

Topical Editor Decision: Publish subject to minor revisions (Editor review) (06 Apr 2017) by Richard Neale

Comments to the Author:

It's clear that the comments from the reviewers indicate that the underlying reasons for the choice of diagnostic and method for the calculation of the diagnostic itself have to be made crystal clear (why do we care about the QBO and why did we choose this diagnostic) if this analysis is to be taken up as a standard by the community. The addition of individual model analyses adds significantly to the value of the paper. I also agree it would be useful to summarize what diagnostics are missing from the analysis that could be done as a next step in order to further diagnose the QBO.

Thank you for reviewing the revised manuscript. To make clear what the diagnostics currently do and do not address, two additions were made:

“ to characterise the morphology of the QBO” (p. 1, l. 4)

“To address the mechanisms driving the QBO's generation in models as well as its basic morphology will require in any future analysis additional data on the momentum budget in the tropical stratosphere. In particular wave forcing should be decomposed into resolved and parameterised waves and a distinction made between horizontal and vertical components of momentum fluxes.” (p. 6, l. 34 ff)

Defining metrics of the Quasi-Biennial Oscillation in global climate models

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Abstract. As the dominant mode of variability in the tropical stratosphere, the Quasi-Biennial Oscillation (QBO) has been subject to extensive research. Though there is a well developed theory of this phenomenon being forced by wave-mean flow interaction, simulating the QBO adequately in global climate models still remains difficult. This paper presents a set of metrics to characterise the [morphology of the](#) QBO using a number of different reanalysis datasets and the FU Berlin radiosonde observation dataset. The same metrics are then calculated from Coupled Models Intercomparison Project 5 and Chemistry-Climate Model Validation Activity 2 simulations which included a representation of QBO-like behaviour to evaluate which aspects of the QBO are well captured by the models and which ones remain a challenge for future model development.

1 Introduction

After being referred to as a “mystery or freak” by one of its discoverers (Reed, 1967), the Quasi-Biennial Oscillation (QBO) now is accepted as the dominant pattern of variability in the equatorial stratosphere (Baldwin et al., 2001; Pascoe et al., 2005). Between 3 and 100 hPa, zonal wind at the equator is characterised by a pattern of descending easterly and westerly shear zones, with wind direction changing about every 14 months (see, for example, the ERA-Interim reanalysis and observations in Figure 1). The earliest regular observations of the equatorial stratosphere and hence the discovery of the QBO is credited to Ebdon (1960) and Reed et al. (1961). Angell and Korshover (1964), who named the phenomenon the “Quasi-Biennial Oscillation”, pointed out oscillatory behaviour not only in zonal wind, but also in temperature, total ozone and tropopause height. The regularity of the oscillation makes it the most known repeatable mode of variability in the atmosphere, outside of the diurnal and seasonal cycles. Whether or not the QBO remains as regular in the present-day climate and under future climate change is an outstanding question (Osprey et al., 2016; Newman et al., 2016).

Early attempts to explain the driving mechanisms of the QBO failed in describing one or more of its main features, such as the quasi-biennial periodicity, the downward propagation or the roughly constant amplitude during the descent. Initial thoughts regarding the driving processes involved internal feedbacks, natural atmospheric modes, an unknown external process or a combination of those (Baldwin et al., 2001). The first study to explore possible forcing by gravity waves was by Lindzen and Holton (1968). They showed that vertically propagating waves could provide momentum for the QBO. This theory of wave-mean flow interaction was supported by a laboratory experiment, carried out by Plumb and McEwan (1978). They were

able to produce a descending oscillation of the mean flow in a large annulus containing a salt-stratified fluid, the first practical demonstration of a laboratory analogue for the QBO. With the development of a theory of equatorial waves in the late 1960s, that was observationally confirmed (Maruyama, 1967; Wallace and Kousky, 1968), the work of Lindzen and Holton (1968) could be refined. Holton and Lindzen (1972) simulated a QBO-like oscillation in a simple one dimensional (1D) model, driven by vertically propagating Kelvin and Rossby-gravity waves that contribute westerly and easterly momentum forcing, respectively.

The first successful simulations of a reasonably realistic QBO were achieved in a 2D model by Gray and Pyle (1989) and in a 3D global climate model by Takahashi (1996). Follow-on studies describing simulations that captured a QBO were Horinouchi and Yoden (1998); Takahashi (1999); Scaife et al. (2000) and Hamilton et al. (2001). Adequate simulation of the QBO is affected by resolution (horizontal and vertical), parameterised gravity wave forcing from sub-grid scale waves (Giorgetta et al., 2006) and placement of the model lid (Lawrence, 2001; Osprey et al., 2013). However, there is not a simple model configuration that would guarantee a successful QBO simulation and despite there being a well established theory of the QBO, not all climate models can produce it. Of the 47 contributions submitted to the Coupled Model Intercomparison Project 5, CMIP5 (World Climate Research Programme, 2010), only five have a QBO-like signal (Lott et al., 2014)¹. In the models submitted to the Chemistry-Climate Model Validation Activity (SPARC CCMVal, 2010) there are five out of fourteen, with three of them variants of the Met Office Unified Model (Butchart et al., 2011).

The aim of this paper is to establish a set of standard metrics that comprehensively characterise the QBO. These metrics were defined to be as simple as possible, yet meaningful in characterising the QBO morphologically. For robust and simple assessment of the QBO in models and observations, this study focusses on the large-scale morphology of the QBO rather than those (small-scale) dynamical processes involved in maintaining it. Using these characteristics, the performance of historical model simulations is assessed and compared to observations and reanalysis datasets as the starting point of the World Climate Research Programme's (WCRP) Stratosphere-troposphere processes and their role in Climate (SPARC) QBO initiative (QBOi²) and SPARC Reanalysis Intercomparison Project (S-RIP). The purpose is to provide a benchmark for the current status of the representation of the QBO in global models against which new QBO-resolving simulations can be quantified.

2 Data

For this study, monthly means of zonally averaged zonal wind and temperature of four CMIP5 and five CCMVal-2 models as well as one from CMIP3 that internally produce a QBO were investigated. Table 1 lists these models and further details. Model data were obtained from the British Atmospheric Data Centre (BADc³). For comparison, the Berlin dataset (Freie Universität Berlin, 2015) of equatorial zonal wind from radiosonde observations covering 1956 to 2015 (Canton Island 1956-1967, Gan/Maledive Islands 1967-1975, Singapore 1967-2015) was analysed, as well as several reanalysis datasets (Table 2)

¹Of these models, ten are resolving the stratosphere and include non-orographic gravity wave drag (Charlton-Perez et al., 2013), which are necessary ingredients for QBO simulation.

