

Overall: We thank all three reviewers for their time and effort in reviewing this paper. We have modified the paper where we think is appropriate, based on their thoughtful comments.

#### Anonymous Referee #1

This reviewer has two primary points: (1) comments on the Flagship Pilot Studies, which the reviewer approves, and (2) comments on the CORE plans, which the reviewer disapproves. We note that the CORDEX structure is decided by the CORDEX community, and both parts of the program have been reviewed and modified in discussions by the CORDEX Science Advisory Team and in public forums such as the CORDEX 2016 conference held May 2016 in Stockholm.

On the Flagship Pilot Studies, we appreciate that the reviewer finds them as an opportunity for bottom-up innovation in the CORDEX community. Indeed, the FPS approved so far have provided opportunities for advancing CORDEX in some of its Regional Challenges, such as regional coupled-model activities and precipitation simulation. The reviewer appears to believe that the FPS directly involve the VIA community. While FPS are intended to be VIA-aware, they do not necessarily involve the VIA community directly when addressing the Regional Challenges.

The reviewer does not like the CORE plans, feeling in part that it is mimicking CMIP and that it is simply trying to be part of a race to finer resolution. We note that the IPCC has expressed interest in CORDEX output for future assessments, a point now stated in the paper, and one need in that regard is a systematic, well-structured set of simulations that cover most, if not all, of the CORDEX regions, in order to provide simulation output that is as homogeneous as possible across the CORDEX regions. The FPS, as pilot studies, focus on one region and thus cannot do this. CORE was thus conceived in part to be responsive to IPCC interests for coordinated simulations that can potentially provide additional information beyond what GCMs can provide on climate change for regions.

The transition to finer resolution is motivated primarily by the outcomes of previous exploratory studies that have shown there is better resolution of key regional processes when simulating at 10-20 km grid spacing. While simulation at much finer scales might possibly be desirable, that needs much more exploration before committing substantially greater resources (at least an order of magnitude greater computing demand). That is the purpose of the FPS: to explore the possible benefits of yet finer resolution. Moreover, the CORE plans purposely dovetail with efforts in HighResMIP, so that output would provide a basis for examining side-by-side the advantages and challenges in both approaches (fine resolution global simulation and fine resolution simulation by downscaling). We also note that the need for finer resolution climate information is now and not some 20 years into the future when GCM may be regularly simulating at such resolutions.

While the reviewer may disagree with the planned CORE, we note again that this is a program element that has been subject to discussion and revision within the CORDEX community, and therefore it needs to be retained within the manuscript, whose role is not to present a proposal for the program but rather to describe the current plans and discussions around it.

Comments by R. E. Benestad:

The central concern of the reviewer is the greater emphasis on dynamical downscaling versus empirical statistical downscaling (ESD) in the CORDEX2 plans as delineated in the GMD paper. We agree that ESD needs to be more strongly a part of CORDEX, and efforts have been underway to promote ESD in the CORDEX framework. We have included ESD in the CMIP6 planning for CORDEX as a Diagnostic MIP, especially in the output request, which was tailored to cover both RCM and ESD needs. We have modified the paper at several locations to make this point clear, either by explicitly mentioning ESD (lines 106-109, 147-148, 291, 297 and 358-360 of revised manuscript) or changing places where we used “RCM to “RCD” (e.g, lines 257, 289 and 293); the latter includes both types of downscaling, as we have made clear in the first part of the paper.

We have also noted that ESD can bring a variety of techniques to bear on producing climate information for regions and the value of the extra tools ESD brings for addressing additional matters such as bias correction and added value (lines 147-148). We do note, though, that the purpose of the paper is to describe CORDEX in the context of CMIP6, and going deeply into methods and outcomes of assessing added value or bias correction is beyond the intended scope of the paper. We have, however, cited several papers that go into details of added value and bias correction.

The reviewer suggests we start with specific science questions under different WCRP grand challenges. Because this paper is about CORDEX as a CMIP6 Diagnostic MIP, we have focused more on where CORDEX contributes to key science needs as outlined by CMIP leadership. However, the CORDEX Science Advisory Team did develop its regional science challenges partly with the WCRP challenges in mind, and we do refer to the primary WCRP challenge addressed by CORDEX: climatic extremes. These regional science challenges are also presented as the basis for the development of the CORDEX2 framework. We further recognize that the WCRP grand challenges are undergoing their own revision. In particular, the regional information grand challenge no longer exists, though there is substantial discussion occurring on the role of the WCRP for undertaking the science to provide needed regional information. The text has been modified on lines 331-332 to reflect this evolution. We have noted, though, that the CORE framework would produce output that should be amenable to addressing such concerns.

Comments by C.M. Goodness:

There are three issues discussed in the second paragraph that the reviewer wishes to see discussed further:

(1) ESD activities fitting in – We agree that ESD needs to be identified more clearly in CORDEX2 efforts. We have revised the manuscript in some locations (lines 291, 297-308) to be more explicit about the roles ESD and RCM downscaling both have to play in CORDEX2, including roles that ESD can play distinct from RCM downscaling.

(2) Observational challenges – As noted by the reviewer, we do mention observations in a few places in the paper. One full paragraph (lines 335-348 in the revised manuscript), in particular, discusses the important relationship CORDEX is establishing with obs4MIPs and ana4MIPs and the data needs posed by CORDEX for regional resolution and variables beyond temperature and precipitation. We have added an additional sentence that mentions that obtaining regional-resolution climatological datasets is challenging. Further discussion on the challenges of producing such data sets is beyond the intended scope of this paper.

(3) Dissemination of output – As a CMIP6-endorsed MIP, all regional downscaling output is expected to be disseminated on the ESGF following output formatting established for CMIP simulations, with output available for unrestricted use as established by CMIP. This text has been added to the manuscript at the end of the first paragraph of section 3.

