

1 **Enhanced representation of soil NO emissions in the**
2 **Community Multi-scale Air Quality (CMAQ) model version**
3 **5.0.2**

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14

15 Abstract

16 Modeling of soil nitric oxide (NO) emissions is highly uncertain and may misrepresent its spatial
17 and temporal distribution. This study builds upon a recently introduced parameterization to
18 improve the timing and spatial distribution of soil NO emission estimates in the Community
19 Multi-scale Air Quality (CMAQ) model. The parameterization considers soil parameters,
20 meteorology, land use, and mineral nitrogen (N) availability to estimate NO emissions. We
21 incorporate daily year-specific fertilizer data from the Environmental Policy Integrated Climate
22 (EPIC) agricultural model to replace the annual generic data of the initial parameterization, and
23 use a 12 km resolution soil biome map over the continental US. CMAQ modeling for July 2011
24 shows slight differences in model performance in simulating fine particulate matter and ozone
25 from IMPROVE and CASTNET sites and NO₂ columns from Ozone Monitoring Instrument
26 (OMI) satellite retrievals. We also simulate how the change in soil NO emissions scheme affects
27 the expected O₃ response to projected emissions reductions.

28 **1 Introduction**

29 Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) play a crucial role in tropospheric chemistry. Availability of
30 NO_x influences the oxidizing capacity of the troposphere as NO_x directly reacts with hydroxyl
31 radicals (OH) and catalyzes tropospheric ozone (O_3) production and destruction (Seinfeld and
32 Pandis, 2012). NO_x also affects the lifetime of reactive greenhouse gases like CH_4 by influencing
33 its dominant oxidant OH (Steinkamp and Lawrence, 2011), thus affecting the Earth's radiative
34 balance (IPCC, 2007). NO_x also influences rates of formation of inorganic particulate matter
35 (PM) (Wang et al., 2013) and organic PM (Seinfeld and Pandis, 2012).

36 Soil NO_x emissions accounts for ~15-40 % of the tropospheric NO_2 column over the continental
37 United States (CONUS), and up to 80% in highly N fertilized rural areas like the Sahel of Africa
38 (Hudman et al., 2012). The estimated amount of nitric oxide (NO) emitted from soils is highly
39 uncertain, ranging from 4-15 Tg-N yr^{-1} , with different estimates of total global NO_x budget also
40 showing a mean difference of 60-70% (Potter et al., 1996; Davidson and Kinglerlee, 1997;
41 Yienger and Levy, 1995; Jaeglé et al., 2005; Stavrakou et al., 2008; Steinkamp and Lawrence,
42 2011; Miyazaki et al., 2012; Stavrakou et al., 2013; Vinken et al., 2014). Soil NO_x is mainly
43 emitted as NO through both microbial activity (biotic/enzymatic) and chemical (abiotic/non-
44 enzymatic) pathways, with emission rates varying as a function of meteorological conditions,
45 physicochemical soil properties, and nitrogen (N) inputs from deposition and fertilizer or manure
46 application (Pilegaard, 2013; Hudman et al., 2012). The fraction of soil N emitted as NO varies
47 with meteorological and soil conditions such as temperature, soil moisture content, and pH
48 (Ludwig et al., 2001; Parton et al., 2001; van Dijk et al., 2002; Stehfest and Bouwman, 2006).

49 Different biome types, comprised of vegetation and soil assemblages exhibit different NO
50 emission factors under different soil conditions and climate zones. One of the early attempts to
51 stratify soil NO based on different biomes by Davidson and Kinglerlee (1997) involved
52 compiling over 60 articles and 100 field estimates. They clearly identified biomes associated
53 with low NO emissions like swamps, tundra, and temperate forests, and those with high soil NO
54 fluxes like tropical savanna/woodland and cultivated agriculture. For instance, high soil NO
55 fluxes were observed in croplands, savannahs or woodlands, N-rich temperate forests and even
56 boreal/tropical forests with low NO_2^- availability in warm conditions and acidic soil (Kesik et
57 al., 2006; Cheng et al., 2007; Su et al., 2011). This approach, however, fails to capture within-

58 biome variation in NO emissions (Miyazaki et al., 2012; Vinken et al., 2014). For example,
59 mature forests give higher soil NO flux than rehabilitated and disturbed ones due to higher initial
60 soil N (Zhang et al., 2008). Steinkamp and Lawrence (2011) more recently compiled worldwide
61 emission factors from a dataset consisting of 112 articles with 583 field measurements of soil
62 NO_x covering the period from 1976 to 2010, and regrouped them into 24 soil biome type based
63 on MODIS land cover category as well as Köppen climate zone classifications (Kottek et al.,
64 2006).

65 Both wet and dry deposition act as sources of nitrogen to soils (Yienger and Levy, 1995;
66 Hudman et al., 2012). N is deposited in both oxidized (e.g., nitrate) and reduced (e.g.,
67 ammonium) forms, with ammonium representing a growing share of N deposition in the U.S. as
68 anthropogenic NO_x emissions are controlled (Li et al., 2016).

69 Fertilizer (organic and inorganic) application represent controllable influences on soil N
70 emissions (Pilegaard, 2013) and are leading sources of reactive nitrogen (N) worldwide
71 (Galloway and Cowling, 2002). U.S. fertilizer use increased by nearly a factor of 4 from 1961 to
72 1999 (IFIA, 2001). Soil NO emissions increase with rising fertilizer application, with conversion
73 rate of applied fertilizer N to NO_x being up to ~ 11% (Williams et al., 1988; Shepherd et al.,
74 1991). Open and closed chamber studies have shown increasing fertilizer application to increase
75 both NO and N₂O fluxes simultaneously, but with variability in NO/N₂O emission ratio
76 (Harrison et al., 1995; Conrad, 1996; Veldkamp and Keller, 1997).

77 Meteorological conditions influence soil NO emission rates.() Soil NO pulsing events occur
78 when water stressed nitrifying bacteria, which remain dormant during dry periods, are activated
79 by the first rains and start metabolizing accumulated N in the soil. Large pulses of biogenic NO
80 emissions of up to 10–100 times background levels often follow the onset of rain after a dry
81 period and can last for 1–2 days (Davidson, 1992; Yienger and Levy, 1995; Scholes et al., 1997;
82 Jaeglé et al., 2004; Hudman et al., 2010; Hudman et al., 2012; Zörner et al., 2016).

83 Adsorption onto plant canopy surfaces can reduce the amount of soil NO emissions entering the
84 broader atmosphere. Yienger and Levy (1995) (YL) soil NO scheme followed a Canopy
85 Reduction Factor (CRF) approach (Wang et al., 1998) to account for the reduction of soil NO
86 emission flux *via* stomatal or cuticle exchange as a function of dry deposition within the canopy
87 on a global scale.

88 Contemporary air quality models such as the Community Multi-scale Air Quality (CMAQ)
89 model most often use an adaptation of the YL scheme to quantify soil NO emissions as a
90 function of fertilizer application, soil moisture, precipitation and CRF (Byun and Schere, 2006).
91 However, YL has been found to underestimate emissions rates inferred from satellite and ground
92 measurements by a factor ranging from 1.5 to 4.5, and to misrepresent some key spatial and
93 temporal features of emissions (Jaeglé et al., 2005; Wang et al., 2007; Boersma et al., 2008; Zhao
94 and Wang, 2009; Lin, 2012; Hudman et al., 2012; Vinken et al., 2014). This overall
95 underestimation can be attributed to several uncertainties in the modeling settings, such as
96 inaccurate emissions coefficients, poor soil moisture data, deriving soil temperatures from
97 ground air temperatures, neglecting nitrogen deposition and outdated fertilizer application rates
98 (Yienger and Levy, 1995; Jaeglé et al., 2005; Delon et al., 2007; Wang et al., 2007; Boersma et
99 al., 2008; Delon et al., 2008; Hudman et al., 2010; Steinkamp and Lawrence, 2011; Hudman et
100 al., 2012).

101 The Berkley Dalhousie Soil NO Parameterization (BDSNP) scheme, originally implemented by
102 Hudman et al. (2012) in the GEOS-Chem global chemical transport model, outperforms YL by
103 better representing biome type, the timing of emissions, and actual soil temperature and moisture
104 (Hudman et al., 2010).

105
106 We implement BDSNP in CMAQ by using the Environmental Policy Integrated Climate (EPIC)
107 biogeochemical model for dynamic representation of the soil N pool on a day-to-day basis. EPIC
108 is a field-scale biogeochemical process model developed by the United States Department of
109 Agriculture (USDA) to represent plant growth, soil hydrology, and soil heat budgets for multiple
110 soil layers of variable thickness, multiple vegetative systems and crop management practices
111 (Cooter et al., 2012). EPIC can model up to 1 sq. km (100 ha) spatially and on a daily time scale
112 (CMAS, 2015). EPIC simulations are compatible with spatial and temporal scale of CMAQ as
113 well (Bash et al., 2013). EPIC accounts for different agricultural management scenarios, accurate
114 simulation of soil conditions and plant growth to produce plan demand-driven fertilizer estimates
115 for BDSNP (Cooter et al., 2012; Bash et al., 2013).

116 Baseline soil NO emission rate for each location (Hudman et al., 2012; Vinken et al., 2014), use
117 a new soil biome map with finer-scale representation of land cover systems consistent with

118 typical resolution of a regional model. We also built an offline version of BDSNP, which can use
119 benchmarked inputs from the CMAQ and allows quick diagnostic based on soil NO estimates for
120 sensitivity analysis (Supplementary material Section S.2).

121

122

123 **2 Methodology**

124

125 **2.1 Implementation of advanced soil NO parameterization in CMAQ**

126 **2.1.1 Land surface model (LSM)**

127 Our implementation of the BDSNP soil NO parameterization in CMAQ uses Pleim-Xiu Land
128 Surface Model (Pleim and Xiu, 2003). Compared to the coarser LSM in GEOS-Chem (Bey et al.,
129 2001), Pleim-Xiu provides finer-scale estimates of soil moisture and soil temperature based on
130 solar radiation, temperature, Leaf Area Index (LAI), vegetation coverage, and aerodynamic
131 resistance. The rich amount of information available from the Pleim-Xiu LSM enables refined
132 representation of soil moisture and soil temperature for implementation in soil NO
133 parameterization.

134 **2.1.2 Canopy reduction factor**

135 The original implementation of BDSNP in GEOS-Chem did not provide specific spatial-
136 temporal variation of CRF in each modeling grid, but used a monthly average CRF from Wang
137 et al. (1998). Wang et al. (1998) included an updated CRF as part of their implementation of YL
138 into GEOS-Chem. This CRF is based on wind speed, turbulence, canopy structure, deposition
139 constants, and other physical variables. In the GEOS-Chem implementation of BDSNP, this CRF
140 reduced the flux by ~ 16%, from 10.7 Tg-N yr⁻¹ above soil to 9 Tg-N yr⁻¹ above canopy
141 (Hudman et al., 2012).

142 Our BDSNP implementation for CMAQ uses the same approach of integrating CRF as used in
143 Wang et al. (1998) with the biome categorization based on Steinkamp and Lawrence (2011) and
144 Köppen climate classes (Kottek et al. 2006) in the soil NO_x parametrization itself.