²<http://users.ox.ac.uk/~astr0092/QBOi.html>

³<http://badc.nerc.ac.uk/home/index.html>

made available through the SPARC Reanalysis Intercomparison Project (S-RIP) project⁴. When an average of more than one reanalysis was used, only the three relatively recent products (ERA Interim, MERRA, JRA55), comprising the years 1979-2009, were employed.

3 Definition of characteristic metrics

5 Figure 1 shows the equatorial zonal mean zonal wind for the different models, the ERA-Interim reanalysis and the FU Berlin dataset. In the models' stratosphere, QBO-like oscillations can be recognised. How much these resemble the observed QBO will be assessed based on a set of characteristic metrics. The most obvious one is the mean period; however the QBO has a structure in latitude and height and the behaviour of easterly and westerly phases differs. Furthermore, it is not a classic harmonic oscillation with one single restoring force, which leads to a variety of periods (Dunkerton, 2016). There might be an
10 interaction with the semiannual oscillation or the 11 year solar cycle as well as the annual cycle in the troposphere that can influence timing of phase changes and descent of the shear zones. To assess the different aspects of the QBO that are seen in the zonal wind observations, we propose a set of characteristic metrics, including the height of the maximum amplitude, the latitudinal and vertical extent, and descent rates of each shear zone (Table 3, 1st row).

Figure 2 shows the process of metric derivation using the reanalyses mean (ERA-Interim, MERRA, JRA55) as an example.

15 Derived values from the individual reanalyses, the FU Berlin dataset and model simulations are provided in Table 3. The metrics are defined as follows:

- The Fourier transformation of the equatorial zonal mean wind field (Figure 2, left panel) is calculated. The squares of the amplitudes between 26 and 30 months are added. The height of the maximum amplitude is taken as metric h_{max} .
- At h_{max} , the timeseries of \bar{u} is used to find the QBO period, defined as the time between every other phase change
20 (Figure 2, right panel)⁵. The minimum, maximum and mean of the periods are defined as QBO metrics. The months in which these phase changes occur are used to look for annual synchronisation of the QBO (Figure 6).
- The amplitude of the easterly/westerly phase in one QBO cycle are defined from the timeseries as the minimum/maximum wind value of a cycle. The values of each cycle are averaged to give the easterly/westerly amplitude.
- The inverse of the minimum/maximum period is taken the upper/lower limit of the QBO Fourier harmonics (Figure 2, left
25 panel). The sum of the squares of the QBO amplitudes over the square root of the field variance gives the QBO Fourier amplitude. Doing this calculation for each grid point results in the QBO Fourier amplitude latitude-altitude structure (Figure 2, middle panel).

⁴<http://s-rip.ees.hokudai.ac.jp/>

⁵An alternative way to define a QBO period is presented by Wallace et al. (1993), who use the first two principle component timeseries of the stratospheric equatorial zonal wind in the approach. This has been applied to the FU Berlin dataset and results for the two methods are within each others error range: 28.0 ± 3.6 vs. 28.2 ± 4.4 months. For simplicity, the period metric has been defined from the raw zonal wind data.

- The vertical profile at the equator is calculated as the QBO Fourier amplitude for the zonal wind, averaged between 5° North and South (Figure 2, top panel). The vertical extent of the QBO is defined as the full depth at half maximum of the profile; the lowermost depth of the QBO (the lowermost level affected) is defined as the level of 10% of the maximum amplitude. Using the vertical profile, the value of the previously estimated h_{max} as the height of the maximum amplitude is validated.
 - From the horizontal cross section at the height of the QBO maximum (Figure 2, bottom panel), the latitudinal extent (width) is defined by the full width at half maximum of a fitting Gaussian. The QBO Fourier amplitude is identified as the maximum amplitude, following Pascoe et al. (2005).
 - The development of the profile of equatorial zonal wind serves to identify the descent rate of the shear zones. Figure 3 illustrates the procedure: at each point in time, the height of the sign change ($\bar{u} = 0$) of the wind profile is found by linear interpolation between two \bar{u} values of opposite sign at adjacent gridpoints. The difference between the heights $\Delta h = h_{t+1} - h_t$, divided by the time resolution $\Delta t = 1$ month gives the descent rate at this timestep. The mean of the descent rates between 10 and 70hPa is calculated separately for the two shear zones as the mean over all values for a descending easterly/westerly.
- 15 The metrics for the temperature field are derived in an analogous way from the Fourier spectrum of the T timeseries. QBO temperature characteristics include the maximum Fourier amplitude, height of this maximum, depth of the QBO, latitudinal and vertical extent.

4 Error estimations

For metrics that are calculated as the mean over various cycles, the standard deviation ($\sigma^2 = \frac{1}{n} \sum_{i=1}^n (x_i - \bar{x})^2$) of the mean value is given as an error estimate. These are the mean period, the easterly/westerly wind amplitudes and the easterly/westerly descent rates.

The error of the minimum and maximum period is established following the method of surrogate timeseries presented by Christiansen (2010). First, the wind timeseries at h_{max} is subdivided into separate QBO cycles, with each cycle beginning at the minimum wind value between every other sign change of the wind. A long pseudo-QBO timeseries is constructed by concatenating 1000 randomly chosen cycles. From this timeseries, 100 samples of the same length as the original dataset are taken as surrogate QBO timeseries. The minimum and maximum period of these are estimated and the standard deviation is taken as the error estimate for the values. The error of the Fourier amplitude is calculated in the same way: First, the Fourier spectrum is calculated as in calculating the metric and the standard deviation of the 100 samples is used as error estimate for the Fourier amplitude.

30 The surrogate method does unfortunately not work where no clear QBO cycle can be defined - that is at levels below ~ 70 hPa or above ~ 10 hPa, or further away from the equator. Errors in metrics that are based on the Fourier amplitude outside the area

dominated by the QBO (latitudinal and vertical extent, height of maximum, lowermost affected level) are mostly determined by the horizontal and vertical resolution of the model/reanalysis, which are given in Tables 1 and 2.

