Authors' response to Topical Editor Decision: Publish subject to minor revisions (Editor review) (25 Apr 2017) by Julia Hargreaves:

5 For clarity, we reproduce the comments by the editor in blue/italic and provide answers in black. Changes to the manuscript are presented in bold face.

Comments to the Author: Author Contribution paragraphs are often a good idea. In this case, where the authors have changed in the revision, I would like you to provide one. I think it may be positioned before the Acknowledgments.

10 We have followed the editor's suggestion and included the following section before the Acknowledgements:

Authors' contribution: J.H. Jungclaus, P. Braconnot, J. Cao, M. Evans, J.F. Gonzalez-Rouco, N. Krivova, J. Luterbacher, B. Otto-Bliesner, S.J. Phipps, G.A. Schmidt, J. Smerdon, M. Toohey, S. Wagner, and D. Zanchettin have designed the article, coordinated the writing and drafted the manuscript. The solar forcing reconstructions were assembled and described by E. Bard, M. Baroni, T. Egorova, N. Krivova, R. Muscheler, E. Rozanov, H. Schmidt, W. Schmutz, A.I. Shapiro, S.K. Solanki, I.G. Usoskin, C.-J. Wu, K.L. Yeo. H. Schmidt and A.C. Maycock provided the section on solar-related ozone variations. M. Khodri, A.N. LeGrande, S.J. Lorenz, M. Sigl, C. Timmreck, and M. Toohey provided the volcanic forcing and the description of its implementation. L.P. Chini, G.C. Hurtt, J.O. Kaplan, K. Klein Goldewijk, and J. Pongratz contributed the land-use data set and its documentation. F. Joos, M. Meinshausen, and C. Nehrbass-Ahles provided the section on greenhouse gas forcing. J. Cao, M. Evans, H. Goosse, J. Luterbacher, W. Man, A. Schurer, A. Moberg, Q. Zhang, and E. Zorita contributed to the section on specific analyses, the sections on the links between PMIP and other MIPs. All authors have contributed to the revisions and have approved of the final version of the manuscript.

25 Why is some of the data password protected? If you can provide a good reason for this, please also send me a password (or put it in the manuscript?!), so I can view the data.

We apologize for not providing the password earlier. The password protection was installed only for the time that the review process is on-going because demands for changes in the forcing data sets could have been brought-up. For this case, we wanted to be able to track down users in order to inform them about possible changes. We will lift the PW protection once the paper is accepted. For the time being, the PW is "pmip4bc"

For the model output, I like the way you have done it, specifying a minimum in the paper but also including a file with the full set of desired variables. For people who can provide a bit more than the minimum, but not everything, is there any value in providing high frequency output for just part of the run? Would there be specific parts that would be of most interest?

Thanks for the positive evaluation and the suggestion on specific time periods for high-frequency output. We have discussed the matter in the group and came up with the following statement to be included in section:

If storage of high-frequency output for the entire millennium should be too demanding, we recommend to concentrate efforts to three multi-decadal periods (in descending priority): 1. The

early 19th century (1790 to 1849 as focus period of VolMIP), and 2. the Maunder Minimum (1645 to 1715 CE) and 3.) the Medieval Climate Anomaly (1100 to 1170 CE) covering periods of high and low solar activity, respectively.

Note that the citation to Kageyama et al overview paper in the CMIP6 special issue is only to the GMDD paper in review. This is unlikely to improve since that paper is on hold pending the acceptance of this manuscript (and the other 2)!

10 We will keep the citation to GMDD.

Response to reviews of "The PMIP4 contribution to CMIP6 - Part 3: the Last Millennium, Scientific Objective and Experimental Design for the PMIP4 *past1000* simulations" by Jungclaus et al.

For clarity, we reproduce the comments by the reviewers and the editor in blue/italic and provide answers in black. Changes to the manuscript are presented in bold face.

5 Reviewer 1:

25

This paper provides a useful overview of the objective and setup of the planned PMIP4 simulations covering the preindustrial millennium. It is generally well written and clear, and I have only a few minor suggestions for improvement. This paper will serve the community well and I would recommend accepting it for publication in GMD.

We thank the reviewer for his/her positive evaluation and suggestions that helped to improve the manuscript. In the following, we address all the comments and suggestions.

Introduction. In my view, the introduction could be improved by stating more clearly

the objective of this paper and the added value compared to PMIP3-CMIP5. The main improvements relative to PMIP3 are summarized in Section 6 (Conclusions), but after reading the last two paragraphs of the introduction, it was not clear to me what the exact innovation is in the PMIP4 past1000 simulations compared to PMIP3. So I suggest to revise the main paragraph on page 4 (starting at line 5) to clarify this point.

We have followed the reviewer's suggestion and included the following at the place suggested:

Further progress is expected for CMIP6 and PMIP4. Models with higher spatial resolution will be available for long-term paleo simulations, which has the potential to improve the representation of mechanisms controlling regional variability and to alleviate biases in the mean state (e.g. Milinski et al., 2016). Newly added model components, for example interactive chemistry and aerosol microphysics, will allow for more explicit representation of forcing-related processes in some models (LeGrande et al., 2016), and, as we outline below, improvements in forcing reconstructions regarding their accuracy and complexity will potentially lead to improved model data comparison. In addition, more stringent protocols for experimental set-ups and output data are implemented in the CMIP6 process, which also ensures a better interaction between related MIPs. For example, the PMIP4 past1000 experiment is closely related to the more process-oriented suite of simulations in the Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP, Zanchettin et al., 2016).

Scope of the paper. The title and the introduction suggest that this paper is about the tier-1 past1000 experiments, but in fact also the forcings for the historical simulations are discussed. Is there a separate paper planned to explain the setup of the historical simulations in detail? If not, I would suggest to slightly modify the title to broaden the scope.

30 The point is that it is very important to complement the past1000 simulations covering 850 to 1849 CE with historical simulations for the industrial period (1850 to 2014CE).

PMIP4 recommends strongly that these historical simulations will be carried out with the official CMIP6 historical forcing data sets that are documented in Eyring et al., GMD, 2016 and various other contributions to the GMD special issue. For some of the drivers, we are in the lucky situation that the forcings for the CMIP6 historicals have already been extended back in time to cover either the entire CE (as for the GHG forcing), or the last millennium (850 to 2014 CE for the land-use forcing. For other forcings, e.g. solar we have made an effort to provide a smooth transition between the pre-industrial and the historical forcings. This is documented in the respective sections of our manuscript. Since the 1850-2014 simulations following the past1000s fulfil all the requirements for CMIP6 "historicals" we do not intend to describe and document them in this manuscript. We have however, underlined more clearly that it is mandatory to add a "historical" simulation that is

initialized with the 1849 conditions from past1000. Therefore, we have included the following statement at the end of the first paragraph of the introduction:

We emphasize, that the *past1000* simulations must be complemented by *historical* simulations for 1850 to 2014 CE following the CMIP6 protocol and applying the CMIP6 external forcing for the industrial period (Eyring et al., 2016 and references therein).

Section 3.3.1 find the explanation of the tier-2 experiments rather vague. For instance, what is the time period to be covered in these simulations? Is it also the full 1000- year period of 850-1849 CE? Will the same initial conditions be used as in the tier-1 experiments? If the models are run in ensemble mode, what is the recommended number of ensemble members? I suggest making this section more specific.

We have added information on the simulation period etc. in the text

The "tier-2" past1000 experiment should be set-up in a similar way as the "tier-1" past1000 simulation, i.e. the simulation should cover 850 to 1849 CE and the same initial conditions should be used.

Regarding the ensemble size, we can probably not demand too much, therefore we have included the following sentence:

While an ensemble size of ten has been shown to be desirable (Otto-Bliesner et al., 2016; Stevenson et al., 2016), we acknowledge that limits in computational resources or high computational demand of high-resolution models may prevent groups from conducting large ensembles.

Minor comments

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20 Page 1, line 10. 'This is particularly acute for regional and sub-continental scales'. I suggest specifying what regions are of special interest here.

At this point in the introduction we would prefer not to go into details regarding individual regions. We have, however, changed the sentence to better specify the issue of spatial inhomogeneity and included a reference to a recent paper by Gagen et al., who discuss sub-continental spatial variations over Europe:

This is particularly acute for regional and sub-continental scales, where spatially heterogeneous variability modes potentially impact the climate signal (e.g., PAGES2k-PMIP3 Group, 2015; Luterbacher et al., 2016; Gagen et al., 2016).

Page 1, line 15. 'preindustrial millennium'. Please explain here that you mean the 850-1849 CE period.

30 Done

Page 6, line 11. 'a updated forcing datasets'. Remove 'a'.

Done

35 Page 6, Section 3. Please briefly explain already here what the difference is between tier-2 and tier-3 experiments.

We included the following statement here:

In contrast to the PMIP3 protocol, PMIP4-CMIP6 recommends a single collection of external forcing data sets (the default forcing) in the "tier-1" experiments while encouraging exploration of forcing uncertainty as part of dedicated "tier-2" experiments. Whereas these "tier-2" experiments only differ in the characteristics and combination of the external drivers from the "tier-1" past1000 experiment, additional "tier-3" experiments are designed to allow clusters of modelling groups to perform dedicated research by exploring either specific episodes or advancing the scope of the past1000 experiments by extending them in time.

Page 6, line 26. I suggest mentioning here that the historical simulations cover 1850- 2014 CE, and not in line 31.

Done

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Page 8, Section 3.4.2. Will the forcings for the past2K and past1000 experiments be identical for the period 850-1849 CE? I suggest clarifying this.

Yes, the forcing should be continuous. We have included the following statement after the first sentence of section 3.4.2. to clarify this:

15 In fact, except for the land-use change forcing, all forcing reconstructions described above for the "tier-1" past1000 experiment are available for the entire CE and the groups need to make sure that the same forcing is used for past1000 and past2k during the period 850 to 1849 CE.

Page 8, Section 3.5. I suggest briefly explaining here or in the Table caption the meaning of the capitals N, M and L.

We have expanded the description of the table in the text accordingly and changed slightly the nomenclature of the integers (now K, L, M, N):

The experiments are defined by their short name (e.g., past1000) and an extension following the "ripf" classification, where "r" stands for "realization, "i" for initialization, "p" for perturbed physics, and "f" for forcing (Table 1). The letters r, i, p, and f are followed by integers K, L, M, and N, respectively. For example, different realisations within an ensemble would have different values for "K" following the "r". To classify a simulation with a model with modified physical parameterization, one would vary the integer "M" after the "p". The experiments using the default forcing are defined by "f1", alternative or single forcing would be identified by a different integer value "N". CMIP6 historical simulations starting from a past1000 run should vary the integer "L" after the "i". It is the responsibility of the modelling groups to document the choices and settings.

Page 9, Section 4.2. What is the difference in the GHG radiative forcing compared to PMIP3-CMIP5? Please explain.

We have included the following addition:

Differences between the new CMIP6 data set and previous estimates for CMIP5 are rather small (e.g., for global mean surface mixing rations see figure 9 in Meinshausen et al., 2016). The CMIP6 reconstruction offers better representation of latitudinal and seasonal variations and we recommend using this data set for consistency throughout the CE.

Page 9, line, 8: 'Discrepancies in proxy-based temperature records'. Why are the temperature records mentioned here in the section on volcanic forcing? Please clarify.

We did not make it clear enough that we mean the discrepancies in timing of cooling events in temperature reconstructions and the occurrence of the sulphate signal in the forcing reconstruction. We have rephrased this sentence:

Discrepancies in the timing of volcanic events recorded in ice cores and short- term cooling events in proxy-based temperature records have been largely resolved by improvements in absolute dating of the ice core record (Sigl et al., 2015).

Pages 10-11, Section 4.4. What is the difference in solar forcing compared to PMIP3? Please elaborate.

We have included a summary paragraph at the end of the section on solar forcing that describes what is new and more robust in PMIP4.

In summary, PMIP4 provides three reconstructions of TSI and SSI from the most-up-to-date records of cosmogenic radioisotopes ¹⁴C and ¹⁰Be using a chain of models, all of which have been improved and updated since PMIP3. In contrast to CMIP3, for all provided reconstructions, total and spectral irradiance are computed in a self-consistent manner. In particular, the same model has been used to reconstruct irradiance from each radioisotope to allow an estimate of the uncertainty due to the effect of local conditions on their formation and deposition. Two irradiance reconstructions were obtained from ¹⁴C data using different irradiance models to allow for sensitivity experiments testing the response to the amplitude of the solar forcing. The default forcing for CMIP6-PMIP4 past1000 is the ¹⁴C SATIRE-based reconstruction. The PMOD-based reconstruction provides an upper limit on the magnitude of the long-term changes in irradiance. Since the historical CMIP6 recommendation is an arithmetic average of two conceptually different models with significant differences in the SSI variability, special care has been taken to combine the PMIP4 data sets with the historical forcing. The approach we have chosen here allows for a smooth transition but might nevertheless produce some artefacts.