145 **2.1.3 Fertilizer**

146 YL in CMAQ assumed a linear correlation between fertilizer application and its induced
147 emissions over general growing season, May-August in the Northern Hemisphere and
148 November-February in the Southern Hemisphere (Yienger and Levy, 1995) rather than peaking
149 near the time of fertilization at the beginning of the local growing season. This likely caused
150 inaccurate temporal representation of fertilizer driven emissions in certain regions (Hudman et
151 al., 2012). The GEOS-Chem implementation of BDSNP applied a long-term average fertilizer
152 application with a decay term after fertilizer is applied. Constant fertilizer emissions neglect an
153 important phenomenon: applying fertilizer during a dry period when neither plants nor bacteria
154 may have the water available to use it may result in a large pulse when the soil is eventually re-
155 wetted (Pilegaard, 2013). Such dry spring N fertilizer application is common practice in the mid-
156 west and southern plains in the U.S. (Cooter et al., 2012). The current fertilizer data used for the
157 BDSNP is scaled to global 2006 emissions by Hudman et al. (2012) using a spatial distribution
158 for year 2000 from Potter et al. (2010). This global database reported by Potter et al. (2010) is
159 already 8 years out of date in magnitude and 14 years out of date for relative distribution, and has
160 relatively coarse resolution based on out-of-date long term average (national-level fertilizer data
161 from 1994 to 2001). Using recent fertilizer application information is essential to soil NO
162 estimates given the fact that N fertilizer is the major contributor to plant nutrient use in US, and
163 its share has been increasing from 11,535,000 short tons in 2001 to 12,840,000 short tons in 2013
164 (USDA ERS, 2013). Our implementation of BDSNP into CMAQ is designed to enable updates
165 by subsequent developers to use new year- and location- specific fertilizer data. We use the
166 Fertilizer Emission Scenario Tool for CMAQ (FEST-C v1.1, <http://www.cmascenter.org>) to
167 incorporate EPIC simulations for 2011 into our CMAQ runs. **Land use and management**
168 **practices (type and timing of farm practices such as tillage) in EPIC are updated annually based**
169 **on the USDA Agricultural Resource Management Survey (ARMS) (Cooter et al., 2012).**

170 **2.1.4 N Deposition**

171 YL in CMAQ neglects nitrogen deposition, which can result in a 0.5 Tg/yr underestimation in
172 soil NO_x globally (~5%) (Hudman et al., 2012). The current implementation of the EPIC model
173 in FEST-C inputs oxidized and reduced form of N deposition directly into soil nitrate and
174 ammonium pools each day. In our implementation of BDSNP, these daily time series derive
175 from previous CMAQ simulation. Inclusion of this deposition N source reduces the simulated
176 plant-based demand for additional N fertilizer applications. This reduced fertilizer demand due to
177 additional deposition source is based on the theoretical plant nutrient cycle and is implicit to how
178 actual farming practices are applied in EPIC. The bi-directional exchange capability of CMAQ is
179 also included, but currently it affects the ammonium pool only (Bash et al., 2013).

180

181 **2.1.5 Formulation of soil NO scheme**

182 Figure 1 provides the flow chart of the BDSNP scheme implementation, which has the option to
183 run in-line with CMAQ, or as an offline emissions parameterization. Static input files in Hudman
184 et al. 2012 BDSNP implementation (labelled as ‘old’ in Fig. 1) such as those giving soil biome
185 type with climate zone and global fertilizer pool are needed to determine the soil base emission
186 value at each modeling grid. The Meteorology-Chemistry Interface Processor (MCIP) (Otte and
187 Pleim, 2010) takes outputs from a meteorological model such as Weather Research and
188 Forecasting (WRF) model (Skamarock et al., 2008) to provide a complete set of meteorological
189 data needed for emissions and air quality simulations.

190 There are seven key input environment variables and two key output environment variables in
191 our implementation of BDSNP. Table S1 lists their names and corresponding functionalities.

192 Our implementation of the BDSNP soil NO_x emission, S_{NO_x} in CMAQ multiplies a base
193 emission factor (A) by scaling factors dependent on soil temperature (T) and soil moisture (θ),
194 i.e., $f(T)$, $g(\theta)$ and a pulsing term (P) (equation 1). The base emission factor depends on biome
195 type under wet or dry soil conditions. The pulsing term depends on the length of the dry period,
196 rather than the accumulated rainfall amount considered by YL. The CRF term estimate the
197 fractional reduction in soil NO_x flux due to canopy resistance.

$$S_{NO_x} Flux \left(\frac{ng N}{m^2 s} \right) = A'_{biome}(N_{avail}) \times f(T) \times g(\theta) \times P(l_{dry}) \times CRF(LAI, Meteorology, Biome) \quad (1)$$

$$A'_{biome} = A_{biome} + N_{avail} \times \bar{E} \quad (2)$$

$$N_{avail}(t) = N_{avail Fert}(0) \times e^{-\frac{t}{\tau_1}} + F \times \tau_1 \times \left(1 - e^{-\frac{t}{\tau_1}} \right) + N_{avail Dep}(0) \times e^{-\frac{t}{\tau_2}} + D \times \tau_2 \times \left(1 - e^{-\frac{t}{\tau_2}} \right) \quad (3)$$

Fertilizer and deposition both contribute to modifying the A'_{biome} emissions coefficients for each biome. Available nitrogen (N_{avail}) at time t from fertilizer and deposition is multiplied by emission rate, \bar{E} , based on the observed global estimates of fertilizer emissions ($\sim 1.8 \text{ Tg-N yr}^{-1}$) by Stehfest and Bouwman (2006) and added to biome specific soil NO emission factors (A_{biome}) from Steinkamp and Lawrence (2011) to give the net base emission factor (A'_{biome}) (Eq. (2) and Eq. (3)). The resulting A' is multiplied by the meteorological scaling or response factors: $f(T)$, $g(\theta)$, and $P(l_{dry})$ as in Eq. (1). The soil temperature response or scaling factor $f(T)$ is simplified to be exponential everywhere. NO flux now depends on soil moisture (θ) instead of rainfall, and it increases smoothly to a maximum value before decreasing as the ground becomes water saturated. In Eq. (3), F is fertilization rate (kg ha^{-1}), D is the wet and dry deposition rate (kg ha^{-1}) considered as an additional fertilization rate, and τ is decay time, which is 4 months for fertilizer (τ_1) and 6 months for deposition (τ_2) (Hudman et al. 2012).

BDSNP uses a Poisson function to represent the dependence of emission rates on soil moisture (θ), where the parameters ' a ' and ' b ' vary for different climates such that the maximum of the function occurs at $\theta = 0.2$ for arid soils and $\theta = 0.3$ otherwise (Hudman et al. 2012). We adopt the same approach in CMAQ, as follows:

$$f(T) * g(\theta) = e^{0.103 * T} * a * \theta * e^{-b * \theta^2} \quad (4)$$

The pulsing term depends on the length of the dry period (l_{dry}) and a change in soil moisture instead of on the amount of precipitation (Hudman et al., 2012).

223 The pulsing term for emissions when rain follows a dry period is

$$224 \quad P(l_{dry}, t) = [13.01 * \ln(l_{dry}) - 53.6] * e^{-c*t} \quad (5)$$

225 In this equation, l_{dry} is the length of the dry period that preceded the rain and $c = 0.068 \text{ hour}^{-1}$
 226 defines the exponential decay of the pulse.

227 Beyond this basic implementation of the above stated BDSNP framework into CMAQ, there
 228 were major modifications (highlighted as ‘new’ in Fig. 1) in the form of: a) updating biome map
 229 consistent with CMAQ, b) incorporating year- and location- specific fertilizer data using EPIC
 230 outputs and c) development of an offline BDSNP module. Our work focuses on those
 231 developments discussed in detail in the sections to follow.

232

233 **2.2 Soil biome map over CONUS**

234 The original implementation of BDSNP used the global soil biome data from the GEOS-Chem,
 235 with emission factors for each biome under dry/wet conditions taken from Steinkamp and
 236 Lawrence (2011) (Appendix Table A1). Our implementation in CMAQ uses a finer resolution
 237 (12 km) soil biome map over CONUS. The map is generated from the 30-arc-second
 238 (approximately 1 kilometer) NLCD40 (National Land Cover Dataset) for 2006, with 40 land
 239 cover/land use classifications. A mapping algorithm table (see Appendix Table A2) was created
 240 to connect the land use category to soil biome type (Table A1) based on best available
 241 knowledge. For the categories with identical names, such as ‘evergreen needleleaf forest’,
 242 ‘deciduous needleleaf forest’, ‘mixed forest’, ‘savannas’ and ‘grassland’, the mapping is direct.
 243 Categories in NLCD40, which are subsets of the corresponding biome category, are consolidated
 244 into one category by addition. For example, ‘permanent snow and ice’ and ‘perennial ice-snow’
 245 in NLCD40 are combined to form ‘snow and ice’; ‘developed open space’, ‘developed low
 246 intensity’, ‘developed medium intensity’, and ‘developed high intensity’ are added to form
 247 ‘urban and built-up lands’. For the categories appearing only in NLCD40, the mapping algorithm
 248 is determined by referring to the CMAQ mapping scheme, available in Cross-Section and
 249 Quantum Yield (CSQY) data files in the CMAQ coding. One such case is to map ‘lichens’ and

250 'moss' in NLCD40 to the category 'grassland' in soil biome. Furthermore, a model resolution
251 compatible Köppen climate zone classification (Kottek et al., 2006) was added to allocate
252 different emission factor for the same biome type e.g. to account for different altitudes of
253 'grassland' at different locations. There are five climate zone classifications, namely A:
254 equatorial, B: arid, C: warm temperature, D: snow, E: polar. A 12 km CONUS model resolution
255 climate zone classification map (see Figure 2) was created using the Spatial Allocator based on
256 the county level climate zone definition as the surrogate based on a dominant land use,
257 (<http://koeppen-geiger.vu-wien.ac.at/data/KoeppenGeiger.UScounty.txt>).

258 Figure 2 compares the 24 soil biome map with 0.25 degree resolution from the GEOS-Chem
259 settings to the new 12 km resolution soil biome map we created here for CMAQ. Table A2 gives
260 the biome type names with corresponding climate zones.

261 The classification of simulation domain into arid and non-arid region with consistent resolution
262 is also included in our implementation. Figure B1 shows the distribution of arid (red) and non-
263 arid (blue) regions. For the modeling grid classified as 'arid' region, the maximum moisture
264 scaling factor corresponds to the water-filled pore space (θ) value equal to 0.2; while for the
265 'non-arid' modeling grid, the maximum moisture scaling factor corresponds with $\theta=0.3$
266 (Hudman et al., 2012).

267 **2.3 Representation of fertilizer N**

268 We implemented two approaches for representing fertilizer N. The first approach regrids
269 fertilizer data from the global GEOS-Chem BDSNP implementation (Hudman et al. 2012) to our
270 12 km resolution CONUS domain. That scheme uses the global fertilizer database from Potter et
271 al. (2010) and assumed 37% of fertilizer and manure N is available (1.8 Tg-N yr^{-1}) for potential
272 emission. Figure B2 provides the day-by-day variation of total N remaining due to fertilizer
273 application over CONUS during a year, and shows the typical cycle between growing season and
274 non-growing season. The Potter data, however, are a decade old and at coarse resolution for
275 county-level in US.

276 Our second approach (Figure 3) uses the EPIC model as implemented in the FEST-C tool
277 (Cooter et al. 2012) to provide a dynamic representation of fertilizer applications for a specific
278 growing season. FEST-C (v1.1) generates model-ready fertilizer input files for CMAQ. . Use of

279 FEST-C/EPIC instead of soil emissions from YL scheme has been shown to improve CMAQ
280 performance for nitrate and ammonia in CONUS (Bash et al., 2013). The BELD4 tool in FEST-
281 C system was used to provide the crop usage fraction over our domain. We summed FEST-C
282 data for ammonia, nitrate and organic, T1_ANH3, T1_ANO3 and T1_AON respectively in kg-
283 N/ha, to give a total soil N pool for each of 42 simulated crops (CMAS, 2015). This daily crop-
284 wise total soil N pool was then weighted by the fraction of each crop type at each modeling grid
285 to get a final weighted sum total soil N pool usable in BDSNP. CMAQ v.5.0.2 can be run with
286 in-line biogenic emissions, calculated in tandem with the rest of the model. Since the EPIC N
287 pools already include N deposition, we designed our soil NO emissions module to be flexible in
288 recognizing whether it is using fertilizer data such as Potter et al. (2010) that does not include
289 deposition or EPIC that does.

290 Figure 4 compares the FEST-C derived N fertilizer map and the default coarser resolution long-
291 term average fertilizer map from Potter. While the spatial patterns are similar, EPIC provides
292 finer resolution and more up-to-date information.

293

294 **2.4 Model configurations and data use for model evaluations**

295 The CMAQ domain settings for CONUS as provided by the EPA were used to simulate the
296 whole month of July in 2011. July corresponds to the month of peak flux for soil nitrogen
297 emissions in the United States (Williams et al., 1992; Cooter et al., 2012; Bash et al., 2013) and
298 is an active period for ozone photochemistry (Cooper et al., 2014; Strode et al., 2015).

