Improved regional scale groundwater representation by the coupling of the mesoscale Hydrologic Model (mHM v5.7) to the groundwater model OpenGeoSys (OGS)

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Most large-scale hydrologic models fall short in reproducing groundwater head dynamics due to their over-simplified representation of groundwater flow. In this study, we aim to extend the applicability of the mesoscale Hydrologic Model (mHM v5.7) to subsurface hydrology by coupling it with the porous media simulator OpenGeoSys (OGS). The two models are oneway coupled through model interfaces GIS2FEM and RIV2FEM, by which grid-based vertical fluxes generated by mHM, are

- 5 way coupled through model interfaces GIS2FEM and RIV2FEM, by which grid-based vertical fluxes generated by mHM, are converted into upper-surface boundary conditions of the groundwater model OGS. Specifically, the grid-based vertical reservoirs in mHM are completely preserved for the estimation of land-surface fluxes, while OGS acts as a plug-in to the original mHM modeling framework for groundwater flow and transport modeling. The applicability of the coupled model (mHM#OGS v1.0) is evaluated by a case study in the central European meso-scale river basin - Nägelstedt. Different time steps, i.e. daily
- 10 in mHM and monthly in OGS, are used to account for fast surface flow and slow groundwater flow. Model calibration is conducted following a two-step procedure using discharge and long-term mean of groundwater head measurements, respectively. Based on the model summary statistics, namely the Nash–Sutcliffe model efficiency (NSE), the Pearson correlation coefficient R_{cor}, and the inter-quartile range error QRE, the coupled model is able to satisfactorily represent the dynamics of discharge and groundwater heads at several locations across the study basin. Our exemplary calculations show that the coupled model
- 15 can take advantage of the spatially explicit modeling capabilities of surface and groundwater hydrologic models, and provide us with adequate representation of the spatio-temporal behaviors of groundwater storage and heads, thus making it a valuable tool for addressing water resources and management problems.

1 Introduction

Historically, large-scale hydrologic models are developed to predict river discharge. Most of these models use simple buckettype expressions combined with several vertical water storage layers to describe near-surface water flow (Refsgaard and Storm, 1995; Wood et al., 1997; Koren et al., 2004; Samaniego et al., 2010; Niu et al., 2011). Moreover, due to the limitation in

5 computational capability, all traditional hydrologic models simplify water flow processes by ignoring lateral groundwater flow. Thus, such models inevitably fall short of characterizing subsurface groundwater dynamics (Beven et al., 1984; Liang et al., 1994; Clark et al., 2015).

The implicit groundwater representations in traditional hydrologic models are inadequate in many aspects. Depth to groundwater has a strong influence on near-surface water processes such as evapotranspiration (Chen and Hu, 2004; Yeh and Eltahir,

- 10 2005; Koirala et al., 2014). Moreover, fluctuations in the water table are known as a factor that affects runoff generation and thus their adequate representation in hydrologic models influences the prediction ability of catchment runoff (Liang et al., 2003; Chen and Hu, 2004; Koirala et al., 2014). Typical hydrologic models are also demonstrably inadequate at simulating solute transport and retention at the catchment scale. For example, Van Meter et al. (2017) found that current nitrogen fluxes in rivers can be dominated by groundwater legacies. An over-simplified groundwater representation is inadequate for understand-
- 15 ing travel-time distributions (TTDs) at a catchment scale and is therefore incapable of describing such legacy behavior (Botter et al., 2010; Benettin et al., 2015, 2017). Moreover, stream-subsurface water interactions may be significant in modulating the human and environmental effects of nitrogen pollution (Azizian et al., 2017). Finally, to assess the response of groundwater to climate change, a more accurate groundwater representation including lateral subsurface flow is urgently needed (Scibek and Allen, 2006; Green et al., 2011; Ferguson et al., 2016).
- In parallel, numerous groundwater models have been developed, which allow for both steady-state and transient groundwater flow in three dimensions, with complex boundaries and a complex representation of sources and sinks. A variety of numerical codes are available such as MODFLOW (Harbaugh et al., 2000), FEFLOW (Diersch, 2013), and OpenGeoSys (Kolditz et al., 2012). Groundwater models usually represent subsurface flow by Darcy's law substituted into the continuity equation, but fall short in providing good representation of surface and shallow soil processes. For example, models for predicting groundwater
- 25 storage changes under either climate change (e.g., global warming) or human-induced scenarios (e.g., agricultural pumping) often use a constant or linear expression to represent spatially distributed recharge (Danskin, 1999; Selle et al., 2013). More-over, parameterization of topographical and geological parameters is a big challenge due to the strong spatial and temporal heterogeneity and lack of data (Moore and Doherty, 2006; Arnold et al., 2009).
- In recent years, many integrated surface/subsurface hydrologic models (ISSHMs) have been developed. ISSHMs commonly focus on the comprehensive treatment of both surface flow processes (e.g., 1-D or 2-D overland flow) and subsurface flow processes (e.g., 1-D or 3-D Richards flow) using a two-way coupling procedure (Paniconi and Putti, 2015). Some well- recognized ISSHMs are InHM (VanderKwaak and Loague, 2001; Smerdon et al., 2007), Parflow (Maxwell and Miller, 2005; Maxwell et al., 2015), OpenGeoSys (Delfs et al., 2012; Kolditz et al., 2012), tRIBS (Ivano et al., 2004), CATHY (Camporese et al., 2010), GSFLOW (Markstrom et al., 2008; Hunt et al., 2013), HydroGeoSphere (Therrien et al., 2010; Hwang et al.,

2014), MIKE SHE (Graham and Butts, 2005), MODHMS (Panday and Huyakorn, 2004; Phi et al., 2013), GEOtop (Rigon et al., 2006), IRENE (Spanoudaki et al., 2009), CAST3M (Weill et al., 2009), PIHM (Kumar et al., 2009; Qu and Duffy, 2007), and PAWS (Shen and Phanikumar, 2010). Although the methods for subsurface flow in ISSHMs are commonly based on saturated/unsaturated groundwater flow equations, the approaches for surface flow are inevitably based on some approxi-

- 5 mations and conceptualizations (e.g., diffusive-wave approximation, 1-D rill flow). The applications of these ISSHMs in the literature mainly focus on the field- and small watershed-scale, while assessments of modeled groundwater head dynamics at larger scales can only be found in very few publications (Goderniaux et al., 2009; Sutanudjaja et al., 2011). At this larger, i.e., regional, scale most of the ISSHMs are based on a continuity of pressure and flux on the surface water/groundwater (SW/GW) interface, while the momentum balance condition is always neglected (Paniconi and Putti, 2015). ISSHMs relying
- 10 on shallow-water and the Richards equation assumptions often encounter problems in simulating quick flow dependent on essentially unknown sub-grid-scale topographic variability and subsurface structure (Paniconi and Putti, 2015). Nevertheless, these models are capable of simulating the dynamic interaction of different processes within SW/GW components, e.g., the interaction of soil moisture and groundwater head (Rihani et al., 2010; Cuthbert et al., 2013; Sutanudjaja et al., 2014; Maxwell et al., 2015) as well as the storage-runoff correlation (VanderKwaak and Loague, 2001; Liang et al., 2003; Huntington and
- 15 Niswonger, 2012; Koirala et al., 2014; Fang and Shen, 2017).

