



## 1 Constraining a hybrid volatility basis set model for aging of

## 2 wood burning emissions using smog chamber experiments

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### 12 Abstract

13 Semi-volatile and intermediate volatility organic compounds (SVOCs, IVOCs) are not 14 included in the current non-methane volatile organic compounds (NMVOCs) emission 15 inventories but may be important for the formation of secondary organic aerosol (SOA). In 16 this study, novel wood combustion aging experiments performed at different temperatures 17 (263 K and 288 K) in a  $\sim$ 7 m<sup>3</sup> smog chamber were modelled using a hybrid volatility basis set 18 (VBS) box model, representing the emission partitioning and their oxidation against OH. We 19 combine aerosol-chemistry box model simulations with unprecedented measurements of non-20 traditional volatile organic compounds (NTVOCs) from a high-resolution proton transfer 21 reaction mass spectrometer (PTR-MS) and with organic aerosol measurements from an 22 aerosol mass spectrometer (AMS). In so-doing, we are able to observationally-constrain the 23 amounts of different NTVOCs aerosol precursors (in the model) relative to low-volatility and 24 semi-volatile primary organic material  $(OM_{sy})$  which is partitioned based on current published 25 volatility distribution data. By comparing the NTVOCs/OM<sub>sy</sub> ratios at different temperatures, 26 we determine the enthalpies of vaporization of primary biomass burning organic aerosols. 27 Further, the developed model allows for evaluating the evolution of oxidation products of the 28 semi-volatile and volatile precursors with aging. More than 30,000 box model simulations were performed to retrieve the combination of parameters that fit best the observed organic 29 30 aerosol mass and O:C ratios. The parameters investigated include the NTVOC reaction rates 31 and yields as well as enthalpies of vaporization and the O:C of secondary organic aerosol 32 surrogates. Our results suggest an average ratio of NTVOCs to the sum of non-volatile and





33 semi-volatile organic compounds of ~4.75. The mass yields of these compounds determined 34 for a wide range of atmospherically relevant temperatures and organic aerosol (OA) 35 concentrations were predicted to vary between 8 and 30 % after 5 hours of continuous aging. Based on the reaction scheme used, reaction rates of the NTVOC mixture range from 3.0 x 36  $10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> to 4.0 x  $10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>. The average enthalpy of vaporization of 37 SOA surrogates was determined to be between 55,000 J mol<sup>-1</sup> and 35,000 J mol<sup>-1</sup> which 38 implies a yield increase of 0.03 - 0.06 % K<sup>-1</sup> with decreasing temperature. The improved VBS 39 40 scheme is suitable for implementation into chemical transport models to predict the burden 41 and oxidation state of primary and secondary biomass burning aerosols.

### 42 **1** Introduction

The fact that some semi-volatile compounds can exist in either gaseous or particulate form results in considerable uncertainties in the emission inventories for fine particulate matter (PM<sub>2.5</sub>) and non-methane volatile organic compounds (NMVOCs). Emissions of PM<sub>2.5</sub> are generally based on emission factors (EF) of primary organic aerosol (POA) which may be over- or under-predicted depending on the measurement method used (Lipsky and Robinson, 2006; Nussbaumer et al., 2008a, 2008b.).

49 In Europe, residential wood-burning emissions constitute one of the main anthropogenic 50 sources of POA and potentially secondary organic aerosol (SOA), especially during winter 51 periods with contribution from 15% to 50% of the total organic mass (Crippa et al., 2013; 52 Waked et al., 2014). Thus, great effort was devoted in the past to better constrain the 53 uncertainties related to wood burning emissions and their evolution in the atmosphere (Denier 54 van der Gon et al., 2015; May et al., 2013). Recent year-long source apportionment studies based on ACSM (aerosol chemical speciation monitor) measurements in central Europe 55 56 suggest that winter secondary organic aerosol fingerprints resembles those measured during 57 chamber studies of biomass burning emission aging (Canonaco et al., 2015).

One of the main complications when dealing with organic aerosol (OA) is imposed by the semi-volatile and highly reactive nature of organic material (Robinson et al., 2007). Depending on ambient conditions freshly emitted primary organic particles can undergo evaporation. The fraction of an organic compound *i* in the condensed phase can be inferred based on the absorptive partitioning theory of Pankow (1994) (Eq. 1). The critical parameters driving the gas-particle partitioning of this compound are its effective saturation concentration,  $C_i^*$ , and the total concentration of organic aerosol,  $C_{OA}$ :





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$$\xi_i = \left(1 + \frac{C_i^*}{C_{OA}}\right)^{-1}; C_{OA} = \sum_i \xi_i C_i,$$
 (1)

Here,  $\xi_i$  is the partitioning coefficient of *i* (condensed-phase mass fraction).  $C_i^*$  is a semiempirical property (inverse of the Pankow-type partitioning coefficient,  $K_P$ ), reflecting not only the saturation vapor pressure of the pure constituents  $\left(p_{L,i}^o\right)$  but also the way they interact with the organic mixture (effectively including liquid phase activities). This formulation essentially determines that at high C<sub>OA</sub> almost all semi-volatile organic aerosols are in the condensed phase with only species with the highest vapour pressures remaining in the gas phase.

