A data-driven approach to identify controls on global fire activity from satellite and climate observations (SOFIA V1)

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Abstract

Vegetation fires affect human infrastructures, ecosystems, global vegetation distribution, and atmospheric composition. However, the climatic, environmental and socioeconomic factors that control global fire activity in vegetation are only poorly understood, and in various complexities and formulations represented in global process-oriented vegetation-fire models. Data-

- 15 driven model approaches such as machine learning algorithms have successfully been used to identify and better understand controlling factors for fire activity. However, such machine learning models cannot be easily adapted or even implemented within process-oriented global vegetation/fire models. To overcome this gap between machine learning-based approaches and process-oriented global fire models, we here introduce a new flexible data-driven fire modelling approach (Satellite Observations to predict FIre Activity, SOFIA approach version 1). SOFIA models can use several predictor variables and
- 20 functional relationships to estimate burned area that can be easily adapted with more complex process-oriented vegetation-fire models. We created an ensemble of SOFIA models to test the importance of several predictor variables. SOFIA models result in the highest performance in predicting burned area if they account for a direct restriction of fire activity at wet conditions and if they include a land cover-dependent restriction or allowance of fire activity by vegetation density and biomass. The use of vegetation optical depth data from microwave satellite observations, a proxy for vegetation biomass and water content,
- 25 reaches higher model performance than commonly used vegetation variables from optical sensors. We further analyse spatial patterns of the sensitivity between anthropogenic, climate, and vegetation predictor variables and burned area. We finally discuss how multiple observational datasets on climate, hydrological, vegetation, and socioeconomic variables together with data-driven modelling and model-data integration approaches can guide the future development of global process-oriented vegetation-fire models.

30 1 Introduction

Wildland fires are important disturbances in the Earth system which affect ecosystems, global vegetation distribution, infrastructures and human assets, and contribute to atmospheric composition through the release of aerosols, reactive trace gases, and greenhouse gases (Bowman et al., 2011). The ignition and spread of fires in ecosystems depend on the availability and properties of fuel (i.e. biomass and litter loads, composition, and moisture content), weather conditions, and human

35 activities (Krawchuk and Moritz, 2011; Moritz et al., 2012). Human activities have a predominant role in fire ignition, and affect fire behaviour either directly through fire restriction or indirectly through land management and landscape structure (Bowman et al., 2011). Burned area is a key variable to describe fire impacts on ecosystems and vegetation distribution (Bond, 2005), and to estimate fire emissions (Seiler and Crutzen, 1980). Recent estimates of average yearly global burned area range from 3.3 to 3.8 million km² (Chuvieco et al., 2016; Giglio et al., 2013) which is around 4 % of the global vegetated area

- 40 (Randerson et al., 2012). On a global scale, burned area shows only a small inter-annual variability which is stabilized by the annual recurrent patterns of very large burned areas in African savannahs (Giglio et al., 2013). However, in boreal, temperate and tropical regions, burned area has a very high inter-annual variability which is strongly linked to the variability in atmospheric circulation patterns, e.g. to El Niño events (Andela and van der Werf, 2014; Balzter et al., 2005; Giglio et al., 2013; Hess et al., 2001). Such years with extreme fire activity in forests can cause large emissions of greenhouse gases
- 45 (Kasischke and Bruhwiler, 2002; Vinogradova et al., 2015), dominate together with peatland fires the inter-annual variability of global fire emissions (Page et al., 2002; van der Werf et al., 2006, 2010), and thus strongly affect atmospheric composition (Langenfelds et al., 2002; Simpson et al., 2006). Consequently, a realistic simulation of the spatial and temporal variability of burned area is necessary in Earth System Models (ESMs) and Dynamic Global Vegetation Models (DGVMs) to adequately assess current and future fire impacts on the Earth system.
- 50 Satellite observations of burned area or of active fires can be used to develop, evaluate, or improve process-oriented global vegetation-fire models (Poulter et al., 2015b). The first fire modules within DGVMs like GlobFIRM (global fire model, Thonicke et al. (2001)) were developed in the late 1990s and early 2000s in absence of global burned area datasets as reference. Later, regional satellite-derived burned area datasets were used to evaluate new developed global fire models such as SPITFIRE (SPread and InTensity of FIRE, Thonicke et al. (2010)). The first global burned area datasets were derived in the
- 55 mid-2000s from several optical satellite sensors such ATSR (Simon et al., 2004), MODIS (Roy et al., 2005), and SPOT (Grégoire et al., 2003; Tansey et al., 2008). The increasing temporal coverage of satellite observations enables to derive multi-year harmonized burned area datasets like the products from the Global Fire Emissions Database (GFED) (Giglio et al., 2010, 2013) or from the European Space Agency (ESA) Climate Change Initiative (CCI) on fire (Fire CCI) (Chuvieco et al., 2016). Consequently, global burned area datasets are nowadays commonly used within model benchmarking systems (Kelley et al., 2016).
- 60 2013) or to evaluate further developments in process-oriented vegetation-fire models (Kloster et al., 2010; Lasslop et al., 2014; Yue et al., 2014). Despite such recent model developments, it is not clear which functional relationships, complexity, and model parametrizations are most adequate to represent fire activity (Hantson et al., 2016). Satellite observations of fire activity can be further integrated with fire models to estimate model parameters or to assess the
- adequacy of functional relationships (Knorr et al., 2014; Lasslop et al., 2015; Le Page et al., 2015). For example, parameters of empirical relations were optimized in SIMFIRE (simple fire model) to predict annual fire frequency from vegetation conditions, fire weather conditions, and population density (Knorr et al., 2014). Such parameter optimization approaches are one aspect of model-data integration or model-data fusion that encompasses a continuous cycle from the definition of model structures (i.e. predictor variables and functional relationships), estimation of model parameters, generalization or upscaling of the model, evaluation of model results, to model application and potentially back to a reformulation of the model structure
- 70 (Keenan et al., 2011; Williams et al., 2009). However, a full model-data integration cycle has been rarely applied in the development of global fire models.

In comparison to process-oriented global vegetation-fire models, data-driven approaches provide an alternative framework to understand and model climate, vegetation, and socioeconomic controls on fire activity. While the development of mathematical and computational process-oriented vegetation-fire models usually starts from a conceptual model (Gupta et al., 2012), data-

- 75 driven approaches aim to derive mathematical and computational models directly from the data (Solomatine and Ostfeld, 2008). In data-driven approaches, algorithms from artificial intelligence (e.g. neural networks), machine learning (e.g. random forest), or evolutionary algorithms (e.g. genetic optimization) are applied to predict a response variable (here burned area, or fire counts) from a set of potential predictor variables (Solomatine and Ostfeld, 2008). If an adequate data-driven model has been derived, the importance of individual variables and the sensitivities of the response variable to the predictor variables
- 80 allow to develop a conceptual model about the studied system (Solomatine and Ostfeld, 2008). In global fire modelling, datadriven fire models have been developed using machine learning algorithms such as generalized linear models (Bistinas et al., 2014), maximum entropy (Parisien et al., 2016), or random forest (Aldersley et al., 2011; Archibald et al., 2009) mainly to

identify controls on fire activity. However, such machine learning models often have complex structures, are seen as "black boxes", and thus cannot be easily adapted or even implemented within process-oriented global vegetation/fire models.

- 85 Alternatively, empirical fire models like SIMFIRE (Knorr et al., 2014) could be generalized to integrate several different candidate predictor variables and to then assess the importance and functional relationships. Consequently, such a flexible data-driven but functional fire modelling approach would allow exploring different predictor variables similar as in machine learning algorithms while potentially revealing model structures that can be more easily adapted for process-oriented vegetation-fire models.
- 90 Satellite observations provide several datasets on vegetation and moisture conditions that can be used as predictor variables in data-driven fire models. Time-variant biomass datasets would be the first choice to represent fuel loads in empirical fire models because the availability of fuel is a prerequisite for fire activity (Krawchuk and Moritz, 2011). However, current global biomass maps are static (Avitabile et al., 2016; Saatchi et al., 2011; Thurner et al., 2014) and thus provide only limited information for fire modelling. Consequently, other proxies of vegetation biomass such as model-based net primary production (NPP) (Bistinas)
- 95 et al., 2014; Moritz et al., 2012), satellite-derived vegetation cover (Bistinas et al., 2014; Lehsten et al., 2010), or the fraction of absorbed photosynthetic active radiation (FAPAR) (Knorr et al., 2014) have been used as proxies for fuel loads in global empirical fire models. As an alternative, satellite retrievals of vegetation optical depth (VOD) might be used as proxy for fuel loads. VOD is a vegetation variable that is derived from active or passive microwave satellite observations and is related to vegetation density and water content (Liu et al., 2011b, 2013a, Vreugdenhil et al., 2016a, 2016b). VOD has a higher sensitivity
- 100 to forest biomass than FAPAR (Andela et al., 2013) and was used to estimate temporal changes in biomass (Liu et al., 2015). Thus VOD might be a valuable predictor variable for the biomass-driven variability in fire activity. Satellite datasets of surface soil moisture might be valuable proxies for the moisture of surface fuels in empirical fire models (Krueger et al., 2015, 2016) because they represent the top ~3 cm of the soil (Dorigo et al., 2015). Such datasets might potentially provide useful information for empirical fire models to represent fuel loads, fuel moisture, or fire weather conditions.
- 105 Here we aim to describe and apply a flexible data-driven fire modelling approach, called SOFIA (Satellite Observations for FIre Activity). The SOFIA approach provides a framework to identify the importance and the functional relationships between observational datasets and the spatial and temporal variability of burned area while revealing model formulations that could be easily adapted for more complex vegetation-fire models. We test the approach using observational datasets of land cover, climate conditions, soil moisture, vegetation state, and socioeconomics. Based on the philosophy of model-data integration,
- 110 we generated several different candidate model structures, and optimized and evaluated each model against observed burned area time series. Additionally, we simulated global burned area with the random forest machine learning approach and with a process-oriented vegetation-fire model (JSBACH-SPITFIRE) to compare the performance of the derived SOFIA models with two independent state-of-the art data-driven and process-oriented modelling approaches, respectively. We used random forest to test if a more flexible modelling approach than SOFIA results in higher performances. In comparison to random forest,
- 115 SOFIA has the advantage that it could be easily transferred to or implemented in global process-oriented vegetation-fire models. The SPITFIRE fire module within the JSBACH (Jena scheme for biosphere-atmosphere coupling in Hamburg) land surface model (Lasslop et al., 2014; Rabin et al., 2016) was used to compare SOFIA results with a global process-oriented vegetation-fire model.

We first describe the observational datasets and the derived variables that we used to develop SOFIA models (Sect. 2).

- 120 Secondly, we describe the SOFIA approach, and the JSBACH-SPITFIRE and random forest modelling approaches (Sect. 3). In Section 4, we first present the global performance and complexity of SOFIA models (Sect. 4.1) and how several predictor variables contribute to model performance (Sect. 4.2). Then we compare the best performing SOFIA models globally against random forest and JSBACH-SPITFIRE (Sect. 4.3) and apply the best SOFIA model to explore spatial patterns of the sensitivity between predictor variables and burned area (Sect. 4.4). Finally, we discuss the performance and equifinality of our results
- 125 (Sect. 5.1), the importance of certain predictor variables for global fire modelling (Sect 5.2), and suggest the use of multiple

datasets, data-driven modelling and model-data integration approaches to improve global process-oriented vegetation-fire models (Sect. 5.3).

2 Datasets and predictor variables for model development

We used datasets of global monthly burned area as response variable and several datasets on land cover, climate, soil moisture,

- 130 vegetation state, and socioeconomic factors as predictor variables in model development. To make a pre-selection of relevant predictor variables, we first tested the predictive performance of various candidate variables such as absolute values, anomalies, or long-term precedent mean values of precipitation, wet days, soil moisture, or vegetation state using random forest (Figure A1). We generally found a higher importance of the absolute variables than of the anomalies. For the development of SOFIA models, we finally selected a set of candidate predictor variables based on their importance, their
- 135 interpretability, and based on how closely they are related to fire activity (by avoiding variables that account for indirect effects) (Table 1).

We based the analysis mostly on long-term harmonized or multi-satellite merged datasets in order to derive appropriate SOFIA models for long-term (i.e. decadal) variability in burned area that is covered for the period 1995–2015 of the GFED burned area dataset (Giglio et al., 2013). Although state-of-the-art single satellite sensors may provide information in higher quality,

140 the use of such datasets would restrict the temporal coverage of the analysis. Given the common coverage of the used predictor datasets, the analysis was consequently performed for the period 1997–2011, on monthly time steps, and on a 0.25° spatial resolution. This is also comparable to common application domains of state-of-the art global process-oriented vegetation-fire models (Rabin et al., 2016). Datasets were temporally and spatially aggregated or interpolated if they originally differed from these temporal and spatial resolutions (details in the following sections for each dataset).

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Table 1: Description of used datasets and derived predictor variables.

