



1 **Source apportionment of atmospheric water over East Asia – a** 2 **source tracer study in CAM5.1**

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9 Abstract

10 The atmospheric water tracer (AWT) method is implemented in the Community Atmosphere Model version 5.1 (CAM5.1)
11 to quantitatively identify the contributions of various source regions to precipitation and water vapour over East Asia.
12 Compared to other source apportionment methods, the AWT method was developed based on detailed physical
13 parameterizations, and can therefore trace the behaviour of atmospheric water substances directly and exactly. According to
14 the simulation, the north Indian Ocean (NIO) is the dominant oceanic moisture source region for precipitation over the
15 Yangtze River Valley (YRV) and South China (SCN) in summer, while the Northwest Pacific (NWP) dominates during
16 other seasons. Evaporation over the South China Sea (SCS) is responsible for only 2.8–4.2% of summer precipitation over
17 the YRV and SCN. In addition, the Indo-China Peninsula is an important terrestrial moisture source region (annual
18 contribution of ~10%). The overall relative contribution of each source region to the water vapour amount is similar to the
19 corresponding contribution to precipitation over the YRV and SCN. A case study for the SCS shows that only a small part
20 ($\leq 5.8\%$) of water vapour originates from local evaporation, while much more water vapour is supplied by the NWP and NIO.
21 In addition, because evaporation from the SCS represents only a small contribution to the water vapour over the YRV and
22 SCN in summer, the SCS mainly acts as a water vapour transport pathway where moisture from the NIO and NWP meet.

23

24 Keywords

25 Atmospheric water tracer method; Community Atmosphere Model; source apportionment; precipitation and water vapour



26 **1 Introduction**

27 Water vapour is the most important component of the atmosphere, affecting global climate and weather patterns (Held and
28 Soden, 2000). Among current studies of the hydrological cycle, the identification of moisture sources to the atmosphere is an
29 important topic, because a better understanding of these sources will benefit long-term forecasting, disaster prevention and
30 allocation of water resources (Bosilovich and Schubert, 2002).

31

32 Source apportionment methods have been developed to identify atmospheric moisture source regions. These methods
33 generally can be divided into three types, namely analytical models, isotopes and numerical (Lagrangian and Eulerian)
34 atmospheric water tracers (AWTs) (Gimeno et al., 2012). In addition, sensitivity experiments in numerical simulations such
35 as shutting down water vapour flux at the lateral boundaries or surface evaporation (Chow et al., 2008) are an approach to
36 study the contributions of moisture from diverse regions. Analytical models, widely used in earlier studies (Brubaker et al.,
37 1993; Burde and Zangvil, 2001; Eltahir and Bras, 1996; Savenije, 1995; Trenberth, 1999), are generally based on various
38 simplifying assumptions such as a well-mixed atmosphere. The stable isotopes of water, HDO and H₂¹⁸O, can be used to
39 investigate the water cycle. However, water isotope data reflect a series of processes that occur simultaneously, which makes
40 it difficult to interpret isotope results for the water cycle (Numaguti, 1999; Sodemann and Zubler, 2010). The Lagrangian
41 method has become a popular way to analyse the transport of moisture and moisture sources of precipitation (Dirmeyer and
42 Brubaker, 1999; Gustafsson et al., 2010; Sodemann et al., 2008; Stohl and James, 2004; Stohl et al., 2008). However,
43 Gimeno et al. (2012) pointed out that the treatments of water vapour transport and changes of atmospheric water vapour in
44 the Lagrangian method are not based on detailed physical equations. Sodemann and Zubler (2010) pointed out that a strong
45 bias exists in Lagrangian precipitation estimates, because all cloud processes are neglected. Sensitivity experiments generally
46 contain nonlinearities, which may lead to changes in the dynamic and thermodynamic structures of meteorological fields,
47 suggesting that their results cannot be used to directly diagnose moisture sources. In contrast, the Eulerian AWT method has
48 been developed based on detailed physical parameterizations in atmospheric models, enabling a direct and exact tracking of
49 the behaviour of atmospheric water substances (Numaguti, 1999; Bosilovich, 2002).



50

51 The Eulerian AWT method was firstly developed by Joussaume et al. (1986) and Koster et al. (1986) for global circulation
52 models (GCMs). Later, this AWT method was applied to diagnose regional water sources in GCMs. For example, Numaguti
53 (1999) identified the moisture sources of Eurasian precipitation, and Bosilovich and Schubert (2002) diagnosed the moisture
54 sources of precipitation over North America and India. Bosilovich et al. (2003) studied water sources of the large-scale
55 North American monsoon, Bosilovich (2002) investigated the vertical distribution of water vapour tracers over North
56 America and Sodemann et al. (2009) used this method to study sources of water vapour leading to a flood event in Central
57 Europe using a mesoscale model. Finally, Knoche and Kunstmann (2013) incorporated the AWT method into a fifth-
58 generation mesoscale model to study the transport of atmospheric moisture in West Africa.

59

60 In summer, the Asian summer monsoon (ASM) brings large amounts of water vapour to the East Asian (EA) continent,
61 leading to a wet season and abundant precipitation. Simmonds et al. (1999) pointed out that the dominant moisture transport
62 pathways during summer can be divided into three branches, namely (i) southwesterly flow associated with the Indian
63 summer monsoon, (ii) southerly or southeasterly flow associated with the southeastern Asian monsoon, and (iii) the mid-
64 latitude Westerlies. Correspondingly, these pathways transport moisture from (i) the Bay of Bengal (BOB) and the Arabian
65 Sea (AS), (ii) the South China Sea (SCS) and the Northwest Pacific (NWP) and (iii) the mid-latitude regions. Simmonds et al.
66 (1999) and Xu et al. (2008) pointed out that the BOB to SCS are the main source regions for rainfall over southeast China.
67 Using the Lagrangian Flexible Particle (FLEXPART) dispersion model (Stohl and James, 2004), Drumond et al. (2011)
68 discovered that the inland regions of China receive moisture mostly from western Asia, while the East China Sea (ECS) and
69 SCS are the main source regions for rainfall in China's eastern and southeastern coastal areas and the AS and BOB are the
70 main source regions for southern and central China from April to September. With the FLEXPART model, Baker et al.
71 (2015) demonstrated that the Indian Ocean is the primary source of moisture for East Asian summer monsoon (EASM)
72 rainfall. Using the same model, Chen et al. (2013) suggested that the ECS, the SCS, the Indian peninsula and BOB and the
73 AS were the four major moisture source regions for summer water vapour over the Yangtze River Valley (YRV) during
74 2004–2009. Chow et al. (2008) suggested that water vapour supplied by the Indian summer monsoon contributed about 50%



75 to early summer precipitation over China in 1998, and inferred that the SCS may act as a pathway for water vapour transport
76 affected by the Indian and Southeast Asian summer monsoon. However, recently Wei et al. (2012), using a Lagrangian
77 model, showed that the major moisture transport pathways to the YRV are over land and not over the ocean. Therefore, the
78 dominant source regions of moisture for summer rainfall over EA are still uncertain.

79

80 Baker et al. (2015) pointed out that the water vapour transport mechanisms for precipitation over China during the ASM are
81 still unquantified. Previous studies have pointed out that analytical models need simplifying assumptions, isotope data not
82 only reflect the water cycle, the Lagrangian methods lack cloud processes and that sensitivity experiments contain
83 nonlinearities, limiting diagnostic studies of moisture sources. On the other hand, the Eulerian AWT method does not have
84 these shortcomings and is an accurate way to quantitatively determine water sources (Bosilovich, 2002). Therefore, in this
85 study, we aim at incorporating an Eulerian AWT approach into an advanced global atmosphere model – the Community
86 Atmosphere Model version 5.1 (CAM5.1) (Neal et al., 2012). Using this method, we address the following questions: (1)
87 What moisture source regions are most important for precipitation and water vapour amount over EA, including the YRV
88 and South China (SCN)? (2) What is the role of the SCS for precipitation and water vapour amount over EA during the
89 EASM: a dominant source region or just a pathway for water vapour transport from other source regions?

