

1 **r.avaflow v1, an advanced open source computational frame-**
2 **work for the propagation and interaction of two-phase mass**
3 **flows**

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16 **Abstract**

17 r.avaflow represents an innovative open source computational tool for routing rapid mass flows,
18 avalanches or process chains from a defined release area down an arbitrary topography to a depo-
19 sition area. In contrast to most existing computational tools, r.avaflow (i) employs a two-phase,
20 interacting solid and fluid mixture model (Pudasaini 2012); (ii) is suitable for modelling more or
21 less complex process chains and interactions; (iii) explicitly considers both entrainment and stop-
22 ping with deposition, i.e. the change of the basal topography; (iv) allows for the definition of mul-
23 tiple release masses and/or hydrographs; and (v) serves with built-in functionalities for validation,
24 parameter optimization and sensitivity analysis. r.avaflow is freely available as a raster module of
25 the GRASS GIS software, employing the programming languages Python and C along with the
26 statistical software R. We exemplify the functionalities of r.avaflow by means of two sets of com-
27 putational experiments: (1) generic process chains consisting in bulk mass and hydrograph release
28 into a reservoir with entrainment of the dam and impact downstream; (2) the prehistoric Acheron
29 rock avalanche, New Zealand. The simulation results are generally plausible for (1) and, after the
30 optimization of two key parameters, reasonably in line with the corresponding observations for
31 (2). However, we identify some potential to enhance the analytic and numerical concepts. Fur-
32 ther, thorough parameter studies will be necessary in order to make r.avaflow fit for reliable for-
33 ward simulations of possible future mass flow events.

34 **Keywords:** GIS raster analysis, mass flows, open source, process chains, two-phase flow model

35 1 Introduction

36 Rapid flows or avalanches of snow, debris, rock or ice, or processes, process chains or process in-
37 teractions involving more than one type of movement or material frequently lead to loss of life,
38 property and infrastructures in mountainous areas worldwide. All state-of-the-art methods for
39 anticipating the occurrence, characteristics, and dynamics of such events rely on computer simula-
40 tions. On the one hand, models attempt to identify those areas where mass flows are likely to re-
41 lease (landslide susceptibility; Guzzetti, 2006; Van Westen et al., 2006). On the other hand, they
42 attempt to anticipate the motion of rapid mass flows once they are released (Hungr et al., 2005a).
43 Whilst conceptual models (Lied and Bakkehøi, 1980; Gamma, 2000; Wichmann and Becht, 2003;
44 Horton et al., 2013; Mergili et al., 2015) are employed to identify possible impact areas at broad
45 scales, physically-based dynamic models are used for the detailed back-analysis or prediction of
46 specific events.

47 Advanced fluid dynamics offers a broad array of physically-based dynamic modelling approaches
48 for mass flows, mostly referred to as granular avalanches or debris flows. Such models often centre
49 on two-dimensional “shallow flow“ equations, but they vary considerably among themselves in
50 terms of their concept, complexity and capacity to model specific types of phenomena. Voellmy
51 (1955) pioneered mass flow modelling, followed by the work of Grigoriyan et al. (1967); Savage
52 and Hutter (1989) ; Takahashi (1991); Iverson (1997); Pitman and Le (2005); and many others (see
53 Pudasaini and Hutter, 2007 for a review). Savage and Hutter (1989) introduced depth-averaged
54 mass and momentum conservation equations which were later utilized, modified and extended by
55 Mangeney et al. (2003, 2005); Denlinger and Iverson (2004); and McDougall and Hungr (2004,
56 2005). The Savage and Hutter (1989) model was further extended to include the effects of pore
57 fluid by Iverson and Denlinger (2001); Savage and Iverson (2003); Pitman and Le (2005); Puda-
58 saini et al. (2005); Pastor et al. (2009); and Hutter and Schneider (2010a, b). Still, these approaches
59 either represent effectively one-phase models, or do not fully consider the two-phase nature of
60 most mass flows. More recently, the software GeoClaw and its extension D-Claw consider shallow
61 water and quasi two-phase flows (Berger et al., 2011; Iverson and George, 2016). Pudasaini (2012)
62 introduced a general two-phase mass flow model including several essentially new physical as-
63 pects of two-phase solid-fluid mixture flows. In comparison to one phase models, this amongst few
64 other two-phase approaches (e.g. Kowalski and McElwaine, 2013) appears suitable for the realistic
65 simulation of most types of process chains and interactions such as overtopping of a lake and a
66 subsequent flood or debris flow due to the impact of a landslide into the lake.

67 Entrainment of the basal material into the flow may substantially alter the dynamics and charac-
68 teristics of mass flows, increasing their destructive potential (Hungr and Evans, 2004; Hungr et al.,
69 2005b; Reid et al., 2011; Berger et al., 2011; Pirulli and Pastor, 2012). Empirical laws for entrain-
70 ment were proposed by Rickenmann et al. (2003); McDougall and Hungr (2005); and Chen et al.
71 (2006), whereas mechanical concepts were introduced by Fraccarollo and Capart (2002); Pit-
72 man et al. (2003a); Sovilla et al. (2006); Medina et al. (2008); and Iverson (2012). The available en-
73 trainment models are effectively single-phase, and developed for bulk debris (Armanini et al.,
74 2009; Crosta et al., 2009; Hungr and McDougall, 2009; Pirulli and Pastor, 2012). Whilst the im-

75 portance of erosion, and the associated change of the basal topography (Fraccarollo and Capart,
76 2002; Hungr and Evans, 2004; Hungr et al., 2005b; Le and Pitman, 2009) has been recognized by
77 the scientific community, attempts to simulate deposition of mass flow material are sparsely doc-
78 umented.

79 Various types of numerical schemes have been used to solve mass flow model equations in order to
80 redistribute mass and momentum (e.g. Davis, 1988; Toro, 1992; Nussyahu and Tadmor, 1990;
81 Tai et al., 2002; Wang et al., 2004). Previously, equations were commonly formulated and solved
82 for pre-defined types of topographies (Pudasaini et al., 2005, 2008; Wang et al., 2004) whereas a
83 mathematically consistent application to arbitrary mountain topographies – and therefore to real-
84 world conditions – still remains a challenge (Mergili et al., 2012). This issue is closely related to
85 the fact that the model equations are commonly expressed in topography-following coordinates
86 hardly compatible with global Cartesian coordinates, which usually appear in Geographic Infor-
87 mation Systems (GIS) and are referred to as GIS coordinates in the following. Nevertheless, some
88 of the mass flow models mentioned have been implemented in computational tools used for haz-
89 ard mapping and zoning, such as DAN (Hungr et al., 1995); TITAN2D (Pitman et al., 2003b; Pit-
90 man and Le, 2005); SamosAT (Sampl and Zwinger, 2004); or RAMMS (Christen et al., 2010a, b).
91 Hergarten and Robl (2015) developed a modelling tool relying on the open source flow solver
92 GERRIS (Popinet, 2009).

93 None of these models explicitly consider stopping and deposition, and they offer only basic func-
94 tionalities for simulating chains or interactions of two-phase mass flows. There is, however, a par-
95 ticular need to appropriately consider process chains and interactions in mass flow simulations:
96 some of the most destructive events in history have evolved from cascading effects, such as the
97 1970 Huascarán event in Peru (Evans et al., 2009) or the 2002 Kolka-Karmadon event in Russia
98 (Huggel et al., 2005).

99 The present work addresses some of the needs and issues raised by introducing the multi-
100 functional open source computational framework `r.avaflow`, employing an enhanced version of
101 the Pudasaini (2012) two-phase flow model for routing mass flows from a defined release area
102 down arbitrary topography to a deposition area. Next, we introduce the structure and components
103 of `r.avaflow` (Sect. 2). Then we perform two computational experiments in order to demonstrate
104 the functionalities of the computational framework (Sect. 3). We discuss the implementation of
105 `r.avaflow` and the implications of our findings (Sect. 4), and finally conclude with the key messag-
106 es of the work and a brief outlook to the next steps (Sect. 5).

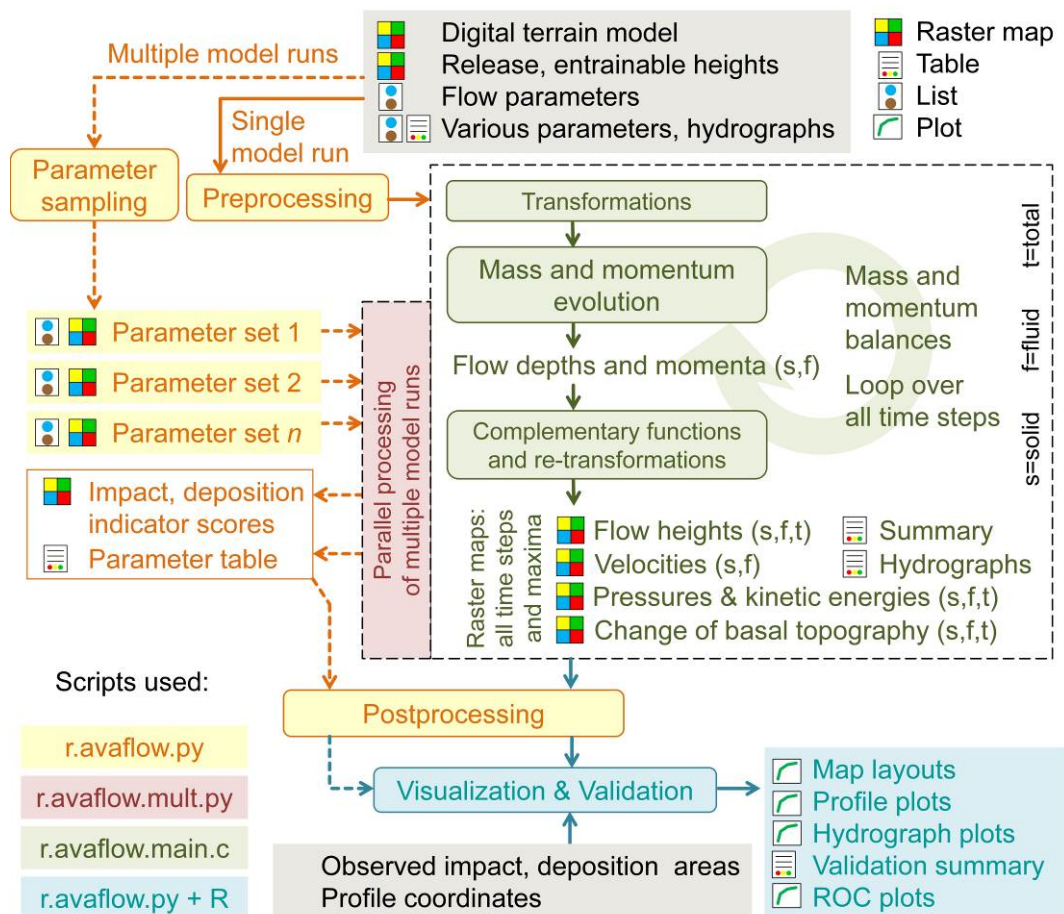
107 **2 The computational framework `r.avaflow`**

108 **2.1 Computational implementation**

109 `r.avaflow` computes the propagation of mass flows from one or more given release areas over a de-
110 fined basal topography until (i) all the material has stopped and deposited; (ii) all the material has
111 left the area of interest; or (iii) a user-defined maximum simulation time has been reached.

