1	Impacts of microtopographic snow-redistribution and lateral subsurface processes
2	on hydrologic and thermal states in an Arctic polygonal ground ecosystem : A case
3	study using ALM-3D v1.0
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18	Abstract
19	Microtopographic features, such as polygonal ground, are characteristic sources of
20	landscape heterogeneity in the Alaskan Arctic coastal plain. Here, we analyze the effects of
21	snow redistribution (SR) and lateral subsurface processes on hydrologic and thermal states
22	at a polygonal tundra site near Barrow, Alaska. We extended the land model integrated in
23	the ACME Earth System Model (ESM) to redistribute incoming snow by accounting for
24	microtopography and incorporated subsurface lateral transport of water and energy (ALM-
25	3D v1.0). Multiple 10-years long simulations were performed for a transect across
26	polygonal tundra landscape at the Barrow Environmental Observatory in Alaska to isolate
27	the impact of SR and subsurface process representation. When SR was included, model
28	predictions better agreed (higher R^2 , lower bias and RMSE) with observed differences in
29	snow depth between polygonal rims and centers. The model was also able to accurately
30	reproduce observed soil temperature vertical profiles in the polygon rims and centers
31	(overall bias, RMSE, and R^2 of 0.59°C, 1.82°C, and 0.99, respectively). The spatial

32 heterogeneity of snow depth during the winter due to SR generated surface soil 33 temperature heterogeneity that propagated in depth and time and led to ~ 10 cm shallower 34 and ~ 5 cm deeper maximum annual thaw depths under the polygon rims and centers, 35 respectively. Additionally, SR led to spatial heterogeneity in surface energy fluxes and soil 36 moisture during the summer. Excluding lateral subsurface hydrologic and thermal 37 processes led to small effects on mean states but an overestimation of spatial variability in 38 soil moisture and soil temperature as subsurface liquid pressure and thermal gradients 39 were artificially prevented from spatially dissipating over time. The effect of lateral 40 subsurface processes on maximum thaw depths was modest, with mean absolute 41 differences of \sim 3 cm. Our integration of three-dimensional subsurface hydrologic and 42 thermal subsurface dynamics in the ACME land model will facilitate a wide range of 43 analyses heretofore impossible in an ESM context.

44 **1** Introduction

45 The northern circumpolar permafrost region, which contains ~ 1700 Pg of organic 46 carbon down to 3 m (Tarnocai et al., 2009), is predicted to experience disproportionately 47 larger future warming compared to the tropics and temperate latitudes (Holland and Bitz, 48 2003). Recent warming in the Arctic has led to changes in lake area (Smith et al., 2005), 49 snow cover duration and extent (Callaghan et al., 2011a), vegetation cover (Sturm et al., 50 2005), growing season length (Smith et al., 2004), thaw depth (Schuur et al., 2008), 51 permafrost stability (Jorgenson et al., 2006), and land-atmosphere feedbacks (Euskirchen 52 et al., 2009). Future predictions of Arctic warming include northward expansion of shrub 53 cover in tundra (strum 2001, Tape et al 2006), decreases in snow cover duration 54 (Callaghan et al., 2011a), and emissions of CO₂ and CH₄ from decomposition of 55 belowground soil organic matter (Koven et al., 2011; Schaefer et al., 2011; Schuur and 56 Abbott, 2011; Xu et al., 2016).

Several recent modeling studies have predicted a positive global carbon-climate
feedback at the global scale (Cox et al., 2000; Dufresne et al., 2002; Friedlingstein et al.,
2001; Fung et al., 2005; Govindasamy et al., 2011; Jiang et al., 2011; Jones et al., 2003;
Koven et al., 2015; Matthews et al., 2007b; Matthews et al., 2005; Sitch et al., 2008;

Thompson et al., 2004; Zeng et al., 2004), although the strength of this predicted feedback
at the year 2100 was shown to have a large variability across models (Friedlingstein et al.,
2006). In contrast to the ocean carbon cycle, the terrestrial carbon cycle is expected to be a
more dominant factor in the global carbon-climate feedback over the next century
(Matthews et al., 2007a; Randerson et al., 2015).

66 Snow, which covers the Arctic ecosystem for 8-10 months each year (Callaghan et 67 al., 2011b), is a critical factor influencing hydrologic and ecologic interactions (Jones, 68 1999). Snowpack modifies surface energy balances (via high reflectivity), soil thermal 69 regimes (due to low thermal conductivity), and hydrologic cycles (because of melt water). 70 Several studies have shown that warm soil temperatures under snowpack support the 71 emission of greenhouse gases from belowground respiration (Grogan and Chapin Iii, 1999; 72 Sullivan, 2010) and nitrogen mineralization (Borner et al., 2008; Schimel et al., 2004) 73 during winter. Additionally, decreases in snow cover duration have been shown to increase 74 net ecosystem CO₂ uptake (Galen and Stanton, 1995; Groendahl et al., 2007). Recent snow 75 manipulation experiments in the Arctic have provided evidence of the importance of snow 76 in the expected responses of Arctic ecosystems under future climate change (Morgner et al., 77 2010; Nobrega and Grogan, 2007; Rogers et al., 2011; Schimel et al., 2004; Wahren et al., 78 2005; Welker et al., 2000).

79 Apart from the spatial extent and duration of snowpack, the spatial heterogeneity of 80 snow depth is an important factor in various terrestrial processes (Clark et al., 2011; 81 Lundquist and Dettinger, 2005). As synthesized by López-Moreno et al. (2014), the 82 following processes are responsible for snow depth heterogeneity at three distinct spatial 83 scales: microtopography at 1-10 m (Lopez-Moreno et al., 2011); wind induced lateral 84 transport processes at 100-1000 m (Liston et al., 2007); and precipitation variability at 85 catchment scales of 10 – 1000 km (Sexstone and Fassnacht, 2014). The spatial distribution 86 of snow not only affects the quantity of snowmelt discharge (Hartman et al., 1999; Luce et 87 al., 1998), but also the water chemistry (Rohrbough et al., 2003; Wadham et al., 2006; 88 Williams et al., 2001). Lawrence and Swenson (2011) demonstrated the importance of 89 snow depth heterogeneity in predicting responses of the Arctic ecosystem to future climate 90 change by performing idealized numerical simulations of shrub expansion across the pan-91 Arctic region using the Community Land Model (CLM4). Their results showed that an

92 increase in active layer thickness (ALT), which is the maximum annual thaw depth, under
93 shrubs was negated when spatial heterogeneity in snow cover due to wind driven snow
94 redistribution was accounted for, resulting in an unchanged grid cell mean active layer
95 thickness.