Page 11, line 26. Will ozone variations be provided by PMIP4 for the period 850-1849 CE? Please discuss.

We have updated the section on solar-related ozone changes. For this part we received important input from Amanda 25 Maycock, who we suggest to include as co-author. At the end of section 4.4, we now specify:

Apart from the direct effect of changes in TSI and SSI, solar variability also affects stratospheric and mesospheric ozone abundances (e.g. Haigh, 1994) and can contribute significantly to the total stratospheric heating response. In climate models including interactive chemistry the photolysis scheme should adequately simulate the ozone response to variations in the UV part of SSI. CMIP6 models that do not include interactive chemistry should prescribe ozone variations consistent with the solar forcing and apply a scaling approach similar to the one recommended for the historical period (Matthes et al., 2016; Maycock et al., 2016b). It should be noted that solar-ozone regression coefficients as provided by Maycock (2016b) have been calculated with respect to the 10.7cm radio flux (F10.7), which is not available for the PMIP period. Hence we have re-performed the regression of the same ozone fields but with respect to solar UV irradiance averaged over the spectral range from 200 to 320 nm (see Appendix 4.3 for details). We recommend calculating time varying ozone input for PMIP4 by scaling these coefficients with the anomaly of the respective UV flux during the simulation period and add it to the CMIP6 preindustrial ozone climatology. The UV flux anomaly should accordingly be calculated with respect to the CMIP6 preindustrial irradiance data (Matthes et al., 2016).

40 In addition, we included a new appendix 4.3 with a technical description of the ozone parameterization and its implementation.

Page 11, last line. Klein Goldewijk et al. 2016. The reference list only mentions Klein Goldewijk 2016, so without co-authors. Is the reference in the list incomplete?

We have corrected Klein Goldewijk et al., 2016 to Klein Goldewijk, 2016.

5 Page 12. Section 4.5 discusses quite extensively the wood consumption. I wonder if this paper is the right place for this discussion, as it seems incompatible compared to level of detail in the rest of the manuscript. Wouldn't it fit better in a manuscript on LUMIP?

We agree that the level of details on the reconstruction of wood consumption is a bit out of balance compared with the other ingredients to the forcing. We have shifted a larger part of this paragraph to the appendix section.

Reviewer 2:

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In this manuscript the authors describe the major goals of the last millennium experiments within the forth phase of PMIP, and the experimental protocol that have been proposed to address them. This is an important well-organised initiative that will shed new light on both the internally driven and externally forced contributions to the climate of the last millennium, and will complement other additional efforts by the paleoclimate community (e.g. PAGES2K). Therefore, I find the article timely and worthy of publication in Geoscientific Model Development. The paper is well written and the experimental protocol is well justified and thoroughly explained. There are, however, some key choices of the experimental setup that could be better highlighted (see points below). I thus recommend

acceptance pending a few minor clarifications and comments that would need to be addressed.

20 We thank the reviewer for his/her positive evaluation of our manuscript and the suggestions that we found helpful to improve the manuscript. In the following we will address all comments and suggestions.

#1 I think that the article would benefit if the default forcings for the Tier1 experiments were more clearly synthetized, e.g. summarized in a Table and/or highlighted in the legends of the different figures. Otherwise, that key information is scattered throughout the text, and not always easy to find.

Thanks for pointing this out. We have followed the reviewer's suggestion and included such a table as table 2. The table includes also direct links to the respective forcing data repositories.

#2 This article describes the third part of the PMIP4 contribution to CMIP6, but there is no mention to the other parts (are there more than three?), and how they complement with each other. A brief explanation in the introduction would be helpful.

We have clarified this point and provide a paragraph in the introduction that puts our paper into the context of the suite of PMIP4/CMIP6 papers.

This paper is part of a suite of five manuscripts documenting the PMIP4 contributions to CMIP6. Kageyama et al. (2016a) provide an overview on the tier- 1 experiments dedicated to CMIP. More specific information on the other paleoclimate experiments as well as the design of additional tier-2 and tier-3 experiments are given in the contributions for the mid-Holocene and the previous interglacial by Otto-Bliesner et al. (2016), for the last glacial maximum by Kageyama et al. (2016b), for the mid-Pliocene warm period by Haywood et al. (2016), and the present manuscript on the last millennium. Our past1000 manuscript is organized as follows....

#3 I presume that the notation past1000 comes from the previous PMIP3 experimental protocol, and have been kept for coherence. I, however, think that the term is misleading, as it seems to suggest that the experiments cover the past millennium. But instead they target the "preindustrial" last millennium. I don't think that it's worth to change it now, but a more appropriate term could be considered in the future (e.g. preind1000).

We agree that the name past1000 could be misleading, but we are not in a position to change it now. Wes hall keep this issue for further discussion on transient simulations within PMIP.

#4 [Page 3, lines 7-10] I would recommend rephrasing this sentence for clarity. For example, to something of the sort of

10 ":::the relative contribution of internal variability and external forcing factors to natural fluctuations in the Earth's climate system:::". #5 [Page 4, line 15]

done

Two other relevant articles that could be cited here are Lehner et al (2012) and Ortega et al (2015).

15 Included

#6 [Page 4, line 37] As it is written, it seems to imply that the MCA-LIA transition is only explained by these clusters of eruptions. But changes in solar irradiance most probably played some (minor) role. I suggest rephrasing to "Clusters of eruptions have been identified as the major contribution to the transition::"

20 done

#7 [Page 6, lines 10-12] Remove "a" from "a updated". The final part could also be slightly rephrased to "a new generation of climate models in which the different forcings will be better represented". Also, it is not clear to me if this sentence refers exclusively

to the changes in land-use, or to all the forcings previously described. If it's to all forcings, it might work better at the end of the paragraph (as the next sentence refers only to land-use changes).

We agree that this did not fit very well. We have deleted the sentence on further progress in the land-use forcing (as it is irrelevant for the existing protocol) and moved the more general statement on the new generation models to the first paragraph of section 2:

30 The PMIP4 experiments will revisit the questions regarding the relative role of external drivers using updated forcing datasets and a new generation of climate models, in which the different forcing will be better represented.

#8 [Page 5, line 13] Correct to "initiative".

This sentence was deleted

#9 [Page 7, lines 4-8] It is not totally clear to me from this paragraph whether there are two different sets of historical CMIP6 simulations according to their initial conditions (are they taken from picontrol experiments, past1000 experiments, or both?). Is that why you say that it will be possible to assess the impact of initial conditions on the climate of the 19th and 20th centuries?

- 5 Indeed, there will be two sets of historical simulations. We designed the experimental set-up so that the 1850 to 2014 CE historical simulations should be identical in terms of forcing (and, as such serve as contributions to the CMIP6 multi-model ensemble of historical simulations), but differ in the initial conditions. On the other hand, we want to make sure that we have continuous simulations from the pre-industrial past to the historical period. We have slightly modified the text to make this point more clear:
- 10 The standard PMIP4-CMIP6 past1000 experiment applies the default forcing data set (see below) and is complemented by an historical (1850 2014 CE) simulation that uses the end state of the past1000 simulation in 1850 CE for initialization and that follows the CMIP6 protocol (Eyring et al., 2016). This procedure provides a consistent data set for past and present climate variations. Comparing historical simulations initialized from a piControl run (the CMIP6 default) with those starting from 1849 CE conditions from past1000 serves to assess the impact of initial

15 conditions on the evolution of the 19th and 20th century climate.

#10 [Page 13, line 21] Change to "impacted-related".

Done

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20 #11 [Page 14, line 17] I suggest specifying "new climate reconstructions", to distinguish from forcing (reconstructions) just mentioned before.

We changed this to:

...new reconstructions of climate variables...

to emphasize that it is not only about temperature.

#12 [Page 14, first and second paragraph] These two collaborations with PAGES2K

to investigate the past changes in the ocean circulation and hydroclimate are really important to bridge the existing gaps between models and paleo records. Will key variables for these model-data intercomparison studies, such as the AMOC and barotropic streamfunction and some drought severity indices, be consistently stored by the different modelling groups?

30 Yes, this is a major effort that has been done in the CMIP6 community. We have added the following statement to the end of section 5.

PMIP has provided to CMIP6 a comprehensive list of output variables that includes all necessary variables for analyses of atmospheric, oceanic and land- surface processes (see section 3.6). CMIP6 will make sure that all groups store the output variables in a consistent way (see https://earthsystemcog.org/projects/wip/CMIP6DataRequest).

Please see also our response to the editor's comments below.

Comments by the Editor (J.C. Hargreaves):

Please can you include details of where the model output data will be stored. Is it all to be uploaded to ESGF? If other databases are to be used, please give details and explain the terms of use. This basic information could be added to the data availability section. Secondly, please provide a list or table detailing the required output variables for the experiments. This could be added as an appendix or supplement.

We have expanded the section on variable selection and data distribution and moved it to a more central place in the main text (section 3.6 of the new version). We include more information on data distribution and the list of variables requested by PMIP4. However, we are facing the problem that we are not able to provide a definite and final list of variables including approval by the CMIP6 Infrastructure panel. The request PMIP has submitted to CMIP6 (http://clipc-services.ceda.ac.uk/dreq/u/PMIP.html) is processes by WIP into official CMIP6 data request tables. The most up-to-date version can be downloaded here:

proj.badc.rl.ac.uk/svn/exarch/CMIP6dreq/tags/latest/dreqPy/docs/CMIP6 MIP tables.xlsx

We follow the editor's request to include this list as supplement, but we must point out that this list is "work in progress" with the most recent update just two days ago. We expect that the list contains inconsistencies that shall be removed in upcoming versions.

We have modified the manuscript and included a new section 3.6:

3.6 Output variables and data distribution

The "tier-1" past1000 simulation is part of the CMIP6 experiment family and output data will be distributed through the official CMIP6 channels via the Earth System Grid Federation (ESGF,

 $\underline{https://earthsystemcog.org/projects/wip/CMIP6DataRequest}).$

Data from PMIP4-only "tier-2" and "tier-3" simulations must be processed following the same standards for data processing (e.g. CMOR standards) and should be distributed via ESGF. Modelling groups producing these simulations are responsible to secure suitable space on ESGF nodes.

- Groups contributing past1000 simulations to CMIP6-PMIP4 should ideally deliver the entire set defined in the data request. However, an important issue for long-term simulations such as past1000 is storage demand for high-frequency output. As a minimum, we ask for a subset of two-dimensional daily variables that allow investigations on extreme events and particular dynamical features, including near surface air temperature (tas), daily maximum near surface air temperature (tasmax), daily minimum near surface air temperature (tasmin), daily maximum near-
- 30 surface wind speed (sfcWindmax), precipitation (pr), 500 hPa geopotential (zg500), daily maximum hourly precipitation Rate (prhmax).
 - Groups participating in PMIP and VolMIP should pay attention to the new diagnostics of volcanic instantaneous radiative forcing defined by VolMIP, whose calculation is recommended for some major volcanic events simulated in the past1000 experiment (for details, see Zanchettin et al., 2016). Groups that run the PMIP4-CMIP6 experiments
- 35 with the carbon cycle enabled should pay attention to the output variables requested by OCMIP and C4MIP. The list of variables requested by PMIP for the PMIP4-CMIP6 palaeoclimate experiments can be found here: http://clipc-services.ceda.ac.uk/dreq/u/PMIP.html.
- This request is presently processed by the CMIP6 Working Group for Coupled Modeling Infrastructure Panel (WIP) into tables, which define the variables included in the data request to the modelling groups for data to be contributed to the archive. The most up-to-date list including all variables requested for CMIP6 can be found at the WIP site: proj.badc.rl.ac.uk/svn/exarch/CMIP6dreq/tags/latest/dreqPy/docs/CMIP6_MIP_tables.xlsx
 - The last two columns in each row list MIPs associated with each variable. The first column in this pair lists the MIPs which are requesting the variable in one or more experiments. The second column lists the MIPs proposing experiments in which this variable is requested.
- 45 As supplementary to this manuscript we provide version 1.00.05 (April 2017) of the table. We note, however, that this document is still in development and inconsistencies may still exist.