112 r.avaflow is developed along two lines with regard to its software environment and operation,
 113 r.avaflow [EXPERT] and r.avaflow [PROFESSIONAL]. The latter represents a stand-alone version
 114 with still reduced functionalities. It is operated through a graphical user interface (GUI), suitable
 115 for practitioners. The present work, however, refers to r.avaflow [EXPERT] which is implemented
 116 as a raster module of the open source software package GRASS GIS 7 (Neteler and Mitasova, 2007;
 117 GRASS Development Team, 2016). We use the Python programming language for data manage-
 118 ment, pre-processing and post-processing tasks (module r.avaflow). The flow propagation proce-
 119 dure (see Sect. 2.3 and 2.4) is written in the C programming language (sub-module
 120 r.avaflow.main). Together with Python, the R software environment for statistical computing and
 121 graphics (R Core Team, 2016) is employed for built-in validation and visualization functions.
 122 Fig. 1 illustrates the logical framework of r.avaflow.

123 Multiple model runs may be executed in parallel, exploiting all computational cores available (see
 124 Sect. 2.5). This speeds up the processing considerably, and allows the use of r.avaflow on computa-
 125 tional clusters. Parallelization is implemented at the Python level (Mergili et al., 2014, 2015): for
 126 each model run a batch file is produced within the module r.avaflow. This batch file calls the Py-
 127 thon-based sub-module r.avaflow.mult, launching r.avaflow.main which is then executed with the
 128 specific parameters for the associated model run. Thereby, the Python library “Threading”, a high-
 129 er-level threading interface is exploited. The Python class “Queue” is employed for handling the
 130 queue of items to be processed.



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132 Figure 1 Logical framework of r.avaflow. The transformations and re-transformations refer to the
133 conversion of heights and GIS coordinates to depths and topography-following coordinates, and
134 vice versa (see Sect. 2.3).

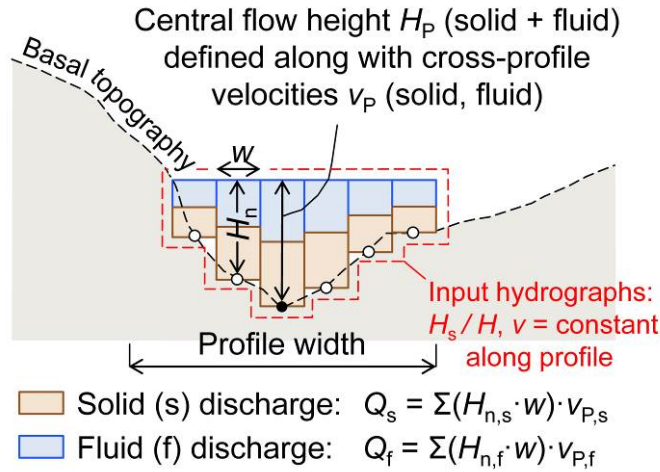
135 r.avaflow was developed and tested with the operating systems (OS) Ubuntu 12.04 LTS and
136 16.04 LTS, and Scientific Linux 6.6 (Red Hat). It is expected to work on other UNIX systems, too.
137 A simple user interface is available. However, the tool may be started more efficiently through
138 command line parameters, enabling a straightforward batching on the shell script level. This fea-
139 ture facilitates model testing and the combination with other GRASS GIS modules.

140 Experiments where parallel processing is not applied are performed on an Intel® Core i7 975 with
141 3.33 GHz and 16 GB RAM (DDR3, PC3-1333 MHz), exploring a maximum of eight cores through
142 hyperthreading and using the OS Ubuntu 12.04 LTS. All experiments with parallel processing are
143 performed on the Vienna Scientific Cluster, serving with approx. 2020 nodes (Supermicro
144 X9DRD-iF Board), each equipped with two Intel Xeon E5-2650v2 with 2.6 GHz und 8 · 8 GB
145 RAM. The OS for these computations is Scientific Linux 6.6 (Red Hat).

146 **2.2 Input and output**

147 The key input parameters of r.avaflow are summarized in Table 1. Essentially, r.avaflow relies on
148 (i) a digital terrain model (DTM) representing the elevation of the basal surface (in the release are-
149 as beneath the release mass) before the event under investigation; (ii) raster maps of the spatial
150 distribution of the solid and fluid release heights or hydrographs of solid and fluid release; (iii) a
151 set of flow parameters (Table 2). Input raster maps of the entrainable solid and fluid heights, and a
152 raster map or value defining the empirical entrainment coefficient (needed for entrainment) are
153 optional. Instead of the solid and fluid release and entrainable heights, the total heights and fixed
154 values of the solid concentration may be defined.

155 There is no restriction imposed on the arrangement of the release cells. With the term ‘cell’ we
156 refer to a regular, square, equidistant, ground projected computational/numerical unit, i.e. an ele-
157 ment of a GIS raster. Patches of cells where the release height is larger than zero may be defined
158 in various parts of the investigation area. An arbitrary number of release hydrographs – each asso-
159 ciated to a given set of coordinates – can be defined alternatively or in addition to the different
160 release masses. This allows the simulation of complex interactions between different types of pro-
161 cesses (see Sect. 3). Hydrographs are defined through their solid and fluid heights at the centre
162 point of the hydrograph profiles, and by the solid and fluid flow velocities. The flow height distri-
163 bution along the hydrograph profile – which should be aligned perpendicular to the main flow
164 direction – is derived from the assumptions of a horizontal cross section of the flow table and a
165 maximum profile length (Fig. 2).



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Figure 2 Sketch of a hydrograph profile. The flow surface of input hydrographs is defined by H_p and is extended in cross-profile direction either to the edge of the profile or until it intersects with the basal topography.

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Table 1 Key input and output parameters of r.avaflow. s = solid; f = fluid; t = total. Remarks: 1 – mandatory; 2 – one of the input data sets A, B or C+D is mandatory, C+D may also be provided in addition to A or B; $m_D \geq n_C$, if $m_D > n_C$ the remaining sets of D are output hydrographs; 3 – either A or B may be provided if entrainment is activated, otherwise all values of $H_{E,max} = \infty$; C is mandatory with entrainment; 4 – at least one of the data sets A, B and C is mandatory for validation.

Parameter	Symbol	Unit	Format	Remarks
Input				
Initial elevation of basal surface	Z_0	m	Raster map	1
s, f release heights	$H_{0,s}, H_{0,f}$	m, m	Raster maps	2A
Total release height, s concentration of release mass	H_0, α_{s0}	m, –	Raster map, value	2B
s, f entrainable heights	$H_{E,max,s}, H_{E,max,f}$	m, m	Raster maps	3A
Entrainable total height, s concentration of entrainable mass	$H_{E,max}, \alpha_{s,E,max}$	m, –	Raster map, value	3B
n_C hydrograph tables: s and f flow heights and velocities at defined points of time (see Fig. 2)	$H_{P,s}, v_{P,s}$ $H_{P,f}, v_{P,f}$	m, m s ⁻¹ m, m s ⁻¹	Tables	2C
m_D sets of centre coordinates, length and aspect of hydrograph	–	m, degree	Sets of four values	2D
Flow parameters (see Table 2)	–	–	Set of 14 values	1
Entrainment coefficient (see Table 2)	C_E	kg ⁻¹	Value	3C
Time interval for output, max. time after which simulation terminates	$\Delta t_{out}, t_{term}$	s, s	Set of 2 values	1
Threshold flow height for visualization and validation	H_t	m	Value	1
Observed impact area, observed deposition area	OIA, ODA	–, –	Raster maps	4A, B
Vertex coordinates of flow path	–	m	Even number of ≥ 4 values	4C

Output (excluding validation and visualization output; see Sect. 2.6)				
Maximum flow height, kinetic energy, and pressure (each for s, f, t)	$H_{Max}, T_{Max}, p_{Max}$	m, J, Pa	Raster maps	Always
Flow height, flow kinetic energy, and flow pressure at each output time step t_{out} (each for s, f, t)	$H_{t_{out}}, T_{t_{out}}, p_{t_{out}}$	m, J, Pa	Raster maps	Always
Flow velocities in x and y direction, and in absolute values (each for s, f)	v_x, v_y, v	$m\ s^{-1}$	Raster maps	Always
Change of basal topography (s, f, t)	H_c	m	Raster maps	Always
Impact indicator index, deposition indicator index	III, DII	–, –	Raster maps	Multiple runs
m_D – m_C output hydrograph tables: flow heights, velocities and discharges at defined points of time (s, f)	H_p, v_p, Q	m, $m\ s^{-1}$, $m^3\ s^{-1}$	Tables	If $m_D > m_C$

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176 Mandatory parameters further include the time interval at which output maps are written Δt_{out} (s),
 177 the maximum time after which the simulation terminates, and the threshold flow height for visu-
 178 alization and validation H (m; see Table 1). Optional parameters further include raster maps of the
 179 observed impact area and deposition height as well as a set of flow path coordinates (for validation
 180 and visualization; see Fig. 1 and Sect. 2.6). An exhaustive list of input parameters is provided in the
 181 user manual of r.avaflow, available at <http://www.avaflow.org/software.html>.

182 If a single model run is executed (see Fig. 1), the output of r.avaflow consists in raster maps of sol-
 183 id, fluid and total flow heights, flow velocities in x and y direction and in absolute terms, pressures
 184 and kinetic energies, and the change of the basal topography (only relevant with entrainment or
 185 stopping; see Sect. 2.4). All raster maps are produced for each output time step (defined by Δt_{out})
 186 and for the maximum over all time steps. Further, a table summarizing the maximum solid and
 187 fluid flow heights and velocities as well as flow volumes and kinetic energies for all output time
 188 steps is produced. Optionally, solid and fluid output hydrographs are generated for an arbitrary
 189 number of given output hydrograph profiles (see Table 1 and Fig. 2). With multiple model runs,
 190 the results of each single run are aggregated to impact or deposition indicator indices (see
 191 Sect. 2.5). In the present work we focus on the output heights, hydrographs and indices when ana-
 192 lyzing the results, rather than on velocities or deduced results such as pressures or kinetic energies
 193 (see Sect. 3).

194 2.3 Mass and momentum evolution

195 The core functionality of r.avaflow consists in the redistribution of mass and momentum, employ-
 196 ing a dynamic flow model and a numerical scheme. Thereby the tool offers implementations (i) of
 197 a single-phase shallow water model with Voellmy friction relation (Christen et al., 2010a, b;
 198 Fischer et al., 2012) and (ii) essentially the Pudasaini (2012) two-phase flow model with ambient
 199 drag (Kattel et al., 2016) and a set of additional numerical treatments (complementary functions)
 200 outlined in Sect. 2.4. In the present work we only consider the implementation (ii). It builds on
 201 the conservation of mass and momentum, computed separately but simultaneously for the solid

202 and fluid components of the flow. A system of six differential equations (expressed in locally to-
 203 pography-following coordinates) represents the basis for a set of six flux and source terms, regard-
 204 ing solid and fluid flow depths (D_s , D_f), solid momentum M_s and fluid momentum M_f in x direction
 205 ($M_{sx} = D_s \cdot v_{sx}$, $M_{fx} = D_f \cdot v_{fx}$), and M_s and M_f in y direction ($M_{sy} = D_s \cdot v_{sy}$, $M_{fy} = D_f \cdot v_{fy}$), where v is
 206 flow velocity.

207 The Pudasaini (2012) model employs the Mohr-Coulomb plasticity for the solid stress. The fluid
 208 stress is modelled as a solid-volume-fraction-gradient-enhanced non-Newtonian viscous stress.
 209 The generalized interfacial momentum transfer includes viscous drag, buoyancy, and virtual mass
 210 induced by relative acceleration between the phases. A new, generalized drag force is proposed
 211 that covers both solid-like and fluid-like contributions. Strong coupling between the solid- and
 212 the fluid-momentum transfer leads to simultaneous deformation, mixing, and separation of the
 213 phases. Inclusion of the non-Newtonian viscous stresses is important in several aspects. The advec-
 214 tion and diffusion of the solid volume fraction play an important role. The model includes a num-
 215 ber of innovative, fundamentally new, and dominant physical aspects. Please consult Pudasaini
 216 (2012) for the full details of the model, including the corresponding equations. The flow param-
 217 eters required are summarized in Table 2.

