¹ The use of radiocarbon ¹⁴C to constrain carbon dynamics in the soil module ² of the land surface model ORCHIDEE (SVN r5165)

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Marwa Tifafi¹, Marta Camino-Serrano^{2,3}, Christine Hatté¹, Hector Morras⁴, Lucas Moretti⁵, Sebastián Barbaro⁵, Sophie Cornu⁶, Bertrand Guenet¹

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- ¹Laboratoire des Sciences du Climat et de l'Environnement, LSCE/IPSL, CEA-CNRS-UVSQ,
- 9 Université Paris-Saclay, F-91191 Gif-sur-Yvette, France.
- ¹⁰ ²CREAF, Cerdanyola del Vallès, 08193, Catalonia, Spain
- ¹¹ ³CSIC, Global Ecology Unit CREAF-CSIC-UAB, Bellaterra 08193, Catalonia, Spain
- ¹² ⁴INTA-CIRN, Instituto de Suelos, 1712 Castelar, Buenos Aires, Argentina
- ⁵ INTA-EEA Cerro Azul, 3313 Cerro Azul, Misiones, Argentina
- ⁶Aix Marseille Univ, CNRS, IRD, INRA, Coll France, CEREGE, Aix-en-Provence, France
- 15

16 Corresponding authors: Marwa Tifafi (<u>marwa.tifafi@lsce.ipsl.fr</u>)

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Abstract. Despite the importance of soil as a large component of the terrestrial ecosystems, 18 the soil compartments are not well represented in the Land Surface Models (LSMs). Indeed, 19 soils in current LSMs are generally represented based on a very simplified schema that can 20 induce a misrepresentation of the deep dynamics of soil carbon. Here, we present a new 21 version of the Institut Pierre Simon Laplace (IPSL) Land Surface Model called ORCHIDEE-22 SOM (ORganizing Carbon and Hydrology in Dynamic EcosystEms-Soil Organic Matter), 23 incorporating the ¹⁴C dynamic in the soil. ORCHIDEE-SOM first simulates soil carbon 24 dynamics for different layers, down to 2 m depth. Second, concentration of dissolved organic 25 carbon and its transport are modeled. Finally, soil organic carbon decomposition is considered 26 taking into account the priming effect. 27

After implementing ¹⁴C in the soil module of the model, we evaluated model outputs against 28 observations of soil organic carbon and modern ¹⁴C fraction (F¹⁴C) for different sites with 29 different characteristics. The model managed to reproduce the soil organic carbon stocks and 30 the F¹⁴C along the vertical profiles for the sites examined. However, an overestimation of the 31 total carbon stock was noted, primarily on the surface layer. Due to ¹⁴C, it is possible to probe 32 carbon age in the soil, which was found to underestimated. Thereafter, two different tests on 33 this new version have been established. The first was to increase carbon residence time of the 34 passive pool and decrease the flux from the slow pool to the passive pool. The second was to 35 establish an equation of diffusion, initially constant throughout the profile, making it vary 36 exponentially as a function of depth. The first modifications did not improve the capacity of 37 the model to reproduce observations whereas the second test improved both estimation of 38 surface soil carbon stock as well as soil carbon age. This demonstrates that we should focus 39 more on vertical variation of soil parameters as a function of depth, in order to upgrade the 40 representation of global carbon cycle in LSMs, thereby helping to improve predictions of the 41 of soil organic carbon to environmental changes. 42

43 **1 Introduction**

The complexity of the mechanisms involved in controlling soil activity (Jastrow et al., 2007) 44 and therefore the carbon flux from the soil to the atmosphere makes predicting the response of 45 these systems to climate change extremely complex. Thus our ability to predict future changes 46 in carbon stocks in soils using global climate models is currently heavily criticized (Todd-47 Brown et al., 2013; Wieder et al., 2013). Indeed, Earth System Models (ESMs) are 48 increasingly used today in order to predict the future evolution of the climate. For instance, 49 results of a set of ESMs are taken into account within the Intergovernmental Panel on Climate 50 Change (IPCC) (Taylor et al., 2012) for assessment of the impacts of climate change and 51 design of mitigation strategies. Hence, their predictions need to be as accurate as possible. 52 These models represent the physical, chemical and biological processes within and between 53 the atmosphere, ocean and terrestrial biosphere. They allow us to follow and understand both 54 the effect of the climate on carbon storage and vice versa. However, ESMs are continuously 55 under development and some key processes in the global carbon cycle are still missing or not 56 represented with the necessary details. One of the components of an ESM is the land surface 57 model (LSM). This component primarily manages the carbon cycle, energy and water on land 58 and simulates the carbon exchange between the land surface and the atmosphere, namely the 59 gross primary production (GPP), the autrophic and heterotrophic respiration. 60

Despite the importance of soils as a large component of the global carbon storage, soil 61 compartments are not well represented in LSMs (Todd-Brown et al., 2013). Indeed, carbon 62 dynamics in soil described in LSMs are based on the "Century" (Parton et al., 1987) or 63 Roth-C models (Coleman et al., 1997) where soil carbon is represented as several pools with 64 different turnover rates for each pool. Carbon is decomposed in each pool, one part of which 65 is then transferred from one pool to another and the other part is lost through heterotrophic 66 respiration. In addition, soils are generally represented as a single-layer box in LSMs that do 67 not take into account the evolution and variation of soil organic processes as a function of 68 depth (Todd-Brown et al., 2013). 69

One way to reconcile this simplified representation of carbon dynamics of the models with the 70 complexity of the data collected in the field is to integrate isotopic tracers into the models 71 themselves and thus facilitate the comparison between model outputs and data (He et al., 72 2016). Moreover, thanks to an additive constraints on the model structure, this may improve 73 the model performances. For instance, radiocarbon is an important tool for studying the 74 dynamics of soil organic matter (Trumbore, 2000). Indeed, ¹⁴C data acquired from soil 75 organic matter provide complementary information on the dynamics (temporal dimension) of 76 soil organic matter. This tracer has the major advantage of being integrator of carbon 77 dynamics on long time scales (a few decades to several centuries). It is therefore a very 78 powerful tool to constrain conceptual schemes that may not be directly compared to variables 79 measured in the field (Elliott et al., 1996). Different authors have already succesfully 80 implemented radiocarbon in soil models and were able to clearly show that the introduction of 81 pools with turnover time of thousands of year were unnecessarry to fit radiocarbon data 82 (Ahrens et al., 2015) whereas Braakhekke et al., (2014) showed that after a reparameterization 83 of the models based on radiocarbon data the prediction of their model was quite different with 84 more carbon in top soil and less in deep soil compared to the model without radiocarbon. 85