90

91 In this study, detailed descriptions of physical parameterization schemes and means of implementing the AWT mechanisms
92 in CAM5.1 are given in Sect. 2. Simulation results, including evaluation and discussion, are presented in Sect. 3. Finally,
93 summary and concluding remarks are presented in Sect. 4.

94

95 **2 Model and methods**

96 The CAM5.1, released by the U.S. National Center for Atmospheric Research, is the atmospheric component of the
97 Community Earth System Model (CESM) (Neal et al., 2012). Compared to CAM4, CAM5.1 contains a range of
98 improvements in the representation of physical processes such as moist turbulence, shallow convection, stratiform



99 microphysics, cloud macrophysics schemes and others (Neal et al., 2012). The horizontal resolution used in this study is 1.9°
100 in latitude and 2.5° in longitude. The vertical range is from the surface to approximately 4 hPa (≈ 40 km).

101

102 In this study, the chemistry mechanism of CAM5.1 is taken from MOZART-4 (Emmons et al., 2010), in which water vapour
103 is invariant, which means that it is unnecessary to consider changes in water vapour during chemical processes. The temporal
104 evolution of the mass mixing ratios (MMRs) of different water substances (water vapour, cloud droplets and ice) is
105 determined by deep convection, shallow convection, cloud macrophysics, cloud microphysics, advection and vertical
106 diffusion. To diagnose the dominant moisture source regions of atmospheric water over EA, the global surface is divided
107 into 25 source regions as shown in Fig. 1. Most regions are defined based on the locations of continents and oceans. Due to
108 the focus on moisture sources over EA in this study, EA and its adjacent regions are further divided to provide more detail.

109 Within source region k , the surface flux of the tagged water vapour tracer E^k is equal to the surface evaporation flux of
110 water vapour E ; otherwise $E^k = 0$. As in the treatment described in Knoche and Kunstmann (2013) and Bosilovich and
111 Schubert (2002), water is “tagged” when it evaporates at its source region and is no longer tagged when it precipitates from
112 the atmosphere to the Earth’s surface via atmospheric processes. When previously tagged precipitation reevaporates from the
113 surface, it is regarded as newly tagged water (Knoche and Kunstmann, 2013), which then belongs to the region from where it
114 reevaporates.

115

116 The MMRs of water vapour, cloud droplets and ice at a particular level are defined as q_v , q_l and q_i , respectively. The
117 corresponding MMRs of tagged water substances from source region k are $q_{v,tg}^k$, $q_{l,tg}^k$ and $q_{i,tg}^k$. All these tagged water
118 substances are passive, which means that they are entirely separate from the original water substances in CAM5.1 and have
119 no impact on dynamical and thermal fields. Numaguti (1999) suggested that the lifetime of atmospheric water vapour is
120 about 10 days. In this study, the simulation is started in 01 January 1997, and the initial MMRs of tagged substances are set
121 to zero. To attain stable initial concentrations of tagged water substances, the simulation experiment takes a year to spin up.
122 We then investigate the ten-year averaged results for 1998 to 2007. In the following, we describe the treatment of tagged
123 AWTs in CAM5.1’s physical parameterizations.



124

125 2.1 Deep convection

126 In CAM5.1, deep convection is parameterized using the approach described in Zhang and McFarlane (1995), but with
127 modifications following Richter and Rasch (2008) and Raymond and Blyth (1986, 1992). In the calculation of consistent
128 transport of deep convection, we assume the ratio of tagged and original water vapour tendencies, respectively denoted as

129 $\left(\frac{\partial q_{v,tg}^k}{\partial t}\right)_{dp}$ and $\left(\frac{\partial q_v}{\partial t}\right)_{dp}$, is equal to the ratio of the relevant tagged water vapour MMR and the corresponding sum, expressed

130 as:

$$131 \left(\frac{\partial q_{v,tg}^k}{\partial t}\right)_{dp} = \frac{q_{v,tg}^k}{\sum_{k=1}^n q_{v,tg}^k} \times \left(\frac{\partial q_v}{\partial t}\right)_{dp} \quad (1)$$

132 In this study, $n=25$, which is the total number of defined source regions (Fig. 1). In this scheme, the assumed ratio
133 relationship in Eq. (1) is also used to calculate the production of tagged cloud water in updraft, as well as the tagged rain
134 production rate and evaporation rate of tagged rain in downdraft. The evaporation of convection precipitation is also
135 considered in this parameterization. Because the evaporation rate is associated with the deep convection precipitation flux
136 Q_{dp} , we use the ratio of the tagged deep convection precipitation flux $Q_{dp,tg}^k$ and the corresponding sum to calculate the
137 evaporation of tagged deep convection precipitation:

$$138 \left(\frac{\partial q_{v,tg}^k}{\partial t}\right)_{dp_evap} = \frac{Q_{dp,tg}^k}{\sum_{k=1}^n Q_{dp,tg}^k} \times \left(\frac{\partial q_v}{\partial t}\right)_{dp_evap} \quad (2)$$

139 For the temporal evolution of $q_{l,tg}^k$ and $q_{i,tg}^k$ in the deep convection parameterization, both are treated in the same
140 subroutine as q_l and q_i .

141

142 2.2 Shallow convection

143 The shallow convection scheme in CAM5.1 is taken from Park and Bretherton (2009). In this scheme, because the
144 detrainment of cloud water and ice ($D(q_l)$ and $D(q_i)$) is assumed to be proportional to the total water detrainment and the



145 detrained air is assumed to be a representative of cumulus updraft (Park and Bretherton, 2009), we use the ratio of tagged
 146 total water in the updraft $q_{t,u,tg}^k$ and the corresponding sum to distribute the detrainment of tagged cloud water and ice
 147 ($D(q_{l,tg}^k)$ and $D(q_{i,tg}^k)$):

$$148 \quad D(q_{l,tg}^k) = \left(\frac{q_{t,u,tg}^k}{\sum_{k=1}^n q_{t,u,tg}^k} \right) \times D(q_l), \quad D(q_{i,tg}^k) = \left(\frac{q_{t,u,tg}^k}{\sum_{k=1}^n q_{t,u,tg}^k} \right) \times D(q_i) \quad (3)$$

149 This ratio is also applied to the calculations of in-cumulus tagged condensates and the production rates of tagged rain/snow
 150 by cumulus expulsion of condensates to the environment. Like CAM5.1's deep convection scheme, the shallow convection
 151 scheme relates precipitation evaporation rate $\left(\frac{\partial q_v}{\partial t} \right)_{sh_evap}$ to shallow convection precipitation flux Q_{sh} . Therefore, we use an
 152 assumed expression such as Eq. (2) to calculate the tagged precipitation evaporation rate:

$$153 \quad \left(\frac{\partial q_v^k}{\partial t} \right)_{sh_evap} = \frac{Q_{sh,tg}^k}{\sum_{k=1}^n Q_{sh,tg}^k} \times \left(\frac{\partial q_v}{\partial t} \right)_{sh_evap} \quad (4)$$

154 Tagged condensate tendencies for compensating subsidence or upwelling and penetrative entrainment mass flux are
 155 calculated with the same equations as the original water-related quantities in this scheme.

156

157 2.3 Cloud Macrophysics

158 Park et al. (2014) provided a detailed description of CAM5.1's cloud macrophysics, in which cloud fractions, horizontal and
 159 vertical overlapping structures of clouds, and net condensation rates of water vapour into cloud droplets and ice are
 160 computed. Because the tendencies of water substances caused by cumulus convection have been calculated in deep and
 161 shallow convection schemes, we focus on the treatment of the tagged stratus fraction and net condensation rates of tagged
 162 water vapour in stratus clouds in this section.