73 The volatility basis set approach (VBS) was proposed by Donahue et al., (2006) to provide a 74 framework to enable models to represent both the chemical ageing and the associated 75 evolving volatility of particulate organic matter in the atmosphere. The approach is to separate organics into logarithmically spaced bins of effective saturation concentrations  $C_i^*$ , at 298 K 76 77 and it was later extended (Donahue et al., 2011, 2012) by introducing surrogate compounds 78 with different carbon and oxygen numbers following the group contribution approach based 79 on the SIMPOL method (Pankow and Asher, 2008) (Equation 2). The model becomes 2-80 dimensional, capable of tracking compound volatility and oxidation state (O:C ratios) (Donahue et al., 2011, 2012): 81

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$$\log_{10} C_i^0 = (n_c^0 - n_c^i) b_c - n_o^i b_0 - 2 \frac{n_c^i n_o^i}{n_c^i + n_o^i} b_{CO}$$
 (2)

where  $b_c$  and  $b_0$  represent the carbon-carbon and oxygen-oxygen interactions, respectively, 83  $b_{CO}$  describes the non-ideal solution behaviour and  $n_{C}^{0}$ , equal to 25, represents the reference 84 point for pure hydrocarbons (1 µg m<sup>-3</sup> of alkene).  $n_C^i$  and  $n_O^i$  are the carbon and oxygen 85 86 numbers, respectively, for the *i*th saturation concentration  $(C_i^0)$ . For biomass burning in 87 particular, May et al. (2013) revealed that the majority of the emitted primary OA mass is 88 semi-volatile, with 50 to 80 % of the POA mass evaporating when diluted from plume to 89 ambient concentrations or when heated up to 100°C in a thermodenuder. Based on their 90 results, they proposed a volatility distribution function and enthalpies of vaporization for 91 wood burning smoke (May et al., 2013).

92 Once emitted in the atmosphere, organic compounds are highly reactive towards various 93 oxidants such as the hydroxyl radical (OH), ozone (O<sub>3</sub>) and the nitrate radical (NO<sub>3</sub>). These 94 oxidants can strongly alter the chemical structure of the reacted precursors by generating





95 secondary products with lower or higher volatilities. Linking partitioning and oxidation 96 processes of thousands of emitted organic compounds is one of the main challenges in 97 atmospheric chemistry. The VBS scheme can delineate the transformation of the surrogates 98 upon their functionalization or fragmentation, by changing the compounds' volatility and O:C 99 ratios, consistently with the dominant representative species in that part of the parameter 100 space. Chemical transport models (CTMs) have been increasingly updated with a VBS 101 scheme with varying complexities (Bergström et al., 2012; Ciarelli et al., 2016; Murphy et al., 102 2011; Zhang et al., 2013). A recent landmark paper within the international AeroCom 103 initiative (Tsigaridis et al., 2014), gave a comprehensive audit of the status of organic aerosol 104 schemes in global models, brought together several benchmark observational datasets and 105 intercompared and evaluated the OA simulated by a large number of global aerosol models 106 against them. Results indicate that simulated OA greatly varies between models in terms of 107 POA emissions, SOA formation and complexity of OA parameterizations and the amount of 108 OA remains under-predicted. In the latest EURODELTA3 (EUIII) European model 109 intercomparison, seven different regional models were applied in the European domain during 110 different periods with a focus on the February-March 2009 EUCAARI winter episode 111 (Bessagnet et al., 2014). All models under-predicted the total measured organic fraction 112 mainly due the uncertainties in SOA representation (Bessagnet et al., 2014). Knote et al. 113 (2011) used the COSMO-ART model to investigate its performance as online-coupled 114 chemistry-climate model. In their study domestic wood burning emissions were not included 115 and POA was assumed to be non-volatile, which resulted in a severe under-prediction of OA over the studied domain (Knote et al., 2011). Bergström et al. (2012) used the EMEP model 116 117 for the period of 2002-2007 comparing different partitioning and aging schemes, and their 118 results indicate a potential underestimation of wood-burning emissions in Europe. Founoukis 119 et al. (2014) were among the first to implement the VBS approach into a large-scale aerosol 120 model, following the multiple distribution framework approach proposed by Tsimpidi et al. 121 (2010). They found the approach improved considerably the OA simulated in the model 122 across Europe comparing to a range of observations made during the EUCAARI field campaign (Kulmala et al., 2009, 2011) and from EMEP monitoring network (Tørseth et al., 123 124 2012). Recently, an important new initiative to provide improved information on residential 125 wood combustion (RWC) emission inventory for Europe was carried out by Denier van der 126 Gon et al. (2015) and used as an input in two CTMs (PMCAMx and EMEP MSC-W) for the 127 EUCAARI winter periods (February-March 2009). The new RWC emissions, which are





higher by a factor of 2-3 compared to previous emission inventories, improved the model performance for total OA (Denier van der Gon et al., 2015). Jo et al. (2013) deployed the GEOS-Chem global model to investigate the effect of using different aging constants on modelled SOA. They concluded that model simulations are improved when chemical aging is taken into account, especially for rural regions (Jo et al., 2013). These novel investigations highlight the critical need for a representation of semi-volatile organic species and their evolution in chemical transport models.

135 In this study we perform extensive box-model simulations of wood burning combustion aging 136 experiments performed in a  $\sim$ 7 m<sup>3</sup> smog chamber at different temperatures. Most uncertain 137 parameters namely enthalpies of vaporization of SOA, NTVOCs reaction rates and their 138 yields were investigated by means of brute force simulations, and a best fitting solution, 139 within acceptable physical and errors ranges, was retrieved.

