We have prepared a new version of the manuscript with the comments from the editor and the referees taken into account. Below we list the comments and our response to each point raised.

Comments from the executive editor

In order to simplify reference to your developments, please add a version number and consider to add the models acronym in the title of your article in your revised submission to GMD.

RESPONSE: We have changed the title.

Additionally, I like to point out, that the Code Availability and the Acknowledge- ment Sections are distinctive parts of the overall paper structure (see the section "manuscript composition" on http://www.geoscientific-model-development.net/for\_authors/ manuscript\_preparation.html). In contrast to this, "Extending OMUSE" is not part of this overall structure. Therefore this section is in the wrong place. It should be either a section in the main body of the paper or an appendix section, but it should definitely not be placed between Code Availability section and Acknowledgements .

RESPONSE: We have moved the section "Extending OMUSE" to be subsection 3.4.

# Comments from anonymous referee #1

- Title: Please provide more details about OMUSE in the title (not just its name) so to better reflect the content of the paper. Also, it is recommended to provide in the title a version number of the latest OMUSE version available (see also A. Kerweg's comments).

RESPONSE: We have changed the title to conform to journal guidelines.

- L15: I am not sure the application examples described show the efficiency of OMUSE; I would insist more on the flexibility but less on the efficiency.

RESPONSE: We have have removed "efficient."

- L63: You write "This has the benefit of the parallelism and . . ." . This seems incoherent to me with what you write in the discussion L716-L717: "limitation of the current design of OMUSE is the fact that the communication between solvers is handled by the master script. This imposes a bottleneck for the performance of the communication between e.g. two parallel codes." Also, L105: What is the benefit of providing built-in parallelism in the MPI-based remote function protocol if, as written in the discussion the handling of the communication through the master script imposes a bottleneck? Can you clarify?

RESPONSE: The current setup provides for independent and parallel running different codes, while the current communication implementation still has a bottleneck (which can be removed). We have added clarification and a reference to the discussion (in section 6) to the description of the interface in section 2.1.

- L64 and other places: You mention the "bookkeeping inherent to code coupling". Can you explain what you mean by bookkeeping? In particular, what do you mean precisely L441-442 by "extensive automation of bookkeeping operations"?

RESPONSE: We agree that its use was not clear. We explain the meaning of bookkeeping in section 2.4 (Data model) now, and clarify it in section 4. The "extensive automation of bookkeeping operations" has been rephrased.

- L116: What do you mean by "the sockets channel is mainly useful for cases were a component process is to be run on one machine". Of course,

a process is always run on a machine! Do you mean "the sockets channel is mainly useful for cases where a component process is to be run a different machine".

RESPONSE: In the current setup, the sockets communication is mainly used for inter process communication within a machine. This is clarified now.

- L126: you write: "the communication requirements between processes must not be too demanding. Where this is not the case (e.g. when a strong algorithmic coupling is necessary) a different approach may be more appropriate." Why should the (MPI) communication be "not too demanding"? What do you mean by "a different approach"? Do you mean something else that OMUSE?

RESPONSE: "Demanding" refers to the amount of communication necessary between processes. We have removed these sentences ("Additionally ... more appropriate"), since this refers more too the communication bottlenecks discussed later on.

- L190-191: I am not sure I understand the difference between Cartesian and Regular. What do you mean by "constant"? constant in time (I suppose not)? Constant with respect to the dimension? Please clarify.

RESPONSE: Cartesian = same constant cellsize in each dimension, Regular = constant cellsize in each dimension, potentially different for each dimension. We have clarified this in the text.

- L241: can you clarify what you mean by "This functionality is preferably not used within OMUSE." Does OMUSE support code using their own I/O library or not? The word "preferably" is ambiguous.

RESPONSE: We now explicitly state that use of the original I/O is supported (and give a potential reason to do so).

- L385-388: What is the relation between these two sentences (starting with "In case of stationary..." ) and the restrictions on the forcings discussed in this paragraph?

RESPONSE: None. A new paragraph is started now.

- L401-402: SCRIP library is included in OASIS but it is not in MCT, even if MCT can use grid remapping weights and addresses generated (separately) by SCRIP.

RESPONSE: We have clarified the text to make this distinction.

- L422-423: the source grid has to be structured not because of the way SCRIP com- putes the area integrals but for the calculation of the gradients needed for the 2nd order.

RESPONSE: We have changed this in the text.

- L486: Section 4.1.2 is about non-overlapping domains. Why is it named "Domain decomposition"? Also, are you talking here really about "non-overlapping" domains or more precisely "partially-overlapping" domains, as in the 5.2 example?

RESPONSE: The solution is obtained on the union of overlapping domains, so its a matter of viewpoint whether to call this "Domain decomposition." We have thought about another heading to this subsection, but we prefer the current one as the most concise. The domains can be non-overlapping in so far a small overlap is necessary for the boundary conditions on the respective domains. We have added "partially overlapping" in parentheses.

- L507: What do you mean by "preceding examples"? Are these the 4.1.1 and 4.1.2 couplings? If so, maybe put the numbers 4.1.1 and 4.1.2 for clarity.

RESPONSE: added the section numbers.

Minor comments: - L14: Remove the , after "solver" RESPONSE: fixed - L23: I would not write "current CMIP5" as CMIP5 is over now and CMIP6 is on-going. RESPONSE: fixed (removed "current") - L123: maybe replace "the requested subroutine calls" by "the requested simulation code subroutine calls" (if I got this right)? RESPONSE: yes, implemented suggestion. - L144, change "OMUSE implements a" for "OMUSE implements also a" RESPONSE: done - L509, add a ) after viewpoint.

RESPONSE: fixed

- Title: The (revised) title and the text refer to OMUSE as a framework. This is, unfortunately, a term that us not clearly defined and that is overly used these days. It would better be avoided or clearly stated what its meaning is in the current context. I suggest to refer to OMUSE as a "toolset for coupling".

RESPONSE: Although we agree the term framework is somewhat nebulous, it is often used for a set of components (tools, APIs etc) that can be used to construct an application in a particular domain (where the flow of the application is more strongly prescribed than when using e.g. a library). We have dropped the term from the title (see below) and from the abstract. In the introduction we have added a short footnote with an explanation of the term framework as used here.

- Title and Abstract: I would find it useful to see the complete meaning of OMUSE as "Oceanographic Multipurpose Software Environment" reflected in the title. It is confusing to have it referred to as a "framework" vs. "software environment" and "multipurpose" vs multimodel in the first line of the text and the title, respectively.

RESPONSE: we have adapted the title, retaining the acronym and version number.

- Abstract: I don't see how OMUSE facilitates the design of coupled models. It certainly helps to implement the coupling. Reword more precisely what OMUSE does.

RESPONSE: We have added a short sentence to the abstract describing the facilities provided by OMUSE that aid model coupling (and slightly rephrased the abstract to accomodate this).

- 1 21f: Give full names and references at first occurrence of model acronyms. You might also consider to refer to a table where the models are listed with their institution

RESPONSE: We give the full name of the models now. Here and below we have decided against putting all acronyms in a table, since most of them are referred to only once or twice (and not really needed to understand the main text).

- l 23f: These models are only a subset of the CMIP5 models, they don't constitute it.

RESPONSE: changed "constitute" -> "can be used as"

- 1 33: Many would not term SWAN a "coastal ocean model", it is a coastal wave model. Throughout the text, you could give the reader better structure for your coupling applications between (1) different ocean models (e.g. global to coastal), (2) ocean - wave coupling, and (3) data assimilation.

RESPONSE: changed "regional coastal ocean models" to "regional models"

- 1 42ff: There are many ways how couplings can be implemented, and many categories that describe the coupling type. Most often, the term "tight" versus "loose" coupling are used; in your case, the differentiation is between "monolithic" and "modular" coupling (and there are a lot of in-betweens). Refer to Valcke (2012) for categories. AGRIF is one tool to facilitate exchange of information that is on different grids. It may be helpful in both monolithic or modular approaches so it is not a useful category here. Then, of course, it is typically not sufficient (but already beyond many existing solutions) to couple just two different models (you propose coastal and open ocean) to tackle the coastal research problems you describe earlier.

RESPONSE: We have adapted these lines according to the suggestions ( we contrast monolithic and modular approaches now).

- 1 57ff: This sentence is only understandable to coupling experts. Please reword in more simple terms and explain jargon.

RESPONSE: We have rewritten this sentence, more carefully explaining the difference between integrated and library approaches.

- 1 68: Why do you restrict your work to the ocean modeling community? I believe your approach would be valuable for the entire Earth System modeling community and you should confidently state this, even though the applications presented are from the ocean domain.

RESPONSE: Indeed, OMUSE can be used more widely (and it is already being used for e.g. coupling meteorological models). We have rephrased this sentence to reflect this.

- Figs 2,3,4,6: The code examples (provided in Figs 2,3,4,6) are not relevant to understanding the text and should be moved to an appendix. As they are, they are not easily understood and distract from the text.

RESPONSE: We have often found that the code papers describing coupling tools leave out this kind of information, which makes it difficult to get a grasp of the practical use of such tools and we think it helps the reader get an idea about this by providing some definitive examples. Admittedly, this is subjective so if the editor thinks it is better to move these (also maybe for layout reasons), we are happy to act on this.

- 1 107ff: contains again too much jargon and should be rewritten for a broader audience. The possibility of running multiple instances of the same program, and even multiple instances that are compiled differently could be highlighted more, as this approach is an outstanding characteristic of OMUSE.

RESPONSE: We have rewritten this and subsequent sentences following this paragraph to be more accessible.

- 1 118: "as the master script". You have not defined what this is.

RESPONSE: We have replaced "master script" with "user script" (which was introduced at the beginning of section 2).

- Section 2.1: overall It would be helpful to have references and acronym lists and definitions (such as the table recommended before) for the technical terms MPI, OpenMPI, OpenMP, MPI-2. The (sometimes subtle) differences between these technologies are very likely unclear to much of the readership and must be explained as far as this distinction is relevant to the purpose of this paper. What about vendor-specific MPI implementations?

RESPONSE: We have added the acronyms at the first use of MPI (2.1) and a footnote for OpenMP (end of 2.1). Vendor specific implementations of MPI can also be used, this is now briefly mentioned (2.1).

- 1 181ff: Is there any use of the "particle" set in your applications? All ocean applications are defined on structured or unstructured grids ("meshes"). The "particle" concept seems useful for Lagrangian tracer studies and for observation data; I don't see any of your applications making use of it (or is the eddy tracker one of these?)

RESPONSE: Most ocean codes use the grid data structures. Indeed, a Lagrangian tracker could use them (the eddy tracker can also use particle sets). We mention possible uses of the particle sets for ocean applications now in 2.4.

- 1 732ff: As the need for coupling models is increasing and tackled by several new frame- works or toolkits/software environments please justify just how easy it would be to create more interoperability. Why an entirely new approach in OMUSE? Both ESMF (Hill et al. 2004) and CSMDS (Peckham 2013) et al. contain python interfaces; both support C and Fortran, and CSDMS many more language implementations. You already justify this a little with your state model and OO approach. But would it be not more helpful to provide BMI to ADCIRC/POP/SWAN ... (actually, SWAN already has one) such that these models can be used both in OMUSE and CSDMS? And to elaborate on existing BMI within OMUSE by wrapping the original BMI in your high-level OO?

RESPONSE: We think the discussion of interoperability makes most sense in relation to the CSDM (since the specification of the BMI translates more easily into an OMUSE interface than e.g. the specification of ESMF interfaces). We have expanded the discussion on the differences of CSDM and OMUSE and their interoperability.

- General discussion: I also think that you should contrast your work more to the most recent efforts done in other coupling frameworks/software environments, such as the work in the GMD special issue by Balaji, or Cossarini's BFM coupler (also GMD), to name just a few. The purpose of this comparison would also be to highlight you own strengths and to give the reader more information on when not to choose your software but rather a different one.

