



# A computationally efficient depression-filling algorithm for digital elevation models applied to proglacial lake drainage

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Abstract. We present and evaluate several optimizations to a standard flood-fill algorithm in terms of computational efficiency. As an example, we determine the land/ocean-mask for a 1 km resolution digital elevation model (DEM) of North America and Greenland, a geographical area of roughly 7000 by 5000 km (roughly 35 million elements), about half of which is covered by ocean. Determining the land/ocean-mask with our improved flood-fill algorithm reduces computation time by

- 10 90 % relative to using a standard stack-based flood-fill algorithm. In another experiment, we use the bedrock elevation, ice thickness and geoid perturbation fields from the output of a coupled ice-sheet sea-level equation model at 30,000 years before present and determine the extent of Lake Agassiz, using both the standard and improved versions of the flood-fill algorithm. We show that several optimizations to the flood-fill algorithm used for filling a depression up to a water level, that is not defined at forehand, decrease the computation time by up to 99 %. The resulting reduction in computation time
- 15 allows determination of the extent and volume of depressions in a DEM over large geographical grids or repeatedly over long periods of time, where computation time might otherwise be a limiting factor.

#### **1** Introduction

The problem of determining lake depth distributions for a given digital elevation model (DEM) is often encountered in scientific fields such as hydrology, (palaeo-) climatology and glaciology.

- 20 An important example is the routing of melt-water and the drainage of proglacial lakes that formed at the margins of the Laurentide ice-sheet. The retreat of the Laurentide ice-sheet during the last deglaciation and the corresponding release of large fluxes of fresh water into the Arctic Sea and the northern Atlantic Ocean have been linked to climatic events through disrupting the Atlantic Meridional Overturning Circulation (Barber et al., 1999, Clark et al., 2001, Li et al., 2012, Tarasov and Peltier, 2005, Teller et al., 2002). Melt-water from the remnants of Northern Hemisphere ice-sheets has also been shown to have influenced the climate system during the previous interglacial (Stone et al., 2016).
- Many studies have focused on reconstructing the direction and magnitude of the freshwater flux from geological data (Broecker et al., 1989, Hillaire-Marcel et al., 2007, LaJeunesse and St.-Onge, 2008, Törnqvist et al., 2004). More recently these freshwater fluxes have been estimated by stand-alone ice-sheet models (Goelzer et al., 2012, Marshall et al., 1999, Tarasov and Peltier, 2004).







Due to the influence of the local topography, part of the melt-water from the retreating ice-sheet was not directly released into the ocean system but temporarily stored in proglacial lakes, the largest of which is Lake Agassiz, along the southern margin of the ice-sheet. Lake Agassiz is estimated to have covered  $7.1 \times 10^5$  km<sup>2</sup> and contained approximately  $1.4 \times 10^{14}$  m<sup>3</sup> of water or 0.4 m global mean sea-level equivalent around 8.4 kyr BP, immediately prior to its catastrophic drainage (Kendall

- 5 et al., 2008). The size of the flood is however poorly constrained and higher numbers have also been published (e.g. Hijma and Cohen, 2010). It is therefore important to accurately model the extent and volume of the lake over time, since the presence of the lake affects both the timing and location of the melt-water release into the ocean system, as well as the local climate (Krinner et al. 2004). Doing this requires an accurate treatment of the large changes in the land/ocean-mask that occur where the ice-sheet covers most of the Canadian Arctic Archipelago and blocks the Hudson Strait. This changes the
- location where lake outflow reaches the sea over time. The flood-fill algorithm can be used to determine the land/oceanmask and account for this effect.
   When applying commonly used algorithms (Arnold, 2010, Doll and Lehner, 2002, Tarboton et al., 1991, Zhu et al., 2006) to a problem involving such large geographical grids and long time-scales, computation time can become a limiting factor, particularly when the geometry is changing over time and the procedure has to be repeated over many time steps.
- 15 In this study, we describe and evaluate several improvements to a standard algorithm for filling depressions in a DEM in order to improve the computational efficiency. The improved algorithm is applied to a 1 km resolution DEM, including an ice thickness distribution of North America 30,000 years ago. We determine both the land/ocean-mask and the size and extent of Lake Agassiz.