299 A ten day (21 June-30 June, 2011) spin-up time was used to minimize the influence from initial
300 conditions. The domain consisted of 396 columns, 246 rows, 26 vertical layers, and 12 km
301 rectangular cells using a Lambert Conformal Projection over North America. This configuration
302 was consistent throughout the WRF-BDSNP-CMAQ modeling framework (see Figure 1).
303 Meteorology data were produced through the WRF Model nudged to National Centers for
304 Environmental Prediction (NCEP) and National Center for Atmospheric Research Reanalysis
305 (NARR) data, which is comprised of historical observations and processed to control quality and
306 consistency across years by National Oceanic and Atmospheric Administration (NOAA).

307 Emissions were generated using the Sparse Matrix Operator Kernel Emissions (SMOKE) model
308 (CMAS, 2014) and 2011NEIv1. CMAQ was applied with bi-directional exchange of ammonia
309 between soils and atmosphere.

310
311 We applied CMAQ with three sets of soil NO emissions: a) Standard YL soil NO scheme, b)
312 BDSNP scheme with Potter et al. (2010) fertilizer data set and biome mappings from GEOS-
313 Chem, and c) BDSNP scheme with EPIC 2011 data and new biome mappings (see Appendix
314 Table A3). Within these three cases, we simulated the impact of anthropogenic NO_x reductions
315 applied to all contributing source sectors listed in the 2011 National Emission Inventory (NEI).
316 For this purpose, we considered the baseline NO_x reduction scenario from 2011 to 2025 that
317 EPA's Regulatory Impact Analysis (RIA) determined for Business as Usual (BAU) in the
318 CONUS domain (Figure 2A-1, Table 2A-1 in
319 <https://www3.epa.gov/ttn/ecas/docs/20151001ria.pdf>). Table 1 gives a full list of modeling
320 configurations settings used for achieving the above-mentioned simulations.

321 Model simulations were evaluated against the following in situ and satellite-based data: 16
322 USEPA Clean Air Status and Trends Network (CASTNET) sites for MDA8 O₃
323 (www.epa.gov/castnet), 9 Interagency Monitoring of Protected Visual Environments
324 (IMPROVE) sites for daily average PM_{2.5} (Malm et al., 1994), and NASA's OMI retrieval
325 product for tropospheric NO₂ column (Bucsela et al., 2013; Lamsal et al., 2014). Fig. 5 shows the
326 spatial distribution of the ground sites used for validation of modeled estimates. The selected
327 ground sites for model validation are mostly based in agricultural regions with intense fertilizer
328 application rate and high NO fluxes, specifically the Midwest, southern plains, and San Joaquin
329 Valley.

330
331 We also simulated three sensitivity cases for the same time period and domain with the offline
332 soil NO module: a) NLCD40 based (new) biome vs GEOS-Chem based (old) biome (using EF1
333 in Table A1), b) EPIC 2011 vs Potter data and, c) Global mean biome emission factor (EF1 in
334 Table A1) vs North American mean emission factor (EF3 in Table A1) (Supplementary material
335 Section S.3).

336

337 **3 Results and Discussion**

338 **3.1 Spatial distribution of nitrogen fertilizer application and soil NO** 339 **emissions over CONUS**

340 We demarcated the CONUS domain into six sub-domains (Figure 6) to analyze model outputs.
341 The updated BDSNP model and EPIC fertilizer result in higher soil NO emission rates than YL
342 and Potter. Emissions increase by a factor ranging from 1.8 to 2.8 in shifting from YL to
343 BDSNP, even while retaining the Potter fertilizer data and original biome map, indicating that
344 the shift from YL to BDSNP scheme is the largest driver of the increase in emissions estimates.
345 EPIC and the new biome dataset further increase emissions over most of CONUS, except for the
346 southwest region. In Midwest and Western US, the new biome map identified more cropland and
347 shifted some grasslands to other land cover types such as forests, savannah and croplands, which
348 exhibit higher soil NO emissions (Figure 2; Table A1). The Midwest region is characterized with
349 the highest emission rate due to its abundant agricultural lands with high fertilizer application
350 rates (Figure 4).

351 **3.2 Evaluation of CMAQ NO₂ with satellite OMI NO₂ observations**

352 The standard (version 2.1) OMI tropospheric NO₂ column observations from NASA's Aura
353 satellite as discussed in Bucsele et al. (2013) and Lamsal et al. (2014) were used for comparison
354 with our modelled NO₂ vertical columns. To enable comparison, the quality-assured, clear-sky
355 (cloud radiance fraction < 0.5) OMI NO₂ data were gridded and projected to our domain by
356 using ArcGIS 10.3. CMAQ modelled NO₂ column densities in molecules per cm² were derived
357 using vertical integration and extracted for 13:00-14:00 local time, corresponding to the time of
358 OMI measurements.

359 We compared CMAQ simulated tropospheric NO₂ columns with OMI product for regions
360 showing highest sensitivity in soil NO switching from YL to BDSNP: Midwest, San Joaquin
361 Valley in California and central Texas (see Appendix Figure B3). Switching from YL to our
362 updated BDSNP ('new') module improved agreement with OMI NO₂ columns in central Texas
363 but over-predicts column NO₂ in the San Joaquin Valley and Midwest (Figure 7). Even the YL

364 estimate was higher than OMI by a factor of two in the Midwest (Figure 7). Vinken et al. (2014)
365 found the Midwest U.S. to be one of the few regions globally where a BDSNP-based inventory
366 over-predicted soil NO emissions inferred from OMI.

367 **3.3 Evaluation with PM_{2.5} and ozone observations**

368 Model results are compared with observational data from IMPROVE monitors for PM_{2.5} and
369 CASTNET monitors for ozone. We first compute differences between ozone and PM_{2.5} estimates
370 from the three simulation cases to identify sites influenced by the choice of soil NO scheme
371 during our July 2011 episode (Figures 8 and 9). Overall, analysis of variance and a t-test showed
372 no statistically significant differences among the soil NO cases for PM_{2.5}, but found the YL case
373 to be significantly different ($p < 0.05$) from the BDSNP cases for ozone. Closer examination
374 highlights nine IMPROVE sites for PM_{2.5} and 16 CASTNET sites for ozone (Figures 5, 8 and 9)
375 where CMAQ results are sensitive to soil NO changes (Figure 6).

376 Statistical comparisons of modeled and observed daily average PM_{2.5} at the nine IMPROVE sites
377 are provided in Table 2. Mean Absolute Gross Error (MAGE) and Root Mean Square Error
378 (RMSE) improved from 2.8 to 2.7 $\mu\text{g}/\text{m}^3$ and 3.4 to 3.3 $\mu\text{g}/\text{m}^3$ respectively when moving from
379 YL to BDSNP with the new inputs. Both Pearson's and Spearman's ranked correlation
380 coefficient (R) shows no significant change when soil NO module in CMAQ is switched from
381 YL to BDSNP (Potter with old biome) and BDSNP (EPIC with new biome) (Tables 2). Use of
382 the ranked correlation coefficient minimizes the impact of spurious correlations due to outliers
383 but does not affect the analysis. Switching from YL to our updated BDSNP ('new') module
384 shows that the predicted versus observed fit becomes slightly closer to 1:1 (Figure 10).
385 Numerical Mean Bias (NMB) and Numerical Mean Error (NME) improve from -28.5% to -
386 26.4% and 34.6% to 33.6%, respectively.

387 In contrast to the PM_{2.5} results, the updated soil NO scheme yields mixed impacts on model
388 performance for maximum daily average 8-hour (MDA8) ozone at the targeted 16 CASTNET
389 sites (Table 3 and Figure 11). For the 11 agricultural/prairie sites, replacement of YL with
390 BDSNP with new inputs increases NMB from 7.6% to 14.1% and NME from 15.7 to 19.3%
391 (Table 3). The excess ozone may occur because FEST-C does not account for the loss of

392 fertilizer N to the water stream (“tile drainage”) in wet conditions (Dinnes et al., 2002). Hudman
393 et al. (2012) suggested $\theta = 0.175$ (m^3/m^3) as threshold below which dry condition occur. During
394 July 2011, in Midwest monthly mean soil moisture (θ_{mean} , m^3/m^3) is mostly > 0.175 , indicating
395 possibility of wet conditions (Fig. S5). Overestimation of O_3 is due to higher NO emissions, as
396 these regions comprise of mostly NO_x limited rural locations.

397 At the California CASTNET sites, BDSNP enhances model performance in simulating observed
398 MDA8 ozone (Table 3). This can be seen in the NMB, NME, MAGE, and RMSE comparisons
399 between YL and BDSNP, though updating BDSNP to the newer inputs does not enhance
400 performance (Table 3).

401 **3.4 Impact of soil NO scheme on ozone sensitivity to anthropogenic NO_x** 402 **perturbations**

403 We analyzed how the choice of soil NO parameterization affects the responsiveness of ozone to
404 reductions in anthropogenic NO_x emissions. We applied emission perturbation factors based on
405 the 5.7 million ton reduction in baseline anthropogenic NO_x emissions from 2011 to 2025 that
406 US EPA simulated in its latest RIA (U.S. EPA, 2015). Table 4 gives the perturbation factors we
407 used to obtain baseline anthropogenic NO_x emissions for 2025 over all contributing sectors as
408 listed from NEI 2011. Since our simulation is for July 2011 over CONUS, we used these
409 perturbation factors rather than the net reductions in RIA to scale emissions in a similar pattern
410 as given in RIA for annual baseline perturbations from 2011 to 2025 with BAU.

411
412 Shifting from YL to the BDSNP soil NO scheme reduces the sensitivity of MDA8 O_3 to
413 anthropogenic NO_x perturbations. The impacts are greatest in California and the Midwest, where
414 shifting to BDSNP can reduce the expected impact of the anthropogenic NO_x reductions by ~ 1
415 to 1.5 ppbV. Changing the inputs within the BDSNP scheme has a smaller impact (Figure 12).
416 Our results imply that the higher soil NO emissions from our updated BDSNP module shifts the
417 ozone photochemistry to a less strongly NO_x -limited regime.

418

419 **4 Conclusions**

420 Our BDSNP implementation represents a substantial update from the YL scheme for estimating
421 soil NO in CMAQ. Compared to the previous implementation of BDSNP in global GEOS-Chem
422 model, our implementation in CMAQ incorporated finer-scale representation of its dependence
423 on land use, soil conditions, and N availability. This finer resolution and updated biome and
424 fertilizer data set resulted in higher sensitivity of soil NO to biome emission factors. Our updated
425 BDSNP scheme (EPIC and new biome) predicts slightly higher soil NO than the inputs used in
426 GEOS-Chem, primarily due to the use of 2011 daily EPIC/FEST-C fertilizer data and fine
427 resolution NLCD40 biomes (Figure 6).

428 Sensitivities to different input datasets were examined using our offline BDSNP module to
429 reduce computational cost. Switching from GEOS-Chem biome to new NLCD40 biome drops
430 soil NO in the northwest and southwest portions of our domain due to the finer resolution biome
431 map exhibiting lower emission factors in those regions. Replacing fertilizer data from Potter et
432 al. (2010) with an EPIC 2011 dataset increased soil NO mostly in the Midwest (Supplementary
433 material Figure S4).

434 We compared CMAQ tropospheric NO₂ column densities to OMI observations as spatial
435 averages, focusing on regions sensitive to the switch from YL to our updated BDSNP scheme.
436 Temporal average of OMI and CMAQ simulated NO₂ column densities was done over the OMI
437 overpass time (13:00-14:00 local time) for July 2011 monthly mean. Figure 7 summarizes
438 tropospheric NO₂ column density comparisons between model and OMI satellite observation for
439 aforementioned sensitive regions. Central Texas showed improvement with switch from YL to
440 our BDSNP ('new') scheme. For July 2011, central Texas and San Joaquin Valley exhibit
441 relatively dry soil conditions, whereas the Midwest was mostly wet (Supplementary material
442 Figure S5). Even with similar conditions as central Texas, San Joaquin region shows overall
443 degradation. Overestimation of simulated NO₂ columns up to twice of OMI over Midwestern US
444 and San Joaquin valley for summer episodes has been exhibited earlier as well (Lamsal et al.,
445 2014). Several factors, such as spatial inhomogeneity within OMI pixels and possible errors
446 arising from the stratosphere-troposphere separation scheme and air mass factor calculations, can
447 be attributed to this overestimation. Retrieval difficulties in complex terrain may explain the

448 discrepancies in NO₂ column over San Joaquin Valley even though it shows slight improvement
449 with updates within BDSNP ('old' to 'new') and has similar dry conditions as central Texas.