Radiocarbon is produced naturally at a constant rate in the upper atmosphere through 86 bombardment of cosmic rays. It thus provides information on the dynamics of organic matter 87 that has been stabilized by interaction with mineral surfaces and stored long enough for 88 significant radioactive decay (Trumbore, 2000), as the half-life of ¹⁴C is about 5730 years. We 89 must also take into account radiocarbon produced during atmospheric tests of thermonuclear 90 weapons in the early sixties (Delibrias et al., 1964; Hua et al., 2013). Atmospheric bomb 91 testing in the late 1950s and early 1960s lead to an abrupt doubling of atmospheric ¹⁴C 92 concentration in a span of 2-3 years. Through exchange with ocean and terrestrial reservoirs, 93 it has decreased but still remains above the natural background. As with any other carbon 94 isotopes, this 14 C was metabolized by the vegetation and transferred to soil. By measuring 14 C 95 activity of a soil sample, it is possible to evaluate the amount of carbon introduced into the 96 soil since the 1960s (Balesdent and Guillet, 1982; Scharpenseel and Schiffmann, 1977). 97

In this study, we present a new version of the IPSL-Land Surface Model called ORCHIDEE SOM incorporating ¹⁴C dynamics in the soil. Thanks to this tracer, we can evaluate the SOC dynamics, in particular by looking at the ¹⁴C peak produced by atmospheric weapons testing and observed in the soils at four different sites having different biomes.

102

2 Materials and methods

104 **2.1 ORCHIDEE-SOM overview**

ORCHIDEE is the Land Surface Model of the IPSL Earth System Model (Krinner et al., 105 2005). It is composed of three different modules. First, SECHIBA (Ducoudré et al., 1993; 106 Rosnay and Polcher, 1998), the surface-vegetation-atmosphere transfer scheme, describes the 107 soil water budget and energy and water exchanges. The time step of this module is 30 min. 108 Second, the module of the vegetation dynamics has been taken from the dynamic global 109 vegetation model LPJ (Sitch et al., 2003). The time step of this module is one year. Finally, 110 the STOMATE (Saclay Toulouse Orsay Model for the Analysis of Terrestrial Ecosystems) 111 module simulates vegetation phenology and carbon dynamics with a time step of one day. 112

ORCHIDEE can be run coupled to a global circulation model where the boundary conditions 113 of the model are provided by the atmospheric modules (temperature, precipitation, 114 atmospheric CO₂ concentration, etc.). In return ORCHIDEE provides the land surface carbon, 115 energy and water fluxes. However, since our study focuses on changes in the land surface 116 rather than on the interaction with climate, we ran ORCHIDEE in the off-line configuration. 117 In this case, atmospheric conditions such as temperature, humidity and wind are read from a 118 meteorological dataset. The climate data CRUNCEP used for our study (6-hourly climate data 119 over several years) were obtained from the combination of two existing datasets: the Climate 120 Research Unit (CRU) (Mitchell et al., 2004) and the National Centers for Environmental 121 Prediction (NCEP) (Kalnay et al., 1996). 122

Our starting point is a ORCHIDEE-SOM version based on the SVN r3340 (Krinner et al., 2005), which is presented in detail in Camino-Serrano et al. (2017). Figure 1 represents how the soil is described in this new version. Indeed, the major particularity of ORCHIDEE-SOM is that it simulates the dynamics of soil carbon for eleven layers from the surface to two

meters depth. First, litter is divided into four pools: metabolic or structural litter pools which 127 can be found below or aboveground. Only the belowground litter is modeled on eleven levels. 128 from surface to 2 m depth, as the aboveground litter layer has a fixed thickness of 10 mm. 129 Second, SOC is divided into three pools (active, passive and slow), following Parton et al. 130 (1988), which differ in their turnover rates and which are discretized into 11 layers up to a 131 depth of two meters. Then, dissolved organic carbon (DOC) is represented as two pools and 132 also discretized over 11 layers up to a depth of two meters: labile DOC has a high 133 decomposition rate and recalcitrant DOC has a low decomposition rate (Camino-Serrano et 134 al., 2018). Finally, another particularity of this version of ORCHIDEE-SOM is that the SOC 135 decomposition is modified to account for the priming effect following Guenet et al. (2016). 136 Briefly, priming is described following equation 1. 137

$$\frac{\partial SOC_{i,z}}{\partial t} = DOC_{Recycled,i,j}(t) - k_{SOC,i} \times (1 - e^{-c \times LOC_z(t)}) \times SOC(t)_{i,z} \times \theta(t) \times \tau(t)$$
(1)

with DOC_{recycled} being the unrespired DOC that is redistributed into the pool *i* considered for 139 each soil layer z in g C m⁻² days⁻¹, k_{SOC} being a SOC decomposition rate constant (days⁻¹), and 140 LOC being the stock of labile organic C defined as the sum of the C pools with a higher 141 decomposition rate than the pool considered within each soil layer z. We therefore considered 142 that for the active carbon pool LOC is the litter and DOC, but for the slow carbon pool LOC 143 is the sum of the litter, DOC and so on. Finally, c is a parameter controlling the impact of the 144 LOC pool on the SOC mineralization rate, i.e., the priming effect. The equation was 145 parameterized based on soil incubations data and evaluated over litter manipulation 146 experiments (Guenet et al. 2016). 147

Since the soil profile is divided into 11 layers, SOC and DOC transport following the diffusion must also be described. SOC diffusion is actually a representation of bioturbation processes (animal and plant activity), whereas DOC relies more on non-biological diffusion. Both diffuse through concentration gradients.

This is represented using the Fick's law (Braakhekke et al., 2011; Elzein and Balesdent, 1995;
O'Brien and Stout, 1978; Wynn et al., 2005):

154
$$F_D = -D * \frac{\partial^2 C}{\partial z^2}$$
(2)

Where F_D is the flux of carbon transported by diffusion in g C m⁻³ day⁻¹, *D* is the diffusion coefficient (m² day⁻¹) and *C* is the amount of carbon in the pool (DOC or SOC) subject to transport (g C m⁻³). The diffusion coefficient is assumed to be constant across the soil profile in ORCHIDEE-SOM but the diffusion parameters (D) used in the equations for SOC and DOC can differ.

