

Comments from Anonymous Referee #1

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I think that the manuscript is excellent. I only suggest insert these further references, concerning the statistical models, at page 4 line 67-69:

Baum, R.L., and Godt, J.W. Early warning of rainfall-induced shallow landslides and debris flows in the USA, *Landslides*, 7(3), 259-272. DOI: 10.1007/s10346-009-0177-0, 2010.

Berti, M., Martina, M.L.V., Franceschini, S., Pignone, S., Simoni, A., and Pizziolo, M. Probabilistic rainfall thresholds for landslide occurrence using a Bayesian approach, *Journal of Geophysical Research: Earth Surface*, 117 (4), doi:10.1029/2012JF002367, 2012.

Cannon, S.H., Gartner, J.E., Wilson, R., Bowers, J., and Laber, J. Storm rainfall conditions for floods and debris flows from recently burned areas in southwestern Colorado and southern California, *Geomorphology*, 96(3–4), 250–269, DOI: 10.1016/j.geomorph.2007.03.019, 2008.

De Luca D.L.; Versace P. A comprehensive framework for empirical modeling of landslides induced by rainfall: the Generalized FLAIR Model (GFM). *Landslides*, 14(3): 1009-1030, ISSN: 1612-5118, DOI: 10.1007/s10346-016-0768-5, 2017.

Godt, J.W., Baum, R.L., and Chleborad, A.F. Rainfall characteristics for shallow landsliding in Seattle, Washington, USA. *Earth Surface Processes and Landforms*, 31, 97-110, DOI: 10.1002/esp.1237, 2006.

Guzzetti, F., Peruccacci, S., Rossi, M. and Stark, C.P. The rainfall intensity–duration control of shallow landslides and debris flows: An update, *Landslides*, 5, 3–17, DOI: 10.1007/s10346-007-0112-1, 2008.

Staley, D.M., Kean, J.W., Cannon, S.H., Schmidt, K.M., and Laber, J.L. Objective definition of rainfall intensity–duration thresholds for the initiation of post-fire debris flows in southern California, *Landslides*, 10(5), 547-562 DOI:10.1007/s10346-012-0341-9, 2013.

After addition of these references, in my opinion the manuscript is ready for publication.

Authors' Response

Thank you so much for the comments. Your suggestion is well taken and helpful to make the manuscript more informative and comprehensive. We have added the references you suggested.

Author's changes in manuscript

Lines 66-72: Statistical models have also been proposed to relate debris-flow initiation to rainfall (e.g. Caine, 1980; Wieczorek, 1987; Chen et al., 2005; Godt et al., 2006; Cannon et al., 2008; Coe et al., 2008; Guzzetti, et al., 2008; Baum and Godt, 2010; Berti et al., 2012; Staley et al., 2013; Zhou and Tang, 2014; De Luca and Versace, 2017a; De Luca and Versace, 2017b; Gao et al., 2017) and other parameters such as surface runoff discharge (Berti and Simoni, 2005) or clay content (Chen et al., 2010).

Comments from Anonymous Referee #2

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Version 2.0 of the EDDA model features improvements over the previous version. However, its process representation is still deterministically-derived, and it rests on assumptions that limit the veracity of the physics-based representations of key processes. Some examples: Line 189 - the assumption here is that surface runoff is generated solely by Hortonian Overland Flow - that is the rainfall intensity exceeds the infiltration capacity. In fact, surface runoff is often associated with other situations and locations. Saturation Overland Flow (SOF) is another likely driver of surface erosion that may trigger a debris flow, for example. The spatial distribution of SOF is largely, topographically controlled and could be predicted based on the DEM and application of appropriate topographic analyses. Line 197 - Richards equation is outmoded. We know it doesn't work. It should be replaced in EDDA 3.0 by a current approach to simulating through flow. Line 214 - Terrain places an important role in determining the initiation point and pathway for a debris flow. This is not well represented by the infinite slope model. Line 245 - the du Boys shear stress equation is old and outmoded. There are better methods based on, for example, specific stream power (stream power per unit bed area). Goodness of fit - describing model and observed results as agreeing 'reasonably well' is insufficient. Quantitative criteria for agreement should be derived and applied to test 'goodness of fit'. Notwithstanding these criticisms, the model and paper have merit and can be used for broad scale forecasting of debris flows triggered by rainfall that is heavy and/or prolonged.

Authors' Response

Thank you so much for the constructive and helpful comments. The response to your comments is as follow.

1. "Line 189 - the assumption here is that surface runoff is generated solely by Hortonian Overland Flow - that is the rainfall intensity exceeds the infiltration capacity. In fact, surface runoff is often associated with other situations and locations. Saturation Overland Flow (SOF) is another likely driver of surface erosion that may trigger a debris flow, for example. The spatial distribution of SOF is largely, topographically controlled and could be predicted based on the DEM and application of appropriate topographic analyses."

Indeed the Hortonian runoff concept cannot explain storm runoff in many of the humid regions where the infiltration capacity of the ground is typically much greater than average rainfall intensities. Steenhuis and Muck (1988) found that soils of the Ithaca NY, especially the shallow hillside soils maintained in grass and pasture, have infiltration rates that are rarely exceeded by the rainfall rate. This has been confirmed in other studies (Merwin et al., 1994; Dunne and Black, 1970). For example, over 90% of the soils in Delaware County, NY (in the Catskill Mountains) have permeabilities above 3 cm/hr. However, rainfall intensities greater than 3 cm/hr are rare. In contrast, storm responses in streams reflect runoff processes occurring upstream almost every time it rains, which means that there must be some mechanisms other than Hortonian Flow that generate runoff. Since then, researchers have found other runoff-generating mechanisms, such as saturation overland flow (SOF).

The SOF has two sources, Direct Precipitation onto Saturated Areas (DPSA) and Return Flow (RF). Rain falling on already-saturated soil has no option but to run off. This case is termed direct precipitation on saturated areas (DPSA). The return flow (RF) occurs if the rate of interflow entering a saturated area from upslope exceeds the capacity for interflow to leave the area by flowing downhill through the soil. The excess interflow thus "returns" to the surface as runoff. The combination of RF and DPS is called saturation overland flow.

This mechanism derives a concept called Variable Source Area (VSA) which recognizes that the extent of saturated areas in a watershed will vary temporally. Before a storm, saturated areas are limited to the close vicinity of the stream. They expand during the storm, resulting in a larger rate of runoff generation. The application of this concept utilizes the information including bedrock, impermeable soil layers, and/or the depth to the water table. Thus, both hydrologic and soil-water concepts are combined to evaluate potential runoff areas in the landscape.

In this paper, the debris flow case being simulated occurred in the Wenchuan earthquake zone. A large part of the study area remained uncovered by vegetation before the debris flow event, because the Wenchuan earthquake triggered many landslides and the previous vegetation cover was wiped out. Also considering that the fresh landslide deposits had a relative fine-grained surface, which is due to an inverse segregation mechanism, infiltration capacity becomes a limiting factor in this study and Hortonian overland flow is thus a dominant process.

Your suggestion is very good and appreciated. We will revise/expand the runoff mechanisms in the model in the future version to make the model more comprehensive.

References

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[3] Steenhuis TS and Muck RE (1988) Preferred movement of nonadsorbed chemicals on wet, shallow, sloping soils. *Journal of Environmental Quality* 17(3): 376-384.

2. “Line 197 - Richards equation is outmoded. we know it doesn’t work. It should be replaced in EDDA 3.0 by a current approach to simulating through flow.”

Zhang et al. (2011) made a comprehensive review of existing research on infiltration analysis. Based on this review, there are two main types of infiltration analysis methods.

(1) Conceptual infiltration models. Infiltration models have been proposed based on a wetting front concept (Green and Ampt, 1911; Lumb, 1962; Mein and Larson, 1973; Sun et al., 1998). However, serious limitations impose restrictions on the use of the conceptual infiltration models, because they usually do not consider sloping ground conditions, down-slope flows, variation of rainfall intensity or, most importantly, the dependence of soil permeability on moisture content (Ng and Shi, 1998b). In addition, there may not be a distinct difference between the infiltration zone and the unsaturated zone.

(2) Analytical and numerical solutions. A combination of Darcy’s law as applied to unsaturated flow and the equation of continuity is considered the most robust method available for computing infiltration and soil moisture profiles in saturated–unsaturated soil systems. Based on Darcy’s law and the mass conservation for water flow, the three-dimensional water flow in unsaturated soil is described by the Richards equation (Richards, 1931; Fredlund and Rahardjo, 1993). Many analytical solutions (e.g., Srivastava and Yeh, 1991; Iverson, 2000; Chen et al.,

2001; Yuan and Lu, 2005), numerical solutions (e.g., Ng and Shi, 1998a; Gasmu et al., 2000; Tsaparas et al., 2002; Blatz et al., 2004; Zhang et al., 2004; Rahardjo et al., 2007; Rahimi et al., 2010) and computer programs (e.g., Seep/W (Geo-slope Ltd, 2001); SVflux (SoilVision System Ltd, 2001); Flow3D (Gerscovich, 1994); and FEMWATER (Lin et al., 1997)) have been proposed for solving the Richards equation. However, analytical solutions for the infiltration problem can be obtained only by making some assumptions, and under some given initial and boundary conditions because of the natural spatial variability in the field, uncertain initial conditions and boundary conditions, and complex soil layering in practical applications.

Another theory for modeling the seepage process is the computational fluid dynamics/discrete element method (CFD-DEM model). However, this method is computationally demanding and may not be applicable to catchment-scale studies.

We are very interested in latest methods for simulating through flow and will study and adopt one in the future version of EDDA.

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3. “Line 214 - Terrain places an important role in determining the initiation point and pathway for a debris flow. This is not well represented by the infinite slope model.”

In this study, rain-induced landslide is one of the initiation mechanisms. These landslides are normally shallow, with a depth of failure less than 3 m, and generally of small volume on steep soil slopes of 30-50° (Dai et al., 2003; Johnson and Sitar, 1990). Considering that these rain-induced landslides are shallow-seated, the thickness of the sliding mass is small compared to the large plan dimensions of these slopes. Therefore, an infinite slope model for two-layer soil slopes is a reasonable option to evaluate the slope stability. The terrain is not treated as an infinitely straight surface. In fact, the terrain is discretized into cells based on the real topographic conditions. Each cell has different sloping gradient and is evaluated using the infinite model. The infinite slope model is a simplified method to achieve a balance between accuracy and computational time for catchment scale studies.

The traveling process of debris flow is simulated using mass conservation and momentum equations. The effects of terrain are involved in the equations. So, the debris flow is simulated to march along an optimal (the steepest) pathway automatically, based on the given terrain data.

4. “Line 245 - the du Boys shear stress equation is old and outmoded. There are better methods based on, for example, specific stream power (stream power per unit bed area).”

Thank you very much for your suggestions. There are erosion models based on the perspective of energy, which is widely used in hydrological studies. As Bagnold (1977) commented, since stream power and sediment transport rate are different values of the same physical quantity, it is rationale to relate one to the other. This is a heuristic enlightenment for us to consider interdisciplinary research in the future. The method of stream power is mainly used for sediment entrainment and transportation in a river system where clear water flow dominates the process. Before adopting relevant models, it is critical to evaluate whether and how these models can be used to describe the material entrainment by earth-surface mass flows that have very high solid-phase concentration. Besides, there is limited research on calibrating the threshold specific stream power of the ravines like the study area in this paper. Considering all of these issues, we regard them as good potential areas to be tackled, perhaps in EDDA3.0 as mentioned in your comments. We are interested in these problems and will work on them when developing the future version of the proposed model.

Reference

[1] Bagnold RA (1977) Bed Load Transport by Natural Rivers. Water Resource Research 13(2): 303-312.