Typical hydrologic models, such as mHM (Samaniego et al., 2010; Kumar et al., 2013b), VIC (Liang et al., 1994), and HBV (Lindström et al., 1997), are good at predicting quantities, such as discharge but, as mentioned above, are highly conceptual and their model results are difficult to interpret with respect to certain processes (e.g., groundwater storage and heads). The output of more mechanistic ISSHMs, such as Parflow, CATHY, and HydroGeoSphere, are highly interpretable but show consistently

- 20 worse performance than typical hydrologic models when predicting runoff (Gulden et al., 2007; Paniconi and Putti, 2015). The differing capabilities of typical hydrologic models in contrast to the more mechanistic ISSHMs are a result of the different challenges that are posed by the various compartments of the terrestrial water cycle. One of the main challenges in modeling surface and near-surface storage is process uncertainty, since processes such as evapotranspiration, land use, land cover, snow pack are extremely complex and dynamic. The process uncertainty decreases as one goes deeper into subsurface storage. In
- 25 subsurface storage, hydrological processes are relatively well-understood and therefore conceptually simpler (Dagan, 2012). Meanwhile, the data uncertainty becomes more significant in deep subsurface storage in comparison to shallow storage. Moreover, a recent study reveals the strong spatial and temporal heterogeneity of processes and properties at the SW/GW interface, and underlines the importance of quantifying variability across several scales at the SW/GW interface and its significance to water resources management (McLachlan et al., 2017).
- In this study, we therefore coupled the mesoscale Hydrologic Model (mHM v5.7) (Samaniego et al., 2010; Kumar et al., 2013b) with the porous media simulator OpenGeoSys (OGS) (Kolditz et al., 2012, 2016) with the overall aim of modelling regional-scale groundwater flow dynamics. mHM has demonstrated its pre-eminence in coping with process uncertainty in the near-surface zone while providing excellent discharge prediction (Huang et al., 2017). On the other hand, OGS has demonstrated its capability of dealing with data uncertainty in aquifers (Sun et al., 2011; Walther et al., 2012; Selle et al., 2013). Using
- 35 these two well-tested codes, we want to answer the following scientific questions: (1) Can spatially distributed groundwater

heads and their dynamics be reasonably captured by expanding the capabilities of a surface hydrologic model, such as mHM at the regional-scale, while conserving its excellence in predicting discharge? (2) Can spatially resolved groundwater recharge estimates, provided by mHM, improve the prediction of head measurements of groundwater models such as OGS? To answer these questions, we applied the coupled model mHM#OGS v1.0 in a central German meso-scale catchment (850 km²), and

5 evaluated the model skills using measurements of streamflow and groundwater heads from several wells located in the study area. The coupled (surface) hydrologic and groundwater model (mHM#OGS v1.0) presented in this paper is our first attempt toward the development of a large-scale coupled modeling system with the aim to analyze the spatio-temporal variability of groundwater flow dynamics at a regional scale.

The paper is structured as follows. In the next section, we describe the model concept, model structure, and the coupling scheme. In Section 3.1, the study area and model setup used for illustration in this study are comprehensively described. In Section 4, we present the simulation results of mHM#OGS v1.0 in a catchment in the application. In the Section 5, we discuss the model results as well as advantages and limitations of current modeling approach.

2 Model description

2.1 mesoscale Hydrologic Model (mHM)

- 15 The mesoscale Hydrologic Model (mHM, www.ufz.de/mhm) is a spatially explicit distributed hydrologic model that uses grid cells as a primary modeling unit, and accounts for the following processes: canopy interception, snow accumulation and melting, soil moisture dynamics, infiltration and surface runoff, evapotranspiration, subsurface storage and discharge generation, deep percolation, baseflow, discharge attenuation, as well as flood routing (Figure 1). The runoff generation applies a robust scheme which routes runoff in upstream cells along river networks using the Muskingum-Cunge algorithm. The model is driven
- 20 by daily meteorological forcings (e.g., precipitation, temperature), and utilizes observable physical properties or signals of the basin (e.g., soil textural, vegetation, and geological properties) to infer the spatial variability of the required parameters. mHM is an open-source project written in Fortran 2008. Parallel versions of mHM using OpenMP concepts are available.

A unique feature of mHM is the application of Multiscale Parameter Regionalization (MPR). The MPR method accounts for subgrid variability in physical characteristics of the catchment such as topography, soil and vegetation. The MPR methodology

- 25 facilitates the flexibility of the model for hydrological simulations at various spatial scales by applying the MPR methodology (Samaniego et al., 2010; Kumar et al., 2013a, b; Rakovec et al., 2016a, b; Samaniego et al., 2017). mHM differentiates three levels to better represent the spatial variability of state and input variables. The effective parameters at different spatial scales are dynamically linked by a physically-based upscaling scheme. A detailed description of MPR, as well as the formulations governing hydrological processes, are given by Samaniego et al. (2010) and Kumar et al. (2013b).
- Below, we list the equations that describe near-surface processes in the deep soil and groundwater layers. The comprehensive system of equations of mHM can be found in Samaniego et al. (2010). Here, we only listed the equations for the coupling to OpenGeoSys. In the subsurface reservoir, which is the second vertical layer (x_5 in Figure 1), interflow is partitioned into fast

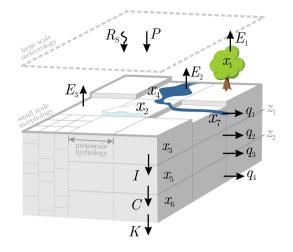


Figure 1. The concept of the mesoscale hydrologic model, mHM.

interflow (q_2) and slow interflow (q_3) :

$$q_2(t) = max \{ I(t) + x_5(t-1) - \beta_1(z_2 - z_1), 0 \} \beta_2$$
(1)

$$q_3(t) = \beta_3 (x_5(t-1))^{\beta_4} \tag{2}$$

where $q_2(t)$ is fast interflow at time t [mm d⁻¹], I is the infiltration capacity [mm], x_5 is the water depth of water storage in 5 the deep soil reservoir [mm], β_1 is the maximum holding capacity of the deep soil reservoir, z_i is depth of subsurface layer i, β_2 is the fast recession constant, $q_3(t)$ is slow interflow at time t [mm d⁻¹], β_3 is the slow concession constant, and β_4 is the exponent that quantifies the degree of non-linearity of the cell response.

The groundwater recharge is equivalent to the percolation to the groundwater reservoir (the third vertical layer, see x_6 in Figure 1). The groundwater recharge C(t) can be expressed by

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$$C(t) = \beta_5 x_5(t-1)$$
 (3)

where C(t) is the groundwater recharge in cell i [mm d⁻¹], and β_5 is the effective percolation rate.

In the groundwater reservoir, baseflow is generated following a linear relationship between storage and runoff:

$$q_4(t) = \beta_6 x_6(t-1) \tag{4}$$

where $q_4(t)$ is the baseflow [mm d⁻¹], β_6 is the baseflow recession rate, and x_6 is depth of groundwater reservoir [mm].

15 The runoff from upstream grid cells and the internal runoff in cell *i* are routed into streams using the Muskingum algorithm:

$$Q_i^1(t) = Q_i^1(t-1) + c_1(Q_i^0(t-1) - Q_i^1(t-1)) + c_2(Q_i^0(t) - Q_i^0(t-1))$$
(5)

with

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$$Q_i^0(t) = Q_{i'}(t) + Q_{i'}^1(t)$$
(6)

$$c_{1} = \frac{\Delta t}{\kappa(1-\xi) + \frac{\Delta t}{2}}$$

$$c_{2} = \frac{\frac{\Delta t}{2} - \kappa\xi}{\kappa(1-\xi) + \frac{\Delta t}{2}}$$
(8)

5 where Q_i^0 and Q_i^1 denote the runoff entering and leaving the river reach located in cell *i*, respectively [mm d⁻¹], $Q_{i'}$ is the contribution from the upstream cell *i'* [mm d⁻¹], κ is the Muskingum travel time parameter, ξ is the Muskingum attenuation parameter, Δt is the time step-size [h], and *t* is the time index for each Δt interval.