Specific comments (line numbers refer to lines in the original manuscript, as identified by the reviewer; numbers in [ ] are the corresponding line numbers in the revised manuscript):

1. Abstract. It would be good to mention Flagship Pilot Studies here.  
– Added a sentence about the FPS.
2. Line 39 [41] Reference should be to Curry and Lynch, 2002 not ‘et al’.  
– Corrected.
3. Line 87 [95-96] Perhaps say how many domains there are.  
– Added with web site for details.
4. Line 112 [134] Is the value of information for VIA applications the same as it’s ‘scientific’ value?  
– One might argue that scientific value is a prerequisite for VIA value, but in any case, the two are not synonymous. Wording adjusted to note value for both scientific analysis and VIA applications.
5. Line 117 [139-143] Can you give some examples of how added value can be ‘carefully considered’?  
Perhaps insert ‘full’ before downscaling – some downscaling has to be done in order to evaluate its value.  
– The papers cited give examples of downscaling considerations for added value. We have modified the end of the sentence to state more why added value should be considered before

doing a full downscaling exercise: “to ensure that there is sufficient improved information gained from the downscaling to justify the resource expenditure for a full downscaling exercise.”

6. Line 120 [146] Pattern scaling and bias correction are two very different things – it would be useful to include some references on both approaches.

– We have added a relevant reference for each one, which helps distinguish the two. Since this is in a parenthetical statement, we have not tried to be exhaustive in citations.

7. Line 143 [178] ‘are large’ rather than ‘is large’

– Corrected.

8. Lines 153-154 [189-192] Perhaps comment on the lack of and limited open access to appropriate observed wind data

– Although some wind measurements for energy resources are proprietary and often not available, we are not sure otherwise how restricted access is to appropriate wind data compared to other fields. We have added the point of inaccessibility of proprietary data sets, and we have added reference to inconsistencies seen in observation-based wind data sets that are available.

9. Line 165 [205] Should be Giorgi, 2001

– Corrected.

10. Line 160-170 [206-207] Observations could also be mentioned as a source of uncertainty.

– Limitation of observations also noted.

11. Lines 156-170 [194-198] Can you comment on the extent to which all these ‘distillation’ issues fall within the CORDEX remit?

– The paragraph was modified to more clearly delineate the role of CORDEX in the production of climate information.

12. Line 171 [222] Insert ‘which’ before ‘emerged’

– “that” inserted

13. Line 175 [226] ‘heterogenieity has’

– Corrected.

14. Lines 176-177 [228-229] I’m not sure I fully understand what you mean by ‘transfer know-how across the domains’.

– “know-how” replaced with the more precise “scientific understanding gained on physical processes and downscaling procedures”.

15. Lines 180-181 [231-232] To what extent is the provision of actionable information within the CMIP6 remit? (also see earlier comment about the CORDEX remit).

– The sentence was modified to delineate the role of CORDEX, which in this context is providing the simulation output that can be converted to actionable information.

16. Line 193 [248] Here and elsewhere I would specifically refer to RCPs (or emission scenarios) rather than just scenarios.

– “scenarios” modified to “emissions and land-use scenarios” to recognize that projection scenarios in the RCPs and Shared Socioeconomic Pathways (SSPs) involve a variety of changes, of which the emissions and land use probably have the most direct influence on simulation evolution.

17. Lines 225-227 [290-291, 293] It is rather confusing to have a core set within CORE. Can another terminology be used for the former? You also refer to a ‘base ensemble’ but I’m not sure this terminology is appropriate either.

– The use of “core” here is deliberate, intending to reference the CORE framework. To make this more clear, we have added “CORDEX-CORE framework” to this sentence: “ in the new CORDEX-CORE framework, it is envisioned that a standard core set of RCMs and ESD methods downscale a core set of GCMs”. We have changed “base ensemble” to “foundational ensemble” to emphasize that the simulations performed under the CORE framework are the foundation for a broader set of simulations that downscalers could perform for different domains.

18. Line 227 [292] ‘high and low’

– Corrected.

19. Line 233 [309] I would change ‘shows’ to ‘indicates’.

– Changed to “illustrate”, since a quantitative analysis is not included here.

20. Lines 253-254 [330-332] The WCRP regional climate information grand challenge is no longer formally a WCRP grand challenge.

– Noted. The text now reads, “the WCRP grand challenge on climate extremes and the WCRP effort, in conjunction with other programs, to develop climate information for regions.”

21. Line 309 [402-403 + Abstract] The climate service community also has great expectations of CORDEX2. Either here or elsewhere it would be good to refer to linkages between CORDEX and the CMIP6 VIACS Advisory Board.

– Reference to the CMIP6 VIACS Advisory Board added here and in the abstract.

22. Line 328 [430] The third author should be ‘Goodess’ not ‘Godess’

– Corrected.

23. Line 372 [476] Should be EURO-CORDEX

– Corrected.

24. Line 405 [527-528] Please indicate the source of observations in the Figure 1 caption.

– Citation added.

25. Line 411 [533] Change to: Change in average precipitation for 2071-2100 minus 1980-2005 projected by the. . . . .

– Both corrections made.

