

# **“PIC v1.3: Comprehensive R package for permafrost indices computing with daily weather observations and atmospheric forcing over the Qinghai–Tibet Plateau”**

by Lihui Luo et al.

We thank Anonymous Reviewer #1 for the valuable feedback, which helped us to improve the manuscript. Please find below the Reviewer comments in black, Author responses in green, and Changes to the manuscript in blue.

## **Response to reviewer comment 1:**

In this manuscript the authors introduce the "PIC" R-package for computing permafrost indices over the Qinghai-Tibet Plateau (QTP). The package can calculate temperature/depth-related indices to estimate the possible change trends of frozen soil in the QTP, and provides over 10 statistical methods, a sequential Mann-Kendall trend test and spatial trend method to evaluate the permafrost indices. The package also provides multiple visual options to display the temporal and spatial variabilities on the stations and region. Along with the package, a dataset from 52 permanent meteorological stations across the QTP is prepared and the authors use it to demonstrate the temporal-spatial change trends of Tibetan permafrost with the climate.

The manuscript demonstrates some basic usages of PIC package. Although the authors state that the PIC package can be employed for a comprehensive analysis and can be used to validate the simulated results of the region, there's no such application presented in the current manuscript, therefore it's difficult to find the advantages of this package. On the other hand, a reasonable summarizing and categorizing the frozen indices developed in this package would be very useful for permafrost community, it's not available in the current manuscript. Overall, the manuscript is not well written and needs to be better organized. I do not recommend it for publication at the current stage, it could be reconsidered if the following points are addressed.

Thanks for your insightful comments. In revising the paper, we have carefully considered your comments and suggestions. We agree with your comments on data, parameters, simulation verification, extensibility of the package, and so on. To address these concerns, we make the following modifications to the manuscript: (1) Reorganized the manuscript structure; (2) Added the preparation of datasets and parameters, and comparative analysis between simulations and observations; (3) Modified many inappropriate expression; (4) Highlighted the importance of the transparency and repeatability in permafrost modeling, especially for the current permafrost study in the Qinghai-Tibet Plateau, openness and sharing are extremely important from data, parameters, model codes, computational processes, simulation output, statistical analysis to visualization; (5) Improved the flow of the manuscript language (Figure R1). We tried our best to address each of your points in detail. We feel the revision represents an

improvement and hope you do also. For more details, please see our replies below.



Figure R1. Editorial Certificate.

5 Specific comments:

1. It's mentioned that GLDAS and the weather station data of the surrounding QTEC were merged to produce a new data set, while it's not clear how this is done.

The main data processing workflow is as follows:

- 10 (1) Data pre-processing. There are a lot of details to be considered in the pre-processing workflow, such as time conversion, null value, unit conversion, and height correction in different datasets. For time conversion, China Meteorological Administration (CMA) data is based on Beijing time, while Beijing time is 8 hours earlier than time of Global Land Data Assimilation System (GLDAS). So the time of GLDAS data needs to be converted to coincide with CMA time. For height correction, the height of
- 15 variables of the two datasets is different and needs to be revised according to the corresponding formula.
- (2) Spatial interpolation of GLDAS. Higher spatial resolution data can be obtained through the spatial interpolation, which used bilinear interpolation method to implement spatial downscaling from 0.25° of GLDAS to 0.10°.
- (3) Spatial interpolation of CMA. Spatial distribution of the CMA data can be obtained through
- 20 transparent analysis and spatial interpolation of ground-based observations using ANUSPLIN package.
- (4) Offset correction. The higher spatial resolution data was calibrated with correction parameters obtained from differences between the GLDAS data and the CMA data.
- (5) Data post-processing. Post-processing mainly includes files segmentation, data compression, format conversion and so on.

We have updated the sentence as follows:

“The Qinghai-Tibet Engineering Corridor (QTEC), located at the centre of the QTP, was selected in preparing the atmospheric forcing data. Global Land Data Assimilation System (GLDAS, <https://ldas.gsfc.nasa.gov>) and the weather station data of the surrounding QTEC were merged through spatial interpolation and offset correction to produce a new data set for 1980 to 2010 with a daily 0.1° temporal-spatial resolution. An atmospheric forcing dataset was used as the input data for the PIC v1.3 regional calculation.”

2. Please give concrete description on the parameters for the ground conditions, such as thermal conductivity of ground in thawed/frozen states, how were these parameters estimated or retrieved? their typical values and ranges at QTP.

We added the process of preparing the parameters in “3 Data and parameters” section. The computing parameters for whole processing can be found in Figure R2. We selected 4 input spatial parameters as Figure 3 of the manuscript.

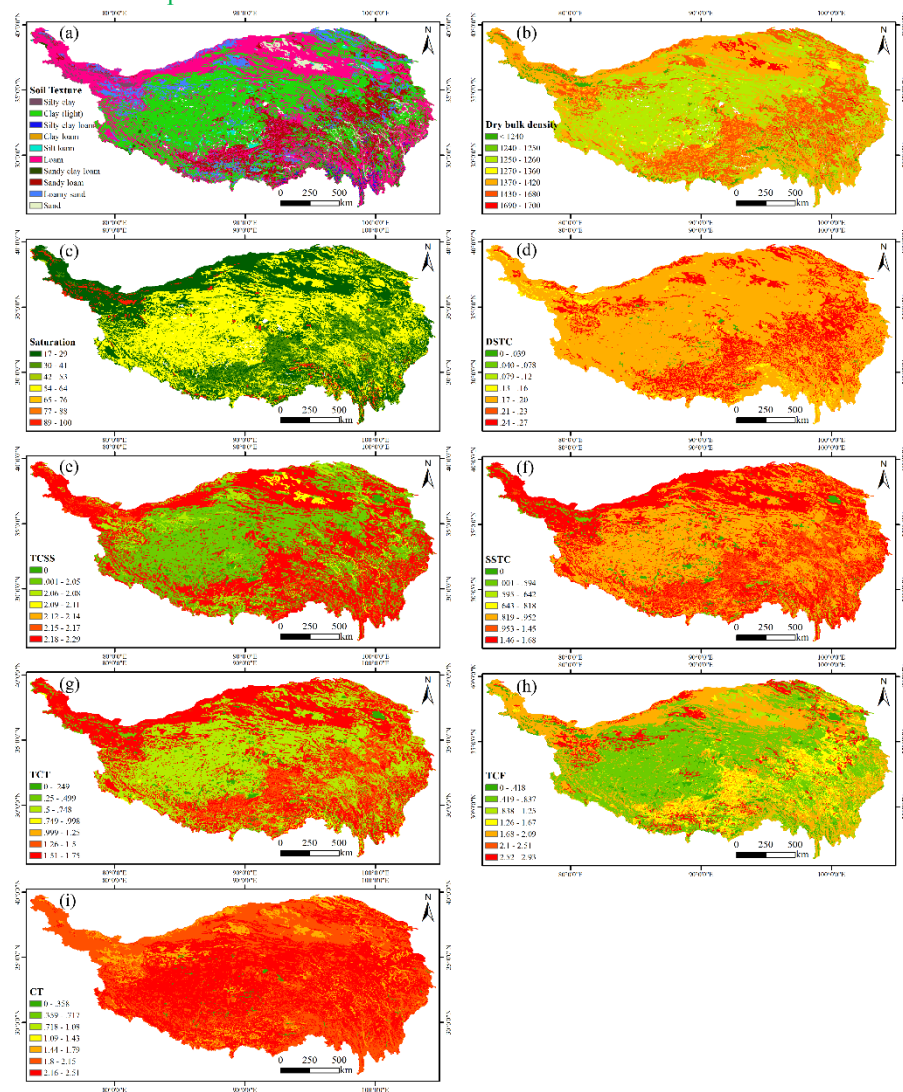


Figure R2: Computing parameters for PIC v1.3 over the Qinghai-Tibet Plateau. (a) soil texture classification based on HWSO data; (b) dry bulk density  $\rho$ ; (c) soil saturated water content  $\theta_s$ ; (d) thermal conductivity of dry soil  $\lambda_{dry}$ ; (e) thermal conductivity of soil solids  $\lambda_s$ ; (f) saturated soil thermal

conductivity  $\lambda_{sat}$ ; (g) thermal conductivity of ground in thawed state  $\lambda_t$ ; (h) thermal conductivity of ground in frozen state  $\lambda_f$ ; (i) volumetric heat capacity during thawing  $C_T$ .

### 3.3 Parameters

- 5 The parameters for the ground conditions were based on soil property data and field observations. The parameter data have two sets: one for weather stations and another for the QTEC region. The Harmonized World Soil Database (HWSD, version 1.21) provides information on soil parameters that are available for evaluating soil thermal conductivity with field observations and can be used as input parameters to the PIC v1.3 package (Bicheron et al., 2008; Nachtergaele et al., 2009). The thermal conductivity of ground in a thawed/frozen state,  $\lambda_t$  and  $\lambda_f$ , can be computed through the joint parameterization scheme of the Johansen method (Johansen, 1977) and Luo parameterization (Luo et al., 2009):

$$\lambda_{dry} = \frac{0.135 \times \rho + 64.7}{2700 - 0.947 \times \rho} \quad (25)$$

$$\lambda_s = \lambda_q^q \times \lambda_o^{1-q} \quad (26)$$

$$\lambda_{sat} = \lambda_s^{1-\theta_s} \times \lambda_w^{\theta_s} \quad (27)$$

$$15 \quad S_r = \frac{\theta}{\theta_s} \quad (28)$$

$$K_{et} = \frac{K_t \times S_r}{1 + (K_t - 1) \times S_r} \quad (29)$$

$$K_{ef} = \frac{K_f \times S_r}{1 + (K_f - 1) \times S_r} \quad (30)$$

$$\lambda_t = (\lambda_{sat} - \lambda_{dry}) K_{et} + \lambda_{dry} \quad (31)$$

$$\lambda_f = (\lambda_{sat} - \lambda_{dry}) K_{ef} + \lambda_{dry} \quad (32)$$

- 20 where the soil thermal conductivity of dry soil  $\lambda_{dry}$  depends on dry bulk density  $\rho$ , the thermal conductivity of soil solids  $\lambda_s$  varies with the gravel content  $q$ ,  $\lambda_q$  is the thermal conductivity of quartz ( $7.7 \text{ W m}^{-1} \text{ K}^{-1}$ ),  $\lambda_o$  is the thermal conductivity of other minerals ( $2.0 \text{ W m}^{-1} \text{ K}^{-1}$ ), and  $q$  is the gravel content in the soil. The saturated soil thermal conductivity  $\lambda_{sat}$  depends on the thermal conductivity of soil solids  $\lambda_s$ , liquid water  $\lambda_w$  ( $0.594 \text{ W m}^{-1} \text{ K}^{-1}$ ), and the soil saturated water content  $\theta_s$ . The degree of saturation  $S_r$  is a function of the soil water content,  $\theta$  and soil saturated water content,  $\theta_s$ . The Kersten numbers in the thawed/frozen state,  $K_{et}$  and  $K_{ef}$ , are two functions of the degree of saturation  $S_r$ , and  $K$  values in the thawed/frozen state,  $K_t$  and  $K_f$ ,  $\rho$ ,  $q$  and  $\theta_s$  come from the T\_BULK\_DENSITY, T\_GRAVEL, and T\_BS fields of the HWSD.

The volumetric heat capacity during thawing,  $C_T$ , is given as :

$$C_T = (C_s + \theta \times C_w) \times \rho \quad (33)$$

Where  $C_w$  is specific heat of liquid water ( $4.18 \text{ kJ kg}^{-3} \text{ K}^{-1}$ ),  $C_s$  is soil specific heat capacity.  $\theta$ ,  $C_s$ ,  $K_t$  and  $K_f$  in different soil textures can be found in Table 3, these four parameters are empirical parameters used to explain different soil texture types based on soil texture, thermal conductivities and specific heat capacity derived from soil sampling along the QTEC. Figure 3 shows these input spatial parameters over the QTP.

Table 3: Parameters of thermal conductivity in the thawed/frozen state. The UADS Code came from soil texture classification of United States Department of Agriculture (USDA). The Qinghai-Tibet Plateau does not have the 1 and 8 of soil classification codes.  $\theta$ : soil water content;  $K_t$ : K value in thawed state;  $K_f$ : K value in frozen state;  $C_s$ : soil specific heat capacity ( $\text{kJ/kg K}$ ).

USDA Code	Soil Texture	$\theta$	$K_t$	$K_f$	$C_s$
1	clay(heavy)	0.17	1.90	0.85	1.00
2	silty clay	0.17	1.90	0.85	1.00
3	clay (light)	0.17	1.90	0.85	0.92
4	silty clay loam	0.17	1.90	0.85	0.92
5	clay loam	0.17	1.90	0.85	0.92
6	silt	0.17	1.90	0.85	0.87
7	silt loam	0.17	1.90	0.85	0.87
8	sandy clay	0.15	3.55	0.85	0.84
9	loam	0.15	3.55	0.95	0.84
10	sandy clay loam	0.15	3.55	0.95	0.84
11	sandy loam	0.15	3.55	0.95	0.84
12	loamy sand	0.06	4.60	1.70	0.79
13	sand	0.06	4.60	1.70	0.79

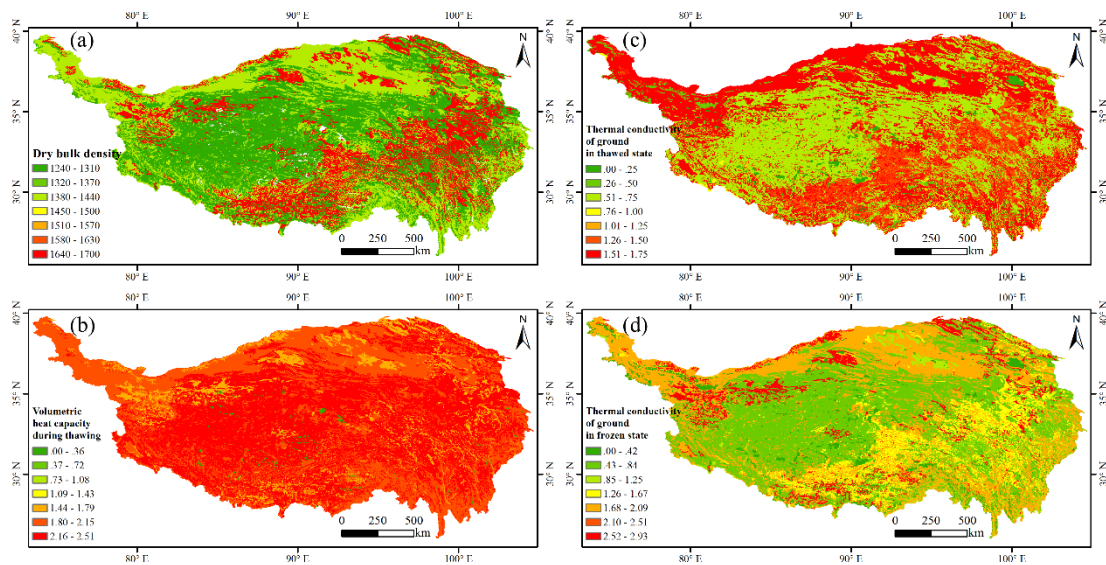


Figure 3: Spatial parameters for PIC v1.3 over the Qinghai-Tibet Plateau. (a) dry bulk density  $\rho$ ; (b)

volumetric heat capacity during thawing  $C_T$ ; (c) thermal conductivity of ground in thawed state  $\lambda_t$ ; (d) thermal conductivity of ground in frozen state  $\lambda_f$ .

In Discussion section “5.3 Limitations and uncertainties”, we added parameter uncertainties.

5 “Second, the heterogeneity in ground conditions of the QTP also brings along uncertainties of parameter preparation.”