2.2 OpenGeoSys (OGS)

OpenGeoSys (OGS) is an open-source project with the aim of developing robust numerical methods for the simulation of
 Thermo-Hydro-Mechanical-Chemical (THMC) processes in porous and fractured media. OGS is written in C++ with a focus on the finite element analysis of coupled multi-field problems. Parallel versions of OGS based on both MPI and OpenMP concepts are available (Wang et al., 2009; Kolditz et al., 2012; Wang et al., 2017). To date, two OGS versions are available: OGS5 (https://github.com/ufz/ogs5) and OGS6 (https://github.com/ufz/ogs). In this study, the term "OpenGeoSys (OGS)" represents OGS5 if not stated otherwise.

- OGS has been successfully applied in different fields, such as water resources management, hydrology, geothermal energy, energy storage, CO₂ storage, and waste disposal (Kolditz et al., 2012; Shao et al., 2013; Gräbe et al., 2013; Wang et al., 2017). In the field of hydrology / hydrogeology, OGS has been applied to regional groundwater flow and transport (Sun et al., 2011; Selle et al., 2013), contaminant hydrology (Beyer et al., 2006; Walther et al., 2014), reactive transport (Shao et al., 2009; He et al., 2015), and sea water intrusion (Walther et al., 2012), among others.
- 20 Saturated groundwater flow follows the continuity equation and Darcy's law:

$$S\frac{\partial\psi_p}{\partial t} = -\nabla \cdot \boldsymbol{q} + q_s \tag{9}$$

$$\boldsymbol{q} = -K_s \nabla(\psi_p - \boldsymbol{z}) \tag{10}$$

where S is specific storage coefficient in confined aquifers, and the specific yield in unconfined aquifers [1/L], ψ_p is the pressure head in the porous medium [L], t is time[T], q is the specific discharge or Darcy velocity [LT⁻¹], q_s is the volumetric source/sink term [T⁻¹], K_s is the saturated hydraulic conductivity tensor [LT⁻¹], and z is the vertical coordinate [L].

The stream network is normally represented by a set of polylines in the geometry file of OGS. In the case of a 3-D model, a common way to set up the polyline system is to utilize the mapping tool embedded in OGS source code, by which the shape file obtained from GIS software representing streams can be easily mapped onto the upper surface of OGS mesh and converted into a set of polylines. Each reach of the stream network can be represented by one polyline or several continuous polylines,

30 depending on the demand of the user. Each polyline consists of a set of continuous mesh nodes, upon which Dirichlet, Neumann or Robin boundary conditions can be applied.

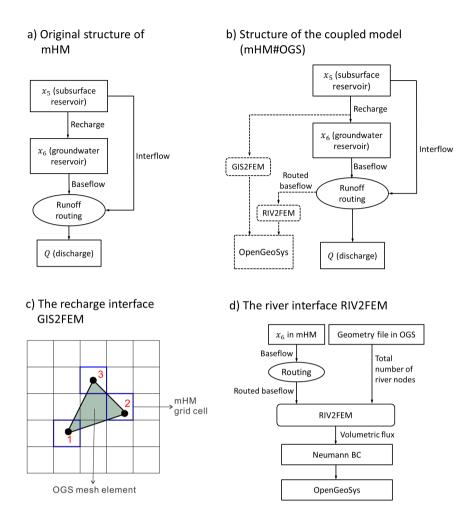


Figure 2. Schematic of the coupled model mHM#OGS v1.0. a) Original structure of the vertically layered reservoir of mHM. b) Structure of the coupled model (mHM#OGS v1.0). c) Illustration of data interpolation and transformation through the coupling interface GIS2FEM. d) Scheme of the river interface RIV2FEM. For the sake of simplicity, the figure only displays mHM layers relevant to this study and neglects the other mHM layers (i.e. $x_1 - x_4$). In Figure 2c, the grid-based mHM fluxes (e.g., recharge) are linearly interpolated to the top surface of the OGS mesh, and further transferred into volumetric values and directly assigned to the surface mesh nodes of the OGS grid.

2.3 Coupling mechanism

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The coupled model mHM#OGS v1.0 is developed to simulate SW/GW flow in one or more catchments by simultaneously calculating flow across the land surface and within the groundwater. mHM#OGS v1.0 simulates flow within three hydrological regions. The first region is limited by the upper boundary of the plant canopy and the lower boundary of the soil zone bottom. The second region includes open-channel water, such as streams. The third region is the water-saturated aquifer. mHM is used

to simulate the processes in the first and second regions, while OGS is used to simulate the hydrological processes in the third region.

The coupling initiative aims to add additional predictive capability of groundwater heads, which is achieved by OGS, to the existing capability of predicting discharges that is achieved by mHM. mHM is used to estimate step-wise and component-wise

- 5 a water budget through model calibration against discharge. In contrast, OGS serves as a post-processor to obtain groundwater heads by using mHM simulated recharge and baseflow as driving forces. Two model interfaces, namely GIS2FEM and RIV2FEM, have been developed to link the two models by transferring recharge and baseflow from mHM to Neumann boundary conditions in OGS. The two models are executed separately and sequentially, typically with different temporal (e.g., daily in mHM and weekly or monthly in OGS) and spatial resolutions (e.g., rectangular, structured grids with coarse resolution
- 10 in mHM and smaller, potentially unstructured grids with fine resolution in OGS). The original vertically layered reservoirs in mHM, namely the soil-zone reservoir and the subsurface reservoir are preserved, implying that all well-tested features of mHM (e.g., MPR, infiltration-runoff partitioning) are retained in the coupled model.

To illustrate the coupling mechanism in detail, we itemized the coupling workflow below.

- 1. mHM is run independently of OGS to calculate land surface fluxes.
- Using gridded meteorological forcings (precipitation, temperature, and potential evapotranspiration), the grid-based infiltration rates (e.g., groundwater recharge) and runoff components (e.g., interflow, baseflow) are estimated and saved as mHM output files. The original linear groundwater reservoir (depth x_6 in Figure 1) is used to estimate baseflow. Moreover, MPR is used in the calibration process such that subgrid variabilities can be validly calculated. The spatially distributed groundwater recharge and total routed baseflow are written into raster files for later use.
- 20 2. After the mHM run has finished, the step-wise routed baseflow estimated by mHM is transformed to distributed river discharges along streams as represented in OGS.

Most physically-based ISSHMs characterize river-groundwater interaction based on either first-order flow exchange or boundary condition switching (Paniconi and Putti, 2015). However, this approach inevitably relies on a parameter-set describing geometric, topographic, and hydraulic properties of the stream channel (e.g., river bed conductance, river bed and drain elevations, channel width). Unfortunately, these parameters are essentially unknown at a large scale due to the lack of data and the subgrid-scale variability of these parameters. Due to these limitations, we use an alternative approach which is based on the routed baseflow estimated by mHM.

mHM and OGS conceptualize streams differently: streams in mHM are implicitly defined based on pre-processing of digital elevation model (DEM) data and a routing scheme, while OGS uses an explicit predefined river geometry. In OGS, each reach of the stream network is defined by a polyline in the OGS geometry file. To coordinate the two different approaches, we developed a model interface, RIV2FEM, to convert the routed baseflow estimated by mHM to Neumann boundary conditions assigned at stream nodes of the OGS mesh (Figure 2d). Via RIV2FEM, the routed baseflow estimated by mHM is transferred to the uniformly disaggregated discharges by distributing it uniformly along

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the predefined stream network in OGS (Figure 2d):

$$\overline{q}_4(t) = \frac{Q_4(t)}{N} \tag{11}$$

where $\overline{q}_4(t)$ denotes the disaggregated discharge assigned at every stream node in OGS at time $t [L^3T^{-1}]$, $Q_4(t)$ denotes the routed baseflow at the outlet of catchment at time $t [L^3T^{-1}]$, N denotes the total number of stream nodes in OGS. The uniformly disaggregated discharges are then assigned to every stream node in OGS to serve as the Neumann boundary condition (Figure 2d). This approach significantly reduces the number of parameters, avoids the uncertainty caused by the unknown river properties, and is suitable for many real-world applications that suffer from scarce data. Moreover, as recharge and baseflow are directly taken from mHM, the mass conservation criterion is naturally satisfied in this approach.