Dataset	Derived variables	Description									
Burned area (re	esponse variable)										
	GFED burned area versi	on 4 (Giglio et al., 2013), http://www.globalfiredata.org									
	GFED.BA	Fractional burned area of a 0.25° grid cell, used for optimization of SOFIA models									
	ESA Fire CCI burned are	ea version 4.1 (Chuvieco et al., 2016), http://cci.esa.int/data									
	CCI.BA	Fractional burned area of a 0.25° grid cell, independent dataset in evaluation									
Predictor varia	bles										
Land cover / pl	ant functional types (PFT	īs)									
	ESA land cover_cci vers	ion 1.6.1, http://maps.elie.ucl.ac.be/CCI/viewer/index.php									
	Land cover classes were	e translated to fractional coverages of plant functional types (PFTs) in 0.25° grid cells (Poulter									
	et al., 2015a) (Table A 1).										
	CCI.LC.Tree.BE	Broadleaved evergreen trees									
	CCI.LC.Tree.BD	Broadleaved deciduous trees									
	CCI.LC.Tree.NE	Needle-leaved evergreen trees									
	CCI.LC.Tree.ND	Needle-leaved deciduous trees									
	CCI.LC.Shrub.BE	Broadleaved evergreen shrubs									
	CCI.LC.Shrub.BD	Broadleaved deciduous shrubs									
	CCI.LC.Shrub.NE	Needle-leaved evergreen shrubs									
	CCI.LC.Herb	Natural grass and herbaceous vegetation									
	CCI.LC.Crop	Cropland and managed grass									
	CCI.LC.HrbCrp	Natural and managed grass and croplands = Herb + Crop									
	CCI.LC.Tree	Coverage of trees = Tree.BE + Tree.BD + Tree.NE + Tree.ND									
	CCI.LC.Shrub	Coverage of shrubs = Shrub.BE + Shrub.BD + Shrub.NE									
	CCI.LC.Broadleaf	Coverage of broadleaved vegetation = Tree.BE + Tree.BD + Shrub.BE + Shrub.BD									
	CCI.LC.Needleleaf	Coverage of needle-leaved vegetation = Tree.NE + Tree.ND + Shrub.NE									
Climate and so	il moisture										
	CRU TS3.23 climate dat	a (Harris et al., 2014), https://crudata.uea.ac.uk/cru/data/hrg/cru_ts_3.23									
	CRU.T.orig	Mean monthly air temperature (°C)									
	CRU.T.annual	Mean air temperature in the actual month and the 12 precedent months									
	CRU.WET.orig	Monthly number of wet day									
	CRU.WET.annual	Mean number of wet days in the actual month and the 12 precedent months									
	CRU.DTR.orig	Mean monthly diurnal temperature range (K)									
	GPCC precipitation version	ion 7, http://dx.doi.org/10.5676/DWD_GPCC/FD_M_V7_050									
	GPCC.P.orig	onthly total precipitation (mm)									

	GPCC.P.annual	Total precipitation in the actual month and the 12 precedent months								
	ESA soil moisture_cci ve	ersion 02.3, <u>http://cci.esa.int/data</u>								
	CCI.SM.orig	Mean monthly surface soil moisture								
	CCI.SM.annual	Mean surface soil moisture in the actual month and the 12 precedent months								
Vegetation stat	e									
	GIMMS fraction of absor	bed photosynthetic active radiation version 3g (Zhu et al., 2013),								
	http://cliveg.bu.edu/modi	smisr/lai3g-fpar3g.html								
	GIMMS.FAPAR.orig	Mean monthly FAPAR								
	GIMMS.FAPAR.pre	FAPAR in the precedent month								
	GIMMS.FAPAR.annual	Mean FAPAR in the 12 precedent months								
	Multi-sensor harmonized vegetation optical depth (Liu et al., 2011b, 2015), provided by Y. Liu									
	Liu.VOD.orig	Mean monthly VOD								
	Liu.VOD.pre	VOD in the precedent month								
	Liu.VOD.annual	Mean VOD in the 12 precedent months								
Socioeconomic	s									
	GRUMP population dens	sity version 1 (years 1990, 1995, 2000) (Balk et al., 2006), <u>http://dx.doi.org/10.7927/H4R20Z93</u>								
		Population density (individuals km-2), median estimate of three methods for temporal inter-								
	PD.med	and extrapolation (spline interpolation, linear interpolation, interpolation with last value as								
		constant)								
	Night light development i	index (year 2006) (Elvidge et al., 2012), <u>http://ngdc.noaa.gov/eog/dmsp/download_nldi.html</u>								
	NLDI	Night light development index, but grid cells without night lights or population set to 1.01								

2.1 Burned area

Global monthly burned area data was taken from the Global Fire Emissions Database (GFED) (Giglio et al., 2013) and the

- ESA Fire CCI datasets (Chuvieco et al., 2016). GFED version 4 provides monthly burned area time series on a 0.25° spatial resolution for the period 1995-2015 based on a combination of the MODIS burned area product (from 2000 onwards) with active fire observations from VIRS (Visible and Infrared Scanner) and ATSR (Along-Track Scanning Radiometer) (before 2000) (Giglio et al., 2013). Fire CCI version 4.1 provides burned area time series on 0.25° spatial resolution for the period 2005-2011 based on a combination of MERIS data and MODIS thermal anomalies (Alonso-Canas and Chuvieco, 2015;
- 155 Chuvieco et al., 2016). Because of the longer temporal coverage, the GFED dataset was used as the response variable in model development and for model evaluation. The Fire CCI dataset was used as an independent burned area dataset in model evaluation. Differences between the two datasets reflect the uncertainty in satellite-derived burned area. For both datasets burned area is expressed as the fractional burned area of a 0.25° grid cell.

2.2 Land cover

- 160 Land cover data was taken from the ESA land cover CCI product which provides three global land cover maps at 300 m spatial resolution covering the epochs 1998-2002, 2003-2007, and 2008-2012. We did not use the original land cover classification of the maps but translated land cover classes into plant functional types (PFTs) to be comparable with the classification used in global vegetation models (Poulter et al., 2011). The translation followed largely the rules by Poulter et al. (2015a) with some modifications to avoid coverage of broad-leaved evergreen trees and shrubs in boreal and arctic regions (Table A 1). The
- 165 following nine PFTs were derived: broadleaved evergreen tree and shrub (Tree.BE, Shrub.BE), broadleaved deciduous tree and shrub (Tree.BD, Shrub.BD), needle-leaved evergreen tree and shrub (Tree.NE, Shrub.NE), needle-leaved deciduous tree (Tree.ND), natural grass or herbaceous vegetation (Herb), and managed grasslands or crops (Crop). The land cover maps were spatially aggregated and expressed as the fractional coverage of PFTs within a 0.25° grid cell.

We further aggregated the coverage of PFTs within each 0.25° grid cell to the total coverages of trees (Tree = sum of all tree
PFTs, Table 1), shrubs (Shrub), and herbaceous vegetation including croplands (HrbCrp = Herb + Crop). To potentially characterise fuel types based on the dominant leaf type, PFTs were further aggregated into needle-leaved (Needleleaf) and broadleaved vegetation (Broadleaf) vegetation.

As land cover distribution is affected by fires, the land cover maps may regionally contain effects of past fires. Consequently, it can happen that fire activity is explained with the impact of the actual fire activity already present in a land cover map. We

tried to reduce this effect by shifting the land cover maps by 2 years. This means that the map for the epoch 1998–2002 is used

for the years ≤ 2004 , the map for the epoch 2003–2007 for the period 2005–2009, and the map for the period 2008–2012 for the years ≥ 2010 . However the three maps have only marginal temporal differences so that the impact of assigning land cover maps to certain years is rather small.

2.3 Climate

- 180 We used monthly data of mean air temperature, diurnal temperature range (DTR), and monthly number of wet days from the Climate Research Unit (CRU) TS3.2 dataset (Harris et al., 2014). DTR has been long used as predictor for fire weather conditions because it is sensitive to stable weather conditions that are usually associated to low humidity and are supportive for fire activity (Bistinas et al., 2014; Venevsky et al., 2002). These datasets provide monthly climate time series at 0.5° resolution based on spatially interpolated weather station observations. Precipitation was taken from the Global Precipitation
- 185 Climatology Center (GPCC) version 7 dataset (Schneider et al., 2015). All climate datasets were resampled to 0.25° using the nearest neighbour method in order to avoid smoothing of climate anomalies through alternative resampling methods such as bilinear interpolation.

We used the monthly values and long-term conditions of climate datasets as predictor variables (Table 1). As long-term conditions, we computed the mean temperature, mean diurnal temperature range, mean number of wet days, and the total precipitation of the actual month and the 12 precedent months.

2.4 Soil moisture

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Surface soil moisture was taken from the ESA CCI soil moisture dataset (version 02.3 COMBINED) which is based on a merging of soil moisture products from various active and passive satellite sensors (Dorigo et al., 2015; Liu et al., 2011a, 2012). The dataset represents the upper soil layer (~ 2cm) and is available on a 0.25° spatial resolution and daily time step for

- 195 the period 1979-2015. The long-term dynamic of the soil moisture dataset is consistent and environmentally plausible as demonstrated in a comparison with precipitation, soil moisture, and normalized difference vegetation index trends from independent datasets or land surface models (Albergel et al., 2013; Dorigo et al., 2012). As soil moisture cannot be accurately retrieved underneath dense (tropical) forests, estimates are not available in all regions
- and thus the dataset has spatial gaps. We excluded such grid cells in the full analysis. Soil moisture time series were aggregated
 to monthly mean values. Temporal gaps in soil moisture time series were filled using a season-trend regression model as described in Forkel et al. (2013) and based on Verbesselt et al. (2010a, 2010b) but without accounting for breakpoints. However, some years in some grid cells were excluded from the entire analysis if soil moisture estimates were only available for less than 3 months within this year.

We used the monthly soil moisture values and long-term soil moisture conditions as predictor variables (Table 1). Long-term soil moisture conditions were computed as the mean soil moisture of the actual month and the 12 precedent months.

2.5 Vegetation state

To account for effects of vegetation phenology, biomass, or vegetation water content on fire activity, we used the GIMMS3g FAPAR (Zhu et al., 2013) and a VOD dataset (Liu et al., 2011b). GIMMS3g FAPAR is a long-term multi-sensor merged dataset of FAPAR and is based on the GIMMS3g NDVI (Normalized Difference Vegetation Index) dataset with a spatial

210 resolution of 1/12° and a temporal resolution of 16 days for the period 1981 to 2012 (Pinzon and Tucker, 2014). GIMMS3g FAPAR was aggregated to 0.25° spatial resolution and averaged to monthly time steps. VOD by Liu et al. (2011b) is a long-term harmonized dataset from several passive microwave sensors. The VOD dataset has a spatial resolution of 0.25° and a monthly temporal resolution for the period 1988-2012.

Permanent gaps in FAPAR or VOD time series (mostly gaps occurring in winter in northern latitudes) were filled with the

215 minimum value of each time series (Forkel et al., 2015) and remaining gaps were filled using the season-trend regression model (Forkel et al., 2013).

We used the monthly FAPAR or VOD values of the precedent month as predictor variables because vegetation of the actual month is likely affected by the fire event which we aim to explain. Additionally, we computed mean FAPAR and VOD of the 12 precedent months as long-term vegetation state predictor variables.

220 2.6 Socioeconomic variables

We used satellite-based datasets on population density and socioeconomic development as predictor variables for burned area. Population density (PD) was taken from the Global Rural-Urban Mapping Project (GRUMP) V1 dataset (Balk et al., 2006). This dataset is based on (sub-)national population statistics, satellite observations of night-time lights, and the spatial distribution of cities to provides estimates of population density on a 1 km grid for the years 1990, 1995, and 2000. The dataset

- 225 was aggregated to 0.25°. The dataset was temporally interpolated between 1990 and 2000 and extrapolated between 2000 and 2011 for each grid cell to achieve a full coverage for the period 1997-2011. The interpolated time series is the median estimate from three interpolation methods (repeating last value as a constant, linear interpolation, spline interpolation). This allowed to make use of the temporal information of the population density dataset.
- As an indicator for socioeconomic development, we used the night light development index (NLDI) (Elvidge et al., 2012). 230 NLDI is derived from satellite observations of light emissions during night and an independent estimate of population density. NLDI ranges between 0 (light emissions equally distributed among people, highest development) and 1 (light emissions concentrated at one person, lowest development). NLDI is highly correlated with electrification rates and the human development index (Elvidge et al., 2012). The dataset is available on a 0.25° spatial resolution for the year 2006. NLDI is not available for grid cells without population or without detected night lights, which introduces gaps in the global NLDI map.
- 235 We filled these gaps with a value of 1.01 (indicating very low development or natural ecosystems) in order to not introduce spatial gaps of the NLDI dataset in the empirical modelling of burned area.

3 Modelling approaches and model-data analysis

3.1 SOFIA modelling approach

SOFIA is a data-driven fire model approach that allows to test several alternative functional relationships and associated variables to predict fractional burned area. The basic structure of SOFIA fire models is inspired by SIMFIRE (simple fire model) which uses empirical relationships to estimate fire frequency from vegetation (i.e. FAPAR), fire weather conditions, and socioeconomic variables (Knorr et al., 2014). In SOFIA we generalize the SIMFIRE approach by using and testing several alternative predictor variables as controls for fire activity. Each SOFIA model structure is based on the assumption that potentially the entire vegetated area can burn but burning is actually restricted by several functional relationships to controlling factors:

$$BA_{t} = \sum_{g=1}^{g=N} A_{g} * f_{g,t}$$
(1)

where *BA* is the fractional burned area of a grid cell at time step t, A_g is the fractional coverage of land cover group g, and f_g is a factor that controls fire spread [0 = fully restricted burning and 1 = un-constrained burning] for a specific land cover group. Land cover groups g can for example be classified according to growth forms (trees, shrubs, grasses, crops), plant functional

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types (PFTs), or any other potentially meaningful separation of land cover. The factor
$$f_g$$
 is a product of individual functions that represent climatic, environmental, and socioeconomic controls on fire:

$$f_g = \prod_{i=1}^{i=N} f(x_{i,g}) \tag{2}$$

$$f(x_{i,g}) = \min\left[1, \frac{\max_{g,i}}{1 + e^{\left(-sl_{i,g} \times (x - x0_{i,g})\right)}}\right]$$
(3)

where x is the value of an environmental or socioeconomic variable i; and max, sl and x0 are parameters of a logistic function.

