627 polar night jet is reasonably captured in MIROC6, although the westerly is somewhat overestimated 628 in 30°N–60°N. Also, in the Southern Hemisphere, representation of the tropospheric westerly and the 629 polar night jets are better in MIROC6 than in MIROC5, and the easterly bias centered at 60°S in the 630 troposphere is clearly reduced in MIROC6. Although causality is unclear, the warm air temperature 631 bias above the tropopause to the south of 60°S is smaller in MIROC6 than in MIROC5.

632 The enhanced wintertime STA in MIROC6 leads to a strengthening of the Ferrel circulation 633 in the Northern Hemisphere and a broadening of its meridional width. As shown in Fig. 9, the northern 634 edge of the Ferrel cell is located further northward in MIROC6 than in MIROC5. Because the Ferrel 635 cell is a thermally indirect circulation driven primarily by eddy temperature and momentum fluxes, 636 the stronger STA in MIROC6 possibly causes the Ferrel cell differences between the two models. 637 Associated with the northward extension of the Ferrel cell, the upward wind between the Ferrel cell 638 and the polar cell centered at 65°N is stronger in MIROC6 than in MIROC5 and the meridional width 639 of the polar cell is smaller. Also, in the Southern Hemisphere, the upward wind around 60°S at the 640 southern edge of the Ferrel cell is stronger in MIROC6 than in MIROC5. Correspondingly, high sea 641 level pressure (SLP) biases in polar region in MIROC5 are significantly reduced in MIROC6 (figures are omitted) and RMS errors with respect to observations (ERA-I) are decreased by 30 %. Meanwhile, in the stratosphere, anti-clockwise (clockwise) circulations to the north (south) of 50°N (S) are stronger and extends further upward in MIROC6 than in MIROC5. These circulations seem to continue from the troposphere into the stratosphere, thereby implying that more active troposphere-stratosphere interactions <u>associated with wave-coupling</u> exist in MIROC6. Further details will be described later, focusing on the occurrence of the sudden stratospheric warmings.

648 Parameterizations of SSNOWD (Liston, 2004; Nitta et al., 2014) and a wetland due to 649 snow-melting water have been newly implemented into MIROC6 (Nitta et al., 2017). In comparison 650 of MIROC6 with MIROC5, it can be seen that the former parameterization brings about significant 651 remarkable improvement in the Northern Hemisphere snow cover fractions from the early to the late 652 winter (Fig. 12). Compared with observations of the Northern Hemisphere EASE-Grid 2.0 (Brodzik 653 and Armstrong, 2013; the data are available at https://nsidc.org/data/ease/), the distribution of the snow 654 cover fractions is more realistic in MIROC6 than MIROC5, especially where and when the snow water 655 equivalent is relatively small (e.g., mid- and high latitudes in November, over Siberia in February). 656 Note that no clear improvement is found in May. This is because the newly implemented SSNOWD 657 represents hysteresis in the snow water equivalent-snow cover fraction relationship in both the 658 accumulation and ablation seasons. MIROC6 underestimates the snow cover fraction in the partially 659 snow-covered regions and overestimates it on the Tibetan plateau and in some parts of China. We note 660 that meteorological (e.g., precipitation or temperature) phenomena might affect these biases, but 661 further investigation will be necessary to identify their causes. Nevertheless, in spite of those 662 discrepancies, it can be said that the seasonal changes of the snow cover fraction are better simulated 663 in MIROC6 than in MIROC5 (Fig. 12j).

664

665 **3.1.2 Ocean** 

000	Next, we evaluate the climatological fields of the ocean hydrographic structure, meridional
667	overturning circulations (MOCs), and sea-ice distribution. The zonal-mean potential temperature and
668	salinity are displayed in Figs. 13 and 14, respectively. Both MIROC6 and MIROC5 capture the general
669	features of the observed climatological hydrography (ProjD; Ishii et al., 2003). However, In the deep
670	and bottom layers to the south of 60°S, into which cold and dense water forms due to intense surface
671	eooling around Antarctica sinks, the potential temperatures in the deep and bottom layers to the south
672	of 60°S in the two models are warmer than observations because of in the two models are warmer than
673	observations insufficient formation and sinking of cold and dense water due to intense surface cooling
674	around Antarctica (Figs. 13a-c and 14a-c). Such warm temperature bias associated with deep water
675	formation is also found in northern high latitudes of the Atlantic sector (Figs. 13a-c). (Figs. 13a-c)
676	and 14a-c), as are the potential temperatures in northern high latitudes of the Atlantic sector (Figs.
677	13a-c). By horizontal advection of the warm temperature biases associated with the Pacific and
678	Atlantic MOCs, the model temperatures in deep layers apart other-from than-polar regions are also
679	warmer than in observations. The warm potential temperature bias in In general, the deep layer is
(00	
680	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias
680 681	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be
680 681 682	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5
680 681 682 683	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5 (the spinup duration of MIROC6 is 2000 years and that of MIROC5 is about 1000 years, repectively).
680 681 682 683 684	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5 (the spinup duration of MIROC6 is 2000 years and that of MIROC5 is about 1000 years, repectively). Also the low salinity bias below the 2000 m depth is worse in MIROC6 than in MIROC5, especially
680 681 682 683 684 685	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5 (the spinup duration of MIROC6 is 2000 years and that of MIROC5 is about 1000 years, repectively). Also the low salinity bias below the 2000 m depth is worse in MIROC6 than in MIROC5, especially in the Pacific sector (Figs. 14ef). This worsening can be explained the excess supply of the freshwater
680 681 682 683 684 685 686	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5 (the spinup duration of MIROC6 is 2000 years and that of MIROC5 is about 1000 years, repectively). Also the low salinity bias below the 2000 m depth is worse in MIROC6 than in MIROC5, especially in the Pacific sector (Figs. 14ef). This worsening can be explained the excess supply of the freshwater in the Southern Ocean and weaker northward intrusion of the less saline water in MIROC6.
680 681 682 683 684 685 686 687	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5 (the spinup duration of MIROC6 is 2000 years and that of MIROC5 is about 1000 years, repectively). Also the low salinity bias below the 2000 m depth is worse in MIROC6 than in MIROC5, especially in the Pacific sector (Figs. 14ef). This worsening can be explained the excess supply of the freshwater in the Southern Ocean and weaker northward intrusion of the less saline water in MIROC6. water distribution in MIROC6 remains the same as in MIROC5.
680 681 682 683 684 685 686 686 687 688	worse in MIROC6 than in MIROC5 in both of the Atlantic and Pacific sectors and the warm bias influences the subsurface and the intermediate layers above the 3000 m depth, which might be attributed to the excess ocean heat uptake and longer integration time in MIROC6 than in MIROC5 (the spinup duration of MIROC6 is 2000 years and that of MIROC5 is about 1000 years, repectively). Also the low salinity bias below the 2000 m depth is worse in MIROC6 than in MIROC5, especially in the Pacific sector (Figs. 14ef). This worsening can be explained the excess supply of the freshwater in the Southern Ocean and weaker northward intrusion of the less saline water in MIROC6. water distribution in MIROC6 remains the same as in MIROC5 Meanwhile, the northward intrusion of Antaretic Intermediate Water in the Southern

690 the Pacific sector (Figs. 13a-c). In the Arctic Ocean, the halocline above the upper 500 m depth is 691 sharper and more realistic in MIROC6 than in MIROC5 and the high salinity bias below the 500 m 692 depth in MIROC5 is alleviated in MIROC6 (Figs. 13ef) because, as described in Section 2.3, there are 693 many more vertical levels in the surface and subsurface layers of MIROC6. In addition, vertical 694 diffusivity in the Arctic Ocean is set to smaller values in MIROC6 than in MIROC5, and the turbulent 695 kinetic energy input induced by surface wave breaking, as a function of the sea-ice concentration in 696 each grid cell, is reduced in MIROC6, as shown in Komuro (2014). These differences in the ocean 697 model configuration are considered likely to contribute to the improved oceanic structures in the 698 surface and intermediate layers. In the North Pacific, the southward intrusion of North Pacific 699 Intermediate Water (NPIW) around the 1000 m depth retreats northward in MIROC6. Strong tide-700 induced vertical mixing of sea water is observed along the Kuril Islands (e.g., Katsumata et al., 2004). 701 The locally enhanced tide-induced mixing is known to reinforce the southward intrusion of the 702 Oyashio and associated water mass transport from the subarctic to subtropical North Pacific, and to 703 feed the salinity minimum of NPIW (Nakamura et al., 2004; Tatebe and Yasuda, 2004). Hence,\_-in 704 situations where enhanced tidal mixing is considered, NPIW reproducibility is better in MIROC5 705 where enhanced tidal mixing is considered than in MIROC6. Because we encountered significant 706 uncertainty in implementing the tidal mixing, and we decided to quit implementing it in developing 707 phase of MIROC6, at the expense of NPIW reproducibility.

