



1 **An interactive ocean surface albedo scheme: formulation and evaluation in**  
2 **two atmospheric models**

3

4 **Roland Séférian<sup>1\*</sup>, Sunghye Baek<sup>2,3</sup>, Olivier Boucher<sup>2</sup>, Jean-Louis Dufresne<sup>4</sup>, Bertrand**  
5 **Decharme<sup>1</sup>, David Saint-Martin<sup>1</sup>, Romain Roehrig<sup>1</sup>**

6 <sup>1</sup> Centre National de Recherches Météorologiques, Météo-France/CNRS, Toulouse, France

7 <sup>2</sup> Institut Pierre-Simon Laplace, CNRS / UPMC, Paris, France

8 <sup>3</sup> Now at Korea Institute of Atmospheric Prediction Systems (KIAPS), Seoul, Korea

9 <sup>4</sup> Laboratoire de Météorologie Dynamique, CNRS / UPMC, Paris, France

10

11 **Abstract**

12

13 Ocean surface represents roughly 70% of the Earth surface, playing a large role in the  
14 partitioning of the energy flow within the climate system. The ocean surface albedo (OSA) is  
15 an important parameter in this partitioning because it governs the amount of energy  
16 penetrating into the ocean or reflected towards space. The old OSA schemes in the ARPEGE  
17 and LMDZ models only resolve the latitudinal dependence in an *ad hoc* way without an  
18 accurate representation of the solar zenith angle dependence. Here, we propose a new  
19 interactive OSA scheme suited for Earth system models, which gather contributions for  
20 relevant OSA processes published in the literature over the last decades. This scheme resolves  
21 spectrally the various contributions of the surface for direct and diffuse solar radiation. The  
22 implementation of this scheme in two Earth system models leads to substantial improvements  
23 in simulated OSA. At the local scale, models using the interactive OSA scheme better  
24 replicate the day-to-day distribution of OSA derived from ground-based observations in  
25 contrast to old schemes. At global scale, the improved representation of OSA for diffuse  
26 radiation reduces model biases by up to 80% over the tropical oceans, reducing annual-mean  
27 model-data error in surface upwelling shortwave radiation by up to  $7 \text{ W m}^{-2}$  over this domain.  
28 The spatial correlation coefficient between modelled and observed OSA at monthly resolution  
29 has been increased from 0.1 to 0.8. Despite its complexity, this interactive OSA scheme is



30 computationally efficient to enable precise OSA calculation without penalizing the model  
31 elapsed time.

32

33

#### 34 **1- Introduction**

35 The surface radiation budget has long been recognized as fundamental to our understanding of  
36 the climate system (IPCC, 2001; 2007; 2013). The flow of radiative energy through the Earth  
37 System and the radiative interactions between the atmosphere and the ocean remain one of the  
38 major sources of uncertainties in climate predictions (Allen et al., 2009; Frölicher, 2016;  
39 Gillett et al., 2013; Myhre et al., 2015; Otto et al., 2013).

40

41 In the atmosphere, the spatiotemporal variations in incoming solar radiation and its  
42 atmospheric absorption drive the hydrological cycle as well as the flow of air masses. In the  
43 oceans, the fraction of solar radiation entering in subsurface is controlled by the oceanic  
44 surface albedo (OSA). The corresponding amount of heat stored into the ocean constitutes an  
45 important term in the ocean energy surface balance and affects in turn the whole climate  
46 system. On short (daily to seasonal) time scales, solar radiation absorbed into the upper-ocean  
47 layers affects the stability of the ocean mixed layer, the sea surface temperature and may, in  
48 turn, influence the geographic structure of large-scale atmospheric convection (Gupta et al.,  
49 1999). Over longer time scales, the fraction of energy entering into the ocean contributes to  
50 increase the ocean heat content, which is key term to diagnose the climate sensitivity from  
51 observations (Otto et al., 2013).

52

53 OSA interacts with a multitude of biophysical processes occurring in the first meters of the  
54 ocean. In particular, it governs the amount of solar radiation entering in the upper-most layer  
55 of the ocean that interacts with marine biological light-sensitive pigment like chlorophyll, and  
56 other materials in suspension (e.g., Morel and Antoine, 1994; Murtugudde et al., 2002). OSA  
57 also influences a number of biogeochemical processes such as the photosynthesis, which is  
58 directly related to the amount of solar radiation available within the upper-lit layer of the  
59 ocean. Conversely, penetrating ultraviolet solar radiation can also produce detrimental  
60 impacts on the marine biota (e.g., Li et al., 2014; Smyth, 2011). Consequently, OSA  
61 influences marine primary productivity directly, and hence ocean ecosystems and ocean  
62 carbon uptake (e.g., Behrenfeld and Falkowski, 1997; Nelson and Smith, 1991; Siegel et al.,  
63 2002).



64

65

66 Despite its importance, OSA is a parameter that often receives insufficient attention from both  
67 observational and modelling points of view. Most of the available data are indirectly retrieved  
68 from satellite observations of the top-of-atmosphere radiative budget (Wielicki et al., 1996),  
69 with relatively few direct observations of surface radiative fluxes. Nonetheless, the OSA  
70 processes are relatively well understood so that OSA can be parameterized at the global scale.

71

72 Both empirical and theoretical approaches indicate that the solar zenith angle (SZA) is the  
73 single most prominent driving parameter for OSA. However a wide range of other parameters  
74 such as the partitioning of incoming solar radiation between its direct and diffuse components,  
75 the sea surface state (often approximated through the surface wind), the concentration of  
76 suspended matter and plankton light-sensitive pigment in the surface ocean, and the extent  
77 and physical properties of whitecaps also affect OSA. All of these contributions vary  
78 spectrally and OSA thus depends on the spectral distribution of the incoming solar radiation at  
79 the surface (Jin, 2004; Jin et al., 2002).

80

81 Over the last decades, several schemes have been proposed to model OSA (e.g., Cox and  
82 Munk, 1954; Hansen et al., 1983; Kent et al., 1996; Larsen and Barkstrom, 1977;  
83 Preisendorfer and Mobley, 1986). Some schemes depend only on the solar zenith angle while  
84 others additionally depend on quantities like wind speed or cloud optical depth, inducing  
85 substantial differences in OSA patterns and variability. Li et al. (2006) investigated the impact  
86 of various OSA schemes in the Canadian atmosphere climate model, AGCM4. The  
87 authors show that the difference in clear-sky upwelling shortwave radiation between schemes  
88 can reach  $20 \text{ W m}^{-2}$  at the top-of-the-atmosphere and more than  $20 \text{ W m}^{-2}$  at the surface.

89

90 Most of the schemes assessed in Li et al. (2006) do not resolve spectral variations in OSA  
91 thus excluding the possibility to represent subtle processes and couplings in Earth system  
92 models. Indeed, changes in whitecaps and ocean color, whether due to climate variability or  
93 climate change, can modify the OSA, with potential impacts on photochemistry in the  
94 atmosphere and biological activity in the upper-most layer of the ocean (Hense et al., 2017).

95

96 In this study, we propose a new interactive OSA scheme well-adapted for the current  
97 generation of Earth system models which may benefit from and benefit to the coupling



98 between earth system model components like surface ocean wave or marine biogeochemistry.  
99 This study provides details of its implementation into two atmospheric models and discusses  
100 its performance on daily to seasonal time scales.

101

102 The outline is as follows. In Section 2, we introduce the formulation of the interactive OSA  
103 scheme which is based on old schemes published in literature over the last decades. In Section  
104 3, we analyze the importance of the various components of this scheme. Assessment of the  
105 simulated OSA in two atmosphere models in comparison to available observations is detailed  
106 in Section 4. Section 5 concludes the present study.

107

## 108 **2- Interactive Ocean Surface Albedo parameterization**

109 Albedo is a measure of the reflectivity of a surface and is defined as the fraction of the  
110 incident solar radiation that is reflected by the surface. It depends not only on the properties of  
111 the surface but also on the properties of the solar radiation incident on that surface.  
112 Technically-speaking albedo can be computed from the knowledge of the spectral and  
113 directional distribution of the incident solar radiation  $L(\lambda, \theta, \varphi)$  and the bidirectional  
114 reflectance distribution function (BRDF),  $\rho(\lambda, \theta_i, \varphi_i, \theta_r, \varphi_r)$  which links the reflected radiation in  
115 a direction  $(\theta_r, \varphi_r)$  to that of incident radiation in a direction  $(\theta_i, \varphi_i)$ . Here,  $\lambda$  represents the  
116 wavelength, and  $(\theta, \varphi)$  the zenith and azimuthal angles.

117 While the atmospheric incident radiation,  $L(\lambda, \theta, \varphi)$ , can be solved using a radiative transfer  
118 model and the BRDF can be modelled from the knowledge of the surface ocean properties,  
119 the complexity and the computational cost of such models are prohibitive for climate  
120 applications. Thus, estimation of OSA in climate models has to rely on several simplifying  
121 assumptions. In particular, incident solar radiation is usually characterized by a downward  
122 direct flux (for which SZA is known) and a diffuse downward flux (for which a typical  
123 angular distribution can be assumed).

124 In the present work, most of the analytic formulations employed are derived from the  
125 azimuthally averaged radiative transfer equation (Chandrasekhar, 1960), enabling a  
126 straightforward estimation of the OSA for direct and diffuse radiation. This implies that zenith  
127 solar angle is the only directional parameter involved in the parametrization.

128

129 The suite of processes involved in our scheme is displayed in Figure 1. The incident solar  
130 radiation (either direct or diffuse) is first influenced by the presence of foam (composing the



131 whitecaps), which exhibits different reflective properties from sea-water. Then, the reflective  
132 properties of the uncapped fraction of the sea surface are determined separately for direct and  
133 diffuse incident radiation. Finally, the subsurface —or below-water— reflectance of sea water  
134 is computed for both direct and diffuse incident radiation.

135

136 Hereafter, we describe the various components of OSA according to the nature of the incident  
137 solar radiation (direct or diffuse) and the processes involved in its reflection.

138

### 139 **2-1 Treatment of whitecaps**

140 The first contribution to the new interactive OSA scheme is the whitecap cover. Indeed, the  
141 whitecap albedo,  $\alpha_{WC}(\lambda)$ , could significantly increase the OSA at high wind speeds (e.g.,  
142 Frouin et al., 2001; 1996; Gordon and Wang, 1994; Stramska, 2003).

143 The presence of whitecaps originates from turbulence induced by the breaking of waves,  
144 which generates foam at the sea surface (Deane and Stokes, 2002; Melville and Matusov,  
145 2002). In the absence of an ocean wave model such as WAM (e.g., Aouf et al., 2006; Arduin  
146 et al., 2010) which would provide a more accurate whitecap coverage ( $WC$ ) based on wave  
147 significant height (Bell et al., 2013; Woolf, 2005), we used the formulation of  $WC$  published  
148 in Salisbury et al. (2014). Their expression is based on recent space-borne observations with a  
149 37 GHz channel radar. It parametrizes  $WC$  as a function of the 10-meter wind speed,  $w$ , in  
150 unit of  $\text{m s}^{-1}$ :

$$151 \quad WC(w) = 3.97 \cdot 10^{-2} w^{1.59}$$

152 As mentioned in Salisbury et al. (2014), this approximation of  $WC$  is valid for  $w$  ranging  
153 between 2 and 20  $\text{m s}^{-1}$ , which corresponds well to the range of  $w$  values simulated by the  
154 current generation of Earth system models. The formulation employed here does not account  
155 for temperature dependence of wave-breaking in agreement with other parameterizations for  
156  $WC$  (Stramska, 2003) because its effect is weaker than that of surface winds on the whitecaps  
157 coverage.

158 In order to solve the spectral dependence of the whitecap albedo, we use the relationship  
159 proposed by Whitlock et al. (1982). Yet, we rely on previous work indicating that the  
160 whitecap albedo of ordinary foam,  $\alpha_{WC}(\lambda)$ , tends to be twice lower than that of fresh and  
161 dense foam (Koepke, 1984). We consequently apply a  $\frac{1}{2}$  coefficient to the formulation  
162 proposed by (Whitlock et al., 1982) for  $\alpha_{WC}(\lambda)$  from 400 to 2400 nm, as follows:



$$\begin{aligned}
 &163 \quad \alpha_{wC}(\lambda) \\
 &164 \quad = \frac{1}{2} \times \frac{1}{100} (60.063 - 5.127 \ln r_{wC}(\lambda) + 2.779 (\ln r_{wC}(\lambda))^2 - 0.713 (\ln r_{wC}(\lambda))^3 \\
 &165 \quad + 0.044 (\ln r_{wC}(\lambda))^4)
 \end{aligned}$$

166 where  $r_{wC}$  is the absorption coefficient of clear water in  $\text{m}^{-1}$ . We use  $r_{wC}(\lambda)$  as published in  
 167 Whitlock et al. (1982) from 400 to 2400 nm. Outside the 400 to 2400 nm range, we chose to  
 168 set  $r_{wC}(\lambda)$  to zero due to the lack of available data in the literature. Tabulated values of  
 169  $\alpha_{wC}(\lambda)$  computed using this assumption are provided in Table S1.

170 In the present scheme, we assume that whitecaps reflect equally direct and diffuse incoming  
 171 solar radiation. We also assume that our formulation, which is based on observations, is not  
 172 affected by the small contribution from the subsurface reflectance.

