



# 1 **DynVarMIP: Assessing the Dynamics and Variability of** 2 **the Stratosphere-Troposphere System**

3

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9

10 **Abstract.** Diagnostics of atmospheric momentum and energy transport are needed to investigate the  
11 origin of circulation biases in climate models and to understand the atmospheric response to natural and  
12 anthropogenic forcing. Model biases in atmospheric dynamics are one of the factors that increase  
13 uncertainty in projections of regional climate, precipitation, and extreme events. Here we define  
14 requirements for diagnosing the atmospheric circulation and variability across temporal scales and for  
15 evaluating the transport of mass, momentum and energy by dynamical processes in the context of the  
16 Coupled Model Intercomparison Project Phase 6 (CMIP6). These diagnostics target the assessments of  
17 both resolved and parameterized dynamical processes in climate models, a novelty for CMIP, and are  
18 particularly vital for assessing the impact of the stratosphere on surface climate change.

19

20 **Keywords:** Atmosphere, dynamics, momentum and energy transfer, variability, climate and climate  
21 change.

## 22 **1. Introduction**

23

24 The importance and challenge of addressing the atmospheric circulation response to global warming  
25 have recently been highlighted by Shepherd (2014) and Vallis et al. (2015). Understanding circulation  
26 changes in the atmosphere, particularly of the mid-latitude storm tracks, has been identified by the  
27 World Climate Research Programme (WCRP) as one of the grand challenges in climate research. The  
28 storm tracks depend critically on the transport of momentum, heat and chemical constituents  
29 throughout the whole atmosphere. Changes in the storm tracks are thus significantly coupled with  
30 lower atmosphere processes such as planetary boundary layer, surface temperature gradients and  
31 moisture availability (e.g. Garfinkel et al., 2011, Booth et al., 2013) as well as with processes in the  
32 stratosphere, from natural variability on synoptic to intraseasonal timescales (e.g. Baldwin and  
33 Dunkerton, 2001) to the response to changes in stratospheric ozone (e.g. Son et al., 2008) and other  
34 anthropogenic forcings (e.g. Scaife et al., 2012).

35

36 Rather than proposing new experiments, the strategy of the “Dynamics and Variability Model  
37 Intercomparison Project” (DynVarMIP) is to request additional model output from standard CMIP  
38 experiments. This additional output is critical for understanding the role of atmospheric dynamics in



39 past, present and future climate. Both resolved processes (e.g. Rossby waves) and parameterized  
40 processes (e.g. gravity waves and the planetary boundary layer) play important roles in the dynamics  
41 and circulation of the atmosphere in models. DynVarMIP seeks to ensure that sufficient diagnostics of  
42 all key processes in climate models are archived. Without this model output, we will not be able to  
43 fully assess the dynamics of mass, momentum, and heat transport - essential ingredients in projected  
44 circulation changes - nor take advantage of the increasingly accurate representation of the stratosphere  
45 in coupled climate models. Our rationale is that by simply extending the standard output relative to that  
46 in CMIP5 for a selected set of experiments, there is potential for significantly expanding our research  
47 capabilities in atmospheric dynamics.

48  
49 Investigation of the impact of solar variability and volcanic eruptions on climate also relies heavily on  
50 atmospheric wave forcing diagnostics, as well as radiative heating rates (particularly in the short wave).  
51 By extending our request to the energy budget and including diagnostics such as diabatic heating from  
52 cloud-precipitation processes, research on the links between moist processes and atmospheric dynamics  
53 will be enabled as well. The interplay between moist processes and circulation is central to the WCRP  
54 Grand Challenge on Clouds, Circulation and Climate Sensitivity (Bony et al., 2015).

55  
56 The CMIP5 saw a significant upward expansion of models with a more fully resolved stratosphere (e.g.  
57 Gerber et al., 2012), and several multi-model studies have investigated the role of the stratosphere in  
58 present climate and in projections of future climate (e.g., Anstey et al., 2013; Charlton-Perez et al.,  
59 2013; Gerber and Son, 2014; Hardiman et al. 2013; Lott et al., 2014; Manzini et al., 2014; Min and  
60 Son, 2013; Shaw et al., 2014; Wilcox and Charlton-Perez, 2013) in addition to many other single  
61 model studies. These studies document a growing interest in the role of middle and upper atmosphere  
62 in climate (cf. Kidston et al., 2015). New research in this direction will take full advantage of the  
63 DynVarMIP diagnostics.