708 The annual-mean potential temperature and zonal currents along the equator in MIROC6 709 are better simulated in MIROC6 than in MIROC5 (Fig. 15). Relatively cold water below the equatorial 710 thermocline is upwelledrisen in MIROC6, especially in the eastern tropical Pacific, which leads to a 711 strengthening of the vertical temperature gradient across the thermocline. The eastward speed of the Equatorial Undercurrent in MIROC6 is over 80 cm s<sup>-1</sup>, and is closer to the products of Simple Ocean 712 713 Assimilation (SODA; Carton and Giese, 2008; Data the data are available at

714 http://www.atmos.umd.edu/~lchen/SODA3.3\_Description.html) MIROC5. These than in 715 improvements are mainly attributed to the higher vertical resolution of MIROC6 in the surface and 716 subsurface layers. However, the thermocline depths in the western tropical Pacific are still larger in 717 the models than in observations and are attributed . This is due to the stronger trade winds in the 718 models. When both of MIROC6 and MIROC5 are executed as stand-alone AGCMs with the 719 prescribed SST obtained from observations, the overestimate of the equatorial trade winds also appears 720 due to overestimate of the upward winds over the maritime continent associated with deep cumulus 721 convection and the resultant strengthening of the Walker circulation over the equatorial Pacific. 722 which is a deficiency that also appears in stand-alone AGCM experiments. Hence, bBetter 723 parameterizing deep cumulus convection representation of cloud physics in the models could may be 724 required for better representation of the equatorial trade winds and thus oceanic states. in the future.

725 Figure 16 displays annual-mean [YK1]Atlantic and Pacific MOCs. In the Atlantic, two 726 deep circulation cells associated with North Atlantic Deep Water (NADW; upper cell) and Antarctic 727 Bottom Water (AABW, lower cell) are found in both of the models. NADW transport across 26.5°N 728 is 17.2 (17.6) Sv (1 Sv =  $10^6 \text{ m}^3 \text{ s}^{-1}$ ) in MIROC6 (MIROC5). These values are consistent with the 729 observational estimate of 17.2 Sv (McCarthy et al., 2015). RMS amplitudes of NADW transport are 730 about 0.9 Sv in MIROC6 and 1.1 Sv in MIROC5 on longer-than-interannual timescales, respectively. 731 These are smaller than the observed amplitude of 1.6 Sv in 2005–2014. Because observations include 732 the weakening trend of the Atlantic MOC due to the global warming, they can be larger than the model 733 variability under the preindustrial conditions. In the Pacific Ocean, both the models have the deep 734 circulation associated with Circumpolar Deep Water (CDW), but the northward transport of CDW 735 across 10°S is 8.6 Sv in MIROC6, which is slightly larger than 7.5 Sv of MIROC5. Although these 736 models values are somewhat smaller than observations, they are within the uncertainty range of 737 observations (Talley et al., 2003; Kawabe and Fujio, 2010).

738	Northern Hemisphere sea-ice concentrations are shown in Fig. 17. Here, it can be seen that
739	both the March and September sea-ice distributions in MIROC6 resemble to-the satellite-based
740	observation (SSM/I; Cavarieli et al., 1991; the data are available at https://nsidc.org/). In general, the
741	spatial patterns of the models resemble the observations. Sea-ice areas in March (September) are 12.4
742	(6.1), 13.0 (6.9), and 14.9 (5.7) Million km <sup>2</sup> in MIROC6, MIROC5, and observations, respectively.
743	The model estimates are smaller (larger) in March (September) than in observations. The
744	underestimate in March is still found in MIROC6 and is attributed to the underestimate of sea-ice area
745	in the Sea of Okhotsk and the Gulf of St. Lawrence, even though the sea-ice area in the former region
746	is better simulated in MIROC6 than in MIROC5. Meanwhile, the eastward retreat of the sea-ice in the
747	Barents Sea is better represented in MIROC6 than in MIROC5. The overestimates in September in the
748	models are due to that the model climatology is defined under the pre-industrial conditions while
749	observations are taken in present-day conditions of 1980-2009, where a rapid decreasing trend of
750	summertime sea-ice area (including a few events of drastic decreases) is on-going (e.g., Comiso et al.,
751	2008). Note that the model September sea-ice area in 1980–2009 of historical simulations is smaller
752	than the observations and the sea-ice area does not show year-to-year drastic sea-ice decrease with
753	comparable amplitude with observations. The underestimate of the mean September sea-ice area in
754	MIROC6 might be attributed to slightly rapid warming of the Arctic climate in MIROC6 than in
755	observations. On the other hand, the modeled sea-ice areas in the Southern Ocean are unrealistically
756	smaller than in observations. Southern Hemisphere sea-ice areas in March (September) are 0.1 (3.4),
757	0.2 (5.2), and 5.0 (18.4) Million km <sup>2</sup> in MIROC6, MIROC5, and observations, respectively. Since
758	there are no significantremarkable differences between the two models, the spatial maps for the sea-
759	ice area in the southern hemisphere are omitted.
760	Figure 19 shows the clobal many of annual mean see level beight relative to the speed. The

Figure 18 shows the global maps of annual-mean sea level height <u>relative to the geoid</u>. The
 absolute dynamic height data provided by Archiving, Validation, and Interpretation of Satellite

762 Oceanographic (AVISO; Rio et al. 2014) data are used as observed sea level height (the data are 763 available at https://www.aviso.altimetry.fr/en/home.html). <u>Although o</u>Overall oceanic gyre 764structures in the two models are consistent with observations. Although representation of the gyres in 765 MIROC6 remain generally the same as in MIROC5, there are a few improvements in the North Pacific 766 and the North Atlantic. The mid-latitude westerly in MIROC6 is stronger and is shifted further 767 northward than in MIROC5 (Fig. 10), which results in the strengthening of the subtropical gyres, 768 northward shifts of the western boundary currents, and their extensions. In particular, the current speed 769 of the Gulf Stream and the North Atlantic Current are faster in MIROC6 than in MIROC5, and the 770 contours emanating from the North Atlantic reach the Barents Sea in MIROC6. A corresponding 771 increase in warm water transport from the North Atlantic to the Barents Sea leads to sea-ice melting 772 and an eastward retreat of the wintertime sea-ice there in MIROC6 (Figs. 17a-c). An remarkable 773 improvement in MIROC6 is also found in the Subtropical Countercurrent (STCC) in the North Pacific 774 along 20°N. As reported in Kubokawa and Inui (1999), the low potential vorticity water associated 775 with a wintertime mixed layer deepening in the western boundary current region is transported 776 southward in the subsurface layer and it pushes up isopycnal surfaces around 25°N. Thus, the 777 eastward-flowing STCC is induced around 25°N. Although both of the models show the wintertime 778 mixed layer deepening, the ocean stratification along 160°E is weaker in MIROC6 than in MIROC5 779 (not shown). This suggests that the isopycnal advection of low potential vorticity water in MIROC6 780 is more realistic than in MIROC5.

781

## 782 **3.1.3 Discussions on model climatological biases**

We have evaluated the simulated climatology in MIROC6 in comparison with MIROC5 and observations. The model climatology in MIROC6 shows certain improvements in simulating radiations, atmospheric and oceanic circulations, and <u>the snow cover fractions in the Northern</u>

786 Hemisphere. land surface variables. In Fig. 19, we display the model biases in annual-mean SAT and 787 SST (Fig. 19) because these are typical variables that reflect errors in individual processes in the 788climate system. The global-mean of SAT (SST) is 15.2 (18.1) °C in MIROC6, 14.6 (18.0) °C in 789 MIROC5, and 14.4 (18.1) °C in observations. The modeled global-mean SATs and SSTs are generally 790 consistent with observations. However, since the observed (model) value is estimated in the present-791 day (preindustrial) condition, the model global-mean SATs<sup>S</sup> and SSTs are overestimated. Here, it 792 should be noted that while the spatial patterns of the SAT and SST biases in MIROC6 resemble those 793 in MIROC5, there are several improvements. For example, cold SAT bias in MIROC5 extending from 794 the Barents Sea to Eurasia is significantly smaller in MIROC6, possibly owing to the increase in warm 795 water transport by the North Atlantic Current and the resultant eastward retreat of the sea ice in the 796 Barents Sea (Figs. 17 and 18). Warm SAT and SST biases along the west coast of the North America 797 are smaller in MIROC6 than in MIROC5, The reason is that an thereby suggesting that the 798 strengthening of the mid-latitude westerly jet (Fig. 10) and the associated strengthening of the Aleutian 799 lowincrease of southeastward Ekman transport in the eastern subarctic North Pacific due to the 800 strengthening of the mid-latitude westerly jet (Fig. 10) and the Aleutian low tend to cancel out the 801 relatively warm water supply from the subtropics to the subarctic region by the surface geostrophic 802 current. - lead to increase in southward transport of relatively cold water in the subarctic region. 803 Although it is not clear from Fig. 19, the SAT and SST in the subtropical North Pacific around 20°N 804 are warmer by 2 K in MIROC6 than in MIROC5. Also in the Atlantic, the SAT in the western tropics 805 is warmer in MIROC6. These warmer surface temperatures in MIROC6 indicates a reduction of the 806 cold SAT and SST biases that can be alleviated by an increase in the downward OSR in MIROC6 due 807 to the implementation of a shallow convective parameterization (Fig. 4), and by an increase in 808 eastward transport of the warm pool temperature associated with the stronger STCC in MIROC6 (Fig. 809 18).

