A multi-diagnostic approach to cloud evaluation

Keith D. Williams* and Alejandro Bodas-Salcedo

Met Office, Exeter, UK

 $\hbox{*Corresponding author address: Keith Williams, Met Office, FitzRoy Road, Exeter, EX1~3PB, UK.}$

Email: keith.williams@metoffice.gov.uk Tel: +44 (0)1392 886905 Fax: +44 (0)1392 885681

March 30, 2017

Abstract

Most studies evaluating cloud in general circulation models present new diagnostic techniques or observational datasets, or apply a limited set of existing diagnostics to a number of models. In this study, we use a range of diagnostic techniques and observational datasets to provide a thorough evaluation of cloud, such as might be carried out during a model development process. The methodology is illustrated by analysing two configurations of the Met Office Unified Model - the currently operational configuration at the time of undertaking the study (Global Atmosphere 6, GA6), and the configuration which will underpin the United Kingdom's Earth System Model for CMIP6 (Coupled Model Intercomparison Project 6) (GA7).

By undertaking a more comprehensive analysis which includes compositing techniques, comparing against a set of quite different observational instruments and evaluating the model across a range of timescales, the risks of drawing the wrong conclusions due to compensating model errors are minimised and a more accurate overall picture of model performance can be drawn.

Overall the two configurations analysed perform well, especially in terms of cloud amount. GA6 has excessive thin cirrus which is removed in GA7. The primary remaining errors in both configurations are the in-cloud albedos which are too high in most northern hemisphere cloud types and sub-tropical stratocumulus, whilst the stratocumulus on the cold air side of southern hemisphere cyclones has in-cloud albedo's which are too low.

$_{22}$ 1 Introduction

The accurate simulation of cloud in general circulation models (GCMs) is of considerable importance across all timescales. At numerical weather prediction (NWP) timescales of a few days or less, cloud amount as a forecast product is of direct relevance to a number 25 of users (e.g. aviation, solar farms, etc.) and affects forecasts of other variables through 26 its radiative impact on the surface temperature and the effects of diabatic heating on the large scale circulation. On climate timescales, the radiative feedback from cloud on the global energy budget remains one of the largest uncertainties in determining the global climate sensitivity (Flato et al, 2013). Traditionally, the evaluation of cloud has been limited to quantities which were perceived to be of interest to the end user such as ground-based observations of total cloud 32 amount (Mittermaier, 2012), or top-of-atmosphere cloud radiative forcing (CRF) (e.g. 33 Gleckler et al, 2008). However, compensating errors within GCMs can result in a model performing well on such a limited set of metrics, despite the processes within the model being in error. A classic example is the simulation of subtropical stratocumulus, for which many GCMs simulate too little cloud cover, but the cloud which is simulated is too bright, the two errors compensating to result in a reasonable CRF (e.g. Williams et al, 2003; Nam et al, 2012). 39 Over recent years, a range of process-orientated diagnostic techniques have been devel-40 oped which composite the data according to other large-scale variables, with the intention of reducing the chances of a model appearing to perform well due to compensating errors. Compositing variables have, amongst others, included: large scale vertical velocity, (Bony et al, 2004); various measures of lower tropospheric stability, (Klein and Hartmann, 1993;

Williams et al, 2006; Myers and Norris, 2015); position relative to cyclone centre, (Klein

and Jakob, 1999; Govekar et al, 2011) and cloud regime (Williams and Tselioudis, 2007). In addition to model errors, there are errors in the observational datasets and how 47 they are used for GCM evaluation. For example, the 'total cloud amount' obtained from ground-based ceilometers will be underestimated since they typically cannot detect the highest clouds. When these issues are known, they can be mitigated by sampling the 50 model in a consistent manner to the observations (e.g. in this case, only considering model clouds up to the maximum height the ceilometer can detect). For cloud evaluation against satellite data, increasing use is being made of satellite simulators which aim to emulate the observations by carrying out a consistent retrieval on the model. A number 54 of satellite simulators have been brought together in the CFMIP (Cloud Feedback Model Intercomparison Project) Observational Simulator Package (COSP; Bodas-Salcedo et al, 2011) which has now been included in many GCMs.

Arguably the best way to minimise issues around compensating model errors, obser-58 vational error and model-observation comparison issues, is to routinely evaluate cloud in GCMs against a wide range of different observational datasets, using simulators where 60 appropriate and using a range of diagnostic techniques in order to gain a consistent pic-61 ture of model biases. In this study, we illustrate how the approach can be used for model development by applying a comprehensive cloud evaluation to two configurations of the 63 Met Office Unified Model (UM).

57

Cloud errors in the UM, possibly more than any other variable, are very similar across 65 timescales and horizontal resolutions (Williams and Brooks, 2008). Figure 1 shows the bias in high, mid and low cloud in the Global Atmosphere 6 (GA6; Walters et al, 2016) 67 configuration of the UM against CALIPSO (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation). It can be seen that the day 1 and day 5 forecast biases at N320

resolution (40km in mid-latitudes) are very similar to each other and to a climatological bias obtained from an AMIP (Atmosphere Model Intercomparison Project; Gates, 1992) 71 simulation at N96 resolution (135km in mid-latitudes). This means that we can make use 72 of each timescale in our analysis to its strengths and the conclusions should be applicable across the systems. Although the UM is being used (a model which is routinely assessed 74 for both NWP and climate work), we consider the cross-timescale approach a key aspect of the comprehensive evaluation. The initialised hindcasts provide case studies where model biases can be investigated in detail for particular meteorological events, in situations where the large scale dynamics remain close to those observed. In contrast, the longer climate simulations provide characterisation and statistics of the systematic errors. For those GCMs which are typically only used for a limited set of timescales, the AMIP 80 (Gates, 1992) and Transpose-AMIP (Williams et al, 2013) experimental designs allow the 81 possibility of this cross-timescale evaluation. 82 In the next section we provide details of the models, experiments and observational 83

In the next section we provide details of the models, experiments and observational data subsequently presented. We then evaluate the cloud simulation in the model over the tropics, mid-latitude storm tracks and mid-latitude land in sections 3, 4 & 5 respectively.

The overall impact of the cloud on the global radiation balance is then discussed in section 6. We summarise in Section 7.

3 Models and observational datasets

a Models and experimental design

Two configurations of the UM are used in this study. GA6 has been operational in all global model systems at the Met Office since 15th July 2014 and is fully documented by

- Walters et al (2016). GA7 has recently been frozen and is documented by Walters et al (2017). It is intended that GA7 will form the physical atmosphere model used by the United Kingdom Earth System Model 1 (UKESM1) which will be submitted to CMIP6 (Coupled Model Intercomparison Project 6).
- There are numerous physical parametrization changes between GA6 and GA7 which are detailed in Walters et~al~(2017). Those of most relevance for this study are:
- 1. The introduction of a scheme to allow the turbulent fluxes within the boundary layer 98 capping inversion to be resolved and for clouds ('forced cumulus') to form within 99 it. The height of the top of the capping inversion is diagnosed using an energetic 100 argument based on Beare (2008) which is applied to the convection diagnosis parcel. 101 Within the undulations of the capping inversion, if the parcel doesn't reach it's 102 level of free convection then forced cumulus may form. A cloud fraction profile is 103 parametrized from the (Zhang and Klein, 2013) data and inhomogeneously forced 104 into the cloud fraction profile between the lifting condensation level and inversion 105 top. The in-cloud water content is taken from the adiabatic parcel ascent in the 106 cumulus diagnosis. 107
- 2. A package of changes designed to improve warm rain microphysics. This includes 108 a change to the auto-conversion scheme to be based on Khairoutdinov and Ko-109 gan (2000) which was developed from a bin resolved microphysics scheme, and so 110 closely correspond to best estimates of what these process rates should be. They 111 are upscaled to a GCM following Boutle et al (2014). Because microphysical pro-112 cess rates are nonlinear, calculating the process rate from in-cloud mean quantities 113 (as is done in GA6) can lead to large biases in the process rate in low resolution 114 GCMs where the sub-grid variability is significant. This parametrization corrects 115

- the process rates for the presence of sub-grid variability, based on parametrizations of the sub-grid variability derived from aircraft, CloudSat (Stephens *et al*, 2002) and CloudNet-ARM (Atmosphere Radiation Measurement) site observations.
- 3. Improved cloud ice optical properties and ice particle size distributions (PSD) following Baran et al (2014) and Field et al (2007) respectively. The new PSD is an empirical fit that is better supported by observations and in GA7 is used consistently between the microphysics and radiation schemes.
- 4. Reduced rate of cirrus spreading by two orders of magnitude. The cirrus spreading
 was a simple parametrization intended to account for the spreading of cirrus through
 shear as it falls. It uses the model wind shear between successive layers to spread the
 ice as it falls at a rate controlled by a tunable parameter. It was included, largely as
 a tuning of outgoing longwave radiation (OLR), in an earlier configuration (GA4;
 Walters et al, 2014) and it is desirable to reduce the effect until the scheme is
 developed on firmer physical grounds.
- 5. Addition of the turbulent production of liquid water in mixed-phase clouds fol-130 lowing Field et al (2014). An exactly soluble stochastic model is used to describe 131 sub-grid relative humidity fluctuations. The probability density function (PDF) of 132 the fluctuations in a model grid-box depends on the turbulent local state based 133 on the boundary layer turbulent kinetic energy and on any pre-existing ice cloud. 134 Increments to liquid water cloud prognostic fields are diagnosed from the PDF. 135 This increases the liquid water contents and volume fractions of liquid cloud. A 136 temperature threshold restricts the scheme to regions below 0 Celsius. 137
 - 6. A change to the aerosol scheme from CLASSIC (Coupled Large-Scale Aerosol Sim-

138

ulator for Studies In Climate; (Bellouin et al, 2011)) to GLOMAP-mode (Global Model of Aerosol Processes modal aerosol scheme; (Mann et al, 2010)). GLOMAP-mode models the aerosol number, size distribution, composition and optical properties from a detailed, physically-based treatment of aerosol microphysics and chemistry. The scheme simulates speciated aerosol mass and number in 4 variable-size soluble modes to cover different aerosol size ranges (nucleation, aitken, accumulation and coarse modes) as well as an insoluble aitken mode. The prognostic aerosol species represented by GLOMAP-mode are sulphate, black carbon, organic carbon and sea salt. Cloud condensation nuclei are activated into cloud droplets using the Activate aerosol activation scheme based on Abdul-Razzak and Ghan (2000).