# WCRP COORDINATED REGIONAL DOWNSCALING EXPERIMENT (CORDEX): A Diagnostic MIP for CMIP6

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**Abstract.** The Coordinated Regional Downscaling Experiment (CORDEX) is a Diagnostic MIP in CMIP6. CORDEX builds on a foundation of previous downscaling intercomparison projects to provide a common framework for downscaling activities around the world. The CORDEX Regional Challenges provide a focus for downscaling research and a basis for making use of CMIP6 GCM output to produce downscaled projected changes in regional climates and assess sources of uncertainties in the projections, all of which can potentially be distilled into climate change information for vulnerability, impacts and adaptation studies. [CORDEX Flagship Pilot Studies advance regional downscaling by targeting one or more of the CORDEX Regional Challenges.](#) A CORDEX\_CORE framework is planned that will produce a baseline set of homogeneous [high resolution downscaled projections](#) for regions worldwide. In CMIP6, CORDEX coordinates with ScenarioMIP and is structured to allow cross comparisons with HighResMIP [and interaction with the CMIP6 VIACS Advisory Board.](#)

## 1. Introduction

The COordinated Regional Downscaling EXperiment (CORDEX, 2016) was implemented under the auspices of the World Climate Research Program (WCRP) in order to improve downscaling techniques and their use in the provision of robust regional climate information for application in Vulnerability, Impacts and Adaptation (VIA) studies (Giorgi et al. 2009; Jones et al. 2011). Although a number of regional climate model (RCM) and empirical/statistical downscaling (ESD) intercomparison projects have been implemented throughout the years (e.g., Takle et al. 1999, Curry [and Lynch, 2002](#), Fu et al. 2005, Christensen et al. 2007, Mearns et al. 2012, Deque et al. 2012, Solman et al. 2013), the lack of

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common protocols has made it difficult to transfer know-how across these programs. This realization has called for the need to develop a common framework across worldwide regional settings. CORDEX has provided such a framework. The simulation protocol for the first phase CORDEX activities (hereafter referred to as CORDEX1, Giorgi et al. 2009; Jones et al. 2011) included a validation stream aimed at assessing and improving regional climate downscaling (RCD) models and techniques, along with a regional projection stream aimed at producing large ensembles of projections based on multi-model, multi-RCD approaches. CORDEX1 culminated in a pan-CORDEX conference held in Brussels in November 2013, drawing over 400 abstracts and 500 participants. A number of scientific questions and methodological issues emerged from CORDEX1, which have provided the basis for a revisitation and upgrade of the CORDEX protocol, specifically within the context of the CMIP6 (Climate Model Intercomparison Project 6) framework. In this paper we describe such reflections, with the purpose of providing the background for the development of the next phase CORDEX activities (hereafter referred to as CORDEX2).

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## 2. CORDEX goals and emerging scientific challenges from the CORDEX1 activities.

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The overall vision of CORDEX is to advance and coordinate the science and application of regional climate downscaling through global partnerships (Giorgi and Gutowski 2015). Within this vision, CORDEX has a set of four overarching goals:

1. To better understand relevant regional/local climate phenomena, their variability and changes, through downscaling.
2. To evaluate and improve RCD models and techniques
3. To produce coordinated sets of regional downscaled projections worldwide
4. To foster communication and knowledge exchange with users of regional climate information.

The RCD information samples uncertainties in regional climate change associated with varying forcing from GCM simulations and greenhouse gas (GHG) concentration scenarios, natural climate variability and different downscaling methods (e.g. RCM and ESD). The CORDEX downscaling activities base themselves as much as possible on the latest sets of GCM climate simulations. For example, the CORDEX1 RCM experiments were based on driving GCMs participating to CMIP5, which was an invaluable resource for the design and implementation of CORDEX1 (Jones et al., 2011).

More generally, RCD techniques, including both dynamical and statistical approaches, are being increasingly used to provide higher-resolution climate information than is available directly from contemporary GCMs. The techniques, their applications, and the community using them are broad and varied, and therefore downscaled results must be applied appropriately and the strengths and limitations

85 of different techniques need to be well understood. This requires a better evaluation and quantification  
of the performance of the different techniques for application to specific problems, along with an  
understanding of physical processes and uncertainties underlying regional climate projections. Building  
on experience gained in the global modelling community, a coordinated, international effort to  
objectively assess and intercompare various RCD techniques provides an optimal means to evaluate  
90 their performance, to illustrate benefits and shortcomings of different approaches, and to produce multi-  
model, multi-method based information, along with associated uncertainty characterization. This should  
provide a more solid scientific basis for the use of downscaled information in VIA studies and other  
applications.

95 To fulfill its vision and address the goals above, CORDEX1 developed a two-stream simulation  
protocol for a set of ~~fourteen continental~~-scale domains covering essentially all land areas of the globe  
(CORDEX 2016). In the first stream (evaluation) the participating RCMs were driven by fields from the  
ERA-Interim re-analysis of observations (Dee et al. 2011) for the period 1989-2014 and the models were  
assessed against available observations for the simulation period. In the second stream (projection) the  
100 models were run with boundary conditions from GCMs participating to the CMIP5 program (Taylor et  
al. 2012) for the period 1950-2100 under different greenhouse gas (GHG) emission scenarios. In order to  
facilitate participation from a broad community, the initial RCM grid spacing was set to 50 km. ~~Priority~~  
~~was given to the Africa domain because of the vulnerability of this continent to climate change and the~~  
~~lack of local infrastructure to carry out regional climate projections.~~ CORDEX1 resulted in the  
completion of multi-model ensembles for both simulation streams over most of the CORDEX domains,  
105 and regional analysis teams were assembled to assess the model simulations (e.g., Endris et al. 2013;  
Kalognomou et al. 2013; Gbobaniyi et al. 2014). ~~This phase did not initially include ESD because of the~~  
~~lack of coordination across the highly varied ESD activities, but an ongoing effort is under way to better~~  
~~coordinate and engage ESD in the production of CORDEX-based regional downscaling products and~~  
~~assessments.~~

110 An example of simulation results from CORDEX1 appears in Figs. 1 and 2 for precipitation, a  
challenging field to simulate well. Figure 1 shows seasonal-average bias in precipitation for simulations  
by an RCM when using reanalysis or GCM boundary conditions. Although there is room for  
improvement in the simulations, use of GCM boundary conditions in this example does not degrade the  
simulation compared to using reanalysis boundary conditions: the magnitude and spatial patterns of bias  
are similar in the two simulations. Figure 2 shows changes in precipitation using the same RCM ~~driven~~  
115 ~~by two GCMs that ran the RCP4.5 projection scenario.~~ The magnitude and spatial pattern of the change  
is similar in both simulations, suggesting a fairly ~~consistent~~ result, though the bias in contemporary  
~~climate~~ simulation (Fig. 1) suggests some caution in accepting the changes without further analysis of  
underlying changes in precipitation processes.