810 On the other hand, the warm SAT and SST biases in the Southern Ocean and the warm 811 SAT bias in Middle East and the Mediterranean are worse in MIROC6 than in MIROC5. Consequently, 812 the RMS error in SAT is larger in MIROC6 (2.4 K) than in MIROC5 (2.2 K). The former is due 813 essentially to the underestimate of mid-level cloud covers, excess downward OSR, and the resultant 814 underestimate of the sea ice in the Southern Ocean. Such bias commonly occurs in many of climate 815 models and is normally attributed to errors in cloud radiative processes (e.g., Bodas-Salcedo et al., 816 2012; Williams et al., 2013). In addition, poor representations of mixed layer depths and open ocean 817 deep convections due to the lack of mesoscale processes in the Antarctic Circumpolar Current are 818 causes of the warm bias (Olbers et al., 2004; Downes and Hogg, 2013). The latter warm bias, seen in 819 Middle East around the Mediterranean, can be explained by a tendency to underestimate the radiative 820 forcing cooling effects of aerosol-radiation interactions due to underestimate of dust emissions from 821 the Sahara Desert in MIROC6 (not shown).

822

#### 823 **3.2 Internal climate variations**

## 824 3.2.1 Madden-Julian oscillation and East Asian Monsoon

825 In this section, we will evaluate the reproducibility of internal climate variations in 826 MIROC6 in comparison with MIROC5 and observations, beginning with an examination of the 827 equatorial waves in the atmosphere. Zonal wavenumber-frequency power spectra normalized by 828 background spectra for the symmetric and antisymmetric components of OLR are calculated following 829 Wheeler and Kiladis (1999) and are shown in Fig. 20. The daily-mean OLR data derived from the 830 Advanced Very High-Resolution Radiometer (AVHRR) of the National Oceanic and Atmospheric 831 Administration (NOAA) satellites (Liebmann and Smith, 1996; the data are available at 832 https://www.esrl.noaa.gov/psd/data/gridded/data.interp OLR.html) are used for observational 833 references. The signals corresponding to the Madden-Julian oscillation (MJO), equatorial Kelvin (EK),

834 and equatorial Rossby-waves (ER), eastward inertia-gravity (n=1 EIG), and westward inertia-gravity 835 (WIG) waves in the symmetric component and mixed Rossby-gravity (MRG) and eastward inertia-836 gravity (n=0 EIG) waves in the antisymmetric component stand out from the background spectra in 837 observations. MIROC5 qualitatively reproduces these spectral maxima of the symmetric MJO, EK, 838 and ER qualitatively, while the amplitudes of the MJO and the EK are underestimated. These 839 underestimates are partially mitigated in MIROC6. The power summed over the eastward 840 wavenumber 1-3 and periods of 30-60 days corresponding to the MJO are 20% larger in MIROC6 841 than in MIROC5. Furthermore, some additional analyses indicate that many aspects of the MJO, 842 including its eastward propagation over the western tropical Pacific, are improved in MIROC6. Those 843 improvements are primarily associated with the implementation of the shallow convective scheme that 844 moistens the lower troposphere. The results of these additional analyses, along with and some 845 sensitivity experiments, are described in a separate paper (Hirota et al., 2018). The EIG and WIG in 846 the symmetric component and the MRG and the EIG in the antisymmetric component are missing in 847 both MIROC6 and MIROC5.

848 Figure 21 shows the June-August (JJA) climatology of precipitation and circulations in 849 the East Asia. As shown in observations (ERA-I; Fig. 21a), the East Asian summer monsoon (EASM) 850 is characterized by the monsoon low over the warmer Eurasian continent and the subtropical high over 851 the colder Pacific Ocean (e.g., Ninomiya and Akiyama, 1992). The southwesterly between these 852 pressure systems transports moist air to the mid-latitudes forming a rainband called *Baiu* in Japanese. 853 The general circulation pattern of the EASM and the rainband are well simulated in both MIROC6 854 and MIROC5. It should be noted that one of major deficiencies in MIROC5, the underestimate of the 855 precipitation around the Philippines, has been largely alleviated in MIROC6. This improvement is, 856 again, associated with the moistening of the lower troposphere by shallow convective processes. 857 Interannual EASM variabilities are examined using an empirical orthogonal function (EOF) analysis of vorticity at the 850 hPa pressure level over [100°E–150°E, 0°N–60°N] following Kosaka and Nakamura (2010). The regressions of precipitation and 850hPa vorticity with respect to the time series of the first mode (EOF1) are shown in the lower panels of Fig. 21. In observations, precipitation and vorticity anomalies show a tripolar pattern with centers located around the Philippines, Japan, and the Sea of Okhotsk (Hirota and Takahashi, 2012). The anomalies around the Philippines and Japan correspond to the so-called Pacific-Japan pattern (Nitta et al., 1987). In MIROC6, t<u>T</u>he southwestnortheast orientation of the wave-like anomalies is better simulated in MIROC6 than in MIROC5.

865 Figure 22 shows the wintertime (December–February) climatology of circulations and the 866 STA in the East Asia. The East Asian winter monsoon (EAWM) is characterized by northwesterly 867 between the Siberian high and the Aleutian low in observations (ERA-I; e.g. Zhang et al., 1997). The 868 monsoon northwesterly advects cold air to East Asia, enhancing the meridional temperature gradients 869 and strengthening the subtropical jet around Japan. The jet's strength influences synoptic wave 870 activities in the storm track. MIROC5 captures the circulation pattern, but significantly underestimates 871 the STA. The STA in MIROC6 is better simulated than in MIROC5, but it is still smaller than in 872 observations. Interannual variability of the EAWM is also better represented in MIROC6 than in 873 MIROC5. The dominant variability of the monsoon northwesterly is extracted as the EOF1 of the 874 meridional wind at the 850 hPa pressure level over the region [30°N-60°N, 120°E-150°E]. In 875 observations, the regressions with respect to the time series of the EOF1 show stronger northwesterly 876 accompanied with suppressed STA, which is consistent with previous studies (Fig. 22d; e.g., 877 Nakamura, 1992). This relationship between the circulations and the STA can be found in MIROC6 878 but not in MIROC5 (Figs. 22e, f). The explained variance of the EOF1 is 46.0% in observations, 37.1% 879 in MIROC5, and 47.1% in MIROC6, suggesting that the amplitude of this variability in MIROC6 is 880 consistent with has become closer to observations.

881

#### 882 **3.2.2 Stratospheric circulations**

883 A few of the major changes in the model setting from MIROC5 to MIROC6 are higher 884 vertical resolution and higher model top altitude in MIROC6, namely, representation of the 885 stratospheric circulations. Here, we examine representation of the Quasi-Biennial Oscillations (QBOs) 886 in MIROC6. Figure 23 shows the time-height cross-sections of the monthly mean, zonal-mean zonal 887 wind over the equator for observations (ERA-I) and MIROC6. In this figure, an obvious QBO with 888 mean period of approximately 22 months can be seen in MIROC6. The mean period is slightly shorter 889 than that of ~28 months in observations, and the simulated QBO period varies slightly from cycle to cycle. The maximum speed of the easterly at the 20 hPa pressure level is approximately -25 m s<sup>-1</sup> in 890 MIROC6 and that of the westerly is  $15 \text{ m s}^{-1}$ . On the other hand, the observed maximum wind speeds 891 892 are -35 m s<sup>-1</sup> for the easterly and 20 m s<sup>-1</sup> westerly, respectively. The simulated QBO has somewhat 893 weaker amplitude in MIROC6 than observations, but the same east-west phase asymmetry. The QBO 894 in the MIROC6 shifts upward compared with that in observations, and the simulated amplitude is 895 larger above the 5 hPa pressure level and smaller in the lower stratosphere. The simulated downward 896 propagation of the westerly shear zones of zonal wind  $(\partial \bar{u}/\partial z > 0)$ , where z is the altitude) is faster 897 than the downward propagation of easterly shear zones  $(\partial \bar{u}/\partial z) < 0$ , which agrees with observations. 898 The QBOs in MIROC6 are qualitatively similar to that represented in the MIROC-ESM, which is an 899 Earth system model with a similar vertical resolution that participated in the CMIP5 (Watanabe et al., 900 2011). Note that nothing resembling a realistic QBO was simulated in the previous low-top version 901 MIROC5, which only has a few vertical layers in the stratosphere.

