- An interactive ocean surface albedo scheme (OSAv1.0): formulation and
   evaluation in ARPEGE-Climat (V6.1) and LMDZ (V5A)
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#### 11 Abstract

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13 Ocean surface represents roughly 70% of the Earth surface, playing a large role in the 14 partitioning of the energy flow within the climate system. The ocean surface albedo (OSA) is 15 an important parameter in this partitioning because it governs the amount of energy 16 penetrating into the ocean or reflected towards space. The old OSA schemes in the ARPEGE-17 Climat and LMDZ models only resolve the latitudinal dependence in an *ad hoc* way without 18 an accurate representation of the solar zenith angle dependence. Here, we propose a new 19 interactive OSA scheme suited for Earth system models, which enable coupling between earth 20 system model components like surface ocean wave or marine biogeochemistry. This scheme 21 resolves spectrally the various contributions of the surface for direct and diffuse solar 22 radiation. The implementation of this scheme in two Earth system models leads to substantial 23 improvements in simulated OSA. At the local scale, models using the interactive OSA scheme 24 better replicate the day-to-day distribution of OSA derived from ground-based observations in 25 contrast to old schemes. At global scale, the improved representation of OSA for diffuse 26 radiation reduces model biases by up to 80% over the tropical oceans, reducing annual-mean 27 model-data error in surface upwelling shortwave radiation by up to 7 W m<sup>-2</sup> over this domain. 28 The spatial correlation coefficient between modelled and observed OSA at monthly resolution 29 has been increased from 0.1 to 0.8. Despite its complexity, this interactive OSA scheme is 30 computationally efficient to enable precise OSA calculation without penalizing the model31 elapsed time.

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#### 34 **1- Introduction**

The surface radiation budget has long been recognized as fundamental to our understanding of the climate system (IPCC, 2001; 2007; 2013). The flow of radiative energy through the Earth System and the radiative interactions between the atmosphere and the ocean remain one of the major sources of uncertainties in climate predictions (Allen et al., 2009; Frölicher, 2016; Gillett et al., 2013; Myhre et al., 2015; Otto et al., 2013).

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41 In the atmosphere, the spatiotemporal variations in incoming solar radiation and its 42 atmospheric absorption drive the hydrological cycle as well as the flow of air masses. In the 43 oceans, the fraction of solar radiation entering in subsurface is controlled by the oceanic 44 surface albedo (OSA). The corresponding amount of heat stored into the ocean constitutes an 45 important term in the ocean energy surface balance and affects in turn the whole climate 46 system. On short (daily to seasonal) time scales, solar radiation absorbed into the upper-ocean 47 layers affects the stability of the ocean mixed layer, the sea surface temperature and may, in 48 turn, influence the geographic structure of large-scale atmospheric convection (Gupta et al., 49 1999). Over longer time scales, the fraction of energy entering into the ocean contributes to 50 increase the ocean heat content, which is key term to diagnose the climate sensitivity from 51 observations (Otto et al., 2013).

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53 OSA interacts with a multitude of biophysical processes occurring in the first meters of the 54 ocean. In particular, it governs the amount of solar radiation entering in the upper-most layer 55 of the ocean that interacts with marine biological light-sensitive pigment like chlorophyll, and 56 other materials in suspension (e.g., Morel and Antoine, 1994; Murtugudde et al., 2002). OSA 57 also influences a number of biogeochemical processes such as the photosynthesis or 58 photolysis, which respond to incoming solar radiation within the upper-lit layer of the ocean. 59 Conversely, penetrating ultraviolet solar radiation can also produce detrimental impacts on the 60 marine biota (e.g., Li et al., 2014; Smyth, 2011). Consequently, OSA influences marine 61 primary productivity directly, and hence ocean ecosystems and ocean carbon uptake (e.g., Behrenfeld and Falkowski, 1997; Nelson and Smith, 1991; Siegel et al., 2002). 62

Despite its importance, OSA is a parameter that often receives insufficient attention from both observational and modelling points of view. Most of the available data are indirectly retrieved from satellite observations of the top-of-atmosphere radiative budget (Wielicki et al., 1996), with relatively few direct observations of surface radiative fluxes. Nonetheless, the OSA processes are relatively well understood so that OSA can be parameterized at the global scale.

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Both empirical and theoretical approaches indicate that the solar zenith angle (SZA) is the 71 72 single most prominent driving parameter for OSA. However a wide range of other parameters 73 such as the partitioning of incoming solar radiation between its direct and diffuse components, 74 the sea surface state (often approximated through the surface wind), the concentration of 75 suspended matter and plankton light-sensitive pigment in the surface ocean, and the extent 76 and physical properties of whitecaps also affect OSA. All of these contributions vary 77 spectrally and OSA thus depends on the spectral distribution of the incoming solar radiation at 78 the surface (Jin, 2004; Jin et al., 2002).

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80 Over the last decades, several schemes have been proposed to model OSA (e.g., Cox and 81 Munk, 1954; Hansen et al., 1983; Kent et al., 1996; Larsen and Barkstrom, 1977; 82 Preisendorfer and Mobley, 1986; Ohlman, Siegel and Mobley, 2000). Some schemes depend 83 only on the solar zenith angle while others additionally depend on quantities like wind speed 84 or cloud optical depth, inducing substantial differences in OSA patterns and variability. Li et 85 al. (2006) investigated the impact of various OSA schemes in the Canadian atmosphere climate model, AGCM4. The authors show that the difference in clear-sky upwelling 86 shortwave radiation between schemes can reach 20 W m<sup>-2</sup> at the top-of-the-atmosphere and 87 more than 20 W  $m^{-2}$  at the surface. 88

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Most of the schemes assessed in Li et al. (2006) do not resolve spectral variations in OSA thus excluding the possibility to represent subtle processes and couplings in Earth system models as suggested by complex ocean radiative transfer (e.g., Ohlman, Siegel and Mobley, 2000; Ohlman and Siegel, 2000). Indeed, changes in whitecaps and ocean color, whether due to climate variability or climate change, can modify the OSA, with potential impacts on photochemistry in the atmosphere and biological activity in the upper-most layer of the ocean (Hense et al., 2017).

98 In this study, we propose a new interactive OSA scheme well-adapted for the current 99 generation of Earth system models which may benefit from and benefit to the coupling 100 between earth system model components like surface ocean wave or marine biogeochemistry. 101 This study provides details of its implementation into two atmospheric models and discusses 102 its performance on daily to seasonal time scales.

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The outline is as follows. In Section 2, we introduce the formulation of the interactive OSA scheme which is derived from old schemes published in literature over the last decades. In Section 3, we analyze the importance of the various components of this scheme using a standalone version of the OSA scheme. Section 4 describes the experimental design and the two state-of-the-art atmospheric models that are used in Sections 5, 6 and 7 to evaluate the interactive OSA scheme against available observations. Section 8 concludes the present study.

