Forest soil carbon stock estimates in a nationwide inventory: evaluating performance of the ROMULv and Yasso07 models in Finland

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Abstract. Dynamic soil models are needed for estimating impact of weather and climate change on soil carbon stocks and fluxes. Here, we evaluate performance of Yasso07 and ROMULv models against forest soil carbon stock measurements. More specifically we ask, if litter quantity, -quality and weather data are sufficient drivers for soil carbon stock estimation.

- 15 We also test whether inclusion of soil water holding capacity improves reliability of modelled soil carbon stock estimates. Litter input of trees was estimated from stem volume maps provided by the National Forest Inventory, while understorey vegetation was estimated using new biomass models. The litter production rates of trees were based on earlier research, while for understorey biomass those were estimated from measured data. We applied Yasso07 and ROMULy models across Finland and ran those models into steady state; thereafter, measured soil carbon stocks were compared with model estimates.
- 20 We found that the role of understorey litter input was underestimated when the Yasso07 model is parameterised, especially in northern Finland. We also found that the inclusion of soil water holding capacity in the ROMULv model improved predictions, especially in southern Finland. Our simulations and measurements show that models using only litter quality, litter quantity and weather data underestimate soil carbon stock in southern Finland and this underestimation is due to omission of the impact of droughts to the decomposition of organic layers. Our results also imply that the ecosystem
- 25 modelling community and greenhouse gas inventories should improve understorey litter estimation in the northern latitudes.

1 Introduction

Soil carbon is a significant component of terrestrial carbon stocks and understanding its dynamics under changing climate is crucial. However, the significance and interactions of different mechanism for long term carbon accumulation are still unknown and therefore often lack from models. If we want to understand the role of different abiotic and environmental 30 factors to soil carbon stocks and their dynamics, we have to combine experimental research with process-based models. One

way forward is to establish soil carbon inventories in order to quantify soil carbon stocks and their change. Conventional soil inventories measuring various nutrients, carbon contents, bulk densities and stoniness (e.g. Gamfeldt et al. 2013) allow us to study the distribution of soil carbon across landscapes and correlations between different soil properties. Generally, it is shown that soil carbon inventories are able to produce soil maps and covariates between soil carbon quantities and other

5 variables, such as various nutrients; although, the sample size of these inventories is usually not adequate for national-level soil carbon stock change assessment, with few exceptions (e.g., Sweden and Germany) (Gamfeldt et al. 2013, Grüneberg et al. 2014).

According to the United Nations climate convention, countries are requested to report annual carbon stock changes of soils under different land-uses and under land-use change. The majority of countries apply soil carbon models, like Yasso07 10 (Tuomi et al. 2011) and CENTURY (Parton et al. 1987) to estimate soil carbon stock changes. These annual submission are

- reviewed annually and methods should be transparent and verifiable favouring simple soil models instead of complex ones. The scientific community is also aiming to predict future soil–climate change feedbacks on a global scale using Earth system models (ESMs). The ESMs are tested against soil carbon measurements in order to evaluate model performance but unfortunately results have been poor. Guenet et al. (2013) present a test whereby soil carbon stocks predicted by
- 15 ORCHIDEE model were plotted at a plot level against measurements and failed to display any correlation. Similarly, Todd-Brown et al. (2013) concluded that most ESMs are not able to produce measured soil carbon stocks at a grid level. This finding is somewhat alarming due to fact that it is essential to have correct initial carbon stocks with soil models, because carbon stock change estimates depend on those. Initial soil carbon stock estimates are particularly important when carbon stock changes of deforestation events are modelled.
- 20 Individual soil carbon models are tested against repeated soil inventories and it has been found that models are able to estimate soil carbon stock change of the same magnitude as was measured (Ortiz et al. 2009, Rantakari et al. 2012). The limitation of this conclusion was that uncertainties of both measurements and model estimates are often higher that actual estimates (Ortiz et al. 2013, Rantakari et al. 2012). While the uncertainties between model output and real measurements reveal whether models agree with data or not, they put less emphasis on whether all the most important soil carbon stock 25 drivers were included in these models.
- Simplistic soil carbon models like Yasso07 (Tuomi et al. 2011) are driven only by weather conditions and by litter input; while more complex models, like ROMUL (Chertov et al. 2001) also include the nitrogen cycle and the impact of soil water holding capacity on decomposition. It is evident that soil properties affect soil carbon stocks (Schimel et al. 1994, Six et al. 2002) and therefore they are explicitly included in complex models. For example, in the CENTURY model clay content
- 30 limits decomposition (Parton et al. 1987) and due to the low specific surface area of clay minerals in the model, clay rich soils have larger passive soil carbon stocks and lower C:N ratios (Parfitt et al. 1997). In simplistic models soil properties are omitted. For example, in Yasso07 the role of soil properties on soil carbon stock accumulation is included only implicitly through the model calibration with large datasets (Tuomi et al. 2011). Although the simpler models lack some predominant drivers of soil carbon accumulation, the strength of these models lies in their easier calibration with data; however, the

impact of soil properties, especially nutrient status, on the accuracy of estimated soil carbon stock estimates needs to be reevaluated in both CENTURY and Yasso07 models (Tupek et al. 2016).

It is well known that decomposition and soil respiration is controlled by water content, whereby in dry soils lack of water slows down decomposition, while excess water reduces it by limiting oxygen diffusion (Skopp et al. 1990). For boreal forest

- 5 conditions, Pumpanen et al. (2003) proposed a model whereby maximum soil respiration drops after the relative water content of soil reaches a level of 60%. The limiting effect of soil moisture on decomposition in dry or water-saturated soils is widely included in models, although the degree of this dependency varies widely between models (Sierra et al. 2015). In addition to the model structure, precise and accurate estimates of litter input quantity and quality are also essential for
- successful model applications. Stand-alone soil models rely on forest inventory data or other external estimates for getting 10 correct litter inputs, while ecosystem models utilise plant sub-models to describe vegetation productivity and litter inputs. A common feature of ecosystem models and stand-alone soil models is that often understorey vegetation is neglected during the calibration and application of models, resulting in biased litter inputs. This omission is more critical in boreal landscapes where the contribution of understorey vegetation increases with northerly latitude. For example, Yuan et al. (2014) report that the bryophyte biomass contributes 20%–60% of the total normalized difference vegetation index (NDVI) in high
- 15 northern latitudes.