160 **2.2 ORCHIDEE-SOM-**¹⁴C

In ORCHIDEE-SOM, the different compartments (soil carbon input, litter, SOC, DOC and heterotrophic respiration) are presented as a matrix with a single dimension referring to the total carbon. In order to introduce the ¹⁴C, a new dimension has been added to all the variables cited above. Thus, all processes that apply to the total soil carbon are now also represented for ¹⁴C. We label this new version including ¹⁴C as ORCHIDEE-SOM-¹⁴C. Several ways of reporting ¹⁴C activity levels are available. We chose to use the *fraction modern*, with the $F^{14}C$ symbol as advocated by Reimer et al. (2004) rather than absolute concentration of ¹⁴C (reported as Bq).

169
$$F^{14}C = \left(\frac{A_S}{0.95 A_{0X1}}\right) * \left(\frac{0.975}{0.981}\right)^2 * \left[\left(1 + \frac{\delta^{13}C_{0X1}}{1000}\right) / \left(1 + \frac{\delta^{13}C_S}{1000}\right)\right]^2 \quad (3)$$

with $A = {}^{14}C/{}^{12}C$, S for sample, OX1 for Oxalic Acid 1, the ${}^{14}C$ international standard.

F¹⁴C is twice normalized: i) it takes into account isotopic fractionation by being normalized to a $\delta^{13}C = -25\%$, and ii) it corresponds to a deviation towards an international standard (i.e. 95% of OX1 as measured in 1950 – (Stuiver and Polach, 1977)). By propagating F¹⁴C from atmosphere at the origin of vegetal photosynthesis to soil respired CO₂, there is no need to focus on ¹³C isotopic fractionation all along the organic matter mineralization with F¹⁴C.

To make the reading of the paper easier, we will further express $F^{14}C$ as $F^{14}C = A_{sample}/A_{ref}$

with A_{sample} being the A of the measured (or modeled) data and A_{ref} an international reference. Normalizations are included in A_{ref} and $F^{14}C$ will be written as F^{14} to simplify notation involving superscripts and subscripts.

Since we focus on SOC dynamics, we did not include the ¹⁴C in the plants but did include ¹⁴C in the litter. The ¹⁴C-litter is obtained by multiplying the atmospheric value by the total carbon in the litter:

183
$$Litter({}^{14}C) = F_{atm}^{14} * Litter(C)$$
 (4)

where F^{14}_{atm} is the $F^{14}C$ of atmosphere at the time of leaf growth (figure 2).

- Thus, from the litter, all processes defined in section 2.1 that apply to total soil carbon are also represented for ${}^{14}C$.
- ¹⁸⁷ We also take into account the radioactive decay of ${}^{14}C$. For that, we calculate the amount of ¹⁴C as follow:

$$^{189} \quad {}^{14}C = {}^{14}C - K_{decrease} * {}^{14}C \tag{5}$$

Where $k_{decrease}$ is the radioactive decay constant (= Ln2/5730) (Godwin, 1962)

¹⁹¹ The $F^{14}C$ of the soil is then calculated back for carbon, per pool:

192
$$F_{Pool,z}^{14} = \frac{{}^{14}C_{Pool,z}}{C_{Pool,z}}$$
 (6)

- with *pool* representing the active, slow or passive pool.
- Finally, we calculate a mean $F^{14}C$ value per soil layer, according to the depth:

195
$$F_{Mean,z}^{14} = \frac{F_{active,z}^{14} F_{active,z}^{14} F_{slow,z}^{14} F_{slow,z}^{14} F_{passive,z}^{14} F_{passive,z}^$$

- 196
- 197 **2.3 Site descriptions**
- 198 2.3.1 French sites

Two Luvisol (WRB, 2006) profiles located in the northern France were selected: the 199 Feucherolles and Mons sites. In Mons (49.87°N, 3.03°E), Luvisol, the soils sit under 200 grassland, and are developed from several meters of loess and therefore well drained. The 201 mean annual air temperature is 11°C and the annual precipitation is about 680 mm 202 (Keyvanshokouhi et al., 2016). In Feucherolles (48.9°N, 1.97°E), the soil sits under oak forest 203 and clay and gritstone deposits are found at approximately 1.5 m depth. The mean annual air 204 temperature is 11.2°C and the annual precipitation is about 660 mm (Keyvanshokouhi et al., 205 2016). Both soils are neutral to slightly acidic and are characterized by the presence of a clay 206 accumulation Bt horizon with clay content reaching 30 % for Feucherolles and 27 % for 207 Mons, while the upper horizons are poorer in clay (17 % for Feucherolles and 20% for Mons). 208

The ¹⁴C data from the soils of both sites were obtained after chemical treatment done at Laboratoire des Sciences du Climat et de l'Environnement (LSCE) using a protocol adapted to achieve carbonate leaching without any loss of organic carbon; ⁴C activity was measured by AMS at the French Laboratoire de mesure du ¹⁴C (LMC14) facility (Cottereau et al., 2007). Details on measurements and sampling can be found in Jagercikova et al., (2017)

214 **2.3.2** Congo site

The studied site is located in Kissoko (4.35°S, 11.75°E). It belongs to the SOERE F-ORE-T 215 (Site de l'ObservatoirE de Recherche en Environnement sur le Fonctionnement des 216 écosystèmes fOREsTiers) field observation sites of Pointe Noire, Republic of Congo. The 217 mean annual air temperature is about 25°C with low seasonal variation (\pm 5°C), and average 218 annual precipitation of 1400mm, and a dry season between June and September. The deep 219 acidic sandy soil is a ferralic Arenosol (WRB, 2006). The soil is characterized by a sand 220 content larger than 90% (Laclau et al., 2000). A soil profile was taken under native savanna 221 vegetation dominated by C4 plants (Epron et al., 2009). The soil was sampled in May 2014 at 222 different depths: 0-5cm, 5-10cm, 10-15cm, 15-20cm, 20-30cm, 30-40cm, 40-50cm, 50-60cm, 223 60-80cm, 80-100cm, 100-120cm. All samples were crushed and air-dried. Once in the 224 laboratory, they were homogenized, crushed, randomly subsampled and sieved at 200µm. 225 Then ¹⁴C measurements were made the same way as the two French sites, using the LSCE 226 chemical treatment and the French LMC14 facility following recommendations by Cottereau 227 et al., (2007). 228