#### 2 Methodology

#### 20 2.1 Default algorithm

The problem of filling a depression up to a pre-defined level can be likened to filling a hole in a true/false-mask (whether or not the base level is below an a priori chosen water level). There are several algorithms for solving this problem, generally known as "flood-fill" algorithms (Zhu et al., 2006). They are commonly known for their use in the "bucket" tool of several paint programs. A thorough description of the stack-based flood-fill algorithm is given by Zhu et al. (2006). Here we

- 25 improve on this work, taking code written after their approach as a starting point. The algorithm starts with a "seed", defined as a designated element from where neighbouring elements are flooded. In many hydrological applications, the seed will be a local minimum in a DEM. Beside the seed element, the algorithm builds a "stack", which is an array listing the indices of the map elements. At each iteration of the algorithm, all stack elements are checked. If the elevation of a stack element is below the water level its corresponding map element is filled and it is removed from the stack and all its neighbours are added to
- 30 the stack. If the element does not lie below the water level it is removed from the stack without any further action. The iterations are continued until the stack is empty and the elements below the water level are identified. A pseudo-code example of this algorithm is illustrated below.







#### stack = seed WHILE (stack is not empty) FOR (all elements in stack) 5 **IF** (stack element lies below water level) remove element from stack FOR (8 neighbours of stack element) IF (neighbour is not filled and is not in stack) 10 add neighbour to stack END IF END FOR END IF END FOR 15 END WHILE

An example of a Matlab implementation of this algorithm is provided in the supplementary material, being script "fill 1km.m".

The number of operations required for filling a hole with this algorithm is approximately proportional to the number of elements of the depression. This means that application to a very large area with a high resolution can result in a long

- computation time, since doubling the resolution in the horizontal plane will quadruple the number of operations. A second issue is an efficient way to determine the water level. When creating the land/ocean-mask, the water level is known and the true/false-mask is created by checking for every single element whether its elevation is above or below the water level. In the case of a lake, the water level can either be determined by the water mass balance, with inflow and evaporation
- 25 in equilibrium. Alternatively, in the case of inflow that is not balanced by evaporation, the surrounding topography will limit the water level. In either case, the general solution to determining the water level is to start at the bottom of the depression and incrementally increase the water depth, either until the calculated evaporation becomes large enough to offset the inflow, or until the lake overflows.

A pseudo-code example of this algorithm is illustrated below.

30

```
water level = 0
WHILE (threshold is not reached)
increase water level
35 perform flood-fill
END WHILE
```

The threshold condition can be the overflowing of the lake into the sea, the total evaporation over the lake balancing total water influx, or any other logical condition.

40 Depending on the vertical resolution of the DEM and the accuracy required to determine the lake depth, such a calculation requires dozens to hundreds of iterations. This is not always a restriction for practical applications where one is interested in







the water runoff pathways and drainage basins for a given DEM. However, when performing an ensemble of simulations, or a simulation where the topography changes over time, reducing the computation time can be crucial for the performance and feasibility of the application and optimizations are required. In the next paragraph we present several optimizations to the standard flood-fill algorithm, which reduce computation time considerably for map with a large area or a high resolution.

#### 5 2.2 Optimizations

#### 2.2.1 Low-resolution block inspection

When filling a depression to a pre-determined water level, we strongly reduce the number of operations by creating a lower resolution "maximum topography" map. For example, any element of a 4 km maximum topography map will contain the highest value of the corresponding 4 x 4 block of 1 km elements. Consequently, we apply the flood-fill algorithm to this

10 lower resolution map. If the highest element of a 4 km x 4 km square lies below the water level, all sixteen 1 km elements must do, implying all of them can be flooded at once. This step yields a first coarse filling scheme without testing each individual element at the final higher resolution.

When the algorithm is finished, some elements may not yet be flooded where they should be, but the large majority of them will be filled already if the distribution is not too scattered. To identify those elements that still need to be flooded, we take

15 this intermediate map and stack from the 4 km fill and use these as a starting point for a 1 km fill. Applied to the 1 km map, the algorithm will only have to fill in the "fringes" of the depression - a much smaller area than has already been processed by the low-resolution fill. Figure 1 illustrates this.