450 We examined the performance of CMAQ under each of the soil NO parameterizations. Regions
451 where soil NO parameterizations most impacted MDA8 ozone and PM_{2.5} were examined for
452 model performance in simulating CASTNET MDA8 O₃ and IMPROVE PM_{2.5} observations.

453 For PM_{2.5}, our updated BDSNP module ('new') showed the best performance (Table 2).
454 Evaluations against MDA8 O₃ observations found contrasting behavior for two different sets of
455 CASTNET sites. The 11 mostly agricultural and prairie sites extending across the Midwest and
456 southern US showed consistent overestimation as we moved from YL to BDNBP with new
457 inputs, with bias jumping from ~ 7% to 14% and error from 15% to 19% (Table 3). However, the
458 5 forest/national park sites most of which lie near the San Joaquin Valley by contrast showed an
459 overall improvement in bias from ~ 13% to 10% and in error from ~ 17 % to 15% (Table 3).

460 Over-predictions of soil NO emissions especially in wet conditions may result from EPIC not
461 properly accounting for on-farm nitrogen management practices like tile drainage. Crops such as
462 alfalfa, hay, grass, and rice experience soil N loss due to tile drainage in wet soils (Gast et al.,
463 1978; Randall et al., 1997). Recent updates to FEST-C (v. 1.2) include tile drainage for some
464 crops but not hay, rice, grass and alfalfa (CMAS, 2015). Tile drainage results in loss of fertilizer
465 N to water run-off from wet or moist soils.

466 We analyzed how the soil NO schemes affect the sensitivity of MDA8 ozone to anthropogenic
467 NO_x reductions by considering the 5.7 million tons/year reduction from 2011 levels that U.S.
468 EPA expects for United States by 2025 with BAU scenario. These reductions were applied on
469 basis of perturbation factors of relevant sectors keeping biogenic emissions unchanged for July
470 2011, based on EPA's annual baseline estimates between 2011 and 2025 (Table 4). These
471 anthropogenic NO_x reductions yield less reduction in MDA8 O₃ under the BDNBP soil NO
472 scheme than YL, with 1-2 ppbv differences over parts of California and the Midwest (Figure 12).
473 The shift occurs because our updated BDSNP schemes have higher soil NO in these regions,
474 pushing them toward less strongly NO_x-limited regimes.

475 This work represents crucial advancement toward enhanced representation of soil NO in a
476 regional model. Although possible wet biases and using dominant land cover rather than
477 fractional in soil biome classification, may have over-predicted NO in agricultural regions in
478 present study. The EPIC simulation used here lacks complete representation of farming
479 management practices like tile, which can reduced soil moisture and soil NO fluxes. Inclusion of
480 biogeochemistry influencing different reactive N species encompassing the entire N cycling
481 could enable more mechanistic representation of emissions. For future work, there is a need for
482 more accurate representation of actual farming practices and internalizing updated soil reactive N
483 bio-geochemical schemes. More field observations are needed as well in order to increase the
484 sample size for evaluation of modeled estimates soil emissions of reactive N species beyond NO.

485

486 **Code availability**

487 The modified and new scripts used for implementation of BDSNP in CMAQ Version 5.0.2 are in
488 the supplementary material. Also provided as supplement is the user manual giving details on
489 implementing BDSNP module in-line with CMAQ, as used in this work. Source codes for
490 CMAQ version 5.0.2 and FEST-C version 1.1 are both open-source, available with applicable
491 free registration at <http://www.cmascenter.org>. Advanced Research WRF model (ARW) version
492 3.6.1 used in this study is also available as a free open-source resource at
493 http://www2.mmm.ucar.edu/wrf/users/download/get_source.html.

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499

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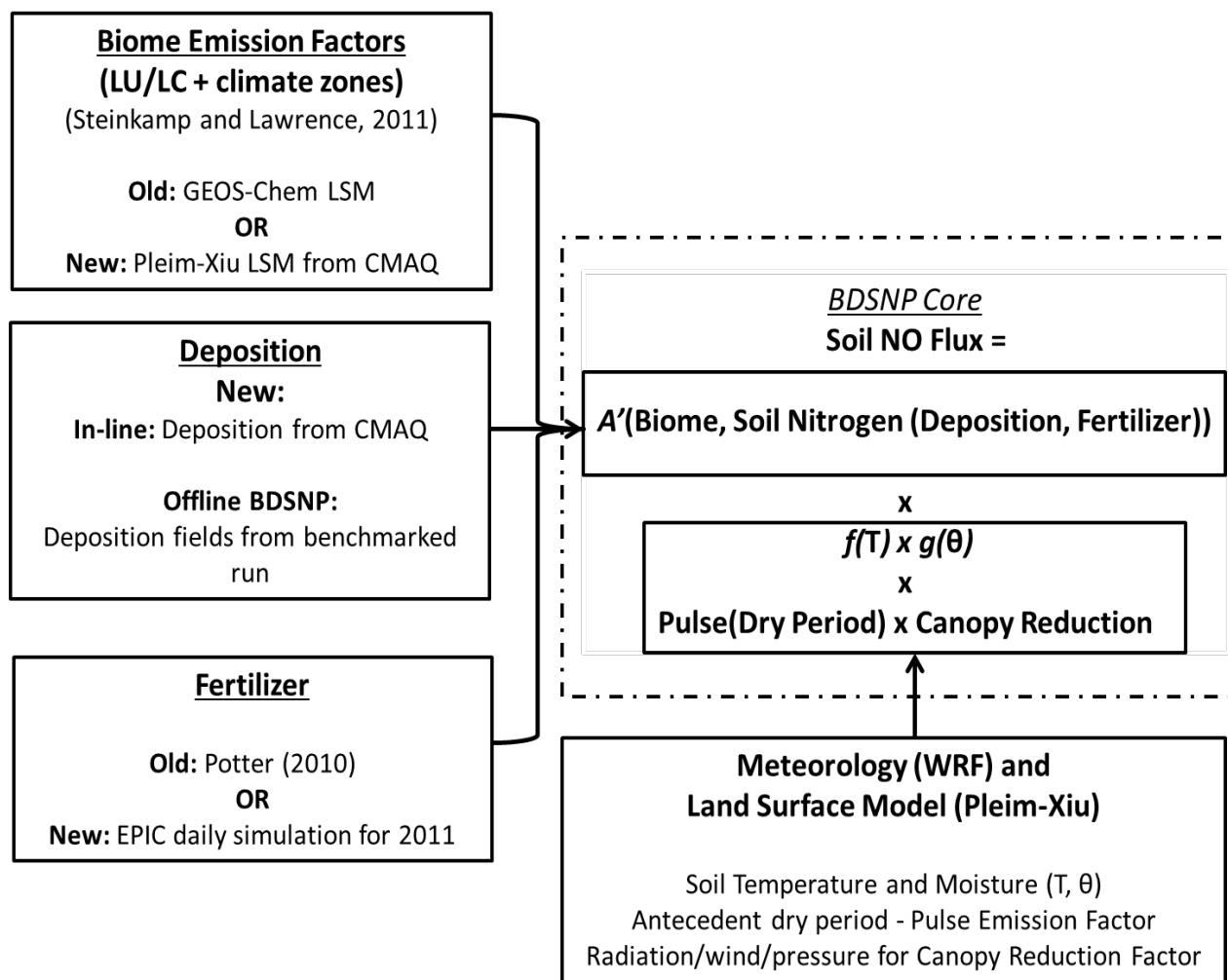
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689 **Figure 1** Soil NO emissions modeling framework as implemented offline or in CMAQ (inline).

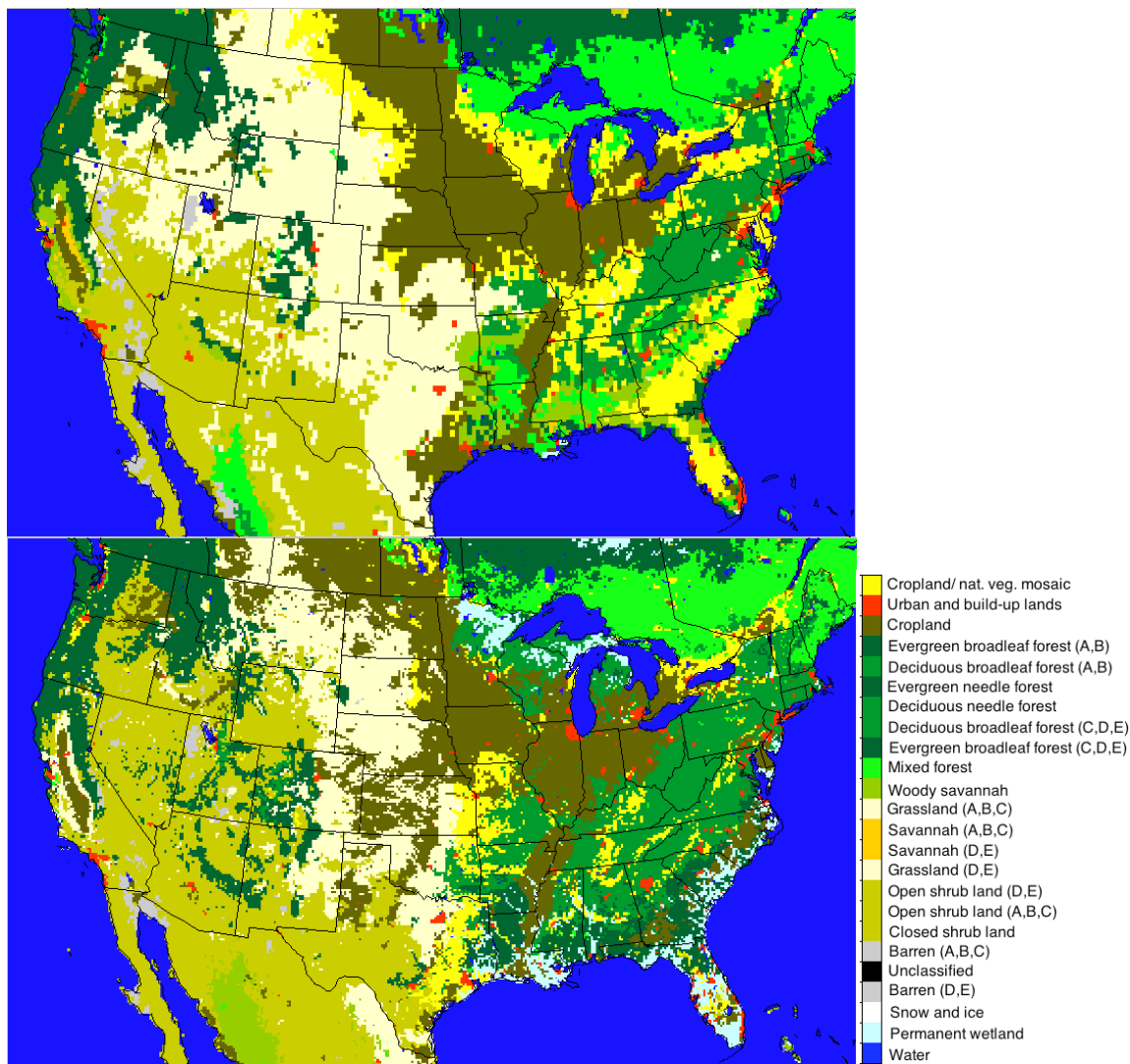
690 “Old” refers to the Hudman et al. (2012) implementation in GEOS-Chem. “New” refers to our

691 implementation in CMAQ.