96 Large portions of the Arctic are characterized by polygonal ground features, which 97 are formed in permafrost soil when frozen ground cracks due to thermal contraction 98 during winter and ice wedges form within the upper several meters (Hinkel et al., 2005). 99 Polygons can be classified as 'low-centered' or 'high-centered' based on the relationship 100 between their central and mean elevations. Polygonal ground features are dynamic 101 components of the Arctic landscape in which the upper part of ice-wedge thaw under low-102 centered polygon troughs leads to subsidence, eventually (~o(centuries)) converting the 103 low-centered polygon into a high-centered polygon (Seppala et al., 1991). Microtopography 104 of polygonal ground influences soil hydrologic and thermal conditions (Engstrom et al., 105 2005). In addition to controlling CO_2 and CH_4 emissions, soil moisture affects (1) 106 partitioning of incoming radiation into latent, sensible, and ground heat fluxes (Hinzman 107 and Kane, 1992; McFadden et al., 1998); (2) photosynthesis rates (McGuire et al., 2000; 108 Oberbauer et al., 1991; Oechel et al., 1993; Zona et al., 2011); and (3) vegetation 109 distributions (Wiggins, 1951).

110 Our goals in this study include (1) analyzing the effects of spatially heterogeneous 111 snow in polygonal ground on soil temperature and moisture and surface processes (e.g., 112 surface energy budgets); (2) analyzing how model predictions are affected by inclusion of 113 lateral subsurface hydrologic and thermal processes; and (3) developing and testing a 114 three-dimensional version of the ACME Land Model (ALM; (Tang and Riley, 2016; Zhu and 115 Riley, 2015)), called ALM-3D v1.0 (hereafter ALM-3D). We then applied ALM-3D to a 116 transect across a polygonal tundra landscape at the Barrow Environmental Observatory in 117 Alaska. After defining our study site, the model improvements, model tests against 118 observations, and analyses, we apply the model to examine the effects of snow 119 redistribution and lateral subsurface processes on snow micro-topographical 120 heterogeneity, soil temperature, and the surface energy budget.

121 **2 Methodology**

122 **2.1 Study Area**

123 Our analysis focuses on sites located near Barrow, Alaska (71.3^o N, 156.5^o W) from 124 the long term Department of Energy (DOE) Next-Generation Ecosystem Experiment (NGEE-125 Arctic) project. The four primary NGEE-Arctic study sites (A, B, C, D) are located within the 126 Barrow Environmental Observatory (BEO), which is situated on the Alaskan Coastal Plain. The annual mean air temperature for our study sites is approximately -13°C (Walker et al., 127 128 2005) and mean annual precipitation is 106 mm with the majority of precipitation 129 occurring during the summer season (Wu et al., 2013). The study site is underlain with 130 continuous permafrost (Brown et al., 1980) and the annual maximum thaw depth (active layer depth) ranges between 30-90 cm (Hinkel et al., 2003). Although the overall 131 topographic relief for the BEO is low, the four NGEE study sites have distinct 132 133 microtopographic features: low-centered (A), high-centered (B), and transitional polygons 134 (C, D). Contrasting polygon types are indicative of different stages of permafrost 135 degradation and were the primary motivation behind the choice of study sites for the 136 NGEE-Arctic project. LIDAR Digital Elevation Model (DEM) data were available at 0.25 m 137 resolution for the region encompassing all four NGEE sites. In this work, we perform 138 simulations along a two-dimensional transect in low-centered polygon Site-A as shown by 139 the dotted line in Figure 1.

140 2.2 ALMv0 Description

The original version of ALM is equivalent to CLM4.5 (Koven et al., 2013; Oleson, 2013b; Ghimire et al., 2016), and represents vertical energy and water dynamics, including phase change. We developed ALM-3D by expanding on that model to explicitly represent soil lateral energy and hydrological exchanges and fine-resolution snow redistribution. We run ALM-3D here with prescribed plant phenology (called Satellite Phenology (SP) mode), since our focus is on thermal dynamics of the system, rather than C cycle dynamics.

147 2.3 Representing Two- and Three-Dimensional Physics

- 148 **2.3.1** Subsurface hydrology
- The flow of water in the unsaturated zone is given by the *θ*-based Richardsequations as

$$\frac{\partial \theta}{\partial t} = -\nabla \cdot \vec{q} - Q \tag{1}$$

- 151 where θ [m³m⁻³] is the volumetric soil water content, *t* [s] is time, \vec{q} [ms⁻¹] is Darcy flux, and
- 152 Q [m⁻³ of water m⁻³ of soil s⁻¹] is volumetric sink of water. Darcy flux is given by

$$\vec{q} = -k\nabla(\psi + z) \tag{2}$$

153 where k [ms-1] is the hydraulic conductivity, $\psi \text{ [m]}$ is the soil matric potential, and z [m] is

154 height above a reference datum. The hydraulic conductivity and soil matric potential are

non-linear functions of volumetric soil moisture. ALMv0 uses the modified form of Richards

156 equation of Zeng and Decker (2009) that computes Darcy flux as

$$\vec{q} = -k\nabla(\psi + z - C) \tag{3}$$

157 where C is a constant hydraulic potential above the water table, z_{∇} , given as

$$C = \psi_E + z = \psi_{sat} \left[\frac{\theta_E(z)}{\theta_{sat}} \right]^{-B} + z = \psi_{sat} + z_{\nabla}$$
(4)

where ψ_E [m] is the equilibrium soil matric potential, ψ_{sat} [m] is the saturated soil matric potential, θ_E [m³ m⁻³] is volumetric soil water content at equilibrium soil matric potential, θ_{sat} [m³ m⁻³] is volumetric soil water content at saturation, z_{∇} [m] is height of water table above the reference datum, and *B* [-] is a fitting parameter for soil-water characteristic curves. Substituting equations (3) and (4) into equation (1) yields the equation for the vertical transport of water in ALMv0:

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[k \left(\frac{\partial (\psi - \psi_E)}{\partial z} \right) \right] - Q \tag{5}$$

- A finite volume spatial discretization and implicit temporal discretization with Taylor
 series expansion leads to a tri-diagonal system of equations. We extended this 1-D Richards
 equation to a 3-D representation integrated in ALM-3D, which is presented next.
- 167 We use a cell-centered finite volume discretization to decompose the spatial domain 168 into *N* non-overlapping control volumes, Ω_n , such that $\Omega = \bigcup_{n=1}^N \Omega_n$ and Γ_n represents the

- 169 boundary of the *n*-th control volume. Applying a finite volume integral to equation (1) and
- 170 the divergence theorem yields

$$\frac{\partial}{\partial t} \int_{\Omega_n} \theta dV = -\int_{\Gamma_n} \left(\vec{q} \cdot d\vec{A} \right) - \int_{\Omega_n} Q dV \tag{6}$$

171 The spatially discretized equation for the *n*-th grid cell that has V_n volume and n' neighbors 172 is given by

$$\frac{d\theta_n}{dt}V_n = -\sum_{n'} (\vec{q}_{nn'} \cdot \vec{A}_{nn'}) - QV_n \tag{7}$$