In addition, we added the following sentence to the "Data Availability" section:

The results of the experiments described here will be distributed via the Earth System Grid Federation (ESGF, https://earthsystemcog.org/projects/wip/CMIP6DataRequest), as described together with the requested output variables in section 3.6.

Further unsolicited changes

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We have refined the description of the solar forcing at several places and have collaborated with Prof. Raimund Muscheler (Lund University). We received important input from R. Muscheler regarding the methodology presented in section 4.4. In particular, the comparison between his recently published neutron-monitor based estimate for the solar modulation has increased confidence in our solar forcing reconstruction.

We would like to include R. Muscheler as co-author in the revised version of our manuscript

We have further elaborated on the parameterization of solar-related ozone changes. We received important input from Dr. Amanda Maycock (University of Leeds), who has also been instrumental in defining the respective forcing for the CMIP6 historical experiments. We therefore wish to include A. Maycock as co-author in the revised version of our manuscript.

The PMIP4 contribution to CMIP6 - Part 3: the Last Millennium, Scientific Objective and Experimental Design for the PMIP4 past1000 simulations

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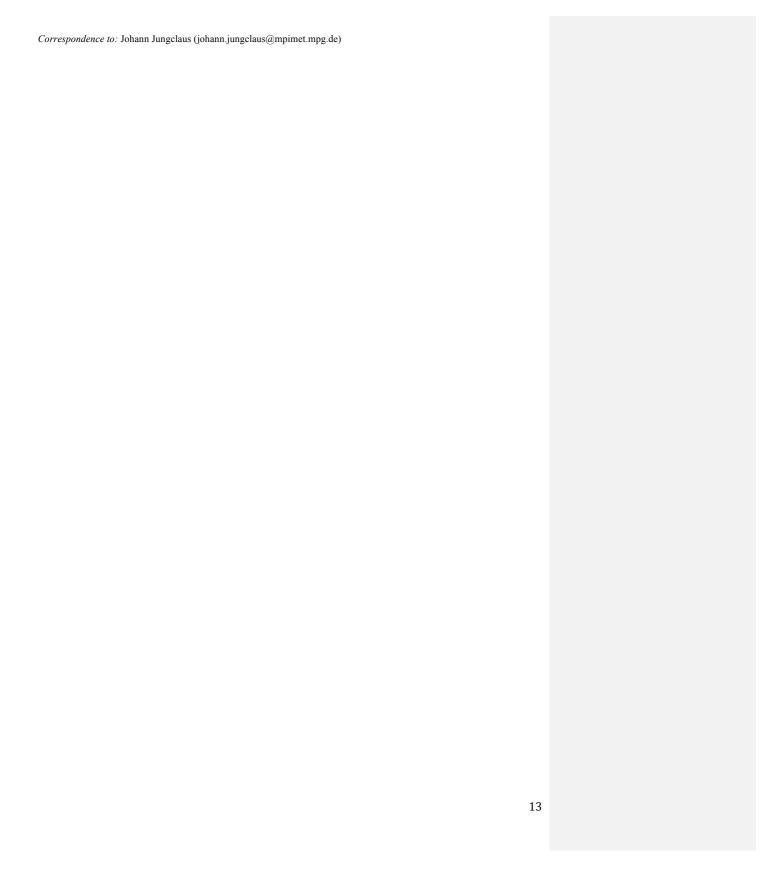
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Abstract. The pre-industrial millennium is among the periods selected by the Paleoclimate Model Intercomparison Project (PMIP) for experiments contributing to the sixth phase of the Coupled Model Intercomparison Project (CMIP6) and the fourth phase of PMIP (PMIP4). The past1000 transient simulations serve to investigate the response to (mainly) natural forcing under background conditions not too different from today, and to discriminate between forced and internally generated variability on interannual to centennial time scales. This manuscript describes the motivation and the experimental $set-ups \ for \ the \ PMIP4-CMIP6 \ past 1000 \ simulations, \ and \ discusses \ the \ forcing \ agents: \ orbital, \ solar, \ volcanic, \ land-use/land-u$ cover changes, and variations in greenhouse gas concentrations. The past1000 simulations covering the pre-industrial millennium from 850 Common Era (CE) to 1849 CE have to be complemented by historical simulations (1850 to 2014 CE) following the CMIP6 protocol. The external forcings for the past1000 experiments have been adapted to provide a seamless transition across these time periods. Protocols for the past1000 simulations have been divided into three tiers. A default forcing data set has been defined for the "tier-1" (the CMIP6 past1000) experiment. However, the PMIP community has maintained the flexibility to conduct coordinated sensitivity experiments to explore uncertainty in forcing reconstructions as well as parameter uncertainty in dedicated "tier-2" simulations. Additional experiments ("tier-3") are defined to foster collaborative model experiments focusing on the early instrumental period and to extend the temporal range and the scope of the simulations. This manuscript outlines current and future research foci and common analyses for collaborative work between the PMIP and the observational communities (reconstructions, instrumental data).

Keywords: Climate and Earth system modelling, CMIP6, PMIP, last millennium, natural forcing

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1 Introduction

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Based on a vast collection of proxy and observational data sets, the Common Era (CE; approximately the last 2000 years) is the best-documented interval of decadal- to centennial-scale climate change in Earth's history (PAGES2K Consortium, 2013, 2014; Masson-Delmotte et al., 2013). Climate variations during this period have left their traces on human history, such as the documented impacts of the Medieval Climate Anomaly (MCA) and the Little Ice Age (LIA) (e.g., Pfister and Brázdil, 2006; Büntgen et al., 2016; Xoplaki et al., 2016; Camenisch et al., 2016). Nevertheless, there is still debate regarding the relative contribution of internal variability and external forcing factors to natural fluctuations in the Earth's climate system and how they compare to the present anthropogenic global warming (Masson-Delmotte et al., 2013). This is particularly acute for regional and sub-continental scales, where spatially heterogeneous variability modes potentially impact the climate signal (e.g., PAGES2k-PMIP3 Group, 2015; Luterbacher et al., 2016; Gagen et al., 2016). Simulations covering the recent past can thus provide context for the evolution of the modern climate system and for the expected changes during the coming decades and centuries. Furthermore, they can help to identify plausible mechanisms underlying palaeoclimatic observations and reconstructions. Here, we describe and discuss the forcing boundary conditions and experimental protocol for the past1000 simulations covering the pre-industrial millennium (850 to 1849 CE) as part of the fourth phase of the Paleoclimate Model Intercomparison Project (PMIP4, Kageyama et al., 2016) and the sixth phase of the Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016). We emphasize, that the past1000 simulations must be complemented by historical simulations for 1850 to 2014 CE following the CMIP6 protocol and applying the CMIP6 external forcing for the industrial period (Eyring et al., 2016 and references therein).

20 Simulations of the CE have applied models of varying complexity. Crowley (2000) and Hegerl et al. (2006) used Energy Balance Models to study the surface temperature response to changes in external forcing, particularly solar, volcanic and greenhouse gas concentrations (GHG). Earth System Models (ESM) of Intermediate Complexity (e.g., Goosse et al., 2005) have been used to perform long integrations or multiple (ensemble) simulations requiring relatively small amounts of computer resources. Finally, coupled Atmosphere Ocean General Circulation Models (AOGCM) and comprehensive ESMs 25 have enabled the community to gain further insights into internally generated and externally-forced variability, investigating climate dynamics, modes of variability (e.g., González-Rouco et al., 2003, 2006; Raible et al., 2014; Ortega et al., 2015; Zanchettin et al., 2015; Landrum et al., 2013) and regional processes in greater detail (Goosse et al., 2006, 2012; PAGES2k-PMIP3 Group, 2015; Coats et al., 2015; Luterbacher et al., 2016). They have also allowed individual groups to study specific components of the climate system, such as the carbon cycle (Jungclaus et al., 2010; Lehner et al., 2015; Chikamoto et al., 30 2016), or aerosols and short-lived gases (e.g., Stoffel et al., 2015). Recent increases in computing power have made it feasible to carry out millennial-scale ensemble simulations with comprehensive ESMs (e.g., Jungclaus et al., 2010; Otto-Bliesner et al., 2016). Ensemble approaches are extremely beneficial as a means of separating and quantifying simulated internal variability and the responses to changes in external forcing, under the assumption that the simulation variance within the ensemble is a reasonable estimate of the unforced variability of the actual climate system (e.g., Deser et al., 2012; 35 Stevenson et al., 2016).

The *past1000* experiment was adopted as a standard experiment in the third phase of PMIP (PMIP3, Braconnot et al., 2012), which was partly embedded within the fifth phase of CMIP (CMIP5, Taylor et al., 2012). This was an important step as it encouraged modelling groups to use the same climate models for future scenarios and for palaeoclimate simulations, instead of stripped-down or low-resolution versions. Using the same state-of-the-art ESMs to simulate both past and future climates allows palaeoclimate data to be used to evaluate the same models that are, in turn, employed to generate future climate projections (Schmidt et al., 2014). The PMIP3 *past1000* experiments were based on a common protocol describing a variety

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of suitable forcing boundary conditions (Schmidt et al., 2011; 2012). Moreover, a common structure of the CMIP5 output facilitated multi-model analyses, comparisons with reconstructions and connections to future projections (e.g., Bothe et al., 2013; Smerdon et al., 2015; PAGES2k-PMIP3 Group, 2015; Cook et al., 2015). Several studies have also addressed variations and responses of the carbon cycle (e.g., Brovkin et al., 2010; Lehner et al., 2015; Keller et al., 2015; Chikamoto et al., 2016). Last-millennium related contributions to several chapters of Assessment Report 5 of the Intergovernmental Panel on Climate Change (IPCC-AR5) (Masson-Delmotte et al., 2013; Flato et al., 2013; Bindoff et al., 2013) highlighted the value of the *past1000* multi-model ensemble.

Further progress is expected for CMIP6 and PMIP4. Models with higher spatial resolution will be available for long-term paleo simulations, which has the potential to improve the representation of mechanisms controlling regional variability and to alleviate biases in the mean state (e.g. Milinski et al., 2016). Newly added model components, for example interactive chemistry and aerosol microphysics, will allow for more explicit representation of forcing-related processes in some models (e.g., LeGrande et al., 2016), and, as we outline below, improvements in forcing reconstructions regarding their accuracy and complexity will potentially lead to improved quality in comparative model/data studies. In addition, more stringent protocols for experimental set-ups (e.g., an identical forcing data set for the "tier-1" experiment) and output data are implemented in the CMIP6 process, providing an improved for basis multi-model studies. The CMIP6 protocols also ensure a better interaction between related MIPs. For example, the PMIP4 past1000 experiment is closely related to the more process-oriented suite of simulations in the Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP, Zanchettin et al., 2016).

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The PMIP working group on the climate evolution over the last 2000 years (WG Past2K) is closely cooperating with the PAGES (Past Global Changes) 2k Network promoting regional reconstructions of climate variables and modes of variability. Collaborative work has focused on reconstruction-model intercomparison (e.g. Bothe et al., 2013; Moberg et al., 2015; PAGES2k-PMIP3 Group, 2015) and assessment of modes of variability (e.g. Raible et al., 2014). Integrated assessment of reconstructions and simulations has led to progress in model evaluation and process understanding (e.g. Lehner et al., 2013; Sicre et al., 2013; Jungclaus et al., 2014; Man et al., 2014; Man and Zhou, 2014). The increasing number of available simulations and reconstructions has also created a need for development of new statistical modelling approaches dedicated to model-data comparison analysis (e.g. Sundberg et al., 2012; Barboza et al., 2014; Tingley et al., 2015; Bothe et al., 2015). The combination of real-world proxies with simulated "pseudo" proxies has improved the interpretation of the reconstructions (e.g. Smerdon, 2012) and helped to provide information for the selection of proxy sites and numbers (Wang et al., 2015; Zanchettin et al., 2015; Smerdon et al., 2016; Hind et al., 2012; Lehner et al., 2012; Ortega et al., 2015). Despite significant advances in our ability to simulate reconstructed past changes, challenges still remain; for example, regarding hydroclimatic changes in the last millennium (Anchukaitis et al., 2010; Ljungqvist et al., 2016). Documenting progress and the status of achievements and challenges in the multi-model context is a major goal of PMIP as the community embarks on a new round of Model Intercomparison Projects.

