

## Response Letter

Title: A Hydrological Emulator for Global Applications – HE v1.0.0

Journal: Geoscientific Model Development

*We would like to thank the Editor and the referees for their detailed review of our manuscript and their positive feedback, constructive suggestions and criticisms. The responses to the Referees' comments are shown in blue font below. All the line numbers indicated refer to the main text of the revised manuscript (clean version without tracking changes).*

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### **Editor's comments:**

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**Topical Editor Decision:** Publish subject to minor revisions (review by editor) by Bethanna Jackson

Comments to the Author:

Thank you for the careful and attentive revision, and I second the comments from reviewers that the content is interesting and informative and a substantive contribution. Could you please add a small discussion on how catchment characteristics might change appropriate model structure/number of parameters as per Reviewer 1's suggestion, and give guidance on potential future work along this line, and also address the suggestions of Reviewer 2.

**Response 1:** We thank the Editor for allowing us to revise the manuscript. We have addressed the two Reviewers' comments accordingly (see responses below).

### **Referee #1**

I enjoyed reading this revised manuscript. I felt that the authors have carefully addressed the comments from the two reviewers in the first round. The study has unique contribution in its global-scale examination of the HE and its comparison with a comprehensive land surface model (VIC). The HE included several new modifications from the "abcd" model, such as introducing a baseflow index to improve the partition of river flow into surface runoff and baseflow, and model implementation in both lumped and distributed schemes. Some issues still remain, such as selection of models and more detailed investigations for the differences in model performances, but I feel these could fall into another topic and can be addressed in different studies. I have one minor comment for the authors to consider before the paper is accepted for publication. In the

discussion section adding more information on what are the lessons learned from this exercise? The watersheds at global scale differ so much in many aspects (e.g., snow vs. no snow, wet vs. dry, etc.). For some watersheds the model might be able to be further simplified without significantly sacrificing its performance, while for some other watersheds, adding more parameters/model components might be necessary to insure acceptable model performance. What are the recommendations? More information on this would help future model studies and the outcome of this study.

**Response 2:** We have added discussions on the application of the HE under different basin characteristics as follows (lines 432-469):

*“While many studies indicate that basin runoff generation is sensitive to factors such as physical characteristics, spatiotemporal variability in storage distribution and forcing input, evidence also show that basin response can be captured using a handful of parameters (Hsu et al., 1995; Young and Parkinson, 2002). In this study, the lumped scheme of the HE ignores the spatiotemporal variability in basin characteristics by averaging the input forcing data; consequently, the associated responses in within-basin runoff or ET variations cannot be captured. In contrast, the distributed scheme presents a better performance in capturing spatiotemporal variability of runoff and ET with use of the same input data, and without increasing the number of parameters. Thus, the use of the distributed scheme is preferred when the tradeoff in the computational efficiency is not a constraining factor.*

*Moreover, a combination of a top-down approach (Sivapalan et al., 2003) and a multi-objective approach to model evaluation (Gupta et al., 1998) could be used to explore internal basin behavior, wherein the top-down approach would start from a simple structure and then progressively expand based on its caveats in reproducing overall basin behavior [e.g., Jothiyangkoon et al., 2001]. In this study we adopt a similar framework, by starting from a baseline model and then expanding to the “abcd” model with snow representation, also by incorporating the baseflow index into the objective function to exert a multi-objective approach. Our assessment indicates that a baseline model characterized by mean seasonal cycle still holds a promise in predicting runoff at basins with small variability in basin characteristics, such as basins of Ob, Lena, Yenisey, Siberia and Mackenzie in the Arctic area, where the baseline model yields KGE values of greater than 0.90 from our evaluation. Further, while Martinez and Gupta (2010) indicated that the incorporation of the snow component and an additional snow parameter into the original “abcd” model has greatly improved model performance in snow-prevalent regions, areas without prevailing snow (e.g., tropical zone) could still utilize the original version of the “abcd” model to keep the model as parsimonious as possible without compromising model predictability. In addition, although our results reveal that incorporation of baseflow index into the objective function generally improves the model performance in partitioning of runoff between direct runoff and baseflow, simply employing a single-objective approach (i.e., only involving total runoff) also works well for some basins such as North*

*Interior Africa and Interior Australia. Thus, the single-objective approach is also acceptable for those basins with the advantage of simplicity without compromise in performance. In short, according to specific basin characteristics and the research needs, suitable model complexity and number of parameters could be identified by following abovementioned scenarios, such that either the baseline model or a reduced format of the HE (e.g., without snow representation or single-objective) could be potentially utilized with the merits of simplicity, reasonable predictability and computational efficiency, rather than adopting the full format of the HE. Future research can extend this work by systematically investigating the role of different levels of inputs, parameters, and model complexity on model performance in different basins across the globe.”*

#### References:

Gupta, H. V., S. Sorooshian, and P. O. Yapo (1998), Toward improved calibration of hydrologic models: Multiple and noncommensurable measures of information, *Water Resour. Res.*, 34, 751–763.

