Dear Dr. Folberth,

Thank you for handling our submission. Please find our point-by-point response to the referees comments below. We have included the revised manuscript twice: In a clean version and with tracked changes.

The main changes and improvements include:

- We included in sections 5.2 and 5.3 a more quantitative analysis.
- Based on the comments by referee 1, we provide a more precise discussion of the differences between the Linoz and the Chapman cycle simulations in section 5.2. Instead of including a scaling factor of 10 to make small changes visible, we decided to plot a zero line separation (gain/loss, also highlighting areas of low temperatures). In addition, we discuss the influence of the relaxation term of LINOZ in more detail.
- We extended the discussion of a timeseries analysis for section 5.3 to emphasise (and quantify) the different aspects of trends and annual variability in the control and feedback simulations of ICON-ART.
- In addition, we compare to TOMS ozone column data as well.
- In section 5.3 onward, we limit our analysis to the period 1990-2009, as suggested by referee 1. The results of the longer simulations can now be found in the appendix
- In general, we revised definitions to be more precise and added missing units.
- We extended the appendix to provide a table of acronyms for convenience.
- Referee 2 suggested that we combine (old) Figure 8&9 as well 10&11. Due to the layout of GMD we decided to stay with separate figures for a clear arrangement.
- The general intention of this paper is to illustrate the status of our ICON-ART development with a strong focus on current technical improvements. We believe, like referee 2, that the level of technical detail is appropriate for a GMD publication and that the technical aspect is well supported by our use cases.

We hope that we could address the referees concerns adequately and are looking forward to the finalisation of the review process. With kind regards, also on the behalf of all co-authors,

Jennifer Schröter

Dear referee, Thank you for your review of the paper. Your comments and remarks helped us to clarify and improve the manuscript. Your feedback provided us with the opportunity to extend certain aspects of our discussion - much appreciated! Our basic idea in writing this paper was to give a status-quo of our model development. We decided that GMD is the right place to give a detailed overview of our implementation. Most user guides, as you suggest, are somehow non citable literature. Our approach of combining a structure like XML with a Fortran interface should be seen as a blueprint for other modelling frameworks as well and supports us today in the flexible setup of model integrations for science applications.

In the following, you can find our detailed answers (black) to your comments (red).

Page 2, Lines 31-32: I might suggest changing the text from field, like large eddy simulations, numerical weather predictions and climate simulations ICON-ART can run with the different existing physics configurations. to field, like large eddy simulations, numerical weather predictions or climate simulations, ICON-ART can run with different existing physics configurations.

Thank you for this suggestion. We rephrased this sentence.

Page 4 Lines 6-7: Should the phrase '...not have any impact on other tracers of the (thermo-)dynamical of the simulated system.' be '... not have any impact on other tracers or the (thermo-)dynamics of the simulated system.'

Yes, thank you. This sentence got a little bit mixed up.

Page 13, Lines 5-16: Age of air derived from a linearly increasing tracer is fairly widely used and I do not think all the details are required of the implementation. But I would also note that equation (4) is a bit difficult to understand. Is the first term on the right-hand side (7 x 86400.0 x 365.2425) the initial value assigned to the age tracer every- where in the model?

We made a mistake in typesetting this formula (4 - now corrected). The age of air tracer is initialised with a value of 7 years (in seconds) in the troposphere. This value is scaled with the respective pressure. For all other time steps, the term on the right hand side (7 x 86400 x 365) is the lower boundary condition for altitudes below 950 hPa and each integration time step the integration time step is added.

Can the authors provide some reasons why total column ozone inside the vortex is lower while chemical change at 50 hPa suggests it should be higher? I am also curious about the ozone loss that occurs outside the vortex in the Linoz simulation and that is mentioned in this section as well. Is it possible to add the 195K isotherm on to Figure 4 to help the reader unambiguously see where the PSC-chemistry parameterisation is active? While I

can imagine some filaments of the original vortex having temperatures below 195K, I am curious why the two lobes of the original vortex are not cold enough to activate the ozone loss parameterisation.

We have now shaded the area below 195 K in Figure 4. In addition, we added additional information on the influence of the LINOZ background ozone climatology. It should be noted that the background climatology shows higher values of ozone volume mixing ratios at 50 hPa in comparison to the ERA-Interim initialisation that we used. In the southern hemisphere, at 50 hPa, this differences is up to 2e - 6 mol mol⁻¹. The relaxation towards the background climatology produces a somehow pseudo production term. Referring to the original paper McLinden et al. (2000), the photochemical relaxation time would be up to 100 days. Since we are performing a short term proof-of-concept simulation, the relaxation to the (higher) background climatology manifests itself in a pronounced production and loss pattern. For future forecasts we would envisage an initialisation phase in which background and initial ozone are calibrated before the forecast, leading to a smaller amplitude. However, additional loss is added by the parameterisation of heterogeneous processes based on low temperatures, but it is less visible. For climate simulations (below) this is not a problem, because an initial spin-up phase is included.

For clarity, we decided to plot active and passive ozone separately as well (Figure X). For the difference plot, we neglected the scaling factor of 10, for the Chapman cycle (highlighting that the tendencies are generally small). In the original vortex lobes, temperatures below 195 K do occur, but only for a very short time.

Thank you very much for pointing out ambiguities. We hope our clarifications (based on your suggestions) will help the reader to understand the workings of the model better.

Page 17, Lines 3-4: For the control simulation that uses specified ozone from Cionni et al. (2011) in the radiation, what water vapour is used for radiation? Is it the water vapour that crosses the tropopause, but with no addition from methane oxidation?

The water vapour is the standard water vapour tracer of ICON. This water vapour tracer is transported and is part of the microphysics scheme. There is no vertical limit to which this tracer is present, thus, this water vapour tracer is also transported across the tropopause. If the cold point is reached, this water vapour tracer undergoes the transition to the ice tracer.

Page 19, Lines 9 14: The standard deviation of ozone is discussed here and the point that the variability in the Cionni et al. dataset shows a pattern very similar to the ozone contours themselves is made. The Cionni et al. ozone dataset was made using a standard climatological ozone with a temporal trend given by regression to an idealised reactive chlorine (Cly). I think what you are showing in the standard deviation is just the long-term trend in ozone itself the evolution of the trend around the long-term mean for the 1980 2009 period. While the Linoz ozone actually has year-to-year variability but as it is constructed I dont think it has any long-term trend. This comparison does not seem very valid. And that brings up another point that since Linoz is designed for a particular time period, particularly the PSC parameterization, is it correct to use it over the full

period from 1980 when chlorine loading will have evolved considerably? I might suggest restricting the comparison to 1990-2009 when the effects of ODSs are more fully realized.

Thank you very much for suggesting a clarification. Your are completely right, pointing out that the ozone climatology used in ICON-ART control has a long term trend. In contrast, the ICON-ART feedback simulation with Linoz does not include a trend term in the ozone (it could be included by time varying coefficients). To clarify this and make results even more comparable, we took up your suggestion to concentrate the discussion on the years 1990-2009 and changed the analysis period accordingly. To provide a more quantitative discussion, we added a table with the results of a timeseries analysis of the total ozone column at 60°S from the control and feedback integrations and compare both to TOMS observations.

Page 20, Line 5: In the southern hemisphere winter, ICON-ART reaches temperatures of about 200 K. This seems to be like a significant warm bias that would have serious impacts on PSC processes. How does this statement agree with the findings shown in Figure 9 that the feedback simulation seems to be biased cold compared to ERA- Interim?

The line you are referring to has been changed, because it was too vague. You are right that the feedback simulation is cold biased in the southern hemisphere. The control simulation shows this as well but lies in between ERA-Interim and the feedback simulation.

Page 20, Lines 7-8: Is the comparison reversed in the statement In the vertical region around 50 hPa, the difference between ERA-Interim and the feedback simulation is about 15 K to 20 K in the tropics and below 8 K in the southern hemisphere.

Thank you for pointing this out - the statement has been corrected.

Page 22, Lines 2-3: On the comparison of zonal winds to ERA-Interim the authors state Here, the ERA-Interim shows values up to 25 m s1 higher than in the ICON- ART simulation. Between 30N and 60N latitude above 20 hPa the sign of the differences changes. Here, we observe stronger zonal wind speeds than in ERA- Interim. The finding of stronger zonal winds, particularly around the southern hemisphere stratospheric jet, does not seem to fit with the cold temperatures found the ICON-ART feedback simulation that are shown in Figure 9. I think the negative difference means the winds in ICON-ART are stronger.

We corrected and clarified the statement - thank you!

Page 23 the caption on Figure 10 does not seem to match with what is shown in Figure 10.

Corrected - thank you!

Page 24, Lines 2-3: It is difficult to see the difference in water vapour entering the stratosphere that is mentioned by Due to lower tropical tropopause temperatures in the feedback simulation, less water can enter the lower stratosphere. because Figure 12 is the monthly anomaly to the annual mean. A quick mention of the difference in the annual mean in the lower stratosphere would help.

Now, we mentioned the annual mean temperature difference between the ICON-ART control and feedback simulations in the text.

Page 25, Figure 12 Do you have any idea why the maximum in the annual cycle in the feedback simulation around 80 hPa is so broad, stretching from May to October. The broad annual cycle in the bottom right corner of the feedback plot is also difficult to reconcile with the very narrow maximum in the top left corner.

The tropopause temperature differences do correspond to that. From JJA to SON, the temperature in the tropics around 100 hPa are higher in the feedback simulation than in the control simulation. We extended the respective section in the paper by discussing this aspect.

Dear Johannes Bieser, Thank you for your review of our paper. We extended section 5.2 providing additional information, focusing on details of the Linoz parameterisation.

For section 5.3, we included a detailed timeseries analysis to make the comparison more quantitative, keeping your remark in mind.

All abbreviations can now be found in the appendix. We added missing units and clarified definitions. We certainly agree, that this improves reproducibility.

We have considered your remarks regarding combining figures and to alter line breaks. However, on balance we found that given the final publication style of GMD the current layout should work well. If problems are encountered in the layout process, we will happily adapt the layout.

In the following, you can find our detailed answers (black) to your comments (red).

P2 L5: ... the same dynamical core As you know (and state later in the text) this is not the case for ICON (and I am not sure which other model has actually reached that ideal).

We agree that ICON is not a uniform model in terms of using the same physical parameterisations across scales. However, ICON uses one dynamical core across scales (like, e.g. the UM at the Met Office does as well).

P2 L22: Here you should mention that the development is based on COSMO-ART. Maybe I am wrong but people do know COSMO-ART. In this case add a few sentences to clarify any differences between the ART in COSMO-ART and ICON-ART

We included an additional sentence. Indeed, the main development goals are shared. Nevertheless, parameterisations and code implementations are improved or very different.

P7 L6: Here I got lost: prognostic and diagnostic state. Maybe you can clarify what this means?

We removed that sentence and replaced it by: The ART tracers are part of the general tracer transport scheme in ICON.

