The Scenario Model Intercomparison Project (ScenarioMIP) for CMIP6 Response to Review Comments

4 **Anonymous Referee #1**

5 Received and published: 23 May 20166

7 This paper describes the process for, and the selection of, policy-relevant scenarios for CMIP6. This is a major 8 high-profile MIP that directly feeds into assessments of future climate change, such as those that will be 9 produced by IPCC AR6. The scenarios trickle down to national assessments and hence have a relatively long 10 lifetime and a wide reach.

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I am afraid I have some rather fundamental problems with the approach this group are taking. The COP21 Paris Agreement represents a major global policy response to the issue of climate change. It perhaps represents the biggest and most high profile impact of science on policy in history. Yet this project barely mentions it. The only account taken is in some low-priority Tier 2 experiments described on page 14.

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I think this sends out a very poor message to world from the science community. It could be interpreted as a scientific disbelief in limiting warming to 1.5 or 2 degC. It says that we believe that the Paris agreement will ultimately fail and that 'business-as-usual' is the most likely scenario for the future. Having fought so hard to get the politicians to recognize the value of our science, we do not believe in their policy response.

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I am sure that it will be a challenge for the world to hit the Paris targets, so maybe the group is being realistic in selecting some of the very high-end scenarios. However, I stress again that this sends out a very poor message. Modeling groups will invest considerable time and effort into running these scenarios and they will be a major feature of IPCC AR6. Funders will ask why there is such a disconnect between policy and the scenarios. Perhaps the timing of the Paris Agreement was not ideal for this group, but to give it such a low billing shows distain for the political process.

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I expect the authors will argue for consistency between CMIP5 and CMIP6 in adopting the RCP levels of radiative forcing. But, in terms of comparing generations of models, there are plenty of experiments that have been run across many generations of CMIPs that enable this. The argument for consistency would have to relate to some consistency within policy discussions. I do not see why a policy maker would be spending their time worrying about the difference between SSP5-8.5 and SSP3-7.0 when they will be putting all their effort into worrying about how to limit warming to 1.5/2 degC.

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I am also sure the authors will argue robustly against any fundamental change of approach at this stage of the whole complex CMIP6 process as there is virtually no time to produce an alternative plan. If they do then I think the paper needs some pretty clear and strong arguments for going with the very high-end scenarios and also why it does not consider scenarios that would limit warming to 1.5 and 2 degC.

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41 We disagree with the reviewer in several ways and stress again that the ScenarioMIP design includes scenarios 42 that would limit warming to 1.5 and 2 degC. These can be used to inform climate targets introduced in Paris, as 43 we discuss below. However, it is also very important that the scenarios cover a wide range of forcing targets 44 because they support different types of climate research, dealing with questions related to the effectiveness of 45 climate policy but also the consequences of inaction.

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First, we don't agree that the paper communicates a message that scenarios leading to 2 C or lower are not
achievable, or that such scenarios are either not considered in the design at all or given low priority (the
reviewer argues both). Scenario SSP1-2.6 is anticipated to lead to about 1.6-1.7 C of warming (see figure 3;
similar to the temperature outcome for RCP2.6 in CMIP5) and is a Tier 1 scenario. Scenario SSPx-y (now referred
to as SSPa-b, and leading to about 1.9 W/m2 in 2100) is designed explicitly to have a high probability of 2100
temperature below 1.5 C, as is already described in section 3.2.2. Thus the design includes scenarios that directly

52 temperature below 1.5 C, as is already described in section 5.2.2. Thus the design includes scenarios that directly 53 address both of the goals mentioned in the Paris Agreement. In addition, as discussed in Section 3.1.1 we do not

54 make a judgment about the real-world feasibility of the scenarios in the design. Rather we require scenarios "to

be feasible in a narrow sense: that specific scenario outcomes could be produced with an integrated assessment
 model."

Some of this misperception of the content of the design may be due to the text not being explicit enough about
the relation of the design to the Paris agreement. We have therefore added text to section 2.2 (on ScenarioMIP
objectives) on p. 3 and also to section 3.2 (the descriptions of overall design and the individual scenarios) to
make this connection clearer and more prominent. In addition, we have added text to encourage research groups
interested in the difference in climate outcomes between these two scenarios (and by extension, the 1.5 and 2 C
targets) to run additional ensemble members of both (section 3.2.2, in the SSPa-b description).

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Regarding the critique that the high forcing scenarios need more justification to be included, we point to the fact 11 12 that the design is responsive to a wide range of objectives addressed by ScenarioMIP (see section 2.2). The 1.5 13 and 2 C targets agreed to in Paris are relevant but only one of several motivations for the ScenarioMIP experiments. Section 3.1.1 already indicates that the design aims to represent the range of scenarios used in the 14 literature, which extends up to (and beyond) 8.5 W/m2 by 2100. As indicated in the description for SSP5-8.5, the 15 16 highest scenario is planned for use by a number of MIPs interested in investigating climate science questions that benefit from a relatively strong signal in terms of forced response (see Table 2, indicating that four other MIPs 17 18 will use it). As indicated in the description for SSP3-7, the next highest scenario: "Baseline scenarios will be very 19 important to IAV studies interested in quantifying "avoided impacts," which requires comparing impacts in a mitigation scenario with those occurring in an unmitigated baseline scenario." As the reviewer indicates, an 20 21 additional motivation for running updated versions of the RCPs (including at the high end) is continuity. But that 22 continuity is not limited to the evaluation of climate models and their outcomes. It also applies to impacts and 23 mitigation literature based on the RCPs. Starting a new literature based only on new forcing pathways would be 24 ineffective from the point of view of the broader research community and for assessment processes.

26 Further Comments

Section 2.1 is perhaps interesting if you are into committee structures, but I do not think it is particularly
relevant for the paper as a whole. It could be reduced considerably.

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31 We believe some description of the process is important given that ScenarioMIP has multiple audiences rather 32 than a single, narrowly defined scientific community. In such a case, with competing interests about which scenarios should be chosen and prioritized for the design, the legitimacy of the outcome and its acceptance 33 34 across multiple audiences depends in part on transparency and inclusiveness of the process. This section 35 describes the participation of multiple communities in the development of the design. It also indicates a number 36 of scientific issues that were considered in producing the final outcome, addressing questions that are frequently 37 raised about why alternative designs may not have been pursued. The section is only about a page long and does 38 not add significantly to the length of the paper, and given the purpose it serves we do not feel reductions in its 39 *length are warranted.*

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Section 2.4. Many of the scientific questions addressed here are perennial; differences between similar scenarios, pattern scaling, emergent constraints. These can be addressed using CMIP5 models and, in some cases, the 1% per year CO2 experiments. What is new here? I would have liked to have seen a new set of scientific questions articulated and, moreover, a set of questions that have direct relevance to the policy landscape. This is arguably the most policy-relevant MIP but it seems to be addressing questions that are of more interest to a climate scientist like me.

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48 The ScenarioMIP design is indeed intended to allow policy relevant questions to be addressed. The approach is to 49 provide relevant climate model simulations to the entire climate change research community, so that they can be 50 used to support many studies of many different policy relevant questions. The aim is not to pick a few questions

- to answer within ScenarioMIP itself. This facilitation of a broad range of integrated research is spelled out as the
- 52 "highest priority" objective for ScenarioMIP in section 2.2: to "Facilitate integrated research leading to a better
- understanding not only of the physical climate system consequences of these scenarios, but also of the climate
- 54 impact on societies, including considerations of mitigation and adaptation." To clarify the scientific relevance of

this integrated research, we have added to the start of section 2.4 a restatement of this objective as responding
 to its own overarching scientific question.

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4 At the same time, the design also aims to provide climate simulations that can address specific climate science 5 questions that are of particular relevance to scenario analysis. These are the (now additional) questions listed in section 2.4, and while they have been asked before, they remain relevant, including in the context of the new 6 7 generation of ESMs (continuing analysis with CMIP5 models is also relevant). Pattern scaling has been singled 8 out as a possible means of providing climate projection information for integrated analysis without requiring 9 ESM simulations, but requires further development to be useful. Distinguishing closely spaced scenarios is 10 becoming more important as policy goals become more finely differentiated. While 1% CO₂ experiments can be helpful, for relevance to plausible scenarios these questions need to be addressed in a multi-gas framework 11 12 including aerosols and land use. 13

Will SSPx-y include BECCs and geoengineering aspects?

16 The scenario will surely have a considerable amount of negative emissions, although the precise nature of this 17 scenario remains to be defined. The negative emissions will mostly likely consist of a combination of 18 reforestation and BECCS. The scenario will not include solar radiation management. We have added text to the 19 description of this scenario in section 3.2.1 that indicates the basic features of its emissions pathway. It is now 20 called SSPa-b (see response to first comment) and a preliminary candidate is SSP1-1.9 (updated from SSP1-2.0 in 21 the original text based on recent progress in IAM modeling of this scenario).

The initial condition ensemble is targeted at the wrong scientific questions. The most pressing scientific question around signal-to-noise is the difference between a 1.5 and 2 degC warming scenario. The policy implications of the two scenarios are quite different so it would be useful to know if we can actually distinguish between the climate impacts of them.

We believe the best choice for the ensemble is the SSP3-7 scenario given its planned role in investigating the effects of land use and aerosols on regional forcing and climate change, which poses a detection problem. The scenario will be used as a point of comparison for experiments in two other MIPs (LUMIP, AerChemMIP). These effects are critical to the overall scenario matrix approach as described in section 2.4. Individual modeling groups may decide to run additional ensemble members for the 1.5 and 2 C scenarios if they are interested in the question of differentiating outcomes between those two scenarios, and we mention this in the revised manuscript in section 3.2.2.

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36 Section 3.3.3 on relationships to other MIP projections may be premature as these groups would not have 37 finalized their experimental design yet.

We have added a footnote that these relationships will need to be checked against final formulations of the
protocol of other MIPs.

4243 Anonymous Referee #2

- 44 Received and published: 13 June 2016
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48 This paper presents the rationale and experimental design of the internationally coordinated experiments of 49 the intercomparison project ScenarioMIP proposed within the framework of the WCRP CMIP6 experiments.

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51 This set of experiments aims at investigating future climate projections under different scenarios of 52 emissions of greenhouse gases and aerosols as well as of land-use changes. The paper presents the new 53 framework, which aims at better integrating climate projections with the IAM and IAV communities. It clearly 54 describes the associated objectives and scientific questions. The paper also describes the eight different

scenarios organised in two tiers, as well as their rationale. The relation with other CMIP6-endorsed MIPS is

also well done and emphasizes the importance of Scenar-ioMIP. The overall number of experiments is quite
heavy (8 plus extensions) and it is crucial to indeed have 2 tiers but also well clearly show what they will
allow so that groups can decide their strategy.

5 The overall paper is crucial for CMIP6 and certainly deserves publication. It results from a strong 6 collaboration between IAM and Climate modelling communities. The paper is very well written even if some 7 few elements might be improved (see specific comments). It is important that the paper clearly emphasizes 8 why it is needed to have new scenarios, how much they differ from previous RCP scenarios and what they will 9 allow to investigate.

11 Specific comments —————

Part 2.2 describes the ScenarioMIP objectives. They are well described and fully relevant. Their role for policy
 advice on mitigation and adaptation could nevertheless be also mentioned, eg. Page 5, line 17, according to
 the importance they play on this aspect. It is only mentioned in Table 1 and in abstract.

17 We have edited the text of the objectives in section 2.2 to reflect this relevance (see also response to reviewer 1).

In part 2.3, it would be good to have few sentences explaining what are the main characteristics of the 5 SSPs (e.g. page 6, line 3). The concept is important but not that well known from climate modelers that will contribute to ScenarioMIP. They are shortly characterised in Figures 1 and 2 but never really described in the paper. This is missing even if references to papers are given. Moreover, the Riahi paper that gives an overview is submitted but not yet available.

We have added a short description of the SSPs to section 2.3. Also, the Riahi et al paper has now been accepted
and is available (information updated in the reference list).

Part 2.3 explains the new framework but I think it could be a bit more explicit about why it is needed to update the RCPs to the SSPx-y scenarios. Updated emissions and landuse scenarios are mentioned. The main reason however appears to be for consistency with SSPs and that it will allow integrated studies. However, if the consistency with SSPs is described, not much is said about integrated studies.