- We used the minimum value from 1 and the logistic function, and included *max* as a free parameter to allow the representation of exponential relationships within the basic structure of logistic functions. Parameters of the logistic functions can be either defined per vegetation cover group or as global parameters. Variables *x* can be for example vegetation state variables such as FAPAR or VOD to represent fuel loads, climate variables such as the number of wet days or diurnal temperature range to represent fire weather conditions, and socioeconomic variables such as population density or NLDI to represent human effects
- 260 on fire activity. Consequently, the development of an actual SOFIA model requires two steps, namely the definition of a model structure (i.e. selection of candidate predictor variables, Sect. 3.2) and the estimation of the model parameters (Sect. 3.3). SOFIA models allow to reproduce the typical right-tailed distribution of burned area (i.e. many grid cells and months with no burned area in comparison to relatively few grid cells and months with fire activity). The underlying functional relationships can take step-wise, linear, sigmoidal, or exponential shapes depending on the parameters of the logistic functions (Figure 1).
- 265 Similar model structures like SOFIA where a response variable is controlled by a product of several functions have been previously applied in environmental modelling for example in light-use efficiency models to simulate NPP (Cai et al., 2014; Nemani et al., 2003) or in phenology models to simulate leaf development (Forkel et al., 2014; Jolly et al., 2005; Stöckli et al., 2011). The response value of the functional relationship can also be used to map sensitivities of burned area to environmental or socioeconomic variables. Such a mapping of controls was previously done for plant productivity (Nemani et al., 2003) and
- 270 phenology (Forkel et al., 2014; Jolly et al., 2005) based on red-green-blue (RGB) composite maps. Here we will demonstrate how this approach can be used to investigate spatial patterns of sensitivities between burned area and climatic, environmental and socioeconomic controls on fire activity.



275 Figure 1: Example of a SOFIA model structure with three land cover groups (i.e. herbaceous vegetation and crops, shrubs, trees) and five controlling factors on fire activity. The example is taken from the SOFIA model SF.124421 (Table 2). (a) Histogram of the simulated fractional burned area. Response functions of fractional burned area on (b) night light development index, (c) diurnal temperature range, (d) number of wet days, (e) fraction of absorbed photosynthetic active radiation in the month before a fire, and (f) mean vegetation optical depth in the 12 precedent months. max, sl, and x0 are parameters of the logistic functions.

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3.2 Testing controlling factors and predictor variables in SOFIA models

To test appropriate controlling factors and related predictor variables in SOFIA models, we defined several alternative model structures. Each SOFIA model uses a specific land cover grouping scheme and several functional relationships for fire activity. We tested different land cover grouping schemes to assess the required complexity of SOFIA models to regionalize model parameters. As grouping schemes we either used growth forms ("GrowthForm" including the variables *Tree*, *Shrub*, and *HrbCrp*; Table 1), growth forms with crops separated from herbaceous vegetation ("GrowthFormCrop" including *Tree*, *Shrub*, *Herb*, *Crop*), leaf types ("LeafType" including *Needleleaf*, *Broadleaf*, *Herb*, *Crop*), or PFTs ("PFT" using the nine PFTs). Differences between GrowthForm and GrowthFormCrop will allow assessing if a separation of croplands from herbaceous vegetation is necessary to explain fire activity. The LeafType grouping scheme may potentially be useful because needles

290 usually decompose slower than broadleaves and thus form larger pools of litter fuel. Differences between GrowthFormCrop and LeafType allow assessing if model parameters should be separated rather by growth form or by leaf type. The PFT land cover grouping scheme is finally used to assess if the interaction of growth forms and leaf types is required to regionalize model parameters.

We defined five controlling factors on fire activity and assigned several corresponding predictor variables to each controlling factor to evaluate required components of SOFIA models:

- 295
 - 1. Human influences represent potential relations between socioeconomic indicators and burned area. As predictor variables we used either population density with a global parameter set (PD), NLDI with a global parameter set (NLDI), or NLDI with parameters that vary per land cover group (NLDI.g).

2. Temperature effects represent potential relations between diurnal temperature range (CRU.DTR.orig) or long-term air 300 temperature (CRU.T.annual) and burned area.

- 3. Direct wetness effects represent the obvious restriction of fire activity by wet conditions. We included either the actualmonth number of wet days (CRU.WET.orig), precipitation (GPCC.P.orig), or surface soil moisture (CCI.SM.orig).
- 4. Direct vegetation effects represent potential relations between the precedent vegetation state and burned area. Therefore we either used previous-month FAPAR (GIMMS.FAPAR.pre) or VOD (Liu.VOD.pre) as predictor variables.
- 305 5. Long-term wetness or vegetation effects represent potential relations between long-term averaged precedent conditions of wetness or vegetation variables and burned area. Several reasons exist to test long-term averaged predictor variables as structural components of SOFIA models. Firstly, long-term conditions of precipitation and soil moisture are strongly linked to plant productivity especially in semi-arid ecosystems and thus might represent variations in vegetation and fuel loads. Secondly, long-term conditions of FAPAR and VOD are more closely related to vegetation coverage or biomass 310 and thus might better represent fuel loads than the actual monthly values. As predictor variables for long-term conditions,
 - we used aggregated values from the 12 precedent months for the number of wet days (CRU.WET.annual), precipitation (GPCC.P.annual), soil moisture (CCI.SM.annual), FAPAR (GIMMS.FAPAR.annual), or VOD (Liu.VOD.annual).

We also allowed that a certain controlling factor is not included in a model to test if this controlling factor is generally needed in the SOFIA model. This setup of controlling factors and associated predictor variables allows the definition of several

- 315 candidate model structures (Table A 2). For example, the SOFIA model SF.124421 (the coding is described in Table A 2) used growth forms as land cover grouping scheme, NLDI for human influences, diurnal temperature range as temperature effect, the number of wet days as direct wetness effect, previous-month FAPAR as direct vegetation effect, and long-term precedent VOD as long-term vegetation effect (Figure 1). The model structure determines the complexity which we assess here based on the number of controlling factors within a SOFIA model and on the number of parameters N in a model (N = number of
- 320 controlling factors * number of land cover groups * 3 parameters). We required that SOFIA models included at least 3 controlling factors and have less than 100 parameters. This results in 2712 candidate SOFIA models. We optimized and evaluated 95 randomly selected models from the set of candidate models (Table A 2). Although this selection does not allow a full factorial assessment of controlling factors and predictor variables in SOFIA models, it is a trade-off between computational feasibility and an assessment of the tendency of a factor regarding model performance.

325 3.3 Optimization and evaluation of SOFIA models

3.3.1 Model optimization

After the definition of candidate SOFIA models, parameters for each controlling function need to be estimated for each model to achieve an optimal performance. The parameters p of the logistic functions of each controlling factor were estimated by minimizing the sum-of-squared error (SSE) between the monthly observed (obs) and simulated (sim) fractional burned area:

330
$$SSE = \sum_{i=1}^{l=N} (sim_i - obs_i)^2$$

(4)

where *i* is an index over grid cells and months. We also tested alternative cost functions in the optimization which transform burned area data, explicitly account for variance or which were based on burned area anomalies instead of absolute area in order to potentially better predict the variability of observed burned area (Table A 3).

The minimization of SSE was performed by applying a genetic optimization algorithm. The used algorithm (GENOUD, genetic

- 335 optimization using derivatives) combines a global search algorithm (i.e. genetic optimization) with a local search algorithm (i.e. BFGS) (Mebane and Sekhon, 2011). GENOUD was already previously used to estimate parameters in a dynamic global vegetation model (Forkel et al., 2014). Here we applied GENOUD by using 500 individuals (i.e. parameter sets) per generation, and allowed the algorithm to run for maximum 30 generations. The parameter sets of the first generation were generated randomly. The second generation is generated by using several operators to clone, mutate, and crossover the best parameter
- 340 sets of the first generation (Mebane and Sekhon, 2011). The BFGS local search algorithm was first used starting from the best parameter set that evolved in the 28th generation in order to avoid a too fast convergence of the algorithm towards a local optimum.

3.3.2 Model selection and evaluation

We selected the best performing SOFIA models from all optimized candidate models based on the Akaike Information
 345 Criterion (AIC) (Burnham and Anderson, 2002). AIC is a metric to empirically infer appropriate model structures from several candidate models based on performance (in terms of SSE) and by penalizing for model complexity (in terms of the number of model parameters *N*):

$$AIC = 2 \times N - 2 \times log(e^{-SSE})$$
⁽⁵⁾

Given a certain performance threshold, the best model has the lowest AIC value (Burnham and Anderson, 2002).

350 To evaluate the simulated spatial-temporal patterns and temporal dynamics of fractional burned area, we used the index of agreement (IoA) and the fractional variance (FV) (Janssen and Heuberger, 1995):

$$IoA = 1 - \frac{\sum_{i=1}^{i=N} (obs_i - sim_i)^2}{\sum_{i=1}^{i=N} (|sim_i - \overline{obs}| + |obs_i - \overline{obs}|)^2}$$

$$FV = \frac{\sigma_{sim} - \sigma_{obs}}{\sigma_{sim} - \sigma_{obs}}$$
(6)

$$FV = \frac{\sigma_{sim} - \sigma_{obs}}{\sigma_{o5} \times (\sigma_{sim} + \sigma_{obs})}$$
(7)

where \overline{obs} , \overline{sim} and σ_{obs} , σ_{sim} are the means and variances of the observations and simulations, respectively. IoA ranges between 0 (worst fit) and 1 (best fit) and is an overall efficiency metric that is sensitive to correlation and bias. FV ranges

between -2 and 2 (best agreement at 0) where negative values indicate an underestimation and positive values an overestimation of the observed variance.

3.3.3 Data sampling for model optimization and evaluation

- We sampled several grid cells from the global datasets (0.25° resolution) to optimize and evaluate all candidate SOFIA models.
 A sampling of grid cells is necessary to retain enough independent data for evaluation of SOFIA models and because optimization of all SOFIA models on the entire global datasets with 0.25° spatial resolution, monthly time steps, and 15 years was computationally not feasible. However the sampling needs to represent the global spatial patterns and the entire statistical distribution of burned area, including extreme fire events. Therefore, we performed a sampling of grid cells stratified by regions (representing biomes) and by the statistical distribution of burned area. We first computed the maximum annual burned area
- 365 for all grid cells in 1997-2011 to represent the spatial distribution of extreme fire years. Regions were defined based on land cover and climate zone (Kottek et al., 2006) (Figure A 2). For each region, we classified the annual maximum burned area of each 0.25° grid cell into 100 classes according to regional quantiles of the maximum annual burned area (e.g. class 1 covers quantile 0 (minimum) to quantile 0.01 and the last class covers quantile 0.99 to 1 (maximum) of regional annual maximum burned area). We then randomly sampled grid cells for each regional quantile class. In total, 3161 grid cells were sampled with
- 370 most of the cells in savannahs and tropical croplands (n = 953, largest region) and fewest cells in boreal needle-leaved deciduous forests (n = 135, smallest region) (Figure A 2 b). Consequently, the sampled grid cells are representative for the global statistical distributions (Figure A 2 c-e) and for spatial patterns of fire activity (Figure A 2 f).

The sampled grid cells were further divided into a subset for optimization (60% of the sampled grid cells) and for evaluation (40% of the sampled grid cells). The time periods in both subsets was further divided according to years for which the monthly

- 375 data was used for optimization (even years in 1998 to 2010) and for which the monthly data was used for evaluation (uneven years in 1997 to 2011). We used every second year for optimization or evaluation to avoid that potential temporal changes in the quality of multi-sensor satellite datasets (e.g. burned area, soil moisture, FAPAR, and VOD) affect the evaluation of model results. Based on this sampling scheme, 1817 grid cells (= 152.628 monthly observations in even years) were used for optimization and 1212 grid cells (= 116.352 monthly observations in uneven years) were used for evaluation. Note that fewer
- 380 observations were used in the optimization and evaluation subsets for the comparison against the Fire CCI burned area dataset because this dataset starts only in 2005.

We applied the best-performing SOFIA models to all global 0.25° grid cells to compare them globally with the GFED and CCI burned area datasets and with JSBACH-SPIFIRE. From these global results, we compared maps of mean annual burned area and regional statistical distributions and temporal dynamics of annual burned area for the period 2005 to 2011. Therefore

385 we aggregated burned area from the datasets and from the best SOFIA models to the coarse spatial resolution of JSBACH (1.875*1.875°).

3.4 Data-driven fire modelling with random forest

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We used the random forest machine learning approach to evaluate if the basic structure of SOFIA models is flexible enough to predict burned area or if a more flexible modelling approach can reach higher performances. Random forest is a regression approach that can consider non-linear, non-monotonic and abrupt, and non-additive relations between multiple predictor variables and a response variable (Breiman, 2001). Random forest is an ensemble of multiple regression trees that are trained

- based on the response variable. Each tree uses a randomly selected set of predictor variables and data points (Breiman, 2001). Random forest was already previously applied to identify controls on vegetation dynamics and on fire activity (Aldersley et al., 2011; Archibald et al., 2009). We used 500 trees per random forest. For the training of the random forest, we used the same
- 395 data subset that was also used to optimize SOFIA models (Sect. 3.3.3). The analysis was performed using the randomForest package in R (Liaw and Wiener, 2002).

