1 <sup>231</sup>Pa and <sup>230</sup>Th in the ocean model of the Community Earth System Model

2 (CESM1.3)

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12 Abstract

13 Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio is emerging as an important proxy for 14 deep ocean circulation in the past. In order to allow for a direct model-data 15 comparison and to improve our understanding of sediment <sup>231</sup>Pa/<sup>230</sup>Th activity 16 ratio, we implement <sup>231</sup>Pa and <sup>230</sup>Th in the ocean component of the Community 17 Earth System Model (CESM). In addition to the fully coupled implementation of the scavenging behavior of <sup>231</sup>Pa and <sup>230</sup>Th with the active marine ecosystem module (p-18 19 coupled), another form of <sup>231</sup>Pa and <sup>230</sup>Th have also been implemented with 20 prescribed particle flux fields of the present climate (p-fixed). The comparison of the 21 two forms of <sup>231</sup>Pa and <sup>230</sup>Th helps to isolate the influence of the particle fluxes from 22 that of ocean circulation. Under present day climate forcing, our model is able to simulate water column <sup>231</sup>Pa and <sup>230</sup>Th activity and sediment <sup>231</sup>Pa/<sup>230</sup>Th activity 23 ratio in good agreement with available observations. In addition, in response to 24 25 freshwater forcing, the p-coupled and p-fixed sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratios 26 behave similarly over large areas of low productivity on long timescale, but can 27 differ substantially in some regions of high productivity and on short timescale, 28 indicating the importance of biological productivity in addition to ocean transport. 29 Therefore, our model provides a potentially powerful tool to help the interpretation of sediment <sup>231</sup>Pa/<sup>230</sup>Th reconstructions and to improve our understanding of past 30 31 ocean circulation and climate changes.

### 32 **1. Introduction**

33 Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio has been one major proxy for ocean 34 circulation in the past (e.g. Yu et al. 1996; McManus et al. 2004; Gherardi et al. 2009). <sup>231</sup>Pa (32.5 ka half-life) and <sup>230</sup>Th (75.2 ka half-life) are produced at a 35 36 constant rate approximately uniformly in the ocean by the  $\alpha$  decay of <sup>235</sup>U and <sup>234</sup>U, 37 respectively, with a production activity ratio of 0.093 (Henderson and Anderson, 38 2003). Water column <sup>231</sup>Pa and <sup>230</sup>Th are subject to particle scavenging and 39 transport to sediments (Bacon and Anderson, 1982; Nozaki et al., 1987). Different 40 scavenging efficiency results in different ocean residence time: <sup>231</sup>Pa has a residence 41 time of approximately 111 years and <sup>230</sup>Th has a residence time of approximately 26 42 years (Yu et al., 1996). Longer residence time of <sup>231</sup>Pa than <sup>230</sup>Th makes <sup>231</sup>Pa more 43 subject to ocean transport and therefore in the modern ocean about 45% of <sup>231</sup>Pa 44 produced in the Atlantic is transported to the Southern Ocean (Yu et al., 1996), 45 resulting a lower than 0.093 sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio in the North Atlantic 46 and higher than 0.093 sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio in the Southern Ocean.

47 The application of the principle above to interpret sediment <sup>231</sup>Pa/<sup>230</sup>Th as 48 the strength of Atlantic meridional overturning circulation (AMOC), however, can be 49 complicated by other factors, leading to uncertainties in using  $^{231}Pa/^{230}Th$  as a proxy 50 for past circulation (Keigwin and Boyle, 2008; Lippold et al., 2009; Scholten et al., 51 2008). In addition to the ocean transport, sediment  $^{231}Pa/^{230}Th$  is also influenced by 52 particle flux and composition (Chase et al., 2002; Geibert and Usbeck, 2004; 53 Scholten et al., 2008; Siddall et al., 2007; Walter et al., 1997). The region of a higher particle flux tends to have a higher <sup>231</sup>Pa/<sup>230</sup>Th (Kumar et al., 1993; Yong Lao et al., 54 1992), which is referred to as the "particle flux effect" (Siddall et al., 2005). Regional 55 56 high particle flux in the water column will favor the removal of isotopes into the 57 sediment, which leads to more isotopes transported into this region due to the 58 down-gradient diffusive flux and subsequently more removal of isotopes into the 59 sediment. Since <sup>231</sup>Pa has a longer residence time, this effect is more prominent on <sup>231</sup>Pa than on <sup>230</sup>Th and therefore sediment <sup>231</sup>Pa/<sup>230</sup>Th will be higher in high 60 61 productivity regions. Also, opal is able to scavenge <sup>231</sup>Pa much more effectively than 62  $^{230}$ Th, leading to higher  $^{231}$ Pa/ $^{230}$ Th in high opal flux regions such as the Southern

Ocean (Chase et al., 2002). Moreover, sediment <sup>231</sup>Pa/<sup>230</sup>Th is suggested to record 63 64 circulation change only within 1,000 m above the sediment, instead of the whole 65 water column, complicating the interpretation of sediment <sup>231</sup>Pa/<sup>230</sup>Th 66 reconstructions (Thomas et al., 2006). For example, sediment <sup>231</sup>Pa/<sup>230</sup>Th 67 approaching 0.093 during Heinrich Stadial event 1(HS1) from the subtropical North 68 Atlantic is interpreted as the collapse of AMOC (McManus et al., 2004). If sediment 69  $^{231}$ Pa/ $^{230}$ Th only records deepest water mass, it is possible that during HS1, AMOC 70 shoals, as opposed to a fully collapse, yet an increase of deep water imported from 71 the Southern Ocean featuring high <sup>231</sup>Pa/<sup>230</sup>Th can increase the sediment 72  $^{231}$ Pa/ $^{230}$ Th approaching the production ratio (0.093) (Thomas et al., 2006). 73 Therefore, it is important to incorporate <sup>231</sup>Pa and <sup>230</sup>Th into climate models for a 74 direct model-data comparison and to promote a thorough understanding of 75 sediment  ${}^{231}Pa/{}^{230}Th$  as well as past ocean circulation.

76 <sup>231</sup>Pa and <sup>230</sup>Th have been simulated in previous modeling studies (Dutay et 77 al., 2009; Luo et al., 2010; Marchal et al., 2000; Rempfer et al., 2017; Siddall et al., 78 2005). Marchal et al., (2000) simulates <sup>231</sup>Pa and <sup>230</sup>Th in a zonally averaged 79 circulation model, using the reversible scavenging model of Bacon and Anderson, 80 (1982). One step further, Siddall et al. (2005) extends Marchal et al., (2000) by 81 including particle dissolution with prescribed particle export production in a 3-D 82 circulation model. Rempfer et al., (2017) further couples <sup>231</sup>Pa and <sup>230</sup>Th with active 83 biogeochemical model and includes boundary scavenging and sediment 84 resuspensions to improve model performance in simulating water column <sup>231</sup>Pa and <sup>230</sup>Th activity. Here we follow previous studies to implement <sup>231</sup>Pa and <sup>230</sup>Th into the 85 Community Earth System Model (CESM). Our standard <sup>231</sup>Pa and <sup>230</sup>Th are coupled 86 87 with active marine ecosystem model ("p-coupled") and therefore is influenced by 88 both ocean circulation change and particle flux change. To help to understand the 89 influence of the particle flux, we have also implemented an auxiliary version of 90 <sup>231</sup>Pa and <sup>230</sup>Th ("p-fixed") for which the particle fluxes are fixed at prescribed values. Therefore, p-fixed  ${}^{231}$ Pa/ ${}^{230}$ Th is only influenced by ocean circulation change. 91 92 By comparing the p-fixed <sup>231</sup>Pa/<sup>230</sup>Th with the p-coupled <sup>231</sup>Pa/<sup>230</sup>Th, we will be 93 able to separate the effect of circulation change from particle flux change. In addition, the p-fixed <sup>231</sup>Pa and <sup>230</sup>Th can be run without the marine ecosystem
module, reducing computational cost by a factor of 3 in the ocean-alone model
simulation, making it a computationally efficient tracer for sensitivity studies.

