Response to Editor

During the process of responding to the reviews and re-examining our data sets for submission as supplementary material, we have discovered that the vertical water vapor profile in the reference atmosphere was mistakenly averaged over 2 km blocks. This blocky profile is the cause for the zig-zag shapes of the clear sky heating profiles in Fig. 2(c) and the erroneous cirrus heating profile with Fu's parameterization in Fig. 5(d).

We have now adopted a profile from the ECMWF forecast fields that is similar to the old one – but without the averaging - and redone all of our calculations for Solar-J and RRTMG-SW. We have updated all numbers (Tables 3, 4 and 5) and figures (Fig. 2, 4, 5 and 6). The basic results – the differences between RRTMG and Solar-J – were unchanged as were the major conclusions. The only change is that the paper became simpler because we were able to strike out discussion of the zig-zag shapes and the Fu' cirrus heating profiles. Fu's parameterization works as it should be. Overall, there is much better consistency.

This correction to the water vapor profile has negligible effect on the clear-sky radiative budget for each spectral band ($<0.1~\mathrm{Wm^{-2}}$; Tables 4). However, there is a slight vertical re-arrangement of solar energy absorbed by $\mathrm{H_2O}$ since there is more water vapor at the surface but less in the midtroposphere for the new profile. The impact on the stratus cloud radiative forcing under 1360 $\mathrm{Wm^{-2}}$ solar flux at SZA=0°, for example, is about 4 $\mathrm{Wm^{-2}}$ more reflected sunlight, 3 $\mathrm{Wm^{-2}}$ less absorbed in the atmosphere and 1 $\mathrm{Wm^{-2}}$ less reaching to the surface (Table 5). This does not impact the comparison of Solar-J and RRTMG-SW since the same degree of correction applies both to RRTMG-SW and Solar-J, for all cases. Nevertheless, we have gone through the manuscript carefully to update all the tables, figures and text.

Please find our point-by-point reply to the reviewers in the pages below, which is followed by tracked changes of the manuscript. We have extensively revised the abstract, introduction and conclusion to make the presentation better per Reviewer #2's comments.

Response to Reviewers

The authors wish to thank the two anonymous reviewers for their encouraging comments. Below is our response (blue, Italic) to each reviewer's comments. We have improved the presentation following reviewer #2's suggestions, particularly improving on the presentation in the abstract, introduction and conclusions. The revised manuscript with tracked changes is also included.

Reviewer #1

This is a well written paper describing original research of high significance. I recommend the publication of the manuscript in its current form.

Thanks for the encouragement. We hope that this work will indeed have a long-term impact on climate modeling.

Reviewer #2

This paper is generally very well written providing substantial details on methods and scientific explanation of comparative results, and limitations. It addresses needs for a more complete fast radiative transfer model for climate (and forecast) models by extending the Fast-J/Cloud-J code mainly by extending infrared spectral bin coverage to 12 microns following the RRTMG-SW model. The resulting model, called Solar-J, appears to combine the best features of both packages. I recommend the publication of the manuscript following minor revisions. P.S. Access to Solar-J worked fine.

We thank for the positive, encouraging, detailed, and constructive review. We have revised the manuscript per your suggestions below and provided a point-by-point response to each comment.

Scientific presentation:

1. While this referee has some gaps regarding the scientific background of all aspects involved in this work, the scientific content, descriptions, and justifications appear well done and very detailed and extensive. This is very commendable. No needed revisions have been identified on that front.

Thanks.

2. Minor revisions are needed regarding the presentation of Solar-J in the context of its inheritance from Fast-J, Fast-J2 and Cloud-J. In the conclusion section, it is indicated that 8-stream scattering, semi-spherical geometry, UV transmission, and cloud quadrature were taken from Cloud-J. This referee did not find any clear prior mention that the 8- stream and semi-spherical geometry were already present in the original code from which Solar-J began. Actually, the 8- stream code would have been from Fast-J2 while the spherical earth consideration for solar radiation would have been in Fast-J. There is much discussion in the introduction and elsewhere on the merit of the 8-stream approach, giving the initial impression that this is a new added feature, i.e. while it was present in Fast-J2.

There are back and forth references to Fast-J and Cloud-J which tends to confuse if one was not already familiar with both. It would be best to present the contributions and relations of each to Solar-J in the introduction (e.g. in the second paragraph of the introduction) and, from that point, maybe just refer to Cloud-J afterwards which would have been the starting point of Solar-J.

For clarity, it would be needed to indicate, from the beginning, likely in the introduction since the 8-stream approach is highlighted here, all components and features stemming from Fast-J and its successors Fast-J2 and Cloud-J, prior to the additions made to generate Solar-J. It is acknowledged that this is done regarding the spectral configuration and also, but only later, the Cloud-J cloud quadrature. The mention of both 2-stream and 8-stream is provided in the introduction to highlight (it needs to be made explicit in the introduction) the advantage of "continuing with the 8-stream approach from Cloud-J" vs. the 2-stream approach of RRTMG-SW.

The mention of both 2-stream and 8-stream is provided in the introduction to highlight (it needs to be made explicit in the introduction) the advantage of "continuing with the 8- stream approach from Cloud-J" vs. the 2-stream approach of RRTMG-SW.

Considering the above comments, there might be some benefit in correspondingly revising the introduction (and related text locations here and there such as the abstract – see (3) below).

Thanks. We agree. We have rewritten the 2nd paragraph of the introduction in the revised manuscript to include a comprehensive overview of the development and relationship between the work done previously known as Fast-J, Fast-J2, Fast-JX and Cloud-J. We more carefully explain what Cloud-J entails. And we made it clear that Cloud-J will serve as the starting point of Solar-J. We also went through the manuscript to replace Fast-J with Cloud-J.

3. The quality of the abstract and conclusions section is not as high generally as that of the remainder of the paper. Comments on the composition of the conclusions section is provided later. A few comments mostly on the scientific presentation of the abstract follows bellow. - As alluded to in (2) above, there is mention of Solar-J including the 8-stream scattering a few other features without indicating that these were inherited from Fast-J/Cloud-J. The sentence 'Solar-J is a . . .' in lines 15-16 could moved to line 11 with mention of Cloud-J. The following sentence needs to then attribute these mentioned features as inherited from Cloud-J. - A new paragraph could then begin from line 17 indicating the extension based on RRTMG-SW. - Lines 18-19.

Thanks. We have followed your advice by moving the sentence from Line 15-16. to Line 11 and beginning a new paragraph starting on Line 17. We further have edited the abstract for clarity based on the restructure.

The statement "successfully matches RRTMG's atmospheric heating profile" does not seem consistent with Fig 2b unless this is meant to refer to the general features of Fig 2a in addition to F2c,d. One might consider adding another comment pointing to the level of difference (e.g. "with maximum differences of 3 K in the upper stratosphere stemming from the absence of radiation below 200 microns and the coarse UV-bin resolution of RRMTG-SW")

That is a good point. We only meant tropospheric. We edited "atmospheric heating profiles" to "tropospheric heating profiles". The stratospheric heating difference is caused by the different methods in simulating the UV absorption of O_2 and O_3 . We only adopted RRTMG-SW's gas absorption bins from the infrared range.

- Lines 23-24. "less systematic" is unclear – to remove if not clarified. Meaning of "larger" is also unclear.

We now indicate the magnitude, "about 20-40 % depending on...".

- Line 24-25. There a missing link between the previous sentence referring to discrepancies/differences for the cirrus cloud example (not indicating if Solar-J is better) and the following sentence referring to Solar-J combining the best of both models. Maybe this has to do with the phrasing of the previous sentence (referring to lines 23-24)

We did not mean to link these two sentences, and we now break between these sentences to begin a new paragraph.

- Line 28 and also line 367 (conclusion). "about 5x" is for clear-sky only. Another 2.8x should be indicated the cloud quadrature (see page 14).

We realize now that there might be a misunderstanding on this part. The 2.8x additional cost from using cloud quadrature scheme is relative to a single atmosphere in Solar-J, not relative to RRTMG-SW's cloud overlapping scheme in parallel. We don't know the costs of RRTMG-SW if their McICA is used, but presume it would be similar to using a single atmosphere. We now make it clear in the conclusion in Lines 583-584: "A simple comparison shows the cost of Solar-J is 5x that of RRTMG-SW for a single atmosphere, and if the cloud quadrature scheme for overlapping cloud fields (Neu et al., 2007; Prather, 2015) is applied to either code, the cost increases additionally by 2.8x". In the abstract, we added, "for a single atmosphere".

3. Line 352. For completeness, may be best to indicate "biases relative to Solar-J results" or something like "differences relative to Solar-J results identified here are errors caused by the 2-stream approximation used with RRTMG.")

We believe the usage of "bias" in referring to these specific RRTMG-SW results is well justified, not only by the principal of physics (8-stream by nature is more accurate than 2-stream), but also the low bias has been documented in the literature (e.g. Li et al., 2015).

4. There is referencing to applicability of Solar-J with climate and chemistry-climate models. This should/could be extended at least to weather prediction models (if not also air quality models as well - CTMs and coupled chemistry- weather).

Good. "Weather" is included in the revised introduction on Line 71.

Composition corrections and suggestions:

- Both RRTMG vs RRTMG-SW are used in the abstract and various places in this work. If the short version RRTMG is preferred, would be best to indicate, in the introduction, that it will be used from that point onwards. In the abstract, likely best to just use RRTMG-SW.

Agreed. We mostly use RRTMG-SW throughout the text. There are places that we use RRTMG for easy reading. We now added a sentence on Line 103 in the introduction, "In this paper, we use RRTMG as shorthand for RRTMG-SW"

- Line 39. Suggest replacing "The major" by "Major" since other major challenges are also present as indicated later in the introduction. fixed.
- Line 45. Replacing ", however" by ". However" fixed.
- Line 46. "Thus" unnecessary. Can start with "In terms of . . . " fixed.
- Line 269. Replace "into stratosphere" by "into the stratosphere" fixed.
- Line 280. Suggested rephrasing. "The benefit of moving the Solar-J (and Cloud-J) band edge to 442 nm should be investigated." fixed.
- Line 329. Should "Figure 3(a)" be indicated as "Figure 3a" for consistency with this paper or is it the labelling used in Painemal et al. (2016). fixed.
- Line 358. Suggest "One other source" fixed.
- Line 369. The mention of EC92, Fu96 and Key02 should be accompanied here be the references (which are present in the reference list).

The notation of EC92, Fu96 and Key02 are introduced in Section 2.3, Lines 232-234, "For ice clouds three different parameterization are available, and all are tested here (Ebert and Curry, 1992, henceforth EC92; Key, 2002, henceforth Key02; Fu, 1996, henceforth Fu96)."

- Line 417. Might be good to replace in this proportionality" by "similarly proportional". fixed.
- Line 444. Might be best to replace "Fast-J 8-stream" to "Solar-J 8 stream" fixed.
- Line 446. "Feautrier solves" to "Feautrier approach solves". fixed.
- Line 454. "Cloud-J (and hence Fast-J) has" fixed.
- Line 471. "increases" fixed.
- Lines 484-485. Suggestion of adding commas: "approach, when suitably averaged over time, fixed.
- Line 489. "of atmospheres" fixed.
- Line 493. Rephrasing needed for "where the number of ICAs per grid cell ranging from 1 to 3,500 and averaged 170." Rephrased.
- Line 504. Suggest removing the sentence beginning with "Obviously". You meant "Of course" on Line 504. We changed it to "Alternatively".
- Line 517. "of a scattering matric generator and a block-" (added "a"s) fixed.
- Line 524, "in a ~4x" fixed.
- Line 537. Rephrase "on long experience"

Changed to "on previously established experience"

- Line 549. "that that are" to "that are" fixed
- Line 553. "less that" to "less than fixed.
- Line 574-575. Rephrasing needed with "Ideally, there is a tradeoff... in all three" Yes, we got rid of the 'trade off' and simplified the sentence.

Conclusions section. Composition to use past tense (presented vs present, taken vs was taken, "can focus vs focused, . . .). A revisit of the conclusion composition and content might be beneficial.

Thanks for the suggestion and the wakeup call. Which tense to use when describing science in a paper is a longstanding issue. We have traditionally kept to the present tense in the paper where possible unless referring clearly to past work. Most importantly, we needed to proof and check that our usage is consistent.

- Line 557. "accurate, consistent with . . . in the atmosphere" seem too much and not precise.

Have toned it down. Rephrased.

Suggest instead finding a sentence or two referring to Solar-J incorporating strengths of both Cloud-J and RRTMG-SW.

Yes, agreed. It is now in the first sentence.

- Line 562. Would be better to replace "The components of" by referring to Solar-J combining the best of Cloud-J and RRTMG-SW parameterizations."

Again, this is mentioned in the first sentence. We have revised this sentence slightly because it did not fit well before, as the reviewer found.

- Line 575. "and, however, these ... "to ". These Fixed.
- Line 576. "are clearly mapped" to "would be mapped" or "would have some impact on climate. .." or "are expected to impact. ..." or "could have an impact ..."

This ending section was clearly awkward, and we have redrafted it to be simpler, hopefully clearer, and maintain the original intent.

- Lines 577-578. "For Solar-J, the next steps consist of (i) moving the . . . and (ii) developing .." or something similar. Fixed.
- Line 579. Wonder if "A third opportunity" could be rephrased. Yes. Replaced with "another inquiry"

Tables: Moving the table captions outside the table frames will likely be necessary. Moved.

