

Reply to referee's comments

Dear editor,

We thank your and the reviewer's time for constructing the comments.

In the following, we have addressed all the comments, with the original review text underlined in italics and red.

Line 17: "p-coupled" and "p-fixed" are not generally known terms. They should not be used without explicit definition. Please consider rephrasing, e.g.: In addition to the fully coupled implementation of the scavenging behaviour of ^{231}Pa and ^{230}Th with the active marine ecosystem module (p-coupled), another form of ^{231}Pa and ^{230}Th scavenging have also been implemented with prescribed particle flux fields of the present climate (p-fixed).

Thanks for this advice. We have rephrased as suggested (line 17-20).

Line 96: Please explain in more detail: how can the effects of circulation on $^{231}\text{Pa}/^{230}\text{Th}$ be separated from the effects of particle fluxes simply by using two different non-confirmed particle schemes?

We have modified the text to make it clearer. For p-fixed Pa/Th, the particle flux is fixed at present values and the only thing affect p-fixed Pa/Th is ocean circulation. For p-coupled Pa/Th, it is coupled to ecosystem, therefore, is influenced by both ocean circulation and particle flux. For example, during HS1, both AMOC and productivity is suggested to be changed which will influence Pa/Th. Therefore, it is hard to detangle these two effects. Our model can help to solve this problem. For example, in our model, if we add freshwater forcing to North Atlantic, both productivity and AMOC changes will influence p-coupled Pa/Th. But in p-fixed Pa/Th is only influenced by AMOC change. Therefore, the effect of particle flux can be approximately estimated as p-couple minus p-fixed (line 86-93).

Table 2: Please add p-fixed or p-coupled to the scenarios respectively.

We add clarification as suggested (lin 888-891). As stated in Section 3 (line 207-209), both p-fixed and p-coupled are in CTRL, but only p-fix is available in Exp_1 and Exp_2 for computational efficiency. The p-fixed and p-coupled results in CTRL are identical (Line 235-244).

Table 3: Is there a reason for the iterating and non-iterating grey layers? Some references appear twice.

There is no particular reason for the iterating and non-iterating grey layers. The left column is references for water column activity and the right column is for Holocene core top Pa/Th. Some references have both column activity and Pa/Th, therefore appear twice.

Line 216: I do not agree the parameter set used by (Siddall et al., 2005) is a reasonable choice, only because "[...] the control experiment in Siddall et al., (2005) is able to simulate major features of ^{231}Pa and ^{230}Th distributions [...]" . Choosing the parameter

set more carefully and based on more recent approaches may help yielding more realistic simulations. (Rempfer, Stocker, Joos, Lippold, & Jaccard, 2017) listed different experimental studies suggesting a more balanced choice on K values. With the upper limit K value used for opal by (Siddall et al., 2005) the particle effect are inevitably overestimated.

In recent studies by Rempfer et al., 2017, they include bottom scavenging and boundary scavenging. In their study, they fix the fractionation factor (f , in their study, table A1 in their supplementary information, fractionation of ^{231}Pa and ^{230}Th by a certain particle type) and use scavenging efficiency as a tuning parameter (σ_0 , in their study), keeping the fractionation factor the same. As they have pointed out, information about fractionation by different particles are still very limited (Chase et al., 2002; Scholten et al., 2005; Walter et al., 1997). The fractionation factor for opal used in our study is 0.3, while it is 1 in Rempfer et al., 2017. Observations suggests 0.2 from Luo & Ku, 2004, 0.3 from Chase et al., 2002 and 2.8 from Geibert & Usbeck, 2004. The fractionation factor for CaCO_3 in our study is 40, while it is 10 in Rempfer et al., 2017. Observations suggests 3.8 from Roberts et al., 2009, 10 from Luo & Ku, 2004, 2.3-37 from Geibert & Usbeck, 2004 and 42 from Chase et al., 2002. Fractionation factor suggested by observations varies and our choice is in the range of observations. We agree that more sensitivity experiments will definitely help to improve the model performance. Our study is the first step trying to implementing ^{231}Pa and ^{230}Th into CESM. The parameters can be improved in the future with more observations available (line 489-492).

Line 230 and Fig. 9: a freshwater input of 1 Sv for 1.2 ka is way too high in order to simulate any past fresh-water flux (Carlson & Clark, 2012). If the authors want to show that AMOC and $^{231}\text{Pa}/^{230}\text{Th}$ are a function of fresh-water flux then their study is presented approx. 20 years too late. But if they want to improve our knowledge on the reaction of $^{231}\text{Pa}/^{230}\text{Th}$ on realistic fresh-water fluxes of the past, they should lower the fresh-water input. I think the authors miss an opportunity here.

Thanks for pointing this out. We agree 1 Sv is too high for realistic fresh water forcing. However, in our idealized ocean alone experiment under present day climate forcing, fresh water has to be this large to shut down AMOC. We have run several different experiments, with fresh water forcing increasing from 0 to 1 Sv (Table below). If fresh water is 0.1 Sv, which is the order of realistic fresh water flux during Heinrich Event Stadial 1 (HS1), the AMOC is reduced only a little compared with control experiment. However, using the same model, under realistic forcing, this model is able to simulate the transient AMOC responses from 22ka to 13ka (Zhang et al., 2017). Therefore, AMOC response may depend on the initial climatology or depend on the location of fresh water forcing, which is out of the scope of this study. In our study, our experiments are highly idealized and we want to test how $^{231}\text{Pa}/^{230}\text{Th}$ responds to AMOC change. To shut down AMOC, we have to use this unrealistically large fresh water flux.

FW (50-70N)	0	0.1	0.3	0.5	0.7	1.0
AMOC	15.6	13.4	8.7	4.9	3.4	2.0

They also miss an opportunity by not-implementing bottom-scavenging. There are new GEOTRACES data out, which suggest non-negligible effects from nepheloid layers on $^{231}\text{Pa}/^{230}\text{Th}$. I would expect that (at least for p-fixed) this would be very laborious.

We agree nepheloid layers are important. Rempfer et al., 2017 came out after we have prepared our study. Their results suggest that the relationship between $^{231}\text{Pa}/^{230}\text{Th}$ and AMOC is not affected by boundary scavenging or bottom scavenging (pointed out in line 200-204). Therefore, since we are focusing on sediment $^{231}\text{Pa}/^{230}\text{Th}$ instead of water column activity, our results will not be influenced too much by including nepheloid layer. However, nepheloid layer must be included in future works. We have included this in line 487-489.

Lien 242: I don't understand this sentence at all. There is a reference to statistical values in Fig. 4a which are not there.

Sorry there is no longer values in Fig.4 after modification in our last version. We have deleted this reference in the text (line 240).

Figure 5: I cannot follow the statistics provided here. The yellow points in 5a hardly lead to a slope close to 1.

The purple line in Fig.5 is the least squared liner regression. For shallow layers, model results are much smaller than observation (red, blue dots). For deep layers, model results are much larger than observation (yellow dots). The least squared method of regression gives the result of the purple line and the slope is 1.02.

Line 259: I think I cannot accept that the dissolved fractions are simulated so utterly bad, simply "[...] because boundary scavenging and sediment resuspensions are not included in our model [...]". I suggest first that the authors re-examine the observational data. Which of the outliers (e.g. Fig. 5c) are reliable values with reasonable errors? Because what can we learn from a parameter set and model which is not able to reconstruct the magnitude of the particulate fraction. If this was already a problem in the studies by (Dutay, Lacan, Roy-Barman, & Bopp, 2009) and (Siddall et al., 2005), why not recalibrate the model? How did (Rempfer et al., 2017) cope with this problem?

In Fig 2 and 3, we show water column dissolved ^{231}Pa and ^{230}Th activity and the particulate $^{231}\text{Pa}/^{230}\text{Th}$ along two GEOTRACES transects. What each figure is about is listed at the top left of each figure. In both transects, the dissolved ^{231}Pa and ^{230}Th activity is too large in the abyssal compared with observations. That why we state that "Our model is unable to simulate the realistic dissolved ^{231}Pa and ^{230}Th activities in abyssal" in line 257-258. In Rempfer et al., 2017, they also show dissolved ^{231}Pa and ^{230}Th activity and the particulate $^{231}\text{Pa}/^{230}\text{Th}$ along the same two GEOTRACES transects. They show results in Re3d (without boundary scavenging and nepheloid layer), Rd3d_Bd (with boundary scavenging but with nepheloid layer), and Rd3d_BtBd (with boundary scavenging and nepheloid layer). There results shows that water column dissolved ^{231}Pa and ^{230}Th activity is very large in abyssal if there is no boundary scavenging and nepheloid layer (Re3d), which is similar to our results. But in Rd3d_BtBd, the water column dissolved ^{231}Pa and ^{230}Th activity is in the right magnitude compared with observation. This suggests that boundary scavenging and nepheloid layer are important

for simulating dissolved ^{231}Pa and ^{230}Th activity in abyssal. That's why we state that "With boundary scavenging and sediment resuspensions added, dissolved ^{231}Pa and ^{230}Th activities in the abyssal should be reduced" in line 259-261.

Line 281: "The sediment 231Pa/230Th in CTRL is overall consistent with observations [...]". Wouldn't it be interesting to go into more detail here? Where are they consistent? Which basin, which water depth? Is margin distance an issue? By carving out which region is worse represented than others a lot could be learned about and from the model. E.g. Southern Ocean: because opal fluxes are so high 231Pa/230Th can vary a lot (much more than in the Atlantic). Simulating correct absolute values is almost impossible because opal flux varies on very small spatial scales, which cannot be captured by any model. Thus, the quality of the model run assessed by observations from this area will inevitably lead to bad agreement.

We appreciate this suggestion. In this part, we are focusing on large scale sediment $^{231}\text{Pa}/^{230}\text{Th}$ distribution, which our model is able to capture as discussed in line 281-296. We did not go into details about sediment $^{231}\text{Pa}/^{230}\text{Th}$ distribution because it is not the focus of this study. But details of model sediment $^{231}\text{Pa}/^{230}\text{Th}$ performance can be useful, for example, to improve model biogeochemical module, and therefore worth further study.

Line 296: Where is the statement given here shown/demonstrated? Figure?

In Fig 1c, there is an opal maximum at about 40°N in the Atlantic. In this region, sediment $^{231}\text{Pa}/^{230}\text{Th}$ is also larger than surroundings (Fig. 4). We add this in line 296.

Line 303, Fig.6: I cannot follow the argumentation here. It would be necessary to increase the scale on Fig. 6a and b in order to better resolve the high values. At the moment any variations are hidden within the red colour. The finding, that K influences dissolved fractions but not particulate fractions needs much more explanation. The simplification with reference to Eq. 3 and 7 does not help much.