RESPONSE: We have added more discussion contrasting OMUSE with other coupling frameworks.

- 1 770f: this is wrong. GPL does not refer to private use in any way. Please convey the important terms of the GPL correctly. I would also find it helpful to include in the discussion a paragraph on your choice of license, i.e. GPL, as this choice imposes severe limitations (strong copyleft) on the distribution of coupled models.

RESPONSE: The licensing comment was incorrectly associated with private use. This is clearly separated now. In response to concerns (and together with similar discussions within the AMUSE community) we have changed the license to the more permissive Apache 2.0. This is mentioned (and briefly discussed) in the paper now.

- 1 773f: It was not possible to download ADCIRC without registration; this should be stated (SWAN, POP worked). Also, with a serious attempt to build AMUSE and OMUSE according to the instructions provided, I was not able to achieve a successful build (though all requirements were met). While this may be a particular problem on my side (OSX + gfortran system, error in the python build script), it is not acceptable for what you call "production ready" software to not point the user to help/bug database/contact person. There is an "issue tracker" on the project site, but this seems to be inactive (only four issues, more than 8 months old).

RESPONSE: We did not want to mention ADCIRC explicitly since its licensing may change in the future (as for as we understand they are planning to become fully open source). In order to alleviate problems with the build system (especially for cases were people want to test things out) we will make available precompiled binaries on the OMUSE project site. The issue tracker is actively monitored, but indeed most installation issues are handled through the AMUSE issue tracker (which is much more active).

- 1 774f: Instructions on how to contribute are missing, particularly a contributor license agreement.

RESPONSE: We have added a short comment on contributions. We do not think at this stage a formal contributor license policy is necessary (very few scientific projects of this size have one), but we will monitor prevailing practices and implement one when necessary.

- General code availability: It is not clear what OMUSE v1.0 refers to. Please push your software to a permanent repository and obtain a DOI for for the published version (e.g. Zenodo). Bitbucket is a private company and cannot guarantee availability.

RESPONSE: we have made available a tagged snapshot, which is labelled v1.0 on the project website and available on the Zenodo archive.

```
- 1 16,291 Don't use "relatively" if no relation is provided.
 RESPONSE: removed both occurences of "relatively"
- 1 16f Repetitious use of "also"
 RESPONSE: fixed (dropped both)
- 1 36f "relax" is jargon for physical modelers; try to address a
general readership.
  RESPONSE: we have rephrased this
- 1 43 Spelling of "AGRIFF", correct is AGRIF.
 RESPONSE: corrected
- 1 68 Spelling "seperate", correct to "separate"
  RESPONSE: corrected
- 1 118f 118 relation of "its" and "it" unclear.
  RESPONSE: fixed
- 1 138 misuse of "reckon"
  RESPONSE: fixed
- 1 378 add "Eq." before (3)
```

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RESPONSE: fixed

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# The Oceanographic Multipurpose Software Environment (OMUSE v1.0)

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# Abstract.

In this paper we present the Oceanographic Multipurpose Software Environment (OMUSE). This framework OMUSE aims to provide a homogeneous environment for existing or newly developed numerical ocean simulation codes, simplifying their use and deployment. In this way, OMUSE facilitates

- 5 the design of numerical experiments that combine ocean models representing different physics or spanning different ranges of physical scales can be designed more easily. Rapid development of simulation models is made possible through the creation of simple high-level scripts, with the low-level core part of the abstraction designed to deploy these simulations efficiently on heterogeneous high performance computing resources. Cross-verification of simulation models with different codes
- 10 and numerical methods is facilitated by the unified interface that OMUSE provides. Reproducibility in numerical experiments is fostered by allowing complex numerical experiments to be expressed in portable scripts that conform to a common OMUSE interface. Here, we present the design of OMUSE as well as the modules and model components currently included, which range from a simple conceptual quasi-geostrophic solver ,-to the global circulation model POP. The uniform access to the
- 15 code's simulation state and the extensive automation of data transfer and conversion operations aids the implementation of model couplings. We discuss the types of the couplings that can be implemented using OMUSEand. We also present example applications, that demonstrate the efficient and relatively straightforward model initialisation and coupling within OMUSE. These also include the concurrent use of data analysis tools on a running model. We also give examples of multi-scale and
- 20 multi-physics simulations by embedding a regional ocean model into a global ocean model, and in coupling a surface wave propagation model with a coastal circulation model.

# 1 Introduction

Models Numerical models of the global open ocean have now reached a mature state. Different

- 25 models, such as MITGOM, MPI-OM, POP, MOM, NEMO, which have been developed in large international collaborations, Models such as the MIT Global Circulation Model (MITGOM), the Modular Ocean Model (MOM), the Max Planck Institute Ocean Model (MPIOM), the Parallel Ocean Program (POP) and Nucleus for European Modeling of the Ocean (NEMO) are widely used in the community. These models constitute can be used as the ocean components in the current CMIP5-type coupled global
- climate models , with a horizontal resolution such as those in the the Coupled Model Intercomparison
   Project<sup>1</sup>. Such simulations, with horizontal resolutions as fine as 25 km, focusing focus on projected forecasts of future climate change (IPCC, 2013). They The models are also used in an ocean-only model configuration (Maltrud et al., 2010) at even higher resolutions (down to about 10 km) to adequately resolve western boundary currents, such as the Gulf Stream, the Agulhas Current and Kuroshio, and to explicitly represent meso-scale eddies.

At the coastal zone, very different models are required, incorporating, for example, tides, river run-off, sediment transport and wave dynamics (e.g. Zijlema, 2010). In many cases, unstructured mesh models are used (Danilov, 2013; Leuttich and Westerink, 2004) in order to provide an accurate representation (Candy et al., 2014) of complex and irregular domain bounds that strongly influence

- 40 local flows. An additional challenge in regional coastal ocean models, such as ADCIRC and SWAN, is that they are not bounded entirely by a coastline and typically contain at least one boundary open to the global ocean. These open ocean boundaries are usually handled with restoring functions that relax by restoring them to observations (climatology or transient over a specific period in the past). In order to evaluate the human-scale impacts of climate change, for example the effect of sea
- 45 level rise on coastal erosion (Cazenave, 2004), both the open ocean and coastal zone need to be jointly considered. Increasing temperatures and the changes in wind field can give rise to changes in ocean currents, which in-turn cause dynamical changes in sea level (Brunnabend et al., 2014). These conditions will affect the wave climate and may lead to changes in erosion at sandy coasts. To tackle such problems one can proceed in three ways: nesting of a by developing a single code to incorporate
- 50 both regimes (a monolithic approach), or one can try to nest an existing regional model into a global ocean model (for example, by using the package AGRIFF<sup>2</sup>), by developing a model to simulate the physics of both global and coastal flows, or by finding using a tool like AGRIF<sup>2</sup> to take care of the transport of data between grids). The extention of this idea to different codes and to different components of Earth System models suggests a modular approach (e.g. Valcke et al. (2012)) where

55 <u>one develops</u> an efficient way to couple <del>two</del>-different (e.g., open and coastal, <u>but potentially more</u> than two) models together.

In this paper, we follow the latter approach, borrowing from ideas in the astrophysical community. In simulations of the formation of stars and galaxies, a wide variety of codes need to be com-

<sup>&</sup>lt;sup>1</sup>http://pcmdi-cmip.llnl.gov

<sup>&</sup>lt;sup>2</sup>http://www-ljk.imag.fr/MOISE/AGRIF/

<sup>&</sup>lt;sup>2</sup>Adaptive Grid Refinement In Fortran, http://www-ljk.imag.fr/MOISE/AGRIF/

bined. For example, hydrodynamic codes (describing interstellar gas dynamics) are coupled with

- 60 N-body codes (for the gravitational dynamics of stars) and processes on different scales, ranging from planetary to galactic, compete to determine the evolution of the coupled system. Given the need to correctly capture the interactions of the processes represented in the different codes, the community has come up with a Python framework <sup>3</sup> (AMUSE) allowing easy interaction of different codes (Portegies Zwart et al., 2013; Pelupessy et al., 2013).
- In oceanography similar problems for multi-scale and multi-physics are encountered, and a number of coupling frameworks exists in the earth system Earth System modelling community (e.g. Hill et al., 2004; Buis et al., 2006; Gregersen et al., 2007; Jacob et al., 2005; Larson, 2005; Peckham et al., 2013; Valcke, 2013), These can be roughly divided into (Valcke et al., 2012) *integrated* and *coupling library* approaches, where the former splits codes into elemental units after which the framework
- 70 merges them into a coupled executable, and the latter approach makes an API available to codes such that concurrently running codes can share information. The example of AMUSE. In the integrated approach, the functionality provided by the component codes (e.g. by subroutines of the code) is separated out and joined into a new coupled model in a single executable. In the library approach the original codes themselves are adapted to communicate with each other using an Application

75 Programming Interface (API) made available by the coupling library.

<u>The AMUSE package</u> provides a useful alternative since it takes the approach of integrating different codes in a high-level programming language (Python), using physically motivated programming interfaces to communicate with <u>seperately separately</u> running instances of the simulation codes. This has the benefit of the parallelism and flexibility provided by a coupling library approach, and

80 the benefit of abstracting much of the bookkeeping inherent to code couplings using modern highlevel constructs. In this way quite complex simulations can be described in compact scripts, that can be easily understood and easily distributed.

The aim of this paper is to present OMUSE, a framework which adapts the AMUSE approach for use in the ocean modeling communityEarth System modeling community, with an initial focus on

- 85 <u>oceanography</u>. In section 2, the design and architecture of OMUSE is presented, with a particular focus on data structures, unit conversion and grid remapping. The initial set of codes included is presented in section 3. In section 4 we discuss the code coupling features of the OMUSE framework with particular emphasis on a quasi-geostrophic model as a conceptual test case. In section 5, we present simple applications of OMUSE showing its capabilities. A summary and discussion of these
- 90 results concludes the paper (section 6).

<sup>&</sup>lt;sup>3</sup>*framework* as used here refers to an ensemble of tools, application programming interfaces and libraries which together can be used to construct new applications, in our case scientific simulations.

#### 2 Design and Architecture

As inherited from AMUSE, the basic idea of OMUSE is the abstraction of the functionality of simulation codes (*the community code base*) into physically motivated interfaces that hide their complexity and numerical implementation. OMUSE provides the user optimized building blocks that can be com-

95 bined to design numerical experiments. The requirement of the high-level glue language is not so much performance, but one of algorithmic flexibility and ease of programming. Hence, a modern interpreted scripting language with object-oriented features, in our case Python (van Rossum, 1995), is the natural choice. Furthermore, Python has a large user and developer base in scientific computing, and many libraries are available. Amongst these are libraries for numerical computations, data
100 analysis and visualization, which can be used in an OMUSE scripts.