10 3. The distributed groundwater recharge generated by mHM is fed to the coupling interface GIS2FEM, and then transferred to the upper surface boundary conditions of the OGS model.

The coupling interface GIS2FEM is used to interpolate and transfer mHM grid-based recharge to OGS nodal recharge values. GIS2FEM interpolates the flux value to the top surface elements of the OGS mesh. The detailed workflow is:

- GIS2FEM reads the raster file generated by mHM and the mesh file of OGS.
- In the case of a 3-D mesh, GIS2FEM extracts the upper surface of the OGS mesh. For each of the nodes on this surface, GIS2FEM searches for the mHM grid cell that the node is located in, and assigns the recharge value of this grid cell to the corresponding node (marked as C^m).
 - After all top surface elements have been processed, GIS2FEM undertakes the face integration calculation, by which the specific recharge C^m [LT⁻¹] calculated by mHM is converted into volumetric recharge C^{in} [L³T⁻¹] and assigned to the corresponding OGS mesh nodes (Figure 2c). Specifically, the specific recharge C in a certain element is calculated as:

$$C(\mathbf{x}) = \sum_{j=1}^{N} W_j(\mathbf{x}) C_j^m,$$
(12)

where x is the spatial coordinate on the surface, N is the total number of nodes in a surface element, W_j is the weighting function of node j, C_j^m is the specific recharge at node j calculated by mHM [LT⁻¹]. Then the volumetric recharge C_i^{in} at node i (i is the global node index) is calculated by the face integration calculation:

$$C_i^{in} = -\int\limits_{\partial\Omega} W_i(\mathbf{x})C(\mathbf{x})d(\mathbf{x}),\tag{13}$$

where C_i^{in} is the volumetric recharge of node $i [L^3 T^{-1}]$, $\partial \Omega$ is the surface boundary of the FEM domain, W_i is the weighting function of node i.

4. After the mHM-generated recharge and baseflow have been transferred into boundary conditions at the upper surface of the OGS mesh, the groundwater model is run to simulate the groundwater flow and transport.

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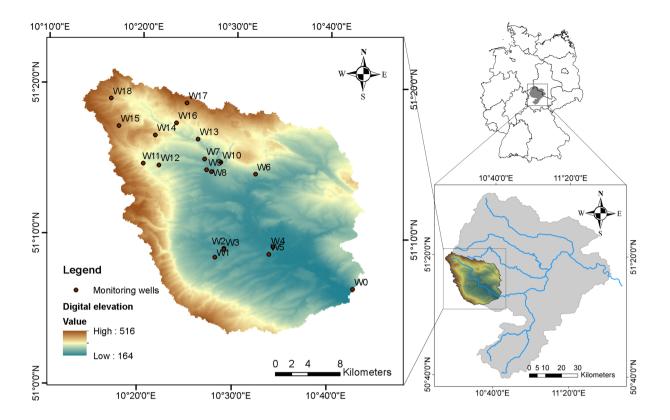


Figure 3. The Nägelstedt catchment used as the test catchment for this study. The left-hand map shows elevation and locations of monitoring wells used in this study. The lower right-hand map shows the relative location of Nägelstedt catchment in the Unstrut Basin. The upper right-hand map shows the location of the Unstrut Basin in Germany.

3 Example application

3.1 Study area and model setup

We use a meso-scale catchment (about 850 km²) upstream of the Nägelstedt gauge located in central Germany to test our coupled model (Figure 3). The Nägelstedt catchment comprises the headwaters of the Unstrut River, a tributary of the River

- 5 Saale. We selected this study area because many of the groundwater monitoring wells in the area are operated by the Thuringian State Office for the Environment and Geology (TLUG) and the Collaborative Research Center AquaDiva (Küsel et al., 2016). The elevation within the catchment ranges between 164 m and 516 m, whereby the higher regions are in the west and south and belong to the forested hill chain of the Hainich (Figure 3). The Nägelstedt catchment is one of the most intensively used agricultural regions in Germany. In terms of drinking water supply, about 70% of the water requirement is satisfied by
- 10 groundwater (Wechsung, 2005). About 17% of the land in this region is forested area, 78% is covered by crop and grassland, and 4% is urban and transport area. The mean annual precipitation in this area is about 660 mm.

In this study, mHM runs were executed for a time period of 35 years (from January 1, 1970 to December 30, 2004), with the period 1970 - 1974 being used for spin-up. OGS was run for the period from January 1, 1975 to December 30, 2005. mHM was run with a daily time step, while OGS was run with a monthly time step. The resolution of mHM grid cells is 500 m \times 500 m. OGS uses a structured, hexahedral 3-D mesh, with a spatial resolution of 250 m \times 250 m in the horizontal direction and 10

5 m in the vertical direction over the whole domain. The detailed input data and parameter-set to run both models are detailed in the following sections.

3.2 Meteorological forcings and morphological properties

We started the modeling by performing the daily simulation of mHM to calculate near-surface hydrological processes. The mHM model is forced by daily meteorological conditions, including distributed precipitation and atmospheric temperature.
10 The spatial patterns of precipitation and atmospheric temperature were based on point measurements of precipitation and atmospheric temperature were based on point measurements of precipitation and atmospheric temperature were based on point measurements of precipitation and atmospheric temperature were based on point measurements of precipitation and atmospheric temperature were based on point measurements of precipitation and atmospheric temperature at weather stations from the German Meteorological Service (DWD). The point data at weather stations were subsequently kriged into a 4 km precipitation field, and then downscaled to mHM grid cells. Moreover, the potential ET was quantified based on the method from Hargreaves and Samani (1985). Other datasets used in mHM are the DEM data, which is the basis for deriving properties such as slope, river beds, and flow direction; soil and geological maps,

15 and meta-data such as sand and clay contents, bulk density, and dominant geological types; CORINE land-cover information (in the years 1990, 2000 and 2005); and discharge data at the outlet of the catchment.

3.3 Aquifer properties

We used a stratified aquifer model to explicitly represent the heterogeneous distribution of hydraulic properties (hydraulic conductivity, specific yield, and specific storage). The stratified aquifer model is based on well log data and geophysical data
obtained from the Thuringian State Office for the Environment and Geology (TLUG). We used the workflow developed by Fischer et al. (2015) to convert the data format, by which the complex 3-D geological model was converted into the open-source VTK format file that can be directly read by OGS.