173 For the sake of simplicity in presenting the discretized equation, we assume the 3-D grid is

174 a Cartesian grid with each grid cell having a thickness of Δx , Δy , and Δz in the x, y, and z

- directions, respectively. Using an implicit time integral, the 3-D discretized equation at time
- 176 t + 1 for a (i, j, k) control volume is given as

$$\left(\frac{\Delta\theta_{i,j,k}^{t+1}}{\Delta t}\right)V_{i,j,k} = \left(q_{x}_{i-1/2,j,k}^{t+1} - q_{x}_{i+1/2,j,k}^{t+1}\right)\Delta y\Delta z + \left(q_{y}_{i,j-1/2,k}^{t+1} - q_{y}_{i,j+1/2,k}^{t+1}\right)\Delta x\Delta z + \left(q_{z}_{i,j,k-1/2}^{t+1} - q_{z}_{i,j,k+1/2}^{t+1}\right)\Delta x\Delta y - QV_{i,j,k}$$
(8)

177 where q_x , q_y and q_z are Darcy flux in the x, y, and z directions, respectively and $\Delta \theta_{i,j,k}^{t+1}$ is the 178 change in volumetric soil liquid water in time Δt . Using the same approach as Oleson 179 (2013a), the Darcy flux in all three directions is linearized about θ using Taylor series 180 expansion. The linearized Darcy flux in the x direction at the (i - 1/2, j, k) interface is a 181 function of $\theta_{i-1,j,k}$ and $\theta_{i,j,k}$:

$$q_{x_{i-1/2,j,k}}^{t+1} = q_{x_{i-1/2,j,k}}^{t} + \frac{\partial q_{x_{i-1/2,j,k}}^{t}}{\partial \theta_{i-1,j,k}} \Delta \theta_{i-1,j,k}^{t+1} + \frac{\partial q_{x_{i-1/2,j,k}}^{t}}{\partial \theta_{i,j,k}} \Delta \theta_{i+1,j,k}^{t+1}$$
(9)

The linearized Darcy fluxes in the *y* and *z* directions are computed similarly. Substituting
equation (9) in equation (8) results in a banded matrix of the form

$$\alpha \Delta \theta_{i-1,j,k}^{t+1} + \beta \Delta \theta_{i,j-1,k}^{t+1} + \gamma \Delta \theta_{i,j,k-1}^{t+1} + \eta \Delta \theta_{i+1,j,k}^{t+1} + \mu \Delta \theta_{i,j+1,k}^{t+1} + \phi \Delta \theta_{i,j,k+1}^{t+1} + \zeta \Delta \theta_{i,j,k}^{t+1} = \varphi$$

$$(10)$$

184 where α , β , and γ are subdiagonal entries; η , μ , and ϕ are superdiagonal entries; ζ is 185 diagonal entry of the banded matrix is given by

$$\alpha = \frac{\partial q_{x_{i-1/2,j,k}}}{\partial \theta_{i-1,j,k}} \Delta y \Delta z \tag{11}$$

$$\beta = \frac{\partial q_{y_{i,j-1/2,k}}}{\partial \theta_{i,j-1,k}} \Delta x \Delta z$$
⁽¹²⁾

$$\gamma = \frac{\partial q_{z_{i,j,k-1/2}}^{t}}{\partial \theta_{i,j,k-1}} \Delta x \Delta y \tag{13}$$

$$\eta = \frac{\partial q_{x_{i+1/2,j,k}}}{\partial \theta_{i+1,j,k}} \Delta y \Delta z \tag{14}$$

$$\mu = \frac{\partial q_{y_{i,j+1/2,k}}}{\partial \theta_{i,j+1,k}} \Delta x \Delta z \tag{15}$$

$$\phi = \frac{\partial q_{z_{i,j,k+1/2}}}{\partial \theta_{i,j,k+1}} \Delta x \Delta y \tag{16}$$

$$\zeta = \left(\frac{\partial q_{x_{i-1/2,j,k}}}{\partial \theta_{i,j,k}} - \frac{\partial q_{x_{i+1/2,j,k}}}{\partial \theta_{i,j,k}}\right) \Delta y \Delta z + \left(\frac{\partial q_{y_{i,j-1/2,k}}}{\partial \theta_{i,j,k}} - \frac{\partial q_{y_{i,j+1/2,k}}}{\partial \theta_{i,j,k}}\right) \Delta x \Delta z$$

$$+ \left(\frac{\partial q_{z_{i,j,k-1/2}}}{\partial \theta_{i,j,k}} - \frac{\partial q_{z_{i,j,k+1/2}}}{\partial \theta_{i,j,k}}\right) \Delta x \Delta y - \frac{\Delta x \Delta x \Delta z}{\Delta t}$$
(17)

187 The column vector φ is given by

$$\varphi = -\left(q_{x_{i-\frac{1}{2},j,k}}^{t} - q_{x_{i+\frac{1}{2},j,k}}^{t}\right) \Delta y \Delta z - \left(q_{y_{i,j-\frac{1}{2},k}}^{t} - q_{y_{i,j+\frac{1}{2},k}}^{t}\right) \Delta x \Delta z$$

$$-\left(q_{z_{i,j,k-\frac{1}{2}}}^{t} - q_{z_{i,j,k+\frac{1}{2}}}^{t}\right) \Delta x \Delta y + Q_{i,j,k}^{t+1} \Delta x \Delta x \Delta z$$
(18)

188

The coefficients of equation (10) described in equation (11)-(18) are for an internal grid cell with six neighbors. The coefficients for the top and bottom grid cells are modified for infiltration and interaction with the unconfined aquifer in the same manner as Oleson (2013a). Similarly, the coefficients for the grid cells on the lateral boundary are modified for a no-flux boundary condition. See Oleson (2013a) for details about the computation of hydraulic properties and derivative of Darcy flux with respect to soil liquid water content.