In Fig.1, the 1 km true/false-mask is shown as a black overlay in all panels. The 4 km stack (light green) is initiated with a seed in the lower left corner of the map, panel A. The 4 km algorithm expands from this seed until no more elements can be

20 added. The final map (blue) and stack (light green) are shown in panel B. These are then converted to their 1 km equivalent, shown in panel C. As can be seen, the conversion conserves the filled elements. The 1 km algorithm then continues from this map and stack until no more elements can be added. The resulting map and stack coincides with the 1 km true/false-mask in panel D.

The decrease in computation time resulting from using the high-resolution algorithm is larger than the additional computation time required to create the low-resolution map and to convert the low-resolution stack to its high-resolution

equivalent. This is shown for an example in Sect. 3.1. A further increase in efficiency can be achieved by creating an additional medium-resolution map in between. For example, we can start with a 10 km fill, and then convert the resulting map and stack to 5 km resolution. Use the intermediate result for a 5 km fill algorithm, run this until completion, convert the resulting map and stack to their 1 km equivalents, and finalize

30 with the 1 km fill. Note that this will only work when the different resolutions are integer multiples of each other. Constructing rules for when this condition is not met is not evident, and will increase computation time, and is beyond the scope of this study.







A Matlab implementation of the fill algorithm is provided in the supplementary material, being script "Demo\_FillSea.m".

#### 2.2.2 Shoreline memory

In the case of a depression that must be filled up to overflow conditions, one small change to the flood-fill algorithm is straightforward. Any element that is filled at a certain water depth will also be filled for any higher water depth, meaning the

- 5 lake's shoreline will only expand outward when the water depth is increased. Hence, in the improved flood-fill algorithm, a stack element that is found to lie above the water level is not removed from the stack. Instead it is flagged and not inspected again in any further loops. This means that when the algorithm is finished, the stack contains all those and only those elements, which directly border filled elements but which are not filled, being the shoreline.
- When the water depth is increased during the filling of a depression, the final stack from the previous loop is taken as a starting point. This means that all elements of the final, deepest lake have only been inspected once during the iteration procedure. For a deep lake, which requires many depth increments to be filled, the decrease in the required computation time can be large in this way, as will be shown in Sect. 3.3.

## 2.2.2 Low-resolution lake depth estimation

When trying to fill a depression up to the level of overflowing, i.e. with no pre-determined water level, it is generally not possible to use the low-resolution block inspection algorithm presented in Sect. 2.2.1. This is because the algorithm needs to check if overflow is reached for every single depth increment, and must therefore perform a 1 km fill at every depth increment. As converting a high-resolution stack and map back to the lower resolution is not possible, we cannot use the final high-resolution shoreline to start a new low-resolution fill.

This issue is solved by performing a fill on a low-resolution average topography map. Although not mathematically necessary, the topography of a geographical area is usually smooth enough such that a low-resolution estimate will yield a water depth relatively close to the "true" water depth that would be calculated with a high-resolution fill. This means that, instead of starting at zero depth, we can initiate the algorithm with a depth slightly below the depth yielded by the lowresolution estimate. The lake at this depth will be close to its maximum extent, so we can use the block inspection method to efficiently fill the majority of the lake's central elements at low resolution, thus reducing the computation time. The lake's

25 fringes are filled with the high-resolution algorithm and the depth is increased incrementally until overflow is reached. Section 3.4 describes an experiment where this method is implemented. A pseudo-code example of this algorithm is illustrated below.

30

water level = 0

WHILE (threshold is not reached) increase water level perform flood-fill on 40 km average topography field





## END WHILE

```
5
```

10

decrease water level perform flood-fill on 40 km maximum topography field convert 40 km map and stack to 1 km equivalent WHILE (threshold is not reached) increase water level perform flood-fill on 1 km topography field END WHILE

A Matlab implementation of this algorithm is provided in the supplementary material, being script "Demo FillLake.m".

### **3** Results

All experiments are performed in Matlab R2014b on a 2013 iMac with a 3.2GHz Intel i5 processor and 8GB 1600MHz DDR3 Memory. Note that Matlab is an interpreted language and that the performance of the algorithm in a compiled language such as Fortran or C will generally be much faster. However, the relative improvements in performance of the optimized algorithms should be preserved.