163

164 The liquid stratus fraction $A_{l,st}$ is a unique function of grid-mean relative humidity (RH) over water, $\bar{u}_l \equiv \bar{q}_v / \bar{q}_{s,w}$, where \bar{q}_v
 165 is the grid-mean water vapour specific humidity and $\bar{q}_{s,w}$ is the grid-mean saturation specific humidity over water, which is



166 shown in Eq. (3) of Park et al. (2014). We allocate the tagged liquid stratus fraction $A_{l,st,tg}^k$, which depends on the ratio of
 167 grid-mean tagged water vapour specific humidity $\overline{q_{v,tg}^k}$ and the corresponding sum, expressed as:

$$168 \quad A_{l,st,tg}^k = \frac{\overline{q_{v,tg}^k}}{\sum_{k=1}^n \overline{q_{v,tg}^k}} \times A_{l,st} \quad (5)$$

169 This ratio is also used in the computation of tagged in-stratus liquid water content (LWC) $q_{l,st,tg}^k$ and tagged grid-mean
 170 ambient LWC $\overline{q_{l,a,tg}^k}$, thus

$$171 \quad q_{l,st,tg}^k = \frac{\overline{q_{v,tg}^k}}{\sum_{k=1}^n \overline{q_{v,tg}^k}} \times q_{l,st} \quad (6)$$

172 and

$$173 \quad \overline{q_{l,a,tg}^k} = \frac{\overline{q_{v,tg}^k}}{\sum_{k=1}^n \overline{q_{v,tg}^k}} \times \overline{q_{l,a}} \quad (7)$$

174 Here, $q_{l,st}$ is the in-stratus LWC and $\overline{q_{l,a}}$ is the grid-mean ambient LWC. Similar to $A_{l,st}$, the ice stratus fraction $A_{i,st}$ is a
 175 function of the grid-mean total ice RH over ice, $\overline{v_i} \equiv (\overline{q_v} + \overline{q_i}) / \overline{q_{s,i}}$, where $\overline{q_i}$ is the grid-mean ice specific humidity and $\overline{q_{s,i}}$
 176 is the grid-mean saturation specific humidity over ice, as shown in Eq. (4) of Park et al. (2014). Therefore, the tagged ice
 177 stratus fraction $A_{i,st,tg}^k$, tagged in-stratus ice water content (IWC) $q_{i,st,tg}^k$ and subsequent tagged grid-mean ambient IWC
 178 $\overline{q_{i,a,tg}^k}$ are all calculated based on the ratio of grid-mean total tagged ice specific humidity $(\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})$ and the
 179 corresponding sum, expressed as:

$$180 \quad A_{i,st,tg}^k = \frac{(\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})}{\sum_{k=1}^n (\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})} \times A_{i,st} \quad (8)$$

$$181 \quad q_{i,st,tg}^k = \frac{(\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})}{\sum_{k=1}^n (\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})} \times q_{i,st} \quad (9)$$

$$182 \quad \overline{q_{i,a,tg}^k} = \frac{(\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})}{\sum_{k=1}^n (\overline{q_{v,tg}^k} + \overline{q_{i,tg}^k})} \times \overline{q_{i,a}} \quad (10)$$

183 Here, $q_{i,st}$ is the in-stratus IWC and $\overline{q_{i,a}}$ is the grid-mean ambient IWC. Using the same formula as for the calculation of the
 184 grid-mean ambient water vapour specific humidity, the tagged grid-mean ambient water vapour specific humidity $\overline{q_{v,a,tg}^k}$ is
 185 computed as follows:



$$186 \quad \overline{q_{v,a,tg}^k} = \overline{q_{v,tg}^k} + \overline{q_{l,tg}^k} + \overline{q_{i,tg}^k} - \overline{q_{l,a,tg}^k} - \overline{q_{i,a,tg}^k} \quad (11)$$

187

188 2.4 Cloud Microphysics

189 The CAM5.1 model uses the double-moment cloud microphysical scheme described in Morrison and Gettleman (2008) and
190 a modified treatment of ice supersaturation and ice nucleation from Gettleman et al. (2010). In addition, CAM5.1's stratus
191 microphysics is formulated using a single-phase stratus fraction A_{st} , which is assumed as the maximum overlap between
192 $A_{l,st}$ and $A_{i,st}$ (Park et al., 2014). In this study, the same assumption is applied to each tagged single-phase stratus fraction
193 $A_{st,tg}^k$. The microphysical processes in CAM5.1 include condensation/deposition, evaporation/sublimation, autoconversion of
194 cloud droplets and ice to form rain and snow, accretion of cloud droplets and ice by rain or by snow, heterogeneous freezing,
195 homogeneous freezing, melting, sedimentation, activation of cloud droplets and primary ice nucleation. Detailed
196 formulations for these microphysical processes are described in Morrison and Gettleman (2008). For processes such as
197 condensation/deposition of cloud water and ice, evaporation/sublimation of cloud water and ice, conversion of cloud water to
198 rain, conversion of cloud ice to snow, accretion of cloud water and ice, freezing of cloud water and ice and ice nucleation,
199 the calculations of the tendencies of water substances can be regarded as terms multiplied by the stratus fraction. Therefore,
200 the corresponding tendencies of tagged water substances are computed by multiplication by the tagged stratus fraction, while
201 the remaining terms in the formulations remain unchanged. For calculations of melting of cloud ice and snow,
202 evaporation/sublimation of precipitation and sedimentation of cloud water and ice, the tendencies of tagged water substances
203 are computed using the same equations as for the original water substances but tagged variables are substituted for the
204 original variables of the water substances. For the calculation of the tendency of activated cloud condensation nuclei, we
205 assume that the ratio of the tendency of the tagged cloud droplets and the tendency of the original cloud droplets is equal to
206 the ratio of $A_{l,st,tg}^k$ and the corresponding sum $\sum_{k=1}^n A_{l,st,tg}^k$.

207



208 2.5 Advection

209 The finite volume dynamical core is chosen in this study due to its excellent properties for tracer transport (Rasch et al.,
210 2006). The CAM5.1 model can be driven by offline meteorological fields (Lamarque et al., 2012) following the procedure
211 initially developed for the Model of Atmospheric Transport and Chemistry (MARCH) (Rasch et al., 1997). In this study, the
212 external meteorological fields are obtained from Modern Era Retrospective-analysis for Research and Applications
213 (MERRA) datasets (Rienecker et al., 2011), whose horizontal resolution is identical to CAM5.1's. Temporal evolutions of
214 $q_{v,tg}^k$, $q_{i,tg}^k$ and $q_{s,tg}^k$ in the advective process are treated in the same manner as other constituents without any modification.

215

216 2.6 Vertical diffusion

217 CAM5.1's moist turbulence scheme is taken from the scheme presented by Bretherton and Park (2009), which calculates the
218 vertical transport of heat, moisture, horizontal momentum and tracers by symmetric turbulences. The vertical diffusion of
219 tagged water substances is treated by the procedure in the same way as other constituents without any modification.