## 64 2. Objectives and Scientific Questions

65  
66 DynVar focuses on the interactions between atmospheric variability, dynamics and climate change,  
67 with a particular emphasis on the two-way coupling between the troposphere and the stratosphere. To  
68 organize the scientific activity within the MIP, we have identified the following key questions:

- 69  
70
- 71 • How do dynamical processes contribute to persistent model biases in the mean state and  
72 variability of the atmosphere, including biases in the position, strength, and statistics of the  
storm tracks, blocking events, and the stratospheric polar vortex?
  - 73 • What is the role of dynamics in shaping the climate response to anthropogenic forcings (e.g.  
74 global warming, ozone depletion) and how do dynamical processes contribute to uncertainty  
75 in future climate projections and prediction?
  - 76 • How does the stratosphere affect climate variability at intra-seasonal, inter-annual and decadal  
77 time scales?



78

79 Investigation of these topics will allow the scientific community to address the role of atmospheric  
80 dynamics in the key CMIP6 science questions concerning the origin and consequences of systematic  
81 model biases, the response of the Earth System to forcing, and how to assess climate change given  
82 climate variability (Eyring et al this Special Issue). In particular, there is a targeted effort to contribute  
83 to the storm track theme of the Clouds, Circulation and Climate Sensitivity Grand Challenge. The  
84 DynVarMIP focus on daily fields and diagnostics of the atmospheric flow is also relevant to the Grand  
85 Challenge on Climate Extremes, and could also enable contributions to the additional theme on  
86 Biospheric Forcings and Feedbacks.

### 87 3. The Diagnostics

88

89 The DynVarMIP requests both enhanced archival of standard variables from the CMIP5 and new  
90 diagnostics to enable analysis of both resolved and parameterized processes relevant to the dynamics of  
91 the atmosphere. The diagnostics are organized around three scientific themes, as detailed below.

92

93 The diagnostics are requested from the DECK experiments, namely the AMIP atmosphere-only model  
94 integrations [preferably for a minimum of 3 realizations] and selected 40-year periods of the  
95 preindustrial control [years 111-150 after the branching point], abrupt4xCO2 [years 111-150] and  
96 1pctCO2 [years 111-150] coupled model integrations. To allow comparisons with CMIP5, the  
97 diagnostics are also requested for 40-year periods of the CMIP6 historical [1961-2000] and the  
98 ScenarioMIP RCP8.5 [2061-2100] experiments (cf. Manzini et al. 2014). In addition, the DynVar  
99 diagnostics (or relevant subsets thereof) are part of the diagnostic requests of AeroChemMIP, DAMIP,  
100 DCP, HighResMIP, and VolMIP [this Special Issue]. Note that modeling centers need only commit to  
101 providing diagnostics to the DECK and the CMIP6 historical experiments, however, to participate in  
102 the DynVarMIP.

#### 103 3.1 Atmospheric variability across scales (short name: *variability*)

104

105 The first request of the DynVarMIP is enhanced archival of standard variables (listed in Table 1) as  
106 daily and monthly means. While modeling centers have been archiving increasingly fine horizontal  
107 resolution (close to the native model grid), vertical sampling has been limited to standard levels that  
108 changed little from CMIP3 to 5.

109

110 The need for enhanced vertical resolution is particularly acute in the upper troposphere and lower  
111 stratosphere (UTLS), where there are steep vertical gradients in dynamical variables (e.g. temperature  
112 and wind) and chemical constituents (e.g. water vapor and ozone) across the tropopause. Without this  
113 finer vertical resolution, analyses of the UTLS would be limited by vertical truncation errors,  
114 preventing us from taking full advantage of increased horizontal resolution offered in new model  
115 integrations.

116



117 A number of other MIPs, in particular HighResMIP (this Special Issue), have also recognized the need  
118 for enhanced vertical resolution for daily data. A common proposed request, the “plev19” set of  
119 pressure levels, has consequently been reached (Martin Juckes, personal communication, see:  
120 [https://earthsystemcog.org/site\\_media/projects/wip/CMIP6\\_pressure\\_levels.pdf](https://earthsystemcog.org/site_media/projects/wip/CMIP6_pressure_levels.pdf)). The pressure levels  
121 of the plev19 set are 1000, 925, 850, 700, 600, 500, 400, 300, 250, 200, 150, 100, 70, 50, 30, 20, 10, 5,  
122 and 1 hPa.