173

174

## 175 **2-2 Treatment of the uncapped surface**

### 176 **2-2-1 Fresnel surface albedo for direct radiation**

177 We describe the contribution of Fresnel reflection at the ocean surface, which is a major  
 178 component of the OSA. Fresnel reflection is assumed to depend at a given wavelength,  $\lambda$ , on  
 179 the solar zenith angle,  $\theta$  and the refractive index of sea water ( $n$ ), and the two-dimensional  
 180 distribution of the ocean surface slopes,  $f$ . As mentioned earlier we neglect the dependence  
 181 on the azimuthal angle of the incident radiation.

182 We follow Jin et al. (2001) and express the direct surface albedo ( $\alpha_{dir}^S$ ) as follows:

183

$$184 \quad \alpha_{dir}^S(\lambda, \theta, w) = r_f(n(\lambda), \mu) - \frac{r_f(n(\lambda), \mu)}{r_f(n_0, \mu)} f(\mu, \sigma)$$

185

186 where  $\mu = \cos(\theta)$ ,  $n$  is the spectral refractive index of sea water,  $r_f$  is the Fresnel reflectance  
 187 for a flat surface and  $f$  is a function that accounts for the distribution of multiple reflective  
 188 facets at the ocean surface. Tabulated values for  $n(\lambda)$  are indicated in Table S1.  $n_0 = 1.34$   
 189 corresponds to the refractive index of sea water averaged from 300 nm to 700 nm (i.e., visible  
 190 spectrum) for which the  $f$  function is estimated.

191

192 The interaction of incident shortwave radiation with the multiple reflective facets at the ocean  
 193 surface of various angle and direction is difficult to model. It is nonetheless possible to  
 194 represent statistically the distribution of slope of ocean reflective facets with a probabilistic



195 function. The probabilistic function provided by Cox and Munk (1954) assumes a Gaussian  
196 distribution of mean slope facet as follows:

$$197 \quad p(\tan\vartheta) = \frac{1}{\pi\sigma^2} \exp\left(-\frac{\tan^2\vartheta}{\sigma^2}\right)$$

198 where  $\vartheta$  is the facet angle, i.e., the angle between the normal to the facet and the normal to the  
199 horizontal ocean surface and  $\sigma$  the width the distribution of the facet angle. The parameter  $\sigma$ ,  
200 also called the surface roughness, is modulated by the influence of surface (i.e. 10-meter)  
201 wind speed ( $w$ ) as  $\sigma^2 = 0.003 + 0.00512 w$ . The formulation by Cox and Munk (1954)  
202 assume that (1) shading influence of ocean facets is neglected and (2) ocean surfaces never  
203 behave as a theoretical Fresnel surface (requiring  $\sigma = 0$ ). These approximations can impact  
204  $\alpha_{dir}^S$  calculation at high SZA and/or in absence of winds. Besides, this formulation (based on  
205 wind speed only) ignores the effect of the wind direction on the wind sea and the effect of  
206 swell which both affect the distribution of slopes. This latter set of assumptions can also be  
207 revised in the foreseeable future when climate models will include an interactive ocean wave  
208 model.

209 In order to account for various impacts of multiple ocean surface facets to  $\alpha_{dir}^S$  including both  
210 multiple scattering (increasing surface reflection) and shading effect (reducing reflection), Jin  
211 et al. (2011) have proposed to express  $f$  as a polynomial function. This function intends to  
212 parameterize the mean contribution of multiple reflective facets at the ocean surface to  $\alpha_{dir}^S$   
213 using only the parameters  $\mu$  and  $\sigma$ . This polynomial function is expressed as:

$$214 \quad f(\mu, \sigma) \\ 215 \quad = (0.0152 - 1.7873\mu + 6.8972\mu^2 - 8.5778\mu^3 + 4.071\sigma - 7.6446\mu\sigma) \times \exp(0.1643 \\ 216 \quad - 7.8409\mu - 3.5639\mu^2 - 2.3588\sigma + 10.054\mu\sigma)$$

217 Coefficients of  $f$  have been fitted using several accurate calculations of  $\alpha_{dir}^S$  using a radiative  
218 transfer model (Jin et al., 2006; 2005).

219

## 220 **2-2-2 Fresnel surface albedo for diffuse radiation**

221 The amount and distribution of incident diffuse radiation strongly depend on the amount and  
222 characteristics of cloud and aerosols. It is therefore difficult to derive an analytical  
223 formulation for  $\alpha_{dif}^S$  from a BRDF that would be applicable to all atmospheric conditions. We  
224 therefore choose to use the simple expression for the diffuse surface albedo ( $\alpha_{dif}^S$ ) under  
225 cloudy sky proposed in Jin et al. (2011) which is:

$$226 \quad \alpha_{dif}^S(\lambda, w) = -0.1479 + 0.1502 n(\lambda) - 0.0176 n(\lambda)\sigma$$



227 with  $\sigma$  and  $n$  defined as previously.

228

### 229 **2-3 Below-water albedo**

230 In this section, we describe the contribution of the below-water albedo  $\alpha^W$  to the ocean  
231 surface albedo. It is caused by solar radiation penetrating the ocean but eventually returning to  
232 the atmosphere after multiple reflections within the sea water volume. Below the ocean  
233 surface, solar radiation interacts not only with sea water but also with material in suspension  
234 in the water like the marine biological pigment or detrital organic materials (DOM). While  
235 previous studies show that DOM can influence radiative properties of the open ocean (e.g.,  
236 Behrenfeld and Falkowski, 1997; Dutkiewicz et al., 2015; Kim et al., 2015), we chose to  
237 solely account for the influence of the marine biological pigment which is characterized by its  
238 chlorophyll content because this has the largest impact at the global scale. Furthermore the  
239 abundance of chlorophyll in sea water is monitored from space since decades (e.g.,  
240 Behrenfeld et al., 2001; Siegel et al., 2002; Yoder et al., 1993) by so-called ocean color  
241 measurements. Such observations can provide a climatology to use in the climate model in  
242 absence of ocean biogeochemical module.

243

244 Over the uncapped ocean surface, the fraction of direct radiation penetrating into the upper-  
245 most layer of the ocean  $1 - \alpha_{dir}^S$  interacts with the sea-water, which has a reflectance  $R_0$ .  
246 Upwelling radiation can be reflected downward at the air-sea interface with a reflectance  $r_w$ .  
247 Therefore, the contribution of multiple reflections of the penetrating radiation to the ocean  
248 albedo takes the form of the following Taylor series:

$$249 \alpha^W(\lambda, \theta, Chl) = (1 - \alpha_{dir}^S)(1 - r_w)R_0(1 + r_w R_0 + (r_w R_0)^2 + (r_w R_0)^3 + \dots)$$

250 which can be arranged as follows:

$$251 \alpha^W(\lambda, \theta, Chl) = \frac{(1 - r_w)R_0}{1 - r_w R_0} (1 - \alpha_{dir}^S)$$

252 We employ the formulation of  $r_w$  and  $R_0$  proposed by Morel and Gentili (1991).

253 These authors express  $r_w$  as function of surface roughness  $\sigma$ , that is :  $r_w = 0.4817 -$   
254  $0.0149\sigma - 0.2070\sigma^2$

255  $R_0$  represents an apparent optical property of sea water, which can be written as follows:

$$256 R_0(\lambda, \eta, \mu, Chl) = \beta(\eta, \mu) \frac{0.5b_w(\lambda) + b_{bp}(\lambda, Chl)}{a_w(\lambda) + a_{bp}(\lambda, Chl)}$$





257 where  $a_w(\lambda)$  and  $b_w(\lambda)$  are the absorption and backscattering coefficients of sea water (in  $\text{m}^{-1}$ )  
 258  $a_{bp}(\lambda, Chl)$  and  $b_{bp}(\lambda, Chl)$  are absorption and backscattering coefficients of biological  
 259 pigments (i.e., the chlorophyll).

260  $\beta(\eta, \mu)$  is function of sea water and biological pigment backscattering and can be written as:

$$261 \quad \beta(\eta, \mu) = 0.6270 - 0.2227\eta - 0.0513\eta^2 + (0.2465\eta - 0.3119)\mu$$

$$262 \quad \text{where } \mu = \cos(\theta) \text{ and } \eta = \frac{0.5b_w(\lambda)}{0.5b_w(\lambda) + b_{bp}(\lambda, Chl)}.$$

263 Backscattering of biological pigment,  $b_{bp}$ , is computed using the formulation proposed in  
 264 Morel and Maritorena (2001) which uses chlorophyll concentration,  $[Chl]$ , as a surrogate of  
 265 biological pigment concentration as follows:

$$266 \quad b_{bp}(\lambda) = 0.416[Chl]^{0.766} \left( 0.002 + \frac{1}{100} (0.50 - 0.25 \ln[Chl]) \left( \frac{\lambda}{550} \right)^{0.5(\ln[Chl] - 0.3)} \right)$$

267 with  $\lambda$  expressed here in nm and  $[Chl]$  in  $\text{mg m}^{-3}$ . This formulation is valid for  $[Chl]$  ranging  
 268 between 0.02 and  $2 \text{ mg m}^{-3}$ .

269 The absorption of biological pigment,  $a_{bp}(\lambda, Chl)$ , is also computed using Morel and  
 270 Maritorena (2001) formalism:

$$271 \quad a_{bp}(\lambda) = 0.06 a_{chl}(\lambda)[Chl]^{0.65} + 0.2(0.00635 + 0.06[Chl]^{0.65})e^{0.014*(440-\lambda)}$$

272 where  $a_{chl}(\lambda)$  is the absorption of chlorophyll in  $\text{m}^{-1}$  and  $\lambda$  and  $[Chl]$  as previously defined.

273

274 Previous estimates of  $a_{chl}(\lambda)$ ,  $a_w(\lambda)$  and  $b_w(\lambda)$  used in by Morel and Maritorena (2001)  
 275 cover values for wavelengths ranging between 300 to 700 nm. Therefore, we have combined  
 276 and interpolated several sets of tables of coefficients in order to solve consistently  $\alpha^W$ ,  $\alpha_{dir}^S$   
 277 and  $\alpha_{dif}^S$  across the same range of wavelengths (i.e., from 200 to 4000 nm).  $a_w(\lambda)$  has been  
 278 derived from tables provided by Smith (1982) and Irvine and Pollack (1968), which spans 200  
 279 to 800 nm and 800 to 4000 nm, respectively.  $a_{chl}(\lambda)$  has been derived from values published  
 280 in Frigaard et al. (1996) which differ from those in Morel and Maritorena (2001) (Figure 2).  
 281  $b_w(\lambda)$  is estimated from sea water backscattering coefficients published in (Morel and  
 282 Maritorena, 2001) that have been interpolated from 300 to 700 nm to 200 to 4000 nm with  
 283 polynomial splines. Tabulated values for  $a_{chl}(\lambda)$ ,  $a_w(\lambda)$  and  $b_w(\lambda)$  are given in Table S1.

284

285

286 The difference between direct and diffuse below-water albedo essentially stems from the  
 287 incident direction of incoming radiation. In the case of direct below-water albedo,  $\alpha_{dir}^W$ ,  $\mu$



288 =  $\cos(\theta)$  whereas in the case of diffuse below-water albedo,  $\alpha_{dif}^W, \mu = 0.676$ . This value is  
 289 considered as an effective angle of incoming radiation of  $47.47^\circ$  according to Morel and  
 290 Gentili (1991). Hence  $\alpha_{dif}^W(\lambda, Chl) = \alpha_{dir}^W(\lambda, \arccos(0.676), Chl)$ .

291

## 292 2-5 Computation of OSA

293 With the various components of OSA being now parameterized, the OSA for direct and  
 294 diffuse radiation are estimated as follows:

$$295 OSA_{dir}(\lambda, \theta, w, Chl) = (\alpha_{dir}^S(\lambda, \theta, w) + \alpha_{dir}^W(\lambda, \theta, Chl))(1 - WC(w)) + WC(w)\alpha_{WC}(\lambda)$$

$$296 OSA_{dif}(\lambda, \theta, w, Chl) = (\alpha_{dif}^S(\lambda, w) + \alpha_{dif}^W(\lambda, Chl))(1 - WC(w)) + WC(w)\alpha_{WC}(\lambda)$$

297

298 Since detailed atmospheric radiative transfer (e.g., Clough et al., 2005; Mlawer et al., 1997)  
 299 are now part of current generation of Earth system models, most of radiative codes resolve  
 300 radiation from near-ultraviolet ( $\sim 200$  nm) to near-infrared ( $\sim 4000$  nm) wavelengths. Here, we  
 301 design our scheme to compute both the spectral and broadband OSA. To this effect, the  
 302 scheme computes the OSA from  $\lambda_1 = 200$  to  $\lambda_2 = 4000$  nm with a resolution of 10 nm. The  
 303 contribution of each wavelength interval  $d\lambda$  to OSA is weighted by its amount of solar  
 304 energy under the standard solar spectra ASTM E-490 AM0 (Shanmugam and Ahn, 2007),  
 305  $E(\lambda)$  assumption as follows.

$$306 OSA(\theta, w, Chl) = \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) OSA(\lambda, \theta, w, Chl) d\lambda \bigg/ \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) d\lambda$$

307

308 Tabulated values for  $E(\lambda)$  are given in Table S1.

309 Finally for the total incoming radiation, the OSA can be written as:

$$310 OSA(\theta, w, Chl) = (F_{dir} OSA_{dir}(\theta, w, Chl) + F_{dif} OSA_{dif}(\theta, w, Chl)) / (F_{dir} + F_{dif})$$

311 where  $F_{dir}$  and  $F_{dif}$  are the downward surface fluxes of direct and diffuse radiation,  
 312 respectively.  $OSA(\theta, w, Chl)$  is then computed for each model ocean grid cell at each model  
 313 time-step. it should be noted that the SZA used in LMDZ is the average of the SZA during the  
 314 daytime fraction of the time step.