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## 111 2- Interactive Ocean Surface Albedo parameterization

112 Albedo is a measure of the reflectivity of a surface and is defined as the fraction of the 113 incident solar radiation that is reflected by the surface. It depends not only on the properties of 114 the surface but also on the properties of the solar radiation incident on that surface. 115 Technically-speaking albedo can be computed from the knowledge of the spectral and 116 directional distribution of the incident solar radiation  $L(\lambda, \theta, \varphi)$  and the bidirectional 117 reflectance distribution function (BRDF),  $\rho(\lambda, \theta_i, \varphi_i, \theta_r, \varphi_r)$  which links the reflected radiation in a direction  $(\theta_r, \varphi_r)$  to that of incident radiation in a direction  $(\theta_i, \varphi_i)$ . Here,  $\lambda$  represents the 118 119 wavelength, and  $(\theta, \phi)$  the zenith and azimuthal angles.

While the atmospheric incident radiation,  $L(\lambda, \theta, \varphi)$ , can be solved using a radiative transfer model and the BRDF can be modelled from the knowledge of the surface ocean properties, the complexity and the computational cost of such models are prohibitive for climate applications. Thus, estimation of OSA in climate models has to rely on several simplifying assumptions. In particular, incident solar radiation is usually characterized by a downward direct flux (for which SZA is known) and a diffuse downward flux (for which a typical angular distribution can be assumed).

127 In the present work, most of the analytic formulations employed are derived from the 128 azimuthally averaged radiative transfer equation (Chandrasekhar, 1960), enabling a 129 straightforward estimation of the OSA for direct and diffuse radiation. This implies that zenith 130 solar angle is the only directional parameter involved in the parametrization.

The suite of processes involved in our scheme is displayed in Figure 1. The incident solar radiation (either direct and diffuse) is first influenced by the presence of foam (composing the whitecaps), which exhibits different reflective properties from sea-water. Then, the reflective properties of the uncapped fraction of the sea surface are determined separately for direct and diffuse incident radiation. Finally, the subsurface —or ocean interior— reflectance of sea water is computed for both direct and diffuse incident radiation.

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Hereafter, we describe the various components of OSA according to the nature of the incidentsolar radiation (direct or diffuse) and the processes involved in its reflection.

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#### 142 **2-1 Treatment of whitecaps**

143 The first contribution to the new interactive OSA scheme is the whitecap cover. Indeed, the 144 whitecap albedo,  $\alpha^{WC}(\lambda)$ , could significantly increase the OSA at high wind speeds (e.g., 145 Frouin et al., 2001; 1996; Gordon and Wang, 1994; Stramska, 2003).

146 The presence of whitecaps originates from turbulence induced by the breaking of waves, 147 which generates foam at the sea surface (Deane and Stokes, 2002; Melville and Matusov, 148 2002). In the absence of an ocean wave model such as WAM (e.g., Aouf et al., 2006; Ardhuin 149 et al., 2010) which would provide a more accurate whitecap coverage (WC) based on wave 150 significant height (Bell et al., 2013; Woolf, 2005), we used the formulation of WC published 151 in Salisbury et al. (2014). Their expression is based on recent space-borne observations with a 152 37 GHz channel radar. It parametrizes WC as a function of the 10-meter wind speed, w, in 153 unit of m s<sup>-1</sup>:

154  $WC(w) = 3.97 \ 10^{-2} \ w^{1.59}$ 

As mentioned in Salisbury et al. (2014), this approximation of *WC* is valid for *w* ranging between 2 and 20 m s<sup>-1</sup>, which corresponds well to the range of *w* values simulated by the current generation of Earth system models. The formulation employed here does not account for temperature dependence of wave-breaking in agreement with other parameterizations for *WC* (Stramska, 2003) because its effect is weaker than that of surface winds on the whitecaps coverage.

161 In order to solve the spectral dependence of the whitecap albedo, we use the relationship 162 proposed by Whitlock et al. (1982). Yet, we rely on previous work indicating that the 163 whitecap albedo of ordinary foam,  $\alpha^{WC}(\lambda)$ , tends to be twice lower than that of fresh and 164 dense foam (Koepke, 1984). We consequently apply a  $\frac{1}{2}$  coefficient to the formulation 165 proposed by (Whitlock et al., 1982) for  $\alpha_{WC}(\lambda)$  from 400 to 2400 nm, as follows:

166  $\alpha^{WC}(\lambda)$ 

167 
$$= \frac{1}{2} \times \frac{1}{100} (60.063 - 5.127 \ln r_{WC}(\lambda) + 2.779 (\ln r_{WC}(\lambda))^2 - 0.713 (\ln r_{WC}(\lambda))^3)$$

168 + 0.044(ln  $r_{WC}(\lambda)$ )<sup>4</sup>)

169 where  $r_{WC}$  is the absorption coefficient of clear water in m<sup>-1</sup>. We use  $r_{WC}(\lambda)$  as published in 170 Whitlock et al. (1982) from 400 to 2400 nm. Outside the 400 to 2400 nm range, we chose to 171 set  $r_{WC}(\lambda)$  to zero due to the lack of available data in the literature. Tabulated values of 172  $\alpha^{WC}(\lambda)$  computed using this assumption are provided in Table S1.

173 In the present scheme, we assume that whitecaps reflect equally direct and diffuse incoming 174 solar radiation. We also assume that our formulation, which is based on observations, is not 175 affected by the small contribution from the subsurface reflectance.

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#### 178 **2-2 Treatment of the uncapped surface**

#### 179 2-2-1 Fresnel surface albedo for direct radiation

180 We describe the contribution of Fresnel reflection at the ocean surface, which is a major 181 component of the OSA. Fresnel reflection is assumed to depend at a given wavelength,  $\lambda$ , on 182 the solar zenith angle,  $\theta$  and the refractive index of sea water (*n*), and the two-dimensional 183 distribution of the ocean surface slopes, *f*. As mentioned earlier we neglect the dependence 184 on the azimuthal angle of the incident radiation.

185 We follow Jin et al. (2001) and express the direct surface albedo ( $\alpha_{dir}^{S}$ ) as follows:

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187 
$$\alpha_{dir}^{S}(\lambda,\theta,w) = r_{f}(n(\lambda),\mu) - \frac{r_{f}(n(\lambda),\mu)}{r_{f}(n_{0},\mu)}f(\mu,\sigma)$$

188

189 where  $\mu = \cos(\theta)$ , *n* is the spectral refractive index of sea water,  $r_f$  is the Fresnel reflectance 190 for a flat surface and *f* is a function that accounts for the distribution of multiple reflective 191 facets at the ocean surface. Tabulated values for  $n(\lambda)$  are indicated in Table S1.  $n_0 = 1.34$ 192 corresponds to the refractive index of sea water averaged from 300n to 700 nm (i.e., visible 193 spectrum) for which the *f* function is estimated.