5 Model performance

Tables 3 and 4 list the characteristic metrics for all CMIP5 and CCMVal-2 models that have an internally generated QBO, for comparison with the reanalysis datasets and FUB observations (where possible). Table 5 compares the multi-model mean and the mean of the three most recent reanalyses. Figure 4 shows the multi-model and -reanalysis mean latitude-altitude QBO amplitude.

The success of QBO simulation in GCMs is noticeable: Most models represent the wind amplitude well compared to reanalyses and observations for both easterly and westerly QBO phases. Apart from 3 models (CMCC-CMS, UMUKCA-METO and UMUKCA-UCAM), the range of QBO periods is realistic (Table 3), with the multi-model mean not being significantly different from observations and reanalysis mean (Table 5).

A common model bias is a QBO that peaks slightly too high and does not descend low enough as seen in Figure 4. This indicates that the whole QBO structure on average is shifted slightly upwards. Even at the height of the maximum QBO amplitude, the simulated QBOs are too narrow in their latitudinal extent (Table 5). The reanalyses that resolve the atmosphere up to at least 1hPa (all except NCEP1/NCEP2) consistently show the maximum QBO at 20hPa, which is broadly in agreement with the FUB observations, given that the 15hPa level is not included in the reanalyses.

In the temperature field, half of the models peak at a realistic height (20-30hPa), whereas the other half peaks too high (~5 hPa) which leads on average to an elongated structure in height for the QBO temperature amplitude (Figure 4). Again, the difference between the model and the reanalysis mean shows a shift of the QBO structure upwards. Additionally, there is a slight overestimation of the QBO temperature amplitude at subtropical latitudes (15°-30°) in the models. Exclusion of models with obvious shortcomings in QBO modelling as seen by unrealistic periods does not significantly improve these biases (Table 5).

There is a slight asymmetry in the descent rates of easterly and westerly shear zones in models, but it is not as pronounced as in the observations/reanalyses, where the westerlies descend about twice as fast as the easterlies. Figure 5 shows the easterly and westerly descent rates for each model and reanalysis dataset as well as the multi-model/reanalysis mean and standard deviations. Even the model with the fastest descending westerlies still has a slower descent rate than the observations and the slowest reanalysis dataset. Most of the models have comparable westerly and easterly descent rates, with UMSLIMCAT even reversing the asymmetry towards faster easterlies. While within reanalyses and the FUB observations, the standard deviation in the easterly descent rate is usually slightly larger than in the westerly descent rate, the inter-model/-reanalysis discrepancy is higher for descending westerlies. Models show similar standard deviations for both westerly and easterly descent rate, which can also be seen in a more uniform descent of both shear zones and less prominent stalling features compared to the observations (Figure 1).

Figure 6 shows the timing of the phase change at the height of the maximum QBO amplitude. For both west to east and east to

west transitions, there is a seasonal modulation in the models with more changes occurring in boreal spring and autumn, but this modulation is not as prominent as in the FUB observations, where west to east transitions are favoured in May and November and east to west transitions are slightly more common in November. Reanalyses favour west to east transitions in October and east to west transitions in December. However, with only 29 FUB observational cycles and 39 (3x13) in total in the reanalyses
5 to compare, no conclusive statement about the significance of the difference between models and reanalyses/observations can be made. It is, however, intriguing that the distributions of the west-east and east-west transitions look similar in the models, but not in the observations/reanalyses.

6 Discussion and Conclusion

The representation of the stratospheric zonal mean wind and temperature fields in ten models, eight reanalysis datasets and
10 the FU Berlin observations (wind only) was assessed in this paper. It is a positive development that an increasing number of global climate models resolve the stratosphere well enough to show an oscillation in zonal mean zonal wind that resembles the observed QBO.

A set of metrics to characterise the quality of these simulations was established and the model performance was evaluated using reanalyses and the FUB observational radiosonde dataset as reference. Some typical features of the QBO are well represented,
15 such as the asymmetry in easterly/westerly amplitude, the latitudinal confinement around the equator and the vertical extent. Apart from three models, the mean period and its variability is captured well. However, the QBO in all models is shifted upwards in height compared to reanalyses and narrows in latitude in the lower stratosphere stronger than the reanalyses (Figure 4). Even at the height of the maximum QBO, the modelled QBOs are too narrow, which suggests that there are shortcomings in modelling the factors, which influence the width as identified by Haynes (1998), such as the depth scale and the radiative
20 damping. The parametrization of the gravity wave sources or the width of the inter-tropical convergence zone might play a role as well. However, the disagreement between reanalyses is also greatest at low latitudes as noted by Kawatani et al. (2016), a finding they explain by the small equatorial Coriolis parameter and sparse observations.

The discrepancy between the timing of phase transitions in the reanalyses and observations (Figure 6) was also pointed out by Kawatani et al. (2016). Model behaviour differs even more from the observations, with similar phase transition distribution for
25 both east-west and west-east transitions. Kawatani et al. (2016) suggest that weak forcing by resolved waves contributes to the bias in reanalysis, a mechanism that might also lead to the discrepancy in models. Furthermore, parametrized gravity waves in the models used in this study are not coupled to the main generation processes in the atmosphere, such as tropical convection, which might explain why the annual variation in phase transitions is not as prominent as in the observations.

Insufficient wave forcing might also be responsible for the lack of difference between easterly and westerly descent rates. In
30 observations, westerlies descend on average about twice as fast as easterlies, whereas in models the difference in rates is not significant, with the westerlies descending too slowly. The standard deviation of the multi-reanalysis mean is higher for westerly than for easterly descent rates, a result that also points towards disagreement in the underlying westerly forcing.

To address the mechanisms driving the QBO's generation in models as well as its basic morphology will require in any

future analysis additional data on the momentum budget in the tropical stratosphere. In particular wave forcing should be decomposed into resolved and parameterised waves and a distinction made between horizontal and vertical components of momentum fluxes.

In summary, there has been substantial improvement in simulating the tropical stratosphere in global climate models, with QBO-like oscillations being represented in a growing number of models. The characteristic metrics defined here present the possibility of quickly assessing the quality of a simulation. With improving model resolution and (concomitantly) the representation of wave forcing, GCMs are very likely to simulate a more realistic QBO.

7 Data availability

CMIP5 and CCMVal-2 climate model data was downloaded from the British Atmospheric Data Centre (BADC), HadGEM1 data can be obtained from SMO. For reanalysis data please contact Masatomo Fujiwara, who prepared it for the SPARC reanalysis intercomparison project (S-RIP), or the respective centre as listed here <http://s-rip.ees.hokudai.ac.jp/resources/links.html>.