3. It’s mentioned several times that the PIC package integrates meteorological observations, remote sensing data, and field measurements to compute the factors or indices of permafrost and seasonal frozen soil. But from the manuscript, there’s no description on how remote sensing data is integrated. It’s also mentioned that the package integrates model simulations, it’s not clear what model simulations refer to.

15 The Global Land Data Assimilation System (GLDAS) data and Harmonized World Soil Database (HWSD) came from remote sensing data. The spatial data with GLDAS and weather station data was called the gridded meteorological and soil datasets could be a more precise description. We changed “remote sensing data” to “gridded meteorological and soil datasets”.

Model simulations, in fact, is the operation of the PIC package. We changed “model simulation” to “permafrost modeling”. In some statements, we still keep “simulation” the word.

20 4. In Discussion, the authors state the simulation results from the PIC package show widespread permafrost degradation in QTP and the temporal-spatial trends of the permafrost conditions in QTP are consistent with previous studies. While there’s no material results presented here to validate or compare with previous published literatures.

25 The QTEC is the most accessible area of the QTP. Most boreholes were drilled in the QTEC to monitor changes of permafrost conditions, and this monitoring data provides support for model performance evaluation. Figure 7 and 8 provide the temporal-spatial change trends of the permafrost conditions using active layer thickness (ALT), and we added Table 5 to evaluated the PIC v1.3 simulation performance in “5.1 PIC performance”

30 “Climate change indicates a pronounced warming and permafrost degradation in the QTP with active layer deepening (Chen et al., 2013; Cheng and Wu, 2007b; Wu and Zhang, 2010; Wu et al., 2010), and both the simulation of stations and the region in PIC v1.3 also show widespread permafrost degradation (Figures 4-8). Meanwhile, as shown in Figures 7 & 8, the permafrost in the QTEC also continued to thaw, with the ALT growing. The QTEC is the most accessible area of the QTP. Most boreholes were drilled in the QTEC to monitor changes of permafrost conditions, and this monitoring data provides support for model performance evaluation. Meanwhile, ALT was widely used, so we adopted the permafrost index to estimate PIC v1.3 simulation performance. The simulated PIC v1.3 ALT and previous literature in the QTEC are compared in Table 5. The increasing rate of ALT averaged 0.50-7.50 cm yr<sup>-1</sup>. The rate during 40 the 1990s to 2010s was greater at more than 4.00 cm yr<sup>-1</sup>, than during 1980 to the 1990s, at approximately 2.00 cm yr<sup>-1</sup>. Though both the observed and the simulated ALT and its variation in different locations of the QTEC were still relatively large, the ALT trend in PIC v1.3 was close to the observations and simulation in the QTEC. In recent decades, the permafrost thaw rate has increased significantly. The majority of observed ALT and its trend along the QTH and QTR were greater than the simulated grid



ALT of PIC v1.3, mainly because the observation sites are near these engineering facilities. These comparative analyses suggest that the temporal-spatial trends of permafrost conditions in the QTEC using PIC v1.3 were consistent with previous studies. More importantly, the difference between simulation results highlights the importance of transparency and reusability of models, data, parameters, simulation results and so on.”

5

Table 5. The active layer thickness (ALT) and its trend between the PIC v1.3 simulation and literature analysis in the Qinghai-Tibet Engineering Corridor (QTEC).

Mean ALT (m)	ALT Scope (m)	ALT trend (cm yr-1)	Period	Location	Data sources
2.03	0.97-3.87	2.89	1980-2010	The whole QTEC	PIC v1.3
2.18	1.00-3.20	1.33	1981-2010	Near the Qinghai-Tibet highway along the QTEC	Li et al. (2012)
—	1.00-3.00	0.50-2.00; 3.00-5.00 (1990s-2001)	1980-2001	Simulation along the Qinghai-Tibet Highway/Railway	Oelke and Zhang (2007)
—	1.30-3.50	—	—	Near the Qinghai-Tibet highway along the QTEC	Pang et al. (2009)
—	2.00-2.60	2.14-7.14	1991-1997	1 site (35°43'N, 94°05'E) Near the Qinghai-Tibet highway along the QTEC	Cheng and Wu (2007a)
—	1.84-3.07	—	1990s	17 Monitoring sites near the Qinghai-Tibet Highway/ Railway along the QTEC	Jin et al. (2008)
2.41	1.32-4.57	7.50	1995-2007	10 Monitoring sites Near the Qinghai-Tibet highway along the QTEC	Wu and Zhang (2010)
2.40	1.61-3.38	4.26	2002-2012	10 Monitoring sites (34°49'N, 92°55'E) along the QTEC	Wu et al. (2015)

10 5. In Discussion, it's mentioned the spatial modeling at QTEC region classifies land cover and topographic features to determine the input spatial parameters, it's necessary to provide details and rationalities. It's also mentioned that the spatial modeling uses the GLDAS satellite data, but no detailed information.

15 Please see the our responses “1” ,“2” and “3” in the Specific comments section.

20 6. The authors claim the PIC package will serve engineering applications and can be used to assess the impact of climate change on permafrost. Currently the package targets specifically QTP, how's the extensibility of this package? Is it possible to apply or extent the PIC package to other permafrost regions easily? If so, the PIC package will benefit a larger community.

The transparency and repeatability of data, parameters, model codes, computational processes,

simulation output, visualization, and statistical analysis is a fundamental principle of scientific researches in the Earth system modeling. At present, there is a lack of open source software for permafrost modeling in the Tibetan plateau. The PIC v1.1 package use commonly used data and parameters, and these permafrost indices are also applied to other permafrost regions; data and parameters of station calculation support a variety of data formats, while the current spatial data and parameters of region calculation only support NetCDF format, but this format is widely used in the Earth System Modeling community. A total of 52 weather stations with daily meteorological records over the Qinghai-Tibet Plateau (i.e., from 1951 to 2010) were integrated into the PIC package, which was never before. Whether it's data or packages, it can cause broad interest in permafrost communities. In order to ensure that the PIC package can be widely used, although the Qinghai-Tibet Plateau data is the default option, but are not confined to the Qinghai-Tibet Plateau, the invocation of PIC functions take into account the convenience of users. More importantly, these indices can be used separately to make free choices based on the needs of the researchers. Furthermore, we use the GNU-GPL 3.0 license, which other researchers can modify, refine, or integrate the PIC package into other software or Web service. Meanwhile, our team will continue to refine the package to meet a variety of needs.

Below, we will use an example to show the application of external data using PIC package, which came from other permafrost regions.

We used weather station data in northeastern China to compute permafrost indices using PIC package (please see Table R1, Figure R3 & R4). We think that the PIC package can be fully extended to other areas.

Table R1. Station information for Mohe station.

SID	Station Name	Latitude	Longitude	Elevation	Start date		End date	
50136	Mohe	52°58'	122°31'	433	1958	1	2000	12

```

> d50136 <- read.csv("d50136.csv", head=TRUE)
> Thawing_index(Year=1999,TempName="Temperature", data=d50136, SID=50136)
1999
2010.3
> Freezing_index(Year=1999,TempName="Temperature", data=d50136, SID=50136)
1999
3534.4
> Thawing_index(Year=1958:2000,TempName="Temperature", data=d50136, SID=50136)
1958 1959 1960 1961 1962 1963 1964 1965 1966 1967 1968 1969 1970 1971 1972 1973
210695.9 12105.3 2054.9 21623.2 2130.9 2102.0 1993.4 1974.1 2070.2 2173.5 2188.9 1979.5 2187.2 2226.0 1932.9 2205.4
1974 1975 1976 1977 1978 1979 1980 1981 1982 1983 1984 1985 1986 1987 1988 1989
2145.6 2249.0 2036.0 2035.4 2032.4 2131.2 2123.6 2056.0 2108.3 2004.9 2146.6 2125.8 2190.0 1988.1 2290.5 2096.3
1990 1991 1992 1993 1994 1995 1996 1997 1998 1999 2000
2244.4 2122.8 2180.2 2196.2 2279.3 2094.0 2172.6 2062.8 2101.0 2010.3 2226.7
> MAAT(Year=2000,TempName="Temperature", data=d50136, SID=50136)
2000
-4.764658
> MAAT(Year=1958:2000,TempName="Temperature", data=d50136, SID=50136)
1958 1959 1960 1961 1962 1963 1964 1965 1966 1967 1968 1969 1970
566.933425 23.547671 -5.811233 48.743288 -4.400000 -3.508767 -4.954247 -6.135890 -5.914247 -3.418904 -4.035342 -6.117534 -4.705753
1971 1972 1973 1974 1975 1976 1977 1978 1979 1980 1981 1982 1983
-3.907845 -6.199178 -4.261096 -5.489315 -3.258356 -5.561918 -5.204932 -4.122466 -5.057808 -4.707397 -4.264110 -3.826849 -3.760000
1984 1985 1986 1987 1988 1989 1990 1991 1992 1993 1994 1995 1996
-4.809589 -4.567397 -3.541370 -5.457808 -2.777534 -3.229589 -2.814795 -4.012603 -3.942740 -3.227123 -3.582740 -3.076438 -4.433699
1997 1998 1999 2000
-3.846301 -3.660274 -4.175616 -4.764658
>

```

Figure R3. The computing process using PIC package for Mohe station. The other permafrost indices can also be computed.



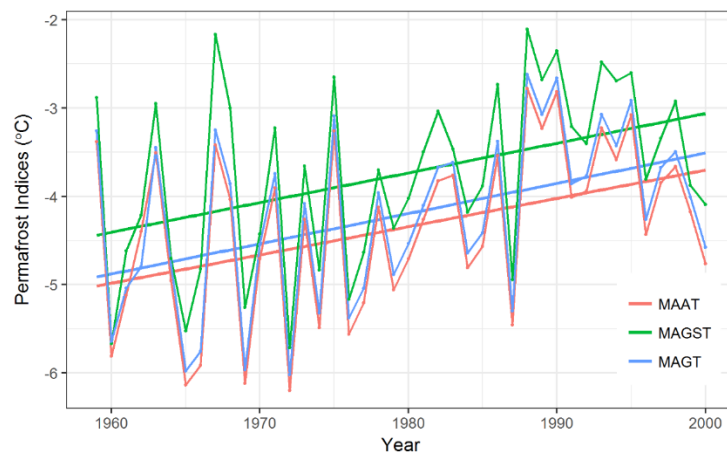


Figure R4. The visualization example of the permafrost indices (MAAT, MAGST and MAGT) using PIC package for Mohe station.

5 We added some sentences in Abstract and Discussion sections.

“The transparency and repeatability of the PIC v1.3 package and its data can be used and extended to assess the impact of climate change on permafrost.”

10 “Moreover, the regional calculation can extend from QTEC to the entire QTP and even the other permafrost regions.”

Minor comments:

1. P2, L13: Change "Such an increase. . ." to "Such an increase in temperature of QTP. . ."

15 This has been corrected, thank you.

2. P2, L14-15: Add "Understanding" before "The distribution and changes of permafrost with climate. . .".

This has been corrected, thank you.

20

3. P3, L4: Change "depends on the size of" to "depends on the magnitude of"

This has been corrected, thank you.

25 4. P3, L15: Change "with" to "at".

This has been corrected, thank you.

5. P3, L16: Change "These indices consist. . ." to "The permafrost indices consist. . ."

30

This has been corrected, thank you.

6. P3, L19: Change "multi-dimensional simulation" to "multi-dimensional permafrost simulation"

This has been corrected, thank you.

7. P3, L21: Be more concise on the problem.

5

We modified these sentence below.

“The transparency and repeatability of data, parameters, model codes, computational processes, simulation output, visualization, and statistical analysis is a fundamental principle of scientific research in Earth system modelling. At present, there is a lack of open source software, shared data and parameters for permafrost modelling in the QTP.”

10

8. P3, L23: Change "the current condition" to "the current situation".

15

This has been corrected, thank you.

9. P3, L25: I doubt the word "determine" used here.

This has been corrected, thank you.

20

We have updated the sentence as follows:

“The goal is to provide guidance for the future of highway and high-speed railway design and construction in the QTP, as well as to further understand the effects of climate change on permafrost dynamics.”

25

10. P5, L5: Change "function" to "functions".

This has been corrected, thank you.

30

11. P5, L6: Change "max and min" to "maximal and minimal".

This has been corrected, thank you.

12. P5, L14-20: The signs of equations from (3) to (6) are not consistent with equations (7) and (8).

35

We checked these equations.

13. P5, L21: Add "defined" before "in".

40

This has been corrected, thank you. For a clearer description of MAGT, we rewrote the sentence as follows:

“MAGT is defined as the soil temperature at the depth of zero annual temperature change.  $T_{z,t}$  is the ground temperature at any time  $t$  and depth  $z$  below a ground surface. MAGT is often found at the depths from 10 m to 16 m over the QTP (Wu and Zhang, 2010). Here, we take the  $z$  value of 15 meters as default

value, user can change the depth z.”

14. P8, L3: Please add a proper citation to R.

5 we have added the following as a reference to the manuscript:

R Core Team: R: A Language and Environment for Statistical Computing, R Foundation for Statistical Computing, Vienna, Austria, <http://www.R-project.org/>, 2017.

10 15. P8, L5: Change "functionality" to "functionalities".

This has been corrected, thank you.

15 16. P12, L9-10: What does "based on the 52 observation stations" mean? What index is used to detect the permafrost here?

Using Exist\_Permafrost function to detect the probability of permafrost occurrence. This has been corrected, thank you. We have updated the sentence as follows:

20 “The PIC v1.3 simulation results using the Exist\_Permafrost function show that permafrost was detected at 12 of the 52 observation stations (Figure 4)”

17. P12, L11: Why does ALT decrease here?

25 Sorry. Wrong writing. Thanks for pointing this out. Have been modified to “increasing ALT”.

“The permafrost, whether in permafrost stations or QTEC, continued to thaw with increasing ALT, low surface offset and thermal offset, and high MAAT, MAGST, MAGT, and TTOP for most areas of QTP.”

30 18. P13, L8-11: It’s better to mention that you’re discussing technical implementation here. It will be more informative by giving the specification of the computer used to run the performance tests.

35 PIC v1.3 was run natively as a single process in Windows 7 Operating system. The calculations were performed independently through RStudio Desktop v1.1 software (RStudio, Inc., USA). The utilized processor type is Intel Core i7-2600 CPU 3.40GHz, and the available memory is 32 GB.

40 “The “for” loop is discarded, whereas the “apply” functions are used extensively to significantly lower the computation time. PIC v1.3 was run natively as a single process in the Windows 7 Operating system. The calculations were performed independently through RStudio Desktop v1.1 software (RStudio, Inc., USA). The utilized processor is an Intel Core i7-2600 CPU 3.40 GHz, and the available memory is 32 GB. The current regional calculation takes only approximately 11 s. Apart from the Kudryavtsev model that requires considerable computation time (i.e., approximately 5 min), the station calculation also exhibited an improved efficiency. Therefore, PIC v1.3 can be considered an efficient R package.”

19. P13, L14-15: The point (2) is not clear.

MAGT is soil temperature at the depth of zero annual temperature change, which is often found at 10–15 m depth below the ground surface on the QTP. Regression analysis shows that MAGT on the QTP has the relationship as equation (R1-R3):

$$\text{MAGT} = -0.83\varphi - 0.0049E + 50.63341 \quad (\text{R1})$$

$$\text{MAGT} = 68.827 - 0.00827E - 0.927\varphi \quad (\text{R2})$$

$$\text{MAGT} = 65.461 - 1.222\varphi - 0.005E - 0.299\cos\theta \quad (\text{R3})$$

Where  $\varphi$ , E and  $\theta$  represents latitude, elevation and aspect respectively.

We have updated the sentence as follows:

“(2) constructed a regression analysis method through the relationship between MAGT and elevation, latitude, and slope-aspects that presented a static permafrost distribution (Lu et al., 2013; Nan, 2005).”

20. P14, L1: Change "approximately" to "partially".

This has been corrected, thank you.