Hsu, K., H. V. Gupta, and S. Sorooshian (1995), Artificial neural network modeling of the rainfall-runoff process, *Water Resour. Res.*, 31(10), 2517 – 2530.

Jothityangkoon, C., M. Sivapalan, and D. Farmer (2001), Process controls of water balance variability in a large semi-arid catchment: Downward approach to hydrological model development, *J. Hydrol.*, 254, 174 – 198.

Martinez, G.F., Gupta, H.V., 2010. Toward improved identification of hydrological models: A diagnostic evaluation of the “abcd” monthly water balance model for the conterminous United States. *Water Resour. Res.*, 46(8).

Sivapalan, M., G. Blöschl, L. Zhang, and R. Vertessy (2003), Downward approach to hydrological prediction, *Hydrol. Processes*, 17, 2101–2111.

Young, P. C., and S. Parkinson (2002), Simplicity out of complexity, in *Environmental Foresight and Models: A Manifesto*, edited by M. B. Beck, Elsevier Science, The Netherlands, 251–294.

#### **Referee #2**

This paper presents a hydrologic emulator (HE), built upon the pre-existing “abcd” model, designed for use in global modeling applications such as IAMs. Both a distributed, gridded version and a lumped, water basin scale version are described and evaluated. The HE is tested against a baseline model of climatological monthly mean runoff. The HE is calibrated and validated against the VIC model, and its computational efficiency is assessed. The development of a computationally efficient, open-source global hydrologic model emulator is timely and useful to the modeling community, as many inter-disciplinary multi-modeling studies are utilizing global hydrologic models. While this paper is well-written and will add a valuable

model to the hydrology literature, there are some improvements that should be made before publication. These are described below, in addition to some suggestions.

#### Criticism related to previous reviewer's comments:

The current manuscript has successfully addressed most of the concerns of the previous reviews, but some improvements are still needed, and some concerns still need to be addressed.

1. The comparison of VIC runoff to GRDC data (Fig. S1) addresses the concern raised by a previous reviewer that VIC may not be an accurate model of global runoff.

However, there needs to be a few improvements to this assessment:

1) The acronyms GRDC and UNH/GRDC need their full names spelled out, and the GRDC needs to be properly cited.

**Response 3:** We have spelled out the full names for the first use, and have added citation for GRDC in the main text (lines 223-227):

*“The VIC runoff product compares well to other products (see Fig. S1, S2), including the University of New Hampshire/Global Runoff Data Centre (UNH/GRDC) runoff product (Fekete and Vorosmarty, 2011; Fekete et al., 2002) ..... The scatterplot pattern of the VIC long-term annual runoff product vs. the GRDC product (GRDC, 2017) matches well with that of the UNH/GRDC runoff vs. the GRDC product...”*

#### References:

Fekete, B., Vorosmarty, C., 2011. ISLSCP II UNH/GRDC Composite Monthly Runoff. ISLSCP Initiative II Collection, edited by: Hall, FG, Collatz, G., Meeson, B., Los, S., Brown de Colstoun, E., and Landis, D., Data set, available at: <http://daac.ornl.gov/>, from Oak Ridge National Laboratory Distributed Active Archive Center, Oak Ridge, Tennessee, USA, doi, 10.

Fekete, B.M., Vörösmarty, C.J., Grabs, W., 2002. High - resolution fields of global runoff combining observed river discharge and simulated water balances. *Global Biogeochem. Cycles*, 16(3).

GRDC, BfG The GRDC - Global Runoff Database. Available at: [http://www.bafg.de/GRDC/EN/01\\_GRDC/13\\_dtbse/database\\_node.html](http://www.bafg.de/GRDC/EN/01_GRDC/13_dtbse/database_node.html). Accessed 09/13/2017

2) The top three panels of Fig. S1 look as though they are comparing UNH-GRDC (y-axis) to GRDC (x-axis). Such a comparison is not needed, and irrelevant, as the model UNH-GRDC product is calibrated to the GRDC data. Likely the figure is supposed to show VIC runoff vs GRDC runoff. Either the axis labels must be corrected, or the comparison needs to be redone.

**Response 4:** The upper panel of Figure S1 compares UNH-GRDC runoff product to GRDC data, and the lower panel compares VIC runoff to GRDC data. The point here is: the scatterplot patterns of the upper panel matches well with the counterparts of the lower panel, which means the behavior of the VIC runoff product is similar to that of the UNH/GRDC product, suggesting the reasonableness of the VIC runoff product, because the UNH/GRDC runoff is calibrated with the GRDC observations. This point has been clarified in the main text (lines 226-232):

*“The scatterplot pattern of the VIC long-term annual runoff product vs. the GRDC product (GRDC, 2017) matches well with that of the UNH/GRDC runoff vs. the GRDC product (streamflow is transferred to the same unit as runoff via dividing by the basin area), which means the behavior of the VIC runoff product is similar to that of the UNH/GRDC product. Further, the correlation coefficient of the VIC and the UNH/GRDC long-term annual runoff is as high as 0.83 across the global 235 basins (Fig. S2). This suggests the reasonableness of VIC runoff product, because the UNH/GRDC runoff is calibrated with the GRDC observations.”*