- 7. Although only small changes have been made to the scientific basis of the convection scheme, the numerics of the scheme have been re-written (the so called '6A convection scheme'). This is described in Walters *et al* (2017), but the key points are:
 - Three iterations rather than one iteration is used to solve the implicit equations for the potential temperature of the detrained mass and the residual plume in the calculation of the forced detrainment.
 - Three rather than two iterations are used in determining the potential temperature at saturation after lifting the parcel from one level to the next under dry ascent. The evaporation of parcel condensate is now also allowed if the parcel becomes sub-saturated after entrainment and the dry ascent.
 - The ascent in the 6A scheme will terminate when the mass flux falls below 5% of its value at cloud base, which replaces the previous arbitrary small value.

• The convection scheme will introduce small errors in the conservation of energy and water. These are now corrected locally to ensure that the column integral of these quantities is the same after the call to convection as they were before, replacing the previous global correction.

For each configuration, two types of experiment have been conducted, both being 166 standard tests used within the model development cycle for proposed changes to the 167 UM. These are a 20 year (1988-2007) AMIP experiment run at a horizontal resolution of 168 N96 (135km in mid-latitude), and a set of 24 independent 5-day NWP hindcasts spread 169 between December 2010 and August 2012, run at N320 (40km in mid-latitude) and ini-170 tialised from European Centre for Medium range Weather Forecasts (ECMWF) analyses. 171 ECMWF rather than Met Office analyses are used for case study tests within the model 172 development cycle so as not to favour the performance of the control model which may 173 have had the UM data assimilation system tuned towards it. This also makes the hind-174 casts consistent with the standard Transpose-AMIP experiment (Williams et al, 2013), 175 except for the specific dates run.

b Observational datasets and simulators

162

163

164

165

We make use of a variety of observational datasets. The International Satellite Cloud
Climatology Project (ISCCP) D1 product (Rossow and Schiffer, 1999) uses passive radiometer data from geostationary and polar orbiting satellites to produce 3-hourly histograms of cloud fraction on a 2.5° grid in seven cloud top pressure and six optical depth
bins. CALIOP (Cloud-Aerosol Lidar with Orthogonal Polarization) is a cloud lidar on
the CALIPSO platform (Winker et al, 2010), which is part of the NASA A-train satellite constellation. It uses a nadir pointing instrument with a beam diameter of 70m at

the earth's surface and produces footprints every 333m in the along-track direction. We use the GCM-orientated CALIPSO cloud product (Chepfer et al, 2010) which contains 186 histograms of cloud amount in joint height-backscatter ratio bins and well as total cloud 187 amount in standard low (>680hPa), mid (440hPa-680hPa) and high (<440hPa) categories. The histograms are formed by assigning the cloud occurrence in each height and 189 backscattering ratio category with a minimum backscattering ratio of 3. The percent-190 age occurrence in each bin is then determined. CloudSat (Stephens et al, 2002), also on the A-train, is a 94GHz cloud radar which pulses a sample volume of 480m in the ver-192 tical and a cross-track resolution of 1.4km. We use the CloudSat 2B geometrical profile 193 (2B-GEOPROF) (Marchand et al., 2008) product which includes histograms of hydrom-194 eteor frequency in joint height-radar reflectivity bins. The complementary nature of the 195 CloudSat and CALIPSO in terms of the hydrometeor profile provided by the radar and 196 detection of very thin clouds by the lidar, and their co-location on the A-train mean that 197 they may be combined to produce a 'best estimate' hydrometeor fraction through the depth of the atmosphere column. This has been done by Mace and Zhang (2014) in the 199 form of the radar-lidar geometrical profile (RL-GEOPROF) product. In this study we 200 use revision 4 (R04) of RL-GEOPROF.

All of the above have a simulator within COSP (Bodas-Salcedo et al, 2011) in order to produce comparable diagnostics from the model by emulating the satellite retrieval.

The simulators are described by Klein and Jakob (1999)/Webb et al (2001), Chepfer et al (2008), Haynes et al (2007) for the ISCCP, CALIPSO and CloudSat simulators respectively. The ISCCP simulator uses a perfect optical depth retrieval, taking into account the subgrid variability of cloud condensate used in the model's radiative transfer model. The cloud top pressure is based on a simple estimation of the 10.5 micron brightness temper-

ature, which is then mapped onto the temperature profile as a function of pressure. The
CALIPSO and CloudSat simulators are forward models of the attenuated backscattering
ratio at 532nm, and reflectivity at 94GHz, respectively.

COSP version 1.4 is used in this study, which does not include a diagnostic of combined radar-lidar cloud fraction. In order to compare model clouds against RL-GEOPROF, a new diagnostic that combines CALIPSO scattering ratio and CloudSat reflectivities has been developed. The new diagnostic is a simple combined cloud mask. Each volume in each sub-column is flagged as cloudy if the CALIPSO scattering ratio (SR) is above the detection threshold (SR≥3.0) or the CloudSat reflectivity is greater than -30dBZ. Then the cloud fraction at each level is calculated as the ratio of cloudy volumes divided by the total number of volumes.

The cloud identification of the GCM Orientated CALIPSO Cloud Product (GOCCP) 220 is performed at the nominal horizontal resolution (330m below 8km, and 1km above 8km). 221 At that resolution, the instrument noise level is high. In order to minimise false positives due to noise, GOCCP uses a very conservative scattering ratio threshold (SR=5). The 223 CALIPSO cloud mask used in the RL-GEOPROF product uses a 5km spatial averaging 224 to increase the signal-to-noise ratio and allow the detection of thinner clouds. Chepfer et al (2013) show that the implicit SR detection threshold in the CALIPSO cloud mask used 226 in RL-GEOPROF ranges between 1 and 3. We have therefore reduced the SR threshold 227 from 5 to 3 in COSP in order to represent a diagnostic that is more comparable to the 228 RL-GEOPROF cloud mask. A value of 3 is chosen because it is one of the boundaries used by GOCCP to construct height-SR histograms. Supplementary material Figure 1 230 shows the impact of reducing the SR threshold in the vertical profile of cloud fraction 231 over the tropical belt.

Evaluation of the top-of-atmosphere radiative fluxes are made against CERES-EBAF (Clouds and the Earth's Radiant Energy System-Energy Balanced and Filled) dataset 234 (Loeb et al, 2009). We also make use of synoptic surface observation (SYNOP) data 235 (WMO, 2008). Mittermaier (2012) discuss some of the issues around using these data for cloud verification. We consider the most significant for evaluation of model biases are 237 the differences in the maximum altitude at which automated ceilometers used by different 238 countries can detect cloud, which in turn differ from human observers. In this study we just use cloud base height information in situations where the cloud base is below 1km. 240 It is in these situations that the SYNOP observations should be the most consistent and 241 reliable.

Compositing techniques are employed to provide a more process-orientated cloud evaluation. In all cases, the data used to composite the observed cloud fields (500hPa) vertical 244 velocity, pressure at mean sea level, etc.) are from ERA-I (ECMWF Interim Re-analyses; 245 Dee et al, 2011). Composites using daily mean data are formed from 5 year datasets. Other multi-annual mean plots are formed from all of the complete years of data available 247 for the observational datasets (25 years for ISCCP, 12 years for CERES-EBAF and 5 years 248 for CloudSat/CALIPSO) and 20 year means for the AMIP simulations. We perform a Student's t-test based inter-annual variability of the data available to determine the 5% 250 significance of model-model and model-observational differences. These have been added 251 to figures in the paper, however in general the inter-annual variability is small compared to the differences discussed.

3 Tropical cloud evaluation

Tropics-wide (20°N-20°S) multi-annual average frequency histograms for ISCCP, CALIPSO 255 and CloudSat, together with the outputs from COSP for GA6 and GA7 AMIP experiments are shown in Figure 2a-c. Taking ISCCP first (Figure 2a), retrievals from passive 257 instruments provide a cloud top view. Compared with the newer active instruments, the 258 vertical resolution is poor and there are issues with the height assignment under certain conditions (Mace and Wrenn, 2013). Nevertheless, the optical depth information from ISCCP remains valuable for optical depths greater than approximately 1.0, hence an op-261 tical depth frequency profile is also shown. Both GA6 and GA7 tend to simulate too 262 little cloud with intermediate optical thicknesses (1.0-10.0) and slightly too much optically thick cloud. Referring back to the full histograms, this bias appears to be the case 264 for both high and low-top cloud. 265

Arguably, CALIPSO provides the best global picture of total 2D cloud cover since, unlike the other instruments considered here, it can detect thin sub-visual cirrus. The 267 vertical resolution is good, hence in Figure 2b, as well as providing the full histograms, we 268 collapse along the backscattering ratio axis to provide a vertical profile of cloud frequency. 269 In doing this, for altitudes below 4km we only consider backscattering ratios greater than 5 due to the potential contamination from aerosols in the boundary layer, however 271 above 4km backscattering ratios as low as 3 are included so as to account for very thin 272 cirrus. This choice of the vertical profile of backscattering ratio threshold also gives a profile which most closely matches the CALIPSO cloud detection product used within 274 the RL-GEOPROF dataset (Supplementary material Figure 1). The lidar does become 275 attenuated in the presence of thick ice cloud, and is attenuated quickly in the presence of liquid cloud, hence this profile remains largely a cloud-top view.

Although the CloudSat radar is not sensitive to sub-visual cirrus, it uniquely provides
a full 3 dimensional view of the cloud, only becoming attenuated in moderate and heavy
rain. Despite the name, it should be noted that CloudSat is sensitive to precipitation as
well as cloud. As for CALIPSO, in Figure 2c we provide a vertical profile of hydrometeor
frequency in addition to the full height–radar reflectivity histograms from CloudSat.