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A number of research issues emerged from the CORDEX1 activities, which were summarized in the CORDEX SAT2 meeting (25-27 February 2015, Norkköping, Sweden) into five CORDEX Regional Challenges [and are currently under discussion by the CORDEX community](#):

1) Added value. The issue of added value of downscaling is often debated, since the use of RCD techniques does not always result in more valuable information for [scientific analysis and VIA applications](#). In addition, downscaling is not intended to correct biases in the large scale circulations produced by the driving GCMs (an issue traditionally referred to as "garbage in - garbage out"), which substantially influence the performance of RCD techniques. The added value depends on the variable, statistics, temporal and spatial scales being considered (e.g. Di Luca et al. 2012; Giorgi and Gutowski 2015; Torma et al. 2015, Rummukainen 2016), [and whether added value in present day climate simulation results in more credible fine scale climate change signals needs to be assessed \(Di Luca et al 2013; Giorgi et al. 2016\)](#). [Therefore, the issue of added value needs to be carefully considered to ensure that there is sufficient improved information gained from the downscaling to justify the resource expenditure for a full downscaling exercise](#). This issue is particularly relevant in view of the increasing capability of running very high resolution (1-5 km), [and highly expensive](#), convection-permitting models for climate applications. Within this context, the value of post-processing techniques used for VIA application [e.g. bias correction ([Berg et al. 2012](#)) or pattern scaling ([Tebaldi and Arblaster 2014](#))] needs to be [better explored](#), [In this context, ESD methods side-by-side with RCM downscaling can help delineate factors that determine relevant regional patterns or lead to RCD biases](#).

2) Human element. High resolution models are especially useful tools to study the effects of human activities on regional and local climates. Typical examples of these effects are those induced by land-use change and urban development (and in particular the growth and spread of coastal megacities) or by aerosols of anthropogenic origin. A number of individual RCM-based studies of land-use and aerosol effects are available in the literature (e.g. Giorgi and Gutowski 2015), however a coordinated approach to this issue is required to fully evaluate the importance of local forcings on regional climates.

3) Coordination of regional coupled modeling activities. One of the frontiers in regional climate modeling is the coupling of different climate system components (e.g. atmosphere, ocean, land and ocean biosphere, sea ice, chemistry/aerosol) at the regional scale towards the development of Regional Earth System Models (RESMs). A number of regional coupled modeling activities are currently under way, also within the CORDEX framework (e.g. within the Med-CORDEX, Ruti et al. 2016; or the Arctic-CORDEX, Dethloff et al. 2012), however these activities would benefit from a greater integration and coordination across regional settings.

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4) Precipitation. Precipitation is probably the most important variable for VIA studies, and also one of the most difficult to simulate in current climate models. Changes in precipitation patterns, regimes and extreme events can have severe consequences on a range of society's sectors. In addition, precipitation is a variable where the high resolution of RCMs can provide a substantial added value, in particular concerning the simulation of extremes, mesoscale convective systems and coastal storms (Giorgi and Gutowski 2015). Uncertainties in projected precipitation changes are large because of model systematic errors and large natural variability, and a characterization of this uncertainty requires the completion of large ensembles. Clearly, CORDEX can provide an optimal framework to improve the robustness in the projection of change in regional hydroclimatic regimes.

5) Local wind systems. Strong regional and local winds, such as the Mistral and Bora in the Mediterranean, or Chinook winds in western North America, along with intense tropical and extratropical storms, can have devastating impacts, particularly on coastal environments. However, the resolution of current global models is insufficient to accurately simulate local intense wind systems, which are often driven by fine scale topography or surface/atmosphere exchanges. In addition, there has been comparatively limited analysis of surface winds in both global and regional models. Such analysis would be important for example for economic sectors such as wind energy production. However, inconsistencies can occur between different observation-based wind data sets (Pryor et al. 2009), and observations for wind energy by the private sector can be proprietary and inaccessible to the general public. The CORDEX initiative can provide an essential contribution in this research area.

In addition to the CORDEX Regional Challenges an important issue is the "distillation" of robust and credible climate information for use in VIA studies. While CORDEX goals include interaction with users of regional climate information, it is not a climate service per se; in this light CORDEX seeks to provide the data sets that are the starting point for distillation, but production of climate information itself requires further effort beyond the remit of CORDEX. Nonetheless, there is often a perception on the user's side, that downscaled data is characterized by a high level of credibility. This data, however, is affected by multiple sources of uncertainty (Hewitson et al. 2014a,b): systematic errors in the driving boundary conditions from GCMs and in the RCM and ESD models themselves; natural variability, scenario, and structural uncertainty in the driving GCM climate which propagate into the RCM and ESD models through the boundary forcing; model and technique-dependent response to the same forcing boundary condition fields; internal variability of RCMs; relative sparseness of the GCM-RCM/ESD simulation matrix. Moreover, natural variability increases at finer spatial scales (e.g. Giorgi 2001), which enhances the difficulty of identifying forced anthropogenic signals, and observations used to evaluate downscaling can have errors and limitations in their spatial and temporal representativeness. Because of all these sources of uncertainty, care has to be taken to extract the most credible information

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from RCD ensembles of future climate projections, and this is a little explored area of research in need of much greater attention. This implies, in part, a careful and process-based evaluation of models and understanding of the simulated climate change signals.