123

124 The diagnostics in Table 1 will allow for evaluation of atmospheric variability across time and spacial  
125 scales, e.g. the assessment of model biases in blocking events, the tropospheric storm tracks, and the  
126 stratospheric polar vortices. Comparison between the preindustrial control, historical, and idealized  
127 (e.g. 1pctCO2 and RCP8.5) integrations will allow for evaluation of the response of atmospheric  
128 variability to external forcings.

129

130 Novel to CMIP6 is also the daily zonal mean geopotential (zmzg, Table 1), tailored to the need of  
131 DCP (Decadal Climate Prediction Project) to analyze variability on longer time scales and for a large  
132 number experiments, while minimizing storage requirements.

### 133 **3.2 Atmospheric zonal momentum transport (short name: *momentum*)**

134

135 The second group of diagnostics focuses on the transport and exchange of momentum within the  
136 atmosphere and between the atmosphere and surface, and are listed in Tables 2, 3 and 4. Within this  
137 group, a number of new (to CMIP) diagnostics and variables are requested. The goal of this set is to  
138 properly evaluate the role of both the resolved circulation and the parameterized dynamical processes  
139 in momentum transport. As daily timescales must be archived to capture the role of synoptic  
140 processes, we focus on the zonal mean circulation, thereby greatly reducing the total output that must  
141 be stored permanently. We have also prioritized the new variables, as noted in Tables 2, 3 and 4.  
142 Priority 1 variables are essential to the MIP and required for participation. Priority 2 variables would  
143 be very valuable to the MIP, but not necessary for participation.

144

145 The zonal mean quantities are requested on the “plev39” vertical levels: 1000, 925, 850, 700, 600, 500,  
146 400, 300, 250, 200, 170, 150, 130, 115, 100, 90, 80, 70, 50, 30, 20, 15, 10, 7, 5, 3, 2, 1.5, 1, 0.7, 0.5,  
147 0.4, 0.3, 0.2, 0.15, 0.1, 0.07, 0.05, and 0.03 hPa. This fine sampling would allow for detailed  
148 exploration of the vertical momentum transport. Subsampling is allowed for models with lower vertical  
149 resolution or lower model tops.

150

151 Models largely resolve the planetary and synoptic scale processes that dominate the transport of  
152 momentum within the free atmosphere. Quantification of this transport, however, depends critically on  
153 vertical and horizontal wave propagation. The Transformed Eulerian Mean (TEM) framework allows  
154 one to efficiently quantify this momentum transport by waves, in addition to estimating the Lagrangian  
155 transport of mass by the circulation (e.g. Andrews and McIntyre, 1976; 1978). In the stratosphere, the  
156 TEM circulation is thus far more relevant to transport of trace gases (e.g. ozone and water vapor) than



157 the standard Eulerian mean circulation (e.g. Butchart 2014). We have therefore request diagnostics  
158 based on the TEM framework (see Table 2). The details of these calculations are presented in the  
159 Appendix, and further insight can be found in the textbooks by Andrews et al., (1987; pages 127-130)  
160 and Vallis (2006; chapter 12).

161

162 As seen in the Appendix, the TEM diagnostics depend critically on the vertical structure of the  
163 circulation, i.e. vertical derivatives of basic atmospheric state and of wave fluxes. Even with the  
164 enhanced “plev19” vertical resolution requested above, we would not be able to reproduce these  
165 statistics from the archived output. It is therefore important that these calculations be performed on the  
166 native grid of the model (or as close as possible), before being interpolated to standard levels for  
167 archival purposes.

168

169 Dynamical processes, which need to be parameterized because they are not resolved on the grid of the  
170 model, also play an important role in momentum transport. Gravity waves transport momentum from  
171 the surface to the upper troposphere and beyond, but cannot be properly resolved at conventional GCM  
172 resolution. Their wave stresses play a key role in the large scale circulation of the troposphere (e.g. the  
173 storm tracks; Palmer et al., 1986) and are primary driver of the stratospheric circulation (e.g. Alexander  
174 et al., 2010, and references therein). Atmospheric circulation changes have been shown to be sensitive  
175 to the parameterization of gravity waves (e.g., Sigmond and Scinocca, 2010). The availability of  
176 tendencies from gravity wave processes (Table 2 and 3) will enable a systematic evaluation of this  
177 driving term of the circulation, so far largely unexplored in a multi-model context.