5. “Goodness of fit - describing model and observed results as agreeing ‘reasonably well’ is insufficient. Quantitative criteria for agreement should be derived and applied to test ‘goodness of fit’.”

(1) Line 366 - “It is found that the results of the two separate analyses are very similar. The computed total scar area is $4.42 \times 10^5 \text{ m}^2$, comparing well with $5.20 \times 10^5 \text{ m}^2$ from the satellite image. It is concluded that the proposed slope stability module performs reasonably well.”

The distribution of the computed unstable cell has been verified by Chen and Zhang (2014) using the confusing matrix method, shown in Figure 1. The results indicate that the proposed model is

capable of predicting the locations of rainfall-induced landslides reasonably well. For brevity, we omitted the presentation of the quantitative analysis of the degree of coincidence.

The computed total area of landslides is $4.42 \times 10^5 \text{ m}^2$ and the observed value is $5.20 \times 10^5 \text{ m}^2$. The difference is about 15%, which may be acceptable for large-scale numerical simulations. We will add this to the revised manuscript.

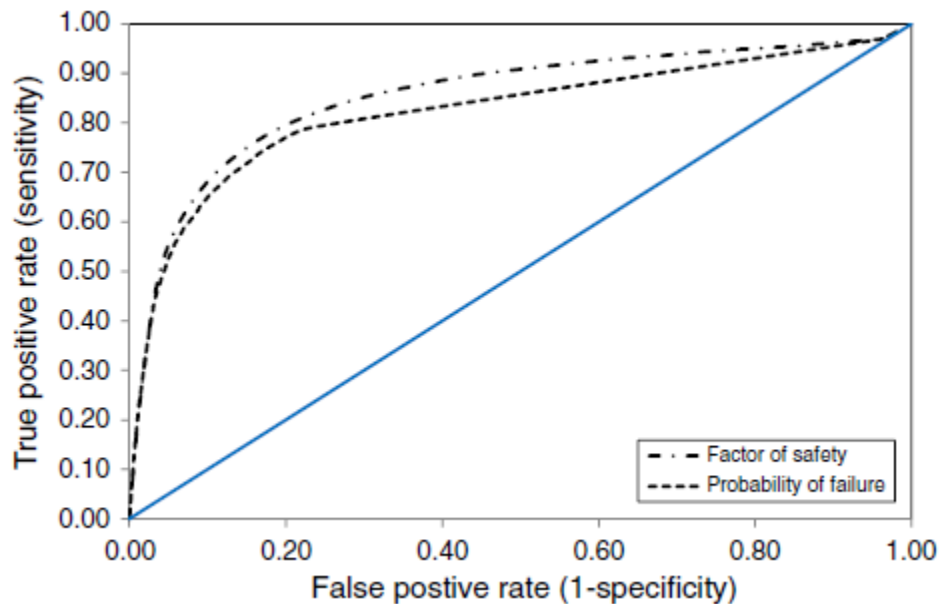


Figure 1. Receiver Operating Characteristic (ROC) curves in the form of factor of safety and probability of failure at the end of storm. The true positive rate (TPR) is the ratio of TP to (TP + FN); the false positive rate is the ratio of FP to (FP + TN).

(2) Line 414 - “The simulated and observed deposition areas are compared in Fig. 11. It is seen that the simulation results (Fig. 11a) match the observation (Fig. 11b) reasonably well. The simulated deposition depth is approximately 20 m, very close to that of the observed thickness of the deposit fan during the field investigations. The total volume of the observed deposition fan is about $1.17 \times 10^6 \text{ m}^3$, while the simulated deposition volume of the debris flow is $0.9 \times 10^6 \text{ m}^3$. The integrated model evaluates a smaller debris flow volume. The main uncertainty arises from the slope failure module and surface erosion module.”

The simulated deposition volume ($0.9 \times 10^6 \text{ m}^3$) and the observed volume ($1.17 \times 10^6 \text{ m}^3$) differ by about 23%, which is also acceptable for numerical simulations, considering inevitable uncertainties such as spatial variability along a large distance. We will add this to the revised manuscript. However, such comparison is still rather qualitative. Numerical simulation of large-scale debris flows is still a challenge.

Author's changes in manuscript

1. Lines 362-366: Moreover, the results are compared with those by Chen and Zhang (2014), which have been verified using the confusing matrix method (e.g. Van Den Eeckhaut et al., 2006). It is found that the results of the two separate analyses are very similar. The computed total scar area is $4.42 \times 10^5 \text{ m}^2$, comparing well with $5.20 \times 10^5 \text{ m}^2$ from the satellite image. The difference is 15%. It is concluded that the proposed slope stability module performs reasonably well.

2. Lines 413-419: The simulated and observed deposition areas are compared in Fig. 11. It is seen that the simulation results (Fig. 11a) match the observations (Fig. 11b) reasonably well. The simulated deposition depth is approximately 20 m, very close to that of the observed thickness of the deposit fan during the field investigations. The total volume of the observed deposition fan is about $1.17 \times 10^6 \text{ m}^3$, while the simulated deposition volume of the debris flow is $0.9 \times 10^6 \text{ m}^3$. The integrated model evaluates a smaller debris flow volume and the difference is 23%. The main uncertainty arises from errors in the slope failure module and the surface erosion module.

1 **EDDA 2.0: integrated simulation of debris flow initiation and dynamics,**
2 **considering two initiation mechanisms**

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13 **Abstract:** Climate change results in more frequent rainstorms and more rain-induced debris
14 flows in mountainous areas. The prediction of likely hazard zones is important for debris
15 flow risk assessment and management. Existing numerical methods for debris flow analysis
16 often require the input of hydrographs at prescribed initiation locations, ignoring the initiation
17 process and leading to large uncertainties in debris flow initiation locations, times and
18 volumes when applied to regional debris flow analysis. The evolution of the flowing mixture
19 in time and space is hardly addressed either. This paper presents a new integrated numerical
20 model, EDDA 2.0, to simulate the whole process of debris-flow initiation, motion,
21 entrainment, deposition and property changes. Two physical initiation mechanisms are
22 modeled: transformation from slope failures and surface erosion. Three numerical tests and
23 field application to a catastrophic debris flow event are conducted to verify the model
24 components and evaluate the model performance. The results indicate that the integrated
25 model is capable of simulating the initiation and subsequent flowing process of rain-induced
26 debris flows, as well as the physical evolution of the flowing mixture. The integrated model
27 provides a powerful tool for analyzing multi-hazard processes, hazard interactions and
28 regional debris-flow risk assessment in the future.

29

30 **Keywords:** debris flow; numerical modeling; rainfall infiltration; slope stability; erosion;
31 entrainment.

32 **1 Introduction**

33 Debris flows are one of the most catastrophic hazards in mountainous areas (e.g. Zhang
34 et al., 2013; Raia et al., 2014), and can pose high risks to society (e.g. Tang et al., 2011; Gao
35 et al., 2016). They are often triggered by heavy rainfall and sensitive to climate change (e.g.
36 Wong, 2009; Lee et al., 2010). As extreme rainstorms become more frequent, coping with
37 rain-induced debris flows thus becomes critical in debris-flow prone regions such as Italy,
38 Japan, Hong Kong and earthquake-affected areas in Sichuan, China.

39 During a storm, debris flows may be initiated by surface erosion, slope failures or dam
40 breaching (e.g. Takahashi, 2007), and enlarged during the subsequent flowing process (e.g.
41 Iverson, 1997). The debris flow mixture finally deposits in a flatter area, while the interstice
42 fluid still flows along the debris flow track without further material entrainment as rainfall
43 continues. The evolution of the flowing mixture includes three phases in terms of sediment
44 concentration: clear water flow, hyperconcentrated flow and debris flow. The transition of the
45 flowing mixture between any two phases occurs spatially and temporally during the whole
46 process of rainfall.

47 Many numerical programs have been successfully developed for debris flow analysis,
48 such as DAMBRK (Boss Corporation 1989), FLO-2D (O'Brien et al. 1993), DAN (Hung
49 1995), DMM (Kwan and Sun 2006), Debris2D (Liu and Huang 2006), FLATModel (Medina
50 et al. 2008), MassMov2D (Beguiría et al. 2009), DAN3D (Hung and McDougall 2009),
51 PASTOR (Pastor et al. 2009), RAMMS (Bartelt et al., 2013), EDDA 1.0 (Chen and Zhang
52 2015), DebrisInterMixing (Boetticher et al., 2016) and AschFlow (Quan Luna et al., 2016).
53 These programs can simulate the debris-flow movement with either constant or varying
54 properties of the flowing mixture. The entrainment and deposition processes can also be
55 considered, such as in EDDA 1.0 (Chen and Zhang, 2015).

56 Until now, numerical simulation of the physical process of debris flow initiation is

57 largely avoided in the literature. Moreover, very limited attempt has been made to simulate,
58 in an integrated manner, the entire process from the initiation to the subsequent debris-flow
59 motion and deposition. We address these two research gaps in this paper.

60 Experimental studies and field monitoring have been conducted to study the initiation
61 mechanics of rain-induced debris flows (e.g. Johnson and Sitar, 1990; Cui, 1992; Cannon et
62 al., 2001). A few physical models have been proposed (e.g. Takahashi, 1981; Iverson et al.,
63 1997) to reveal the mechanisms of initiation using infinite slope stability models which are
64 mathematically one-dimensional and statically determinate, leading to unambiguous
65 quantitative results. However, these models do not simulate the debris-flow initiation process,
66 particularly the transformation from a slope failure to a debris flow. Statistical models have
67 also been proposed to relate debris-flow initiation to rainfall (e.g. Caine, 1980; Wieczorek,
68 1987; Chen et al., 2005; Godt et al., 2006; Cannon et al., 2008; Coe et al., 2008; Guzzetti, et
69 al., 2008; Baum and Godt, 2010; Berti et al., 2012; Staley et al., 2013; Zhou and Tang, 2014;
70 De Luca and Versace, 2017a; De Luca and Versace, 2017b; Gao et al., 2017) and other
71 parameters such as surface runoff discharge (Berti and Simoni, 2005) or clay content (Chen et
72 al., 2010). These models are not physically-based.

73 Many of the existing computer programs do not simulate the initiation of debris flows.
74 Instead, they require a predefined empirical hydrograph, created based on the estimated
75 volumes of rainfall runoff and source materials, to initiate a debris flow, which is so called
76 “two-step” analysis (Fig. 1). The “two-step” analysis leads to large uncertainties in debris
77 flow initiation locations, times and volumes when applied to regional debris flow analysis.
78 For instance, Shen et al. (2017) simulated hillslope debris flows initiated from surface
79 erosion, in which the initiation location is artificially intervened (Fig. 1), and the slope failure
80 mechanisms is not included. The integrated simulation of the whole process of the debris
81 flow (Fig. 1) remains an open challenge. In addition, the physical rainfall runoff and overland

82 flow process before the initiation of debris flows is overlooked. Until now, the study on the
83 full evolution in time and space of the flowing mixture is limited.

84 Numerical tools have been generally developed for simulating a single type of hazards.
85 However, multiple types of hazards may be induced by a rainstorm (i.e. slope failures, debris
86 flows and flooding) (e.g. Zhang et al., 2014). One hazard can be the cause of another (e.g.
87 rainfall triggers slope failures that in turn trigger debris flows). Different types of hazards can
88 also interact among each other (e.g. several small debris flows from sub-channels can merger
89 into a larger one). Hazard risk assessment requires hydrological, landslide and debris flow
90 analyses at a regional scale (e.g. Formetta et al., 2011; Archfield et al., 2013). The simulation
91 of the complete processes of possible hazards and their interactions at a regional scale can be
92 a powerful tool to help identify likely hazards, their potentially affected areas and elements at
93 risk. However, the ability of numerical analysis of hazard interactions is still limited (e.g.
94 Kappes et al., 2012; Marzocchi et al., 2012). Using the existing “two-step” tools (Fig. 1) to
95 analyze potential regional hazards could be challenging, since it involves tremendous
96 uncertainties and is time-consuming to conduct the “two-step” analyses for each of all
97 potential hazard locations (e.g. Chen and Zhang, 2015; Gao et al., 2016; Shen et al, 2017).
98 Hence the development of an integrated model for simulating multi-hazard processes and
99 interactions (Fig. 1) is of great theoretical and practical importance.