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Finally, one of the main problems that emerged from CORDEX1 was the pronounced heterogeneity in terms of availability of simulations across different domains. While for some domains, e.g Africa, Europe and the Mediterranean, large ensembles of model simulations are available (even at different resolutions), for others, such as Central Asia, Central America or Australia, only a few experiments have been conducted. This heterogeneity has made it difficult for CORDEX to provide consistent information in international programs such as the Intergovernmental Panel on Climate Change (IPCC) and to transfer across the domains the scientific understanding gained on physical processes and downscaling procedures.

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Clearly, addressing the research issues highlighted in this section requires a revisitation of the CORDEX1 framework, leading into a new CORDEX2 phase aimed at providing RCD output, from both empirical/statistical and dynamic downscaling, that can be converted to increasingly useful, consistent and actionable regional to local climate information within the context of the CMIP6 program. Such a revisitation is the subject of the next section.

### 3. Plans for the CORDEX2 downscaling and analysis framework.

In the context of CMIP6, CORDEX is a Diagnostic MIP. The anticipated CORDEX experiments are downscaling activities that will use CMIP DECK, CMIP6 Historical Simulation and ScenarioMIP output to provide input conditions for both statistical and dynamical downscaling under the CORDEX protocol. CORDEX has a core framework of specified regions, resolutions and simulation periods that all regional CORDEX activities adhere to (hereafter referred to as Coordinated Output for Regional Evaluation, or CORDEX-CORE). CORDEX-CORE was conceived in part to be responsive to IPCC needs for coordinated simulations that could provide fine scale climate information for regions beyond that resolved by GCMs. Specific details of downscaling experiments are a function of plans generated by groups participating in each of the CORDEX regions. In particular, for each region a matrix of GCM-RCD experiments is designed based on the need to cover as much as possible different dimensions of the uncertainty space (e.g., different emissions and land-use scenarios, GCMs, RCD models and techniques). The population of this matrix depends on the participation of groups in the different regional domain activities. As a CMIP6-endorsed MIP, all regional downscaling output is expected to be disseminated on the ESGF following the output formatting standard established for CMIP simulations, with output available for unrestricted use as established by CMIP.

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An optimal design of GCM-RCD matrices requires the availability of a broad range of driving GCM data (6 hourly meteorological fields, i.e. wind components, temperature, pressure, water vapor),

spanning high-end, mid-level and low-end GHG emission/concentration scenarios, and all or at least a large portion of GCMs participating in ScenarioMIP. Ideally, a core set of the GCMs can be used which satisfy the following criteria:

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- 1) cover as well as possible the range of GCM climate model sensitivity;
- 2) provide acceptable quality of historical climate simulations in the regions where they supply boundary conditions;
- 3) provide acceptable quality of historical climate simulations for important large-scale features affecting regional climates, such as ENSO, NAO, etc.;
- 4) have a distinctive model development history.

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Such a core set of driving GCMs can allow a program of simulations that efficiently addresses key scientific issues within CORDEX, while facilitating comparison and transfer of results and lessons learned across different regions. Projects for individual CORDEX regions may have reasons for choosing additional GCMs, and ultimately, using all GCMs that supply appropriate output for downscaling would be ideal, to make maximum use of the climate information generated by CMIP6 GCMs.

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For the [CORDEX-CORE](#) framework the focus is on historical climate simulations for the 20th century and projections for 21st century, implying that data would be needed minimally for the period 1950-2100 (but ideally 1900-2100). Therefore, as for CMIP5, 6-hourly forcing data from one realization of each contributing GCM is a minimal requirement for dynamical downscaling. Statistical downscaling has more flexibility with input data, but also additional requested GCM output as a consequence of its varied approaches and outputs (see below).

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CORDEX activities provide a unique opportunity to deliver a full range of the uncertainties attached with regional climate change projections by creating GCM-RCD matrices. It is therefore important that the uncertainties attached to 21st century human activities are encapsulated through the availability of multiple scenario simulations. In addition, multiple realizations from some GCMs would allow us to explore also another dimension of the uncertainty space, i.e. GCM/RCD internal variability.

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In order to improve the issue of homogeneity across domains, in the new [CORDEX-CORE](#) framework, it is envisioned that a standard core set of RCMs and ESD methods downscale a core set of GCMs over all or at least most CORDEX domains for a minimum set of scenarios (high and low end). This foundational ensemble can be regionally enriched with further contributions (additional GCM-RCD pairs) by individual groups over their selected domains of interest. The RCM model resolution for these core experiments will be in the range of 10-20 km, a resolution that has been shown to provide substantial added value for a variety of climate variables (e.g. Torma et al. 2015, Prein et al. 2016, Giorgi et al. 2016) and that represents a significant forward step compared to CORDEX1. ESD methods

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will also produce gridded output at comparable grid spacing, though one regional enrichment likely will include statistical downscaling directly to observation sites, bypassing issues raised with gridding observations. The resolution envisioned in the CORDEX-CORE will allow direct comparison with the highest resolution GCM experiments planned for HighResMIP. Figure 3 illustrates the added value that the downscaling to high resolution on the order of 10 km can provide, especially when compared with observations on relatively fine grids.

In terms of data needs, CORDEX experiments will use output from

- 1) 30 years of the pre-industrial simulation (CMIP DECK)
- 2) 1950-2014 from the historical climate simulation (CMIP6 Historical Simulation)
- 3) 2015-2100 from the transient scenario climate simulation that uses RCP8.5, 4.5 and 2.6 for one realization of future projection (ScenarioMIP).

Output from these three RCPs will enable us to span the range of plausible climate change, maintain continuity with CMIP5-based downscaling and satisfy needs for climate change information ascertained from user communities, including a scenario (RCP2.6 or new ones if available) close to the 1.5C stabilization target envisioned in the COP21 meeting of December 2015 in Paris. Although one realization is requested, providing output from more realizations and more scenarios (from ScenarioMIP) is welcome to assess the importance of internal model variability.