Comparing the models with CALIPSO and CloudSat (Figure 2b&c), GA6 clearly has 283 excess amounts of cirrus and this is corrected in GA7. A number of physical improvements included in GA7 have changed the amount of cirrus including the new ice particle size 285 distribution and revised ice optics, however the largest decrease in cirrus has come from 286 the reduction in the rate of cirrus spreading associated with wind shear as the ice falls between successive model levels. This is clear from the orange line on the profile plot of Figure 2b which is a simulation identical to GA6 (the blue line) but with the cirrus 289 spreading reduced to the value used in GA7. The altitude of the cirrus is also too low 290 compared with CALIPSO, but this bias doesn't appear to exist when comparing with CloudSat, which indicates that the issue is associated with very thin cirrus. The CALIPSO 292 histograms indicate that as the cloud thins to the lowest backscattering ratios, the altitude 293 of the cloud should increase, however this does not appear to be the case in GA6. In GA7 the altitude-backscatter ratio relationship is improved such that the highest cloud has the 295 lowest backscattering ratios. This slight increase in the altitude of the cirrus is the result 296 of the revised numerics of the convection scheme. This can be seen from the cyan line in Figure 2b which is a simulation identical to GA6 (the blue line) but with the convection using the 6A scheme (revised numerics). Despite this slight increase in height, the overall 299 altitude of the thin cirrus still remains below that observed by CALIPSO. 300

The low altitude cirrus bias can be examined in more detail in a case study using a

301

short-range hindcast (Figure 3). In this example (which is typical of other convective cases examined), the A-train overflew a convective system over the South China Sea. The 303 top panels of Figure 3 show the observed and GA6 simulated radar reflectivities. Data 304 from CALIPSO have been added in locations where the lidar was detecting cloud which was not detected by the radar. It can be seen that the model is able to simulate thin cloud 306 in the upper levels of the convective system right up to the observed altitudes of around 307 16km. The nominal along-track resolution of the RL-GEOPROF product is 1.7km, so if a threshold of -40dBZ is used for cloud identification and it is regridded onto the model 309 grid, which is 80km near the equator, then an observed cloud fraction over a model grid-310 box can be estimated. This assumes that the along-track cloud fraction is representative 311 of the 2D grid box. Whilst this is a fair assumption when considering a large number of 312 cases which the A-train will cross at random orientations, there may be an error when 313 considering a single case such as this. The observed and simulated grid-box cloud fraction 314 on the model grid are shown in the lower panels of Figure 3. Large cloud fractions occur up to the top of the convective system in the observations, whereas they reduce quickly 316 above 14km in the model. So it appears that the lack of the highest thin cirrus is primarily 317 because the fractional coverage of grid-boxes is too small in situations where some cloud is present, rather than there being too many completely clear grid boxes at these altitudes. 319 This is likely due to too little condensate being detrained at these altitudes, with what 320 there is being either the result of convection going slightly deeper on occasional timesteps 321 or, more likely, some of the condensate being advected vertically having been detrained below. 323

Moving down in altitude, Figure 2b suggests the models have too little mid and low top cloud in GA6, whereas Figure 2c may be interpreted as GA6 having considerably too

much. However, the excess hydrometeor frequency at lower levels in GA6 is entirely due to excess drizzle in the model rather than cloud. This can be demonstrated by re-running 327 GA6 but not passing the large scale precipitation field to the CloudSat simulator (cyan 328 line in Figure 2d). In this case the excess hydrometeor fraction is completely removed. Examining these drizzle rates in the model, they are very low (typically < 0.005mm/hr, 330 not shown), possibly explaining why this model defect had not been spotted before, and 331 again showing the benefit of carrying out evaluation against multiple datasets. anomalous drizzle is corrected in GA7 to leave the hydrometeor fraction slightly too small 333 at low levels (Figure 2c), which is believed to by mainly due to a lack of heavy convective 334 rain (region of the histogram with radar reflectivities >0). The improvement in drizzle in 335 GA7 is entirely due to the warm rain microphysics package, which can be demonstrated 336 if GA6 is run again (all fields passed to the simulator) with just the GA7 change to the 337 warm rain microphysics applied (Figure 2d). Within this package, the change to use the 338 Khairoutdinov and Kogan (2000) scheme reduces auto-conversion rates by a factor of around 100 compared with the scheme in GA6. These rates would be too low without 340 the Boutle et al (2014) GCM upscaling, however even after this correction, the auto-341 conversion rates remain around 10 times small than GA6 which accounts for the removal of the spurious drizzle. 343

Figure 3 shows, under the cirrus shield in GA6, an extensive region of high hydrometeor fraction and reflectivities of the order -10dBZ, between the surface and 7km which is absent in the observed transect. This is consistent with the region of the histogram in Figure 2c where there is spurious large-scale rain. It is likely that large scale cloud is forming in the moist air around the convective system and that it is undergoing auto-conversion, showing up as a strong signal in the CloudSat simulator. In GA7 (not shown) this precipitation

signal is removed with just a cloud signal remaining at around -40dBZ. It should be noted
that this is in a region largely attenuated for CALIPSO as it is below the cirrus shield and
so doesn't contribute to the 'missing' mid-top cloud which is believed to more cumulus
congestus rather than large-scale.

Tropical low cloud can be more easily assessed if regions are examined in which deep 354 convection is rare/non-existent. Considering a region of the tropical Pacific dominated by 355 trade cumulus and comparing with CALIPSO (Figure 4), GA6 appears to have too little cloud. The forced shallow cumulus scheme improves the amount of shallow cumulus at 357 heights of around 1km, although there looks to be a secondary peak in low cloud around 358 2km which is absent in both configurations of the model. The region does receive some thin cirrus outflow from nearby deep convective regions, however the amounts are far too large in the model. This indicates that the cirrus lifetime is too great, possibly due 361 to errors in microphysical processes, or macrophysical fields (such as relative humidity 362 being too high). Although improved in GA7 due to the reduced cirrus spreading rate, the excess cirrus in this region remains. Chepfer et al (2013) show that the averaging 364 effect is sensitive to the length of the averaging and is higher for low-level, small-scale 365 broken cloud. For high clouds, the differences between GOCCP and the CALIPSO cloud retrieval used by RL-GEOPROF are dominated by the SR detection threshold. 367 height-dependent SR detection threshold used in this study increases the sensitivity to 368 high clouds (supplementary Figure 1). For cirrus clouds in the regions shown in Figure 4, the bias introduced by lack of averaging smaller than 0.05 (Figure 10 in Chepfer et al. 2013), supporting the interpretation that the cirrus amounts simulated by the models are 371 excessive in this region. 372

Over the past couple of decades, a key focus of model development in the UM in

373

relation to clouds has been on improving the simulation of subtropical stratocumulus due to its importance in determining the global cloud feedback under climate change (e.g. 375 Bony and Dufresne, 2005). Many models have too little cloud in this region, with what 376 there is being too bright (Nam et al, 2012). A number of improvements in previous configurations have resulted in the cloud amounts being in very good agreement with 378 CALIPSO (Figure 4), although the low cloud amounts are reduced slightly in GA7 as a 379 result of the change in the aerosol scheme to GLOMAP-mode. Compared with ISCCP, GA7 has considerably too little moderately reflective cloud in this region, but slightly 381 too much optically thick cloud indicating that what cloud there is remains too reflective. 382 Consistent with this, comparison against a number of observational datasets indicates that the cloud effective radius simulated by the model is too low in many regions, including 384 subtropical stratocumulus (not shown), and is indicative of the aerosol cloud indirect 385 effect being too strong (Walters et al, 2017). 386

Compositing cloud data by large scale variables is a useful way of summarising the tropical cloud structures across different meteorological situations. The most common 388 are to composite against 500hPa vertical velocity (Bony et al, 2004) and a measure of 389 lower tropospheric stability. A number of measures for the latter have been proposed (e.g. Klein and Hartmann, 1993; Williams et al, 2006; Wood and Bretherton, 2006), however 391 here we simply use the spacial variation in sea surface temperature (SST) (e.g. Williams 392 et al, 2003). We composite the observed and modelled CALIPSO cloud profile by daily 393 500hPa vertical velocity (ω_{500}) and SST (Figure 5). The desirable increase in altitude of the cirrus, discussed above for the tropics as a whole, can be seen in all the large scale 395 vertical velocity regimes. The reduced cirrus amount in Figure 2b is also reflected in 396 Figure 5, with the largest reduction in regions of strongest ascent. However there now

appears to be too little cirrus in weakly ascending regimes in GA7. This separation by regime therefore gives useful insights on where there might be compensating errors in the tropical mean picture provided by Figure 2.

The SST composites appear to better separate the stratocumulus regions at the coldest end as these bins clearly show higher fractions of boundary layer cloud. There is slightly too little low cloud in a number of the SST and ω_{500} composite bins, whilst there looks to be too much stratocumulus in the coldest SST bin. However in general, low-top cloud amounts appear to be reasonably well simulated.

4 Cloud evaluation in the mid-latitude storm tracks

The weather over the mid-latitude oceans is characterised by the passage of synoptic systems. Since the cloud structures change on a daily basis, compositing of climatological 408 data is essential. Here we follow Govekar et al (2011) to analyse RL-GEOPROF cloud data 409 around a composite cyclone, using the cyclone compositing technique of Field and Wood (2007). Cyclone centres are identified from daily ERA-I PMSL (pressure at mean sea level) data over the northern hemisphere oceans (35°N-70°) and the RL-GEOPROF data 412 extracted for a 30° latitude by 60° longitude box centred on the cyclone. All the cyclones 413 from 5 years worth of daily December-January-February (DJF) data are then averaged to form a composite cyclone. In order to visualise the composite, Figure 6 shows several sections through the 3 dimensional composite. The top panels are horizontal sections in 416 the boundary layer (1.7km) and upper troposphere (6km) with the mean PMSL contoured. 417 The positions of frontal features will vary with time and between systems, and the size of cyclones varies which also smooths the composite, but on average it would be expected that fronts would occupy the south-east quadrant with a cloud head wrapping around the

north of the cyclone (Field and Wood, 2007). This can be seen as higher cloud fractions in these locations in the section at 6km, whilst the boundary layer hydrometeor fraction 422 appears more symmetrical around the cyclone with a maximum near the centre. The 423 lower panels on Figure 6 are vertical sections across the composite to the south and to the east of the centre, with the contours indicating the average vertical velocity from 425 ERA-I (dashed indicates ascent). The east-west cross section at 4° south of the centre 426 has large-scale descent in the cold air on the left of the plot with cloud largely confined to the boundary layer. Moving to the east, there is a change to large scale ascent and higher 428 cloud fractions throughout the troposphere as we cross the composite warm conveyor belt. 429 The north-south section shows similar strong ascent and high cloud fractions in the cloud head just to the north of the surface cyclone centre, but also an indication of a secondary 431 maximum at the southern end $(-5^{\circ}, 2 \text{km to } -12^{\circ}, 6 \text{km})$ where the section will sometimes 432 pass through a trailing cold front. 433

The same compositing methodology can be applied to the model with a simulated RL-GEOPROF product from the CloudSat and CALIPSO simulators. The difference between the modelled and observed composite cyclones can be calculated (Figure 7). Both model configurations have excess hydrometeor frequency in the boundary layer around the cyclone. This is slightly improved in GA7 with the largest bias confined to the western periphery of the cyclone. GA6 also has considerably too much cirrus on the rearward side of the frontal regions. The excess cirrus is completely removed in GA7 through the reduced cirrus spreading rate such that cloud amount biases in the free troposphere around the GA7 composite cyclone are very small.