Figures:

- Figure 4.
- (1) Extra space in Fig 4a x-axis title units (g/m²) to remove. Fixed.
- (2) Missing space in Fig 2b legend at the top "Solar-J(solid. . .". Fixed.
- (3) In caption, replace "at fours SZAs" by "at four SZAs" Fixed.
- Figure 5.
- (1) In legend of Fig 5c,d replace "Ebert&Kerry" by "Ebert&Curry". Fixed.
- (2) Missing space in Fig 5d title "(d)Cloud. . ." Figure 6. Numbers below above colour bars in panels seem rather small. However, not much space to increase their size. So maybe ok.

The small-font numbers provide backup for the bar charts that need ot be seen together on one page to identify the similarities and differences across models and atmospheric regions. The figure is vectorized and can be blown up If it remains in .eps format in GMD publication.

References: I did not check that all references are accounted for. We checked again, thoroughly.

Additional Comment from Reviewer #2

It appears that a significant part of the introduction deals with scattering while section two deals does not refer to it as much and focusses on other aspects. For improved balance, it might be worthwhile to consider moving some discussion on scattering from the introduction to section two and dwell more on the range of aspects to be covered in section two (and some background on the motivation) in the introduction.

Thanks for the suggestion. It is a good idea, but we would rather keep the discussion of scattering in the introduction to provide a strong motivation for the community to migrate to a multi-stream algorithm. Rearrangements like that suggested were tried, but it became more awkward and disjointed. Section 2 is already the longest section in this manuscript. Its purpose is to provide enough details for any party whom wants to reproduce our results and can do so.

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A Radiative Transfer Module for Calculating Photolysis Rates and Solar Heating in Climate Models: Solar-J 7.5

Juno Hsu¹, Michael Prather¹, Philip Cameron Smith², Alex Veidenbaum³ and Alex Nicolau³

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Abstract. Solar-J is a comprehensive radiative transfer model for the solar spectrum that addresses the needs of both solar heating and photochemistry in Earth system models. Solar-J is a spectral extension of Cloud-J, a standard in many chemical models that calculates photolysis rates in the 0.18-0.8 µm region. The Cloud-J core consists of an 8-stream scattering, plane-parallel radiative transfer solver with corrections for sphericity. Cloud-J uses cloud quadrature to accurately average over correlated cloud layers. It uses the scattering phase function 15 of aerosols and clouds expanded to 8th order and thus avoids isotropic-equivalent approximations prevalent in most solar heating codes. The spectral extension from 0.8 to 12 microns enables calculation of both scattered and absorbed sunlight and thus aerosol direct radiative effects and heating rates throughout the Earth's atmosphere.

The Solar-J extension adopts the correlated-k gas absorption bins, primarily water vapor, from the shortwave

Rapid Radiative Transfer Model for GCM applications (RRTMG-SW). Solar-J successfully matches RRTMG-SW's tropospheric heating profile in a clear-sky, aerosol-free, tropical atmosphere. We compare both codes in cloudy atmospheres with a liquid-water stratus cloud and an ice-crystal cirrus cloud. For the stratus cloud, both models use the same physical properties, and we find a systematic low bias of about 3 % in planetary albedo across all solar zenith angles caused by RRTMG-SW's 2-stream scattering. Discrepancies with the cirrus cloud using any of <u>RRTMG-SW's</u> three different parameterizations are as large as about 20-40% depending on the

solar zenith angles and occur throughout the atmosphere. Effectively, Solar-J has combined the best components of RRTMG-SW and Cloud-J to build a high-fidelity module for the scattering and absorption of sunlight in the Earth's atmosphere, for which the three major

components - wavelength integration, scattering, and averaging over cloud fields - all have comparably small errors. More accurate solutions with Solar-J come with increased computational costs, about 5x that of RRTMG_vSW for a single atmosphere. There are options for reduced costs or computational acceleration that would bring costs down while maintaining improved fidelity and balanced errors

1 Introduction

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- 60 A major challenge in simulating the Earth's climate is the tracking of solar energy, its absorption and scattering within and reflection from the Earth system, in the presence of heterogeneously distributed clouds and aerosols. The fifth assessment of Intergovernmental Panel on Climate Change (IPCC, Chapter 7, Boucher et al., 2013) summarizes that the net radiative feedback due to all cloud types is likely to be positive but with large uncertainty, mostly attributed to the uncertain impact of warming on low clouds. The confidence in the aerosol65 climate feedback, through both aerosol and cloud albedo, is even lower and the uncertainty is + 0.2 W m⁻² °C⁻¹
- 65 climate feedback, through both aerosol and cloud albedo, is even lower and the uncertainty is ≠ 0.2 W m⁻² °C⁻¹.

 Major modeling challenges naturally point to the sub-grid parameterizations of clouds and cloud-aerosol interactions in coarsely-gridded global models, and the IPCC reports have documented substantial developments in the modeling of the chemical-physical properties of aerosols and clouds (Boucher et al., 2013). In comparison, relatively little attention has been paid to improving the treatment of aerosol and cloud scattering in climate models. This is both surprising and not. Solutions of the radiative transfer (RT) equations in scattering
- climate models. This is both surprising and not. Solutions of the radiative transfer (RT) equations in scattering media are well documented with numerous methods and readily available packages such as TUV (Tie et al., 2003; Palancar et al., 2011) and SCIATRAN (Rozanov et al., 2014). However, these more accurate reference codes have always been viewed as too computationally expensive. In terms of climate model development, this is a solved problem with little intellectual interest, but too onerous to improve, and thus low-order

75 approximations remain in place.

We present here Solar-J version 7.5, a radiative transfer model based on the computationally optimized photolysis code, Cloud-J (Prather, 2015). Cloud-J combined an improved cloud overlap algorithm based on observed decorrelation lengths with the cloud quadrature scheme (Neu et al., 2007) for averaging over independent column atmospheres. It includes an 8-stream scattering photolysis code with semi-spherical geometry and wavelength integration over 18 spectral bins from 177-850 nm. In the most recent version 7.4 the spectrum is shortened to 778 nm (See Figure 1). Prior released versions, known in the community as Fast-JX or simply Fast-J (see Sovde et al., 2012; Telford et al., 2013; Sukhodolov et al., 2016), were based on the work of Fast-J2 (Bian and Prather, 2002) and the original Fast-J (Wild et. al, 2000), separately optimizing the absorptions of photon fluxes into 12 stratospheric bins (177-292 nm) and 7 tropospheric bins (292-850 nm).

Although this is the first version of Solar-J, we retain the numbering of the released versions of the core photolysis code. For simplicity, we will refer Cloud-J, not Fast-J, as the starting point of Solar-J throughout the text. The accurate treatment of cloud and aerosol scattering has been an essential requirement for atmospheric chemistry modeling, and Cloud-J or alternative models (fast-TUV, Tie et al., 2003) are used standardly in global chemistry models. Solar-J is an extension of Cloud-J wavelength range (0.18-0.8 microns) out to 12 µm and includes an 8-stream scattering solution for the absorption and reflection of sunlight over the full spectrum.

Scattering and absorption by large aerosols (dust) and clouds are important for heating rates at these longer wavelengths. The long-term goal is to develop Solar-J as a single module for climate models, albeit with increased computational cost, delivering photolysis rates and more accurate shortwave heating rates, particularly for aerosol and cloud radiative forcing.

95 As finer grid resolutions and massively parallel computing are being pursued to enable more realistic atmospheric interactions with the land, ocean and biosphere in climate modeling, the radiative transfer codes implemented in most of the global models remain in their simplest possible analytical form of 2-stream scattering. With this approximation, all upward and downward scattering occurs at a single angle, and the Deleted:

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scattering must be treated as isotropic, i.e., independent of sun angle. The ubiquitous adoption of 2-stream RT codes by the global climate and weather-forecasting models (e.g., DOE's Accelerated Climate Modeling for Energy (ACME), NCAR's Community Earth System Model (CESM), the European Centre for Medium-Range Weather Forecast (ECMWF) model) has been enabled by standardized packages like the Rapid Radiative Transfer Model for GCM Applications (RRTMG), developed based on the correlated-k approach (Mlawer et al., 1997; Clough et al., 2005). A 2-stream model was certainly necessary at a time when the need for computational efficiency exceeded that for accuracy. With the rapid advancement of massively parallel computing, it is time to ask if an upgrade to a higher-order scheme is needed for improved accuracy in climate modeling, particularly with regard to cloud and aerosol forcing. The 2-stream scattering approximation has been in use for decades in climate models and evaluating its systematic errors remains an active research topic (Li et al., 2015; Barker et al., 2015). The errors are mostly from the inadequacy of using a single angle to represent the scattering of cloud particles and aerosols. For example, the anisotropic, forward-peaked scattering of all

al., 2015; Barker et al., 2015). The errors are mostly from the inadequacy of using a single angle to represent the scattering of cloud particles and aerosols. For example, the anisotropic, forward-peaked scattering of all relevant atmospheric aerosols and cloud particles cannot be represented with the 2-stream approach, and all scattering must be reduced to isotropic. To address this problem, a commonly used delta-scaling technique is applied by removing the large forward-scattering peak, thus reducing the optical depth (Joseph et al., 1976;
Wiscombe, 1977). In addition, the Henyey-Greenstein (HG) phase function (Henyey and Greenstein, 1941) is often used to tune the 2-stream scattering to better represent the scattering of large particles for specific sun angles. Unfortunately, the HG phase function lacks the realistic back-scattering peak found for cloud particles, particularly ice-crystals (Zhou and Yang, 2015). Li et al. (2015) find biases caused by the HG phase function and conclude that higher-order moments of the phase function coupled with a multi-stream radiative transfer

and conclude that higher-order moments of the phase function coupled with a multi-stream radiative transfer

algorithms are needed to improve accuracy. They demonstrate this point with a 4-stream δ-Eddington code
developed by Li and Ramaswamy (1996). Wild et al. (2000) tested the accuracy of different-order codes for
computing the mean radiation field in the presence of thick water clouds, and found that 8-streams were
necessary to have errors of only a few percent relative to a 160-stream code that resolved the scattering phase
function. For Solar-J, we adopt the Wild et al. (2000) optimization for water clouds and use Mie (liquid) or

Mishchenko (ice) (Mishchenko et al., 1996; 2004) full phase functions for scattering, truncate the expansion in

The Solar-J model and tests are described in Section 2. The resulting comparisons with RRTMG-SW are presented in Section 3. <u>In this paper, we use RRTMG as shorthand for RRTMG-SW.</u> Section 4 examines computational costs for Solar-J and options for optimization. Conclusions and a path forward are discussed in Section 5.

Legendre polynomials to order 8, and solve the scattering with 8 streams with no δ -scaling of the optical depth.

2 Methods: model configuration and test cases

2.1 Solar-J spectral configuration

140

The 18 bins of Cloud-J make up the first 18 bins of Solar-J and were optimized for calculating photolysis rates
below 64 km (Wild et al, 2000, Bian and Prather 2002). The first 11 bins (177-291 nm) are optimized around
the Schumann-Runge bands of O₂ and the Hartley bands of O₃, and the next 7 bins optimized for tropospheric

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photolysis (291-778 nm). The bins were chosen to have relatively uniform opacities for the principal absorbing Deleted: 850 species O2 and O3 across the wavelengths in each bin. In some cases, this includes combining different wavelength regions on either side of the O₃ maximum cross section near 255 nm. Effectively, the 18 bins extend the use of opacity distribution functions used to calculate O2 photolysis rates in the Schumann-Runge bands (Fang et al. 1974), an equivalent to the correlated-k method in the infrared (Lacis and Oinas 1991). An inherent assumption is that any other scatterers and absorbers are uniform across each wavelength bin, justified by the narrowness of the bins and the lack of sharp spectral features in clouds and aerosols. Because Cloud-J Deleted: Fast has been optimized against high-resolution spectral data for stratospheric ozone photolysis, and continually updated with new cross sections (Sander et al. 2011), and tested against other codes (Palancar et al. 2011; PhotoComp (Eyring et al., 2010)), we have confidence in our stratospheric photolysis and heating rates. The large bin 18 (412-778 nm) that includes the O₃ Chappuis band is unusual for Cloud-J: it assumes a uniform Deleted: Fast absorption cross section for O₃, and it has a large factor-of-two change in wavelength. The O₃ cross sections vary smoothly over bin 18 and are $> 0.5 \times 10^{-21} \text{ cm}^2$ over the range 475-725nm with a broad maximum of 5×10^{-21} cm² about 600 nm. Overhead opacity ranges from 0.4 to 4% over this band. With optically thin absorption, one can use the flux-weighted average cross section, 1.94x10⁻²¹ cm², for the entire bin. Both the attenuation of sunlight and the absorption of photons to calculate the O₃ photolysis rate use this average. At very large air masses (solar zenith angles of 89-95 degrees) the atmospheric path (vertical optical path x 1/cos(SZA)) in the Chappuis band approaches 1 and modest errors appear. If highly accurate calculation of the photolysis and Deleted: optical depth heating rates due in the Chappuis band is required, then further analysis of bin 18 is warranted, but otherwise this treatment is sufficiently accurate to follow these rates as the sun sets. Another possible source of error is that these cross sections are photon weighted, and for heating rates the cross sections should be energy weighted (Wm⁻²). Fortunately, the energy-weighted O₃ cross section, 1.91x10⁻²¹ cm², differs little from the photonweighted one (with the result of < 0.04 K/day difference in clear-sky stratospheric heating). Deleted: a 175 RRTMG-SW has 9 large bins extending to wavelengths longer than the end point of Cloud-J, and we adopt the Deleted: Fast flux-weighted average optical properties of clouds and aerosols for these bins as an extension to Cloud-J v7.4 to Deleted: Fast-J/ become Solar-J v7.5. Figure 1 shows the overlap of the spectral bins of Fast-J v7.3, Solar-J v7.5, and RRTMG-Deleted: 3 Deleted: Figure 1 SW. Also shown is the revised Cloud-J v7.4 for which the long-wavelength edge of bin 18 has been shortened from 850 nm to 778 nm to match the transition to RRTMG bins. The flux-weighted cross sections for several Cloud-J species have been recalculated to account for this. Be aware that these rescaled cross sections apply to Deleted: Fast Cloud-J versions 7.4 and later. Cloud-J remains a key component of Solar-J, as it produces representative Deleted: all Fast-J and samples of independent column atmospheres after considering the topology of cloud fractions (Prather, 2015). Solar-J has 27 major bins, referred to here as S-bins, e.g., S1-S27 in Table 4. Bins S1-S17 are taken directly Deleted: Table 4. 185 from Cloud-J and have no sub-bins. The transition bin S18 combines Cloud-J's uniform treatment of Chappuis-Deleted: Fast Deleted: Fast band O₃ absorption with 4 small non-overlapping sub-bins (17.5 out of a total of 608.7 Wm⁻²) to include RRTMG's H₂O and O₂ absorptions from their bins B24-B25. These four sub-bins have strong cross sections Deleted: in with their own distinct optical depth structures, and they do not overlap with the major O₃ absorption in bin S18. The rest of the non-ozone sub-bins (weak cross sections) are lumped into one more sub-bin and added to Solar-J's Chappuis band. In all, we take RRTMG's 14 sub-bins from B24 and B25 and optimized these into 5 sub-

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bins for S18. The last 9 bins, S19-S27, are directly implemented from RRTMG and contain 78 sub-bins. The

logic of having wavelength bins, and then sub-bins within them is to allow the gaseous absorbers with similar opacities to be gathered into one sub-bin, but to treat the scattering and absorption by aerosols and clouds as uniform across the major bin (see below). The fidelity of the spectral extension of Solar-J to match RRTMG-SW is verified with the clear-sky case presented in Section 3.1.