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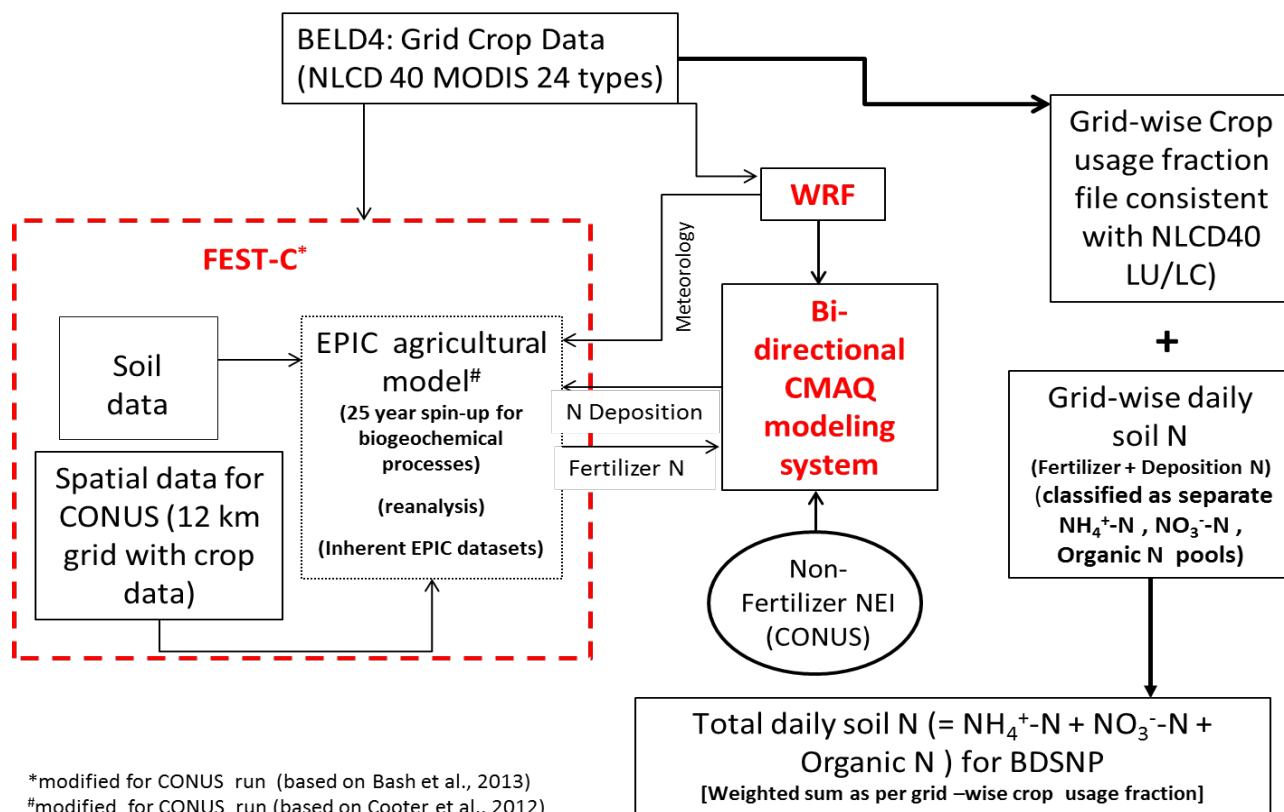
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696 **Figure 2** Biomes from GEOS-Chem ($0.25^\circ \times 0.25^\circ$; top) and CMAQ MODIS NLCD40 ($12 \text{ km} \times$
 697 12 km ; bottom) regrouped to match the classifications for which emission factors are available
 698 from Steinkamp and Lawrence (2011). See Tables A1 and A2 (right) for the mappings between
 699 classifications. The color-bar legends for classifications are as per NLCD definitions
 700 (http://www.mrlc.gov/nlcd11_leg.php).

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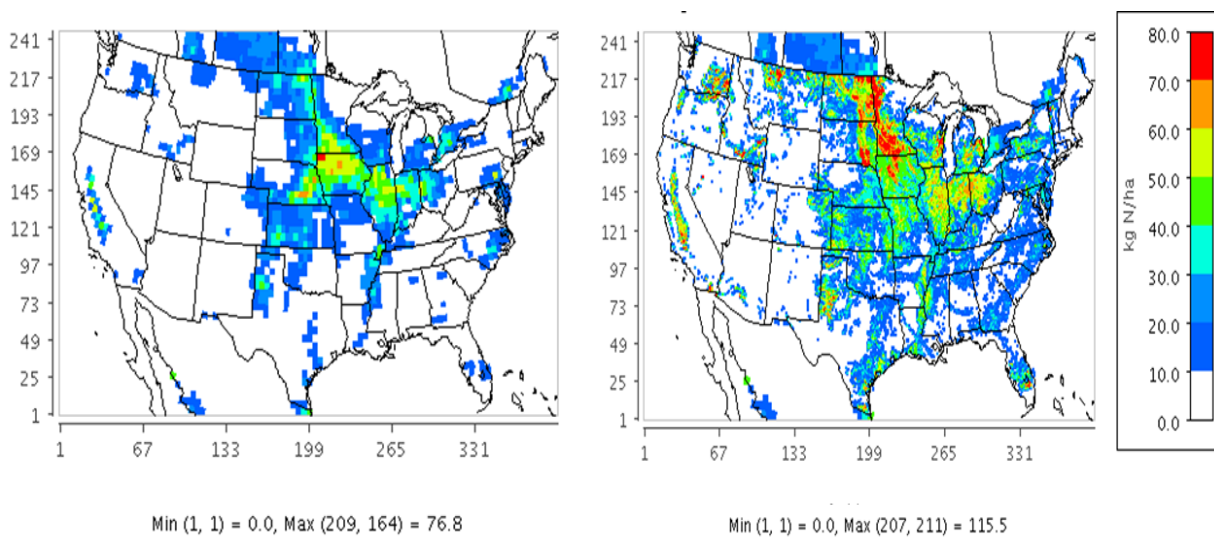
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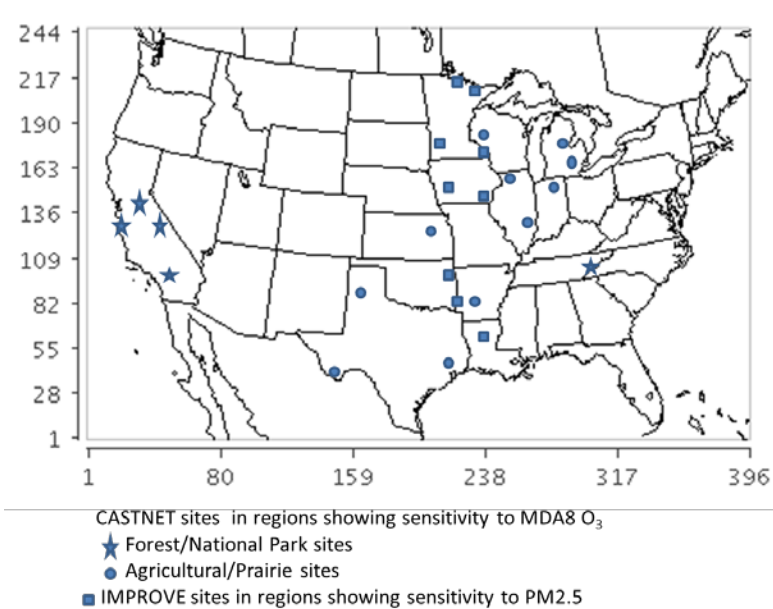
705 **Figure 3** Modeling framework for obtaining total soil N from EPIC using FEST-C.

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708 **Figure 4** Potter (left) and EPIC (right) annual fertilizer application (Kg N/ha). Since EPIC
 709 modeled only the U.S., Potter et al. (2010) is used in both cases to represent Canada and Mexico.

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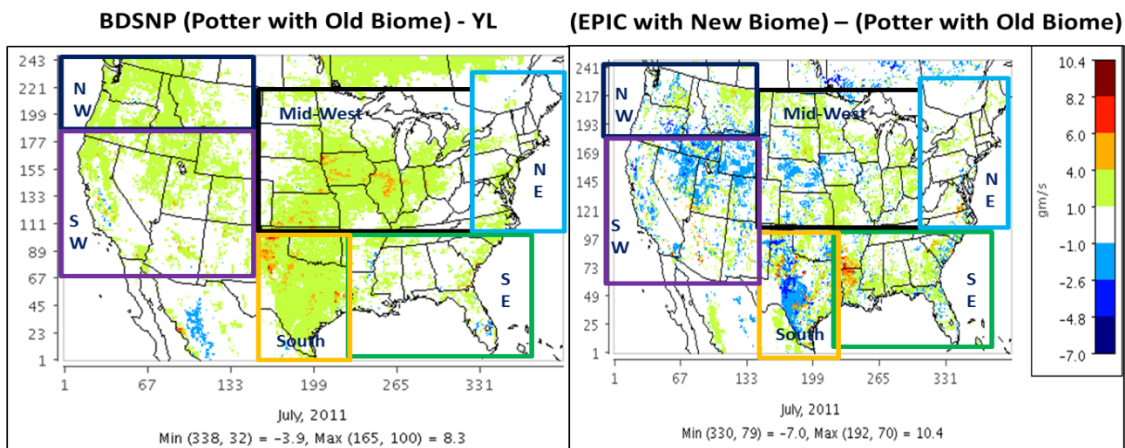
712 **Figure 5** CASTNET (Forest/National Park and agricultural sites) and IMPROVE sites in
 713 continental US for comparison of modeled and observed ozone and PM_{2.5}.

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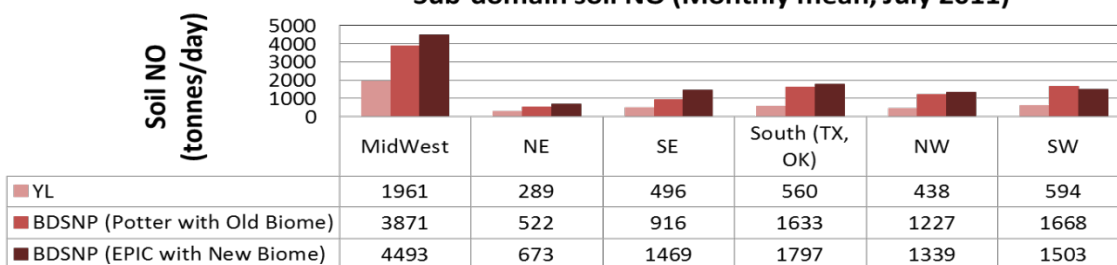
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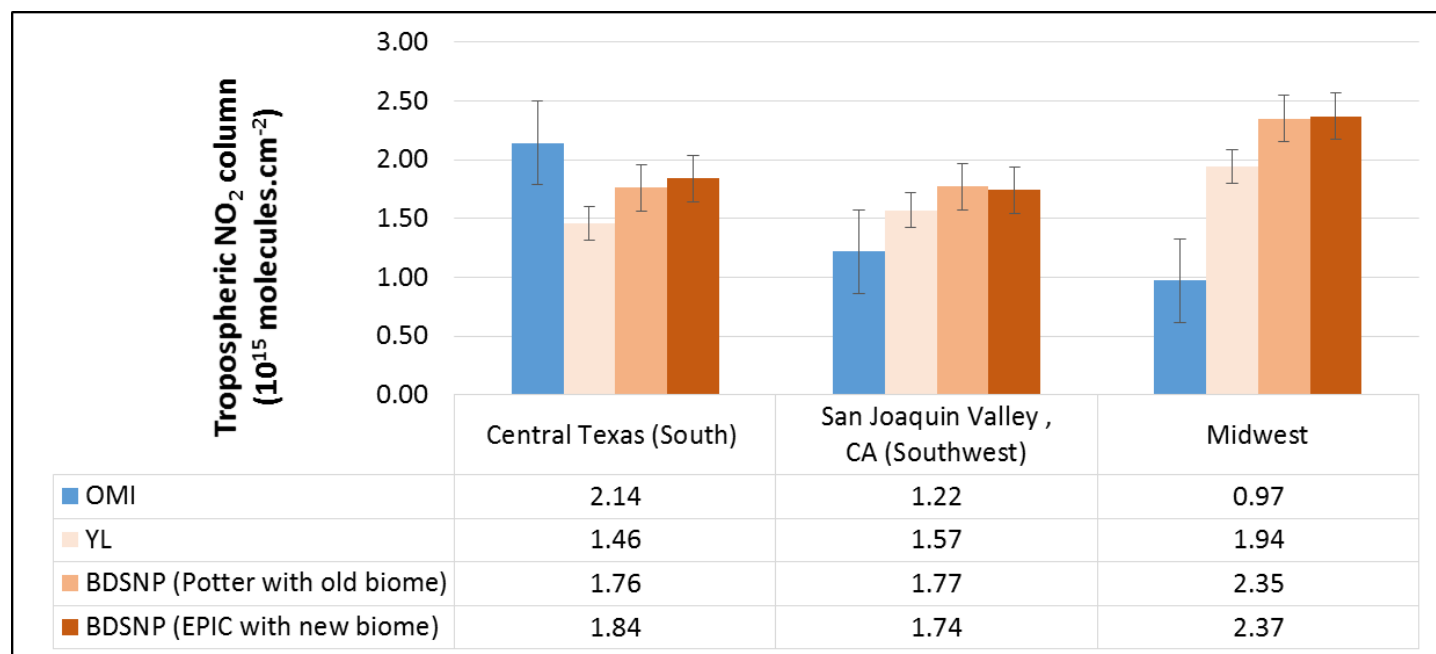
Sub-domain soil NO (Monthly mean, July 2011)