178

179 Diagnostics to archive the parameterized surface stresses are listed in Table 4. A number of studies  
180 have documented that the large scale circulation and storm track structure are sensitive to the surface  
181 drag (e.g. Chen et al. 2007; Garfinkel et al. 2011; Polichtchouk and Shepherd, in 2016). These  
182 diagnostics will also allow us to connect the CMIP6 with the investigation of weather prediction  
183 models by in the Working Group on Numerical Experimentation (WGNE) Drag Project  
184 ([http://collaboration.cmc.ec.gc.ca/science/rpn/drag\\_project/](http://collaboration.cmc.ec.gc.ca/science/rpn/drag_project/)). To understand how models arrive at the  
185 total surface stress, we also request the component due to turbulent processes, usually parameterized by  
186 the planetary boundary layer (PBL) scheme, including those stresses that come from subgrid  
187 orographic roughness elements. The role of other processes could then be diagnosed by residual.

188

189 Evaluation of the resolved and parameterized processes that effect the circulation are essential to  
190 diagnosing and understanding persistent model biases in the mean state and variability of the  
191 atmosphere. In addition, a fundamental understanding of the underlying mechanisms driving the  
192 response of the atmosphere to external forcing will improve confidence in future projections. We need  
193 to know that models not only agree in the response, but that they agree for the same reasons.

### 194 3.3 The atmospheric heat budget (short name: *heat*)

195



196 This set of diagnostics allows us to understand the interaction between radiation, moisture, and the  
197 circulation. As with our momentum diagnostics, we request only zonal mean statistics, to limit the  
198 additional storage load (Table 5).

199

200 Breaking down the short and long wave heating tendencies is particularly important for understanding  
201 the role of solar and volcanic forcing on the circulation. It will allow us to separate the direct impact of  
202 changes in solar radiation and aerosol loading from the atmospheric response to these perturbations,  
203 and enable analysis to break down feedbacks in Earth System models. Additional tendencies are  
204 requested for gravity wave diagnostics, so that their contribution to the heat budget can be quantified  
205 and compared.

#### 206 4. Analysis Plan

207

208 DynVarMIP is holding a workshop in June 2016 to organize the exploitation of the requested  
209 diagnostics.. The goal of the workshop is to coordinate analysis of the CMIP6 simulations, avoid  
210 duplicate efforts, and ensure that our three scientific questions are investigated. At the June workshop,  
211 we are planning to discuss and organize intermodel comparison papers to investigate the momentum  
212 and heat balances of the historical climate (where it can be compared with observations and reanalysis),  
213 and how model biases there relate to differences in the models's atmospheric circulation response to  
214 external forcing, both in the idealized DECK perturbation experiments and in the RCP8.5. A follow up  
215 workshop will be planned for 2018 or 2019 to ensure that scientific work continues forward.

216

217 The DynVarMIP has been based on our experience in coordinating community based, collaborative  
218 analysis of coupled climate models from the CMIP5 through the SPARC DynVar activity (e.g. Gerber  
219 et al., 2012). To enhance participation and collaboration with the modeling centers, representatives  
220 have been invited to attend both the workshops and to participate in the scientific analysis and papers.

221

222 We have found that research on a mechanistic understanding of the atmosphere and on rectifying  
223 model biases is often best organized organically, rather than from a top down approach. The TEM  
224 diagnostics, for example, have been used in a number of CMIP5 studies (e.g. Hardiman et al., 2013;  
225 Manzini et al., 2014), but had to be assembled on an ad hoc basis with a limited number models.  
226 DynVarMIP is seeking to expand this research by making the key diagnostics available to all.

#### 227 5. Conclusions and Outlook

228

229 The goal of the DynVarMIP is to evaluate and understand the role of dynamics in climate model biases  
230 and in the response of the climate system to external forcing. This goal is motivated by the fact that  
231 biases in the atmospheric circulation greatly limit our ability to project regional climate change, and  
232 compromise our ability to project changes in extreme events.

233



234 Rather than proposing new experiments, DynVarMIP has organized a targeted list of variables and  
235 diagnostics to characterize the role of both resolved and parameterized dynamical processes in the large  
236 scale circulation of climate models. The DynVarMIP effort emerges from the needs of an international  
237 community of scientists with strong connections to the modeling centers, with a long history (from the  
238 SPARC/GRIPS workshops in the mid 1990s; Pawson et al., 2000). Given this participation, we expect  
239 that the new diagnostics can be efficiently produced and will be fully utilized.