P7 L25: Any reason for the continuation? That would even work in f77 in a single line. Yes, you are completely right. The final version of this paper will be published in a two-column layout. This line would be too long for that. The continuation is only a beautification for GMD. P8 L17: Technical work has been done to ensure..... As this is a GMD article, I think it would be appropriate to briefly state how you implemented this.

We extended this section by two additional sentences.

P10 L7: Before both routines are called, the tendencies are updated. This is unclear. What does it mean to update a tendency? Do you mean that the tendencies are applied before these routines are called?

We rephrased that sentence. Instead of returning tendencies, the routines apply the calculated tendencies and change or alter the respective (state) variable, e.g. the water vapour tracer.

P11 L16: Probably should read cO3 instead of c. I am not sure how you decide on the line breaks. I suggest to write the formula in a single line or use a single line for each part/process. But more importantly you need to define the P and L terms. Otherwise it remains unclear how the derivative of P-L is derived.

We defined these terms more precisely. Here, again, we decided to stay with the line breaks with respect to GMD layout.

P12 L12: You need to give units for all variables. Moreover, I would suggest to add the formula for the relative vorticity. This might seem pedantic but it ensures the reproducibility of your work.

We extended the respective section.

P12 L20: Multiplication of both. This is ambiguous. It could refer to PV or to the area. Yes, you are right. This sentence is a little bit too complicated. To be more precise, we separated this into two sentences.

P12 L20: Use zonal wind instead of westerly (as you do in L22). Or absolute zonal wind if you want to be super precise.

Thank you - corrected!

P12 L32: middle atmosphere: Please be more precise.

We added an altitude constraint.

P13 L10 & L15: You need to add units to these equations. And I do not get where the 7x is coming from.

We improved the discussion of this formula.

P13 L12: Please define the mean age of air.

We extended the definition by defining the unit of Ψ_{age} . This also allows to clarify the origin of the given number of 7 years in the equation (given in seconds).

P13 L22: ... in models and observations. Maybe I am ignorant but what does that have to do with observations?

We rephrased this section.

P14: Again give units! We extended our definitions by providing the units of all variables.

P14 L15-17: Please clarify what exactly do you do here? Do you override the default tracer before the microphysics calculation?

We clarified that sentence.

P14 L22: composition ??? We added a missing *chemical*.

P15 L11: 40km does this refer to a triangle side length? Maybe give the grid cell average area for a better comparison to traditional grids.

The length of 40 km is a nominal characteristic distance computed as square-root of the mean cell area of the grid. A reference for a formula has been added.

P15 L26: How do the 400DU compare to observations?

We extended this section by a more detailed comparison to the observations.

P16 L1: ... losses are positive ... This is very difficult to follow. I would suggest: losses are higher in that region.

We totally agree and changed that.

P16 L2: by the chemical mechanism. The plural of chemistry sounds wrong. We corrected that.

P16 L2: Passive and reactive tracers. (I think chemical is not the correct word here)

We agree.

P16 L4: And you do not consider additional loss terms (e.g. halogens) We added a clarifying sentence.

P16 L6: negative ozone loss. Is this identical to ozone production? If o it would be better to understand than the double negative formulation. You are right, we clarified that.

P23 Figure 10: The caption is not correct. Thank you for pointing this out. We corrected the mistake.

P23 L10-15: Please be more precise. Do you mean an absolute decrease or lower values? e.g. Absolute values of -2E-7 does makes no sense as absolute values are always positive.

Yes, we improved the phrasing.

P28 L6: Age increase of years. It would be nice to give a number here. We extended the description.

Appendix: Are all species transported? Or how do you treat e.g. O1D. It would be interesting to see the definition for such a short lived species.

Species with a short lifetime are not transported. Information about that is stored in a look-up table of MECCA. We extended that example.

ICON-ART 2.1 - A flexible tracer framework and its application for composition studies in numerical weather forecasting and climate simulations

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Abstract.

Atmospheric composition studies on weather and climate time scales require flexible, scalable models. The ICOsahedral Nonhydrostatic model with Aerosols and Reactive Trace gases (ICON-ART) provides such an environment. Here, we introduce the most up-to-date version of the flexible tracer framework for ICON-ART and explain its application in one numerical weather

- 5 forecast and one climate related case study. We demonstrate the implementation of idealised tracers and chemistry tendencies of different complexity using the ART infrastructure. Using different ICON physics configurations for weather and climate with ART, we perform integrations on different time scales, illustrating the model's performance. First, we present a hindcast hind-cast experiment for the 2002 ozone hole split with two different ozone chemistry schemes using the numerical weather prediction physics configuration. We compare the hindcast hind-cast with observations and discuss the confinement of the split-
- 10 vortex using an idealised tracer diagnostic. Secondly, we study AMIP type integrations using a simplified chemistry scheme in conjunction with the climate physics configuration. We use two different simulations: The interactive simulation, where modelled ozone is coupled back to the radiation scheme and the non-interactive simulation that uses a default background climatology of ozone. Additionally, we introduce a chemical source term for water vapour changes of water vapour by methane oxidation for the interactive simulation. We discuss the impact of stratospheric ozone and water vapour variations in the
- 15 interactive and non-interactive integrations on the water vapour tape recorder, as a measure of tropical upwelling changes. Additionally we explain the seasonal evolution and latitudinal distribution of the age of air. The age of air is a measure of the strength of the meridional overturning circulation with young air in the tropical upwelling region and older air in polar winter downwelling regions. We conclude that our flexible tracer framework allows for tailor-made configurations of ICON-ART in weather and climate applications that are easy to configure and run well.

1 Introduction

Next-Until recently, most global numerical weather prediction (NWP) and climate models built upon the approximate hydrostatic primitive set of equations, which hindered their application on convective scales (O(1 km)) or below. With the advent of next generation global modelling systems allow simulations with high horizontal resolutions, up to grid spacings of a few hundreds

- 5 of meters. Thus, the like MPAS (Skamarock et al., 2012), NICAM (Tomita and Satoh, 2004) UM-UKCA (Hewitt et al., 2011) or ICON (Zängl et al., 2015), this restriction has been overcome, as they rely on a fully compressible set of hydro- thermodynamical equations (i.e. the acceleration term dw/dt in the vertical momentum equation is fully retained and allows for non-hydrostatic motions. In general, unified modelling systems for weather and climate applications have several advantages. They use the same physical parameterisations and the same dynamical core across a wide no longer neglected). In principle this allows the
- 10 same dynamical core to be applied across the entire range of temporal and spatial scales that exist in the atmosphere. Thus, simulations ranging from a few hours to hundreds of years are very may become more consistent and advances of one scale or application can be more easily more easily be transferred to another. The construction of scale-aware physical parameterizations, however, that can be applied over the entire range of scales remains a challenge (Rauscher et al., 2013; Chun et al., 2016).
- The extended modelling system ICON-ART (ICOsahedral Nonhydrostatic Aerosols and Reactive Trace Gases; Rieger
 et al., 2015) is an example a representative of a next generation system. ICON (Zängl et al., 2015) is a joint development of Deutscher Wetterdienst (DWD) and Max Planck Institute for Meteorology (MPI-M). The dynamical core of ICON is based on the non-hydrostatic formulation of the vertical momentum equation. Thus, ICON allows simulations with high horizontal resolutions, up to grid spacing spacings of a few hundreds of meters. However, while the dynamical core of ICON is unified individual applications like large eddy simulations, numerical weather prediction (NWP) or climate projections (e.g. ECHAM)
 use currently different parameterisations of physical processes (Dipankar et al., 2015; Heinze et al., 2017).
- ICON offers the possibility of local grid refinements, also called 'nesting'. The nesting provides the option of two-way interactions between the (global) coarse domain and the higher resolution local domain. Hierarchical nesting and several domains at the same nesting level are possible. ICON already shows provides benefits in various application fields, e.g. in the HD(CP)² project. Selected results of the HD(CP)² project are described in Heinze et al. (2017). Within this project the configuration of
- the LES physical configuration has been developed and is used to achieve a better understanding of, for example, processes that are related to precipitation. Results will help to improve future climate projections on coarser resolutions.

Since January 2015 ICON runs operationally at DWD for weather forecasts on the global scale with a grid spacing of 13 km. Since June 2015, the a local grid refinement over Europe with a horizontal resolution of $7 \text{ km} \cdot 6.5 \text{ km}$ is in operational use as well.

30 ART is an additional module for ICON, developed at the Karlsruhe Institute of Technology. It contributes to the goal of a unified global next generation modelling system with a variety of applications in the field of atmospheric composition sciences. A variant of the ART module is also being used in COSMO-ART. However, parameterisations differ and implementations have been updated to newer Fortran standards. ICON-ART extends the numerical weather and climate prediction system ICON with (gas phase) chemistry, aerosol dynamics and related feedback processes. A comprehensive treatment of feedback processes

between chemistry, aerosols, clouds and radiation will can now be included in many recent and future studies (Gasch et al., 2017; Rieger et al., 2017; Weimer et al., 2017; Eckstein et al., 2017).

Here, we will discuss the unified tracer framework of ICON-ART that allows to define and specify tracer initialisations initialisation and a coupling of individual chemical mechanisms and specific process modules. Depending on the require-

5 ments of the application field, like large eddy simulations, numerical weather predictions and climate simulations <u>simulations</u>, ICON-ART can run with the different existing physics configurations.

Given the multitude of challenges we are facing, models have to be capable of being readily changed. Therefore, it is of high importance to provide a tracer framework that is flexible and suitable for a large variety of different applications. For the development of next-generation modelling systems, the requirements for modern high performance computer architectures also

- 10 have to be considered. Nowadays, numerical models are integrated on massively parallel architectures with up to 10⁶ cores. Unstructured grids like the icosahedral ICON grid show advantages regarding the performance on current high-performance computing systems (e.g. Zängl et al., 2015). Taking into account the increase of computational power over the last years, it is obvious that more diagnostic and prognostic tracers will be included in future simulations than before. With ICON-ART 2.1 we introduce a new flexible tracer framework, which meets the demands of a next generation modelling system. There is full
- 15 flexibility in defining the tracers and associated characteristics. The set of tracers can be tailored for individual experimental setups of different complexity. The model allows for changes in the set of tracers without any recompilation. The ability to replace the common usage of namelist structures, previously used by ICON-ART and other models (e.g. Morgenstern et al., 2017; Baklanov et al., 2014) is fully supported.

Here, we describe the concept of the new ICON-ART tracer framework. The technical description is followed by examples
of definitions for chemical and passive tracers in ICON-ART in Section 5. In Section 5 we give an overview of different applications of ICON-ART using the flexible tracer framework. These applications include simulations with the numerical weather prediction physics configuration and simulations with the climate physics configuration. We finish with some concluding remarks and an outlook.