229 2.3.3 Argentina site

The Province of Misiones is located in northeastern Argentina. The climate is subtropical 230 humid without a dry season, an annual mean temperature of 20°C and 1850mm of mean 231 annual rainfall (Morrás et al., 2009). The profile used in this study is located in the southern 232 part of Misiones (27°S, 55°W). Native vegetation is a forest dominated by C3 plants. The soil 233 selected is an Acrisol (WRB, 2006). It's a red clay soil, strongly to very strongly acid with a 234 clay content varying from 40% at the surface to 60% at 1m depth. ¹⁴C measurements were 235 made using a new Compact Radiocarbon System called ECHoMICADAS (Environment, 236 Climate, Human, Mini Carbon Dating System) (Tisnérat-Laborde et al., 2015). Details on 237 measurements and sampling can be found in Tifafi et al., In prep. Briefly, the soil was 238 sampled in May 2015 at different depths: 0-5cm, 5-10cm, 10-15cm, 15-20cm, 20-30cm, 30-239 40cm, 40-50cm, 50-60cm, 60-80cm, 80-100cm. All samples were crushed and air-dried. Once 240

- in the laboratory, they were homogenized, crushed, randomly subsampled and sieved at 200 μ m. Then ¹⁴C measurements were made using a new Compact Radiocarbon System called *ECHo*MICADAS (Environment, Climate, Human, Mini Carbon Dating System) following the recommendations of Tisnérat-Laborde et al., (2015).
- For the four sites, the SOC (kg m⁻³), for each depth z, was calculated using carbon content and bulk density data using the following equation:

$$SOC_z = OCC_z * BD_z$$

(8)

Where OCC (wt/wt) is the carbon content and BD (kg m⁻³) is the bulk density.

249 **2.4 Different model tests**

- After the implementation of radiocarbon in the model, different tests were carried out (Table2). Here we represent the outputs provided by three simulations:
- ²⁵² i- Simulation using the initial version ORCHIDEE-SOM-¹⁴C (labelled "Control" in ²⁵³ figures and tables) in which no changes were made. The diffusion was kept constant ²⁵⁴ throughout the profile ($D = 1.10^{-4} \text{ m}^2 \text{ year}^{-1}$) and the other parameters are those of the ²⁵⁵ detailed version in Camino-Serrano et al., (2017).
- Simulation using the initial version ORCHIDEE-SOM-¹⁴C in which we modified ii-256 some parameters following He et al. (2016) ("He et al., (2016) parameterization" in 257 figures and tables). In brief, the authors used ¹⁴C data from 157 globally distributed 258 soil profiles sampled to 1-meter depth to evaluate CMIP5 models. Their results show 259 that ESMs underestimated the mean age of soil carbon by a factor of more than six and 260 overestimated the carbon sequestration potential of soils by a factor of nearly two. So, 261 the suggestion (that we apply in this simulation) for the IPSL model was to multiply 262 the turnover time of the passive pool by 14 and the flux from slow pool to passive pool 263 by 0.07 (Table 2). The diffusion was kept constant throughout the profile (D = 1.10^{-4} 264 m^2 year⁻¹) but the turnover time of the passive pool increased from 462 years to 6468 265 years and the flux from the slow pool to the passive pool decreased from 0.07 to 266 0.0049. 267
- ²⁶⁸ iii- Simulation using the initial version ORCHIDEE-SOM-¹⁴C in which we assume that the diffusion varies as a function of the depth ("Depth-varying diffusion constant" in figures and tables) according to the equation below:

271
$$D(z) = 5.42.10^{-4}e^{(-0.04z)}$$

(9)

Where *D* is the diffusion $(m^2 \text{ year}^{-1})$ at a specific depth and *z* is the depth. This equation of diffusion varying as a function of depth following Jagercikova et al. (2014) and assumes that bioturbation is higher in the top soil than in deep soil.

275 **2.5 Model simulations**

In order to reach a steady state of the soil module, we ran the model over 12700 years (spinup). The state at the last time step of this spinup was used as the initial state for the simulations. For this, the CRUNCEP meteorological data for the period 1901-1910 were used. This has been applied for Misiones, Feucherolles and Mons. However, for Kissoko, a first spinup similar to the other sites was carried out but a second one (over approximately 4200

- years) was also done after the end of the first to take into account the change of the land cover from a tropical forest to a C4 savanna at this site (Schwartz et al., 1992). The atmospheric CO₂ concentration has been set at 296 ppm (year 1901, (Keeling and Whorf, depth-varying diffusion constant)) for the spinups and the $F^{14}C$ has been set to pre-industrial values. For each site, specific pH, clay content and bulk density values were used (Table 1). It should be noted that for these last data, only one value (the mean value on the profile) is provided as input for the model.
- The simulations were outputted at a yearly time step, from 1900 to 2011. A yearly atmospheric CO₂ concentration value (Keeling and Whorf, depth-varying diffusion constant) is read for the sites. The same specific pH, clay content and bulk density values were used (Table 1).
- Figure 2 shows the evolution of the $F^{14}C$ values in the atmosphere used in our model for
- Argentina, Congo and France (Figure 5 from Hua et al. (2013)). The values provided are
- classified into five zones, three in the Northern Hemisphere (NH) and two in the Southern Hemisphere (SH), corresponding to different levels of ¹⁴C. For France, the values correspond
- to the NH zone 2, for the Congo to the SH zone 3 and finally for Argentina to the SH zone 1-
- 2. Thus, for our simulations, a yearly value is read for each site.
- An $F^{14}C$ value of 1.8 represents a doubling of the amount of ${}^{14}C$ in atmospheric CO₂. In figure 2, it can be noted that the values recorded in France (northern hemisphere) are higher than those in the Congo and Argentina (southern hemisphere). This is due to the preponderance of atmospheric tests in the northern hemisphere and the time required to mix air across the equator.