As explained in the paper, there are important reasons to ensure consistency with the RCPs, but still provide
 updated model runs (among others the long time period between the CMIP rounds). The update allows some
 important advantages over the original RCPs:

- A more thoughtful design of air pollutant and land-use scenarios (given the option to develop consistent scenarios)
- The consistency between SSP/RCPs. While we believe that it is possible to use the forcing scenarios for different SSPs there are also advantages of fully consistent scenarios. We expect these to be used in ScenarioMIP but possibly also in research. Some assessments may also choose to use these scenarios (The World in 2050; IPBES).

Part 2.4 explains the main scientific questions to be addressed by ScenarioMIP. They are all important. However, I am missing a paragraph that would also remind the key scientific questions that scenarios can address such as how climate extremes are affected by the scenarios, how these scenarios will allow to address climate impacts, but also issue the questions behind the long-runs : : : In particular, none of the mentioned scientific objectives can only be addressed with Tier 1 whereas several to many groups may only perform Tier 1 simulations. Moreover, it is surprising to have as a first question, one that requires additional experiments that the 2 Tiers already quite demanding.

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51 We have added text to the start of section 2.4 reminding the reader that the highest priority objective for 52 ScenarioMIP is to facilitate a large number of studies addressing a wide range of questions regarding climate 53 change impacts and response options. We have also added an explicit scientific question on this topic to be 54 addressed by ScenarioMIP simulations (see response to Reviewer 1 on this topic). Climate extremes, together 55 with other topics having to do with the manifestation of forcing effects on the physical climate system are listed

1 2 2	among the WCRP Grand Challenges that our experiments will help address. The section then goes on to describe additional climate science questions that will be able to be addressed with these simulations.
5 4 5	Part 3.3.2 would it be possible to be a bit more explicit on the underlying marker scenarios which are behind each SSPx-y ?
6 7 8 9 10	This comment does not seem relevant to section 3.3.2 (on the relation to CMIP5). We guess that it may be relevant to section 3.2.2, which describes the scenarios to be run in ScenarioMIP. Some detail on the scenario inputs to ScenarioMIP simulations are given in section 4. Decisions on specific marker scenarios (and associated IAM models on which they are based) have not been finalized, so we do not report them here.
11 12 13 14 15 16	Part 3.3.2 clearly states that these new scenarios will differ from the ones used in CMIP5. However, this could be made more explicit using Figure 3 which represents both the old and new scenarios but with no comments in the text. Moreover, it would be good to better emphasize how much they will differ with regards to land-use change.
17 18 19 20 21 22	We agree that being more explicit about differences in land use change would be useful, and so we have created a new figure (now figure 4) showing changes in land use in the scenarios in the ScenarioMIP design compared to those in the four RCPs. We have added reference to this figure, and to figure 3 showing the emissions, concentration and forcing pathways, to the text in section 3.3.2 as well as to section 3.2.2 in the introduction to the description of each scenario.
22	Technical comments ——————
24 25 26	Page 2, line 11: AR4 and not AR5
26 27	Fixed.
28 29 30 31	Page 16, line 11, the title is misleading and should not mention CO2 since in the text it is clearly said that scenarios will be concentration driven for long-lived greenhouse gases.
32	We removed the reference to CO_2 specifically.
33 34 35 36	Page 17, line 25: the forcing harmonization is not clear. What it aims at should be explained and a reference given.
37 38 39 40	References are not yet available for historical land use and emissions or for the harmonization process. We have added text to describe that harmonization means modifications to the IAM scenarios to make them consistent in the base year across models and with historical land use and emissions data.
40	Page 20, line 24, please add the reference for the data request
42 43 44 45	This reference is not yet available, but will eventually be part of the special issue, so we can only retain the description of this paper in the text without providing a specific citation.
46 47	Page 20, lines 15 to 19: Specifications for the natural forcing differ from CMIP5, which should be made more explicit.
48 49	Text added to make this explicit.
50 51 52 53	Page 20, I have not found a mention to the initial year of the scenarios. Page 20 mentions end of the historical period 2015 but Figure 3 seems to show 2005 as for previous RCPs ? At least it should be mentioned clearly.
54 55	We have clarified in the first paragraph of section 4 that the start year is 2015.

1 Page 21 the paragraph on data availability would rather better fit at the end of part 4. 2 3 This format was requested by the editors of the special issue. 4 5 Page 27, line 27, this reference is mentioned 2015 in the text not 2016. Please check. If 2016, it will important 6 to specify 2016 a and b 7 8 We have updated the reference list and the text to 2016a and 2016b. 9 10 Table 2 is a very good idea. However, some links may be missing concerning the overshoot experiments as 11 mentioned in the text but not in the table? 12 13 The overshoot scenario (SSP5-3.4-OS) is listed in Table 2. 14 15 16 G. S. Jones 17 gareth.s.jones@metoffice.gov.uk 18 Received and published: 16 May 2016 19 20 Comments on O'Neill et al., "The Scenario Model Intercomparison Project (ScenarioMIP) 21 for CMIP6" 22 23 The paper clearly describes the ScenarioMIP design. Below are some comments about the forcing factors and 24 definitions of radiative forcing that I hope the authors will consider and find helpful. 25 How is radiative forcing (e.g., Page 7 line 21) defined in relation to the SSPs? Is it 'Effective Radiative Forcing' 26 27 or just 'Radiative forcing"? This should be clarified as there are different definitions of radiative forcing. See 28 Section 8.1.1 in Myhre et al., IPCC, 2013. 29 30 We have clarified in footnote 3 (p. 7) that the definition of radiative forcing used in the scenarios is just 31 "radiative forcing", not "effective radiative forcing". 32 33 Are the forcing values for 2100 associated with the SSPs (e.g., 4.5Wm-2, 6.0Wm-2 etc) just from 34 anthropogenic factors? If the recommended future solar and volcanic forcing factors are not included in the 35 numbers, it could mean the radiative forcing for the future won't actually match what is expected. 36 37 The forcing values for 2100 only include anthropogenic factors, consistent with the CMIP5 approach. 38 39 The Figure 2 "Total Radiative Forcings" panel does not appear to have any natural (solar or volcanic) forcing 40 variations in the past or future periods, however the "Temperature change" panel does have past volcanic 41 forcing variations in it. Should the radiative forcing and temperature panels include the past and future 42 volcanic and solar radiative forcing variations that are proposed? 43 44 (This comment applies to Figure 3, not Figure 2.) We have clarified in the caption and the figure (which is from 45 Riahi et al., 2016) that the radiative forcing panel shows total anthropogenic forcing only, and that the temperature projections include natural forcing during the historical period and include solar but not volcanic 46 forcing in the future, consistent with the approach taken in CMIP5. We have added references in the caption to 47 48 the simple climate model used and to the methodology. 49 50 The future volcanic forcing (lines 17-19, page 20) is described as "ramped up" from the historical period in 51 2015 for the following 10 years. Should the impact on the analysis of MIPs that require simulations up to 2020 be considered? For instance DAMIP will require historical simulations be extended to 2020 (via 52 ssp245). Delaying the "ramp up" could avoid the issue (e.g., Fig 14 in Jones et al., GMD, 2011). 53 54

The choice of how to handle volcanic forcing had to necessarily be a compromise. The need to maintain the same constant level as used in the piControl – and the ensuing need to make a smooth transition between historical and this constant level – dictated this choice. The assumption is that the value at the end of the historical simulation and that of the constant won't differ by a large amount and therefore the "ramp-up" won't introduce a significant signal. Note that the volcanic forcing during the historical period is also a time-varying forcing. DAMIP analysis will use single forcing (natural-only) experiments that will represent the effect of the forcing trajectory however specified.

9 Are the authors aware of the unusual total solar irradiance being proposed for the future period (Lines 15-17 10 Page 20)? The proposed decline in TSI over the period and inconsistent magnitude/phase of the solar cycle 11 may make comparisons with CMIP5's RCP simulations a little bit more difficult than expected. Additionally a 12 more appropriate reference for the implementation of the future solar irradiance may be Matthes et al, "Solar 13 Forcing for CMIP6", 2016 [to be submitted to GMD].

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For consistency with assumptions in other components of CMIP6, we have chosen to use the proposed projection
from Matthes et al (and updated the reference to this paper).

Given the ease of use of simple climate models (e.g. temperature panel in Fig 3), it would be useful to see the expected impacts of some of the choices with respect to what was done for CMIP5. For instance what is the expected impact of the proposal for future natural forcing factors on the global temperatures?

We anticipate that this type of comparison will be done once final marker scenarios are selected for each scenario in the design, and once emissions and land use projections have been harmonized across models and to historical data.

26 There appear to be two "Riahi et al., 2016" in the references list. Are both referred to in the text?

We have corrected the reference list to indicate a Riahi et al reference in 2016 and another in 2015, and refer to
them correctly in the text.

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- 32 S. Emori33 emori@nies.go.jp
- 34 Received and published: 2 June 2016
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The paper clearly describes the experiment design and its rationale of a model intercomparison project for future climate projections based on different socio-economic scenarios as a part of CMIP6. I appreciate the effort of the author group to put together this design for the benefit of multiple research communities and end users of its outcomes. The choice of SSP for each scenario is based on highly complex consideration and how reasonable it sounds to research communities and users would be the key to the success of this paper. And my general impression is that it has been fairly successful.

43 I have two relatively substantial comments and some minor comments (mostly editorial).

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As mentioned in page 7 (and almost repeated below and in page 11), "An enabling hypothesis of the
parallel process is that differences in climate change projections would be small enough..." At the same
time, it is also recognized that this hypothesis will be tested in answering to one of the major research
questions of this project together with other MIPs, i.e., "Are differences in regional forcing : : : a source of
significant differences in climate outcomes across a matrix row?" (page 7). Logically, we should be
prepared for the possibility that the difference in climate outcomes due to different regional forcing is
substantial and "the enabling hypothesis" fails to some extent (If we ignore this possibility, the research

52 question cited above would be pointless). I hope to see some discussion as to how could the parallel 53 process framework be reshaped or complemented depending on the extent to which its enabling

- 54 hypothesis possibly fails.
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1 2 3 4 5 6 7 8	If c the diff sce sim the clin	limate turns out to be much more sensitive to regional differences in land use change than currently expected, use of ScenarioMIP simulations in impact studies that assume the same global mean forcing pathway but ferent land use outcomes (driven e.g. by a different SSP) would not be possible. It would mean that every nario (ie, SSP-forcing combination) is unique, and every scenario therefore requires its own dedicated ESM vulation. The parallel process and matrix approach to combining SSPs and RCPs would not be invalidated, but practical implication would be that many more ESM simulations would be required to provide the necessary nate information for integrated analysis. We have added some text to p. 8 to describe this situation.
9 10 11 12 13 14	2.	The rationale of choosing SSP3 for SSP-7.0, preferring particularly high aerosol emissions and land use change (page 12 and 13), seems contradictory to what is implied by the second goal of choosing SSP-based scenarios, that is, "avoiding SSPs with trends for land use or aerosols that are outliers relative to other SSPs" (page 11). It needs more explanation to make them compatible. Or, personally, I don't think the second goal is really necessary.
14 15 16 17 18 19	As SSF the slig	indicated on p. 11, "one or more" of the three goals were used in choosing a particular SSP. In the case of 23-7.0, the first goal (a pathway that facilitated climate research) was dominant, and took precedence over second goal. The second goal comes into play in other choices, e.g. for SSP2-4.5. We have modified the text htly to clarify this aspect of the SSP choice.
20	Mir	nor comments:
21 22 23	1.	(P. 1, L. 23) "that that": You should delete one?
23 24 25	Fix	ed.
26 27	2.	(P. 2, L. 11) "IPCC AR5 : : :(IPCC 2007a)": It should be "AR4".
28 29	Fix	ed.
30 31 32	3.	(P. 6, L. 27) "as long as it is feasible that within that SSP emissions could be made consistent with that forcing pathway.": It would be helpful to give examples of infeasible cases.
32 33 34	We	have added text to refer the reader to section 3.1.1 where feasibility is discussed.
35 36	4.	(P. 7, L. 16) "(AerChemMIP)" The opening parenthesis is mistakenly in Italic.
37 38	Fix	ed.
39 40	5.	(P. 8, L. 4) "biophysical effects": It needs some explanation.
41 42	We	have added an explanation.
43 44 45	6.	(P. 8, L. 5) "global average forcing": Would it be better to say "global average radiative forcing" (in contrast to "forcing due to the biophysical effects")?
46	We	have made the recommended change.
47 48 49 50 51 52	7. We	(P. 10, L. 27) "2.0 W/m2 pathways": It seems that it has not yet been decided whether it is exactly 2.0 or not according to page 14. have corrected the text to refer to "<2.6 W/m ² pathways".