A case study again provides a useful illustration of the excess cirrus in GA6 (Figure 8).

In this example the A-train passed over a mature depression in a very similar section to

the lower-right panel of the cyclone composite in Figure 6. Given this is a forecast with a greater than 1 day lead time, the simulated positions of the frontal features are very 446 good. The main bias is the width of the cloud associated with the warm conveyor belt being too large, especially visible for the trailing cold front at around 44°N. Examining the cloud fraction on the model grid, there are instances on the edges of the fronts where 449 the observations suggest clear sky but the model simulates partially cloud grid-boxes. 450 In contrast, within the cloud head around 60°N there is an indication that the model too readily breaks up the cloud when the grid box should be completely covered. This 452 tendency for the model to too often simulate partially cloudy grid-boxes rather than 0\% 453 or 100% is consistent with previous experience with the UM (e.g. Mittermaier, 2012) and may relate to a critical relatively humidity still be used to initially form/decay cloud 455 when the grid box is 0%/100% cloud covered respectively. 456

The same cyclone compositing methodology has been carried out over the northern 457 hemisphere oceans for June-July-August (JJA) and for the summer and winter seasons in the southern hemisphere $(40^{\circ}S-70^{\circ}S)$. We have also composited anticyclones using the 459 same cyclone settings as Field and Wood (2007), but testing for $d^2p/dx^2 + d^2p/dy^2 < 0$ 460 in order to identify a local maxima in surface pressure rather than a local minima. All the plots are available in the Supplementary Material and show a broadly similar picture 462 of excess cloud in the free troposphere and boundary layer in GA6, the former being es-463 sentially fixed and the latter improved in GA7. The GA6 cirrus biases in anticyclones are smaller than cyclones, but the boundary layer issues are more comparable. The cyclone composite for the Southern Hemisphere summer now suggests slightly too little mid-level 466 (2-5km) cloud on the cold air side (poleward and westward side) of the cyclone in GA7 467 (Supplementary Material Figure 2). This may be associated with a lack of congestus cloud

here which is a long-standing problem, but was being masked in GA6 through the excess cirrus throughout the free troposphere. Govekar et al (2011) provided an evaluation of cyclone composite cloud amounts over the Southern Ocean in an earlier configuration of the UM (Australian Community Climate and Earth System Simulator, ACCESS1.3).

They concluded that while the cloud simulation was in reasonable agreement with observations, the large scale vertical velocity was poor and they cautioned that there may be a compensating error in the cloud simulation. In both GA6 and GA7, the vertical velocities in the cyclone composites compare well with ERA-I (e.g. Figure 7), hence this issue is no longer of concern.

Despite the cloud amount composites showing cloud fraction errors of less than 0.15 478 (and often less than 0.05) in GA7, composites of the top of atmosphere (TOA) radiation biases reveal some issues (Figure 9). The OLR is slightly too low across the cyclone 480 composites which is believed to generally reflect a slight tropospheric cold bias in the 481 model. However, the main issue is in the reflected shortwave (RSW). Unsurprisingly, this error is larger in the summer season in each hemisphere when the insolation is greatest. 483 The northern hemisphere has excess RSW across the cyclone composite, and particularly 484 in regions of the composite with more cloud. In contrast the southern hemisphere has a 485 large deficit of RSW on the cold air side of the cyclone, a common bias in climate models 486 (Bodas-Salcedo et al, 2014). The northern hemisphere being too reflective can also be 487 seen in the anticyclone composites (Supplementary material Figure 4), but the southern 488 hemisphere error seems mainly confined to the cyclone composite.

Figure 10 shows composite cyclone in-cloud albedo biases against ISCCP. In contrast to the RSW, the in-cloud albedo does not depend on the insolation and so a cloud microphysical error affecting the albedo which is present throughout the year will appear

the same in the DJF and JJA plots. However, these albedo biases have a structure which is consistent with the radiation errors e.g. the fact that the negative RSW bias on the 494 poleward side of the southern hemisphere cyclone is larger in DJF than JJA is partly due 495 to there being a larger albedo error in the austral summer rather than just the insolation being higher. In the northern hemisphere, the DJF in-cloud albedo has the largest posi-497 tive bias in the south-west quadrant of the composite cyclone, which is where there is the 498 largest positive bias in RSW; whereas in JJA, the in-cloud albedo bias is more in the central and south-east side, again consistent with the RSW error. Unlike the in-cloud albedo 500 errors, the cloud amount errors in Figure 7 and the Supplementary Material appear not 501 well correlated spatially with the RSW errors around the composite cyclone. We therefore suggest that microphysical processes are primarily responsible for the SW errors through 503 incorrect cloud albedos. This is a good example of the value of the compositing technique 504 for understanding the likely cause of radiation errors. Although the subject of ongoing 505 research, we believe that the negative in-cloud albedo bias on the cold-air side of the southern hemisphere cyclone is due to a lack of super-cooled liquid water (Bodas-Salcedo 507 et al, 2016), whereas the northern hemisphere bias is thought to be associated with issues 508 around the simulation of aerosols and their interaction with the clouds, particularly the strong cloud-aerosol interaction noted earlier. 510

511 5 Cloud evaluation over mid-latitude land

Much of the northern hemisphere mid-latitudes are land covered and here we composite the RL-GEOPROF hydrometeor fraction and CALIPSO cloud fraction, along with their simulated equivalents, by ω_{500} . We illustrate the results for DJF (Figure 11), although JJA is qualitatively similar. The excess cirrus issue in GA6 can again be seen and this is removed in GA7. For some of the regimes, it looks as though there may be now too little cirrus in GA7, although these are the relatively less populated regimes of strongest ascent and strongest subsidence.

There appears to be a significant excess of hydrometeor fraction in both model configurations at around 1km, however the CALIPSO profiles suggest the cloud fractions at 520 this level are generally correct. This exemplifies the utility of of using multiple observa-521 tion types and indicates that the excess hydrometeor in the RL-GEOPROF comparison is either low cloud in situations where there is thick high cloud above, and/or excess 523 precipitation. Although a detailed investigation is yet to be carried out, it is suspected 524 that both may be contributing. Case study analysis in the vicinity of the UK in February 2015 has identified a few occasions with spurious drizzle/light rain falling from stratocu-526 mulus (not shown). Unlike the warm drizzle cases in the tropics which were improved 527 by changes to the auto-conversion scheme in GA7, these mid-latitude winter cases have 528 frozen cloud tops. It is possible that the microphysical errors leading to excess drizzle in frozen stratocumulus seen in the case study are a general issue contributing to the bias 530 in Figure 11. However, low cloud is frequently simulated by the model over land areas in 531 the winter and given that a cirrus shield is present on many occasions, it is quite possible that excess low cloud is also being simulated but shielded from the CALIPSO simulator. 533 The active satellite instruments provide an invaluable global picture of the three di-534 mensional cloud structure through most of the troposphere, however the radar can be contaminated with ground clutter in the lowest few hundred metres, and the lidar will frequently be attenuated before detecting the lowest cloud layers. Accurate predictions of 537 cloud near the surface are of the highest importance for a number of users of the model, 538 especially aviation. Here we use SYNOP data which, whilst having a reasonable global

coverage over land, are likely to be the most reliable observation type available for this lowest layer. They avoid the ground clutter issues of remote sensing from space and an 541 upward pointing ceilometer or human observer looking from the ground is likely to achieve higher accuracy for low cloud bases as they avoid the problem of attenuation from cloud above. By looking at the lowest 1km, many of the issues associated with the SYNOP data 544 (combining human and automated data and differing observational errors associated with 545 each) will be minimised (Mittermaier, 2012). In order to confine the analysis to cloud with bases below 1km, we use the cloud base height observation and look at frequency of occurrence of cloud bases below 1km. The cloud base height is defined as the height 548 of cloud with coverage of 3 oktas or more, hence instances of small cloud coverage are excluded from this analysis. As a consequence, significant model biases in this diagnostic 550 can appear if the observed cloud amount is typically just over 3 oktas and the model 551 cloud fraction is just under (or vice-versa). This appears to be an issue for the UM in 552 parts of the tropics where too little shallow cumulus is simulated and typically the model has cloud fractions of <3 oktas (i.e. grid box fraction of <0.375) whereas fractions over 554 this threshold are often observed and hence a cloud base height assigned. More generally 555 the diagnostic is reflecting errors in the frequency of occurrence of low-base cloud. Based on comparison with the active instruments at higher altitudes, we suspect that biases are 557 more often reflecting errors in the frequency of occurrence of low cloud rather than errors 558 in the cloud base height on any one occasion. 559

Figure 12 shows the day 1 bias in the frequency of occurrence of cloud base height for
one year of data since GA6 became operational. Note that here the term 'bias' uses the definition of the the international Joint Working Group for Forecast Verification Research as
being (hits + false alarms)/(hits + misses) (http://www.cawcr.gov.au/projects/verification/),

so a value of 1.0 would indicate no model bias. In order to visualise the station density
more clearly, we show a section over Europe which illustrates the key points of the midlatitude land regions in general. Over most of the area the model performs well and
is essentially unbiased. Its performance over the UK is comparable to a 1.5km convective permitting configuration of the UM which is run operationally over the region (not
shown). However over areas of notable orography, such as the Alps, there appears to be
excess low cloud in the model. In contrast, around some of the coasts (especially France
and Italy) there is too little low cloud. Further work is required to identify the cause of
these errors.