2 The ICON-ART tracer framework

- 25 Focussing on For the simulation of the chemical composition of the Earth's atmosphere, it is highly desirable to be able to design individual experiments on different temporal and spatial scales. For example, if an experiment mainly focusses is focussing on tropospheric chemical composition, it is useful to only define define many emission driven tropospheric chemical tracers. For long term simulations, it might be advantageous to ealculate a define a smaller subset of chemical tracers leading to a less expensive calculation, in terms of computational time. Chemical In addition, sometimes chemical tracers with a short
- 30 life time, compared to dynamical time scales, can be neglected in the transport processes.

With ICON-ART, we introduce a flexible tracer framework with a minimum of predefinitions that allows all of the above and more. The user has the ability to define a unique set of tracers, tailored to the requirements of the model experiment planned. The new tracer framework builds on structures which are already implemented in the basic ICON framework and shared by

different available physical configurations. These configurations are the LES physics configuration (Dipankar et al., 2015; Heinze et al., 2017), the NWP physics configuration and the climate physics configuration based on ECHAM6 parametrisation (Stevens et al., 2013)(Giorgetta et al.). The new tracer framework allows flexible coupling to the selected physical configuration. Thus, the composition coupling can be performed without subsequent changes. The ART code is not changed when the physics configuration is changed.

This allows an independent investigation of atmospheric processes using different physical configurations.

2.1 Technical description of the tracer framework

5

The technical framework of ICON-ART is based on Fortran 20032008, which is essential provides essential functionality for the new tracer framework. Classes can give the ability allow to overcome the stringent matching of data types. Items only have

- 10 to match the a data type or any extension of this type when it is declared with CLASS (type) where type is a derived data type (Chapman, 2008). If the CLASS matches more than one data type, it is called polymorphic. Within ICON-ART, we are using these polymorphic objects to extend the existing tracer structure by additional metadata. The second main feature that we are using are linked lists Linked lists are another Fortran 2008 feature that is extensively used. Linked lists allow for new features like passing a reference to the next list element or adding and deleting elements.
- Within In the scope of this paper, we are working with two different types of tracers: passive and chemical active ones. An example for a passive tracer is a constituent, that does not have any impact on other tracers of the (thermo-)dynamical or the thermodynamics of the simulated system. Passive tracers have pre-defined sources and or only fixed initial conditions. They change by transport and do not interact with other tracers or processes. Chemical active tracers experience sources and sinks while being transported and can participate in feedback processes.
- 20 Chemical tracers and passive Passive and chemical active tracers are distinguished by their associated metadata. In our example, passive tracers have no chemical loss term to be considered. In other words, the lifetime of passive tracers is infinite. Information about the lifetime is used for simple chemical tracers that experience an idealised loss while being transported. Of course, the information dealing with transport should be provided for both tracer types. A flexible aerosol dynamics module making use of the flexible tracer framework is currently under development.

25 2.2 Storage of metadata information

We extend the existing ICON-ART functionality by the usage of a key-value storage. The storage is based on string comparisons using generic hash tables. For every tracer, a unique key of fixed size is defined. This represents the search key. The table is constructed like a dictionary. Tracer information can be looked up fast, using this search key. Hash tables provide the foundation for a generic and flexible concept to read and store metadata. At model initialisation one key-value storage for each tracer is initialized by

30 tracer is initialised by

CALL storage%init(lcase_sensitivity=.FALSE.)

In this case, the dictionary entries are not case sensitive. The storage unit has two fundamental subroutines, these are storage%put and storage%get. The first creates a new dictionary entry, and the second searches for a key and gives the connected entry. In the next step, this storage unit has to be filled. Accepted types of storage values are reals, integers or characters.

5 2.2.1 Reading in metadata information from XML files

At one point in time, all numerical models need to find an answer to the question how to transfer text based information (e.g. tracer metadata) into the model's program code. The text based format XML - eXtensible Markup Language (see W3C-Recommendation, 2017), gives the developer and the user the ability to store and transport information in a structured way.

- XML has only few mandatory rules, e.g. there has to be exactly one root-element. The framework in which the information is structured can be freely chosen. The structure itself allows for a human readable format. ICON-ART uses the Fortran interface TIXI (https://software.dlr.de/p/tixi/home/) to read the XML file. The TIXI interface includes a flexible mechanism for XML file read inreading. Since the scripting language of XPath is used, the navigation through an XML document is an easy task to perform. The XML reading routine can be structured in the same way like someone would read a document and would remember the content in a most natural way. An example structure of such an XML input file for the tracer structure is the following:
- 15 following:

```
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE tracers SYSTEM "tracers_gp.dtd">
```

```
20
```

```
<tracers>
```

```
<chemical id="TRO3">
        <mol_weight type="real"> 4.800E-2 </mol_weight>
        fetime type="real"> 2592000 </lifetime>
25
        <transport type="char"> stdchem </transport>
        <init_mode type="int"> 0 </init_mode>
```

```
<unit type="char"> mol mol-1 </unit>
```

```
<long_name type="char"> ozone </long_name>
```

```
</chemical>
```

```
30 </tracers>
```

35

The XML file is scanned automatically. For the realisation of this feature, it is necessary to predefine the type of input. Every tag has a mandatory type definition, being char, int and real. The first word in brackets, <tracers> is called XML-tag. The tag <chemical id="TRO3"> has the additional attribute id for the tag chemical. To identify a specific tracer, the system uses the given attribute (e.g. id). Tags are used to build up the metadata structure. It is a key-value storage where

the tag (e.g. mol_weight) is the key with the value, e.g. 4.800E-2 for ozone. The number 4.800E-2 is then stored in the metadata structure of ICON-ART. At this point it should be noted that there are two kinds of metadata: necessary and optional metadata. Necessary metadata depend on the polymorphic type. Passive tracers do have different necessary metadata than chemical tracers. The optional metadata are read in automatically. Every tag in the XML file is translated into an entry in

5 the key-value storage.

The structure of the ART tracer framework is shared with the core ICON tracer framework and only expanded in cases needed. In addition to that, tracers can share attributes, and are distinguished by additional attributes. For In our example, ozone appears in two different subroutines for chemistry. The first, using a lifetime based mechanism, and the second, using a full gasphase approach.

- 10 The tag <mol_weight> can be used for unit conversion within a subroutine and is given in kgmol⁻¹ kgmol⁻¹. The tag <lifetime> is used for simplified integration methods for a given life time of a species, in seconds. Some chemical substances or passive tracers do not have to be transported thus the tag <transport> ensures that this information is transferred to the program code and the transport is switched on or off, respectively. In addition to that, templates can be defined. In this case, a template named stdchem is chosen. At model start up, this template is translated into a specific selection of horizontal
- 15 and vertical transport schemes. Each scheme stands for a specific numerical discretisation of the mass continuity equation in horizontal or vertical directions. Currently, there are three different <u>default</u> transport templates available: off, stdaero and stdchem. These templates avoid the necessity to add a tracer advection scheme and flux limiter for each single tracer in the namelist. Hence, the values of the ICON-ART namelist parameters ihadv_tracer, ivadv_tracer, itype_hlimit and itype_vlimit are overwritten by the template. Specific information concerning the advection schemes mentioned can
- 20 be found in the official ICON documentation. Specifying off will deactivate advective transport for this tracer completely. The transport template stdchem uses the same advection schemes as stdaero. However, the considerably faster positive definite flux limiters are used—, instead of the monotone flux limiter. The conservation of linear correlations is traded for a faster computation of the advection.

If chemical species need to be initialised, this is achieved via <init_mode> and a respective number corresponding to the initialisation scheme. If the init_mode is set to 0, no external initialisation data is used. With integers different from zero, data from other models, like EMAC (Jöckel et al., 2005) or MOZART (Emmons et al., 2010), interpolated on the horizontal icosahedral grid are read in and used. The vertical interpolation is performed online. Thus, the initialisation dataset stays unchanged, regardless of the choice of model levels for the simulation.

The structure of the key-value storage for every tracer is built up automatically. This feature allows for an extensive flexibility 30 which has to be controlled. In our case, attributes are used in a fixed manner, they define our basic framework of the tracer structure. The full flexibility, direct access, control mechanisms and data type distinction, are only a few advantages of this XML based procedure against other currently used strategies.



Figure 1. Schematic describing the tracer framework and the tracer definition in ICON-ART.

2.3 Construction of the tracer metadata structure

Within ICON-ART, the metadata, e.g. regarding transport or chemical properties, are read in using the XML interface. Afterwards, the information is stored in the ICON-ART tracer framework. The individual steps of the tracer transport simulation in ICON and ICON-ART are described in Rieger et al. (2015). Figure 1 shows the calling structure of the tracer framework in

5 ICON-ART with regard to the tracer and metadata construction. Tracers have to be accessible for the prognostic and diagnostic state of ICON-ART. All tracers within the ICON-ART tracer framework share a common structure like the following:

```
TYPE t_tracer_meta
```

```
...
END TYPE t_tracer_meta
```

10

20

In case of chemical tracers, we want to provide additional information on, e.g. mol weight. The extension of the derived type looks like this:

In this case, the mol_weight is a mandatory information. Optional metadata is stored in as part of the basic structure t_tracer_meta. This container includes a key-value storage. This solution ensures, that the metadata container stays as flexible as possible. The respective XML file is read in, and all information is processed and stored in the opt_meta container,

see Figure 1. In the example of mol_weight, this attribute becomes a real variable accessible in the code. It can be accessed by using e.g.:

Here, CALL meta%opt_meta%get(...)

5

10 is the operation to access the key-value storage in the container.

The combination of linked lists, polymorphic classes and key-value storage allows the user to define tracers in a flexible way. Only those tracers given in an input file are read in, registered and appear in the modelling system. With this solution, the user is free to define any number of chemical or passive tracers which is only limited by the memory of the computing system.

2.4 Construction of XML tracer input file via MECCA

15 The XML file can either be edited manually by the user or be generated automatically by an external program. The tracer files used for the experiments described in Section 5, are shown in Appendix A. In this section, we demonstrate the XML file generation by an external program, which also extends the functionality of ICON-ART. In addition to the existing life time based chemistry approach (see Rieger et al., 2015) a full gas phase chemistry approach is added.

Construction of the full gas phase chemistry approach is done using the comprehensive and flexible atmospheric chemistry module MECCA (Module Efficiently Calculating the Chemistry of the Atmosphere; Sander et al., 2011). MECCA provides a set of chemical reactions covering the troposphere as well as the stratosphere. The base version of MECCA is <u>extendible</u> <u>extendable</u> by own chemical reactions or an update of rate coefficients.

The MECCA preprocessing part has been extended by a routine constructing the XML file for the tracer module of ICON-ART. The numerical flexibility of MECCA is based on the KPP software (Kinetic PreProcessor; Sandu and Sander, 2006). KPP

- 25 generates Fortran90 code which is used to solve the differential equations based on the given chemical reaction. This is the first step which is needed for KPP and thus for MECCA. In a second step, the numerical integrator is chosen. For our example, we use the Rosenbrock solver of the third order (Sandu et al., 1997). In the third step we use the driver part of MECCA. The driver stands for the main program which calls the integrator, reads input data sets, and writes the results in the original MECCA model. Within ICON-ART we replaced this step by routines of ICON and ICON-ART. Only the integrator call element is
- 30 maintained. A shell script, in combination with the (AWK scripting language Aho et al., 1987) processes all information and generates the respective files and routines. Technical work has been done to ensure that a dictionary gets accessible for the translation between four dimensional chemical tracers in ICON-ART and one dimensional concentrations of chemical species in the KPP routines.

