

1 LAND-SE: a software for ~~landslide~~ statistically-based 2 landslide susceptibility zonation, Version 1.0

3
4 **Mauro Rossi and Paola Reichenbach**

5 CNR IRPI, via Madonna Alta 126, 06128 Perugia, Italia

6 Correspondence to: Mauro Rossi (mauro.rossi@irpi.cnr.it)

7 8 **Abstract**

9 Landslide susceptibility (LS) assessment provides an relative estimate of ~~the~~ landslide spatial
10 occurrence based on local terrain conditions. ~~LS has been evaluated in many locations around~~
11 ~~the world since the early '80 distinct modelling approaches diverse combination of variables~~
12 ~~and different partition of the territory (mapping units). Among the different methods,~~
13 ~~statistical models have been largely used to assess LS and several model types have been~~
14 ~~proposed in the literature. A recent literature review revealed that authors not always present~~
15 ~~a complete and comprehensive assessment of the LS that includes model performance~~
16 ~~analysis, prediction skills evaluation and estimation of the errors and uncertainty.~~

17 A literature review revealed that LS evaluation has been performed in many study areas
18 worldwide using different methods, model types, different partition of the territory (mapping
19 units) and a large variety of geo-environmental data. Among the different methods, statistical
20 models have been largely used to evaluate LS, but the minority of articles presents a complete
21 and comprehensive LS assessment that includes model performance analysis, prediction skills
22 evaluation and estimation of the errors and uncertainty

23 The aim of this paper is to describe LAND-SE (LANDslide Susceptibility Evaluation),
24 software that performs susceptibility modelling and zonation using statistical models,
25 quantifies the model performances and the associated uncertainty. The software is
26 implemented in R, a free software environment for statistical computing and graphics. This
27 provides users with the possibility to implement and improve the code with additional models,
28 ~~evaluations~~ tools or output types. The paper describes the software structure, explains input

29 | and output, and illustrates specific applications with maps and graphs. The LAND-SE script is
30 delivered with a basic user guide and three example datasets.

31

32 **Keywords:** Landslides, susceptibility, statistical models, zonation, R

33 1 Introduction

34 Landslide susceptibility (LS) is the likelihood of a landslide occurring in an area based on
35 local terrain conditions (Brabb, 1984). In mathematical language, LS quantifies the spatial
36 probability of landslides occurrence in a mapping unit, not considering the temporal
37 probability of failure or the magnitude of the expected landslides. Landslide susceptibility has
38 been evaluated in many locations around the world since the early ~~180~~1980. Authors have
39 evaluated LS using different partitioning of the territory as mapping units, diversified
40 combination of explanatory variables and distinct methods. Methods for the LS evaluation
41 and mapping can be broadly grouped in: geomorphological mapping, analysis of landslide
42 inventories, heuristic or index-based methods, statistically based models and geotechnical or
43 physically based models (Guzzetti et al., 1999). Among the different approaches, the
44 statistical models have been largely used to assess LS. A recent revision of papers on
45 statistical models (Malamud et al., 2014), have shown that more than 95 different model types
46 were proposed in the literature. Malamud and his co-authors grouped them in 20 classes, with
47 the most frequent corresponding to logistic regression, neural networks and data overlay.
48 According to them, the ~~The~~ relevant number of statistical models described in the literature is
49 probably related to the recent increasing number of commercial and open source packages for
50 statistical analysis that can combine and integrate geographical data and/or Open Source GIS
51 (i.e. SAGA GIS, GRASS GIS). The review analysis also revealed that authors not always
52 present a complete and comprehensive assessment of the models performance, and the
53 prediction skills evaluations and the estimation of ~~the~~ errors and uncertainty. Since the large
54 variety of applications of statistical approaches, but the scarcity of model evaluation and
55 quantification of the errors, we have implemented LAND-SE (LANDslide Susceptibility
56 Evaluation), a software developed to prepare landslide susceptibility models and zonation at
57 basin and regional scale, with specific functions focused ~~to~~ on results evaluation and
58 uncertainty estimation. The software is implemented in R, a free software environment for
59 statistical computing and graphics (R Core Team, 2015). This provides users with the
60 possibility to implement and improve the code with additional models, evaluations tools or
61 output types.

62 The paper describes LAND-SE structure, explains input and output, illustrates them with
63 maps and graphs, some applications and provides a basic user guide. It is out of the scope of
64 the manuscript, the description of the characteristics and results of ~~each~~ statistical models,

65 | ~~and~~ the advantage/disadvantage of ~~the~~-model evaluation ~~parameters-tools and matrixes~~~~and the~~
66 | ~~analysis of the model results~~. We have introduced a test area only to show and demonstrate
67 | possible potential applications and different output of LAND-SE.

68 | The manuscript is structured as follows: section 2 describes the software, its modelling
69 | approaches and the main output types; section 3 illustrates the test area ~~to illustrate the range~~
70 | ~~of applications and different outputs of LAND-SE~~~~and describes some applications~~ and section
71 | 4 formalizes some final remarks. The paper is completed by ~~supplementary~~ ~~aneillary~~
72 | materials containing the software code, a user guide and example datasets.

73 | **2 Software description**

74 | LAND-SE, ~~a~~ software for landslide susceptibility modelling and zonation was implemented
75 | and improved with respect to the code proposed by Rossi and co-authors in 2010. The new
76 | version is coded in R (R Core Team, 2015) and it is open source. The software holds on the
77 | possibility to perform and combine different statistical susceptibility modelling ~~methods~~,
78 | evaluate the results and estimate the associated uncertainty. As compared to the previous
79 | version (Rossi et al., 2010), the main improvements are related to: i) the possibility to use
80 | different cartographic units (pixel-based vs polygon-based); ii) the capacity to perform
81 | different type of validation analyses (spatial, temporal, random); iii) the ability to evaluate the
82 | model prediction skills and performances using success and prediction rate curves (Chung and
83 | Fabbri, 1999; 2003); iv) the possibility to provide results in standard geographical formats
84 | (shapefiles, geotiff); v) an optimization and stabilization of the modelling algorithms; vi) the
85 | possibility to use additional computational parameters to tune the calculation procedure, for
86 | the analysis of large datasets. This software version presents a relevant computer code
87 | restructuring (code refactoring), allowing the implementation of new single statistical
88 | approaches (e.g. support vector machines, regression tree based approaches) that can be added
89 | as new modules, preserving the basic software structure. The new structure simplifies the
90 | maintainability and improvement of the source code.

91 | Figure 1 shows the logical schema of LAND-SE subdivided in the following five functions:

- 92 | I. ~~Input data~~ ~~Data input~~ preparation;
- 93 | II. Single susceptibility models and zonation;
- 94 | III. Combination of single models using a logistic regression approach;
- 95 | IV. Evaluation of single and combined LS models;
- 96 | V. Estimation of uncertainty of single and combined LS models.

97 2.1 Data input preparation

98 The input data preparation, follows two steps: i) the choice of the cartographic unit and ii) the
99 selection of the criteria for the definition of the training and the validation dataset.

100 LAND-SE is designed to use different cartographic units, reducible to pixels or to polygon-
101 like subdivisions (e.g. slope units, geomorphological subdivisions, administrative boundaries,
102 etc.). The input data shall be provided in tabular format where each line represents one
103 mapping unit with the associated attributes. Since raster data cannot be used directly as input,
104 a preliminary conversion is required to perform the pixel-based analysis.

105 The choice of the mapping unit is crucial because it also determines how landslides are
106 sampled to prepare the training and prediction (validation) subsets for the susceptibility
107 modelling. In grid-based susceptibility assessments, several strategies are used to sample
108 landslide pixels, the more frequent are: (1) single pixel selected as the centroid of the entire
109 landslide or the scarp area; (2) all the pixels within the entire landslide body or the scarp area;
110 (3) the main scarp upper edge (MSUE) approach which selects pixels on and around the
111 landslide crown-line; and (4) the seed-cell approach that selects pixels within a buffer
112 polygon around the upper landslide scarp area and sometimes part of the flanks of the
113 accumulation zone (Atkinson et al.,1998; Atkinson and Massari, 2011; Goetz et al., 2015;
114 Heckmann et al., 2014; Hussin et al., 2016; Regmi et al., 2014; Van Den Eeckhaut et al.,
115 2006). The analysis of model sensitivity to different landslide mapping strategies and the
116 significance of different variables combinations can be performed using LAND-SE preparing
117 different input files. Given the numerous possibility of variations required to set this type of
118 evaluation, we decided not to include such functionalities in the current LAND-SE release,
119 but we designed and implemented a command line interface (see §S5 of the LAND-SE User
120 Guide V 1.0) to make this analysis possible using external procedures.