₅₇₃ 6 Global cloud radiative effects

Traditionally the primary evaluation of clouds in climate models was through an assessment of their impact on the TOA radiation budget. However, as discussed in the introduction, this could hide compensating errors which might result in an incorrect cloud
radiative response to climate change. We suggest instead that this assessment should be
towards the end of a wider cloud evaluation, such as that presented above, feeding into
the model development process.

The GA6 and GA7 bias in TOA RSW and OLR is shown in Figure 13. Generally the biases are reasonably similar with some local improvements (e.g. in RSW over India and the equatorial Indian Ocean) and local detriments (e.g. in OLR over the Maritime Continent). A widespread bias for the free troposphere to be too cold in GA6 has been slightly improved in GA7 (mainly due to the introduction of the 6A convection scheme (Walters et al, 2017)) which largely accounts for the general increase in OLR in the newer model. Given that GA7 will be the physical model underpinning the UK submission to CMIP6, it

is useful to compare back to HadGEM2-A (Hadley Centre Global Environmental Model

2 - Atmosphere; Martin et al, 2011) which was the CMIP5 submission. It should be

noted that HadGEM2-A is a comparatively old model with some 7 years of continuous

model development having occurred between this and GA6, hence the differences in the

radiation budget are much larger. It can be seen that GA7 is a considerable improvement

on HadGEM2, especially for the RSW. The error in the sub-tropical cumulus transition

regions of excess RSW has been removed and there is now a smaller negative bias in GA7.

The lack of RSW over the Southern Ocean has been reduced by a third and RSW & OLR

biases over the Maritime Continent have been significantly improved.

Metrics are often used to summarise the overall performance of the model. There 596 are few such metrics in the literature for NWP-seasonal cloud prediction applications, 597 however a number have been proposed for aspects of the cloud simulation which are likely 598 to be important for the radiative response of cloud to climate change (e.g. Pincus et al, 599 2008; Klein et al, 2013; Myers and Norris, 2015). Here we illustrate the calculation of metrics as the final step in the evaluation process by presenting the present day Cloud 601 Regime Error Metric (CREMpd) of Williams and Webb (2009). This metric assesses the 602 ability of the models to simulate primary cloud regimes (as determined by the daily mean cloud cover, optical depth and cloud top height) with the correct frequency of occurrence 604 and radiative properties. Here we modify one aspect of the Williams and Webb (2009) 605 approach by using the newer global regimes proposed by Tselioudis et al (2013) instead of calculating the tropics, extra-tropics and snow/ice covered regions separately. Figure 14 shows the CREMpd for GA6, GA7 and all the CMIP5 models for which the required data 608 are available, with zero being a perfect score compared with the observations. GA6 is 609 comparable with the previous HadGEM2-A model as being among the better performing models on this metric, with GA7 performing slightly worse but still competitive with other
CMIP5 models. Having a climate change application focus, CREMpd is very sensitive to
the accuracy of the simulation of clouds with the strongest net radiative effect, namely
stratocumulus. Consequently GA7 is penalised compared with GA6 for the overall reduction in the albedo of sub-tropical stratocumulus (Figure 4). In contrast, the metric has
limited acknowledgment of the large improvements in the amount of cirrus in GA7 since
the radiative effect of this, largely sub-visual cloud, is small.

⁵¹⁸ 7 Summary and discussion

In this study we have attempted to convey a more thorough evaluation of cloud than has 619 traditionally been undertaken as part of a model development process. Our experience 620 has been that using a limited set of diagnostics and/or observational datasets can result in 621 compensating errors. An example is the rate of cirrus spreading which was part of a change 622 introduced in GA4 (Walters et al, 2014), but at the time we were not routinely evaluating 623 against CALIPSO. We have now discovered that this was producing excessive amounts of sub-visual cirrus and this has been corrected in GA7. The ability to compare the models 625 with multiple satellite datasets using COSP, combined with a variety of compositing 626 techniques has permitted a detailed, process-orientated evaluation to be undertaken. We find that the use of multiple datasets and diagnostic techniques to draw a consistent picture of model errors is likely to reduce the risk of drawing the wrong conclusions and 629 more accurately focus future model development. Examples include the comparisons 630 between CloudSat and CALIPSO that demonstrate errors due specifically to thin cirrus, or to excess precipitation as opposed to cloud error; the use of cyclone composites of cloud 632 amount, in-cloud albedo and radiative fluxes to show, through similar spatial patterns,

that the error in the RSW is likely due to errors in the in-cloud albedo rather than cloud amount; the use of surface-based observations for the lowest atmospheric layers where remote sensing from space becomes problematic; etc.

The combination of CloudSat and CALIPSO provides a unique three dimensional observational dataset of hydrometeor frequency through much of the atmosphere. We 638 find that some care is required in its use for model evaluation in terms of separating 639 cloud and precipitation, and the ability to perform multiple simulations passing different fields to the simulator can be valuable. Despite being an older satellite dataset, the optical 641 depth information from ISCCP remains extremely valuable for model evaluation purposes. 642 Evaluation of very low cloud (<1km) remains a challenge, especially when thicker cloud exists above. We have made use of the SYNOP data which have reasonable coverage over land and, for cloud at these altitudes, may be regarded as fairly reliable. The thresholds 645 and variables available in the SYNOP data do limit the evaluation though. 646

A key part of our evaluation process is the cross-timescale assessment which enables
the statistical robustness of the climate simulations to be combined with more detailed
analysis of case studies in NWP hindcasts to understand the model errors at the process
level. Although many centres don't routinely run simulations across these timescales,
the AMIP and Transpose-AMIP experiments proposed by the Working Group Numerical
Experimentation (WGNE) provide a relatively simple methodology enabling all centres
to benefit from this approach.

GA6 generally performs well given the critical examination presented here. The main errors are:

1. A considerable excess of thin, often sub-visual, cirrus erroneously extending from thicker cirrus clouds which ought to be present. This has been essentially fixed in

658 GA7.

- 2. In-cloud albedo is too high in tropical and extra-tropical stratocumulus, except on the cold air side of cyclones in the Southern hemisphere where they are too low.
- 3. A slight excess of boundary layer hydrometeor fraction over the mid-latitudes which is suspected to be a combination of excess cloud and drizzle.
- Apart from errors in external driving factors such as the location and timing of convection and synoptic systems, item 2 in the list above is the main cloud error affecting the mean radiation bias.
- Although we have attempted the most comprehensive assessment possible in the time
 available, the task is inevitably open ended. The main omissions which we would have
 liked to address are an evaluation of the diurnal cycle of clouds globally and cloud over
 high latitude regions. Sea ice and snow cover are likely to be quite sensitive to cloud and
 this is a region which has generally received little detailed systematic cloud evaluation.
 Use of data from additional instruments such as ground-based cloud radar and lidar, and
 from the Multi-angle Imaging Spectro-Radiometer (MISR) satellite instrument would also
 be valuable additions in future studies.

674 Code availability

The UM is available for use under licence. A number of research organisations and national meteorological services use the UM in collaboration with the Met Office to undertake basic atmospheric process research, produce forecasts, develop the UM code and build and evaluate Earth system models. For further information on how to apply for a licence see http://www.metoffice.gov.uk/research/collaboration/um-collaboration. Versions 8.6

(for GA6) and 10.3 (for GA7) of the source code are used in this paper.

Acknowledgments

- 682 This work was supported by the Joint DECC/Defra Met Office Hadley Centre Climate
- Programme (GA01101). We thank Cyril Morcrette, Paul Field and David Walters for
- useful discussions throughout the study.

References

- Abdul-Razzak, H., and S. J. Ghan, 2000: A parameterization of aerosol activation: 2. multiple aerosol types. J. Geophys. Res., 105, 6837–6844. doi:10.1029/1999JD901161.
- Baran, A. J., R. Cotton, K. Furtado, S. Havemann, L.-C. Labonnote, F. Marenco, A. Smith, and J.-C. Thelen, 2014: A self-consistent scattering model for cirrus. II: The high and low frequencies. Q. J. R. Meteorol. Soc., 140(), 1039–1057. doi:10.1002/qj.2193.
- Beare, R. J., 2008: The role of shear in the morning transition boundary layer. Boundary-Layer

 Meteorol., 129, 395–410. doi:10.1007/s10546-008-9324-8.
- Bellouin, N., J. Rae, A. Jones, C. Johnson, J. Haywood, and O. Boucher, 2011: Aerosol forcing in the Climate Model Intercomparison Project (CMIP5) simulations by HadGEM2-ES and the role of ammonium nitrate. J. Geophys. Res., 116(D20). doi:10.1029/2011jd016074.
- Bodas-Salcedo, A., M. J. Webb, S. Bony, H. Chepfer, J. L. Dufresne, S. Klein, Y. Zhang, R. Marchand, J. M. Haynes, R. Pincus, and V. O. John, 2011: COSP: satellite simulation software for model assessment. *Bull. Am. Meteorol. Soc.*, 92(8), 1023–1043. doi:10.1175/2011BAMS2856.1.
- K. D. Williams, M. A. Ringer, I. Beau, J. N. S. Cole, J.-L. Dufresne, T. Koshiro, B. Stevens,
 Z. Wang, and T. Yokohata, 2014: Origins of the solar radiation biases over the Southern
 Ocean in CFMIP2 models. J. Climate, 27, 41–56. doi:10.1175/JCLI-D-13-00169.1.
- —, P. G. Hill, K. Furtado, K. D. Williams, P. R. Field, J. C. Manners, P. Hyder, and S. Kato, 2016: Large contribution of supercooled liquid clouds to the solar radiation budget of the Southern Ocean. J. Climate, 29(11), 4213–4228. doi:10.1175/JCLI-D-15-0564.1.
- Bony, S., and J. L. Dufresne, 2005: Marine boundary layer clouds at the heart of cloud feedback uncertainties in climate models. *Geophys. Res. Lett.*, **32**(20), L20806.
- —, J.-L. Dufresne, H. Le Treut, J.-J. Morcrette, and C. A. Senior, 2004: On dynamic and thermodynamic components of cloud changes. *Clim. Dyn.*, **22**, 71–86. doi:10.1007/s00382-003-0369-6.