The interaction of incident shortwave radiation with the multiple reflective facets at the ocean surface of various angle and direction is difficult to model. It is nonetheless possible to represent statistically the distribution of slope of ocean reflective facets with a probabilistic function. The probabilistic function provided by Cox and Munk (1954) assumes a Gaussian distribution of mean slope facet as follows:

200 
$$p(tan\vartheta) = \frac{1}{\pi\sigma^2} \exp(\frac{-tan^2\vartheta}{\sigma^2})$$

201 where  $\vartheta$  is the facet angle, i.e., the angle between the normal to the facet and the normal to the 202 horizontal ocean surface and  $\sigma$  the width the distribution of the facet angle. The parameter  $\sigma$ , 203 also called the surface roughness, is modulated by the influence of surface (i.e. 10-meter) wind speed (w) as  $\sigma^2 = 0.003 + 0.00512 w$ . The formulation by Cox and Munk (1954) 204 205 assume that (1) shading influence of ocean facets is neglected and (2) ocean surfaces never 206 behave as a theoretical Fresnel surface (requiring  $\sigma = 0$ ). These approximations can impact  $\alpha_{dir}^{S}$  calculation at high SZA and/or in absence of winds. Besides, this formulation (based on 207 208 wind speed only) ignores the effect of the wind direction on the wind sea and the effect of 209 swell which both affect the distribution of slopes. This latter set of assumptions can also be 210 revised in the foreseeable future when climate models will include an interactive ocean wave 211 model.

In order to account for various impacts of multiple ocean surface facets to  $\alpha_{dir}^{S}$  including both multiple scattering (increasing surface reflection) and shading effect (reducing reflection), Jin et al. (2011) have proposed to express f as a polynomial function. This function intends to parameterize the mean contribution of multiple reflective facets at the ocean surface to  $\alpha_{dir}^{S}$ using only the parameters  $\mu$  and  $\sigma$ . This polynomial function is expressed as:

217  $f(\mu, \sigma)$ 

$$218 = (0.0152 - 1.7873\mu + 6.8972\mu^2 - 8.5778\mu^3 + 4.071\sigma - 7.6446\mu\sigma) \times \exp(0.1643)$$

$$219 - 7.8409\mu - 3.5639\mu^2 - 2.3588\sigma + 10.054\mu\sigma)$$

- 220 Coefficients of f have been fitted using several accurate calculations of  $\alpha_{dir}^{S}$  using a radiative
- 221 transfer model (Jin et al., 2006; 2005).
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#### 223 2-2-2 Fresnel surface albedo for diffuse radiation

224 The amount and distribution of incident diffuse radiation strongly depend on the amount and 225 characteristics of cloud and aerosols. It is therefore difficult to derive an analytical 226 formulation for  $\alpha_{dif}^{S}$  from a BRDF that would be applicable to all atmospheric conditions. We therefore choose to use the simple expression for the diffuse surface albedo  $(\alpha_{dif}^{S})$  under cloudy sky proposed in Jin et al. (2011) which is:

229  $\alpha_{dif}^{S}(\lambda, w) = -0.1479 + 0.1502 n(\lambda) - 0.0176 n(\lambda)\sigma$ 

- 230 with  $\sigma$  and *n* defined as previously.
- 231

#### 232 **2-3** Contribution of the ocean interior reflectance to surface albedo

233 In this section, we describe the contribution of the ocean interior reflectance to the ocean surface albedo,  $\alpha^{W}$ . It is caused by solar radiation penetrating the ocean but eventually 234 235 returning to the atmosphere after one or multiple reflections within the sea water volume. 236 Below the ocean surface, solar radiation interacts not only with sea water but also with 237 material in suspension in the water like the marine biological pigment or detrital organic 238 materials (DOM). Previous studies show that DOM can influence radiative properties of the 239 open ocean (e.g., Behrenfeld and Falkowski, 1997; Dutkiewicz et al., 2015; Kim et al., 2015). 240 However, we chose to solely account for the influence of the marine biological pigment which 241 is characterized by its chlorophyll content because the influence of DOM on ocean surface 242 albedo is expected to be small compared to the surface chlorophyll. Furthermore the 243 abundance of chlorophyll in sea water is monitored from space since decades (e.g., 244 Behrenfeld et al., 2001; Siegel et al., 2002; Yoder et al., 1993) by so-called ocean color 245 measurements. Such observations can provide a climatology to use in the climate model in 246 absence of ocean biogeochemical module.

247

Over the uncapped ocean surface, the fraction of direct radiation penetrating into the uppermost layer of the ocean  $1 - \alpha_{dir}^{S}$  interacts with the sea-water, which has a reflectance  $R_0$ . Upwelling radiation can be reflected downward at the air-sea interface with a reflectance  $r_w$ . Therefore, the contribution of multiple reflections of the penetrating radiation to the ocean albedo takes the form of the following Taylor series:

253 
$$\alpha^{W}(\lambda,\theta,Chl) = (1 - \alpha_{dir}^{S})(1 - r_{w})R_{0}(1 + r_{w}R_{0} + (r_{w}R_{0})^{2} + (r_{w}R_{0})^{3} + \cdots)$$

which can be arranged as follows:

255 
$$\alpha^{W}(\lambda,\theta,Chl) = \frac{(1-r_{w})R_{0}}{1-r_{w}R_{0}}(1-\alpha_{dir}^{S})$$

256 We employ the formulation of  $r_w$  and  $R_0$  proposed by Morel and Gentili (1991).

257 These authors express  $r_w$  as function of surface roughness  $\sigma$ , that is:  $r_w = 0.4817 - 0.0149\sigma - 0.2070\sigma^2$ 

 $R_0$  represents an apparent optical property of sea water, which can be written as follows:

260 
$$R_0(\lambda, \eta, \mu, Chl) = \beta(\eta, \mu) \frac{0.5b_w(\lambda) + b_{bp}(\lambda, Chl)}{a_w(\lambda) + a_{bp}(\lambda, Chl)}$$

261 where  $a_w(\lambda)$  and  $b_w(\lambda)$  are the absorption and backscattering coefficients of sea water (in m<sup>-</sup>

262 <sup>1</sup>);  $a_{bp}(\lambda, Chl)$  and  $b_{bp}(\lambda, Chl)$  are absorption and backscattering coefficients of biological

263 pigments (i.e., the chlorophyll).

264  $\beta(\eta, \mu)$  is function of sea water and biological pigment backscattering and can be written as:

265 
$$\beta(\eta,\mu) = 0.6270 - 0.2227\eta - 0.0513\eta^2 + (0.2465\eta - 0.3119)\mu$$

266 where 
$$\mu = \cos(\theta)$$
 and  $\eta = \frac{0.5b_w(\lambda)}{0.5b_{w(\lambda)} + b_{bp(\lambda,Chl)}}$ .

267 Backscattering of biological pigment,  $b_{bp}$ , is computed using the formulation proposed in

Morel and Maritorena (2001) which uses chlorophyll concentration, [*Chl*], as a surrogate of biological pigment concentration as follows:

270 
$$b_{bp}(\lambda) = 0.416[Chl]^{0.766}(0.002 + \frac{1}{100}(0.50 - 0.25\ln[Chl])\left(\frac{\lambda}{550}\right)^{0.5(\ln[Chl] - 0.3)})$$

- 271 with  $\lambda$  expressed here in nm and [*Chl*] in mg m<sup>-3</sup>. This formulation is valid for [*Chl*] ranging 272 between 0.02 and 2 mg m<sup>-3</sup>.
- 273 The absorption of biological pigment,  $a_{bp}(\lambda, Chl)$ , is also computed using Morel and 274 Maritorena (2001) formalism:

275 
$$a_{bp}(\lambda) = 0.06 a_{chl}(\lambda) [Chl]^{0.65} + 0.2(0.00635 + 0.06 [Chl]^{0.65}) e^{0.014*(440-\lambda)}$$

276 where  $a_{chl}(\lambda)$  is the absorption of chlorophyll in m<sup>-1</sup> and  $\lambda$  and [*Chl*] as previously defined.