121 To identify and separate the training and the validation dataset, different criteria can be
122 adopted. Temporal, spatial or random subdivisions can be selected guiding the type of
123 validation analysis. When the temporal validation is selected, secondary information not used
124 in the model training must be provided for the area under analysis. Adopting a temporal
125 subdivision approach, the training and the validation set are composed by the same mapping
126 units and the analysis is performed using the same explanatory variables but different
127 grouping variable (e. g. a different landslide inventory map, often more recent than what is
128 used during the training phase). Differently, in the spatial and random approach, the training

129 and the validation dataset contain different mapping units, characterized by different grouping
130 and explanatory variables. The main difference between the spatial and the random validation
131 is the method chosen to separate the training and the validation dataset: in the first case, the
132 datasets are spatially different (the two areas can be contiguous or not), in the second the
133 subdivision is performed using a random selection. For the pixel-based approach, the
134 definition of the training and the validation dataset can follow the same criteria, but in the
135 literature, the subdivision is commonly performed using a random selection (Van Den
136 | Eeckhaut et al., 2010; Felicísimo et al., 2013; Petschko et al., 2014).

137

138 **2.2 Single susceptibility models estimation (single susceptibility maps)**

139 LAND-SE uses different supervised multivariate statistical models to evaluate the landslide
140 spatial probability, identifying and quantifying the relation between dependent and
141 independent variables. According to previous studies (Carrara et al., 1991; Rossi et al., 2010;
142 Guzzetti et al., 2006, 2012), dependent variable (or grouping variable) is computed as the
143 absence/presence of landslides in the mapping units and is usually derived from a landslide
144 inventory. The independent variables (explanatory variables) are obtained from available
145 thematic information (morphometry, land cover/use, lithology, etc.). Each model is executed
146 in two phases: a the training phase, where the model reconstructs the relationships between
147 the dependent and the independent variables, and a validation phase, where these relationships
148 | are verified in different conditions. LAND-SE calculates landslide susceptibility with ~~the~~
149 ~~following~~four single models (Rossi et al., 2010): i) linear discriminant analysis (LDA)
150 (Fisher, 1936; Brown, 1998; Venables and Ripley, 2002), ii) quadratic discriminant analysis
151 (QDA) (Venables and Ripley, 2002), iii) logistic regression (LR) (Cox, 1958; Brown, 1998;
152 Venables and Ripley, 2002), and iv) neural network (NN) modelling (Ripley, 1996; Venables
153 and Ripley, 2002). The logistic regression model was significantly improved with respect to
154 Rossi et al. (2010), substituting the previous code based on the “Zelig” package (Owen et al.,
155 2013), with a more stable and performing code based on the “glm” function, included in the
156 well tested base R implementation (R Core Team, 2015).

157 **2.3 Combined model using a logistic regression approach (combined** 158 **susceptibility maps)**

159 | ~~Similarly to the previous version,~~ LAND-SE uses a combination model (CM) based on a
160 logistic regression approach, where the grouping variable is the presence or absence of
161 landslides in the mapping units, and the explanatory variables are the forecasts of the selected
162 single susceptibility models (Rossi et al., 2010). Similarly, to the single logistic regression
163 model, the original code based on the “Zelig” package was substituted with the “glm”
164 function. LAND-SE allows to enable or not, the execution of the combined model selecting
165 different combinations of single models.

166 **2.4 Susceptibility model evaluation**

167 In the training phase, LAND-SE reconstructs the relationships between dependent and
168 independent variables and evaluates the prediction skills of single and combined models (i.e.
169 the capability to predict the original data). In the validation phase, LAND-SE verifies the
170 results in different conditions and evaluates the models capability to predict independent data.
171 | Models outputs of both phases are evaluated using the same tools and in particular the
172 following statistical metrics and indices:

- 173 • The dependence among explanatory variables (Belsley, 1991; Hendrickx, 2012);
- 174 • Contingency tables (i.e. confusion matrixes) (Jolliffe and Stephenson, 2003);
- 175 • Contingency plots or fourfold plots summarizing the mapping units correctly and
176 incorrectly classified by the models (Jolliffe and Stephenson, 2003);
- 177 • Error maps showing the geographical distribution of the mapping units correctly and
178 incorrectly classified by the models (Rossi et al., 2010);
- 179 • Plots showing receiver operating characteristic (ROC) curves (Green and Swets, 1966;
180 Mason and Graham, 2002; Fawcett, 2006) and the associated Area Under Curve
181 (AUC) statistics;
- 182 • Evaluation plots showing the variation of the sensitivity (“hit rate”), the specificity (1-
183 false positive rate), and of the Cohen's kappa index (Cohen, 1960);
- 184 • Success and prediction rate curves (Chung and Fabbri, 1999; 2003)

185 The description and discussion of the characteristics, advantage/drawbacks of these statistical
186 metrics/indices are out of the scope of the manuscript and they will not be described in detail.

187 **2.5 Uncertainty evaluation (single and combined susceptibility zonations)**

188 For each single and combined model, LAND-SE evaluates and quantifies the uncertainty
189 adopting a “bootstrapping” ~~re-sampling technique~~ approach. Bootstrapping is a resampling
190 technique for estimating the distributions of statistics based on independent observation.
191 Bootstrapping can refer to any test or metric that relies on random sampling with replacement
192 (Efron, 1979; Davison and Hinkley, 2006). The technique has been largely used to estimate
193 errors and uncertainties associated to classification models (among the others, Kuhn and
194 Kjell, 2013). In the training phase, a user-specified number of runs are performed varying the
195 selected dataset. Descriptive statistics for the probability (susceptibility) estimates, including
196 the mean (μ) and the standard deviation (σ), are obtained from an ensemble of model runs (i.e.
197 a user-defined number of LAND-SE simulations are executed to obtain the two descriptive
198 statistics). Such information is portrayed in plots showing estimates for the model uncertainty
199 in each mapping unit and in maps showing the geographical distribution of the uncertainty
200 (Guzzetti et al., 2006; Rossi et al., 2010). To model the uncertainty associated to each LS
201 zonation, the mean and the standard deviation are fitted using a parabolic function (Figure
202 3D). Such function is used to estimate the uncertainty in the validation phase. The map
203 showing the geographical distribution of the uncertainty can provide additional and relevant
204 information for the use of LS zonation in environmental planning studies. A proper
205 interpretation of the map may provide for each mapping unit a proxy of a degree of
206 confidence associated to the LS estimate.

207 The sampling procedure implemented in LAND-SE is only focused to the estimation of the
208 uncertainty associated to the susceptibility zonation. However, the software also outputs
209 estimates of the performance variability in the training and validation phases providing
210 confidence levels in the ROC plots (NCAR, 2014) and in the fourfold or contingency plots
211 (Meyer et al., 2015). In addition, the execution of analyses that investigate sensitivity or
212 variability of model results when varying inputs (e.g. using sampling procedures), is
213 facilitated by the LAND-SE command line interface, that makes these analyses possible using
214 external procedures.

215 **2.6 SW-Software output formats**

216 LAND-SE can be executed in two different modes: the *standard* that provides textual and
217 graphical results stored respectively in .txt and .pdf, and the *geomode* providing also

218 geographical output as shapefiles and GeoTIFF. Some output (i.e the success and prediction
219 rate curves) are produced only in the *geomode* because they require geographical data
220 (shapefile) as additional input. A complete list of the output with a detailed description is
221 provided in the supplementary material (LAND-SE_UserGuide.pdf).

222

223 3 LAND-SE applications

224 To show ~~LAND-SE software~~ functionalities and output types, we use as example the
225 landslide susceptibility modelling and zonation originating from two articles published by
226 Reichenbach and co-authors (2014; 2015)~~LAND-SE was applied in a test area. In the area~~
227 selected as example, Different configurations were selected to perform the following
228 analysis, using different configurations:

- 229 • Polygon-based landslide susceptibility zonation;
- 230 • Pixel-based landslide susceptibility zonation;
- 231 • Landslide susceptibility scenarios zonation.

232 The applications use different mapping units and distinct schema to select the training and
233 validation dataset. ~~One~~ The last analysis ~~is focused to~~ illustrates an application the use of
234 ~~LAND-SE~~ focused to evaluate the impact of different land use scenarios ~~of land use~~ on
235 landslide susceptibility~~LS~~. ~~LAND-SE~~ This type of analysis and results can be ~~considered~~
236 relevant information in environmental planning and management.

