

*This document includes responses to the interactive comments by two anonymous referees, three named individuals and the Editor on “The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6” by Mark J. Webb et al. This is followed by version of the manuscript with modifications highlighted, and additionally a full list of changes to the manuscript.*

**Response to Interactive comment on by H. Shiogama on “The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6” by Mark J. Webb et al.**

Reviewer comments below are shown in bold and our responses are in italics.

*Dear Hideo,*

**This paper provides a clear description of the design of CFMIP3/CMIP6. The proposed experiments and outputs are interesting and will be important contributions to CMIP6. I have only a few minor comments.**

*Thank you for your careful consideration of our manuscript and for these helpful comments.*

**I assume that all the CFMIP experiments are CO<sub>2</sub> concentration driven. Should ESMs turn off dynamic vegetation and chemistry schemes?**

*The CFMIP experiments are indeed driven by CO<sub>2</sub> concentration rather than CO<sub>2</sub> emissions. Many of the CFMIP experiments are based on the DECK experiments (e.g. amip, piControl, abrupt-4xCO<sub>2</sub>). Experiments such as amip-p4K and abrupt-2xCO<sub>2</sub> should be configured consistently with the DECK experiments that they are based on.*

*We have added additional text at line 176 as follows:*

*“Most of the CFMIP-3 experiments are based on CO<sub>2</sub> concentration forced amip, piControl and abrupt-4xCO<sub>2</sub> CMIP DECK (Diagnostic, Evaluation and Characterization of Klima) experiments (Eyring et al., 2016). Unless otherwise specified below, the CFMIP-3 experiments should be configured consistently with the DECK experiments on which they are based, using consistent model formulation, and forcings and boundary conditions as specified by Eyring et al., 2016.”*

**Line 213 “Sea ice and SSTs under sea ice remain the same as in the amip DECK experiment.”: How should we set SSTs in grids with 50% concentration of sea ice?**

*We have modified the text at L268 as follows. L269, L356 also amended similarly.*

*“Sea ice and SSTs in grid boxes containing sea ice remain the same as in the amip DECK experiment.”*

**Line 263 “As such we hope that these experiments will provide useful synergies with Palaeoclimate Model Intercomparison Project (PMIP)”:** If there are any experiments that are directly related to the CFMIP experiments, please specify.

*We have modified this text as follows (L361):*

*“As such we hope that these experiments will provide useful synergies with the Palaeoclimate Model Intercomparison Project (PMIP) CMIP6 experiments (e.g. in interpreting differing cloud feedbacks between future CO<sub>2</sub> forced experiments and those representing the Last Glacial Maximum, as highlighted by Yoshimori et al., 2009).”*

**Line 302 “cloud-radiative effects are switched off in the longwave part of the radiation code”: Is the shortwave part retained?**

*We have modified this text as follows: (L409)*

*“cloud-radiative effects are switched off in the longwave part of the radiation code while retaining those in the shortwave (Fermepin and Bony, 2014).”*

**2.4 Abrupt +/-4% solar forced runs: Not only TSI but also spectral solar irradiance (SSI) are provided for CMIP6 (<http://solarisheppa.geomar.de/cmip6>). I assume that many ESMs use the SSI data for their DECK experiments. How to add +/-4% solar forcing on SSI?**

*We have added a line to section 2.4 which states: (L439)*

*“ When changing the solar constant, the shape of the spectral solar irradiance distribution should remain consistent with that in the piControl experiment.”*

**Line 411 piSST: Do we use the monthly mean values of each year of piControl? Monthly mean climatology would lead to better S/N.**

*We have added the following to Section 2.7 (L550)*

*“These are forced with monthly- and annually-varying monthly mean SSTs and sea ice, which reproduce regional precipitation patterns more accurately than is possible using climatological SST forcing (Skinner et al., 2012).”*

**Line 550 “allowing a detailed evaluation clouds”: allowing a detailed evaluation of clouds?**

*We have corrected that (L711)*

**Response to Interactive comment on by F. Briert on “The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6” by Mark J. Webb et al.**

Reviewer comments below are shown in bold and our responses are in italics.

*Dear Florent,*

**This paper summarizes the objectives of CFMIP and the contribution of CFMIP-3 to CMIP6. CFMIP helps to explain the spread of cloud feedbacks, adjustments and processes across climate models. This updated contribution goes a step forward and suggests additional experiments to allow the community to tackle in more detail the physical reasons underlying dynamical and regional biases seen in climate models. By proposing experiments that test especially the atmospheric components of climate models, CFMIP provides a relevant framework to understand and improve cloud parameterizations and processes which remain the principal sources of surface and atmospheric model biases.**

**First, the authors summarised well how former CFMIP/CMIP5 experiments helped to improve our scientific understanding of climate feedbacks. It thus provides a relevant background supporting the additional experiments that they advise the modelling groups to perform. I particularly appreciated (1) the will to promote the analysis of experiments when cloud radiative effects are switched off, (2) the pertinent time slice experiments aiming to understand regional climate responses and (3) the encouragement of a more extensive distribution and use of physical tendencies which are a signature of the atmospheric components of climate models.**

*Thank you for your careful consideration of our manuscript and for these helpful comments.*

**Below, I have listed a number of minor points which might be addressed to clarify the text (if the authors find them useful)**

**- Some acronyms are not defined : AOGCM (l.83), GCM (l.92), RFMIP (l.378), TOA (l.637), PMC (l.777)**

*We have defined these in the revised manuscript (L92, L101, L494, L802, L985.)*

**L. 196 : I have trouble understanding the meaning of “known answer”.**

*We have modified this sentence to read( L255):*

*“Aqua-planet simulations (and other idealized) experiments are particularly effective at highlighting model differences, for instance in the placement of the tropical rain bands, or in the representation of cloud changes with warming, as it is not possible to tune them to observations in the same way as is for more realistic configurations (e.g., Stevens and Bony, 2013).”*

**L. 217: The amip-future4K experiments used the CMIP3 pattern of SST increase. Is this pattern consistent with the one derived from CMIP5 models?**

*We haven't looked into this, because we consider consistency with the CMIP5 protocol to be more important than using SSTs from CMIP5 rather than CMIP3.*

*We have added the following to Appendix C (L1043)*

*"We have retained the SST forcing based on the CMIP3 coupled models because we consider it more important to be able to compare CMIP5 and CMIP6 models forced with the same SST pattern than to use a pattern which is consistent with, say, the CMIP5 coupled response."*

**L. 222-225 and L. 419-422: I'm a little bit confused about all 4xCO<sub>2</sub> experiments. The amip4xCO<sub>2</sub> experiment involves the CO<sub>2</sub> effect on the atmospheric component and land warming without the vegetation feedback. It is thus "equivalent" to the piSST-4xCO<sub>2</sub>-rad experiment listed in section 2.7 (but not to piSST-4xCO<sub>2</sub>). I guess abrupt4xCO<sub>2</sub> takes into account the vegetation feedback. So, the amip4xCO<sub>2</sub> experiment should be named amip4xCO<sub>2</sub>-rad, doesn't it?**

*We agree that this would be a more consistent naming of this experiment. However, we think that the experiment descriptions are clear. Unfortunately however we understand that CMIP6 experiment names have now been finalised and propagated to the ESG and so it is not now possible to change them.*

**L.257-264: You could also add the reference "Block and Mauristen (13) JAMES - Forcing and Feedback in the MPI-ESM-LR coupled model under abruptly quadrupled CO<sub>2</sub>", which highlights the utility of diverse amip-pXk and abrupt2xCO<sub>2</sub> experiments.**

**L. 288-299:**

*We have added a citation to this paper in section 2.5. (L479)*

**(1) It is thus right that LW effects are the most important contributor to cloud atmospheric radiative effects, and SW effects play a minor role (e.g. Takahashi 09). Nevertheless, local SW cloud effects exist (Pendergrass and Hartmann, 14). It might thus be interesting to point this fact out in the text and leave the discussion about SW effects sufficiently open.**

*We have added the following to section 2.3 (L413)*

*"We note that the presence of clouds does affect the shortwave radiative heating of the atmosphere, although this is a much smaller effect than its longwave equivalent (e.g. Pendergrass and Hartmann, 2014)."*

**(2) Since only LW radiative effects are removed, does it mean that models still have a SW cloud feedback but no LW cloud feedback?**

Yes. We have clarified this by adding the following to section 2.3 (L413):

*“In this configuration, the models will have a shortwave cloud feedback but no longwave cloud feedback.”*

**(3) “and the radiation code only”. Does this mean that, for instance, a boundary-layer parameterization based on LW cloud-top radiative cooling continues to see LW effects?**

We have added the following comment to section 2.3 (L418):

*“Care should also be taken to remove the effects of cloud on any longwave cooling used in other model schemes (e.g. turbulent mixing) if these are calculated independently of the radiation scheme.”*

**L.326-328: Contrary to CO<sub>2</sub> effects, the radiative forcing of solar insolation depends on latitude. Is this dependency taken into account when the authors state that a 4% change results in a “radiative forcing of a similar magnitude to that due to CO<sub>2</sub> quadrupling”?**

*Yes this has been taken into account. We have modified the text as follows to make it clear that this gives a similar magnitude in global mean forcing (L448)*

*“...resulting in a global mean radiative forcing of a similar magnitude to that due to CO<sub>2</sub> quadrupling.”*

**L. 482: Single Column Model already defined line 91-92.**

*Duplication removed.*

**L. 600-601: Is it normal that “cfDay-2d” is named by CMIP5 and not CFMIP? Why is there no CMIP5 or CFMIP prefix for “cfDay-3d”?**

*The different prefixes represent detail in the formal data request which is not required here. In the manuscript We have deleted the prefixes to avoid confusion, and will add the following sentence (L782-802)*

*“(Please note that in the full data request these variable groups are in many cases split into a number of sub-tables. As noted above, the formal data request provides the definitive specification of the model outputs.)”*

**Fig.1 : The DECK is written in the caption but not highlighted in the graph.**

*We have updated the figure and caption to be consistent in this regard (See Figure 1 and L1052)*

**Fig.1: I consider Iwoff experiments as part of the “Clouds” analysis. You may consider making the arrow longer.**

*We have done this. (See Figure 1)*

**Response to Interactive comment on by Anonymous Referee #1 on “The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6” by Mark J. Webb et al.**

Reviewer comments below are shown in bold and our responses are in italics.

*Dear Referee,*

**In this paper, the authors state the goals and motivation of CFMIP, review the major accomplishments of previous CFMIPs, and describe the proposed experiments and diagnostics for CFMIP3. The coordinated experiments proposed for CFMIP3 will target a number of outstanding questions for which previous model intercomparisons were not equipped to address, in addition to sustaining a number of highly useful experiments from earlier MIPs that will help to characterize and understand the response of the CMIP6-generation of models to external forcing (in addition to help quantify the forcing itself). Advanced diagnostics (e.g., satellite simulators and high frequency tendency terms) will aid in dissecting model results, and the authors have proposed that they be used more broadly (e.g., COSP turned on for longer durations and in more experiments). The emphasis on (mostly) atmosphere-only simulations in CFMIP3 should hopefully make it appealing for modeling centers to take part in several of the experiments despite the high volume of requested diagnostics.**

**The scientific questions to be addressed by CFMIP3 are well articulated and the various proposed experiments seem well designed to address these questions, and will advance the community’s knowledge. The presentation of the paper is not particularly concise, not are the figures particularly insightful, but the writing is clear and overall the presentation seems appropriate for a paper proposing a model intercomparison project. Thus, in my opinion the manuscript represents a substantial contribution to modelling science within the scope of Geoscientific Model Development, and I recommend publication following consideration of some minor comments detailed below.**

*Thank you for your careful consideration of our manuscript and for these helpful comments.*

**Specific Comments:**

**\*piSST and a4SST: It is not clear to me whether (a) monthly- and annually-varying SSTs from the relevant 30 years in the piControl run, or (b) a monthly-resolved climatology of SSTs over the relevant 30 years in the piControl run are prescribed in piSST. Same question for a4SST.**

*We have modified the text to read ‘monthly- and –annually varying SSTs....’ in the descriptions for piSST, a4SST, a4SSTice and a4SSTice-4xCO2. For consistency we also refer to the AMIP SSTs and sea ice in the amip-a4SST-4xCO2 description as monthly- and –annually varying. (L566, L571, L576, L583, L585, L587, L596).*

**\*amip-piForcing: I’m curious whether there was any interest in performing a similar experiment, but with present-day (rather than preindustrial) forcing held fixed. An example application that occurs to me is that a model with large aerosol-cloud interactions would**

presumably have brighter clouds with smaller droplets downwind of aerosol sources if the forcing were fixed at present-day, and its temperature-mediated changes in clouds might therefore be different than that occurring in an atmosphere with fewer aerosols. Having these two experiments would allow one to explore this effect (and others related to other forcing agents).

*This is an interesting idea. However, to be recommended for CMIP by CFMIP, we generally require new experiments to have been piloted and ideally written up with at least one GCM previously. If such an experiment can be demonstrated to provide new insights which are relevant to the objectives of CFMIP then we will certainly consider it in the future.*

**\*Given its implications for understanding apparent state- or time-dependent changes in effective climate sensitivity, I was a little surprised to see no experiments designed to explore causes of nonlinearity in the Gregory plot, perhaps using warming experiments in which the SST pattern is fixed in time (with various patterns), similar to those conducted in Andrews et al, J. Climate (2015). Is there a reason for not proposing these, or are these effects already captured in other proposed experiments?**

*We do consider the causes of non-linearity in abrupt4xCO2 experiments to be an important area to be investigated. The experiments in Andrews et al (2015) were based on actual SSTs from individual models. Pilot studies are ongoing to devise future experiments for CFMIP relevant to this question based on SST pattern responses more representative CMIP5 ensemble mean. We plan to organise a pilot intercomparison based on this, although this might initially be arranged informally within CFMIP rather than as part of CFMIP/CMIP6.*

**\*Line 126: should be “**

...

**meetings AND international**

...

”

*We have corrected this (L157)*

**\*Line 426: “a4SST-4xCO2-all” should be “a4SSTice-4xCO2-all”. There may be other instances of this; please verify that they are also changed.**

*This was incorrectly named –the correct name is in fact a4SSTice-4xCO2. Now corrected (L587,589).*

**\*Line 512: What is the reason for dispensing with the cloud tendency terms in CFMIP3?**

*We have added the following at L680:*

*“We have dispensed with the cloud water tendency terms because these have been less widely used than the temperature and humidity tendencies.”*



**\*Lines 597-608: it is not clear to me why some of these have a CMIP5 prefix, a CFMIP prefix, or no prefix at all (cfDay-3d). Why would a CMIP5 prefix be appropriate at all?**

*The different prefixes represent detail in the formal data request which is not required here. In the manuscript we have deleted the prefixes to avoid confusion, and will add the following sentence (L782-802)*

*“(Please note that in the full data request these variable groups are in many cases split into a number of sub-tables. As noted above, the formal data request provides the definitive specification of the model outputs.)”*

**\*Line 611: should be “**

**...**

**for 140 years OF the piControl**

**...**

**”**

*We have corrected that (L796).*

**\*Appendix A: I don't understand what is meant by “Lead coordinator”. Is this the person who has “first dibs” on writing papers based on these experiments? Are interested investigators expected to contact this person to avoid duplicating work that others are doing with output from these experiments?**

*We have added the following to Appendix A (L933)*

*“We plan to scientifically analyze, evaluate and exploit the proposed experiments and diagnostic outputs, and have identified lead coordinators within CFMIP for different aspects of this activity. The lead coordinators are responsible for encouraging analysis of the relevant experiments as broadly as possible across the scientific community. While they may lead some analysis themselves, they do not have any first claim on analysing or publishing the results. All interested investigators are encouraged to exploit the data from these experiments. While investigators may wish to liaise with the lead coordinators to avoid duplicating work that others are doing, this is not a requirement.”*

**\*Figure 1: I think “CMIP6” should be deleted before “historical”.**

**If it is supposed to be there, I don't understand why it is only there.**

*This is the correct naming. Please see Eyring et al. for the justification.*

**It is also unclear to me why the “Clouds”**

**arrow only extends as far as abrupt-0p5xCO2. I think both the clouds arrow and the circulation and precipitation arrows should include all experiments, but in that case, what is the point of showing them?**

*In response to a comment from F. Brient, we have extended the cloud arrow to encompass the Iwoff experiments. The timeslice experiments in the bottom group are designed to look at circulation and precipitation responses rather than cloud feedbacks. (See updated Figure 1)*

**\*Table 1: should be “This IS a single**

...  
”

*We have corrected that (See Table 1).*

**\*Table 3: Several of the observational datasets end many years ago despite the fact that these satellites are still in orbit. Are there plans to extend these records, especially since the AMIP runs end in 2015?**

*We have added the following the end of Section 3.2 (L814):*

*“These datasets are periodically updated to include more recent data from the relevant satellites, many of which are still operational. Please refer to the CFMIP-OBS website for updates.”*

Response to Interactive comment by Anonymous Referee #2 on "The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6" by Mark J. Webb et al.

Reviewer comments below are shown in bold and our responses are in italics.