2.2 Clouds and aerosols

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215 Like the photolysis rates calculated in Cloud-J, the heating rates in RRTMG-SW and Solar-J are highly sensitive to the scattering and absorption from tropospheric and stratospheric aerosols, and from liquid-water and icewater clouds. Cloud-J v7.4 has pre-computed tables of optical properties for typical aerosols and for both liquid- and ice-water clouds. For bins S1-S18, many of these are effectively non-absorbing. With the extension to longer wavelengths, it becomes important to treat the absorption by clouds and the stratospheric sulfate layer.

We take the refractive indices for liquid water, ice water and sulfuric acid and calculate solar-flux weighted $mean\ values\ for\ each\ bin\ S12-S27. \ {}_{\underline{v}}\underline{Sunlight\ over\ wavelengths\ for\ bins\ S1-S11} \ {}_{\underline{does}}\ not\ reach\ the\ troposphere$ in significant amounts and hence the optical properties of bin S12 are used for S1-S11 for simplicity. For the first 18 bins, optical properties are weighted by the solar photon flux (photons cm⁻² s⁻¹), and for the last 9 bins they are weighted by the solar energy flux (Wm⁻²). These refractive indices are combined with a Mie scattering code and a model for the size distribution of particles to calculate the effective radius (r_e), single scattering albedo (SSA), ratio of optical to geometric cross section (Q), and the first 8 terms in the expansion of the scattering phase function ($A_{0:7}$) that includes the asymmetry parameter ($g = A_1/3$).

For liquid water we take the refractive index from FORTRAN codes developed at the U. Wisconsing Madison by M.A. Walters for liquid water (NDXWATER: Hale and Querry (1973); Palmer and Williams (1974); Downing and Williams 1975) and ice water (NDXICE, based on Warren (1984)). Liquid water clouds use Deirmendjian's C.1 gamma distribution of drop sizes (α = 6, see Deirmendjian 1969) and the Mie code from Hansen and Travis (Hansen; Travis 1974) for a range of effective radii: $r_e = 1.5, 3, 6, 12, 24, 48 \mu m$, see also Hess et al. (1998). Optical properties (SSA, Q, A_{0.7}, g) are calculated for bins S12-S27 for these effective radii and then individual cloud properties at each bin are interpolated piecewise linearly in $r_{e\cdot\,\blacktriangledown}$

235 For ice-water clouds we have two T-matrix computations supplied by M. Mishchencko for Cloud-J (Mishchenko et al. 2004) for warm (irregular) and cold (hexagonal) ice clouds. These included Q and the scattering phase function (including A_{0:7}) for the visible region (~600 nm) and were used at all Solar-J wavelengths. When there is significant absorption, the values of SSA, and to some extent Q, are complex functions of r_e and do not simply scale as total mass. For this first version of Solar-J, we made a simplifying assumption and used the Mie code with the ice-water refractive index to calculate SSA and Q as a function of r_e = 3, 6, 12, 24, 48, 96 µm using the liquid-water cloud's C.1 distribution. Effectively we assumed that the ice particles were spheres. For the phase function A_{0:7}, we kept the two T-matrix results (irregular and hexagonal ice particles) and used them for all re of that type of ice cloud. The obvious next upgrade to Solar-J is a redo of the ice-water clouds with a broader, better mix of cloud types (Mishchenko et al. 2016; Yang et al. 2015).

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The refractive index for mixtures of sulfuric acid and water are also well characterized (Beyer et al. 1996; Biermann et al. 2000; Krieger et al. 2000; Myhre et al. 2003), and we use the tables from Lund-Myhre et al (2003). For the stratospheric sulfate layer, we chose background and volcanic bimodal log-normal size distributions based on Deshler et al. (2003): background has a dominant mode (98%) with $r_e = 0.125 \mu m$ and a secondary mode with $r_c = 0.432 \mu m$ for an average of $r_c = 0.131 \mu m$; volcanic has a dominant mode (81%) with $r_e = 0.487~\mu m$ and a secondary mode with $r_e = 0.149~\mu m$ for an average of $r_e = 0.422~\mu m$. The stratospheric aerosol properties are tabulated for bins S5-S27 for a combination of temperatures (220-250-280K) and weightpercent sulfuric acid (50-70-90%) with 220K and 70% being typical for the stratosphere (McGouldrick et al., 2011). The refractive indices and size distributions of tropospheric aerosols are not as well characterized. Cloud-J has a collection of aerosol optical properties for wavelengths 300-800 nm based on community contributions (e.g., Liousse et al. 1996; Martin et al. 2003), and this has been propagated for testing in Solar-J. However, if heating by tropospheric aerosols such as brown and black carbon and dust is to be accurately modeled with Solar-J, then one must go to the specific models to acquire the physical and optical properties, e.g., NCAR's CESM 1.2 (Tilmes et al. 2015).

The Solar-J bins, solar fluxes (Sphot in photons cm⁻²s⁻¹ and S_{Watt} in Wm⁻²), and Rayleigh cross-sections (X_{Rayl} cm²) are summarized in Table 1. The spectral properties for examples of liquid-water clouds ($r_e = 12 \mu m$), ice-

water clouds (r_e = 48 μm, cold, hexagonal), background stratospheric 70 wt% sulfuric acid aerosols, and volcanically enhanced stratospheric aerosols for each Solar-J bin are given in Table 2. This table gives wavelength data for the real and imaginary refractive indices based on the flux-weighted means, as well as the Mie-derived values for Q, SSA, and g. The relative importance of cloud heating in each bin can be estimated by multiplying the solar energy by the absorbing fraction, S_{Watt} x (1 – SSA). One finds that absorption for bins S1-S20 is negligible, that both types of clouds and stratospheric sulfate aerosols have large absorption in bins S25-S27, and that ice-water clouds have large absorption per optical depth in bins S21-S24 while liquid-water clouds do not. Ice-water and liquid-water have real refractive indices that differ by at most 5%, and imaginary refractive indices that differ typically by a factor of 2 (except for S27). The cause of this difference in specific absorption is the ratio of mass (which controls absorption) to surface area (which controls optical depth), i.e., it is proportional to re.; and ice-water clouds typically have 4x greater re.

2.3 Test cases: clear-sky, clouds and the optical properties

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To compare Solar-J and RRTMG-SW, we adopt a standard atmospheric column model, typical of the tropical oceans (surface albedo = 0.06) and define three cases: clear sky, a stratus liquid-water cloud, and a cirrus icewater cloud. Both cloudy cases assume 100% cloud cover; the cloud overlap algorithms of Cloud-J are not invoked. Neither are aerosols included. Atmosphere and cloud properties are given in Table 3. Each test case is evaluated at four different solar zenith angles (SZAs) at 0°, 21°, 62°, and 84°, whose respective cosine values are 1.0, 0.93, 0.47 and 0.10.

The two cloud profiles are extracted from the 3-hourly, July 2005 ECWMF-Integrated Forecast System (IFS) data. This data set has a horizontal resolution of 1° x 1° in longitude and latitude and 37 vertical layers with

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about $\sim \frac{1}{2}$ km vertical resolution in the troposphere. Our example of marine stratus clouds has liquid water content (LWC, g m⁻³) only below 2 km, while the cirrus example has non-zero ice water content (IWC, g m⁻³) above 6 km and no liquid water anywhere. The total cloud water content (CWC, g m⁻³) and effective radius (r_e) are also listed in Table 3. Solar-J has default values for r_e : for cirrus, they are parameterized as r_e = 164 x IWC^{0.23} µm, based on a fit to the data described in Heymsfield et al. 2003, For liquid-water clouds, they are based loosely on observations of clean maritime stratus (Boers et al. 1996; Gerber 1996; Miles et al. 2000), with r_e = 9.6 micron at pressures greater than 810 hPa and increasing linearly to 12.7 microns at 610 hPa and above. When implemented in an atmospheric model, r_e will ideally be supplied by the atmospheric model driving Solar-J.

310 Heating rates and the changes in the radiative energy budget due to clouds are evaluated with the clear-sky component subtracted. In both Solar-J and RRTMG-SW, when r_e and CWC are given, the corresponding wavelength-dependent properties are derived from tables or formulae. In Solar-J the scattering phase function is truncated at 8 terms, but in RRTMG-SW's 2-stream model only the first term (A₁/3 = g) is retained. For liquid water, RRTMG-SW adopts the parametrization scheme by Hu and Stamnes (1993). For ice clouds three different parameterizations are available, and all are tested here (Ebert and Curry, 1992, henceforth EC92; Key, 2002, henceforth Key02; Fu, 1996, henceforth Fu96).

These parameterization schemes in RRTMG-SW aim to fit the ice-cloud optical properties - extinction coefficient, SSA and g - as a polynomial function of re and CWC. Note that Fu's parameterization is based on the generalized effective diameter (D_{ge}) but can be related to the input r_e through Eq. 3.12 of Fu (1996). Elbert and Curry's parameterization has been applied in the Community Atmosphere Model (CAM version 4.0 and prior versions). According to the documentation in RRTMG-SW, Key's parameterization was taken from the Mie-calculated spherical shapes of ice particles from the Streamer radiative transfer codes (Key, 2002), and thus should be similar to the Solar-J approximation. The two-stream solution to the radiative transfer problem, as implemented in RRTMG-SW, requires that the scattering optical depth (τ_{scat}) be reduced with what is described as the δ -Eddington approximation (Huang 1968; Joseph et al., 1976). The purpose is to remove the forwardscattering peak typical of large particles and have only isotropic-equivalent scattering. The absorption optical depth is not changed to ensure correct absorption in the limit of optically thin clouds. The basic problem with these approximations is that the cloud optical depth is reduced by as much as a factor of five, and thus substantially more sunlight is transmitted through the cloud as a direct solar beam rather than as scattered light. In RRTMG-SW (except for the Fu96 ice-cloud approximation) the Henyey-Greenstein (HG) phase function (Henyey and Greenstein, 1941) is further used to approximate the scattering of aerosols and clouds because of its simple power series formulation. The HG phase function does not represent realistic scattering because it does not have backward-scattering peak of real aerosols and clouds. As might be expected, errors in two-stream

335 3 Results: Solar-J versus RRTMG-SW

3.1 Clear sky

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approximations are ubiquitous and vary widely with solar zenith angle (Boucher 1998).

345 The clear-sky comparison between Solar-J and RRTMG-SW for overhead sun (SZA = 0°) is summarized in <u>Table 4</u> and <u>Figure 2</u>. <u>Table 4</u> lists the band-by-band radiation budget in Wm⁻², with Solar-J's spectral bins Jabeled as S-bins and RRTMG's as B-bands (B16-B29 follow the same band numbers as in RRTMG's codes). For easy comparison, several Solar-J's spectral bins of higher resolution from the UV range are lumped together to best match the RRTMG's bin of similar range, and vice versa with RRTMG's B24 and B25 bins combined to compare to Solar-J's S18 bin. The incoming spectral solar irradiance is slightly different for the two codes and 350 so for easier comparison we scale each of them to a total of 1360.8 Wm⁻² (Kopp and Lean, 2011). RRTMG-SW adopts the solar source function from Kurucz (1992), while Solar-J integrates high-resolution (0.05 nm) photon fluxes (Meier and Stamnes, 1992) by wavelength to obtain the solar irradiance. Clear-sky summary comparisons for the other three SZAs (21°, 62°, 84°) are shown in Table 5 under Clear-Sky columns.