21. P14, L19: Please describe how the soil input parameters are handled in PIC directly.

Please see the our responses “2” in the Specific comments section (above).

22. Table 1: The units of thermal conductivity usually are written as "W m<sup>-1</sup> K<sup>-1</sup>".

This has been corrected, thank you.

### References:

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Chen, H., Zhu, Q. A., Peng, C. H., Wu, N., Wang, Y. F., Fang, X. Q., Gao, Y. H., Zhu, D., Yang, G., Tian, J. Q., Kang, X. M., Piao, S. L., Ouyang, H., Xiang, W. H., Luo, Z. B., Jiang, H., Song, X. Z., Zhang, Y., Yu, G. R., Zhao, X. Q., Gong, P., Yao, T. D., and Wu, J. H.: The impacts of climate change and human activities on biogeochemical cycles on the Qinghai-Tibetan Plateau, Global Change Biol, 19, 2940-2955, 2013.

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# **“PIC v1.3: Comprehensive R package for permafrost indices computing with daily weather observations and atmospheric forcing over the Qinghai–Tibet Plateau”**

by Lihui Luo et al.

We thank Anonymous Reviewer #2 for the valuable feedback, which helped to improve the manuscript. Please find below the Reviewer comments in black, Author responses in green, and Changes to the manuscript in blue.

## **Response to reviewer comment 2:**

Overall problems English is problematic. Before resubmission, ask a native English speaker with good geoscience background to help edit the manuscript when all technical details are taken care of.

Thanks for your insightful comments. In revising the paper, we have carefully considered your comments and suggestions. We agree with your comments on some details and the latest progress of permafrost modeling. To address these concerns, we make the following modifications to the manuscript: (1) Reorganized the manuscript structure; (2) Added the preparation of datasets and parameters, and comparative analysis between simulations and observations; (3) Modified inappropriate expression; (4) Highlighted the importance of the transparency and repeatability in permafrost modeling, especially for the current permafrost study in the Qinghai-Tibet Plateau, openness and sharing are extremely important from data, parameters, model codes, computational processes, simulation output, statistical analysis to visualization; (5) Improved the flow of the manuscript language (Figure R1). We tried our best to address each of your points in detail. We feel the revision represents an improvement and hope you do also. For more details, please see our replies below.





Figure R1. Editorial Certificate.

Specific issues: Title: OK Abstract: OK

5

1. Introduction P2, Lines 7-8, winter snow cover in some of those areas is supposed to one of the thickest in the world.

Thanks for pointing this out.

10

We have updated the sentence as follows:

“Permafrost occurs mostly in high latitudes and altitudes with long, cold winters and thick winter snow, e.g., the Arctic, Antarctica, Alaska, the Alps, Northern Russia, Northern Canada, Northern Mongolia, and the Qinghai-Tibet Plateau (QTP) (Riseborough et al., 2008; Yi et al., 2014; Zhang et al., 2008)”

15

P2, Lines 14-16, sentence needs elaboration. The distribution and changes of permafrost with climate is necessary for infrastructure development, ecological and environmental assessments, and climate system modeling. The distribution of permafrost under influences of climate change is. . . Notes: the epidemic issue here in the paper is rambunctious listing of references in the text. It should follow the GMD format, or at least the earlier, the first principle. Such as, Lines 10-11, 15-16, and others. Change them all and make the list more reasonable.

20

Thanks for pointing this out. We check the reference format.

We have updated the sentence as follows:

25

“Understanding the distribution and changes of permafrost under the influence of climate change is necessary for infrastructure development, ecological and environmental assessment, and climate system

modelling (Luo et al., 2017; Luo et al., 2012; Zhang et al., 2014)”

P3, Lines 3-5, please cite original references, who proposed the classification of permafrost on the basis of MAGT in Chin and on the QTP? Additionally, it is on the MAGT, rather than on the size of the MAGT.

5 What is the size of the MAGT?

We add a reference. MAGT is often found at the depth from 10 m to 16 m over the QTP (Wu and Zhang, 2010), here we take the value of 15 meters. Usually, size connotes physical dimensions while magnitude connotes either a numerical measure of any sort of amount or metaphorical size. Our use of the word “size” is wrong. So we changed the “size” to “range”.

10

“Thereafter, the type and distribution of frozen soil can be classified in a variety of manners depending on the range and magnitude of these indices.”

Page 3, Paragraph 15, The land surface temperature significantly differs the near-surface air temperatures and ground surface temperatures, particularly for the simulation of the thermal regime of ground. This is significant when taking into account of different driving input of the modeling. Please refer to Difference between near-surface air, land surface and ground surface temperatures and their influences on the frozen ground on the Qinghai-Tibet Plateau (Geoderma, Luo et al., 2018);

20

Thanks for pointing this out. We agree with your comments on the difference of three temperature values, input data with different temperatures will cause the difference of simulation.

The Land Surface Temperature (LST) is the radiative skin temperature of the land surface, as measured in the direction of the remote sensor. LST is a mixture of vegetation and bare soil temperatures. The ground surface temperature (GST) is the soil temperature at 0–5 cm. The near-surface air temperature ( $T_a$ ) was measured at a screen-height of 1.5–2 m.

25

In the PIC v 1.1 package, we use near-surface air temperature and ground surface temperature at 0 cm, which came from weather stations and GLDAS gridded meteorological datasets. In the future we will use spatial data of land/ground surface temperature as a input data of PIC package, and we will consider the simulation difference between LST and GST.

30

Page 3, Line 20, please change “is a problem” to “problematic”;

We rewrote the sentence.

35

“The transparency and repeatability of data, parameters, model codes, computational processes, simulation output, visualization, and statistical analysis is a fundamental principle of scientific research in Earth system modelling. At present, there is a lack of open source software, shared data and parameters for permafrost modelling in the QTP.”

40

Page 5, Line 20, “MAGT is the soil temperature in (Wu and Zhang, 2010).” This sentence is incomplete.

Thanks for pointing this out. For a clearer description of MAGT, we rewrote the sentence as follows:

“MAGT is defined as the soil temperature at the depth of zero annual temperature change.  $T_{z,t}$  is the ground temperature at any time  $t$  and depth  $z$  below a ground surface. MAGT is often found at the depths from 10 m to 16 m over the QTP (Wu and Zhang, 2010). Here, we take the  $z$  value of 15 meters as default value, user can change the depth  $z$ .”

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Please also note the supplement to this comment: <https://www.geosci-model-dev-discuss.net/gmd-2018-15/gmd-2018-15-RC2-supplement.pdf>

We moved the reviewer's comments here from the manuscript edits.

10

Page 2, Line 3, consecutive

This has been added, thank you.

15 Page 2, Line 7, permafrost occurs also in Alps where there is a considerable snow cover during the winter.

This has been added, thank you.

20 Page 2, Line 9, Need to check if for the inside cite, the journal request that multiple authors to be arranged alphabetically and not by year.

Thanks. We check the reference format for the inside cite.

25 Page 2, Line 10, There are some other opinions in a recent paper. At least is adecvate to cite them. Ran et al. 2018: Climate warming over the past half century has led to thermal degradation of permafrost on the Qinghai–Tibet Plateau. In: The Cryosphere, 12, 595–608

Thanks. Many recent articles have pointed out that the Qinghai-Tibet Plateau has warmed more than 0.25 degrees every ten years. We updated the sentence, and added a recent reference.

30

“The temperature in the QTP has increased by more than 0.25 °C per decade over the past 50 years (Li et al., 2010; Ran et al., 2018; Shen et al., 2015; Yao et al., 2007).”

35 Page 4, Line 5, I don't think this paragraph is necessary. This is a classical research article which structure is well known and is already organised based on it. Also is indicated to finish the introduction part with the purpose, for a easier article reading.

We deleted this paragraph.

40 Page 5, Line 5, These indices are indeed very well explained.

We have added more descriptions to these permafrost indices in section “Permafrost modeling”. We have updated the sentence as follows:

“DDT<sub>a</sub> and DDT<sub>s</sub> are the sums of mean daily air and ground surface above temperatures 0 °C (Celsius degree-days), respectively. DDF<sub>a</sub> and DDF<sub>s</sub> are the sums of mean daily air and ground surface temperatures below 0°C (Celsius degree-days), respectively.”

“Local variations in vegetation, topography, and snow cover may result in several differences between MAGST and MAAT.”

“MAGT is defined as the soil temperature at the depth of zero annual temperature change. T<sub>z,t</sub> is the ground temperature at any time t and depth z below a ground surface. MAGT is often found at depths from 10 m to 16 m over the QTP (Wu and Zhang, 2010), Here, we take the z value of 15 metres as default value, user can change the depth z.”

“The seasonal thawing/freezing n factor (n<sub>t</sub>/n<sub>f</sub>) relates thawing and freezing degree-days (DDT<sub>a</sub>/DDT<sub>s</sub>/DDF<sub>a</sub>/DDF<sub>s</sub>) in seasonal air temperature to ground surface temperatures.”

“TTOP indicates average temperatures at the top of the permafrost. The active layer is defined as the layer of ground subject to annual thawing and freezing underlain by permafrost.”

Page 6, Line 16, Typing error. Subscript instead of normal letters.

This has been corrected, thank you.

Page 9, Line 3, It could be written also "Results" as a chapter name here. Or joined.

As a Development and technical manuscript of “Geoscientific Model Development”, we reorganize the manuscript structure, and changed the title to "Implementation".

Page 10, Line 7-8, That's great, because is processing automatically the outliers.

Thanks.

Page 13, Line 2-3, Can this be explained?

The simulated TTOP and ALT that uses the Stefan and Smith functions have higher TTOP and ALT than the Kudryavtsev function. The difference between them were also shown in other areas (Uxa, 2017; Wilhelm et al., 2015).

Figure 1, Missing scale bar.

Maybe a bit more info on the map (r.g. main roads and rivers, key cities), and the dots for the weather stations can be smaller if it will be too crowded with the additional info.

Thanks for pointing this out. We added the scale, and also add lake, glacier (the Second Glacier Inventory Dataset of China, v1.0), the legend of elevation map, provincial border and three major cities in the Qinghai-Tibet Plateau.

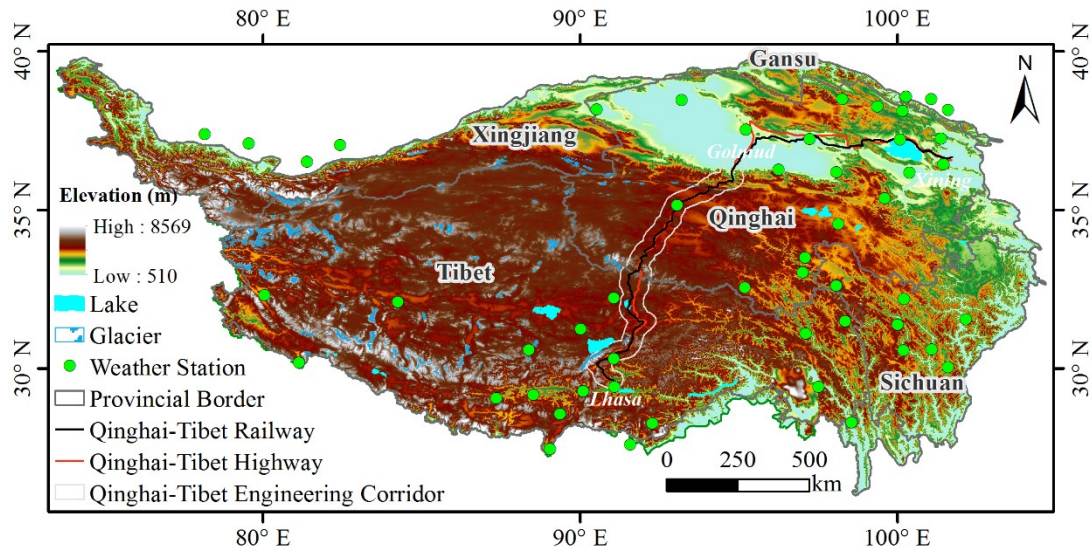


Figure 1: Map of the data location over the QTP.

Table 2, Typing error: too much space.

This has been corrected, thank you.

Table 2, It could be a better matching of left columns with right columns in this part for a easier reading.

We adjusted the arrangement of the columns.

Table 2, column

This has been corrected, thank you.

Table 3, It could be a note under the table to mention the abbreviations or to indicate that the abbreviations are specified in text.

We have added the sentence in the table caption as follows:

“Intercept: y-intercept; Slope: slope of regression line; R: Pearson's correlation coefficient, R<sup>2</sup>: coefficient of determination; RMSE: root mean squared error; NRMSE: normalized RMSE; SD\_S: the standard deviation of TTOP using the Stefan function; SD\_K: the standard deviation of TTOP using the Kudryavtsev function; MEF: modelling efficiency; NAE: normalized average error; VR: variance ratio; PBIAS: percent bias; NSE: Nash-Sutcliffe efficiency; RSR: RMSE-observations standard deviation ratio; and D: index of agreement.”

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PIC v1.3: Comprehensive R package for permafrost indices computing with daily weather observations and atmospheric forcing over the Qinghai-Tibet Plateau

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**Abstract.** An R-package permafrost indices computing (PIC)- v1.3 R package was developed, which that integrates meteorological observations, remote sensing data, gridded meteorological datasets, soil databases, and field measurements to compute the factors or indices of permafrost and seasonal frozen soil. At present, 16 temperature/depth-related indices are integrated into the PIC v1.3 R package PIC to estimate the possible change-trends of frozen soil in the Qinghai-Tibet Plateau (QTP). These indices include the mean annual air temperature; (MAAT), mean annual ground surface temperature; (MAGST), mean annual ground temperature; (MAGT), seasonal thawing/freezing n factor ( $n_t/n_f$ ), thawing/freezing degree-days  $\theta$  for air and the ground surface (DDT<sub>a</sub>/DDT<sub>g</sub>/DDF<sub>a</sub>/DDF<sub>g</sub>), temperature at the top of the permafrost; (TTOP), active layer thickness; (ALT), and maximum seasonal freeze depth. The PIC package v1.3 supports two computational modes, namely, the stations and region calculation regional calculations that enables enable statistical analysis and intuitive visualization on of the time series and spatial simulations. Over Data sets of 52 weather stations and a central region of the QTP were prepared and simulated to evaluate the temporal-spatial trends of permafrost with the climate. More than 10 statistical methods and a sequential Mann-Kendall trend test were adopted to evaluate these indices in stations, and a sequential Mann-Kendall trend test and spatial trend

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~~methods~~spatial methods were adopted- to assess the spatial trends. Multiple visual manners were used to display the temporal and spatial variabilities ~~on~~variability of the stations and region. The data sets of 52 weather stations and a central region of QTP were prepared and simulated to evaluate the temporal spatial change trends of permafrost with the climate. Simulation results show extensive permafrost degradation in the QTP, and the temporal spatial trends of the permafrost conditions in the QTP were consistent with are close to those of previous studies. The transparency and repeatability of the PIC v1.3 package will serve engineering applications and and its data can be used and extended to assess the impact of climate change on permafrost.

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## 1 Introduction

Permafrost, which is soil, rock, or sediment with temperatures that have remained at or below 0 °C for at least two consecutive years, is a key component of the cryosphere. The upper layer in permafrost regions is called the active layer, which and it undergoes seasonal freezing and thawing. Below this layer lies permafrost, the upper surface of which is called the upper limit of permafrost, limit or the permafrost table. Changes in permafrost can affect water and heat exchanges, exchange, the carbon budgets budget, and natural hazards with the climate change. Permafrost occurs mostly in high latitudes and altitudes with long, cold winters and thin thick winter snow cover (e.g., the Arctic, Antarctica, Alaska, the Alps, Northern Russia, Northern Canada, Northern Mongolia, and the Qinghai-Tibet Plateau (QTP)) (Riseborough et al., 2008; Yi et al., 2014b 2014a; Zhang et al., 2008a). Over half of the QTP land is underlain by permafrost (Ran et al., 2012) (Ran et al., 2012). The temperature in the QTP has increased by more than 0.25 °C per decade over the past 50 years (Li et al., 2010; Liu Ran et al., 2006 2018; Shen et al., 2015; Yao et al., 2007). Climate-induced warming of the near-surface atmospheric layer and a corresponding increase in ground temperatures will lead to substantial changes in the water and energy balance of regions underlain by permafrost (Hilbich et al., 2008). Such an increase in the temperature of the QTP can warm the ground through energy exchange at the surface and result in significant permafrost degradation. The Understanding the distribution and changes of permafrost with under the influence of climate change is necessary for infrastructure development, ecological and

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environmental ~~assessments~~assessment, and climate system ~~modeling~~modelling (Luo et al., 2017; Luo et al., 2012; Zhang et al., 2014).