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#### 141 2 Experimental Method

142 Beech (Fagus sylvatica) logs were combusted in a residential wood burner (model type: 143 Avant, Attika from 2009), following the procedure described in (Heringa et al., 2012) and 144 (Bruns et al., 2015). The resulting emissions were sampled from the chimney through a heated line (473 K), diluted by a factor of ~8-10 using an ejector diluter (473 K, DI-1000, 145 Dekati Ltd.) and injected into the smog chamber ( $\sim 7 \text{ m}^3$ ) through a heated line (423 K). 146 Emissions were only sampled during the stable flaming phase of the burn, for 11-21 min and 147 148 total dilution factors ranged from ~100 to 200. Four replicate experiments were conducted at 149 288 K and another four experiments at 263 K. The smog chamber had an average relative 150 humidity of 50% over all eight experiments. Another three experiments were conducted at 151 90% relative humidity and 263 K. After the characterization of the primary emissions, a 152 single dose of d9-butanol (butanol-D9, 98%, Cambridge Isotope Laboratories) was injected 153 into the chamber, to trace the OH concentration (Barmet et al., 2012). A continuous flow of nitrous acid (2.3-2.6 1 min<sup>-1</sup>, ≥99.999%, Air Liquide) into the chamber served as an OH 154 precursor. The chamber was then irradiated with UV light (40 lights, 90-100 W, Cleo 155 156 Performance, Philips) for 4.5-6 h (Platt et al., 2013). The evolution of the gas-phase and particulate phase composition and concentration were monitored in real-time throughout 157 aging. Non-refractory primary and secondary particulate emissions were characterized using a 158 high resolution time-of-flight aerosol mass spectrometer (AMS). Equivalent black carbon 159





160 (eBC) was quantified using a 7-wavelength aethalometer (AE33 Magee Scientific Company, flow rate 2 1 min<sup>-1</sup>) (Drinovec et al., 2015). Particle wall loss rates in the chamber were 161 162 determined using the decay of eBC assuming all particles were lost equally to the walls and 163 that condensable material partitions only to suspended particles. The average particle half-life 164 in the chamber was  $3.4\pm0.7$  h. Non-methane organic gases with a proton affinity greater than 165 that of water were measured using a a high-resolution proton transfer reaction mass spectrometer (PTR-ToF-MS 8000, Ionicon Analytik G.m.b.H.). The PTR-ToF-MS was 166 167 operated with hydronium ( $[H_2O+H]^+$ ) as reagent, a drift tube pressure of 2.2 mbar, a drift tube voltage of 543 V and a drift tube temperature of 90°C leading to a ratio of the electric field 168 169 (E) and the density of the buffer gas (N) in the drift tube (E/N) of 137 Townsend (Td). The 170 analysis of data PTR-ToF-MS data and the identification of the precursors' chemical nature 171 are described in Bruns et al. (2016). The elemental composition of the detected gases was analyzed using the Tofware post-processing software (version 2.4.5), running in the Igor Pro 172 173 6.3 environment (version 6.3, Wavemetrics Inc.). More than 95% of the detected peaks could 174 be assigned to a molecular formula. Approximately 70% of the compounds' chemical 175 structures could be assigned to the observed ions guided by previously reported compounds 176 emitted during residential wood combustion. Here, the lumped sum of the precursors' molar 177 concentrations will be used to constrain the total amount of NTVOCs (Table S1) in the model. 178 Their weighted average O:C ratio, volatility, reaction rate and carbon number will also be 179 presented.

#### 180 **3 Box model**

181 The modelling approach involves two steps.

182 (1) We first modelled the partitioning of POA for the 11 smog chamber experiments (8) experiments at RH=50% and 3 experiments at RH=90%) before the start of the aging. 183 184 This step enables constraining the amounts of material in the different volatility bins 185 and the enthalpy of vaporization of the different surrogates used. The simulations 186 proceeded as follows. Using already available volatility distribution data for primary 187 wood burning emissions (Figure 1) we inferred the total amount of organic material 188 (gas and particle phase) in the low-volatility and semi-volatile ranges (OM<sub>sv</sub>), (0.1<  $C_i^* < 1000 \ \mu g \ m^{-3}$ ), which matched the measured OA concentrations at the beginning 189 190 of the experiments (OA<sub>t=0</sub>). The amount of OM<sub>sv</sub> was then compared to the measured





191NTVOCs, at high and low temperatures and the enthalpies of vaporization of primary192compounds were adjusted such that a comparable NTVOCs/OMsv ratio was obtained193at both temperatures within our experimental variability. We tested several sets of194enthalpies of vaporization characteristic of biomass burning OA derived from May et195al. (2013); the different sets were all physically possible and were determined from196thermodesorber data by assuming different accommodation coefficients.

197 (2) In step 2, the obtained volatility distributions were used to model the aging of the 198 emissions and SOA formation within a hybrid VBS framework. This framework is 199 adapted from Koo et al. (2014); it describes the formation and further evolution of 200 SOA species from different families of precursors. Unlike previous 2D-VBS schemes, 201 the molecular space was not discretised according to the species saturation 202 concentration and oxidation state (e.g. O:C ratios), but rather every SOA surrogate was given an average molecular composition  $-C_xH_yO_z$  – as a function of its 203 204 volatility and the precursor it derived from. This approach significantly decreases the 205 degree of freedom of the model, while still providing a means to evaluate the bulk 206 aerosol oxidation state based on the knowledge of the surrogate molecular 207 composition. The time-dependent OA mass and O:C ratios were used as model constraints. For step 2, only experiments performed at RH=50% were used, as high 208 209 RH might favour further uptake of secondary organic material into the bulk phase, 210 effectively increasing aerosol yields (Zuend and Seinfeld, 2012). Such effects are 211 beyond the scope of this study.