*Dear Referee,*

**This manuscript outlines the CFMIP-3 experimental strategies, the associated model output, and the motivation and anticipated results of these experiments. Overall the manuscript is clearly written and accurately summarizes the plans for CFMIP-3, and in many ways represents more of a review of past CFMIP achievements, which in itself is a useful contribution. I recommend acceptance with only minor revisions as outlined in my suggestions below.**

*Thank you for your careful consideration of our manuscript and for these helpful comments.*

**The authors are very generous in their citations of other work, which is commendable, but it detracts from the readability of the manuscript. I recommend the authors consider focusing on a few select highlights of the previous CFMIPs that illustrate the main contributions, rather than attempting an exhaustive summary of everything that's been learned from CFMIP experiments. In the current form, it's difficult to identify what the key contributions of CFMIP have been.**

*We appreciate that the many citations do make the manuscript difficult to read in places. We are glad that the review of the main CFMIP achievements is appreciated, and agree that this could be achieved with fewer citations. However, we also consider it important to communicate the full breadth of studies arising from CFMIP, as this will we think help to inform the decisions made by modelling groups on which CFMIP experiments to perform and which model outputs to provide. Following guidance in the subsequent interactive comment from the Editor (Julia Hargreaves) we have reduced the number of citations in the introduction, in particular where there is duplication with Section 2. Throughout, where several citations are made together, we have broken them into smaller groups as suggested to give the reader a better idea of what distinguishes them. (See for example L230-239, L248, L673).*

**Section 2.1 reads more as a review of all previous studies that used CFMIP data, rather than a description of the CFMIP-3. I would recommend moving much of this to the previous section which reviews past CFMIPs and identify any changes/deletions from the past CFMIPs before then proceeding to describe the new additions to the CFMIP-3 set of experiments.**

*We appreciate that there is some duplication between the text in the introduction and in Section 2.1, in particular in the case of citations. We have addressed this by modifying the text in the introduction, as described above. We considered the referee's suggestion to move the bulk of this to the introduction, and to then to describe these Tier I experiments in terms of changes/deletions compared to those in previous CFMIPs. However, as pointed out in the subsequent comment by the Editor, it is important that, as a MIP documentation paper, we document the experiments in such a way as to allow a third party could set up each run from the information provided. We think that recapping on the CFMIP-2 experiment protocol in the introduction and then introducing aspects of the CFMIP-3 protocol as changes relative to this would make it harder for modelling groups to use this paper as the definitive specification for the CFMIP-3 experiments, and so prefer to leave the structure as it is presently.*

**It would also be useful to define what a "DECK" is.**

*We have modified the text at L175 to read:*

*"Most of the CFMIP-3 experiments are based on CO2 concentration forced amip, piControl and abrupt-4xCO2 CMIP DECK (Diagnostic, Evaluation and Characterization of Klima) experiments (Eyring et al., 2016)."*

**There is rightfully considerable attention within CFMIP devoted to isolating and quantifying the fast adjustments. However the fast adjustments arise from both atmospheric radiative heating changes and land warming.**

*We agree. We checked the manuscript, and all references to tropospheric adjustments do also refer to land warming.*

**It would be useful to isolate these contributions (beyond the use of aqua planets, whose utility in quantifying CGCM feedbacks is a little over sold here IMO). Has there been any efforts to develop experiments for this? If not, this issue might warrant some discussion in reference to the experiments designed to quantify adjustments.**

*We agree that experiments designed to separate the effects of land warming and atmospheric heating in realistic experiments would be useful. However we are not aware of any published studies which demonstrate a way to do this. To be recommended for CMIP by CFMIP, we generally require new experiments to have been piloted and ideally written up with at least one GCM previously. If such an experiment can be demonstrated to provide new insights which are relevant to the objectives of CFMIP then we will certainly consider it in the future.*

**Response to Interactive comment on by A. Voigt on “The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6” by Mark J. Webb et al.**

Reviewer comments below are shown in bold and our responses are in italics.

*Dear Aiko,*

**The authors provide a concise and well-written presentation of the CFMIP experiments proposed for CMIP6, which will continue the successful CFMIP activities over the last 15 years. I enjoyed reading the paper, in particular the historical context given in the introduction, and find that it nicely presents the scientific motivation and chosen simulation strategy at a level amenable to both CFMIP experts and climate scientists with other backgrounds. I recommend publication in GMD after my following minor comments have been addressed.**

*Thank you for your careful consideration of our manuscript and for these helpful comments.*

**Line 217, amip-future4K simulations: Why is the CMIP3 SST pattern used and not an updated pattern from CMIP5 AOGCM runs?**

*We have added the following to Appendix C (L1068):*

*“We have retained the SST forcing based on the CMIP3 coupled models because we consider it more important to be able to compare CMIP5 and CMIP6 models forced with the same SST pattern than to use a pattern which is consistent with, say, the CMIP5 coupled response.”*

**Line 227: I am very glad to hear that the CMIP-3 aquaplanet simulations will be extended to 10 years. This will be beneficial for studies of extratropical dynamics, for which internal variability is larger than in the tropics.**

*Thank you.*

**Line 238, amip-m4k simulations: I am wondering to what extent some models might have problems with SSTs below freezing? Maybe this might require code changes in some models in case they employ a fixed lower threshold for the SST used in the calculation of surface fluxes? Such a problem would, of course, not occur for the p4K simulations?**

*We have added the following at L356:*

*“In models which employ a fixed lower threshold near freezing for the SST used in the calculation of the surface fluxes, this should ideally also be reduced by 4K.”*

**Lines 279: The authors might consider to also refer to Voigt and Shaw (2015, Nature Geoscience) here for the extratropical circulation. The study showed that cloud-**

**radiative feedbacks contribute substantially to the poleward jet shifts under 4K warming in aquaplanet simulations.**

*We have added that reference at L379.*

**Line 266, Iwoff experiments: Just an idea, but I thought it's worthwhile bringing it up here: While the surface cloud effect is stronger in the shortwave than the longwave domain, the longwave can still be substantial. I am wondering whether an experiment with clear-sky heating in the atmosphere and all-sky heating at the surface would be even better to isolate the effect of atmospheric cloud-radiative heating. I suspect it's too late to change the experimental protocol, and maybe there is a reason why Iwoff is still better. If so, it might be worthwhile to briefly discuss this.**

*This is an interesting idea thank you. However, to be recommended for CMIP by CFMIP, we generally require new experiments to have been piloted and ideally written up with at least one GCM previously. The Iwoff experiments currently proposed are very similar to those piloted by Fermepin and Bony, 2014, and technically easier to implement than what is proposed. If such an experiment can be demonstrated to provide new insights which are relevant to the objectives of CFMIP then we will certainly consider it in the future.*

*We have added the following to the manuscript at L417:*

*“An alternative method (proposed by A. Voigt) was also considered, in which clear-sky heating rates would be applied in the atmosphere while retaining the all-sky fluxes at the surface. Although this approach would potentially isolate the effects of cloud heating in the atmosphere more cleanly than the Iwoff experiments proposed here, it is yet to be demonstrated in a pilot study, and is considered more technically difficult to implement than the Iwoff experiments, which are very similar to those piloted by Fermepin and Bony, 2014.”*

**Line 342: Non-linearity was also shown in the CMIP5 ensemble by Meraner et al. (2013, GRL, doi:10.1002/2013GL058118). Meraner et al. showed non-linear climate sensitivity across the multi-model CMIP5 ensemble, whereas the other cited work used single models if I am not mistaken. So maybe worthwhile including here?**

*We have added that reference at L455:*

**Line 368: Maybe specify the reason why the CFMIP2/CMIP5 runs did not allow such an estimate. I.e., I assume that one would use SST-driven simulations for this and that the usual amip period is too short to reliably calculate feedbacks?**

*We have modified line to read (L485):*

*“The previous CFMIP-2/CMIP5 design was unable to diagnose the time-variation of feedbacks of explicit relevance to the historical period, because this requires the removal of the time varying forcing.”*

**Sect. 2.7: The time slice experiments ask an interesting question but given that 8 experiments are demanded, I was wondering how they ought to be combined to answer the questions in mind. Maybe the authors can give an example?**

*We have added two examples in the text of how these experiments are combined at L576:*

*“The time slice experiments can be combined in various ways to isolate the climate response to each individual aspect of forcing and warming. For example the response to SST pattern change is given by taking the difference between a4SST and piSST-pxK, and the plant physiological response is found by taking the difference between piSST-4xCO2 and piSST-4xCO2-rad.”*

**Line 487: I would be curious to know about the reasons to no longer ask for cfSites output in the aquaplanet ensemble and amip-future4K. Is it the lack of observational data to compare to, or a choice to avoid asking for too much data?**

*We have amended the manuscript at L640 to read:*

*“We have dispensed with the cfSites outputs in the aquaplanet and amip-future4K experiments because these have been less widely used compared to those from the other experiments.”*

**Line 685: and → an**

*We have amended that.*

**Figure 1: Why does the vertical cloud bar on the right side not include the lwoff simulations?**

*This point was also raised by F. Brient. We have extended the arrow to include the lwoff experiment (See Figure 1).*

**For some of the proposed simulations the link to clouds, which are the prime motivation for CFMIP, is not very evident and maybe could be made clearer? I am thinking of the simulations in Sect. 2.7 (time slice experiments) and Sect. 2.5 (nonLinMIP).**

The primary objective of CFMIP is to inform future assessments of cloud feedbacks through improved understanding of cloud-climate feedback mechanisms and better evaluation of cloud processes and cloud feedbacks in climate models. However, the CFMIP approach is also increasingly being used to understand other aspects of climate change, and so a second objective has now been introduced, to improve understanding of circulation, regional-scale precipitation, and non-linear changes. For this reason, not all experiments need to be relevant to clouds. We have modified the text in the abstract, introduction and conclusions to state this explicitly (L26,L127,L849).

**Response to Interactive comment by J. C. Hargreaves (Editor) on “The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6” by Mark J. Webb et al.**

Editor comments below are shown in bold and our responses are in italics.

*Dear Julia,*

**This seems to me to be a pretty good MIP manuscript. You do have the advantage that the protocols for the experiments are relatively simple to describe, but I still think it very well organised.**

*Thank you.*

**I haven't checked all the protocols - just a couple that I am particularly interested in - but the information seemed complete for those. However, please do check through your revised manuscript to make sure that a third party could set up each run from the information provided.**

*We have done this, and have made a few changes to clarify some issues:*

*We have updated the amip-future4K experiment definition at L274 to include the sentence:*

*“Care should be taken to ensure that SSTs are increased in any inland bodies of water and near coastal edges, for example by linearly interpolating the provided warming pattern dataset to fill in missing data before re-gridding to the target resolution. “*

*We have inserted the word ‘open’ at L562 into the sentence:*

*“The magnitude of the uniform increase is taken from each model's global, climatological annual mean open SST change between abrupt-4xCO<sub>2</sub> and piControl (using the mean of years 111-140 of abrupt-4xCO<sub>2</sub>, and the parallel 30-year section of piControl).”*

*We have also re-written the text between L496-500 to make it clearer:*

*“Time-varying feedbacks in the amip experiment could alternatively be diagnosed by subtracting a time-varying radiative forcing diagnosed from RFMIP experiments. However, the amip-piForcing approach has the benefit of diagnosing the time-varying feedbacks over the full 1870-present period rather than the last 36 years, and does so with reference to a single experiment, which reduces noise compared to that which would be present with a double difference of the amip experiment and two RFMIP experiments.”*

*We have updated the information on which versions of COSP are available to reflect recent developments (L778):*



*“COSP is available via the CFMIP website (<https://www.earthsystemcog.org/projects/cfmip>). Version 1.4 is a stable code that was made available well in advance of CMIP6 at the request of the modelling groups. Small updates are required to enable some new diagnostics requested by CFMIP3/CMIP6, most notably joint histograms of particle size and optical thickness from the MODIS simulator; with these updates the code is known as version 1.4.1. Modeling centers are encouraged to update to COSP 1.4.1 to provide these new diagnostics but may provide results from COSP 1.4. Developed over the last few years, COSP 2 substantially revises the infrastructure for integrating satellite simulators in climate models. COSP 2 makes many fewer inherent assumptions about the model representation of clouds than do previous versions but contains an optional interface allowing it to be used as a drop-in replacement for COSP 1.4 or COSP 1.4.1. At the time of this writing COSP 2 is undergoing final testing in two climate models. Availability of the final version will be announced on the CFMIP website and modelling groups are free to adopt it for use in CFMIP at that time.”*

*We have also updated the following text in Appendix B (L1018)*

*“The ozone distribution is the same as used in APE and CFMIP2/CMIP5, and is derived from the climatology used in AMIP II (Gates et al., 1999), and is constant in time and symmetric zonally and about the equator. This ozone distribution is provided as a netCDF file which is archived on the Earth System Grid and available via the DOI <http://dx.doi.org/10.5065/D61834Q6>. Ozone values are provided up to 0.28hPa (about 60km altitude in mid-latitudes). For models with tops above this level, a high top ozone dataset is also provided, which is available via the DOI <http://doi.org/10.5065/D64X5653>. The ozone climatologies provided use pressure as a vertical coordinate. Most models use a sigma or hybrid vertical coordinate in pressure or altitude, which will mean that the pressure on a given model level varies in time, near the surface at the very least. Although the ozone climatology can in theory be interpolated to the pressure of each model level as it varies in time within the model, for simplicity we recommend interpolating the ozone dataset onto the model vertical grid before the experiment is performed, and then specifying ozone values which are constant in time on each model level. This vertical interpolation will require a zonally symmetric climatology of pressure on model levels which is as consistent as possible with that expected in the aqua-control experiment. This could for example be produced by initially running a test version of the aqua-control experiment with an ozone climatology taken from a more realistic model configuration such as the AMIP DECK experiment.”*

**The remaining peculiarity is the reference to boundary conditions that will become available through other papers in this special issue - are there now references that can be provided for these papers?**

*We have added the following at L176:*

*“Most of the CFMIP-3 experiments are based on CO<sub>2</sub> concentration forced amip, piControl and abrupt-4xCO<sub>2</sub> CMIP DECK (Diagnostic, Evaluation and Characterization of Klima) experiments (Eyring et al., 2016). Unless otherwise specified below, the CFMIP-3 experiments should be configured consistently with the DECK experiments on which they are based, using consistent model formulation, and forcings and boundary conditions as specified by Eyring et al., 2016.”*

**The thing I spotted in the reviewers' comments that I am unsure about is the suggestion**

to abbreviate the citations to increase readability. Here's an example, "Temperature and humidity tendency terms in particular have been shown to be useful for understanding the roles of different parts of the model physics in cloud feedbacks and adjustments (Kamae and Watanabe 2012; Williams et al., 2013; Webb and Lock 2013; Demoto et al., 2013; Sherwood et al., 2014; Ogura et al., 2014; Brient et al., 2015)"

I generally don't like the idea of reducing the citations, but that is an awful lot of references all apparently showing the same thing! As a reader I'd want to know what the difference is between these papers, and which one I should look up in order to learn about the thing I am specifically interested in. The obvious solution would be to add a little more description, so that the reader has more knowledge about the content of the references. Doing so will make the manuscript longer, which could get out of hand, but maybe there is a middle way which produces a more readable and more useful manuscript.

*We have addressed this in the manuscript as follows. We have reduced the number of citations in the introduction, in particular where there is duplication with Section 2. Throughout, where several citations are made together, we have broken them into smaller groups as suggested to give the reader a better idea of what distinguishes them. Please see L55-75, L105-113, L233-240, L249-250, L656-657.*

*For example, in the case highlighted above, we have updated the manuscript at L656 to read:*

*"Temperature and humidity tendency terms in particular have been shown to be useful for understanding the roles of different parts of the model physics in cloud feedbacks (e.g. Webb and Lock 2013; Demoto et al., 2013; Sherwood et al., 2014; Brient et al., 2015) and cloud adjustments (e.g. Kamae and Watanabe 2012; Ogura et al., 2014) as well as in understanding clouds and circulation in the present climate (e.g. Williams et al., 2013; Oueslati and Bellon, 2013; Xavier et al., 2015)."*

*We hope that these changes strike the required balance effectively.*

# The Cloud Feedback Model Intercomparison Project (CFMIP) contribution to CMIP6.

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~~Revised for Geoscientific Model Development (GMD) 12<sup>th</sup> October, 2016.~~

## Abstract

The primary objective of CFMIP is to inform future assessments of cloud feedbacks through improved understanding of cloud-climate feedback mechanisms and better evaluation of cloud processes and cloud feedbacks in climate models.

However, ~~the CFMIP approach is also increasingly being used to understand other aspects of climate change, and so a second objective has now been introduced, to improve understanding of circulation, regional-scale precipitation, and non-linear changes.~~ CFMIP is supporting ongoing model inter-comparison activities by coordinating a hierarchy of targeted experiments for CMIP6, along with a set of cloud related output diagnostics. CFMIP contributes primarily to addressing the

CMIP6 questions "How does the Earth System respond to forcing?" and "What are the origins and consequences of systematic model biases?" and supports the activities of the WCRP Grand Challenge on Clouds, Circulation and Climate Sensitivity.

A compact set of Tier 1 experiments is proposed for CMIP6 to address the question: "1) What are the physical mechanisms underlying the range of cloud feedbacks and cloud adjustments predicted by climate models, and which models have the most credible cloud feedbacks?" Additional Tier 2 experiments are proposed to address the following questions: 2) Are cloud feedbacks consistent for climate cooling and warming, and if not, why? 3) How do cloud-radiative effects impact the structure, the strength and the variability of the general atmospheric circulation in present and future climates? 4) How do responses in the climate system due to changes in solar forcing differ from changes due to CO<sub>2</sub>, and is the response sensitive to the sign of the forcing? 5) To what extent is regional climate change per CO<sub>2</sub> doubling state-dependent (nonlinear), and why? 6) Are climate feedbacks during the 20<sup>th</sup> century different to those acting on long term climate change and climate sensitivity? 7) How do regional climate responses (e.g. in precipitation) and their uncertainties in coupled models arise from the combination of different aspects of CO<sub>2</sub> forcing and sea surface warming?

CFMIP also proposes a number of additional model outputs in the CMIP DECK, CMIP6 Historical and CMIP6 CFMIP experiments, including COSP simulator outputs and process diagnostics to address the following questions: 1) How well do clouds and other relevant variables simulated by models agree with observations? 2) What physical processes and mechanisms are important for a credible simulation of clouds, cloud feedbacks and cloud adjustments in climate models? 3) Which models have the most credible representations of processes relevant to the simulation of clouds? 4) How do clouds and their changes interact with other elements of the climate system?

## 1 Introduction

Inter-model differences in cloud feedbacks continue to be the largest source of uncertainty in predictions of equilibrium climate sensitivity (Boucher et al., 2013). Although the ranges of cloud feedbacks and climate sensitivity from comprehensive climate models have not reduced in recent years, considerable progress has been made in understanding (a) which types of clouds contribute most to this spread (e.g. Bony and Dufresne 2005; Webb et al., 2006; Zelinka et al., 2013), (b) the role of cloud adjustments in climate sensitivity (e.g. Gregory and Webb, 2008; Andrews and Forster, 2008; Kamae and Watanabe, 2012; Zelinka et al., 2013), (c) the processes and mechanisms which are (and are not) implicated in cloud

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65 | feedbacks, both in fine resolution models (e.g. Rieck et al., 2012; Bretherton et al., 2015) and in comprehensive climate  
66 | models (e.g. Brient and Bony 2012; Sherwood et al., 2014; Zhao, 2015; Webb et al., 2015b), (d) the inconstancy of cloud  
67 | feedbacks and effective climate sensitivity (e.g. Senior and Mitchell, 2000; Williams et al., 2008; Andrews et al., 2012;  
68 | Geoffroy et al., 2013; Armour et al., 2013; Andrews and Gregory, 2016), and (e) the extent to which models with stronger or  
69 | weaker cloud feedbacks or climate sensitivities agree with observations (e.g. Fasullo and Trenberth, 2012; Su et al., 2014; Qu  
70 | et al., 2014; Sherwood et al., 2014; Myers and Norris, 2016). Additionally, our ability to evaluate model clouds using  
71 | satellite data has benefited from the increasing use of satellite simulators. This approach, first introduced by Yu et al, 1996  
72 | for use with data from the International Satellite Cloud Climatology Project (ISCCP) attempts to reproduce what a satellite  
73 | would observe given the model state. Such approaches enable more quantitative comparisons to the satellite record (e.g. Yu  
74 | et al., 1996; Klein and Jakob, 1999; Webb et al.; 2001; Bodas-Salcedo et al., 2011; Cesana and Chepfer, 2013). Much of our  
75 | improved understanding in these areas would have been impossible without the continuing investment of the scientific  
76 | community in successive phases of the Coupled Model Intercomparison Project (CMIP), and its co-evolution in more recent  
77 | years with the Cloud Feedback Model Intercomparison Project (CFMIP).