Given the possibility of future climate warming, an evaluation of the magnitude of changes in the ground thermal regime has become desirable to assess the possible eco-environmental ~~responses~~response and ~~their~~the impact on ~~the~~QTP infrastructure in

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QTP. Permafrost ~~modeling~~modelling maximizes quantitative ~~methods, such as~~ analytical, numerical, or empirical methods, to predict the thermal condition of the ground in environments where permafrost may be present (Harris et al., 2009; Lewkowicz and Bonnaventure, 2008; Riseborough, 2011; Riseborough et al., 2008; Yi et al., ~~2014a~~2014b; Zhang et al., 2008b). At present, dozens of different factors or indices are used to evaluate the characteristics and dynamics of permafrost presence or absence (Riseborough, 2011; Riseborough et al., 2008), including ~~the~~ freezing/thawing index, mean annual air temperature (MAAT),

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mean annual ground temperature (MAGT), mean annual ground surface temperature (MAGST), temperature at the top of permafrost (TTOP), and ~~the~~ active layer thickness (ALT); ~~among others~~). Thereafter, the type and distribution of frozen soil can be classified in a variety of manners depending on the ~~index sizes~~range and magnitude ~~of these indices~~. For example, frozen soil can be divided into highly stable, stable, substable, transitional, unstable, and extremely unstable permafrost, as well as seasonal frozen soil that depends on the ~~sizes~~magnitude of MAGT (Chen et al., 2012; Ran et al., 2012). These indices

can be used to evaluate and predict the temporal and spatial variation in the thermal response of permafrost to the changing ~~climate~~climatic conditions and properties of Earth's surface and subsurface ~~properties~~ in one, two, or three dimensions (Juliussen and Humlum, 2007; Nelson et al., 1997; Riseborough et al., 2008; Wu et al., 2010; Zhang et al., 2005). Accordingly, successfully summarizing and categorizing a variety of frozen-soil indices ~~require~~requires permafrost ~~modeling~~modelling that concerns analytical, numerical, and empirical methodologies to compute the past and present ~~condition of~~

~~permafrost conditions~~. The Stefan solution (~~Nelson et al., 1997~~)(Nelson et al., 1997), Kudryavtsev's approach (Kudryavtsev et al., 1977), ~~the~~ TTOP model (~~Smith and Riseborough, 1996~~), and(~~Smith and Riseborough, 1996~~), and ~~the~~ Geophysical Institute Permafrost Lab model (Romanovsky and Osterkamp, 1997; Sazonova and Romanovsky, 2003) are several important developments for permafrost ~~modeling~~modelling in recent years. Permafrost is a subsurface feature that is difficult to directly

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observe and map. These methods integrate the effects of air and ground temperatures, topography, vegetation, and soil properties to map permafrost spatially and explicitly (Gisnås et al., 2013; Jafarov et al., 2012; Zhang et al., 2014). Weather observation data, including air and soil temperatures ~~withat~~ different depths, are the main inputs for single-point simulation, whereas the spatial and temporal resolution of the atmospheric forcing dataset is the main input data of permafrost spatial modelling. These permafrost indices consist mainly of temperature-related and depth-related indices. The temperature-related indices depict the status of air or land surface temperature in frozen-soil environments, whereas the depth-related indices reveal the status of the active layer. Preparing atmospheric forcing ~~data-sets~~, snow depth and density, vegetation types, and soil ~~classes-are-generally-required-for-multi-dimensional-simulation,-which-came~~ class data sets from multi-source data fusion, particularly remote sensing and ground observation data is generally required for multi-dimensional permafrost simulation.

The ~~current~~ transparency and repeatability of data, parameters, model codes, computational processes, simulation output, visualization, and statistical analysis is a fundamental principle of scientific research in Earth system modelling. At present, there is a lack of open source software-on, shared data and parameters for permafrost modeling-over-modelling in the QTP-is a problem. Although many scientists in China have field data and models on hand, ~~the~~ their integration ~~of data-and-models~~ into a new open source model can facilitate the deepening of the discussion and unfolding of permafrost research on the QTP.

Given the current ~~conditionsituation~~ of permafrost ~~modelingmodelling~~ in the QTP, a comprehensive R package of permafrost indices computing (PIC v1.3, doi: 10.5281/zenodo.1254848) was developed to compute permafrost and seasonal frozen-soil indices- (Luo, 2018). The goal is to ~~determine-the-solutions-to-maintain-or-build-the-engineers-in-a-manner-that-provides-provide~~ guidance for the future of highway and high-speed railway design and construction in the QTP, as well as to further understand the effects of climate change on ~~the~~ permafrost dynamics ~~over~~ QTP. Therefore, the proposed software integrates meteorological observations, ~~remote-sensing-datagridded meteorological datasets, soil databases,~~ field measurements, and ~~model simulations~~ permafrost modelling.

The remainder of this paper is organized as follows. Section 2 describes the prepared data sets, methodology of permafrost modeling, and statistical methods for stations and region. Section 3 presents a detailed description of the functions provided

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by PIC and the workflow. Section 4 demonstrates the application of the proposed software for the stations and region. Section 5 discusses several benefits and limitations of PIC. Lastly, Section 6 presents the conclusions.

**2 Data and Methods**

**2 Package description**

**2.1 Data and parameters Overview**

PIC v1.3 was developed in the R language and environment for statistical computing v. 3.3.3 and is distributed as open source software under the GNU-GPL 3.0 License (R Core Team, 2017). Therefore, the PIC v1.3 code can be modified as required to meet the needs of every user. The source code can be downloaded from the GitHub repository (<https://github.com/iffylaw/PIC>). The R package PIC v1.3 provides all the necessary functionality to perform the calculation, statistics, and drawing of permafrost indices with over 38 functions based on the user's specific requirements (see Figure 2). The following packages are required in setting up PIC v1.3 (type library(PIC)): ggplot2 (Wickham et al., 2009), ggmap (Kahle and Wickham, 2013), RNetCDF (Michna and Woods, 2013), and animation (Xie, 2013). These packages are automatically added to the R users' library during installation. A data set that contains the daily weather observations, parameters, and information (i.e., from 1951 to 2010) of 52 weather stations in the QTP was bundled into this package. However, the regional data with the NetCDF format was placed in the GitHub repository. The data set variables excluded in the calculation can also be used as reference or provide support to further develop PIC. These variables include wind speed, precipitation, evaporation, humidity, and soil temperature at different depths. **Daily weather observations.** Meteorological data were obtained from the China Meteorological Administration (CMA, <http://www.cma.gov.cn/>), particularly from permanent meteorological stations across QTP. A total of 52 weather stations with daily meteorological records (i.e., from 1951 to 2010) were selected, including the daily mean, maximum (max) and minimum (min) air temperatures, wind speed, observed and corrected precipitation, evaporation, air humidity, atmospheric pressure, sunshine duration, daily mean, max and

~~min ground surface temperatures, and soil temperature with different depths (i.e., 5, 10, 15, 20, 40, 50, 80, 160, and 320 cm).~~  
PIC v1.3 was primarily designed to compute indices of permafrost and seasonal frozen soil from observations and forcing data. Therefore, the current stable version of the program (v 1.1) includes functionalities that cover temperature-related indices (i.e., MAAT, MAGST, and TTOP) and depth-related indices (i.e., ALT and FD) that are commonly used in permafrost research. These data have been corrected under specification for surface meteorological observation and quality control of CMA.

**Atmospheric forcing data set.** The QT Engineering Corridor (QTEC), which is located at the center of QTP, was selected in preparing the atmospheric forcing data. Global Land Data Assimilation System (GLDAS, <https://das.gsfc.nasa.gov>) and the weather station data of the surrounding QTEC were merged to produce a new data set for 1980 to 2010 with a daily 0.1° temporal-spatial resolution (Rui and Beaudoin, 2011).

**Parameters.** The parameters for the ground conditions were prepared based on vegetation and soil classification (Bicheron et al., 2008; Nachtergaele et al., 2009), field observations, and topographic maps. The parameter data have two data sets: one for weather stations and another for the QTEC region. Table 1 and Figure 1 show the detailed information of the data and parameters.

It is possible to evaluate the changes in frozen soil better by combining multiple indices for overall analysis.

2.2 Permafrost ~~modeling~~modelling

The PIC package v1.3 enables the calculation of the thawing/freezing degree-days for air and ground surface ( $DDT_a/DDT_s/DDF_a/DDF_s$ ), MAAT, MAGST, MAGT, the seasonal thawing/freezing  $n$  factor ( $n_i/n_f$ ), thawing/freezing degree-days of air and ground surface ( $DDT_a/DDT_s/DDF_a/DDF_s$ ), TTOP, ALT, and the maximum seasonal freeze depth (FD).

~~These~~The permafrost and seasonal frozen-soil indices ~~that employ~~employing the following ~~function were~~functions are illustrated. Table 1 describes most of them.

$A_s$  is the annual temperature amplitude at the ground surface, where  $T_{max}$  and  $T_{min}$  are the annual ~~max~~maximal and ~~min~~minimal temperatures, respectively, ~~at the ground surface~~.  $A_s$  can be calculated as follows:

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$$A_s = T_{max} - T_{min} \quad (1)$$

$L$  is the volumetric latent heat of fusion,  $\rho$  is the dry density of soil, and  $W$  is the water content of the soil in percentages.

$$L = \frac{80 \times \rho \times W}{100} \quad (2)$$

5  $DDT_a$  and  $DDT_s$  are the ~~thawing degree-days~~ sums of the mean daily air and ground surface above temperatures  $0^\circ\text{C}$  (Celsius degree-days), respectively.  $DDF_a$  and  $DDF_s$  are the ~~freezing degree-days~~ sums of the mean daily air and ground surface temperatures below  $0^\circ\text{C}$  (Celsius degree-days), respectively. Degree-days are usually used to describe the air and ground surface temperature intensity, where  $T_a$  and  $T_s$  are the air and ground temperatures, respectively, and  $n$  is the number of days in a year (Juliussen and Humlum, 2007) (Juliussen and Humlum, 2007).

$$DDT_a = \sum_{i=1}^n T_a, T_a > 0 \quad (3)$$

$$10 \quad DDF_a = \sum_{i=1}^n T_a, T_a < 0 \quad (4)$$

$$DDT_s = \sum_{i=1}^n T_s, T_s > 0 \quad (5)$$

$$DDF_s = \sum_{i=1}^n T_s, T_s < 0 \quad (6)$$

$P$  is assigned a value of 365 days: as a default value. Local variations in vegetation, topography, and snow cover may result in several differences between MAGST and MAAT. MAAT and MAGST can be computed as follows:

$$15 \quad \text{MAAT} = \frac{DDT_a - DDF_a}{P} \quad (7)$$

$$\text{MAGST} = \frac{DDT_s - DDF_s}{P} \quad (8)$$

MAGT is defined as the soil temperature in (Wu and Zhang, 2010) at the depth of zero annual temperature change.  $T_{z,t}$  is the ground temperature at any time  $t$  and depth  $z$  below a ground surface. MAGT is often found at depths from 10 m to 15 m over the QTP (Wu and Zhang, 2010). Here, we take the  $z$  value of 15 metres as default value, user can change the depth  $z$ . MAGT can be computed (Juliussen and Humlum, 2007; Riseborough et al., 2008) as follows:

$$T_{z,t} = \overline{T_a} + A_s \times e^{-z \times \sqrt{\pi/\alpha P}} \times \sin\left(\frac{2\pi t}{P} - z \times \sqrt{\pi/\alpha P}\right) \quad (9)$$

$$\text{MAGT} = \overline{T_{z,t}}, z \cong 15 \text{ \& } t = 86400 \quad (10)$$

The seasonal thawing/freezing  $n$  factor ( $n_t/n_f$ ) relates thawing and freezing degree-days ( $DDT_a/DDT_s/DDF_a/DDF_s$ ) in seasonal air temperature to ground surface temperatures.  $n_t$  and  $n_f$  can be computed (Riseborough et al., 2008) as follows:

$$n_t = \frac{DDT_s}{DDT_a} \quad (11)$$

$$n_f = \frac{DDF_s}{DDF_a} \quad (12)$$

TTOP indicates average temperatures at the top of the permafrost. The active layer is defined as the layer of ground subject to annual thawing and freezing underlain by permafrost. ALT refers to the maximum thawing depth of the active layer. Two methods serve the same purpose when computing TTOP and ALT. The subscripts  $S$  and  $K$  stand for the Smith and Kudryavtsev functions (Kudryavtsev et al., 1977; Smith and Riseborough, 1996), respectively.

$$TTOP_S = \frac{n_t \times \lambda_t \times DDT_a - n_f \times \lambda_f \times DDT_s}{\lambda_f \times P} \quad (13)$$

$$TTOP_K = \frac{0.5 \times MAGST \times (\lambda_t + \lambda_f) + A_s \times \frac{\lambda_f - \lambda_t}{\pi} \times \left[ \frac{MAGST}{A_s} \times \arcsin \frac{MAGST}{A_s} + \sqrt{1 - \frac{\pi^2}{A_s^2}} \right]}{\lambda^*} \quad (14)$$

$$\lambda^* = \begin{cases} \lambda_f, & \text{if numerator} < 0 \\ \lambda_t, & \text{if numerator} > 0 \end{cases} \quad (15)$$

The maximum thawing depth or ALT uses the Stefan and Kudryavtsev functions (Kudryavtsev et al., 1977; Riseborough et al., 2008), where  $L$  is the latent heat of fusion of ice ( $3.34 \times 10^5$  J/kg).

$$ALT_S = \sqrt{\frac{2 \times \lambda_t \times DDT_a}{L \times \rho \times (W - W_u)}} \quad (16)$$

$$A_z = \frac{A_s - T_z}{\ln \left[ \frac{A_s + L/2 \times C_T}{T_z + L/2 \times C_T} \right]} - \frac{L}{2 \times C_T} \quad (17)$$

$$Z_c = \frac{2 \times (A_s - T_z) \times \sqrt{\frac{(\lambda_f + \lambda_t) \times P_{sn} \times C_T}{2 \times \pi}}}{2 \times A_z \times C_T + L} \quad (18)$$

$$ALT_K = \frac{2 \times (A_s - TTOP_K) \times \sqrt{\frac{(\lambda_f + \lambda_t) \times P_{sn} \times C_T}{2 \times \pi}} + \frac{(2 \times A_z \times C_T \times Z_c - L \times Z_c) \times L \times \sqrt{\frac{(\lambda_f + \lambda_t) \times P_{sn}}{2 \times \pi \times C_T}}}{2 \times A_z \times C_T \times Z_c + L \times Z_c + (2 \times A_z \times C_T + L) \times \sqrt{\frac{(\lambda_f + \lambda_t) \times P_{sn}}{2 \times \pi \times C_T}}}}{2 \times A_z \times C_T + L} \quad (19)$$

Freeze depth<sub>s</sub> is the maximum seasonal freezing depth that uses the Stefan function, which can be computed as follows:

Freeze\_depth<sub>s</sub> = 
$$\sqrt{\frac{2 \times \lambda_t \times DDF_a}{L \times \rho \times (W - W_{it})}}$$

(20)

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2.3 Statistical methods

Statistical analysis can facilitate the evaluation of the change-trend and the overall modelling performance of the model simulation. In particular, each statistic has strengths and weaknesses; thus, Thus, we adopted over 10 statistical methods to evaluate these indices in station computing for time series data. The quantitative statistics include the slope, y-intercept, Pearson’s correlation coefficient (R), coefficient of determination (R<sup>2</sup>), root mean square error (RMSE), standard deviation (SD), ratio of scatter (RS), normalized RMSE (NRMSE), Nash–Sutcliffe efficiency (NSE), RMSE-observations standard deviation ratio (RSR), percent bias (PBIAS), normalized average error (NAE), variance ratio (VR), and index of agreement (D) (Jafarov et al., 2012; Legates and McCabe, 1999). The sequential Mann-Kendall (MK) trend test was used to statistically assess whether there was a shift in trends of the climate factors and permafrost indices (Fraile, 1993). The original MK trend test can be calculated as follows:

$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^n sign(x_j - x_i), (i = 2, 3, 4 \dots n)$$

(21)

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$$sign(x_j - x_i) = \begin{cases} 1 & \text{if } x_j - x_i > 0 \\ 0 & \text{if } x_j - x_i = 0 \\ -1 & \text{if } x_j - x_i < 0 \end{cases}$$

(22)

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Two sequential series u<sub>i</sub> values can be calculated as follows:

$$u_i = \frac{S_i - E(S_i)}{\sqrt{Var(S_i)}}, (i = 1, 2, 3 \dots n)$$

(23)

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value can be calculated as follows:

$$u_k = \frac{S_k - E(S_k)}{\sqrt{Var(S_k)}}, (i = 1, 2, 3 \dots n)$$

(23)

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The two series for the MK trend test, a progressive one and a backward one, were set up. If they cross each other and diverge beyond a specific threshold value and exceeding the confidence level of 95%, then there is a statistically significant trend shift point.