220

221 2.7 Adjustment

222 Ideally, the differences between the MMRs of water substances and the sum MMRs of all corresponding tagged water
223 substances should be zero. However, there are exceptional differences in a few grid points (see supplementary Fig. S6). In
224 the supplement, Figs. S1–S5 show comparisons between the tendencies of the original water substances and the sum of the
225 tendencies of the tagged water substances for the relevant physical processes described in Sects. 2.1 through 2.6. Although
226 differences are small for most grid points, some abnormal values still appear randomly. For tagged water vapour, evident
227 biases mainly occur in cloud processes (cloud macrophysics and microphysics) and advection; for tagged cloud droplets, the
228 apparent biases generally occur in cloud processes in the tropics; for tagged cloud ice, the main differences occur in cloud
229 processes, advection and vertical diffusion. Nonlinearities in the calculations of the tendencies of water substances in the



230 physical schemes cause these differences. To reduce these accumulated biases in the relevant physical schemes, additional
231 criteria are applied to the relevant quantities of the tagged water substances:

- 232 (1) If the positive or negative sign of the tendency of a tagged water substance is identical to the sign of the tendency of the
233 original water substance, the absolute value of the tendency of the tagged water substance should not be larger than that
234 of the original water substance. If their signs are different, the tendency of the tagged water substance is set to zero.
- 235 (2) The sum of the tendencies of all tagged water substances should be equal to the tendency of the corresponding original
236 water substance in each scheme.

237

238 **3. Results and discussion**

239 **3.1 Model assessment**

240 Numaguti (1999) pointed out that the results of the tagged AWTs method suffer from the bias of the model used. Therefore,
241 we first estimate the precipitation simulated by the offline version of CAM5.1, which is compared with the Global
242 Precipitation Climatology Project (GPCP) version 2.2 combined precipitation data set (Huffman and Bolvin, 2011), as
243 shown in Fig. 2. In winter (December, January and February), high-precipitation zones are located in the tropics of the
244 Southern Hemisphere and in the mid-latitude areas of the NWP. Precipitation is generally less than 3 mm d^{-1} over most parts
245 of Eurasia. In summer (June, July and August), there is heavy precipitation over the southern and southeastern parts of
246 Eurasia and over central Africa. Although CAM5.1 generally shows a bias toward relatively high precipitation in the tropics
247 of the summer hemisphere, the precipitation pattern and amount over Eurasia and its adjacent areas is captured well by
248 CAM5.1.

249



250 3.2 Terrestrial and oceanic contributions to precipitation over Eurasia

251 Figure 3 shows the spatial distribution of the relative contribution of evaporation from all land source regions to precipitation
252 (colours). In winter, evaporation from land source regions generally contributes ~30–60% to the precipitation over Eurasia.
253 The largest contribution (~80%) is located in central China. In summer, $\geq 60\%$ of precipitation over most parts of Eurasia is
254 supplied by evaporation from land, especially for the inland region where $\geq 80\%$ of precipitation originates from the land
255 surface. However, the contribution of evaporation from land to summer precipitation over IND, ICP and east China is
256 generally less than 50%, due to moisture transport by the Indian summer monsoon and EASM. Overall, the contribution of
257 evaporation from land to precipitation over Eurasia is smaller in winter and larger in summer, which is consistent with the
258 variation of evaporation from the land surface over Eurasia in winter and summer as shown in Fig. 4. The pattern of
259 precipitation contributed by land evaporation is similar to that shown in Numaguti (1999). Our result is close to that of
260 Numaguti (1999) for summer but the contribution of land evaporation to precipitation is evidently larger for winter.

261
262 The distributions of the relative contributions of evaporation from the NAO, the extended north Indian Ocean (includes NIO,
263 BOB and AS) and the extended Northwest Pacific (includes NWP and SCS), which are three important moisture source
264 regions, are shown in Fig. 5. In winter, ~10–60% of the precipitation over the northern part of Eurasia originates from the
265 NAO, with a westward or northwestward increasing gradient in the relative contribution. The extended north Indian Ocean
266 supplies moisture for ~10–30% of the precipitation over the North Africa and South Asia. The extended Northwest Pacific
267 only provides moisture for 10–30% of the precipitation over the southern and eastern coastal regions of Asia. In summer,
268 evaporation from the NAO only affects precipitation over Europe, with a contribution of 10–30% to total precipitation.
269 Precipitation areas influenced by the extended north Indian Ocean extend to EA, while areas impacted by the extended
270 Northwest Pacific retreat eastward.

271
272 The arrow streamlines in Fig. 3 show the total tropospheric water vapour flux in winter and summer. There is a westward
273 component of water vapour flux over the tropics of both the extended north Indian Ocean and the extended Northwest
274 Pacific in the Northern Hemisphere in winter. In summer, there is a very large northwestward water vapour flux over the



275 NIO, turning northeastward over the BOB and AS. Over the extended Northwest Pacific, there is a northward component of
276 water vapour flux at 30°–60°N and a westward flux in the tropics between 120°E and 180°E. In addition, Fig. 4 shows strong
277 surface evaporation over the NWP and NAO in winter, while evaporation is weaker in summer. In contrast, evaporation over
278 the NIO is larger in summer and smaller in winter. These results help to explain the variations in the contributions of the
279 NAO, extended north Indian Ocean and extended Northwest Pacific to precipitation in winter and summer as shown in Fig. 5.

280

281 The overall contributions from these three oceanic regions are generally less than those in Numaguti (1999). The resolution
282 of the climate model used in Numaguti (1999) is $\sim 5.6^\circ$, both in latitudinal and longitudinal direction. The different model
283 resolutions are a probable reason for the different quantitative contributions in our study and that of Numaguti (1999). In
284 addition, CAM5.1 is driven by MERRA data, so its surface evaporation flux is approximate to that of MERRA. MERRA
285 land evaporation is larger over South and East Asia and Northern Europe compared to other global estimates (Jiménez et al.,
286 2011), and Bosilovich et al. (2011) suggested that MERRA ocean evaporation is lower compared to other reanalyses but is
287 much closer to observation. Therefore, the bias in MERRA surface evaporation may lead to the higher land contribution and
288 lower oceanic contribution to precipitation.

289

290 **3.3 Atmospheric moisture source attribution of precipitation and water vapour over the YRV**

291 Figures 6a and 6b show the time series of evaporative contribution of each source region to precipitation over the YRV. The
292 contributions of evaporation to precipitation from the BOB and AS are lower during autumn–winter and higher during
293 spring–summer with relative contributions of $\leq 3.6\%$. Chow et al. (2008) (see their Fig. 20a) also found that evaporation from
294 the AS had little impact on precipitation over China. Supplementary Figs. S7–S10 show the distributions of 25 tagged water
295 vapour tracers and 25 tagged precipitations over Eurasia and surrounding areas in winter and summer. Figs. S7a and S9a
296 show that evaporation from the BOB contributes to water vapour and precipitation over the extended north Indian Ocean in
297 winter, corresponding to the direction of water flux shown in Fig. 3a. The centre of BOB-contributed precipitation (15 mg m^{-2}
298 s^{-1}) is located in the south of the TP in summer (Fig. S10a). In addition, the BOB supplies moisture to areas around the



299 northeastern BOB in summer (Fig. S8a). The contribution of the SCS to precipitation is also very small ($\leq 4.7\%$), which
300 supports the view of Chow et al. (2008), who suggested that the SCS may serve as a pathway for water vapour transport
301 from the southwesterly flow of the Indian summer monsoon and the easterly flow of the Northwest Pacific subtropical high.
302 A detailed discussion of this issue is presented in Sect. 3.5. The NWP serves as the dominant oceanic source region for
303 precipitation over the YRV during the whole year except during June and July. The relative contribution is $\sim 7.7\text{--}10.1\%$ in
304 June and July and $14.4\text{--}22.9\%$ in other months. Evaporation from the NIO shows a clear contribution to precipitation during
305 May to October. Especially in June and July, the NIO is the dominant oceanic source region, with a contribution of $\sim 22.5\%$.
306 This is in agreement with the result of a Lagrangian diagnostic method described in Baker et al. (2015) and the result of
307 sensitivity experiments in Chow et al. (2008). However, in other months, the contribution of the NIO is very small. The
308 contributions from evaporation from the BOB, AS and NIO are in phase with the EASM, which was also reported by Baker
309 et al. (2015). The ICP is an important terrestrial source region for the YRV precipitation, supplying moisture to $\sim 9.8\%$ of the
310 annual precipitation. The relative contribution of the ICP from April to September is close to the result of Wei et al. (2012).
311 The contribution of evaporation from the YRV to its precipitation can be regarded as the local recycling ratio, which is lower
312 ($5.9\text{--}9\%$) in summer and higher ($11\text{--}14.1\%$) in other seasons. In general, the contribution of evaporation from SCN is
313 comparable to the local contribution of the YRV. The relative contribution from the NEA is higher in autumn–winter and
314 lower in spring–summer, which may be associated with the shift of the EA monsoon. Though the individual contributions of
315 evaporation from the YRV or SCN are smaller than those from the NIO in summer, their combined contributions exceed
316 10% . This implies that evaporation from these two regions is important for precipitation over China. This is contrary to the
317 view expressed in Simmonds et al. (1999) and Qian et al. (2004), but consistent with Wei et al. (2012). Figures 6c and 6d
318 show a time series of evaporative contribution from each source region to the tropospheric water vapour amount over the
319 YRV. The overall relative contribution from each source region to the total water vapour amount is similar to the
320 corresponding relative contribution to precipitation shown in Figs. 6a and 6b.

