

# An advanced method of contributing emissions to short-lived chemical species (OH and HO<sub>2</sub>): The TAGGING 1.1 submodel based on the Modular Earth Submodel System (MESSy 2.53)

Vanessa S. Rieger<sup>1,2</sup>, Mariano Mertens<sup>1</sup>, and Volker Grewe<sup>1,2</sup>

<sup>1</sup>Deutsches Zentrum für Luft- und Raumfahrt, Institut für Physik der Atmosphäre, Oberpfaffenhofen, Germany

<sup>2</sup>also at: Delft University of Technology, Aerospace Engineering, Section Aircraft Noise and Climate Effects, Delft, Netherlands

*Correspondence to:* Vanessa S. Rieger (vanessa.rieger@dlr.de)

**Abstract.** To mitigate human impact on climate change, it is essential to determine the contribution of emissions to the concentration of trace gases. In particular, source attribution of short-lived species such as OH and HO<sub>2</sub> is important as they play a crucial role for atmospheric chemistry. This study presents an advanced version of a tagging method for OH and HO<sub>2</sub> (HO<sub>x</sub>) which attributes HO<sub>x</sub> concentrations to emissions. While the former version V1.0 only considered 12 reactions in the troposphere, the new version V1.1, presented here, takes 19 reactions in the troposphere into account. For the first time, also the main chemical reactions for the HO<sub>x</sub> chemistry in the stratosphere are regarded (in total 27 reactions). To fully take into account the main HO<sub>2</sub> source by the reaction of H and O<sub>2</sub>, the tagging of H radical is introduced. In order to ensure the steady-state assumption, we introduce rest terms which balance the deviation of HO<sub>x</sub> production and loss. This closes the budget between the sum of all contributions and the total concentration. The contributions to OH and HO<sub>2</sub> obtained by the advanced tagging method V1.1 deviate from V1.0 in certain source categories. For OH, major changes are found in the categories biomass burning, biogenic emissions and methane decomposition. For HO<sub>2</sub>, the contributions differs strongly in the categories biogenic emissions and methane decomposition. As HO<sub>x</sub> reacts with ozone (O<sub>3</sub>), carbon monoxide (CO), reactive nitrogen compounds (NO<sub>y</sub>), non-methane hydrocarbons (NMHC) and peroxyacyl nitrates (PAN), the contributions to these species are also modified by the advanced HO<sub>x</sub> tagging method V1.1. The contributions to NO<sub>y</sub>, NMHC and PAN show only little changes, whereas O<sub>3</sub> from biogenic emissions and methane decomposition increases in the tropical troposphere. Variations for CO from biogenic emissions and biomass burning are only found in the Southern Hemisphere.

## 1 Introduction

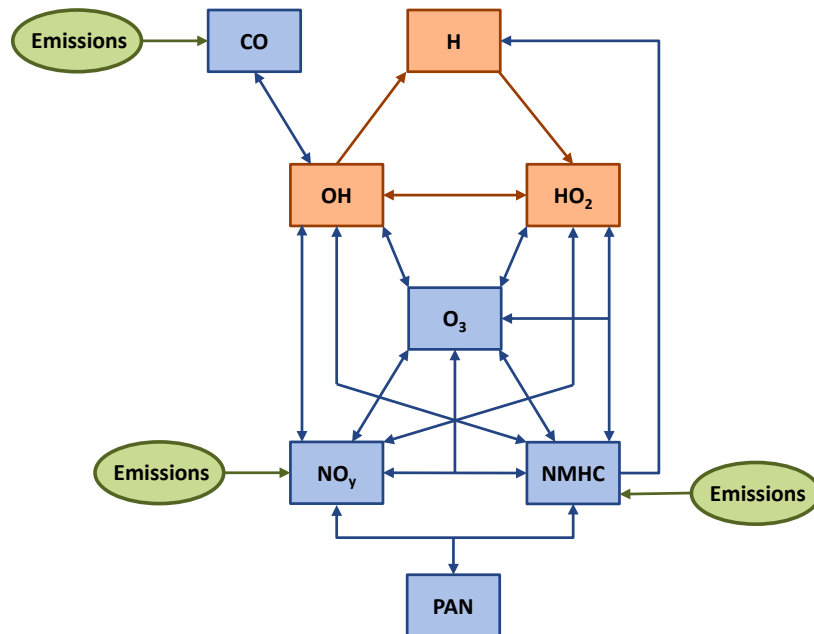
The radicals hydroxyl (OH) and hydroperoxyl (HO<sub>2</sub>) are crucial for atmospheric chemistry. Both radicals are very reactive and have a lifetime of only a few seconds. OH is frequently converted to HO<sub>2</sub> and vice versa. Thus, OH and HO<sub>2</sub> radicals are closely linked and often referred together as chemical family HO<sub>x</sub>. The ratio of OH to HO<sub>2</sub> in an air parcel strongly depends on the chemical background, in particular on the composition of nitrogen oxides NO<sub>x</sub> (= NO + NO<sub>2</sub>) and non-methane hydrocarbons (NMHC) (Heard and Pilling, 2003).

HO<sub>x</sub> impacts global warming and local air quality in various ways: Reacting with greenhouse gases such as methane (CH<sub>4</sub>) and ozone (O<sub>3</sub>), OH reduces their atmospheric residence time (e.g. Stevenson et al. (2006); Voulgarakis et al. (2013); Righi et al. (2015)). Hence, HO<sub>x</sub> controls the impact of CH<sub>4</sub> and O<sub>3</sub> on global warming. Moreover, being the main oxidizer in the troposphere, OH is involved in the decomposition of pollutants as well as in the production of ground-level ozone, photochemical smog and secondary organic aerosols (e.g. Lawrence et al. (2001); Heard and Pilling (2003)). Consequently, to quantify human impact on climate and air quality, it is essential to understand the distribution and variability of OH and HO<sub>2</sub> in the atmosphere.

However, the determination of OH and HO<sub>2</sub> concentrations in the atmosphere is still challenging due to their short lifetimes. In field campaigns HO<sub>x</sub> concentrations are measured on a local scale which is generally difficult to compare with global models (e.g. Ren et al., 2003; Olson et al., 2006). For certain environments, such as marine boundary layer, model studies compare well with measurements. Other regions, such as unpolluted forest areas, show large discrepancies (Heard and Pilling, 2003; Stone et al., 2012). On regional and global scale, no direct HO<sub>x</sub> measurements are available. So far, OH concentration and its interannual variability can only be estimated indirectly by measurements and emission rates of methyl chloroform (CH<sub>3</sub>CCl<sub>3</sub>) (Prinn et al., 2005; Montzka et al., 2011). As emissions of CH<sub>3</sub>CCl<sub>3</sub> steadily decline, Liang et al. (2017) suggest an alternative method: They combine several trace gases such as CH<sub>2</sub>F<sub>2</sub>, CH<sub>2</sub>FCF<sub>3</sub>, CH<sub>3</sub>CHF<sub>2</sub> and CHClF<sub>2</sub> in a gradient-trend based two-box model approach to derive a global OH concentration of  $11.2 \cdot 10^5 \text{ molec cm}^{-3}$ . Overall, global chemistry climate models estimate a tropospheric OH concentration of around  $11 \cdot 10^5 \text{ molec cm}^{-3}$  (Naik et al., 2013), which compares well with the observation-based results from Prinn et al. (2005) and Liang et al. (2017).

To mitigate human impact on climate change or pollution in general, it is crucial to determine the contribution of an emission sector to the concentration of certain chemical species (Grewe et al., 2012; Clappier et al., 2017). To do so, we use a "tagging" method: the theoretical framework of this tagging method is given in Grewe et al. (2010) and Grewe (2013), whereas the implementation is described in Grewe et al. (2017). This method splits up all chemical species which are important for O<sub>3</sub> production and destruction into ten source categories: emissions from anthropogenic non-traffic (e.g. industry and households), road traffic, shipping, aviation, biogenic sources, biomass burning, lightning, methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) decompositions and stratospheric ozone production. Subsequently, the contributions of these sources to the concentrations of O<sub>3</sub>, CO, OH, HO<sub>2</sub>, peroxyacyl nitrates (PAN), reactive nitrogen compounds (NO<sub>y</sub>, e.g. NO, NO<sub>2</sub>, HNO<sub>4</sub>, ...) and non-methane hydrocarbons (NMHC) are diagnosed. The contribution calculations are based on chemical reaction rates, online emissions (e.g. lightning), offline emissions (e.g. road traffic) and deposition rates. Emissions of NO and NO<sub>2</sub> contribute to NO<sub>y</sub> concentration, while emissions of e.g. C<sub>2</sub>H<sub>4</sub>, C<sub>3</sub>H<sub>6</sub> or HCHO account to NMHC concentration. This tagging method considers the competition of NO<sub>y</sub>, CO and NMHC in producing and destroying O<sub>3</sub>.

The tagging method of the long-lived species O<sub>3</sub>, CO, PAN, NO<sub>y</sub> and NMHC and of the short-lived species OH and HO<sub>2</sub> is based on the same principles of apportioning the contributions. (In this study, O<sub>3</sub>, CO, PAN, NO<sub>y</sub> and NMHC are denoted as long-lived species because their atmospheric lifetime is significantly longer than the lifetime of OH and HO<sub>2</sub>.) However, the implementation for long-lived and short-lived species differs. For the long-lived species, each source tracer is transported, receives the corresponding online or offline emissions, is deposited and reacts with other species. Based on these processes, the

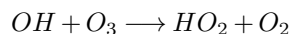


**Figure 1.** Sketch of chemistry used in advanced tagging mechanism V1.1. Blue boxes indicate tagged long-lived species, orange boxes display tagged short-lived species. Green boxes represent the emissions of CO, NO<sub>y</sub> and NMHC.

tagging method determines the concentration of the source tracers. A detailed description of the implementation of the tagging method for long-lived species is given in Grewe et al. (2017).

However, the short-lived species HO<sub>x</sub> are not transported and experience neither emissions nor deposition. Thus, the same implementation of the tagging method as for long-lived species is not possible. Tsati (2014) and Grewe et al. (2017) introduced a modified approach for tagging HO<sub>x</sub>: since the lifetime of OH and HO<sub>2</sub> is very short, a steady-state between the production and destruction of OH and HO<sub>2</sub> is assumed. Using the main chemical reactions of HO<sub>x</sub> chemistry, the contributions of each source category to OH and HO<sub>2</sub> are determined.

The contributions to long-lived and short-lived species are closely linked (see Fig. 1). For example, the reaction



involves the long-lived species O<sub>3</sub> and the short-lived species OH and HO<sub>2</sub>. Hence, this reaction is considered in the implementation of the tagging method for long-lived and short-lived species. The contribution of, for example, shipping emissions to O<sub>3</sub> influences the contribution of shipping emissions to HO<sub>2</sub>: the higher the contribution to O<sub>3</sub> is the more HO<sub>2</sub> is attributed to shipping emissions. Furthermore, OH from shipping emissions destroys O<sub>3</sub> and thus reduces the contribution of shipping emissions to O<sub>3</sub>.