718 **Figure 6** Soil NO (tonnes/day) sensitivity to change from YL to BDSNP (Potter and old biome
 719 or 'old') (left) and to the fertilizer and biome scheme within BDSNP (right) over sub-domains
 720 (boxes).
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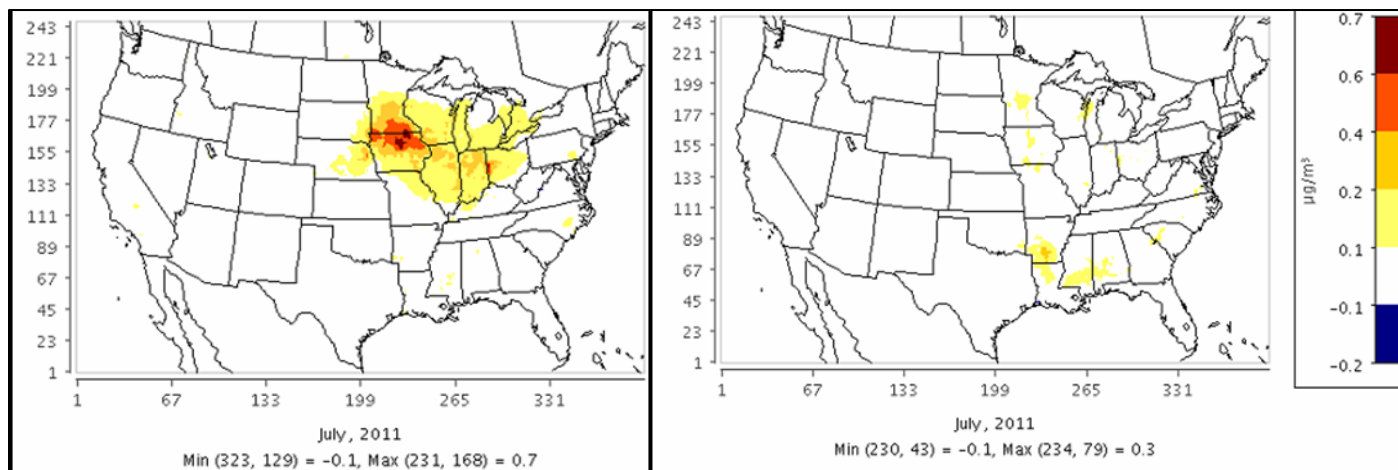


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725 **Figure 7** Spatial average for Tropospheric NO₂ (molecules cm⁻²) over regions with high soil NO
 726 sensitivity with switch from YL to BDSNP (as in Figure 6) with comparison to OMI NO₂. NO₂
 727 column are temporal average for July 2011 at OMI overpass time.

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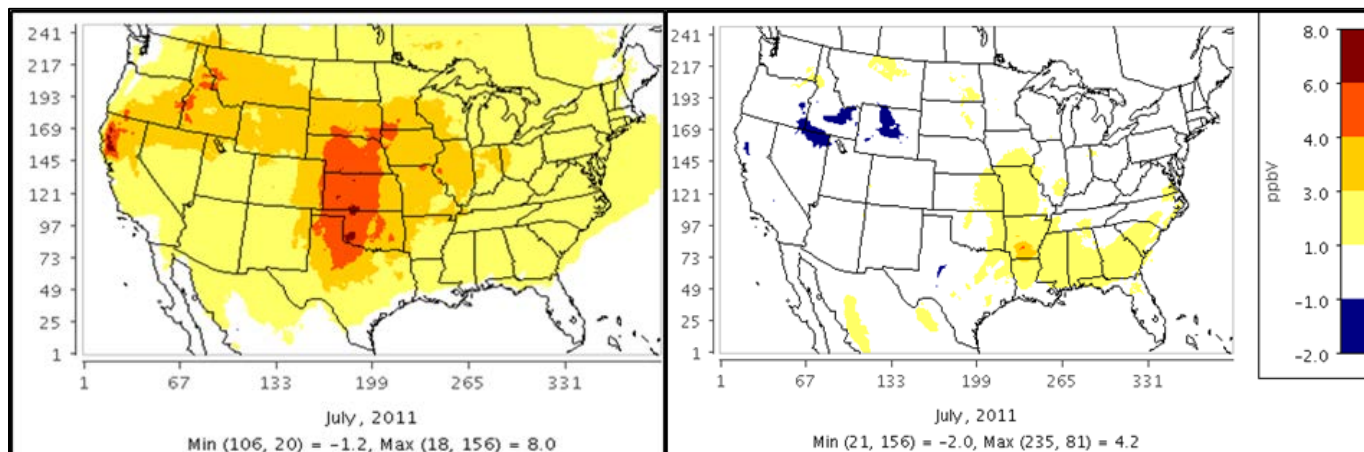


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731 **Figure 8** Changes in modeled daily average PM_{2.5} when switching from: a) YL to BDSNP
 732 (Potter fertilizer data with original biome map) (left) and b) BDSNP (Potter with original
 733 biomes) to BDSNP (EPIC with new biomes) (right).

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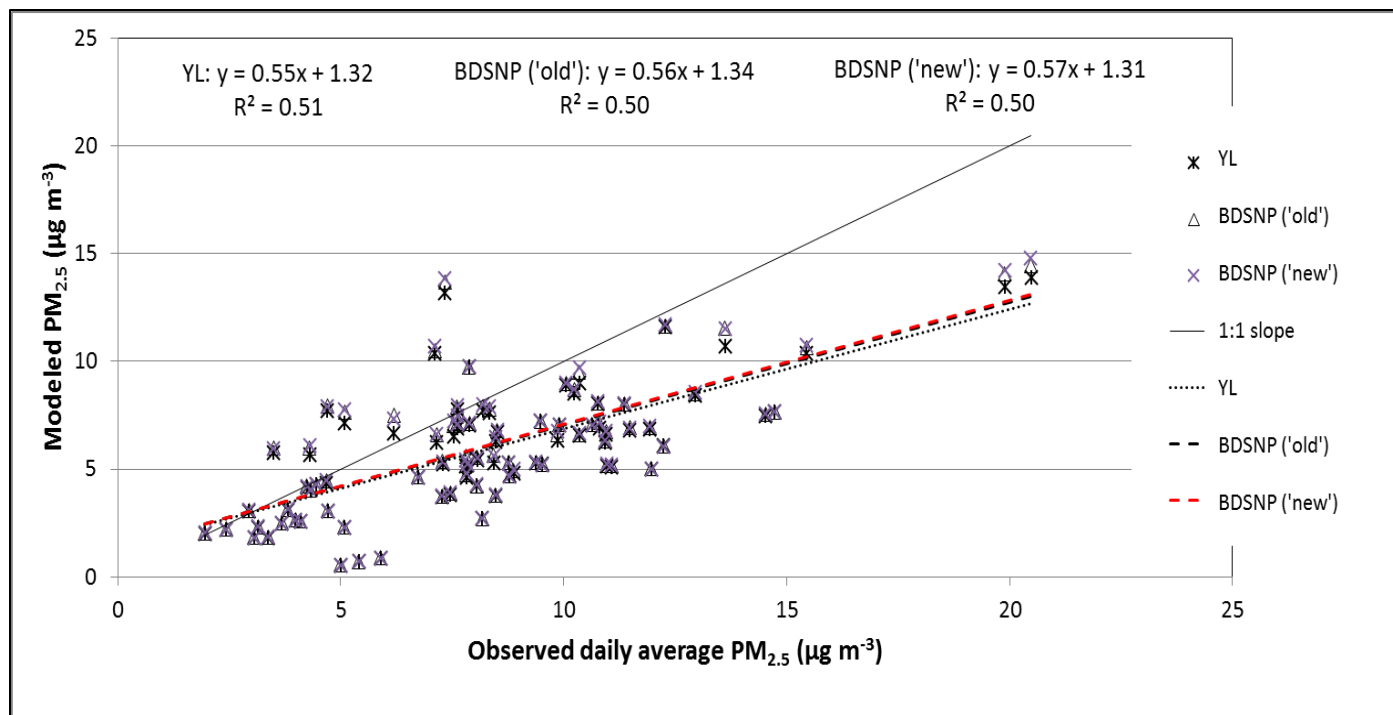
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737 **Figure 9** Changes in modeled maximum daily 8-hour ozone (MDA8) when switching from: a)
 738 YL to BDSNP (Potter fertilizer data with original biome map) (left) and b) BDSNP (Potter with
 739 original biomes) to BDSNP (EPIC with new biomes) (right).

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744 **Figure 10** Comparison of the three inline BDSNP-CMAQ cases with IMPROVE PM_{2.5} data
 745 (Malm et al., 1994) in continental US for Daily Average PM_{2.5} for July 2011.