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In Table 4, the incoming spectral solar irradiance at top of the atmosphere (TOA down) is balanced by components of (1) the reflected flux going back to space (TOA up positive), (2) the absorption in the atmosphere, separated into the stratosphere and troposphere, and (3) surface heating. Several differences in the configuration of spectral bands between Solar-J and RRTMG-SW affect these results. For one, RRTMG-SW does not include the small amount of solar irradiance at wavelengths (λ) < 200 nm (0.06 Wm⁻²), and thus ignores photodissociation of O₂ molecules in the Schumann-Runge bands and part of the Herzberg continuum that heats the upper stratosphere and mesosphere. Second, for $\lambda = 200-345$ nm, Solar-J has 3 Wm⁻² (6%) less solar energy than RRTMG-SW and the difference appears in RRTMG's larger heating of the stratosphere. Third, the bin division between 345 and 778 nm is at 412 nm for Solar-J (i.e., between S17 and S18), but at 442 nm for RRTMG-SW (between B26 and B25+B24). This interval, 412-442 nm has very low O3 absorption, significant Rayleigh scattering, and a large amount of solar energy (~51 Wm⁻²). Both the shorter-wavelength bins (S17 or B26) reflect about 20% of the incoming radiation, but in the adjacent bin with the Chappuis O₃ band it is only about 9%. Thus, placing the 412-442 nm interval with the Chappuis band results in greater atmospheric absorption and less reflection. The benefit of moving the Solar-J (and Cloud-J) band edge to 442 nm should be investigated.

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These differences, particularly the 412-442 nm interval, explain most of the total budget difference where, overall, Solar-J reflects 4 Wm⁻² (4%) less back to space, absorbs 2 Wm⁻² (6%) less in the stratosphere, 3 W m⁻² (1%) more in the troposphere, and 4 Wm^{-2} (1/2%) more at the surface. For SZA = 21° and 62° (Table 5), Solar-J continues to reflect 3-4 Wm⁻² less energy back to space, but at large SZA= 84° the two models match closely.

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While spherical effects may play some role in this shift, we suspect that Rayleigh scattering may contribute. The forward-backward enhancement in Rayleigh scattering is not represented in 2-stream isotropic scattering. Thus RRTMG - Solar-J differences will shift as the primary beam shifts from vertical to horizontal as a much greater fraction of the visible light is scattered. At low sun the Rayleigh optical slant path along the solar beam is much greater than 1 for bin S17 and even ~1 for S18.

Figure 2 compares the vertical profiles of clear-sky heating rates (K/day) for overhead sun (SZA = 0°) with the abscissa axis scaled separately for the stratosphere and the troposphere. Both models produce similar structures with the heating maximum of ~30K/day in the stratosphere at about 45 km altitude and ~3K/day in the lower troposphere. The ability of Solar-J to match these structures demonstrates that Solar-J has correctly

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Deleted: in the stratosphere about at 45 km altitude and in the troposphere between 2 and 8 km. The unusual zig-zag structures of heating in the troposphere are unphysical and related to the approach of RRTMG and other correlated kdistribution approaches (Lacis and Oinas, 1991) in binning the line-by-line opacities for the sub-bins. Instead of a continuum of water vapor opacities in a large bin, there are a discrete number of monotonically increasing cross sections for the sub-bins.

implemented the RRTMG-SW spectral model. Solar-J minus RRTMG-SW differences are shown in the right 405 two panels of Figure 2. As shown in Figure 2(d), the consistent difference of ≤ 0.1 K/day near the surface. comes from Solar-J's simplification of combing RRTMG's 14 sub-bins with O2 and H2O absorption in bins B24-B25 into the 5 sub-bins of S18. In the troposphere these are small, but in the stratosphere there is a clear bias with Solar-J producing more heating above 40-50 km and less heating below. Differences at the top, above 50 km, are due in part to the lack of λ <200 nm radiation in RRTMG-SW, and in part due to a better resolution of 410 the O₃ and O₂ cross sections in Solar-J. Overall, RRTMG-SW deposits more energy in the lower stratosphere, below 35 km, except at larger SZA where it deposits less. Thus, for the tropics and mid-latitudes, RRTMG will overheat the lower stratosphere, possibly changing the stability and wave propagation to the high latitudes (Hsu et al. 2013). At high latitudes, RRTMG error is in the opposite direction, resulting in a colder polar stratosphere with possibly stronger winter vortices.

415 Solar-J traces the solar beam through a spherical atmosphere back to the sun. RRTMG assumes a flat Earth. Both then calculate the subsequent scattering and absorption in a plane-parallel, flat atmosphere, but with different solar source terms at each level. Solar-J is able to simulate both photolysis and heating rates throughout twilight, even when the sun is no longer directly visible at the layer. Figure 3a shows the smooth decline in O₃ photolysis rates as the SZA passes from 84° to 95°. Figure 3b shows the corresponding heating rates from both RRTMG-SW and Solar-J. The lack of sphericity in RRTMG leads to large systematic negative biases in the heating rates at low sun. Sphericity errors extend up to $SZA = 80^{\circ}$ but are largest of course at twilight. The high-latitude atmosphere will have SZA >80° for much of the day, and thus RRTMG may lead to a cold bias for the high latitudes

3.2 Low-level marine stratus cloud

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For the stratus cloud, the liquid water path (LWP, g m⁻²) in each layer is derived from the LWC and height of each layer (Table 3) and is plotted vs. altitude in Figure 4a as described in Section 2.3. The resulting cloud optical depth in each layer, τ , (evaluated at 600 nm) is also written in pairs with Solar-J's as the first number and RRTMG's reduced delta-scaled optical depth (τ) as the second. Both RRTMG and Solar-J start with same 430 value of τ because the Mie-based scattering phase functions for liquid water are unambiguous and both adopt the same values for re, Q, and density of liquid water. The re is set to 9.6 µm through most of this cloud profile. The LWC increases from the surface to a maximum of 0.12 g m⁻³ at 1.25 km and falls off to zero by 2.3 km altitude. Because of the increasing thickness of the model layers with altitude, the LWP and layer τ are not as smoothly peaked. We deem this profile realistic from comparing to the observed range for coastal marine low clouds (see Figure 4 of Hu et al. 2007 for July liquid cloud radii distribution and Figure 1a of Painemal et al. 2016 for LWP).

Table 5 summarizes the clear-sky radiative budget and the stratus cloud radiative effect (CRE, W m⁻², calculated as change relative to clear sky) for Solar-J and RRTMG-SW for the four SZAs used here. At overhead sun $(SZA=0^{\circ})$ with the solar input at 1360.8 W m⁻², the effect of this low-level marine stratus cloud (per Solar-J) is to reflect an additional 473 W m⁻² back to space, absorb an additional 88 W m⁻² in the atmosphere primarily within the cloud, and thus to reduce the surface heating from 969 to 408 W m⁻². As in the clear-sky comparison, Deleted: The consistent

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both models look broadly similar but with some large systematic biases. For SZA = 0-62°, Solar-J reflects ~10 W m⁻² (2-3%) more sunlight back to space; both models calculate about the same increase in atmospheric

465 absorption; RRTMG-SW consistently absorbs less energy within the cloud but more above it; and thus Solar-J calculates greater reduction in surface heating (also about 2-3%) than RRTMG-SW. These differences in solar heating are large compared with anthropogenic climate forcing from greenhouse gases (~4 W m⁻²) (Myhre et al., 2013), but of course stratus clouds occupy only a fraction of the surface. Within the atmosphere, there is a large difference in the distribution of CRE, with Solar-J calculating 5% (SZA=0°) to 25% (SZA=62°) more in-cloud heating than RRTMG. The profile of heating rates (Figure 4b) shows a double peak at 1.9 km (visible τ ~ 1)

heating than RRTMG. The profile of heating rates (Figure 4b) shows a double peak at 1.9 km (visible τ ~ 1) and 1.2 km (τ ~ 6) even though the LWC has a smooth maximum at 1.1 km. The longer wavelength bins (S25-S27) are fully absorbed in the uppermost part of the cloud (τ < 1), while the shorter wavelengths (S19-S24) penetrate the cloud to scattering optical depths of order τ ~ 8. RRTMG consistently calculates lower in-cloud rates, see below. It is possible that Solar-J's greater heating in stratus clouds may change the dynamics of stratus clouds relative to a model using RRTMG-SW (Harrington et al., 2000). At low sun (SZA=84°) Solar-J calculates 4% greater reflectance change; both models calculate less atmospheric heating within the cloud but more heating above it; and the surface heating in Solar-J is about 2 W m⁻² less than in RRTMG-SW. Both models show enhanced heating only in the uppermost cloud layers above 1.7 km (Figure 4b).

We believe that the RRTMG-SW biases identified here are errors caused by the 2-stream approximation. This is supported by the study of Li et al. (2015, see their Figure 2), who show small negative errors in absorption from the calculation of δ -Eddington (2-stream) approximation in the case of the single-layer liquid cloud (r_e = 10 μ m, $\tau \sim$ 4) with cos(SZA) > 0.2 (i.e., our SZA = 0-62°). For our SZA=84° this absorption bias reverses as is also found by Li et al. (2015) for cos(SZA) <0.2. In their study the 2-stream calculations are compared to the 128-stream DISORT (Discrete-Ordinate) benchmark calculations using accurate phase functions and no δ -scaling (similar to the study of Wild et al., 2000). One other source of error in RRTMG's model is the choice of δ -scaling factor, which they base on the HG phase function using only g. Alternatively, one can use the 2nd moment of the true Mie phase function (Wiscombe, 1977). We revised the RRTMG-SW code to do this using Solar-J's scattering phase functions and found a modest reduction in this error from -14 W m⁻² to -9 W m⁻² for reflected sunlight (SZA=0°).

490 3.3 Tropical cirrus cloud

For the cirrus cloud comparison, we use all three ice-water parameterization options in RRTMG-SW and Solar-J's single parametrization. Figure 5ab shows the prescribed profiles of model input of IWC and r_e (Table 3). The cumulative overhead τ at 600 nm is shown in Figure 5c. The δ -scaling varies considerably across the RRTMG parameterizations: Solar-J's unscaled $\tau \sim 0.43$ compares with EC92's $\tau \sim 0.25$, Fu96's $\tau \sim 0.15$, Key02's $\tau \sim 0.09$ (see also Table 6). Thus, the fraction of sunlight scattered by the cloud varies widely across all four. The asymmetry parameter g from Mishchenko's phase functions for hexagonal and irregular ice used in Solar-J ranges from 0.75 to 0.81 (as compared to 0.88 for equivalent-size liquid-water clouds), but g values for all RRTMG ice clouds range from 0.4 to 0.6 for wavelengths where scattering is important (S12-S24). The absorbing optical depth, τ_{abs} , is a very important diagnostic because in an optically thin cloud the overall heating

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should be proportional to it. <u>Table 6</u> shows that all four ice cloud models have similar τ_{abs} up to S22, and if we

average S23 and S24 (which appears to have been done in EC92), then all four models remain similar in terms of solar absorption. As noted for the stratus cloud, all models predict a large, factor of 5, jump in τ_{abs} for S25-S27 ($\lambda > 2.5 \mu m$), which are the most important bins for cirrus cloud heating. At these wavelengths, EC92 has

510 the largest absorption τ_{abs} , about 0.3, followed by Solar-J's 0.21.

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Cloud heating rate profiles at SZA = 0° are shown in Figure 5d, and the large range clearly reflects the τ_{abs} for S25-S27. The cirrus CRE for four SZAs and for five components (reflected at top of atmosphere, absorbed in above-cloud atmosphere, in-cloud atmosphere, below-cloud atmosphere, and absorbed at surface) are shown as a set of 20 bar charts in Figure 6. The CRE percent changes relative to clear-sky are shown as four color bars representing Solar-J (red), EC92 (blue), Fu96 (green) and Key02 (yellow). The clear-sky energy flux (W m⁻²) averaged over the four models are shown in a larger font in each bar chart. For example, at SZA = 21° the energy absorbed by clear-sky atmosphere over the altitude range of the cirrus cloud is 98.4 W m⁻². The CRE in Wm⁻² within the cirrus cloud for Solar-J is then $98.4 \times 10.7\%$ (red bar) = +10.5. The value of each bar (%) is also written out immediately above/below the bar in a small font. The y-axes in Figure 6 have different scales at different SZA.

A key cirrus CRE is the increase in albedo, the top-of-atmosphere reflected sunlight, as shown for all models and a range of SZAs in Figure 6 (top row). The percent increase across RRTMG models (13-131%) scales in proportion to τ, with EC92 being the largest and Key02, the smallest. This relative order stays the same across all SZAs, but the range across RRTMG models decreases and the relative percent increases for larger SZA. The 525 Solar-J model also increases in percent with SZA, but the pattern is different from that of RRTMG-SW models. At overhead sun, Solar-J has about the same CRE percent as EC92 even though it has 1.7x greater τ . This can be understood in that Solar-J cirrus is highly forward scattering and less of the scattered light is reflected backward and upward. As the SZA increases to 21-62°, however, the peak in backscatter at 180° becomes less important and Solar-J shifts lower relative to EC92 to look like Fu96. At very large SZA = 84°, with most of the sunlight being scattered at least once within the cloud, the Solar-J model again looks like the largest τ , model EC92. To first order the Solar-J model is calculating the correct SZA dependence of the CRE by using both a more

realistic scattering phase function and 8-stream scattering. The use of Mishchenko's sample T-matrix phase function may not be a perfect choice for cirrus, but it is clearly more realistic than the isotropic scattering used in RRTMG. Solar-J captures the cirrus albedo curve similar to Figure 2 of Mishchenko et al. (1996) for $\tau = 0.1$ in which the slope increases rapidly as cosine (SZA) approaches to 0. While the RRTMG 2-stream models can be tuned to be correct answer at some SZA, they will have errors of 15 W m⁻² at others. The change in surface heating (5th row) looks like the reverse of the top-of-atmosphere bars with similar relative weighting of the RRTMG models. Again, it shows that 2-stream scattering cannot mimic the correct SZA dependence of reduced surface heating under cirrus.