97 This paper describes the details of <sup>231</sup>Pa and <sup>230</sup>Th in CESM and serves as a 98 reference for future studies using this tracer module. In section 2, we describe the 99 model and the implementation of <sup>231</sup>Pa and <sup>230</sup>Th. In sections 3, we describe the 100 experimental design. We will finally compare simulated <sup>231</sup>Pa and <sup>230</sup>Th fields with 101 observations, show model sensitivities on model parameter and also sediment 102 <sup>231</sup>Pa/<sup>230</sup>Th ratio response to freshwater forcing in Section 4.

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## 104 **2. Model Description**

### 105 2.1 Physical Ocean Model

106 We implement <sup>231</sup>Pa and <sup>230</sup>Th in the ocean model (Parallel Ocean Program 107 version 2, POP2) (Danabasoglu et al., 2012) of CESM (Hurrell et al., 2013). CESM is a 108 state-of-the-art coupled climate model and studies describing model components 109 and analyzing results can be found in a special collection in Journal of Climate 110 (http://journals.ametsoc.org/topic/ccsm4-cesm1). We run the ocean-alone model, which is coupled to data atmosphere, land, ice and river runoff under the normal 111 112 year forcing of CORE-II data (Large and Yeager, 2008), using the low-resolution 113 version of POP2 with a nominal 3° horizontal resolution and 60 vertical layers.

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## 115 2.2 Biogeochemical component (BGC)

116 CESM has incorporated a marine ecosystem module that simulates biological 117 variables (Moore et al., 2013). The marine ecosystem module has been validated 118 against present day observations extensively (e.g. Doney et al., 2009; Long et al., 119 2013; Moore et al., 2002, 2004; Moore and Braucher, 2008). The implementation of 120 <sup>231</sup>Pa and <sup>230</sup>Th requires particle fields: CaCO<sub>3</sub>, opal and particulate organic carbon 121 (POC). These particle fields can be obtained through the ecosystem driver from the 122 ecosystem module (Jahn et al., 2015). The ecosystem module simulates the particle 123 fluxes in reasonable agreement with the present-day observations. The pattern and 124 magnitude of the annual mean particle fluxes ( $CaCO_3$ , opal, POC) leaving the

125 euphotic zone at 105m are similar to the satellite observations (Fig. 7.2.5 and 9.2.2) 126 in Sarmiento and Gruber 2006) (Fig. 1  $a\sim c$ ): particle fluxes are higher in the high 127 productivity regions such as high latitudes and equatorial Pacific; opal flux is high in 128 the Southern Ocean. The remineralization scheme of particle is based on the ballast 129 model of Armstrong et al., (2002). Detailed parameterizations for particle 130 remineralization are documented in Moore et al., (2004) with temperature 131 dependent remineralization length scales for POC and opal. We do not consider dust because it is suggested to be unimportant for <sup>231</sup>Pa and <sup>230</sup>Th fractionation (Chase et 132 133 al., 2002; Siddall et al., 2005).

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135 2.3 <sup>231</sup>Pa and <sup>230</sup>Th implementation

136  $^{231}$ Pa and  $^{230}$ Th are produced from the  $\alpha$  decay of  $^{235}$ U and  $^{234}$ U uniformly 137 everywhere at constant rate  $\beta^i$  ( $\beta^{Pa} = 2.33*10^{-3}$  dpm m<sup>-3</sup> yr<sup>-1</sup>,  $\beta^{Th} = 2.52*10^{-2}$  dpm m<sup>-3</sup> 138 yr<sup>-1</sup>).  $^{231}$ Pa and  $^{230}$ Th are also subjective to radioactive decay with the decay 139 constant of  $\lambda^i$  ( $\lambda^{Pa} = 2.13*10^{-5}$  yr<sup>-1</sup>,  $\lambda^{Th} = 9.22*10^{-6}$  yr<sup>-1</sup>).

Another important process contributes to <sup>231</sup>Pa and <sup>230</sup>Th activity is the 140 141 reversible scavenging by sinking particles (Bacon and Anderson, 1982), which describes the adsorption of isotopes onto sinking particles and desorption after the 142 143 dissolution of particles. This process transports <sup>231</sup>Pa and <sup>230</sup>Th downward and 144 leads to a general increase of <sup>231</sup>Pa and <sup>230</sup>Th activity with depth. The reversible scavenging considers total isotope activity  $(A_t^i)$  as two categories (Eq. (1)): 145 dissolved isotopes  $(A_d^i)$  and particulate isotopes  $(A_n^i)$  (superscript i refers to <sup>231</sup>Pa 146 and <sup>230</sup>Th) and  $A_n^i$  is the sum of the isotopes associated with different particle types 147  $(A_{i,p}^{i})$  (subscript j refers to different particle types: CaCO<sub>3</sub>, opal and POC): 148

$$A_{t}^{i} = A_{d}^{i} + A_{p}^{i} = A_{d}^{i} + \sum_{j} A_{j,p}^{i}$$
<sup>(1)</sup>

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151 Dissolved and particulate isotopes are assumed to be in equilibrium, which is a 152 reasonable assumption in the open ocean (Bacon and Anderson, 1982; Henderson et al., 1999; Moore and Hunter, 1985). The ratio between the particulate isotopeactivity and the dissolved isotope activity is set by a partition coefficient, K (Eq. (2)):

$$K_j^i = \frac{A_{j,p}^i}{A_d^i \cdot R_j} \tag{2}$$

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157 , where  $R_j$  is the ratio of particle concentration ( $C_j$ ) to the density of seawater 158 (1024.5 kg m<sup>-3</sup>). Subscript j refers to different particle types (CaCO<sub>3</sub>, opal and POC). 159 Values of partition coefficient K used in our control simulation follows Chase et al., 160 2002 and Siddall et al., 2005 (Table 2).

Particulate isotopes  $(A_n^i)$  will be transported by sinking particles, which is 161 described by  $w_s \frac{\partial A_p^i}{\partial z}$  (Eq. (3)), where  $w_s$  is the sinking velocity. We don't 162 163 differentiate between slow sinking small particles and rapid sinking large particles 164 as in Dutay et al., (2009) and consider all particles as slowly sinking small particles with sinking velocity of  $w_s = 1000$  m yr<sup>-1</sup> (Arsouze et al., 2009; Dutay et al., 2009; 165 166 Kriest, 2002), which is similar to Rempfer et al., (2017) and Siddall et al., (2005). Any particulate isotopes  $(A_n^i)$  at the ocean bottom layer are removed from the 167 ocean as sediment, which is the sink for the isotope budget. Detailed vertical 168 169 differentiation scheme to calculate this term in the model is provided in the 170 supplementary material. The reversible scavenging scheme applied here is the same 171 as the neodymium implementation in POP2 (Gu et al., 2017).

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173 Therefore, the conservation equation for <sup>231</sup>Pa and <sup>230</sup>Th activity can be 174 written as

$$\frac{\partial A_t^i}{\partial t} = \beta^i - \lambda^i A_t^i - w_s \frac{\partial A_p^i}{\partial z} + Transport$$
(3),

176 where the total isotope activity is controlled by decay from U (first term), 177 radioactive decay (second term), reversible scavenging (third term) and physical 178 transport by the ocean model (fourth term, including advection, convection and 179 diffusion).  $A_p^i$  can be calculated by combining Eq. (1) and Eq. (2):

$$180 A_t^i = A_d^i + A_d^i \cdot (K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal})$$
  

$$181 = A_d^i \cdot (1 + K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal}),$$
(4)

182 which leads to

$$A_d^i = \frac{A_t^i}{1 + K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal}},$$
(5)

184 put this back to Eq. (1), we get

$$A_{p}^{i} = A_{t}^{i} \cdot \left(1 - \frac{1}{1 + K_{POC}^{i} \cdot R_{POC} + K_{CaCO_{3}}^{i} \cdot R_{CaCO_{3}} + K_{opal}^{i} \cdot R_{opal}}\right)$$
(6)

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187 Particle fields used in the reversible scavenging can be either prescribed or 188 simultaneously generated from the marine ecosystem module. Therefore, two forms 189 of <sup>231</sup>Pa and <sup>230</sup>Th are implemented in POP2: "p-fixed" and "p-coupled". P-fixed <sup>231</sup>Pa 190 and <sup>230</sup>Th use particle fluxes prescribed as annual mean particle fluxes generated 191 from the marine ecosystem module under present day climate forcing (Fig.1). P-192 coupled <sup>231</sup>Pa and <sup>230</sup>Th use particle fluxes computed simultaneously from the 193 marine ecosystem module. P-fixed and p-coupled <sup>231</sup>Pa and <sup>230</sup>Th can be turned on at the case build time and the p-coupled <sup>231</sup>Pa and <sup>230</sup>Th requires the ecosystem 194 195 module to be turned on at the same time.