100 The objectives of this paper are (1) to incorporate debris-flow initiation physically into
101 the debris-flow motion simulation to enable the simulation of the whole process of
102 rain-induced debris flows, (2) to study the full evolution of the flowing mixture in time and
103 space during the whole process of rainfall, and (3) to develop a tool to simulate multi-hazard
104 processes and analyze hazard interactions.

105

106

107 **2 Methodology**

108 **2.1 Strategy of modeling initiation, dynamics and deposition of debris flows**

109 Intense rainfall in mountainous regions could trigger debris flows from loose soil
110 deposits on hill slopes or in channels. A conceptual model for rain-induced debris flows and
111 likely initiation mechanisms are shown in Fig. 2. Debris flows can be initiated by three
112 mechanisms: transformation from landslides, surface erosion and dam breaching. Due to
113 rainfall infiltration, the hill slope gradually becomes saturated, and the soil loses its strength,
114 causing shallow seated slope failures (Zhang et al., 2011). During a rainstorm, slope failures
115 can occur at different times in space within a catchment. Some of the detached material may
116 move into channels and form landslide dams, and some may transform into debris flows
117 directly. As the surface runoff accumulates, the landslide dam formed earlier in the channel
118 may break, initiating a channelized debris flow (e.g. Liu et al., 2009; Chen et al., 2012; Peng
119 and Zhang, 2012). At the same time, the surface runoff may cause bed erosion and initiate
120 hillslope debris flows (e.g. Cannon et al., 2001). Some of the separate debris flows may
121 merge in the main channel of the drainage basin, forming a larger catastrophic debris flow
122 event (e.g. Iverson et al., 1997). The final magnitude of a debris flow could be many times of
123 its initial volume due to entrainment of materials along the path from additional slope
124 failures, bed erosion or bank collapses (e.g. Iverson et al., 2011; Chen et al., 2012; Ouyang et
125 al., 2015). If reaching a flat residential area downstream the basin, the developed debris flow
126 can cause severe loss of lives and properties.

127 Based on the conceptual model for the whole process of debris flow in Fig. 2, the
128 strategy of the integrated model, including two debris-flow initiation mechanisms (i.e. bed
129 erosion and transformation from landslides) is shown in Fig. 3. The integrated model consists
130 of a digital terrain module, a rainfall module, an infiltration module, an overland flow
131 module, a slope stability module, a surface erosion module, a debris flow dynamics module

132 and a deposition module. The digital terrain module discretizes the study area into a grid
 133 system with geological, hydrological and geotechnical information for each cell assigned. All
 134 the computations are based on the concept of cell. As the primary triggering factor, rainfall is
 135 simulated in the rainfall module. Then water infiltration into the ground is simulated to
 136 analyze the pore water pressure profile and compute the surface runoff. The slope stability
 137 and surface erosion are then evaluated in the slope stability module and surface erosion
 138 module, respectively. Once debris flows are initiated by the two physical mechanisms, the
 139 motion of the flowing mixture is analyzed through the debris flow dynamics module.
 140 Material entrainment may occur along the flow path, incorporating solid materials from
 141 addition slope failures and surface erosion. Finally, the deposition process is assessed through
 142 the deposition module. The runout distance, inundation area and deposition volume of the
 143 debris flows can all be assessed.

144

145 **2.2 Debris flow dynamics**

146 The core of the proposed integrated analysis is the debris-flow dynamics simulation and
 147 constitutive modelling of the flowing mixture. The governing equations for debris flow
 148 dynamics describe the mixture movement and changes in debris flow properties, which are
 149 depth-integrated mass conservation equations (Equations 1 and 2) and momentum
 150 conservation equations (Equations 3) (Chen and Zhang, 2015):

$$151 \quad \frac{\partial h}{\partial t} + \frac{\partial(hv)}{\partial x} = i[C_{v^*} + (1 - C_{v^*})s_b] + A[C_{vA} + (1 - C_{vA})s_A] \quad (1)$$

$$152 \quad \frac{\partial(C_v h)}{\partial t} + \frac{\partial(C_v hv)}{\partial x} = iC_{v^*} + AC_{vA} \quad (2)$$

$$153 \quad \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial x} = g \left[-\text{sgn}(v)S_f - \frac{\partial(z_b + h)}{\partial x} \right] - \frac{v\{i[C_{v^*} + (1 - C_{v^*})s_b] + A[C_{vA} + (1 - C_{vA})s_A]\}}{h} \quad (3)$$

154 where h is the flow depth; v is the depth-integrated flow velocity (m/s); i is the erosion rate ($>$
155 0) or deposition rate (< 0) (m/s); A is the rate of material entrainment from detached landslide
156 materials (m/s); C_v is the volume fraction of solids in the flowing mixture; C_{v*} and C_{vA} are the
157 volume fraction in the erodible bed and in the entrained materials, respectively; s_b and s_A are
158 the degree of saturation of solids in the erodible bed and in the entrained materials,
159 respectively; S_f is the energy slope; z_b is the bed elevation (m); and the sgn (i.e. signum)
160 function is used to ensure that the direction of the flow resistance is opposite to that of the
161 flow direction.

162 One of the requirements of the integrated analysis is modeling different flowing mixtures
163 simultaneously. The flowing mixture can be classified into three types: clear water flow,
164 hyperconcentrated flow, and fully developed debris flow based on sediment concentration,
165 combining grain-size distribution and particle densities (Pierson, 2005). In this study, the
166 flowing types of mixtures are classified using the volumetric solid concentration C_v ,
167 following FLO-2D Software Inc. (2009):

168 (1) If $C_v < 0.2$, the fluid mixture is deemed clear water flow which has a negligible yield
169 stress and a dynamic viscosity like that of water;

170 (2) If $0.2 < C_v < 0.45$, a hyperconcentrated flow develops with a certain level of
171 increased yield stress and dynamic viscosity;

172 (3) If $0.45 < C_v < 0.6$, the flowing mixture becomes a full debris flow with substantially
173 increased yield stress and dynamic viscosity.

174 Therefore, a proper rheological model must involve C_v to account for the changing
175 properties of the flowing mixture. We adopt different rheological models for different ranges
176 of C_v to deal with this problem. For clear water flow of which C_v is less than 0.2, the energy
177 slope S_f is based on Manning's equation. If $C_v > 0.2$, a quadratic rheological model developed
178 by O'Brien et al. (1993) is used:

179
$$S_f = \frac{\tau_y}{\rho gh} + \frac{K\mu V}{8\rho gh^2} + \frac{n_{td}^2 V^2}{h^{4/3}} \quad (4)$$

180 where ρ is the mass density of the flowing mixture (kg/m^3); τ_y , μ and n_{td} are the yield stress
 181 (Pa), dynamic viscosity (Pa·s) and the equivalent Manning coefficient of the mixture,
 182 respectively; K is the laminar flow resistance. n_{td} is expressed as (FLO-2D Software Inc.,
 183 2009):

184
$$n_{td} = 0.0538ne^{6.0896C_v} \quad (5)$$

185 where n is the Manning coefficient. The following empirical relationships are adopted to
 186 estimate τ_y and μ (O'Brien and Julien, 1988):

187
$$\tau_y = \alpha_1 e^{\beta_1 C_v} \quad (6)$$

188
$$\mu = \alpha_2 e^{\beta_2 C_v} \quad (7)$$

189 where α_1 , α_2 , β_1 , and β_2 are empirical coefficients.

190

191 **2.3 Rainfall infiltration and convolution**

192 Under heavy rainfall, the **excess rainwater** will become surface runoff when rainfall
 193 intensity exceeds the infiltration capacity. In EDDA 2.0, the infiltration capacity is assumed
 194 to be the saturated permeability of the surface soil. The surface runoff process is simulated by
 195 solving the governing equations (Eqs. 1-3) and Manning's equation with i , A and C_v equal to
 196 zero. The runoff water may cause surface erosion, or mix with landslide mass or flowing
 197 mixture, which will be described later.

198 Water infiltration will increase the subsurface pore water pressure, causing slope failures
 199 that are normally shallow-seated. The infiltration process is simulated in EDDA 2.0 by
 200 solving the Richards equation with a forward-time central-difference numerical solution.
 201 Non-uniform grid is created along the soil depth to enhance the accuracy of the solution near
 202 boundaries and interfaces. The integrated program calculates the instant pore water pressure

203 profile to facilitate evaluating the slope stability of each cell at each time step.

204

205 **2.4 Initiation of debris flows from slope failures**

206 A debris flow may be initiated by transformation from a mass flow of slope failure
207 material at any location and at any time during a storm. The possible locations and
208 approximate failing time can be identified in a cell-based slope stability analysis, if the
209 topography, geology, soil properties etc. are defined properly. To consider this initiation
210 mechanism, the slope instability evaluation must be performed over all the computational
211 cells at each time step.

212 With the knowledge of real-time pore water pressure profiles provided by the infiltration
213 module, a real-time slope instability analysis can follow. Considering that these rain-induced
214 slope failures are shallow-seated, the thickness of the failure mass is small compared to the
215 large plan dimensions of these slopes. Therefore, an infinite slope model for two-layer soil
216 slopes is a reasonable option to evaluate the factor of safety (F_s) (Wu et al., 2016). Following
217 Chen and Zhang (2014), the search for the minimum F_s goes from the ground surface to the
218 wetting front where the volumetric water content changes significantly. If the minimum F_s is
219 smaller than 1, slope failure will occur at the depth corresponding to the minimum F_s . The
220 landslide mass is assumed to be a free-flowing mixture immediately after the slope failure,
221 with a pre-defined C_v value for the soil deposit and a flow depth the same as the failure depth.

222

223 **2.5 Initiation of debris flows due to bed erosion**

224 Intense rainfall can generate plentiful surface runoff, and the soil bed will erode in the
225 runoff water. The initially clear overland flow can gradually develop into a hyperconcentrated
226 flow and finally into a hillslope debris flow, as its C_v value increases through entrainment
227 from bed erosion. To consider this initiation mechanism, the erosion process is analyzed

228 within each computational cell at each time step.

229 We consider the occurrence of erosion under the condition that the bed shear stress is
230 equal or larger than the critical erosive shear stress of the bed material and the volumetric
231 sediment concentration is smaller than an equilibrium value. The equilibrium value proposed
232 by Takahashi et al. (1992) is adopted in this study:

$$233 \quad C_{\infty} = \frac{\rho_w \tan \theta}{(\rho_s - \rho_w)(\tan \phi_{bed} - \tan \theta)} \quad (8)$$

234 where ϕ_{bed} is the internal friction angle of the erodible bed; ρ_s is the density of soil particles
235 (kg/m^3); ρ_w is the density of water (kg/m^3); and θ is the slope angle.

236 Many researchers have studied the relationship between the soil erosion rate and shear
237 stress. A form of exponential expression has been used for bed erosion in the literature (e.g.
238 Roberts et al., 1998; Chen et al., 2015). More widely used is a linear function of shear stress
239 (e.g. Graf, 1984; Hanson and Simon, 2001; Julian and Torres, 2006; Chang et al., 2011; Chen
240 and Zhang, 2015):

$$241 \quad i = K_e (\tau - \tau_c) \quad (9)$$

242 where i is the erosion rate (m/s); τ is the shear stress at the soil-water interface (Pa); K_e is the
243 coefficient of erodibility ($\text{m}^3/\text{N}\cdot\text{s}$); τ_c is the critical erosive shear stress at the initiation of bed
244 erosion (Pa). The latter two parameters describe the erosion resistance of the bed soil and are
245 related to soil index properties (e.g. Chang et al., 2011; Zhu and Zhang, 2016). The shear
246 stress acting on the bed can be expressed as (e.g. Graf, 1984):

$$247 \quad \tau = \rho g h S_f \quad (10)$$

248 where S_f is the energy slope.