This paper is part of a suite of five manuscripts documenting the PMIP4 contributions to CMIP6. Kageyama et al. (2016) provide an overview on the tier-1 experiments dedicated to CMIP. More specific information on the paleoclimate experiments as well as the design of additional tier-2 and tier-3 experiments are given in the contributions for the mid-Holocene and the previous interglacial by Otto-Bliesner et al. (2016), for the last glacial maximum by Kageyama et al. (2017), and for the mid-Pliocene warm period by Haywood et al. (2016), and the present manuscript on the last millennium. Our past1000 manuscript is organized as follows. In section 2, we review the major forcing agents for climate evolution during the CE in the light of previous simulations of the past. Section 3 describes the experimental protocols for the tier-1 to tier-3 categorized experiments. Section 4 describes the derivations and the characteristics of the forcing boundary conditions.

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Section 5 discusses the relations between the PMIP experiments and the overarching research questions of CMIP6 and links to other MIPs. Section 6 provides a concluding discussion.

2 Drivers of climate variations during the CE

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The major forcing agents during the pre-industrial millennium are changes in orbital parameters, solar irradiance, stratospheric aerosols of volcanic origin, and greenhouse gas (GHG) concentrations. Additional anthropogenic impacts arise from aerosol emissions and changes in land-surface properties as a result of land use (e.g. Pongratz et al., 2009; Kaplan et al., 2011). External drivers affect the climate system in several ways, ranging from millennial-scale trends, such as those induced by changing orbital parameters, to the response of relatively short-lived disturbances of the radiative balance, as in the case of volcanic activity. Additionally, feedbacks internal to the climate system may amplify, delay, or prolong the effect of forcing (e.g., Shindell et al., 2001; Swingedouw et al., 2011; Zanchettin et al., 2012). The PMIP4 experiments will revisit the questions regarding the relative role of external drivers using updated forcing datasets and a new generation of climate model, in which the different forcing will be better represented. The increase of model resolution and the additional implementations in the number of earth system components for most ESMs will provide a more realistic simulation and assessment of the impact of external forcings for sub-continental climate changes.

Volcanic eruptions are among the most prominent drivers of natural climate variability. Reconstructions for the CE show clear relationships between well-documented eruptions and climate impacts, for example the April 1815 CE Mount Tambora eruption and the subsequent "year without a summer" (Stommel and Stommel, 1983; Raible et al., 2016 for a review). In addition to short-lived effects on the radiative balance, volcanic events can have long-lasting effects. Clusters of eruptions have been proposed, as the major contribution, for the transition from the MCA to the LIA (Miller et al., 2012; Lehner et al., 2013), and for the long-term global cooling trend during the pre-industrial CE (McGregor et al., 2015).

Whereas model simulations generally reproduce the summer cooling, as well as aspects of regional and delayed responses to volcanic eruptions (Zanchettin et al., 2012, 2013; Atwood et al., 2016), there are discrepancies between model results and the observed climate evolution, in particular regarding the amplitude of the response to volcanic eruptions (e.g. Brohan et al., 2012; Evans et al., 2013; Wilson et al., 2016; Anchukaitis et al. 2010). Possible reasons for this disagreement include shortcomings in the volcanic reconstructions used to drive the models, or in the realism of the implementation of the aerosol forcing in the model schemes, deficiencies in reproducing the dynamic responses in the atmosphere and ocean (e.g., Charlton-Perez et al., 2013; Ding et al., 2014) or sampling biases (Anchukaitis et al., 2012; Lehner et al., 2016). The recent review by Kremser et al. (2016) concluded that the uncertainty arising from calibration of the aerosol properties to the observational period propagates into the estimated magnitude of the inferred responses in the stratospheric aerosol reconstructions. Taking into account nonlinear aerosol microphysics processes for the calculation of the volcanic aerosol radiative forcing (RF) has improved the compatibility between reconstructed and simulated climate (Timmreck et al., 2009; Stoffel et al., 2015). However, differences in the complexity and technical implementation of aerosol microphysics can lead to considerable differences in the resulting RF, even when the same sulphur dioxide injections are prescribed (Timmreck, 2012: Zanchettin et al., 2016).

Solar irradiance changes can be a significant forcing factor on decadal to centennial time scales (Gray et al., 2010). The generally cooler conditions during the LIA have often been attributed to the co-occurring grand minima, such as the Maunder Minimum (1645-1715 CE; Eddy, 1976) that was characterized by an almost total absence of sunspots during the Maunder Minimum. However, attribution studies indicate that reduced solar forcing had a smaller impact on surface

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temperatures during the LIA compared to contemporary volcanic activity (Hegerl et al. 2011; Schurer et al., 2013, 2014; see also Bindoff et al., 2013).

Prior to PMIP3/CMIP5, simulations of the last millennium have used solar reconstructions with a relatively broad range of Total Solar Irradiance (TSI) variations (0.05 – 0.29%) as characterized by the change from the Late Maunder Minimum (ca. 1675 – 1715 CE, Luterbacher et al., 2001; LMM hereafter) to the late 20th century (1960 to 1990 CE_x(e.g., Ammann et al., 2007; Fernández-Donado, 2015). Note that a 0.25% change is equivalent to a variation of about 3.4 Wm⁻² in TSI. However, the higher TSI changes since the LMM, provided mostly by earlier calibrations based on the analysis of data from Sun-like stars (Baliunas et al., 1995), were found to be unjustifiable in the light of re-analysis of stellar data by Hall and Lockwood (2004) and Wright (2004) (see also the review by Solanki et al., 2013). Therefore, the revised solar forcing reconstructions presented in Schmidt et al. (2011) exhibit typical LMM-to-present changes of 0.04 to 0.1%. Based on independent alternative assumptions for the calibration of grand solar maxima, Shapiro et al. (2011) derived a solar forcing reconstruction that exhibited a much larger long-term modulation (~0.44%) than any other. This data set was included in the update of the PMIP3 past1000 protocol by Schmidt et al. (2012). Later assessment of the Shapiro et al. (2011) reconstruction (Judge et al.,

2012 and references therein) indicated, however, that its large amplitude is likely an overestimation (see below).

Because reconstructions of past solar forcing tend to cluster in simulations using either relatively high (i.e. mostly pre-PMIP3) or low (PMIP3) estimates of solar variations, several studies have investigated which of these provide a better fit to temperature reconstructions, but the results have so far been mixed. Whereas simulations with larger TSI variability, give a somewhat better representation of the size of the MCA – LIA transition for Northern Hemisphere temperatures (Fernández-Donado et al., 2013), statistical assessment (Hind and Moberg, 2013; Moberg et al., 2015; Pages2k-PMIP3 Group, 2015) and more detailed regional analyses (e.g., Luterbacher et al., 2016) were inconclusive. The significantly higher-amplitude reconstruction by Shapiro et al. (2011) was used in a climate model of intermediate complexity (Feulner, 2011), the HadCM3 climate model (Schurer et al., 2014), and the SOCOL model (Anet et al., 2014). Whereas the first two studies reported a climate response incompatible with reconstructions, Anet et al. (2014) argued that high-amplitude forcing variations were necessary in their model to reproduce the cooling during the Dalton Minimum.

One of the major anthropogenic influences on the climate system over the past 2000 years was land cover change as a result of conversion of natural vegetation, mainly to agricultural and pastoral uses. The climatic effects of anthropogenic land cover change (ALCC) are undisputed in the modern world, and it is increasingly recognized that land use in the late preindustrial Holocene may have also had substantial effects on climate. In parts of the world where ALCC led to quasi-permanent deforestation and where climate is tightly coupled to land surface conditions, we might expect regional climate to have been strongly influenced by biogeophysical feedbacks (e.g., Cook et al., 2012; Dermody et al., 2012; Pongratz et al., 2009; Strandberg et al., 2014). Additionally, permanent deforestation and loss of soil carbon as a result of cultivation (e.g., Kaplan et al., 2011; Pongratz et al., 2009) may have been substantial enough to affect global climate through the biogeochemical feedback of CO₂ emissions to the atmosphere (Ruddiman et al., 2016). These effects are, however, controversial (Kaplan, 2015; Nevle et al., 2011; Pongratz et al., 2012; Stocker et al., 2014).

3. The Experiments

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PMIP discriminates between the experiments that are endorsed by the World Climate Research Program (WCRP) CMIP6 committee (PMIP4 "tier-1": *Past1000*, *Mid Holocene & Last Interglacial, Last Glacial Maximum*, and *Mid Pliocene Warm Period*, see Kageyama et al., 2016) and additional simulations (PMIP4 "tier-2" and "tier-3") that are more tailored to specific interests of the palaeoclimate modelling community. This distinction is motivated by the PMIP3 experience that only a

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limited number of participating groups were able to afford computational resources for multiple multi-centennial simulations. In contrast to the PMIP3 protocol, PMIP4-CMIP6 recommends a single collection of external forcing data sets (the default forcing) in the "tjer_1" experiments while encouraging exploration of forcing uncertainty as part of dedicated "tjer_2" experiments. These "tier-2" experiments only differ in their characteristics and combination of the external drivers from the "tier-1" past1000 experiment. The additional "tier-3" experiments are designed to allow clusters of modelling groups to perform dedicated research by exploring either specific episodes or extending them beyond the 1st millennium AD back in time. An overview for the experiments is given in table 1

The PMIP4-CMIP6 past1000 simulations will build on the CMIP6 Diagnostic, Evaluation, and Characterization, of Klima (DECK) experiments (Eyring et al., 2016), in particular the "pre-industrial" control (piControl) simulation as a reference with non-varying forcing reflecting the boundary conditions at 1850 CE. The past1000 simulations are closely related to the CMIP6 historical (1850 to 2014 CE) simulations, for which they may provide more appropriate initial conditions than unforced piControl runs. It is expected that a number of modelling groups will be able to deliver multiple realizations of the standard past1000 experiment.

The model versions used to carry out PMIP4-CMIP6 simulations have to be the same as those documented by the respective CMIP6 *DECK* and *historical* simulations. It is mandatory to complement the transient *past1000* and *past2k* simulations with *historical* experiments following the respective CMIP6 protocol (Eyring et al., 2016).

3.1 Initial state

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The pre-industrial millennium is defined as covering the period 850 to 1849 CE. With the exception of the PMIP4 experiment "past2K" and the VolMIP-related experiment "past1000-volc-cluster" (see below), all past1000 simulations start in 850 CE. As in PMIP3, this date was chosen in order to start the simulations significantly earlier than the MCA, which occurred at the beginning of the last millennium (ca. 950 – 1250 CE). Another reason is that the mid-to-late 9th century CE is estimated to have been a relatively quiet period in terms of external forcing variations or occurrence of volcanic events (e.g., Sigl et al., 2015; Bradley et al., 2016). To provide initial conditions for the simulations, it is recommended that a spin-up simulation is performed departing from the CMIP6 piControl experiment with all forcing parameters set to ~850 CE values.

The length of this spin-up simulation will be model- and resource- dependent. However, it should be long enough to minimize at least surface climate trends (Gregory, 2010). The spin-up should include a background volcanic aerosol level, and appropriate anthropogenic modifications to land use/land cover characteristics (as for the piControl simulation; see Eyring et al., 2016).

3.2 PMIP4-CMIP6 Tier1: The standard PMIP4-CMIP6 past1000 simulation plus CMIP6 historical simulation

The standard PMIP4-CMIP6 past1000 experiment applies the default forcing data set (see below) and is complemented by an historical (1850 – 2014 CE) simulation that uses the end state of the past1000 simulation in 1850 CE for initialization and that follows the CMIP6 protocol (Eyring et al., 2016). This procedure provides a consistent data set for past and present climate variations. Comparing historical simulations initialized from a piControl run (the CMIP6 default) with those starting from 1849 CE conditions from past1000 serves to assess the impact of initial conditions on the evolution of the 19th and 20th century climate.

Modelling groups are encouraged to extend this set of experiments to multiple realisations, using the same forcing, but with perturbed initial conditions. While an ensemble size of ten has been shown to be desirable (Otto-Bliesner et al., 2016; Stevenson et al., 2016), we acknowledge that limitations in computational resources or high computational demand of high-resolution models may prevent groups from producing large ensembles.

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3.3 PMIP4 Tier-2: Forcing Uncertainty and Attribution

The "tier-2" category experiments are recommended to further explore uncertainties related to external drivers. Without taking uncertainties in forcing into account, model/observation discrepancies might be wrongly attributed to model failures and/or systematic problems in proxy reconstructions. The "tier-2" past1000 experiments should be set-up in a similar way as the "tier-1" past1000 experiment, i.e. the simulation should cover the period 850 to 1849 CE and the same initial conditions should be used. As for "tier-1", there should be a historical simulation complementing each "tier-2" past1000 simulation.