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Model	Reference	Resolution	GW scheme	Length
HadGEM1	Osprey et al. (2010) Hardiman et al. (2010) Bushell et al. (2010)	N96 L60	W &M	50 years
MIROC-ESM-CHEM	Watanabe et al. (2011), Watanabe and Kawatani (2012)	T42 L68	Hines	156 years
MPI-ESM-MR	Schmidt et al. (2013), Krishner and Giorgetta (2014)	T63 L95	Hines	156 years
HadGEM2-CC	Osprey et al. (2013) Hardiman et al. (2012)	1.25° x 1.875° L60	W &M	374 years
CMCC-CMS	Manzini et al. (2006), Giorgetta et al. (2006)	T63 L95	Hines	156 years
EMAC	Jöckel et al. (2006)	T42 L90	Hines	41 years
MRI	Shibata and Deushi (2008a), Shibata and Deushi (2008b)	T42 L68	Hines	47 years
UMSLIMCAT	Tian and Chipperfield (2005)	2.50° x 3.75° L64	W &M	55 years
UMUKCA-METO	Morgenstern et al. (2009)	2.50° x 3.75° CP60	W &M	47 years
UMUKCA-UCAM	Morgenstern et al. (2009)	2.50° x 3.75° CP60	W &M	45 years

Table 1. Climate models used in the study. HadGEM1 was part of CMIP3, MIROC-ESM-CHEM, MPI-ESM-MR, HadGEM2-CC and CMCC-CMS were part of CMIP5, the rest are CCMVal-2 models. CMIP5 models are runs with a coupled ocean, HadGEM1 and the CCMVal-2 models are atmosphere only runs. The gravity wave (GW) parametrisation schemes are based on either the Ultra-Simple Spectral Parametrisation Warner and McIntyre (2001) (W & M) or the Doppler Spread Parametrisation scheme Hines (1997a, b) (Hines).

Reanalysis	Reference	Resolution of forecast model
ERA40	Uppala et al. (2005)	T _L 159 and N80 reduced Gaussian, L60
ERA Interim	Uppala et al. (2005)	T _L 255 and N128 reduced Gaussian, L60
MERRA	Rienecker et al. (2011)	0.66° lon x 0.5° lat; 72 sigma levels
JRA25	Onogi et al. (2007)	T106 L40
JRA55	Ebita et al. (2001)	T _L 319 L60
CFSR	Saha et al. (2010)	T382 L64
NCEP1	Kalnay et al. (1996)	T62 L28
	Kistler et al. (2001)	
NCEP2	Kanamitsu et al. (2002)	T62 L28

Table 2. Reanalysis datasets used in the study. The period is 1979-2009 for all reanalyses except ERA40, which covers 1958-2001.

Model/Reanalysis	Height of maximum (hPa) *	Fourier amplitude (m/s)	Latitudinal extent (°) *	Vertical extent (km) *	Lowest level (hPa)	Period (months)		Amplitude (m/s)			Descent rate (km/month)	
						Min	Max	Mean	East	West	East	West
HadGEM1	10	14.7 ± 1.0	17.9	18.1	78	26.2 ± 3.1	41.3 ± 2.8	33.8 ± 4.4	-35.5 ± 1.4	16.0 ± 2.2	0.67 ± 0.50	0.72 ± 0.53
HadGEM2-CC	10	18.6 ± 0.9	18.7	16.7	83	21.1 ± 1.3	31.2 ± 1.4	26.5 ± 2.5	-34.8 ± 1.5	21.4 ± 2.3	0.76 ± 0.52	1.10 ± 0.50
MIROC-ESM-CHEM	15	16.0 ± 0.2	19.6	17.9	76	22.3 ± 0.8	31.8 ± 0.9	26.1 ± 2.0	-34.7 ± 2.1	14.4 ± 1.7	0.68 ± 0.39	0.85 ± 0.55
MPI-ESM-MR	10	22.1 ± 0.5	20.2	18.3	84	23.5 ± 1.7	57.0 ± 7.8	30.3 ± 4.8	-38.1 ± 1.9	26.1 ± 1.5	0.58 ± 0.49	0.90 ± 0.68
CMCC-CMS	10	14.4 ± 0.8	18.8	19.0	68	22.6 ± 2.2	153.5 ± 18.6	47.9 ± 29.9	-28.3 ± 7.6	26.4 ± 2.0	0.44 ± 0.55	0.59 ± 1.41
EMAC	15	13.2 ± 0.6	21.0	18.5	76	24.1 ± 1.8	35.0 ± 2.1	28.4 ± 3.3	-29.0 ± 2.3	14.3 ± 1.4	0.73 ± 0.63	0.96 ± 0.59
MRI	20	13.3 ± 1.0	20.6	21.5	81	25.3 ± 1.8	38.3 ± 2.3	28.3 ± 3.1	-27.4 ± 1.1	12.5 ± 1.3	0.57 ± 0.44	1.03 ± 0.88
UMSLIMCAT	10	15.2 ± 1.2	18.8	22.7	77	28.5 ± 1.9	35.1 ± 1.6	31.2 ± 1.8	-36.7 ± 2.4	23.0 ± 3.2	0.83 ± 0.58	0.57 ± 0.45
UMUKCA-METO	10	11.1 ± 2.1	18.2	15.6	80	53.2 ± 7.1	62.4 ± 4.4	56.8 ± 3.3	-34.0 ± 1.8	17.0 ± 1.5	0.25 ± 0.47	0.52 ± 0.53
UMUKCA-UCAM	10	12.9 ± 0.8	18.2	16.7	87	36.0 ± 6.1	66.3 ± 4.5	49.5 ± 9.5	-34.8 ± 1.4	17.8 ± 0.9	0.33 ± 0.46	0.56 ± 0.45
ERA 40	20	14.6 ± 0.6	21.7	17.3	89	19.6 ± 1.7	34.7 ± 2.0	28.3 ± 3.8	-35.6 ± 2.4	13.4 ± 2.3	0.74 ± 0.71	1.32 ± 0.72
ERA-Interim	20	14.6 ± 1.0	20.9	15.1	86	22.9 ± 1.7	34.7 ± 2.5	28.0 ± 3.5	-34.5 ± 2.3	16.0 ± 1.4	0.79 ± 0.78	1.28 ± 0.71
MERRA	20	15.1 ± 1.1	20.8	17.7	89	22.5 ± 1.5	34.8 ± 1.8	28.0 ± 3.7	-34.6 ± 2.5	16.4 ± 1.9	0.74 ± 0.69	1.16 ± 0.68
JRA25	20	14.1 ± 1.3	20.9	19.4	87	22.9 ± 2.1	35.2 ± 2.6	28.0 ± 3.6	-35.4 ± 2.1	16.4 ± 2.0	0.73 ± 0.68	1.56 ± 0.68
JRA55	20	14.5 ± 1.2	21.3	17.7	88	22.9 ± 1.6	35.6 ± 2.9	28.0 ± 3.7	-35.6 ± 2.3	16.1 ± 1.5	0.69 ± 0.67	1.44 ± 0.59
CFSR	20	14.0 ± 0.8	21.4	16.5	83	23.2 ± 1.6	34.8 ± 2.2	27.9 ± 3.5	-34.9 ± 2.3	14.7 ± 2.8	0.75 ± 0.83	1.18 ± 0.65
NCEP1	20	11.7 ± 1.1	20.1	-	80	22.9 ± 1.5	34.5 ± 2.8	27.9 ± 3.5	-27.9 ± 3.4	15.3 ± 1.4	0.82 ± 0.79	1.55 ± 0.68
NCEP2	10	12.1 ± 0.8	20.6	-	81	22.5 ± 1.6	35.1 ± 2.7	27.7 ± 4.2	-35.6 ± 3.8	10.0 ± 3.5	0.84 ± 0.84	1.68 ± 0.87
Observations	15	15.7 ± 0.6	-	-	-	20.4 ± 1.8	36.9 ± 2.3	28.2 ± 4.5	-32.8 ± 3.2	18.3 ± 2.8	0.66 ± 0.75	1.24 ± 0.65