The major stratigraphic units in the study site are the Muschelkalk (Middle Triassic) and the Keuper (Upper Triassic). Younger Tertiary and Quaternary deposits are less important for the large-scale hydrogeology of the basin. The Keuper deposits

- 25 mainly lie in the center of the Unstrut Basin and act as permeable shallow aquifers. In the Nägelstedt catchment, the Keuper deposits are further subdivided into two geological sub-units: Middle Keuper (km) and Lower Keuper (ku) (see Figure 4). The Muschelkalk is marked by a prevailing marine environment and is subdivided into three sub-units the Upper Muschelkalk (mo), Middle Muschelkalk (mm, dolomites and residues of eroded salt layers) and Lower Muschelkalk (mu, limestones). According to previous geological surveys (Seidel, 2004), the sub-units of the Muschelkalk have varying hydraulic properties depending
- 30 on their positions and depths. They are further divided into sub-units with higher permeabilities (mo1, mm1 and mu1) and subunits with lower permeabilities (mo2, mm2 and mu2) (Figure 4). The Upper Muschelkalk (mo) has been widely considered as a karstified formation. Recent research by Kohlhepp et al. (2017) has revealed that in the Hainich Critical Zone, the intense karstification and the conduit are limited at the base of the mo formation. Accordingly, we use the equivalent porous medium

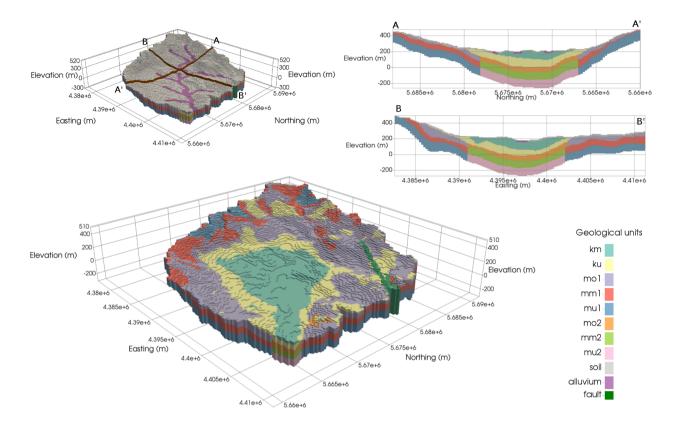


Figure 4. Three-dimensional and cross-sectional views of the hydrogeologic zonation in the Nägelstedt catchment. The upper left-hand figure highlights the distribution of alluvium and soil zones. The upper right-hand figure shows two vertical geological cross-sections. The lower map shows the detailed zonation of geological sub-units beneath the soil zone and alluvium.

approach to characterize the Upper Muschelkalk. The uppermost layer with a depth of 10 m is set as a soil layer (Figure 4). A high-permeability alluvium layer is set along the mainstream and major tributaries to represent granite and stream deposits (Figure 4).

3.4 Boundary conditions

5 Based on the steep topography along the watershed divides, groundwater is assumed to be naturally separated and unable to pass across the boundaries of the watershed. In general, no-flow boundaries are set at the outer perimeters surrounding the basin as well as at the lower aquitard. On the basis of the measurements, a Dirichlet boundary condition is assumed at the northwestern and northeastern edges.

The stream network was delineated by processing a grid-based runoff raster file generated by mHM. The grid-based runoff 10 was converted to a valid stream network compatible with OGS. The necessity of transferring the mHM runoff raster file to the OGS stream network has been elaborated in Section 2.3. Particularly in this case study, we removed the small intermittent

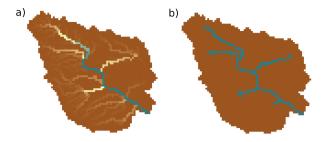


Figure 5. Illustration of the stream network used in this study. a) Original stream network based on the streamflow routing algorithm of mHM; b) Processed stream network that was used in this study. The small tributaries where the runoff rates are below the threshold have been removed from the original stream network.

tributaries by setting a threshold value of long-term averaged routed runoff. Only streams with a runoff rate higher than the threshold (in this case study, 0.145 m³/s) are delineated as valid streams. In other words, we neglected the intermittent streams to the upper stream reaches (Figure 5). The preprocessed stream network consists of a main stream and four tributaries (Figure 5b). The reach of each stream is defined as a polyline in a geometry file. As illustrated in Section 2.3, uniformly disaggregated groundwater discharges processed by the interface RIV2FEM were assigned to every OGS mesh node within the stream network.

3.5 Calibration procedure

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The calibration of the coupled model follows a two-step procedure. In the first step, mHM was calibrated independent of OGS for the period from 1970 to 2005 by matching the observed runoff at the outlet of the catchment. The first 5 years were used as spin-up period to set up initial conditions in the near-surface soil zone. The calibration quality is quantified by the Nash-Sutcliffe coefficient of efficiency (NSE):

$$NSE = 1 - \frac{\sum_{i=1}^{n} |(q_m - q_s)|_i^2}{\sum_{i=1}^{n} |(q_m - \overline{q}_m)|_i^2}$$
(14)

where q_s is the simulated discharge [L³T⁻¹], q_m is the measured discharge [L³T⁻¹], and \overline{q}_m is the mean of measured discharge [L³T⁻¹].

- In the second step, the steady-state groundwater model in OGS was calibrated to match the long-term mean of observed groundwater levels. The long-term mean of recharge and baseflow estimated by mHM were fed to the steady-state groundwater model as Neumann boundary conditions. The calibration was performed using the software package PEST (Doherty et al., 1994). The model parameters were adjusted within a fixed interval until the value of objective function, which is the sum of weighted squared residuals of modeled and observed groundwater heads, was minimized. Specifically, the intervals of adjustable parameters were taken from the literature (Wechsung, 2005; Seidel, 2004), and the weights assigned to each
- observation were set uniformly to 1. The calibration result is assessed by the root-mean-square error (RMSE).

Model evaluation and sensitivity analysis 3.6

We used the time series of groundwater levels in 19 monitoring wells to evaluate the predictive capability of the transient model. In the transient model, hydraulic conductivities are obtained from the calibrated steady-state model. Meanwhile, the initial condition of the groundwater head is directly taken from the result of steady-state model. The Pearson correlation coefficient R_{cor} and the inter-quartile range error QRE are used as two summary statistics to evaluate the predictive capability.

The (relative) inter-quartile range error ORE is defined by:

$$QRE = \frac{IQ_{7525}^{md} - IQ_{7525}^{dt}}{IQ_{7525}^{dt}}$$
(15)

where IQ_{7525}^{md} and IQ_{7525}^{dt} are the inter-quartile ranges of simulations and observations, respectively.

- We sought to quantify the sensitivity of groundwater flows to the different spatial pattern of recharge. For this purpose, 10 a uniform recharge scenario was established as the reference scenario. The sensitivity analysis follows a two-step workflow. First, we calibrated the steady-state groundwater models for the two recharge scenarios independently. Second, we conducted transient simulations by assigning the same values of storage parameters, and then observed their corresponding performances in two recharge scenarios. With the exception of recharge scenario and hydraulic-conductivity values, all model parameters (e.g., specific yield and specific storage) and inputs are set to be identical in both scenarios. The Pearson correlation coefficient
- R_{cor}, and the inter-quartile range error QRE are used as two summary skill scores to assess model performances in the two 15 recharge scenarios.

4 Results

Calibration 4.1

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As the first part of calibration, mHM is calibrated against discharge. The calibration results demonstrate the predictive capability of mHM in reproducing the time series of catchment discharge (Figure 6). The Nash–Sutcliffe model efficiency coefficient (NSE) is 0.88, while the Pearson correlation coefficient is 0.96. Other fluxes, such as evapotranspiration measured at eddycovariance stations inside this area, also shows quite reasonable correspondence to the modeled estimate (Heße et al., 2017).