Thanks for the suggestion. We have changed the color scale for Fig. 6 a and b. The overall structures of dissolved ^{231}Pa and ^{230}Th activity are similar in two sensitivity experiments, but the magnitude is much larger in Exp_1 (smaller K) than Exp_2 (larger K).

We have re-written this part (line 303-332). We first derive the particulate and dissolved isotope activity under the assumption that there is no isotope decay and no ocean transport (Eq. 7 and 8). This can help us understand the difference between Exp_1 and Exp_2. For dissolved isotope activity, Eq. 8 suggests that increased K will lead to decreased dissolved isotope activity. For particulate isotope activity, Eq. 7 suggests that particulate isotope activity is independent of K. Therefore, particulate isotope activity in Exp_1 and Exp_2 does not change too much, especially compared with the changes in dissolved isotope activity.

Table 2: More realistic values for EXP1 and 2 would be appreciated in order to derive helpful insights from the model runs.

In (Siddall et al., 2005), they show model sensitivity with K one order of magnitude larger or smaller than the CTRL. Our experiments are similar to theirs. We want to show that how water column activity and sediment $^{231}\text{Pa}/^{230}\text{Th}$ change with K and also the K used in the control experiment is of the right order of magnitude. We agree that more experiments with K changes slightly around control will be helpful. This will act as a parameter tuning process and worth the effort in future studies. We have added this part in line 489-492.

Line 329: This statement should be proved statistically (like Fig. 5).

Thanks for this suggestion. We have included the RMSE for different experiments in line 339-340. The parameters in CTRL produce the minimum RMSE comparing with Exp_1 and Exp_2.

Line 360: In the following paragraph the effects of opal on $^{231}\text{Pa}/^{230}\text{Th}$ is discussed. However, the model generates opal fluxes not in agreement with reality. In the response to the reviewer the authors claim that the large scale global opal production is reflected well in the model (e.g. high in SO). I agree. They also claim that the question, why the models produces a “fake-bloom” of opal production in the Western North Atlantic, is beyond the scope of this study. I may accept this (but then one may questioning the validity of the model approach), however in this case the paragraph following line 360 needs to be written more carefully and with a clear statement, that opal is not well represented on smaller spatial scales. Same with line 409.

Thanks for this suggestion. It is hard to reproduce the productivity everywhere, especially on small scales. The productivity pattern produced by the biogeochemical module is consistent with observations over most regions. Model is never perfect. As long as it can help us understand something, it is useful. The opal bloom in the northwest Atlantic produced by the biogeochemical module in the CESM is not in the observation. But at least the pattern of Pa/Th response to the fresh water hosing is self-consistent with the productivity pattern in our model and can give some insights of interpreting sediment Pa/Th. We have added this part in line 377-380.

Line 419: Of course studies on AMOC reconstructions need to cross check opal fluxes, but this sentence spreads a way too negative message when based on unrealistic opal fluxes and hence I do not agree. Please rephrase.

Thanks for this suggestion. We have changed in line 438-439.

Fig 9: the difference between coupled and fixed are partly so big, that I wonder how both methods did agree so well before. Differences in the range of $\Delta^{231}\text{Pa}/^{230}\text{Th} > 0.1$ (e.g. 9d) are not increasing my confidence in the model. Observations are much more constrained. Again I plead for applying realistic model parameters only. Further, I could not find information on water depth and longitude of the values shown in Fig. 9 diagrams, which are essential for the interpretation.

The big difference between coupled and fixed in HOSING is the point we want to make: the particle fields matters. At time 0, when there is no freshwater forcing (CTRL

experiment), the fixed and coupled are the same. This is what shown in Fig. 4 and discussed in line 235-244: in CTRL, p-fixed and p-coupled results are identical, which is because the particle fields are essentially the same (control experiment, no extra forcing). However, when freshwater is added, both AMOC and particle field produced by biogeochemical module (Fig.8) change. E.g. Fig.9d, for p-fixed curve (green), the particle field is held the same. The increase of Pa/Th is caused by the reduce of AMOC. For p-coupled curve (red), AMOC will lead to an increase in Pa/Th (similar to the green curve), but particle change effect at this site will lead to a decrease in Pa/Th. Therefore, p-coupled Pa/Th at equilibrium (red) is much smaller than p-fixed Pa/Th (green). The difference between p-fixed and p-coupled is caused by the change of particle fields (Fig. 8). In reconstructions, it is hard to know how much Pa/Th change is caused by AMOC and how much is by particle. But in our model, by comparing p-fixed with p-coupled results, we can detangle the AMOC effect and particle effect. This is the point we have emphasized in several places (e.g. line 89-93, line 411-416 and line 417-439)

Fig.9 c-f are four sites picked in the North Atlantic to representing different mechanisms. The depth of each site is decided by the model topography (bottom cell at that location). Locations for Fig.9 c-f are picked for different reasons: (c) is a location in high latitude North Atlantic where opal production increases after applying fresh water forcing over 50°N-70°N (Fig. 8f); (d) is a location where opal production is the maximum in our model; (e) and (f) are locations near Bermuda Rise (McManus et al., 2004). These four locations behave differently in HOSING as discussed in section 4.3 (line 411-439)

Line 424: Why is there a decrease of $^{231}\text{Pa}/^{230}\text{Th}$ above 2 km only? To my understanding and as stated in line 442 the decrease affects all of the NADW seized water depths.

The pattern in Fig. 12 is also produced in Rempfer et al., 2017 (their Fig. 8). Vertical decrease of Pa_p/Th_p is suggested to be caused by the lateral transport by AMOC (line 277-280). Northward transport in the upper limb of AMOC will lead to ^{231}Pa import while southward transport in the lower limb of AMOC will lead to ^{231}Pa export. Therefore, we see a vertical decrease of $^{231}\text{Pa}/^{230}\text{Th}$ (Fig. 12c). If there is no more ocean transport by AMOC, then there is no more ^{231}Pa import for upper layer and $^{231}\text{Pa}/^{230}\text{Th}$ shows a decrease, and vice versa for deep layer. This is explained in detail in line 451-463.

Line 460: Yes, the parameters are somewhere in the range of the right magnitude, but not more. It would be great if this study would help to represent $^{231}\text{Pa}/^{230}\text{Th}$ in a realistic model, not only somewhere in the range of a factor of 25.

We have change this to “right order of magnitude” (line 341).

Fig10b: site locations are not visible.

We have enlarged the site location in this figure.

Fig12c: Please explain the change of direction of $^{231}\text{Pa}/^{230}\text{Th}$ with depth at about 4000m for ON

The increase of Pa/Th at about 4,000m for AMOC_on case is probably caused by AABW transport. AABW from the Southern Ocean transport ^{231}Pa enriched water northward, which results in the increase of Pa/Th. This is similar to the argument by (Thomas et al., 2006) (line 66-72).

Reference:

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^{231}Pa and ^{230}Th in the ocean model of the Community Earth System Model (CESM1.3)
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Abstract

Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is emerging as an important proxy for deep ocean circulation in the past. In order to allow for a direct model-data comparison and to improve our understanding of sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio, we implement ^{231}Pa and ^{230}Th in the ocean component of the Community Earth System Model (CESM). In addition to the fully coupled implementation of the scavenging behavior of ^{231}Pa and ^{230}Th with the active marine ecosystem module (p-coupled), another form of ^{231}Pa and ^{230}Th have also been implemented with prescribed particle flux fields of the present climate (p-fixed). The comparison of the two forms of ^{231}Pa and ^{230}Th helps to isolate the influence of the particle fluxes from that of ocean circulation. Under present day climate forcing, our model is able to simulate water column ^{231}Pa and ^{230}Th activity and sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in good agreement with available observations. In addition, the p-coupled and p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratios behave similarly over large areas of low productivity on long timescale to freshwater forcing, but can differ substantially in some regions of high productivity and on short timescale, indicating the importance of biological productivity in addition to ocean transport. Therefore, our model provides a potentially powerful tool to help our interpretation of sediment $^{231}\text{Pa}/^{230}\text{Th}$ reconstructions and to improve our understanding of past ocean circulation and climate changes.

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1. Introduction

Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio has been used as a proxy ~~for~~ ocean circulation in the past (e.g. Yu et al. 1996; McManus et al. 2004; Gherardi et al. 2009). ^{231}Pa (32.5 ka half-life) and ^{230}Th (75.2 ka half-life) are produced at a constant rate approximately uniformly in the ocean by the α decay of ^{235}U and ^{234}U , respectively, with a production activity ratio of 0.093 (Henderson and Anderson, 2003). Water column ^{231}Pa and ^{230}Th are subject to particle scavenging and transport to sediments (Bacon and Anderson, 1982; Nozaki et al., 1987). Different scavenging efficiency results in different ocean residence time: ^{231}Pa has a residence time of approximately 111 years and ^{230}Th has a residence time of approximately 26 years (Yu et al., 1996). Longer residence time of ^{231}Pa than ^{230}Th makes ^{231}Pa more subject to ocean transport and therefore in ~~the~~ modern ocean about 45% of ^{231}Pa produced in the Atlantic is transported to the Southern Ocean (Yu et al., 1996), resulting a lower than 0.093 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in the North Atlantic and higher than 0.093 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in the Southern Ocean.