Reactions used in the gas phase simulation - extended Chapman cycle

$\mathrm{O}_2 + \mathrm{O}^1\mathrm{D} + \mathrm{M} \rightarrow \mathrm{O}^3\mathrm{P} + \mathrm{O}_2 + \mathrm{M}$
$O_2 + O^3 P \rightarrow O_3$
$O_3 + O^3 P \rightarrow 2O_2$
$\mathrm{N_2} + \mathrm{O^1D} \rightarrow \mathrm{O^3P} + \mathrm{N_2}$
$\rm OH+O_3 \rightarrow \rm HO_2 + \rm O_2$
$\mathrm{HO}_2 + \mathrm{O}_3 \rightarrow \mathrm{OH} + 2\mathrm{O}_2$
$\mathrm{HO}_2 + \mathrm{OH} \rightarrow \mathrm{H}_2\mathrm{O} + \mathrm{O}_2$
$\rm H_2O + O^1D \rightarrow 2OH$
$N_2O + O^1D \rightarrow O^3P + N_2O$
$\rm N_2O + O^1D \rightarrow 2NO$
$\rm NO+O_3 \rightarrow \rm NO_2+O_2$
$\rm NO_2 + O^3P \rightarrow \rm NO + O_2$
$\mathrm{NO}_2 + \mathrm{O}_3 \rightarrow \mathrm{NO}_3 + \mathrm{O}_2$
$\rm NO_3 + \rm NO_2 \rightarrow \rm N_2O_5$
$\rm NO_2 + OH + M \rightarrow HNO_3 + M$
$\rm HNO_3 + OH \rightarrow H_2O + NO_3$
Photolysis reactions
$O_2 + h\nu \rightarrow O^3 P + O^3 P$
$O_3 + h\nu \rightarrow O^1 D + O_2$
$O_3 + h\nu \rightarrow O^3 P + O_2$
$NO_2 + h\nu \rightarrow O^3P + NO$
$NO_3 + h\nu \rightarrow O^3P + NO_2$
$N_2O_5 + h\nu \rightarrow NO_3 + NO_2$
$HNO_3 + h\nu \rightarrow NO_2 + OH$

Additionally, other chemical reaction mechanisms provided by MECCA can be used within ICON-ART without changes in the program code itself. It is sufficient, to read the new tracer XML file generated by the MECCA preprocessor. Finally, all standard reactions reaction schemes provided by MECCA are accessible in ICON-ART, by only using MECCA as an external preprocessor. The model has to be recompiled once, but the user does not have to perform changes in the program code. Files

5

that are changed by the preprocessor are copied to the respective ICON directory automatically. Photolysis rates are calculated using an updated version of CloudJ7.3 (Prather, 2015).

In the scope of this paper, we use a chemical reaction mechanism based on the extended Chapman cycle to demonstrate the functionality of the ICON-ART gasphase routine.

We defined the chemical reactions as shown in Table 1.

5

3 Integration of the ART module into ECHAM physics routines

The general coupling structure using NWP physics configuration is described in Rieger et al. (2015). The entry points of the coupling to the climate physics configuration is different to the entry points for NWP. The general concept of clear code distinction using compiler directives, described in Rieger et al. (2015), remains the same.



Figure 2. Schematic describing the process calling structure in the climate configuration of ICON-ART 2.1.

Figure 2 shows an a schematic overview of the calling strategy of subroutines with the ECHAM (climate) physics configuration. Boxes marked partly orange are shared structures between ICON and ICON-ART. Boxes with an orange frame are processes affected by ART tracer tendencies. Boxes with an orange background are ART routines. The model physics part is represented using different blue boxes. The radiation subprocess is depicted with a dark blue shaded box because the radiation

10 process is called with a reduced frequency than other processes, in general. Chemical reactions are part of the physics routine in climate configuration but only active if ART is compiled and chemical routines are activated by a namelist parameter. All processes marked with in a blue shade can have an individual calling frequency. Most routines return a tendency and those tendencies are summed up-

Most processes are treated in parallel-split mode which means that they are all computed from the same state which is provided by the dynamics. The tendencies returned by the individual processes are then summed up and applied at the end of the physics integration time step. The exceptions are the routine for condensation and total precipitation. Before both routines

10

are called, the tendencies are updated Condensation constitutes an exception, as it is treated in sequential-update split mode. I.e. it is also computed from the latest post-dynamics state, however, the state is immediately updated by adding the resulting tracer tendencies to the respective state variables.

4 Implementation of passive and chemical tracers in ICON-ART

- 5 It is <u>computational computationally</u> expensive to couple global tropospheric and stratospheric chemical models with global meteorological models. Therefore, it is reasonable, especially at the beginning of the development of a model like ICON-ART, to use simplified parameterisations for the description of selected chemical species. Parameterisations of chemical species can save computational costs and can give <u>a first an initial</u> overview of the general applicability of the model. In addition to parameterisations of chemical tracers, it is useful to define artificial passive tracers to investigate e.g. transport processes in
- 10 the atmosphere. An example of a passive tracer is the vortex tracer, described at the end of the following section. Further, we describe a selection of parameterisations for the simulation of chemical tracers in the atmosphere. These tracers can also be used to investigate fundamental composition-circulation feedbacks. The selection is an extension of the set of parameterisations described in Rieger et al. (2015) and Weimer et al. (2017).

4.1 Linearised ozone algorithm

- 15 Ozone is one of the most important chemical species regarding radiative heating in the middle atmosphere. Thus, ozone concentrations have to be simulated reasonably to address questions about transport processes determined by stratospheric processes (e.g. Braesicke and Pyle, 2003, 2004). ICON-ART, in its standard NWP configuration uses monthly climatological ozone values derived from GEMS climatology (Global and regional Earth-system (Atmosphere) Monitoring using Satellite and in-situ data, (Hollingsworth et al., 2008)). The used ozone climatology ozone climatology used in the heating rate calculations
- 20 is independent from the year of simulation. Here we move one step forward and use the ansatz for a simplified description based on McLinden et al. (2000). This parameterisation ansatz can be understood as a first order Taylor expansion of the stratospheric chemical rates. The ozone concentration tendency is linearised with respect to the local ozone mixing ratio, temperature and overhead ozone column density. The algorithm accounts for a more realistic vertical gradient than the provided climatology. For the troposphere, we assume a constant lifetime of 30 days.
- 25 The following differential equation describes the linearised approach:

$$\begin{aligned} \frac{\mathrm{d}\xi}{\mathrm{d}t} &= (P-L)^0 + \left. \frac{\partial(P-L)}{\partial\xi} \right|_0 (\xi - \xi^0) \\ &+ \left. \frac{\partial(P-L)}{\partial T} \right|_0 (T-T^0) \\ &+ \left. \frac{\partial(P-L)}{\partial c_{\mathrm{O}_3}} \right|_0 (c - c_{\mathrm{O}_3}^0) - \frac{1}{\tau_{\mathrm{psc}}} \cdot \xi \end{aligned}$$
(1)

Here, ξ describes the ozone volume mixing ratio, T the temperature in the respective grid box and c_{O_3} the overhead ozone 30 column. The term (P - L) describes the ozone tendency, with P the production term and L the respective loss term. Climatological values are indicated with the superscript ⁰. The partial derivative with evaluation at the respective climatological value is marked with a subscript ₀. The climatological values for P - L, c_{Oa} and the respective derivatives are given by a look-up-table.

With this ansatz, heterogeneous processes are not taken into account. The regular linearised ozone (Linoz) parameterisationansatz, 5 does not include the last term $\frac{1}{\tau_{psc}} \cdot \xi$. To address the catalytic destruction by chlorine and bromine radicals in the presence of polar stratospheric clouds, the linearised ansatz is expanded by an additional loss term. Here, τ_{psc} represents the lifetime of ozone in the region where polar stratospheric clouds can potentially occur.

For the definition of $\tau_{\rm psc}$, we are following Sinnhuber et al. (2003):

$$\tau_{\rm psc} = \begin{cases} 10 \text{ days} & \text{for } \vartheta < 92.5^{\circ} \text{ and } T < 195 \text{K} \\ \infty \text{ days} & \text{else} \end{cases}$$
(2)

10 with ϑ as the solar zenith angle.

4.2 Vortex tracer

1

In the region of the polar stratospheric vortex, temperatures below 195 K can be observed. This low temperature regime determines the development of polar stratospheric clouds. Chlorine and Bromine activation takes place on the surfaces of polar stratospheric cloud particles. This activation leads to ozone destruction. In the past, it has been observed that air parcels within

15 the polar vortex seem to be encapsulated isolated from midlatitudes (Loewenstein et al., 1989; Russell et al., 1993), because the polar vortex edge can act as a transport barrier.

To investigate processes which are relevant in the region of the stratospheric polar vortex, we introduce a polar vortex tracer in ICON-ART. The processes of interest include transport processes of air parcels from within the vortex, exchange processes at the vortex edge and mixing processes. The vortex tracer is a passive tracer with no destruction over time. This means that

20 the tracer is only affected by transport tendencies and has no interaction with other tracersor the dynamics. To define the edge of the polar vortex, the first approach is to use Ertel's Potential Vorticity (PV), given in $Km^2kg^{-1}s^{-1}$, which is defined as followed:

$$PV = \frac{\zeta + f}{\rho} \frac{\partial \theta}{\partial z} \tag{3}$$

with ζ the relative vorticity in s⁻¹, f the Coriolis parameter in s⁻¹, ρ the air density in kg m⁻³, θ the potential temperature in 25 K and z the geometric height in m. The relative vorticity is calculated by

$$\zeta = \hat{k}(\nabla \times \boldsymbol{v}) \tag{4}$$

with \hat{k} the unit vector in the vertical and \boldsymbol{v} , the horizontal wind field in m s⁻¹.

On a timescale of weeks and on an isentropic surface, the PV is a conserved quantity (Nash et al., 1996). The maximum in the PV gradient defines the polar vortex edge. However, this metric shows high variations in small horizontal regions, distinct

maxima cannot always be defined. Therefore, we use a second metric following Nash et al. (1996). At the polar vortex edge, the horizontal (zonal) wind component maximises and provides a surrogate for the PV gradient. In the model, the following steps are performed separately for each hemisphere: first, the PV is calculated at every grid point. Between minima and maxima of PV, a sufficient number of equidistant intervals is defined. Then, the respective geographical area enclosed by PV isolines

5 is calculated. By determination of The maximum westerly wind relative to the area under PV isolines and multiplication is derived. Multiplication of both values, is given the maximum and the enclose area, gives a reasonable constraint for the polar vortex edge boundary region. The described definition holds for the location of the northern hemisphere polar vortex. For the southern hemisphere, the maximum of the zonal wind easterly winds gives the constraint for the location of the edge.