The downscaling activities will contribute to answering all three of the key questions for CMIP6 through regional simulations with different climate forcings (CMIP Key Question 1), evaluation of physical processes affecting added value and biases in the downscaled results (CMIP Key Question 2) and characterization of the impact of unforced variability, both internally generated and via ensemble boundary conditions, on the ratio of regional climate change signals versus the noise of unforced variability (CMIP Key Question 3). CORDEX will contribute primarily to the WCRP grand challenge on climate extremes and the WCRP effort, in conjunction with other programs, to develop climate information for regions. Some of the downscaling will include evaluation of regional feedbacks associated with land-use change and aerosols, along with regional rendition of GCM responses to different climatic forcings.

Assessments of high resolution output and added value will seek fine resolution (10-20 km or less) observational datasets, and in this regard interaction with the obs4MIPs and ana4MIPs efforts will be important. Fine resolution observational datasets are being sought in all CORDEX regions, especially those that could support evaluation of higher resolution CORDEX runs. In addition, process-based regional analyses will require the acquisition of observations for variables beyond the canonical ones (e.g. temperature and precipitation), such as regional circulations or surface fluxes. Obtaining climatological observations or data-assimilation products at these resolutions is challenging in many

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parts of the world. CORDEX will help with efforts to make new datasets accessible in standardized formats via the ESGF infrastructure and interface them with regional downscaling output.

### 3.1 Flagship Pilot Studies (FPSs).

The CORDEX-CORE framework based on the use of continental scale domains will not enable the community to address some of the CORDEX Regional Challenges outlined in section 2, for example the added value of using very high resolution, convection-permitting models, or the detailed intercomparison of different downscaling techniques. For this reason, an integral part of CORDEX2 will be the development of so-called Flagship Pilot Studies (FPS). FPS are intended to be targeted studies aimed at addressing specific scientific issues in line with the CORDEX Regional Challenges over selected sub-domains. This will allow groups to run models at a range of spatial resolutions (down to convection permitting), to produce large ensembles of RCM simulations and ESD output, and to tailor RCM and ESD experiments towards the study of specific regional processes, feedbacks and circulations. FPSs can also provide information leading to illustrative examples of end-to-end activities in which the climate output obtained from large ensembles of models and techniques is distilled into actionable information for targeted VIA applications. A key issue will be the availability of observational datasets of sufficient quality and resolution for an accurate assessment of the model experiments, and in this regard the FPS can provide optimal links between CORDEX and other WCRP core projects (e.g. GEWEX).

The development of FPSs is envisioned to be a bottom-up process by which groups of institutes can submit proposals to be reviewed and eventually formally endorsed by the CORDEX Scientific Advisory Team (SAT) through a peer review process. A permanent call for proposals with a four-month deadline cycle is available on the CORDEX web site, and the first cycle of proposal submissions is already under way. One advantage of the FPS concept is that a formal CORDEX endorsement might act as an effective leverage for obtaining funding for the selected projects.

### 4. Conclusions and outlook

Since its inception in the late 2000s, the CORDEX initiative has been extremely successful in raising the interest, participation and coordination of a vast and varied climate downscaling community. The number of CORDEX-related peer reviewed publications has grown steadily, along with the participation to CORDEX-related scientific events. The CORDEX1 framework was designed to foster wide participation and to provide the basis to identify gaps in downscaling research. The CORDEX Regional Challenges and other scientific issues outlined in Section 2 have emerged from this process and provide the basis for the development of the CORDEX 2 activities within the CMIP6 context.

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CORDEX2 will consist of two main components, the [CORDEX-CORE](#) framework, in which a core set of RCMs downscales a core set of GCMs over all or most CORDEX domains at 10-20 km resolution (with augmentation of the regional ensembles by additional individual groups), and the development of targeted FPSs. The [CORDEX-CORE](#) addresses the need of greater homogeneity of [multi-model ensemble](#) information across regions as well as increased resolution of continental scale information. Conversely, the FPSs aim at more targeted studies addressing specific scientific questions of methodological and regional relevance. Both these components are currently under development [and refinement](#). For example, their structure and specifications were discussed by the broad downscaling community at the third pan-CORDEX conference in Stockholm on 17-20 May, 2016 (ICRC-CORDEX 2016, <http://www.icrc-cordex2016.org/index.php>), [and this discussion is continuing with th different regional communities](#).

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As for CORDEX1, the CORDEX2 activities will also rely on information provided by different CMIP6 projects, most noticeably ScenarioMIP and HighResMIP, and related observational databases such as Obs4MIPs. It is thus important that a communication channel is active across these different programs. [Also, the VIA community places great expectations on CORDEX2, so that it is critical for CORDEX to interact with the CMIP6 Vulnerability, Impacts, Adaptation and Climate Services \(VIACS\) Advisory Board \(Ruane et al. 2016\)](#) to clearly identify and communicate the value, limitations and uncertainties of the information that can be provided through the CORDEX downscaling experiments. A process-oriented analysis of models and techniques, based on high quality observational datasets, will thus be a central activity of the CORDEX2 program.