The implementation of the tagging method for the short-lived species HO<sub>x</sub>, presented by Grewe et al. (2017), is referred to HO<sub>x</sub> tagging method V1.0. It did not consider all relevant reactions for the production and loss of HO<sub>x</sub>. Especially, the reactions which are important in the stratosphere were not taken into account. Moreover, the steady-state assumption between HO<sub>x</sub> production and loss was not fulfilled. In this study, we present a revised version V1.1 of the HO<sub>x</sub> tagging method, largely  
5 improving these shortcomings. It includes the main chemical reactions of HO<sub>x</sub> chemistry in the troposphere and stratosphere. This is enabled by introducing the tagging of the hydrogen radical (H). Special care is taken for the steady-state assumption.

The paper is structured as follows: After introducing the model setup in Section 2, we present the advanced HO<sub>x</sub> tagging method V1.1 in Section 3. In Section 4, the results are compared with the tagging method V1.0 by Grewe et al. (2017). Finally, Section 5 concludes the method and the results of this study.

## 10 2 Model description of EMAC and MECO(n)

To evaluate the further developed HO<sub>x</sub> tagging method we use the same model setup as Grewe et al. (2017). A global climate simulation is performed with the ECHAM/MESSy Atmospheric Chemistry (EMAC) chemistry climate model. EMAC is a numerical chemistry and climate simulation system that includes submodels describing tropospheric and middle atmosphere processes and their interaction with oceans, land and human influences (Jöckel et al., 2010). It uses the second version of the  
15 Modular Earth Submodel System (MESSy2.53) to link multi-institutional computer codes. The core atmospheric model is the 5th generation European Centre Hamburg general circulation model (ECHAM5, Roeckner et al. (2006)). For the present study we apply EMAC in the T42L90MA-resolution, i.e. with a spherical truncation of T42 (corresponding to a quadratic Gaussian grid of approx. 2.8° by 2.8° in latitude and longitude) with 90 vertical hybrid pressure levels up to 0.01 hPa. For the simulation presented in this study, the time span of July 2007 to December 2008 is considered: half a year as a spin-up and one year for  
20 the analysis.

For the chemical scheme, we use the submodel MECCA (Module Efficiently Calculating the Chemistry of the Atmosphere) which is based on Sander et al. (2011) and Jöckel et al. (2010). The chemical mechanism includes 218 gas phase, 12 heterogeneous and 68 photolysis reactions. In total 188 species are considered. It regards the basic chemistry of OH, HO<sub>2</sub>, O<sub>3</sub>, CH<sub>4</sub>, nitrogen oxides, alkanes, alkenes, chlorine and bromine. Alkynes, aromatics and mercury are not considered.

25 Total global emissions of lightning NO<sub>x</sub> are scaled to approximately 4 Tg(N) a<sup>-1</sup> (parametrized according to Grewe et al. (2001)). The submodel ONEMIS (Kerkweg et al., 2006) calculates NO<sub>x</sub> emissions from soil (parametrized according to Yienger and Levy (1995)) and biogenic C<sub>5</sub>H<sub>8</sub> emissions (parametrized according to Guenther et al. (1995)). Direct CH<sub>4</sub> emissions are not considered, instead pseudo-emissions are calculated using the submodel TNUDGE (Kerkweg et al., 2006). This submodel relaxes the mixing ratios in the lowest model layer towards observations by Newtonian relaxation (more details  
30 are given by Jöckel et al. (2016)).

To show the effect of the HO<sub>x</sub> tagging method on a regional scale, a further simulation with the coupled model system MESSyified ECHAM and COSMO models nested n-times (MECO(n)) is performed. The nested system couples the global chemistry climate model EMAC online with the regional chemistry climate model COSMO/MESSy (Kerkweg and Jöckel,

2012a, b). To test the HO<sub>x</sub> tagging in MECO(n), we conduct a simulation using one COSMO/MESSy nest over Europe with a resolution of 0.44°. EMAC is applied in a horizontal resolution of T42 with 31 vertical levels. The period from July 2007 to December 2008 is simulated. The setup of the simulation is identical to the one described in Grewe et al. (2017). A detailed chemical evaluation of the setup is given in Mertens et al. (2016).

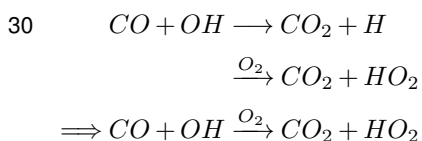
5 Both model simulations are based on the quasi chemistry-transport model (QCTM) mode in which the chemistry is decoupled from the dynamics (Deckert et al., 2011). The anthropogenic emissions are taken from the MACCity emission inventory (Granier et al., 2011). The TAGGING submodel (as described by Grewe et al. (2017)) is coupled to the detailed chemical solver MECCA from which it obtains information about tracer concentrations and reaction rates. Based on this information, it calculates the contributions of source categories to O<sub>3</sub>, CO, NO<sub>y</sub>, PAN and NMHC concentration. The contributions of OH and  
 10 HO<sub>2</sub> are calculated with the advanced method V1.1 presented in the next section. The implementation is based on MESSy2.53 and will be available in MESSy2.54.

### 3 Tagging method of short-lived species

#### 3.1 Tagging method V1.0

The tagging method V1.0 described by Grewe et al. (2017) determines the contribution of source categories to O<sub>3</sub>, NO<sub>y</sub>,  
 15 CO, NMHC, PAN, OH and HO<sub>2</sub> concentrations. Ten source categories are considered and every species included in the tagging method is decomposed into these categories: For example, the concentration of O<sub>3</sub> is split up into O<sub>3</sub> produced by anthropogenic non-traffic (e.g. industry) emissions (O<sub>3</sub><sup>ant</sup>), road traffic emissions (O<sub>3</sub><sup>tra</sup>), ship emissions (O<sub>3</sub><sup>shp</sup>), air traffic emissions (O<sub>3</sub><sup>air</sup>), biogenic emissions (O<sub>3</sub><sup>bio</sup>), biomass burning (O<sub>3</sub><sup>bb</sup>), lightning (O<sub>3</sub><sup>lig</sup>), methane decomposition (O<sub>3</sub><sup>CH<sub>4</sub></sup>), nitrous oxide decomposition (O<sub>3</sub><sup>N<sub>2</sub>O</sup>) and stratospheric ozone production (O<sub>3</sub><sup>str</sup>). These tagged species go through the same chemical  
 20 reactions and the same deposition loss processes as O<sub>3</sub>. The tagging method uses a combinatoric approach to determine the contributions: It redistributes the production and loss rates of each species to the ten source categories according to the concentrations of the tagged species. Details on the tagging theory and implementation in EMAC and MECO(n) are found in Grewe (2013) and Grewe et al. (2017), respectively.

For the first time, V1.0 determined the contribution of source categories to OH and HO<sub>2</sub> concentrations. The tagging method  
 25 V1.0 was based on 12 reactions for the HO<sub>x</sub> chemistry (reactions marked with "o" in last column of Table 1). It included the main production and loss reactions of HO<sub>x</sub> with O<sub>3</sub>, NO<sub>y</sub>, NMHC, CO and CH<sub>4</sub>. V1.0 only regarded reactions which are important in the troposphere. Reactions which mainly occur in the stratosphere were not taken into account. However, the main HO<sub>2</sub> production by the reaction (1) H + O<sub>2</sub> → HO<sub>2</sub> (see Table 1) was not regarded. It was combined with reaction (11) CO + OH → H + CO<sub>2</sub> (see Table 1) to



But not all H radicals in the troposphere are produced by the reaction of CO + OH. Also the reactions (7) OH + O(<sup>3</sup>P), (10) H<sub>2</sub> + OH and (28) HCHO + hν produce H (Table 2). These reactions were neglected in V1.0. Thus, only 80 % of the H production and therefore only 80 % of the HO<sub>2</sub> production by reaction (1) was considered in the troposphere. In the stratosphere, the reaction of CO + OH becomes less important and most of H is produced by reactions (7) and (28). Consequently, only 6 % of the H and also of HO<sub>2</sub> production by reaction (1) was regarded by this approach. (Numbers are derived from a EMAC simulation as described in Sect. 2.)

In the troposphere, the most important reactions not covered in V1.0 are reaction (1) H + O<sub>2</sub> as well as reaction (15) NO<sub>2</sub> + HO<sub>2</sub> and (18) decomposition of HNO<sub>4</sub>. In the stratosphere, reactions (1) H + O<sub>2</sub>, (5) HO<sub>2</sub> + O(<sup>3</sup>P) and (7) OH + O(<sup>3</sup>P) play a leading role and were not included in V1.0.

Most reaction rates used in the tagging method corresponds to the production and loss rates directly provided by the chemical scheme MECCA of EMAC. However for reactions with NMHC, the reaction rates were obtained indirectly. The reaction rate of OH with NMHC (reaction 21, Table 1) was determined via the production rates of CO by assuming that each reaction of OH with NMHC produces one CO molecule. This method neglects all intermediate oxidation reactions of NMHC and considers only these reactions when NMHC is finally oxidized to CO. For the reaction rates of NO<sub>y</sub> and HO<sub>2</sub> with NMHC (reaction 22 and 23), only the reaction of HO<sub>2</sub> with methylperoxy radical (CH<sub>3</sub>O<sub>2</sub>) was considered.

To derive the contributions to OH and HO<sub>2</sub>, steady-state between HO<sub>x</sub> production and loss was assumed. However, the steady-state assumption was not completely fulfilled for V1.0 (see Sect. 3.4). Moreover, the sum over the contributions of the ten source categories to the OH and HO<sub>2</sub> concentrations did not equal the total OH and HO<sub>2</sub> concentrations. It deviated by about 70 %.

### 3.2 Reduced HO<sub>x</sub> reaction system V1.1

OH and HO<sub>2</sub> react with many chemical species. To reduce the calculation time of a simulation, we reduce the HO<sub>x</sub> chemistry used in chemical scheme MECCA to the most important reactions which occur in the troposphere and stratosphere. We consider only reactions with a tropospheric or stratospheric annual mean reaction rate larger than 10<sup>-15</sup> mol mol<sup>-1</sup>s<sup>-1</sup> (see Table 1). Hence, we increase the number of reactions from 12 (V1.0) to 27 (V1.1), which still constitutes a reduced set of reactions compared to the full chemical scheme MECCA used in EMAC. In the following, we call this set *reduced HO<sub>x</sub> reaction system V1.1*.