240  
241 We are coordinating our efforts with several other MIPs. Transport plays a key role in the  
242 AerChemMIP experiments with ozone depleting substances, making the TEM diagnostics particularly  
243 relevant. The short-term VolMIP experiments and the DAMIP experiments focus in large part on  
244 stratosphere-troposphere coupling, where the momentum and heat budget diagnostics are directly  
245 relevant. Lastly, gravity wave effects and high frequency eddy processes are foci of the HiResMIP.  
246 The availability of dynamically oriented diagnostics within the DECK and the CMIP6 historical will  
247 provide the benchmark for these MIPs and others as well.

248  
249 **Data availability:** The model output generated by the DynVarMIP diagnostic request will be  
250 distributed through the Earth System Grid Federation (ESGF) with digital object identifiers (DOIs)  
251 assigned. As in CMIP5, it will be freely accessible through data portals after registration. In order to  
252 document CMIP6's scientific impact and enable ongoing support of CMIP, users are obligated to  
253 acknowledge CMIP6, the participating modelling groups, and the ESGF centres. See Eyring et al (this  
254 Special Issue) for further details.

255  
256 **Appendix: TEM recipe**

257  
258 This technical appendix outlines and gives recommendation on how to calculate the TEM diagnostics  
259 for the momentum budget DynVarMIP output request (Table A1, subset of Table 2, section 3.2). For  
260 the calculation of the TEM diagnostics we follow Andrews et al (1983, 1987). We recommend  
261 calculating the diagnostics on pressure levels, on a grid very close or identical to that of the dynamical  
262 core of the atmospheric model. For non-hydrostatic dynamical models in geometric-z coordinate, prior  
263 to the diagnostic calculation it is necessary to transform the input variables to pressure coordinates, as  
264 demonstrated by Hardiman et al (2010).

265  
266 Given that the TEM diagnostics are usually displayed in a log-pressure vertical coordinate system (e.g.,  
267 Butchart 2014), we thereafter detail how to transform the results to a standard log-pressure vertical  
268 coordinate and so obtain the formulation of Andrews et al (1987), which is the one of our data request,  
269 but for a re-scaling of the EP-flux.

270  
271 ***Coordinates, averages and frequency***

272



273 We recommend interpolating the fields of interest to pressure levels prior to taking zonal and temporal  
274 averages (for both inline and offline calculations). Ideally, the pressure levels should be as close as  
275 possible to the average position of the model levels, to minimize the impact of the interpolation.

276  
277 Flux quantities with multiplying factors (e.g., heat flux  $v'\theta'$ ) composed of anomalies from the zonal  
278 mean (e.g.,  $v' = v - \text{zonal mean } [v]$ ) should be computed from high frequency data (6-hourly or higher  
279 frequency) and their products then computed before averaging to daily or monthly mean.

280  
281 Time averages are calculated by averaging over the day or month periods, either “offline” from model  
282 outputs at 6-hour or higher frequency or directly computed over all time steps (i.e., “online”).  
283 Similarly, zonal averages are calculated averaging over all available longitudes, either offline (more  
284 commonly) or online (seldom done).

285

286 ***Input***

287

288 The input to the calculation of the TEM diagnostics, is given in Table A2. In the following to simplify  
289 the writing of the TEM recipe, for the input we use:

290

291  $T$  for air temperature,  $t_a$  variable in CMOR

292  $u$  for eastward wind velocity,  $u_a$  variable in CMOR

293  $v$  for northward wind velocity,  $v_a$  variable in CMOR

294  $\omega$  for omega,  $w_a$  variable in CMOR (vertical component of velocity in pressure coordinates, positive  
295 down)

296  $p$  for pressure [Pa],  $p_{lev}$  dimension in CMOR

297  $\phi$  for latitude [radian], derived from the latitude [degrees north] dimension in CMOR

298

299 Recommended constants for the calculation of the TEM diagnostics:

300

301  $p_0 = 101325 \text{ Pa}$ , surface pressure

302  $R = 287.058 \text{ J K}^{-1}\text{kg}^{-1}$ , gas constant for dry air

303  $C_p = 1004.64 \text{ J K}^{-1}\text{kg}^{-1}$ , specific heat for dry air, at constant pressure

304  $g_0 = 9.80665 \text{ ms}^{-2}$ , global average of gravity at mean sea level

305  $a = 6.37123 \times 10^6 \text{ m}$ , earth’s radius

306  $\Omega = 7.29212 \times 10^{-5} \text{ s}^{-1}$ , earth’s rotation rate

307  $f = 2\Omega \sin \phi$ , Coriolis parameter

308  $\pi = 3.14159$ , pi, mathematical constant

309

310 The following derivation of the TEM diagnostics makes use of the potential temperature, defined by:

$$\theta = T(p_0/p)^k$$

311 where  $k = R/C_p$  is the ratio of the gas constant,  $R$ , to the specific heat,  $C_p$ , for dry air.