3.3.1 Alternative forcings:

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Uncertainties in the reconstruction of forcing agents are associated with the source data (mostly proxies), reconstruction methodology, calibration to records representing present conditions, or with the way that the forcing time series are deduced from more explicit modelling approaches. PMIP4 provides forcing data sets derived through different methodologies (e.g., for solar irradiance, see below), as well as different versions of the same forcing data set (e.g., by varying parameters in the construction scheme). It also promotes the assessment of independently derived reconstructions that will become available during the evolution of PMIP4. For example, modelling groups are encouraged to explore and document the impact on simulated climate resulting from variations in volcanic forcing associated with the uncertainty in the translation from sulphur injections to aerosol optical properties.

3.3.2 Individual forcing agents

The role of individual drivers can be assessed by performing single-forcing simulations (e.g., Pongratz et al., 2009; Schurer et al., 2014; Otto-Bliesner et al., 2016). However, low signal-to-noise ratios and the dependence of the response to varying background conditions (Zanchettin et al., 2013) require careful analyses and will be most beneficial if performed in ensemble mode (Schurer et al., 2014; Otto-Bliesner et al., 2016).

3.4 PMIP4 Tier-3: Additional experiments

The "tier-3" category experiments will enable clusters of modelling groups to perform dedicated research by exploring either specific episodes or advancing the scope of the *past1000* simulations.

3.4.1 Volcanic forcing and climate change in the early instrumental period: the volc_cluster_mill

25 Because many groups will not be able to perform ensemble simulations over the entire period, we suggest performing multiple realisations of the early 19th century. This period is characterized by relatively strong variations in solar activity, including the Dalton Minimum, and strong volcanic eruptions in 1809, 1815, and 1835 CE. It is the coldest period of the past 500 years, and it is well documented as part of the early instrumental period (e.g. Brohan et al., 2012). The experiment will be carried out in cooperation with the Model Intercomparison Project on the climatic response to Volcanic forcing (VolMIP, 2016). The experiment requires an ensemble (minimum three members) of 70-year long simulations starting from past1000 restart files in 1790 CE. In contrast to the VolMIP experiment "volc-cluster-mill", all external drivers remain active.

3.4.2 The past2K experiment

With the advent of longer reconstructions, in particular for volcanic eruptions (e.g., Sigl et al., 2015; Toohey and Sigl, 2016), it is now possible to start the simulations at the beginning of the 1st millennium CE. In fact, except for the land-use change forcing, all forcing reconstructions described above for the "tier-1" past1000 experiment are available for the entire CE and the groups need to make sure that the same forcing is used for past1000 and past2k during the period 850 to 1849 CE. Additional forcing reconstructions (e.g., land-use) will be completed during the course of PMIP4. The past2k simulations will provide a basis for the analyses of specific periods in the 1st millennium CE that have attracted attention

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based on historical evidence, for instance those related to the Roman Empire (Büntgen et al., 2011; Luterbacher et al., 2016) and to the onset and evolution of the "Late Antique Little Ice Age" (Büntgen et al., 2016; Toohey et al., 2016). Additionally, there is a growing archive of lower resolution syntheses of marine sediment-based reconstructions that span the full CE (Marcott et al 2013; McGregor et al 2015). The *past2K* experiment will allow the community to better investigate the full span of the Medieval period and its temporal evolution, as the start of the *past1000* experiment in the year 850 CE might neglect some important initial conditions constrained during preceding periods (see also Bradley et al., 2016). Prior to the start of the experiment, a spin-up procedure similar to the *past1000* experiment has to be undertaken for year 1 CE conditions.

3.4.3 Including an interactive carbon cycle: the past1000-esm experiment

PMIP4 will extend the scope of the *past1000* experiment and include simulations with models that include an interactive carbon cycle. Complementing the experiments *esmPicontrol* and *esmHistorical* performed by the Coupled Climate Carbon Cycle Modelling Intercomparison Project (C4MIP; Jones et al., 2016), carbon cycle feedbacks and interaction will be studied in the pre-industrial millennium.

3.5 Experiment identification

The experiments are defined by their short name (e.g., past1000) and an extension following the "ripf" classification, where "r" stands for "realization, "i" for initialization, "p" for perturbed physics, and "f" for forcing (Table 1). The letters r, i, p, and f are followed by integers K, L, M, and N, respectively. For example, different realisations within an ensemble would have different values for "K" following the "r". To classify a simulation with a model with modified physical parameterization, one would vary the integer "M" after the "p". The experiments using the default forcing are defined by "f1", alternative or single forcing would be identified by a different integer value "N". CMIP6 historical simulations starting from a past1000 run should vary the interger "L" after the "i". It is the responsibility of the modelling groups to document the choices and settings.

3.6 Output variables and data distribution

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The "tier-1" past1000 simulation is part of the CMIP6 experiment family and output data will be distributed through the official CMIP6 channels via the Earth System Grid Federation (ESGF,

https://earthsystemcog.org/projects/wip/CMIP6DataRequest).

Data from PMIP4-only "tier-2" and "tier-3" simulations must be processed following the same standards for data processing (e.g. CMOR standards) and should be distributed via ESGF. Modelling groups producing these simulations are responsible to secure suitable space on ESGF nodes.

Groups contributing *past1000* simulations to CMIP6-PMIP4 should ideally deliver the entire set defined in the data request. However, an important issue for long-term simulations such as *past1000* is storage demand for high-frequency output. As a minimum, we ask for a subset of two-dimensional daily variables that allow investigations on extreme events and particular dynamical features, including near surface air temperature (tas), daily maximum near surface air temperature (tasmax), daily minimum near surface air temperature (tasmin), daily maximum near-surface wind speed (sfcWindmax), precipitation (pr), 500 hPa geopotential (zg500), daily maximum hourly precipitation Rate (prhmax). If storage of high-frequency output for the entire millennium should be too demanding, we recommend to concentrate efforts to three multi-decadal periods (in descending priority): 1. The early 19th century (1790 to 1849 CE as focus period of VolMIP), and 2. the Maunder Minimum (1645 to 1715 CE) and 3.) the Medieval Climate Anomaly (1100 to 1170 CE) covering periods of high and low solar activity, respectively.

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Groups participating in PMIP and VolMIP should pay attention to the new diagnostics of volcanic instantaneous radiative forcing defined by VolMIP, whose calculation is recommended for some major volcanic events simulated in the past1000 experiment (for details, see Zanchettin et al., 2016). Groups that run the PMIP4-CMIP6 experiments with the carbon cycle enabled should pay attention to the output variables requested by OCMIP and C4MIP.

The list of variables requested by PMIP for the PMIP4-CMIP6 palaeoclimate experiments can be found here:

http://clipc-services.ceda.ac.uk/dreq/u/PMIP.html. This request is presently processed by the CMIP6 Working Group for Coupled Modeling Infrastructure Panel (WIP) into tables, which define the variables included in the data request to the modelling groups for data to be contributed to the archive. The most up-to-date list including all variables requested for CMIP6 can be found at the WIP site:

proj.badc.rl.ac.uk/svn/exarch/CMIP6dreq/tags/latest/dreqPy/docs/CMIP6 MIP tables.xlsx

The last two columns in each row list MIPs associated with each variable. The first column in this pair lists the MIPs, which are requesting the variable in one or more experiments. The second column lists the MIPs proposing experiments in which this variable is requested.

As supplementary to this manuscript we provide version 1.00.05 (April 2017) of the table. We note, however, that this document is still in development and inconsistencies may still exist.

4. Description of forcing boundary conditions

Some of the forcing fields are extensions in time of the "official" CMIP6 data sets for the historical simulations. These are documented in individual contributions to the GMD special issue on CMIP6 and available through the contributors' web sites (see below and Appendices). PMIP4 specific time series and reconstructions are available via the PMIP4 website and specifications on data format and technical implementation are given in the Appendices.

4.1 Orbital forcing

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Over the pre-industrial millennium, the orbital forcing is dominated by changes in the perihelion, whereas variations in eccentricity and obliquity are rather small (Berger, 1978; see also Figure 1 in Schmidt et al., 2011). The orbital forcing remains unchanged from what was used in PMIP3 (Schmidt et al., 2011). Note, however, that the reference insolation year is 25 1860 CE in CMIP6 (Eyring et al., 2016), compared to 1950 in PMIP3. Unless the models calculate the orbital parameters internally, groups will use a list of annually varying orbital parameters (eccentricity, obliquity, and perihelion longitude), changing every January 1st (see Appendix A1).

4.2 Greenhouse gas forcing

GHG time-series for concentration-driven simulations are provided by CMIP6 for the period 1 CE to 2014 CE (Figure 1). 30 The data compilations for surface concentrations of CO2, CH4, N2O are based on updated instrumental data and ice-core records (Meinshausen et al., 2016). Differences between the new CMIP6 data set and previous estimates for CMIP5 are rather small (e.g., for global mean surface mixing rations see figure 9 in Meinshausen et al., 2016). The CMIP6 reconstruction offers better representation of latitudinal and seasonal variations and we recommend using this data set for consistency throughout the CE, GHGs should be implemented as for the CMIP6 historical simulations (see http://www.climatecollege.unimelb.edu.au/cmip6 and Appendix A2).

4.3. Volcanic forcing

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Based on newly compiled, synchronized and re-dated high-resolution, multi-parameter records from Greenland and Antarctica (Sigl et al., 2014, 2015), the eVolv2k time series of volcanic stratospheric sulphur injections has been developed by Toohey and Sigl (2016). Discrepancies in the timing of volcanic events recorded in ice cores and short-term cooling events in proxy-based temperature records have been largely resolved by improvements in absolute dating of the ice core record (Sigl et al., 2015). This was based on the detection of an abrupt enrichment event in the 14C content of tree rings (Miyake et al., 2012) and the tuning of the ice core chronology based on matching the corresponding ¹⁰Be peak (Sigl et al., 2015). The Toohey and Sigl (2016) data set is the recommended forcing for the PMIP4-CMIP6 past1000 experiments (see Appendix A3). Modelling groups using interactive aerosol modules and sulphur dioxide injections in their historical simulations follow the same method for the past1000 experiment and can use the sulphur dioxide injection estimates directly. For other models, aerosol radiative properties as a function of latitude, height, and wavelength can be derived by means of the Easy Volcanic Aerosol (EVA) module (Toohey et al., 2016). EVA uses the sulphur dioxide injection time series as input and applies a parameterized three-box model of stratospheric transport to reconstruct the space-time structure of sulphate aerosol evolution. As outlined in more detail in Toohey et al. (2016), simple scaling relationships serve to construct mid-visible aerosol optical depth (AOD) and aerosol effective radius (reff) from stratospheric sulphate aerosol mass. Finally, wavelength dependent aerosol extinction, single scattering albedo and scattering asymmetry factors are derived for user-defined latitude and wavelength grids. Volcanic forcing files produced with EVA have the same fields and format as the recommended volcanic forcing files for the CMIP6 historical experiment (see https://www.wcrpclimate.org/wgcm-cmip/wgcm-cmip() and allow for consistent implementation in different models.

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Global mean AOD time-series produced by EVA using the eVolv2k sulphur dioxide injection time series show relatively good agreement with the previous PMIP3 reconstructions over the past 1000 years, although some important differences exist. Figure 2 shows the 850-1850 CE time series of global mean mid-visible (550 nm) AOD produced by EVA using the eVolv2k sulphur injection time series (hereafter EVA2k) compared to the forcing reconstructions by Gao et al., (2008; hereafter denoted as GRA08) and Crowley and Unterman (2013; hereafter CU13). Note that the sulphate aerosol mass provided by the GRA08 reconstruction has been converted here to AOD by assuming a constant scaling factor as in Schmidt et al. (2011), although this may not reflect the actual radiative impact attained with different methods of implementation used in different climate models. The largest discrepancy between the GRA08 and CU13 reconstructions was the magnitude of forcing associated with the 1257 CE Samalas eruption, with GRA08 prescribing a forcing about twice as large as that of CU13. The magnitude of the Samalas forcing in the EVA2k reconstruction is more similar to that of CU13. In the late 18th century, the EVA2k forcing is stronger than that of CU13, and more consistent with the GRA08 reconstruction, because the CU13 reconstruction included a correction to the ice core sulphate signal of the 1783 CE Laki eruption. The forcing for this eruption therefore could be overestimated in EVA2k and GRA08 if the ice core record represents mostly sulphate of tropospheric rather than stratospheric origin. The EVA2k and GRA08 reconstructions are also stronger than CU13 in the late 12th century, due to the identification of a series of large eruptions during this period. Prior to around 1150 CE, the EVA2k reconstruction shows little correlation with the other reconstructions, due to a change in the ice core age-model (Sigl et al., 2015) and identification of additional volcanic events (Sigl et al., 2014). This period is characterized by less frequent and less intense volcanic activity compared to earlier and subsequent periods, although the difference between this "quiet" period and periods of strong activity is somewhat smaller in EVA2k compared to the other forcing reconstructions. An important difference compared to previous forcing data sets is that the new EVA2k reconstruction includes a background stratospheric aerosol level, which produces a non-zero minimum AOD in periods of no volcanic eruptions. Like the CMIP6 historical volcanic forcing, the background level is defined to be equal in global mean AOD to the observed AOD minimum in the years 1999-2000 CE (Thomason et al., 2016).