303 **2.6 Statistical analysis**

Simulating carbon processes in soil requires comparison between the model outputs and the 304 measurements to test the model accuracy and possibly implement further improvement. 305 Statistical analysis based on the statistics of deviation were done to evaluate the model-306 measurement discrepancy according to Kobayashi and Salam (2000) (where a detailed 307 description of the method is provided). Here, we only reproduce the different equations used. 308 x refers to the model outputs and y to the measurements, while i refers to soil depth. The 309 intervals of soil depth of the model outputs and the measurements were homogenized by 310 linearly interpolating the data to common depth intervals defined for each site. The 311 simulations and data were then compared for each depth interval. 312

313
$$RMSD = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_i - y_i)^2}$$
 (10)

RMSD is the Root Mean Squared Deviation, which represents the mean distance between simulation and measurement.

316
$$MSD = \frac{1}{n} \sum_{i=1}^{n} (x_i - y_i)^2 = (\bar{x} - \bar{y})^2 + \frac{1}{n} \sum_{i=1}^{n} [(x_i - \bar{x}) - (y_i - \bar{y})]^2$$
 (11)

MSD, the Mean Squared Deviation, is the square of RMSD. The lower the value of MSD, the closer the simulation results are to the measurements.

319 $SB = (\bar{x} - \bar{y})^2$ (12)

- Where are the means of x_i (model outputs) and y_i (measurements) respectively.
- 321 SB is a part of the MSD (Eq.14) and represents the bias of the simulation from the 322 measurement.

323
$$SD_s = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_i - \bar{x})^2}$$
 (13)

 $_{324}$ SD_s is the Standard Deviation of the simulation.

325
$$SD_m = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \bar{y})^2}$$
 (14)

 $_{326}$ SD_m is the Standard Deviation of the measurements.

327
$$r = \frac{\frac{1}{n} \sum_{i=1}^{n} (x_i - \bar{x}) - (y_i - \bar{y})}{SD_m SD_s}$$
(15)

 $_{328}$ r is the correlation coefficient between the simulation and measurements.

$$SDSD = (SD_s - SD_m)^2$$
(16)

330 SDSD is the difference in the magnitude of fluctuation between the simulation and measurements.

$$_{332} \quad LCS = 2SD_s \, SD_m (1-r) \tag{17}$$

- 333 LSC represents the lack of positive correlation weighted by the standard deviations.
- The MSD can be therefore be rewritten as:

$$MSD = SB + SDSD + LCS \tag{18}$$

For the different simulations, the MSD and its components were calculated according to the total soil carbon and to the $F^{14}C$.

338

339 **3 Model results and evaluation**

340 3.1 Outputs from simulation using the initial version of the model ORCHIDEE-SOM ¹⁴C (Control)

342 **3.1.1 Simulated total soil carbon**

Results from the initial version of ORCHIDEE-SOM-¹⁴C show that in all the studied sites, the 343 model succeeds in reproducing the trend of the total carbon profiles, with more carbon at the 344 surface which then decreases according to the depth (Figure 3). Moreover, total soil carbon 345 stock simulated down to 2m depth is in accordance with data in the case of Misiones and 346 Feucherolles where the major difference mainly lies on the surface. This results in correlation 347 coefficients of 0.44 and 0.2 respectively (Table 3). For the sites of Kissoko and Mons, an 348 over-estimation of the total soil carbon is found to a depth of 50cm for Kissoko and up to a 349 depth of 120cm for Mons. Correlation coefficients are 0.14 and 0.49 for Kissoko and Mons 350 respectively (Table 3). 351

Metrics presented in Figure 4, showed that this version (ORCHIDEE-SOM-¹⁴C) represents relatively well the observation from Feucherolles (MSD = 206 kg C m⁻⁶), whereas the other

are highly overestimated (Kissoko, MSD = 1343 kg C m^{-6} ; Misiones MSD = 2180 kg C m^{-6} ; 354 Mons MSD = 3355 kg C m^{-6}). By detailing the different components of the MSD (Figure 4). 355 we note that for Mons and Kissoko, standard bias (SB) is the major component of the MSD 356 with contributing 70% and 60% respectively. This reflects that the average of total soil carbon 357 over the soil profile simulated by the model is primarily the origin of the deviation of the 358 model outputs from data. The mean total soil carbon estimated by the model (Table 3) is 359 almost three times higher than the mean total carbon measured for Mons (2.37 kg C m⁻² 360 against 0.8 kg C m⁻² respectively) and it is more than five times that measured for Kissoko 361 (2.44 kg C m⁻² against 0.42 kg C m⁻² respectively). For Mons a net primary production (NPP) 362 of 6.7 t ha⁻¹ yr⁻¹ was estimated by the technical institute for pasture in this region of France 363 based on the annual yields, whereas the model predicts a NPP of 7.5 t ha^{-1} yr⁻¹. The large 364 overestimation of the SOC stocks may therefore be due to an overestimation of the NPP. This 365 significant gap recorded in the case of the Kissoko site, where the measured SOC is very low, 366 is probably due to an overestimation of decay rates by ORCHIDEE in sandy soils. The 367 368 correlation coefficient for Mons is relatively high compared to other site (Table 3) whereas Fig. 3 shows that the model performance was not very good for this site. This is mainly due to 369 a large SB whereas other MSD components were rather low. 370

371

However, the main components of MSD for Feucherolles and Misiones are both SB (46% and 372

56% for Feucherolles and Misiones, respectively) and also LCS (53 and 31% for Feucherolles 373

and Misiones, respectively). This means that for these two sites, the deviation between model 374

outputs and measurements is mainly due to a variation of carbon stock estimation throughout 375

the profile. The mean total soil carbon estimated in these both cases (Table 3) is only slightly 376

higher than those measured (2.03 kg C m⁻² estimated against 2.14 kg C m⁻² measured for 377 Misiones and 0.7 kg C m⁻² estimated against 0.68 kg C m⁻² measured for Feucherolles).