78 | CFMIP started in 2003 and its first phase (CFMIP-1) organised an intercomparison based on perpetual July SST forced  
79 | Cess style +2K experiments and 2xCO<sub>2</sub> equilibrium mixed-layer model experiments containing ISCCP simulator in parallel  
80 | with CMIP3 (McAvaney and Le Treut, 2003). CFMIP-1 had a substantial impact on the evaluation of clouds in models and  
81 | in the identification of low level cloud feedbacks as the primary cause of inter-model spread in cloud feedback, which  
82 | featured prominently in the fourth and fifth IPCC assessments (Randall et al., 2007; Boucher et al., 2013).

83 | The subsequent objective of CFMIP-2 was to inform improved assessments of climate change cloud feedbacks by  
84 | providing better tools to support evaluation of clouds simulated by climate models and understanding of cloud-climate  
85 | feedback processes. CFMIP-2 organized further experiments as part of CMIP5 (Bony et al., 2011; Taylor et al., 2012),  
86 | introducing seasonally varying SST perturbation experiments for the first time, as well as fixed SST CO<sub>2</sub> forcing experiments  
87 | to examine cloud adjustments. CFMIP-2 also introduced idealized ‘aquaplanet’ experiments into the CMIP family of  
88 | experiments. These experiments were motivated by extensive research in the framework of the aqua-planet experiment  
89 | (Neale and Hoskins, 2000, Blackburn and Hoskins, 2013) and the particular finding, based on a small subset of models, that  
90 | the global mean cloud feedback of more realistic model configurations could be reproduced, and more easily investigated,  
91 | using the much simpler aqua-planet configuration (Medeiros et al., 2008). CFMIP-2 proposed the inclusion of the abrupt  
92 | CO<sub>2</sub> quadrupling AOGCM (atmosphere-ocean general circulation model) experiment in the core experiment set of CMIP5,  
93 | based on the approach of Gregory et al., 2004, which subsequently formed the basis for equilibrium climate sensitivity  
94 | estimates from AOGCMs (Andrews et al., 2012). Additionally CFMIP-2 introduced satellite simulators to CMIP via the  
95 | CFMIP Observation Simulator Package (COSP, Bodas-Salcedo et al., 2011); not only the ISCCP simulator, but additional  
96 | simulators to facilitate the quantitative evaluation clouds using a new generation of active radars and lidars in space. CFMIP-  
97 | 2 also introduced into CMIP5 process diagnostics such as temperature and humidity budget tendency terms and high  
98 | frequency ‘cfSites’ outputs at 120 locations around the globe. In an effort less directly connected to CMIP, CFMIP organized  
99 | a joint project with the GEWEX Global Atmospheric System Study (GASS) called CGILS (the CFMIP-GASS  
100 | Intercomparison of LES and SCMs) to develop cloud feedback intercomparison cases to assess the physical credibility of  
101 | cloud feedbacks in climate models by comparing Single Column Model (SCM) versions of General Circulation Models  
102 | (GCMs) with high resolution Large Eddy Simulations (LES) models. CFMIP-2 also developed the CFMIP-OBS data portal  
103 | and the CFMIP diagnostic codes catalogue. For more details, and for a full list of CFMIP related publications, please refer  
104 | to the CFMIP website (<http://www.earthsystemcog.org/projects/cfmip>).

105 | Studies arising from CFMIP-2 include numerous single and multi-model evaluation studies which use COSP to make  
106 | quantitative and fair comparisons with a range of satellite products (e.g. Kay et al., 2012; Franklin et al., 2013; Klein et al.,  
107 | 2013, Lin et al., 2014, Chepfer et al., 2014). COSP has also enabled studies attributing cloud feedbacks and cloud  
108 | adjustments to different cloud types (e.g. Zelinka et al., 2013; Zelinka et al., 2014; Tsushima et al., 2015). CFMIP-2  
109 | additionally enabled the finding that idealized ‘aquaplanet’ experiments without land, seasonal cycles or Walker circulations  
110 | are able to reproduce the essential differences between models’ global cloud feedbacks and cloud adjustments in a substantial  
111 | ensemble of models (Ringer et al., 2014; Medeiros et al., 2015). Process outputs from CFMIP have also been used to develop  
112 | and test physical mechanisms proposed to explain and constrain inter-model spread in cloud feedbacks in the CMIP5 models  
113 | (e.g. Sherwood et al., 2014; Brient et al., 2015; Webb et al., 2015a; Nuijens et al., 2015a,b; Dal Gesso et al., 2015). CGILS  
114 | has demonstrated a consensus in the responses of LES models to climate forcings and identified shortcomings in the physical  
115 | representations of cloud feedbacks in climate models (e.g. Blossey et al., 2013; Zhang et al., 2013; Dal Gesso et al., 2015).

116 | The CFMIP experiments have additionally formed the basis for coordinated experiments to explore the impact of cloud  
117 | radiative effects on the circulation (Stevens et al., 2012; Fermepin and Bony 2014; Crueger and Stevens 2015; Li et al., 2015;  
118 | Harrop and Hartmann 2016), the impact of parametrized convection on cloud feedback (Webb et al., 2015b) and the  
119 | mechanisms of negative shortwave cloud feedback in mid to high latitudes (Ceppi et al., 2015). Additionally the CFMIP  
120 | experiments have, due to their idealized nature, proven useful in a number of studies not directly related to clouds, but instead  
121 | analyzing the responses of regional precipitation and circulation patterns to CO<sub>2</sub> forcing and climate change (e.g. Bony et al.,  
122 | 2013; Chadwick et al., 2014; He and Soden 2015; Oueslati et al., 2016). Studies using CFMIP-2 outputs from CMIP5 remain  
123 | ongoing and further results are expected to feed into future assessments of the representation of clouds and cloud feedbacks in  
124 | climate models.

125 | The primary objective of CFMIP is to inform future assessments of cloud feedbacks through improved understanding of  
126 | cloud-climate feedback mechanisms and better evaluation of cloud processes and cloud feedbacks in climate models.  
127 | However, the CFMIP approach is also increasingly being used to understand other aspects of climate change, and so a second  
128 | objective has been introduced, to improve understanding of circulation, regional-scale precipitation, and non-linear changes.

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- Deleted: Ringer et al., 2014; Medeiros et al., 2015; Bretherton et al., 2015;
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- Deleted: Brient et al., 2015; Tsushima et al., 2015;
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147 | This involves bringing climate modelling, observational and process modelling communities closer together and providing  
148 | better tools and community support for evaluation of clouds and cloud feedbacks simulated by climate models and for  
149 | understanding of the mechanisms underlying them. This is achieved by:

- 151 | • Coordinating model inter-comparison activities which include experimental design as well as specification of  
152 | model output diagnostics to support quantitative evaluation of modelled clouds with observations (e.g. COSP)  
153 | and in-situ measurements (e.g. cfSites) as well as process-based investigation of cloud maintenance and  
154 | feedback mechanisms (e.g. cfSites, temperature and humidity tendency terms)
- 155 | • Developing and improving support infrastructure including COSP, CFMIP-OBS and the CFMIP diagnostic  
156 | codes catalogue.
- 157 | • Fostering collaboration with the observational and cloud process modelling communities via annual CFMIP  
158 | meetings and international funded projects.

159 | This paper describes and documents the CFMIP contribution to the current phase on the Coupled Model Intercomparison  
160 | Project (CMIP6, Eyring et al., 2016). It is anticipated that CFMIP-3 will eventually be broader than what is described here,  
161 | for instance including studies with process models, but for the purposes of this document CFMIP-3 should be considered to  
162 | be synonymous with the CFMIP contribution to CMIP6. CFMIP-3 touches, to differing degrees, on each of the three  
163 | questions around which CMIP6 is organized. With its focus on cloud feedback, CFMIP-3 is central to CMIP6's attempt to  
164 | answer the question: 'How does the Earth system respond to forcing?' But as illustrated in the remainder of this document,  
165 | CFMIP-3 also offers the opportunity to contribute to the other two guiding questions of CMIP6. Through its strong model  
166 | evaluation component it stands to help answer the question: 'What are the origins and consequences of systematic model  
167 | biases?' CFMIP-3 will also help answer the question: 'How can we assess future climate changes given climate variability,  
168 | climate predictability, and uncertainties in scenarios?' For example the *amip-piForcing* experiment proposed below will  
169 | support studies relating cloud variability and feedbacks on observable timescales to long term cloud feedbacks (Andrews,  
170 | 2014; Gregory and Andrews, 2016).

171 | The CFMIP-3 experiments proposed for CMIP6 are outlined below in Section 2. Section 3 describes the diagnostics  
172 | outputs proposed by CFMIP for the CFMIP-3 experiments and other experiments within CMIP. We provide a summary of  
173 | the CFMIP-3 contribution to CMIP6 in Section 5.

## 175 | 2 CFMIP-3 Experiments

176 | The CFMIP-3 experiments are summarised in Figure 1 and Tables 1 and 2, and are described in detail below. Most of the  
177 | CFMIP-3 experiments are based on CO<sub>2</sub> concentration forced amip, piControl and abrupt-4xCO<sub>2</sub> CMIP DECK (Diagnostic,  
178 | Evaluation and Characterization of Klima) experiments (Eyring et al., 2016). Unless otherwise specified below, the  
179 | CFMIP-3 experiments should be configured consistently with the DECK experiments on which they are based, using  
180 | consistent model formulation, and forcings and boundary conditions as specified by Eyring et al., 2016. Following the  
181 | CMIP6 design protocol, groups of experiments are motivated by science questions and are separated into Tiers 1 and 2  
182 | (Eyring et al., 2016). It is a requirement for participation by modelling groups in the CFMIP-3/CMIP6 model  
183 | intercomparison that all Tier 1 experiments be performed and published through the ESGF, so as to support CFMIP's Tier 1  
184 | science question. Tier 2 experiments are optional, and are associated with additional science questions. Any subset of Tier  
185 | 2 experiments may be performed. All model output archived by CFMIP/CMIP6 is expected to be made available under the  
186 | same terms as CMIP output. Most modelling groups currently release their CMIP data for unrestricted use. Our analysis  
187 | plans for the CFMIP-3 experiments are summarised in Appendix A.

### 189 | 2.1 CFMIP-3 Tier 1 Experiments

191 | Lead coordinator: Mark Webb

193 | Science Question: What are the physical mechanisms underlying the range of cloud feedbacks and cloud adjustments  
194 | predicted by climate models, and which of the cloud responses are the most credible?

196 | Equilibrium climate sensitivity (ECS) can be estimated using an idealized AOGCM experiment such as the *abrupt-4xCO<sub>2</sub>*  
197 | experiment in the CMIP6 DECK, at the same time statistically separating the global mean contributions from climate  
198 | feedbacks and adjusted radiative forcing due to CO<sub>2</sub> (Gregory et al. 2004, Andrews et al., 2012). However understanding the  
199 | physical processes underlying cloud feedbacks and adjustments requires diagnosis in SST forced experiments with  
200 | atmosphere-only general circulation models (AGCMs), which can resolve cloud feedbacks and adjustments independently  
201 | from each other and with minimal statistical noise at regional scales, while faithfully reproducing the inter-model differences  
202 | in global values from the fully coupled models (Ringer et al., 2014). (The ability of these AGCM experiments to reproduce  
203 | the inter-model differences in global cloud feedbacks and adjustments from coupled models indicates that they do not  
204 | strongly depend on different ocean model formulations or SST biases). The CFMIP-2 *amip4xCO<sub>2</sub>* experiments in CMIP5,  
205 | which quadrupled CO<sub>2</sub> while leaving SSTs at present day values (Bony et al., 2011), allowed the land/tropospheric  
206 | adjustment process and the cloud adjustment to CO<sub>2</sub> to be examined in this way for the first time in the multi-model context  
207 | (Kamae and Watanabe, 2012; Ringer et al., 2014; Kamae et al. 2015) in conjunction with the CMIP5 *sstClim/sstClim4xCO<sub>2</sub>*

**Deleted:** The primary goal of CFMIP is to inform improved assessments of cloud feedbacks on climate change. However, the CFMIP approach is increasingly being used to understand other aspects of climate response, such as regional circulation and precipitation changes, and non-linear changes.

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**Moved up [4]:** It is anticipated that CFMIP-3 will eventually be broader than what is described here, for instance including studies with process models, but for the purposes of this document CFMIP-3 should be considered to be synonymous with the CFMIP contribution to CMIP6.

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229 experiments which were based on climatological preindustrial SSTs (Andrews et al., 2012; Zelinka et al., 2013; Vial et al.,  
 230 2013). These experiments have additionally formed the basis for more in-depth studies with individual models (e.g. Wyant et  
 231 al., 2012; Kamae and Watanabe, 2013; Bretherton et al., 2014; Ogura et al., 2014). The CFMIP-2/CMIP5 *amip4K* and  
 232 *amipFuture* SST perturbed atmosphere-only experiments (Bony et al., 2011) have been used to examine cloud feedbacks in  
 233 greater detail (e.g. Brient and Bony, 2012; Bretherton et al., 2014; Lacagnina et al., 2014; Bellomo and Clement, 2015; Webb  
 234 et al., 2015b), often in conjunction with simulator outputs (e.g. Gordon and Klein, 2014; Chepfer et al., 2014; Tsushima et al.,  
 235 2015; Ceppi et al., 2016) and CFMIP process diagnostics (e.g. Webb and Lock, 2013; Sherwood et al., 2014; Brient et al.,  
 236 2015; Webb et al., 2015a; Dal Gesso et al., 2015). Similarly, these experiments have been used to investigate regional  
 237 responses of various quantities to direct radiative forcing due to increasing CO<sub>2</sub> concentrations and/or increases in SST,  
 238 including precipitation (e.g. Ma and Xie, 2013; Huang et al., 2013; Widlansky et al., 2013; Kent et al., 2015; Long et al.,  
 239 2016), circulation (e.g. He et al., 2014; Zhou et al., 2014; Kamae et al., 2014; Bellomo and Clement, 2015; Shaw and Voigt,  
 240 2015) and stability (e.g. Qu et al., 2015).

241 A more idealized set of fixed SST experiments proposed by CFMIP-2 for CMIP5 (*aquaControl*, *aqua4xCO2*, and  
 242 *aqua4K*) based on zonally symmetric, fixed season 'aquaplanet' configurations without land have been shown to reproduce  
 243 the inter-model differences in global mean cloud adjustments and feedbacks from realistic experiments surprisingly  
 244 effectively (Medeiros et al., 2008; Ringer et al., 2014; Medeiros et al., 2015) as well as many aspects of the zonal mean  
 245 circulation response (Medeiros et al., 2015). This indicates that those features of the climate system excluded from these  
 246 experiments (i.e. the ocean, land, seasonal cycle, monsoon and Walker circulations) are not central to understanding inter-  
 247 model differences in global mean cloud feedbacks and adjustments, and demonstrates the value of aquaplanet experiments for  
 248 investigating the origin of such differences, as well as differences in zonally averaged precipitation and circulation and their  
 249 responses to climate change (e.g. Stevens et al., 2012; Bony et al., 2013; Queslati and Bellon, 2013; Fermepein and Bony  
 250 2014; Voigt and Shaw 2015). The aquaplanet experiments have the benefit not only of being less computationally expensive  
 251 than alternative experiments (requiring only 5-10 years to get a robust signal); they are also much more straightforward to  
 252 analyse, as their behaviour can mostly be characterized by examining zonal means, avoiding the analysis overhead of  
 253 compositing which is generally required in realistic model configurations to isolate the various cloud regimes. Aqua-planet  
 254 simulations, (and other idealized) experiments are particularly effective at highlighting model differences, for instance in the  
 255 placement of the tropical rain bands, or in the representation of cloud changes with warming, as it is not possible to tune them  
 256 to observations in the same way as is for more realistic configurations (e.g., Stevens and Bony, 2013).

257 The CMIP5/CFMIP-2 experiments and diagnostic outputs have thus enabled considerable progress on a number of  
 258 questions. However, participation by a larger fraction of modelling groups is desired in CMIP6 to enable a more  
 259 comprehensive assessment of the uncertainties across the full multi-model ensemble. Our proposal is therefore to retain the  
 260 CFMIP-2/CMIP5 experiments (known in CMIP5 as *amip4K*, *amip4xCO2*, *amipFuture*, *aquaControl*, *aqua4xCO2* and  
 261 *aqua4K*) in Tier 1 for CFMIP/CMIP6. These are summarised in Table 1 (the names have been changed slightly compared to  
 262 the CMIP5 equivalents to fit in with a wider naming convention of CMIP6). The set up for each of these experiments is  
 263 described below. (For output requirements from these and other experiments please refer to Section 3).

264 *amip*: This is a single ensemble member of the CMIP DECK *amip* experiment which contains additional outputs which are  
 265 required both for model evaluation using COSP, and for interpretation of feedbacks and adjustments in conjunction with the  
 266 *amip-p4K*, *amip-4xCO2*, *amip-future4K* and *amip-m4K* experiments.

267 *amip-p4K* (formerly *amip4K*): The same as the *amip* DECK experiment, except that SSTs are subject to a uniform  
 268 warming of 4K. This warming should be applied to the ice free ocean surface only. Sea ice and SSTs in grid boxes  
 269 containing sea ice remain the same as in the *amip* DECK experiment.

270 *amip-future4K* (formerly *amipFuture*): The same as the *amip* DECK experiment, except that a composite SST warming  
 271 pattern derived from the CMIP3 coupled models is added to the AMIP SSTs (see Appendix C for details). As with the *amip-  
 272 p4K* experiment, the warming pattern should only be applied to the ice free ocean surface, and sea ice and SSTs in grid boxes  
 273 containing sea ice should remain the same as in the *amip* DECK experiment. The warming pattern should be scaled to ensure  
 274 that the global mean SST increase averaged over the ice free oceans is 4K. Care should be taken to ensure that SSTs are  
 275 increased in any inland bodies of water and near coastal edges, for example by linearly interpolating the provided warming  
 276 pattern dataset to fill in missing data before re-gridding to the target resolution.

277 *amip-4xCO2* (formerly *amip4xCO2*): The same as the *amip* experiment within the DECK, except that the CO<sub>2</sub>  
 278 concentration seen by the radiation scheme is quadrupled. The CO<sub>2</sub> seen by the vegetation should be the same as in the *amip  
 279 DECK* experiment. This experiment gives an indication of the adjusted radiative forcing due to CO<sub>2</sub> quadrupling, including  
 280 stratospheric, land surface, tropospheric and cloud adjustments.