In the second step, the steady-state groundwater model is calibrated against the long-term mean of groundwater heads. Table 1 shows the calibrated hydraulic conductivities in each of the geological units. The objective function of calibration, which is

- the sum of squared weighted residuals, converged from an initial value of 8625 m² to 464.74 m² after a total of 114 model 25 runs. Broadly speaking, the steady-state model can plausibly reproduce the finite numbers of observed groundwater heads in the catchment. Figure 7 shows the one-to-one plot of simulated and observed groundwater heads (locations of those wells are shown in Figure 3). In general, the model is capable of reproducing spatially-distributed groundwater heads over a wide range, with an overall RMSE of 6.45 m. Most of the discrepancies between individual observations and simulations are within a
- 30 reasonable range (i.e., less than 6 m). Nevertheless, some monitoring wells show larger discrepancies between observations and

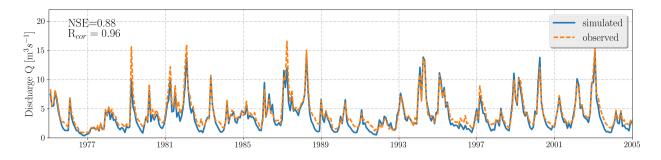


Figure 6. Observed and simulated monthly discharge at the outlet of the Nägelstedt catchment.

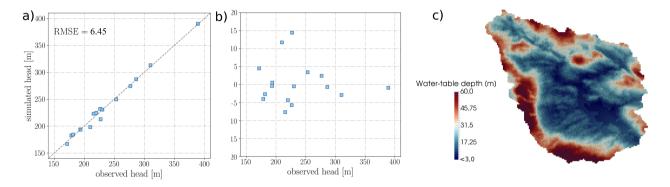


Figure 7. Illustration of steady-state groundwater model calibration and simulated heads. (a) Observed and simulated groundwater head (including RMSE); (b) Difference between simulated and observed head related to the observed head values; c) Simulated long term mean water table depth across the Nägelstedt catchment.

simulations (i.e., greater than 6 m), which is due to the unknown local geological properties and subgrid-scale topographies. For the sake of simplicity, no further attempt was made to add more model complexity to improve the model fit.

The simulated depth to groundwater over the whole catchment using the calibrated hydraulic-conductivity values is shown in Figure 7c. Broadly speaking, the calibrated model reasonably reproduces the spatial groundwater table distribution. Groundwater depth varies between greater than 40 m in the higher southwestern and northern mountainous areas, to less than 5 m in the central lowlands. The plausibility of steady-state simulation results can be assessed through regionalized observations of groundwater heads (Wechsung, 2005).

4.2 Spatio-temporal patterns of recharge and baseflow

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Groundwater recharge has a spatially variable and dynamic behavior depending on the sporadic, irregular, and complex features

10 of precipitation, geological structure, and morphological features. The temporal and spatial variability of groundwater recharge and baseflow is estimated by mHM over a period of 30 years from 1975 to 2005.

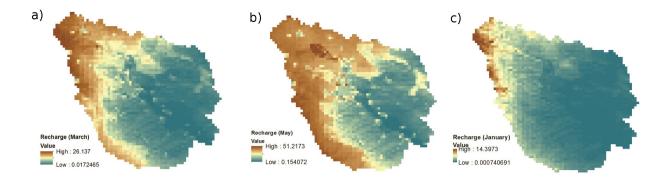


Figure 8. Spatial distributions of groundwater recharge in the Nägelstedt catchment (unit: mm/month) (a) in March (b) in May, and (c) in January 2005.

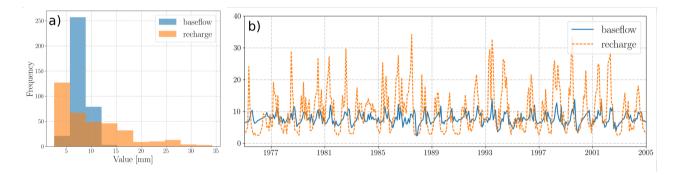


Figure 9. Analysis of groundwater inflow (recharge) and outflow (baseflow) over the Nägelstedt catchment. a) Distribution of groundwater balance components. b) Monthly time series of groundwater recharge and baseflow.

Figure 8 shows the spatial variability of groundwater recharge in three months: March (Figure 8a), May (Figure 8b), and January (Figure 8c). The results indicate that the location of highest recharge rate is in the upstream mountainous areas where the Muschelkalk aquifer outcrops, but varies in different seasons. The maximum value of monthly groundwater recharge varies from 26 mm in March, to 51 mm in May and 14 mm January. We also evaluated the plausibility of groundwater recharge simulated by mHM through comparison to other reference datasets. At the large-scale, the groundwater recharge simulated by mHM agrees quite well with estimates from the Hydrological Atlas of Germany (Zink et al., 2017).

5

Figure 9a shows the distribution of monthly groundwater recharge and monthly baseflow. Over the entire year, groundwater inflow (recharge) and outflow (baseflow) are balanced, exhibiting a mean value of 8 mm/month. The difference between the two values is merely 2%. The figure, however, indicates that the distribution of monthly groundwater recharge is skewed to the

10 right, whereas the distribution of monthly baseflow is more peaked. Figure 9b depicts the time series of groundwater recharge and baseflow, which further demonstrates that the deviation of monthly groundwater recharge is larger than the baseflow. This phenomenon further reveals the significant buffering effect of the linear groundwater storage in mHM.

Geological units	Н	ydraulic condu	ctivity [m/s]	Specific yield [-]	Specific storage $[m^{-1}]$	
	Lower limit	Upper limit	Calibrated value [m/s]	-F) []		
km	1.0×10^{-6}	5.5×10^{-3}	1.844×10^{-5}	-	1×10^{-6}	
ku	1.0×10 ⁻⁷	3.4×10^{-4}	2.848×10^{-5}	-	1×10^{-6}	
mo1	8.0×10 ⁻⁸	2.0×10 ⁻³	3.570×10^{-5}	0.10	1×10^{-6}	
mm1	1.0×10 ⁻⁷	9.0×10 ⁻⁴	3.594×10^{-5}	-	1×10^{-6}	
mu1	5.0×10 ⁻⁹	2.0×10 ⁻⁴	6.202×10^{-6}	-	1×10^{-6}	
mo2	1.0×10 ⁻⁸	5.0×10 ⁻⁴	3.570×10^{-6}	-	1×10^{-6}	
mm2	3.0×10 ⁻⁸	9.0×10 ⁻⁵	3.594×10^{-6}	-	1×10^{-6}	
mu2	5.0×10 ⁻¹⁰	2.0×10 ⁻⁵	6.202×10^{-7}	-	1×10^{-6}	
soil	5.0×10^{-5}	1.0×10^{-2}	6.617×10^{-5}	0.10	-	
alluvium	4.0×10^{-5}	1.0×10^{-2}	3.219×10^{-4}	0.18	-	

Table 1. Main hydraulic properties used in the case study under the default mHM-generated recharge scenario.

4.3 Model evaluation against dynamic groundwater heads

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In this subsection, the head observations of several monitoring wells in the catchment were used to evaluate the model performance. We analyzed discrepancies between the modeled and observed groundwater heads by subtracting long-term mean values, \overline{h}_{mod} and \overline{h}_{obs} . Four model-skill scores including the mean value, the median value, the Pearson correlation coefficient R_{cor}, and the inter-quartile range error, QRE, are used to evaluate the model performance.

Six wells with different geological and morphological properties were chosen as samples to exhibit the model performance (Figure 10). Specifically, well W10 is located in the northern uplands and is near the main stream, whereas well W1 is located in the southwestern lowlands. As can be observed from Figure 10, they provide good fits between simulated and observed heads, with a R_{cor} of 0.87 and 0.76, and QRE of -23.34% and -1.65%, respectively. Well W17 is located in the Lower Keuper unit, while well W16 is located in the upper Muschelkalk formation. In these two monitoring wells, the simulations are highly correlated with observations with high values of R_{cor} (0.71 and 0.82), in spite of their different geological properties (Figure 10). The simulation result at monitoring well W13 (located in the northern mountainous area) also exhibits a high correlation with the observation with a R_{cor} of 0.85 (Figure 10). In general, the model is capable of capturing the historical trends of

groundwater dynamics, even though the mean values of simulations and observations may deviate to some extent. Due to the

15 limited spatial resolution and complex hydrogeological structure, this degree of discrepancy is acceptable.