References:

GRDC, BfG The GRDC - Global Runoff Database. Available at: [http://www.bafg.de/GRDC/EN/01\\_GRDC/13\\_dtbse/database\\_node.html](http://www.bafg.de/GRDC/EN/01_GRDC/13_dtbse/database_node.html). Accessed 09/13/2017

3) Why are these three basins chosen? The authors do not provide sufficient evidence that these basins are representative of global runoff patterns. The authors should either make this argument, or provide analysis of more basins. A map showing the  $r^2$  values of monthly runoff in VIC vs GRDC or UNH-GRDC would be most informative, as it would show regions in which VIC is most (and least) accurate.

**Response 5:** The three basins are located in three different climate zones: tropical, temperate and Arctic, which provides a glimpse of performance of the VIC runoff products at different climate zones. Further, the scatter plot of VIC runoff product vs. UNH/GRDC runoff across global 235 basins in Figure S2 clearly indicate a strong correlation (correlation coefficient  $r=0.83$ ) between these two products, which further corroborate the reasonableness of the VIC runoff product. More importantly, the VIC used in this study is merely an example to illustrate the capability of the HE in emulating global hydrological models (GHMs), and its use is not bundled with the VIC and can be used to mimic other GHMs of interest. Although we provide some assessment for the credibility of the VIC runoff product, examining performance of the VIC model is outside the focus of this study.

4) Why is the comparison only made for the period 1986-1995? GRDC data now has observations through the year 2016.

**Response 6:** We compare the VIC global runoff product with that of the UNH/GRDC runoff product, which is only available from 1986-1995. Although GRDC data has observations till 2016, it does not have a grid-level global coverage.

5) Suggestion: the authors could include a brief discussion of the limitations of the VIC model. This is not necessary, but could be helpful to readers.

**Response 7:** We have added discussions on the VIC model as follows (lines 241-250):

*“Uncertainties arising from the runoff process in the VIC model should be acknowledged. Implementation of different runoff generation schemes (e.g. TOPMODEL) within the same modeling framework is an alternative that can be adopted in the future to explore the uncertainty range. A recent inter-model comparison study shows that the VIC model falls within the range of large model ensembles (Hattermann et al. 2017). Notably, groundwater and its interaction with river and land surface are not represented in the model. Thus, the model may not be able to fully*

*capture the hydrologic responses in areas where lateral flow and the three way streamflow-aquifer-land interactions are important. Further, vegetation dynamics and water management that may affect runoff are not considered in the model simulations. Nonetheless, the use of the HE documented here is not tied to the VIC, and it could be used to emulate other GHMs of interest.”*

#### References:

Hattermann, F. et al., 2017. Cross - scale intercomparison of climate change impacts simulated by regional and global hydrological models in eleven large river basins. *Clim. Change*: 1-16.

2. The authors should assess the computational efficiency of the calibration processes. This would inform other users of the HE how difficult it is to re-calibrate the model to other GHMs. While not necessary for publication, it would improve the paper to re-calibrate the HE to another GHM, demonstrating the HE’s flexibility and broad applicability.

**Response 8:** We have conducted an experiment for the Amazon basin to assess the computational efficiency (see Table S1) of the HE, and it provides a glimpse of the computational efficiency of the calibration processes. The related results are presented in the main text (lines 385-387):

*“Take the Amazon basin that covers a total number of 2002 0.5-degree grid cells as an example, it takes 11.05 minutes for model calibration via the GA method in the distributed scheme but only 0.16 minute for the lumped one.”*

3. There is no analysis of daily runoff simulations. Even if the model is not intended to be used for daily simulations, this should be explained explicitly in the text. Line 342 states that distributed models such as the distributed HE presented here are better than lumped models for flood peak prediction. However, flood peak prediction is only accurate at daily time steps, so this statement should either be removed, or the daily accuracy of the distributed HE assessed.

**Response 9:** We have removed the statement of better performance of the distributed models for predicting flood peak to avoid confusions. Also, we have explicitly described the “*abcd*” model in the Section 2.1.2 and stated that it uses a monthly time step (line 120):

*“The monthly “abcd” model was first introduced by Thomas (1981) to ...”*

#### Reference:

Thomas, H., 1981. Improved methods for national water assessment. Report WR15249270, US Water Resource Council, Washington, DC.

4. For context, the authors could add a brief description of the type of work that IAMs coupled with (or including) GHMs have been used for.