315

## 316 3 Contribution of various OSA components

317 In this section, we analyze the geographical structure of OSA which is decomposed as  
 318 follows:



$$\begin{aligned}
 319 \quad A_{dir}(\theta, w, Chl) &= \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) (\alpha_{dir}^S(\lambda, \theta, w) + \alpha_{dir}^W(\lambda, \theta, Chl)) d\lambda \bigg/ \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) d\lambda \\
 320 \quad A_{dif}(\theta, w, Chl) &= \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) (\alpha_{dif}^S(\lambda, \theta, w) + \alpha_{dif}^W(\lambda, Chl)) d\lambda \bigg/ \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) d\lambda \\
 321 \quad A_{wc} &= \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) \alpha_{wc}(\lambda) d\lambda \bigg/ \int_{\lambda_1=200}^{\lambda_2=4000} E(\lambda) d\lambda = 0.174
 \end{aligned}$$

322

323 where  $A_{dir}$  and  $A_{dif}$  are the broadband ocean surface albedos for direct and diffuse radiation  
 324 in the absence of whitecaps albedo; and  $A_{wc}$  is the broadband albedo of whitecaps.

325  $A_{dir}$ ,  $A_{dif}$  and  $A_{wc}$  have been estimated from offline calculations using Era-Interim forcing  
 326 fields from 2000 to 2009 at monthly frequency (Dee et al., 2011) and chlorophyll climatology  
 327 from SeaWiFS (Siegel et al., 2002). Compared to  $A_{dir}$  and  $A_{dif}$ ,  $A_{wc}$  is constant in space;  
 328 therefore its geographical structure arises from whitecaps coverage (WC).

329

330 Figure 3a show that  $A_{dir}$  displays a strong meridional gradient with high values over high  
 331 latitude oceans and low values over the tropical oceans. It confirms that the solar zenith angle  
 332 is the prominent drivers of  $A_{dir}$ . This albedo exhibits nonetheless geographical structure over  
 333 the tropical oceans which are linked to the easterlies wind regimes which suggest that surface  
 334 winds variability may imprint a small but noticeable influence on the ocean surface albedo for  
 335 direct radiation.

336

337 Compared to  $A_{dir}$ ,  $A_{dif}$  does not exhibit such a large meridional gradient (Figures 3b).  $A_{dif}$   
 338 shows values close to 0.06. It displays nonetheless values  $> 0.06$  over the subtropical gyres  
 339 and values  $< 6\%$  over the North Atlantic and the Southern Ocean in response to the 10 m  
 340 wind speeds. Those patterns are related to surface winds pattern but also to the geographical  
 341 structure of oligotrophic gyres with low chlorophyll values enhancing below-water  
 342 reflectance of diffuse radiation.

343

344 Figures 3c provides further insight on the regional influence of WC which display a  
 345 broadband albedo of 0.174. Offline calculation of WC shows that whitecaps influence albedo  
 346 for direct and diffuse radiation where westerly winds blow regularly, that is i.e. in the



347 Southern Ocean, the North Atlantic and the North Pacific. A weaker but noticeable influence  
348 is also found over the tropical oceans.

349 While  $A_{wc}$  is larger than  $A_{dir}$  and  $A_{dif}$ , the convolution of broadband albedo of the whitecaps  
350 and their coverage results in maximal contribution of 0.003 to the broadband albedos for  
351 direct and diffuse radiation. Yet, its strong albedo makes the whitecaps an important player at  
352 interannual and climate timescales. Indeed, this component of OSA for direct and diffuse  
353 radiation is subject to respond to the interannual variability of 10 m wind speed and also to  
354 climate change. Indeed, the contraction of Southern Ocean westerly winds (e.g., Boening et  
355 al., 2008) might induce subtle regional fluctuations in OSA that can feedback on the climate  
356 response.

357

358

#### 359 **4 Materials and methods**

##### 360 **4-1 Observations**

361 To assess model reliability to simulate realistic OSA, we compare fields to available  
362 observations. For those observations, we estimate the time-averaged OSA from the ratio  
363 between the time-averaged upwelling and downwelling shortwave radiative fluxes provided  
364 in those datasets.

365

366 At local scale, we use the CERES Ocean Validation Experiment (COVE, (Rutledge et al.,  
367 2006)) ground-based measurements. This instrument ocean platform located at Chesapeake  
368 bay provides continuous measurements of several radiative fluxes since 2001. In this study,  
369 we use measurements of upwelling and downwelling global (i.e., direct and diffuse)  
370 shortwave radiation averaged across several instruments  
371 (<https://cove.larc.nasa.gov/instruments.html>).

372

373 At global scale, we perform model evaluation with retrievals from the CERES satellite  
374 radiation measurements (Wielicki et al., 1996). CERES data provides estimates of global  
375 shortwave radiation at top of the atmosphere and at the surface. In the present study, we focus  
376 on surface estimates since our analyses aims at assessing the representation of ocean surface  
377 albedo.

378

379



380 **4-2 Models**

381 **4-2-1 LMDZ**

382 LMDZ is an atmospheric general circulation model developed at the Laboratoire de  
383 Météorologie Dynamique. The version of this atmosphere model, so-called LMDZ5A, is  
384 described in detail in Hourdin et al. (2013) ; it is part of the main IPSL climate model used for  
385 CMIP5 and described in Dufresne et al. (2013) (IPSL-CM5A). The atmospheric resolution is  
386 96x95 on the horizontal and 39 layers on the vertical. The old OSA scheme in this version of  
387 LMDZ is based on the formulation of Larsen and Barkstrom (1977). It is parameterized in  
388 terms of  $\mu$  as follows:

389 
$$\alpha_{dir}^S(\theta) = \frac{0.058}{\mu + 0.30}$$

390 Consequently, OSA varies between 0.0446 for a sun at zenith and 0.193 for a sun at the  
391 horizon. Direct and diffuse radiation are not distinguished and only a broadband albedo is  
392 used in the visible spectrum ( $\alpha_{dif}^S = \alpha_{dir}^S$ ).

393 In LMDZ, the partitioning between direct and diffuse light is derived from the presence of  
394 cloud in the atmosphere model grid-cell.

395

396 We assess simulated OSA using an atmosphere-only simulation with prescribed radiative  
397 forcing (greenhouse gases, aerosols, land-cover change) and fixed sea-surface temperature as  
398 recommended by CMIP5 (Taylor et al., 2011). LMDZ has been integrated from 1979 up to  
399 2012 under this protocol.

400 Similarly to the observations, simulated OSA at a given frequency is derived from ratio  
401 between the time-averaged upwelling and downwelling shortwave radiative fluxes at that  
402 frequency.

403

404 **4-2-2 ARPEGE-Climat**

405 ARPEGE-Climat v6.1 derives from ARPEGE-Integrated Forecasting System (IFS), the  
406 operational numerical weather forecast models of Météo-France and the European Centre for  
407 Medium-Range Weather Forecasts (ECMWF). Compared to version used in (Voltaire et al.,  
408 2013), several improvements in atmospheric physics have been implemented. They consist in  
409 a new vertical diffusion scheme which solves a prognostic turbulent kinetic energy equation  
410 following Cuxart et al. (2000), an updated prognostic microphysics representing the specific  
411 masses of cloud liquid and ice water, rain and snow, as detailed in Lopez (2002), and a new  
412 convection scheme known as the Prognostic Condensates Microphysics Transport PCMT



413 (Guérémy, 2011; Piriou et al., 2007). ARPEGE-Climat v6.1 is implicitly coupled to the  
414 surface model called SURFEX (Masson et al., 2013), which considers a diversity of surface  
415 formulations for the evolution of four types of surface: land, town, inland water and ocean.  
416 The old OSA formulation implemented in SURFEX follows Taylor et al. (1996). This scheme  
417 enables the computation of  $\alpha_{dir}^S$  as a function of  $\mu$ :

$$418 \quad \alpha_{dir}^S(\theta) = \frac{0.037}{1.1\mu^{1.4} + 0.15}$$

419 Since this schema does not enable computation of  $\alpha_{dif}^S$ ,  $\alpha_{dif}^S$  is set to a constant value of  
420 0.066.

421 Like LMDZ, the partitioning of direct and diffuse radiation depends on the cloud cover in the  
422 atmospheric model grid-cell.

423

424 Simulations performed with ARPEGE-Climat also consists in an AMIP simulations as  
425 LMDZ, except for sea-surface temperature which relies on data recommended by CMIP6  
426 (Eyring et al., 2016a). This simulation also spans from 1979 up to 2012.

427 Analyses are complemented using another simulation of ARPEGE-Climat in which the  
428 resolved dynamics is nudged towards that of Era-Interim. Nudging consists in restoring the  
429 model wind divergence and vorticity and the surface pressure towards those from Era-Interim.  
430 The restoring timescale is 12 hours for the wind divergence and surface pressure and 6 hours  
431 for the wind vorticity. This simulation is employed hereafter as a kind of reference of what  
432 could be expected by the OSA parameterization if the wind spatio-temporal properties were  
433 “realistic”. In this case, only the direct-to-diffuse incident radiation partitioning remains tied  
434 to ARPEGE-Climat. This simulation replicates the chronology of the observed day-to-day  
435 variability of 10m wind speed and hence is expected to be closer to the ground-based  
436 observations.

437 For those models simulations, simulated OSA at a given frequency is diagnosed from ratio  
438 between the time-averaged upwelling and downwelling shortwave radiative fluxes at that  
439 frequency.

440

441

## 442 **5 Comparison of analytical calculation**

443 In order to better understand changes in simulated OSA, we compare first analytical solution  
444 of old and new interactive OSA schemes used in the two atmospheric models for both direct  
445 and diffuse radiation (Figure 4).



446 Figure 4a shows that old OSA schemes for direct radiation differ in term of response to solar  
447 zenith angle. Indeed, for a given solar zenith angle, the scheme used in LMDZ (Larsen and  
448 Barkstrom, 1977) leads to a greater OSA than that used in ARPEGE-Climat (Taylor et al.,  
449 1996). The shape of the response to variations in solar zenith angle suggests that the scheme  
450 used in ARPEGE-Climat leads to a slightly stronger meridional gradient in OSA than that  
451 used in LMDZ.

452 Interestingly, the new scheme produces OSA values bracketed by those of old algorithms,  
453 except for small solar zenith angle. Under this condition, the effect of winds is to increase  
454 OSA up to 0.072. It also displays a greater response to variations in solar zenith angle which  
455 differs substantially from those given by the old schemes.

456

457 Differences in OSA for diffuse radiation presented in Figure 4b are noticeable. They clearly  
458 illustrate modelling assumptions in the old schemes. Indeed, old schemes have been built on  
459 *ad hoc* formulations. Neither Taylor et al. (1996) nor Larsen and Barkstrom (1977) have  
460 provided a differentiated OSA for direct and diffuse radiation. This is why OSA for diffuse  
461 radiation is set to 0.06 (corresponding to the angular average of the OSA for direct radiation)  
462 in ARPEGE-Climat, whereas that of LMDZ is equal to the OSA for direct radiation from  
463 Larsen and Barkstrom (1977).

464 Figure 4b shows that the new interactive scheme displays feature similar to the diffuse OSA  
465 used in ARPEGE-Climat. This scheme produces nonetheless slightly larger values which can  
466 fluctuate in response to other drivers. The old OSA for diffuse radiation employed in LMDZ  
467 responds to variations in solar zenith angle while it should not. Errors related to this erroneous  
468 representation of OSA for diffuse radiation is also modulated by the partitioning between  
469 direct and diffuse radiation estimated by the atmospheric model.

470

#### 471 **6 Evaluation at COVE station (36.905°N, 75.713°W)**

472 In this section, we employ COVE daily data to assess the simulated OSA by both atmospheric  
473 models at local scale. OSA is computed here as the ratio of averaged radiation fluxes at daily  
474 resolution for both ground-based observations and models. Such an evaluation is fundamental  
475 because it relies on direct ground-truth observations over the ocean surface and hence  
476 provides a more accurate assessment of the OSA scheme as compared to the global-scale  
477 satellite-derived estimates developed in the following sections.

478



479 Figure 5 shows how well model using old and new interactive OSA scheme behaves at daily  
480 frequency compared to the ground-based observations at COVE station from 2001 to 2009.  
481 Figure 5 and Figure S1a clearly shows that both old OSA schemes of ARPEGE-Climat or  
482 LMDZ fail at replicating day-to-day OSA variations at the COVE station. Comparatively,  
483 Figure 5 and Figure S1b emphasizes how much the new interactive scheme improves OSA as  
484 simulated by both atmospheric models. Indeed, the simulated OSA are now consistent with  
485 observation at COVE station, with temporal correlation greater than 0.3. However, the models  
486 fail at replicating the large OSA values occurring during the winter in ground-based  
487 observations.

488

489 Those findings are reinforced when we compare the probability density function (pdf)  
490 estimated from daily-mean OSA as simulated by models against that derived from ground-  
491 based observations (Figure 6). This analysis provides further insight on how old and new  
492 interactive OSA schemes behave at COVE station. Figure 6 confirms that old schemes fail at  
493 capturing the day-to-day variations in OSA. Indeed, day-to-day variations in OSA estimated  
494 from old schemes arise from day-to-day variations in SZA and to a lesser extent to variations  
495 in direct-to-diffuse ratio of incident radiation which are related to the cloud cover. As shown  
496 in Figure 4, old OSA schemes crudely represent diffuse albedo. Therefore, errors in direct-to-  
497 diffuse ratio of incident radiation imprint errors in the simulated OSA. Consequently, day-to-  
498 day variations are better reproduced when the albedo for diffuse radiation is realistically  
499 simulated (Figure 6). In particular, the new interactive scheme captures the minimum OSA  
500 values occurring during the summer which are lower than 0.06.