The application of the principle above to interpret sediment $^{231}\text{Pa}/^{230}\text{Th}$ as the strength of Atlantic ~~m~~eridional ~~o~~verturning ~~c~~irculation (AMOC), however, can be complicated by other factors, leading to uncertainties in using $^{231}\text{Pa}/^{230}\text{Th}$ as a proxy for ~~past~~ circulation (Keigwin and Boyle, 2008; Lippold et al., 2009; Scholten et al., 2008). In addition to ~~the~~ ocean transport, sediment $^{231}\text{Pa}/^{230}\text{Th}$ is also influenced by particle flux and composition (Chase et al., 2002; Geibert and Usbeck, 2004; Scholten et al., 2008; Siddall et al., 2007; Walter et al., 1997). The region of a higher particle flux tends to have a higher $^{231}\text{Pa}/^{230}\text{Th}$ (Kumar et al., 1993; Yong Lao et al., 1992), which is referred to as the “particle flux effect” (Siddall et al., 2005). ~~Regional~~ ~~high~~ particle flux in the water column ~~will~~ favor the removal of isotopes into the sediment, which leads to more isotopes transported into this region due to the down-gradient diffusive flux and subsequently more removal of isotopes into the sediment. Since ^{231}Pa has a longer residence time, this effect is more prominent on ^{231}Pa than on ^{230}Th and therefore sediment $^{231}\text{Pa}/^{230}\text{Th}$ will be higher in high productivity regions. Also, opal is able to scavenge ^{231}Pa much more effectively than ^{230}Th , leading to higher $^{231}\text{Pa}/^{230}\text{Th}$ in high opal flux regions such as the Southern

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397 Ocean (Chase et al., 2002). Moreover, sediment $^{231}\text{Pa}/^{230}\text{Th}$ is suggested to record
 398 circulation change only within 1,000 m above the sediment, instead of the whole
 399 water column, complicating the interpretation of sediment $^{231}\text{Pa}/^{230}\text{Th}$
 400 reconstructions (Thomas et al., 2006). For example, sediment $^{231}\text{Pa}/^{230}\text{Th}$
 401 approaching 0.093 during Heinrich Stadial event 1(HS1) from the subtropical North
 402 Atlantic is interpreted as the collapse of AMOC (McManus et al., 2004). If sediment
 403 $^{231}\text{Pa}/^{230}\text{Th}$ only records deepest water mass, it is possible that during HS1, AMOC
 404 shoals, as opposed to a fully collapse, yet an increase of deep water imported from
 405 the Southern Ocean featuring high $^{231}\text{Pa}/^{230}\text{Th}$ can increase the sediment
 406 $^{231}\text{Pa}/^{230}\text{Th}$ approaching the production ratio (0.093) (Thomas et al., 2006).
 407 Therefore, it is important to incorporate ^{231}Pa and ^{230}Th into climate models for a
 408 direct model-data comparison and to promote a thorough understanding of
 409 sediment $^{231}\text{Pa}/^{230}\text{Th}$ as well as past ocean circulation.
 410 ^{231}Pa and ^{230}Th have been simulated in previous modeling studies (Dutay et
 411 al., 2009; Luo et al., 2010; Marchal et al., 2000; Rempfer et al., 2017; Siddall et al.,
 412 2005). Marchal et al., (2000) simulates ^{231}Pa and ^{230}Th in a zonally averaged
 413 circulation model, using the reversible scavenging model of Bacon and Anderson,
 414 (1982). One step further, Siddall et al. (2005) extends Marchal et al., (2000) by
 415 including particle dissolution with prescribed particle export production in a 3-D
 416 circulation model. Rempfer et al., (2017) further couples ^{231}Pa and ^{230}Th with active
 417 biogeochemical model and includes boundary scavenging and sediment
 418 resuspensions to improve model performance in simulating water column ^{231}Pa and
 419 ^{230}Th activity. Here we follow previous studies to implement ^{231}Pa and ^{230}Th into the
 420 Community Earth System Model (CESM). Our ^{231}Pa and ^{230}Th are coupled with
 421 active marine ecosystem model ("p-coupled") and p-coupled $^{231}\text{Pa}/^{230}\text{Th}$ is
 422 influenced by both ocean circulation change and particle flux change. To help to
 423 understand the influence of the particle flux, we have also implemented a "p-fixed"
 424 version of ^{231}Pa and ^{230}Th , for which the particle fluxes are fixed at prescribed
 425 values. Therefore, p-fixed $^{231}\text{Pa}/^{230}\text{Th}$ is only influenced by ocean circulation change.
 426 By comparing the p-fixed $^{231}\text{Pa}/^{230}\text{Th}$ with the p-coupled $^{231}\text{Pa}/^{230}\text{Th}$, we will be
 427 able to separate the effect of circulation change from particle flux change. In

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addition, the p-fixed ^{231}Pa and ^{230}Th can be run without the marine ecosystem module, reducing computational cost by a factor of 3 in the ocean-alone model simulation, making it a computationally efficient tracer for sensitivity studies.

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This paper describes the details of ^{231}Pa and ^{230}Th in CESM and serves as a reference for future studies using this tracer module. In section 2, we describe the model and the implementation of ^{231}Pa and ^{230}Th . In sections 3, we describe the experimental design. We will finally compare simulated ^{231}Pa and ^{230}Th fields with observations, show model sensitivities on model parameter and also sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio response to freshwater forcing in Section 4.

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2. Model Description

2.1 Physical Ocean Model

We implement ^{231}Pa and ^{230}Th in the ocean model (Parallel Ocean Program version 2, POP2) (Danabasoglu et al., 2012) of CESM (Hurrell et al., 2013). CESM is a state-of-the-art coupled climate model and studies describing model components and analyzing results can be found in a special collection in Journal of Climate (<http://journals.ametsoc.org/topic/ccsm4-cesm1>). We run the ocean-alone model, which is coupled to data atmosphere, land, ice and river runoff under the normal year forcing of CORE-II data (Large and Yeager, 2008), using the low-resolution version of POP2 with a nominal 3° horizontal resolution and 60 vertical layers.

2.2 Biogeochemical component (BGC)

CESM has incorporated a marine ecosystem module that simulates biological variables (Moore et al., 2013). The marine ecosystem module has been validated against present day observations extensively (e.g. Doney et al., 2009; Long et al., 2013; Moore et al., 2002, 2004; Moore and Braucher, 2008). The implementation of ^{231}Pa and ^{230}Th requires particle fields: CaCO_3 , opal and particulate organic carbon (POC). These particle fields can be obtained through the ecosystem driver from the ecosystem module (Jahn et al., 2015). The ecosystem module simulates the particle fluxes in reasonable agreement with the present-day observations. The pattern and magnitude of the annual mean particle fluxes (CaCO_3 , opal, POC) leaving the

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euphotic zone at 105m are similar to the satellite observations (Fig. 7.2.5 and 9.2.2 in Sarmiento and Gruber 2006) (Fig. 1 a~c): particle fluxes are higher in the high productivity regions such as high latitudes and equatorial Pacific; opal flux is high in the Southern Ocean. The remineralization scheme of particle is based on the ballast model of Armstrong et al., (2002). Detailed parameterizations for particle remineralization are documented in Moore et al., (2004) with temperature dependent remineralization length scales for POC and opal. We do not consider dust because it is suggested to be unimportant for ^{231}Pa and ^{230}Th fractionation (Chase et al., 2002; Siddall et al., 2005).

2.3 ^{231}Pa and ^{230}Th implementation

^{231}Pa and ^{230}Th are produced from the α decay of ^{235}U and ^{234}U uniformly everywhere at constant rate β^i ($\beta^{\text{Pa}} = 2.33 \cdot 10^{-3} \text{ dpm m}^{-3} \text{ yr}^{-1}$, $\beta^{\text{Th}} = 2.52 \cdot 10^{-2} \text{ dpm m}^{-3} \text{ yr}^{-1}$). ^{231}Pa and ^{230}Th are also subjective to radioactive decay with the decay constant of λ^i ($\lambda^{\text{Pa}} = 2.13 \cdot 10^{-5} \text{ yr}^{-1}$, $\lambda^{\text{Th}} = 9.22 \cdot 10^{-6} \text{ yr}^{-1}$).

Another important process contributes to ^{231}Pa and ^{230}Th activity is the reversible scavenging by sinking particles (Bacon and Anderson, 1982), which describes the adsorption of isotopes onto sinking particles and desorption after the dissolution of particles. This process transports ^{231}Pa and ^{230}Th downward and leads to a general increase of ^{231}Pa and ^{230}Th activity with depth. The reversible scavenging considers total isotope activity (A_t^i) as two categories (Eq. (1)): dissolved isotopes (A_d^i) and particulate isotopes (A_p^i) (superscript i refers to ^{231}Pa and ^{230}Th) and A_p^i is the sum of the isotopes associated with different particle types ($A_{j,p}^i$) (subscript j refers to different particle types: CaCO_3 , opal and POC):

$$A_t^i = A_d^i + A_p^i = A_d^i + \sum_j A_{j,p}^i \quad (1)$$

Dissolved and particulate isotopes are assumed to be in equilibrium, which is a reasonable assumption in the open ocean (Bacon and Anderson, 1982; Henderson et

al., 1999; Moore and Hunter, 1985). The ratio between the particulate isotope activity and the dissolved isotope activity is set by a partition coefficient, K (Eq. (2)):

$$K_j^i = \frac{A_{j,p}^i}{A_d^i \cdot R_j} \quad (2)$$

, where R_j is the ratio of particle concentration (C_j) to the density of seawater (1024.5 kg m⁻³). Subscript j refers to different particle types (CaCO₃, opal and POC). Values of partition coefficient K used in our control simulation follows Chase et al., 2002 and Siddall et al., 2005 (Table 2).

Particulate isotopes (A_p^i) will be transported by sinking particles, which is described by $w_s \frac{\partial A_p^i}{\partial z}$ (Eq. (3)), where w_s is the sinking velocity. We don't differentiate between slow sinking small particles and rapid sinking large particles as in Dutay et al., (2009) and consider all particles as slowly sinking small particles with sinking velocity of $w_s = 1000$ m yr⁻¹ (Arsouze et al., 2009; Dutay et al., 2009; Kriest, 2002), which is similar to Rempfer et al., (2017) and Siddall et al., (2005). Any particulate isotopes (A_p^i) at the ocean bottom layer are removed from the ocean as sediment, which is the sink for the isotope budget. Detailed vertical differentiation scheme to calculate this term in the model is provided in the supplementary material. The reversible scavenging scheme applied here is the same as the neodymium implementation in POP2 (Gu et al., 2017).

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Moved down [3]: Particle fields used in the reversible scavenging can be either prescribed or simultaneously generated from the marine ecosystem module. Therefore, two forms of ²³¹Pa and ²³⁰Th are implemented in POP2: "p-fixed" and "p-coupled". P-fixed ²³¹Pa and ²³⁰Th use particle fluxes prescribed as annual mean particle fluxes generated from the marine ecosystem module under present day climate forcing (Fig.1). P-coupled ²³¹Pa and ²³⁰Th use particle fluxes computed simultaneously from the marine ecosystem module. P-fixed and p-coupled ²³¹Pa and ²³⁰Th can be turned on at the case build time and the p-coupled ²³¹Pa and ²³⁰Th requires the ecosystem module to be turned on at the same time.