249

250 **2.6 Material exchange: entrainment and deposition**

251 Material exchange occurs as debris flow marches along its flowing path, including

252 material entrainment (solid mass gain from outside of the flowing mixture) and deposition
253 (solid mass loss from inside of the flowing mixture).

254 The entrainment from additional bed erosion or slope failure materials along its
255 trajectory plays a significant role in debris flow volume amplification. The final volume of
256 the debris flow deposit could be many folds of its initial volume. An excellent example is the
257 1990 Tsing Shan debris flow that was the largest ever observed in Hong Kong. An originally
258 small slip of 350 m³ developed into a final volume of 20,000 m³ by entraining colluvium
259 along its flow path (King, 1996). In the integrated model, the landslide mass and surface
260 erosion are considered as the sources of material entrainment. The slope stability and surface
261 erosion evaluation module will be called for every computational cell at every time step;
262 hence the entrainment process is automatically considered once the two modules are called.

263 After flowing into a flatter area, deposition of some solid material will occur. Deposition
264 is deemed to occur if the flow velocity is smaller than a critical value and C_v is larger than the
265 equilibrium value described in Eq. 8. The deposition rate can be expressed as

$$266 \quad i = \delta_d \left(1 - \frac{V}{pV_e} \right) \frac{C_{v\infty} - C_v}{C_{v*}} V \quad (11)$$

267 where V_e is the critical flow velocity following Takahashi et al. (1992); δ_d is a coefficient of
268 deposition rate; p (< 1) is a coefficient accounting for the location difference, and a value of
269 0.67 is recommended (Takahashi et al., 1992); V is the flow velocity; C_{v*} is the volume
270 fraction of solids in the erodible bed. The deposition condition is also detailed in Chen and
271 Zhang (2015).

272

273 **2.7 Numerical scheme**

274 The terrain is discretized into a grid of cells. Each cell is assigned with the input data,
275 including topography, soil depth, geotechnical soil properties, rheological model parameters

276 etc. There are eight flow directions in each cell: four compass directions and four diagonal
277 directions. In each time step, the infiltration is evaluated first to compute the surface runoff
278 and slope stability at each cell. Then changes in flow depth h and volumetric sediment
279 concentration C_v within each cell are evaluated considering the surface runoff, slope failure
280 mass entrainment, erosion, and deposition, followed by computing the flow velocity,
281 discharge and density along the eight flow directions of all the cells, with the averaged
282 surface roughness and slope between two cells computed. The changes in h and C_v due to the
283 flow exchange are evaluated finally at each cell.

284 After all the computations have been completed in each time step, numerical stability
285 criteria are checked for each cell to limit the time step to avoid surging while allowing for
286 large time steps. Three convergence criteria are adopted:

287 (1) The Courant-Friedrichs-Lewy (CFL) condition, with the physical interpretation that a
288 particle of fluid should not travel more than the cell size in one time step (Fletcher,
289 1990), is mostly used in explicit schemes. The time step is limited by

$$290 \quad \Delta t \leq C\Delta x / (\beta V + c) \quad (12)$$

291 where C is the Courant number (C is not smaller than or equal to 1); m is a coefficient
292 (5/3 for a wide channel); c is the computed wave celerity.

293 (2) The percent change of flow depth in one time step should not exceed a specified
294 tolerant value, $TOLP(h)$;

295 (3) The change in flow depth in one time step should not exceed a specified tolerant
296 value, $TOL(h)$, which is applied when the flow moves to a cell with zero flow depth.

297 Adjusting these three criteria, the computational time and accuracy could reach a good
298 balance. If all the numerical stability criteria are successfully satisfied, the time step can be
299 increased for the next computational cycle. Otherwise the time step will be reduced and the
300 computation restarted. The volume conservation is computed at the end of each time step for

301 the inflow, outflow, grid system storage and infiltration loss.

302

303 **3 Model verification**

304 The previous version, EDDA 1.0 (Chen and Zhang, 2015), has passed several
305 verification tests including debris flow dynamics, erosion and deposition. In this new version
306 of integrated analysis, the new modules for surface runoff, coupled infiltration and slope
307 stability analysis, and the integrated program require further verification. The response of
308 Xiaojiagou Ravine during a rainstorm in August 2010 is used to verify the new modules. The
309 in-situ conditions shortly after the 2010 Xiaojiagou debris flow event are shown in Fig. 4.
310 The Xiaojiagou Ravine has an area of 7.84 km². The elevation of the ravine ranges between
311 1,100 m and 3,200 m. The hill slopes within the ravine are very steep with an average slope
312 angle of 46°. There are one main drainage channel and four branches within the Xiaojiagou
313 Ravine. The loose soil deposits on the hill slopes and channels of the ravine before the debris
314 flow event are identified based on field investigations and interpretation of satellite image
315 (e.g. Chen and Zhang, 2014). The rainstorm process triggering the catastrophic Xiaojiagou
316 debris flow is presented in Fig. 5. The rainstorm lasted about 40 hours with a total
317 precipitation of 220 mm.

318 First the performance of the rainfall-runoff module of the integrated program is
319 compared with a commonly used program FLO-2D (FLO-2D Software Inc., 2009). Then, the
320 infiltration module is checked against an analytical solution under steady rainfall. The slope
321 stability analysis is verified by comparing with the landslide satellite image and the
322 computation results by Chen and Zhang (2014). Finally, the performance of the integrated
323 model is checked against the 2010 Xiaojiagou debris flow event in Section 4.

324

325

326 **3.1 Verification test 1: rainfall runoff**

327 The same input data are used in EDDA 2.0 and FLO-2D, including the digital elevation
328 model, Manning's coefficient ($n = 0.3$), the limiting Froude number ($L_f = 0.8$), the saturated
329 permeability of the surface soil ($k_{st} = 3.6$ mm/h or 10^{-6} m/s) and the rainfall data (Fig. 5).
330 Other hydrological parameters such as the soil porosities used in FLO-2D are adopted
331 following Chen et al. (2013) and Shen et al. (2017).

332 The results from the two programs are compared in Fig. 6, including the distributions of
333 the maximum flow depth and flow velocity. The result from FLO-2D (Figs. 6a and 6c) differ
334 only slightly from those of EDDA 2.0 (Figs. 6b and 6d). During the rainstorm process, the
335 maximum flow depth computed by FLO-2D is 3.2 m, while that by EDDA 2.0 is 3.4 m. The
336 outflow hydrographs recorded at the mouth of the ravine of the two programs are shown in
337 Fig. 7. The computed overall discharge processes from both programs are very close.

338

339 **3.2 Verification test 2: infiltration process and resulting pore-water pressure changes**

340 Before applying the infiltration module to compute the pore water pressure profiles
341 under the actual rainfall event, four cases of infiltration under steady rainfall are adopted to
342 verify the infiltration module. The results are compared with those from an analytical solution
343 by Srivastava and Yeh (1991) and Zhan et al. (2013). The scenario of two-layer soil is
344 considered, which is also used in the field application. Table 1 presents the input parameters
345 for the four cases. Four combinations are set up to represent likely in-situ conditions. The
346 results from the numerical infiltration module and the analytical solution are compared in Fig.
347 8. For all the four cases, the module performance is satisfactory.

348

349 **3.3 Verification test 3: slope stability analysis**

350 The 2008 Wenchuan earthquake triggered over 50,000 landslides within the earthquake

351 region, leaving a large amount of loose materials on hill slopes and in channels (Fig. 4).
352 These materials became the source of numerous post-earthquake rain-induced landslides and
353 debris flows. Until now, nearly 80% of such materials remained in the mountain regions,
354 posing great potential threats (Zhang et al., 2016). EDDA 2.0 is used to reproduce the slope
355 failures under the rainstorm in August 2010 (Fig. 5) by Chen and Zhang (2014), who
356 evaluated the slope stability of a 164.5 km² area near the epicenter. All the parameters are the
357 same as those in that study, with the only difference being that the area concerned in this
358 study is only Xiaojiagou Ravine (Fig. 4). The loose soil deposits are assumed to be two
359 layers. Given the same parameters such as the topography, layer thicknesses and soil
360 properties, the unstable cells when rainfall terminates are computed using the slope failure
361 module. Comparing the simulation results with the observation (Fig. 9), the computed
362 unstable cells generally fall upon the landslide scars formed during the rainstorm event.
363 Moreover, the results are compared with those by Chen and Zhang (2014), which have been
364 verified using the confusing matrix method (e.g. Van Den Eeckhaut et al., 2006). It is found
365 that the results of the two separate analyses are very similar. The computed total scar area is
366 $4.42 \times 10^5 \text{ m}^2$, comparing well with $5.20 \times 10^5 \text{ m}^2$ from the satellite image. The difference is
367 15%. It is concluded that the proposed slope stability module performs reasonably well.

368

369 **4 Field application**

370 **4.1 Xiaojiagou debris flow on 14 August 2010**

371 A heavy rainstorm swept the epicenter, Yinxiu town, and its vicinity. The rainstorm
372 lasted about 40 h from 12 to 14 August 2010, pouring about 220 mm of precipitation in total
373 (Fig. 5). A catastrophic debris flow was triggered by the storm in Xiaojiagou Ravine (Fig. 4).
374 The debris flow was witnessed at the ravine mouth at about 5:00 am on 14 August and lasted
375 about 30 min. About $1.17 \times 10^6 \text{ m}^3$ of the soil deposit was brought out of the Xiaojiagou

376 Ravine mouth in a form of a channelized debris flow. The runout material deposited in front
377 of the mouth, burying 1100 m of Province Road 303 (PR303), blocking Yuzixi River, forming
378 a debris flow barrier and raising the river bed by at least 15 m.

379

380 **4.2 Input information**

381 In EDDA 1.0, the study area has to be divided into two domains for rainfall runoff
382 simulation and debris-flow runout simulation respectively. However, in the integrated
383 simulation by EDDA 2.0, only one grid of 9500 cells 30×30 m in size is created (Fig. 2).
384 After the Xiaojiagou debris flow, detailed field investigations and laboratory tests were
385 conducted (Chen et al., 2012), as well as numerical back analysis (Chen et al., 2013). The
386 study area is divided into four zones by satellite interpretation: bare soil, vegetated soil, bed
387 rock and river bed (Chen and Zhang, 2014). The soil properties of each zone and the
388 constitutive (or rheological) parameters used in the integrated simulation are determined
389 following EDDA 1.0 (Chen and Zhang, 2015), shown in Tables 2-4. The erosion resistance
390 parameters τ_c and K_e of the soils are determined using the empirical equations based on field
391 tests in the Wenchuan earthquake zone (Chang et al., 2011):

$$392 \quad \tau_c = 6.8PI^{1.68}P^{-1.73}e^{-0.97} \quad (13)$$

$$393 \quad K_e = 0.020075e^{4.77}C_u^{-0.76} \quad (14)$$

394 where e is the void ratio; PI is the plasticity index; P is the fines content (< 0.063 mm); C_u is
395 the coefficient of uniformity. These four soil properties are determined to be 1.05, 18, 14 and
396 2000, respectively, according to Chang et al. (2011). Therefore, τ_c and K_e are estimated to be
397 8.7 Pa and 7.8×10^{-8} m³/N-s, respectively.