237 3.1 Description of the example area and available data

238 A small area was selected to show applications and output of LAND-SE. The area is located
239 in the eastern portion of the Briga catchment (Figure 2), in the Messina province (Sicily,
240 South Italy). ~~It has~~ The elevation ~~values ranging ranges~~ from the sea level to about 500 m and
241 the terrain gradient ~~in the range offrom~~ 0° ~~to~~ 8180° . Landslides, including shallow soil slides
242 and debris flows, deep-seated rotational and translational slides, and complex and compound
243 failures (Varnes, 1984), are abundant, and caused primarily by rainfall (Ardizzone et al.,
244 2012; Reichenbach et al., 2014; 2015). On 1 October 2009, the Briga catchment and the
245 surrounding areas were hit by an intense storm (Maugeri and Motta, 2011) that triggered more
246 than 1000 shallow landslides, mainly shallow soil slides and debris flows (Varnes, 1984),
247 caused 37 fatalities, numerous injured people and severe damages in the affected villages and
248 along the transportation network.

249 After the event, a detailed landslide inventory map at 1:10,000 scale was prepared for the
250 entire Briga catchment (Ardizzone et al., 2012). The inventory was obtained through a
251 combination of field surveys carried out in the period from October to November 2009, and
252 visual interpretation of pre-event and post-event stereoscopic and pseudo-stereoscopic aerial
253 photographs. The inventory map shows the distribution and types of landslides triggered by
254 the 1 October 2009 rainfall event (Figure 2), and the distribution and types of pre-existing
255 landslides. In addition, two maps reporting the land use in different periods were prepared
256 exploiting available aerial photographs and Very High Resolution (VHR) satellite imagery
257 (Reichenbach et al., 2014; 2015). The first map was derived from the analysis of the same
258 black and white aerial photograph used to map pre-event landslides. The second map was
259 obtained from the analysis of two QuickBird satellite images taken the first on 2 September
260 2006 and the second on 8 October 2009 (Mondini et al., 2011).

261 | In the area, landslide susceptibility zonation ~~were~~was prepared using two mapping units:
262 pixels and slope-units. The slope-units (SU) are terrain subdivisions bounded by drainage and
263 divide lines (Carrara et al., 1991). SU were outlined using a 5-meter resolution DEM obtained
264 resampling the VH resolution DEM provided by the Italian national Department for Civil
265 | Protection and using *r.slopeunits*, a software recently ~~developed~~*r.slopeunits* written in Python
266 for GRASS GIS ~~module~~ (Marchesini et al., 2012; Alvioli et al., 2016). The size and the
267 geometrical characteristics of the SU are controlled by modeling parameters defined by the
268 user including the minimum half-basin area (Metz et al., 2011) and the slope aspect
269 variability. In the study area, the procedure identified 238 SU which represent the polygon-
270 based mapping units for the determination of LS. To explain the spatial distribution of
271 landslides (Carrara et al., 1991; 1995), for each slope-unit, we calculated the percentage of the
272 event landslides as dependent (grouping) variable and the following explanatory variables: i)
273 descriptive statistics (range, mean, standard deviation) of elevation and slope; ii) the
274 percentage covered by each land use class; and iii) the percentage covered by old landslides.

275 For the pixel-based analysis, we used the VH resolution DEM (1m x 1m) that accounts for
276 about 5 million cells. Maps of the elevation, slope, land use and of the presence/absence of
277 old landslides, were used as explanatory variables in the analysis. The presence/absence of
278 event landslides was used as dependent variable (Carrara et al., 1991, 1995; Guzzetti et al.,
279 2006). The data originally in polygon format were first converted in raster and all the data
280 were converted to the tabular format to be suited for LAND-SE (see LAND-
281 SE_UserGuide.pdf for details).

283 3.2 Polygon-based landslide susceptibility zonation

284 This example is focused to illustrate landslide susceptibility zonation prepared using the
285 slope-unit as mapping unit. Two spatial criteria were used to define the training and validation
286 dataset, the first based on a random selection and the second on the subdivision of the entire
287 catchment in two contiguous areas (Nord and South).

288 In the first case, the training set contained 70% of the total slope-units and the validation
289 corresponded to the entire basin. Landslide susceptibility models were trained using a subset
290 of available data and results were applied in validation to the entire study area. Figure 3
291 shows the main graphical and geographical outputs obtained during the training and the
292 validation phases, including susceptibility, error and uncertainty maps, fourfold (contingency)
293 plot, success and prediction rate curves, ROC plot, evaluation and uncertainty plots. For
294 simplicity, the figure shows only results of the combined model, but outputs for each single
295 model are available and can be exploited for further analysis. In the example, the random
296 selection criteria resulted in similar training and validation performances (Figure 3). This
297 application simulates LS zonation for a large territory, where landslides information is spotted
298 and do not cover the entire study area. In such conditions, training cannot be performed on the
299 entire area and a random selection of the training dataset, within the surveyed area, is a
300 reasonable solution.

301 In the second case, the SU located in the Northern part of the Briga catchment with respect to
302 the main river, were used as training set and the SU located in the Southern portion as
303 validation set. Figure 4 shows outputs, including susceptibility maps for the combined model,
304 success and prediction rate curves, and ROC plots. As shown in Figure 4, the spatial
305 subdivision resulted in good model skill analysis, but reduced validation performances,
306 underlying a poor spatial transferability (Ruelle et al., 2011; Petschko et al.,
307 2014)exportability of the model (i.e. poor applicability of the resulting model coefficients to
308 different study areas). This type of application simulates LS zonation for areas where
309 landslides information required to train the model, is available only for a portion of the area.
310 Results obtained in the training phase are then applied to estimate susceptibility to the portion
311 of the territory where landslide data are not available. This application can be useful to

312 evaluate the possibility to use the same model output in different portion of territory or in
313 different areas.

314 **3.3 Pixel-based landslide susceptibility zonation**

315 This example shows a landslide susceptibility zonation prepared using the pixel as mapping
316 unit. A random selection was chosen to prepare the training set and the validation was
317 performed applying results on the entire study area. For the purpose, in the training set all the
318 pixels corresponding to landslides and an equal number of pixels in stable areas were
319 selected. Figure 5 shows the main outputs of the combined model prepared for the entire area
320 during the validation phase, including susceptibility, error and uncertainty maps, fourfold
321 (contingency) plot, prediction rate curve, ROC plot, evaluation and uncertainty plots.

322 This example simulates a common and widespread susceptibility zonation approach that
323 exploits pixel-based analysis at basin and regional scale. In such conditions, reasonable
324 calculation times ~~with a limited loss of performances~~ can be reached ~~to~~ training the model
325 with a random selected subset and applying results to the entire study area. [Dealing with large
326 dataset, we experienced that training the models using reduced samples \(randomly selected\)
327 affects slightly the susceptibility model results and performances with a minor increase in the
328 model uncertainty.](#) -As shown in Figure 5, although the training was performed with a subset
329 of the data, the model performance for the entire study area is adequate and acceptable.

330 **3.4 Landslide susceptibility scenarios zonation**

331 This example illustrates how LAND-SE can be utilized to evaluate the impact of different
332 land-use scenarios on landslide susceptibility zonation (Reichenbach et al. 2014, 2015)
333 [comparing the distribution of stable/unstable slope units and the success rate curves.](#) The
334 current, the past and possible future land-use distributions were evaluated on landslide
335 susceptibility classes. Single models (linear discriminant analysis, quadratic discriminant
336 analysis and logistic regression) and a combined model were prepared, exploiting the 2009
337 event landslides as grouping variable and morphological and land-use classes as explanatory
338 variables.

339 To evaluate the influence of land use change on landslide susceptibility zonation, results
340 obtained with the 2009 land use map were applied using the 1945 land use distribution. Figure
341 6 portrays on the left, the combined model prepared using the 2009 land use map, and on the

342 right the zonation obtained applying the results to the 1954 land use cover. Zonation maps
343 obtained with the same models but using the 1954 land use map show a significant reduction
344 in the number of unstable SU. Success rate curves reveal a decrease in the model fitting
345 performance when using the 1954 land use map, due to a reduction of slope units classified as
346 unstable and an increase in stable terrain. In particular, the expansion of bare soil to the
347 detriment of forested areas in the 56 years from 1954 to 2009, determined a general increase
348 in the susceptibility.

349 Moreover, to estimate the effect of land use distribution, we have designed different scenarios
350 obtained changing the 2009 land use cover using and heuristic and empirical approach.
351 Assuming an increase in the forested areas, we have considered three types of changes
352 computed at the slope unit scale resulting in the following scenarios: i) 75% decrease in the
353 pasture extent (Scenario 1); ii) 75% reduction of both pasture and cultivated areas (Scenario
354 2); and iii) 75% decrease in bare soil where the slope-unit mean angle was greater than 15°
355 together with 75% decrease in pasture areas (Scenario 3). A fourth scenario was prepared
356 assuming the effect of a forest fire in the south-west part of the area, where we simulated a
357 reduction of the forested cover and an increase in bare soil (Scenario 4). For each scenario,
358 figure 7 shows the CM zonation and the success rate curve measuring the fitting performance
359 of each model.