- Boutle, I. A., S. J. Abel, P. G. Hill, and C. J. Morcrette, 2014: Spatial variability of liquid cloud and rain: observations and microphysical effects. Q. J. R. Meteorol. Soc., 140, 583–594. doi:10.1002/qj.2140.
- Chepfer, H., S. Bony, D. Winker, M. Chiriaco, J.-L. Dufresne, and G. Sèze, 2008: Use of CALIPSO lidar observations to evaluate the cloudiness simulated by a climate model. Geophys. Res. Lett., 35, L15704. doi:10.1029/2008GL034207.
- ——, ——, G. Cesana, J.-L. Dufresne, P. Minnis, C. J. Stubenrauch, and S. Zeng, 2010:

 The GCM Oriented Calipso Cloud Product (CALIPSO-GOCCP). *J. Geophys. Res.*, **115**,

 D00H16. doi:10.1029/2009JD012251.
- G. Cesana, D. Winker, B. Getzewich, M. Vaughan, and Z. Liu, 2013: Comparison of two different cloud climatologies derived from CALIOP-attenuated backscattered measurements (Level 1): The CALIPSO-ST and the CALIPSO-GOCCP. J. Atmos. Oceanic Technol., 30, 725–744. doi:10.1175/JTECH-D-12-00057.1.
- Dee, D. P., S. M. Uppala, A. J. Simmons, P. Berrisford, P. Poli, S. Kobayashi, U. Andrae, M. A. Balmaseda, G. Balsamo, P. Bauer, P. Bechtold, A. C. M. Beljaars, L. van de Berg, J. Bidlot, N. Bormann, C. Delsol, R. Dragani, M. Fuentes, A. J. Geer, L. Haimberger, S. B. Healy, H. Hersbach, E. V. Hölm, L. Isaksen, P. Kallberg, M. Köhler, M. Matricardi, A. P. McNally, B. M. Monge-Sanz, J. J. Morcrette, P. K. Park, C. Peubey, P. de Rosnay, C. Tavolato, J. N. Thêpaut, and F. Vitart, 2011: The ERA-Interim reanalysis: configuration and performance of the data assimilation system. Q. J. R. Meteorol. Soc., 137(656), 553–597. doi:10.1002/qj.828.
- Field, P. R., and R. Wood, 2007: Precipitation and cloud structure in midlatitude cyclones. *J. Climate*, **20**(2), 233–254. doi:10.1175/JCLI3998.1.
- —, A. J. Heymsfield, and A. Bansemer, 2007: Snow size distribution parameterization for midlatitude and tropical ice clouds. *J. Atmos. Sci.*, **64**, 4346–4365. doi:10.1175/2007JAS2344.1.
- ----, A. A. Hill, K. Furtado, and A. Korolev, 2014: Mixed-phase clouds in a turbulent environment. Part 2: Analytic treatment. Q. J. R. Meteorol. Soc., 140(), 870–880. doi:10.1002/qj.2175.

- Flato, G., J. Marotzke, B. Abiodun, P. Braconnot, S. C. Chou, W. Collins, P. Cox, F. Driouech, S. Emori, V. Eyring, C. Forest, P. Gleckler, E. Guilyardi, C. Jakob, V. Kattsov, C. Reason, and M. Rummukainen, 2013: Evalutation of climate models. Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, Stocker, T. F., D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, and P. M. Midgley, Eds., Cambridge University Press, 741–866. doi:10.1017/CBO9781107415324.020.
- Gates, W., 1992: The atmospheric model intercomparison project. Bull. Am. Meteorol. Soc., 73, 1962–1970.
- Gleckler, P. J., K. E. Taylor, and C. Doutriaux, 2008: Performance metrics for climate models.
 J. Geophys. Res., 113, D06104. doi:10.1029/2007JD008972.
- Govekar, P. D., C. Jakob, M. J. Reeder, and J. Haynes, 2011: The three-dimensional distribution of clouds around Southern Hemisphere extratropical cyclones. *Geophys. Res. Lett.*, 38, L21805. doi:10.1029/2011GL049091.
- Haynes, J. M., R. T. Marchand, Z. Luo, A. Bodas-Salcedo, and G. L. Stephens, 2007: A multi-purpose radar simulation package: Quickbeam. Bull. Am. Meteorol. Soc., 88(11), 1723–1727. doi:10.1175/BAMS-88-11-1723.
- Khairoutdinov, M. F., and Y. L. Kogan, 2000: A new cloud physics parameterization in a large-eddy simulation model of marine stratocumulus. *Mon. Weather Rev.*, **128**(), 229–243.
- Klein, S. A., and D. L. Hartmann, 1993: The seasonal cycle of low stratiform clouds. *J. Climate*, **6**(8), 1587–1606.
- —, and C. Jakob, 1999: Validation and sensitivities of frontal clouds simulated by the ECMWF model. *Mon. Weather Rev.*, **127**(10), 2514–2531.
- 711 —, Y. Zhang, M. D. Zelinka, R. Pincus, J. Boyle, and P. J. Gleckler, 2013: Are climate model simulations of clouds improving? An evaluation using the isccp simulator. *J. Geophys. Res.*, **118**, 1329–1342. doi:10.1002/jgrd.50141.
- Loeb, N. G., B. A. Wielicki, D. R. Doelling, S. Kato, T. Wong, G. L. Smith, D. F. Keyes, and N. Manalo-Smith, 2009: Toward optimal closure of the Earth's top-of-atmosphere radiation budget. J. Climate, 22(3), 748–766. doi:10.1175/2008JCLI2637.1.

- Mace, G. G., and F. J. Wrenn, 2013: Evaluation of the hydrometeor layers in the east and west Pacific within ISCCP cloud-top pressure—optical depth bins using merged CloudSat and CALIPSO data. J. Climate, 26(23), 9429–9444. doi:10.1175/JCLI-D-12-00207.1.
- —, and Q. Zhang, 2014: The CloudSat radar-lidar geometrical profile product (RL-GeoProf):

 Updates, improvements, and selected results. *J. Geophys. Res.*, **119**(15), 9441–9462.

 doi:10.1002/2013JD021374.
- Mann, G. W., K. S. Carslaw, D. V. Spracklen, D. A. Ridley, P. T. Manktelow, M. P. Chipperfield, S. J. Pickering, and C. E. Johnson, 2010: Description and evaluation of GLOMAP-MODE: A modal global aerosol microphysics model for the UKCA composition-climate model. Geosci. Model Devel., 3, 519–551. doi:10.5194/gmd-3-519-2010.
- Marchand, R., G. G. Mace, T. Ackerman, and G. L. Stephens, 2008: Hydrometeor detection using Cloudsat an Earth-Orbiting 94-GHz cloud radar. J. Atmos. Oceanic Technol., 25, 519–533. doi:10.1175/2007JTECHA1006.1.
- Martin, G. M., N. Bellouin, W. J. Collins, I. D. Culverwell, P. R. Halloran, S. C. Hardiman, T. J. Hinton, C. D. Jones, and others, 2011: The HadGEM2 family of Met Office Unified Model climate configurations. *Geosci. Model Devel.*, 4, 723–757. doi:10.5194/gmd-4-723-2011.
- Mittermaier, M., 2012: A critical assessment of surface cloud observations and their use for verifying cloud forecasts. Q. J. R. Meteorol. Soc., 138(), 1794–1807. doi:10.1002/qj.1918.
- Myers, T. A., and J. R. Norris, 2015: On the relationships between subtropical clouds in meteorology in observations and CMIP3 and CMIP5 models. J. Climate, 28(), 2945–2967. doi:10.1175/JCLI-D-14-00475.1.
- Nam, C., S. Bony, J.-L. Dufresne, and H. Chepfer, 2012: The 'too few, too bright' tropical low-cloud problem in CMIP5 models. *Geophys. Res. Lett.*, **39**(L21801). doi:10.1029/2012GL053421.
- Pincus, R., C. P. Batstone, R. J. Patrick-Hofmann, K. E. Taylor, and P. E. Gleckler, 2008: Evaluating the present-day simulation of clouds, precipitation and radiation in climate models. *J. Geophys. Res.*, **133**(D14209). doi:10.1029/2007JD009334.
- Rossow, W. B., and R. A. Schiffer, 1999: Advances in understanding clouds from ISCCP. *Bull.*Am. Meteorol. Soc., 80, 2261–2287.

- Stephens, G. L., D. G. Vane, R. J. Boain, G. G. Mace, K. Sassen, Z. Wang, A. J. Illingworth, E. J. O'Connor, W. B. Rossow, S. L. Durden, S. D. Miller, R. T. Austin, A. Benedetti, C. Mitrescu, and The CloudSat Science Team, 2002: The CloudSat mission and the A-Train. Bull. Am. Meteorol. Soc., 83, 1771–1790.
- Tselioudis, G., W. Rossow, Y. Zhang, and D. Konsta, 2013: Global weather states and their properties from passive and active satellite cloud retrievals. *J. Climate*, **26**, 7734–7746. doi:10.1175/JCLI-D-13-00024.1.
- Walters, D. N., K. D. Williams, I. A. Boutle, A. C. Bushell, J. M. Edwards, P. R. Field, A. P. Lock, C. J. Morcrette, R. A. Stratton, J. M. Wilkinson, M. R. Willett, N. Bellouin, A. Bodas-Salcedo, M. E. Brooks, D. Copsey, P. D. Earnshaw, S. C. Hardiman, C. M. Harris, R. C. Levine, C. MacLachlan, J. C. Manners, G. M. Martin, S. F. Milton, M. D. Palmer, M. J. Roberts, J. M. Rodrguez, W. J. Tennant, and P. L. Vidale, 2014: The Met Office Unified Model Global Atmosphere 4.0 and JULES Global Land 4.0 configurations. Geosci. Model Devel., 7, 361–386. doi:10.5194/gmd-7-361-2014.
- Walters, D., M. Brooks, I. Boutle, T. Melvin, R. Stratton, S. Vosper, H. Wells, K. Williams, N. Wood, T. Allen, A. Bushell, D. Copsey, P. Earnshaw, J. Edwards, M. Gross, S. Hardiman, C. Harris, J. Heming, N. Klingaman, R. Levine, J. Manners, G. Martin, S. Milton, M. Mittermaier, C. Morcrette, T. Riddick, M. Roberts, C. Sanchez, P. Selwood, A. Stirling, C. Smith, D. Suri, W. Tennant, P. L. Vidale, J. Wilkinson, M. Willett, S. Woolnough, and P. Xavier, 2016: The Met Office Unified Model Global Atmosphere 6.0/6.1 and JULES Global Land 6.0/6.1 configurations. Geosci. Model Devel. doi:10.5194/gmd-2016-194.
- Walters, D. N., A. Baran, I. Boutle, M. E. Brooks, K. Furtado, P. Hill, A. Lock, J. Manners, C. Morcrette, J. Mulchay, C. Sanchez, C. Smith, R. Stratton, W. Tennant, K. Van Weverberg, S. Vosper, H. Ashton, R. Essery, N. Gedney, K. D. Williams, and M. Zerroukat, 2017: The Met Office Unified Model Global Atmosphere 7.0/7.1 and JULES Global Land 7.0 configurations. *In preparation*.