With greater reflection of sunlight, the atmospheric heating above the cloud increases in all cases. With 540 RRTMG the scattered light has only one angle, and thus the above-cloud heating (2nd row of Figure 6) is strictly proportional to the top of atmosphere increases. With Solar-J the reflected light is calculated at four zenith angles with the flux at larger zenith angles producing more heating (i.e., longer slant-path through the atmosphere). This is most apparent in the SZA = 84° case where the low-angle scattering driven by the low solar elevation produces relatively much more atmospheric heating.

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In-cloud heating (3rd row) is expected to be proportional to τ_{abs} at high sun (SZA = 0-62°), and for flux-weighted
555 bins S25-S27 these τ_{abs} are 0.31 (EC92), 0.21 (Solar-J), 0.17 (Key02), and 0.16 (Fu96). While the actual heating
of the cirrus ice particles may be in similar proportionality, all we calculate is the total change of heating over
the in-cloud layers. As seen in Figure 6 there is substantial clear-sky absorption by atmospheric water vapor in
the cloudy layers (~100 W m⁻²) at high sun. Thus, the small perturbation caused by the cloud (<10%, 3rd row)
result from in-cloud heating of ice particles (proportional to τ_{abs}) countered by reduced heating of the water
560 vapor in the region because of the increased upward scattered light (top row). The extreme case of SZA = 84°
has all models calculating 20-40% reductions in heating because of the reduced sunlight.

4 Computational costs

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The major computational costs of Solar-J and similar codes within a chemistry-climate model centers on three key components: matrix operations required for multi-stream scattering; wavelength bins representing the spectrum of optical properties, and approximation of the multitude of independent column atmospheres (ICAs) resulting from a complex overlapping cloud field within a grid cell. What is a reasonable requirement for multistream scattering in a climate model? From this work as well as a history of publications noted above, the analytic 2-stream approximation has errors that cannot simply be corrected or averaged over, that create largescale biases in cloud radiative forcing with latitude, and that significantly misrepresent the direct:diffuse ratio of solar radiation at the surface. The original Fast-J work (Wild et al., 2000) examined a range of multi-stream scattering models and found that for typical clouds, an 8-stream solution was able to match within a few percent that of a hundreds-stream code for the mean intensity above, within and below the cloud. A major advantage of 8-stream was that no δ -scaling is needed and a simply truncated scattering phase function can be used directly. The parent RRTM-SW code has the option of using a more accurate 16-stream scattering code, but would in general be computationally much more expensive than the Solar-J 8-stream. The basic costs of the matrix inversions (Fast-J via Feautrier, 1964) or eigenvalue solutions (RRTM via DISORT, Stamnes et al., 1988) scale as n³. For the same 8-stream solution, DISORT performs eigenvalue decomposition of 8x8 matrices at each level at a cost of order 83, while the Feautrier approach solves the finite-difference equations with 4x4 matrices at split levels for a cost of order 2x4³. As a first guess the Feautrier code should run 4x faster than the equivalent DISORT code. We examine the costs and options of wavelength binning and cloud-field approximations below.

4.1 Solar-J vs. RRMTG-SW

Cloud-J (and hence Fast-J) has been extensively tested in the UCI Irvine Chemistry-Transport Model (CTM).
Cloud-J timings are estimated by comparing full cloud quadrature (2.75 calls per column atmosphere per time step, see below) versus an average-cloud approximation (1 call). We find that 12% of the CTM wall-clock time is spent in Cloud-J using average clouds and 28% when using cloud quadrature. Because the UCI CTM runs a minimalist tropospheric chemistry and a linearized stratospheric chemistry (see Hsu and Prather, 2010), it keeps track of only 32 species. More complete models like Oslo CTM3 (Sovde et al., 2012) and WACCM (Marsh et

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Deleted: We can understand the erratic results of Fu96 (see Figure 5(d) green line and green bars for in-cloud absorption in Figure 6) in that this model's &-scaling selects altitude-dependent g-values (as a function of ice crystal size) while both EC92 and Key02 derive vertically uniform g-values from &-scaling. Thus the Fu96 scattering within the cirrus cloud is very different from the other RRTMG models. Artificially fixing Fu96 g-values at a fixed mean value throughout the cirrus profile recovers a heating profile similar to Key02's in several bands where they have similar t. The lesson here is that the scattering model is critical for calculating in-cloud heating, even for optically thin cirrus.

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al., 2013) calculate transport and chemistry on about 100 species. In CTMs like these, the fractional cost of Cloud-J should be only 4-7%. Comparing Solar-J to Cloud-J in single-atmosphere tests shows what is expected, Deleted: Fast Solar-J costs are 3.5x greater because of the much larger number of spectral bands needed for heating (100 vs 18). A minor feature is that cloudy atmospheres cost about 10% more than clear atmospheres because Cloud-J Deleted: Fast inserts extra layers at the top of clouds to enhance the accuracy of the finite-difference equations. 615 In a series of comparisons on a single-socket multi-threaded CPU, we find that Solar-J takes 5x more wall clock time than RRTMG_zSW. This is not surprising given the cost of solving an 8-stream vs. 2-stream RT problem. Deleted: An additional cost of Solar-J (not included above) is spherical geometry. With RRTMG-SW, 50% of the grid cells are in sunlight and require RT solutions. With Solar-J, however, important photochemistry and solar 620 heating occur in the atmosphere when the surface is past sunset (see Figure 3) involving about 56% of the grid cells, a 12 % increase in radiatively active grid cells. One could expect that RRTMG-SW will correct this error and end up with similar increases in coverage and cost. Deleted: increase Most climate models, even at the highest resolutions, have individual grid cells with fractional, overlapping cloud layers. Although 3D RT models can be used to solve for the average heating and photolysis rates, most climate models decompose the cloud structures into ICAs, for example, through cloud-resolving models (Khairoutdinov et al., 2005) or from cloud fractional coverage and a decorrelation distance for overlapping cloud layers (Prather, 2015). The ICAs are horizontally homogeneous and can be solved using the 1D RT codes of RRTM-SW or Solar-J. Comparisons between Solar-J and RRTMG-SW for clouds in Section 3 are done with a single 1D plane-parallel, ICA-like atmosphere (i.e., 100% cloud fraction in each cloudy layer), an idealized 630 case. Although the different approaches for fractional cloud cover were not directly tested here, it is worth looking at how Solar-J and RRTMG might treat cloud fields in climate models. The Monte Carlo ICA (McICA, Pincus et al., 2003) method selects both ICAs and spectral intervals randomly in each grid square. Every spectral interval is sampled only once, and each may have a different ICA selected according to its fractional area (frequency of occurrence). With 100+ bins-ICA combinations, the ICAs are well sampled, but there may be instances in which a few, key, large-energy bins are not sampled accurately. The McICA approach, when suitably averaged over time, has no mean bias in average heating rates but very large root-mean, square (rms) errors: e.g., ±105 W Deleted: m⁻² in surface heating with SZA = 45°; ±3 K/day in layers with partly cloudy atmospheres (Pincus et al., 2003). It is cost efficient in that each wavelength bin requires only 1 ICA calculation. Solar-J uses cloud quadrature, 640 introduced by Neu et al. (2007), selecting up to 4 cloud profiles (QCAs) based on total optical depth to represent four types of atmospheres: mostly clear, typical cirrus clouds, typical stratus clouds, and very thick frontal or Deleted: atmosphere cumulus clouds. While each grid cell may have up to 4 QCAs, on average there are only 2.75. Solar-J then calculates all wavelength bins using all QCAs to compute the average. Cloud-J (Prather 2015) compared several approximations for calculating average photolysis rates (Js) within a sample of 640 tropical atmospheres Deleted: a number of where the generated number of ICAs per grid cell ranged from 1 to 3,500 and averaged 170. Compared to the 645 Deleted: ranging exact answer defined by separate calculations with all the weighted ICAs, cloud quadrature achieves rms errors in instantaneous cell-averaged Js of 0 to 3% throughout the troposphere, with most levels being 0-1%. When Cloud-J is run selecting random ICAs (using all wavelengths for each ICA, not the McICA approach), 50

random ICAs (18x more cost) are needed to achieve the accuracy of cloud quadrature.

From the point of view of chemistry-climate models, large rms errors in Js cannot be tolerated because the chemistry is non-linear and such errors are not likely to average. In climate models, there are threshold processes, like aerosol and ozone heating preventing cloud formation (e.g., Koch and DelGenio, 2010), for which heating noise may not simply average out. Errors in heating rates do not always have symmetric responses in terms of climate (e.g., Hsu et al., 2013). Although Pincus et al. (2003) tested climate forecasting with an early version of McICA, it is not clear how forecast skill with modern, high-resolution models are impacted by the biases in RRTMG-SW. Alternatively, RRTMG-SW could adopt cloud quadrature with 2.8x greater cost and eliminate most of their rms errors in heating.

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All of these standard features of Solar-J (8-streams, spherical geometry, cloud quadrature) increase the computational cost, but one can argue that the improved fidelity in the solar heating of the atmosphere and radiative forcing of the climate is worth the cost. The question is what fraction of the total computational cost of a climate simulation would be used by Solar-J? If we estimate the fractional cost of RRTMG in a full atmosphere-ocean climate simulation to be 1-3%, then replacing it with Solar-J (5x) and including cloud quadrature (2.8x), would increase this to 13-39%. At the low-end of this range, the substantially improved and less noisy physics is probably worth it; but at the upper-end, it is prohibitive. In either case, it is worthwhile to pursue a range of computer science and algorithmic approaches to reduce these costs as discussed in sections 4.2

675 4.2 Computer science options

and 4.3 below.

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A profiling of the Solar-J code shows that the Fast-J core, consisting of a scattering matrix generator and block-tridiagonal solver, is the dominant cost. These two subroutines are already well optimized in terms of single CPU multi-threading; however, porting Fast-J to computers with graphical processing units (GPUs) has shown promise for greater speed up. One effort targeted a single GPU and demonstrated speedups via CUDA (Compute Unified Device Architecture) tuning of ~50x relative to the CPU time if a large number of column atmospheres (200+) were concurrently evaluated (Artico et al., 2015). Another effort used a field-programmable gate array (FPGA) with the advantage that it applies to a single column calculation. The FPGA resulted in a ~4x speedup and a rather dramatic 35x energy savings compared to the multicore processor computation (Rezai et al., 2016). Fast-J was also optimized for the Xeon Phi on the Babbage test platform at DOE NERSC and achieved ~3x speedups with only a subset of the cores.

Great computational acceleration could be realized with GPU systems when a number of column atmospheres are being simultaneously evaluated. For each grid cell Solar-J calculates about 100 wavelength bins and an average of 2.75 ICAs per grid square. Giving each CPU/GPU node a 3x3 grid cell square (~2,500 column atmospheres) could achieve 10x or greater speedups for Solar-J and be appropriate for a massively parallel climate simulation (e.g., 32,000 nodes for a 50-km global grid). With such speedups, Solar-J costs would be comparable or possibly less than those of the current RRTMG-SW, and thus become a marginal cost in the climate simulation.

695 4.3 Other parameterizations for wavelength bins

Solar-J uses its own optimization of wavelength bins at ultra-violet and visible wavelengths (0.18 to 0.8 µm), which is based on previously established experience with O₂ and O₃ cross sections and the need to calculate accurate J-values. We accept that RRTMG and its parent code RRTM represent current best practice and accuracy in characterizing the absorption of infrared sunlight (0.8 to J2 µm) in the Earth's atmosphere and have adopted the RRTMG-SW code exactly for bins and all gaseous absorbers. Solar-J's computational cost is clearly driven by the additional 82 infrared bins adopted from RRTMG-SW. Alternative methods of parameterizing these infrared bins need to be examined: e.g., 14 bins (Chu, 1992; Grant and Grossman, 1998); 34 bins (Fu and Liou, 1992); 36 bins (Cole, 2005). Any of these would result is a 1.5x to 2.5x savings for Solar-

J. We recognize that the infrared bins adopted in RRTMG-SW are based on accurate representation of the line-by-line calculations, and thus adopting these reduced-bin parameterizations will introduce new errors, but further research will be needed to determine whether these errors maybe an acceptable trade-off for speed gain.

Many of these other parameterizations (e.g., Chu, 1992) are based only on water vapor and do not include the other trace gases that are represented in RRTMG-SW: O_2 in the visible and infrared, CH_4 , and CO_2 . These gases add to the complexity of the RRTM model-SW, and thus we investigate their importance in tropospheric heating rates. For our clear-sky case here (Table 4, Figure 2), we find an average tropospheric heating rate of $24 \, \text{K/day}$. The contribution of CH_4 to this total is 0.1%; that of CO_2 is complex because of the stratospheric self-shielding but is less than $\pm 1\%$ in the troposphere, and that of O_2 is about 3% uniformly throughout the troposphere. If we can find a way of treating the O_2 heating separately, then the effort to find an abbreviated number of spectral intervals can focus on water vapor.

5 Conclusions

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We present a new solar radiation module, Solar-J version 7.5 aims for consistent calculation of atmospheric photolysis and solar heating rates by combining the strengths of both Cloud-J and RRTMG-SW. In a chemistry-climate model, Solar-J supplies the needs of solar heating of the atmosphere and surface, photolysis rates, and photosynthetic activity. Climate models are increasingly including short-lived gases and aerosols as radiative forcing components, and the accurate simulation of these under different climates requires some level of interactive chemistry and photolysis rates.