#### 3.1 Low-resolution block inspection

As a first example, we use different versions of the flood-fill algorithm to determine the land/ocean-mask for a DEM of North America and Greenland (Amante and Eakins, 2009), using an oblique stereographic projection at 1 km resolution. The region covers an area of roughly 7000 by 5000 km, resulting in approximately 17 million ocean elements to be filled. This extremely large size is useful for testing the efficiency of different set-ups and is needed in order to model the pressure exerted by lakes in gravitationally consistent calculations during the evolution of ice-sheets in North America.

Creating the land/ocean mask depicted in Fig. 2 with the standard version of the flood-fill algorithm at a 1 km resolution takes approximately 82 seconds. This serves as benchmark to which all subsequent experiments will be compared.

We performed two series of experiments with block inspection at different resolutions. The first series considers a sequence of 40 km, 8 km, 4 km, 2 km and 1 km resolutions. The second series considers a sequence of 40 km, 20 km, 10 km, 5 km and 1 km resolutions. The results in terms of computational efficiency are presented in Table 1 and Table 2, respectively.

30 Both the conversion and filling parts of the Tables can be read as "coming from [row] resolution, going to [column] resolution". For example (Table 1; highlighted in yellow), converting a 40 km map and stack to their 1 km equivalent takes 0.20 seconds. A 1 km fill starting with that map and stack will then take 27.54 seconds. The diagonal elements in the filling parts of the tables indicate the computation time of a fill at that resolution starting from a single seed element.





An overview of several possible resolution schemes is given in Table 3. It shows that the most efficient scheme (40 km - 5 km - 1 km) is almost an order of magnitude faster than the default algorithm at 1 km resolution.

#### 3.2 Shape dependence

The total gain in efficiency from the improvements to the algorithm will of course depend on the details of the problem it is

- 5 applied to. In the optimized algorithm, the number of elements that can be filled at the low resolution roughly scales with the area of the depression, whereas the number of elements that need to be filled at the high resolution roughly scales with the depression's circumference. The gain in computational efficiency therefore depends largely on the shape of the depression, becoming larger when the depression becomes more circular.
- To illustrate this, the improved algorithm with a 40 km 5 km 1 km resolution scheme was applied to several versions of 10 the same topography field, passed through increasingly strong high-pass filters with a cut-off wavelength of 30km, so that the remaining topographical features that determine the shape of the depression are all too small to be visible on the lowresolution map in Fig. 3.

The results of the experiment are given in Table 4. The area of the ocean basin and therefore the number of elements that require filling does not change much. However, the compactness factor C of the ocean basin, which is defined as:

$$C = \frac{A}{4\pi \emptyset^2}$$

15 with Ø the circumference and A the area of the basin, decreases sharply when the small-scale topographical features become more prominent.

This illustrates that the gain in computational efficiency from the block inspection depends strongly on the shape of the topography in which a depression must be filled. Natural topographical features generally have a vertical scale proportional to their horizontal scale, which leads to the relatively circular shapes of most natural lakes. This means that, for most natural

20 topographies, the block inspection algorithm will substantially decrease the computation time required for filling depressions.

#### 3.3 Shoreline memory

Similar to the previous experiment, we consider the lake formation in the North American region 30,000 years ago as a second example. At this time, large parts of the North American continent were covered by the Laurentide ice-sheet. The

25 depression left in the bedrock by the weight of the ice, combined with the mass of ice damming off the Hudson Strait lead to the formation of a massive proglacial lake over the area of what is now known as the Hudson Bay. The situation is shown in Fig. 4.

We apply the flood-fill algorithm to the same 1 km resolution topographic map, combined with an ice thickness distribution, a bedrock deformation field and a geoid perturbation field (all generated by the ANICE-SELEN model - de Boer et al, 2014)

30 to determine the size of the lake. These data fields have a 40 km resolution and are used to perturb the 1 km resolution DEM,







such that the small topographic features are preserved. The 40 km resolution perturbation fields are mapped onto the 1 km resolution data fields using bilinear interpolation. The lake is found to cover an area of  $3.5 \times 10^6$  km<sup>2</sup>, with a volume of  $6.0 \times 10^{14}$  m<sup>3</sup> or about 1.7 meters eustatic sea-level equivalent of water.