195 **2.3.2** Subsurface thermal

ALMv0 solves a tightly coupled system of equations for soil, snow, and standing
water temperature (Oleson, 2013b). The model solves the transient conservation of
energy:

$$c\frac{\partial T}{\partial t} = -\nabla \cdot \mathbf{F} \tag{19}$$

199 where *c* is the volumetric heat capacity [J m⁻³ K⁻¹], F is the heat flux [W m⁻²], and t is time

200 [s]. The heat conduction flux is given by

$$F = -\lambda \nabla T \tag{20}$$

- 201 where λ is thermal conductivity [W m⁻¹ K⁻¹] and T is temperature [K]. Applying a finite
- volume integral to equation (20) and divergence theorem yields

$$c\frac{\partial}{\partial t}\int_{\Omega_n} T = -\int_{\Gamma_n} \vec{F} \cdot d\vec{A}$$
(21)

The spatially discretized equation for a *n*-th grid cell that has V_n volume and n' neighbors is given by

$$c_n \frac{dT_n}{dt} V_n = -\sum_{n'} \left(\vec{F}_{nn'} \cdot \vec{A}_{nn'} \right)$$
(22)

- Similar to the approach taken in Section 2.3.1, ALM-3D assumes a 3-D Cartesian grid with
- each grid cell having a thickness of Δx , Δy , and Δz in the *x*, *y*, and *z* directions, respectively.
- 207 Temporal integration of equation (22) is carried out using the Crank-Nicholson method
- that uses a linear combination of fluxes evaluated at time t and t + 1:

$$c_{n_{i,j,k}} \frac{(T_{i,j,k}^{t+1} - T_{i,j,k}^{t})}{\Delta t} \Delta x \Delta y \Delta z$$

$$= \omega \left\{ \left(F_{x_{i-\frac{1}{2},j,k}}^{t} - F_{x_{i+\frac{1}{2},j,k}}^{t} \right) \Delta y \Delta z + \left(F_{y_{i,j-\frac{1}{2},k}}^{t} - F_{y_{i,j+\frac{1}{2},k}}^{t} \right) \Delta x \Delta z$$

$$+ \left(F_{z_{i,j,k-\frac{1}{2}}}^{t} - F_{z_{i,j,k+\frac{1}{2}}}^{t} \right) \Delta x \Delta y \right\}$$

$$+ (1 - \omega) \left\{ \left(F_{x_{i-\frac{1}{2},j,k}}^{t+1} - F_{x_{i+\frac{1}{2},j,k}}^{t+1} \right) \Delta y \Delta z$$

$$+ \left(F_{y_{i,j-\frac{1}{2},k}}^{t+1} - F_{y_{i,j+\frac{1}{2},k}}^{t+1} \right) \Delta x \Delta y \right\}$$

$$+ \left(F_{z_{i,j,k-\frac{1}{2}}}^{t+1} - F_{z_{i,j,k+\frac{1}{2}}}^{t+1} + 1 \right) \Delta x \Delta y \right\}$$
(23)

- 209 where ω is the weight in the Crank-Nicholson method and set to 0.5 in this study.
- 210 Substituting a discretized form of heat flux using equation (20) in equation (23), results in
- a banded matrix of the form

$$\alpha T_{i-1,j,k}^{t+1} + \beta T_{i,j-1,k}^{t+1} + \gamma T_{i,j,k-1}^{t+1} + \eta T_{i+1,j,k}^{t+1} + \mu T_{i,j+1,k}^{t+1} + + \phi T_{i,j,k+1}^{t+1} + \zeta \Delta T_{i,j,k}^{t+1} = \varphi$$
(24)

- 212 where α , β , and γ are subdiagonal entries; η , μ , and ϕ are superdiagonal entries; ζ is
- 213 diagonal entry of the banded matrix is given by

$$\alpha = \left(\frac{-(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta x}\right) \left(\frac{\lambda_{i-1/2,j,k}}{x_{i,j,k}-x_{i-1,j,k}}\right)$$
(25)

$$\beta = \left(\frac{-(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta y}\right) \left(\frac{\lambda_{i,j-1/2,k}}{y_{i,j,k}-y_{i-1,j,k}}\right)$$
(26)

215

$$\gamma = \left(\frac{-(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta z}\right) \left(\frac{\lambda_{i,j,k-1/2}}{z_{i,j,k}-z_{i,j,k-1}}\right)$$
(27)

216

$$\eta = \left(\frac{-(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta x}\right) \left(\frac{\lambda_{i+1/2,j,k}}{x_{i+1,j,k}-x_{i,j,k}}\right)$$
(28)

$$\mu = \left(\frac{-(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta y}\right) \left(\frac{\lambda_{i-1/2,j,k}}{y_{i+1,j,k} - y_{i,j,k}}\right)$$
(29)

$$\phi = \left(\frac{-(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta z}\right) \left(\frac{\lambda_{i-1/2,j,k}}{z_{i+1,j,k}-z_{i,j,k}}\right)$$
(30)

219

$$\zeta = 1 + \left(\frac{(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta x}\right) \left[\frac{\lambda_{i-1/2,j,k}}{x_{i,j,k} - x_{i-1,j,k}} + \frac{\lambda_{i+1/2,j,k}}{x_{i+1,j,k} - x_{i,j,k}}\right] \\ + \left(\frac{(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta y}\right) \left[\frac{\lambda_{i,j-1/2,k}}{y_{i,j,k} - y_{i-1,j,k}} + \frac{\lambda_{i-1/2,j,k}}{y_{i+1,j,k} - y_{i,j,k}}\right] \\ + \left(\frac{(1-\omega)\Delta t}{c_{n_{i,j,k}}\Delta z}\right) \left[\frac{\lambda_{i,j,k-1/2}}{z_{i,j,k} - z_{i,j,k-1}} + \frac{\lambda_{i-1/2,j,k}}{z_{i+1,j,k} - z_{i,j,k}}\right]$$
(31)

220

221 The column vector φ is given by

222

$$\varphi = T_{i,j,k}^{t} + \left(\frac{\omega\Delta t}{c_{n_{i,j,k}}\Delta x}\right) \left(F_{x_{i-1/2,j,k}}^{t} - F_{x_{i+1/2,j,k}}^{t}\right) \\ + \left(\frac{\omega\Delta t}{c_{n_{i,j,k}}\Delta y}\right) \left(F_{y_{i,j-1/2,k}}^{t} - F_{y_{i,j+1/2,k}}^{t}\right) \\ + \left(\frac{\omega\Delta t}{c_{n_{i,j,k}}\Delta z}\right) \left(F_{z_{i,j,k-1/2}}^{t} - F_{z_{i,j,k+1/2}}^{t}\right)$$
(32)

223

224 The coefficients of equation (24) described in equation (25)-(32) are for an internal grid 225 cell with six neighbors. The coefficients for the top grid cells are modified for presence of 226 snow and/or standing water. A no-flux boundary condition was applied on the bottom grid 227 cells, thus no geothermal flux was accounted for in this study. The coefficients for the grid 228 cells on the lateral boundary are modified for a no-flux boundary condition. ALM handles 229 ice-liquid phase transitions by first predicting temperatures at the end of a time step and 230 then updating temperatures after accounting for deficits or excesses of energy during 231 melting or freezing. See Oleson (2013a) for details about the computation of thermal 232 properties and phase transition.