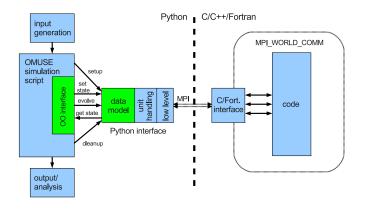
An OMUSE application consists, roughly speaking, of a *user script*, an *interface layer* and the *community code base* (Pelupessy et al., 2013), as illustrated in Fig. 1. The user script is constructed by the user and defines a numerical experiment by specifying the initial data, the simulation codes to be used and the interactions between the codes. It may include analysis or plotting functions, in

- 105 addition to writing simulation data to file. The setup and communication with a community code is handled by the framework in the interface layer, which consists of a communication interface with the community code as well as unit handling facilities and an object-oriented interface. The interface layer also ensures the consistency of the interactions with the various simulation codes by maintaining a state model for each.
- Below we give an overview of the design and architecture of OMUSE (as inherited from AMUSE, more details can be found in Pelupessy et al., 2013). The main developments compared with AMUSE are, apart from the addition of oceanographic codes: improvements in grid support, amongst these support for curvilinear grids and extensive framework support for grid remappings and grid generation routines. In addition, a number of domain specific units and utility libraries and support for various file formats, such as NetCDF (Rew and Davis, 1990) output, have been added.
- various me formaus, such as recept (new and Davis, 1996) output, nave bee

# 2.1 Remote function interface

The interface to a community code is provided by a set of functions, each communicating with the code through a remote function protocol. Currently the default implementation in OMUSE of this remote function protocol is based on MPIthe Message Passing Interface (MPI). A community code

- 120 is started by the instantiation of an interface object (Fig. 2), transparent to this. Python provides the possibility of linking Fortran or C/C++ codes directly, however we found that a remote protocol provides two important benefits. First, it provides for build-in parallelism (this parallelism is exploited in the current setup for the running of codes, although data transport between codes is not yet fully parallel, see section 6). The choice for an intrinsically parallel interface is much preferable over an
- 125 approach where parallelism is added a-posteriori, because unless great care is taken in the design,



**Figure 1.** Design of the OMUSE framework. This schematic representation shows the design of the interface to a community code ("code") and the way it is accessed from the OMUSE framework. The code has a thin layer of interface functions in its native language (e.g. Fortran) which communicates through an MPI message channel with the Python host process. On the Python side, the user script ("OMUSE simulation script") makes only generic calls to a high-level interface. This high-level interface calls the low-level interface functions, hiding details about units and the code implementation (the communication through the MPI channel does not interfere with the code's own parallelization because the latter has its own MPI\_WORLD\_COMM context). Adapted from Pelupessy et al. (2013).

```
(1) qg=QG()
```

```
(2) qg=QG(debugger="gdb")
```

```
(3) pop=POP(number_of_workers=8)
```

**Figure 2.** Examples of the instantiation of simulation codes within OMUSE. (1) simple instantiation on a local machine of the QG, (2) instantiation of a code inside a debugger, (3) local instantiation of an MPI-parallel code (POP), (4) instantiation of POP on a remote machine for a massively parallel high resolution run through the *distributed* channel (see section 2.2).

features can creep in that preclude easy parallelization later on. Secondlya parallel interface means that it becomes possible to run and communicate with multiple instances of different codes at the same time. In addition, a lot of existing simulation codes are not written in a way that allows for multiple instances. They may, for example, use global variables or assume a single global state. This

makes it unwieldy difficult to instantiate multiple copies of the same code when linking directly. 130 Using remote function interfaces means that the codes run as separate executables, and thus this problem cannot occur (in addition this prevent our approach, it is trivial to run separate instances of the same code, even if compiled with different options (also, the fact that codes are running as different processes prevents collisions between incompatible libraries when the codes are built with 135 different compilers).

Within the remote data communication channel, the MPI protocol can be replaced by a different method, two of which are currently available: a channel based on sockets and one based on eStep<sup>4</sup> technology for distributed computing. At present, the sockets channel is mainly useful for cases were a component process is to be run on one machine the same machine as the user script. As its

- 140 name implies, it the sockets channel is based on standard TCP/IP sockets. The distributed channel is described in section 2.2 below. When using the MPI channel, different MPI implementations can be used (e.g. OpenMPI or MPICH), but not mixed (vendor implementations can also be used, although these sometimes do not fully conform to the standard).
- The interface works as follows: when an instance of an imported simulation code is made, an 145 MPI process is spawned as a separate process somewhere in the MPI cluster environment. This process consists of a simple event loop that waits for a message from the Python side. It will make the requested simulation code subroutine calls on the basis of the incoming message ID and any additional data that may follow the initial MPI message, and subsequently send the results back (Portegies Zwart et al., 2013). Since there is no direct memory access, the interfaces themselves
- 150 must be carefully designed to ensure all necessary information for a given physical domain can be retrieved. Additionally, the communication requirements between processes must not be too demanding. Where this is not the case (e.g. when a strong algorithmic coupling is necessary) a different approach may be more appropriate.

Note that the interface design allows the parallelism of MPI parallel codes to be maintained even 155 when the communication channel uses MPI (OMUSE can be used to run massively parallel codes with thousands of processes). This is guaranteed with the recursive parallelism mechanism in MPI-2. The spawned processes share a standard MPI\_WORLD\_COMM context, which ensures that an interface can be build around an existing MPI code with minimal adaptation (Fig. 1). Other parallelization paradigms, such as OpenMP<sup>5</sup>, are also supported within OMUSE. In practice, for the implementation 160 of the interface for to an MPI code, one has to reekon with take into account similar issues as

<sup>&</sup>lt;sup>4</sup>http://estep.esciencecenter.nl

<sup>&</sup>lt;sup>5</sup>Open Multi-Processing, a shared memory multiprocessing API

**Figure 3.** An illustration of the use of the OMUSE unit algebra module, with (1) definition of a scalar quantity using the | operator, (2) conversion of a quantity to different units, (3) conversion of quantity to float, (4)+(5) definition of a function and its call using quantities.

for the stand-alone MPI application. The socket and distributed channels also accommodate MPI parallel processes. The choice between the different available channels depends on the computing resources needed for a given run. For runs distributed over remote machines the distributed channel may be required, while locally on a cluster the MPI channel often provides the most optimized communciation path.

165

#### 2.2 Distributed computing

Current computing resources available to researchers are more diverse than simple workstations: clusters, clouds, grids, desktop grids, supercomputers and mobile devices complement stand-alone workstations, and in practice one may want to take advantage of this ecosystem.

- To run in such a "Jungle computing environment" (Seinstra et al., 2011), OMUSE also implements a communication channel based on eStep technology (Drost et al., 2012). This channel starts a daemon and connects with it, to communicate with remote workers. This daemon is aware of local and remote resources and the middleware (e.g. SSH) over which they communicate. The daemon uses the Xenon library to start the worker on a remote machine, executing the necessary authorization,
- 175 queueing or scheduling automatically. Because OMUSE contains large portions of C, C++, and Fortran, and requires a large number of libraries, it is not copied automatically, but it is assumed to be installed on the remote machine. A binary-only release can be generated for resources, such as clouds, that employ virtualization. With these modifications, OMUSE is capable of starting remote workers on any computer the user has access to, without significant effort required from the user.
- 180 From the user point of view, to use the distributed resources, any OMUSE script can be distributed by simply adding properties to each worker instantiation in the script, specifying the channel used, as well as the name of the resource, and the number of nodes required for this worker (see Fig. 2).

#### 2.3 Unit conversion

In order to simplify the handling of units, a unit algebra module is included in OMUSE (Fig. 3). This module wraps standard Python numeric types or Numpy arrays, such that the resulting quantities (i.e. a numeric value together with a unit) can transparently be used as numeric types (see the function definition example in figure 3). Even high-level algorithms, like e.g. ODE solvers, typically do not need extensive modification to work with OMUSE quantities (and in many cases work without any changes, if they are formulated in a dimensionally consistent way).

- 190 OMUSE *enforces* the use of units in the interfaces of the community codes. The specification of the unit dimensions of the interface functions is part of the interface specification (much in the same way as the data types of the functions). Using the unit-aware interfaces, any data that is exchanged within modules will be automatically converted without additional user input, or if the units are not commensurate a code exception is generated. Keeping track of different systems of units and the
- 195 various conversion factors when using different codes quickly becomes tedious. Enforcing the use of units therefore eliminates an important source of errors.

# 2.4 Data model

The interfaces to the code send low-level data types (e.g. an array of floats) over the remote function channel. While this is simple and closely matches the underlying C or Fortran interface, it

- 200 needs considerable duplicated bookkeepingone needs to duplicate much of the 'bookkeeping' (i.e. organization of the different arrays and their indexing) in the user script if the low level data types are used directly. Therefore, in order to simplify working with the codes, a data model is added to the interfaces based on the construction of high-level objects that store the data (Fig. 4). Two base data stores are available: Particle sets and Grids(the-, The main difference between these are that
- 205 Particle sets can be extended dynamically and are unordered, while Grids are fixed when generated, ordered and can be multidimensional). These data. Typically, Grid data structures are used to store the simulation state for the codes in ocean applications. Particle sets can be used for storage of e.g. the particle properties for lagrangian tracker studies. The data stores can either reference memory in the main Python memory space (for sets defined independent of any code) or reference the data
- 210 in the (possibly distributed) memory space of the community code. Subsets can be defined on the sets without additional storage (see fig. 4, these subsets are implemented as views on the underlying local or remote data) and new sets can be constructed using simple operations.

# 2.4.1 Grid support

Compared to AMUSE, OMUSE expands the support of grid data structures by introducing different 215 grid data types. All types of grids share the same base functionality, including grid sampling and slicing, the creation of save points, and the creation of grid copies that include part or all of the

```
(1) grid=new_cartesian_grid((100,100))
(2) grid.ssh=0. units.m
(3) subgrid=grid[0:50,0:50]
(4) channel=QG.grid.new_channel_to( grid )
(5) channel.copy_attributes( ["psi"] )
(6) channel.transform( ["ssh"], lambda x:f0/g*x, ["psi"])
```

Figure 4. Example usage of the high-level grid data structure: (1) initialization of an empty Cartesian grid, (2) defining an attribute, here a scalar field of sea surface height (3) subgrid generation by indexing, (4) definition of an explicit channel from in-code storage to a grid in memory (5) update of grid attributes over the channel, (6) functional transform over a channel.

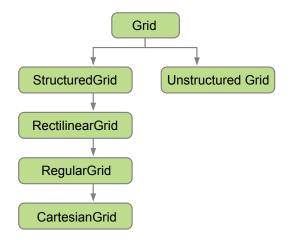


Figure 5. Hierarchy of grid data types in OMUSE. Arrows denote inheritance of the corresponding classes in OMUSE.

grid attributes. The new grid types form a hierarchy (fig. 5), where each grid type has its own set of (derived) grid attributes (such as cell sizes) and utility functions (for basic operations, such as checking overlap or the extent of a grid). The grid types supported are: Cartesian (single, constant cell size, same in each dimension), Regular (constant cell size, different per dimension), Rectilinear (cell boundaries specified per dimension), Structured (cells specified by a grid of corner points) and Unstructured (cell corners are specified for each cell individually).

#### 2.4.2 Grid remappings

220

Grid remapping is a fundamental operation for coupled climate models, where heat and water fluxes are periodically transferred between different component models, each using different grids internally. In many cases, these remappings must be performed in an energy or mass conserving manner to maintain the global conservation conditions of the coupled climate system. As such, OMUSE interfaces with CDO for their implementation of a second-order conservative remapping scheme (see section 3.2). However, different remapping backends can be used within OMUSE.

```
(1) pop = POP(...)
(2) source = pop.elements
(3) adcirc = Adcirc(...)
(4) target = adcirc.elements
(5) remapper = conservative_spherical_remapper(source, target)
(6) remapper.forward_mapping(["ssh"])
```

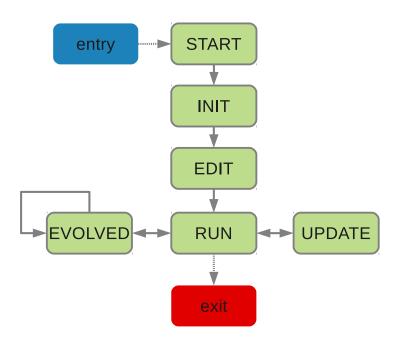
**Figure 6.** Example usage of the high-level grid remapping functionality in OMUSE. In this example, the grid attribute ssh (for 'sea surface height') is remapped from the source grid to the target grid, both stored inside the community codes, using a second-order conservative remapping scheme (the default). Unit conversions are performed automatically by the interface of the receiving community code.