277

278 Previous estimates of  $a_{chl}(\lambda)$ ,  $a_w(\lambda)$  and  $b_w(\lambda)$  used in by Morel and Maritorena (2001) 279 cover values for wavelengths ranging between 300 to 700 nm. Therefore, we have combined and interpolated several sets of tables of coefficients in order to solve consistently  $\alpha^W$ ,  $\alpha^S_{dir}$ 280 and  $\alpha_{dif}^{S}$  across the same range of wavelengths (i.e., from 200 to 4000 nm).  $a_{w}(\lambda)$  has been 281 282 derived from tables provided by Smith (1982) and Irvine and Pollack (1968), which spans 200 283 to 800 nm and 800 to 4000 nm, respectively.  $a_{chl}(\lambda)$  has been derived from values published 284 in Frigaard et al. (1996) which differ from those in Morel and Maritorena (2001) (Figure 2). 285  $b_{w}(\lambda)$  is estimated from sea water backscattering coefficients published in (Morel and 286 Maritorena, 2001) that have been interpolated from 300 to 700 nm to 200 to 4000 nm with 287 polynomial splines. Tabulated values for  $a_{chl}(\lambda)$ ,  $a_w(\lambda)$  and  $b_w(\lambda)$  are given in Table S1.

The difference in the contribution of the ocean interior reflectance to the ocean surface albedo for direct and diffuse essentially stems from the incident direction of incoming radiation. In the case of ocean interior reflectance for direct incoming radiation,  $\alpha_{dir}^W$ ,  $\mu = \cos(\theta)$  whereas in the case of ocean interior reflectance for diffuse,  $\alpha_{dif}^W$ ,  $\mu = 0.676$ . This value is considered as an effective angle of incoming radiation of 47.47° according to Morel and Gentili (1991). Hence  $\alpha_{dif}^W(\lambda, Chl) = \alpha_{dir}^W(\lambda, \arccos(0.676), Chl)$ .

296

### 297 2-5 Computation of OSA

With the various components of OSA being now parameterized, the OSA for direct and diffuse radiation are estimated as follows:

$$300 \quad OSA_{dir}(\lambda,\theta,w,Chl) = (\alpha_{dir}^{S}(\lambda,\theta,w) + \alpha_{dir}^{W}(\lambda,\theta,Chl))(1 - WC(w)) + WC(w)\alpha^{WC}(\lambda)$$

301 
$$OSA_{dif}(\lambda, \theta, w, Chl) = (\alpha_{dif}^{S}(\lambda, w) + \alpha_{dif}^{W}(\lambda, Chl))(1 - WC(w)) + WC(w)\alpha^{WC}(\lambda)$$

302

303 Since detailed atmospheric radiative transfer (e.g., Clough et al., 2005; Mlawer et al., 1997) 304 are now part of current generation of Earth system models, most of radiative codes resolve 305 radiation from near-ultraviolet (~200 nm) to near-infrared (~4000 nm) wavelengths. Here, we 306 design our scheme to compute both the spectral and broadband OSA. To this effect, the 307 scheme computes the OSA from  $\lambda_1 = 200$  to  $\lambda_2 = 4000$  nm with a resolution of 10 nm. The contribution of each wavelength interval  $d\lambda$  to OSA is weighted by its amount of solar 308 309 energy under the standard solar spectra ASTM E-490 AM0 (Shanmugam and Ahn, 2007), 310  $E(\lambda)$  assumption as follows.

311 
$$OSA(\theta, w, Chl) = \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda)OSA(\lambda, \theta, w, Chl) d\lambda / \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda) d\lambda$$

312

313 Tabulated values for  $E(\lambda)$  are given in Table S1.

314 Finally for the total incoming radiation, the OSA can be written as:

315  $OSA(\theta, w, Chl) = (F_{dir}OSA_{dir}(\theta, w, Chl) + F_{dif}OSA_{dif}(\theta, w, Chl))/(F_{dir} + F_{dif})$ 

316 where  $F_{dir}$  and  $F_{dif}$  are the downward surface fluxes of direct and diffuse radiation,

317 respectively.  $OSA(\theta, w, Chl)$  is then computed for each model ocean grid cell at each model

- time-step. it should be noted that the SZA used in LMDZ is the average of the SZA during the
- 319 daytime fraction of the time step.

#### 321 3 Contribution of various OSA components

322 In this section, we analyze the geographical structure of OSA which is decomposed as 323 follows:

$$324 \quad A_{dir}(\theta, w, Chl) = \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda)(\alpha_{dir}^S(\lambda, \theta, w) + \alpha_{dir}^W(\lambda, \theta, Chl)) d\lambda / \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda) d\lambda$$
$$325 \quad A_{dif}(\theta, w, Chl) = \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda)(\alpha_{dif}^S(\lambda, \theta, w) + \alpha_{dif}^W(\lambda, Chl)) d\lambda / \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda) d\lambda$$
$$326 \quad A_{WC} = \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda)\alpha^{WC}(\lambda) d\lambda / \int_{\lambda_1 = 200}^{\lambda_2 = 4000} E(\lambda) d\lambda = 0.174$$

327

328 where  $A_{dir}$  and  $A_{dif}$  are the broadband ocean surface albedos for direct and diffuse radiation 329 in the absence of whitecaps albedo; and  $A_{wc}$  is the broadband albedo of whitecaps.

330  $A_{dir}$ ,  $A_{dif}$  and  $A_{wc}$  have been estimated from offline calculations using Era-Interim forcing 331 fields from 2000 to 2009 at monthly frequency (Dee et al., 2011) and chlorophyll climatology 332 from SeaWiFS (Siegel et al., 2002). Compared to  $A_{dir}$  and  $A_{dif}$ ,  $A_{wc}$  is constant in space; 333 therefore its geographical structure arises from whitecaps coverage (WC).

334

Figure 3a show that  $A_{dir}$  displays a strong meridional gradient with high values over high latitude oceans and low values over the tropical oceans. It confirms that the solar zenith angle is the prominent drivers of  $A_{dir}$ . This albedo exhibits nonetheless geographical structure over the tropical oceans which are linked to the easterlies wind regimes which suggest that surface winds variability may imprint a small but noticeable influence on the ocean surface albedo for direct radiation.