- As a benchmark, we apply the standard flood-fill algorithm without any optimizations, using a 5 m depth increment. This 5 means that the algorithm fills the depression up to a certain water level, increases the depth by 5 m and fills the depression up to the new water level from the same seed, until the lake overflows into the sea. This run costs 351 seconds (almost six minutes) to complete. This implies that for a 120,000 year period which is resolved every 5 years, which is a time step typically used to resolve the last glacial cycle in an ice-sheet model, computational time is excessive relative to the approximately 50 hours such a simulation would otherwise require.
- 10 Performing the same test with a depth increment of 10 m takes 179 seconds. This is far less because for each depth interval the complete lake has to be filled, so the number of fills increases more or less linearly with the requested accuracy. A 5 m depth interval leads to an accuracy of about 3 % in the lake's volume, which is considered to be sufficiently accurate. By implementing the "shoreline memory" improvement described in 2.2.2 to the algorithm we improve the performance in
- 15 checked once, yielding a drastic reduction in computation time, which is particular relevant for large lakes. Filling the same lake at 1 km resolution only takes 23 seconds in the optimized case, a reduction in computation time of about 90 % with respect to the benchmark.

terms of computation time. As explained earlier, the improved shoreline memory implies that every element will only be

#### 3.4 Low-resolution lake depth estimation

As a final improvement, we implement the depth estimation method. This method starts by filling the same lake on the 40 20 km resolution topography, in order to get a depth estimate. The resulting lake is depicted in Fig. 4. It has a volume of 6.6×10<sup>14</sup> m<sup>3</sup>, overestimating the "true" 1 km volume by about 10 %. The 40 km estimate covers several river valleys visible in Fig. 5c, which should drain the lake. Since these valleys are only a few kilometers wide they do not appear on the smoothed 40 km resolution topography map. This causes the overestimation of the water level and the lake's extent and volume - hence a high resolution is needed. The resulting depth is reduced by an arbitrary amount of 20 % and a fill to this

25 new depth is performed on the 40 km maximum topography field. The resulting lake, which will serve as a starting point for the final 1 km fill, is depicted in Fig. 5b.

The water level is then incrementally increased, while using the 1 km fill algorithm, until the lake overflows into the sea. The complete process takes 4.4 seconds to complete. This is about 5 times faster than the 1 km fill with shoreline memory and about 80 times faster than the default implementation, a reduction in computation time of about 99 % with respect to the

30 benchmark experiment.

Note that the 20 % reduction in depth from the 40 km estimate is chosen somewhat arbitrarily. Depending on the smoothness of the local topography, it is possible that the 40 km estimate will be much more accurate. In such cases, it is justifiable to







reduce the depth less, and hence improve efficiency even more. For this reason, it is advisable to check if the depth reduction from the 40 km estimate is enough to prevent overflow. If not, the depth needs to be increased even further before starting the 40 km maximum topography fill.

## 4 Conclusions

- 5 We have presented and evaluated several optimizations to a standard flood-fill algorithm. When determining the land/oceanmask over a large grid, the optimized algorithm is up to 9 times faster than the default algorithm. When determining the extent and depth of Lake Agassiz from a given DEM and ice thickness map, the optimized algorithm is up to 80 times faster than the default algorithm. The gain in computational efficiency depends on the smoothness of the topography, with the largest reduction in computation time achieved when most of the volume of the depression is contributed by topographical
- 10 features with a horizontal scale larger than the lowest resolution of the block inspection method.

In the example given in this study, the ice thickness, bedrock deformation and geoid anomaly all initially had a 40 km resolution. In order to do a 1 km lake fill, these fields were interpolated onto a 1 km grid, which is computationally expensive. If all input fields are already at high resolution, they only need to be downscaled to a low resolution for the block

15 inspection step, which takes considerably less time. For this reason, the computation time for this interpolation step is not included in the results.

The algorithm presented here can take into account the bedrock deformation and geoid perturbation caused by the mass changes of an ice-sheet, if they have been calculated. These effects both influence the volume of a lake by deepening the basin and raising the water surface.

Any study involving either a very large grid or a large number of repeated simulations will greatly benefit from these optimizations. Examples include the modelling of large proglacial lakes, the dynamical modelling of run-off over an evolving ice-sheet, changes of water routing by tectonic activity and changes in a large water basin due to sea-level changes such as in the Mediterranean.