360 The qualitative comparisons of the maps and of the success rate curves obtained for the
361 differentAnalyses of the_ scenarios confirm how land use changes significantly affect the
362 spatial distribution of unstable/stable slopes (Reichenbach et al., 2014). This information can
363 be used-applied to evaluate the consequences of land use change on vulnerability and risk.
364 Moreover, the proposed approach can be helpful to analyse the potential effects of land use
365 planning and management on slope instability.

366

367 **4 Final remarks**

368 A recent review analysis on landslide statistical models revealed a large variety of statistical
369 types, but a significant scarcity of a complete and comprehensive evaluation of the models
370 performance and prediction skills (Malamud et al. 2014). Moreover assessment of the input
371 data quality (Ardizzone et al. 2002), discussion on the scale applicability and the
372 quantification of errors and uncertainty associate to the models are limited. In the recent years
373 there has been an increase number of commercial and open source packages for statistical

374 analysis that integrate geographical data and/or Open Source GIS, but software dedicated to
375 landslide susceptibility zonation using statistical models is not available.

376 LAND-SE is an open source **SWsoftware** that performs LS modelling, zonation, results
377 evaluation and associated uncertainty estimation using graphs, map and statistical metrics
378 filling the lacks of the large variety of statistical methods already available. LAND-SE is
379 mainly designed to evaluate landslide susceptibility from basin (medium) to regional scale
380 (small to very small scale).The quality and significant of model outputs is highly related to the
381 scale, accuracy and resolution of landslide and environmental input data. In the field of
382 landslide susceptibility zonation, LAND-SE is designed to be properly and productively used
383 by experienced geomorphologists. Experienced practitioners are expected to use the code,
384 with the support of experts in the field of environmental planning and management for a
385 correct and reliable interpretation and exploitation of the results. A proper LAND-SE
386 execution requires: (i) a basic knowledge of R language to run the script; (ii) experience on
387 multivariate statistical models and on their evaluation skills/metrics (ROC plot, contingency
388 table and plots, success/prediction rate curves, etc.); (iii) GIS skills to prepare and handle
389 input data; and (iv) specific expertise for a correct and reliable interpretations of the results.
390 All the modelling types implemented in LAND-SE are basically statistical classification
391 techniques applicable to any multivariate analysis with a binary grouping (dependent or
392 response) variable. This makes the code flexible and appropriate to other scientific fields and
393 usable, with minor customization and tailoring, by user with different expertise.

394 We think further improvements may include additional models (i.e. forest tree analysis), tools
395 for the input data preparation, tools for the visualization of results available now only in
396 textual format (i.e. test of the collinearity evaluation, number of significant variables).
397 Moreover, the software can be applied and customized to different applications, providing the
398 users with the possibility to implement and improve the code with additional models,
399 evaluations tools or output types. LAND-SE can also be used to prepare models to predict
400 particular types of slope movements (e.g. debris flow source areas, Carrara et al., 2008) or can
401 be customized to evaluate the probability of spatial occurrence of completely diversified
402 natural phenomena.

403

404 **Acknowledgements**

405 The implementation and improvement of LAND-SE with respect to the version published by
406 Rossi et al. (2010), was supported by the FP7 LAMPRE Project (Landslide Modelling and
407 Tools for vulnerability assessment preparedness and recovery management, EC contract n.
408 31238).

409

410 **Code availability and licence**

411 The LAND-SE code is provided as supplementary materials together with:

- 412 1. the software user guide (LAND-SE_UserGuide_v1_03mar2016.docx);
- 413 2. datasets containing the software script (LAND-SE_v30_20160118.R), the configuration
414 files (LAND-SE_configuration_spatial_data.txt, LAND-SE_configuration.txt) and input
415 files (training.txt, training.shp, validation.txt, validation.shp) relative to three examples
416 applications: (i) polygon-based landslide susceptibility zonation with a random selection
417 of the training dataset and a validation on a larger area; (ii) polygon-based landslide
418 susceptibility zonation with training and validation performed in two different contiguous
419 areas; (iii) pixel-based landslide susceptibility zonation with a random selection of the
420 training dataset and a validation on a larger area.

421 LAND-SE Copyright (C) Mauro Rossi. LAND-SE is free software; it can be redistributed or
422 modified under the terms of the GNU General Public (either version 2 of the License, or any
423 later version) as published by the Free Software Foundation. The program is distributed in the
424 hope that it will be useful, but WITHOUT ANY WARRANTY; without even the implied
425 warranty of MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the
426 GNU General Public License for more details.

427

428

429 **References**

430 [Alvioli, M., Marchesini, I., Reichenbach, P., Rossi, M., Ardizzone, F., Fiorucci, F., and](#)
431 [Guzzetti, F.: Automatic delineation of geomorphological slope-units and their optimization](#)
432 [for landslide susceptibility modelling, Geosci. Model Dev. Discuss., doi:10.5194/gmd-2016-](#)
433 [118, in review, 2016](#)

434 ~~[Alvioli, M., Marchesini, I., Reichenbach, P., Rossi, M., Fiorucci, F., Ardizzone, F., and](#)~~
435 ~~[Guzzetti, F.: Automatic delineation of geomorphological slope units and their optimization](#)~~
436 ~~[for a selected landslide susceptibility model, Environmental Modelling & Software \(under](#)~~
437 ~~[revision\), 2016.](#)~~

- 438 Ardizzone, F., Basile, G., Cardinali, M., Casagli, N., Del Conte, S., Del Ventisette, C.,
439 Fiorucci, F., Garfagnoli, F., Gigli, G., Guzzetti, F., Iovine, G., Mondini, A. C., Moretti, S.,
440 Panebianco, M., Raspini, F., Reichenbach, P., Rossi, M., Tanteri, L., and Terranova, O.:
441 Landslide inventory map for the Briga and the Giampilieri catchments, NE Sicily, Italy,
442 *Journal of Maps*, 8:2, 176-180, 2012.
- 443 Ardizzone, F., Cardinali, M., Carrara, A., Guzzetti, F., and Reichenbach P.: Impact of
444 mapping errors on the reliability of landslide hazard maps, *Natural Hazards and Earth System*
445 *Sciences*, 2:1-2, 3-14, 2002.
- 446 [Atkinson, P., Jiskoot, H., Massari, R., and Murray, T.: Generalized linear modelling in](#)
447 [geomorphology, *Earth Surf. Process. Landf.*, 23\(13\), 1185–1195, 1998.](#)
- 448 [Atkinson, P. M., and Massari, R.: Autologistic modelling of susceptibility to landsliding in](#)
449 [the central Apennines, Italy. *Geomorphology*, Accepted Manuscript, doi:](#)
450 [10.1016/j.geomorph.2011.02.001, 2011.](#)
- 451 Belsley, D.A.: *Conditioning diagnostics, collinearity and weak data in regression*, John Wiley
452 & Sons, New York, 1991.
- 453 Brabb, E. E.: Innovative approaches to landslide hazard mapping, *Proceedings 4th*
454 *International Symposium on Landslides*, Toronto, 1, 307-324, 1984.
- 455 Brown, C.E.: *Applied Multivariate Statistics in Geohydrology and Related Sciences*,
456 Springer-Verlag, Berlin, 248 pp., 1998
- 457 Carrara, A., Cardinali, M., Detti, R., Guzzetti, F., Pasqui, V., and Reichenbach, P.: GIS
458 techniques and statistical models in evaluating landslide hazard, *Earth Surface Processes*
459 *Landform*, 16:5, 427-445, 1991.
- 460 Carrara, A., Crosta, G., and Frattini, P.: Comparing models of debris-flow susceptibility in the
461 alpine environment, *Geomorphology*, 94:3, 353-378, 2008.
- 462 Carrara, A., Cardinali, M., Guzzetti, F., and Reichenbach, P.: GIS technology in mapping
463 landslide hazard, Carrara, A., Guzzetti, F. (eds.), *Geographical Information Systems in*
464 *Assessing Natural Hazards*. Kluwer Academic Publisher, Dordrecht, The Netherlands, 135-
465 175, 1995.
- 466 Chung, C.–J.F., and Fabbri, A.G.: Validation of spatial prediction models for landslide hazard
467 mapping, *Natural Hazards*, 30:3, 451-472, 2003.
- 468 Chung, C.–J.F., and Fabbri, A. G.: Probabilistic prediction models for landslide hazard
469 mapping, *Photogrammetric Engineering and Remote Sensing*, 65-12, 1389-1399, 1999.
- 470 Cohen, J.: A coefficient of agreement for nominal scales, *Educational and Psychological*
471 *Measurement*, 20, 37-46, 1960.
- 472 Cox, D.R.: The regression analysis of binary sequences. *Journal of the Royal Statistical*
473 *Society, Series B, Methodological* 20, 215–242, 1958.
- 474 Davison, A. C., and Hinkley, D.: *Bootstrap methods and their applications*, 8th ed.,
475 *Cambridge Series in Statistical and Probabilistic Mathematics*, Cambridge University Press,
476 ISBN-13: 9780521574716, 2006.
- 477 Efron, B.: Bootstrap methods: another look at the jack knife, *Annals Statistics* 7:1, 1-26, 1979.
- 478 Fawcett T.: An introduction to ROC analysis, *Pattern Recognition Letters*, 27:8, 861-874,
479 2006.

