



1 **^{231}Pa and ^{230}Th in the ocean model of the Community Earth System Model**
2 **(CESM1.3)**

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10 Abstract

11 Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is emerging as an important proxy for
12 deep ocean circulation in the past. In order to allow for a direct model-data
13 comparison and to improve our understanding of sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity
14 ratio, we implement ^{231}Pa and ^{230}Th in the ocean component of the Community
15 Earth System Model (CESM). In addition to the biotic ^{231}Pa and ^{230}Th that is fully
16 coupled with the active marine ecosystem module, another form of abiotic ^{231}Pa and
17 ^{230}Th have also been implemented with prescribed particle flux fields of the present
18 climate. The comparison of the two forms of ^{231}Pa and ^{230}Th helps to isolate the
19 influence of the particle fluxes from that of circulation. Under present day climate
20 forcing, our model is able to simulate water column ^{231}Pa and ^{230}Th activity and
21 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in good agreement with available observations.
22 For past climate, our model is able to simulate a comparable magnitude of the
23 change of sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio between the state with and without
24 active AMOC in reconstruction. In addition, in hosing experiments, the biotic and
25 abiotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratios behave similarly over large areas of low
26 productivity, but can differ substantially in some regions of high productivity,
27 indicating the importance of biological productivity in addition to physical
28 circulation. Therefore, our model provides a potentially powerful tool to help our
29 interpretation of sediment $^{231}\text{Pa}/^{230}\text{Th}$ reconstructions and to improve our
30 understanding of past ocean circulation and climate changes.

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33 **1. Introduction**

34 Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio has been used as a proxy to reconstruct
35 ocean circulation in the past (e.g. Yu et al. 1996; McManus et al. 2004; Gherardi et al.
36 2009). ^{231}Pa (32.5 ka half-life) and ^{230}Th (75.2 ka half-life) are produced at a
37 constant rate approximately uniformly in the ocean by the α decay of ^{235}U and ^{234}U ,
38 respectively, with a production activity ratio of 0.093 (Henderson and Anderson,
39 2003). Water column ^{231}Pa and ^{230}Th are subject to particle scavenging and
40 transport to sediments (Bacon and Anderson, 1982; Nozaki et al., 1987). Differential
41 scavenging efficiency results in different ocean residence time: ^{231}Pa has a residence
42 time of approximately 111 years and ^{230}Th has a residence time of approximately 26
43 years (Yu et al., 1996). Longer residence time of ^{231}Pa than ^{230}Th makes ^{231}Pa more
44 subject to ocean transport and therefore in modern ocean about 45% of ^{231}Pa
45 produced in the Atlantic is transported to the Southern Ocean (Yu et al., 1996),
46 resulting a lower than 0.093 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in the North Atlantic
47 and higher than 0.093 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in the Southern Ocean.

48 The application of the principle above to interpret sediment $^{231}\text{Pa}/^{230}\text{Th}$ as
49 the strength of overturning circulation, however, can be complicated by other
50 factors, leading to uncertainties in using $^{231}\text{Pa}/^{230}\text{Th}$ as a tracer for paleocirculation
51 (Keigwin and Boyle, 2008; Lippold et al., 2009; Scholten et al., 2008). In addition to
52 ocean transport, sediment $^{231}\text{Pa}/^{230}\text{Th}$ is also influenced by particle flux and
53 composition (Chase et al., 2002; Geibert and Usbeck, 2004; Scholten et al., 2008;
54 Siddall et al., 2007; Walter et al., 1997). The region of a higher particle flux tends to
55 have a higher $^{231}\text{Pa}/^{230}\text{Th}$ (Kumar et al., 1993; Yong Lao et al., 1992), which is
56 referred to as the “particle flux effect” (Siddall et al., 2005). High particle flux in the
57 water column in a region will favor the removal of isotopes into the sediment, which
58 leads to more isotopes transported into this region due to the down-gradient
59 diffusive flux into this region and subsequently more removal of isotopes into the
60 sediment. Since ^{231}Pa has a longer residence time, this effect is more prominent on
61 ^{231}Pa than on ^{230}Th and therefore sediment $^{231}\text{Pa}/^{230}\text{Th}$ will be higher in high
62 productivity regions. Also, opal is able to scavenge ^{231}Pa much more effectively than



63 ^{230}Th , leading to higher $^{231}\text{Pa}/^{230}\text{Th}$ in high opal flux regions such as the Southern
64 Ocean (Chase et al., 2002). Moreover, sediment $^{231}\text{Pa}/^{230}\text{Th}$ is suggested to record
65 circulation change only within 1000 m above the sediment, instead of the whole
66 water column, complicating the interpretation of sediment $^{231}\text{Pa}/^{230}\text{Th}$
67 reconstructions (Thomas et al., 2006). For example, sediment $^{231}\text{Pa}/^{230}\text{Th}$
68 approaching 0.093 during Heinrich Stadial event 1 (HS1) from the subtropical North
69 Atlantic is interpreted as the collapse of the Atlantic Meridional Overturning
70 Circulation (AMOC) (McManus et al., 2004). If sediment $^{231}\text{Pa}/^{230}\text{Th}$ only records
71 deepest water mass, it is possible that during HS1, AMOC shoals, as opposed to fully
72 collapse, yet an increase of deep water imported from the Southern Ocean featuring
73 high $^{231}\text{Pa}/^{230}\text{Th}$ can increase the sediment $^{231}\text{Pa}/^{230}\text{Th}$ approaching the production
74 ratio (0.093) (Thomas et al., 2006). All these suggest the importance of
75 incorporating ^{231}Pa and ^{230}Th into climate models for a direct model-data
76 comparison for a thorough understanding of sediment $^{231}\text{Pa}/^{230}\text{Th}$ as well as past
77 ocean circulation.

78 ^{231}Pa and ^{230}Th have been simulated in previous modeling studies (Dutay et
79 al., 2009; Henderson et al., 1999; Marchal et al., 2000; Siddall et al., 2005). Here we
80 follow the scheme of Siddall et al., (2005) to implement ^{231}Pa and ^{230}Th into the
81 Community Earth System Model (CESM). Siddall et al., (2005) uses prescribed
82 particle fluxes. Our model ^{231}Pa and ^{230}Th are coupled to a marine ecosystem model
83 (biotic) and therefore can be used to study the impact of ecosystem change on ^{231}Pa
84 and ^{230}Th directly. To help to understand the influence of the particle flux, we have
85 also implemented an abiotic version of ^{231}Pa and ^{230}Th , for which the particle fluxes
86 are prescribed. By comparing the abiotic ^{231}Pa and ^{230}Th with the biotic ^{231}Pa and
87 ^{230}Th , we will be able to separate the effect of circulation change from particle field
88 change. In addition, the abiotic ^{231}Pa and ^{230}Th can be run without the marine
89 ecosystem module, reducing computational cost by a factor of 3 in the ocean-alone
90 model simulation and therefore making it a computationally efficient tracer for
91 sensitivity studies.