The reactions which are important in the troposphere are indicated in Table 1. As stated above, reaction (1) of H and O<sub>2</sub> dominates the HO<sub>2</sub> production in the troposphere. It produces 49 % of tropospheric HO<sub>2</sub>. In V1.0, only part of this HO<sub>2</sub> source was regarded (see Sect. 3.1). The most important HO<sub>2</sub> loss is the reaction with NO (reaction 14) followed by the reaction with itself producing H<sub>2</sub>O<sub>2</sub> (reaction 3) which accounts for 32 % and 12 % of tropospheric HO<sub>2</sub> loss. The production via H<sub>2</sub>O and O(<sup>1</sup>D) produces about 21 % of tropospheric OH (reaction 2). The excited oxygen radical (O(<sup>1</sup>D)) originates from the photolysis of O<sub>3</sub>. Also reaction (14) of NO and HO<sub>2</sub> produces 32 % of tropospheric OH. OH is mostly destroyed by CO (reaction 11, 38 %) followed by NMHC (reaction 21, 25 %).

|    | reaction   |  | rates    | tropos. | stratos. | V1.1 |
|----|--|--|----------|---------|----------|------|
| 1  | $\text{H} + \text{O}_2 \longrightarrow \text{HO}_2$  |  | $R_1$    | x       | x        | (x)  |
| 2  | $\text{H}_2\text{O} + \text{O}(^1\text{D}) \longrightarrow 2 \text{OH}$  |  | $R_2$    | x       | x        | o    |
| 3  | $\text{HO}_2 + \text{HO}_2 \longrightarrow \text{H}_2\text{O}_2 + \text{O}_2$                                  |  | $R_3$    | x       |          | o    |
| 4  | $\text{HO}_2 + \text{O}_3 \longrightarrow \text{OH} + 2 \text{O}_2$  |  | $R_4$    | x       | x        | o    |
| 5  | $\text{HO}_2 + \text{O}(^3\text{P}) \longrightarrow \text{OH} + \text{O}_2$                                    |  | $R_5$    |         | x        | x    |
| 6  | $\text{OH} + \text{O}_3 \longrightarrow \text{HO}_2 + \text{O}_2$  |  | $R_6$    | x       | x        | o    |
| 7  | $\text{OH} + \text{O}(^3\text{P}) \longrightarrow \text{H} + \text{O}_2$                                       |  | $R_7$    |         | x        | x    |
| 8  | $\text{HO}_2 + \text{OH} \longrightarrow \text{H}_2\text{O} + \text{O}_2$                                      |  | $R_8$    | x       | x        | o    |
| 9  | $\text{H}_2\text{O}_2 + \text{OH} \longrightarrow \text{H}_2\text{O} + \text{HO}_2$                            |  | $R_9$    | x       |          | x    |
| 10 | $\text{H}_2 + \text{OH} \longrightarrow \text{H}_2\text{O} + \text{H}$   |  | $R_{10}$ | x       |          | x    |
| 11 | $\text{CO} + \text{OH} \longrightarrow \text{H} + \text{CO}_2$   |  | $R_{11}$ | x       | x        | o    |
| 12 | $\text{CH}_4 + \text{OH} \longrightarrow \text{CH}_3 + \text{H}_2\text{O}$                                     |  | $R_{12}$ | x       | x        | o    |
| 13 | $\text{ClO} + \text{OH} \longrightarrow 0.94 \text{Cl} + 0.94 \text{HO}_2 + 0.06 \text{HCl} + 0.06 \text{O}_2$ |  | $R_{13}$ |         | x        | x    |
| 14 | $\text{NO} + \text{HO}_2 \longrightarrow \text{NO}_2 + \text{OH}$  |  | $R_{14}$ | x       | x        | o    |
| 15 | $\text{NO}_2 + \text{HO}_2 \longrightarrow \text{HNO}_4$   |  | $R_{15}$ | x       | x        | x    |
| 16 | $\text{NO} + \text{OH} \longrightarrow \text{HONO}$  |  | $R_{16}$ |         | x        | x    |
| 17 | $\text{NO}_2 + \text{OH} \longrightarrow \text{HNO}_3$   |  | $R_{17}$ |         | x        | o    |
| 18 | $\text{HNO}_4 \longrightarrow \text{NO}_2 + \text{HO}_2$   |  | $R_{18}$ | x       |          | x    |
| 19 | $\text{HONO} + h\nu \longrightarrow \text{NO} + \text{OH}$   |  | $R_{19}$ |         | x        | x    |
| 20 | $\text{HNO}_3 + h\nu \longrightarrow \text{NO}_2 + \text{OH}$  |  | $R_{20}$ |         | x        | x    |
| 21 | $\text{NMHC} + \text{OH} \longrightarrow \text{NMHC}$  |  | $R_{21}$ | x       |          | o    |
| 22 | $\text{NMHC} + \text{HO}_2 \longrightarrow \text{NMHC}$  |  | $R_{22}$ | x       |          | o    |
| 23 | $\text{NMHC} + \text{NO}_y \longrightarrow \text{HO}_2 + \text{NMHC} + \text{NO}_y$                            |  | $R_{23}$ | x       | x        | o    |
| 24 | $\text{NMHC} + \text{OH} \longrightarrow \text{NMHC} + \text{HO}_2$  |  | $R_{24}$ | x       |          | x    |
| 25 | $\text{NMHC} + h\nu \longrightarrow \text{NMHC} + \text{HO}_2$   |  | $R_{25}$ | x       |          | x    |
| 26 | $\text{ClO} + \text{HO}_2 \longrightarrow \text{HOCl} + \text{O}_2$  |  | $R_{26}$ |         | x        | x    |
| 27 | $\text{BrO} + \text{HO}_2 \longrightarrow \text{HOBr} + \text{O}_2$  |  | $R_{27}$ |         | x        | x    |

**Table 1.** Reduced HO<sub>x</sub> reaction system V1.1 describes the main reactions of HO<sub>x</sub> chemistry in troposphere and stratosphere. These 27 reactions are used for the tagging method V1.1. In the column "tropos." ("stratos."), reactions which are important in the troposphere (stratosphere) are marked. In the column "V1.1", reactions marked with "o" were already included in V1.0. Reactions marked with "x" are added in V1.1. Reactions marked with "(x)" were only partly taken into account in V1.0. The numbers of reactions are referenced in the text.

In the stratosphere different chemical reactions become important. Here, OH is mainly destroyed by O<sub>3</sub>, producing 40 % of stratospheric HO<sub>2</sub>. The reaction is partly counteracted by the reaction (14) which produces 21 % of OH and destroys 24 % of HO<sub>2</sub>. Since large quantities of O<sub>3</sub> are found in the stratosphere, O<sub>3</sub> or the excited oxygen radical (O(<sup>3</sup>P)) destroys about 62 % of HO<sub>2</sub>. Reactions with NMHC, CO and CH<sub>4</sub> play only a minor role in the stratosphere.

- 5 Reactions of OH and HO<sub>2</sub> with chlorine and bromide were not considered in V1.0. We add these reactions, which take place only in the stratosphere, to the tagging method V1.1. Reactions (21) to (25) involve the chemical family NMHC which contains several species such as formaldehyde (HCHO), ethylene (C<sub>2</sub>H<sub>4</sub>) and propane (C<sub>3</sub>H<sub>8</sub>). The rate for reaction (21) is determined by adding up the rates of all reactions of OH with each single species of the family NMHC. Reaction rate (23) contains all rates of the reactions between the species of the chemical families NO<sub>y</sub> and NMHC. All reaction rates are directly derived by  
10 MECCA mechanism of EMAC.

Table 1 does not consider all reactions with annual reaction rates larger than 10<sup>-15</sup> mol mol<sup>-1</sup>s<sup>-1</sup>. The photolysis of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), hypochlorous acid (HOCl) and hypobromous acid (HOBr) are excluded from the reduced HO<sub>x</sub> reaction system V1.1 as the tagging method can not be applied. The specific reasons are explained in Appendix A.

### 3.3 Deductions of tagged species

- 15 To derive how much OH and HO<sub>2</sub> is produced and destroyed by a source category *i*, the tagging approach described in Grewe et al. (2010, 2017) is used. In general, bimolecular reactions with two chemical species A + B → C are tagged as follows: Each tagged species is split up into its contribution from *n* source categories  $A = \sum_{i=1}^n A^i$ ,  $B = \sum_{i=1}^n B^i$  and  $C = \sum_{i=1}^n C^i$ . These contributions ( $A^i, B^i, C^i$ ) go through the same reactions as their main species (*A, B, C*). If *A* from category *i* reacts with *B* from category *j*, then the resulting species *C* belongs half to the category *i* and half to the category *j*:



Consequently, the production *P* and loss *L* of a species from the category *i* (here  $LossA^i$ ,  $LossB^i$  and  $ProdC^i$ ) are determined by regarding all possible combinations of the reaction between  $A^i$  and  $B^j$ :

$$LossA^i = LossB^i = ProdC^i = k \left( A^i B^i + \sum_{j=1, j \neq i}^n \frac{1}{2} A^i B^j + \sum_{j=1, j \neq i}^n \frac{1}{2} A^j B^i \right) = \frac{1}{2} R \left( \frac{A^i}{A} + \frac{B^i}{B} \right) \quad (2)$$

- with *k* being the reaction rate coefficient and  $R = kAB$  being the respective reaction rate. For unimolecular reactions A →  
25 B + C, the distribution of categories from the educts is completely passed to the products:

$$LossA^i = ProdB^i = ProdC^i = R \frac{A^i}{A} \quad (3)$$

with the reaction rate  $R = kA$ .

- As described above, the long-lived species O<sub>3</sub>, CO, NO<sub>y</sub> and NMHC are tagged according to the tagging method described in Grewe et al. (2017). To limit memory demand, other species such as H<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>, CH<sub>4</sub>, ClO and BrO are not tagged (as in  
30 V1.0). Here, different approaches are derived to retain the ratio of contribution to total concentration  $\frac{A^i}{A}$ :



|    | reaction                   |   | rates                    | tropos.         | stratos. |   |
|----|----------------------------|---|--------------------------|-----------------|----------|---|
| 1  | H + O <sub>2</sub>         | → | HO <sub>2</sub>          | R <sub>1</sub>  | x        | x |
| 7  | OH + O( <sup>3</sup> P)    | → | H + O <sub>2</sub>       | R <sub>7</sub>  |          | x |
| 10 | H <sub>2</sub> + OH        | → | H <sub>2</sub> O + H     | R <sub>10</sub> | x        |   |
| 11 | CO + OH                    | → | H + CO <sub>2</sub>      | R <sub>11</sub> | x        | x |
| 28 | HCHO + O <sub>2</sub> + hν | → | H + CO + HO <sub>2</sub> | R <sub>28</sub> | x        |   |

**Table 2.** Reduced H reaction system describes the main reactions of H. In the column "tropos." ("stratos."), reactions which are important in the troposphere (stratosphere) are marked. The numbers of the reactions correspond to the numbers in Table 1.