We performed three different random forest model experiments. The model experiment RF1 used all predictor variables from Table 1 to explore the potential performance of the used datasets to predict burned area. The model experiment RF2 used all predictor variables except the variables from the soil moisture dataset in order to apply random forest globally and to compare

400 the results with SOFIA independent of the spatial gaps of the soil moisture dataset. The model experiment RF.124421 uses the same predictor variables as the SOFIA model SF.124421 (i.e. CCI.LC.Tree/Shrub/HrbCrp, NLDI, CRU.WET.orig, Liu.VOD.annual, GIMMS.FAPAR.pre, CRU.DTR.orig) in order to compare the performance of the two model approaches based on the same predictor variables.

3.5 Process-oriented fire modelling with JSBACH-SPITFIRE

405 We simulated burned area with the SPITFIRE (spread and intensity of fire) fire module within the JSBACH (Jena Scheme for Biosphere-Atmosphere Coupling in Hamburg) land surface model in order to compare the performance of SOFIA models to a state-of-the art global vegetation-fire model. This comparison potentially allows us to provide suggestions for the further development of global vegetation-fire models.

JSBACH is the land component of the MPI (Max Planck Institute for Meteorology) Earth system model (Raddatz et al., 2007).

410 SPITFIRE is a physically based fire module that simulates fire ignitions (based on lightning and population density), fire spread, and fire effects depending on weather conditions, vegetation type and structure, fuel moisture, and fuel size (Thonicke et al., 2010). SPITFIRE was originally developed for the LPJ (Lund-Potsdam-Jena) dynamic global vegetation model (Thonicke et al., 2010). For the implementation of SPITFIRE in JSBACH, two parameters in SPITFIRE were adjusted, one

related to human ignitions and the other related to the drying of fuels (Lasslop et al., 2014). Additionally, the relation between

415 wind speed and the rate of fire spread was modified (Lasslop et al., 2015) and a decrease of fire duration with increasing population density was implemented (Hantson et al., 2015a).

JSBACH was applied on a spatial resolution of 1.875°*1.875°. JSBACH runs on a half-hourly time step, while the SPITFIRE module is called at daily time steps. A detailed description of the simulation setup is given in the FireMIP (fire model intercomparison project) protocol from which we use the JSBACH baseline simulation SF1 (Rabin et al., 2016). Following a spinup

- 420 period to equilibrate carbon pools (continued until the slow carbon pool varied less than 1% between consecutive 50-year periods), a transient simulation was started in 1700. Data on land use (Hurtt et al., 2011) and population density (Goldewijk et al., 2010) were used starting in 1700 and interpolated to annual resolution. The CO₂ concentration of the atmosphere was provided starting from 1750 at annual resolution (Le Quéré et al., 2014). CO₂ concentration before 1750 was set to the value of 1750. Climate forcing is based on the CRUNCEPv5 dataset (1901-2013) (Wei et al., 2014). Climate data was recycled over
- 425 the years 1901-1920 before 1901.



430

Figure 2: Effect of complexity of SOFIA models on the performance. Model performance is expressed as the index of agreement between simulated and observed (GFED) monthly burned area time series in the optimization data subset. (a) Scatterplot of the index of agreement against AIC classified by the used land cover grouping scheme. (b and c) Effect of the number of variables (= number of controlling factors) and parameters on model performance, respectively. The star symbol in (b) indicates a significantly higher IoA of models with 4 variables than the other groups (Wilcoxon rank sum test, p ≤ 0.05). The four best SOFIA models (IoA ≥ 0.395 and AIC ≤ 200) are highlighted by a red box in (a) and by coloured points in (b) and (c).

4 Results

435 **4.1 Performance and complexity of SOFIA models**

The optimized candidate SOFIA models covered wide ranges of complexities and performances (Figure 2, Table 2). The bestperforming SOFIA models reasonably explained the monthly spatial-temporal patterns of fractional burned area (i.e. up to IoA = 0.45 for SF.230512, Table 2) but underestimated the observed variance (i.e. negative FV, best FV = -1.44 for SF.204422). Although the comparison of the GFED and Fire CCI burned area datasets showed only a moderate agreement (IoA = 0.85 and

- 440 FV = 0.06), the performance of SOFIA models was similar for both datasets (Table 2). The performance of SOFIA models was very similar for the optimization and evaluation data subsets which shows that SOFIA models can be robustly applied to different spatial and temporal domains. The SOFIA model with the lowest AIC considered only three controlling factors and had 21 parameters (SF.124002, Table A 2). However, this model reached only a poor performance (IoA = 0.29 and FV = -1.68 in the optimization subset). Consequently, this model is not suited to simulate global fire activity. Therefore we selected the
- best SOFIA models according to both performance (IoA \ge 0.4) and AIC (AIC \le 200) (Figure 2 a). The four best SOFIA models had different combinations of predictor variables which demonstrates the equifinality in predicting global burned area.

However the results show that SOFIA models were robust enough to predict global monthly fractional burned area for different

spatial and temporal domains and using different datasets.

450 Table 2: Performance of the best SOFIA and of random forest models in predicting global distributed monthly burned area time series in the optimization and evaluation data subsets, respectively. Results for all SOFIA models are provided in Table A2. Please note that results for JSBACH-SPITFIRE are not included in this table because of its coarser spatial resolution.

			G	FED.BA a (1997-	CCI.BA as reference (2005-2011)						
Name	Model structure and included predictor variables	(⁾ (da	Optimizat 1817 cells, ita used fo	ion subset even year r optimizati	s) on)	Evalu subset cells, u yea	uation t (1212 uneven ars)	Optin su (ever	nization bset n years)	Evaluation subset (uneven years)	
		SSE	AIC	loA	FV	loA	FV	loA	FV	loA	FV
GFED	Comparison of GFED.BA with CCI.BA	-	-	-	-	-	-	0.78	-0.19	0.85	0.06
Best SOFIA mode											
SF.204422	GrowthFormCrop, CRU.WET.orig, Liu.VOD.annual, GIMMS.FAPAR.pre, CRU.T.annual	51.88	199.8	0.44	-1.44	0.39	-1.55	0.42	-1.53	0.41	-1.53
SF.203512	GrowthFormCrop, GPCC.P.orig, GIMMS.FAPAR.annual, Liu.VOD.pre, CRU.T.annual	52.17	200.3	0.43	-1.45	0.42	-1.54	0.45	-1.49	0.45	-1.51
SF.324202	LeafType, NLDI, CRU.WET.orig, GPCC.P.annual, CRU.T.annual	52.92	183.8	0.41	-1.49	0.37	-1.65	0.39	-1.59	0.35	-1.65
SF.124421	GrowthForm, NLDI, CRU.WET.orig, Liu.VOD.annual, GIMMS.FAPAR.pre, CRU.DTR.orig	53.40	184.8	0.40	-1.51	0.39	-1.51	0.39	-1.59	0.41	-1.51
Random forest me	odels										
RF1	Random forest based on all variables as in Table 1	8.36	-	0.95	-0.59	0.58	-1.24	0.77	-0.76	0.58	-1.24
RF2	Like RF1 but without CCI.SM variables	8.58	-	0.95	-0.60	0.58	-1.26	0.77	-0.77	0.58	-1.26
RF.124421	Random forest using the same variables as the SOFIA model SF.124421	24.05	-	0.81	-1.23	0.41	-1.69	0.65	-1.35	0.40	-1.70

We also tested if alternative cost functions in the optimization of SOFIA models would reduce the underestimation of the
observed variance of burned area. The tested alternative cost functions explicitly accounted for variance, burned area
anomalies, or were based on transformed burned area values (Table A 3). Although a cost function based on IoA and FV
reached better performances in terms of IoA (best IoA = 0.45 against CCI.BA in the evaluation subset) and reproduced the
observed variance of burned area (FV = 0 against GFED in the training subset), the resulting model overestimated mean
fractional burned area which is reflected by a high SSE (Table A 3). Other alternative cost functions resulted in weaker
performances than the default SSE cost function. Consequently, we used the SSE-based cost function for the optimization of

460 performances than the default SSE cost function. Consequently, we used the SSE-based cost function for the optimization all SOFIA models.

The performance of SOFIA models varied with model complexity. SOFIA models that used a higher number of controlling factors (n = 4 or 5) had in average a better performance than models with only three factors (Figure 2b). However, very complex SOFIA models with a high number of parameters (n = 70-90) did not necessarily result in higher performances than models

- 465 with an average number of parameters (n = 30-70, Figure 2c). Models with a low number of parameters (n < 30) had in average low performances but we also found some SOFIA models with few parameters that reached good performances (e.g. SF.124021 with only 30 parameters, Table A 2). The four best SOFIA models had between 30 and 50 parameters. The number of parameters in SOFIA models was mostly affected by the choice of a certain land cover grouping scheme to regionalize model parameters. Models that used the GrowthForm (3 groups), GrowthFormCrop or LeafType (both 4 groups) grouping</p>
- 470 schemes reached much lower AIC values than models that used the PFT grouping scheme (with 9 PFTs) (Figure 2a). These results demonstrate that SOFIA models with a higher number of predictor variables but a medium amount of model parameters reached the best performances in predicting global monthly spatial-temporal patterns of burned area.
 Random forest models reached slightly better performances than the best performing SOFIA models. The random forest model based on all variables reached very good performance in training (IoA = 0.95 for RF1) and moderate performances in the
- 475 evaluation subset (IoA = 0.58 for RF1, Table 2). The random forest models with (RF1) and without soil moisture variables (RF2) reached similar performances. Similar as for the SOFIA models, the employed random forests underestimated the observed variance. However when using random forest with the same set of predictor variables as SOFIA (RF.124421 vs. SF.124421), random forest reached even weaker performances (IoA = 0.4, FV= -1.7 in evaluation against CCI burned area)

than the corresponding SOFIA model. Thus the highly flexible structure of the random forest machine learning approach did not necessarily result in a much better performance than the best-performing SOFIA models. Consequently, the SOFIA 480 approach offers enough flexibility to assess different controlling factors and its functional relationships to predict burned area.



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Figure 3: Effect of controlling factors and associated predictor variables in SOFIA models on the performance in simulating global monthly burned area dynamics. Performance is expressed as the index of agreement between simulated and observed (GFED) monthly burned area for the training data subset. Boxplots show the distribution of IoA based on all SOFIA model experiments that include the respective variable. Star symbols indicate a significantly higher IoA of a variable in comparison to the "no" group of each controlling factor (Wilcoxon rank sum test, $p \le 0.05$). Distribution of IoA depending on the used (a) land cover grouping scheme; and variables to account for (b) human influence; (c) temperature effects; (d) direct wetness effects; (e) direct vegetation effects; and (f) long-term wetness or vegetation effects. The best models (IoA > 0.4 and AIC < 200) are highlighted with coloured dots.

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4.2 Required controlling factors and adequate predictor variables in SOFIA models

The performance of SOFIA models depended on the controlling factor and associated predictor variables that were used in model structures (Figure 3). The choice of a certain land cover grouping scheme in SOFIA models to regionalize model parameters had only weak effects on model performance (Figure 3a). Although models based on the GrowthForm scheme had

on average weaker performances than models based on land cover grouping schemes with croplands, the best SOFIA models were not related to a certain land cover grouping scheme.

Including human influences as controlling factors in SOFIA models did not improve model performance (Figure 3b). The best models either did not consider human influences or considered human influences through NLDI as global controlling function.

500 However, NLDI did in average not contribute to higher performances. SOFIA models that used population density had on average weaker performance than SOFIA models that used NLDI or that did not consider human influences. The weaker performance of population density as component in SOFIA models could be caused by the general model structure in which

potential burned area equals the total vegetated area: As highly populated areas are usually associated with low vegetation cover, potential burned area is low as well, and thus population density does not provide further information. Although two of

- 505 the best SOFIA models did not contain any variable for human influences (SF.204422, SF.203512), they however considered the fractional coverage of croplands in the used land cover grouping scheme. Consequently, these two models considered human influence on fire indirectly through the coverage of croplands. These results suggest that human influences on fire activity can be relatively interchangeably described in SOFIA models by the coverage of croplands, NLDI, or population density.
- 510 Considering temperature variables in SOFIA models caused on average better model performances than model structures without temperature variables (Figure 3c). However, we also found one model without a temperature control that reached good performance (SF.233210, Table A 2). All of the best performing models included diurnal temperature range or pre-fire annual mean temperature as controlling factors. These results show that temperature-related variables are important predictors in SOFIA.
- 515 The consideration of direct wetness effects in SOFIA models had the largest positive impact on model performance (Figure 3d). Models that did not consider direct wetness effects had lower performances than models that used soil moisture, precipitation, or the number of wet days. Especially models based on the number of wet days reached significant higher IoA than models without direct wetness effects (Wilcoxon rank sum test, $p \le 0.05$). Consequently, direct wetness effects on fire activity were a required component of SOFIA models to predict burned area.
- 520 Whether or not including direct vegetation controls did not lead to a significant change in performance of the SOFIA models (Figure 3e). The best models either did not consider direct vegetation effects (SF.324202), used pre-fire FAPAR (SF.204422, SF.124421), or pre-fire VOD (SF.203512). This suggests that precedent FAPAR and VOD conditions did not provide additional information to predict burned area in SOFIA models.
- On the contrary, considering long-term wetness or vegetation effects in SOFIA models caused significantly higher model 525 performances than not considering these effects (Figure 3f). Especially SOFIA models that used pre-fire annual precipitation or VOD reached significantly higher IoA. Models with long-term effects based on soil moisture, the number of wet days, or FAPAR had on average similar performances as models without long-term effects. However, we also found some good models that used long-term conditions of FAPAR (e.g. SF.203512). These results demonstrate that long-term conditions in vegetation productivity (reflected by annual precipitation) or vegetation structure (reflected by VOD or FAPAR) were required 530 components of SOFIA models to predict burned area.
 - Based on the performances of the different controlling factors and associated predictor variables, the ideal SOFIA model should include NLDI as human influence, one variable to account for temperature effects, the number of wet days as direct wetness effect, and pre-fire annual conditions of precipitation or VOD as long-term wetness/vegetation effects. This ideal model structure is realized in two of the best performing SOFIA models (SF.124421 and SF.324202, Figure 3). The choice of
- 535 a certain land cover grouping scheme or of a direct vegetation effect are secondary components of SOFIA model structures. The distribution of model parameters in SF.124421 after optimization reflects that parameters for the functional relationships with NLDI, the number of wet days, and VOD were well constrained and thus were the most sensitive parameters within this model to estimate global monthly burned area dynamics. These parameter estimates and distributions could be potentially used as prior parameter estimates to further constrain SOFIA models.