**Response 10:** We have added a brief description as follows (lines 427-431):

*“For example, a follow-up work is coupling the distributed scheme of the HE with a widely-used IAM, the Global Change Assessment Model (GCAM, Edmonds et al., 1997), and then using the coupled model to investigate the impacts of a variety of land use policies on global water scarcity, where the HE is used to estimate grid-level runoff globally under different land use policies.”*

Reference:

Edmonds, J., M. Wise, H. Pitcher, R. Richels, T. Wigley, and C. MacCracken. (1997) “An Integrated Assessment of Climate Change and the Accelerated Introduction of Advanced Energy Technologies”, *Mitigation and Adaptation Strategies for Global Change*, 1, pp. 311-339

Major criticisms: must be addressed before publication

1. This model is intended to be fully open-source and user-friendly. To accomplish this goal, the authors should **include in the source package a user manual**. A good example of such a model user manual is the open source CaMa-Flood manual, available here:

[http://hydro.iis.utokyo.ac.jp/~yamadai/cama-flood/Manual\\_CaMa-Flood\\_v362.pdf](http://hydro.iis.utokyo.ac.jp/~yamadai/cama-flood/Manual_CaMa-Flood_v362.pdf)

**Response 11:** Following the reviewer’s suggestion, we have included a user’s manual on the Github ([https://github.com/JGCRI/hydro-emulator/blob/master/docs/he\\_user\\_manual.pdf](https://github.com/JGCRI/hydro-emulator/blob/master/docs/he_user_manual.pdf)).

2. Line 107: Where does the baseline model’s climatology runoff come from? Is this based on data, or a model simulation? It needs to be described and cited.

**Response 12:** We have clarified the climatology runoff as follows (lines 109-117):

*“In this study, the baseline model is based on monthly climatology runoff, which comes from a model simulation product – i.e., the runoff product from the Variable Infiltration Capacity (VIC) model (Leng et al. 2015). Specifically, we first calculate grid-level inter-annual mean value for each of the 365 calendar days from daily runoff of the benchmark product during 1971-2010 (see Section 2.3.2), and then aggregate daily climatology runoff to monthly climatology runoff at grid-level. The baseline model here uses monthly climatology runoff for prediction. For example, if the climatology runoff for July in one grid cell is 100 mm mon<sup>-1</sup>, then the prediction of total runoff for July of every year in that specific grid cell is 100 mm mon<sup>-1</sup>.”*

Reference:

Leng, G., Tang, Q., Rayburg, S., 2015. Climate change impacts on meteorological, agricultural and hydrological droughts in China. *Global Planet. Change*, 126: 23-34.

3. Lines 226 – 229: Provide data or a citation to back up the claim that discrepancies between VIC runoff and observed streamflow products are due to human activities.

**Response 13:**



Typically, the VIC model simulates runoff at natural conditions, and then a stand-alone routing model can be used to route these flows downstream, and the routing model may account for human activities such as water extractions, and reservoir operations. However, here we use the VIC runoff product under natural conditions rather than the streamflow product from the routing model as the benchmark. Further, to attribute the bias of VIC runoff to human activities is non-trivial, and would typically require paired simulations to examine whether model bias under natural conditions is reduced after consideration of human impacts, which is obviously not within the scope of this study. A recent study comparing VIC runoff under natural condition and human interventions showed that impact of human activity is comparable to that by climate change in certain regions (Haddeland et al. 2014). Thus, bias in VIC runoff may be partly attributed to the neglect of human activity in the model simulations. We have clarified it and incorporated relevant references in the main text (lines 235-240):

*“This is because the VIC model simulates runoff at natural conditions, and then a stand-alone routing model can be used to route these flows downstream (Nijssen et al., 2001). The routing model may account for human activities such as water extractions, and reservoir operations (Haddeland et al., 2014). However, here we use the VIC runoff under natural conditions as the benchmark product, which explains the discrepancies between the VIC runoff and observed streamflow products.”*

#### References:

Haddeland, I., Heinke, J., Biemans, H., Eisner, S., Flörke, M., Hanasaki, N., Konzmann, M., Ludwig, F., Masaki, Y., Schewe, J. and Stacke, T., 2014. Global water resources affected by human interventions and climate change. *Proc. Natl. Acad. Sci.*, 111(9), 3251-3256.

Nijssen, B.N., G.M. O'Donnell, D.P. Lettenmaier and E.F. Wood, 2001: Predicting the discharge of global rivers, *J. Clim.*, 14(15), 3307-3323

4. If the VIC simulation did not include human activities, then can the HE model be used to emulate GHMs that do include human activities such as water extractions from rivers and reservoir operation?

**Response 14:** The current version of HE can only simulate runoff under natural conditions. Representation of human activities such as water withdraws will be incorporated in future versions of HE in order to emulate GHMs that include human activities.

5. Section 2.4: Please describe the runoff range over which the model is calibrated. Does it include a good representation of extreme events? How does the distribution of runoff in the calibration period compare to potential future runoff under climate change? If there is a significant difference in these distributions, the applicability of the HE to climate change studies should be discussed.