92 This paper describes the details of ^{231}Pa and ^{230}Th in CESM and serves as a
93 reference for future studies using this tracer module. In section 2, we describe the



94 model and the implementation of ^{231}Pa and ^{230}Th . In sections 3, we describe the
95 experimental design. We will finally compare simulated ^{231}Pa and ^{230}Th fields with
96 observations, show model sensitivities on the parameter and also sediment
97 $^{231}\text{Pa}/^{230}\text{Th}$ ratio response to freshwater forcing in Section 4.

98

99 **2. Model Description**

100 2.1 Physical Ocean Model

101 We implement ^{231}Pa and ^{230}Th in the ocean model (Parallel Ocean Program
102 version 2, POP2) (Danabasoglu et al., 2012) of CESM (Hurrell et al., 2013). CESM is a
103 state-of-the-art coupled climate model and studies describing model components
104 and analyzing results can be found in a special collection in Journal of Climate
105 (<http://journals.ametsoc.org/topic/ccsm4-cesm1>). We run the ocean-alone model,
106 which is coupled to data atmosphere, land, ice and river runoff under the normal
107 year forcing of CORE-II data (Large and Yeager, 2008), using the low-resolution
108 version of POP2 with a nominal 3° horizontal resolution and 60 vertical layers.

109

110 2.2 Biogeochemical component (BGC)

111 POP2 has incorporated a marine ecosystem module that simulates biological
112 variables (Moore et al., 2013). The marine ecosystem module has been validated
113 against present day observations extensively (Doney et al., 2009; Long et al., 2013;
114 Moore and Braucher, 2008; Moore et al., 2002, 2004). The implementation of ^{231}Pa
115 and ^{230}Th requires four particle fields: CaCO_3 , opal, particulate organic carbon (POC)
116 and dust. These particle fields can be obtained from the ecosystem driver from the
117 ecosystem module (Jahn et al., 2015). The ecosystem module simulates the particle
118 fluxes in reasonable agreement with the present day observations. The pattern and
119 magnitude of the annual mean particle fluxes (CaCO_3 , opal, POC) leaving the
120 euphotic zone at 105m are similar to the satellite observations (Fig. 7.2.5 and 9.2.2
121 in Sarmiento and Gruber 2006) (Fig. 1 a~c): particle fluxes are higher in the high
122 productivity regions such as high latitudes and equatorial Pacific; opal flux is high in
123 the Southern Ocean. For ocean-alone experiments, atmospheric dust deposition to
124 the surface ocean is prescribed from Luo et al. (2003) (Fig. 1d).



125

126 2.3 ^{231}Pa and ^{230}Th implementation

127 Two forms of ^{231}Pa and ^{230}Th are implemented in POP2: abiotic and biotic.
128 Abiotic ^{231}Pa and ^{230}Th use particle fluxes prescribed as annual mean particle fluxes
129 from the CESM marine ecosystem module under present day climate forcing (Fig.1).
130 Biotic ^{231}Pa and ^{230}Th use particle fluxes computed simultaneously from the marine
131 ecosystem module. Abiotic and biotic ^{231}Pa and ^{230}Th can be turned on at the case
132 build time and the biotic ^{231}Pa and ^{230}Th requires the ecosystem module turned on
133 at the same time.

134 The implementation of ^{231}Pa and ^{230}Th is based on Siddall et al., (2005)
135 (Eq.(3)). ^{231}Pa and ^{230}Th are produced from the α decay of ^{235}U and ^{234}U uniformly
136 everywhere at constant rate β^i ($\beta^{\text{Pa}} = 2.33 \cdot 10^{-3} \text{ dpm m}^{-3} \text{ yr}^{-1}$, $\beta^{\text{Th}} = 2.52 \cdot 10^{-2} \text{ dpm m}^{-3}$
137 yr^{-1}). ^{231}Pa and ^{230}Th are subjective to radioactive decay with the decay constant of
138 λ^i ($\lambda^{\text{Pa}} = 2.13 \cdot 10^{-5} \text{ yr}^{-1}$, $\lambda^{\text{Th}} = 9.22 \cdot 10^{-6} \text{ yr}^{-1}$). In addition to ocean transport, which
139 includes advection, convection, and diffusion, another important process
140 contributes to ^{231}Pa and ^{230}Th activity is the reversible scavenging (term
141 $w_s \frac{\partial A_p^i}{\partial z}$ in Eq. (3)) by sinking particles with a sinking velocity w_s , which describes
142 the adsorption of isotopes onto sinking particles and desorption after the
143 dissolution of particles (Detailed vertical differentiation scheme to calculate this
144 term in the model is in the supplementary material). This process transports ^{231}Pa
145 and ^{230}Th downward and leads to a general increase of ^{231}Pa and ^{230}Th activity with
146 depth. We don't differentiate between particle sizes as in Dutay et al., (2009) and
147 consider all particles as slowly sinking small particles with sinking velocity of
148 $w_s = 1000 \text{ m yr}^{-1}$ (Arsouze et al., 2009; Dutay et al., 2009; Kriest, 2002). The
149 reversible scavenging considers total isotope activity (A_t^i) as two categories:
150 dissolved isotopes (A_d^i) and particulate isotopes (A_p^i) (superscript $i=1$ and 2 refers
151 to ^{231}Pa and ^{230}Th , respectively) as in Eq. (1) and assumes these two phases are in
152 equilibrium, which is a reasonable assumption in the open ocean (Bacon and
153 Anderson, 1982; Henderson et al., 1999; Moore and Hunter, 1985; Roy-Barman et
154 al., 1996). Any particulate isotopes at the ocean bottom layer are removed from the



155 ocean as sediment. The ratio between the particulate isotope activity and the
156 dissolved isotope activity is set by a partition coefficient, K (Eq. (2)), where C_j is the
157 ratio of particle concentration to the density of seawater (1024.5 kg m^{-3}). Subscript j
158 refers to different particle types (CaCO_3 , opal, POC and dust). The reversible
159 scavenging scheme applied here is the same as the neodymium implementation in
160 POP2 (Gu et al., 2017).