480 Felicísimo, Á., Cuartero, A., Remondo, J., and Quirós, E.: Mapping landslide susceptibility
481 with logistic regression, multiple adaptive regression splines, classification and regression
482 trees, and maximum entropy methods: a comparative study, *Landslides*, 10:2, 175-189, 2013

483 Fisher, R.A.: The use of multiple measurements in taxonomic problems, *Annales Eugenics* 7,
484 179–188, 1936.

485 [Goetz, J. N., Brenning, A., Petschko, H. and Leopold, P.: Evaluating machine learning and
486 statistical prediction techniques for landslide susceptibility modeling, *Comput. Geosci.*, 81, 1–
487 11, doi:10.1016/j.cageo.2015.04.007, 2015.](#)

488 Green, D. M., and Swets, J. M.: Signal detection theory and psychophysics, John Wiley and
489 Sons, New York. ISBN: 0-471-32420-5, 1966.

490 Guzzetti, F., Carrara, A., Cardinali, M., and Reichenbach, P.: Landslide hazard evaluation: a
491 review of current techniques and their application in a multi-scale study, Central Italy,
492 *Geomorphology*, 31: 181-216, 1999.

493 Guzzetti, F., Reichenbach, P., Ardizzone, F., Cardinali, M., and Galli, M.: Estimating the
494 quality of landslide susceptibility models, *Geomorphology*, 81:1-2, 166-184, 2006.

495 Jolliffe, I. T., and Stephenson, D. B.: Forecast verification, *A Practitioner's Guide in
496 Atmospheric Science*. John Wiley, Chichester, 240 pp, 2003.

497 [Kuhn, M. and Kjell, J.: Applied predictive modeling. New York: Springer, 2013.](#)

498 Hendrickx, J.: perturb: Tools for evaluating collinearity. R package version 2.05.
499 <http://CRAN.R-project.org/package=perturb>, 2012.

500 [Heckmann, T., Gegg, K., Gegg, A. and Becht, M.: Sample size matters: investigating the
501 effect of sample size on a logistic regression susceptibility model for debris flows, *Nat.
502 Hazards Earth Syst. Sci.*, 14\(2\), 259–278, doi:10.5194/nhess-14-259-2014, 2014.](#)

503 [Hussin Y. H., Zumpano, V., Reichenbach, P., Sterlacchini, S., Micu, M., van Westen, C., and
504 Bălteanu, D. \(2016\) Different landslide sampling strategies in a grid-based bi-variate
505 statistical susceptibility model. *Geomorphology* 253, 508–523.
506 <http://dx.doi.org/10.1016/j.geomorph.2015.10.030>.](#)

507 Malamud, B., Mihir, M., Reichenbach, P., and Rossi, M.: D6.3-Report on standards for
508 landslide susceptibility modelling and terrain zonations, LAMPRE FP7 Project deliverables,
509 <http://www.lampre-project.eu>, 2014.

510 Marchesini, I., Alvioli, M., Rossi, M., Santangelo, M., Cardinali, M., Reichenbach, P.,
511 Ardizzone, F., Fiorucci, F., Balducci, V., Mondini, A.C., and Guzzetti, F.: WPS tools to
512 support geological and geomorphological mapping, OGRS 2012, Open Source Geospatial
513 Research & Education Symposium, October 24-26 2012, Yverdon-les-Bains, Switzerland,
514 <http://ogrs2012.org/index.php/ogrs2012/ogrs2012/paper/view/34>, 2012.

515 Mason, S. J., and Graham, N. E.: Areas beneath the relative operating characteristics (ROC)
516 and relative operating levels (ROL) curves: statistical significance and interpretation,
517 *Quarterly Journal of the Royal Meteorological Society*, 128, 2145-2166, 2002.

518 Maugeri, M., and Motta, E.: Effects of heavy rainfalls on slope behavior: the October 1, 2009
519 disaster of Messina (Italy), Iai, S. (ed.) *Geotechnics and Earthquake Geotechnics Towards
520 Global Sustainability*, Geotechnical, Geological and Earthquake Engineering 15, Springer
521 Science + Business Media, 2011.

522 Metz, M., Mitasova, H., and Harmon, R.: Efficient extraction of drainage networks from
523 massive, radar-based elevation models with least cost path search, *Hydrology Earth System*
524 *Science*, 15, 667-678, 2011.

525 [Meyer, D., Zeileis, A., Hornik, K.: *vcd: Visualizing Categorical Data*, R package version 1.4-](#)
526 [0, 2015.](#)

527 Mondini, A. C., Guzzetti, F., Reichenbach, P., Rossi, M., Cardinali, M., and Ardizzone, F.:
528 Semi-automatic recognition and mapping of rainfall induced shallow landslides using optical
529 satellite images, *Remote Sensing of Environment*, 115:7, 1743-1757, 2011.

530 [NCAR - Research Applications Laboratory: verification: Weather Forecast Verification](#)
531 [Utilities, R package version 1.41. <http://CRAN.R-project.org/package=verification>, 2014.](#)

532 Owen, M., Imai, K., King, G., and Lau, O.: Zelig everyone's statistical software, R package
533 version 4.2-1, <http://CRAN.R-project.org/package=Zelig>, 2013.

534 Petschko, H., Brenning, A., Bell, R., Goetz, J., and Glade, T.: Assessing the quality of
535 landslide susceptibility maps – case study Lower Austria, *Natural Hazards Earth System*
536 *Science*, 14:1, 95-118, 2014

537 R Core Team: R: A language and environment for statistical computing, R Foundation for
538 Statistical Computing, Vienna, Austria, <https://www.R-project.org/>, 2015.

539 [Regmi, N. R., Giardino, J. R., McDonald, E. V. and Vitek, J. D.: A comparison of logistic](#)
540 [regression-based models of susceptibility to landslides in western Colorado, USA, *Landslides*,](#)
541 [11\(2\), 247–262, doi:10.1007/s10346-012-0380-2, 2014.](#)

542 Reichenbach, P., Busca, C., Mondini, A. C., and Rossi, M.: Land use change scenarios and
543 landslide susceptibility zonation: the Briga catchment test area (Messina, Italy), *Engineering*
544 *Geology for Society and Territory*, 1, 557-561, Springer International Publishing, 2015.

545 Reichenbach, P., Busca, C., Mondini, A. C., and Rossi, M.: The influence of land use change
546 on landslide susceptibility zonation: the Briga catchment test site (Messina, Italy),
547 *Environmental Management*, 54:1372-1384, 2014.

548 Ripley, B.D.: *Pattern Recognition and Neural Networks*. In Cambridge University Press,
549 ISBN: 0-521-46086 7, pp. 416, 1996.

550 Rossi M., Guzzetti F., Reichenbach P., Mondini A. C., and Peruccacci S.: Optimal landslide
551 susceptibility zonation based on multiple forecasts, *Geomorphology*, 114:3, 129-142, 2010.