- 230 OMUSE extends AMUSE with support for remapping quantities between different grids (AMUSE included support only for copying data between two equivalent grids). OMUSE allows the user to instantiate grid remapping objects. The remapper is initialized by setting the source and destination grid and can be used to remap a list of grid attributes from one grid to the other.
- The use of such a remapping object is illustrated in Fig. 6, where as an example, the sea surface height values from one ocean model are remapped to the grid of another ocean model. Note that it does not matter (for the syntax) whether the grid values reside inside the community code or in Python memory. In this example both grids are stored in the memory of the community code, and, if needed, unit conversion of the values transferred between the models is automatically performed by the interface of the receiving code, as explained in section 2.3.
- 240 Support for remapping between unstructured grids, is limited in the CDO library. Conservative interpolation of fields represented on unstructured mesh discretisations (Farrell et al., 2009) is being generalised in the libsupermesh library (libSupermesh, 2016) and could be utilised in the future.

# 2.5 State model

The internal work flows of different codes are in general not the same, even if they represent similar physics. This can be due to the differences in the algorithms or simply because of design choices. For example, a change in one of the grid variables may necessitate a reinitialization of variables in one code, while in another code this may not be needed. It is easy to add the corresponding functions for such reinitialization to the interface. The problem with this is that it introduces differences between the interfaces, and is obviously error prone if controlled by the user. In order to manage this, the

- 250 interfaces in OMUSE can be supplied with a representation of the work flow of a code. This is done in the form of a graph consisting of model states as the vertices and the transitions between them as the edges. Model states each have a set of allowable interface function calls. Such an interface call can trigger a transition between states (and for each transition there is a respective interface function). With this *state model* OMUSE keeps track of the state of a, changing the state when needed
- 255 (and calling the corresponding interface methods). The state model will change state automatically



**Figure 7.** Example of a state model in OMUSE. The diagram gives the states that a simulation code can be in. Transitions between these can be triggered by explicit calls to the corresponding function (e.g. initialize\_code from START to INIT) or implicitly (e.g. querying the grid state of a code may only be possible in the RUN state, and in this case the framework will call the necessary functions in order to get to the RUN state, guaranteeing a consistent state of the simulation code in the process). Adapted from Pelupessy et al. (2013).

if an operation is requested that is not allowed in the current state. If the request can not be fulfilled an error is returned. The state model is flexible: states can be added and removed as required. Most codes can be made to conform to a simple state model similar to the six state model shown in Fig. 7.

# 2.6 Object-oriented interfaces

- 260 The object-oriented, or high-level, interfaces are the recommended way of interacting with the community codes. They consist of the low-level MPI interface to a code, with the unit handling, data model and state model on top of this. At this level the interactions with the code are uniform across different codes and the details of the code are hidden as much as possible. A lot of the bookkeeping (arrays / unit conversionsuch as the explicit indexing of arrays and unit conversions) is absent in the
- 265 high-level interface formulation. This makes the high-level interface much easier to work with and less prone to errors: the user does not need to know what internal units the code is using, and does not need to remember the calling sequence nor the specific order of calls.

#### 2.7 IO

Community codes that are included into OMUSE will usually contain subroutines to read in and write
simulation data. This While calls to these can be added to the interface, this functionality is preferably not used within OMUSE. Instead, all simulation data is to be written and read from within the OMUSE script (although in practice there can be reasons to retain some of the original functionality as part of the interface, for example to use existing post-processing scripts). OMUSE includes a default output format based on HDF5<sup>6</sup> that writes out all data pertaining to a data set, effectively
standardizing the IO for all the codes included in the framework. In order to simplify import and

export of data, OMUSE contains a framework for generic I/O to and from different file formats. A number of common file formats used in the oceanographic and climate modelling community are implemented (ADCIRC grid files, netCDF), as well as generic table format file readers.

# 2.8 Data analysis

After a simulation, the generated data needs to be analyzed. Python has good numerical and plotting libraries available, such as Numpy and Matplotlib (Dubois et al., 1996; Hunter, 2007), and thus data analysis can be easily incorporated into the OMUSE workflow. While the simulation codes are running their internal state (as exposed through the interface) is accessible. This provides opportunities for efficient online data analysis, and also monitoring (or visualizing) the state of a running simulation. Based on the state of the model, the simulations can also be scripted beyond what is originally implemented in the simulation code (examples of the latter are event-driven data output, or repeat simulation / resampling according to predefined conditions).

#### **3** Component modules

In the present version, OMUSE contains an initial set of ocean models, namely QG, ADCIRC, POP 290 and SWAN (ideally one would like te reach a 'Noah's arc' milestone, Portegies Zwart et al. (2009), of having at least two independent application codes per domain). The implementation in OMUSE of the code interfaces is described in this section. The models cover different physics and / or a ranges of validity. and allow for are a number of different couplings between them. They also represent different levels of complexity in terms of code implementation, numerical schemes and a variety of

295 discretizations (described below). In addition to the simulation codes, OMUSE also contains support codes, including for example the CDO package introduced above in section 2.4.2 which is used to implement remapping schemes between different grids.

<sup>&</sup>lt;sup>6</sup>http://www.hdfgroup.org

#### 3.1 Simulation codes

# 3.1.1 QG

300 OMUSE includes QG, a code to calculate the dynamics of quasi-geostrophic ocean flow. The flow on a  $\beta$ -plane with Coriolis parameter  $f = f_0 + \beta_0 y$  is described by the barotropic stream function  $\psi$ of the depth-integrated current velocity  $\mathbf{u} = (u, v)$ , with zonal velocity  $u = -\partial \psi / \partial y$  and meridional velocity  $v = \partial \psi / \partial x$ . QG solves the governing barotropic vorticity equation (BVE) for  $\psi$  (Pedlosky, 1996),

$$305 \quad \frac{\partial}{\partial t} \nabla^2 \psi + J(\psi, \nabla^2 \psi) + \beta_0 \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 H} \left( \frac{\partial \tau^y}{\partial x} - \frac{\partial \tau^x}{\partial y} \right) - R_H \nabla^2 \psi + A_H \nabla^4 \psi, \tag{1}$$

where the Jacobian J, here representing the advection of relative vorticity, is defined by

$$J(F,G) = \frac{\partial F}{\partial x}\frac{\partial G}{\partial y} - \frac{\partial F}{\partial y}\frac{\partial G}{\partial x},\tag{2}$$

and  $\tau = (\tau^x, \tau^y)$  represents the wind stress. QG can also solve for the first baroclinic mode of a mode expansion of the continuously stratified quasi-geostrophic vorticity equation (Flierl, 1978).

310 The parameters  $\rho_0$  and H are the reference ocean density and reference ocean depth, respectively.  $R_H$  and  $A_H$  are the bottom and lateral friction coefficients. QG solves (1) on a rectangular domain using a Cartesian grid. Boundary conditions consist of no-mass flux and/or no tangential stress (see for example Dijkstra and Katsman, 1997).

The QG code is written in Fortran 90 and uses the Poisson solver from the fishpack<sup>7</sup> or Intel 315 MKL<sup>8</sup> libraries (depending on compiler). Although conceptually simple, QG provides an instructive case study for importing a code in OMUSE, with its relatively simple internal state and without the complications of coordinate transformations, and serves as a template for other ocean models in OMUSE.

# 3.1.2 POP

- 320 The Parallel Ocean Program (POP) is a parallel global circulation model for ocean flows that solves the three-dimensional primitive equations for a stratified fluid using the hydrostatic and Boussinesq approximations (Smith et al., 2010). POP is often used to calculate strongly eddying ocean circulation models. However, resolving eddies on a scale that captures the instabilities that lead to ocean eddies requires the use of a high-resolution grid. Such high-resolution runs are computationally ex-
- 325

pensive, and POP is also frequently used for simulations at lower resolutions, in this case the effect of eddies is captured using sub-grid parameterizations (Gent and McWilliams, 1990).

The POP grid is a structured 2D grid in the horizontal dimensions, usually in a dipolar or tripolar configuration. POP requires that the grid dimensions are set at compile time. Therefore, we currently

<sup>&</sup>lt;sup>7</sup>www2.cisl.ucar.edu/

<sup>&</sup>lt;sup>8</sup>software.intel.com/en-us/intel-mkl

support two modes in which POP can be used through the OMUSE interface. The high-resolution mode assumes a grid size of  $3600 \times 2400$ , corresponding to a 0.1° resolution. The low-resolution 330 mode assumes grid dimensions of  $320 \times 384$  horizontal grid points, corresponding to a 1.0° resolution with tropical stretching. Vertically, the grid contains 40 or 42 non-equidistant layers, increasing in thickness from several meters near the surface to 250 meters just above the lower boundary at 6000 meters.

- 335 OMUSE interfaces with a version of POP (based on version 2.1) that contains several extensions (van Werkhoven et al., 2014)<sup>9</sup>. This implementation includes a flexible load-balancing scheme and optionally uses Graphics Processing Units (GPUs) to accelerate compute-intensive parts of the code. Considering the fact that it takes at least 1000 simulated years to reach a near statistical equilibrium state, it is common practice to restart POP from a spun-up solution. The so-called 'restart file' and
- 340 other settings can be set through the OMUSE Python interface after the code has been instantiated and reached the 'START' state (see Fig. 7).

As with all codes in OMUSE, the POP interface employs a state machine that tracks the model state and ensures consistency by automatically calling the appropriate transition functions in the low-level interface. To be able to set many of the configuration options through the Python interface

- 345 it was necessary to split several of the initialization routines in the POP source code. This was required because these routines used to read their configuration from a namelist file and immediately proceeded to initialize the model using that configuration. Within OMUSE, the model parameters are set through the interface as part of the Python script.
- As such, the namelist file is only used to provide the code with default settings. After the settings 350 have been read from the namelist, the model halts and waits for the settings that are specific to the experiment to be passed through the interface. When the user has completed configuring the experiment, the state machine will automatically call a state transition function to complete the model initialization and advance the model to a state from which the user can interact with the model data or begin evolving the model.
- 355 The POP interface provides two different ways to supply the model with forcings, such as wind stress, surface heat flux, and surface freshwater flux. The first method is by setting the location of a file containing monthly averages of forcing data that will automatically be interpolated in time by the model. It is also possible to directly supply the model with forcing data through the interface, allowing POP to be coupled with, for example, an atmospheric model. When forcing data is supplied through the interface, POP will not use data from file for that type of forcing. 360

In the OMUSE examples repository<sup>10</sup>, we have included an example Python script for setting up a POP run in high-resolution mode in a cluster environment. The user script has to specify the location of the cluster head node and provide the requested number of nodes and cores and time required for

<sup>9</sup>https://github.com/NLeSC/eSalsa-POP

<sup>&</sup>lt;sup>10</sup>https://bitbucket.org/omuse/omuse-examples/

the simulation. After that the user can instantiate the interface to create a running simulation and interact with the model.

#### 3.1.3 ADCIRC

The Advanced 3D Circulation model (ADCIRC) solves the shallow water primitive equations on a triangular unstructured mesh in either two or three dimensions. Water surface elevations  $\zeta$ , are obtained by solving the vertically-integrated continuity equation in the Generalized Wave Continuity

- 370 Equation (GWCE) formulation (Leuttich and Westerink, 2004). The momentum equations are either solved in vertically integrated form (2D mode), or in 3D (applying the Boussinesq and hydrostatic pressure approximations). In 3D, ADCIRC uses a generalized stretched vertical coordinate system (Leuttich and Westerink, 2004).
- The ADCIRC mesh is represented in the OMUSE interface as an unstructured grid of nodes and 375 elements (which can be accessed as the nodes and elements attributes of an ADCIRC instance), representing the nodes and triangular elements of the grid. In the case of ADCIRC all prognostic variables (with the exception of the wet-dry status of elements) are defined by a linear P<sub>1</sub> finite element Galerkin representation over the entire domain, described by coefficients associated to mesh node positions. For example, in the simplest 2D case these are the water level, its time derivative
- and the current velocities. The attributes of the elements are the nodes of each triangle, and its status (indicating whether an element is dry or wet). In addition to this, the interface defines a forcings grid, which accepts the (possibly time-dependent) forcings. Depending on the parameters of the simulation these can be for example wind stresses, atmospheric pressure, tidal potential, wave stresses etc. Boundaries are represented as sets of grids (one for each segment defined) with a reference to
- the nodes in the boundary segment, a type attribute (describing the type of boundary) and any extra attributes necessary to specify the boundary condition (e.g. the water level for a boundary with prescribed elevations).