8. (P. 11, L. 6) "IA models": Perhaps it should be "IAMs" or "IAM models" to be consistent with other parts of the text.
Fixed.
9. (P. 12, L. 23) "Table 1": It should be "Table 2".
Fixed.
10 (D 12 I 11 12) " c consistent constraints to constraints d) CCD booling constraints" Iterated its
10. (P. 13, L. 11-12) a forcing level common to several (unmitigated) SSP baseline scenarios : it would be
neipiul to give which SSPS they are.
We have edited the text to indicate that the scenario has a similar forcing level to the SSP2 haseline scenario as
we have called the text to indicate that the scenario has a similar jorenig lever to the 5512 baseline scenario as well
11. (P. 14, L. 21) "SSPx-y": The same notation was used before in a totally different context (Page 6). To avoid
confusion, a different notation would be better.
Good point, we have changed the notation to SSPa-b, here and in Table 2.
12. (P. 16, L. 2) "a long term equilibration temperature of 1.5 degrees C": It needs some assumption about
climate sensitivity, a specification like "central estimate" or anything to that effect.
We have clarified that this refers to the expected outcome for the median of CMIP5 models.
13. (P. 16, L. 5) "SSP1-26": It should be "SSP1-2.6".
13. (P. 16, L. 5) SSP1-26 : It should be "SSP1-2.6".
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1 (version with edits indicated in track changes)

The Scenario Model Intercomparison Project (ScenarioMIP) for CMIP6

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21 Abstract. Projections of future climate change play a fundamental role in improving understanding of the climate 22 system as well as characterizing societal risks and response options. The Scenario Model Intercomparison Project 23 (ScenarioMIP) is the primary activity within Phase 6 of the Coupled Model Intercomparison Project (CMIP6) that 24 will provide multi-model climate projections based on alternative scenarios of future emissions and land-use 25 changes produced with integrated assessment models. In this paper, we describe ScenarioMIP's objectives, 26 experimental design, and its relation to other activities within CMIP6. The ScenarioMIP design is one component of 27 a larger scenario process that aims to facilitate a wide range of integrated studies across the climate science, 28 integrated assessment modelling, and impacts, adaptation and vulnerability communities, and will form an important 29 part of the evidence base in forthcoming IPCC assessments. At the same time, it will provide the basis for investigating a number of targeted sciencetific and policy questions that are especially relevant to scenario-based 30 31 analysis, including the role of specific forcings such as land use and aerosols, the effect of a peak and decline in forcing, the consequences of scenarios that limit warming to below 2 °C, the relative contributions to uncertainty 32 33 from scenarios, climate models, and internal variability, and long-term climate system outcomes beyond the 21st century. To serve this wide range of scientific communities and address these questions, a design has been identified 34 consisting of eight alternative 21st century scenarios plus one large initial condition ensemble and a set of long-term 35 36 extensions, divided into two tiers defined by relative priority. Some of these scenarios will also provide a basis for

variants planned to be run in other CMIP6-<u>E</u>endorsed MIPs to investigate questions related to specific forcings.
 Harmonized, spatially explicit emissions and land-use scenarios generated with integrated assessment models will
 be provided to participating climate modeling groups by late 2016, with <u>the climate model simulations run within</u>
 the 2017-2018 time frame, and output from the climate model projections <u>expected to be made</u> available <u>and</u>
 analyses performed over- within the 2018-2020 periodtime frame.

6 1. Introduction

Scenarios describing possible future developments of anthropogenic drivers of climate change (i.e., greenhouse gases, chemically reactive gases, aerosols, and land-use) consistent with socio-economic developments play an important role in climate research. They allow an assessment of possible changes in the climate system, impacts on society and ecosystems, and the effectiveness of response options such as adaptation and mitigation under a wide range of future outcomes.

12 Scenarios produced in the IPCC Special Report on Emissions Scenarios (SRES; Nakicenovic et al., 2000) formed 13 the basis for climate model projections in Phase 3 of the Coupled Model Intercomparison Project (CMIP3, Meehl et 14 al., 2007) and their assessment in the IPCC AR4 Working Group I (IPCC 2007a), and were used to model impacts 15 on society and ecosystems (IPCC 2007b; IPCC 2014a,b) and mitigation strategies (IPCC 2001b; IPCC 2007c;IPCC 16 2014c). In 2007, an expert meeting at Noordwijkerhout agreed on a process for the development of new community 17 scenarios (Moss et al., 2008, 2010). That process began with the identification of the Representative Concentration 18 Pathways (RCPs; van Vuuren et al., 2011a), a set of four pathways of land use and emissions of air pollutants and 19 greenhouse gases that spanned a wide range of future outcomes through 2100. The RCPs were the basis for climate 20 model projections in CMIP5 (Taylor et al., 2012) and their assessment in the IPCC AR5 (IPCC 2013).

21 The Scenario Model Intercomparison Project (ScenarioMIP) is now the primary activity within CMIP6 that will 22 provide multi-model climate projections based on alternative scenarios that are directly relevant to societal concerns 23 regarding climate change mitigation, adaptation or impacts. These climate projections will be driven by a new set of 24 emissions and land use scenarios (Riahi et al., 2016) produced with integrated assessment models (IAMs) based on 25 new future pathways of societal development, the Shared Socioeconomic Pathways (SSPs), and related to the RCPs. 26 CMIP6 climate projections will differ from those in CMIP5 not only because they are produced with updated 27 versions of climate models, but also because they are driven with SSP-based scenarios produced with updated 28 versions of IAMs and based on updated data on recent emissions trends. Unlike in CMIP3 and CMIP5, where 29 climate model projections were part of the core experiments, in CMIP6 they are part of a dedicated CMIP6-30 Endorsed MIP (Evring et al., 20156).

In Section 2, we describe the process by which ScenarioMIP's experimental design was formulated and its objectives. This includes its role in providing an integrating research framework across communities and in addressing specific research <u>and policy</u> questions. We provide background on the broader scenario process in which ScenarioMIP simulations will play a role and identify the specific scientific questions it aims to address. Section 3 then describes the experimental design, summarizing the types of model experiments to be run by the CMIP6 climate model groups separated into two tiers differentiated by priority, as well as the relation of the design to other components of CMIP6. Section 4 describes the planned inputs to climate models to be provided by integrated assessment models developing the emissions and land-use scenarios, as well as the climate model outputs to be analyzed and made available to the community. Section 5 provides a concluding discussion.

5

6 2. ScenarioMIP process, objectives and background

7 2.1 ScenarioMIP process

8 Because of the importance of the ScenarioMIP simulations across multiple research fields and to policy makers, the 9 experimental design was developed collaboratively by researchers within the climate science, integrated assessment 10 modeling (IAM), and impacts, adaptation, and vulnerability (IAV) communities. The idea for an activity within 11 CMIP6 focused on scenarios was elaborated in discussions in 2013 among the IAM, IAV and climate modeling 12 communities.¹ A ScenarioMIP Scientific Steering Committee (SSC) charged with proposing an experimental design 13 was then formed following the 17th session of the WCRP Working Group on Coupled Modeling (WGCM) in 14 October 2013 in Victoria, Canada.

15 The ScenarioMIP SSC together with other communities (see below) systematically investigated a number of issues 16 that could substantially influence the experimental design, especially those that would affect the required number of 17 model runs. First, the possibility was considered to identify a smaller subset of scenarios to be run by statistically 18 sampling from among the large number of possible combinations of different SSPs, forcing targets, integrated 19 assessment -models (IAMs), and climate models. It was decided that this approach could currently not be carried out 20 with a reasonable number of climate model simulations without sacrificing the representation of uncertainty for a 21 given scenario. Second, the potential for pattern scaling or other statistical emulators of climate model output to 22 meet some of the demand for scenario-based climate information was considered. A workshop held for this purpose 23 concluded that pattern scaling has currently not yet been demonstrated to be able to reliably replace the need for 24 climate model projections to generate information for impact studies (although it might play a role for some 25 applications, e.g. Tebaldi and Arblaster, 2014; see workshop report at https://www2.image.ucar.edu/sites/default/files/event/PS2014WorkshopReport 0.pdf). 26 Finally, the difference 27 between scenarios (in terms of global average forcing or temperature change) that is required to produce

¹ Key discussions occurred at the annual meeting of the integrated assessment and impacts communities in Snowmass, CO, in July 2013, and a meeting on CMIP6 at the Aspen Global Change Institute in Aspen, CO, in August 2013, Next Generation Climate Change Experiments Needed to Advance Knowledge and for Assessment of CMIP6 (Meehl et al., 2014). significantly different climate outcomes was investigated. Initial studies indicate that scenario differences of at least 0.3°C in global average surface temperature are likely necessary to generate statistically significant differences in local temperature over a substantial fraction of the surface, and substantially larger differences are required to produce similarly significant and extensive differences in precipitation outcomes (Tebaldi et al., 2015). Further work on this topic is desirable, especially to exploringe the sensitivity of additional impact-relevant variables, time and spatial scales of interest, and local forcings is desirable.

7 Informed by these conclusions, a process was organized by the SSC to develop a final protocol. This process 8 included close interaction with the climate research, IAM and IAV communities through presentations and 9 discussion at a number of meetings in 2014 and 2015,² as well as coordination with other MIPs developing 10 proposals for CMIP6. It also involved discussions with representatives of the Integrated Assessment Modeling 11 Consortium's (IAMC's) Working Group on Scenarios, which has coordinated the production of SSP-based energy-12 land use-emissions scenarios (Riahi et al., 2016) for CMIP6, and discussions with key individuals in other relevant 13 research communities, including through the International Committee On New Integrated Climate change 14 assessment Scenarios (ICONICS). Feedback on various drafts was also received from the CMIP review process and from relevant groups including ICONICS, the IPCC Task Group on Data and Scenario Support for Impacts and 15 Climate Analysis (TGICA), the CMIP Panel, and the WCRP Working Group on Regional Climate. 16

17 2.2 ScenarioMIP objectives

18 ScenarioMIP has three primary objectives:

a) Facilitate integrated research leading to a better understanding not only of the physical climate system consequences of these scenarios, but also of the climate impact on societies. The results of the ScenarioMIP
 experiments will provide new climate information for future scenarios that will facilitate integrated research across multiple communities including the (1) climate science, (2) integrated assessment modeling, and (3) impacts, adaptation and vulnerability communities. This research will be key in informing mitigation and adaptation policy considerations, including processes that are part of the UNFCCC such as the 2015 Paris Climate Agreement.

² Session at the July 2014 Snowmass meeting on Integrated Assessment and Impacts; Joint meeting on proposed CMIP6 MIPs on Scenarios, Land use, and Aerosols and Chemistry, Aspen Global Change Institute, August 2014 (O'Neill et al., 2014a); WCRP-IPCC WG1 meeting in Bern, Switzerland, September 2014; WGCM18 meeting in October 2014; Annual Meeting of the Integrated Assessment Modeling Consortium, November 2014; IPCC Expert Meeting on Scenarios, IIASA, Laxenburg, Austria, May 2015.

b) Provide a basis for addressing targeted science questions in ScenarioMIP and other CMIP6 projects, 2 regarding the climate effects of particular aspects of forcing relevant to scenario-based research. This 3 includes the effects of a substantial overshoot in radiative forcing and the effect of different assumptions on land use and near-term climate forcers (NTCFs, namely tropospheric aerosols, tropospheric O₃ precursors, 5 and CH₄) on climate change and its impacts. Therefore a set of variants of the scenarios proposed here are 6 being proposed in other CMIP6-Endorsed MIPs (see Section 2.3.3) to address targeted questions.

7 c) Provide a basis for research efforts that target improved methods to quantify projection uncertainties based 8 on multi-model ensembles, taking into account model performance, model dependence and observational 9 uncertainty. This extends the knowledge basis derived from the Diagnostic, Evaluation and 10 Characterization of Klima (DECK) experiments and the CMIP6 historical simulations (Eyring et al., 2016) 11 and allows for the quantification of uncertainties on different timescales. ScenarioMIP will provide some of 12 the results needed in the next IPCC assessment to characterize the uncertainty in future climate and 13 impacts. that results from choosing alternative emission or concentration pathways.