At the initialisation time step, the passive tracer is initialised to one within the boundaries of the vortex. Afterwards only 10 transport processes are in action. This provides a useful metric to investigate transport processes in the region of the polar vortex boundary.

4.3 Age of air

The characterisation of stratospheric transport from observations is difficult, because the velocity of the meridional overturning (the so-called Brewer-Dobson circulation) cannot be accessed directly. However, the efficiency of the overturning can be

- 15 estimated by investigating the trends of volume mixing ratios of some long lived trace gases (e.g. Rosenlof, 1995; Bönisch et al., 2011). One of these species is sulfur sulphur hexafluoride (SF₆), which is an anthropogenically emitted chemical compound with a long life time of up to thousands of years (e.g. Ray et al., 2017). The increase of the middle atmosphere (up to 40 km) mixing ratio of SF₆ can be assumed as quasi-linear (Maiss and Levin, 1994). In addition, SF₆ has no significant chemical sink within the stratosphere. In the mesosphere, photolytic dissociation at the Lyman- α band and dissociative electron detachment
- should be taken into account (Ravishankara et al., 1993). With some assumptions, it is possible to calculate the age of air by using the SF_6 concentration at a specific layer and horizontal location and comparing (matching) it to past tropical tropospheric values. Thus, the age of air represents the time that an air parcel needed to travel from the tropical tropopause to this particular location in the stratosphere. In the model a simplified procedure is followed: Lower boundary conditions are updated every integration time step to simulate a linear increase of SF_6 with time. The increase corresponds to an increase of the value of one
- 25 year per year. Using the time lag technique (e.g. Schmidt and Khedim, 1991; Reddmann et al., 2001), for pressure levels above 950 hPa one can calculate the age of air at this point by:

$$\underline{\Gamma}\psi_{\text{age}} = 7 \cdot 86400 \cdot 365.2425 + \Delta t_{\text{sim}} \tag{5}$$

with Δt_{sim} is the integration time step of the model given in s. After initialisation, the tracer ψ_{age} in units of s is transported. To neglect deviation from the initial assumption of a strictly linear growth of avoid small fluctuations, the mean age of air is

30 taken into account for further analysis. For the final calculation, the simulated values are merged with the simulated time to get the actual age of air in years (ψ'_{age}) in seconds:

$$\psi_{\text{age}}' = \frac{t_{\text{sim}}^{\star} - \left(\frac{\psi_{\text{age}}}{86400} - 7 \cdot 365.2425\right) - t_{\text{init}}^{\star}}{365.2425} \underbrace{\psi_{\text{age}} - t_{\text{init}}^{\star} - 7 \cdot 86400 \cdot 365.2425}_{\text{wage}}$$
(6)

where t_{sim}^{\star} is the simulated time, t_{init}^{\star} the time of initialisation, both given in the representation of the Julian Dayseconds.

4.4 Water vapour

Water vapour has a strong impact on the radiation budget of the atmosphere in the long-wave infrared spectrum. The On the one
hand, the infrared emission of water vapour leads to a cooling (loss in the thermal budget) of the atmosphere. Thus, the concentration of water vapour influences transport processes due to thermodynamic induced changes in the wind field. On the other hand, transport processes affect water vapour concentrations. The investigation of simulated water vapour distributions allows the study of the global circulation water vapour in models and observations observation allows studying global circulation pattern (Kley et al., 2000). The amount of water vapour entering the stratosphere depends on the temperature within the
tropical tropopause layer (TTL). Above, the Brewer-Dobson circulation drives the upward transport in the tropics. Below, convective fluxes and slow ascend in the TTL determine vertical transport. The process of "freeze-drying" leads to dry air parcels entering the stratosphere, because ice crystals sediment out (Brasseur and Solomon, 2006). This process is included in the microphysical schemes of the NWP and the climate physics. Methane oxidation is a chemical source for stratospheric water vapour. However, the

15 <u>photodissociation of water</u>, mainly located in the mesosphere, is an important sink for water vapour in the atmosphere. We are following Dethof (2003) by using the parameterisation:

$$\tau_{\rm CH4} = \begin{cases} 100 & p \le 50 \text{ Pa} \\ 100 + \alpha \frac{\ln{(\frac{p}{50})^4}}{\ln{(\frac{10000}{p})}} & 50 \text{ Pa} (7)$$

with τ_{CH4} the life time of methane in seconds, p the pressure , and $\alpha = \frac{19 \ln (10)}{\ln (20)^4}$. Based on Brasseur and Solomon (2006), the lifetime of water vapour τ_{H2O} due to photodissociation can be calculated by:

$$20 \quad \tau_{\rm H2O} = \begin{cases}
3 \quad p \le 0.1 \, {\rm Pa} \\
100 + 1 + \alpha \frac{\ln\left(\frac{p}{50}\right)^4}{\ln\left(\frac{10000}{p}\right)} & 0.1 \, {\rm Pa}
(8)$$

Taking both terms of production and loss into account, the volume mixing ratio of water vapour at time step $t + \Delta t_{sim}$ can be calculated by

$$\psi_{\rm H_2O}(t+\Delta t) = \psi_{\rm H_2O}(t) + \Delta t \left(2 \frac{1}{\tau_{\rm CH_4}} \psi_{\rm CH_4}(t) - \frac{1}{\tau_{\rm H_2O}} \psi_{\rm H_2O}(t) \right)$$
(9)

with ψ_{CH_4} in mol mol⁻¹ as the methane tracer of ICON-ART.

Studies have shown that observed mean-annual cooling trends in the tropical tropopause are larger than shown by model simulations, e.g. Shine et al. (2003). It can be seen that the large scale dynamics in the Earth's atmosphere, tropical tropopause temperatures, and lower stratospheric water vapour are closely linked to each other by complex feedback processes. By developing

- 5 an extension of using the parameterisations described above in ICON-ART, we want to account for these important feedback mechanisms in a simple but reasonable way. To investigate the feedback processes of the ART water vapour tracer on, e.g. radiation, (including radiation) only the tendency from the methane oxidation and photodissociation are taken into account. At every model time step, the water vapour mass mixing ratio is set to the value of the q_v tracer, which is affected by the micro physics microphysics routines of ICON. The q_v tracer is the standard water vapour tracer of ICON. Within the ICON-ART
- 10 routine, the tendency due to methane oxidation and photodissociation can be added. In the last step, q_v is set to the value of ψ_{H_2O} . The standard tracer q_v is overwritten before other processes like radiation or microphysics are active.

5 Applications

Here, we use ICON-ART and its new tracer framework with the NWP and the climate physics configuration in different applications. First, we discuss a stratospheric hind-cast experiment based on the ICON NWP configuration, focusing

15 on different chemistries (Section 5.1). Second, we show some climatological applications based on the ICON climate physics configuration, illustrating the impact of <u>chemical</u> composition feedbacks.

5.1 Ozone and Vortex tracer

In 2002, an unusual split of the ozone hole was observed on 22 September 2002 and described by Newman and Nash (2005). The initiation of the splitting process is not fully understood yet. The split of the ozone hole had no chemical reason; instead it is dynamical change controlling <u>atmospheric</u> composition and in particular ozone distributions. Several studies, e.g. Matsuno (1971) have shown that a vortex split event can be caused by atmospheric interactions with upward propagating planetary waves. Sinnhuber et al. (2003) pointed out, that the major stratospheric warming occurred far earlier <u>earlier</u> than the normal final warming at the end of the ozone hole season. With ICON-ART we are able to study the vortex split event of 2002 in a <u>hindeasthind-cast</u>. First, we want to discuss the total ozone column simulated with ICON-ART. For the setup of the experiment,

- 25 we use reanalysis data from the European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim (Dee et al., 2011) to initialise the meteorological variables (e.g. pressure, temperature, water vapour and horizontal wind fields). The hindeast-hind-cast is initialised on 20 September 2002 00:00 UTC. The chosen grid is R2B6, corresponding to an approximate horizontal grid spacing of 40 km (Zängl et al., 2015). The model top is at 75 km with 90 vertical levels. The integration time step is 240 s, and the output time step every hour. The simulated ozone column, interpolated on a regular latitude longitude
- 30 grid with a resolution of 0.5°, is shown in Figure 3. The four columns show daily means of total ozone for the respective dates. The mean is taken over all 24 output steps starting at 00:00 UTC. For the initial values of ozone, the ERA-Interim data is used analogous to the meteorological data. The ozone hindcasts hind-casts are performed with two different schemes: The modified

Linoz scheme as described in section 4.1 and a gasphase algorithm (the extended Chapman cycle) with reactions described in Table 2.4. For the comparison between simulations and measurements, we are using satellite observations from the TOMS instrument (TOMS Science Team, 2016).

Five days after initialisation, the represented horizontal geometry of the total ozone column in both ICON-ART simulations is slightly different in comparison to the satellite observations. After more than ten days of simulation, the shape of the vortex is still in good agreement with satellite observationsagain. Within the polar vortex, the total ozone column reaches values of about 200 DU in both, ICON-ART simulations and the satellite observations. At the end of the simulation, on 1 October 2002, the largest difference between the extended Chapman cycle simulation, using the full gas phase algorithm, and the simplified Linoz simulation is found at 120°W, 70°S, outside of the polar vortex. The total ozone column reaches values of 450 DU and

10 above for the extended Chapman cycle simulation. For the Linoz simulation, ozone destruction is slightly higher and values up to 400 DU are reached. The comparison to the TOMS observation shows that this minimum fits to observation. However, the horizontal pattern slightly differs. The observation also shows small areas with up to 420 DU.

In order to illustrate the differences between the two idealised simulations - One is parameterised , Linoz; the other considers (parameterised using Linoz; gas phase chemistry only - with an extended Chapman cycle-) the total contribution of chemical

- 15 tendencies is depicted in Figure 4 and 5. We are using the differences between a passive (no chemical changes after initialisation) ozone tracer and the chemical ozone tracers for Linoz and extended Chapman cycle chemistry. The difference represents the chemical ozone loss. Note that for visualisation purposes, values for the ozone losses in Figure 5 are scaled by a factor of 10.
- The passive and the chemical ozone tracers are initialised identically. Technically this is ensured by declaring the ozone tracers to be of the same type as the chemical tracer, but without chemical interactions. The corresponding XML entry can be found in Appendix A1. In Figure 5 at 120°W, 70°S, on 1 October 2010, ozone loss is dominated by chemical loss. Ozone losses are **positive higher** in that region: The passive tracer, which is only affected by dynamical tendencies, has higher values than the chemical tracers, thus ozone has been depleted by the **chemistrieschemical mechanisms**. The maximum difference between passive and chemical tracers is up to ten times higher for the Linoz simulation than for the extended Chapman cycle.
- 25 For visualisation purposes, the contour line representing no loss (0 mol mol^{-1}) is added. This is to be expected, because the initial ozone distribution is not equilibrated with respect to the Linoz reference state (causing large tendencies) and we do not consider additional heterogeneous processes loss terms by heterogeneous processes or halogens in the extended Chapman cycle chemistry (limiting the range of chemical tendencies). However, we can focus on the general spatial structures and how transport has modified ozone distributions. Inside the polar vortex, on 1 October 2002, we model negative ozone loss ozone
- 30 production for both simulations. The chemical tracer in both simulations is increased with respect to the passive one. The increase is higher in the Linoz simulation than in the extended Chapman cycle. This implies that temperatures in that region are not low enough to trigger the heterogeneous destruction of ozone in the Linoz scheme. Outside the polar vortex, mainly on 25 September, high values of ozone loss can be observed for the Linoz simulation but not for the extended Chapman cycle. This is also caused by the difference in addressing heterogeneous destruction. Within the Linoz scheme, the loss term has been
- 35 triggered and we can observe additional ozone loss. This feature is missing for the extended Chapman cycle chemistry.