The spatial trend can also be calculated to evaluate regional computing for temporal-spatial data through the function below.

The *index* represents one permafrost index, *n* represents the sequential years, and *index<sub>i</sub>* is the index ~~value~~value in year *i*. Taking ALT as an example, a positive trend means that ALT was increasing, thereby indicating that permafrost degradation has intensified; a negative value means that ALT was decreasing, thereby indicating that permafrost degradation has a certain inhibition; and a zero trend suggests a lack of change (Chen et al., 2014; Stow et al., 2003).

5     
$$\text{Trend} = \frac{n \times \sum_{i=1}^n i \times \text{index}_i - \sum_{i=1}^n i \times \sum_{i=1}^n \text{index}_i}{n \times \sum_{i=1}^n i^2 - (\sum_{i=1}^n i)^2} \quad (24)$$

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~~value~~ means that ALT was increasing, thereby indicating that permafrost degradation has intensified; a negative value means that ALT was decreasing, thereby indicating that permafrost degradation has a certain inhibition; and a zero trend suggests a lack of change (Chen et al., 2014; Stow et al., 2003).

$$\text{Trend} = \frac{n \times \sum_{i=1}^n i \times \text{index}_i - \sum_{i=1}^n i \times \sum_{i=1}^n \text{index}_i}{n \times \sum_{i=1}^n i^2 - (\sum_{i=1}^n i)^2} \quad (24)$$

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10     **3 Package description**

~~PIC was developed in the R Language Data and Environment for Statistical Computing v. 3.3.3 and is distributed as open source software under the GNU GPL 3.0 License. Therefore, the PIC code can be modified as required to meet the needs of every user. The source code can be downloaded at the GitHub repository (<https://github.com/iffylaw/PIC>). The R package PIC provides all the necessary functionality to perform the calculation, statistics, and drawing of permafrost indices with over 38 functions based on the user's specific requirements (see Figure 2). The following packages are required in setting up the PIC (type library(PIC)): ggplot2 (Wickham et al., 2009), ggmap (Kahle and Wickham, 2013), RNetCDF (Michna and Woods, 2013); (Zambrano-Bigiarini and Rojas, 2013), and animation (Xie, 2013). These packages are automatically added to the users' R library during installation. A data set that contains the daily weather observations, parameters, and information (i.e., from 1951 to 2010) of 52 weather stations in QTP were bundled into this package. However, the region data with the NetCDF format was placed in the GitHub repository. The data set variables excluded in the calculation can be used as reference or provide support to further develop PIC. These variables include wind speed, precipitation, evaporation, humidity, and soil temperature at different depths. PIC was primarily designed to compute indices of permafrost and seasonal frozen soil from observations and forcing data. Therefore, the current stable version of the program (v 1.0 parameters)~~

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includes functionalities that cover temperature-related indices (i.e., MAAT, MAGST, and TTOP) and depth-related indices (i.e., ALT and FD) that are commonly used in permafrost research.

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3.1 Daily weather observations

Table 2 shows detailed information of the data and parameters. Meteorological data were obtained from the China Meteorological Administration (CMA, <http://www.cma.gov.cn/>), particularly from permanent meteorological stations across the QTP (Figure 1). A total of 52 weather stations with daily meteorological records (i.e., from 1951 to 2010) were selected, including the daily mean, maximum (max) and minimum (min) air temperatures, wind speed, observed and corrected precipitation, evaporation, air humidity, atmospheric pressure, sunshine duration, daily mean, max and min ground surface temperatures, and soil temperature at different depths (i.e., 5, 10, 15, 20, 40, 50, 80, 160, and 320 cm). The PIC package These data have been corrected under specifications for surface meteorological observation and CMA quality control. Daily weather observations are used as the input data for the PIC v1.3 station calculation.

3.2 Atmospheric forcing dataset

The Qinghai-Tibet Engineering Corridor (QTEC), located at the centre of the QTP, was selected in preparing the atmospheric forcing data. Global Land Data Assimilation System (GLDAS, <https://ldas.gsfc.nasa.gov>) and the weather station data of the surrounding QTEC were merged through spatial interpolation and offset correction to produce a new data set for 1980 to 2010 with a daily 0.1° temporal-spatial resolution. An atmospheric forcing dataset was used as the input data for the PIC v1.3 regional calculation.

3.3 Parameters

The parameters for the ground conditions were based on soil property data and field observations. The parameter data have two sets: one for weather stations and another for the QTEC region. The Harmonized World Soil Database (HWSD, version 1.21) provides information on soil parameters that are available for evaluating soil thermal conductivity with field observations and can be used as input parameters to the PIC v1.3 package (Bicheron et al., 2008; Nachtergaele et al., 2009). The thermal conductivity of ground in a thawed/frozen state,  $\lambda_t$  and  $\lambda_f$  can be computed through the joint parameterization scheme of the Johansen method (Johansen, 1977) and Luo parameterization (Luo et al., 2009):

$$\lambda_{dry} = \frac{0.135 \times \rho + 64.7}{2700 - 0.947 \times \rho} \quad (25)$$

$$\lambda_s = \lambda_q^q \times \lambda_o^{1-q} \quad (26)$$

$$\lambda_{sat} = \lambda_s^{1-\theta_s} \times \lambda_w^{\theta_s} \quad (27)$$

$$S_r = \frac{\theta}{\theta_s} \quad (28)$$

$$K_{et} = \frac{K_t \times S_r}{1 + (K_t - 1) \times S_r} \quad (29)$$

$$K_{ef} = \frac{K_f \times S_r}{1 + (K_f - 1) \times S_r} \quad (30)$$

$$\lambda_t = (\lambda_{sat} - \lambda_{dry}) K_{et} + \lambda_{dry} \quad (31)$$

$$\lambda_f = (\lambda_{sat} - \lambda_{dry}) K_{ef} + \lambda_{dry} \quad (32)$$

where the soil thermal conductivity of dry soil  $\lambda_{dry}$  depends on dry bulk density  $\rho$ , the thermal conductivity of soil solids  $\lambda_s$  varies with the gravel content  $q$ ,  $\lambda_q$  is the thermal conductivity of quartz ( $7.7 \text{ W m}^{-1} \text{ K}^{-1}$ ),  $\lambda_o$  is the thermal conductivity of other minerals ( $2.0 \text{ W m}^{-1} \text{ K}^{-1}$ ), and  $q$  is the gravel content in the soil. The saturated soil thermal conductivity  $\lambda_{sat}$  depends on the thermal conductivity of soil solids  $\lambda_s$ , liquid water  $\lambda_w$  ( $0.594 \text{ W m}^{-1} \text{ K}^{-1}$ ), and the soil saturated water content  $\theta_s$ . The degree of saturation  $S_r$  is a function of the soil water content,  $\theta$  and soil saturated water content,  $\theta_s$ . The Kersten numbers in the thawed/frozen state,  $K_{et}$  and  $K_{ef}$ , are two functions of the degree of saturation  $S_r$ , and  $K$  values in the thawed/frozen state,  $K_t$  and  $K_f$ .  $\rho$ ,  $q$  and  $\theta_s$  come from the T\_BULK\_DENSITY, T\_GRAVEL, and T\_BS fields of the HWSD.

The volumetric heat capacity during thawing,  $C_T$ , is given as :

$$C_T = (C_s + \theta \times C_w) \times \rho \quad (33)$$

Where  $C_w$  is specific heat of liquid water ( $4.18 \text{ kJ kg}^{-3} \text{ K}^{-1}$ ),  $C_s$  is soil specific heat capacity.  $\theta$ ,  $C_s$ ,  $K_t$  and  $K_f$  in different soil textures can be found in Table 3, these four parameters are empirical parameters used to explain different soil texture types based on soil texture, thermal conductivities and specific heat capacity derived from soil sampling along the QTEC. Figure 3 shows these input spatial parameters over the QTP.



4 Implementation

PIC v1.3 supports two computational modes: the station and ~~region~~regional calculations that enable statistical analysis and visual displays ~~on~~of the time series and spatial simulations. The regional calculation adopts GIS approaches to compute each spatial grid. PIC v1.3 was initially developed to address ~~an~~the immediate need for a reliable and easy-to-use program ~~to~~ estimate ~~the~~for estimating temporal–spatial changes in frozen QTP soil ~~in~~ QTP. Thus, the workflow ~~comprises~~is comprised of deliberately simplified steps ~~involved~~throughout the entire process. Once PIC v1.3 is installed, the workflow of the weather observations is considerably straightforward: (1) an index of a weather station for one year or multiple years is calculated, (2) an index of 52 weather stations from 1951 to 2010 is calculated, and (3) an index of all stations or permafrost stations from 1951 to 2010 is drawn through ~~a~~ curve and spatial visualization. Step (1) is an optional step. The ~~workflow of the~~forcing data workflow has only two steps: (1) a total of 4 indices from 1980 to 2010 are calculated, including MAAT,  $DDT_a$ ,  $DDF_a$ , and ALT and (2) the spatial ~~statisti~~statistics and visualization of these 4 indices are drawn. ~~Table 2 describes most of these functions.~~

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4 Examples

Several examples of ~~the~~PIC v1.3 use and application ~~were~~are presented here. This section highlights several significant features of the package in terms of specific functions, including station and ~~region~~regional calculation, statistics, and visualization. However, PIC v1.3 includes numerous illustrations from ~~the~~ literature and possible detailed analyses. PIC v1.3 has built-in station data. The data set comprises two tables (data frame), namely, QTP\_ATM for daily weather observations and Station\_Info for information and parameters ~~in~~from each station. Users can modify or adjust the parameters in the Station\_Info and ~~can~~use the data and parameters. Additional examples can be referenced in the GitHub repository: (<https://github.com/iffylaw/PIC/blob/master/Examples.R>).

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4.1 Station calculation

We can use different functions in the R console to perform the calculations based on the selected method. For example, if a user wants to obtain a MAAT value for a certain ~~year of a station, then year~~, he/she can enter the following command.

TempName and data are optional in the MAAT function.

```
MAAT (Year = 1980, TempName = "Temperature", data = QTP_ATM, SID = 52908)
```

5 ~~User~~A user can also obtain the MAAT values for a specified period of years in a station.

```
MAAT (Year = 1980:2010, TempName = "Temperature," data = QTP_ATM, SID = 52908)
```

The "Year" option can be assigned to a number and sequence. The other temperature/depth-related indices can also use the two inputs for the "Year" option. A user can obtain the values of all stations for an index. The "VarName" option can be equal to the function name in the Com\_Indices\_QTP function. The results can also be saved to a CSV file with column/row names.

The case of the input VarName is supported.

```
Com_Indices_QTP (VarName = "MAAT")
```

10 Given that the freezing/thawing index can be divided into freezing/thawing degree-days of the air and ground surface, the VarName option should add "\_air" or "\_ground" at the ~~endends~~ of the Freezing\_index and Thawing\_index. However, the abbreviation can also be utilized as the option input. The "Thawing\_index\_air" and "ta" are the same.

```
Com_Indices_QTP (VarName = "Thawing_index_air")  
Com_Indices_QTP (VarName = "ta")
```

After the TTOP indices are computed, the stations that may have permafrost should be determined. The Exist\_Permafrost function can determine and map the stations where permafrost exists. The probability of permafrost occurrence and most likely

15 permafrost conditions are determined from the computing results of the Exist\_Permafrost function (see Figure 34).

```
TTOP_S_QTP <- Com_Indices_QTP (VarName = "TTOP_Smith")  
TTOP_K_QTP <- Com_Indices_QTP (VarName = "TTOP_Kudryavtsev")  
Exist_Permafrost (plot = "yes")
```

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The QTP measurements have constantly been difficult. The data set has several null and anomalous values, as well as ~~lead~~leading to a few anomalous values in computing the indices. Accordingly, these outlier values should be processed. The Outlier\_Process function seeks the outlier values and sets ~~these values~~them to null-values thereafter, which is an option because abnormal values have been processed in the Com\_Indices\_QTP.

```
Outlier_Process (MAAT_QTP[,1:stations])
```

5     **4.2 ~~Region~~Regional calculation**

A total of four indices, ~~including MAAT, DDF<sub>a</sub>, DDT<sub>a</sub> and ALT~~, can be computed with the atmospheric forcing data set in ~~the~~ PIC ~~package~~v1.3. This package supports ~~the~~NetCDF format data; thus, ~~the package~~it reads and writes a NetCDF file to support ~~region~~regional computing. The input NetCDF files require a few forcing and parameter data. After the calculations, a user can compute the spatial statistics and draw the index changes through a GIF animation (see Sections 4.3 and 4.4).

```
Spatial_Pic (NetCDFName = "PIC_indices.nc", StartYear = 1980, EndYear = 2010)
```

10    **4.3 Statistics**

The stat function contains all ~~the~~ statistical methods for station calculation. ~~The~~ PIC ~~package~~v1.3 provides two ~~statistical~~ calculations ~~to compute~~for computing the statistical ~~value~~values of all stations using Com\_Stats\_QTP: (1) the indices that vary with ~~the change in the year~~changing years and (2) the comparison of the same two indices for different computational methods. Options ind1 and ind2 were used; however, ind2 can be disregarded when computing the statistical values between ~~a~~single

15 ~~data~~datum and years.

```
Com_Stats_QTP (ind1 = MAAT_QTP)
```

TTOP and ALT were calculated utilizing two different functions, so these two indices should be compared. For example, the two TTOP values for all ~~QTP~~stations ~~of QTP~~are compared. A user can assign ind1 and ind2 to compute the ALT statistical values between the Stefan and Kudryavtsev functions. Thereafter, the statistical values are saved to the CSV file when executing the Com\_Stats\_QTP function. Table ~~34~~ shows all the statistical values of the selected stations.

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```
Com_Stats_QTP (ind1 = TTOP_S_QTP, ind2 = TTOP_K_QTP)
```

```
Com_Stats_QTP (ind1 = ALT_S_QTP, ind2 = ALT_K_QTP)
```

A spatial trend can also be computed using the `Spatial_Stat` function after the regional calculation. The function simultaneously saves the spatial trend of the five indices into the NetCDF file. In addition, the function draws the animation of the spatial trend (see Section 4.4).