1. If a tagged species reacts with a non-tagged species, the non-tagged species does not contribute and the tagging method for a unimolecular reaction is applied (see equation 3). Examples are reactions (9), (10) and (13).
2. Using the family concept as described in Grewe et al. (2017) allows the assumption that all tags are distributed equally among the species within the same chemical family. It follows:

$$5 \quad \frac{NO^i}{NO} = \frac{NO_2^i}{NO_2} = \frac{HNO_4^i}{HNO_4} = \frac{NO_y^i}{NO_y} \quad (4)$$

As mentioned in Grewe et al. (2017), all species which are frequently converted back and forth to ozone are considered as an "ozone storage" (Crutzen and Schmailzl, 1983). These species together with O<sub>3</sub> are lumped into one chemical family "ozone". Both O(<sup>1</sup>D) and O(<sup>3</sup>P) belong to this chemical family. Hence, as in Grewe et al. (2017), we apply the family concept and set:

$$10 \quad \frac{O(^1D)^i}{O(^1D)} = \frac{O(^3P)^i}{O(^3P)} = \frac{O_3^i}{O_3} \quad (5)$$

3. In reaction (1), neither H nor O<sub>2</sub> are tagged. To obtain the ratio  $\frac{HO_2^i}{HO_2}$ , we set up an extra tagging of H itself. As the H radical is very reactive, we assume that H production balances H loss (see Sect. 3.4). Table 2 presents the main reactions for H which still constitute a subset of full H chemistry implemented in MECCA. Based on Table 2, we set up the H production  $ProdH^i$  and H loss  $LossH^i$  for the contribution of a source category  $i$ :

$$15 \quad ProdH^i = \frac{1}{2}R_7 \left( \frac{OH^i}{OH} + \frac{O_3^i}{O_3} \right) + R_{10} \frac{OH^i}{OH} + \frac{1}{2}R_{11} \left( \frac{CO^i}{CO} + \frac{OH^i}{OH} \right) + R_{28} \frac{NMHC^i}{NMHC} \quad (6)$$

$$LossH^i = R_1 \frac{H^i}{H} \quad (7)$$

As mentioned above, the family concept also sets  $\frac{HCHO^i}{HCHO} = \frac{NMHC^i}{NMHC}$ . Since the steady-state assumption applies for H (see Sect. 3.4), the H production per source category  $i$   $ProdH^i$  equals the loss  $LossH^i$ . After setting eq. (6) and (7) equal to each other, we obtain:

$$20 \quad \frac{H^i}{H} = \frac{1}{2}R_7 \left( \frac{OH^i}{OH} + \frac{O_3^i}{O_3} \right) + \frac{R_{10}}{R_1} \frac{OH^i}{OH} + \frac{1}{2} \frac{R_{11}}{R_1} \left( \frac{CO^i}{CO} + \frac{OH^i}{OH} \right) + \frac{R_{28}}{R_1} \frac{NMHC^i}{NMHC} \quad (8)$$

|                |                 | OH          |             | HO <sub>2</sub> |             | H           |             |
|----------------|-----------------|-------------|-------------|-----------------|-------------|-------------|-------------|
|                |                 | prod.       | loss        | prod.           | loss        | prod.       | loss        |
| total - MECCA  | tropos.         | 0.49        | 0.49        | 0.49            | 0.49        | 0.24        | 0.24        |
|                | <i>stratos.</i> | <i>2.78</i> | <i>2.78</i> | <i>2.48</i>     | <i>2.48</i> | <i>7.09</i> | <i>7.09</i> |
| reduced - V1.1 | tropos.         | 0.43        | 0.48        | 0.47            | 0.49        | 0.24        | 0.24        |
|                | <i>stratos.</i> | <i>2.49</i> | <i>2.76</i> | <i>2.47</i>     | <i>2.48</i> | <i>7.06</i> | <i>5.99</i> |
| reduced - V1.0 | tropos.         | 0.43        | 0.47        | 0.29            | 0.42        | -           | -           |
|                | <i>stratos.</i> | <i>0.86</i> | <i>1.30</i> | <i>1.19</i>     | <i>0.84</i> | -           | -           |

**Table 3.** Annual mean of OH, HO<sub>2</sub> and H production and loss rates (air mass weighted) in 10<sup>-13</sup> mol mol<sup>-1</sup> s<sup>-1</sup> for the total rates (derived from the complete chemical scheme MECCA in EMAC) and for the rates of the reduced reaction system of the tagging method V1.0 and V1.1. The first row gives the rates for the troposphere, the second row for the stratosphere (written in italic).

These different approaches are applied to the reduced HO<sub>x</sub> reaction system V1.1 (Table 1) to derive the contributions of source categories to OH and HO<sub>2</sub> in Sect. 3.5.

### 3.4 Steady-state assumption

The steady-state assumption of the HO<sub>x</sub> chemistry is the basic principle of the tagging method for short-lived species (Tsati, 2014; Grewe et al., 2017). In steady-state, the production and loss of OH and HO<sub>2</sub> balance each other. Table 3 shows annual means of HO<sub>x</sub> and H production and loss rates of the reduced reaction system for the tagging methods V1.0 and V1.1 as well as the total production and loss rates derived from the complete chemical scheme MECCA in EMAC. The production and loss rates are obtained from an EMAC simulation following the setup described in Sect. 2. Note that for V1.0 no values for the H production and loss are available since the tagging of H was not considered in V1.0.

In general, total OH production (derived by MECCA) equals total OH loss in the troposphere and stratosphere. The same holds for HO<sub>2</sub> and H. In the troposphere, the OH loss of V1.1 and V1.0 represents well the total OH loss in the troposphere. However, the OH production for V1.1 and V1.0 differs by 12 % from the total OH production. Considering HO<sub>2</sub> in the troposphere, the total production and loss rates are well reflected by V1.1. In contrast, the HO<sub>2</sub> production and loss of V1.0 differs by 14 % and 41 % from the total rates.

In the stratosphere, V1.1 represents the total rates very well. However, the OH production of V1.1 misses 10 % of the total OH production. Since V1.0 was only developed for the troposphere, not all reactions which are important in the stratosphere were considered. Thus, the OH and HO<sub>2</sub> production and loss rates of V1.0 considerably underestimated the total production and loss rates.

The reduced H reaction system in V1.1 (Table 2) represents the total H production and loss in the troposphere very well. However in the stratosphere, H loss in V1.1 deviates by 17 % from the total H loss.

Summing up, the reduced HO<sub>x</sub> reaction system V1.1 represents well the total HO<sub>x</sub> production and loss in the troposphere and stratosphere. V1.1 reproduces the HO<sub>x</sub> chemistry better than V1.0. However, OH production in troposphere and stratosphere as well as H loss in the stratosphere of V1.1 deviates from the total rates derived by MECCA. Thus, the state-state for the reduced HO<sub>x</sub> and H reaction system (Tables 1 and 2) is not completely fulfilled.

5 But steady-state between production and loss is crucial for the tagging method for short-lived species. To re-establish steady-state, it would be necessary to include the complete HO<sub>x</sub> and H chemistry in the tagging method. However, this is not possible as the tagging method of short-lived species does not apply to all reactions of the HO<sub>x</sub> and H chemistry (for examples see Appendix A). Moreover, tagging all chemical species of the HO<sub>x</sub> and H chemistry with the implementation of long-lived species would significantly increase the memory demand of a climate simulation (for detailed discussion see Section 6 in  
10 Grewe et al. (2017)). Consequently, we introduce rest terms *resOH*, *resHO<sub>2</sub>* and *resH* for OH, HO<sub>2</sub> and H to compensate for the deviations from steady-state. Each rest term is calculated by subtracting the production rate of the reduced reaction system from the loss rate (Tables 1 and 2). The resulting rest terms are shown in the Supplement (Fig. S1).

Considering the rest terms *resOH*, *resHO<sub>2</sub>* and *resH* leads to the closure of the budget. In V1.0, the sum over the contributions from all source categories did not balance the total concentration. The averaged deviations for OH and HO<sub>2</sub> in troposphere  
15 were about 70 % of the total concentrations. Since the stratosphere was not considered in V1.0, the deviations were even larger (104 % for OH and 89 % for HO<sub>2</sub>). In V1.1, the sum of OH and HO<sub>2</sub> now balances the total OH and HO<sub>2</sub> concentrations. The deviations are negligible (below 10<sup>-3</sup> %). Consequently, including the rest terms to the tagging method is mandatory for the steady-state assumption and also closes the budget.

### 3.5 Determination of HO<sub>x</sub> contributions

20 Taking the above considerations into account, we finally derive the OH and HO<sub>2</sub> production and loss terms per source category *i*. In the reduced HO<sub>x</sub> reaction system V1.1 (Table 1), OH is produced by the reactions (2) H<sub>2</sub>O + O(<sup>1</sup>D), (4) HO<sub>2</sub> + O<sub>3</sub>, (5) HO<sub>2</sub> + O(<sup>3</sup>P), (14) NO + HO<sub>2</sub>, (19) HONO + *hν* and (20) HNO<sub>3</sub> + *hν*. Applying the partitioning described in Sect. 3.3, the OH production for a source category *i* *ProdOH<sup>i</sup>* is determined as follows:

$$\begin{aligned}
 ProdOH^i = & 2 \cdot R_2 \frac{O_3^i}{O_3} + \frac{1}{2} R_4 \left( \frac{HO_2^i}{HO_2} + \frac{O_3^i}{O_3} \right) + \frac{1}{2} R_5 \left( \frac{HO_2^i}{HO_2} + \frac{O_3^i}{O_3} \right) + \frac{1}{2} R_{14} \left( \frac{NO_y^i}{NO_y} + \frac{HO_2^i}{HO_2} \right) \\
 & + R_{19} \frac{NO_y^i}{NO_y} + R_{20} \frac{NO_y^i}{NO_y}
 \end{aligned} \tag{9}$$