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Gelöscht: Thomason et al., 2016

The reconstruction of volcanic forcing from ice core records carries substantial uncertainties (Hegerl et al., 2006; Gao et al., 2008; Crowley and Unterman, 2013; Stoffel et al., 2015). At present, different global aerosol models produce a large range of forcing estimates for specified sulphur injections, which motivates on-going research (Zanchettin et al., 2016). The EVA module allows for the production of volcanic forcing time series with varying characteristics, such as the magnitude of the eruptions. By modifying an internal parameter, which converts stratospheric sulphate mass to aerosol optical depth, the magnitude can easily be adjusted. Variations in this parameter can be used to reflect the overall systematic uncertainty in the estimation of the volcanic forcing. Alternative volcanic forcing time-series deduced from global aerosol models will provide further volcanic forcing options for dedicated experiments.

4.4 Solar variations

The reconstruction of solar activity before the telescope-era (i.e. before 1610 CE) relies on records of cosmogenic isotopes such as ¹⁴C or ¹⁰Be. Both radionuclides are produced in the terrestrial atmosphere by cosmic rays and their production is modulated by solar activity and the geomagnetic field. After production, they take different pathways and are influenced by different environmental conditions before their deposition in terrestrial archives (e.g., McHargue and Damon, 1991; Beer et al., 2012). Despite some discrepancy between ¹⁰Be and ¹⁴C-based reconstructions on decadal and sub-decadal time scales, they agree well on the centennial-millennial time scales (Bard et al., 2000; Vonmoos et al., 2006; Usoskin et al., 2009; Steinhilber et al., 2012). PMIP4 provides new reconstructions of TSI and Spectral Solar Irradiance (SSI) that are based on recent reconstructions of cosmogenic isotope data ¹⁴C (Roth and Joos, 2013; Usoskin et al., 2016b) and ¹⁰Be (Baroni et al., 2015). Solar surface magnetic flux and the equivalent sunspot numbers are reconstructed from the isotope data through a chain of physics-based models (see Appendix A4 and Vieira et al., 2011; Usoskin et al., 2014, 2016b). Because only decadal values of the sunspot number and the open magnetic flux can be reconstructed in this way, the 11-year solar cycle has to be reconstructed separately. This is done employing statistical relationships relating various properties of the solar cycle derived from direct sunspot observations (Wu et al., in prep.).

The reconstructed yearly sunspot number is then fed into irradiance models, to produce TSI and SSI records. We employ two different models, namely the updated SATIRE-M model (Vieira et al. 2011; Wu et al., in prep.) and an update of the Shapiro et al. (2011) model (PMOD hereafter, reflecting its origin from the Physikalisch-Meteorologisches Observatorium Davos). For the SATIRE-based reconstructions, the amplitude of the variations on time scales of centuries is comparable in magnitude with the PMIP3 reconstruction by Vieira et al. (2011). In response to the findings of Judge et al. (2012), the PMOD model, is revised such that the long-term change in the quiet Sun is interpolated between the models "B" and "C" of Fontenla et al. (1999), instead of the "A" and "C" models. This reduces the recovered secular change in TSI between the Maunder minimum and the present by almost a factor of two (Egorova et al., 2017). Nevertheless, the centennial variations are still much larger than in the SATIRE-based data sets (Figure 3). As pointed out by Schmidt et al. (2012), the uncertainty in the PMOD reconstruction is relatively high and this forcing should be considered as an upper limit of the possible secular variability. For the PMOD reconstruction, only a ¹⁴C-based version is provided.

Both irradiance models employ semi-empirical model atmospheres to describe the brightness spectra of the various solar surface components (sunspots, faculae, network) responsible for solar irradiance variability on time scales of days to millennia. This allows the consistent reconstruction of both TSI and SSI without relying on SSI measurements. The reconstructions agree with measurements in periods, where the latter are considered reliable (cf. Ermolli et al. 2013; Yeo et al. 2015). All provided reconstructions are normalised to give the revised absolute TSI level of 1361 W/m² during the most recent activity minimum in 2008, as measured by SORCE/TIM (Kopp, 2014). Differences in the secular variations in TSI (Figure 3) are mainly due to the assumptions made in the irradiance models. The new PMOD-based reconstruction features a LMM-to-present amplitude of 3.4 Wm² (about 0.25%) whereas the SATIRE-based forcing changes by less than 1 Wm²

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Gelöscht: The long-term variations are still much larger than in the SATIRE-based data sets (Figure 3).

(0.06%) during this period. Differences between the ¹⁴C and ¹⁰Be based reconstructions manifest themselves mainly in the phasing and differences in secular trends, for example in the duration and timing of the LMM. The SATIRE-based solar activity reconstruction is also in good overall agreement with a solar activity reconstruction that is exclusively based on cosmic ray measurement/proxy data via a combination of ¹⁴C and neutron monitor data (Muscheler et al., 2016).

To achieve a smooth transition from the pre-industrial to the modern period, the reconstructions are combined (see Appendix A4.2 for details) with the solar forcing records recommended for the CMIP6 historical experiment (Matthes et al., 2016). This transition is essentially straightforward for TSI. However, some artefacts cannot be avoided for SSI. The CMIP6 historical solar forcing is derived from an average of two conceptually different models, NRLSSI-2 (Coddington et al. 2015) and SATIRE, where the latter is a splice of SATIRE-T, based on sunspot observations before 1874 CE (Krivova et al., 2010) and SATIRE-S, based on solar full-disc magnetograms afterwards (Yeo et al., 2014). Differences between the NRLSSI and SATIRE models are discussed by Yeo et al. (2015). Averaging the two intrinsically different SSI series yields a record in which the shape of the solar spectrum does not conform to either model or to observations, e.g., the ATLAS3 (Thuillier et al., 2003) or WHI (Woods et al., 2009) quiet Sun reference spectra.

The SSI records provided for the PMIP4 experiments are a combination of the rescaled reconstructions before 1850 CE, shown for the ¹⁴C-based SATIRE reconstruction data set as the cyan solid line in Figure 4, and the CMIP6 time series for the *historical* simulations (Matthes et al., 2016), shown by the red line. Details of the rescaling and adjustment can be found in Appendix 4.2. Compared to the original reconstruction, the CMIP6 record underestimates the variability in the UV after 1850 CE by about 10-15%, and by more than 35% if compared to PMOD (not shown), while it overestimates the variability in the visible and IR by about 10-15% and by more than 40%, respectively. While adjusting the pre-industrial reconstruction to the CMIP6 historical records yields a smooth transition in 1850 CE, it needs to be kept in mind that the amplitude of the variability in the spectral bands is adopted from the original models (i.e. from isotope-based reconstructions before 1850 CE and the CMIP6 record afterwards) and depends at least partly on the construction of the dataset. In addition to the standard (adjusted to CMIP6) ¹⁴C data sets, we therefore also provide the original records for the entire period for testing the climatic effects of the conflation.

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In summary, PMIP4 provides three reconstructions of TSI and SSI from the most-up-to-date records of cosmogenic radioisotopes ¹⁴C and ¹⁰Be using a chain of models, all of which have been improved and updated since PMIP3. In contrast to CMIP3, for all provided reconstructions, total and spectral irradiance are computed in a self-consistent manner. In particular, the same model has been used to reconstruct irradiance from each radioisotope to allow an estimate of the uncertainty due to the effect of local conditions on their formation and deposition. Two irradiance reconstructions were obtained from ¹⁴C data using different irradiance models to allow for sensitivity experiments testing the response to the amplitude of the solar forcing. The default forcing for CMIP6-PMIP4 *past1000* is the ¹⁴C SATIRE-based reconstruction. The PMOD-based reconstruction provides an upper limit on the magnitude of the long-term changes in irradiance. Since the historical CMIP6 recommendation is an arithmetic average of two conceptually different models with significant differences in the SSI variability, special care has been taken to combine the PMIP4 data sets with the historical forcing. The approach we have chosen here allows for a smooth transition but might nevertheless produce some artefacts.

Apart from the direct effect of changes in TSI and SSI, solar variability also affects stratospheric and mesospheric ozone abundances (e.g. Haigh, 1994) and can contribute significantly to the total stratospheric heating response. In climate models including interactive chemistry the photolysis scheme should adequately simulate the ozone response to variations in the UV part of SSI. CMIP6 models that do not include interactive chemistry should prescribe ozone variations consistent with the solar forcing and apply a scaling approach similar to the one recommended for the historical period (Matthes et al., 2016; Maycock et al., 2016b). It should be noted that solar-ozone regression coefficients as provided by Maycock (2016b) have been calculated with respect to the 10.7cm radio flux (F10.7), which is not available for the PMIP period. Hence we have re-

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performed the regression of the same ozone fields but with respect to solar UV irradiance averaged over the spectral range from 200 to 320 nm (see Appendix 4.3 for details). We recommend calculating time varying ozone input for PMIP4 by scaling these coefficients with the anomaly of the respective UV flux during the simulation period and add it to the CMIP6 preindustrial ozone climatology. The UV flux anomaly should accordingly be calculated with respect to the CMIP6 preindustrial irradiance data (Matthes et al., 2016).

4.5 Land use changes and anthropogenic land cover changes

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For the past1000 simulation, land-use changes need to be implemented using the same input datasets and methodology as the historical simulations; the CMIP6 land-use forcing datasets now cover the entire period 850-2015 CE (Hurtt et al., in prep.), which provides a seamless transition between the CMIP6 past1000 and historical simulations. The new land-use forcing, Land-Use Harmonization 2 (LUH2), is provided as a contribution of the Land-Use Model Intercomparison Project (LUMIP) to CMIP6 (https://cmip.ucar.edu/lumip). The LUH2 strategy estimates the fractional land-use patterns, underlying land-use transitions, and key agricultural management information, annually for the period 850-2100 CE at 0.25° x 0.25° spatial resolution. The estimate minimizes the differences at the transition between the historical reconstruction and the conditions derived from Integrated Assessment Models (IAM). It is based on new estimates of gridded cropland, grazing lands, urban land, and irrigated land, from the Historical Land Use Data Set for the Holocene (HYDE3.2, Klein Goldewijk, 2016). Within 15 HYDE3.2, grazing lands are now sub-divided into managed pasture and rangeland categories, and irrigated land also includes a sub-category of land flooded for paddy rice. Within LUH2, cropland area is sub-divided into five crop functional types based on data from Monfreda et al. (2008) and from the Food and Agricultural Organisation of the United Nations (FAO). The temporal evolution of the various types is displayed in Figure 5. LUH2 includes a new representation of shifting cultivation rates and patterns and also includes new layers of management information such as irrigated area and industrial fertilizer usage.

As wood was the primary fuel and an important construction material for nearly all societies in the preindustrial world, LUH2 includes new scenario reconstructions of wood consumption for the period 850 to 2014 CE. To build these scenarios, an estimate of a baseline wood demand following McGrath et al. (2015) was compiled. To account for differences between continents and technology-induced changes in consumption patterns over time, the wood demand was scaled by historical, country-level estimates of Gross Domestic Product (GDP) (Maddison, 2003; Bolt and van Zanden, 2014) (see appendix A5.1 for details).