312

313 **TEM Diagnostics**

314

315 First, the input variables are zonally averaged and the anomalies from the respective zonally averaged  
 316 quantities are calculated. The zonally averaged quantities are denoted:  $\bar{\theta}$ ,  $\bar{u}$ ,  $\bar{v}$  and  $\bar{\omega}$ . The anomalies:  
 317  $\theta'$ ,  $u'$ ,  $v'$  and  $\omega'$ .

318

319 Thereafter, fluxes and their zonal averages are calculated, for:  $\overline{u'v'}$ , the northward flux of eastward  
 320 momentum;  $\overline{u'\omega'}$ , the upward flux of eastward momentum; and  $\overline{v'\theta'}$ , the northward flux of potential  
 321 temperature.

322

323 Now we can proceed to calculate the Eliassen-Palm flux,  $\mathbf{F}$ , its divergence,  $\nabla \cdot \mathbf{F}$ , the Transformed  
 324 Eulerian mean velocities,  $\bar{v}^*$  and  $\bar{\omega}^*$ , the mass stream-function,  $\Psi$ .

325

326 The Eliassen-Palm flux is a 2-dimensional vector,  $\mathbf{F} = \{F_{(\phi)}, F_{(p)}\}$ , defined by:

327

328 
$$F_{(\phi)} = a \cos \phi \left\{ \frac{\partial \bar{u}}{\partial p} \psi - \overline{u'v'} \right\}, \text{ the northward component}$$

329 
$$F_{(p)} = a \cos \phi \left\{ f - \frac{\partial \bar{u} \cos \phi}{a \cos \phi \partial \phi} \psi - \overline{u'\omega'} \right\}, \text{ the vertical component}$$

330

331 where:  $\psi = \overline{v'\theta'} / \frac{\partial \bar{\theta}}{\partial p}$  is the eddy stream-function

332

333 The Eliassen-Palm divergence,  $\nabla \cdot \mathbf{F}$ , is defined by:

334

$$\nabla \cdot \mathbf{F} = \frac{\partial F_{(\phi)} \cos \phi}{a \cos \phi \partial \phi} + \frac{\partial F_{(p)}}{\partial p}$$

335

336 The Transformed Eulerian mean velocities,  $\bar{v}^*$  and  $\bar{\omega}^*$ , are defined by:

337

338 
$$\bar{v}^* = \bar{v} - \frac{\partial \psi}{\partial p}, \text{ the northward component}$$

339 
$$\bar{\omega}^* = \bar{\omega} + \frac{\partial \psi \cos \phi}{a \cos \phi \partial \phi}, \text{ the vertical component}$$

340

341 The mass stream-function (in units of  $\text{kg s}^{-1}$ ), at level  $p$ , is defined by:

342

$$\Psi(p) = \frac{2\pi a \cos \phi}{g_0} \left[ \int_p^0 \bar{v} dp - \psi \right]$$

343 with upper boundary condition (at  $p = 0$ ):  $\psi = 0$  and  $\Psi = 0$

344



345 The eastward wind tendency,  $\frac{\partial \bar{u}}{\partial t} |_{\text{adv}(\bar{v}^*)}$ , due to the TEM northward wind advection and Coriolis term  
 346 is given by:

$$\frac{\partial \bar{u}}{\partial t} |_{\text{adv}(\bar{v}^*)} = \bar{v}^* \left[ f - \frac{\partial \bar{u} \cos \phi}{a \cos \phi \partial \phi} \right]$$

347

348 The eastward wind tendency,  $\frac{\partial \bar{u}}{\partial t} |_{\text{adv}(\bar{\omega}^*)}$ , due to the TEM vertical wind advection is given by:  
 349

$$\frac{\partial \bar{u}}{\partial t} |_{\text{adv}(\bar{\omega}^*)} = \bar{\omega}^* \frac{\partial \bar{u}}{\partial p}$$

350

351 **Transformation to log-pressure coordinate**

352

353 We define a log-pressure coordinate (Andrews et al 1987) by:

354

$$355 \quad z = -H \ln(p/p_0), \quad p = p_0 e^{-z/H}$$

356 where:  $H = RT_s/g_0$  is a mean scale height of the atmosphere. We recommend to use  $H = 7$  km,  
 357 corresponding to  $T_s \approx 240$  K, a constant reference air temperature.