In order to assess the necessary drivers for soil carbon models in Finland we tested the performance of Yasso07 and ROMULv models against measured nation-wide soil C data. The ROMULv refers to a modified ROMUL model version where decomposition rate functions are derived from the model presented by Pumpanen et al. (2003), and a simple volumetric soil water model (Linkosalo et al. 2013) is applied to drive those decomposition functions.

- 20 We were specifically interested if the additional complexity of processes introduced by ROMULv improves the soil C stock estimate over Yasso07 model that is presently used by greenhouse gas (GHG) inventories of several countries. The Yasso07 and ROMULv models differed in their time steps (annually versus daily), determination of the litter quality (litter solubility versus nitrogen content and litter source) and complexity of drivers (ROMULv including the impact of nitrogen and soil water holding capacity to decomposition). Specifically with these models we tested:
- 25 1. Whether the litter quantity, species specific litter quality and weather data are enough to estimate spatial trends of carbon stocks in the upland soils of Finland. We hypothesise that by accounting for soil water holding capacity, ROMULv would outperform, (i) ROMULv with constant soil water holding capacity and (ii) Yasso07 model with different parametrisations (Yasso07 excludes soil properties entirely).

2. Weather improving the estimation of understory litter input would positively affect accuracy of predicted soil 30 carbon stocks.

We use Yasso07 and ROMULv soil models to estimate steady-state carbon stocks for upland soils in Finland on a spatial 10×10 km² grid. We ran Yasso07 model with parameters based on Scandinavian data (Rantakari et al. 2012) and also with parameters based on global data (Tuomi et al. 2011). The parameterisation for the ROMULv model was the same as in the original ROMUL model (Chertov et al. 2001), except for decomposition rate functions depending on soil water content

derived from Linkosalo et al. (2013). Yasso07 and ROMULv models run with identically estimated litter quantity, quality and climate data. In addition, the ROMULv model was tested with both constant soil water holding capacity as well as variable water holding capacity based on digital soil maps of Lilja and Nevalainen (2006). Furthermore, we developed new models for the understorey litter input and apply them alongside soil carbon models for improved estimates of spatial 5 variation of litter inputs. Simulated soil carbon stocks are evaluated against measured soil carbon stocks.

2 Material and methods

Soil carbon simulations were performed for $10 \times 10 \text{km}^2$ grid across Finland. This grid is used for meteorological data 10 prediction (Venäläinen et al. 2005). Litter input was estimated for the same grid. From the grid, only locations that were on upland soils and on forest, according to Food and Agriculture Organization of the United Nations (FAO) forest definitions were chosen. This classification is based on Multisource National Forest Inventory products, which combine digital maps (including e.g. land-use and peatlands), forest inventory data and Landsat images (Tomppo et al. 2008).

2.1 Tree biomass

15 Firstly, stem volume maps by tree species from the National Forest Inventory 9 (NFI9, 1996-2003) were used, according to Tomppo et al. (2011), to account for large-scale variation of stem volume across Finland. These variations are primarily driven by soil properties, climate, site productivity, forest management techniques and tree species distribution.

Secondly, biomass expansion factors (BEFs, Mg/m³) were estimated for main tree species groups, namely: Scots pine (*Pinus sylvestris*), Norway spruce (*Picea abies*) and for broadleaved species (mainly *Betula* sp.). These BEFs were estimated for 20 each biomass component (foliage, branches, bark, stemwood, stump and woody roots). Biomasses were estimated for sample trees in NFI10 based biomass models by Repola (2008, 2009); thereafter, mean BEFs were estimated at a cluster level (a cluster is formed of 10 to 15 field plots) by dividing the sum of given biomass components by the estimated sum of stem volumes. Biomass estimates for trees were based on biomass models, where diameter at breast height, tree height, crown height, increment of five years and bark thickness are used as predictors. To upscale BEFs across Finland, we applied 25 collocated co-kriging (Bivand et al. 2008) by species group, to account for large-scale spatial correlation and co-variation of tree allometry. We used the *gstat* (Pebesma 2004) package of R (R Core Team 2014) for estimation. For Scots pine and

- Norway spruce we removed linear trends of latitude and longitude (using uniform coordinate system of Finland, YKJ), while for deciduous trees only trends of latitude were removed. For all species groups and components we assumed spherical variogram functions. For the details of the used biomass models see Appendix 7c by Statistics Finland (2014). Biomass
- 30 components for each grid point were obtained by multiplying stem volume maps by species with component species-specific BEF estimates that were estimated via co-kriging for the same grid.

Biomass of harvest residues and natural mortality were estimated based on forest statistics and NFI data (Ylitalo 2013). From statistics, we attained an estimate of the stem volume of annual loggings and natural mortality by region (forestry centres) and these were subsequently converted to biomass with BEFs. These BEFs were based on a subset of permanent sample plots of NFI9 (1996–2003) and NFI10 (2004–2008). BEFs for logging were estimated separately from the subset of logged plots and these logging specific BEFs were used in the estimation of biomass of harvest residues. Furthermore, energy use of stumps and harvest residues were deducted from regional soil inputs, based on regional wood energy use

5 (Ylitalo 2013). For biomass of natural mortality, BEFs were estimated based on data from those trees that died on permanent sample plots between measurements. Thereafter the volume of natural mortality was multiplied with corresponding BEFs. This procedure followed principles of Finnish greenhouse gas (GHG) inventory (Statistics Finland 2014). Fine root biomass was estimated based on the work of Lehtonen et al. (2016). We selected a simple model formulation,

where a natural logarithm of fine root mass was estimated as a function of the natural logarithm of stem volume. See Model 10 1 in Lehtonen et al. (2016) for details (study has 95 sites with fine root data, and model 1 has an adj- R^2 of 0.217). This model was used to approximate general fine root mass levels as a function of stem volume for each 10×10 km² grid point.