378

The vertical profiles of the SOC stock were fairly represented by the model. The 379 overestimation, especially at the top, suggests that the distribution of the litter following the 380 root profile and / or the vertical transport of SOC by diffusion are not correctly described in 381 the model. 382

3.1.2 Simulated F¹⁴C 383

Regarding the ¹⁴C activity, bulk F¹⁴C profiles show a classical pattern with higher ¹⁴C activity 384 on the top, slightly influenced by the peak bomb enriched years. Subsequently profiles show 385 decreasing ¹⁴C activity with depth (Figure 5). 386

The estimated profiles (Model-Control) follow the same trend with a decrease from the 387 surface to the depth. However, there is a significant difference between the estimated values 388 and those measured throughout the profile. The statistical analyzes (Figure 6) provide MSD 389 values: 0.02 for Mons and Misiones, 0.03 for Kissoko and 0.09 for Feucherolles. The major 390 component of the MSD in the four sites is the LCS, with a proportion reaching 90% for Mons, 391 80% for Misiones and 70% for Congo, but only 55% for Feucherolles. The high proportions 392 of LCS suggest that the model fails to reproduce the shape of the profile. The lower values 393 estimated by the models reflect a more modern carbon age than in reality. This can be 394

explained, first, by the fact that the root profile puts too much fresh organic carbon in deep soil. Afterwards, in ORCHIDEE, root profile is assumed to follow an exponential function without modulation due to environmental conditions.

SB's contribution to the MSD does not exceed 7% for Misiones, Kissoko and Mons but 398 reaches about 40% for Feucherolles. This reflects that the mean value of the F¹⁴C estimated 399 by the model and that obtained after the measurements are not very different, except for 400 Feucherolles site (Table 4). Indeed, the average value estimated for Misiones is 0.920, very 401 close to that measured at 0.930, 0.995 for Kissoko against 0.985 measured and 0.860 for 402 Mons against 0.815 measured. Yet, the difference is greater for the Feucherolles site, the 403 estimated value being 0.915 while the measurement is 0.725. This difference might be caused 404 by the low F¹⁴C value measured at 150cm (0.257), that the model is not able to capture. This 405 suggests that modeled deep soil carbon is much younger than the observed total soil carbon, 406 probably because ORCHIDEE-SOM simulates a relatively small proportion of passive pool in 407 the lower soil horizons (Figure 7), while an increasing proportion of passive carbon with soil 408 depth could be expected. 409

In brief, SOC stocks are generally overestimated and soil carbon age in deep soils (as shown by the $F^{14}C$) is underestimated, suggesting that the turnover rate of the passive pool is subject to improvements in ORCHIDEE-SOM.

3.2 Outputs from simulation using the initial version of the model ORCHIDEE-SOM ¹⁴C including He's suggestion (He et al., (2016) parameterization)

415 **3.2.1 Simulated total soil carbon**

Figure 3 shows profiles output after He et al (2016)'s suggestion was implemented into 416 ORCHIDEE-SOM-¹⁴C (green dotted curves). Resulting profiles follow the same trend than 417 observations but in this case ("He et al., (2016) parameterization"), the overestimation is very 418 high across the whole profile. This is further confirmed by the metrics analysis (Figure 4). 419 MSD values markedly increased, resulting in an even higher variance. Obviously, the major 420 component of MSD in all cases is the SB (varying from 80% to 87%) reflecting an even more 421 marked overestimation of the mean total carbon estimates: 7.38 kg C m⁻² against 2.14 kg C m⁻² 422 ² for Misiones, 2.44 kg C m⁻² against 0.42 kg C m⁻² for Kissoko, 2.33 kg C m⁻² against 0.66 kg 423 C m⁻² for Feucherolles and 9.99 kg C m⁻² against 0.8 kg C m⁻² for Mons. 424

425 **3.2.2 Simulated F¹⁴C**

He et al., (2016) parameterization outputs (Figure 5, green dotted curves) for $F^{14}C$ are once again even further away from observations and MSDs (Figure 6) are much higher, except for Feucherolles. The MSD components for the Feucherolles site show that the LCS increases from 0.05 to 0.06 whereas the SB decreases from 0.04 to 0.03, again reflecting a variation of the profile more than a difference from the means.

Improvement of the model-measurement fit for the $F^{14}C$ at 150 cm in Feucherolles confirms that the deep soil carbon simulated by the control version of ORCHIDEE-SOM-¹⁴C was excessively young, since the longer residence time of the passive pool reported by He et al. (2016) resulted in a higher proportion of passive pool across the soil profile (Figure 7), thus

improving deep soil carbon age. Nevertheless, this test only improves the simulation of deep

soil carbon in Feucherolles. On the contrary, this increase in carbon residence time increases
model deviation from observations for all the other cases (Figure 5 and 6).

Indeed, taking the priming effect into account in this new version of ORCHIDEE has 438 contributed to a 50% of decrease in carbon storage over the historical period. He et al., 439 (2016)'s correction was also aimed at reducing this storage and is of the same order of 440 magnitude as the priming effect. Thus, applying He's correction to this version of the model. 441 which takes into account the priming effect, contributes to a double correction for the same 442 target, which then generates this important difference between model outputs and 443 measurements. Moreover, the work of He et al. (2016) is done under the standard 444 parameterization of ORCHIDEE based on Century, while ORCHIDEE-SOM was re-445 parameterized after adding several different processes, the priming effect among them 446 (Camino-Serrano et al., 2017), which makes it difficult to compare results from between the 447 two studies. 448

449 3.3 Outputs from simulation using the initial version of the model ORCHIDEE-SOM-

450 ¹⁴C with diffusion varying according to the depth (Depth-varying diffusion constant)

451 **3.3.1 Simulated total soil carbon**

Fick's law of diffusion is classically used in models to represent bioturbation assuming that 452 soil fauna activity may be represented following the Fick's law of diffusion (Elzein and 453 Balesdent, 1995; Guenet et al., 2013; Koven et al., 2013; O'Brien and Stout, 1978; Wynn et 454 al., 2005). Using a fixed diffusion constant (D in eq. 2) implicitly suggests that soil fauna 455 activity is uniform over the entire soil profile. This is generally the case of several models of 456 diffusion, in particular at the level of an ecosystem (Bruun et al., 2007; Guimberteau et al., 457 2017; O'Brien and Stout, 1978). However soil faunal activity vary naturally with depth and 458 the diffusion constant should therefore be depth-dependent (Jagercikova et al., 2014). 459

With Depth-varying diffusion constant, the carbon profiles (orange dashed curves) was improved compared to the initial outputs (Control). The overestimation at the surface decreases at the four sites (Figure 3). In particular, the Misiones outputs fit very well the observed profiles. This is confirmed with lower MSDs for the four sites for this version compared to Control (Figure 4).

The total SOC stocks simulated according to this third simulation are closer to the measured values and describing the vertical transport of SOC through diffusion varying according to the depth improves significantly the model outputs.