Recently, Yoo and Son (2016) found that the observed MJO amplitude in the boreal winter is stronger than normal during the QBO easterly phase at the 50 hPa pressure level. They also showed that the QBO exerted greater influence on the MJO than did ENSO. Marshall et al. (2016) pointed out the improvement in forecast skill during the easterly phase of the QBO and indicated that the QBO could be a potential source of the MJO predictability. MIROC6 successfully simulates both the MJO
and QBO in a way that-consistent with observations, as mentioned above, but correlations between
the QBO and MJO are insignificantnot clear. One possible reason is smaller amplitude of the simulated
QBO in the lowermost stratosphere. The QBO contribution to tropical temperature variation at the 100
hPa pressure level is ~0.1 K in the MIROC6, which is much smaller than the observed value of ~0.5
K (Randel et al., 2000). The simulated QBO has little effects on static stability and vertical wind shear
in the tropical upper troposphere.

913 MIROC6 can also simulate Sudden Stratospheric Warming (SSW), which is a typical intra-914 seasonal variability of in the mid-latitude stratosphere in the Northern Hemisphere. - Figure 24 shows 915 tShe standard deviation of monthly and zonal-mean zonal wind (colors) superimposed on monthly 916 climatology of zonal-mean zonal wind (black contours) in February are shown in Fig. 24 (a)-(c). 917 There are two maxima of the standard deviations over the equatorial stratosphere and the mid-to-high 918 latitude upper stratosphere in the Northern Hemisphere in observations (Fig. 24a), which correspond 919 to QBO and polar vortex variability. This feature is well captured in MIROC6 (Fig. 24b), while there 920 are too small variations in MIROC5 where the stratosphere cannot be well resolved (Fig. 24c). Here, 921 a prominent variation is observed over the equatorial stratosphere and the extratropical upper 922 stratosphere. These two maxima, which correspond to QBO and polar vortex variability, respectively, 923 are well captured in MIROC6. Although MIROC6 still has biases for magnitude and structure, no 924 variation with a realistic magnitude appears when the stratosphere is not well resolved (Fig. 24c). The 925 better representation improvement in the simulation of the polar vortex variability in MIROC6 is 926 closely related associated with to that of the SSW. As shown in the bottom lower panels of Fig. 24, 927 abrupt and short-lived warming events associated with SSW are detected in MIROC6, which are 928 reproduced comparably to observations in terms of magnitude, but are not detected in MIROC5. This 929 is consistent with previous modeling studies that reported the importance of thea well-resolved

930 stratosphere for better simulation of stratospheric variability (e.g., Cagnazzo and Manzini, 2009;
931 Charlton-Perez et al., 2013; Osprey et al., 2013). <u>In December–January, however, On the other hand,</u>
932 MIROC6 <u>still tends to-underestimates</u> the frequency of SSW events in December and January, which
933 is a <u>common bias found</u> in <u>common with</u> other high-top climate models (e.g., Inatsu et al., 2007;
934 Charlton-Perez et al., 2013; Osprey et al., 2013). <u>It is conjectured that the less frequent SSW in</u>
935 <u>December–January could be attributed to less frequent stationary wave breakings due to overestimate</u>
936 of climatological zonal wind speed of the polar night jet in MIROC6 (Figs. 24d and e).

937 It is conjectured that less frequent stationary wave breaking due to overestimate of climatological wind
 938 speeds associated with the polar night jet (Fig. 7e) have the effect to reducing the SSW frequency in
 939 December and January.

940 The inclusion of a well-resolved stratosphere in MIROC6 is also considered to be 941 important for improvement in representation of stratosphere-troposphere coupling. In order to evaluate 942 this, we examine the time-development of the Northern Annular Modes (NAM) associated with 943 strongly weakened polar vortex events in the stratosphere. The NAM indices are defined by the first 944 EOF mode of the zonal-mean year-round daily geopotential height anomalies over the Northern 945 Hemisphere and are computed separately at each pressure level (Baldwin and Thompson, 2009). The 946 height anomalies are first filtered by a 10-day low-pass filter to remove transient eddies. Figure 25 947 shows the composite of time development of the NAM index for weak polar vortex events. The events 948 are determined by the dates on which the 10 hPa NAM index exceeded -3.0 standard deviations 949 (Baldwin and Dunkerton, 2001). Note that the NAM index is multiplied by the square root of the 950 eigenvalue in each level before the composite, that is, the composite having the geopotential height 951 dimension. The weak polar vortex signal in the stratosphere propagates downward to the surface and 952 persists approximately 60 days in the lower stratosphere and upper troposphere. These observational 953 features are well represented in MIROC6 (Figs. 25ab). Although MIROC5 has also captured 954 downward propagating signals, its magnitude is approximately half in the stratosphere, and its 955 persistency is weak in the lower stratosphere and upper troposphere. Therefore, these results strongly 956 indicate that the inclusion of a well-resolved stratosphere in a model is important for representing not 957 only stratospheric variability, but also stratosphere-troposphere coupling.

958

# 959 3.2.3 El Niño/Southern Oscillation and Indian Ocean Dipole mode

960 Among the various internal climate variabilities on interannual timescales, ENSO is of 961 great importance because it can influence climate not only in tropics but also mid- and high latitudes 962 of both hemispheres through atmospheric teleconnections associated with wave propagations (e.g., 963 Hoskins and Karoly, 1981; Alexander et al., 2002). Here, we describe representation of ENSO and 964 related teleconnection pattern. Figure 26 shows anomalies of SST, precipitation, the 500 hPa pressure 965 height, and the equatorial ocean temperature regressed onto the NINO3 index which is defined as the 966 area average of the SST in [5°S-5°N, 150°W-90°W]. ProjD and ERA-I in 1980-2009 are used as 967 observations. Although the maximum of the SST anomalies in the tropical Pacific is shifted more 968 westward than in observations, the ENSO-related SST anomalies simulated in both of MIROC6 and 969 MIROC5 are globally consistent with observations (Figs. 26a-c). Simulated positive precipitation 970 anomalies in MIROC6 still overextend to the western Pacific (Figs. 26d-f). Meanwhile, dry anomalies 971 over the maritime continent, the eastern equatorial Indian Ocean, and the SPCZSouth Pacific 972 Convergence Zone (SPCZ) are better simulated in MIROC6 than in MIROC5. ENSO teleconnection 973 patterns in Z500 (Figs. 26g-i) are also realistically simulated as seen in, for example, the Pacific-North 974 American pattern (Wallace and Gutzler, 1981). Equatorial subsurface ocean temperature anomalies in 975 MIROC6 are more confined within the thermocline than in MIROC5 (Figs. 26j-l), and the signals in 976 MIROC6 are closer to observations. However, the subsurface signals in MIROC6 reside deeper than 977 in observations. However, the existence depths of the subsurface signals are larger in MIROC6 than in

978 observations. This is due to the difference in the climatological structure of the equatorial thermocline,
979 which is attributed to the overestimate of the trade winds over the equatorial Pacific, as mentioned in
980 Section 3.1.2.

981 As well as ENSO, the Indian Ocean Dipole (IOD) mode is recognized as a prominent 982 interannual variability (Saji et al., 1999; Webster et al., 1999). Figure 27 shows anomalies of SST, 10 983 m wind, and precipitation regressed onto the autumn (September-November) dipole mode index 984 (DMI) which is defined as the zonal difference of the anomalous SST averaged over  $[10^{\circ}S-10^{\circ}N]$ , 985 50°E–70°E] and that averaged in [10°S–10°N, 90°E–110°E]. ProjD and ERA-I in 1980–2009 are used 986 as observations. The observed positive IOD phase is characterized by a basin-wide zonal mode with 987 positive (negative) SST anomalies in the western (eastern) Indian Ocean, and precipitation is increased 988 (decreased) over the positive (negative) SST anomalies (Figs. 27ad). The dipole SST pattern is better 989 simulated in MIROC6 than in MIROC5 where the eastern SST anomalies are located more southward 990 than in observations (Figs. 27a-c). Correspondingly, a meridional dipole pattern in the precipitation of 991 MIROC5 is alleviated, and MIROC6 shows a zonal dipole precipitation pattern, as in observations 992 (Figs. 27d-f). Seasonal IOD phase locking to boreal autumn, which is assessed based on RMS 993 amplitude of the DMI, is also better simulated in MIROC6 than in MIROC5 (not shown). Seasonal 994 shoaling of the eastern equatorial thermocline in the Indian Ocean is realistically simulated in 995 MIROC6 during boreal summer to autumn. The shallower thermocline leads the stronger thermocline 996 feedback which is evaluated based on the SST anomalies regressed onto the 20°C isotherm depth 997 anomalies averaged over the eastern part of the IOD region. As displayed in the top of the upper panels 998 of Fig. 27, the thermocline feedback in MIROC6 is comparable to observations. This larger 999 thermocline feedback in MIROC6 possibly leads to the above-mentioned improvements in the IOD 1000 pattern. Note that the simulated surface wind anomalies are more realistic in MIROC6 than in 1001 MIROC5, although the magnitude of SST anomalies is overestimated in MIROC6. The overestimate