281 The configuration of the *aqua-control*, *aqua-p4K* and *aqua-4xCO2* experiments are unchanged compared to their  
 282 equivalents in CFMIP-2/CMIP5, except that the simulation length has been extended to 10 years to improve the signal to  
 283 noise ratio. Further details of their experimental set up are included in Appendix B.

284 We also propose to use the Tier 1 experiments as the foundation for further experiments planned in the context of the  
 285 Grand Challenge on Clouds, Circulation and Climate Sensitivity (Bony et al., 2015). These will include for example  
 286 sensitivity experiments to assess the impacts of different physical processes on cloud feedbacks and regional  
 287 circulation/precipitation responses and also to test specifically proposed cloud feedback mechanisms (e.g. Webb et al., 2015b,  
 288 Ceppi et al., 2015). Additional experiments further idealizing the aquaplanet framework to a non-rotating rotationally  
 289 symmetric case are also under development (e.g. Popke et al., 2013). These will be proposed as additional Tier 2  
 290 experiments at a future time, or coordinated by CFMIP outside of CMIP6.

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 and Klein, 2014; Chepfer et al., 2014;  
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336 **2.2 amip minus 4K Experiment (Tier 2)**

337 Lead Coordinators: Mark Webb and Bjorn Stevens

338  
339 Science Question: Are cloud feedbacks consistent for climate cooling and warming, and if not, why?  
340

341 There is some evidence to suggest that cloud feedbacks might operate differently in response to cooling rather than warming.  
342 For example, Yoshimori et al., 2009 found a positive shortwave cloud feedback in a CO<sub>2</sub> doubling experiment with a  
343 particular GCM, but noted a tendency for it to become weaker or even negative in cooling experiments designed to replicate  
344 the climate of the last glacial maximum. They suggested that this might be related to different displacements of mixed-phase  
345 clouds in the two scenarios. For small enough changes where linearity is a good approximation, one would expect the cloud  
346 response to cooling and warming to be the same, differing only in sign, resulting in an identical cloud feedback expressed per  
347 degree of global temperature change. But for larger perturbations this symmetry of response may no longer hold. A  
348 warming or cooling of the atmosphere of equal magnitude while maintaining relative humidity will for example generate  
349 different changes in absolute humidity, and its horizontal and vertical gradients, which have been linked to cloud feedbacks  
350 (Brient and Bony, 2013; Sherwood et al., 2014), the atmospheric lapse rate and circulation which influences clouds and  
351 depends in part on the absolute humidity (Held and Soden, 2006; Qu et al., 2015) and additionally on extratropical cloud  
352 optical depth feedbacks which may be related to adiabatic cloud liquid water contents (Gordon and Klein, 2014) or phase  
353 changes that depend upon whether a given volume crosses the 0 degree isotherm in the climate change (Ceppi et al. 2015).

354 The configuration of the *amip-m4K* experiment will be the same as the *amip-p4K* experiment, except that the sea surface  
355 temperatures are uniformly reduced by 4K rather than increased. This cooling should be applied to sea ice free grid boxes  
356 only. Sea ice and SSTs in grid boxes containing sea ice should remain the same as in the *amip* DECK experiment. In models  
357 which employ a fixed lower threshold near freezing for the SST used in the calculation of the surface fluxes, this should  
358 ideally also be reduced by 4K. This experiment will contain CFMIP COSP and process outputs so as to support the  
359 investigation of inconsistent responses of clouds to a cooling vs. a warming climate in a controlled way through comparison  
360 with the *amip-p4K* experiment. This experiment also complements the abrupt 0.5xCO<sub>2</sub> and the -4% solar experiments in that  
361 one can identify asymmetries in the warming/cooling response with and without interactions with the ocean. As such we hope  
362 that these experiments will provide useful synergies with the Palaeoclimate Model Intercomparison Project (PMIP) CMIP6  
363 experiments (Kageyama et al., 2016), for example in interpreting differing cloud feedbacks between future CO<sub>2</sub> forced  
364 experiments and those representing the Last Glacial Maximum, as highlighted by Yoshimori et al., 2009.

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366 **2.3 Atmosphere-only experiments without longwave cloud radiative effects. (Tier 2)**

367 Lead Coordinators: Sandrine Bony and Bjorn Stevens

368  
369 Science question: How do cloud-radiative effects impact the structure, the strength and the variability of the general  
370 atmospheric circulation in present and future climates?  
371

372 It is increasingly recognized that clouds, and atmospheric cloud-radiative effects in particular, play a critical role in the  
373 general circulation of the atmosphere and its response to global warming or other perturbations: they have been found to  
374 modulate the structure, the position and shifts of the ITCZ (e.g. Slingo and Slingo 1988; Randall et al., 1989; Sherwood et al  
375 1994; Bergman and Hendon 2000; Hwang and Frierson, 2013; Fermepein and Bony 2014; Voigt et al., 2014; Loeb et al.,  
376 2015), the organisation of convection in tropical waves, Madden-Julian Oscillations and other forms of convective  
377 aggregation (e.g. Lee et al., 2001; Lin and Mapes, 2004; Bony and Emanuel, 2005; Zurovac-Jevtic et al., 2006; Crueger and  
378 Stevens, 2015; Muller and Bony, 2015), the extra-tropical circulation and the position of eddy-driven jets (e.g. Ceppi et al.,  
379 2012; Ceppi et al., 2014; Grise and Polvani 2014; Li et al., 2015; Voigt and Shaw, 2015), and modes of interannual to  
380 decadal climate variability (e.g. Bellomo et al., 2015; Rädcl et al., 2016; Yuan et al., 2016). A better assessment of this role  
381 would greatly help to interpret model biases (how much do biases in cloud-radiative properties contribute to biases in the  
382 structure of the ITCZ, in the position and strength of the storm tracks, in the lack of intra-seasonal variability, etc) and to  
383 inter-model differences in simulations of the current climate and in climate change projections (especially changes in regional  
384 precipitation and extreme events). More generally, a better understanding of how clouds couple to the circulation is expected to  
385 improve our ability to answer the four science questions raised by the WCRP Grand Challenge on Clouds, Circulation and  
386 Climate Sensitivity (Bony et al., 2015).

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387 These questions provided the scientific motivation for the Clouds On/Off Klima Intercomparison Experiment (COOKIE)  
388 project proposed by the European consortium EUCLIPSE and CFMIP (Stevens et al., 2012). The COOKIE experiments,  
389 which have been run by four to eight climate models (depending on the experiment), switched off the cloud-radiative effects  
390 (clouds seen by the radiation code -and the radiation code only- were artificially made transparent) in an atmospheric model  
391 forced by prescribed SSTs. By doing so, the atmospheric circulation could feel the lack of cloud-radiative heating within the  
392 atmosphere, but the land surface could also feel the lack of cloud shading, which led to changes in land surface temperatures  
393 and land-sea contrasts. The change in circulation between On and Off experiments resulted from both effects, obscuring to  
394 some degree the mechanisms through which the atmospheric cloud-radiative effects interact with the circulation for given  
395 surface boundary conditions. As the longwave cloud-radiative effects are felt mostly within the troposphere (representing  
396 most of the net atmospheric cloud-radiative heating) while the shortwave effects are felt mostly at the surface (e.g. L'Ecuyer  
397 and McGarragh 2010; Haynes et al., 2013), we could better isolate the role of tropospheric cloud-radiative effects on the

403 circulation by running atmosphere-only experiments in which clouds are made transparent to radiation only in the longwave.  
404 In this configuration, the models will have a shortwave cloud feedback but no longwave cloud feedback. We note that the  
405 presence of clouds does affect the shortwave radiative heating of the atmosphere, although this is a much smaller effect than  
406 its longwave equivalent (e.g. Pendergrass and Hartmann, 2014).

407 Therefore we propose in Tier 2 a set of simple experiments similar to the *amip*, *amip-p4K*, *aqua-control* and *aqua-p4K*  
408 experiments within Tier 1, but in which cloud-radiative effects are switched off in the longwave part of the radiation code  
409 while retaining those in the shortwave (Fermepin and Bony, 2014). Care should also be taken to remove the effects of cloud  
410 on any longwave cooling used in other model schemes (e.g. turbulent mixing) if these are calculated independently of the  
411 radiation scheme. These experiments will be referred to as *amip-lwoff*, *amip-p4K-lwoff*, *aqua-control-lwoff* and *aqua-p4K-*  
412 *lwoff*. The analysis of idealized (aqua-planet) experiments will allow us to assess the robustness of the impacts found in more  
413 realistic (AMIP) configurations. It will also facilitate the interpretation of the results using simple dynamical models or  
414 theories, in collaboration with large-scale dynamicists (e.g. DynVar). The comparison of the inter-model spread of  
415 simulations between the standard and ‘lwoff’ experiments for present-day and warmer climates will help to identify which  
416 aspects of the inter-model spread depend on the representation of cloud-radiative effects, and which aspects do not, thus  
417 better highlighting other sources of spread. An alternative method (proposed by Aiko Voigt) was also considered, in which  
418 clear-sky heating rates would be applied in the atmosphere while retaining the all-sky fluxes at the surface. Although this  
419 approach would potentially isolate the effects of cloud heating in the atmosphere more cleanly than the lwoff experiments  
420 proposed here, it is yet to be demonstrated in a pilot study, and is considered more technically difficult to implement than the  
421 lwoff experiments, which are very similar to those piloted by Fermepin and Bony, 2014.

## 422 2.4 Abrupt +/-4% Solar Forced AOGCM experiments (Tier 2)

423 Lead coordinators: Chris Bretherton, Roger Marchand, Bjorn Stevens

424  
425 Science Question: How do responses in the climate system due to changes in solar forcing differ from changes due to CO<sub>2</sub>,  
426 and is the response sensitive to the sign of the solar forcing?  
427

428 While rapid adjustments in clouds and precipitation can easily be separated from conventional feedbacks in SST forced  
429 experiments, such a separation in coupled models is complicated by various issues, including the response of the ocean on  
430 decadal timescales. A number of studies have examined cloud feedbacks in coupled models subject to a solar forcing, which  
431 is generally associated with much smaller global cloud and precipitation adjustment, due to a smaller atmospheric absorption  
432 for a given top of atmosphere forcing (e.g. Lambert and Faull, 2007; Andrews et al., 2010), but the regional cloud and  
433 precipitation changes have yet to be rigorously investigated across models. Solar forcing also differs from greenhouse  
434 forcing through its different fingerprint on the vertical structure of warming (Santer et al., 2013) and small changes in the  
435 radiative heating near the tropopause may project measurably on tropospheric climate (e.g., Butler et al., 2010), for instance  
436 by influencing the baroclinicity in the upper troposphere and thus the storm-tracks (Bony et al., 2015).

437 A +4% solar experiment *abrupt-solp4p* is proposed which is analogous to the *abrupt-4xCO2* experiment but rather than  
438 changing CO<sub>2</sub> it would abruptly increase the solar constant by four percent and keep it fixed for 150 years, resulting in a  
439 global mean radiative forcing of a similar magnitude to that due to CO<sub>2</sub> quadrupling. When changing the solar constant, the  
440 shape of the spectral solar irradiance distribution should remain consistent with that in the piControl experiment. This  
441 experiment complements the DECK *abrupt-4xCO2* experiment, tests the forcing feedback framework for analyzing climate  
442 change, and would support our understanding of regional responses of the coupled system with and without CO<sub>2</sub> adjustments.  
443 The complementary -4% abrupt solar forcing experiment (*abrupt-solm4p*) would allow the examination of feedback  
444 asymmetry under climate cooling, and would also help with the interpretation of model responses to geo-engineering  
445 scenarios and volcanic forcing, and of past climate signals.

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## 446 2.5 nonLinMIP abrupt 2xCO<sub>2</sub> and abrupt 0.5xCO<sub>2</sub> Experiments (Tier 2)

447 Lead Coordinator: Peter Good

448  
449 Science Question: To what extent is regional-scale climate change per CO<sub>2</sub> doubling state-dependent (nonlinear); what are  
450 the associated mechanisms; and how does this affect our understanding of climate model uncertainty?  
451

452 Recent studies with individual, or a small number of climate models, have found substantial nonlinearities in regional-scale  
453 precipitation change (Good et al., 2012; Chadwick and Good, 2013), associated with robust physical mechanisms (Chadwick  
454 and Good, 2013). Significant nonlinearity has also been found in global and regional-scale warming (e.g. Colman and  
455 McAvaney, 2009; Jonko et al., 2013; Good et al., 2015; Meraner et al., 2013) and ocean heat uptake (Bouttes et al., 2015).

456 To address this science question we propose two new experiments for Tier 2, *abrupt 2xCO<sub>2</sub>* and *abrupt 0.5xCO<sub>2</sub>*. These are  
457 the same as the DECK *abrupt4xCO2* experiment except that CO<sub>2</sub> concentrations are doubled and halved respectively relative  
458 to the preindustrial control. These experiments are based on a proven analysis approach, including traceability of these  
459 experiments to transient-forcing simulations (Good et al., 2016), to explore global and regional-scale nonlinear responses,  
460 highlighting different behaviour under business-as-usual scenarios, mitigation scenarios and palaeoclimate simulations.  
461 Additionally comparisons of the abrupt 2xCO<sub>2</sub> and abrupt 4xCO<sub>2</sub> experiments will help to establish the extent to which the

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465 latter accurately estimates the equilibrium climate sensitivity to CO<sub>2</sub> doubling (e.g. Gregory et al., 2004, Block and  
466 Mauritsen, 2013). Additional experiments (Good et al., 2016) may be proposed for Tier 2 in the future, or coordinated via  
467 CFMIP outside of CMIP6. These include 100-year extensions to *abrupt-4xCO<sub>2</sub>* and *abrupt-2xCO<sub>2</sub>*; a 1% ramp-down from  
468 the end of the *1pctCO<sub>2</sub>* experiment; an abrupt step-down to 1xCO<sub>2</sub> from year 100 of the *abrupt-4xCO<sub>2</sub>*. These would be used  
469 to explore longer-timescale responses, quantify nonlinear mechanisms more precisely and understand the reversibility of  
470 climate change.

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## 471 2.6 Feedbacks in AMIP experiments (Tier 2)

472 Lead Coordinator: Timothy Andrews

473  
474 Science question: Are climate feedbacks during the 20<sup>th</sup> century different to those acting on long term climate change?  
475

476 Recent studies have shown significant time variation in climate feedbacks in response to CO<sub>2</sub> quadrupling (e.g. Andrews et  
477 al., 2012; Geoffroy et al., 2013; Armour et al., 2013; Andrews et al., 2015). This raises the possibility that feedbacks during  
478 the 20<sup>th</sup> century may be different to those acting on long term change, and hence has the potential to alleviate the apparent  
479 discrepancy between estimates of climate sensitivity from comprehensive climate models and from simple climate models  
480 fitted to observed warming trends (Collins et al., 2013). For example Gregory and Andrews, 2016 found that two models  
481 forced with observed monthly 20<sup>th</sup> century SST and sea-ice variations simulated effective climate sensitivities of about 2K,  
482 whereas these same models forced with patterns of long term SST change simulated effective climate sensitivities of over 3K  
483 and 4K.

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484 The previous CFMIP-2/CMIP5 design was unable to diagnose the time-variation of feedbacks of explicit relevance to the  
485 historical period, because this requires the removal of the time varying forcing. To address this we propose an additional  
486 experiment called '*amip-piForcing*' (*amip* pre-industrial forcing) following the design of Andrews 2014 and Gregory and  
487 Andrews, 2016. This experiment is the same as the *amip DECK experiment* (i.e. using observed monthly updating SSTs and  
488 sea-ice), but run for the period 1870-present and with constant pre-industrial forcings (i.e. all anthropogenic and natural  
489 forcing boundary conditions identical to the *piControl experiment*). Since the forcing constituents do not change in this  
490 experiment it readily allows a simple diagnosis of the simulated atmospheric feedbacks to observed SST and sea-ice changes,  
491 which can then be compared to feedbacks representative of long term change and climate sensitivity (e.g. from *abrupt-4xCO<sub>2</sub>*  
492 or *amip-p4K*). The experiment has the additional benefit, by differencing with the standard *amip* run that includes time-  
493 varying forcing agents, of providing detailed information on the transient effective radiative forcing and adjustments in  
494 models during the AMIP period (Andrews, 2014). This can then be compared to the forcings diagnosed in the Radiative  
495 Forcing Model Intercomparison Project (RFMIP, Pincus et al., 2016, who use a pre-industrial climate baseline) to test for any  
496 dependence of forcing and adjustments on the climate state. Time-varying feedbacks in the *amip* experiment could  
497 alternatively be diagnosed by subtracting a time-varying radiative forcing diagnosed from RFMIP experiments. However, the  
498 *amip-piForcing* approach has the benefit of diagnosing the time-varying feedbacks over the full 1870-present period rather  
499 than the last 36 years, and does so with reference to a single experiment, which reduces noise compared to that which would  
500 be present with a double difference of the *amip* experiment and two RFMIP experiments. Also, the inclusion of CFMIP  
501 process diagnostics in the *amip-piForcing* experiment will enable a deeper understanding of the factors underlying forcing  
502 and feedback differences in the present and future climate.

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complements the alternative approach of  
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the forcing and adjustments (e.g. from  
RFMIP) and removing them from the  
standard *amip* experiment, since the  
approach here extends the time-period of  
the *amip* simulation and only requires a  
single experiment (rather than pairs) which  
reduces the noise

## 503 2.7 Time slice experiments for understanding regional climate responses to CO<sub>2</sub> (Tier 2)

504 Lead Coordinators: Robin Chadwick, Hervé Douville and Christopher Skinner

505  
506 Science questions:

- 507 • How do regional climate responses (e.g. of precipitation) in a coupled model arise from the combination of  
508 responses to different aspects of CO<sub>2</sub> forcing and sea surface warming (uniform SST warming, patterned SST  
509 warming, sea-ice change, direct CO<sub>2</sub> effect, plant physiological effect)?
- 510 • Which aspects of forcing/warming are most important for causing inter-model uncertainty in regional climate  
511 projections?
- 512 • Can inter-model differences in regional projections be related to underlying structural or resolution differences  
513 between models through improved process understanding, and could this help us to constrain the range of regional  
514 projections?
- 515 • What impact do coupled model SST biases have on regional climate projections?  
516

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517 The CFMIP-2/CMIP5 set of idealised *amip* experiments (e.g. *amip4K*, *amipFuture*) have allowed the contribution of different  
518 aspects of SST warming and increased CO<sub>2</sub> concentrations to the projections of fully coupled GCMs to be examined (e.g.  
519 Bony et al., 2013; Chadwick et al., 2014; He and Soden, 2015). However the *amip* experiments were not designed to replicate  
520 coupled GCM responses on a regional scale, and large discrepancies exist between the two in many regions, particularly  
521 when individual models are examined instead of the ensemble mean (Chadwick, 2016). This is largely due to the choice of

546 present-day and future SST boundary conditions used in the amip experiments, as well as missing processes such as the plant  
547 physiological response to CO<sub>2</sub>, rather than the lack of air-sea coupling (Skinner et al., 2012).  
548 We propose a new set of 7 30-year atmosphere-only time slice experiments, and one 36-year amip-style experiment, to  
549 decompose the regional responses of each model's *abrupt-4xCO2* run into separate responses to each aspect of forcing and  
550 warming (uniform SST warming, pattern SST change, sea-ice change, increased CO<sub>2</sub>, plant physiological effect). These are  
551 forced with monthly- and annually-varying monthly mean SSTs and sea ice, which reproduce regional precipitation patterns  
552 more accurately than is possible using climatological SST forcing (Skinner et al., 2012). As well as allowing regional  
553 responses in each individual model to be better understood, this set of experiments should prove especially useful for  
554 understanding the causes of model uncertainty in regional climate change.