321



322 3.4 Atmospheric moisture source attribution of precipitation and water vapour over SCN

323 Figures 7a and 7b show the contribution of each source region to precipitation over SCN. The NIO is the dominant source
324 region in summer, while the NWP dominates precipitation over SCN during other seasons, which is similar to the situation
325 over the YRV. The contribution from the NIO is 21.5–28.1% in summer. The contribution from the NWP is 8.2–15.7% in
326 summer and ~20–33.4% during other seasons. During spring and summer, ~2.2–4% of precipitation is supplied from the
327 BOB, with smaller contributions during other seasons. The contribution from the AS is similar to that of the BOB. In
328 summer, only 3–4.2% of precipitation originates from the SCS, but the area contributes ~7–7.3% to the precipitation in early
329 spring (March to April). Like precipitation over the YRV, the dominant terrestrial source region for SCN is the ICP, which
330 contributes ~9.9% to the precipitation. In addition, ~5.7% of summer precipitation originates from SEA. Compared to
331 precipitation over the YRV, the contribution from the TP is smaller. In addition, the contribution from the YRV is small in
332 summer. The local recycling ratio or percentage contribution of evaporation from SCN is generally 5.5–8.8% during May to
333 September, but larger than 10% during the remaining months. As shown in Fig. 7d, the overall relative contribution of each
334 source region to the water vapour amount is similar to each region's contribution to precipitation over SCN.

335 336 3.5 Atmospheric moisture source attribution of water vapour over the SCS

337 Simmonds et al. (1999) and Lau et al. (2002) suggested that interannual variation of summer precipitation over China is
338 associated with water vapour transport over the SCS. However, Chow et al. (2008) suggested that the SCS may act as a
339 water vapour transport pathway where the southwesterly stream of the Indian summer monsoon and the easterly stream of
340 the southeastern Asian monsoon meet. Previous studies have conducted sensitivity experiments or analysed the water vapour
341 budget to indirectly determine moisture sources for the SCS. In contrast, our AWT method can directly quantify the
342 contribution of each source region to the water vapour amount over the SCS, which is shown in Fig. 8. The local
343 contribution of the SCS is small (~5–5.8%) in summer, and the mean contribution in other months is ~7.4%. The
344 contribution of the NIO shows clear seasonal variations: the contribution is high during May to October, but very small
345 during the other months. Similar to the results for water vapour over the YRV and SCN, the NIO is the dominant source



346 region from June to September, with a contribution of 20.8–26.9%. During this period, the contribution of the NWP is 13.3–
347 19%. However, the NWP dominates the water vapour over the SCS in the remaining months, with contributions of 23.8–
348 45.1%. In addition, the SP and NEP are also important oceanic source regions, with combined annual contributions of ~13–
349 17.7%. The most important terrestrial moisture source region is the SEA, whose contribution is larger (13.7–16.1%) in
350 summer and smaller (~6.4%) in winter. During late autumn to winter, about 5.2–6.2% of water vapour is supplied from NEA,
351 but its contribution is very small in other seasons. The other land source regions contribute relatively little to the water
352 vapour amount over the SCS.

353

354 From the SCS to SCN and further to the YRV (from south to north), surface evaporation from the SCS generally represents a
355 small ($\leq 5.8\%$) contribution to the water vapour amount over the three target areas in summer. In contrast, much more water
356 vapour is supplied by evaporation from the NWP and NIO. This confirms the inference proposed by Chow et al. (2008) that
357 the SCS is a water vapour transport pathway where moisture from the NIO and NWP meet in summer.

358

359 4. Conclusions

360 In this study, an Eulerian tagged AWT method was implemented in CAM5.1, which provides the capacity to separately trace
361 the behaviour of atmospheric water substances originating from various moisture source regions and to quantify their
362 contributions to atmospheric water over an arbitrary region. Numaguti (1999) pointed out that the weakness of the tagged
363 AWT method is that its results suffer from the performance of the model in reproducing the hydrological cycle. However, a
364 comparison between GPCP and CAM5.1 precipitation shows that CAM5.1 has the capability to represent precipitation
365 processes. Using this method, we investigated the contribution of evaporation from land, as well as the contributions from
366 the NAO, extended north Indian Ocean and extended Northwest Pacific to precipitation over Eurasia. Our results are similar
367 to those of Numaguti (1999), except that our results indicate a larger contribution from terrestrial source regions, while the
368 three oceanic regions show smaller contributions. Different model resolutions and a bias in MERRA surface evaporation are
369 probable causes for the differences between our results and those of Numaguti (1999).



370

371 We then investigated the contribution of various source regions to precipitation and water vapour amounts over the YRV and
372 SCN. Our results suggest that the dominant oceanic moisture source region during summer is the NIO (15.9–22.5% of
373 precipitation over the YRV; 21.5–28.1% of precipitation over SCN), consistent with Baker et al. (2015) and Chow et al.
374 (2008), while during other seasons, the NWP is the dominant source region (14.3–22.9% of precipitation over the YRV;
375 14.4–34.1% of precipitation over SCN), with smaller contributions from the BOB, AS and SCS. The ICP is an important
376 terrestrial source region, with a mean annual contribution of ~10%. For precipitation over the YRV, the combined
377 contribution of evaporation from the YRV and SCN is non-negligible (exceeding 10%), consistent with Wei et al. (2012).
378 For precipitation over SCN, the local recycling ratio is generally 5.5–8.8% during May to September, and reaches 11.1–19.6%
379 in other months. The contribution from the YRV is very small in summer. The overall relative contribution of each source
380 region to the water vapour amount is similar to the corresponding contribution to precipitation over the YRV and SCN.

381

382 An analysis of water vapour amount over the SCS shows that the NIO is the dominant source region (20.8–26.9% of water
383 vapour) during June to September, while the NWP dominates (23.8–45.1% of water vapour) in the remaining months. In
384 contrast, the local contribution of the SCS is smaller (~5–5.8%) in summer. In addition, the SP, NEP and SEA are also
385 important source regions. Evaporation over the SCS represents a small contribution to water vapour amounts over the SCS,
386 SCN and the YRV in summer, implying that the SCS acts as a water vapour transport pathway rather than a dominant source
387 region, which confirms the inference of Chow et al. (2008).

388

389 At present, the tagged AWT method has only been applied to a few GCMs and regional models, and has generally focused
390 on identifying the moisture distribution over few regions such as NAM (Bosilovich and Schubert, 2002; Bosilovich et al.,
391 2003). We expect that the AWT method will be applied to additional models and used to identify moisture sources over
392 more climate regions, which will improve our understanding of atmospheric moisture transport.

393



394 **Code availability**

395 The source code modifications for CAM5.1 are available from the authors. Interested readers should contact us via
396 arthur_pc@163.com or binzhu@nuist.edu.cn.