```
Spatial_Stat ("PIC_indices.nc", "ALT")
```

#### 4.4 Visualization

- 5 Station visualization can be produced by `Plot_TTOP_ALT` and `Plot_3M`. The `Plot_TTOP_ALT` function plots two TTOP or two ALT indices in a figure for all stations or stations with permafrost. `VarName` has the “TTOP” and “ALT” options, whereas `SID` has the “permafrost” and “all” options. The `Plot_3M` function draws the MAAT, MAGST, and MAGT indices. The two functions ~~plot~~ only ~~plot these~~ stations where permafrost exists when `SID` = “permafrost.”

```
Plot_TTOP_ALT (VarName = "TTOP", SID = "permafrost")
```

```
Plot_TTOP_ALT (VarName = "ALT", SID = "permafrost")
```

```
Plot_3M(SID = "permafrost")
```

The other approach of “ggplot2” was adopted to visualize the region (see Figure 45).

```
ggplot_Pic (Type = "TTOP", SID = "permafrost")
```

- 10 The indices that change over time can also be plotted through a GIF animation that uses `Map_Pic` (Figure 56).

```
Map_Pic (VarName = "TTOP_S")
```

```
Map_Pic (VarName = "TTOP_K")
```

The input and output of the regional calculation can be drawn using the `Netcdf_Multiplot` function (see Figure 6); ~~the Netcdf\_Animation function7), which~~ uses animation to display ~~these~~ values. The spatial trend can also be drawn in the `Spatial_Stat` apart from calculating the spatial statistics. This function draws all four indices when “`VarName`” has no input

(see Figure 78).