555 The experiments are:

556 1) *piSST* – An AGCM experiment with monthly- and annually-varying SSTs, sea-ice, atmospheric constituents and any  
557 other necessary boundary conditions (e.g. vegetation if required) taken from a section of each model's own *piControl* run,  
558 using the 30 years of *piControl* that are parallel to years 111-140 of its *abrupt-4xCO2* run. Note that dynamic vegetation (if  
559 included in the model) should not be turned on in any of the *piSST* set of experiments;

560 2) *piSST-pxK* – same as *piSST*, but with a global spatially and temporally uniform SST anomaly applied on top of the  
561 monthly- and annually-varying *piSST* SSTs. The magnitude of the uniform increase is taken from each model's global,  
562 climatological annual mean open SST change between *abrupt-4xCO2* and *piControl* (using the mean of years 111-140 of  
563 *abrupt-4xCO2*, and the parallel 30-year section of *piControl*). Sea-ice is unchanged from *piSST* values;

564 3) *piSST-4xCO2-rad* – same as *piSST* but CO<sub>2</sub> as seen by the radiation scheme is quadrupled;

565 4) *piSST-4xCO2* – same as *piSST* but with CO<sub>2</sub> quadrupled, and this increase is seen by both the radiation scheme and the  
566 plant physiological effect. If a model does not include the plant physiological response to CO<sub>2</sub>, then *piSST-4xCO2* can be  
567 omitted from the set of *piSST* experiments for that model;

568 5) *a4SST* – same as *piSST*, but with monthly- and annually-varying SSTs taken from years 111-140 of each model's own  
569 *abrupt-4xCO2* experiment instead of from *piControl* (sea ice is unchanged from *piSST*);

570 6) *a4SSTice* – same as *piSST*, but with monthly- and annually-varying SSTs and sea-ice taken from years 111-140 of each  
571 model's own *abrupt-4xCO2* experiment instead of from *piControl*;

572 7) *a4SSTice-4xCO2* – same as *piSST*, but with monthly- and annually-varying SSTs and sea-ice taken from years 111-140  
573 of each model's own *abrupt-4xCO2* experiment instead of from *piControl*. CO<sub>2</sub> is also quadrupled, and is seen by both the  
574 radiation scheme and the plant physiological effect (if included in the model). *a4SSTice-4xCO2* is used to establish whether a  
575 time slice experiment can adequately recreate the coupled *abrupt-4xCO2* response in each model, and then forms the basis for  
576 a decomposition using the other experiments. The time slice experiments can be combined in various ways to isolate the  
577 climate response to each individual aspect of forcing and warming. For example the response to SST pattern change is given  
578 by taking the difference between *a4SST* and *piSST-pxK*, and the plant physiological response is found by taking the  
579 difference between *piSST-4xCO2* and *piSST-4xCO2-rad*.

580 8) We also propose an additional amip based experiment, *amip-a4SST-4xCO2*: the same as amip, but a patterned SST  
581 anomaly is applied on top of the monthly- and annually-varying amip SSTs. This anomaly is a monthly climatology, taken  
582 from each model's own *abrupt-4xCO2* run minus *piControl* (using the mean of years 111-140 of *abrupt-4xCO2*, and the  
583 parallel 30-year section of *piControl*). CO<sub>2</sub> is quadrupled, and the increase in CO<sub>2</sub> is seen by both the radiation scheme and  
584 vegetation. Comparison of *amip-a4SST-4xCO2* and *a4SSTice-4xCO2* should help to illuminate the impact of SST biases on  
585 regional climate responses in each model, and how this contributes to inter-model uncertainty.

### 586 3 CFMIP Recommended Diagnostic Outputs for CMIP experiments

587 The CFMIP-3 specific diagnostic request is designed to address the following questions: 1) How well do clouds and other  
588 relevant variables simulated by models agree with observations? 2) What physical processes and mechanisms are important  
589 for a credible simulation of clouds, cloud feedbacks and cloud adjustments in climate models? 4) Which models have the  
590 most credible representations of processes relevant to the simulation of clouds? 5) How do clouds and their changes interact  
591 with other elements of the climate system?

592 The set of diagnostic outputs recommended for CFMIP-3 is based on that from CFMIP-2, with some modifications. The  
593 request outlined below is in three parts. The first part describes an updated set of CFMIP process diagnostics (based on those  
594 in CFMIP-2 which are documented at [http://cmip-pcmdi.llnl.gov/cmip5/output\\_req.html](http://cmip-pcmdi.llnl.gov/cmip5/output_req.html)) in terms of the various groups of  
595 variables and the experiments in which they are requested. This set was drawn up by the CFMIP committee and ratified by  
596 the modelling groups following a presentation at the 2014 CFMIP meeting. The second part describes recommendations for  
597 COSP outputs in the CFMIP-3, CMIP DECK and CMIP6 Historical experiments. The third part describes additional  
598 diagnostics requested for evaluation of mean diurnal cycle of tropical clouds and radiation. The summaries below give an  
599 overview of the diagnostic request; however the definitive and detailed specification is documented in the CMIP6 data  
600 request, available at <https://www.earthsystemcog.org/projects/wip/CMIP6DataRequest> (Juckes et al., in preparation.) The  
601 changes in the CFMIP-3 diagnostics relative to those requested for CFMIP-2 are additionally motivated and detailed in the  
602 CFMIP CMIP6 proposal document which is available from the CFMIP website.

603 CMIP mandates that for participation in the CFMIP-3, modelling groups must commit to performing all of the Tier 1  
604 experiments. In recognition that sufficient resources are not available for all groups to prepare all of the CFMIP-3 specific  
605 diagnostics, these diagnostics are considered to be Tier 2, i.e., not compulsory for participation in CFMIP-3. Nonetheless,  
606 these diagnostics are extremely valuable and all groups with the capacity to do so are very strongly encouraged to provide the  
607 additionally requested CFMIP-3 specific diagnostics.

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615 In the case where CFMIP-3 specific outputs are requested in DECK and CMIP6-Historical experiments, and modelling  
616 groups run more than one ensemble member of an experiment, we request that each set of CFMIP-3 specific outputs are  
617 submitted for one ensemble member only. Having different CFMIP variables in different ensemble members is acceptable,  
618 but submitting them all in the same ensemble member is preferable. We request that the modelling groups provide  
619 information on which CFMIP diagnostic sets are submitted in which ensemble members so that this information can be made  
620 available to those who may be analyzing the output. Our analysis plans for the CFMIP diagnostic outputs in the CMIP  
621 DECK, CMIP6 Historical and CFMIP-3 experiments, including details of the CFMIP Diagnostics Code Catalogue are  
622 summarised in Appendix A.

### 623 3.1 Process outputs

624 In CFMIP-2, instantaneous high frequency ‘cfSites’ outputs were requested for 120 locations in the *amip*, *amip4K*,  
625 *amipFuture* and *amip4xCO2* experiments, and for 73 locations along the Greenwich meridian in the aquaplanet experiments,  
626 to support understanding and evaluation of clouds and their interactions with convection and other processes. The 120  
627 locations include the locations of instrumented sites (ARM and CloudNet stations, Dome C, etc), the transect associated with  
628 the GCSS Pacific Cross-section Intercomparison (GPCI), past field campaigns (DYCOMS-II, NARVAL, HOPE, VOCALS,  
629 ASTEX and AMMA transects, TOGA-COARE, RICO, etc) and a number of climate regimes that contribute substantially to  
630 the inter-model spread of cloud feedbacks in climate change (Webb et al., 2015a). These outputs have so far been used to  
631 evaluate the models with in-situ measurements (e.g. Nuijens et al., 2015a, Nuijens et al., 2015b, Neggers et al., 2015), to  
632 investigate the diurnal cycle of cloud feedbacks (Webb et al., 2015a) and to compare cloud feedbacks in climate models with  
633 SCM and LES outputs from CGILS (Dal Gesso et al., 2015). We have added St. Helena to the list of locations in light of  
634 upcoming field work, increasing the total number of locations to 121 for CFMIP-3. A text file containing the list of locations  
635 is available in the Supplementary Information and on the CFMIP website; these are also presented graphically in Figure 2.

636 For CFMIP-3, cfSites outputs are now requested for one ensemble member of the *amip* DECK experiment, and the *amip-*  
637 *p4K* and *amip-4xCO2* experiments. Outputs should be provided for the full duration of each experiment. The sampling  
638 interval should be the integer multiple of the model time step that is nearest to 30 minutes and divides into 60 minutes with  
639 no remainder: e.g. 30 minutes for a 30, 15 or 10 minute time step or 20 minutes for a 20 minute time step. Outputs should be  
640 instantaneous (i.e. not time means) and from nearest grid box (i.e. no spatial interpolation). We have dispensed with the  
641 cfSites outputs in the aquaplanet and *amip-future4K* experiments because these have been less widely used compared to those  
642 from the other experiments.

643 The cfSites outputs from CFMIP-3 provide instantaneous outputs of a range of quantities (including temperature and  
644 humidity tendency terms) in experiments which can be used to evaluate the present day relationships of clouds to cloud  
645 controlling factors using in situ measurements, and at the same time explore how these relationships affect cloud feedbacks  
646 and cloud adjustments. An increasing wealth of observational data with which to evaluate the models using these outputs is  
647 available or in the planning stage, for example from the Barbados Cloud Observatory (Stevens et al., 2015) the ARM  
648 Program (e.g. Wood et al., 2015; Marchand et al., 2015) or within the German national project on high-definition clouds and  
649 precipitation for climate-prediction, HD(CP)<sup>2</sup>, inclusive of its observational prototype experiment (HOPE), and which has  
650 collected observations over Germany following conventions adopted for CMIP (Andrea Lammert, personal communication).

651 CFMIP-2 also requested cloud, temperature and humidity tendency terms from convection, radiation, dynamics etc. in the  
652 *amip*, *amip4K*, *amipFuture* and *amip4xCO2*, *aquaControl*, *aqua4xCO2* and *aqua4K* experiments, as global monthly mean  
653 outputs and high frequency outputs at fixed locations (Bony et al., 2011). Upward and downward radiative fluxes on model  
654 levels were also requested in these experiments, and for instantaneous CO<sub>2</sub> quadrupling in the *amip* experiment only.  
655 Temperature and humidity tendency terms in particular have been shown to be useful for understanding the roles of different  
656 parts of the model physics in cloud feedbacks (e.g. Webb and Lock 2013; Demoto et al., 2013; Sherwood et al., 2014; Brient  
657 et al., 2015) and cloud adjustments (e.g. Kamae and Watanabe 2012; Ogura et al., 2014) as well as in understanding clouds  
658 and circulation in the present climate (e.g. Williams et al., 2013; Oueslati and Bellon, 2013; Xavier et al., 2015). They have  
659 also been used to understand regional warming patterns such as polar amplification in coupled models (e.g. Yoshimori et al.,  
660 2014).

661 In CFMIP-3 we have improved the definitions of the temperature and humidity tendency terms, and added some additional  
662 terms such as clear-sky radiative heating rates to more precisely quantify the contributions of different processes to the  
663 temperature and humidity budget changes underlying cloud feedbacks and adjustments. We have dispensed with the cloud  
664 water tendency terms because these have been less widely used than the temperature and humidity tendencies.  
665 A shortcoming of the CMIP5 protocol was that we were unable to interpret the physical feedback mechanisms in coupled  
666 model experiments due to a lack of process diagnostics. For this reason in CMIP6 we are requesting these budget terms in  
667 the DECK *abrupt-4xCO2* experiment and the pre-industrial control as well as one ensemble member of the *amip* DECK  
668 experiment, and all of the CFMIP-3 experiments listed in Sections 2.1-2.6.

669 Clustering approaches (e.g., Jakob and Tselioudis, 2003) are now commonly used for assessing the contributions of  
670 different cloud regimes (e.g. stratocumulus, trade cumulus, frontal clouds, etc) to present day biases in cloud simulations and  
671 to inter-model differences in cloud feedbacks (e.g. Williams and Webb 2009, Tsushima et al., 2013, Tsushima et al., 2015).  
672 We have also added some additional daily 2D fields to the standard package of CFMIP daily outputs to allow further  
673 investigation of feedbacks between clouds and aerosols associated with the changing hydrological cycle (aerosol loadings and  
674 cloud top effective radii/number concentrations) and a clearer diagnosis of the roles of convective and stratiform clouds  
675 (convective vs. stratiform ice and condensed water paths and cloud top effective radii/number concentrations).

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### 690 3.2 COSP outputs

691 This section motivates and summarizes the COSP outputs requested from the DECK, and CMIP6 historical and CFMIP-3  
692 experiments as well as a corresponding set of observations.

693 There is no unique definition of clouds or cloud types, neither in models nor in observations. Therefore, to compare models  
694 with observations, and even to compare models with each other, it is necessary to use a consistent definition of clouds  
695 between the model and the satellite product in question (i.e., be “definition-aware”). Further complicating matters - climate  
696 model grid boxes (typically 1 degree) are much larger than the scales over which many satellite observations are made  
697 (typically <10 km). As a result, one must downscale the climate model cloud properties to the observation scale (i.e., be  
698 “scale-aware”). The CFMIP Observation Simulator Package (COSP) enables definition-aware and scale-aware comparisons  
699 between models and multiple sets of observations by producing cloud diagnostics from model simulations that are  
700 quantitatively comparable to a variety of satellite products from ISCCP, CloudSat, CALIPSO, MODIS, MISR and Parasol  
701 (Bodas-Salcedo et al., 2011). COSP enables a more quantitative comparison of model outputs with satellite cloud products,  
702 which often sub-sample low level clouds in the presence of high level clouds due to the effects of cloud overlap and  
703 attenuation (e.g. Yu et al., 1996). COSP also provides histograms of various cloud properties as a function of height or  
704 pressure which are directly comparable with satellite products and cannot be calculated correctly from time mean model  
705 outputs. The multiple simulators within COSP allow a multi-faceted evaluation of clouds in models whereby the strengths  
706 and weaknesses of different satellite products may be considered together.

707 COSP is increasingly being used not only for model intercomparison activities but as part of the model development and  
708 evaluation process by modelling groups (e.g. Marchand et al., 2009; Zhang et al., 2010; Kay et al., 2012; Franklin et al.,  
709 2013; Lacagnina and Selten, 2014; Nam et al., 2014; Williams et al., 2015, Konsta et al., 2015). Many of the standard  
710 monthly and daily COSP outputs have been shown to be valuable in the CMIP5 experiments, not only for cloud evaluation,  
711 allowing a detailed evaluation of clouds and precipitation, and their interaction with radiation (e.g. Nam et al., 2012; Cesana  
712 and Chepfer, 2012; Kay et al. 2012; Klein et al., 2013; Tsushima et al., 2013; Gordon and Klein, 2014; Lin et al., 2014;  
713 Bodas-Salcedo et al., 2014; Bellomo and Clement, 2015), but also in quantifying the contributions of different cloud types to  
714 cloud feedbacks and forcing adjustments in climate change experiments (e.g. Zelinka et al., 2013; Zelinka et al., 2014;  
715 Chepfer et al., 2014; Tsushima et al., 2015). For a full list of studies that use COSP diagnostics for model evaluation and  
716 feedback analysis please refer to the ‘CFMIP publications’ section of the CFMIP website.

717 Here we will give only a brief overview of the COSP request; readers interested in the complete details of the data request  
718 are referred to the Earth System CoG website (<https://www.earthsystemcog.org/projects/wip/CMIP6DataRequest>). The  
719 COSP data request for the CMIP DECK and CMIP6 has been designed to span model evaluation across different space and  
720 time scales. Monthly-mean diagnostics allow for the evaluation and intercomparison of large-scale distributions of cloud  
721 properties and their interaction with radiation. High-frequency model outputs (daily, 3-hourly) are aimed at a process-oriented  
722 evaluation (e.g. Bodas-Salcedo et al., 2012) and offer the opportunity of exploiting the synergy between multiple instruments  
723 (e.g. Konsta et al., 2015). Recent observational developments have improved our capability to retrieve cloud radiative  
724 properties. In particular, new methodologies for cloud phase identification are available for CALIPSO and MODIS, and  
725 COSP has been enhanced to provide diagnostics that are compatible with these new observational datasets (Cesana and  
726 Chepfer, 2013). These new diagnostics will help elucidate some open questions regarding the role of cloud phase in model  
727 biases (Ceppi et al., 2016; Bodas-Salcedo et al., in press).

728  
729 Within CFMIP-3 COSP output is requested from six simulators as follows:

- 730 • ISCCP: pseudo-retrievals of cloud top pressure (CTP) and cloud optical thickness (tau) (Klein and Jakob 1999;  
731 Webb et al., 2001).
- 732 • CloudSat: a forward model for radar reflectivity as a function of height (Haynes et al., 2007).
- 733 • CALIPSO (Chepfer et al., 2008; Cesana and Chepfer, 2013): forward model for lidar scattering ratio as function of  
734 height, and cloud phase retrieval.
- 735 • MODIS: pseudo-retrievals of CTP, effective particle size and tau as function of phase (Pincus et al., 2012).
- 736 • MISR: pseudo-retrievals of cloud top height (CTH) and tau (Marchand and Ackerman, 2010).
- 737 • PARASOL: simple forward model of mono-directional reflectance (Konsta et al., 2015).