- Webb, M., C. Senior, S. Bony, and J. J. Morcrette, 2001: Combining ERBE and ISCCP data to assess clouds in the Hadley Centre, ECMWF and LMD atmospheric climate models. *Clim. Dyn.*, **17**, 905–922.
- Williams, K. D., and M. E. Brooks, 2008: Initial tendencies of cloud regimes in the Met Office
 Unified Model. J. Climate, 21(4), 833–840. doi:10.1175/2007JCLI1900.1.
- ----, and G. Tselioudis, 2007: GCM intercomparison of global cloud regimes: Present-day evaluation and climate change response. Clim. Dyn., 29, 231–250. doi:10.1007/s00382-007-0232-2.
- 731 —, and M. J. Webb, 2009: A quantitative performance assessment of cloud regimes in climate models. Clim. Dyn., 33(1), 141–157. doi:10.1007/s00382-008-0443-1.
- —, M. A. Ringer, and C. A. Senior, 2003: Evaluating the cloud response to climate change and current climate variability. *Clim. Dyn.*, **20**, 705–721. doi:10.1007/s00382-002-0303-3.
- 733 —, —, M. J. Webb, B. J. McAvaney, N. Andronova, S. Bony, J.-L. Dufresne, S. Emori, R. Gudgel, T. Knutson, B. Li, K. Lo, I. Musat, J. Wegner, A. Slingo, and J. F. B. Mitchell, 2006: Evaluation of a component of the cloud response to climate change in an intercomparison of climate models. *Clim. Dyn.*, **26**, 145–165. doi:10.1007/s00382-005-0067-7.
- —, A. Bodas-Salcedo, M. Deque, S. Fermepin, B. Medeiros, M. Watanabe, C. Jakob, S. A. Klein, C. A. Senior, and D. L. Williamson, 2013: The Transpose-AMIP II experiment and its application to the understanding of Southern Ocean cloud biases in climate models. *J. Climate*, **26**, 3258–3274. doi:10.1175/JCLI-D-12-00429.1.
- Winker, D. M., J. Pelon, J. A. Coakley Jr, S. A. Ackerman, R. J. Charlson, P. R. Colarco,
 P. Flamant, Q. Fu, R. M. Hoff, C. Kittaka, T. L. Kubar, H. L. Treut, M. P. McCormick,
 G. Mégie, L. Poole, K. Powell, C. Trepte, M. A. Vaughan, and B. A. Wielicki, 2010: The
 CALIPSO mission: A global 3D view of aerosols and clouds. Bull. Am. Meteorol. Soc.,
 91(9), 1211–1229. doi:10.1175/2010BAMS3009.1.
- WMO, 2008: Guide to meteorological instruments and methods of observation. Technical report WMO-8, World Meteorological Organisation, Geneva.
- Wood, R., and C. S. Bretherton, 2006: On the relationship between stratiform low cloud cover and lower tropospheric stability. *J. Climate*, **19**, 6425–6432.

Zhang, Y., and S. A. Klein, 2013: Factors controlling the vertical extent of fair-weather shallow cumulus clouds over land: Investigation of diurnal-cycle observations collected at the ARM Southern Great Plains site. J. Atmos. Sci., 70(), 1297–1315. doi:10.1175/JAS-D-12-0131.1.

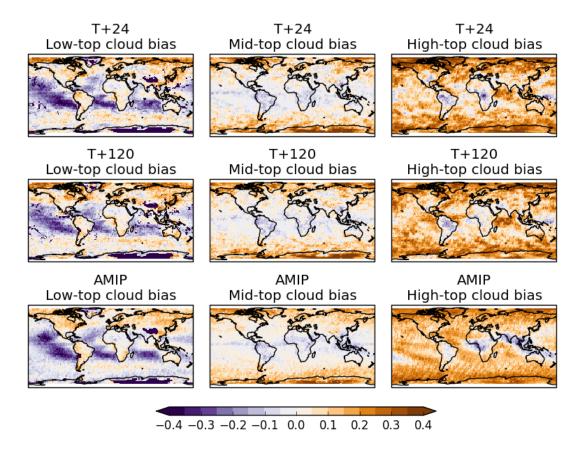


Figure 1: Absolute bias (model field minus observed field) in GA6 configuration of the UM for low (left) mid (centre) and high (right) fractional cloud cover against the GCM Orientated CALIPSO Cloud Product (GOCCP), using the CALIPSO simulator in COSP (see Section b. Top and middle rows are mean biases at day 1 and day 5 averaged across all the NWP hindcasts at N320 (40km in mid-latitudes) resolution. The bottom row is the bias in the AMIP climatology at N96 (135km in mid-latitudes) resolution.

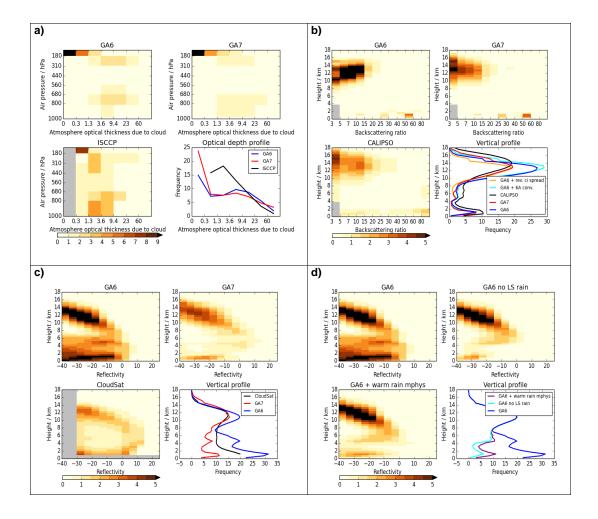


Figure 2: Tropical multi-annual mean observed and GA6 & GA7 simulated satellite data summaries. a) ISCCP cloud-top pressure—optical depth joint frequency histograms. Lower right panel is a single optical depth frequency histogram (i.e. the joint histograms have been summed across cloud top pressure bins). The threshold optical depth for detection by ISCCP is believed to be approximately 0.3, hence the masking of the lowest bin in the observed histogram. b) CALIPSO height-backscattering ratio joint frequency histograms. Lower right panel is a single height frequency histogram (i.e. the joint histograms have been summed across backscattering ratio bins). Within the boundary layer, backscattering ratios <5 are likely to be due to aerosols (see Supplementary Material Figure 1) and hence are masked. The lower right panel also shows frequency profiles for GA6 with the cirrus spreading reduced to GA7 values, and GA6 but with the 6A convection scheme used. c) CloudSat height-radar reflectivity (dBZ) joint frequency histograms. Lower right panel is a single height frequency histogram (i.e. the joint histograms have been summed across reflectivity bins). d) As c) but showing GA6, GA6 without large-scale rain being passed to the simulator, and showing GA6 plus the warm rain microphysics package which is included in GA7. Colour scale for the histograms show frequency of occurrence of cloud/hydrometeor in the bin (%). Shading around the line plots has been added to reflect significance bounds, however this is often less than the thickness of the plotted lines.

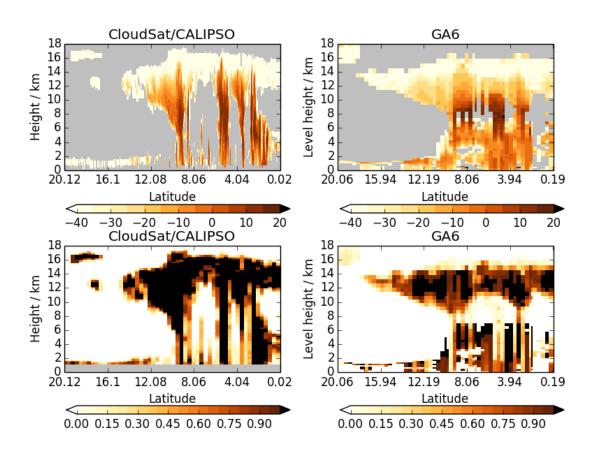


Figure 3: Case study of a GA6 6 hour forecast verifying at 18:00UTC on 17th December 2010 for an A-train pass over the South China Sea. Top: the observed and simulated radar reflectivities (dBZ) with situations in which the lidar detected cloud but the radar did not being included with a nominal value of -40dBZ (e.g. Mace and Wrenn, 2013). Bottom: observed and simulated cloud fraction on the model grid.

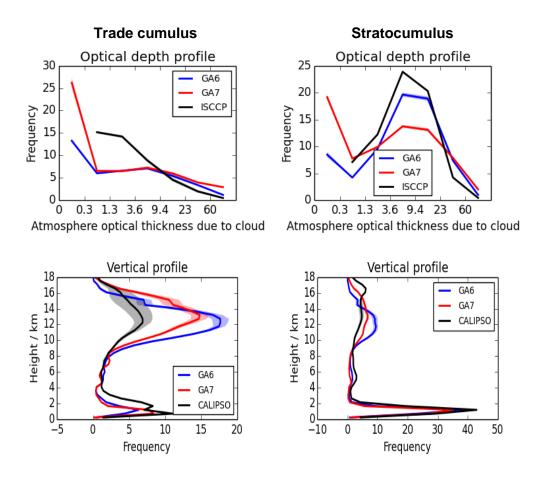


Figure 4: Observed and simulated multi-annual mean ISCCP optical depth frequency histograms (top) and CALIPSO height frequency histograms (bottom) for a trade cumulus region (130-160°W, 0-20°S, left) and stratocumulus region (80-90°W, 0-20°S, right). Shading around the line plots has been added to reflect significance bounds, however this is sometimes less than the thickness of the plotted lines.