# 3.1.4 SWAN

In addition to the above models of hydrodynamical ocean circulation, OMUSE includes an interface
to SWAN (Simulating WAves Nearshore), a code to calculate the propagation of wind-driven surface waves (Zijlema, 2010, and references therein). SWAN uses a statistical description of the space and time varying wave properties, solving for the evolution of the action density N(x,t; σ, θ), defined in terms of the wave energy density spectrum E as N = E/σ, where N is a function of space x, time t, relative radian frequency σ and direction θ. The evolution of the action density is governed by the
action balance equation (e.g. Komen et al., 1994),

$$\frac{\partial N}{\partial t} + \nabla_{\boldsymbol{x}} \cdot \left[ (\boldsymbol{c}_g + \boldsymbol{U}) N \right] + \frac{\partial (\boldsymbol{c}_\sigma N)}{\partial \sigma} + \frac{(\partial \boldsymbol{c}_\theta N)}{\partial \theta} = \frac{S_{\text{tot}}}{\sigma},\tag{3}$$

with  $c_g$  the wave group velocity, U the (depth averaged) current velocity,  $c_\sigma$  and  $c_\theta$  the propagation velocities in spectral and directional space, respectively. The source/sink term  $S_{tot}$  represents the physical processes which generate, dissipate or redistribute wave energy. Amongst them, SWAN

400 includes generation of waves by wind, non-linear transfer of wave energy (including three- and fourwave interactions) and wave decay due to whitecapping, bottom friction and wave breaking (see SWAN, 2015, for more information).

SWAN discretizes Eq. (3) on rectilinear, curvilinear (structured) or unstructured (triangular) grids in one or two dimensions. The OMUSE interface to SWAN supports rectilinear and unstructured grids

405 (curvi-linear grids can be added). The type of grid, as well as the type of grid for the forcings are determined when the code is instantiated. Depending on the selected grid the interface defines a regular grid grid or an unstructured grid with nodes and elements attributes. These have an attribute to access the action density N of the grid. In addition to this, the bathymetry can be specified and a number of potentially time-varying forcing inputs, like water levels, water current velocities and wind velocities can be used (again a separate grid is used for the forcings).

To simplify the interface a few restrictions are placed on the forcings. For example, all the forcings in the interface use the same grid (whereas SWAN supports different grids for different forcings). This is not a limitation: within OMUSE, any regridding (if necessary because the sources of the forcings use different grids) can be done on the framework level. If both calculation grid and input grid are unstructured, they are both assumed to use the same grid.

In case of stationary calculations, the interface still defines an evolve\_model, but it simply calculates the stationary action density (for all input times). It can still make sense to evaluate this in a time dependent fashion, as the input forcings (and thus the equilibrium state) may change with time.

#### 420 3.2 Support modules

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In addition to the simulation codes, support modules written in different languages can be included in OMUSE. Such a support module may, for example, provide functionality for coupling models. A support module can be interfaced with the same remote function interface as used for simulation codes. Currently, the only support module specific to OMUSE is CDO which is used for computing
grid remapping weights and performing the remapping of quantities between different grids.

#### 3.2.1 CDO

Climate Data Operators (CDO, 2015) is a command-line tool developed and maintained by the Max Planck Institute Hamburg containing over 400 operators that can process and manipulate climate data stored in self-describing file formats, such as netCDF.

430 An OMUSE interface to CDO was created to be able to access the grid remapping functionality within CDO. This library contains a reimplementation of the SCRIP package (Jones, 1999). The

remapping weights computed by SCRIP are used by, which is used in other climate model couplers, such as OASIS (Valcke, 2013) (while other couplers such as the Model Coupling Toolkit(Jacob et al., 2005), and OASIS (Valcke, 2013), Jacob et al. (2005), can use the remapping weights and addresses). In

particular, the second-order conservative remapping scheme implemented in SCRIP is used to com-435 pute remapping weights for conservative exchanges of (e.g. heat and water) fluxes at the oceanatmosphere interface.

A number of minor code modifications were necessary to be able to access the functionality in CDO as a library rather than as a command line tool. The low-level interface in OMUSE has to ensure

- that the internal state of CDO is consistent even though the code is not running as a command line 440 tool. To do this, all grid information has to be propagated correctly to the different grid data storage structures used internally by CDO. In addition, the interface mimics some of the behavior of CDO to produce the exact same results as when invoked from the command line. These include ignoring any land masks in the source and target grids and increasing the number of search bins in the computation of remapping weights. 445

450

OMUSE implements a high-level object-oriented interface (called CDORemapper) on top of the low-level interface to CDO. This remapper can be initialized in three ways: (1) using a precomputed weights file as produced by CDO from the command line, containing all information about the source and destination grids, as well as the remapping weights, (2) using netCDF files for storing source and destination grid information (as used by CDO and SCRIP) and (3) setting OMUSE grid data types

as source or destination grid. Modes (2) and (3) can be combined (if desired), and for these modes the remapping weights are computed automatically as the remapper initializes.

When using the default second-order conservative remapping scheme, the implementation of CDO also computes the gradients of the source field each time a quantity is being remapped. Note that

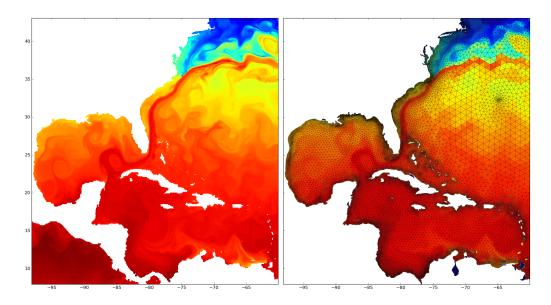
the second-order conservative remapping scheme comes with limitations: the source grid has to be a 455 structured grid because of the way SCRIP computes area integrals for the calculation of the gradients needed for the second order (for more information see the CDO documentation).

In figure 8 we show the result of a remapping performed by the CDO remapper using the OMUSE interface. A sea surface temperature field is remapped from POP using a  $0.1^{\circ}$  tripole grid to an unstructured grid. The second-order conservative remapping scheme was used to compute the remap-460 ping weights based on the grid information presented by the OMUSE interfaces of both simulations.

# 3.3 Extending OMUSE

The effort required to import or interface an additional code with OMUSE varies with the code complexity and depending on whether a similar code already exists within the framework (in this

respect the codes already included provide a good starting point). In order to be interfaced, a code 465 needs to be written in a programming language for which MPI or socket bindings are available. The



**Figure 8.** Result of a remapping performed by the CDO remapper using the OMUSE interface. A sea surface temperature field is remapped from POP using a  $0.1^{\circ}$  tripole grid (on the left) to the elements of an unstructured grid (on the right).

complete procedure (along with examples) is described in detail in the documentation section of the source distribution and the project website; here we only briefly outline the procedure.

To import a community code, one first creates a directory in the OMUSE community code base directory with the name of the module. The original source tree is imported in a subdirectory (by convention named 'src'). The top-level directory contains the Python side of the interface ('interface.py'), the interface in the native language of the code (e.g. 'interface.c') and a file for the build system ('Makefile').

The Python interface (described in the file interface.py) typically defines two classes: the 475 low-level and the high-level interfaces. The former contains the function definitions of the calls which are redirected through the MPI communications channel to the corresponding call defined in the native interface file (interface.c). The high-level interface defines the units of the arguments of the function calls (see section 2.3). In addition it specifies the parameters of the code, the state model (section 2.5) and the mapping of the object oriented data types to the corresponding low-level

480 calls. By default, the data of the simulation is maintained in the community code's memory (and accessed transparently as described in section 2.4).

For modern and modular codes, often no or little changes in the original source code base (in 'src') are needed. In other cases, a code may need significant source code changes (e.g. to separate the initilization stages and timestepping) or additions to implement functionality that is required for

485 the OMUSE interface (e.g. externally imposed boundary conditions for grids). In these cases more

effort is required to import the code and this will also make it more difficult to update the interface to a new version of the community code.

In our experience writing an interface to a new code, which also involves writing tests, testing and debugging the interface, represents a modest amount of work. While every code is different

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and has its own peculiarities, it is typically something that can be completed (by someone with some familiarity with the source code) during a short working visit or small workshop. Defining an interface for a new physical domain can take longer, as these need refinement over time.

#### 4 Code couplings

In addition to providing a unified interface to various types of codes, OMUSE has the objective of 495 facilitating multi-physics simulations. For example, one would like to be able to couple a large-scale ocean circulation code with a regional ocean model (coupling across different scales), or couple a wave propagation model to an ocean flow model (coupling of different physics). Within OMUSE, community codes can be combined into coupled models which have wider applicability than the original codes. The setup of OMUSE allows for this in a transparent manner, such that the coupled 500 models have a similar interface as the individual models.

The types of coupling that OMUSE can be applied to is large, and range from simple input - output coupling to dynamic one-way coupling and to the development of two-way coupled solvers (see more examples Pelupessy et al. (2013)). OMUSE provides the following features to facilitate the building of coupled models: simplified, uniform access to the code simulation state, unified inter-

faces to the state of the simulation domain and its boundary conditions, and extensive automation of 505 bookkeeping data transfer and conversion operations.

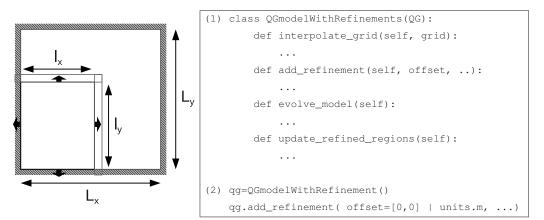
#### 4.1 QG model coupling

Some care is needed in the design of the code interfaces to ensure that couplings are as simple as possible. For example, the internal state of the QG simulation consists of the stream function  $\psi$  on two

510 time levels, these are represented as a grid object with attributes psi, dpsi\_dt and positions x and y. It is more convenient to represent the two time levels as the (backward) time derivative dpsi\_dt, because this representation is independent of the time step (which can be different between codes). The stream function  $\psi$  (and its derivative) can also be queried at any position using an interface function get\_psi\_state\_at\_point. This function performs an (averaging) sampling and provides 515 a grid independent way to query and communicate the physical state. Another way to achieve this

would be to perform a copy using a remapping channel as described in section 2.4.2.

In addition, QG has two mechanisms to receive input from other codes: it calculates the evolution of the stream function using an input wind stress field. This wind stress field can be set by changing the wind stress attributes tau\_x and tau\_y on the forcings grid. These can be copied



**Figure 9.** Schematic (left) and (abbreviated) definition of the refined QG model class (right) with an example (2) of its instantiation.

or remapped from another grid (read in from disk or generated dynamically by another code) or by defining a (time and or position depend) functional form (from an analytic wind model, for example). Other possible inputs are the boundary conditions: ψ and ∂ψ/∂t on the domain boundary. These consist of four grid objects (one for each cardinal direction) of size N<sub>o</sub> × 2, where N<sub>o</sub> is the number of grid points (in the corresponding dimension). Using these boundary grids it is possible
to implement two different strategies to vary the resolution over and/or the shape of the domain, namely grid nesting and domain decomposition.