14 The first objective is considered to be the highest priority for several reasons. First, "scenarios for integration" serve 15 a large scientific audience, underpinning hundreds of scenario-based studies addressing a wide variety of scientific 16 questions regarding physical climate changes, mitigation, impacts, and adaptation. Having common climate and 17 socioeconomic scenarios serves as a critical means to enhance direct comparability of a wide variety of studies, allowing synthetic conclusions to be drawn that would not be possible from a variety of uncoordinated studies (van 18 19 Vuuren et al., 2012; Kriegler et al., 2012). The climate simulations produced by ScenarioMIP will constitute a key 20 element of a larger, coordinated process within the climate change research community to produce both 21 socioeconomic and climate scenarios that can underpin integrated research for many years to come (Section 2.3).

- 22 Second, scenarios for integration can serve as a key means for producing better integrated scientific assessments, 23 such as those connecting different working groups and the synthesis report of IPCC.
- 24 Third, the recent Paris Agreement adopted by parties to the UN Framework Convention on Climate Change
- 25 (UNFCCC, 2015) has focused renewed attention on the goal of limiting warming to below 2 °C global mean
- temperature change relative to pre-industrial and encouraged countries to pursue efforts to limit warming to an even 26
- 27 lower goal of 1.5 °C-warming. Integrated scenarios can help inform dialogues- and associated comparative climate
- 28 changes to help address around these political goals.
- 29 Finally, a common set of scenarios for integration reduces the need for individual research projects to develop their 30 own scenario information to support scenario-based studies. The availability of common scenarios reduces possible 31 redundancy in efforts and makes scenario-based research feasible for many groups that otherwise would not be able
- 32 to carry it out.

1

1 **2.3 The scenario framework**

Moss et al. (2010) introduced a parallel approach for developing new community scenarios, followed by an integration phase. One of the parallel tracks was the production of climate model projections based on the four RCPs as part of CMIP5 (Taylor et al., 2012). The other track developed alternative future societal development pathways (SSPs) and emissions and land use scenarios based on them, generated with IAMs. The integration phase brings together the climate simulations and SSP-based societal futures to carry out integrated analysis.

7 The SSPs were developed over the last several years as a community effort and describe global developments 8 leading to different challenges for mitigation and adaptation to climate change. A conceptual framework for the 9 SSPs and how they could be used with RCP-based climate simulations to carry out integrated research was 10 developed first (van Vuuren et al., 2012, 2014; O'Neill et al., 2014b; Kriegler et al., 2012, 2014a). The specific 11 content of the SSPs was developed next (Riahi et al, 2016). These comprise five alternative narratives that describe 12 the main characteristics of the pathways in qualitative terms (O'Neill et al., 2015) as well as quantitative 13 descriptions for key elements including population (KC and Lutz, 2014), economic growth (Dellink et al., 2015), 14 and urbanization (Jiang and O'Neill, 2015).

15 In short, the SSPs describe alternative evolutions of future society in the absence of climate change or climate policy. SSPs 1 and 5 envision relatively optimistic trends for human development, with substantial investments in 16 education and health, rapid economic growth, and well-functioning institutions. However, SSP5 assumes an energy 17 18 intensive, fossil-based economy, while in SSP1 there is an increasing shift toward sustainable practices. SSPs 3 and 4 envision more pessimistic development trends, with little investment in education or health, fast growing 19 20 population, and increasing inequalities. In SSP3 countries prioritize regional security, whereas in SSP4 large 21 inequalities within and across countries dominate, in both cases leading to societies that are highly vulnerable to 22 climate change. SSP2 envisions a central pathway in which trends continue their historical patterns without 23 substantial deviations.

24 IAM scenarios were then developed based on the SSPs by elaborating on their implications for energy systems 25 (Bauer et al., 2016) and land-use changes (Popp et al., 2016) and quantifying resulting greenhouse gas emissions and 26 atmospheric concentrations (Riahi et al., 2016). These SSP-based IAM scenarios consist of a set of baseline 27 scenarios, which provide a description of future developments in the absence of climate change impacts or new 28 climate policies beyond those in place today, as well as mitigation scenarios which explore the implications of 29 climate change mitigation policies applied to the baseline scenarios. Multiple IAMs were used for the quantification 30 of the SSP scenarios, and a single "marker" scenario was selected as representative in each case. Scenarios in the 31 ScenarioMIP design are selected from these marker scenarios.

32 Integrated analyses drawing on the <u>qualitative and quantitative elements of the SSPs and climate change information</u>

33 from the CMIP5 simulations of the RCPs have already begun to appear (e.g., Alfieri et al., 2015; Arnell et al., 2014;

34 Biewald et al., 2015; Dong et al., 2015; Hejazi et al., 2015) and climate model simulations of with the RCPs will

1 continue to be a key input to research on climate change and impacts for many years. ScenarioMIP is playing a key 2 role by identifying an updated and expanded set of concentration pathways based on the SSPs to be run by climate 3 models as part of CMIP6. These CMIP6 simulations will allow integrated analyses to be carried out using climate 4 simulations based on the latest versions of climate models, for a larger set of concentration pathways based on the 5 most recent versions of IAMs.

Figure 1 visualizes how SSPs can be combined with climate simulations from either CMIP5 or CMIP6, using the 6 7 example of a forcing pathway stabilizing at 4.5 W/m². In general, each SSP-forcing pathway combination represents 8 an integrated scenario of future climate and societal change which would be used to investigate issues such as the 9 mitigation effort required to achieve that particular climate outcome, the possibilities for adaptation under that 10 climate outcome and assumed societal conditions, and the remaining impacts on society or ecosystems. The full set 11 of multiple SSPs and forcing outcomes forms a matrix of possible integrated scenarios (van Vuuren et al., 2012, 12 2014; Kriegler et al., 2012). Each row contains climate model simulations based on a forcing pathway (e.g., a 4.5 W/m^2 pathway in Figure 1) which can be used in combination with the societal conditions described by any of the 13 SSPs, as long as it is feasible that within that-SSP emissions could be made consistent with that forcing pathway (see 14 section 3.1.1 for a discussion of feasibility). We refer to these scenarios as SSPx-y, where x is the specific SSP and y 15 represents the forcing pathway, defined by its long-term global average radiative forcing level.³ In the example 16 17 shown in the figure, mitigation policies would be added to each SSP to produce a forcing pathway that stabilized at 4.5 W/m², and SSP2-4.5 is singled out as the specific scenario that would be used as input to climate model 18 19 simulations in ScenarioMIP.

20 Currently, RCP simulations from CMIP5 are available to provide climate information for integrated scenarios 21 combining SSP-based socio-economic and energy-emissions-land use scenarios (as, e.g., SSP2-4.5) with the climate 22 change projections from CMIP5 (as, e.g., the RCP4.5 simulations). CMIP5 RCPs were derived from earlier 23 emissions and land use scenarios (van Vuuren et al., 2011b), and therefore the regional pattern of climate change 24 resulting from an RCP climate simulation would not be identical with an SSPx-y simulation following a similar global forcing pathway. An enabling hypothesis of the parallel process is that differences in climate change 25 26 projections would be small enough to still warrant integration of the two sets of information into mitigation, impacts 27 and adaptation analysis. The Scenario MIP design will include an updated and expanded set of forcing pathways 28 directly derived from SSPs. Once they become available, climate model simulations based on these pathways will 29 then be used to provide climate information for integrated studiesscenarios.

³ Following practice established for the RCPs, the forcing level usually refers to the forcing achieved in 2100 but in some cases refers to an intended forcing stabilization level that is reached beyond 2100. Forcing is reported as global average radiative forcing, not effective radiative forcing (Myhre et al., 2013).

1 2.4 Scientific questions addressed by ScenarioMIP

2 As noted in section 2.2, the highest priority objective for ScenarioMIP is to provide climate model simulations that

- 3 can facilitate a wide range of integrated research on the climate impact on societies, including considerations of
- 4 mitigation and adaptation. Thus an overarching interdisciplinary science question addressed by ScenarioMIP
- 5 <u>simulations is:</u>
- 6 What are the mitigation efforts, climate outcomes, impacts, and adaptation options that would be associated with a
 7 range of radiative forcing pathways?
- 8 <u>However in addition</u>, ScenarioMIP simulations will be key to addressing two of the three CMIP6 science questions
- 9 that have informed the overall CMIP6 design and the endorsement of proposed MIPs, related to the effects of
- 10 external forcings on the Earth system and to the confounding effects of different sources of uncertainty on future
- 11 anthropogenic climate change outcomes. Table 1 lists the two questions along with a number of sub-questions that

12 ScenarioMIP experiments are intended to explore. In addition, studies addressing WCRP Grand Challenges (Clouds,

13 Circulation and Climate Sensitivity, Melting Ice and Global Consequences, Climate Extremes, Regional Sea-Level

- 14 Change and Coastal Impacts and Water Availability) will benefit from the availability of outcomes from future
- 15 scenario simulations.
- 16 The scenario framework described in Section 2.3 raises specific questions that ScenarioMIP, in collaboration with
- 17 other CMIP6-Endorsed MIPs (in particular, the Land Use MIP (LUMIP) and Aerosols and Chemistry MIP
- 18 (AerChemMIP)) will also help address through coordinated experiments in which variants of ScenarioMIP scenarios
- 19 will be run by other MIPs.
- Are differences in regional forcing, or forcings not included in definition of targets (e.g., biophysical effects), a source of significant differences in climate outcomes across a matrix row?
- 22 The rows of the SSP-forcing matrix shown in Figure 1 are defined by forcing pathways that achieve the same level 23 of global average radiative forcing in 2100. ScenarioMIP will carry out climate model simulations for one particular 24 land use and concentration pathway that leads to this level of radiative forcing. However, in principle this forcing 25 level can be achieved via pathways of emissions and land use that differ widely in terms of regional land use 26 patterns, regional patterns of emissions of NTCFs, mixes of global emissions of GHGs and NTCFs, and global 27 average forcing pathways between the present and 2100. For example, the different SSPs making up a given row of 28 the matrix will have different patterns of regional economic growth, energy system development, air quality 29 policies, land use, and other characteristics that will lead to the same global average forcing outcome being achieved 30 by different means in each case. Thus, an open scientific question is the degree to which climate outcomes can be 31 expected to differ between land use and emissions pathways that achieve the same global average radiative forcing 32 level in 2100 but have different patterns of regional forcing.

1 An assumption underlying the parallel process (Moss et al., 2010) and the SSP scenario framework is that these 2 differences in climate outcomes are likely to be small relative to the overall uncertainty in applications of these 3 simulations to integrated analyses (including impact assessments). This assumption is critical to be able to combine 4 a ScenarioMIP climate simulation for a given SSP and forcing level with scenarios based on other SSPs achieving 5 the same forcing level. Experiments carried out in other MIPs based on scenarios in the ScenarioMIP design will 6 help test this assumption (see Section 3.3.3). If it turns out that climate outcomes are much more sensitive to 7 regionallocal forcing differences that than currently assumed - in particular, that these differences are large even compared to uncertainties in regional impact assessments then the ability to use ScenarioMIP simulations for each 8 9 forcing level for all SSPs might not be possible for all studies. in studies assuming the same global forcing pathway but a different SSP will be limited. In that case, ESM simulations specific to each combination of SSP and forcing 10 11 pathway would be required.

In addition, the definition of global average forcing in 2100 includes the forcing effect of GHGs and NTCFs, but excludes the biophysical effects of land use change on climate (e.g., through albedo or changes to the hydrological

14 <u>cycle</u>). Thus, it is also an open question whether alternative pathways that achieve the same level of global average

15 <u>radiative</u> forcing as defined here, but differ in forcing due to the biophysical effects of land use change, would

16 produce substantially different climate outcomes.