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of the SST anomalies may have arisen from an excessive response of the equatorial and coastal Ekman

- 1003 up- and down-welling to the wind changes, which are favorable in coarse-resolution ocean models.
- 1004

# 1005 **3.2.4 Decadal-scale variations in the Pacific and Atlantic Oceans**

1006 On longer-than-interannual timescales, the PDO (Mantua et al., 1997) or the Inderdecadal 1007 Pacific Oscillations (IPO; Power et al., 1999) is known to be a dominant climate mode that is detected 1008 in the SST and the SLP over the North Pacific. To examine simulated PDO patterns, monthly SST and 1009 wintertime (December-February) SLP anomalies are regressed onto the PDO index defined as the 1st 1010 EOF mode of the North Pacific SST to the north of 20°N and are shown in Fig. 28. In order to detect 1011 the decadal-scale variation, the COBE-SST2/SLP2 data (Hirahara et al., 2014) from 1900 to 2013 are 1012 used as observations. Negative SST anomalies in the western and central North Pacific and positive 1013 SST anomalies in the eastern North Pacific are found in observations. These signals are also 1014 represented in both of MIROC6 and MIROC5. The regression of SLP anomalies corresponding to the 1015 deepening of the Aleutian low are well simulated in the models over the subarctic North Pacific, and 1016 it can be seen that the amplitudes of the SLP anomalies are larger in MIROC6 than in MIROC5, which 1017 is closer to the observation.and better represented in MIROC6 than in MIROC5. In the tropical Pacific, 1018 positive SST anomalies, which are among the more important driving processes of the PDO (e.g. 1019 Alexander et al., 2002), are seen in both the models and the observations. In MIROC5, the 5-yr running 1020 means of the wintertime (November-March) North Pacific Index (NPI), defined as the SLP averaged 1021 over [30°N-65°N, 160°E-140°W], are excessively less sensitive to the NINO3 index (correlation 1022 coefficient r = -0.37) than to the NINO4 index (r = -0.64). Note that the NINO4 index is defined as 1023 the area average of the SST in [5°S-5°N, 160°E-150°W]. The distorted response of the extratropical 1024 atmosphere to the tropical SST variations works to unsuitably modify the extratropical ocean and plays 1025 a major role in limiting the decadal predictability of the PDO index in MIROC5 (Mochizuki et al., 1026 2014). In contrast, those in MIROC6 are well correlated with the NINO3 index (r = -0.61) in addition 1027 to the NINO4 index (r = -0.62). Overestimate of the tropical signals of MIROC5 in the western tropical 1028 Pacific are also alleviated in MIROC6. The above-mentioned PDO improvement and the linkage 1029 between the tropics and the mid-latitude North Pacific imply a potential for improved skills in 1030 initialized decadal climate predictions.

1031 In the Atlantic Ocean, there is another decadal-scale variability, which is called the AMO 1032 (Schlesinger and Ramankutty, 2004). Figure 29 shows anomalies of SST and SLP regressed onto the 1033 AMO index, which is defined as the area average of the SST anomalies in the North Atlantic  $[0^{\circ}-$ 1034 60°N, 0°-80°W] with the global-mean SST anomalies subtracted (Trenberth and Shea, 2006). As in 1035 the PDO, the centennial-long data of the COBE-SST2/SLP2 data in 1900-2013 are used as 1036 observations. The observed AMO spatial pattern in its positive phase is characterized by positive SST 1037 anomalies in the off-equator and the subarctic North Atlantic, and by negative or weakly-positive SST 1038 anomalies in the western subtropical North Atlantic (Fig. 29a). Corresponding to negative (positive) 1039 SLP anomalies over the subtropical (subarctic) North Atlantic, the mid-latitude westerly jet is weaker 1040 in a positive AMO phase than in normal years. These spatial patterns in the SST and SLP are simulated 1041in both of MIROC6 and MIROC5. It is especially noteworthy that the positive SST anomalies in low 1042 latitudes have larger amplitudes in MIROC6 than in MIROC5, and they extend to the South Atlantic 1043 as in observations (Figs. 29bc). On the other hand, the positive SST anomalies in the subarctic region 1044are underestimated in MIROC6, which may be due to the smaller RMS amplitudes of NADW transport 1045 in MIROC6 (see Section 3.1).

1046

1047 **3.3 Climate sensitivity** 

1048Following the regression method by Gregory et al. (2004) and Gregory and Webb (2008),1049we conducted abrupt CO2 quadrupling experiments with MIROC6 and MIROC5 in order to evaluate

1050 effective climate sensitivity (ECS), radiative forcing, and climate feedback. The CO<sub>2</sub> quadrupling 1051 experiments were initiated from the pre-industrial control runs. Data from the first 1250 years after 1052 the CO<sub>2</sub> increase were used for the analysis.

1053 ECS,  $2 \times CO_2$  radiative forcing, and climate feedback for MIROC6 are estimated to be 1054 2.56 K, 3.87 Wm<sup>-2</sup>, and -1.54 Wm<sup>-2</sup>K<sup>-1</sup>, respectively (Fig. 30a and Table 2). The ECS, radiative forcing, 1055 and climate feedback in MIROC6 are lower, higher, and negatively larger than those of the CMIP5 1056 multi-model ensemble means, although these estimates for MIROC6 are within the ensemble spreads 1057 of the multi-models (Andrews et al., 2012). The ECS of MIROC6 is almost the same as MIROC5 1058 because the decrease in radiative forcing is counterbalanced by the positive increase in climate 1059 feedback, although the change in climate feedback is small and not statistically significant. The 1060 decrease in radiative forcing of MIROC6 relative to MIROC5 is evident in the longwave and 1061 shortwave cloud components (LCRE and SCRE in Fig. 30b and Table 3). On the other hand, the clear-1062 sky shortwave component (SWclr) increases in MIROC6 relative to MIROC5, which partially cancels 1063 the differences between the two models. The positive increase in climate feedback is pronounced in 1064 the SCRE, which is partially offset by the decrease in the clear sky longwave (LWclr) and SWclr (Fig. 1065 30c and Table 3).

1066 We now focus on the SCRE of the radiative forcing and climate feedback, which show the 1067 largest differences between the two models, and compare the geographical distribution (Fig. 31). The 1068 distribution is calculated by regressing the changes in SCRE caused by the CO<sub>2</sub> increase at each 1069 latitude-longitude grid box against the change in the global-mean SAT. There is a large difference in 1070 the geographical distribution between MIROC6 and MIROC5, with the former showing more 1071 pronounced zonal contrast in the tropical Pacific than the latter. The changes in the global mean from 1072 MIROC5 to MIROC6 (Figs. 30bc) are correlated nsistent with the changes in the western tropical 1073 Pacific, showing more negative radiative forcing and more positive climate feedback, which are partially offset by the changes in the central tropical Pacific with opposite signs. Interestingly, t<u>T</u>he radiative forcing and climate feedback tend to show similar geographical patterns with opposite signs in each model.

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#### 1078 4. Summary and discussions

1079 The sixth version of a climate model, MIROC6, was developed by a Japanese climate modeling community, aiming at contributing to the CMIP6 through deeper understanding of a wide 1080 1081 range of climate science issues and seasonal-to-decadal climate predictions and future climate 1082 projections. The model configurations and basic performances in the pre-industrial control simulation 1083 have been described and evaluated in the present manuscript. Major changes from MIROC5, which 1084 was our official model for the CMIP5, to MIROC6 are mainly done in the atmospheric component. 1085 These include implementation of a parameterization of shallow convective processes, the higher model 1086 top and TOA, vertical resolution in the stratosphere. The ocean and land-surface components have 1087 been also updated in terms of the horizontal grid coordinate system and higher vertical resolution in 1088 the former, and parameterizations for sub-grid scale snow distribution and wet lands due to snow-1089 melting water in the latter. Overall, the model climatology and internal climate variability of MIROC6, 1090 which are assessed in comparison with observations, are better simulated than in MIROC5.

1091 Overestimate of low-level cloud amounts in low latitudes, which can be partly attributed 1092 to insufficient representation of shallow convective processes, are significantly alleviated in MIROC6. 1093 The free atmosphere becomes wetter and the precipitation over the western tropical Pacific becomes 1094 larger in MIROC6 than in MIROC5, primarily due to vertical mixing of the humid air in the planetary 1095 boundary layer with the dry air in the free troposphere. Shallow convections also contribute to better 1096 propagation characteristics of intra-seasonal variability associated with MJO in MIROC6, as well as 1097 East Asian summer monsoon variability on interannual timescales. In addition, QBO, which is absent in MIROC5, appears in MIROC6 because of its better stratospheric resolution and non-orographicgravity wave drag parameterization.