As in PMIP3/CMIP5, the default land use dataset is at the lower end of the spread in estimates of early agricultural area indicated by other reconstructions (Pongratz et al., 2008; Kaplan et al., 2011). In turn, the lower estimate of early agricultural area at the beginning of the last millennium implies larger land-use-induced land cover changes over time to match the land cover distribution of the industrial era (see Schmidt et al., 2012). To allow an assessment of the substantial uncertainties associated with reconstructing historical land use, while at the same time remaining consistent with the format of the default dataset, maximum and minimum alternative reconstructions of the LUH2 dataset will also be provided during the course of PMIP4. In particular, both upper and lower-bound scenarios will be created in order to provide a range of wood consumption scenarios. The upper scenario is identical to the baseline scenario but without the national scale factors based on Smil (2010). The lower scenario uses the 1920 CE per capita rates from Zon and Sparhawk (1923) for all years prior to 1920 CE.

Note that because most of the PMIP4 simulations are driven by prescribed GHG concentrations, the effect of land use change on atmospheric GHG composition is captured by the GHG forcing. The land use forcing thus does not affect the atmospheric CO2 concentration, although the terrestrial carbon cycle will be substantially affected. Combined land use and fossil-fuel-related carbon fluxes can be diagnosed as implied emissions (e.g., Roeckner et al., 2010). Nevertheless, the key climate effects from the land use forcing in the concentration-driven setup stems from the biogeophysical effects, i.e.

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changes in energy and water balance due to altered land surface characteristics, which alter climate in particular at the regional level (e.g., Brovkin et al., 2013).

5. Role of past1000 simulations in CMIP and links to WCRP "Grand Challenges"

Simulations of the last millennium directly address the first CMIP6 key scientific question "How does the Earth System respond to forcing?". Investigating the response to (mainly) natural forcing under climatic background conditions that are not too different from today is crucial for an improved understanding of climate variability, circulation, and regional connectivity. In providing in-depth model evaluation with respect to observations and palaeo-climatic reconstructions, and specifically by comparing details of the simulated response to forcing to that of observations, *past1000* simulations serve to "understand origins and consequences of systematic model biases". Furthermore, they allow the assessment of observed and simulated climate variability on decadal to centennial time scales, and provide information on predictability under forced and unforced conditions. These are important elements for making near-term predictions and for providing robust attributions of past change and thus address the third CMIP6 scientific question "How can we assess future climate changes given climate variability, predictability and uncertainties in scenarios?"

The *past1000* simulations focus on the assessment of forced vs. internal variability and provide context for present and future changes. Research stimulated by PMIP will therefore link to the "Grand Challenges" of the WCRP (Brasseur and Carlson, 2015). In particular, the *past1000* simulation will contribute to the science challenges "Clouds, Circulation, and Climate Sensitivity", "Understanding and Predicting Weather and Climate Extremes", and "Carbon feedbacks in the climate system". The PMIP simulations will also provide a palaeo perspective for more impact_related themes such as "Changes in Water Availability" and "Regional Sea-level Change & Coastal Impacts".

20 5.1 Interaction with other CMIP6 MIPs and PAGES

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Cooperation between PMIP and other MIPs will create synergies for climate model evaluation and improved process understanding. The *past1000* simulations provide long-term perspective on climate variability and allow for the assessment of the response to forcing for a time-period that is well constrained by reconstructions and early observations. This is particularly relevant for the Detection and Attribution MIP (Gillett et al., 2016). Changes in land-use are an important forcing factor and PMIP will benefit from research and forcing reconstructions produced in the framework of the Land-Use Model Intercomparison Project (Lawrence et al., 2016; Hurtt et al, in prep.). Together with VolMIP (Zanchettin et al., 2016), PMIP assesses different aspects of the climatic response to volcanic forcing. Whereas VolMIP focuses on idealized volcanic perturbation experiments with well-constrained forcing across participating models and well-defined initial conditions, *past1000* simulations describe the climate response to volcanic forcing in long transient simulations, where related uncertainties are partly due to chosen input data for volcanic forcing. In cooperation with VolMIP, PMIP targets the early instrumental period at the beginning of the 19th century.

PMIP will provide input to and benefit from diagnostic projects performed within the framework of the Ocean Model Intercomparison Project (OMIP, Griffies et al., 2016) and its biogeochemical component (OCMIP, Orr et al., 2016), the Sealce MIP (SIMIP, Notz et al., 2016), the Flux-anomaly-forced MIP (FAFMIP, Gregory et al., 2016), and the Coupled Climate

35 - Carbon Cycle MIP (C4MIP, Jones et al., 2016).

The PMIP Past2K working group will continue to interact with the PAGES 2k Initiative (http://www.pages-igbp.org/ini/wg/2k-network/intro) and further explore continental and regional scale features of climate change during the CE. Following the research agenda of the second phase of PAGES 2K, the focus will shift from continental-scale temperature reconstruction to understanding mechanisms of climate variability, teleconnections, spatial-temporal ocean and

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atmosphere dynamics and the hydrological cycle. We also envision a closer link to the PAGES Ocean2k working group investigating ocean circulation (gyre, overturning circulation, heat content changes, heat transports).

Hydroclimate is an increasing focus of the PAGES 2k proxy communities (e.g., Cook et al., 2015; Ljungqvist et al., 2016). The PMIP4-CMIP6 multi-model ensemble of *past1000* simulations allows the community to explore how climate models simulate hydroclimate change and variability, and whether they do so in ways that are consistent with the palaeoclimatic records. Such comparative analyses emphasize the methods appropriate for data-model comparisons that target hydroclimate in order to understand climate change at regional scales and the mechanisms of climate variability at decadal to centennial timescales (e.g. Coats et al., 2015b).

PMIP has provided to CMIP6 a comprehensive list of output variables that includes all necessary variables for analyses of atmospheric, oceanic and land- surface processes (see section 3.6). CMIP6 will make sure that all groups store the output variables in a consistent way (see https://earthsystemcog.org/projects/wip/CMIP6DataRequest).

By analysis of the *past1000* simulations and proxy-based reconstructions, model-data comparison exercises can help to identify mechanisms of climate variability that are not realistically simulated by present AOGCMs (e.g., the Atlantic Multidecadal Variability; Kavvada et al., 2013). Detection and attribution studies using state-of-the-art climate models will focus on attributing regional variations across the last one or two millennia, and determining the roles of GHG fluctuations, solar variability, volcanic forcing as well as land use changes in explaining anomalies of the past. Such investigations would also benefit from the "tier-2" single-forcing simulations outlined in section 3.2.2. On the longer time horizon, new models and updated forcing, in conjunction with new reconstructions of climate variables and the ability to simulate proxies directly, will reduce uncertainty and determine model-data consistency.

20 6. Conclusions

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The PMIP4-CMIP6 past1000 simulations provide a framework for integrated studies of climate evolution during the pre-industrial period. Together with the additional historical simulations that are initialized from the past1000s in 1850 CE, they allow the community to study the transition from conditions influenced mainly by natural forcing to those determined largely by anthropogenic drivers. Improvements in PMIP4/CMIP6 relative to PMIP3/CMIP5 are expected due to new and more comprehensive reconstructions of external forcing, improved models, and improved experimental protocols that ensure seamless simulations from the pre-industrial past to the future. New, high-resolution simulations may improve the assessment of smaller-scale regional details and processes, e.g. storm-tracks or precipitation, and modes of variability. Multiple realisations will be available for a larger subset of models, enabling improved assessments of the relative contributions of internal climate variability and externally forced changes towards the evolution of the climate system over the last millennium.

The wealth of proxy-based reconstructions together with the multi-model, multi-realisation data base provided by PMIP4 simulations, will refine investigations of the response to external forcing, allow studies of regional versus global changes, and improve process understanding. Dedicated sensitivity studies will, in addition to the default *past1000* simulation, allow individual groups or clusters of researchers to investigate uncertainty in reconstructions and the representation of the forcing agents in the models. In particular, a broader evaluation of the PMIP4 simulations of the last millennium is expected due to the increasing attention on processes and variables other than temperature, such as the hydrological cycle and climate extremes. PMIP4 collaborates with other MIPs, particularly with those working on climate system mechanisms, such as VolMIP, and provides input to other MIPs that will evaluate long-term integrations (e.g., DAMIP). PMIP as an organizational body will coordinate research activities within its working groups and continue the fruitful liaison with the PAGES 2k community.

7. Data availability

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All forcing data sets and the EVA tool for producing aerosol optical properties can be accessed via the PMIP4 past1000 web page: https://pmip4.lsce.ipsl.fr/doku.php/exp_design:lm. The forcing data sets provided exclusively for the past1000 simulations (orbital, solar, volcanic), can be downloaded directly from the PMIP4 repository. They are presently password protected but access is provided upon request without restrictions. The CMIP6 historical forcing data sets that provide extensions into the Common Era (GHG, land-use) and that are documented in individual contributions to the CMIP6 GMD are accessible via links to the originators' web pages or to the respective entries in the Earth System Grid Federation. The results of the experiments described here will be distributed via the Earth System Grid Federation (ESGF, https://earthsystemcog.org/projects/wip/CMIP6DataRequest), as described together with the requested output variables in section 3.6.

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Appendix A

In this section we provide additional information on the derivation of the boundary conditions and recommendations for implementation in the individual models.

A 1: Orbital parameters:

15 Unless the orbital parameters are calculated based on the internal calendar, models should use the pre-calculated table that has been provided by (Schmidt et al., 2011) for the PMIP3 past1000 simulations. The orbital parameters eccentricity, obliquity, and longitude of perihelion are calculated following Berger (1978).

A2: Greenhouse gas forcing

GHG (CO₂, CH₄, N₂O) concentrations are provided by Meinshausen et al. (2016) for the CMIP6 *historical* experiments.

This data set has been extended to cover the entire CE (1 to 2014 CE). Data sets, and documentation are also available under: http://www.climatecollege.unimelb.edu.au/cmip6.

A3: Volcanic forcing

The eVolv2k ice core-inferred volcanic stratospheric sulfur injection from 500 BCE to 1900 CE (Toohey and Sigl, 2016) can
be downloaded at http://cera-www.dkrz.de/WDCC/ui/EntryList.jsp?acronym=eVolv2k_v1.

The volcanic forcing package provided in the data supplement to this manuscript contains the eVolv2k data set and the EVA (Toohey et al., 2016) software available here: https://pmip4.lsce.ipsl.fr/doku.php/exp design:lm. EVA contains a Fortran module and input data sets including the sulphate injection time-series, a Mie lookup table, and files specifying EVA parameter settings.

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A4: Solar forcing

A4.1: Derivation of magnetic flux and sunspot numbers from isotope data

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The ¹⁴C-based scenarios are based on a recent reconstruction of the ¹⁴C production rate by Roth and Joos (2013) from the INTCAL09 record (Reimer et al., 2009). First, it was converted to the heliospheric modulation potential, which parameterizes the energy spectrum of galactic cosmic rays (Usoskin et al., 2005). This was done with up-to-date models of radiocarbon production (Kovaltsov et al., 2012; Poluianov et al., 2016) and the geomagnetic field (Usoskin et al., 2016b). The open solar magnetic flux and the equivalent sunspot number were subsequently inferred from the modulation potential following the method of Krivova et al. (2007). Further details are described by Usoskin et al. (2014).

For ¹⁰Be, the recent record, from the Antarctic Dome C site, by Baroni et al. (2015) has been used. This record features a correction for volcanic influence considering the ¹⁰Be production model by Kovaltsov and Usoskin (2010) and the parameterization of the beryllium atmospheric transport by Heikkilä et al. (2009). The modulation potential was converted to sunspot numbers as for ¹⁴C. The geomagnetic field was considered as IGRF (International Geomagnetic Reference Field; Thébault, et al., 2015) from 1900 CE and GEOMAG.9k (Usoskin et al., 2016b) before that. Because the snow accumulation rate is unknown, a constant accumulation rate was assumed when converting the concentrations to depositional flux. This introduces a free scaling parameter, which is selected by equalizing the mean modulation potential between 880 and 1750 CE to that from the ¹⁴C-based reconstruction of Usoskin et al. (2016b).

We use radionuclide data for the entire period before 1850 CE. This is done for two reasons. Firstly, this assures a single transition in the irradiance model configuration in 1850 CE, after when the CMIP6 historical record is to be used. Secondly, we avoid relying on sunspot observations, which are particularly uncertain before 1800 CE and presently the subject of intense debate (e.g., Clette et al. 2014, Usoskin et al. 2016a, Lockwood et al. 2016). The agreement with the exclusively cosmic ray-based reconstruction (Muscheler et al., 2016; Usoskin et al., 2014, 2016b) indicates that this approach does not introduce major discontinuities in the solar forcing record.