#### Code and data availability

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Several Matlab scripts containing different versions of the flood-fill algorithm, as referenced in this manuscript, are provided as supplementary material. Two large NetCDF files containing data files required to run these scripts are available online at doi:10.5194/gmd-2016-85-supplement.





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Figure 1: An illustration of the low-resolution block inspection improvement upon the default flood-fill algorithm. Black overlay: 1 km true/false-mask: dark green: unfilled; light green: stack; blue: filled. A: the 4 km fill is given a seed in the southwest corner of the map. B: the 4 km fill is completed. C: The 1 km map and edge created from the intermediate 4 km output. D: the result of the 1 km fill.



Figure 2: The present-day land/ocean-mask and the bedrock topography at 1 km resolution.









Figure 3: Land/ocean-mask for the same region, with the topography passed through a high-pass filter. Panel A: 40 % reduction in amplitude for wavelengths above 30km. Panel B: 60 % reduction. Panel C: 80 % reduction. Panel D: 90 % reduction. The ocean area increases slightly and the length of the coastline increases strongly.



Figure 4: North America, the Laurentide ice-sheet and Lake Agassiz, 30ky before present. The red outlined region is shown in close-up in Fig. 5.









Figure 5: The area around the eastern shore of the lake, outlined in red in Fig. 4. Panel A: The 40 km resolution estimate. Panel B: The result of the 40 km maximum topography fill to a water depth 20 % below that of the 40 km estimate. Panel C: The final 1 km lake. Note that the algorithm allows for ice to float when the water column is high enough, creating a small ice-shelf along the margin, visible in panel C where part of the lake's shoreline runs beneath the ice.





Table 1: Computation time in seconds for stack and map conversion between different resolutions and for flood-filling at different resolutions with different starting stacks, for the 40 km - 8 km - 4 km - 2 km - 1 km series. The yellow shaded numbers are explained in the text.

Stack and map conversion				Flood-fill							
	40	8	4	2	1		40	8	4	2	1
40	-	0.04	0.04	0.07	<mark>0.20</mark>	40	0.05	0.19	0.85	3.73	<mark>27.54</mark>
8	-	-	0.34	0.37	0.56	8	-	0.94	0.16	0.76	11.65
4	-	-	-	1.30	31.22	4	-	-	4.03	0.40	8.29
2	-	-	-	-	65.98	2	-	-	-	16.89	5.64
1	-	-	-	-	-	1	-	-	-	-	82.04

5 Table 2: Computation time in seconds for stack and map conversion between different resolutions and for flood-filling at different resolutions with different starting stacks, for the 40 km - 20 km - 10 km - 5 km - 1 km series.

Stack and map conversion				Flood-fill							
	40	20	10	5	1		40	20	10	5	1
40	-	0.04	0.03	0.05	0.21	40	0.06	0.02	0.05	0.18	13.78
20	-	-	0.08	0.08	0.34	20	-	0.17	0.02	0.12	11.26
10	-	-	-	0.24	0.43	10	-	-	0.62	0.08	9.28
5	-	-	-	-	1.07	5	-	-	-	2.45	7.97
1	-	-	-	-	-	1	-	-	-	-	82.11

Table 3: Total computation time relative to th	e 1 km benchmark experiment for severa	al resolution schemes, sorted ascending
1	4	, 8

Resolution scheme					Computation time reduction (%)
40	-	-	5	1	88.64
40	8	-	-	1	84.79
-	8	4	-	1	50.13
-	8	-	2	1	10.25
-	-	4	2	1	5.80
-	-	-		1	0.00







Table 4: Reduction in computation time for the 40 km - 5 km - 1 km resolution scheme with respect to the 1 km benchmark experiment for different bedrock topographies. Also listed are the area and compactness factor of the resulting ocean basin.

Filter (%)	Compactness factor	Ocean area (km <sup>2</sup> )	Computation time reduction (%)			
-100*	6.0e-2	1.72e7	95.48			
0	5.1e-3	1.72e7	91.56			
20	2.7e-3	1.76e7	89.37			
40	1.4e-3	1.77e7	74.48			
60	7.4e-4	1.76e7	58.89			
80	2.6e-4	1.79e7	35.35			
90	1.4e-4	1.86e7	18.72			
*30km low-pass filter; "smoothed" topography.						