738  
739 The main difference to CFMIP-2 is that output is requested from a greater number of simulators and longer periods of  
740 simulated time. MISR provides more accurate retrievals of cloud-top-height for low-level and mid-level clouds, and more  
741 reliable discrimination of mid-level clouds from other clouds, while MODIS provides better retrievals of high-level clouds.  
742 ISCCP and MISR histograms can be combined to separate optically-thin high-level clouds into multi-layer and single-layer  
743 categories (Marchand et al. 2010). Aerosol schemes are becoming more complex, with more elaborate representations of  
744 cloud-aerosol interactions. This makes the evaluation of the phase partitioning an important aspect of model evaluation, and  
745 height-resolved partitioning estimates from the CALIPSO simulator are included in the COSP request. Cloud phase and  
746 particle size estimates from the MODIS simulator were not available in CFMIP-2 but may prove a useful complement to  
747 investigate cloud-aerosol interactions by virtue of greater geographic sampling and longer time records. Many of the COSP  
748 diagnostics are now requested for the entire lengths of the DECK, CMIP6 Historical and CFMIP-3 experiments to support the  
749 quantification and interpretation of cloud feedbacks and cloud adjustments in a broader context. The new inclusion in this  
750 COSP request of a long time series of three-dimensional cloud fractions will facilitate the comparison of cloud trends with the  
751 observational record (Chepfer et al., 2014). More details of all the changes with respect to CFMIP-2 can be found in the

752 | proposal of the CMIP6-Endorsed MIPs, available from the CMIP6 [website](http://www.wcrp-climate.org/wgcm-cmip/wgcm-cmip6) (<http://www.wcrp-climate.org/wgcm-cmip/wgcm-cmip6>).

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755 | The COSP output is in six variable groups:

- 756 | 1) [cfMon\\_sim](#): monthly means of ISCCP 2D diagnostics (cloud fraction, cloud albedo, and cloud top pressure), ISCCP CTP-tau histogram, and CALIPSO 2D and 3D cloud fractions.
- 757 | 2) [cfDay\\_2d](#): daily means of ISCCP and CALIPSO 2D diagnostics, and PARASOL reflectances.
- 758 | 3) [cfDay\\_3d](#): daily means of ISCCP and CALIPSO 3D diagnostics.
- 759 | 4) [cfMonExtra](#): monthly means of CloudSat reflectivity and CALIPSO scattering ratio histograms as function of height, CALIPSO 3D cloud fractions by phase, MODIS 2D cloud fractions, MODIS CTP-tau histogram and size-tau histograms by phase, MISR CTH-tau histograms, and PARASOL reflectances.
- 760 | 5) [cfDayExtra](#): daily means of CALIPSO total cloud fraction, MODIS CTP-tau histogram and size-tau histograms by phase, and PARASOL reflectances.
- 761 | 6) [cf3hrSim](#): 3-hourly instantaneous diagnostics of ISCCP CTP-tau histograms, MISR CTH-tau histograms, MODIS CTP-tau histogram and size-tau histograms by phase, CALIPSO 2D and 3D cloud fractions, CloudSat reflectivity and CALIPSO scattering ratio histograms as function of height, and PARASOL reflectances.

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770 | The variable groups [cfMon\\_sim](#) and [cfDay\\_2d](#) are requested for all years in the *amip* experiment performed as part of the DECK and the CMIP6-Historical experiments, and for 140 years of the *piControl*, *1pctCO2*, and *abrupt-4xCO2*. These are requested for one ensemble member only from these experiments. They are also requested in all of the CFMIP experiments listed in Sections 2.1-2.6 above. [cfDay\\_3d](#) is requested in one ensemble member of the DECK *amip* experiment and in the CFMIP *amip-p4K* and *amip-4xCO2* experiments. [cfMonExtra](#) and [cfDayExtra](#) are requested for all years of one ensemble member of the *amip* DECK experiment, and [cf3hrSim](#) for the year 2008 only. (Please note that in the full data request these variable groups are in many cases split into a number of sub-tables. As noted above, the formal data request provides the definitive specification of the model outputs.)

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777 | [COSP](#) is available via the CFMIP website (<https://www.earthsystemcog.org/projects/cfmip>). Version 1.4 is a stable code release that was made available well in advance of CMIP6 at the request of the modelling groups. Small updates are required to enable some new diagnostics requested by CFMIP3/CMIP6, most notably joint histograms of particle size and optical thickness from the MODIS simulator; with these updates the code is known as version 1.4.1. Modeling centers are encouraged to update to COSP 1.4.1 to provide these new diagnostics but may provide results from COSP 1.4.

782 | Developed over the last few years, COSP 2 substantially revises the infrastructure for integrating satellite simulators in climate models. COSP 2 makes many fewer inherent assumptions about the model representation of clouds than do previous versions but contains an optional interface allowing it to be used as a drop-in replacement for COSP 1.4 or COSP 1.4.1. At the time of this writing COSP 2 is undergoing final testing in two climate models. Availability of the final version will be announced on the CFMIP website and modelling groups are free to adopt it for use in CFMIP at that time.

788 | The CFMIP community has developed a set of observational datasets available via the CFMIP-OBS [website](#) (<http://climserv.ipsl.polytechnique.fr/cfmip-obs/>) that are defined consistently with the COSP diagnostics and the CFMIP data request in terms of vertical grids and time averaging periods. These are mostly reported as monthly means although some are reported at higher temporal resolution for process oriented model evaluations (e.g. Konsta et al., 2012). Table 3 summarizes the datasets relevant to the COSP CMIP6 data request. Some of the CFMIP-OBS datasets listed in Table 3 (CALIPSO, CloudSat, ISCCP, PARASOL) are also available from the ESGF as part of the obs4MIPs project (Teixeira et al., 2014). These datasets are periodically updated to include more recent data from the relevant satellites, many of which are still operational. Please refer to the CFMIP-OBS website for updates.

Deleted: COSP 1.4, available via the CFMIP website (<https://www.earthsystemcog.org/projects/cfmip>), is the official version to be used for CMIP6. This is a stable release that was made available well in advance of CMIP6 at the request of the modelling groups. Version 2 of COSP is under active development. At the time of writing, COSP 2 is in beta testing and does not have a stable release, and so is not currently permitted for production of CMIP6 data. COSP-2 may be permitted for use in CMIP6 along with COSP 1.4 in the future; if and when this happens details will be posted on the CFMIP website.¶

### 797 | 3.3 Monthly Mean Diurnal Cycle Outputs

798 | Climate models have difficulties representing the diurnal cycle of convective clouds over land (Yang and Slingo, 2001; Stratton and Stirling, 2011), but its evaluation is not possible with sun-synchronous satellites. Geostationary satellites provide high-frequency sampling that can be used to evaluate model biases in the diurnal cycle of clouds and radiation (albeit over a limited area). The Geostationary Earth Radiation Budget instrument (GERB; Harries et al., 2005) measures the [top of atmosphere \(TOA\)](#) radiation budget from a geostationary orbit at 0E at 15 minute frequency, which provides a unique view of tropical convection over Africa. The variable group [cf1hrClimMon](#) requests monthly mean diurnal cycle of TOA radiative fluxes (all-sky and clear sky) for the entire length of the *amip* DECK experiment. The radiative fluxes are hourly UTC means. The 'average day' for each month of the simulation is then constructed by averaging each UTC hourly mean over the entire month. These diagnostics will be directly comparable with GERB measurements.

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### 809 | 4. Summary

810 | The primary goal of CFMIP is to inform improved assessments of cloud feedbacks on climate change. This involves bringing climate modelling, observational and process modelling communities closer together and providing better tools and community support for understanding and evaluation of clouds and cloud feedbacks simulated by climate models. CFMIP

848 supports ongoing coordinated model inter-comparison activities by recommending experiments and model output diagnostics  
849 for CMIP, designed to support the understanding and evaluation of cloud processes and cloud feedbacks in models. [The](#)  
850 [CFMIP approach is also increasingly being used to understand other aspects of climate change, and so a second objective has](#)  
851 [now been introduced, to improve understanding of circulation, regional-scale precipitation, and non-linear changes.](#) CFMIP  
852 proposes a number of experiments and model outputs for CMIP6, building on and extending those which were part of  
853 CMIP5.

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Deleted: The CFMIP approach is also increasingly being used to understand other aspects of climate change, such as circulation, regional-scale precipitation and non-linear changes.

854 A compact set of Tier 1 experiments are proposed address the question: “1) What are the physical mechanisms underlying  
855 the range of cloud feedbacks and cloud adjustments predicted by climate models, and which models have the most credible  
856 cloud feedbacks?” The Tier 1 experiments (*amip-p4K*, *amip-4xCO2*, *amip-future4K*, *aqua-control*, *aqua-4xCO2* and *aqua-*  
857 *p4K*) retain the idealized experimental hierarchy of the CFMIP-2/CMIP5 experiments while building on the DECK AMIP  
858 experiment. A number of Tier 2 experiments are proposed to address additional science questions. An amip uniform minus  
859 4K experiment is proposed to address the question “2) Are cloud feedbacks consistent for climate cooling and warming, and  
860 if not, why?” Atmosphere-only experiments with clouds made transparent to longwave radiation address the question “3)  
861 How do cloud-radiative effects impact the structure, the strength and the variability of the general atmospheric circulation in  
862 present and future climates?” Abrupt +/-4% Solar Forced AOGCM experiments are proposed for the question “4) How do  
863 responses in the climate system due to changes in solar forcing differ from changes due to CO<sub>2</sub>, and is the response sensitive  
864 to the sign of the solar forcing?” abrupt 2xCO<sub>2</sub> and abrupt 0.5xCO<sub>2</sub> experiments are proposed to address the question “5) To  
865 what extent is regional-scale climate change per CO<sub>2</sub> doubling state-dependent (nonlinear), and why?” Other experiments and  
866 questions proposed include: AMIP with preindustrial forcing “6) Are climate feedbacks during the 20<sup>th</sup> century different to  
867 those acting on long term climate change and climate sensitivity?”; Time slice experiments forced with SSTs from  
868 preindustrial and *abrupt-4xCO2* simulations “7) How do regional climate responses (of e.g. precipitation) in a coupled model  
869 arise from the combination of responses to different aspects of CO<sub>2</sub> forcing and warming (uniform SST warming, pattern SST  
870 warming, direct CO<sub>2</sub> effect, plant physiological effect, sea-ice change)?”

871 The CFMIP experiments in CMIP6 will continue to include outputs from the CFMIP Observational Simulator Package  
872 (COSP) to support robust scale-aware and definition-aware evaluation of modelled clouds with observations and to relate  
873 cloud feedbacks to observed quantities. COSP outputs are also proposed for inclusion in the DECK and CMIP6 Historical  
874 experiments. Process diagnostics including ‘cfSites’ high frequency outputs at selected locations and temperature and  
875 humidity budget terms from radiation, convection, dynamics, etc. are also retained from CMIP5. These will help to address  
876 the following questions: 1) How well do clouds and other relevant variables simulated by models agree with observations?  
877 2) What physical processes and mechanisms are important for a credible simulation of clouds, cloud feedbacks and cloud  
878 adjustments in climate models? 4) Which models have the most credible representations of processes relevant to the  
879 simulation of clouds? 5) How do clouds and their changes interact with other elements of the climate system?  
880 By continuing the CFMIP experiments and diagnostic outputs within CMIP6 we hope to apply the well established aspects of  
881 the CFMIP approach to a larger number of climate models. Additionally we have proposed new experiments to investigate a  
882 broader range of questions relating to the Grand Challenge on Clouds, Circulation and Climate Sensitivity. We hope that the  
883 modelling community will participate fully in CFMIP via CMIP6 so as to maximize the relevance of our findings to future  
884 assessments of climate change.

## 885 Code and Data Availability

886 COSP is published under an open source license via GitHub (please see the CFMIP website for details). The model output  
887 from the DECK, CMIP6 historical and CFMIP-3 simulations described in this paper will be distributed through the Earth  
888 System Grid Federation (ESGF) with digital object identifiers (DOIs) assigned. As in CMIP5, the model output will be freely  
889 accessible through data portals after registration. In order to document CMIP6’s scientific impact and enable ongoing support  
890 of CMIP, users are obligated to acknowledge CMIP6, the participating modelling groups, and the ESGF centres (see details  
891 on the CMIP Panel website at <http://www.wcrp-climate.org/index.php/wgcm-cmip/about-cmip>). Further information about  
892 the infrastructure supporting CMIP6, the metadata describing the model output, and the terms governing its use are provided  
893 by the WGCM Infrastructure Panel (WIP) in their invited contribution to this Special Issue. Along with the data itself, the  
894 provenance of the data will be recorded, and DOIs will be assigned to collections of output so that they can be appropriately  
895 cited. This information will be made readily available so that published research results can be verified and credit can be  
896 given to the modelling groups providing the data. The WIP is coordinating and encouraging the development of the  
897 infrastructure needed to archive and deliver this information. In order to run the experiments, datasets for natural and  
898 anthropogenic forcings are required. These forcing datasets are described in separate invited contributions to this Special  
899 Issue. The forcing datasets will be made available through the ESGF with version control and DOIs assigned.  
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901 Acknowledgements: [We are grateful to Florent Brient, Hideo Shioyama, Aiko Voigt, Mark Ringer and two anonymous](#)  
902 [referees for helpful comments on the manuscript.](#) We thank the modelling groups and wider CFMIP community for  
903 reviewing and supporting the CFMIP contribution to CMIP6, the CMIP Panel for their coordination of CMIP6, the WGCM  
904 Infrastructure Panel (WIP) overseeing the CMIP6 infrastructure, and Martin Juckes for taking the lead in preparing the  
905 CMIP6 data request. We are also grateful to Robert Pincus and Yuying Zhang for their contributions to COSP and to CFMIP-  
906 OBS, to Dustin Swales for his development work for COSP-2, and to Gregory Cesana and Mathieu Reverdy for their  
907 contributions to CFMIP-OBS. We are grateful to Brian Soden for producing the CMIP3 composite pattern dataset used for  
908 the CMIP5 *amipFuture* and CMIP6 *amip-future4K* experiments, and to PMIP representatives Pascale Braconnot, Masa

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918 Kageyama, and Masakazu Yoshimori for discussions relating to the amip-m4K experiment. The efforts of S. A. Klein are  
919 supported by the Regional and Global Climate Modeling program of the United States Department of Energy's Office of  
920 Science and were performed under the auspices of the U. S. Department of Energy by Lawrence Livermore National  
921 Laboratory under Contract DEAC5207NA27344. Met Office Hadley Centre authors are supported by the Joint UK BEIS  
922 DECC/Defra Met Office Hadley Centre Climate Programme (GA01101).

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## 923 Appendix A: Analysis Plan and CFMIP Diagnostic Codes Catalogue

924 CFMIP-2 analysis activities are ongoing and the CFMIP community is ready to analyse CFMIP-3 data at any time. We would  
925 like modelling groups to perform the proposed CFMIP-3 experiments at the same time or shortly after their DECK and  
926 CMIP6 Historical experiments. Subsequent CFMIP experiments which are not included in CMIP6 will build on the proposed  
927 DECK and CMIP6/CFMIP experiments and some will start as soon as CMIP6 DECK experiments start to become available.  
928 We envisage a succession of CFMIP related intercomparisons addressing different questions arising from the Grand  
929 Challenge spanning the duration of CMIP6.

930 We plan to scientifically analyze, evaluate and exploit the proposed experiments and diagnostic outputs, and have  
931 identified lead coordinators within CFMIP for different aspects of this activity. The lead coordinators are responsible for  
932 encouraging analysis of the relevant experiments as broadly as possible across the scientific community. While they may lead  
933 some analysis themselves, they do not have any first claim on analysing or publishing the results. All interested investigators  
934 are encouraged to exploit the data from these experiments. While investigators may wish to liaise with the lead coordinators  
935 to avoid duplicating work that others are doing, this is not a requirement. An overview of the proposed evaluation/analysis of  
936 the CMIP DECK, CMIP6 Historical and CFMIP CMIP6 experiments follows:

937 CFMIP will continue to exploit the CMIP DECK and CMIP6 experiments to understand and evaluate cloud processes and  
938 cloud feedbacks in climate models. The wide range of analysis activities described above in the context of CFMIP-2 will be  
939 continued in CFMIP-3 using the CMIP DECK and CMIP6 experiments, allowing the techniques developed in CFMIP-2 to  
940 applied to an expanding number of models, including the new generation of models currently under development. These  
941 activities will include evaluation of clouds using additional simulators, investigation of cloud processes and cloud  
942 feedback/adjustment mechanisms using process outputs (cfSites, tendency terms, etc). The inclusion of COSP and budget  
943 tendency terms in additional DECK experiments (e.g. *abrupt-4xCO2*) will enable the CFMIP approach to be applied to a  
944 wider range of experimental configurations. Lead coordinator: Mark Webb.

945 Analysis of the  $\pm 4\%$  solar forcing runs will include an evaluation of both rapid adjustments and longer-term responses on  
946 global and regional top-of-atmosphere radiative fluxes, cloud types (using ISCCP and other COSP simulators) and  
947 precipitation characteristics, as well as comparison of these responses with responses in DECK *abrupt-4xCO2* experiments.  
948 GeoMIP and SolarMIP have expressed a strong interest in these CFMIP experiments and joint analysis of these CFMIP  
949 experiments with GeoMIP and SolarMIP experiments is anticipated, specifically with the goal of determining to what degree  
950 results from abrupt solar forcing only experiments and abrupt CO<sub>2</sub> only experiments can be used to predict what happens  
951 when both forcing are applied simultaneously, as done in the GeoMIP experiments. Lead coordinators: Chris Bretherton,  
952 Roger Marchand and Bjorn Stevens.

953 Analysis of nonlinear climate processes is discussed in detail by Good et al., 2016. This includes a method for validating  
954 traceability of abrupt CO<sub>2</sub> experiments to transient simulations, which is also recommended as a standard test of the DECK  
955 abrupt-4xCO<sub>2</sub> experiment. Analysis will primarily involve comparing the *abrupt-4xCO2*, *abrupt-2xCO2* and *abrupt-*  
956 *0p5xCO2* experiments over the same timescale. Lead coordinator: Peter Good.

957 Analysis of *amip-piForcing* has already been performed in detail for two models in Andrews, 2014 and Gregory and  
958 Andrews (submitted). We propose to use this as a starting point for a multi-model analysis. Lead coordinator: Timothy  
959 Andrews.

960 An overview analysis of regional responses and model uncertainty in the piSST set of experiments will be carried out by  
961 the coordinators, in collaboration with members of contributing modelling groups. We anticipate that further detailed analysis  
962 on the processes at work in different regions will be carried out by a variety of research groups with interest and expertise in a  
963 particular region: for example a set of similar experiments has previously been used to examine the climate response of the  
964 West African monsoon in CCSM3 (Skinner et al., 2012). The piSST set of experiments have already been successfully run  
965 using the Met Office, NCAR and CNRM CMIP5 models. Lead Coordinators: Robin Chadwick, Hervé Douville and  
966 Christopher Skinner.

967 The analysis of the COOKIE experiments will be reviewed by the coordinators in collaboration with members of the  
968 contributing modelling groups. The role of longwave atmospheric cloud-radiative effects in large-scale circulations, regional  
969 precipitation patterns and the organisation of tropical convection will be investigated in the current climate and in climate  
970 change, with the aim of highlighting both robust effects and sources of uncertainties in the model responses. Lead  
971 coordinators: Sandrine Bony and Bjorn Stevens.