$$161 \quad A_t^i = A_d^i + A_p^i \quad (1)$$

$$162 \quad K_j^i = \frac{A_{j,p}^i}{A_{j,d}^i C_j} \quad (2)$$

163

164 Therefore, the conservation equation for ^{231}Pa and ^{230}Th activity can be
165 written as

$$166 \quad \frac{\partial A_t^i}{\partial t} = \beta^i - \lambda^i A_t^i - w_s \frac{\partial A_p^i}{\partial z} + \text{Transport} \quad (3)$$

167 where A_p^i can be calculated from Eq. (4) below by combining Eq. (1) and Eq. (2).

$$168 \quad A_p^i = A_t^i \cdot \left(1 - \frac{1}{1 + K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal} + K_{dust}^i \cdot R_{dust}} \right) \quad (4)$$

169

170

171 3. Experiments

172 We run a control experiment (CTRL) and two experiments with different
173 partition coefficients to show model sensitivity. We have both abiotic and biotic
174 ^{231}Pa and ^{230}Th in CTRL, but only show abiotic ^{231}Pa and ^{230}Th in sensitivity
175 experiments. Equilibrium partition coefficients for ^{231}Pa and ^{230}Th vary among
176 different particle types and the magnitude of the partition coefficients for different
177 particle types remains uncertain (Chase and Robert F, 2004; Chase et al., 2002; Luo
178 and Ku, 1999). Since the control experiment in Siddall et al., (2005) is able to
179 simulate major features of ^{231}Pa and ^{230}Th distributions, we use the partition
180 coefficients from the control experiment in Siddall et al., (2005) in our CTRL (Table



181 1). Two sensitivity experiments are performed with decreased (EXP_1) and
182 increased (EXP_2) partition coefficients by a factor of 5 (Table 1).

183 All the experiments are ocean-alone experiments with the normal year
184 forcing by CORE-II data (Large and Yeager, 2008). The ^{231}Pa and ^{230}Th activities are
185 initiated from 0 in CTRL and are integrated for 2,000 model years until equilibrium
186 is reached. EXP_1 and EXP_2 are initiated from 1,400 model year of CTRL and are
187 integrated for another 800 model years to reach equilibrium.

188 To test how sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio responds to the change of AMOC, we
189 carried out a fresh water perturbation experiment (HOSING) with both abiotic and
190 biotic ^{231}Pa and ^{230}Th . Starting from 2,000 model year of CTRL, a freshwater flux of 1
191 Sv is imposed over the North Atlantic region of $50^\circ\text{N}\sim 70^\circ\text{N}$ and the experiment is
192 integrated for 1400 model years until both abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$
193 ratio have reached quasi-equilibrium. The partition coefficients used in HOSING are
194 the same as in CTRL.

195

196 4. Results

197 4.1 Control Experiment

198 Abiotic and biotic version of ^{231}Pa and ^{230}Th in CTRL show identical results.
199 Abiotic and biotic dissolved and particulate ^{231}Pa and ^{230}Th in CTRL are highly
200 correlated with each other (Fig. 2e-h) with correlations larger than 0.995 and
201 regression coefficients are all near 1.0 ($R^2>0.995$) (Fig. 2e-h). The correlation
202 coefficient between abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratios in CTRL is
203 0.99 (N=7879 points) and the regression coefficient between them is 0.9 ($R^2=0.98$)
204 (Fig. 4a). This is expected because the particle fields used in abiotic version are the
205 climatology of the particle fields used in the biotic version for the present day.
206 Therefore, under the same climate forcing, abiotic and biotic version of ^{231}Pa and
207 ^{230}Th should be very similar. For the discussion of results in CTRL below, we only
208 discuss the abiotic ^{231}Pa and ^{230}Th .

209 The residence time of both ^{231}Pa and ^{230}Th in CTRL are comparable with
210 observations. Residence time in CTRL is 118 yr for ^{231}Pa and 33 yr for ^{230}Th (Table



211 1), which are of the same magnitude as 111 yr for ^{231}Pa and 26 yr for ^{230}Th in
212 observation (Yu et al., 1996).

213 CTRL can simulate the general features of the water column ^{231}Pa and ^{230}Th
214 activities. Both dissolved and particulate activities for ^{231}Pa and ^{230}Th increase with
215 depth in CTRL except in the regions of deep water formation, as shown in the zonal
216 mean Atlantic dissolved and particulate ^{231}Pa and ^{230}Th activities (Fig. 2a-d). The
217 dissolved and particulate ^{231}Pa and ^{230}Th activities are also at the same order of
218 magnitude as in observations (Colley et al., 1995; Luo et al., 2010; Mangini and Key,
219 1983; Moran et al., 1997, 2002; Rutgers van der Loeff and Berger, 1993; VOGLER et
220 al., 1998; Walter et al., 1997). Our CTRL shows similar results as in Siddall et al.,
221 (2005) (their Fig. 2). The patterns of dissolved ^{231}Pa and ^{230}Th activities are similar
222 except in the Southern Ocean, where high opal flux effectively removes ^{231}Pa to
223 sediment.

224 A more quantitative model-data comparison is shown in Fig. 3. The
225 correlation between model and observations are significant at 0.01 confidence level
226 for all dissolved and particulate ^{231}Pa and ^{230}Th : $[\text{}^{231}\text{Pa}]_d$ correlation is 0.65, $[\text{}^{230}\text{Th}]_d$
227 correlation is 0.73, $[\text{}^{231}\text{Pa}]_p$ correlation is 0.33 and $[\text{}^{230}\text{Th}]_p$ correlation is 0.62. The
228 linear regression coefficient, an indication of model ability to simulate ^{231}Pa and
229 ^{230}Th activity (Dutay et al., 2009), is near 1.0 for dissolved ^{231}Pa and ^{230}Th (1.14 for
230 $[\text{}^{231}\text{Pa}]_d$ and 1.04 for $[\text{}^{230}\text{Th}]_d$), suggesting that CTRL can simulate the dissolved ^{231}Pa
231 and ^{230}Th in good agreement with observations. However, the simulation of the
232 particulate activity is not as good as the dissolved activity. Particulate activity is
233 overall somewhat smaller than observations in the surface ocean and larger than
234 observation in the deep ocean for both dissolved ^{231}Pa and ^{230}Th . The regression
235 coefficient for particulate ^{231}Pa and ^{230}Th is 0.14 for $[\text{}^{231}\text{Pa}]_p$ and 0.42 for $[\text{}^{230}\text{Th}]_p$
236 (Fig. 3). This is also similar in previous modeling studies (Dutay et al., 2009; Siddall
237 et al., 2005). One may think the performance of simulating $[\text{}^{231}\text{Pa}]_p$ and $[\text{}^{230}\text{Th}]_p$ can
238 be improved by tuning model parameter: partition coefficient k . However, under
239 current modeling scheme, changing partition coefficient k will have limited
240 influenced on $[\text{}^{231}\text{Pa}]_p$ and $[\text{}^{230}\text{Th}]_p$, which will be discussed in section 4.2.