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197 Comparing with previous studies of modeling <sup>231</sup>Pa and <sup>230</sup>Th, our p-fixed 198 version is the same as Siddall et al., (2002), except that different prescribed particle 199 fluxes are used. The p-coupled version allows coupling to biogeochemical module, 200 which is similar to Rempfer et al., (2017), but we do not include boundary 201 scavenging and sediment resuspensions as in Rempfer et al., (2017) because 202 boundary scavenging and sediment resuspensions are suggested to be unimportant to influence the relationship between  ${}^{231}Pa_p/{}^{230}Th_p$  and AMOC strength (Rempfer et 203 204 al., 2017).

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### 206 **3. Experiments**

207 We run a control experiment (CTRL) and two experiments with different 208 partition coefficients to show model sensitivity. We have both p-fixed and p-coupled 209 <sup>231</sup>Pa and <sup>230</sup>Th in CTRL, but only p-fixed <sup>231</sup>Pa and <sup>230</sup>Th in sensitivity experiments. 210 Equilibrium partition coefficients for <sup>231</sup>Pa and <sup>230</sup>Th vary among different particle 211 types and the magnitude of the partition coefficients for different particle types 212 remains uncertain (Chase et al., 2002; Chase and Robert F, 2004; Luo and Ku, 1999). 213 Since the control experiment in Siddall et al., (2005) is able to simulate major 214 features of <sup>231</sup>Pa and <sup>230</sup>Th distributions, we use the partition coefficients from the 215 control experiment in Siddall et al., (2005) in our CTRL (Table 2). Two sensitivity 216 experiments are performed with decreased (EXP\_1) and increased (EXP\_2) partition 217 coefficients by a factor of 5 (Table 2).

All the experiments are ocean-alone experiments with the normal year forcing by CORE-II data (Large and Yeager, 2008). The <sup>231</sup>Pa and <sup>230</sup>Th activities are initiated from 0 in CTRL and are integrated for 2,000 model years until equilibrium is reached. EXP\_1 and EXP\_2 are initiated from 1,400 model year in CTRL and are integrated for another 800 model years to reach equilibrium.

223 Since sediment <sup>231</sup>Pa/<sup>230</sup>Th in North Atlantic has been used to reflect the 224 strength of AMOC, to test how sediment <sup>231</sup>Pa/<sup>230</sup>Th in our model responds to the 225 change of AMOC and the change of particle fluxes, we carried out a fresh water 226 perturbation experiment (HOSING) with both p-fixed and p-coupled <sup>231</sup>Pa and <sup>230</sup>Th. 227 Starting from 2,000 model year of CTRL, a freshwater flux of 1 Sv is imposed over 228 the North Atlantic region of 50°N~70°N and the experiment is integrated for 1400 229 model years until both p-fixed and p-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio have 230 reached quasi-equilibrium. The partition coefficients used in HOSING are the same 231 as in CTRL.

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#### 233 **4. Results**

234 4.1 Control Experiment

P-fixed and p-coupled version of <sup>231</sup>Pa and <sup>230</sup>Th in CTRL show identical results (Fig. 2-4). P-fixed and p-coupled dissolved and particulate <sup>231</sup>Pa and <sup>230</sup>Th in CTRL are highly correlated with each other with correlations greater than 0.995 and regression coefficients are all near 1.0 ( $R^2>0.995$ ). The correlation coefficient between p-fixed and p-coupled sediment  ${}^{231}Pa/{}^{230}Th$  activity ratios in CTRL is 0.99 and the regression coefficient is 0.9 ( $R^2=0.98$ ). This is expected because the particle fields used in p-fixed version are prescribed as the climatology of the particle fields used in the p-coupled version. Therefore, under the same climate forcing, p-fixed and p-coupled version of  ${}^{231}Pa$  and  ${}^{230}Th$  should be very similar. For the discussion of results in CTRL below, we only discuss the p-fixed  ${}^{231}Pa$  and  ${}^{230}Th$ .

The residence time of both <sup>231</sup>Pa and <sup>230</sup>Th in CTRL are comparable with observations. The residence time is calculated as the ratio of global average total isotope activity and the radioactive ingrowth of the isotope. Residence time in CTRL is 118 yr for <sup>231</sup>Pa and 33 yr for <sup>230</sup>Th (Table 2), which are of the same magnitude as 111 yr for <sup>231</sup>Pa and 26 yr for <sup>230</sup>Th in observation (Yu et al., 1996).

250 CTRL can simulate the general features of dissolved water column <sup>231</sup>Pa and 251 <sup>230</sup>Th activities. Dissolved <sup>231</sup>Pa and <sup>230</sup>Th activities increase with depth in CTRL, as 252 shown in two GEOTRACES transects (Deng et al., 2014; Hayes et al., 2015) in the 253 Atlantic (Fig. 2 and 3). The dissolved <sup>231</sup>Pa and <sup>230</sup>Th activities in CTRL are also at 254 the same order of magnitude as in observations in the most of the ocean, except that 255 simulated values are larger than observations in the abyssal, which is also the case 256 in Siddall et al., (2005) and Rempfer et al., (2017) (their Fig. 2 and 3, experiment 257 Re3d). Our model is unable to simulate the realistic dissolved <sup>231</sup>Pa and <sup>230</sup>Th 258 activities in the abyssal probably because boundary scavenging and sediment 259 resuspensions are not included in our model. In Rempfer et al., 2017, without 260 boundary scavenging and sediment resuspension, dissolved <sup>231</sup>Pa and <sup>230</sup>Th 261 activities are quite large in the deep ocean. However, if boundary scavenging and sediment resuspension are included, the water column dissolved <sup>231</sup>Pa and <sup>230</sup>Th 262 263 activity is in the right magnitude compared with observation. Therefore, we hypothesize 264 that with boundary scavenging and sediment resuspensions added, dissolved <sup>231</sup>Pa 265 and <sup>230</sup>Th activities in the abyssal should be greatly reduced.

A more quantitative model-data comparison is shown in Fig. 5. The linear regression coefficient between model results and observations (references of observations are listed in Table 3), an indication of model ability to simulate <sup>231</sup>Pa 269 and <sup>230</sup>Th activity (Dutay et al., 2009), is near 1.0 for dissolved <sup>231</sup>Pa and <sup>230</sup>Th (1.02) 270 for [<sup>231</sup>Pa]<sub>d</sub> and 1.14 for [<sup>230</sup>Th]<sub>d</sub>), suggesting that CTRL can simulate the dissolved 271 <sup>231</sup>Pa and <sup>230</sup>Th in good agreement with observations. However, the simulation of 272 the particulate activity is not as good as the dissolved activity. Particulate activity is 273 overall larger than observation in the surface ocean and smaller than observation in 274 the deep ocean for both particulate <sup>231</sup>Pa and <sup>230</sup>Th. The regression coefficient for particulate <sup>231</sup>Pa and <sup>230</sup>Th is 0.02 for [<sup>231</sup>Pa]<sub>p</sub> and 0.05 for [<sup>230</sup>Th]<sub>p</sub>. The poor 275 276 performance in simulating water column particulate <sup>231</sup>Pa and <sup>230</sup>Th activities is also 277 in previous modeling studies (Dutay et al., 2009; Siddall et al., 2005), because of 278 similar modelling scheme applied. However, the simulated  ${}^{231}Pa_p/{}^{230}Th_p$  is in 279 reasonable agreement with observations. The  ${}^{231}Pa_p/{}^{230}Th_p$  along two GEOTRACES 280 transects (Fig. 2 and 3) show the similar pattern and magnitude as in Rempfer et al., 281 (2017), consistent with observations. Decrease of  ${}^{231}Pa_p/{}^{230}Th_p$  with depth is well simulated, which is suggested to be caused by the lateral transport of <sup>231</sup>Pa from 282 283 North Atlantic to Southern Ocean by AMOC (Gherardi et al., 2009; Lippold et al., 284 2011, 2012a; Luo et al., 2010; Rempfer et al., 2017).