The components of Solar-J are chosen and balanced to achieve the best possible overall accuracy for a module intended as a standard component of chemistry-climate simulations. From Cloud-J we take the 8-stream scattering model, semi-spherical geometry, ultraviolet transmission, and cloud quadrature. From RRTMG-SW, we take the detailed spectral intervals for the visible and infrared developed from the RRTM reference code. Solar-J matches RRTMG-SW except where the improved physics leads to more accurate results. Selecting the best physics for all these components comes with a cost: A simple comparison shows the cost of Solar-J is 5x that of RRTMG-SW for a single atmosphere, and if the cloud quadrature scheme for overlapping cloud fields (Neu et al., 2007; Prather, 2015) is applied to either code, the cost increases additionally by 2.8x. We show that

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Solar-J can be optimized on GPUs and achieve speeds similar to RRTMG-SW. While this opens up great opportunities for the new generation of high-performance computers, it also complicates the simple implementation of Solar-J in a climate model.

Solar-J is a starting point. In assessing the fidelity of Solar-J in terms of interaction with the many components of the climate system, we can focus on the three major sources of costs/error (spectral intervals, multi-stream radiative transfer, and complex cloud systems) and, in parallel, on the opportunities for accelerated performance with new computational architectures. Ideally, the community would optimize computational costs across

with new computational architectures. Ideally, the community would optimize computational costs across modules to have comparable (small) errors in all. In addition, these parameterization errors need to be evaluated for the impact they might have on climate simulations (e.g., Pincus et al., 2003). For Solar-J, the next steps consist of (i) moving the S17-S18 boundary to the beginning of the O₃ Chappuis absorption near 0.5 μm, and (ii) developing a more realistic and diverse range of cirrus clouds and their optical properties (e.g., Yang et al., 2015). Another inquiry is to test some of the published, simpler models for water vapor absorption against RRTMG-SW. A larger project will be to put Solar-J into a climate model and evaluate how errors in modeling solar radiation may affect the climate simulations.

6 Code availability

The most recent version of Solar-J can be found at anonymous ftp://128.200.14.8/public/junoh/Solar-J/. A complete version of Solar-J code and data, along with some standalone test cases, are included in a zip file as a supplement to this article.

7 Acknowledgement

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Table 1. Some key configuration parameters for Solar-J v7.5 wavelength bins: solar-flux weighted wavelength (λ eff) within the range between λ_{beg} and λ_{emb} , solar fluxes in photons cm² s⁻¹ (S_{phot}) and in Wm⁻² (S_{watt}), Rayleigh cross-section (X_{Rayl}) and yields for photosynthetically active radiation (Y_{par}).

bin	λ _{eff} (μm)	λ _{beg} (μm)	λ _{end} (μm)	S _{phot} (cm ⁻² s ⁻¹)	S _{Watt} (W m ⁻²)	X _{Rayl} (cm ²)	Y _{PAR} (/phot)
S01	0.187			1.391E+12	0.0147	5.073E-25	
S02	0.191			1.627E+12	0.0168	4.479E-25	
S03	0.193			1.664E+12	0.0170	4.196E-25	
S04	0.196			9.278E+11	0.0094	3.906E-25	
S05	0.202			7.842E+12	0.0766	3.355E-25	
S06	0.208			4.680E+12	0.0445	2.929E-25	
S07	0.211			9.918E+12	0.0930	2.736E-25	
S08	0.214			1.219E+13	0.1128	2.581E-25	
S09	0.261			6.364E+14	4.818	1.049E-25	
S10	0.267			4.049E+14	2.962	9.492E-26	
S11	0.277			3.150E+14	2.218	8.103E-26	
S12	0.295	0.2910	0.2982	5.893E+14	3.703	6.135E-26	
S13	0.303	0.2982	0.3074	7.670E+14	4.670	5.424E-26	
S14	0.310	0.3074	0.3124	5.041E+14	3.063	4.925E-26	
S15	0.316	0.3124	0.3203	8.895E+14	5.414	4.516E-26	
S16	0.333	0.3203	0.3450	3.852E+15	22.28	3.644E-26	0.0514
S17	0.383	0.3450	0.4124	1.547E+16	77.17	2.082E-26	0.4855
S18	0.599	0.4124	0.7780	1.805E+17	608.68	4.427E-27	0.6760
S19	0.973	0.778	1.242		349.96	5.380E-28	
S20	1.267	1.242	1.299		25.59	1.559E-28	
S21	1.448	1.299	1.626		102.96	9.578E-29	
S22	1.767	1.626	1.942		56.01	4.241E-29	
S23	2.039	1.942	2.151		22.40	2.347E-29	
S24	2.309	2.151	2.500		23.50	1.441E-29	
S25	2.748	2.500	3.077		20.20	7.290E-30	
S26	3.404	3.077	3.846		12.25	3.117E-30	
S27	5.362	3.846	12		12.58	8.053E-31	

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Table 2. Spectral properties of liquid and ice water clouds and stratospheric sulfate aerosols: the real and imaginary refractive indices (n, and n,), ratio of optical to geometric cross section (Q), single scattering albedo (SSA), and the asymmetry factor (g).

bin	Liquid water cloud: $r_e = 12 \mu m$, $\rho = 1.00 \text{ g cm}^3$ lce water cloud								, ρ = 0.917	g cm ⁻³		
	n _r	n _i	Q	SSA	g	n _r	n _i	Q	SSA	g		
S12	1.350	1.8E-08	2.054	1.0000	0.867	1.336	5.8E-09	2.021	1.0000	0.812		
S13	1.349	1.5E-08	2.053	1.0000	0.869	1.333	5.4E-09	2.021	1.0000	0.812		
S14	1.348	1.4E-08	2.052	1.0000	0.869	1.332	5.1E-09	2.022	1.0000	0.812		
S15	1.347	1.3E-08	2.055	1.0000	0.869	1.331	4.8E-09	2.022	1.0000	0.812		
S16	1.345	9.5E-09	2.057	1.0000	0.869	1.328	4.3E-09	2.023	1.0000	0.812		
S17	1.340	3.4E-09	2.062	1.0000	0.869	1.321	3.0E-09	2.025	1.0000	0.812		
S18	1.333	3.1E-08	2.089	1.0000	0.863	1.310	1.7E-08	2.034	1.0000	0.812		
S19	1.328	2.8E-06	2.118	0.9996	0.858	1.302	1.7E-06	2.047	0.9991	0.812		
S20	1.324	1.2E-05	2.144	0.9986	0.852	1.297	1.3E-05	2.055	0.9946	0.812		
S21	1.321	1.6E-04	2.155	0.9851	0.854	1.293	2.4E-04	2.060	0.9246	0.812		
S22	1.313	3.2E-04	2.179	0.9752	0.852	1.284	2.2E-04	2.069	0.9413	0.812		
S23	1.302	9.2E-04	2.197	0.9427	0.858	1.272	1.2E-03	2.076	0.7876	0.812		
S24	1.283	6.7E-04	2.220	0.9610	0.855	1.251	4.7E-04	2.083	0.9088	0.812		
S25	1.239	1.0E-01	2.211	0.4979	0.970	1.125	1.0E-01	2.071	0.5107	0.812		
S26	1.428	5.1E-02	2.268	0.5240	0.939	1.496	1.6E-01	2.102	0.5408	0.812		
S27	1.317	2.2E-02	2.409	0.6809	0.861	1.326	2.9E-02	2.144	0.5245	0.812		
bin	Strat	sulf, volc.: r	=0.422 μ	m, ρ=1.69	g cm ⁻³	Strat sulf, bkgrd: $r_e = 0.130 \mu m$, $\rho = 1.69 g cm^{-3}$						
	n _r	n _i	Q	SSA	g	n_r	n _i	Q	SSA	g		
S05	1.505	0.0E+00	2.612	1.0000	0.732	1.505	0.0E+00	2.966	1.0000	0.698		
S06	1.505	0.0E+00	2.638	1.0000	0.728	1.505	0.0E+00	2.936	1.0000	0.698		
S07	1.505	0.0E+00	2.620	1.0000	0.735	1.505	0.0E+00	2.919	1.0000	0.699		
S08	1.505	0.0E+00	2.628	1.0000	0.734	1.505	0.0E+00	2.904	1.0000	0.699		
S09	1.472	0.0E+00	2.604	1.0000	0.718	1.472	0.0E+00	2.435	1.0000	0.711		
S10	1.469	0.0E+00	2.606	1.0000	0.710	1.469	0.0E+00	2.379	1.0000	0.711		
S11	1.464	0.0E+00	2.556	1.0000	0.707	1.464	0.0E+00	2.271	1.0000	0.711		
S12	1.456	0.0E+00	2.500	1.0000	0.695	1.456	0.0E+00	2.087	1.0000	0.709		
S13	1.452	0.0E+00	2.474	1.0000	0.690	1.452	0.0E+00	1.998	1.0000	0.708		
S14	1.451	0.0E+00	2.461	1.0000	0.686	1.451	0.0E+00	1.940	1.0000	0.706		
S15	1.451	0.0E+00	2.449	1.0000	0.683	1.451	0.0E+00	1.892	1.0000	0.704		
S16	1.450	0.0E+00	2.432	1.0000	0.676	1.450	0.0E+00	1.766	1.0000	0.698		
S17	1.445	0.0E+00	2.475	1.0000	0.675	1.445	0.0E+00	1.432	1.0000	0.683		
S18	1.431	1.7E-08	3.017	1.0000	0.723	1.431	1.7E-08	0.620	1.0000	0.593		
S19	1.424	1.5E-06	2.212	1.0000	0.663	1.424	1.5E-06	0.193	1.0000	0.434		
S20	1.417	8.6E-06	1.431	0.9999	0.605	1.417	8.6E-06	0.090	0.9998	0.336		
S21	1.430	9.4E-05	1.173	0.9988	0.570	1.430	9.4E-05	0.065	0.9972	0.291		
S22	1.422	4.7E-04	0.724	0.9910	0.511	1.422	4.7E-04	0.033	0.9782	0.225		
S23	1.410	1.3E-03	0.475	0.9672	0.456	1.410	1.3E-03	0.021	0.9184	0.182		
S24	1.388	2.1E-03	0.305	0.9288	0.397	1.388	2.1E-03	0.013	0.8166	0.148		
S25	1.319	5.1E-02	0.253	0.3855	0.302	1.319	5.1E-02	0.040	0.0768	0.106		
S26	1.366	1.7E-01	0.424	0.1714	0.214	1.366	1.7E-01	0.098	0.0219	0.074		
S27	1.406	2.1E-01	0.274	0.0744	0.091	1.406	2.1E-01	0.073	0.0066	0.033		

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Table 3. The standard tropical atmosphere and the two cloud profiles implemented in both Solar-J and RRTMG. Height (Z) and pressure (P) are edge values; others are layer averages.

Layer	Z _{edge} (km)	P _{edge} (hPa)	T (K)	O ₃ (cm ⁻³)	H_2O	Stratus C		Cirrus	
					(kg/kg)	LWC (g m ⁻³)	r _e (μm)	IWC (g m	³) r _e (μm)
58	75.25	0.020							
57	59.58	0.200	232.4	1.27E+09	3.85e-06	0	0	0	0
56	54.95	0.384	242.4	1.17E+10	3.85e-06	0	0	0	0
55	51.11	0.636	259.9	2.81E+10	3.85e-06	0	0	0	0
54	47.91	0.956	268.1	5.79E+10	3.82e-06	0	0	0	0
53	45.25	1.345	266.9	1.07E+11	3.78e-06	0	0	0	0
52	42.97	1.806	263.9	1.84E+11	3.63e-06	0	0	0	0
51	40.97	2.348	259.9	3.01E+11	3.42e-06	0	0	0	0
50	39.18	2.985	255.2	4.66E+11	3.20e-06	0	0	0	0
49	37.52	3.740	250.7	6.78E+11	3.00e-06	0	0	0	0
48	35.96	4.646	245.1	9.63E+11	2.74e-06	0	0	0	0
47	34.46	5.757	240.3	1.30E+12	2.55e-06	0	0	0	0
46	32.97	7.132	237.2	1.70E+12	2.47e-06	0	0	0	0
45	31.50	8.837	234.3	2.20E+12	2.42e-06	0	0	0	0
44	30.04	10.95	231.6	2.87E+12	2.27e-06	0	0	0	0
43	28.61	13.57	228.7	3.56E+12	2.18e-06	0	0	0	0
42	27.20	16.81	225.2	4.24E+12	2.11e-06	0	0	0	0
41	25.81	20.82	221.4	4.88E+12	2.04e-06	0	0	0	0
40	24.45	25.80	216.0	4.67E+12	1.90e-06	0	0	0	0
39	23.12	31.96	211.9	4.36E+12	1.87e-06	0	0	0	0
38	21.80	39.60	211.4	3.93E+12	1.85e-06	0	0	0	0
37	20.48	49.07	209.8	3.31E+12	1.84e-06	0	0	0	0
36	19.25	60.18	205.9	2.01E+12	1.90e-06	0	0	0	0
35	18.10	73.07	202.2	1.47E+12	2.04e-06	0	0	0	0
34	17.05	87.73	196.6	1.02E+12	3.27e-06	0	0	1.10E-06	6.99
33	16.08	104.2	191.1	4.10E+11	3.49e-06	0	0	5.88E-05	17.45
32	15.17	122.6	192.1	4.06E+11	4.76e-06	0	0	1.32E-04	21.03
31	14.28	142.8	197.6	3.25E+11	9.07e-06	0	0	3.49E-04	26.29
30	13.43	165.0	203.6	3.28E+11	1.83e-05	0	0	8.40E-04	32.17
29	12.59	188.9	209.8	3.23E+11	3.54e-05	0	0	1.02E-03	33.66
28	11.78	214.6	216.6	3.45E+11	6.38e-05	0	0	1.46E-03	36.54
27	11.00	242.1	223.4	3.55E+11	1.12e-04	0	0	2.01E-03	39.31
26	10.23	271.2	230.0	3.88E+11	1.95e-04	0	0	2.19E-03	40.12
25	9.48	302.1	236.2	4.29E+11	3.21e-04	0	0	3.41E-03	44.39
24	8.76	334.6	242.4	4.66E+11	4.94e-04	0	0	1.92E-04	22.90
23	8.06	368.6	248.2	5.02E+11	7.14e-04	0	0	3.35E-04	26.03
22	7.38	403.9	253.4	5.40E+11	9.80e-04	0	0	1.85E-05	13.38