218 Solving the differential equations and propagating the flow from one cell to the next requires the
 219 implementation of a numerical scheme. For this purpose r.avaflow employs a high resolution Total
 220 Variation Diminishing Non-Oscillatory Central Differencing (TVD-NOC) Scheme, a numerical
 221 scheme useful to avoid unphysical numerical oscillations (Nessyahu and Tadmor, 1990). Cell aver-
 222 ages of all six state variables are computed using a staggered grid: the system is moved half of the
 223 cell size with every time step, the values at the corners of the cells and in the middle of the cells
 224 are computed alternatively at half and full time steps, respectively. The TVD-NOC scheme with
 225 the Minmod limiter has successfully been applied to a large number of mass flow problems (Tai et
 226 al., 2002; Wang et al., 2004; Mergili et al., 2012; Pudasaini and Krautblatter, 2014; Kafle et al.,
 227 2016; Kattel et al., 2016).

228 Table 2 Flow parameters and entrainment coefficient required with the enhanced version of the
 229 Pudasaini (2012) two-phase flow model. Exp. 1 and 2 refer to the values used for the computation-
 230 al experiments introduced in Sect. 3.

Symbol	Parameter	Unit	Exp. 1A, B, C	Exp. 2A, B
ρ_s	Solid material density (grain density)	kg m ⁻³	2700	2700
ρ_f	Fluid material density	kg m ⁻³	1000	1000
φ	Internal friction angle	Degree	35	35
δ	Basal friction angle ¹⁾	Degree	20	15–25, 17
C_{VM}	Virtual mass	–	0.5	0.5
v_T	Terminal velocity	m s ⁻¹	1	1
P	Parameter for combination of solid- and fluid-like contributions to drag resistance	–	0.5	0.5
Re_P	Particle Reynolds number	–	1	1
J	Exponent for drag (1 = linear, 2 = quadratic)	–	1	1
N_R	Quasi Reynolds number	–	30,000	30,000

N_{RA}	Mobility number	–	1,000	1,000
χ	Viscous shearing coefficient for fluid	–	0	0
ξ	Solid concentration distribution with depth	–	0	0
C_{AD}	Ambient drag coefficient ²⁾	–	0	0
C_E	Entrainment coefficient ¹⁾	kg^{-1}	–, $10^{-5.3}$, $10^{-6.0}$	–

231 ¹⁾ Alternatively, these parameters may be provided as raster maps instead of global values; ²⁾ Refer
232 to Kattel et al (2016) for ambient drag
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234 The input and output of r.avaflow (see Sect. 2.2) is discretized on the basis of GIS coordinates, i.e.
235 in cells which are rectangular in shape in the ground projection. For the numerical solution the
236 cell lengths in x and y directions, and the area, are corrected for the local slope in order to main-
237 tain consistency with the state variables expressed in the local topography-following coordinates.
238 Gravitational acceleration in the topography-following x, y, and z directions – representing a fun-
239 damental input to the Pudasaini (2012) model equations – is computed from the DTM, employing
240 a finite central difference scheme. All input heights H (m) are expressed in vertical direction, and
241 are converted into depths D (m) expressed in direction normal to the local topography as in the
242 model equation formulation. The resulting depths are converted into heights for output. The time
243 step length Δt is dynamically updated according to the CFL condition (Courant et al., 1967;
244 Tai et al., 2002; Wang et al., 2004).

245 We note that all total (solid + fluid) heights and depths represent the real-world heights and
246 depths only if all the pores in the solid material are filled with fluid (pores filled with air are ex-
247 cluded).

248 2.4 Complementary functions

249 Table 3 summarizes some additional functions of r.avaflow. The functions with ID 1–3 have been
250 introduced to compensate for deficiencies of the numerical scheme and its implementation experi-
251 enced with complex real-world flows (see Sect. 4). Entrainment and stopping, in contrast, repre-
252 sent dynamic functions not covered by the Pudasaini (2012) model and are executed at the end of
253 each time step (see Fig. 1). Even though the separation of the complementary functions from the
254 TVD-NOC scheme, and their treatment in a simple forward Euler manner, can be questioned
255 physically and mathematically, we consider the current implementation a reasonable first approx-
256 imation (see Sect. 4). We now elaborate the concepts employed for entrainment as well as for
257 stopping and deposition in more detail.

258 Table 3 Functionalities of r.avaflow introduced for numerical purposes (ID 1–3) or complementing
259 the Pudasaini (2012) model (ID 4,5). Exp. 1 and 2 refer to the computational experiments intro-
260 duced in Sect. 3; Y = activated; N = deactivated.

ID	Function	Description	Exp. 1ABC	Exp. 2AB
1	Diffusion control	Propagation of the flow from one cell to the next is suppressed if the velocity is	YYY	YY

		not high enough, reducing numerical diffusion		
2	Conservation of volume	Flow volume lost due to numerical reasons is replaced through an increase of D of all cells by the fraction of lost volume after each time step	YYY	YY
3	Surface control	Numerical oscillations of undisturbed flat surfaces (such as reservoirs) are avoided	YYY	NN
4	Entrainment	Empirical approach to compute entrainment of basal material	NYY	NN
5	Stopping and deposition	Energy balance approach for stopping and deposition of flow material	NNN	YY

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262 Full handling of the evolution of the basal topography within the TVD-NOC scheme is not
 263 straightforward and could also produce some diffusion. Therefore, and as entrainment is not in-
 264 cluded in the original Pudasaini (2012) model, entrainment is treated as a complementary function
 265 in a first step. We note, however, that the time steps at which entrainment and the change of the
 266 basal topography are updated are identical to the time steps of the numerical scheme. The poten-
 267 tial solid and fluid entrainment rates $q_{E,s}$ and $q_{E,f}$ (expressed perpendicular to the basal topography)
 268 build on the user-defined empirical entrainment coefficient C_E (see Table 2) and the solid and flu-
 269 id momenta. We assume a vertically homogeneous solid fraction $\alpha_{s,E_{\max}}$ within the entrainable ma-
 270 terial, which is reflected in the ratio between $q_{E,s}$ and $q_{E,f}$:

$$271 \quad q_{E,s} = C_E |M_s + M_f| \alpha_{s,E_{\max}}, \quad q_{E,f} = C_E |M_s + M_f| (1 - \alpha_{s,E_{\max}}). \quad (1)$$

272 The fact that the basal velocities, which are relevant for entrainment, are lower than the depth-
 273 averaged velocities is not explicitly considered, but has to be reflected in the value of C_E . $q_{E,s}$ and
 274 $q_{E,f}$ are always positive. The solid and fluid changes of the basal topography $H_{E,s}$ and $H_{E,f}$ due to en-
 275 trainment are:

$$276 \quad H_{E,s,t} = \min \left(H_{E,s(t-\Delta t)} + \frac{q_{E,s} \Delta t}{\cos \beta}, H_{E_{\max,s}} \right), \quad (2)$$

$$277 \quad H_{E,f,t} = \min \left(H_{E,f(t-\Delta t)} + \frac{q_{E,f} \Delta t}{\cos \beta}, H_{E_{\max,f}} \right), \quad (3)$$

278 where $H_{E,s(t-\Delta t)}$ and $H_{E,f(t-\Delta t)}$ are the change of the basal topography at the start of the time step, H_{E-}
 279 $_{\max,s}$ and $H_{E_{\max,f}}$ are the maximum entrainable depths at the given cell, t is the time passed at the
 280 end of the time step, Δt is the time step length, and β is the local slope of the basal surface. The
 281 division by $\cos \beta$ approximates the conversion from depths to heights. The solid and fluid en-
 282 trained depths $D_{E,s} = (H_{E,s,t} - H_{E,s(t-\Delta t)}) \cos \beta$ and $D_{E,f} = (H_{E,f,t} - H_{E,f(t-\Delta t)}) \cos \beta$ are added to the solid and
 283 fluid flow depths. We further assume that entrainment increases the solid and fluid momentum of
 284 the flow in each direction by the product of the entrained solid and fluid depth and the velocity in
 285 the given direction (M_E ; Fig. 3a). The basal topography and, consequently, the x and y cell sizes,

286 cell areas, and gravitational acceleration components in x, y, and z direction are updated after each
 287 time step.

288 The changes in gravitational acceleration also influence the magnitude of the frictional terms
 289 (Pudasaini and Hutter, 2003), which are important for stopping processes. In the literature few
 290 approaches explicitly consider stopping processes directly in their numerical scheme by operator
 291 splitting methods coupled with the determination of admissible stresses (e.g. Mangeney et al.,
 292 2003; Zhai et al., 2015). Here, in order to consider stopping which occurs at a spatial scale that is
 293 not numerically resolved, we choose a different approach by proposing the dimensionless factor of
 294 mobility FoM , relating the distance required for stopping s_{stop} to the numerical spatial resolution
 295 Δs in the direction of movement. The flow stops if $s_{\text{stop}} \leq \Delta s$, i.e. $FoM \leq 1$ (see Fig. 3b):

$$296 \quad FoM = \frac{s_{\text{stop}}}{\Delta s}. \quad (4)$$

297 To estimate s_{stop} we formulate the energy balance considering that the initial kinetic energy at an
 298 initial velocity v_0 and the change of potential energy while travelling the distance s_{stop} have trans-
 299 formed in dissipative energy due to Coulomb friction, which dominates close to stopping. With
 300 this the energy balance estimate yields:

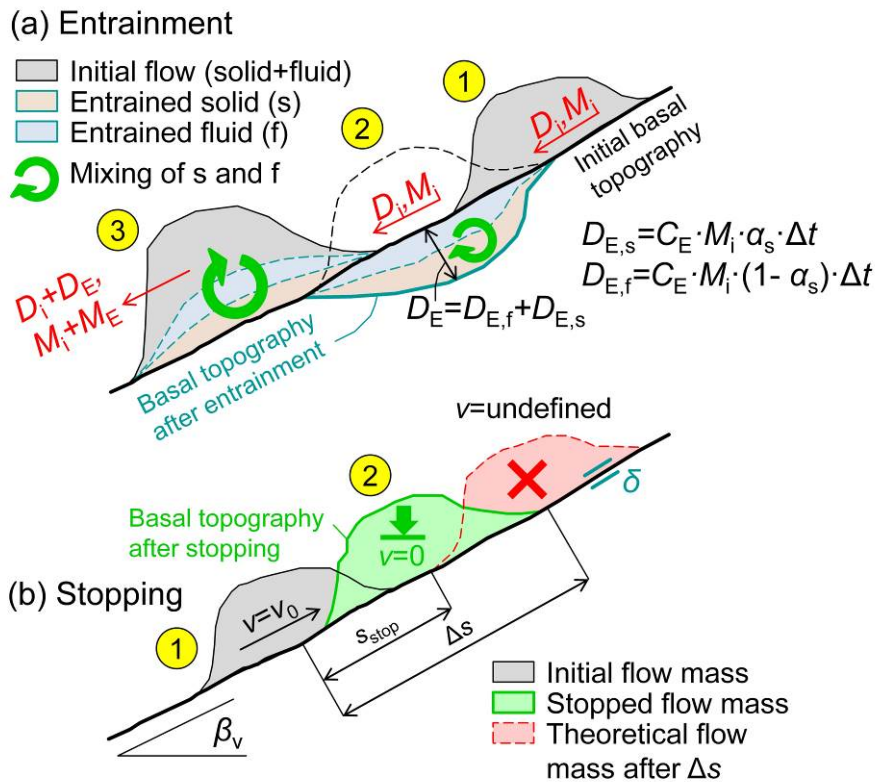
$$301 \quad \frac{v_0^2}{2} + s_{\text{stop}} \sin \beta_v g = s_{\text{stop}} \tan \delta \cos \beta_v g. \quad (5)$$

302 Consequently,

$$303 \quad s_{\text{stop}} = \frac{v_0^2}{2g \cos \beta_v (\tan \delta - \tan \beta_v)}, \quad (6)$$

304 where δ is the basal friction angle, β_v is the slope angle in the direction of movement, and g is
 305 gravitational acceleration (see Table 2). According to Eq. 6 the stopping distance s_{stop} is positive for
 306 $\delta > \beta_v$, meaning that stopping is possible when the friction angle is higher than the slope angle, i.e.
 307 in particular at flat or even counter slopes. We note that, by a simple transformation of Eq. 6, FoM
 308 can alternatively be derived by relating the stopping time to the time step length. The stopping
 309 criterion is only relevant for $v_0 > 0$.