341

Compared to  $A_{dir}$ ,  $A_{dif}$  does not exhibit such a large meridional gradient (Figures 3b).  $A_{dif}$ shows values close to 0.06. It displays nonetheless values > 0.06 over the subtropical gyres and values < 6% over the North Atlantic and the Southern Ocean in response to the 10 m wind speeds. Those patterns are related to surface winds pattern but also to the geographical structure of oligotrophic gyres with low chlorophyll values which reinforce the contribution of the ocean interior reflectance to surface albedo for the diffuse incoming radiation.

Figures 3c provides further insight on the regional influence of WC which display a broadband albedo of 0.174. Offline calculation of WC shows that whitecaps influence albedo for direct and diffuse radiation where westerly winds blow regularly, that is e.g., in the Southern Ocean, the North Atlantic and the North Pacific. A weaker but noticeable influence is also found over the tropical oceans.

354 While  $A_{wc}$  is larger than  $A_{dif}$ , and  $A_{dif}$ , the convolution of broadband albedo of the whitecaps 355 and their coverage results in maximal contribution of 0.003 to the broadband albedos for 356 direct and diffuse radiation. Yet, its strong albedo makes the whitecaps an important player at 357 interannual and climate timescales. Indeed, this component of OSA for direct and diffuse 358 radiation is subject to respond to the interannual variability of 10 m wind speed and also to 359 climate change. Indeed, the contraction of Southern Ocean westerly winds (e.g., Boening et 360 al., 2008) might induce subtle regional fluctuations in OSA that can feedback on the climate 361 response.

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## 364 4 Materials and methods

#### 365 4-1 Observations

To assess model reliability to simulate realistic OSA, we compare fields to available observations. For those observations, we estimate the time-averaged OSA from the ratio between the time-averaged upwelling and downwelling shortwave radiative fluxes provided in those datasets.

370

371 At local scale, we use the CERES Ocean Validation Experiment (COVE, (Rutledge et al., 372 2006)) ground-based measurements. This instrument ocean platform located at Chesapeake 373 bay provides continuous measurements of several radiative fluxes since 2001. In this study, 374 we use measurements of upwelling and downwelling global (i.e., direct and diffuse) 375 shortwave radiation averaged across several instruments 376 (https://cove.larc.nasa.gov/instruments.html).

377

378 At global scale, we perform model evaluation with retrievals from the CERES satellite 379 radiation measurements (Wielicki et al., 1996). CERES data provides estimates of global 380 shortwave radiation at top of the atmosphere and at the surface. In the present study, we focus 381 on surface estimates since our analyses aims at assessing the representation of ocean surface382 albedo.

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- 385 **4-2 Models**

## 386 **4-2-1 LMDZ v5A**

1387 LMDZ is an atmospheric general circulation model developed at the Laboratoire de 1388 Météorologie Dynamique. The version of this atmosphere model, so-called LMDZ v5A, is 1389 described in detail in Hourdin et al. (2013) ; it is part of the main IPSL climate model used for 1390 CMIP5 and described in Dufresne et al. (2013) (IPSL-CM5A). The atmospheric resolution is 1391 96x95 on the horizontal and 39 layers on the vertical. The old OSA scheme in this version of 1392 LMDZ is based on the formulation of Larsen and Barkstrom (1977). It is parameterized in 1393 terms of  $\mu$  as follows:

394 
$$\alpha_{dir}^{S}(\theta) = \frac{0.058}{\mu + 0.30}$$

395 Consequently, OSA varies between 0.0446 for a sun at zenith and 0.193 for a sun at the 396 horizon. Direct and diffuse radiation are not distinguished and only a broadband albedo is 397 used in the visible spectrum ( $\alpha_{dif}^{S} = \alpha_{dir}^{S}$ ).

In LMDZ, the partitioning between direct and diffuse light is derived from the presence ofcloud in the atmosphere model grid-cell.

400

We assess simulated OSA using an atmosphere-only simulation with prescribed radiative forcing (greenhouse gases, aerosols, land-cover change) and fixed sea-surface temperature as recommended by CMIP5 (Taylor et al., 2011). LMDZ has been integrated from 1979 up to 2012 under this protocol.

Similarly to the observations, simulated OSA at a given frequency is derived from ratio
between the time-averaged upwelling and downwelling shortwave radiative fluxes at that
frequency.

408

## 409 4-2-2 ARPEGE-Climat v6.1

ARPEGE-Climat v6.1 derives from ARPEGE-Integrated Forecasting System (IFS), the
operational numerical weather forecast models of Météo-France and the European Centre for
Medium-Range Weather Forecasts (ECMWF). Compared to version used in (Voldoire et al.,
2013), several improvements in atmospheric physics have been implemented. They consist in

414 a new vertical diffusion scheme which solves a prognostic turbulent kinetic energy equation 415 following Cuxart et al. (2000), an updated prognostic microphysics representing the specific 416 masses of cloud liquid and ice water, rain and snow, as detailed in Lopez (2002), and a new 417 convection scheme known as the Prognostic Condensates Microphysics Transport PCMT 418 (Guérémy, 2011; Piriou et al., 2007). ARPEGE-Climat v6.1 is implicitly coupled to the 419 surface model called SURFEX (Masson et al., 2013), which considers a diversity of surface 420 formulations for the evolution of four types of surface: land, town, inland water and ocean. 421 The old OSA formulation implemented in SURFEX follows Taylor et al. (1996). This scheme enables the computation of  $\alpha_{dir}^{S}$  as a function of  $\mu$ : 422

423 
$$\alpha_{dir}^{S}(\theta) = \frac{0.037}{1.1\mu^{1.4} + 0.15}$$

424 Since this schema does not enable computation of  $\alpha_{dif}^{S}$ ,  $\alpha_{dif}^{S}$  is set to a constant value of 425 0.066.

426 Like LMDZ, the partitioning of direct and diffuse radiation depends on the cloud cover in the427 atmospheric model grid-cell.

428

429 Simulations performed with ARPEGE-Climat also consists in an AMIP simulations as
430 LMDZ, except for sea-surface temperature which relies on data recommended by CMIP6
431 (Eyring et al., 2016a). This simulation also spans from 1979 up to 2012.

432 Analyses are complemented using another simulation of ARPEGE-Climat in which the 433 resolved dynamics is nudged towards that of Era-Interim. Nudging consists in restoring the 434 model wind divergence and vorticity and the surface pressure towards those from Era-Interim. 435 The restoring timescale is 12 hours for the wind divergence and surface pressure and 6 hours 436 for the wind vorticity. This simulation is employed hereafter as a kind of reference of what 437 could be expected by the OSA parameterization if the wind spatio-temporal properties were 438 "realistic". In this case, only the direct-to-diffuse incident radiation partitioning remains tied 439 to ARPEGE-Climat. This simulation replicates the chronology of the observed day-to-day 440 variability of 10m wind speed and hence is expected to be closer to the ground-based 441 observations.

For those models simulations, simulated OSA at a given frequency is diagnosed from ratio between the time-averaged upwelling and downwelling shortwave radiative fluxes at that frequency.