**Response 15:** The monthly runoff ranges from 0-350 mm mon<sup>-1</sup> in our simulation, which accommodates the most possible range of runoff across the globe. The performance of the HE largely hinges on the performance of the global hydrological model (GHM) being emulated,



although in this study we take the VIC model as an example. If the GHM being emulated has a good performance and the HE mimics the behavior of the GHM well, presumably the HE will also simulate the water budgets well, and this could be evaluated by the users when they use the HE to emulate a specific GHM. The essence of this work is to deliver an open-source and easy-to-use hydrological emulator that can be used for emulating complex GHMs of interest, and we have proved its superiority in computational efficiency and reasonableness in predictability. In terms of the application of the HE under future climate change, it is out of the scope of this work.

6. Figure S4: Only the correlation coefficient for calibration on runoff is shown. The correlation for calibration with runoff and BFI should be included, as it is discussed in the text.

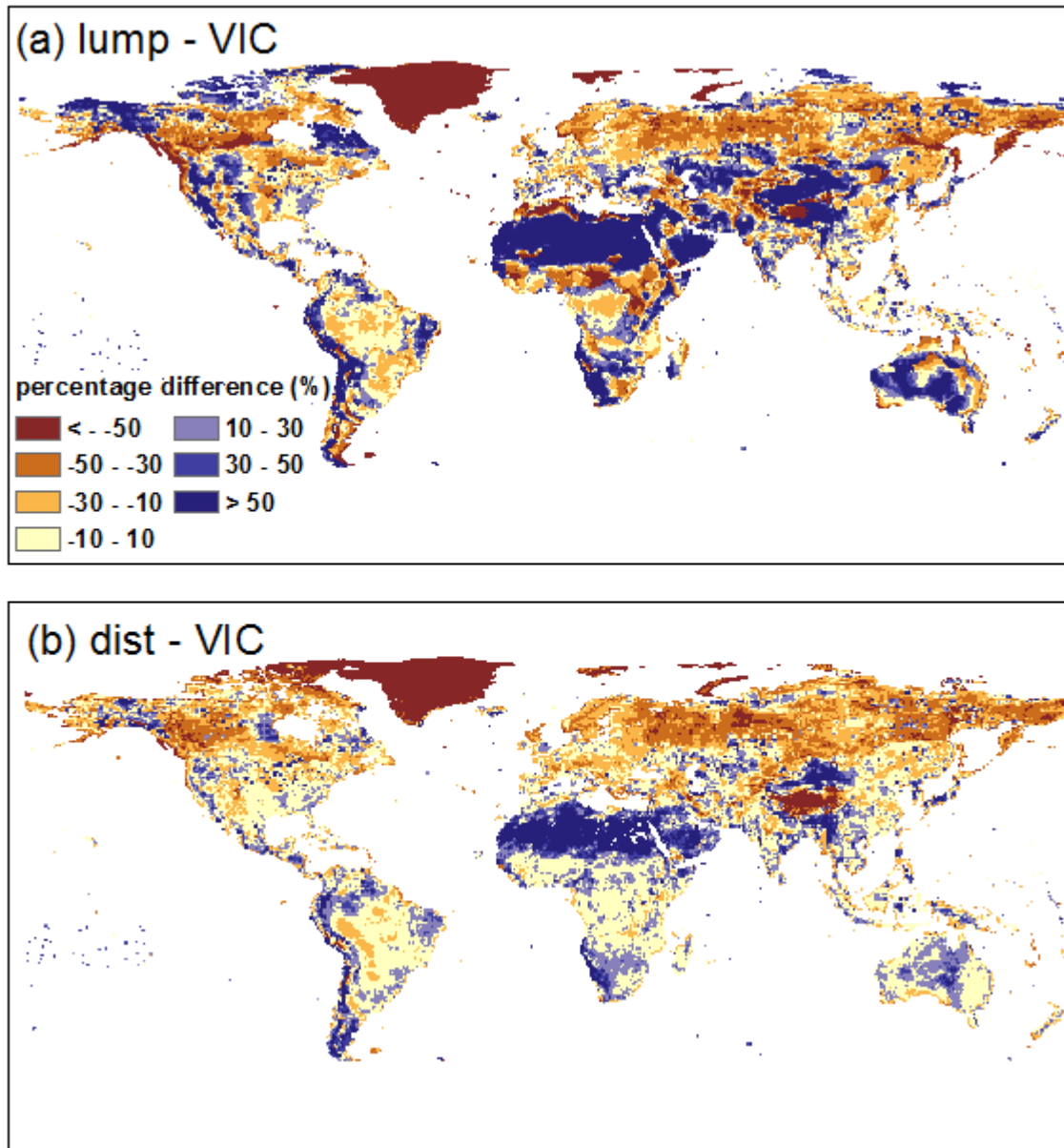
**Response 16:** Actually, the correlation for calibration with both runoff and BFI in the objective function has already been presented in Figure 3 in the main text, and now we have clarified it and have cited Figure 3 in the main text as follows (lines 310-319):