398

399 **4.3 Integrated simulation results**

400 We examine the final output of the integrated simulation first. Erosion plays an

401 important role in the volume magnification of debris flows. The final erosion depths in the
402 eroded areas are shown in Fig. 10a. The most eroded areas during the Xiaojiagou debris flow
403 event were in channels, where a huge amount of loose solid material was present (Chen et al.,
404 2012). Loose deposits on the hill slopes also eroded after the landslide bodies detached from
405 their original locations and slid down the slopes. The distribution of the eroded areas reflects
406 that the debris flows were initiated from both slope failures and surface erosion, then
407 developed along the channels by further erosion and entrainment of the slope failure
408 materials, which are the two mechanisms considered in the integrated model. The distribution
409 of the maximum flow velocity is shown in Fig. 10b, with the maximum value being 9.5 m/s,
410 which is very close to that from EDDA 1.0 (9.1 m/s). The slightly larger value of flow
411 velocity from EDDA 2.0 is attributed to the consideration of the extra surface runoff within
412 domain two created when using EDDA 1.0 (Fig. 2). The maximum velocity occurs in the
413 ravine channels, indicating that the debris flow moves very rapidly.

414 The simulated and observed deposition areas are compared in Fig. 11. It is seen that the
415 simulation results (Fig. 11a) match the observation (Fig. 11b) reasonably well. The simulated
416 deposition depth is approximately 20 m, very close to that of the observed thickness of the
417 deposit fan during the field investigations. The total volume of the observed deposition fan is
418 about $1.17 \times 10^6 \text{ m}^3$, while the simulated deposition volume of the debris flow is 0.9×10^6
419 m^3 . The integrated model evaluates a smaller debris flow volume and the difference is about
420 23%. The main uncertainty arises from the slope failure module and surface erosion module.

421 The changes in the volumetric sediment concentration C_v and the discharge hydrograph
422 at Section 1-1 (Fig. 4) are recorded during the simulation of the whole rainfall process, shown
423 in Fig. 12. The integrated model simulates two peaks in the discharge process throughout the
424 rainfall with a precursory boulder front arriving in advance. At around 12 h, the value of C_v
425 increases very quickly to a peak value of 0.6, indicating the arrival of the debris flow.

426 Afterwards, C_v decreases, which can be viewed as a hyperconcentrated flow or a clear water
427 flow after the debris flow passes. Another large debris flow surge is simulated at around 32 h
428 with the same pattern as the first one. The debris flow passes through Section 1-1 (Fig. 4)
429 first and continues to develop for some time. After most of the solid materials are brought
430 away by the debris flow surge, the flow at Section 1-1 becomes a hyperconcentrated flow,
431 and the flowing mixture gradually becomes a clear water flow as the rainwater continues to
432 generate surface runoff without further material entrainment. The integrated simulation is
433 capable of simulating multiple debris flow surges and the changes in the flowing mixture
434 properties throughout a rainfall event.

435 To demonstrate the evolution of the flowing mixture within the drainage basin, the
436 distributions of C_v at four snapshots during the storm are shown in Fig. 13. The recording
437 times of these four figures span a complete evolution cycle, i.e. clear water flow (Fig. 13a),
438 debris flow initiation (Fig. 13b), debris flow motion (Fig. 13c), and hyperconcentrated
439 flow/clear water flow (Fig. 13d). This evolution cycle could occur within the basin several
440 times in different branch channels, which can be captured by the integrated model.

441

442 **5 Limitations of EDDA 2.0**

443 We have successfully extended the “two-step” debris-flow simulation to an integrated
444 simulation of the whole process of rain-induced debris flows. However, there are still
445 limitations in the underlying assumptions and simplifications:

- 446 1. EDDA 2.0 considers the initiation of debris flows from transformation of slope
447 failures and surface erosion. However, the initiation from dam breaching has not yet
448 been tested.
- 449 2. The studies consider material entrainment from surface erosion and slope failure
450 detachment, but the entrainment from bank failures can only be considered using an

451 empirical rate, instead of through a three-dimensional physical model.

452 3. The governing equations are in a depth-integrated form; hence particle segregation
453 in the vertical direction cannot be considered.

454 4. The rheological models for the hyperconcentrated flow, fully developed debris flow
455 and slope failure mass flow need further study. Particularly, the slope failure mass
456 movement is critical for estimating the transformation rate from a slope failure to a
457 debris flow.

458

459 **6 Summary and conclusions**

460 A new integrated simulation model is developed for simulating rain-induced debris-flow
461 initiation, motion, entrainment, deposition and property changes. The model is unique in that
462 it simulates the whole process of rain-induced debris flow evolution and two physical
463 initiation mechanisms (i.e. transformation from landslides and surface erosion). Previous
464 “two-step analysis” with an assumed inflow hydrograph and an inflow location can now be
465 conducted at one go scientifically without subjective assumptions.

466 Three numerical tests have been conducted to verify the performance of the newly added
467 modules of the integrated model. The Xiaojiagou Ravine landslides and debris flows
468 triggered by the rainstorm in August 2010 were used as a verification case. In test 1, the
469 rainfall runoff simulation by EDDA 2.0 was compared to FLO-2D. The simulation results
470 from the two models are very close, which indicates that EDDA 2.0 simulates rainfall runoff
471 well. In test 2, an analytical solution for evaluating pore water pressure profile under
472 infiltration is adopted. Comparison between the model solution and the analytical solution
473 indicates that the integrated model evaluates the infiltration process well. The regional slope
474 stability within the study area under the same rainstorm was evaluated using the integrated
475 model in test 3. The computed unstable cells compare well with the observations from

476 satellite images and the results from previous studies.

477 The new integrated model was finally applied to reproduce the Xiaojiagou debris flow
478 event. The model can simulate the entire evolution process of rain-induced debris flows, and
479 estimates reasonably well the volume, inundated area and runout distance of the debris flow.
480 It is concluded that the new integrated debris flow simulation model, EDDA 2.0, is capable of
481 (1) simulating the whole process of rain-induced debris flow from debris-flow initiation to
482 post-initiation debris-flow motion, entrainment and deposition, and (2) tracing the evolution
483 of the flowing mixture in time and space during the whole process of rainfall. The integrated
484 model will serve as a powerful tool for analyzing multi-hazard processes and hazard
485 interactions, and assessment of regional debris-flow risks in the future.

486

487 ***Code availability.*** EDDA 2.0 is written in FORTRAN, which can be compiled using Intel
488 FORTRAN Compilers. A doi has been generated for the source code and the source code is
489 available online at <http://doi.org/10.5281/zenodo.1033377>. The source code is also available
490 online as a supplementary material to this paper. The main subroutine is “dfs.F90”, which
491 presents the numerical solution algorithm for evaluating debris flow initiation from erosion
492 and slope failures, and for solving the governing equations of the dynamics of the flowing
493 mixture. An input file is needed (“edda_in.txt”) for inputting material properties, hydrological
494 and rheological parameters and control settings. As an integrated program, EDDA 2.0 can be
495 used to analyse regional slope failures, so the “edda_in.txt” file also includes the material
496 properties and controlling options for slope stability analysis. Another input file
497 (“outflow.txt”) is required to define the outflow cell. Digital terrain data (e.g. surface
498 elevation, slope gradient and erodible layer thickness) are included in separate ASCII grid
499 files and enclosed in the data folder. Output files are stored in the results folder and output
500 variables at selected points are stored in “EDDA_Log.txt”.

501

502 *Author contributions.* Limin Zhang and Ping Shen conceived the methodology and
503 formulated the model. Ping Shen programmed the analysis code and performed the analysis.
504 Hongxin Chen and Ruilin Fan evaluated the model results. All authors contributed to the
505 writing of the manuscript.

506

507 *Competing interests.* The authors declare that they have no conflict of interest.

508

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718 **List of Captions**

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Table 1. Parameters used in the infiltration module verification.

Case	Vertical depth (cm)	α (cm ⁻¹)	θ_s	θ_r	k_s (cm/h)	q_a	q_b	γ (°)	Rainfall duration (h)
1	100	0.1	0.40	0.06	10	0.1	0.9	0	20
	100				1				
2	100	0.01	0.40	0.06	1	0.1	0.9	0	20
	100				10				
3	400	0.01	0.42	0.18	3.6	0	$0.4k_{st}$	40	20
	100		0.30	0.10	0.036				
4	400	0.01	0.42	0.18	3.6	0	k_{st}	40	20
	100		0.30	0.10	0.036				

Notes: α = constitutive parameter; θ_s = saturated water content; θ_r = residual water content; k_s = saturated permeability; q_a = antecedent rainfall intensity; q_b = rainfall intensity for time greater than zero; γ = slope angle. Parameters α , θ_s and θ_r are used in the constitutive relations between the hydraulic conductivity and moisture content and the pressure head (Srivastava and Yeh, 1991).

Table 2. Properties of four types of superficial materials.

Geological type	c' (kPa)	ϕ' (°)	γ_{sat} (kN/m ³)	K_s (m/s)	α (cm ⁻¹)	θ_s	θ_r
Vegetated land	10.5	37	21	1×10^{-6}	0.8	0.40	0.25
Bed rock	-	-	-	0	-	-	-
Loose soil deposit	4	37	21	1×10^{-5}	0.8	0.42	0.18
Riverbed	-	-	-	1×10^{-3}	-	-	-

Notes: c' = true cohesion of soil; ϕ' = friction angle of soil; γ_{sat} = unit weight of solid particles; K_s = saturated permeability of soil.

Table 3. Soil properties for debris flow simulation.

d_{50} (mm)	ρ_s (kg/m ³)	C_{v*}	s_b	τ_c (Pa)	K_e (m ³ /N-s)
35	2650	0.65	1	8.7	78.5×10^{-9}

Notes: d_{50} = mean grain size; ρ_s = density of solid particles; C_{v*} = volume fraction of solids in the erodible bed; s_b = degree of saturation of the erodible bed; τ_c = critical erosive shear stress; K_e = coefficient of erodibility.

Table 4. Constitutive (rheological) parameters for debris flow simulation.

α_1 (kPa)	β_1	α_2 (Pa·s)	β_2	K	δ_d	n
3.8	3.51	0.02	2.97	2500	0.02	0.16

Notes: α_1, β_1 = empirical coefficients for calculating τ_y ; α_2, β_2 = empirical coefficients for calculating μ ; K = laminar flow resistance coefficient; δ_d = deposition coefficient; n = Manning's coefficient.