972 When analyzed together with the *amip-p4K* experiment, the *amip-m4K* experiment allows the CFMIP process diagnostics  
973 to be used to understand for asymmetries in the climate response to warming and cooling which have been noted in PMIP  
974 experiments. These might arise from cloud phase responses in middle- and high-latitude clouds or from the adiabatic cloud  
975 liquid water path response feedback which is important over land regions and which would be expected to be weaker with  
976 cooling because of the non-linearity in the Clausius-Clapeyron relation. Lead coordinators: Mark Webb and Bjorn Stevens.

977 The COSP data request for the *amip* DECK experiment will allow a comprehensive multi-model evaluation of clouds and  
978 radiation, following on from CMIP5 studies (e.g. Klein et al., 2013; Bodas-Salcedo et al., 2014). The COSP data request for  
979 the other experiments (e.g. *amip-p4K*, *abrupt-4xCO2*, etc.) permits evaluation of cloud feedbacks and adjustments by cloud

981 type (Zelinka et al., 2013, Tsushima et al., 2015) or cloud trends (Chepfer et al., 2014). New COSP diagnostics have been  
982 used in single-model analyses: cloud phase diagnostics (Cesana and Chepfer, 2013); MISR simulator outputs to evaluate  
983 cloud fraction and multilayer clouds (Marchand and Ackerman, 2010); CALIPSO vertical distribution of cloud fraction for  
984 the study of cloud trends (Chepfer et al., 2014). These studies will be used as starting points for multi-model analyses. The  
985 COSP [Project Management Committee](#), co-chairs will coordinate and encourage the exploitation of these resources. Lead  
986 coordinators: Alejandro Bodas-Salcedo and Steve Klein.

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987 Analysis of output from CFMIP and CMIP6 experiments will also be facilitated by sharing of diagnostic codes via the  
988 CFMIP Diagnostics Code Catalogue (accessible via the CFMIP website <http://www.earthsystemcog.org/projects/cfmip/>).  
989 This is a catalogue of programs written by various members of the CFMIP community, implementing a number of diagnostic  
990 approaches from published studies. These include daily cloud clustering evaluation metrics based on ISCCP and ISCCP  
991 simulator outputs (Williams and Webb, 2009, Tsushima et al., 2013), error metrics for total cloud amount, longwave and  
992 shortwave cloud properties (Klein et al., 2013), process oriented evaluation of clouds using A-train instantaneous  
993 observations (Konsta et al., 2012), quality control and low-cloud diagnostics (Nam et al., 2012; Nam and Quaas, 2012),  
994 sensitivity of low cloud cover to estimated inversion strength and SST (Qu et al., 2014) and cloud radiative kernels (Zelinka  
995 et al., 2012). Any codes which implement diagnostics which are relevant to analysing clouds, circulation and climate  
996 sensitivity in models and which are documented in peer reviewed studies are eligible for inclusion in the catalogue, and we  
997 welcome additional contributions to further support community analysis of CMIP6 outputs.

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## 998 APPENDIX B: Aquaplanet Experimental Design

999 Aquaplanets are Earth-like planets with completely water-covered surfaces. They are often used as idealized configurations  
1000 of atmospheric GCMs, and in this context the usual convention is that landmasses and topography are removed. Although  
1001 many flavours of aquaplanet configurations exist, another convention is to retain as much of the atmospheric model's  
1002 formulation as possible. That is, the numerical grid, dynamical core, and parameterized physics are all used just as in realistic  
1003 climate simulations.

1004  
1005 The Tier 1 aquaplanet experiments follow the same experimental design as CMIP5/CFMIP-2 (Medeiros et al., 2015). Those,  
1006 in turn, were closely related to previous aquaplanet descriptions. In particular, the control configuration closely follows the  
1007 AquaPlanet Experiment protocol (Blackburn and Hoskins, 2013) using a prescribed SST pattern described by Neale and  
1008 Hoskins (2000). Two additional runs parallel the CFMIP-2 *amp4K* and *amp4xCO2* experiments: a uniform 4K warming and a  
1009 quadrupling of atmospheric CO<sub>2</sub>.

1010 Here we provide the detailed experimental protocol for the three aquaplanet simulations that are part of Tier 1. We note  
1011 again that these follow the APE protocol and CMIP5/CFMIP-2, and therefore largely mirror previous descriptions in  
1012 Blackburn and Hoskins (2013), Williamson et al. (2012), and Medeiros et al. (2015).

1013 Orbital parameters are set to perpetual equinox conditions. This is usually achieved by setting eccentricity and obliquity to  
1014 zero to define a circular orbit and insolation independent of calendar. The diurnal cycle is retained. Insolation is based on a  
1015 non-varying solar constant of 1365 W m<sup>-2</sup>.

1016 The SST is non-varying and zonally uniform. The longitudinal variation is specified using the "Qobs" SST pattern from  
1017 Neale and Hoskins (2000), given by:

$$1018 T(\varphi) = \begin{cases} \frac{1}{2} (2 - \sin^4 \phi - \sin^2 \phi) \delta T + T_{\min}, & \text{if } |\varphi| < \frac{\pi}{3} \\ 0, & \text{otherwise} \end{cases} \quad (B1)$$

1019 where  $\varphi$  is latitude,  $\phi = \frac{\pi}{2} \frac{\varphi}{\varphi_{\max}}$ ,  $\varphi_{\max} = \frac{\pi}{3}$ ,  $\delta T = T_{\max} - T_{\min}$ ,  $T_{\max} = 27^\circ\text{C}$ , and  $T_{\min} = 0^\circ\text{C}$ .

1020  
1021 Because results are sensitive to the specification of the SSTs, groups that use a prognostic equation for the surface skin  
1022 temperature are asked to set this skin temperature to the specified SST. No sea ice is prescribed, so the surface temperature is  
1023 spatially uniform at 0°C poleward of 60° for the control simulation.

1024 Radiatively active trace gases are well-mixed with mixing ratios following the AMIP II recommendations: CO<sub>2</sub>: 348 ppmv;  
1025 CH<sub>4</sub>: 1650 ppbv; N<sub>2</sub>O: 306 ppbv; Halocarbon yield of approximately 0.24 W m<sup>-2</sup> radiative forcing. The ozone distribution is  
1026 the same as used in APE and CFMIP2/CMIP5, and is derived from the climatology used in AMIP II (Gates et al., 1999), and  
1027 is constant in time and symmetric zonally and about the equator. This ozone distribution is provided as a netCDF file which is  
1028 archived on the Earth System Grid and available via the DOI <http://dx.doi.org/10.5065/D61834Q6>. Ozone values are  
1029 provided up to 0.28hPa (about 60km altitude in mid-latitudes). For models with tops above this level, a high top ozone  
1030 dataset is also provided, which is available via the DOI <http://doi.org/10.5065/D64X5653>. The ozone climatologies provided  
1031 uses pressure as a vertical coordinate. Most models use a sigma or hybrid vertical coordinate in pressure or altitude, which  
1032 will mean that the pressure on a given model level varies in time, near the surface at the very least. Although the ozone  
1033 climatology can be interpolated to the pressure of each model level as it varies in time within the model, for simplicity we  
1034 recommend interpolating the ozone dataset onto the model vertical grid before the experiment is performed, and then  
1035 specifying ozone values which are constant in time on each model level. This vertical interpolation will require a zonally  
1036 symmetric climatology of pressure on model levels which is as consistent as possible with that expected in the aqua-control  
1037 experiment. This could for example be produced by initially running a test version of the aqua-control experiment with an  
1038 ozone climatology taken from a more realistic model configuration such as the AMIP DECK experiment.

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1043 Aerosols are removed to the extent possible to remove aerosol-radiation interaction (aka direct effects) and aerosol-cloud  
1044 interaction (aka indirect effects). No external surface emissions are to be prescribed. Models requiring aerosol for cloud  
1045 condensation should use a constant oceanic climatology that is symmetric about the equator and zonally. Alternatively,  
1046 models with the capability should set the cloud droplet and crystal numbers to  $100 \cdot 10^6 \text{ m}^{-3}$  and  $0.1 \cdot 10^6 \text{ m}^{-3}$ , respectively (as  
1047 in Medeiros et al., 2016).

1048 As in APE, it is recommended that the atmospheric dry mass be adjusted to yield a global mean of 101080 Pa. It is also  
1049 recommended to adopt the APE recommended values for geophysical constants, as listed in Table 2 of Williamson et al.  
1050 (2012).

1051 The aqua-4K experiment follows the above protocol, but with SST derived by adding 4K to Eq. B1.

1052 The aqua-4xCO2 experiment replaces the CO<sub>2</sub> mixing ratio with 1392 ppmv. The SST is unchanged from the control  
1053 simulation (Eq. B1).

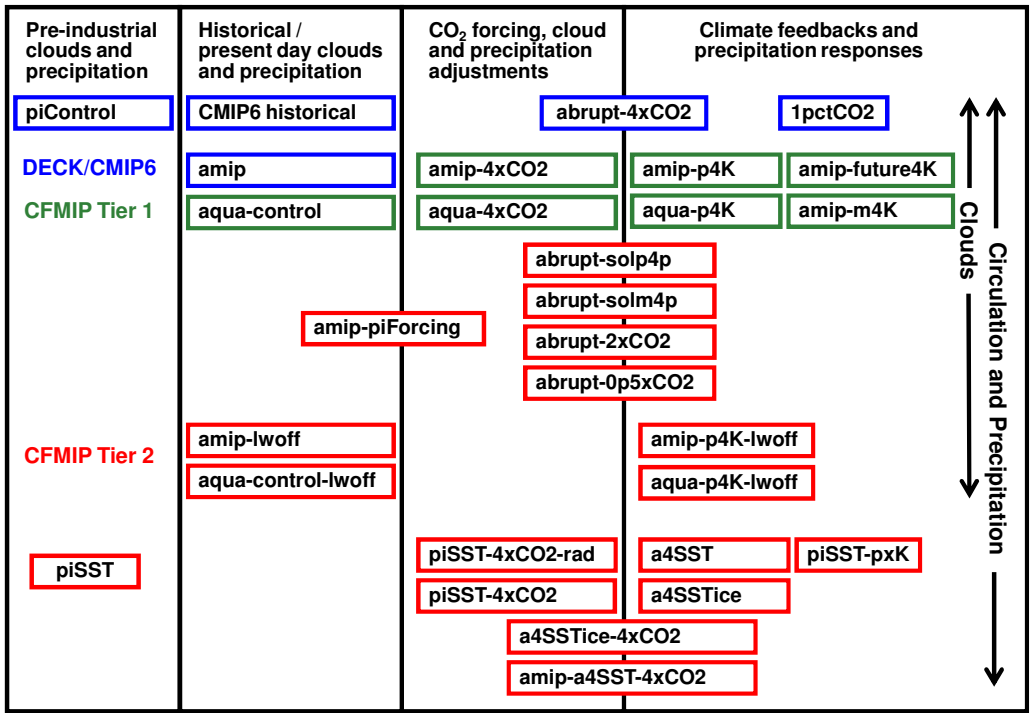
1054 ~~Model runs should be 10 years. We recommend discarding the initial spin up period of a few months.~~

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## 1055 1056 **APPENDIX C: SST Pattern for CFMIP *amip-future4K/amipFuture* experiments**

1059 The *amip-future4K* (formerly *amipFuture*) experiment is the same as the *amip* DECK experiment, except that the SSTs are  
1060 subject to a composite SST warming pattern derived from the CMIP3 coupled models. The patterned SST forcing dataset is  
1061 available in a netcdf file called *cfmip2\_4k\_patterned\_sst\_forcing.vn1.0.nc* which is available in the supplementary  
1062 information for this paper, and via the CFMIP website. This is a normalised multi-model ensemble mean of the ocean  
1063 surface temperature response pattern (the change in ocean surface temperature (TOS) between years 0-20 and 140-160, the  
1064 time of CO<sub>2</sub> quadrupling in the 1% runs) from thirteen CMIP3 AOGCMs (cccma, cnrm, gfdlcm20, gfdlcm21, giss, er,  
1065 inmcm3, ipsl, miroc-medres, miub, mpi, mri, ncar-ccsm3, and ncar-pcm1.) Before computing the multi-model ensemble  
1066 mean, each model's TOS response was divided by its global mean and multiplied by 4. This guarantees that the pattern  
1067 information from all models is weighted equally and the global mean SST forcing is the same as in the uniform +4K  
1068 experiment. We have retained the SST forcing based on the CMIP3 coupled models because we consider it more important to  
1069 be able to compare CMIP5 and CMIP6 models forced with the same SST pattern than to use a pattern which is consistent  
1070 with, say, the CMIP5 coupled response.  
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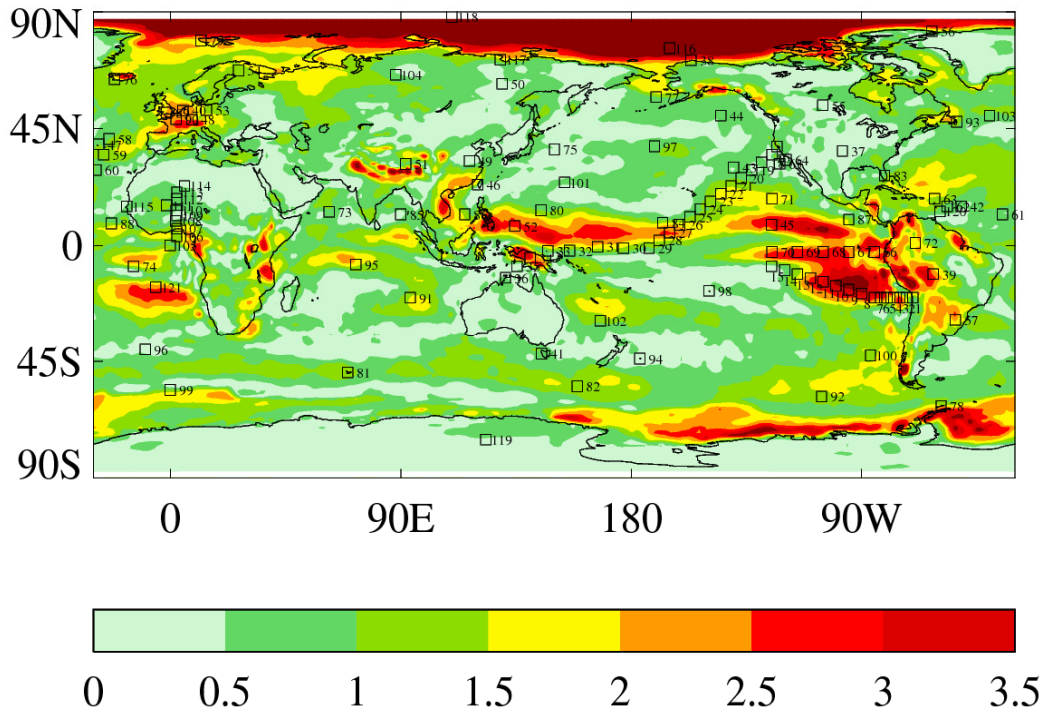
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Figure 1. Summary of CFMIP-3 experiments and DECK + CMIP6 Historical experiments.

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## CFMIP-3 cfSites Locations



**Figure 2.** CFMIP-3 cfSites locations. The contours give an indication of inter-model spread in cloud feedback from the CFMIP-2 amip/amip4K experiments (please refer to Webb et al., 2015a for details).

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1085 **Table 1.** Summary of CFMIP Tier 1 experiments.

Experiment Name	Experiment Description / Design	Configuration	Start Year	Length
amip	This is a single ensemble member of the AMIP DECK experiment which contains additional outputs which are required for model evaluation using COSP, and as control values for model outputs in the amip-p4K, amip-4xCO2, amip-future4K and amip-m4K experiments.	Atmos-only	1979	36
amip-p4K	As CMIP5/CFMIP-2 amip4K experiment. AMIP experiment where SSTs are subject to a uniform warming of 4K.	Atmos-only	1979	36
amip-4xCO2	As CMIP5/CFMIP-2 amip4xCO2 experiment. AMIP experiment where SSTs are held at control values and the CO2 seen by the radiation scheme is quadrupled.	Atmos-only	1979	36
amip-future4K	As CMIP5/CFMIP-2 amipFuture experiment. AMIP experiment where SSTs are subject to a composite SST warming pattern derived from coupled models, scaled to an ice-free ocean mean of 4K.	Atmos-only	1979	36
aqua-control	Extended version of CMIP5/CFMIP-2 aquaControl experiment. Aquaplanet (no land) experiment with no seasonal cycle forced with specified zonally symmetric SSTs.	Atmos-only	1979	10
aqua-p4K	Extended version of CMIP5/CFMIP-2 aqua4K experiment. Aquaplanet experiment where SSTs are subject to a uniform warming of 4K.	Atmos-only	1979	10
aqua-4xCO2	Extended version of CMIP5/CFMIP-2 aqua4xCO2 experiment. Aquaplanet experiment where SSTs are held at control values and the CO2 seen by the radiation scheme is quadrupled.	Atmos-only	1979	10

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**Table 2.** Summary of CFMIP Tier 2 experiments.