2.2 Understorey vegetation biomass

- 15 In order to estimate the litter input of understorey vegetation to soils, we developed models for vegetation biomass. The relationship between the visually estimated percentage cover of plant species including vascular plants, bryophytes and lichens (projected onto the forest floor using 30×30 cm² frames) and their living biomass was studied in 18 forest stands across Finland (Table 1). The plots are part of the International Co-operative Programme on Assessment and Monitoring of Air Pollution Effects on Forests (ICP) intensive monitoring plot network (e.g. Merilä et al. 2014). Altogether 28
- 20 systematically selected biomass samples were taken once from each plot during the period 2002 to 2009. In total sample size was 504 of 0.3 \times 0.3 m² vegetation plots having detailed biomass measurements by species. Vascular plants were divided into aboveground (shoots) and belowground (rhizomes and roots in organic layer) parts. The study was carried out at the time of maximum biomass and vegetation growth between the end of July and end of August. Half of the plots were located in the north (five in *Pinus sylvestris*, three in *Picea abies* and one in *Betula pubescens* dominated stands) and the other half were in
- 25 the south (four in *Pinus sylvestris*, four in *Picea abies* and one in *Betula pendula* dominated stands). The site types of the plots ranged from poor to rich fertility level (Table 1).

In order to predict the vegetation biomass, we built linear mixed models based on the relative coverage of five functional plant groups (dwarf shrubs, grasses, herbs, bryophytes and lichens). The biomass of understorey vegetation was estimated using models that correlate vegetation coverage with measured biomass. These models were estimated for the five

30 aforementioned main species groups and for their belowground parts, if applicable. These models were estimated separately for southern and northern Finland. We used a linear mixed model with plot-level random effects using the *lme* command in *nlme* package (Pinheiro et al. 2012) of the R environment for the estimation (R Core Team 2014).

Each model was weighted according to the land area of different site types in southern and northern Finland using the weights option in *lme*. This was done to ensure that the sample of understorey biomass plots did not underestimate the weight of the most common site types (those of medium fertility). The weighting was performed on land areas based on NFI11 data (Ylitalo 2013). Models were linearised by taking natural logarithms from biomass and coverage. For model 5 parameters, bias correction and their uncertainties see Supplement (Table S1, Fig. S1 and Fig. S2).

- To quantify the biomass of understorey vegetation we used species-specific coverage measurements of 2501 permanent sample plots from 1995 forest inventory data (Mäkipää and Heikkinen 2003). We applied collocated co-kriging methods to account for the correlation between species groups and thereafter we generalised measured understorey coverage on a $10 \times$ 10 km² grid on upland soils across Finland (Bivand et al. 2008). The co-kriging method was similar to that of BEF
- 10 estimation described above. First, we de-trended all species group coverage (shrubs, herbs and grasses, lichens and bryophytes) by linear trends of latitude and longitude (using uniform coordinate system of Finland, YKJ). After that we estimated variograms and cross-variograms and applied co-kriging methods to predict coverage for understorey coverage for Finland by *gstat* package of R (R Core Team 2014) (for R code, variograms and cross-variograms, see Supplement). For all species groups we assumed spherical variograms with common range of 100 km.

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2.3 Litter input estimation

To estimate litter input from living biomass components to the soil, litter turnover rates were used (Table 2). For needles, we used the detailed 10×10 km² grid litter turnover rates reported by Tupek et al. (2015). For tree fine roots we assumed that the life span was 1.18 years for southern Finland and 2 years for northern Finland (Kleja et al. 2008, Leppälammi-Kujansuu 20 et al. 2014). In order to interpolate fine root turnover rates for Finland we assumed a linear dependency for turnover rate and

- mean temperature sum (mean for 1981–2010). For areas with a temperature sum (5 C˚ threshold) higher than 1200 degrees a turnover rate of 85% was used and for areas where temperature sums less than 700 degrees a rate of 50% was used. Turnover rates were interpolated between 700 and 1200 degrees (see Supplement).
- For branch and coarse roots litter, the constant turnover rates of the branches were used across Finland (Lehtonen et al. 2004, 25 Muukkonen and Lehtonen 2004). For bark litter we assumed a constant ratio to stem biomass according to Viro (1955) and Mälkönen (1977). For fellings and natural mortality we assumed that all biomass was left to the site, excluding stems for wood used and harvesting residues for energy use. The quantity of wood use was based on regional forestry statistics (Ylitalo 2013).

The turnover rates of different functional plant groups were partly based on literature (Table 2) but we also estimated some

30 rates from our own biomass samples of understorey vegetation described above. We calculated the proportion of the currentyear growth out of the total living biomass of dwarf shrub shoots to estimate the turnover rates for aboveground plant parts. We used the average values of deciduous and evergreen dwarf shrubs. Most of the grasses growing in boreal forests (like

Deschampsia flexuosa) are perennial and we used the ratio between the living and dead biomass to estimate the turnover rate of those species. The aboveground parts of the most herb species (like *Maiathemum bifolium*) are annual, so we used 100% for their turnover rate. Furthermore, we used the number of annual growth segments in the green upper parts of the bryophytes to estimate their rate. We assumed that over a long time period the estimate for annual biomass growth 5 corresponds to the amount of litter input to the soil from understorey vegetation.

Nitrogen content of all litter components was derived from Komarov et al. (2007), for details see Supplement.

2.4 Application of soil carbon models

- 10 Carbon stocks were estimated by running Yasso07 and ROMULv models into a steady state (i.e., a state where carbon input for the model equals carbon flux due to decomposition). For a dynamic model a steady state is a state to which model aims with given inputs. Here model inputs were: average climatic conditions (1961–2012) and litter inputs for each grid point, depending on dominant tree species and understorey vegetation coverage plus regional estimates of litter from harvestings and natural mortality (sc. forestry centres). If we assume that this average level of inputs and climate has remained steady over centuries, then our soils should approach steady state conditions. Litter inputs were given to these models as 10×10 km² 15
- grid points and weather data of that given grid point was then used for model input. When evaluating model results for Yasso07, fresh woody litter and recently dead wood litter were excluded from model state variables, while all non-woody material and humus boxes (including more heavily decomposed material from fine-woodyand coarse-woody litter) were accounted as soil carbon stock. This separation was done as Yasso07 slows down
- 20 decomposition of logs of high diameter, which increases soil steady state carbon stock estimates. Data from Biosoil does not include dead wood masses in soil carbon stocks. For ROMULv we included all model state variables to the comparison, noting that log size does not affect decomposition.