1100 Climatic mean and internal climate variability in the mid-latitudes are also remarkably 1101 improved in MIROC6. Together with enhanced activity of sub-weekly disturbances, the tropospheric 1102 westerly jets in MIROC6 are shifted more poleward and are stronger than in MIROC5, especially in 1103 the Northern Hemisphere. Overestimates in zonal wind speed of the polar night jet are reduced in 1104 MIROC6. These advanced representations lead to tighter interactions between the troposphere and the 1105 stratosphere in MIROC6. SSW events in the form of polar vortex destructions induced by upward 1106 momentum transfer from the troposphere to the stratosphere (e.g., Matsuno, 1971), are well captured 1107 in MIROC6. On interannual timescales, the improvement of the westerly jet results in better 1108 representations of the spatial wind pattern of the wintertime East Asian monsoon. Associated with 1109 changes in the large-scale atmospheric circulations, the western boundary currents in the oceans, the 1110 Kuroshio-Oyashio current system, the Gulf Stream, and their extensions are better simulated in 1111 MIROC6. The increase in warm water transport from the subtropical North Atlantic to the Barents Sea 1112 seems to melt the sea ice in the Barents Sea, and to alleviate the overestimate of the wintertime sea-1113 ice area that is seen in that region in MIROC5. Another remarkable improvement in MIROC6 is found 1114 in the climatological snow cover fractions in the early winter over the Northern Hemisphere continents. 1115 In the Southern Hemisphere, however, the underestimate of mid-level clouds and the corresponding 1116 warm SAT bias, the underestimate of sea-ice area, and the overestimate of incoming shortwave 1117 radiation in the Southern Ocean, all of which are attributed to errors in cloud radiative and planetary 1118 boundary layer processes (e.g., Bodas-Salcedo et al., 2012; Williams et al., 2013), remains the same 1119 as in MIROC5.

1120 Qualitatively, the linkage representations between the tropics and the mid-latitudes 1121 associated with ENSO in MIROC6 are mostly the same as in MIROC5<del>, qualitatively</del>. Meanwhile, oceanic subsurface signals, which partly control ENSO characteristics, are more confined along the equatorial thermocline in MIROC6, which is consistent with observations. Regarding the PDO, tropical influence on the mid-latitudes is more dominant in MIROC6 than in MIROC5, suggesting improvements in decadal-scale atmospheric teleconnections in MIROC6.

The above descriptions are mainly on the Pacific internal climate variabilities. Regarding the Indian Ocean, the zonal dipole structures in the SST and precipitation associated with the interannual variability, known as the IOD, are better simulated in MIROC6 than in MIROC5, which has a bias of a false meridional precipitation pattern. In the Atlantic, the multi-decadal variability, known as the AMO, is represented in both of the models roughly consistent with observations, but their reproducibility shows both drawbacks and advantage. Signals associated with AMO in the subarctic (tropical) region are underestimated (overestimated) in MIROC6 (MIROC5).

1133 As a metric for climate change induced by atmospheric CO<sub>2</sub> increase, <u>one of important</u> 1134 metrics for quantifying uncertainty in future climate projections, ECS is also estimated. Although the 1135 model configurations and performances are different between the models, the ECS is almost the same 1136 (2.5 K). However, looking at geographical distributions of radiative forcing and climate feedback, the 1137 amplitudes of shortwave cloud components are much larger in MIROC6 than in MIROC5. Since the 1138 larger negative (positive) radiative forcing and positive (negative) climate feedback in the western 1139 (central) tropical Pacific cancel each other, global-mean quantities in MIROC6 almost remain the same 1140 as in MIROC5. As a topic of future study, estimating radiative forcing and climate feedback with 1141 Atmospheric Model Intercomparison Project-type experiments in order to check robustness of the 1142 present study would be desirable. Elucidating the impact of different geographical patterns of radiative 1143 forcing and climate feedback on the projected future climates would also be useful.

1144After conducting the pre-industrial control simulation and evaluating the model1145reproducibility of the mean climate and the internal climate variability, ensemble historical simulations
1146	that were initiated from the pre-industrial simulations were executed using the historical forcing data
1147	recommended by the CMIP6 protocol. Figure 32 shows a time series of the global-mean SAT
1148	anomalies with respect to the 1961–1990 mean. There are $\pm 30$ (5) ensemble members in the MIROC6
1149	(MIROC5) historical simulations. Note that the MIROC5 historical simulations are executed using the
1150	forcing datasets of the CMIP5 protocol. As shown in Fig. 32, the simulated SAT variations in both of
1151	MIROC6 and MIROC5 follow observations (HadCRUTv4.4.0; Morice et al., 2012; the data are
1152	available at https://crudata.uea.ac.uk/cru/data/temperature/) on a centennial timescale. The
1153	temperature rises from the nineteenth century to the early twenty-first century are about 0.72 K in
1154	MIROC6, 0.85 K in MIROC5, and 0.82 K in observations, respectively. Focusing on the period from
1155	the 1940s to the 1960s, the SAT variations seem to be better simulated in MIROC6 than in MIROC5,
1156	which can be due to both of an update of the forcing datasets and the larger ensemble number in
1157	MIROC6. On the other hand, the warming trend during the first half of the twentieth century in the
1158	models is about half as large as in observations. Whether it can be attributed to internal climate
1159	variability (e.g., Thompson et al., 2014; Kosaka and Xie, 2016) or to an externally forced mode (e.g.,
1160	Meehl et al., 2003; Nozawa et al., 2005) is still being debated. Therestingly, the so-called recent
1161	hiatus of the global warming (Easterling and Wehner, 2009) in the first decade of the twenty-first
1162	century is not reasonably simulated in both of MIROC6 and MIROC5. eaptured in MIROC6. The
1163	observed hiatus is considered to occur in association with a negative IPO phase as internal climate
1164	variations (e.g., Meehl et al., 2011; Watanabe et al., 2014). As external drivers of the hiatus, the
1165	increase in stratospheric water vapor and the weakening of solar activity are given as possible
1166	candidates, for example (e.g., Solomon et al., 2010; Kaufmann et al., 2011). Failure of simulating the
1167	hiatus in the models could be attributed to uncertainties in the historical forcing datasets or cancellation
1168	of internal climate variations of the IPO by ensemble-mean manipulation of the individual historical
1169	simulations., while the simulated spatial pattern of the SAT trends in the first decade of the twenty-
1	

1170 first century does not have a negative IPO pattern (not shown). Considering that the ensemble mean 1171 of the individual simulations reflects only externally-forced variations and that signals of internal 1172 elimate variations have been roughly removed, the simulated hiatus in MIROC6 could be spurious and 1173 the SAT trend difference between MIROC6 and MIROC5 could be attributed to the difference in the 1174 forcing datasets.

1175 As summarized above, the overall reproducibility of the mean climate and the internal 1176 variability in the latest version of our climate model, MIROC6, has progressed, as well as the historical 1177 warming trend of the climate system. During the first trial of the preindustrial simulation conducted 1178 just after the model configuration was frozen, however, the model reproducibility was not as good as 1179 seen in MIROC5. As described in Section 2.5, we intensively tuned the model by perturbing 1180 parameters associated with, especially, cumulus and shallow convections, and planetary boundary 1181 processes. In addition, before starting the historical simulations, we estimated and tuned the radiative 1182 forcing cooling effects due to aerosol-radiation and aerosol-cloud interactions by changing the 1183 parameters of cloud microphysics in order to ensure that the estimated radiative forcing cooling would 1184 be closer to the best-estimate of the IPCC-AR5 (IPCC, 2013). Without this parameter tuning, the 1185 simulated warming trend after the 1960s was 70% as large as seen in observations. This dependence 1186 of radiative forcing and reproducibility of the warming trend on cloud microphysics has also been 1187 reported in other climate models (Golaz et al., 2013). A recent comparison of cloud mircophysical 1188 statistics between climate models and satellite-based observations has pointed out that "tuned" model 1189 parameters that were adjusted for adequate radiative forcingeooling and realistic SAT changes do not 1190 necessarily ensure cloud properties and rain/snow formations will be consistent with observations and 1191 implies the presence of error compensations in climate models (e.g., Suzuki et al., 2013; Michibata et 1192 al., 2016). Error compensations are found also in both of global and regional aspects. As described in 1193 Section 3.1, the global TOA radiation imbalance in MIROC6 is about -1.1 Wm<sup>-2</sup>, which is in the

1194 acceptable range of consistent with -0.8 Wm<sup>-2</sup> in observations. However, when the TOA imbalance is 1195 examined in parts, cloud radiative components in the model contain non-negligible biases with respect 1196 to satellite-based observations. Regarding error compensations in the oceanic processes, for example, 1197 Pacific Ocean, the modeled northward transport of CDW, which -is about 8.6 Sy and is within the 1198 uncertainty range of observations, is . Although this transport is realistic, it is maintained by spurious 1199 open ocean convections in the Southern Ocean, which oceur apart from the coastal region of Antarctica 1200 and reach the sea floor, which often that are artifacts appear in coarse-resolution ocean models where 1201 oceanic mesoscale eddies and coastal bottom water formation cannot be represented (e.g., Olbers et 1202 al., 2004; Downes and Hogg, 2013).