In Figure 6, the temporal evolution of the passive vortex tracer is depicted. The colour coding gives the values of the vortex tracer at an interpolated pressure level of 30 hPa at midnight of the given date. Again, the date of initialisation is chosen to be 20 September 2002 and within the boundaries of the vortex, the tracer is filled with values set to a value of one. In the hindcastHere, only transport takes place. The horizontal spreading of the vortex tracer depicts the dynamical evolution

- 5 of the vortex in the southern hemisphere. The horizontal spreading and steep tracer gradients correspond to the horizontal distribution of the total ozone column in Figure 3. At the day of initialisation, the vortex is still intact, but in the following days the first observed major stratospheric warming in southern the Southern hemisphere e.g. (Newman and Nash, 2005) occurs. The massive outflow of vortex air masses, beginning on 24 September 2002, can be visualised by the vortex tracer distributions. This outflow is correlated to the increased dynamical impact on the vortex integrity. The vortex split occurred on 26 September
- 10 2002. The structure, represented by the spatial distribution of the vortex tracer, is nearly separated. On this day, the northernmost latitude of 30° S is reached by a vortex filament. The vortex tracer allows to define regions of isolated air masses within the vortex, thus providing an insight into the chemical composition changes that are least affected by diffusion and mixing, e.g. (McKenna et al., 2002) or (Konopka et al., 2005).



Figure 3. Antarctic total ozone column [DU] for a time sequence starting on 21 September 2002 finishing 01 October 2002 (left to right). Daily averages for two ICON-ART simulations for the Linoz chemistry scheme (top) and for the extended Chapman cycle chemistry (middle). TOMS observations are shown in the bottom. The model was initialised on 20 September 2002.

5.2 Feedback of ozone on radiation

15 In the previous section we have shown that the Linoz configuration of ICON-ART provides good hindcasts hind-casts on days to weeks. The limitation that the initial state should be equilibrated, mentioned above, does not matter after a spin-up period. Here, we will extend the time horizon considered to decadal integrations. In addition, we will illustrate how the optional composition of tracers with radiation feedback affects the system. With the flexible tracer structure the user gets the ability to



Figure 4. Difference of the passive Passive and active ozone tracers $[mol mol^{-1}]$ at 50 hPa over Antarctica. Daily averages for two ICON-ART simulations for the Linoz chemistry scheme (top) and for the extended Chapman cycle chemistry (mid) and the passive tracer (bottom) are shown. The loss rate for the extended Chapman cycle is multiplied by a factor of ten for the visualisation.





switch on the radiative feedback by the tag

<feedback> 1 </feedback>.

Thus, no changes in the code have to be made by the user. Only the XML file has to be changed.

The ICON-ART simulation is configured as an AMIP (Atmospheric Model Intercomparison Project) like experiment (Gates et al., 1999). The boundary conditions used are summarised in Table 2. We set up two experiments on the R2B4 grid which corresponds to an effective horizontal grid spacing of 160 km. The integration time step is chosen to be 600 s. Output is written every three days and interpolated onto pre-defined pressure levels, corresponding to the standard ERA-Interim pressure levels.



Figure 6. Daily snapshots at midnight UTC at 30hPa of the passive vortex tracer in arbitrary units (upper left to bottom right). The passive tracer was set to one within the vortex boundary at the beginning of the integration.

|--|

Variable	Reference
SST/SIC	Taylor et al. (2000)
Spectral solar irradiation	Lean et al. (2005)
greenhouse gases RCP 4.5	Riahi et al. (2007)
O3 concentration	Cionni et al. (2011)
tropospheric aerosol	Stenchikov et al. (1998, 2004, 2009)
stratospheric aerosol	Stenchikov et al. (1998, 2004, 2009)

The first experiment uses an ozone climatology with monthly mean values based on Cionni et al. (2011). This simulation is called control. The feedback simulation uses the interactive ozone as well as the additional tendencies on water vapour, shown in Section 4.4. For all following diagnostics and discussions, the same two simulations are used. The results of the complete integration from 1979 to 2009 is found in appendix B. In the following, analysis of the time span from 1990 to 2009 is shown.

5 This avoids possible differences arising from changes in ozone depleting substances from 1979 to 1990 that are not considered in Linoz with time invariant look-up tables.

Figure 7 shows the climatological ozone distribution at a pressure level of 50 hPa. Monthly averaged zonal means of ozone for the period of <u>1980-1990</u> to 2009 are plotted twice. The left panel shows the <u>result of ozone used in</u> the control run (ctrl)without any feedback from the modelled ozone distribution. Instead, the ozone climatology as described in Table



Figure 7. Monthly averaged zonal means of ozone $[kg kg^{-1}]$ at 50 hPa (shown twice) for the period from <u>1980-1990</u> to 2009 (shaded). Contour lines represent the standard deviation of the monthly means. Left panel: ozone climatology as used in the control simulation; middle panel: non-interactive Linoz ozone; right panel: interactive Linoz ozone.

2is represented., Table 2. The middle panel shows the result modelled ozone of the non-interactive Linoz simulation. Thus, , where modelled temperatures are the same as in the control run. The right panel shows the temporal evolution of the zonal mean ozone in the feedback simulation. Additionally, contour lines are representing the inter annual standard deviations of ozone in all panels. The black contour line represents a standard deviation of $1 \times 10^{-7} \text{kg kg}^{-1} 1 \times 10^{-7} \text{kg kg}^{-1}$. Brighter colours present higher values of standard deviation with a spacing of $2 \times 10^{-7} \text{kg kg}^{-1}$. The mean year from January to December is

5 present higher values of standard deviation with a spacing of 2×10^{-7} kg kg⁻¹. The mean year from January to December is plotted twice, for a better representation of the temporal evolution. 2×10^{-7} kg kg⁻¹.

A striking difference in the three ozone distributions shown in Figure 7 is the duration of the ozone hole period <u>indicated</u> by the area that is shaded in dark blueshadings. Modelled ozone (middle and right panel) shows a much longer duration than the assumed background climatology. In addition, the duration of the ozone hole period increases slightly for the interactive

- 10 integration. This is caused by the feedback of the modelled ozone, calculated with the Linoz parametrisation parameterisation under consideration of the correction term for a shorter ozone life time due to the presence of polar stratospheric clouds. From October to December, very low ozone concentrations of about $1 \times 10^{-6} \text{kg kg}^{-1}$ can be seen in the panel for the non-interactive Linoz ozone (middle panel). For the feedback simulation, low ozone concentrations in the southern hemisphere, in conjunction with low temperatures, occur until the end of Januarylate spring. The feedback process stabilises the southern hemisphere polar
- 15 vortex, prolonging its lifetime by delaying the final warming. The default climatology of the ICON-ART control simulation does not represent very low values of ozone concentrations. This misrepresentation has also been discussed by e.g. Arblaster et al. (2014). Here, the authors point out that most models that are using a prescribed ozone climatology tend to under estimate the Antarctic ozone depletion. Thus modelled ozone values are higher than indicated by observations between 1979 and 2007 (e.g. Hassler et al., 2013). Taking the standard deviation into account, the characteristics of the AMIP ozone climatology
- 20 (Cionni et al., 2011) becomes clearer. The contour lines represent a semicircle each winter in the southern hemisphere that is aligned with the ozone concentration gradients. This symmetric and coherent pattern of the ozone minimum is most likely not

 Table 3. Results of the timeseries analysis for different time domains at 60 S for the ozone total column. Results for both ICON-ART

 simulations and TOMS observation are shown. Standard error of the least square regression is given. Values marked in red are non significant.

Dataset	Standard deviation residuum	Annual cycle min	Annual cycle max	Trend 1980 - 1997	Trend 1997-2009
ICON-ART ctrl	<u>6 DU</u>	<u>-15 DU</u>	<u>17 DU</u>	$-1.565\pm0.003~\text{DU/yr}$	$0.478 \pm 0.002 \text{ DU yr}^{-1}$
ICON-ART feed	$\underbrace{15}_{15}_{15}$ DU	<u>-13 DU</u>	$\underbrace{12}_{\text{DU}}_{\text{DU}}$	1.314 ± 0.036 DU yr ⁻¹	1.239 ± 0.124 DU yr ⁻¹
TOMS	$\underbrace{12}_{\sim}$ DU	<u>-37 DU</u>	28 DU		$\underline{0.323\pm0.011}$ DU yr $^-$

a very realistic representation of variability on top of an ozone hole that is not deep enough. The standard deviation isolines for the modelled ozone are different and intersect the isolines of ozone concentrations, with higher variabilities at later times in the ozone hole period.

We note that the standard deviations shown in Figure 7 should be interpreted differently between control and feedback

- 5 simulations of ICON-ART. Whereas in the ICON-ART control simulation the standard deviation represents a long term trend, for the feedback simulation the inter-annual variability is represented. This is due to the fact that in the control simulation a time-varying background climatology is used that has an imposed long-term trend. To underline this difference, we summarise the results of a timeseries decomposition in Table 3. We use the timerseries of the 60°S zonal mean total ozone column of both ICON-ART simulations. For comparison we analyse TOMS (TOMS Science Team, 2016) observational data, also at
- 10 60°S. The chosen latitude allows a continuous timeseries analysis. We separate the time series of the ICON-ART simulations in two periods: 1980 to 1997 and 1997 to 2009. The ICON-ART control simulations shows a significant long term trend, for both time episodes. The feedback simulation shows no significant trend (as expected, see above). Both can be compared to the shorter TOMS on NIMBUS 7 time series. In the ICON-ART control simulation, this long term trend is induced by the background trend of the used ozone climatology. Since ozone is calculated interactively in the ICON-ART feedback simulation
- 15 with time-constant look-up tables, the internal variability dominates.

This seems a more realistic behaviour <u>on inter-annual time scales</u>, however we do not claim that this is already a perfect representation in comparison to the observations or reanalysis data.

5.3 Temperature changes due to ozone feedback

In the previous section we have shown that with the change to an interactive representation of ozone the duration of the southern polar vortex is increased. Here, we provide more details of the zonal on the zonal mean temperature distributions in the control and feedback simulations.