358

359 The Eliassen-Palm Flux in log-pressure coordinate,  $\hat{\mathbf{F}} = \{\hat{F}_{(\phi)}, \hat{F}_{(z)}\}$ , is then obtained from the pressure  
 360 coordinate form by:

361

$$\hat{F}_{(\phi)} = \frac{p}{p_0} F_{(\phi)}$$

$$\hat{F}_{(z)} = -\frac{H}{p_0} F_{(p)}$$

362

363 The Andrews et al (1987) formulation is then multiplied by the constant reference density  $\rho_s =$   
 364  $p_0/RT_s$ , which is used in the definition of the background density profile  $\rho_0 = \rho_s e^{-z/H}$  in the log-  
 365 pressure coordinate system. Here, this scaling is not applied, to maintain the unit of the Eliassen-Palm  
 366 flux in  $\text{m}^3 \text{s}^{-2}$ .

367

368 The Eliassen-Palm divergence in log-pressure coordinate is:

369

$$\nabla_{(z)} \cdot \hat{\mathbf{F}} = \frac{\partial \hat{F}_{(\phi)} \cos \phi}{a \cos \phi \partial \phi} + \frac{\partial \hat{F}_{(z)}}{\partial z} = \frac{p}{p_0} \nabla \cdot \mathbf{F}$$

370

371 The Transformed Eulerian Mean upward wind velocity is:

372

$$\bar{w}^* = -\frac{H}{p} \bar{\omega}^*$$

373



374 **Output**

375

376 In summary, the TEM recipe output maps to the CMOR variables listed in Table A1 as follows:

377  $\hat{F}_{(\phi)}$  → epfy, northward component of the Eliassen-Palm Flux

378  $\hat{F}_{(z)}$  → epfz, upward component of the Eliassen-Palm Flux

379  $\bar{v}^*$  → vtem, Transformed Eulerian Mean northward wind

380  $\bar{w}^*$  → wtem, Transformed Eulerian Mean upward wind

381  $\hat{\Psi}$  → psitem, Transformed Eulerian Mean mass stream-function

382  $\nabla_{(z)} \cdot \hat{\mathbf{F}}$  → utendepfd, tendency of eastward wind due to EP Flux divergence

383  $\frac{\partial \bar{u}}{\partial t} |_{\text{adv}(\bar{v}^*)}$  → utendvtem, tendency of eastward wind due to TEM northward wind advection and the

384 Coriolis term

385  $\frac{\partial \bar{u}}{\partial t} |_{\text{adv}(\bar{w}^*)}$  → utendwtem, tendency of eastward wind due to TEM upward wind advection

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387

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## 512 TABLES

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514 **Table 1:** Variability. Standard (already in CMIP5) variables at daily and monthly mean frequency. New: more  
515 vertical levels (plev19) for 3D daily and the zonal mean geopotential height, 2D.

Name	Long name [unit]	Dimension, Grid
psl	Sea Level Pressure [Pa]	2D, XYT
pr	Precipitation [ $\text{kg m}^{-2} \text{s}^{-1}$ ]	2D, XYT
tas	Near-Surface Air Temperature [K]	2D, XYT
uas	Eastward Near-Surface Wind [ $\text{m s}^{-1}$ ]	2D, XYT
vas	Northward Near-Surface Wind [ $\text{m s}^{-1}$ ]	2D, XYT
ta	Air Temperature [K]	3D, XYZT
ua	Eastward Wind [ $\text{m s}^{-1}$ ]	3D, XYZT
va	Northward Wind [ $\text{m s}^{-1}$ ]	3D, XYZT
wap	omega (=dp/dt) [ $\text{Pa s}^{-1}$ ]	3D, XYZT
zg	Geopotential Height [m]	3D, XYZT
hus	Specific Humidity [1]	3D, XYZT
zmzg	Geopotential Height [m]	2D, YZT

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518 **Table 2:** Momentum (atmosphere). Zonal mean variables (2D, grid: YZT).