468 **3.3.2 Simulated** F¹⁴C

- Regarding the $F^{14}C$ outputs, the simulations using the initial version ORCHIDEE-SOM-¹⁴C in
- which we assume that the diffusion varies as a function of the depth (Depth-varying diffusion
- constant) results in an improvement of the F¹⁴C profiles (orange dashes curves), in particular
- 472 for the sites Misiones, Mons and Kissoko (Figure 5). Statistical analyzes prove it with
- significantly lower MSDs. In addition, the proportion of LCS is 98%, 92% and 88% for
- 474 Mons, Misiones and Kissoko, respectively, highlighting an estimated average very close to
- the measurements with a clear disparity, less marked than with the first two simulations,
- throughout the profile (Figure 6). Overall, the simulated $F^{14}C$ to 2 m of depth according to

this third simulation are in a better agreement with the measured values, and thusincorporating diffusion that varies with depth significantly improves the model outputs.

Using a diffusion coefficient that varies as a function of the depth seems to correct the overestimation of the surface total soil carbon by increasing the proportion of labile soil carbon pools in the first soil layers.

When we sum the total soil carbon at each soil layer and look at the relative proportion of 482 each of the soil carbon pools (Figure 7), we note that it is mainly the distribution of the litter 483 according to the depth which varies. In fact, the structural litter proportion is multiplied by 484 about 2 in all four cases, and this proportion remains relatively constant across the profile. 485 This increase in litter proportion has also resulted in a decrease in the passive pool, more 486 pronounced at the surface but also important at depth (except for Feucherolles where the 487 decrease is only marked at the bottom). It suggests that the vertical carbon distribution, which 488 is largely modified by the diffusion coefficient, greatly impacts the SOC and ¹⁴C profiles, 489 which is in line with Dwivedi et al. (2017) who found that the vertical carbon input profiles 490 were important controls over the ¹⁴C depth distribution. 491

In this study, the vertical transport of SOC and litter through diffusion has been improved by varying diffusion according to the depth. Further model development should explore the impact of the other processes defining the soil carbon pools vertical distribution and especially the distribution of the litter according to the root profile.

- Overall, by using radiocarbon $({}^{14}C)$ measurements we have been able to diagnose internal 496 model biases (underestimation of deep soil carbon age) and to propose further model 497 improvements (depth-dependent diffusion). Therefore, the use of radiocarbon (¹⁴C) tracers in 498 global models emerges as a promising tool to constrain not only SOC turnover times in the 499 long-term (He et al., 2016), but also internal SOC processes and fluxes that have no direct 500 comparison with field measurements. Nevertheless, the model evaluation performed here on 501 only four sites should be considered as proof of concept and more in depth evaluation are 502 needed, in particular using a large ¹⁴C database available at global scale (Balesdent et al., 503 2018; Mathieu et al., 2015). Indeed, the $F^{14}C$ is largely controlled by pedo-climatic conditions 504 such as clay content, climate and mineralogy (Mathieu et al., 2015) and the range of situations 505 we covered here is relatively limited. 506
- 507

508 4 conclusion

ORCHIDEE-SOM-¹⁴C, is one of the first land surface models that incorporates the ¹⁴C 509 dynamics in the soil (Koven et al., 2013). Its starting point is ORCHIDEE-SOM, a recently 510 developed soil model. We evaluated the new model ORCHIDEE-SOM-¹⁴C for four sites in 511 different biomes. The model almost managed to reproduce the soil organic carbon stocks and 512 the ¹⁴C content along the vertical profiles at all four sites. However, an overestimation of the 513 total carbon stock throughout the profile was noted, with the greatest deviationat the surface. 514 By using radiocarbon (¹⁴C) measurements, we have been able to diagnose internal model 515 biases (underestimation of deep soil carbon age) and to propose further model improvements 516 (depth-dependent diffusion). These results demonstrate the importance of depth-dependent 517

diffusion to improving model outputs with regards to observations. This suggests that, from 518 now on, model improvements should mainly focus on a depth dependent parameterization. 519 We limited our work here to depth-varying diffusion, but other parameters are also depth 520 dependent and should be represented as such in the next version of the model. For instance, 521 belowground litter production in the model is simply represented by an exponential law 522 without any representation of the effect of resource distribution on root profile (e.g. water or 523 nutrients). This is a complex task in a land surface model running at large scale with a 524 classical resolution of 0.5°, but the soil modules of land surface models are quite sensitive to 525 the NPP (Camino-Serrano et al., 2018; Todd-Brown et al., 2013) and a better constraint on the 526 profile of the below ground litter production would likely improve the model performance. 527 Furthermore, here we used only one averaged value over the soil profile for soil boundary 528 conditions (texture, pH, bulk density) but those variables are known to impact the F¹⁴C 529 (Mathieu et al., 2015) and change with depth (Barré et al., 2009) and depth-varying boundary 530 conditions may also help to improve the model. Finally, the next step will deal with the 531 comparison of model outputs to data at larger scales to be able to run the new version 532 ORCHIDEE-SOM-¹⁴C at both regional and global scales. 533

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- 538 Code availability
- 539 The version of the code is freely available here:
- 540 <u>http://forge.ipsl.jussieu.fr/orchidee/wiki/GroupActivities/CodeAvalaibilityPublication/ORCHI</u>
 541 <u>DEE_gmd-2018-14C</u>
- 542

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Table 1. General description of the studied sites. The mean bulk density, pH and clay fraction
values calculated from the different soil layers depths available from the data were used as
input for each site. For the Mons and Feucherolles sites, min and max values of pH and clay
fraction are provided between brackets.