A4.2: Transition from the pre-industrial SSI record to the recommended CMIP6 historical forcing

The reconstructions are combined with the solar forcing recommended for the CMIP6 historical experiments (Matthes et al., 2016). To achieve a smooth transition, both TSI and SSI are matched by rescaling them to the *historical* forcing near 1850 CE. The solar spectrum in 1855 CE in the CMIP6 solar forcing record (i.e. the first activity minimum covered by the CMIP6 record) is considered as a point of reference. The quiet Sun spectrum from the pre-1850 CE is scaled at each wavelength to fit this "reference spectrum". The procedure is illustrated in Figure 4 for the ¹⁴C-based SATIRE reconstruction. The blue line shows the original reconstruction of the TSI and SSI in 3 broad spectral intervals (in the UV between 200 and 400 nm, in the visible at 400-700 nm and in the near-IR at 700-1200 nm wavelength). The cyan line is the same after rescaling to the CMIP6 *historical* quiet Sun spectrum (i.e. the recommended PMIP4 default). A consequence of the rescaling is that overall more radiation (about 0.3% of the total energy) comes at wavelengths below 700 nm compared to the original reconstruction, while the radiative flux above 700 nm is reduced by this amount.

A4.3: Construction of atmospheric solar-related ozone variations for PMIP4

In order to simulate atmospheric (and in particular stratospheric) signals of solar irradiance variability, not only the irradiance variability itself but also ozone variations caused by it need to be accounted for. In PMIP4 simulations, models do not include interactive ozone and hence respective solar-induced variations in ozone need to be prescribed. As described in Section 4.4, we recommend to use average ozone data for preindustrial conditions, but to add an anomaly caused by the dependence of ozone on solar irradiance for any given time t:

 $O_3(t) = O_3(t_{picontrol}) * (1 + 0.01 * A * \Delta J_{UV}(t)).$

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O3(tpicontrol) is the ozone mole fraction climatology available for the CMIP6 picontrol simulation (the file "vmro3 input4MIPs ozone CMIP UReading-CCMI-1-0 gr 185001-185012-clim.nc" can be found on input4MIPs

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(https://esgf-node.llnl.gov/search/input4mips/). A contains the linear regression coefficients of the ozone mole fraction with respect to changes in solar UV irradiance given in % ozone change per unit (i.e. 1 W/m²) irradiance change in the spectral range from 200 to 320 nm (file available at https://pmip4.lsce.ipsl.fr/doku.php/data:solar). $\Delta I_{UV}(t)$ is the time dependent anomaly of UV irradiance (see section 4.4 of the main text) with respect to average preindustrial values. We recommend calculating the preindustrial average irradiance over the period 1.1.1850 to 28.1.1873 as recommended by Matthes et al. (2016).

The coefficients A have been calculated in the stratosphere using a multiple linear regression model (that includes a basis function for solar UV irradiance) fitted to the time series for CMIP6 historical ozone (taken from input4MIPs) over the period 1960-2011 (see Maycock et al. (2016a) for more details of method). While there are some uncertainties in the spatial structure and amplitude of the solar-ozone response diagnosed by multiple regression analysis, particularly at high latitudes in the stratosphere, we recommend this approach so as to be as consistent as possible with the representation of solar-induced ozone variations prescribed in models without interactive chemistry in the CMIP6 historical simulations.

Note, while $O_3(t_{picontrol})$ is given in three dimensions (latitude, longitude, pressure), A is two-dimensional, i.e. it is provided as zonal average, and needs to be applied to all longitudes of the ozone climatology. It should also be noted that both the preindustrial ozone climatology and the correlation coefficients are given as an average annual cycle in terms of monthly means while the time resolution of the original irradiance data is as annual means for the period before 1850. In order to treat radiation and ozone consistently, the same interpolation of annual irradiance data to monthly mean data should be applied for the radiation calculations and the scaling of ozone fields. We recommend an amplitude-conserving interpolation as suggested by Sheng and Zwiers (1998).

A4.4: Solar forcing data sets provided by PMIP4

The forcing data sets are available from the PMIP4 web site: https://pmip4.lsce.ipsl.fr/doku.php/data:solar. We discriminate between the reconstructions derived using the SATIRE-M irradiance model (either ¹⁴C or ¹⁰Be –based) and the PMOD irradiance model (¹⁴C-based only). Note that the ¹⁴C-based SATIRE-M data set scaled to the CMIP6 historical forcing is the recommended forcing for the PMIP4-CMIP6 tier-1 past1000 experiment.

A5: Land-Use Changes:

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These global gridded land-use forcing datasets are being developed as a contribution of the Land-Use Model Intercomparison Project (LUMIP) to link historical land-use data and future projections in a standard format required by climate models. This new generation of "land use harmonization" (LUH2) builds upon past work from CMIP5, and includes updated inputs, higher spatial resolution, more detailed land-use transitions, and the addition of important agricultural management layers. LUH2 has been extended in time to cover the pre-industrial millennium and the historical period (850 CE to 2015). Therefore PMIP4 past1000 experiments use exactly the same data set as the CMIP6 historical experiment.

A5.1: Derivation of the contribution by wood consumption

The fraction of total wood demand that is used for durable goods is a function of GDP and varies from about 1% for subsistence-level GDP to about 15% of total demand at peak pre-fossil era GDPs (e.g. for the Netherlands around 1650 CE). For the period 850-1800 CE, total wood consumption is calculated as a function of baseline per-capita demand, a GDP-based consumption scalar, where higher GDP translates to higher per-capita consumption, and total country-level population from HYDE3.2 (Klein Goldewijk, 2016). For the baseline LUH2 scenarios, the national per capita wood harvest rates were multiplied by national scale factors that account for wood harvest processes. These scale factors are derived from the

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assumption that total global per capita rates of wood harvest increased by approximately a factor of two from current day rates to year 1800 rates based on estimates by Smil (2010). In the fossil energy era, which started in the late 18th century CE in some world regions, GDP and total energy consumption become uncoupled from wood demand. This uncoupling process varied greatly by country and over time. The final GDP-based wood consumption estimate is made at 1800 CE. Wood consumption is calculated for the period 1801-1920 CE using a linear interpolation of per capita wood harvest rates to the first historical estimates of global wood demand at 1920 CE (Zon and Sparhawk, 1923) and then computing the total national wood harvest demand by multiplying these per capita rates by the national population from HYDE3.2. The resulting wood consumption time series indicates strong declines in historical wood consumption over the 19th and early 20th centuries in most early-industrializing countries, whereas some countries continue to increase demand over the entire period (not shown). Within the LUH2 model, for the years 850-1850 CE, land cleared for agriculture is first used to satisfy wood harvest demands within each country before direct wood harvest occurs. From 1850-1920 CE, the fraction of land cleared for agriculture that is used towards meeting wood harvest demands is linearly decreased to 0 by 1920 CE. Additionally, for all years when wood harvest demands cannot be met for countries within Europe, the remaining wood harvest demand is spread across other European countries.

A5.2: Land-use data set provided by CMIP6/PMIP4:

The major attributes of the dataset include: Global domain with 0.25x0.25 degree resolution, annual land-use states, transitions, and gridded management layers, 12 land-use states including separation of primary and secondary natural vegetation into forest and non-forest sub-types, pasture into managed pasture and rangeland, and cropland into multiple crop functional types, over 100 different possible transitions per grid cell per year, including crop rotations; agriculture management layers including irrigation, fertilizer, and biofuel management. The CMIP6 Land Use Harmonization data set has been developed as part of the Land Use Model Intercomparison Project LUMIP (Lawrence at al., 2016) and can be downloaded from the LUMIP web site (http://luh.umd.edu/).

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Table 1:

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Category	Experiment	Simulation years (single realisation)	Short name	extension	
tier-1	PMIP4-CMIP6 last millennium experiment using default forcings	1000 (850 – 1849 CE)	past1000	r< <u>K</u> >ilplfl	
"	CMIP6 historical experiment initialized from past1000	165 (1850 -2014 CE)	historical	r< <u>K</u> >i< <u>L</u> >p1f1	
tier-2	PMIP4 last millennium experiment using alternative or single forcings	1000 (850 – 1849 CE)	past1000	r< <u>K</u> >ilplf< <u>N</u> >	
"	CMIP6 historical experiment initialized from past1000	165 (1850-2014 CE)	historical	r< <u>K</u> >i< <u>L</u> >plfl	
tier-3	PMIP4 last two millennia experiment	1850 (1 – 1849 CE)	past2k	r< <u>K</u> >ilplf< <u>N</u> >	
"	CMIP6 historical experiment initialized from past2k	165 (1850-2014 CE)	historical	r< <u>K</u> >i< <u>L</u> >p1f1	•
"	PMIP4 volcanic cluster ensemble experiment (in cooperation with VolMIP)	60 (1790-1849)	past1000-volc-cluster	r[13]i1p1f< <u>N></u>	•
٠	PMIP4 last millennium experiment with interactive carbon cycle	1000	nast1000-esm	r< <u>K</u> >ilplf< <u>N</u> >	•
٠	PMIP4 historical experiment with interactive carbon cycle initialized from esmPast1000	165	esm <u>-hist</u>	r< <u>K</u> >i< <u>L</u> >plfl	•

Table 1: List of experiments. In the right column the extension defines the ensemble member by the quad K, L, M, and N_oof integer indices for "realization" (r), "initialization" (i), "perturbed physics" (p), and "forcing (f). Modelling groups need to document the choices, in particular for initialization and forcing.

Gelöscht: N $\textbf{Gel\"{o}scht:} \ N...i{<}LM$... [2] Johann Jungclaus 11.4.2017 Gelöscht: N...i1p1f<NL ... [3] $\textbf{Gel\"{o}scht:} \ N...i{<}LM$... [4] Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Johann Jungclaus 11.4.2017 16:52 Gelöscht: N...i1p1f<NL ... [5] Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Gelöscht: N...i<LM ... [6] Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen. Zeilen trennen Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Gelöscht: L Gelöscht: V...lc-_...luster_mill ... [7] Johann Jungclaus 17.3.2017 13 Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Johann Jungclaus 17.3.2017 13:46 Gelöscht: EsmP Johann Jungclaus 17.3.2017 13:46 Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen **Gelöscht:** N...i1p1f<NL ... [8] Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Gelöscht: E...m-histHistorical ... [9] Johann Jungclaus 17.3.2017 13:46 Formatiert: Ohne, Abstand Vor: 0 pt, Absätze trennen, Zeilen trennen Johann Jungclaus 11.4.2017 $\textbf{Gel\"{o}scht:} \ N...i{<}LM$... [10] Johann Jungclaus 11.4.2017 16:53 Gelöscht: N, M, P, L

Johann Jungclaus 11.4.2017 16:52

Table 2:

<u>Feature</u>	PMIP4	Source
	recommendation	
Orbital	Time-varying	Berger, 1978, Schmidt et al., 2011:
		https://wiki.lsce.ipsl.fr/pmip3/doku.php/
		pmip3:design:lm:final#orbital_forcing
Greenhouse gases	Time-varying,	Meinshausen et al., 2016:
CO ₂ , N ₂ O, CH ₄	Same data set as	http://www.climatecollege.unimelb.edu.au/cmip6
	historical	https://pcmdi.llnl.gov/search/input4mips/
Volcanic forcing	Time-varying sulphur	Sigl et al., 2015; Toohey and Sigl, 2016:
	injections	http://cera-
		www.dkrz.de/WDCC/ui/Compact.jsp?acronym=eVolv2k_v1
Volcanic aerosol	EVA module	Toohey et al., 2016:
optical properties1		https://pmip4.lsce.ipsl.fr/doku.php/exp_design:lm
Solar irradiance	TSI and SSI time-	https://pmip4.lsce.ipsl.fr/doku.php/data:solar_satire
	varying	
<u>Ozone</u>	Parameterization of	
	solar-related	
	variations	
Tropospheric	Methodology same as	
<u>aerosols</u>	<u>PiControl</u>	
Vegetation	Methodology same as	
	<u>PiControl</u>	
Land-cover changes	Same data set as	Lawrence et al., 2016; Hurtt et al., in prep.
	historical	LUH2: http://luh.umd.edu/

Formatiert: Schriftart:Fett

Unknown

Feldfunktion geändert

Table 2: Summary of boundary conditions for the PMIP4/CMIP6 "tier-1" past1000 experiment.

1For models that need aerosol optical properties as forcing.

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Johann Jungclaus 14.3.2017 17:58

Gelöscht: ----Seitenumbruch-

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Formatiert: Links, Abstand Nach: 0 pt, Zeilenabstand: einfach

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