310 FoM can relate to various spatial units: (i) a single cell, i.e. FoM is computed separately for each
 311 cell. It may happen that stopping of the flow occurs at a certain cell, but not at its neighbour cells.
 312 (ii) v_0 and β_v are averaged over a certain cell neighbourhood to compute FoM , so that stopping oc-
 313 curs at patches of adjacent cells. (iii) β_v and the associated component of v are averaged over the
 314 entire area of interest. This means that the entire flow stops at once.



315
316 Figure 3 Interactions of the flow with the basal topography: (a) Entrainment, assuming that $H_{E_{\max,s}}$
317 and $H_{E_{\max,f}}$ are not limiting. D = total initial flow depth (s+f); M = total initial momentum (s+f);
318 D_E = entrained depth; M_E = total increase in momentum due to entrainment (s+f). (b) Stopping and
319 deposition. Both panels represent sections along the steepest slope of the basal topography. Note
320 that stopping and deposition usually occur on less inclined slopes than drawn in (b) which repre-
321 sents upslope movement.

322 The third possibility is currently implemented with `r.avaflow` as an optional function. If activated,
323 the simulation terminates as soon as stopping occurs and the entire flow material is deposited.
324 Note that, in the current implementation, stopping and deposition always consider the total mass,
325 without differentiating between the solid and the fluid components. This simplification is reason-
326 able for flows characterized by a relatively small fluid volume fraction. The change of basal topog-
327 raphy due to entrainment H_E after the last time step is subtracted from the height of the deposited
328 material H_D in order to derive the change of basal topography (or net deposition) H_C at the end of
329 the simulation (positive for an increase, negative for a decrease of terrain elevation).

330 2.5 Multiple model runs

331 `r.avaflow` includes a built-in function to perform multiple model runs at a time with controlled or
332 random variation of uncertain input parameters between given lower and upper thresholds. Es-
333 sentially, this concerns the flow parameters (see Table 2), but also the solid concentration of the
334 release mass α_{s0} . Multiple parameters can be varied at a time. This procedure serves for two pur-
335 poses:

- 336 • It facilitates multi-parameter sensitivity analysis and optimization efforts;

337 • The results of all model runs are aggregated to an impact indicator index (*III*) and a deposi-
338 tion indicator index (*DII*), each in the range 0–1. *III* represents the fraction of model runs
339 where $H_{\text{Max}} \geq H_t$ at a given cell whilst *DII* represents the fraction of model runs where
340 $H_c \geq H_t$ at a given cell. *III* and *DII* are used to account for uncertain input parameters in
341 the simulation result.

342 The model runs can be split among multiple computational cores (parallel processing), enabling
343 the exploitation of high-performance computational environments (see Sect. 2.1).

344 2.6 Validation and visualization

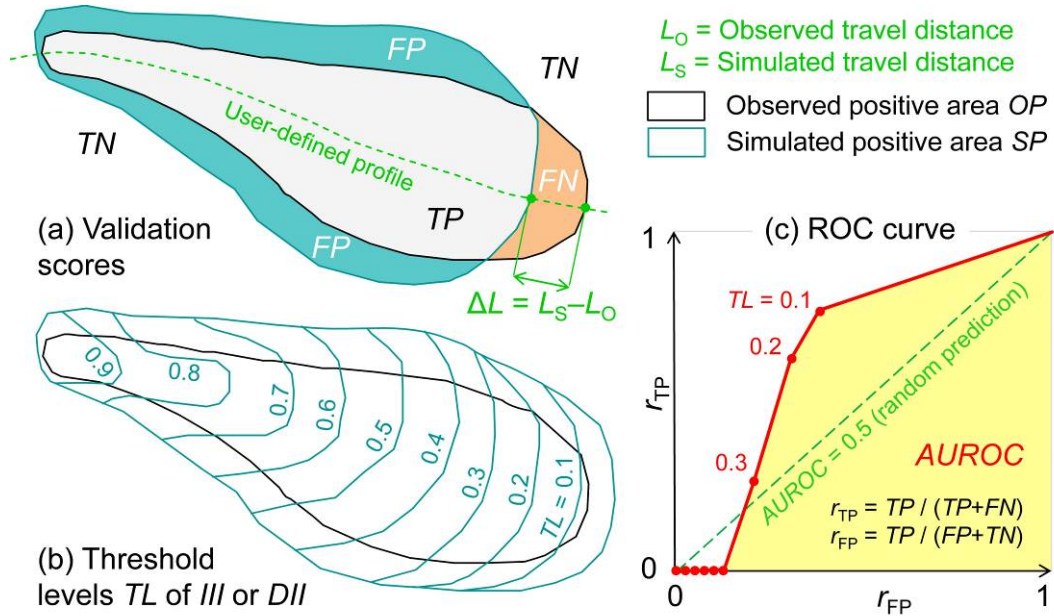
345 r.avaflow can be used to produce map layouts and animations of the key results (see Fig. 1). It fur-
346 ther includes built-in functions to validate the model results against observations. Validation relies
347 (i) on the availability of a raster map of the observed impact or deposition area of the event under
348 investigation, (ii) on a user-defined profile along the main flow path (see Table 1), or (iii) on
349 measurements of H or v at selected coordinates and time steps. Those cells with observed impact
350 or deposition are referred to as observed positives (*OP*), those without observed impact or deposi-
351 tion as observed negatives (*ON*). When using the observed impact area (OIA) as reference, all cells
352 with $H_{\text{Max}} \geq H_t$ are considered as predicted positives (*PP*), all cells with $H_{\text{Max}} < H_t$ are considered as
353 predicted negatives (*PN*). When using the observed deposition area (ODA) as reference, all cells
354 with $H_c \geq H_t$ are considered as PP, all cells with $H_c < H_t$ are considered as PN. Intersecting *ON* and
355 *OP* with *PP* and *PN* results in four validation scores: true positive (*TP*), true negative (*TN*), false
356 positive (*FP*) and false negative (*FN*) predictions (Fig. 4). *TN* strongly depends on the size of the
357 area of interest. It is normalized to $5 \cdot (TP + FN) - FP$ in order to allow a meaningful comparison of
358 model performance among different case studies. These scores build the basis for most of the vali-
359 dation parameters described in Table 4. Only the excess travel distance ΔL relies on the observed
360 and simulated terminal points of the flow, based on a user-defined longitudinal profile. We note
361 that this profile is only needed for validation, but is not used for the mass flow simulation itself.

362 Values of $\Delta L > 0$ and $FoC > 1$ indicate conservative results (simulated impact or deposition area is
363 larger than observed impact or deposition area) whilst values of $\Delta L < 0$ and $FoC < 1$ indicate non-
364 conservative results. *CSI*, *D2PC*, and *AUROC* do not allow to conclude on the conservativeness of
365 the results. ΔL , FoC , *CSI*, and *D2PC* as defined in Table 4 target at the validation of H_{Max} or H_c de-
366 rived with one single model run. With multiple model runs (see Sect. 2.5) those validation param-
367 eters are computed separately for each run, allowing to conclude on the sensitivity of the model
368 performance to given input parameters, or to optimize input parameter values. In this sense, opti-
369 mum parameters always refer to one particular criterion, and different criteria may suggest differ-
370 ent optimum parameter values.

371 In contrast, ROC (Receiver Operating Characteristics) curves are used to test the performance of
372 the overall output of multiple model runs. Such curves are produced for *III* (OIA as reference)
373 and/or *DII* (ODA as reference): the true positive rate is plotted against the false positive rate for
374 various levels of *III* or *DII*. The area under the curve connecting the resulting points, *AUROC*, is

375 used as an indicator for model performance ($AUROC \approx 1$ indicates an excellent performance; see
 376 Fig. 4 and Table 4).

377 Further, the difference between observed and simulated values of H and v at selected sets of coor-
 378 dinates and points of time can be analyzed. This function is mainly useful for very well-
 379 documented case studies such as laboratory experiments and is not further used in the present
 380 work.

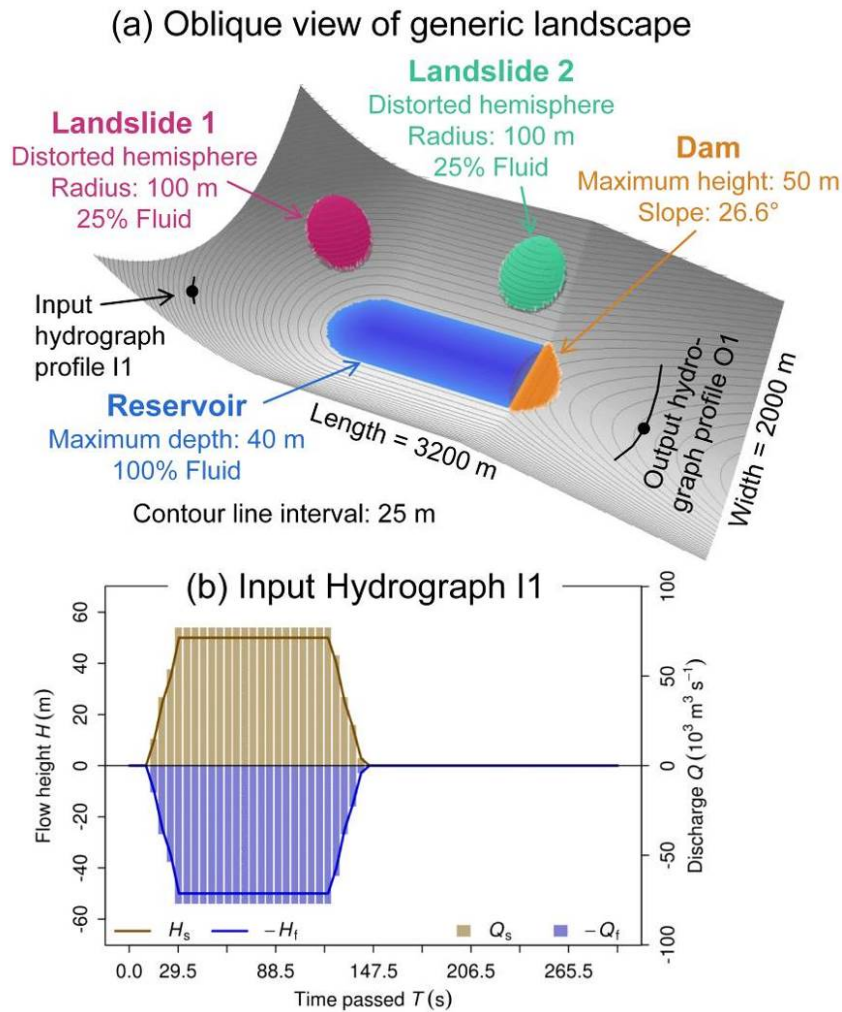


381 Figure 4 Validation of r.avafLOW results. (a) Validation scores for single model run; (b) multiple
 382 model runs: threshold levels of III or DII , employed to produce (c) ROC curves.
 383

384 Table 4 Validation criteria used in r.avafLOW (see also Fig. 4). S = single model run, binary simula-
 385 tion result; M = multiple model runs, simulation result in the range 0–1. The concepts of CSI and
 386 $D2PC$ are taken from Formetta et al. (2015). All validation parameters are computed for H_{Max} (OIA
 387 as reference) and/or H_b (ODA as reference), depending on which of the reference data are availa-
 388 ble.