2.4.1 Climate data

Daily weather data for steady state simulations are available as kriging estimates since 1961 at a 10 \times 10 km² resolution

25 across Finland (Venäläinen et al. 2005). Weather data for the grid points on upland soils and on forested land, according to multisource NFI, were included (Tomppo et al. 2008).

Daily weather predictions for a 10×10 km² grid were aggregated for the Yasso07 model from 1961 to 2012. The average temperature, temperature amplitude and precipitation of each grid cell were provided to Yasso07 to estimate the impact of climate on soil carbon stock steady states. The ROMULv model was driven by mean daily temperature and precipitation for

30 each grid cell.

2.4.2 Yasso07

The Yasso07 soil carbon model (Tuomi et al. 2011) is driven by litter quantity, litter quality and weather (temperature and precipitation). This model is widely used in the greenhouse gas inventories of European countries (e.g., Finland, Norway and Switzerland). This model has been also successfully coupled with climate-carbon cycle model ECHAM5/JSBACH (Thum et

5 al. 2011).

The Yasso07 is a simple dynamic model with fluxes and state variables. The model has five compartments: acid, water, ethanol, non-soluble and humus boxes. Division of the litter input according to the litter solubility was species specific and followed that of Finnish GHG inventory, based on the appendix of Yasso07 manual by Liski et al (2009). Organic matter flows between these boxes and to atmosphere variably according to weather conditions (Fig. 1). The model builds on the

- 10 assumption that organic matter solubility defines litter quality, while decomposition rates while fluxes are estimated numerically, without *a priori* assumptions. The model was calibrated using a large database of litter and wood decomposition measurements, and measurements of age chronosequences of soil carbon stocks (Liski et al. 2005, Liski et al. 1998, Rantakari et al. 2012, Tuomi et al. 2011). The Yasso07 soil carbon model parameter values were estimated with Markov chain Monte Carlo (MCMC) methods for which the source code is publicly available through the model website
- 15 [\(www.syke.fi\)](http://www.syke.fi/) (Tuomi et al. 2011). Here, we used the Yasso07 model with Scandinavian parameters (Rantakari et al. 2012) and with global parameters, these being a preliminary version from Tuomi et al., (2011) parameters (practically identical). Yasso07 was run with total litter input (from trees and understorey vegetation) and also with litter excluding that of understorey vegetation. The model was applied with annual time-steps. Model has been calibrated with litter input estimates and soil carbon measurements down to 1 meter soil depth. See the Supplement for more details of Yasso07.

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2.4.3 ROMULv

ROMUL is a soil organic matter decomposition model developed by Chertov et al., (2001). It describes the flux of organic matter through the decomposition process, separated in cohorts of different litter origins: leaves, shoots, trunks, coarse roots, fine roots and ground vegetation. Litter from above ground (leaves, shoots and stems) falls onto the forest floor, whereas the 25 root litter decomposes in the mineral soil layer. In the final stages, all decomposing matter ends up in a common storage of semi-stable humus residing in the mineral soil layer (Fig. 1). Decomposition rates of each cohort depend on the nitrogen and ash content of the specific litter type and for all cohorts the soil temperature and water content modify the decomposition rate. Here we used species specific nitrogen ratios for different litter fractions; these ratios were same across the country in given fraction of given species.

30 In this paper we utilised a version of the ROMUL model, where we adopt decomposition rate functions depending on soil water content and the model for soil water dynamics as described in Linkosalo et al. (2013). We call this version of the model ROMULv (where the "v" refers to decomposition rates based on volumetric soil water measures). The soil water holding capacity data was obtained from digital soil maps of Finland (Lilja and Nevalainen 2006). Total soil water holding capacity data was extracted to a 10×10 km² grid, when available. To evaluate the impact of soil water holding capacity variability, we also repeated the ROMULv simulation assuming a constant soil water holding capacity for the whole simulation area. As the ROMULv model segregates the organic and mineral soil layers, we assumed that 20% of the total

5 soil water holding capacity was in the organic layer and 80% in mineral soil layer. See the Supplement for more details of ROMULv, the source code and parameter estimates.

We applied the ROMULy model using daily time-steps of the environmental variables impacting the decomposition. The estimated annual litter input was evenly distributed for each day of year. The ROMULv model explicitly simulates the flux of nitrogen through the decomposition process and therefore produces estimates for mineralised N in addition to the soil

10 organic matter and N storages and $CO₂$ release from the soil. All model parameters, except the decomposition rate, which is dependent on soil water content, were taken from the Chertov et al. (2001) paper. Although ROMULv model does not explicitly define depth of soil layers, but the soil water layer of 1 meter has been assumed implicitly when gravimetric water content is estimated. Therefore we assume that decomposition occurs in that same layer of 1 meter.

15 **2.4.4Biosoil – soil carbon measurements**

The Biosoil dataset resulted from EU-funded Forest Focus monitoring program and includes measurements of soil carbon and various other ecosystem variables from 2006. Data consists of 521 sample plots located across Finland. These plots were established as a subset of 3009 permanent sample plots from 1985–86 (see Mäkipää and Heikkinen 2003).