212 In the present study, the bulk micro-physical properties of the condensed phased were not 213 measured. Therefore, for all calculations, we assumed instantaneous reversible absorptive 214 equilibrium of semi-volatile organic species into a well-mixed liquid phase. I.e. the model 215 does invoke diffusion limitations within the condensed phase. These assumptions may 216 influence our results, especially at lower temperatures (e.g. if diffusion limitations were to be 217 considered, higher reaction rates would be required to explain the observations). However, the 218 same assumptions are considered in CTMs and therefore we expect that resulting biases will 219 partially cancel out, providing that the bulk phase properties of chamber and ambient aerosols 220 are not significantly different.

Five volatility bins ranging from 0.1 to 1000  $\mu$ g m<sup>-3</sup> in saturation concentration were used to model the partitioning of the POA and SOA fractions. The weighted average carbon and





223 oxygen numbers of the NTVOCs mixture retrieved from PTR-MS measurements were used in 224 combination with the group contribution approach (Eq. 2) to estimate the average saturation 225 concentration for SOA precursors yielding about ~ $10^6 \mu g m^{-3}$ , which falls within the IVOC 226 saturation concentration range limit (Donahue et al., 2012; Koo et al., 2014; Murphy and 227 Pandis, 2009) (Figure 2).

A total number of 3 sets were chosen to describe the evolution of organic material. The first set was used to distribute the primary emissions (set1). Two other sets were used to model the formation and evolution of SOA. Oxidation products of SVOC material arising from primary emissions were allocated to set2, whereas oxidation products from NTVOCs were allocated to set3 (Figure 3).

233 The specific molecular structures for each of the sets and bins were retrieved using the group 234 contribution approach and the Van Krevelen relation (Table 1). Primary wood burning 235 emissions were placed to range from 14 to 11 carbons (set1) in line with previous studies 236 (Donahue et al., 2012; Koo et al., 2014) and appropriate numbers of oxygen atoms were retrieved (Eq. 2). The oxidation of semi-volatile material would tend to increase the 237 compounds' oxygen number and decrease their volatility and carbon number, due to 238 239 functionalization and fragmentation. We assume that the oxidation of the primary semi-240 volatile compounds with  $C_{11}$ - $C_{14}$  decreases their volatility by one order of magnitude and 241 yields C<sub>9</sub>.C<sub>10</sub> surrogates, placed in set2, based on the work of Donahue et al. (2011, 2012). 242 Based on these assumptions and using the group contribution approach, the oxygen numbers for set 2 is predicted to vary between 2.26 and 4.56 (Figure 2). Thus, the model implicitly 243 244 accounts for the addition of 1.1 to 1.5 oxygen atoms and the loss of 2.75 to 4.25 carbon 245 atoms, with one oxidation step.

Set3, was directly constrained based on the PTR-MS data. The measurements suggested an average NTVOC carbon and oxygen number of about 7 and 1, respectively. Based on reported molecular speciation data (Kleindienst et al., 2007), we expect that the products of  $C_7$  compounds have a  $C_5$ - $C_6$  carbon backbone. These products were placed in set3 following a kernel function based on the distribution of naphthalene oxidation products. At least two oxygens atoms were added to the NTVOC mixture upon their oxidation (Figure 2 and Figure 3). The overall, O:C ratio in the whole space roughly spans the range from 0.1 to 1.0.

Multigeneration chemistry (aging) is also accounted for by the model. Gas-phase products in the semi-volatile range in set2 and set3, once formed, can further react with a rate constant of





 $\begin{array}{ll} 255 & 4 \ge 10^{-11} \ \mathrm{cm^3 \ molecule^{-1} \ s^{-1} \ as \ proposed \ by \ previous \ studies} \ (Donahue \ et \ al., \ 2013; \ Grieshop \\ 256 & et \ al., \ 2009; \ Robinson \ et \ al., \ 2007), \ further \ lowering \ the \ volatility \ of \ the \ products \ by \ one \ order \\ 257 & of \ magnitude. \end{array}$ 

As the modelled species' average carbon number systematically decreases with aging, this approach effectively takes into consideration the compounds' fragmentation. In parallel, the addition of oxygen reflects the compounds' functionalization with aging and the increase in the measured O:C ratio. Therefore, unlike previous 2D-VBS schemes where functionalization and fragmentation are disentangled, the approach adopted here, by decreasing the number of carbon atoms and increasing the number of oxygens atoms, simultaneously describes both processes.

In addition to the constrains mentioned above, three parameters were determined based on experimentally constrained time-dependent OA mass and O:C ratios, i.e., NTVOCs reaction rates and yields as well as average enthalpies of vaporization values for the set 2 and 3. Detailed explanations are presented in the next two sections.

# 3.1.1 Inferring OM<sub>sv</sub> and NTVOCs/OM<sub>sv</sub> ratios from measurements and partitioning theory

We seek to determine, based on the PTR-MS and AMS measurements of gas and particle phase organic material at *t*=0, the ratio NTVOCs/OM<sub>sv</sub> and the enthalpies of vaporization of compounds of the semi-volatile compounds that represent best the observations at high and low temperatures. We modelled the  $OA_{t=0}$  partitioning using two different proposed  $\Delta H_{vapPOA}$ for wood burning:

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277 
$$\Delta H_{vapPOA} = -4\log_{10}(C_{298K}^*) + 85$$
(3)