540 **4.3 Global evaluation of burned area from different modelling approaches**

4.3.1 Global spatial patterns

The best SOFIA models were applied globally to assess their performance in simulating global and regional spatial-temporal patterns of annual total burned area with respect to random forest models and JSBACH-SPITFIRE. All three model approaches reproduced well the global spatial pattern of mean annual burned area with large burned area in Africa, Australia, and Tropical

- 545 South America, and smaller amounts of burned area in the rest of the world (0.663 ≥ IoA ≤ 0.841, Figure 4). However models were often biased in comparison to the observational datasets. The global mean annual burned area was 341 Mha for the GFED dataset, 346 Mha for the CCI dataset, and is estimated much higher (464 Mha) based on assumptions about undetected small fires (Randerson et al., 2012). Although JSBACH-SPITFIRE overestimated global burned area (~32%) in comparison to the GFED and CCI datasets it was however tuned (by adjusting ignitions) to reproduce the burned area estimates including small
- 550 fires. Results from the SOFIA and random forest models cannot be directly compared to these global burned values because they have gaps both in space and time depending on the missing values in the used predictor variables. Therefore, we masked the GFED and CCI datasets with the spatial-temporal distribution of gaps in all SOFIA and random forest models and recomputed the global mean annual burned area (Figure 4). All SOFIA models underestimated global mean annual burned area (-24 to -40 %, Figure 4). The random forest model RF2 overestimated (~60 %) and the random forest model RF.124421
- reached a realistic (3-5% overestimation) global mean annual burned area. Despite the fact that all models reproduced well the global spatial pattern of annual burned area, the maps indicate regional differences especially in extra-tropical regions.



560 Figure 4: Mean annual fractional burned area in 2005-2011 from observational datasets and global fire models. Numbers in brackets are the global mean annual burned area. In case of * symbol, the computation of global total annual burned area considered the common spatial-temporal occurrence of missing values in all SOFIA and random forest models on the 0.25° grid cells. IoA is shown with respect to GFED (red) and CCI (blue), respectively. All maps were aggregated to the coarsest common spatial resolution (i.e. JSBACH, ~1.875*1.875°) for the computation of IoA and total burned area.

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4.3.2 Variability in tundra and boreal forests

Regionally, we found varying performances of SOFIA models, random forest, and JSBACH-SPITFIRE in simulating spatialtemporal and statistical distributions of annual total burned area (Figure 5). In northern regions (boreal forests and tundra), differences between all datasets and models were large: Whereas three SOFIA models produced almost no fire activity and

570 thus had very poor performances, the model SF.124421 reached medium performances (IoA = 0.48 vs. CCI in boreal needleleaf deciduous forests, Figure 5 c). The main difference between these SOFIA models is that SF.124421 used diurnal temperature range and the other three SOFIA models used annual pre-fire temperature as temperature effects on fire activity. Thus the results suggest that mean annual temperature is not an appropriate predictor variable to represent boreal fire activity within a global fire model. Random forest models strongly overestimated mean annual burned area in northern regions.

- 575 In the tundra, all models had very low performances but SF.124421 reproduced at least the mean annual burned area from the GFED dataset. However, also the GFED and CCI datasets strongly disagree in the tundra (IoA = 0.17 and FV = -1.91 for CCI vs. GFED, Figure 5 a) while only moderately agreeing in boreal forests. We found that SOFIA and random forest models agreed slightly better with the CCI dataset than with the GFED dataset in northern regions although the GFED dataset was used for training. In boreal needle-leaved evergreen forests, SF.124421 reproduced mean annual burned area and reached the highest IoA of all models (Figure 5 b).
- In boreal needle-leaved deciduous forests, the random forest models reached the highest performance (IoA = 0.52 for RF2 against CCI) but overestimated mean annual burned area. SF.124421 and JSBACH-SPITFIRE only slightly overestimated mean annual burned and reached medium performances (IoA = 0.47 for SF.124421 vs. CCI, IoA = 0.31 for JSBACH-SPITFIRE vs. CCI) (Figure 5 c). In summary, although SF.124421 had only moderate performances in northern regions, it
- 585 reached slightly better performances than random forest models and JSBACH-SPITFIRE. However, these results demonstrate the need to further investigate fire activity in tundra and boreal forests by improving the agreement of satellite datasets and by developing more appropriate empirical and process-oriented fire models.



590 Figure 5: Regional distributions of annual total burned area per 1.875° grid cells from datasets and global fire models for the years 2005-2011. Bars show the mean of the distribution. Horizontal bands at the top of each bar are error estimates for the mean value

(i.e. 95% highest density intervals). Violins show the distribution of values. Colours represent the index of agreement between a model and both (i.e. GFED and CCI) datasets. For GFED and CCI, the index of agreement was computed only with respect to the other observational burned area dataset. The extent of regions is shown in Figure A1 a.

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4.3.3 Variability in temperate regions and the Mediterranean

In temperate regions, SOFIA models generally outperformed random forest models and JSBACH-SPITFIRE in reproducing the observed spatial-temporal and statistical distributions of annual total burned area (Figure 5d-f). The random forest models and JSBACH-SPITFIRE overestimated mean annual burned area in all temperate regions.

- In temperate forests and croplands, SF.124421 reached the best performance of all models (IoA = 0.43 and FV = -0.2 vs. GFED), whereas the other three SOFIA models had weaker performances (Figure 5 d). Random forest models reached medium IoA (up to 0.4 for RF.124421 vs. GFED) but overestimated mean annual burned area. JSBACH-SPITFIRE had medium IoA and overestimated mean annual burned area in comparison to GFED and CCI.
- In the Mediterranean, all SOFIA models had medium to good performances ($0.28 \le IoA \le 0.75$) and outperformed JSBACH-SPITFIRE and random forest models (Figure 5 e). The performance was usually higher in comparison to the CCI dataset than in comparison to the GFED dataset because GFED contained much fewer very large burned areas and thus had also on average a smaller burned area than the CCI dataset. The models SF.204422 and SF.203512 (both using the GrowthFormCrop scheme and no human influence) had better performances than the models SF.324202 and SF.124421 (both using NLDI). This indicates that the better performance is related to how croplands and human influences are represented in these models.
- 610 In the steppes, all SOFIA models reproduced the observed mean annual burned area and some reached medium performances (IoA = 0.48 for SF.324202 vs. CCI, Figure 5 f). These results for temperate regions and the Mediterranean demonstrate that SOFIA models can realistically reproduce observed fire activity.

4.3.4 Variability in tropical regions

In tropical regions, SOFIA models had good performances in reproducing the observed spatial-temporal and statistical distributions of annual total burned area and had comparable or better performances than the random forest models and

- JSBACH-SPITFIRE (Figure 5g-h). In savannahs and tropical croplands, all SOFIA and random forest models and JSBACH-SPITFIRE had good performances in reproducing the spatial-temporal distribution of annual total burned area ($0.63 \le IoA \le 0.78$) but underestimated the variance and extreme fire years ($-1.2 \le FV \le -0.4$). This underestimation of very large burned areas in savannahs is the main cause for the underestimation of the mean annual burned area in this region and of the global
- 620 total burned area by SOFIA models. SF.324202 and SF.124421 had slighter better performances than the other two SOFIA models.

In tropical forests, all SOFIA models had medium to good performances in reproducing the spatial-temporal distribution of annual total burned area ($0.61 \le IoA \le 0.68$) but also underestimated the variance and extreme fire years ($-1.16 \le FV \le -0.36$, Figure 5 h). However, the FV of all models was usually better in comparison to the CCI dataset than for the GFED dataset.

- The CCI dataset had less very large burned areas and thus a smaller variance than the GFED dataset in tropical forests (FV = -0.22 for CCI vs. GFED). Random forest models reached moderate but weaker performances than SOFIA models. JSBACH-SPITFIRE had a low performance in reproducing the spatial-temporal variability (IoA = 0.33 vs. GFED) but reproduced mean annual burned area. These results demonstrate that SOFIA models better reproduce observed fire activity in tropical regions than random forests or JSBACH-SPITFIRE.
- 630 In summary, we found that all modelling approaches (SOFIA, random forest, JSBACH-SPITFIRE) had relatively good performances in savannahs and tropical croplands. All SOFIA models had relatively good performances in tropical forests and the Mediterranean. Only some SOFIA models reached good performances in temperate forests and croplands (SF.124421) and in steppes (SF.324202). Random forest models and JSBACH-SPITFIRE had generally weaker performances than SOFIA

models. The model SF.124421 (Figure 1) had the best performance from all SOFIA models in the tundra, boreal forests,

635 temperate forests and croplands; it had very good performance in savannahs and tropical forests; and it outperformed random forest and JSBACH-SPITFIRE in steppes and the Mediterranean. Consequently, we finally identified SF.124421 as the globally best performing SOFIA model from the tested set of model structures.

4.4 Sensitivity of burned area to climate, vegetation, and human predictor variables

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- The underlying functional relationships in SOFIA models allow to map the sensitivities of burned area to human, vegetation, and climate variables. To demonstrate such a potential application of a SOFIA model, we mapped mean responses from each functional relationships for the period 1997-2011 from the SOFIA model SF.124421 (Figure 6). Based on this model, human influences (i.e. NLDI) restricted burned area in most parts of Europe and southern Russia, east and south-east Asia, India, central and eastern North America, south-east South America, south Australia and New Zealand (Figure 6 a). These regions correspond to the most populated and developed regions of the world. This pattern was caused by the underlying functional
- 645 relationship of SF.124421 where NLDI < 1 (i.e. developed regions) restricted and NLDI > 1 (i.e. unpopulated regions or natural ecosystems) allowed fire activity (Figure 1 b). These results indicate a predominant restricting effect of humans on fire activity. Temperature effects in SF.124421, expressed as diurnal temperature range, allowed fire activity mostly in the semi-deserts of western North America, in the Sahel, Australia, and had a moderate restriction effect in tropical forests and the tundra (Figure 6 b). These spatial patterns were caused by the controlling function that had a strong sigmoidal increase of fire activity with
- diurnal temperature range in shrublands and allowed moderate fire activity in herbaceous vegetation and croplands (Figure 1 c).

Direct wetness effects, expressed as the number of wet days, generally allowed fire activity in all forest regions and moderately restricted fire activity in the rest of the world (Figure 6 c). The underlying controlling function in SF.124421 showed no sensitivity for forests, a weak positive relation in herbaceous vegetation and croplands, and a strong exponential decrease of fire activity with increasing number of wet days in shrublands (Figure 1 d).

- As direct vegetation effect, pre-fire FAPAR restricted fire activity in herbaceous vegetation and croplands of central North America, central Asia, in the northern Sahel, the Kalahari, central Australia, and in parts of South America (Figure 6 d). On the other hand, pre-fire FAPAR supported fire activity mostly in the southern Sahel and northern and eastern Australia. These patterns were caused by a general strong restriction of fire activity with pre-fire FAPAR in herbaceous vegetation and croplands and an exponential increase of fire activity with increasing pre-fire FAPAR in shrublands in SF.124421 (Figure 1 e).
- As long-term vegetation effect, 12-month precedent mean vegetation optical depth strongly supported fire activity in central North America, central Asia, the Tibetan plateau, the Sahel, parts of India, the Kalahari, in Australia (except interior), and in northern Patagonia (Figure 6 e). In all other regions, annual VOD had a moderate effect on fire activity in SF.124421. The underlying controlling function in SF.124421 showed an exponential increase of fire activity with annual VOD in shrublands,
- an exponential decrease with annual VOD in herbaceous vegetation and croplands and a strong restriction across all VOD ranges for trees (Figure 1 f). The diverging responses with annual VOD in shrublands and herbaceous vegetation indicate that fire activity increases with higher vegetation density or biomass in shrublands but decreases with increasing vegetation water content in herbaceous vegetation, respectively. Additionally, the general restriction of fire activity with VOD for trees indicates that fire activity is restricted by vegetation density or high vegetation water content in forests.
- 670 We further combined the controlling functions of SF.124421 to investigate combined controls on fire activity. Therefore we created a red-green-blue composite map in which the red channel contains the NLDI functional relationship, the green channel contains the mean of the direct (precedent month FAPAR) and long-term vegetation (12 month precedent VOD) effect, and the blue channel contains the climate effects (mean response of functional relationships to number of wet days and diurnal temperature range) from SF.124421 (Figure 6f). Generally, bright colours in this map indicate a strong restriction of fire
- 675 activity (small burned area) and dark colours indicate that fire activity is allowed (large burned area). Regionally, different

combinations of socioeconomic, vegetation and climate factors controlled fire activity. Socioeconomic development dominantly restricted fire activity in western North America, and in populated regions of boreal forests (red colours). Vegetation predominantly supressed fire activity in southern boreal and tropical forests (green colours). Primarily climate conditions and secondly socioeconomic development restricted fire activity in semi-deserts of the northern Sahel, central Asia,

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the Kalahari, and south-western Australia (purple colours). Socioeconomic development and climate equally supressed fire activity in the Mediterranean, India, eastern Asia, and east South America (pink colour). Both socioeconomic development and vegetation conditions supressed fire activity in most parts of Europe, central and eastern North America, and eastern China (yellow/orange colours). Both climate and vegetation conditions supressed fire activity in the tundra and in central Australia (cyan colours). All factors moderately supported fire activity in boreal forests and strongly support fire activity in large parts of the Sahel, southern Africa, northern Australia, and western North America (dark colours). We want to point out that these

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- of the Sahel, southern Africa, northern Australia, and western North America (dark colours). We want to point out that these sensitivities might look different if SOFIA models with alternative but adequate model structures would be applied for such an analysis. However the results highlight that fire activity is controlled by regionally diverse and complex interactions of human, vegetation and climate factors.