Site name Feucherolles Mons Kissoko Misiones May 2014 May 2015 **Sampling Date** April 2011 March 2011 Location France France Congo Argentina Coordinates 48.90°N, 1.97°E 49.87°N, 4.35°S, 27.65°S, 55.42°W 3.03°E 11.75°E Elevation (m) 120 88 100 NA **Mean Annual** 660 680 1400 1850 Rainfall (mm) **Mean Annual** 11.2 11 25 20 Temperate (°C) Soil Type Luvisol Luvisol Arenosol Acrisol (WRB) Land Use Temperate broad-Grassland Tropical broad-Native leaved evergreen leaved summergreen savanna forest forest Mean **Bulk Density** 1.34 1.4 1.48 1.15 $(g cm^{-3})$ Mean pH 5.9 5.2 5.2 6.9 (5.12 - 8.55)(6.70-7.56)Mean Clay 20 % 23 % 5 % 58 % Fraction (%) (13-30 %) (19-27 %)

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733 **Table 2.** The main differences between the three simulations

	Flux from	Turnover time	Diffusion (m ² year ⁻¹)
	slow pool to	of the passive	
	passive pool	pool (year)	
Control	0.07	462	$D(z) = 1.10^{-4}$
He et al., (2016)	0.0049	6468	$D(z) = 1.10^{-4}$
parameterization			
Depth-varying diffusion	0.07	462	$D(z) = 5.42.10^{-4}e^{(-0.04z)}$
constant			

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Table 3. The correlation coefficient (r) between model outputs and measurements for carbon stock (kg C m⁻²) over the soil profile, for the four sites. The results of the initial version of the model ORCHIDEE-SOM-¹⁴C (Control) as well as those from the version including the modification according to (He et al., 2016) (He et al., (2016) parameterization) and diffusion varying according to the depth (Depth-varying diffusion constant) are provided.

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		r	Mean total soil carbon (kg C m ⁻²) Model	Mean total soil carbon (kg C m ⁻²) Measurements
Misiones	Control	0.44	2.03	
	He et al., (2016) parameterization	0.69	7.38	2.14±0.30
	Depth-varying diffusion constant	0.46	2.23	
Kissoko	Control	0.14	0.76	
	He et al., (2016) parameterization	0.55	2.44	0.42 ± 0.38
	Depth-varying diffusion constant	0.13	0.88	
Feucherolles	Control	0.20	0.70	
	He et al., (2016) parameterization	0.11	2.33	0.66 ± 0.08
	Depth-varying diffusion constant	0.22	0.77	
Mons	Control	0.49	2.37	
	He et al., (2016) parameterization	-0.14	9.99	0.8±0.10
	Depth-varying diffusion constant	0.48	2.42	

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Table 4. The correlation coefficient (r) between model outputs and measurements and the mean values (provided by the model and the measurements) over the profile according to $F^{14}C$ for the four sites. The results of the initial version of the model ORCHIDEE-SOM-¹⁴C (Control) as well as those from the version including the modification according to (He et al., 2016) (He et al., (2016) parameterization) and diffusion varying according to the depth (Depth-varying diffusion constant) are provided.

		r	Mean	Mean	
			Model	Measurements	
Misiones	Control	0.55	0.920		
	He et al., (2016) parameterization	0.50	0.560	0.930±0.009	
	Depth-varying diffusion constant	0.60	0.900		
Kissoko	Control	0.40	0.995		
	He et al., (2016) parameterization	0.30	0.620	0.985 ± 0.004	
	Depth-varying diffusion constant	0.55	0.995		
Feucherolles	Control	0.55	0.915		
	He et al., (2016) parameterization	0.55	0.550	0.725 ± 0.005	
	Depth-varying diffusion constant	0.60	0.890		
Mons	Control	0.75	0.860		
	He et al., (2016) parameterization	0.70	0.510	0.815±0.005	
	Depth-varying diffusion constant	0.80	0.835		

Sites	Soil depth (cm)	F ¹⁴ C
	0-5	1.08
	5-10	1.04
	10-15	1.05
	15-20	0.99
Misionas	20-30	0.99
WISIOIIES	30-40	0.87
	40-50	0.91
	50-60	0.76
	60-80	0.79
	80-100	0.79
	0-5	1.06
	5-10	1.07
	10-15	1.07
	15-20	1.08
	20-30	1.05
Kissoko	30-40	1.04
	40-50	1.02
	50-60	0.97
	60-80	0.90
	80-100	0.81
	100-120	0.72
	0-2	1.08
	16-18	1.05
	40-45	0.92
Feucherolles	75-85	0.69
	105-115	0.54
	125-135	0.53
	147-157	0.26
	0-2	1.02
	2-4	1.03
	18-20	1.03
Mons	45-50	0.87
INIOI15	60-65	0.71
	82-92	0.65
	102-112	0.64
	142-152	0.55

753	Table 5.	F ¹⁴ C profile obtained for each site.
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Figure 1. Overview of the different fluxes and processes in soil as presented in the version ofORCHIDEE-SOM adapted from Camino-Serrano et al. (2017).





Figure 2. Evolution of the $F^{14}C$ of atmospheric CO₂ in Argentina, Congo and France (data from Hua et al. 2013).





parameterization) and diffusion varying according to the depth (Depth-varying diffusionconstant) are shown.

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Figure 4. Mean Squared Deviation (MSD) and its components for total soil carbon

780 (kg C m⁻⁶): lack of correlation weighted by the standard deviation (LCS), squared difference

between standard deviations (SDSD) and the squared bias (SB). For the four sites, the results of the initial version of the model ORCHIDEE-SOM- 14 C (Control as well as those from the

version including the modification according to (He et al., 2016) (He et al., (2016)

- parameterization) and diffusion varying according to the depth (Depth-varying diffusion
- 785 constant), are shown.
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Figure 5. Modern fraction $F^{14}C$ according to the depth, for the four sites. The results of the initial version of the model ORCHIDEE-SOM-¹⁴C (Control) as well as those from the version including the modification according to He et al., (2016) (He et al., (2016) parameterization) and diffusion varying according to the depth (Depth-varying diffusion constant) are shown.

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Figure 6. Mean Squared Deviation (MSD) and its components: lack of correlation weighted by the standard deviation (LCS), squared difference between standard deviations (SDSD) and the squared bias (SB) calculated for modern fraction $F^{14}C$. For the four sites, the results of the initial version of the model ORCHIDEE-SOM-¹⁴C (Control) as well as those from the version including the modification according to He et al., (2016) (He et al., (2016) parameterization) and diffusion varying according to the depth (Depth-varying diffusion constant) are shown.

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Metabolic Litter
Structural Litter
Free DOC
Adsorbed DOC
Active Pool
Slow Pool
Passive Pool

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Figure 7. Relative proportion of each of the soil carbon pools summing the total soil carbon at each soil layer. The results of the initial version of the model ORCHIDEE-SOM-¹⁴C (Control, left pattern) as well as those from the version including the modification according to (He et al., 2016) (He et al., (2016) parameterization, pattern in the middle) and diffusion varying according to the depth (Depth-varying diffusion constant, right pattern) are shown.