501

502

503 At seasonal scale, OSA estimated from averaged radiative fluxes agrees with the above-  
504 mentioned findings for ground-based observations and models. Figure 7 clearly demonstrates  
505 that old OSA schemes are unable to capture seasonal variations of observed OSA. Correlation  
506 between observation-derived OSA and that simulated by both models is 0.32 for ARPEGE-  
507 Climat and 0.28 for LMDZ is very low, indicating an unrealistic representation of OSA. This  
508 erroneous representation of OSA at seasonal scale leads, at least for this location, to a  
509 systematic bias in the surface energy budget of  $+3 \text{ W m}^{-2}$  in winter and  $-1.5 \text{ W m}^{-2}$  in summer.  
510 Figure 7 shows significant improvements in the simulated OSA in both models using the new  
511 interactive scheme. In both models, the simulated seasonal cycle of OSA replicates the  
512 minimum observed during the summer. However, models do not capture large values of OSA





513 of 0.10 occurring during the winter. That said, model-data comparison shows that correlation  
514 with observations has been improved. Indeed, correlation between observed and simulated  
515 daily values over a mean yearly cycle has increased from 0.23 to 0.84 in LMDZ to 0.32 to  
516 0.86 in ARPEGE-Climat.

517

518

519 Although improved, Figures 5, 6 and 7 show that new interactive OSA scheme seems to  
520 suffer from a systematic bias in winter and miss OSA values greater than 0.10. This is  
521 supported by the fact that this systematic bias is displayed for all model estimates  
522 independently from the atmospheric physics and dynamics (i.e., LMDZ, ARPEGE-Climat and  
523 nudged OSA). That being said, some other possible reasons can explain such deviations  
524 between models and ground-based data. First, current atmosphere models suffer from  
525 systematic errors in the ratio of direct-to-diffuse radiation which can be related to bias in  
526 cloud cover or aerosol optical thickness (as shown in Figure S2). A larger-than-observed  
527 atmospheric optical depth in winter may favor diffuse path with the respect to the direct path,  
528 resulting in a lower-than-observed OSA Second, coarse resolution atmospheric models are not  
529 able to replicate the mesoscale meteorological and oceanic conditions at this very location.  
530 Differences in surface wind between the models and field conditions can increase the  
531 contribution of whitecaps albedo with the respect to that of the Fresnel reflectance. Third,  
532 local ocean conditions and the presence of ocean waves resulting from remoted wind  
533 influence (i.e., swell) are not simulated by the atmospheric models. This would lead to an  
534 underestimate of the contributions of both whitecaps and Fresnel reflectance.

535

## 536 **7 Global-scale evaluation**

### 537 **7-1 Climatological mean**

538 This section is dedicated to evaluate OSA at global-scale using global satellite product that  
539 are routinely used in Earth system models evaluation (Eyring et al., 2016b; Gleckler et al.,  
540 2008). We thus use OSA retrieved from CERES surface product to assess the simulated OSA  
541 by ARPEGE-Climat and LMDZ.

542 Figure 8 presents geographical pattern of OSA as simulated by ARPEGE-Climat and LMDZ  
543 using Taylor et al. (1996) and Larsen and Barkstrom (1977) schemes, respectively. These old  
544 OSA schemes were used during CMIP5 and thus give an idea of errors in the models'  
545 radiative budgets.



546 Globally, the simulated OSA overestimates the CERES-derived estimate ( $\sim 0.058$ ) by about  
547 0.007. Yet, the most striking feature is the substantial differences in the meridional structure.  
548 CERES-derived OSA shows maximum values over the high-latitudes oceans and minimum  
549 values over the tropical oceans. None of the models using old schemes are able to capture this  
550 meridional structure. Deviations are particularly high for LMDZ, which hardly replicates  
551 maximum OSA over high-latitude oceans and minimum OSA over tropical oceans. Both  
552 models exhibit poor spatial correlation with  $-0.03$  for LMDZ and  $0.40$  for ARPEGE-Climat.  
553 Model-data errors in OSA mirror model bias in surface upwelling shortwave radiation, which  
554 amounts to  $\sim 7 \text{ W m}^{-2}$  over the tropical oceans compared to CERES.

555 The new interactive scheme improves favorably the comparison with observations (Figure 8).  
556 Indeed global mean OSA is equal to  $0.062$  for LMDZ and  $0.057$  for ARPEGE-Climat, which  
557 better matches the value derived from CERES data. As such, the model bias in surface  
558 upwelling shortwave radiation has been reduced by  $\sim 1 \text{ W m}^{-2}$  in average over the ocean and  
559 by up to  $\sim 5 \text{ W m}^{-2}$  over the tropical oceans.

560 Both models capture the meridional structure of the OSA with spatial correlations of about  
561  $0.82$  for LMDZ and  $0.86$  for ARPEGE-Climat. Nonetheless, the simulated OSA displays  
562 some biases. In LMDZ and ARPEGE-Climat, the modeled OSA over the North Atlantic is  
563 slightly overestimated and shifted to the South. Major differences between simulated OSA are  
564 noticeable over the tropical oceans, where models differ in terms of zonal structure. LMDZ  
565 displays OSA of  $\sim 0.06$  over Eastern boundary upwelling systems, which is slightly too high  
566 compared to CERES. Differences in the OSA geographical structure between ARPEGE-  
567 Climat and LMDZ arise from differences in 10-meter wind speed (Figure S3) and direct-to-  
568 diffuse incident radiation as diagnosed from the simulated cloud cover (Figure S4). Large-  
569 scale deviations between models and observations seem to be related to differences in 10-  
570 meter wind fields (Figure S3). Model-data deviations in OSA at the regional scale rather  
571 mirror biases in total cloud cover (Figure S4). This is especially clear over low-latitude oceans  
572 where LMDZ overestimate OSA over the eastern boundary upwelling systems where LMDZ  
573 overestimates the cloud cover (Figure S4). This result is expected since over the low-latitude  
574 oceans the contribution of diffuse OSA is stronger than that of direct OSA (Figures 3 and 4).

575

## 576 **7-2 Seasonal variability**

577 Figure 9 compares the simulated and CERES-derived OSA on the seasonal scale. This time  
578 scale matters for modelling accurately the Earth's climate because the flow of incoming



579 radiation fluctuates up to one order of magnitude between winter and summer at high  
580 latitudes.

581 Figure 9abc shows that both models using old OSA schemes hardly reproduce the seasonal  
582 cycle of OSA derived from CERES. This is particularly the case for LMDZ, which produced  
583 an unrealistic seasonal cycle for OSA. LMDZ fails at simulating maximum OSA during the  
584 winter of both hemispheres. Instead, extreme values of simulated OSA occur at 50°N and  
585 50°S during the summer. Simulated OSA in ARPEGE-Climat does not present these features  
586 but is biased high at all seasons.

587 With the new interactive scheme, the seasonal OSA is improved in both models (Figure 9de).  
588 The simulated OSA matches that derived from CERES at seasonal scale, with high values  
589 during the winter and low values between 30°S and 30°N. Improvement is especially  
590 noticeable for LMDZ which captures the observed seasonal cycle of OSA.

591 However, a few errors remain in the simulated OSA. In LMDZ, OSA is slightly too high  
592 compared to CERES (~0.002) in boreal and austral summer. Nonetheless, simulated OSA  
593 reproduces realistic OSA values in the tropics (~5.2%). In ARPEGE-Climat, instead, the  
594 simulated OSA seems slightly too low compared to CERES (~0.002). This leads ARPEGE-  
595 Climat to overestimate the fraction of low-OSA ocean. Interestingly this bias solely concerns  
596 the tropical oceans. Indeed, simulated OSA over high-latitude oceans displays realistic  
597 features at the seasonal scale. The fact that errors in ARPEGE-Climat and LMDZ are of  
598 different signs tends to suggest that the new interactive scheme is not intrinsically biased. It  
599 rather points to biases in driving fields such as the surface wind speeds or the ratio between  
600 direct and diffuse shortwave radiation simulated by either ARPEGE-Climat or LMDZ (Figure  
601 S2).

602

603

## 604 **8- Conclusions**

605 In this paper, we have detailed a new interactive scheme for ocean surface albedo suited for  
606 Earth system models. This scheme computes the ocean surface albedo accounting for the  
607 spectral dependence (across a range of wavelengths between 200 and 4000 nm), the  
608 characteristics of incident solar radiation (direct or diffuse), the effects of surface winds,  
609 chlorophyll content and whitecaps in addition to the canonical solar zenith angle dependence.  
610 This scheme enables a better coupling between the atmospheric and oceanic components of  
611 the model. It thus provides a much more physical basis to resolve the radiative transfer at the  
612 interface between the atmosphere and the upper ocean. This work can be extended to include



613 a coupling to an ocean wave model that would provide a more realistic distribution of ocean  
614 surface state.

615

616 Although direct and diffuse albedos were included in the old ocean albedo schemes of the two  
617 atmospheric models used here, our results demonstrate that their assumptions employed for  
618 diffuse albedo (i.e., fixed values or equal to the direct albedo) are not realistic. The new  
619 interactive scheme improves its representation which leads to substantially reduce model-data  
620 error in ocean surface albedo over the low-latitude oceans.

621

622 Comparison to available dataset shows, for at least two state-of-the-art climate models, a  
623 noticeable improvement in terms of simulated ocean surface albedo compared to their old  
624 ocean surface albedo schemes. At the global scale, geographical pattern of simulated ocean  
625 surface albedo has been improved in both models. The simulated seasonal cycle also shows a  
626 noticeable improvement, especially in LMDZ, with a better correlation to CERES data (up to  
627 0.8). At the local scale, simulated ocean surface albedo also fits ocean surface albedo derived  
628 from ground-based radiative measurements at daily resolution with an improved correlation  
629 up to 0.8.

630

631 Compared to old schemes, the new interactive scheme is more complex and induces a slight  
632 increase in model elapsed time of about 2%. Although noticeable, this increase does not  
633 preclude centennial-long simulation or high resolution model simulations.

634

635 Improved ocean surface albedo might lead to difference in the simulated climate or marine  
636 biogeochemistry dynamics which will be assessed in future work. Indeed, a difference of  
637 about 1% of simulated ocean surface albedo for a global mean irradiance of  $\sim 180 \text{ W m}^{-2}$  can  
638 induce a deviation in energy flow of the Earth system comparable to the impact of land-cover  
639 changes over land (Myhre et al., 2013).

640

641

642 **Code availability:**

643 The interactive ocean surface albedo code detailed in the paper is a part of the SURFEX  
644 ocean scheme and is available as open source via <http://www.cnrm-game-meteo.fr/surfex/>.  
645 SURFEX is updated at a relatively low frequency (every 3 to 6 months) and the developments  
646 presented in this paper are available starting from SURFEX version 8.0. The LMDZ



647 implementation is available as part of the LMDZ code which can be downloaded at  
648 <http://LMDZ.lmd.jussieu.fr/>. Besides, all the tabulated values use for this algorithm are  
649 available in the supplementary materials.

650

651 *Acknowledgement:*

652 *This work was supported by the H2020 project CRESCENDO “Coordinated Research in*  
653 *Earth Systems and Climate : Experiments, kNowledge, Dissemination and Outreach” which*  
654 *received funding from the European Union’s Horizon 2020 research and innovation*  
655 *programme under grant agreement No 641816, the Labex L-IPSL which is funded by the ANR*  
656 *(Grant #ANR-10-LABX-0018) and by the European FP7 IS-ENES2 project (Grant #312979)".*  
657 *The LMDZ simulations in this work were performed using TGCC resources under the GENCI*  
658 *(Grand Equipement National de Calcul Intensif) computer time allocation (grant 2016-*  
659 *t2014012201).*

660

661

662 **References:**

- 663 Allen, M. R., Frame, D. J., Huntingford, C., Jones, C. D., Lowe, J. A., Meinshausen, M. and  
664 Meinshausen, N.: Warming caused by cumulative carbon emissions towards the trillionth  
665 tonne, *Nature*, 458(7242), 1163–1166, doi:10.1038/nature08019, 2009.
- 666 Aouf, L., Lefevre, J.-M. and Hauser, D.: Assimilation of Directional Wave Spectra in the  
667 Wave Model WAM: An Impact Study from Synthetic Observations in Preparation for the  
668 SWIMSAT Satellite Mission, *J. Atmos. Oceanic Technol.*, 23(3), 448–463,  
669 doi:10.1175/JTECH1861.1, 2006.
- 670 Arduin, F., Rogers, E., Babanin, A. V., Filipot, J.-F., Magne, R., Roland, A., van der  
671 Westhuysen, A., Queffelec, P., Lefevre, J.-M., Aouf, L. and Collard, F.: Semiempirical  
672 Dissipation Source Functions for Ocean Waves. Part I: Definition, Calibration, and  
673 Validation, *J. Phys. Oceanogr.*, 40(9), 1917–1941, doi:10.1175/2010JPO4324.1, 2010.
- 674 Behrenfeld, M. J. and Falkowski, P. G.: Photosynthetic rates derived from satellite-based  
675 chlorophyll concentration, *Limnology and Oceanography*, 42(1), 1–20 [online] Available  
676 from: [http://www.es.ucsc.edu/~rkudela/OS130/OS130\\_1116A.PDF](http://www.es.ucsc.edu/~rkudela/OS130/OS130_1116A.PDF), 1997.
- 677 Behrenfeld, M. J., Randerson, J. T., McClain, C. R., Feldman, G. C., Los, S. O., Tucker, C. J.,  
678 Falkowski, P. G., Field, C. B., Frouin, R., Esaias, W. E., Kolber, D. D. and Pollack, N. H.:  
679 Biospheric Primary Production During an ENSO Transition, *Science*, 291(5513), 2594–2597,  
680 doi:10.1126/science.1055071, 2001.
- 681 Bell, T. G., De Bruyn, W., Miller, S. D., Ward, B., Christensen, K. and Saltzman, E. S.: Air–  
682 sea dimethylsulfide (DMS) gas transfer in the North Atlantic: evidence for limited interfacial  
683 gas exchange at high wind speed, *Atmos. Chem. Phys.*, 13(21), 11073–11087,