*“...our analysis indicates that the incorporation of BFI into the objective function leads to a significant improvement in the partition of total runoff between direct runoff and baseflow (Fig. 3, Fig. S4), without compromising predictability for total runoff, i.e., the global mean KGE values for modeled total runoff with or without the incorporation of BFI are almost the same (0.75 vs 0.76). Specifically, for the case of involving both the total runoff and BFI in the objective function, the correlation efficiencies ( $r$ ) between the long-term annual benchmark and modeled direct runoff, and between benchmark and modeled baseflow from the lumped scheme across global basins are both 0.98 (Fig. 3), which are much higher than those of 0.86 and 0.72 in the case of only involving the total runoff in the objective function (Fig. S4).”*

7. Lines 318-325, and Fig. S5: While Fig. S5 shows maps of ET, there is no quantitative assessment of ET. I suggest either a correlation analysis, or showing a difference map along with the other maps. A difference map would be very informative, showing regions of good agreement and regions of poor agreement.

**Response 17:** We have added two percentage difference maps in ET accordingly (Figure S8 in the Supplementary Materials) and have added discussions on the performance of our modeled ET as follows (lines 349-354):

*“In addition, the percentage differences between our modeled ET and the VIC ET product further confirm that the distributed scheme significantly outperforms the lumped one (Fig. S8), with much lower differences from the VIC ET product, although discrepancies still exist in some extremely cold (e.g., Greenland) or dry regions (e.g., North Africa), which is because small differences in ET will lead to large percentage difference in those regions with low ET.”*



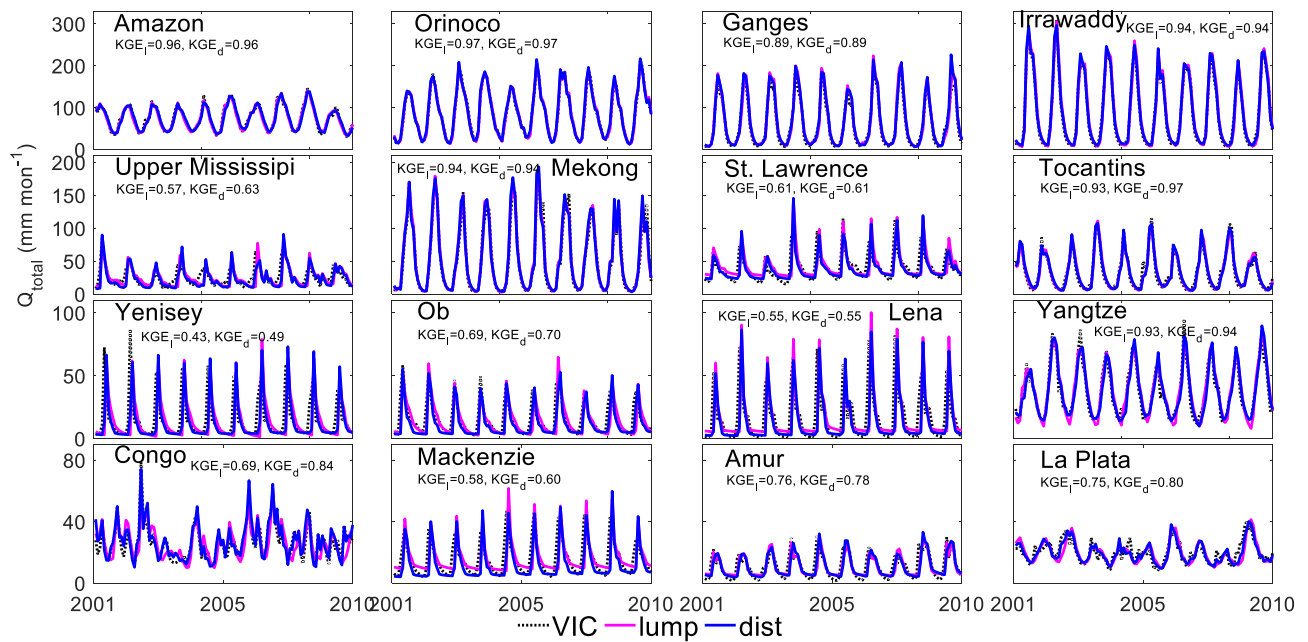
**Figure S8.** Spatial patterns of percentage differences in long-term annual evapotranspiration (ET,  $\text{mm yr}^{-1}$ ) during 1971-1990 between: (a) modeled ET from the lumped scheme and the VIC ET product (lump = lumped); (b) modeled ET from the distributed scheme and the VIC ET product (dist = distributed).

8. Lines 322 – 325, and Fig.4: Figure 4 shows a good match in seasonal variation of the calibration period. It is more important to show the seasonal variation in the validation period. The text claims that the seasonal variation in ET is good, but there is no quantitative evidence of this.