The direct impact of ozone, already mentioned in Section 5.2, is also displayed in the seasonal variation of the zonal mean temperatures, see Figure 8.

In the northern hemisphere winter (DJF), temperatures of 210 K are reached between 100 hPa and 10 hPa, in the tropics. For

the season of June-July-August (JJA), the temperature minimum in the tropics is at 100 hPa with temperatures as low as 200 K in both ICON-ART simulations. In the southern hemisphere winter, ICON-ART ctrl reaches temperatures of about 200 K and



Figure 8. Latitude-height cross sections of seasonal and zonal mean temperature [K] for ICON-ART simulations from 1980-1990 - 2009. Left panel: control run; right panel: feedback simulation.

ICON-ART feedback temperatures lower than 195 K. The northern hemisphere summer is represented by temperatures higher than 260 K above 5 hPa.

Figure 9 shows the difference between control and feedback run. In the southern hemisphere winter, the effect described in Section 5.2 can be observed. Due to the lower polar vortex temperature, differences up to 5 K occur. The control run shows

- 5 warmer temperatures in the southern polar region (below 20 hPa). Above this altitude the feedback run is warmer than the control run. This is due to the different ozone distributions in the winter southern hemisphere. Within the tropical stratosphere, temperature differences of about 2.8 K can be seen. The differences of zonal and seasonal mean temperatures between ERA-Interim and the ICON-ART feedback simulation is shown in Figure 10. The feature of a long lasting southern hemisphere polar vortex is present as well, seen in high temperature differences of up to 20 K from September to November (SON). In
- 10 general, the ICON-ART control simulation shows warmer temperatures than the ICON-ART feedback simulation, except for high altitude ranges above 5hPa.



Figure 9. Latitude-height cross sections of seasonal and zonal mean temperature differences [K] for control minus feedback simulation, as shown in Figure 8.

The general structure is comparable to the results shown in the comparison studies of ECHAM5 (Roeckner et al., 2006). The difference between ERA-Interim and ICON-ART is increased in the southern hemisphere stratosphere. Nevertheless, the representation of the polar vortex seems to be more realistic in the ICON-ART feedback simulation than in the control simulation. In the vertical region around 50 hPa, the difference between ERA-Interim and the feedback simulation is about 15 K to 20 K in the couthern hemisphere and helper K in the couthern hemispheretropics.

5 <u>15 Kto20 K in the tropics</u> <u>15 K to 20 K in the southern hemisphere</u> and below 8 K in the southern hemispheretropics.

5.4 Zonal wind fields

Changes in temperature due to radiative feedback effects of ozone are also affecting the zonal wind structure. The zonal mean zonal wind is shown in Figure 11. The left column shows the seasonal mean of the control ICON-ART simulation and the right column the feedback simulation. In both simulations, a strong eastward zonal wind with wind speeds up to 60 m s^{-1} is reached

10

column the feedback simulation. In both simulations, a strong eastward zonal wind with wind speeds up to 60 m s^{-1} is reached in the southern hemisphere winter (JJA). The wind speed patterns in the tropical stratosphere also matches the seasonal mean analysis.

Figure 12 shows the differences between the ERA-Interim and the results of the ICON-ART feedback simulation. Strong differences in the stratospheric zonal wind can be seen in the northern hemisphere winter. Here, the ERA-Interim shows values up to 25 m s^{-1} higher lower than in the ICON-ART simulation. Between 30°N and 60°N latitude above 20 hPa the sign of the



Figure 10. Latitude-height cross sections of seasonal and zonal mean temperature differences [K] for ERA-Interim minus feedback simulation.

differences changes. Here, we observe stronger zonal wind speeds than in ERA-Interim. The overall patterns are similar to the differences shown in Roeckner et al. (2006).

5.5 Water vapour

5

Here, we focus on the atmospheric water vapour tape recorder in ICON-ART. An atmospheric tape recorder can be defined as the vertical propagation of an anomaly that varies periodically in time with a tropospheric source (Gregory and West, 2002). The temporal and vertical distribution of the tropical stratospheric water vapour is a prominent example for an atmospheric tape

- recorder signal. The simulated stratospheric water vapour depends strongly on the temperatures that are encountered by an air parcel containing water vapour that is transported vertically from the troposphere upwards towards and through the tropopause (Schoeberl et al., 2012). The quantitative link between variations of tropical tropopause temperatures over decades and their
- 10 influence on water vapour transfer into the stratosphere is still not fully understood (e.g. Rosenlof and Reid, 2008). It has been shown that stratospheric water vapour can have a strong impact on stratospheric climate (e.g. de F. Forster and Shine, 1999). Thus, the study of the water vapour tape recorder is an important tool for the further understanding of large scale transport processes and climate change linkages.

The tape recorder is calculated from anomalies are calculated relative to the annual mean anomalies of the water vapour 15 tracer water vapour in the tropics $(5^{\circ}N - 5^{\circ}S)$. For this study we use the water vapour tracer, q_v . This tracer is the standard



Figure 11. Latitude-height cross sections of seasonal and zonal mean zonal wind <u>differences</u> $[m s^{-1}]$ for ICON-ART simulations from 1980 1990 - 2009. Left panel: control run; right panel: feedback simulation.

tracer of ICON itself. It is used in the radiation scheme and is not only transported but is also affected by the microphysical schemes. As in the previous section, ozone is calculated with the Linoz scheme was and used interactively. The additional tendency water tendencies by methane oxidation and photolysis is also included in the stratospheric water budget.

Figure 13 shows the mean stratospheric tape recorderfor thirty years of simulation from 1980 to 2009. For. For the analysis,

5 the year of initialisation (1979) is excluded. This reduces any possible spin up effects. years from 1990 to 2009 are taken into account. We compare the ICON-ART results with the water vapour product from ERA-Interim. The calculated mean ERA-Interim tape recorder is shown in the bottom panel of Figure 13.

The tape recorder signal for the ICON-ART control simulation shows lower values of anomalies down to -7×10^{-7} kg kg⁻¹ in comparison to the feedback simulation (Figure 13). For ERA-Interim, absolute values anomalies up to -2×10^{-7} kg kg⁻¹

10 are diagnosed from February to June in the pressure range of 100 hPa to 50 hPa.

The anomalies in the feedback simulation show higher absolute values. The dry anomalies of in the control simulation, between April and June, are decreased in the feedback simulation. In addition, the wet-positive (wet) anomalies from June to December, between 60 hPa to 20 hPa, are decreased in the feedback simulation. The water vapor vapour tape recorder shows less pronounced dry anomalies in the tropical stratosphere in the feedback simulation due to the additional source of water

15 vapour by methane oxidation. Additionally, the analysis of the water vapour tape recorder is consistent with the results of the temperature differences, as seen in Figure 9. Due to lower tropical tropopause temperatures in the feedback simulation, less



Figure 12. Latitude-height cross sections of seasonal and zonal mean zonal wind differences $[m s^{-1}]$ for ERA-Interim minus feedback simulation.

water can enter the lower stratosphere. The annual mean temperature difference (not shown) shows that the control simulation is up to 2.8 K warmer than the feedback simulation between 100 hPa and 50 hPa, in the tropics. The results correspond with the freeze-drying hypothesis explained above. In general, monthly mean anomalies are attenuated in the feedback simulation compared to the simulation using the standard ozone climatology, with in particular the winter months being more similar to

- 5 ERA-Interim. Focusing on the strong positive anomaly between 100 hPa and 50 hPa, from May to August, the explanation from above has to be extended. The annual mean shows a cold bias of the tropical tropopause. However, referring to Figure 9, one can see that for that time span, the sign of temperature changes. In the feedback simulation, higher temperatures in the tropical tropopause are reached. Thus more water vapour can enter the stratosphere which is a possible explanation for the strong positive anomaly.
- 10 The slope of the height-altitude water vapour anomalies is nearly unchanged between non-interactive and interactive integrations. Thus, the velocity of the upward transport is largely unaffected by the inclusion of the radiative feedback. The result of both ICON-ART simulations in comparison to ERA-Interim is similar to the results presented in Jiang et al. (2015). Here, the authors combined measurements and simulations of water vapour from the Microwave Limb Sounder (MLS), GMAO Modern-Era Retrospective Analysis for research and Applications in it's newest version (MERRA-2) and ERA-Interim and
- 15 used them for a comparison of the water vapour tape recorder behaviour. The upward transport above the tropical tropopause



Figure 13. Tropical $(5^{\circ}N-5^{\circ}S)$ water vapour anomalies as monthly mean deviations <u>(plotted twice)</u> from the annual mean, averaged from 1980-1990 to 2009, as a function of months and altitude.

of ERA-Interim is found to be faster than the transport diagnosed from MLS measurements. Thus, in the current configuration ICON-ART produces similar ascend rates to ERA-Interim, which are likely too fastfaster than observed.

We have shown that the change between interactive and non-interactive integrations with respect to tropical ascend rates is small. However, some changes are clearly detectable and the important relationship between the tropical tropopause minimum

5 temperatures and water vapour concentrations is qualitatively captured by the ICON-ART system. More comprehensive climate studies are in preparation.

5.6 Age of air

10

For the simulation of the age of air we use the same setup as described in section 5.3. As all other diagnostics, the age of air tracer is interpolated on a regular latitude-longitude grid with a horizontal resolution of $0.75 \circ \times 0.75 \circ$ on predefined pressure levels.

The tracer is initialised as described in section 4.3. The control (ctrl) experiment is a simulation in which water vapour and ozone calculated within ICON-ART have no impact on the calculation of radiation. In the second experiment (feedback), the altered ozone and water vapour distributions of ICON-ART are coupled to the radiation routine. The first eleven years of each simulation are excluded in the analysis to prevent spin-up effects contaminating the result.



Figure 14. Latitude-height cross sections of seasonal and zonal mean age of air [year] for ICON-ART simulations from 1990 - 2009. Left panel: control run; right panel: feedback simulation.



Figure 15. Monthly averaged zonal means of age of air [year] at 50 hPa (shown twice) for the period from 1990 to 2009 (shaded). Contour lines represent the standard deviation of the monthly means. Left panel: control run; right panel: feedback simulation.