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Figure 6: Example of combined climate, vegetation, and human controls on fire activity based on the SOFIA model SF.124421. The maps in (a-e) show the average response value for each functional relationship for the period 1997-2011. High values (1, red) indicate that this factor allows unlimited burning and low values (0, blue) indicate that this factor restricts burning. The map in (f) is a red-green-blue composite of the human influence (map in (a), red channel), the combined direct and long-term vegetation effect (mean of (d) and (e), green channel), and the climate effect (mean of (b) and (c), blue channel). Bright and dark colours indicate a strong restriction and allowance of fire activity, respectively.

5 Discussion and conclusions

5.1 Performance and equifinality of SOFIA models

We developed the SOFIA modelling approach as a framework to explore the importance and the functional relationships between different predictor variables and burned area while relying on relatively simple model structures. The best SOFIA models reached globally average performances but outperformed the state-of-the art process-oriented vegetation-fire model JSBACH-SPITFIRE. We interpret the globally medium and regionally varying performances as current upper limits that can be reached with the used predictor datasets and variables because the more flexible and highly adaptive machine learning algorithm random forest did not achieve much higher performance in the evaluation data subset. These upper limits in model

- 705 performance might be due to several reasons:
 - Uncertainties in the observations for the predictor and response variables inhibit the development of models with high performance. For example, we found regionally partly large differences between the two burned area datasets, especially in northern regions. These uncertainties originate from differences in sensor characteristics and in the ability of the used algorithms to detect small fires.
- Other processes and variables are important for the spread of fires but cannot be resolved at the used spatial and temporal resolution. For example, on local to regional scales the spread of fire is controlled by landscape structure and topography whereas climatic controls are usually more important on larger scales (Archibald et al., 2009; Liu et al., 2013b; Parisien et al., 2010). Most of the regional controls can likely not be resolved at the used spatial resolution (0.25°) although this resolution is already higher than the resolution of most global vegetation-fire models. Also wind speed and direction is an important control on the spread of fires on short temporal scales but this effect cannot accurately be represented based on monthly data (Bistinas et al., 2014).
 - 3. There is a lack of global observations that directly represent fuel loads, fuel moisture, or modes of human fire usage. For example, all of the used predictor variables are only proxies for fuel loads (FAPAR or VOD) or fuel moisture (surface soil moisture) but do not directly represent such fuel conditions. Similarly, data on population density or socioeconomic development are used as proxies for human effects on fire but cannot represent the complex social, economic, and cultural practices and policies of human fire use and management.

The four best SOFIA models reached similar performances in savannas and tropical croplands, and in tropical forests which demonstrates the equifinality in fire modelling. Equifinality, i.e. the presence of multiple adequate models and parameter sets that result in very similar responses, is a general problem in environmental modelling (Beven, 2006). General approaches to

- 725 avoid equifinal models are the use of multiple datasets of the same variable to account for errors or uncertainties in model forcing or reference data, the testing of different cost functions to constrain certain parameters, the inclusion of prior parameter uncertainties in the cost function, or the application of models to new observational data or under different conditions (Beven, 2006; Beven and Binley, 2014; Williams et al., 2009). In our analysis, we were able to rule out three of four initially equifinal SOFIA models based on the application of these models to the global data and by regional comparisons against two burned
- 730 area datasets. The results from the optimized SOFIA models allow extracting parameter values and ranges for each functional relationship. To give an example, parameters that control the functional relationship to 1) socioeconomic development (NLDI), 2) diurnal temperature range and to the number of wet days in shrublands, and 3) to VOD were well constrained in the SOFIA model SF.124421 (Figure A3). These parameters could be potentially used as prior parameter values in a more constrained analysis in the future. The presence of equifinality in SOFIA model structures suggests to include such prior parameter
- 735 uncertainties for each functional relationship to better constrain individual SOFIA models. This technique can be applied in future generation of individual SOFIA models by using the current versions as prior parameter estimates and uncertainties.

5.2 Importance of predictor variables and implications for global fire modelling

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The derived SOFIA models and the spatial patterns of sensitivities show a sharp decline of burned area with increasing socioeconomic development or population density and thus agree with previous studies that show a primarily negative effect of human activities, population density, or croplands on burned area (Andela et al., 2017; Archibald et al., 2013; Bistinas et al., 2014; Chuvieco and Justice, 2010; Knorr et al., 2014). Strikingly, our results suggest that human effects on global burned area can be expressed by either cropland area, NLDI, or population density but the combination of these factors did not improve

the performances of SOFIA models. These variables serve all as proxies for the negative relationship between humans and

- 5745 burned area but do not directly describe human activities of fire use or suppression. For example, regional studies have shown that various information on infrastructure, land use, and other relevant socioeconomic indicators are important to predict fire activity (Archibald et al., 2009; Arndt et al., 2013; Parisien et al., 2016). However such spatially- and temporally-resolved datasets and assessments are missing for the global scale. Certainly, our results do not imply that croplands are unimportant for the global variability of burned area. Agricultural fires account for around 10% of all global fires (Korontzi et al., 2006)
- and for around 5% of global burned area (Giglio et al., 2013) and are used to remove harvest residues or to fertilize soils. However croplands show more small fires than large fires (Hantson et al., 2015b). As we here used the GFED burned area datasets that was not corrected for small fires (Giglio et al., 2013), small agricultural fires are likely misrepresented in this dataset and thus cannot be accurately analysed within the SOFIA approach. The representation of agricultural fires in a global fire model needs to account for various land use patterns and practices that go far beyond natural climate-vegetation
- 755 relationships (Le Page et al., 2015; Magi et al., 2012; Rabin et al., 2015). By taking into account this complexity, agricultural fires are often not represented in global vegetation-fire models because they do not directly affect natural vegetation and carbon cycle dynamics (Hantson et al., 2016), unless agricultural fires escape to nearby forests (Cano-Crespo et al., 2015). In summary, an improved representation of human effects on fire in global vegetation-fire models is currently lacking since globally consistent, temporally and spatial resolved, relevant information on infrastructure and socioeconomics is not available.
- 760 Direct wetness effects, especially based on the number of wet days, were the component of SOFIA models that contributed most to model performance (Figure 3). These result are in agreement with previous results that identified the number of dry days (the inverse of the number of wet days) as an important variable to predict fire activity (Bistinas et al., 2014). Especially for shrublands, we identified strong exponential relations with the number of wet days and diurnal temperature range. Currently, shrubs are not considered in all ecosystem models (e.g. not in models of the LPJ family, Sitch et al. (2003)) which
- 765 suggest the need to implement and parameterize shrub PFTs to improve simulations of fire activity. The number of wet days and diurnal temperature range are also used in process-oriented fire models like SPITFIRE to compute the Nesterov index (a fire weather index) and fuel moisture content (Thonicke et al., 2010). Here we confirm that the use of diurnal temperature range and the number of wet days are appropriate predictor variables to simulate fuel moisture conditions and thus fire activity. However, while the Nesterov index is used as fire weather index in many fire modules of global vegetation models (Lasslop)
- et al., 2014; Prentice et al., 2011; Thonicke et al., 2010; Venevsky et al., 2002; Yue et al., 2014), studies on forest fire management rely more often on alternative fire weather indices such as from the Canadian Forest Fire Weather Index (FWI) (Bedia et al., 2012; Stocks et al., 1989). We also show that direct wetness effects can be represented by satellite-derived surface soil moisture. Additionally, several other indices have been derived from satellite data to estimate fuel moisture conditions (Yebra et al., 2013). Consequently, it is necessary to systematically compare the predictive power of fire weather indices,
- 775 satellite-derived and reanalysis-based surface soil moisture data, and soil moisture schemes of ecosystem models to potentially improve the direct effect of wet conditions on fire activity in global vegetation-fire models. Long-term vegetation effects contributed strongly to the performance of SOFIA models and thus indicate an important role of vegetation dynamics on the spatial-temporal variability of fire activity. Consequently, global vegetation models require a good representation of vegetation distribution and dynamics to realistically simulate fire activity. Vegetation distribution can be
- 780 improved either through the prescription of high quality land cover maps in land surface models, or by improving model structures and by constraining model parameters that affect vegetation dynamics in DGVMs. For both approaches, time-variant, e.g. annually resolved, land cover maps would be very valuable to realistically reflect vegetation dynamics. However, it is currently unclear how realistic land cover dynamics are represented for example by the three epochs of the ESA CCI land cover maps or by annual or seasonal maps of the MODIS land cover product (Broxton et al., 2014). Hence intensified efforts
- are required to check the plausibility of land cover changes in current and upcoming time-variant land cover maps.

SOFIA models with a long-term effect of VOD had better performances than models without this effect. The good performance of SOFIA models with VOD as predictor variable likely reflects variability in fuel loads because VOD is sensitive to vegetation density and biomass (Andela et al., 2013; Liu et al., 2015). The importance of VOD suggests that processes such as carbon allocation, turnover and vegetation mortality which all control biomass dynamics need to be carefully assessed in global

- 790 vegetation models in order to accurately simulate fuel loads and hence fire activity. The finding of a strong restriction of fire activity with VOD in forests corresponds to previous findings that show that woody vegetation tends to restrict burned area either because moist wood is more difficult to ignite than dry grass or litter, or because forests provide generally more moist conditions (Kelley and Harrison, 2014). Fire activity increases with biomass at low vegetation densities and strongly decreases with increasing biomass and very high vegetation densities but the actual fire activity is enhanced or restricted by moisture
 - 795 conditions (Krawchuk and Moritz, 2011; Murphy et al., 2011). Consequently, the SOFIA approach and the identified sensitivities of fire activity with direct wetness effects and with VOD confirm and implement previous conceptual models where fire activity follows a biomass gradient and is modulated by moisture conditions (Krawchuk and Moritz, 2011; Murphy et al., 2011).

800 5.3 From satellite data to improved global vegetation-fire models

The better performance of SOFIA models compared to JSBACH-SPITFIRE and the generally good performance especially in temperate and tropical regions demonstrate the potential of the SOFIA approach to improve global vegetation-fire models. The SOFIA approach can be potentially adapted to more complex global vegetation-fire models such as SPITFIRE. Thereby the functional relationships in SOFIA models should rely on forcing datasets (e.g. temperature, precipitation) and simulated state

- 805 variables (e.g. litter and soil moisture, biomass compartments, litter stocks, vegetation structure) of the vegetation models. This allows also to represent feedbacks of changing vegetation conditions on fire activity. By applying the SOFIA approach to forcing and state variables of a process-oriented vegetation model, more adequate predictor variables could be potentially identified and finally model performance could be improved.
- In order to represent realistic vegetation/fire interactions, vegetation models need to satisfactorily reproduce observed patterns and dynamics of fuel moisture and vegetation state variables. Consequently, it is necessary to test and improve global vegetation-fire models against multiple observational datasets that cover various aspects of vegetation/fire interactions: For example, satellite datasets on land cover, FAPAR, VOD, biomass (Avitabile et al., 2016; Saatchi et al., 2011; Thurner et al., 2014), and estimates of litter fuels (Pettinari and Chuvieco, 2016) may be useful to constrain vegetation dynamics, biomass allocation, and fuel loads; datasets on surface soil moisture, VOD, and evapotranspiration (Tramontana et al., 2016) may be
- 815 useful to test hydrological schemes and to constrain fuel moisture; and datasets on burned area, fire size (Hantson et al., 2015b), fire radiative power, fuel consumption (Andela et al., 2016; van Leeuwen et al., 2014), or separations between natural and agricultural fires (Korontzi et al., 2006; Le Page et al., 2010; Magi et al., 2012) may be useful to constrain fire behaviour. Such datasets are currently under-exploited in the development of global vegetation-fire models because #1 they were still missing at the time of model development (Thonicke et al., 2001), #2 there is only little experience of applying formal model-data
- 820 integration approaches within global fire modelling, or #3 no appropriate model components or observation operators exist that link for example modelled fuel moisture with satellite-derived surface soil moisture or modelled biomass compartments with VOD. For example, it is currently unclear which physiological processes, morphological plant components, and ecosystem structures contribute to a certain VOD signal (Vreugdenhil et al., 2016a). Consequently, it is necessary to better understand the plant and ecosystem controls on VOD to improve global vegetation-fire models.
- 825 Previously developed global fire models commonly used observed data for model evaluation but did not undertake a formal model-data integration cycle from the definition of model structures, model parameter estimation, to model evaluation, and potentially back to a re-formulation of model structures by using observational data. In our study we firstly applied the full

model-data integration cycle to derive an optimal structure for an empirical global fire model to predict global burned area. However, in order to apply model-data integration for global process-oriented vegetation-fire models, multiple datasets on

- 830 vegetation, hydrological, and fire-related variables should be used to realistically constrain vegetation/fire interactions. Hence there is a need to develop appropriate observation operators and to extent currently existing model-data integration frameworks of global vegetation models (Forkel et al., 2014; Kaminski et al., 2013; MacBean et al., 2016; Schürmann et al., 2016) to the corresponding fire modules in order to formally assess model structures and to constrain model parameters. In summary, model-data integration frameworks need to be developed that make use of multiple satellite datasets on vegetation and moisture
- 835 proxies in order to improve the representation of fire in global vegetation models and thus to better understand interactions of fire with ecosystems and the atmosphere within the Earth system.