233 2.3.3 PETSc Numerical solution

234 ALMv0, which considers flow only in the vertical direction, solves a tridiagonal and 235 banded tridiagonal system of equations for water and energy transport, respectively. In 236 ALM-3D, accounting for lateral flow in the subsurface results in a sparse linear system, 237 equations (10) and (24), where the sparcity pattern of the linear system depends on grid 238 cell connectivity. In this work, we use the PETSc (Portable, Extensible Toolkit for Scientific 239 Computing) library (Balay et al., 2016) developed at the Argonne National Laboratory to 240 solve the sparse linear systems. PETSc provides object-oriented data structures and solvers 241 for scalable scientific computation on parallel supercomputers. Description about the 242 numerical tests that were conducted to ensure the lateral coupling of hydrologic and 243 thermal processes was correctly implemented is presented in supplementary material 244 (Figure S 1 and S 2)

245 **2.4 Snow Model and Redistribution**

246 The snow model in ALM-3D is the same as that in the default ALMv0 and CLM4.5 247 (Anderson, 1976; Dai and Zeng, 1997; Jordan, 1991), except for the inclusion of snow 248 redistribution (SR). The snow model allows for a dynamic snow depth and up to five snow 249 layers, and explicitly solves the vertically-resolved mass and energy budgets. Snow aging, 250 compaction, and phase change are all represented in the snow model formulation. 251 Additionally, the snow model accounts for the influence of aerosols (including black and 252 organic carbon and mineral dust) on snow radiative transfer (Oleson, 2013b). ALMv0 uses 253 the methodology of Swenson and Lawrence (2012) to compute fractional snow cover area, 254 which is appropriate for ESM-scale grid cells (~100 km x 100 km). Since the grid cell 255 resolution in this work is sub-meter, we modified the fractional cover to be either 1 (when 256 snow was present) or 0 (when snow was absent).

Two main drivers of SR include topography and surface wind (Warscher et al., 2013); previous SR models include mechanistically- (Bartelt and Lehning, 2002; Liston and Elder, 2006) and empirically- (Frey and Holzmann, 2015; Helfricht et al., 2012) based approaches. To mimic the effects of wind, we used a conceptual model to simulate SR over the fine-resolution topography of our site by instantaneously re-distributing the incoming snow flux such that lower elevation areas (polygon center) receive snow before higher

elevation areas (polygon rims). This relatively simple and parsimonious approach is
reasonable given the observed snow depth heterogeneity, as described below, and small
spatial extent of our domain.

266 2.5 System Characterization

267 Hydrologic and thermal properties differ by depth and landscape type. We used the 268 horizontal distribution of organic matter (OM) content from Wainwright et al. (2015) to 269 infer soil hydrologic and thermal properties following the default representations in ALM. 270 Vegetation cover was classified as arctic shrubs in polygon centers and arctic grasses in 271 polygon rims. The default representation of the plant wilting factor assigns a value of zero 272 for a given soil layer when its temperature falls below a threshold (T_{threshold}) of -2 °C. This 273 default value leads to overly large predicted latent and sensible heat fluxes during winter, 274 compared to nearby eddy covariance measurements. We modified T_{threshold} to be 0 ^oC in this 275 study, resulting in improved predicted wintertime latent heat fluxes compared to the 276 default version of the model (Figure S3). Although biases compared to the observations 277 remain, particularly for sensible heat fluxes in the spring, the improvement is substantial 278 and, given the observational uncertainties, we believe sufficient to justify our use of the 279 model for investigations of the role of snow heterogeneity in this polygonal tundra system.

280 **2.6 Simulation Setup, Climate Forcing, and Analyses**

281 Because of computational constraints, we investigated the role of snow 282 redistribution and physics representation using a two-dimensional transect through site A 283 (Figure 1). The transect was 104 m long and 45 m deep and was discretized horizontally 284 with a grid spacing of 0.25 m and an exponentially varying layer thickness in the vertical 285 with 30 soil layers. No flow conditions for mass and energy were imposed on the east, west, 286 and bottom boundaries of the domain. Temporal discretization of 30 min was used in the 287 simulations. All simulations were performed in the "satellite phenology" (SP) mode, i.e., 288 Leaf Area Index (LAI) was prescribed from MODIS observations.

Simulations were run for 10 years using long-term climate data gathered at the
Barrow, Alaska Observatory site (https://www.esrl.noaa.gov/gmd/obop/brw/) managed
by the Global Monitoring Division of NOAA's Earth System Research Laboratory (Mefford et

al., 1996). The missing precipitation time series was gap-filled using daily precipitation at
the Barrow Regional Airport available from the Global Historical Climatology Network
(<u>http://www1.ncdc.noaa.gov/pub/data/ghcn/daily</u>). We tested the model by comparing
predictions to high-frequency observations of snow depth and vertically resolved soil
temperature for September 2012 – September 2013. Temperature observations were
taken at discrete locations in a polygon center and rim (Figure 1), and were combined to
analyze comparable landscape positions in the simulations (Figure 2).

299 After testing, the model was used to investigate the effects of snow redistribution 300 and 2D subsurface hydrologic and thermal physics by analyzing three scenarios: (1) no 301 snow redistribution and 1D physics; (2) snow redistribution and 1D physics; and (3) snow 302 redistribution and 2D physics. Between these scenarios, we compared vertically-resolved 303 soil temperature and liquid saturation, active layer depth, and mean and spatial variation of 304 latent and sensible heat fluxes across the 10 years of simulations. For each soil column, the 305 simulated soil temperature was interpolated vertically and the active layer depth was 306 estimated as the maximum depth that had above-freezing soil temperature.

307 3 Results and Discussion

308 **3.1 Snow depth**

309 In the absence of SR, predicted snow depth exactly follows the topography. With SR, a much smaller dependence of winter-average snow depth on topography is predicted 310 311 (Figure 2). Further, for the winter average, there are very small differences in snow depth 312 between simulations with SR and 1D or 2D subsurface physics representations. Compared 313 to observations, considering SR led to: (1) a factor of ~ 2 improvement in snow depth bias for the polygon center; (2) modest increase and decrease in average bias on the rims for 314 315 September through February and March through June, respectively; and (3) a dramatic improvement in bias of the difference in snow depth between the polygon centers and rims 316 (Figure 3). There was no discernible difference in snow depth bias between the 1D and 2D 317 318 physics (Table 1), although the predicted subsurface temperature fields were different, as 319 shown below.

The temporal variation of the mean snow depth (**Figure 4**a) and its spatial standard deviation (**Figure 4**b) also differed based on whether SR was considered, but was not affected by considering 2D thermal or hydrologic physics. With SR, the snow depth coefficient of variation (**Figure 4**c) was about 0.5 from December through the beginning of the snowmelt period, indicating relatively large spatial heterogeneity. Simulated snow depth for the three simulation scenarios are included in Supplementary Material (4)

326 **3.2** Soil Temperature and Active Layer Depth

327 Broadly, ALM-3D accurately predicted the polygon center soil temperature at depth 328 intervals corresponding to the temperature probes (0-20 cm, 20-50 cm, 50-75 cm, and 75-329 100 cm; Figure 5a). Recall that the observed temperatures for the polygon center and rims 330 were taken at single points in site A (Figure 1) while the predicted temperatures were 331 calculated as averages across the transect for each of the two landscape position types. The 332 model was able to simulate early freeze up of the soil column under the rims as compared 333 to centers in November 2012 because of differences in accumulated snow pack. The 334 transition to thawed soil in the 0-20 cm depth interval in early June 2013 and the 335 subsequent temperature dynamics over the summer were very well captured by ALM-3D. 336 Minimum temperatures during the winter were also accurately predicted, although the 337 temperatures in the deepest layer (75-100 cm) were overestimated by \sim 3°C in March. For 338 figure clarity we did not indicate the standard deviation of the observations, but provide 339 that information in Supplemental Material (Figure S5-S8).