241 The sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratios in CTRL is overall consistent with
242 observations (Anderson et al., 1983, 1990, 1994; Bacon and Rosholt, 1982; Francois
243 et al., 1993; Frank, 1996; Frank et al., 1994; Ku et al., 1972; Müller and Mangini,
244 1980; Schmitz et al., 1986; Scholten et al., 1995; Shimmield and Price, 1988;
245 Shimmield et al., 1986; Walter et al., 1997; Yang et al., 1986; Yong Lao et al., 1992;
246 Yong-Liang Yang et al., 1995; Yu et al., 1996). The North Atlantic shows low
247 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio as in observations because ^{231}Pa is more subject
248 to transport to the Southern Ocean by active ocean circulation than ^{230}Th . The
249 Southern Ocean maximum in the sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is also
250 simulated in CTRL, which is caused by high opal fluxes in the Southern Ocean
251 preferentially removes ^{231}Pa into sediment ($K_{opal}^{231Pa} > K_{opal}^{230Th}$), as well as upwelling
252 in the Southern Ocean brings up deep water enriched with ^{231}Pa , which is
253 transported from the North Atlantic, to shallower depth and further contribute to
254 the scavenging. CTRL can also produce higher sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in
255 regions with high particle production (e.g. the Eastern equatorial Pacific, the North
256 Pacific and the Indian Ocean) due to the “particle flux effect”. However, this particle
257 flux effect is less effective in the North Atlantic than in the North Pacific and the
258 Indian Ocean due to active deep ocean circulation transporting ^{231}Pa southward in
259 the Atlantic (Yu et al., 1996).

260

261 4.2 Sensitivity on partition coefficient K

262 In this section, we show model sensitivity on partition coefficient by
263 increasing and decreasing the partition coefficient, K, by a factor of 5, but keep the
264 relative ratio for different particles the same (Table 1). Our model shows similar
265 sensitivity to that in Siddall et al., (2005) as discussed below.

266 Increasing K will decrease water column dissolved ^{231}Pa and ^{230}Th activities
267 but won't change particulate ^{231}Pa and ^{230}Th too much (Fig. 5). Larger K will lead to
268 more ^{231}Pa and ^{230}Th attached to particles and further buried into sediment, which
269 increases the sink for the ^{231}Pa and ^{230}Th budget. With the sources for ^{231}Pa and
270 ^{230}Th staying the same, dissolved ^{231}Pa and ^{230}Th will be reduced. Increasing K will



271 also reduce the vertical gradient of dissolved ^{231}Pa and ^{230}Th as reversible
272 scavenging act as the vertical transport and increase this vertical transport can
273 decrease the vertical gradient. However, change in the particulate ^{231}Pa and ^{230}Th
274 is small. As stated in Siddall et al., (2005), if we neglect the transport term and the
275 decay term in Eq. (3) and assume particulate phase activity at the surface as 0, when
276 reach equilibrium, the activity of particulate phase will be as in Eq. (5). The
277 particulate phase activity only depends on the production rate, the particle settling
278 velocity and depth. The particulate phase activity will increase linearly with depth
279 and any departure from this linear relationship with depth is due to ocean
280 transport, which is suggested by observations (Bacon and Anderson, 1982; Roy-
281 Barman et al., 1996). Therefore, changing K will have limited influence on
282 particulate phase activity.

$$283 \quad A_p^i(z) = \frac{\beta^i}{w_s} \cdot z \quad (5)$$

284

285 Increasing K will also reduce the spatial gradient in sediment $^{231}\text{Pa}/^{230}\text{Th}$
286 activity ratio and vice versa (Fig. 6). Larger K will decrease the ^{231}Pa and ^{230}Th
287 residence time and most isotopes produced in the water column are removed into
288 sediment locally (Table 1). Therefore, sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio becomes more
289 homogeneous and approaching the production ration of 0.093 (Fig. 6b). The
290 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in EXP_1 and EXP_2 departures from
291 observations significantly, suggesting the partition coefficient in CTRL is of the right
292 magnitude.

293

294 4.3. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in HOSING

295 With the AMOC collapsing, the $^{231}\text{Pa}/^{230}\text{Th}$ ratio tends to increase over most
296 of the North Atlantic, consistent with paleo proxy evidence there. In HOSING, after
297 applying extra freshwater to the North Atlantic, AMOC strength quickly decreases to
298 a minimum of 2 Sv at around year 300 (AMOC_off)(Fig. 7a). During the AMOC_off
299 state, compared with CTRL of active AMOC (AMOC_on), both abiotic and biotic



300 sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio shows an overall increase in the North Atlantic and a
301 decrease in the South Atlantic (Fig. 8b and d) because of the reduced southward
302 transport of ^{231}Pa from the North Atlantic by AMOC. In most area of the Atlantic, the
303 evolution of abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in HOSING are
304 highly correlated (Fig. 9a). The change of sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio from AMOC_on
305 to AMOC_off are similar in abotic and biotic version (Fig.9b). The correlation
306 between abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio change is 0.72 (1455points)
307 and the linear regression coefficient is 0.71 ($R^2 = 0.52$). This suggests that abiotic
308 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio can capture the major feature of biotic
309 $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in our model and also circulation effect on sediment
310 $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is more dominant than the biological effect in HOSING. The
311 pattern of abiotic (Fig.8a) sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in the Atlantic in AMOC_off
312 state is similar to the opal distribution (Fig.1b) because, without active circulation,
313 sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio is more controlled by particle flux effect, which is similar
314 to the case in the Pacific in CTRL. The overall increase of sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio
315 in the North Atlantic in response to AMOC collapse can be seen more clearly in the
316 time evolution of the sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio averaged from 20°N to 60°N in the
317 North Atlantic in both the abiotic and biotic $^{231}\text{Pa}/^{230}\text{Th}$ (Fig.7b). Quantitatively, the
318 $^{231}\text{Pa}/^{230}\text{Th}$ increases from 0.074 (0.074) in AMOC_on to 0.098 (0.095) in AMOC_off
319 in the abiotic (biotic) version (Fig. 7b). Both abiotic and biotic version show average
320 sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in the North Atlantic near the production ratio of 0.093.
321 This increase of $^{231}\text{Pa}/^{230}\text{Th}$ in both abiotic and biotic versions is also seen in the
322 subtropical North Atlantic from the two sites near Bermuda Rise (Fig. 7e and f),
323 which is, of comparable magnitude with the change from LGM to HS1 in
324 reconstructions there (McManus et al., 2004). It is further noted that our abiotic
325 sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in HOSING behaves similarly to that in Siddall et al.,
326 (2007).