Code availability

The code for this study is organized in several R packages and is available from <u>https://r-forge.r-project.org/R/?group_id=1612</u>. Thereby the package *SOfireA* contains the basic SOFIA model structure and functions to optimize and plot SOFIA models,

840 and the package *ModelDataComp* contains functions for model-data comparison such as model evaluation metrics and comparison plots. The R package *randomForest* was used for random forest fits (Liaw and Wiener, 2002).

Data availability

The used original data is available under the URLs, DOIs, or can be obtained from PIs as indicated in Table 1. The preprocessed (spatially and temporally interpolated) data for the optimization and evaluation data subsets is included as example dataset '*firedata*' in the *SOfireA* R package (<u>https://r-forge.r-project.org/R/?group_id=1612</u>).

Appendix

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Table A 1: Land cover to plant functional type conversion table. The units are % coverage of each PFT per land cover class. The
conversion factors are based on Poulter et al. (2015a) with some modifications that affect boreal and arctic regions, i.e. to avoid
coverage of broadleaved evergreen PFTs in these regions and to reach a total tree cover that is comparable to the MODIS tree cover
product (Hansen et al., 2003).

		Plant functional types											
ID	Land cover class	Tree.BE	Tree.BD	Tree.NE	Tree.ND	Shrub.BE	Shrub.BD	Shrub.NE	Herb	Crop	Bare	NoLand	
0	No data											100	
10	Cropland, rainfed									100			
11	Cropland, rainfed, Herbaceous cover									100			
12	Cropland, rainfed, Tree or shrub cover						50			50			
20	Cropland, irrigated or post-flooding									100			
30	Mosaic cropland (>50%) / natural vegetation (tree, shrub, herbaceous cover) (<50%)	5	5			5	5	5	15	60			
40	Mosaic natural vegetation (tree, shrub, herbaceous cover) (>50%) / cropland (<50%)	5	5			5	10	5	30	40			
50	Tree cover, broadleaved, evergreen, closed to open (>15%)	90				5	5						
60	Tree cover, broadleaved, deciduous, closed to open (>15%)		70				10		20				
61	Tree cover, broadleaved, deciduous, closed (>40%)		80				10		10				
62	Tree cover, broadleaved, deciduous, open (15-40%)		30				20		40		10		
70	Tree cover, needleleaved, evergreen, closed to open (>15%)			70		0	5	5	20				
71	Tree cover, needleleaved, evergreen, closed (>40%)			75		0	5	5	15				
72	Tree cover, needleleaved, evergreen, open (15-40%)			30			5	5	30		30		
80	Tree cover, needleleaved, deciduous, closed to open (>15%)				50	0	15	5	25		5		
81	Tree cover, needleleaved, deciduous, closed (>40%)				70	0	10	5	15				
82	Tree cover, needleleaved, deciduous, open (15-40%)				30		10	5	35		20		

00	The second second leaf the second second second		05	05	0	0	40	40	45	1	-	
90	I ree cover, mixed leaf type (broadleaved and		35	25	0	0	10	10	15		5	
	needleleaved)											
100	Mosaic tree and shrub (>50%) / herbaceous cover	0	15	15	0	0	15	15	40			
	(~50%)	ů			Ŭ	Ũ						
440		0	7.5	7.5	-	0	40	10	60		-	
110	Mosaic herbaceous cover (>50%) / tree and shrub	0	7.5	7.5		0	10	10	60		5	
	(<50%)											
120	Shrubland					20	30	10	20		20	
121	Shrubland, evergreen					30		30	20		20	
122	Shrubland, deciduous						60		20		20	
130	Grassland								70		30	
140	Lichens and mosses								60		40	
150	Sparse vegetation (tree, shrub, herbaceous cover)	0	2	2		0	4	2	5		85	
	(<15%)											
152	Sparse shrub (<15%)					0	6	4	5		85	
153	Sparse herbaceous cover (<15%)								15		85	
160	Tree cover, flooded, fresh or brakish water	30	30						20			20
170	Tree cover, flooded, saline water	60				20						20
180	Shrub or herbaceous cover, flooded.		5	5			10	10	40			30
	fresh/saline/brakish water		-					-	_			
100	Lirban areas		25	25					15		75	5
130	Diban aleas		2.5	2.5			-		15		10	5
200	Bare areas										100	
201	Bare areas, consolidated										100	
202	Bare areas, unconsolidated										100	
210	Water bodies											100
220	Permanent snow and ice											100

Table A 2: Structure and performance of all tested candidate SOFIA models. N denotes the number of model parameters. SSE, AIC, IoA and FV are based on monthly burned area time series in the optimization and evaluation data subsets from the GFED and CCI datasets, respectively. Model experiments are ordered by SSE. The best SOFIA models (IoA \ge 0.4 and AIC \le 200.5) are highlighted in bold font.

	Structure of SOFIA models: used control factors and associated variables																
	Groupi 1 G 2 G 3 Lo 4 P Humar 0 no 1 P 2 N 3 N	ng schem rowthFor rowthFor eafType FT n influence D.med (g LDI (glob LDI.g (pe	ne (group m mCrop e (human lobal) al) r group)	s))					Long-terr 0 no 1 CC 2 GF 3 CR 4 Liu 5 GII Direct ve 0 no 1 Liu 2 GII	m wetness, CLSM.orig.1 PCC.P.orig. U.WET.ori U.VOD.orig. MMS.FAP/ getation ef U.VOD.orig. MMS.FAP/	/productivii filter13 filter13 filter13 AR.orig.filte fect (veg.d lagneg1 AR.orig.lag	ty effect (w er13 ir) neg1	etveg.long	term)			
	0 no 1 C 2 (u 3 G 4 C	werness o o CI.SM.ori inused) PCC.P.oi RU.WET	ig rig .orig	uan)		Temperature effect (temp) 0 no 1 CRU.DTR.orig 2 CRU.T.orig.filter13 rrmCrop + (0) no human influence + (4) CRU.WET.orig + (4) Liu.VOD.orig.filter13 + (2) GIMMS.FAPAR.orig.lagneg1 + (2)											
	Examp CRU.T	le: SF.20 .orig.filter	4422 = (2 13	2) Growth	FormCro	p + (0) no	human i	nfluence +	(4) CRU.V	VET.orig +	(4) Liu.VO	D.orig.filter	·13 + (2) G	IMMS.FA	PAR.orig.	agneg1 +	(2)
Name		Model	structur	e and inc	luded va	riables		c	ompariso	n against	GFED.BA	(1997-201	1)	Col	mparison (200	against C(5-2011)	CI.BA
								Traini Data us	ng (1817 c ed for para	ells, even g imeter opti	years) mization	Evaluatio cells, u yea	on (1212 ineven ars)	Trainir ye	ng (even ars)	Evalu (unever	ation years)
	z	Groups	Human	Wet.dir	Wetveg.longterm	Veg.dir	temp	SSE	AIC	юA	FV	юA	FV	юA	FV	loA	FV
SF.204422	48	2	0	4	4	2	2	51.88	199.8	0.44	-1.44	0.39	-1.55	0.42	-1.53	0.41	-1.53
SF.203512	48	2	0	3	5	1	2	52.17	200.3	0.43	-1.45	0.42	-1.54	0.45	-1.49	0.45	-1.51
SF.304522	48	3	0	4	5	2	2	52.92	201.8	0.41	-1.49	0.40	-1.58	0.40	-1.57	0.42	-1.59
SF.324202	39	3	2	4	2	0	2	52.92	183.8	0.41	-1.49	0.37	-1.65	0.39	-1.59	0.35	-1.65
SF.234422	60	2	3	4	4	2	2	52.99	226.0	0.41	-1.49	0.35	-1.63	0.40	-1.58	0.33	-1.64
SF.233210	48	2	3	3	2	1	0	53.10	202.2	0.40	-1.50	0.34	-1.69	0.41	-1.57	0.32	-1.68
SF.124421	39	1	2	4	4	2	1	53.40	184.8	0.40	-1.51	0.39	-1.51	0.39	-1.59	0.41	-1.51
SF.124021	30	1	2	4	0	2	1	54.05	168.1	0.37	-1.56	0.36	-1.56	0.37	-1.64	0.37	-1.57
SF.204501	36	2	0	4	5	0	1	54.09	180.2	0.37	-1.56	0.31	-1.76	0.36	-1.63	0.29	-1.76
SF.314511	51	3	1	4	5	1	1	54.14	210.3	0.37	-1.55	0.34	-1.63	0.35	-1.63	0.34	-1.62
SF.424102	84	4	2	4	1	0	2	54.37	276.7	0.37	-1.55	0.35	-1.63	0.36	-1.63	0.36	-1.63
SF.234421	60	2	3	4	4	2	1	54.40	228.8	0.36	-1.56	0.33	-1.63	0.36	-1.64	0.34	-1.63
SF.314420	39	3	1	4	4	2	0	54.55	187.1	0.36	-1.58	0.32	-1.68	0.33	-1.65	0.32	-1.68
SF.333221	60	3	3	3	2	2	1	54.57	229.1	0.35	-1.57	0.32	-1.71	0.35	-1.64	0.30	-1.69
SF.224211	51	2	2	4	2	1	1	54.59	211.2	0.35	-1.58	0.36	-1.55	0.36	-1.65	0.37	-1.56