397

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402

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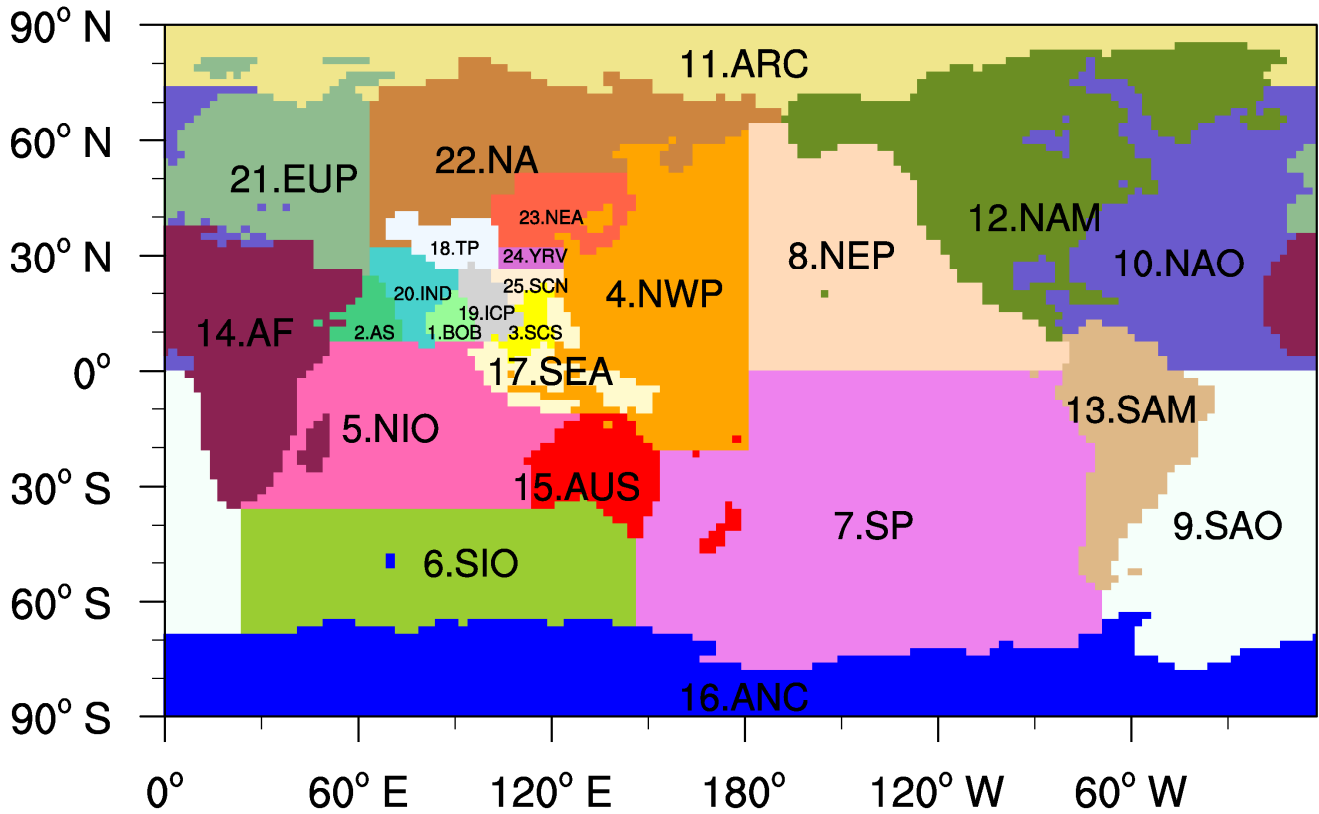
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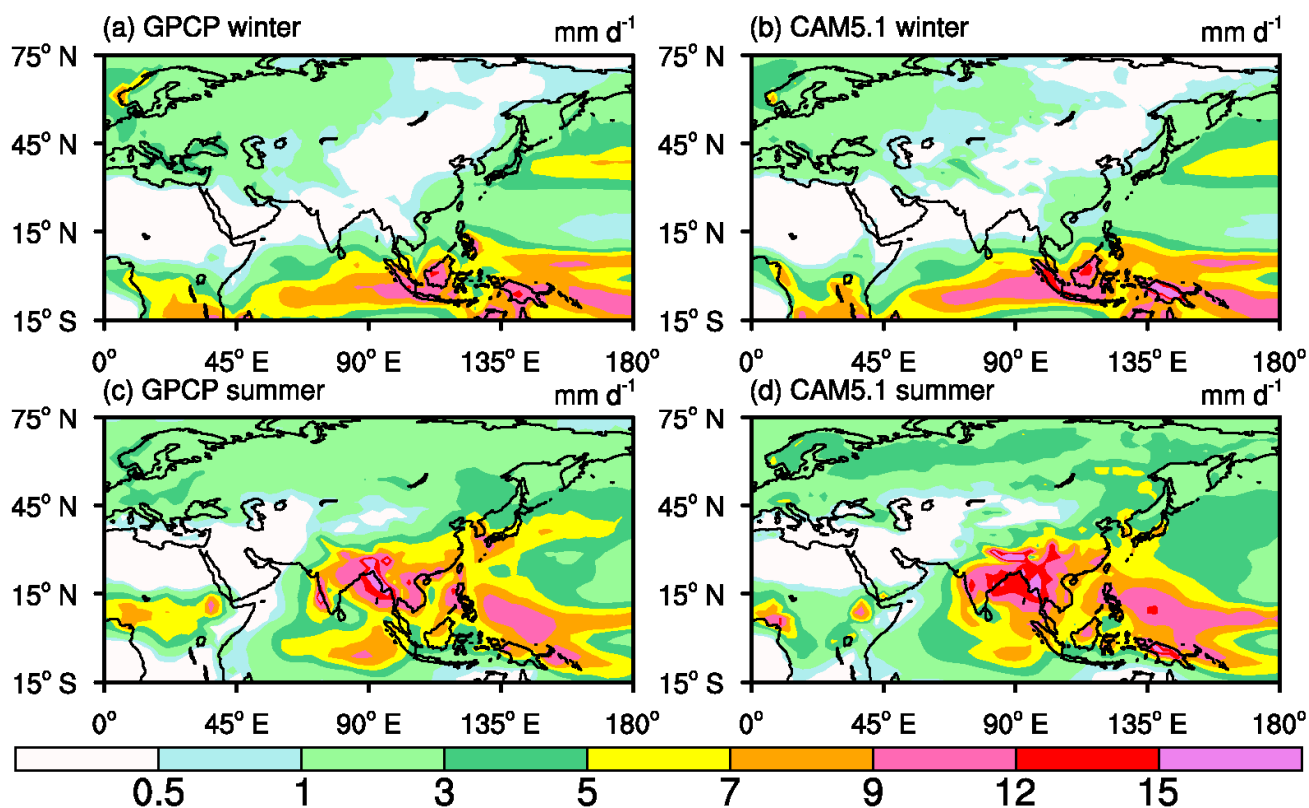