Table 3. Calculated QBO metrics for all models and reanalyses. Where possible, the value from the observations is given as well. However, these only consist of one timeseries at the equator (hence no latitudinal information) and are available between 10 and 70hPa for the time 1956-2015, so the depth and vertical extent could not be assessed. The highest level NCEP1 and NCEP2 is 10hPa, which accounts for the missing value in vertical extent. Errors of mean period, easterly/westerly amplitudes and descent rates are standard errors based on averaging over QBO cycles. The error in the Fourier amplitude, min/max period is based on surrogate timeseries.

* The error of these parameters is determined by the grid spacing (refer to Tables 1 and 2).

Model/Reanalysis	Height of maximum (hPa) *	Fourier amplitude (K)	Latitudinal extent (°) *	Vertical extent (km) *	Lowest Level (hPa) *
HadGEM1	15	0.7 ± 0.1	12.9	20.0	89
HadGEM2-CC	6	1.0 ± 0.1	14.4	19.2	96
MIROC-ESM-CHEM	7	1.4 ± 0.0	13.8	16.3	69
MPI-ESM-MR	5	1.7 ± 0.0	15.2	20.8	82
CMCC-CMS	5	1.1 ± 0.1	16.0	22.9	85
EMAC	20	1.2 ± 0.1	15.7	17.7	85
MRI	30	0.9 ± 0.1	15.2	19.6	97
UMSLIMCAT	20	1.0 ± 0.1	13.2	21.3	85
UMUKCA-METO	30	0.7 ± 0.1	12.8	19.6	113
UMUKCA-UCAM	30	0.8 ± 0.1	13.6	18.5	115
ERA 40	30	1.3 ± 0.1	16.2	14.2	97
ERA-Interim	30	1.3 ± 0.1	16.8	14.9	89
MERRA	30	1.3 ± 0.1	16.8	14.8	88
JRA25	30	1.1 ± 0.2	15.8	17.4	89
JRA55	30	1.3 ± 0.1	16.9	13.7	88
CFSR	20	1.2 ± 0.1	17.4	15.2	85
NCEP1	30	0.8 ± 0.1	15.3	-	85
NCEP2	20	0.8 ± 0.1	27.7	-	87

Table 4. Characteristic QBO metrics calculated from the zonal mean temperature. Values for models and reanalyses are listed; there is no comparable observational dataset.

* The error of these parameters is determined by the grid spacing (refer to Tables 1 and 2).

<i>ZM Zonal Wind</i>	Model mean	Model mean (ex)	Reanalysis mean
Height of maximum (hPa)	12 ± 4	13 ± 4	20 ± 0
Fourier amplitude (m/s)	15.1 ± 3.2	16.3 ± 3.5	14.8 ± 0.3
Latitudinal extent (°)	19.2 ± 1.1	19.7 ± 1.2	21.0 ± 0.3
Vertical extent (km)	18.5 ± 2.2	18.5 ± 1.6	16.8 ± 1.5
Lowest Level (hPa)	79 ± 5	80 ± 4	88 ± 2
Mean Period (months)	35.9 ± 11.2	28.9 ± 2.8	28.0 ± 0.0
Min Period (months)	28.3 ± 9.7	23.8 ± 1.9	22.7 ± 0.2
Max Period (months)	55.2 ± 36.9	39.1 ± 9.6	35.0 ± 0.5
Amplitude Easterly	-33.3 ± 3.7	-33.3 ± 4.1	-34.0 ± 1.9
Amplitude Westerly	18.9 ± 5.0	17.5 ± 5.2	15.8 ± 0.8
Descent rate Easterly	0.6 ± 0.2	0.7 ± 0.1	0.7 ± 0.0
Descent rate Westerly	0.8 ± 0.2	0.9 ± 0.1	1.3 ± 0.1
<i>ZM Temperature</i>			
Height of maximum (hPa)	17 ± 11	14 ± 10	30 ± 0
Fourier amplitude (m/s)	1.1 ± 0.3	1.2 ± 0.4	1.3 ± 0.0
Latitudinal extent (°)	14.3 ± 1.2	14.5 ± 1.0	16.9 ± 0.0
Vertical extent (km)	19.6 ± 1.9	18.9 ± 1.6	14.5 ± 0.7
Lowest Level (hPa)	92 ± 14	86 ± 10	88 ± 1

Table 5. Characteristic QBO metrics in reanalyses and models. The mean and \pm one standard deviation of the metrics in Tables 3 and 3 are shown. The multi-model mean was calculated from all models (* excluding CMCC-CMS and both UMUKCA models for obvious shortcomings in QBO modelling (Figure 1)), the reanalysis mean from the most recent datasets, namely ERA-Interim, MERRA and JRA55.