SF.204202	36	2	0	4	2	0	2	54.62	181.2	0.35	-1.58	0.35	-1.52	0.35	-1.67	0.36	-1.54
SF.424201	84	4	2	4	2	0	1	54.62	277.2	0.35	-1.58	0.34	-1.61	0.35	-1.66	0.34	-1.61
SF.234102	48	2	3	4	1	0	2	54.64	205.3	0.35	-1.58	0.31	-1.74	0.35	-1.65	0.31	-1.76
SF.321221	51	3	2	1	2	2	1	54.66	211.3	0.35	-1.58	0.32	-1.73	0.35	-1.64	0.29	-1.72
SF.204502	36	2	0	4	5	0	2	54.66	181.3	0.35	-1.59	0.28	-1.80	0.34	-1.66	0.26	-1.80
SF.314201	39	3	1	4	2	0	1	54.77	187.5	0.35	-1.58	0.32	-1.67	0.35	-1.65	0.31	-1.67
SF 314211	51	3	1	4	2	1	1	54 85	211 7	0.35	-1.58	0.30	-1 75	0.34	-1 64	0.30	-1 75
SF 304211	48	3	0	4	2	1	1	54 88	205.8	0.34	-1.61	0.30	-1 70	0.32	-1.68	0.30	-1 70
SF 321021	30	3	2	1	0	2	1	55 12	188.2	0.34	-1.61	0.00	-1.70	0.02	-1.68	0.00	-1.70
SF 314320	20	2	1	1	2	2	0	55.12	100.2	0.04	1.01	0.01	1.65	0.32	1.00	0.00	1.66
SF.214320	39	2	1	4	3	2	0	55.15	100.3	0.33	-1.02	0.32	-1.03	0.32	-1.00	0.33	-1.00
SF.114401	30	1	1	4	4	0	1	55.31	170.6	0.33	-1.01	0.29	-1.69	0.33	-1.08	0.30	-1.00
SF.410311	84	4	1	0	3	1	1	55.57	279.1	0.32	-1.64	0.31	-1.76	0.33	-1.68	0.34	-1.75
SF.203321	48	2	0	3	3	2	1	55.81	207.6	0.31	-1.64	0.31	-1.69	0.32	-1.68	0.34	-1.68
SF.133402	36	1	3	3	4	0	2	55.97	183.9	0.31	-1.64	0.29	-1.60	0.32	-1.70	0.29	-1.59
SF.124002	21	1	2	4	0	0	2	56.35	154.7	0.29	-1.68	0.29	-1.72	0.27	-1.75	0.29	-1.73
SF.424520	84	4	2	4	5	2	0	56.41	280.8	0.29	-1.66	0.22	-1.78	0.28	-1.71	0.20	-1.79
SF.423220	84	4	2	3	2	2	0	56.48	281.0	0.29	-1.67	0.28	-1.71	0.30	-1.72	0.28	-1.72
SF.200211	36	2	0	0	2	1	1	56.49	185.0	0.28	-1.68	0.25	-1.81	0.28	-1.71	0.23	-1.80
SF.201021	36	2	0	1	0	2	1	56.60	185.2	0.28	-1.69	0.28	-1.74	0.28	-1.73	0.30	-1.73
SF.110221	30	1	1	0	2	2	1	56.60	173.2	0.28	-1.69	0.28	-1.74	0.28	-1.73	0.30	-1.73
SF.201412	48	2	0	1	4	1	2	56.65	209.3	0.27	-1.72	0.31	-1.66	0.25	-1.78	0.35	-1.66
SF.303122	48	3	0	3	1	2	2	56.75	209.5	0.24	-1.79	0.23	-1.85	0.25	-1.82	0.24	-1.85
SF.423502	84	4	2	3	5	0	2	56.84	281.7	0.27	-1.69	0.27	-1.73	0.27	-1.75	0.28	-1.73
SF.124222	39	1	2	4	2	2	2	57.05	192.1	0.26	-1.70	0.23	-1.79	0.25	-1.76	0.21	-1.80
SF.103402	27	1	0	3	4	0	2	57.31	168.6	0.25	-1.72	0.24	-1.74	0.26	-1.76	0.25	-1.73
SF.404401	81	4	0	4	4	0	1	57.37	276.7	0.25	-1.73	0.19	-1.83	0.24	-1.78	0.18	-1.84
SF.114102	30	1	1	4	1	0	2	57.37	174.7	0.25	-1.72	0.25	-1.76	0.25	-1.76	0.27	-1.76
SF.103521	36	1	0	3	5	2	1	57.54	187.1	0.24	-1.73	0.25	-1.75	0.25	-1.77	0.26	-1.75
SF.303511	48	3	0	3	5	1	1	57.60	211.2	0.23	-1.76	0.22	-1.82	0.23	-1.78	0.22	-1.82
SF.401301	81	4	0	1	3	0	1	57.66	277.3	0.22	-1.77	0.18	-1.85	0.21	-1.80	0.17	-1.85
SF 203420	36	2	0	3	4	2	0	57.68	187.4	0.24	-1 74	0.24	-1 76	0.24	-1 78	0.24	-1 76
SE 311312	51	3	1	1	3	1	2	57.76	217.5	0.23	-1 76	0.22	-1 84	0.23	-1 78	0.25	-1.82
SE 222520	30	2	2	2	5	2	0	57.77	102.5	0.20	-1.92	0.22	-1.92	0.20	-1.95	0.20	-1.92
SE 224001	33	2	2	3	0	2	1	57.77	170.1	0.21	1.02	0.21	1 90	0.21	1 01	0.22	1 90
SF.224001	21	2	2	4	0	0	1	50.07	170.1	0.22	-1.70	0.22	-1.60	0.21	-1.01	0.24	-1.60
SF.301421	48	3	0	1	4	2	1	58.13	212.3	0.21	-1.79	0.18	-1.86	0.21	-1.81	0.18	-1.85
SF.303301	36	3	0	3	3	0	1	58.21	188.4	0.21	-1.78	0.20	-1.86	0.21	-1.81	0.20	-1.85
SF.321421	51	3	2	1	4	2	1	58.29	218.6	0.22	-1.76	0.17	-1.83	0.22	-1.80	0.16	-1.83
SF.220220	27	2	2	0	2	2	0	58.53	171.1	0.19	-1.81	0.19	-1.83	0.18	-1.85	0.19	-1.84
SF.214112	51	2	1	4	1	1	2	58.62	219.2	0.20	-1.78	0.15	-1.85	0.20	-1.82	0.14	-1.84
SF.211512	51	2	1	1	5	1	2	58.63	219.3	0.19	-1.80	0.20	-1.81	0.19	-1.83	0.20	-1.81
SF.231220	48	2	3	1	2	2	0	58.71	213.4	0.19	-1.80	0.20	-1.76	0.18	-1.86	0.20	-1.77
SF.131201	36	1	3	1	2	0	1	58.81	189.6	0.18	-1.81	0.21	-1.79	0.19	-1.84	0.23	-1.79
SF.333021	48	3	3	3	0	2	1	58.87	213.7	0.18	-1.83	0.16	-1.88	0.18	-1.86	0.17	-1.88
SF.301211	48	3	0	1	2	1	1	58.89	213.8	0.18	-1.82	0.15	-1.89	0.19	-1.84	0.15	-1.89
SF.221512	51	2	2	1	5	1	2	58.92	219.8	0.16	-1.87	0.16	-1.88	0.16	-1.89	0.17	-1.88
SF.130212	36	1	3	0	2	1	2	59.30	190.6	0.16	-1.84	0.17	-1.83	0.15	-1.87	0.18	-1.83
SF.130512	36	1	3	0	5	1	2	59.32	190.6	0.16	-1.84	0.17	-1.84	0.16	-1.87	0.18	-1.85
SF.131500	27	1	3	1	5	0	0	59.38	172.8	0.15	-1.85	0.13	-1.88	0.15	-1.88	0.13	-1.88
SF.310212	39	3	1	0	2	1	2	59.42	196.8	0.15	-1.85	0.13	-1.91	0.14	-1.88	0.13	-1.91
SF.221111	51	2	2	1	1	1	1	59.44	220.9	0.16	-1.84	0.17	-1.84	0.15	-1.87	0.18	-1.84
SF.100322	27	1	0	0	3	2	2	59.47	172.9	0.15	-1.85	0.17	-1.85	0.14	-1.88	0.17	-1.86
SF.311021	39	3	1	1	0	2	1	59.52	197.0	0.15	-1.85	0.14	-1.91	0.14	-1.88	0.14	-1.91
SF.210102	27	2	1	0	1	0	2	59.54	173.1	0.15	-1.86	0.15	-1.90	0.13	-1.89	0.15	-1.90
SF.301120	36	3	0	1	1	2	0	59.58	191.2	0.13	-1.88	0.10	-1.90	0.11	-1.89	0.09	-1.90
SF.421502	84	4	2	1	5	0	2	59.59	287.2	0.15	-1.86	0.15	-1.90	0.14	-1.88	0.16	-1.90
SF 414011	84	4	1	4	0	1	1	59.60	287.2	0.14	-1.86	0.14	-1 91	0.13	-1.88	0.15	-1 91
01.11011	<u> </u>	-	l '	-	Ĭ	l '	l '	00.00	201.2	0.14	1.00	0.14	1.31	0.15	1.00	0.15	1.31

SF.323502	39	3	2	3	5	0	2	59.61	197.2	0.15	-1.84	0.17	-1.80	0.16	-1.87	0.18	-1.79
SF.111510	30	1	1	1	5	1	0	59.64	179.3	0.13	-1.88	0.11	-1.91	0.12	-1.90	0.11	-1.91
SF.211421	51	2	1	1	4	2	1	59.64	221.3	0.14	-1.86	0.11	-1.92	0.13	-1.88	0.09	-1.92
SF.111502	30	1	1	1	5	0	2	59.68	179.4	0.14	-1.87	0.15	-1.88	0.13	-1.89	0.15	-1.88
SF.133002	27	1	3	3	0	0	2	59.72	173.4	0.14	-1.86	0.15	-1.88	0.14	-1.88	0.16	-1.87
SF.113001	21	1	1	3	0	0	1	59.77	161.5	0.15	-1.82	0.12	-1.88	0.17	-1.85	0.11	-1.88
SF.120510	21	1	2	0	5	1	0	59.81	161.6	0.14	-1.88	0.13	-1.89	0.13	-1.90	0.14	-1.89
SF.210322	39	2	1	0	3	2	2	59.84	197.7	0.13	-1.88	0.12	-1.91	0.12	-1.90	0.12	-1.91
SF.130310	27	1	3	0	3	1	0	59.93	173.9	0.12	-1.89	0.13	-1.91	0.12	-1.91	0.13	-1.92
SF.220510	27	2	2	0	5	1	0	59.94	173.9	0.13	-1.88	0.12	-1.90	0.12	-1.91	0.13	-1.90
SF.220201	27	2	2	0	2	0	1	60.14	174.3	0.11	-1.91	0.12	-1.91	0.10	-1.93	0.12	-1.91
SF.113201	30	1	1	3	2	0	1	60.17	180.3	0.12	-1.88	0.13	-1.93	0.12	-1.90	0.14	-1.93
SF.123512	39	1	2	3	5	1	2	60.23	198.5	0.13	-1.88	0.10	-1.92	0.13	-1.90	0.10	-1.92
SF.201420	36	2	0	1	4	2	0	60.24	192.5	0.10	-1.92	0.09	-1.93	0.09	-1.94	0.09	-1.94
SF.201101	36	2	0	1	1	0	1	60.24	192.5	0.11	-1.90	0.09	-1.92	0.10	-1.91	0.08	-1.92
SF.101320	27	1	0	1	3	2	0	60.33	174.7	0.11	-1.90	0.11	-1.92	0.10	-1.92	0.11	-1.92
SF.300212	36	3	0	0	2	1	2	60.45	192.9	0.11	-1.91	0.14	-1.91	0.11	-1.92	0.16	-1.91
SF.331502	48	3	3	1	5	0	2	60.51	217.0	0.10	-1.91	0.08	-1.94	0.08	-1.93	0.08	-1.94
SF.110120	21	1	1	0	1	2	0	60.67	163.3	0.08	-1.94	0.09	-1.95	0.07	-1.95	0.09	-1.95
SF.120120	21	1	2	0	1	2	0	60.69	163.4	0.08	-1.93	0.09	-1.94	0.08	-1.94	0.09	-1.94
SF.111500	21	1	1	1	5	0	0	60.76	163.5	0.06	-1.96	0.06	-1.97	0.05	-1.97	0.06	-1.97
SF.230101	36	2	3	0	1	0	1	60.91	193.8	0.07	-1.94	0.08	-1.95	0.07	-1.95	0.08	-1.95
SF.333511	60	3	3	3	5	1	1	61.51	243.0	0.03	-1.98	0.02	-1.99	0.02	-1.98	0.02	-1.99
SF.430021	81	4	3	0	0	2	1	61.56	285.1	0.04	-1.98	0.04	-1.98	0.04	-1.98	0.04	-1.98

Table A 3: Performance of the SOFIA model SF.124421 depending on the type of cost function that is used in optimization.

		С	ompariso	n against	1)	Comparison against CCI.BA (2005-2011)					
Name	SOFIA model SF.124421 with different cost functions in optimization:	Trainin Data us SF	ig (1817 ce 1998- sed for trai paramete	ells, even y -2010) ning of RF r optimizat	ears in and for ion	Evaluati cells, u years in 20	on (1212 Ineven n 1997- 11)	Trainir years 20	ng (even in 2006-)10)	Evaluation (uneven years in 2005-2011)	
		SSE	AIC	loA	FV	loA	FV	lοA	FV	loA	FV
SF.SSE (SF.124421 in Tab. S2)	Default cost function, sum of squared error $Cost = \sum_{i=1}^{l=N} (sim_i - obs_i)^2$	53.40	184.8	0.40	-1.51	0.39	-1.51	0.39	-1.59	0.41	-1.51
SF.KGE	Kling-Gupta efficiency: Euclidean distance in a 3- dimensional space defined by components for correlation, variance, and bias (Gupta et al., 2009) $Cost = \sqrt{(r-1)^2 + \left(\frac{\sigma_{sim}}{\sigma_{obs}} - 1\right)^2 + \left(\frac{sim}{obs} - 1\right)^2}$ <i>r</i> is the Pearson correlation coefficient between <i>sim</i> and <i>obs</i>	91.28	260.6	0.30	-0.25	0.31	-0.50	0.31	-0.48	0.33	-0.50
SF.IoA-FV	Analogously to KGE, the Euclidean distance in a 2- dimensional space defined by IoA and FV $Cost = \sqrt{(IoA - 1)^2 + FV^2}$	90.43	258.9	0.44	0.00	0.45	-0.25	0.45	-0.22	0.46	-0.29
SF.SSE-sqrt	Sum of squared error based on square root-transformed fractional burned area $Cost = \sum_{i=1}^{i=N} (\sqrt{sim_i} - \sqrt{obs_i})^2$	58.15	194.3	0.15	-1.94	0.13	-1.96	0.15	-1.95	0.13	-1.96
SF.SSE-anom	Sum of squared error but with anomalies x' included as additional component. Cost = SSE(sim, obs) + SSE(sim', obs') Anomalies defined as the difference to a rolling mean value with a window length of 121 months: x' = x - rollMean(x)	57.20	192.4	0.25	-1.73	0.20	-1.81	0.22	-1.78	0.19	-1.82



Figure A 1: Importance of several predictor variables to predict monthly burned area using random forest. Importance is expressed as the percentage increment in mean squared error if a certain variable is not included in random forest. Thus, the most important variables cause the largest increment in MSE. Variables that include "orig" or "anom" indicates original absolute values and anomalies (relative to the mean seasonal cycle), respectively. "filterX" indicates mean values over the X precedent months before the actual month for which burned area should be predicted. In total 132 variables were included in this analysis but variables below rank 53 are not shown in this figure).



Figure A 2: Representativeness of sampled 0.25° grid cells for global patterns of burned area (based on GFED burned data). (a) Spatial distribution of the grid cells of the optimization and evaluation data subsets and regions for regional analyses of results. Regions are TUND (tundra), BONE (boreal needle-leaved evergreen and mixed forests), BOND (boreal needle-leaved deciduous forests), TEFC (temperate forests and croplands), MEDI (Mediterranean regions), STEP (steppes), SAVC (savannahs and tropical croplands), and TRFO (tropical forests). (b) Distribution of mean annual burned area per region from the sampled grid cells. Numbers indicate the number of grid cells per regions. (c-f) Comparison of mean and maximum annual burned between all global grid cells and the sampled grid cells. (c) and (d) distribution of maximum and mean annual burned. (e) Quantiles of mean and maximum annual burned area. (f) Latitudinal gradients of annual burned area. Latitudinal gradients are smoothing splines fitted to the quantile 0.95 of mean and maximum annual burned area, respectively.



Figure A 3: Uncertainty in parameters of the SOFIA model SF.124421 after genetic optimization. Shown are distributions (outlines), mean values (= 1), and confidence intervals (bars) for mean values for each parameter. Plotted are parameters from equally good-performing parameter sets (i.e. > 0.8 * normalized likelihood NLL, NLL = LL / max(LL) with LL = exp(-SSE)).

885 Author contribution

M. Forkel and W. Dorigo designed the study and experimental setup. M. Forkel developed code, carried out the analysis, and mainly wrote the manuscript. I. Teubner contributed with data pre-processing. G. Lasslop performed JSBACH-SPITFIRE model runs. K. Thonicke and E. Chuvieco contributed with conceptual ideas and references. All co-authors discussed results and contributed to the manuscript.

890 Competing interests

The authors declare that they have no conflict of interest.

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