327 In spite of large scale patterns of sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio response, the
328 magnitude of the change between AMOC_on and AMOC_off varies with location in
329 both abiotic and biotic version because of the distribution of particle flux (Fig.7 and
330 8). Take the abiotic version as an example, the maximum increase in sediment



331 $^{231}\text{Pa}/^{230}\text{Th}$ ratio occurs near 40°N western Atlantic, where the opal production in
332 our model is maximum (Fig. 1b). The sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in this region in
333 AMOC_on is larger than production ratio of 0.093 because particle flux effect due to
334 the opal maximum provides extra ^{231}Pa to this region, which overwhelms the active
335 ocean circulation transporting ^{231}Pa southward outside this region. Therefore,
336 sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in this region gets even larger (e.g. Fig. 7d). In AMOC_off,
337 without active ocean circulation, the particle flux effect becomes more prominent
338 because less ^{231}Pa is transported out of the North Atlantic.

339 The responses of abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio to the collapse
340 of AMOC show similar behavior over most ocean region of low productivity but can
341 differ significantly in high productivity region because of the change of productivity.
342 Productivity in North Atlantic is suggested to be halved during AMOC collapse
343 because of increased stratification, which reduces nutrient supply from deep ocean
344 (Schmittner, 2005). In the CESM, the productivity in mid-latitude North Atlantic is
345 indeed greatly reduced after the freshwater forcing. For example, at year 100 in
346 HOSING, opal production from 30°N - 50°N in the Atlantic is reduced by 50%~90% of
347 its original value in CTRL (not shown). Therefore, in the first 100 years in HOSING,
348 most biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio show an initial decrease in the North Atlantic
349 from the subtropics to the mid-latitude (Fig.7 d, e, and f, red dash). In the subpolar
350 region, the productivity is increased in the model, leading to an initial increase of
351 biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio (Fig.7c). Furthermore, the detailed pattern of the
352 difference between AMOC_off and AMOC_on in sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio is
353 different. For example, the region (near 40°N west Atlantic), which has the
354 maximum increase from AMOC_on to AMOC_off in abiotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio
355 discussed above, shows a decrease in biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio (Fig. 7d and
356 Fig.8d) because there is no more opal maximum in this region in AMOC_off. A
357 detailed discussion of the difference between abiotic and biotic sediment
358 $^{231}\text{Pa}/^{230}\text{Th}$ ratio in different regions is beyond the scope of this paper. Overall, our
359 model is able to simulate the correct magnitude of the sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio
360 response to the change of AMOC, although the detailed difference between abiotic



361 and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio response to fresh water forcing in different
362 locations can be complicated.

363

364

365 **5. Summary**

366 ^{231}Pa and ^{230}Th have been implemented into the ocean model of the CESM in
367 both the biotic and abiotic forms. Our control experiment under present day climate
368 forcing is able to simulate both ^{231}Pa and ^{230}Th water column activity and sediment
369 $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio consistent with observations by using the parameters that
370 are suggested by Chase et al., (2002) and used in Siddall et al. (2005). Our sensitivity
371 experiments with varying parameters suggest that these parameters are of the right
372 magnitude. Furthermore, our model is able to simulate the overall sediment
373 $^{231}\text{Pa}/^{230}\text{Th}$ ratio change in the North Atlantic with a magnitude comparable to the
374 reconstruction in response to the collapse of AMOC, although the detailed regional
375 response can be complicated in different regions. Finally, the abiotic form is able to
376 capture many major features of that of the biotic form over large ocean areas,
377 although the two forms can also differ significantly in some regions, especially the
378 region with large productivity. Therefore, with both abiotic and biotic ^{231}Pa and
379 ^{230}Th , our model can serve as a useful tool to improve our understanding of the
380 processes of ^{231}Pa and ^{230}Th and also interpretations of sediment $^{231}\text{Pa}/^{230}\text{Th}$
381 reconstructions for past ocean circulation and climate changes.

382

383 **Code availability:**

384 The Nd isotope source code of both abiotic Nd and biotic Nd for CESM1.3 is included
385 as supplementary material here.

386

387

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394

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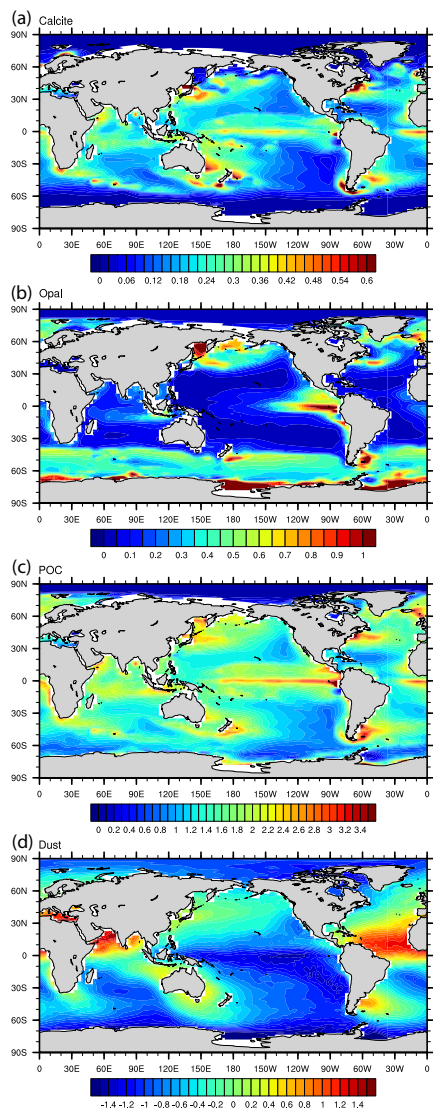
	CTRL		EXP_1		EXP_2	
	²³¹ Pa	²³⁰ Th	²³¹ Pa	²³⁰ Th	²³¹ Pa	²³⁰ Th
K_{CaCO_3}	$2.5 \cdot 10^5$	$1.0 \cdot 10^7$	$5 \cdot 10^4$	$2 \cdot 10^6$	$1.25 \cdot 10^6$	$5 \cdot 10^7$
K_{Opal}	$1.67 \cdot 10^6$	$5 \cdot 10^5$	$3.33 \cdot 10^5$	$1 \cdot 10^5$	$8.33 \cdot 10^6$	$2.5 \cdot 10^6$
K_{POC}	$1.0 \cdot 10^7$	$1.0 \cdot 10^7$	$2 \cdot 10^6$	$2 \cdot 10^6$	$5 \cdot 10^7$	$5 \cdot 10^7$
K_{dust}	0	0	0	0	0	0
T(yr)	118	33	501	143	27	9

658 Table 1. Partition coefficients for different particle types and residence time for
 659 ²³¹Pa and ²³⁰Th in different experiments.