- Biosoil sample plots have radii of $11.28 \text{ m } (400 \text{ m}^2)$. Trees and understorey vegetation coverage were measured. Soil carbon 20 samples were taken separately from the litter and humus layers and mineral soil layers at 0–10, 11–20, 21–40 and 41–80 cm depth. In total 10 or 20 subsamples were taken from the organic layers with a cylinder (diameter $= 60$ mm) and 10 tube (diameter $= 23$ mm). Alternatviely, 5 spade subsamples were taken from the 0–10 cm mineral soil layer, a further 5 spade subsamples were taken from the layers 11–20 and 21–40 cm and one subsample from the layer 41–80 cm. Viro (1952) rod penetration method was used to quantify the volume of stones and boulders in the surface soil layer. Several properties were
- 25 analysed from soil samples in the laboratory: soil texture, cation exchange capacity (CEC), base saturation (BS), pH, organic carbon and total nitrogen concentrations. Aqua regia extractable P, Ca, K, Mg, Mn, Pb, Na, Ni, Fe and S were also measured (Derome et al. 2007). Soil carbon stocks were estimated down to 1 m depth by extrapolation of 40–80 cm measurements for the 80–100 cm layer.

Measured soil carbon stocks were grouped into different latitudinal bands forming a gradient in Finland to which we

30 compared soil carbon estimates from Yasso07 and ROMULv models against measured data. Comparisons were made with two different widths of latitude bands: firstly we used bands of 100 km and thereafter bands of 20 km. Bands were included in the analysis when there were four or more Biosoil observations in a band. We also identified those Biosoil plots that had been under the Litorina Sea 7000–8000 BP (Miettinen 2004, Sohlenius et al. 1996) using map products produced by the Geological Survey of Finland (Eronen 1974). We used root mean square error (RMSE) to rank these models applications due to its ability to take into account both accuracy and precision when comparing model estimates and data.

We also studied differences between mean stand and soil properties for two regions in southern Finland (Region 1 with a latitude below 60˚46', and Region 2 with a latitude between 60˚46' and 62˚34').

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3 Results

3.1. Performance of the soil carbon models

- 10 The soil carbon stock estimates by Yasso07 model using global parameterisation (Tuomi et al. 2011) were systematically larger than measured data across Finland, whereas those obtained with Scandinavian parameterisation (Rantakari et al. 2012) were in the same magnitude as the Biosoil data (Fig. 2A and B). In addition to the realistic level of soil carbon stock, the model that was based on parameterisation with the Scandinavian data also reproduced decreasing soil carbon stock trend from south to north, as displayed in the Biosoil data. Excluding understorey litter from the model input improved the match
- 15 between Yasso07 soil carbon stocks simulated using global parameters and Biosoil data, whereas for Yasso07 using Scandinavian parameters, the same exclusion resulted in underestimation of soil carbon stocks, especially in northern Finland (Fig. 2C and D). The ROMULv model predictions generally agreed with Biosoil data when soil water holding capacity was taken into account. The inclusion of soil water holding capacity in ROMULv introduced high variation in soil carbon stocks between dry and moist grid points in southern Finland (Fig. 2E). When the ROMULv model was driven with
- 20 constant soil water holding capacity, it was unable to reproduce decreasing soil carbon stocks across Finland and the model underestimated carbon stocks, especially in the south (Fig. 2E and F). Large deviations between the data and the model estimates were also seen for the largest Biosoil soil carbon stocks of the southernmost plots (Fig 2). The ROMULv model using the soil water holding capacity was the only model able to reproduce the increase in measured

soil carbon stocks at the southernmost plots. All other models predicted a substantial decrease in the soil carbon stocks for

- 25 southern region, which was not observed in measurements (Fig. 4). Soil properties of southernmost plots (Region 1 (R1) with a latitude below 60°46') were different compared to soil properties of forests further north (Region 2 (R2) with a latitude between 60˚46' and 62˚34'). The southern coast had lower silt content and higher sand content, but simultaneously higher carbon stocks in the organic layer than the other region (Table 3). Also understorey vegetation differed between these regions and the southern coast had lower *sphagnum* species and herbs species coverage, indicating that soils there were drier.
- 30 We also tested site type distributions between R1 and R2 but the p-value from a chi-square test was > 0.4, indicating that site fertilities did not differ. This is also supported by the measured C:N ratios, which did not differ between these regions (Table 3). These southern sites were also younger and 29% of plots were under the Littorina Sea ~7000–8000 years BP, while the share of younger soils was only 6% for the slightly more northern region.

We found that Yasso07 model applications excluding litter input from understorey vegetation had the best agreement with the observed latitudinal gradient when evaluating with one-to-one plots (Fig. 3). The modelled and measured soil carbon stock fits were poor for the Yasso07 application with global parameters, including the understorey vegetation litter input and for the ROMULv application not using soil water holding capacity data. These model applications showed no correlation

5 with measurements and also failed to map the south to north soil carbon stock decrease (Fig. 3).The lowest RMSE was obtained with the ROMULv model applied with soil water holding capacity data indicating the best performance among these model applications.

3.2 Improved understorey litter input

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The amount of litterfall from trees and understorey vegetation showed opposite trends with latitude. Total litter input of trees and other vegetation in southern Finland was four times higher than that in northern Finland following the temperature gradient (Fig. 4). Though, the understorey vegetation at northern sites displayed much higher litter inputs than those in southern Finland. According to our results, fall of understorey litter in eastern and northern Lapland was equal to that from 15 trees (Fig. 4). We estimated mean litterfall from understorey vegetation to approximately equate to 0.0473 kg m⁻² and 0.0863 kg m⁻² of carbon for southern and northern Finland, respectively. Our estimate of mean litter input from trees was 0.1962 kg

 $m⁻²$ and 0.0903 kg $m⁻²$ of carbon for southern and northern Finland, respectively.

20 **4 Discussion**

We tested whether litter quality, litter quantity and mean climate are sufficient for estimating spatial trends in soil carbon stocks and if soil texture with low water holding capacity introduces water limitation to decomposition. While testing our hypothesis we found that Yasso07 and ROMULv models were able to predict carbon stocks of the same magnitude as that of measurements for Finland; however, these models experienced more challenges when their performance was evaluated for 25 smaller regions, like the southern coast of Finland.

- We also found that litter input from understorey vegetation is equal of that from trees in northern Finland. This emphasises the large role of understorey vegetation in compensating trees in an ecosystem carbon cycle, especially under light and nutrient limited growth conditions. Total litter input varied from 0.4 to 0.01 kg $m²$ of carbon from southern to northern Finland. These values agree with both, net primary production (NPP) estimates for trees that vary between 0.2 and 0.5 kg m⁻²
- 30 of carbon for southern Finland by Härkönen et al. (2010) and with measured litterfall values that range from 0.23 to 0.3 kg m⁻² for Hyytiälä eddy covariance site (Ilvesniemi et al. 2009).