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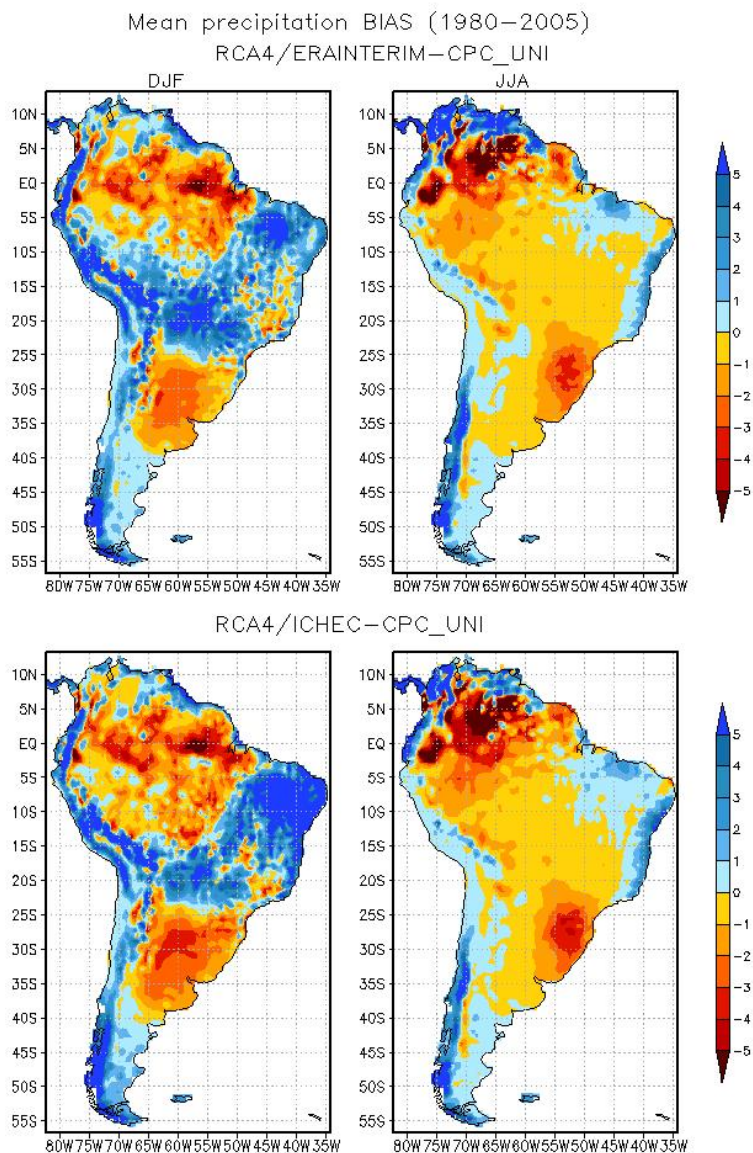
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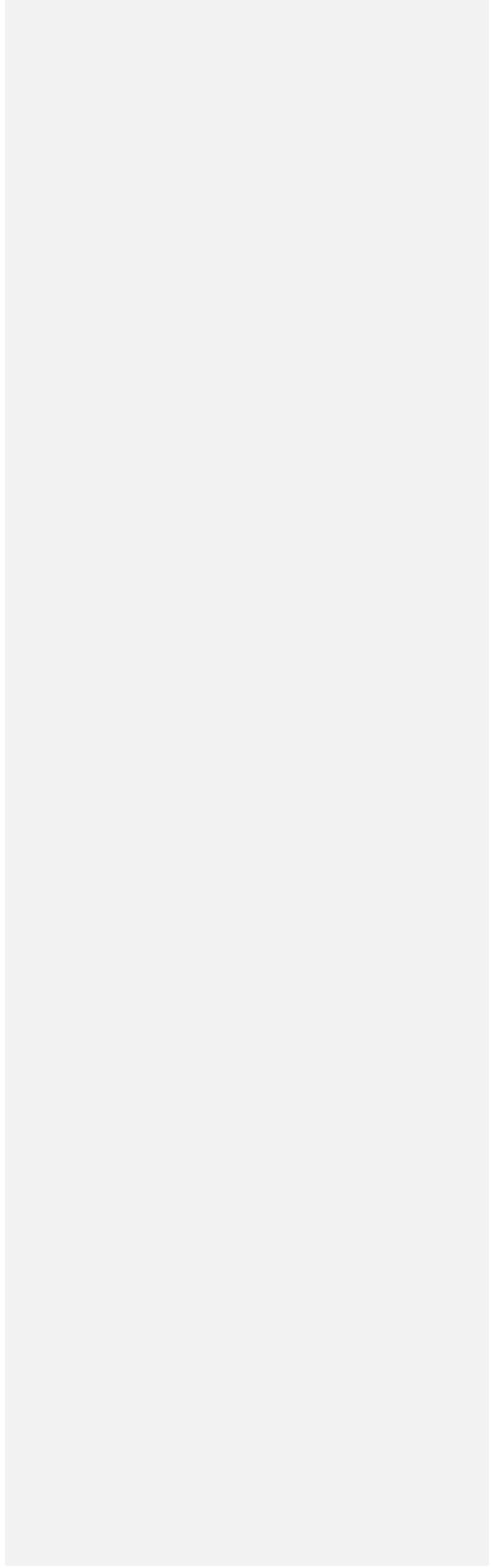
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525 Figure 1 – Bias in 1980-2005 precipitation simulations by the regional model RCA4 for South America when using boundary conditions from the ERA-Interim reanalysis (top row) or the EC-Earth GCM run by the Irish Centre for High-End Computing (ICHEC; bottom row). [Reference observations are from the CPC Unified Gauge-Based Analysis of Global Daily Precipitation \(CPC 2016\)](#). Biases are for the seasons December-January-February (DJF; left side) and June-July-August (JJA; right side). Units are mm/d.