Scope	Name	Definition	Possible range	Optimum
S	Excess travel distance ΔL	$L_s - L_o$	$[-L_o, \infty]$	0.0
S	Factor of conservativeness FoC	$FoC = \frac{PP}{OP} = \frac{TP + FP}{TP + FN}$	$[0, \infty]$	1.0
S	Critical success index CSI	$CSI = \frac{TP}{TP + FP + FN}$	$[0, 1]$	1.0
S	Distance to perfect classi- fication $D2PC$	$D2PC = \sqrt{(1 - r_{TP})^2 + r_{FP}^2}$ $r_{TP} = \frac{TP}{OP}, r_{FP} = \frac{FP}{ON}$	$[0, 1]$	0.0
M	Area under ROC curve $AUROC$	Function of r_{TP} and r_{FP} for different levels of DII or III (see Fig. 4)	$[0, 1]$	1.0

389



390
391 Figure 5 Generic landscape used for Experiment 1A–C. (a) Oblique view illustrating the topogra-
392 phy and elements of the landscape. (b) Input hydrograph I1 employed for Experiment 1C.

393 3 Computational experiments

394 3.1 Experiment 1: Generic process chain

395 3.1.1 Topographic setup

396 In a first step, the potential of r.avaflow for simulating process chains is demonstrated, considering
397 the interaction between one or more landslides, a reservoir, and the dam impounding the reser-
398 voir. This experiment represents a follow-up to the work of Pudasaini (2014); Kafle et al. (2016);
399 and Kattel et al. (2016). We construct a generic landscape of size 3200 m · 2000 m, illustrated in
400 Fig. 5a. This landscape consists of the following elements: (i) W–E stretching trough-shaped valley
401 with an amphitheatre-shaped head, inclined towards E in its lower part; (ii) dam with a trapezoi-
402 dal cross section running across the valley, consisting of 100% solid material; (iii) reservoir im-
403 ponded by the dam; (iv) landslide release mass near the NW corner of the area of interest (Land-
404 slide 1); (v) landslide release mass directly N of the dam (Landslide 2); (vi) hydrograph release of
405 landslide near the SW corner of the area of interest; (vii) measurement profile for output hydro-

406 graph downstream from the dam. Both landslide release masses assume the shape of a hemi-
407 ellipsoid imposed on the basal topography (see Fig. 5a). The algorithm for exactly reproducing the
408 generic landscape in GRASS GIS is available at <http://www.avaflo.org/casestudies.html>.

409 **3.1.2 Modelling strategy and parameterization**

410 The landslides 1 and 2 consist of 75% solid and 25% fluid by volume (uniformly mixed), the input
411 hydrograph I1 (see Fig. 5b) consists of 50% each solid and fluid per volume. The parameters and
412 settings applied are summarized in the Tables 2 and 3.

413 Three computational experiments are performed, with increasing complexity from A–C:

- 414 • Experiment 1A: Landslide 1 is released and interacts with the reservoir. The dam is as-
415 sumed stable and may therefore not be entrained.
- 416 • Experiment 1B: Again, Landslide 1 is released and interacts with the reservoir. However,
417 dam material is allowed to be entrained in this experiment.
- 418 • Experiment 1C: Landslide 2 is released and interacts with the dam and the reservoir. The
419 release from the input hydrograph I1 starts after 10 s and continues for a period of 130 s
420 (see Fig. 5). Dam material is allowed to be entrained at all stages of the computational ex-
421 periment.

422 All experiments are performed at a cell size of 10 m and for a duration of $t_{\text{term}} = 300$ s; $\Delta t_{\text{out}} = 5$ s.
423 The solid and fluid discharges are continuously recorded at the output hydrograph profile O1
424 downstream. The stopping function is deactivated (see Table 3).

425 **3.1.3 Results**

426 Animations illustrating the time evolution of the flow heights in all three experiments are en-
427 closed in Supp. 1A, 1B, and 1C.

428 Fig. 6a–f illustrates the flow heights at selected points of time during Experiment 1A. Landslide 1
429 (see Fig. 5a) impacts the backward portion of the reservoir after few seconds and generates a water
430 wave – oblique and perpendicular to the impact – that overtops the dam from $t = 50$ – 55 s onwards.
431 The output hydrograph O1 starts recording discharge at $t = 65$ s, with the peak of the first, major
432 flood wave passing at $t = 75$ s ($Q_f = 8 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$; Fig. 6g). We note that the discharge and the flow
433 height recorded by the hydrograph do not strictly follow the same pattern, as the discharge relates
434 to a profile and the flow height relates to a point (see Fig. 2). Meanwhile the impact wave is de-
435 flected at the dam and alleviates slowly. Further overtopping events caused by multiple deflections
436 of the alleviating wave occur mainly at the marginal parts of the dam at $t = 110, 150, 160, 200$ and
437 270 s, leading to smaller peaks in the output hydrograph ($Q_f = 1.5 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$ at $t = 175$ s;
438 $Q_f = 2.2 \cdot 10^3 \text{ m}^3 \text{ s}^{-1}$ at $t = 285$ s). The solid content passing the hydrograph profile is almost negligi-
439 ble as all solid landslide material remains in the reservoir basin. At $t = 300$ s, the impact wave in
440 the lake has almost alleviated (see Supp. 1A).

441 Experiment 1B (Fig. 7) is identical to the Experiment 1A until the point when the impact wave
442 reaches the dam at $t = 50$ s. Entrainment of the dam starts with overtopping which sets on at the

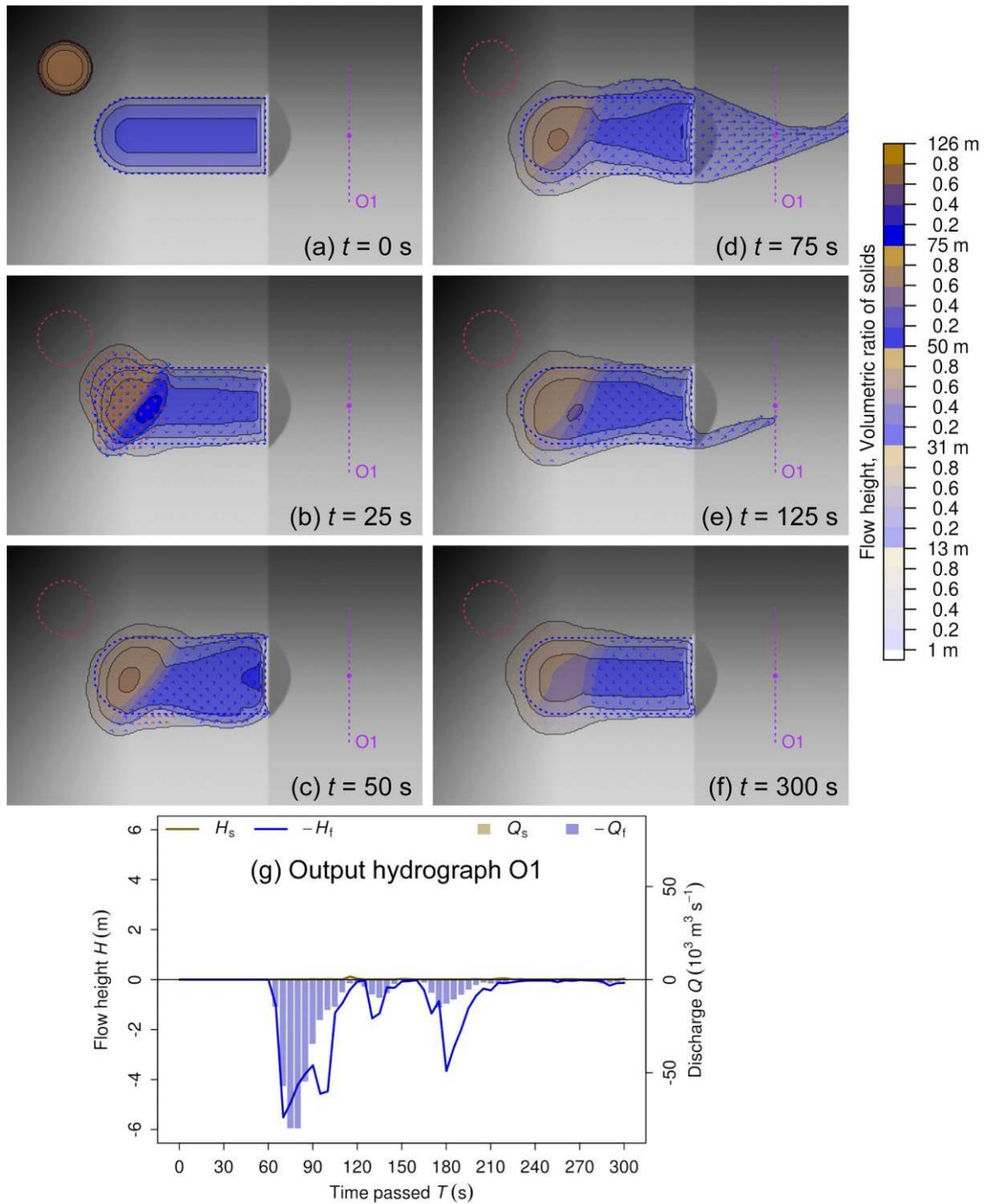
443 lateral portions. Part of the dam is entrained during overtopping by the initial impact wave.
444 Whilst massive outflow from the reservoir occurs due to the decreased level of the dam crest, part
445 of the wave is deflected at the dam and pushed back towards the rear part of the reservoir, induc-
446 ing a system of secondary waves. The remaining dam material is entrained when hit by those sec-
447 ondary waves. At $t = 200$ s the entire dam has disappeared and the reservoir starts emptying com-
448 pletely. In contrast to Experiment 1A, due to the emptying process, the system does not approach
449 a static equilibrium after $t = 300$ s (see Supp. 1B).

450 The temporal patterns of the simulated entrainment and wave propagation are clearly reflected in
451 the discharge recorded at the output hydrograph O1 (see Fig. 7g). As a consequence of dam over-
452 topping, fluid discharge at O1 starts increasing at $t = 65$ s and reaches a first peak at $t = 80$ s
453 ($Q_f = 5.1 \cdot 10^4 \text{ m}^3$). Solid discharge – a consequence of entrainment of the dam – starts slightly de-
454 layed, reaching a first peak roughly ten seconds later ($Q_s = 2.1 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$). A depression in both of
455 the discharge curves at $t = 155$ – 160 s indicates that the initial impact wave has passed through. A
456 second, larger peak of fluid discharge is simulated at $t = 195$ s ($Q_f = 1.0 \cdot 10^5 \text{ m}^3 \text{ s}^{-1}$). It occurs syn-
457 chronously with a second, smaller peak of solid discharge ($Q_s = 2.1 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$), indicating a high
458 degree of mixing of the solid and fluid components of the flow. The pronounced second peak of Q_f
459 is a consequence of the secondary waves in combination with the lowered level of the dam. After
460 the peak, Q_s slowly and unsteadily decreases (the entire dam has been entrained and the material
461 has passed through) whilst Q_f remains high. Due to the entrainment of the dam, the simulated dis-
462 charges are much higher than those computed in the Experiment 1A (see Fig. 6g).