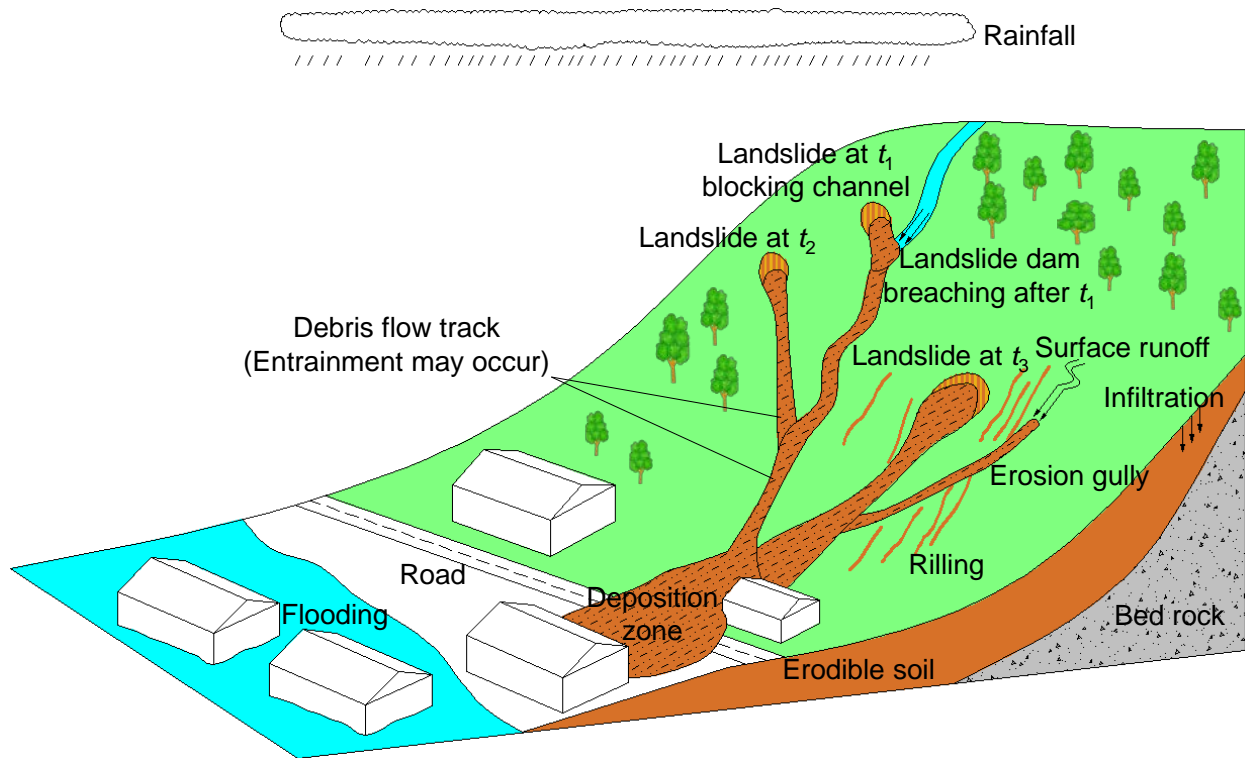


Figure 1. Conceptual model of a rain-induced debris flow and three typical initiation mechanisms of debris flows: bed erosion, transformation from landslide, and dam breach.

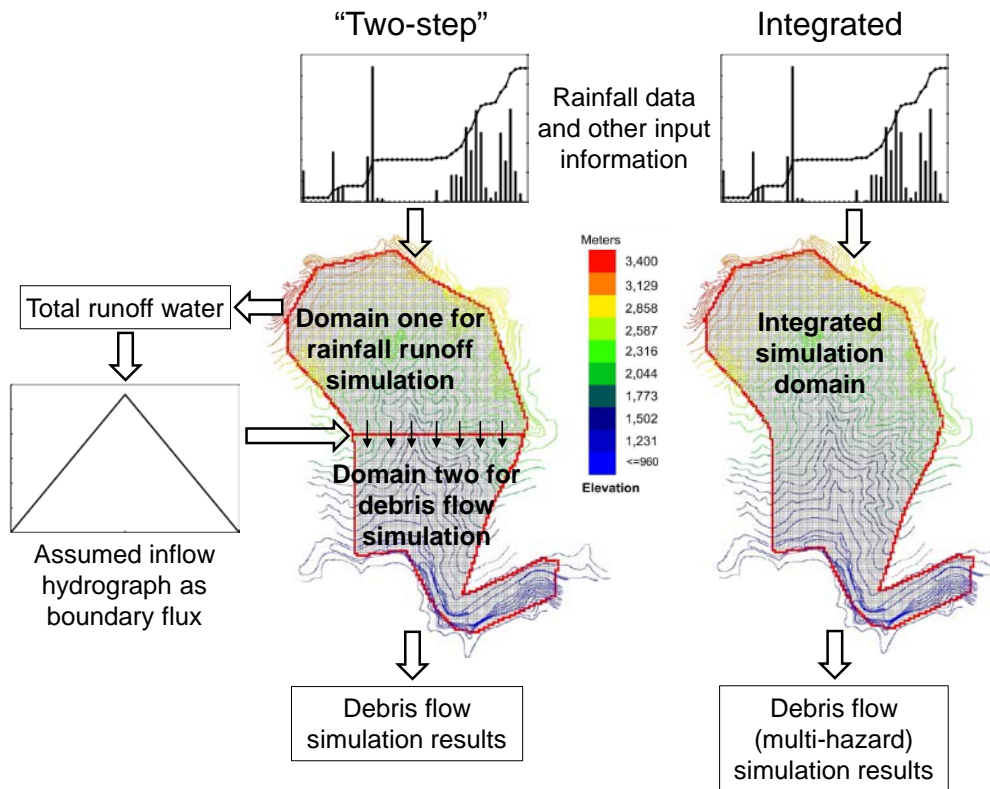


Figure 2. Comparison between “two-step” simulation and integrated simulation of rain-induced debris flows.

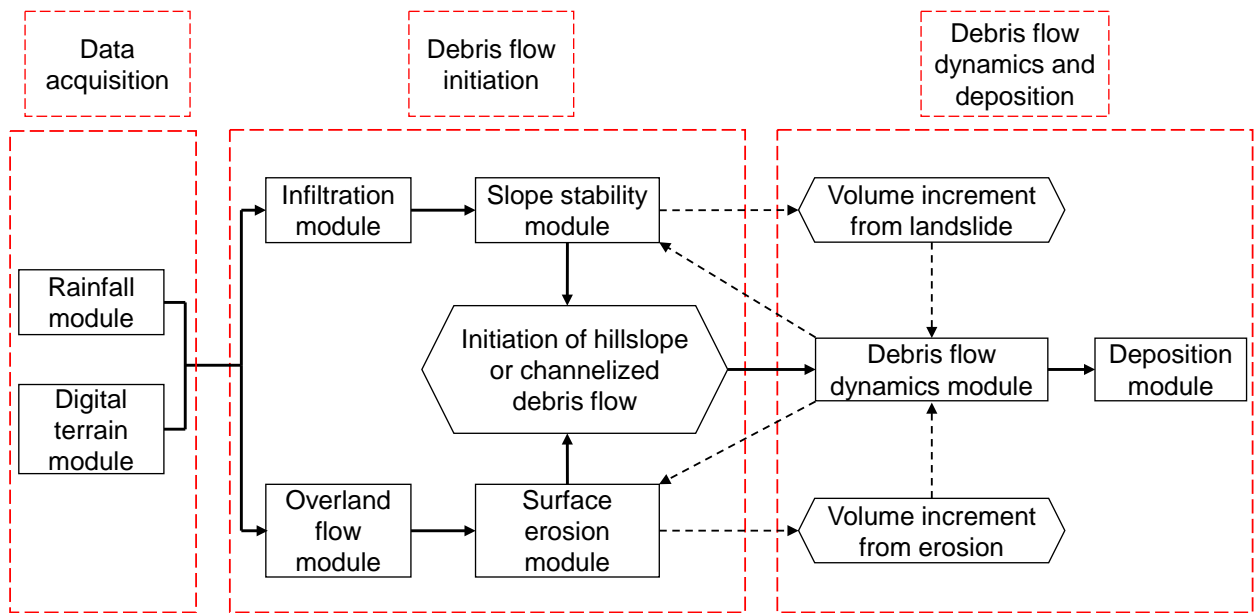


Figure 3. Framework of integrated simulation of debris flows.

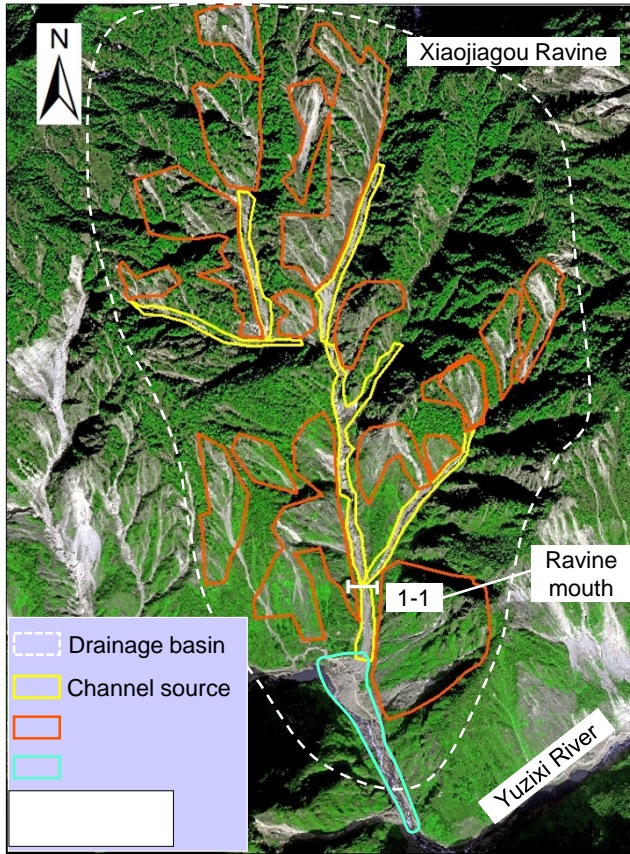


Figure 4. A satellite image of the study area taken shortly after the Xiaojiagou debris flow on 14 August 2010.

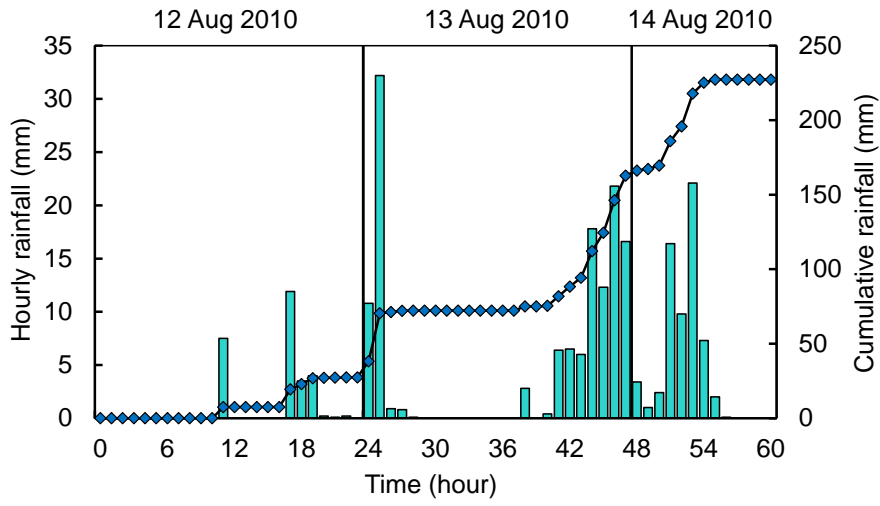


Figure 5. Rainfall process of the August 2010 rainstorm.