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21	6.73	440.3	258.1	5.80E+11	1.31e-03	0	0	2.59E-07	5.01
20	6.10	477.5	262.2	6.21E+11	1.78e-03	0	0	8.58E-08	3.89
19	5.51	515.4	266.0	6.22E+11	2.37e-03	0	0	2.13E-07	4.79
18	4.94	553.7	269.6	6.46E+11	3.13e-03	0	0	5.98E-08	3.58
17	4.41	591.9	272.7	6.84E+11	4.02e-03	0	0	0	0
16	3.91	629.9	275.3	7.23E+11	5.14e-03	0	0	0	0
15	3.44	667.2	278.0	6.80E+11	6.31e-03	0	0	0	0
14	3.00	703.7	280.8	6.19E+11	7.49e-03	0	0	0	0
13	2.60	738.9	282.9	6.46E+11	8.67e-03	0	0	0	0
12	2.22	772.7	284.9	6.72E+11	1.00e-02	0	0	0	0
11	1.88	804.6	286.9	6.97E+11	1.17e-02	2.66E-02	9.60	0	0
10	1.57	834.6	288.6	7.20E+11	1.35e-02	2.05E-02	9.60	0	0
9	1.30	862.3	290.3	7.41E+11	1.45e-02	8.66E-02	9.60	0	0
8	1.05	887.6	291.8	6.30E+11	1.54e-02	1.21E-01	9.60	0	0
7	0.83	910.3	293.0	6.22E+11	1.62e-02	9.67E-02	9.60	0	0
6	0.64	930.3	294.2	6.34E+11	1.71e-02	4.22E-02	9.60	0	0
5	0.49	947.7	295.3	6.45E+11	1.79e-02	1.53E-02	9.60	0	0
4	0.35	962.3	296.1	6.54E+11	1.84e-02	6.62E-03	9.60	0	0
3	0.25	974.3	296.7	6.62E+11	1.89e-02	3.01E-03	9.60	0	0
2	0.10	990.9	297.7	6.69E+11	1.98e-02	5.69E-04	9.60	0	0
1	0.00	1002.0	298.9	6.76E+11	2.09e-02	1.56E-04	9.60	0	0

Table 4. Spectral shortwave radiation energy budget in Wm⁻² under clear aerosol-free July conditions: Solar-J versus RRTMG. The solar constant is set at 1360.8 W m⁻². For easy comparison, some Solar-J bins are combined to best match RRTMG's band of similar range and vice versa.

Table 4a. Clear-Sky Solar Radiation Budget Comparison (W m ⁻²)									
Solar-J S-bins	S1-S4	S5-S9	S10-S16	S17	S18				
λ(nm)	177-200	200-275	275-345	345-412	412-778				
TOA(down)	0.06	5.14	44.31	77.17	608.68				
TOA(up)	0.00	0.01	7.52	16.89	54.36				
Atmosphere	0.06	5.14	18.01	0.05	31.96				
-Stratosphere	0.06	5.14	16.97	0.04	9.41				
-Troposphere	0.00	0.00	1.04	0.01	22,55				
Surface	0.00	0.00	18.78	60.23	522 <u>.43</u>				
RRTMG Bands	/	B28	B27	B26	B25+B24				
λ(nm)	/	200-263	263-345	345-442	442-778				
TOA(down)	/	3.06	49.88	128.79	562.34				

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TOA(up)	/	0.02	7.37	25.75	50.44
Atmosphere	/	3.05	23.24	0.00	28.77
-Stratosphere	/	3.04	22.11	0.00	8.60
-Troposphere	/	0.01	1.13	0.00	<u> 20.17</u>
Surface	1	0.00	19.29	103.04	<u>483.13</u>
Table 4b.	Clear-Sky S	Solar Radiati	on Budget C	omparison (W m ⁻²)
Solar-J S-bins	S19	S20	S21	S22	S23
$\lambda(\mu m)$	0.78-1.24	1.24-1.30	1.30-1.63	1.63-1.94	1.94-2.15
TOA(down)	349.96	25.59	102.96	56.01	22.40
TOA(up)	15.33	1.28	2.17	1.43	0.6
Atmosphere	87 <u>94</u>	2.36	60 <u>53</u>	29.41	9.48
-Stratosphere	0.00	0.12	0.29	0.20	0.41
-Troposphere	87. <u>95</u>	2.23	60. <u>24</u>	29 <u>.21</u>	9.07
Surface	246.69	21.96	40.25	25,17	12,32
RRTMG Bands	B23	B22	B21	B20	B19
$\lambda(\mu m)$	0.78-1.24	1.24-1.30	1.30-1.63	1.63-1.94	1.94-2.15
TOA(down)	343.86	24.16	102.37	55.32	22.31
TOA(up)	14.91	1.20	2.03	1.40	0.57
Atmosphere	86.60	2.22	61.73	29,09	9,52
-Stratosphere	0.00	0.12	0.30	0.20	0.42
-Troposphere	86. <u>60</u>	2.10	61.43	28. <mark>89</mark>	9. <u>11</u>
Surface	242.35	20.74	38.61	24.85	12,22
Table 4c.	Clear-Sky S	Solar Radiati	on Budget C	omparison (W m ⁻²)
Solar-J S-bins	S24	S25	S26	S27	All bands
$\lambda(nm)$	2.15-2.50	2.50-3.08	3.08-3.85	3.85-12	0.18-12
TOA(down)	23.50	20.20	12.25	12.58	1360.80
TOA(up)	0.75	0.00	0.19	0.05	100 <u>53</u>
Atmosphere	8.32	20.17	7.28	10,36	291 <u>,06</u>
-Stratosphere	0.07	1.65	0.17	1.28	35.80
-Troposphere	8.25	18.52	7.11	9. <u>08</u>	255 <u>.26</u>
Surface	14.43	0.03	4.78	2,17	969.23
RRTMG Bands	B18	B17	B16	B29	All bands
λ(nm)	2.15-2.50	2.50-3.08	3.08-3.85	3.85-12	0.20-12
TOA(down)	23.60	20.25	12.04	12.82	1360.80

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TOA(up)	0.76	0.00	0.18	0.05	104.54
Atmosphere	7 <u>.96</u>	20.22	7.17	10.57	290 <u>27</u>
-Stratosphere	0.06	1.66	0.16	1.30	37.91
-Troposphere	7.84	18.56	7.01	9.26	252. <u>36</u>
Surface	14.88	0.03	4.69	2.22	965.55

Table 5. Radiation budget comparison $(W m^2)$ between Solar-J and RRTMG-SW for top-of-atmosphere (TOA), atmosphere, and surface across four SZAs. Also shown is the cloud radiative effect (CRE) of a typical marine stratus cloud, for which the atmospheric absorption is split into above-cloud, in-cloud, and below-cloud.

SZA	0°		21 <u>.2</u> °		62 <u>.2</u> °		84 <u>.0</u> °		
Flux (Wm ⁻²)	136	0.8	1268.4 634.2 149.		9.1				
Clear-Sky Radiation Bu	Clear-Sky Radiation Budget (W m ⁻²)								
	Solar-J	RRTMG	Solar-J	RRTMG	Solar-J	RRTMG	Solar-J	RRTMG	
TOA(up)	100_5	104 <u>.7</u>	96 <u>.</u> 2	100_3	63.9	67.3	28.2	28.5	
Atmosphere	291.1	290.1	276 <u>.6</u>	<u>275.3</u>	166 <u>-5</u>	164 . 0	56 <u>,6</u>	<u>54.9</u>	
Surface	969.2	<u>966.1</u>	895.7	892.8	<u>403.8</u>	402.2	64 <u>,3</u>	65 <u>,6</u>	
Cloud Radiative Effect	CRE) of a Marine	Stratus Cloud (Wr	n ⁻²)						
	Solar-J	RRTMG	Solar-J	RRTMG	Solar-J	RRTMG	Solar-J	RRTMG	
TOA	+473.4	+459.4	<u>452</u> .7	+441.2	+262.7	+255.8	+52.2	+50.3	
Atmosphere	+87.8	+88.2	+ J 77.7	+78.2	+20.3	+18.5	-3 .2	-3 .3	
Above-cloud	+ <u>21.1</u>	+23.8	+ _1 7.7	+22.8	+ <u>11.3</u>	+ <u>12.0</u>	+3.0	+1.6	
In-cloud	+77.5	+ <u>73</u> .7	+ <u>70.5</u>	+ <u>64.7</u>	+ <u>16</u> .1	+ _12 .9	-3 .9	-3 .0	
Below-cloud	- <u>10.</u> 8 _v	<u>-9.3</u>	- 10.6	<u>-9.2</u>	- 7.1	- <u>6.</u> 4 _▼	-2 .2	-1.8	
Surface	<u>-561.2</u>	<u>-547.6</u>	- 530.4	- 519.4	-2 82.9	-274.4	-49.1	-47.0	

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Table 6: Cirrus ice cloud optical properties: total optical depth τ for Solar-J and δ -scaled τ' for RRTMG-SW, asymmetry factor g, and absorption optical depth, τ_{abs} for bins S18 to S27. See Table 1 for wavelength ranges and RRTMG-SW-equivalent bins.

Sbins	S18	S19	S20	S21	S22	S23	S24	S25	S26	S27	
λ_{eff}	599nm	973nm	1.27μm	1.45µm	1.77μm	2.04µm	2.31μm	2.75μm	3.40µm	5.36µm	
Total Optical Depth ($ au$ for Solar-J and reduced $ au$ for RRTMG schemes)											
Solar-J	0.4287	0.4322	0.4345	0.4360	0.4383	0.4404	0.4425	0.4380	0.4470	0.4591	
EC92	0.2488	0.2462	0.2462	0.2385	0.2385	0.2276	0.2276	0.3313	0.3313	0.3313	
Fu96	0.1535	0.1581	0.1563	0.1627	0.1640	0.1575	0.1932	0.3709	0.3382	0.3177	
Key02	0.0923	0.0943	0.0950	0.1041	0.1032	0.1277	0.1065	0.1783	0.2159	0.2266	
Asymmetry Factor, g = A ₁ /3											
Solar-J	0.7643	0.7642	0.7641	0.7640	0.7639	0.7639	0.7638	0.7639	0.7635	0.7631	
EC92	0.4406	0.4425	0.4425	0.4484	0.4484	0.4579	0.4579	0.4907	0.4907	0.4907	
Fu96	0.4591	0.4680	0.4803	0.4987	0.5168	0.5670	0.5870	0.6744	0.3411	0.0000	
Key02	0.4694	0.4692	0.4691	0.4707	0.4707	0.4757	0.4731	0.4866	0.4807	0.4858	
Total absorbing optical depth (au_{abs})											
Solar-J	0.0000	0.0003	0.0018	0.0257	0.0201	0.0758	0.0317	0.2163	0.2075	0.2126	
EC92	0.0000	0.0004	0.0004	0.0247	0.0247	0.0558	0.0558	0.3063	0.3063	0.3063	
Fu96	0.0000	0.0003	0.0022	0.0232	0.0231	0.0743	0.0289	0.1294	0.1743	0.1972	
Key02	0.0000	0.0001	0.0011	0.0178	0.0162	0.0619	0.0290	0.1491	0.1788	0.1983	

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Solar-J spectrum: Merging Fast-J and RRTMG

Fast-J (Wild et. al, 2000)	Bins 1-17 (177-412 nm)	Bin-18 (412-850 nm)					
Cloud-J v7.4 (Prather, 2015)	Bins 1-17 (177-412 nm)	Bin-18 (412-778					
Solar-J v7.5 (S-bins) (this study)	Bins 1-17 (177 - 412 nm)	Bin-18 ^a (412-778 nm) Cloud-J's O ₃ + weak O ₂ +H ₂ O (1 sub-bin)	Bin-18 ^b (442-778 nm) Surface H ₂ O+O ₂ (4 sub-bins)	Bins 19-27 (778-12195 nm) (78 sub-bins)			
RRTMG (Mlawer et. al, 1997)	Band No. 26-28 (200- 442 nm) (20 sub-bins)		Bands 24&25 (442-778 nm) (14 sub-bins)	Bands 16-23+ Band 29 (778-12195 nm) (78 sub-bins)			

Figure 1. Solar-J extends Cloud-J's solar wavelength bands by combining and modifying RRTMG-SW's band 24 and 25 (442-778 nm) and adopts all RRTMG's bands longwards of 778 nm (see text for detail).