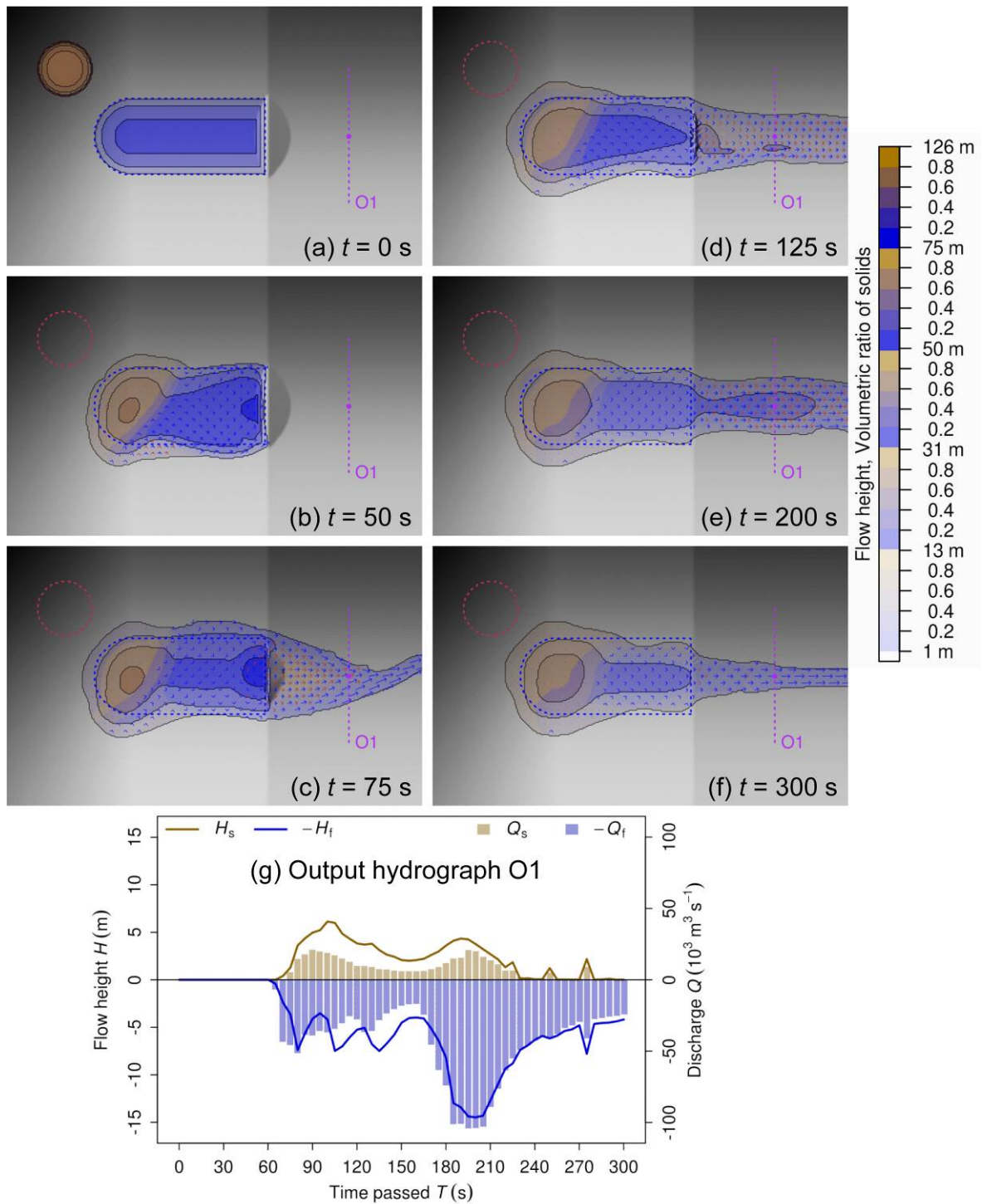
463 In Experiment 1C (Fig. 8) Landslide 2 impacts the dam and the frontal part of the reservoir less
464 than 10 s after release. The proximal portion of the dam is entrained rapidly. The E part of the
465 landslide moves outside of the reservoir in downstream direction. Consequently, the solid dis-
466 charge at the output hydrograph O1 starts at $t = 40$ s, reaching a peak of $Q_s = 2.4 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$ five sec-
467 onds later (see Fig. 8g). Due to the high (75%) solid fraction of the landslide, the fluid discharge is
468 lower at that time ($Q_f = 1.5 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$). The W part of the landslide interacts with the reservoir,
469 causing overtopping at the S (distal) portion of the dam. This results in the increase of fluid dis-
470 charge recorded at O1, culminating at $t = 60$ s when the solid discharge has already passed its peak
471 ($Q_f = 3.7 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$). The resulting impact at O1 has reduced after $t = 105$ s in terms of discharge,
472 even though the total flow height remains at $H > 15$ m. This means that the flow material moves
473 slowly at O1.

474 From $t = 35$ s onwards the flow released through the input hydrograph I1 (see Fig. 5b) pushes the
475 reservoir water towards NE. The S part of the remaining dam is overtopped by the resulting in-
476 homogeneous solid-fluid mixture (including material originating from Landslide 2), leading to
477 substantial further entrainment. In contrast to Experiment 1B, however, the dam is not complete-
478 ly entrained. The wave increasingly influences the discharge recorded at O1, leading to a peak at
479 $t = 180$ s ($Q_s = 6.9 \cdot 10^3 \text{ m}^3 \text{ s}^{-1}$; $Q_f = 1.7 \cdot 10^4 \text{ m}^3 \text{ s}^{-1}$). At that time the hydrograph indicates a well-
480 mixed flow with $\alpha_s \approx 0.25$, composed of fluid from the reservoir, solid-fluid mixtures from the
481 landslide and the hydrograph release, and solid material from the dam (see Fig. 5a). The solid and

482 fluid discharges remain at an almost constant level thereafter, reflecting a steady emptying of the
 483 reservoir.

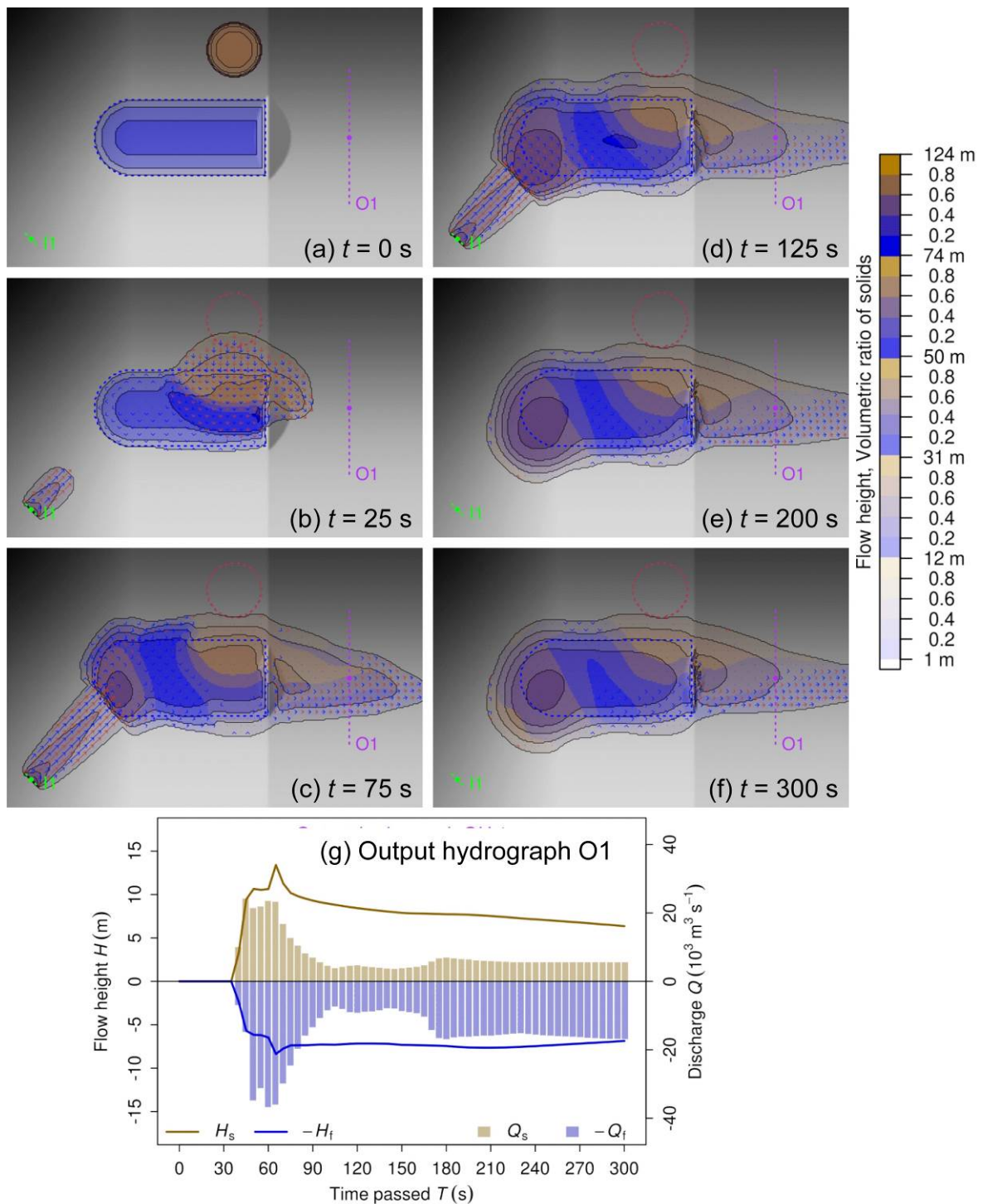


484
 485 Figure 6 Key results of Experiment 1A. (a)–(f) Sequence of simulated flow heights and solid ratios
 486 at selected points of time; see Supp. 1A for animations of flow height and kinetic energy sequenc-
 487 es; (g) output hydrograph O1 (see Fig. 5a).



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 490
 491

Figure 7 Key results of Experiment 1B. (a)–(f) Sequence of simulated flow heights and solid ratios at selected points of time; see Supp. 1B for animations of flow height and kinetic energy sequences; (g) output hydrograph O1 (see Fig. 5a).