**Response 18:** We have added a Figure S5 for the validation period in the Supplementary Materials to present the good performance of the “*abcd*” model in capturing seasonality, and

have cited it to support the model’s good performance in simulating seasonal variations as follows (lines 333-334):

“Furthermore, both schemes display good capability in capturing the seasonal variations of total runoff for both the calibration and validation periods (Fig. 4, Fig. S5). ”



**Figure S5.** Time series of basin-specific total runoff ( $Q_{total}$ ) from the VIC product, the lumped and distributed “abcd” schemes for the world’s sixteen river basins with top annual flow (Dai et al. 2009) during 2001-2010 (part of the validation period 1991-2010).  $KGE_l$  and  $KGE_d$  stand for KGE value for the lumped and distributed scheme, respectively.

Based on the water mass balance equation, precipitation should approximate the sum of ET and runoff given the changes in basin-scale monthly soil moisture is relatively small. Thus, given the good match of seasonal variations in runoff between the VIC product and our modeled runoff, it is reasonable to infer the good match for the modeled ET, so we avoid the redundancy of presenting another figure of seasonal variations for ET. Related explanations are presented in the main text (lines 354-357):

“Given the changes in basin-scale monthly soil moisture is relatively small, precipitation should approximate the sum of ET and runoff according to the water mass balance, the good predictability of seasonality in runoff as illustrated in Fig. 4 also reflects similar performance for ET.”

Minor criticisms: suggestions that are not essential for publication

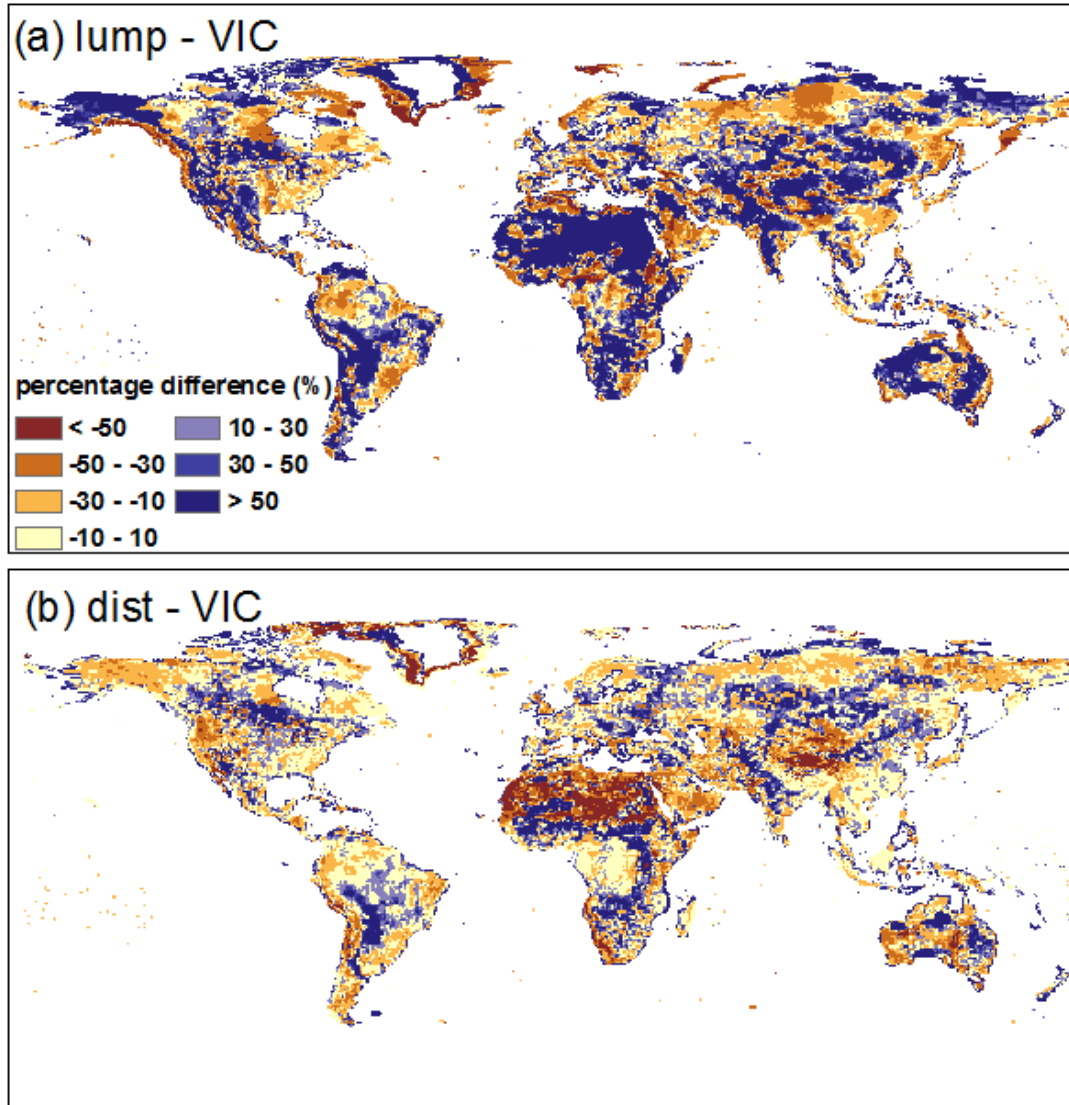
1. Figure 4: The color scheme is good, as blue and black are similar, and the light green is hard to see. Choosing different colors, or even using some dashed lines or other symbols would improve this figure.