279 
$$\Delta H_{vapPOA} = -11 \log_{10}(C_{298K}^*) + 70 \tag{4}$$

280

Eq. 3 is the best fitting solution proposed by May et al. (2013), while Eq. 4 represents the lower limit for  $\Delta H_{vapPOA}$  for a solution within the range of experimental uncertainties (Figure 4 in May et al. 2013). We will refer to these solutions as SOL1 for Eq. 3, and SOL2 for Eq. 4. Table 2 reports the measured OA<sub>t=0</sub> for all the 11 experiments, which ranges from 6.0 µg m<sup>-3</sup>





and 22.6  $\mu$ g m<sup>-3</sup>. The amount of OM<sub>sv</sub> that matches the measured OA<sub>t=0</sub> is reported for both 285 286 SOL1 and SOL2. The average NTVOCs/OM<sub>sv</sub> ratios for high and low temperature 287 experiments are reported together with the standard deviation in Table 3. For SOL1 we 288 calculated an average ratio of  $4.2\pm1.1$  at high temperatures and  $7.2\pm2.6$  for low temperatures. 289 SOL2 reduces the differences in the average NTVOCs/OM<sub>sv</sub> ratios at the two temperatures, 290 and therefore will be used to describe the dependency of the primary organic compounds. For 291 SOL2 the overall NTVOCs/OM<sub>sv</sub> ratio between high and low temperature experiments is 292 around 4.75. Figure 4 shows the resolved equilibrium phase partitioning (Eq. 1) between the 293 gas and particle phase at the beginning of each of the 11 smog chamber experiments ( $OA_{t=0}$ ) 294 using SOL2. As expected, most of the material is found in the gas-phase at high temperatures, 295 while at lower temperature only part of the compounds with saturation concentrations (at 20°C) between 100 and 1000 µg m<sup>-3</sup> would reside in the gas-phase. 296

### 297 **3.1.2** Modelling of wood burning aging at low and high temperature

298 In this section we will focus on the emission aging. Using the NTVOCs/OM<sub>sv</sub> ratio and the 299 enthalpies of vaporization retrieved in section 3.1.1, we modelled the eight different smog 300 chamber experiments: No. 1, 2, 3, 4 (low temperature) and No. 8, 9, 10, 11 (high temperature) 301 performed at the same relative humidity (RH = 50%). For each of the eight experiments we 302 injected an average mixture of NTVOCs equal to 4.75 times the OM<sub>sv</sub> mass before the start of 303 the aging. NTVOCs react solely with OH, whose concentration was retrieved from PTR-MS 304 measurements. The temperature dependence of the reaction rates was also taken into account 305 through the Arrhenius equation. The reaction rates  $(k_{OH-NTVOCs})$  and yields (Y) of the NTVOCs as well as enthalpies of vaporization of SOA ( $\Delta H_{vapSOA}$ ) for set2 and set3 were 306 varied within specific physically realistic ranges. We varied  $k_{OH-NTVOCs}$  between 2 and 4 x 10<sup>-</sup> 307 <sup>11</sup> cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup> in steps of 0.1 x  $10^{-11}$  cm<sup>3</sup> molec<sup>-1</sup> s<sup>-1</sup>, and yields between 0.1 and 0.4 ppm 308 ppm<sup>-1</sup> in steps of 0.01 ppm ppm<sup>-1</sup>. Values for  $\Delta H_{vapSOA}$  are still highly uncertain. In this study 309 we explored a wide range of values from 15,000 J mol<sup>-1</sup> to 115,000 J mol<sup>-1</sup> in steps of 20,000 310 J mol<sup>-1</sup>. The model performance for each combination of i, j and k was evaluated in terms of 311 the root mean square error (RMSE) for the eight experiments and a best fitting solution 312 retrieved as the one that minimized the sum of the errors on both the O:C ratio and OA mass 313 (giving the same weight on both quantities). We performed a total number of  $(i \times j \times k \times k)$ 314  $n_{exp}$  = (21 × 31 × 6 × 8) = 31248 simulations, where  $n_{exp}$  are the numbers of aging 315 experiments. Figure 5 shows the total errors for the OA mass (left side) and O:C ratio (right 316





317 side) for different  $\Delta H_{\text{vapSOA}}$ , Y and  $k_{\text{OH-NTVOCS}}$ . These global errors are root mean squared 318 deviations (i.e. for the eight experiments) adjusted to the number of points per experiment. 319 The error on the OA mass varies from a minimum of ~25% up to more than 60 % whereas the 320 errors on the O:C ratio (Figure 5 right side) are lower and they range from approximately 15 321 % up to more than 30 %. For the OA mass, distinct regions with lower errors are visible in the 322 central part of each panel with different  $\Delta H_{\text{vabSOA}}$ , representing the models that fitted best the 323 measured OA. While a similar observation can be made for the O:C, models with high 324  $\Delta H_{\text{vapSOA}}$  tend to reproduce the data less faithfully. The diamonds in Figure 5 indicate the 325 absolute best fitting solution (in yellow) and the ones retrieved with a likelihood-ratio test 326 allowing for 10% error form the best fit (red diamonds). Regions with lower error are localized for  $k_{\text{OH-NTVOCs}} \ge 2.5 \text{ x } 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  between  $\Delta H_{\text{vabSOA}}$  values of 35,000 and 327 55.000 J mol<sup>-1</sup>. 328

329 Figure 6 shows the modelled and measured OA mass for all the 8 aging experiments. The 330 primary organic aerosol fraction is reported as well as the SOA fraction from SVOCs and 331 higher volatility NTVOCs. All the low temperature experiments (No. 1, 2, 3, 4 left side of the 332 panel) were reproduced very well along with the concentration gradients at the end of each the experiments even though the model tends in general to slightly over-predict the final OA 333 334 concentration. The primary fraction slightly increases at the very beginning of the aging phase 335 and it decreases as the experiments proceed as a result of its partitioning to the gas phase and 336 subsequent oxidation. Most of the SOA was predicted to be formed from NTVOCs precursors 337 and only a minor amount from SVOCs. On the other hand, for experiments conducted at 338 higher temperature (No. 8, 9, 10, 11) the OA mass was under-predicted except for experiment 339 No. 8 (see also Figure S1). In this case, SVOCs contribute more significantly to SOA 340 formation compared to low temperature experiments, although the majority of SOA still 341 arises from NTVOCs.