Therefore, the conservation equation for ²³¹Pa and ²³⁰Th activity can be written as

$$\frac{\partial A_t^i}{\partial t} = \beta^i - \lambda^i A_t^i - w_s \frac{\partial A_p^i}{\partial z} + Transport \quad (3),$$

where the total isotope activity is controlled by decay from U (first term), radioactive decay (second term), reversible scavenging (third term) and physical

transport by the ocean model (fourth term, including advection, convection and diffusion). A_p^i can be calculated by combining Eq. (1) and Eq. (2):

$$A_t^i = A_d^i + A_d^i \cdot (K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal})$$

$$= A_d^i \cdot (1 + K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal}), \quad (4)$$

which leads to

$$A_d^i = \frac{A_t^i}{1 + K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal}}, \quad (5)$$

put this back to Eq.(1), we get

$$A_p^i = A_t^i \cdot \left(1 - \frac{1}{1 + K_{POC}^i \cdot R_{POC} + K_{CaCO_3}^i \cdot R_{CaCO_3} + K_{opal}^i \cdot R_{opal}}\right) \quad (6)$$

Particle fields used in the reversible scavenging can be either prescribed or simultaneously generated from the marine ecosystem module. Therefore, two forms of ^{231}Pa and ^{230}Th are implemented in POP2: “p-fixed” and “p-coupled”. P-fixed ^{231}Pa and ^{230}Th use particle fluxes prescribed as annual mean particle fluxes generated from the marine ecosystem module under present day climate forcing (Fig.1). P-coupled ^{231}Pa and ^{230}Th use particle fluxes computed simultaneously from the marine ecosystem module. P-fixed and p-coupled ^{231}Pa and ^{230}Th can be turned on at the case build time and the p-coupled ^{231}Pa and ^{230}Th requires the ecosystem module to be turned on at the same time.

Comparing with previous studies of modeling ^{231}Pa and ^{230}Th , our p-fixed version is the same as Siddall et al., (2002), except that different prescribed particle fluxes are used. The p-coupled version allows coupling to biogeochemical module, which is similar to Rempfer et al., (2017), but we do not include boundary scavenging and sediment resuspensions as in Rempfer et al., (2017) because boundary scavenging and sediment resuspensions are suggested to be unimportant to influence the relationship between $^{231}\text{Pa}_p/^{230}\text{Th}_p$ and AMOC strength (Rempfer et al., 2017).

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3. Experiments

We run a control experiment (CTRL) and two experiments with different partition coefficients to show model sensitivity. We have both p-fixed and p-coupled ^{231}Pa and ^{230}Th in CTRL, but only p-fixed ^{231}Pa and ^{230}Th in sensitivity experiments. Equilibrium partition coefficients for ^{231}Pa and ^{230}Th vary among different particle types and the magnitude of the partition coefficients for different particle types remains uncertain (Chase et al., 2002; Chase and Robert F, 2004; Luo and Ku, 1999). Since the control experiment in Siddall et al., (2005) is able to simulate major features of ^{231}Pa and ^{230}Th distributions, we use the partition coefficients from the control experiment in Siddall et al., (2005) in our CTRL (Table 2). Two sensitivity experiments are performed with decreased (EXP_1) and increased (EXP_2) partition coefficients by a factor of 5 (Table 2).

All the experiments are ocean-alone experiments with the normal year forcing by CORE-II data (Large and Yeager, 2008). The ^{231}Pa and ^{230}Th activities are initiated from 0 in CTRL and are integrated for 2,000 model years until equilibrium is reached. EXP_1 and EXP_2 are initiated from 1,400 model year in CTRL and are integrated for another 800 model years to reach equilibrium.

Since sediment $^{231}\text{Pa}/^{230}\text{Th}$ in North Atlantic has been used to reflect the strength of AMOC, to test how sediment $^{231}\text{Pa}/^{230}\text{Th}$ in our model responds to the change of AMOC and the change of particle fluxes, we carried out a fresh water perturbation experiment (HOSING) with both p-fixed and p-coupled ^{231}Pa and ^{230}Th . Starting from 2,000 model year of CTRL, a freshwater flux of 1 Sv is imposed over the North Atlantic region of $50^\circ\text{N}\sim 70^\circ\text{N}$ and the experiment is integrated for 1400 model years until both p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio have reached quasi-equilibrium. The partition coefficients used in HOSING are the same as in CTRL.

4. Results

4.1 Control Experiment

P-fixed and p-coupled version of ^{231}Pa and ^{230}Th in CTRL show identical results (Fig. 2-4). P-fixed and p-coupled dissolved and particulate ^{231}Pa and ^{230}Th in

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CTRL are highly correlated with each other with correlations greater than 0.995 and regression coefficients are all near 1.0 ($R^2 > 0.995$). The correlation coefficient between p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratios in CTRL is 0.99 and the regression coefficient is 0.9 ($R^2 = 0.98$). This is expected because the particle fields used in p-fixed version are prescribed as the climatology of the particle fields used in the p-coupled version. Therefore, under the same climate forcing, p-fixed and p-coupled version of ^{231}Pa and ^{230}Th should be very similar. For the discussion of results in CTRL below, we only discuss the p-fixed ^{231}Pa and ^{230}Th .

The residence time of both ^{231}Pa and ^{230}Th in CTRL are comparable with observations. The residence time is calculated as the ratio of global average total isotope activity and the radioactive ingrowth of the isotope. Residence time in CTRL is 118 yr for ^{231}Pa and 33 yr for ^{230}Th (Table 2), which are of the same magnitude as 111 yr for ^{231}Pa and 26 yr for ^{230}Th in observation (Yu et al., 1996).

CTRL can simulate the general features of dissolved water column ^{231}Pa and ^{230}Th activities. Dissolved ^{231}Pa and ^{230}Th activities increase with depth in CTRL, as shown in two GEOTRACES transects (Deng et al., 2014; Hayes et al., 2015) in the Atlantic (Fig. 2 and 3). The dissolved ^{231}Pa and ^{230}Th activities in CTRL are also at the same order of magnitude as in observations in the most of the ocean, except that simulated values are larger than observations in the abyssal, which is also the case in Siddall et al., (2005) and Rempfer et al., (2017) (their Fig. 2 and 3, experiment Re3d). Our model is unable to simulate the realistic dissolved ^{231}Pa and ^{230}Th activities in abyssal because boundary scavenging and sediment resuspensions are not included in our model. With boundary scavenging and sediment resuspensions added, dissolved ^{231}Pa and ^{230}Th activities in the abyssal should be greatly reduced (Rempfer et al., 2017).

A more quantitative model-data comparison is shown in Fig. 5. The linear regression coefficient between model results and observations (references of observations are listed in Table 3), an indication of model ability to simulate ^{231}Pa and ^{230}Th activity (Dutay et al., 2009), is near 1.0 for dissolved ^{231}Pa and ^{230}Th (1.02 for $^{231}\text{Pa}_d$ and 1.14 for $^{230}\text{Th}_d$), suggesting that CTRL can simulate the dissolved ^{231}Pa and ^{230}Th in good agreement with observations. However, the simulation of

645 the particulate activity is not as good as the dissolved activity. Particulate activity is
646 overall larger than observation, in the surface ocean and smaller than observation in
647 the deep ocean for both particulate ^{231}Pa and ^{230}Th . The regression coefficient for
648 particulate ^{231}Pa and ^{230}Th is 0.02 for $[^{231}\text{Pa}]_p$ and 0.05 for $[^{230}\text{Th}]_p$. The poor
649 performance in simulating water column particulate ^{231}Pa and ^{230}Th activities is also
650 in previous modeling studies (Dutay et al., 2009; Siddall et al., 2005), because of
651 similar modelling scheme are applied. However, the simulated $^{231}\text{Pa}_p/^{230}\text{Th}_p$ is ~~in~~
652 ~~reasonable agreement with observations~~. The $^{231}\text{Pa}_p/^{230}\text{Th}_p$ along two GEOTRACES
653 ~~transects~~ (Fig. 2 and 3) show the similar pattern and magnitude as in Rempfer et al.,
654 (2017), ~~consistent with observations~~. Decrease of $^{231}\text{Pa}_p/^{230}\text{Th}_p$ with depth is well
655 simulated, which is suggested to be caused by the lateral transport of ^{231}Pa from
656 North Atlantic to Southern Ocean by AMOC (Gherardi et al., 2009; Lippold et al.,
657 2011, 2012a; Luo et al., 2010; Rempfer et al., 2017).

658 The sediment $^{231}\text{Pa}/^{230}\text{Th}$ in CTRL is overall consistent with observations
659 (references of observations are listed in Table 3). The North Atlantic shows low
660 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio as in observations because ^{231}Pa is more subject
661 to ~~the southward~~ transport by active ocean circulation than ^{230}Th because of ~~its~~
662 longer residence time. The Southern Ocean maximum in the sediment $^{231}\text{Pa}/^{230}\text{Th}$
663 activity ratio is also simulated in CTRL. High opal fluxes in the Southern Ocean,
664 which preferentially removes ^{231}Pa into sediment ($K_{opal}^{231Pa} > K_{opal}^{230Th}$) (Chase et al.,
665 2002), leading to increased sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio. In addition,
666 upwelling in the Southern Ocean brings up deep water enriched with ^{231}Pa , which is
667 transported from the North Atlantic, to shallower depth and further contribute to
668 the scavenging. CTRL can also produce higher sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in
669 regions with high particle production (e.g. the Eastern equatorial Pacific, the North
670 Pacific and the Indian Ocean) due to the “particle flux effect”. Specifically, in North
671 Atlantic, the distribution of sediment $^{231}\text{Pa}/^{230}\text{Th}$ matches the distribution of
672 particle, especially opal, production: sediment $^{231}\text{Pa}/^{230}\text{Th}$ is higher where opal
673 production is high, and vice versa (Fig. 4 and Fig. 1c).

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4.2 Sensitivity on partition coefficient K

In this section, we show model sensitivity on partition coefficient by increasing and decreasing the partition coefficient, K, by a factor of 5, but keep the relative ratio for different particles the same (Table 2). Our model shows similar model sensitivity as in Siddall et al., (2005) as discussed below.

As stated in Siddall et al., (2005), the isotope decay term in Eq. (3) is three orders of magnitude less than the production term. If we neglect the transport term and the decay term in Eq. (3) and assume particulate phase activity at the surface as 0, when reach equilibrium, the activity of particulate phase will be as in Eq. (7). Eq.(7) combined with Eq.(2) and $R_i = \frac{F}{w_s * \rho}$, we can get Eq.(8). Under the assumption that there is isotope decay and ocean transport, Eq. (7) suggests that the particulate isotope activity depends on the production rate and settling velocity and will increase linearly with depth. Eq. (8) suggests that the dissolved isotope activity depends on the production rate, partition coefficient K and particle flux and will also increase linearly with depth. Any departure from this linear relationship with depth is due to ocean transport, which is suggested by observations (Bacon and Anderson, 1982; Roy-Barman et al., 1996). Results of Eq. (7) and Eq. (8) can help to understand the differences in Exp 1 and Exp 2.