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681 Figures:



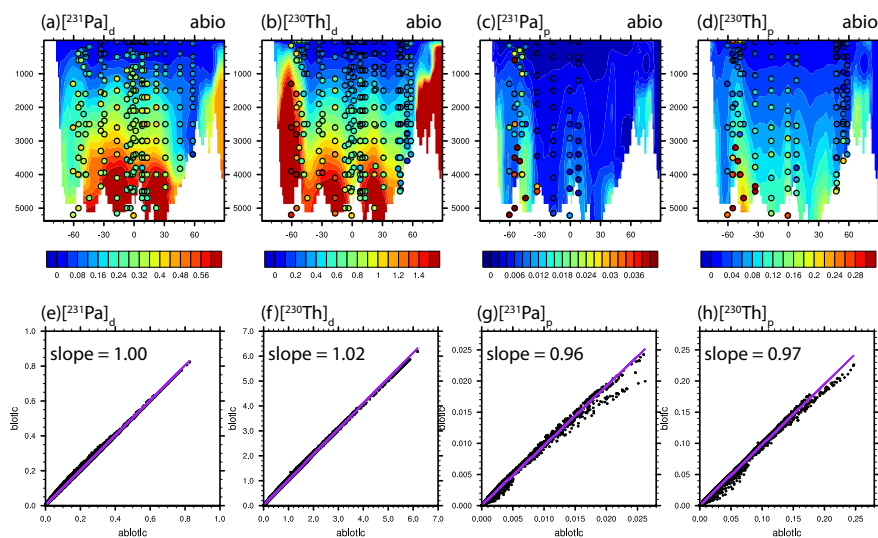
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683 Figure 1. Annual mean particle fluxes in CESM. (a) CaCO_3 flux at 105m ($\text{mol m}^{-2} \text{yr}^{-1}$).

684 (b) Opal flux at 105m ($\text{mol m}^{-2} \text{yr}^{-1}$). (c) POC flux at 105m ($\text{mol m}^{-2} \text{yr}^{-1}$).

685 (d) Log_{10} values of annual atmospheric dust deposition ($\text{g m}^{-2} \text{yr}^{-1}$).

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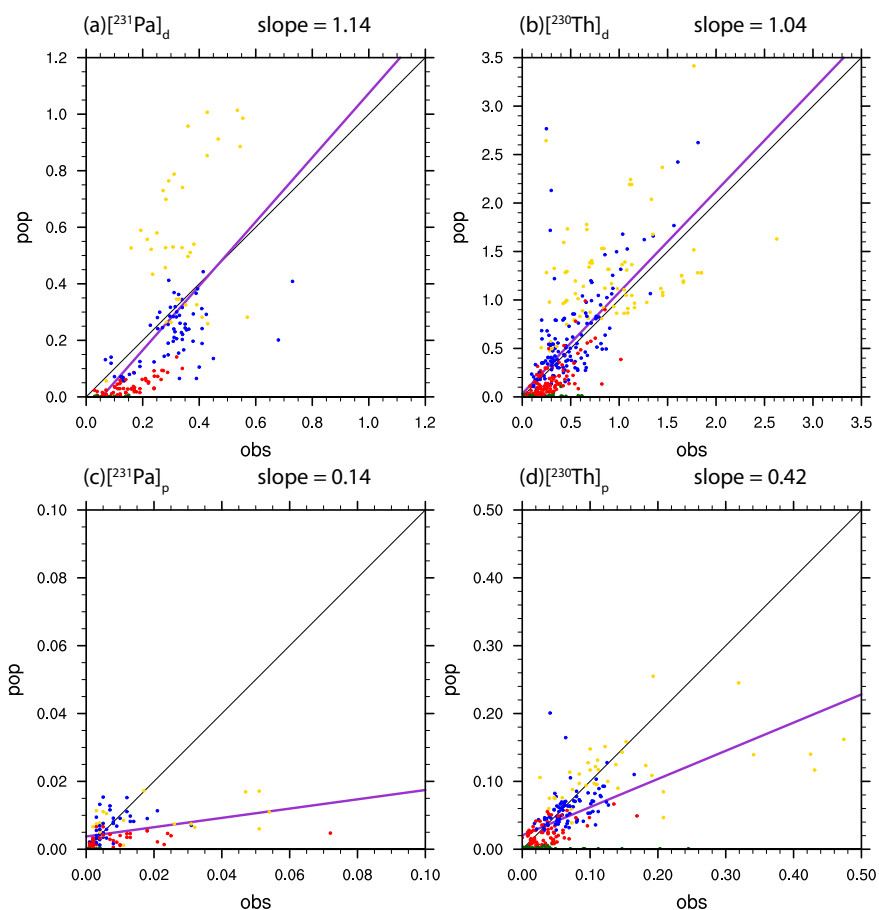
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689 Figure 2. Atlantic zonal mean dissolved and particulate abiotic ^{231}Pa and ^{230}Th in
690 CTRL (unit: dpm/m^3): (a) dissolved ^{231}Pa ; (b) dissolved ^{230}Th ; (c) particulate ^{231}Pa ;
691 (d) particulate ^{230}Th . Scatter plot of global dissolved and particulate ^{231}Pa and ^{230}Th
692 between abiotic and biotic in CTRL: (e) dissolved ^{231}Pa ; (f) dissolved ^{230}Th ; (g)
693 particulate ^{231}Pa ; (h) particulate ^{230}Th . Purple line is the least squared linear
694 regression line and slope is the linear regression coefficient.