285 The sediment <sup>231</sup>Pa/<sup>230</sup>Th in CTRL is overall consistent with observations 286 (references of observations are listed in Table 3). The North Atlantic shows low 287 sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio as in observations because <sup>231</sup>Pa is more subject 288 to the southward transport by active ocean circulation than <sup>230</sup>Th because of its 289 longer residence time. The Southern Ocean maximum in the sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio is also simulated in CTRL. High opal fluxes in the Southern Ocean, 290 which preferentially removes <sup>231</sup>Pa into sediment  $(K_{opal}^{^{231}Pa} > K_{opal}^{^{230}Th})$  (Chase et al., 291 292 2002), leading to increased sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio. In addition, 293 upwelling in the Southern Ocean brings up deep water enriched with <sup>231</sup>Pa, which is 294 transported from the North Atlantic, to shallower depth and further contribute to 295 the scavenging. CTRL can also produce higher sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio in 296 regions with high particle production (e.g. the Eastern equatorial Pacific, the North 297 Pacific and the Indian Ocean) due to the "particle flux effect". Specifically, in North Atlantic, the distribution of sediment <sup>231</sup>Pa/<sup>230</sup>Th matches the distribution of 298

299 particle, especially opal, production: sediment <sup>231</sup>Pa/<sup>230</sup>Th is higher where opal 300 production is high, and vice versa (Fig. 4 and Fig. 1c). Quantitatively, the regression 301 coefficient between sediment <sup>231</sup>Pa/<sup>230</sup>Th in CTRL and observation in the Atlantic is 302 0.86, which is larger than in other basins. This suggests that sediment  $^{231}Pa/^{230}Th$  is 303 better simulated in the Atlantic than in other basins. One possible explanation is that 304 sediment <sup>231</sup>Pa/<sup>230</sup>Th in the Atlantic is controlled by both ocean circulation and 305 particle flux, while in other basins sediment <sup>231</sup>Pa/<sup>230</sup>Th is controlled almost only by 306 particle flux. With active AMOC, the north south gradient of sediment <sup>231</sup>Pa/<sup>230</sup>Th 307 can be simulated. However, for example, in the Southern Ocean, sediment 308  $^{231}$ Pa/ $^{230}$ Th is dominantly controlled by opal flux, which varies on small scales and is 309 difficult for simulation. Therefore, model performance in simulating sediment 310 <sup>231</sup>Pa/<sup>230</sup>Th in the Southern Ocean is not as good as in the Atlantic.

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## 312 4.2 Sensitivity on partition coefficient K

In this section, we show model sensitivity on partition coefficient by increasing and decreasing the partition coefficient, K, by a factor of 5, but keeping the relative ratio for different particles the same (Table 2). Our model shows similar model sensitivity as in Siddall et al., (2005) as discussed below.

317 As stated in Siddall et al., (2005), the isotope decay term in Eq. (3) is three 318 orders of magnitude less than the production term. If we neglect the transport term 319 and the decay term in Eq. (3) and assume particulate phase activity at the surface as 320 0, when reach equilibrium, the activity of particulate phase will be as in Eq. (7). Eq. (7) combined with Eq. (2) and  $R_i = \frac{F}{W_c * \rho}$ , we can obtain Eq. (8). Under the 321 322 assumption that there is isotope decay and ocean transport, Eq. (7) suggests that the 323 particulate isotope activity depends on the production rate and settling velocity and 324 will increase linearly with depth. Eq. (8) suggests that the dissolved isotope activity 325 depends on the production rate, partition coefficient K and particle flux and will also 326 increase linearly with depth. Any departure from this linear relationship with depth 327 is due to ocean transport, which is suggested by observations (Bacon and Anderson, 328 1982; Roy-Barman et al., 1996). Results of Eq. (7) and Eq. (8) can help to understand
329 the differences in Exp\_1 and Exp\_2.

Increasing K will decrease water column dissolved <sup>231</sup>Pa and <sup>230</sup>Th activities 330 331 but won't change particulate <sup>231</sup>Pa and <sup>230</sup>Th too much (Fig. 6). Magnitude of 332 dissolved <sup>231</sup>Pa and <sup>230</sup>Th in Exp\_1 (smaller K) is at least one order larger than that 333 in Exp 2 (larger K), while magnitude of particulate <sup>231</sup>Pa and <sup>230</sup>Th in Exp 1 and 334 Exp 2 is in the same order. As suggested by Eq. (8), if there is no isotope decay and 335 no ocean transport, larger K will lead to smaller dissolved isotope activity but 336 unchanged particulate activity. Intuitively, larger K will lead to more <sup>231</sup>Pa and <sup>230</sup>Th 337 attached to particles and further buried into sediment, which increases the sink for the <sup>231</sup>Pa and <sup>230</sup>Th budget. With the sources for <sup>231</sup>Pa and <sup>230</sup>Th staying the same, 338 339 dissolved <sup>231</sup>Pa and <sup>230</sup>Th will be reduced. Increasing K will also reduce the vertical 340 gradient of dissolved <sup>231</sup>Pa and <sup>230</sup>Th as reversible scavenging act as the vertical 341 transport and increase this vertical transport can decrease the vertical gradient. 342 However, changes in the particulate <sup>231</sup>Pa and <sup>230</sup>Th is relatively small (Fig. 6). Eq. 343 (7) suggests that particulate phase activity it is independent of K. Therefore, 344 changing K will have limited influence on particulate phase activity.

345

 $A_p^i(z) = \frac{\beta^i}{w_s} \cdot z \tag{7}$ 

$$A_d^i(z) = \frac{\rho \rho}{K^i F} \cdot z \tag{8}$$

346 347

348 Increasing K will also reduce the spatial gradient in sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio and vice versa (Fig. 7). Larger K will decrease the <sup>231</sup>Pa and <sup>230</sup>Th 349 350 residence time and most isotopes produced in the water column are removed into 351 sediment locally (Table 2). Therefore, sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio becomes more 352 homogeneous and approaching the production ration of 0.093 (Fig. 7b). The 353 deviation (the root mean squared error) of sediment <sup>231</sup>Pa/<sup>230</sup>Th is 0.0726 in CTRL, 354 0.0770 in Exp\_1 and 0.0739 in Exp\_2. The linear regression coefficients between sediment <sup>231</sup>Pa/<sup>230</sup>Th in the model and the observations are listed in Table S1 in the 355

supplementary information. Although the performance of global sediment 356 357 <sup>231</sup>Pa/<sup>230</sup>Th in Exp 1 is better than CTRL, the performance of Atlantic <sup>231</sup>Pa/<sup>230</sup>Th in 358 Exp 1 is worse. We consider better simulating sediment  $^{231}Pa/^{230}Th$  in the Atlantic 359 is more important since the most important application of sediment  $^{231}Pa/^{230}Th$  is 360 using sediment <sup>231</sup>Pa/<sup>230</sup>Th in the North Atlantic to reconstruct past AMOC. In 361 addition, water column isotope activity is too large in Exp 1 compared with 362 observation. Therefore, the partition coefficient in CTRL is of the right order of 363 magnitude.

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#### 4.3. Sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio in HOSING

366 Potential changes in the export of biogenic particles makes using <sup>231</sup>Pa/<sup>230</sup>Th 367 ratio to reconstruct AMOC strength under debate. In response to freshwater 368 perturbation in the North Atlantic, both biological productivity and AMOC strength 369 will change and will influence sediment <sup>231</sup>Pa/<sup>230</sup>Th in different ways. Our model 370 with p-fixed and p-coupled <sup>231</sup>Pa and <sup>230</sup>Th can help to detangle these two effects. In 371 this section, we examine the sediment  ${}^{231}Pa/{}^{230}Th$  (p-fixed and p-coupled) response 372 in the North Atlantic to idealized fresh water perturbation.