Increasing K will decrease water column dissolved ^{231}Pa and ^{230}Th activities but won't change particulate ^{231}Pa and ^{230}Th too much (Fig. 6). Magnitude of dissolved ^{231}Pa and ^{230}Th in Exp 1 (smaller K) is at least one order larger than that in Exp 2 (larger K), while magnitude of particulate ^{231}Pa and ^{230}Th in Exp 1 and Exp 2 is in the same order. As suggested by Eq. (8), if there is no isotope decay and no ocean transport, larger K will lead to smaller dissolved isotope activity but unchanged particulate activity. Intuitively, larger K will lead to more ^{231}Pa and ^{230}Th attached to particles and further buried into sediment, which increases the sink for the ^{231}Pa and ^{230}Th budget. With the sources for ^{231}Pa and ^{230}Th staying the same, dissolved ^{231}Pa and ^{230}Th will be reduced. Increasing K will also reduce the vertical gradient of dissolved ^{231}Pa and ^{230}Th as reversible scavenging act as the vertical transport and increase this vertical transport can decrease the vertical gradient.

Moved down [2]: Increasing K will decrease water column dissolved ^{231}Pa and ^{230}Th activities but won't change particulate ^{231}Pa and ^{230}Th too much (Fig. 6).

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However, changes in the particulate ^{231}Pa and ^{230}Th is relatively small (Fig. 6). Eq. (7) suggests that particulate phase activity is independent of K . Therefore, changing K will have limited influence on particulate phase activity.

$$A_p^i(z) = \frac{\beta^i}{w_s} \cdot z \quad (7)$$

$$A_d^i(z) = \frac{\rho\beta^i}{K^i F} \cdot z \quad (8)$$

Increasing K will also reduce the spatial gradient in sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio and vice versa (Fig. 7). Larger K will decrease the ^{231}Pa and ^{230}Th residence time and most isotopes produced in the water column are removed into sediment locally (Table 2). Therefore, sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio becomes more homogeneous and approaching the production ratio of 0.093 (Fig. 7b). The deviation (the root mean squared error) of sediment $^{231}\text{Pa}/^{230}\text{Th}$ is 0.0726 in CTRL, 0.0770 in Exp 1 and 0.0739 in Exp 2. Together with the water column isotope activity, it suggests that the partition coefficient in CTRL is of the right order of magnitude.

4.3. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in HOSING

Potential changes in the export of biogenic particles makes using $^{231}\text{Pa}/^{230}\text{Th}$ ratio to reconstructing AMOC strength under debate. In response to freshwater perturbation in the North Atlantic, both biological productivity and AMOC strength will change and will influence sediment $^{231}\text{Pa}/^{230}\text{Th}$ in different ways. Our model with p-fixed and p-coupled ^{231}Pa and ^{230}Th can help to detangle these two effects. In this section, we examine the sediment $^{231}\text{Pa}/^{230}\text{Th}$ (p-fixed and p-coupled) response in the North Atlantic to idealized fresh water perturbation.

In HOSING, after applying freshwater forcing to the North Atlantic, AMOC strength quickly decreases to a minimum of 2 Sv (AMOC_off) (Fig. 9a). During the AMOC_off state, compared with CTRL with active AMOC (AMOC_on), p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ shows an overall increase in the North Atlantic and a decrease

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Moved up [1]: any departure from this linear relationship with depth is due to ocean transport, which is suggested by observations (Bacon and Anderson, 1982; Roy-Barman et al., 1996).

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in the South Atlantic (Fig. 10b) because of the reduced southward transport of ^{231}Pa from the North Atlantic by AMOC, consistent with paleo proxy evidence there (e.g. Gherardi et al., 2005, 2009; McManus et al., 2004). The overall increase of sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in the North Atlantic in response to the AMOC collapse can be seen more clearly in the time evolution of the sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio averaged from 20°N to 60°N in the North Atlantic (Fig.9b, green). Quantitatively, the $^{231}\text{Pa}/^{230}\text{Th}$ increases from 0.074 in AMOC_on to 0.098 in AMOC_off in the p-fixed version, approaching the production ration of 0.093. This increase of $^{231}\text{Pa}/^{230}\text{Th}$ is also in the subtropical North Atlantic from the two sites near Bermuda Rise (Fig. 9e and f), which is of comparable magnitude with the change from LGM to HS1 in reconstructions there (McManus et al., 2004). In addition, the pattern of p-fixed (Fig.10a) sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio during the Atlantic in AMOC_off state is similar to the opal distribution (Fig.1b) because, without active circulation, sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio is more controlled by particle flux effect, which is similar to the Pacific in CTRL. It is further noted that our p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in HOSING behaves similarly to that in Siddall et al., (2007).

The overall increase in p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in the North Atlantic is not homogenous and the magnitude of the change between AMOC_on and AMOC_off varies with location because of the distribution of particle flux, especially opal flux (Fig.9 and 10). The maximum increase in p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio occurs near 40°N western Atlantic, where the opal production in our model is maximum in North Atlantic (Fig. 1b). It should be noted that the opal maximum in this region is not in the observation (Fig. 7.2.5 in Sarmiento and Gruber 2006). However, our sediment $^{231}\text{Pa}/^{230}\text{Th}$ response in HOSING is self-consistent with the particle flux in our model. The sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio in this region during AMOC_on is larger than production ratio of 0.093 because opal maximum provides extra ^{231}Pa to this region ("particle flux effect"), which overwhelms the active ocean circulation transporting ^{231}Pa southward outside this region (Fig. 9d, green). During AMOC_off, without active ocean circulation, the particle flux effect becomes even stronger because less ^{231}Pa is transported out of the North Atlantic and p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio gets even larger.

In most regions of the Atlantic, p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ shows a similar response to p-fixed $^{231}\text{Pa}/^{230}\text{Th}$ in HOSING. The evolution of p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in HOSING are highly correlated (Fig. 11a). The change of sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio from AMOC_on to AMOC_off are similar in both p-fixed and p-coupled version (Fig.11b). The correlation between p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio change from AMOC_on to AMOC_off is 0.72 (1455points) and the linear regression coefficient is 0.71 ($R^2 = 0.52$). High correlation between p-fixed and p-coupled response mainly happens over low productivity regions (Fig.1, 10, and 11), where circulation effect on sediment $^{231}\text{Pa}/^{230}\text{Th}$ is more important than the particle flux change in HOSING.

However, the responses of p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ to the fresh water forcing can differ significantly in high productivity regions because of the importance of the productivity change. With persistent freshwater forcing over the North Atlantic, most regions in the North Atlantic show reduced production of CaCO_3 , opal and POC (Fig. 8). Productivity in the North Atlantic is suggested to be halved during AMOC collapse because of increased stratification, which reduces nutrient supply from deep ocean (Schmittner, 2005). In our model, the productivity in the mid-latitude North Atlantic is indeed greatly reduced after the freshwater forcing is applied. For example, opal production from 30°N-50°N in the Atlantic at the end of HOSING is reduced by 50%~90% of its original value in CTRL. However, opal production increases in high latitude North Atlantic (north of 50°N). The pattern of opal production changes with high opal production region shifts northward in HOSING (Fig. 8 d, e and f). These particle flux changes will influence sediment $^{231}\text{Pa}/^{230}\text{Th}$ as discussed below.

North of 50°N in the Atlantic, the opal productivity increases during AMOC_off (Fig. 8f) and will result an increase in sediment $^{231}\text{Pa}/^{230}\text{Th}$. The increase caused by greater opal productivity enhances the sediment $^{231}\text{Pa}/^{230}\text{Th}$ increase caused by reduced AMOC. Therefore, the increase in p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ from AMOC_on to AMOC_off is larger than p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ change (Fig.9c).

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836 In the mid-latitude North Atlantic, the opal productivity decreases during
837 AMOC_off (Fig.8 f) and will lead to a decrease in sediment $^{231}\text{Pa}/^{230}\text{Th}$, which is
838 opposite to the effect of reduced AMOC. P-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ shows an
839 initial decrease in first 200 years (Fig.9 d, e, and f, red dash lines) caused by the
840 reduced opal productivity. But this decrease trend is reversed eventually, suggesting
841 that the influence of particle flux change is overwhelmed by the effect of reduced
842 AMOC. It the long run, most regions in the subtropical and mid-latitude Atlantic
843 show increased sediment $^{231}\text{Pa}/^{230}\text{Th}$ in HOSING (Fig.10 d), indicating the dominant
844 effect of reduced AMOC. However, sediment $^{231}\text{Pa}/^{230}\text{Th}$ at 40°N west Atlantic,
845 where opal productivity is maximum during AMOC_on, show a decrease from
846 AMOC_on to AMOC_off (Fig.9 d and Fig.10 d). During AMOC_on, the opal productivity
847 maximum at 40°N west Atlantic lead to regional maximum sediment $^{231}\text{Pa}/^{230}\text{Th}$
848 because of the particle flux effect (Fig. 4). During AMOC_off, this opal productivity
849 maximum is eliminated (Fig.8 e) and there is no more extra ^{231}Pa supplied by
850 surroundings to this region, which leads to a decrease in sediment $^{231}\text{Pa}/^{230}\text{Th}$. This
851 decrease in sediment $^{231}\text{Pa}/^{230}\text{Th}$ caused by productivity change is greater than the
852 increase caused by the reduced AMOC. Therefore, sediment $^{231}\text{Pa}/^{230}\text{Th}$ experiences
853 a decrease from AMOC_on to AMOC_off at this location (Fig.9 d and Fig.10 d). Our
854 results suggest that although the circulation effect is more dominant than the
855 particle flux change in controlling sediment $^{231}\text{Pa}/^{230}\text{Th}$ on long time scale over
856 most of North Atlantic (Fig. 11), particle flux change can be important on short time
857 scale and in high productivity regions. With p-fixed and p-coupled ^{231}Pa and ^{230}Th ,
858 our model can help to detangle the circulation effect and particle flux effect.
859
860 It is suggested that the particulate $^{231}\text{Pa}/^{230}\text{Th}$ response to the change of
861 AMOC depends on the location and depth. Above 2km and high latitude North
862 Atlantic, particulate $^{231}\text{Pa}/^{230}\text{Th}$ decreases with the increased AMOC (Rempfer et al.,
863 2017). Our results are consistent with this finding (Fig. 12 a and b). Both p-fixed and
864 p-coupled particulate $^{231}\text{Pa}/^{230}\text{Th}$ show similar patterns of change from AMOC_on to
865 AMOC_off: decrease in particulate $^{231}\text{Pa}/^{230}\text{Th}$ at shallow depth and north of 60°N
866 and increase in particulate $^{231}\text{Pa}/^{230}\text{Th}$ below 2km and south of 60°N during

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AMOC_off. Therefore, sediment depth should also be taken into consideration when interpreting sediment $^{231}\text{Pa}/^{230}\text{Th}$. Since the pattern in p-coupled is similar to the pattern in p-fixed, the opposite particulate $^{231}\text{Pa}/^{230}\text{Th}$ changes in shallow and deep North Atlantic is associated with AMOC change. During AMOC_on, upper limb of AMOC (about upper 1km) transport water northward, which provides extra ^{231}Pa to North Atlantic and particulate $^{231}\text{Pa}/^{230}\text{Th}$ is larger than the production ratio of 0.093. In contrast, the lower limb of AMOC (2km-3km) features southward transport, which transports ^{231}Pa to the Southern Ocean and particulate $^{231}\text{Pa}/^{230}\text{Th}$ is smaller than the production ratio of 0.093 (Fig. 12 solid). Particulate $^{231}\text{Pa}/^{230}\text{Th}$ decreases with depth (Fig. 12 c solid). During AMOC_off, ocean transport of ^{231}Pa is greatly reduced. Therefore, shallow (deep) depth experiences a decrease (increase) in particulate $^{231}\text{Pa}/^{230}\text{Th}$ and the vertical gradient in the particulate $^{231}\text{Pa}/^{230}\text{Th}$ is also greatly reduced (Fig. 12 c dash). Our results support that the depth dependence of particulate $^{231}\text{Pa}/^{230}\text{Th}$ is mainly caused by lateral transport of ^{231}Pa by circulation (Gherardi et al., 2009; Lippold et al., 2011, 2012a; Luo et al., 2010; Rempfer et al., 2017).