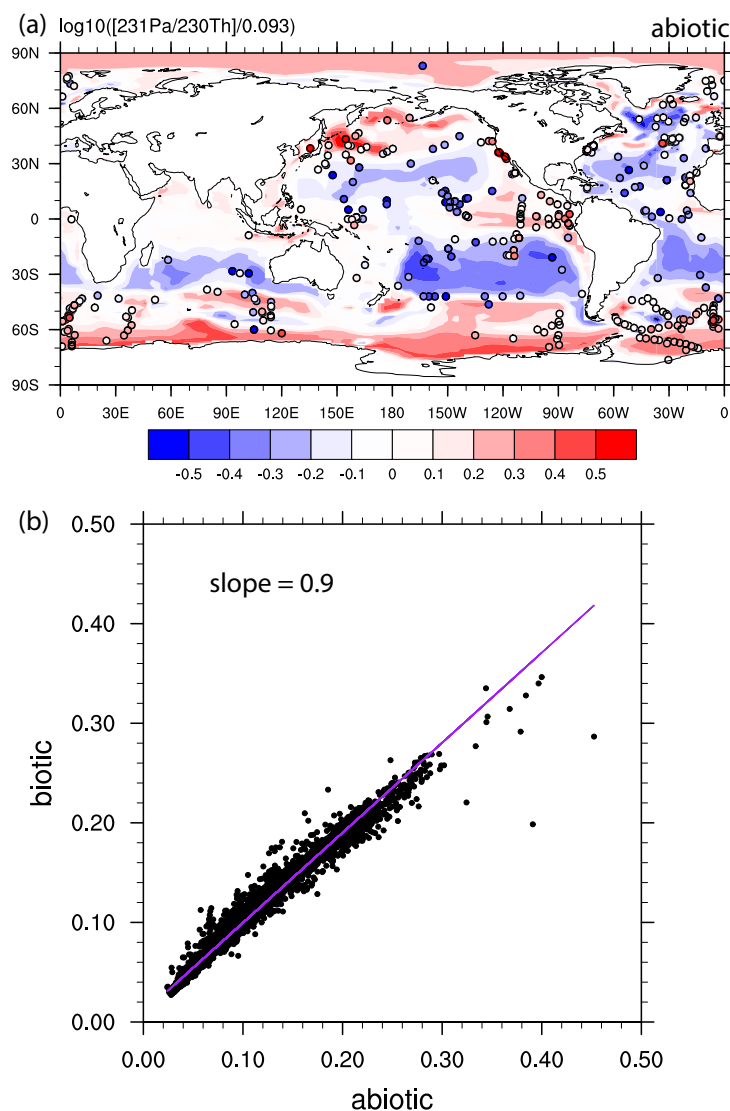
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698 Figure 3. Scatter plot of global dissolved and particulate ^{231}Pa and ^{230}Th between
699 observation and CTRL (abiotic) (unit: dpm/m^3). (a) dissolved ^{231}Pa ; (b) particulate
700 ^{231}Pa ; (c) dissolved ^{230}Th ; (d) particulate ^{230}Th . Observations in different depth
701 range are indicated by different colors: green for 0-100m; red for 100m-1000m;
702 blue for 1000m-3000m and yellow for deeper than 3000m. Purple line is the least
703 squared linear regression line and slope is the linear regression coefficient.

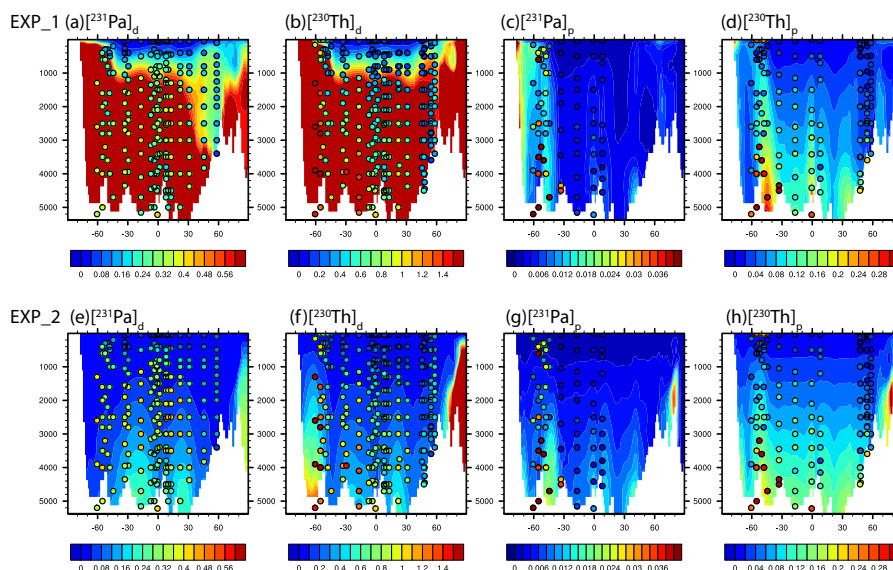


704

705 Figure 4. (a) Abiotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in CTRL Observations are
706 attached as filled cycles using the same color map. The $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is
707 plotted relative to the production ratio of 0.093 on a \log_{10} scale. (b) Scatter plot of
708 abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in CTRL. Purple line is the least
709 squared linear regression line and slope is the linear regression coefficient.