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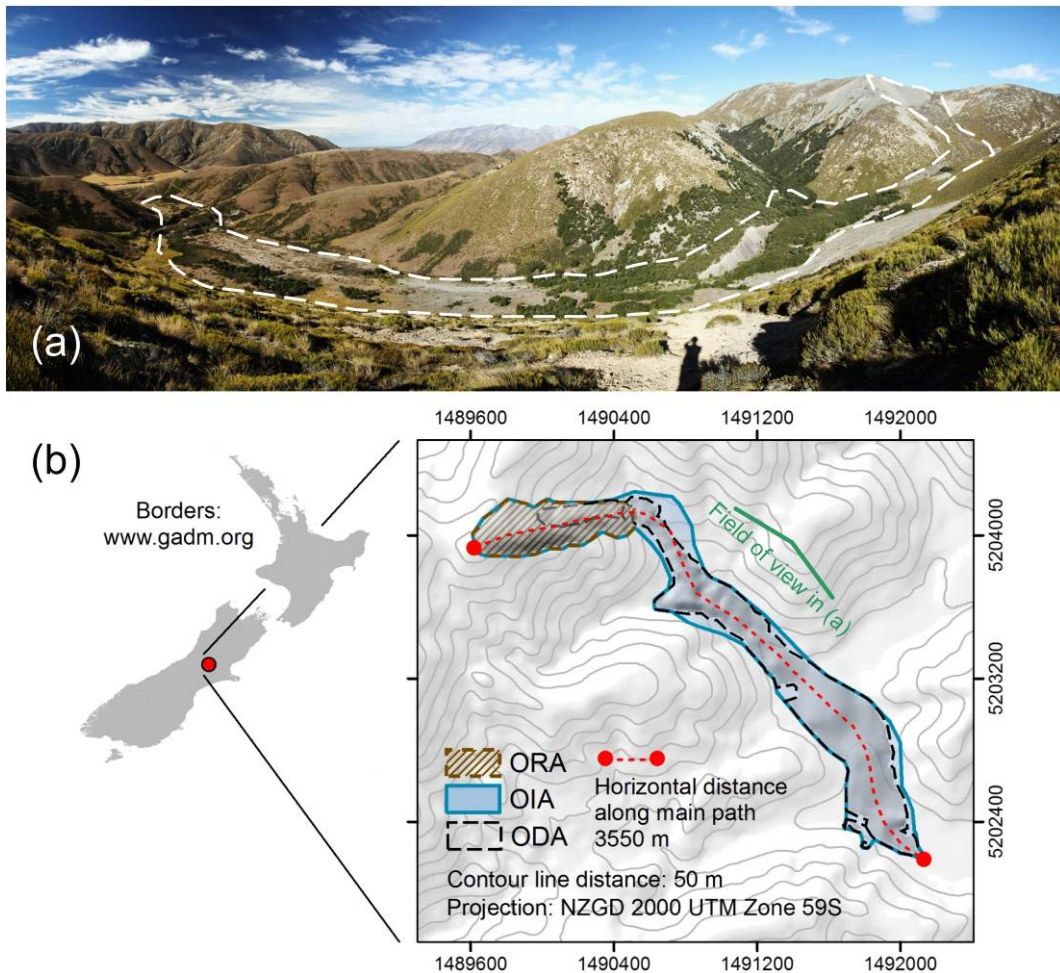
Figure 8 Key results of Experiment 1C. (a)–(f) Sequence of simulated flow heights and solid ratios at selected points of time; see Supp. 1C for animations of flow height and kinetic energy sequences; (g) output hydrograph O1 (see Fig. 5a).

497 **3.2 Experiment 2: Acheron rock avalanche, New Zealand**

498 **3.2.1 Event description**

499 The Acheron rock avalanche in Canterbury, New Zealand (Fig. 9), occurred approx. 1,100 years
500 BP (Smith et al., 2006). It is characterized by sharp bending of the flow path, a limited degree of
501 spreading into the lateral valleys and a high mobility (travel distance: 3,550 m; measured angle of
502 reach: 11.62°). It was used as a test event for the computational tool *r.randomwalk* (Mergili et al.,
503 2015).

504 We employ a 10 m resolution DEM derived by stereo-matching of aerial photographs. ODA and
505 OIA are derived from field and imagery interpretation as well as from data published by Smith et
506 al. (2006). The OIA possibly underrepresents the real impact area as it might exclude some lateral
507 and run-up areas of the rock avalanche not any more recognizable as such in the field. The distri-
508 bution of release and deposition heights and an estimated release volume of $6.4 \cdot 10^6 \text{ m}^3$ are de-
509 duced from the reconstruction of the pre-event topography. According to this reconstruction, the
510 maximum release height is 78.5 m whilst the maximum deposition height is 25.9 m.



511 Figure 9 The Acheron rock avalanche. (a) Oblique view; the view point is indicated in (b) illus-
512 trating the location and the main elements of the rock avalanche; ORA = Observed release area.
513

514 3.2.2 Modelling strategy and parameterization

515 Preliminary tests have shown that the simulation results of r.avaflow are potentially sensitive to
516 variations in the initial solid fraction α_{s0} and the basal friction angle δ , parameters which are un-
517 certain in many real-world applications. We perform two computational experiments for the Ach-
518 eron rock avalanche:

- 519 1. Experiment 2A: *III* and *DII* are computed from a set of 121 model runs. Thereby, α_{s0} is
520 varied from 0.5–0.9, and δ is varied from 15–25° (see Table 2). The variation is done in a
521 controlled way assuming a uniform probability density function, i.e. a regular grid with 11
522 grid points in each dimension is laid over the two-dimensional parameter space. *III* is then
523 evaluated against the OIA, and *DII* is evaluated against the ODA. α_{s0} and δ are optimized
524 in terms of ΔL , *FoC*, *CSI*, and *D2PC* derived from *Hb* and the ODA.
- 525 2. Experiment 2B: r.avaflow simulation with the optimized values of α_{s0} and δ .

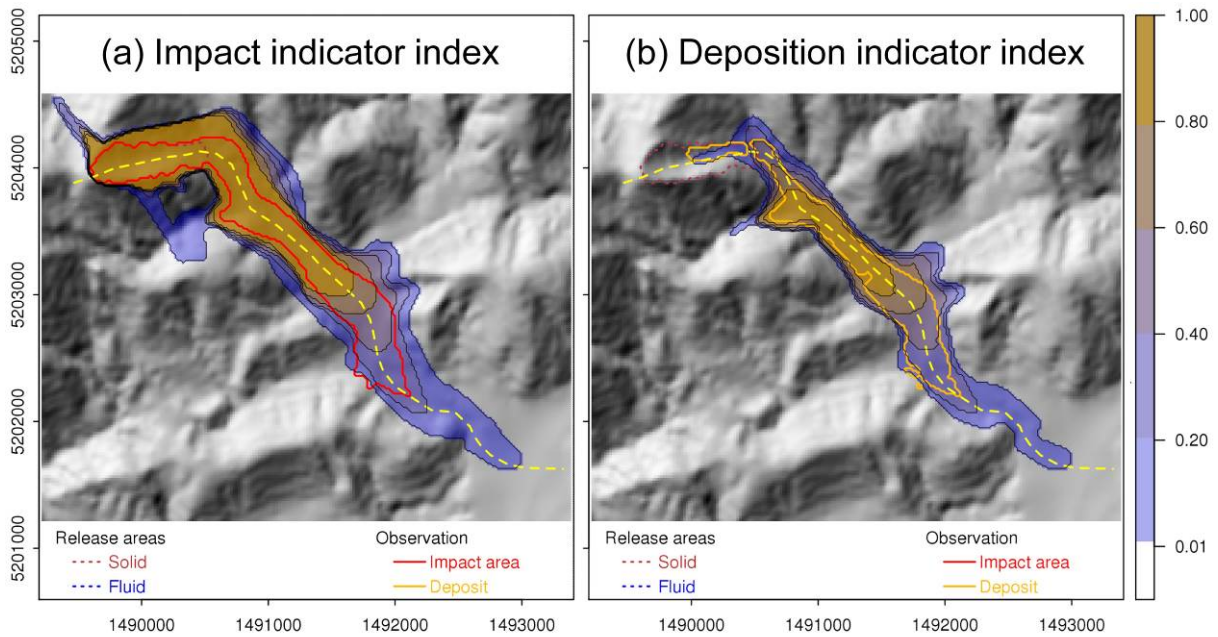
526 Both experiments are conducted at a cell size of 20 m. Entrainment is not considered whilst stop-
527 ping and deposition are included (see Table 3). All flow parameters except for δ are kept constant
528 (see Table 2).

529 3.2.3 Results

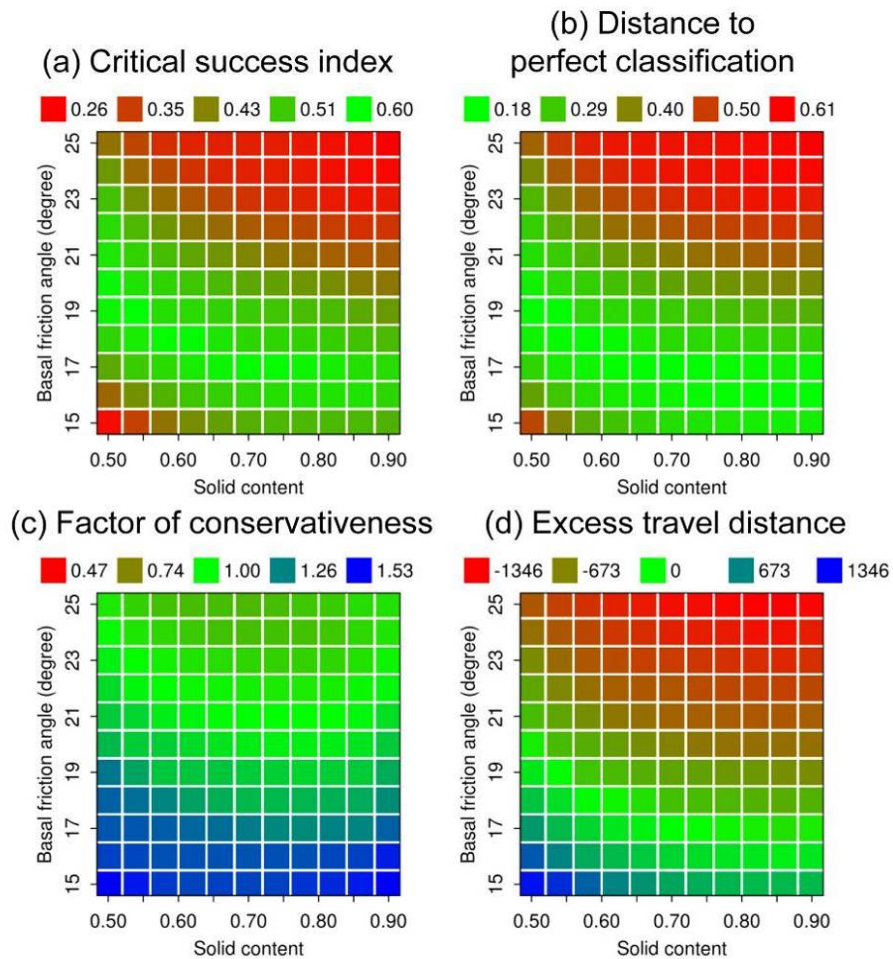
530 Fig. 10 illustrates *III* and *DII* derived with the parameter settings shown in the Tables 2 and 3 (Ex-
531 periment 2A). *AUROC* is 0.830 with regard to *III* and 0.838 with regard to *DII*. In general, those
532 areas with high values of *III* coincide with the OIA, whilst those areas with lower values of *III* lie
533 close to the margins or outside of the OIA. The performance of *III* suffers from the motion of
534 small portions of the simulated avalanche in the wrong (N) direction and from excessive lateral
535 spreading and run-up in the upper part, observed for all tested combinations of α_{s0} and δ (high
536 values of *III*; see Fig. 10a). However, one has to consider that the event occurred hundreds of years
537 ago and run-up may have occurred even though it is not any more recognizable in the field and
538 therefore excluded from the OIA. High values of *DII* are fairly constrained to those cells within
539 the ODA (see Fig. 10b) which is most probably better defined than the OIA. Those areas with
540 lower, but non-zero values of *III* or *DII* both extend well beyond the reference areas. Particularly
541 the travel distance appears highly sensitive to the choice of α_{s0} and δ .