To sum up, litter input from understorey vegetation has to be quantified properly when soil carbon models are parameterised. This means that model developers should have unbiased estimates of total carbon inputs into the soil system when they use litter estimates and soil carbon stocks to calibrate parameters that affect long term carbon accumulation. The results of our biomass models for the understorey agreed with previous estimates for southern Finland but for northern Finland our estimates were substantially larger. Yasso07 was parameterised using understorey litter inputs ranging from 40–60 g carbon m⁻² a⁻¹ and therefore Yasso07, with updated understorey litter estimates, overestimated the level of soil carbon stocks. Our data for understorey vegetation biomass was mostly from stands that were over 60 years old and therefore more data for

5 younger sites are needed. Furthermore, the litter input of belowground understorey vegetation was uncertain due to limited data on the life span of roots. More research is therefore needed to confirm the high contribution of dwarf shrub vegetation to total belowground litter input.

When evaluating modelled and measured soil carbon stocks against northern latitude we could see that two out of six simulations failed to map measured soil carbon stock decreases towards the north (Fig 4). Five model simulations showed

- 10 that southern coast had less carbon than the next region further north, while the Biosoil data showed the opposite. Only the ROMULv model, using soil water holding capacity data from Lilja and Nevalainen (2006) was able to estimate the largest soil carbon stocks for southern Finland, similar to Biosoil measurements (Fig. 2). This indicated that litter quality, litter quantity and climate data are not sufficient when estimating spatial trends of soil carbon stocks. When we evaluated Biosoil data for these regions, the southern sites were better drained and drier that those in North. Better drainage was indicated by
- 15 higher sand content, significantly lower silt content and significantly lower *Sphagnum* and herb vegetation coverage (Table 3). We also found out that the southern coast experiences a 1.3 C˚ higher mean annual temperature than the next region to the north. Therefore, the organic layer was larger for southern coast likely due to limited decomposition during dry spells (Table 3). This result indicated that the quantity of precipitation alone was not a sufficient modifier for decomposition but when complemented with soil water holding capacity average latitudinal results improved. This finding supports the use of 20 models including soil texture and water holding capacity.
- Our results support also earlier findings, where the time step of the simulation plays a critical role; running models with monthly and annual time steps excludes extreme conditions and may produce biased estimates of carbon stocks simply due to fact that non-linear models are run with mean conditions (Dalsgaard et al. 2016, Rantakari et al. 2012). This is especially critical with soil moisture, which has a bell shaped relation to decomposition (Sierra et al. 2015, Skopp et al. 1990).
- 25 Compared to daily time steps of ROMUL, model simulations with longer time steps (e.g., a year as in Yasso07) exclude both extreme dry and extreme moist conditions, leading to underestimation of steady states soil carbon stocks. On the other hand, reduction of the decomposition rate during limited and excess water conditions are not well known. Sierra et al. (2015) compared the effect of moisture on decomposition in different models with the largest variability for dry and saturated conditions. They showed that a moisture index of 0.2 can have a decomposition modifier between 0.2 and 1 and similarly
-
- 30 with a moisture index of 1 this modifier can be anywhere between 0 and 1, depending on the model. These large discrepancies indicate differences between soil properties of sites used for model calibration and between conceptualisations of soil moisture.

The Yasso07 and ROMULv model simulations with litter input and climate data alone were not enough to reproduce the observed soil carbon stocks. Model application without soil moisture impacts on decomposition failed under conditions where soil drainage played a significant role in limiting decomposition. The use of water holding capacity was critical for accurate soil carbon stock estimation by the ROMULv model, while Yasso07 performed best when the variation in litter input correlated with that of the observed soil carbon stocks (Fig. 3). The fact that Yasso07 soil carbon stocks (Tuomi et al. 2011) were more accurate when litter input of understory vegetation was omitted from simulations suggests that the model

- 5 calibration did not account for the whole range of understory litter input. This implies that in future, improved estimates for understorey litter input are needed when Yasso07 or similar models will be parametrised for boreal conditions. In order to improve model performance, shorter time steps complemented with more detailed topography and soil properties are needed to map the impact of extreme events to soil carbon decomposition (e.g., droughts and water logging conditions). However, three model simulations out of six produced relatively accurate estimates of soil carbon stocks compared to the measurement
- 10 means of smaller regions, having RMSE less than 1.1 for soil carbon stocks (Fig. 3). This suggests that improved calibration with updated understorey litter and accounting for the soil properties as with ROMULv (using data on water holding capacity) produces model estimates that agree regionally with data.

Our simulations and measurements show that models using only litter quality, litter quantity and weather data underestimate soil carbon stock in regions like southern Finland and this underestimation is due to omission of the impact of droughts to

15 the decomposition of organic layers. Thus, we conclude that GHG inventory methods and soil modules of Earth system models need to be improved by incorporating the impact of soil texture and soil moisture to decomposition. This is a prerequisite for unbiased soil carbon stock and stock change estimates.

Code availability

The fortran code of the ROMULv is given in the supplement, while fortran code for Yasso07 is available through website 20 [\[http://code.google.com/p/yasso07ui/\]](http://code.google.com/p/yasso07ui/), for details see Supplement.