373 In HOSING, after applying freshwater forcing to the North Atlantic, AMOC 374 strength quickly decreases to a minimum of 2 Sv (AMOC\_off) (Fig. 9a). During the 375 AMOC off state, compared with CTRL with active AMOC (AMOC on), p-fixed 376 sediment <sup>231</sup>Pa/<sup>230</sup>Th shows an overall increase in the North Atlantic and a decrease 377 in the South Atlantic (Fig. 10b) because of the reduced southward transport of <sup>231</sup>Pa 378 from the North Atlantic by AMOC, consistent with paleo proxy evidence there (e.g. 379 Gherardi et al., 2005, 2009; McManus et al., 2004). The overall increase of sediment 380 <sup>231</sup>Pa/<sup>230</sup>Th ratio in the North Atlantic in response to the AMOC collapse can be seen 381 more clearly in the time evolution of the sediment  ${}^{231}Pa/{}^{230}Th$  ratio averaged from 382 20°N to 60°N in the North Atlantic (Fig.9b, green). Quantitatively, the  $^{231}Pa/^{230}Th$ 383 increases from 0.074 in AMOC\_on to 0.098 in AMOC\_off in the p-fixed version, 384 approaching the production ration of 0.093. This increase of <sup>231</sup>Pa/<sup>230</sup>Th is also in 385 the subtropical North Atlantic from the two sites near Bermuda Rise (Fig. 9e and f), 386 which is of comparable magnitude with the change from LGM to HS1 in

387 reconstructions there (McManus et al., 2004). In addition, the pattern of p-fixed 388 (Fig.10a) sediment  ${}^{231}Pa/{}^{230}Th$  ratio during the Atlantic in AMOC\_off state is similar 389 to the opal distribution (Fig.1b) because, without active circulation, sediment 390  ${}^{231}Pa/{}^{230}Th$  ratio is more controlled by particle flux effect, which is similar to the 391 Pacific in CTRL. It is further noted that our p-fixed sediment  ${}^{231}Pa/{}^{230}Th$  ratio in 392 HOSING behaves similarly to that in Siddall et al., (2007).

393 The overall increase in p-fixed sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio in the North 394 Atlantic is not homogenous and the magnitude of the change between AMOC on and 395 AMOC off varies with location depending on the distribution of particle flux, 396 especially the opal flux (Fig.9 and 10). The maximum increase in p-fixed sediment 397 <sup>231</sup>Pa/<sup>230</sup>Th ratio occurs near 40°N western Atlantic (Fig. 10a), where the opal 398 production in our model is maximum in North Atlantic (Fig. 1b). The sediment 399 <sup>231</sup>Pa/<sup>230</sup>Th ratio in this region during AMOC\_on is larger than production ratio of 400 0.093 because opal maximum provides extra <sup>231</sup>Pa to this region ("particle flux 401 effect"), which overwhelms the active ocean circulation transporting <sup>231</sup>Pa 402 southward outside this region (Fig. 9d, green). During AMOC\_off, without active 403 ocean circulation, the particle flux effect becomes even stronger because less <sup>231</sup>Pa is 404 transported out of the North Atlantic and p-fixed sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio 405 becomes even larger. It should be noted that the opal maximum in this region is not 406 in the observation (Fig. 7.2.5 in Sarmiento and Gruber 2006). However, our 407 sediment <sup>231</sup>Pa/<sup>230</sup>Th response in HOSING is self-consistent with the particle flux in 408 our model since the location of maximum <sup>231</sup>Pa/<sup>230</sup>Th increase matches the location 409 of opal flux in our model.

In most regions of the Atlantic, p-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th shows a 410 similar response to p-fixed <sup>231</sup>Pa/<sup>230</sup>Th in HOSING. The evolution of p-fixed and p-411 412 coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio in HOSING are highly correlated (Fig. 413 11a). The change of sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio from AMOC\_on to AMOC\_off are 414 similar in both the p-fixed and p-coupled version (Fig.11b). The correlation between 415 p-fixed and p-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio change from AMOC on to 416 AMOC off is 0.72 (1455points) and the linear regression coefficient is 0.71 ( $R^2$  = 417 0.52). A high correlation between p-fixed and p-coupled response mainly happens 418 over low productivity regions (Fig.1, 10, and 11), where circulation effect on 419 sediment  ${}^{231}Pa/{}^{230}Th$  is more important than the particle flux change in HOSING.

420 In spite of these similarities discussed above, the responses of p-fixed and p-421 coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th to the fresh water forcing can differ significantly in 422 high productivity regions because of the productivity change. With persistent 423 freshwater forcing over the North Atlantic, most regions in the North Atlantic show 424 reduced production of CaCO<sub>3</sub>, opal and POC (Fig. 8). Productivity in the North 425 Atlantic is suggested to be halved during AMOC collapse because of increased 426 stratification, which reduces nutrient supply from deep ocean (Schmittner, 2005). In 427 our model, the productivity in the mid-latitude North Atlantic is indeed greatly 428 reduced after the freshwater forcing is applied. For example, opal production from 429 30°N-50°N in the Atlantic at the end of HOSING is reduced by 50%~90% of its 430 original value in CTRL. However, opal production increases in high latitude North 431 Atlantic (north of 50°N). The pattern of opal production changes with high opal 432 production region shifts northward in HOSING (Fig. 8 d, e and f). These particle flux 433 changes will influence sediment <sup>231</sup>Pa/<sup>230</sup>Th as discussed below.

North of 50°N in the Atlantic, opal productivity increases during AMOC\_off
(Fig. 8f) and will result an increase in sediment <sup>231</sup>Pa/<sup>230</sup>Th. The increase caused by
greater opal productivity enhances the sediment <sup>231</sup>Pa/<sup>230</sup>Th increase caused by
reduced AMOC. Therefore, the increase in p-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th from
AMOC\_on to AMOC\_off is larger than p-fixed sediment <sup>231</sup>Pa/<sup>230</sup>Th change (Fig.9c).

439 In the mid-latitude North Atlantic, opal productivity decreases during 440 AMOC\_off (Fig.8 f) and will lead to a decrease in sediment <sup>231</sup>Pa/<sup>230</sup>Th, which is 441 opposite to the effect of reduced AMOC. P-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th shows an 442 initial decrease in first 200 years (Fig.9 d, e, and f, red dash lines) caused by the 443 reduced opal productivity. But this decrease trend is reversed eventually, suggesting 444 that the influence of particle flux change is overwhelmed by the effect of reduced 445 AMOC. It the long run, most regions in the subtropical and mid-latitude Atlantic 446 show increased sediment <sup>231</sup>Pa/<sup>230</sup>Th in HOSING (Fig.10 d), indicating the dominant 447 effect of reduced AMOC. However, sediment <sup>231</sup>Pa/<sup>230</sup>Th at 40°N west Atlantic, 448 where opal productivity is maximum during AMOC on, show a decrease from 449 AMOC on to AMOC off (Fig.9 d and Fig.10 d). During AMOC on, the opal productivity 450 maximum at 40°N west Atlantic lead to regional maximum sediment <sup>231</sup>Pa/<sup>230</sup>Th 451 because of the particle flux effect (Fig. 4). During AMOC off, this opal productivity 452 maximum is eliminated (Fig.8 e) and there is no more extra <sup>231</sup>Pa supplied by 453 surroundings to this region, which leads to a decrease in sediment <sup>231</sup>Pa/<sup>230</sup>Th. This 454 decrease in sediment <sup>231</sup>Pa/<sup>230</sup>Th caused by productivity change is greater than the 455 increase caused by the reduced AMOC. Therefore, sediment  $^{231}Pa/^{230}Th$  experiences 456 a decrease from AMOC on to AMOC off at this location (Fig.9 d and Fig.10 d). Our 457 results suggest that although the circulation effect is more dominant than the 458 particle flux change in controlling sediment <sup>231</sup>Pa/<sup>230</sup>Th on long time scale over 459 most of North Atlantic (Fig. 11), particle flux change can be important on short time 460 scale and in high productivity regions. With p-fixed and p-coupled <sup>231</sup>Pa and <sup>230</sup>Th, 461 our model can help to detangle the circulation effect and particle flux effect.