#### 4.1.1 Nested grid refinement

Depending on the parameters, equation (1) allows solutions with very narrow western boundary currents. Numerically this presents a challenge as the required resolution at this boundary may be 530 much higher than for the rest of the basin. This is a typical situation where a nested solver (e.g. Debreu and Blayo, 2008) may efficiently be employed. We can implement such a multi-grid coupled solver within OMUSE using the base QG as an underlying engine. The solution of (1) is obtained on a base grid with a refined region of higher resolution where the two grids are solved by separate instances of the QG.

- Figure 335 Practically speaking, the following refinement strategy is followed (Fig. 9). Given a parent domain  $L_x \times L_y$  a refined sub domain is defined by its offset, extension  $l_x \times l_y$  and resolution dx. The low resolution region consists of the whole domain  $L_x \times L_y$  (including the refined region). The QG is used to solve for the flow on  $L_x \times L_y$ . A second instance of the QG is used to solve the flow equation (1) on the high resolution subdomain  $l_x \times l_y$  given appropriate boundary conditions. This high resolution
- 540 solution is then resampled and copied back (restriction operation) to correct the corresponding part of the domain on the low resolution grid.

555

If the boundary of the high resolution domain coincides with the boundaries of the parent domain (e.g. the east and south boundaries in Fig. 9) the boundary conditions are inherited from its parent. Otherwise, the boundary of the high resolution region lies in the interior of  $L_x \times L_y$ , in this case

545  $\psi$  and  $\partial \psi / \partial t$  of the boundary can be obtained by interpolation of the low resolution grid. In our template implementation of this multigrid solver, we implement it as a derived interface in OMUSE (Fig. 9). It implements the same high-level interface (i.e. it has the same methods) as the base QG, which allows these two to be used interchangeably. In particular, a refined region can itself have refinements.

#### 4.1.2 Domain decomposition 550

Instead of overlapping domains, we can implement a similar coupling for (two or more) nonoverlapping (or partially overlapping) domains. A problem here is that the information used for the interpolated state on either side of a domain boundary does not carry information of the other domain. In the nested case the low resolution solution is available over the whole domain, so it can provide this information.

This can be solved by iteration, but as the required step at each iteration (solving for  $\partial \psi / \partial t$  using a Poisson solver) is quite expensive, this would be prohibitively inefficient. For this case, the problem can be accelerated by using accelerated vector extrapolation methods such as minimum polynomial extrapolation (MPE, Cabay and Jackson, 1976), i.e. we are solving for the fixed points of

$$\mathbf{560} \quad \mathbf{x}^{k+1} = \mathbf{F}(\mathbf{x}^k), \tag{4}$$

where  $\mathbf{x}^k$  is the vector consisting of the  $\partial \psi_i / \partial t$  values on the boundaries (of all mutually neighbouring domains). In (4),  $\mathbf{F}$  is the operator determining the next vector in this sequence, with iteration index k. This operator is provided by the instances of the QG, which calculates a new set of  $\partial \psi / \partial t$ values from previous set. The MPE method does not need explicit knowledge of the sequence generator, and as such is especially well-suited for the problem here (this information in our case is

565 'hidden' in the QG code). In practice the solution converges within a handful of iterations to satisfactory precision.

The evolve loop of a compound QG consisting of N domains then proceeds as follows: (1) update the internal boundaries of each domain N.  $\psi$  values are interpolated from neighbouring grids, a con-570 sistent set of  $\partial \psi / \partial t$  values are calculated using the MPE method. (2) all the domains are stepped forward in time. An example of this will be shown in section 5.2 below.

Note that both preceding examples (in 4.1.1 and 4.1.2) implement fairly close couplings. Nevertheless, the OMUSE framework can be used to implement these efficiently (both from the viewpoint

575 of effort required to implement them as from a computational viewpoint). The most CPU intensive parts of the computations (i.e. the solutions to the BVE (1)) are executed by the (optimized) QG

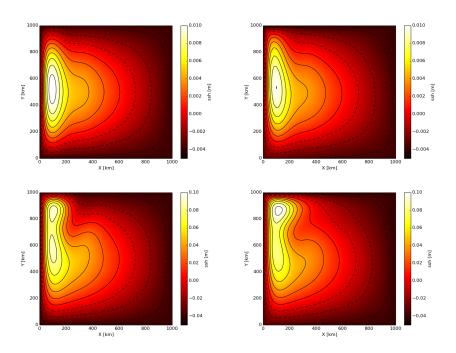


Figure 10. Comparison of QG and ADCIRC for a simplified mid-latitude ocean configuration. Shown is the equilibrium SSH for a square domain basin of equal depth, driven by surface wind stress using the setup of Viebahn and Dijkstra (2014) (resulting in a single gyre solution) at two different Reynolds numbers: R = 1 (top panels) and R = 10 (bottom panels), where  $R = UL/A_H$  and  $U = \tau_0/(\rho\beta_0 LH)$  is a characteristic horizontal velocity. In each case, the left panel shows the solution obtained using QG, and the right panel the ADCIRC solution is shown.

solver, while on the framework level a limited amount of bookkeeping operations and data transfer is operations, such as data transfer and conversions are handled.

#### **5** Applications

- To demonstrate the capabilities of OMUSE we present a number of example applications. These 580 illustrate the application of the unified interfaces of OMUSE to calculate the same problem using different codes (section 5.1), the use of OMUSE to implement intra-code domain decomposition (section 5.2), a two-way coupling between codes with different physics (section 5.3), the embedding of a high resolution region in a low resolution domain using different codes (section 5.4) and the addition of data analysis to a running computation (section 5.5). 585

# 5.1 Critical transitions in a single-gyre ocean circulation model

The idealized classical model of a homogeneous mid-latitude wind-driven ocean (Sverdrup, 1947; Stommel, 1948; Munk, 1950) has been extensively studied using dynamical systems theory (e.g.

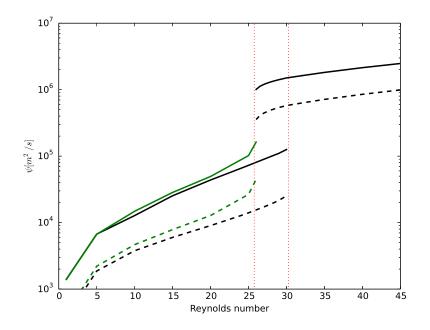


Figure 11. Part of the bifurcation diagram showing the upper and lower branches of steady and oscillatory solutions for a single gyre ocean model. Shown are the mean (dashed) and maximum (solid) value of the stream function for QG (black) and ADCIRC (green) model runs, as a function of the Reynolds number R. For ADCIRC the stream function is calculated as  $\psi = g\zeta/f_0$ , where  $\zeta$  is the free-surface height. The values shown represent time averaged values in case the system shows oscillatory behaviour. The flow undergoes a cyclic fold bifurcation near R = 25 as indicated by the vertical dashed lines (Viebahn and Dijkstra, 2014). The ADCIRC solution becomes (numerically) unstable at this bifurcation.

Ierley and Sheremet, 1995; Sheremet et al., 1997), where the successive bifurcations in single-layer
(constant density) models are analyzed as the parameters of the model are varied. Here we will use two completely different simulation codes to obtain equilibrium solutions and study the bifurcation diagram in a single-gyre setup (Viebahn and Dijkstra, 2014).

The first code QG solves the BVE (1), while ADCIRC solves the primitive equations and does not impose the quasi-geostrophic approximation. In this sense this simple numerical experiment 595 will illustrate a-posteriori the validity of the approximations made in deriving (1). We run the QG simulation for a 1000 km×1000 km basin with a resolution of  $N_o = 200 \times 200$  with parameters  $\beta_0 = 1.8616 \times 10^{-11} (\text{ms})^{-1} R_H = 0 \text{ s}^{-1}$ ,  $A_H = 1194 \text{ m}^2 \text{s}^{-1}$ , and a wind stress

$$\tau^x = -\frac{\tau_0}{\pi} \cos(\pi y/L) \; ; \; \tau^y = 0, \tag{5}$$

where  $\tau_0$  is determined by the adopted Reynolds number  $R = \tau_0/(\rho_0\beta_0A_HH)$  ( $\rho_0 = 1025$  kg/m<sup>3</sup> and H = 4000 m) For ADCIRC, a triangular grid matching this geometry is generated by subdividing the cells of a ( $N_o = 50 \times 50$ ) Cartesian grid into four triangles by adding a vertex to the center of

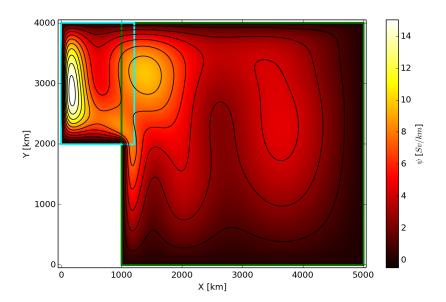


Figure 12. Stream function  $\psi$  for a non-rectangular domain run with QG on a composite domain. Plotted is  $\psi$ after 15 days of evolution with the composite QG code (section 4.1.2) on a domain consisting of two coupled subdomains, indicated by the cyan and green rectangles.

the cell. The parameters of ADCIRC are chosen to match the parameters in QG, and the same wind stress is applied.

In Figure 10 we compare the stable stationary solutions of the two codes (these are obtained by 605 running until the maximum fractional changes in either stream function  $\psi$  (for QG) or sea surface elevation  $\eta$  (for ADCIRC) between two successive diagnostic time intervals changes less than 10<sup>-4</sup>). As can be seen, the two codes calculate solutions that agree well (although small differences can be seen). Figure 11 shows the corresponding bifurcation diagram when varying the Reynolds number. The correspondence between the two codes is good for low Reynolds number, showing the same qualitative behaviour. At the bifurcation (above  $R \approx 25$ ) we found that the solutions obtained by 610 ADCIRC become unstable to a basin-wide fast gravity wave mode, which is not represented in the OG model.

#### 5.2 QG on a composite domain

As a first example of the use of OMUSE to construct new solvers by composing various subcodes, 615 we show the results of an idealized calculation solving the BVE (eq. 1) on composite domains. The coupled solver presented in section 4.1.2 is employed for this. It uses separate instances of QG to calculate the ocean flow (i.e. solutions to equation (1)) for a composite domain. In figure 12 the solution is calculated on a domain with a western boundary that is stepped. The domain (shown in Figure 12) consists of a  $4000 \times 4000$  km basin extended on the western side with a  $1200 \times 2000$  km

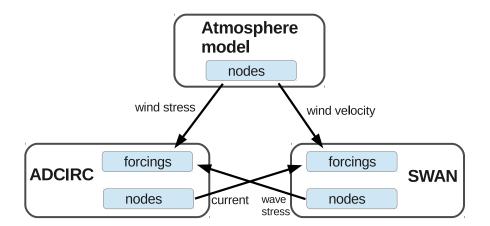


Figure 13. Schematic representation of the ADCIRC-SWAN coupling.

- 620 subdomain (the respective subdmains are indicated in the figure by the green and cyan rectangles). The solution is shown for a Reynolds number R = 10, with similar single gyre forcing as (5) after 15 days of evolution (at this early stage one can distinguish the Rossby waves moving east to west from the interior of the large basin, into the smaller domain).
- Using such a composite domain it is possible to calculate the effects of topographic features on 625 the dynamics of boundary currents, or change the resolution across the domain. Such idealized modelling on a simplified domain is often useful to reduce the real world topography to its essential features, e.g. Le Bars et al. (2012). The example above implements a tailored solver using the high-level OMUSE interface to QG. This demonstrates that the interfaces of OMUSE are capable of expressing fairly tight couplings. The alternative, and maybe more obvious, way to implement such solver is to
- 630 adapt the underlying Poisson solver to various domain shapes, which may involve changing the data representation. In contrast, the implementation here is done without reference to the underlying data structures and in principle does not depend on the grid type or shape used in the underlying solver.