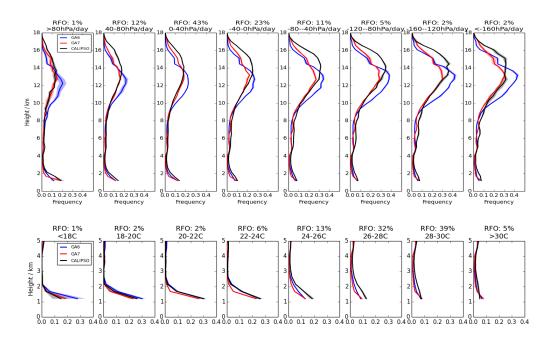


Figure 5: Observed and simulated CALIPSO height frequency histograms composited by daily ω_{500} (top) and SST (bottom) over the tropics (20°N–20°S). Only the region below 5km is shown in the lower plot to focus on low cloud. The range and relative frequency of occurrence (RFO) are shown at the top of each bin. Negative ω_{500} indicates ascent. Shading around the line plots has been added to reflect significance bounds, however this is sometimes less than the thickness of the plotted lines.

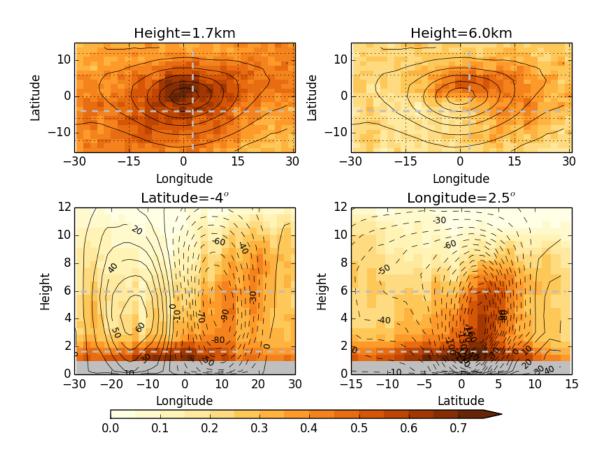


Figure 6: Distribution of average observed hydrometeor (cloud plus precipitation) fraction (colours) around a composite of ERA-I cyclones over northern hemisphere oceans for 5 years of DJF daily data. Top row shows horizontal sections through the composite cyclone at 1.7 & 6km with the mean PMSL contoured at 4hPa intervals. Bottom row shows vertical sections along the grey dashed lines shown in the top plots. Contours on the lower plots are mean vertical velocity from ERA-I (hPa/day; negative values indicate ascent and these contours are dashed).

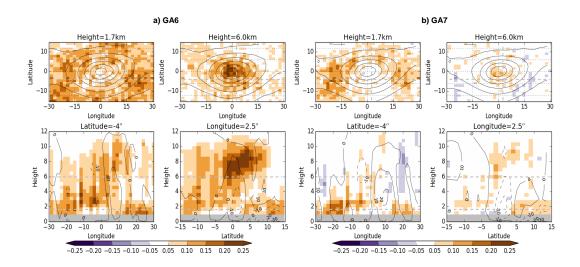


Figure 7: Cloud fraction absolute bias (model field minus observed field) (colours) for composite cyclones. Produced as per Figure 6 for a) GA6 b) GA7 and the observed composite then subtracted. Black contours in top plots are the model mean PMSL and in the lower plots are the bias in vertical velocity. Student's t-test based on internnual variability show that errors greater than 0.05 are significant.

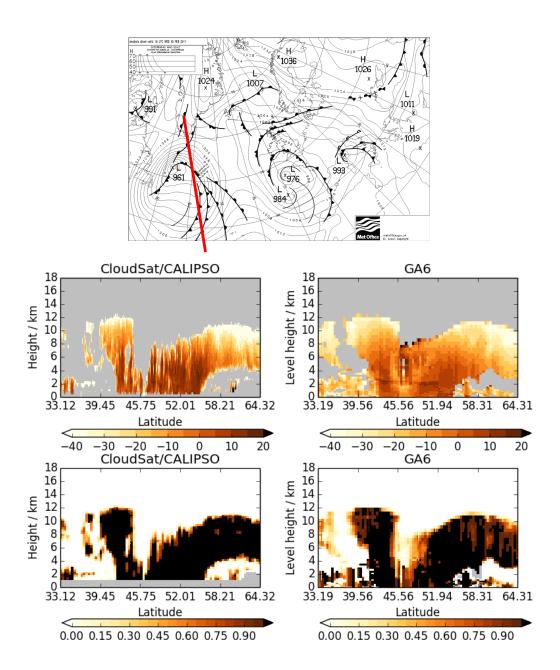


Figure 8: Case study of a GA6 27 hour forecast verifying at 15:00UTC on 16th February 2011 for an A-train pass over the North Atlantic as shown by the red line on the synoptic analysis. Top: the observed and simulated radar reflectivities (dBZ) with situations in which the lidar detected cloud but the radar did not being included with a nominal value of -40dBZ. Bottom: observed and simulated cloud fraction on the model horizontal grid.

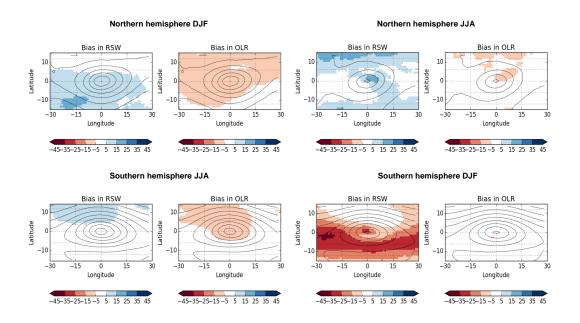


Figure 9: Cyclone composite GA7 mean bias in RSW and OLR (Wm $^{-2}$) against CERES-EBAF (colours). Black contours are GA7 PMSL. Northern and Southern hemisphere composites are shown for the respective winter (left) and summer (right) seasons. Student's t-test based on internnual variability show that errors greater than $5Wm^{-2}$ are significant.

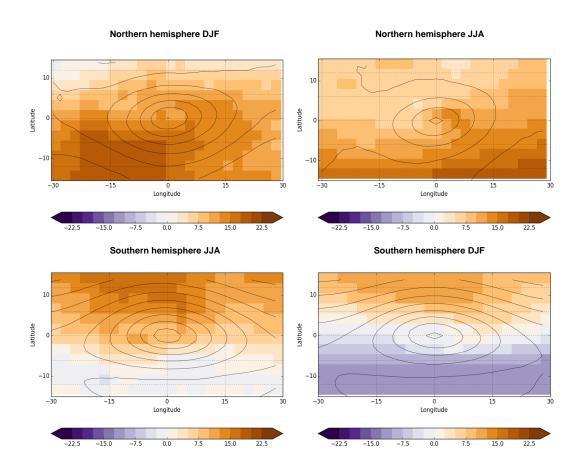


Figure 10: Cyclone composite GA7 mean bias in in-cloud albedo (%) against ISCCP (colours). Black contours are GA7 PMSL.

RFO: 19% RFO: 15% RFO: 20% RFO

Figure 11: Observed and simulated RL-GEOPROF and CALIPSO height frequency histograms composited by daily ω_{500} over northern hemisphere land (polewards of 20°N) during DJF. The range and relative frequency of occurrence (RFO) are shown at the top of each bin. Shading around the line plots has been added to reflect significance bounds, however this is sometimes less than the thickness of the plotted lines.

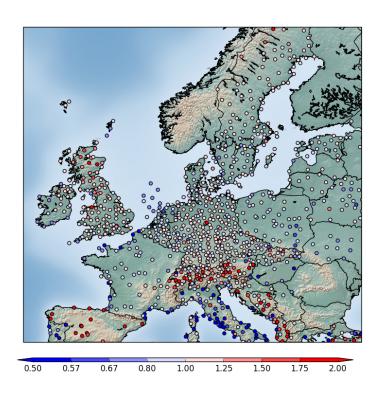


Figure 12: Frequency bias ((hits + false alarms)/(hits + misses)) of cloud base height $<1 \mathrm{km}$ for cloud fraction ≥ 3 oktas in GA6 against surface station data. The mean bias of 6-hourly forecasts between 16th July 2014 and 15th July 2015 at a 24 hour forecast lead time are shown.

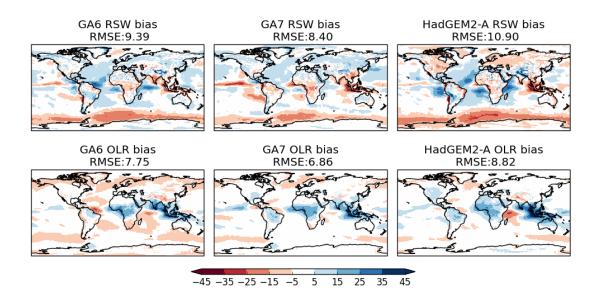


Figure 13: Multi-annual mean bias in RSW (top) and OLR (bottom) (Wm^{-2}) against CERES-EBAF for GA6, GA7 and HadGEM2-A. The spatial root-mean-square error (RMSE) is shown at the top of each panel.

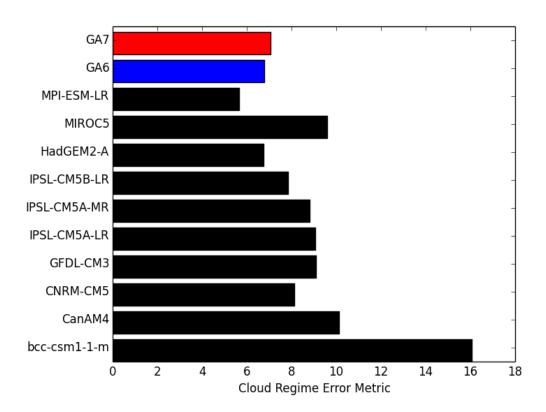


Figure 14: Cloud Regime Error Metric ($CREM_{pd}$) from Williams and Webb (2009) for the global cloud regimes of Tselioudis *et al* (2013) calculated for GA6 (blue), GA7 (red) and all of the CMIP5 models which have the required diagnostics available (black). Zero represents a perfect score with respect to the ISCCP observations.