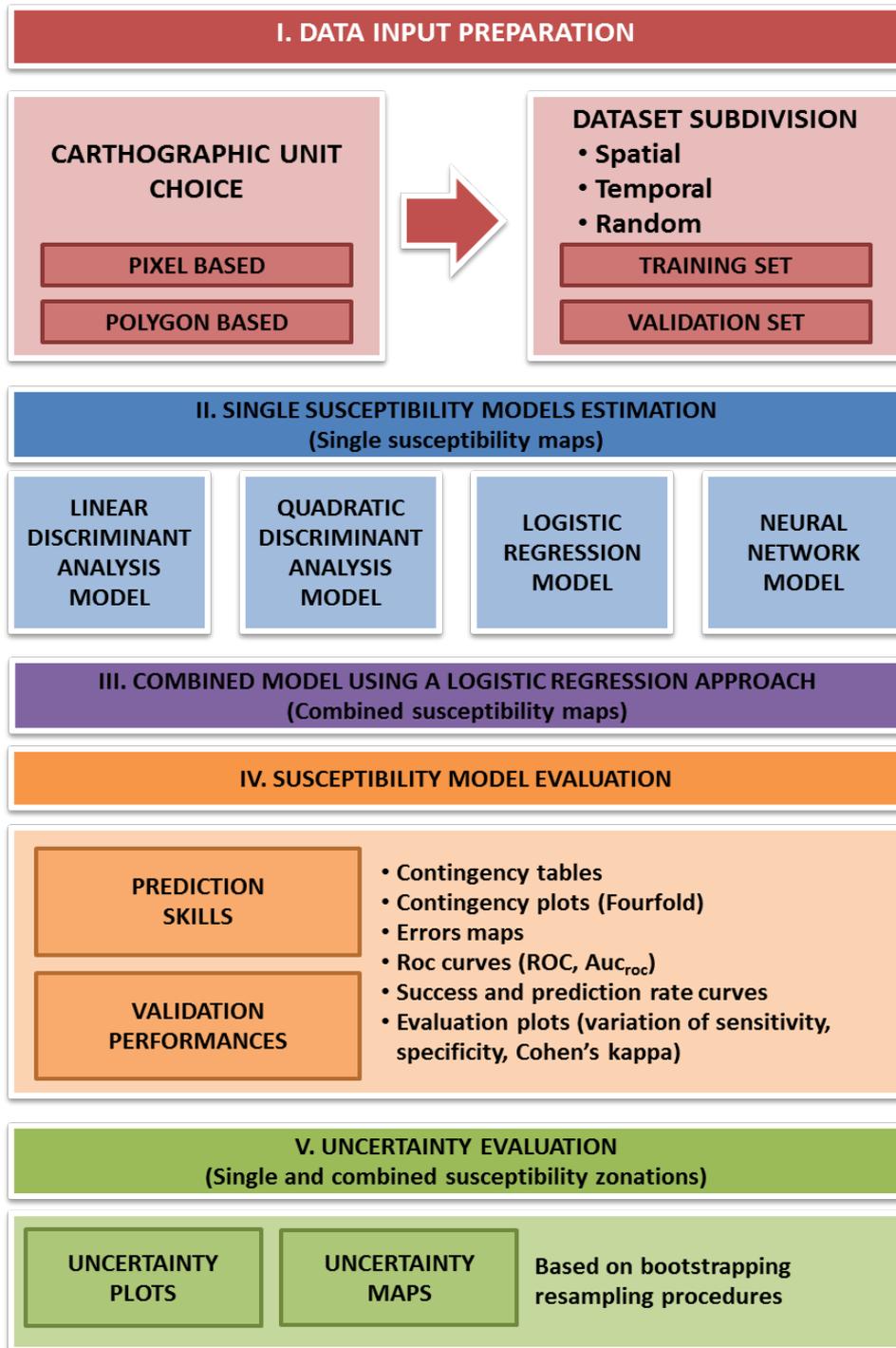
552 Van Den Eeckhaut, M., Marre, A., and Poesen, J.: Comparison of two landslide susceptibility
553 assessments in the Champagne–Ardenne region (France), *Geomorphology*, 115:1–2, 141-155,
554 2010

555 Varnes D. J.: IAEG Commission on Landslides: *Landslide hazard zonation: a review of*
556 *principles and practice*, 1984.

557 Venables, W.N., Ripley, B.D.: *Modern Applied Statistics with S*, Fourth edition, Springer,
558 Berlin, ISBN: 0-387-95457-0, pp. 495, 2002.

559 [von Ruetten, J., Papritz, A., Lehmann, P., Rickli, C. and Or, D.: Spatial statistical modeling of](#)
560 [shallow landslides - Validating predictions for different landslide inventories and rainfall](#)
561 [events, *Geomorphology*, 133: 1–2, 11-22, 2011.](#)

562



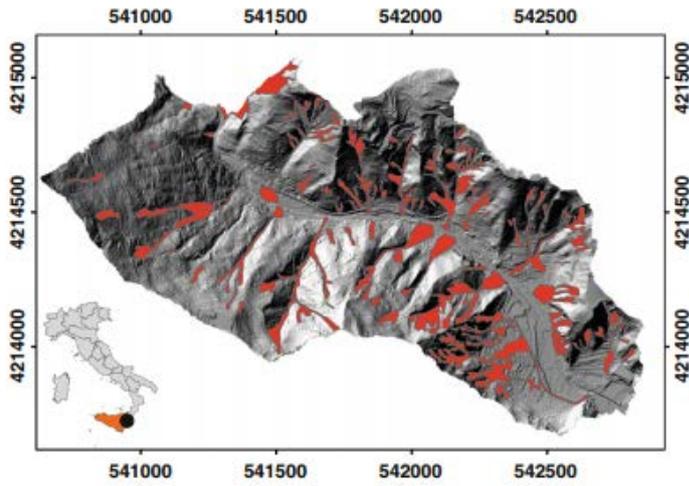
564

565

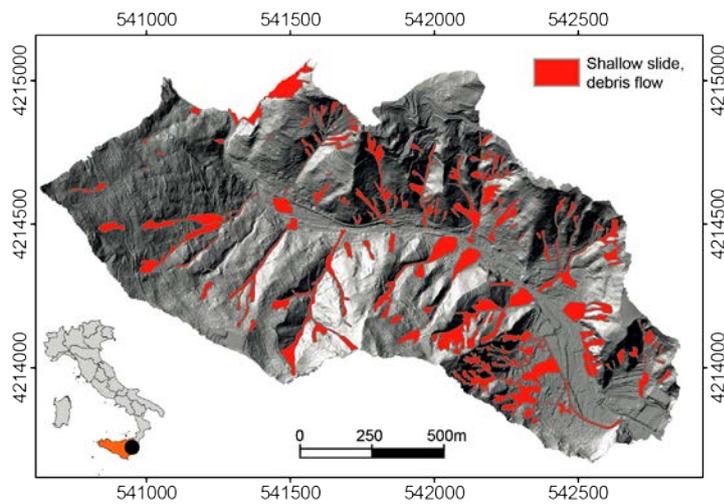
566 Figure 1. Logical schema of the LAND-SE software for landslide susceptibility modelling
 567 and zonation.