**Response 19:** We have changed the colors of the lines in Figure 4 to make it more discernible.

2. Figure 5: Showing a difference map, especially between VIC and the distributed model, would be very informative.

**Response 20:** We have added two percentage difference maps between the modeled runoff and the VIC runoff product (Figure S6 in the Supplementary Materials), and also have added discussions in the main text (lines 337-342):

*“Likewise, overall much lower percentage differences between the modeled runoff from the distributed scheme and the VIC runoff product than those between the VIC and the lumped one further corroborate the significantly better performance of the distributed scheme (Fig. S6). Both schemes still show large percentage differences in some dry (e.g., North Africa) or cold regions (e.g., Tibet Plateau). This is because the runoff there is at a low magnitude and thus small changes in runoff will lead to large percentage differences.”*



**Figure S6.** Spatial patterns of percentage differences in long-term annual total runoff (mm yr<sup>-1</sup>) during 1971-1990 between: a) modeled runoff from the lumped scheme and the VIC runoff product (lump = lumped); b) modeled runoff from the distributed scheme and the VIC runoff product (dist = distributed).

3. While the citation for the PET calculation is given in the text, it would be useful to either cite this again within Appendix A, and/or provide the full equation for PET within Appendix A.

**Response 21:** We have added a citation for the PET calculation in the Appendix A (line 571):

“...where PET is calculated by using the Hargreaves-Samani method (Hargreaves and Samani, 1982).”

4. Line 257: The objective function equation needs an equation number.

**Response 22:** We have added the equation number (6) in the main text.

5. While the paper is mostly well-written, the authors should have a copy editor review the paper for detailed grammatical issues, as there are several sprinkled throughout the text. In a few places, these grammatical issues hinder the clarity of the text and should be revised. These places are:

a. Lines 164 – 166, sentence beginning with “For the baseline model...”

b. Lines 211 – 215, sentence beginning with “Second, since we have not...”

**Response 23:** We have asked a colleague who is a native English speaker to proofread the paper and have carefully addressed the grammatical issues. We have revised the two above sentences as follows (lines 167-169, 214-219):

*“For the baseline model, as documented in Section 2.1.1, every 0.5-degree grid cell of each basin has its own monthly climatology runoff estimates for each of the 12 calendar months.”*

*“Second, the simulated monthly runoff by the “abcd” model is more representative of “natural conditions” because human activities (e.g., reservoir regulations and upstream water withdrawals) are currently not represented in the model. Thus it tends to be more reasonable to compare the simulated runoff against the VIC natural runoff product rather than comparing against observed streamflow data from stream gauges (Dai et al., 2009; Wilkinson et al., 2014).”*

Reference:

Dai, A., Qian, T., Trenberth, K.E., Milliman, J.D., 2009. Changes in continental freshwater discharge from 1948 to 2004. *J. Clim.*, 22(10): 2773-2792.

Wilkinson, K., von Zubern, M., Scherzer, J., 2014. Global Freshwater Fluxes into the World Oceans, Tech. Report prepared for the GRDC. Koblenz, Federal Institute of Hydrology (BfG), (GRDC Report No. 44. doi: 10.5675/GRDC\_Report\_44, 23pp.[Available from [http://www.bafg.de/GRDC/EN/02\\_srvcs/24\\_rprtrs/report\\_44.pdf](http://www.bafg.de/GRDC/EN/02_srvcs/24_rprtrs/report_44.pdf)].

6. Lastly, the open source code is written in Matlab, a proprietary and costly computing software package. While most large U.S. and European universities have Matlab licenses, this platform may be cost prohibitive to some researchers, limiting the global usability of the open source model. While this is not required for publication, I would highly recommend that the authors translate this model into a fully open-source coding language such as R, Python, or C.

**Response 24:** The codes of the HE written in Matlab are available on the open-source software site Github (<https://github.com/JGCRI/hydro-emulator/>). In addition, the HE documented here has been translated into Python and is also freely available online. We have added clarification in the section of Code and/or data availability ():

*“In addition, the HE documented here has been translated into Python and is being incorporated into Xanthos (Li et al., 2017), which is an open-source global hydrologic model that allows users to run different combinations of evapotranspiration, runoff, and routing models. The HE will be the default runoff model used in Xanthos 2.0 and will be available on GitHub (<https://github.com/JGCRI/xanthos>).”*

Reference:

Li, X., Vernon, C.R., Hejazi, M.I., Link, R.P., Feng, L., Liu, Y., Rauchenstein, L.T., 2017, Xanthos – A Global Hydrologic Model, Journal of Open Research Software, 5(1), p.21.

**Referee #3**

Accepted as is

**Response 25:** We thank the referee for favorable consideration of our work.