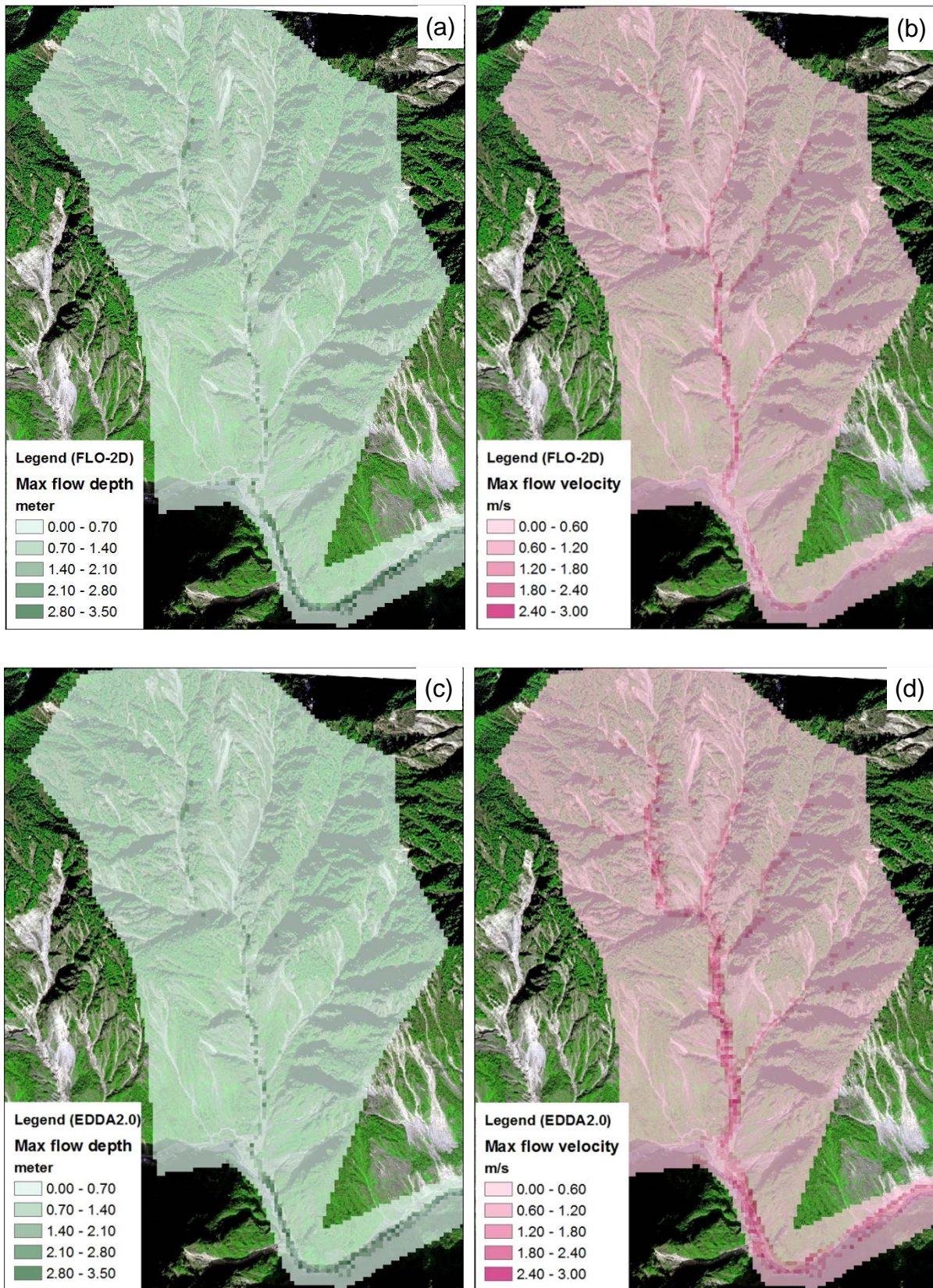


Figure 6. Comparison of the maximum surface runoff flow depths and flow velocities simulated using FLO-2D [(a) and (b)] and EDDA 2.0 [(c) and (d)].

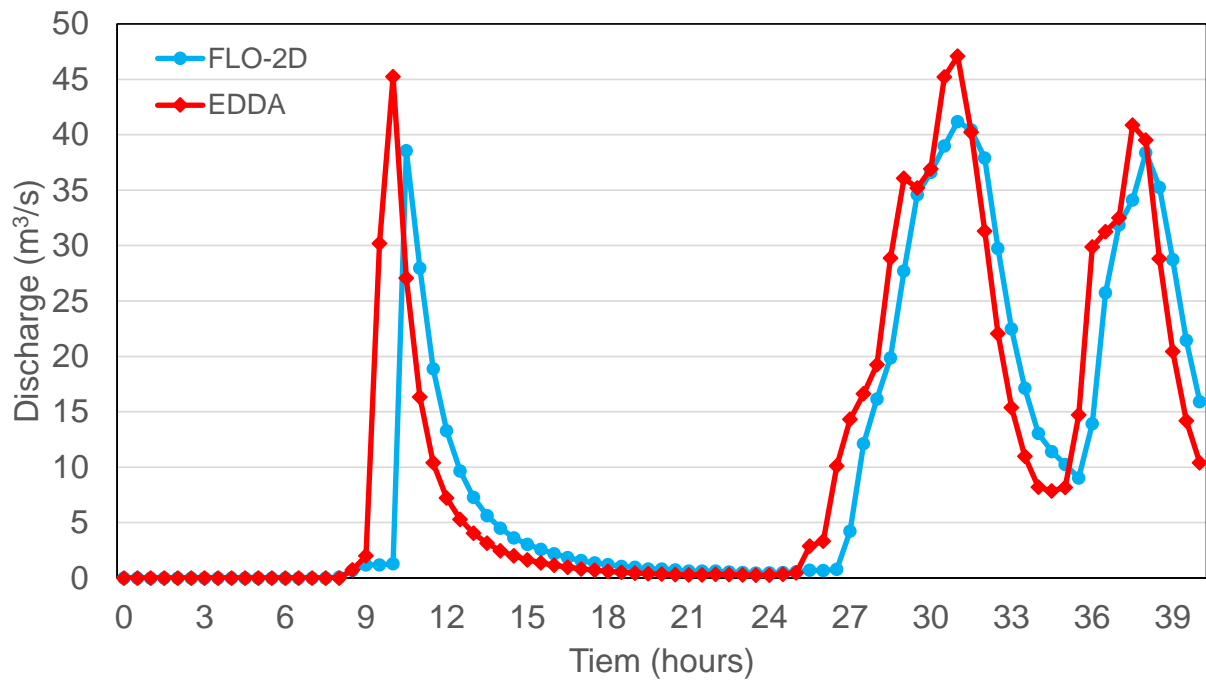


Figure 7. Comparison of the outflow hydrographs at the ravine mouth using FLO-2D and EDDA 2.0.

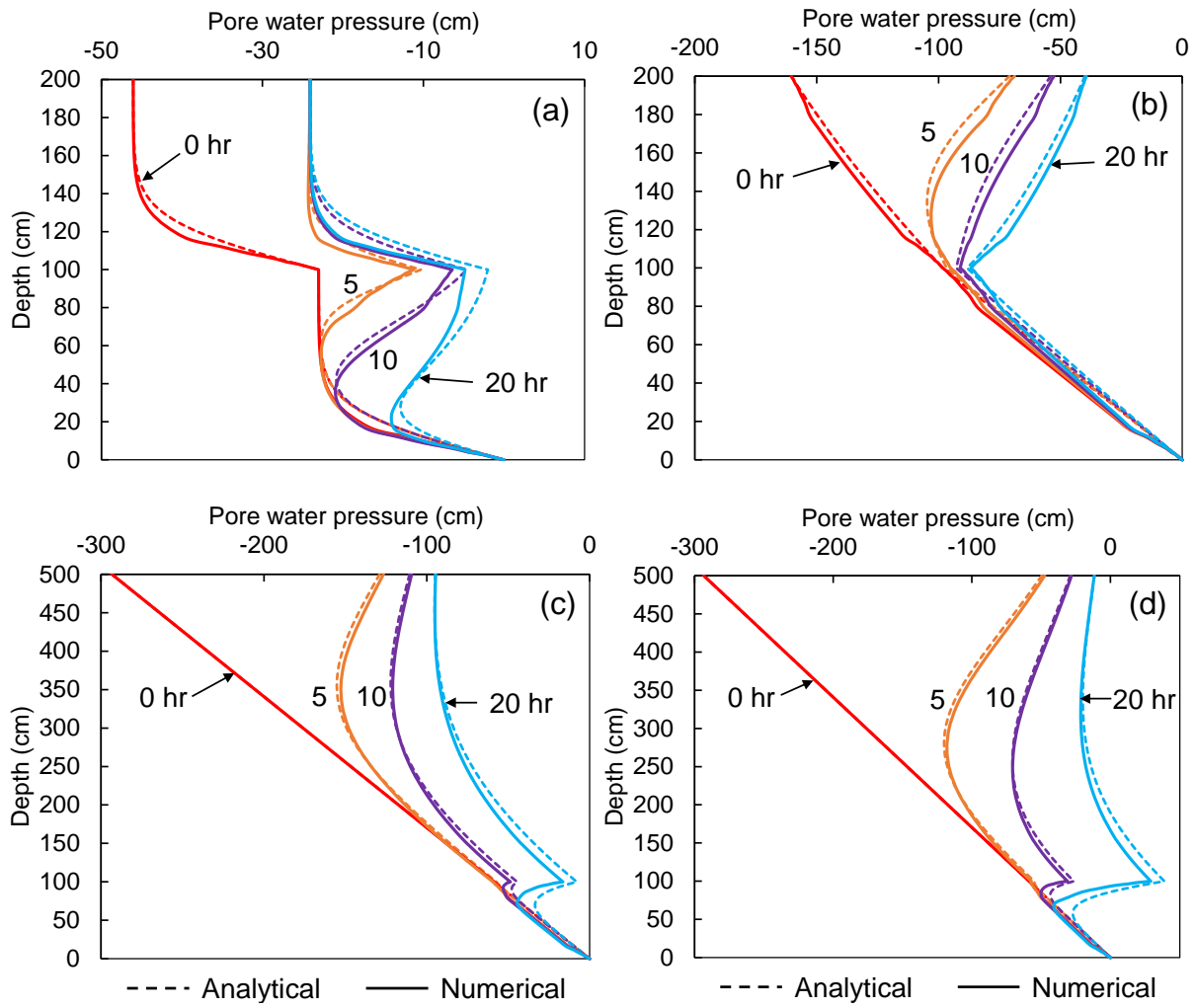


Figure 8. Pore water pressure profiles at various times: (a) Case 1; (b) Case 2; (c) Case 3; (d) Case 4.

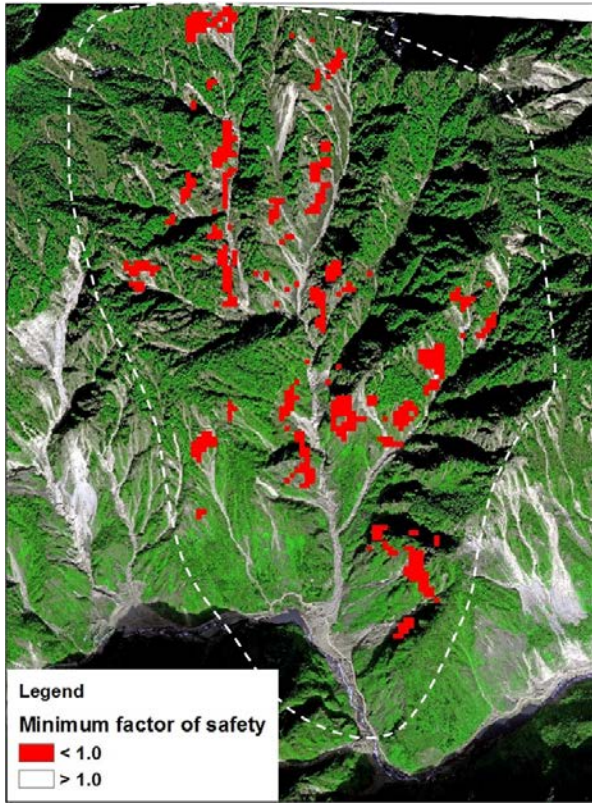


Figure 9. Computed unstable cells vs. landslide scars on the satellite image.