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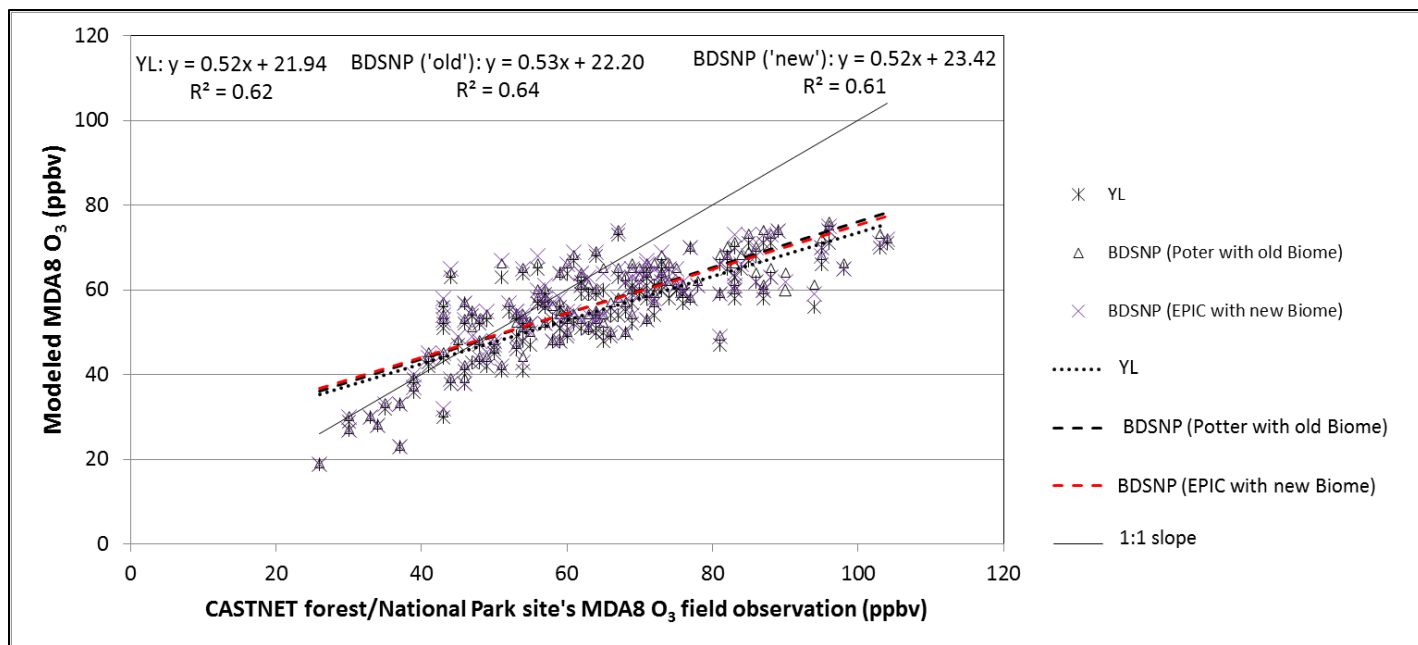
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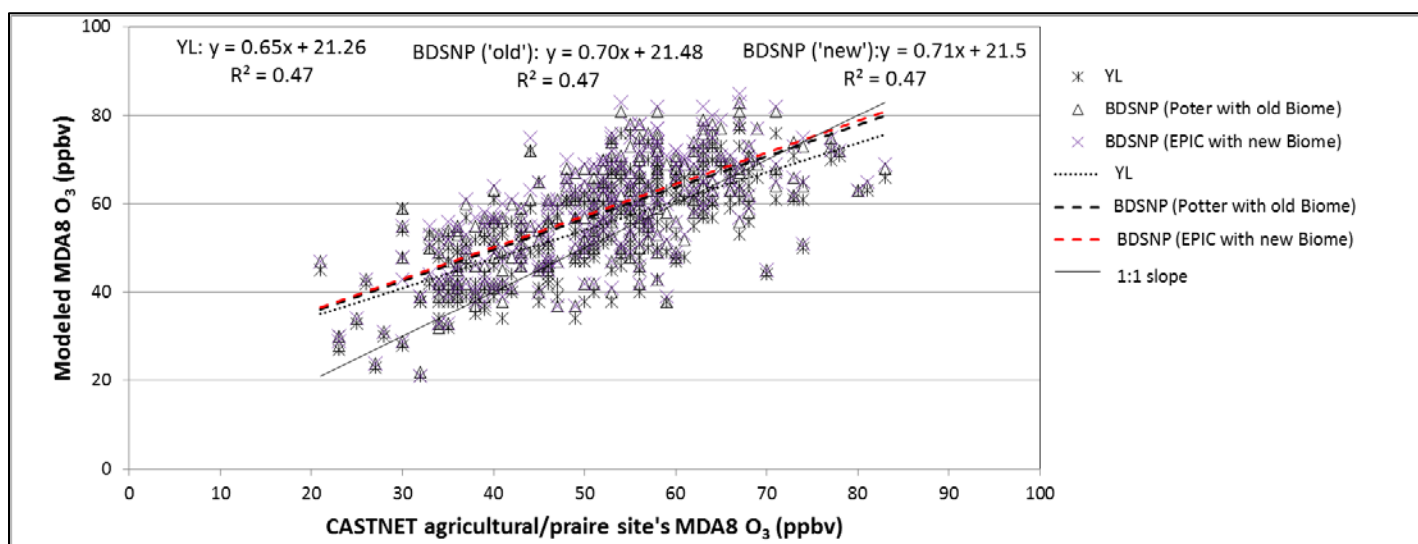
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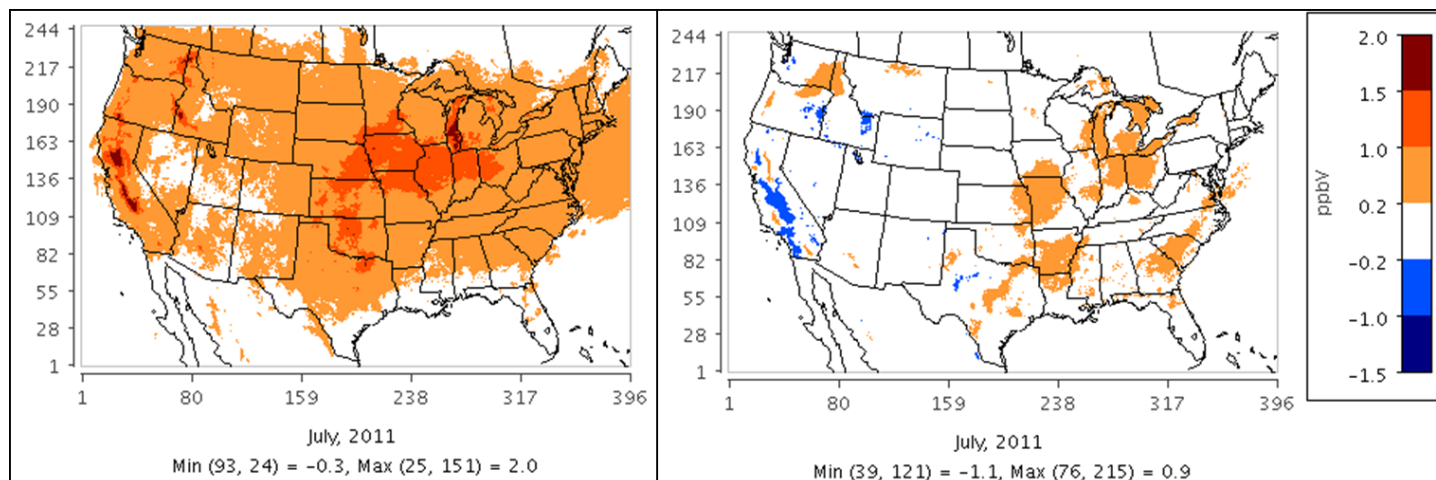
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754 **Figure 11** Comparison of the three inline BDSNP-CMAQ cases with CASTNET MDA8 O₃ data
 755 for forest/National Park sites in California (top, number of evaluation sites, n=147) and
 756 agricultural/prairie sites in mid-west and south US (bottom, n=311) for July 2011.

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759 **Figure 12** Difference in monthly mean MDA8 O₃ perturbation between: a) BDSNP ('old') –
 760 YL (left) and, b) BDSNP ('new') – BDSNP ('old') (right). MDA8 O₃ perturbations are from
 761 perturbed anthropogenic NO_x estimates 2011 base case to 2025 base case, BAU (US EPA,
 762 2015).

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777 **Table 1** Modeling configuration used for the WRF-BDSNP-CMAQ CONUS domain runs.

WRF/MCIP			
Version:	ARW V3.6.1	Shortwave radiation:	RRTMG scheme
Horizontal resolution:	CONUS (12kmX12km)	Surface layer physic:	Pleim-Xiu surface model
Vertical resolution:	26 layer	PBL scheme:	ACM2
Boundary condition:	NARR 32km	Microphysics:	Morrison double-moment scheme
Initial condition:	NCEP-ADP	Cumulus parameterization:	Kain-Fritsch scheme
Longwave radiation:	RRTMG scheme	Assimilation:	Analysis nudging above PBL for temperature, moisture and wind speed
BDSNP			
Horizontal resolution:	Same as WRF/MCIP	Emission factor:	Steinkamp and Lawrence (2011)
Soil Biome type:	24 types based on NLCD40 (new) 24 types based on GEOS-Chem LSM (old)	Fertilizer database:	EPIC 2011 based from FEST-C (new) Potter et al. (2010) (old)
CMAQ			
Version:	V5.02	Anthropogenic emission:	NEI2011
Horizontal resolution:	Same as WRF/MCIP	Biogenic emission:	BEIS V3.1 in-line
Initial condition:	Pleim-Xiu (new) GEOS-Chem (old)	Boundary condition:	Pleim-Xiu (new) GEOS-Chem (old)
Aerosol module:	AE5	Gas-phase mechanism:	CB-05
Simulation Case Arrangement (in-line with CMAQ)			
1. YL:	WRF/MCIP-CMAQ with standard YL soil NO scheme		
2. BDSNP (Potter with old Biome or 'old'):	WRF/MCIP-BDSNP-CMAQ with Potter and old biome		
3. BDSNP (EPIC with new Biome or 'new'):	WRF/MCIP-BDSNP-CMAQ with EPIC and new biome		
Simulation Time Period			
July 1-31, 2011 for CMAQ simulation with inline soil NO BDSNP module			
Daily simulations in Year 2011 for offline BDSNP soil NO BDSNP module (July 1-31, 2011 for sensitivity analysis)			
Model Performance Evaluation			
USEPA Clean Air Status and Trends Network (CASTNET) data for MDA8 ozone			
Interagency Monitoring of Protected Visual Environments (IMPROVE) Network (Malm et al., 1994) for PM _{2.5}			
OMI NO ₂ satellite retrieval product as derived in Lamsal et al., 2014 for NO ₂ column			

779 **Table 2** Aggregated performance statistics of CMAQ modeled daily average PM_{2.5} for stations
 780 showing sensitivities with change in soil NO between YL scheme and our 2 inline BDSNP
 781 implementations ('old' and 'new') for CONUS in July 2011 as compared to observations at these
 782 sites

Metrics					
Sample Size		81			
Mean observed ($\mu\text{g}/\text{m}^3$)		8.26			
3 CMAQ inline cases		YL	BDSNP (Potter with old biome)	BDSNP (EPIC with new biome)	
Daily average	Mean predicted ($\mu\text{g}/\text{m}^3$)	5.91	6.04	6.08	
PM _{2.5} July (1 July- 31 July), 2011	MAGE (Mean Absolute Gross error)	2.86	2.80	2.77	
	RMSE	3.45	3.40	3.38	
	R	Pearson's	0.72	0.71	0.71
	(correlation coefficient)	Spearman's Ranked	0.65	0.63	0.63
	NMB (%)		-28.52	-26.90	-26.44
	NME (%)	34.64	33.88	33.57	

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786 **Table 3** Performance statistics of CMAQ modeled MDA8 Ozone for 16 CASTNET remote sites
 787 grouped into two categories: a) 11 sites with moist or wet soil condition (monthly mean soil
 788 moisture (m^3/m^3), $\theta_{\text{mean}} > 0.175$), and b) 5 sites with dry soil condition ($\theta_{\text{mean}} < 0.175$), using soil
 789 NO from YL and our two inline BDSNP schemes.

July 2011	Metrics			
	Sample size		311	
	Mean observed (ppbv)		51.76	
	3 CMAQ inline cases	YL	BDSNP (Potter with old biome)	BDSNP (EPIC with new biome)
11 CASTNET sites (mostly agricultural/prairie sites, Mostly wet soil conditions)	Mean modeled (ppbv)	55.25	57.93	58.60
	M_{AGE} (Mean Absolute Gross error)	7.78	9.16	9.65
	RMSE	9.41	10.96	11.47
	R	Pearson's	0.50	0.51
	(correlation coefficient)	Spearman's Ranked	0.46	0.49
	NMB (%)	7.57	12.80	14.08
	NME (%)	15.65	18.38	19.33
	Sample size		147	
	Mean observed (ppbv)		64.38	
5 CASTNET sites (mostly forest/National Park sites near San Joaquin valley CA, Dry soil conditions)	Mean modeled (ppbv)	55.17	57.01	56.87
	M_{AGE} (Mean Absolute Gross error)	11.41	10.13	10.44
	RMSE	13.13	11.80	12.12
	R	Pearson's	0.71	0.72
	(correlation coefficient)	Spearman's Ranked	0.68	0.69
	NMB (%)	-13.14	-10.23	-10.35
	NME (%)	16.95	15.04	15.45

791 **Table 4** Emission perturbation factors applied to anthropogenic NO_x emissions for each sector
 792 listed in NEI as per EPA's RIA base-line reductions from 2011 to 2025 with BAU (Table 2A-1,
 793 <https://www3.epa.gov/ttn/ecas/docs/20151001ria.pdf>)

Sectors (NEI file names)	Perturbation factor
Electric Generating Unit(EGU)-point (ptimp- ptegu, ptegu_pk)	0.70
NonEGU-point (ptnonipm)	1.00
Point oil and gas (pt_oilgas)	0.92
Nonpoint oil and gas (np_oilgas)	1.11
Wild and Prescribed Fires (ptwildfire, ptprescfire)	1.00
Residential wood combustion (rwc)	1.03
Other nonpoint (nonpt)	1.04
Onroad (onroad)	0.30
Nonroad mobile equipment sources (nonroad)	0.50
Category 3 Commercial marine vessel (c3marine)	0.77
Locomotive and Category 1/Category 2 Commercial marine vessel (c1c2rail)	0.62

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801 **Appendix**802 **Table A1** List of 24 soil biome emission factor (EF) from Steinkamp and Lawrence (2011)