Similarly, the soil temperatures were accurately predicted in the polygon rims (Figure 5b). The largest discrepancies between measured and predicted soil temperatures were in the shallowest layer (0 - 25 cm), where the predictions were up to a few °C cooler than some of the observations between December 2012 and March 2013. In the polygon center, a thicker snow pack acts as a heat insulator and keeps soil temperature higher in winter as compared to the polygon rims.

Three recent studies have used other mechanistic models to simulate soil
temperature fields at this site, and achieved comparably good comparisons with
observations (Kumar et al. 2016 applied a 3D version of PFLOTRAN; Atchley et al. 2015 and
Harp et al. 2016 applied a 1D version of ATS). However, those models used measured soil

temperatures near the surface as the top boundary condition. In contrast, the top boundary
condition in this work is the climate forcing (air temperature, wind, solar radiation,
humidity, precipitation), and the ground heat flux is prognosed based on ALM's vegetation
and surface energy dynamics. We note that no parameter calibration was done in this work
or that of Kumar et al. (2016), while the ATS parameterizations were calibrated to match
the soil temperature profile.

Snow redistribution impacts spatial variability of soil temperature throughout the
soil column. Absence of SR results in no significant spatial variability of soil temperature
(Figure 6a). Inclusion of SR on the surface modifies the amount of energy exchanged
between the snow and the top soil layer, thereby creating spatial variability in the
temperature of the top soil, which propagates down into the soil column (Figure 6b). With
SR, energy dissipation in the lateral direction reduces the penetration depth of the soil
temperature spatial variance (compare Figure 6c and Figure 6b).

363 With 1D physics, the average spatial and temporal difference of the active layer 364 depth (ALD) between simulations with and without SR was 1.7 cm (Figure 7a), and the 365 absolute difference was 6.5 cm. As described above, we diagnosed the ALD to be the 366 maximum soil depth during the summer at which vertically interpolated soil temperature 367 is 0 °C. On average, the rims had \sim 10 cm shallower ALD with (blue line) than without 368 (green line) SR, consistent with the loss of insulation from SR on the rims during the 369 winter. In the centers (e.g., at location 42 - 55 m), the thaw depth was deeper by \sim 5 cm 370 with SR because of the higher snow depth there from SR. The effect of SR on the ALD was 371 largest on the rims because, compared to centers, they (1) on average lost more snow with 372 SR and (2) are more thermally conductive. Since rims are therefore colder at the time of 373 snowmelt with SR, the ground heat flux during the subsequent summer was unable to thaw 374 the soil column as deeply as when SR is ignored. For comparison, Atchley et al. (2015) 375 found in their sensitivity analysis using the 1D version of ATS that SR resulted in deeper 376 thaw depths in both polygon centers (by \sim 3 cm) and rims (\sim 0.3 cm). Thus, their results for 377 polygon centers are consistent in sign but lower in magnitude than ours, but opposite in 378 sign for the rims.

Across ten years of simulation, the inter-annual variability (IAV) in ALD varied
substantially between the three scenarios (Figure 7b). As expected, for the 1D physics

without SR scenario (green line), the IAV in ALD was determined by landscape position
because of differences in soil and vegetation parameters. With SR and 1D physics, the
model shows largest differences over the rims, again highlighting the relatively larger
effects of SR on the rim soil temperatures.

The effect of 1D versus 2D physics on the ALD across the transect was modest (mean absolute difference ~3 cm). Generally, because 2D physics allows for lateral energy diffusion, the horizontal variation of ALD was slightly lower (i.e., the red line is smoother than the blue line; Figure 7a) than with 1D physics. This difference was also reflected in the thaw depth IAV across the transect, where 2D physics led to a smoother lateral profile of inter-annual variability than with 1D physics.

391 The impact of physics formulation (i.e., 1D or 2D) alone was investigated by 392 analyzing differences between soil temperature profiles over time for polygon rims and 393 centers in simulations with snow redistribution. Inclusion of 2D subsurface physics 394 resulted in soil temperatures with depth and time that were lower in the polygon rims 395 (Figure 8a) and higher in polygon centers (Figure 8b). Using the simulations from the 396 scenario with SR and 2D physics, we evaluated the extent to which soils under rims and 397 centers can be separately considered as relatively homogeneous single column systems by 398 evaluating the soil temperature standard deviation as a function of depth and time (Figure 399 9). During winter, both polygon rims and centers were predicted to have soil temperature 400 spatial variability >1 $^{\circ}$ C up to a depth of ~2 m. The soil temperature spatial variability in 401 winter due to snow redistribution was dissipated over the summer. During the summer, 402 polygon centers were relatively more homogeneous vertically compared to polygon rims.

403 **3.3 Surface Energy Budget**

404 Predicted monthly- and spatial-mean (μ) surface latent heat fluxes across the 405 transect were very similar between the three scenarios (Figure 10a), with a growing 406 seasonal mean difference of < 1.0 W m⁻². However, the spatial variability (SV = σ ; Figure 407 10b) and coefficient of variation (CV = σ/μ ; Figure 10c) of latent heat fluxes were different 408 between the scenarios with SR (1D and 2D physics) and without SR. With SR, the latent 409 heat flux spatial standard deviation peaked after snowmelt and declined until the fall when 410 snow began, from about ~100% to 10% of the mean. This relatively larger spatial variation in latent heat flux occurred because of large spatial heterogeneity in near surface soil
moisture in the beginning of summer, indicating a residual effect of SR from the previous
winter.

414 The predicted temporal monthly-mean and spatial-mean surface sensible heat 415 fluxes across the transect were also similar between the three scenarios (Figure 11a), with 416 a growing season mean absolute difference of < 3.5 W m⁻². Also, the sensible heat flux 417 spatial variability differences occurred earlier than snowmelt, in contrast to the latent heat 418 flux. Both the standard deviation and CV of the sensible heat fluxes were larger than those 419 of the latent heat fluxes, with early season standard deviations of ~ 50 W m⁻² (Figure 11b) 420 and CV's of \sim 1.5 (Figure 11c). As for the latent heat fluxes, the differences in standard 421 deviation and CV of sensible heat fluxes were small between the 1D and 2D scenarios with 422 SR, arguing that the subsurface lateral energy exchanges associated with the 2D physics did 423 not propagate to the mean surface heat fluxes. However, as for the latent heat flux, there 424 was a relatively large difference in spatial variation between the scenarios with and 425 without SR (e.g., of about 25 W m⁻² in May; Figure 10b).