- 684 doi:10.5194/acp-13-11073-2013, 2013.
- 685 Böning, C. W., Disper, A., Visbeck, M., Rintoul, S. R. and Schwarzkopf, F. U.: The response  
686 of the Antarctic Circumpolar Current to recent climate change, *Nature Geoscience*, 1(12),  
687 864–869, doi:10.1038/ngeo362, 2008.
- 688
- 689 Chandrasekhar, S.: Radiative heat transfer, Dover Publications, New York, 11, 11–12, 1960.
- 690 Chepfer, H., Bony, S., Winker, D., Cesana, G., Dufresne, J.-L., Minnis, P., Stubenrauch, C. J.  
691 and Zeng, S.: The GCM-Oriented CALIPSO Cloud Product (CALIPSO-GOCCP), *J.*  
692 *Geophys. Res.*, 15, D00H16, doi:10.1029/2009JD012251, 2010.
- 693
- 694 Clough, S. A., Shephard, M. W., Mlawer, E. J., Delamere, J. S., Iacono, M. J., Cady-Pereira,  
695 K., Boukabara, S. and Brown, P. D.: Atmospheric radiative transfer modeling: a summary of  
696 the AER codes, *Journal of Quantitative Spectroscopy and Radiative Transfer*, 91(2), 233–244,  
697 2005.
- 698 Cox, C. and Munk, W.: Measurement of the Roughness of the Sea Surface from Photographs  
699 of the Sun's Glitter, *J. Opt. Soc. Am.*, 44(11), 838–850, 1954.
- 700 Cuxart, J., Bougeault, P. and Redelsperger, J. L.: A turbulence scheme allowing for mesoscale  
701 and large-eddy simulations, *Q.J.R. Meteorol. Soc.*, 126(562), 1–30,  
702 doi:10.1002/qj.49712656202, 2000.
- 703 Deane, G. B. and Stokes, M. D.: Scale dependence of bubble creation mechanisms in  
704 breaking waves, *Nature*, 418(6900), 839–844, doi:10.1038/nature00967, 2002.
- 705 Dee, D. P., Uppala, S. M., Simmons, A. J., Berrisford, P., Poli, P., Kobayashi, S., Andrae, U.,  
706 Balmaseda, M. A., Balsamo, G., Bauer, P., Bechtold, P., Beljaars, A. C. M., van de Berg, L.,  
707 Bidlot, J., Bormann, N., Delsol, C., Dragani, R., Fuentes, M., Geer, A. J., Haimberger, L.,  
708 Healy, S. B., Hersbach, H., Hólm, E. V., Isaksen, I., Kållberg, P., Köhler, M., Matricardi, M.,  
709 McNally, A. P., Monge-Sanz, B. M., Morcrette, J. J., Park, B. K., Peubey, C., de Rosnay, P.,  
710 Tavolato, C., Thépaut, J. N. and Vitart, F.: The ERA-Interim reanalysis: configuration and  
711 performance of the data assimilation system, *Q.J.R. Meteorol. Soc.*, 137(656), 553–597, 2011.
- 712 Dufresne, J.-L., Foujols, M. A., Denvil, S., Caubel, A., Marti, O., Aumont, O., Balkanski, Y.,  
713 Bekki, S., Bellenger, H., Benschila, R., Bony, S., Bopp, L., Braconnot, P., Brockmann, P.,  
714 Cadule, P., Cheruy, F., Codron, F., Cozic, A., Cugnet, D., Noblet, N., Duvel, J. P., Ethe, C.,  
715 Fairhead, L., Fichefet, T., Flavoni, S., Friedlingstein, P., Grandpeix, J. Y., Guez, L.,  
716 Guilyardi, E., Hauglustaine, D., Hourdin, F., Idelkadi, A., Ghattas, J., Joussaume, S.,  
717 Kageyama, M., Krinner, G., Labetoulle, S., Lahellec, A., Lefebvre, M.-P., Lefèvre, F., Lévy,  
718 C., Li, Z. X., Lloyd, J., Lott, F., Madec, G., Mancip, M., Marchand, M., Masson, S.,  
719 Meurdesoif, Y., Mignot, J., Musat, I., Parouty, S., Polcher, J., Rio, C., Schulz, M.,  
720 Swingedouw, D., Szopa, S., Talandier, C., Terray, P., Viovy, N. and Vuichard, N.: Climate  
721 change projections using the IPSL-CM5 Earth System Model: from CMIP3 to CMIP5, *Clim*  
722 *Dyn.*, 40(9–10), 2123–2165, doi:10.1007/s00382-012-1636-1, 2013.
- 723 Dutkiewicz, S., Hickman, A. E., Jahn, O., Gregg, W. W., Mouw, C. B. and Follows, M. J.:  
724 Capturing optically important constituents and properties in a marine biogeochemical and  
725 ecosystem model, *Biogeosciences Discuss.*, 12(3), 2607–2695, doi:10.5194/bg-d-12-2607-  
726 2015, 2015.



- 727 Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J. and Taylor, K.  
728 E.: Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental  
729 design and organization, *Geosci. Model Dev.*, 9(5), 1937–1958, doi:10.5194/gmd-9-1937-  
730 2016, 2016a.
- 731 Eyring, V., Gleckler, P. J., Heinze, C., Stouffer, R. J., Taylor, K. E., Balaji, V., Guilyardi, E.,  
732 Joussaume, S., Kindermann, S., Lawrence, B. N., Meehl, G. A., Righi, M. and Williams, D.  
733 N.: Towards improved and more routine Earth system model evaluation in CMIP, *Earth Syst.*  
734 *Dynam.*, 7(4), 813–830, doi:10.5194/esd-7-813-2016, 2016b.
- 735 Frigaard, N.-U., Larsen, K. L. and Cox, R. P. A.: Spectrochromatography of photosynthetic  
736 pigments as a fingerprinting technique for microbial phototrophs, *FEMS Microbiology*  
737 *Ecology*, 20(2), 69–77, doi:10.1111/j.1574-6941.1996.tb00306.x, 1996.
- 738 Frouin, R., Iacobellis, S. F. and Deschamps, P. Y.: Influence of oceanic whitecaps on the  
739 Global Radiation Budget, *Geophys. Res. Lett.*, 28(8), 1523–1526,  
740 doi:10.1029/2000GL012657, 2001.
- 741 Frouin, R., Schwindling, M. and Deschamps, P.-Y.: Spectral reflectance of sea foam in the  
742 visible and near-infrared: In situ measurements and remote sensing implications, *J. Geophys.*  
743 *Res.*, 101(C6), 14361–14371, doi:10.1029/96JC00629, 1996.
- 744 Frölicher, T. L.: Climate response: Strong warming at high emissions, *Nature Clim. Change*,  
745 6(9), 823–824, doi:10.1038/nclimate3053, 2016.
- 746 Gillett, N. P., Arora, V. K., Matthews, D. and Allen, M. R.: Constraining the Ratio of Global  
747 Warming to Cumulative CO<sub>2</sub> Emissions Using CMIP5 Simulations\*, *J. Climate*, 26(18),  
748 6844–6858, doi:10.1175/JCLI-D-12-00476.s1, 2013.
- 749 Gleckler, P. J., Taylor, K. E. and Doutriaux, C.: Performance metrics for climate models, *J.*  
750 *Geophys. Res.*, 113(D6), D06104, doi:10.1029/2007JD008972, 2008.
- 751 Gordon, H. R. and Wang, M.: Influence of oceanic whitecaps on atmospheric correction of  
752 ocean-color sensors, *Appl. Opt.*, 33(33), 7754–7763, doi:10.1364/AO.33.007754, 1994.
- 753 Guérémy, J. F.: A continuous buoyancy based convection scheme: one- and three-  
754 dimensional validation, *Tellus A*, 63(4), 687–706, doi:10.1111/j.1600-0870.2011.00521.x,  
755 2011.
- 756 Gupta, S., Ritchey, N., Wilber, A. and Whitlock, C.: A climatology of surface radiation  
757 budget derived from satellite data, *J. Climate*, 12, 2691–2710, [https://doi.org/10.1175/1520-0442\(1999\)012<2691:ACOSRB>2.0.CO;2](https://doi.org/10.1175/1520-0442(1999)012<2691:ACOSRB>2.0.CO;2), 1999.  
758  
759
- 760 Hansen, J., Russell, G., Rind, D., Stone, P., Lacis, A., Lebedeff, S., Ruedy, R. and Travis, L.:  
761 Efficient Three-Dimensional Global Models for Climate Studies: Models I and II, *Mon. Wea.*  
762 *Rev.*, 111(4), 609–662, doi:10.1175/1520-0493(1983)111<0609:ETDGMF>2.0.CO;2, 1983.
- 763 Hense, I., Stemmler, I. and Sonntag, S.: Ideas and perspectives: climate-relevant marine  
764 biologically driven mechanisms in Earth system models, *Biogeosciences*, 14(2), 403–413,  
765 doi:10.5194/bg-14-403-2017, 2017.
- 766 Hourdin, F., Foujols, M.-A., Codron, F., Guemas, V., Dufresne, J.-L., Bony, S., Denvil, S.,



- 767 Guez, L., Lott, F., Ghattas, J., Braconnot, P., Marti, O., Meurdesoif, Y. and Bopp, L.: Impact  
768 of the LMDZ atmospheric grid configuration on the climate and sensitivity of the IPSL-  
769 CM5A coupled model, *Climate Dynamics*, 40(9-10), 2167–2192, doi:10.1007/s00382-012-  
770 1411-3, 2013.
- 771 IPCC, 2001: *Climate Change 2001: The Scientific Basis*, edited by T. J. Houghton, Y. Ding,  
772 D. J. Griggs, M. Noguer, P. J. van der Linden, X. Dai, K. Maskell, and C. A. Johnson,  
773 Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA. 2001.
- 774 IPCC, 2007: *Climate Change 2007: The Physical Science Basis*, edited by S. Solomon, D.  
775 Qin, M. Manning, Z. Chen, M. Marquis, K. B. Averyt, M. Tignor, and H. L. Miller,  
776 Cambridge University Press, Cambridge, United Kingdom and New York, NY, USA. 2007.
- 777 IPCC, 2013: *Climate Change 2013: The Physical Science Basis.*, edited by T. F. Stoker, D.  
778 Qin, G. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, and P. M.  
779 Midgley, Cambridge Univ Press, Cambridge, United Kingdom and New York, NY, USA.  
780 2013.
- 781 Irvine, W. M. and Pollack, J. B.: Infrared optical properties of water and ice spheres, *Icarus*,  
782 8(1), 324–360, 1968.
- 783 Jin, Z.: A parameterization of ocean surface albedo, *Geophys. Res. Lett.*, 31(22),  
784 doi:10.1029/2004GL021180, 2004.
- 785 Jin, Z., Charlock, T. P. and Rutledge, K.: Analysis of Broadband Solar Radiation and Albedo  
786 over the Ocean Surface at COVE, *J. Atmos. Oceanic Technol.*, 19(10), 1585–1601,  
787 doi:10.1175/1520-0426(2002)019<1585:AOBSRA>2.0.CO;2, 2002.
- 788 Jin, Z., Charlock, T. P., Rutledge, K., Cota, G., Kahn, R., Redemann, J., Zhang, T., Rutan, D.  
789 A. and Rose, F.: Radiative transfer modeling for the CLAMS experiment, *Journal of the*  
790 *atmospheric sciences*, 62(4), 1053–1071, 2005.
- 791 Jin, Z., Charlock, T. P., Rutledge, K., Stamnes, K. and Wang, Y.: Analytical solution of  
792 radiative transfer in the coupled atmosphere-ocean system with a rough surface, *Appl. Opt.*,  
793 45(28), 7443–7455, 2006.
- 794 Jin, Z., Qiao, Y., Wang, Y., Fang, Y. and Yi, W.: A new parameterization of spectral and  
795 broadband ocean surface albedo, *Optics Express*, 19(27), 26429–26443, 2011.
- 796 Kent, E. C., Forrester, T. N. and Taylor, P. K.: A comparison of oceanic skin effect  
797 parameterizations using shipborne radiometer data, *J. Geophys. Res.*, 101(C7), 16649,  
798 doi:10.1029/96JC01054, 1996.
- 799 Kim, G. E., Pradal, M. A. and Gnanadesikan, A.: A new parameterization for surface ocean  
800 light attenuation in Earth System Models: assessing the impact of light absorption by colored  
801 detrital material, *Biogeosciences Discuss.*, 12(5), 3905–3942, doi:10.5194/bgd-12-3905-2015,  
802 2015.
- 803 Koepke, P.: Effective reflectance of oceanic whitecaps, *Appl. Opt.*, 23(11), 1816–1824,  
804 doi:10.1364/AO.23.001816, 1984.