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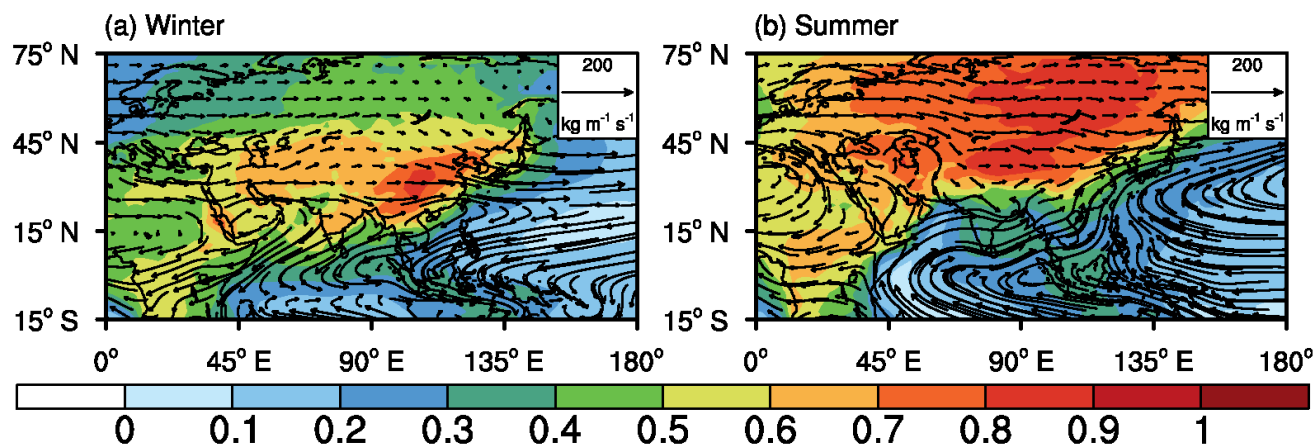
526

527 **Figure 1.** Moisture source regions: the regions are denoted as (1) Bay of Bengal: BOB; (2) Arabian Sea: AS; (3) South China Sea: SCS; (4)
 528 Northwest Pacific: NWP; (5) north Indian Ocean: NIO; (6) southern Indian Ocean: SIO; (7) southern Pacific: SP; (8) Northeast Pacific:
 529 NEP; (9) southern Atlantic Ocean: SAO; (10) northern Atlantic Ocean: NAO; (11) Arctic Ocean: ARC; (12) North America: NAM; (13)
 530 South America: SAM; (14) Africa: AF; (15) Australia: AUS; (16) Antarctic: ANC; (17) Southeast Asia: SEA; (18) Tibet Plateau: TP; (19)
 531 Indo-China Peninsula: ICP; (20) India: IND; (21) Europe: EUP; (22) North Asia: NA; (23) Northeast Asia: NEA; (24) Yangtze River
 532 Valley: YRV; (25) South China: SCN.



533

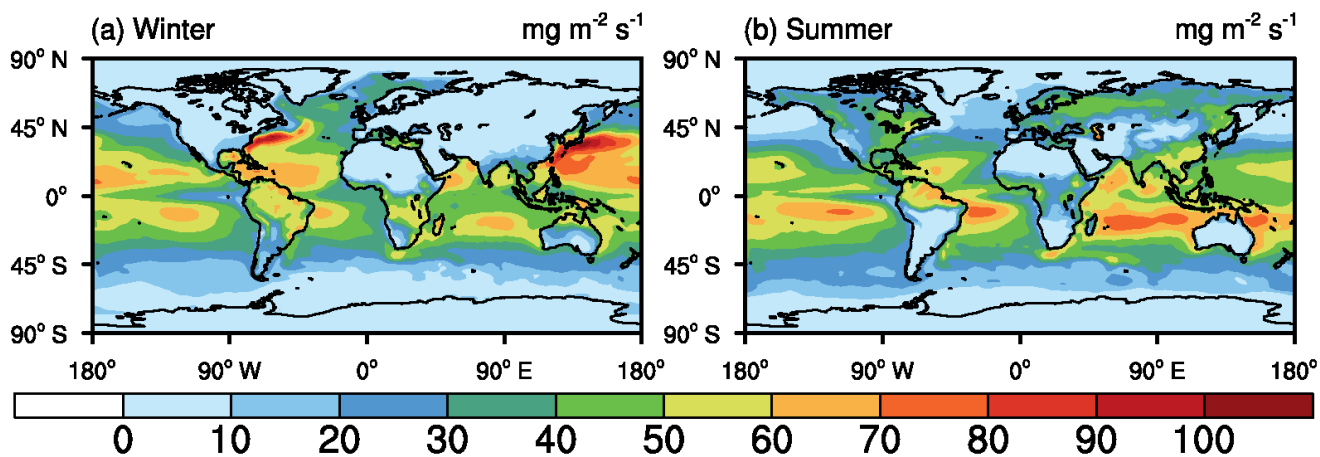
534 **Figure 2.** Comparisons between (left) GPCP data and (right) CAM5.1 precipitation simulations during (top) winter and
535 (ten-year averages for 1998 to 2007).



536

537 **Figure 3.** Distribution of the relative contribution to precipitation from all land source regions defined in **Fig. 1** (colours, unit: 1) and

538 vertically integrated tropospheric water vapour flux (arrow streamlines, unit: $\text{kg m}^{-1} \text{s}^{-1}$) during (a) winter and (b) summer.



539

540 **Figure 4.** Distribution of CAM5.1's ten-year averaged surface evaporation flux (unit: $\text{mg m}^{-2} \text{s}^{-1}$) in (a) winter and (b) summer between
541 1998 and 2007.