- 445
- 446

#### 447 **5** Comparison of analytical calculation

In order to better understand changes in simulated OSA, we compare first analytical solution of old and new interactive OSA schemes used in the two atmospheric models for both direct and diffuse radiation (Figure 4). We also compare old and new interactive OSA schemes to Payne (1972) OSA scheme that is currently used in numbers of atmospheric and ocean

- 452 models such as NEMO (Madec, 2008).
- 453 Figure 4a shows that old OSA schemes for direct radiation differ in term of response to solar 454 zenith angle. Indeed, for a given solar zenith angle, the scheme used in LMDZ (Larsen and 455 Barkstrom, 1977) leads to a greater OSA than that used in ARPEGE-Climat (Taylor et al., 456 1996). The shape of the response to variations in solar zenith angle suggests that the scheme 457 used in ARPEGE-Climat leads to a slightly stronger meridional gradient in OSA than that 458 used in LMDZ. Interestingly, the new scheme produces OSA values bracketed by those of old 459 algorithms, except for small solar zenith angle. Under this condition, the effect of winds is to 460 increase OSA up to 0.072. It also displays a greater response to variations in solar zenith 461 angle which differs substantially from those given by the old schemes.
- 462 Compared to Payne (1972) OSA scheme old and new schemes used in the two atmosphere
  463 models exhibit a weaker meridional gradient in OSA (Figure 4a). However, the meridional
  464 gradient as estimated by Payne (1972) is similar to that produced by Taylor et al. (1996)
  465 because their formulations solely differ by a coefficient; that is 0.037 for Taylor et al. (1996),
  466 0.05 for Payne (1972).
- 467

Differences in OSA for diffuse radiation presented in Figure 4b are noticeable. They clearly illustrate modelling assumptions in the old schemes. Indeed, old schemes have been built on *ad hoc* formulations. Neither Taylor et al. (1996) nor Larsen and Barkstrom (1977) have provided a differentiated OSA for direct and diffuse radiation. This is why OSA for diffuse radiation is set to 0.06 (corresponding to the angular average of the OSA for direct radiation) in ARPEGE-Climat, whereas that of LMDZ is equal to the OSA for direct radiation from Larsen and Barkstrom (1977).

Figure 4b shows that the new interactive scheme displays feature similar to the diffuse OSA used in ARPEGE-Climat or that estimated from Payne (1972). This scheme produces nonetheless slightly larger values which can fluctuate in response to other drivers. The old OSA for diffuse radiation employed in LMDZ responds to variations in solar zenith angle while it should not. Errors related to this erroneous representation of OSA for diffuse radiation is also modulated by the partitioning between direct and diffuse radiation estimated

- 481 by the atmospheric model.
- 482

#### 483 6 Evaluation at COVE station (36.905°N, 75.713°W)

In this section, we employ COVE daily data to assess the simulated OSA by both atmospheric models at local scale. OSA is computed here as the ratio of averaged radiation fluxes at daily resolution for both ground-based observations and models. Such an evaluation is fundamental because it relies on direct ground-truth observations over the ocean surface and hence provides a more accurate assessment of the OSA scheme as compared to the global-scale satellite-derived estimates developed in the following sections.

490

491 Figure 5 shows how well model using old and new interactive OSA scheme behaves at daily 492 frequency compared to the ground-based observations at COVE station from 2001 to 2009. 493 Figure 5 and Figure S1a clearly shows that both old OSA schemes of ARPEGE-Climat or 494 LMDZ fail at replicating day-to-day OSA variations at the COVE station. Comparatively, 495 Figure 5 and Figure S1b emphasizes how much the new interactive scheme improves OSA as 496 simulated by both atmospheric models. Indeed, the simulated OSA are now consistent with 497 observation at COVE station, with temporal correlation greater than 0.3. However, the models 498 fail at replicating the large OSA values occurring during the winter in ground-based 499 observations.

500

501 Those findings are reinforced when we compare the probability density function (pdf) 502 estimated from daily-mean OSA as simulated by models against that derived from ground-503 based observations (Figure 6). This analysis provides further insight on how old and new 504 interactive OSA schemes behave at COVE station. Figure 6 confirms that old schemes fail at 505 capturing the day-to-day variations in OSA. Indeed, day-to-day variations in OSA estimated 506 from old schemes arise from day-to-day variations in SZA and to a lesser extent to variations 507 in direct-to-diffuse ratio of incident radiation which are related to the cloud cover. As shown 508 in Figure 4, old OSA schemes crudely represent diffuse albedo. Therefore, errors in direct-to-509 diffuse ratio of incident radiation imprint errors in the simulated OSA. Consequently, day-to-510 day variations are better reproduced when the albedo for diffuse radiation is realistically 511 simulated (Figure 6). In particular, the new interactive scheme captures the minimum OSA 512 values occurring during the summer which are lower than 0.06.

- 513
- 514

515 At seasonal scale, OSA estimated from averaged radiative fluxes agrees with the above-516 mentioned findings for ground-based observations and models. Figure 7 clearly shows that 517 old OSA schemes do not capture seasonal variations of observed OSA. Correlation between 518 observation-derived OSA and that simulated by both models is 0.32 for ARPEGE-Climat and 519 0.28 for LMDZ, which is very low, indicating an unrealistic representation of OSA. 520 Comparison with CMIP5 atmosphere models shows that OSA as simulated by ARPEGE-521 Climat or LMDZ are in the range of CMIP5 models (0.04-0.17), confirming the large 522 uncertainties related to simulated OSA in state-of-the-art climate model. While several 523 CMIP5 models replicate seasonal variation in OSA, most of them exhibit large biases in 524 simulated OSA compared to the observation-based estimate. Only ACCESS1-3, BNU-ESM, 525 HadCM3, MIROC-ESM and MIROC-ESM-CHEM display a mean seasonal cycle of OSA 526 comparable to the observation-based estimate at COVE station. For ARPEGE-Climat, this 527 erroneous representation of OSA at seasonal scale leads, at least for this location, to a systematic bias in the surface energy budget of  $+3 \text{ W m}^{-2}$  in winter and  $-1.5 \text{ W m}^{-2}$  in summer. 528 529 It is thus likely that large deviation in OSA as simulated by CMIP5 lead to substantial errors 530 in energy flow at the air-sea interface.

Figure 7 shows significant improvements in the simulated OSA in both models using the new interactive scheme. In both models, the simulated seasonal cycle of OSA replicates the minimum observed during the summer. Although using the new interactive OSA scheme, both models do not capture large values of OSA of 0.10 occurring during the winter. That said, model-data comparison shows that correlation with observations has been improved. Indeed, correlation between observed and simulated daily values over a mean yearly cycle has increased from 0.23 to 0.84 in LMDZ to 0.32 to 0.86 in ARPEGE-Climat.