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463 It has been suggested that the particulate <sup>231</sup>Pa/<sup>230</sup>Th response to the change 464 of AMOC depends on the location and depth. Above 2km and high latitude North 465 Atlantic, particulate <sup>231</sup>Pa/<sup>230</sup>Th decreases with the increased AMOC (Rempfer et al., 2017). Our results are consistent with this finding (Fig. 12 a and b). Both p-fixed and 466 p-coupled particulate <sup>231</sup>Pa/<sup>230</sup>Th show similar patterns of change from AMOC\_on to 467 AMOC off: decrease in particulate <sup>231</sup>Pa/<sup>230</sup>Th at shallow depth and north of 60°N 468 469 and increase in particulate <sup>231</sup>Pa/<sup>230</sup>Th below 2km and south of 60°N during 470 AMOC\_off. Therefore, sediment depth should also be taken into consideration when 471 interpreting sediment <sup>231</sup>Pa/<sup>230</sup>Th. Since the pattern in p-coupled is similar to the 472 pattern in p-fixed, the opposite particulate <sup>231</sup>Pa/<sup>230</sup>Th changes in shallow and deep 473 North Atlantic is associated with AMOC change. During AMOC on, upper limb of 474 AMOC (about upper 1km) transport water northward, which provides extra <sup>231</sup>Pa to 475 North Atlantic and particulate <sup>231</sup>Pa/<sup>230</sup>Th is larger than the production ratio of 0.093. In contrast, the lower limb of AMOC (2km-3km) features southward 476 477 transport, which transports <sup>231</sup>Pa to the Southern Ocean and particulate <sup>231</sup>Pa/<sup>230</sup>Th 478 is smaller than the production ratio of 0.093 (Fig. 12 solid). Particulate  ${}^{231}Pa/{}^{230}Th$ 479 decreases with depth (Fig. 12 c solid). During AMOC off, ocean transport of <sup>231</sup>Pa is

greatly reduced. Therefore, shallow (deep) depth experiences a decrease (increase)
in particulate <sup>231</sup>Pa/<sup>230</sup>Th and the vertical gradient in the particulate <sup>231</sup>Pa/<sup>230</sup>Th is
also greatly reduced (Fig. 12 c dash). Our results support that the depth dependence
of particulate <sup>231</sup>Pa/<sup>230</sup>Th is mainly caused by lateral transport of <sup>231</sup>Pa by
circulation (Gherardi et al., 2009; Lippold et al., 2011, 2012a; Luo et al., 2010;
Rempfer et al., 2017).

Overall, our model is able to simulate the correct magnitude of the sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio response to the freshwater forcing. Our experiments suggest that the change of circulation is the dominant factor that influences sediment <sup>231</sup>Pa/<sup>230</sup>Th on long time scale over most of the globe in the idealized hosing experiment, although the detailed difference between p-fixed and p-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th ratio response to freshwater forcing in different locations can be complicated.

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### 495 **5. Summary**

<sup>231</sup>Pa and <sup>230</sup>Th have been implemented in the ocean model of the CESM in both the p-coupled and p-fixed forms. Our control experiment under present day climate forcing is able to simulate most <sup>231</sup>Pa and <sup>230</sup>Th water column activity and sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio consistent with observations by using the parameters that are suggested by Chase et al., (2002) and used in Siddall et al. (2005). Our sensitivity experiments with varying parameters suggest that these parameters are of the right order of magnitude.

503 Furthermore, our model is able to simulate the overall sediment <sup>231</sup>Pa/<sup>230</sup>Th 504 ratio change in the North Atlantic with a magnitude comparable to the 505 reconstruction in response to the collapse of AMOC, although the detailed response 506 can be complicated in different regions. Finally, the p-fixed form is able to capture 507 many major features of that of the p-coupled form over large ocean areas on long 508 time scale, although the two forms can also differ significantly in some regions, 509 especially the region with high opal productivity.

Much remains to be improved in our <sup>231</sup>Pa and <sup>230</sup>Th module in the future. 510 511 For example, the model can be further improved by including nepheloid layers to 512 better simulate water column <sup>231</sup>Pa and <sup>230</sup>Th activity as in Rempfer et al. (2017). In 513 addition, partition coefficient for different particles can be further tuned, which can 514 improve our understanding of the affinity of <sup>231</sup>Pa and <sup>230</sup>Th to different particles, 515 complementing the limited observational studies available (e.g. Chase et al., 2002; 516 Scholten et al., 2005; Walter et al., 1997). At present, as the first attempt to implement 517 <sup>231</sup>Pa and <sup>230</sup>Th in the CESM with both p-fixed and p-coupled versions, our model 518 can serve as a useful tool to improve our understanding of the processes of <sup>231</sup>Pa and <sup>230</sup>Th as well as interpretations of sediment <sup>231</sup>Pa/<sup>230</sup>Th reconstructions for past 519 520 ocean circulation and climate changes.

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## 523 **Code availability:**

The <sup>231</sup>Pa and <sup>230</sup>Th isotope source code of both p-fixed and p-coupled versions for
CESM1.3 is included as supplementary material here.

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Variable	Symbol	Value	Units
Production of <sup>231</sup> Pa from U decay	β <sup>Pa</sup>	2.33*10-3	dpm m <sup>-3</sup> yr <sup>-1</sup>
Production of <sup>230</sup> Th from U decay	$\beta^{Th}$	2.52*10-2	dpm m <sup>-3</sup> yr <sup>-1</sup>
Decay constant of <sup>231</sup> Pa	$\lambda^{Pa}$	2.13*10-5	yr-1
Decay constant of <sup>230</sup> Th	$\lambda^{Th}$	9.22*10-6	yr <sup>-1</sup>
Index for <sup>231</sup> Pa and <sup>230</sup> Th	i		
Index for particle type	j		
Total isotope activity	$A_t$		dpm m <sup>-3</sup>
Dissolved isotope activity	$A_d$		dpm m <sup>-3</sup>
Particle associated activity	$A_p$		dpm m <sup>-3</sup>
Particle settling velocity	W <sub>s</sub>	1000	m yr <sup>-1</sup>
Particle concentration	С		kg m <sup>-3</sup>
Density of seawater		1024.5	kg m <sup>-3</sup>
Ratio between particle concentration and density of seawater	R		

905 Table 1. List of parameters, abbreviations and values.906

907

	CTRL		EXP_1		EXP_2	
	<sup>231</sup> Pa	<sup>230</sup> Th	<sup>231</sup> Pa	<sup>230</sup> Th	<sup>231</sup> Pa	<sup>230</sup> Th
K <sub>CaCO<sub>3</sub></sub>	2.5*10 <sup>5</sup>	1.0*107	5*10 <sup>4</sup>	2*106	1.25*106	5*10 <sup>7</sup>
K <sub>opal</sub>	1.67*106	5*10 <sup>5</sup>	3.33*10 <sup>5</sup>	1*10 <sup>5</sup>	8.33*10 <sup>6</sup>	2.5*106
K <sub>POC</sub>	1.0*107	1.0*107	2*106	2*106	5*107	5*10 <sup>7</sup>
τ (yr)	118	33	501	143	27	9

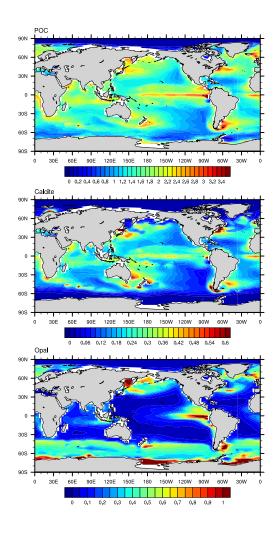
Table 2. Partition coefficients for different particle types and residence time for  $^{231}$ Pa and  $^{230}$ Th in different experiments. Partition coefficients used in CTRL follows (Chase et al., 2002; Siddall et al., 2005). Both p-coupled and p-fixed versions are enabled in CTRL, which yields identical results (discussed in section 4.1). Only pfixed version is enabled in Exp\_1 and Exp\_2. The residence time ( $\tau$ ) is for p-fixed version in each experiment.