17 What are global and regional climate differences between scenarios with small differences in forcing levels?

18 The experimental design includes six out of eight 21^{st} century scenarios that are within a maximum of 1.0 W/m² of 19 another scenario in terms of global average radiative forcing in 2100. Early in the design of the scenario framework, 20 a criterion for selecting RCPs was that they be well separated in terms of radiative forcing (Moss et al., 2008). More 21 recent work (Tebaldi et al., 2015) has refined this view, indicating that regional temperature outcomes that are 22 statistically significantly different at a 5% level for more than half the land surface area, and robustly so across the 23 multi-model ensemble, require a separation of at least 0.3°C in global average temperature. This difference in global 24 temperature is roughly equivalent to about 0.75 W/m^2 of global average forcing in an idealized 1 %/yr CO₂ increase 25 experiment, although the equivalent value is sensitive to the forcing pathway. For regional precipitation, a much 26 wider separation is required to ensure that scenarios are statistically different. From a policy-making perspective the 27 issue of scenario separation is also important, as policy interest often focuses on the differential impacts between 28 climate change or forcing levels that are relatively close to each other. The ScenarioMIP design will allow for 29 further analysis of these types of questions, providing simulations that will allow addressing region- and variable-30 specific sensitivities, dependence on geographic and temporal scale of variable differences, and the role of internal 31 variability.

32 What are the effects of declines in forcing (overshoot scenarios)?

There is both scientific and policy interest in the climate outcomes associated with forcing pathways that exceed a given forcing level and later peak and decline back to that level (overshoot pathways). Such pathways may become increasingly a point of discussion if there is a persistent gap between moderate near-term emission reduction efforts and the ambition to limit climate forcing and global mean warming to very low levels. To this end, the lowest RCP (RCP2.6), and the low SSP scenarios, already exhibit a limited degree of concentration overshoot. One of the scenarios within the ScenarioMIP design describes a much stronger overshoot pathway with radiative forcing that peaks and declines within the 21st century and declines further thereafter, allowing for investigation of the effect of overshoot and declining forcing on the climate system and society. In particular, it allows investigating to what extent climate impacts are higher and what long-lasting and potentially irreversible changes in the climate system occur in an overshoot scenario.

8 *Can pattern scaling, or other approaches to climate model emulation, be used to produce climate outcomes for* 9 *forcing pathways not represented in the ScenarioMIP design?*

10 Climate model emulators have the potential to provide a computationally efficient means of generating climate 11 outcomes for arbitrary scenarios and, in so doing, facilitate the representation of uncertainty in applications to 12 impact studies (Tebaldi and Arblaster, 2014). The state of development of such emulators is such, however, that 13 many situations remain where they are not suitable, their behavior deviating significantly from the more 14 computationally complex, physically based models that they seek to emulate, or falling short of producing 15 temporally coherent projections, or projections of multiple variables physically inter-related . A more systematic 16 exploration and development of such techniques in order to realize their potential will be facilitated by the 17 availability of ScenarioMIP simulations, according to a design that deliberately explores a large range of forcings 18 (both with respect to a lower and upper end, recently found to be important in training emulators by Herger et al., 19 2015), non-traditional pathways like substantial overshoots and long term extensions and, together with 20 collaborating MIPs, the effects of regionally and time-varying forcers other than well mixed, long-lived greenhouse 21 gases, in particular land-use changes and NTCFs.

22 Can emergent constraints (i.e., statistical relationships between features of current and projected future climate that 23 emerge from considering the multi-model ensemble as a whole) be used to recalibrate the ensemble and to reduce 24 the uncertainty in the response to a given scenario of future forcing?

25 A longstanding open scientific question is the relation between present-day model performance and future 26 projections. A method to relate observed aspects of the present day mean climate or recent trends to the Earth 27 system response in some quantity is the so called *Emergent Constraints* method (Allen and Ingram, 2002; 28 Bracegirdle and Stephenson, 2013; Hall and Qu, 2006). An emergent constraint refers to the use of observations to 29 constrain a simulated future Earth system feedback. It is referred to as emergent because a relationship between such 30 a feedback and an observable element of climate variability emerges from an ensemble of ESM projections, 31 providing a constraint on the future feedback. If physically plausible relationships can be found between, for 32 example, changes occurring on seasonal or interannual time scales and changes found in anthropogenically-forced 33 climate change, then models that simulate correctly the seasonal or interannual responses might more reliably make 34 projections. For example, Hall and Qu (2006) found that large inter-model variations in the seasonal cycle of the albedo between April and May in the 20th century are well correlated with similarly large inter-model variations in 35

- 1 the snow-albedo feedback on climatological timescales. The observable variation in the seasonal cycle of the snow
- 2 albedo is then a useful proxy for constraining the unobservable feedback strength to climate warming, as both are
- 3 driven by the same physical mechanisms on different time scales. Other examples include constraints on climate-
- 4 carbon feedbacks (Cox et al., 2013; Wenzel et al., 2014), the Austral jet stream position (Wenzel et al., 2016), cloud
- 5 feedbacks and equilibrium climate sensitivity (Huber et al., 2011; Fasullo and Trenberth, 2012; Fasullo et al., 2015;
- 6 Klein and Hall, 2015; Knutti et al., 2006; Sherwood et al., 2014), and relations of past and future sea ice or
- 7 temperature trends (Boe' et al., 2009; Knutti and Tomassini, 2008; Mahlstein and Knutti, 2012, Massonet et al.,
- 8 2012). The ScenarioMIP design will allow testing emergent constraint results under various forcing pathways. The

9 results will be valuable for guiding the design of future ensembles, e.g., how many and which models are needed to

10 maximize information at minimal computational cost.

11 **3.** Overview of ScenarioMIP experiment design

The ScenarioMIP experimental design consists of a set of eight pathways of future emissions, concentrations and land use, with additional ensemble members and long-term extensions, grouped into two tiers of priority (of which only the first constitutes a required set for modeling centers participating in ScenarioMIP). We first discuss the rationale behind the types of pathways identified for inclusion in the design and then present a summary of the pathways constituting the design. Finally, we describe in more detail the features of the ScenarioMIP design and the specific scenarios on which it is based.

18 **3.1 Rationale for scenario selection**

The identification of the forcing pathways to be included in the ScenarioMIP design can be described in two parts: deciding on the forcing levels to include, and then on the specific SSP-based scenario that each forcing pathway should be based on. Additional decisions were then necessary on the number of ensemble members to request from each model for each scenario, and on long-term extensions beyond 2100.

23 **3.1.1 Choosing forcing levels for CMIP6 scenarios**

- 24 Choices of the global average forcing level for scenarios to include in ScenarioMIP were based on the objectives
- outlined in section 2.2. These objectives imply that the global average forcing pathways should cover a wide range of forcing levels, provide continuity with CMIP5 experiments, and fill in gaps in CMIP5 forcing pathways that
- 20 of foreing levels, provide continuity with ching experiments, and fin in gaps in ching foreing pathways the
- 27 would be of interest to the climate science, IAM, and IAV communities.
- 28 Based on these considerations, two types of pathways were included in the ScenarioMIP design:
- 29 (1) Updated CMIP5 RCPs: new versions of the four RCPs used in CMIP5, based on the Shared Socioeconomic
- 30 Pathways and new IAM simulations derived from them. This implies new, SSP-based versions of RCPs 2.6, 4.5,
- 31 6.0, and 8.5.

1 (2) "Gap scenarios": new forcing pathways not covered by the RCPs, including new unmitigated SSP baseline 2 scenarios and new mitigation pathways. Pathways identified of special interest, as discussed further below, were 3 those reaching 7.0, 3.4, and below 2.6 W/m² in 2100 (the latter explicitly to inform understanding of the 1.5° C goal 4 in the Paris agreement). The 7.0 W/m² pathway represents an unmitigated baseline scenario, whereas the 3.4 and 5 ≤ 2.6 W/m² pathways are new mitigation scenarios. In addition, there was interest in a scenario with a substantial 6 overshoot in radiative forcing within the 21st century. An overshoot of the 3.4 W/m² pathway was identified as the

7 preferred candidate.

8 21st century scenarios in ScenarioMIP were also required to be feasible in a narrow sense: that specific scenario 9 outcomes could be produced with an integrated assessment model (Hare et al., 2010). Each scenario in ScenarioMIP 10 is thus based on a set of internally consistent assumptions leading to a distinct evolution of the underlying socio-11 economic systems. The details of the underlying IAM scenarios help identify broader socio-economic and 12 technological conditions under which specific pathways may be attained in the real world. Feasibility in an IAM 13 model needs to be strictly differentiated, however, from the feasibility of a scenario in the real world, i.e. whether or 14 not the scenario is capable of being attained. The latter hinges on a number of additional factors, such as political 15 and social concerns, which might render feasible model solutions unattainable in the real world (see, e.g, Riahi et al., 16 2015). There might also be feasible developments in the real world that are not anticipated by the IAM. Results from 17 major international IAM comparison projects (Clarke et al, 2009; Kriegler et al, 2014b; Riahi et al, 2015) indicate that not all scenarios considered in ScenarioMIP may be equally attainable. For example, under specific conditions 18 19 (e.g., limited availability of technologies or delayed mitigation) some models find the low forcing targets of 2.6 W/m^2 unattainable. 20

21 3.1.2 Choosing SSP-based scenarios

22 For each of these eight forcing pathways, an SSP was selected on which to base emissions and land use scenario 23 leading to the desired forcing level in 2100. The criteria for making these choices revolved around the potential for 24 different SSPs (and emissions/land use scenarios based on them) to lead to different climate outcomes, even if they 25 reached the same global average forcing level in 2100 (see Section 2.4.2). The prevailing hypothesis is that 26 differences in climate outcomes produced by different scenarios for the same global forcing pathway are likely small 27 relative to regional climate variability, uncertainty across climate models, and uncertainty in impact models used to 28 investigate outcomes of interest to the IAV community (see Section 2.4.2). Therefore, climate simulations based on 29 a forcing pathway produced with one SSP scenario will be used in studies aimed at investigating the effects of that 30 same global average forcing pathway but under future socioeconomic conditions given by a different SSP.

However, the degree to which this hypothesis is correct remains an open scientific question. We therefore choose an SSP for each global average forcing pathway by taking into consideration the possibility that the sensitivity of climate outcomes to SSP choice may be larger than anticipated. To account for that possibility, choices were based on one or, when compatible, more of the following goals: (1) *facilitate climate research* to learn more about the climate effects of aspects of forcing that may vary by SSP for
 the same global average forcing pathway, particularly those from land-use changes and aerosol emissions.

3 (2) *minimize differences in climate* between the outcomes produced by the SSP chosen for a given global average 4 forcing pathway and the climate that would have been produced by choosing other SSPs. These differences would 5 be minimized by choosing an SSP with land use and aerosol pathways that are central relative to other SSPs for the 6 same global average forcing pathway. However, given difficulties in identifying a central scenario (due for example 7 to consideration of multiple variables and regions), in practice this goal implies avoiding SSPs with trends for land 8 use or aerosols that are outliers relative to other SSPs.

9 (3) *ensure consistency with scenarios that are most relevant to the IAM/IAV communities.* Not all scenarios for a 10 given global average forcing pathway are anticipated to be equally relevant to IAM and IAV research. This goal 11 implies choosing the SSP that we anticipate to be especially relevant, so that if the climate effects of land use and 12 aerosols turn out to be larger than anticipated, climate simulations will still be consistent with that scenario.

13 3.2 Scenarios

14 **3.2.1 General features of design**

Table 2 lists all simulations being included in the ScenarioMIP experimental design, divided into two tiers by priority, and the design is summarized visually within the context of the scenario matrix in Figure 2. Overall, the design has the following general features:

- Four new SSP-based scenarios that update the RCPs, achieving forcing levels of 2.6, 4.5, 6.0 and 8.5 W/m²
 in the long run.
- Four new "gap" scenarios that define forcing pathways not represented by the RCPs to address new questions of interest for integrated analysis. Two of these fill in gaps between RCPs, one represents a substantial forcing overshoot pathway, and one investigates a forcing pathway below the lowest RCP.
- Scenarios that inform the Paris Agreement goals of limiting warming to below 2° C or 1.5° C. One of the updated RCPs (2.6 W/m²) is expected to produce 1.7°°C warming by 2100 (and would have a likely probability to stay below 2.0°°C), while one of the gap scenarios (<2.6 W/m²) is designed to produce a global warming that would likely be below 1.5° C by 2100.
- Three long-term extensions of scenarios to 2300 to allow investigation of questions related to climate change beyond 2100.
- Scenarios that can anchor experiments in a number of other MIPs (see below) to investigate targeted
 questions, including for example the influence of land use, aerosols and other NTCFs, and overshoot on
 climate outcomes; carbon cycle feedbacks; and ice sheet-climate interactions.
- Only four scenarios (in Tier 1) with only one simulation per scenario are required for any climate model
 participating in this MIP.