542 We now focus on the *DII* map and evaluate the performance of the deposition maps simulated
543 with the various combinations of α_{s0} and δ against the ODA. Fig. 11 illustrates the dependency of
544 the model performance (defined by the parameters summarized in Table 4) on the combination of
545 α_{s0} and δ employed for a given model run. All four parameters clearly indicate that, within the
546 ranges tested, the model results are sensitive to both δ and α_{s0} . ΔL , *CSI*, and *D2PC* display their
547 optima near to $\delta = 17^\circ$ as long as $\alpha_{s0} \geq 0.7$. With higher fluid content, the optimum value of δ in-
548 creases, arriving at 20° with $\alpha_{s0} = 0.5$ (see Fig. 11a, b and d). This pattern appears plausible as far as
549 a higher fluid content is supposed to increase the mobility of the flow, compensating for higher
550 values of δ . However, values of $\alpha_{s0} < 0.7$ are not plausible at all for rock avalanches of this type.
551 For $\alpha_{s0} \geq 0.7$ *FoC* displays its optimum of 1.0 at $\delta \geq 21^\circ$, depending on α_{s0} . $FoC \approx 1.25$ for the value

552 of δ where the other parameters reach their optimum (see Fig. 11c). This would be fine for many
 553 applications in practice where slightly conservative results are desirable.



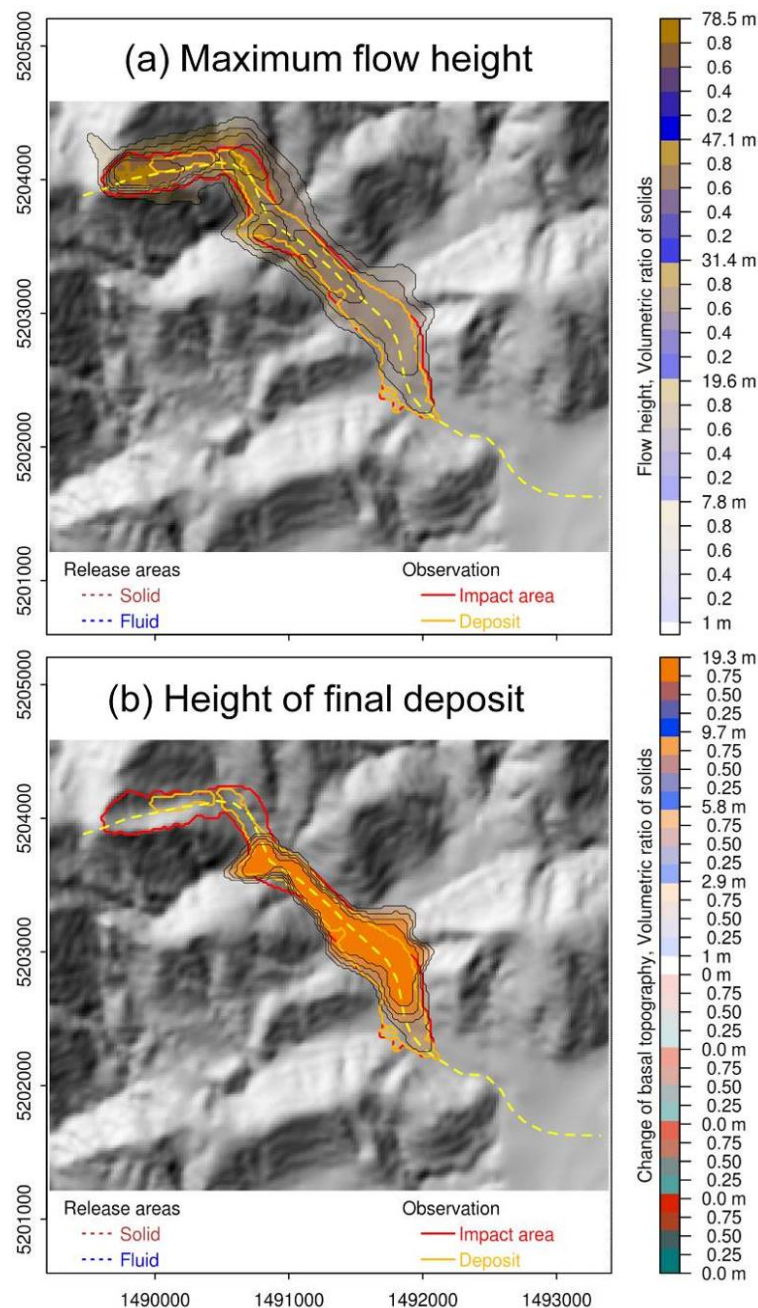
554
 555 Figure 10 Results of Experiment 2A: (a) Impact indicator index III and (b) deposition indicator
 556 index DII derived for the Acheron rock avalanche.



557

558 Figure 11 Validation and optimization of DII for the Acheron rock avalanche (see Table 4 for the
559 criteria): (a) Critical success index CSI ; (b) Distance to perfect classification $D2PC$; (c) Factor of
560 conservativeness FoC ; (d) Excess travel distance ΔL .

561 Consequently, we consider $\delta = 17^\circ$ and $\alpha_{s0} = 0.8$ – in addition to the parameter values given in Ta-
562 ble 2 – one possible combination for back-calculating the Acheron rock avalanche. The simulation
563 is repeated with exactly this combination (Experiment 2B). We note, however, that these parame-
564 ter values do not necessarily represent the real-world conditions as the fluid content of rock ava-
565 lanches may be much lower. Fig. 12 shows the maps of H_{Max} and H_c , both corresponding reasona-
566 bly well to the OIA and the ODA, respectively. The slightly larger simulated than observed depos-
567 it (see Fig. 12b) corresponds to $FoC \approx 1.25$, the almost perfect correspondence of the observed and
568 simulated termini corresponds to $\Delta L \approx 0$. This means that the fact that the result is rather con-
569 servative than non-conservative ($FoC > 1$) relates to lateral spreading rather than to the travel dis-
570 tance of the rock avalanche. Supp. 2 illustrates the time evolution of the flow height in Experi-
571 ment 2B.



572
 573 Figure 12 Results of Experiment 2B. (a) Maximum flow height H_{Max} ; (b) Height of final deposit H_D
 574 (as entrainment is not considered, $H_D = H_C$). Note that, due to the predominance of solids, the blu-
 575 ish and greenish colours indicated in the legend do not appear in the map (see Figs. 6–8).

576 4 Discussion

577 The key purpose of the present article is to provide a general introduction to the key functionali-
 578 ties of the computational tool r.avaflow. Thereby, the simulated patterns of flow height in Exper-
 579 iment 1 (see Sect. 3.1) are plausible, and the agreement of the observed and simulated deposition
 580 areas in Experiment 2B (see Sect. 3.2) appears reasonable. Yet, these experiments can neither re-
 581 place model validation with observed process chains or interactions, nor thorough multi-
 582 parameter sensitivity analysis and optimization efforts, which will both be the subjects of future
 583 research. Fully documented two-phase process chains with readily available pre- and post-event

584 DTMs are scarce. Preliminary *r.avaflow* results for the 2012 Santa Cruz multi-lake outburst flood
585 in the Cordillera Blanca, Peru (Emmer et al., 2016) are, however, promising.

586 Experiment 2 serves for the demonstration of the parameter sensitivity analysis and optimization
587 functions of *r.avaflow*. The outcomes may be different when changing the cell size or any of the
588 flow parameter values (see Table 2). Making *r.avaflow* fit for forward predictions will require a
589 thorough multi-parameter sensitivity analysis and optimization campaign involving a large num-
590 ber and variety of well-documented events. Thereby we aim at obtaining guiding parameter val-
591 ues – or, more appropriately, guiding parameter ranges – for mass flow processes of different types
592 and magnitudes. Approaches to perform such analyses are readily available, and some of them can
593 be directly coupled to *r.avaflow* (Fischer, 2013; Fischer et al., 2015; Aaron et al., 2016; Krenn et al.,
594 2016). However, due to the complex nature of two-phase mixture flows, *r.avaflow* depends on a
595 relatively large number of flow parameters, a fact that represents a particular challenge in terms of
596 the computational resources as well as in terms of visualization and interpretation of the results of
597 multi-parameter studies.

598 *r.avaflow* represents a modular framework, allowing for the future enhancement of its particular
599 components. One issue concerns the numerical implementation of the two-phase model equa-
600 tions, combining topography-following coordinates with the quadratic cells of the raster data giv-
601 en in GIS coordinates (see Sect. 2.3). As in comparable simulation tools (e.g. Christen et al., 2010a,
602 b; Hergarten and Robl, 2015), approximations are currently used for coordinate transformation in
603 *r.avaflow*. This issue is closely related to the fact that the model equations that are commonly ex-
604 pressed in topography-following coordinates are hardly compatible with the data given in GIS co-
605 ordinates.

606 A detailed and fully discrete description of the TVD–NOC Scheme exists in the literature
607 (Wang et al., 2004), and the scheme served well for various theoretical test cases (e.g., Pudasaini
608 et al., 2014; Kafle et al.; 2016; Kattel et al., 2016). However, we also identify two major draw-
609 backs:

- 610 • Although the numerical scheme itself should be shock capturing and volume preserving
611 (Tai et al., 2002; Wang et al., 2004) these properties may not fully hold in practical appli-
612 cations (i.e. bounded gravitational mass flows with well-defined margins over complex to-
613 pography). The complementary functions with ID 1–3 introduced in Sect. 2.4 partly com-
614 pensate for the issues raised.
- 615 • For real flow applications, full handling of the evolution of the basal topography is not
616 straightforward: the TVD-NOC scheme may introduce diffusion even though the evolu-
617 tion of the basal topography is not a standard transport equation. Entrainment is therefore,
618 as a first step, included as a complementary function.

619 The numerical scheme employed will have to be enhanced to directly and effectively incorporate
620 the complementary functions outlined in Sect. 2.4 in a fully consistent way. Extensions of similar
621 schemes have been tested for generic examples (e.g. Zhai et al., 2015) and could serve as a valuable
622 basis also to implement a mechanical model for erosion, entrainment and deposition (Pudasaini

623 and Fischer, 2016). On the one hand such an erosion model may build on existing concepts (e.g.,
624 Fraccarollo and Capart, 2002; Sovilla et al., 2006; Medina et al., 2008; Armanini et al., 2009; Crosta
625 et al., 2009; Hungr and McDougall, 2009; Le and Pitman, 2009; Iverson, 2012; Pirulli and Pastor,
626 2012). On the other hand, it may further require some fundamentally new ideas with regard to
627 deposition.

628 **5 Conclusions and outlook**

629 We have introduced `r.avaflow`, a multi-functional open source GIS application for simulating two-
630 phase mass flows, process chains and interactions. The outcomes of two computational experi-
631 ments have revealed that `r.avaflow` (i) has the capacity to simulate complex solid-fluid process in-
632 teractions in a plausible way; and (ii) after the optimization of the basal friction angle and the solid
633 content of the release mass, reasonably reproduces the observed deposition area of a documented
634 rock avalanche. However, it was out of scope of the present work to validate the results obtained
635 for complex process interactions against observed real-world data, or even to conduct a compre-
636 hensive multi-parameter optimization campaign. Such efforts will be the next step towards mak-
637 ing `r.avaflow` ready for the forward prediction of possible future mass flow events. Thereby we
638 will attempt to establish guiding parameter values for different types of processes and process
639 magnitudes.

640 At the same time we have identified a certain potential for the future enhancement of some the
641 components of `r.avaflow`. The key challenges will consist in (i) integrating the model equations in
642 an up-to-date numerical scheme, allowing to directly include the complementary functions; and
643 (ii) replacing the empirical entrainment model with a mechanical model for entrainment and dep-
644 osition.

645 **Code availability**

646 The model codes, a user manual, the scripts used for starting the computational experiments pre-
647 sented in Sect. 3, and the GRASS locations with the spatial data necessary for reproducing the ex-
648 periments are available at <http://www.avaflow.org>.

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