538

539 Although improved, Figures 5, 6 and 7 show that new interactive OSA scheme seems to 540 suffer from a systematic bias in winter and miss OSA values greater than 0.10. This is 541 supported by the fact that this systematic bias is displayed for all model estimates 542 independently from the atmospheric physics and dynamics (i.e., LMDZ, ARPEGE-Climat and 543 nudged OSA). That being said, some other possible reasons can explain such deviations 544 between models and ground-based data. First, current atmosphere models suffer from 545 systematic errors in the ratio of direct-to-diffuse radiation which can be related to bias in 546 cloud cover or aerosol optical thickness (as shown in Figure S2). A larger-than-observed 547 atmospheric optical depth in winter may favor diffuse path with the respect to the direct path, 548 resulting in a lower-than-observed OSA Second, coarse resolution atmospheric models are not able to replicate the mesoscale meteorological and oceanic conditions at this very location. Differences in surface wind between the models and field conditions can increase the contribution of whitecaps albedo with the respect to that of the Fresnel reflectance. Third, local ocean conditions and the presence of ocean waves resulting from remoted wind influence (i.e., swell) are not simulated by the atmospheric models. This would lead to an underestimate of the contributions of both whitecaps and Fresnel reflectance.

555

#### 556 7 Global-scale evaluation

#### 557 **7-1 Climatological mean**

558 This section is dedicated to evaluate OSA at global-scale using global satellite product that 559 are routinely used in Earth system models evaluation (Eyring et al., 2016b; Gleckler et al.,

560 2008). We thus use OSA retrieved from CERES surface product to assess the simulated OSA

- 561 by ARPEGE-Climat and LMDZ.
  - Figure 8 presents geographical pattern of OSA as simulated by ARPEGE-Climat and LMDZ
    using Taylor et al. (1996) and Larsen and Barkstrom (1977) schemes, respectively. These old
    OSA schemes were used during CMIP5 and thus give an idea of errors in the models'
    radiative budgets.
- 566 Globally, the simulated OSA overestimates the CERES-derived estimate (~0.058) by about 567 0.007. Yet, the most striking feature is the substantial differences in the meridional structure. 568 CERES-derived OSA shows maximum values over the high-latitudes oceans and minimum 569 values over the tropical oceans. None of the models using old schemes are able to capture this 570 meridional structure. Deviations are particularly high for LMDZ, which hardly replicates 571 maximum OSA over high-latitude oceans and minimum OSA over tropical oceans. Both 572 models exhibit poor spatial correlation with -0.03 for LMDZ and 0.40 for ARPEGE-Climat. 573 Model-data errors in OSA mirror model bias in surface upwelling shortwave radiation, which
- amounts to ~7 W m<sup>-2</sup> over the tropical oceans compared to CERES.
- 575 The new interactive scheme improves favorably the comparison with observations (Figure 8).
- 576 Indeed global mean OSA is equal to 0.062 for LMDZ and 0.057 for ARPEGE-Climat, which
- 577 better matches the value derived from CERES data. As such, the model bias in surface
- 578 upwelling shortwave radiation has been reduced by  $\sim 1 \text{ W m}^{-2}$  in average over the ocean and
- 579 by up to ~5 W m<sup>-2</sup> over the tropical oceans.
- 580 Both models capture the meridional structure of the OSA with spatial correlations of about
- 581 0.82 for LMDZ and 0.86 for ARPEGE-Climat. Nonetheless, the simulated OSA displays
- 582 some biases. In LMDZ and ARPEGE-Climat, the modeled OSA over the North Atlantic is

583 slightly overestimated and shifted to the South. Major differences between simulated OSA are 584 noticeable over the tropical oceans, where models differ in terms of zonal structure. LMDZ 585 displays OSA of ~0.06 over Eastern boundary upwelling systems, which is slightly too high 586 compared to CERES. Differences in the OSA geographical structure between ARPEGE-587 Climat and LMDZ arise from differences in 10-meter wind speed (Figure S3) and direct-to-588 diffuse incident radiation as diagnosed from the simulated cloud cover (Figure S4). Large-589 scale deviations between models and observations seem to be related to differences in 10-590 meter wind fields (Figure S3). Model-data deviations in OSA at the regional scale rather 591 mirror biases in total cloud cover (Figure S4). This is especially clear over low-latitude oceans 592 where LMDZ overestimate OSA over the eastern boundary upwelling systems where LMDZ 593 overestimates the cloud cover (Figure S4). This result is expected since over the low-latitude 594 oceans the contribution of diffuse OSA is stronger than that of direct OSA (Figures 3 and 4).

595

## 596 7-2 Seasonal variability

597 Figure 9 compares the simulated and CERES-derived OSA on the seasonal scale. This time 598 scale matters for modelling accurately the Earth's climate because the flow of incoming 599 radiation fluctuates up to one order of magnitude between winter and summer at high 600 latitudes.

Figure 9abc shows that both models using old OSA schemes hardly reproduce the seasonal cycle of OSA derived from CERES. This is particularly the case for LMDZ, which produced an unrealistic seasonal cycle for OSA. LMDZ fails at simulating maximum OSA during the winter of both hemispheres. Instead, extreme values of simulated OSA occur at 50°N and 50°S during the summer. Simulated OSA in ARPEGE-Climat does not present these features but is biased high at all seasons.

With the new interactive scheme, the seasonal OSA is improved in both models (Figure 9de).
The simulated OSA matches that derived from CERES at seasonal scale, with high values
during the winter and low values between 30°S and 30°N. Improvement is especially
noticeable for LMDZ which captures the observed seasonal cycle of OSA.

611 However, a few errors remain in the simulated OSA. In LMDZ, OSA is slightly too high 612 compared to CERES (~0.002) in boreal and austral summer. Nonetheless, simulated OSA 613 reproduces realistic OSA values in the tropics (~5.2%). In ARPEGE-Climat, instead, the 614 simulated OSA seems slightly too low compared to CERES (~-0.002). This leads ARPEGE-

615 Climat to overestimate the fraction of low-OSA ocean. Interestingly this bias solely concerns

616 the tropical oceans. Indeed, simulated OSA over high-latitude oceans displays realistic

617 features at the seasonal scale. The fact that errors in ARPEGE-Climat and LMDZ are of 618 different signs tends to suggest that the new interactive scheme is not intrinsically biased. It 619 rather points to biases in driving fields such as the surface wind speeds or the ratio between 620 direct and diffuse shortwave radiation simulated by either ARPEGE-Climat or LMDZ (Figure 621 S2).

- 622
- 623

#### 624 8- Conclusions

625 In this paper, we have detailed a new interactive scheme for ocean surface albedo suited for 626 Earth system models. This scheme computes the ocean surface albedo accounting for the 627 spectral dependence (across a range of wavelengths between 200 and 4000 nm), the 628 characteristics of incident solar radiation (direct of diffuse), the effects of surface winds, 629 chlorophyll content and whitecaps in addition to the canonical solar zenith angle dependence. 630 This scheme enables an improved air-sea exchange of solar radiation. It thus provides a much 631 more physical basis to resolve the radiative transfer at the interface between the atmosphere 632 and the upper ocean and offer a suite of processes that are included in complex stand-alone 633 ocean radiative transfer software such as HYDROLIGHT (Ohlman, Siegel and Mobley, 634 2000). This work can be extended to include a coupling to an ocean wave model that would 635 provide a more realistic distribution of ocean surface state.