Experiment Name	Experiment Description / Design	Configuration	Start Year	Length
amip-m4K	As amip experiment but SSTs are subject to a uniform cooling of 4K.	Atmos-only	1979	36
amip-lwoff	As amip experiment, but with cloud-radiative effects switched off in the LW radiation code.	Atmos-only	1979	36
amip-p4K-lwoff	As amip-p4K experiment, but with cloud-radiative effects switched off in the LW radiation code.	Atmos-only	1979	36
aqua-control-lwoff	As aqua-control experiment, but with cloud-radiative effects switched off in the LW radiation code.	Atmos-only	1979	10
aqua-p4K-lwoff	As aqua-p4K experiment, but with cloud-radiative effects switched off in the LW radiation code.	Atmos-only	1979	10
abrupt-solp4p	Conceptually similar to abrupt 4xCO <sub>2</sub> DECK experiment, except that the solar constant rather than CO <sub>2</sub> is abruptly increased by 4%.	Coupled AOGCM	1850	150
abrupt-solm4p	Same as abrupt-solp4p, except solar constant is reduced by 4% rather than increased.	Coupled AOGCM	1850	150
abrupt-2xCO <sub>2</sub>	Identical to the DECK abrupt4xCO <sub>2</sub> , but at 2xCO <sub>2</sub> .	Coupled AOGCM	1850	150
abrupt-0p5xCO <sub>2</sub>	Identical to the DECK abrupt4xCO <sub>2</sub> , but at 0.5xCO <sub>2</sub> .	Coupled AOGCM	1850	150
amip-piForcing	Identical to AMIP DECK experiment but from 1870-present with constant pre-industrial forcing levels (anthro & natural).	Atmos-only	1870	145
piSST	An AGCM experiment with monthly-varying SSTs, sea-ice, atmospheric constituents and any other necessary boundary conditions (e.g. vegetation if required) taken from each model's own piControl run (using the 30 years of piControl that are parallel to years 111-140 of its abrupt4xCO <sub>2</sub> run). Dynamic vegetation should be turned off in all the piSST set of experiments.	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
piSST-pxK	Same as piSST, but with a spatially and temporally uniform SST anomaly applied on top of the monthly-varying piSST SSTs. The magnitude of the uniform increase is taken from each model's global, climatological annual mean open SST change between abrupt4xCO <sub>2</sub> minus piControl (using the mean of years 111-140 of abrupt4xCO <sub>2</sub> , and the parallel 30-year section of piControl).	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
piSST-4xCO <sub>2</sub> -rad	Same as piSST but CO <sub>2</sub> as seen by the radiation scheme is quadrupled.	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
piSST-4xCO <sub>2</sub>	Same as piSST but CO <sub>2</sub> is quadrupled. The increase in CO <sub>2</sub> is seen by both the radiation scheme and vegetation.	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
a4SST	As piSST, but with monthly-varying SSTs taken from years 111-140 of each model's own abrupt4xCO <sub>2</sub> experiment instead of from piControl. Sea-ice is unchanged from piSST.	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
a4SSTice	As piSST, but with monthly-varying SSTs and sea-ice taken from years 111-140 of each model's own abrupt4xCO <sub>2</sub> experiment instead of from piControl.	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
a4SSTice-4xCO <sub>2</sub>	As a4SSTice, but CO <sub>2</sub> is quadrupled, and the increase in CO <sub>2</sub> is seen by both the radiation scheme and vegetation.	Atmos-only	Year 111 of abrupt-4xCO <sub>2</sub>	30
amip-a4SST-4xCO <sub>2</sub>	Same as amip, but a patterned SST anomaly is applied on top of the monthly-varying amip SSTs. This anomaly is a monthly climatology, taken from each model's own abrupt4xCO <sub>2</sub> run minus piControl (using the mean of years 111-140 of abrupt4xCO <sub>2</sub> , and the parallel 30-year section of piControl). CO <sub>2</sub> is quadrupled, and the increase in CO <sub>2</sub> is seen by both the radiation scheme and vegetation.	Atmos-only	1979	36

1091 **Table 3.** Summary of CFMIP-OBS observational datasets available for comparison with COSP diagnostics.

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Dataset	Years	Observables	Applications	References
CALIPSO-GOCCP	2006/06 - 2012/10	Cloud fractions: 2D and 3D by phase. Scattering ratio histograms as function of height.	Vertical distributions of clouds. Cloud phase identification.	Chepfer et al., (2010); Cesana and Chepfer, (2013)
CloudSat	2006/06 - 2010/12	Reflectivity histograms as function of height.	Vertical distributions of clouds and precipitation	Marchand et al., (2009); Zhang et al., (2010)
ISCCP	1983/07-2008/06	Cloud top pressure – cloud optical depth histograms.	Cloud radiative properties. Long time series.	Rossow and Schiffer, (1999)
MODIS	2002/07 – 2015/11	Cloud top pressure – cloud optical depth histograms. Total, liquid and ice cloud fractions. Effective radius – optical depth histograms by cloud phase.	Cloud radiative properties. Effective size, and phase information.	Pincus et al., (2012); King et al., (2003)
MISR	2000/06 – 2013/05	Cloud top height (CTH) – cloud optical depth histograms	Cloud radiative properties. Independent estimate of cloud top height.	Marchand et al., (2010)
PARASOL	2003/05 - 2012/08	Monodirectional reflectance	Cloud radiative properties.	Konsta et al., (2015)

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<p>The primary objective of CFMIP is to inform future assessments of cloud feedbacks through improved understanding of cloud-climate feedback mechanisms and better evaluation of cloud processes and cloud feedbacks in climate models. However, the CFMIP approach is also increasingly being used to understand other aspects of climate change, and so a second objective has been introduced, to improve understanding of circulation, regional-scale precipitation, and non-linear changes.</p>		
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<p>The primary goal of CFMIP is to inform improved assessments of cloud feedbacks on climate change. However, the CFMIP approach is increasingly being used to understand other aspects of climate response, such as regional circulation and precipitation changes, and non-linear changes.</p>		
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<p>This paper describes and documents the CFMIP contribution to the current phase on the Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016).</p>		
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<p>It is anticipated that CFMIP-3 will eventually be broader than what is described here, for instance including studies with process models, but for the purposes of this document CFMIP-3 should be considered to be synonymous with the CFMIP contribution to CMIP6.</p>		

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(Eyring et al., 2015).		
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, here referred to as CFMIP-3		
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It is anticipated that CFMIP-3 will eventually be broader than what is described here, for instance including studies with process models, but for the purposes of this document CFMIP-3 should be considered to be synonymous with the CFMIP contribution to CMIP6.		
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by CFMIP		
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experiments and other experiments within CMIP		
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, CMIP DECK and CMIP6-Historical experiments		
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Most of the CFMIP-3 experiments are based on CO <sub>2</sub> concentration forced amip, piControl and abrupt-4xCO <sub>2</sub> CMIP DECK (Diagnostic, Evaluation and Characterization of Klima) experiments (Eyring et al., 2016). Unless otherwise specified below, the CFMIP-3 experiments should be configured consistently with the DECK experiments on which they are based, using consistent model formulation, and forcings and boundary conditions as specified by Eyring et al., 2016.		
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with atmosphere-only general circulation models (AGCMs)		
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e.g. Brient and Bony, 2012; Webb and Lock, 2013; Brient and Bony, 2013; Ringer et al., 2014; Bretherton et al., 2014; Lacagnina et al., 2014;; Gordon and Klein, 2014; Chepfer et al., 2014; Sherwood et al., 2014; Medeiros et al., 2015; Brient et al., 2015; Tsushima et al., 2015; Bellomo and Clement, 2015; Dal Gesso at al., 2015; Webb et al., 2015a, Webb et al., 2015b, Ceppi et al., 2016

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; Webb and Lock, 2013

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Brient and Bony, 2013;

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Ringer et al., 2014;

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; Gordon and Klein, 2014; Chepfer et al., 2014; Sherwood et al., 2014;

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Medeiros et al., 2015; Brient et al., 2015; Tsushima et al., 2015;

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Dal Gesso at al., 2015; Webb et al., 2015a,

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, Ceppi et al., 2016

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simulator outputs (e.g. Gordon and Klein, 2014; Chepfer et al., 2014; Tsushima et al., 2015, Ceppi et al., 2016) and

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e.g. Webb and Lock, 2013; Sherwood et al., 2014; Brient et al., 2015; Webb et al., 2015a; Dal Gesso at al., 2015

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e.g. Brient and Bony, 2012; Webb and Lock, 2013; Brient and Bony, 2013; Ringer et al., 2014; Bretherton et al., 2014; Lacagnina et al., 2014; Gordon and Klein, 2014; Chepfer et al., 2014; Sherwood et al., 2014; Medeiros et al., 2015; Brient et al., 2015; Tsushima et al., 2015; Bellomo and Clement, 2015; Dal Gesso at al., 2015; Webb et al., 2015a, Webb et al., 2015b, Ceppi et al., 2016

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regional

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regional

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precipitation, circulation and stability

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various quantities

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, including precipitation

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e.g.		
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Bony et al. 2013;		
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; Kent et al., 2015; Long et al., 2016), circulation (e.g.		
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;		
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Chadwick et al. 2014; Grise and Polvani, 2014;		
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Ceppi et al., 2014		
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Bellomo and Clement, 2015; Shaw and Voigt, 2015) and stability		
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; Xie et al. 2015;		
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(e.g.		
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; Bellomo and Clement, 2015; Shaw and Voigt, 2015; Kent et al., 2015; Long et al., 2016; Chadwick, 2016).		
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Brient and Bony, 2013; Kamae and Watanabe 2013;		
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Qu et al., 2015;		
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; Harrop and Hartmann, 2015; Ceppi et al., 2015		
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is not possible to tune the models to reproduce a known answer, these		
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, as it is not possible to tune them to observations in the same way as is for more realistic configurations		
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Care should be taken to ensure that SSTs are increased in any inland bodies of water and near coastal edges, for example by linearly interpolating the provided warming pattern dataset to fill in missing data before re-gridding to the target resolution.		
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sea		
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ocean surface		
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In models which employ a fixed lower threshold near freezing for the SST used in the calculation of the surface fluxes, this should ideally also be reduced by 4K.		
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the		
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) CMIP6 experiments (Kageyama et. al., 2016)		
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, for example in interpreting differing cloud feedbacks between future CO <sub>2</sub> forced experiments and those representing the Last Glacial Maximum, as highlighted by Yoshimori et al., 2009		
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; Voigt and Shaw, 2015		
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; Voigt and Shaw, 2015		

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In this configuration, the models will have a shortwave cloud feedback but no longwave cloud feedback. We note that the presence of clouds does affect the shortwave radiative heating of the atmosphere, although this is a much smaller effect than its longwave equivalent (e.g. Pendergrass and Hartmann, 2014).		
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while retaining those in the shortwave (Fermepin and Bony, 2014)		
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Care should also be taken to remove the effects of cloud on any longwave cooling used in other model schemes (e.g. turbulent mixing) if these are calculated independently of the radiation scheme.		
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An alternative method (proposed by Aiko Voigt) was also considered, in which clear-sky heating rates would be applied in the atmosphere while retaining the all-sky fluxes at the surface. Although this approach would potentially isolate the effects of cloud heating in the atmosphere more cleanly than the Iloff experiments proposed here, it is yet to be demonstrated in a pilot study, and is considered more technically difficult to implement than the Iloff experiments, which are very similar to those piloted by Fermepin and Bony, 2014.		
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is proposed which is		
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global mean		
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When changing the solar constant, the shape of the spectral solar irradiance distribution should remain consistent with that in the piControl experiment.		
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experiment		
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; Meraner et al., 2013		
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. These are the same as the DECK <i>abrupt4xCO2</i> experiment except that CO <sub>2</sub> concentrations are doubled and halved respectively relative to the preindustrial control. These experiments are		
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(e.g. Gregory et al., 2004, Block and Mauritsen, 2013)		
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, because this requires the removal of the time varying forcing

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standard

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during the AMIP period

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the Radiative Forcing Model Intercomparison Project (

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, Pincus et al., 2016,

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The experiment therefore complements the alternative approach of diagnosing

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in the *amip* experiment could alternatively be diagnosed by subtracting a time-varying radiative forcing diagnosed from RFMIP experiments. However, the *amip-piForcing* approach has the benefit of diagnosing the time-varying feedbacks over the full 1870-present period rather than the last 36 years, and does so with reference to a single experiment, which reduces noise compared to that which would be present with a double difference of the *amip* experiment and two RFMIP experiments

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, which first requires estimating the forcing and adjustments (e.g. from RFMIP) and removing them from the standard *amip* experiment, since the approach here extends the time-period of the *amip* simulation and only requires a single experiment (rather than pairs) which reduces the noise

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in the *amip-piForcing* experiment

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These are forced with monthly- and annually-varying monthly mean SSTs and sea ice, which reproduce regional precipitation patterns more accurately than is possible using climatological SST forcing (Skinner et al., 2012).

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*a4SST-4xCO2*

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The time slice experiments can be combined in various ways to isolate the climate response to each individual aspect of forcing and warming. For example the response to SST pattern change is given by taking the difference between <i>a4SST</i> and <i>piSST-pxK</i> , and the plant physiological response is found by taking the difference between <i>piSST-4xCO2</i> and <i>piSST-4xCO2-rad</i> .		
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<a href="https://www.earthsystemcog.org/projects/wip/CMIP6DataRequest">https://www.earthsystemcog.org/projects/wip/CMIP6DataRequest</a>		
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we have dispensed with the cfSites outputs in the aquaplanet experiments and in <i>amip-future4K</i> .		
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We have dispensed with the cfSites outputs in the aquaplanet and <i>amip-future4K</i> experiments because these have been less widely used compared to those from the other experiments.		
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and adjustments		
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e.g.		
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Kamae and Watanabe 2012;		

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Williams et al., 2013;		
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Ogura et al., 2014;		
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and cloud adjustments (e.g. Kamae and Watanabe 2012; Ogura et al., 2014)		
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Williams et al., 2013;		
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dispensed with the cloud tendency terms,		
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We have dispensed with the cloud water tendency terms because these have been less widely used than the temperature and humidity tendencies.		
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(Please note that in the full data request these variable groups are in many cases split into a number of sub-tables. As noted above, the formal data request provides the definitive specification of the model outputs.)

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COSP is available via the CFMIP website (<https://www.earthsystemcog.org/projects/cfmip>). Version 1.4 is a stable code release that was made available well in advance of CMIP6 at the request of the modelling groups. Small updates are required to enable some new diagnostics requested by CFMIP3/CMIP6, most notably joint histograms of particle size and optical thickness from the MODIS simulator; with these updates the code is known as version 1.4.1. Modeling centers are encouraged to update to COSP 1.4.1 to provide these new diagnostics but may provide results from COSP 1.4.

Developed over the last few years, COSP 2 substantially revises the infrastructure for integrating satellite simulators in climate models. COSP 2 makes many fewer inherent assumptions about the model representation of clouds than do previous versions but contains an optional interface allowing it to be used as a drop-in replacement for COSP 1.4 or COSP 1.4.1. At the time of this writing COSP 2 is undergoing final testing in two climate models. Availability of the final version will be announced on the CFMIP website and modelling groups are free to adopt it for use in CFMIP at that time.

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COSP 1.4, available via the CFMIP website ( <a href="#"></a> ), is the official version to be used for CMIP6. This is a stable release that was made available well in advance of CMIP6 at the request of the modelling groups. Version 2 of COSP is under active development. At the time of writing, COSP 2 is in beta testing and does not have a stable release, and so is not currently permitted for production of CMIP6 data. COSP-2 may be permitted for use in CMIP6 along with COSP 1.4 in the future; if and when this happens details will be posted on the CFMIP website.		
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These datasets are periodically updated to include more recent data from the relevant satellites, many of which are still operational. Please refer to the CFMIP-OBS website for updates.		
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The CFMIP approach is also increasingly being used to understand other aspects of climate change, and so a second objective has now been introduced, to improve understanding of circulation, regional-scale precipitation, and non-linear changes.		
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The CFMIP approach is also increasingly being used to understand other aspects of climate change, such as circulation, regional-scale precipitation and non-linear changes.		
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We are grateful to Florent Briant, Hideo Shiogama, Aiko Voigt, Mark Ringer and two anonymous referees for helpful comments on the manuscript.		
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<p>The lead coordinators are responsible for encouraging analysis of the relevant experiments as broadly as possible across the scientific community. While they may lead some analysis themselves, they do not have any first claim on analysing or publishing the results. All interested investigators are encouraged to exploit the data from these experiments. While investigators may wish to liaise with the lead coordinators to avoid duplicating work that others are doing, this is not a requirement.</p>		
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zonally and		
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(and also available via the CFMIP website)		
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<p>Ozone values are provided up to 0.28hPa (about 60km altitude in mid-latitudes). For models with tops above this level, a high top ozone dataset is also provided, which is available via the DOI <a href="http://doi.org/10.5065/D64X5653">http://doi.org/10.5065/D64X5653</a>. The ozone climatologies provided uses pressure as a vertical coordinate. Most models use a sigma or hybrid vertical coordinate in pressure or altitude, which will mean that the pressure on a given model level varies in time, near the surface at the very least. Although the ozone climatology can be interpolated to the pressure of each model level as it varies in time within the model, for simplicity we recommend interpolating the ozone dataset onto the model vertical grid before the experiment is performed, and then specifying ozone values which are constant in time on each model level. This vertical interpolation will require a zonally symmetric climatology of pressure on model levels which is as consistent as possible with that expected in the aqua-control experiment. This could for example be produced by initially running a test version of the aqua-control experiment with an ozone climatology taken from a more realistic model configuration such as the AMIP DECK experiment.</p>		
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We have retained the SST forcing based on the CMIP3 coupled models because we consider it more important to be able to compare CMIP5 and CMIP6 models forced with the same SST pattern than to use a pattern which is consistent with, say, the CMIP5 coupled response.

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Block, K., and Mauritsen, T.: Forcing and feedback in the MPI-ESM-LR coupled model under abruptly quadrupled CO <sub>2</sub> , <i>J.Adv.Model.EarthSyst.</i> ,5, 676–691, doi:10.1002/jame.20041, 2013.		
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Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., and Taylor, K. E.: Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organization, <i>Geosci. Model Dev.</i> , 9, 1937-1958, doi:10.5194/gmd-9-1937-2016, 2016.		
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Eyring, V., Bony, S., Meehl, G.A., Senior, C., Stevens, B., Stouffer, R.J. and Taylor, K.E.: Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design and organisation. <i>Geoscientific Model Development</i> , 2015.		
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Good, P., Andrews, T., Chadwick, R., Dufresne, J. L., Gregory, J. M., Lowe, J. A., Schaller, N., and Shiogama, H.: The nonlinMIP intercomparison project: physical basis, experimental design and analysis principles, Geosci. Model Dev. Discuss., doi:10.5194/gmd-2016-56, in review, 2016.

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Good, P., Andrews, T., Chadwick, R., Dufresne, J. L., Gregory, J. M., Lowe, J. A., Schaller, N., Shiogama, H. : The nonlinMIP intercomparison project: physical basis, experimental design and analysis principles. Geophys Model Dev., submitted.

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Gregory, J. M., and Andrews, T.: Variation in climate sensitivity and feedback parameters during the historical period, Geophys. Res. Lett., 43, 3911–3920, doi:10.1002/2016GL068406, 2016.

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Gregory, J.M., and Andrews, T.: Variation in climate sensitivity and feedback during the historical period. Submitted to Geophysical Research Letters.

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Kageyama, M., Braconnot, P., Harrison, S. P., Haywood, A. M., Jungclaus, J., Otto-Bliesner, B. L., Peterschmitt, J.-Y., Abe-Ouchi, A., Albani, S., Bartlein, P. J., Brierley, C., Crucifix, M., Dolan, A., Fernandez-Donado, L., Fischer, H., Hopcroft, P. O., Ivanovic, R. F., Lambert, F., Lunt, D. J., Mahowald, N. M., Peltier, W. R., Phipps, S. J., Roche, D. M., Schmidt, G. A., Tarasov, L., Valdes, P. J., Zhang, Q., and Zhou, T.: PMIP4-CMIP6: the contribution of the Paleoclimate Modelling Intercomparison Project to CMIP6, Geosci. Model Dev. Discuss., doi:10.5194/gmd-2016-106, in review, 2016.

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Pincus, R., Forster, P. M., and Stevens, B.: The Radiative Forcing Model Intercomparison Project (RFMIP): Experimental Protocol for CMIP6, Geosci. Model Dev. Discuss., doi:10.5194/gmd-2016-88, in review, 2016.

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