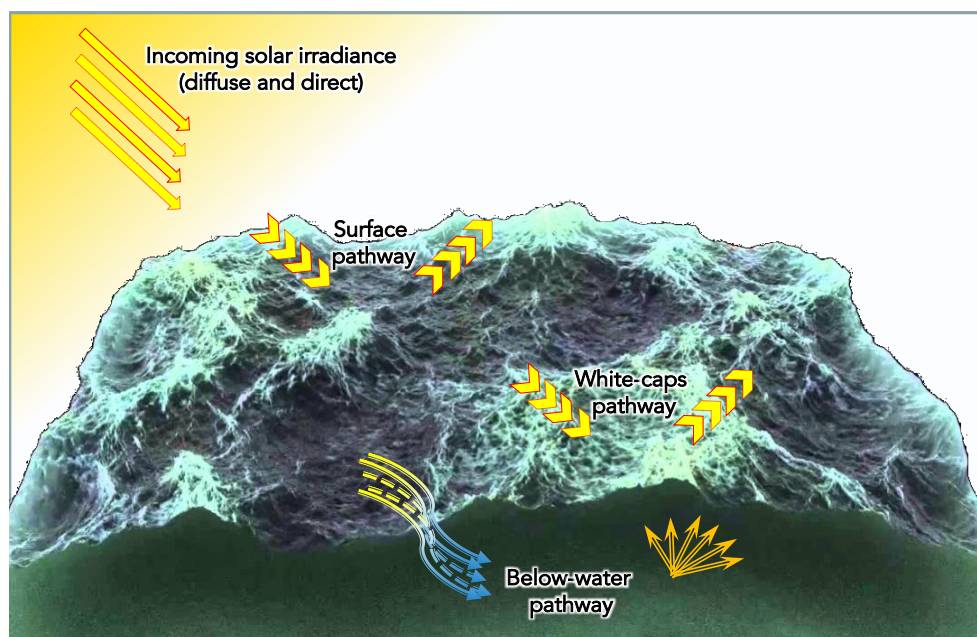
- 805 Larsen, J. C. and Barkstrom, B. R.: Effects of realistic angular reflection laws for the Earth's  
806 surface upon calculations of the Earth-atmosphere albedo, *Radiation in the Atmosphere*,  
807 *Proceedings of an International Symposium*, Princeton: Science Press, p. 451. 1977.  
808
- 809 Li, J., Scinocca, J., Lazare, M., McFarlane, N., Salzen, von, K. and Solheim, L.: Ocean  
810 Surface Albedo and Its Impact on Radiation Balance in Climate Models, *J. Climate*, 19(24),  
811 6314–6333, doi:10.1175/JCLI3973.1, 2006.
- 812 Li, T., Bai, Y., Li, G., He, X., Chen, C.-T., Gao, K. and Liu, D.: Effects of ultraviolet  
813 radiation on marine primary production with reference to satellite remote sensing, *Front.*  
814 *Earth Sci.*, 1–11, doi:10.1007/s11707-014-0477-0, 2014.
- 815 Lopez, P.: Implementation and validation of a new prognostic large-scale cloud and  
816 precipitation scheme for climate and data-assimilation purposes, *Q.J.R. Meteorol. Soc.*,  
817 128(579), 229–257, doi:10.1256/00359000260498879, 2002.
- 818 Masson, V., Le Moigne, P., Martin, E., Faroux, S., Alias, A., Alkama, R., Belamari, S.,  
819 Barbu, A., Boone, A., Bouyssel, F., Brousseau, P., Brun, E., Calvet, J.-C., Carrer, D.,  
820 Decharme, B., Delire, C., Donier, S., Essaouini, K., Gibelin, A. L., Giordani, H., Habets, F.,  
821 Jidane, M., Kerdraon, G., Kourzeneva, E., Lafaysse, M., Lafont, S., Lebeaupin Brossier, C.,  
822 Lemonsu, A., Mahfouf, J. F., Marguinaud, P., Mokhtari, M., Morin, S., Pigeon, G., Salgado,  
823 R., Seity, Y., Taillefer, F., Tanguy, G., Tulet, P., Vincendon, B., Vionnet, V. and Voldoire,  
824 A.: The SURFEXv7.2 land and ocean surface platform for coupled or offline simulation of  
825 earth surface variables and fluxes, *Geosci. Model Dev*, 6(4), 929–960, doi:10.5194/gmd-6-  
826 929-2013-supplement, 2013.
- 827 Melville, W. K. and Matusov, P.: Distribution of breaking waves at the ocean surface, *Nature*,  
828 417(6884), 58–63, doi:10.1038/417058a, 2002.
- 829 Mlawer, E. J., Taubman, S. J., Brown, P. D., Iacono, M. J. and Clough, S. A.: Radiative  
830 transfer for inhomogeneous atmospheres: RRTM, a validated correlated-k model for the  
831 longwave, *J. Geophys. Res.*, 102(D14), 16663–16682, doi:10.1029/97JD00237, 1997.
- 832 Morel, A. and Antoine, D.: Heating rate within the upper ocean in relation to its bio-optical  
833 state, *J. Phys. Oceanogr.*, 24(7), 1652–1665, [https://doi.org/10.1175/1520-0485\(1994\)024<1652:HRWTUO>2.0.CO;2](https://doi.org/10.1175/1520-0485(1994)024<1652:HRWTUO>2.0.CO;2), 1994.  
834  
835
- 836 Morel, A. and Gentili, B.: Diffuse reflectance of oceanic waters: its dependence on Sun angle  
837 as influenced by the molecular scattering contribution, *Appl. Opt.*, 30(30), 4427–4438,  
838 doi:10.1364/AO.30.004427, 1991.
- 839 Morel, A. and Maritorena, S.: Bio-optical properties of oceanic waters: A reappraisal, *Journal*  
840 *of Geophysical Research-Oceans*, 106(C4), 7163–7180, doi:10.1029/2000JC000319, 2001.  
841
- 842 Murtugudde, R., Beauchamp, J. and McClain, C. R.: Effects of penetrative radiation on the  
843 upper tropical ocean circulation, *J. Climate*, 15, 470–486, [https://doi.org/10.1175/1520-0442\(2002\)015<0470:EOPROT>2.0.CO;2](https://doi.org/10.1175/1520-0442(2002)015<0470:EOPROT>2.0.CO;2), 2002.  
844  
845
- 846 Myhre, G., Boucher, O., Breon, F.-M., Forster, P. and Shindell, D.: Declining uncertainty in  
847 transient climate response as CO<sub>2</sub> forcing dominates future climate change, *Nature Geosci.*,  
848 8(3), 181–185, doi:10.1038/ngeo2371, 2015.



- 849 Myhre, G., Shindell, D., Breon, F.-M., Collins, W., Fuglestedt, J., Huang, J., Koch, D.,  
850 Lamarque, J.-F., Lee, D., Mendoza, B., Nakajima, T., Robock, A., Stephens, G., Takemura, T.  
851 and Zhang, H.: Anthropogenic and Natural Radiative Forcing, *Climate Change 2013: The*  
852 *Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of*  
853 *the Intergovernmental Panel on Climate Change*. 2013.
- 854 Nelson, D. M. and Smith, W. O. J.: The role of light and major nutrients, *Limnol. Oceanogr.*,  
855 36(8), 1650–1661, 1991.
- 856 Otto, A., Otto, F. E. L., Boucher, O., Church, J., Hegerl, G., Forster, P. M., Gillett, N. P.,  
857 Gregory, J., Johnson, G. C., Knutti, R., Lewis, N., Lohmann, U., Marotzke, J., Myhre, G.,  
858 Shindell, D., Stevens, B. and Allen, M. R.: Energy budget constraints on climate response, **6**,  
859 415–416, doi:10.1038/ngeo1836, 2013.  
860
- 861 Piriou, J.-M., Redelsperger, J.-L., Geleyn, J.-F., Lafore, J.-P. and Guichard, F.: An Approach  
862 for Convective Parameterization with Memory: Separating Microphysics and Transport in  
863 Grid-Scale Equations, *J. Atmos. Sci.*, 64(11), 4127–4139, doi:10.1175/2007JAS2144.1, 2007.
- 864 Preisendorfer, R. W. and Mobley, C. D.: Albedos and Glitter Patterns of a Wind-Roughened  
865 Sea Surface, *J. Phys. Oceanogr.*, 16(7), 1293–1316, doi:10.1175/1520-  
866 0485(1986)016<1293:AAGPOA>2.0.CO;2, 1986.
- 867 Rutledge, C. K., Schuster, G. L., Charlock, T. P., Denn, F. M., Smith, W. L., Jr., Fabbri, B. E.,  
868 Madigan, J. J., Jr. and Knapp, R. J.: Offshore Radiation Observations for Climate Research at  
869 the CERES Ocean Validation Experiment: A New “Laboratory” for Retrieval Algorithm  
870 Testing, *Bull. Amer. Meteor. Soc.*, 87(9), 1211–1222, doi:10.1175/BAMS-87-9-1211, 2006.
- 871 Salisbury, D. J., Anguelova, M. D. and Brooks, I. M.: Global Distribution and Seasonal  
872 Dependence of Satellite-based Whitecap Fraction, *Geophys. Res. Lett.*, 2014GL059246,  
873 doi:10.1002/2014GL059246, 2014.
- 874 Shanmugam, P. and Ahn, Y. H.: Reference solar irradiance spectra and consequences of their  
875 disparities in remote sensing of the ocean colour, *Annales Geophysicae*, 25(6), 1235–1252,  
876 doi:10.5194/angeo-25-1235-2007, 2007.
- 877 Siegel, D. A., Doney, S. C. and Yoder, J. A.: The North Atlantic spring phytoplankton bloom  
878 and the Sverdrup's critical depth hypothesis, *Science*, 296, 730–733,  
879 doi:10.1126/science.1069174, 2002.
- 880 Smith, E. D.: Water Characteristics, *Journal (Water Pollution Control Federation)*, 54(6),  
881 541–554, 1982.
- 882 Smyth, T. J.: Penetration of UV irradiance into the global ocean, *J. Geophys. Res.*, 116(C11),  
883 C11020, 2011.
- 884 Stramska, M.: Observations of oceanic whitecaps in the north polar waters of the Atlantic, *J.*  
885 *Geophys. Res.*, 108(C3), 3086, doi:10.1029/2002JC001321, 2003.
- 886 Taylor, J. P., Edwards, J. M., Glew, M. D., Hignett, P. and Slingo, A.: Studies with a flexible  
887 new radiation code. II: Comparisons with aircraft short-wave observations, *Q.J.R. Meteorol.*  
888 *Soc.*, 122(532), 839–861, doi:10.1002/qj.49712253204, 1996.



- 889 Taylor, K. E., Stouffer, R. J. and Meehl, G. A.: An Overview of CMIP5 and the Experiment  
890 Design, *Bull. Amer. Meteor. Soc.*, doi:10.1175/BAMS-D-11-00094.1, 2011.
- 891 Voldoire, A., Sanchez-Gomez, E., Salas y Méliá, D., Decharme, B., Cassou, C., Sénési, S.,  
892 Valcke, S., Beau, I., Alias, A., Chevallier, M., Déqué, M., Deshayes, J., Douville, H.,  
893 Fernandez, E., Madec, G., Maisonnave, E., Moine, M. P., Planton, S., Saint-Martin, D.,  
894 Szopa, S., Tyteca, S., Alkama, R., Belamari, S., Braun, A., Coquart, L. and Chauvin, F.: The  
895 CNRM-CM5.1 global climate model: description and basic evaluation, *Climate Dynamics*,  
896 40(9-10), 2091–2121, doi:10.1007/s00382-011-1259-y, 2013.
- 897 Whitlock, C. H., Bartlett, D. S. and Gurganus, E. A.: Sea foam reflectance and influence on  
898 optimum wavelength for remote sensing of ocean aerosols, *Geophys. Res. Lett.*, 9(6), 719–  
899 722, doi:10.1029/GL009i006p00719, 1982.
- 900 Wielicki, B. A., Barkstrom, B. R., Harrison, E. F., Lee, R. B., III, Louis Smith, G. and  
901 Cooper, J. E.: Clouds and the Earth's Radiant Energy System (CERES): An Earth Observing  
902 System Experiment, *Bull. Amer. Meteor. Soc.*, 77(5), 853–868, doi:10.1175/1520-  
903 0477(1996)077<0853:CATERE>2.0.CO;2, 1996.
- 904 Woolf, D. K.: Effect of wind waves on air–sea gas exchange: proposal of an overall CO<sub>2</sub>  
905 transfer velocity formula as a function of breaking-wave parameter, *Tellus B*, 57(2), 478–487,  
906 doi:10.1023/A:1021215904955, 2005.
- 907 Yoder, J., McClain, C., Feldman, G. and Esaias, W.: Annual Cycles of Phytoplankton  
908 Chlorophyll Concentrations in the Global Ocean - a Satellite View, *Global Biogeochem.*  
909 *Cycles*, 7(1), 181–193, doi:10.1029/93GB02358, 1993.
- 910
- 911
- 912
- 913
- 914
- 915
- 916
- 917
- 918
- 919
- 920
- 921
- 922
- 923
- 924
- 925



926

927 **Figure 1:** Pathways of solar radiation over oceans as described in the new interactive scheme.