1 These scenarios are arranged into two Tiers as follows:

- Tier 1 spans a wide range of uncertainty in future forcing pathways important for research in climate science, IAM, and IAV studies, while also providing key scenarios to anchor experiments in a number of other MIPs (see last column in Table 2). It includes new SSP-based scenarios as continuations of the RCP2.6, RCP4.5 and RCP8.5 forcing levels, and an additional unmitigated forcing scenario (SSP3-7.0) with particularly high aerosol emissions and land use change.
- Tier 2 includes additional scenarios of interest as well as additional ensemble members and long-term extensions. It adds the fourth RCP forcing level, RCP6.0, and two mitigation scenarios achieving relatively low forcing outcomes: SSP4-3.4 (reaching 3.4 W/m² by 2100) addresses policy discussions of mitigation pathways that fall between RCPs 2.6 and 4.5, and a scenario lower than the RCP 2.6 forcing pathway intended aims to help inform policy discussion of a global average temperature limit below 1.5 °C warming relative to pre-industrial levels. It also includes SSP5-3.4-OS, an overshoot pathway, which explores the climate science and policy implications of a peak and decline in forcing during the 21st century.

14 **3.2.2 Description of each scenario and its rationale**

We provide here more specific descriptions and justifications for each of the experiments in the design, as well as for some over-arching features of the design. For each of the 21st century scenarios, we describe the relevance of the forcing pathway and also the rationale for the choice of the driving SSP. Figures 3 and 4 summarize the emissions and land use pathways associated with each scenario, and also provide atmospheric concentrations and global average temperature responses as estimated with a simple climate model.

20 *Tier 1: 21st century scenarios*

SSP5-8.5: This scenario represents the high end of the range of future pathways in the IAM literature, updates the RCP8.5 pathway, and is planned to be used by a number of other CMIP6-Endorsed MIPs (Table 2) to help address their scientific questions. SSP5 was chosen for this forcing pathway because it is the only SSP scenario with emissions high enough to produce a radiative forcing of 8.5 W/m² in 2100.

25 SSP3-7.0: This scenario represents the medium to high end of the range of future forcing pathways. It fills a gap in 26 CMIP5 forcing pathways that is particularly important because it represents a forcing level common to several 27 (unmitigated)that is similar to forcing in the SSP2 baseline scenario as well. Baseline scenarios will be very 28 important to IAV studies interested in quantifying "avoided impacts," which requires comparing impacts in a 29 mitigation scenario with those occurring in an unmitigated baseline scenario. SSP3 was chosen because SSP3-7.0 is 30 a scenario with both substantial land use change (in particular decreased global forest cover) and high NTCF 31 emissions (particularly SO₂) and therefore will play an important role in LUMIP and AerChemMIP, addressing 32 scenario-relevant questions about the sensitivity of regional climate to land use and aerosols. In addition, SSP3 33 (combined with this forcing pathway) is especially relevant to IAM/IAV studies because it combines relatively high societal vulnerability (SSP3) with relatively high forcing. This scenario is also the basis for the requested large
 ensemble (discussed below).

3 SSP2-4.5: This scenario represents the medium part of the range of future forcing pathways and updates the RCP4.5 pathway. It will be used by several other CMIP6-Endorsed MIPs as a reference experiment, for example by 4 5 CORDEX (along with SSP5-8.5) for regional downscaling, a product that will be valuable to the IAV community, by Decadal Climate Prediction Project (DCPP) for short-term predictions out to 2030, and by the Detection and 6 7 Attribution MIP (DAMIP) as a continuation of the historical simulations to update regression-based estimates of the 8 role of single forcings beyond 2015 and to run single forcing experiments into the future by using it as the reference 9 scenario. SSP2 was chosen because its land use and aerosol pathways are not extreme relative to other SSPs (and 10 therefore appear as central for the concerns of DAMIP and DCPP), and also because it is relevant to IAM/IAV 11 research as a scenario that combines intermediate societal vulnerability with an intermediate forcing level.

SSP1-2.6: This scenario represents the low end of the range of future forcing pathways in the IAM literature and updates the RCP2.6 pathway. It is anticipated that it will produce a multi-model mean of significantly less than 2°C warming by 2100 (Figure 3), and therefore can support analyses of this policy goal. SSP1 was chosen because it has substantial land use change (in particular increased global forest cover) and will be used by LUMIP to help address their scientific questions. From the IAM/IAV perspective this scenario is highly relevant since it combines low vulnerability with low challenges for mitigation as well as a low forcing signal.

18 *Tier 2: 21st century scenarios*

SSP4-6.0: This scenario fills in the range of medium forcing pathways and updates the RCP6.0 pathway. SSP4 was chosen because together with SSP4-3.4 it could be used to investigate differences in impacts across global average forcing pathways even if the regional climate effects of land use and aerosols turn out to be strong.

SSP4-3.4: This scenario fills a gap at the low end of the range of future forcing pathways. There is substantial mitigation policy interest in scenarios that reach 3.4 W/m² by 2100, since mitigation costs differ substantially between forcing levels of 4.5 W/m² and 2.6 W/m² (depicted by the RCPs, Clarke et al., 2014). Climate model simulations would allow for impacts of a 3.4 W/m² scenario to be compared to those occurring in the 4.5 or 2.6 W/m² scenarios, to evaluate relative costs and benefits of these scenarios. SSP4 was chosen because it is relevant to IAM/IAV research as a scenario with relatively low challenges to mitigation (SSP4) and therefore is a plausible pairing with a relatively low forcing pathway.

SSP5-3.4-OS: This scenario fills a gap in existing climate simulations by investigating the implications of a substantial 21st century overshoot in radiative forcing relative to a longer-term target. There is substantial interest in the impact, mitigation and adaptation implications of such overshoot, which begins with understanding the climate consequences of such a pathway. This scenario follows SSP5-8.5, an unmitigated baseline scenario, through 2040, at which point aggressive mitigation is undertaken to rapidly reduce emissions to zero by about 2070, and then substantially net negative net-emissions thereafter (Figure 3). This design will enable climate modeling teams to run the scenario by branching from their Tier 1 SSP5-8.5 simulation in 2040. The final design of the overshoot scenario subject to additional consideration of specific features of this scenario including the emissions reduction rates after 2040 and the amount of net negative emissions by the end of the century.

4 SSPa-b (with b around or below 2.0): This scenario represents the very low end of the range of scenarios in the literature measured by their radiative forcing pathway. Scenarios feasible to produce in an IAM that are significantly 5 below RCP2.6 in terms of radiative forcing are currently rare and have only recently become available in the peer 6 7 reviewed literature (Rogelj et al., 2015). There is policy interest in scenarios that would inform a possible goal of 8 limiting global mean warming to 1.5°C above pre-industrial levels based on the Paris COP21 agreement (UNFCCC, 9 2015). CMIP5 RCP2.6 projections, which have a median outcome across models of about 1.6°C global mean 10 surface temperature in 2100, and the SSP1-2.6 scenario and its long-term extension, which is estimated to decline to 1.5°C warming in the 22nd century (Figure 45), can inform analyses of the implications of the 1.5°C target. To 11 12 provide additional information on this target, the ScenarioMIP design will include a scenario with forcing 13 substantially below RCP2.6 in 2100. Multiple IAM groups producing SSP-based scenarios have been able to produce preliminary scenarios based on SSP1 that reach about $\frac{2.01.9}{W/m^2}$ in 2100, leading to an estimated 14 temperature change that first exceeds and then declines to about 1.5°C warming in 2100 with about 50% 15 16 likelihoodleading to a likely (>66%) probability of staying below 1.5°C in 2100 (but a lower probability mid-17 <u>century</u>). We therefore consider SSP1- $\frac{2.01.9}{2.01.9}$ to be a preliminary candidate for this scenario. The final design is subject to additional consideration of specific features of this scenario, including the SSP on which it is based, its 18 19 2030 emissions level, likelihood of peak warming exceeding 1.5°C, and likelihood of warming being below 1.5°C in 20 2100. The emission profile will be characterized by a rapid decline to zero and a long period of negative emissions 21 for CO₂. Research groups interested in comparing climate outcomes between SSPa-b and SSP1-2.6 (anticipated to 22 lead to below 1.5°C and 2°C, respectively) are encouraged to run additional ensemble members of both scenarios to enhance the detection of differences that can be distinguished from natural variability. 23

24 Tier 2: Initial condition ensemble

It is important for scenario-based research to represent the influence of internal variability on climate outcomes. To accommodate this need, while also economizing on model runs, we include an initial condition ensemble for one scenario, based on the assumption that variability estimated for one scenario can be applied to outcomes for others. This initial condition ensemble should be carried out for SSP3-7.0 (a Tier 1 scenario) which has been selected among the Tier 1 experiments for two reasons:

• The relatively high forcing level reached by this scenario by the end of the 21st century will enable the exploration of potential changes in internal variability over a substantial range of global average radiative forcing and temperature change, which could not be assessed if the large ensemble was run for a lower scenario, e.g. SSP2-4.5. Understanding potential changes in variability over a wide range of forcing levels is essential to support the possibility of transferring variability under the large ensemble to other scenarios for which we request only a single ensemble member. SSP3-7.0 has relatively strong land use change and high emissions of NTCFs (unlike the SSP5-8.5 scenario), and therefore has been identified as an important experiment on which variants will be conducted by LUMIP and AerChemMIP to investigate the climate implications of regional differences in land use and aerosol emissions. This topic is also very important to scenario-based studies. In those MIPs, the opportunity to conduct signal-to-noise studies made possible by multiple initial condition ensemble members will be critical.

We request that models run 9 additional ensemble members (if not 9, then as many as possible). These additional
ensemble members would be considered Tier 2 scenarios (i.e., not required model runs for participation in
ScenarioMIP). For all other scenarios, only a single ensemble member is requested.

10 Tier 2: Long-term extensions

There is strong interest from the climate and impacts communities in long-term extensions of scenarios beyond 2100
 to address questions of long term feedbacks and reversibility which might not be apparent from a shorter simulation.
 The ScenarioMIP long-term extensions will consist of three experiments (Figure 45).

- 14 Two of these will provide low and high cases for long-term change, comprising extensions for SSP5-8.5 and SSP1-2.6 in a style similar to the extensions of RCP8.5 and RCP2.6 in CMIP5. For the extension of 15 16 SSP5-8.5, this involves CO_2 emissions that are reduced linearly starting in 2100 to less than 10 GtC O_2/yr 17 in 2250, while all other emissions are held constant at 2100 levels. This emissions pathway a level that is 18 estimated to produce equilibrated radiative forcing over the period 2200-2300 at a high-level similar to the level reached in the long-term extension of RCP8.5 designed for CMIP5 (Meinshausen et al., 2011c; 19 around-just above $14-12 \text{ W/m}^2$ in the simple climate model used in Figure 45)-over the period 2200 2300. 20 For SSP1-2.6 the rate of negative carbon emissions from fossil fuels reached in 2100 is extended to 2150 21 22 2140 and then increases linearly to zero in $\frac{2200}{2185}$, with all other emissions (including CO₂ from land use) held constant at 2100 levels, leading to slowly declining forcing that approximately stabilizes in 23 beyond 2200 around $\frac{1.52.0}{1.52.0}$ W/m². This extension is expected to achieve a long term equilibration 24 25 temperature of 1.25° -C above pre-industrial temperatures, based on the simple climate model simulations 26 tuned to represent the median of CMIP5 models (used in Figure 45).
- 27 A third case will extend the overshoot scenario (SSP5-3.4-OS) such that forcing continues to decline beyond 2100 to eventually reach very low forcing levels, possibly in the vicinity of the SSP1-2.6 extension 28 . In this way, the scenario can be seen as an overshoot of the 3.4 W/m^2 level (which it exceeds and then 29 returns to by about 2100) and of the 2.6 W/m^2 level, which it returns to in the 23^{rd} -first half of the 22^{nd} 30 31 century. The extension assumes that the level of negative CO₂ emissions from fossil fuels level reached in 2100 remains constant at that level-until 21502140, and then emissions-increase linearly to reach zero by 32 33 22502190. CO₂ emissions from land use are linearly reduced from 2100 to reach the SSP1-2.6 level in 2120 (and then remain at that level thereafter), while all other emissions are held constant at 2100 levels. Like 34 the SSP1-2.6 extension, this pathway also produces a global mean temperature that equilibrates at about 35

<u>1.25 °C above pre-industrial temperatures beyond 2200, but with a higher peak temperature (about 2.4 °C)</u> during the 21st century.