### 5.3 Implementation of a coupled SWAN-ADCIRC model

- The propagation of wind-driven surface waves is sensitive to water levels and current velocities. The
  properties of the underlying circulation will affect the evolution of the wind-driven wave field and
  the location of wave-breaking zones. On the other hand, wind-driven wave transport can generate
  radiation stress gradients that can in turn drive circulation set-up and currents. Currents can also be
  affected by changes in the vertical momentum mixing and bottom friction stresses generated by the
  wind-driven wave field. Thus, in many coastal applications, such as the calculation of storm surges,
  waves and circulation processes should be mutually coupled.
  - Here we will demonstrate the implementation of such a coupling within the OMUSE framework, applying it to a coupling of the ADCIRC circulation model and the SWAN wave propagation model. A fully integrated coupled ADCIRC/SWAN model exists (Dietrich et al., 2011), and below we compare

(1)	<pre>channel1=hurricane.grid.new_channel_to( swan.forcings )</pre>
(2)	<pre>channel2=hurricane.grid.new_channel_to( adcirc.forcings )</pre>
(3)	<pre>channel3=adcirc.nodes.new_channel_to( swan.forcings )</pre>
(4)	<pre>channel4=swan.nodes.new_channel_to( adcirc.forcings )</pre>
(5)	while time <tend:< td=""></tend:<>
(3)	hurricane.evolve_model(time+dt/2)
(4)	<pre>channel1.copy_attributes(["tau_x","tau_y"])</pre>
(5)	<pre>channel2.copy_attributes(["vx", "vy"])</pre>
(6)	adcirc.evolve_model(time+dt/2)
(7)	<pre>swan.evolve_model(time+dt/2)</pre>
(8)	<pre>channel3.copy_attributes(["current_vx","current_vy"])</pre>
(9)	<pre>channel4.copy_attributes(["wave_tau_x","wave_tau_y"])</pre>

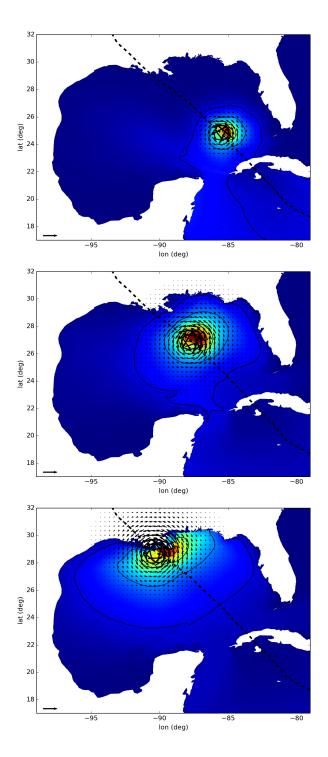
Figure 14. Definition of communication channels and evolve step corresponding to figure 13.

and contrast our method of coupling with this existing approach. The physical interactions between the different simulated components are schematically given in Fig. 13. Figure 14 shows the (some-645 what simplified) OMUSE code corresponding to this model coupling. Note that in this coupling both SWAN and ADCIRC use the same unstructured (triangular) grid. The communication between the codes (as shown in Fig. 14) is handled by channels, whereby the framework handles the copying (and unit conversion) of data.

- 650 As an example we apply the coupled code to calculate the wave height and storm surge of hurricane Gustav (2008)<sup>11</sup> in the Gulf of Mexico. The hurricane is modelled using an analytic prescription (Holland, 1980) from data of a hurricane storm track (positions, central pressures, maximum windspeed, storm radius) read in from file. Implementation of this analytic model is in the form of a Python class mimicking a full simulation code. ADCIRC is run in 2D barotropic mode with meteoro-
- 655 logical forcing from the hurricane model and SWAN provides the wave stresses. There is no forcing on the open ocean boundaries. For the discretization of the action density, SWAN uses 36 bins in the directional space and 32 bins in frequency (from 0.05 to 1 Hz). The standard set of third generation wave parameters, including the effects of wave breaking, bottom friction and 3-wave interaction is used. The time step (dt) between updates of the coupled quantities is 600 seconds.
- 660 In figure 15 we show the resulting wave heights calculated by the model during the development of hurricane Gustav at three different times. The results of the OMUSE coupling are similar to the results of the integrated coupling implementation (Dietrich et al., 2011, and above mentioned website). Technically the coupling as in OMUSE differs from the implementation by Dietrich et al. (2011), as the latter directly copies data in the unified memory space of a single binary (an for that reason is more efficient). However, both implement the same coupled processes and the approach taken by
- 665

<sup>&</sup>lt;sup>11</sup>The data for this example comes from:

http://www.caseydietrich.com/2012/06/27/example-input-files-for-swanadcirc/



**Figure 15.** Significant wave heights for hurricane Gustav (2008), calculated using a coupled ADCIRC-SWAN simulation. The significant wave height field (shading, with contours at 1, 3, 6, 9 and 12 meters) is shown with the (model) wind field superimposed (arrows, where the arrow on the lower left corresponds to 30 m/s), and the storm track (dashed line). Shown are frames 156, 168 and 180 hours after start of the simulation (2008/08/25/0000 UTC), in the three panels from top to bottom, respectively.

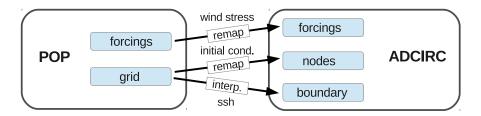


Figure 16. Schematic representation of the POP-ADCIRC one way coupling for an embedded domain. The labelled arrows indicate the use of remapping channels. "remap" stands for a conservative remapping between the structured POP grid and the unstructured ADCIRC grid, while "interp." indicates that the variables are interpolated.

(1)	forcings_channel=pop_forcings_grid.new_remapping_channel_to(
	adcirc.forcings, conservative_spherical_remapper)
(2)	<pre>boundary_channel=pop_grid.grid.new_remapping_channel_to(</pre>
	adcirc.elevation_boundary, interpolating_remapper)
(3)	while time <tend:< th=""></tend:<>
(4)	<pre>pop.evolve_model(time+dt/2)</pre>
(5)	<pre>forcings_channel.copy_attributes(["tau_x","tau_y"])</pre>
(5)	<pre>boundary_channel.copy_attributes(["ssh"])</pre>
(6)	adcirc.evolve_model(time+dt)
(7)	<pre>pop.evolve_model(time+dt)</pre>
(8)	time+=dt
	<ul> <li>(2)</li> <li>(3)</li> <li>(4)</li> <li>(5)</li> <li>(5)</li> <li>(6)</li> <li>(7)</li> </ul>

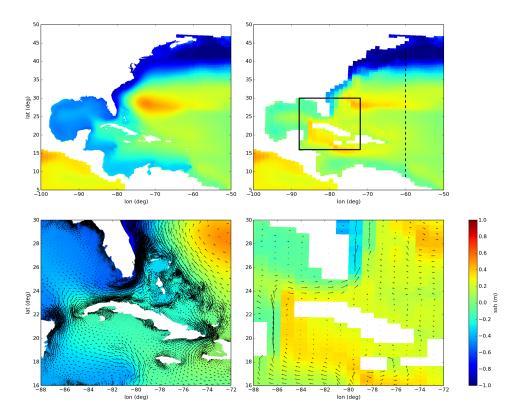
Figure 17. Definition and use of remapping channels for the POP-ADCIRC embedding of figure 16.

OMUSE does not depend on the particular aspects of the selected codes - exactly the same script could be used by other codes using the same interfaces.

# 5.4 Embedded regional model

A recurring problem for regional or coastal modelling is the application of realistic boundary conditions from the open ocean, even more so when one is interested in the effect of large scale or global processes on the regional level. One approach to obtain realistic boundary conditions at the required scale is the nesting of a high resolution and small scale model in a lower resolution but larger scale model (e.g. Debreu et al., 2012; Djath et al., 2014).

Here we illustrate the implementation of (one-way) nesting in OMUSE by embedding a regional high resolution barotropic ADCIRC model of the Caribbean and North American Atlantic coast into a POP global circulation model (see fig. 16). In this case, since POP uses a curvilinear structured grid and ADCIRC an unstructured triangular mesh, it is necessary to perform a remapping when transporting variables from one code to the other (these functional *remapping* channels are indicated in figure 16 by the labelled arrows).



**Figure 18.** Sea surface heights and velocities of a ADCIRC run embedded in a global circulation POP model. Top panels show the sea surface height (SSH) of a region covering the Western North Atlantic Ocean, Caribbean Sea and Gulf of Mexico. The upper left panel shows the high resolution ADCIRC SSH field (superimposed on the POP field) and the upper right panel the low resolution POP field. The black square indicated in the top right panel is shown in more detail in the lower panels where the SSH with velocities superimposed are shown (in the case of ADCIRC the barotropic velocities are shown, for POP the are the surface velocities). The dashed line (top right panel) is the open ocean boundary of the regional ADCIRC model.

- For the actual implementation of the coupling in OMUSE, the difference between using a remapping channel and a normal (data copying) channel (such as the ones used in section 5.3) is small: the only difference with a normal channel is that upon initialization the actual remapping method to be used needs to be specified for a new remapping channel. The usage of the remapping channel to prescribe the data flow in the coupled model (figure 17) uses the same semantics.
- In order to calculate the dynamics of the nested regional model, ADCIRC in 2D barotropic mode needs an input wind stress field and the specification of either the sea surface level or normal fluxes on the boundary. In addition to this, the model can be initialized from remapped flow variables (barotropic velocities and sea surface heights). Note that a fully consistent coupling between the two codes is not possible since they solve for a different set of variables (2D barotropic vs 3D hereelinic). For the (concentral) events here a coupling was made on the sea surface elevation, and
- baroclinic). For the (conceptual) example here, a coupling was made on the sea surface elevation, and

the bathymetry of the ADCIRC grid was limited to 500m depth (so the barotropic basin represented in ADCIRC can only be compared with the upper 500m layer of POP). The time step for the coupling (updates of the boundary surface elevations) is taken to be equal to the POP internal time step of approximately 30 minutes. The remappings are performed at each time step for the wind stresses and for the sea surface heights.

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Figure 18 shows the sea surface heights and velocities on the original low resolution POP grid and the embedded higher resolution ADCIRC grid after 30 days of adjustment (after this the ADCIRC solution follows the (slow) variations of POP). A fully consistent coupling is possible when using ADCIRC in baroclinic mode. In this case, the coupling proceeds (with a larger number of coupling variables involved) along similar lines.

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#### 5.5 On-the-fly data analysis

In addition to consuming massive amounts of CPU time, current large scale simulations are capable of generating enormous amounts of data. Usually, it is possible to store only a very limited subset of this data, this limits the data analysis that can be performed. One solution to this has been to do

- 705 (part of) the analysis on the fly. Online data analysis offers several opportunities, including the fact that special actions can be taken when interesting events occur. Such special actions may include inspecting the model internal data at resolutions, both spatial and temporal, that are not available or feasible with offline data analysis. While running simulations through OMUSE, the simulation state is accessible, and this allows for data analysis while a simulation is running.
- As a proof-of-concept application we add an online ocean eddy tracker on top of the POP model. The interest in ocean eddies comes from the fact that eddies transport considerable energy and mass and as such influence the dynamics of large-scale ocean circulation and the climate (e.g. Viebahn and Eden, 2010; Griffies et al., 2015). To understand eddy properties and variability, several mesoscale eddy tracking algorithms have been proposed in recent years. We have adapted a sea sur-
- 715 face height-based eddy tracking code that is implemented in Python, called py-eddy-tracker (Mason et al., 2014). The code uses high-pass filtered sea level anomaly (SLA) fields. On the filtered fields, contours are computed at 1 cm intervals for levels between -100 cm to 100 cm. These contours are then searched to locate eddies based on their shape, area, and amplitude. py-eddy-tracker tracks eddies across successive sea level anomaly (SLA) fields using a search ellipse, bounded by
- 720 the local (long baroclinic) Rossby wave speed.