25 OH is destroyed by the reactions (6) OH + O<sub>3</sub>, (7) OH + O(<sup>3</sup>P), (8) HO<sub>2</sub> + OH, (9) H<sub>2</sub>O<sub>2</sub> + OH, (10) H<sub>2</sub> + OH, (11) CO + OH, (12) CH<sub>4</sub> + OH, (13) ClO + OH, (16) NO + OH, (17) NO<sub>2</sub> + OH, (21) NMHC + OH and (24) NMHC + OH. The OH loss per

source category  $i$   $LossOH^i$  is:

$$\begin{aligned}
LossOH^i = & \frac{1}{2}R_6 \left( \frac{OH^i}{OH} + \frac{O_3^i}{O_3} \right) + \frac{1}{2}R_7 \left( \frac{OH^i}{OH} + \frac{O_3^i}{O_3} \right) + \frac{1}{2}R_8 \left( \frac{HO_2^i}{HO_2} + \frac{OH^i}{OH} \right) + \frac{1}{2}R_9 \left( \frac{HO_2^i}{HO_2} + \frac{OH^i}{OH} \right) \\
& + R_{10} \frac{OH^i}{OH} + \frac{1}{2}R_{11} \left( \frac{CO^i}{CO} + \frac{OH^i}{OH} \right) + R_{12} \frac{OH^i}{OH} + R_{13} \frac{OH^i}{OH} + \frac{1}{2}R_{16} \left( \frac{NO_y^i}{NO_y} + \frac{OH^i}{OH} \right) \\
& + \frac{1}{2}R_{17} \left( \frac{NO_y^i}{NO_y} + \frac{OH^i}{OH} \right) + \frac{1}{2}R_{21} \left( \frac{NMHC^i}{NMHC} + \frac{OH^i}{OH} \right) + \frac{1}{2}R_{24} \left( \frac{NMHC^i}{NMHC} + \frac{OH^i}{OH} \right)
\end{aligned} \tag{10}$$

HO<sub>2</sub> is produced by reactions (1) H + O<sub>2</sub>, (6) OH + O<sub>3</sub>, (9) H<sub>2</sub>O<sub>2</sub> + OH, (13) ClO + OH, (18) HNO<sub>4</sub>, (23) NMHC + NO<sub>y</sub>, (24) NMHC + OH and (25) NMHC + *hν*. However, H in reaction (1) is not tagged. To be able to determine the HO<sub>2</sub> production by reaction (1)  $R_1 \frac{H^i}{H}$ , we apply the introduced H tagging (see Sect. 3.3) and replace  $\frac{H^i}{H}$  with equation (8). Besides, reaction (13) constitutes a simplified reaction producing  $0.94 \cdot HO_2$ . Consequently, the HO<sub>2</sub> production per source category  $i$   $ProdHO_2^i$  is:

$$\begin{aligned}
ProdHO_2^i = & \frac{1}{2}R_6 \left( \frac{OH^i}{OH} + \frac{O_3^i}{O_3} \right) + \frac{1}{2}R_7 \left( \frac{OH^i}{OH} + \frac{O_3^i}{O_3} \right) + \frac{1}{2}R_9 \left( \frac{HO_2^i}{HO_2} + \frac{OH^i}{OH} \right) + R_{10} \frac{OH^i}{OH} \\
& + \frac{1}{2}R_{11} \left( \frac{CO^i}{CO} + \frac{OH^i}{OH} \right) + 0.94 \cdot R_{13} \frac{OH^i}{OH} + R_{18} \frac{NO_y^i}{NO_y} + \frac{1}{2}R_{23} \left( \frac{NMHC^i}{NMHC} + \frac{NO_y^i}{NO_y} \right) \\
& + \frac{1}{2}R_{24} \left( \frac{NMHC^i}{NMHC} + \frac{OH^i}{OH} \right) + R_{25} \frac{NMHC^i}{NMHC} + R_{28} \frac{NMHC^i}{NMHC}
\end{aligned} \tag{11}$$

The HO<sub>2</sub> loss is determined by reactions (3) HO<sub>2</sub> + HO<sub>2</sub>, (4) HO<sub>2</sub> + O<sub>3</sub>, (5) HO<sub>2</sub> + O(<sup>3</sup>P), (8) HO<sub>2</sub> + OH, (14) NO + HO<sub>2</sub>, (15) NO<sub>2</sub> + HO<sub>2</sub>, (22) NMHC + HO<sub>2</sub>, (26) ClO + HO<sub>2</sub> and (27) BrO + HO<sub>2</sub>. Hence, the HO<sub>2</sub> loss per source category  $i$   $LossHO_2^i$  is:

$$\begin{aligned}
LossHO_2^i = & R_3 \frac{HO_2^i}{HO_2} + \frac{1}{2}R_4 \left( \frac{HO_2^i}{HO_2} + \frac{O_3^i}{O_3} \right) + \frac{1}{2}R_5 \left( \frac{HO_2^i}{HO_2} + \frac{O_3^i}{O_3} \right) + \frac{1}{2}R_8 \left( \frac{HO_2^i}{HO_2} + \frac{OH^i}{OH} \right) \\
& + \frac{1}{2}R_{14} \left( \frac{NO_y^i}{NO_y} + \frac{HO_2^i}{HO_2} \right) + \frac{1}{2}R_{15} \left( \frac{NO_y^i}{NO_y} + \frac{HO_2^i}{HO_2} \right) + \frac{1}{2}R_{22} \left( \frac{NMHC^i}{NMHC} + \frac{HO_2^i}{HO_2} \right) \\
& + R_{26} \frac{HO_2^i}{HO_2} + R_{27} \frac{HO_2^i}{HO_2}
\end{aligned} \tag{12}$$

Sect. 3.4 shows that the steady-state assumption for OH and HO<sub>2</sub> is justified when the rest terms  $resOH$ ,  $resHO_2$  and  $resH$  are regarded. Therefore, the rest terms are divided by the number of source categories  $n$  to add them to the contributions of a category  $i$ . In steady-state, production of OH<sup>*i*</sup> and HO<sub>2</sub><sup>*i*</sup> equals the loss:

$$ProdOH^i - LossOH^i + resOH/n = 0 \tag{13}$$

$$ProdHO_2^i - LossHO_2^i + resHO_2/n + resH/n = 0 \tag{14}$$

The equations (13) and (14) are rewritten as follows:

$$0 = A^i - L^{OH} \frac{OH^i}{OH} + P^{OH} \frac{HO_2^i}{HO_2} + \frac{resOH}{n} \quad (15)$$

$$0 = B^i + P^{HO_2} \frac{OH^i}{OH} - L^{HO_2} \frac{HO_2^i}{HO_2} + \frac{resHO_2}{n} + \frac{resH}{n} \quad (16)$$

with the variables  $P^{OH}$ ,  $L^{OH}$ ,  $P^{HO_2}$ ,  $L^{HO_2}$ ,  $A^i$  and  $B^i$  (compare to Grewe et al. (2017) equations (25) to (28)):

$$5 \quad P^{OH} = \frac{1}{2}R_4 + \frac{1}{2}R_5 + \frac{1}{2}R_{14} - \frac{1}{2}R_8 \quad (17)$$

$$L^{OH} = \frac{1}{2}R_6 + \frac{1}{2}R_7 + \frac{1}{2}R_8 + R_9 + R_{10} + \frac{1}{2}R_{11} + R_{12} + R_{13} + \frac{1}{2}R_{16} + \frac{1}{2}R_{17} + \frac{1}{2}R_{21} + \frac{1}{2}R_{24} \quad (18)$$

$$P^{HO_2} = \frac{1}{2}R_6 + \frac{1}{2}R_7 + R_9 + R_{10} + \frac{1}{2}R_{11} + 0.94 \cdot R_{13} + \frac{1}{2}R_{24} - \frac{1}{2}R_8 \quad (19)$$

$$L^{HO_2} = 2 \cdot R_3 + \frac{1}{2}R_4 + \frac{1}{2}R_5 + \frac{1}{2}R_8 + \frac{1}{2}R_{14} + \frac{1}{2}R_{15} + \frac{1}{2}R_{22} + R_{26} + R_{27} \quad (20)$$

$$10 \quad A^i = 2 \cdot R_2 \frac{O_3^i}{O_3} + \frac{1}{2}R_4 \frac{O_3^i}{O_3} + \frac{1}{2}R_5 \frac{O_3^i}{O_3} + \frac{1}{2}R_{14} \frac{NO_y^i}{NO_y} + R_{19} \frac{NO_y^i}{NO_y} + R_{20} \frac{NO_y^i}{NO_y} \\ - \frac{1}{2}R_6 \frac{O_3^i}{O_3} - \frac{1}{2}R_7 \frac{O_3^i}{O_3} - \frac{1}{2}R_{11} \frac{CO^i}{CO} - \frac{1}{2}R_{16} \frac{NO_y^i}{NO_y} - \frac{1}{2}R_{17} \frac{NO_y^i}{NO_y} - \frac{1}{2}R_{21} \frac{NMHC^i}{NMHC} - \frac{1}{2}R_{24} \frac{NMHC^i}{NMHC} \quad (21)$$

$$B^i = \frac{1}{2}R_6 \frac{O_3^i}{O_3} + \frac{1}{2}R_7 \frac{O_3^i}{O_3} + \frac{1}{2}R_{11} \frac{CO^i}{CO} + R_{18} \frac{NO_y^i}{NO_y} + \frac{1}{2}R_{23} \left( \frac{NMHC^i}{NMHC} + \frac{NO_y^i}{NO_y} \right) + \frac{1}{2}R_{24} \frac{NMHC^i}{NMHC} \\ + R_{25} \frac{NMHC^i}{NMHC} + R_{28} \frac{NMHC^i}{NMHC} - \frac{1}{2}R_4 \frac{O_3^i}{O_3} - \frac{1}{2}R_5 \frac{O_3^i}{O_3} - \frac{1}{2}R_{14} \frac{NO_y^i}{NO_y} - \frac{1}{2}R_{15} \frac{NO_y^i}{NO_y} - \frac{1}{2}R_{22} \frac{NMHC^i}{NMHC} \quad (22)$$

Solving equations (15) and (16), we finally obtain the contributions of a source category  $i$  to the OH and HO<sub>2</sub> concentration (same equations as equations (29) and (30) in Grewe et al. (2017), but with differently defined coefficients):

$$15 \quad \frac{OH^i}{OH} = \frac{A^i L^{HO_2} + B^i P^{OH}}{L^{OH} L^{HO_2} - P^{OH} P^{HO_2}} \quad (23)$$

$$\frac{HO_2^i}{HO_2} = \frac{A^i P^{HO_2} + B^i L^{OH}}{L^{OH} L^{HO_2} - P^{OH} P^{HO_2}} \quad (24)$$