3 **3.3 Other design features**

1

2

4 3.3.1 <u>ECO2-emissions- vs. concentration-driven</u>

5 The scenarios specified in the ScenarioMIP design are to be run as concentration-driven experiments for long-lived 6 greenhouse gases. Such scenarios are more consistent with the "integration" role that these scenarios will play in the 7 broader research community. The conceptual framework for scenario-based research (Section 2.3) is based on 8 investigating the implications of alternative climate futures. In order for research using ScenarioMIP climate 9 projections to be as comparable across studies as possible, it is important to ensure that the climate outcomes of the 10 experiments roughly represent the intended forcing levels.

The scenario simulations specified in ScenarioMIP are to be performed in the same configuration as the one used in the CMIP6 historical simulations, ensuring continuity in the climate simulations. In addition, this means that the configuration used for the scenario simulations can benefit from the model evaluation over the historical period. This implies that the modeling groups must use the ScenarioMIP-provided concentrations for all long-lived greenhouse gases (CO₂, CH₄, N₂O, CFCs). For all other radiatively active constituents (i.e., aerosols and ozone), the modeling groups will use either the ScenarioMIP emissions (from anthropogenic and biomass burning sources only, consistent with the historical emissions) or the CMIP-provided concentrations.

18 The choice between concentration- and emissions--driven runs relates to a trade-off between the use of scenarios as 19 means of integration across the different communities and the representation of model differences and overall 20 uncertainty. In particular, concentration-driven scenarios do not allow for assessing amplification effects of 21 biogeochemical feedbacks (e.g., in which climate change influences the carbon cycle, producing more emissions and 22 more climate change, and further influencing the carbon cycle) beyond what is included in the model used to 23 generate the ScenarioMIP-provided GHG concentrations. The amplification impacts will however be partially 24 investigated in C⁴MIP and AerChemMIP simulations (see Section 3.3.3. below) and an assessment of a range of 25 sources of uncertainty will be possible by combining the results from several of the CMIP6-Endorsed MIPs.

26 **3.3.2 Relation to CMIP5**

CMIP6 climate projections will differ from those for CMIP5 due to both a new generation of climate models, a new start year for the future scenarios (2015 for CMIP6 vs 2006 for CMIP5), as well as a new set of scenarios of concentrations, emissions and land use (Figures 3 and 4). We recognize that such an approach could be problematic for uncertainty analysis, as the separation of model vs. scenario uncertainty is unclear (Knutti and Sedláček, 2013). For multiple research communities it will be useful to evaluate the difference in climate outcomes that is due to the changes in climate models alone, in particular to understand how the new models have revised our understanding of the climate response to anthropogenic forcing. Such an evaluation is also valuable in order to determine whether 1 CMIP5 and CMIP6 results could be used together in research on impacts and adaptation (and how), or whether IAM 2 and IAV researchers should abandon CMIP5 simulations in favor of CMIP6 simulations when they become 3 available. It is not part of the ScenarioMIP design to carry out simulations that would inform this evaluation. 4 However, it would be interesting to the community if climate modeling teams investigated this question. Possible 5 approaches include running the CMIP6 SSP-based RCPs with single models of the previous (CMIP5) generation, 6 running the CMIP5 RCPs using new (CMIP6) model versions, or carrying out relevant analyses with climate model 7 emulators.

8 3.3.3 Relation to other CMIP6-Endorsed MIPs, the DECK, and the CMIP6 historical simulations

9 The ScenarioMIP design is intended to provide a basis for targeted scenarios to be run in other CMIP6<u>-E</u>_endorsed 10 MIPs in order to address specific questions regarding the sensitivity of climate change outcomes to particular 11 aspects of these scenarios, especially land use and emissions of NTCFs. We describe here current plans for 12 coordinated experiments. A summary of the scenarios within the ScenarioMIP design that are currently part of plans 13 for other CMIP6-Endorsed MIPs is provided in the experimental design table (Table 2).

14 DECK and CMIP6 historical simulations

15 Models participating in CMIP6 must carry out a small set of simulations intended to maintain continuity and 16 document basic characteristics of models across different phases of CMIP. The ScenarioMIP simulations relate to 17 the DECK and the CMIP6 historical simulations by using the end of the historical simulations (December 31, 2014) as the starting point of future projections (January 1, 2015, with consistency ensured through the forcing 18 19 harmonization of emissions, concentrations, and land use across scenarios and between scenarios and historical 20 simulations; Smith et al? Hurtt et al.?). Analysis of present day climate will likely connect the first few years of the 21 climate projections to the historical runs for those studies using the most up-to-date observational datasets 22 (extending to the years after 2015). An evaluation of the CMP6 historical simulations will provide insights into the 23 reliability of the CMIP6 models and the method of emergent constraints (see section 2.2) can be explored to 24 recalibrate the ensemble and to reduce the uncertainty in the response to a given scenario of future forcing. Internal 25 variability characterized through the pre-industrial control runs of the DECK will also serve as a basis of comparison 26 with internal and forced variability simulated with future scenarios.

27 Aerosols and Chemistry MIP (AerChemMIP)

AerChemMIP (Collins et al., 2016) has a Tier 1 experiment (with additional Tier 2 and 3 related studies) directed at the sensitivity of climate to near term climate forcers. This experiment will use the SSP3-7.0 scenario from ScenarioMIP as a starting point and devise a lower air pollutant variant of this scenario by assuming pollution controls, or maximum feasible reductions in air pollutants. In addition, AerChemMIP will make use of the LUMIP land-use variant on SSP3-7.0 (with land use from SSP1-2.6) to study couplings between land-use changes and atmospheric chemistry.

1 Coupled Climate Carbon Cycle MIP (C^4 MIP)

ScenarioMIP will coordinate with C⁴MIP (Jones et al, 2016) on targeted scenarios regarding concentration vs 2 3 emission driven simulations. While the ScenarioMIP protocol will request CO₂ concentration-driven simulations 4 (see above), C⁴MIP/Tier 1 will recommend emission-driven simulations for SSP5-8.5 in order to explore the 5 implications of carbon cycle feedbacks on projected atmospheric CO₂ and hence on climate change. As mentioned before, C⁴MIP also has an interest in the extensions of scenarios beyond 2100 (e.g. up to 2300 as in CMIP5). 6 7 C⁴MIP/Tier2 proposes an uncoupled simulation (called BGC mode) for SSP5-8.5 and its extension beyond 2100 in 8 order to investigate climate change impacts on Earth System components that operate on longer time scales 9 (vegetation, permafrost, oceanic circulation and carbon export, etc.). C⁴MIP has expressed high interest in analyzing 10 the ScenarioMIP overshoot scenario.

11 Detection and Attribution MIP (DAMIP)

DAMIP (Gillett et al., 2016) plans to use SSP2-4.5 as an anchoring scenario on the basis of which individual forcing simulations extended to the end of the century will be specified and then compared. These experiments are aimed at distinguishing the climate effects of different forcers and facilitating the identification of observational constraints and their use in future projections. SSP2-4.5 will also be used to extend the historical (all forcing) runs to 2020 for use in regression-based estimates of the role of individual forcings within the observational constraint provided by observational records up to the years beyond 2015 (by the time CMIP6 output will be available and the next IPCC assessment report will be written).

19 Decadal Climate Prediction Project (DCPP)

DCPP (Boer et al., 2016) plans to use SSP2-4.5 forcings for its initialized short-term predictions out to 2030, and SSP2-4.5 runs as comparison to evaluate the prediction skills of those predictions.

22 Geoengineering MIP (GeoMIP)

23 GeoMIP (Kravitz et al., 2016) has proposed several experiments that will use two scenarios from ScenarioMIP as a 24 basis from which geoengineering measures would be implemented. Forcing pathways from other ScenarioMIP 25 scenarios would serve as targets for those measures. In particular, SSP5-8.5 would be used as a basis for four 26 experiments: using geoengineering to reduce forcing to a medium forcing (G6Sulfur and G6Solar experiments) or 27 low forcing (G6Sulfur_SSP1-2.6) Tier 1 scenario, investigating the effect of cirrus cloud thinning (G7Cirrus 28 experiment), and investigating the effect of fixed levels or stratospheric aerosol injections (GeoFixed10, 20, 50). The 29 G6Sulfur and G6Solar experiments will also be extended beyond 2100, with geoengineering applied to reduce 30 forcing from the extension of RCP8.5 down to the forcing level of SSP2-4.5 (the medium forcing Tier 1 scenario). 31 In addition, SSP2-4.5 would be used as a basis for a stratospheric aerosol injection experiment (G4SSA). Overshoot 32 scenarios are also of potential interest to GeoMIP given that geoengineering may be an option for avoiding 33 overshoot.

1 Ice Sheet MIP for CMIP6 (ISMIP6)

ISMIP6 (Nowicki et al., 2016) will be proposing two types of experiments that will draw on long-term extensions of a scenario from ScenarioMIP in order to investigate ice sheet response and ice-climate interactions on centennial timescales. In particular, an extension of SSP5-8.5 to 2300 would be used to provide climate model output for offline (uncoupled) ice sheet simulations, and to provide emissions/concentrations for fully coupled ice sheetclimate model experiments.

7 Land Use MIP (LUMIP)

8 LUMIP (Lawrence et al., 2016) plans to design experiments that use two scenarios from ScenarioMIP as a basis for 9 testing sensitivity to land use change. These two scenarios would differ both in forcing levels and in land use

10 change. These two scenarios will be the SSP3-7.0 and the SSP1-2.6 scenarios. These two scenarios span a range of

11 approximately 4.5 W/m² (7.0 vs 2.6 W/m² in 2100), and likely will differ substantially in land use change, with

- 12 substantial deforestation in the SSP3-7.0 and net afforestation in SSP1-2.6.
- 13 Radiative Forcing MIP (RFMIP)

14 RFMIP (Pincus et al., 2016) has plans to estimate radiative forcing in different models for a future scenario,

15 preferably a high forcing pathway. At the moment the candidate is SSP5-8.5, whose forcings would be applied to

16 current day fixed SSTs in the idealized setting of the RFMIP experiments.

17 Vulnerability, Impacts, Adaptation and Climate Services (VIACS) Advisory Board

Researchers examining the consequences of climate change and potential adaptations are a key user group of CMIP outputs and products. ScenarioMIP will establish a close link with the impact community through the VIACS Advisory Board (Ruane et al., 2016) and other relevant groups to facilitate integrated research that leads to a better understanding not only of the physical consequences of these scenarios on the climate system, but also of the climate impact on societies. In particular ScenarioMIP will link with the VIACS Advisory Board to ensure that the climate model output from the scenarios allows for sector-specific indices being derived (e.g., heat damage degree days for

24 ecosystems, consecutive dry days for agriculture and water resources).

4. Inputs (forcings) and outputs

The forcings required to run the climate model simulations of the experiments listed in Table 2 include global spatial distributions of emissions and concentrations of greenhouse gases, ozone concentrations (or precursors, for emissions), and aerosols and land use, at a level of spatial detail suitable for the generation of climate models that will be used in CMIP6. Table 3 provides a list of input variables. These projections will be the results of IAM-based scenarios at the level of world regions with a time horizon of 2015-2100. The underlying IAM scenarios are

31 documented in a Special Issue in Global Environmental Change (Riahi et al., 2016).

The IAM output will be harmonized to be consistent with recent historical data for land use, greenhouse gas and air pollutant emissions and concentrations (which will also be used for the historical runs in CMIP6). The data will in a next step be downscaled to spatial grids. This process will basically be done using the methods applied earlier for the RCPs (Van Vuuren et al., 2011a). The methods and results for land-use data are described in detail in Hurtt et al. (this issue).

6 Figures 3 and 4-5 show preliminary versions of the forcing pathways associated with the eight 21^{st} century scenarios 7 and three long-term extensions, as calculated by the IAMs.