Overall, our model is able to simulate the correct magnitude of the sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio response to the freshwater forcing. Change of circulation has the dominant influence on sediment $^{231}\text{Pa}/^{230}\text{Th}$ on long time scale over most of regions in the idealized hosing experiment, although the detailed difference between p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio response to freshwater forcing in different locations can be complicated.

5. Summary

^{231}Pa and ^{230}Th have been implemented in the ocean model of the CESM in both the p-coupled and p-fixed forms. Our control experiment under present day climate forcing is able to simulate most ^{231}Pa and ^{230}Th water column activity and sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio consistent with observations by using the parameters that are suggested by Chase et al., (2002) and used in Siddall et al.

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(2005). Our sensitivity experiments with varying parameters suggest that these parameters are of the right **order of** magnitude.

Furthermore, our model is able to simulate the overall sediment $^{231}\text{Pa}/^{230}\text{Th}$ ratio change in the North Atlantic with a magnitude comparable to the reconstruction in response to the collapse of AMOC, although the detailed response can be complicated in different regions. Finally, the p-fixed form is able to capture many major features of that of the p-coupled form over large ocean areas on long time scale, although the two forms can also differ significantly in some regions, especially the region with high opal productivity.

However, our implementation of ^{231}Pa and ^{230}Th can be further improved by including nepheloid layers to better simulate water column ^{231}Pa and ^{230}Th activity as in Rempfer et al., 2017. In addition, partition coefficient for different particles can be further tuned in the future, which can improve our understanding of the affinity of ^{231}Pa and ^{230}Th to different particles, complementing the limited observational studies available (e.g. Chase et al., 2002; Scholten et al., 2005; Walter et al., 1997). Nevertheless, as the first attempt to implement ^{231}Pa and ^{230}Th in the CESM with both p-fixed and p-coupled versions, our model can serve as a useful tool to improve our understanding of the processes of ^{231}Pa and ^{230}Th and also interpretations of sediment $^{231}\text{Pa}/^{230}\text{Th}$ reconstructions for past ocean circulation and climate changes.

Code availability:

The ^{231}Pa and ^{230}Th isotope source code of both p-fixed and p-coupled versions for CESM1.3 is included as supplementary material here.

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Deleted: Therefore, with both p-fixed and p-coupled ^{231}Pa and ^{230}Th , our model can serve as a useful tool to improve our understanding of the processes of ^{231}Pa and ^{230}Th and also interpretations of sediment $^{231}\text{Pa}/^{230}\text{Th}$ reconstructions for past ocean circulation and climate changes.

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Variable	Symbol	Value	Units
Production of ²³¹ Pa from U decay	β ^{Pa}	2.33*10 ⁻³	dpm m ⁻³ yr ⁻¹
Production of ²³⁰ Th from U decay	β Th	2.52*10 ⁻²	dpm m ⁻³ yr ⁻¹
Decay constant of ²³¹ Pa	λ ^{Pa}	2.13*10 ⁻⁵	yr ⁻¹
Decay constant of ²³⁰ Th	λ Th	9.22*10 ⁻⁶	yr ⁻¹
Index for ²³¹ Pa and ²³⁰ Th	i		
Index for particle type	j		
Total isotope activity	A _t		dpm m ⁻³
Dissolved isotope activity	A _d		dpm m ⁻³
Particle associated activity	A _p		dpm m ⁻³
Particle settling velocity	w _s	1000	m yr ⁻¹
Particle concentration	C		kg m ⁻³
Density of seawater		1024.5	kg m ⁻³
Ratio between particle concentration and density of seawater	R		

1327 Table 1. List of parameters, abbreviations and values.
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	CTRL		EXP_1		EXP_2	
	²³¹ Pa	²³⁰ Th	²³¹ Pa	²³⁰ Th	²³¹ Pa	²³⁰ Th
K _{CaCO₃}	2.5*10 ⁵	1.0*10 ⁷	5*10 ⁴	2*10 ⁶	1.25*10 ⁶	5*10 ⁷
K _{opal}	1.67*10 ⁶	5*10 ⁵	3.33*10 ⁵	1*10 ⁵	8.33*10 ⁶	2.5*10 ⁶
K _{P_{OC}}	1.0*10 ⁷	1.0*10 ⁷	2*10 ⁶	2*10 ⁶	5*10 ⁷	5*10 ⁷
τ (yr)	118	33	501	143	27	9

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1330 Table 2. Partition coefficients for different particle types and residence time for
1331 ²³¹Pa and ²³⁰Th in different experiments. Partition coefficients used in CTRL follows
1332 (Chase et al., 2002; Siddall et al., 2005). Both p-coupled and p-fixed versions are
1333 enabled in CTRL, which yields identical results (discussed in section 4.1). Only p-
1334 fixed version is enabled in Exp 1 and Exp 2. The residence time (τ) is for p-fixed
1335 version in each experiment.

WATER COLUMN ACTIVITY (Guo et al., 1995)	Holocene core-top ²³¹Pa/²³⁰Th (Yu, 1994)
(Cochran et al., 1987)	(DeMaster, 1979)
(Nozaki et al., 1987)	(Bacon and Rosholt, 1982)
(Bacon and Anderson, 1982)	(Mangini and Diester-Hass, 1983)
(Bacon et al., 1989)	(Kumar, 1994)

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(Huh and Beasley, 1987)	(Yang et al., 1986)
(Rutgers van der Loeff and Berger, 1993)	(Anderson et al., 1983)
(Nozaki et al., 1981)	(Anderson et al., 1994)
(Nozaki and Nakanishi, 1985)	(Ku, 1966)
(Mangini and Key, 1983)	(Ku et al., 1972)
(Nozaki and Horibe, 1983)	(Frank et al., 1994)
(Moore, 1981)	(Shimmield et al., 1986)
(Nozaki and Yamada, 1987)	(Frank, 1996)
(Roy-Barman et al., 1996)	(Yong Lao et al., 1992)
(Nozaki and Yang, 1987)	(Francois et al., 1993)
(Moran et al., 1995)	(Anderson et al., 1990)
(Luo et al., 1995)	(Mangini and Sonntag, 1977)
(Colley et al., 1995)	(Schmitz et al., 1986)
(Scholten et al., 1995)	(Shimmield and Price, 1988)
(Cochran et al., 1995)	(Yong-Liang Yang et al., 1995)
(Vogler et al., 1998)	(Müller and Mangini, 1980)
(Moran et al., 1997)	(Mangini and U., 1987)
(Edmonds et al., 1998)	(Scholten et al., 1995)
(Moran et al., 2001)	(Walter et al., 1997)
(Edmonds et al., 2004)	(Lippold et al., 2011)
(Okubo et al., 2007b)	(Lippold et al., 2012b)
(Coppola et al., 2006)	(Bradtmitter et al., 2007)
(Moran et al., 2002)	(Gherardi et al., 2005)
(Okubo et al., 2004)	(Gutjahr et al., 2008)
(Okubo et al., 2007a)	(Hall et al., 2006)
(Okubo et al., 2012)	(Lippold et al., 2011)
(Robinson et al., 2004)	(Roberts et al., 2014)
(Thomas et al., 2006)	(Bradtmitter et al., 2014)
(Trimble et al., 2004)	(Burckel et al., 2016)
(Venchiarutti et al., 2011)	(Hoffmann et al., 2013)
(Hsieh et al., 2011)	(Jonkers et al., 2015)
(Scholten et al., 2008)	(Negre et al., 2010)
(Luo et al., 2010)	
(Deng et al., 2014)	
(Hayes et al., 2013)	
(Hayes et al., 2015)	

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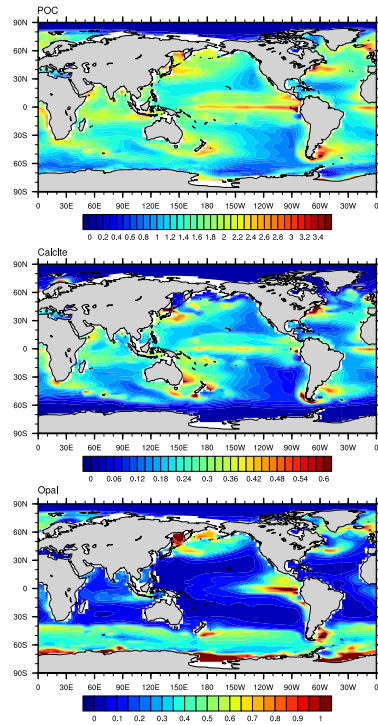
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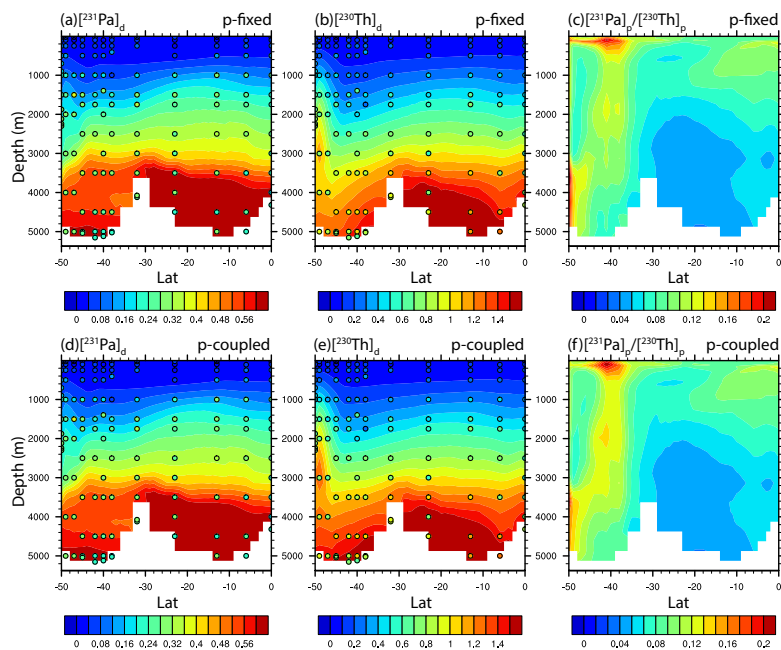
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Table 3. References for observations of water column ^{231}Pa and ^{230}Th activity and Holocene core-top $^{231}\text{Pa}/^{230}\text{Th}$.