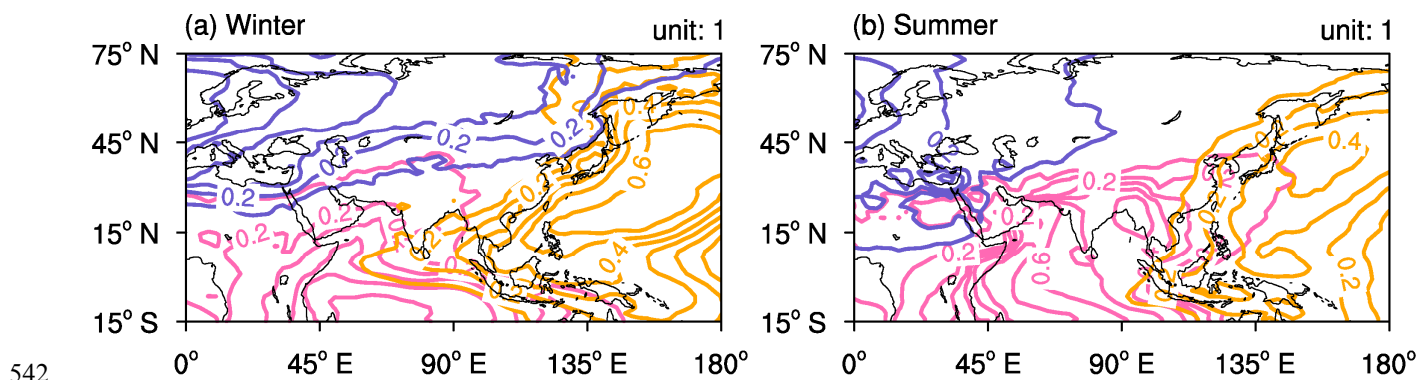
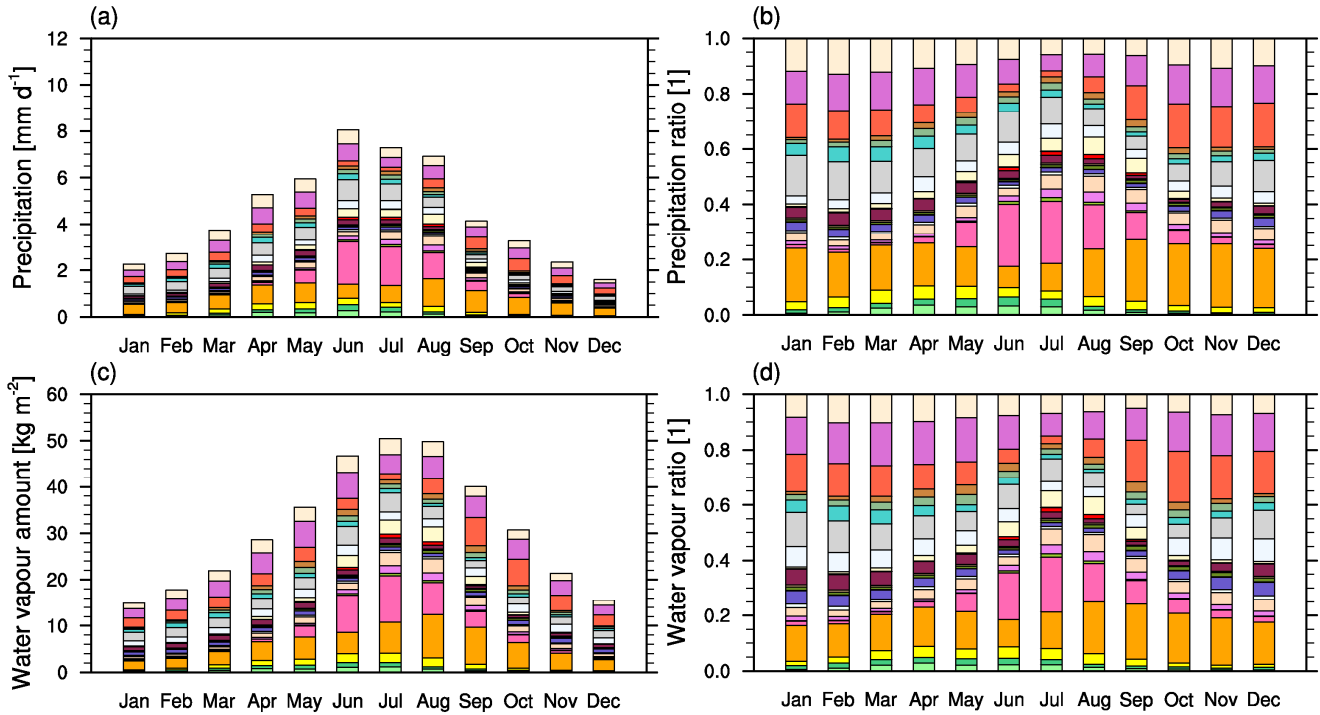
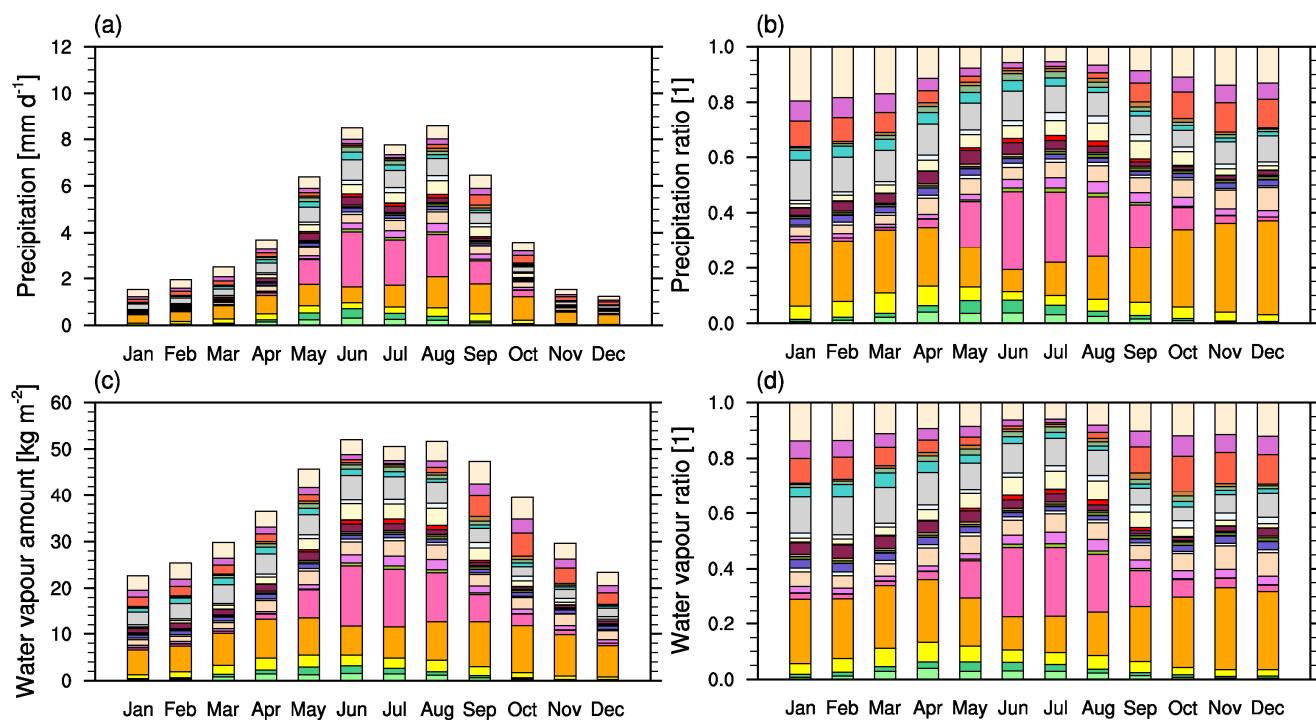


Figure 5. Distributions of the ratios of precipitation (unit: 1) supplied from the NAO (slate blue), the extended north Indian Ocean (NIO + BOB + AS, pink), and the extended Northwest Pacific (NWP + SCS, orange) during (a) winter and (b) summer. Contour interval is 0.1.



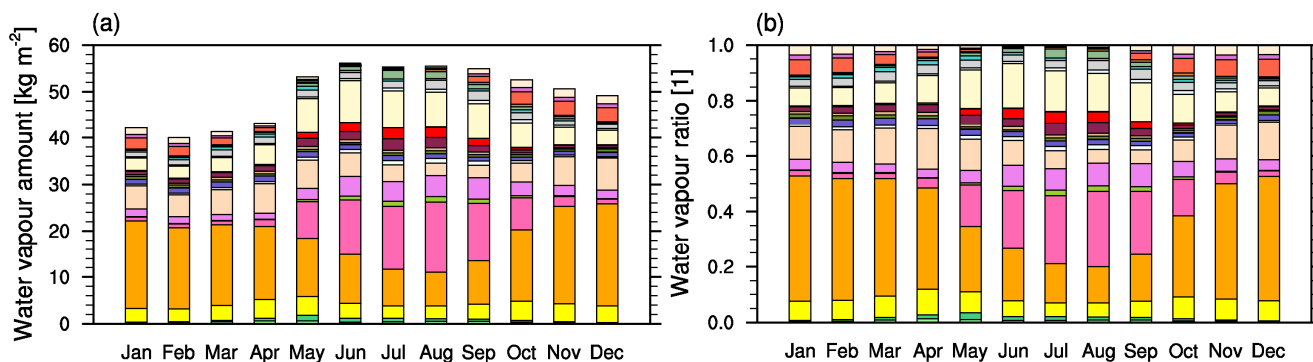
545

546 **Figure 6.** (a) Monthly averaged evaporative contributions of 25 defined source regions to the precipitation over the YRV. (b) Same as **Fig.**
547 **6a**, but for the relative contribution to precipitation. (c) Monthly averaged evaporative contributions of 25 defined source regions to the
548 tropospheric total water vapour amount over the YRV. (d) Same as **Fig. 6c**, but for the relative contribution to water vapour. Stacked
549 column colours correspond to source region colours in **Fig. 1**.



550

551 **Figure 7.** Same as **Fig. 6**, but for the contributions and relative contributions of 25 source regions to precipitation and tropospheric total
 552 water vapour amount over SCN.



553

554 **Figure 8.** (a) Monthly averaged evolution of evaporative contribution of 25 defined source regions to the tropospheric total water vapour
555 amount over the SCS. (b) Same as **Fig. 8a**, but for the relative contribution of water vapour. Stacked column colours correspond to source
556 region colours in **Fig. 1**.