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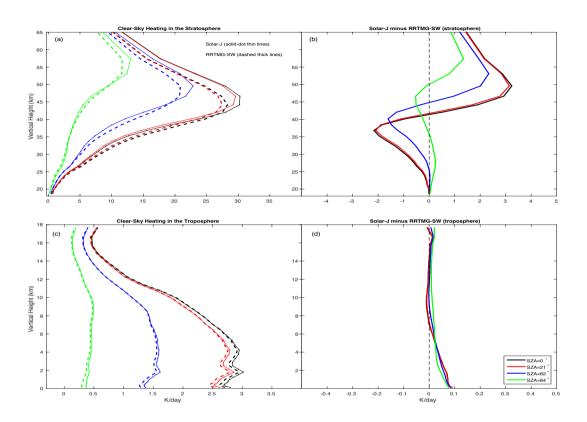


Figure 2_AAerosol-free cloudless atmospheric heating profiles of Solar-J (solid lines) and RRTMG-SW (dashed lines) and the difference, Solar-J minus RRTMG, for a typical July tropical atmosphere at 4 solar zenith angles with Lambertian surface albedo = 0.06 (left and right sides). The plot is further split into the stratosphere and the troposphere (top and bottom rows). Note that the scale of the x-axis, K/day, is 10 times larger for the stratosphere on the top panels.

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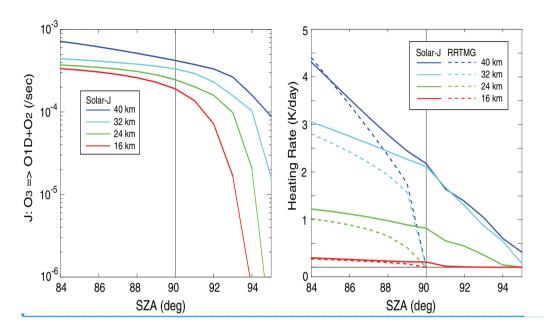


Figure 3: Ozone photolysis rates (I_{O3}) from Solar-J (left panel) and the corresponding atmospheric heating rates under clear sky (right panel) from Solar-J (solid lines) and RRTMG-SW (dashed lines) for large solar zenith angles at 4 different altitudes. RRTMG's heating rates reduce to zeros at SZA= 90° due to the lack of sphericity correction in the plane-parallel approximation; whereas the impact of sphericity on the direct solar beam path is included in Solar-J.

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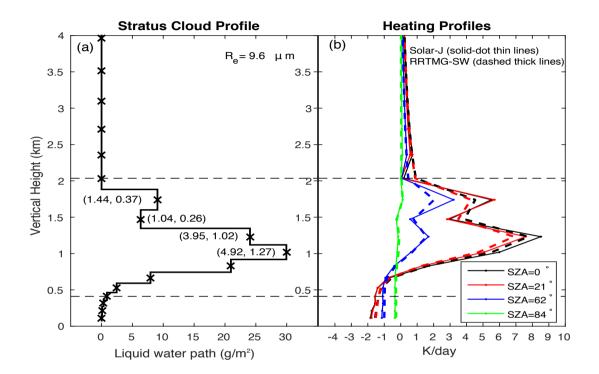


Figure 4: (a) Marine stratus cloud profile in terms of liquid water path (LWP, $g m^{-2}$) and effective radius ($r_e \mu m$). The optical depth and δ -scaled optical depth (τ , τ') are shown in parentheses for the top five cloud layers. (b) Cloud heating profiles from Solar-J (solid lines) and RRTMG-SW (dashed lines) at four SZAs.

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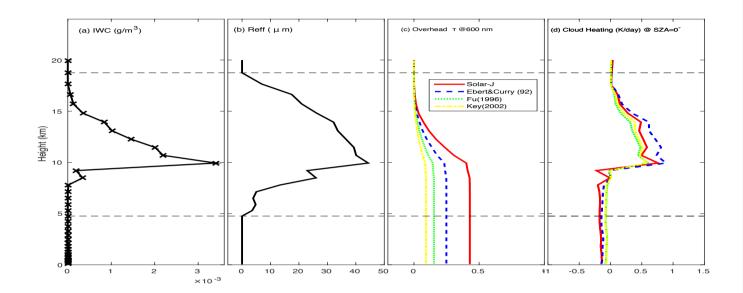


Figure 5: (a) Profiles of ice water content (IWC, g m⁻³) and (b) effective radius (r_e μ m) as prescribed for both Solar-J and RRTMG-SW. The in-cloud region, about 4-18 km, is enclosed by two horizontal dashed lines. (c) Profiles of cumulative optical depth τ at 600 nm from Solar-J and from the 3 RRTMG-SW parameterizations for which τ is δ -scaled. (d) Cirrus cloud heating rate profiles (K/day) at SZA=0°.

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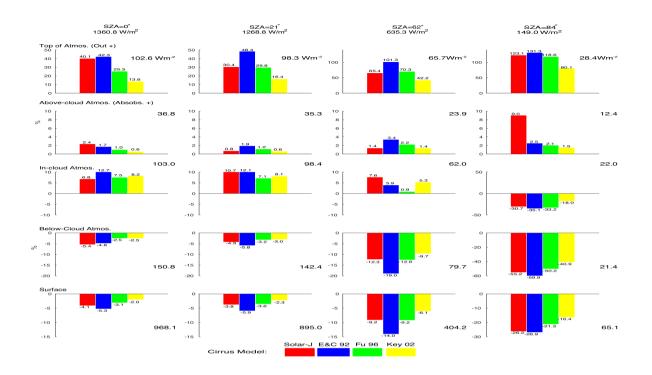


Figure 6. Percent changes (%) in shortwave radiation energy budget relative to the aerosol-free clear sky (surface albedo = 0.06) caused by a cirrus cloud using four different models: Solar-J and the three RRTMG-SW parametrizations for ice clouds. Results are shown for 4 different solar zenith angles. Changes in the vertical column are divided into 5 regions: top of atmosphere, atmospheres above, within and below the cirrus cloud, and at the surface. Single numbers in bold shown in the corner of each panel are the clear-sky energy budget in W m⁻² averaged over Solar-J and RRTMG-SW for each region. Percentage changes are also shown in text at the end of each color bar. Note that different y-axis scales have been used for large SZAs_q

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Table 1

Table 1: Some key configuration parameters for Solar-J v7.5 wavelength bins: solar-flux weighted wavelength (λ_{eff}) within the range between λ_{beg} and λ_{end} , solar fluxes in photons cm⁻² s⁻¹ (S_{phot}) and in Wm⁻² (S_{watt}), Rayleigh cross-section (X_{Rayl}) and yields for photosynthetically active radiation (Y_{par}).

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Table 2

Table 2. Spectral properties for liquid and ice water clouds and stratospheric sulfate aerosols: the real and imaginary refractive indices (n_r and n_i), ratio of optical to geometric cross section (Q), single scattering albedo (SSA), and the asymmetry factor (g)

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Table 3

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Table 3: Standard tropical atmosphere and the two Height (Z) and pressure (P) are edge values; other		
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Table 4

Table 4. Spectral shortwave radiation energy budget in Wm⁻² under clear aerosol-free July conditions: Solar-J versus RRTMG. The solar constant is set at 1360.8 W m⁻². For easy comparison, some Solar-J bins are combined to best match RRTMG's band of similar range and vice versa.

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Table 5. Comparison of Solar-J and RRTMG for top-of-atmosphere (TOA), atmosphere, and surface radiation			
budgets (W m ⁻²) across four SZAs. Also shown is the cloud radiative effect (CRE) of a typical marine stratus			
cloud, for which the atmospheric absorption is split into above-cloud, in-cloud, and below-cloud.			

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Table 6

Table 6. Cirrus ice cloud optical properties: total optical depth τ for Solar-J and δ -scaled τ' for RRTMG, asymmetry factor g, and absorption optical depth, τ_{abs} for bins S18 to S27. See Table 1 for wavelength ranges and RRTMG-equivalent bins.

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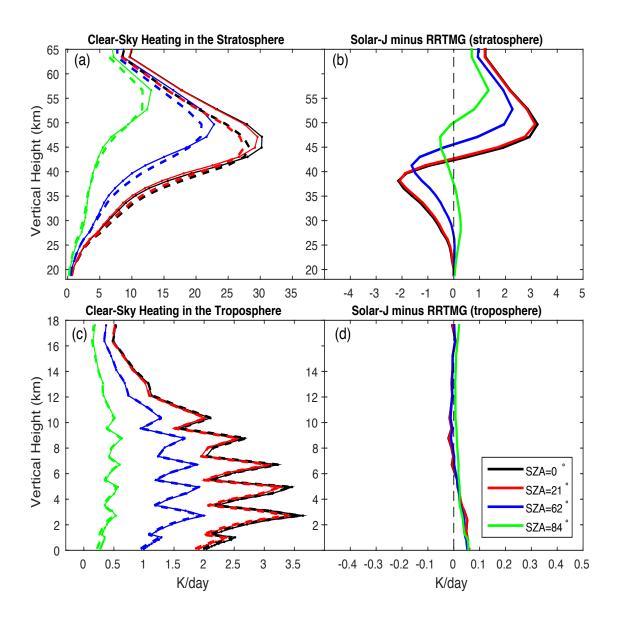
Solar-J spectrum: Merging Fast-J and RRTMG

Fast-J (Wild et. al, 2000)	Bins 1-17 (177-412 nm)	Bin-18 (412-850 nm)		
Cloud-J (Prather, 2015)	Bins 1-17 (177-412 nm)	Bin-18 (412-778 nm)		
Solar-J (S-bins) (this study)	Bins 1-17 (177 - 412 nm)	Bin-18 ^a (412-778 nm) Fast-J's O ₃ (+ weak O ₂ +H ₂ O) (1 sub-bin)	Bin-18 ^b (442-778 nm) Surface H ₂ O+O ₂ (4 sub-bins)	Bins 19-27 (778-12195 nm) (78 sub-bins)
RRTMG (Mlawer et. al, 1997)	Band No. 26-28 (200- 442 nm) (20 sub-bins)		Bands 24&25 (442-778 nm) (14 sub-bins)	Bands 16-23+ Band 29 (778-12195 nm) (78 sub-bins)

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Figure 1

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Figure 2.

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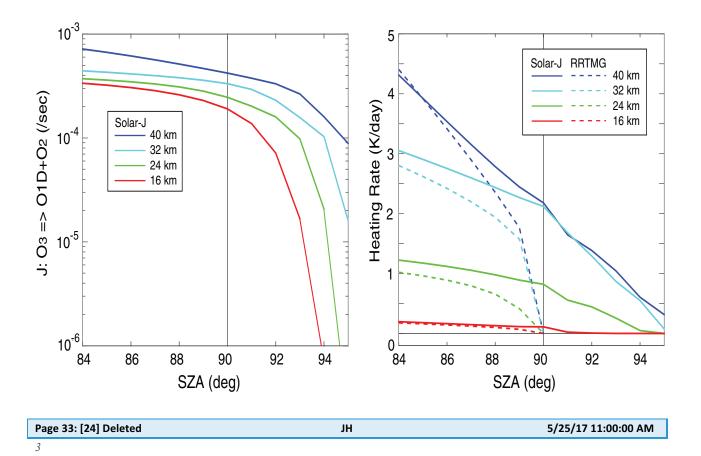
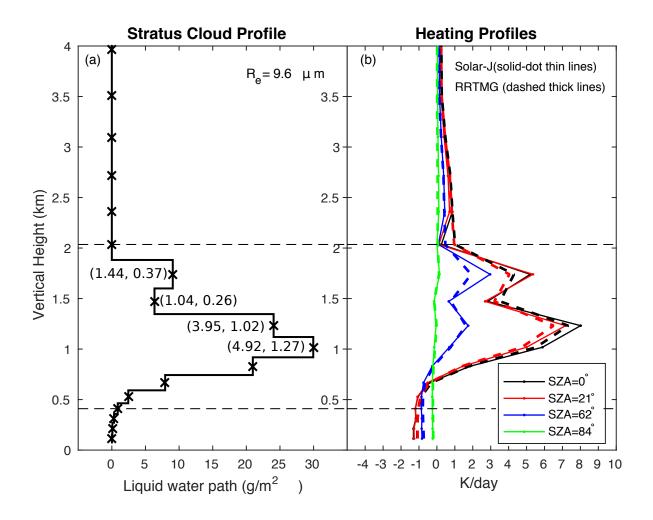


Figure 3.

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Figure 5.

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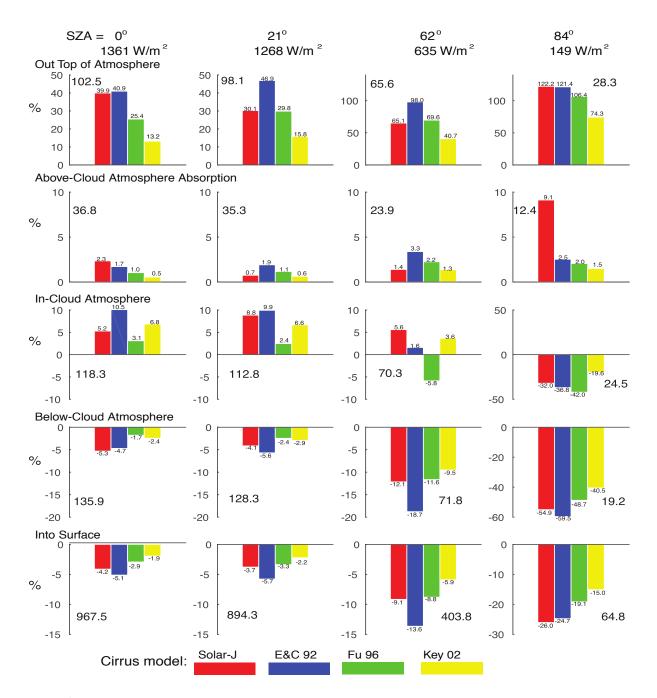


Figure 6