Comparisons between measured and modelled O:C ratios are reported in Fig. 7. Model and observation results match very well, especially upon aging. Significant differences between measured and modelled O:C ratios at the beginning of the experiments highlight on the one hand the variable nature of primary biomass smoke emissions. This variability cannot be accounted for in the model. On the other hand, for some experiments the model underpredicts the measured O:C ratios suggesting that the model parameters describing the O:C of primary emissions are suboptimal. These parameters include directly the carbon and oxygen





number of species in set 1, and indirectly the volatility distributions and enthalpy of
vaporization, which are all adopted from previous published data. The average bias in POA
O:C ratios is ~30%, well within the experimental uncertainties.

### 352 4 Implications for large-scale models

We performed extensive box model simulations of wood burning experiments conducted at 353 two different temperatures (263 and 288 K) in a  $\sim$ 7 m<sup>3</sup> smog chamber facility. By combining 354 new NTVOCs measurements and already available partitioning data for primary wood 355 356 burning emission, we constrained the amounts of NTVOCs that act as SOA precursors. Our 357 estimates indicate that NTVOCs are approximately 4.75 times the amount of total organic material in the 0.1 and 1000  $\mu$ g m<sup>-3</sup> saturation concentration range (OM<sub>sv</sub>). This ratio can be 358 directly used in CTM models in the absence of explicit NTVOCs emissions for wood burning 359 360 in combination with the proposed aging scheme. Specific parameters such as NTVOCs 361 reaction rates  $(k_{OH-NTVOCs})$ , yields (Y) and enthalpies of vaporization of secondary organic aerosol ( $\Delta H_{vapSOA}$ ) were varied using brute force simulations, and their values were retrieved 362 363 for best fitting solutions falling within a physically realistic range. The model predicted that 364 the majority of the SOA formed during the aging-phase arose from NTVOCs precursors and 365 only a smaller amount from SVOCs.

Based on our best fitting solutions, we can now predict the OA mass and composition as well as SOA yields at any given temperature, emission load and OH exposure. This is illustrated in Figure 8 for 3 different OM emission loads ( $OM_{sv} + NTVOCs$ ) of 6, 60 and 600 µg m<sup>-3</sup> and

369 for a wide range of atmospherically relevant temperatures (from 253.15 K to 313.15 K).

Partitioning of POA depends on the temperature and the injection amounts. The primary 370 organic aerosol mass (POA) decreases with temperature by ~0.5%  $K^{-1}$  on average with higher 371 effects predicted at higher loads (0.7% K<sup>-1</sup> at 600 µg m<sup>-3</sup>, 0.3% at 6 µg m<sup>-3</sup>). The partitioning 372 373 coefficient of the primary material increases by about a factor of 1.5 for a 10-fold increase in 374 the emissions. As aging proceeds, POA mass slightly increases as a result of additional partitioning, but after an OH exposure of  $(1.0-1.5) \times 10^7$  molec cm<sup>-3</sup> h, the trend is inversed 375 and POA mass decreases due to the oxidation of semi-volatile primary compounds. This 376 377 effect is more visible at high loads.

From Figure 8, we can also assess the impact of temperature, OH exposure and emission concentrations on SOA yields. The temperature effect on SOA yields is a function of OH





380 exposure, aerosol load, and temperature: i.e.  $\partial Y / \partial T = f(T, C_{OA}, OH_{exp})$ . SOA yields increase by 0.03, 0.06 and 0.05 %  $K^{-1}$  on average for 6, 60 and 600 µg m<sup>-3</sup> respectively, with higher 381 382 effects predicted in general at lower temperatures. The temperature effect on the yields is also 383 greater at higher OH exposures (except for very high loads). An analysis typically performed 384 to estimate the volatility distribution of SOA products is based on SOA yields from chamber 385 data performed at different precursor concentrations. We investigated the impact of the OA 386 concentration on the yield at different temperatures and OH exposure. In Figure S2, an 387 average change in the yield with  $\log C_{OA}$  is shown at the different conditions: 388  $(\partial Y/\partial \log C_{OA}) = f(T, OH_{exp})$ . Note that an increase in SOA yields with the  $\log C_{OA}$  was 389 observed as expected. This increase is not solely due to additional partitioning, but is partially 390 also related to changes in the actual chemical composition and hence volatility distribution of 391 the SOA surrogates, as they age to different extents at different concentrations and different 392 temperatures. We determined a yield increase of 4-9% for a 10-fold increase in emissions, 393 with a higher effect at higher OH exposures and lower temperatures.