```
Netcdf_Multiplot (NetCDFName = "PIC_indices.nc", VarName = "ALT")  
Netcdf_Animation (NetCDFName = "PIC_indices.nc", VarName = "ALT")  
Spatial_Stat ("PIC_indices.nc")
```

5 Discussion

5.1 PIC performance

This study proposes permafrost ~~modeling~~modelling to compute the changes in the active layer and permafrost with the climate, ~~while~~and this considers ~~the~~station and ~~region-modeling~~regional modelling over ~~the~~ QTP. We apply the two approaches to 52 weather stations and a central region of ~~the~~ QTP. The PIC ~~v1.3~~ simulation results ~~from using the 52 stations~~Exist Permafrost ~~function~~ show that permafrost was detected at 12 ~~stations-based on of~~ the 52 observation stations.~~For QTEC, the (Figure 4).~~ ~~The~~ permafrost areas began to shrink from the southern and northern parts to the central QTEC region-. ~~(Figure 7).~~ The permafrost, whether in permafrost stations or QTEC, continued to thaw with ~~decreasing~~increasing ALT, low surface offset and thermal offset, and high MAAT, MAGST, MAGT, and TTOP for most areas of QTP.

~~The~~ PIC ~~package v1.3~~ computes and maps the temporal dynamics and spatial distribution of permafrost in the stations and region. The regional ~~modeling~~modelling underwent more challenges than the stations' input data and parameters. The station calculation can estimate the long-term temporal trend of ~~the~~permafrost dynamics, whereas, ~~the~~ regional calculation can estimate the temporal-spatial trend. ~~Climate change indicates a pronounced warming and permafrost degradation in QTP (Chen et al., 2013; Cheng and Wu, 2007; Wu and Zhang, 2010; Wu et al., 2010). The simulation results show widespread permafrost degradation in QTP, and the temporal-spatial trends of the permafrost conditions in QTP were consistent with those in previous studies (Wu and Zhang, 2010; Wu et al., 2010). In addition, the simulated TTOP and ALT that uses using the Stefan and Smith functions have are,~~ higher TTOP and ALT than the Kudryavtsev function. Although the overall trend of TTOP and ~~ALT~~ALT are coincidental, ~~the~~ two different computational methods can be combined to simulate their ~~variations~~variation.

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Furthermore, 16 indices can be collectively employed for a comprehensive analysis. Moreover, the station and ~~region~~ ~~modeling~~regional modelling can be integrated to evaluate the temporal–spatial evolution of permafrost in the QTP. In particular, the station ~~modeling~~modelling can be applied to validate the simulated results of the region. Moreover, ~~the~~ regional calculation can extend from QTEC to the entire QTP and even the other permafrost regions.

The “for” loop is discarded, whereas the “apply” functions are used extensively to significantly lower the computation time. ~~The current regional calculation only takes~~PIC v1.3 was run natively as a single process in the Windows 7 Operating system. ~~The calculations were performed independently through RStudio Desktop v1.1 software (RStudio, Inc., USA). The utilized processor is an Intel Core i7-2600 CPU 3.40 GHz, and the available memory is 32 GB. The current regional calculation takes only~~approximately 11 s. Apart from the Kudryavtsev model that requires considerable computation time (i.e., approximately 5 min), the station calculation also exhibited an improved efficiency. Therefore, PIC v1.3 can be considered an efficient R package.

Climate change indicates a pronounced warming and permafrost degradation in the QTP with active layer deepening (Chen et al., 2013; Cheng and Wu, 2007b; Wu and Zhang, 2010; Wu et al., 2010), and both the simulation of stations and the region in PIC v1.3 also show widespread permafrost degradation (Figures 4-8). Meanwhile, as shown in Figures 7 & 8, the permafrost in the QTEC also continued to thaw, with the ALT growing. The QTEC is the most accessible area of the QTP. Most boreholes were drilled in the QTEC to monitor changes of permafrost conditions, and this monitoring data provides support for model performance evaluation. Meanwhile, ALT was widely used, so we adopted the permafrost index to estimate PIC v1.3 simulation performance. The simulated PIC v1.3 ALT and previous literature in the QTEC are compared in Table 5. The increasing rate of ALT averaged 0.50-7.50 cm yr<sup>-1</sup>. The rate during the 1990s to 2010s was greater at more than 4.00 cm yr<sup>-1</sup>, than during 1980 to the 1990s, at approximately 2.00 cm yr<sup>-1</sup>. Though both the observed and the simulated ALT and its variation in different locations of the QTEC were still relatively large, the ALT trend in PIC v1.3 was close to the observations and simulation in the QTEC. In recent decades, the permafrost thaw rate has increased significantly. The majority of observed ALT and its trend along the QTH and QTR were greater than the simulated grid ALT of PIC v1.3, mainly because the observation

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sites are near these engineering facilities. These comparative analyses suggest that the temporal-spatial trends of permafrost conditions in the QTEC using PIC v1.3 were consistent with previous studies. More importantly, the difference between simulation results highlights the importance of transparency and reusability of models, data, parameters, simulation results and so on.

## 5.2 Advantages

Previous studies ~~in on~~ the QTP (1) used one or two indices, such as MAAT and MAGST, to evaluate the permafrost changes (Yang et al., 2010); ~~(2) presented a static permafrost distribution that~~ (2) constructed a regression analysis method through the relationship between MAGT and elevation, latitude, and slope-aspects ~~that presented a static permafrost distribution~~ (Lu et al., 2013; Nan, 2005; Yin et al., 2017); and, (3) did not share the model data and codes; hence, other researchers could not validate their results and conduct further ~~study based on previous research results~~ (McNutt, 2014). Compared with the previous permafrost ~~modeling in modelling on the~~ QTP, the PIC ~~package v1.3~~ is considerably open, easy, intuitive, and reproducible ~~in for~~ integrating data and most of the temperature/depth-related indices. The PIC ~~v1.3~~ function supports the computation of multiple indices and different time periods, and the encoding mode is reusable and universal. This package can also be easily adopted to intuitively display the changes in the active layer and permafrost, as well as assess the impact of climate change.

The PIC ~~v1.3~~ workflow is extremely simple and requires only one or two steps to obtain the simulated results and visual images. All running examples, data and code can be obtained from the GitHub repository. However, the permafrost ~~modelingmodelling~~ integrates ~~satellite remote sensing dataa gridded meteorological dataset, soil database,~~ weather and field observations, parameters, and multiple functions and models, ~~and supportsupporting~~ dynamic ~~change of parameters; parameter changes~~ such as vegetation and ground condition changes. Over 50 QTP weather stations ~~of QTP~~ were introduced, ~~whichand~~ they can ~~approximatelypartially~~ resolve the spatial change ~~directions~~ of the permafrost area. The QTEC region is an example of spatial ~~modeling, whichmodelling that~~ classifies land cover and topographic features to determine the ~~input~~-spatial ~~input~~ parameters. Spatial ~~modelingmodelling~~ also uses the ~~GLDAS-satellitetemporal-spatial~~ data to provide ~~spatially~~ detailed information of the active layer and permafrost. The static/dynamic maps and statistical values of these indices can facilitate

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the understanding of the current condition of the near-surface permafrost and identify stations and ranges at ~~considerably~~-high risk of permafrost thawing with the changing climate and human activities. Permafrost thawing causes significant changes in the environment and characteristics of frozen-soil engineering (Larsen et al., 2008; Niu et al., 2016). A comprehensive assessment of permafrost can provide guidance regarding the future of highways and high-speed railway systems in ~~the~~ QTP.

5.3 Limitations and uncertainties

PIC ~~v1.3~~ was developed with numerous indices, as well as support station and regional simulation. ~~The~~-PIC ~~package~~~~v1.3~~ can be used to estimate the frozen soil status and possible changes over ~~the~~ QTP by calculating permafrost indices. This package has many engineering applications and can be used to assess the impact of climate change on permafrost. Moreover, ~~this package~~~~it~~ provides ~~observation~~~~observational~~ data and ~~provides the ability of a~~ comprehensive analysis ~~through~~~~ability for~~ multiple indices. The probability of permafrost occurrence and ~~the~~ most likely permafrost conditions are determined by computing the 16 indices. Although PIC ~~v1.3~~ quantitatively integrates most of ~~these indices~~~~them~~ based on previous studies (Jafarov et al., 2012; Nelson et al., 1997; Riseborough et al., 2008; Smith and Riseborough, 2010; Wu et al., 2010; Zhang et al., 2005; Zhang et al., 2014), it still has several limitations-~~and uncertainties~~. First, the regional calculation is one-dimensional and assumes that each grid cell is uniform without ~~the~~-water-~~heat~~ exchange. Second, ~~the heterogeneity in ground conditions of the QTP also brings along uncertainties of parameter preparation. Third,~~ soil moisture ~~changes~~-at different depths ~~effects~~~~affects~~ the thermal conductivity and thermal capacity of the soil (Shanley and Chalmers, 1999; Yi et al., 2007); ~~thus,~~ ~~Thus,~~ the soil input parameters should be dynamically changed. Lastly, climate forcing has several uncertainties (~~Zhang et al., 2014~~)(Zhang et al., 2014), including input air and ground temperatures (i.e., the quality of the ground temperature in ~~the~~ QTP is currently unreliable); ~~thus,~~ ~~Thus,~~ the regional calculation supports fewer indices than the station calculation. These deficiencies can be significant for the permafrost dynamics with environmental evolution.

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6. Conclusions

An R package PIC [v1.3](#) that computes the temperature/depth-related permafrost indices with daily weather observations and ~~climate~~[atmospheric](#) forcing has been developed. This package is open source software and can be easily used with input data and parameters, ~~and that~~ users can customize ~~their own data and parameters~~. A total of 16 permafrost indices for stations and ~~the region~~ are ~~currently developed, and datasets of 52 weather stations and a central region of the QTP were prepared.~~ Permafrost modelling and data are integrated into the [PIC v1.3](#) R package ~~PIC to~~ ~~simulate the temporal-spatial trends of~~ permafrost with the climate estimate and estimate the status of the active layer and permafrost in [the](#) QTP. The current functionalities also include time-series statistics, spatial statistics, and visualization. Multiple visual manners display the temporal and spatial variability ~~on~~[of](#) the stations and [the](#) region. The package produces high-quality graphics that illustrate the status of frozen soil and may be used for subsequent publication in scientific journals and reports. ~~The data sets of the 52 weather stations and a central region of QTP were prepared and simulated to evaluate the temporal-spatial change trends of permafrost with the climate.~~ The simulated [PIC v1.3](#) results generally indicate that the temporal-spatial trends of permafrost conditions essentially agree with previously published studies. ~~The~~ ~~The transparency and repeatability of the~~ [PIC v1.3](#) package ~~has many engineering applications and~~ ~~and its data~~ can be used to assess the impact of climate change on permafrost. Additional features may be implemented in future releases of PIC to broaden its application range. In the future, the ~~observation~~[observational](#) data of the active layer will be integrated into the PIC ~~data set~~[datasets](#), and the ~~outputs~~[simulation results](#) will be compared with ~~the observation data.~~ ~~The~~ ~~it~~ [PIC package can](#) [v1.3 will](#) also be used to predict the future state of permafrost by utilizing projected climate forcing and scenarios. Additional functions and models will be absorbed into PIC to improve the simulation ~~performance~~ and perform comparative analyses with other functions and models. Parallel computation will be added to ~~the PIC package to~~ improve the computation efficiency. The key impact that [PIC v1.3](#) is expected to provide ~~on~~[to](#) the open community is an increase in consistency within, and comparability among, studies. Furthermore, we encourage contributions from other scientists and developers, including suggestions and assistance, to modify and improve the proposed [PIC package v1.3](#).