ID	MODIS land cover	Köppen main climate⁽¹⁾	EF1 (world geometric mean)	EF2 (world arithmetic mean)	EF3 (North American)
1	Water	--	0	0	0
2	Permanent wetland	--	0	0	0
3	Snow and ice	--	0	0	0
4	Barren	D,E	0	0	0
5	Unclassified	--	0	0	0
6	Barren	A,B,C	0.06	0.06	0.06
7	Closed shrub land	--	0.09	0.21	0.05
8	Open shrub land	A,B,C	0.09	0.21	0.09
9	Open shrub land	D,E	0.01	0.01	0.01
10	Grassland	D,E	0.84	1.05	0.62
11	Savannah	D,E	0.84	1.05	0.84
12	Savannah	A,B,C	0.24	0.97	0.24
13	Grassland	A,B,C	0.42	1.78	0.37
14	Woody savannah	--	0.62	0.74	0.62
15	Mixed forest	--	0.03	0.14	0.00
16	Evergreen broadleaf forest	C,D,E	0.36	0.95	0.36
17	Deciduous broadleaf forest	C,D,E	0.36	0.95	0.61
18	Deciduous needle. forest	--	0.35	0.95	0.35
19	Evergreen needle. forest	--	1.66	4.60	1.66
20	Deciduous. broadl. forest	A,B	0.08	0.13	0.08
21	Evergreen broadl. forest	A,B	0.44	1.14	0.44
22	Cropland	--	0.57	3.13	0.33
23	Urban and build-up lands	--	0.57	3.13	0.57
24	Cropland/nat. veg. mosaic	--	0.57	3.14	0.57

803 (1). A-equatorial, B-arid, C-warm temperature, D-snow, E-polar (see Figure 2 for spatial map)

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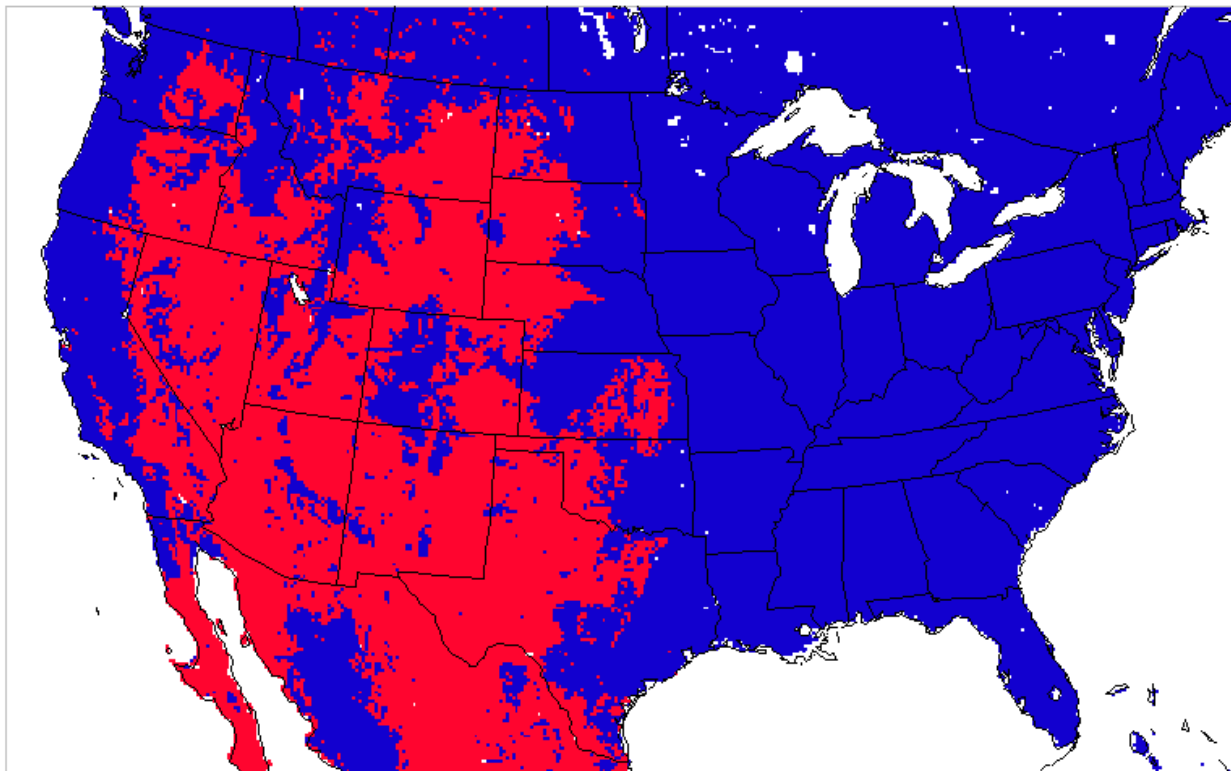
806 **Table A2** Mapping table to create the ‘new’ soil biome map based on NLCD40 MODIS land
 807 cover categories

ID	NLCD40 MODIS CATEGORY (40)	ID	SOIL BIOME CATEGORY (24)
1	Evergreen Needle leaf Forest	19	Evergreen Needle leaf Forest
2	Evergreen Broadleaf Forest	16 and 21	Evergreen Broadleaf Forest
3	Deciduous Needle leaf Forest	18	Dec. Needle leaf Forest
4	Deciduous Broadleaf Forest	17 and 20	Dec. Broadleaf Forest
5	Mixed Forests	15	Mixed Forest
6	Closed shrublands	7	Closed shrublands
7	Open shrublands	8 and 9	Open shrublands
8	Woody Savannas	14	Woody savannah
9	Savannas	11 and 12	Savannah
10	Grasslands	10 and 13	Grassland
11	Permanent Wetlands	2	Permanent Wetland
12	Croplands	22	Cropland
13	Urban and Built Up	23	Urban and build-up lands
14	Cropland-Natural Vegetation Mosaic	24	Cropland/nat. veg. mosaic
15	Permanent Snow and Ice	3	Snow and ice
16	Barren or Sparsely Vegetated	6	Barren
17	IGBP Water	1	Water
18	Unclassified	1	Water
19	Fill value	1	Water
20	Open Water	1	Water
21	Perennial Ice-Snow	3	Snow and ice
22	Developed Open Space	23	Urban and build-up lands
23	Developed Low Intensity	23	Urban and build-up lands
24	Developed Medium Intensity	23	Urban and build-up lands
25	Developed High Intensity	23	Urban and build-up lands
26	Barren Land (Rock-Sand-Clay)	24	Cropland/nat. veg. mosaic
27	Unconsolidated Shore	24	Cropland/nat. veg. mosaic
28	Deciduous Forest	16 and 21	Evergreen Broadleaf Forest
29	Evergreen Forest	19	Evergreen Needle leaf Forest
30	Mixed Forest	15	Mixed Forest
31	Dwarf Scrub	8 and 9	Open shrublands
32	Shrub-Scrub	8 and 9	Open shrubland
33	Grassland-Herbaceous	10 and 13	Grassland
34	Sedge-Herbaceous	14	Woody savannah
35	Lichens	10 and 13	Grassland
36	Moss	10 and 13	Grassland
37	Pasture-Hay	24	Cropland/nat. veg. mosaic
38	Cultivated Crops	22	Cropland
39	Woody Wetlands	2	Permanent Wetland
40	Emergent Herbaceous Wetlands	2	Permanent Wetland

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809 **Table A3** Summary of differences between YL, and the two applications of BDSNP. See Table
 810 1 for other aspects of model configuration.

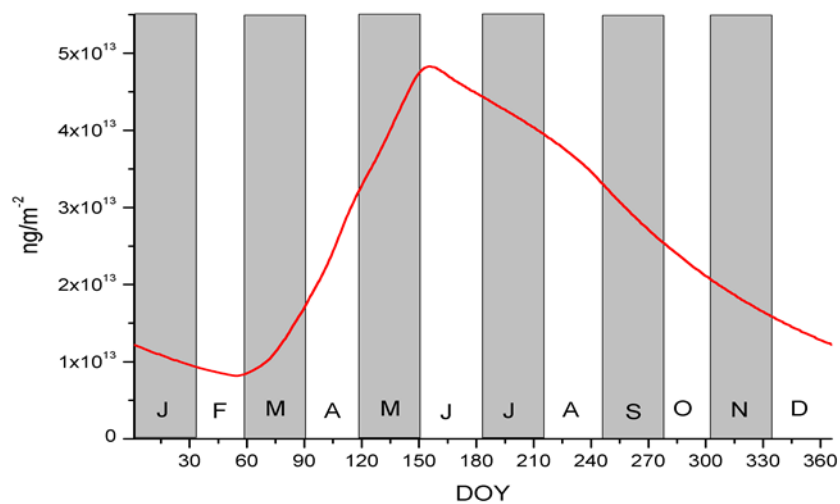
	Features	YL	BDSNP (Potter with old biome)	BDSNP (EPIC with new biome)
1)	NO emission response to biome, temperature and moisture	YL scheme uses a much generalized biome classification by grouping 36 NASA Global Vegetation Indexes to 11 broad biome types. Ice, desert and snow are attributed zero NO emission. The rest of biomes use emission factors that are empirical function of soil temperature behaving differently for dry and wet soils. Linear variation with soil temperature for dry soil, exponential response to temperature for wet soils (Yienger and Levy, 1995).	Biome emission factors for 40 NLCD land use categories, based on a coarse grid definition from GEOS-Chem LSM (Hudman et al., 2012). Non-linear response to soil temperature (T) and moisture (θ).	Biome emission factors regrouped from NLCD 40 to 24 MODIS land use types (Steinkamp and Lawrence, 2011) with Köppen climate definitions (Kottek et al., 2006) to be consistent with finer grid resolution used by Pleim-Xiu LSM in CMAQ. Non-linear response to soil T and θ .
2)	NO emission response to deposition	Deposition not accounted for as a source of soil N.	Deposition accounted for as a soil N source, but separately from fertilizer.	Deposition accounted for as a soil N source. FEST-C soil N Deposition (oxidized and reduced) outputs used, also includes bi-directional exchange capability of CMAQ, currently implemented for NH ₃ (reduced N deposition source) only (Bash et al., 2013).
3)	NO emission response to Fertilizer	Considers planting date and a decline from NO fertilizer over the course of the growing season.	Potter et al. (2010) long-term average fertilizer estimates used.	Daily fertilizer estimates from EPIC/FEST-C, accounting for meteorology and farm practices (Cooter et al. 2012).



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813 **Figure B1** Arid (red) and non-arid (blue) region over Continental US (12km resolution)

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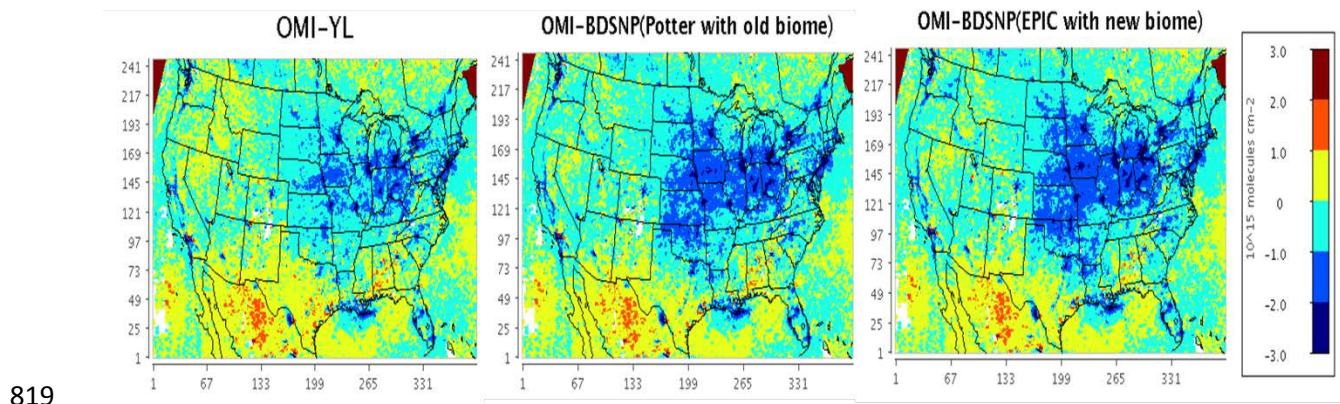


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816 **Figure B2** Daily variation of total N from fertilizer application (from Potter et al. (2010))

817 processed from BDSNP to establish timing over continental US throughout 2011

818



820 **Figure B3** Difference of OMI NO₂ column with NO₂ column simulated from the three inline
 821 CMAQ cases: YL, BDSNP (Potter with old biome), BDSNP (EPIC with new Biome) (left to
 822 right) over OMI overpass time averaged for July 2011 over CONUS. Note: In contour plots,
 823 white refers to gaps/no-fill values in OMI product and dark red at upper corners are due to gaps
 824 in CMAQ NO₂ column after temporal averaging at OMI overpass time.