Name (priority)	Long name [unit]	Frequency
epfy (1)	northward component of the Eliassen-Palm Flux [ $\text{m}^3 \text{s}^{-2}$ ]	monthly & daily
epfz (1)	upward component of the Eliassen-Palm Flux [ $\text{m}^3 \text{s}^{-2}$ ]	monthly & daily
vtem (1)	Transformed Eulerian Mean northward wind [ $\text{m s}^{-1}$ ]	monthly & daily
wtem (1)	Transformed Eulerian Mean upward wind [ $\text{m s}^{-1}$ ]	monthly & daily
utendepfd (1)	tendency of eastward wind due to Eliassen-Palm Flux divergence [ $\text{m s}^{-2}$ ]	monthly & daily
utendnogw (1)	tendency of eastward wind due to nonorographic gravity waves [ $\text{m s}^{-2}$ ]	daily
utendogw (1)	tendency of eastward wind due to orographic gravity waves [ $\text{m s}^{-2}$ ]	daily
utendvtem (1)	tendency of eastward wind due to TEM northward wind advection and the Coriolis term [ $\text{m s}^{-2}$ ]	daily
utendwtem (1)	tendency of eastward wind due to TEM upward wind advection [ $\text{m s}^{-2}$ ]	daily
psitem (2)	Transformed Eulerian Mean mass stream-function [ $\text{kg s}^{-1}$ ]	daily

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521 **Table 3.** Momentum (atmosphere). Monthly mean variables (3D, grid: XYZT)

Name (priority)	Long name [unit]	Frequency
utendnogw (1)	tendency of eastward wind due to nonorographic gravity waves [ $\text{m s}^{-2}$ ]	monthly
utendogw (1)	tendency of eastward wind due to orographic gravity waves [ $\text{m s}^{-2}$ ]	monthly
vtendnogw (1)	tendency of northward wind due to nonorographic gravity waves [ $\text{m s}^{-2}$ ]	monthly
vtendogw (1)	tendency of northward wind due to orographic gravity waves [ $\text{m s}^{-2}$ ]	monthly

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526 **Table 4.** Momentum (surface). 2D variables (Grid: XYT)

Name (priority)	Long name [unit]	Frequency
tauu (1)	surface downward eastward wind stress [Pa]	daily
tauv (1)	surface downward northward wind Stress [Pa]	daily
taupbl (2)	surface downward eastward wind stress due to boundary layer mixing [Pa]	daily
tauvpbl (2)	surface downward northward wind stress due to boundary layer mixing [Pa]	daily

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529 **Table 5.** Heat. 2D zonal mean variables (Grid: YZT)

Name (priority)	Long name [unit]	Frequency
zmtnt (1)	tendency of air temperature due to diabatic processes [K s <sup>-1</sup> ]	monthly
tntrl (1)	tendency of air temperature due to longwave heating [K s <sup>-1</sup> ]	monthly
tntrs (1)	tendency of air temperature due to shortwave heating [K s <sup>-1</sup> ]	monthly
tnnogw (2)	tendency of air temperature due to nonorographic gravity wave dissipation [K s <sup>-1</sup> ]	monthly
ntogw (2)	tendency of air temperature due to orographic gravity wave dissipation [K s <sup>-1</sup> ]	monthly

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Note: There is currently duplication in the database for the names of the tendency of air temperature due to longwave / shortwave heating. This is still an open issue. As well, CF standard names might need to be requested for tntnogw and ntogw.

**Table A1.** Momentum budget variable list (2D monthly / daily zonal means, YZT)

Name	Long name [unit]
epfy	northward component of the Eliassen-Palm Flux [m <sup>3</sup> s <sup>-2</sup> ]
epfz	upward component of the Eliassen-Palm Flux [m <sup>3</sup> s <sup>-2</sup> ]
vtem	Transformed Eulerian Mean northward wind [m s <sup>-1</sup> ]
wtem	Transformed Eulerian Mean upward wind [m s <sup>-1</sup> ]
psitem	Transformed Eulerian Mean mass stream-function [kg s <sup>-1</sup> ]
utendepfd	tendency of eastward wind due to Eliassen-Palm Flux divergence [m s <sup>-2</sup> ]
utendvtem	tendency of eastward wind due to TEM northward wind advection and the Coriolis term [m s <sup>-2</sup> ]
utendwtem	tendency of eastward wind due to TEM upward wind advection [m s <sup>-2</sup> ]

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**Table A2.** Input for a TEM diagnostic program (CMOR convention)

Name	Long name [unit]	Dimension	Frequency
ta	Air temperature [K]	3D	HF = 6-hour or higher frequency
ua	Eastward Wind [m s <sup>-1</sup> ]	3D	HF = 6-hour or higher frequency
va	Northward Wind [m s <sup>-1</sup> ]	3D	HF = 6-hour or higher frequency
wap	omega (=dp/dt) [Pa s <sup>-1</sup> ]	3D	HF = 6-hour or higher frequency

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