From Figure 8, one may evaluate the minimum OH exposure values required for SOA to 394 exceed POA. SOA is predicted to exceed POA after~1.5 x 10<sup>7</sup> molec cm<sup>-3</sup> h, for typical 395 ambient concentrations and temperatures. At low temperatures (263 K) and high loads, SOA 396 might exceed POA at an OH exposure of 9 x  $10^6$  molec cm<sup>-3</sup> h, or in 2-10 hours (at OH 397 concentrations of (1-5) x 10<sup>6</sup> molec cm<sup>-3</sup>), in line with our previously estimated values for 398 399 biomass burning emissions for the typical conditions of haze events (Huang et al., 2014). Comparatively, at 288.15K an OH exposure of 7 x  $10^6$  molec cm<sup>-3</sup> h would be required for 400 SOA to exceed POA, which might be reached within 2 hours or less at typical summer OH 401 concentrations, i.e.  $(5-10) \times 10^6$  molec cm<sup>-3</sup>. These results confirm previous observations that 402 403 SOA formation is very rapid and the SOA fraction might exceed primary emissions within 404 time-scales of hours, even during haze events.

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### 417 Code and/or data availability

418 The VBS box model was written in fortran and was compiled using the Portland group 419 compilers. Simulations were performed under Scientific Linux SL distribution using C shell 420 scripts in order to brute force the chemical and physical parameters. Model output was written 421 in tab-separated text format. Routines to perform the oxidation reactions of SOA precursors 422 and partitioning between particle and gas-phase phase organic material were taken from the CAMx model (available at http://www.camx.com/) and based on the work of Koo et al. 423 424 (2014). Please contact the corresponding author of this publication if you are interested in 425 model application and/or scientific collaboration. 426





### 427 Tables and Figures

- 428 Table 1. Properties of the VBS space. Oxygen numbers for each volatility bin were calculated
- 429 using the group-contribution of Donahue et al. (2011). Hydrogen numbers were calculated
- 430 from the van Krevelen relation (Heald et al., 2010).

	Log (C*)	Oxygen number	Carbon number	Hydrogen number	O:C ratio	Molecular weight
	-1	4.11	11.00	17.89	0.37	216
	0	3.43	11.75	20.07	0.29	216
POA set1	1	2.73	12.50	22.27	0.22	216
	2	2.01	13.25	24.49	0.15	216
	3	1.27	14.00	26.73	0.09	215
	-1	4.53	9.00	13.47	0.50	194
	0	4.00	9.25	14.50	0.43	189
SOA set2	1	3.40	9.50	15.60	0.36	184
	2	2.83	9.75	16.67	0.29	179
	3	2.26	10.00	17.74	0.23	174
	-1	5.25	5.00	4.75	1.05	149
	0	4.70	5.25	5.80	0.90	144
SOA set3	1	4.20	5.50	6.80	0.76	140
	2	3.65	5.75	7.85	0.63	135
	3	3.15	6.00	8.85	0.52	131

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Table 2. Modelled and experimental data for 11 wood burning experiments. OMsv mass at the beginning of each chamber experiments are



reported together with n	neasured	$OA_{t=0}$ at	nd the init	tial NTVO	Cs concen	tration. Tl	ovTVO	Cs)/(OM <sub>sv</sub> )	) indicates	the ratio	between the
measured NTVOCs and a SOL2).	the impo	sed OM <sub>sv</sub>	v mass at t	the beginni	ing of each	ı experime	nt for the	two differe	int $\Delta H_{ m vapPO}$	A solutions	(SOL1 and
	Exp1	Exp2	Exp3	Exp4	Exp5	Exp6	Exp7	Exp8	Exp9	Exp10	Exp11
	T=263 K	T=263 K	T=263 K	T=263 K	T=263 K	T=263 K	T=263 K	T=288 K	T=288 K	T=288 K	T=288 K
	RH=50%	RH=50%	RH=50%	RH=50%	RH=90%	RH=90%	RH=90%	RH=50%	RH=50%	RH=50%	RH=50%
Measured IVOC [µg/m <sup>3</sup> ]	185.1	'	,	79.3	143.5	91.7	68.7	121.5	190.4	174.6	195.7
Measured $OA_{t=0} [\mu g/m^3]$	12.3	8.1	16.7	9.3	12.0	17.7	6.0	22.6	17.5	18.7	18.6
SOL1 Modelled $OM_{sv} \left[ \mu g/m^3 \right]$	17.3	12.1	22.4	13.6	16.9	23.5	9.5	46.6	37.7	39.8	39.6
SOL2 Modelled $OM_{sv} \left[ \mu g/m^3 \right]$	22.7	15.8	29.5	17.8	22.2	31.0	12.3	49.7	40.1	42.4	42.2
SOL1 (NTVOCs)/(OM <sub>sv</sub> )	10.7	,		5.1	8.5	3.9	7.2	2.6	5.0	4.4	4.9
SOL2 (NTV OCs)/(OM <sub>sv</sub> )	8.1	·		4.4	6.4	3.0	5.6	2.4	4.7	4.1	4.6





- 435 Table 3. Solutions used for primary organic aerosol enthalpies of vaporization with averages
- 436 and standard deviations of the  $(NTVOC_s)/(OM_{sv})$  ratio..

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$\Delta H_{vapPOA}$ (kJ mol <sup>-1</sup> )	(NTVOCs)/(OM <sub>sv</sub> ) Average High-T (288 K)	(NTVOCs)/(OM <sub>sv</sub> ) Average Low-T (263 K)
SOL1 -4log <sub>10</sub> (C <sup>*</sup> <sub>298K</sub> ) + 85	4.2±1.1	7.2±2.6
$SOL2 -11log_{10}(C_{298K}^{*}) + 70$	4.0±1.1	5.5±2.0

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Figure 1. Properties of the wood burning POA set. a) O:C ratio, b)  $\Delta H_{\text{vap}}$  c) C number d) O number. Volatility distribution and enthalpies of vaporization were taken from May et al. (2013). Carbon and oxygen numbers were calculated using the group contribution approach of Donahue et al. (2011). Wood burning POA carbon numbers were placed from 14 to 11 and linearly interpolated between the volatility bins.