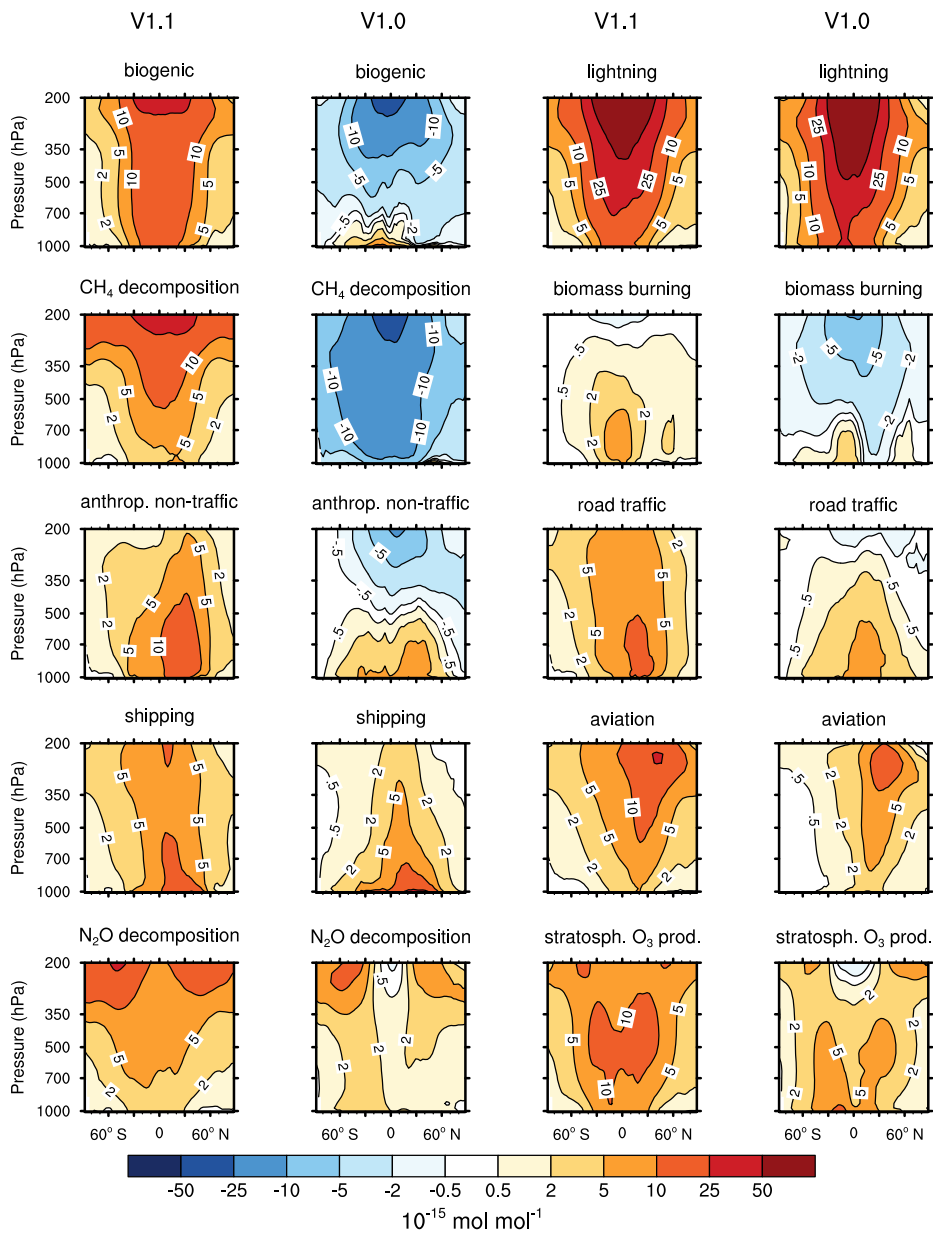
These equations are implemented in the TAGGING submodel and EMAC and MECO(n) simulations according to Sect. 2 are performed. The results for the OH and HO<sub>2</sub> contributions are analysed and compared with V1.0 in the following Section.

## 4 Results of model simulations

### 20 4.1 Contribution of short-lived species (HO<sub>x</sub>)

Figures 2 and 3 show the zonal mean of OH and HO<sub>2</sub> contributions up to 200 hPa for the ten source categories derived by V1.1 (first and third columns) and V1.0 (second and fourth columns). The zonal mean of OH and HO<sub>2</sub> contributions from 1 to

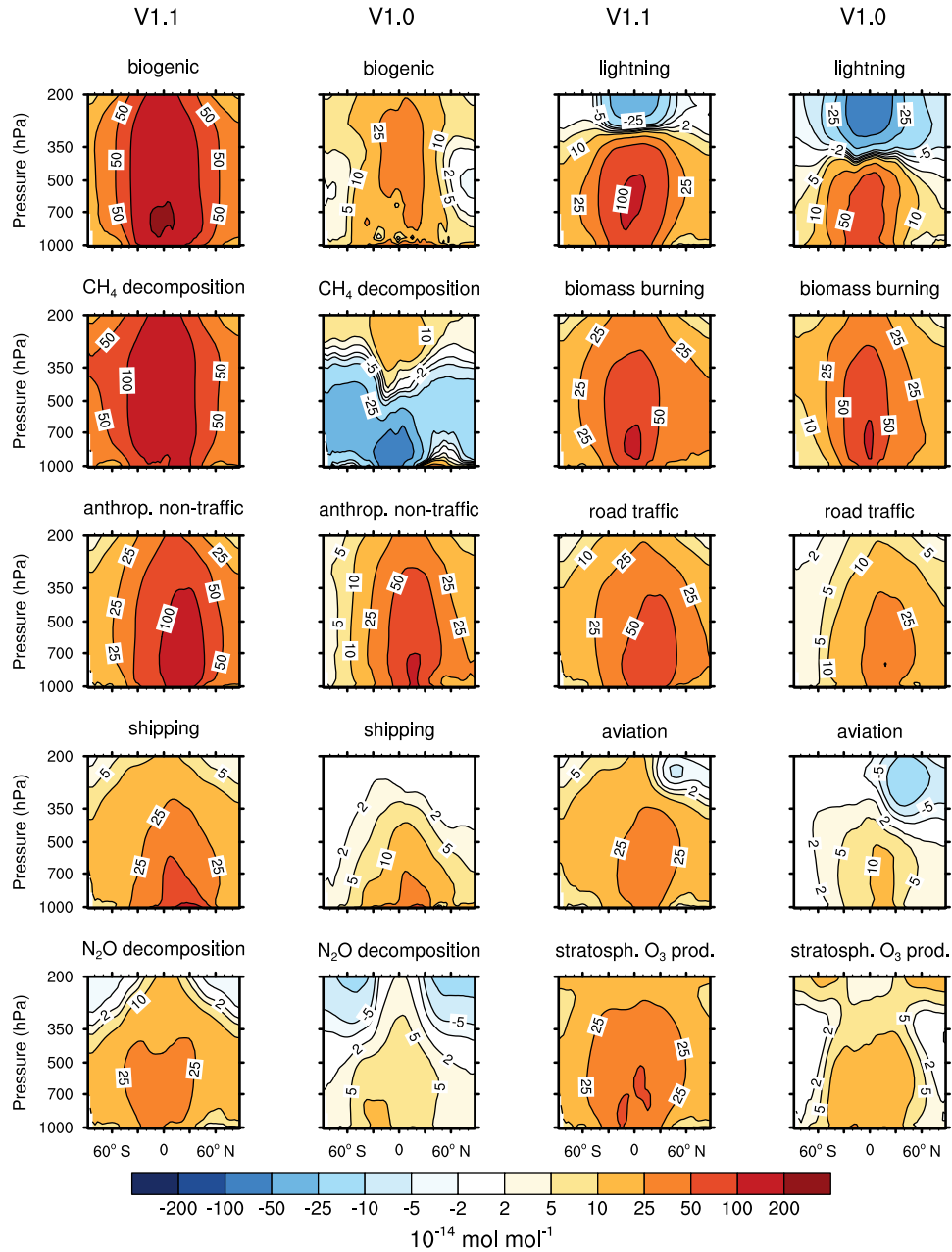
## OH



**Figure 2.** Contribution of ten source categories to OH in  $10^{-15} \text{ mol mol}^{-1}$ . Zonal means of the year 2008 are shown. First and third columns show the tagging method V1.1. Second and fourth columns show the tagging method V1.0. Simulation is performed with EMAC.

200 hPa are shown in Appendix B (Figs. B1, B2). First, the OH and  $\text{HO}_2$  contributions of V1.1 are described in the following. For the categories which are determined by anthropogenic emissions, such as "shipping", "road traffic" and "anthropogenic

# HO<sub>2</sub>



**Figure 3.** Contribution of ten source categories to HO<sub>2</sub> in 10<sup>-14</sup> mol mol<sup>-1</sup>. Zonal means of the year 2008 are shown. First and third columns show the tagging method V1.1. Second and fourth columns show the tagging method V1.0. Simulation is performed with EMAC.

non-traffic", the maximum values of OH and HO<sub>2</sub> contributions occur in the lower troposphere in the Northern Hemisphere. This clearly shows that for anthropogenic dominated categories the OH and HO<sub>2</sub> contributions are caused by anthropogenic emissions. The contributions vary among these categories of surface emissions as not only the amount but also the composition of the emissions differs. For the category "aviation", maximum OH contribution are found in the Northern Hemisphere between  
5 200 and 250 hPa. However, HO<sub>2</sub> contribution has a minimum in this region and a maximum in the lower troposphere. The OH values for the categories "CH<sub>4</sub> decomposition", "N<sub>2</sub>O decomposition", "lightning" and "biogenic emissions" are largest in the upper troposphere. Most OH contributions of "biomass burning" are found in lower tropical troposphere. In contrast, negative values occur in the upper tropical troposphere. Concerning the HO<sub>2</sub> contribution, the residual categories show a maximum in the tropical lower troposphere. In addition, the category "lightning" shows a strong HO<sub>2</sub> loss in the upper tropical troposphere  
10 which is caused by reaction (14).

The results obtained by V1.1 are compared to the OH and HO<sub>2</sub> zonal profiles of V1.0 only in the troposphere (Figs. 2 and 3). The HO<sub>x</sub> tagging method V1.0 was only developed for the troposphere. Hence, a comparison in the stratosphere is not reasonable. In general, contributions to OH and HO<sub>2</sub> concentrations of V1.1 are larger in the troposphere compared to V1.0. This overall shift towards larger values is explained by the re-establishment of the steady-state and thus the closure of the  
15 budget in V1.1. In V1.0 the budget was not closed and thus the contributions were underestimated.

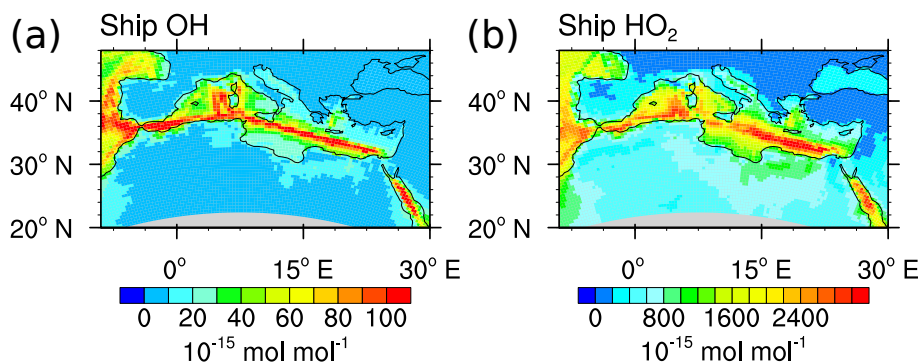
For OH, the categories "lightning" and "aviation" show no large changes in the general pattern of the zonal means between V1.0 and V1.1. Considering the HO<sub>2</sub> contributions, no large changes are found for the categories "biomass burning", "anthropogenic non-traffic", "road traffic" and "shipping".