4.4 Model sensitivity to different recharge scenarios

As described in Section 3.6, a reference recharge scenario (RR), i.e., a spatially uniform recharge scenario, is set up to assess the effect of spatial patterns of recharge on groundwater heads. In this uniform recharge scenario, RR, the steady-state groundwater model, was re-calibrated using the long-term mean of spatially uniform recharge (Table 2). For the purpose of showing

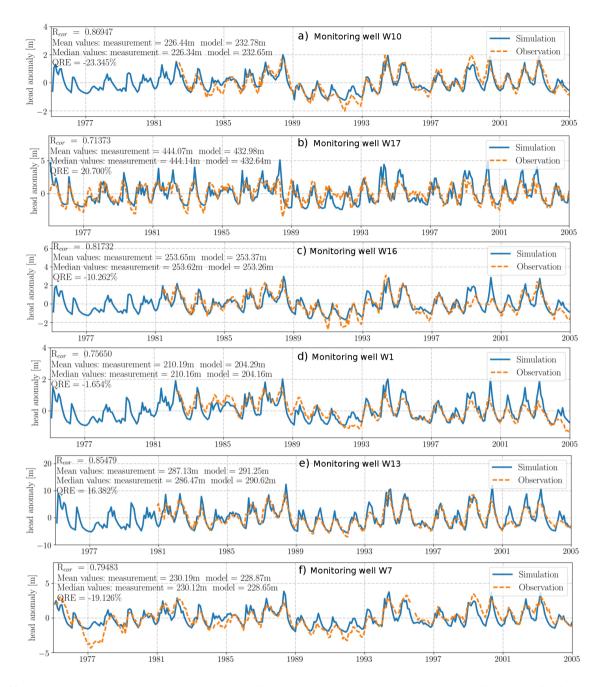


Figure 10. Comparison between measured (green dashed line) and simulated groundwater head anomalies (blue solid line). (a) W10 is located in uplands, near a stream. (b) W17 is located in a mountainous area. (c) W16 is located at a hillslope in the northern uplands. (d) W1 is located in the lowlands. (e) W13 is located in the northern mountains. (f) W7 is located in the uplands.

Table 2. Hydraulic properties used in the uniform-recharge scenario (RR).

Geological units	km	ku	mo1	mm1	mu1	mo2	mm2	mu2	soil	alluvium
Hydraulic conductivity	5.023	6.216	8.608	2.990	5.316	8.604	2.997	5.317	5.239	7.302
[m/s]	$\times 10^{-5}$	$\times 10^{-5}$	$\times 10^{-5}$	$\times 10^{-5}$	$\times 10^{-6}$	$\times 10^{-6}$	$\times 10^{-6}$	$\times 10^{-7}$	$\times 10^{-5}$	$\times 10^{-4}$

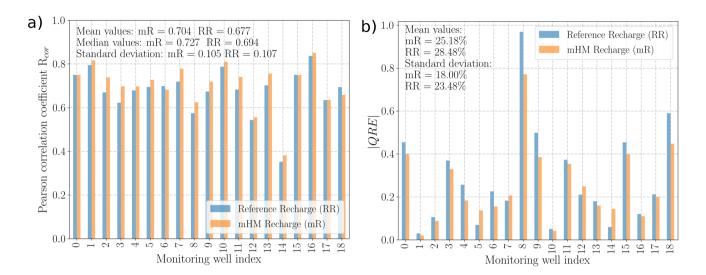


Figure 11. Barplots of a) the Pearson correlation coefficient R_{cor} and b) absolute inter-quartile range error |QRE| in all monitoring wells in two recharge scenarios.

discrepancies between two recharge scenarios, we compared the values of R_{cor} and |QRE| at each monitoring well between the spatially distributed recharge, mR, and the uniform recharge, RR (Figure 11). The mean value and the median value of R_{cor} and QRE were also calculated and are shown in Figure 11. Figure 11a indicates that the correlation between observations and simulations using the spatially distributed recharge mR is higher than that using the uniform recharge RR, with mean values of 0.704 and 0.677, respectively. The standard deviations are nearly the same in both scenarios. Considering that the only

5 of 0.704 and 0.677, respectively. The standard deviations are nearly the same in both scenarios. Considering that the only difference between the two recharge scenarios is their spatial patterns, we conclude that accounting for spatially-distributed recharge provides a moderate improvement in the model.

Figure 11b shows the absolute values of inter-quartile range error (|QRE|) in simulations using the two recharge scenarios (mR and RR). We found that the deviation of |QRE| is significantly larger than R_{cor} , i.e., the |QRE| in two wells are abnormally

10 higher than the other wells. The higher values of |QRE| at W8 and W18 may be caused by their proximity to model boundaries, as the two wells are located either near a river or near the catchment perimeter. This deviation indicates that accurate quantification of the amplitude of head fluctuations at certain locations is difficult, which may be due to the proximity of boundaries or complex local topography and geology. Nevertheless, 16 out of 19 wells exhibit low inter-quartile range errors, with the values of |QRE| in a range of $\pm 40\%$ in the spatially distributed mR scenario. We also observe a smaller mean and standard deviation of IQREI in the spatially distributed mR than in the uniform scenario, RR. The 19 chosen monitoring wells cover the geological units of the alluvium, Keuper, and Muschelkalk, and range from high mountains to lowlands across the catchment. These results demonstrate the promising modeling capability of the model and highlight the moderately better historical matching when using a spatially distributed pattern of groundwater recharge.

- 5 Figure 12 illustrates the seasonality of groundwater heads by showing the spatial distribution of groundwater heads averaged over the spring, summer, autumn, and winter seasons, respectively. A strong spatial variability can be observed. For example, the fluctuation amplitudes of groundwater heads in the northern, eastern, and southeastern mountainous areas are larger than in the central plains area. In order to illustrate predicted groundwater levels and droughts caused by extreme climate events, we selected a meteorologically wet month (August 2002) and a meteorologically dry month (August 2003), and show the
- 10 corresponding variations of groundwater heads in Figures 12e and 12f. In general, the groundwater heads in the wet season are higher than the long term mean values (Figure 12e). The variation of groundwater heads in the dry season, however, shows a strong spatial variability. Such a strong spatial variability of groundwater heads variation has also been reported by Kumar et al. (2016).

5 Discussion and conclusions

- 15 Our simulation results demonstrate that the coupled model mHM#OGS v1.0 can generally reproduce groundwater-head dynamics very well. It is also able to reasonably reproduce fluctuation amplitudes of groundwater heads, although with less accuracy. Compared to the good predictive capability of capturing the general trend behavior, the amplitude of head time series is hard to reproduce. This might be because local geological formations in the vicinity of monitoring wells may significantly alter local groundwater flow behavior, and thus further affect groundwater head fluctuations.
- 20 The results of this study demonstrate the successful application of the well-established hydrologic model, mHM, in estimating spatially heterogeneous groundwater recharge and baseflow at a regional scale. At a spatial scale of 10³ km² (the scale in this study), the distributed recharge estimated by mHM is clearly superior to using homogeneous recharge. mHM has been successfully applied at the continental scale covering entire Europe (Thober et al., 2015; Kumar et al., 2013b; Rakovec et al., 2016b; Zink et al., 2017). The successful application of the coupled model in this study suggests a huge potential for extending the applicability of mHM#OGS v1.0 to a larger-scale (e.g., 10⁴ 10⁶ km²) or even a global scale.