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Figure 2. Properties of the wood burning POA and SOA sets. a) C number b) O number.
Wood burning SOA carbon numbers were placed from 10 to 5 and linearly interpolated
between the volatility bins. Oxygen numbers were calculated using the group approach of
Donahue et al. (2011). NTVOCs carbon and oxygen numbers were retrieved from PTR-MS
data The red bars indicate the OM emission factors.







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Figure 3. Proposed oxidation scheme: an average mixture of NTVOCs compounds are allowed to react with the hydroxyl radical following a naphthalene kernel mass distribution. Secondary products in the SOA set (set3) are allowed to further react with a reaction rate of  $k_{OH} = 4.0 \times 10^{-11}$  cm<sup>3</sup> molec <sup>-1</sup> s<sup>-1</sup>. Oxidation products from semi-volatile vapours from the POA set (set1) are allowed for further aging in set2. The numbers on the red arrows indicate the NTVOCs yields for each bin for the best fitting solution (ppm ppm<sup>-1</sup>).







466 Figure 4. Partitioning of wood burning POA before the start of the aging for 11 smog467 chamber experiments (SOL2). Gas-phase in red and particle phase in blue.

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- 476 Figure 5. Total error on the OA mass (left side) and on the O:C ratio (right side). White
- 477 regions have an error larger than 60% for the OA mass and 26% for the O:C ratio. The
- 478 number of simulations per experiment is 3906. The red diamonds indicate the likelihood ratio
- 479 test results for solutions within 10% error from the best one (yellow diamond).





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485 Figure 6. Modelled and observed OA mass for low temperature experiments (left side) and 486 high temperature experiments (right side). The model results for the best fitting solution





- 487 (yellow diamond in Figure 5). SOA from NTVOCs and SVOCs as well as POA are reported
- 488 in green, red and blue, respectively.







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494 Figure 7. Modelled (black lines) and observed (red lines) O:C ratio for low temperature



Total initial OMsv + NTVOCs = 600 µg/m<sup>3</sup> Total initial OMsv + NTVOCs = 6 µg/m<sup>3</sup> Total initial OMsv + NTVOCs = 60 µg/m<sup>3</sup> 310 310 310 25 300 300 300 2.0 200 290 290 290 OA µg/m<sup>3</sup> 1.5 15 T [K] 280 2 OA µg/m<sup>3</sup> T [K] T [K] 150 280 280 10 1.0 270 270 270 0.5 260 260 260 0.0 2 3 2 3 4 2 3 497 ure (10<sup>7</sup>molec cm<sup>-3</sup>h) OH exp ure (10<sup>7</sup>molec cm<sup>-3</sup>h) OHe OHex sure (10<sup>7</sup>molec cm<sup>-3</sup>h) Total initial OMsv + NTVOCs = 6 µg/m<sup>3</sup> Total initial OMsv + NTVOCs =  $60 \ \mu g/m^3$ Total initial OMsv + NTVOCs = 600 µg/m 310 310 310 1.5 300 300 300 290 290 1.0 POA µg/m<sup>3</sup> 270 280 290 POA µg/m<sup>3</sup> T [K] 270 280 29 T [K] T [K] 280 POA 0.5 50 270 260 260 260 0.0 1 2 3 4 OH exposure (10<sup>7</sup>molec cm<sup>-3</sup> h) 1 2 3 4 OH exposure (10<sup>7</sup>molec cm<sup>-3</sup> h) 1 2 3 4 OH exposure (10<sup>7</sup>molec cm<sup>-3</sup> h) 498 Total initial OMsv + NTVOCs = 6 µg/m<sup>3</sup> Total initial OMsv + NTVOCs = 60 µg/m<sup>3</sup> Total initial OMsv + NTVOCs = 600 µg/m 310 310 310 300 300 300 T [K] 280 290 T [K] 280 290 SOA/POA [-] 290 SOA/POA [-] SOA/POA [-] 3 T [K] 280 270 270 270 260 260 260 1 2 3 4 OH exposure (10<sup>7</sup>molec cm<sup>-3</sup>h) 1 2 3 4 OH exposure (10<sup>7</sup>molec cm<sup>-3</sup>h) 2 3 4 sure (10<sup>7</sup>molec cm<sup>-3</sup> h) 499 OH exp Total initial OMsv + NTVOCs = 6 µg/m<sup>3</sup> Total initial OMsv + NTVOCs = 60 µg/m<sup>3</sup> Total initial OMsv + NTVOCs = 600 µg/m<sup>3</sup> 310 310 310 30 30 300 30 300 300 - 25 - 20 - 15 7 [K] 7 290 3. 7 [K] 25 25 290 Yield [%] 20 Yield [%] 20 Yield [%] T [K] 280 15 10 10 10 270 270 270 5 260 260 260 1 2 3 4 OH exposure (10<sup>7</sup>molec cm<sup>-3</sup> h) 2 3 re (10<sup>7</sup>molec ) 4 m<sup>-3</sup> h) 2 3 4 sure (10<sup>7</sup>molec cm<sup>-3</sup> h) 2 500 OHe OHe

Figure 8. Predicted OA mass (upper panels, note different scales), POA mass, SOA/POA ratio
(middle panels) and yields (lower panels) at different OMsv + NTVOCs initial load and
atmospheric conditions (*T*).





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