The contribution of the category "aviation" to HO<sub>2</sub> in V1.1 shows roughly the same pattern compared to V1.0. However,  
20 the HO<sub>2</sub> destruction along the flight path is not as pronounced any more which is caused by the inclusion of reaction (15) and (18) to V1.1. Reaction (15) adds the term  $\frac{1}{2}R_{15}\frac{NO_y^i}{NO_y}$  to the HO<sub>2</sub> loss (eq. 12) and reaction (18) adds the term  $R_{18}\frac{NO_y^i}{NO_y}$  to the HO<sub>2</sub> production (eq. 11). As reaction rate  $R_{15}$  equals the rate  $R_{18}$ , this leads to a larger HO<sub>2</sub> production than HO<sub>2</sub> loss ( $R_{18}\frac{NO_y^i}{NO_y} > \frac{1}{2}R_{15}\frac{NO_y^i}{NO_y}$ ). Consequently, the addition of reaction (15) and (18) to the reduced HO<sub>x</sub> reaction system V1.1 constitutes an extra HO<sub>2</sub> source.

25 Larger values of the categories "N<sub>2</sub>O decomposition" and "lightning" to HO<sub>2</sub> in the upper troposphere are explained by a larger HO<sub>2</sub> production in V1.1 compared to V1.0. The H tagging in V1.1 considers all relevant HO<sub>2</sub> sources (reaction (7), (10), (11) and (28)) leading to a larger HO<sub>2</sub> production. Also the addition of reactions (15) and (18) (explanation see above) as well as the addition of reaction (23) which considers more reactions than in V1.0 increase the HO<sub>2</sub> contribution of the categories "N<sub>2</sub>O decomposition" and "lightning".

30 Large changes in pattern are observed for the contributions of "biogenic emissions" and "CH<sub>4</sub> decomposition" to OH and HO<sub>2</sub> as well as for the contributions of "biomass burning" and "anthropogenic non-traffic" to OH. In V1.1, these categories mainly constitute a source of OH and HO<sub>2</sub> in the troposphere. The addition of reaction (24) and (25) to the reduced HO<sub>x</sub> reaction system V1.1 presents a HO<sub>2</sub> source increasing OH and HO<sub>2</sub> contributions. Furthermore, reactions of NMHC with OH, HO<sub>2</sub> and NO<sub>y</sub> (reaction 21, 22 and 23) are important throughout the whole troposphere. In contrast to V1.0, V1.1 considers all





**Figure 4.** Contribution of shipping emissions to OH and HO<sub>2</sub> in 10<sup>-15</sup> mol mol<sup>-1</sup>. Monthly means of ground level values in August 2007 are shown. Simulation is performed with MECO(n).

reactions of NMHC with OH, HO<sub>2</sub> and NO<sub>y</sub> (see Sect. 3.2) significantly changing the pattern of "biogenic emissions", "CH<sub>4</sub> decomposition", "biomass burning" and "anthropogenic non-traffic".

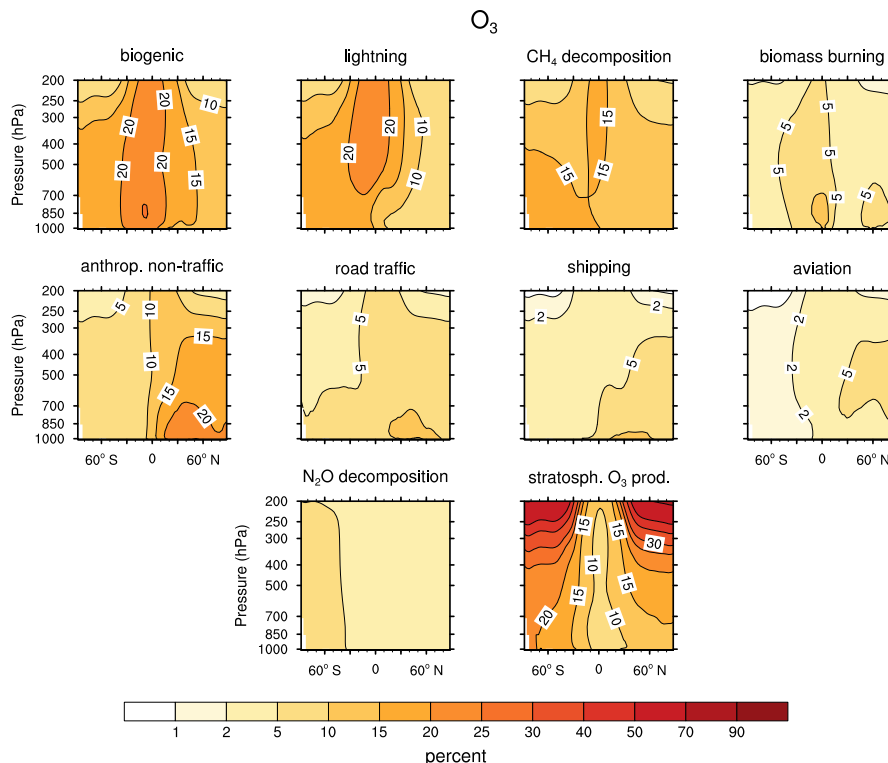
To demonstrate the impact of the advanced HO<sub>x</sub> tagging method on regional scale, Fig. 4 shows the contributions of ship emissions to OH and HO<sub>2</sub> in the boundary layer simulated with the high resolution model MECO(n) (see Sect. 2). The ship paths in the Atlantic, Mediterranean and Red Sea are clearly visible and lead to OH and HO<sub>2</sub> production along these paths. In the polluted area at the coast of Marseille the OH and HO<sub>2</sub> contributions are reduced. In this region NO<sub>y</sub> from shipping emissions is larger than in the Mediterranean Sea causing a reduction of OH and HO<sub>2</sub> by reactions (14) to (17).

The tagging method V1.0 (Grewe et al. (2017) their Fig. 6) showed negative HO<sub>2</sub> shipping contribution along the ship paths. This was explained by reaction (14): NO destroys HO<sub>2</sub> and leads to negative contributions. However, in V1.1 HO<sub>2</sub> shipping contributions are positive. The change of sign is caused by the addition of reaction (15) and (18) to the reduced HO<sub>x</sub> reaction system V1.1 which constitutes a net HO<sub>2</sub> production leading to positive HO<sub>2</sub> contributions (explanation see above). The comparison shows that HO<sub>2</sub> contributions in V1.0 were systematically and erroneously underestimated.

To summarize, the contributions to OH and HO<sub>2</sub> concentrations show larger values in V1.1 compared to V1.0. This is explained by the re-establishment of the steady-state. For OH, no large changes are found in the categories "lightning" and "aviation". However, large changes are found for "biomass burning", "CH<sub>4</sub> decomposition" and "biogenic emissions". For HO<sub>2</sub>, no large differences occur in the categories "biomass burning", "anthropogenic non-traffic", "road traffic" and "shipping". In comparison, the categories "biogenic emissions" and "CH<sub>4</sub> decomposition" differ strongly. The differences between the contributions of V1.1 and V1.0 are traced back to the addition of certain reactions to the reduced reaction system considered in the HO<sub>x</sub> tagging method.

## 20 4.2 Effects on long-lived species

The tagging of short-lived and long-lived species closely intertwine (see Fig. 1). Changes in the contributions to OH and HO<sub>2</sub> influence the contributions to the long-lived tracers O<sub>3</sub>, NO<sub>y</sub>, CO, NMHC and PAN. For example, Figure 5 shows the zonal



**Figure 5.** Annual mean contributions of ten source categories to  $O_3$  concentration in %.

mean of the contributions of the ten source categories to  $O_3$ . Grewe et al. (2017) presents the same figure for the  $HO_x$  tagging method V1.0 (their Fig. 4). For consistency, we compare our results with the results of Grewe et al. (2017) only for the year 2008.

In general, no large differences between V1.1 and V1.0 for long-lived species are found. The category "biogenic emissions" and "CH<sub>4</sub> decomposition" show an  $O_3$  increase in the tropical troposphere. "Stratospheric  $O_3$  production" slightly increases in the Southern Hemisphere. Small  $O_3$  changes are found for the categories "lightning" and "N<sub>2</sub>O decomposition". Regarding the remaining long-lived species (see Figures S3 – S6 in the Supplement), the contribution of "biomass burning" to CO decreases while the contributions of "biogenic emissions" to CO increases in the Southern Hemisphere. The remaining sectors stay rather unchanged. NO<sub>y</sub>, NMHC and PAN show only minor changes. Even though, major differences in OH and HO<sub>2</sub> occur between V1.0 and V1.1, these do not have a large effect on the long-lived species.

## 5 Discussion and Conclusion

We present an extension of the  $HO_x$  tagging method described by Grewe et al. (2017). 15 new reactions producing and destroying  $HO_x$  are added to tagging mechanism. In Grewe et al. (2017), the  $HO_x$  tagging method V1.0 was restricted to the

troposphere only. We further include the reactions which are essential for HO<sub>x</sub> production and loss in the stratosphere. Moreover, we introduce an equivalent tagging method to obtain the contributions to the H radical. This step is mandatory to fully account for the main HO<sub>2</sub> source: the reaction of H with O<sub>2</sub>.

In V1.0, the steady-state assumption was not completely fulfilled resulting in an unclosed budget: the sum of the HO<sub>x</sub> contributions and the total HO<sub>x</sub> concentration deviated by about 70 %. To re-establish steady-state, we add more reactions to the reduced HO<sub>x</sub> reaction system and introduce rest terms to balance the deviation of HO<sub>x</sub> production and loss. This leads to the closure of the budget. Thus, the tagging mechanism introduced by Grewe et al. (2010) operates not only for long-lived but also for short-lived species.

The advanced HO<sub>x</sub> tagging method V1.1 was implemented in the global chemistry climate model EMAC and in the regional model MECO(n). A 1-year simulation was performed in both model systems and compared to V1.0. For most categories, the general zonal pattern of the contributions to OH and HO<sub>2</sub> show minor differences. In contrast, large changes are observed in the category "biogenic emissions" and "CH<sub>4</sub> decomposition" which are traced back to the addition of certain reactions to V1.1. Although the contributions of long-lived and short-lived species influence each other, no large changes are found for long-lived species.