426 **3.4 Soil Moisture**

427 Neither SR nor 2D lateral physics affected the spatial mean moisture across time 428 (not shown). However, spatial heterogeneity of predicted soil moisture content differed 429 substantially between scenarios during the snow free period (Figure 12). For the 1D 430 simulations, the effect of SR was to increase growing season soil moisture spatial 431 heterogeneity by factors of 5.2 and 1.6 for 0-10 cm and 10-65 cm depth intervals, 432 respectively (compare Figure 12a and Figure 12b). Compared to 1D physics, simulating 2D 433 thermal and hydrologic physics led to an overall reduction in soil moisture spatial 434 heterogeneity by factors of 0.8 and 0.7 for 0-10 cm and 10-65 cm depth intervals, 435 respectively (compare Figure 12b and Figure 12c). Thus, with respect to dynamic spatial 436 mean soil moisture, SR effects dominated those associated with lateral subsurface water 437 movement.

438 **3.5 Caveats and Future Work**

The good agreement between ALM-3D predictions and soil temperature
observations demonstrate the model's capabilities to represent this very spatially
heterogeneous and complex system. However, several caveats to our conclusions remain
due to uncertainties in model parameterizations, model structure, and climate forcing data.

443 ALMv0, a one-dimensional model, is embarrassing parallel with no cross processor 444 communication. The current implementation of the three-dimensional solver in ALM-3D 445 only supports serial computing. Support of parallel computing will be included in a future 446 version of the model. Because of computational constraints, we applied a 2D transect 447 domain to the site, instead of a full 3D domain. We are working to improve the 448 computational efficiency of the model, which will facilitate a thorough analysis of the 449 effects of 3D subsurface energy and water fluxes. A related issue is our simplified treatment 450 of surface water flows. A thorough analysis of the effects of surface water redistribution 451 would require integration of a 2D surface thermal flow model in a 3D domain, which is 452 another goal for our future work. However, we note that the good agreement using the 2D 453 model domain supports the idea that a two-dimensional simplification may be appropriate 454 for this system. The expected geomorphological changes in these systems over the coming 455 decades (e.g., Liljedahl et al. 2016), which will certainly affect soil temperature and 456 moisture, are not currently represented in ALM, although incorporation of these processes 457 is a long-term development goal.

458 The current representation of vegetation in ALM-3D for these polygonal tundra 459 systems is over-simplified. For example, non-vascular plants (mosses and lichens) are not 460 explicitly represented in the model, but can be responsible for a majority of evaporative 461 losses (Miller et al., 1976) and are strongly influenced by near surface hydrologic 462 conditions (Williams and Flanagan, 1996). Our use of the 'satellite phenology' mode, which 463 imposes transient LAI profiles for each plant functional type in the domain, ignores the 464 likely influence of nutrient constraints (Zhu et al., 2016) on photosynthesis and therefore 465 the surface energy budget. Other model simplifications, e.g., the simplified treatment of 466 radiation competition may also be important, especially as simulations are extended over 467 periods where vegetation change may occur (e.g., Grant 2016).

468 Development of sub grid parameterizations to parsimoniously capture fine scale 469 processes will be pursued in the future. For example, a two-tile approach to represent 470 hydrologic and thermal processes in coupled polygon rims and centers with snow 471 redistribution should be evaluated. Inclusion of lateral subsurface processes has a greater 472 impact on predicted subgrid variability than on spatially averaged states. Thus, one 473 possible extension of the current model would be to explicitly include an equation for the 474 temporal evolution of sub grid variability using the approach of Montaldo and Albertson 475 (2003). The use of reduced-order models (e.g., Pau et al. (2014); Liu et al. (2016)) is an 476 alternate approach to estimate fine scale hydrologic and thermal states from a coarse 477 resolution representation. Additionally, lateral subsurface processes can be included in the 478 land surface model via a range of numerical discretization approaches of varying 479 complexity, e.g., adding lateral water and energy fluxes as source/sink terms in the existing 480 1D model, implementing an operator split approach to solve vertical and lateral processes 481 in a non-iterative approach, or solving a fully coupled 3D model. Tradeoffs between various 482 approaches to include lateral processes and computational needs to be carefully studied 483 before developing quasi or fully three-dimensional land surface models. While the present 484 study focused on application and validation of ALM-3D at fine-scale, future work will focus 485 on regional scale applications using comprehensive datasets and modeling protocol of the 486 Distributed Model Intercomparison Project Phase 2 (Smith et al., 2012)

487 **4** Summary and Conclusions

In a polygonal tundra landscape, we analyzed effects of microtopographical surface heterogeneity and lateral subsurface transport on soil temperature, soil moisture, and surface energy exchanges. Starting from the climate-scale land model ALMv0, we incorporated in ALM-3D numerical representations of subsurface water and energy lateral transport that are solved using PETSc. A simple method for redistributing incoming snow along the microtopographic transect was also integrated in the model.

494 Over the observational record, ALM-3D with snow redistribution and lateral heat 495 and hydrological fluxes accurately predicted snow depth and soil temperature vertical 496 profiles in the polygon rims and centers (overall bias, RMSE, and R² of 0.59°C, 1.82°C and 497 0.99, respectively). In the rims, the transition to thawed soil in spring, summer 498 temperature dynamics, and minimum temperatures during the winter were all accurately 499 predicted. In the centers, a $\sim 2^{\circ}$ C warm bias in April in the 75-100 cm soil layer was 500 predicted, although this bias disappeared during snowmelt.

501 The spatial heterogeneity of snow depth during the winter due to snow 502 redistribution generated surface soil temperature heterogeneity that propagated into the 503 soil over time. The temporal and spatial variation of snow depth was affected by snow 504 redistribution, but not by lateral thermal and hydrologic transport. Both snow 505 redistribution and lateral thermal fluxes affected spatial variability of soil temperatures. 506 Energy dissipation in the lateral direction reduced the depth to which soil temperature 507 variance penetrated. Snow redistribution led to ~ 10 cm shallower active layer depths 508 under the polygon rims because of the residual effect of reduced insulation during the 509 winter. In contrast, snow redistribution led to \sim 5 cm deeper maximum thaw depth under 510 the polygon centers. The effect of lateral energy fluxes on active layer depths was ~ 3 cm. 511 Compared to 1D physics, the 2D subsurface physics led to lower (higher) soil temperatures 512 with depth and time in the polygon rims (centers). The larger than 1 °C wintertime spatial 513 temperature variability down to ~ 2 m depth in rims and centers indicates the uncertainty 514 associated with considering rims and centers as separate 1D columns. During the summer, 515 polygon center temperatures were relatively more vertically homogeneous than 516 temperatures in the rims.