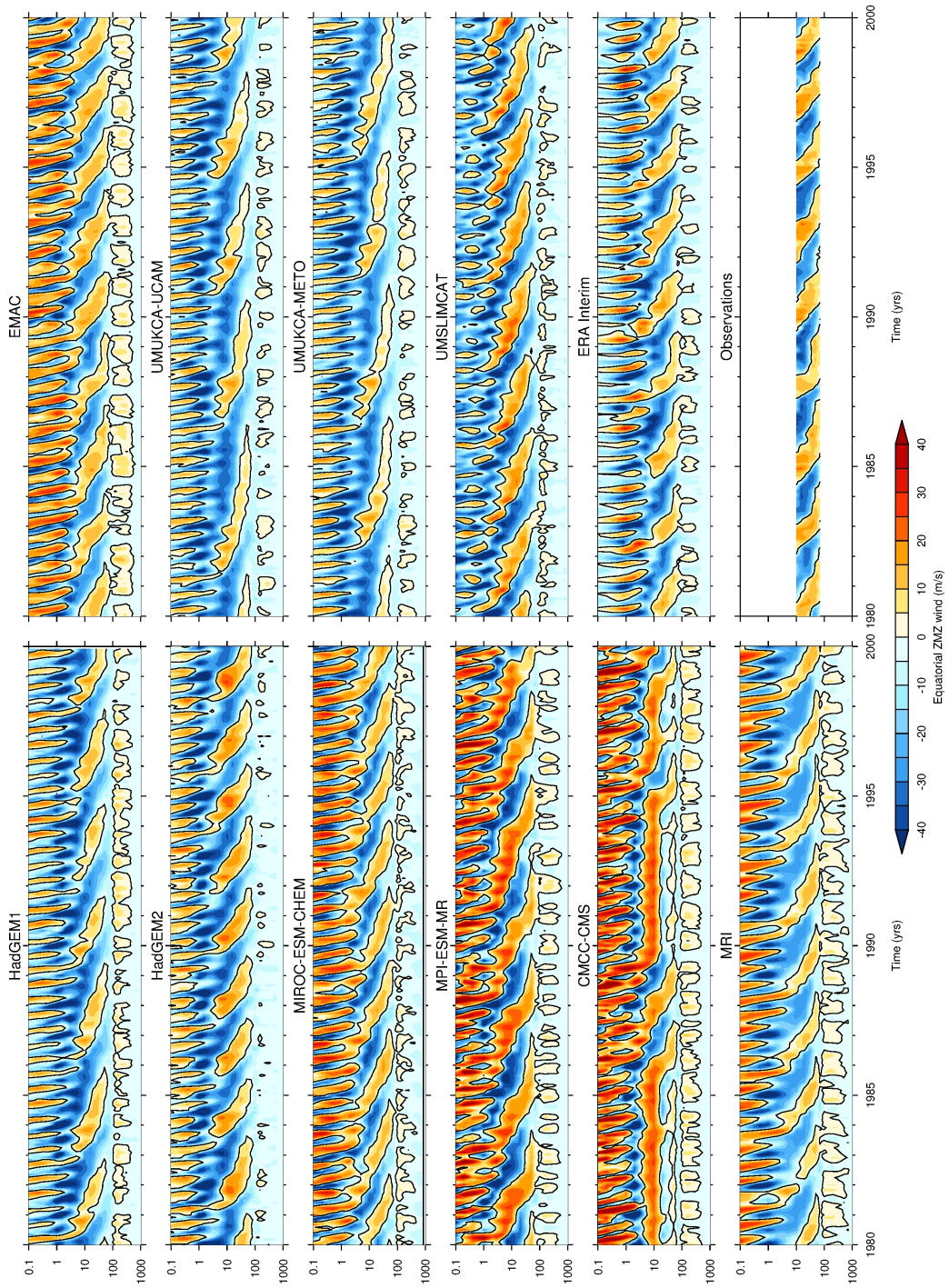


Figure 1. Equatorial zonal mean zonal wind time-height series from models and the ERA-Interim reanalysis, 1980-2000. Easterlies are blue, westerlies red. The zero wind line is shown in black. The observational dataset from Freie Universität Berlin (2015) is shown on the bottom right for levels 10-70hPa.

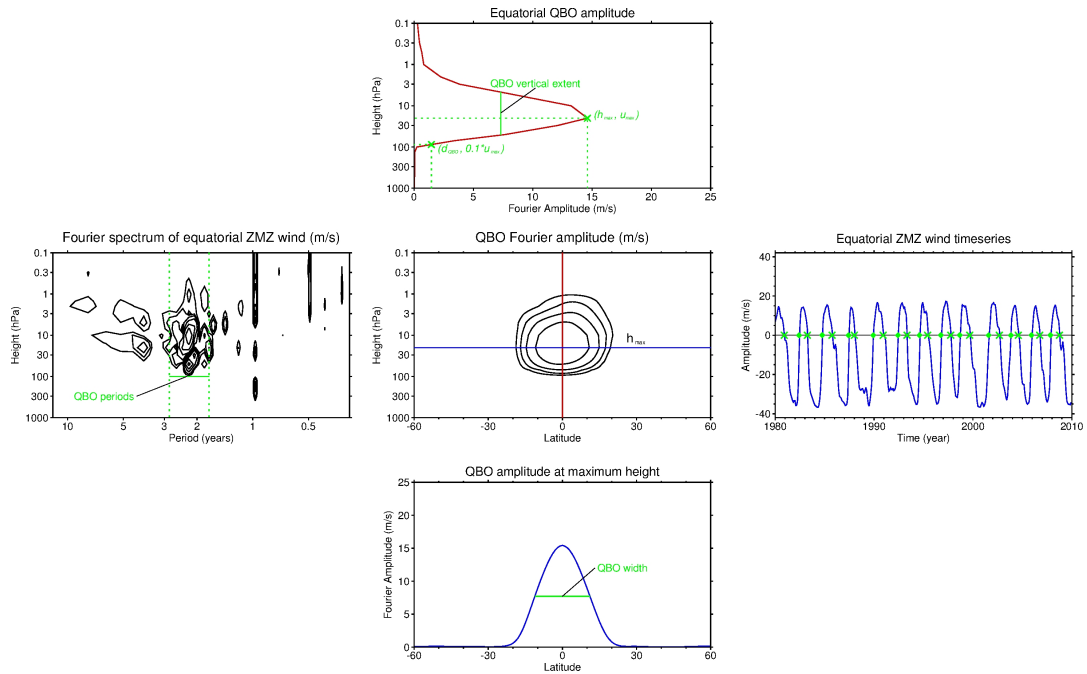


Figure 2. Derivation of QBO \bar{u} characteristic metrics, exemplified with the reanalyses mean:

Middle row: Mean Fourier spectrum (left) of equatorial zonal mean zonal wind. Contours are drawn at 1, 2, 4, 8 and 16 m/s. The Fourier harmonics around 2 years are averaged to give the latitude-altitude QBO amplitude (middle, same contours). From the \bar{u} timeseries at h_{max} (right), the period of each single QBO cycle is calculated and the easterly/westerly amplitudes are identified.