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**Code availability**

The PIC [v1.3](#) code that [support](#)s the findings of this study is stored [within](#) the GitHub repository (<https://github.com/iffylaw/PIC>).

**Data availability**

The data [is](#) included in the Supplement files or GitHub repository.

**Competing interests**

The authors declare no competing financial interests.

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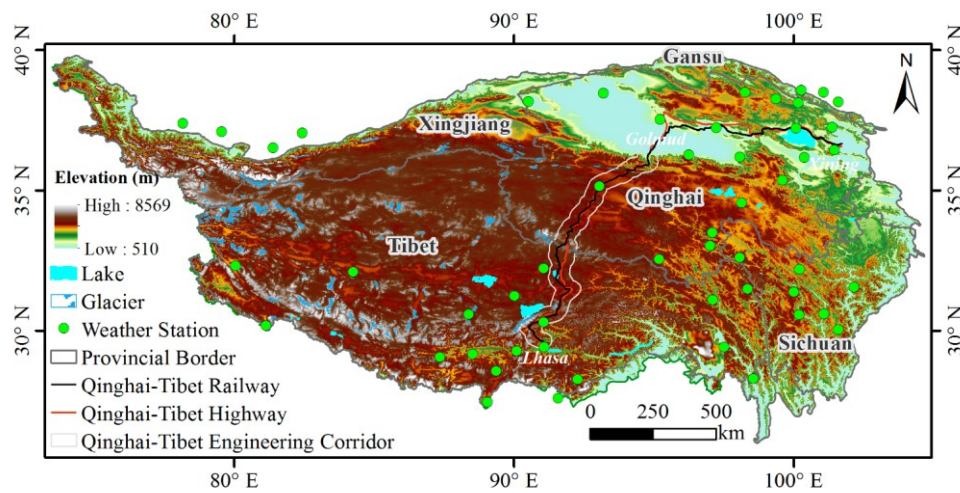
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**Figure 1:** Map of the data location over the QTP.

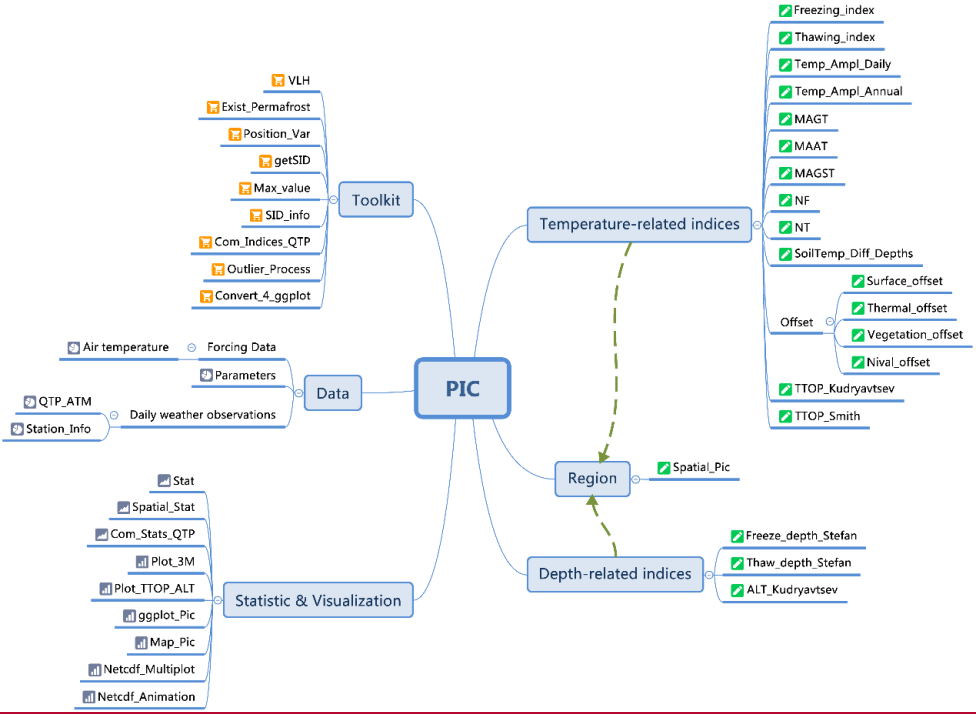


Figure 2: Mind map of the R package PIC v1.3.

Table 1: Most important user functions in the R package PIC v1.3. The equation column of this table corresponds to the equation in Section 2.

R function	Equation	Description	Unit
<b>Temperature-related indices</b>			
Freezing_index	(4.6)	Freezing degree-days for air and ground	°C day
Thawing_index	(3.5)	Thawing degree-days for air and ground	°C day
MAAT	(7)	Mean annual air temperature	°C
MAGST	(8)	Mean annual ground surface temperature (5 cm)	°C
MAGT	(10)	Mean annual ground temperature (at 15 m)	°C

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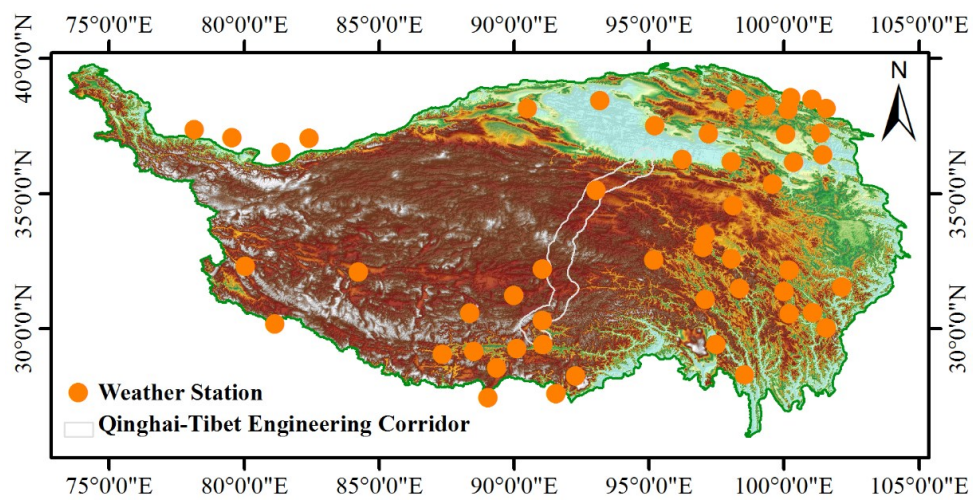


<u>NT</u>	(11)	<u>Thawing n factor</u>	
<u>NF</u>	(12)	<u>Freezing n factor</u>	
<u>Surface_Offset</u>		<u>The difference between the MAGST and MAAT</u>	<u>°C</u>
<u>Thermal_Offset</u>		<u>The difference between the TTOP and MAGST</u>	<u>°C</u>
<u>Vegetation_Offset</u>		<u>The second term (Surface_Offset) is negative and represents the reduction in MAGST due to vegetation effects in summer (vegetation offset)</u>	<u>°C</u>
<u>Nival_Offset</u>		<u>The first term (Surface_Offset) on the right-hand-side is positive and represents the elevation of MAGST over MAAT due to the insulating effect of winter snow cover (nival offset)</u>	<u>°C</u>
<u>TTOP_Smith</u>	(13)	<u>The temperature at the top of the permafrost using Smith &amp; Riseborough function</u>	<u>°C</u>
<u>TTOP_Kudryavtsev</u>	(14)	<u>The temperature at the top of the permafrost using Kudryavtsev function</u>	<u>°C</u>
<b><u>Depth-related indices</u></b>			
<u>Freeze_depth_Stefan</u>	(20)	<u>Maximum freezing depth using Stefan function</u>	<u>m</u>
<u>Thaw_depth_Stefan</u>	(16)	<u>Active layer thickness using Stefan function</u>	<u>m</u>
<u>ALT_Kudryavtsev</u>	(19)	<u>Active layer thickness (ALT) or maximum thawing depth using Kudryavtsev function</u>	<u>m</u>
<b><u>Region</u></b>			
<u>Spatial_Pic</u>	(3,4,7,16)	<u>Spatial changes with MAAT, DDT<sub>a</sub>, DDF<sub>a</sub> and ALT</u>	<u>m</u>
<b><u>Toolkit</u></b>			
<u>Com_Indices_QTP</u>	—	<u>Computing all indices for all stations of the QTP</u>	—
<u>Outlier_Process</u>		<u>Process the abnormal value</u>	
<u>VLH</u>	(2)	<u>Computing volumetric latent heat of fusion</u>	<u>J/m<sup>3</sup></u>
<u>Convert_4_ggplot</u>		<u>Convert the values of TTOP &amp; ALT to one column</u>	
<u>Exist_Permafrost</u>		<u>To determine the stations where permafrost exist by TTOP values</u>	
<b><u>Statistic</u></b>			
<u>Stat</u>	(21,22,23)	<u>Statistical functions with 10 more methods</u>	—
<u>Spatial_Stat</u>	(24)	<u>Spatial statistical method, just for spatial trend</u>	
<u>Com_Stats_QTP</u>		<u>Computing the statistical values for one or both of these indices</u>	
<b><u>Visualization</u></b>			
	—	—	—

<a href="#">Plot_3M</a>	<a href="#">Plot MAAT, MAGST, and MAGT for all stations or a single station</a>
<a href="#">Plot_TTOP_ALT</a>	<a href="#">Plot TTOP and ALT for all stations or a station</a>
<a href="#">ggplot_Pic</a>	<a href="#">Plot multiple indices for all stations or a single station using ggplot2</a>
<a href="#">Map_Pic</a>	<a href="#">Plot multiple indices for all stations or a single station using ggmap</a>
<a href="#">Netcdf_Multiplot</a>	<a href="#">Regional visualization of NetCDF with multiple plots</a>
<a href="#">Netcdf_Animation</a>	<a href="#">Regional animation of NetCDF</a>

**Table 2:** Input data and parameters.

Variables	Meaning	Unit
Temperature	Daily mean air temperature	$^{\circ}\text{C}$
Tmax	Daily maximum air temperature	$^{\circ}\text{C}$
Tmin	Daily Minimum air temperature	$^{\circ}\text{C}$
GT	Daily mean ground temperature in 0 cm	$^{\circ}\text{C}$
GT_0_MAX	Daily maximum ground temperature at 0 cm	$^{\circ}\text{C}$
GT_0_MIN	Daily minimum ground temperature at 0 cm	$^{\circ}\text{C}$
temp	Spatial daily mean air temperature	$^{\circ}\text{C}$
$\lambda_t$	Thermal conductivity of ground in thawed state	$\text{W/m}^2\text{C}$
$\lambda_f$	Thermal conductivity of ground in frozen state	$\text{W/m}^2\text{C}$
L	Latent heat of fusion	$\text{J/m}^3$
$\rho$	Dry bulk density	$\text{kg/m}^3$
W	Soil water content in thawed state	%
$W_u$	Soil unfrozen water content in frozen state	%
$P_{sn}$	period of the temperature wave, adjusted for snow melt	s
$C_T$	volumetric heat capacity during thawing	$\text{kJ/m}^3\text{K}$



**Figure 1:** Map of the data location over QTP.

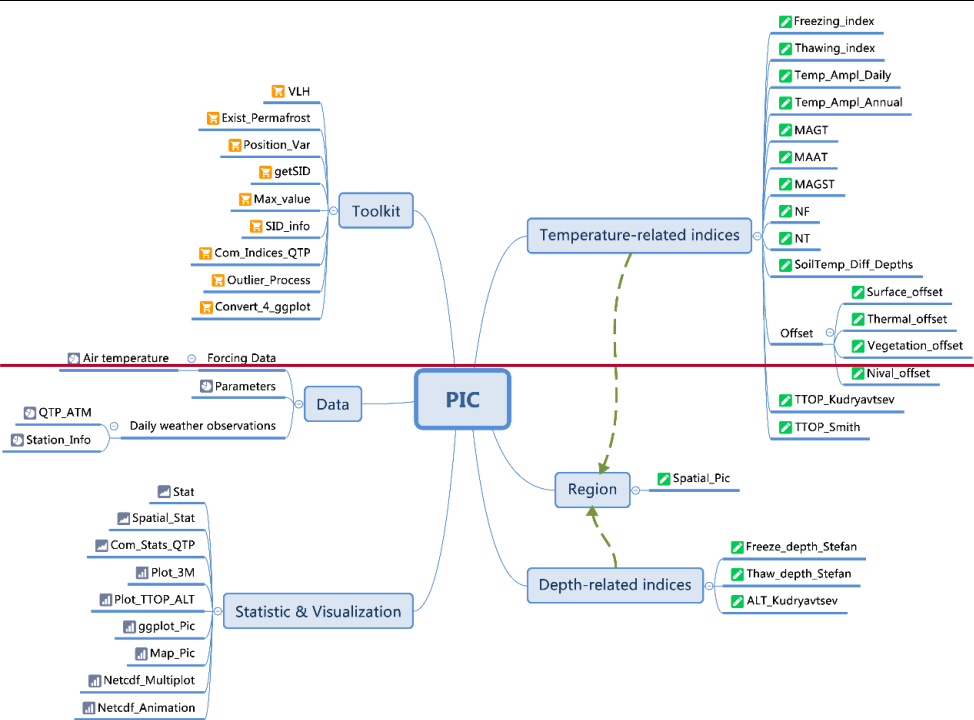


Figure 2: Mind map of the R-package PIC.

Table 2: Most important user functions in the R-package PIC. The equation of this table corresponds to the equation in Section 2.2.

Table 3: Parameters of thermal conductivity in the thawed/frozen state. The UADS Code came from soil texture classification of United States Department of Agriculture (USDA). The Qinghai-Tibet Plateau does not have the 1 and 8 of soil classification codes.  $\theta$ : soil water content;  $K_t$ : K value in thawed state;  $K_f$ : K value in frozen state;  $C_s$ : specific heat capacity in thawed stat (kJ/kg K).

R- functionUSDA Code	Description- and- referenceSoil	Unit $\theta$	Equation $K_t$	$K_f$	$C_s$
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factorloamy sand			
Surface_Offset	The difference between the MAGST and MAAT	℃	
Thermal_Offset	The difference between the TTOP and MAGST	℃	
Vegetation_Offset	The second term (Surface_Offset) is negative, and represents the reduction in MAGST due to vegetation effects in summer (vegetation offset)	℃	
	The first term (Surface_Offset) on the right hand side is positive, and represents the elevation of MAGST over MAAT due to the insulating effect of winter snow cover (nival offset)	℃	
Nival_Offset		℃	
TTOP_Smith13	The temperature at the top of the permafrost using Smith & Riseborough functions	℃	(13)4.601.700.79
TTOP_Kudryavtsev	The temperature at the top of the permafrost using Kudryavtsev function	℃	(14)
Depth-related indices			
Freeze_depth_Stefan	Maximum freezing depth using Stefan function	m	(20)
Thaw_depth_Stefan	Active layer thickness using Stefan function	m	(16)
ALT_Kudryavtsev	Active layer thickness (ALT) or maximum thawing depth using Kudryavtsev function	m	(19)
Region			
Spatial_Pie	Spatial changes with MAAT, DDT <sub>s</sub> , DDF <sub>s</sub> and ALT	m	(3,4,7,16)
Toolkit			
Com_Indices_QTP	Computing all indices for all stations of the QTP		
Outlier_Proceess	Process the abnormal value		
VLH	Computing volumetric latent heat of fusion	J/m <sup>3</sup>	(2)
Convert_4_ggplot	Convert the values of TTOP & ALT to one columns		
Exist_Permafrost	To determine which stations exists permafrost by TTOP values		
Statistic			
Stat	Statistic functions with more 10 methods		(21,22,23)

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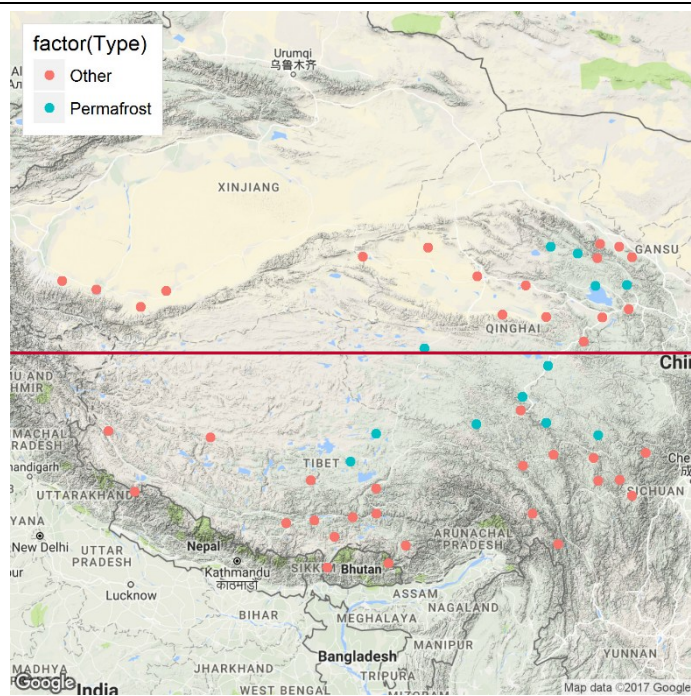
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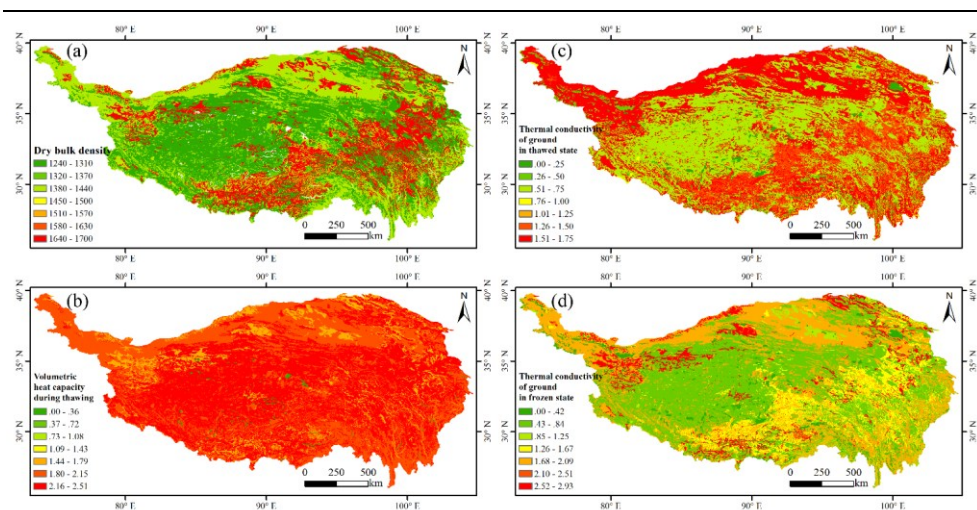
Spatial_Stat	Spatial statistic method, just for spatial trend	(24)
Com_Stats_QTP	Computing the statistie values for one or both of these indiees	
Visualization	—	—
Plot_3M	Plot MAAT, MAGST, and MAGT for all stations or a station	
Plot_TTOP_ALT	Plot TTOP and ALT for all stations or a station	
ggplot_Pie	Plot multiple indices all stations or a station using ggplot2	
Map_Pie	Plot multiple indices all stations or a station using ggmap	
Netedf_Multiplot	Region visualization of NetCDF with multiple plots	
Netedf_Animation	Region animation of NetCDF	—

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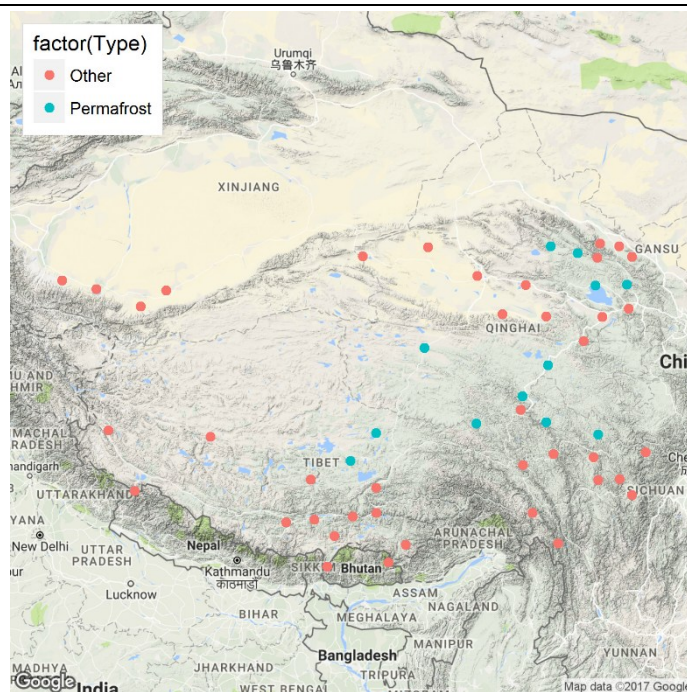




**Figure 3:** Spatial parameters for PIC v1.3 over the Qinghai-Tibet Plateau. (a) dry bulk density  $\rho$ ; (b) volumetric heat capacity during thawing  $C_T$ ; (c) thermal conductivity of ground in thawed state  $\lambda_g$ ; (d) thermal conductivity of ground in frozen state  $\lambda_f$ .

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**Figure 4:** Permafrost occurrence map. Google Maps is as a base map that uses the Exist\_Permafrost function. “Other” indicates the seasonal frozen soil.

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**Table 34:** The statistical values of TTOP apply Com\_Stats\_QTP for the stations where permafrost exists. SD\_S is Intercept: y-intercept; Slope: slope of regression line; R: Pearson's correlation coefficient; R<sup>2</sup>: coefficient of determination; RMSE: root mean squared error; NRMSE: normalized RMSE; SD\_S: the standard deviation of TTOP that uses using the Stefan function; whereas; SD\_K is: the standard deviation of TTOP that uses using the Kudryavtsev function-; MEF: modelling efficiency; NAE: normalized average error; VR: variance ratio; PBIAS: percent bias; NSE: Nash-Sutcliffe efficiency; RSR: RMSE-observations standard deviation ratio; and D: index of agreement.

Statistic	Tuole	Wudaoliang	Anduo	Maduo	Qingshuihe	Shiqu
interceptIntercept	-0.69	-0.4	-0.59	-0.9	-1.24	-1.47
slopeSlope	1.11	1.16	1.2	1.19	0.93	0.89

R	0.97	0.96	0.97	0.97	0.96	0.86
R <sup>2</sup>	0.94	0.92	0.93	0.94	0.92	0.75
RMSE	0.83	0.86	0.83	1.24	1.06	1.5
NRMSE	-0.85	-0.34	-1.23	-0.78	-0.52	-3.17
SD_S	0.59	0.8	0.78	0.61	1	0.69
SD_K	0.6	0.66	0.78	0.66	0.6	0.69
MEF	-0.85	0.03	-0.06	-2.7	0.07	-3.09
NAE	0.89	0.39	1.38	0.86	0.65	3.35
VR	1.03	0.68	1	1.14	0.35	1
PBIAS	-76.13	-26.54	-108.59	-67.31	-41.42	-255.56
<u>MSENSE</u>	0.42	0.62	0.57	0.39	0.67	0.37
RSR	0.76	0.61	0.66	0.78	0.58	0.79
D	0.67	0.7	0.76	0.53	0.58	0.5

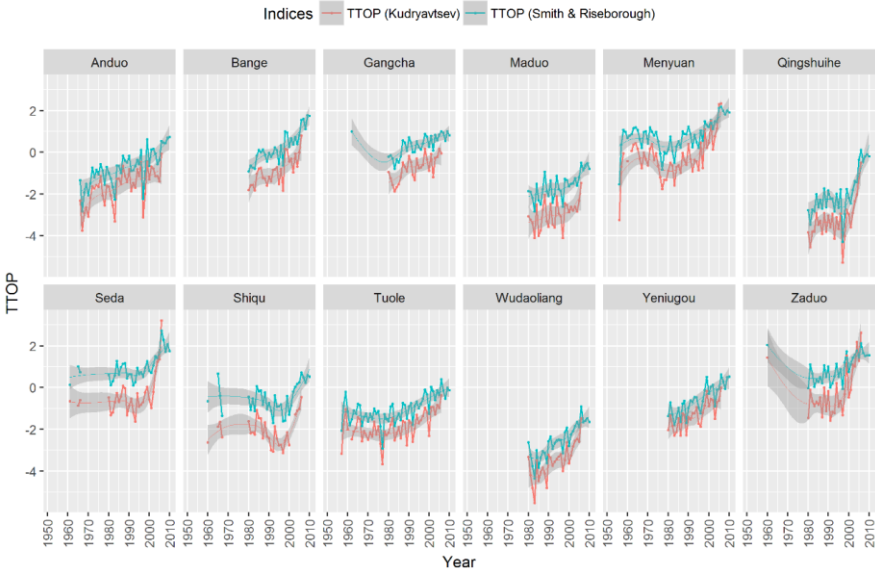
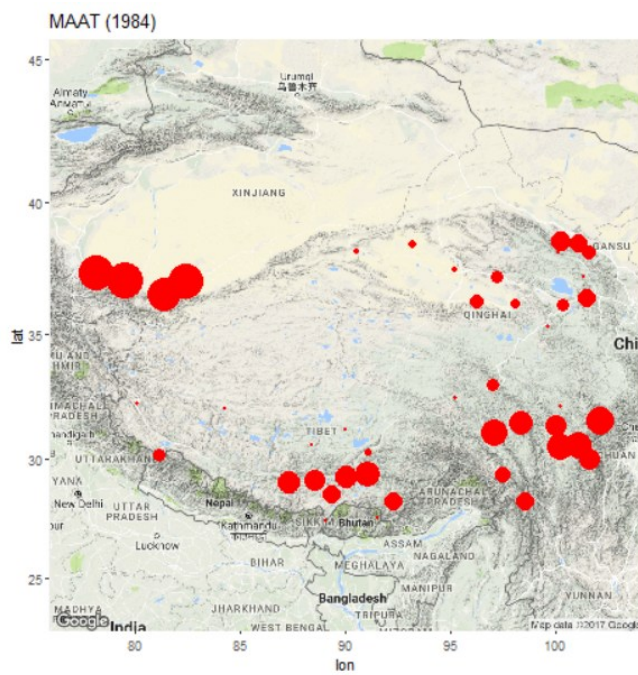
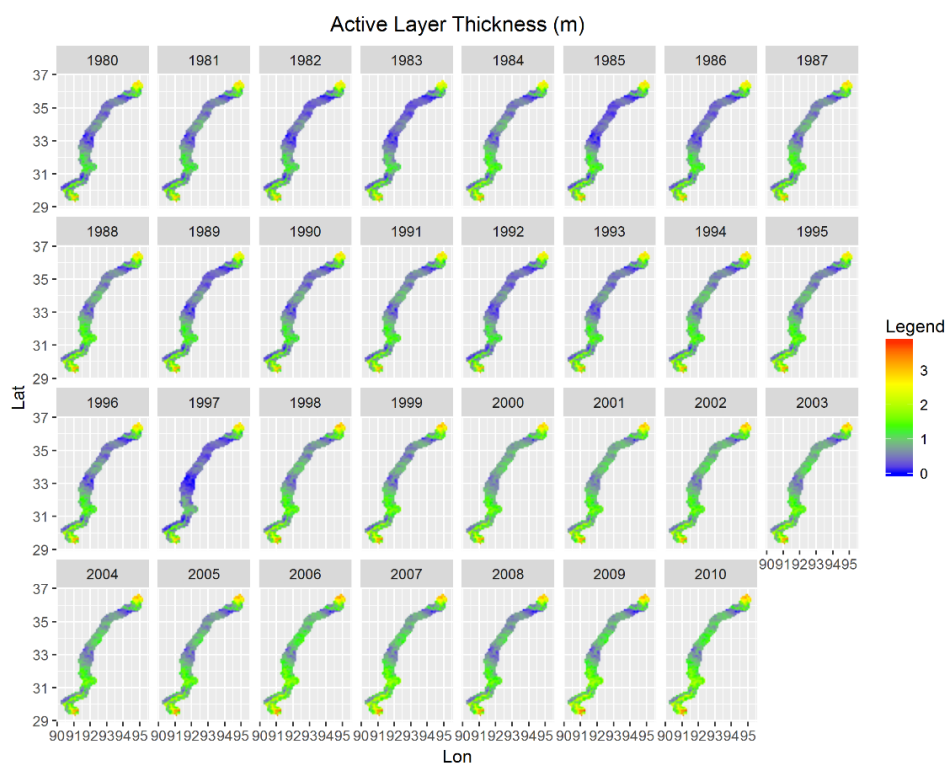


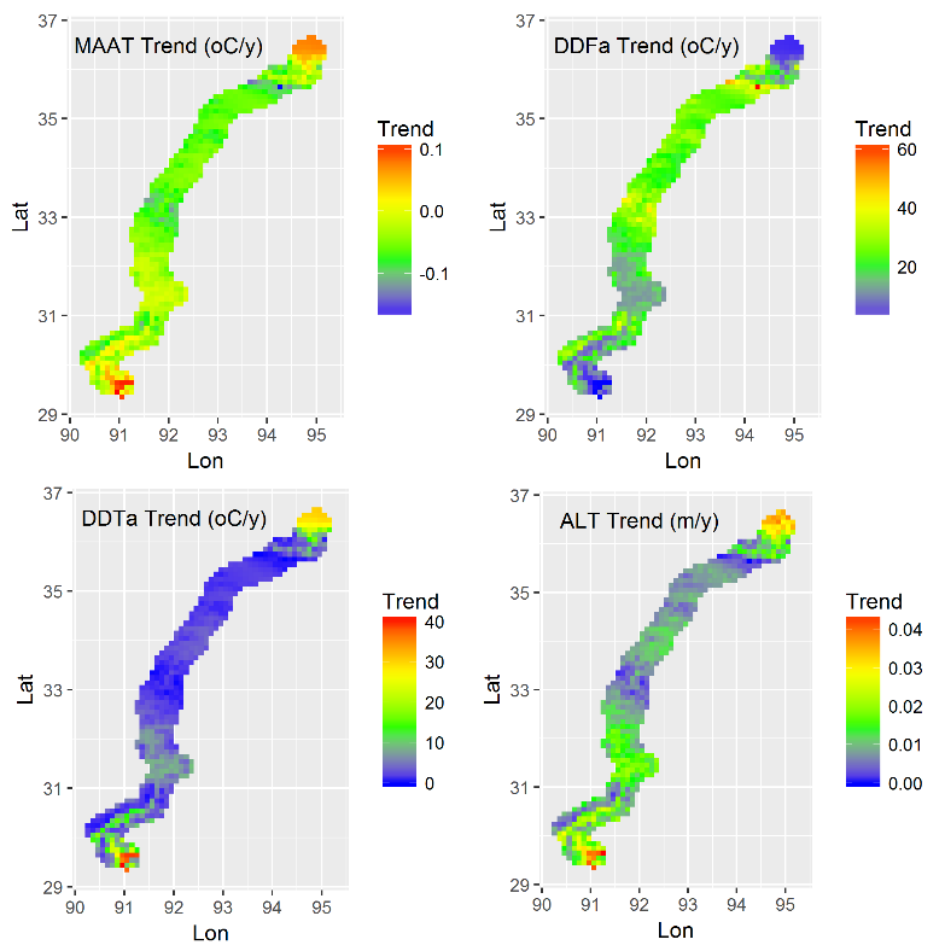
Figure 45: TTOP that usesusing the Smith and Kudryavtsev functions.



**Figure 56:** Index changes over time for MAAT. These graphs are animated in GIF mode.



**Figure 67:** Regional visualization of ALT.



**Figure 78:** Spatial trend of MAAT,  $DDT_a$ ,  $DDF_a$ , and ALT.

**Table 5.** The active layer thickness (ALT) and its trend between the PIC v1.3 simulation and literature analysis in the Qinghai-

Tibet Engineering Corridor (QTEC).					
<u>Mean</u> <u>ALT</u> <u>(m)</u>	<u>ALT</u> <u>Scope</u> <u>(m)</u>	<u>ALT trend</u> <u>(cm yr<sup>-1</sup>)</u>	<u>Period</u>	<u>Location</u>	<u>Data sources</u>
<u>2.03</u>	<u>0.97-3.87</u>	<u>2.89</u>	<u>1980-2010</u>	<u>The whole QTEC</u>	<u>PIC v1.3</u>
<u>2.18</u>	<u>1.00-3.20</u>	<u>1.33</u>	<u>1981-2010</u>	<u>Near the Qinghai-Tibet highway along the QTEC</u>	<u>Li et al. (2012)</u>
<u>==</u>	<u>1.00-3.00</u>	<u>0.50-2.00; 3.00-5.00 (1990s-2001)</u>	<u>1980-2001</u>	<u>Simulation along the Qinghai-Tibet Highway/ Railway</u>	<u>Oelke and Zhang (2007)</u>
<u>==</u>	<u>1.30-3.50</u>	<u>==</u>	<u>==</u>	<u>Near the Qinghai-Tibet highway along the QTEC</u>	<u>Pang et al. (2009)</u>
<u>==</u>	<u>2.00-2.60</u>	<u>2.14-7.14</u>	<u>1991-1997</u>	<u>1 site (35°43'N, 94°05'E) Near the Qinghai-Tibet highway along the QTEC</u>	<u>Cheng and Wu (2007a)</u>
<u>==</u>	<u>1.84-3.07</u>	<u>==</u>	<u>1990s</u>	<u>17 Monitoring sites near the Qinghai-Tibet Highway/ Railway along the QTEC</u>	<u>Jin et al. (2008)</u>
<u>2.41</u>	<u>1.32-4.57</u>	<u>7.50</u>	<u>1995-2007</u>	<u>10 Monitoring sites Near the Qinghai-Tibet highway along the QTEC</u>	<u>Wu and Zhang (2010)</u>
<u>2.40</u>	<u>1.61-3.38</u>	<u>4.26</u>	<u>2002-2012</u>	<u>10 Monitoring sites (34°49'N, 92°55'E) along the QTEC</u>	<u>Wu et al. (2015)</u>

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