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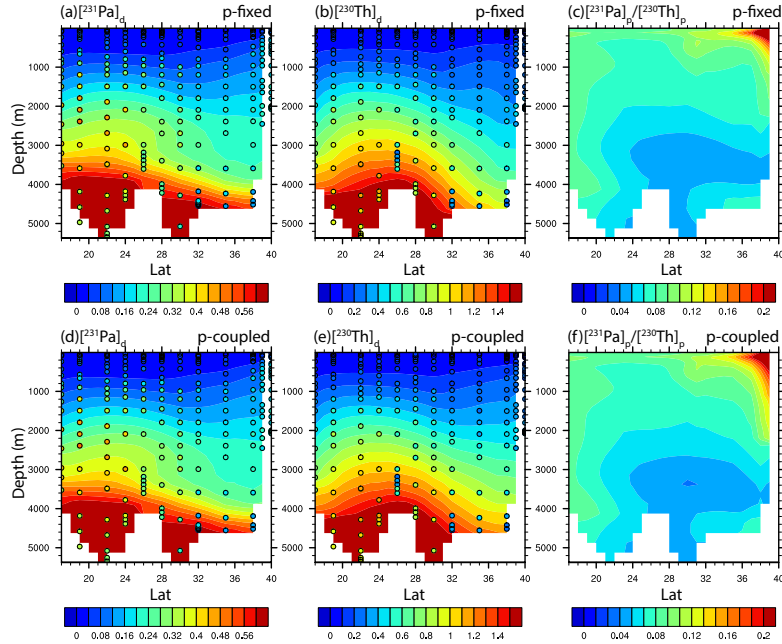


1371
 1372 Figure 1. Annual mean particle fluxes in CESM. (a) CaCO_3 flux at 105m ($\text{mol m}^{-2} \text{yr}^{-1}$).
 1373 (b) Opal flux at 105m ($\text{mol m}^{-2} \text{yr}^{-1}$). (c) POC flux at 105m ($\text{mol m}^{-2} \text{yr}^{-1}$).
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1377 Figure 2. Dissolved ^{231}Pa , dissolved ^{230}Th and particulate $^{231}\text{Pa}/^{230}\text{Th}$ in CTRL along
 1378 GEOTRACES transect GA02S (Deng et al., 2014) (the track is indicated in Fig. S4) for
 1379 both p-fixed and p-coupled ^{231}Pa and ^{230}Th . Observations of dissolved ^{231}Pa and
 1380 ^{230}Th activity are superimposed using the same colormap.



1381
 1382 Figure 3. Dissolved ^{231}Pa , dissolved ^{230}Th and particulate $^{231}\text{Pa}/^{230}\text{Th}$ in CTRL along
 1383 GEOTRACES transect GA03 (Hayes et al., 2015) (the track is indicated in Fig. S4) for
 1384 both p-fixed and p-coupled ^{231}Pa and ^{230}Th . Observations of dissolved ^{231}Pa and
 1385 ^{230}Th activity are superimposed using the same colormap.

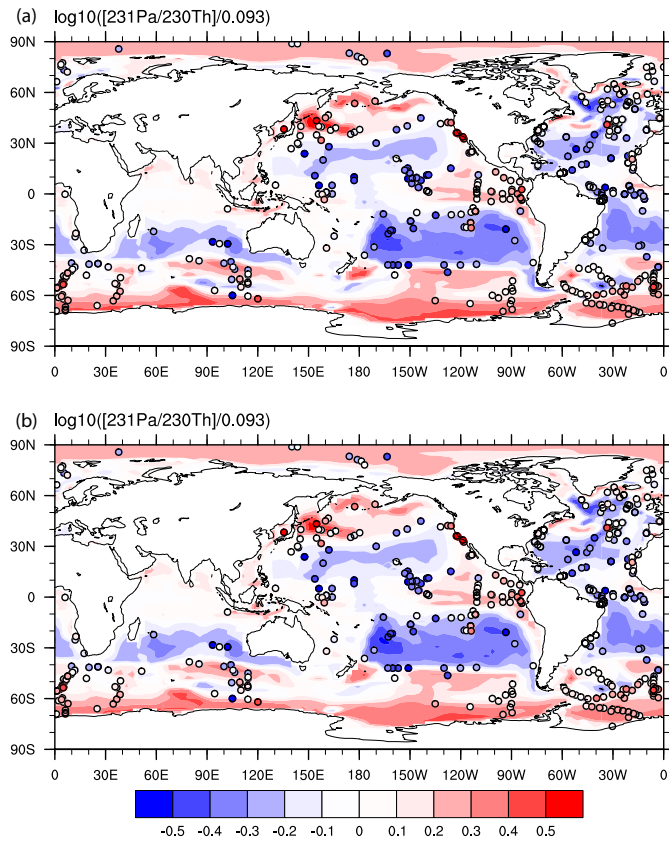
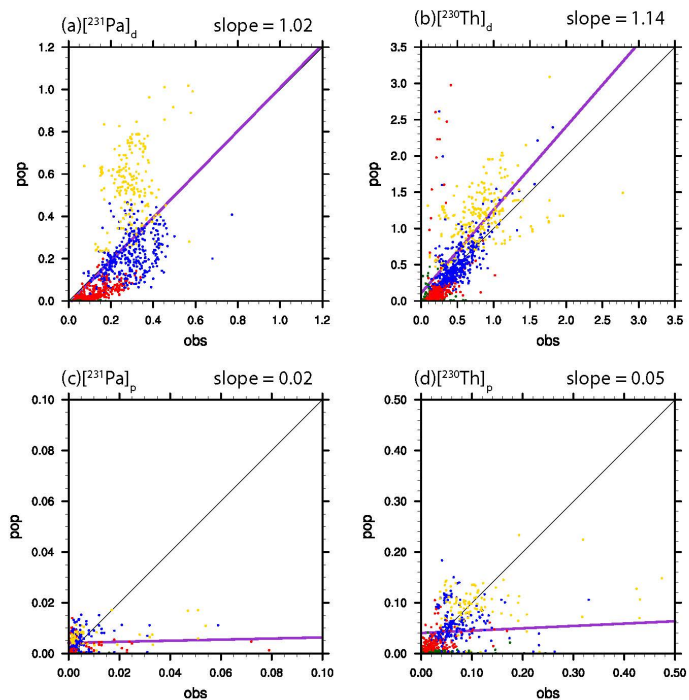


Figure 4. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in CTRL for both p-fixed (a) and p-coupled version (b). Observations are attached as filled cycles using the same color map. The $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio is plotted relative to the production ratio of 0.093 on a log₁₀ scale.



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1396 Figure 5. Scatter plot of global dissolved and particulate ^{231}Pa and ^{230}Th between
 1397 observation and CTRL (p-fixed) (unit: dpm/m³). (a) dissolved ^{231}Pa ; (b) particulate
 1398 ^{231}Pa ; (c) dissolved ^{230}Th ; (d) particulate ^{230}Th . Observations in different depth
 1399 range are indicated by different colors: green for 0-100m; red for 100m-1000m;
 1400 blue for 1000m-3000m and yellow for deeper than 3000m. Purple line is the least
 1401 squared linear regression line and slope is the linear regression coefficient.

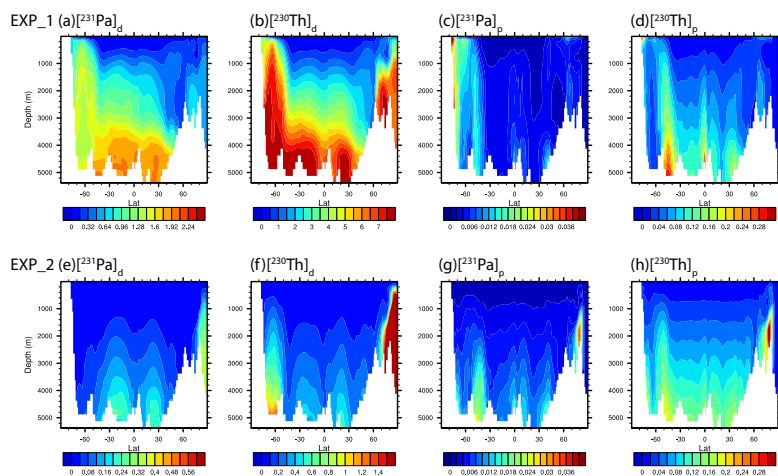
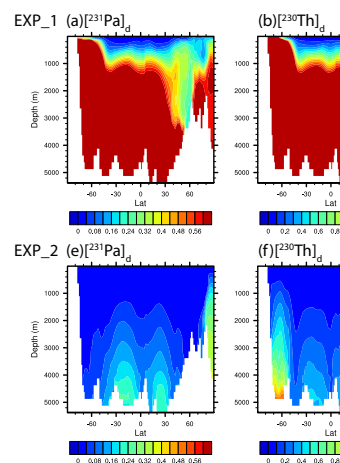
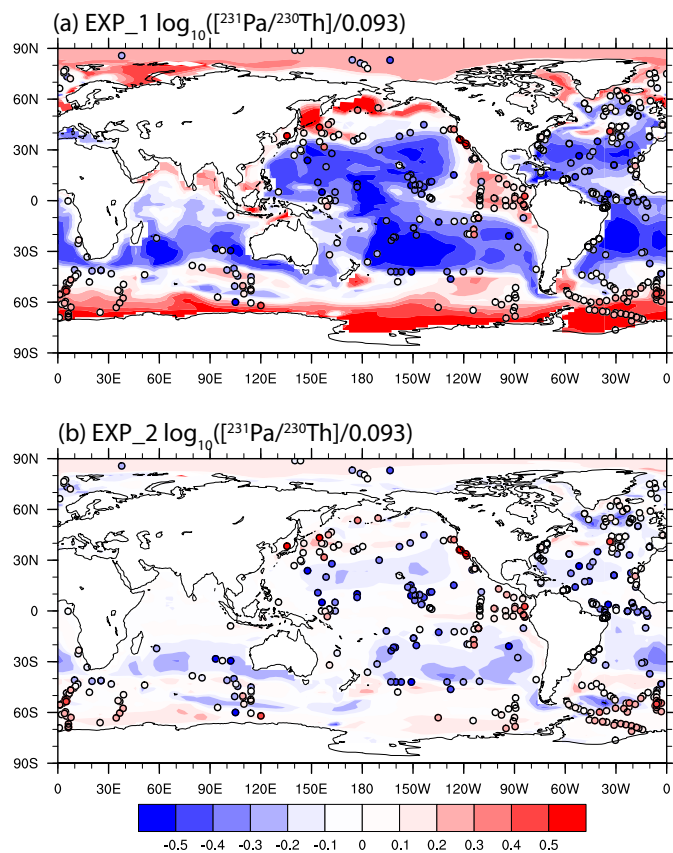


Figure 6. Atlantic zonal mean dissolved and particulate ^{231}Pa and ^{230}Th in EXP_1 and EXP_2 (unit: dpm/m³). EXP_1: (a) dissolved ^{231}Pa ; (b) dissolved ^{230}Th ; (c) particulate ^{231}Pa ; (d) particulate ^{230}Th . EXP_2: (e) dissolved ^{231}Pa ; (f) dissolved ^{230}Th ; (g) particulate ^{231}Pa ; (h) particulate ^{230}Th .