From the latitude-altitude QBO structure, a cross section at the equator (red) is taken to derive the QBO height profile (upper) and one at 20 hPa (blue) for the latitude profile (lower). From the height profile, the vertical extent, the depth d_{QBO} as well as the maximum Fourier amplitude (u_{max}) can be identified. The latitude cross section at h_{max} , where the equatorial QBO Fourier amplitude peaks, serves to define the latitudinal extent of the QBO.

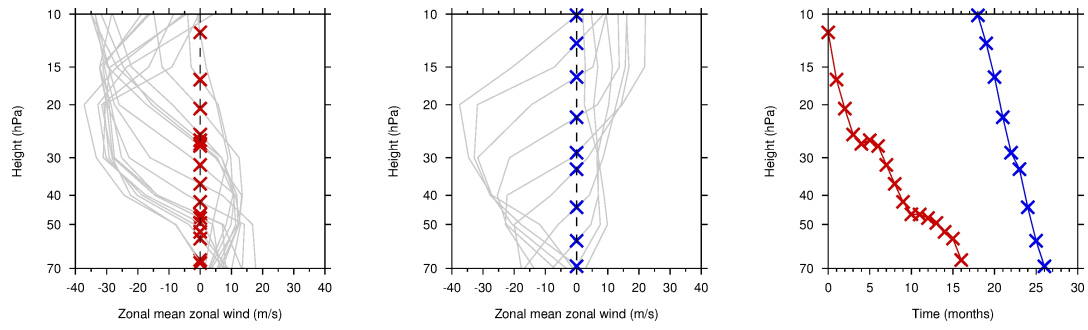


Figure 3. Equatorial \bar{u} profiles in consecutive months for a descending easterly (left) and westerly (middle) shear zone from the FU Berlin observations (1964-1966 cycle). The heights of phase change in each month are shown in red/blue and are displayed in the right panel.

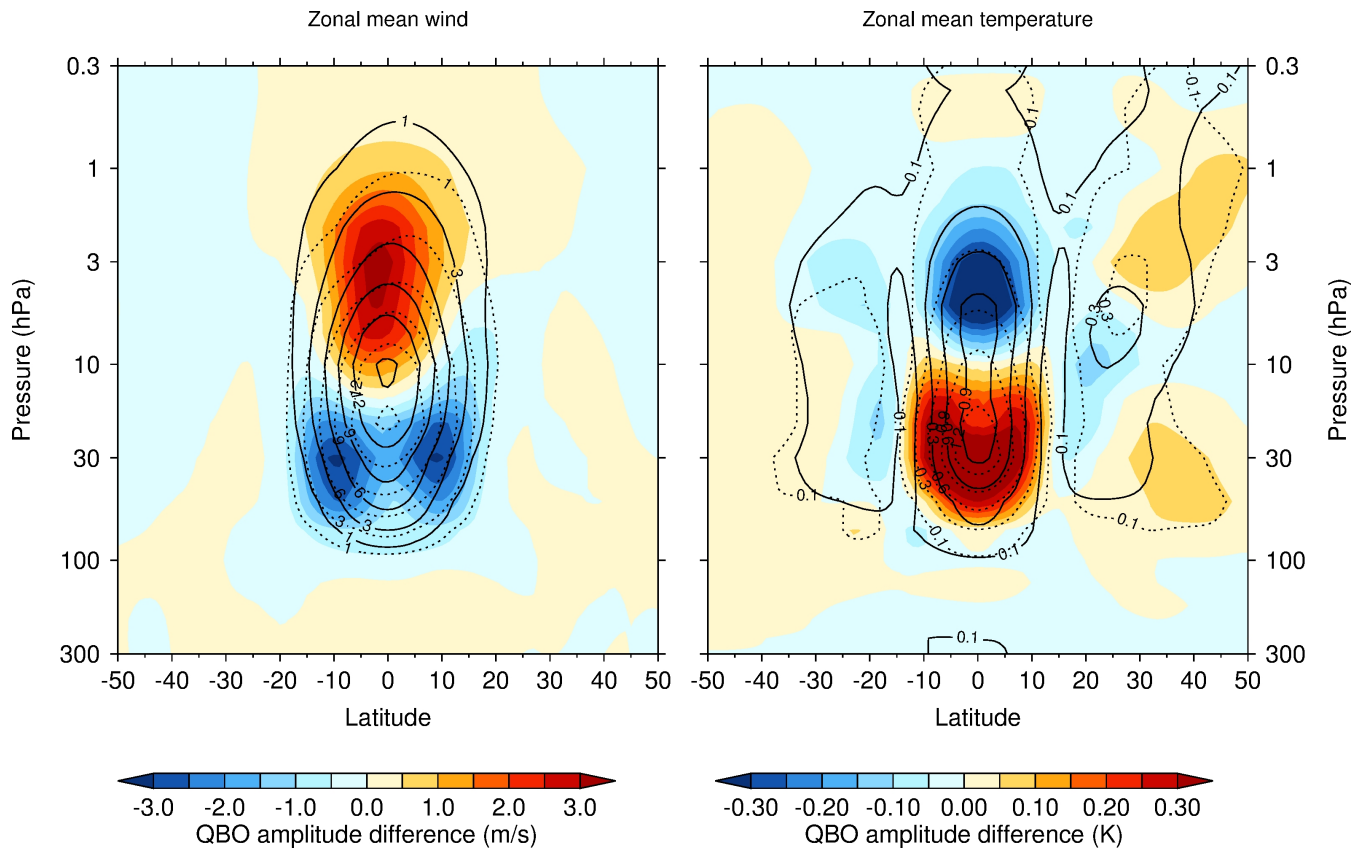


Figure 4. Comparison of QBO amplitudes in u (left) and T (right) from models (solid contours) and reanalyses (dotted contours). The colours show the difference models-reanalyses with blue depicting an underestimation by models and red an overestimation.