Future simulations will also require specification of natural forcings, in particular solar forcing and volcanic
emissions. For CMIP6, these forcings will differ from what was used in CMIP5. Solar time series will be provided

10 as described on the SOLARIS-HEPPA website at http://solarisheppa.geomar.de/cmip6 and in Matthes et al. (2016,

11 <u>submitted to GMD</u>. Volcanic forcing will be ramped up from the value at the end of the historical simulation period

(2015) over 10 years to the same constant value prescribed for the piControl simulations in the DECK, and then willbe kept fixed.

ScenarioMIP has not defined a separate data request for CMIP6, but rather recommends that variables that are requested for the DECK and the CMIP6 historical simulations are also stored for the future climate model simulations. This includes climate model output of interest to the IAM and IAV communities as identified by the CMIP6 Vulnerability, Impacts, Adaptation and Climate Services (VIACS) Advisory Board, see the contribution on the CMIP6 data request to this Special Issue for further details (data request ref).

19 5. Conclusions

20 The ScenarioMIP experimental design aims to facilitate a wide range of integrated studies across the climate 21 science, integrated assessment modelling and IAV communities. It will do so as one element of a larger scenario 22 process that also includes a new set of societal development pathways (SSPs) over the 21st century. Integrating 23 climate simulations from ScenarioMIP with the SSPs or other characterizations of societal futures will allow for 24 analyses of future mitigation, adaptation, and impacts that account for both climate and societal change in a coherent 25 fashion. Multi-model climate model projections from ScenarioMIP will also provide the basis for investigating a 26 number of targeted scientific questions regarding the role of specific forcings and the contribution of forcing 27 uncertainty to the total uncertainty budget, the effect of a peak and decline in forcing, and long-term climate system 28 outcomes beyond the 21st century. The multi-model approach will allow for a better characterization of uncertainty 29 in climate outcomes than would otherwise be possible, and the design also calls for a large initial condition 30 ensemble that will allow for representation of internal variability in impact studies as well as improved signal 31 detection in experiments in other MIPs that will carry out variants of this scenario. Ultimately, the success of 32 ScenarioMIP lies in the broad participation of the CMIP6 modelling groups in Tier 1 experiments, but also in Tier 2 33 experiments since they offer the opportunity to study additional interesting and new science and policy questions.

1 Beyond the establishment of the experimental design, remaining tasks for ScenarioMIP include ensuring that 2 emissions, concentrations, and land use scenarios from integrated assessment models are provided to participating 3 climate models as inputs for their simulations. While ScenarioMIP will participate in this process, primary 4 leadership for the emissions will come from separate groups. The IAMC Scenarios Working Group is coordinating 5 the production of SSP-based IAM scenarios, which include emissions and land use generated at the level of world 6 regions. That group will also coordinate a process for harmonizing emissions across IAMs to be consistent with a 7 common estimate of recent historical data, as well for downscaling emissions to the grid cell level needed for 8 climate model input. Land-use scenarios produced by IAMs will be downscaled using a methodology developed 9 within LUMIP, in coordination with the IAMC working group.

Once climate model simulations for ScenarioMIP have been completed, the SSC will coordinate some of the first analyses of results, aiming at delivering the initial description of the new scenarios' principal physical climate outcomes, ideally in comparison to the CMIP5 RCP outcomes. However, we do not include a specific comprehensive analysis plan in this paper, because the research communities that are interested in analyzing our MIP results are well-established, diverse, and large. Individual modelling and research groups and investigators will likely self-organize to carry out studies of future changes on variables, regional domains, impacts and mitigation measures of interest.

17 Data availability

18 The climate model output from ScenarioMIP experiments described in this paper will be distributed through the 19 Earth System Grid Federation (ESGF) with DOIs assigned. As in CMIP5, the model output will be freely accessible 20 through data portals after registration. In order to document CMIP6's impact and enable ongoing support of CMIP, 21 users are obligated to acknowledge CMIP6 and the participating modelling groups (see details on the CMIP Panel 22 website at http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip). In order to run the experiments, 23 datasets for future natural and anthropogenic forcings are required. The recommendation for the future solar forcing 24 datasets and background volcanic aerosol are described in separate contributions to this Special Issue. These datasets 25 for natural forcings will be made available through the ESGF with version control and DOIs assigned. All other 26 forcing data (land use, emissions, concentrations, extensions) required for the future SSP-RCPs selected in 27 ScenarioMIP will SSP be made publicly accessible at the database at https://tntcat.iiasa.ac.at/SspDb/dsd?Action=htmlpage&page=about. 28

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1 Tables

2 Table 1: Scientific questions addressed by ScenarioMIP related to the CMIP6 science questions.

CMIP6 Science Question	Sub-questions addressed by ScenarioMIP
How does the Earth system respond to forcing?	 How does the Earth system respond to forcing pathways relevant to IAM and IAV research and to policy considerations? What is the uncertainty in global and regional climate change due to variations in future land use and NTCFs emissions that are feasible in an IAM, and how does it compare to multi-model uncertainty in the response to a given forcing pathway? How much do alternative shapes of forcing pathways (e.g. overshoot) feasible to produce in an IAM matter to climate change outcomes, and therefore to questions about mitigation, impacts, and adaptation? What is the uncertainty in global and regional climate as a result of model uncertainty (as opposed to scenario variations), and how can this be estimated from a model ensemble of opportunity without a specific design to sample uncertainty? Can emergent constraints (i.e., statistical relationships between features of current and projected future climate that emerge from considering the multimodel ensemble as a whole) be used to recalibrate the ensemble and to quantify or reduce the uncertainty in the response to a given scenario of future forcing? In which part of the Earth System, and when, are such constraints expected to emerge, how do they trace back to modelled processes, are those processes adequately represented, and how can this information be used to improve models, point to critical observations and monitoring programs, and link process understanding, detection and attribution, projections, and uncertainty quantification?
How can we assess future climate changes given climate variability, climate predictability, and uncertainties in scenarios?	• How can we assess future climate changes for forcing pathways spanning a range of uncertainties in global and regional forcing relevant to IAM and IAV research, as well as to policy?

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1 Table 2: ScenarioMIP experimental design.

Scenario name	Forcing category	2100 Forcing ¹ (W/m ²)	SSP	Use by other MIPs ²
Tier 1 ³				
SSP5-8.5	High	8.5	5	C ⁴ MIP, GeoMIP, ISMIP6, RFMIP
SSP3-7.0	High	7.0	3	AerChemMIP, LUMIP
SSP2-4.5	Medium	4.5	2	VIACS AB, CORDEX, GeoMIP, DAMIP, DCPP
SSP1-2.6	Low	2.6	1	LUMIP
Tier 2				
Additional 21st centu	ry scenarios			
SSP4-6.0	Medium	5.4	4	GeoMIP
SSP4-3.4	Low	3.4	4	
SSP5-3.4-OS	Overshoot	3.4	5	
SSP <u>a</u> - <u>b</u>	Low	Around or below 2.0	1 (prelim.)	
Ensembles ⁴				
SSP3-7.0	9-member ensemble	7.0	3	AerChemMIP, LUMIP
Extensions				
SSP5-8.5-Ext	Long-term extension	8.5	5	C ⁴ MIP, ISMIP6, GeoMIP
SSP5-3.4-OS-Ext	Long-term extension	3.4	5	
SSP1-2.6-Ext	Long-term extension	2.6	1	

2 Table 2 Notes

3 1 Forcing levels are nominal identifiers. Actual forcing levels of the scenarios depend, for non-climate policy

4 scenarios, on socio-economic developments while for scenarios that include climate policy, the objective was to

replicate forcing in the RCPs run as part of CMIP5. These values differed somewhat from the nominal levels. In
 addition, for SSP4-6.0, the 6.0 W/m² forcing refers to a stabilization level achieved beyond 2100.

2 Current plans by other MIPs to use ScenarioMIP scenarios either directly or as a basis for a variant to be run as
part of their own design are indicated here. <u>These plans are subject to change in the final versions of MIP designs.</u>

9 3 We strongly recommend that modeling groups participating in ScenarioMIP run at least the four scenarios in Tier

10 1, and as many additional scenarios as possible, guided by this prioritization. However, for any group running fewer

11 than four scenarios, SSP5-8.5 should be considered the highest priority.

12 4 We request that models run 9 or more additional initial condition ensemble members for the SSP3-7.0 scenario (if

13 not 9, then as many as possible).

1 Table 3: Anthropogenic forcing in ScenarioMIP experiments

Variable	Subcategories	Resolution	Sources
Land use	Crop, pasture, urban area, vegetation, forest (latter two both primary and secondary).	Spatial maps indicating land use and transition matrices	Methods for historical data and scenarios described in Hurtt et al (this issue)
Emissions of long-lived greenhouse gases Concentrations of long-lived greenhouse gases	CO ₂ , N ₂ O, halogenated gases CO ₂ , N ₂ O, halogenated gases	Spatial maps and/-or emissions by region. Time series	Historical data described in Meinshausen et al. (this issue)
Emissions of air pollutants	CH ₄ , SO ₂ , NO _x , VOC, CO, NH _y , BC, OC	Spatial maps	Historical data described by Smith et al. (this issue)
Short-lived forcing	Ozone, optical depth	Spatial maps	

1 Figures

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- 2 Figure 1: SSP-forcing scenario matrix illustrating the combination of a 4.5 W/m² forcing pathway with
- 3 alternative SSPs. The dark blue cell illustrates a scenario serving as part of the design of ScenarioMIP. The green
- 4 cell represents RCP4.5 in CMIP5, which was based on a previous emissions and land-use scenario. White cells
- 5 indicate scenarios for which climate information would come from either the CMIP5 or CMIP6 simulations.



Shared Socioeconomic Pathways

1 Figure 2: SSP-RCP scenario matrix illustrating ScenarioMIP simulations. Each cell in the matrix indicates a 2 combination of socioeconomic development pathway (SSP) and climate outcome based on a particular forcing 3 pathway that is feasible to produce in an IAM. Dark blue cells indicate scenarios that will serve as the basis for climate model projections in Tier 1 of ScenarioMIP; light blue cells indicate scenarios in Tier 2. An overshoot 4 5 version of the 3.4 W/m² pathway is also part of Tier 2, as are long-term extensions of SSP5-8.5, SSP1-2.6 and the 6 overshoot scenario, and initial condition ensemble members of SSP3-7.0. White cells indicate scenarios for which 7 climate information is intended to come from the SSP scenario to be simulated for that row. CMIP5 RCPs, which 8 were developed from previous socioeconomic scenarios rather than SSPs, are shown for comparison. Note the 9 SSP1-2.01.9 scenario indicated here is preliminary (see text).



Shared Socioeconomic Pathways

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Figure 3: CO₂ emissions (a), concentrations (b), <u>anthropogenic</u> radiative forcing (c), and global mean temperature (d) for the 21st century scenarios in the ScenarioMIP design, from Riahi et al. (2016). <u>Concentration, f</u>Forcing and temperature outcomes are calculated with a simple climate model<u>and exclude solar and volcanic forcing (MAGICC</u> version 6.8.01 BETA, Meinshausen et al., 2011a, 2011b). Temperature projections include natural forcing in the historical period; projections assume zero volcanic forcing and maintain 11-year solar forcing cycles, consistent with the CMIP5 approach (Meinshausen et al., 2011c). Gray areas represent the range of scenarios in the scenarios

7 database for the IPCC Fifth Assessment Report (Clarke et al., 2014).

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Figure 4: Changes in cropland (a), forest (b), pasture (c) and other natural land (d) for the 21st century scenarios in

2 the ScenarioMIP design, from the same IAM runs used to produce Figure 3. Land use change for the RCPs (van

3 <u>Vuuren et al., 2011b) is shown for comparison.</u>



Figure 45: CO₂ emissions (panels a and ba) and concentrations (b), anthropogenic radiative forcing (c), and global mean temperature change (panel ed) and total radiative forcing (panel c) for the three long-term extensions. As in Figure 3, concentration, forcing and temperature outcomes are calculated with a simple climate model (MAGICC version 6.8.01 BETA, Meinshausen et al., 2011a, 2011b). Outcomes for the CMIP5 versions of the long-term extensions of RCP2.6 and RCP8.5 (Meinshausen et al., 2011c), as calculated with the same model, are shown for comparison. Forcing and temperature outcomes are calculated with a simple climate model (ISAM) and represent estimates of median outcomes that would be obtained with CMIP5 climate models.



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