The ICON-ART modelled age of air is depicted in Figure 14. The diagnostic of age of air can be seen in this case study as an important tool to analyse the feedback processes of greenhouse gases on transport processes in the Earth's atmosphere. It can be seen that the mean age of air is younger, thus the upward transport has been faster in the control simulation. Due to upwelling transport processes in the tropics, the youngest air masses can be found there. The polar regions show the occurrence

- 5 of older air masses up to an age of four years in both simulations. The asymmetry between southern and northern hemisphere, induced by faster circulations in the southern hemisphere (e.g. Mahieu et al., 2014), is also well captured. One can clearly see the impact of ozone and water vapour on radiation and thus on transport processes. The age of air in the feedback simulation is up to six months older than of the control simulation. Figure 15 shows the climatological mean age of air, in the same representation as shown in Figure 7. Here, the temporal and zonal mean of the age of air from 1990 to 2009 is taken at an
- 10 altitude of 50 hPa. The standard deviation from the mean is represented by the contour lines. These lines represent the interannual variability. The absolute mean age of air is higher for the feedback simulation on both hemispheres. The band of low values in the tropics is narrowed for the feedback simulation. The values of standard deviation are comparable. However, in the region of the southern hemisphere polar vortex, from October to January the standard deviation is higher for the feedback than for the control simulation. Since the polar vortex is stabilized stabilised by the ozone feedback, a different dynamical
- 15 situation can be observed, influencing the inter-annual variability of the age of air. In comparison to other studies, which focus on other time spans (e.g. Brasseur and Solomon, 2006; Engel et al., 2009; Stiller et al., 2012; Haenel et al., 2015), ICON-ART shows an age of air which is too young compared to observations. But this behaviour has also been observed in other studies with different models, as described in e.g. Monge-Sanz et al. (2007) or Hoppe et al. (2014). With this diagnostic the general representation of stratospheric transport processes can be further investigated investigated further.

20 6 Conclusions

We present a new flexible tracer framework developed for ICON-ART. The next generation model ICON-ART can be used for many different applications , currently ranging from forecasting to climate simulations. ICON is used for LES simulations, operationally for numerical weather forecasting, and for climate simulations. All three application areas have very different demands in terms of model configurations, including the set of tracers and tracer interactions to be simulated. For future studies using ICON-ART, a fast adaption of the selected tracers and interactions to the experimental requirements is of high importance. With our new flexible tracer framework, tracers can be added and configured without any changes to the model source code. This allows users to easily perform complex model experiments. In a forward thinking manner, we also provide the option to extend the existing tracer structure and submodule awareness of tracer subsets. Within the scope of the paper, we demonstrate the tracer framework and its applicability for a range of simulations. We present one hindcast hind-cast case study and AMIP type climate integrations

30 and AMIP type climate integrations.

In the first instance we included a parameterised chemistry (Linoz) and a gas phase chemistry (extended Chapman cycle) into the NWP configuration of ICON-ART. With this setup we perform a successful <u>hindcast hind-cast</u> experiment of the ozone hole split in the year 2002 and characterise the (chemical) ozone changes during the <u>hindcast hind-cast</u> period. Using

a diagnostic vortex tracer, we can identify the vortex remnants that are least influenced by chemistry. Results are consistent with expectations and previous work and illustrate the ability of the ICON model to produce a good stratosphere forecast on timescales of days to weeks. In this situation dynamics is driving the ozone distribution and the spatial patterns correspond well to observations. However, ozone amounts differ between the two different chemistrieschemical mechanisms. The setup

5 can be easily extended by more additional chemical reactions and diagnostic tracers.

In the second instance we include a parameterised chemistry (Linoz), a methane oxidation scheme and a diagnostic tracer (age of air) into a climate configuration of ICON-ART and perform AMIP type integrations. We perform decadal noninteractive and interactive integrations and compare the performance of both simulations with each other and with ERA-Interim. For the interactive integration we couple ozone and water vapour to the radiation. In the interactive simulation the ozone hole

- 10 season is extended, the tropical upwelling is only weakly affected and the overturning circulation as measured by the Brewer-Dobson Circulation shows a northern hemisphere age increase up to 1.5 years. The base climatologies climatology of ICON is not affected by ART in the non-interactive simulation. In the interactive simulation some changes to the climatologies of temperatures climatology of temperature and winds occur, none of the changes are detrimental to the model, some are even beneficial.
- 15 For all experiments, no changes in the ART source code were necessary to change from NWP simulations to climate integration. Only the XML file differs between the full gasphase (extended Chapman cycle) simulation and the parameterised (Linoz) one. This paper demonstrates the flexibility of the new tracer framework for ICON-ART, which suites the demands of a large variety of different applications ranging from NWP to climate integrations.

Code availability. Currently the legal departments of the Max Planck Institute for Meteorology (MPI-M) and the DWD are finalising the
 ICON licence. If you want to obtain ICON-ART you will first need to sign an institutional ICON licence, which you will get by sending a request to icon@dwd.de. In a second step you will get the ART licence by contacting Bernhard Vogel (bernhard.vogel@kit.edu). Versions are controlled by GIT repositories and a tar-ball of the latest official release is provided to the licensee.

Versions are controlled by GIT repositories and a tar-ball of the latest official release is provided to the licensee.

Competing interests. There are no competing interests to declare.

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Appendix A: XML files used in shown experiments

A1 Ozone and Vortex tracer - NWP experiment

Passive tracers

```
5 <?xml version="1.0" encoding="UTF-8"?> <!DOCTYPE tracers SYSTEM "tracers.dtd">
```

<tracers>

<passive id="TR_vortex">

<mol_weight type="real">1.000E+0</mol_weight>

<lifetime type="real">25920000</lifetime>

10 <transport type="char"> ON </transport>

<unit type="char">none</unit>

</passive>

```
</tracers>
```

Chemical tracers - Linoz

15 <?xml version="1.0" encoding="UTF-8"?>

<!DOCTYPE tracers SYSTEM "tracers.dtd">

<tracers>

<chemical id="TRO3">

<tag001 type="char">chemtr</tag001>

20 <mol_weight type="real">4.800E-2</mol_weight>
 <lifetime type="real">259200</lifetime>

<transport type="char"> stdchem </transport>

25

<unit type="char">mol mol-1</unit>

<lfeedback type="int"> 0 </lfeedback>

<init_mode type="int"> 1 </init _mode> <init_name type="char">03</init_name>

</chemical>

<chemical id="TRO3_pas">

<tag001 type="char">chemtr</tag001>

```
<unit type="char">mol mol-1</unit>
```

<lfeedback type="int"> 0 </lfeedback>

</chemical>

</tracers>

5

Selection of chemical tracers for the extended Chapman Mechanism. Not all tracers are shown.

```
<?xml version="1.0" encoding="UTF-8"?>
    <!DOCTYPE tracers SYSTEM "tracers gp.dtd">
10
       <tracers>
         <chemical id="N2O">
           <tag001 type="char">full</tag001>
           <mol_weight type="real">44.02E-3</mol_weight>
           <transport type="char">stdchem</transport>
15
           <number type="int"> 1 </number>
           <init_mode type="int">0</init_mode>
           <unit type="char">mol mol-1</unit>
           <init_type="char">N20 </init_name>
          </chemical>
20
          <chemical id="N2O5" >
           <tag001 type="char">full</tag001>
           <mol_weight type="real">108.02E-3</mol_weight>
           <transport type="char">stdchem</transport>
           <number type="int"> 2 </number>
25
           <init_mode type="int">0</init_mode>
           <unit type="char">mol mol-1</unit>
           <init _ype="char">N205 </init_name>
          </chemical>
          <chemical id="HO2">
30
           <tag001 type="char">full</tag001>
           <mol_weight type="real">33E-3</mol_weight>
           <transport type="char">stdchem</transport>
           <number type="int"> 3 </number>
           <init mode type="int">0</init mode>
35
           <unit type="char">mol mol-1</unit>
           <init_name type="char">HO2 </init_name>
          </chemical>
          <chemical id="H2O" >
           <tag001 type="char">full</tag001>
40
           <mol_weight type="real">18E-3</mol_weight>
           <transport type="char">stdchem</transport>
           <number type="int"> 4 </number>
           <init_mode type="int">0</init_mode>
           <unit type="char">mol mol-1</unit>
45
           <init_name type="char">H2O </init_name>
          </chemical>
          <chemical id="NO">
           <tag001 type="char">full</tag001>
           <mol_weight type="real">30.01E-3</mol_weight>
```

	<transport type="char">stdchem</transport>
	<number type="int"> 5 </number>
	<init_mode type="int">0</init_mode>
	<unit type="char">mol mol-1</unit>
5	<init_name type="char">NO </init_name>
	<chemical id="NO3"></chemical>
	<tag001 type="char">full</tag001>
	<mol_weight type="real">62.01E-3</mol_weight>
10	<transport type="char">stdchem</transport>
	<number type="int"> 6 </number>
	<init_mode type="int">0</init_mode>
	<unit type="char">mol mol-1</unit>
	<init_name type="char">NO3 </init_name>
15	
	<pre><chemical_id="01d"></chemical_id="01d"></pre>
	<tag001 type="char">full</tag001>
	<pre><mol_weight type="real">16E-3</mol_weight></pre>
	<pre><transport type="char">stdchem</transport></pre>
20	<number type="int"> 12 </number>
	<pre><iconv type="int">1</iconv></pre>
	<iturb type="int">1</iturb>
	<pre><init_mode type="int">0</init_mode></pre>
	<pre><init_number type="int">0</init_number></pre>
25	<pre><unit type="char">mol mol-1</unit></pre>
	<pre></pre>

</tracers>

Appendix B: Feedback of ozone and radiation in climate simulation - extended timeseries

30 Appendix C: Glossary



Figure B1. Monthly averaged zonal means of ozone $[kg kg^{-1}]$ at 50 hPa (shown twice) for the period from 1980 to 2009 (shaded). Contour lines represent the standard deviation of the monthly means. Left panel: ozone climatology as used in the control simulation; middle panel: non-interactive Linoz ozone; right panel: interactive Linoz ozone.

Table C1. List of used acronyms

AMIP	Atmospheric Model Intercomparison Project
ART	Aerosols and Reactive Trace gases
	Consortium for Small-scale Modelling
DWD	German Weather Service
ECHAM	EC(MWF) HAM(burg)
ECMWF	European Centre for Small-scale modelling
KPP	Kinetic PreProcessor
MECCA	Module Efficiently Calculating the Chemistry of the Atmosphere
MLS	Microwave Limb Sounder
NWP	Numerical Weather Prediction
SIC	Sea Ice cover
SST	Sea Surface Temperature
SSO	Subgrid Scale Orography
TTL	Tropical Tropopause layer



Figure B2. Latitude-height cross sections of seasonal and zonal mean temperature [K] for ICON-ART simulations from 1980 - 2009. Left panel: control run; right panel: feedback simulation.



Figure B3. Latitude-height cross sections of seasonal and zonal mean temperature differences [K] for control minus feedback simulation, as shown in Figure 8.



Figure B4. Latitude-height cross sections of seasonal and zonal mean temperature differences [K] for ERA-Interim minus feedback simulation.



Figure B5. Latitude-height cross sections of seasonal and zonal mean zonal wind differences $[m s^{-1}]$ for ICON-ART simulations from 1980 - 2009. Left panel: control run; right panel: feedback simulation.



Figure B6. Latitude-height cross sections of seasonal and zonal mean zonal wind differences $[m s^{-1}]$ for ERA-Interim minus feedback simulation.



Figure B7. Tropical $(5^{\circ}N-5^{\circ}S)$ water vapour anomalies as monthly mean deviations from the annual mean, averaged from 1980 to 2009, as a function of months and altitude.

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