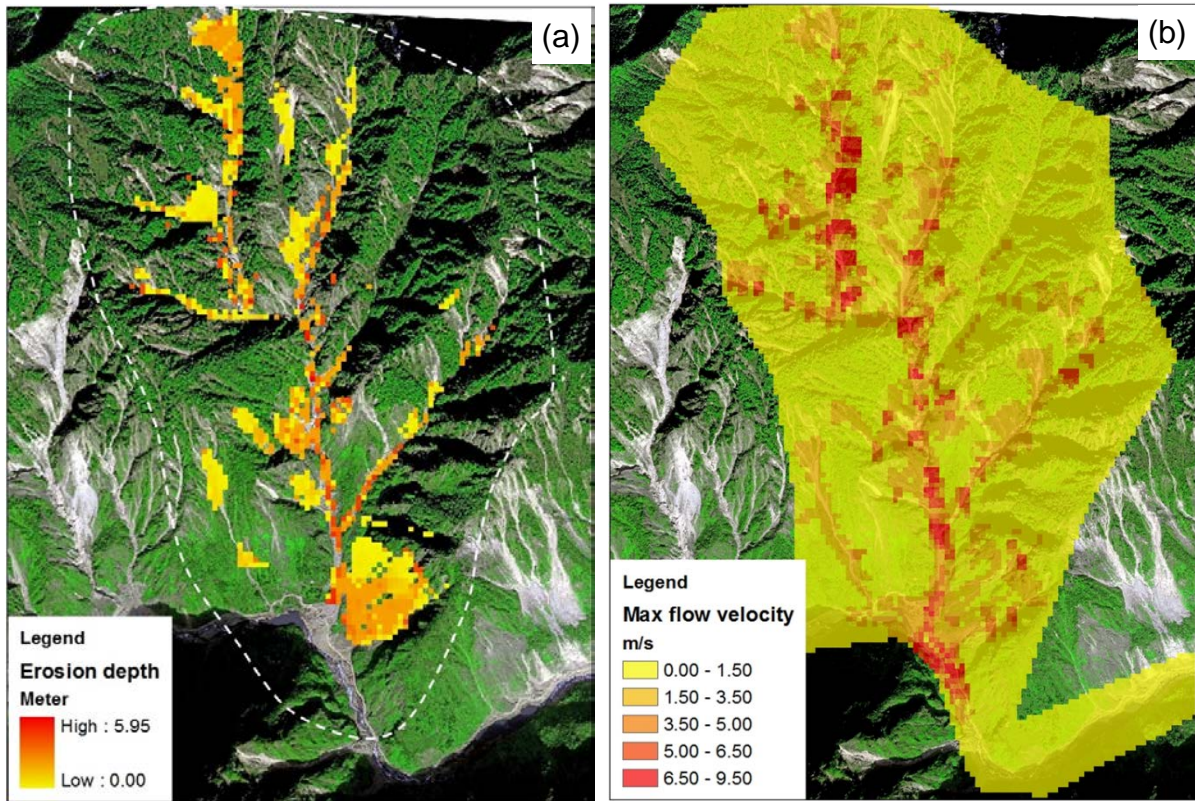


Figure 10. Simulation results of the Xiaojiagou debris flow: (a) final shape and depth of the erosion zone; (b) maximum flow velocity.

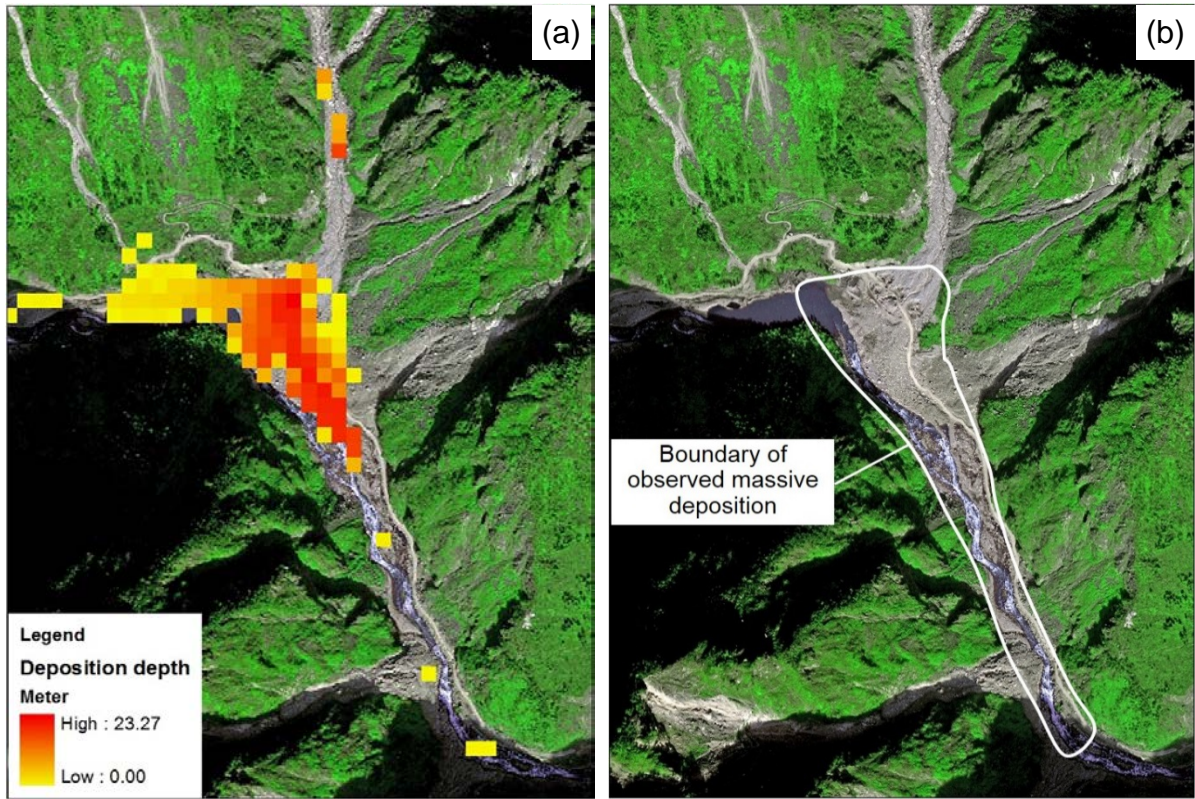


Figure 11. Comparison of the simulated and observed deposition zones: (a) simulation result; (b) enlarged view of the observed deposition area (Chen and Zhang, 2015).

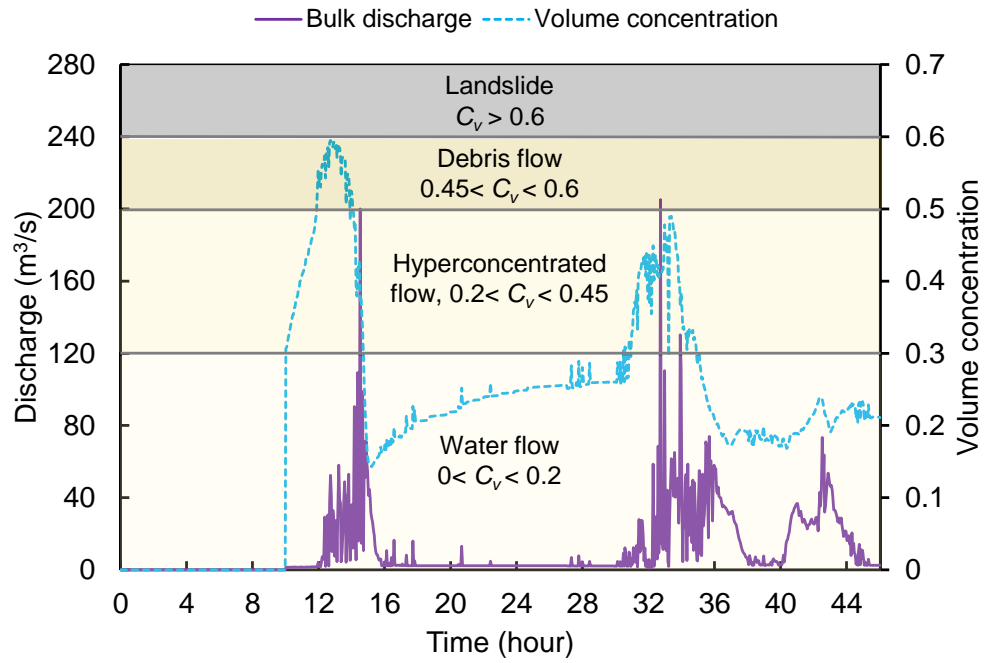


Figure 12. Outflow hydrograph and changes in C_v at the Xiaojiagou Ravine mouth during the simulation period.

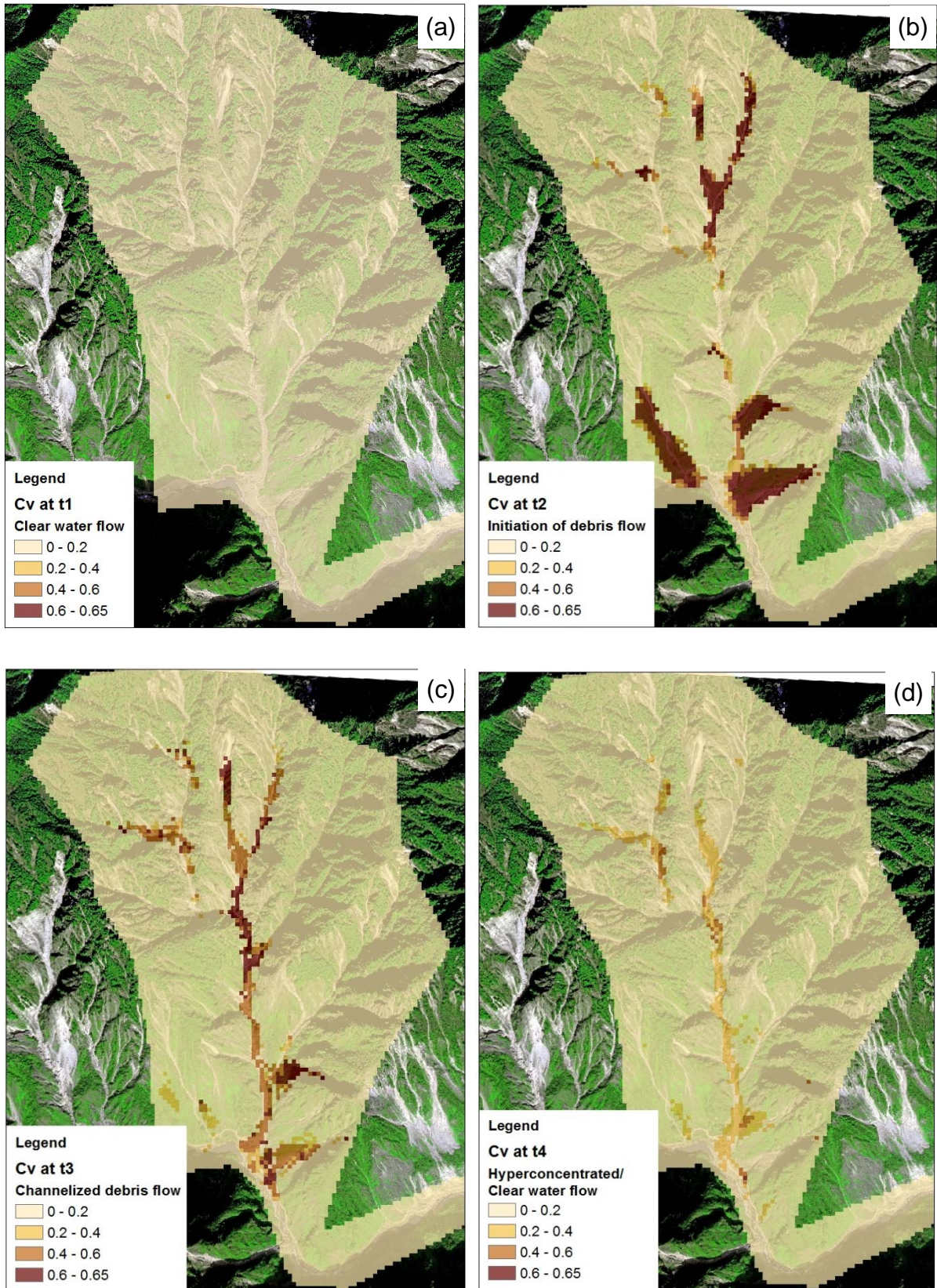


Figure 13. Distributions of C_v at different times of the storm event: (a) clear water flow; (b) initiation of debris flows; (c) channelized debris flows; (d) post hyperconcentrated/clear water flow.