Mean precipitation CHANGE (2071–2100)–(1980–2005) RCP4.5

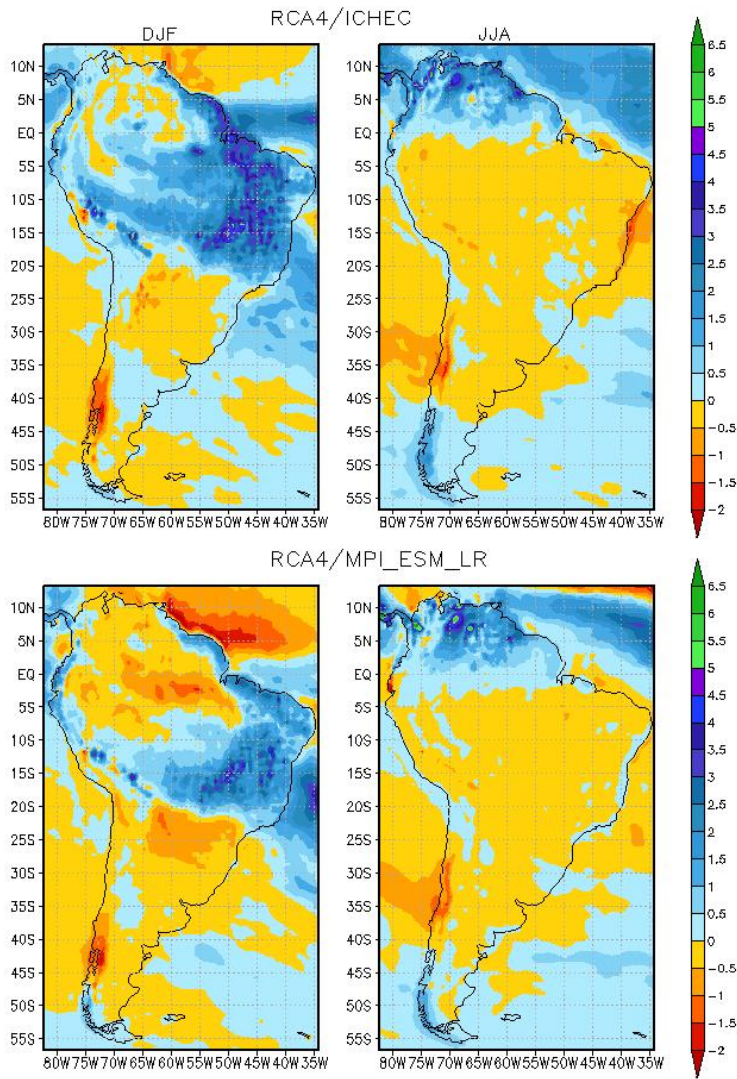
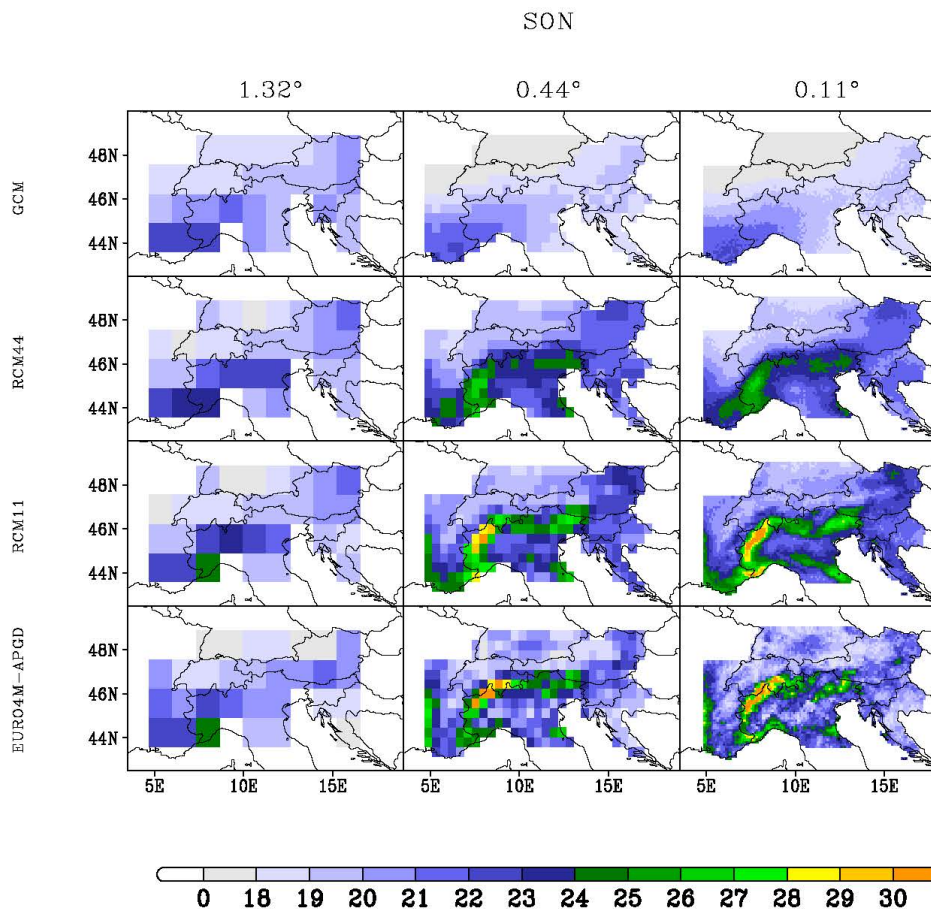


Figure 2 – Change in average precipitation for 2017-2100 minus 1980-2005 projected by the regional model RCA4 for South America when using the RCP4.5 scenario and two different sources of boundary conditions: EC-Earth GCM run by the ICHEC; top row) or the low resolution version of the Max Planck Institute (MPI) Earth System Model (bottom row). Changes are for the seasons December-January-February (DJF; left side) and June-July-August (JJA; right side). Units are mm/d.

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540 Figure 3. Mean (1976–2005) Autumn precipitation (September–October–November, or SON)  
interpolated to grids at three different resolutions (1.32°, 0.44°, 0.11°) for ensembles of driving GCMs,  
RCMs using 0.44° resolution, and RCMs using 0.11° resolution (top three rows, respectively) and the  
EURO4M-APGD observations. The GCM ensemble consists of four CMIP5 GCMs. The RCM  
545 ensembles use five RCMs run at both resolutions under the EURO-CORDEX (Jacob et al. 2013) and  
Med-CORDEX (Ruti et al. 2016) initiatives and using boundary conditions from one of the four GCMs.  
Units are mm/d. [See Torma et al. (2015) for details.]