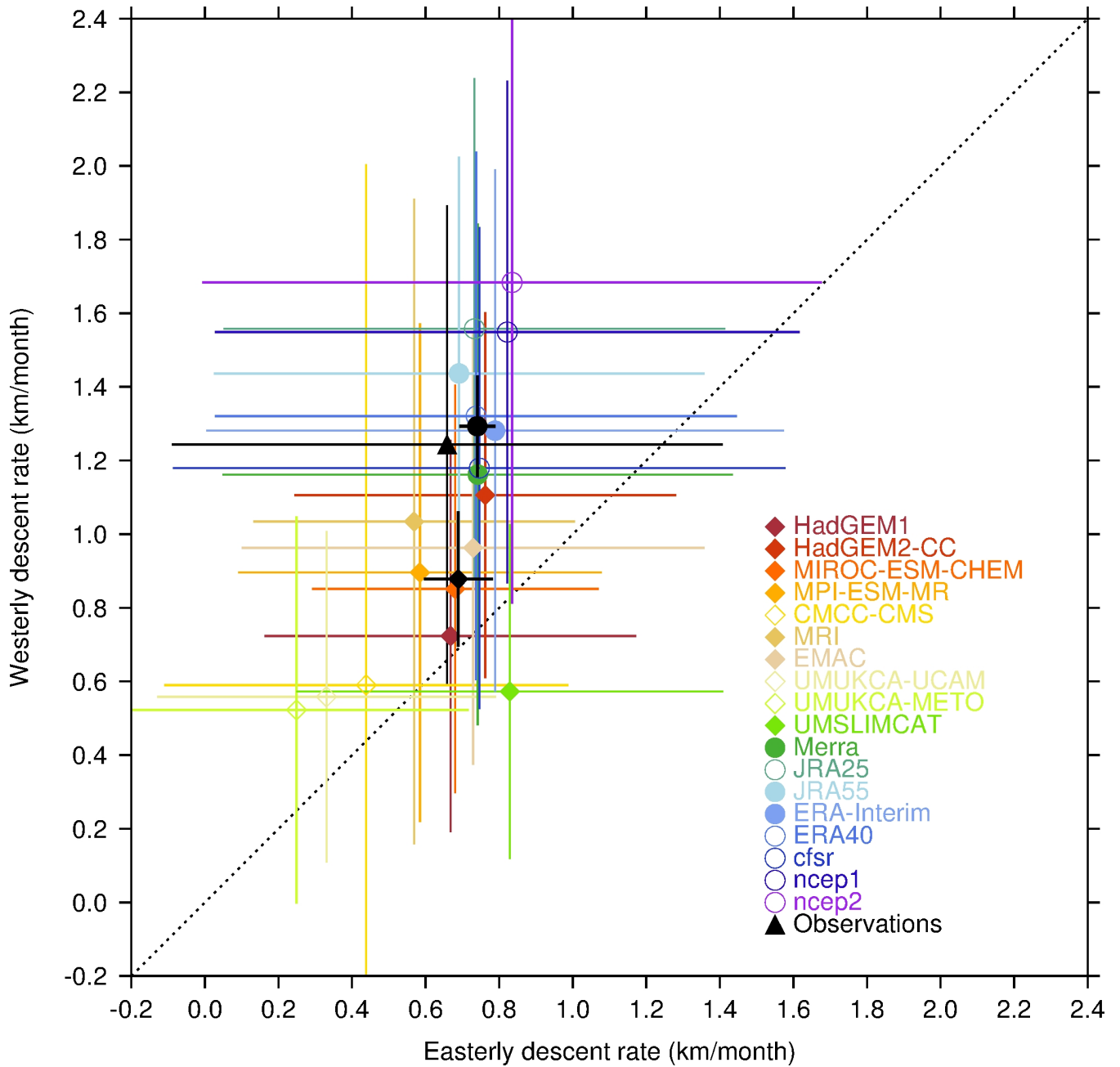


Figure 5. QBO easterly and westerly descent rates in models and reanalyses. The symbols (diamonds for models, circles for reanalyses and triangle for observations) show the mean and standard deviation within each dataset. The filled symbols contribute to the model/reanalysis mean as shown with the black diamond/circle. The dotted line represents equal descent rates for both shear zones as orientation.

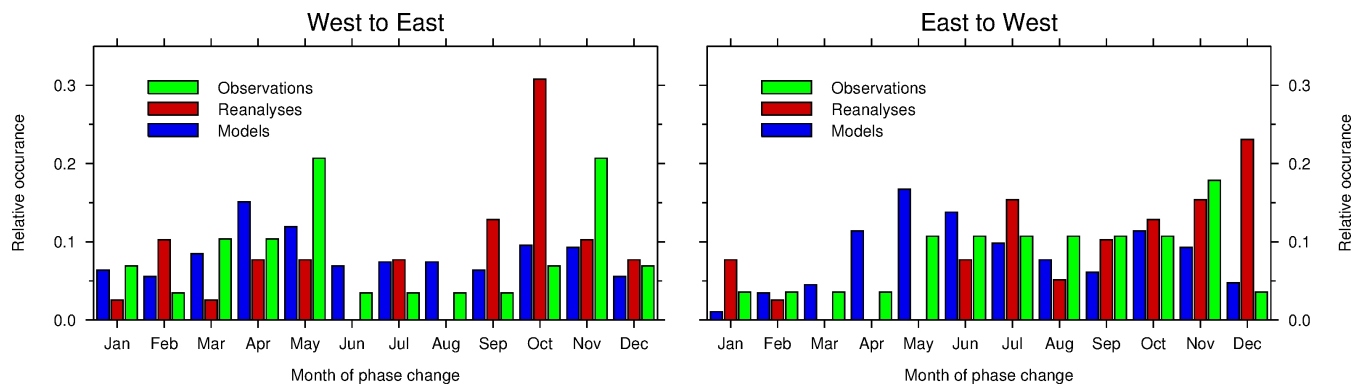


Figure 6. Timing of phase change in models (blue, excluding CMCC-CMS and both UMUKCA models), FUB observations (green) and reanalyses (red). There are 407/29/39 west-east changes (distribution of relative occurrence in left panel) and 411/28/39 east-west changes taken into account for models/observations/reanalyses.