We have generalized the code in order to use different data sources, including output that is obtained directly from numerical models. To this end, we have modified the py-eddy-tracker to be able to handle grids that contain gaps, as land-only blocks are not part of the simulation in POP. We use Basemap<sup>12</sup> to compute a landmask for the given grid and apply it to the SLA field. Finally,

<sup>12</sup>http://matplotlib.org/basemap/

```
from omuse.ext.eddy_tracker.interface import EddyTracker
from omuse.community.pop.interface import POP
p=POP( ... ) #start POP as you would do normally
dt_analysis = 7 | units.day
tracker = EddyTracker(grid=p.nodes, domain='Regional',
    lonmin=0. | units.deg, lonmax=50. | units.deg,
    latmin=-45. | units.deg, latmax=-20. | units.deg, dt_analysis)
tnow = p.model_time
stop_time = p.model_time + (1 | units.yr)
while (tnow < stop_time):
   p.evolve_model(tnow + dt_analysis)
   tracker.find_eddies( ssh=p.nodes.ssh, rtime=p.model_time )
   tnow = p.model_time
tracker.stop(tend)
p.stop()
```

Figure 19. This example demonstrates how to build an application that analyzes data from a running simulation using OMUSE. This code implements an online eddy tracking program that tracks the eddies based on sea surface height every seven days for one year of POP simulation.

725 we have created a simple, but easy to use, interface to the py-eddy-tracker that understands the grid data structures and units used in OMUSE.

Figure 19 shows the code required to build an online eddy tracking program with OMUSE. The interface EddyTracker is given the OMUSE grid datatype used by POP and automatically performs unit conversions and extracts the information that it needs (i.e. the sea surface height and the coordinates of the grid points).

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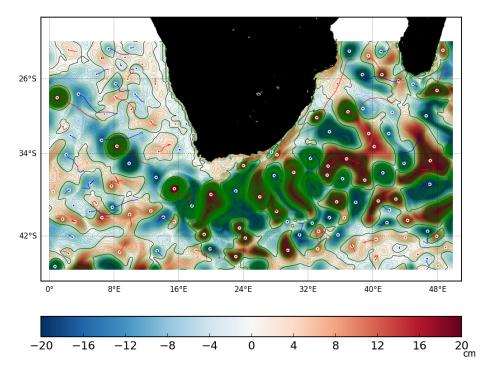
Figure 20 shows the output of the online eddy tracking program that uses sea surface height data directly from a running POP simulation. In this image, we can clearly see the large anticyclonic eddies that result from the retroflection of the Agulhas Current, as well as many smaller eddies being tracked over time by the online eddy tracking algorithm. The data generated by the online eddy 735 tracker can, for example, be used to compare the statistics of the simulated eddies to the analysis

made using py-eddy-tracker (or other tools) of altimetry data.

#### 6 Summary and Discussion

We have presented the Oceanographic Multipurpose Software Environment (OMUSE) which provides a homogeneous interface to existing or newly-developed ocean models. As illustrated by the

740 results in the previous section, the use cases for OMUSE range from running simple numerical experiments with single codes (e.g. section 5.1), to combining simulation codes and data analysis tools (section 5.5) and setting up fairly complicated and strongly coupled solvers (section 5.2) to solve



**Figure 20.** Output of the online eddy tracking application using data from a running POP simulation, showing a region around the southern tip of Africa. The green lines show the contours between areas of different sea level anomaly values. Red indicates areas of elevated sea level, and is used to detect anticyclonic eddies. Similarly, blue indicates a lower sea level, and is used to identify cyclonic eddies. The red or blue lines indicate the track that an eddy has travelled since it was first detected.

problems that are intrinsically multi-scale (section 5.4) and/or require different physics (section 5.3). Using OMUSE, simulations can be easily scripted and on-the-fly data-analysis can be added.

- The implementation of the different use cases is facilitated by several aspects of the OMUSE design. OMUSE defines standardized interfaces and data structures for different codes. The data structures and the state model as well as the communication model used in OMUSE are flexible and allow a wide variety of codes, written in different languages, to be integrated with OMUSE. OMUSE also works well with established methods to generate initial conditions and analyze the resulting data.
- OMUSE shares some of the goals of a number of other coupling frameworks that have been developed in the earth system Earth System modelling community (e.g. Hill et al., 2004; Buis et al., 2006; Gregersen et al., 2007; Jacob et al., 2005; Larson, 2005; Peckham et al., 2013; Valcke, 2013).
   While these follow quite different design strategies, these current coupling frameworks share the ability to initialize different models, move (and if necessary regrid) data between them and manage
- 755 the time evolution of the component models. As mentioned in the introduction, they can roughly be divided into integrated coupler systems and coupling library frameworks (Valcke et al., 2012). For example, the Earth System Modeling Framework (ESMF, Hill et al., 2004) presents an example

of an integrated approach, where component modules are made to conform to a simple calling sequence and called from a single executable (which also implements the coupling algorithm).

- 760 Cossarini et al. (2017) provide an example of a coupling library approach. In this case the coupler consists of a wrapper around a the Biogeochemical Flux Model (BFM) code that can be called from another code (MITgcm). OMUSE shares the characteristic of the integrated approaches that the component models are called from a single executable, in our case by writing a concise Python script expressing the physics of the model. On the other hand, the component models in OMUSE.
- 765 while called from the framework, are running independently of one another and the user process acts like a coupler providing regridding and data conversion services, sharing this characteristic with coupling library frameworks like OASIS (Valcke, 2013), and PALM (Buis et al., 2006).

The closest equivalent is to OMUSE may be the Community Surface Dynamics Modeling System (CSDMS; Peckham et al., 2013). CSDMS and OMUSE follow a similar design philosophy (as summa-

- 770 rized in Peckham et al. (2013)), by aiming for a modular component based modelling framework. This similarity translates, in principle, into inter-operability since the interface components of the CSDMS could be easily adopted for an OMUSE interface (and possibly vice versa). The CSDMS BMI (basic model interface) and CMI (component model interface) are roughly equivalent to the OMUSE low and high-level interfaces, respectively level interface. The main differences between OMUSE and
- 775 CSDMS are that the former presents Python as the main user interface for programming an application, while for the CSDMS there are various choices, including a GUI frontend. In addition, OMUSE simplifies the interaction with the community codes using high-level object-oriented data structures on top of the low level interface and OMUSE has a more extensive and flexible state model., allowing for further automation. The similarities of the CSDMS and OMUSE interfaces translates, in principle,
- 780 into inter-operability between these frameworks since the interface components of a code in the CSDMS can be converted to an OMUSE interface (possibly by a general converter of a BMI to an OMUSE low-level interface). The reverse (using an OMUSE low level interface as BMI) would be possible, but not all functionality provided by an OMUSE interface necessarily maps to a BMI.

It is important to ensure the accuracy, reliability and reproducibility of a integrated framework like OMUSE. We employ a number of strategies to ensure this is the case. The framework itself is tested daily and upon the commit of changes using more than 2000 component tests that cover approximately 80% of the framework code and range from basic tests of the interfaces to the simulation codes as a whole. The simulation codes themselves are validated by comparing the results of test problems run using OMUSE with the results of the code running stand-alone (usually a number of

790 test problems are developed for the simulation codes). In some cases (for example the ADCIRC-SWAN coupling) the results of a coupled solver implemented within OMUSE can be compared with a reference coupling implementation (Dietrich et al., 2011, e.g.). In any case, to ensure the correctness of a new application in OMUSE one should conduct the usual tests to ensure the validity and verify the results.

- An important concern of a coupling framework such as OMUSE is performance. While the initial driver for the development of OMUSE is to simplify the setup and development of coupled simulations, the architecture of OMUSE is designed with a high degree of parallelism. The internal data structures are efficient. Also the individual simulation codes are often highly optimized. So the performance of an OMUSE application is rarely a concern, but this is strongly problem dependent. In practice, the overhead imposed by the framework is often measured to be rather small (less than a
- few percent), but it is not difficult to formulate problems where the strength of the coupling is intrinsically so strong that very frequent communication between the component solvers is necessary.

In this respect a limitation of the current design of OMUSE is the fact that the communication between solvers is handled by the master a single process user script. This imposes a bottleneck for

- 805 the performance of the communication between e.g. two parallel codes. While in the current setup there are some mitigating techniques that can be applied (asynchronous communication or grouping and spawning the communication-intensive subprocesses), ultimately we would need to implement a *distributed* communication channel that would direct the data flow from the sending to the receiving process directly. Note that such distributed communication channels would not change the semantics
- 810 of the use of a channel between data structures.

#### Code availability

The main framework and community modules are production ready. OMUSE is OMUSE is available at the project website https://bitbucket.org/omuse<sup>13</sup>. It is foreseen to grow over time with new codes and capabilities . OMUSE is freely downloadable<sup>14</sup> and comes with a testing framework

815 and basic examples. Furthermore, it and can easily be adapted for private use(the licence is GPL3). OMUSE comes with basic tests and a separate repository with examples is set up at the aforementioned website.

We distribute the simulation codes that are interfaced by OMUSE together with the framework, if the authors distribute their code with OMUSE is distributed under an Apache 2.0 license. This

- 820 referes only to the framework and interface code and not the simulation codes (including the native interface). Where these are distributed under an open source licence, license, community codes can be included in the framework source distribution, otherwise these codes must be downloaded separately. New codes or extensions, as well as bug fixes may be submitted to the repository. OMUSE encourages the practice of distributing simulation codes by reporting automatically , upon conclu-
- sion of an OMUSE script <del>, which community codes the community codes that</del> were used during the run<del>and suggesting , and providing the</del> references for inclusion in any publications<del>.</del>

# **Extending OMUSE**

<sup>&</sup>lt;sup>13</sup>archived versions will be available at the Zenodo archive (DOI:10.5281/zenodo.809336)

<sup>&</sup>lt;sup>14</sup>https://bitbucket.org/omuse/omuse

The effort required to import or interface a code within OMUSE varies with the code complexity, and depending on whether a similar code already exists within the framework (in this respect the codes

- 830 already included provide a good starting point). In order to be interfaced, a code needs to be written in a programming language for which MPI or socket bindings are available. The complete procedure (along with examples) is described in detail in the documentation section of the source distribution and the project website; here we only briefly outline the procedure.
- To import a community code, one first creates a directory in the OMUSE community code base 835 directory with the name of the module. The original source tree is imported in a subdirectory (by convention named 'src'). The top-level directory contains the Python side of the interface ('interface.py'), the interface in the native language of the code (e.g. 'interface.c') and a file for the build system ('Makefile').

The Python interface (described in the file interface.py) typically defines two classes, the low-level interface and the high-level interface. The former contains the function definitions of the calls which are redirected through the MPI communications channel to the corresponding call defined in the native interface file (interface.c). The high-level interface defines the units of the arguments of the function calls (see section 2.3). In addition it specifies the parameters of the code, the state model (section 2.5) and the mapping of the object oriented data types to the corresponding

845 low-level calls. By default, the data of the simulation is maintained in the community code's memory (and accessed transparently as described in section 2.4).-

For modern and modular codes, often no or little changes in the original source code base (in 'src') are needed. In other cases, a code may need significant source code changes (e.g. to separate the initilization stages and timestepping) or additions to implement functionality that is required for the OMUSE interface (e.g. externally imposed boundary conditions for grids). In these cases more

850 the OMUSE interface (e.g. externally imposed boundary conditions for grids). In these cases more effort is required to import the code and this will also make it more difficult to update the interface to a new version of the community code.-

In our experience writing an interface to a new code, which also involves writing tests, testing and debugging the interface, represents a modest amount of work. While every code is different and has its own peculiarities, it is typically something that can be completed (by someone with some familiarity with the source code) during a short working visit or small workshop. Defining an interface for a new domain (exposing new physics) can take longer, as these need refinement over time.

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