568

569

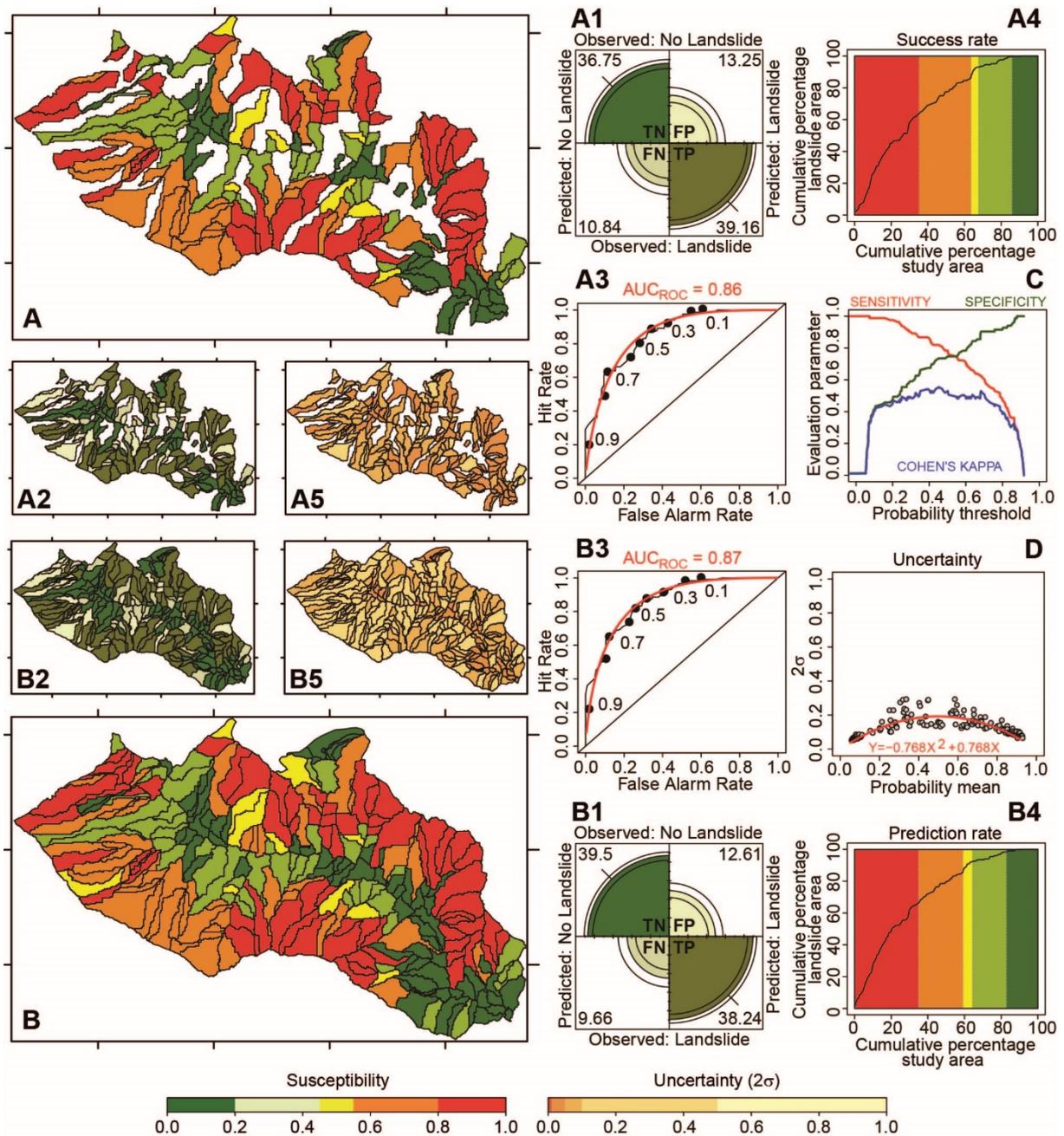


570



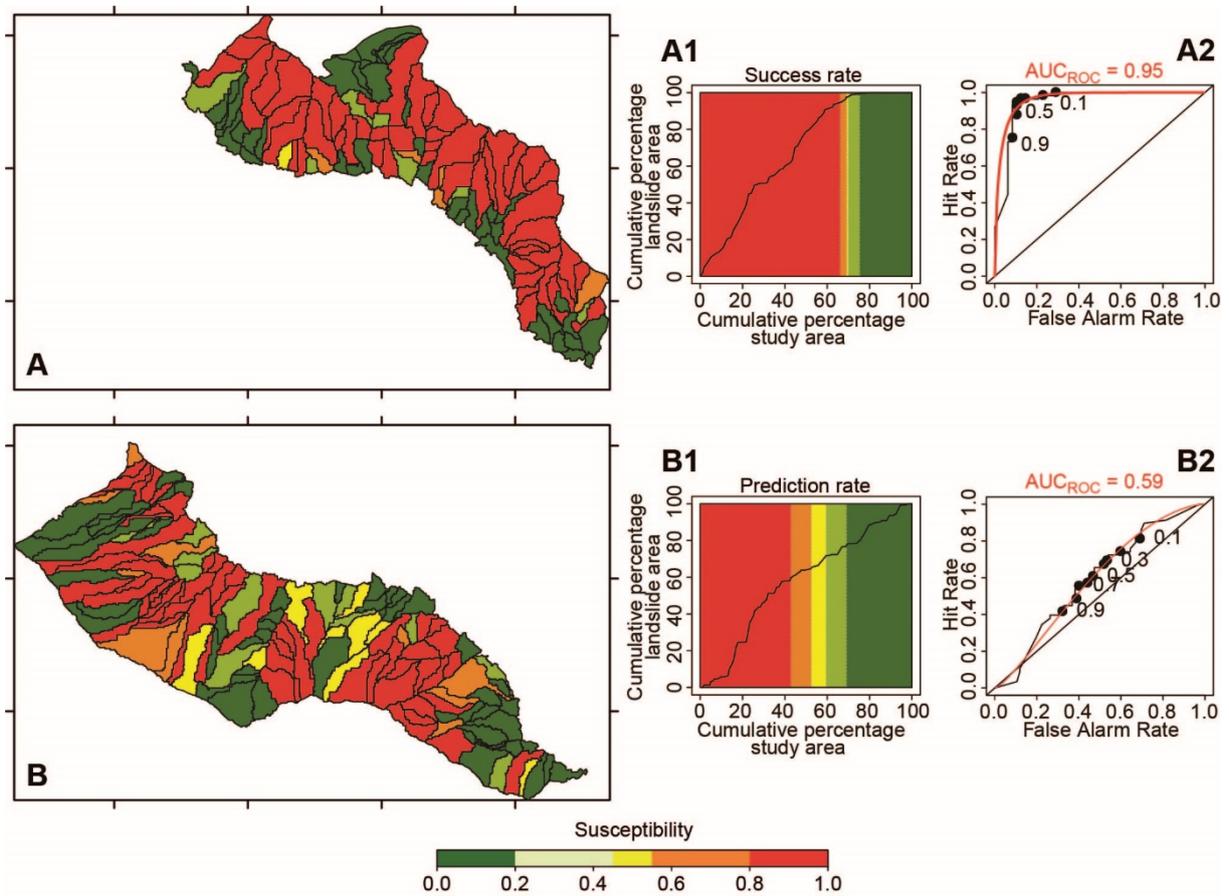
571

572 Figure 2. Shaded relief of the study area located in the Briga catchment, along the Ionian
573 coast of Sicily (Italy). Red polygons show landslides triggered by the October 1, 2009 rainfall
574 event.



575
 576
 577
 578
 579
 580
 581
 582
 583
 584
 585
 586

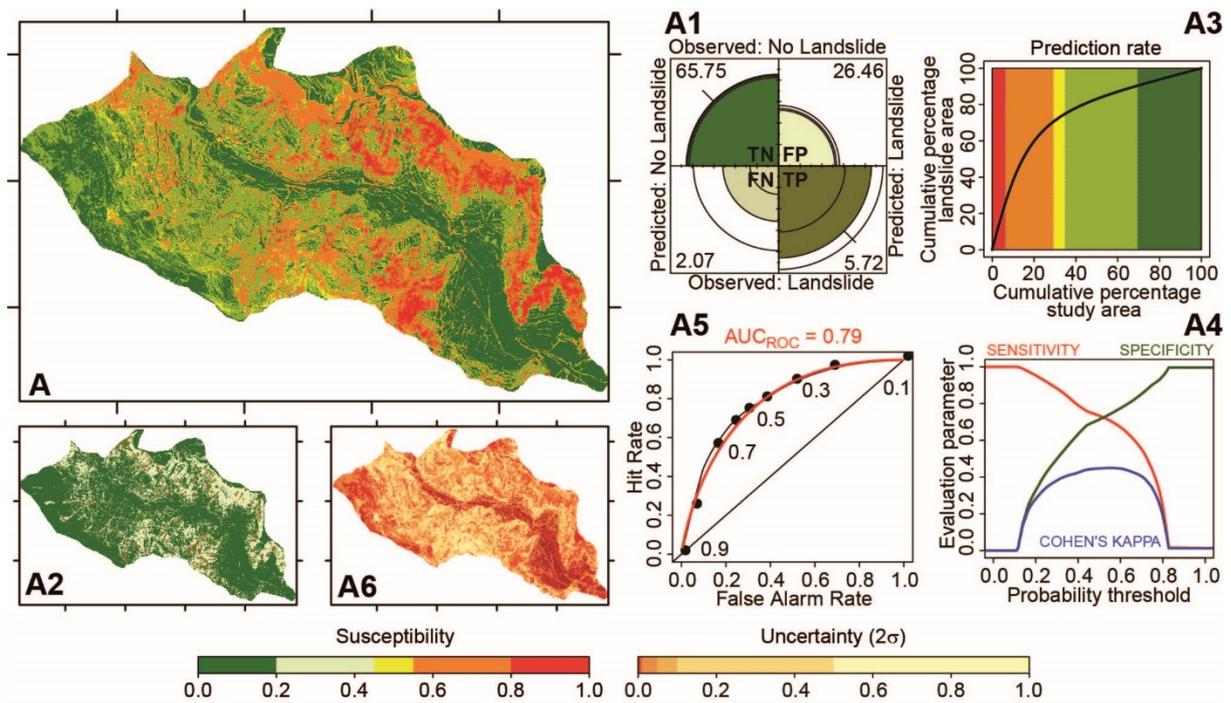
Figure 3. Landslide susceptibility maps (CM) for the training dataset (A) and the validation dataset (B) classified in five unequally spaced classes (see legend). (A1, B1) fourfold plots summarizing the number of true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN); (A2, B2) maps of the distribution of the four categories of slope units reported in the fourfold plots; (A3, B3) ROC plots; (A4, B4) success and prediction rate curves; (C) variation in the model sensitivity, specificity, and Cohen's kappa index; (D) plot showing measures of the model error (2σ) vs. the mean probability (μ), for each slope unit, (black circle); ([Maps coordinates and scale bar are shown in Figure 2.](#))



587

588

589 Figure 4. Landslide susceptibility maps (CM) for the training dataset (A: Northern part) and
 590 the validation dataset (B: Southern part) of the test area, classified in five unequally spaced
 591 classes (see legend). (A1, B1) success and prediction rate curves; (A2, B2) ROC plots. [Maps](#)
 592 [coordinates and scale bar are shown in Figure 2.](#)
 593