636

Although direct and diffuse albedos were included in the old ocean albedo schemes of the two atmospheric models used here, our results demonstrate that their assumptions employed for diffuse albedo (i.e., fixed values or equal to the direct albedo) are not realistic. The new interactive scheme improves its representation which leads to substantially reduce model-data error in ocean surface albedo over the low-latitude oceans.

642

643 Comparison to available dataset shows, for at least two state-of-the-art climate models, a 644 noticeable improvement in terms of simulated ocean surface albedo compared to their old 645 ocean surface albedo schemes. At the global scale, geographical pattern of simulated ocean surface albedo has been improved in both models. The simulated seasonal cycle also shows a 646 647 noticeable improvement, especially in LMDZ, with a better correlation to CERES data (up to 648 0.8). At the local scale, simulated ocean surface albedo also fits ocean surface albedo derived 649 from ground-based radiative measurements at daily resolution with an improved correlation 650 up to 0.8.

652 Compared to old schemes, the new interactive scheme is more complex and induces a small 653 increase in model elapsed time of about 0.2%. Although noticeable, this increase does not 654 preclude centennial-long simulation or high resolution model simulations.

655

Improved ocean surface albedo might lead to difference in the simulated climate or marine biogeochemistry dynamics which will be assessed in future work. Indeed, a difference of about 1% of simulated ocean surface albedo for a global mean irradiance of ~180 W m<sup>-2</sup> can induce a deviation in energy flow of the Earth system comparable to the impact of land-cover changes over land (Myhre et al., 2013).

661662

#### 663 **Code availability:**

664 The interactive ocean surface albedo code detailed in the paper is a part of the SURFEX 665 (V8.0) ocean scheme and is available as open source via http://www.cnrm-gamemeteo.fr/surfex/. SURFEX (V8.0) is updated at a relatively low frequency (every 3 to 6 666 667 months) and the developments presented in this paper are available starting from SURFEX 668 (V8.0). If more frequent updates are needed, or if what is required is not in Open-SURFEX 669 (DrHOOK, FA/LFI formats, GAUSSIAN grid), you are invited to follow the procedure to get 670 a SVN account and to access real-time modifications of the code (see the instructions at the 671 previous link. Besides, all the tabulated values use for this algorithm are available in the 672 supplementary materials.

673

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**Figure 1**: Pathways of solar radiation over oceans as described in the new interactive scheme.

963 Whitecaps, surface Fresnel or ocean interior influence of the reflection or the refraction of964 both direct and diffuse radiation.



Figure 2: Comparison of chlorophyll absorbance (m<sup>-1</sup>) as a function of wavelength (nm, in
log-scale) from Morel and Maritorena (2001) in blue to that of Frigaard et al. (1996) in red,
which is used in the new interactive OSA scheme.

- ....

## **Direct Surface**



(a)



## Whitecap



0.002 0.004 0.006 0.008 0.01 0.012 0.014 0.016 0.018

Figure 3: Maps of ocean surface albedo for (a) direct and (b) diffuse radiation in the absence
of whitecaps, and map of whitecaps surface coverage (c). Estimates are derived from offline
calculation using EraInterim forcings fields (Dee et al., 2011) from years 2000 to 2012 and
SeaWiFS chlorophyll climatology (Siegel et al., 2002) over year 1998-2007. Whitecap albedo
is constant in space and is equate to 0.174.

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986

(C)



**Figure 4**: Analytical solution for (a) direct and (b) diffuse ocean surface albedo as used in Taylor et al. (1996) and Larsen and Barkstrom (1977), and computed solution for the new interactive ocean surface albedo scheme, as a function of solar zenith angle. Analytical solution for direct and diffuse ocean surface albedo as derived from Payne (1972) formulation are also represented in both panels, because this parameterization is currently used in numbers of state-of-the-art atmospheric and ocean models. Hatching depicts potential variations related to changes in 10-meter wind speed and surface chlorophyll.

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1005 **Figure 5**: Ocean surface albedo at COVE station (36.905°N, 75.713°W) from 2005 to 2009.

Panel (a) compares daily-mean time series of ocean surface albedo as derived from groundbased observations (in red) and as reconstructed with ARPEGE-Climat nudged toward EraInterim (dark blue). Panel (b) displays, for the sake of clarity, time series of daily-mean Ocean surface albedo smoothed using a 5-day moving average for both observations and model results. All daily-mean time-series from 2001 to 2015 are displayed in Figure S1. Ocean surface albedo simulated by ARPEGE-Climat (in blue) and LMDZ (in green) using old or the new interactive scheme are indicated with dashed or solid lines, respectively.

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**Figure 6**: Probability density function of daily-mean ocean surface albedo at COVE station (36.905°N, 75.713°W) derived from daily-mean time series over years 2001 to 2013. Ocean surface albedo derived from ground-based observations and as reconstructed with ARPEGE-Climat nudged toward EraInterim are indicated in red and dark blue, respectively. Ocean surface albedo simulated by ARPEGE-Climat (in blue) and LMDZ (in green) using old or the new interactive scheme are indicated with dashed or solid lines, respectively.





1025 Figure 7: Mean seasonal cycle of ocean surface albedo at COVE station (36.905°N, 1026 75.713°W) derived from daily-mean time series over years 2001 to 2013. Ocean surface 1027 albedo derived from ground-based observations and as reconstructed with ARPEGE-Climat 1028 nudged toward EraInterim are indicated in red and dark blue, respectively. Ocean surface 1029 albedo simulated by ARPEGE-Climat (in blue) and LMDZ (in green) using old or the new 1030 interactive scheme are indicated with dashed or solid lines, respectively. For comparison, the 1031 mean seasonal cycle of ocean surface albedo at COVE as simulated by available CMIP5 1032 models is represented by thin grey lines.



**Figure 8**: Decadal-mean climatology ocean surface albedo as (a) estimated from CERES satellite observations (Wielicki et al., 1996) and as simulated by LMDZ (b,d) and ARPEGE-Climat (c,e). In panels (b) and (c), LMDZ and ARPEGE-Climat use old ocean albedo schemes, that is Taylor et al. (1996) and Larsen and Barkstrom (1977), respectively. In panels (d) and (e), LMDZ and ARPEGE-Climat use employ the new interactive ocean surface albedo scheme. Decadal-mean climatology is derived from radiative fluxes averaged over years 2001 to 2014 for CERES estimates and 2000 to 2012 for both climates models.



1044 Figure 9: Hovmöller diagram representing the zonally-averaged ocean surface albedo as a 1045 function of month. The various panels display the ocean surface albedo as (a) estimated from 1046 CERES satellite observations (Wielicki et al., 1996) and as simulated by (b) LMDZ and (c) ARPEGE-Climat using old ocean albedo schemes, that is Taylor et al. (1996) and Larsen and 1047 1048 Barkstrom (1977), respectively. Panels (d) and (e) show OSA as simulated by the new 1049 interactive ocean surface albedo scheme for LMDZ and ARPEGE-Climat, respectively. 1050 Monthly-mean are derived from radiative fluxes averaged over years 2001 to 2014 for 1051 CERES estimates and from years 2000 to 2012 for both climates models.