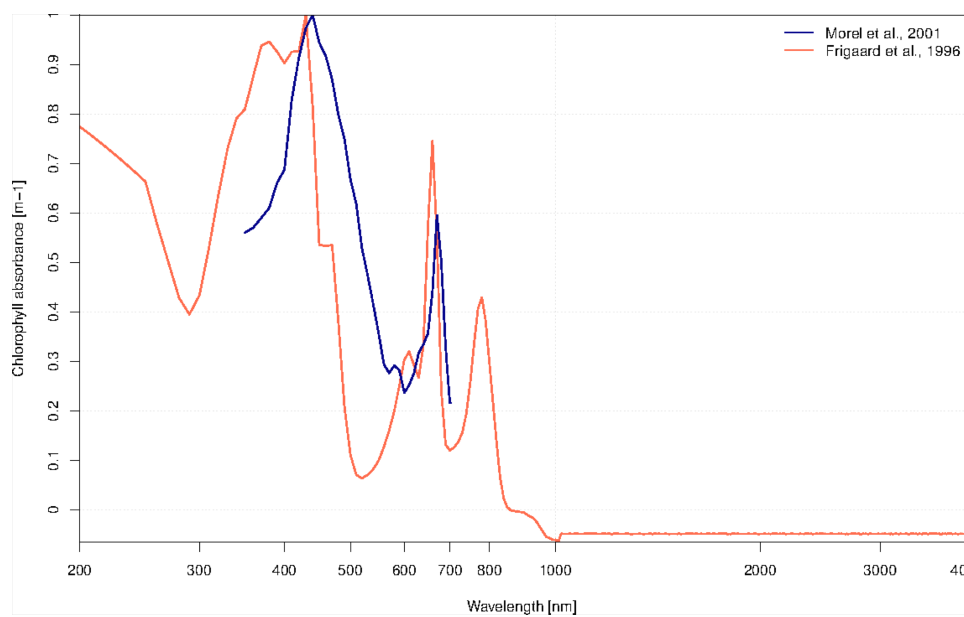
928 Whitecaps, surface Fresnel or below-water influence of the reflection or the refraction of both  
929 direct and diffuse radiation.

930

931

932

933



934

935 **Figure 2:** Comparison of chlorophyll absorbance ( $\text{m}^{-1}$ ) as a function of wavelength (nm, in  
936 log-scale) from Morel and Maritorena (2001) in blue to that of Frigaard et al. (1996) in red,  
937 which is used in the new interactive OSA scheme.

938

939

940

941

942

943

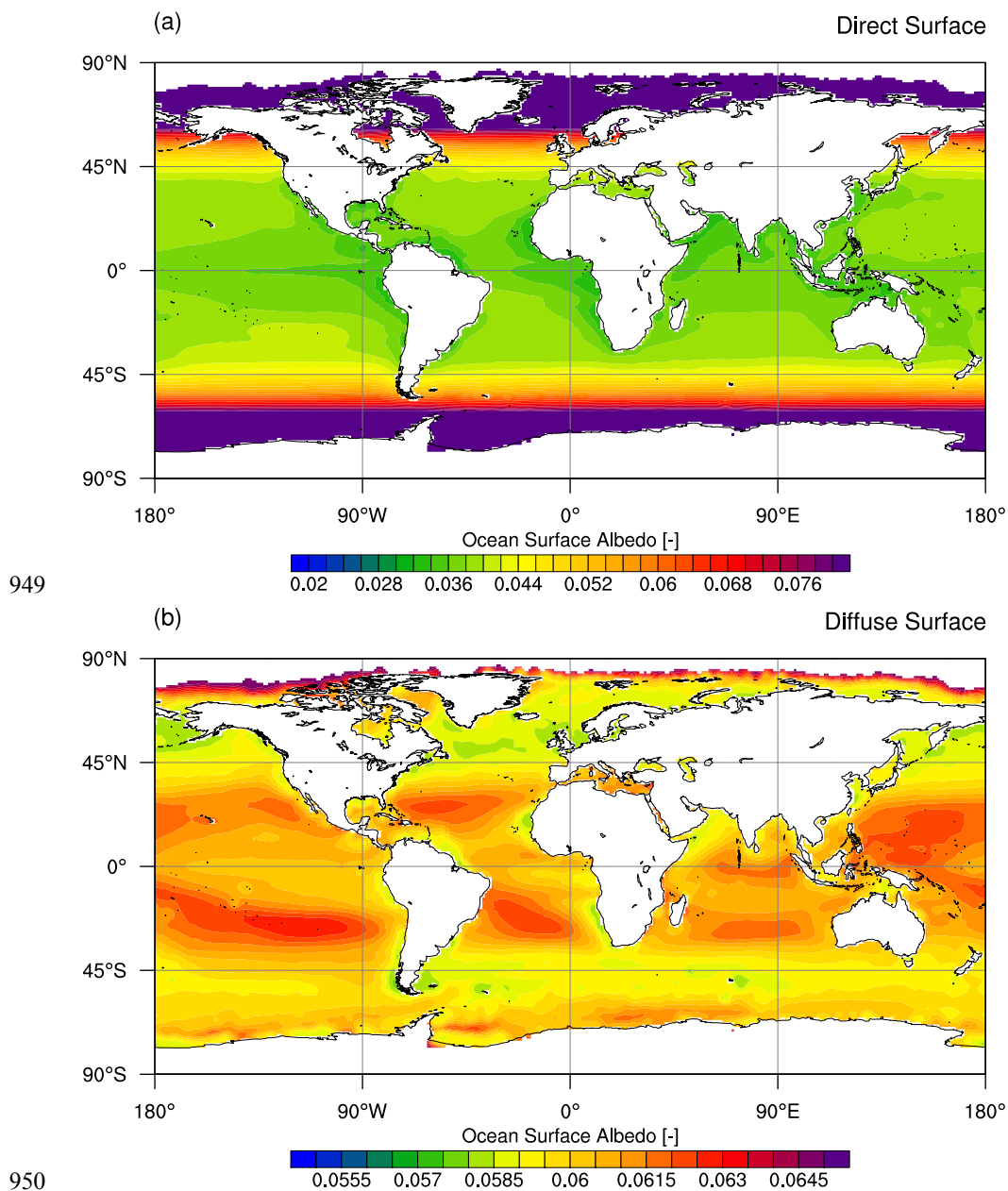
944

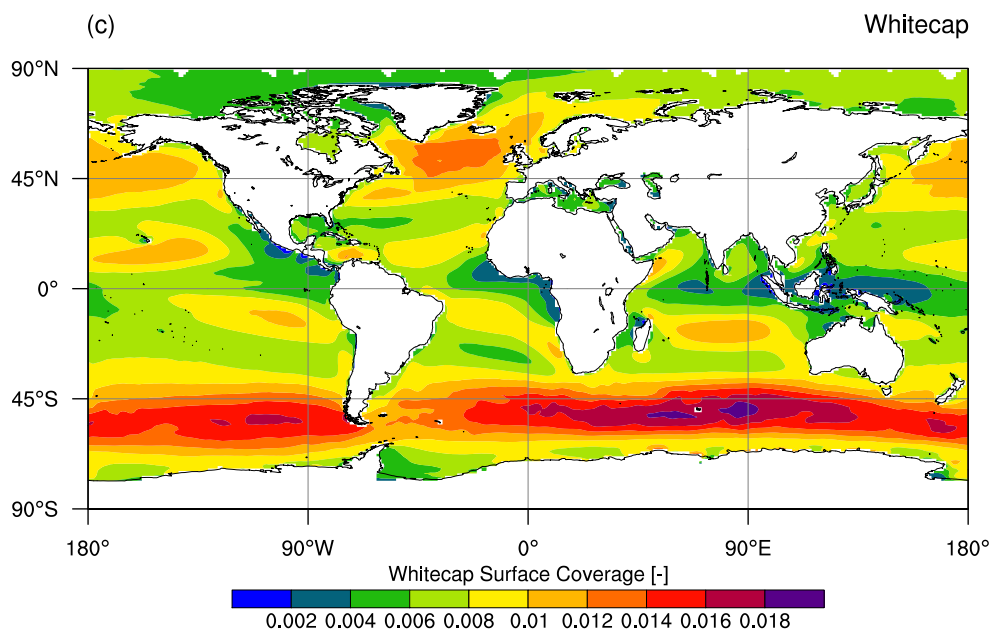
945

946

947

948



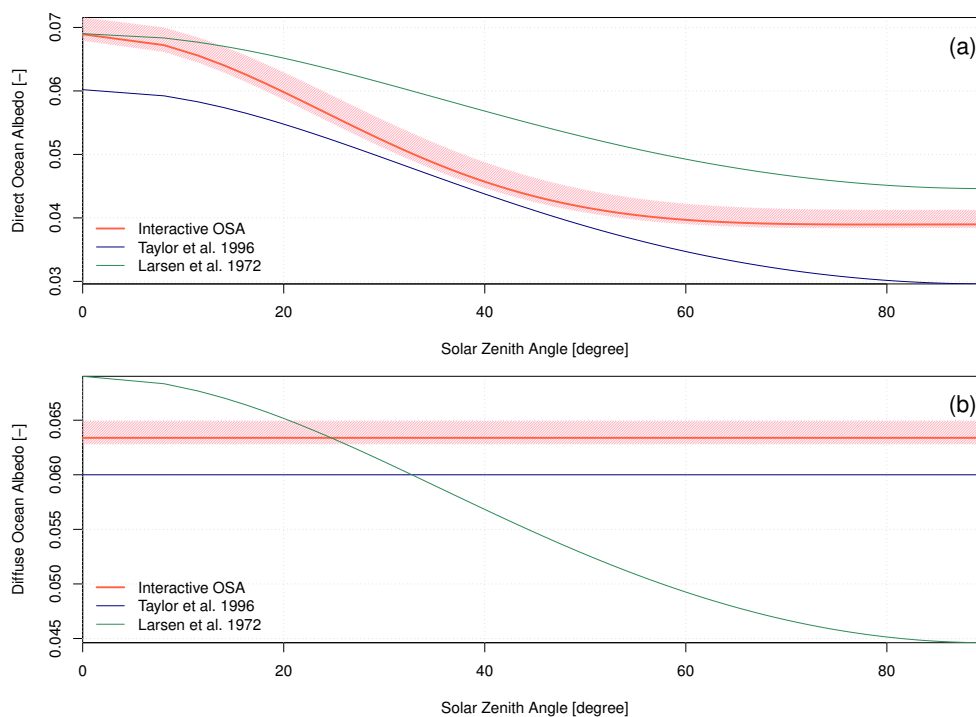


951

952 **Figure 3:** Maps of ocean surface albedo for (a) direct and (b) diffuse radiation in the absence  
953 of whitecaps, and map of whitecaps surface coverage (c). Estimates are derived from offline  
954 calculation using EraInterim forcings fields (Dee et al., 2011) from years 2000 to 2012 and  
955 SeaWiFS chlorophyll climatology (Siegel et al., 2002) over year 1998-2007. Whitecap albedo  
956 is constant in space and is equate to 0.174.

957

958



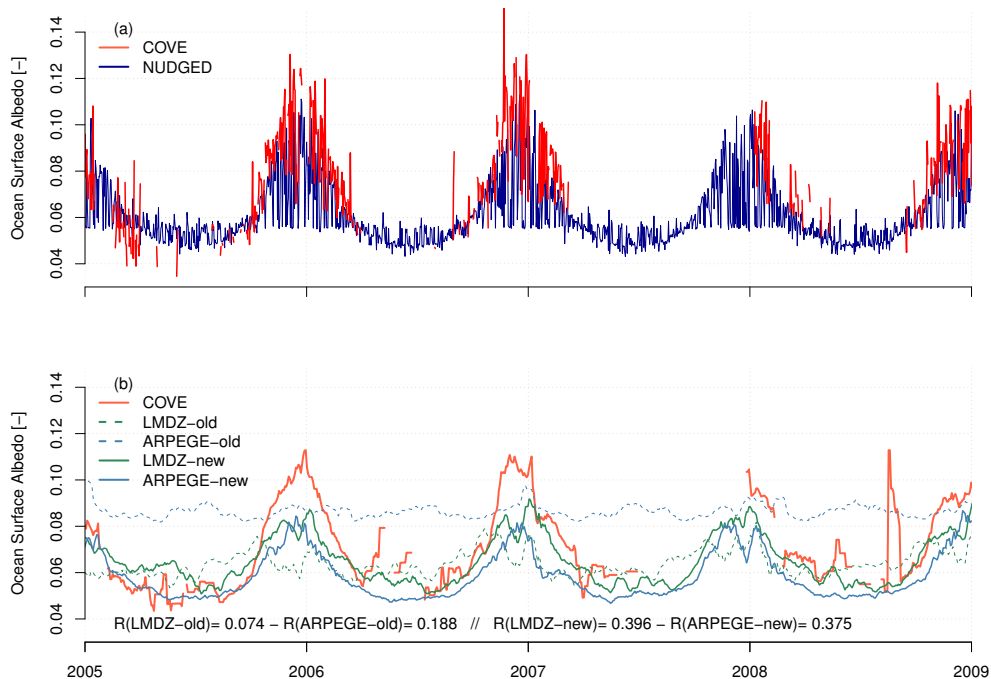
959

960 **Figure 4:** Analytical solution for (a) direct and (b) diffuse ocean surface albedo as used in  
961 Taylor et al. (1996) and Larsen and Barkstrom (1977), and computed solution for the new  
962 interactive ocean surface albedo scheme, as a function of solar zenith angle. Hatching depicts  
963 potential variations related to changes in 10-meter wind speed and surface chlorophyll.