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1409
 1410 Figure 7. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in EXP_1 (a) and EXP_2 (b).
 1411 Observations are attached as filled cycles using the same color map. The $^{231}\text{Pa}/^{230}\text{Th}$
 1412 activity ratio is plotted relative to the production ratio of 0.093 on a \log_{10} scale.
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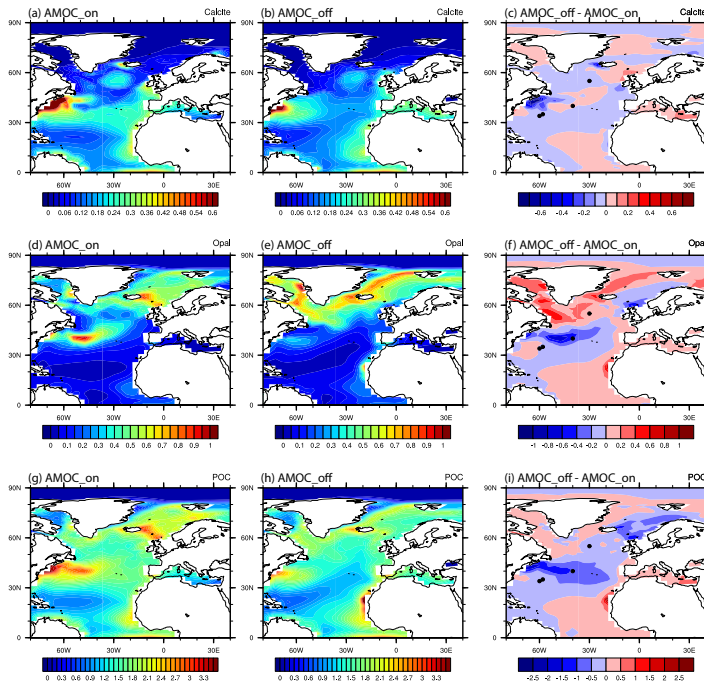


Figure 8. Comparison of particle fluxes between AMOC_on and AMOC_off. CaCO_3 flux at 105m ($\text{mol m}^{-2} \text{ yr}^{-1}$) during AMOC_on (a), AMOC_off (b) and difference between AMOC_off and AMOC_on. (b) Opal flux at 105m ($\text{mol m}^{-2} \text{ yr}^{-1}$) during AMOC_on (d), AMOC_off (e) and difference between AMOC_off and AMOC_on (f). POC flux at 105m ($\text{mol m}^{-2} \text{ yr}^{-1}$) during AMOC_on (g), AMOC_off (h) and difference between AMOC_off and AMOC_on (i).

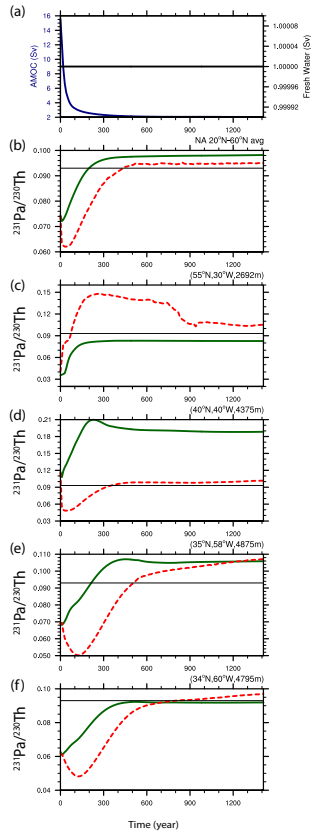
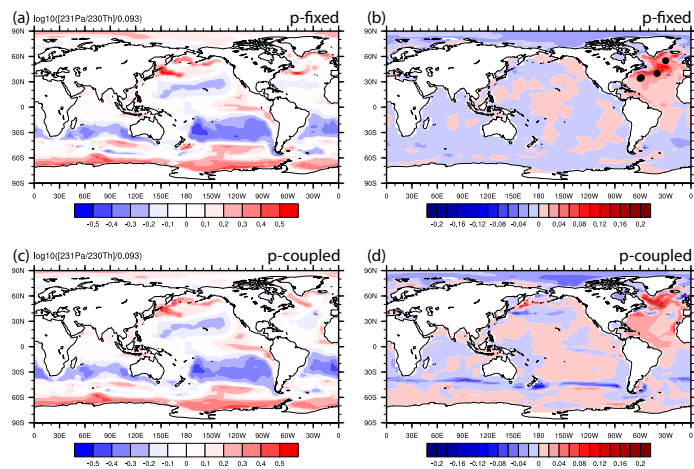


Figure 9. Time evolutions in HOSING. (a) Freshwater forcing (black) and AMOC strength (navy), which is defined as the maximum of the overturning streamfunction below 500m in the North Atlantic. (b) North Atlantic average sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio from 20°N to 60°N: p-fixed (green) and p-coupled (red). Production ratio of 0.093 is indicated by a solid black line (similar in c, d, e and f). (c) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (55°N, 30°W). (d) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (40°N, 40°W). (e) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (35°N, 58°W). (f) Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio at (34°N, 60°W). (e) and (f) are near Bermuda Rise. Locations of each site are shown as dots in Fig. 8b.

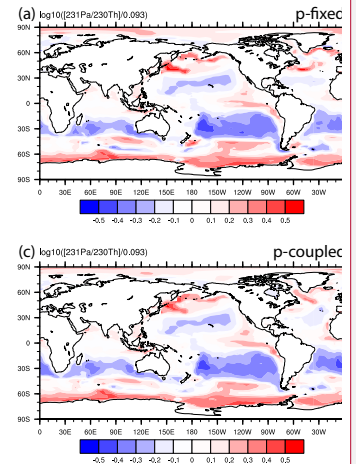


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1437 Figure 10. Sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio during AMOC off state and the
1438 difference between AMOC off and CTRL. (a) P-fixed $\log_{10}([^{231}\text{Pa}/^{230}\text{Th}]/0.093)$ in
1439 AMOC_off. (b) Difference of p-fixed sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio between
1440 AMOC_off and AMOC_on. (c) and (d) are similar to (a) and (b) for p-coupled
1441 sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio. Black dots in (b) shows the locations of sites in
1442 Fig. 9 from North to South.

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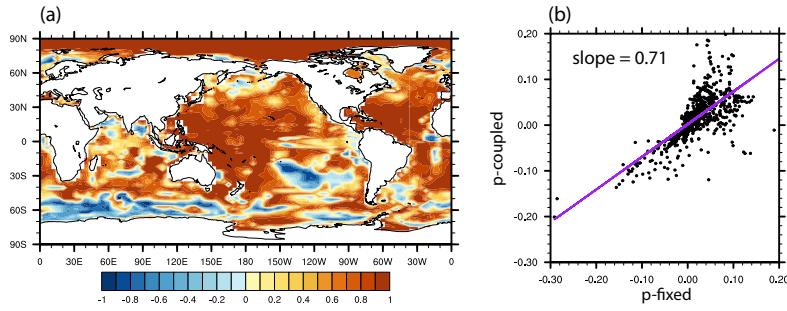


Figure 11. (a) Correlation of p-fixed and p-coupled evolution of sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio in HOSING. (b) Scatter plot of p-fixed and p-coupled sediment $^{231}\text{Pa}/^{230}\text{Th}$ activity ratio change from AMOC_on to AMOC_off in the Atlantic and the Southern Ocean (70°W-20°E). Purple line is the least squared linear regression line and slope is the linear regression coefficient.

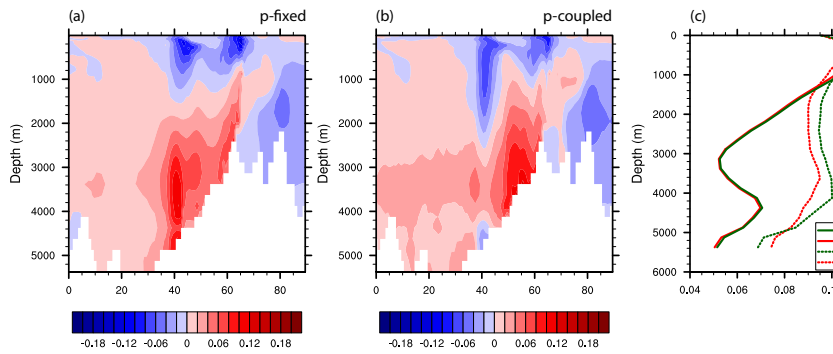


Figure 12. Difference of Atlantic zonal mean particulate $^{231}\text{Pa}/^{230}\text{Th}$ between AMOC_off and AMOC_on: (a) p-fixed and (b) p-coupled. (c) North Atlantic (20°N-60°N) average profile during AMOC_on (solid) and AMOC_off (dash) for p-fixed (green) and p-coupled (red) particulate $^{231}\text{Pa}/^{230}\text{Th}$.

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(Rutgers van der Loeff and Berger, 1993)
(Nozaki et al., 1981)

(Anderson et al., 1983)
(Anderson et al., 1994)

(Deng et al., 2014)
(Hayes et al., 2013)
(Hayes et al., 2015)