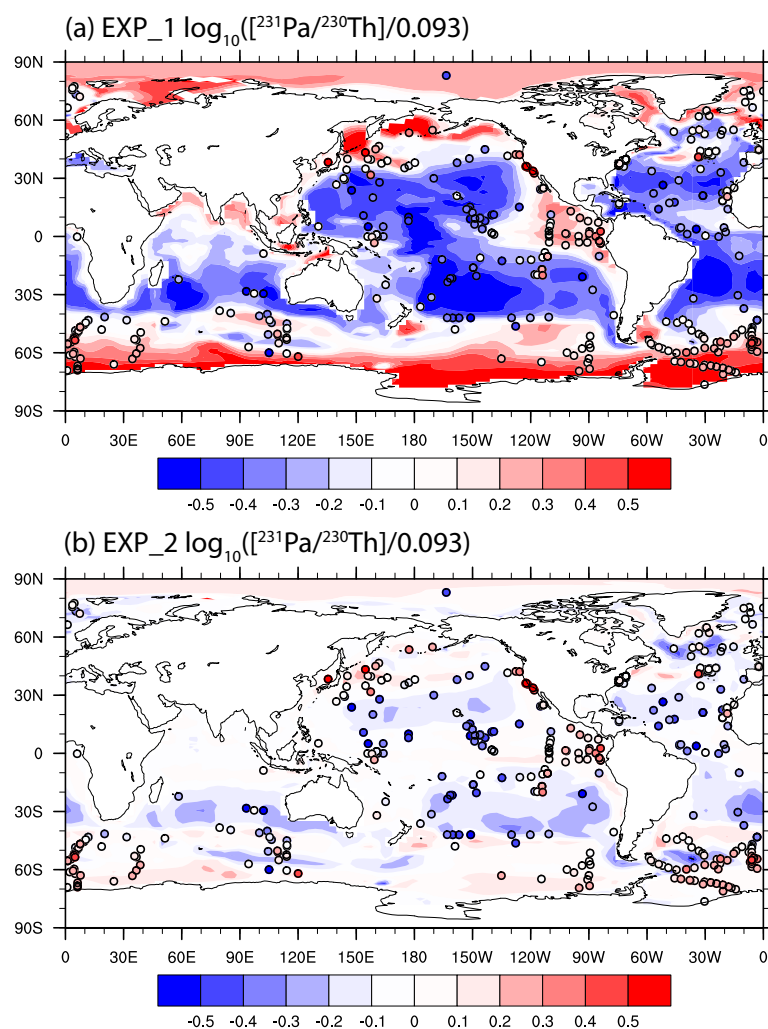


710 Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is calculated using $[\text{}^{231}\text{Pa}]_p/[\text{}^{230}\text{Th}]_p$ is the bottom
711 grid box in the model.
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Figure 5. Atlantic zonal mean dissolved and particulate ^{231}Pa and ^{230}Th in EXP_1 and EXP_2 (unit: dpm/m^3). EXP_1: (a) dissolved ^{231}Pa ; (b) dissolved ^{230}Th ; (c) particulate ^{231}Pa ; (d) particulate ^{230}Th . EXP_2: (e) dissolved ^{231}Pa ; (f) dissolved ^{230}Th ; (g) particulate ^{231}Pa ; (h) particulate ^{230}Th . Observations are attached as filled cycles using the same color map.



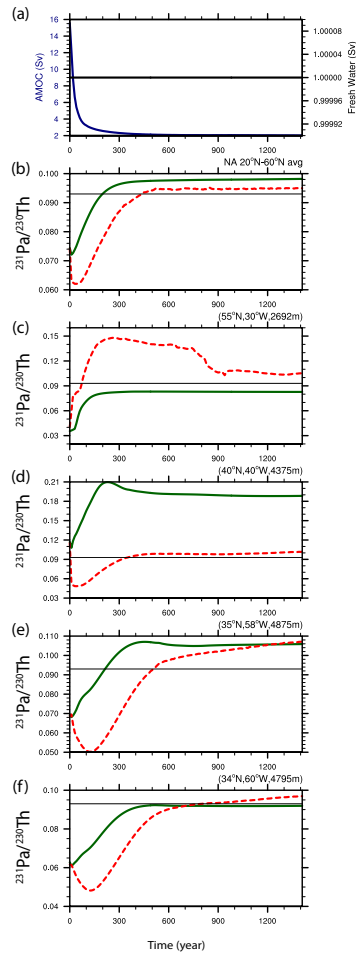
721

722 Figure 6. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in EXP_1 (a) and EXP_2 (b).

723 Observations are attached as filled cycles using the same color map. The $^{231}\text{Pa}/^{230}\text{Th}$

724 activity ratio is plotted relative to the production ratio of 0.093 on a \log_{10} scale.

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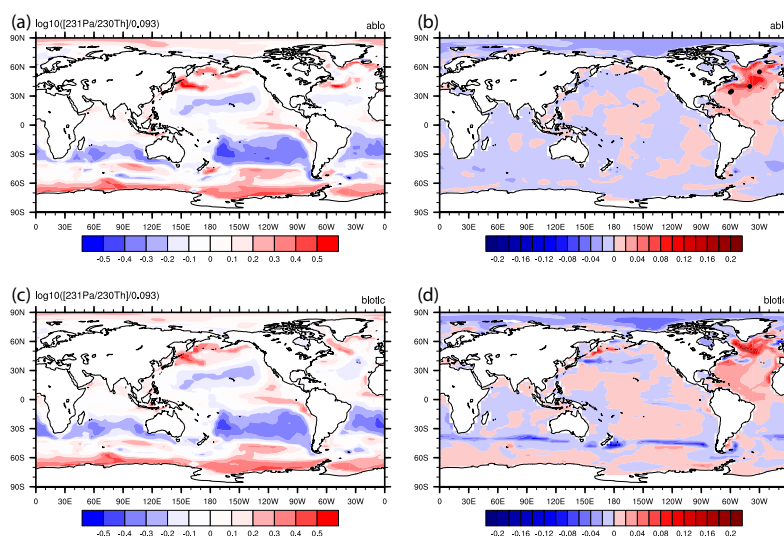
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728 Figure 7. Time evolutions in HOSING. (a) Freshwater forcing (black) and AMOC
729 strength (navy), which is defined as the maximum of the overturning
730 streamfunction below 500m in the North Atlantic. (b) North Atlantic average
731 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio from 20°N to 60°N: abiotic (green) and biotic
732 (red). Production ratio of 0.093 is indicated by a solid black line (similar in c, d, e
733 and f). (c) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (55°N, 30°W). (d) Sediment
734 $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (40°N, 40°W). (e) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at
735 (35°N, 58°W). (f) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (34°N, 60°W). (e) and (f) are
736 near Bermuda Rise. Locations of each site are shown as dots in Fig. 8b.



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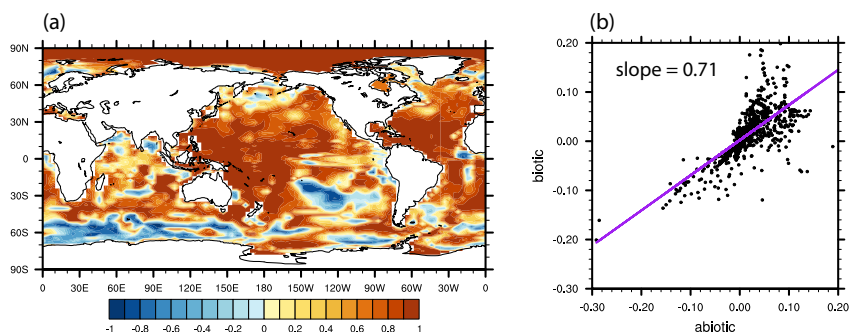
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740 Figure 8. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio during AMOC off state and the
741 difference between AMOC off and CTRL. (a) Abiotic $\log_{10}([^{231}\text{Pa}/^{230}\text{Th}]/0.093)$ in
742 AMOC_off. (b) Difference of abiotic sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio between
743 AMOC_off and AMOC_on. (c) and (d) are similar to (a) and (b) for biotic sediment
744 $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio. Black dots in (b) shows the locations of sites in Fig. 7 from
745 North to South.

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749 Figure 9. (a) Correlation of abiotic and biotic evolution of sediment $^{231}\text{Pa}/^{230}\text{Th}$
750 activity ratio in HOSING. (b) Scatter plot of abiotic and biotic sediment $^{231}\text{Pa}/^{230}\text{Th}$
751 activity ratio change from AMOC_on to AMOC_off in the Atlantic and the Southern
752 Ocean (70°W-20°E). Purple line is the least squared linear regression line and slope
753 is the linear regression coefficient.

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