WATER COLUMN ACTIVITY	Holocene core-top <sup>231</sup> Pa/ <sup>230</sup> Th
(Guo et al., 1995)	(Yu, 1994)
(Cochran et al., 1987)	(DeMaster, 1979)
(Nozaki et al., 1987)	(Bacon and Rosholt, 1982)
(Bacon and Anderson, 1982)	(Mangini and Diester-Hass, 1983)
(Bacon et al., 1989)	(Kumar, 1994)

(Huh and Beasley, 1987)	(Yang et al., 1986)
(Rutgers van der Loeff and Berger, 1993)	(Anderson et al., 1983)
(Nozaki et al., 1981)	(Anderson et al., 1994)
(Nozaki and Nakanishi, 1985)	(Ku, 1966)
(Mangini and Key, 1983)	(Ku et al., 1972)
(Nozaki and Horibe, 1983)	(Frank et al., 1994)
(Moore, 1981)	(Shimmield et al., 1986)
(Nozaki and Yamada, 1987)	(Frank, 1996)
(Roy-Barman et al., 1996)	(Yong Lao et al., 1992)
(Nozaki and Yang, 1987)	(Francois et al., 1993)
(Moran et al., 1995)	(Anderson et al., 1990)
(Luo et al., 1995)	(Mangini and Sonntag, 1977)
(Colley et al., 1995)	(Schmitz et al., 1986)
(Scholten et al., 1995)	(Shimmield and Price, 1988)
(Cochran et al., 1995)	(Yong-Liang Yang et al., 1995)
(Vogler et al., 1998)	(Müller and Mangini, 1980)
(Moran et al., 1997)	(Mangini and U., 1987)
(Edmonds et al., 1998)	(Scholten et al., 1995)
(Moran et al., 2001)	(Walter et al., 1997)
(Edmonds et al., 2004)	(Lippold et al., 2011)
(Okubo et al., 2007b)	(Lippold et al., 2012b)
(Coppola et al., 2006)	(Bradtmiller et al., 2007)
(Moran et al., 2002)	(Gherardi et al., 2005)
(Okubo et al., 2004)	(Gutjahr et al., 2008)
(Okubo et al., 2007a)	(Hall et al., 2006)
(Okubo et al., 2012)	(Lippold et al., 2011)
(Robinson et al., 2004)	(Roberts et al., 2014)
(Thomas et al., 2006)	(Bradtmiller et al., 2014)
(Trimble et al., 2004)	(Burckel et al., 2016)
(Venchiarutti et al., 2011)	(Hoffmann et al., 2013)
(Hsieh et al., 2011)	(Jonkers et al., 2015)
(Scholten et al., 2008)	(Negre et al., 2010)
(Luo et al., 2010)	
(Deng et al., 2014)	
(Hayes et al., 2013)	
(Hayes et al., 2015)	

Table 3. References for observations of water column  $^{231}$ Pa and  $^{230}$ Th activity (left column) and Holocene core-top  $^{231}$ Pa/ $^{230}$ Th (right column). 

922 Figures:



- 923
- Figure 1. Annual mean particle fluxes in CESM. (a)  $CaCO_3$  flux at 105m (mol m<sup>-2</sup> yr<sup>-1</sup>).
- 925 (b) Opal flux at 105m (mol  $m^{-2}$  yr<sup>-1</sup>). (c) POC flux at 105m (mol  $m^{-2}$  yr<sup>-1</sup>).
- 926

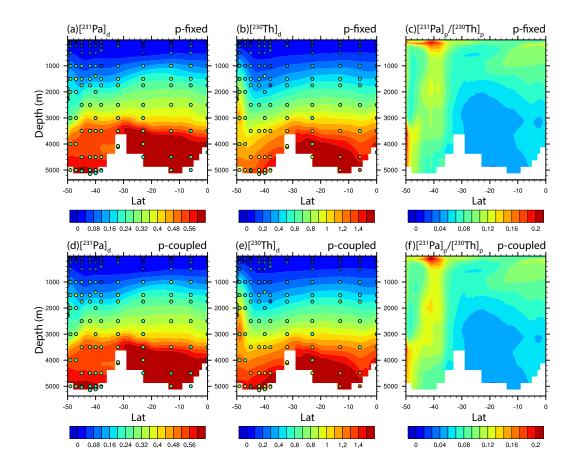


Figure 2. Dissolved <sup>231</sup>Pa, dissolved <sup>230</sup>Th and particulate <sup>231</sup>Pa/<sup>230</sup>Th in CTRL along
GEOTRACES transect GA02S (Deng et al., 2014) (the track is indicated in Fig. S4) for
both p-fixed (top row) and p-coupled (bottom row) <sup>231</sup>Pa and <sup>230</sup>Th (colored
contour). Observations of dissolved <sup>231</sup>Pa and <sup>230</sup>Th activity are superimposed as
colored circles using the same color scale.

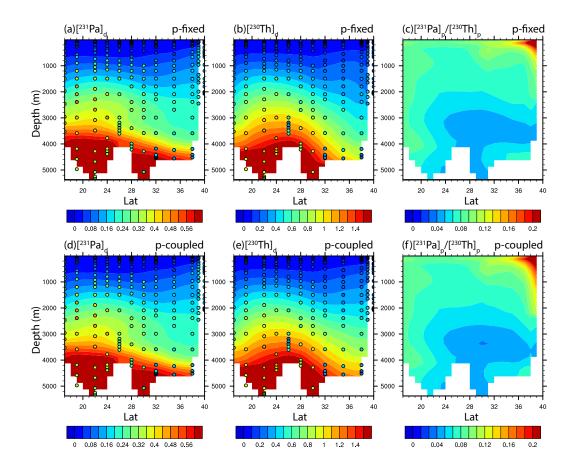


Figure 3. Dissolved <sup>231</sup>Pa, dissolved <sup>230</sup>Th and particulate <sup>231</sup>Pa/<sup>230</sup>Th in CTRL along GEOTRACES transect GA03 (Hayes et al., 2015) (the track is indicated in Fig. S4) for both p-fixed (top row) and p-coupled (bottom row) <sup>231</sup>Pa and <sup>230</sup>Th (colored contour). Observations of dissolved <sup>231</sup>Pa and <sup>230</sup>Th activity are superimposed as colored circles using the same color scale.

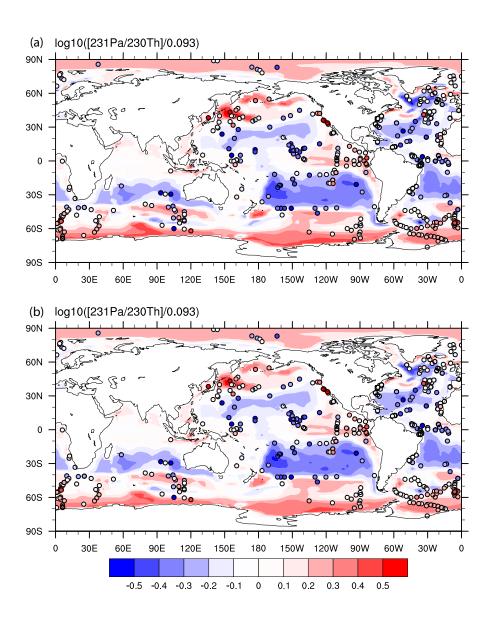
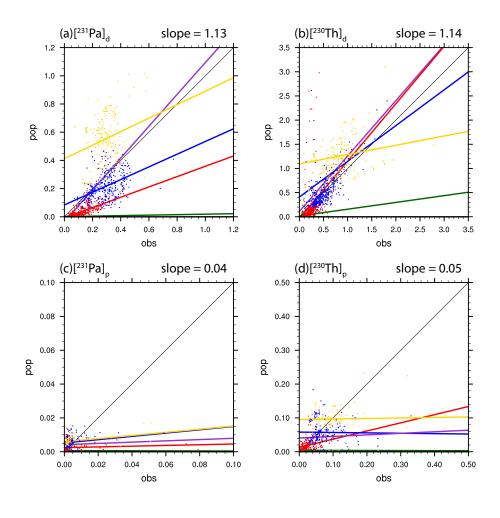


Figure 4. Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio in CTRL for both p-fixed (a) and pcoupled version (b). Observations are attached as filled cycles using the same color
map. The <sup>231</sup>Pa/<sup>230</sup>Th activity ratio is plotted relative to the production ratio of
0.093 on a log<sub>10</sub> scale.



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Figure 5. Scatter plot of global dissolved and particulate <sup>231</sup>Pa and <sup>230</sup>Th between 950 951 observation and CTRL (p-fixed) (unit: dpm/m<sup>3</sup>). (a) dissolved <sup>231</sup>Pa; (b) particulate <sup>231</sup>Pa; (c) dissolved <sup>230</sup>Th; (d) particulate <sup>230</sup>Th. Observations in different depth 952 953 range are indicated by different colors: green for 0-100m; red for 100m-1,000m; 954 blue for 1,000m-3,000m and yellow for deeper than 3,000m. Purple line is the least 955 squared linear regression line for all depth range, the slope of which is indicated at 956 the top right of each plot. Green line is the least squared linear regression line for 957 depth from 0-100m. Red line is the least squared linear regression line for depth 958 from 100m -1,000m. Blue line is the least squared linear regression line for depth 959 from 1,000m-3,000m. Yellow line is the least squared linear regression line for 960 depth deeper than 3,000m.