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		Region			Tree	Site	Forest		Basal		GR	HE	BR	LI		
Plot $\mathbf{n}\mathbf{o}$	Area		North	East				Stand	area	DF					Year of	$\mathbf n$
			coord.	coord.	species	type	type	age	m ²						sampling	above/below
1	Sevettijärvi	$\mathbf{1}$	7723	3573	$\mathbf{1}$	5	UVET	210	13.52	\mathbf{x}	$\overline{}$		$\mathbf x$	\mathbf{X}	2009	28/12
\overline{c}	Pallasjärvi	1	7543	3377	1	$\overline{4}$	EMT	100	17.85	X			X	X	2003	28/28
3	Pallasjärvi	1	7549	3384	$\overline{2}$	3	HMT	150	15.42	$\mathbf x$	X	X	X	\overline{a}	2003	28/28
4	Sodankylä	1	7472	3485	1	$\overline{4}$	EMT	80	19.66	$\mathbf x$	٠	٠	$\mathbf x$	\mathbf{X}	2003	28/28
5	Kivalo	1	7360	3484	$\overline{2}$	3	HMT	80	17.95	$\mathbf x$	$\mathbf x$	\overline{a}	$\mathbf x$	\overline{a}	2002	28/28
6	Kivalo	1	7364	3488	$\mathbf{1}$	$\overline{4}$	EMT	65	18.94	$\mathbf x$	\overline{a}		X	X	2002	28/28
32	Kivalo	1	7371	3486	3	3	HMT	58	14.39	a	a	a	X	\overline{a}	2009	28/0
21	Oulanka	1	7359	3612	\overline{c}	3	HMT	180	21.03	a	a	a	X	\overline{a}	2009	28/0
20	Lieksa	2	7012	3687	1	$\overline{4}$	EVT	140	22.27	a	٠	a	X	\overline{a}	2009	28/0
10	Juupajoki	\overline{c}	6866	3353	1	$\overline{4}$	VT	90	23.55	$\mathbf x$	X	X	X	$\overline{}$	2002	28/28
11	Juupajoki	\overline{c}	6863	3359	$\mathfrak{2}$	$\overline{2}$	OMT	90	30.4	\mathbf{x}	$\mathbf X$	X	X	\overline{a}	2002	28/28
12	Tammela	\overline{c}	6730	3325	$\overline{2}$	3	MT	70	33.08	\mathbf{x}	X	X	X	\overline{a}	2002	28/28
13	Tammela	$\mathfrak{2}$	6727	3330	$\mathbf{1}$	$\overline{4}$	VT	70	29.27	\mathbf{x}	X	X	X	\overline{a}	2002	28/28
16	Punkaharju	\overline{c}	6854	3627	$\mathbf{1}$	$\overline{4}$	VT	90	31.95	$\mathbf x$	$\overline{}$	X	$\mathbf x$	\overline{a}	2002	28/28
17	Punkaharju	2	6858	3622	$\mathfrak{2}$	$\overline{2}$	OMT	80	30.76	$\overline{}$	٠	X	X	\overline{a}	2002	28/28
33	Punkaharju	\overline{c}	6862	3622	3	1	OMaT	27	16.13		a	a		\overline{a}	2009	28/0
34	Luumäki	\overline{c}	6763	3515	1	5	CT	60	13.55	$\mathbf X$	\overline{a}		X	X	2009	28/12
35	Luumäki	$\mathfrak{2}$	6756	3513	$\mathfrak{2}$	3	MT	60	28.21	\mathbf{x}	X	X	X	٠	2009	28/12

Table 1. Site description of ICP Forests Level II plots studied for understorey vegetation biomass.

Region (1 = northern Finland, 2 = southern Finland); tree species (1 = Scots pine, 2 = Norway spruce and 3 = deciduous trees); site type (1–5, from rich to poor fertility level); forest site types (abbreviations explained in Salemaa et al. (2008), 5 Table 1); plant species groups in biomass samples: $DF =$ dwarf shrubs, $GR =$ grasses, $HE =$ herbs, $BR =$ bryophytes and $LI =$

lichens. $x =$ biomass sample includes both aboveground and belowground (in organic soil layer) part of vegetation, $a =$ sample includes only aboveground vegetation, $-$ = plant group does not grow in the plot. n = number of samples (each sized $30 \times 30 \text{ cm}^2$) for aboveground and belowground biomass.

10

Biomass	Species	Region	Value [%]	Reference
Leaves	Scots pine		27% [18-34%]	Tupek et al., (2015)
Leaves	Norway spruce		13% [9-15%]	Tupek et al., (2015)
Leaves	Broadleaved		79%	Tupek et al., (2015)
Branches	Scots pine		2%	Lehtonen et al. (2004)
Branches	Norway spruce		1.25%	Muukkonen and Lehtonen (2004)
Branches	Broadleaved		1.35%	Lehtonen et al. (2004)
Bark	Scots pine		0.3%	(Mälkönen 1977, Viro 1955)
Bark	Norway spruce			(Mälkönen 1977, Viro 1955)
Bark	Broadleaved		0.01%	(Mälkönen 1977, Viro 1955)
Coarse roots	Scots pine		2%	Lehtonen et al. (2004)
Coarse roots	Norway spruce		1.25%	Muukkonen and Lehtonen (2004)
Coarse roots	Broadleaved		1.35%	Lehtonen et al. (2004)
Fine roots	Scots pine	South	85%	Kleja et al., (2008)
Fine roots	Norway spruce	South	85%	Kleja et al., (2008)
Fine roots	Broadleaved	South	85%	Kleja et al., (2008)
Fine roots	Scots pine	North	50%	Leppälammi-Kujansuu et al., (2014)
Fine roots	Norway spruce	North	50%	Leppälammi-Kujansuu et al., (2014)
Fine roots	Broadleaved	North	50%	Leppälammi-Kujansuu et al., (2014)
Aboveground	Dwarf shrubs		37%	This study
Belowground	Dwarf shrubs		8%	This study & Helmisaari et al. (2015)
Aboveground	Grasses		33%	This study
Belowground	Grasses		59%	Leppälammi-Kujansuu et al., (2014)
Aboveground	Herbs		100%	
Belowground	Herbs		59%	This study & Leppälammi-Kujansuu et al., (2014)
Aboveground	Bryophytes		42%	This study
Aboveground	Lichen		10%	Kumpula et al., (2000)

Table 2. Litter turnover rates for tree and understorey biomass by component, species and region.

Table 3. Mean soil and forest stand properties for R1 and R2 and 1.96 times their standard error of mean (SEM). R1 is south from 60°46', while R2 lies between 60°46' and 62˚34'northern latitude. P-values based on two sided t-test, where group variances are assumed to be different variables where p-value < 0.05 are in bold. Sample sizes were 31 and 127 plots, for southern (R1) and northern (R2) areas, respectively. For mean temperature and precipitation sample sizes were more than 150 based on Finnish Meteorological Institute (FMI) weather grid (Venäläinen et al. 2005).