594

595

596 Figure 5. Pixel-based landslide susceptibility map (CM) of the test area (A) classified in five
 597 unequally spaced classes (see legend). (A1) fourfold plot summarizing the number of true
 598 positives (TP), true negatives (TN), false positives (FP), and false negatives (FN); (A2) map
 599 of the distribution of the four categories reported in the fourfold plot; (A3) prediction rate
 600 curve; (A4) variation in the model sensitivity, specificity, and Cohen's kappa index; (A5)
 601 ROC plot; (A6) map of the geographical distribution of the model error. [Maps coordinates](#)
 602 [and scale bar are shown in Figure 2.](#)

603

604

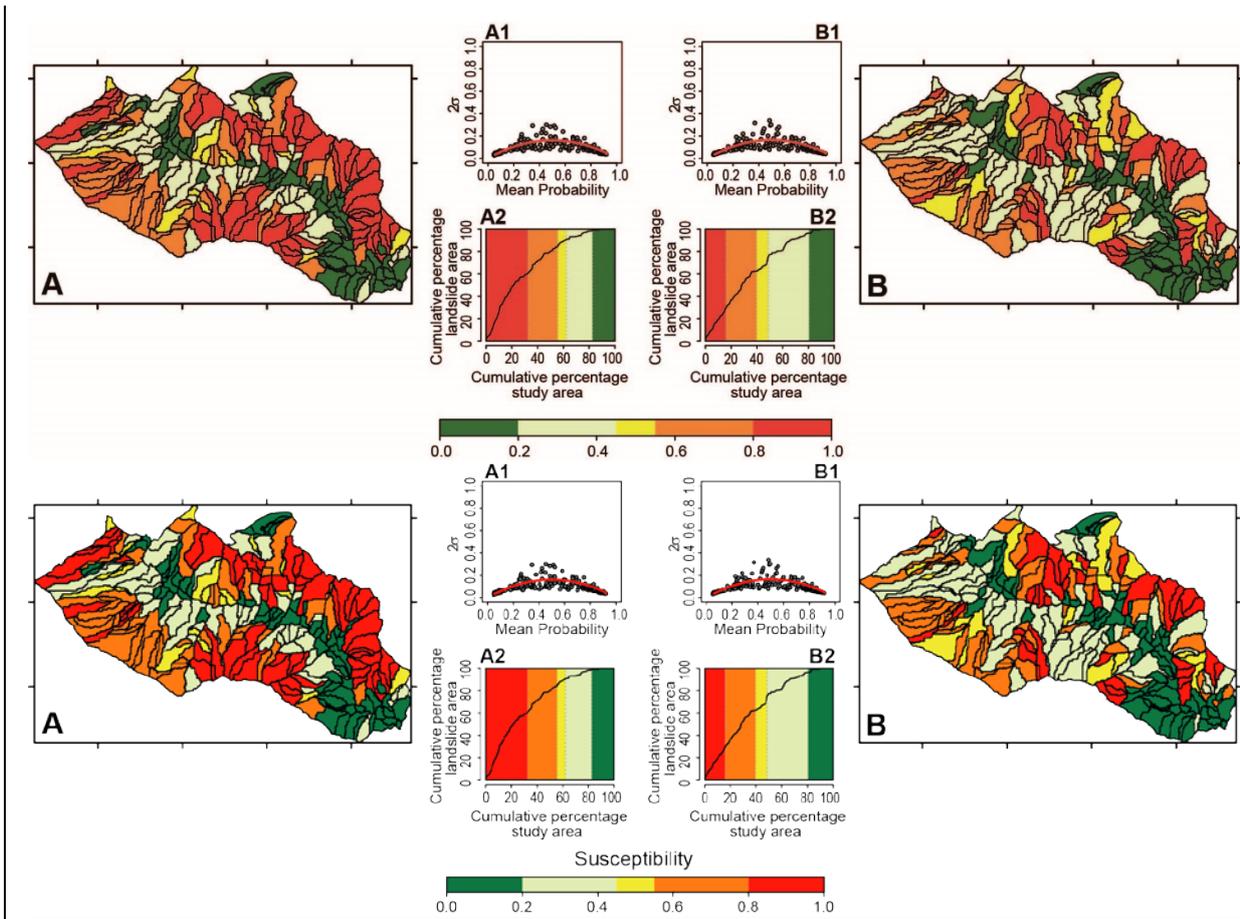
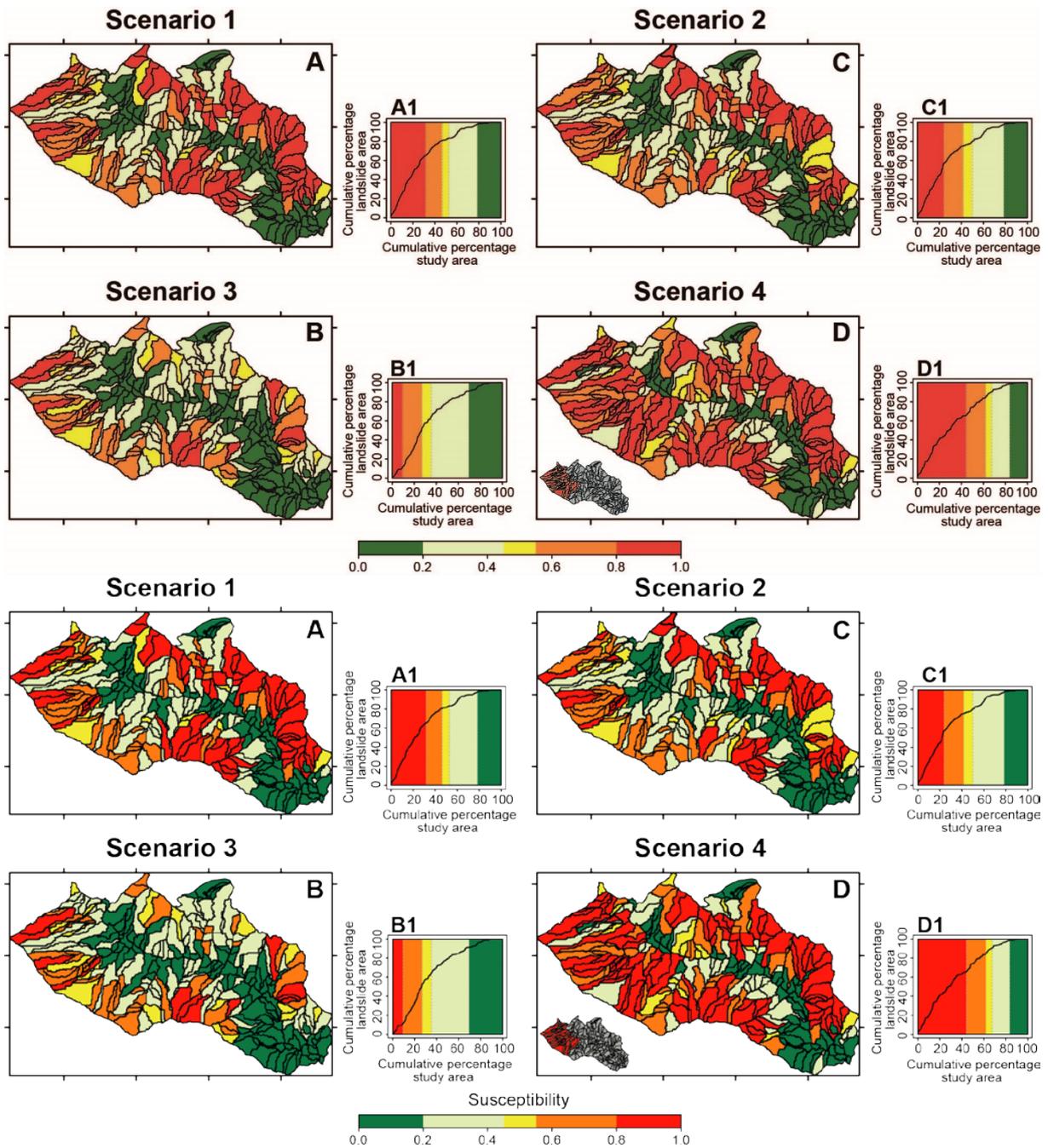


Figure 6. (A) Landslide susceptibility map (CM) prepared using the 2009 land use and (B) using the 1954 land use cover. LS maps are classified in five unequally spaced classes (see legend); (A1, B1) plot showing the model uncertainty estimated in each slope unit; (A2, B2) success rate curves. [Maps coordinates and scale bar are shown in Figure 2.](#)



606

607

608

609 Figure 7. (A, B, C, D) Landslide susceptibility maps (CM) classified in five unequally spaced
 610 classes prepared using different land use scenario; (A1, B1, C1, D1) success rate curves.
 611 [Maps coordinates and scale bar are shown in Figure 2.](#)