964

965





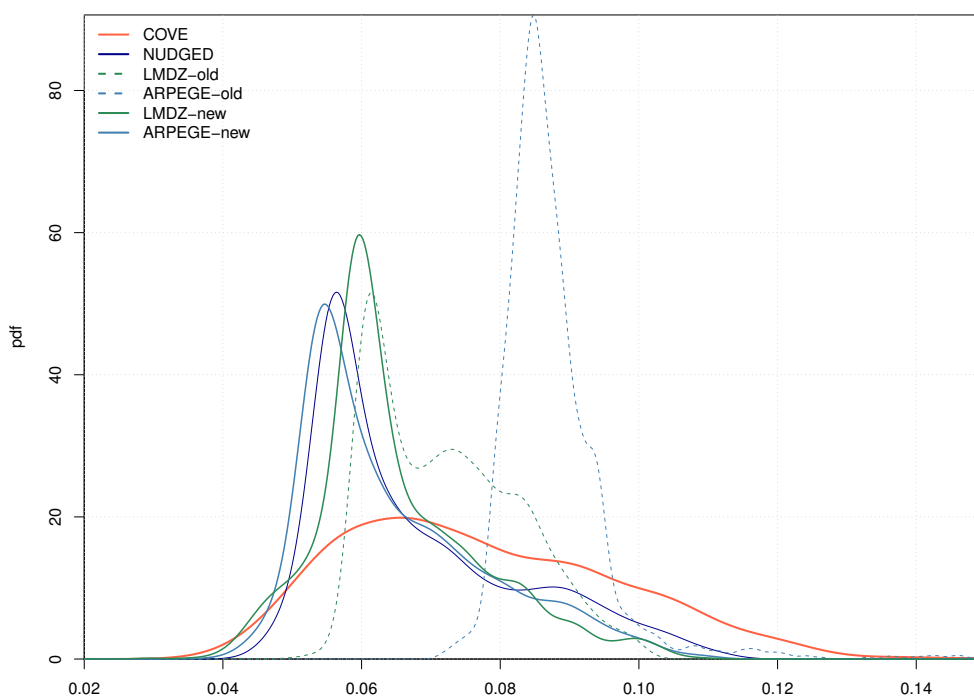
966

967 **Figure 5:** Ocean surface albedo at COVE station (36.905°N, 75.713°W) from 2005 to 2009.

968 Panel (a) compares daily-mean time series of ocean surface albedo as derived from ground-  
969 based observations (in red) and as reconstructed with ARPEGE-Climat nudged toward  
970 EraInterim (dark blue). Panel (b) displays, for the sake of clarity, time series of daily-mean  
971 Ocean surface albedo smoothed using a 5-day moving average for both observations and  
972 model results. All daily-mean time-series from 2001 to 2015 are displayed in Figure S1.  
973 Ocean surface albedo simulated by ARPEGE-Climat (in blue) and LMDZ (in green) using old  
974 or the new interactive scheme are indicated with dashed or solid lines, respectively.

975

976

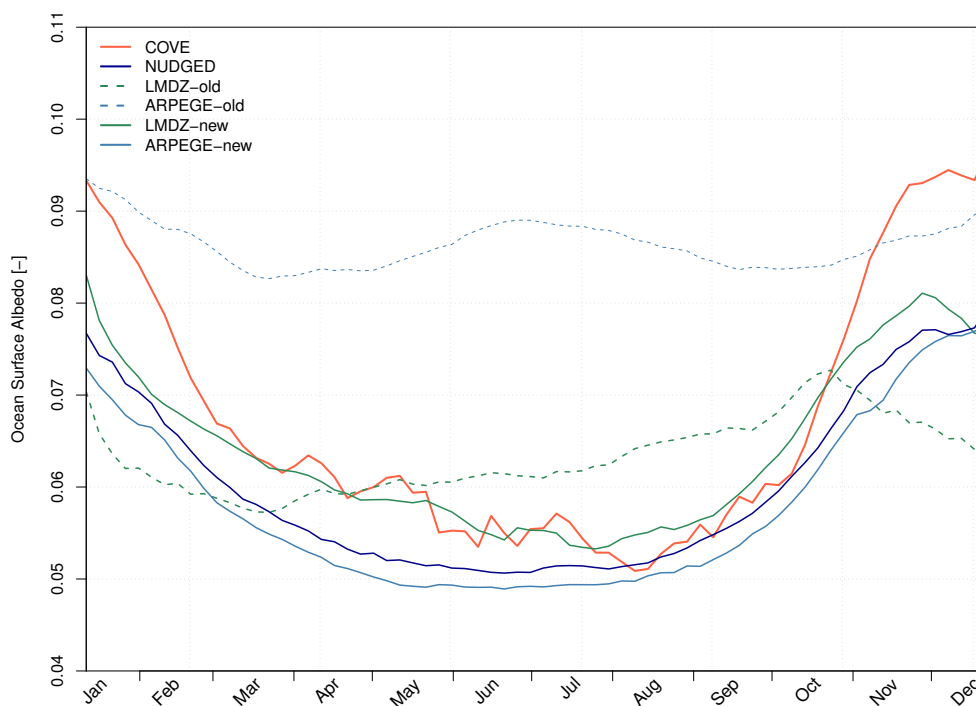


977

978 **Figure 6:** Probability density function of daily-mean ocean surface albedo at COVE station  
 979 (36.905°N, 75.713°W) derived from daily-mean time series over years 2001 to 2013. Ocean  
 980 surface albedo derived from ground-based observations and as reconstructed with ARPEGE-  
 981 Climat nudged toward EraInterim are indicated in red and dark blue, respectively. Ocean  
 982 surface albedo simulated by ARPEGE-Climat (in blue) and LMDZ (in green) using old or the  
 983 new interactive scheme are indicated with dashed or solid lines, respectively.

984

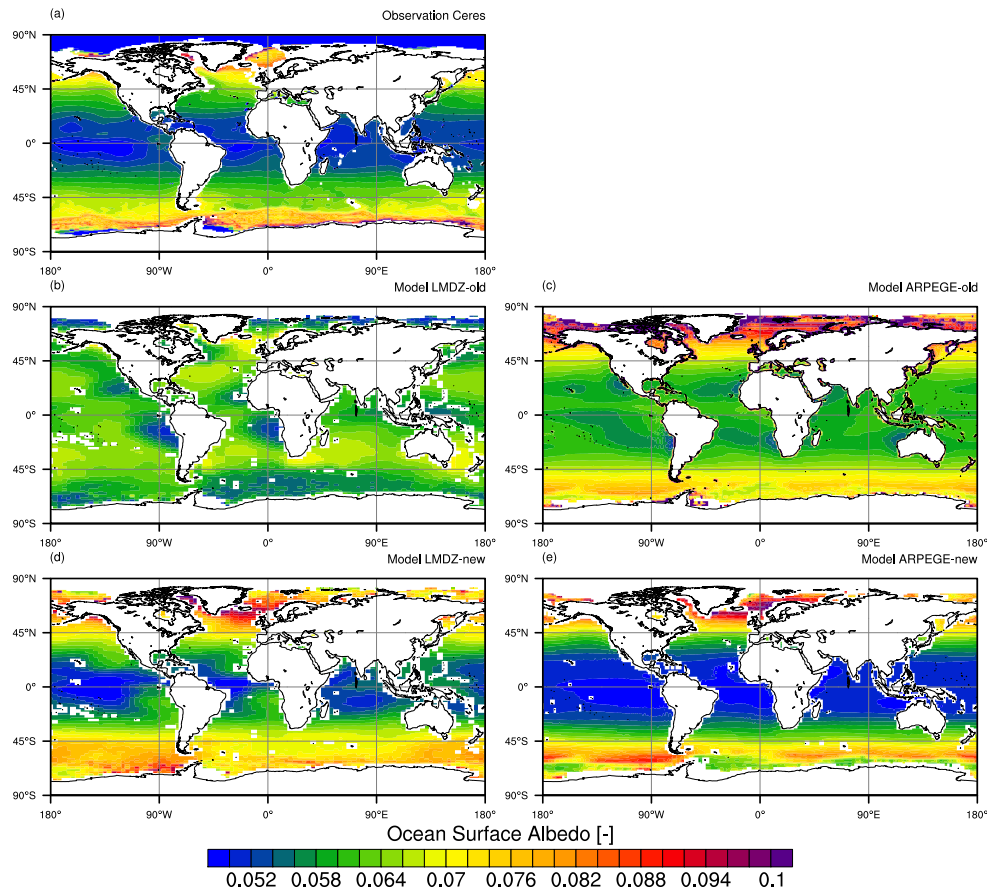
985



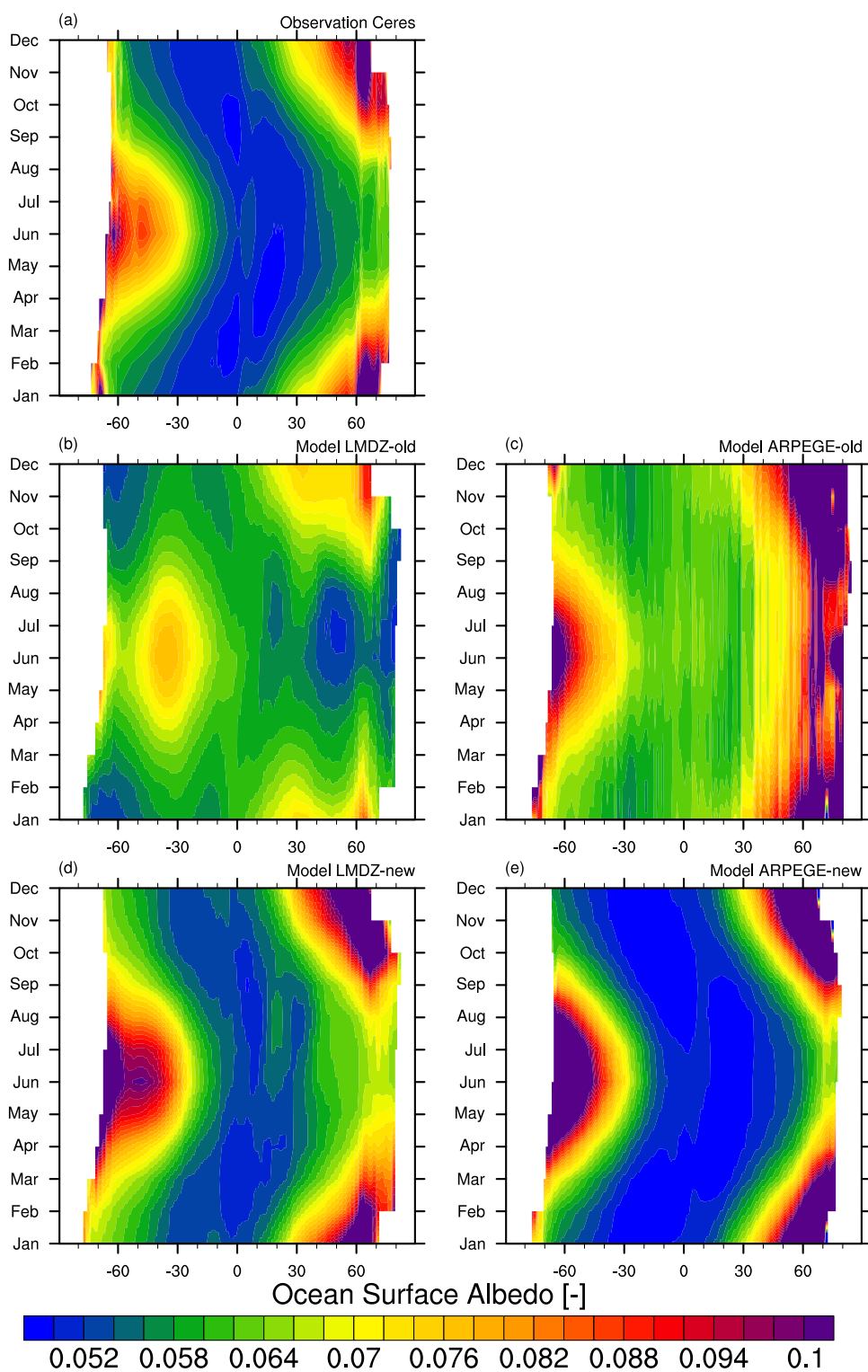
986

987 **Figure 7:** Mean seasonal cycle of ocean surface albedo at COVE station (36.905°N,  
988 75.713°W) derived from daily-mean time series over years 2001 to 2013. Ocean surface  
989 albedo derived from ground-based observations and as reconstructed with ARPEGE-Climat  
990 nudged toward EraInterim are indicated in red and dark blue, respectively. Ocean surface  
991 albedo simulated by ARPEGE-Climat (in blue) and LMDZ (in green) using old or the new  
992 interactive scheme are indicated with dashed or solid lines, respectively.

993



994  
995 **Figure 8:** Decadal-mean climatology ocean surface albedo as (a) estimated from CERES  
996 satellite observations (Wielicki et al., 1996) and as simulated by LMDZ (b,d) and ARPEGE-  
997 Climat (c,e). In panels (b) and (c), LMDZ and ARPEGE-Climat use old ocean albedo  
998 schemes, that is Taylor et al. (1996) and Larsen and Barkstrom (1977), respectively. In panels  
999 (d) and (e), LMDZ and ARPEGE-Climat use employ the new interactive ocean surface albedo  
1000 scheme. Decadal-mean climatology is derived from radiative fluxes averaged over years 2001  
1001 to 2014 for CERES estimates and 2000 to 2012 for both climates models.  
1002





1004 **Figure 9:** Hovmöller diagram representing the zonally-averaged ocean surface albedo as a  
1005 function of month. The various panels display the ocean surface albedo as (a) estimated from  
1006 CERES satellite observations (Wielicki et al., 1996) and as simulated by (b) LMDZ and (c)  
1007 ARPEGE-Climat using old ocean albedo schemes, that is Taylor et al. (1996) and Larsen and  
1008 Barkstrom (1977), respectively. Panels (d) and (e) show OSA as simulated by the new  
1009 interactive ocean surface albedo scheme for LMDZ and ARPEGE-Climat, respectively.  
1010 Monthly-mean are derived from radiative fluxes averaged over years 2001 to 2014 for  
1011 CERES estimates and from years 2000 to 2012 for both climates models.  
1012