The results of this study demonstrate a viable strategy for improving classic meso- to large-scale distributed hydrologic models, such as the current version of mHM (Samaniego et al., 2010; Kumar et al., 2013b), VIC (Liang et al., 1994), PCR-GLOBWB (Van Beek and Bierkens, 2009), WASMOD-M (Widén-Nilsson et al., 2007). These distributed hydrologic models do not calculate spatio-temporal groundwater heads and are therefore unable to represent groundwater head dynamics in their

30 groundwater compartment. The physical representation of groundwater flow is, however, relevant in future regional-scale and possibly global hydrologic models to accurately determine travel times, solute export from catchments, and water quality in rivers (Botter et al., 2010; Benettin et al., 2015; Van Meter et al., 2017). The coupled model mHM#OGS v1.0 also offers the

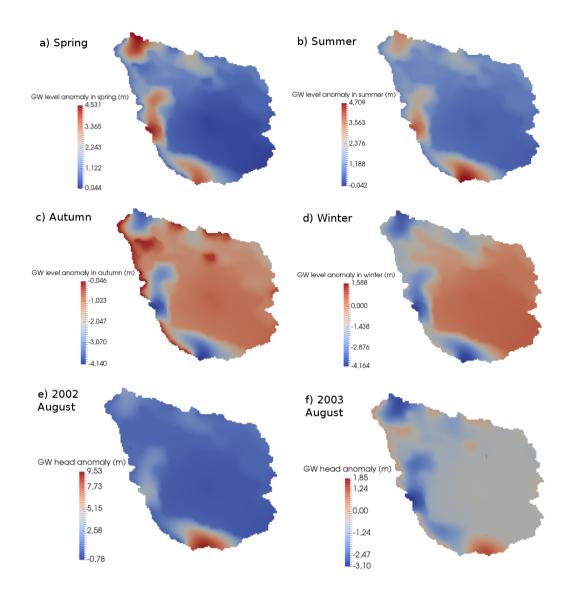


Figure 12. Seasonal variation of spatially-distributed groundwater heads by their anomalies after removing the long-term mean groundwater heads (unit: m). a) Long-term mean groundwater head distribution in spring; b) Long-term mean groundwater head distribution in summer; c) Long-term mean groundwater head distribution in autumn; d) Long-term mean groundwater head distribution in winter; e) Monthly mean groundwater head distribution in the wet season (August 2002); f) Monthly mean groundwater head distribution in the dry season (August 2003).

potential for predicting groundwater drought in analyzing the dynamic behavior of groundwater heads. Thus, it could be a useful tool for understanding groundwater anomalies under extreme climate conditions (Kumar et al., 2016; Marx et al., 2017).

For example, building on previous work of Heße et al. (2017), who calculated Travel Time Distributions (TTDs) using mHM, we can now expand the range of their work to the complete critical zone, which is important for comprehensively understanding particle (e.g., pollutant) transport behavior and the historical legacy in soil zone and groundwater storage (Basu et al., 2010; Beniston et al., 2014). mHM#OGS v1.0 fits well with the long-term simulation of nitrogen transport in the terrestrial water

- 5 cycle. The coupled model is also able to evaluate surface water and groundwater storage changes under different meteorological forcing conditions, which allows the comprehensive evaluation of hydrologic response to climate changes (e.g., global warming). Additionally, OGS demonstrates its capability in addressing thermo-hydro-mechanical-chemical (THMC) coupling processes in large-scale hydrologic cycles (not reflected in this study), which is significant for a wide range of real-world applications, including nutrient circulation, salt water intrusion, drought, and heavy metal transport (Kalbacher et al., 2012; Selle
- 10 et al., 2013; Walther et al., 2014, 2017).

15

In addition to improving the predictive abilities of mHM, we can also demonstrate some improvements for the groundwater model OGS. Our results showed a modest improvement using mHM generated recharge compared to a simpler, uniform recharge rate. We currently gain a strong advantage for the description of the top boundary condition, i.e. the recharge, which is temporal and spatially variable through the input of mHM. Even more, the recharge fluxes provided are based on mHM's phenomenological process description, which significantly better describes the surface level recharge fluxes than common

approaches through recharge rates derived by empirical relations derived recharge rates.

In this study, we have focused our efforts on extending the applicability of mHM from surface hydrology to subsurface hydrology by a simple one-way coupling. Consequently, we do not account for any feedback between river and groundwater head fluctuations. While being a simplification of reality, this approach has certain advantages. First, the one-way coupling can

- 20 be regarded as a conservative approach, such that the parametrization process, which is one of the most significant features of mHM, remains intact. In this way, we do not compromise any of its well-established features, such as the calibration of model parameters at different scales and accurate runoff prediction, while obtaining in addition very good estimates of groundwater heads, flow paths, and travel times. The inability of mHM to provide good estimates for these quantities has been noted in the past (see, e.g., Rakovec et al. (2016b); Heße et al. (2017)) and our work therefore extends the predictive
- 25 abilities of mHM. Second, using such a one-way coupling will allow users of mHM to simply extend currently established catchment models and enhance their abilities in the aforementioned way. Using a more sophisticated two-way coupling, would entail users having to rebuild their models almost entirely. Third, a one-way coupling allows for ready future expansion of the predictive power of an mHM catchment model, should the need arise. Finally, one-way coupling takes less computational consumption and achieves better numerical stability than two-way coupling. In short, unlike two-way coupling, the one-way
- 30 coupling described here allows the user to expand the abilities of mHM without sacrificing any of its well-known and wellestablished properties. However, in a next step, we will devote to incorporate a full, two-way coupling using the next version of the mHM#OGS model. The main limitation of one-way coupling is that the effects of a shallow depth to groundwater on actual ET, maintained by lateral groundwater flow, cannot be explicitly addressed. However, the dynamic interactions between overland flow and groundwater flow, as well as between soil moisture dynamics and groundwater dynamics can be explicitly

modeled and investigated using a full coupling scheme. This approach is open to a broader spectrum of calibration options, such as calibration by remotely sensed soil moisture data.

In conclusion, we can state that the coupled model mHM#OGS v1.0 retains the predictive capability of mHM for discharge volumes. In addition, it is capable of reproducing groundwater head dynamics. The simulation results indicate a promising

5 predictive ability, confirmed by calibration and comparison to observed discharge and groundwater heads. Based on the historical match of discharge and groundwater heads in the case study, we conclude that the coupled model mHM#OGS v1.0 is a valuable tool for addressing many challenging problems in the field of water management, including pollutant transport and legacy, climate change, and groundwater drought.

Code and data availability. The mesoscale Hydrologic Model mHM (current release: 5.7) is an open-source community software and can be
 accessed from several mirrored repositories: SVN: http://www.ufz.de/index.php?en=40114; GitLab: https://git.ufz.de/mhm; GitHub: https://github.com/UFZ-MJ/OGS_
 mHM.git. The model interface GIS2FEM and RIV2FEM can be freely acquired via the following link: https://github.com/UFZ-MJ/OGS_
 mHM/tree/master/UTL/GIS2FEM and https://github.com/UFZ-MJ/OGS_mHM/tree/master/RIV2FEM.

The input files of the case study in Nägelstedt catchment can be found in the Github repository: https://github.com/UFZ-MJ/OGS_mHM/ 15 tree/master/test_case. The dataset used in the case study can be found in the Github repository: https://github.com/UFZ-MJ/OGS_mHM/ tree/master/data.

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