	Sand [%]	Silt $[\%]$	Clay $[%]$	BS O [%]	BS M [%]	CEC O $[cmol(+)]$ kg]	CEC M $[{\rm cmol}(+)$ kg]	pH(H ₂ O)	C:NO	C: N M	Sphagnu $m [\%]$	Lichen [%]	Herb [%]	$G[m^2]$	Decid [%]	Spruce [%]	Lito $[%]$
R1 mean	64.92	30.08	4.98	67.2	23.26	32.23	5.27	4.23	26.41	23.11	0.3	0.86	4.43	23.45	15.55	39.81	29
R1 sem	7.31	5.39	2.64	6.08	5.5	3.22	0.54	0.12	1.25	1.57	0.53	1.05	1.83	3.29	6.87	12.01	16
R ₂ mean	57.76	37.09	5.15	68.8	27.72	29.06	4.91	4.17	25.13	21.6	1.51	0.45	8.56	23.9	19.28	37.1	6
R ₂ sem	3.34	2.55	1.2	2.29	3.28	0.87	0.43	0.05	1.56	0.79	0.98	0.26	2.18	1.43	4.58	6.09	
p-value (t.test)	0.09	0.03	0.91	0.63	0.18	0.07	0.31	0.4	0.21	0.1	0.04	0.48	0.01	0.81	0.38	0.69	0.01
	T mean [C]	Prec [mm]	extrCd [mg/kg]	extrPb [mg/kg]	extrK [mg/kg]	extrP [mg/kg]	extrNa [mg/kg]	extrNi [mg/kg]	extrCa [mg/kg]	extrMg [mg/kg]	extrFe [mg/kg]	extrS [mg/kg]	extrMn [mg/kg]	TWI	Altitude [dm]	SOC O [Mg ha ⁻¹]	SOC M [Mg ha ⁻¹]
R1 mean	4.91	580.71	0.48	47.88	1149.16	943.61	139.71	10.8	4638.39	992.52	5157.74	1435.39	459.47	6.97	588	25.82	31.65
R1 sem	0.09	6.25	0.05	3.62	138.59	80.16	14.87	1.03	1044.39	329.89	984.63	85.95	167.12	1.83	124	5	7.15
R ₂ mean	3.62	545.66	0.38	36.02	1225.03	940.32	131.19	11.07	4058.02	1063.51	4675.6	1380.96	537.84	8.79	1119	17.46	35.23
R ₂ sem	0.05	2.28	0.03	5.77	85.23	38.99	11.11	1.05	256.21	159.48	643.98	55.04	148.54	1.14	55	1.57	3.31
p-value (t.test)	$\bf{0}$	$\bf{0}$	$\bf{0}$	$\bf{0}$	0.37	0.94	0.38	0.73	0.3	0.71	0.43	0.31	0.5	0.1	$\bf{0}$	$\mathbf{0}$	0.38

5

Here sand, silt and clay content are given as a percentage. Base saturation (BS). cation exchange capacity (CEC) and C:N ratios are given separately for organic layer (O) and for mineral soil layer (M). pH is measured in water. Abundance of ground vegetation given as percentage coverage. Basal area of trees (G) and relative shares of deciduous species and Norway spruce from basal area. Lito indicates the proportions of sites that were under the Littorina Sea 8000-7000 BP. Aqua regia extractable K, P, Na, Ni, Ca, Mg, Fe, S and Mn unit mg/kg. Topographical wetness index (TWI). Altitude of plots based on 10 m resolution map layer. Amount of carbon in organic and mineral soil horizon.

Figure 1: Schematic illustration of Yasso07 (left) and ROMULv (right) soil carbon models. Solid arrows indicate fluxes of organic matter, while dashed arrows indicate CO² fluxes to atmosphere.

Yasso07, Rantakari et al. 2012

 \overline{A}

 $\frac{1}{2}$

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6600

6800

7000

SOC [Kg C m⁻²]

Yasso07, Tuomi et al. 2011

Yasso07, Tuomi et al. 2011 (without understorey)veg.)

D

7400

7600

7800

 $\frac{1}{2}$

 α

6600

6800

7000

7200

North coordinate

ROMULv, without soil water holding capacity

7400

7600

7800

7800

SOC [Kg C m⁻²]

7200

North coordinate

Figure 2. Latitudinal trends of measured and modelled soil carbon stocks across Finland. X-axis is the North coordinate according 5 to Finnish YKJ system and Y-axis is the soil carbon stock Mg ha⁻¹. Grey dots are individual model estimates and red line is a 2^{nd} **order** *loess* **fit. Panel A is Yasso07 with Rantakari et al. (2012) parameters, while B is with Tuomi et al. (2011) parameters. C and D**

are same as A and B, but without understorey litter input. E and F are with ROMULv model where E includes soil water holding capacity data and F is with constant soil water holding capacity. Black dots are means from Biosoil data for each latitude band and whiskers are 1.96 times standard error of the mean.

Yasso07, Rantakari et al. 2012 (without understorey veg.)

ROMULv, with soil water holding capacity

Yasso07, Tuomi et al. 2011 (without understorey veg.)

ROMULv, without soil water holding capacity

Figure 3. One-by-one plots for mean model estimates and mean Biosoil measurements of soil carbon stocks for 41 latitudinal bands across Finland. Panel A is Yasso07 with Rantakari et al. (2012) parameters, while B is with Tuomi et al. (2011) parameters. C and D are same as A and B but without understorey litter input. E and F are with ROMULv model where E is with soil water holding capacity data and F is with constant soil water holding capacity. R² , slope of the regression and the slope standard error are 5 **reported. RMSE is based on the difference between model estimate and measurements.**

Litter input, temperature & precipitation

Figure 4. Maps for total litter input [Mg ha-1], understorey litter input [Mg ha-1], mean annual temperature [C˚] and mean annual precipitation [mm].