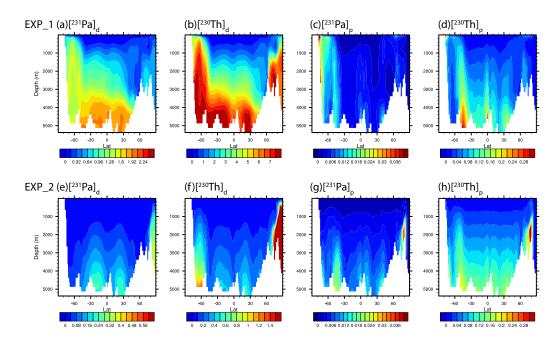
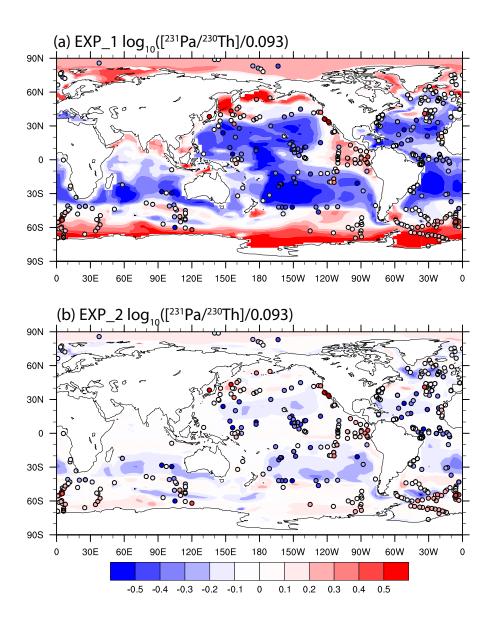


Figure 6. Atlantic zonal mean dissolved and particulate <sup>231</sup>Pa and <sup>230</sup>Th in EXP\_1 and
EXP\_2 (unit: dpm/m<sup>3</sup>). EXP\_1: (a) dissolved <sup>231</sup>Pa; (b) dissolved <sup>230</sup>Th; (c)
particulate <sup>231</sup>Pa; (d) particulate <sup>230</sup>Th. EXP\_2: (e) dissolved <sup>231</sup>Pa; (f) dissolved
<sup>230</sup>Th; (g) particulate <sup>231</sup>Pa; (h) particulate <sup>230</sup>Th.





968Figure 7. Sediment  ${}^{231}Pa/{}^{230}Th$  activity ratio in EXP\_1 (a) and EXP\_2 (b).969Observations are attached as filled cycles using the same color map. The  ${}^{231}Pa/{}^{230}Th$ 970activity ratio is plotted relative to the production ratio of 0.093 on a log\_{10} scale.

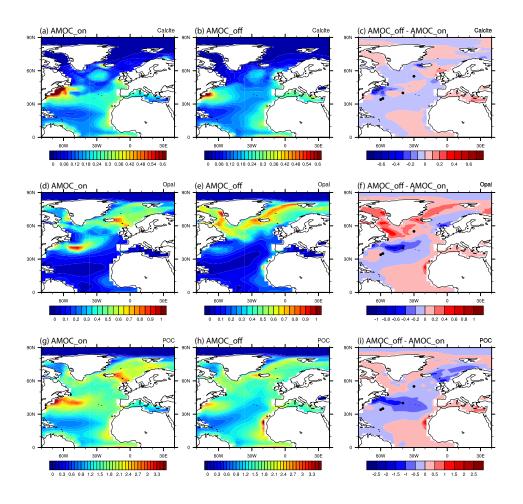
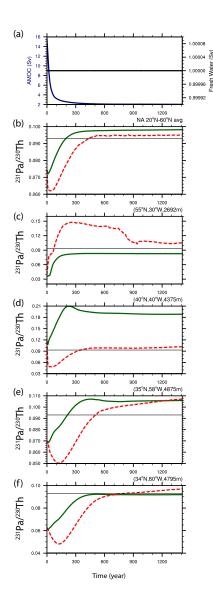


Figure 8. Comparison of particle fluxes between AMOC\_on and AMOC\_off. CaCO<sub>3</sub> flux
at 105m (mol m<sup>-2</sup> yr<sup>-1</sup>) during AMOC\_on (a), AMOC\_off (b) and difference between
AMOC\_off and AMOC\_on. (b) Opal flux at 105m (mol m<sup>-2</sup> yr<sup>-1</sup>) during AMOC\_on (d),
AMOC\_off (e) and difference between AMOC\_off and AMOC\_on (f). POC flux at 105m
(mol m<sup>-2</sup> yr<sup>-1</sup>) during AMOC\_on (g), AMOC\_off (h) and difference between AMOC\_off
and AMOC\_on (i).



983 Figure 9. Time evolutions in HOSING. (a) Freshwater forcing (black) and AMOC 984 strength (navy), which is defined as the maximum of the overturning 985 streamfunction below 500m in the North Atlantic. (b) North Atlantic average 986 sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio from 20°N to 60°N: p-fixed (green) and p-987 coupled (red). Production ratio of 0.093 is indicated by a solid black line (similar in 988 c, d, e and f). (c) Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio at (55°N, 30°W). (d) Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio at (40°N, 40°W). (e) Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio at 989  $(35^{\circ}N, 58^{\circ}W)$ . (f) Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio at  $(34^{\circ}N, 60^{\circ}W)$ . (e) and (f) are 990 991 near Bermuda Rise. Locations of each site are shown as dots in Fig. 8b.

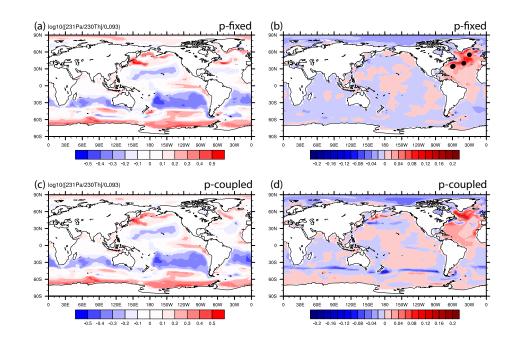


Figure 10. Sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio during AMOC off state and the
difference between AMOC off and CTRL. (a) P-fixed log<sub>10</sub>([<sup>231</sup>Pa/<sup>230</sup>Th]/0.093) in
AMOC\_off. (b) Difference of p-fixed sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio between
AMOC\_off and AMOC\_on. (c) and (d) are similar to (a) and (b) for p-coupled
sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio. Black dots in (b) shows the locations of sites in
Fig. 9 from North to South.

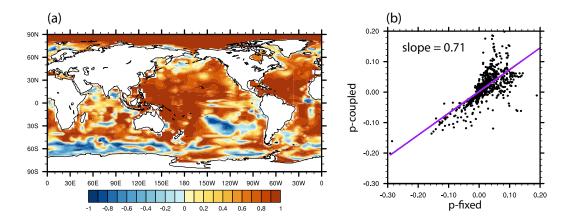
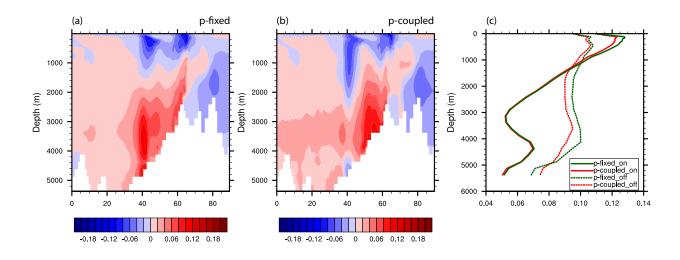


Figure 11. (a) Correlation of p-fixed and p-coupled evolution of sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio in HOSING. (b) Scatter plot of p-fixed and p-coupled sediment <sup>231</sup>Pa/<sup>230</sup>Th activity ratio change from AMOC\_on to AMOC\_off in the Atlantic and the Southern Ocean (70°W-20°E). Purple line is the least squared linear regression line and slope is the linear regression coefficient.

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Figure 12. Difference of Atlantic zonal mean particulate <sup>231</sup>Pa/<sup>230</sup>Th between AMOC\_off and AMOC\_on: (a) p-fixed and (b) p-coupled. (c) North Atlantic (20°N-60°N) average profile during AMOC\_on (solid) and AMOC\_off (dash) for p-fixed (green) and p-coupled (red) particulate <sup>231</sup>Pa/<sup>230</sup>Th.

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