1203 There remain several key foci of ongoing model development efforts. These include 1204 process-oriented refinements of cloud microphysics and convective systems based on constraints from 1205 satellite data and feedbacks from cloud-resolving atmospheric models (e.g., Satoh et al., 2014), higher 1206 resolutions for representations of regional extremes, oceanic eddies and river floods, and 1207 parameterization of tide-induced micro-scale mixing of sea water. Improvement of computational 1208 efficiency, especially on massive parallel computing systems, is among the urgent issues for long-term 1209 and large ensemble simulations. In terms of model architecture, giving each sub-module in a climate 1210 model greater independence for effective model development may be required. These improvements 1211 can contribute to deeper understanding of the Earth's climate, reducing uncertainties in climate 1212 projections and predictions, and more precise evaluations of human influences on carbon-nitrogen 1213 cycles when applied to Earth system models.

1214

1215Code and data availability. \_-Please contact the corresponding author if readers may want to validate1216the model configurations of MIROC6 and MIROC5 and to conduct replication experiments. The1217source codes and required input data will be provided by the modeling community where the author

1218	belongs. The model output from the CMIP6/CMIP5 pre-industrial control and historical simulations
1219	used in the present manuscript are distributed through the Earth System Grid Federation and are
1220	freely accessible. Details on ESGF are given on the CMIP Panel website (https://www.wcrp-
1221	climate.org/wgcm-cmip).
1222	
1223	Source code of MIROC6 and MIROC5 associated with this study is available to those who conduct
1224	collaborative research with the model users under license from copyright holders. For further
1225	information on how to obtain the code, please contact the corresponding author. The data from the
1226	model simulations and observations used in the analyses are available from the corresponding author
1227	upon request.
1228	
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1239	
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1672

30S

60S

90S -

150W

0 500



30W

0

30E

1000 1500 2000 2500 3000 3500 4000 4500 5000 5500 6000 6500 7000 7300 (m)

60W

60E

90E

120E

150E

180

90W

120W





Fig. 3. (a) Time series of the global-mean SAT (solid) and the TOA radiation budget (dashed; upward
positive). (b) Same as (a), but for the global-mean SST (solid) and the ocean temperature through the
full water column (dashed).



1684 Fig. 4. Annual-mean TOA radiative fluxes in MIROC6 (left panels) and MIROC5 (right panels). 1685 Upward is defined as positive. NThe net, shortwave and longwave radiative fluxes, and the sum of 1686 the two fluxes are denoted as OSR, OLR, and NET, respectively.outgoing shortwave, and outgoing 1687 longwave radiations are aligned from the top to the bottom. Colors indicate errors with respect to 1688 observations (CERES) and contours denote values in each model. Note that a different color scale is 1689 used for the longwave radiations. The global-mean values and root-mean-squared errors are indicated 1690 by GM and RMSE, respectively. In the present manuscript, RMSE is computed without model and 1691 observed global-mean quantities unless otherwise noted. 1692 Note that a different color scale is used for the longwave radiations.



1697 Fig. 5. Annual-mean moistening rate associated with (a) deep convections and (b) shallow convections

1698 in MIROC6 at the 850 hPa pressure level.



Fig. 6. Same as Fig. 4, but for cloud covers in MIROC6 (left panels) and MIROC5 (right panels).
Low-, middle-, and high-level cloud covers are aligned from the top to the bottom. The tops for low-,
middle-, and high-level clouds are defined to exist below the 680 hPa, between the 680 hPa and 440
hPa, and above the 440 hPa pressure levels, respectively. The unit is non-dimensional. ISCCP
climatology is used as observations.



Fig. 7. Annual and zonal-mean specific humidity (top panels), temperature (middle), and zonal wind
(bottom) in MIROC6 (left) and MIROC5 (right). Colors indicate errors with respect to observations
(ERA-I) and contours denote values in each model.



Fig. 8. Precipitation in boreal winter (December–February; left panels) and summer (June–August;
right panels) in observations (top; GPCP), MIROC6 (middle), and MIROC5 (bottom). Areas with
precipitation smaller than 3 mm d<sup>-1</sup> are not colored.



1720 Fig. 9. Annual-mean mass stream functions in (a) MIROC6 and (b) MIROC5. Contour interval is 0.3

 $(0.025) \times 10^{10}$  kg s<sup>-1</sup> below (above) the 100 hPa pressure level. Negative values are denoted by dashed

1722 contours, and the horizontal dashed lines indicate the 100 hPa pressure level.





1726 Fig. 10. Same as Fig. 4, but for the wintertime 500 hPa pressure level in MIROC6 (left panels) and

1727 MIROC5 (right panels). Maps for boreal (austral) winter are shown in the upper (lower) panels. ERA-

1728 I is used as observations.

1729



1730 Fig. 11. Wintertime storm track activity (STA) in observations (left), MIROC6 (center), and MIROC5

1731 (right). STA is defined as 8-day-highpass-filtered eddy meridional temperature flux at the 850 hPa

1732 pressure level. Maps for boreal (austral) winter are shown in the upper (lower) panels. ERA-I is used

as observations.

1734



Fig. 12. Snow cover fractions for observations (top panels), MIROC6 (middle), and MIROC5 (bottom). Maps in November, February, and May are aligned from the left to the right. The unit is non-dimensional. Areas where snow cover fractions are less than 0.01 are masked. Ave and corr. in the panels indicate spatial averages and correlation coefficients between observations and models over the land surface in the Northern Hemisphere, respectively. Time series in the bottom-left panel shows temporal rate of change of the monthly spatial averages. Snow-cover dataset of the Northern Hemisphere EASE-Grid 2.0 is used as observations.



Fig. 13. Annual-mean potential temperature (upper panels; unit is °C) and salinity (lower; psu) in the
Atlantic sector <u>for from</u> observations (left), MIROC6 (middle), and MIROC5 (right). <u>Colors indicate</u>
errors with respect to observations (ProjD) and contours denote model values in the middle and right
panels.
ProjD is used as observations.



1760 Fig. 14. Same as Fig. 13, but for the Pacific sector.



Fig. 15. Annual-mean climatology of <u>potential</u> temperature (°C; colors) and zonal current speed (cm s<sup>-1</sup>; contours) along the equator (1°S–1°N) in (a) observations (ProjD and SODA), (b) MIROC6, and (c) MIROC5.


1771 Fig. 16. Annual-mean meridional overturning circulations in the Atlantic (upper panels) and the Indo-

1772 Pacific sectors (lower) in MIROC6 (left) and MIROC5 (right). The unit is Sv ( $\equiv 10^6 \text{ m}^3 \text{s}^{-1}$ ).



1777 Fig. 17. Northern Hemisphere sea-ice concentrations in March (upper panels) and September (lower

1778 panels) for observations (left), MIROC6 (middle), and MIROC5 (right). The unit is non-dimensional.

1779 Satellite-based sea-ice concentration data of the SSM/I are used as observations.







1792 Fig. 19. Same as Fig. 4, but for annual-mean SAT (upper panels) and SST (lower panels). ERA-I for

- 1793 the SAT and the ProjD for the SST are used as observations.
- 1794
- 1795



Fig. 20. Zonal wavenumber–frequency power spectra of the <u>(a-c)</u> symmetric <u>and (d-f) antisymmetric</u> component of OLR divided by background power in (a, d) observations (NOAA OLR), (b, e) MIROC6, and (c, f) MIROC5. Dispersion curves of equatorial waves for the three equivalent depths of 12, 25, and 50 m are indicated by black lines. Signals corresponding to the westward and eastward inertiae-

1803gravity (WIG and EIG) waves, the equatorial Rossby (ER) waves, equatorial Kelvin waves, the mix-1804ed Rossby-gravity waves (MRG), and Madden-Julian oscillation (MJO) are labeled in (a). The unit of1805the vertical axes is cycle per day (cpd).