517 The monthly- and spatial-mean predicted latent and sensible heat fluxes were 518 unaffected by snow redistribution and lateral heat and hydrological fluxes. However, snow 519 redistribution led to spatial heterogeneity in surface energy fluxes and soil moisture during 520 the summer. Excluding lateral subsurface hydrologic and thermal processes led to an over 521 prediction of spatial variability in soil moisture and soil temperature because subsurface 522 gradients were artificially prevented from laterally dissipating over time. Snow 523 redistribution effects on soil moisture heterogeneity were larger than those associated 524 with lateral thermal fluxes.

525 Overall, our analysis demonstrates the potential and value of explicitly representing 526 snow redistribution and lateral subsurface hydrologic and thermal dynamics in polygonal 527 ground systems and quantifies the effects of these processes on the resulting system states and surface energy exchanges with the atmosphere. The integration of a 3D subsurface
model in the ACME Land Model also allows for a wide range of analyses heretofore
impossible in an Earth System Model context.

532 **5 Code availability**

- 533 The ALM-3D v1.0 code and data used in study are publicly available at
- 534 https://bitbucket.org/gbisht/lateral-subsurface-model and
- 535 https://bitbucket.org/gbisht/notes-for-gmd-2017-71.
- 536

537 **6 Tables**

- 538 Table 1. Bias, root mean square error (RMSE), and correlation (R²) between modeled and
- 539 observed snow depth at polygon center, rim and difference between center and rim for
- 540 2013 for three cases: Snow redistribution (SR) off and 1D physics, SR on and 1D physics,
- 541 and SR on and 2D physics.

	SR=Off, Physics=1D			SR=On, Physics=1D			SR=On, Physics=2D		
	Center	Rim	Center-	Center	Rim	Center-	Center	Rim	Center-
			Rim			Rim			Rim
Bias	-0.08	0.02	-0.10	-0.04	-0.03	-0.02	-0.04	-0.03	-0.02
RMSE	0.12	0.04	0.12	0.08	0.04	0.05	0.08	0.04	0.05
R ²	0.86	0.92	0.03	0.78	0.85	0.73	0.79	0.85	0.73

542

- 544 Table 2 Bias, root mean square error (RMSE) and correlation (R²) between modeled and
- 545 observed soil temperature at polygon center and rim at multiple soil depth for 2013 for
- 546 three cases: Snow redistribution (SR) off and 1D physics, SR on and 1D physics, and SR on
- 547 and 2D physics.

Bias									
	SR=Off, Physics=1D		SR=On, Ph	ysics=2D	SR=On, Physics=2D				
Depth [m]	Center	Rim	Center	Rim	Center	Rim			
0.00 - 0.20	0.86	-1.73	-0.19	1.00	0.52	0.71			
0.20 - 0.50	0.68	-1.52	-0.46	0.98	0.35	0.62			
0.50 - 0.75	0.53	-1.49	-0.64	0.94	0.21	0.53			
0.75 - 1.00	0.49	-1.44	-0.67	-0.97	0.22	0.49			
Average	0.64	-1.54	-0.49	0.97	0.33	0.59			
across four									
depths									

RMSE									
	SR=Off, Physics=1D		SR=On, Ph	nysics=2D	SR=On, Physics=2D				
Depth [m]	Center	Rim	Center	Rim	Center	Rim			
0.00 - 0.20	2.11	3.39	2.20	2.94	1.90	2.66			
0.20 - 0.50	1.49	2.73	1.39	1.86	1.12	1.57			
0.50 - 0.75	1.60	2.42	1.22	1.96	1.14	1.60			
0.75 - 1.00	1.50	2.15	1.12	1.87	1.09	1.44			
Average	1.67	2.67	1.44	2.16	1.31	1.82			
across four									
depths									

R ²									
SR=Off, Physics=1D SR=On, Physics=2D SR=On, Physics=2									
Depth [m]	Center	Rim	Center	Rim	Center	Rim			
0.00 - 0.20	0.98	0.95	0.97	0.97	0.98	0.97			

0.20 - 0.50	0.99	0.96	0.98	0.99	0.99	0.99
0.50 - 0.75	0.99	0.97	0.99	0.99	1.00	0.99
0.75 - 1.00	0.99	0.97	0.99	0.99	1.00	0.99
Average	0.99	0.96	0.98	0.99	0.99	0.99
across four						
depths						

552 7 Figures



Figure 1 The NGEE-Arctic study area A, which characterized as a low-centered polygon
field. Dotted line indicate the transect along which simulation in this paper are preformed
to demonstrate the effects of snow redistribution on soil temperature. The locations where
snow and temperature sensors are installed within the study site are denoted by triangle
and circle, respectively.



Figure 2. Simulated average winter snow surface elevation across the transect for three scenarios: (1) snow redistribution (SR) turned off and 1D subsurface physics, (2) snow redistribution turned on and 1D subsurface physics, and (3) snow redistribution turned on and 2D subsurface physics. Surface elevation of the transect is shown by solid black line. The dashed line indicates the boundary for comparison to observations in relatively lower (centers) and relatively higher (rims) topographical positions.



- 571 Figure 3 Monthly-mean comparison of observation and simulated snow depth (a) in
- 572 polygon rim, (b) in polygon center; (c) difference between polygon center and rim for 2013.



574 Figure 4. Mean, standard deviation and coefficient of variation of simulated snow

depth across the entire domain for 1D and 2D subsurface physics.



577 Figure 5 Comparison of soil temperature observations and predictions in polygon centers

- (a) and rims (b). Simulation was performed with snow redistribution on and 2D subsurface
 physics, between September 2012 and September 2013. Simulation results are shown at an
- 580 interval of 10 days, while observations are shown at daily interval
- 581
- 582



Figure 6 Simulated daily spatial standard deviation averaged across 10-year of near
surface soil temperature for simulation performed with snow redistribution turned off and
1D subsurface physics (top panel); snow redistribution turned on and 1D subsurface
physics (middle panel); and snow redistribution turned on and 2D subsurface physics
(bottom panel).



Figure 7 Temporal mean of the bottom of the active layer (top panel) and standard
deviation of the active layer depth (bottom panel) over the 10-year period across the
modeling domain.







- 601 Physics=1D" and "SR=On + Physics=2D" at polygon rim (top panel) and polygon center
- 602 (bottom panel).



- 604 Figure 9 Time series of soil temperature spatial standard deviation for "SR=On +
- **Physics=2D**" at polygon rim (top panel) and polygon center (bottom panel).



608 Figure 10. Latent heat flux inter-annual (a) mean, (b) standard deviation, and (c)









616 Figure 12. Same as Figure 6 except for liquid saturation.

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