The mechanism presented in this study (and introduced by Tsati (2014) and Grewe et al. (2017)) is the first method for tagging short-lived species. Other studies quantify the source attributions of chemical species with a significant longer lifetime. The idea of source attribution is applied to attribute CO to different emission types and regions (e.g. Granier et al., 1999; Pfister et al., 2004, 2011), to attribute NO<sub>x</sub> concentrations to emission sources (Horowitz and Jacob, 1999) or to trace stable isotopic compositions (Gromov et al., 2010). Also for the source attribution of tropospheric O<sub>3</sub>, several tagging approaches exist attributing tropospheric O<sub>3</sub> only to NO<sub>x</sub> sources (Lelieveld and Dentener, 2000; Grewe, 2004; Grewe et al., 2012; Emmons et al., 2012), only to NMHC sources (Butler et al., 2011; Coates and Butler, 2015) or to NO<sub>y</sub>, CO and NMHC emissions simultaneously (Grewe et al., 2017).

A common technique to quantify the impact of emissions to OH is the so called perturbation method which compares two simulations: one simulation with all emissions and one simulation with reduced emissions (e.g. Niemeier et al., 2006; Hoor et al., 2009). However, if the underlying chemical processes are non-linear (as it is the case for OH), the perturbation method largely underestimates the contribution (Grewe et al., 2012; Emmons et al., 2012; Mertens et al., 2017). Consequently, the tagging approach presented in this study delivers the actual contribution of the emission source while the perturbation method displays the impact of the emission reduction.

To conclude, the further developed HO<sub>x</sub> tagging method can be used to identify the contribution of anthropogenic emissions on the atmospheric composition. In particular, the contribution of emission sectors on the concentrations of OH and HO<sub>2</sub> in the troposphere and stratosphere can be achieved. This method will be applied for re-evaluating the impact of the traffic sector on climate.

*Code availability.* The Modular Earth Submodel System (MESSy) is continuously further developed and applied by a consortium of institutions. The usage of MESSy and access to the source code is licensed to all affiliates of institutions, which are members of the MESSy Consortium. Institutions can become a member of the MESSy Consortium by signing the MESSy Memorandum of Understanding. More information can be found on the MESSy Consortium Web-site (<http://www.messy-interface.org>). The submodel TAGGING 1.1 will be included in MESSy version 2.54. The code being used to obtain the presented results is available upon personal request.

## Appendix A: Exclusion of reactions from reduced HO<sub>x</sub> reaction system V1.1

The annual mean reaction rates of the following three reactions are also greater than  $10^{-15} \text{ mol mol}^{-1} \text{ s}^{-1}$  and thus would usually be accounted to the reduced HO<sub>x</sub> reaction system V1.1:

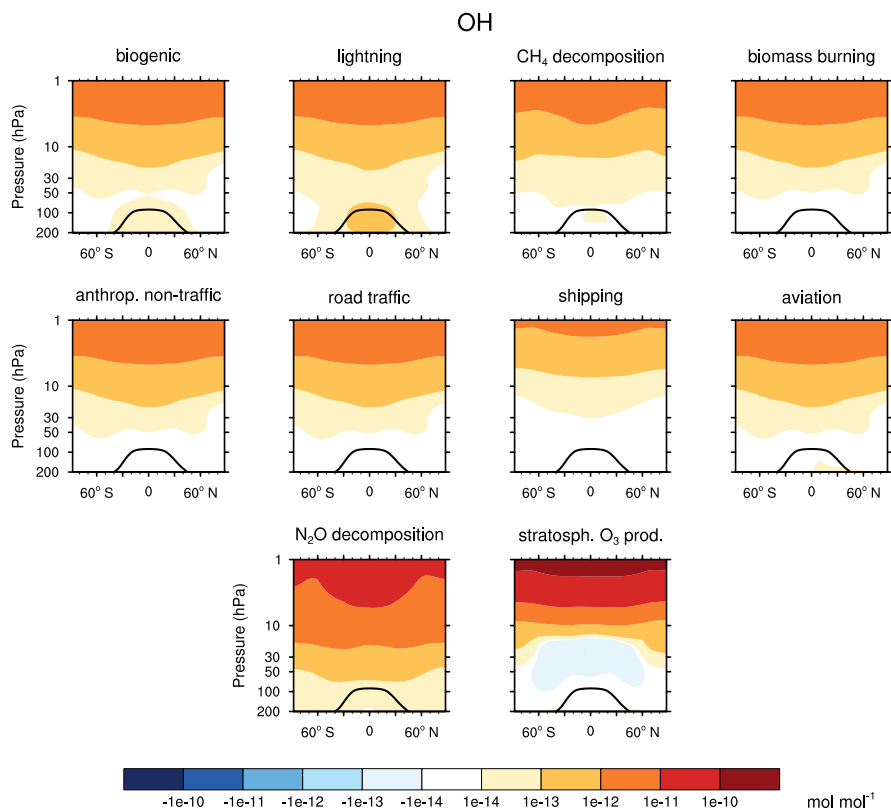


However, the tagging method can not be applied for these three reactions.

To include the OH production by the photolysis of H<sub>2</sub>O<sub>2</sub> (reaction A1), we would need to tag H<sub>2</sub>O<sub>2</sub>. Since the production and the loss of H<sub>2</sub>O<sub>2</sub> are not balanced, we can not assume a steady-state. Thus, a similar tagging approach as for HO<sub>x</sub> and H is not valid for H<sub>2</sub>O<sub>2</sub>. Consequently, we exclude the reaction (A1) from the HO<sub>x</sub> tagging method. This reaction contributes about 8 % to the total OH production in the troposphere.

Hypochlorous acid (HOCl) and hypobromous acid (HOBr) are photolysed in the stratosphere and produce OH (reaction A2 and A3), but HOCl and HOBr are not tagged. Although the steady-state assumption is globally valid, locally the production and loss of HOCl and HOBr are not balanced everywhere. In the stratosphere, for about 65 % of the model grid boxes the production deviates by more than 10 % from the loss of HOCl and HOBr. In particular, in the transition area between day and night in the polar region, the production deviates strongly from the loss. Also at night where the reactions mostly occur, the steady-state is not fulfilled everywhere. Moreover, since both species are not radicals, their lifetimes can not be assumed to be short. Hence, we can not apply the tagging method, so we have to omit the reactions (A2) and (A3) from the reduced HO<sub>x</sub> reaction system V1.1.

25 Considering reactions (A1), (A2) and (A3) to the reduced HO<sub>x</sub> reaction system V1.1 would lead to a significantly larger OH production in the troposphere representing about 98 % of the total OH production rate derived by MECCA. In the stratosphere, 91 % of the total OH production would be regarded. Hence, excluding these reactions from the reduced HO<sub>x</sub> reaction system V1.1 worsen the steady-state assumption between OH production and loss. The rest term *resOH* introduced in Sect. 3.4 compensates this deviation from production and loss rate.



**Figure B1.** Contributions of ten source categories to OH in the stratosphere. Zonal means of the year 2010 are shown. Black line indicates the tropopause. Simulation is performed with EMAC. Note the logarithmic scale of the contour levels.

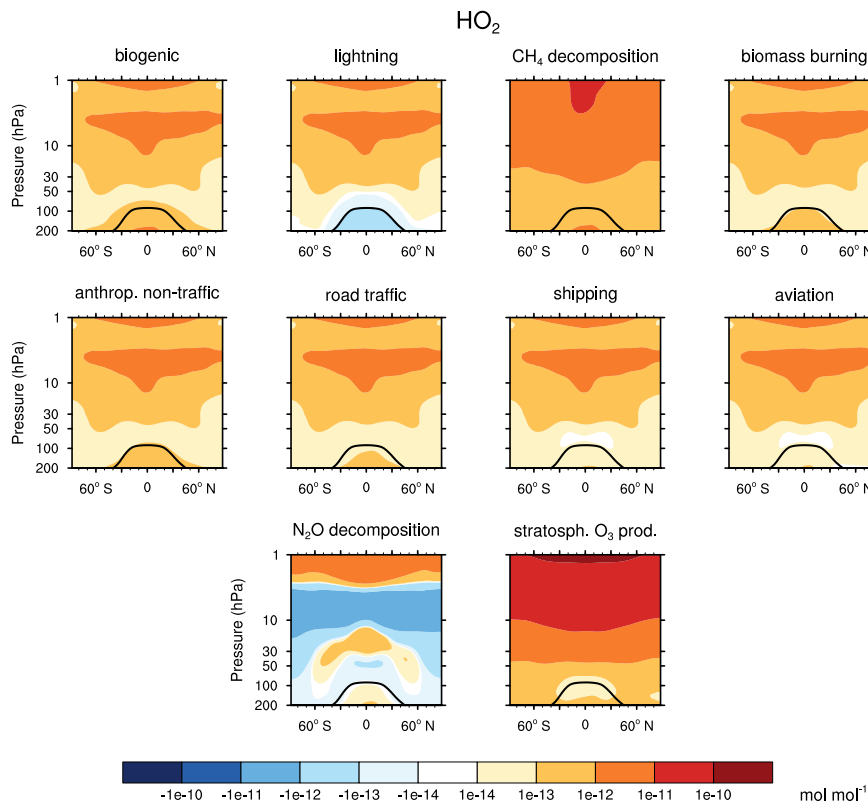
## Appendix B: HO<sub>x</sub> contributions in the stratosphere

Figs. B1 and B2 show the zonal mean of OH and HO<sub>2</sub> from 1 to 200 hPa. As OH concentration strongly rises with increasing height, so do the contributions to OH. The category "biomass burning" shows negative OH values in the tropopause region. In this region, also large CO values from "biomass burning" occur. CO effectively destroys OH by reaction (11) which causes this

5 OH loss. The large OH loss in the lower stratosphere of the category "stratospheric O<sub>3</sub> production" is mainly caused by the destruction of OH by O<sub>3</sub> (reaction 6).

The contributions to HO<sub>2</sub> in the stratosphere increases with height as well. The categories "biogenic emissions", "lightning", "biomass burning", "anthropogenic non-traffic", "road traffic", "shipping" and "aviation" show a local maximum at around 5 hPa which is caused by omitting the photolysis of HOCl (see Appendix A).

10 For the category "lightning", HO<sub>2</sub> is destroyed by reaction (14) in the tropopause region. The category "N<sub>2</sub>O decomposition" shows negative values in the lower stratosphere and a strong negative minimum at around 10 hPa which is also caused by



**Figure B2.** Contributions of ten source categories to HO<sub>2</sub> in the stratosphere. Zonal means of the year 2010 are shown. Black line indicates the tropopause. Simulation is performed with EMAC. Note the logarithmic scale of the contour levels.

reaction (14). The local maximum with positive HO<sub>2</sub> contributions indicates that in this region the HO<sub>2</sub> production via reaction (1) and (6) dominates the HO<sub>2</sub> loss via reaction (14).

*Competing interests.* There are no competing interests.

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