1809Fig. 21. (a-c) Summertime (JJA) climatology of precipitation (colorsshading, mm day<sup>-1</sup>) and the 8501810hPa horizontal wind (vector; m s<sup>-1</sup>) for (a) observations (ERA-I), (b) MIROC6, and (c) MIROC5. (d-1811f) Anomalies of summertime precipitation (shading; mm day <sup>-1</sup>) and the 850 hPa vorticity (contour;1812 $10^{-6}$  s<sup>-1</sup>) regressed to the time series of EOF1 of the 850 hPa vorticity over [ $100^{\circ}$ E– $150^{\circ}$ E,  $0^{\circ}$ N– $60^{\circ}$ N]1813for (d) observations, (e) MIROC6, and (f) MIROC5.



1815Fig. 22. (a-c) Wintertime (DJF) climatology of STA (colorsshading; K m s<sup>-1</sup>), the 300 hPa zonal wind1816(contour; m s<sup>-1</sup>), and the 300 hPa horizontal wind (vector; m s<sup>-1</sup>) for (a) observations (ERA-I), (b)1817MIROC6, and (c) MIROC5. (d-f) As in (a-c), but for anomalies regressed onto the time series of the1818EOF1 of the 850 hPa meridional wind over  $[120^{\circ}E-150^{\circ}E, 30^{\circ}N-60^{\circ}N]$ .



1823 Fig. 23. Time-height cross section of the monthly mean, zonal mean zonal wind over the equator for

(a) observations (ERA-I) and (b) MIROC6. The contour intervals are 5 m s<sup>-1</sup>. Dashed lines correspond
to the altitude of the 70 hPa pressure level. The red and blue colors correspond to westerlies and
easterlies, respectively.





1842



1843

Fig. 25. Composites of time development of the zonal-mean NAM index for stratospheric weak polar vortex events in (a) observations (ERA-I), (b) MIROC6, and (c) MIROC5. The indices having dimension of geopotential height (m), and red colors denote negative values. Interval of colors (contours) is 50 (400) m. The number of events included in the composite are indicated above each panel.



Figure 26. Anomalies of SST (K), precipitation (mm day<sup>-1</sup>), the 500 hPa pressure height (m), and the equatorial ocean temperature averaged in 5°S–5°N (K) which are regressed onto the Niño3 index. Monthly anomalies with respect to monthly climatology are used here. From the left to the right, the anomalies in observations (ProjD and ERA-I), MIROC6, and MIROC5 are aligned. In the bottom panels, contours denote annual-mean climatological temperature with the 20°C isotherms thickened and the contour interval is 2°C.



1860

Figure 27. Same as Fig. 26, but for anomalies of SST (colors), 10 m wind vectors (upper panels) and precipitation (lower panels) regressed onto the autumn DMI. The values of the regression slope between anomalies of the 20°C isotherm depth and the SST over the eastern IOD region, which indicates the thermocline feedback, are displayed on the top of the upper panels.



1866

1867 Figure 28. Same as Fig. 26, but for anomalies of monthly SST and wintertime SLP regressed onto the

1868 PDO index (see the text). COBE-SST2/SLP2 data in 1900–2013 are used as observations.

1869



1872 Figure 29. Same as Fig. 26, but for anomalies of SST (colors) and SLP (contours; 0.2 hPa) regressed



1871



Fig. 30. (a) Global mean net radiative imbalance at the TOA plotted against the global mean SAT increase. Data from the first <u>15020</u> years after the abrupt  $CO_2$  quadrupling are used. (b)  $2 \times CO_2$ radiative forcing estimated by regressing four components of TOA radiation against the global-mean SAT, following Gregory and Webb (2008). (c) Same as (b) but for climate feedback. In Figs. 30bc,

1881 LWclr (SWclr) and LCRE (SCRE) denote a clear-sky longwave (shortwave) component and a
1882 longwave (shortwave) cloud component, respectively. The arrows in (b) and (c) indicate that the results
1883 of MIROC6 are different from MIROC5 at the 5% level.
1884 \_\_\_\_\_\_\_\_\_









Figure 32. Time series of the global-mean SAT anomalies for observations (black), MIROC6 (red),
and MIROC5 (blue). A 5-yr running-mean filter is applied to the anomalies with respect to the 1961–
1990 mean. Colors indicate spreads of ensemble experiments for each model (1 standard deviation).

Dataset	Used data period (year)	Reference
CERES (edition 2.8)	2001–2013	Loeb et al. (2009)
ISCCP	Climatology	Zhang et al. (2004)
ERA-Interim	1980–2009	Dee et al. (2011)
GPCPv2	1980–2009	Adler et al. (2003)
EASE-Grid 2.0	1980–2009	Brodzik and Armstrong (2013)
ProjD	1980–2009	Ishii et al. (2013)
SODA	1980–2009	Carton and Giese (2008)
SSM/I	1980–2009	Cavarieli et al. (1991)
NOAA OLR	1974–2013	Liebmann and Smith (1996)
COBE-SST2/SLP2	1900–2013	Hirahara et al. (2014)
HadCRUT	1850–2015	Morice et al. (2012)

1901 Table 1. Summary of observation and reanalysis datasets used as the references in the present

1902 manuscript.

1903

1904

Model	ECS [K]	Radiative forcing [W/m <sup>2</sup> ]	Climate feedback [W/m <sup>2</sup> /K]
MIROC6	2. <u>56</u>	3. <u>72</u> 81*	-1. <u>44</u> 53
MIROC5	2. <u><del>6</del>7</u>	4. <u>10</u> 33	-1. <u>50</u> 63

1905 Table 2. Effective climate sensitivity (ECS), radiative forcing of CO<sub>2</sub> doubling, and climate feedback

1906 for MIROC6 and MIROC5. The result of MIROC6 with '\*' is different from MIROC5 at the 5% level.

1907

1910

Madal	Radiative	e forcing [V	$V/m^2$ ]		Climate fe	edback [W	$m^2/K$ ]	
Model	LWclr	SWclr	LCRE	SCRE	LWclr	SWclr	LCRE	SCRE
MIROC6	4. <u>24</u> 33	-0.0 <u>36</u> *	-1. <u>2</u> +1*	0. <u>76</u> 63*	-	0.7 <del>5<u>8</u>*</del>	-0. <u>1105</u>	-
					<u>21.94</u> 01*			0. <u>24</u> 15*
MIROC5	4. <u>23</u> 28	-0. <u>13</u> 25	-	1.4 <u>0</u> 3	-1.8 <mark>76</mark>	0.8 <mark>8<u>3</u></mark>	-0. <u>1504</u>	-0.4 <u>93</u>
			<u>01</u> . <u>04</u> 84					

Table 3. Radiative forcing of CO<sub>2</sub> doubling and climate feedback for MIROC6 and MIROC5,
evaluated with different components of TOA radiation as longwave clear sky (LWclr), shortwave clear

1913 sky (SWclr), longwave cloud radiative effect (LCRE), and shortwave cloud radiative effect (SCRE).

1914 The results of MIROC6 with '\*' are different from MIROC5 at the 5% level.

## 1916 Appendix

1917

MIROC6 (this issue)

MIROC5 (Watanabe et al., 2010)

Atmosphere	Core	CCSR-NIES AGCM (Numaguti et al., 1997)	Same as MIROC5
	Resolution	T85 (150 km), 40 levels up to 3 hPa	T85 (150 km), 81 levels up to 0.004 hPa
	Cumulus	An entrainment plume model with multiple cloud-types (Chikira and Sugiyama, 2010)	Same as MIROC5
	Shallow conv.	N/A	A mass flux-based single plume model based on Park and Bretherton (2009)
	Aerosol	SPRINTARS (Takemura et al.,2000, 2005, 2009)	Same as MIROC5, but with prognostic precursor gases of organic matters and diagnostic oceanic primary and secondary organic matters.
	Radiation	k-distribution scheme (Sekiguchi and Nakajima, 2008)	Same as MIROC5, but with a hexagonal solid column as ice particle habit and extended mode radius of cloud particles.
	Gravity waves	An orographic gravity wave parameterization (McFarlane, 1987)	Same as MIROC5, but with a non-orographic gravity wave parameterization (Hines, 1997)
Land	Core	MATSRIO (Takata et al., 2003)	Same as MIROC5, but with parameterizations for subgrid snow distribution (Linston et al. 2004; Nitta et al., 2014) and a snow- fed wetland (Nitta et al., 2017)
	Resolution	T85 ( $150 \mathrm{km}$ ), 3 snow layers and 6 soil layers down to $14 \mathrm{m}$ depth	Same as MIROC5
Ocean/sea-ice	Core	COCO4.9 (Hasumi, 2004)	Same as MIROC5
	Resolution	Nominal 1.4° (bipolar grid system), 49 levels down to $5500 \text{ m}$	Nominal l $^{\rm o}$ (tripolar grid system), 63 levels down to 6300 m
	Turbulence	1.5 level turbulent closure model (Noh and Kim, 1999)	Same as MIROC5, but modified turbulent kinetic energy input and smaller background vertical diffusivity under sca-ice (Komuro 2014)

Table A. Summary of the updated configurations from MIROC5 to MIROC6